

ISO 20022

Corporate Actions - Maintenance 2024 - 2025

Message Definition Report - Part 2

Approved by the Securities SEG on 13 February 2025

This document provides details of the Message Definitions for Corporate Actions - Maintenance 2024 - 2025.

February 2025

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1 Message Set Overview

Introduction

This document describes the Corporate Actions message set. It includes the new version of the MessageDefinitions that have been added as part of the maintenance cycle 2024-2025 (See MCR #260, 262) and approved by the Securities Standards Evaluation Group on 13 February 2025.

1.1 List of MessageDefinitions

The following table lists all MessageDefinitions described in this book.

MessageDefinition	Definition
head.001.001.02 BusinessApplicationHeaderV02	<p>The Business Layer deals with Business Messages. The behaviour of the Business Messages is fully described by the Business Transaction and the structure of the Business Messages is fully described by the Message Definitions and related Message Rules, Rules and Market Practices. All of which are registered in the ISO 20022 Repository.</p> <p>A single new Business Message (with its accompanying business application header) is created - by the sending MessagingEndpoint - for each business event; that is each interaction in a Business Transaction. A Business Message adheres to the following principles:</p> <p>" A Business Message (and its business application header) must not contain information about the Message Transport System or the mechanics or mechanism of message sending, transportation, or receipt.</p> <p>" A Business Message must be comprehensible outside of the context of the Transport Message. That is the Business Message must not require knowledge of the Transport Message to be understood.</p> <p>" A Business Message may contain headers, footers, and envelopes that are meaningful for the business. When present, they are treated as any other message content, which means that they are considered part of the Message Definition of the Business Message and as such will be part of the ISO 20022 Repository.</p> <p>" A Business Message refers to Business Actors by their Name. Each instance of a Business Actor has one Name. The Business Actor must not be referred to in the Transport Layer.</p> <p>Specific usage of this BusinessMessageHeader may be defined by the relevant SEG.</p>
seev.031.001.15 CorporateActionNotificationV15	<p>The CorporateActionNotification message is sent by an account servicer to an account owner or its designated agent to notify details of a corporate action event and optionally account information and preliminary information about eligible balance and entitlements.</p>

MessageDefinition	Definition
	<p>It may also include possible elections or choices available to the account owner. The account servicer can initially send the CorporateActionNotification message as a preliminary advice, subsequently replaced by another CorporateActionNotification message with complete or confirmed information.</p> <p>It may also be sent to an account owner or its designated agent, to remind of event details and/or of missing or incomplete instructions for a corporate action event.</p>
seev.032.001.09 CorporateActionEventProcessingStatusAdviceV09	<p>An account servicer sends the CorporateActionEventProcessingStatusAdvice message to an account owner or its designated agent to report processing status of a corporate action event.</p> <p>The account servicer uses this message to provide a reason as to why a corporate action event has not been completed by the announced payment dates.</p>
seev.033.001.13 CorporateActionInstructionV13	<p>The CorporateActionInstruction message is sent by an account owner to an account servicer to instruct election on a corporate action event.</p> <p>This message is used to provide the custodian with instructions on how the account owner wishes to proceed with a corporate action event. Instructions include investment decisions regarding the exercise of rights issues, the election of stock or cash when the option is available, and decisions on the conversion or tendering of securities.</p>
seev.034.001.15 CorporateActionInstructionStatusAdviceV15	<p>The CorporateActionInstructionStatusAdvice message is sent by an account servicer to an account owner or its designated agent, to report the status of a received corporate action election instruction.</p> <p>This message is used to advise the status, or a change in status, of a corporate action-related transaction previously instructed by, or executed on behalf of, the account owner. This will include the acknowledgement/rejection of a corporate action instruction.</p>
seev.035.001.16 CorporateActionMovementPreliminaryAdviceV16	<p>The CorporateActionMovementPreliminaryAdvice message is sent by an account servicer to an account owner or its designated agent:</p> <ul style="list-style-type: none"> - to advise about the eligible balance and entitlements and, - to pre-advise an upcoming posting or reversal of securities and/or cash postings.
seev.036.001.16 CorporateActionMovementConfirmationV16	<p>The CorporateActionMovementConfirmation message is sent by an account servicer to an account owner or its designated agent to confirm the posting of securities or cash as a result of a corporate action event.</p>
seev.037.001.16 CorporateActionMovementReversalAdviceV16	<p>The CorporateActionMovementReversalAdvice message is sent by an account servicer to an account</p>

MessageDefinition	Definition
	owner or its designated agent to reverse a previously confirmed posting of securities or cash.
seev.038.001.09 CorporateActionNarrativeV09	The CorporateActionNarrative message is sent between an account servicer and an account owner or its designated agent to cater for such processes that cannot be handled using messages such as the CorporateActionNotification. It is not to be used for event narrative as that should be included in the CorporateActionNotification. Examples of when the CorporateActionNarrative message may be used include tax reclaims, restrictions, documentation requirements. This message should only be used when bilaterally agreed. This message is bi-directional.
seev.039.001.13 CorporateActionCancellationAdviceV13	The CorporateActionCancellationAdvice message is sent by an account servicer to an account owner or its designated agent to cancel a previously announced corporate action event in case of error from the account servicer or in case of withdrawal by the issuer.
seev.040.001.13 CorporateActionInstructionCancellationRequestV13	The CorporateActionInstructionCancellationRequest message is sent by an account owner to an account servicer to request cancellation of a previously sent corporate action election instruction.
seev.041.001.14 CorporateActionInstructionCancellationRequestStatusAdviceV14	The CorporateActionInstructionCancellationRequestStatusAdvice message is sent by an account servicer to an account owner or its designated agent to report the status of a previously received CorporateActionInstructionCancellationRequest message sent by the account owner. This will include the acknowledgement/rejection of a request to cancel an outstanding instruction.
seev.042.001.13 CorporateActionInstructionStatementReportV13	The CorporateActionInstructionStatementReport message is sent by an account servicer to an account owner or its designated agent to report balances at the safekeeping account level for one or more corporate action events or at the corporate action event level for one or more safekeeping accounts.
seev.044.001.13 CorporateActionMovementPreliminaryAdviceCancellationAdviceV13	The CorporateActionMovementPreliminaryAdviceCancellationAdvice message is sent by an account servicer to an account owner or its designated agent to cancel a previously announced CorporateActionMovementPreliminaryAdvice.

2 **head.001.001.02 BusinessApplicationHeaderV02**

2.1 **MessageDefinition Functionality**

The Business Layer deals with Business Messages. The behaviour of the Business Messages is fully described by the Business Transaction and the structure of the Business Messages is fully described by the Message Definitions and related Message Rules, Rules and Market Practices. All of which are registered in the ISO 20022 Repository.

A single new Business Message (with its accompanying business application header) is created - by the sending MessagingEndpoint - for each business event; that is each interaction in a Business Transaction. A Business Message adheres to the following principles:

" A Business Message (and its business application header) must not contain information about the Message Transport System or the mechanics or mechanism of message sending, transportation, or receipt.

" A Business Message must be comprehensible outside of the context of the Transport Message. That is the Business Message must not require knowledge of the Transport Message to be understood.

" A Business Message may contain headers, footers, and envelopes that are meaningful for the business. When present, they are treated as any other message content, which means that they are considered part of the Message Definition of the Business Message and as such will be part of the ISO 20022 Repository.

" A Business Message refers to Business Actors by their Name. Each instance of a Business Actor has one Name. The Business Actor must not be referred to in the Transport Layer.

Specific usage of this BusinessMessageHeader may be defined by the relevant SEG.

Outline

The BusinessApplicationHeaderV02 MessageDefinition is composed of 14 MessageBuildingBlocks:

A. CharSet

Contains the character set of the text-based elements used in the Business Message.

B. From

The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

C. To

The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

D. BusinessMessageIdentifier

Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

E. MessageDefinitionIdentifier

Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

F. BusinessService

Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

G. MarketPractice

Specifies the market practice to which the message conforms. The market practices are a set of rules agreed between parties that restricts the usage of the messages in order to achieve better STP (Straight Through Processing) rates.

A market practice specification may also extend the underlying message specification by using extensions or supplementary data of this underlying message.

H. CreationDate

Date and time when this Business Message (header) was created.

I. BusinessProcessingDate

Processing date and time indicated by the sender for the receiver of the business message. This date may be different from the date and time provided in the CreationDate.

Usage: Market practice or bilateral agreement should specify how this element should be used.

J. CopyDuplicate

Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

K. PossibleDuplicate

Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

L. Priority

Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

M. Signature

Contains the digital signature of the Business Entity authorised to sign this Business Message.

N. Related

Specifies the Business Application Header(s) of the Business Message(s) to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.

2.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AppHdr>	[1..1]		C5	
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	12
	From <Fr>	[1..1]			12
{Or	OrganisationIdentification <OrgId>	[1..1]	±		12
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		13
	To <To>	[1..1]			13
{Or	OrganisationIdentification <OrgId>	[1..1]	±		13
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		13
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		14
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		14
	BusinessService <BizSvc>	[0..1]	Text		14
	MarketPractice <MktPrctc>	[0..1]			14
	Registry <Regy>	[1..1]	Text		15
	Identification <Id>	[1..1]	Text		15
	CreationDate <CreDt>	[1..1]	DateTime		15
	BusinessProcessingDate <BizPrccDt>	[0..1]	DateTime		15
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		15
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		16
	Priority <Prty>	[0..1]	CodeSet	C7	16
	Signature <Sgntr>	[0..1]	(External Schema)		17
	Related <Rltd>	[0..*]			17
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	18
	From <Fr>	[1..1]			18
{Or	OrganisationIdentification <OrgId>	[1..1]	±		18
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		18
	To <To>	[1..1]			19
{Or	OrganisationIdentification <OrgId>	[1..1]	±		19
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		19
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		20
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		20

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	BusinessService <BizSvc>	[0..1]	Text		20
	CreationDate <CreDt>	[1..1]	DateTime		20
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		20
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		21
	Priority <Prty>	[0..1]	CodeSet	C7	21
	Signature <Sgntr>	[0..1]	(External Schema)		22

2.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4 OnlySignatureElement

The XML Signature namespace ("http://www.w3.org/2000/09/xmldsig#") allows for different XML elements to be root elements. This means the user has to choose amongst these global elements which one to use as the root element. Only the XML element Signature is allowed.

C5 RelatedPresentWhenCopyDupl

Related MUST contain the relevant BusinessMessageHeader elements of the BusinessMessage to which this BusinessMessage relates.

If CopyDuplicate is present, then Related MUST be present.

```
On Condition
  /CopyDuplicate is present
Following Must be True
  /Related[1] Must be present
```

This constraint is defined at the MessageDefinition level.

C6 ValidationByTable

C7 ValidationByTable

2.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

2.4.1 CharacterSet <CharSet>

Presence: [0..1]

Definition: Contains the character set of the text-based elements used in the Business Message.

Impacted by: C6 "ValidationByTable"

Datatype: "UnicodeChartsCode" on page 1237

Constraints

- **ValidationByTable**

2.4.2 From <Fr>

Presence: [1..1]

Definition: The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

From <Fr> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		12
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		13

2.4.2.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "PartyIdentification135" on page 1034 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1035
	PostalAddress <PstlAdr>	[0..1]	±		1035
	Identification <Id>	[0..1]	±		1036
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	1036
	ContactDetails <CtctDtls>	[0..1]	±		1037

2.4.2.2 FinancialInstitutionIdentification <FIld>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIld> contains the following elements (see "[BranchAndFinancialInstitutionIdentification6](#)" on page 861 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		861
	BranchIdentification <BrnchId>	[0..1]	±		862

2.4.3 To <To>

Presence: [1..1]

Definition: The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

To <To> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		13
Or}	FinancialInstitutionIdentification <FIld>	[1..1]	±		13

2.4.3.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "[PartyIdentification135](#)" on page 1034 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1035
	PostalAddress <PstlAdr>	[0..1]	±		1035
	Identification <Id>	[0..1]	±		1036
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	1036
	ContactDetails <CtctDtls>	[0..1]	±		1037

2.4.3.2 FinancialInstitutionIdentification <FIld>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIId> contains the following elements (see "BranchAndFinancialInstitutionIdentification6" on page 861 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		861
	BranchIdentification <BrnchId>	[0..1]	±		862

2.4.4 BusinessMessageIdentifier <BizMsgIdr>

Presence: [1..1]

Definition: Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

Datatype: "Max35Text" on page 1246

2.4.5 MessageDefinitionIdentifier <MsgDefIdr>

Presence: [1..1]

Definition: Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

Datatype: "Max35Text" on page 1246

2.4.6 BusinessService <BizSvc>

Presence: [0..1]

Definition: Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

Datatype: "Max35Text" on page 1246

2.4.7 MarketPractice <MktPrctc>

Presence: [0..1]

Definition: Specifies the market practice to which the message conforms. The market practices are a set of rules agreed between parties that restricts the usage of the messages in order to achieve better STP (Straight Through Processing) rates.

A market practice specification may also extend the underlying message specification by using extensions or supplementary data of this underlying message.

MarketPractice <MktPrctc> contains the following **ImplementationSpecification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Registry <Regy>	[1..1]	Text		15
	Identification <Id>	[1..1]	Text		15

2.4.7.1 Registry <Regy>

Presence: [1..1]

Definition: Name of the implementation specification registry in which the implementation specification of the ISO 20022 message is maintained.

For example, "MyStandards".

Datatype: "Max350Text" on page 1245

2.4.7.2 Identification <Id>

Presence: [1..1]

Definition: Identifier which unambiguously identifies, within the implementation specification registry, the implementation specification to which the ISO 20022 message is compliant. This can be done via a URN. It can also contain a version number or date.

For instance, "2018-01-01 - Version 2" or "urn:uuid:6e8bc430-9c3a-11d9-9669-0800200c9a66".

Datatype: "Max2048Text" on page 1244

2.4.8 CreationDate <CreDt>

Presence: [1..1]

Definition: Date and time when this Business Message (header) was created.

Datatype: "ISODateTime" on page 1238

2.4.9 BusinessProcessingDate <BizPrcgDt>

Presence: [0..1]

Definition: Processing date and time indicated by the sender for the receiver of the business message. This date may be different from the date and time provided in the CreationDate.

Usage: Market practice or bilateral agreement should specify how this element should be used.

Datatype: "ISODateTime" on page 1238

2.4.10 CopyDuplicate <CpyDplct>

Presence: [0..1]

Definition: Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

Datatype: "CopyDuplicate1Code" on page 1164

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

2.4.11 PossibleDuplicate <PssbIDp1ct>

Presence: [0..1]

Definition: Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 1241](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.12 Priority <Prty>

Presence: [0..1]

Definition: Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

Impacted by: [C7 "ValidationByTable"](#)

Datatype: ["BusinessMessagePriorityCode" on page 1163](#)

Constraints

- **ValidationByTable**

2.4.13 Signature <Sgntr>

Presence: [0..1]

Definition: Contains the digital signature of the Business Entity authorised to sign this Business Message.

Type: (External Schema)

The W3C XML Schema that specifies following standard signature:

XML Signature Syntax and Processing (Second Edition) W3C Recommendation 10 June 2008

<http://www.w3.org/TR/2008/REC-xmlsig-core-20080610/>.

2.4.14 Related <Rltd>

Presence: [0..*]

Definition: Specifies the Business Application Header(s) of the Business Message(s) to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.

Related <Rltd> contains the following **BusinessApplicationHeader5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	18
	From <Fr>	[1..1]			18
{Or	OrganisationIdentification <OrgId>	[1..1]	±		18
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		18
	To <To>	[1..1]			19
{Or	OrganisationIdentification <OrgId>	[1..1]	±		19
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		19
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		20
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		20
	BusinessService <BizSvc>	[0..1]	Text		20
	CreationDate <CreDt>	[1..1]	DateTime		20
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		20
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		21
	Priority <Prty>	[0..1]	CodeSet	C7	21
	Signature <Sgntr>	[0..1]	(External Schema)		22

2.4.14.1 CharacterSet <CharSet>

Presence: [0..1]

Definition: Contains the character set of the text-based elements used in the Business Message.

Impacted by: C6 "ValidationByTable"

Datatype: "UnicodeChartsCode" on page 1237

Constraints

- **ValidationByTable**

2.4.14.2 From <Fr>

Presence: [1..1]

Definition: The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

From <Fr> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		18
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		18

2.4.14.2.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "PartyIdentification135" on page 1034 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1035
	PostalAddress <PstlAdr>	[0..1]	±		1035
	Identification <Id>	[0..1]	±		1036
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	1036
	ContactDetails <CtctDtls>	[0..1]	±		1037

2.4.14.2.2 FinancialInstitutionIdentification <FIId>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIld> contains the following elements (see "[BranchAndFinancialInstitutionIdentification6](#)" on page 861 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		861
	BranchIdentification <BrnchId>	[0..1]	±		862

2.4.14.3 To <To>

Presence: [1..1]

Definition: The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

To <To> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		19
Or}	FinancialInstitutionIdentification <FIld>	[1..1]	±		19

2.4.14.3.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "[PartyIdentification135](#)" on page 1034 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1035
	PostalAddress <PstlAdr>	[0..1]	±		1035
	Identification <Id>	[0..1]	±		1036
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	1036
	ContactDetails <CtctDtls>	[0..1]	±		1037

2.4.14.3.2 FinancialInstitutionIdentification <FIld>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIId> contains the following elements (see "BranchAndFinancialInstitutionIdentification6" on page 861 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		861
	BranchIdentification <BrnchId>	[0..1]	±		862

2.4.14.4 BusinessMessageIdentifier <BizMsgIdr>

Presence: [1..1]

Definition: Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

Datatype: "Max35Text" on page 1246

2.4.14.5 MessageDefinitionIdentifier <MsgDefIdr>

Presence: [1..1]

Definition: Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

Datatype: "Max35Text" on page 1246

2.4.14.6 BusinessService <BizSvc>

Presence: [0..1]

Definition: Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

Datatype: "Max35Text" on page 1246

2.4.14.7 CreationDate <CreDt>

Presence: [1..1]

Definition: Date and time when this Business Message (header) was created.

Note Times must be normalized, using the "Z" annotation.

Datatype: "ISODatetime" on page 1238

2.4.14.8 CopyDuplicate <CpyDplct>

Presence: [0..1]

Definition: Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

Datatype: "CopyDuplicate1Code" on page 1164

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

2.4.14.9 PossibleDuplicate <PssbIDp1ct>

Presence: [0..1]

Definition: Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.14.10 Priority <Prty>

Presence: [0..1]

Definition: Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

Impacted by: C7 "ValidationByTable"

Datatype: "BusinessMessagePriorityCode" on page 1163

Constraints

- **ValidationByTable**

2.4.14.11 Signature <Sgntr>

Presence: [0..1]

Definition: Contains the digital signature of the Business Entity authorised to sign this Business Message.

Type: (External Schema)

The W3C XML Schema that specifies following standard signature:

XML Signature Syntax and Processing (Second Edition) W3C Recommendation 10 June 2008

<http://www.w3.org/TR/2008/REC-xmlsig-core-20080610/>.

3 **seev.031.001.15** **CorporateActionNotificationV15**

3.1 **MessageDefinition Functionality**

Scope

The CorporateActionNotification message is sent by an account servicer to an account owner or its designated agent to notify details of a corporate action event and optionally account information and preliminary information about eligible balance and entitlements.

It may also include possible elections or choices available to the account owner. The account servicer can initially send the CorporateActionNotification message as a preliminary advice, subsequently replaced by another CorporateActionNotification message with complete or confirmed information.

It may also be sent to an account owner or its designated agent, to remind of event details and/or of missing or incomplete instructions for a corporate action event.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionNotificationV15 MessageDefinition is composed of 25 MessageBuildingBlocks:

A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

B. NotificationGeneralInformation

General information about the event notification type, status and contents.

C. PreviousNotificationIdentification

Identification of the previously sent notification document.

D. InstructionIdentification

Identification of a related instruction document.

E. OtherDocumentIdentification

Identification of other documents as well as the document number.

F. EventsLinkage

Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

G. CorporateActionGeneralInformation

General information about the corporate action event.

H. AccountDetails

General information about the safekeeping account, owner and account balance.

I. IntermediateSecurity

Details on rights credited to the account as for example trading period, expiry date, renounceability.

J. CorporateActionDetails

Information about the corporate action event.

K. CorporateActionOptionDetails

Information about the corporate action option.

L. AdditionalInformation

Additional information on the event.

M. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

N. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

O. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

P. Registrar

Party/agent responsible for maintaining the register of the security.

Q. ResellingAgent

Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

R. PhysicalSecuritiesAgent

Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

S. DropAgent

Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

T. SolicitationAgent

Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

U. InformationAgent

Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

V. Issuer

Issuer of the financial instrument.

W. Offeror

Party making the offer and which is different from the issuing company.

X. TransferAgent

Trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

Y. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

3.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <CorpActnNtfctn>	[1..1]		C12, C14, C15, C16, C17, C20, C37, C38, C43, C44, C45, C46, C55, C56, C61, C63, C65, C66, C67, C73, C74, C75, C76, C80, C81, C82, C83, C84, C89, C90, C91, C97, C99, C100, C103, C106, C107, C120, C70	
	Pagination <Pgntn>	[0..1]	±		61
	NotificationGeneralInformation <NtfctnGnlInf>	[1..1]		C92	62
	NotificationIdentification <NtfctnId>	[0..1]	Text		62
	NotificationType <NtfctnTp>	[1..1]	CodeSet		62
	ProcessingStatus <PrcgSts>	[1..1]	±		63
	PreviousNotificationIdentification <PrvsNtfctnId>	[0..1]	±		63
	InstructionIdentification <InstrId>	[0..1]	±		63
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		63
	EventsLinkage <EvtsLkg>	[0..*]	±		64
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C94	64

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtld>	[1..1]	Text		66
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtld>	[0..1]	Text		66
	ClassActionNumber <ClssActnNb>	[0..1]	Text		66
	EventProcessingType <EvtPrcgTp>	[0..1]	±		66
	EventType <EvtTp>	[1..1]	±	C40	67
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		67
	UnderlyingSecurity <UndrlygScty>	[1..1]		C77, C101	67
	FinancialInstrumentIdentification <FinInstrmld>	[1..1]	±	C24, C25, C68, C69, C98	69
	PlaceOfListing <PlcOfListg>	[0..1]	±		70
	DayCountBasis <DayCntBsis>	[0..1]	±		70
	ClassificationType <ClssfctnTp>	[0..1]	±		70
	OptionStyle <OptnStyle>	[0..1]	±		71
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C4	71
	NextCouponDate <NxtCpnDt>	[0..1]	Date		71
	ExpiryDate <XpryDt>	[0..1]	Date		71
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		71
	MaturityDate <MtrtyDt>	[0..1]	Date		71
	IssueDate <IsseDt>	[0..1]	Date		72
	NextCallableDate <NxtClblDt>	[0..1]	Date		72
	PutableDate <PutblDt>	[0..1]	Date		72
	DatedDate <DtdDt>	[0..1]	Date		72
	ConversionDate <ConvsDt>	[0..1]	Date		72
	InterestRate <IntrstRate>	[0..1]	±		72
	NextInterestRate <NxtIntrstRate>	[0..1]	±		72
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		73
	PreviousFactor <PrvsFctr>	[0..1]	±		73
	NextFactor <NxtFctr>	[0..1]	±		73
	WarrantParity <WarrtParity>	[0..1]	±		74
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C26	74

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ContractSize <CtrctSz>	[0..1]	±	C26	74
	AccountDetails <AcctDtls>	[1..1]			75
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		76
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C108, C109, C110, C111	77
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		79
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		79
	AccountOwner <AcctOwnr>	[0..1]	±		79
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		79
	Balance <Bal>	[0..1]		C10	80
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		81
	BlockedBalance <BlckdBal>	[0..1]	±		82
	BorrowedBalance <BrrwdBal>	[0..1]	±		82
	CollateralInBalance <CollInBal>	[0..1]	±		82
	CollateralOutBalance <CollOutBal>	[0..1]	±		83
	OnLoanBalance <OnLnBal>	[0..1]	±		83
	PendingDeliveryBalance <PdgDlrvyBal>	[0..*]	±		83
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		84
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		84
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		84
	StreetPositionBalance <StrtPosBal>	[0..1]	±		85
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		85
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		85
	RegisteredBalance <RegdBal>	[0..1]	±		86
	ObligatedBalance <OblgtdBal>	[0..1]	±		86
	UninstructedBalance <UinstdBal>	[0..1]	±		86
	InstructedBalance <InstdBal>	[0..1]	±		87
	AffectedBalance <AfctdBal>	[0..1]	±		87
	UnaffectedBalance <UafctdBal>	[0..1]	±		87
	IntermediateSecurity <IntrmdtScty>	[0..1]			88
	SecurityIdentification <SctyId>	[1..1]	±	C24, C25,	88

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
				C68, C69, C98	
	Quantity <Qty>	[0..1]	Quantity		89
	RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>	[0..1]	±		90
	FractionDisposition <FrctnDspstn>	[0..1]	±		90
	IntermediateSecuritiesToUnderlyingRatio <IntrmdtSciesToUndrlygRatio>	[0..1]	±		90
	MarketPrice <MktPric>	[0..1]	±		90
	ExpiryDate <XpryDt>	[1..1]	±		91
	PostingDate <PstngDt>	[1..1]	±		91
	TradingPeriod <TradgPrd>	[0..1]	±		91
	UninstructedBalance <UinstdBal>	[0..1]	±		92
	InstructedBalance <InstdBal>	[0..1]	±		92
	CorporateActionDetails <CorpActnDtls>	[0..1]		C1, C29, C31, C33, C35	92
	DateDetails <DtDtls>	[0..1]		C39	97
	AnnouncementDate <AnncmntDt>	[0..1]	±		99
	CertificationDeadline <CertfctnDdln>	[0..1]	±		99
	CourtApprovalDate <CrtApprvlDt>	[0..1]	±		100
	EarlyClosingDate <EarlyClsgDt>	[0..1]	±		100
	EffectiveDate <FctvDt>	[0..1]	±		100
	EqualisationDate <EqulstnDt>	[0..1]	±		100
	FurtherDetailedAnnouncementDate <FrthrDtldAnncmntDt>	[0..1]	±		101
	FixingDate <FvgDt>	[0..1]	±		101
	LotteryDate <LtryDt>	[0..1]	±		101
	NewMaturityDate <NewMtrtyDt>	[0..1]	±		102
	MeetingDate <MtgDt>	[0..1]	±		102
	MarginFixingDate <MrgnFvgDt>	[0..1]	±		102
	ProrationDate <PrratnDt>	[0..1]	±		103
	RecordDate <RcrdDt>	[0..1]	±		103
	RegistrationDeadline <RegnDdln>	[0..1]	±		103
	ResultsPublicationDate <RsltsPblctnDt>	[0..1]	±		104

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	DeadlineToSplit <DdlnToSpl>	[0..1]	±		104
	DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr>	[0..1]	±		104
	TradingSuspendedDate <TradgSspdDt>	[0..1]	±		105
	UnconditionalDate <UcondlDt>	[0..1]	±		105
	WhollyUnconditionalDate <WhlyUcondlDt>	[0..1]	±		105
	ExDividendDate <ExDvddDt>	[0..1]	±		106
	OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>	[0..1]	±		106
	SpecialExDate <SpclExDt>	[0..1]	±		106
	GuaranteedParticipationDate <GrntedPrtcptnDt>	[0..1]	±		107
	ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdln>	[0..1]	±		107
	ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln>	[0..1]	±		107
	LapsedDate <LpsdDt>	[0..1]	±		108
	PaymentDate <PmtDt>	[0..1]	±		108
	ThirdPartyDeadline <ThrdPtyDdln>	[0..1]	±		108
	EarlyThirdPartyDeadline <EarlyThrdPtyDdln>	[0..1]	±		109
	MarketClaimTrackingEndDate <MktClmTrckgEndDt>	[0..1]	±		109
	LeadPlaintiffDeadline <LeadPlntffDdln>	[0..1]	±		109
	FilingDate <FilgDt>	[0..1]	±		110
	HearingDate <HrgDt>	[0..1]	±		110
	PeriodDetails <PrdDtls>	[0..1]	±	C64	110
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]	±	C21	111
	PriceDetails <PricDtls>	[0..1]			112
	MaximumPrice <MaxPric>	[0..1]	±		112
	MinimumPrice <MinPric>	[0..1]	±		113
	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]	±		113
	LastBidIncrementPrice <LastBidIncrmtPric>	[0..1]	±		114
	SecuritiesQuantity <SctiesQty>	[0..1]		C79	114
	MaximumQuantity <MaxQty>	[0..1]	±	C27	115
	MinimumQuantitySought <MinQtySght>	[0..1]	±	C27	116
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C28	116

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C28	117
	BaseDenomination <BaseDnmtn>	[0..1]	±	C28	117
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C28	118
	InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>	[0..1]	Quantity	C93	118
	CouponNumber <CpnNb>	[0..*]	±		118
	AcceptancePriorityLevel <AcptncPrtyLvl>	[0..1]	Text		119
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		119
	ChargesAppliedIndicator <ChrgsApldInd>	[0..1]	Indicator		119
	RestrictionIndicator <RstrctnInd>	[0..1]	Indicator		119
	AccruedInterestIndicator <AcrdIntrstInd>	[0..1]	Indicator		120
	ForfeitureOfInterestIndicator <FrfrOfIntrstInd>	[0..1]	Indicator		120
	LetterOfGuaranteedDeliveryIndicator <LtrOfGrntedDlvryInd>	[0..1]	Indicator		120
	ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>	[0..1]	Indicator		120
	DividendType <DvddTp>	[0..1]	±		120
	EventSequenceType <EvtSeqTp>	[0..1]	±		121
	OccurrenceType <OcrncTp>	[0..1]	±		121
	OfferType <OfferTp>	[0..*]	±		121
	RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>	[0..1]	±		121
	EventStage <EvtStag>	[0..*]	±		122
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		122
	ChangeType <ChngTp>	[0..*]	±		122
	IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtTp>	[0..1]	±		123
	CapitalGainInOutIndicator <CptlGnInOutInd>	[0..1]	±		123
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]	±		123
	ElectionType <ElctnTp>	[0..1]	±		123
	LotteryType <LtryTp>	[0..1]	±		124
	CertificationType <CertfctnTp>	[0..1]	±		124
	ConsentType <CnsntTp>	[0..1]	±		124
	InformationType <InfTp>	[0..1]	±		124
	TaxOnNonDistributedProceedsIndicator <TaxOnNonDstrbtdPrcdsInd>	[0..*]	±		125
	DutchAuctionType <DtchAuctnTp>	[0..1]			125

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		125
Or}	Proprietary <Prtry>	[1..1]	±		126
	NewPlaceOfIncorporation <NewPlcOfIncorprtn>	[0..1]	Text		126
	AdditionalInformation <AddtlInf>	[0..1]		C5	126
	Offeror <Offerr>	[0..*]	Text		126
	NewCompanyName <NewCpnyNm>	[0..1]	Text		127
	URLAddress <URLAdr>	[0..*]			127
	Language <Lang>	[1..1]	CodeSet		127
	URLAddress <URLAdr>	[1..1]	Text		127
	EventProcessingWebSiteAddress <EvtPrcgWebSiteAdr>	[0..1]	Text		127
	CorporateActionOptionDetails <CorpActnOptnDtls>	[0..*]		C2, C9, C13, C30, C32, C34, C36, C62, C96, C105, C95	127
	OptionNumber <OptnNb>	[1..1]	Text		140
	OptionType <OptnTp>	[1..1]	±		140
	FractionDisposition <FrctnDspstn>	[0..1]	±		140
	OfferType <OfferTp>	[0..*]	±		141
	OptionFeatures <OptnFeatrs>	[0..*]			141
{Or	Code <Cd>	[1..1]	CodeSet		141
Or}	Proprietary <Prtry>	[1..1]	±		142
	OptionAvailabilityStatus <OptnAvlbySts>	[0..1]	±		143
	AcceptancePriorityLevel <AccptncPrtyLvl>	[0..1]	Text		143
	CertificationBreakdownType <CertfctnBrkdwnTp>	[0..*]	±		143
	BidRangeType <BidRgTp>	[0..1]	±		143
	ProrationBelowMinimumQuantity <PrratnBlwMinQty>	[0..1]			143
{Or	Code <Cd>	[1..1]	CodeSet		144
Or}	Proprietary <Prtry>	[1..1]	±		144
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C18	144
	ValidDomicileCountry <VldDmclCtry>	[0..*]	CodeSet	C18	145

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C3	145
	DefaultProcessingOrStandingInstruction <DfltPrcgOrStgInstr>	[1..1]	±		145
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		146
	CertificationBreakdownIndicator <CertfctnBrkdownInd>	[0..1]	Indicator		146
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[0..1]	Indicator		146
	ChangeAllowedIndicator <ChngAllwdInd>	[0..1]	Indicator		146
	AppliedOptionIndicator <ApldOptnInd>	[0..1]	Indicator		147
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C24, C25, C68, C69, C98	147
	DateDetails <DtDtls>	[0..1]			148
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		148
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		149
	ProtectDeadline <PrctctDdln>	[0..1]	±		149
	MarketDeadline <MktDdln>	[0..1]	±		149
	ResponseDeadline <RspnDdln>	[0..1]	±		150
	ExpiryDate <XpryDt>	[0..1]	±		150
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		151
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		151
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		151
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			152
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	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		155
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C50, C52, C85, C87, C112, C114, C116, C121, C123	155
	AdditionalTax <AddtlTax>	[0..1]	±		157
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		157
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		158
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		159
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	±		160
	ProrationRate <PrratnRate>	[0..1]	±		160
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		161
	SecondLevelTax <ScndLvITax>	[0..*]	±		161
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		161
	IssuerDeclaredExchangeRate <IssrDclrdXchgRate>	[0..1]	±		162
	TaxOnIncome <TaxOnIncm>	[0..1]	±		162
	BidInterval <BidIntrvl>	[0..1]	±		162
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	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		164
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	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]	±		166
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	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C27	168
	MinimumMultipleQuantityToInstruct <MinMltpQtyToInst>	[0..1]	±	C28	168
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C28	169
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C28	169
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C28	170
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	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C41, C57, C59, C71	171
	SecurityDetails <SctyDtls>	[1..1]		C78, C102	174
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C24, C25, C68, C69, C98	176
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	DayCountBasis <DayCntBsis>	[0..1]	±		177
	ClassificationType <ClssfctnTp>	[0..1]	±		177
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	NextCouponDate <NxtCpnDt>	[0..1]	Date		178
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		178
	MaturityDate <MtrtyDt>	[0..1]	Date		178
	IssueDate <IssDt>	[0..1]	Date		178
	NextCallableDate <NxtClldDt>	[0..1]	Date		179
	PutableDate <PutbldDt>	[0..1]	Date		179
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	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C26	180

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	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C26	181
	ContractSize <CtrctSz>	[0..1]	±	C26	182
	IssuePrice <IssePric>	[0..1]	±		182
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		183
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		183
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{Or	Code <Cd>	[1..1]	CodeSet		184
Or}	Proprietary <Prtry>	[1..1]	±		184
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{Or	Code <Cd>	[1..1]	CodeSet		184
Or}	Proprietary <Prtry>	[1..1]	±		185
	NewSecuritiesIssuanceIndicator <NewScitiesIssncInd>	[0..1]	CodeSet		185
	IncomeType <IncmTp>	[0..1]	±		185
	OtherIncomeType <OthrIncmTp>	[0..*]	±		186
	ExemptionType <XmptnTp>	[0..*]	±		186
	EntitledQuantity <EntitldQty>	[0..1]	±		186
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		187
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C18	187
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	DateDetails <DtDtls>	[1..1]			188
	PaymentDate <PmtDt>	[1..1]	±		189
	AvailableDate <AvlblDt>	[0..1]	±		189
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		189
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		190
	PariPassuDate <PrpssDt>	[0..1]	±		190
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	RateDetails <RateDtls>	[0..1]			191
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbRslnstScities>	[0..1]	±		191

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	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScities>	[0..1]	±		192
	NewToOld <NewToOd>	[0..1]	±		192
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		193
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	FiscalStamp <FscIStmp>	[0..1]	±		193
	ApplicableRate <AplblRate>	[0..1]	±		194
	TaxCreditRate <TaxCdtRate>	[0..1]	±		194
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		194
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		194
	SecondLevelTax <ScndLvlTax>	[0..*]	±		195
	PriceDetails <PricDtls>	[0..1]		C47	195
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			196
{Or	IndicativePrice <IndctvPric>	[1..1]	±		196
Or}	MarketPrice <MktPric>	[1..1]	±		197
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		197
	CashValueForTax <CshValForTax>	[0..1]	±		197
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		198
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		198
	AmountDetails <AmtDtls>	[0..1]			199
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C3, C19	199
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C3, C19	200
	CashMovementDetails <CshMvmntDtls>	[0..*]		C42, C58, C60, C72, C48	200
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	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		205
	NonEligibleProceedsIndicator <NonElgblProcdsInd>	[0..1]			205
{Or	Code <Cd>	[1..1]	CodeSet		205
Or}	Proprietary <Prtry>	[1..1]	±		205
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]			206

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		206
Or}	Proprietary <Prtry>	[1..1]	±		206
	IncomeType <IncmTp>	[0..1]	±		206
	OtherIncomeType <OthrIncmTp>	[0..*]	±		207
	ExemptionType <XmptnTp>	[0..*]	±		207
	PriceCalculationMethod <PricClctnMtd>	[0..1]			207
{Or	Code <Cd>	[1..1]	CodeSet		208
Or}	Proprietary <Prtry>	[1..1]	±		208
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	CashAccountIdentification <CshAcctId>	[0..1]	±		209
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	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C19	211
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	SolicitationFees <SlctnFees>	[0..1]	Amount	C3, C19	212
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C3, C19	212
	CapitalGain <CptlGn>	[0..1]	Amount	C3, C19	213
	InterestAmount <IntrstAmt>	[0..1]	Amount	C3, C19	213
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C3, C19	214
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C3, C19	214
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C3, C19	215
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C3, C19	215
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C3, C19	215
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C3, C19	216
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	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C3, C19	217
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	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C3, C19	217
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C3, C19	218
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C3, C19	218
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C3, C19	219
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C3, C19	219

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	SecondLevelTaxAmount <ScndLvTaxAmt>	[0..1]	Amount	C3, C19	219
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C3, C19	220
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C3, C19	220
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C3, C19	221
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C3, C19	221
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C3, C19	221
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C3, C19	222
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C3, C19	222
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C3, C19	223
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C3, C19	223
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C3, C19	223
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C3, C19	224
	IncomePortion <IncmPrtn>	[0..1]	Amount	C3, C19	224
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C3, C19	225
	EUTaxRetentionAmount <EUTaxRtnAmt>	[0..1]	Amount	C3, C19	225
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C19	225
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C3, C19	226
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C3, C19	226
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C3, C19	227
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C3, C19	227
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C3, C19	227
	TransactionTax <TxTax>	[0..1]	Amount	C3, C19	228
	DeemedAmount <DmdAmt>	[0..1]	Amount	C3, C19	228
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C3, C19	229
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C3, C19	229
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C3, C19	229
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C3, C19	230
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C3, C19	230
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C3, C19	231
	DateDetails <DtDtls>	[1..1]			231
	PaymentDate <PmtDt>	[1..1]	±		231

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	ValueDate <ValDt>	[0..1]	±		232
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		232
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		232
	ForeignExchangeDetails <FXDtls>	[0..1]	±		233
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C22, C23, C51, C53, C86, C88, C113, C115, C117, C122, C124	233
	AdditionalTax <AddtlTax>	[0..1]	±		236
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		236
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		236
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		237
	SecondLevelTax <ScndLvlTax>	[0..*]	±		237
	ChargesFees <ChrgsFees>	[0..1]	±		238
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		238
	FiscalStamp <FscIStmp>	[0..1]	±		239
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		239
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		239
	ApplicableRate <ApplblRate>	[0..1]	±		240
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		240
	TaxCreditRate <TaxCdtRate>	[0..1]	±		241
	TaxOnIncome <TaxOnIncm>	[0..1]	±		241
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		241
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		242
	EqualisationRate <EqulstnRate>	[0..1]	±		242
	DeemedRate <DmdRate>	[0..*]	±		242
	PriceDetails <PricDtls>	[0..1]		C49	243
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		244

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	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		245
	AdditionalInformation <AddtlInf>	[0..1]		C6	245
	AdditionalText <AddtlTxt>	[0..*]			246
	Language <Lang>	[1..1]	CodeSet		247
	AdditionalInformation <AddtlInf>	[1..1]	Text		247
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	Language <Lang>	[1..1]	CodeSet		247
	AdditionalInformation <AddtlInf>	[1..1]	Text		247
	InformationConditions <InfConds>	[0..*]			248
	Language <Lang>	[1..1]	CodeSet		248
	AdditionalInformation <AddtlInf>	[1..*]	Text		248
	InformationToComplyWith <InfToCmplyWth>	[0..*]			248
	Language <Lang>	[1..1]	CodeSet		248
	AdditionalInformation <AddtlInf>	[1..*]	Text		248
	SecurityRestriction <SctyRstrctn>	[0..*]			249
	Language <Lang>	[1..1]	CodeSet		249
	AdditionalInformation <AddtlInf>	[1..*]	Text		249
	TaxationConditions <TaxtnConds>	[0..*]			249
	Language <Lang>	[1..1]	CodeSet		249
	AdditionalInformation <AddtlInf>	[1..*]	Text		250
	Disclaimer <Dsclmr>	[0..*]			250
	Language <Lang>	[1..1]	CodeSet		250
	AdditionalInformation <AddtlInf>	[1..*]	Text		250
	CertificationBreakdown <CertfctnBrkdwn>	[0..*]			250
	Language <Lang>	[1..1]	CodeSet		250
	AdditionalInformation <AddtlInf>	[1..*]	Text		251
	AdditionalInformation <AddtlInf>	[0..1]		C7	251
	AdditionalText <AddtlTxt>	[0..*]		C8	253
	Language <Lang>	[1..1]	CodeSet		253
	AdditionalInformation <AddtlInf>	[1..*]	Text		253

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	NarrativeVersion <NrrtvVrsn>	[0..*]		C8	254
	Language <Lang>	[1..1]	CodeSet		254
	AdditionalInformation <AddtlInf>	[1..*]	Text		254
	InformationConditions <InfConds>	[0..*]		C8	254
	Language <Lang>	[1..1]	CodeSet		255
	AdditionalInformation <AddtlInf>	[1..*]	Text		255
	InformationToComplyWith <InfToCmplyWth>	[0..*]		C8	255
	Language <Lang>	[1..1]	CodeSet		255
	AdditionalInformation <AddtlInf>	[1..*]	Text		255
	TaxationConditions <TaxtnConds>	[0..*]		C8	256
	Language <Lang>	[1..1]	CodeSet		256
	AdditionalInformation <AddtlInf>	[1..*]	Text		256
	Disclaimer <Dsclmr>	[0..*]		C8	256
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	AdditionalInformation <AddtlInf>	[1..*]	Text		257
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	AdditionalInformation <AddtlInf>	[1..*]	Text		257
	RegistrationDetails <RegnDtls>	[0..*]		C8	258
	Language <Lang>	[1..1]	CodeSet		258
	AdditionalInformation <AddtlInf>	[1..*]	Text		258
	BasketOrIndexInformation <BsktOrIndxInf>	[0..*]		C8	258
	Language <Lang>	[1..1]	CodeSet		259
	AdditionalInformation <AddtlInf>	[1..*]	Text		259
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	Language <Lang>	[1..1]	CodeSet		259
	AdditionalInformation <AddtlInf>	[1..*]	Text		259
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	URLAddress <URLAdr>	[1..1]	Text		260
	ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy>	[0..*]		C8	260

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	AdditionalInformation <AddtlInf>	[1..*]	Text		261
	IssuerAgent <IssrAgt>	[0..*]	±		261
	PayingAgent <PngAgt>	[0..*]	±		261
	SubPayingAgent <SubPngAgt>	[0..*]	±		261
	Registrar <Regar>	[0..1]	±		262
	ResellingAgent <RsellingAgt>	[0..*]	±		262
	PhysicalSecuritiesAgent <PhysScitiesAgt>	[0..1]	±		262
	DropAgent <DrpAgt>	[0..1]	±		263
	SolicitationAgent <SlctnAgt>	[0..*]	±		263
	InformationAgent <InfAgt>	[0..1]	±		263
	Issuer <Issr>	[0..1]	±		264
	Offeror <Offerr>	[0..*]	±		264
	TransferAgent <TrfAgt>	[0..1]	±		264
	SupplementaryData <SplmtryData>	[0..*]	±	C119	265

3.3 Constraints

C1 AcceptancePriorityLevelUGRule

If OfferType/Code is equal to AcceptancePriority (ACPR), then AcceptancePriorityLevel must be present.

Expression Language: UGRule

C2 AcceptancePriorityLevelUGRule

If OfferType/Code is equal to AcceptancePriority (ACPR), then AcceptancePriorityLevel must be present.

Expression Language: UGRule

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition.

C6 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C7 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C8 AdditionalInformationRule

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C9 AdditionalTextRule

If OptionType/Code is OTHR (Other), then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

C10 AffectedAndUnaffectedBalanceRule

UnaffectedBalance and AffectedBalance should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

C11 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C12 AppliedOptionRule

If CorporateActionOptionDetails/AppliedOptionIndicator value is 'true' or '1' (Yes) in one or more occurrences of CorporateActionOptionDetails, then CorporateActionGeneralInformation/MandatoryVoluntaryEventType/Code value must be CHOS (MandatoryWithOptions) and at least one of the CorporateActionDetails/AdditionalBusinessProcessIndicator/Code values must be REAC (RequiredAction).

This constraint is defined at the MessageDefinition level.

C13 AppliedOptionRule

If DefaultProcessingOrStandingInstruction/DefaultOptionIndicator is present and its value is '1' or 'true' (Yes), then AppliedOptionIndicator value must not be '1' or 'true' (Yes).

C14 BeneficialOwnerBreakdownRequestRule

If in at least one occurrence of CorporateActionOptionDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

On Condition

/CorporateActionOptionDetails[*]/OptionType/Code is equal to value
'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C15 BeneficialOwnerBreakdownRequestUGRule

If in at least one occurrence of CorporateActionOptionDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

if

at least one occurrence of [CorporateActionOptionDetails/OptionType/Code]
has value included in the following list 'BOBD'

, then

every occurrence of [CorporateActionGeneralInformation/EventType/Code]
must have value included in the following list 'WTRC'

Expression Language: UGRule

This constraint is defined at the MessageDefinition level.

C16 BidInterval1Rule

If BidInterval is present in CorporateActionDetails/RateAndAmountDetails, then BidInterval is not allowed in any occurrences of CorporateActionOptionDetails/RateAndAmountDetails.

(MT 564 NVR C25)

On Condition

/CorporateActionDetails/RateAndAmountDetails/BidInterval is present

Following Must be True

/CorporateActionOptionDetails[*]/RateAndAmountDetails/BidInterval Must be
absent

This constraint is defined at the MessageDefinition level.

C17 BidInterval2Rule

If BidInterval is present in any occurrences of CorporateActionOptionDetails/
RateAndAmountDetails, then BidInterval is not allowed in CorporateActionDetails/
RateAndAmountDetails.

(MT 564 NVR C25)

On Condition

/CorporateActionOptionDetails[*]/RateAndAmountDetails/BidInterval is
present

Following Must be True

/CorporateActionDetails/RateAndAmountDetails/BidInterval Must be absent

This constraint is defined at the MessageDefinition level.

C18 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C19 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C20 DeclaredRateRule

If CorporateActionOptionDetails/RateAndAmountDetails/IssuerDeclaredExchangeRate is present, then CorporateActionDetails/RateAndAmountDetails/DeclaredRate must be present.

On Condition

```

/CorporateActionOptionDetails[*] is present
And /CorporateActionOptionDetails[*]/RateAndAmountDetails is present
And /CorporateActionOptionDetails[*]/RateAndAmountDetails/
IssuerDeclaredExchangeRate is present
Following Must be True
/CorporateActionDetails/RateAndAmountDetails/DeclaredRate Must be present

```

This constraint is defined at the MessageDefinition level.

C21 DeclaredRateRule

DeclaredRate may only be used if the dividend or interest declared by the issuer is actually paid in a different currency than the declared one or if the dividend or the interest event makes it possible to elect amongst multiple currency options.

C22 DeemedRate1Rule

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

C23 DeemedRate2Rule

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C24 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C25 DescriptionUsageRule

Description must be used alone as the last resort.

C26 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C27 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C28 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C29 DissenterRights1Rule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C30 DissenterRights1Rule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C31 DissenterRights1UGRule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Expression Language: UGRule

C32 DissenterRights1UGRule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Expression Language: UGRule

C33 DissenterRights2Rule

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C34 DissenterRights2Rule

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C35 DissenterRights2UGRule

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Expression Language: UGRule

C36 DissenterRights2UGRule

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Expression Language: UGRule

C37 DissenterRights3Rule

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable) or DISS (DissenterRights) in any occurrence of CorporateActionOptionDetails, then in CorporateActionDetails, OfferType/Code values NDIS (DissenterRightsNotApplicable) and DISS (DissenterRights) are not allowed.

(MT 564 NVR C28)

```
On Condition
    /CorporateActionOptionDetails[*]/OfferType[*]/Code is equal to value
'DissenterRights'
Or    /CorporateActionOptionDetails[*]/OfferType[*]/Code is equal to value
'DissenterRightsNotApplicable'
Following Must be True
    /CorporateActionDetails/OfferType[*]/Code Must be different from value
'DissenterRights'
And    /CorporateActionDetails/OfferType[*]/Code Must be different from
value 'DissenterRightsNotApplicable'
```

This constraint is defined at the MessageDefinition level.

C38 DissenterRightsUG3Rule

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable) or DISS (DissenterRights) in any occurrence of CorporateActionOptionDetails, then in CorporateActionDetails, OfferType/Code values NDIS (DissenterRightsNotApplicable) and DISS (DissenterRights) are not allowed.

(MT 564 NVR C28)

```
if
    at least one occurrence of [CorporateActionOptionDetails/OfferType/Code]
has value included in the following list 'NDIS' or 'DISS'
, then
    every occurrence of [CorporateActionDetails/OfferType/Code] must have
value not included in the following list 'NDIS' or 'DISS'
```

Expression Language: UGRule

This constraint is defined at the MessageDefinition level.

C39 EffectiveDateRule

Effective date is to be used in events where there is no concept of entitlement, for example Name Change (CHAN) or Place of Incorporation (PLAC), and in events where there is a sense of eligibility but with a legal obligation, for example Merger (MRGR).

C40 EventTypeRule

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

C41 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C42 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C43 FirstBidIncrementPrice1Rule

If FirstBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then FirstBidIncrementPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionDetails/PriceDetails/FirstBidIncrementPrice is present
Following Must be True

/CorporateActionOptionDetails[*]/PriceDetails/FirstBidIncrementPrice Must be absent

This constraint is defined at the MessageDefinition level.

C44 FirstBidIncrementPrice2Rule

If FirstBidIncrementPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then FirstBidIncrementPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionOptionDetails[*]/PriceDetails/FirstBidIncrementPrice is present
Following Must be True

/CorporateActionDetails/PriceDetails/FirstBidIncrementPrice Must be absent

This constraint is defined at the MessageDefinition level.

C45 FirstBidIncrementPriceEventType1Rule

If FirstBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

On Condition

/CorporateActionDetails/PriceDetails/FirstBidIncrementPrice is present
Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'RepurchaseOffer'

Or /CorporateActionGeneralInformation/EventType/Code Must be equal to value 'DutchAuction'

Or /CorporateActionGeneralInformation/EventType/Code Must be equal to value 'Tender'

This constraint is defined at the MessageDefinition level.

C46 FirstBidIncrementPriceEventType2Rule

If FirstBidIncrementPrice is present in any occurrence of CorporateActionOptionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

On Condition

/CorporateActionOptionDetails[*]/PriceDetails/FirstBidIncrementPrice is present

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'RepurchaseOffer'

Or /CorporateActionGeneralInformation/EventType/Code Must be equal to value 'DutchAuction'

Or /CorporateActionGeneralInformation/EventType/Code Must be equal to value 'Tender'

This constraint is defined at the MessageDefinition level.

C47 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

C48 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

C49 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

C50 GrossDistributionRate2Rule

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 564 NVR C8).

C51 GrossDistributionRate2Rule

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 564 NVR C8).

C52 GrossDistributionRate3Rule

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus or GrossDistributionRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

C53 GrossDistributionRate3Rule

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus or GrossDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

C54 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C55 IncentivePremiumEventType1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in any occurrence of CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

On Condition

/CorporateActionDetails/AdditionalBusinessProcessIndicator[*]/Code is equal to value 'IncentivePremiumPayment'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'Consent'

This constraint is defined at the MessageDefinition level.

C56 IncentivePremiumEventTypeUG1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in any occurrence of CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

if

at least one occurrence of [CorporateActionDetails/AdditionalBusinessProcessIndicator/Code] has value included in the following list 'INCP'

, then

every occurrence of [CorporateActionGeneralInformation/EventType/Code] must have value included in the following list 'CONS'

Expression Language: UGRule

This constraint is defined at the MessageDefinition level.

C57 IncomeType1Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C58 IncomeType2Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C59 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C60 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C61 InformationEventRule

If CorporateActionGeneralInformation/EventType/Code is INFO (Information), then IntermediateSecurity must be absent and no occurrences of CorporateActionOptionDetails may be present.

(MT 564 NVR C6).

On Condition

/CorporateActionGeneralInformation/EventType/Code is present

And /CorporateActionGeneralInformation/EventType/Code is equal to value 'Information'

Following Must be True

/IntermediateSecurity Must be absent

And /CorporateActionOptionDetails[*] Must be absent

This constraint is defined at the MessageDefinition level.

C62 InstructCashAmountRule

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMutipleCashToInstruct) must not be present.

(MT564 NVR C20).

C63 InterestPaymentRule

CorporateActionDetails/RateAndAmountDetails/InterestRate and CorporateActionOptionsDetails/RateAndAmountDetails/GrossInterestRateUsedforPayment must not be mixed. InterestRate is used to provide the annual rate of the financial instrument. InterestRateUsedforPayment is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

This constraint is defined at the MessageDefinition level.

C64 InterestPeriodRule

InterestPeriod must be used only if it is different from a normal accrued interest period.

C65 IntermediateSecuritiesDistribution1Rule

If CorporateActionGeneralInformation/EventType/Code is RHD (IntermediateSecuritiesDistribution), then CorporateActionDetails/

IntermediateSecuritiesDistributionType must be present.

(MT 564 NVR C11).

On Condition

/CorporateActionGeneralInformation/EventType/Code is present

And /CorporateActionGeneralInformation/EventType/Code is equal to value 'IntermediateSecuritiesDistribution'

Following Must be True

/CorporateActionDetails Must be present

And /CorporateActionDetails/IntermediateSecuritiesDistributionType Must be present

This constraint is defined at the MessageDefinition level.

C66 IntermediateSecurity1Rule

If CorporateActionGeneralInformation/EventType/Code is RHD (IntermediateSecuritiesDistribution), then IntermediateSecurity must be absent.

(MT 564 NVR C6).

On Condition

/CorporateActionGeneralInformation/EventType/Code is present

And /CorporateActionGeneralInformation/EventType/Code is equal to value 'IntermediateSecuritiesDistribution'

Following Must be True

/IntermediateSecurity Must be absent

This constraint is defined at the MessageDefinition level.

C67 IntermediateSecurity2Rule

If CorporateActionGeneralInformation/EventType/Code is RHTS (Rights Issue), then IntermediateSecurity must be present.

(MT 564 NVR C6).

On Condition

/CorporateActionGeneralInformation/EventType/Code is present

And /CorporateActionGeneralInformation/EventType/Code is equal to value 'RightsIssue'

Following Must be True

/IntermediateSecurity Must be present

This constraint is defined at the MessageDefinition level.

C68 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C69 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C70 IssuerAgentGuideline

IssuerAgent must be used only in the communication between a CSD and local custodians.

This constraint is defined at the MessageDefinition level.

C71 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C72 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C73 LastBidIncrementPrice1Rule

If LastBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then LastBidIncrementPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionDetails/PriceDetails/LastBidIncrementPrice is present

Following Must be True

/CorporateActionOptionDetails[*]/PriceDetails/LastBidIncrementPrice Must be absent

This constraint is defined at the MessageDefinition level.

C74 LastBidIncrementPrice2Rule

If LastBidIncrementPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then LastBidIncrementPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionOptionDetails[*]/PriceDetails/LastBidIncrementPrice is present

Following Must be True

/CorporateActionDetails/PriceDetails/LastBidIncrementPrice Must be absent

This constraint is defined at the MessageDefinition level.

C75 LastBidIncrementPriceEventType1Rule

If LastBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

On Condition

/CorporateActionDetails/PriceDetails/LastBidIncrementPrice is present

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'RepurchaseOffer'

Or /CorporateActionGeneralInformation/EventType/Code Must be equal to value 'DutchAuction'

Or /CorporateActionGeneralInformation/EventType/Code Must be equal to value 'Tender'

This constraint is defined at the MessageDefinition level.

C76 LastBidIncrementPriceEventType2Rule

If LastBidIncrementPrice is present in any occurrence of CorporateActionOptionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

On Condition

/CorporateActionOptionDetails[*]/PriceDetails/LastBidIncrementPrice is present

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'RepurchaseOffer'

Or /CorporateActionGeneralInformation/EventType/Code Must be equal to value 'DutchAuction'

Or /CorporateActionGeneralInformation/EventType/Code Must be equal to value 'Tender'

This constraint is defined at the MessageDefinition level.

C77 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

C78 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

C79 MaximumAndMinimumQuantitySoughtUsageRule

When MaximumQuantity is present, MinimumQuantitySought must be present too. When MinimumQuantitySought is present, MaximumQuantity must be present too.

When MinimumQuantitySought/Code is AnyAndAll, then MaximumQuantity/Code must not be AnyAndAll.

When MaximumQuantity/Code is AnyAndAll, MinimumQuantitySought/code must not be used with the value AnyAndAll.

C80 MaximumPrice1Rule

If MaximumPrice is present in CorporateActionDetails/PriceDetails, then MaximumPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionDetails/PriceDetails/MaximumPrice is present

Following Must be True

/CorporateActionOptionDetails[*]/PriceDetails/MaximumPrice Must be absent

This constraint is defined at the MessageDefinition level.

C81 MaximumPrice2Rule

If MaximumPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then MaximumPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionOptionDetails[*]/PriceDetails/MaximumPrice is present

Following Must be True

/CorporateActionDetails/PriceDetails/MaximumPrice Must be absent

This constraint is defined at the MessageDefinition level.

C82 MinimumPrice1Rule

If MinimumPrice is present in CorporateActionDetails/PriceDetails, then MinimumPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionDetails/PriceDetails/MinimumPrice is present

Following Must be True

/CorporateActionOptionDetails[*]/PriceDetails/MinimumPrice Must be absent

This constraint is defined at the MessageDefinition level.

C83 MinimumPrice2Rule

If MinimumPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then MinimumPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionOptionDetails[*]/PriceDetails/MinimumPrice is present
Following Must be True

/CorporateActionDetails/PriceDetails/MinimumPrice Must be absent

This constraint is defined at the MessageDefinition level.

C84 NameChangeRule

If CorporateActionDetails/AdditionalInformation/NewCompanyName/AdditionalInformation is present, then CorporateActionGeneralInformation/EventType/Code value CHAN (Change) must be present and CorporateActionDetails/ChangeType/Code value NAME (Name) must be present in at least one occurrence of CorporateActionDetails/ChangeType.

(MT 564 NVR C10).

This constraint is defined at the MessageDefinition level.

C85 NetDistributionRate1Rule

If NetDistributionRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

C86 NetDistributionRate1Rule

If NetDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

C87 NetDistributionRate2Rule

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

C88 NetDistributionRate2Rule

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

C89 NewMaturityDateRule

If CorporateActionDetails/DateDetails/NewMaturityDate is present, then CorporateActionGeneralInformation/EventType/Code must be EXTM (Maturity Extension).

This constraint is defined at the MessageDefinition level.

C90 NotificationIdentification1Rule

If NotificationGeneralInformation/NotificationType is REPL (Replacement) and Pagination/PageNumber is equal to value 1, then PreviousNotificationIdentification must be present.

(MT 564 NVR C9).


```

On Condition
    /NotificationGeneralInformation/NotificationType is equal to value
'Replacement'
And    /Pagination/PageNumber is equal to value '1'
Following Must be True
    /PreviousNotificationIdentification Must be present

```

This constraint is defined at the MessageDefinition level.

C91 NotificationIdentification2Rule

If NotificationGeneralInformation/NotificationType is RMDR (Reminder) and Pagination/ PageNumber is equal to value 1, then PreviousNotificationIdentification must be present.

(MT 564 NVR C9).

```

On Condition
    /NotificationGeneralInformation/NotificationType is equal to value
'Reminder'
And    /Pagination/PageNumber is equal to value '1'
Following Must be True
    /PreviousNotificationIdentification Must be present

```

This constraint is defined at the MessageDefinition level.

C92 NotificationTypeGuideline

NotificationType REPL is used to replace a previously sent CorporateActionNotification message that was reported with EventCompletenessStatus being incomplete or complete.

C93 NumberRule

If Number is negative, then Sign must be present.

C94 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). These recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C95 OptionNumberGuideline

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

C96 OptionTypeUnknownProceedsUsageRule

When used, the corporate action option code PRUN (Unknown Proceeds) should be maintained throughout the full lifecycle of the event.

C97 OtherEventRule

If CorporateActionGeneralInformation/EventType/Code is OTHR (Other) then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C4).

```

On Condition
    /CorporateActionGeneralInformation/EventType/Code is present
And    /CorporateActionGeneralInformation/EventType/Code is equal to value
'OtherEvent'
Following Must be True
    /AdditionalInformation Must be present

```

And /AdditionalInformation/AdditionalText[*] Must be present
 And /AdditionalInformation/AdditionalText[*]/AdditionalInformation[*]
 Must be present

This constraint is defined at the MessageDefinition level.

C98 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C99 PaginationRule

If pagination is present, then NotificationGeneralInformation/NotificationIdentification must be present.

On Condition
 /Pagination is present
 Following Must be True
 /NotificationGeneralInformation/NotificationIdentification Must be present

This constraint is defined at the MessageDefinition level.

C100 PaymentDateRule

If at least one occurrence of CorporateActionOptionDetails/SecuritiesMovementDetails or at least one occurrence of CorporateActionOptionDetails/CashMovementDetails is present, then CorporateActionDetails/DateDetails/PaymentDate must be absent. (MT 564 NVR C16).

On Condition
 /CorporateActionOptionDetails[*]/CashMovementDetails[*] is present
 Or /CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*] is present
 Following Must be True
 /CorporateActionDetails/DateDetails/PaymentDate Must be absent

This constraint is defined at the MessageDefinition level.

C101 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

C102 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

C103 RateAndCorporateActionEventRule

If CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/NotSpecifiedRate Code value or CorporateActionOptionDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

If CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/NotSpecifiedRate Code value or CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

This constraint is defined at the MessageDefinition level.

C104 RateStatusGuideline

The code value ACTU is the default value for a rate status.

C105 RevocabilityPeriodRule

If WithdrawalAllowedIndicator or ChangeAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the change or withdrawal of instruction is allowed.

C106 SafekeepingAccount1Rule

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General) then any element in the list (MinimumNominalQuantity, MinimumExercisableQuantity, MinimumExercisableMultipleQuantity, ContractSize) must not be present in any occurrences of CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails;

(MT564 Rule C2 - part 1).

On Condition

/AccountDetails/ForAllAccounts is present

And /AccountDetails/ForAllAccounts/IdentificationCode is equal to value 'General'

Following Must be True

/CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*]/

SecurityDetails/MinimumNominalQuantity Must be absent

And /CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*]/

SecurityDetails/MinimumQuantityToInstruct Must be absent

And /CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*]/

SecurityDetails/MinimumMultipleQuantityToInstruct Must be absent

And /CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*]/

SecurityDetails/ContractSize Must be absent

This constraint is defined at the MessageDefinition level.

C107 SafekeepingAccount2Rule

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General) then AmountDetails must not be present in any occurrences of CorporateActionOptionDetails/CashMovementDetails.

(MT564 Rule C2 - part 2).

On Condition

/AccountDetails/ForAllAccounts is present

And /AccountDetails/ForAllAccounts/IdentificationCode is equal to value 'General'

Following Must be True

/CorporateActionOptionDetails[*]/CashMovementDetails[*]/AmountDetails

Must be absent

This constraint is defined at the MessageDefinition level.

C108 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C109 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C110 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C111 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C112 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C113 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C114 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C115 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C116 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

C117 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

C118 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C119 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C120 TotalEligibleBalanceRule

If NotificationGeneralInformation/EligibleBalanceIndicator is 'true' or '1' (Yes), then at least one occurrence of AccountDetails/AccountsListAndBalanceDetails must be present and TotalEligibleBalance must be present in all occurrences of AccountDetails/AccountsListAndBalanceDetails/Balance.

If NotificationGeneralInformation/EligibleBalanceIndicator is 'false' or '0' (No) or is not present, then TotalEligibleBalance may or may not be present in all occurrences of AccountDetails/AccountsListAndBalanceDetails/Balance but it will not necessarily be the final eligible balance quantity.

This constraint is defined at the MessageDefinition level.

C121 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C122 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C123 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of those elements may be present.

(MT 564 NVR C7).

C124 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C125 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

3.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

3.4.1 Pagination <Pgntn>

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

Pagination <Pgntn> contains the following elements (see "Pagination1" on page 883 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		883
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		883

3.4.2 NotificationGeneralInformation <NtfctnGnlInf>

Presence: [1..1]

Definition: General information about the event notification type, status and contents.

Impacted by: C92 "NotificationTypeGuideline"

NotificationGeneralInformation <NtfctnGnlInf> contains the following **CorporateActionNotification9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NotificationIdentification <NtfctnId>	[0..1]	Text		62
	NotificationType <NtfctnTp>	[1..1]	CodeSet		62
	ProcessingStatus <PrpgSts>	[1..1]	±		63

Constraints

- **NotificationTypeGuideline**

NotificationType REPL is used to replace a previously sent CorporateActionNotification message that was reported with EventCompletenessStatus being incomplete or complete.

3.4.2.1 NotificationIdentification <NtfctnId>

Presence: [0..1]

Definition: Unique identification of the group of corporate action notification messages when the notification is split in multiple (paginated) messages.

Datatype: "Max35Text" on page 1246

3.4.2.2 NotificationType <NtfctnTp>

Presence: [1..1]

Definition: Specifies the type of notification.

Datatype: "CorporateActionNotificationType1Code" on page 1194

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

3.4.2.3 ProcessingStatus <PrcgSts>

Presence: [1..1]

Definition: Specifies the status of the details of the corporate action event.

ProcessingStatus <PrcgSts> contains one of the following elements (see "CorporateActionProcessingStatus5Choice" on page 910 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]			911
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		911
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		911
Or}	Proprietary <Prtry>	[1..1]	±		911

3.4.3 PreviousNotificationIdentification <PrvsNtfctnId>

Presence: [0..1]

Definition: Identification of the previously sent notification document.

PreviousNotificationIdentification <PrvsNtfctnId> contains the following elements (see "DocumentIdentification31" on page 854 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		855
	LinkageType <LkgTp>	[0..1]	±	C22	855

3.4.4 InstructionIdentification <InstrId>

Presence: [0..1]

Definition: Identification of a related instruction document.

InstructionIdentification <InstrId> contains the following elements (see "DocumentIdentification9" on page 871 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		871

3.4.5 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification32" on page 852 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			852
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		852
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		853
	DocumentNumber <DocNb>	[0..1]	±	C6	853
	LinkageType <LkgTp>	[0..1]	±	C22	853

3.4.6 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "CorporateActionEventReference3" on page 870 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			870
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		870
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		870
	LinkageType <LkgTp>	[0..1]	±	C22	871

3.4.7 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C94 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following
CorporateActionGeneralInformation176 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		66
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		66
	ClassActionNumber <ClssActnNb>	[0..1]	Text		66
	EventProcessingType <EvtPrcgTp>	[0..1]	±		66
	EventType <EvtTp>	[1..1]	±	C40	67
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		67
	UnderlyingSecurity <UndrlygScty>	[1..1]		C77, C101	67
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C24, C25, C68, C69, C98	69
	PlaceOfListing <PlcOfListg>	[0..1]	±		70
	DayCountBasis <DayCntBsis>	[0..1]	±		70
	ClassificationType <ClssfctnTp>	[0..1]	±		70
	OptionStyle <OptnStyle>	[0..1]	±		71
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C4	71
	NextCouponDate <NxtCpnDt>	[0..1]	Date		71
	ExpiryDate <XpryDt>	[0..1]	Date		71
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		71
	MaturityDate <MtrtyDt>	[0..1]	Date		71
	IssueDate <IssDt>	[0..1]	Date		72
	NextCallableDate <NxtCllblDt>	[0..1]	Date		72
	PutableDate <PutblDt>	[0..1]	Date		72
	DatedDate <DtdDt>	[0..1]	Date		72
	ConversionDate <ConvsDt>	[0..1]	Date		72
	InterestRate <IntrstRate>	[0..1]	±		72
	NextInterestRate <NxtIntrstRate>	[0..1]	±		72
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		73
	PreviousFactor <PrvsFctr>	[0..1]	±		73
	NextFactor <NxtFctr>	[0..1]	±		73

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	WarrantParity <WarrtParity>	[0..1]	±		74
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C26	74
	ContractSize <CtrctSz>	[0..1]	±	C26	74

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). These recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

3.4.7.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "Max35Text" on page 1246

3.4.7.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "Max35Text" on page 1246

3.4.7.3 ClassActionNumber <ClssActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "Max35Text" on page 1246

3.4.7.4 EventProcessingType <EvtPrcgTp>

Presence: [0..1]

Definition: Type of processing involved by a corporate action.

EventProcessingType <EvtPrcgTp> contains one of the following elements (see "CorporateActionEventProcessingType2Choice" on page 965 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		965
Or}	Proprietary <Prtry>	[1..1]	±		966

3.4.7.5 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: [C40 "EventTypeRule"](#)

EventType <EvtTp> contains one of the following elements (see ["CorporateActionEventType107Choice"](#) on page 1008 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1009
Or}	Proprietary <Prtry>	[1..1]	±		1015

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

3.4.7.6 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following elements (see ["CorporateActionMandatoryVoluntary3Choice"](#) on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		969
Or}	Proprietary <Prtry>	[1..1]	±		969

3.4.7.7 UnderlyingSecurity <UndrlygScty>

Presence: [1..1]

Definition: Security concerned by the corporate action.

Impacted by: [C77 "MaturityDateRule"](#), [C101 "PreviousNextFactorRule"](#)

UnderlyingSecurity <UndrlygScty> contains the following **FinancialInstrumentAttributes128** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C24, C25, C68, C69, C98	69
	PlaceOfListing <PlcOfListg>	[0..1]	±		70
	DayCountBasis <DayCntBsis>	[0..1]	±		70
	ClassificationType <ClssfctnTp>	[0..1]	±		70
	OptionStyle <OptnStyle>	[0..1]	±		71
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C4	71
	NextCouponDate <NxtCpnDt>	[0..1]	Date		71
	ExpiryDate <XpryDt>	[0..1]	Date		71
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		71
	MaturityDate <MtrtyDt>	[0..1]	Date		71
	IssueDate <IsseDt>	[0..1]	Date		72
	NextCallableDate <NxtClblDt>	[0..1]	Date		72
	PutableDate <PutblDt>	[0..1]	Date		72
	DatedDate <DtdDt>	[0..1]	Date		72
	ConversionDate <ConvstDt>	[0..1]	Date		72
	InterestRate <IntrstRate>	[0..1]	±		72
	NextInterestRate <NxtIntrstRate>	[0..1]	±		72
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		73
	PreviousFactor <PrvsFctr>	[0..1]	±		73
	NextFactor <NxtFctr>	[0..1]	±		73
	WarrantParity <WarrtParity>	[0..1]	±		74
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C26	74
	ContractSize <CtrctSz>	[0..1]	±	C26	74

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

3.4.7.7.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identifies the financial instrument.

Impacted by: C24 "DescriptionPresenceRule", C25 "DescriptionUsageRule", C68 "ISINGuideline", C69 "ISINPresenceRule", C98 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		864
	OtherIdentification <OthrId>	[0..*]			864
	Identification <Id>	[1..1]	Text		864
	Suffix <Sfx>	[0..1]	Text		864
	Type <Tp>	[1..1]	±		865
	Description <Desc>	[0..1]	Text		865

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

 /Description is absent

Following Must be True

 /ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present

```

3.4.7.7.2 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

PlaceOfListing <PlcOfListg> contains one of the following elements (see "MarketIdentification3Choice" on page 877 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		878
Or}	Description <Desc>	[1..1]	Text		878

3.4.7.7.3 DayCountBasis <DayCntBsis>

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

DayCountBasis <DayCntBsis> contains one of the following elements (see "InterestComputationMethodFormat4Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		970
Or}	Proprietary <Prtry>	[1..1]	±		973

3.4.7.7.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

ClassificationType <ClssfctnTp> contains one of the following elements (see "ClassificationType32Choice" on page 882 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		882
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		882

3.4.7.7.5 OptionStyle <OptnStyle>*Presence:* [0..1]*Definition:* Specifies how an option can be exercised.**OptionStyle <OptnStyle>** contains one of the following elements (see ["OptionStyle8Choice"](#) on page 917 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		917
Or}	Proprietary <Prtry>	[1..1]	±		918

3.4.7.7.6 DenominationCurrency <DnmtnCcy>*Presence:* [0..1]*Definition:* Currency in which a financial instrument is currently denominated.*Impacted by:* [C4 "ActiveOrHistoricCurrency"](#)*Datatype:* ["ActiveOrHistoricCurrencyCode"](#) on page 1155**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

3.4.7.7.7 NextCouponDate <NxtCpnDt>*Presence:* [0..1]*Definition:* Next payment date of an interest bearing financial instrument.*Datatype:* ["ISODate"](#) on page 1238**3.4.7.7.8 ExpiryDate <XpryDt>***Presence:* [0..1]*Definition:* Date on which an order expires or at which a privilege or offer terminates.*Datatype:* ["ISODate"](#) on page 1238**3.4.7.7.9 FloatingRateFixingDate <FltgRateFxdt>***Presence:* [0..1]*Definition:* Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.*Datatype:* ["ISODate"](#) on page 1238**3.4.7.7.10 MaturityDate <MtrtyDt>***Presence:* [0..1]*Definition:* Date on which a financial instrument becomes due and assets are to be repaid.

Datatype: "ISODate" on page 1238

3.4.7.7.11 IssueDate <IsseDt>

Presence: [0..1]

Definition: Date on which the financial instrument is issued.

Datatype: "ISODate" on page 1238

3.4.7.7.12 NextCallableDate <NxtClldDt>

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: "ISODate" on page 1238

3.4.7.7.13 PutableDate <PutblDt>

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: "ISODate" on page 1238

3.4.7.7.14 DatedDate <DtdDt>

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: "ISODate" on page 1238

3.4.7.7.15 ConversionDate <ConvsDt>

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: "ISODate" on page 1238

3.4.7.7.16 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

InterestRate <IntrstRate> contains one of the following elements (see "RateFormat24Choice" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

3.4.7.7.17 NextInterestRate <NxtIntrstRate>

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

NextInterestRate <NxtIntrstRate> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

3.4.7.7.18 PercentageOfDebtClaim <PctgOfDebtClm>

Presence: [0..1]

Definition: Percentage of the underlying assets of a fund that represents a debt, for example, in the context of the EU Savings directive.

PercentageOfDebtClaim <PctgOfDebtClm> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

3.4.7.7.19 PreviousFactor <PrvsFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

PreviousFactor <PrvsFctr> contains one of the following elements (see "[RateFormat12Choice](#)" on page 965 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		965
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		965

3.4.7.7.20 NextFactor <NxtFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.

NextFactor <NxtFctr> contains one of the following elements (see "[RateFormat12Choice](#)" on page 965 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		965
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		965

3.4.7.7.21 WarrantParity <WarrtParity>*Presence:* [0..1]*Definition:* Provides the ratio between the quantity of warrants and the quantity of underlying securities.**WarrantParity <WarrtParity>** contains the following elements (see "[QuantityToQuantityRatio1](#)" on page 865 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		865
	Quantity2 <Qty2>	[1..1]	Quantity		865

3.4.7.7.22 MinimumNominalQuantity <MinNmnlQty>*Presence:* [0..1]*Definition:* Minimum nominal quantity of financial instrument.*Impacted by:* [C26 "DigitalTokenUnitUsageRule"](#)**MinimumNominalQuantity <MinNmnlQty>** contains one of the following elements (see "[FinancialInstrumentQuantity33Choice](#)" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.7.7.23 ContractSize <CtrctSz>*Presence:* [0..1]*Definition:* Ratio or multiplying factor used to convert one contract into a financial instrument quantity.*Impacted by:* [C26 "DigitalTokenUnitUsageRule"](#)**ContractSize <CtrctSz>** contains one of the following elements (see "[FinancialInstrumentQuantity33Choice](#)" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.8 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

AccountDetails <AcctDtls> contains one of the following **AccountIdentification71Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		76
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C108, C109, C110, C111	77
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		79
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		79
	AccountOwner <AcctOwnr>	[0..1]	±		79
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		79
	Balance <Bal>	[0..1]		C10	80
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		81
	BlockedBalance <BlckdBal>	[0..1]	±		82
	BorrowedBalance <BrrwdBal>	[0..1]	±		82
	CollateralInBalance <CollInBal>	[0..1]	±		82
	CollateralOutBalance <CollOutBal>	[0..1]	±		83
	OnLoanBalance <OnLnBal>	[0..1]	±		83
	PendingDeliveryBalance <PdgDivryBal>	[0..*]	±		83
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		84
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		84
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		84
	StreetPositionBalance <StrtPosBal>	[0..1]	±		85
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		85
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		85
	RegisteredBalance <RegdBal>	[0..1]	±		86
	ObligatedBalance <OblgtdBal>	[0..1]	±		86
	UninstructedBalance <UinstdBal>	[0..1]	±		86
	InstructedBalance <InstdBal>	[0..1]	±		87
	AffectedBalance <AfctdBal>	[0..1]	±		87
	UnaffectedBalance <UafctdBal>	[0..1]	±		87

3.4.8.1 ForAllAccounts <ForAllAccts>

Presence: [1..1]

Definition: All safekeeping accounts that own an underlying financial instrument.

ForAllAccounts <ForAllAccts> contains the following elements (see "[AccountIdentification10](#)" on page 827 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		827

3.4.8.2 AccountsListAndBalanceDetails <AcctsListAndBalDtls>

Presence: [1..*]

Definition: Selected safekeeping accounts list (and optionally balance information) to which the corporate action event applies.

Impacted by: C108 "SafekeepingAccountOrBlockChainAddress1Rule", C109

"SafekeepingAccountOrBlockChainAddress2Rule", C110

"SafekeepingAccountOrBlockChainAddress3Rule", C111 "SafekeepingPlaceRule"

AccountsListAndBalanceDetails <AcctsListAndBalDtls> contains the following **AccountAndBalance60** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		79
	BlockChainAddressOrWallet <BlckChainAdrOrWllet>	[0..1]	Text		79
	AccountOwner <AcctOwnr>	[0..1]	±		79
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		79
	Balance <Bal>	[0..1]		C10	80
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		81
	BlockedBalance <BlckdBal>	[0..1]	±		82
	BorrowedBalance <BrrwdBal>	[0..1]	±		82
	CollateralInBalance <CollInBal>	[0..1]	±		82
	CollateralOutBalance <CollOutBal>	[0..1]	±		83
	OnLoanBalance <OnLnBal>	[0..1]	±		83
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		83
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		84
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		84
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		84
	StreetPositionBalance <StrtPosBal>	[0..1]	±		85
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		85
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		85
	RegisteredBalance <RegdBal>	[0..1]	±		86
	ObligatedBalance <OblgtdBal>	[0..1]	±		86
	UninstructedBalance <UinstdBal>	[0..1]	±		86
	InstructedBalance <InstdBal>	[0..1]	±		87
	AffectedBalance <AfctdBal>	[0..1]	±		87
	UnaffectedBalance <UafctdBal>	[0..1]	±		87

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition
 /BlockChainAddressOrWallet is present
 Following Must be True
 /SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition
 /SafekeepingAccount is present
 Following Must be True
 /BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

3.4.8.2.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "Max35Text" on page 1246

3.4.8.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 1244

3.4.8.2.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification127Choice" on page 1032 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1032
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1032

3.4.8.2.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat42Choice" on page 1130 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			1130
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1130
	Identification <Id>	[0..1]	Text		1131
Or	Country <Ctry>	[1..1]	CodeSet	C3	1131
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		1131
Or	TypeAndIdentification <TpAndId>	[1..1]			1131
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1132
	Identification <Id>	[1..1]	IdentifierSet	C1	1132
Or}	Proprietary <Prtry>	[1..1]	±		1132

3.4.8.2.5 Balance <Bal>

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

Impacted by: C10 "AffectedAndUnaffectedBalanceRule"

Balance <Bal> contains the following **CorporateActionBalanceDetails43** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		81
	BlockedBalance <BlckdBal>	[0..1]	±		82
	BorrowedBalance <BrrwdBal>	[0..1]	±		82
	CollateralInBalance <CollInBal>	[0..1]	±		82
	CollateralOutBalance <CollOutBal>	[0..1]	±		83
	OnLoanBalance <OnLnBal>	[0..1]	±		83
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		83
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		84
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		84
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		84
	StreetPositionBalance <StrtPosBal>	[0..1]	±		85
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		85
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		85
	RegisteredBalance <RegdBal>	[0..1]	±		86
	ObligatedBalance <OblgtdBal>	[0..1]	±		86
	UninstructedBalance <UinstdBal>	[0..1]	±		86
	InstructedBalance <InstdBal>	[0..1]	±		87
	AffectedBalance <AfctdBal>	[0..1]	±		87
	UnaffectedBalance <UafctdBal>	[0..1]	±		87

Constraints

- **AffectedAndUnaffectedBalanceRule**

UnaffectedBalance and AffectedBalance should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

3.4.8.2.5.1 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

TotalEligibleBalance <TtlElgblBal> contains the following elements (see "TotalEligibleBalanceFormat10" on page 832 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[0..1]	±		832
	FullPeriodUnits <FullPrdUnits>	[0..1]	±		832
	PartWayPeriodUnits <PartWayPrdUnits>	[0..1]	±		833

3.4.8.2.5.2 BlockedBalance <BlckdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

BlockedBalance <BlckdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

3.4.8.2.5.3 BorrowedBalance <BrrwdBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been borrowed from another party.

BorrowedBalance <BrrwdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

3.4.8.2.5.4 CollateralInBalance <CollnBal>

Presence: [0..1]

Definition: Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CollateralInBalance <CollInBal> contains one of the following elements (see ["BalanceFormat11Choice"](#) on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

3.4.8.2.5.5 CollateralOutBalance <CollOutBal>

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

CollateralOutBalance <CollOutBal> contains one of the following elements (see ["BalanceFormat11Choice"](#) on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

3.4.8.2.5.6 OnLoanBalance <OnLnBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

OnLoanBalance <OnLnBal> contains one of the following elements (see ["BalanceFormat11Choice"](#) on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

3.4.8.2.5.7 PendingDeliveryBalance <PdgDlvryBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

PendingDeliveryBalance <PdgDlvryBal> contains one of the following elements (see "BalanceFormat12Choice" on page 829 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		829
Or	EligibleBalance <ElgblBal>	[1..1]	±		829
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		830
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		830
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		830

3.4.8.2.5.8 PendingReceiptBalance <PdgRctBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

PendingReceiptBalance <PdgRctBal> contains one of the following elements (see "BalanceFormat12Choice" on page 829 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		829
Or	EligibleBalance <ElgblBal>	[1..1]	±		829
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		830
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		830
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		830

3.4.8.2.5.9 OutForRegistrationBalance <OutForRegnBal>

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

OutForRegistrationBalance <OutForRegnBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

3.4.8.2.5.10 SettlementPositionBalance <SttlmPosBal>

Presence: [0..*]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

SettlementPositionBalance <SttlmPosBal> contains one of the following elements (see "BalanceFormat12Choice" on page 829 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		829
Or	EligibleBalance <ElgblBal>	[1..1]	±		829
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		830
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		830
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		830

3.4.8.2.5.11 StreetPositionBalance <StrtPosBal>

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

StreetPositionBalance <StrtPosBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

3.4.8.2.5.12 TradeDatePositionBalance <TradDtPosBal>

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

TradeDatePositionBalance <TradDtPosBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

3.4.8.2.5.13 InTransshipmentBalance <InTrnsShipmntBal>

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

InTransshipmentBalance <InTrnsShipmntBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

3.4.8.2.5.14 RegisteredBalance <RegdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

RegisteredBalance <RegdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

3.4.8.2.5.15 ObligatedBalance <OblgtdBal>

Presence: [0..1]

Definition: Position that account holders should return to the account servicer to participate in the event or to fulfil their obligation for the event to be complete, for example, return of securities for late announced drawing.

ObligatedBalance <OblgtdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

3.4.8.2.5.16 UninstructedBalance <UinstdBal>

Presence: [0..1]

Definition: Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

3.4.8.2.5.17 InstructedBalance <InstdBal>

Presence: [0..1]

Definition: Balance of instructed position.

InstructedBalance <InstdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

3.4.8.2.5.18 AffectedBalance <AfctdBal>

Presence: [0..1]

Definition: Balance that has been affected by the process run through the event.

AffectedBalance <AfctdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

3.4.8.2.5.19 UnaffectedBalance <UafctdBal>

Presence: [0..1]

Definition: Balance that has not been affected by the process run through the event.

UnaffectedBalance <UafctdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

3.4.9 IntermediateSecurity <IntrmdtScty>

Presence: [0..1]

Definition: Details on rights credited to the account as for example trading period, expiry date, renounceability.

IntermediateSecurity <IntrmdtScty> contains the following **FinancialInstrumentAttributes110** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <Sctyld>	[1..1]	±	C24, C25, C68, C69, C98	88
	Quantity <Qty>	[0..1]	Quantity		89
	RenounceableEntitlementStatusType <RnncblEntitlmntStsTp>	[0..1]	±		90
	FractionDisposition <FrctnDspstn>	[0..1]	±		90
	IntermediateSecuritiesToUnderlyingRatio <IntrmdtSctiesToUndrlygRatio>	[0..1]	±		90
	MarketPrice <MktPric>	[0..1]	±		90
	ExpiryDate <XpryDt>	[1..1]	±		91
	PostingDate <PstngDt>	[1..1]	±		91
	TradingPeriod <TradgPrd>	[0..1]	±		91
	UninstructedBalance <UinstdBal>	[0..1]	±		92
	InstructedBalance <InstdBal>	[0..1]	±		92

3.4.9.1 SecurityIdentification <Sctyld>

Presence: [1..1]

Definition: Identifies the financial instrument.

Impacted by: C24 "DescriptionPresenceRule", C25 "DescriptionUsageRule", C68 "ISINGuideline", C69 "ISINPresenceRule", C98 "OtherIdentificationPresenceRule"

SecurityIdentification <Sctyld> contains the following elements (see "SecurityIdentification19" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		864
	OtherIdentification <Othrlid>	[0..*]			864
	Identification <Id>	[1..1]	Text		864
	Suffix <Sfx>	[0..1]	Text		864
	Type <Tp>	[1..1]	±		865
	Description <Desc>	[0..1]	Text		865

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

3.4.9.2 Quantity <Qty>

Presence: [0..1]

Definition: Quantity of entitled intermediate securities based on the balance of underlying securities.

Datatype: "DecimalNumber" on page 1241

3.4.9.3 RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>

Presence: [0..1]

Definition: Specifies whether terms of the event allow resale of the rights.

RenounceableEntitlementStatusType <RnnclEntitlmntStsTp> contains one of the following elements (see "RenounceableEntitlementStatusTypeFormat3Choice" on page 937 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		937
Or}	Proprietary <Prtry>	[1..1]	±		937

3.4.9.4 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "FractionDispositionType25Choice" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		914
Or}	Proprietary <Prtry>	[1..1]	±		914

3.4.9.5 IntermediateSecuritiesToUnderlyingRatio <IntrmdtSciesToUndrlygRatio>

Presence: [0..1]

Definition: Quantity of intermediate securities awarded for a given quantity of underlying security.

IntermediateSecuritiesToUnderlyingRatio <IntrmdtSciesToUndrlygRatio> contains the following elements (see "QuantityToQuantityRatio1" on page 865 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		865
	Quantity2 <Qty2>	[1..1]	Quantity		865

3.4.9.6 MarketPrice <MktPric>

Presence: [0..1]

Definition: Last reported/known price of a financial instrument in a market.

MarketPrice <MktPric> contains the following elements (see ["AmountPrice2"](#) on page 828 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		828
	PriceValue <PricVal>	[1..1]	Amount	C2	828

3.4.9.7 ExpiryDate <XpryDt>

Presence: [1..1]

Definition: Date on which an order expires or at which a privilege or offer terminates.

ExpiryDate <XpryDt> contains one of the following elements (see ["DateFormat30Choice"](#) on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.9.8 PostingDate <PstngDt>

Presence: [1..1]

Definition: Date of the posting (credit or debit) to the account.

PostingDate <PstngDt> contains one of the following elements (see ["DateFormat30Choice"](#) on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.9.9 TradingPeriod <TradgPrd>

Presence: [0..1]

Definition: Period during which intermediate or outturn securities are tradable in a secondary market.

TradingPeriod <TradgPrd> contains the following elements (see "Period11" on page 848 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			848
{Or	Date <Dt>	[1..1]	±		849
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		849
	EndDate <EndDt>	[1..1]			849
{Or	Date <Dt>	[1..1]	±		849
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		850

3.4.9.10 UninstructedBalance <UinstdBal>

Presence: [0..1]

Definition: Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

3.4.9.11 InstructedBalance <InstdBal>

Presence: [0..1]

Definition: Balance of instructed position.

InstructedBalance <InstdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

3.4.10 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

Impacted by: C1 "AcceptancePriorityLevelUGRule", C29 "DissenterRights1Rule", C31 "DissenterRights1UGRule", C33 "DissenterRights2Rule", C35 "DissenterRights2UGRule"

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction84** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]		C39	97
	AnnouncementDate <AnncmntDt>	[0..1]	±		99
	CertificationDeadline <CertfctnDdln>	[0..1]	±		99
	CourtApprovalDate <CrtApprvIDt>	[0..1]	±		100
	EarlyClosingDate <EarlyClsgDt>	[0..1]	±		100
	EffectiveDate <FctvDt>	[0..1]	±		100
	EqualisationDate <EqulstnDt>	[0..1]	±		100
	FurtherDetailedAnnouncementDate <FrthrDtldAnncmntDt>	[0..1]	±		101
	FixingDate <FvgDt>	[0..1]	±		101
	LotteryDate <LtryDt>	[0..1]	±		101
	NewMaturityDate <NewMtrtyDt>	[0..1]	±		102
	MeetingDate <MtgDt>	[0..1]	±		102
	MarginFixingDate <MrgnFvgDt>	[0..1]	±		102
	ProrationDate <PrratnDt>	[0..1]	±		103
	RecordDate <RcrdDt>	[0..1]	±		103
	RegistrationDeadline <RegnDdln>	[0..1]	±		103
	ResultsPublicationDate <RsItsPblctnDt>	[0..1]	±		104
	DeadlineToSplit <DdlnToSpl>	[0..1]	±		104
	DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr>	[0..1]	±		104
	TradingSuspendedDate <TradgSspdDt>	[0..1]	±		105
	UnconditionalDate <UcondIDt>	[0..1]	±		105
	WhollyUnconditionalDate <WhlyUcondIDt>	[0..1]	±		105
	ExDividendDate <ExDvddDt>	[0..1]	±		106
	OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>	[0..1]	±		106
	SpecialExDate <SpclExDt>	[0..1]	±		106
	GuaranteedParticipationDate <GrntedPrtcptnDt>	[0..1]	±		107
	ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdln>	[0..1]	±		107
	ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln>	[0..1]	±		107
	LapsedDate <LpsdDt>	[0..1]	±		108

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[0..1]	±		108
	ThirdPartyDeadline <ThrdPtyDdln>	[0..1]	±		108
	EarlyThirdPartyDeadline <EarlyThrdPtyDdln>	[0..1]	±		109
	MarketClaimTrackingEndDate <MktClmTrckgEndDt>	[0..1]	±		109
	LeadPlaintiffDeadline <LeadPlntffDdln>	[0..1]	±		109
	FilingDate <FilgDt>	[0..1]	±		110
	HearingDate <HrgDt>	[0..1]	±		110
	PeriodDetails <PrdDtls>	[0..1]	±	C64	110
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]	±	C21	111
	PriceDetails <PricDtls>	[0..1]			112
	MaximumPrice <MaxPric>	[0..1]	±		112
	MinimumPrice <MinPric>	[0..1]	±		113
	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]	±		113
	LastBidIncrementPrice <LastBidIncrmtPric>	[0..1]	±		114
	SecuritiesQuantity <SctiesQty>	[0..1]		C79	114
	MaximumQuantity <MaxQty>	[0..1]	±	C27	115
	MinimumQuantitySought <MinQtySght>	[0..1]	±	C27	116
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C28	116
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C28	117
	BaseDenomination <BaseDnmtn>	[0..1]	±	C28	117
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C28	118
	InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>	[0..1]	Quantity	C93	118
	CouponNumber <CpnNb>	[0..*]	±		118
	AcceptancePriorityLevel <AcptncPrtyLvl>	[0..1]	Text		119
	CertificationBreakdownIndicator <CertfctnBrkdownInd>	[0..1]	Indicator		119
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		119
	RestrictionIndicator <RstrctnInd>	[0..1]	Indicator		119
	AccruedInterestIndicator <AcrdIntrstInd>	[0..1]	Indicator		120
	ForfeitureOfInterestIndicator <FrfrOfIntrstInd>	[0..1]	Indicator		120
	LetterOfGuaranteedDeliveryIndicator <LtrOfGrntedDlvryInd>	[0..1]	Indicator		120
	ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>	[0..1]	Indicator		120

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DividendType <DvddTp>	[0..1]	±		120
	EventSequenceType <EvtSeqTp>	[0..1]	±		121
	OccurrenceType <OcrncTp>	[0..1]	±		121
	OfferType <OfferTp>	[0..*]	±		121
	RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>	[0..1]	±		121
	EventStage <EvtStag>	[0..*]	±		122
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		122
	ChangeType <ChngTp>	[0..*]	±		122
	IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>	[0..1]	±		123
	CapitalGainInOutIndicator <CptlGnlInOutInd>	[0..1]	±		123
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]	±		123
	ElectionType <ElctnTp>	[0..1]	±		123
	LotteryType <LtryTp>	[0..1]	±		124
	CertificationType <CertfctnTp>	[0..1]	±		124
	ConsentType <CnsntTp>	[0..1]	±		124
	InformationType <InfTp>	[0..1]	±		124
	TaxOnNonDistributedProceedsIndicator <TaxOnNonDstrbtdPrclsInd>	[0..*]	±		125
	DutchAuctionType <DtchAuctnTp>	[0..1]			125
{Or	Code <Cd>	[1..1]	CodeSet		125
Or}	Proprietary <Prtry>	[1..1]	±		126
	NewPlaceOfIncorporation <NewPlcOfIncorprtn>	[0..1]	Text		126
	AdditionalInformation <AddtlInf>	[0..1]		C5	126
	Offeror <Offerr>	[0..*]	Text		126
	NewCompanyName <NewCpnyNm>	[0..1]	Text		127
	URLAddress <URLAdr>	[0..*]			127
	Language <Lang>	[1..1]	CodeSet		127
	URLAddress <URLAdr>	[1..1]	Text		127
	EventProcessingWebSiteAddress <EvtPrcgWebSiteAdr>	[0..1]	Text		127

Constraints

- **AcceptancePriorityLevelUGRule**

If OfferType/Code is equal to AcceptancePriority (ACPR), then AcceptancePriorityLevel must be present.

```
if
    at least one occurrence of [OfferType/Code] has value included in the
    following list 'ACPR'
    , then
        at least one occurrence of the following element(s) [AcceptancePriorityLevel]
        must be present
```

- **DissenterRights1Rule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```
On Condition
    /OfferType[*]/Code is equal to value 'DissenterRights'
Following Must be True
    /OfferType[*]/Code Must be different from value 'DissenterRightsNotApplicable'
```

- **DissenterRights1UGRule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```
if
    at least one occurrence of [OfferType/Code] has value included in the
    following list 'DISS'
    , then
        every occurrence of [OfferType/Code] must have value not included in the
        following list 'NDIS'
```

- **DissenterRights2Rule**

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```
On Condition
    /OfferType[*]/Code is equal to value 'DissenterRightsNotApplicable'
Following Must be True
    /OfferType[*]/Code Must be different from value 'DissenterRights'
```

- **DissenterRights2UGRule**

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```
if
    at least one occurrence of [OfferType/Code] has value included in the
    following list 'NDIS'
    , then
        every occurrence of [OfferType/Code] must have value not included in the
        following list 'DISS'
```


3.4.10.1 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

Impacted by: C39 "EffectiveDateRule"

DateDetails <DtDtls> contains the following **CorporateActionDate83** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnnouncementDate <AnncmntDt>	[0..1]	±		99
	CertificationDeadline <CertfctnDdln>	[0..1]	±		99
	CourtApprovalDate <CrtApprvlDt>	[0..1]	±		100
	EarlyClosingDate <EarlyClsgDt>	[0..1]	±		100
	EffectiveDate <FctvDt>	[0..1]	±		100
	EqualisationDate <EqulstnDt>	[0..1]	±		100
	FurtherDetailedAnnouncementDate <FrthrDtldAnncmntDt>	[0..1]	±		101
	FixingDate <FvgDt>	[0..1]	±		101
	LotteryDate <LtryDt>	[0..1]	±		101
	NewMaturityDate <NewMtrtyDt>	[0..1]	±		102
	MeetingDate <MtgDt>	[0..1]	±		102
	MarginFixingDate <MrgnFvgDt>	[0..1]	±		102
	ProrationDate <PrratnDt>	[0..1]	±		103
	RecordDate <RcrdDt>	[0..1]	±		103
	RegistrationDeadline <RegnDdln>	[0..1]	±		103
	ResultsPublicationDate <RsItsPblctnDt>	[0..1]	±		104
	DeadlineToSplit <DdlnToSpl>	[0..1]	±		104
	DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwInsr>	[0..1]	±		104
	TradingSuspendedDate <TradgSspdDt>	[0..1]	±		105
	UnconditionalDate <UcondlDt>	[0..1]	±		105
	WhollyUnconditionalDate <WhlyUcondlDt>	[0..1]	±		105
	ExDividendDate <ExDvddDt>	[0..1]	±		106
	OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>	[0..1]	±		106
	SpecialExDate <SpcExDt>	[0..1]	±		106
	GuaranteedParticipationDate <GrntedPrtcptnDt>	[0..1]	±		107
	ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdln>	[0..1]	±		107
	ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln>	[0..1]	±		107
	LapsedDate <LpsdDt>	[0..1]	±		108
	PaymentDate <PmtDt>	[0..1]	±		108

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ThirdPartyDeadline <ThrdPtyDdln>	[0..1]	±		108
	EarlyThirdPartyDeadline <EarlyThrdPtyDdln>	[0..1]	±		109
	MarketClaimTrackingEndDate <MktClnTrckgEndDt>	[0..1]	±		109
	LeadPlaintiffDeadline <LeadPlntffDdln>	[0..1]	±		109
	FilingDate <FilgDt>	[0..1]	±		110
	HearingDate <HrgDt>	[0..1]	±		110

Constraints

- **EffectiveDateRule**

Effective date is to be used in events where there is no concept of entitlement, for example Name Change (CHAN) or Place of Incorporation (PLAC), and in events where there is a sense of eligibility but with a legal obligation, for example Merger (MRGR).

3.4.10.1.1 AnnouncementDate <AnncmntDt>

Presence: [0..1]

Definition: Date/time at which the issuer announced that a corporate action event will occur.

AnnouncementDate <AnncmntDt> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.10.1.2 CertificationDeadline <CertfctnDdln>

Presence: [0..1]

Definition: Deadline by which the certification must be sent.

CertificationDeadline <CertfctnDdln> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.10.1.3 CourtApprovalDate <CrtApprvIDt>*Presence:* [0..1]*Definition:* Date upon which the court provided approval.**CourtApprovalDate <CrtApprvIDt>** contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.10.1.4 EarlyClosingDate <EarlyClsgDt>*Presence:* [0..1]*Definition:* First possible early closing date of an offer if different from the expiry date.**EarlyClosingDate <EarlyClsgDt>** contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.10.1.5 EffectiveDate <FctvDt>*Presence:* [0..1]*Definition:* Date/time at which an event is officially effective from the issuer's perspective.**EffectiveDate <FctvDt>** contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.10.1.6 EqualisationDate <EqualstnDt>*Presence:* [0..1]

Definition: Date/time on which all or part of any holding bought in a unit trust is subject to being treated as capital rather than income. This is normally one day after the previous distribution's ex date.

EqualisationDate <EquIstnDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.10.1.7 FurtherDetailedAnnouncementDate <FrthrDtIdAnncmntDt>

Presence: [0..1]

Definition: Date/time at which additional information on the event will be announced, for example, exchange ratio announcement date.

FurtherDetailedAnnouncementDate <FrthrDtIdAnncmntDt> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.10.1.8 FixingDate <FxdDt>

Presence: [0..1]

Definition: Date/time at which an index / rate / price / value will be determined.

FixingDate <FxdDt> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.10.1.9 LotteryDate <LtryDt>

Presence: [0..1]

Definition: Date/time on which the lottery is run and applied to the holder's positions. This is also applicable to partial calls.

LotteryDate <LtryDt> contains one of the following elements (see ["DateFormat30Choice"](#) on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.10.1.10 NewMaturityDate <NewMtrtyDt>

Presence: [0..1]

Definition: Date/time to which the maturity date of an interest bearing security is extended.

NewMaturityDate <NewMtrtyDt> contains one of the following elements (see ["DateFormat30Choice"](#) on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.10.1.11 MeetingDate <MtgDt>

Presence: [0..1]

Definition: Date/time on which the bondholder's or shareholder's meeting will take place.

MeetingDate <MtgDt> contains one of the following elements (see ["DateFormat43Choice"](#) on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.10.1.12 MarginFixingDate <MrgnFxdDt>

Presence: [0..1]

Definition: Date/time at which the margin rate will be determined.

MarginFixingDate <MrgnFxdt> contains one of the following elements (see "[DateFormat30Choice](#)" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.10.1.13 ProrationDate <PrratnDt>

Presence: [0..1]

Definition: Date/time (and time) at which an issuer will determine the proration amount/quantity of an offer.

ProrationDate <PrratnDt> contains one of the following elements (see "[DateFormat30Choice](#)" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.10.1.14 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "[DateFormat30Choice](#)" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.10.1.15 RegistrationDeadline <RegnDdln>

Presence: [0..1]

Definition: Date/time on which instructions to register or registration details will be accepted.

RegistrationDeadline <RegnDdln> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.10.1.16 ResultsPublicationDate <RsltsPblctnDt>

Presence: [0..1]

Definition: Date/time on which results are published, for example, results of an offer.

ResultsPublicationDate <RsltsPblctnDt> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.10.1.17 DeadlineToSplit <DdlnToSpl>

Presence: [0..1]

Definition: Deadline by which instructions must be received to split securities, for example, of physical certificates.

DeadlineToSplit <DdlnToSpl> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.10.1.18 DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr>

Presence: [0..1]

Definition: Date/time on until which tax breakdown instructions will be accepted.

DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr> contains one of the following elements (see ["DateFormat43Choice"](#) on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.10.1.19 TradingSuspendedDate <TradgSspdDt>

Presence: [0..1]

Definition: Date/time at which trading of a security is suspended as the result of an event.

TradingSuspendedDate <TradgSspdDt> contains one of the following elements (see ["DateFormat43Choice"](#) on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.10.1.20 UnconditionalDate <UcondlDt>

Presence: [0..1]

Definition: Date/time upon which the terms of the take-over become unconditional as to acceptances.

UnconditionalDate <UcondlDt> contains one of the following elements (see ["DateFormat30Choice"](#) on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.10.1.21 WhollyUnconditionalDate <WhlyUcondlDt>

Presence: [0..1]

Definition: Date/time at on which all conditions, including regulatory, legal etc. pertaining to the take-over, have been met.

WhollyUnconditionalDate <WhlyUcondIDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.10.1.22 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.10.1.23 OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>

Presence: [0..1]

Definition: Date/time at which the corporate action is legally announced by an official body, for example, publication by a governmental administration.

OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.10.1.24 SpecialExDate <SpclExDt>

Presence: [0..1]

Definition: Date/time from which 'special processing' can start to be used by participants for that event. Special processing is a means of marking a transaction, that would normally be traded ex or cum, as

being traded cum or ex respectively, for example, a transaction dealt 'special' after the ex date would result in the buyer being eligible for the entitlement. This is typically used in the UK and Irish markets.

SpecialExDate <SpclExDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.10.1.25 GuaranteedParticipationDate <GrntedPrtcptnDt>

Presence: [0..1]

Definition: Last date/time by which a buying counterparty to a trade can be sure that it will have the right to participate in an event.

GuaranteedParticipationDate <GrntedPrtcptnDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.10.1.26 ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdln>

Presence: [0..1]

Definition: Deadline by which an entitled holder needs to advise their counterparty to a transaction of their election for a corporate action event, also known as Buyer Protection Deadline.

ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdln> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.10.1.27 ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln>

Presence: [0..1]

Definition: Date/time the account servicer has set as the deadline to respond, with instructions, prior to the election to counterparty market deadline.

ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln> contains one of the following elements (see "[DateFormat43Choice](#)" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.10.1.28 LapsedDate <LpsdDt>

Presence: [0..1]

Definition: Date/time at which an event/offer is terminated or lapsed.

LapsedDate <LpsdDt> contains one of the following elements (see "[DateFormat30Choice](#)" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.10.1.29 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "[DateFormat30Choice](#)" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.10.1.30 ThirdPartyDeadline <ThrdPtyDdln>

Presence: [0..1]

Definition: Date/time by which the account owner must instruct directly another party, for example, to provide documentation to an issuer agent.

ThirdPartyDeadline <ThrdPtyDdln> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.10.1.31 EarlyThirdPartyDeadline <EarlyThrdPtyDdln>

Presence: [0..1]

Definition: Date/Time set by the issuer agent as a first early deadline by which the account owner must instruct directly another party, possibly giving the holder eligibility to incentives. For example, to provide documentation to an issuer agent.

EarlyThirdPartyDeadline <EarlyThrdPtyDdln> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.10.1.32 MarketClaimTrackingEndDate <MktCImTrckgEndDt>

Presence: [0..1]

Definition: Date by which the depository stops monitoring activities of the event, for instance, accounting and tracking activities for due bills end.

MarketClaimTrackingEndDate <MktCImTrckgEndDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.10.1.33 LeadPlaintiffDeadline <LeadPlntffDdln>

Presence: [0..1]

Definition: Last day an investor can become a lead plaintiff.

LeadPlaintiffDeadline <LeadPlntffDdln> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.10.1.34 FilingDate <FilgDt>

Presence: [0..1]

Definition: Date on which the action was filed at the applicable court.

FilingDate <FilgDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.10.1.35 HearingDate <HrgDt>

Presence: [0..1]

Definition: Date for the hearing between the plaintiff and defendant, as set by the court.

HearingDate <HrgDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.10.2 PeriodDetails <PrdDtIs>

Presence: [0..1]

Definition: Provides information about the periods related to a corporate action event.

Impacted by: C64 "InterestPeriodRule"

PeriodDetails <PrdDtls> contains the following elements (see "[CorporateActionPeriod16](#)" on page 833 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		834
	InterestPeriod <IntrstPrd>	[0..1]	±		835
	CompulsoryPurchasePeriod <CmplsryPurchsPrd>	[0..1]	±		835
	ClaimPeriod <ClmPrd>	[0..1]	±		835
	DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf>	[0..1]	±		835
	DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAgt>	[0..1]	±		836
	DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst>	[0..1]	±		836
	DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg>	[0..1]	±		836
	DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn>	[0..1]	±		837
	DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt>	[0..1]	±		837
	DepositorySuspensionPeriodForWithdrawalInNomineeName <DpstrySspnsnPrdForWdrwlInNmneeNm>	[0..1]	±		837
	DepositorySuspensionPeriodForWithdrawalInStreetName <DpstrySspnsnPrdForWdrwlInStrtNm>	[0..1]	±		837
	BookClosurePeriod <BookClsrPrd>	[0..1]	±		838
	CoDepositoriesSuspensionPeriod <CoDpstriesSspnsnPrd>	[0..1]	±		838
	SplitPeriod <SpltPrd>	[0..1]	±		838
	FiscalYearPeriod <FsclyrPrd>	[0..1]	±		839

Constraints

- **InterestPeriodRule**

InterestPeriod must be used only if it is different from a normal accrued interest period.

3.4.10.3 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action event.

Impacted by: C21 "DeclaredRateRule"

RateAndAmountDetails <RateAndAmtDtls> contains the following elements (see "CorporateActionRate122" on page 1126 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InterestRate <IntrstRate>	[0..1]	±		1126
	PercentageSought <PctgSght>	[0..1]	±		1127
	RelatedIndex <RltdIndx>	[0..1]	±		1127
	Spread <Sprd>	[0..1]	±		1127
	BidInterval <BidIntrvl>	[0..1]	±		1128
	PreviousFactor <PrvsFctr>	[0..1]	±		1128
	NextFactor <NxtFctr>	[0..1]	±		1128
	ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>	[0..1]	±		1128
	InterestShortfall <IntrstShrtfll>	[0..1]	±		1129
	RealisedLoss <RealsdLoss>	[0..1]	±		1129
	DeclaredRate <DclrdRate>	[0..1]	±		1129
	IndexFactor <IndxFctr>	[0..1]	±		1130

Constraints

- **DeclaredRateRule**

DeclaredRate may only be used if the dividend or interest declared by the issuer is actually paid in a different currency than the declared one or if the dividend or the interest event makes it possible to elect amongst multiple currency options.

3.4.10.4 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action event.

PriceDetails <PricDtls> contains the following **CorporateActionPrice85** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumPrice <MaxPric>	[0..1]	±		112
	MinimumPrice <MinPric>	[0..1]	±		113
	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]	±		113
	LastBidIncrementPrice <LastBidIncrmtPric>	[0..1]	±		114

3.4.10.4.1 MaximumPrice <MaxPric>

Presence: [0..1]

Definition: Maximum or cap price at which a holder can bid, for example, on a Dutch auction offer.

MaximumPrice <MaxPric> contains one of the following elements (see "PriceFormat73Choice" on page 1086 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1086
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1086
	PriceValue <PricVal>	[1..1]	Rate		1086
Or	AmountPrice <AmtPric>	[1..1]	±		1087
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1087
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1087

3.4.10.4.2 MinimumPrice <MinPric>

Presence: [0..1]

Definition: Minimum or floor price at which a holder can bid, for example, on a Dutch auction offer.

MinimumPrice <MinPric> contains one of the following elements (see "PriceFormat73Choice" on page 1086 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1086
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1086
	PriceValue <PricVal>	[1..1]	Rate		1086
Or	AmountPrice <AmtPric>	[1..1]	±		1087
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1087
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1087

3.4.10.4.3 FirstBidIncrementPrice <FrstBidIncrmtPric>

Presence: [0..1]

Definition: First acceptable price after the Minimum Price at which a holder can bid, for example on a Dutch auction offer.

FirstBidIncrementPrice <FrstBidIncrmtPric> contains one of the following elements (see "PriceFormat73Choice" on page 1086 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1086
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1086
	PriceValue <PricVal>	[1..1]	Rate		1086
Or	AmountPrice <AmtPric>	[1..1]	±		1087
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1087
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1087

3.4.10.4.4 LastBidIncrementPrice <LastBidIncrmtPric>

Presence: [0..1]

Definition: Last acceptable price before the Maximum Price at which a holder can bid, for example on a Dutch auction offer.

LastBidIncrementPrice <LastBidIncrmtPric> contains one of the following elements (see "PriceFormat73Choice" on page 1086 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1086
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1086
	PriceValue <PricVal>	[1..1]	Rate		1086
Or	AmountPrice <AmtPric>	[1..1]	±		1087
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1087
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1087

3.4.10.5 SecuritiesQuantity <SctiesQty>

Presence: [0..1]

Definition: Provides information about securities quantity linked to a corporate action.

Impacted by: C79 "MaximumAndMinimumQuantitySoughtUsageRule"

SecuritiesQuantity <SctiesQty> contains the following **CorporateActionQuantity11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumQuantity <MaxQty>	[0..1]	±	C27	115
	MinimumQuantitySought <MinQtySght>	[0..1]	±	C27	116
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C28	116
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C28	117
	BaseDenomination <BaseDnmtn>	[0..1]	±	C28	117
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C28	118

Constraints

- **MaximumAndMinimumQuantitySoughtUsageRule**

When MaximumQuantity is present, MinimumQuantitySought must be present too. When MinimumQuantitySought is present, MaximumQuantity must be present too.

When MinimumQuantitySought/Code is AnyAndAll, then MaximumQuantity/Code must not be AnyAndAll.

When MaximumQuantity/Code is AnyAndAll, MinimumQuantitySought/code must not be used with the value AnyAndAll.

3.4.10.5.1 MaximumQuantity <MaxQty>

Presence: [0..1]

Definition: The maximum number of securities the offeror/issuer is ready to purchase or redeem. This can be a number or the term "any and all".

Impacted by: C27 "DigitalTokenUnitUsageRule"

MaximumQuantity <MaxQty> contains one of the following elements (see "FinancialInstrumentQuantity34Choice" on page 1097 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1097
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1097
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1097
Or	Code <Cd>	[1..1]	CodeSet		1097
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1098

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.10.5.2 MinimumQuantitySought <MinQtySght>

Presence: [0..1]

Definition: Minimum quantity of securities the offeror/issuer is ready to purchase or redeem under the terms of the event. This can be a number or the term "any and all".

Impacted by: C27 "DigitalTokenUnitUsageRule"

MinimumQuantitySought <MinQtySght> contains one of the following elements (see "FinancialInstrumentQuantity34Choice" on page 1097 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1097
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1097
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1097
Or	Code <Cd>	[1..1]	CodeSet		1097
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1098

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.10.5.3 NewBoardLotQuantity <NewBrdLotQty>

Presence: [0..1]

Definition: Quantity of equity that makes up the new board lot.

Impacted by: C28 "DigitalTokenUnitUsageRule"

NewBoardLotQuantity <NewBrdLotQty> contains one of the following elements (see "FinancialInstrumentQuantity35Choice" on page 1093 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1094
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1094
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1094
Or	Code <Cd>	[1..1]	CodeSet		1094
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1094

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.10.5.4 NewDenominationQuantity <NewDnmtnQty>

Presence: [0..1]

Definition: New denomination of the equity following, for example, an increase or decrease in nominal value.

Impacted by: [C28 "DigitalTokenUnitUsageRule"](#)

NewDenominationQuantity <NewDnmtnQty> contains one of the following elements (see ["FinancialInstrumentQuantity35Choice"](#) on page 1093 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1094
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1094
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1094
Or	Code <Cd>	[1..1]	CodeSet		1094
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1094

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.10.5.5 BaseDenomination <BaseDnmtn>

Presence: [0..1]

Definition: Minimum integral amount of securities that each account owner must have remaining after the called amounts are applied.

Impacted by: [C28 "DigitalTokenUnitUsageRule"](#)

BaseDenomination <BaseDnmtn> contains one of the following elements (see ["FinancialInstrumentQuantity35Choice"](#) on page 1093 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1094
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1094
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1094
Or	Code <Cd>	[1..1]	CodeSet		1094
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1094

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.10.5.6 IncrementalDenomination <IncrmtlDnmtn>

Presence: [0..1]

Definition: Amount used when the called amount is not met by running the lottery with the base denomination.

Impacted by: [C28 "DigitalTokenUnitUsageRule"](#)

IncrementalDenomination <IncrmtlDnmtn> contains one of the following elements (see ["FinancialInstrumentQuantity35Choice"](#) on page 1093 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1094
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1094
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1094
Or	Code <Cd>	[1..1]	CodeSet		1094
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1094

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.10.6 InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>

Presence: [0..1]

Definition: Number of days used for calculating the accrued interest amount.

Impacted by: [C93 "NumberRule"](#)

Datatype: ["Max3Number"](#) on page 1242

Constraints

- **NumberRule**

If Number is negative, then Sign must be present.

3.4.10.7 CouponNumber <CpnNb>

Presence: [0..*]

Definition: Number of the coupon attached/associated with a security.

CouponNumber <CpnNb> contains one of the following elements (see "[IdentificationFormat3Choice](#)" on page 869 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortIdentification <ShrtId>	[1..1]	Text		869
Or	LongIdentification <LngId>	[1..1]	Text		869
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		869

3.4.10.8 AcceptancePriorityLevel <AcptncPrtyLvl>

Presence: [0..1]

Definition: Level of priority of the given offer which will be accepted by the issuer/agent within the tiered structure of the event.

Offer events in many cases are subject to proration.

Datatype: "[Exact3UpperCaseAlphaNumericText](#)" on page 1243

3.4.10.9 CertificationBreakdownIndicator <CertfctnBrkdwnInd>

Presence: [0..1]

Definition: Indicates whether certification/breakdown is required.

Yes = certification required.

No = no certification required.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.10 ChargesAppliedIndicator <ChrgsApldInd>

Presence: [0..1]

Definition: Indicates whether charges apply to the holder, for instance redemption charges.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.11 RestrictionIndicator <RstrctnInd>

Presence: [0..1]

Definition: Indicates whether restrictions apply to the corporate action event or not.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.12 AccruedInterestIndicator <AcrdIntrstInd>

Presence: [0..1]

Definition: Indicates whether the holder is entitled to accrued interest.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 1241](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.13 ForfeitureOfInterestIndicator <FrftOfIntrstInd>

Presence: [0..1]

Definition: Indicates that bondholders who convert between record date and payable date will forfeit their interest.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 1241](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.14 LetterOfGuaranteedDeliveryIndicator <LtrOfGrntedDlvryInd>

Presence: [0..1]

Definition: Indicates whether a letter of guaranteed delivery can be submitted in order to participate in the offer on full eligible position. It is not intended for use in situations arising from failed or late trades.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 1241](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.15 ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>

Presence: [0..1]

Definition: Indicates whether the announcement was initiated by the first intermediary in the custody chain in accordance with SRD II.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 1241](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.16 DividendType <DvddTp>

Presence: [0..1]

Definition: Specifies the conditions in which a dividend is paid.

DividendType <DvddTp> contains one of the following elements (see ["DividendTypeFormat9Choice"](#) on page 916 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		916
Or}	Proprietary <Prtry>	[1..1]	±		917

3.4.10.17 EventSequenceType <EvtSeqTp>

Presence: [0..1]

Definition: Specifies whether the event is an interim or a final event in a series of predefined or planned events of the same type and for the same underlying instrument.

EventSequenceType <EvtSeqTp> contains one of the following elements (see ["EventSequenceTypeFormat1Choice"](#) on page 916 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		916
Or}	Proprietary <Prtry>	[1..1]	±		916

3.4.10.18 OccurrenceType <OcrncTp>

Presence: [0..1]

Definition: Specifies the conditions in which the instructions and/or payment of the proceeds occurs.

OccurrenceType <OcrncTp> contains one of the following elements (see ["DistributionTypeFormat7Choice"](#) on page 918 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		919
Or}	Proprietary <Prtry>	[1..1]	±		919

3.4.10.19 OfferType <OfferTp>

Presence: [0..*]

Definition: Specifies the conditions that apply to the offer.

OfferType <OfferTp> contains one of the following elements (see ["OfferTypeFormat14Choice"](#) on page 992 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		992
Or}	Proprietary <Prtry>	[1..1]	±		992

3.4.10.20 RenounceableEntitlementStatusType <RnncblEntitlmntStsTp>

Presence: [0..1]

Definition: Specifies whether terms of the event allow resale of the rights.

RenounceableEntitlementStatusType <RnnclEntitlmtStsTp> contains one of the following elements (see "RenounceableEntitlementStatusTypeFormat3Choice" on page 937 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		937
Or}	Proprietary <Prtry>	[1..1]	±		937

3.4.10.21 EventStage <EvtStag>

Presence: [0..*]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat13Choice" on page 925 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		925
Or}	Proprietary <Prtry>	[1..1]	±		926

3.4.10.22 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>

Presence: [0..*]

Definition: Specifies the type of the additional business process linked to the corporate action event such as a claim compensation or tax refund.

AdditionalBusinessProcessIndicator <AddtlBizPrclnd> contains one of the following elements (see "AdditionalBusinessProcessFormat17Choice" on page 883 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		883
Or}	Proprietary <Prtry>	[1..1]	±		885

3.4.10.23 ChangeType <ChngTp>

Presence: [0..*]

Definition: Specifies the type of change announced.

ChangeType <ChngTp> contains one of the following elements (see "CorporateActionChangeTypeFormat5Choice" on page 942 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		942
Or}	Proprietary <Prtry>	[1..1]	±		942

3.4.10.24 IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>*Presence:* [0..1]*Definition:* Type of intermediates securities distribution.**IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>** contains one of the following elements (see "[IntermediateSecuritiesDistributionTypeFormat15Choice](#)" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		974
Or}	Proprietary <Prtry>	[1..1]	±		975

3.4.10.25 CapitalGainInOutIndicator <CptlGnlnOutInd>*Presence:* [0..1]*Definition:* Specifies whether the capital gain is in the scope of the EU Savings directive for the income realised upon the sale, refund or redemption of shares and units (.) (Article 6(1d)).**CapitalGainInOutIndicator <CptlGnlnOutInd>** contains one of the following elements (see "[CapitalGainFormat3Choice](#)" on page 899 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		899
Or}	Proprietary <Prtry>	[1..1]	±		899

3.4.10.26 TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>*Presence:* [0..1]*Definition:* Specifies whether the financial instrument calculates the taxable income per dividend/taxable income per share.**TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>** contains one of the following elements (see "[TaxableIncomePerShareCalculatedFormat3Choice](#)" on page 959 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		959
Or}	Proprietary <Prtry>	[1..1]	±		959

3.4.10.27 ElectionType <ElctnTp>*Presence:* [0..1]*Definition:* Specifies the effect on the holdings of electing a corporate action option.

ElectionType <ElctnTp> contains one of the following elements (see "[ElectionTypeFormat3Choice](#)" on page 915 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		915
Or}	Proprietary <Prtry>	[1..1]	±		915

3.4.10.28 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following elements (see "[LotteryTypeFormat4Choice](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		973
Or}	Proprietary <Prtry>	[1..1]	±		973

3.4.10.29 CertificationType <CertfctnTp>

Presence: [0..1]

Definition: Specifies the certification format required, this is, physical or electronic format.

CertificationType <CertfctnTp> contains one of the following elements (see "[CertificationTypeFormat3Choice](#)" on page 980 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		980
Or}	Proprietary <Prtry>	[1..1]	±		980

3.4.10.30 ConsentType <CnsntTp>

Presence: [0..1]

Definition: Specifies the type of consent announced.

ConsentType <CnsntTp> contains one of the following elements (see "[ConsentTypeFormat4Choice](#)" on page 913 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		913
Or}	Proprietary <Prtry>	[1..1]	±		914

3.4.10.31 InformationType <InfTp>

Presence: [0..1]

Definition: Specifies the type of information event.

InformationType <InfTp> contains one of the following elements (see "InformationTypeFormat4Choice" on page 912 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		912
Or}	Proprietary <Prtry>	[1..1]	±		912

3.4.10.32 TaxOnNonDistributedProceedsIndicator <TaxOnNonDstrbtdPrcdsInd>

Presence: [0..*]

Definition: Specifies the tax regulation being attributed to the non-distributed proceeds event or to any other event.

TaxOnNonDistributedProceedsIndicator <TaxOnNonDstrbtdPrcdsInd> contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

3.4.10.33 DutchAuctionType <DtchAuctnTp>

Presence: [0..1]

Definition: Specifies whether a Dutch auction is modified or unmodified.

DutchAuctionType <DtchAuctnTp> contains one of the following **DutchAuctionTypeFormat1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		125
Or}	Proprietary <Prtry>	[1..1]	±		126

3.4.10.33.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the Dutch auction type required.

Datatype: "DutchAuctionType1Code" on page 1205

CodeName	Name	Definition
MDFD	Modified	All instructions are accepted at the same price (issuer bid).
UNMD	Unmodified	All instructions are accepted at the instructed price (offering bid).

3.4.10.33.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the Dutch auction type.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

3.4.10.34 NewPlaceOfIncorporation <NewPlcOfIncorprtn>*Presence:* [0..1]*Definition:* New company's place of incorporation.*Datatype:* "[Max350Text](#)" on page 1245**3.4.10.35 AdditionalInformation <AddtlInf>***Presence:* [0..1]*Definition:* Provides additional information. This field may only be used when the information to be transmitted, cannot be coded.*Impacted by:* [C5 "AdditionalInformationRule"](#)**AdditionalInformation <AddtlInf>** contains the following **CorporateActionNarrative58** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Offeror <Offerr>	[0..*]	Text		126
	NewCompanyName <NewCpnyNm>	[0..1]	Text		127
	URLAddress <URLAdr>	[0..*]			127
	Language <Lang>	[1..1]	CodeSet		127
	URLAddress <URLAdr>	[1..1]	Text		127
	EventProcessingWebSiteAddress <EvtPrcgWebSiteAdr>	[0..1]	Text		127

Constraints

- AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition.

3.4.10.35.1 Offeror <Offerr>*Presence:* [0..*]*Definition:* Provides the entity making the offer and is different from the issuing company.

Datatype: "Max350Text" on page 1245

3.4.10.35.2 NewCompanyName <NewCpnyNm>

Presence: [0..1]

Definition: Provides the new name of a company following a name change.

Datatype: "Max350Text" on page 1245

3.4.10.35.3 URLAddress <URLAdr>

Presence: [0..*]

Definition: Provides the web address published for the event, that is, the address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

URLAddress <URLAdr> contains the following **UpdatedURLInformation6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		127
	URLAddress <URLAdr>	[1..1]	Text		127

3.4.10.35.3.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1216

3.4.10.35.3.2 URLAddress <URLAdr>

Presence: [1..1]

Definition: Provides the web address, that is, the address for the Universal Resource Locator (URL), to use over the www (HTTP) service where additional information may be found.

Datatype: "Max2048Text" on page 1244

3.4.10.35.4 EventProcessingWebSiteAddress <EvtPrcgWebSiteAdr>

Presence: [0..1]

Definition: Provides web address of an account servicer (or of a service provider) that contains information solely intended for the immediate account holder to enable or facilitate event processing between parties.

Datatype: "Max2048Text" on page 1244

3.4.11 CorporateActionOptionDetails <CorpActnOptnDtls>

Presence: [0..*]

Definition: Information about the corporate action option.

Impacted by: C2 "AcceptancePriorityLevelUGRule", C9 "AdditionalTextRule", C13 "AppliedOptionRule", C30 "DissenterRights1Rule", C32 "DissenterRights1UGRule", C34 "DissenterRights2Rule", C36 "DissenterRights2UGRule", C62 "InstructCashAmountRule", C96

"OptionTypeUnknownProceedsUsageRule", C105 "RevocabilityPeriodRule", C95
"OptionNumberGuideline"

CorporateActionOptionDetails <CorpActnOptnDtls> contains the following
CorporateActionOption236 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	Text		140
	OptionType <OptnTp>	[1..1]	±		140
	FractionDisposition <FrctnDspstn>	[0..1]	±		140
	OfferType <OfferTp>	[0..*]	±		141
	OptionFeatures <OptnFeatrs>	[0..*]			141
{Or	Code <Cd>	[1..1]	CodeSet		141
Or}	Proprietary <Prtry>	[1..1]	±		142
	OptionAvailabilityStatus <OptnAvlbtySts>	[0..1]	±		143
	AcceptancePriorityLevel <AcptncPrtyLvl>	[0..1]	Text		143
	CertificationBreakdownType <CertfctnBrkdwnTp>	[0..*]	±		143
	BidRangeType <BidRgTp>	[0..1]	±		143
	ProrationBelowMinimumQuantity <PrratnBlwMinQty>	[0..1]			143
{Or	Code <Cd>	[1..1]	CodeSet		144
Or}	Proprietary <Prtry>	[1..1]	±		144
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C18	144
	ValidDomicileCountry <VldDmclCtry>	[0..*]	CodeSet	C18	145
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C3	145
	DefaultProcessingOrStandingInstruction <DfltPrcgOrStgInstr>	[1..1]	±		145
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		146
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		146
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[0..1]	Indicator		146
	ChangeAllowedIndicator <ChngAllwdInd>	[0..1]	Indicator		146
	AppliedOptionIndicator <ApldOptnInd>	[0..1]	Indicator		147
	FinancialInstrumentIdentification <FinInstrmld>	[0..1]	±	C24, C25, C68, C69, C98	147
	DateDetails <DtDtls>	[0..1]			148
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		148
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		149
	ProtectDeadline <PrctDdln>	[0..1]	±		149

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MarketDeadline <MktDdln>	[0..1]	±		149
	ResponseDeadline <RspnDdln>	[0..1]	±		150
	ExpiryDate <XpryDt>	[0..1]	±		150
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		151
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		151
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		151
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			152
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		152
	Borrower <Brrwr>	[1..1]	±		152
	EndOfSecuritiesBlockingPeriod <EndOfSciesBlckgPrd>	[0..1]	±		152
	DocumentationDeadline <DcmnttnDdln>	[0..1]	±		153
	PeriodDetails <PrdDtls>	[0..1]			153
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		153
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		154
	ActionPeriod <ActnPrd>	[0..1]	±		154
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		154
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		155
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		155
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		155
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C50, C52, C85, C87, C112, C114, C116, C121, C123	155
	AdditionalTax <AddtlTax>	[0..1]	±		157
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		157
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		158
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		159
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	±		160

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProrationRate <PrratnRate>	[0..1]	±		160
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		161
	SecondLevelTax <ScndLvITax>	[0..*]	±		161
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		161
	IssuerDeclaredExchangeRate <IssrDclrdXchgRate>	[0..1]	±		162
	TaxOnIncome <TaxOnIncm>	[0..1]	±		162
	BidInterval <BidIntrvl>	[0..1]	±		162
	PriceDetails <PricDtls>	[0..1]			163
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		163
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		164
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		164
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		164
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		165
	MaximumPrice <MaxPric>	[0..1]	±		165
	MinimumPrice <MinPric>	[0..1]	±		165
	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]	±		166
	LastBidIncrementPrice <LastBidIncrmtPric>	[0..1]	±		166
	SecuritiesQuantity <SctiesQty>	[0..1]			167
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±	C27	167
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C27	168
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C28	168
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C28	169
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C28	169
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C28	170
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±	C28	170
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C41, C57, C59, C71	171
	SecurityDetails <SctyDtls>	[1..1]		C78, C102	174
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C24, C25, C68,	176

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
				C69, C98	
	PlaceOfListing <PlcOfListg>	[0..1]	±		177
	DayCountBasis <DayCntBsis>	[0..1]	±		177
	ClassificationType <ClssfctnTp>	[0..1]	±		177
	OptionStyle <OptnStyle>	[0..1]	±		178
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C4	178
	NextCouponDate <NxtCpnDt>	[0..1]	Date		178
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		178
	MaturityDate <MtrtyDt>	[0..1]	Date		178
	IssueDate <IsseDt>	[0..1]	Date		178
	NextCallableDate <NxtClblDt>	[0..1]	Date		179
	PutableDate <PutblDt>	[0..1]	Date		179
	DatedDate <DtdDt>	[0..1]	Date		179
	ConversionDate <ConvstDt>	[0..1]	Date		179
	PreviousFactor <PrvsFctr>	[0..1]	±		179
	NextFactor <NxtFctr>	[0..1]	±		179
	InterestRate <IntrstRate>	[0..1]	±		180
	NextInterestRate <NxtIntrstRate>	[0..1]	±		180
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C26	180
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C26	181
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C26	181
	ContractSize <CtrctSz>	[0..1]	±	C26	182
	IssuePrice <IssePric>	[0..1]	±		182
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		183
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		183
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]			183
{Or	Code <Cd>	[1..1]	CodeSet		184
Or}	Proprietary <Prtry>	[1..1]	±		184
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]			184
{Or	Code <Cd>	[1..1]	CodeSet		184

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		185
	NewSecuritiesIssuanceIndicator <NewSciesIssncInd>	[0..1]	CodeSet		185
	IncomeType <IncmTp>	[0..1]	±		185
	OtherIncomeType <OthrIncmTp>	[0..*]	±		186
	ExemptionType <XmptnTp>	[0..*]	±		186
	EntitledQuantity <EntitldQty>	[0..1]	±		186
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		187
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C18	187
	FractionDisposition <FrctnDspstn>	[0..1]	±		187
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C3	188
	TradingPeriod <TradgPrd>	[0..1]	±		188
	DateDetails <DtDtls>	[1..1]			188
	PaymentDate <PmtDt>	[1..1]	±		189
	AvailableDate <AvlblDt>	[0..1]	±		189
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		189
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		190
	PariPassuDate <PrpssDt>	[0..1]	±		190
	LastTradingDate <LastTradgDt>	[0..1]	±		190
	RateDetails <RateDtls>	[0..1]			191
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies>	[0..1]	±		191
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		192
	NewToOld <NewToOd>	[0..1]	±		192
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		193
	ChargesFees <ChrgsFees>	[0..1]	±		193
	FiscalStamp <FscIStmp>	[0..1]	±		193
	ApplicableRate <AplblRate>	[0..1]	±		194
	TaxCreditRate <TaxCdtRate>	[0..1]	±		194
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		194
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		194
	SecondLevelTax <ScndLvlTax>	[0..*]	±		195

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceDetails <PricDtls>	[0..1]		C47	195
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			196
{Or	IndicativePrice <IndctvPric>	[1..1]	±		196
Or}	MarketPrice <MktPric>	[1..1]	±		197
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		197
	CashValueForTax <CshValForTax>	[0..1]	±		197
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		198
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		198
	AmountDetails <AmtDtls>	[0..1]			199
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C3, C19	199
	SecondLevelTaxAmount <ScndLvTaxAmt>	[0..1]	Amount	C3, C19	200
	CashMovementDetails <CshMvmntDtls>	[0..*]		C42, C58, C60, C72, C48	200
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		204
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		205
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]			205
{Or	Code <Cd>	[1..1]	CodeSet		205
Or}	Proprietary <Prtry>	[1..1]	±		205
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]			206
{Or	Code <Cd>	[1..1]	CodeSet		206
Or}	Proprietary <Prtry>	[1..1]	±		206
	IncomeType <IncmTp>	[0..1]	±		206
	OtherIncomeType <OthrIncmTp>	[0..*]	±		207
	ExemptionType <XmptnTp>	[0..*]	±		207
	PriceCalculationMethod <PricClctnMtd>	[0..1]			207
{Or	Code <Cd>	[1..1]	CodeSet		208
Or}	Proprietary <Prtry>	[1..1]	±		208
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C18	208
	CashAccountIdentification <CshAcctId>	[0..1]	±		209

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountDetails <AmtDtls>	[0..1]			209
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C19	211
	NetAmount <NetAmt>	[0..1]	Amount	C3, C19	212
	SolicitationFees <SlctnFees>	[0..1]	Amount	C3, C19	212
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C3, C19	212
	CapitalGain <CptlGn>	[0..1]	Amount	C3, C19	213
	InterestAmount <IntrstAmt>	[0..1]	Amount	C3, C19	213
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C3, C19	214
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C3, C19	214
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C3, C19	215
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C3, C19	215
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C3, C19	215
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C3, C19	216
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C3, C19	216
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C3, C19	217
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C3, C19	217
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C3, C19	217
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C3, C19	218
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C3, C19	218
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C3, C19	219
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C3, C19	219
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C3, C19	219
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C3, C19	220
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C3, C19	220
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C3, C19	221
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C3, C19	221
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C3, C19	221
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C3, C19	222
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C3, C19	222
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C3, C19	223

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C3, C19	223
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C3, C19	223
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C3, C19	224
	IncomePortion <IncmPrtn>	[0..1]	Amount	C3, C19	224
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C3, C19	225
	EUTaxRetentionAmount <EUTaxRtntnAmt>	[0..1]	Amount	C3, C19	225
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C19	225
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C3, C19	226
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C3, C19	226
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C3, C19	227
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C3, C19	227
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C3, C19	227
	TransactionTax <TxTax>	[0..1]	Amount	C3, C19	228
	DeemedAmount <DmdAmt>	[0..1]	Amount	C3, C19	228
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C3, C19	229
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C3, C19	229
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C3, C19	229
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C3, C19	230
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C3, C19	230
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C3, C19	231
	DateDetails <DtDtls>	[1..1]			231
	PaymentDate <PmtDt>	[1..1]	±		231
	ValueDate <ValDt>	[0..1]	±		232
	ForeignExchangeRateFixingDate <FXRateFvgDt>	[0..1]	±		232
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		232
	ForeignExchangeDetails <FXDtls>	[0..1]	±		233
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C22, C23, C51, C53, C86, C88, C113, C115, C117,	233

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
				C122, C124	
	AdditionalTax <AddtlTax>	[0..1]	±		236
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		236
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		236
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		237
	SecondLevelTax <ScndLvlTax>	[0..*]	±		237
	ChargesFees <ChrgsFees>	[0..1]	±		238
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		238
	FiscalStamp <FscIStmp>	[0..1]	±		239
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		239
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		239
	ApplicableRate <AplblRate>	[0..1]	±		240
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		240
	TaxCreditRate <TaxCdtRate>	[0..1]	±		241
	TaxOnIncome <TaxOnIncm>	[0..1]	±		241
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		241
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		242
	EqualisationRate <EqulstnRate>	[0..1]	±		242
	DeemedRate <DmdRate>	[0..*]	±		242
	PriceDetails <PricDtls>	[0..1]		C49	243
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		244
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		244
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		245
	AdditionalInformation <AddtlInf>	[0..1]		C6	245
	AdditionalText <AddtlTxt>	[0..*]			246
	Language <Lang>	[1..1]	CodeSet		247
	AdditionalInformation <AddtlInf>	[1..1]	Text		247
	NarrativeVersion <NrrtvVrsn>	[0..*]			247
	Language <Lang>	[1..1]	CodeSet		247
	AdditionalInformation <AddtlInf>	[1..1]	Text		247

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationConditions <InfConds>	[0..*]			248
	Language <Lang>	[1..1]	CodeSet		248
	AdditionalInformation <AddtlInf>	[1..*]	Text		248
	InformationToComplyWith <InfToCmplyWth>	[0..*]			248
	Language <Lang>	[1..1]	CodeSet		248
	AdditionalInformation <AddtlInf>	[1..*]	Text		248
	SecurityRestriction <SctyRstrctn>	[0..*]			249
	Language <Lang>	[1..1]	CodeSet		249
	AdditionalInformation <AddtlInf>	[1..*]	Text		249
	TaxationConditions <TaxtnConds>	[0..*]			249
	Language <Lang>	[1..1]	CodeSet		249
	AdditionalInformation <AddtlInf>	[1..*]	Text		250
	Disclaimer <Dsclmr>	[0..*]			250
	Language <Lang>	[1..1]	CodeSet		250
	AdditionalInformation <AddtlInf>	[1..*]	Text		250
	CertificationBreakdown <CertfctnBrkdwn>	[0..*]			250
	Language <Lang>	[1..1]	CodeSet		250
	AdditionalInformation <AddtlInf>	[1..*]	Text		251

Constraints

- **AcceptancePriorityLevelUGRule**

If OfferType/Code is equal to AcceptancePriority (ACPR), then AcceptancePriorityLevel must be present.

if

at least one occurrence of [OfferType/Code] has value included in the following list 'ACPR'

, then

at least one occurrence of the following element(s) [AcceptancePriorityLevel] must be present

- **AdditionalTextRule**

If OptionType/Code is OTHR (Other), then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

On Condition

/OptionType/Code is present

And /OptionType/Code is equal to value 'Other'

Following Must be True

/AdditionalInformation Must be present

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And      /AdditionalInformation/AdditionalText[*] Must be present
And      /AdditionalInformation/AdditionalText[*]/AdditionalInformation Must be
present

```

- **AppliedOptionRule**

If DefaultProcessingOrStandingInstruction/DefaultOptionIndicator is present and its value is '1' or 'true' (Yes), then AppliedOptionIndicator value must not be '1' or 'true' (Yes).

- **DissenterRights1Rule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

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On Condition
  /OfferType[*]/Code is equal to value 'DissenterRights'
Following Must be True
  /OfferType[*]/Code Must be different from value 'DissenterRightsNotApplicable'

```

- **DissenterRights1UGRule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```

if
  at least one occurrence of [OfferType/Code] has value included in the
  following list 'DISS'
, then
  every occurrence of [OfferType/Code] must have value not included in the
  following list 'NDIS'

```

- **DissenterRights2Rule**

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```

On Condition
  /OfferType[*]/Code is equal to value 'DissenterRightsNotApplicable'
Following Must be True
  /OfferType[*]/Code Must be different from value 'DissenterRights'

```

- **DissenterRights2UGRule**

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```

if
  at least one occurrence of [OfferType/Code] has value included in the
  following list 'NDIS'
, then
  every occurrence of [OfferType/Code] must have value not included in the
  following list 'DISS'

```

- **InstructCashAmountRule**

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMultipleCashToInstruct) must not be present.

(MT564 NVR C20).

On Condition

/OptionFeatures[*]/Code is different from value 'InstructCashAmount'

Following Must be True

/PriceDetails/MaximumCashToInstruct Must be absent

And /PriceDetails/MinimumCashToInstruct Must be absent

And /PriceDetails/MinimumMultipleCashToInstruct Must be absent

- **OptionNumberGuideline**

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

- **OptionTypeUnknownProceedsUsageRule**

When used, the corporate action option code PRUN (Unknown Proceeds) should be maintained throughout the full lifecycle of the event.

- **RevocabilityPeriodRule**

If WithdrawalAllowedIndicator or ChangeAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the change or withdrawal of instruction is allowed.

3.4.11.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "Exact3NumericText" on page 1243

3.4.11.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "CorporateActionOption37Choice" on page 940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		940
Or}	Proprietary <Prtry>	[1..1]	±		941

3.4.11.3 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "[FractionDispositionType26Choice](#)" on page 893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		893
Or}	Proprietary <Prtry>	[1..1]	±		894

3.4.11.4 OfferType <OfferTp>

Presence: [0..*]

Definition: Specifies the conditions that apply to the offer.

OfferType <OfferTp> contains one of the following elements (see "[OfferTypeFormat14Choice](#)" on page 992 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		992
Or}	Proprietary <Prtry>	[1..1]	±		992

3.4.11.5 OptionFeatures <OptnFeatrs>

Presence: [0..*]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following **OptionFeaturesFormat28Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		141
Or}	Proprietary <Prtry>	[1..1]	±		142

3.4.11.5.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the features that may apply to a corporate action option.

Datatype: "[OptionFeatures13Code](#)" on page 1222

CodeName	Name	Definition
ASVO	AccountServicerOption	Option is offered by the account servicer only.
BOIS	BeneficiaryOwnerInstruction	Indicates that the holder needs to instruct at beneficiary owner level only.
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a

CodeName	Name	Definition
		combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
NOSE	NoServiceOffered	Feature whereby the holder must elect directly to the issuer's agent (issuer only supported option).
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
CAOS	OptionApplicability	Option applicability is not subject to the account owner decision but depends on the terms defined by the issuer, for example in the case of equity linked notes or warrants.
PINS	PreviousInstructionInvalidity	Indicates the previously sent instructions becomes invalid. This is only applicable after a market deadline extension.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.
QCAS	InstructCashAmount	Feature whereby the holder should only instruct a cash amount.
SHAR	ShareholderNumber	Indicates usage of a reference number to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.

3.4.11.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the features that may apply to a corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

3.4.11.6 OptionAvailabilityStatus <OptnAvlbtYsts>

Presence: [0..1]

Definition: Specifies the status of the option.

OptionAvailabilityStatus <OptnAvlbtYsts> contains one of the following elements (see "[OptionAvailabilityStatus3Choice](#)" on page 885 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		885
Or}	Proprietary <Prtry>	[1..1]	±		885

3.4.11.7 AcceptancePriorityLevel <AcptncPrtyLvl>

Presence: [0..1]

Definition: Level of priority of the given offer which will be accepted by the issuer/agent within the tiered structure of the event.

Offer events in many cases are subject to proration.

Datatype: "[Exact3UpperCaseAlphaNumericText](#)" on page 1243

3.4.11.8 CertificationBreakdownType <CertfctnBrkdwnTp>

Presence: [0..*]

Definition: Type of certification/breakdown.

CertificationBreakdownType <CertfctnBrkdwnTp> contains one of the following elements (see "[BeneficiaryCertificationType13Choice](#)" on page 993 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		993
Or}	Proprietary <Prtry>	[1..1]	±		994

3.4.11.9 BidRangeType <BidRgTp>

Presence: [0..1]

Definition: Specifies the type of acceptable bid range values.

BidRangeType <BidRgTp> contains one of the following elements (see "[BidRangeType1Choice](#)" on page 912 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		912
Or}	Proprietary <Prtry>	[1..1]	±		913

3.4.11.10 ProrationBelowMinimumQuantity <PrratnBlwMinQty>

Presence: [0..1]

Definition: Specifies how instructions prorated below the minimum quantity to instruct will be processed.

ProrationBelowMinimumQuantity <PrratnBlwMinQty> contains one of the following **ProrationBelowMinimumQuantity2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		144
Or}	Proprietary <Prtry>	[1..1]	±		144

3.4.11.10.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of proration below minimum quantity.

Datatype: "ProrationBelowMinimumQuantity1Code" on page 1228

CodeName	Name	Definition
FULL	AcceptedInFull	Instructions will be accepted in full (with their original quantity of securities instructed).
MIEX	MinimumQuantity	Instructions will be accepted but prorated to the minimum quantity to instruct.
MILT	MultipleQuantity	Instructions will be accepted but rounded up or down to the specified minimum multiple quantity to instruct according to the value of the disposition of fraction indicator.
REJT	Rejected	Instructions will be rejected.
UKWN	Unknown	Processing of instructions prorated below the minimum quantity to instruct is unknown.

3.4.11.10.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the proration below minimum quantity type.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

3.4.11.11 NonDomicileCountry <NonDmclCtry>

Presence: [0..*]

Definition: Holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

Impacted by: C18 "Country"

Datatype: "CountryCode" on page 1203

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.11.12 ValidDomicileCountry <VldDmclCtry>

Presence: [0..*]

Definition: Country of domicile in which the Corporate Action option is valid. The holder of the security has to certify that it is domiciled in the country indicated.

Impacted by: C18 "Country"

Datatype: "CountryCode" on page 1203

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.11.13 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited/debited.

Impacted by: C3 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1155

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.14 DefaultProcessingOrStandingInstruction <DfltPrcgOrStglInstr>

Presence: [1..1]

Definition: Indicates whether the corporate action movement is a default processing or a standing instruction.

DefaultProcessingOrStandingInstruction <DfltPrcgOrStgInstr> contains one of the following elements (see ["DefaultProcessingOrStandingInstruction2Choice"](#) on page 991 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DefaultOptionIndicator <DfltOptnInd>	[1..1]	Indicator		991
Or}	StandingInstructionIndicator <StgInstrInd>	[1..1]	Indicator		991

3.4.11.15 ChargesAppliedIndicator <ChrgsAppldInd>

Presence: [0..1]

Definition: Indicates whether charges apply to the holder, for instance redemption charges.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.16 CertificationBreakdownIndicator <CertfctnBrkdwnInd>

Presence: [0..1]

Definition: Indicates whether certification/breakdown is required from the account owner.

Yes: certification required

No: no certification required.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.17 WithdrawalAllowedIndicator <WdrwlAllwdInd>

Presence: [0..1]

Definition: Indicates whether withdrawal of instruction is allowed.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.18 ChangeAllowedIndicator <ChngAllwdInd>

Presence: [0..1]

Definition: Indicates whether change of instruction is allowed.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.19 AppliedOptionIndicator <ApIdOptnInd>

Presence: [0..1]

Definition: Indicates whether the option, different from the default one, shall be applied by the account owner.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.20 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: Identifies the financial instrument.

Impacted by: C24 "DescriptionPresenceRule", C25 "DescriptionUsageRule", C68 "ISINGuideline", C69 "ISINPresenceRule", C98 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		864
	OtherIdentification <OthrId>	[0..*]			864
	Identification <Id>	[1..1]	Text		864
	Suffix <Sfx>	[0..1]	Text		864
	Type <Tp>	[1..1]	±		865
	Description <Desc>	[0..1]	Text		865

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition
 /ISIN is absent
 Following Must be True
 /OtherIdentification[*] Must be present
 Or /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition
 /OtherIdentification[*] is absent
 Following Must be True
 /ISIN Must be present
 Or /Description Must be present

3.4.11.21 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action option.

DateDetails <DtDtls> contains the following **CorporateActionDate104** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		148
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		149
	ProtectDeadline <PrctDdln>	[0..1]	±		149
	MarketDeadline <MktDdln>	[0..1]	±		149
	ResponseDeadline <RspnDdln>	[0..1]	±		150
	ExpiryDate <XpryDt>	[0..1]	±		150
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		151
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		151
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		151
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			152
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		152
	Borrower <Brrwr>	[1..1]	±		152
	EndOfSecuritiesBlockingPeriod <EndOfScitiesBlckgPrd>	[0..1]	±		152
	DocumentationDeadline <DcmnttnDdln>	[0..1]	±		153

3.4.11.21.1 EarlyResponseDeadline <EarlyRspnDdln>

Presence: [0..1]

Definition: Date/time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives.

EarlyResponseDeadline <EarlyRspnDdln> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.11.21.2 CoverExpirationDeadline <CoverXprtnDdln>

Presence: [0..1]

Definition: Last day a holder can deliver the securities that it had elected on and/or previously protected.

CoverExpirationDeadline <CoverXprtnDdln> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.11.21.3 ProtectDeadline <PrctDdln>

Presence: [0..1]

Definition: Last date/time a holder can request to defer delivery of securities pursuant to a notice of guaranteed delivery or other required documentation.

ProtectDeadline <PrctDdln> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.11.21.4 MarketDeadline <MktDdln>

Presence: [0..1]

Definition: Issuer or issuer's agent deadline to respond with an instruction to an outstanding offer or privilege.

MarketDeadline <MktDdln> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.11.21.5 ResponseDeadline <RspnDdln>

Presence: [0..1]

Definition: Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event.

ResponseDeadline <RspnDdln> contains one of the following elements (see "DateFormat44Choice" on page 845 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		846
Or	DateCodeAndTime <DtCdAndTm>	[1..1]			846
	DateCode <DtCd>	[1..1]			846
{Or	Code <Cd>	[1..1]	CodeSet		847
Or}	Proprietary <Prtry>	[1..1]	±		847
	Time <Tm>	[1..1]	Time		847
Or}	DateCode <DtCd>	[1..1]			847
{Or	Code <Cd>	[1..1]	CodeSet		848
Or}	Proprietary <Prtry>	[1..1]	±		848

3.4.11.21.6 ExpiryDate <XpryDt>

Presence: [0..1]

Definition: Date/time at which an order expires or on which a privilege or offer terminates.

ExpiryDate <XpryDt> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.11.21.7 SubscriptionCostDebitDate <SbcptCostDbtDt>*Presence:* [0..1]*Definition:* Date/time by which cash must be in place in order to take part in the event.**SubscriptionCostDebitDate <SbcptCostDbtDt>** contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.11.21.8 DepositoryCoverExpirationDate <DpstryCoverXprtnDt>*Presence:* [0..1]*Definition:* Last day that a participant of the depository can deliver securities that it had elected on and/or previously protected.**DepositoryCoverExpirationDate <DpstryCoverXprtnDt>** contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.11.21.9 StockLendingDeadline <StockLndgDdlIn>*Presence:* [0..1]*Definition:* Date/time set as the deadline to respond, with instructions, to an outstanding event, for which the underlying security is out on loan.**StockLendingDeadline <StockLndgDdlIn>** contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.11.21.10 BorrowerStockLendingDeadline <BrrwrStockLndgDdln>*Presence:* [0..*]*Definition:* Specifies the party borrowing stocks and the associated stock lending deadline assigned to the borrower.**BorrowerStockLendingDeadline <BrrwrStockLndgDdln>** contains the following **BorrowerLendingDeadline5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		152
	Borrower <Brrwr>	[1..1]	±		152

3.4.11.21.10.1 StockLendingDeadline <StockLndgDdln>*Presence:* [1..1]*Definition:* Date/time set as the deadline to respond, with instructions, to an outstanding event for which the underlying security is out on loan.**StockLendingDeadline <StockLndgDdln>** contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.11.21.10.2 Borrower <Brrwr>*Presence:* [1..1]*Definition:* Party who has borrowed stocks on loan.**Borrower <Brrwr>** contains one of the following elements (see "PartyIdentification127Choice" on page 1032 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1032
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1032

3.4.11.21.11 EndOfSecuritiesBlockingPeriod <EndOfScitiesBlckgPrd>*Presence:* [0..1]*Definition:* Date until which the blocking of securities can occur upon instructing this option.

EndOfSecuritiesBlockingPeriod <EndOfScitiesBlckgPrd> contains one of the following elements (see "DateFormat59Choice" on page 843 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		843
Or}	DateCode <DtCd>	[1..1]			844
{Or	Code <Cd>	[1..1]	CodeSet		844
Or}	Proprietary <Prtry>	[1..1]	±		844

3.4.11.21.12 DocumentationDeadline <DcmnttnDdlIn>

Presence: [0..1]

Definition: Date/Time by when the documentation must be provided.

DocumentationDeadline <DcmnttnDdlIn> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.11.22 PeriodDetails <PrdDtIs>

Presence: [0..1]

Definition: Provides information about the periods related to a corporate action option.

PeriodDetails <PrdDtIs> contains the following **CorporateActionPeriod12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		153
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		154
	ActionPeriod <ActnPrd>	[0..1]	±		154
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		154
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		155
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		155
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		155

3.4.11.22.1 PriceCalculationPeriod <PricClctnPrd>

Presence: [0..1]

Definition: Period during which the price of a security is determined.

PriceCalculationPeriod <PricClctnPrd> contains one of the following elements (see "[Period6Choice](#)" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

3.4.11.22.2 ParallelTradingPeriod <ParlITradgPrd>

Presence: [0..1]

Definition: Period during which both old and new equity may be traded simultaneously, for example, consolidation of equity or splitting of equity.

ParallelTradingPeriod <ParlITradgPrd> contains one of the following elements (see "[Period6Choice](#)" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

3.4.11.22.3 ActionPeriod <ActnPrd>

Presence: [0..1]

Definition: Period during which the specified option, or all options of the event, remains valid, for example, offer period.

ActionPeriod <ActnPrd> contains one of the following elements (see "[Period6Choice](#)" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

3.4.11.22.4 RevocabilityPeriod <RvcbltyPrd>

Presence: [0..1]

Definition: Period during which the shareholder can revoke, change or withdraw its instruction.

RevocabilityPeriod <RvcbltyPrd> contains one of the following elements (see "[Period6Choice](#)" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

3.4.11.22.5 PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>*Presence:* [0..1]*Definition:* Period during which the privilege is not available, for example, this can happen whenever a meeting takes place or whenever a coupon payment is due.**PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>** contains one of the following elements (see "[Period6Choice](#)" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

3.4.11.22.6 AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>*Presence:* [0..1]*Definition:* Period during which the participant of the account servicer can revoke change or withdraw its instructions.**AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>** contains one of the following elements (see "[Period6Choice](#)" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

3.4.11.22.7 DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>*Presence:* [0..1]*Definition:* Period defining the last date on which withdrawal in street name requests on the outturn security will be accepted and the date on which the suspension will be released and withdrawal by transfer processing on the outturn security will resume.**DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>** contains one of the following elements (see "[Period6Choice](#)" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

3.4.11.23 RateAndAmountDetails <RateAndAmtDtls>*Presence:* [0..1]*Definition:* Provides information about rates and amounts related to a corporate action option.*Impacted by:* [C50 "GrossDistributionRate2Rule"](#), [C52 "GrossDistributionRate3Rule"](#), [C85 "NetDistributionRate1Rule"](#), [C87 "NetDistributionRate2Rule"](#), [C112 "SecondLevelTax1Rule"](#), [C114 "SecondLevelTax2Rule"](#), [C116 "SecondLevelTax3Rule"](#), [C121 "WithholdingTaxRate1Rule"](#), [C123 "WithholdingTaxRate2Rule"](#)

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate126** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]	±		157
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		157
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		158
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		159
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	±		160
	ProrationRate <PrratnRate>	[0..1]	±		160
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		161
	SecondLevelTax <ScndLvITax>	[0..*]	±		161
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		161
	IssuerDeclaredExchangeRate <IssrDclrdXchgRate>	[0..1]	±		162
	TaxOnIncome <TaxOnIncm>	[0..1]	±		162
	BidInterval <BidIntrvl>	[0..1]	±		162

Constraints

- **GrossDistributionRate2Rule**

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 564 NVR C8).

- **GrossDistributionRate3Rule**

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus or GrossDistributionRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

- **NetDistributionRate1Rule**

If NetDistributionRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

- **NetDistributionRate2Rule**

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

```
On Condition
    /SecondLevelTax[*] is present
Following Must be True
    /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of those elements may be present.

(MT 564 NVR C7).

3.4.11.23.1 AdditionalTax <AddtlTax>

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

AdditionalTax <AddtlTax> contains one of the following elements (see "RateAndAmountFormat57Choice" on page 1123 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1123
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1123
Or}	Amount <Amt>	[1..1]	Amount	C2	1123

3.4.11.23.2 GrossDistributionRate <GrssDstrbtnRate>

Presence: [0..*]

Definition: Distribution rate before tax has been deducted.

GrossDistributionRate <GrssDstrbtnRate> contains one of the following elements (see "GrossDividendRateFormat36Choice" on page 943 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	943
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			944
	Amount <Amt>	[1..1]	Amount	C2	944
	RateStatus <RateSts>	[1..1]	CodeSet		944
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			944
	RateType <RateTp>	[1..1]			945
{Or	Code <Cd>	[1..1]	CodeSet		945
Or}	Proprietary <Prtry>	[1..1]	±		946
	Amount <Amt>	[1..1]	Amount	C2	946
	RateStatus <RateSts>	[0..1]		C52	946
{Or	Code <Cd>	[1..1]	CodeSet		947
Or}	Proprietary <Prtry>	[1..1]	±		947
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		947

3.4.11.23.3 NetDistributionRate <NetDstrbtnRate>

Presence: [0..*]

Definition: Distribution rate after tax has been deducted.

NetDistributionRate <NetDstrbtrRate> contains one of the following elements (see "NetDividendRateFormat38Choice" on page 920 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	921
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			921
	Amount <Amt>	[1..1]	Amount	C2	921
	RateStatus <RateSts>	[1..1]	CodeSet		921
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			922
	RateType <RateTp>	[1..1]			922
{Or	Code <Cd>	[1..1]	CodeSet		922
Or}	Proprietary <Prtry>	[1..1]	±		923
	Amount <Amt>	[1..1]	Amount	C2	923
	RateStatus <RateSts>	[0..1]		C52	924
{Or	Code <Cd>	[1..1]	CodeSet		924
Or}	Proprietary <Prtry>	[1..1]	±		924
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		924

3.4.11.23.4 GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>

Presence: [0..*]

Definition: The actual interest rate before tax used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat11Choice" on page 1022 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1023
Or	Amount <Amt>	[1..1]	Amount	C2	1023
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1023
	RateType <RateTp>	[1..1]			1024
{Or	Code <Cd>	[1..1]	CodeSet		1024
Or}	Proprietary <Prtry>	[1..1]	±		1024
	Amount <Amt>	[1..1]	Amount	C2	1025
	RateStatus <RateSts>	[0..1]		C52	1025
{Or	Code <Cd>	[1..1]	CodeSet		1025
Or}	Proprietary <Prtry>	[1..1]	±		1026
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1026

3.4.11.23.5 MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>

Presence: [0..1]

Definition: Maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, for example, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50 percent.

MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate> contains one of the following elements (see "RateFormat24Choice" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

3.4.11.23.6 ProrationRate <PrtrtnRate>

Presence: [0..1]

Definition: Percentage of securities accepted by the offeror/issuer.

ProrationRate <PrtrtnRate> contains one of the following elements (see "RateFormat24Choice" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

3.4.11.23.7 WithholdingTaxRate <WhldgTaxRate>*Presence:* [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following elements (see "RateAndAmountFormat56Choice" on page 880 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		880
Or	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		880
Or	Amount <Amt>	[1..1]	Amount	C2	880
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			881
	RateType <RateTp>	[1..1]			881
{Or	Code <Cd>	[1..1]	CodeSet		881
Or}	Proprietary <Prtry>	[1..1]	±		882
	Rate <Rate>	[1..1]	Rate		882

3.4.11.23.8 SecondLevelTax <ScndLvITax>*Presence:* [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following elements (see "RateAndAmountFormat60Choice" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		1021
Or	Amount <Amt>	[1..1]	Amount	C2	1021
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1021
	RateType <RateTp>	[1..1]			1021
{Or	Code <Cd>	[1..1]	CodeSet		1022
Or}	Proprietary <Prtry>	[1..1]	±		1022
	Rate <Rate>	[1..1]	Rate		1022

3.4.11.23.9 TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>*Presence:* [0..*]

Definition: Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments, for example, in the context of the EU Savings directive.

TaxableIncomePerDividendShare <TaxblIncmPerDvddShr> contains the following elements (see "RateTypeAndAmountAndStatus26" on page 900 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			901
{Or	Code <Cd>	[1..1]	CodeSet		901
Or}	Proprietary <Prtry>	[1..1]	±		901
	Amount <Amt>	[1..1]	Amount	C2	902
	RateStatus <RateSts>	[0..1]		C52	902
{Or	Code <Cd>	[1..1]	CodeSet		902
Or}	Proprietary <Prtry>	[1..1]	±		902

3.4.11.23.10 IssuerDeclaredExchangeRate <IssrDclrdXchgRate>

Presence: [0..1]

Definition: Exchange rate (provided by the issuer) between the dividend or interest rate in the paid currency and the declared dividend or interest rate.

IssuerDeclaredExchangeRate <IssrDclrdXchgRate> contains the following elements (see "ForeignExchangeTerms38" on page 865 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	866
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	866
	ExchangeRate <XchgRate>	[1..1]	Rate		866

3.4.11.23.11 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

TaxOnIncome <TaxOnIncm> contains one of the following elements (see "RateAndAmountFormat57Choice" on page 1123 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1123
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1123
Or}	Amount <Amt>	[1..1]	Amount	C2	1123

3.4.11.23.12 BidInterval <BidIntrvl>

Presence: [0..1]

Definition: Acceptable price increment used for submitting a bid.

BidInterval <BidIntrvl> contains one of the following elements (see "RateAndAmountFormat58Choice" on page 1121 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1122
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1122
Or	Amount <Amt>	[1..1]	Amount	C2	1122
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1122

3.4.11.24 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

PriceDetails <PricDtls> contains the following **CorporateActionPrice90** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		163
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		164
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		164
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		164
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		165
	MaximumPrice <MaxPric>	[0..1]	±		165
	MinimumPrice <MinPric>	[0..1]	±		165
	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]	±		166
	LastBidIncrementPrice <LastBidIncrmtPric>	[0..1]	±		166

3.4.11.24.1 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following elements (see "PriceFormat81Choice" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1062
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1062
	PriceValue <PricVal>	[1..1]	Rate		1062
Or	AmountPrice <AmtPric>	[1..1]	±		1062
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1063

3.4.11.24.2 OverSubscriptionDepositPrice <OverSbcptDpstPric>

Presence: [0..1]

Definition: Amount of money required per over-subscribed equity as defined by the issuer.

OverSubscriptionDepositPrice <OverSbcptDpstPric> contains one of the following elements (see "PriceFormat81Choice" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1062
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1062
	PriceValue <PricVal>	[1..1]	Rate		1062
Or	AmountPrice <AmtPric>	[1..1]	±		1062
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1063

3.4.11.24.3 MaximumCashToInstruct <MaxCshToInst>

Presence: [0..1]

Definition: Maximum cash amount that may be instructed.

MaximumCashToInstruct <MaxCshToInst> contains one of the following elements (see "PriceFormat61Choice" on page 1059 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			1060
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1060
	PriceValue <PricVal>	[1..1]	Amount	C2	1060
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1060

3.4.11.24.4 MinimumCashToInstruct <MinCshToInst>

Presence: [0..1]

Definition: Minimum cash amount that may be instructed.

MinimumCashToInstruct <MinCshToInst> contains one of the following elements (see "PriceFormat61Choice" on page 1059 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			1060
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1060
	PriceValue <PricVal>	[1..1]	Amount	C2	1060
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1060

3.4.11.24.5 MinimumMultipleCashToInstruct <MinMltplCshToInst>

Presence: [0..1]

Definition: Minimum multiple of a cash amount that may be instructed.

MinimumMultipleCashToInstruct <MinMltplCshToInst> contains one of the following elements (see "PriceFormat61Choice" on page 1059 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			1060
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1060
	PriceValue <PricVal>	[1..1]	Amount	C2	1060
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1060

3.4.11.24.6 MaximumPrice <MaxPric>

Presence: [0..1]

Definition: Maximum or cap price at which a holder can bid, for example, on a Dutch auction offer.

MaximumPrice <MaxPric> contains one of the following elements (see "PriceFormat80Choice" on page 1067 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1068
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1068
	PriceValue <PricVal>	[1..1]	Rate		1068
Or	AmountPrice <AmtPric>	[1..1]	±		1068
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1069
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1069

3.4.11.24.7 MinimumPrice <MinPric>

Presence: [0..1]

Definition: Minimum or floor price at which a holder can bid, for example, on a Dutch auction offer.

MinimumPrice <MinPric> contains one of the following elements (see "PriceFormat80Choice" on page 1067 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1068
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1068
	PriceValue <PricVal>	[1..1]	Rate		1068
Or	AmountPrice <AmtPric>	[1..1]	±		1068
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1069
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1069

3.4.11.24.8 FirstBidIncrementPrice <FrstBidIncrmtPric>

Presence: [0..1]

Definition: First acceptable price after the Minimum Price at which a holder can bid, for example on a Dutch auction offer.

FirstBidIncrementPrice <FrstBidIncrmtPric> contains one of the following elements (see "PriceFormat80Choice" on page 1067 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1068
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1068
	PriceValue <PricVal>	[1..1]	Rate		1068
Or	AmountPrice <AmtPric>	[1..1]	±		1068
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1069
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1069

3.4.11.24.9 LastBidIncrementPrice <LastBidIncrmtPric>

Presence: [0..1]

Definition: Last acceptable price before the Maximum Price at which a holder can bid, for example on a Dutch auction offer.

LastBidIncrementPrice <LastBidIncrmtPric> contains one of the following elements (see "PriceFormat80Choice" on page 1067 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1068
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1068
	PriceValue <PricVal>	[1..1]	Rate		1068
Or	AmountPrice <AmtPric>	[1..1]	±		1068
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1069
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1069

3.4.11.25 SecuritiesQuantity <SctiesQty>

Presence: [0..1]

Definition: Provides information about securities quantity linked to a corporate action option.

SecuritiesQuantity <SctiesQty> contains the following **SecuritiesOption81** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±	C27	167
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C27	168
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C28	168
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C28	169
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C28	169
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C28	170
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±	C28	170

3.4.11.25.1 MaximumQuantityToInstruct <MaxQtyToInst>

Presence: [0..1]

Definition: Maximum quantity of financial instrument that may be instructed.

Impacted by: C27 "DigitalTokenUnitUsageRule"

MaximumQuantityToInstruct <MaxQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity34Choice" on page 1097 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1097
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1097
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1097
Or	Code <Cd>	[1..1]	CodeSet		1097
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1098

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.25.2 MinimumQuantityToInstruct <MinQtyToInst>

Presence: [0..1]

Definition: Minimum quantity of financial instrument that may be instructed.

Impacted by: C27 "DigitalTokenUnitUsageRule"

MinimumQuantityToInstruct <MinQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity34Choice" on page 1097 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1097
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1097
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1097
Or	Code <Cd>	[1..1]	CodeSet		1097
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1098

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.25.3 MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>

Presence: [0..1]

Definition: Minimum multiple quantity of financial instrument that may be instructed.

Impacted by: C28 "DigitalTokenUnitUsageRule"

MinimumMultipleQuantityToInstruct <MinMltplQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity35Choice" on page 1093 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1094
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1094
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1094
Or	Code <Cd>	[1..1]	CodeSet		1094
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1094

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.25.4 NewBoardLotQuantity <NewBrdLotQty>

Presence: [0..1]

Definition: Quantity of equity that makes up the new board lot.

Impacted by: C28 "DigitalTokenUnitUsageRule"

NewBoardLotQuantity <NewBrdLotQty> contains one of the following elements (see "FinancialInstrumentQuantity35Choice" on page 1093 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1094
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1094
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1094
Or	Code <Cd>	[1..1]	CodeSet		1094
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1094

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.25.5 NewDenominationQuantity <NewDnmtnQty>

Presence: [0..1]

Definition: New denomination of the equity following, for example, an increase or decrease in nominal value.

Impacted by: C28 "DigitalTokenUnitUsageRule"

NewDenominationQuantity <NewDnmtnQty> contains one of the following elements (see "FinancialInstrumentQuantity35Choice" on page 1093 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1094
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1094
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1094
Or	Code <Cd>	[1..1]	CodeSet		1094
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1094

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.25.6 FrontEndOddLotQuantity <FrntEndOddLotQty>

Presence: [0..1]

Definition: Specifies that if an order is prorated holders of odd lots who tender their full position will not have tendered position prorated but rather accepted in full.

Impacted by: C28 "DigitalTokenUnitUsageRule"

FrontEndOddLotQuantity <FrntEndOddLotQty> contains one of the following elements (see "FinancialInstrumentQuantity35Choice" on page 1093 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1094
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1094
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1094
Or	Code <Cd>	[1..1]	CodeSet		1094
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1094

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.25.7 BackEndOddLotQuantity <BckEndOddLotQty>

Presence: [0..1]

Definition: Represents the presence of a back end odd lot provision and the quantity of equity required after proration to be eligible for this privilege.

Impacted by: C28 "DigitalTokenUnitUsageRule"

BackEndOddLotQuantity <BckEndOddLotQty> contains one of the following elements (see "FinancialInstrumentQuantity35Choice" on page 1093 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1094
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1094
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1094
Or	Code <Cd>	[1..1]	CodeSet		1094
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1094

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.26 SecuritiesMovementDetails <SctiesMvmntDtls>

Presence: [0..*]

Definition: Provides information about the securities movement linked to the corporate action option.

Impacted by: C41 "ExemptionTypeUsageRule", C57 "IncomeType1Rule", C59 "IncomeTypeUsageRule", C71 "IssuerOfferorTaxabilityIndicatorUsageRule"

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following **SecuritiesOption113** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityDetails <SctyDtls>	[1..1]		C78, C102	174
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C24, C25, C68, C69, C98	176
	PlaceOfListing <PlcOfListg>	[0..1]	±		177
	DayCountBasis <DayCntBsis>	[0..1]	±		177
	ClassificationType <ClssfctnTp>	[0..1]	±		177
	OptionStyle <OptnStyle>	[0..1]	±		178
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C4	178
	NextCouponDate <NxtCpnDt>	[0..1]	Date		178
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		178
	MaturityDate <MtrtyDt>	[0..1]	Date		178
	IssueDate <IsseDt>	[0..1]	Date		178
	NextCallableDate <NxtCllbldDt>	[0..1]	Date		179
	PutableDate <PutblDt>	[0..1]	Date		179
	DatedDate <DtdDt>	[0..1]	Date		179
	ConversionDate <ConvsDt>	[0..1]	Date		179
	PreviousFactor <PrvsFctr>	[0..1]	±		179
	NextFactor <NxtFctr>	[0..1]	±		179
	InterestRate <IntrstRate>	[0..1]	±		180
	NextInterestRate <NxtIntrstRate>	[0..1]	±		180
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C26	180
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C26	181
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C26	181
	ContractSize <CtrctSz>	[0..1]	±	C26	182
	IssuePrice <IssePric>	[0..1]	±		182
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		183
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		183
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]			183

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		184
Or}	Proprietary <Prtry>	[1..1]	±		184
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]			184
{Or	Code <Cd>	[1..1]	CodeSet		184
Or}	Proprietary <Prtry>	[1..1]	±		185
	NewSecuritiesIssuanceIndicator <NewScitiesIssnclInd>	[0..1]	CodeSet		185
	IncomeType <IncmTp>	[0..1]	±		185
	OtherIncomeType <OthrlncmTp>	[0..*]	±		186
	ExemptionType <XmptnTp>	[0..*]	±		186
	EntitledQuantity <EntitldQty>	[0..1]	±		186
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		187
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C18	187
	FractionDisposition <FrctnDspstn>	[0..1]	±		187
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C3	188
	TradingPeriod <TradgPrd>	[0..1]	±		188
	DateDetails <DtDtls>	[1..1]			188
	PaymentDate <PmtDt>	[1..1]	±		189
	AvailableDate <AvlblDt>	[0..1]	±		189
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		189
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		190
	PariPassuDate <PrpssDt>	[0..1]	±		190
	LastTradingDate <LastTradgDt>	[0..1]	±		190
	RateDetails <RateDtls>	[0..1]			191
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbdRslntScities>	[0..1]	±		191
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScities>	[0..1]	±		192
	NewToOld <NewToOd>	[0..1]	±		192
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		193
	ChargesFees <ChrgsFees>	[0..1]	±		193
	FiscalStamp <FscIStmp>	[0..1]	±		193
	ApplicableRate <AplblRate>	[0..1]	±		194
	TaxCreditRate <TaxCdtRate>	[0..1]	±		194

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		194
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		194
	SecondLevelTax <ScndLvITax>	[0..*]	±		195
	PriceDetails <PricDtls>	[0..1]		C47	195
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			196
{Or	IndicativePrice <IndctvPric>	[1..1]	±		196
Or}	MarketPrice <MktPric>	[1..1]	±		197
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		197
	CashValueForTax <CshValForTax>	[0..1]	±		197
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		198
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		198
	AmountDetails <AmtDtls>	[0..1]			199
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C3, C19	199
	SecondLevelTaxAmount <ScndLvITaxAmt>	[0..1]	Amount	C3, C19	200

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeType1Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

On Condition
 /OtherIncomeType[*] is present
 Following Must be True
 /IncomeType Must be present

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

3.4.11.26.1 SecurityDetails <SctyDtls>

Presence: [1..1]

Definition: Provides description of the financial instrument related to securities movement.

Impacted by: C78 "MaturityDateRule", C102 "PreviousNextFactorRule"

SecurityDetails <SctyDtls> contains the following **FinancialInstrumentAttributes131** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C24, C25, C68, C69, C98	176
	PlaceOfListing <PlcOfListg>	[0..1]	±		177
	DayCountBasis <DayCntBsis>	[0..1]	±		177
	ClassificationType <ClssfctnTp>	[0..1]	±		177
	OptionStyle <OptnStyle>	[0..1]	±		178
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C4	178
	NextCouponDate <NxtCpnDt>	[0..1]	Date		178
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		178
	MaturityDate <MtrtyDt>	[0..1]	Date		178
	IssueDate <IsseDt>	[0..1]	Date		178
	NextCallableDate <NxtCllblDt>	[0..1]	Date		179
	PutableDate <PutblDt>	[0..1]	Date		179
	DatedDate <DtdDt>	[0..1]	Date		179
	ConversionDate <ConvstDt>	[0..1]	Date		179
	PreviousFactor <PrvsFctr>	[0..1]	±		179
	NextFactor <NxtFctr>	[0..1]	±		179
	InterestRate <IntrstRate>	[0..1]	±		180
	NextInterestRate <NxtIntrstRate>	[0..1]	±		180
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C26	180
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C26	181
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C26	181
	ContractSize <CtrctSz>	[0..1]	±	C26	182
	IssuePrice <IssePric>	[0..1]	±		182

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

3.4.11.26.1.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the financial instrument.

Impacted by: C24 "DescriptionPresenceRule", C25 "DescriptionUsageRule", C68 "ISINGuideline", C69 "ISINPresenceRule", C98 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		864
	OtherIdentification <OthrId>	[0..*]			864
	Identification <Id>	[1..1]	Text		864
	Suffix <Sfx>	[0..1]	Text		864
	Type <Tp>	[1..1]	±		865
	Description <Desc>	[0..1]	Text		865

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.


```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present

```

3.4.11.26.1.2 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

PlaceOfListing <PlcOfListg> contains one of the following elements (see "MarketIdentification3Choice" on page 877 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		878
Or}	Description <Desc>	[1..1]	Text		878

3.4.11.26.1.3 DayCountBasis <DayCntBsis>

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

DayCountBasis <DayCntBsis> contains one of the following elements (see "InterestComputationMethodFormat4Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		970
Or}	Proprietary <Prtry>	[1..1]	±		973

3.4.11.26.1.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

ClassificationType <ClssfctnTp> contains one of the following elements (see "ClassificationType32Choice" on page 882 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		882
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		882

3.4.11.26.1.5 OptionStyle <OptnStyle>*Presence:* [0..1]*Definition:* Specifies how an option can be exercised.**OptionStyle <OptnStyle>** contains one of the following elements (see ["OptionStyle8Choice"](#) on page 917 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		917
Or}	Proprietary <Prtry>	[1..1]	±		918

3.4.11.26.1.6 DenominationCurrency <DnmtnCcy>*Presence:* [0..1]*Definition:* Currency in which a financial instrument is currently denominated.*Impacted by:* [C4 "ActiveOrHistoricCurrency"](#)*Datatype:* ["ActiveOrHistoricCurrencyCode"](#) on page 1155**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

3.4.11.26.1.7 NextCouponDate <NxtCpnDt>*Presence:* [0..1]*Definition:* Next payment date of an interest bearing financial instrument.*Datatype:* ["ISODate"](#) on page 1238**3.4.11.26.1.8 FloatingRateFixingDate <FltgRateFxdt>***Presence:* [0..1]*Definition:* Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.*Datatype:* ["ISODate"](#) on page 1238**3.4.11.26.1.9 MaturityDate <MtrtyDt>***Presence:* [0..1]*Definition:* Date on which a financial instrument becomes due and assets are to be repaid.*Datatype:* ["ISODate"](#) on page 1238**3.4.11.26.1.10 IssueDate <IsseDt>***Presence:* [0..1]*Definition:* Date at which the security was made available.

Datatype: ["ISODate" on page 1238](#)

3.4.11.26.1.11 NextCallableDate <NxtClbIDt>

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: ["ISODate" on page 1238](#)

3.4.11.26.1.12 PutableDate <PutbIDt>

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: ["ISODate" on page 1238](#)

3.4.11.26.1.13 DatedDate <DtdDt>

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: ["ISODate" on page 1238](#)

3.4.11.26.1.14 ConversionDate <ConvsDt>

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: ["ISODate" on page 1238](#)

3.4.11.26.1.15 PreviousFactor <PrvsFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

PreviousFactor <PrvsFctr> contains one of the following elements (see ["RateFormat12Choice" on page 965](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		965
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		965

3.4.11.26.1.16 NextFactor <NxtFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will be applicable after the redemption (factor) date.

NextFactor <NxtFctr> contains one of the following elements (see "[RateFormat12Choice](#)" on page 965 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		965
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		965

3.4.11.26.1.17 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

InterestRate <IntrstRate> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

3.4.11.26.1.18 NextInterestRate <NxtIntrstRate>

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

NextInterestRate <NxtIntrstRate> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

3.4.11.26.1.19 MinimumNominalQuantity <MinNmnlQty>

Presence: [0..1]

Definition: Minimum nominal quantity of financial instrument.

Impacted by: [C26 "DigitalTokenUnitUsageRule"](#)

MinimumNominalQuantity <MinNmnlQty> contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.26.1.20 MinimumQuantityToInstruct <MinQtyToInst>

Presence: [0..1]

Definition: Minimum quantity of financial instrument that may be instructed.

Impacted by: C26 "DigitalTokenUnitUsageRule"

MinimumQuantityToInstruct <MinQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.26.1.21 MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>

Presence: [0..1]

Definition: Minimum multiple quantity of financial instrument that may be instructed.

Impacted by: C26 "DigitalTokenUnitUsageRule"

MinimumMultipleQuantityToInstruct <MinMltplQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.26.1.22 ContractSize <CtrctSz>

Presence: [0..1]

Definition: Ratio or multiplying factor used to convert one contract into a financial instrument quantity.

Impacted by: C26 "DigitalTokenUnitUsageRule"

ContractSize <CtrctSz> contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.26.1.23 IssuePrice <IssePric>

Presence: [0..1]

Definition: Initial issue/valuation price of a resulting security under a corporate action.

IssuePrice <IssePric> contains one of the following elements (see "PriceFormat81Choice" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1062
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1062
	PriceValue <PricVal>	[1..1]	Rate		1062
Or	AmountPrice <AmtPric>	[1..1]	±		1062
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1063

3.4.11.26.2 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1203

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

3.4.11.26.3 TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>

Presence: [0..1]

Definition: Specifies that the security identified is a temporary security identification used for processing reasons, for example, contra security used in the US.

TemporaryFinancialInstrumentIndicator <TempFinInstrmInd> contains one of the following elements (see "TemporaryFinancialInstrumentIndicator3Choice" on page 900 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TemporaryIndicator <TempInd>	[1..1]	Indicator		900
Or}	Proprietary <Prtry>	[1..1]	±		900

3.4.11.26.4 NonEligibleProceedsIndicator <NonElgblPrctdsInd>

Presence: [0..1]

Definition: Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

NonEligibleProceedsIndicator <NonElgblPrctdsInd> contains one of the following **NonEligibleProceedsIndicator5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		184
Or}	Proprietary <Prtry>	[1..1]	±		184

3.4.11.26.4.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify information regarding the non eligibility of the outturn resources.*Datatype:* "NonEligibleProceedsIndicator2Code" on page 1220

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.
NELS	NonEligibleSecurity	Security not eligible in the Central Securities Depository (CSD).

3.4.11.26.4.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification to specify information regarding the non eligibility of the outturn resources.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

3.4.11.26.5 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>*Presence:* [0..1]*Definition:* Proceeds are taxable according to the information provided by the issuer / offeror.**IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>** contains one of the following **IssuerOfferorTaxabilityIndicator2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		184
Or}	Proprietary <Prtry>	[1..1]	±		185

3.4.11.26.5.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify information regarding the issuer / offeror taxability status.*Datatype:* "IssuerTaxability2Code" on page 1216

CodeName	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

3.4.11.26.5.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification to specify information regarding the issuer / offeror taxability status.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

3.4.11.26.6 NewSecuritiesIssuanceIndicator <NewScitiesIssncInd>*Presence:* [0..1]*Definition:* Specifies the nature of the securities issue.*Datatype:* "[NewSecuritiesIssuanceType5Code](#)" on page 1218

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
EXIS	ExistingIssue	Securities proceeds are not new issues.
NEIS	NewIssue	Securities proceeds are newly issued.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.

3.4.11.26.7 IncomeType <IncmTp>*Presence:* [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

IncomeType <IncmTp> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

3.4.11.26.8 OtherIncomeType <OthrlIncmTp>

Presence: [0..*]

Definition: Type of income to be used under another tax regime.

OtherIncomeType <OthrlIncmTp> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

3.4.11.26.9 ExemptionType <XmptnTp>

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

3.4.11.26.10 EntitledQuantity <EntitldQty>

Presence: [0..1]

Definition: Quantity of securities based on the terms of the corporate action event and balance of underlying securities entitled to the account owner. (This quantity can be positive or negative).

EntitledQuantity <EntitldQty> contains one of the following elements (see "Quantity51Choice" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C14	1092
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		1092

3.4.11.26.11 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat41Choice" on page 988 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			989
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		989
	Identification <Id>	[0..1]	Text		989
Or	Country <Ctry>	[1..1]	CodeSet	C3	989
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		990
Or	TypeAndIdentification <TpAndId>	[1..1]			990
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		990
	Identification <Id>	[1..1]	IdentifierSet	C1	990
Or}	Proprietary <Prtry>	[1..1]	±		991

3.4.11.26.12 CountryOfIncomeSource <CtryOfIncmSrc>

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Impacted by: C18 "Country"

Datatype: "CountryCode" on page 1203

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.11.26.13 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "FractionDispositionType26Choice" on page 893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		893
Or}	Proprietary <Prtry>	[1..1]	±		894

3.4.11.26.14 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited/debited.

Impacted by: C3 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1155

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.26.15 TradingPeriod <TradgPrd>

Presence: [0..1]

Definition: Period during which intermediate or outturn securities are tradable in a secondary market.

TradingPeriod <TradgPrd> contains one of the following elements (see "Period6Choice" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

3.4.11.26.16 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to securities movement.

DateDetails <DtDtls> contains the following **SecurityDate20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[1..1]	±		189
	AvailableDate <AvlblDt>	[0..1]	±		189
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		189
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		190
	PariPassuDate <PrpssDt>	[0..1]	±		190
	LastTradingDate <LastTradgDt>	[0..1]	±		190

3.4.11.26.16.1 PaymentDate <PmtDt>

Presence: [1..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see ["DateFormat30Choice"](#) on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.11.26.16.2 AvailableDate <AvlblDt>

Presence: [0..1]

Definition: Date/time at which securities become available for trading, for example first dealing date.

AvailableDate <AvlblDt> contains one of the following elements (see ["DateFormat30Choice"](#) on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.11.26.16.3 DividendRankingDate <DvddRnkgDt>

Presence: [0..1]

Definition: Date/time at which a security will be entitled to a dividend.

DividendRankingDate <DvddRnkgDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.11.26.16.4 EarliestPaymentDate <EarlstPmtDt>

Presence: [0..1]

Definition: Date/time at which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlstPmtDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.11.26.16.5 PariPassuDate <PrpssDt>

Presence: [0..1]

Definition: Date/time at which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

PariPassuDate <PrpssDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.11.26.16.6 LastTradingDate <LastTradgDt>

Presence: [0..1]

Definition: Date/time at which the securities to be reorganised will cease to be tradeable.

LastTradingDate <LastTradgDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.11.26.17 RateDetails <RateDtls>

Presence: [0..1]

Definition: Provides information about the rates related to securities movement.

RateDetails <RateDtls> contains the following **CorporateActionRate128** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScies>	[0..1]	±		191
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		192
	NewToOld <NewToOd>	[0..1]	±		192
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		193
	ChargesFees <ChrgsFees>	[0..1]	±		193
	FiscalStamp <FscIStmp>	[0..1]	±		193
	ApplicableRate <AplblRate>	[0..1]	±		194
	TaxCreditRate <TaxCdtRate>	[0..1]	±		194
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		194
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		194
	SecondLevelTax <ScndLvITax>	[0..*]	±		195

3.4.11.26.17.1 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScies>

Presence: [0..1]

Definition: Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbdRslntScies> contains one of the following elements (see "RatioFormat17Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		929
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		929
Or}	AmountToAmount <AmtToAmt>	[1..1]			930
	Amount1 <Amt1>	[1..1]	Amount	C2	930
	Amount2 <Amt2>	[1..1]	Amount	C2	930

3.4.11.26.17.2 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>

Presence: [0..1]

Definition: Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, for example, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies> contains one of the following elements (see "RatioFormat17Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		929
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		929
Or}	AmountToAmount <AmtToAmt>	[1..1]			930
	Amount1 <Amt1>	[1..1]	Amount	C2	930
	Amount2 <Amt2>	[1..1]	Amount	C2	930

3.4.11.26.17.3 NewToOld <NewToOd>

Presence: [0..1]

Definition: Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, for example, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

NewToOld <NewToOd> contains one of the following elements (see "RatioFormat18Choice" on page 926 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		926
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		927
Or	AmountToAmount <AmtToAmt>	[1..1]			927
	Amount1 <Amt1>	[1..1]	Amount	C2	927
	Amount2 <Amt2>	[1..1]	Amount	C2	927
Or	AmountToQuantity <AmtToQty>	[1..1]			928
	Amount <Amt>	[1..1]	Amount	C2	928
	Quantity <Qty>	[1..1]	Quantity		928
Or}	QuantityToAmount <QtyToAmt>	[1..1]			928
	Amount <Amt>	[1..1]	Amount	C2	928
	Quantity <Qty>	[1..1]	Quantity		929

3.4.11.26.17.4 TransformationRate <TrfrmatnRate>

Presence: [0..1]

Definition: Rate used to determine the cash consideration split across outturn settlement transactions that are the result of a transformation of the parent transaction.

Datatype: "Percentage14Rate" on page 1242

3.4.11.26.17.5 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following elements (see "RateAndAmountFormat57Choice" on page 1123 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1123
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1123
Or}	Amount <Amt>	[1..1]	Amount	C2	1123

3.4.11.26.17.6 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

FiscalStamp <FscIStmp> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

3.4.11.26.17.7 ApplicableRate <ApIblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

ApplicableRate <ApIblRate> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

3.4.11.26.17.8 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

TaxCreditRate <TaxCdtRate> contains one of the following elements (see "[RateFormat26Choice](#)" on page 1120 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1120
Or	Amount <Amt>	[1..1]	Amount	C2	1120
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1120

3.4.11.26.17.9 FinancialTransactionTaxRate <FinTxTaxRate>

Presence: [0..1]

Definition: Rate of financial transaction tax.

FinancialTransactionTaxRate <FinTxTaxRate> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

3.4.11.26.17.10 WithholdingTaxRate <WhldgTaxRate>

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following elements (see "RateAndAmountFormat60Choice" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1021
Or	Amount <Amt>	[1..1]	Amount	C2	1021
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1021
	RateType <RateTp>	[1..1]			1021
{Or	Code <Cd>	[1..1]	CodeSet		1022
Or}	Proprietary <Prtry>	[1..1]	±		1022
	Rate <Rate>	[1..1]	Rate		1022

3.4.11.26.17.11 SecondLevelTax <ScndLvTax>

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvTax> contains one of the following elements (see "RateAndAmountFormat60Choice" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1021
Or	Amount <Amt>	[1..1]	Amount	C2	1021
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1021
	RateType <RateTp>	[1..1]			1021
{Or	Code <Cd>	[1..1]	CodeSet		1022
Or}	Proprietary <Prtry>	[1..1]	±		1022
	Rate <Rate>	[1..1]	Rate		1022

3.4.11.26.18 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to securities movement.

Impacted by: C47 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **CorporateActionPrice89** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			196
{Or	IndicativePrice <IndctvPric>	[1..1]	±		196
Or}	MarketPrice <MktPric>	[1..1]	±		197
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		197
	CashValueForTax <CshValForTax>	[0..1]	±		197
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		198
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		198

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

3.4.11.26.18.1 IndicativeOrMarketPrice <IndctvOrMktPric>

Presence: [0..1]

Definition: Indicates whether the price is an indicative price or a market price.

IndicativeOrMarketPrice <IndctvOrMktPric> contains one of the following **IndicativeOrMarketPrice14Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]	±		196
Or}	MarketPrice <MktPric>	[1..1]	±		197

3.4.11.26.18.1.1 IndicativePrice <IndctvPric>

Presence: [1..1]

Definition: Estimated price, for example, for valuation purposes.

IndicativePrice <IndctvPric> contains one of the following elements (see "PriceFormat81Choice" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1062
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1062
	PriceValue <PricVal>	[1..1]	Rate		1062
Or	AmountPrice <AmtPric>	[1..1]	±		1062
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1063

3.4.11.26.18.1.2 MarketPrice <MktPric>

Presence: [1..1]

Definition: Last reported/known price of a financial instrument in a market.

MarketPrice <MktPric> contains one of the following elements (see "PriceFormat81Choice" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1062
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1062
	PriceValue <PricVal>	[1..1]	Rate		1062
Or	AmountPrice <AmtPric>	[1..1]	±		1062
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1063

3.4.11.26.18.2 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following elements (see "PriceFormat81Choice" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1062
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1062
	PriceValue <PricVal>	[1..1]	Rate		1062
Or	AmountPrice <AmtPric>	[1..1]	±		1062
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1063

3.4.11.26.18.3 CashValueForTax <CshValForTax>

Presence: [0..1]

Definition: Cash value of resulting securities proceeds for tax calculation and/or reporting.

CashValueForTax <CshValForTax> contains one of the following elements (see "PriceFormat46Choice" on page 1059 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]	±		1059
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1059

3.4.11.26.18.4 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat80Choice" on page 1067 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1068
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1068
	PriceValue <PricVal>	[1..1]	Rate		1068
Or	AmountPrice <AmtPric>	[1..1]	±		1068
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1069
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1069

3.4.11.26.18.5 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat79Choice" on page 1063 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1063
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1064
	PriceValue <PricVal>	[1..1]	Rate		1064
Or	AmountPrice <AmtPric>	[1..1]	±		1064
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1064
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			1065
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1065
	PriceValue <PricVal>	[1..1]	Amount	C2	1065
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C14	1066
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			1066
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1066
	PriceValue <PricVal>	[1..1]	Amount	C2	1067
	Amount <Amt>	[1..1]	Amount	C2	1067
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1067

3.4.11.26.19 AmountDetails <AmtDtls>

Presence: [0..1]

Definition: Provides information about the amounts related to a securities movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts60** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C3, C19	199
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C3, C19	200

3.4.11.26.19.1 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.26.19.2 SecondLevelTaxAmount <ScndLvlTaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27 CashMovementDetails <CshMvmntDtls>

Presence: [0..*]

Definition: Provides information about the cash movement linked to the corporate action option.

Impacted by: C42 "ExemptionTypeUsageRule", C58 "IncomeType2Rule", C60 "IncomeTypeUsageRule", C72 "IssuerOfferorTaxabilityIndicatorUsageRule", C48 "GenericCashPricePerProductGuideline"

CashMovementDetails <CshMvmntDtls> contains the following **CashOption107** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		204
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		205
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]			205
{Or	Code <Cd>	[1..1]	CodeSet		205
Or}	Proprietary <Prtry>	[1..1]	±		205
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]			206
{Or	Code <Cd>	[1..1]	CodeSet		206
Or}	Proprietary <Prtry>	[1..1]	±		206
	IncomeType <IncmTp>	[0..1]	±		206
	OtherIncomeType <OthrlIncmTp>	[0..*]	±		207
	ExemptionType <XmptnTp>	[0..*]	±		207
	PriceCalculationMethod <PricClctnMtd>	[0..1]			207
{Or	Code <Cd>	[1..1]	CodeSet		208
Or}	Proprietary <Prtry>	[1..1]	±		208
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C18	208
	CashAccountIdentification <CshAcctId>	[0..1]	±		209
	AmountDetails <AmtDtls>	[0..1]			209
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C19	211
	NetAmount <NetAmt>	[0..1]	Amount	C3, C19	212
	SolicitationFees <SlctnFees>	[0..1]	Amount	C3, C19	212
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C3, C19	212
	CapitalGain <CptlGn>	[0..1]	Amount	C3, C19	213
	InterestAmount <IntrstAmt>	[0..1]	Amount	C3, C19	213
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C3, C19	214
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C3, C19	214
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C3, C19	215
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C3, C19	215
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C3, C19	215
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C3, C19	216
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C3, C19	216

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C3, C19	217
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C3, C19	217
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C3, C19	217
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C3, C19	218
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C3, C19	218
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C3, C19	219
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C3, C19	219
	SecondLevelTaxAmount <ScndLvTaxAmt>	[0..1]	Amount	C3, C19	219
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C3, C19	220
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C3, C19	220
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C3, C19	221
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C3, C19	221
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C3, C19	221
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C3, C19	222
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C3, C19	222
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C3, C19	223
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C3, C19	223
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C3, C19	223
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C3, C19	224
	IncomePortion <IncmPrtn>	[0..1]	Amount	C3, C19	224
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C3, C19	225
	EUTaxRetentionAmount <EUTaxRtnAmt>	[0..1]	Amount	C3, C19	225
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C19	225
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C3, C19	226
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C3, C19	226
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C3, C19	227
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C3, C19	227
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C3, C19	227
	TransactionTax <TxTax>	[0..1]	Amount	C3, C19	228
	DeemedAmount <DmdAmt>	[0..1]	Amount	C3, C19	228

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ForeignIncomeAmount <FrgrIncmAmt>	[0..1]	Amount	C3, C19	229
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C3, C19	229
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C3, C19	229
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C3, C19	230
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C3, C19	230
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C3, C19	231
	DateDetails <DtDtls>	[1..1]			231
	PaymentDate <PmtDt>	[1..1]	±		231
	ValueDate <ValDt>	[0..1]	±		232
	ForeignExchangeRateFixingDate <FXRateFvgDt>	[0..1]	±		232
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		232
	ForeignExchangeDetails <FXDtls>	[0..1]	±		233
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C22, C23, C51, C53, C86, C88, C113, C115, C117, C122, C124	233
	AdditionalTax <AddtlTax>	[0..1]	±		236
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		236
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		236
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		237
	SecondLevelTax <ScndLvlTax>	[0..*]	±		237
	ChargesFees <ChrgsFees>	[0..1]	±		238
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		238
	FiscalStamp <FscIStmp>	[0..1]	±		239
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		239
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		239
	ApplicableRate <AplblRate>	[0..1]	±		240
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		240
	TaxCreditRate <TaxCdtRate>	[0..1]	±		241

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxOnIncome <TaxOnIncm>	[0..1]	±		241
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		241
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		242
	EqualisationRate <EqulstnRate>	[0..1]	±		242
	DeemedRate <DmdRate>	[0..*]	±		242
	PriceDetails <PricDtls>	[0..1]		C49	243
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		244
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		244
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		245

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price.) is derived from the event type.

- **IncomeType2Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

```
On Condition
  /OtherIncomeType[*] is present
Following Must be True
  /IncomeType Must be present
```

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

3.4.11.27.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Indicates whether the value is a debit or a credit.

Datatype: "CreditDebitCode" on page 1203

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

3.4.11.27.2 ContractualPaymentIndicator <CtrctlPmtInd>

Presence: [0..1]

Definition: Specifies exceptions to contractual payment service.

Datatype: "Payment2Code" on page 1224

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.

3.4.11.27.3 NonEligibleProceedsIndicator <NonElgblPrclsInd>

Presence: [0..1]

Definition: Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

NonEligibleProceedsIndicator <NonElgblPrclsInd> contains one of the following **NonEligibleProceedsIndicator5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		205
Or}	Proprietary <Prtry>	[1..1]	±		205

3.4.11.27.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify information regarding the non eligibility of the outturn resources.

Datatype: "NonEligibleProceedsIndicator2Code" on page 1220

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.
NELS	NonEligibleSecurity	Security not eligible in the Central Securities Depository (CSD).

3.4.11.27.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the non eligibility of the outturn resources.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

3.4.11.27.4 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxblyInd>

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

IssuerOfferorTaxabilityIndicator <IssrOfferrTaxblyInd> contains one of the following **IssuerOfferorTaxabilityIndicator2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		206
Or}	Proprietary <Prtry>	[1..1]	±		206

3.4.11.27.4.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify information regarding the issuer / offeror taxability status.

Datatype: "[IssuerTaxability2Code](#)" on page 1216

CodeName	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

3.4.11.27.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the issuer / offeror taxability status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

3.4.11.27.5 IncomeType <IncmTp>

Presence: [0..1]

Definition: Specifies the type of income.

IncomeType <IncmTp> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

3.4.11.27.6 OtherIncomeType <OthrIncmTp>

Presence: [0..*]

Definition: Type of income to be used under another tax regime.

OtherIncomeType <OthrIncmTp> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

3.4.11.27.7 ExemptionType <XmptnTp>

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

3.4.11.27.8 PriceCalculationMethod <PricClctnMtd>

Presence: [0..1]

Definition: Specifies the method applied for the calculation of the price.

PriceCalculationMethod <PricClctnMtd> contains one of the following **PriceCalculationMethod2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		208
Or}	Proprietary <Prtry>	[1..1]	±		208

3.4.11.27.8.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the method applied for the calculation of the price.*Datatype:* "PriceCalculationMethod1Code" on page 1226

CodeName	Name	Definition
VWAP	VolumeWeightedAveragePrice	The price is based on a volume-weighted average price which is a ratio of the cumulative share price to the cumulative volume traded over a given time period.
NAVF	FinalAssetValue	Final asset value at the liquidation of the fund.
MIMP	MidMarketPrice	Price at a certain amount of time prior to the date it is announced.
NAVA	NetAssetValue	Net value of an investment fund's assets less its liabilities, divided by the number of shares outstanding.
AVCL	AverageClosingPrice	Price of the asset at close of a given day.

3.4.11.27.8.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification to specify the method applied for the calculation of the price.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

3.4.11.27.9 CountryOfIncomeSource <CtryOfIncmSrc>*Presence:* [0..1]*Definition:* Indicates the country from which the income originates.*Impacted by:* C18 "Country"*Datatype:* "CountryCode" on page 1203

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.11.27.10 CashAccountIdentification <CshAcctId>

Presence: [0..1]

Definition: Identification of the account in which cash is maintained.

CashAccountIdentification <CshAcctId> contains one of the following elements (see "CashAccountIdentification9Choice" on page 826 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	826
Or	BlockChainCashWallet <BlckChainCshWlt>	[1..1]	±		826
Or}	Proprietary <Prtry>	[1..1]	Text		827

3.4.11.27.11 AmountDetails <AmtDtIs>

Presence: [0..1]

Definition: Provides information about the amounts related to a cash movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts71** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C19	211
	NetAmount <NetAmt>	[0..1]	Amount	C3, C19	212
	SolicitationFees <SlctnFees>	[0..1]	Amount	C3, C19	212
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C3, C19	212
	CapitalGain <CptlGn>	[0..1]	Amount	C3, C19	213
	InterestAmount <IntrstAmt>	[0..1]	Amount	C3, C19	213
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C3, C19	214
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C3, C19	214
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C3, C19	215
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C3, C19	215
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C3, C19	215
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C3, C19	216
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C3, C19	216
	TaxDeferredAmount <TaxDfrrdAmt>	[0..1]	Amount	C3, C19	217
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C3, C19	217
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C3, C19	217
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C3, C19	218
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C3, C19	218
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C3, C19	219
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C3, C19	219
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C3, C19	219
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C3, C19	220
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C3, C19	220
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C3, C19	221
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C3, C19	221
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C3, C19	221
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C3, C19	222
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C3, C19	222
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C3, C19	223

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C3, C19	223
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C3, C19	223
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C3, C19	224
	IncomePortion <IncmPrtn>	[0..1]	Amount	C3, C19	224
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C3, C19	225
	EUTaxRetentionAmount <EUTaxRtntnAmt>	[0..1]	Amount	C3, C19	225
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C19	225
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C3, C19	226
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C3, C19	226
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C3, C19	227
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C3, C19	227
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C3, C19	227
	TransactionTax <TxTax>	[0..1]	Amount	C3, C19	228
	DeemedAmount <DmdAmt>	[0..1]	Amount	C3, C19	228
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C3, C19	229
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C3, C19	229
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C3, C19	229
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C3, C19	230
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C3, C19	230
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C3, C19	231

3.4.11.27.11.1 GrossAmount <GrssAmt>

Presence: [0..1]

Definition: Amount of money before any tax deductions have been made.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.2 NetAmount <NetAmt>

Presence: [0..1]

Definition: Amount of money after tax deductions have been made.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.3 SolicitationFees <SlctnFees>

Presence: [0..1]

Definition: Cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fees.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.4 CashInLieuOfShare <CshInLieuOfShr>

Presence: [0..1]

Definition: Cash disbursement in lieu of a fractional quantity of, for example, equity.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.5 CapitalGain <CptlGn>

Presence: [0..1]

Definition: Amount of money distributed as the result of a capital gain.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.6 InterestAmount <IntrstAmt>

Presence: [0..1]

Definition: Amount of money representing a coupon payment.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.7 IndemnityAmount <IndmntyAmt>

Presence: [0..1]

Definition: Amount due to a buyer of securities dealt prior to ex date which may be subject to different rate of taxation. Used mainly in France.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.8 ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>

Presence: [0..1]

Definition: Amount of money that the borrower pays to the lender as a compensation. It does not entitle the lender to reclaim any tax credit and is sometimes treated differently by the local tax authorities of the lender. Also covers compensation/indemnity of missed dividend concerning early/late settlements if applicable to a market.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.9 ReinvestmentAmount <RinvstmtAmt>

Presence: [0..1]

Definition: Amount of money reinvested in additional securities.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.10 FullyFrankedAmount <FullyFrnkdtAmt>

Presence: [0..1]

Definition: Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.11 UnfrankedAmount <UfrnkdtAmt>

Presence: [0..1]

Definition: Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.12 SundryOrOtherAmount <SndryOrOthrAmt>

Presence: [0..1]

Definition: Amount of money related to taxable income that cannot be categorised.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.13 TaxFreeAmount <TaxFreeAmt>

Presence: [0..1]

Definition: Amount of money that has not been subject to taxation.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.14 TaxDeferredAmount <TaxDfrdAmt>

Presence: [0..1]

Definition: Amount of income eligible for deferred taxation.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.15 ValueAddedTaxAmount <ValAddedTaxAmt>

Presence: [0..1]

Definition: Amount of value added tax.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.16 StampDutyAmount <StmpDtyAmt>

Presence: [0..1]

Definition: Amount of stamp duty.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.17 TaxReclaimAmount <TaxRclmAmt>

Presence: [0..1]

Definition: Amount that was paid in excess of actual tax obligation and was reclaimed.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.18 TaxCreditAmount <TaxCdtAmt>

Presence: [0..1]

Definition: Amount of taxes that have been previously paid in relation to the taxable event.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.19 AdditionalTaxAmount <AddtlTaxAmt>

Presence: [0..1]

Definition: Amount of additional taxes that cannot be categorised.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.20 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.21 SecondLevelTaxAmount <ScndLvITaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.22 FiscalStampAmount <FscIStmpAmt>

Presence: [0..1]

Definition: Amount of fiscal tax to apply.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.23 ExecutingBrokerAmount <ExctgBrkrAmt>

Presence: [0..1]

Definition: Amount of money paid to an executing broker as a commission.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.24 PayingAgentCommissionAmount <PngAgtComssnAmt>

Presence: [0..1]

Definition: Amount of paying/sub-paying agent commission.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.25 LocalBrokerCommissionAmount <LclBrkrComssnAmt>

Presence: [0..1]

Definition: Local broker's commission.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.26 RegulatoryFeesAmount <RgltryFeesAmt>

Presence: [0..1]

Definition: Amount of money charged by a regulatory authority, for example, securities and exchange fees.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.27 ShippingFeesAmount <ShppgFeesAmt>

Presence: [0..1]

Definition: All costs related to the physical delivery of documents such as stamps, postage, carrier fees, insurances or messenger services.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.28 ChargesAmount <ChrgsAmt>

Presence: [0..1]

Definition: Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.29 EntitledAmount <EntitldAmt>

Presence: [0..1]

Definition: Cash amount based on the terms of the corporate action event and balance of underlying securities, entitled to/from account owner (which may be positive or negative).

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.30 OriginalAmount <OrgnlAmt>

Presence: [0..1]

Definition: Posting/settlement amount in its original currency when conversion from/into another currency has occurred.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.31 PrincipalOrCorpus <PrncplOrCrps>

Presence: [0..1]

Definition: Amount of money representing a distribution of a bond's principal, for example, repayment of outstanding debt.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.32 RedemptionPremiumAmount <RedPrmAmt>

Presence: [0..1]

Definition: Amount of money (not interest) in addition to the principal at the redemption of a bond.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.33 IncomePortion <IncmPrtn>

Presence: [0..1]

Definition: Amount relating to the underlying security for which income is distributed.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.34 StockExchangeTax <StockXchgTax>

Presence: [0..1]

Definition: Amount of stock exchange tax.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.35 EUTaxRetentionAmount <EUTaxRtnAmt>

Presence: [0..1]

Definition: Total amount of tax withheld at source in conformance with the EU Savings Directive.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.36 AccruedInterestAmount <AcrdIntrstAmt>

Presence: [0..1]

Definition: Amount of interest that has been accrued in between coupon payment periods.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.37 EqualisationAmount <EqulstnAmt>

Presence: [0..1]

Definition: Portion of the fund distribution amount which represents the average accrued income included in the purchase price for units bought during the account period.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.38 FATCATaxAmount <FATCATaxAmt>

Presence: [0..1]

Definition: FATCA (Foreign Account Tax Compliance Act) related tax amount.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.39 NRATaxAmount <NRATaxAmt>

Presence: [0..1]

Definition: Amount of tax related income subject to NRA (Non Resident Alien).

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.40 BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>

Presence: [0..1]

Definition: Amount of tax related to back up withholding.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.41 TaxOnIncomeAmount <TaxOnIncmAmt>

Presence: [0..1]

Definition: Amount of overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.42 TransactionTax <TxTax>

Presence: [0..1]

Definition: Amount of transaction tax.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.43 DeemedAmount <DmdAmt>

Presence: [0..1]

Definition: Amount of proceeds which is not actually paid to the security holder but on which withholding tax is applicable.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.44 ForeignIncomeAmount <FrgrnIncmAmt>

Presence: [0..1]

Definition: Amount relating to a foreign income such as a conduit foreign income.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.45 DeemedDividendAmount <DmdDvddAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of dividend proceeds attributed to the security holder for the taxable year and the rate of dividend proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.46 DeemedFundAmount <DmdFndAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of the proceeds components comprising a fund payment attributed to the security holder for the taxable year and the rate of the fund proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.47 DeemedInterestAmount <DmdIntrstAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of interest proceeds attributed to the security holder for the taxable year and the rate of interest proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.48 DeemedRoyaltiesAmount <DmdRyltsAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of royalty proceeds attributed to the security holder for the taxable year and the rate of royalty proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.11.49 BuyUpAmount <BuyUpAmt>

Presence: [0..1]

Definition: Amount of cash debited in the context of a buy up option.

Impacted by: C3 "ActiveCurrency", C19 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.27.12 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to a cash movement.

DateDetails <DtDtls> contains the following **CorporateActionDate84** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[1..1]	±		231
	ValueDate <ValDt>	[0..1]	±		232
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		232
	EarliestPaymentDate <EarlStPmtDt>	[0..1]	±		232

3.4.11.27.12.1 PaymentDate <PmtDt>

Presence: [1..1]

Definition: Date/time on which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see ["DateFormat30Choice"](#) on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.11.27.12.2 ValueDate <ValDt>

Presence: [0..1]

Definition: Date/time when calculating economic benefit for a cash amount.

ValueDate <ValDt> contains one of the following elements (see ["DateFormat57Choice"](#) on page 842 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		842
Or}	DateCode <DtCd>	[1..1]			842
{Or	Code <Cd>	[1..1]	CodeSet		843
Or}	Proprietary <Prtry>	[1..1]	±		843

3.4.11.27.12.3 ForeignExchangeRateFixingDate <FXRateFxdDt>

Presence: [0..1]

Definition: Date/time at which a foreign exchange rate will be determined.

ForeignExchangeRateFixingDate <FXRateFxdDt> contains one of the following elements (see ["DateFormat43Choice"](#) on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.11.27.12.4 EarliestPaymentDate <EarlstPmtDt>

Presence: [0..1]

Definition: Date/time on which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlStPmtDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

3.4.11.27.13 ForeignExchangeDetails <FXDtIs>

Presence: [0..1]

Definition: Exchange rate between the amount and the resulting amount.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "ForeignExchangeTerms39" on page 867 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	867
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	867
	ExchangeRate <XchgRate>	[1..1]	Rate		867
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C2, C11	868

3.4.11.27.14 RateAndAmountDetails <RateAndAmtDtIs>

Presence: [0..1]

Definition: Provides information about the corporate action option.

Impacted by: C22 "DeemedRate1Rule", C23 "DeemedRate2Rule", C51 "GrossDistributionRate2Rule", C53 "GrossDistributionRate3Rule", C86 "NetDistributionRate1Rule", C88 "NetDistributionRate2Rule", C113 "SecondLevelTax1Rule", C115 "SecondLevelTax2Rule", C117 "SecondLevelTax3Rule", C122 "WithholdingTaxRate1Rule", C124 "WithholdingTaxRate2Rule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **Rate43** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]	±		236
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		236
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		236
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		237
	SecondLevelTax <ScndLvITax>	[0..*]	±		237
	ChargesFees <ChrgsFees>	[0..1]	±		238
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		238
	FiscalStamp <FscIStmp>	[0..1]	±		239
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		239
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		239
	ApplicableRate <AplblRate>	[0..1]	±		240
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		240
	TaxCreditRate <TaxCdtRate>	[0..1]	±		241
	TaxOnIncome <TaxOnIncm>	[0..1]	±		241
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		241
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		242
	EqualisationRate <EqulstnRate>	[0..1]	±		242
	DeemedRate <DmdRate>	[0..*]	±		242

Constraints

- **DeemedRate1Rule**

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

- **DeemedRate2Rule**

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **GrossDistributionRate2Rule**

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 564 NVR C8).

- **GrossDistributionRate3Rule**

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus or GrossDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

- **NetDistributionRate1Rule**

If NetDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

- **NetDistributionRate2Rule**

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

```
On Condition
    /SecondLevelTax[*] is present
Following Must be True
    /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

3.4.11.27.14.1 AdditionalTax <AddtlTax>*Presence:* [0..1]*Definition:* Rate used for additional tax that cannot be categorised.

AdditionalTax <AddtlTax> contains one of the following elements (see "RateAndAmountFormat57Choice" on page 1123 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1123
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1123
Or}	Amount <Amt>	[1..1]	Amount	C2	1123

3.4.11.27.14.2 GrossDistributionRate <GrssDstrbtnRate>*Presence:* [0..*]*Definition:* Distribution rate before tax has been deducted.

GrossDistributionRate <GrssDstrbtnRate> contains one of the following elements (see "GrossDividendRateFormat38Choice" on page 930 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	931
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			931
	Amount <Amt>	[1..1]	Amount	C2	932
	RateStatus <RateSts>	[1..1]	CodeSet		932
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			932
	RateType <RateTp>	[1..1]			932
{Or	Code <Cd>	[1..1]	CodeSet		933
Or}	Proprietary <Prtry>	[1..1]	±		934
	Amount <Amt>	[1..1]	Amount	C2	934
	RateStatus <RateSts>	[0..1]		C52	934
{Or	Code <Cd>	[1..1]	CodeSet		934
Or}	Proprietary <Prtry>	[1..1]	±		935
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		935

3.4.11.27.14.3 GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>*Presence:* [0..*]

Definition: The actual interest rate before tax used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat11Choice" on page 1022 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1023
Or	Amount <Amt>	[1..1]	Amount	C2	1023
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1023
	RateType <RateTp>	[1..1]			1024
{Or	Code <Cd>	[1..1]	CodeSet		1024
Or}	Proprietary <Prtry>	[1..1]	±		1024
	Amount <Amt>	[1..1]	Amount	C2	1025
	RateStatus <RateSts>	[0..1]		C52	1025
{Or	Code <Cd>	[1..1]	CodeSet		1025
Or}	Proprietary <Prtry>	[1..1]	±		1026
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1026

3.4.11.27.14.4 WithholdingTaxRate <WhldgTaxRate>

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following elements (see "RateAndAmountFormat60Choice" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1021
Or	Amount <Amt>	[1..1]	Amount	C2	1021
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1021
	RateType <RateTp>	[1..1]			1021
{Or	Code <Cd>	[1..1]	CodeSet		1022
Or}	Proprietary <Prtry>	[1..1]	±		1022
	Rate <Rate>	[1..1]	Rate		1022

3.4.11.27.14.5 SecondLevelTax <ScndLvTax>

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following elements (see "RateAndAmountFormat60Choice" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		1021
Or	Amount <Amt>	[1..1]	Amount	C2	1021
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1021
	RateType <RateTp>	[1..1]			1021
{Or	Code <Cd>	[1..1]	CodeSet		1022
Or}	Proprietary <Prtry>	[1..1]	±		1022
	Rate <Rate>	[1..1]	Rate		1022

3.4.11.27.14.6 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following elements (see "RateAndAmountFormat57Choice" on page 1123 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1123
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		1123
Or}	Amount <Amt>	[1..1]	Amount	C2	1123

3.4.11.27.14.7 EarlySolicitationFeeRate <EarlySlctnFeeRate>

Presence: [0..1]

Definition: Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.

EarlySolicitationFeeRate <EarlySlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat11Choice" on page 1018 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1019
Or	AmountToQuantity <AmtToQty>	[1..1]			1019
	Amount <Amt>	[1..1]	Amount	C2	1019
	Quantity <Qty>	[1..1]	Quantity		1019
Or	Amount <Amt>	[1..1]	Amount	C2	1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

3.4.11.27.14.8 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

FiscalStamp <FscIStmp> contains one of the following elements (see "RateFormat24Choice" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

3.4.11.27.14.9 ThirdPartyIncentiveRate <ThrdPtyIncntivRate>

Presence: [0..1]

Definition: Cash rate made available in an event in order to encourage participation in the offer. As information, payment is made to a third party who has solicited an entity to take part in the offer.

ThirdPartyIncentiveRate <ThrdPtyIncntivRate> contains one of the following elements (see "RateFormat26Choice" on page 1120 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1120
Or	Amount <Amt>	[1..1]	Amount	C2	1120
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1120

3.4.11.27.14.10 NetDistributionRate <NetDstrbtnRate>

Presence: [0..*]

Definition: Distribution rate after tax has been deducted.

NetDistributionRate <NetDstrbtnRate> contains one of the following elements (see "NetDividendRateFormat39Choice" on page 953 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	953
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			953
	Amount <Amt>	[1..1]	Amount	C2	954
	RateStatus <RateSts>	[1..1]	CodeSet		954
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			954
	RateType <RateTp>	[1..1]			955
{Or	Code <Cd>	[1..1]	CodeSet		955
Or}	Proprietary <Prtry>	[1..1]	±		956
	Amount <Amt>	[1..1]	Amount	C2	956
	RateStatus <RateSts>	[0..1]		C52	956
{Or	Code <Cd>	[1..1]	CodeSet		957
Or}	Proprietary <Prtry>	[1..1]	±		957
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		957

3.4.11.27.14.11 ApplicableRate <AplblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

ApplicableRate <AplblRate> contains one of the following elements (see "RateFormat24Choice" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1099

3.4.11.27.14.12 SolicitationFeeRate <SlctnFeeRate>

Presence: [0..1]

Definition: Rate of the cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fee.

SolicitationFeeRate <SlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat11Choice" on page 1018 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1019
Or	AmountToQuantity <AmtToQty>	[1..1]			1019
	Amount <Amt>	[1..1]	Amount	C2	1019
	Quantity <Qty>	[1..1]	Quantity		1019
Or	Amount <Amt>	[1..1]	Amount	C2	1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

3.4.11.27.14.13 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

TaxCreditRate <TaxCdtRate> contains one of the following elements (see "RateFormat26Choice" on page 1120 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1120
Or	Amount <Amt>	[1..1]	Amount	C2	1120
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1120

3.4.11.27.14.14 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

TaxOnIncome <TaxOnIncm> contains one of the following elements (see "RateAndAmountFormat57Choice" on page 1123 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1123
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1123
Or}	Amount <Amt>	[1..1]	Amount	C2	1123

3.4.11.27.14.15 TaxOnProfits <TaxOnPrfts>

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as capital profits, capital gains.

TaxOnProfits <TaxOnPrfts> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

3.4.11.27.14.16 TaxReclaimRate <TaxRclmRate>

Presence: [0..1]

Definition: Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

TaxReclaimRate <TaxRclmRate> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

3.4.11.27.14.17 EqualisationRate <EqulstnRate>

Presence: [0..1]

Definition: Portion of the fund distribution which represents the average accrued income included in the purchase price for units bought during the account period.

EqualisationRate <EqulstnRate> contains one of the following elements (see "[RateAndAmountFormat42Choice](#)" on page 1101 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	1101
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1101

3.4.11.27.14.18 DeemedRate <DmdRate>

Presence: [0..*]

Definition: Rate applied for the calculation of deemed proceeds which are not paid to security holders but on which withholding tax is applicable.

DeemedRate <DmdRate> contains one of the following elements (see "RateAndAmountFormat61Choice" on page 1115 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1115
Or	Amount <Amt>	[1..1]	Amount	C2	1116
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1116
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1116
	RateType <RateTp>	[1..1]			1116
{Or	Code <Cd>	[1..1]	CodeSet		1117
Or}	Proprietary <Prtry>	[1..1]	±		1117
	Amount <Amt>	[1..1]	Amount	C2	1117
	RateStatus <RateSts>	[0..1]		C52	1118
{Or	Code <Cd>	[1..1]	CodeSet		1118
Or}	Proprietary <Prtry>	[1..1]	±		1118
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1119
	RateType <RateTp>	[1..1]			1119
{Or	Code <Cd>	[1..1]	CodeSet		1119
Or}	Proprietary <Prtry>	[1..1]	±		1119
	Rate <Rate>	[1..1]	Rate		1120

3.4.11.27.15 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

Impacted by: C49 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **PriceDetails40** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		244
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		244
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		245

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

3.4.11.27.15.1 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat80Choice" on page 1067 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1068
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1068
	PriceValue <PricVal>	[1..1]	Rate		1068
Or	AmountPrice <AmtPric>	[1..1]	±		1068
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1069
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1069

3.4.11.27.15.2 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat79Choice" on page 1063 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1063
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1064
	PriceValue <PricVal>	[1..1]	Rate		1064
Or	AmountPrice <AmtPric>	[1..1]	±		1064
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1064
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			1065
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1065
	PriceValue <PricVal>	[1..1]	Amount	C2	1065
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C14	1066
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			1066
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1066
	PriceValue <PricVal>	[1..1]	Amount	C2	1067
	Amount <Amt>	[1..1]	Amount	C2	1067
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1067

3.4.11.27.15.3 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following elements (see "PriceFormat81Choice" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1062
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1062
	PriceValue <PricVal>	[1..1]	Rate		1062
Or	AmountPrice <AmtPric>	[1..1]	±		1062
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1063

3.4.11.28 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

Impacted by: C6 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative59** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]			246
	Language <Lang>	[1..1]	CodeSet		247
	AdditionalInformation <AddtlInf>	[1..1]	Text		247
	NarrativeVersion <NrrtvVrsn>	[0..*]			247
	Language <Lang>	[1..1]	CodeSet		247
	AdditionalInformation <AddtlInf>	[1..1]	Text		247
	InformationConditions <InfConds>	[0..*]			248
	Language <Lang>	[1..1]	CodeSet		248
	AdditionalInformation <AddtlInf>	[1..*]	Text		248
	InformationToComplyWith <InfToCmplyWth>	[0..*]			248
	Language <Lang>	[1..1]	CodeSet		248
	AdditionalInformation <AddtlInf>	[1..*]	Text		248
	SecurityRestriction <SctyRstrctn>	[0..*]			249
	Language <Lang>	[1..1]	CodeSet		249
	AdditionalInformation <AddtlInf>	[1..*]	Text		249
	TaxationConditions <TaxtnConds>	[0..*]			249
	Language <Lang>	[1..1]	CodeSet		249
	AdditionalInformation <AddtlInf>	[1..*]	Text		250
	Disclaimer <Dsclmr>	[0..*]			250
	Language <Lang>	[1..1]	CodeSet		250
	AdditionalInformation <AddtlInf>	[1..*]	Text		250
	CertificationBreakdown <CertfctnBrkdwn>	[0..*]			250
	Language <Lang>	[1..1]	CodeSet		250
	AdditionalInformation <AddtlInf>	[1..*]	Text		251

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

3.4.11.28.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

AdditionalText <AddtlTxt> contains the following **UpdatedAdditionalInformation19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		247
	AdditionalInformation <AddtlInf>	[1..1]	Text		247

3.4.11.28.1.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1216

3.4.11.28.1.2 AdditionalInformation <AddtlInf>

Presence: [1..1]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1245

3.4.11.28.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

NarrativeVersion <NrrtvVrsn> contains the following **UpdatedAdditionalInformation19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		247
	AdditionalInformation <AddtlInf>	[1..1]	Text		247

3.4.11.28.2.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1216

3.4.11.28.2.2 AdditionalInformation <AddtlInf>

Presence: [1..1]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1245

3.4.11.28.3 InformationConditions <InfConds>*Presence:* [0..*]*Definition:* Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.**InformationConditions <InfConds>** contains the following **UpdatedAdditionalInformation21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		248
	AdditionalInformation <AddtlInf>	[1..*]	Text		248

3.4.11.28.3.1 Language <Lang>*Presence:* [1..1]*Definition:* Language used to provide additional information and using the ISO 639-1 language code standard.*Datatype:* "ISO2ALanguageCode" on page 1216**3.4.11.28.3.2 AdditionalInformation <AddtlInf>***Presence:* [1..*]*Definition:* Provides additional textual information.*Datatype:* "Max350Text" on page 1245**3.4.11.28.4 InformationToComplyWith <InfToCmplyWth>***Presence:* [0..*]*Definition:* Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.**InformationToComplyWith <InfToCmplyWth>** contains the following **UpdatedAdditionalInformation21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		248
	AdditionalInformation <AddtlInf>	[1..*]	Text		248

3.4.11.28.4.1 Language <Lang>*Presence:* [1..1]*Definition:* Language used to provide additional information and using the ISO 639-1 language code standard.*Datatype:* "ISO2ALanguageCode" on page 1216**3.4.11.28.4.2 AdditionalInformation <AddtlInf>***Presence:* [1..*]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1245

3.4.11.28.5 SecurityRestriction <SctyRstrctn>

Presence: [0..*]

Definition: Provides restriction(s) on securities.

SecurityRestriction <SctyRstrctn> contains the following **UpdatedAdditionalInformation21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		249
	AdditionalInformation <AddtlInf>	[1..*]	Text		249

3.4.11.28.5.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1216

3.4.11.28.5.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1245

3.4.11.28.6 TaxationConditions <TaxtnConds>

Presence: [0..*]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

TaxationConditions <TaxtnConds> contains the following **UpdatedAdditionalInformation21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		249
	AdditionalInformation <AddtlInf>	[1..*]	Text		250

3.4.11.28.6.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1216

3.4.11.28.6.2 AdditionalInformation <AddtlInf>*Presence:* [1..*]*Definition:* Provides additional textual information.*Datatype:* "Max350Text" on page 1245**3.4.11.28.7 Disclaimer <Dsclmr>***Presence:* [0..*]*Definition:* Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.**Disclaimer <Dsclmr>** contains the following **UpdatedAdditionalInformation21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		250
	AdditionalInformation <AddtlInf>	[1..*]	Text		250

3.4.11.28.7.1 Language <Lang>*Presence:* [1..1]*Definition:* Language used to provide additional information and using the ISO 639-1 language code standard.*Datatype:* "ISO2ALanguageCode" on page 1216**3.4.11.28.7.2 AdditionalInformation <AddtlInf>***Presence:* [1..*]*Definition:* Provides additional textual information.*Datatype:* "Max350Text" on page 1245**3.4.11.28.8 CertificationBreakdown <CertfctnBrkdw>***Presence:* [0..*]*Definition:* Provides additional information about the type of certification/breakdown required.**CertificationBreakdown <CertfctnBrkdw>** contains the following **UpdatedAdditionalInformation21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		250
	AdditionalInformation <AddtlInf>	[1..*]	Text		251

3.4.11.28.8.1 Language <Lang>*Presence:* [1..1]*Definition:* Language used to provide additional information and using the ISO 639-1 language code standard.*Datatype:* "ISO2ALanguageCode" on page 1216

3.4.11.28.8.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1245

3.4.12 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information on the event.

Impacted by: C7 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative60** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]		C8	253
	Language <Lang>	[1..1]	CodeSet		253
	AdditionalInformation <AddtlInf>	[1..*]	Text		253
	NarrativeVersion <NrrtvVrsn>	[0..*]		C8	254
	Language <Lang>	[1..1]	CodeSet		254
	AdditionalInformation <AddtlInf>	[1..*]	Text		254
	InformationConditions <InfConds>	[0..*]		C8	254
	Language <Lang>	[1..1]	CodeSet		255
	AdditionalInformation <AddtlInf>	[1..*]	Text		255
	InformationToComplyWith <InfToCmplyWth>	[0..*]		C8	255
	Language <Lang>	[1..1]	CodeSet		255
	AdditionalInformation <AddtlInf>	[1..*]	Text		255
	TaxationConditions <TaxtnConds>	[0..*]		C8	256
	Language <Lang>	[1..1]	CodeSet		256
	AdditionalInformation <AddtlInf>	[1..*]	Text		256
	Disclaimer <Dsclmr>	[0..*]		C8	256
	Language <Lang>	[1..1]	CodeSet		257
	AdditionalInformation <AddtlInf>	[1..*]	Text		257
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]		C8	257
	Language <Lang>	[1..1]	CodeSet		257
	AdditionalInformation <AddtlInf>	[1..*]	Text		257
	RegistrationDetails <RegnDtls>	[0..*]		C8	258
	Language <Lang>	[1..1]	CodeSet		258
	AdditionalInformation <AddtlInf>	[1..*]	Text		258
	BasketOrIndexInformation <BsktOrIdxInf>	[0..*]		C8	258
	Language <Lang>	[1..1]	CodeSet		259
	AdditionalInformation <AddtlInf>	[1..*]	Text		259
	CertificationBreakdown <CertfctnBrkdown>	[0..*]		C8	259
	Language <Lang>	[1..1]	CodeSet		259
	AdditionalInformation <AddtlInf>	[1..*]	Text		259
	URLAddress <URLAdr>	[0..*]			260

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		260
	URLAddress <URLAdr>	[1..1]	Text		260
	ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy>	[0..*]		C8	260
	Language <Lang>	[1..1]	CodeSet		260
	AdditionalInformation <AddtlInf>	[1..*]	Text		261

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

3.4.12.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Impacted by: C8 "AdditionalInformationRule"

AdditionalText <AddtlTxt> contains the following **UpdatedAdditionalInformation20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		253
	AdditionalInformation <AddtlInf>	[1..*]	Text		253

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.1.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1216

3.4.12.1.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max8000Text" on page 1247

3.4.12.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

Impacted by: C8 "AdditionalInformationRule"

NarrativeVersion <NrrtvVrsn> contains the following **UpdatedAdditionalInformation20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		254
	AdditionalInformation <AddtlInf>	[1..*]	Text		254

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.2.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1216

3.4.12.2.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max8000Text" on page 1247

3.4.12.3 InformationConditions <InfConds>

Presence: [0..*]

Definition: Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

Impacted by: C8 "AdditionalInformationRule"

InformationConditions <InfConds> contains the following **UpdatedAdditionalInformation20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		255
	AdditionalInformation <AddtlInf>	[1..*]	Text		255

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.3.1 Language <Lang>

Presence: [1..1]
Definition: Language used to provide additional information and using the ISO 639-1 language code standard.
Datatype: "ISO2ALanguageCode" on page 1216

3.4.12.3.2 AdditionalInformation <AddtlInf>

Presence: [1..*]
Definition: Provides additional textual information.
Datatype: "Max8000Text" on page 1247

3.4.12.4 InformationToComplyWith <InfToCmplyWth>

Presence: [0..*]
Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.
Impacted by: C8 "AdditionalInformationRule"

InformationToComplyWith <InfToCmplyWth> contains the following **UpdatedAdditionalInformation20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		255
	AdditionalInformation <AddtlInf>	[1..*]	Text		255

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.4.1 Language <Lang>

Presence: [1..1]
Definition: Language used to provide additional information and using the ISO 639-1 language code standard.
Datatype: "ISO2ALanguageCode" on page 1216

3.4.12.4.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max8000Text" on page 1247

3.4.12.5 TaxationConditions <TaxtnConds>

Presence: [0..*]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

Impacted by: C8 "AdditionalInformationRule"

TaxationConditions <TaxtnConds> contains the following **UpdatedAdditionalInformation20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		256
	AdditionalInformation <AddtlInf>	[1..*]	Text		256

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.5.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1216

3.4.12.5.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max8000Text" on page 1247

3.4.12.6 Disclaimer <Dsclmr>

Presence: [0..*]

Definition: Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.

Impacted by: C8 "AdditionalInformationRule"

Disclaimer <Dsclmr> contains the following **UpdatedAdditionalInformation20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		257
	AdditionalInformation <AddtlInf>	[1..*]	Text		257

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.6.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1216

3.4.12.6.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max8000Text" on page 1247

3.4.12.7 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Impacted by: C8 "AdditionalInformationRule"

PartyContactNarrative <PtyCtctNrrtv> contains the following **UpdatedAdditionalInformation20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		257
	AdditionalInformation <AddtlInf>	[1..*]	Text		257

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.7.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1216

3.4.12.7.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max8000Text" on page 1247

3.4.12.8 RegistrationDetails <RegnDtIs>

Presence: [0..*]

Definition: Provides information required for the registration.

Impacted by: C8 "AdditionalInformationRule"

RegistrationDetails <RegnDtIs> contains the following **UpdatedAdditionalInformation20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		258
	AdditionalInformation <AddtlInf>	[1..*]	Text		258

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.8.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1216

3.4.12.8.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max8000Text" on page 1247

3.4.12.9 BasketOrIndexInformation <BsktOrIndxInf>

Presence: [0..*]

Definition: Provides additional information on the basket or index underlying a security, for example a warrant.

Impacted by: C8 "AdditionalInformationRule"

BasketOrIndexInformation <BsktOrIndxInf> contains the following **UpdatedAdditionalInformation20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		259
	AdditionalInformation <AddtlInf>	[1..*]	Text		259

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.9.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1216

3.4.12.9.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max8000Text" on page 1247

3.4.12.10 CertificationBreakdown <CertfctnBrkdown>

Presence: [0..*]

Definition: Provides additional information about the type of certification/breakdown required.

Impacted by: C8 "AdditionalInformationRule"

CertificationBreakdown <CertfctnBrkdown> contains the following **UpdatedAdditionalInformation20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		259
	AdditionalInformation <AddtlInf>	[1..*]	Text		259

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.10.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1216

3.4.12.10.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max8000Text" on page 1247

3.4.12.11 URLAddress <URLAdr>

Presence: [0..*]

Definition: Provides the web address published for the event, that is, the address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

URLAddress <URLAdr> contains the following **UpdatedURLInformation6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		260
	URLAddress <URLAdr>	[1..1]	Text		260

3.4.12.11.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1216

3.4.12.11.2 URLAddress <URLAdr>

Presence: [1..1]

Definition: Provides the web address, that is, the address for the Universal Resource Locator (URL), to use over the www (HTTP) service where additional information may be found.

Datatype: "Max2048Text" on page 1244

3.4.12.12 ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy>

Presence: [0..*]

Definition: Provides additional information from the account servicer or a service provider solely intended for the next immediate account holder to enable or facilitate event processing between parties.

Impacted by: C8 "AdditionalInformationRule"

ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy> contains the following **UpdatedAdditionalInformation20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		260
	AdditionalInformation <AddtlInf>	[1..*]	Text		261

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.12.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: ["ISO2ALanguageCode" on page 1216](#)

3.4.12.12.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: ["Max8000Text" on page 1247](#)

3.4.13 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see ["PartyIdentification129Choice" on page 1037](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1038
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1038
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1038
Or}	LEI <LEI>	[1..1]	IdentifierSet		1038

3.4.14 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see ["PartyIdentification120Choice" on page 1042](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

3.4.15 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

3.4.16 Registrar <Regar>

Presence: [0..1]

Definition: Party/agent responsible for maintaining the register of the security.

Registrar <Regar> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

3.4.17 ResellingAgent <RsellingAgt>

Presence: [0..*]

Definition: Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

ResellingAgent <RsellingAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

3.4.18 PhysicalSecuritiesAgent <PhysSctiesAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

PhysicalSecuritiesAgent <PhysSctiesAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

3.4.19 DropAgent <DrpAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

DropAgent <DrpAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

3.4.20 SolicitationAgent <SlctnAgt>

Presence: [0..*]

Definition: Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

SolicitationAgent <SlctnAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

3.4.21 InformationAgent <InfAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

InformationAgent <InfAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

3.4.22 Issuer <Issr>

Presence: [0..1]

Definition: Issuer of the financial instrument.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 1037 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1038
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1038
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1038
Or}	LEI <LEI>	[1..1]	IdentifierSet		1038

3.4.23 Offeror <Offerr>

Presence: [0..*]

Definition: Party making the offer and which is different from the issuing company.

Offeror <Offerr> contains one of the following elements (see "PartyIdentification129Choice" on page 1037 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1038
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1038
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1038
Or}	LEI <LEI>	[1..1]	IdentifierSet		1038

3.4.24 TransferAgent <TrfAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

TransferAgent <TrfAgt> contains one of the following elements (see "[PartyIdentification129Choice](#)" on page 1037 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1038
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1038
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1038
Or}	LEI <LEI>	[1..1]	IdentifierSet		1038

3.4.25 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C119 "[SupplementaryDataRule](#)"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 918 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		918
	Envelope <Envlp>	[1..1]	(External Schema)		918

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

4 **seev.032.001.09**

CorporateActionEventProcessingStatusAdviceV09

4.1 **MessageDefinition Functionality**

Scope

An account servicer sends the CorporateActionEventProcessingStatusAdvice message to an account owner or its designated agent to report processing status of a corporate action event.

The account servicer uses this message to provide a reason as to why a corporate action event has not been completed by the announced payment dates.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate),

using the relevant elements in the business application header (BAH).

Outline

The CorporateActionEventProcessingStatusAdviceV09 MessageDefinition is composed of 6 MessageBuildingBlocks:

- NotificationIdentification**
Identification of a previously sent notification document.
- OtherDocumentIdentification**
Identification of other documents as well as the document number.
- CorporateActionGeneralInformation**
General information about the corporate action event.
- EventProcessingStatus**
Information about the status of the corporate action.
- AdditionalInformation**
Provides additional information.
- SupplementaryData**

Additional information that can not be captured in the structured fields and/or any other specific block.

4.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnEvtPrcgStsAdvc>	[1..1]			
	NotificationIdentification <NtfctnId>	[0..1]	±		268
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		268
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]		C3, C5	269
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		270
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		270
	ClassActionNumber <ClsActnNb>	[0..1]	Text		270
	EventType <EvtTp>	[1..1]	±	C4	270
	EventProcessingStatus <EvtPrcgSts>	[1..*]			270
{Or	Complete <Cmplt>	[1..1]	±		271
Or	Reconciled <RcnclId>	[1..1]	±		271
Or	Pending <Pdg>	[1..1]	±		271
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		272
	AdditionalInformation <AddtlInf>	[0..1]			272
	AdditionalText <AddtlTxt>	[0..*]	Text		272
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		272
	SupplementaryData <SplmtryData>	[0..*]	±	C7	272

4.3 Constraints

C1 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C2 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C3 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C4 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C5 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C6 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C7 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

4.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

4.4.1 NotificationIdentification <NtfctnId>

Presence: [0..1]

Definition: Identification of a previously sent notification document.

NotificationIdentification <NtfctnId> contains the following elements (see "[DocumentIdentification9](#)" on page 871 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		871

4.4.2 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification33" on page 855 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification </d>	[1..1]			855
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		856
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		856
	DocumentNumber <DocNb>	[0..1]	±	C6	856

4.4.3 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C3 "EventTypeRule", C5 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation182** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		270
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		270
	ClassActionNumber <ClssActnNb>	[0..1]	Text		270
	EventType <EvtTp>	[1..1]	±	C4	270

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

4.4.3.1 CorporateActionEventIdentification <CorpActnEvtId>*Presence:* [1..1]*Definition:* Reference assigned by the account servicer to unambiguously identify a corporate action event.*Datatype:* "Max35Text" on page 1246**4.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>***Presence:* [0..1]*Definition:* Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.*Datatype:* "Max35Text" on page 1246**4.4.3.3 ClassActionNumber <ClssActnNb>***Presence:* [0..1]*Definition:* Reference assigned by a court to a class action.*Datatype:* "Max35Text" on page 1246**4.4.3.4 EventType <EvtTp>***Presence:* [1..1]*Definition:* Type of corporate action event.*Impacted by:* C4 "EventTypeRule"**EventType <EvtTp>** contains one of the following elements (see "CorporateActionEventType112Choice" on page 982 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		982
Or}	Proprietary <Prtry>	[1..1]	±		988

Constraints

- EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

4.4.4 EventProcessingStatus <EvtPrcgSts>*Presence:* [1..*]

Definition: Information about the status of the corporate action.

EventProcessingStatus <EvtPrcgSts> contains one of the following **EventProcessingStatus7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Complete <Cmplt>	[1..1]	±		271
Or	Reconciled <Rcncl>	[1..1]	±		271
Or	Pending <Pdg>	[1..1]	±		271
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		272

4.4.4.1 Complete <Cmplt>

Presence: [1..1]

Definition: Specifies that a corporate action event processing has been completed.

Complete <Cmplt> contains the following elements (see "[NoSpecifiedReason1](#)" on page 1144 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1144

4.4.4.2 Reconciled <Rcncl>

Presence: [1..1]

Definition: Corporate action event processing specifying that the funds paid have been reconciled with the funds received from the agent (meaning that there is no more risk of payment to be reversed).

Reconciled <Rcncl> contains the following elements (see "[NoSpecifiedReason1](#)" on page 1144 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1144

4.4.4.3 Pending <Pdg>

Presence: [1..1]

Definition: Specifies that a corporate action event processing has not been fully completed and is therefore pending.

Pending <Pdg> contains one of the following elements (see "[PendingStatus74Choice](#)" on page 981 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		981
Or}	Reason <Rsn>	[1..*]	±	C1	981

4.4.4.4 ProprietaryStatus <PrtrySts>

Presence: [1..1]

Definition: Proprietary status related to the event processing.

ProprietaryStatus <PrtrySts> contains the following elements (see "[ProprietaryStatusAndReason6](#)" on page 1140 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		1141
	ProprietaryReason <PrtryRsn>	[0..*]	±	C2	1141

4.4.5 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		272
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		272

4.4.5.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "[Max350Text](#)" on page 1245

4.4.5.2 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "[Max350Text](#)" on page 1245

4.4.6 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: [C7 "SupplementaryDataRule"](#)

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 918 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		918
	Envelope <Envlp>	[1..1]	(External Schema)		918

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

5 **seev.033.001.13**

CorporateActionInstructionV13

5.1 **MessageDefinition Functionality**

Scope

The CorporateActionInstruction message is sent by an account owner to an account servicer to instruct election on a corporate action event.

This message is used to provide the custodian with instructions on how the account owner wishes to proceed with a corporate action event. Instructions include investment decisions regarding the exercise of rights issues, the election of stock or cash when the option is available, and decisions on the conversion or tendering of securities.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstructionV13 MessageDefinition is composed of 12 MessageBuildingBlocks:

A. **ChangeInstructionIndicator**

Indicates that the current instruction is replacing a previous one that was cancelled earlier.

B. **CancelledInstructionIdentification**

Identification of a previously sent cancelled instruction document.

C. **InstructionCancellationRequestIdentification**

Identification of a previously sent instruction cancellation request document.

D. **OtherDocumentIdentification**

Identification of other documents as well as the document number.

E. **EventsLinkage**

Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

F. **CorporateActionGeneralInformation**

General information about the corporate action event.

G. **AccountDetails**

General information about the safekeeping account, owner and account balance.

H. BeneficialOwnerDetails

Information about the beneficial owner of the securities.

I. CorporateActionInstruction

Information about the corporate action instruction.

J. ProtectInstruction

Provides detailed information on protect and cover protect instructions.

K. AdditionalInformation

Provides additional information.

L. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

5.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnInstr>	[1..1]		C3, C5, C7, C16, C22, C6	
	ChangeInstructionIndicator <ChngInstrInd>	[0..1]	Indicator		283
	CancelledInstructionIdentification <CancInstrId>	[0..1]	±		283
	InstructionCancellationRequestIdentification <InstrCxlReqId>	[0..1]	±		283
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		284
	EventsLinkage <EvtsLkg>	[0..*]	±		284
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C13, C19	284
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		286
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		286
	EventType <EvtTp>	[1..1]	±	C14	286
	UnderlyingSecurity <UndrlygScty>	[0..1]		C24	287
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C10, C11, C17, C18, C23	288
	PlaceOfListing <PlcOfListg>	[0..1]	±		289
	DayCountBasis <DayCntBsis>	[0..1]	±		289
	ClassificationType <ClssfctnTp>	[0..1]	±		289
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	289
	NextCouponDate <NxtCpnDt>	[0..1]	Date		290
	ExpiryDate <XpryDt>	[0..1]	Date		290
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		290
	MaturityDate <MtrtyDt>	[0..1]	Date		290
	IssueDate <IsseDt>	[0..1]	Date		290
	NextCallableDate <NxtClblDt>	[0..1]	Date		290
	PutableDate <PutblDt>	[0..1]	Date		290
	DatedDate <DtdDt>	[0..1]	Date		291
	ConversionDate <ConvsDt>	[0..1]	Date		291
	PreviousFactor <PrvsFctr>	[0..1]	Rate		291

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	NextFactor <NxtFctr>	[0..1]	Rate		291
	InterestRate <IntrstRate>	[0..1]	Rate		291
	NextInterestRate <NxtIntrstRate>	[0..1]	Rate		291
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C12	291
	ContractSize <CtrctSz>	[0..1]	±	C12	292
	AccountDetails <AcctDtls>	[1..1]		C25, C26, C27, C28	292
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		294
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		294
	AccountOwner <AcctOwnr>	[0..1]	±		294
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		294
	Balance <Bal>	[0..1]			295
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		296
	BlockedBalance <BlckdBal>	[0..1]	±		296
	BorrowedBalance <BrrwdBal>	[0..1]	±		296
	CollateralInBalance <CollInBal>	[0..1]	±		297
	CollateralOutBalance <CollOutBal>	[0..1]	±		297
	OnLoanBalance <OnLnBal>	[0..1]	±		297
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		298
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		298
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		298
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		299
	StreetPositionBalance <StrtPosBal>	[0..1]	±		299
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		299
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		300
	RegisteredBalance <RegdBal>	[0..1]	±		300
	BeneficialOwnerDetails <BnfcIOwnrDtls>	[0..*]			300
	OwnerIdentification <OwnrId>	[1..1]	±		301
	LEIIdentification <LEIId>	[0..1]	IdentifierSet		301
	AlternateIdentification <AltrnId>	[0..*]	±		301
	DomicileCountry <DmclCtry>	[0..1]	CodeSet	C8	302

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C8	302
	OwnedSecuritiesQuantity <OwndSctiesQty>	[1..1]	±	C12	302
	CertificationType <CertfctnTp>	[0..*]	±		303
	WithholdingTaxRate <WhldgTaxRate>	[0..1]	±		303
	CertificationBreakdown <CertfctnBrkdwn>	[0..*]	Text		303
	CorporateActionInstruction <CorpActnInstr>	[1..1]		C20, C21	304
	OptionNumber <OptnNb>	[1..1]	±		306
	OptionType <OptnTp>	[1..1]	±		306
	OptionFeatures <OptnFeatrs>	[0..1]	±		307
	FractionDisposition <FrctnDspstn>	[0..1]	±		307
	ChangeType <ChngTp>	[0..*]	±		307
	EligibleForCollateralIndicator <ElgblForCollInd>	[0..1]	Indicator		307
	SolicitationDealerFeeIndicator <SlctnDealrFeeInd>	[0..1]	Indicator		308
	CurrencyToBuy <CcyToBuy>	[0..1]	CodeSet	C1	308
	CurrencyToSell <CcyToSell>	[0..1]	CodeSet	C1	308
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	309
	SecurityIdentification <SctylId>	[0..1]	±	C10, C11, C17, C18, C23	309
	SecuritiesQuantityOrInstructedAmount <SctiesQtyOrInstdAmt>	[1..1]			310
{Or	SecuritiesQuantity <SctiesQty>	[1..1]			310
	ConditionalQuantity <CondlQty>	[0..1]	±	C12	311
	InstructedQuantity <InstdQty>	[1..1]	±		311
	AdditionalRoundUpQuantity <AddtlRndUpQty>	[0..1]	±	C12	311
Or}	InstructedAmount <InstdAmt>	[1..1]	Amount	C1, C9	312
	ExecutionRequestedDateTime <ExctnReqdDtTm>	[0..1]	±		312
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]			313
	ProposedRate <PropsdRate>	[0..1]	Rate		313
	OversubscriptionRate <OvrscptRate>	[0..1]	±		313
	RequestedWithholdingTaxRate <ReqdWhldgTaxRate>	[0..*]	±		313
	RequestedSecondLevelTaxRate <ReqdScndLvlTaxRate>	[0..*]	±		314

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceDetails <PricDtls>	[0..1]		C15	314
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			315
{Or	IndicativePrice <IndctvPric>	[1..1]	±		315
Or}	MarketPrice <MktPric>	[1..1]	±		316
	IssuePrice <IssePric>	[0..1]	±		316
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		316
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		317
	ShareholderNumber <ShrhldrNb>	[0..1]	Text		317
	AdditionalInformation <AddtlInf>	[0..1]			318
	InformationToComplyWith <InfToCmplyWth>	[0..*]	Text		318
	DeliveryDetails <DlvryDtls>	[0..*]	Text		318
	ForeignExchangeInstructionsAdditionalInformation <FXInstrsAddtlInf>	[0..*]	Text		318
	InstructionAdditionalInformation <InstrAddtlInf>	[0..*]	Text		318
	ProtectInstruction <PrtctInstr>	[0..1]			318
	TransactionType <TxTp>	[1..1]	CodeSet		319
	TransactionIdentification <TxId>	[0..1]	Text		319
	ProtectSafekeepingAccount <PrtctSfkpgAcct>	[0..1]	Text		319
	ProtectDate <PrtctDt>	[0..1]	Date		319
	AdditionalInformation <AddtlInf>	[0..1]			319
	RegistrationDetails <RegnDtls>	[0..*]	Text		320
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		320
	CertificationBreakdown <CertfctnBrkdown>	[0..*]	Text		320
	SupplementaryData <SplmtryData>	[0..*]	±	C30	320

5.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalInformationRule

Elements in AdditionalInformation and CorporateActionInstruction/AdditionalInformation must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition.

This constraint is defined at the MessageDefinition level.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 BeneficialOwnerBreakdownRequestRule

If CorporateActionInstruction/OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT565 NVR C8)

On Condition

/CorporateActionInstruction/OptionType/Code is equal to value

'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value

'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C6 ChangeInstructionIndicatorGuideline

ChangeInstructionIndicator may only be used if CorporateActionOptionDetails/WithdrawalAllowedIndicator was set to 'false' or '0' (No) in the CorporateActionNotification message previously received and if CorporateActionOptionDetails/ChangeAllowedIndicator was set to 'true' or '1' (Yes) in the CorporateActionNotification message previously received.

This constraint is defined at the MessageDefinition level.

C7 CorporateActionEventIdentificationRule

If the CorporateActionInstruction message is unsolicited (that is, this instruction has not been preceded by an CorporateActionNotification), then, CorporateActionGeneralInformation/CorporateActionEventIdentification must be NONREF.

This constraint is defined at the MessageDefinition level.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C11 DescriptionUsageRule

Description must be used alone as the last resort.

C12 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C13 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C14 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C15 GenericCashPricePerProductGuideline

Generic Cash Price Received per Product (OFFR) and Generic Cash Price Paid per Product (PRPP) are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

C16 InstructionCancellationRequestIdentificationRule

If ChangeInstructionIndicator is 'true' or '1' (Yes), then InstructionCancellationRequestIdentification must be present.

This constraint is defined at the MessageDefinition level.

C17 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C18 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C19 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C20 OptionNumber1Rule

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

C21 OptionNumber2Rule

When the CorporateActionInstruction is unsolicited, that is, the instruction has not been preceded by a Corporate ActionNotification, then OptionNumber/code must be UNSO (unsolicited).

C22 OptionTypeRule

If CorporateActionInstruction/OptionType/Code value is TAXI (Tax Instruction), then at least one occurrence of BeneficialOwnerDetails must be present.

This constraint is defined at the MessageDefinition level.

C23 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C24 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

C25 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C26 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C27 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C28 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C29 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C30 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C31 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

5.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

5.4.1 ChangeInstructionIndicator <ChngInstrInd>

Presence: [0..1]

Definition: Indicates that the current instruction is replacing a previous one that was cancelled earlier.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

5.4.2 CancelledInstructionIdentification <CancelInstrId>

Presence: [0..1]

Definition: Identification of a previously sent cancelled instruction document.

CancelledInstructionIdentification <CancelInstrId> contains the following elements (see "DocumentIdentification31" on page 854 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		855
	LinkageType <LkgTp>	[0..1]	±	C22	855

5.4.3 InstructionCancellationRequestIdentification <InstrCxlReqId>

Presence: [0..1]

Definition: Identification of a previously sent instruction cancellation request document.

InstructionCancellationRequestIdentification <InstrCxlReqId> contains the following elements (see "DocumentIdentification31" on page 854 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		855
	LinkageType <LkgTp>	[0..1]	±	C22	855

5.4.4 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification32" on page 852 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			852
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		852
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		853
	DocumentNumber <DocNb>	[0..1]	±	C6	853
	LinkageType <LkgTp>	[0..1]	±	C22	853

5.4.5 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "CorporateActionEventReference3" on page 870 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			870
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		870
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		870
	LinkageType <LkgTp>	[0..1]	±	C22	871

5.4.6 CorporateActionGeneralInformation <CorpActnGnllnf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C13 "EventTypeRule", C19 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following
CorporateActionGeneralInformation180 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		286
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		286
	EventType <EvtTp>	[1..1]	±	C14	286
	UnderlyingSecurity <UndrlygScty>	[0..1]		C24	287
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C10, C11, C17, C18, C23	288
	PlaceOfListing <PlcOfListg>	[0..1]	±		289
	DayCountBasis <DayCntBsis>	[0..1]	±		289
	ClassificationType <ClssfctnTp>	[0..1]	±		289
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	289
	NextCouponDate <NxtCpnDt>	[0..1]	Date		290
	ExpiryDate <XpryDt>	[0..1]	Date		290
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		290
	MaturityDate <MtrtyDt>	[0..1]	Date		290
	IssueDate <IssDt>	[0..1]	Date		290
	NextCallableDate <NxtClblDt>	[0..1]	Date		290
	PutableDate <PutblDt>	[0..1]	Date		290
	DatedDate <DtdDt>	[0..1]	Date		291
	ConversionDate <ConvsDt>	[0..1]	Date		291
	PreviousFactor <PrvsFctr>	[0..1]	Rate		291
	NextFactor <NxtFctr>	[0..1]	Rate		291
	InterestRate <IntrstRate>	[0..1]	Rate		291
	NextInterestRate <NxtIntrstRate>	[0..1]	Rate		291
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C12	291
	ContractSize <CtrctSz>	[0..1]	±	C12	292

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

5.4.6.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "Max35Text" on page 1246

5.4.6.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "Max35Text" on page 1246

5.4.6.3 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C14 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType112Choice" on page 982 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		982
Or}	Proprietary <Prtry>	[1..1]	±		988

Constraints

- **EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

5.4.6.4 UnderlyingSecurity <UndrlygScty>

Presence: [0..1]

Definition: Security concerned by the corporate action.

Impacted by: C24 "PreviousNextFactorRule"

UnderlyingSecurity <UndrlygScty> contains the following **FinancialInstrumentAttributes132** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C10, C11, C17, C18, C23	288
	PlaceOfListing <PlcOfListg>	[0..1]	±		289
	DayCountBasis <DayCntBsis>	[0..1]	±		289
	ClassificationType <ClssfctnTp>	[0..1]	±		289
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	289
	NextCouponDate <NxtCpnDt>	[0..1]	Date		290
	ExpiryDate <XpryDt>	[0..1]	Date		290
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		290
	MaturityDate <MtrtyDt>	[0..1]	Date		290
	IssueDate <IsseDt>	[0..1]	Date		290
	NextCallableDate <NxtClblDt>	[0..1]	Date		290
	PutableDate <PutblDt>	[0..1]	Date		290
	DatedDate <DtdDt>	[0..1]	Date		291
	ConversionDate <ConvDt>	[0..1]	Date		291
	PreviousFactor <PrvsFctr>	[0..1]	Rate		291
	NextFactor <NxtFctr>	[0..1]	Rate		291
	InterestRate <IntrstRate>	[0..1]	Rate		291
	NextInterestRate <NxtIntrstRate>	[0..1]	Rate		291
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C12	291
	ContractSize <CtrctSz>	[0..1]	±	C12	292

Constraints

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

5.4.6.4.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: Identification of a financial instrument.

Impacted by: [C10 "DescriptionPresenceRule"](#), [C11 "DescriptionUsageRule"](#), [C17 "ISINGuideline"](#), [C18 "ISINPresenceRule"](#), [C23 "OtherIdentificationPresenceRule"](#)

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see ["SecurityIdentification19"](#) on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		864
	OtherIdentification <OthrId>	[0..*]			864
	Identification <Id>	[1..1]	Text		864
	Suffix <Sfx>	[0..1]	Text		864
	Type <Tp>	[1..1]	±		865
	Description <Desc>	[0..1]	Text		865

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or
  /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or
  /Description Must be present
```


- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition

/OtherIdentification[*] is absent

Following Must be True

/ISIN Must be present

Or /Description Must be present

5.4.6.4.2 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

PlaceOfListing <PlcOfListg> contains one of the following elements (see "MarketIdentification3Choice" on page 877 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		878
Or}	Description <Desc>	[1..1]	Text		878

5.4.6.4.3 DayCountBasis <DayCntBsis>

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

DayCountBasis <DayCntBsis> contains one of the following elements (see "InterestComputationMethodFormat4Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		970
Or}	Proprietary <Prtry>	[1..1]	±		973

5.4.6.4.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

ClassificationType <ClssfctnTp> contains one of the following elements (see "ClassificationType32Choice" on page 882 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		882
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		882

5.4.6.4.5 DenominationCurrency <DnmtnCcy>

Presence: [0..1]

Definition: Currency in which a financial instrument is currently denominated.

Impacted by: C2 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 1155

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

5.4.6.4.6 NextCouponDate <NxtCpnDt>

Presence: [0..1]

Definition: Next payment date of an interest bearing financial instrument.

Datatype: "ISODate" on page 1238

5.4.6.4.7 ExpiryDate <XpryDt>

Presence: [0..1]

Definition: Date on which an order expires or at which a privilege or offer terminates.

Datatype: "ISODate" on page 1238

5.4.6.4.8 FloatingRateFixingDate <FltgRateFxdDt>

Presence: [0..1]

Definition: Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.

Datatype: "ISODate" on page 1238

5.4.6.4.9 MaturityDate <MtrtyDt>

Presence: [0..1]

Definition: Date on which a financial instrument becomes due and assets are to be repaid.

Datatype: "ISODate" on page 1238

5.4.6.4.10 IssueDate <IsseDt>

Presence: [0..1]

Definition: Date on which the financial instrument is issued.

Datatype: "ISODate" on page 1238

5.4.6.4.11 NextCallableDate <NxtClblDt>

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: "ISODate" on page 1238

5.4.6.4.12 PutableDate <PutblDt>

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: "ISODate" on page 1238

5.4.6.4.13 DatedDate <DtdDt>

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: "ISODate" on page 1238

5.4.6.4.14 ConversionDate <ConvsDt>

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: "ISODate" on page 1238

5.4.6.4.15 PreviousFactor <PrvsFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

Datatype: "BaseOne14Rate" on page 1242

5.4.6.4.16 NextFactor <NxtFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will be applicable after the redemption (factor) date.

Datatype: "BaseOne14Rate" on page 1242

5.4.6.4.17 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

Datatype: "Percentage14Rate" on page 1242

5.4.6.4.18 NextInterestRate <NxtIntrstRate>

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

Datatype: "Percentage14Rate" on page 1242

5.4.6.4.19 MinimumNominalQuantity <MinNmnlQty>

Presence: [0..1]

Definition: Minimum nominal quantity of financial instrument.

Impacted by: C12 "DigitalTokenUnitUsageRule"

MinimumNominalQuantity <MinNmnlQty> contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

5.4.6.4.20 ContractSize <CtrctSz>

Presence: [0..1]

Definition: Ratio or multiplying factor used to convert one contract into a financial instrument quantity.

Impacted by: C12 "DigitalTokenUnitUsageRule"

ContractSize <CtrctSz> contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

5.4.7 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

Impacted by: C25 "SafekeepingAccountOrBlockChainAddress1Rule", C26

"SafekeepingAccountOrBlockChainAddress2Rule", C27

"SafekeepingAccountOrBlockChainAddress3Rule", C28 "SafekeepingPlaceRule"

AccountDetails <AcctDtls> contains the following **AccountAndBalance61** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		294
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		294
	AccountOwner <AcctOwnr>	[0..1]	±		294
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		294
	Balance <Bal>	[0..1]			295
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		296
	BlockedBalance <BlckdBal>	[0..1]	±		296
	BorrowedBalance <BrrwdBal>	[0..1]	±		296
	CollateralInBalance <CollInBal>	[0..1]	±		297
	CollateralOutBalance <CollOutBal>	[0..1]	±		297
	OnLoanBalance <OnLnBal>	[0..1]	±		297
	PendingDeliveryBalance <PdgDlrvyBal>	[0..*]	±		298
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		298
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		298
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		299
	StreetPositionBalance <StrtPosBal>	[0..1]	±		299
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		299
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		300
	RegisteredBalance <RegdBal>	[0..1]	±		300

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition
 /SafekeepingAccount is present
 Following Must be True
 /BlockchainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

5.4.7.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "Max35Text" on page 1246

5.4.7.2 BlockchainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 1244

5.4.7.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification127Choice" on page 1032 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1032
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1032

5.4.7.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat42Choice" on page 1130 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			1130
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1130
	Identification <Id>	[0..1]	Text		1131
Or	Country <Ctry>	[1..1]	CodeSet	C3	1131
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		1131
Or	TypeAndIdentification <TpAndId>	[1..1]			1131
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1132
	Identification <Id>	[1..1]	IdentifierSet	C1	1132
Or}	Proprietary <Prtry>	[1..1]	±		1132

5.4.7.5 Balance <Bal>

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

Balance <Bal> contains the following **CorporateActionBalanceDetails42** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		296
	BlockedBalance <BlckdBal>	[0..1]	±		296
	BorrowedBalance <BrrwdBal>	[0..1]	±		296
	CollateralInBalance <CollInBal>	[0..1]	±		297
	CollateralOutBalance <CollOutBal>	[0..1]	±		297
	OnLoanBalance <OnLnBal>	[0..1]	±		297
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		298
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		298
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		298
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		299
	StreetPositionBalance <StrtPosBal>	[0..1]	±		299
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		299
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		300
	RegisteredBalance <RegdBal>	[0..1]	±		300

5.4.7.5.1 TotalEligibleBalance <TtlElgblBal>*Presence:* [0..1]*Definition:* Total quantity of financial instruments of the balance.**TotalEligibleBalance <TtlElgblBal>** contains one of the following elements (see "[Quantity49Choice](#)" on page 1087 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityChoice <QtyChc>	[1..1]			1088
{Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]			1088
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1089
	FaceAmount <FaceAmt>	[1..1]	Amount		1089
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1089
Or}	SignedQuantity <SgndQty>	[1..1]	±		1089
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1089
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		1090
	Quantity <Qty>	[1..1]	Quantity		1090
	QuantityType <QtyTp>	[1..1]	Text		1090
	Issuer </ssr>	[1..1]	Text		1090
	SchemeName <SchmeNm>	[0..1]	Text		1090

5.4.7.5.2 BlockedBalance <BlckdBal>*Presence:* [0..1]*Definition:* Balance of financial instruments that are blocked.**BlockedBalance <BlckdBal>** contains one of the following elements (see "[BalanceFormat11Choice](#)" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

5.4.7.5.3 BorrowedBalance <BrrwdBal>*Presence:* [0..1]*Definition:* Balance of financial instruments that have been borrowed from another party.

BorrowedBalance <BrrwdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

5.4.7.5.4 CollateralInBalance <CollInBal>

Presence: [0..1]

Definition: Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CollateralInBalance <CollInBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

5.4.7.5.5 CollateralOutBalance <CollOutBal>

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

CollateralOutBalance <CollOutBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

5.4.7.5.6 OnLoanBalance <OnLnBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

OnLoanBalance <OnLnBal> contains one of the following elements (see "[BalanceFormat11Choice](#)" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

5.4.7.5.7 PendingDeliveryBalance <PdgDlvryBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

PendingDeliveryBalance <PdgDlvryBal> contains one of the following elements (see "[BalanceFormat11Choice](#)" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

5.4.7.5.8 PendingReceiptBalance <PdgRctBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

PendingReceiptBalance <PdgRctBal> contains one of the following elements (see "[BalanceFormat11Choice](#)" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

5.4.7.5.9 OutForRegistrationBalance <OutForRegnBal>

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

OutForRegistrationBalance <OutForRegnBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

5.4.7.5.10 SettlementPositionBalance <SttlmPosBal>

Presence: [0..1]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

SettlementPositionBalance <SttlmPosBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

5.4.7.5.11 StreetPositionBalance <StrtPosBal>

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

StreetPositionBalance <StrtPosBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

5.4.7.5.12 TradeDatePositionBalance <TradDtPosBal>

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

TradeDatePositionBalance <TradDtPosBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

5.4.7.5.13 InTransshipmentBalance <InTrnsShipmntBal>

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

InTransshipmentBalance <InTrnsShipmntBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

5.4.7.5.14 RegisteredBalance <RegdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

RegisteredBalance <RegdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

5.4.8 BeneficialOwnerDetails <BnfclOwnrDtls>

Presence: [0..*]

Definition: Information about the beneficial owner of the securities.

BeneficialOwnerDetails <BnfclOwnrDtls> contains the following **PartyIdentification313** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[1..1]	±		301
	LEIIdentification <LEIld>	[0..1]	IdentifierSet		301
	AlternatIdentification <Altrnld>	[0..*]	±		301
	DomicileCountry <DmclCtry>	[0..1]	CodeSet	C8	302
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C8	302
	OwnedSecuritiesQuantity <OwndSctiesQty>	[1..1]	±	C12	302
	CertificationType <CertfctnTp>	[0..*]	±		303
	WithholdingTaxRate <WhldgTaxRate>	[0..1]	±		303
	CertificationBreakdown <CertfctnBrkdwn>	[0..*]	Text		303

5.4.8.1 OwnerIdentification <Ownrld>

Presence: [1..1]

Definition: Party that is the beneficial owner of the specified quantity of securities.

OwnerIdentification <Ownrld> contains one of the following elements (see "PartyIdentification229Choice" on page 1040 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1040
Or	ProprietaryIdentification <Prtryld>	[1..1]	±		1041
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1041
Or}	IndividualOwnerIdentification <IndvOwnrld>	[1..1]	±		1041

5.4.8.2 LEIIdentification <LEIld>

Presence: [0..1]

Definition: Legal entity identification of the party.

Datatype: "LEIIdentifier" on page 1241

5.4.8.3 AlternatIdentification <Altrnld>

Presence: [0..*]

Definition: Alternate identification for a party.

AlternateIdentification <AltrnId> contains the following elements (see "[AlternatePartyIdentification7](#)" on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		1043
	Country <Ctry>	[1..1]	CodeSet	C3	1043
	AlternateIdentification <AltrnId>	[1..1]	Text		1043

5.4.8.4 DomicileCountry <DmclCtry>

Presence: [0..1]

Definition: Country in which a person is permanently domiciled (the place of a person's permanent home).

Impacted by: [C8 "Country"](#)

Datatype: ["CountryCode"](#) on page 1203

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

5.4.8.5 NonDomicileCountry <NonDmclCtry>

Presence: [0..*]

Definition: Holder of the security certifies, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

Impacted by: [C8 "Country"](#)

Datatype: ["CountryCode"](#) on page 1203

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

5.4.8.6 OwnedSecuritiesQuantity <OwndSctiesQty>

Presence: [1..1]

Definition: Quantity of securities belonging to the beneficial owner specified.

Impacted by: [C12 "DigitalTokenUnitUsageRule"](#)

OwnedSecuritiesQuantity <OwndSciesQty> contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

5.4.8.7 CertificationType <CertfctnTp>

Presence: [0..*]

Definition: Type of certification which is required.

CertificationType <CertfctnTp> contains one of the following elements (see "BeneficiaryCertificationType10Choice" on page 978 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		978
Or}	Proprietary <Prtry>	[1..1]	±		979

5.4.8.8 WithholdingTaxRate <WhldgTaxRate>

Presence: [0..1]

Definition: Requested percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following elements (see "RateAndAmountFormat57Choice" on page 1123 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1123
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1123
Or}	Amount <Amt>	[1..1]	Amount	C2	1123

5.4.8.9 CertificationBreakdown <CertfctnBrkdwn>

Presence: [0..*]

Definition: Provides additional information about the type of certification/breakdown required.

Datatype: "Max350Text" on page 1245

5.4.9 CorporateActionInstruction <CorpActnInstr>

Presence: [1..1]

Definition: Information about the corporate action instruction.

Impacted by: C20 "OptionNumber1Rule", C21 "OptionNumber2Rule"

CorporateActionInstruction <CorpActnInstr> contains the following **CorporateActionOption237** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		306
	OptionType <OptnTp>	[1..1]	±		306
	OptionFeatures <OptnFeatrs>	[0..1]	±		307
	FractionDisposition <FrctnDspstn>	[0..1]	±		307
	ChangeType <ChngTp>	[0..*]	±		307
	EligibleForCollateralIndicator <ElgblForCollInd>	[0..1]	Indicator		307
	SolicitationDealerFeeIndicator <SlctnDealrFeeInd>	[0..1]	Indicator		308
	CurrencyToBuy <CcyToBuy>	[0..1]	CodeSet	C1	308
	CurrencyToSell <CcyToSell>	[0..1]	CodeSet	C1	308
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	309
	SecurityIdentification <SctyId>	[0..1]	±	C10, C11, C17, C18, C23	309
	SecuritiesQuantityOrInstructedAmount <SctiesQtyOrInstdAmt>	[1..1]			310
{Or	SecuritiesQuantity <SctiesQty>	[1..1]			310
	ConditionalQuantity <CondlQty>	[0..1]	±	C12	311
	InstructedQuantity <InstdQty>	[1..1]	±		311
	AdditionalRoundUpQuantity <AddtlRndUpQty>	[0..1]	±	C12	311
Or}	InstructedAmount <InstdAmt>	[1..1]	Amount	C1, C9	312
	ExecutionRequestedDateTime <ExctnReqdDtTm>	[0..1]	±		312
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]			313
	ProposedRate <PropsdRate>	[0..1]	Rate		313
	OversubscriptionRate <OvrscptRate>	[0..1]	±		313
	RequestedWithholdingTaxRate <ReqdWhldgTaxRate>	[0..*]	±		313
	RequestedSecondLevelTaxRate <ReqdScndLvlTaxRate>	[0..*]	±		314
	PriceDetails <PricDtls>	[0..1]		C15	314
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			315
{Or	IndicativePrice <IndctvPric>	[1..1]	±		315
Or}	MarketPrice <MktPric>	[1..1]	±		316

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuePrice <IssePric>	[0..1]	±		316
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		316
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		317
	ShareholderNumber <ShrhldrNb>	[0..1]	Text		317
	AdditionalInformation <AddtlInf>	[0..1]			318
	InformationToComplyWith <InfToCmplyWth>	[0..*]	Text		318
	DeliveryDetails <DlvryDtls>	[0..*]	Text		318
	ForeignExchangeInstructionsAdditionalInformation <FXInstrsAddtlInf>	[0..*]	Text		318
	InstructionAdditionalInformation <InstrAddtlInf>	[0..*]	Text		318

Constraints

- **OptionNumber1Rule**

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

- **OptionNumber2Rule**

When the CorporateActionInstruction is unsolicited, that is, the instruction has not been preceded by a Corporate ActionNotification, then OptionNumber/code must be UNSO (unsolicited).

5.4.9.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "OptionNumber1Choice" on page 964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		964
Or}	Code <Cd>	[1..1]	CodeSet		964

5.4.9.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "[CorporateActionOption40Choice](#)" on page 886 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		886
Or}	Proprietary <Prtry>	[1..1]	±		888

5.4.9.3 OptionFeatures <OptnFeatrs>

Presence: [0..1]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following elements (see "[OptionFeaturesFormat25Choice](#)" on page 936 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		937
Or}	Proprietary <Prtry>	[1..1]	±		937

5.4.9.4 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractional amount/quantities are treated.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "[FractionDispositionType28Choice](#)" on page 968 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		968
Or}	Proprietary <Prtry>	[1..1]	±		968

5.4.9.5 ChangeType <ChngTp>

Presence: [0..*]

Definition: Type of changes affecting the security form.

ChangeType <ChngTp> contains one of the following elements (see "[CorporateActionChangeTypeFormat6Choice](#)" on page 958 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		958
Or}	Proprietary <Prtry>	[1..1]	±		958

5.4.9.6 EligibleForCollateralIndicator <ElgblForCollInd>

Presence: [0..1]

Definition: Indicates that the corporate action instruction is to be processed using the Available-for-Collateral pool.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

5.4.9.7 SolicitationDealerFeeIndicator <SlctnDealrFeeInd>

Presence: [0..1]

Definition: Indicates whether there is a solicitation fee on the event. This fee is paid by the soliciting dealer.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

5.4.9.8 CurrencyToBuy <CcyToBuy>

Presence: [0..1]

Definition: Account servicer is instructed to buy the indicated currency after the receipt of cash proceeds.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyCode"](#) on page 1155

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

5.4.9.9 CurrencyToSell <CcyToSell>

Presence: [0..1]

Definition: Account servicer is instructed to sell the indicated currency in order to obtain the necessary currency to fund the transaction within this instruction message.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyCode"](#) on page 1155

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

5.4.9.10 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited or debited.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1155

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

5.4.9.11 SecurityIdentification <Sctyld>

Presence: [0..1]

Definition: Identifies the financial instrument.

Impacted by: C10 "DescriptionPresenceRule", C11 "DescriptionUsageRule", C17 "ISINGuideline", C18 "ISINPresenceRule", C23 "OtherIdentificationPresenceRule"

SecurityIdentification <Sctyld> contains the following elements (see "SecurityIdentification19" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		864
	OtherIdentification <Othrlid>	[0..*]			864
	Identification <Id>	[1..1]	Text		864
	Suffix <Sfx>	[0..1]	Text		864
	Type <Tp>	[1..1]	±		865
	Description <Desc>	[0..1]	Text		865

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or
  /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or
  /Description Must be present
```

5.4.9.12 SecuritiesQuantityOrInstructedAmount <SctiesQtyOrInstdAmt>

Presence: [1..1]

Definition: Provides information about securities quantity linked to a corporate action option.

SecuritiesQuantityOrInstructedAmount <SctiesQtyOrInstdAmt> contains one of the following **SecuritiesQuantityOrAmount6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SecuritiesQuantity <SctiesQty>	[1..1]			310
	ConditionalQuantity <CondQty>	[0..1]	±	C12	311
	InstructedQuantity <InstdQty>	[1..1]	±		311
	AdditionalRoundUpQuantity <AddtlRndUpQty>	[0..1]	±	C12	311
Or}	InstructedAmount <InstdAmt>	[1..1]	Amount	C1, C9	312

5.4.9.12.1 SecuritiesQuantity <SctiesQty>

Presence: [1..1]

Definition: Provides information about securities quantity linked to a corporate action option.

SecuritiesQuantity <SctiesQty> contains the following **SecuritiesOption79** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ConditionalQuantity <CondlQty>	[0..1]	±	C12	311
	InstructedQuantity <InstdQty>	[1..1]	±		311
	AdditionalRoundUpQuantity <AddtlRndUpQty>	[0..1]	±	C12	311

5.4.9.12.1.1 ConditionalQuantity <CondlQty>

Presence: [0..1]

Definition: Minimum quantity of securities to be accepted (used in the framework of conditional privilege on election). In case of proration, if this minimum quantity is not reached then the instruction is void.

Impacted by: C12 "DigitalTokenUnitUsageRule"

ConditionalQuantity <CondlQty> contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

5.4.9.12.1.2 InstructedQuantity <InstdQty>

Presence: [1..1]

Definition: Quantity of securities to which this instruction applies.

InstructedQuantity <InstdQty> contains one of the following elements (see "Quantity52Choice" on page 1098 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1098
Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]	±		1098
Or}	Quantity <Qty>	[1..1]	±	C14	1099

5.4.9.12.1.3 AdditionalRoundUpQuantity <AddtlRndUpQty>

Presence: [0..1]

Definition: Quantity of additional shares requested due to the difference of "round-up against payment" practice between the account servicer and the account holder (for instance for French dividend option).

Impacted by: C12 "DigitalTokenUnitUsageRule"

AdditionalRoundUpQuantity <AddtlRndUpQty> contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

5.4.9.12.2 InstructedAmount <InstdAmt>

Presence: [1..1]

Definition: Cash amount to be instructed.

Impacted by: C1 "ActiveCurrency", C9 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

5.4.9.13 ExecutionRequestedDateTime <ExctnReqdDtTm>

Presence: [0..1]

Definition: Date/time at which the instructing party requests the instruction to be executed.

ExecutionRequestedDateTime <ExctnReqdDtTm> contains one of the following elements (see "DateAndDateTime2Choice" on page 845 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		845
Or}	DateTime <DtTm>	[1..1]	DateTime		845

5.4.9.14 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action option.

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate127** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProposedRate <PropsdRate>	[0..1]	Rate		313
	OversubscriptionRate <OvrbcptRate>	[0..1]	±		313
	RequestedWithholdingTaxRate <ReqdWhldgTaxRate>	[0..*]	±		313
	RequestedSecondLevelTaxRate <ReqdScndLvITaxRate>	[0..*]	±		314

5.4.9.14.1 ProposedRate <PropsdRate>

Presence: [0..1]

Definition: Rate proposed in a remarketing of variable rate notes.

Datatype: "Percentage14Rate" on page 1242

5.4.9.14.2 OversubscriptionRate <OvrbcptRate>

Presence: [0..1]

Definition: Rate of allowed over-subscription.

OversubscriptionRate <OvrbcptRate> contains one of the following elements (see "RateAndAmountFormat59Choice" on page 1121 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1121
Or}	Amount <Amt>	[1..1]	Amount	C2	1121

5.4.9.14.3 RequestedWithholdingTaxRate <ReqdWhldgTaxRate>

Presence: [0..*]

Definition: Requested tax rate that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

RequestedWithholdingTaxRate <ReqdWhldgTaxRate> contains one of the following elements (see "RateAndAmountFormat55Choice" on page 1124 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1124
Or	Amount <Amt>	[1..1]	Amount	C2	1124
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1124
	RateType <RateTp>	[1..1]			1125
{Or	Code <Cd>	[1..1]	CodeSet		1125
Or}	Proprietary <Prtry>	[1..1]	±		1125
	Rate <Rate>	[1..1]	Rate		1126

5.4.9.14.4 RequestedSecondLevelTaxRate <ReqdScndLvITaxRate>

Presence: [0..*]

Definition: Requested rate at which the income will be withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible.

RequestedSecondLevelTaxRate <ReqdScndLvITaxRate> contains one of the following elements (see "RateAndAmountFormat55Choice" on page 1124 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1124
Or	Amount <Amt>	[1..1]	Amount	C2	1124
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1124
	RateType <RateTp>	[1..1]			1125
{Or	Code <Cd>	[1..1]	CodeSet		1125
Or}	Proprietary <Prtry>	[1..1]	±		1125
	Rate <Rate>	[1..1]	Rate		1126

5.4.9.15 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

Impacted by: C15 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **CorporateActionPrice88** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			315
{Or	IndicativePrice <IndctvPric>	[1..1]	±		315
Or}	MarketPrice <MktPric>	[1..1]	±		316
	IssuePrice <IssePric>	[0..1]	±		316
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		316
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		317

Constraints

- **GenericCashPricePerProductGuideline**

Generic Cash Price Received per Product (OFFR) and Generic Cash Price Paid per Product (PRPP) are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

5.4.9.15.1 IndicativeOrMarketPrice <IndctvOrMktPric>

Presence: [0..1]

Definition: Indicates whether the price is an indicative price or a market price.

IndicativeOrMarketPrice <IndctvOrMktPric> contains one of the following **IndicativeOrMarketPrice13Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]	±		315
Or}	MarketPrice <MktPric>	[1..1]	±		316

5.4.9.15.1.1 IndicativePrice <IndctvPric>

Presence: [1..1]

Definition: Estimated price, for example, for valuation purposes.

IndicativePrice <IndctvPric> contains one of the following elements (see "PriceFormat77Choice" on page 1057 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1058
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1058
	PriceValue <PricVal>	[1..1]	Rate		1058
Or}	AmountPrice <AmtPric>	[1..1]	±		1058

5.4.9.15.1.2 MarketPrice <MktPric>*Presence:* [1..1]*Definition:* Last reported/known price of a financial instrument in a market.**MarketPrice <MktPric>** contains one of the following elements (see "PriceFormat77Choice" on page 1057 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1058
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1058
	PriceValue <PricVal>	[1..1]	Rate		1058
Or}	AmountPrice <AmtPric>	[1..1]	±		1058

5.4.9.15.2 IssuePrice <IssePric>*Presence:* [0..1]*Definition:* Initial issue price of a financial instrument.**IssuePrice <IssePric>** contains one of the following elements (see "PriceFormat77Choice" on page 1057 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1058
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1058
	PriceValue <PricVal>	[1..1]	Rate		1058
Or}	AmountPrice <AmtPric>	[1..1]	±		1058

5.4.9.15.3 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>*Presence:* [0..1]*Definition:* Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat78Choice" on page 1070 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1071
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1071
	PriceValue <PricVal>	[1..1]	Rate		1072
Or	AmountPrice <AmtPric>	[1..1]	±		1072
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1072
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			1072
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1073
	PriceValue <PricVal>	[1..1]	Amount	C2	1073
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C14	1073
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			1074
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1074
	PriceValue <PricVal>	[1..1]	Amount	C2	1074
	Amount <Amt>	[1..1]	Amount	C2	1074
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1075

5.4.9.15.4 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount, for example, reinvestment price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat77Choice" on page 1057 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1058
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1058
	PriceValue <PricVal>	[1..1]	Rate		1058
Or}	AmountPrice <AmtPric>	[1..1]	±		1058

5.4.9.16 ShareholderNumber <ShrhldrNb>

Presence: [0..1]

Definition: Reference number assigned to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).

Datatype: "Max25Text" on page 1245

5.4.9.17 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative32** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationToComplyWith <InfToCmplyWth>	[0..*]	Text		318
	DeliveryDetails <DlvryDtls>	[0..*]	Text		318
	ForeignExchangeInstructionsAdditionalInformation <FXInstrsAddtlInf>	[0..*]	Text		318
	InstructionAdditionalInformation <InstrAddtlInf>	[0..*]	Text		318

5.4.9.17.1 InformationToComplyWith <InfToCmplyWth>

Presence: [0..*]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or Sophisticated Investor Letter (SIL) to be provided.

Datatype: "Max350Text" on page 1245

5.4.9.17.2 DeliveryDetails <DlvryDtls>

Presence: [0..*]

Definition: Provides additional information on the delivery details of the outturned (derived) securities. This narrative is only to be used in case the securities are not eligible at the agent/custodian, and may not be used for settlement instructions.

Datatype: "Max350Text" on page 1245

5.4.9.17.3 ForeignExchangeInstructionsAdditionalInformation <FXInstrsAddtlInf>

Presence: [0..*]

Definition: Provides additional details pertaining to foreign exchange instructions.

Datatype: "Max350Text" on page 1245

5.4.9.17.4 InstructionAdditionalInformation <InstrAddtlInf>

Presence: [0..*]

Definition: Provides additional details pertaining to the corporate action instruction.

Datatype: "Max350Text" on page 1245

5.4.10 ProtectInstruction <PrtctInstr>

Presence: [0..1]

Definition: Provides detailed information on protect and cover protect instructions.

ProtectInstruction <PrctInstr> contains the following **ProtectInstruction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionType <TxTp>	[1..1]	CodeSet		319
	TransactionIdentification <TxId>	[0..1]	Text		319
	ProtectSafekeepingAccount <PrctSfkpgAcct>	[0..1]	Text		319
	ProtectDate <PrctDt>	[0..1]	Date		319

5.4.10.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Indicates whether the instruction is a protect or a cover protect instruction.

Datatype: "ProtectTransactionType2Code" on page 1228

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.
COVP	CoverOnBehalfOfAnotherParticipantTransaction	Reorganisation is a cover on behalf of another participant transaction type.
COVR	CoverProtectTransaction	Reorganisation is a cover protect transaction type.

5.4.10.2 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Unique reference of the protect transaction assigned by the depository and used for cover protect validation.

Datatype: "Max15Text" on page 1244

5.4.10.3 ProtectSafekeepingAccount <PrctSfkpgAcct>

Presence: [0..1]

Definition: Account which submitted the original protect instruction used for cover protect instructions whereby one safekeeping account is covering on behalf of another safekeeping account.

Datatype: "Max35Text" on page 1246

5.4.10.4 ProtectDate <PrctDt>

Presence: [0..1]

Definition: Date at which the protect instruction was created and used for cover protect validation.

Datatype: "ISODate" on page 1238

5.4.11 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative30** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegistrationDetails <RegnDtls>	[0..*]	Text		320
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		320
	CertificationBreakdown <CertfctnBrkdwn>	[0..*]	Text		320

5.4.11.1 RegistrationDetails <RegnDtls>

Presence: [0..*]

Definition: Provides information required for the registration.

Datatype: "Max350Text" on page 1245

5.4.11.2 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "Max350Text" on page 1245

5.4.11.3 CertificationBreakdown <CertfctnBrkdwn>

Presence: [0..*]

Definition: Provides information required for the certification/breakdown.

Datatype: "Max350Text" on page 1245

5.4.12 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C30 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 918 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		918
	Envelope <Envlp>	[1..1]	(External Schema)		918

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

6 **seev.034.001.15**

CorporateActionInstructionStatusAdviceV15

6.1 **MessageDefinition Functionality**

Scope

The CorporateActionInstructionStatusAdvice message is sent by an account servicer to an account owner or its designated agent, to report the status of a received corporate action election instruction.

This message is used to advise the status, or a change in status, of a corporate action-related transaction previously instructed by, or executed on behalf of, the account owner. This will include the acknowledgement/rejection of a corporate action instruction.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstructionStatusAdviceV15 MessageDefinition is composed of 8 MessageBuildingBlocks:

- A. InstructionIdentification
Identification of a related instruction document.
- B. OtherDocumentIdentification
Identification of other documents as well as the document number.
- C. CorporateActionGeneralInformation
General information about the corporate action event.
- D. InstructionProcessingStatus
Information about the processing status of the instruction.
- E. CorporateActionInstruction
Information about the corporate action instruction.
- F. ProtectInstruction
Provides detailed information on protect and cover protect instructions.
- G. AdditionalInformation
Provides additional information.

H. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

6.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnInstrStsAdv>	[1..1]		C2, C10, C29, C30, C31	
	InstructionIdentification <InstrId>	[0..1]	±		329
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		329
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]		C16, C21	329
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		330
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		330
	ClassActionNumber <ClsActnNb>	[0..1]	Text		330
	EventType <EvtTp>	[1..1]	±	C17	331
	InstructionProcessingStatus <InstrPrcgSts>	[1..*]			331
{Or	Cancelled <Canc>	[1..1]	±		333
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		333
Or	Forwarded <Fwdd>	[1..1]	±		333
Or	Rejected <Rjctd>	[1..1]			333
	RejectedQuantity <RjctdQty>	[0..1]	±		334
	RejectedReason <RjctdRsn>	[1..1]			334
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		334
Or}	Reason <Rsn>	[1..*]		C5	335
	ReasonCode <RsnCd>	[1..1]			335
{Or	Code <Cd>	[1..1]	CodeSet		335
Or}	Proprietary <Prtry>	[1..1]	±		337
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		337
Or	Pending <Pdg>	[1..1]			338
	PendingQuantity <PdgQty>	[0..1]	±		338
	PendingReason <PdgRsn>	[1..1]			338
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		339
Or}	Reason <Rsn>	[1..*]		C6	339
	ReasonCode <RsnCd>	[1..1]			339

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		340
Or}	Proprietary <Prtry>	[1..1]	±		341
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		341
Or	Returned <Rtrd>	[1..1]			341
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		341
Or}	Reason <Rsn>	[1..*]		C7	342
	ReasonCode <RsnCd>	[1..1]			342
{Or	Code <Cd>	[1..1]	CodeSet		342
Or}	Proprietary <Prtry>	[1..1]	±		343
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		343
Or	DefaultAction <DfltActn>	[1..1]	±		343
Or	StandingInstruction <StglInstr>	[1..1]	±		343
Or	ReceivedByIssuerOrOfferor <RcvdByIssrOrOfferr>	[1..1]	±		343
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		344
	CorporateActionInstruction <CorpActnInstr>	[0..1]		C22, C24, C25, C26, C27	344
	OptionNumber <OptnNb>	[1..1]	±		346
	OptionType <OptnTp>	[1..1]	±		346
	OptionFeatures <OptnFeatr>	[0..1]	±		346
	AccountOwner <AcctOwnr>	[0..1]	±		347
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		347
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		347
	CashAccount <CshAcct>	[0..1]	±		347
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		347
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C13, C14, C19, C20, C23	348
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		349
	InstructedBalance <InstdBal>	[0..1]	±		349
	UninstructedBalance <UinstdBal>	[0..1]	±		350
	ProtectBalance <PrcttBal>	[0..1]	±		350

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	StatusQuantity <StsQty>	[0..1]	±		351
	StatusCashAmount <StsCshAmt>	[0..1]	Amount	C1, C12	351
	SolicitationDealerFeeIndicator <SlctnDealrFeeInd>	[0..1]	Indicator		352
	ProtectInstruction <PrtctInstr>	[0..1]			352
	TransactionType <TxTp>	[1..1]	CodeSet		352
	ProtectTransactionStatus <PrtctTxSts>	[0..1]	CodeSet		352
	TransactionIdentification <TxId>	[0..1]	Text		353
	ProtectSafekeepingAccount <PrtctSfkpgAcct>	[0..1]	Text		353
	ProtectDate <PrtctDt>	[0..1]	Date		353
	UncoveredProtectQuantity <UcvrdPrtctQty>	[0..1]	±		353
	AdditionalInformation <AddtlInf>	[0..1]			353
	AdditionalText <AddtlTxt>	[0..*]	Text		354
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		354
	SupplementaryData <SplmtryData>	[0..*]	±	C32	354

6.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AdditionalInformationRule

All elements in the AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

This constraint is defined at the MessageDefinition level.

C3 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C4 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C5 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C6 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C7 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C8 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C9 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C10 BeneficialOwnerBreakdownRequestRule

If CorporateActionInstruction/OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT567 NVR C6)

On Condition

/CorporateActionInstruction/OptionType/Code is equal to value

'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value

'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C11 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C12 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C13 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C14 DescriptionUsageRule

Description must be used alone as the last resort.

C15 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C16 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C17 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C18 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C19 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C20 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C21 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C22 OptionNumberRule

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

C23 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C24 SafekeepingAccountOrBlockChainAddress1Rule

If SafekeepingAccount is present, BlockChainAddressOrWallet must be absent.

C25 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present, SafekeepingAccount must be absent.

C26 SafekeepingAccountOrBlockChainAddress3Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C27 SafekeepingPlaceRule

SafekeepingPlace must only be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C28 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C29 StatusQuantity1Rule

If CorporateActionInstruction/StatusCashAmount is present, then the InstructionProcessingStatus/Cancelled/CancelledQuantity and InstructionProcessingStatus/AcceptedForFurtherProcessing/AcceptedQuantity and InstructionProcessingStatus/Rejected and InstructionProcessingStatus/Pending must be absent and CorporateActionInstruction/StatusQuantity must be absent. (MT 567 C5 and C7)

On Condition

/CorporateActionInstruction/StatusCashAmount is present

Following Must be True

/InstructionProcessingStatus[*]/Cancelled/CancelledQuantity Must be absent

And /InstructionProcessingStatus[*]/AcceptedForFurtherProcessing/AcceptedQuantity Must be absent

And /InstructionProcessingStatus[*]/Rejected Must be absent

And /InstructionProcessingStatus[*]/Pending Must be absent

And /CorporateActionInstruction/StatusQuantity Must be absent

This constraint is defined at the MessageDefinition level.

C30 StatusQuantity2Rule

If CorporateActionInstruction/StatusQuantity is present, then the InstructionProcessingStatus/Cancelled/CancelledQuantity and InstructionProcessingStatus/AcceptedForFurtherProcessing/AcceptedQuantity and InstructionProcessingStatus/Rejected and InstructionProcessingStatus/Pending must be absent and CorporateActionInstruction/StatusCashAmount must be absent. (MT 567 C5 and C7)

On Condition

/CorporateActionInstruction/StatusQuantity is present

Following Must be True

/InstructionProcessingStatus[*]/Cancelled/CancelledQuantity Must be absent

And /InstructionProcessingStatus[*]/AcceptedForFurtherProcessing/AcceptedQuantity Must be absent

And /InstructionProcessingStatus[*]/Rejected Must be absent

And /InstructionProcessingStatus[*]/Pending Must be absent

And /CorporateActionInstruction/StatusCashAmount Must be absent

This constraint is defined at the MessageDefinition level.

C31 StatusQuantity3Rule

If InstructionProcessingStatus/Cancelled/CancelledQuantity or InstructionProcessingStatus/AcceptedForFurtherProcessing/AcceptedQuantity or InstructionProcessingStatus/Rejected or InstructionProcessingStatus/Pending are present, then CorporateActionInstruction/StatusCashAmount and CorporateActionInstruction/StatusQuantity must be absent. (MT 567 C5 and C7)

On Condition
 /InstructionProcessingStatus[*]/Cancelled/CancelledQuantity is present
 Or /InstructionProcessingStatus[*]/AcceptedForFurtherProcessing/
 AcceptedQuantity is present
 Or /InstructionProcessingStatus[*]/Rejected/RejectedQuantity is present
 Or /InstructionProcessingStatus[*]/Pending/PendingQuantity is present
 Following Must be True
 /CorporateActionInstruction/StatusQuantity Must be absent
 And /CorporateActionInstruction/StatusCashAmount Must be absent

This constraint is defined at the MessageDefinition level.

C32 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

6.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

6.4.1 InstructionIdentification <InstrId>

Presence: [0..1]

Definition: Identification of a related instruction document.

InstructionIdentification <InstrId> contains the following elements (see "[DocumentIdentification9](#)" on [page 871](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		871

6.4.2 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "[DocumentIdentification33](#)" on [page 855](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			855
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		856
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		856
	DocumentNumber <DocNb>	[0..1]	±	C6	856

6.4.3 CorporateActionGeneralInformation <CorpActnGnllnf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C16 "EventTypeRule", C21 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation182** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		330
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		330
	ClassActionNumber <ClssActnNb>	[0..1]	Text		330
	EventType <EvtTp>	[1..1]	±	C17	331

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

6.4.3.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "Max35Text" on page 1246

6.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "Max35Text" on page 1246

6.4.3.3 ClassActionNumber <ClssActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "Max35Text" on page 1246

6.4.3.4 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C17 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType112Choice" on page 982 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		982
Or}	Proprietary <Prtry>	[1..1]	±		988

Constraints

- **EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

6.4.4 InstructionProcessingStatus <InstrPrcgSts>

Presence: [1..*]

Definition: Information about the processing status of the instruction.

InstructionProcessingStatus <InstrPrcgSts> contains one of the following
InstructionProcessingStatus55Choice elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Cancelled <Canc>	[1..1]	±		333
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		333
Or	Forwarded <Fwdd>	[1..1]	±		333
Or	Rejected <Rjctd>	[1..1]			333
	RejectedQuantity <RjctdQty>	[0..1]	±		334
	RejectedReason <RjctdRsn>	[1..1]			334
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		334
Or}	Reason <Rsn>	[1..*]		C5	335
	ReasonCode <RsnCd>	[1..1]			335
{Or	Code <Cd>	[1..1]	CodeSet		335
Or}	Proprietary <Prtry>	[1..1]	±		337
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		337
Or	Pending <Pdg>	[1..1]			338
	PendingQuantity <PdgQty>	[0..1]	±		338
	PendingReason <PdgRsn>	[1..1]			338
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		339
Or}	Reason <Rsn>	[1..*]		C6	339
	ReasonCode <RsnCd>	[1..1]			339
{Or	Code <Cd>	[1..1]	CodeSet		340
Or}	Proprietary <Prtry>	[1..1]	±		341
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		341
Or	Returned <Rtrd>	[1..1]			341
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		341
Or}	Reason <Rsn>	[1..*]		C7	342
	ReasonCode <RsnCd>	[1..1]			342
{Or	Code <Cd>	[1..1]	CodeSet		342
Or}	Proprietary <Prtry>	[1..1]	±		343
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		343
Or	DefaultAction <DfltActn>	[1..1]	±		343
Or	StandingInstruction <StglInstr>	[1..1]	±		343

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	ReceivedByIssuerOrOfferor <RcvdByIssrOrOfferr>	[1..1]	±		343
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		344

6.4.4.1 Cancelled <Canc>

Presence: [1..1]

Definition: Status and quantity information related to a cancelled instruction request.

Cancelled <Canc> contains the following elements (see "[CancelledStatus5](#)" on page 1140 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CancelledQuantity <CancQty>	[0..1]	±		1140
	CancellationReason <CxlRsn>	[1..1]	±		1140

6.4.4.2 AcceptedForFurtherProcessing <AccptdForFrthrPrcg>

Presence: [1..1]

Definition: Status information related to an instruction request that is accepted. This means that the instruction has been received, is processable and has been validated for further processing.

AcceptedForFurtherProcessing <AccptdForFrthrPrcg> contains the following elements (see "[AcceptedStatus2](#)" on page 1152 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AcceptedQuantity <AccptdQty>	[0..1]	±		1152
	AcceptedReason <AccptdRsn>	[1..1]	±		1152

6.4.4.3 Forwarded <Fwdd>

Presence: [1..1]

Definition: Instruction has been accepted and forwarded to the next intermediary in the chain.

Forwarded <Fwdd> contains the following elements (see "[NoSpecifiedReason1](#)" on page 1144 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1144

6.4.4.4 Rejected <Rjctd>

Presence: [1..1]

Definition: Status information related to an instruction request rejected for further processing due to system reasons.

Rejected <Rjctd> contains the following **RejectedStatus11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RejectedQuantity <RjctdQty>	[0..1]	±		334
	RejectedReason <RjctdRsn>	[1..1]			334
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		334
Or}	Reason <Rsn>	[1..*]		C5	335
	ReasonCode <RsnCd>	[1..1]			335
{Or	Code <Cd>	[1..1]	CodeSet		335
Or}	Proprietary <Prtry>	[1..1]	±		337
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		337

6.4.4.4.1 RejectedQuantity <RjctdQty>

Presence: [0..1]

Definition: Quantity of instructed securities for which processing is rejected.

RejectedQuantity <RjctdQty> contains one of the following elements (see "Quantity51Choice" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C14	1092
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		1092

6.4.4.4.2 RejectedReason <RjctdRsn>

Presence: [1..1]

Definition: Reason for the rejected status.

RejectedReason <RjctdRsn> contains one of the following **RejectedStatus56Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		334
Or}	Reason <Rsn>	[1..*]		C5	335
	ReasonCode <RsnCd>	[1..1]			335
{Or	Code <Cd>	[1..1]	CodeSet		335
Or}	Proprietary <Prtry>	[1..1]	±		337
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		337

6.4.4.4.2.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1220

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

6.4.4.4.2.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason why the meeting instruction message or the individual meeting instruction is rejected.

Impacted by: C5 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **RejectedStatusReason56** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			335
{Or	Code <Cd>	[1..1]	CodeSet		335
Or}	Proprietary <Prtry>	[1..1]	±		337
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		337

Constraints

- **AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

6.4.4.4.2.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Reason for the rejected status.

ReasonCode <RsnCd> contains one of the following **RejectedReason60Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		335
Or}	Proprietary <Prtry>	[1..1]	±		337

6.4.4.4.2.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Rejection reason specified as a code.

Datatype: "RejectionReason85Code" on page 1231

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.

CodeName	Name	Definition
CERT	IncorrectCertification	Instruction is rejected since the provided certification is incorrect or incomplete.
INVA	InvalidForTaxAuthorities	For tax reclaim, the reclaim is invalid for the tax authorities.
OPTY	InvalidOptionType	Invalid option type.
ULNK	InvalidReference	Linked reference is unknown.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LATE	MarketDeadlineMissed	Received after market deadline.
NMTY	Mismatch	Mismatch between option number and option type.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
CANC	OptionCancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	OptionInactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
OPNM	OptionNumberRejection	Unrecognised option number.
OTHR	Other	Other. See Narrative.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
REFT	RefusedByTaxAuthorities	Reclaim is refused by the tax authorities.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
EVNM	UnrecognisedIdentification	Unrecognised event number.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
DQCC	CurrencyRejection	Invalid instructed currency.
DQAM	InstructionTypeRejection	Invalid use of instructed amount (QCAS) or of instructed quantity (QINS) qualifiers.
IRDQ	InvalidUseRoundUpQuantity	Instruction is rejected due to invalid use of the additional round up quantity.
DQBV	InvalidBidValue	Bid price / basis points / percentage falls outside of bid range for the event (generally used in Dutch Auctions or Bid Tenders).
DQBI	InvalidBidIncrement	Bid price / basis points / percentage increments rejected due to invalid bid

CodeName	Name	Definition
		increment for the event (generally used in Dutch Auctions or Bid Tenders).
SHAR	ShareholderNumberRejection	Unrecognised, missing or invalid shareholder number.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.
MTAX	MissingTaxRate	Tax rate is missing.
ISOL	InvalidSolicitationDealerFeeUse	Invalid use of solicitation dealer fee indicator.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
CTCT	MissingContact	Contact information is missing.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
PRON	NoProtectPrivilege	No protect privilege for this event.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.

6.4.4.4.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Rejection reason specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchemeNm>	[0..1]	Text		874

6.4.4.4.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the rejection status.

Datatype: "Max210Text" on page 1244

6.4.4.5 Pending <Pdg>

Presence: [1..1]

Definition: Status information related to a pending instruction.

Pending <Pdg> contains the following **PendingStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PendingQuantity <PdgQty>	[0..1]	±		338
	PendingReason <PdgRsn>	[1..1]			338
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		339
Or}	Reason <Rsn>	[1..*]		C6	339
	ReasonCode <RsnCd>	[1..1]			339
{Or	Code <Cd>	[1..1]	CodeSet		340
Or}	Proprietary <Prtry>	[1..1]	±		341
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		341

6.4.4.5.1 PendingQuantity <PdgQty>

Presence: [0..1]

Definition: Quantity of instructed securities for which processing is pending.

PendingQuantity <PdgQty> contains one of the following elements (see "[Quantity51Choice](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C14	1092
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		1092

6.4.4.5.2 PendingReason <PdgRsn>

Presence: [1..1]

Definition: Reason for the pending status.

PendingReason <Pdgrsn> contains one of the following **PendingStatus71Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		339
Or}	Reason <Rsn>	[1..*]		C6	339
	ReasonCode <RsnCd>	[1..1]			339
{Or	Code <Cd>	[1..1]	CodeSet		340
Or}	Proprietary <Prtry>	[1..1]	±		341
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		341

6.4.4.5.2.1 NoSpecifiedReason <NoSpdfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1220

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

6.4.4.5.2.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the pending status.

Impacted by: C6 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **PendingStatusReason27** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			339
{Or	Code <Cd>	[1..1]	CodeSet		340
Or}	Proprietary <Prtry>	[1..1]	±		341
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		341

Constraints

- AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

6.4.4.5.2.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction's processing is pending.

ReasonCode <RsnCd> contains one of the following **PendingReason66Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		340
Or}	Proprietary <Prtry>	[1..1]	±		341

6.4.4.5.2.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Pending reason specified as a code.

Datatype: "PendingReason27Code" on page 1224

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
OTHR	Other	Other. See Narrative.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
MCER	MissingCertification	Awaiting receipt of adequate certification.
MONY	InsufficientMoney	Insufficient money in your account.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
PENR	PendingReceipt	The instruction is pending receipt of securities, for example, from a purchase or loan.
CERT	WrongCertificatesNumbers	Certificate number error.
DQCS	DisagreementOnCashAmount	Unrecognised or invalid instructed cash amount.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.
MTAX	MissingTaxRate	Tax rate is missing.
SNAV	SystemNotAvailable	System is not available. Instruction is sent outside of business hours.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.

CodeName	Name	Definition
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.

6.4.4.5.2.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Pending reason specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

6.4.4.5.2.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[Max210Text](#)" on page 1244

6.4.4.6 Returned <Rtrd>

Presence: [1..1]

Definition: Instructed units are returned or partially returned to the instructing party.

Returned <Rtrd> contains one of the following **ReturnedStatus2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcdfRsn>	[1..1]	CodeSet		341
Or}	Reason <Rsn>	[1..*]		C7	342
	ReasonCode <RsnCd>	[1..1]			342
{Or	Code <Cd>	[1..1]	CodeSet		342
Or}	Proprietary <Prtry>	[1..1]	±		343
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		343

6.4.4.6.1 NoSpecifiedReason <NoSpcdfRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "[NoReasonCode](#)" on page 1220

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

6.4.4.6.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason why the meeting instruction message or the individual meeting instruction is returned.

Impacted by: C7 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **ReturnedStatusReason2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			342
{Or	Code <Cd>	[1..1]	CodeSet		342
Or}	Proprietary <Prtry>	[1..1]	±		343
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		343

Constraints

- **AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

6.4.4.6.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Reason for the returned status.

ReasonCode <RsnCd> contains one of the following **ReturnedReason2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		342
Or}	Proprietary <Prtry>	[1..1]	±		343

6.4.4.6.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Returned reason specified as a code.

Datatype: "ReturnedStatus1Code" on page 1235

CodeName	Name	Definition
NARR	NarrativeReason	See narrative field for reason.
PROR	Prorated	Shares returned due to prorated acceptance.

6.4.4.6.2.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Returned reason specified as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

6.4.4.6.2.2 AdditionalReasonInformation <AddtlRsnInf>*Presence:* [0..1]*Definition:* Additional information about the returned status.*Datatype:* "[Max210Text](#)" on page 1244**6.4.4.7 DefaultAction <DfltActn>***Presence:* [1..1]*Definition:* Default action is taken.**DefaultAction <DfltActn>** contains the following elements (see "[NoSpecifiedReason1](#)" on page 1144 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1144

6.4.4.8 StandingInstruction <StglInstr>*Presence:* [1..1]*Definition:* Standing instruction has been applied.**StandingInstruction <StglInstr>** contains the following elements (see "[NoSpecifiedReason1](#)" on page 1144 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1144

6.4.4.9 ReceivedByIssuerOrOfferor <RcvdByIssrOrOfferr>*Presence:* [1..1]*Definition:* Instruction has been received and accepted by the issuer or offeror.

ReceivedByIssuerOrOfferor <RcvdByIssrOrOfferr> contains the following elements (see ["NoSpecifiedReason1"](#) on page 1144 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1144

6.4.4.10 ProprietaryStatus <PrtrySts>

Presence: [1..1]

Definition: Proprietary status related to an instruction.

ProprietaryStatus <PrtrySts> contains the following elements (see ["ProprietaryStatusAndReason6"](#) on page 1140 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		1141
	ProprietaryReason <PrtryRsn>	[0..*]	±	C2	1141

6.4.5 CorporateActionInstruction <CorpActnInstr>

Presence: [0..1]

Definition: Information about the corporate action instruction.

Impacted by: [C22 "OptionNumberRule"](#), [C24 "SafekeepingAccountOrBlockChainAddress1Rule"](#), [C25 "SafekeepingAccountOrBlockChainAddress2Rule"](#), [C26 "SafekeepingAccountOrBlockChainAddress3Rule"](#), [C27 "SafekeepingPlaceRule"](#)

CorporateActionInstruction <CorpActnInstr> contains the following **CorporateActionOption238** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		346
	OptionType <OptnTp>	[1..1]	±		346
	OptionFeatures <OptnFeatrs>	[0..1]	±		346
	AccountOwner <AcctOwnr>	[0..1]	±		347
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		347
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		347
	CashAccount <CshAcct>	[0..1]	±		347
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		347
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C13, C14, C19, C20, C23	348
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		349
	InstructedBalance <InstdBal>	[0..1]	±		349
	UninstructedBalance <UinstdBal>	[0..1]	±		350
	ProtectBalance <PrctBal>	[0..1]	±		350
	StatusQuantity <StsQty>	[0..1]	±		351
	StatusCashAmount <StsCshAmt>	[0..1]	Amount	C1, C12	351
	SolicitationDealerFeeIndicator <SlctnDealrFeeInd>	[0..1]	Indicator		352

Constraints

- **OptionNumberRule**

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

- **SafekeepingAccountOrBlockChainAddress1Rule**

If SafekeepingAccount is present, BlockChainAddressOrWallet must be absent.

```
On Condition
  /SafekeepingAccount is present
Following Must be True
  /BlockChainAddressOrWallet Must be absent
```

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present, SafekeepingAccount must be absent.

```
On Condition
  /BlockChainAddressOrWallet is present
```

Following Must be True
/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True
/SafekeepingAccount Must be present
Or /BlockChainAddressOrWallet Must be present

- **SafekeepingPlaceRule**

SafekeepingPlace must only be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

6.4.5.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "[OptionNumber1Choice](#)" on page 964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		964
Or}	Code <Cd>	[1..1]	CodeSet		964

6.4.5.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "[CorporateActionOption41Choice](#)" on page 907 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		908
Or}	Proprietary <Prtry>	[1..1]	±		909

6.4.5.3 OptionFeatures <OptnFeatrs>

Presence: [0..1]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following elements (see "[OptionFeaturesFormat25Choice](#)" on page 936 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		937
Or}	Proprietary <Prtry>	[1..1]	±		937

6.4.5.4 AccountOwner <AcctOwnr>*Presence:* [0..1]*Definition:* Party that owns the account.**AccountOwner <AcctOwnr>** contains one of the following elements (see "PartyIdentification127Choice" on page 1032 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1032
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1032

6.4.5.5 SafekeepingAccount <SfkpgAcct>*Presence:* [0..1]*Definition:* Account where financial instruments are maintained.*Datatype:* "Max35Text" on page 1246**6.4.5.6 BlockchainAddressOrWallet <BlckChainAdrOrWllt>***Presence:* [0..1]*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.*Datatype:* "Max140Text" on page 1244**6.4.5.7 CashAccount <CshAcct>***Presence:* [0..1]*Definition:* Account on which a securities entry is made.**CashAccount <CshAcct>** contains one of the following elements (see "CashAccountIdentification9Choice" on page 826 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	826
Or	BlockChainCashWallet <BlckChainCshWllt>	[1..1]	±		826
Or}	Proprietary <Prtry>	[1..1]	Text		827

6.4.5.8 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]*Definition:* Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat42Choice" on page 1130 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			1130
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1130
	Identification <Id>	[0..1]	Text		1131
Or	Country <Ctry>	[1..1]	CodeSet	C3	1131
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		1131
Or	TypeAndIdentification <TpAndId>	[1..1]			1131
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1132
	Identification <Id>	[1..1]	IdentifierSet	C1	1132
Or}	Proprietary <Prtry>	[1..1]	±		1132

6.4.5.9 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: Identifies the financial instrument.

Impacted by: C13 "DescriptionPresenceRule", C14 "DescriptionUsageRule", C19 "ISINGuideline", C20 "ISINPresenceRule", C23 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		864
	OtherIdentification <OthrId>	[0..*]			864
	Identification <Id>	[1..1]	Text		864
	Suffix <Sfx>	[0..1]	Text		864
	Type <Tp>	[1..1]	±		865
	Description <Desc>	[0..1]	Text		865

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or
  /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or
  /Description Must be present
```

6.4.5.10 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

TotalEligibleBalance <TtlElgblBal> contains the following elements (see "[SignedQuantityFormat11](#)" on page 1095 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1095
	QuantityChoice <QtyChc>	[1..1]			1095
{Or	Quantity <Qty>	[1..1]	±	C14	1096
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1096
	Quantity <Qty>	[1..1]	Quantity		1096
	QuantityType <QtyTp>	[1..1]	Text		1096
	Issuer </ssr>	[1..1]	Text		1096
	SchemeName <SchmeNm>	[0..1]	Text		1097

6.4.5.11 InstructedBalance <InstdBal>

Presence: [0..1]

Definition: Balance of instructed position.

InstructedBalance <InstdBal> contains the following elements (see "SignedQuantityFormat11" on page 1095 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1095
	QuantityChoice <QtyChc>	[1..1]			1095
{Or	Quantity <Qty>	[1..1]	±	C14	1096
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1096
	Quantity <Qty>	[1..1]	Quantity		1096
	QuantityType <QtyTp>	[1..1]	Text		1096
	Issuer <Issr>	[1..1]	Text		1096
	SchemeName <SchmeNm>	[0..1]	Text		1097

6.4.5.12 UninstructedBalance <UinstdBal>

Presence: [0..1]

Definition: Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains the following elements (see "SignedQuantityFormat11" on page 1095 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1095
	QuantityChoice <QtyChc>	[1..1]			1095
{Or	Quantity <Qty>	[1..1]	±	C14	1096
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1096
	Quantity <Qty>	[1..1]	Quantity		1096
	QuantityType <QtyTp>	[1..1]	Text		1096
	Issuer <Issr>	[1..1]	Text		1096
	SchemeName <SchmeNm>	[0..1]	Text		1097

6.4.5.13 ProtectBalance <PrctBal>

Presence: [0..1]

Definition: Balance of securities which are in protect status in a CSD operating a protect cover service.

ProtectBalance <PrctBal> contains the following elements (see "[SignedQuantityFormat11](#)" on page 1095 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1095
	QuantityChoice <QtyChc>	[1..1]			1095
{Or	Quantity <Qty>	[1..1]	±	C14	1096
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1096
	Quantity <Qty>	[1..1]	Quantity		1096
	QuantityType <QtyTp>	[1..1]	Text		1096
	Issuer </ssr>	[1..1]	Text		1096
	SchemeName <SchmeNm>	[0..1]	Text		1097

6.4.5.14 StatusQuantity <StsQty>

Presence: [0..1]

Definition: Quantity of securities that has been assigned the status indicated.

StatusQuantity <StsQty> contains one of the following elements (see "[Quantity51Choice](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C14	1092
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		1092

6.4.5.15 StatusCashAmount <StsCshAmt>

Presence: [0..1]

Definition: Amount of cash subscribed that has been assigned the status indicated.

Impacted by: [C1 "ActiveCurrency"](#), [C12 "CurrencyAmount"](#)

Datatype: "[ActiveCurrencyAndAmount](#)" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

6.4.5.16 SolicitationDealerFeeIndicator <SlctnDealrFeeInd>*Presence:* [0..1]*Definition:* Indicates whether there is a solicitation fee on the event. This fee is paid by the soliciting dealer.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

6.4.6 ProtectInstruction <PrctctInstr>*Presence:* [0..1]*Definition:* Provides detailed information on protect and cover protect instructions.**ProtectInstruction <PrctctInstr>** contains the following **ProtectInstruction2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionType <TxTp>	[1..1]	CodeSet		352
	ProtectTransactionStatus <PrctctTxSts>	[0..1]	CodeSet		352
	TransactionIdentification <TxId>	[0..1]	Text		353
	ProtectSafekeepingAccount <PrctctSfkpgAcct>	[0..1]	Text		353
	ProtectDate <PrctctDt>	[0..1]	Date		353
	UncoveredProtectQuantity <UcvrdPrctctQty>	[0..1]	±		353

6.4.6.1 TransactionType <TxTp>*Presence:* [1..1]*Definition:* Indicates whether the instruction is a protect or a cover protect instruction.*Datatype:* "ProtectTransactionType2Code" on page 1228

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.
COVP	CoverOnBehalfOfAnotherParticipantTransaction	Reorganisation is a cover on behalf of another participant transaction type.
COVR	CoverProtectTransaction	Reorganisation is a cover protect transaction type.

6.4.6.2 ProtectTransactionStatus <PrctctTxSts>*Presence:* [0..1]*Definition:* Status of the protect transaction.*Datatype:* "ProtectInstructionStatus3Code" on page 1228

CodeName	Name	Definition
OPEN	Open	Protect instruction which has not been fully covered. Will include partial covers.
COVR	FullyCovered	Protect instruction has been fully covered.
EXPI	Expired	Protect instruction has expired.

6.4.6.3 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Unique reference of the protect transaction assigned by the depository and used for cover protect validation.

Datatype: "Max15Text" on page 1244

6.4.6.4 ProtectSafekeepingAccount <PrctSfkpgAcct>

Presence: [0..1]

Definition: Account which submitted the original protect instruction used for cover protect instructions whereby one safekeeping account is covering on behalf of another safekeeping account.

Datatype: "Max35Text" on page 1246

6.4.6.5 ProtectDate <PrctDt>

Presence: [0..1]

Definition: Date at which the protect instruction was created and used for cover protect validation.

Datatype: "ISODate" on page 1238

6.4.6.6 UncoveredProtectQuantity <UcvrdPrctQty>

Presence: [0..1]

Definition: Remaining quantity of protect instruction which has not been covered.

UncoveredProtectQuantity <UcvrdPrctQty> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 1132 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1133
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		1133

6.4.7 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		354
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		354

6.4.7.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "Max350Text" on page 1245

6.4.7.2 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "Max350Text" on page 1245

6.4.8 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C32 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 918 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		918
	Envelope <Envlp>	[1..1]	(External Schema)		918

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

7 **seev.035.001.16**

CorporateActionMovementPreliminaryAdvice

V16

7.1 MessageDefinition Functionality

Scope

The CorporateActionMovementPreliminaryAdvice message is sent by an account servicer to an account owner or its designated agent:

- to advise about the eligible balance and entitlements and,
- to pre-advise an upcoming posting or reversal of securities and/or cash postings.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionMovementPreliminaryAdviceV16 MessageDefinition is composed of 27 MessageBuildingBlocks:

A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part preliminary advice is to continue or that the message is the last page of the multi-part preliminary advice.

B. MovementPreliminaryAdviceGeneralInformation

General information about the movement preliminary advice document.

C. PreviousMovementPreliminaryAdviceIdentification

Identification of the previously sent movement preliminary advice document.

D. NotificationIdentification

Identification of a previously sent notification document.

E. MovementConfirmationIdentification

Identification of a previously sent movement confirmation document.

F. InstructionIdentification

Identification of a related instruction document.

G. OtherDocumentIdentification

Identification of other documents as well as the document number.

H. EventsLinkage

Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

I. ReversalReason

Reason for the reversal.

J. CorporateActionGeneralInformation

General information about the corporate action event.

K. AccountDetails

General information about the safekeeping account, owner and account balance.

L. CorporateActionDetails

Information about the corporate action event.

M. CorporateActionMovementDetails

Information about the corporate action option.

N. AdditionalInformation

Provides additional information.

O. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

P. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

Q. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

R. Registrar

Party/agent responsible for maintaining the register of a security.

S. ResellingAgent

Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

T. PhysicalSecuritiesAgent

Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

U. DropAgent

Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

V. SolicitationAgent

Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

W. InformationAgent

Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

X. Issuer

Issuer of the financial instrument.

Y. Offeror

Party making the offer and which is different from the issuing company.

Z. TransferAgent

Trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

A SupplementaryData

- A. Additional information that can not be captured in the structured fields and/or any other specific block.

7.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnMvmntPrimryAdv>	[1..1]		C10, C12, C13, C37, C38, C44, C57, C59, C60, C63, C65, C67, C68	
	Pagination <Pgntn>	[0..1]	±		381
	MovementPreliminaryAdviceGeneralInformation <MvmntPrimryAdvGnlInf>	[1..1]			382
	MovementPreliminaryAdviceIdentification <MvmntPrimryAdvclId>	[0..1]	Text		382
	Type <Tp>	[1..1]	CodeSet		382
	Function <Fctn>	[1..1]	CodeSet		382
	PreviousMovementPreliminaryAdviceIdentification <PrvsMvmntPrimryAdvclId>	[0..1]	±		383
	NotificationIdentification <NtfctnId>	[0..1]	±		383
	MovementConfirmationIdentification <MvmntConfId>	[0..1]	±		383
	InstructionIdentification <InstrId>	[0..1]	±		383
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		384
	EventsLinkage <EvtsLkg>	[0..*]	±		384
	ReversalReason <RvslRsn>	[0..1]		C6	384
	Reason <Rsn>	[1..1]			385
{Or	Code <Cd>	[1..1]	CodeSet		385
Or}	Proprietary <Prtry>	[1..1]	±		386
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		386
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C55	386
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		388
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		388
	ClassActionNumber <ClssActnNb>	[0..1]	Text		388
	EventProcessingType <EvtPrcgTp>	[0..1]	±		388

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	EventType <EvtTp>	[1..1]	±	C27	389
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		389
	UnderlyingSecurity <UndrlygScty>	[1..1]		C49, C61	389
	FinancialInstrumentIdentification <FinInstrmld>	[1..1]	±	C18, C19, C45, C46, C58	391
	PlaceOfListing <PlcOfListg>	[0..1]	±		392
	DayCountBasis <DayCntBsis>	[0..1]	±		392
	ClassificationType <ClssfctnTp>	[0..1]	±		392
	OptionStyle <OptnStyle>	[0..1]	±		393
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C3	393
	NextCouponDate <NxtCpnDt>	[0..1]	Date		393
	ExpiryDate <XpryDt>	[0..1]	Date		393
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		393
	MaturityDate <MtrtyDt>	[0..1]	Date		393
	IssueDate <IsseDt>	[0..1]	Date		394
	NextCallableDate <NxtClblDt>	[0..1]	Date		394
	PutableDate <PutblDt>	[0..1]	Date		394
	DatedDate <DtdDt>	[0..1]	Date		394
	ConversionDate <ConvsDt>	[0..1]	Date		394
	InterestRate <IntrstRate>	[0..1]	±		394
	NextInterestRate <NxtIntrstRate>	[0..1]	±		394
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		395
	PreviousFactor <PrvsFctr>	[0..1]	±		395
	NextFactor <NxtFctr>	[0..1]	±		395
	WarrantParity <WarrtParity>	[0..1]	±		396
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C20	396
	ContractSize <CtrctSz>	[0..1]	±	C20	396
	AccountDetails <AcctDtls>	[1..1]			397
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		398
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C69, C70,	399

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
				C71, C72	
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		401
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		401
	AccountOwner <AcctOwnr>	[0..1]	±		401
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		401
	Balance <Bal>	[0..1]		C8	402
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		403
	BlockedBalance <BlckdBal>	[0..1]	±		404
	BorrowedBalance <BrrwdBal>	[0..1]	±		404
	CollateralInBalance <CollInBal>	[0..1]	±		404
	CollateralOutBalance <CollOutBal>	[0..1]	±		405
	OnLoanBalance <OnLnBal>	[0..1]	±		405
	PendingDeliveryBalance <PdgDlrvyBal>	[0..*]	±		405
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		406
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		406
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		406
	StreetPositionBalance <StrtPosBal>	[0..1]	±		407
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		407
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		407
	RegisteredBalance <RegdBal>	[0..1]	±		408
	ObligatedBalance <OblgtdBal>	[0..1]	±		408
	UninstructedBalance <UinstdBal>	[0..1]	±		408
	InstructedBalance <InstdBal>	[0..1]	±		409
	AffectedBalance <AfctdBal>	[0..1]	±		409
	UnaffectedBalance <UafctdBal>	[0..1]	±		409
	CorporateActionDetails <CorpActnDtls>	[0..1]			410
	DateDetails <DtDtls>	[0..1]			410
	RecordDate <RcrdDt>	[0..1]	±		411
	ExDividendDate <ExDvddDt>	[0..1]	±		411
	LotteryDate <LtryDt>	[0..1]	±		411
	SecuritiesQuantity <SctiesQty>	[0..1]			412

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	BaseDenomination <BaseDnmtn>	[0..1]	±	C21	412
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C21	412
	EventStage <EvtStag>	[0..1]	±		413
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		413
	IntermediateSecuritiesDistributionType <IntrmdtScitiesDstrbtnTp>	[0..1]	±		413
	LotteryType <LtryTp>	[0..1]	±		414
	CorporateActionMovementDetails <CorpActnMvmntDtls>	[0..*]		C1, C7, C11, C23, C24, C25, C26, C43, C66, C56	414
	OptionNumber <OptnNb>	[1..1]	Text		425
	OptionType <OptnTp>	[1..1]	±		425
	FractionDisposition <FrctnDspstn>	[0..1]	±		425
	OfferType <OfferTp>	[0..*]	±		426
	OptionFeatures <OptnFeatrs>	[0..*]			426
{Or	Code <Cd>	[1..1]	CodeSet		426
Or}	Proprietary <Prtry>	[1..1]	±		427
	OptionAvailabilityStatus <OptnAvlbtlySts>	[0..1]	±		428
	CertificationBreakdownType <CertfctnBrkdwnTp>	[0..*]	±		428
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C14	428
	ValidDomicileCountry <VldDmclCtry>	[0..*]	CodeSet	C14	428
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C2	429
	DefaultProcessingOrStandingInstruction <DfltPrcgOrStgInstr>	[1..1]	±		429
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		429
	AcceptancePriorityLevel <AccptncPrtyLvl>	[0..1]	Text		429
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		430
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[0..1]	Indicator		430
	ChangeAllowedIndicator <ChngAllwdInd>	[0..1]	Indicator		430
	AppliedOptionIndicator <ApldOptnInd>	[0..1]	Indicator		430
	SecurityIdentification <SctyId>	[0..1]	±	C18, C19,	430

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
				C45, C46, C58	
	DateDetails <DtDtls>	[0..1]			431
	EarlyResponseDeadline <EarlyRspnDdlIn>	[0..1]	±		432
	CoverExpirationDeadline <CoverXprtnDdlIn>	[0..1]	±		432
	ProtectDeadline <PrctctDdlIn>	[0..1]	±		433
	MarketDeadline <MktDdlIn>	[0..1]	±		433
	ResponseDeadline <RspnDdlIn>	[0..1]	±		433
	ExpiryDate <XpryDt>	[0..1]	±		434
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		434
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		435
	StockLendingDeadline <StockLndgDdlIn>	[0..1]	±		435
	BorrowerStockLendingDeadline <BrrwrStockLndgDdlIn>	[0..*]			435
	StockLendingDeadline <StockLndgDdlIn>	[1..1]	±		435
	Borrower <Brrwr>	[1..1]	±		436
	DocumentationDeadline <DcmnttnDdlIn>	[0..1]	±		436
	PeriodDetails <PrdDtls>	[0..1]			436
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		437
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		437
	ActionPeriod <ActnPrd>	[0..1]	±		437
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		438
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		438
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		438
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		438
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C32, C34, C51, C53, C73, C75, C77, C81, C83	439
	AdditionalTax <AddtlTax>	[0..1]	±		440
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		441

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		441
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		442
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>	[0..1]	±		443
	ProrationRate <PrratnRate>	[0..1]	±		443
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		444
	SecondLevelTax <ScndLvlTax>	[0..*]	±		444
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		444
	TaxOnIncome <TaxOnIncm>	[0..1]	±		445
	PriceDetails <PricDtls>	[0..1]			445
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		446
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		446
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		446
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		447
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		447
	SecuritiesQuantity <SctiesQty>	[0..1]			447
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±	C22	448
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C22	448
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C21	448
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C21	449
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C21	449
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C21	450
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±	C21	450
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C28, C39, C41, C47	451
	SecurityDetails <SctyDtls>	[1..1]		C50, C62	454
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C18, C19, C45, C46, C58	456
	PlaceOfListing <PlcOfListg>	[0..1]	±		457
	DayCountBasis <DayCntBsis>	[0..1]	±		457

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ClassificationType <ClssfctnTp>	[0..1]	±		457
	OptionStyle <OptnStyle>	[0..1]	±		458
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C3	458
	NextCouponDate <NxtCpnDt>	[0..1]	Date		458
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		458
	MaturityDate <MtrtyDt>	[0..1]	Date		458
	IssueDate <IsseDt>	[0..1]	Date		458
	NextCallableDate <NxtCllblDt>	[0..1]	Date		459
	PutableDate <PutblDt>	[0..1]	Date		459
	DatedDate <DtdDt>	[0..1]	Date		459
	ConversionDate <ConvsDt>	[0..1]	Date		459
	PreviousFactor <PrvsFctr>	[0..1]	±		459
	NextFactor <NxtFctr>	[0..1]	±		459
	InterestRate <IntrstRate>	[0..1]	±		460
	NextInterestRate <NxtIntrstRate>	[0..1]	±		460
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C20	460
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C20	461
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C20	461
	ContractSize <CtrctSz>	[0..1]	±	C20	462
	IssuePrice <IssePric>	[0..1]	±		462
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		463
	TemporaryFinancialInstrumentIndicator <TempFinInstrmlInd>	[0..1]	±		463
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]			463
{Or	Code <Cd>	[1..1]	CodeSet		464
Or}	Proprietary <Prtry>	[1..1]	±		464
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]			464
{Or	Code <Cd>	[1..1]	CodeSet		464
Or}	Proprietary <Prtry>	[1..1]	±		465
	NewSecuritiesIssuanceIndicator <NewSciesIssncInd>	[0..1]	CodeSet		465
	IncomeType <IncmTp>	[0..1]	±		465
	OtherIncomeType <OthrIncmTp>	[0..*]	±		466

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ExemptionType <XmptnTp>	[0..*]	±		466
	EntitledQuantity <EntitldQty>	[0..1]	±		466
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		467
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C14	467
	FractionDisposition <FrctnDspstn>	[0..1]	±		467
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C2	468
	TradingPeriod <TradgPrd>	[0..1]	±		468
	DateDetails <DtDtls>	[1..1]			468
	PaymentDate <PmtDt>	[1..1]	±		469
	AvailableDate <AvlblDt>	[0..1]	±		469
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		469
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		470
	PariPassuDate <PrpssDt>	[0..1]	±		470
	LastTradingDate <LastTradgDt>	[0..1]	±		470
	RateDetails <RateDtls>	[0..1]			471
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbRslntScies>	[0..1]	±		471
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		472
	NewToOld <NewToOd>	[0..1]	±		472
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		473
	ChargesFees <ChrgsFees>	[0..1]	±		473
	FiscalStamp <FscIStmp>	[0..1]	±		473
	ApplicableRate <AplblRate>	[0..1]	±		474
	TaxCreditRate <TaxCdtRate>	[0..1]	±		474
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		474
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		474
	SecondLevelTax <ScndLvTax>	[0..*]	±		475
	PriceDetails <PricDtls>	[0..1]		C30	475
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			476
{Or	IndicativePrice <IndctvPric>	[1..1]	±		476
Or}	MarketPrice <MktPric>	[1..1]	±		477
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		477

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	CashValueForTax <CshValForTax>	[0..1]	±		477
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		478
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		478
	AmountDetails <AmtDtls>	[0..1]			479
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C2, C15	479
	SecondLevelTaxAmount <ScndLvTaxAmt>	[0..1]	Amount	C2, C15	480
	CashMovementDetails <CshMvmntDtls>	[0..*]		C29, C40, C42, C48	480
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		484
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		484
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]	±		485
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]			485
{Or	Code <Cd>	[1..1]	CodeSet		485
Or}	Proprietary <Prtry>	[1..1]	±		485
	IncomeType <IncmTp>	[0..1]	±		486
	OtherIncomeType <OthrIncmTp>	[0..*]	±		486
	ExemptionType <XmptnTp>	[0..*]	±		486
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C14	487
	CashAccountIdentification <CshAcctId>	[0..1]	±		487
	AmountDetails <AmtDtls>	[0..1]			487
	GrossAmount <GrssAmt>	[0..1]	Amount	C2, C15	489
	NetAmount <NetAmt>	[0..1]	Amount	C2, C15	490
	SolicitationFees <SlctnFees>	[0..1]	Amount	C2, C15	490
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C2, C15	490
	CapitalGain <CptlGn>	[0..1]	Amount	C2, C15	491
	InterestAmount <IntrstAmt>	[0..1]	Amount	C2, C15	491
	MarketClaimAmount <MktClnAmt>	[0..1]	Amount	C2, C15	492
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C2, C15	492
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C2, C15	492
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C2, C15	493

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	FullyFrankedAmount <FullyFrnkdAmt>	[0..1]	Amount	C2, C15	493
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C2, C15	494
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C2, C15	494
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C2, C15	494
	TaxDeferredAmount <TaxDfrrdAmt>	[0..1]	Amount	C2, C15	495
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C2, C15	495
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C2, C15	496
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C2, C15	496
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C2, C15	496
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C2, C15	497
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C2, C15	497
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C2, C15	498
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C2, C15	498
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C2, C15	498
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C2, C15	499
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C2, C15	499
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C2, C15	500
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C2, C15	500
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C2, C15	500
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C2, C15	501
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C2, C15	501
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C2, C15	502
	IncomePortion <IncmPrtn>	[0..1]	Amount	C2, C15	502
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C2, C15	502
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C2, C15	503
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C2, C15	503
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C2, C15	504
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C2, C15	504
	TransactionTax <TxTax>	[0..1]	Amount	C2, C15	504
	DeemedAmount <DmdAmt>	[0..1]	Amount	C2, C15	505
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C2, C15	505

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C2, C15	506
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C2, C15	506
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C2, C15	506
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C2, C15	507
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C2, C15	507
	DateDetails <DtDtls>	[1..1]			508
	PaymentDate <PmtDt>	[1..1]	±		508
	ValueDate <ValDt>	[0..1]	±		508
	ForeignExchangeRateFixingDate <FXRateFvgDt>	[0..1]	±		509
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		509
	ForeignExchangeDetails <FXDtls>	[0..1]	±		509
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C16, C17, C33, C35, C52, C54, C74, C76, C78, C82, C84	510
	AdditionalTax <AddtlTax>	[0..1]	±		513
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		513
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		513
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		514
	SecondLevelTax <ScndLvltax>	[0..*]	±		514
	ChargesFees <ChrgsFees>	[0..1]	±		515
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		515
	FiscalStamp <FscIStmp>	[0..1]	±		516
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		516
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		516
	ApplicableRate <ApblRate>	[0..1]	±		517
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		517
	TaxCreditRate <TaxCdtRate>	[0..1]	±		518
	TaxOnIncome <TaxOnIncm>	[0..1]	±		518

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		518
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		519
	EqualisationRate <EqulstnRate>	[0..1]	±		519
	DeemedRate <DmdRate>	[0..*]	±		519
	PriceDetails <PricDtls>	[0..1]		C31	520
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		521
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		521
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		522
	AdditionalInformation <AddtlInf>	[0..1]		C4	522
	AdditionalText <AddtlTxt>	[0..1]			523
	AdditionalInformation <AddtlInf>	[1..1]	Text		523
	NarrativeVersion <NrrtvVrsn>	[0..1]			524
	AdditionalInformation <AddtlInf>	[1..1]	Text		524
	InformationConditions <InfConds>	[0..1]			524
	AdditionalInformation <AddtlInf>	[1..*]	Text		524
	InformationToComplyWith <InfToCmplyWth>	[0..1]			524
	AdditionalInformation <AddtlInf>	[1..*]	Text		525
	SecurityRestriction <SctyRstrctn>	[0..1]			525
	AdditionalInformation <AddtlInf>	[1..*]	Text		525
	TaxationConditions <TaxtnConds>	[0..1]			525
	AdditionalInformation <AddtlInf>	[1..*]	Text		525
	Disclaimer <Dsclmr>	[0..1]			525
	AdditionalInformation <AddtlInf>	[1..*]	Text		526
	CertificationBreakdown <CertfctnBrkdwn>	[0..1]			526
	AdditionalInformation <AddtlInf>	[1..*]	Text		526
	AdditionalInformation <AddtlInf>	[0..1]		C5	526
	AdditionalText <AddtlTxt>	[0..1]			527
	AdditionalInformation <AddtlInf>	[1..*]	Text		528
	NarrativeVersion <NrrtvVrsn>	[0..1]			528
	AdditionalInformation <AddtlInf>	[1..*]	Text		528
	InformationConditions <InfConds>	[0..1]			528

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		528
	InformationToComplyWith <InfToCmplyWth>	[0..1]			529
	AdditionalInformation <AddtlInf>	[1..*]	Text		529
	TaxationConditions <TaxtnConds>	[0..1]			529
	AdditionalInformation <AddtlInf>	[1..*]	Text		529
	Disclaimer <Dsclmr>	[0..1]			529
	AdditionalInformation <AddtlInf>	[1..*]	Text		530
	PartyContactNarrative <PtyCtctNrrtv>	[0..1]			530
	AdditionalInformation <AddtlInf>	[1..*]	Text		530
	RegistrationDetails <RegnDtls>	[0..1]			530
	AdditionalInformation <AddtlInf>	[1..*]	Text		530
	BasketOrIndexInformation <BsktOrIndxInf>	[0..1]			530
	AdditionalInformation <AddtlInf>	[1..*]	Text		531
	CertificationBreakdown <CertfctnBrkdown>	[0..1]			531
	AdditionalInformation <AddtlInf>	[1..*]	Text		531
	ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy>	[0..1]			531
	AdditionalInformation <AddtlInf>	[1..*]	Text		531
	IssuerAgent <IssrAgt>	[0..*]	±		532
	PayingAgent <PngAgt>	[0..*]	±		532
	SubPayingAgent <SubPngAgt>	[0..*]	±		532
	Registrar <Regar>	[0..1]	±		533
	ResellingAgent <RsellingAgt>	[0..*]	±		533
	PhysicalSecuritiesAgent <PhysSctiesAgt>	[0..1]	±		533
	DropAgent <DrpAgt>	[0..1]	±		533
	SolicitationAgent <SlctnAgt>	[0..*]	±		534
	InformationAgent <InfAgt>	[0..1]	±		534
	Issuer <Issr>	[0..1]	±		534
	Offeror <Offerr>	[0..*]	±		535
	TransferAgent <TrfAgt>	[0..1]	±		535
	SupplementaryData <SplmtryData>	[0..*]	±	C80	535

7.3 Constraints

C1 **AcceptancePriorityLevelUGRule**

If OfferType/Code is equal to AcceptancePriority (ACPR), then AcceptancePriorityLevel must be present.

Expression Language: UGRule

C2 **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C3 **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C4 **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C5 **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C6 **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C7 **AdditionalTextRule**

If OptionType/Code is OTHR (Other), then AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

C8 **AffectedAndUnaffectedBalanceRule**

UnaffectedBalance and AffectedBalance should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

C9 **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C10 **AppliedOptionRule**

If CorporateActionMovementDetails/AppliedOptionIndicator value is 'true' or '1' (Yes) in one or more occurrences of CorporateActionMovementDetails, then

CorporateActionGeneralInformation/MandatoryVoluntaryEventType/Code value must be CHOS (MandatoryWithOptions) and at least one of the CorporateActionDetails/AdditionalBusinessProcessIndicator/Code values must be REAC (RequiredAction).

This constraint is defined at the MessageDefinition level.

C11 AppliedOptionRule

If DefaultProcessingOrStandingInstruction/DefaultOptionIndicator is present and its value is '1' or 'true' (Yes), then AppliedOptionIndicator value must not be '1' or 'true' (Yes).

C12 BeneficialOwnerBreakdownRequestRule

If in at least one occurrence of CorporateActionMovementDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

On Condition

/CorporateActionMovementDetails[*]/OptionType/Code is equal to value 'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C13 BeneficialOwnerBreakdownRequestUGRule

If in at least one occurrence of CorporateActionOptionDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

if

at least one occurrence of [CorporateActionMovementDetails/OptionType/Code] has value included in the following list 'BOBD', then

every occurrence of [CorporateActionGeneralInformation/EventType/Code] must have value included in the following list 'WTRC'

Expression Language: UGRule

This constraint is defined at the MessageDefinition level.

C14 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C15 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C16 DeemedRate1Rule

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

C17 DeemedRate2Rule

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C18 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C19 DescriptionUsageRule

Description must be used alone as the last resort.

C20 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C21 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C22 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C23 DissenterRights1Rule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C24 DissenterRights1UGRule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Expression Language: UGRule

C25 DissenterRights2Rule

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C26 DissenterRights2UGRule

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Expression Language: UGRule

C27 EventTypeRule

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

C28 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C29 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C30 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

C31 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

C32 GrossDistributionRate2Rule

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate.

(MT 564 NVR C8).

C33 GrossDistributionRate2Rule

If GrossDDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 564 NVR C8).

C34 GrossDistributionRate3Rule

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus or GrossDistributionRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

C35 GrossDistributionRate3Rule

Only a single occurrence of either GrossDistributionRate/Amount or GrossGrossDistributionRate/AmountAndRateStatus or GrossGrossDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

C36 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C37 IncentivePremiumEventType1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

On Condition

/CorporateActionDetails/AdditionalBusinessProcessIndicator[*]/Code is equal to value 'IncentivePremiumPayment'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'Consent'

This constraint is defined at the MessageDefinition level.

C38 IncentivePremiumEventTypeUG1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in any occurrence of CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

if

at least one occurrence of [CorporateActionDetails/AdditionalBusinessProcessIndicator/Code] has value included in the following list 'INCP'

, then

every occurrence of [CorporateActionGeneralInformation/EventType/Code] must have value included in the following list 'CONS'

Expression Language: UGRule

This constraint is defined at the MessageDefinition level.

C39 IncomeType1Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C40 IncomeType2Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C41 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C42 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C43 InstructCashAmountRule

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMultipleCashToInstruct) must not be present.

(MT564 NVR C20).

C44 IntermediateSecuritiesDistributionRule

If CorporateActionGeneralInformation/EventType/Code is RHDI (IntermediateSecuritiesDistribution), then CorporateActionDetails/IntermediateSecuritiesDistributionType must be present.

(MT 564 NVR C11).

On Condition

/CorporateActionGeneralInformation/EventType/Code is equal to value 'IntermediateSecuritiesDistribution'

Following Must be True

/CorporateActionDetails Must be present

And /CorporateActionDetails/IntermediateSecuritiesDistributionType Must be present

This constraint is defined at the MessageDefinition level.

C45 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C46 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C47 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C48 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C49 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

C50 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

C51 NetDistributionRate1Rule

If NetDistributionRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

C52 NetDistributionRate1Rule

If NetDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

C53 NetDistributionRate2Rule

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

C54 NetDistributionRate2Rule

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

C55 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C56 OptionNumberGuideline

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

C57 OtherEventRule

If CorporateActionGeneralInformation/EventType/Code is OTHR (Other), then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C4).

On Condition

/CorporateActionGeneralInformation/EventType/Code is present

And /CorporateActionGeneralInformation/EventType/Code is equal to value 'OtherEvent'

Following Must be True

/AdditionalInformation Must be present

And /AdditionalInformation/AdditionalText Must be present

And /AdditionalInformation/AdditionalText/AdditionalInformation[1] Must be present

This constraint is defined at the MessageDefinition level.

C58 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C59 PaginationRule

If pagination is present, then MovementPreliminaryAdviceGeneralInformation/
MovementPreliminaryAdviceIdentification must be present.

On Condition
 /Pagination is present
Following Must be True
 /MovementPreliminaryAdviceGeneralInformation/
MovementPreliminaryAdviceIdentification Must be present

This constraint is defined at the MessageDefinition level.

C60 PreviousMovementPreliminaryAdviceIdentification1Rule

If MovementPreliminaryAdviceGeneralInformation/Type is REPL (Replace) and Pagination/
PageNumber is equal to value 1, then PreviousMovementPreliminaryAdviceIdentification must
be present.

(MT 564 NVR C9).

On Condition
 /MovementPreliminaryAdviceGeneralInformation/Type is equal to value
'Replacement'
And /Pagination/PageNumber is equal to value '1'
Following Must be True
 /PreviousMovementPreliminaryAdviceIdentification Must be present

This constraint is defined at the MessageDefinition level.

C61 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable
before redemption and NextFactor provides the factor applicable after redemption.

C62 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable
before redemption and NextFactor provides the factor applicable after redemption.

C63 RateAndCorporateActionEventRule

If CorporateActionMovementDetails/RateAndAmountDetails/GrossDistributionRate/
NotSpecifiedRate Code value or CorporateActionMovementDetails/RateAndAmountDetails/
GrossInterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then
CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or
INTR (InterestPayment).

If CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/
GrossDistributionRate/NotSpecifiedRate Code value or CorporateActionMovementDetails/
CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/
NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/
EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

This constraint is defined at the MessageDefinition level.

C64 RateStatusGuideline

The code value ACTU is the default value for a rate status.

C65 ReversalReasonRule

If CorporateActionDetails/AdditionalBusinessProcessIndicator/Code value is equal to REVR (ReversalReason), then

ReversalReason must be present and MovementPreliminaryAdviceGeneralInformation/Function code value is equal to CAPA (PreadviceOfMovement).

```
if
    at least one occurrence of [CorporateActionDetails/
AdditionalBusinessProcessIndicator/Code] has value included in the following
list 'REVR'
, then
    at least one occurrence of the following element(s) [ReversalReason] must
be present
    and at least one occurrence of
[MovementPreliminaryAdviceGeneralInformation/Function] must have value
included in the following list 'CAPA'
```

Expression Language: UGRule

This constraint is defined at the MessageDefinition level.

C66 RevocabilityPeriodRule

If WithdrawalAllowedIndicator or ChangeAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the change or withdrawal of instruction is allowed.

C67 SafekeepingAccount1Rule

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General), then any element in the list (MinimumNominalQuantity, MinimumExercisableQuantity, MinimumExercisableMultipleQuantity, ContractSize) must not be present in any occurrences of CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails;

(MT564 Rule C2 - part 1).

```
On Condition
    /AccountDetails/ForAllAccounts is present
And    /AccountDetails/ForAllAccounts/IdentificationCode is equal to value
'General'
Following Must be True
    /CorporateActionMovementDetails[*]/SecuritiesMovementDetails[*]/
SecurityDetails/MinimumNominalQuantity Must be absent
And    /CorporateActionMovementDetails[*]/SecuritiesMovementDetails[*]/
SecurityDetails/MinimumQuantityToInstruct Must be absent
And    /CorporateActionMovementDetails[*]/SecuritiesMovementDetails[*]/
SecurityDetails/MinimumMultipleQuantityToInstruct Must be absent
And    /CorporateActionMovementDetails[*]/SecuritiesMovementDetails[*]/
SecurityDetails/ContractSize Must be absent
```

This constraint is defined at the MessageDefinition level.

C68 SafekeepingAccount2Rule

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General), then AmountDetails must not be present in any occurrences of CorporateActionMovementDetails/CashMovementDetails.

(MT564 Rule C2 - part 2).

```
On Condition
    /AccountDetails/ForAllAccounts is present
And    /AccountDetails/ForAllAccounts/IdentificationCode is equal to value
```

'General'
Following Must be True
/CorporateActionMovementDetails[*]/CashMovementDetails[*]/AmountDetails
Must be absent

This constraint is defined at the MessageDefinition level.

C69 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C70 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C71 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C72 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C73 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C74 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C75 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C76 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C77 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present.

C78 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

C79 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C80 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C81 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C82 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C83 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C84 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C85 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

7.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

7.4.1 Pagination <Pgntn>

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part preliminary advice is to continue or that the message is the last page of the multi-part preliminary advice.

Pagination <Pgntn> contains the following elements (see "Pagination1" on page 883 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		883
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		883

7.4.2 MovementPreliminaryAdviceGeneralInformation <MvmntPrlmryAdvGnllnf>

Presence: [1..1]

Definition: General information about the movement preliminary advice document.

MovementPreliminaryAdviceGeneralInformation <MvmntPrlmryAdvGnllnf> contains the following **CorporateActionPreliminaryAdviceType4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MovementPreliminaryAdviceIdentification <MvmntPrlmryAdvcl>	[0..1]	Text		382
	Type <Tp>	[1..1]	CodeSet		382
	Function <Fctn>	[1..1]	CodeSet		382

7.4.2.1 MovementPreliminaryAdviceIdentification <MvmntPrlmryAdvcl>

Presence: [0..1]

Definition: Unique identification of the group of corporate action movement preliminary advice messages when the preliminary advice is split in multiple (paginated) messages.

Datatype: "Max35Text" on page 1246

7.4.2.2 Type <Tp>

Presence: [1..1]

Definition: Type of movement preliminary advice, for example. new or replacement.

Datatype: "CorporateActionPreliminaryAdviceType1Code" on page 1201

CodeName	Name	Definition
NEWM	New	New movement preliminary advice.
REPL	Replacement	Movement preliminary advice replacing a previously sent preliminary advice.

7.4.2.3 Function <Fctn>

Presence: [1..1]

Definition: Specifies whether the message advises about the final eligible balance and entitlements or about an upcoming posting or reversal of securities and/or cash postings.

Datatype: "CorporateActionMovementPreliminaryAdviceFunction1Code" on page 1193

CodeName	Name	Definition
ENTL	Entitlement	Message is an entitlement.
CAPA	PreadviceOfMovement	Message is a preadvice of movement.

7.4.3 PreviousMovementPreliminaryAdviceIdentification <PrvsMvmntPrlimryAdvcl>

Presence: [0..1]

Definition: Identification of the previously sent movement preliminary advice document.

PreviousMovementPreliminaryAdviceIdentification <PrvsMvmntPrlimryAdvcl> contains the following elements (see "[DocumentIdentification31](#)" on page 854 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		855
	LinkageType <LkgTp>	[0..1]	±	C22	855

7.4.4 NotificationIdentification <NtfctnId>

Presence: [0..1]

Definition: Identification of a previously sent notification document.

NotificationIdentification <NtfctnId> contains the following elements (see "[DocumentIdentification31](#)" on page 854 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		855
	LinkageType <LkgTp>	[0..1]	±	C22	855

7.4.5 MovementConfirmationIdentification <MvmntConfld>

Presence: [0..1]

Definition: Identification of a previously sent movement confirmation document.

MovementConfirmationIdentification <MvmntConfld> contains the following elements (see "[DocumentIdentification31](#)" on page 854 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		855
	LinkageType <LkgTp>	[0..1]	±	C22	855

7.4.6 InstructionIdentification <InstrId>

Presence: [0..1]

Definition: Identification of a related instruction document.

InstructionIdentification <InstrId> contains the following elements (see "DocumentIdentification9" on page 871 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		871

7.4.7 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification32" on page 852 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			852
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		852
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		853
	DocumentNumber <DocNb>	[0..1]	±	C6	853
	LinkageType <LkgTp>	[0..1]	±	C22	853

7.4.8 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "CorporateActionEventReference3" on page 870 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			870
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		870
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		870
	LinkageType <LkgTp>	[0..1]	±	C22	871

7.4.9 ReversalReason <RvslRsn>

Presence: [0..1]

Definition: Reason for the reversal.

Impacted by: C6 "AdditionalReasonInformationRule"

ReversalReason <RvsIRsn> contains the following **CorporateActionReversalReason8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			385
{Or	Code <Cd>	[1..1]	CodeSet		385
Or}	Proprietary <Prtry>	[1..1]	±		386
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		386

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

7.4.9.1 Reason <Rsn>

Presence: [1..1]

Definition: Specifies the reason for the reversal.

Reason <Rsn> contains one of the following **CorporateActionReversalReason10Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		385
Or}	Proprietary <Prtry>	[1..1]	±		386

7.4.9.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason for the reversal.

Datatype: "CorporateActionReversalReason3Code" on page 1201

CodeName	Name	Definition
DCBD	DayCountBasisDifference	Difference in day count basis.
FNRC	FundsNotReceived	Funds have not been received.
IREC	IncorrectEntitlementDate	Entitlement date is incorrect.
IETR	IncorrectEventLevelTaxRate	Event level tax rate is incorrect.
IPCU	IncorrectPaymentCurrency	Payment currency is incorrect.
IPRI	IncorrectPrice	Price is incorrect.
IVAD	IncorrectValueDate	Value date is incorrect.
UPAY	UnduePayment	Payment is not due.
OTHR	Other	Other

7.4.9.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification for the reason of the reversal.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

7.4.9.2 AdditionalReasonInformation <AddtlRsnInf>*Presence:* [0..1]*Definition:* Provides additional information about the processed instruction.*Datatype:* "[Max256Text](#)" on page 1245**7.4.10 CorporateActionGeneralInformation
<CorpActnGnlInf>***Presence:* [1..1]*Definition:* General information about the corporate action event.*Impacted by:* [C55 "OfficialCorporateActionEventReference"](#)

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following
CorporateActionGeneralInformation178 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		388
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		388
	ClassActionNumber <ClssActnNb>	[0..1]	Text		388
	EventProcessingType <EvtPrcgTp>	[0..1]	±		388
	EventType <EvtTp>	[1..1]	±	C27	389
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		389
	UnderlyingSecurity <UndrlygScty>	[1..1]		C49, C61	389
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C18, C19, C45, C46, C58	391
	PlaceOfListing <PlcOfListg>	[0..1]	±		392
	DayCountBasis <DayCntBsis>	[0..1]	±		392
	ClassificationType <ClssfctnTp>	[0..1]	±		392
	OptionStyle <OptnStyle>	[0..1]	±		393
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C3	393
	NextCouponDate <NxtCpnDt>	[0..1]	Date		393
	ExpiryDate <XpryDt>	[0..1]	Date		393
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		393
	MaturityDate <MtrtyDt>	[0..1]	Date		393
	IssueDate <IsseDt>	[0..1]	Date		394
	NextCallableDate <NxtCllblDt>	[0..1]	Date		394
	PutableDate <PutblDt>	[0..1]	Date		394
	DatedDate <DtdDt>	[0..1]	Date		394
	ConversionDate <ConvsDt>	[0..1]	Date		394
	InterestRate <IntrstRate>	[0..1]	±		394
	NextInterestRate <NxtIntrstRate>	[0..1]	±		394
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		395
	PreviousFactor <PrvsFctr>	[0..1]	±		395
	NextFactor <NxtFctr>	[0..1]	±		395

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	WarrantParity <WarrtParity>	[0..1]	±		396
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C20	396
	ContractSize <CtrctSz>	[0..1]	±	C20	396

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

7.4.10.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "Max35Text" on page 1246

7.4.10.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "Max35Text" on page 1246

7.4.10.3 ClassActionNumber <ClssActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "Max35Text" on page 1246

7.4.10.4 EventProcessingType <EvtPrcgTp>

Presence: [0..1]

Definition: Type of processing involved by a corporate action.

EventProcessingType <EvtPrcgTp> contains one of the following elements (see "CorporateActionEventProcessingType2Choice" on page 965 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		965
Or}	Proprietary <Prtry>	[1..1]	±		966

7.4.10.5 EventType <EvtTp>*Presence:* [1..1]*Definition:* Type of corporate action event.*Impacted by:* C27 "EventTypeRule"**EventType <EvtTp>** contains one of the following elements (see "CorporateActionEventType108Choice" on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1002
Or}	Proprietary <Prtry>	[1..1]	±		1008

Constraints• **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

7.4.10.6 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>*Presence:* [1..1]*Definition:* Specifies whether the event is mandatory, mandatory with options or voluntary.**MandatoryVoluntaryEventType <MndtryVlntryEvtTp>** contains one of the following elements (see "CorporateActionMandatoryVoluntary3Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		969
Or}	Proprietary <Prtry>	[1..1]	±		969

7.4.10.7 UnderlyingSecurity <UndrlygScty>*Presence:* [1..1]*Definition:* Security concerned by the corporate action.*Impacted by:* C49 "MaturityDateRule", C61 "PreviousNextFactorRule"

UnderlyingSecurity <UndrlygScty> contains the following **FinancialInstrumentAttributes128** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C18, C19, C45, C46, C58	391
	PlaceOfListing <PlcOfListg>	[0..1]	±		392
	DayCountBasis <DayCntBsis>	[0..1]	±		392
	ClassificationType <ClssfctnTp>	[0..1]	±		392
	OptionStyle <OptnStyle>	[0..1]	±		393
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C3	393
	NextCouponDate <NxtCpnDt>	[0..1]	Date		393
	ExpiryDate <XpryDt>	[0..1]	Date		393
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		393
	MaturityDate <MtrtyDt>	[0..1]	Date		393
	IssueDate <IsseDt>	[0..1]	Date		394
	NextCallableDate <NxtClblDt>	[0..1]	Date		394
	PutableDate <PutblDt>	[0..1]	Date		394
	DatedDate <DtdDt>	[0..1]	Date		394
	ConversionDate <ConvstDt>	[0..1]	Date		394
	InterestRate <IntrstRate>	[0..1]	±		394
	NextInterestRate <NxtIntrstRate>	[0..1]	±		394
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		395
	PreviousFactor <PrvsFctr>	[0..1]	±		395
	NextFactor <NxtFctr>	[0..1]	±		395
	WarrantParity <WarrtParity>	[0..1]	±		396
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C20	396
	ContractSize <CtrctSz>	[0..1]	±	C20	396

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

7.4.10.7.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identifies the financial instrument.

Impacted by: C18 "DescriptionPresenceRule", C19 "DescriptionUsageRule", C45 "ISINGuideline", C46 "ISINPresenceRule", C58 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		864
	OtherIdentification <OthrId>	[0..*]			864
	Identification <Id>	[1..1]	Text		864
	Suffix <Sfx>	[0..1]	Text		864
	Type <Tp>	[1..1]	±		865
	Description <Desc>	[0..1]	Text		865

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition
 /ISIN is absent
 Following Must be True
 /OtherIdentification[*] Must be present
 Or /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition
 /OtherIdentification[*] is absent
 Following Must be True
 /ISIN Must be present
 Or /Description Must be present

7.4.10.7.2 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

PlaceOfListing <PlcOfListg> contains one of the following elements (see "MarketIdentification3Choice" on page 877 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		878
Or}	Description <Desc>	[1..1]	Text		878

7.4.10.7.3 DayCountBasis <DayCntBsis>

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

DayCountBasis <DayCntBsis> contains one of the following elements (see "InterestComputationMethodFormat4Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		970
Or}	Proprietary <Prtry>	[1..1]	±		973

7.4.10.7.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

ClassificationType <ClssfctnTp> contains one of the following elements (see "ClassificationType32Choice" on page 882 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		882
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		882

7.4.10.7.5 OptionStyle <OptnStyle>*Presence:* [0..1]*Definition:* Specifies how an option can be exercised.**OptionStyle <OptnStyle>** contains one of the following elements (see ["OptionStyle8Choice"](#) on page 917 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		917
Or}	Proprietary <Prtry>	[1..1]	±		918

7.4.10.7.6 DenominationCurrency <DnmtnCcy>*Presence:* [0..1]*Definition:* Currency in which a financial instrument is currently denominated.*Impacted by:* [C3 "ActiveOrHistoricCurrency"](#)*Datatype:* ["ActiveOrHistoricCurrencyCode"](#) on page 1155**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

7.4.10.7.7 NextCouponDate <NxtCpnDt>*Presence:* [0..1]*Definition:* Next payment date of an interest bearing financial instrument.*Datatype:* ["ISODate"](#) on page 1238**7.4.10.7.8 ExpiryDate <XpryDt>***Presence:* [0..1]*Definition:* Date on which an order expires or at which a privilege or offer terminates.*Datatype:* ["ISODate"](#) on page 1238**7.4.10.7.9 FloatingRateFixingDate <FltgRateFxdt>***Presence:* [0..1]*Definition:* Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.*Datatype:* ["ISODate"](#) on page 1238**7.4.10.7.10 MaturityDate <MtrtyDt>***Presence:* [0..1]*Definition:* Date on which a financial instrument becomes due and assets are to be repaid.

Datatype: "ISODate" on page 1238

7.4.10.7.11 IssueDate <IsseDt>

Presence: [0..1]

Definition: Date on which the financial instrument is issued.

Datatype: "ISODate" on page 1238

7.4.10.7.12 NextCallableDate <NxtCllbDt>

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: "ISODate" on page 1238

7.4.10.7.13 PutableDate <PutbDt>

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: "ISODate" on page 1238

7.4.10.7.14 DatedDate <DtdDt>

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: "ISODate" on page 1238

7.4.10.7.15 ConversionDate <ConvsDt>

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: "ISODate" on page 1238

7.4.10.7.16 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

InterestRate <IntrstRate> contains one of the following elements (see "RateFormat24Choice" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

7.4.10.7.17 NextInterestRate <NxtIntrstRate>

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

NextInterestRate <NxtIntRstRate> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

7.4.10.7.18 PercentageOfDebtClaim <PctgOfDebtClm>

Presence: [0..1]

Definition: Percentage of the underlying assets of a fund that represents a debt, for example, in the context of the EU Savings directive.

PercentageOfDebtClaim <PctgOfDebtClm> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

7.4.10.7.19 PreviousFactor <PrvsFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

PreviousFactor <PrvsFctr> contains one of the following elements (see "[RateFormat12Choice](#)" on page 965 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		965
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		965

7.4.10.7.20 NextFactor <NxtFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will be applicable after the redemption (factor) date.

NextFactor <NxtFctr> contains one of the following elements (see "[RateFormat12Choice](#)" on page 965 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		965
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		965

7.4.10.7.21 WarrantParity <WarrtParity>*Presence:* [0..1]*Definition:* Provides the ratio between the quantity of warrants and the quantity of underlying securities.**WarrantParity <WarrtParity>** contains the following elements (see "[QuantityToQuantityRatio1](#)" on page 865 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		865
	Quantity2 <Qty2>	[1..1]	Quantity		865

7.4.10.7.22 MinimumNominalQuantity <MinNmnlQty>*Presence:* [0..1]*Definition:* Minimum nominal quantity of financial instrument.*Impacted by:* [C20 "DigitalTokenUnitUsageRule"](#)**MinimumNominalQuantity <MinNmnlQty>** contains one of the following elements (see "[FinancialInstrumentQuantity33Choice](#)" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.10.7.23 ContractSize <CtrctSz>*Presence:* [0..1]*Definition:* Ratio or multiplying factor used to convert one contract into a financial instrument quantity.*Impacted by:* [C20 "DigitalTokenUnitUsageRule"](#)**ContractSize <CtrctSz>** contains one of the following elements (see "[FinancialInstrumentQuantity33Choice](#)" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.11 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

AccountDetails <AcctDtls> contains one of the following **AccountIdentification70Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		398
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C69, C70, C71, C72	399
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		401
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		401
	AccountOwner <AcctOwnr>	[0..1]	±		401
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		401
	Balance <Bal>	[0..1]		C8	402
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		403
	BlockedBalance <BlckdBal>	[0..1]	±		404
	BorrowedBalance <BrrwdBal>	[0..1]	±		404
	CollateralInBalance <CollInBal>	[0..1]	±		404
	CollateralOutBalance <CollOutBal>	[0..1]	±		405
	OnLoanBalance <OnLnBal>	[0..1]	±		405
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		405
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		406
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		406
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		406
	StreetPositionBalance <StrtPosBal>	[0..1]	±		407
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		407
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		407
	RegisteredBalance <RegdBal>	[0..1]	±		408
	ObligatedBalance <OblgtdBal>	[0..1]	±		408
	UninstructedBalance <UinstdBal>	[0..1]	±		408
	InstructedBalance <InstdBal>	[0..1]	±		409
	AffectedBalance <AfctdBal>	[0..1]	±		409
	UnaffectedBalance <UafctdBal>	[0..1]	±		409

7.4.11.1 ForAllAccounts <ForAllAccts>*Presence:* [1..1]*Definition:* All safekeeping accounts that own an underlying financial instrument.

ForAllAccounts <ForAllAccts> contains the following elements (see "AccountIdentification10" on page 827 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		827

7.4.11.2 AccountsListAndBalanceDetails <AcctsListAndBalDtls>

Presence: [1..*]

Definition: Selected safekeeping accounts list (and optionally balance information) to which the corporate action event applies.

Impacted by: C69 "SafekeepingAccountOrBlockChainAddress1Rule", C70

"SafekeepingAccountOrBlockChainAddress2Rule", C71

"SafekeepingAccountOrBlockChainAddress3Rule", C72 "SafekeepingPlaceRule"

AccountsListAndBalanceDetails <AcctsListAndBalDtls> contains the following **AccountAndBalance59** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		401
	BlockChainAddressOrWallet <BlckChainAdrOrWllet>	[0..1]	Text		401
	AccountOwner <AcctOwnr>	[0..1]	±		401
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		401
	Balance <Bal>	[0..1]		C8	402
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		403
	BlockedBalance <BlckdBal>	[0..1]	±		404
	BorrowedBalance <BrrwdBal>	[0..1]	±		404
	CollateralInBalance <CollInBal>	[0..1]	±		404
	CollateralOutBalance <CollOutBal>	[0..1]	±		405
	OnLoanBalance <OnLnBal>	[0..1]	±		405
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		405
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		406
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		406
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		406
	StreetPositionBalance <StrtPosBal>	[0..1]	±		407
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		407
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		407
	RegisteredBalance <RegdBal>	[0..1]	±		408
	ObligatedBalance <OblgtdBal>	[0..1]	±		408
	UninstructedBalance <UinstdBal>	[0..1]	±		408
	InstructedBalance <InstdBal>	[0..1]	±		409
	AffectedBalance <AfctdBal>	[0..1]	±		409
	UnaffectedBalance <UafctdBal>	[0..1]	±		409

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition
/BlockChainAddressOrWallet is present
Following Must be True
/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition
/SafekeepingAccount is present
Following Must be True
/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

7.4.11.2.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "Max35Text" on page 1246

7.4.11.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 1244

7.4.11.2.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification127Choice" on page 1032 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1032
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1032

7.4.11.2.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat42Choice" on page 1130 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			1130
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1130
	Identification <Id>	[0..1]	Text		1131
Or	Country <Ctry>	[1..1]	CodeSet	C3	1131
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		1131
Or	TypeAndIdentification <TpAndId>	[1..1]			1131
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1132
	Identification <Id>	[1..1]	IdentifierSet	C1	1132
Or}	Proprietary <Prtry>	[1..1]	±		1132

7.4.11.2.5 Balance <Bal>

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

Impacted by: C8 "AffectedAndUnaffectedBalanceRule"

Balance <Bal> contains the following **CorporateActionBalanceDetails43** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		403
	BlockedBalance <BlckdBal>	[0..1]	±		404
	BorrowedBalance <BrrwdBal>	[0..1]	±		404
	CollateralInBalance <CollInBal>	[0..1]	±		404
	CollateralOutBalance <CollOutBal>	[0..1]	±		405
	OnLoanBalance <OnLnBal>	[0..1]	±		405
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		405
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		406
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		406
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		406
	StreetPositionBalance <StrtPosBal>	[0..1]	±		407
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		407
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		407
	RegisteredBalance <RegdBal>	[0..1]	±		408
	ObligatedBalance <OblgtdBal>	[0..1]	±		408
	UninstructedBalance <UinstdBal>	[0..1]	±		408
	InstructedBalance <InstdBal>	[0..1]	±		409
	AffectedBalance <AfctdBal>	[0..1]	±		409
	UnaffectedBalance <UafctdBal>	[0..1]	±		409

Constraints

- **AffectedAndUnaffectedBalanceRule**

UnaffectedBalance and AffectedBalance should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

7.4.11.2.5.1 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

TotalEligibleBalance <TtlElgblBal> contains the following elements (see "TotalEligibleBalanceFormat10" on page 832 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[0..1]	±		832
	FullPeriodUnits <FullPrdUnits>	[0..1]	±		832
	PartWayPeriodUnits <PartWayPrdUnits>	[0..1]	±		833

7.4.11.2.5.2 BlockedBalance <BlckdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

BlockedBalance <BlckdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

7.4.11.2.5.3 BorrowedBalance <BrrwdBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been borrowed from another party.

BorrowedBalance <BrrwdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

7.4.11.2.5.4 CollateralInBalance <CollnBal>

Presence: [0..1]

Definition: Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CollateralInBalance <CollInBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

7.4.11.2.5.5 CollateralOutBalance <CollOutBal>

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

CollateralOutBalance <CollOutBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

7.4.11.2.5.6 OnLoanBalance <OnLnBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

OnLoanBalance <OnLnBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

7.4.11.2.5.7 PendingDeliveryBalance <PdgDlvryBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

PendingDeliveryBalance <PdgDlvryBal> contains one of the following elements (see "BalanceFormat12Choice" on page 829 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		829
Or	EligibleBalance <ElgblBal>	[1..1]	±		829
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		830
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		830
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		830

7.4.11.2.5.8 PendingReceiptBalance <PdgRctBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

PendingReceiptBalance <PdgRctBal> contains one of the following elements (see "BalanceFormat12Choice" on page 829 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		829
Or	EligibleBalance <ElgblBal>	[1..1]	±		829
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		830
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		830
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		830

7.4.11.2.5.9 OutForRegistrationBalance <OutForRegnBal>

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

OutForRegistrationBalance <OutForRegnBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

7.4.11.2.5.10 SettlementPositionBalance <SttlmPosBal>

Presence: [0..*]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

SettlementPositionBalance <SttlmPosBal> contains one of the following elements (see "BalanceFormat12Choice" on page 829 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		829
Or	EligibleBalance <ElgblBal>	[1..1]	±		829
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		830
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		830
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		830

7.4.11.2.5.11 StreetPositionBalance <StrtPosBal>

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

StreetPositionBalance <StrtPosBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

7.4.11.2.5.12 TradeDatePositionBalance <TradDtPosBal>

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

TradeDatePositionBalance <TradDtPosBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

7.4.11.2.5.13 InTransshipmentBalance <InTrnsShipmntBal>

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

InTransshipmentBalance <InTrnsShipmntBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

7.4.11.2.5.14 RegisteredBalance <RegdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

RegisteredBalance <RegdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

7.4.11.2.5.15 ObligatedBalance <OblgtdBal>

Presence: [0..1]

Definition: Position that account holders should return to the account servicer to participate in the event or to fulfil their obligation for the event to be complete, for example, return of securities for late announced drawing.

ObligatedBalance <OblgtdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

7.4.11.2.5.16 UninstructedBalance <UinstdBal>

Presence: [0..1]

Definition: Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

7.4.11.2.5.17 InstructedBalance <InstdBal>

Presence: [0..1]

Definition: Balance of instructed position.

InstructedBalance <InstdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

7.4.11.2.5.18 AffectedBalance <AfctdBal>

Presence: [0..1]

Definition: Balance that has been affected by the process run through the event.

AffectedBalance <AfctdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

7.4.11.2.5.19 UnaffectedBalance <UafctdBal>

Presence: [0..1]

Definition: Balance that has not been affected by the process run through the event.

UnaffectedBalance <UafctdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

7.4.12 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction69** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			410
	RecordDate <RcrdDt>	[0..1]	±		411
	ExDividendDate <ExDvddDt>	[0..1]	±		411
	LotteryDate <LtryDt>	[0..1]	±		411
	SecuritiesQuantity <SctiesQty>	[0..1]			412
	BaseDenomination <BaseDnmtn>	[0..1]	±	C21	412
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C21	412
	EventStage <EvtStag>	[0..1]	±		413
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		413
	IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp>	[0..1]	±		413
	LotteryType <LtryTp>	[0..1]	±		414

7.4.12.1 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

DateDetails <DtDtls> contains the following **CorporateActionDate85** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		411
	ExDividendDate <ExDvddDt>	[0..1]	±		411
	LotteryDate <LtryDt>	[0..1]	±		411

7.4.12.1.1 RecordDate <RcrdDt>*Presence:* [0..1]*Definition:* Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.**RecordDate <RcrdDt>** contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

7.4.12.1.2 ExDividendDate <ExDvddDt>*Presence:* [0..1]*Definition:* Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.**ExDividendDate <ExDvddDt>** contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

7.4.12.1.3 LotteryDate <LtryDt>*Presence:* [0..1]*Definition:* Date/time on which the lottery is run and applied to the holder's positions. This is also applicable to partial calls.**LotteryDate <LtryDt>** contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

7.4.12.2 SecuritiesQuantity <SctiesQty>*Presence:* [0..1]*Definition:* Provides information about securities quantity linked to a corporate action.**SecuritiesQuantity <SctiesQty>** contains the following **CorporateActionQuantity12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BaseDenomination <BaseDnmtn>	[0..1]	±	C21	412
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C21	412

7.4.12.2.1 BaseDenomination <BaseDnmtn>*Presence:* [0..1]*Definition:* Minimum integral amount of securities that each account owner must have remaining after the called amounts are applied.*Impacted by:* C21 "DigitalTokenUnitUsageRule"**BaseDenomination <BaseDnmtn>** contains one of the following elements (see "FinancialInstrumentQuantity35Choice" on page 1093 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1094
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1094
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1094
Or	Code <Cd>	[1..1]	CodeSet		1094
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1094

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.12.2.2 IncrementalDenomination <IncrmtlDnmtn>*Presence:* [0..1]*Definition:* Amount used when the called amount is not met by running the lottery with the base denomination.*Impacted by:* C21 "DigitalTokenUnitUsageRule"

IncrementalDenomination <IncrmtlDnmtn> contains one of the following elements (see "FinancialInstrumentQuantity35Choice" on page 1093 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1094
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1094
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1094
Or	Code <Cd>	[1..1]	CodeSet		1094
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1094

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.12.3 EventStage <EvtStag>

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat14Choice" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		962
Or}	Proprietary <Prtry>	[1..1]	±		963

7.4.12.4 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>

Presence: [0..*]

Definition: Indicates whether the message is related to a claim on the associated corporate action event.

AdditionalBusinessProcessIndicator <AddtlBizPrclnd> contains one of the following elements (see "AdditionalBusinessProcessFormat18Choice" on page 975 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		975
Or}	Proprietary <Prtry>	[1..1]	±		976

7.4.12.5 IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>

Presence: [0..1]

Definition: Specifies the type of intermediates securities distribution.

IntermediateSecuritiesDistributionType <IntrmdtScitiesDstrbtnTp> contains one of the following elements (see ["IntermediateSecuritiesDistributionTypeFormat15Choice"](#) on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		974
Or}	Proprietary <Prtry>	[1..1]	±		975

7.4.12.6 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following elements (see ["LotteryTypeFormat4Choice"](#) on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		973
Or}	Proprietary <Prtry>	[1..1]	±		973

7.4.13 CorporateActionMovementDetails <CorpActnMvmntDtls>

Presence: [0..*]

Definition: Information about the corporate action option.

Impacted by: [C1 "AcceptancePriorityLevelUGRule"](#), [C7 "AdditionalTextRule"](#), [C11 "AppliedOptionRule"](#), [C23 "DissenterRights1Rule"](#), [C24 "DissenterRights1UGRule"](#), [C25 "DissenterRights2Rule"](#), [C26 "DissenterRights2UGRule"](#), [C43 "InstructCashAmountRule"](#), [C66 "RevocabilityPeriodRule"](#), [C56 "OptionNumberGuideline"](#)

CorporateActionMovementDetails <CorpActnMvmntDtls> contains the following
CorporateActionOption232 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	Text		425
	OptionType <OptnTp>	[1..1]	±		425
	FractionDisposition <FrctnDspstn>	[0..1]	±		425
	OfferType <OfferTp>	[0..*]	±		426
	OptionFeatures <OptnFeatrs>	[0..*]			426
{Or	Code <Cd>	[1..1]	CodeSet		426
Or}	Proprietary <Prtry>	[1..1]	±		427
	OptionAvailabilityStatus <OptnAvlbtYSts>	[0..1]	±		428
	CertificationBreakdownType <CertfctnBrkdwnTp>	[0..*]	±		428
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C14	428
	ValidDomicileCountry <VldDmclCtry>	[0..*]	CodeSet	C14	428
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C2	429
	DefaultProcessingOrStandingInstruction <DfltPrccgOrStglInstr>	[1..1]	±		429
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		429
	AcceptancePriorityLevel <AccptncPrtyLvl>	[0..1]	Text		429
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		430
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[0..1]	Indicator		430
	ChangeAllowedIndicator <ChngAllwdInd>	[0..1]	Indicator		430
	AppliedOptionIndicator <ApldOptnInd>	[0..1]	Indicator		430
	SecurityIdentification <SctyId>	[0..1]	±	C18, C19, C45, C46, C58	430
	DateDetails <DtDtls>	[0..1]			431
	EarlyResponseDeadline <EarlyRspnDdl>	[0..1]	±		432
	CoverExpirationDeadline <CoverXprtnDdl>	[0..1]	±		432
	ProtectDeadline <PrctctDdl>	[0..1]	±		433
	MarketDeadline <MktDdl>	[0..1]	±		433
	ResponseDeadline <RspnDdl>	[0..1]	±		433
	ExpiryDate <XpryDt>	[0..1]	±		434
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		434

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		435
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		435
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			435
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		435
	Borrower <Brrwr>	[1..1]	±		436
	DocumentationDeadline <DcmnttnDdln>	[0..1]	±		436
	PeriodDetails <PrdDtls>	[0..1]			436
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		437
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		437
	ActionPeriod <ActnPrd>	[0..1]	±		437
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		438
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		438
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		438
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		438
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C32, C34, C51, C53, C73, C75, C77, C81, C83	439
	AdditionalTax <AddtlTax>	[0..1]	±		440
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		441
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		441
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		442
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	±		443
	ProrationRate <PrratnRate>	[0..1]	±		443
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		444
	SecondLevelTax <ScndLvITax>	[0..*]	±		444
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		444
	TaxOnIncome <TaxOnIncm>	[0..1]	±		445

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceDetails <PricDtls>	[0..1]			445
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		446
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		446
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		446
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		447
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		447
	SecuritiesQuantity <SctiesQty>	[0..1]			447
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±	C22	448
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C22	448
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C21	448
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C21	449
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C21	449
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C21	450
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±	C21	450
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C28, C39, C41, C47	451
	SecurityDetails <SctyDtls>	[1..1]		C50, C62	454
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C18, C19, C45, C46, C58	456
	PlaceOfListing <PlcOfListg>	[0..1]	±		457
	DayCountBasis <DayCntBsis>	[0..1]	±		457
	ClassificationType <ClssfctnTp>	[0..1]	±		457
	OptionStyle <OptnStyle>	[0..1]	±		458
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C3	458
	NextCouponDate <NxtCpnDt>	[0..1]	Date		458
	FloatingRateFixingDate <FltgRateFxdt>	[0..1]	Date		458
	MaturityDate <MtrtyDt>	[0..1]	Date		458
	IssueDate <IssDt>	[0..1]	Date		458
	NextCallableDate <NxtCllblDt>	[0..1]	Date		459

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PutableDate <PutblDt>	[0..1]	Date		459
	DatedDate <DtdDt>	[0..1]	Date		459
	ConversionDate <ConvstDt>	[0..1]	Date		459
	PreviousFactor <PrvsFctr>	[0..1]	±		459
	NextFactor <NxtFctr>	[0..1]	±		459
	InterestRate <IntrstRate>	[0..1]	±		460
	NextInterestRate <NxtIntrstRate>	[0..1]	±		460
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C20	460
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C20	461
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C20	461
	ContractSize <CtrctSz>	[0..1]	±	C20	462
	IssuePrice <IssePric>	[0..1]	±		462
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		463
	TemporaryFinancialInstrumentIndicator <TempFinInstrmlInd>	[0..1]	±		463
	NonEligibleProceedsIndicator <NonElgblPrctdsInd>	[0..1]			463
{Or	Code <Cd>	[1..1]	CodeSet		464
Or}	Proprietary <Prtry>	[1..1]	±		464
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]			464
{Or	Code <Cd>	[1..1]	CodeSet		464
Or}	Proprietary <Prtry>	[1..1]	±		465
	NewSecuritiesIssuanceIndicator <NewSctiesIssnclInd>	[0..1]	CodeSet		465
	IncomeType <IncmTp>	[0..1]	±		465
	OtherIncomeType <OthrIncmTp>	[0..*]	±		466
	ExemptionType <XmptnTp>	[0..*]	±		466
	EntitledQuantity <EntitldQty>	[0..1]	±		466
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		467
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C14	467
	FractionDisposition <FrctnDspstn>	[0..1]	±		467
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C2	468
	TradingPeriod <TradgPrd>	[0..1]	±		468

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[1..1]			468
	PaymentDate <PmtDt>	[1..1]	±		469
	AvailableDate <AvlblDt>	[0..1]	±		469
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		469
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		470
	PariPassuDate <PrpssDt>	[0..1]	±		470
	LastTradingDate <LastTradgDt>	[0..1]	±		470
	RateDetails <RateDtls>	[0..1]			471
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbdRsIntScies>	[0..1]	±		471
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		472
	NewToOld <NewToOd>	[0..1]	±		472
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		473
	ChargesFees <ChrgsFees>	[0..1]	±		473
	FiscalStamp <FscIStmp>	[0..1]	±		473
	ApplicableRate <AplblRate>	[0..1]	±		474
	TaxCreditRate <TaxCdtRate>	[0..1]	±		474
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		474
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		474
	SecondLevelTax <ScndLvlTax>	[0..*]	±		475
	PriceDetails <PricDtls>	[0..1]		C30	475
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			476
{Or	IndicativePrice <IndctvPric>	[1..1]	±		476
Or}	MarketPrice <MktPric>	[1..1]	±		477
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		477
	CashValueForTax <CshValForTax>	[0..1]	±		477
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		478
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		478
	AmountDetails <AmtDtls>	[0..1]			479
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C2, C15	479
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C2, C15	480

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashMovementDetails <CshMvmntDtls>	[0..*]		C29, C40, C42, C48	480
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		484
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		484
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]	±		485
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]			485
{Or	Code <Cd>	[1..1]	CodeSet		485
Or}	Proprietary <Prtry>	[1..1]	±		485
	IncomeType <IncmTp>	[0..1]	±		486
	OtherIncomeType <OthrIncmTp>	[0..*]	±		486
	ExemptionType <XmptnTp>	[0..*]	±		486
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C14	487
	CashAccountIdentification <CshAcctId>	[0..1]	±		487
	AmountDetails <AmtDtls>	[0..1]			487
	GrossAmount <GrssAmt>	[0..1]	Amount	C2, C15	489
	NetAmount <NetAmt>	[0..1]	Amount	C2, C15	490
	SolicitationFees <SlctnFees>	[0..1]	Amount	C2, C15	490
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C2, C15	490
	CapitalGain <CptlGn>	[0..1]	Amount	C2, C15	491
	InterestAmount <IntrstAmt>	[0..1]	Amount	C2, C15	491
	MarketClaimAmount <MktClnAmt>	[0..1]	Amount	C2, C15	492
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C2, C15	492
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C2, C15	492
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C2, C15	493
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C2, C15	493
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C2, C15	494
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C2, C15	494
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C2, C15	494
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C2, C15	495
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C2, C15	495

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C2, C15	496
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C2, C15	496
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C2, C15	496
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C2, C15	497
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C2, C15	497
	SecondLevelTaxAmount <ScndLvITaxAmt>	[0..1]	Amount	C2, C15	498
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C2, C15	498
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C2, C15	498
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C2, C15	499
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C2, C15	499
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C2, C15	500
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C2, C15	500
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C2, C15	500
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C2, C15	501
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C2, C15	501
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C2, C15	502
	IncomePortion <IncmPrtn>	[0..1]	Amount	C2, C15	502
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C2, C15	502
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C2, C15	503
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C2, C15	503
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C2, C15	504
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C2, C15	504
	TransactionTax <TxTax>	[0..1]	Amount	C2, C15	504
	DeemedAmount <DmdAmt>	[0..1]	Amount	C2, C15	505
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C2, C15	505
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C2, C15	506
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C2, C15	506
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C2, C15	506
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C2, C15	507
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C2, C15	507

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[1..1]			508
	PaymentDate <PmtDt>	[1..1]	±		508
	ValueDate <ValDt>	[0..1]	±		508
	ForeignExchangeRateFixingDate <FXRateFgxDt>	[0..1]	±		509
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		509
	ForeignExchangeDetails <FXDtls>	[0..1]	±		509
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C16, C17, C33, C35, C52, C54, C74, C76, C78, C82, C84	510
	AdditionalTax <AddtlTax>	[0..1]	±		513
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		513
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		513
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		514
	SecondLevelTax <ScndLvlTax>	[0..*]	±		514
	ChargesFees <ChrgsFees>	[0..1]	±		515
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		515
	FiscalStamp <FscIStmp>	[0..1]	±		516
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		516
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		516
	ApplicableRate <AplblRate>	[0..1]	±		517
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		517
	TaxCreditRate <TaxCdtRate>	[0..1]	±		518
	TaxOnIncome <TaxOnIncm>	[0..1]	±		518
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		518
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		519
	EqualisationRate <EqulstnRate>	[0..1]	±		519
	DeemedRate <DmdRate>	[0..*]	±		519
	PriceDetails <PricDtls>	[0..1]		C31	520

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		521
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		521
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		522
	AdditionalInformation <AddtlInf>	[0..1]		C4	522
	AdditionalText <AddtlTxt>	[0..1]			523
	AdditionalInformation <AddtlInf>	[1..1]	Text		523
	NarrativeVersion <NrtrvVrsn>	[0..1]			524
	AdditionalInformation <AddtlInf>	[1..1]	Text		524
	InformationConditions <InfConds>	[0..1]			524
	AdditionalInformation <AddtlInf>	[1..*]	Text		524
	InformationToComplyWith <InfToCmplyWth>	[0..1]			524
	AdditionalInformation <AddtlInf>	[1..*]	Text		525
	SecurityRestriction <SctyRstrctn>	[0..1]			525
	AdditionalInformation <AddtlInf>	[1..*]	Text		525
	TaxationConditions <TaxtnConds>	[0..1]			525
	AdditionalInformation <AddtlInf>	[1..*]	Text		525
	Disclaimer <Dsclmr>	[0..1]			525
	AdditionalInformation <AddtlInf>	[1..*]	Text		526
	CertificationBreakdown <CertfctnBrkdwn>	[0..1]			526
	AdditionalInformation <AddtlInf>	[1..*]	Text		526

Constraints

- **AcceptancePriorityLevelUGRule**

If OfferType/Code is equal to AcceptancePriority (ACPR), then AcceptancePriorityLevel must be present.

```

if
    at least one occurrence of [OfferType/Code] has value included in the
    following list 'ACPR'
, then
    at least one occurrence of the following element(s) [AcceptancePriorityLevel]
    must be present

```

- **AdditionalTextRule**

If OptionType/Code is OTHR (Other), then AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

```

On Condition
  /OptionType is present
And    /OptionType/Code is equal to value 'Other'
Following Must be True
  /AdditionalInformation Must be present
And    /AdditionalInformation/AdditionalText Must be present
And    /AdditionalInformation/AdditionalText/AdditionalInformation Must be
present

```

- **AppliedOptionRule**

If DefaultProcessingOrStandingInstruction/DefaultOptionIndicator is present and its value is '1' or 'true' (Yes), then AppliedOptionIndicator value must not be '1' or 'true' (Yes).

- **DissenterRights1Rule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```

On Condition
  /OfferType[*]/Code is equal to value 'DissenterRights'
Following Must be True
  /OfferType[*]/Code Must be different from value 'DissenterRightsNotApplicable'

```

- **DissenterRights1UGRule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```

if
  at least one occurrence of [OfferType/Code] has value included in the
  following list 'DISS'
, then
  every occurrence of [OfferType/Code] must have value not included in the
  following list 'NDIS'

```

- **DissenterRights2Rule**

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```

On Condition
  /OfferType[*]/Code is equal to value 'DissenterRightsNotApplicable'
Following Must be True
  /OfferType[*]/Code Must be different from value 'DissenterRights'

```

- **DissenterRights2UGRule**

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```

if
  at least one occurrence of [OfferType/Code] has value included in the
  following list 'NDIS'
, then
  every occurrence of [OfferType/Code] must have value not included in the
  following list 'DISS'

```


- **InstructCashAmountRule**

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMultipleCashToInstruct) must not be present.

(MT564 NVR C20).

```
On Condition
  /OptionFeatures[*]/Code is different from value 'InstructCashAmount'
Following Must be True
  /PriceDetails/MaximumCashToInstruct Must be absent
And    /PriceDetails/MinimumCashToInstruct Must be absent
And    /PriceDetails/MinimumMultipleCashToInstruct Must be absent
```

- **OptionNumberGuideline**

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

- **RevocabilityPeriodRule**

If WithdrawalAllowedIndicator or ChangeAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the change or withdrawal of instruction is allowed.

7.4.13.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "Exact3NumericText" on page 1243

7.4.13.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "CorporateActionOption37Choice" on page 940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		940
Or}	Proprietary <Prtry>	[1..1]	±		941

7.4.13.3 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "FractionDispositionType26Choice" on page 893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		893
Or}	Proprietary <Prtry>	[1..1]	±		894

7.4.13.4 OfferType <OfferTp>

Presence: [0..*]

Definition: Specifies the conditions that apply to the offer.

OfferType <OfferTp> contains one of the following elements (see "OfferTypeFormat14Choice" on page 992 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		992
Or}	Proprietary <Prtry>	[1..1]	±		992

7.4.13.5 OptionFeatures <OptnFeatrs>

Presence: [0..*]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following **OptionFeaturesFormat28Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		426
Or}	Proprietary <Prtry>	[1..1]	±		427

7.4.13.5.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the features that may apply to a corporate action option.

Datatype: "OptionFeatures13Code" on page 1222

CodeName	Name	Definition
ASVO	AccountServicerOption	Option is offered by the account servicer only.
BOIS	BeneficiaryOwnerInstruction	Indicates that the holder needs to instruct at beneficiary owner level only.
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a

CodeName	Name	Definition
		combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
NOSE	NoServiceOffered	Feature whereby the holder must elect directly to the issuer's agent (issuer only supported option).
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
CAOS	OptionApplicability	Option applicability is not subject to the account owner decision but depends on the terms defined by the issuer, for example in the case of equity linked notes or warrants.
PINS	PreviousInstructionInvalidity	Indicates the previously sent instructions becomes invalid. This is only applicable after a market deadline extension.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.
QCAS	InstructCashAmount	Feature whereby the holder should only instruct a cash amount.
SHAR	ShareholderNumber	Indicates usage of a reference number to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.

7.4.13.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the features that may apply to a corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

7.4.13.6 OptionAvailabilityStatus <OptnAvlbtYsts>*Presence:* [0..1]*Definition:* Specifies the status of the option.**OptionAvailabilityStatus <OptnAvlbtYsts>** contains one of the following elements (see "[OptionAvailabilityStatus3Choice](#)" on page 885 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		885
Or}	Proprietary <Prtry>	[1..1]	±		885

7.4.13.7 CertificationBreakdownType <CertfctnBrkdwnTp>*Presence:* [0..*]*Definition:* Type of certification/breakdown.**CertificationBreakdownType <CertfctnBrkdwnTp>** contains one of the following elements (see "[BeneficiaryCertificationType13Choice](#)" on page 993 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		993
Or}	Proprietary <Prtry>	[1..1]	±		994

7.4.13.8 NonDomicileCountry <NonDmclCtry>*Presence:* [0..*]*Definition:* Holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.*Impacted by:* [C14 "Country"](#)*Datatype:* ["CountryCode"](#) on page 1203**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.13.9 ValidDomicileCountry <VldDmclCtry>*Presence:* [0..*]*Definition:* Country of domicile in which the Corporate Action option is valid. The holder of the security has to certify that it is domiciled in the country indicated.*Impacted by:* [C14 "Country"](#)*Datatype:* ["CountryCode"](#) on page 1203

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.13.10 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited or debited.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1155

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.11 DefaultProcessingOrStandingInstruction <DfltPrcgOrStgInstr>

Presence: [1..1]

Definition: Indicates whether the corporate action movement is a default processing or a standing instruction.

DefaultProcessingOrStandingInstruction <DfltPrcgOrStgInstr> contains one of the following elements (see "DefaultProcessingOrStandingInstruction2Choice" on page 991 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DefaultOptionIndicator <DfltOptnInd>	[1..1]	Indicator		991
Or}	StandingInstructionIndicator <StgInstrInd>	[1..1]	Indicator		991

7.4.13.12 ChargesAppliedIndicator <ChrgsAppldInd>

Presence: [0..1]

Definition: Indicates whether redemption charges apply.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.13 AcceptancePriorityLevel <AccptncPrtyLvl>

Presence: [0..1]

Definition: Level of priority of the given offer which will be accepted by the issuer/agent within the tiered structure of the event.

Offer events in many cases are subject to proration.

Datatype: "Exact3UpperCaseAlphaNumericText" on page 1243

7.4.13.14 CertificationBreakdownIndicator <CertfctnBrkdwnInd>

Presence: [0..1]

Definition: Indicates whether certification/breakdown is required from the account owner.

Yes: certification required

No: no certification required.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.15 WithdrawalAllowedIndicator <WdrwlAllwdInd>

Presence: [0..1]

Definition: Indicates whether withdrawal of instruction is allowed.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.16 ChangeAllowedIndicator <ChngAllwdInd>

Presence: [0..1]

Definition: Indicates whether change of instruction is allowed.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.17 AppliedOptionIndicator <ApldOptnInd>

Presence: [0..1]

Definition: Indicates whether the option, different from the default one, shall be applied by the account owner.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.18 SecurityIdentification <Sctyld>

Presence: [0..1]

Definition: Identifies the financial instrument.

Impacted by: C18 "DescriptionPresenceRule", C19 "DescriptionUsageRule", C45 "ISINGuideline", C46 "ISINPresenceRule", C58 "OtherIdentificationPresenceRule"

SecurityIdentification <Sctyld> contains the following elements (see "SecurityIdentification19" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		864
	OtherIdentification <Othrlid>	[0..*]			864
	Identification <Id>	[1..1]	Text		864
	Suffix <Sfx>	[0..1]	Text		864
	Type <Tp>	[1..1]	±		865
	Description <Desc>	[0..1]	Text		865

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

7.4.13.19 DateDetails <DtDtIs>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action option.

DateDetails <DtDtls> contains the following **CorporateActionDate106** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		432
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		432
	ProtectDeadline <PrcttDdln>	[0..1]	±		433
	MarketDeadline <MktDdln>	[0..1]	±		433
	ResponseDeadline <RspnDdln>	[0..1]	±		433
	ExpiryDate <XpryDt>	[0..1]	±		434
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		434
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		435
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		435
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			435
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		435
	Borrower <Brrwr>	[1..1]	±		436
	DocumentationDeadline <DcmnttnDdln>	[0..1]	±		436

7.4.13.19.1 EarlyResponseDeadline <EarlyRspnDdln>

Presence: [0..1]

Definition: Date/time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives.

EarlyResponseDeadline <EarlyRspnDdln> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

7.4.13.19.2 CoverExpirationDeadline <CoverXprtnDdln>

Presence: [0..1]

Definition: Last day a holder can deliver the securities that it had elected on and/or previously protected.

CoverExpirationDeadline <CoverXprtnDdln> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

7.4.13.19.3 ProtectDeadline <PrctctDdln>

Presence: [0..1]

Definition: Last date/time a holder can request to defer delivery of securities pursuant to a notice of guaranteed delivery or other required documentation.

ProtectDeadline <PrctctDdln> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

7.4.13.19.4 MarketDeadline <MktDdln>

Presence: [0..1]

Definition: Issuer or issuer's agent deadline to respond with an instruction to an outstanding offer or privilege.

MarketDeadline <MktDdln> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

7.4.13.19.5 ResponseDeadline <RspnDdln>

Presence: [0..1]

Definition: Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event.

ResponseDeadline <RspnDdln> contains one of the following elements (see "DateFormat44Choice" on page 845 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		846
Or	DateCodeAndTime <DtCdAndTm>	[1..1]			846
	DateCode <DtCd>	[1..1]			846
{Or	Code <Cd>	[1..1]	CodeSet		847
Or}	Proprietary <Prtry>	[1..1]	±		847
	Time <Tm>	[1..1]	Time		847
Or}	DateCode <DtCd>	[1..1]			847
{Or	Code <Cd>	[1..1]	CodeSet		848
Or}	Proprietary <Prtry>	[1..1]	±		848

7.4.13.19.6 ExpiryDate <XpryDt>

Presence: [0..1]

Definition: Date/time at which an order expires or on which a privilege or offer terminates.

ExpiryDate <XpryDt> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

7.4.13.19.7 SubscriptionCostDebitDate <SbcptCostDbtDt>

Presence: [0..1]

Definition: Date/time by which cash must be in place in order to take part in the event.

SubscriptionCostDebitDate <SbcptCostDbtDt> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

7.4.13.19.8 DepositoryCoverExpirationDate <DpstryCoverXprtnDt>*Presence:* [0..1]*Definition:* Last day that a participant of the depository can deliver securities that it had elected on and/or previously protected.**DepositoryCoverExpirationDate <DpstryCoverXprtnDt>** contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

7.4.13.19.9 StockLendingDeadline <StockLndgDdln>*Presence:* [0..1]*Definition:* Date/time set as the deadline to respond, with instructions, to an outstanding event, for which the underlying security is out on loan.**StockLendingDeadline <StockLndgDdln>** contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

7.4.13.19.10 BorrowerStockLendingDeadline <BrrwrStockLndgDdln>*Presence:* [0..*]*Definition:* Specifies the party borrowing stocks and the associated stock lending deadline assigned to the borrower.**BorrowerStockLendingDeadline <BrrwrStockLndgDdln>** contains the following **BorrowerLendingDeadline5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		435
	Borrower <Brrwr>	[1..1]	±		436

7.4.13.19.10.1 StockLendingDeadline <StockLndgDdln>*Presence:* [1..1]

Definition: Date/time set as the deadline to respond, with instructions, to an outstanding event for which the underlying security is out on loan.

StockLendingDeadline <StockLndgDdlIn> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

7.4.13.19.10.2 Borrower <Brrwr>

Presence: [1..1]

Definition: Party who has borrowed stocks on loan.

Borrower <Brrwr> contains one of the following elements (see "PartyIdentification127Choice" on page 1032 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1032
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1032

7.4.13.19.11 DocumentationDeadline <DcmnttnDdlIn>

Presence: [0..1]

Definition: Date/Time by when the documentation must be provided.

DocumentationDeadline <DcmnttnDdlIn> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

7.4.13.20 PeriodDetails <PrdDtIs>

Presence: [0..1]

Definition: Provides information about the periods related to a corporate action option.

PeriodDetails <PrdDtls> contains the following **CorporateActionPeriod12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		437
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		437
	ActionPeriod <ActnPrd>	[0..1]	±		437
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		438
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		438
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		438
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		438

7.4.13.20.1 PriceCalculationPeriod <PricClctnPrd>

Presence: [0..1]

Definition: Period during which the price of a security is determined.

PriceCalculationPeriod <PricClctnPrd> contains one of the following elements (see ["Period6Choice"](#) on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

7.4.13.20.2 ParallelTradingPeriod <ParllTradgPrd>

Presence: [0..1]

Definition: Period during which both old and new equity may be traded simultaneously, for example, consolidation of equity or splitting of equity.

ParallelTradingPeriod <ParllTradgPrd> contains one of the following elements (see ["Period6Choice"](#) on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

7.4.13.20.3 ActionPeriod <ActnPrd>

Presence: [0..1]

Definition: Period during which the specified option, or all options of the event, remains valid, for example, offer period.

ActionPeriod <ActnPrd> contains one of the following elements (see "Period6Choice" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

7.4.13.20.4 RevocabilityPeriod <RvcbltyPrd>

Presence: [0..1]

Definition: Period during which the shareholder can revoke, change or withdraw its instruction.

RevocabilityPeriod <RvcbltyPrd> contains one of the following elements (see "Period6Choice" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

7.4.13.20.5 PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>

Presence: [0..1]

Definition: Period during which the privilege is not available, for example, this can happen whenever a meeting takes place or whenever a coupon payment is due.

PrivilegeSuspensionPeriod <PrvlgSspnsnPrd> contains one of the following elements (see "Period6Choice" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

7.4.13.20.6 AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>

Presence: [0..1]

Definition: Period during which the participant of the account servicer can revoke change or withdraw its instructions.

AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd> contains one of the following elements (see "Period6Choice" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

7.4.13.20.7 DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>

Presence: [0..1]

Definition: Period defining the last date on which withdrawal in street name requests on the outturn security will be accepted and the date on which the suspension will be released and withdrawal by transfer processing on the outturn security will resume.

DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl> contains one of the following elements (see "Period6Choice" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

7.4.13.21 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action option.

Impacted by: C32 "GrossDistributionRate2Rule", C34 "GrossDistributionRate3Rule", C51 "NetDistributionRate1Rule", C53 "NetDistributionRate2Rule", C73 "SecondLevelTax1Rule", C75 "SecondLevelTax2Rule", C77 "SecondLevelTax3Rule", C81 "WithholdingTaxRate1Rule", C83 "WithholdingTaxRate2Rule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate119** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]	±		440
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		441
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		441
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		442
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	±		443
	ProrationRate <PrratnRate>	[0..1]	±		443
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		444
	SecondLevelTax <ScndLvITax>	[0..*]	±		444
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		444
	TaxOnIncome <TaxOnIncm>	[0..1]	±		445

Constraints

- **GrossDistributionRate2Rule**

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate.

(MT 564 NVR C8).

- **GrossDistributionRate3Rule**

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus or GrossDistributionRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

- **NetDistributionRate1Rule**

If NetDistributionRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

- **NetDistributionRate2Rule**

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present.

```
On Condition
    /SecondLevelTax[*] is present
Following Must be True
    /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

7.4.13.21.1 AdditionalTax <AddtlTax>

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

AdditionalTax <AddtlTax> contains one of the following elements (see "RateAndAmountFormat57Choice" on page 1123 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1123
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1123
Or}	Amount <Amt>	[1..1]	Amount	C2	1123

7.4.13.21.2 GrossDistributionRate <GrssDstrbtnRate>

Presence: [0..*]

Definition: Distribution rate before tax has been deducted.

GrossDistributionRate <GrssDstrbtnRate> contains one of the following elements (see "GrossDividendRateFormat36Choice" on page 943 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	943
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			944
	Amount <Amt>	[1..1]	Amount	C2	944
	RateStatus <RateSts>	[1..1]	CodeSet		944
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			944
	RateType <RateTp>	[1..1]			945
{Or	Code <Cd>	[1..1]	CodeSet		945
Or}	Proprietary <Prtry>	[1..1]	±		946
	Amount <Amt>	[1..1]	Amount	C2	946
	RateStatus <RateSts>	[0..1]		C52	946
{Or	Code <Cd>	[1..1]	CodeSet		947
Or}	Proprietary <Prtry>	[1..1]	±		947
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		947

7.4.13.21.3 NetDistributionRate <NetDstrbtnRate>

Presence: [0..*]

Definition: Distribution rate after tax has been deducted.

NetDistributionRate <NetDstrbtnRate> contains one of the following elements (see "NetDividendRateFormat38Choice" on page 920 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	921
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			921
	Amount <Amt>	[1..1]	Amount	C2	921
	RateStatus <RateSts>	[1..1]	CodeSet		921
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			922
	RateType <RateTp>	[1..1]			922
{Or	Code <Cd>	[1..1]	CodeSet		922
Or}	Proprietary <Prtry>	[1..1]	±		923
	Amount <Amt>	[1..1]	Amount	C2	923
	RateStatus <RateSts>	[0..1]		C52	924
{Or	Code <Cd>	[1..1]	CodeSet		924
Or}	Proprietary <Prtry>	[1..1]	±		924
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		924

7.4.13.21.4 GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>

Presence: [0..*]

Definition: The actual interest rate before tax used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat11Choice" on page 1022 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1023
Or	Amount <Amt>	[1..1]	Amount	C2	1023
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1023
	RateType <RateTp>	[1..1]			1024
{Or	Code <Cd>	[1..1]	CodeSet		1024
Or}	Proprietary <Prtry>	[1..1]	±		1024
	Amount <Amt>	[1..1]	Amount	C2	1025
	RateStatus <RateSts>	[0..1]		C52	1025
{Or	Code <Cd>	[1..1]	CodeSet		1025
Or}	Proprietary <Prtry>	[1..1]	±		1026
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1026

7.4.13.21.5 MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>

Presence: [0..1]

Definition: Maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, for example, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50 percent.

MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate> contains one of the following elements (see "RateFormat24Choice" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

7.4.13.21.6 ProrationRate <PrratnRate>

Presence: [0..1]

Definition: Percentage of securities accepted by the offeror/issuer.

ProrationRate <PrratnRate> contains one of the following elements (see "RateFormat24Choice" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

7.4.13.21.7 WithholdingTaxRate <WhldgTaxRate>*Presence:* [0..*]*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.**WithholdingTaxRate <WhldgTaxRate>** contains one of the following elements (see "RateAndAmountFormat56Choice" on page 880 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		880
Or	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		880
Or	Amount <Amt>	[1..1]	Amount	C2	880
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			881
	RateType <RateTp>	[1..1]			881
{Or	Code <Cd>	[1..1]	CodeSet		881
Or}	Proprietary <Prtry>	[1..1]	±		882
	Rate <Rate>	[1..1]	Rate		882

7.4.13.21.8 SecondLevelTax <ScndLvITax>*Presence:* [0..*]*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.**SecondLevelTax <ScndLvITax>** contains one of the following elements (see "RateAndAmountFormat56Choice" on page 880 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		880
Or	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		880
Or	Amount <Amt>	[1..1]	Amount	C2	880
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			881
	RateType <RateTp>	[1..1]			881
{Or	Code <Cd>	[1..1]	CodeSet		881
Or}	Proprietary <Prtry>	[1..1]	±		882
	Rate <Rate>	[1..1]	Rate		882

7.4.13.21.9 TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>*Presence:* [0..*]

Definition: Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments, for example, in the context of the EU Savings directive.

TaxableIncomePerDividendShare <TaxblIncmPerDvddShr> contains the following elements (see "RateTypeAndAmountAndStatus26" on page 900 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			901
{Or	Code <Cd>	[1..1]	CodeSet		901
Or}	Proprietary <Prtry>	[1..1]	±		901
	Amount <Amt>	[1..1]	Amount	C2	902
	RateStatus <RateSts>	[0..1]		C52	902
{Or	Code <Cd>	[1..1]	CodeSet		902
Or}	Proprietary <Prtry>	[1..1]	±		902

7.4.13.21.10 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

TaxOnIncome <TaxOnIncm> contains one of the following elements (see "RateAndAmountFormat57Choice" on page 1123 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1123
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1123
Or}	Amount <Amt>	[1..1]	Amount	C2	1123

7.4.13.22 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

PriceDetails <PricDtls> contains the following **CorporateActionPrice86** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		446
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		446
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		446
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		447
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		447

7.4.13.22.1 CashInLieuOfSharePrice <CshInLieuOfShrPric>*Presence:* [0..1]*Definition:* Cash disbursement in lieu of equities; usually in lieu of fractional quantity.**CashInLieuOfSharePrice <CshInLieuOfShrPric>** contains one of the following elements (see "PriceFormat74Choice" on page 1075 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1075
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1075
	PriceValue <PricVal>	[1..1]	Rate		1076
Or	AmountPrice <AmtPric>	[1..1]	±		1076
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1076

7.4.13.22.2 OverSubscriptionDepositPrice <OverSbcptDpstPric>*Presence:* [0..1]*Definition:* Amount of money required per over-subscribed equity as defined by the issuer.**OverSubscriptionDepositPrice <OverSbcptDpstPric>** contains one of the following elements (see "PriceFormat74Choice" on page 1075 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1075
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1075
	PriceValue <PricVal>	[1..1]	Rate		1076
Or	AmountPrice <AmtPric>	[1..1]	±		1076
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1076

7.4.13.22.3 MaximumCashToInstruct <MaxCshToInst>*Presence:* [0..1]*Definition:* Maximum cash amount that may be instructed.**MaximumCashToInstruct <MaxCshToInst>** contains one of the following elements (see "PriceFormat61Choice" on page 1059 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			1060
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1060
	PriceValue <PricVal>	[1..1]	Amount	C2	1060
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1060

7.4.13.22.4 MinimumCashToInstruct <MinCshToInst>*Presence:* [0..1]*Definition:* Minimum cash amount that may be instructed.**MinimumCashToInstruct <MinCshToInst>** contains one of the following elements (see "PriceFormat61Choice" on page 1059 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			1060
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1060
	PriceValue <PricVal>	[1..1]	Amount	C2	1060
Or}	NotSpecifiedPrice <NotSpofdPric>	[1..1]	CodeSet		1060

7.4.13.22.5 MinimumMultipleCashToInstruct <MinMltplCshToInst>*Presence:* [0..1]*Definition:* Minimum multiple of a cash amount that may be instructed.**MinimumMultipleCashToInstruct <MinMltplCshToInst>** contains one of the following elements (see "PriceFormat61Choice" on page 1059 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			1060
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1060
	PriceValue <PricVal>	[1..1]	Amount	C2	1060
Or}	NotSpecifiedPrice <NotSpofdPric>	[1..1]	CodeSet		1060

7.4.13.23 SecuritiesQuantity <SctiesQty>*Presence:* [0..1]*Definition:* Provides information about securities quantity related to a corporate action option.**SecuritiesQuantity <SctiesQty>** contains the following **SecuritiesOption81** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±	C22	448
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C22	448
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C21	448
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C21	449
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C21	449
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C21	450
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±	C21	450

7.4.13.23.1 MaximumQuantityToInstruct <MaxQtyToInst>*Presence:* [0..1]*Definition:* Maximum quantity of financial instrument that may be instructed.*Impacted by:* C22 "DigitalTokenUnitUsageRule"**MaximumQuantityToInstruct <MaxQtyToInst>** contains one of the following elements (see "FinancialInstrumentQuantity34Choice" on page 1097 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1097
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1097
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1097
Or	Code <Cd>	[1..1]	CodeSet		1097
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1098

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.23.2 MinimumQuantityToInstruct <MinQtyToInst>*Presence:* [0..1]*Definition:* Minimum quantity of financial instrument that may be instructed.*Impacted by:* C22 "DigitalTokenUnitUsageRule"**MinimumQuantityToInstruct <MinQtyToInst>** contains one of the following elements (see "FinancialInstrumentQuantity34Choice" on page 1097 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1097
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1097
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1097
Or	Code <Cd>	[1..1]	CodeSet		1097
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1098

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.23.3 MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>*Presence:* [0..1]*Definition:* Minimum multiple quantity of financial instrument that may be instructed.

Impacted by: C21 "DigitalTokenUnitUsageRule"

MinimumMultipleQuantityToInstruct <MinMltplQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity35Choice" on page 1093 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1094
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1094
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1094
Or	Code <Cd>	[1..1]	CodeSet		1094
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1094

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.23.4 NewBoardLotQuantity <NewBrdLotQty>

Presence: [0..1]

Definition: Quantity of equity that makes up the new board lot.

Impacted by: C21 "DigitalTokenUnitUsageRule"

NewBoardLotQuantity <NewBrdLotQty> contains one of the following elements (see "FinancialInstrumentQuantity35Choice" on page 1093 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1094
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1094
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1094
Or	Code <Cd>	[1..1]	CodeSet		1094
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1094

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.23.5 NewDenominationQuantity <NewDnmtnQty>

Presence: [0..1]

Definition: New denomination of the equity following, for example, an increase or decrease in nominal value.

Impacted by: C21 "DigitalTokenUnitUsageRule"

NewDenominationQuantity <NewDnmtnQty> contains one of the following elements (see "FinancialInstrumentQuantity35Choice" on page 1093 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1094
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1094
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1094
Or	Code <Cd>	[1..1]	CodeSet		1094
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1094

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.23.6 FrontEndOddLotQuantity <FrntEndOddLotQty>

Presence: [0..1]

Definition: Specifies that if an order is prorated holders of odd lots who tender their full position will not have tendered position prorated but rather accepted in full.

Impacted by: C21 "DigitalTokenUnitUsageRule"

FrontEndOddLotQuantity <FrntEndOddLotQty> contains one of the following elements (see "FinancialInstrumentQuantity35Choice" on page 1093 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1094
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1094
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1094
Or	Code <Cd>	[1..1]	CodeSet		1094
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1094

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.23.7 BackEndOddLotQuantity <BckEndOddLotQty>

Presence: [0..1]

Definition: Represents the presence of a back end odd lot provision and the quantity of equity required after proration to be eligible for this privilege.

Impacted by: C21 "DigitalTokenUnitUsageRule"

BackEndOddLotQuantity <BckEndOddLotQty> contains one of the following elements (see "FinancialInstrumentQuantity35Choice" on page 1093 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1094
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1094
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1094
Or	Code <Cd>	[1..1]	CodeSet		1094
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1094

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.24 SecuritiesMovementDetails <SctiesMvmntDtls>

Presence: [0..*]

Definition: Provides information about securities movement related to a corporate action option.

Impacted by: C28 "ExemptionTypeUsageRule", C39 "IncomeType1Rule", C41 "IncomeTypeUsageRule", C47 "IssuerOfferorTaxabilityIndicatorUsageRule"

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following **SecuritiesOption110** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityDetails <SctyDtls>	[1..1]		C50, C62	454
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C18, C19, C45, C46, C58	456
	PlaceOfListing <PlcOfListg>	[0..1]	±		457
	DayCountBasis <DayCntBsis>	[0..1]	±		457
	ClassificationType <ClssfctnTp>	[0..1]	±		457
	OptionStyle <OptnStyle>	[0..1]	±		458
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C3	458
	NextCouponDate <NxtCpnDt>	[0..1]	Date		458
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		458
	MaturityDate <MtrtyDt>	[0..1]	Date		458
	IssueDate <IsseDt>	[0..1]	Date		458
	NextCallableDate <NxtCllbldDt>	[0..1]	Date		459
	PutableDate <PutblDt>	[0..1]	Date		459
	DatedDate <DtdDt>	[0..1]	Date		459
	ConversionDate <ConvsDt>	[0..1]	Date		459
	PreviousFactor <PrvsFctr>	[0..1]	±		459
	NextFactor <NxtFctr>	[0..1]	±		459
	InterestRate <IntrstRate>	[0..1]	±		460
	NextInterestRate <NxtIntrstRate>	[0..1]	±		460
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C20	460
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C20	461
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C20	461
	ContractSize <CtrctSz>	[0..1]	±	C20	462
	IssuePrice <IssePric>	[0..1]	±		462
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		463
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		463
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]			463

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		464
Or}	Proprietary <Prtry>	[1..1]	±		464
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]			464
{Or	Code <Cd>	[1..1]	CodeSet		464
Or}	Proprietary <Prtry>	[1..1]	±		465
	NewSecuritiesIssuanceIndicator <NewScitiesIssnclInd>	[0..1]	CodeSet		465
	IncomeType <IncmTp>	[0..1]	±		465
	OtherIncomeType <OthrlIncmTp>	[0..*]	±		466
	ExemptionType <XmptnTp>	[0..*]	±		466
	EntitledQuantity <EntitldQty>	[0..1]	±		466
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		467
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C14	467
	FractionDisposition <FrctnDspstn>	[0..1]	±		467
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C2	468
	TradingPeriod <TradgPrd>	[0..1]	±		468
	DateDetails <DtDtls>	[1..1]			468
	PaymentDate <PmtDt>	[1..1]	±		469
	AvailableDate <AvlblDt>	[0..1]	±		469
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		469
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		470
	PariPassuDate <PrpssDt>	[0..1]	±		470
	LastTradingDate <LastTradgDt>	[0..1]	±		470
	RateDetails <RateDtls>	[0..1]			471
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbdRslntScities>	[0..1]	±		471
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScities>	[0..1]	±		472
	NewToOld <NewToOd>	[0..1]	±		472
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		473
	ChargesFees <ChrgsFees>	[0..1]	±		473
	FiscalStamp <FscIStmp>	[0..1]	±		473
	ApplicableRate <AplblRate>	[0..1]	±		474
	TaxCreditRate <TaxCdtRate>	[0..1]	±		474

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		474
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		474
	SecondLevelTax <ScndLvITax>	[0..*]	±		475
	PriceDetails <PricDtls>	[0..1]		C30	475
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			476
{Or	IndicativePrice <IndctvPric>	[1..1]	±		476
Or}	MarketPrice <MktPric>	[1..1]	±		477
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		477
	CashValueForTax <CshValForTax>	[0..1]	±		477
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		478
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		478
	AmountDetails <AmtDtls>	[0..1]			479
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C2, C15	479
	SecondLevelTaxAmount <ScndLvITaxAmt>	[0..1]	Amount	C2, C15	480

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeType1Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

On Condition
 /OtherIncomeType[*] is present
 Following Must be True
 /IncomeType Must be present

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

7.4.13.24.1 SecurityDetails <SctyDtls>

Presence: [1..1]

Definition: Provides description of the financial instrument related to securities movement.

Impacted by: C50 "MaturityDateRule", C62 "PreviousNextFactorRule"

SecurityDetails <SctyDtls> contains the following **FinancialInstrumentAttributes129** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C18, C19, C45, C46, C58	456
	PlaceOfListing <PlcOfListg>	[0..1]	±		457
	DayCountBasis <DayCntBsis>	[0..1]	±		457
	ClassificationType <ClssfctnTp>	[0..1]	±		457
	OptionStyle <OptnStyle>	[0..1]	±		458
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C3	458
	NextCouponDate <NxtCpnDt>	[0..1]	Date		458
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		458
	MaturityDate <MtrtyDt>	[0..1]	Date		458
	IssueDate <IsseDt>	[0..1]	Date		458
	NextCallableDate <NxtClblDt>	[0..1]	Date		459
	PutableDate <PutblDt>	[0..1]	Date		459
	DatedDate <DtdDt>	[0..1]	Date		459
	ConversionDate <ConvstDt>	[0..1]	Date		459
	PreviousFactor <PrvsFctr>	[0..1]	±		459
	NextFactor <NxtFctr>	[0..1]	±		459
	InterestRate <IntrstRate>	[0..1]	±		460
	NextInterestRate <NxtIntrstRate>	[0..1]	±		460
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C20	460
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C20	461
	MinimumMultipleQuantityToInstruct <MinMltpQtyToInst>	[0..1]	±	C20	461
	ContractSize <CtrctSz>	[0..1]	±	C20	462
	IssuePrice <IssePric>	[0..1]	±		462

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

7.4.13.24.1.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the financial instrument.

Impacted by: [C18 "DescriptionPresenceRule"](#), [C19 "DescriptionUsageRule"](#), [C45 "ISINGuideline"](#), [C46 "ISINPresenceRule"](#), [C58 "OtherIdentificationPresenceRule"](#)

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see ["SecurityIdentification19"](#) on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		864
	OtherIdentification <OthrId>	[0..*]			864
	Identification <Id>	[1..1]	Text		864
	Suffix <Sfx>	[0..1]	Text		864
	Type <Tp>	[1..1]	±		865
	Description <Desc>	[0..1]	Text		865

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or
  /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition
 /ISIN is absent
 Following Must be True
 /OtherIdentification[*] Must be present
 Or /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition
 /OtherIdentification[*] is absent
 Following Must be True
 /ISIN Must be present
 Or /Description Must be present

7.4.13.24.1.2 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

PlaceOfListing <PlcOfListg> contains one of the following elements (see "MarketIdentification3Choice" on page 877 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		878
Or}	Description <Desc>	[1..1]	Text		878

7.4.13.24.1.3 DayCountBasis <DayCntBsis>

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

DayCountBasis <DayCntBsis> contains one of the following elements (see "InterestComputationMethodFormat4Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		970
Or}	Proprietary <Prtry>	[1..1]	±		973

7.4.13.24.1.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

ClassificationType <ClssfctnTp> contains one of the following elements (see "ClassificationType32Choice" on page 882 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		882
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		882

7.4.13.24.1.5 OptionStyle <OptnStyle>*Presence:* [0..1]*Definition:* Specifies how an option can be exercised.**OptionStyle <OptnStyle>** contains one of the following elements (see ["OptionStyle8Choice"](#) on page 917 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		917
Or}	Proprietary <Prtry>	[1..1]	±		918

7.4.13.24.1.6 DenominationCurrency <DnmtnCcy>*Presence:* [0..1]*Definition:* Currency in which a financial instrument is currently denominated.*Impacted by:* [C3 "ActiveOrHistoricCurrency"](#)*Datatype:* ["ActiveOrHistoricCurrencyCode"](#) on page 1155**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

7.4.13.24.1.7 NextCouponDate <NxtCpnDt>*Presence:* [0..1]*Definition:* Next payment date of an interest bearing financial instrument.*Datatype:* ["ISODate"](#) on page 1238**7.4.13.24.1.8 FloatingRateFixingDate <FltgRateFxdt>***Presence:* [0..1]*Definition:* Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.*Datatype:* ["ISODate"](#) on page 1238**7.4.13.24.1.9 MaturityDate <MtrtyDt>***Presence:* [0..1]*Definition:* Date on which a financial instrument becomes due and assets are to be repaid.*Datatype:* ["ISODate"](#) on page 1238**7.4.13.24.1.10 IssueDate <IsseDt>***Presence:* [0..1]*Definition:* Date at which the security was made available.

Datatype: "ISODate" on page 1238

7.4.13.24.1.11 NextCallableDate <NxtClblDt>

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: "ISODate" on page 1238

7.4.13.24.1.12 PutableDate <PutbIDt>

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: "ISODate" on page 1238

7.4.13.24.1.13 DatedDate <DtdDt>

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: "ISODate" on page 1238

7.4.13.24.1.14 ConversionDate <ConvsDt>

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: "ISODate" on page 1238

7.4.13.24.1.15 PreviousFactor <PrvsFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

PreviousFactor <PrvsFctr> contains one of the following elements (see "RateFormat12Choice" on page 965 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		965
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		965

7.4.13.24.1.16 NextFactor <NxtFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will be applicable after the redemption (factor) date.

NextFactor <NxtFctr> contains one of the following elements (see "[RateFormat12Choice](#)" on page 965 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		965
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		965

7.4.13.24.1.17 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

InterestRate <IntrstRate> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

7.4.13.24.1.18 NextInterestRate <NxtIntrstRate>

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

NextInterestRate <NxtIntrstRate> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

7.4.13.24.1.19 MinimumNominalQuantity <MinNmnlQty>

Presence: [0..1]

Definition: Minimum nominal quantity of financial instrument.

Impacted by: [C20 "DigitalTokenUnitUsageRule"](#)

MinimumNominalQuantity <MinNmnlQty> contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.24.1.20 MinimumQuantityToInstruct <MinQtyToInst>

Presence: [0..1]

Definition: Minimum quantity of financial instrument that may be instructed.

Impacted by: C20 "DigitalTokenUnitUsageRule"

MinimumQuantityToInstruct <MinQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.24.1.21 MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>

Presence: [0..1]

Definition: Minimum multiple quantity of financial instrument that may be instructed.

Impacted by: C20 "DigitalTokenUnitUsageRule"

MinimumMultipleQuantityToInstruct <MinMltplQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.24.1.22 ContractSize <CtrctSz>

Presence: [0..1]

Definition: Ratio or multiplying factor used to convert one contract into a financial instrument quantity.

Impacted by: C20 "DigitalTokenUnitUsageRule"

ContractSize <CtrctSz> contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.24.1.23 IssuePrice <IssePric>

Presence: [0..1]

Definition: Initial issue/valuation price of a resulting security under a corporate action.

IssuePrice <IssePric> contains one of the following elements (see "PriceFormat74Choice" on page 1075 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1075
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1075
	PriceValue <PricVal>	[1..1]	Rate		1076
Or	AmountPrice <AmtPric>	[1..1]	±		1076
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1076

7.4.13.24.2 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1203

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

7.4.13.24.3 TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>

Presence: [0..1]

Definition: Specifies that the security identified is a temporary security identification used for processing reasons, for example, contra security used in the US.

TemporaryFinancialInstrumentIndicator <TempFinInstrmInd> contains one of the following elements (see "TemporaryFinancialInstrumentIndicator3Choice" on page 900 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TemporaryIndicator <TempInd>	[1..1]	Indicator		900
Or}	Proprietary <Prtry>	[1..1]	±		900

7.4.13.24.4 NonEligibleProceedsIndicator <NonElgblPrctdsInd>

Presence: [0..1]

Definition: Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

NonEligibleProceedsIndicator <NonElgblPrctdsInd> contains one of the following **NonEligibleProceedsIndicator5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		464
Or}	Proprietary <Prtry>	[1..1]	±		464

7.4.13.24.4.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify information regarding the non eligibility of the outturn resources.*Datatype:* "NonEligibleProceedsIndicator2Code" on page 1220

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.
NELS	NonEligibleSecurity	Security not eligible in the Central Securities Depository (CSD).

7.4.13.24.4.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification to specify information regarding the non eligibility of the outturn resources.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchemeNm>	[0..1]	Text		874

7.4.13.24.5 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>*Presence:* [0..1]*Definition:* Proceeds are taxable according to the information provided by the issuer / offeror.**IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>** contains one of the following **IssuerOfferorTaxabilityIndicator2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		464
Or}	Proprietary <Prtry>	[1..1]	±		465

7.4.13.24.5.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify information regarding the issuer / offeror taxability status.*Datatype:* "IssuerTaxability2Code" on page 1216

CodeName	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

7.4.13.24.5.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification to specify information regarding the issuer / offeror taxability status.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

7.4.13.24.6 NewSecuritiesIssuanceIndicator <NewScitiesIssncInd>*Presence:* [0..1]*Definition:* Specifies the nature of the securities issue.*Datatype:* "[NewSecuritiesIssuanceType5Code](#)" on page 1218

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
EXIS	ExistingIssue	Securities proceeds are not new issues.
NEIS	NewIssue	Securities proceeds are newly issued.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.

7.4.13.24.7 IncomeType <IncmTp>*Presence:* [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

IncomeType <IncmTp> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

7.4.13.24.8 OtherIncomeType <OthrIncmTp>

Presence: [0..*]

Definition: Type of income to be used under another tax regime.

OtherIncomeType <OthrIncmTp> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

7.4.13.24.9 ExemptionType <XmptnTp>

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

7.4.13.24.10 EntitledQuantity <EntitldQty>

Presence: [0..1]

Definition: Quantity of securities based on the terms of the corporate action event and balance of underlying securities entitled to the account owner. (This quantity can be positive or negative).

EntitledQuantity <EntitldQty> contains one of the following elements (see "Quantity51Choice" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C14	1092
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		1092

7.4.13.24.11 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat41Choice" on page 988 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			989
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		989
	Identification <Id>	[0..1]	Text		989
Or	Country <Ctry>	[1..1]	CodeSet	C3	989
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		990
Or	TypeAndIdentification <TpAndId>	[1..1]			990
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		990
	Identification <Id>	[1..1]	IdentifierSet	C1	990
Or}	Proprietary <Prtry>	[1..1]	±		991

7.4.13.24.12 CountryOfIncomeSource <CtryOfIncmSrc>

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Impacted by: C14 "Country"

Datatype: "CountryCode" on page 1203

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.13.24.13 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "FractionDispositionType26Choice" on page 893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		893
Or}	Proprietary <Prtry>	[1..1]	±		894

7.4.13.24.14 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited or debited.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1155

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.24.15 TradingPeriod <TradgPrd>

Presence: [0..1]

Definition: Period during which intermediate or outturn securities are tradable in a secondary market.

TradingPeriod <TradgPrd> contains one of the following elements (see "Period6Choice" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

7.4.13.24.16 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to securities movement.

DateDetails <DtDtls> contains the following **SecurityDate20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[1..1]	±		469
	AvailableDate <AvlblDt>	[0..1]	±		469
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		469
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		470
	PariPassuDate <PrpssDt>	[0..1]	±		470
	LastTradingDate <LastTradgDt>	[0..1]	±		470

7.4.13.24.16.1 PaymentDate <PmtDt>

Presence: [1..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see ["DateFormat30Choice"](#) on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

7.4.13.24.16.2 AvailableDate <AvlblDt>

Presence: [0..1]

Definition: Date/time at which securities become available for trading, for example first dealing date.

AvailableDate <AvlblDt> contains one of the following elements (see ["DateFormat30Choice"](#) on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

7.4.13.24.16.3 DividendRankingDate <DvddRnkgDt>

Presence: [0..1]

Definition: Date/time at which a security will be entitled to a dividend.

DividendRankingDate <DvddRnkgDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

7.4.13.24.16.4 EarliestPaymentDate <EarlstPmtDt>

Presence: [0..1]

Definition: Date/time at which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlstPmtDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

7.4.13.24.16.5 PariPassuDate <PrpssDt>

Presence: [0..1]

Definition: Date/time at which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

PariPassuDate <PrpssDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

7.4.13.24.16.6 LastTradingDate <LastTradgDt>

Presence: [0..1]

Definition: Date/time at which the securities to be reorganised will cease to be tradeable.

LastTradingDate <LastTradgDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

7.4.13.24.17 RateDetails <RateDtls>

Presence: [0..1]

Definition: Provides information about the rates related to securities movement.

RateDetails <RateDtls> contains the following **CorporateActionRate123** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsltntScies>	[0..1]	±		471
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		472
	NewToOld <NewToOd>	[0..1]	±		472
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		473
	ChargesFees <ChrgsFees>	[0..1]	±		473
	FiscalStamp <FscIStmp>	[0..1]	±		473
	ApplicableRate <AplblRate>	[0..1]	±		474
	TaxCreditRate <TaxCdtRate>	[0..1]	±		474
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		474
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		474
	SecondLevelTax <ScndLvITax>	[0..*]	±		475

7.4.13.24.17.1 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsltntScies>

Presence: [0..1]

Definition: Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies> contains one of the following elements (see "RatioFormat17Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		929
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		929
Or}	AmountToAmount <AmtToAmt>	[1..1]			930
	Amount1 <Amt1>	[1..1]	Amount	C2	930
	Amount2 <Amt2>	[1..1]	Amount	C2	930

7.4.13.24.17.2 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>

Presence: [0..1]

Definition: Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, for example, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies> contains one of the following elements (see "RatioFormat17Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		929
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		929
Or}	AmountToAmount <AmtToAmt>	[1..1]			930
	Amount1 <Amt1>	[1..1]	Amount	C2	930
	Amount2 <Amt2>	[1..1]	Amount	C2	930

7.4.13.24.17.3 NewToOld <NewToOd>

Presence: [0..1]

Definition: Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, for example, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

NewToOld <NewToOd> contains one of the following elements (see "RatioFormat18Choice" on page 926 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		926
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		927
Or	AmountToAmount <AmtToAmt>	[1..1]			927
	Amount1 <Amt1>	[1..1]	Amount	C2	927
	Amount2 <Amt2>	[1..1]	Amount	C2	927
Or	AmountToQuantity <AmtToQty>	[1..1]			928
	Amount <Amt>	[1..1]	Amount	C2	928
	Quantity <Qty>	[1..1]	Quantity		928
Or}	QuantityToAmount <QtyToAmt>	[1..1]			928
	Amount <Amt>	[1..1]	Amount	C2	928
	Quantity <Qty>	[1..1]	Quantity		929

7.4.13.24.17.4 TransformationRate <TrfrmatnRate>

Presence: [0..1]

Definition: Rate used to determine the cash consideration split across outturn settlement transactions that are the result of a transformation of the parent transaction.

Datatype: "Percentage14Rate" on page 1242

7.4.13.24.17.5 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following elements (see "RateAndAmountFormat57Choice" on page 1123 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1123
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1123
Or}	Amount <Amt>	[1..1]	Amount	C2	1123

7.4.13.24.17.6 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

FiscalStamp <FscIStmp> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

7.4.13.24.17.7 ApplicableRate <AplblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

ApplicableRate <AplblRate> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

7.4.13.24.17.8 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

TaxCreditRate <TaxCdtRate> contains one of the following elements (see "[RateFormat26Choice](#)" on page 1120 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1120
Or	Amount <Amt>	[1..1]	Amount	C2	1120
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1120

7.4.13.24.17.9 FinancialTransactionTaxRate <FinTxTaxRate>

Presence: [0..1]

Definition: Rate of financial transaction tax.

FinancialTransactionTaxRate <FinTxTaxRate> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

7.4.13.24.17.10 WithholdingTaxRate <WhldgTaxRate>

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following elements (see "RateAndAmountFormat56Choice" on page 880 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		880
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		880
Or	Amount <Amt>	[1..1]	Amount	C2	880
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			881
	RateType <RateTp>	[1..1]			881
{Or	Code <Cd>	[1..1]	CodeSet		881
Or}	Proprietary <Prtry>	[1..1]	±		882
	Rate <Rate>	[1..1]	Rate		882

7.4.13.24.17.11 SecondLevelTax <ScndLvTax>

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvTax> contains one of the following elements (see "RateAndAmountFormat56Choice" on page 880 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		880
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		880
Or	Amount <Amt>	[1..1]	Amount	C2	880
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			881
	RateType <RateTp>	[1..1]			881
{Or	Code <Cd>	[1..1]	CodeSet		881
Or}	Proprietary <Prtry>	[1..1]	±		882
	Rate <Rate>	[1..1]	Rate		882

7.4.13.24.18 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to securities movement.

Impacted by: C30 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **CorporateActionPrice82** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			476
{Or	IndicativePrice <IndctvPric>	[1..1]	±		476
Or}	MarketPrice <MktPric>	[1..1]	±		477
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		477
	CashValueForTax <CshValForTax>	[0..1]	±		477
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		478
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		478

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

7.4.13.24.18.1 IndicativeOrMarketPrice <IndctvOrMktPric>

Presence: [0..1]

Definition: Indicates whether the price is an indicative price or a market price.

IndicativeOrMarketPrice <IndctvOrMktPric> contains one of the following **IndicativeOrMarketPrice12Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]	±		476
Or}	MarketPrice <MktPric>	[1..1]	±		477

7.4.13.24.18.1.1 IndicativePrice <IndctvPric>

Presence: [1..1]

Definition: Estimated price, for example, for valuation purposes.

IndicativePrice <IndctvPric> contains one of the following elements (see "PriceFormat74Choice" on page 1075 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1075
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1075
	PriceValue <PricVal>	[1..1]	Rate		1076
Or	AmountPrice <AmtPric>	[1..1]	±		1076
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1076

7.4.13.24.18.1.2 MarketPrice <MktPric>

Presence: [1..1]

Definition: Last reported/known price of a financial instrument in a market.

MarketPrice <MktPric> contains one of the following elements (see "PriceFormat74Choice" on page 1075 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1075
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1075
	PriceValue <PricVal>	[1..1]	Rate		1076
Or	AmountPrice <AmtPric>	[1..1]	±		1076
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1076

7.4.13.24.18.2 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following elements (see "PriceFormat74Choice" on page 1075 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1075
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1075
	PriceValue <PricVal>	[1..1]	Rate		1076
Or	AmountPrice <AmtPric>	[1..1]	±		1076
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1076

7.4.13.24.18.3 CashValueForTax <CshValForTax>

Presence: [0..1]

Definition: Cash value of resulting securities proceeds for tax calculation and/or reporting.

CashValueForTax <CshValForTax> contains one of the following elements (see "PriceFormat46Choice" on page 1059 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]	±		1059
Or}	NotSpecifiedPrice <NotSpdfdPric>	[1..1]	CodeSet		1059

7.4.13.24.18.4 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat73Choice" on page 1086 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1086
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1086
	PriceValue <PricVal>	[1..1]	Rate		1086
Or	AmountPrice <AmtPric>	[1..1]	±		1087
Or	NotSpecifiedPrice <NotSpdfdPric>	[1..1]	CodeSet		1087
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1087

7.4.13.24.18.5 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat72Choice" on page 1076 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1077
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1077
	PriceValue <PricVal>	[1..1]	Rate		1078
Or	AmountPrice <AmtPric>	[1..1]	±		1078
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1078
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			1078
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1079
	PriceValue <PricVal>	[1..1]	Amount	C2	1079
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C14	1079
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			1080
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1080
	PriceValue <PricVal>	[1..1]	Amount	C2	1080
	Amount <Amt>	[1..1]	Amount	C2	1081
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1081

7.4.13.24.19 AmountDetails <AmtDtls>

Presence: [0..1]

Definition: Provides information about the amounts related to a securities movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts60** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C2, C15	479
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C2, C15	480

7.4.13.24.19.1 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.19.2 SecondLevelTaxAmount <ScndLvlTaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25 CashMovementDetails <CshMvmntDtls>

Presence: [0..*]

Definition: Provides information about the cash movement linked to the corporate action option.

Impacted by: C29 "ExemptionTypeUsageRule", C40 "IncomeType2Rule", C42 "IncomeTypeUsageRule", C48 "IssuerOfferorTaxabilityIndicatorUsageRule"

CashMovementDetails <CshMvmntDtls> contains the following **CashOption104** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		484
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		484
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]	±		485
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]			485
{Or	Code <Cd>	[1..1]	CodeSet		485
Or}	Proprietary <Prtry>	[1..1]	±		485
	IncomeType <IncmTp>	[0..1]	±		486
	OtherIncomeType <OthrIncmTp>	[0..*]	±		486
	ExemptionType <XmptnTp>	[0..*]	±		486
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C14	487
	CashAccountIdentification <CshAcctId>	[0..1]	±		487
	AmountDetails <AmtDtls>	[0..1]			487
	GrossAmount <GrssAmt>	[0..1]	Amount	C2, C15	489
	NetAmount <NetAmt>	[0..1]	Amount	C2, C15	490
	SolicitationFees <SlctnFees>	[0..1]	Amount	C2, C15	490
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C2, C15	490
	CapitalGain <CptlGn>	[0..1]	Amount	C2, C15	491
	InterestAmount <IntrstAmt>	[0..1]	Amount	C2, C15	491
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C2, C15	492
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C2, C15	492
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C2, C15	492
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C2, C15	493
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C2, C15	493
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C2, C15	494
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C2, C15	494
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C2, C15	494
	TaxDeferredAmount <TaxDfrrdAmt>	[0..1]	Amount	C2, C15	495
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C2, C15	495
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C2, C15	496
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C2, C15	496

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C2, C15	496
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C2, C15	497
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C2, C15	497
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C2, C15	498
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C2, C15	498
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C2, C15	498
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C2, C15	499
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C2, C15	499
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C2, C15	500
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C2, C15	500
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C2, C15	500
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C2, C15	501
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C2, C15	501
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C2, C15	502
	IncomePortion <IncmPrtn>	[0..1]	Amount	C2, C15	502
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C2, C15	502
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C2, C15	503
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C2, C15	503
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C2, C15	504
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C2, C15	504
	TransactionTax <TxTax>	[0..1]	Amount	C2, C15	504
	DeemedAmount <DmdAmt>	[0..1]	Amount	C2, C15	505
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C2, C15	505
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C2, C15	506
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C2, C15	506
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C2, C15	506
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C2, C15	507
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C2, C15	507
	DateDetails <DtDtls>	[1..1]			508
	PaymentDate <PmtDt>	[1..1]	±		508

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ValueDate <ValDt>	[0..1]	±		508
	ForeignExchangeRateFixingDate <FXRateFxdt>	[0..1]	±		509
	EarliestPaymentDate <EarlStPmtDt>	[0..1]	±		509
	ForeignExchangeDetails <FXDtls>	[0..1]	±		509
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C16, C17, C33, C35, C52, C54, C74, C76, C78, C82, C84	510
	AdditionalTax <AddtlTax>	[0..1]	±		513
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		513
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		513
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		514
	SecondLevelTax <ScndLvlTax>	[0..*]	±		514
	ChargesFees <ChrgsFees>	[0..1]	±		515
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		515
	FiscalStamp <FscIStmp>	[0..1]	±		516
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		516
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		516
	ApplicableRate <AplblRate>	[0..1]	±		517
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		517
	TaxCreditRate <TaxCdtRate>	[0..1]	±		518
	TaxOnIncome <TaxOnIncm>	[0..1]	±		518
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		518
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		519
	EqualisationRate <EqulstnRate>	[0..1]	±		519
	DeemedRate <DmdRate>	[0..*]	±		519
	PriceDetails <PricDtls>	[0..1]		C31	520
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		521

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		521
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		522

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeType2Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

On Condition
 /OtherIncomeType[*] is present
 Following Must be True
 /IncomeType Must be present

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

7.4.13.25.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Indicates whether the value is a debit or a credit.

Datatype: "CreditDebitCode" on page 1203

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

7.4.13.25.2 ContractualPaymentIndicator <CtrctlPmtInd>

Presence: [0..1]

Definition: Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

Datatype: "Payment1Code" on page 1223

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.
CONT	ContractualPayment	The cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer.

7.4.13.25.3 NonEligibleProceedsIndicator <NonElgblPrcdsInd>

Presence: [0..1]

Definition: Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

NonEligibleProceedsIndicator <NonElgblPrcdsInd> contains one of the following elements (see "NonEligibleProceedsIndicator3Choice" on page 910 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		910
Or}	Proprietary <Prtry>	[1..1]	±		910

7.4.13.25.4 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd> contains one of the following **IssuerOfferorTaxabilityIndicator2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		485
Or}	Proprietary <Prtry>	[1..1]	±		485

7.4.13.25.4.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify information regarding the issuer / offeror taxability status.

Datatype: "IssuerTaxability2Code" on page 1216

CodeName	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

7.4.13.25.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the issuer / offeror taxability status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

7.4.13.25.5 IncomeType <IncmTp>

Presence: [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

IncomeType <IncmTp> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

7.4.13.25.6 OtherIncomeType <OthrIncmTp>

Presence: [0..*]

Definition: Type of income to be used under another tax regime.

OtherIncomeType <OthrIncmTp> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

7.4.13.25.7 ExemptionType <XmptnTp>

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

7.4.13.25.8 CountryOfIncomeSource <CtryOfIncmSrc>

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Impacted by: C14 "Country"

Datatype: "[CountryCode](#)" on page 1203

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.13.25.9 CashAccountIdentification <CshAcctId>

Presence: [0..1]

Definition: Identification of the account in which cash is maintained.

CashAccountIdentification <CshAcctId> contains one of the following elements (see "[CashAccountIdentification9Choice](#)" on page 826 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	826
Or	BlockChainCashWallet <BlckChainCshWllt>	[1..1]	±		826
Or}	Proprietary <Prtry>	[1..1]	Text		827

7.4.13.25.10 AmountDetails <AmtDtls>

Presence: [0..1]

Definition: Provides information about the amounts related to a cash movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts72** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GrossAmount <GrssAmt>	[0..1]	Amount	C2, C15	489
	NetAmount <NetAmt>	[0..1]	Amount	C2, C15	490
	SolicitationFees <SlctnFees>	[0..1]	Amount	C2, C15	490
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C2, C15	490
	CapitalGain <CptlGn>	[0..1]	Amount	C2, C15	491
	InterestAmount <IntrstAmt>	[0..1]	Amount	C2, C15	491
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C2, C15	492
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C2, C15	492
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C2, C15	492
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C2, C15	493
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C2, C15	493
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C2, C15	494
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C2, C15	494
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C2, C15	494
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C2, C15	495
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C2, C15	495
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C2, C15	496
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C2, C15	496
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C2, C15	496
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C2, C15	497
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C2, C15	497
	SecondLevelTaxAmount <ScndLvTaxAmt>	[0..1]	Amount	C2, C15	498
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C2, C15	498
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C2, C15	498
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C2, C15	499
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C2, C15	499
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C2, C15	500
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C2, C15	500
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C2, C15	500

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C2, C15	501
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C2, C15	501
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C2, C15	502
	IncomePortion <IncmPrtn>	[0..1]	Amount	C2, C15	502
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C2, C15	502
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C2, C15	503
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C2, C15	503
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C2, C15	504
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C2, C15	504
	TransactionTax <TxTax>	[0..1]	Amount	C2, C15	504
	DeemedAmount <DmdAmt>	[0..1]	Amount	C2, C15	505
	ForeignIncomeAmount <FrgrnIncmAmt>	[0..1]	Amount	C2, C15	505
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C2, C15	506
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C2, C15	506
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C2, C15	506
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C2, C15	507
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C2, C15	507

7.4.13.25.10.1 GrossAmount <GrssAmt>*Presence:* [0..1]*Definition:* Amount of money before any tax deductions have been made.*Impacted by:* C2 "ActiveCurrency", C15 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 1154**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.2 NetAmount <NetAmt>

Presence: [0..1]

Definition: Amount of money after tax deductions have been made.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.3 SolicitationFees <SlctnFees>

Presence: [0..1]

Definition: Cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fees.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.4 CashInLieuOfShare <CshInLieuOfShr>

Presence: [0..1]

Definition: Cash disbursement in lieu of a fractional quantity of, for example, equity.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.5 CapitalGain <CptlGn>

Presence: [0..1]

Definition: Amount of money distributed as the result of a capital gain.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.6 InterestAmount <IntrstAmt>

Presence: [0..1]

Definition: Amount of money representing a coupon payment.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.7 MarketClaimAmount <MktCImAmt>

Presence: [0..1]

Definition: Amount of money resulting from a market claim.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.8 IndemnityAmount <IndmntyAmt>

Presence: [0..1]

Definition: (Unique to France) Amount due to a buyer of securities dealt prior to ex date which may be subject to different rate of taxation.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.9 ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>

Presence: [0..1]

Definition: Amount of money that the borrower pays to the lender as a compensation. It does not entitle the lender to reclaim any tax credit and is sometimes treated differently by the local tax authorities of the lender. Also covers compensation/indemnity of missed dividend concerning early/late settlements if applicable to a market.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.10 ReinvestmentAmount <RinvstmtAmt>

Presence: [0..1]

Definition: Amount of money reinvested in additional securities.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.11 FullyFrankedAmount <FullyFrnkdtAmt>

Presence: [0..1]

Definition: Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.12 UnfrankedAmount <UfrnkAmt>

Presence: [0..1]

Definition: Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.13 SundryOrOtherAmount <SndryOrOthrAmt>

Presence: [0..1]

Definition: Amount of money related to taxable income that cannot be categorised.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.14 TaxFreeAmount <TaxFreeAmt>

Presence: [0..1]

Definition: Amount of money that has not been subject to taxation.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.15 TaxDeferredAmount <TaxDfrdAmt>

Presence: [0..1]

Definition: Amount of income eligible for deferred taxation.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.16 ValueAddedTaxAmount <ValAddedTaxAmt>

Presence: [0..1]

Definition: Amount of value added tax.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.17 StampDutyAmount <StmpDtyAmt>

Presence: [0..1]

Definition: Amount of stamp duty.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.18 TaxReclaimAmount <TaxRclmAmt>

Presence: [0..1]

Definition: Amount that was paid in excess of actual tax obligation and was reclaimed.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.19 TaxCreditAmount <TaxCdtAmt>

Presence: [0..1]

Definition: Amount of taxes that have been previously paid in relation to the taxable event.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.20 AdditionalTaxAmount <AddtlTaxAmt>

Presence: [0..1]

Definition: Amount of additional taxes that cannot be categorised.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.21 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.22 SecondLevelTaxAmount <ScndLvITaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.23 FiscalStampAmount <FscIStmpAmt>

Presence: [0..1]

Definition: Amount of fiscal tax to apply.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.24 ExecutingBrokerAmount <ExctgBrkrAmt>

Presence: [0..1]

Definition: Amount of money paid to an executing broker as a commission.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.25 PayingAgentCommissionAmount <PngAgtComssnAmt>

Presence: [0..1]

Definition: Amount of paying/sub-paying agent commission.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.26 LocalBrokerCommissionAmount <LclBrkrComssnAmt>

Presence: [0..1]

Definition: Local broker's commission.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.27 RegulatoryFeesAmount <RgltryFeesAmt>

Presence: [0..1]

Definition: Amount of money charged by a regulatory authority, for example, securities and exchange fees.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.28 ShippingFeesAmount <ShppgFeesAmt>

Presence: [0..1]

Definition: All costs related to the physical delivery of documents such as stamps, postage, carrier fees, insurances or messenger services.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.29 ChargesAmount <ChrgsAmt>

Presence: [0..1]

Definition: Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.30 EntitledAmount <EntitldAmt>

Presence: [0..1]

Definition: Cash amount based on the terms of the corporate action event and balance of underlying securities, entitled to/from account owner (which may be positive or negative).

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.31 OriginalAmount <OrgnlAmt>

Presence: [0..1]

Definition: Posting/settlement amount in its original currency when conversion from/into another currency has occurred.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.32 AccruedInterestAmount <AcrdIntrstAmt>

Presence: [0..1]

Definition: Amount of interest that has been accrued in between coupon payment periods.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.33 IncomePortion <IncmPrtn>

Presence: [0..1]

Definition: Amount relating to the underlying security for which income is distributed.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.34 EqualisationAmount <EqualstnAmt>

Presence: [0..1]

Definition: Portion of the fund distribution amount which represents the average accrued income included in the purchase price for units bought during the account period.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.35 FATCATaxAmount <FATCATaxAmt>

Presence: [0..1]

Definition: FATCA (Foreign Account Tax Compliance Act) related tax amount.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.36 NRATaxAmount <NRATaxAmt>

Presence: [0..1]

Definition: Amount of tax related income subject to NRA (Non Resident Alien).

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.37 BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>

Presence: [0..1]

Definition: Amount of tax related to back up withholding.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.38 TaxOnIncomeAmount <TaxOnIncmAmt>

Presence: [0..1]

Definition: Amount of overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.39 TransactionTax <TxTax>

Presence: [0..1]

Definition: Amount of transaction tax.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.40 DeemedAmount <DmdAmt>

Presence: [0..1]

Definition: Amount of proceeds which is not actually paid to the security holder but on which withholding tax is applicable.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.41 ForeignIncomeAmount <FrgrnIncmAmt>

Presence: [0..1]

Definition: Amount relating to a foreign income such as a conduit foreign income.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.42 DeemedDividendAmount <DmdDvddAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of dividend proceeds attributed to the security holder for the taxable year and the rate of dividend proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.43 DeemedFundAmount <DmdFndAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of the proceeds components comprising a fund payment attributed to the security holder for the taxable year and the rate of the fund proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.44 DeemedInterestAmount <DmdIntrstAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of interest proceeds attributed to the security holder for the taxable year and the rate of interest proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.45 DeemedRoyaltiesAmount <DmdRyltsAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of royalty proceeds attributed to the security holder for the taxable year and the rate of royalty proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10.46 BuyUpAmount <BuyUpAmt>

Presence: [0..1]

Definition: Amount of cash debited in the context of a buy up option.

Impacted by: C2 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.11 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to a cash movement.

DateDetails <DtDtls> contains the following **CorporateActionDate84** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[1..1]	±		508
	ValueDate <ValDt>	[0..1]	±		508
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		509
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		509

7.4.13.25.11.1 PaymentDate <PmtDt>

Presence: [1..1]

Definition: Date/time on which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

7.4.13.25.11.2 ValueDate <ValDt>

Presence: [0..1]

Definition: Date/time when calculating economic benefit for a cash amount.

ValueDate <ValDt> contains one of the following elements (see "DateFormat57Choice" on page 842 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		842
Or}	DateCode <DtCd>	[1..1]			842
{Or	Code <Cd>	[1..1]	CodeSet		843
Or}	Proprietary <Prtry>	[1..1]	±		843

7.4.13.25.11.3 ForeignExchangeRateFixingDate <FXRateFxdt>

Presence: [0..1]

Definition: Date/time at which a foreign exchange rate will be determined.

ForeignExchangeRateFixingDate <FXRateFxdt> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

7.4.13.25.11.4 EarliestPaymentDate <EarlstPmtDt>

Presence: [0..1]

Definition: Date/time on which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlstPmtDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

7.4.13.25.12 ForeignExchangeDetails <FXDtls>

Presence: [0..1]

Definition: Exchange rate between the amount and the resulting amount.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms39](#)" on page 867 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	867
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	867
	ExchangeRate <XchgRate>	[1..1]	Rate		867
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C2, C11	868

7.4.13.25.13 RateAndAmountDetails <RateAndAmtDtIs>

Presence: [0..1]

Definition: Provides information about the corporate action option.

Impacted by: [C16 "DeemedRate1Rule"](#), [C17 "DeemedRate2Rule"](#), [C33 "GrossDistributionRate2Rule"](#), [C35 "GrossDistributionRate3Rule"](#), [C52 "NetDistributionRate1Rule"](#), [C54 "NetDistributionRate2Rule"](#), [C74 "SecondLevelTax1Rule"](#), [C76 "SecondLevelTax2Rule"](#), [C78 "SecondLevelTax3Rule"](#), [C82 "WithholdingTaxRate1Rule"](#), [C84 "WithholdingTaxRate2Rule"](#)

RateAndAmountDetails <RateAndAmtDtls> contains the following **Rate42** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]	±		513
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		513
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		513
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		514
	SecondLevelTax <ScndLvITax>	[0..*]	±		514
	ChargesFees <ChrgsFees>	[0..1]	±		515
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		515
	FiscalStamp <FscIStmp>	[0..1]	±		516
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		516
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		516
	ApplicableRate <AplblRate>	[0..1]	±		517
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		517
	TaxCreditRate <TaxCdtRate>	[0..1]	±		518
	TaxOnIncome <TaxOnIncm>	[0..1]	±		518
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		518
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		519
	EqualisationRate <EqulstnRate>	[0..1]	±		519
	DeemedRate <DmdRate>	[0..*]	±		519

Constraints

- **DeemedRate1Rule**

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

- **DeemedRate2Rule**

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **GrossDistributionRate2Rule**

If GrossDDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 564 NVR C8).

- **GrossDistributionRate3Rule**

Only a single occurrence of either GrossDistributionRate/Amount or GrossGrossDistributionRate/AmountAndRateStatus or GrossGrossDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

- **NetDistributionRate1Rule**

If NetDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

- **NetDistributionRate2Rule**

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

```
On Condition
  /SecondLevelTax[*] is present
Following Must be True
  /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

7.4.13.25.13.1 AdditionalTax <AddtlTax>*Presence:* [0..1]*Definition:* Rate used for additional tax that cannot be categorised.**AdditionalTax <AddtlTax>** contains one of the following elements (see "RateAndAmountFormat57Choice" on page 1123 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1123
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1123
Or}	Amount <Amt>	[1..1]	Amount	C2	1123

7.4.13.25.13.2 GrossDistributionRate <GrssDstrbtnRate>*Presence:* [0..*]*Definition:* Distribution rate before tax has been deducted.**GrossDistributionRate <GrssDstrbtnRate>** contains one of the following elements (see "GrossDividendRateFormat38Choice" on page 930 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	931
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			931
	Amount <Amt>	[1..1]	Amount	C2	932
	RateStatus <RateSts>	[1..1]	CodeSet		932
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			932
	RateType <RateTp>	[1..1]			932
{Or	Code <Cd>	[1..1]	CodeSet		933
Or}	Proprietary <Prtry>	[1..1]	±		934
	Amount <Amt>	[1..1]	Amount	C2	934
	RateStatus <RateSts>	[0..1]		C52	934
{Or	Code <Cd>	[1..1]	CodeSet		934
Or}	Proprietary <Prtry>	[1..1]	±		935
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		935

7.4.13.25.13.3 GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>*Presence:* [0..*]*Definition:* The actual interest rate before tax used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat11Choice" on page 1022 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1023
Or	Amount <Amt>	[1..1]	Amount	C2	1023
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1023
	RateType <RateTp>	[1..1]			1024
{Or	Code <Cd>	[1..1]	CodeSet		1024
Or}	Proprietary <Prtry>	[1..1]	±		1024
	Amount <Amt>	[1..1]	Amount	C2	1025
	RateStatus <RateSts>	[0..1]		C52	1025
{Or	Code <Cd>	[1..1]	CodeSet		1025
Or}	Proprietary <Prtry>	[1..1]	±		1026
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1026

7.4.13.25.13.4 WithholdingTaxRate <WhldgTaxRate>

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following elements (see "RateAndAmountFormat56Choice" on page 880 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		880
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		880
Or	Amount <Amt>	[1..1]	Amount	C2	880
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			881
	RateType <RateTp>	[1..1]			881
{Or	Code <Cd>	[1..1]	CodeSet		881
Or}	Proprietary <Prtry>	[1..1]	±		882
	Rate <Rate>	[1..1]	Rate		882

7.4.13.25.13.5 SecondLevelTax <ScndLvlTax>

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following elements (see "RateAndAmountFormat56Choice" on page 880 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		880
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		880
Or	Amount <Amt>	[1..1]	Amount	C2	880
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			881
	RateType <RateTp>	[1..1]			881
{Or	Code <Cd>	[1..1]	CodeSet		881
Or}	Proprietary <Prtry>	[1..1]	±		882
	Rate <Rate>	[1..1]	Rate		882

7.4.13.25.13.6 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following elements (see "RateAndAmountFormat57Choice" on page 1123 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1123
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1123
Or}	Amount <Amt>	[1..1]	Amount	C2	1123

7.4.13.25.13.7 EarlySolicitationFeeRate <EarlySlctnFeeRate>

Presence: [0..1]

Definition: Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.

EarlySolicitationFeeRate <EarlySlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat11Choice" on page 1018 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1019
Or	AmountToQuantity <AmtToQty>	[1..1]			1019
	Amount <Amt>	[1..1]	Amount	C2	1019
	Quantity <Qty>	[1..1]	Quantity		1019
Or	Amount <Amt>	[1..1]	Amount	C2	1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

7.4.13.25.13.8 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

FiscalStamp <FscIStmp> contains one of the following elements (see "RateFormat24Choice" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

7.4.13.25.13.9 ThirdPartyIncentiveRate <ThrdPtyIncntivRate>

Presence: [0..1]

Definition: Cash rate made available in an event in order to encourage participation in the offer. As information, payment is made to a third party who has solicited an entity to take part in the offer.

ThirdPartyIncentiveRate <ThrdPtyIncntivRate> contains one of the following elements (see "RateFormat26Choice" on page 1120 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1120
Or	Amount <Amt>	[1..1]	Amount	C2	1120
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1120

7.4.13.25.13.10 NetDistributionRate <NetDstrbtnRate>

Presence: [0..*]

Definition: Distribution rate after tax has been deducted.

NetDistributionRate <NetDstrbtnRate> contains one of the following elements (see "NetDividendRateFormat39Choice" on page 953 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	953
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			953
	Amount <Amt>	[1..1]	Amount	C2	954
	RateStatus <RateSts>	[1..1]	CodeSet		954
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			954
	RateType <RateTp>	[1..1]			955
{Or	Code <Cd>	[1..1]	CodeSet		955
Or}	Proprietary <Prtry>	[1..1]	±		956
	Amount <Amt>	[1..1]	Amount	C2	956
	RateStatus <RateSts>	[0..1]		C52	956
{Or	Code <Cd>	[1..1]	CodeSet		957
Or}	Proprietary <Prtry>	[1..1]	±		957
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		957

7.4.13.25.13.11 ApplicableRate <AplblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

ApplicableRate <AplblRate> contains one of the following elements (see "RateFormat24Choice" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1099

7.4.13.25.13.12 SolicitationFeeRate <SlctnFeeRate>

Presence: [0..1]

Definition: Rate of the cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fee.

SolicitationFeeRate <SlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat11Choice" on page 1018 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1019
Or	AmountToQuantity <AmtToQty>	[1..1]			1019
	Amount <Amt>	[1..1]	Amount	C2	1019
	Quantity <Qty>	[1..1]	Quantity		1019
Or	Amount <Amt>	[1..1]	Amount	C2	1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

7.4.13.25.13.13 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

TaxCreditRate <TaxCdtRate> contains one of the following elements (see "RateFormat26Choice" on page 1120 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1120
Or	Amount <Amt>	[1..1]	Amount	C2	1120
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1120

7.4.13.25.13.14 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

TaxOnIncome <TaxOnIncm> contains one of the following elements (see "RateAndAmountFormat57Choice" on page 1123 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1123
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1123
Or}	Amount <Amt>	[1..1]	Amount	C2	1123

7.4.13.25.13.15 TaxOnProfits <TaxOnPrfts>

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as capital profits, capital gains.

TaxOnProfits <TaxOnPrfts> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

7.4.13.25.13.16 TaxReclaimRate <TaxRclmRate>

Presence: [0..1]

Definition: Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

TaxReclaimRate <TaxRclmRate> contains one of the following elements (see "[RateFormat24Choice](#)" on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

7.4.13.25.13.17 EqualisationRate <EqulstnRate>

Presence: [0..1]

Definition: Portion of the fund distribution which represents the average accrued income included in the purchase price for units bought during the account period.

EqualisationRate <EqulstnRate> contains one of the following elements (see "[RateAndAmountFormat42Choice](#)" on page 1101 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	1101
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1101

7.4.13.25.13.18 DeemedRate <DmdRate>

Presence: [0..*]

Definition: Rate applied for the calculation of deemed proceeds which are not paid to security holders but on which withholding tax is applicable.

DeemedRate <DmdRate> contains one of the following elements (see "RateAndAmountFormat61Choice" on page 1115 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1115
Or	Amount <Amt>	[1..1]	Amount	C2	1116
Or	NotSpecifiedRate <NotSpcedRate>	[1..1]	CodeSet		1116
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1116
	RateType <RateTp>	[1..1]			1116
{Or	Code <Cd>	[1..1]	CodeSet		1117
Or}	Proprietary <Prtry>	[1..1]	±		1117
	Amount <Amt>	[1..1]	Amount	C2	1117
	RateStatus <RateSts>	[0..1]		C52	1118
{Or	Code <Cd>	[1..1]	CodeSet		1118
Or}	Proprietary <Prtry>	[1..1]	±		1118
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1119
	RateType <RateTp>	[1..1]			1119
{Or	Code <Cd>	[1..1]	CodeSet		1119
Or}	Proprietary <Prtry>	[1..1]	±		1119
	Rate <Rate>	[1..1]	Rate		1120

7.4.13.25.14 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

Impacted by: C31 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **PriceDetails38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		521
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		521
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		522

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

7.4.13.25.14.1 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat73Choice" on page 1086 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1086
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1086
	PriceValue <PricVal>	[1..1]	Rate		1086
Or	AmountPrice <AmtPric>	[1..1]	±		1087
Or	NotSpecifiedPrice <NotSpctfdPric>	[1..1]	CodeSet		1087
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1087

7.4.13.25.14.2 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat72Choice" on page 1076 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1077
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1077
	PriceValue <PricVal>	[1..1]	Rate		1078
Or	AmountPrice <AmtPric>	[1..1]	±		1078
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1078
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			1078
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1079
	PriceValue <PricVal>	[1..1]	Amount	C2	1079
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C14	1079
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			1080
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1080
	PriceValue <PricVal>	[1..1]	Amount	C2	1080
	Amount <Amt>	[1..1]	Amount	C2	1081
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1081

7.4.13.25.14.3 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following elements (see "PriceFormat74Choice" on page 1075 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1075
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1075
	PriceValue <PricVal>	[1..1]	Rate		1076
Or	AmountPrice <AmtPric>	[1..1]	±		1076
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1076

7.4.13.26 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information about the corporate action movement.

Impacted by: C4 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative57** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..1]			523
	AdditionalInformation <AddtlInf>	[1..1]	Text		523
	NarrativeVersion <NrrtvVrsn>	[0..1]			524
	AdditionalInformation <AddtlInf>	[1..1]	Text		524
	InformationConditions <InfConds>	[0..1]			524
	AdditionalInformation <AddtlInf>	[1..*]	Text		524
	InformationToComplyWith <InfToCmplyWth>	[0..1]			524
	AdditionalInformation <AddtlInf>	[1..*]	Text		525
	SecurityRestriction <SctyRstrctn>	[0..1]			525
	AdditionalInformation <AddtlInf>	[1..*]	Text		525
	TaxationConditions <TaxtnConds>	[0..1]			525
	AdditionalInformation <AddtlInf>	[1..*]	Text		525
	Disclaimer <Dsclmr>	[0..1]			525
	AdditionalInformation <AddtlInf>	[1..*]	Text		526
	CertificationBreakdown <CertfctnBrkdwn>	[0..1]			526
	AdditionalInformation <AddtlInf>	[1..*]	Text		526

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

7.4.13.26.1 AdditionalText <AddtlTxt>

Presence: [0..1]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

AdditionalText <AddtlTxt> contains the following **UpdatedAdditionalInformation18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..1]	Text		523

7.4.13.26.1.1 AdditionalInformation <AddtlInf>

Presence: [1..1]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1245

7.4.13.26.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..1]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

NarrativeVersion <NrrtvVrsn> contains the following **UpdatedAdditionalInformation18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..1]	Text		524

7.4.13.26.2.1 AdditionalInformation <AddtlInf>

Presence: [1..1]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1245

7.4.13.26.3 InformationConditions <InfConds>

Presence: [0..1]

Definition: Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

InformationConditions <InfConds> contains the following **UpdatedAdditionalInformation17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		524

7.4.13.26.3.1 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1245

7.4.13.26.4 InformationToComplyWith <InfToCmplyWth>

Presence: [0..1]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

InformationToComplyWith <InfToCmplyWth> contains the following **UpdatedAdditionalInformation17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		525

7.4.13.26.4.1 AdditionalInformation <AddtlInf>*Presence:* [1..*]*Definition:* Provides additional textual information.*Datatype:* "Max350Text" on page 1245**7.4.13.26.5 SecurityRestriction <SctyRstrctn>***Presence:* [0..1]*Definition:* Provides restriction(s) on securities.**SecurityRestriction <SctyRstrctn>** contains the following **UpdatedAdditionalInformation17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		525

7.4.13.26.5.1 AdditionalInformation <AddtlInf>*Presence:* [1..*]*Definition:* Provides additional textual information.*Datatype:* "Max350Text" on page 1245**7.4.13.26.6 TaxationConditions <TaxtnConds>***Presence:* [0..1]*Definition:* Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).**TaxationConditions <TaxtnConds>** contains the following **UpdatedAdditionalInformation17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		525

7.4.13.26.6.1 AdditionalInformation <AddtlInf>*Presence:* [1..*]*Definition:* Provides additional textual information.*Datatype:* "Max350Text" on page 1245**7.4.13.26.7 Disclaimer <Dsclmr>***Presence:* [0..1]*Definition:* Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.

Disclaimer <Dsclmr> contains the following **UpdatedAdditionalInformation17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		526

7.4.13.26.7.1 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1245

7.4.13.26.8 CertificationBreakdown <CertfctnBrkdwn>

Presence: [0..1]

Definition: Provides additional information about the type of certification/breakdown required.

CertificationBreakdown <CertfctnBrkdwn> contains the following **UpdatedAdditionalInformation17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		526

7.4.13.26.8.1 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1245

7.4.14 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

Impacted by: C5 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative56** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..1]			527
	AdditionalInformation <AddtlInf>	[1..*]	Text		528
	NarrativeVersion <NrrtvVrsn>	[0..1]			528
	AdditionalInformation <AddtlInf>	[1..*]	Text		528
	InformationConditions <InfConds>	[0..1]			528
	AdditionalInformation <AddtlInf>	[1..*]	Text		528
	InformationToComplyWith <InfToCmplyWth>	[0..1]			529
	AdditionalInformation <AddtlInf>	[1..*]	Text		529
	TaxationConditions <TaxtnConds>	[0..1]			529
	AdditionalInformation <AddtlInf>	[1..*]	Text		529
	Disclaimer <Dsclmr>	[0..1]			529
	AdditionalInformation <AddtlInf>	[1..*]	Text		530
	PartyContactNarrative <PtyCtctNrrtv>	[0..1]			530
	AdditionalInformation <AddtlInf>	[1..*]	Text		530
	RegistrationDetails <RegnDtls>	[0..1]			530
	AdditionalInformation <AddtlInf>	[1..*]	Text		530
	BasketOrIndexInformation <BsktOrIndxInf>	[0..1]			530
	AdditionalInformation <AddtlInf>	[1..*]	Text		531
	CertificationBreakdown <CertfctnBrkdown>	[0..1]			531
	AdditionalInformation <AddtlInf>	[1..*]	Text		531
	ProcessingTextForNextIntermediary <PrcgTxtForNxtlntmry>	[0..1]			531
	AdditionalInformation <AddtlInf>	[1..*]	Text		531

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

7.4.14.1 AdditionalText <AddtlTxt>

Presence: [0..1]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

AdditionalText <AddtITxt> contains the following **UpdatedAdditionalInformation17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtInf>	[1..*]	Text		528

7.4.14.1.1 AdditionalInformation <AddtInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1245

7.4.14.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..1]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields of this message, - or narrative information not needed for automatic processing.

NarrativeVersion <NrrtvVrsn> contains the following **UpdatedAdditionalInformation17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtInf>	[1..*]	Text		528

7.4.14.2.1 AdditionalInformation <AddtInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1245

7.4.14.3 InformationConditions <InfConds>

Presence: [0..1]

Definition: Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

InformationConditions <InfConds> contains the following **UpdatedAdditionalInformation17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtInf>	[1..*]	Text		528

7.4.14.3.1 AdditionalInformation <AddtInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1245

7.4.14.4 InformationToComplyWith <InfToCmplyWth>

Presence: [0..1]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

InformationToComplyWith <InfToCmplyWth> contains the following **UpdatedAdditionalInformation17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		529

7.4.14.4.1 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1245

7.4.14.5 TaxationConditions <TaxtnConds>

Presence: [0..1]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

TaxationConditions <TaxtnConds> contains the following **UpdatedAdditionalInformation17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		529

7.4.14.5.1 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1245

7.4.14.6 Disclaimer <Dsclmr>

Presence: [0..1]

Definition: Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.

Disclaimer <Dsclmr> contains the following **UpdatedAdditionalInformation17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		530

7.4.14.6.1 AdditionalInformation <AddtlInf>*Presence:* [1..*]*Definition:* Provides additional textual information.*Datatype:* "Max350Text" on page 1245**7.4.14.7 PartyContactNarrative <PtyCtctNrrtv>***Presence:* [0..1]*Definition:* Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.**PartyContactNarrative <PtyCtctNrrtv>** contains the following **UpdatedAdditionalInformation17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		530

7.4.14.7.1 AdditionalInformation <AddtlInf>*Presence:* [1..*]*Definition:* Provides additional textual information.*Datatype:* "Max350Text" on page 1245**7.4.14.8 RegistrationDetails <RegnDtls>***Presence:* [0..1]*Definition:* Provides information required for the registration.**RegistrationDetails <RegnDtls>** contains the following **UpdatedAdditionalInformation17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		530

7.4.14.8.1 AdditionalInformation <AddtlInf>*Presence:* [1..*]*Definition:* Provides additional textual information.*Datatype:* "Max350Text" on page 1245**7.4.14.9 BasketOrIndexInformation <BsktOrIndxInf>***Presence:* [0..1]*Definition:* Provides additional information on the basket or index underlying a security, for example a warrant.

BasketOrIndexInformation <BsktOrIndxInf> contains the following
UpdatedAdditionalInformation17 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		531

7.4.14.9.1 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1245

7.4.14.10 CertificationBreakdown <CertfctnBrkdwn>

Presence: [0..1]

Definition: Provides additional information about the type of certification/breakdown required.

CertificationBreakdown <CertfctnBrkdwn> contains the following **UpdatedAdditionalInformation17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		531

7.4.14.10.1 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1245

7.4.14.11 ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy>

Presence: [0..1]

Definition: Provides additional information from the account servicer or a service provider solely intended for the next immediate account holder to enable or facilitate event processing between parties.

ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy> contains the following
UpdatedAdditionalInformation17 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		531

7.4.14.11.1 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1245

7.4.15 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see "[PartyIdentification129Choice](#)" on page 1037 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1038
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1038
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1038
Or}	LEI <LEI>	[1..1]	IdentifierSet		1038

7.4.16 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see "[PartyIdentification120Choice](#)" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

7.4.17 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "[PartyIdentification120Choice](#)" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

7.4.18 Registrar <Regar>

Presence: [0..1]

Definition: Party/agent responsible for maintaining the register of a security.

Registrar <Regar> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

7.4.19 ResellingAgent <RsellingAgt>

Presence: [0..*]

Definition: Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

ResellingAgent <RsellingAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

7.4.20 PhysicalSecuritiesAgent <PhysSctiesAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

PhysicalSecuritiesAgent <PhysSctiesAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

7.4.21 DropAgent <DrpAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

DropAgent <DrpAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

7.4.22 SolicitationAgent <SlctnAgt>

Presence: [0..*]

Definition: Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

SolicitationAgent <SlctnAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

7.4.23 InformationAgent <InfAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

InformationAgent <InfAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

7.4.24 Issuer <Issr>

Presence: [0..1]

Definition: Issuer of the financial instrument.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 1037 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1038
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1038
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1038
Or}	LEI <LEI>	[1..1]	IdentifierSet		1038

7.4.25 Offeror <Offerr>

Presence: [0..*]

Definition: Party making the offer and which is different from the issuing company.

Offeror <Offerr> contains one of the following elements (see "PartyIdentification129Choice" on page 1037 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1038
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1038
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1038
Or}	LEI <LEI>	[1..1]	IdentifierSet		1038

7.4.26 TransferAgent <TrfAgnt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

TransferAgent <TrfAgnt> contains one of the following elements (see "PartyIdentification129Choice" on page 1037 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1038
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1038
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1038
Or}	LEI <LEI>	[1..1]	IdentifierSet		1038

7.4.27 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C80 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 918 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		918
	Envelope <Envlp>	[1..1]	(External Schema)		918

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

8 **seev.036.001.16**

CorporateActionMovementConfirmationV16

8.1 **MessageDefinition Functionality**

Scope

The CorporateActionMovementConfirmation message is sent by an account servicer to an account owner or its designated agent to confirm the posting of securities or cash as a result of a corporate action event.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionMovementConfirmationV16 MessageDefinition is composed of 17 MessageBuildingBlocks:

A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

B. MovementConfirmationIdentification

Unique Identification of the group of corporate action movement confirmation messages when the movement confirmation is split in multiple (paginated) messages.

C. NotificationIdentification

Identification of a previously sent notification document.

D. MovementPreliminaryAdviceIdentification

Identification of a previously sent movement preliminary advice document.

E. InstructionIdentification

Identification of a related instruction document.

F. OtherDocumentIdentification

Identification of other documents as well as the document number.

G. EventsLinkage

Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

H. TransactionIdentification

Transaction type and identification information.

I. CorporateActionGeneralInformation

General information about the corporate action event.

J. AccountDetails

General information about the safekeeping account, owner and account balance.

K. CorporateActionDetails

Information about the corporate action event.

L. CorporateActionConfirmationDetails

Information about the corporate action option.

M. AdditionalInformation

Provides additional information.

N. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.

O. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

P. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

Q. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

8.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnMvmntConf>	[1..1]		C2, C28, C29, C34, C46, C47, C49, C60, C61, C62, C37	
	Pagination <Pgntn>	[0..1]	±		555
	MovementConfirmationIdentification <MvmntConfld>	[0..1]	Text		555
	NotificationIdentification <NtfctnId>	[0..1]	±		556
	MovementPreliminaryAdvisementIdentification <MvmntPrlmryAdvclId>	[0..1]	±		556
	InstructionIdentification <InstrId>	[0..1]	±		556
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		556
	EventsLinkage <EvtsLkg>	[0..*]	±		557
	TransactionIdentification <TxId>	[0..1]			557
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[1..1]	Text		557
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C45	558
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		558
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		558
	ClassActionNumber <ClssActnNb>	[0..1]	Text		558
	EventType <EvtTp>	[1..1]	±	C17	559
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C35, C36, C48	559
	FractionalQuantity <FrctnlQty>	[0..1]	±	C16	560
	AccountDetails <AcctDtls>	[1..1]		C53, C55, C57, C59	561
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		562
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		562
	AccountOwner <AcctOwnr>	[0..1]	±		562

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		563
	Balance <Bal>	[1..1]		C7	563
	ConfirmedBalance <ConfdBal>	[1..1]	±		564
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		565
	BlockedBalance <BlckdBal>	[0..1]	±		565
	BorrowedBalance <BrrwdBal>	[0..1]	±		565
	CollateralInBalance <CollInBal>	[0..1]	±		565
	CollateralOutBalance <CollOutBal>	[0..1]	±		566
	OnLoanBalance <OnLnBal>	[0..1]	±		566
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		566
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		567
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		567
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		567
	StreetPositionBalance <StrtPosBal>	[0..1]	±		568
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		568
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		568
	RegisteredBalance <RegdBal>	[0..1]	±		569
	AffectedBalance <AfctdBal>	[0..1]	±		569
	UnaffectedBalance <UafctdBal>	[0..1]	±		569
	CorporateActionDetails <CorpActnDtls>	[0..1]			570
	DateDetails <DtDtls>	[0..1]			570
	RecordDate <RcrdDt>	[0..1]	±		570
	ExDividendDate <ExDvddDt>	[0..1]	±		571
	EventStage <EvtStag>	[0..1]	±		571
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		571
	IntermediateSecuritiesDistributionType <IntrmdtScitiesDstrbtnTp>	[0..1]	±		572
	LotteryType <LtryTp>	[0..1]	±		572
	CorporateActionConfirmationDetails <CorpActnConfDtls>	[1..1]			572
	OptionNumber <OptnNb>	[1..1]	±		579
	OptionType <OptnTp>	[1..1]	±		579
	OptionFeatures <OptnFeatrs>	[0..*]			580

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		580
Or}	Proprietary <Prtry>	[1..1]	±		580
	FractionDisposition <FrctnDspstn>	[0..1]	±		581
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	581
	DateDetails <DtDtls>	[0..1]			581
	CoverExpirationDeadline <CoverXprtnDdl>	[0..1]	±		582
	TradingDate <TradgDt>	[0..1]	±		582
	PeriodDetails <PrdDtls>	[0..1]			582
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		582
	ActionPeriod <ActnPrd>	[0..1]	±		583
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		583
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C23, C25, C41, C43, C63, C65, C67, C71, C73	584
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		585
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		586
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		587
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	Rate		588
	ProrationRate <PrratnRate>	[0..1]	Rate		588
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		588
	SecondLevelTax <ScndLvTax>	[0..*]	±		588
	AdditionalTax <AddtlTax>	[0..1]	±		589
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		589
	PriceDetails <PricDtls>	[0..1]		C22	590
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		590
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		590
	PlaceOfTrade <PlcOfTrad>	[0..1]	±	C40	591
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C18, C30,	591

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
				C32, C38	
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C35, C36, C48	594
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		595
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		595
	NewSecuritiesIssuanceIndicator <NewSctiesIssncInd>	[0..1]	CodeSet		595
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]			596
{Or	Code <Cd>	[1..1]	CodeSet		596
Or}	Proprietary <Prtry>	[1..1]	±		596
	IncomeType <IncmTp>	[0..1]	±		596
	OtherIncomeType <OthrlIncmTp>	[0..*]	±		597
	ExemptionType <XmptnTp>	[0..*]	±		597
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	597
	PostingQuantity <PstngQty>	[1..1]	±		598
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		598
	FractionDisposition <FrctnDspstn>	[0..1]	±		598
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	599
	DateDetails <DtDtls>	[1..1]			599
	PostingDate <PstngDt>	[1..1]	±		599
	AvailableDate <AvlblDt>	[0..1]	±		600
	PariPassuDate <PrpssDt>	[0..1]	±		600
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		600
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		601
	PaymentDate <PmtDt>	[0..1]	±		601
	RateDetails <RateDtls>	[0..1]			601
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbrRslntrScties>	[0..1]	±		602
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		602
	NewToOld <NewToOd>	[0..1]	±		603
	ChargesFees <ChrgsFees>	[0..1]	±		603

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	FiscalStamp <FscIStmp>	[0..1]	Rate		604
	ApplicableRate <ApblRate>	[0..1]	Rate		604
	TaxCreditRate <TaxCdtRate>	[0..1]	±		604
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	Rate		604
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		604
	SecondLevelTax <ScndLvlTax>	[0..*]	±		605
	PriceDetails <PricDtls>	[0..1]		C20	605
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		606
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			606
{Or	IndicativePrice <IndctvPric>	[1..1]	±		607
Or}	MarketPrice <MktPric>	[1..1]	±		607
	CashValueForTax <CshValForTax>	[0..1]	±		607
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		607
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		608
	AmountDetails <AmtDtls>	[0..1]			608
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	609
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	609
	ReceivingSettlementParties <RcvgSttlmPties>	[0..1]	±	C50, C51	610
	DeliveringSettlementParties <DlvrGSttlmPties>	[0..1]	±	C50, C51	610
	CashMovementDetails <CshMvmntDtls>	[0..*]		C19, C31, C33, C39	611
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		616
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		616
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]			616
{Or	Code <Cd>	[1..1]	CodeSet		616
Or}	Proprietary <Prtry>	[1..1]	±		617
	IncomeType <IncmTp>	[0..1]	±		617
	OtherIncomeType <OthrIncmTp>	[0..*]	±		617
	ExemptionType <XmptnTp>	[0..*]	±		617

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	618
	Account <Acct>	[0..1]			618
{Or	CashAccount <CshAcct>	[1..1]	±		618
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		619
Or}	TaxAccount <TaxAcct>	[1..1]	±		619
	CashParties <CshPties>	[0..1]	±		619
	AmountDetails <AmtDtls>	[1..1]			620
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C11	622
	GrossAmount <GrssAmt>	[0..1]	Amount	C1, C11	623
	NetAmount <NetAmt>	[0..1]	Amount	C1, C11	623
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C11	624
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C11	624
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C11	624
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C11	625
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C11	625
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C11	626
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C11	626
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C11	627
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C11	627
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C11	627
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C11	628
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C11	628
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C11	629
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C11	629
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C11	629
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C11	630
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C11	630
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C11	631
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	631
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	631
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C11	632

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C11	632
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C11	633
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C11	633
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C11	633
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C11	634
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C11	634
	CashAmountBroughtForward <CshAmtBrghtFwd>	[0..1]	Amount	C1, C11	635
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C11	635
	NotionalDividendPayableAmount <NtnlDvddPyblAmt>	[0..1]	Amount	C1, C11	635
	NotionalTaxAmount <NtnlTaxAmt>	[0..1]	Amount	C1, C11	636
	TaxArrearsAmount <TaxArrearsAmt>	[0..1]	Amount	C1, C11	636
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C11	637
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C11	637
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C11	637
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C11	638
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C11	638
	EUTaxRetentionAmount <EUTaxRttnAmt>	[0..1]	Amount	C1, C11	639
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C11	639
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C11	639
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C11	640
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C11	640
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C11	641
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C11	641
	TransactionTax <TxTax>	[0..1]	Amount	C1, C11	641
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C11	642
	ForeignIncomeAmount <FrgrnIncmAmt>	[0..1]	Amount	C1, C11	642
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C11	643
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C11	643
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C11	643
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C11	644
	AdjustedSubscriptionAmount <AdjstdSbcptAmt>	[0..1]	Amount	C1, C11	644

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	RefundedSubscriptionAmount <RfnddSbcptAmt>	[0..1]	Amount	C1, C11	645
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C1, C11	645
	DateDetails <DtDtls>	[1..1]			646
	PostingDate <PstngDt>	[1..1]	±		646
	ValueDate <ValDt>	[0..1]	Date		646
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		646
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	Date		646
	PaymentDate <PmtDt>	[0..1]	Date		647
	ForeignExchangeDetails <FXDtls>	[0..*]	±		647
	TaxVoucherDetails <TaxVchrDtls>	[0..1]	±		647
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C12, C13, C24, C26, C42, C44, C64, C66, C68, C72, C74	647
	AdditionalTax <AddtlTax>	[0..1]	±		649
	ChargesFees <ChrgsFees>	[0..1]	±		650
	FiscalStamp <FscIStmp>	[0..1]	Rate		650
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		650
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		651
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		651
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		652
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		652
	ApplicableRate <AplblRate>	[0..1]	Rate		653
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		653
	TaxCreditRate <TaxCdtRate>	[0..1]	±		654
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		654
	SecondLevelTax <ScndLvTax>	[0..*]	±		654
	TaxOnIncome <TaxOnIncm>	[0..1]	±		655
	TaxOnProfits <TaxOnPrfts>	[0..1]	Rate		655

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxReclaimRate <TaxRclmRate>	[0..1]	Rate		655
	EqualisationRate <EqulstnRate>	[0..1]	Amount	C1	655
	DeemedRate <DmdRate>	[0..*]	±		656
	PriceDetails <PricDtls>	[0..1]		C21	656
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		657
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		657
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		658
	AdditionalInformation <AddtlInf>	[0..1]			658
	AdditionalText <AddtlTxt>	[0..*]	Text		659
	NarrativeVersion <NrrtvVrsn>	[0..*]	Text		659
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		659
	TaxationConditions <TaxtnConds>	[0..*]	Text		659
	IssuerAgent <IssrAgt>	[0..*]	±		659
	PayingAgent <PngAgt>	[0..*]	±		660
	SubPayingAgent <SubPngAgt>	[0..*]	±		660
	SupplementaryData <SplmtryData>	[0..*]	±	C70	660

8.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AdditionalInformationRule

All elements in the AdditionalInformation sequence must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in AdditionalInformation/NarrativeVersion.

This constraint is defined at the MessageDefinition level.

C3 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C4 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C5 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C6 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C7 AffectedAndUnaffectedBalanceRule

Unaffected balance and Affected balances should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C9 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C10 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 DeemedRate1Rule

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 566 NVR C4).

C13 DeemedRate2Rule

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate may be present, only one of those elements may be present.

(MT 566 NVR C3).

C14 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C15 DescriptionUsageRule

Description must be used alone as the last resort.

C16 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C17 EventTypeRule

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

C18 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C19 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C20 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

C21 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

C22 GenericCashPriceReceivedPerProductGuideline

GenericCashPriceReceivedPerProduct is the price received in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

C23 GrossDistributionRate2Rule

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 566 NVR C4).

C24 GrossDistributionRate2Rule

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 566 NVR C4).

C25 GrossDistributionRate3Rule

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

C26 GrossDistributionRate3Rule

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

C27 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C28 IncentivePremiumEventType1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 566 NVR C9)

On Condition

/CorporateActionDetails/AdditionalBusinessProcessIndicator[*]/Code is equal to value 'IncentivePremiumPayment'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'Consent'

This constraint is defined at the MessageDefinition level.

C29 IncentivePremiumEventTypeUG1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in any occurrence of CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

if

at least one occurrence of [CorporateActionDetails/AdditionalBusinessProcessIndicator/Code] has value included in the following list 'INCP'

, then

every occurrence of [CorporateActionGeneralInformation/EventType/Code] must have value included in the following list 'CONS'

Expression Language: UGRule

This constraint is defined at the MessageDefinition level.

C30 IncomeType1Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C31 IncomeType2Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C32 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C33 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C34 IntermediateSecuritiesDistribution1Rule

If CorporateActionGeneralInformation/EventType/Code is RHD1 (IntermediateSecuritiesDistribution), then CorporateActionDetails/

IntermediateSecuritiesDistributionType must be present.

(MT 566 NVR C5).

On Condition

/CorporateActionGeneralInformation/EventType/Code is equal to value 'IntermediateSecuritiesDistribution'

Following Must be True

/CorporateActionDetails Must be present

And /CorporateActionDetails/IntermediateSecuritiesDistributionType Must be present

This constraint is defined at the MessageDefinition level.

C35 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C36 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C37 IssuerAgentGuideline

IssuerAgent must be used only in the communication between a CSD and local custodians.

This constraint is defined at the MessageDefinition level.

C38 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C39 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C40 MarketTypeAndIdentificationRule

If Type/Code is OverTheCounter (OTCO) and if Identification is present, then Identification/Description must specify a system.

If Type/Code is Exchange (EXCH) and if Identification is present, then Identification/MarketIdentifierCode must be present.

C41 NetDistributionRate1Rule

If NetDistributionRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 566 NVR C4).

C42 NetDistributionRate1Rule

If NetDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 566 NVR C4).

C43 NetDistributionRate2Rule

Only a single occurrence of either NetDistributionRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

C44 NetDistributionRate2Rule

Only a single occurrence of either NetDistributionRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

C45 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C46 OptionNumberRule

The CorporateActionConfirmationDetails/OptionNumber value provided must be the same as the one provided in the related CorporateActionOptionDetails/OptionNumber element of the CorporateActionNotification or CorporateActionMovementPreliminaryAdvice messages previously sent.

This constraint is defined at the MessageDefinition level.

C47 OtherDocumentIdentificationRule

If CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/IndemnityAmount is present, then OtherDocumentationIdentification must be present to refer to the linked settlement confirmation.

This constraint is defined at the MessageDefinition level.

C48 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C49 PaginationRule

If pagination is present, then MovementConfirmationIdentification must be present.

On Condition
/Pagination is present

Following Must be True
/MovementConfirmationIdentification Must be present

This constraint is defined at the MessageDefinition level.

C50 Party2PresenceRule

If Party 2 is present, then Party 1 must be present.

C51 Party3PresenceRule

If Party 3 is present, then Party 2 must be present.

C52 RateStatusGuideline

The code value ACTU is the default value for a rate status.

C53 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C54 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C55 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C56 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C57 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C58 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C59 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C60 ScripOrDividendReinvestment1Rule

If CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/
CashAmountCarriedForward or CorporateActionConfirmationDetails/CashMovementDetails/
AmountDetails/CashAmountBroughtForward is present, then
CorporateActionGeneralInformation/EventType/Code value must be either DVSC
(ScripDividend) or DRIP (DividendReinvestment).

This constraint is defined at the MessageDefinition level.

C61 ScripOrDividendReinvestment2Rule

If CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/
NotionalDividendPayableAmount or CorporateActionConfirmationDetails/
CashMovementDetails/AmountDetails/NotionalTaxAmount is present, then
CorporateActionGeneralInformation/EventType/Code value must be DVSC (ScripDividend).

This constraint is defined at the MessageDefinition level.

C62 ScripOrDividendReinvestment3Rule

If CorporateActionConfirmationDetails/CashMovementDetails/TaxVoucherDetails/BargainDate or CorporateActionConfirmationDetails/CashMovementDetails/TaxVoucherDetails/BargainSettlementDate is present, then CorporateActionGeneralInformation/EventType/Code value must be DRIP (DividendReinvestment).

This constraint is defined at the MessageDefinition level.

C63 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C64 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 566 NVR C4).

C65 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C66 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

C67 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

C68 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

C69 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C70 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C71 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C72 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 566 NVR C4).

C73 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C74 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

C75 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

8.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

8.4.1 Pagination <Pgntn>

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

Pagination <Pgntn> contains the following elements (see "[Pagination1](#)" on page 883 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		883
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		883

8.4.2 MovementConfirmationIdentification <MvmntConflD>

Presence: [0..1]

Definition: Unique Identification of the group of corporate action movement confirmation messages when the movement confirmation is split in multiple (paginated) messages.

Datatype: "Max35Text" on page 1246

8.4.3 NotificationIdentification <NtfctnId>

Presence: [0..1]

Definition: Identification of a previously sent notification document.

NotificationIdentification <NtfctnId> contains the following elements (see "DocumentIdentification31" on page 854 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		855
	LinkageType <LkgTp>	[0..1]	±	C22	855

8.4.4 MovementPreliminaryAdviceIdentification <MvmntPrlmryAdvId>

Presence: [0..1]

Definition: Identification of a previously sent movement preliminary advice document.

MovementPreliminaryAdviceIdentification <MvmntPrlmryAdvId> contains the following elements (see "DocumentIdentification31" on page 854 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		855
	LinkageType <LkgTp>	[0..1]	±	C22	855

8.4.5 InstructionIdentification <InstrId>

Presence: [0..1]

Definition: Identification of a related instruction document.

InstructionIdentification <InstrId> contains the following elements (see "DocumentIdentification9" on page 871 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		871

8.4.6 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification32" on page 852 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			852
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		852
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		853
	DocumentNumber <DocNb>	[0..1]	±	C6	853
	LinkageType <LkgTp>	[0..1]	±	C22	853

8.4.7 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "CorporateActionEventReference3" on page 870 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			870
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		870
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		870
	LinkageType <LkgTp>	[0..1]	±	C22	871

8.4.8 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Transaction type and identification information.

TransactionIdentification <TxId> contains the following **TransactionIdentification15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[1..1]	Text		557

8.4.8.1 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

Presence: [1..1]

Definition: Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

Datatype: "Max35Text" on page 1246

8.4.9 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C45 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation179** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		558
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		558
	ClassActionNumber <ClssActnNb>	[0..1]	Text		558
	EventType <EvtTp>	[1..1]	±	C17	559
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C35, C36, C48	559
	FractionalQuantity <FrctnlQty>	[0..1]	±	C16	560

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

8.4.9.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "Max35Text" on page 1246

8.4.9.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "Max35Text" on page 1246

8.4.9.3 ClassActionNumber <ClssActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "Max35Text" on page 1246

8.4.9.4 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C17 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType109Choice" on page 994 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		995
Or}	Proprietary <Prtry>	[1..1]	±		1001

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

8.4.9.5 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security concerned by the corporate action.

Impacted by: C14 "DescriptionPresenceRule", C15 "DescriptionUsageRule", C35 "ISINGuideline", C36 "ISINPresenceRule", C48 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		864
	OtherIdentification <OthrId>	[0..*]			864
	Identification <Id>	[1..1]	Text		864
	Suffix <Sfx>	[0..1]	Text		864
	Type <Tp>	[1..1]	±		865
	Description <Desc>	[0..1]	Text		865

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

8.4.9.6 FractionalQuantity <FrctnlQty>

Presence: [0..1]

Definition: Fractional quantity resulting from an event which will be paid with cash in lieu.

Impacted by: [C16 "DigitalTokenUnitUsageRule"](#)

FractionalQuantity <FrctnlQty> contains one of the following elements (see ["FinancialInstrumentQuantity33Choice"](#) on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

8.4.10 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

Impacted by: C53 "SafekeepingAccountOrBlockChainAddress1Rule", C55

"SafekeepingAccountOrBlockChainAddress2Rule", C57

"SafekeepingAccountOrBlockChainAddress3Rule", C59 "SafekeepingPlaceRule"

AccountDetails <AcctDtls> contains the following **AccountAndBalance58** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		562
	BlockChainAddressOrWallet <BlckChainAdrOrWllet>	[0..1]	Text		562
	AccountOwner <AcctOwnr>	[0..1]	±		562
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		563
	Balance <Bal>	[1..1]		C7	563
	ConfirmedBalance <ConfdBal>	[1..1]	±		564
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		565
	BlockedBalance <BlckdBal>	[0..1]	±		565
	BorrowedBalance <BrrwdBal>	[0..1]	±		565
	CollateralInBalance <CollnBal>	[0..1]	±		565
	CollateralOutBalance <CollOutBal>	[0..1]	±		566
	OnLoanBalance <OnLnBal>	[0..1]	±		566
	PendingDeliveryBalance <PdgDlrvyBal>	[0..*]	±		566
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		567
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		567
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		567
	StreetPositionBalance <StrtPosBal>	[0..1]	±		568
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		568
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		568
	RegisteredBalance <RegdBal>	[0..1]	±		569
	AffectedBalance <AfctdBal>	[0..1]	±		569
	UnaffectedBalance <UafctdBal>	[0..1]	±		569

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

8.4.10.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "Max35Text" on page 1246

8.4.10.2 BlockChainAddressOrWallet <BlckChainAdrOrWlIt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 1244

8.4.10.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification127Choice" on page 1032 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1032
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1032

8.4.10.4 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]*Definition:* Location where the financial instruments are/will be safekept.**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "[SafekeepingPlaceFormat42Choice](#)" on page 1130 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			1130
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1130
	Identification </Id>	[0..1]	Text		1131
Or	Country <Ctry>	[1..1]	CodeSet	C3	1131
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		1131
Or	TypeAndIdentification <TpAndId>	[1..1]			1131
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1132
	Identification </Id>	[1..1]	IdentifierSet	C1	1132
Or}	Proprietary <Prtry>	[1..1]	±		1132

8.4.10.5 Balance <Bal>*Presence:* [1..1]*Definition:* Provides information about balance related to a corporate action.*Impacted by:* [C7 "AffectedAndUnaffectedBalanceRule"](#)

Balance <Bal> contains the following **CorporateActionBalanceDetails41** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ConfirmedBalance <ConfdBal>	[1..1]	±		564
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		565
	BlockedBalance <BlckdBal>	[0..1]	±		565
	BorrowedBalance <BrrwdBal>	[0..1]	±		565
	CollateralInBalance <CollInBal>	[0..1]	±		565
	CollateralOutBalance <CollOutBal>	[0..1]	±		566
	OnLoanBalance <OnLnBal>	[0..1]	±		566
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		566
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		567
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		567
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		567
	StreetPositionBalance <StrtPosBal>	[0..1]	±		568
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		568
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		568
	RegisteredBalance <RegdBal>	[0..1]	±		569
	AffectedBalance <AfctdBal>	[0..1]	±		569
	UnaffectedBalance <UafctdBal>	[0..1]	±		569

Constraints

- **AffectedAndUnaffectedBalanceRule**

Unaffected balance and Affected balances should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

8.4.10.5.1 ConfirmedBalance <ConfdBal>

Presence: [1..1]

Definition: Balance to which the payment applies (less or equal to the total eligible balance).

ConfirmedBalance <ConfdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

8.4.10.5.2 TotalEligibleBalance <TtlElgblBal>*Presence:* [0..1]*Definition:* Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.**TotalEligibleBalance <TtlElgblBal>** contains the following elements (see ["TotalEligibleBalanceFormat10"](#) on page 832 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[0..1]	±		832
	FullPeriodUnits <FullPrdUnits>	[0..1]	±		832
	PartWayPeriodUnits <PartWayPrdUnits>	[0..1]	±		833

8.4.10.5.3 BlockedBalance <BlckdBal>*Presence:* [0..1]*Definition:* Balance of financial instruments that are blocked.**BlockedBalance <BlckdBal>** contains one of the following elements (see ["BalanceFormat11Choice"](#) on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

8.4.10.5.4 BorrowedBalance <BrrwdBal>*Presence:* [0..1]*Definition:* Balance of financial instruments that have been borrowed from another party.**BorrowedBalance <BrrwdBal>** contains one of the following elements (see ["BalanceFormat11Choice"](#) on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

8.4.10.5.5 CollateralInBalance <CollnBal>*Presence:* [0..1]*Definition:* Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CollateralInBalance <CollInBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

8.4.10.5.6 CollateralOutBalance <CollOutBal>

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

CollateralOutBalance <CollOutBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

8.4.10.5.7 OnLoanBalance <OnLnBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

OnLoanBalance <OnLnBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

8.4.10.5.8 PendingDeliveryBalance <PdgDlvryBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

PendingDeliveryBalance <PdgDivryBal> contains one of the following elements (see "BalanceFormat12Choice" on page 829 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		829
Or	EligibleBalance <ElgblBal>	[1..1]	±		829
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		830
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		830
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		830

8.4.10.5.9 PendingReceiptBalance <PdgRctBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

PendingReceiptBalance <PdgRctBal> contains one of the following elements (see "BalanceFormat12Choice" on page 829 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		829
Or	EligibleBalance <ElgblBal>	[1..1]	±		829
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		830
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		830
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		830

8.4.10.5.10 OutForRegistrationBalance <OutForRegnBal>

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

OutForRegistrationBalance <OutForRegnBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

8.4.10.5.11 SettlementPositionBalance <SttlmPosBal>

Presence: [0..*]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

SettlementPositionBalance <SttlmPosBal> contains one of the following elements (see "BalanceFormat12Choice" on page 829 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		829
Or	EligibleBalance <ElgblBal>	[1..1]	±		829
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		830
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		830
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		830

8.4.10.5.12 StreetPositionBalance <StrtPosBal>

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

StreetPositionBalance <StrtPosBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

8.4.10.5.13 TradeDatePositionBalance <TradDtPosBal>

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

TradeDatePositionBalance <TradDtPosBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

8.4.10.5.14 InTransshipmentBalance <InTrnsShipmntBal>

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

InTransshipmentBalance <InTrnsShipmntBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

8.4.10.5.15 RegisteredBalance <RegdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

RegisteredBalance <RegdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

8.4.10.5.16 AffectedBalance <AfctdBal>

Presence: [0..1]

Definition: Balance that has been affected by the process run through the event.

AffectedBalance <AfctdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

8.4.10.5.17 UnaffectedBalance <UafctdBal>

Presence: [0..1]

Definition: Balance that has not been affected by the process run through the event.

UnaffectedBalance <UafctdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

8.4.11 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction85** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			570
	RecordDate <RcrdDt>	[0..1]	±		570
	ExDividendDate <ExDvddDt>	[0..1]	±		571
	EventStage <EvtStag>	[0..1]	±		571
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		571
	IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>	[0..1]	±		572
	LotteryType <LtryTp>	[0..1]	±		572

8.4.11.1 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

DateDetails <DtDtls> contains the following **CorporateActionDate86** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		570
	ExDividendDate <ExDvddDt>	[0..1]	±		571

8.4.11.1.1 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

8.4.11.1.2 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

8.4.11.2 EventStage <EvtStag>

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat14Choice" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		962
Or}	Proprietary <Prtry>	[1..1]	±		963

8.4.11.3 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>

Presence: [0..*]

Definition: Indicates that the additional business process relates to a claim on the associated corporate action event.

AdditionalBusinessProcessIndicator <AddtlBizPrclnd> contains one of the following elements (see "AdditionalBusinessProcessFormat23Choice" on page 1015 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1015
Or}	Proprietary <Prtry>	[1..1]	±		1016

8.4.11.4 IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp>

Presence: [0..1]

Definition: Type of intermediates securities distribution.

IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp> contains one of the following elements (see "IntermediateSecuritiesDistributionTypeFormat15Choice" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		974
Or}	Proprietary <Prtry>	[1..1]	±		975

8.4.11.5 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following elements (see "LotteryTypeFormat4Choice" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		973
Or}	Proprietary <Prtry>	[1..1]	±		973

8.4.12 CorporateActionConfirmationDetails <CorpActnConfDtls>

Presence: [1..1]

Definition: Information about the corporate action option.

CorporateActionConfirmationDetails <CorpActnConfDtls> contains the following
CorporateActionOption233 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		579
	OptionType <OptnTp>	[1..1]	±		579
	OptionFeatures <OptnFeatrs>	[0..*]			580
{Or	Code <Cd>	[1..1]	CodeSet		580
Or}	Proprietary <Prtry>	[1..1]	±		580
	FractionDisposition <FrctnDspstn>	[0..1]	±		581
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	581
	DateDetails <DtDtls>	[0..1]			581
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		582
	TradingDate <TradgDt>	[0..1]	±		582
	PeriodDetails <PrdDtls>	[0..1]			582
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		582
	ActionPeriod <ActnPrd>	[0..1]	±		583
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		583
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C23, C25, C41, C43, C63, C65, C67, C71, C73	584
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		585
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		586
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		587
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	Rate		588
	ProrationRate <PrratnRate>	[0..1]	Rate		588
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		588
	SecondLevelTax <ScndLvltax>	[0..*]	±		588
	AdditionalTax <AddtlTax>	[0..1]	±		589
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		589
	PriceDetails <PricDtls>	[0..1]		C22	590

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		590
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		590
	PlaceOfTrade <PlcOfTrad>	[0..1]	±	C40	591
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C18, C30, C32, C38	591
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C35, C36, C48	594
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		595
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		595
	NewSecuritiesIssuanceIndicator <NewSctiesIssncInd>	[0..1]	CodeSet		595
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]			596
{Or	Code <Cd>	[1..1]	CodeSet		596
Or}	Proprietary <Prtry>	[1..1]	±		596
	IncomeType <IncmTp>	[0..1]	±		596
	OtherIncomeType <OthrIncmTp>	[0..*]	±		597
	ExemptionType <XmptnTp>	[0..*]	±		597
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	597
	PostingQuantity <PstngQty>	[1..1]	±		598
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		598
	FractionDisposition <FrctnDspstn>	[0..1]	±		598
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	599
	DateDetails <DtDtls>	[1..1]			599
	PostingDate <PstngDt>	[1..1]	±		599
	AvailableDate <AvlblDt>	[0..1]	±		600
	PariPassuDate <PrpssDt>	[0..1]	±		600
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		600
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		601
	PaymentDate <PmtDt>	[0..1]	±		601
	RateDetails <RateDtls>	[0..1]			601

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsIntSecies>	[0..1]	±		602
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		602
	NewToOld <NewToOd>	[0..1]	±		603
	ChargesFees <ChrgsFees>	[0..1]	±		603
	FiscalStamp <FscIStmp>	[0..1]	Rate		604
	ApplicableRate <AplblRate>	[0..1]	Rate		604
	TaxCreditRate <TaxCdtRate>	[0..1]	±		604
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	Rate		604
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		604
	SecondLevelTax <ScndLvlTax>	[0..*]	±		605
	PriceDetails <PricDtls>	[0..1]		C20	605
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		606
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			606
{Or	IndicativePrice <IndctvPric>	[1..1]	±		607
Or}	MarketPrice <MktPric>	[1..1]	±		607
	CashValueForTax <CshValForTax>	[0..1]	±		607
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		607
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		608
	AmountDetails <AmtDtls>	[0..1]			608
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	609
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	609
	ReceivingSettlementParties <RcvgSttlmPties>	[0..1]	±	C50, C51	610
	DeliveringSettlementParties <DlvrSttlmPties>	[0..1]	±	C50, C51	610
	CashMovementDetails <CshMvmntDtls>	[0..*]		C19, C31, C33, C39	611
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		616
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		616
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]			616

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		616
Or}	Proprietary <Prtry>	[1..1]	±		617
	IncomeType <IncmTp>	[0..1]	±		617
	OtherIncomeType <OthrIncmTp>	[0..*]	±		617
	ExemptionType <XmptnTp>	[0..*]	±		617
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	618
	Account <Acct>	[0..1]			618
{Or	CashAccount <CshAcct>	[1..1]	±		618
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		619
Or}	TaxAccount <TaxAcct>	[1..1]	±		619
	CashParties <CshPties>	[0..1]	±		619
	AmountDetails <AmtDtls>	[1..1]			620
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C11	622
	GrossAmount <GrssAmt>	[0..1]	Amount	C1, C11	623
	NetAmount <NetAmt>	[0..1]	Amount	C1, C11	623
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C11	624
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C11	624
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C11	624
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C11	625
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C11	625
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C11	626
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C11	626
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C11	627
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C11	627
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C11	627
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C11	628
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C11	628
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C11	629
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C11	629
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C11	629
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C11	630

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C11	630
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C11	631
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	631
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	631
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C11	632
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C11	632
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C11	633
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C11	633
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C11	633
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C11	634
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C11	634
	CashAmountBroughtForward <CshAmtBrghtFwd>	[0..1]	Amount	C1, C11	635
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C11	635
	NotionalDividendPayableAmount <NtnlDvddPyblAmt>	[0..1]	Amount	C1, C11	635
	NotionalTaxAmount <NtnlTaxAmt>	[0..1]	Amount	C1, C11	636
	TaxArrearsAmount <TaxArrearsAmt>	[0..1]	Amount	C1, C11	636
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C11	637
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C11	637
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C11	637
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C11	638
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C11	638
	EUTaxRetentionAmount <EUTaxRtntnAmt>	[0..1]	Amount	C1, C11	639
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C11	639
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C11	639
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C11	640
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C11	640
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C11	641
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C11	641
	TransactionTax <TxTax>	[0..1]	Amount	C1, C11	641
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C11	642

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C11	642
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C11	643
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C11	643
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C11	643
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C11	644
	AdjustedSubscriptionAmount <AdjstdSbcptAmt>	[0..1]	Amount	C1, C11	644
	RefundedSubscriptionAmount <RfnddSbcptAmt>	[0..1]	Amount	C1, C11	645
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C1, C11	645
	DateDetails <DtDtls>	[1..1]			646
	PostingDate <PstngDt>	[1..1]	±		646
	ValueDate <ValDt>	[0..1]	Date		646
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		646
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	Date		646
	PaymentDate <PmtDt>	[0..1]	Date		647
	ForeignExchangeDetails <FXDtls>	[0..*]	±		647
	TaxVoucherDetails <TaxVchrDtls>	[0..1]	±		647
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C12, C13, C24, C26, C42, C44, C64, C66, C68, C72, C74	647
	AdditionalTax <AddtlTax>	[0..1]	±		649
	ChargesFees <ChrgsFees>	[0..1]	±		650
	FiscalStamp <FscIStmp>	[0..1]	Rate		650
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		650
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		651
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		651
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		652
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		652
	ApplicableRate <AplblRate>	[0..1]	Rate		653

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		653
	TaxCreditRate <TaxCdtRate>	[0..1]	±		654
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		654
	SecondLevelTax <ScndLvlTax>	[0..*]	±		654
	TaxOnIncome <TaxOnIncm>	[0..1]	±		655
	TaxOnProfits <TaxOnPrfts>	[0..1]	Rate		655
	TaxReclaimRate <TaxRclmRate>	[0..1]	Rate		655
	EqualisationRate <EqulstnRate>	[0..1]	Amount	C1	655
	DeemedRate <DmdRate>	[0..*]	±		656
	PriceDetails <PricDtls>	[0..1]		C21	656
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		657
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		657
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		658

8.4.12.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "[OptionNumber1Choice](#)" on page 964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		964
Or}	Code <Cd>	[1..1]	CodeSet		964

8.4.12.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "[CorporateActionOption33Choice](#)" on page 938 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		938
Or}	Proprietary <Prtry>	[1..1]	±		939

8.4.12.3 OptionFeatures <OptnFeatr>*Presence:* [0..*]*Definition:* Specifies the features that may apply to a corporate action option.**OptionFeatures <OptnFeatr>** contains one of the following **OptionFeaturesFormat29Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		580
Or}	Proprietary <Prtry>	[1..1]	±		580

8.4.12.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the features that may apply to a corporate action option.*Datatype:* "OptionFeatures14Code" on page 1222

CodeName	Name	Definition
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.

8.4.12.3.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the features that may apply to a corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

8.4.12.4 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "[FractionDispositionType27Choice](#)" on page 888 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		888
Or}	Proprietary <Prtry>	[1..1]	±		888

8.4.12.5 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited or debited.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyCode](#)" on page 1155

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.6 DateDetails <DtDtIs>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action option.

DateDetails <DtDtIs> contains the following **CorporateActionDate88** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		582
	TradingDate <TradgDt>	[0..1]	±		582

8.4.12.6.1 CoverExpirationDeadline <CoverXprtnDdln>*Presence:* [0..1]*Definition:* Last day a holder can deliver the securities that it had elected on and/or previously protected.**CoverExpirationDeadline <CoverXprtnDdln>** contains one of the following elements (see ["DateFormat43Choice"](#) on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

8.4.12.6.2 TradingDate <TradgDt>*Presence:* [0..1]*Definition:* Date/time at which the deal (rights) was agreed.**TradingDate <TradgDt>** contains one of the following elements (see ["DateFormat43Choice"](#) on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

8.4.12.7 PeriodDetails <PrdDtIs>*Presence:* [0..1]*Definition:* Provides information about the periods related to a corporate action option.**PeriodDetails <PrdDtIs>** contains the following **CorporateActionPeriod13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		582
	ActionPeriod <ActnPrd>	[0..1]	±		583
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		583

8.4.12.7.1 PriceCalculationPeriod <PricClctnPrd>*Presence:* [0..1]*Definition:* Period during which the price of a security is determined.

PriceCalculationPeriod <PricClctnPrd> contains the following elements (see "Period11" on page 848 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			848
{Or	Date <Dt>	[1..1]	±		849
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		849
	EndDate <EndDt>	[1..1]			849
{Or	Date <Dt>	[1..1]	±		849
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		850

8.4.12.7.2 ActionPeriod <ActnPrd>

Presence: [0..1]

Definition: Period during which the specified option, or all options of the event, remains valid, for example, offer period.

ActionPeriod <ActnPrd> contains the following elements (see "Period11" on page 848 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			848
{Or	Date <Dt>	[1..1]	±		849
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		849
	EndDate <EndDt>	[1..1]			849
{Or	Date <Dt>	[1..1]	±		849
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		850

8.4.12.7.3 ParallelTradingPeriod <ParlITradgPrd>

Presence: [0..1]

Definition: Period during which both old and new equity may be traded simultaneously, for example, consolidation of equity or splitting of equity.

ParallelTradingPeriod <ParlITradgPrd> contains the following elements (see "Period11" on page 848 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			848
{Or	Date <Dt>	[1..1]	±		849
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		849
	EndDate <EndDt>	[1..1]			849
{Or	Date <Dt>	[1..1]	±		849
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		850

8.4.12.8 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action option.

Impacted by: C23 "GrossDistributionRate2Rule", C25 "GrossDistributionRate3Rule", C41 "NetDistributionRate1Rule", C43 "NetDistributionRate2Rule", C63 "SecondLevelTax1Rule", C65 "SecondLevelTax2Rule", C67 "SecondLevelTax3Rule", C71 "WithholdingTaxRate1Rule", C73 "WithholdingTaxRate2Rule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate120** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		585
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		586
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		587
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	Rate		588
	ProrationRate <PrratnRate>	[0..1]	Rate		588
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		588
	SecondLevelTax <ScndLvITax>	[0..*]	±		588
	AdditionalTax <AddtlTax>	[0..1]	±		589
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		589

Constraints

- **GrossDistributionRate2Rule**

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 566 NVR C4).

- **GrossDistributionRate3Rule**

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

- **NetDistributionRate1Rule**

If NetDistributionRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 566 NVR C4).

- **NetDistributionRate2Rule**

Only a single occurrence of either NetDistributionRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

```
On Condition
  /SecondLevelTax[*] is present
Following Must be True
  /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

8.4.12.8.1 GrossDistributionRate <GrssDstrbtnRate>

Presence: [0..*]

Definition: Distribution rate before tax has been deducted.

GrossDistributionRate <GrssDstrbtnRate> contains one of the following elements (see "GrossDividendRateFormat35Choice" on page 948 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	949
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			949
	Amount <Amt>	[1..1]	Amount	C2	949
	RateStatus <RateSts>	[1..1]	CodeSet		949
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			950
	RateType <RateTp>	[1..1]			950
{Or	Code <Cd>	[1..1]	CodeSet		950
Or}	Proprietary <Prtry>	[1..1]	±		951
	Amount <Amt>	[1..1]	Amount	C2	951
	RateStatus <RateSts>	[0..1]		C52	952
{Or	Code <Cd>	[1..1]	CodeSet		952
Or}	Proprietary <Prtry>	[1..1]	±		952

8.4.12.8.2 NetDistributionRate <NetDstrbtnRate>

Presence: [0..*]

Definition: Distribution rate after tax has been deducted.

NetDistributionRate <NetDstrbtnRate> contains one of the following elements (see "NetDividendRateFormat37Choice" on page 889 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	889
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			890
	Amount <Amt>	[1..1]	Amount	C2	890
	RateStatus <RateSts>	[1..1]	CodeSet		890
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			890
	RateType <RateTp>	[1..1]			891
{Or	Code <Cd>	[1..1]	CodeSet		891
Or}	Proprietary <Prtry>	[1..1]	±		892
	Amount <Amt>	[1..1]	Amount	C2	892
	RateStatus <RateSts>	[0..1]		C52	892
{Or	Code <Cd>	[1..1]	CodeSet		893
Or}	Proprietary <Prtry>	[1..1]	±		893

8.4.12.8.3 GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>

Presence: [0..*]

Definition: Actual interest rate before tax used for the payment of the interest for the specified interest period.

GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat12Choice" on page 1106 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1107
Or	Amount <Amt>	[1..1]	Amount	C2	1107
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1107
	RateType <RateTp>	[1..1]			1108
{Or	Code <Cd>	[1..1]	CodeSet		1108
Or}	Proprietary <Prtry>	[1..1]	±		1108
	Amount <Amt>	[1..1]	Amount	C2	1109
	RateStatus <RateSts>	[0..1]		C52	1109
{Or	Code <Cd>	[1..1]	CodeSet		1109
Or}	Proprietary <Prtry>	[1..1]	±		1110

8.4.12.8.4 MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>*Presence:* [0..1]*Definition:* A maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, for example, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50 percent.*Datatype:* "Percentage14Rate" on page 1242**8.4.12.8.5 ProrationRate <PrratnRate>***Presence:* [0..1]*Definition:* Percentage of securities accepted by the offeror/issuer.*Datatype:* "Percentage14Rate" on page 1242**8.4.12.8.6 WithholdingTaxRate <WhldgTaxRate>***Presence:* [0..*]*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.**WithholdingTaxRate <WhldgTaxRate>** contains one of the following elements (see "RateAndAmountFormat55Choice" on page 1124 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1124
Or	Amount <Amt>	[1..1]	Amount	C2	1124
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1124
	RateType <RateTp>	[1..1]			1125
{Or	Code <Cd>	[1..1]	CodeSet		1125
Or}	Proprietary <Prtry>	[1..1]	±		1125
	Rate <Rate>	[1..1]	Rate		1126

8.4.12.8.7 SecondLevelTax <ScndLvTax>*Presence:* [0..*]*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following elements (see "RateAndAmountFormat55Choice" on page 1124 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1124
Or	Amount <Amt>	[1..1]	Amount	C2	1124
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1124
	RateType <RateTp>	[1..1]			1125
{Or	Code <Cd>	[1..1]	CodeSet		1125
Or}	Proprietary <Prtry>	[1..1]	±		1125
	Rate <Rate>	[1..1]	Rate		1126

8.4.12.8.8 AdditionalTax <AddtlTax>

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

AdditionalTax <AddtlTax> contains one of the following elements (see "RateAndAmountFormat59Choice" on page 1121 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1121
Or}	Amount <Amt>	[1..1]	Amount	C2	1121

8.4.12.8.9 TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>

Presence: [0..*]

Definition: Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments, for example, in the context of the EU Savings directive.

TaxableIncomePerDividendShare <TaxblIncmPerDvddShr> contains the following elements (see "RateTypeAndAmountAndStatus26" on page 900 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			901
{Or	Code <Cd>	[1..1]	CodeSet		901
Or}	Proprietary <Prtry>	[1..1]	±		901
	Amount <Amt>	[1..1]	Amount	C2	902
	RateStatus <RateSts>	[0..1]		C52	902
{Or	Code <Cd>	[1..1]	CodeSet		902
Or}	Proprietary <Prtry>	[1..1]	±		902

8.4.12.9 PriceDetails <PricDtls>*Presence:* [0..1]*Definition:* Provides information about the prices related to a corporate action option.*Impacted by:* [C22 "GenericCashPriceReceivedPerProductGuideline"](#)**PriceDetails <PricDtls>** contains the following **CorporateActionPrice84** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		590
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		590

Constraints

- **GenericCashPriceReceivedPerProductGuideline**

GenericCashPriceReceivedPerProduct is the price received in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

8.4.12.9.1 CashInLieuOfSharePrice <CshInLieuOfShrPric>*Presence:* [0..1]*Definition:* Cash disbursement in lieu of equities; usually in lieu of fractional quantity.**CashInLieuOfSharePrice <CshInLieuOfShrPric>** contains one of the following elements (see ["PriceFormat77Choice"](#) on page 1057 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1058
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1058
	PriceValue <PricVal>	[1..1]	Rate		1058
Or}	AmountPrice <AmtPric>	[1..1]	±		1058

8.4.12.9.2 OverSubscriptionDepositPrice <OverSbcptDpstPric>*Presence:* [0..1]*Definition:* Amount of money required per over-subscribed equity as defined by the issuer.**OverSubscriptionDepositPrice <OverSbcptDpstPric>** contains one of the following elements (see ["PriceFormat77Choice"](#) on page 1057 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1058
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1058
	PriceValue <PricVal>	[1..1]	Rate		1058
Or}	AmountPrice <AmtPric>	[1..1]	±		1058

8.4.12.10 PlaceOfTrade <PlcOfTrad>*Presence:* [0..1]*Definition:* Place where the trade was executed.*Impacted by:* C40 "MarketTypeAndIdentificationRule"**PlaceOfTrade <PlcOfTrad>** contains the following elements (see "MarketIdentification84" on page 878 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		878
	Type <Tp>	[1..1]	±		879

Constraints

- **MarketTypeAndIdentificationRule**

If Type/Code is OverTheCounter (OTCO) and if Identification is present, then Identification/Description must specify a system.

If Type/Code is Exchange (EXCH) and if Identification is present, then Identification/MarketIdentifierCode must be present.

8.4.12.11 SecuritiesMovementDetails <SctiesMvmntDtls>*Presence:* [0..*]*Definition:* Provides information about the securities movement linked to the corporate action option.*Impacted by:* C18 "ExemptionTypeUsageRule", C30 "IncomeType1Rule", C32 "IncomeTypeUsageRule", C38 "IssuerOfferorTaxabilityIndicatorUsageRule"

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following **SecuritiesOption112** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C35, C36, C48	594
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		595
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		595
	NewSecuritiesIssuanceIndicator <NewSctiesIssnclInd>	[0..1]	CodeSet		595
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]			596
{Or	Code <Cd>	[1..1]	CodeSet		596
Or}	Proprietary <Prtry>	[1..1]	±		596
	IncomeType <IncmTp>	[0..1]	±		596
	OtherIncomeType <OthrIncmTp>	[0..*]	±		597
	ExemptionType <XmptnTp>	[0..*]	±		597
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	597
	PostingQuantity <PstngQty>	[1..1]	±		598
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		598
	FractionDisposition <FrctnDspstn>	[0..1]	±		598
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	599
	DateDetails <DtDtls>	[1..1]			599
	PostingDate <PstngDt>	[1..1]	±		599
	AvailableDate <AvlblDt>	[0..1]	±		600
	PariPassuDate <PrpssDt>	[0..1]	±		600
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		600
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		601
	PaymentDate <PmtDt>	[0..1]	±		601
	RateDetails <RateDtls>	[0..1]			601
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsltntScties>	[0..1]	±		602
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		602
	NewToOld <NewToOd>	[0..1]	±		603
	ChargesFees <ChrgsFees>	[0..1]	±		603

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FiscalStamp <FscIStmp>	[0..1]	Rate		604
	ApplicableRate <AplblRate>	[0..1]	Rate		604
	TaxCreditRate <TaxCdtRate>	[0..1]	±		604
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	Rate		604
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		604
	SecondLevelTax <ScndLvlTax>	[0..*]	±		605
	PriceDetails <PricDtls>	[0..1]		C20	605
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		606
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			606
{Or	IndicativePrice <IndctvPric>	[1..1]	±		607
Or}	MarketPrice <MktPric>	[1..1]	±		607
	CashValueForTax <CshValForTax>	[0..1]	±		607
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		607
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		608
	AmountDetails <AmtDtls>	[0..1]			608
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	609
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	609
	ReceivingSettlementParties <RcvgSttlmPties>	[0..1]	±	C50, C51	610
	DeliveringSettlementParties <DlvrGSttlmPties>	[0..1]	±	C50, C51	610

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeType1Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

On Condition

/OtherIncomeType[*] is present

Following Must be True

/IncomeType Must be present

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

8.4.12.11.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the financial instrument.

Impacted by: [C14 "DescriptionPresenceRule"](#), [C15 "DescriptionUsageRule"](#), [C35 "ISINGuideline"](#), [C36 "ISINPresenceRule"](#), [C48 "OtherIdentificationPresenceRule"](#)

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see ["SecurityIdentification19"](#) on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		864
	OtherIdentification <OthrId>	[0..*]			864
	Identification </Id>	[1..1]	Text		864
	Suffix <Sfx>	[0..1]	Text		864
	Type <Tp>	[1..1]	±		865
	Description <Desc>	[0..1]	Text		865

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition

/OtherIdentification[*] is absent

Following Must be True

/ISIN Must be present

Or /Description Must be present

8.4.12.11.2 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1203

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

8.4.12.11.3 TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>

Presence: [0..1]

Definition: Specifies that the security identified is a temporary security identification used for processing reasons, for example, contra security used in the US.

TemporaryFinancialInstrumentIndicator <TempFinInstrmInd> contains one of the following elements (see "TemporaryFinancialInstrumentIndicator3Choice" on page 900 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TemporaryIndicator <TemplInd>	[1..1]	Indicator		900
Or}	Proprietary <Prtry>	[1..1]	±		900

8.4.12.11.4 NewSecuritiesIssuanceIndicator <NewScetiesIssncInd>

Presence: [0..1]

Definition: Specifies the nature of the securities issue.

Datatype: "NewSecuritiesIssuanceType6Code" on page 1219

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.

CodeName	Name	Definition
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.

8.4.12.11.5 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd> contains one of the following **IssuerOfferorTaxabilityIndicator2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		596
Or}	Proprietary <Prtry>	[1..1]	±		596

8.4.12.11.5.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify information regarding the issuer / offeror taxability status.

Datatype: "IssuerTaxability2Code" on page 1216

CodeName	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

8.4.12.11.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the issuer / offeror taxability status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

8.4.12.11.6 IncomeType <IncmTp>

Presence: [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

IncomeType <IncmTp> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

8.4.12.11.7 OtherIncomeType <OthrIncmTp>

Presence: [0..*]

Definition: Type of income to be used under another tax regime.

OtherIncomeType <OthrIncmTp> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

8.4.12.11.8 ExemptionType <XmptnTp>

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

8.4.12.11.9 CountryOfIncomeSource <CtryOfIncmSrc>

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Impacted by: [C10 "Country"](#)

Datatype: "[CountryCode](#)" on page 1203

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

8.4.12.11.10 PostingQuantity <PstngQty>

Presence: [1..1]

Definition: Quantity of securities that have been posted (credit or debit) to the safekeeping account.

PostingQuantity <PstngQty> contains one of the following elements (see "[Quantity51Choice](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C14	1092
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		1092

8.4.12.11.11 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "[SafekeepingPlaceFormat41Choice](#)" on page 988 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			989
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		989
	Identification <Id>	[0..1]	Text		989
Or	Country <Ctry>	[1..1]	CodeSet	C3	989
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		990
Or	TypeAndIdentification <TpAndId>	[1..1]			990
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		990
	Identification <Id>	[1..1]	IdentifierSet	C1	990
Or}	Proprietary <Prtry>	[1..1]	±		991

8.4.12.11.12 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "FractionDispositionType27Choice" on page 888 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		888
Or}	Proprietary <Prtry>	[1..1]	±		888

8.4.12.11.13 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited or debited.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1155

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.11.14 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to securities movement.

DateDetails <DtDtls> contains the following **SecurityDate25** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostingDate <PstngDt>	[1..1]	±		599
	AvailableDate <AvlblDt>	[0..1]	±		600
	PariPassuDate <PrpssDt>	[0..1]	±		600
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		600
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		601
	PaymentDate <PmtDt>	[0..1]	±		601

8.4.12.11.14.1 PostingDate <PstngDt>

Presence: [1..1]

Definition: Date of the posting (credit or debit) to the account.

PostingDate <PstngDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 845 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		845
Or}	DateTime <DtTm>	[1..1]	DateTime		845

8.4.12.11.14.2 AvailableDate <AvlblDt>

Presence: [0..1]

Definition: Date/time at which securities become available for trading, for example first dealing date.

AvailableDate <AvlblDt> contains one of the following elements (see ["DateFormat30Choice"](#) on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

8.4.12.11.14.3 PariPassuDate <PrpssDt>

Presence: [0..1]

Definition: Date/time at which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

PariPassuDate <PrpssDt> contains one of the following elements (see ["DateFormat30Choice"](#) on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

8.4.12.11.14.4 DividendRankingDate <DvddRnkgDt>

Presence: [0..1]

Definition: Date/time at which a security will be entitled to a dividend.

DividendRankingDate <DvddRnkgDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

8.4.12.11.14.5 EarliestPaymentDate <EarlstPmtDt>

Presence: [0..1]

Definition: Date/time at which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlstPmtDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

8.4.12.11.14.6 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

8.4.12.11.15 RateDetails <RateDtls>

Presence: [0..1]

Definition: Provides information about the rates related to securities movement.

RateDetails <RateDtls> contains the following **CorporateActionRate125** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies>	[0..1]	±		602
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		602
	NewToOld <NewToOd>	[0..1]	±		603
	ChargesFees <ChrgsFees>	[0..1]	±		603
	FiscalStamp <FscIStmp>	[0..1]	Rate		604
	ApplicableRate <AplblRate>	[0..1]	Rate		604
	TaxCreditRate <TaxCdtRate>	[0..1]	±		604
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	Rate		604
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		604
	SecondLevelTax <ScndLvlTax>	[0..*]	±		605

8.4.12.11.15.1 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies>

Presence: [0..1]

Definition: Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies> contains one of the following elements (see "RatioFormat20Choice" on page 1100 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1100
Or}	AmountToAmount <AmtToAmt>	[1..1]			1100
	Amount1 <Amt1>	[1..1]	Amount	C2	1100
	Amount2 <Amt2>	[1..1]	Amount	C2	1101

8.4.12.11.15.2 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>

Presence: [0..1]

Definition: Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, for example, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScities> contains one of the following elements (see "RatioFormat20Choice" on page 1100 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1100
Or}	AmountToAmount <AmtToAmt>	[1..1]			1100
	Amount1 <Amt1>	[1..1]	Amount	C2	1100
	Amount2 <Amt2>	[1..1]	Amount	C2	1101

8.4.12.11.15.3 NewToOld <NewToOd>

Presence: [0..1]

Definition: Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, for example, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

NewToOld <NewToOd> contains one of the following elements (see "RatioFormat19Choice" on page 1102 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1102
Or	AmountToAmount <AmtToAmt>	[1..1]			1102
	Amount1 <Amt1>	[1..1]	Amount	C2	1103
	Amount2 <Amt2>	[1..1]	Amount	C2	1103
Or	AmountToQuantity <AmtToQty>	[1..1]			1103
	Amount <Amt>	[1..1]	Amount	C2	1103
	Quantity <Qty>	[1..1]	Quantity		1104
Or}	QuantityToAmount <QtyToAmt>	[1..1]			1104
	Amount <Amt>	[1..1]	Amount	C2	1104
	Quantity <Qty>	[1..1]	Quantity		1104

8.4.12.11.15.4 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following elements (see "RateAndAmountFormat59Choice" on page 1121 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1121
Or}	Amount <Amt>	[1..1]	Amount	C2	1121

8.4.12.11.15.5 FiscalStamp <FscIStmp>*Presence:* [0..1]*Definition:* Percentage of fiscal tax to apply.*Datatype:* "Percentage14Rate" on page 1242**8.4.12.11.15.6 ApplicableRate <AplblRate>***Presence:* [0..1]*Definition:* Rate applicable to the event announced, for example, redemption rate for a redemption event.*Datatype:* "Percentage14Rate" on page 1242**8.4.12.11.15.7 TaxCreditRate <TaxCdtRate>***Presence:* [0..1]*Definition:* Amount of money per equity allocated as the result of a tax credit.**TaxCreditRate <TaxCdtRate>** contains one of the following elements (see "RateFormat27Choice" on page 1106 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1106
Or}	Amount <Amt>	[1..1]	Amount	C2	1106

8.4.12.11.15.8 FinancialTransactionTaxRate <FinTxTaxRate>*Presence:* [0..1]*Definition:* Rate of financial transaction tax.*Datatype:* "Percentage14Rate" on page 1242**8.4.12.11.15.9 WithholdingTaxRate <WhldgTaxRate>***Presence:* [0..*]*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following elements (see "RateAndAmountFormat55Choice" on page 1124 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1124
Or	Amount <Amt>	[1..1]	Amount	C2	1124
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1124
	RateType <RateTp>	[1..1]			1125
{Or	Code <Cd>	[1..1]	CodeSet		1125
Or}	Proprietary <Prtry>	[1..1]	±		1125
	Rate <Rate>	[1..1]	Rate		1126

8.4.12.11.15.10 SecondLevelTax <ScndLvITax>

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following elements (see "RateAndAmountFormat55Choice" on page 1124 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1124
Or	Amount <Amt>	[1..1]	Amount	C2	1124
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1124
	RateType <RateTp>	[1..1]			1125
{Or	Code <Cd>	[1..1]	CodeSet		1125
Or}	Proprietary <Prtry>	[1..1]	±		1125
	Rate <Rate>	[1..1]	Rate		1126

8.4.12.11.16 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to securities movement.

Impacted by: C20 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **CorporateActionPrice83** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		606
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			606
{Or	IndicativePrice <IndctvPric>	[1..1]	±		607
Or}	MarketPrice <MktPric>	[1..1]	±		607
	CashValueForTax <CshValForTax>	[0..1]	±		607
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		607
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		608

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

8.4.12.11.16.1 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following elements (see "PriceFormat77Choice" on page 1057 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1058
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1058
	PriceValue <PricVal>	[1..1]	Rate		1058
Or}	AmountPrice <AmtPric>	[1..1]	±		1058

8.4.12.11.16.2 IndicativeOrMarketPrice <IndctvOrMktPric>

Presence: [0..1]

Definition: Indicates whether the price is an indicative price or a market price.

IndicativeOrMarketPrice <IndctvOrMktPric> contains one of the following **IndicativeOrMarketPrice13Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]	±		607
Or}	MarketPrice <MktPric>	[1..1]	±		607

8.4.12.11.16.2.1 IndicativePrice <IndctvPric>*Presence:* [1..1]*Definition:* Estimated price, for example, for valuation purposes.**IndicativePrice <IndctvPric>** contains one of the following elements (see "[PriceFormat77Choice](#)" on page 1057 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1058
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1058
	PriceValue <PricVal>	[1..1]	Rate		1058
Or}	AmountPrice <AmtPric>	[1..1]	±		1058

8.4.12.11.16.2.2 MarketPrice <MktPric>*Presence:* [1..1]*Definition:* Last reported/known price of a financial instrument in a market.**MarketPrice <MktPric>** contains one of the following elements (see "[PriceFormat77Choice](#)" on page 1057 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1058
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1058
	PriceValue <PricVal>	[1..1]	Rate		1058
Or}	AmountPrice <AmtPric>	[1..1]	±		1058

8.4.12.11.16.3 CashValueForTax <CshValForTax>*Presence:* [0..1]*Definition:* Cash value of resulting securities proceeds for tax calculation and/or reporting.**CashValueForTax <CshValForTax>** contains the following elements (see "[AmountPrice2](#)" on page 828 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		828
	PriceValue <PricVal>	[1..1]	Amount	C2	828

8.4.12.11.16.4 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>*Presence:* [0..1]*Definition:* Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat75Choice" on page 1069 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1069
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1070
	PriceValue <PricVal>	[1..1]	Rate		1070
Or	AmountPrice <AmtPric>	[1..1]	±		1070
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1070

8.4.12.11.16.5 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat76Choice" on page 1081 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1082
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1082
	PriceValue <PricVal>	[1..1]	Rate		1083
Or	AmountPrice <AmtPric>	[1..1]	±		1083
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			1083
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1083
	PriceValue <PricVal>	[1..1]	Amount	C2	1084
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C14	1084
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			1084
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1085
	PriceValue <PricVal>	[1..1]	Amount	C2	1085
	Amount <Amt>	[1..1]	Amount	C2	1085
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1085

8.4.12.11.17 AmountDetails <AmtDtls>

Presence: [0..1]

Definition: Provides information about the amounts related to a securities movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts60** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	609
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	609

8.4.12.11.17.1 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.11.17.2 SecondLevelTaxAmount <ScndLvlTaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.11.18 ReceivingSettlementParties <RcvgSttlmPties>*Presence:* [0..1]*Definition:* Identifies the chain of receiving settlement parties.*Impacted by:* C50 "Party2PresenceRule", C51 "Party3PresenceRule"**ReceivingSettlementParties <RcvgSttlmPties>** contains the following elements (see "SettlementParties129" on page 1133 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]		C3	1134
	Identification <Id>	[1..1]			1135
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1135
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1136
Or	Country <Ctry>	[1..1]	CodeSet	C3	1136
Or}	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		1136
	ProcessingIdentification <PrcgId>	[0..1]	Text		1136
	AlternateIdentification <AltrnId>	[0..*]	±		1136
	Party1 <Pty1>	[0..1]	±	C4, C54, C56, C58	1137
	Party2 <Pty2>	[0..1]	±	C4, C54, C56, C58	1138
	Party3 <Pty3>	[0..1]	±	C4, C54, C56, C58	1139

Constraints

- Party2PresenceRule**

If Party 2 is present, then Party 1 must be present.

```

On Condition
  /Party2 is present
Following Must be True
  /Party1 Must be present

```

- Party3PresenceRule**

If Party 3 is present, then Party 2 must be present.

```

On Condition
  /Party3 is present
Following Must be True
  /Party2 Must be present

```

8.4.12.11.19 DeliveringSettlementParties <DlvrgSttlmPties>*Presence:* [0..1]*Definition:* Identifies the chain of delivering settlement parties.

Impacted by: C50 "Party2PresenceRule", C51 "Party3PresenceRule"

DeliveringSettlementParties <DlvrgSttlmPties> contains the following elements (see "SettlementParties129" on page 1133 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]		C3	1134
	Identification <Id>	[1..1]			1135
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1135
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1136
Or	Country <Ctry>	[1..1]	CodeSet	C3	1136
Or}	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		1136
	ProcessingIdentification <PrcgId>	[0..1]	Text		1136
	AlternateIdentification <AltrnId>	[0..*]	±		1136
	Party1 <Pty1>	[0..1]	±	C4, C54, C56, C58	1137
	Party2 <Pty2>	[0..1]	±	C4, C54, C56, C58	1138
	Party3 <Pty3>	[0..1]	±	C4, C54, C56, C58	1139

Constraints

- **Party2PresenceRule**

If Party 2 is present, then Party 1 must be present.

```
On Condition
  /Party2 is present
Following Must be True
  /Party1 Must be present
```

- **Party3PresenceRule**

If Party 3 is present, then Party 2 must be present.

```
On Condition
  /Party3 is present
Following Must be True
  /Party2 Must be present
```

8.4.12.12 CashMovementDetails <CshMvmntDtls>

Presence: [0..*]

Definition: Provides information about the cash movement related to a corporate action option.

Impacted by: C19 "ExemptionTypeUsageRule", C31 "IncomeType2Rule", C33 "IncomeTypeUsageRule", C39 "IssuerOfferorTaxabilityIndicatorUsageRule"

CashMovementDetails <CshMvmntDtls> contains the following **CashOption105** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		616
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		616
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbiltyInd>	[0..1]			616
{Or	Code <Cd>	[1..1]	CodeSet		616
Or}	Proprietary <Prtry>	[1..1]	±		617
	IncomeType <IncmTp>	[0..1]	±		617
	OtherIncomeType <OthrIncmTp>	[0..*]	±		617
	ExemptionType <XmptnTp>	[0..*]	±		617
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	618
	Account <Acct>	[0..1]			618
{Or	CashAccount <CshAcct>	[1..1]	±		618
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		619
Or}	TaxAccount <TaxAcct>	[1..1]	±		619
	CashParties <CshPties>	[0..1]	±		619
	AmountDetails <AmtDtls>	[1..1]			620
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C11	622
	GrossAmount <GrssAmt>	[0..1]	Amount	C1, C11	623
	NetAmount <NetAmt>	[0..1]	Amount	C1, C11	623
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C11	624
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C11	624
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C11	624
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C11	625
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C11	625
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C11	626
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C11	626
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C11	627
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C11	627
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C11	627
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C11	628
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C11	628

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C11	629
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C11	629
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C11	629
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C11	630
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C11	630
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C11	631
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	631
	SecondLevelTaxAmount <ScndLvTaxAmt>	[0..1]	Amount	C1, C11	631
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C11	632
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C11	632
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C11	633
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C11	633
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C11	633
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C11	634
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C11	634
	CashAmountBroughtForward <CshAmtBrghtFwd>	[0..1]	Amount	C1, C11	635
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C11	635
	NotionalDividendPayableAmount <NtnlDvddPyblAmt>	[0..1]	Amount	C1, C11	635
	NotionalTaxAmount <NtnlTaxAmt>	[0..1]	Amount	C1, C11	636
	TaxArrearsAmount <TaxArrearsAmt>	[0..1]	Amount	C1, C11	636
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C11	637
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C11	637
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C11	637
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C11	638
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C11	638
	EUTaxRetentionAmount <EUTaxRtnAmt>	[0..1]	Amount	C1, C11	639
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C11	639
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C11	639
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C11	640
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C11	640

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C11	641
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C11	641
	TransactionTax <TxTax>	[0..1]	Amount	C1, C11	641
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C11	642
	ForeignIncomeAmount <FrgrnIncmAmt>	[0..1]	Amount	C1, C11	642
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C11	643
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C11	643
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C11	643
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C11	644
	AdjustedSubscriptionAmount <AdjstdSbcptAmt>	[0..1]	Amount	C1, C11	644
	RefundedSubscriptionAmount <RfnddSbcptAmt>	[0..1]	Amount	C1, C11	645
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C1, C11	645
	DateDetails <DtDtls>	[1..1]			646
	PostingDate <PstngDt>	[1..1]	±		646
	ValueDate <ValDt>	[0..1]	Date		646
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		646
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	Date		646
	PaymentDate <PmtDt>	[0..1]	Date		647
	ForeignExchangeDetails <FXDtls>	[0..*]	±		647
	TaxVoucherDetails <TaxVchrDtls>	[0..1]	±		647
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C12, C13, C24, C26, C42, C44, C64, C66, C68, C72, C74	647
	AdditionalTax <AddtlTax>	[0..1]	±		649
	ChargesFees <ChrgsFees>	[0..1]	±		650
	FiscalStamp <FscIStmp>	[0..1]	Rate		650
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		650
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		651

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		651
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		652
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		652
	ApplicableRate <AplblRate>	[0..1]	Rate		653
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		653
	TaxCreditRate <TaxCdtRate>	[0..1]	±		654
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		654
	SecondLevelTax <ScndLvlTax>	[0..*]	±		654
	TaxOnIncome <TaxOnIncm>	[0..1]	±		655
	TaxOnProfits <TaxOnPrfts>	[0..1]	Rate		655
	TaxReclaimRate <TaxRclmRate>	[0..1]	Rate		655
	EqualisationRate <EqulstnRate>	[0..1]	Amount	C1	655
	DeemedRate <DmdRate>	[0..*]	±		656
	PriceDetails <PricDtls>	[0..1]		C21	656
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		657
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		657
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		658

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeType2Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

On Condition

/OtherIncomeType[*] is present

Following Must be True

/IncomeType Must be present

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

8.4.12.12.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Indicates whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1203

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

8.4.12.12.2 ContractualPaymentIndicator <CtrctlPmtInd>

Presence: [0..1]

Definition: Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

Datatype: "Payment1Code" on page 1223

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.
CONT	ContractualPayment	The cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer.

8.4.12.12.3 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd> contains one of the following **IssuerOfferorTaxabilityIndicator2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		616
Or}	Proprietary <Prtry>	[1..1]	±		617

8.4.12.12.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify information regarding the issuer / offeror taxability status.

Datatype: "IssuerTaxability2Code" on page 1216

CodeName	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

8.4.12.12.3.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification to specify information regarding the issuer / offeror taxability status.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

8.4.12.12.4 IncomeType <IncmTp>*Presence:* [0..1]*Definition:* Specifies the type of income.The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.**IncomeType <IncmTp>** contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

8.4.12.12.5 OtherIncomeType <OthrIncmTp>*Presence:* [0..*]*Definition:* Type of income to be used under another tax regime.**OtherIncomeType <OthrIncmTp>** contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

8.4.12.12.6 ExemptionType <XmptnTp>*Presence:* [0..*]*Definition:* Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

8.4.12.12.7 CountryOfIncomeSource <CtryOfIncmSrc>

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Impacted by: C10 "Country"

Datatype: "[CountryCode](#)" on page 1203

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

8.4.12.12.8 Account <Acct>

Presence: [0..1]

Definition: Choice between a cash account, a charges account or a tax account.

Account <Acct> contains one of the following **Account10Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccount <CshAcct>	[1..1]	±		618
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		619
Or}	TaxAccount <TaxAcct>	[1..1]	±		619

8.4.12.12.8.1 CashAccount <CshAcct>

Presence: [1..1]

Definition: Account in which cash is maintained.

CashAccount <CshAcct> contains one of the following elements (see "[CashAccountIdentification9Choice](#)" on page 826 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	826
Or	BlockChainCashWallet <BlickChainCshWllt>	[1..1]	±		826
Or}	Proprietary <Prtry>	[1..1]	Text		827

8.4.12.12.8.2 ChargesAccount <ChrgsAcct>*Presence:* [1..1]*Definition:* Account to be used for charges if different from the account for payment.**ChargesAccount <ChrgsAcct>** contains one of the following elements (see "CashAccountIdentification5Choice" on page 827 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	827
Or}	Proprietary <Prtry>	[1..1]	Text		828

8.4.12.12.8.3 TaxAccount <TaxAcct>*Presence:* [1..1]*Definition:* Account to be used for taxes if different from the account for payment.**TaxAccount <TaxAcct>** contains one of the following elements (see "CashAccountIdentification5Choice" on page 827 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	827
Or}	Proprietary <Prtry>	[1..1]	Text		828

8.4.12.12.9 CashParties <CshPties>*Presence:* [0..1]*Definition:* Provides information about cash parties.**CashParties <CshPties>** contains the following elements (see "CashParties43" on page 1028 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Creditor <Cdtr>	[0..1]		C5	1029
	Identification <Id>	[1..1]	±		1029
	CashAccount <CshAcct>	[0..1]	±		1029
	ProcessingIdentification <PrcgId>	[0..1]	Text		1030
	AlternateIdentification <AltrnId>	[0..1]	±		1030
	CreditorAgent <CdtrAgt>	[0..1]	±	C6	1030
	MarketClaimCounterparty <MktClmCtrPty>	[0..1]		C5	1030
	Identification <Id>	[1..1]	±		1031
	CashAccount <CshAcct>	[0..1]	±		1031
	ProcessingIdentification <PrcgId>	[0..1]	Text		1032
	AlternateIdentification <AltrnId>	[0..1]	±		1032

8.4.12.12.10 AmountDetails <AmtDtls>

Presence: [1..1]

Definition: Provides information about the amounts related to a cash movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts73** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C11	622
	GrossAmount <GrssAmt>	[0..1]	Amount	C1, C11	623
	NetAmount <NetAmt>	[0..1]	Amount	C1, C11	623
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C11	624
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C11	624
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C11	624
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C11	625
	MarketClaimAmount <MktClnAmt>	[0..1]	Amount	C1, C11	625
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C11	626
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C11	626
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C11	627
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C11	627
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C11	627
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C11	628
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C11	628
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C11	629
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C11	629
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C11	629
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C11	630
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C11	630
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C11	631
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	631
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	631
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C11	632
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C11	632
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C11	633
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C11	633
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C11	633
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C11	634

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C11	634
	CashAmountBroughtForward <CshAmtBrghtFwd>	[0..1]	Amount	C1, C11	635
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C11	635
	NotionalDividendPayableAmount <NtnlDvddPyblAmt>	[0..1]	Amount	C1, C11	635
	NotionalTaxAmount <NtnlTaxAmt>	[0..1]	Amount	C1, C11	636
	TaxArrearsAmount <TaxArrearsAmt>	[0..1]	Amount	C1, C11	636
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C11	637
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C11	637
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C11	637
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C11	638
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C11	638
	EUTaxRetentionAmount <EUTaxRtntnAmt>	[0..1]	Amount	C1, C11	639
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C11	639
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C11	639
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C11	640
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C11	640
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C11	641
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C11	641
	TransactionTax <TxTax>	[0..1]	Amount	C1, C11	641
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C11	642
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C11	642
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C11	643
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C11	643
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C11	643
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C11	644
	AdjustedSubscriptionAmount <AdjstdSbcptAmt>	[0..1]	Amount	C1, C11	644
	RefundedSubscriptionAmount <RfnddSbcptAmt>	[0..1]	Amount	C1, C11	645
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C1, C11	645

8.4.12.12.10.1 PostingAmount <PstngAmt>*Presence:* [1..1]*Definition:* Amount of money that is to be/was posted to the account.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.2 GrossAmount <GrssAmt>

Presence: [0..1]

Definition: Amount of money before any tax deductions have been made.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.3 NetAmount <NetAmt>

Presence: [0..1]

Definition: Amount of money after tax deductions have been made.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.4 SolicitationFees <SlctnFees>

Presence: [0..1]

Definition: Cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fees.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.5 CashInLieuOfShare <CshInLieuOfShr>

Presence: [0..1]

Definition: Cash disbursement in lieu of a fractional quantity of, for example, equity.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.6 CapitalGain <CptlGn>

Presence: [0..1]

Definition: Amount of money distributed as the result of a capital gain.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.7 InterestAmount <IntrstAmt>

Presence: [0..1]

Definition: Amount of money representing a coupon payment.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.8 MarketClaimAmount <MktCImAmt>

Presence: [0..1]

Definition: Amount of money resulting from a market claim.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.9 IndemnityAmount <IndmntyAmt>

Presence: [0..1]

Definition: (Unique to France) Amount due to a buyer of securities dealt prior to ex date which may be subject to different rate of taxation.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.10 ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>

Presence: [0..1]

Definition: Amount of money that the borrower pays to the lender as a compensation. It does not entitle the lender to reclaim any tax credit and is sometimes treated differently by the local tax authorities of the lender. Also covers compensation/indemnity of missed dividend concerning early/late settlements if applicable to a market.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.11 ReinvestmentAmount <RinvstmtAmt>

Presence: [0..1]

Definition: Amount of money reinvested in additional securities.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.12 FullyFrankedAmount <FullyFrnkdtAmt>

Presence: [0..1]

Definition: Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.13 UnfrankedAmount <UfrnkdtAmt>

Presence: [0..1]

Definition: Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.14 SundryOrOtherAmount <SndryOrOthrAmt>

Presence: [0..1]

Definition: Amount of money related to taxable income that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.15 TaxFreeAmount <TaxFreeAmt>

Presence: [0..1]

Definition: Amount of money that has not been subject to taxation.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.16 TaxDeferredAmount <TaxDfrdAmt>

Presence: [0..1]

Definition: Amount of income eligible for deferred taxation.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.17 ValueAddedTaxAmount <ValAddedTaxAmt>

Presence: [0..1]

Definition: Tax on value added.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.18 StampDutyAmount <StmpDtyAmt>

Presence: [0..1]

Definition: Amount of stamp duty.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.19 TaxReclaimAmount <TaxRclmAmt>

Presence: [0..1]

Definition: Amount that was paid in excess of actual tax obligation and was reclaimed.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.20 TaxCreditAmount <TaxCdtAmt>

Presence: [0..1]

Definition: Amount of taxes that have been previously paid in relation to the taxable event.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.21 AdditionalTaxAmount <AddtlTaxAmt>

Presence: [0..1]

Definition: Amount of additional taxes that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.22 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.23 SecondLevelTaxAmount <ScndLvITaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.24 FiscalStampAmount <FscIStmpAmt>

Presence: [0..1]

Definition: Amount of fiscal tax to apply.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.25 ExecutingBrokerAmount <ExctgBrkrAmt>

Presence: [0..1]

Definition: Amount of money paid to an executing broker as a commission.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.26 PayingAgentCommissionAmount <PngAgtComssnAmt>

Presence: [0..1]

Definition: Amount of paying/sub-paying agent commission.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.27 LocalBrokerCommissionAmount <LclBrkrComssnAmt>

Presence: [0..1]

Definition: Local broker's commission.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.28 RegulatoryFeesAmount <RgltryFeesAmt>

Presence: [0..1]

Definition: Amount of money charged by a regulatory authority, for example, Securities and Exchange fees.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.29 ShippingFeesAmount <ShppgFeesAmt>

Presence: [0..1]

Definition: All costs related to the physical delivery of documents such as stamps, postage, carrier fees, insurances or messenger services.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.30 ChargesAmount <ChrgsAmt>

Presence: [0..1]

Definition: Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.31 CashAmountBroughtForward <CshAmtBrghFwd>

Presence: [0..1]

Definition: Indicates cash retained from previous dividend.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.32 CashAmountCarriedForward <CshAmtCrrdFwd>

Presence: [0..1]

Definition: Indicates the balance carried forward to next dividend.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.33 NotionalDividendPayableAmount <NtnlDvddPyblAmt>

Presence: [0..1]

Definition: Amount of cash that would have been payable if the dividend had been taken in the form of cash rather than shares.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.34 NotionalTaxAmount <NtnlTaxAmt>

Presence: [0..1]

Definition: Where new securities are issued in lieu of a cash dividend, the notional tax is the tax on the amount of cash that would have been paid.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.35 TaxArrearsAmount <TaxArrearsAmt>

Presence: [0..1]

Definition: Amount of money paid by the tax authorities in addition to the payment of the tax refund itself.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.36 OriginalAmount <OrgnlAmt>

Presence: [0..1]

Definition: Posting/settlement Amount in its original currency when conversion from/into another currency has occurred.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.37 PrincipalOrCorpus <PrncplOrCrps>

Presence: [0..1]

Definition: Amount of money representing a distribution of a bond's principal, for example, repayment of outstanding debt.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.38 RedemptionPremiumAmount <RedPrmAmt>

Presence: [0..1]

Definition: Amount of money (not interest) in addition to the principal at the redemption of a bond.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.39 IncomePortion <IncmPrtn>

Presence: [0..1]

Definition: Amount relating to the underlying security for which income is distributed.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.40 StockExchangeTax <StockXchgTax>

Presence: [0..1]

Definition: Amount of stock exchange tax.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.41 EUTaxRetentionAmount <EUTaxRtnAmt>

Presence: [0..1]

Definition: Total amount of tax withheld at source in conformance with the EU Savings Directive.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.42 AccruedInterestAmount <AcrdIntrstAmt>

Presence: [0..1]

Definition: Amount of interest that has been accrued in between coupon payment periods.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.43 EqualisationAmount <EqualstnAmt>

Presence: [0..1]

Definition: Portion of the fund distribution amount which represents the average accrued income included in the purchase price for units bought during the account period.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.44 FATCATaxAmount <FATCATaxAmt>

Presence: [0..1]

Definition: FATCA (Foreign Account Tax Compliance Act) related tax amount.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.45 NRATaxAmount <NRATaxAmt>

Presence: [0..1]

Definition: Amount of tax related income subject to NRA (Non Resident Alien).

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.46 BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>

Presence: [0..1]

Definition: Amount of tax related to back up withholding.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.47 TaxOnIncomeAmount <TaxOnIncmAmt>

Presence: [0..1]

Definition: Amount of overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.48 TransactionTax <TxTax>

Presence: [0..1]

Definition: Amount of transaction tax.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.49 DeemedAmount <DmdAmt>

Presence: [0..1]

Definition: Amount of proceeds which is not actually paid to the security holder but on which withholding tax is applicable.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.50 ForeignIncomeAmount <FrgrnIncmAmt>

Presence: [0..1]

Definition: Amount relating to a foreign income such as a conduit foreign income.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.51 DeemedDividendAmount <DmdDvddAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of dividend proceeds attributed to the security holder for the taxable year and the rate of dividend proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.52 DeemedFundAmount <DmdFndAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of the proceeds components comprising a fund payment attributed to the security holder for the taxable year and the rate of the fund proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.53 DeemedInterestAmount <DmdIntrstAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of interest proceeds attributed to the security holder for the taxable year and the rate of interest proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.54 DeemedRoyaltiesAmount <DmdRyltsAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of royalty proceeds attributed to the security holder for the taxable year and the rate of royalty proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.55 AdjustedSubscriptionAmount <AdjstdSbcptAmt>

Presence: [0..1]

Definition: Amount of money debited or credited to adjust for the difference between the estimated and the final subscription rate for Rights Exercise events.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.56 RefundedSubscriptionAmount <RfnddSbcptAmt>

Presence: [0..1]

Definition: Amount of money refunded from the original subscription cost for a Rights Exercise event. This occurs when the event is prorated and the subscription cost relating to the unaffected (unaccepted) balance is returned to the holder.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.57 BuyUpAmount <BuyUpAmt>

Presence: [0..1]

Definition: Amount of cash debited in the context of a buy up option.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.11 DateDetails <DtDtls>*Presence:* [1..1]*Definition:* Provides information about the dates related to a cash movement.**DateDetails <DtDtls>** contains the following **CorporateActionDate99** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostingDate <PstngDt>	[1..1]	±		646
	ValueDate <ValDt>	[0..1]	Date		646
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		646
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	Date		646
	PaymentDate <PmtDt>	[0..1]	Date		647

8.4.12.12.11.1 PostingDate <PstngDt>*Presence:* [1..1]*Definition:* Date/time of the posting (credit or debit) to the account.**PostingDate <PstngDt>** contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 845 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		845
Or}	DateTime <DtTm>	[1..1]	DateTime		845

8.4.12.12.11.2 ValueDate <ValDt>*Presence:* [0..1]*Definition:* Date/time when calculating economic benefit for a cash amount.*Datatype:* ["ISODate"](#) on page 1238**8.4.12.12.11.3 ForeignExchangeRateFixingDate <FXRateFxdDt>***Presence:* [0..1]*Definition:* Date/time at which a foreign exchange rate will be determined.**ForeignExchangeRateFixingDate <FXRateFxdDt>** contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 845 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		845
Or}	DateTime <DtTm>	[1..1]	DateTime		845

8.4.12.12.11.4 EarliestPaymentDate <EarlstPmtDt>*Presence:* [0..1]

Definition: Date/time on which a payment can be made, for example, if the payment date is a non-business day or to indicate the first payment date of an offer.

Datatype: "ISODate" on page 1238

8.4.12.12.11.5 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date on which the distribution is due to take place (cash and/or securities).

Datatype: "ISODate" on page 1238

8.4.12.12.12 ForeignExchangeDetails <FXDtIs>

Presence: [0..*]

Definition: Exchange rate between the amount and the resulting amount.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms40](#)" on page 1017 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1017
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1017
	ExchangeRate <XchgRate>	[1..1]	Rate		1018
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C2, C11	1018

8.4.12.12.13 TaxVoucherDetails <TaxVchrDtIs>

Presence: [0..1]

Definition: Provides information about the tax voucher related to a cash movement.

TaxVoucherDetails <TaxVchrDtIs> contains the following elements (see "[TaxVoucher4](#)" on page 977 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		977
	BargainDate <BrngDt>	[0..1]	±		978
	BargainSettlementDate <BrngSttlmDt>	[0..1]	±		978

8.4.12.12.14 RateAndAmountDetails <RateAndAmtDtIs>

Presence: [0..1]

Definition: Provides information about the corporate action option.

Impacted by: C12 "DeemedRate1Rule", C13 "DeemedRate2Rule", C24 "GrossDistributionRate2Rule", C26 "GrossDistributionRate3Rule", C42 "NetDistributionRate1Rule", C44 "NetDistributionRate2Rule", C64 "SecondLevelTax1Rule", C66 "SecondLevelTax2Rule", C68 "SecondLevelTax3Rule", C72 "WithholdingTaxRate1Rule", C74 "WithholdingTaxRate2Rule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **Rate41** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]	±		649
	ChargesFees <ChrgsFees>	[0..1]	±		650
	FiscalStamp <FscIStmp>	[0..1]	Rate		650
	GrossDistributionRate <GrssDstrbtnRate>	[0..*]	±		650
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		651
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		651
	GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>	[0..*]	±		652
	NetDistributionRate <NetDstrbtnRate>	[0..*]	±		652
	ApplicableRate <ApplblRate>	[0..1]	Rate		653
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		653
	TaxCreditRate <TaxCdtRate>	[0..1]	±		654
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		654
	SecondLevelTax <ScndLvITax>	[0..*]	±		654
	TaxOnIncome <TaxOnIncm>	[0..1]	±		655
	TaxOnProfits <TaxOnPrfts>	[0..1]	Rate		655
	TaxReclaimRate <TaxRclmRate>	[0..1]	Rate		655
	EqualisationRate <EqulstnRate>	[0..1]	Amount	C1	655
	DeemedRate <DmdRate>	[0..*]	±		656

Constraints

- **DeemedRate1Rule**

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 566 NVR C4).

- **DeemedRate2Rule**

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate may be present, only one of those elements may be present.

(MT 566 NVR C3).

- **GrossDistributionRate2Rule**

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 566 NVR C4).

- **GrossDistributionRate3Rule**

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

- **NetDistributionRate1Rule**

If NetDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 566 NVR C4).

- **NetDistributionRate2Rule**

Only a single occurrence of either NetDistributionRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 566 NVR C4).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

On Condition
 /SecondLevelTax[*] is present
 Following Must be True
 /WithholdingTaxRate[*] Must be present

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 566 NVR C4).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

8.4.12.12.14.1 AdditionalTax <AddtlTax>

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

AdditionalTax <AddtlTax> contains one of the following elements (see "RateAndAmountFormat59Choice" on page 1121 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1121
Or}	Amount <Amt>	[1..1]	Amount	C2	1121

8.4.12.12.14.2 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following elements (see "RateAndAmountFormat59Choice" on page 1121 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1121
Or}	Amount <Amt>	[1..1]	Amount	C2	1121

8.4.12.12.14.3 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

Datatype: "Percentage14Rate" on page 1242

8.4.12.12.14.4 GrossDistributionRate <GrssDstrbtnRate>

Presence: [0..*]

Definition: Distribution rate before tax has been deducted.

GrossDistributionRate <GrssDstrbtnRate> contains one of the following elements (see "GrossDividendRateFormat37Choice" on page 903 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	903
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			904
	Amount <Amt>	[1..1]	Amount	C2	904
	RateStatus <RateSts>	[1..1]	CodeSet		904
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			904
	RateType <RateTp>	[1..1]			905
{Or	Code <Cd>	[1..1]	CodeSet		905
Or}	Proprietary <Prtry>	[1..1]	±		906
	Amount <Amt>	[1..1]	Amount	C2	906
	RateStatus <RateSts>	[0..1]		C52	907
{Or	Code <Cd>	[1..1]	CodeSet		907
Or}	Proprietary <Prtry>	[1..1]	±		907

8.4.12.12.14.5 EarlySolicitationFeeRate <EarlySlctnFeeRate>

Presence: [0..1]

Definition: Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.

EarlySolicitationFeeRate <EarlySlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat12Choice" on page 1104 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1105
Or	AmountToQuantity <AmtToQty>	[1..1]			1105
	Amount <Amt>	[1..1]	Amount	C2	1105
	Quantity <Qty>	[1..1]	Quantity		1105
Or}	Amount <Amt>	[1..1]	Amount	C2	1106

8.4.12.12.14.6 ThirdPartyIncentiveRate <ThrdPtyIncntivRate>

Presence: [0..1]

Definition: Cash rate made available in an event in order to encourage participation in the offer. As information, Payment is made to a third party who has solicited an entity to take part in the offer.

ThirdPartyIncentiveRate <ThrdPtyIncntivRate> contains one of the following elements (see "RateAndAmountFormat59Choice" on page 1121 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1121
Or}	Amount <Amt>	[1..1]	Amount	C2	1121

8.4.12.12.14.7 GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>

Presence: [0..*]

Definition: Actual interest rate before tax used for the payment of the interest for the specified interest period.

GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat12Choice" on page 1106 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1107
Or	Amount <Amt>	[1..1]	Amount	C2	1107
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1107
	RateType <RateTp>	[1..1]			1108
{Or	Code <Cd>	[1..1]	CodeSet		1108
Or}	Proprietary <Prtry>	[1..1]	±		1108
	Amount <Amt>	[1..1]	Amount	C2	1109
	RateStatus <RateSts>	[0..1]		C52	1109
{Or	Code <Cd>	[1..1]	CodeSet		1109
Or}	Proprietary <Prtry>	[1..1]	±		1110

8.4.12.12.14.8 NetDistributionRate <NetDstrbtnRate>

Presence: [0..*]

Definition: Distribution rate after tax has been deducted.

NetDistributionRate <NetDstrbtnRate> contains one of the following elements (see "NetDividendRateFormat40Choice" on page 894 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	895
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			895
	Amount <Amt>	[1..1]	Amount	C2	896
	RateStatus <RateSts>	[1..1]	CodeSet		896
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			896
	RateType <RateTp>	[1..1]			896
{Or	Code <Cd>	[1..1]	CodeSet		897
Or}	Proprietary <Prtry>	[1..1]	±		897
	Amount <Amt>	[1..1]	Amount	C2	898
	RateStatus <RateSts>	[0..1]		C52	898
{Or	Code <Cd>	[1..1]	CodeSet		898
Or}	Proprietary <Prtry>	[1..1]	±		899

8.4.12.12.14.9 ApplicableRate <AplblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

Datatype: "Percentage14Rate" on page 1242

8.4.12.12.14.10 SolicitationFeeRate <SlctnFeeRate>

Presence: [0..1]

Definition: Rate of the cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fee.

SolicitationFeeRate <SlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat12Choice" on page 1104 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1105
Or	AmountToQuantity <AmtToQty>	[1..1]			1105
	Amount <Amt>	[1..1]	Amount	C2	1105
	Quantity <Qty>	[1..1]	Quantity		1105
Or}	Amount <Amt>	[1..1]	Amount	C2	1106

8.4.12.12.14.11 TaxCreditRate <TaxCdtRate>*Presence:* [0..1]*Definition:* Amount of money per equity allocated as the result of a tax credit.**TaxCreditRate <TaxCdtRate>** contains one of the following elements (see "RateFormat27Choice" on page 1106 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1106
Or}	Amount <Amt>	[1..1]	Amount	C2	1106

8.4.12.12.14.12 WithholdingTaxRate <WhldgTaxRate>*Presence:* [0..*]*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.**WithholdingTaxRate <WhldgTaxRate>** contains one of the following elements (see "RateAndAmountFormat55Choice" on page 1124 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1124
Or	Amount <Amt>	[1..1]	Amount	C2	1124
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1124
	RateType <RateTp>	[1..1]			1125
{Or	Code <Cd>	[1..1]	CodeSet		1125
Or}	Proprietary <Prtry>	[1..1]	±		1125
	Rate <Rate>	[1..1]	Rate		1126

8.4.12.12.14.13 SecondLevelTax <ScndLvITax>*Presence:* [0..*]*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following elements (see "RateAndAmountFormat55Choice" on page 1124 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1124
Or	Amount <Amt>	[1..1]	Amount	C2	1124
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1124
	RateType <RateTp>	[1..1]			1125
{Or	Code <Cd>	[1..1]	CodeSet		1125
Or}	Proprietary <Prtry>	[1..1]	±		1125
	Rate <Rate>	[1..1]	Rate		1126

8.4.12.12.14.14 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as an income.

TaxOnIncome <TaxOnIncm> contains one of the following elements (see "RateAndAmountFormat59Choice" on page 1121 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1121
Or}	Amount <Amt>	[1..1]	Amount	C2	1121

8.4.12.12.14.15 TaxOnProfits <TaxOnPrfts>

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as capital profits, capital gains.

Datatype: "Percentage14Rate" on page 1242

8.4.12.12.14.16 TaxReclaimRate <TaxRclmRate>

Presence: [0..1]

Definition: Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

Datatype: "Percentage14Rate" on page 1242

8.4.12.12.14.17 EqualisationRate <EqulstnRate>

Presence: [0..1]

Definition: Portion of the fund distribution which represents the average accrued income included in the purchase price for units bought during the account period.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.12.14.18 DeemedRate <DmdRate>

Presence: [0..*]

Definition: Rate applied for the calculation of deemed proceeds which are not paid to security holders but on which withholding tax is applicable.

DeemedRate <DmdRate> contains one of the following elements (see "RateAndAmountFormat62Choice" on page 1110 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1110
Or	Amount <Amt>	[1..1]	Amount	C2	1111
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1111
	RateType <RateTp>	[1..1]			1111
{Or	Code <Cd>	[1..1]	CodeSet		1112
Or}	Proprietary <Prtry>	[1..1]	±		1112
	Amount <Amt>	[1..1]	Amount	C2	1112
	RateStatus <RateSts>	[0..1]		C52	1112
{Or	Code <Cd>	[1..1]	CodeSet		1113
Or}	Proprietary <Prtry>	[1..1]	±		1113
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1113
	RateType <RateTp>	[1..1]			1114
{Or	Code <Cd>	[1..1]	CodeSet		1114
Or}	Proprietary <Prtry>	[1..1]	±		1114
	Rate <Rate>	[1..1]	Rate		1115

8.4.12.12.15 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

Impacted by: C21 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **PriceDetails37** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		657
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		657
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		658

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

8.4.12.12.15.1 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat75Choice" on page 1069 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1069
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1070
	PriceValue <PricVal>	[1..1]	Rate		1070
Or	AmountPrice <AmtPric>	[1..1]	±		1070
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1070

8.4.12.12.15.2 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat76Choice" on page 1081 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1082
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1082
	PriceValue <PricVal>	[1..1]	Rate		1083
Or	AmountPrice <AmtPric>	[1..1]	±		1083
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			1083
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1083
	PriceValue <PricVal>	[1..1]	Amount	C2	1084
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C14	1084
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			1084
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1085
	PriceValue <PricVal>	[1..1]	Amount	C2	1085
	Amount <Amt>	[1..1]	Amount	C2	1085
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1085

8.4.12.12.15.3 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following elements (see "PriceFormat77Choice" on page 1057 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1058
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1058
	PriceValue <PricVal>	[1..1]	Rate		1058
Or}	AmountPrice <AmtPric>	[1..1]	±		1058

8.4.13 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative31** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		659
	NarrativeVersion <NrrtvVrsn>	[0..*]	Text		659
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		659
	TaxationConditions <TaxtnConds>	[0..*]	Text		659

8.4.13.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "Max350Text" on page 1245

8.4.13.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

Datatype: "Max350Text" on page 1245

8.4.13.3 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "Max350Text" on page 1245

8.4.13.4 TaxationConditions <TaxtnConds>

Presence: [0..*]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

Datatype: "Max350Text" on page 1245

8.4.14 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

8.4.15 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

8.4.16 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

8.4.17 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C70 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 918 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		918
	Envelope <Envlp>	[1..1]	(External Schema)		918

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

9 **seev.037.001.16**

CorporateActionMovementReversalAdviceV16

9.1 **MessageDefinition Functionality**

Scope

The CorporateActionMovementReversalAdvice message is sent by an account servicer to an account owner or its designated agent to reverse a previously confirmed posting of securities or cash.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionMovementReversalAdviceV16 MessageDefinition is composed of 14 MessageBuildingBlocks:

- MovementConfirmationIdentification**
Identification of a previously sent movement confirmation document.
- OtherDocumentIdentification**
Identification of other documents as well as the document number.
- EventsLinkage**
Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.
- ReversalReason**
Reason for the reversal.
- TransactionIdentification**
Transaction type and identification information.
- CorporateActionGeneralInformation**
General information about the corporate action event.
- AccountDetails**
General information about the safekeeping account, owner and account balance.
- CorporateActionDetails**

Information about the corporate action event.

I. CorporateActionConfirmationDetails

Information about the corporate action option.

J. AdditionalInformation

Provides additional information.

K. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.

L. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

M. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

N. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

9.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnMvmntRvslAdv>	[1..1]		C2, C12, C17, C15	
	MovementConfirmationIdentification <MvmntConfld>	[1..1]	±		668
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		668
	EventsLinkage <EvtsLkg>	[0..*]	±		669
	ReversalReason <RvslRsn>	[0..1]		C3	669
	Reason <Rsn>	[1..1]			670
{Or	Code <Cd>	[1..1]	CodeSet		670
Or}	Proprietary <Prtry>	[1..1]	±		670
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		671
	TransactionIdentification <TxId>	[0..1]			671
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[1..1]	Text		671
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C16	671
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		672
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		672
	ClassActionNumber <ClsActnNb>	[0..1]	Text		672
	EventType <EvtTp>	[1..1]	±	C10	672
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C7, C8, C13, C14, C18	673
	FractionalQuantity <FrctnlQty>	[0..1]	±	C9	674
	AccountDetails <AcctDtls>	[1..1]		C19, C20, C21	674
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		675
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		675
	ConfirmedBalance <ConfdBal>	[1..1]	±		675
	CorporateActionDetails <CorpActnDtls>	[0..1]			676
	DateDetails <DtDtls>	[0..1]			676
	RecordDate <RcrdDt>	[0..1]	±		676
	ExDividendDate <ExDvddDt>	[0..1]	±		677

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	EventStage <EvtStag>	[0..1]	±		677
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..1]	±		677
	IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtp>	[0..1]	±		678
	LotteryType <LtryTp>	[0..1]	±		678
	CorporateActionConfirmationDetails <CorpActnConfDtls>	[1..1]			678
	OptionNumber <OptnNb>	[1..1]	±		679
	OptionType <OptnTp>	[1..1]	±		679
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]			680
	FinancialInstrumentIdentification <FinInstrmld>	[1..1]	±	C7, C8, C13, C14, C18	680
	CreditDebitIndicator <CdtDbtlnd>	[1..1]	CodeSet		681
	PostingQuantity <PstngQty>	[1..1]	±		681
	PostingDate <PstngDt>	[1..1]	±		682
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		682
	CashMovementDetails <CshMvmntDtls>	[0..*]			682
	CreditDebitIndicator <CdtDbtlnd>	[1..1]	CodeSet		683
	Account <Acct>	[0..1]			683
{Or	CashAccount <CshAcct>	[1..1]	±		683
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		684
Or}	TaxAccount <TaxAcct>	[1..1]	±		684
	PostingDate <PstngDt>	[1..1]	±		684
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		684
	ValueDate <ValDt>	[0..1]	Date		685
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C6	685
	AdditionalInformation <AddtlInf>	[0..1]			685
	AdditionalText <AddtlTxt>	[0..*]	Text		686
	NarrativeVersion <NrrtvVrsn>	[0..*]	Text		686
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		686
	TaxationConditions <TaxtnConds>	[0..*]	Text		686
	IssuerAgent <IssrAgt>	[0..*]	±		686
	PayingAgent <PngAgt>	[0..*]	±		687

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SubPayingAgent <SubPngAgt>	[0..*]	±		687
	SupplementaryData <SplmtryData>	[0..*]	±	C23	687

9.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AdditionalInformationRule

All elements in the AdditionalInformation sequence must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in AdditionalInformation/NarrativeVersion.

This constraint is defined at the MessageDefinition level.

C3 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C6 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C7 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C8 DescriptionUsageRule

Description must be used alone as the last resort.

C9 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C10 EventTypeRule

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

C11 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C12 IntermediateSecuritiesDistribution1Rule

If CorporateActionGeneralInformation/EventType/Code is RHDI (IntermediateSecuritiesDistribution), then CorporateActionDetails/

IntermediateSecuritiesDistributionType must be present.

(MT 566 NVR C5).

On Condition

/CorporateActionGeneralInformation/EventType/Code is equal to value 'IntermediateSecuritiesDistribution'

Following Must be True

/CorporateActionDetails Must be present

And /CorporateActionDetails/IntermediateSecuritiesDistributionType Must be present

This constraint is defined at the MessageDefinition level.

C13 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C14 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C15 IssuerAgentGuideline

IssuerAgent must be used only in the communication between a CSD and local custodians.

This constraint is defined at the MessageDefinition level.

C16 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C17 OptionNumberRule

The CorporateActionConfirmationDetails/OptionNumber value provided must be the same as the one provided in the related CorporateActionOptionDetails/OptionNumber element of the

CorporateActionNotification or CorporateActionMovementPreliminaryAdvice messages previously sent.

This constraint is defined at the MessageDefinition level.

C18 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C19 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C20 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C21 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C22 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C23 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C24 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

9.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

9.4.1 MovementConfirmationIdentification <MvmntConfId>

Presence: [1..1]

Definition: Identification of a previously sent movement confirmation document.

MovementConfirmationIdentification <MvmntConfId> contains the following elements (see "DocumentIdentification31" on page 854 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		855
	LinkageType <LkgTp>	[0..1]	±	C22	855

9.4.2 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification32" on page 852 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			852
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		852
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		853
	DocumentNumber <DocNb>	[0..1]	±	C6	853
	LinkageType <LkgTp>	[0..1]	±	C22	853

9.4.3 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "CorporateActionEventReference3" on page 870 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			870
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		870
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		870
	LinkageType <LkgTp>	[0..1]	±	C22	871

9.4.4 ReversalReason <RvslRsn>

Presence: [0..1]

Definition: Reason for the reversal.

Impacted by: C3 "AdditionalReasonInformationRule"

ReversalReason <RvslRsn> contains the following **CorporateActionReversalReason8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			670
{Or	Code <Cd>	[1..1]	CodeSet		670
Or}	Proprietary <Prtry>	[1..1]	±		670
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		671

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

9.4.4.1 Reason <Rsn>

Presence: [1..1]

Definition: Specifies the reason for the reversal.

Reason <Rsn> contains one of the following **CorporateActionReversalReason10Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		670
Or}	Proprietary <Prtry>	[1..1]	±		670

9.4.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason for the reversal.

Datatype: "CorporateActionReversalReason3Code" on page 1201

CodeName	Name	Definition
DCBD	DayCountBasisDifference	Difference in day count basis.
FNRC	FundsNotReceived	Funds have not been received.
IREDD	IncorrectEntitlementDate	Entitlement date is incorrect.
IETR	IncorrectEventLevelTaxRate	Event level tax rate is incorrect.
IPCU	IncorrectPaymentCurrency	Payment currency is incorrect.
IPRI	IncorrectPrice	Price is incorrect.
IVAD	IncorrectValueDate	Value date is incorrect.
UPAY	UnduePayment	Payment is not due.
OTHR	Other	Other

9.4.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification for the reason of the reversal.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

9.4.4.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[Max256Text](#)" on page 1245

9.4.5 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Transaction type and identification information.

TransactionIdentification <TxId> contains the following **TransactionIdentification15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[1..1]	Text		671

9.4.5.1 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

Presence: [1..1]

Definition: Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

Datatype: "[Max35Text](#)" on page 1246

9.4.6 CorporateActionGeneralInformation <CorpActnGnInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: [C16 "OfficialCorporateActionEventReference"](#)

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following
CorporateActionGeneralInformation179 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		672
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		672
	ClassActionNumber <ClssActnNb>	[0..1]	Text		672
	EventType <EvtTp>	[1..1]	±	C10	672
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C7, C8, C13, C14, C18	673
	FractionalQuantity <FrctnlQty>	[0..1]	±	C9	674

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

9.4.6.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "Max35Text" on page 1246

9.4.6.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "Max35Text" on page 1246

9.4.6.3 ClassActionNumber <ClssActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "Max35Text" on page 1246

9.4.6.4 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: [C10 "EventTypeRule"](#)

EventType <EvtTp> contains one of the following elements (see ["CorporateActionEventType109Choice"](#) on page 994 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		995
Or}	Proprietary <Prtry>	[1..1]	±		1001

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

9.4.6.5 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security concerned by the corporate action.

Impacted by: [C7 "DescriptionPresenceRule"](#), [C8 "DescriptionUsageRule"](#), [C13 "ISINGuideline"](#), [C14 "ISINPresenceRule"](#), [C18 "OtherIdentificationPresenceRule"](#)

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see ["SecurityIdentification19"](#) on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		864
	OtherIdentification <OthrId>	[0..*]			864
	Identification <Id>	[1..1]	Text		864
	Suffix <Sfx>	[0..1]	Text		864
	Type <Tp>	[1..1]	±		865
	Description <Desc>	[0..1]	Text		865

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition
/Description is absent

Following Must be True
 /ISIN Must be present
 Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition
 /ISIN is absent
 Following Must be True
 /OtherIdentification[*] Must be present
 Or /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition
 /OtherIdentification[*] is absent
 Following Must be True
 /ISIN Must be present
 Or /Description Must be present

9.4.6.6 FractionalQuantity <FrctnlQty>

Presence: [0..1]

Definition: Fractional quantity resulting from an event which will be paid with cash in lieu.

Impacted by: C9 "DigitalTokenUnitUsageRule"

FractionalQuantity <FrctnlQty> contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

9.4.7 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

Impacted by: C19 "SafekeepingAccountOrBlockChainAddress1Rule", C20
"SafekeepingAccountOrBlockChainAddress2Rule", C21
"SafekeepingAccountOrBlockChainAddress3Rule"

AccountDetails <AcctDtls> contains the following **AccountAndBalance50** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		675
	BlockChainAddressOrWallet <BlckChainAdrOrWlIt>	[0..1]	Text		675
	ConfirmedBalance <ConfdBal>	[1..1]	±		675

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

9.4.7.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "Max35Text" on page 1246

9.4.7.2 BlockChainAddressOrWallet <BlckChainAdrOrWlIt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 1244

9.4.7.3 ConfirmedBalance <ConfdBal>

Presence: [1..1]

Definition: Balance to which the payment applies (less or equal to the total eligible balance).

ConfirmedBalance <ConfdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

9.4.8 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction70** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			676
	RecordDate <RcrdDt>	[0..1]	±		676
	ExDividendDate <ExDvddDt>	[0..1]	±		677
	EventStage <EvtStag>	[0..1]	±		677
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..1]	±		677
	IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>	[0..1]	±		678
	LotteryType <LtryTp>	[0..1]	±		678

9.4.8.1 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

DateDetails <DtDtls> contains the following **CorporateActionDate86** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		676
	ExDividendDate <ExDvddDt>	[0..1]	±		677

9.4.8.1.1 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

9.4.8.1.2 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

9.4.8.2 EventStage <EvtStag>

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat14Choice" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		962
Or}	Proprietary <Prtry>	[1..1]	±		963

9.4.8.3 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>

Presence: [0..1]

Definition: Indicates that the additional business process relates to a claim on the associated corporate action event.

AdditionalBusinessProcessIndicator <AddtlBizPrclnd> contains one of the following elements (see "AdditionalBusinessProcessFormat11Choice" on page 966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		966
Or}	Proprietary <Prtry>	[1..1]	±		967

9.4.8.4 IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp>

Presence: [0..1]

Definition: Type of intermediates securities distribution.

IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp> contains one of the following elements (see "IntermediateSecuritiesDistributionTypeFormat15Choice" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		974
Or}	Proprietary <Prtry>	[1..1]	±		975

9.4.8.5 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following elements (see "LotteryTypeFormat4Choice" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		973
Or}	Proprietary <Prtry>	[1..1]	±		973

9.4.9 CorporateActionConfirmationDetails <CorpActnConfDtls>

Presence: [1..1]

Definition: Information about the corporate action option.

CorporateActionConfirmationDetails <CorpActnConfDtls> contains the following
CorporateActionOption240 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		679
	OptionType <OptnTp>	[1..1]	±		679
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]			680
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C7, C8, C13, C14, C18	680
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		681
	PostingQuantity <PstngQty>	[1..1]	±		681
	PostingDate <PstngDt>	[1..1]	±		682
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		682
	CashMovementDetails <CshMvmntDtls>	[0..*]			682
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		683
	Account <Acct>	[0..1]			683
{Or	CashAccount <CshAcct>	[1..1]	±		683
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		684
Or}	TaxAccount <TaxAcct>	[1..1]	±		684
	PostingDate <PstngDt>	[1..1]	±		684
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		684
	ValueDate <ValDt>	[0..1]	Date		685
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C6	685

9.4.9.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "[OptionNumber1Choice](#)" on page 964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		964
Or}	Code <Cd>	[1..1]	CodeSet		964

9.4.9.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "[CorporateActionOption33Choice](#)" on page 938 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		938
Or}	Proprietary <Prtry>	[1..1]	±		939

9.4.9.3 SecuritiesMovementDetails <SctiesMvmntDtls>

Presence: [0..*]

Definition: Provides information about the securities movement linked to the corporate action option.

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following **SecuritiesOption80** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C7, C8, C13, C14, C18	680
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		681
	PostingQuantity <PstngQty>	[1..1]	±		681
	PostingDate <PstngDt>	[1..1]	±		682
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		682

9.4.9.3.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the financial instrument.

Impacted by: C7 "DescriptionPresenceRule", C8 "DescriptionUsageRule", C13 "ISINGuideline", C14 "ISINPresenceRule", C18 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "[SecurityIdentification19](#)" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		864
	OtherIdentification <OthrId>	[0..*]			864
	Identification <Id>	[1..1]	Text		864
	Suffix <Sfx>	[0..1]	Text		864
	Type <Tp>	[1..1]	±		865
	Description <Desc>	[0..1]	Text		865

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

9.4.9.3.2 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1203

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

9.4.9.3.3 PostingQuantity <PstngQty>

Presence: [1..1]

Definition: Quantity of securities that have been posted (credit or debit) to the safekeeping account.

PostingQuantity <PstngQty> contains one of the following elements (see "[Quantity51Choice](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C14	1092
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		1092

9.4.9.3.4 PostingDate <PstngDt>

Presence: [1..1]

Definition: Date of the posting (credit or debit) to the account.

PostingDate <PstngDt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 845 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		845
Or}	DateTime <DtTm>	[1..1]	DateTime		845

9.4.9.3.5 OriginalPostingDate <OrgnlPstngDt>

Presence: [0..1]

Definition: Date/Time of the posting (credit or debit) to the account that was initially communicated in the confirmation.

OriginalPostingDate <OrgnlPstngDt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 845 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		845
Or}	DateTime <DtTm>	[1..1]	DateTime		845

9.4.9.4 CashMovementDetails <CshMvmntDtls>

Presence: [0..*]

Definition: Provides information about the cash movement related to a corporate action option.

CashMovementDetails <CshMvmntDtls> contains the following **CashOption109** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		683
	Account <Acct>	[0..1]			683
{Or	CashAccount <CshAcct>	[1..1]	±		683
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		684
Or}	TaxAccount <TaxAcct>	[1..1]	±		684
	PostingDate <PstngDt>	[1..1]	±		684
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		684
	ValueDate <ValDt>	[0..1]	Date		685
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C6	685

9.4.9.4.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Indicates whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1203

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

9.4.9.4.2 Account <Acct>

Presence: [0..1]

Definition: Choice between a cash account, a charges account or a tax account.

Account <Acct> contains one of the following **Account10Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccount <CshAcct>	[1..1]	±		683
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		684
Or}	TaxAccount <TaxAcct>	[1..1]	±		684

9.4.9.4.2.1 CashAccount <CshAcct>

Presence: [1..1]

Definition: Account in which cash is maintained.

CashAccount <CshAcct> contains one of the following elements (see "[CashAccountIdentification9Choice](#)" on page 826 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	826
Or	BlockChainCashWallet <BlickChainCshWllt>	[1..1]	±		826
Or}	Proprietary <Prtry>	[1..1]	Text		827

9.4.9.4.2.2 ChargesAccount <ChrgsAcct>

Presence: [1..1]

Definition: Account to be used for charges if different from the account for payment.

ChargesAccount <ChrgsAcct> contains one of the following elements (see "[CashAccountIdentification5Choice](#)" on page 827 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	827
Or}	Proprietary <Prtry>	[1..1]	Text		828

9.4.9.4.2.3 TaxAccount <TaxAcct>

Presence: [1..1]

Definition: Account to be used for taxes if different from the account for payment.

TaxAccount <TaxAcct> contains one of the following elements (see "[CashAccountIdentification5Choice](#)" on page 827 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	827
Or}	Proprietary <Prtry>	[1..1]	Text		828

9.4.9.4.3 PostingDate <PstngDt>

Presence: [1..1]

Definition: Date/Time of the posting (credit or debit) to the account.

PostingDate <PstngDt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 845 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		845
Or}	DateTime <DtTm>	[1..1]	DateTime		845

9.4.9.4.4 OriginalPostingDate <OrgnlPstngDt>

Presence: [0..1]

Definition: Date/Time of the posting (credit or debit) to the account that was initially communicated in the confirmation.

OriginalPostingDate <OrgnPstngDt> contains one of the following elements (see "DateAndDateTime2Choice" on page 845 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		845
Or}	DateTime <DtTm>	[1..1]	DateTime		845

9.4.9.4.5 ValueDate <ValDt>

Presence: [0..1]

Definition: Date/time when calculating economic benefit for a cash amount.

Datatype: "ISODate" on page 1238

9.4.9.4.6 PostingAmount <PstngAmt>

Presence: [1..1]

Definition: Amount of money that is to be/was posted to the account.

Impacted by: C1 "ActiveCurrency", C6 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

9.4.10 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative31** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		686
	NarrativeVersion <NrrtvVrsn>	[0..*]	Text		686
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		686
	TaxationConditions <TaxtnConds>	[0..*]	Text		686

9.4.10.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "Max350Text" on page 1245

9.4.10.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

Datatype: "Max350Text" on page 1245

9.4.10.3 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "Max350Text" on page 1245

9.4.10.4 TaxationConditions <TaxtnConds>

Presence: [0..*]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

Datatype: "Max350Text" on page 1245

9.4.11 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offeree. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

9.4.12 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

9.4.13 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

9.4.14 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C23 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 918 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		918
	Envelope <Envlp>	[1..1]	(External Schema)		918

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

10 **seev.038.001.09**

CorporateActionNarrativeV09

10.1 **MessageDefinition Functionality**

Scope

The CorporateActionNarrative message is sent between an account servicer and an account owner or its designated agent to cater for such processes that cannot be handled using messages such as the CorporateActionNotification. It is not to be used for event narrative as that should be included in the CorporateActionNotification. Examples of when the CorporateActionNarrative message may be used include tax reclaims, restrictions, documentation requirements. This message should only be used when bilaterally agreed. This message is bi-directional.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionNarrativeV09 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. AccountDetails

General information about the safekeeping account and the account owner.

B. UnderlyingSecurity

Provides information about the securitised right for entitlement.

C. CorporateActionGeneralInformation

General information about the corporate action event.

D. AdditionalInformation

Provides additional information.

E. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

10.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnNrrtv>	[1..1]			
	AccountDetails <AcctDtls>	[0..1]			691
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		692
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C10, C11, C12, C13	692
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		693
	BlockchainAddressOrWallet <BlckChainAdrOrWlIt>	[0..1]	Text		693
	AccountOwner <AcctOwnr>	[0..1]	±		693
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		694
	ConfirmedBalance <ConfdBal>	[1..1]	±		694
	UnderlyingSecurity <UndrlygScty>	[0..1]	±	C3, C4, C6, C7, C9	694
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C8	695
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		696
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		696
	NarrativeType <NrrtvTp>	[0..1]	±		696
	AdditionalInformation <AddtlInf>	[1..*]	Text		697
	SupplementaryData <SplmtryData>	[0..*]	±	C14	697

10.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C6 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C7 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C8 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C9 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C10 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C11 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C12 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C13 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C14 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

10.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

10.4.1 AccountDetails <AcctDtls>

Presence: [0..1]

Definition: General information about the safekeeping account and the account owner.

AccountDetails <AcctDtls> contains one of the following **AccountIdentification72Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		692
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C10, C11, C12, C13	692
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		693
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		693
	AccountOwner <AcctOwnr>	[0..1]	±		693
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		694
	ConfirmedBalance <ConfdBal>	[1..1]	±		694

10.4.1.1 ForAllAccounts <ForAllAccts>

Presence: [1..1]

Definition: All safekeeping accounts that own underlying financial instrument.

ForAllAccounts <ForAllAccts> contains the following elements (see "[AccountIdentification10](#)" on page 827 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		827

10.4.1.2 AccountsListAndBalanceDetails <AcctsListAndBalDtls>

Presence: [1..*]

Definition: Selected safekeeping accounts list (and optionally balance information) to which the corporate action event applies.

Impacted by: C10 "SafekeepingAccountOrBlockChainAddress1Rule", C11 "SafekeepingAccountOrBlockChainAddress2Rule", C12 "SafekeepingAccountOrBlockChainAddress3Rule", C13 "SafekeepingPlaceRule"

AccountsListAndBalanceDetails <AcctsListAndBalDtls> contains the following **AccountIdentification71** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		693
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		693
	AccountOwner <AcctOwnr>	[0..1]	±		693
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		694
	ConfirmedBalance <ConfdBal>	[1..1]	±		694

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

10.4.1.2.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "Max35Text" on page 1246

10.4.1.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWlIt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 1244

10.4.1.2.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification127Choice" on page 1032 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1032
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1032

10.4.1.2.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat42Choice" on page 1130 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			1130
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1130
	Identification <Id>	[0..1]	Text		1131
Or	Country <Ctry>	[1..1]	CodeSet	C3	1131
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		1131
Or	TypeAndIdentification <TpAndId>	[1..1]			1131
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1132
	Identification <Id>	[1..1]	IdentifierSet	C1	1132
Or}	Proprietary <Prtry>	[1..1]	±		1132

10.4.1.2.5 ConfirmedBalance <ConfdBal>

Presence: [1..1]

Definition: Balance to which the payment applies (less or equal to the total eligible balance).

ConfirmedBalance <ConfdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

10.4.2 UnderlyingSecurity <UndrlygScty>

Presence: [0..1]

Definition: Provides information about the securitised right for entitlement.

Impacted by: C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C6 "ISINGuideline", C7 "ISINPresenceRule", C9 "OtherIdentificationPresenceRule"

UnderlyingSecurity <UndrlygScty> contains the following elements (see "SecurityIdentification19" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		864
	OtherIdentification <Othrld>	[0..*]			864
	Identification <Id>	[1..1]	Text		864
	Suffix <Sfx>	[0..1]	Text		864
	Type <Tp>	[1..1]	±		865
	Description <Desc>	[0..1]	Text		865

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

10.4.3 CorporateActionGeneralInformation <CorpActnGnllnf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C8 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation92** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		696
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		696
	NarrativeType <NrrtvTp>	[0..1]	±		696

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

10.4.3.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "Max35Text" on page 1246

10.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "Max35Text" on page 1246

10.4.3.3 NarrativeType <NrrtvTp>

Presence: [0..1]

Definition: Specifies the type of narrative related to the message.

NarrativeType <NrrtvTp> contains one of the following elements (see "CorporateActionNarrative3Choice" on page 979 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		979
Or}	Proprietary <Prtry>	[1..1]	±		980

10.4.4 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional information.

Datatype: "Max8000Text" on page 1247

10.4.5 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C14 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 918 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		918
	Envelope <Envlp>	[1..1]	(External Schema)		918

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

11 **seev.039.001.13**

CorporateActionCancellationAdviceV13

11.1 **MessageDefinition Functionality**

Scope

The CorporateActionCancellationAdvice message is sent by an account servicer to an account owner or its designated agent to cancel a previously announced corporate action event in case of error from the account servicer or in case of withdrawal by the issuer.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionCancellationAdviceV13 MessageDefinition is composed of 15 MessageBuildingBlocks:

A. CancellationAdviceGeneralInformation

General information about the event cancellation status and cancellation reason.

B. CorporateActionGeneralInformation

General information about the corporate action event.

C. AccountsDetails

General information about the safekeeping account and the account owner.

D. CorporateActionDetails

Information about the corporate action event.

E. AdditionalText

Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

F. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.

G. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

H. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeree.

I. Registrar

Party/agent responsible for maintaining the register of a security.

J. ResellingAgent

Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

K. PhysicalSecuritiesAgent

Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

L. DropAgent

Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

M. SolicitationAgent

Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

N. InformationAgent

Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

O. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

11.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnCxlAdv>	[1..1]			
	CancellationAdviceGeneralInformation <CxlAdvGnllnf>	[1..1]			702
	CancellationReasonCode <CxlRsnCd>	[1..1]	CodeSet		703
	CancellationReason <CxlRsn>	[0..1]	Text		703
	ProcessingStatus <PrcgSts>	[1..1]			703
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		703
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		704
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]		C8	704
	CorporateActionEventIdentification <CorpActnEvtld>	[1..1]	Text		705
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtld>	[0..1]	Text		705
	ClassActionNumber <ClsActnNb>	[0..1]	Text		705
	EventType <EvtTp>	[1..1]	±	C5	705
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		706
	FinancialInstrumentIdentification <FinInstrmld>	[1..1]	±	C3, C4, C6, C7, C9	706
	AccountsDetails <AcctsDtls>	[1..1]			707
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		707
Or}	AccountsList <AcctsList>	[1..*]		C10, C11, C12, C13	708
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		708
	BlockchainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		709
	AccountOwner <AcctOwnr>	[0..1]	±		709
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		709
	CorporateActionDetails <CorpActnDtls>	[0..1]			709
	DateDetails <DtDtls>	[0..1]			710
	RecordDate <RcrdDt>	[0..1]	±		710
	ExDividendDate <ExDvddDt>	[0..1]	±		710
	EventStage <EvtStag>	[0..1]	±		711
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		711

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	LotteryType <LtryTp>	[0..1]	±		711
	AdditionalText <AddtlTxt>	[0..*]	Text		712
	IssuerAgent <IssrAgt>	[0..*]	±		712
	PayingAgent <PngAgt>	[0..*]	±		712
	SubPayingAgent <SubPngAgt>	[0..*]	±		712
	Registrar <Regar>	[0..1]	±		713
	ResellingAgent <RsellingAgt>	[0..*]	±		713
	PhysicalSecuritiesAgent <PhysScitiesAgt>	[0..1]	±		713
	DropAgent <DrpAgt>	[0..1]	±		714
	SolicitationAgent <SlctnAgt>	[0..*]	±		714
	InformationAgent <InfAgt>	[0..1]	±		714
	SupplementaryData <SplmtryData>	[0..*]	±	C14	715

11.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 EventTypeRule

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

C6 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C7 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C8 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C9 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C10 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C11 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C12 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C13 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C14 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

11.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

11.4.1 CancellationAdviceGeneralInformation <CxlAdvcGnInf>

Presence: [1..1]

Definition: General information about the event cancellation status and cancellation reason.

CancellationAdviceGeneralInformation <CxIAdvGnllnf> contains the following **CorporateActionCancellation3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CancellationReasonCode <CxIRsnCd>	[1..1]	CodeSet		703
	CancellationReason <CxIRsn>	[0..1]	Text		703
	ProcessingStatus <PrcgSts>	[1..1]			703
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		703
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		704

11.4.1.1 CancellationReasonCode <CxIRsnCd>

Presence: [1..1]

Definition: Specifies reasons for cancellation of a corporate action event.

Datatype: "CorporateActionCancellationReason1Code" on page 1165

CodeName	Name	Definition
WITH	Withdrawal	Cancellation due to the corporate action event being cancelled by the issuer.
PROC	Processing	Cancellation due to a processing error.

11.4.1.2 CancellationReason <CxIRsn>

Presence: [0..1]

Definition: Additional information about cancellation of a corporate action event.

Datatype: "Max140Text" on page 1244

11.4.1.3 ProcessingStatus <PrcgSts>

Presence: [1..1]

Definition: Specifies the status of the details of the event.

ProcessingStatus <PrcgSts> contains the following **CorporateActionEventStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		703
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		704

11.4.1.3.1 EventCompletenessStatus <EvtCmpltnsSts>

Presence: [1..1]

Definition: Indicates whether the details provided about an event are complete or incomplete.

Datatype: "EventCompletenessStatus1Code" on page 1206

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

11.4.1.3.2 EventConfirmationStatus <EvtConfSts>

Presence: [1..1]

Definition: Indicates the status of the occurrence of an event.

Datatype: "EventConfirmationStatus1Code" on page 1206

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

11.4.2 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C8 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation174** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		705
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		705
	ClassActionNumber <ClssActnNb>	[0..1]	Text		705
	EventType <EvtTp>	[1..1]	±	C5	705
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		706
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C9	706

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those

recommendations are available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

11.4.2.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "Max35Text" on page 1246

11.4.2.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "Max35Text" on page 1246

11.4.2.3 ClassActionNumber <ClsActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "Max35Text" on page 1246

11.4.2.4 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C5 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType107Choice" on page 1008 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1009
Or}	Proprietary <Prtry>	[1..1]	±		1015

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

11.4.2.5 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following elements (see ["CorporateActionMandatoryVoluntary3Choice" on page 969](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		969
Or}	Proprietary <Prtry>	[1..1]	±		969

11.4.2.6 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security concerned by the corporate action.

Impacted by: C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C6 "ISINGuideline", C7 "ISINPresenceRule", C9 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see ["SecurityIdentification19" on page 863](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		864
	OtherIdentification <OthrId>	[0..*]			864
	Identification <Id>	[1..1]	Text		864
	Suffix <Sfx>	[0..1]	Text		864
	Type <Tp>	[1..1]	±		865
	Description <Desc>	[0..1]	Text		865

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or
  /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or
  /Description Must be present
```

11.4.3 AccountsDetails <AcctsDtls>

Presence: [1..1]

Definition: General information about the safekeeping account and the account owner.

AccountsDetails <AcctsDtls> contains one of the following **AccountIdentification73Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		707
Or}	AccountsList <AcctsList>	[1..*]		C10, C11, C12, C13	708
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		708
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		709
	AccountOwner <AcctOwnr>	[0..1]	±		709
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		709

11.4.3.1 ForAllAccounts <ForAllAccts>

Presence: [1..1]

Definition: All safekeeping accounts that own underlying financial instrument.

ForAllAccounts <ForAllAccts> contains the following elements (see "[AccountIdentification10](#)" on page 827 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		827

11.4.3.2 AccountsList <AcctsList>

Presence: [1..*]

Definition: Selected safekeeping accounts list to which the corporate action event applies.

Impacted by: C10 "SafekeepingAccountOrBlockChainAddress1Rule", C11 "SafekeepingAccountOrBlockChainAddress2Rule", C12 "SafekeepingAccountOrBlockChainAddress3Rule", C13 "SafekeepingPlaceRule"

AccountsList <AcctsList> contains the following **AccountIdentification69** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		708
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		709
	AccountOwner <AcctOwnr>	[0..1]	±		709
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		709

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

 /SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

 /BlockChainAddressOrWallet is present

Following Must be True

 /SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

 /SafekeepingAccount is present

Following Must be True

 /BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

11.4.3.2.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "Max35Text" on page 1246

11.4.3.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWllt>*Presence:* [0..1]*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.*Datatype:* "Max140Text" on page 1244**11.4.3.2.3 AccountOwner <AcctOwnr>***Presence:* [0..1]*Definition:* Party that legally owns the account.**AccountOwner <AcctOwnr>** contains one of the following elements (see "PartyIdentification127Choice" on page 1032 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1032
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1032

11.4.3.2.4 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]*Definition:* Location where the financial instruments are/will be safekept.**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "SafekeepingPlaceFormat42Choice" on page 1130 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			1130
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1130
	Identification <Id>	[0..1]	Text		1131
Or	Country <Ctry>	[1..1]	CodeSet	C3	1131
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		1131
Or	TypeAndIdentification <TpAndId>	[1..1]			1131
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1132
	Identification <Id>	[1..1]	IdentifierSet	C1	1132
Or}	Proprietary <Prtry>	[1..1]	±		1132

11.4.4 CorporateActionDetails <CorpActnDtls>*Presence:* [0..1]*Definition:* Information about the corporate action event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction72** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			710
	RecordDate <RcrdDt>	[0..1]	±		710
	ExDividendDate <ExDvddDt>	[0..1]	±		710
	EventStage <EvtStag>	[0..1]	±		711
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		711
	LotteryType <LtryTp>	[0..1]	±		711

11.4.4.1 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

DateDetails <DtDtls> contains the following **CorporateActionDate86** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		710
	ExDividendDate <ExDvddDt>	[0..1]	±		710

11.4.4.1.1 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "[DateFormat30Choice](#)" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

11.4.4.1.2 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

11.4.4.2 EventStage <EvtStag>

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat14Choice" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		962
Or}	Proprietary <Prtry>	[1..1]	±		963

11.4.4.3 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>

Presence: [0..*]

Definition: Specifies the type of the additional business process linked to a corporate action event such as a claim compensation or tax refund.

AdditionalBusinessProcessIndicator <AddtlBizPrclnd> contains one of the following elements (see "AdditionalBusinessProcessFormat15Choice" on page 935 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		935
Or}	Proprietary <Prtry>	[1..1]	±		936

11.4.4.4 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following elements (see "LotteryTypeFormat4Choice" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		973
Or}	Proprietary <Prtry>	[1..1]	±		973

11.4.5 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "Max8000Text" on page 1247

11.4.6 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see "[PartyIdentification120Choice](#)" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

11.4.7 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see "[PartyIdentification120Choice](#)" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

11.4.8 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

11.4.9 Registrar <Regar>

Presence: [0..1]

Definition: Party/agent responsible for maintaining the register of a security.

Registrar <Regar> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

11.4.10 ResellingAgent <RsellingAgt>

Presence: [0..*]

Definition: Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

ResellingAgent <RsellingAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

11.4.11 PhysicalSecuritiesAgent <PhysSctiesAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

PhysicalSecuritiesAgent <PhysSctiesAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

11.4.12 DropAgent <DrpAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

DropAgent <DrpAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

11.4.13 SolicitationAgent <SlctnAgt>

Presence: [0..*]

Definition: Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

SolicitationAgent <SlctnAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

11.4.14 InformationAgent <InfAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

InformationAgent <InfAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

11.4.15 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C14 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 918 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		918
	Envelope <Envlp>	[1..1]	(External Schema)		918

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

12 seev.040.001.13 CorporateActionInstructionCancellationRequestV13

12.1 MessageDefinition Functionality

Scope

The CorporateActionInstructionCancellationRequest message is sent by an account owner to an account servicer to request cancellation of a previously sent corporate action election instruction.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstructionCancellationRequestV13 MessageDefinition is composed of 8 MessageBuildingBlocks:

- ChangeInstructionIndicator**
Indicates that cancelled instruction will be replaced by a new corporate action instruction to be sent later.
- InstructionIdentification**
Identification of a previously sent instruction document.
- CorporateActionGeneralInformation**
General information about the corporate action event.
- AccountDetails**
General information about the safekeeping account and the account owner.
- CorporateActionInstruction**
Information about the corporate action option.
- ProtectInstruction**
Provides detailed information on protect and cover protect instructions.
- AdditionalInformation**
Provides additional information.
- SupplementaryData**

Additional information that can not be captured in the structured fields and/or any other specific block.

12.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnInstrCxlReq>	[1..1]		C2, C4, C3	
	ChangelInstructionIndicator <ChngInstrInd>	[0..1]	Indicator		721
	InstructionIdentification <InstrId>	[1..1]	±		721
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C9, C13	722
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		722
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		722
	EventType <EvtTp>	[1..1]	±	C10	723
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C6, C7, C11, C12, C16	723
	AccountDetails <AcctDtls>	[1..1]		C17, C18, C19, C20	724
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		725
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		725
	AccountOwner <AcctOwnr>	[0..1]	±		726
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		726
	CorporateActionInstruction <CorpActnInstr>	[1..1]		C14, C15	726
	OptionNumber <OptnNb>	[1..1]	±		727
	OptionType <OptnTp>	[1..1]	±		727
	InstructedQuantity <InstdQty>	[1..1]	±		727
	ProtectInstruction <PrtctInstr>	[0..1]			728
	TransactionType <TxTp>	[1..1]	CodeSet		728
	TransactionIdentification <TxId>	[0..1]	Text		728
	ProtectDate <PrtctDt>	[0..1]	Date		728
	AdditionalInformation <AddtlInf>	[0..1]			728
	AdditionalText <AddtlTxt>	[0..*]	Text		729
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		729
	SupplementaryData <SplmtryData>	[0..*]	±	C21	729

12.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 BeneficialOwnerBreakdownRequestRule

If CorporateActionInstruction/OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT565 NVR C8)

On Condition

/CorporateActionInstruction/OptionType/Code is equal to value
'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C3 ChangeInstructionIndicatorGuideline

ChangeInstructionIndicator may only be used if CorporateActionOptionDetails/WithdrawalAllowedIndicator was set to 'false' or '0' (No) in the CorporateActionNotification message previously received and if CorporateActionOptionDetails/ChangeAllowedIndicator was set to 'true' or '1' (Yes) in the CorporateActionNotification message previously received.

This constraint is defined at the MessageDefinition level.

C4 CorporateActionEventIdentificationRule

If the CorporateActionInstruction message was unsolicited (that is, this instruction has not been preceded by a CorporateActionNotification), then, CorporateActionGeneralInformation/CorporateActionEventIdentification must be NONREF.

This constraint is defined at the MessageDefinition level.

C5 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C6 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C7 DescriptionUsageRule

Description must be used alone as the last resort.

C8 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C9 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C10 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C11 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C12 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C13 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C14 OptionNumber1Rule

For differentiation between options, the OptionNumber must be used (preferred rather than the OptionType for example in the case where two or more offers can be described by the same corporate action option code). Therefore, the OptionNumber value must be different for each option.

C15 OptionNumber2Rule

When the CorporateActionInstruction is unsolicited, that is, the instruction has not been preceded by an Corporate ActionNotification, then OptionNumber/code must be UNSO (unsolicited).

C16 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C17 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C18 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C19 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C20 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C21 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C22 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

12.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

12.4.1 ChangelInstructionIndicator <ChngInstrInd>

Presence: [0..1]

Definition: Indicates that cancelled instruction will be replaced by a new corporate action instruction to be sent later.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

12.4.2 InstructionIdentification <InstrId>

Presence: [1..1]

Definition: Identification of a previously sent instruction document.

InstructionIdentification <InstrId> contains the following elements (see "[DocumentIdentification31](#)" on page 854 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		855
	LinkageType <LkgTp>	[0..1]	±	C22	855

12.4.3 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C9 "EventTypeRule", C13 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation183** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		722
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		722
	EventType <EvtTp>	[1..1]	±	C10	723
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C6, C7, C11, C12, C16	723

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

12.4.3.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "Max35Text" on page 1246

12.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "Max35Text" on page 1246

12.4.3.3 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C10 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType112Choice" on page 982 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		982
Or}	Proprietary <Prtry>	[1..1]	±		988

Constraints

- **EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

12.4.3.4 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: Identification of the security concerned by the corporate action.

Impacted by: C6 "DescriptionPresenceRule", C7 "DescriptionUsageRule", C11 "ISINGuideline", C12 "ISINPresenceRule", C16 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		864
	OtherIdentification <OthrlId>	[0..*]			864
	Identification <Id>	[1..1]	Text		864
	Suffix <Sfx>	[0..1]	Text		864
	Type <Tp>	[1..1]	±		865
	Description <Desc>	[0..1]	Text		865

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

12.4.4 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account and the account owner.

Impacted by: C17 "SafekeepingAccountOrBlockChainAddress1Rule", C18
"SafekeepingAccountOrBlockChainAddress2Rule", C19
"SafekeepingAccountOrBlockChainAddress3Rule", C20 "SafekeepingPlaceRule"

AccountDetails <AcctDtls> contains the following **AccountIdentification69** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		725
	BlockChainAddressOrWallet <BlckChainAdrOrWlIt>	[0..1]	Text		725
	AccountOwner <AcctOwnr>	[0..1]	±		726
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		726

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

12.4.4.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "Max35Text" on page 1246

12.4.4.2 BlockChainAddressOrWallet <BlckChainAdrOrWlIt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 1244

12.4.4.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification127Choice" on page 1032 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1032
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1032

12.4.4.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat42Choice" on page 1130 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			1130
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1130
	Identification <Id>	[0..1]	Text		1131
Or	Country <Ctry>	[1..1]	CodeSet	C3	1131
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		1131
Or	TypeAndIdentification <TpAndId>	[1..1]			1131
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1132
	Identification <Id>	[1..1]	IdentifierSet	C1	1132
Or}	Proprietary <Prtry>	[1..1]	±		1132

12.4.5 CorporateActionInstruction <CorpActnInstr>

Presence: [1..1]

Definition: Information about the corporate action option.

Impacted by: C14 "OptionNumber1Rule", C15 "OptionNumber2Rule"

CorporateActionInstruction <CorpActnInstr> contains the following **CorporateActionOption200** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		727
	OptionType <OptnTp>	[1..1]	±		727
	InstructedQuantity <InstdQty>	[1..1]	±		727

Constraints

- **OptionNumber1Rule**

For differentiation between options, the OptionNumber must be used (preferred rather than the OptionType for example in the case where two or more offers can be described by the same corporate action option code). Therefore, the OptionNumber value must be different for each option.

- **OptionNumber2Rule**

When the CorporateActionInstruction is unsolicited, that is, the instruction has not been preceded by an Corporate ActionNotification, then OptionNumber/code must be UNSO (unsolicited).

12.4.5.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "[OptionNumber1Choice](#)" on [page 964](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		964
Or}	Code <Cd>	[1..1]	CodeSet		964

12.4.5.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "[CorporateActionOption40Choice](#)" on [page 886](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		886
Or}	Proprietary <Prtry>	[1..1]	±		888

12.4.5.3 InstructedQuantity <InstdQty>

Presence: [1..1]

Definition: Quantity of securities to which this instruction applies.

InstructedQuantity <InstdQty> contains one of the following elements (see "[Quantity52Choice](#)" on page 1098 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1098
Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]	±		1098
Or}	Quantity <Qty>	[1..1]	±	C14	1099

12.4.6 ProtectInstruction <PrtctInstr>

Presence: [0..1]

Definition: Provides detailed information on protect and cover protect instructions.

ProtectInstruction <PrtctInstr> contains the following **ProtectInstruction3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionType <TxTp>	[1..1]	CodeSet		728
	TransactionIdentification <TxId>	[0..1]	Text		728
	ProtectDate <PrtctDt>	[0..1]	Date		728

12.4.6.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Indicates whether the instruction is a protect or a cover protect instruction.

Datatype: "[ProtectTransactionType3Code](#)" on page 1229

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.

12.4.6.2 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Unique reference of the protect transaction assigned by the depository and used for cover protect validation.

Datatype: "[Max15Text](#)" on page 1244

12.4.6.3 ProtectDate <PrtctDt>

Presence: [0..1]

Definition: Date at which the protect instruction was created and used for cover protect validation.

Datatype: "[ISODate](#)" on page 1238

12.4.7 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		729
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		729

12.4.7.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "Max350Text" on page 1245

12.4.7.2 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "Max350Text" on page 1245

12.4.8 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C21 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 918 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		918
	Envelope <Envlp>	[1..1]	(External Schema)		918

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

13 seev.041.001.14 CorporateActionInstructionCancellationRequestStatusAdviceV14

13.1 MessageDefinition Functionality

Scope

The CorporateActionInstructionCancellationRequestStatusAdvice message is sent by an account servicer to an account owner or its designated agent to report the status of a previously received CorporateActionInstructionCancellationRequest message sent by the account owner. This will include the acknowledgement/rejection of a request to cancel an outstanding instruction.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstructionCancellationRequestStatusAdviceV14 MessageDefinition is composed of 8 MessageBuildingBlocks:

- InstructionCancellationRequestIdentification**
Identification of a related instruction cancellation request document.
- OtherDocumentIdentification**
Identification of other documents as well as the document number.
- CorporateActionGeneralInformation**
General information about the corporate action event.
- InstructionCancellationRequestStatus**
Provides information about the processing status of the instruction cancellation request.
- CorporateActionInstruction**
Information about the corporate action option.
- ProtectInstruction**
Provides detailed information on protect and cover protect instructions.
- AdditionalInformation**
Provides additional information.

H. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

13.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnInstrCxlReqStsAdv>	[1..1]		C3, C9	
	InstructionCancellationRequestIdentification <InstrCxlReqId>	[0..1]	±		736
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		736
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]		C15, C20	737
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		738
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		738
	ClassActionNumber <ClsActnNb>	[0..1]	Text		738
	EventType <EvtTp>	[1..1]	±	C16	738
	InstructionCancellationRequestStatus <InstrCxlReqSts>	[1..*]			739
{Or	CancellationCompleted <CxlCmpltd>	[1..1]	±		739
Or	Accepted <Accptd>	[1..1]	±		740
Or	Rejected <Rjctd>	[1..1]			740
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		740
Or}	Reason <Rsn>	[1..*]		C5	740
	ReasonCode <RsnCd>	[1..1]			741
{Or	Code <Cd>	[1..1]	CodeSet		741
Or}	Proprietary <Prtry>	[1..1]	±		742
	AdditionalReasonInformation <AddtlRsnlnf>	[0..1]	Text		742
Or	PendingCancellation <PdgCxl>	[1..1]	±		742
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		743
	CorporateActionInstruction <CorpActnInstr>	[0..1]		C21, C23, C24, C25, C26, C28, C29	743
	OptionNumber <OptnNb>	[1..1]	±		745
	OptionType <OptnTp>	[1..1]	±		745
	OptionFeatures <OptnFeatr>	[0..1]	±		745
	AccountOwner <AcctOwnr>	[0..1]	±		746
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		746

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		746
	CashAccount <CshAcct>	[0..1]	±		746
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		747
	FinancialInstrumentIdentification <FinInstrmld>	[0..1]	±	C12, C13, C18, C19, C22	747
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		748
	InstructedBalance <InstdBal>	[0..1]	±		749
	UninstructedBalance <UinstdBal>	[0..1]	±		749
	ProtectBalance <PrctBal>	[0..1]	±		749
	StatusQuantity <StsQty>	[0..1]	±		750
	StatusCashAmount <StsCshAmt>	[0..1]	Amount	C2, C11	750
	ProtectInstruction <PrctInstr>	[0..1]			751
	TransactionType <TxTp>	[1..1]	CodeSet		751
	ProtectTransactionStatus <PrctTxSts>	[0..1]	CodeSet		751
	TransactionIdentification <Txld>	[0..1]	Text		751
	ProtectDate <PrctDt>	[0..1]	Date		751
	UncoveredProtectQuantity <UcvrdPrctQty>	[0..1]	±		752
	AdditionalInformation <AddtlInf>	[0..1]			752
	AdditionalText <AddtlTxt>	[0..*]	Text		752
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		752
	SupplementaryData <SplmtryData>	[0..*]	±	C30	752

13.3 Constraints

C1 AccountServicerDeadlineMissedGuideline

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

C2 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalInformationRule

All elements in the AdditionalInformation sequence must not contain information that can be provided in a structured field unless bilaterally agreed.

This constraint is defined at the MessageDefinition level.

C4 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C5 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C6 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C7 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C9 BeneficialOwnerBreakdownRequestRule

If CorporateActionInstruction/OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT567 NVR C6)

On Condition

/CorporateActionInstruction/OptionType/Code is equal to value
'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C10 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C13 DescriptionUsageRule

Description must be used alone as the last resort.

C14 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C15 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C16 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C17 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C18 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C19 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C20 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C21 OptionNumberRule

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

C22 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C23 SafekeepingAccountOrBlockChainAddress1Rule

If SafekeepingAccount is present, BlockChainAddressOrWallet must be absent.

C24 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present, SafekeepingAccount must be absent.

C25 SafekeepingAccountOrBlockChainAddress3Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C26 SafekeepingPlaceRule

SafekeepingPlace must only be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C27 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C28 StatusQuantityOrStatusCashAmount1Rule

If StatusQuantity is present, then StatusCashAmount is not allowed. (MT 567 C5).

C29 StatusQuantityOrStatusCashAmount2Rule

If StatusCashAmount is present, then StatusQuantity is not allowed. (MT 567 C5).

C30 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

13.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

13.4.1 InstructionCancellationRequestIdentification <InstrCxlReqId>

Presence: [0..1]

Definition: Identification of a related instruction cancellation request document.

InstructionCancellationRequestIdentification <InstrCxlReqId> contains the following elements (see "DocumentIdentification9" on page 871 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		871

13.4.2 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification33" on page 855 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification </d>	[1..1]			855
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		856
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		856
	DocumentNumber <DocNb>	[0..1]	±	C6	856

13.4.3 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C15 "EventTypeRule", C20 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation182** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		738
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		738
	ClassActionNumber <ClssActnNb>	[0..1]	Text		738
	EventType <EvtTp>	[1..1]	±	C16	738

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

13.4.3.1 CorporateActionEventIdentification <CorpActnEvtId>*Presence:* [1..1]*Definition:* Reference assigned by the account servicer to unambiguously identify a corporate action event.*Datatype:* "Max35Text" on page 1246**13.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>***Presence:* [0..1]*Definition:* Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.*Datatype:* "Max35Text" on page 1246**13.4.3.3 ClassActionNumber <ClssActnNb>***Presence:* [0..1]*Definition:* Reference assigned by a court to a class action.*Datatype:* "Max35Text" on page 1246**13.4.3.4 EventType <EvtTp>***Presence:* [1..1]*Definition:* Type of corporate action event.*Impacted by:* C16 "EventTypeRule"**EventType <EvtTp>** contains one of the following elements (see "CorporateActionEventType112Choice" on page 982 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		982
Or}	Proprietary <Prtry>	[1..1]	±		988

Constraints

- EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

13.4.4 InstructionCancellationRequestStatus <InstrCxlReqSts>

Presence: [1..*]

Definition: Provides information about the processing status of the instruction cancellation request.

InstructionCancellationRequestStatus <InstrCxlReqSts> contains one of the following **InstructionCancellationRequestStatus17Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CancellationCompleted <CxlCmpltd>	[1..1]	±		739
Or	Accepted <Accptd>	[1..1]	±		740
Or	Rejected <Rjctd>	[1..1]			740
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		740
Or}	Reason <Rsn>	[1..*]		C5	740
	ReasonCode <RsnCd>	[1..1]			741
{Or	Code <Cd>	[1..1]	CodeSet		741
Or}	Proprietary <Prtry>	[1..1]	±		742
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		742
Or	PendingCancellation <PdgCxl>	[1..1]	±		742
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		743

13.4.4.1 CancellationCompleted <CxlCmpltd>

Presence: [1..1]

Definition: Provides status information related to an instruction cancellation request completed.

CancellationCompleted <CxlCmpltd> contains one of the following elements (see "CancelledStatus11Choice" on page 1144 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1145
Or}	Reason <Rsn>	[1..*]		C4	1145
	ReasonCode <RsnCd>	[1..1]			1145
{Or	Code <Cd>	[1..1]	CodeSet		1146
Or}	Proprietary <Prtry>	[1..1]	±		1146
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1146

13.4.4.2 Accepted <Accptd>*Presence:* [1..1]*Definition:* Provides status information related to a cancellation request accepted for further processing.**Accepted <Accptd>** contains the following elements (see "[NoSpecifiedReason1](#)" on page 1144 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1144

13.4.4.3 Rejected <Rjctd>*Presence:* [1..1]*Definition:* Provides status information related to a cancellation request rejected for further processing due to system (data) reasons.**Rejected <Rjctd>** contains one of the following **RejectedStatus53Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		740
Or}	Reason <Rsn>	[1..*]		C5	740
	ReasonCode <RsnCd>	[1..1]			741
{Or	Code <Cd>	[1..1]	CodeSet		741
Or}	Proprietary <Prtry>	[1..1]	±		742
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		742

13.4.4.3.1 NoSpecifiedReason <NoSpcfdRsn>*Presence:* [1..1]*Definition:* Reason not specified.*Datatype:* "[NoReasonCode](#)" on page 1220

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

13.4.4.3.2 Reason <Rsn>*Presence:* [1..*]*Definition:* Reason for the rejected status.*Impacted by:* [C5 "AdditionalReasonInformationRule"](#)

Reason <Rsn> contains the following **RejectedStatusReason52** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			741
{Or	Code <Cd>	[1..1]	CodeSet		741
Or}	Proprietary <Prtry>	[1..1]	±		742
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		742

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

13.4.4.3.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction or instruction cancellation has been rejected.

ReasonCode <RsnCd> contains one of the following **RejectedReason55Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		741
Or}	Proprietary <Prtry>	[1..1]	±		742

13.4.4.3.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction/cancellation request has a rejected status.

Datatype: "RejectionReason79Code" on page 1231

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
INIR	InstructionIrrevocable	Instruction is irrevocable.
ULNK	InvalidReference	Linked reference is unknown.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.

CodeName	Name	Definition
CTCT	MissingContact	Contact information is missing.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.

13.4.4.3.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why the instruction/cancellation request has a rejected status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

13.4.4.3.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[Max210Text](#)" on page 1244

13.4.4.4 PendingCancellation <PdgCxl>

Presence: [1..1]

Definition: Provides status information related to a pending cancellation request.

PendingCancellation <PdgCxl> contains one of the following elements (see "PendingCancellationStatus13Choice" on page 1150 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1150
Or}	Reason <Rsn>	[1..*]		C1, C6	1150
	ReasonCode <RsnCd>	[1..1]			1151
{Or	Code <Cd>	[1..1]	CodeSet		1151
Or}	Proprietary <Prtry>	[1..1]	±		1152
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1152

13.4.4.5 ProprietaryStatus <PrtrySts>

Presence: [1..1]

Definition: Proprietary status related to an instruction cancellation request.

ProprietaryStatus <PrtrySts> contains the following elements (see "ProprietaryStatusAndReason6" on page 1140 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		1141
	ProprietaryReason <PrtryRsn>	[0..*]	±	C2	1141

13.4.5 CorporateActionInstruction <CorpActnInstr>

Presence: [0..1]

Definition: Information about the corporate action option.

Impacted by: C21 "OptionNumberRule", C23 "SafekeepingAccountOrBlockChainAddress1Rule", C24 "SafekeepingAccountOrBlockChainAddress2Rule", C25 "SafekeepingAccountOrBlockChainAddress3Rule", C26 "SafekeepingPlaceRule", C28 "StatusQuantityOrStatusCashAmount1Rule", C29 "StatusQuantityOrStatusCashAmount2Rule"

CorporateActionInstruction <CorpActnInstr> contains the following **CorporateActionOption239** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		745
	OptionType <OptnTp>	[1..1]	±		745
	OptionFeatures <OptnFeatrs>	[0..1]	±		745
	AccountOwner <AcctOwnr>	[0..1]	±		746
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		746
	BlockChainAddressOrWallet <BlckChainAdrOrWlIt>	[0..1]	Text		746
	CashAccount <CshAcct>	[0..1]	±		746
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		747
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C12, C13, C18, C19, C22	747
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		748
	InstructedBalance <InstdBal>	[0..1]	±		749
	UninstructedBalance <UinstdBal>	[0..1]	±		749
	ProtectBalance <PrtctBal>	[0..1]	±		749
	StatusQuantity <StsQty>	[0..1]	±		750
	StatusCashAmount <StsCshAmt>	[0..1]	Amount	C2, C11	750

Constraints

- **OptionNumberRule**

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

- **SafekeepingAccountOrBlockChainAddress1Rule**

If SafekeepingAccount is present, BlockChainAddressOrWallet must be absent.

```
On Condition
  /SafekeepingAccount is present
Following Must be True
  /BlockChainAddressOrWallet Must be absent
```

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present, SafekeepingAccount must be absent.

```
On Condition
  /BlockChainAddressOrWallet is present
Following Must be True
  /SafekeepingAccount Must be absent
```


- **SafekeepingAccountOrBlockChainAddress3Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True
 /SafekeepingAccount Must be present
 Or /BlockChainAddressOrWallet Must be present

- **SafekeepingPlaceRule**

SafekeepingPlace must only be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

- **StatusQuantityOrStatusCashAmount1Rule**

If StatusQuantity is present, then StatusCashAmount is not allowed. (MT 567 C5).

On Condition
 /StatusQuantity is present
 Following Must be True
 /StatusCashAmount Must be absent

- **StatusQuantityOrStatusCashAmount2Rule**

If StatusCashAmount is present, then StatusQuantity is not allowed. (MT 567 C5).

On Condition
 /StatusCashAmount is present
 Following Must be True
 /StatusQuantity Must be absent

13.4.5.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "[OptionNumber1Choice](#)" on page 964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		964
Or}	Code <Cd>	[1..1]	CodeSet		964

13.4.5.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "[CorporateActionOption41Choice](#)" on page 907 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		908
Or}	Proprietary <Prtry>	[1..1]	±		909

13.4.5.3 OptionFeatures <OptnFeatrs>

Presence: [0..1]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following elements (see "OptionFeaturesFormat25Choice" on page 936 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		937
Or}	Proprietary <Prtry>	[1..1]	±		937

13.4.5.4 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification127Choice" on page 1032 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1032
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1032

13.4.5.5 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "Max35Text" on page 1246

13.4.5.6 BlockChainAddressOrWallet <BlckChainAdrOrWllet>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 1244

13.4.5.7 CashAccount <CshAcct>

Presence: [0..1]

Definition: Account on which a securities entry is made.

CashAccount <CshAcct> contains one of the following elements (see "CashAccountIdentification9Choice" on page 826 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	826
Or	BlockChainCashWallet <BlckChainCshWllet>	[1..1]	±		826
Or}	Proprietary <Prtry>	[1..1]	Text		827

13.4.5.8 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]*Definition:* Location where the financial instruments are/will be safekept.**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "SafekeepingPlaceFormat42Choice" on page 1130 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			1130
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1130
	Identification </Id>	[0..1]	Text		1131
Or	Country <Ctry>	[1..1]	CodeSet	C3	1131
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		1131
Or	TypeAndIdentification <TpAndId>	[1..1]			1131
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1132
	Identification </Id>	[1..1]	IdentifierSet	C1	1132
Or}	Proprietary <Prtry>	[1..1]	±		1132

13.4.5.9 FinancialInstrumentIdentification <FinInstrmId>*Presence:* [0..1]*Definition:* Identifies the financial instrument.*Impacted by:* C12 "DescriptionPresenceRule", C13 "DescriptionUsageRule", C18 "ISINGuideline", C19 "ISINPresenceRule", C22 "OtherIdentificationPresenceRule"**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification19" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		864
	OtherIdentification <Othrld>	[0..*]			864
	Identification </Id>	[1..1]	Text		864
	Suffix <Sfx>	[0..1]	Text		864
	Type <Tp>	[1..1]	±		865
	Description <Desc>	[0..1]	Text		865

Constraints

- DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present

```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present

```

13.4.5.10 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

TotalEligibleBalance <TtlElgblBal> contains the following elements (see "[SignedQuantityFormat11](#)" on page 1095 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1095
	QuantityChoice <QtyChc>	[1..1]			1095
{Or	Quantity <Qty>	[1..1]	±	C14	1096
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1096
	Quantity <Qty>	[1..1]	Quantity		1096
	QuantityType <QtyTp>	[1..1]	Text		1096
	Issuer <Issr>	[1..1]	Text		1096
	SchemeName <SchmeNm>	[0..1]	Text		1097

13.4.5.11 InstructedBalance <InstdBal>*Presence:* [0..1]*Definition:* Balance of instructed position.**InstructedBalance <InstdBal>** contains the following elements (see "[SignedQuantityFormat11](#)" on page 1095 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1095
	QuantityChoice <QtyChc>	[1..1]			1095
{Or	Quantity <Qty>	[1..1]	±	C14	1096
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1096
	Quantity <Qty>	[1..1]	Quantity		1096
	QuantityType <QtyTp>	[1..1]	Text		1096
	Issuer </ssr>	[1..1]	Text		1096
	SchemeName <SchmeNm>	[0..1]	Text		1097

13.4.5.12 UninstructedBalance <UinstdBal>*Presence:* [0..1]*Definition:* Balance of uninstructed position.**UninstructedBalance <UinstdBal>** contains the following elements (see "[SignedQuantityFormat11](#)" on page 1095 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1095
	QuantityChoice <QtyChc>	[1..1]			1095
{Or	Quantity <Qty>	[1..1]	±	C14	1096
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1096
	Quantity <Qty>	[1..1]	Quantity		1096
	QuantityType <QtyTp>	[1..1]	Text		1096
	Issuer </ssr>	[1..1]	Text		1096
	SchemeName <SchmeNm>	[0..1]	Text		1097

13.4.5.13 ProtectBalance <PrctctBal>*Presence:* [0..1]*Definition:* Balance of securities which are in protect status in a CSD operating a protect cover service.

ProtectBalance <PrctBal> contains the following elements (see "[SignedQuantityFormat11](#)" on page 1095 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1095
	QuantityChoice <QtyChc>	[1..1]			1095
{Or	Quantity <Qty>	[1..1]	±	C14	1096
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1096
	Quantity <Qty>	[1..1]	Quantity		1096
	QuantityType <QtyTp>	[1..1]	Text		1096
	Issuer </ssr>	[1..1]	Text		1096
	SchemeName <SchmeNm>	[0..1]	Text		1097

13.4.5.14 StatusQuantity <StsQty>

Presence: [0..1]

Definition: Quantity of securities that has been assigned the status indicated.

StatusQuantity <StsQty> contains one of the following elements (see "[Quantity51Choice](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C14	1092
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		1092

13.4.5.15 StatusCashAmount <StsCshAmt>

Presence: [0..1]

Definition: Amount of cash subscribed that has been assigned the status indicated.

Impacted by: [C2 "ActiveCurrency"](#), [C11 "CurrencyAmount"](#)

Datatype: "[ActiveCurrencyAndAmount](#)" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.6 ProtectInstruction <PrctInstr>

Presence: [0..1]

Definition: Provides detailed information on protect and cover protect instructions.

ProtectInstruction <PrctInstr> contains the following **ProtectInstruction4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionType <TxTp>	[1..1]	CodeSet		751
	ProtectTransactionStatus <PrctTxSts>	[0..1]	CodeSet		751
	TransactionIdentification <TxId>	[0..1]	Text		751
	ProtectDate <PrctDt>	[0..1]	Date		751
	UncoveredProtectQuantity <UcvrdPrctQty>	[0..1]	±		752

13.4.6.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Indicates whether the instruction is a protect or a cover protect instruction.

Datatype: "ProtectTransactionType3Code" on page 1229

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.

13.4.6.2 ProtectTransactionStatus <PrctTxSts>

Presence: [0..1]

Definition: Status of the protect transaction.

Datatype: "ProtectInstructionStatus4Code" on page 1228

CodeName	Name	Definition
OPEN	Open	Protect instruction which has not been fully covered. Will include partial covers.

13.4.6.3 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Unique reference of the protect transaction assigned by the depository and used for cover protect validation.

Datatype: "Max15Text" on page 1244

13.4.6.4 ProtectDate <PrctDt>

Presence: [0..1]

Definition: Date at which the protect instruction was created and used for cover protect validation.

Datatype: "ISODate" on page 1238

13.4.6.5 UncoveredProtectQuantity <UcvrdPrctQty>

Presence: [0..1]

Definition: Remaining quantity of protect instruction which has not been covered.

UncoveredProtectQuantity <UcvrdPrctQty> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 1132 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1133
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		1133

13.4.7 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		752
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		752

13.4.7.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "Max350Text" on page 1245

13.4.7.2 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "Max350Text" on page 1245

13.4.8 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C30 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 918 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		918
	Envelope <Envlp>	[1..1]	(External Schema)		918

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

14 **seev.042.001.13**

CorporateActionInstructionStatementReportV13

14.1 **MessageDefinition Functionality**

Scope

The CorporateActionInstructionStatementReport message is sent by an account servicer to an account owner or its designated agent to report balances at the safekeeping account level for one or more corporate action events or at the corporate action event level for one or more safekeeping accounts.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstructionStatementReportV13 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. Pagination

Page number of the message (within a statement) and continuation indicator to indicate that the statement is to continue or that the message is the last page of the statement.

B. StatementGeneralDetails

General characteristics related to a statement which reports information.

C. AccountAndStatementDetails

Account information and detailed account holdings information report for corporate action events.

D. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

14.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnInstrStmntRpt>	[1..1]		C9, C17, C19	
	Pagination <Pgntn>	[1..1]	±		760
	StatementGeneralDetails <StmntGnlDtls>	[1..1]	±		761
	AccountAndStatementDetails <AcctAndStmntDtls>	[1..*]		C20, C21, C22, C23	761
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		765
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		766
	AccountOwner <AcctOwnr>	[0..1]	±		766
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		766
	CorporateActionEventAndBalance <CorpActnEvtAndBal>	[0..*]			766
	GeneralInformation <GnlInf>	[1..1]			770
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		770
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		770
	EventType <EvtTp>	[1..1]	±	C14	770
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		771
	LastNotificationIdentification <LastNtfctnId>	[0..1]	±		771
	UnderlyingSecurity <UndrlygScty>	[1..1]	±	C11, C12, C15, C16, C18	771
	Balance <Bal>	[0..1]			772
	TotalEligibleBalance <TtlElgblBal>	[1..1]	±		776
	UninstructedBalance <UinstdBal>	[1..1]	±		777
	TotalInstructedBalanceDetails <TtlInstdBalDtls>	[1..1]			777
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		780
	TotalAcceptedInstructionBalance <TtlAcptdInstrBal>	[0..1]	±		780
	TotalCancelledInstructionBalance <TtlCancInstrBal>	[0..1]	±		780
	TotalPendingInstructionBalance <TtlPdgInstrBal>	[0..1]	±		781
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		781
	TotalProtectInstructionBalance <TtlPrctInstrBal>	[0..1]	±		781

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionDetails <OptnDtls>	[0..*]			781
	OptionNumber <OptnNb>	[0..1]	Text		783
	OptionType <OptnTp>	[1..1]	±		784
	InstructedBalance <InstdBal>	[1..1]	±		784
	DefaultAction <DfltActn>	[0..1]	±		784
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		784
	OptionCancelledInstructionBalance <OptnCancInstrBal>	[0..1]	±		785
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		785
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		785
	OptionReturnedInstructedBalance <OptnRtrdInstdBal>	[0..1]	±		785
	OptionProtectInstructionBalance <OptnPrtctInstrBal>	[0..1]	±		786
	EventDeadlines <EvtDdlns>	[1..1]			786
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		786
	ResponseDeadline <RspnDdln>	[0..1]	±		786
	MarketDeadline <MktDdln>	[0..1]	±		787
	ProtectDeadline <PrtctDdln>	[0..1]	±		787
	CoverProtectDeadline <CoverPrtctDdln>	[0..1]	±		788
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			788
	InstructionIdentification <InstrId>	[1..1]	Text		790
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		790
	ProtectIndicator <PrtctInd>	[0..1]	CodeSet		790
	InstructionQuantity <InstrQty>	[1..1]	±	C13	790
	InstructionDate <InstrDt>	[1..1]	Date		791
	ProtectDate <PrtctDt>	[0..1]	Date		791
	CoverProtectDate <CoverPrtctDt>	[0..1]	Date		791
	BidPrice <BidPric>	[0..1]	±		791
	ConditionalQuantity <CondlQty>	[0..1]	±	C13	792
	CustomerReference <CstmrRef>	[0..1]	Text		792
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		792
	InstructionStatus <InstrSts>	[1..1]			792
{Or	Accepted <Accptd>	[1..1]	±		793

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	Cancelled <Canc>	[1..1]	±		794
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		794
Or	Rejected <Rjctd>	[1..1]			794
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		795
Or}	Reason <Rsn>	[1..*]		C4	795
	ReasonCode <RsnCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		796
Or}	Proprietary <Prtry>	[1..1]	±		797
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		798
Or	Pending <Pdg>	[1..1]			798
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		798
Or}	Reason <Rsn>	[1..*]		C5	798
	ReasonCode <RsnCd>	[1..1]			799
{Or	Code <Cd>	[1..1]	CodeSet		799
Or}	Proprietary <Prtry>	[1..1]	±		800
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		800
Or	PendingCancellation <PdgCxl>	[1..1]	±		800
Or	Covered <Cvrd>	[1..1]	±		801
Or	Uncovered <Ucvrd>	[1..1]	±		801
Or}	Returned <Rtrd>	[1..1]			801
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		802
Or}	Reason <Rsn>	[1..*]		C7	802
	ReasonCode <RsnCd>	[1..1]			802
{Or	Code <Cd>	[1..1]	CodeSet		803
Or}	Proprietary <Prtry>	[1..1]	±		803
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		803
	BlockedBalance <BlckdBal>	[0..1]	±		803
	BorrowedBalance <BrrwdBal>	[0..1]	±		804
	CollateralInBalance <CollInBal>	[0..1]	±		804
	CollateralOutBalance <CollOutBal>	[0..1]	±		804
	OnLoanBalance <OnLnBal>	[0..1]	±		804

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		805
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		805
	StreetPositionBalance <StrtPosBal>	[0..1]	±		805
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		805
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		806
	RegisteredBalance <RegdBal>	[0..1]	±		806
	ObligatedBalance <OblgtdBal>	[0..1]	±		806
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]			807
	Balance <Bal>	[1..1]	±		807
	PendingTransactions <PdgTx>	[0..*]	±		807
	PendingReceiptBalance <PdgRctBal>	[0..*]			807
	Balance <Bal>	[1..1]	±		808
	PendingTransactions <PdgTx>	[0..*]	±		808
	SupplementaryData <SplmtryData>	[0..*]	±	C24	808
	SupplementaryData <SplmtryData>	[0..*]	±	C24	808

14.3 Constraints

C1 AccountServicerDeadlineMissedGuideline

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

C2 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C4 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C5 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C6 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C7 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C9 CorporateActionEventAndBalanceRule

If StatementGeneralDetails/ActivityIndicator is 'true' or '1' (Yes) then at least one occurrence of AccountAndStatementDetails/CorporateActionEventAndBalance must be present.

If StatementGeneralDetails/ActivityIndicator is 'false' or '0' (No) then AccountAndStatementDetails/CorporateActionEventAndBalance must not be present in any occurrences of AccountAndStatementDetails.

This constraint is defined at the MessageDefinition level.

C10 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C11 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C12 DescriptionUsageRule

Description must be used alone as the last resort.

C13 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C14 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C15 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C16 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C17 MultipleAccountAndStatementDetailsRule

If StatementGeneralDetails/ReportingType is MASE (Multiple Accounts) then AccountAndStatementDetails may be repeated and AccountAndStatementDetails/CorporateActionEventAndBalance must not be repeated in any occurrences of AccountAndStatementDetails.

If StatementGeneralDetails/ReportingType is SAME (Multiple Events) then AccountAndStatementDetails must not be repeated, and AccountAndStatementDetails/CorporateActionEventAndBalance may be repeated.

This constraint is defined at the MessageDefinition level.

C18 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C19 PaginationRule

If pagination is present, then StatementGeneralDetails/StatementIdentification must be present.

On Condition
/Pagination is present
Following Must be True
/StatementGeneralDetails/StatementIdentification Must be present

This constraint is defined at the MessageDefinition level.

C20 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C21 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C22 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C23 SafekeepingPlaceRule

SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C24 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

14.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

14.4.1 Pagination <Pgntn>

Presence: [1..1]

Definition: Page number of the message (within a statement) and continuation indicator to indicate that the statement is to continue or that the message is the last page of the statement.

Pagination <Pgntn> contains the following elements (see "Pagination1" on page 883 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		883
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		883

14.4.2 StatementGeneralDetails <StmtGnIDtls>

Presence: [1..1]

Definition: General characteristics related to a statement which reports information.

StatementGeneralDetails <StmtGnIDtls> contains the following elements (see "Statement72" on page 960 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StatementType <StmtTp>	[1..1]	CodeSet		960
	ReportingType <RptgTp>	[1..1]	CodeSet		960
	StatementIdentification <StmtId>	[1..1]	Text		961
	InstructionAggregationPeriod <InstrAggtnPrd>	[0..1]	±		961
	ReportNumber <RptNb>	[0..1]	Text		961
	StatementDateTime <StmtDtTm>	[1..1]	±		961
	Frequency <Frqcy>	[1..1]	±		961
	UpdateType <UpdTp>	[1..1]	±		962
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		962
	NotificationDeadlinePeriod <NtfctnDdlPrd>	[0..1]	±		962

14.4.3 AccountAndStatementDetails <AcctAndStmtDtls>

Presence: [1..*]

Definition: Account information and detailed account holdings information report for corporate action events.

Impacted by: C20 "SafekeepingAccountOrBlockChainAddress1Rule", C21 "SafekeepingAccountOrBlockChainAddress2Rule", C22 "SafekeepingAccountOrBlockChainAddress3Rule", C23 "SafekeepingPlaceRule"

AccountAndStatementDetails <AcctAndStmtDtls> contains the following **AccountIdentification68** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		765
	BlockchainAddressOrWallet <BlckChainAdrOrWllet>	[0..1]	Text		766
	AccountOwner <AcctOwnr>	[0..1]	±		766
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		766
	CorporateActionEventAndBalance <CorpActnEvtAndBal>	[0..*]			766
	GeneralInformation <GnlInf>	[1..1]			770
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		770
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		770
	EventType <EvtTp>	[1..1]	±	C14	770
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		771
	LastNotificationIdentification <LastNtfctnId>	[0..1]	±		771
	UnderlyingSecurity <UndrlygScty>	[1..1]	±	C11, C12, C15, C16, C18	771
	Balance <Bal>	[0..1]			772
	TotalEligibleBalance <TtlElgblBal>	[1..1]	±		776
	UninstructedBalance <UinstdBal>	[1..1]	±		777
	TotalInstructedBalanceDetails <TtlInstdBalDtls>	[1..1]			777
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		780
	TotalAcceptedInstructionBalance <TtlAccptdInstrBal>	[0..1]	±		780
	TotalCancelledInstructionBalance <TtlCancInstrBal>	[0..1]	±		780
	TotalPendingInstructionBalance <TtlPdglInstrBal>	[0..1]	±		781
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		781
	TotalProtectInstructionBalance <TtlPrctInstrBal>	[0..1]	±		781
	OptionDetails <OptnDtls>	[0..*]			781
	OptionNumber <OptnNb>	[0..1]	Text		783
	OptionType <OptnTp>	[1..1]	±		784
	InstructedBalance <InstdBal>	[1..1]	±		784

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DefaultAction <DfltActn>	[0..1]	±		784
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		784
	OptionCancelledInstructionBalance <OptnCncInstrBal>	[0..1]	±		785
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		785
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		785
	OptionReturnedInstructedBalance <OptnRtrdInstdBal>	[0..1]	±		785
	OptionProtectInstructionBalance <OptnPrtctInstrBal>	[0..1]	±		786
	EventDeadlines <EvtDdlns>	[1..1]			786
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		786
	ResponseDeadline <RspnDdln>	[0..1]	±		786
	MarketDeadline <MktDdln>	[0..1]	±		787
	ProtectDeadline <PrtctDdln>	[0..1]	±		787
	CoverProtectDeadline <CoverPrtctDdln>	[0..1]	±		788
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			788
	InstructionIdentification <InstrId>	[1..1]	Text		790
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		790
	ProtectIndicator <PrtctInd>	[0..1]	CodeSet		790
	InstructionQuantity <InstrQty>	[1..1]	±	C13	790
	InstructionDate <InstrDt>	[1..1]	Date		791
	ProtectDate <PrtctDt>	[0..1]	Date		791
	CoverProtectDate <CoverPrtctDt>	[0..1]	Date		791
	BidPrice <BidPric>	[0..1]	±		791
	ConditionalQuantity <CondlQty>	[0..1]	±	C13	792
	CustomerReference <CstmrRef>	[0..1]	Text		792
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		792
	InstructionStatus <InstrSts>	[1..1]			792
{Or	Accepted <Accptd>	[1..1]	±		793
Or	Cancelled <Canc>	[1..1]	±		794
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		794

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	Rejected <Rjctd>	[1..1]			794
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		795
Or}	Reason <Rsn>	[1..*]		C4	795
	ReasonCode <RsnCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		796
Or}	Proprietary <Prtry>	[1..1]	±		797
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		798
Or	Pending <Pdg>	[1..1]			798
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		798
Or}	Reason <Rsn>	[1..*]		C5	798
	ReasonCode <RsnCd>	[1..1]			799
{Or	Code <Cd>	[1..1]	CodeSet		799
Or}	Proprietary <Prtry>	[1..1]	±		800
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		800
Or	PendingCancellation <PdgCxl>	[1..1]	±		800
Or	Covered <Cvrd>	[1..1]	±		801
Or	Uncovered <Ucvrd>	[1..1]	±		801
Or}	Returned <Rtrd>	[1..1]			801
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		802
Or}	Reason <Rsn>	[1..*]		C7	802
	ReasonCode <RsnCd>	[1..1]			802
{Or	Code <Cd>	[1..1]	CodeSet		803
Or}	Proprietary <Prtry>	[1..1]	±		803
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		803
	BlockedBalance <BlckdBal>	[0..1]	±		803
	BorrowedBalance <BrrwdBal>	[0..1]	±		804
	CollateralInBalance <CollInBal>	[0..1]	±		804
	CollateralOutBalance <CollOutBal>	[0..1]	±		804
	OnLoanBalance <OnLnBal>	[0..1]	±		804
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		805
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		805

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StreetPositionBalance <StrtPosBal>	[0..1]	±		805
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		805
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		806
	RegisteredBalance <RegdBal>	[0..1]	±		806
	ObligatedBalance <OblgtdBal>	[0..1]	±		806
	PendingDeliveryBalance <PdgDivryBal>	[0..*]			807
	Balance <Bal>	[1..1]	±		807
	PendingTransactions <PdgTxs>	[0..*]	±		807
	PendingReceiptBalance <PdgRctBal>	[0..*]			807
	Balance <Bal>	[1..1]	±		808
	PendingTransactions <PdgTxs>	[0..*]	±		808
	SupplementaryData <SplmtryData>	[0..*]	±	C24	808

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

14.4.3.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "Max35Text" on page 1246

14.4.3.2 BlockChainAddressOrWallet <BlckChainAdrOrWlIt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 1244

14.4.3.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification127Choice" on page 1032 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1032
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1032

14.4.3.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat42Choice" on page 1130 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			1130
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1130
	Identification <Id>	[0..1]	Text		1131
Or	Country <Ctry>	[1..1]	CodeSet	C3	1131
Or	DigitalLedgerIdentification <DgtLdgrId>	[1..1]	IdentifierSet		1131
Or	TypeAndIdentification <TpAndId>	[1..1]			1131
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1132
	Identification <Id>	[1..1]	IdentifierSet	C1	1132
Or}	Proprietary <Prtry>	[1..1]	±		1132

14.4.3.5 CorporateActionEventAndBalance <CorpActnEvtAndBal>

Presence: [0..*]

Definition: Detailed account holdings information report for a corporate action event.

CorporateActionEventAndBalance <CorpActnEvtAndBal> contains the following
CorporateActionEventAndBalance26 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GeneralInformation <Gnllnf>	[1..1]			770
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		770
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		770
	EventType <EvtTp>	[1..1]	±	C14	770
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		771
	LastNotificationIdentification <LastNtfctnId>	[0..1]	±		771
	UnderlyingSecurity <UndrlygScty>	[1..1]	±	C11, C12, C15, C16, C18	771
	Balance <Bal>	[0..1]			772
	TotalEligibleBalance <TtlElgblBal>	[1..1]	±		776
	UninstructedBalance <UinstdBal>	[1..1]	±		777
	TotalInstructedBalanceDetails <TtlInstdBalDtls>	[1..1]			777
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		780
	TotalAcceptedInstructionBalance <TtlAccptdInstrBal>	[0..1]	±		780
	TotalCancelledInstructionBalance <TtlCancInstrBal>	[0..1]	±		780
	TotalPendingInstructionBalance <TtlPdgInstrBal>	[0..1]	±		781
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		781
	TotalProtectInstructionBalance <TtlPrctInstrBal>	[0..1]	±		781
	OptionDetails <OptnDtls>	[0..*]			781
	OptionNumber <OptnNb>	[0..1]	Text		783
	OptionType <OptnTp>	[1..1]	±		784
	InstructedBalance <InstdBal>	[1..1]	±		784
	DefaultAction <DfltActn>	[0..1]	±		784
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		784
	OptionCancelledInstructionBalance <OptnCancInstrBal>	[0..1]	±		785
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		785
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		785

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionReturnedInstructedBalance <OptnRtrdInstdBal>	[0..1]	±		785
	OptionProtectInstructionBalance <OptnPrctInstrBal>	[0..1]	±		786
	EventDeadlines <EvtDdlns>	[1..1]			786
	EarlyResponseDeadline <EarlyRspnDdlIn>	[0..1]	±		786
	ResponseDeadline <RspnDdlIn>	[0..1]	±		786
	MarketDeadline <MktDdlIn>	[0..1]	±		787
	ProtectDeadline <PrctDdlIn>	[0..1]	±		787
	CoverProtectDeadline <CoverPrctDdlIn>	[0..1]	±		788
	OptionInstructionDetails <OptnInstrDtIs>	[0..*]			788
	InstructionIdentification <InstrId>	[1..1]	Text		790
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		790
	ProtectIndicator <PrctInd>	[0..1]	CodeSet		790
	InstructionQuantity <InstrQty>	[1..1]	±	C13	790
	InstructionDate <InstrDt>	[1..1]	Date		791
	ProtectDate <PrctDt>	[0..1]	Date		791
	CoverProtectDate <CoverPrctDt>	[0..1]	Date		791
	BidPrice <BidPric>	[0..1]	±		791
	ConditionalQuantity <CondlQty>	[0..1]	±	C13	792
	CustomerReference <CstmrRef>	[0..1]	Text		792
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		792
	InstructionStatus <InstrSts>	[1..1]			792
{Or	Accepted <Accptd>	[1..1]	±		793
Or	Cancelled <Canc>	[1..1]	±		794
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrg>	[1..1]	±		794
Or	Rejected <Rjctd>	[1..1]			794
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		795
Or}	Reason <Rsn>	[1..*]		C4	795
	ReasonCode <RsnCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		796
Or}	Proprietary <Prtry>	[1..1]	±		797

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		798
Or	Pending <Pdg>	[1..1]			798
{Or	NoSpecifiedReason <NoSpcedRsn>	[1..1]	CodeSet		798
Or}	Reason <Rsn>	[1..*]		C5	798
	ReasonCode <RsnCd>	[1..1]			799
{Or	Code <Cd>	[1..1]	CodeSet		799
Or}	Proprietary <Prtry>	[1..1]	±		800
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		800
Or	PendingCancellation <PdgCxl>	[1..1]	±		800
Or	Covered <Cvrd>	[1..1]	±		801
Or	Uncovered <Ucvrd>	[1..1]	±		801
Or}	Returned <Rtrd>	[1..1]			801
{Or	NoSpecifiedReason <NoSpcedRsn>	[1..1]	CodeSet		802
Or}	Reason <Rsn>	[1..*]		C7	802
	ReasonCode <RsnCd>	[1..1]			802
{Or	Code <Cd>	[1..1]	CodeSet		803
Or}	Proprietary <Prtry>	[1..1]	±		803
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		803
	BlockedBalance <BlckdBal>	[0..1]	±		803
	BorrowedBalance <BrrwdBal>	[0..1]	±		804
	CollateralInBalance <CollInBal>	[0..1]	±		804
	CollateralOutBalance <CollOutBal>	[0..1]	±		804
	OnLoanBalance <OnLnBal>	[0..1]	±		804
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		805
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		805
	StreetPositionBalance <StrtPosBal>	[0..1]	±		805
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		805
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		806
	RegisteredBalance <RegdBal>	[0..1]	±		806
	ObligatedBalance <OblgtdBal>	[0..1]	±		806
	PendingDeliveryBalance <PdgDivryBal>	[0..*]			807

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		807
	PendingTransactions <PdgTxs>	[0..*]	±		807
	PendingReceiptBalance <PdgRctBal>	[0..*]			807
	Balance <Bal>	[1..1]	±		808
	PendingTransactions <PdgTxs>	[0..*]	±		808
	SupplementaryData <SplmtryData>	[0..*]	±	C24	808

14.4.3.5.1 GeneralInformation <GnlInf>

Presence: [1..1]

Definition: Provides general information related to a corporate action event.

GeneralInformation <GnlInf> contains the following **EventInformation17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		770
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		770
	EventType <EvtTp>	[1..1]	±	C14	770
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		771
	LastNotificationIdentification <LastNtfctnId>	[0..1]	±		771

14.4.3.5.1.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "Max35Text" on page 1246

14.4.3.5.1.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Provides the reference of the linked official corporate action event.

Datatype: "Max35Text" on page 1246

14.4.3.5.1.3 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C14 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType112Choice" on page 982 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		982
Or}	Proprietary <Prtry>	[1..1]	±		988

Constraints

- **EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

14.4.3.5.1.4 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following elements (see "CorporateActionMandatoryVoluntary3Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		969
Or}	Proprietary <Prtry>	[1..1]	±		969

14.4.3.5.1.5 LastNotificationIdentification <LastNtfctnId>

Presence: [0..1]

Definition: Provides information about the identification of the last notification.

LastNotificationIdentification <LastNtfctnId> contains the following elements (see "NotificationIdentification5" on page 947 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		948
	CreationDateTime <CreDtTm>	[0..1]	±		948

14.4.3.5.2 UnderlyingSecurity <UndrlygScty>

Presence: [1..1]

Definition: Security concerned by the corporate action.

Impacted by: C11 "DescriptionPresenceRule", C12 "DescriptionUsageRule", C15 "ISINGuideline", C16 "ISINPresenceRule", C18 "OtherIdentificationPresenceRule"

UnderlyingSecurity <UndrlygScty> contains the following elements (see "SecurityIdentification19" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		864
	OtherIdentification <Othrld>	[0..*]			864
	Identification <Id>	[1..1]	Text		864
	Suffix <Sfx>	[0..1]	Text		864
	Type <Tp>	[1..1]	±		865
	Description <Desc>	[0..1]	Text		865

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or
  /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or
  /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or
  /Description Must be present
```

14.4.3.5.3 Balance <Bal>

Presence: [0..1]

Definition: Provides information about the balance related to a corporate action.

Balance <Bal> contains the following **CorporateActionBalance50** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalEligibleBalance <TtlElgblBal>	[1..1]	±		776
	UninstructedBalance <UinstdBal>	[1..1]	±		777
	TotalInstructedBalanceDetails <TtlInstdBalDtls>	[1..1]			777
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		780
	TotalAcceptedInstructionBalance <TtlAccptdInstrBal>	[0..1]	±		780
	TotalCancelledInstructionBalance <TtlCanclInstrBal>	[0..1]	±		780
	TotalPendingInstructionBalance <TtlPdgInstrBal>	[0..1]	±		781
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		781
	TotalProtectInstructionBalance <TtlPrctInstrBal>	[0..1]	±		781
	OptionDetails <OptnDtls>	[0..*]			781
	OptionNumber <OptnNb>	[0..1]	Text		783
	OptionType <OptnTp>	[1..1]	±		784
	InstructedBalance <InstdBal>	[1..1]	±		784
	DefaultAction <DfltActn>	[0..1]	±		784
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		784
	OptionCancelledInstructionBalance <OptnCanclInstrBal>	[0..1]	±		785
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		785
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		785
	OptionReturnedInstructedBalance <OptnRtrdInstdBal>	[0..1]	±		785
	OptionProtectInstructionBalance <OptnPrctInstrBal>	[0..1]	±		786
	EventDeadlines <EvtDdlns>	[1..1]			786
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		786
	ResponseDeadline <RspnDdln>	[0..1]	±		786
	MarketDeadline <MktDdln>	[0..1]	±		787
	ProtectDeadline <PrctDdln>	[0..1]	±		787
	CoverProtectDeadline <CoverPrctDdln>	[0..1]	±		788
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			788
	InstructionIdentification <InstrId>	[1..1]	Text		790

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		790
	ProtectIndicator <PrctInd>	[0..1]	CodeSet		790
	InstructionQuantity <InstrQty>	[1..1]	±	C13	790
	InstructionDate <InstrDt>	[1..1]	Date		791
	ProtectDate <PrctDt>	[0..1]	Date		791
	CoverProtectDate <CoverPrctDt>	[0..1]	Date		791
	BidPrice <BidPric>	[0..1]	±		791
	ConditionalQuantity <CondlQty>	[0..1]	±	C13	792
	CustomerReference <CstmrRef>	[0..1]	Text		792
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		792
	InstructionStatus <InstrSts>	[1..1]			792
{Or	Accepted <Accptd>	[1..1]	±		793
Or	Cancelled <Canc>	[1..1]	±		794
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrg>	[1..1]	±		794
Or	Rejected <Rjctd>	[1..1]			794
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		795
Or}	Reason <Rsn>	[1..*]		C4	795
	ReasonCode <RsnCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		796
Or}	Proprietary <Prtry>	[1..1]	±		797
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		798
Or	Pending <Pdg>	[1..1]			798
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		798
Or}	Reason <Rsn>	[1..*]		C5	798
	ReasonCode <RsnCd>	[1..1]			799
{Or	Code <Cd>	[1..1]	CodeSet		799
Or}	Proprietary <Prtry>	[1..1]	±		800
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		800
Or	PendingCancellation <PdgCxl>	[1..1]	±		800
Or	Covered <Cvrd>	[1..1]	±		801
Or	Uncovered <Ucvrd>	[1..1]	±		801

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Returned <Rtrd>	[1..1]			801
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		802
Or}	Reason <Rsn>	[1..*]		C7	802
	ReasonCode <RsnCd>	[1..1]			802
{Or	Code <Cd>	[1..1]	CodeSet		803
Or}	Proprietary <Prtry>	[1..1]	±		803
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		803
	BlockedBalance <BlckdBal>	[0..1]	±		803
	BorrowedBalance <BrrwdBal>	[0..1]	±		804
	CollateralInBalance <CollInBal>	[0..1]	±		804
	CollateralOutBalance <CollOutBal>	[0..1]	±		804
	OnLoanBalance <OnLnBal>	[0..1]	±		804
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		805
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		805
	StreetPositionBalance <StrtPosBal>	[0..1]	±		805
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		805
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		806
	RegisteredBalance <RegdBal>	[0..1]	±		806
	ObligatedBalance <OblgtdBal>	[0..1]	±		806
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]			807
	Balance <Bal>	[1..1]	±		807
	PendingTransactions <PdgTxs>	[0..*]	±		807
	PendingReceiptBalance <PdgRctBal>	[0..*]			807
	Balance <Bal>	[1..1]	±		808
	PendingTransactions <PdgTxs>	[0..*]	±		808

14.4.3.5.3.1 TotalEligibleBalance <TtlElgblBal>*Presence:* [1..1]*Definition:* Total quantity of financial instruments of the balance.

TotalEligibleBalance <TtlElgblBal> contains one of the following elements (see "Quantity49Choice" on page 1087 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityChoice <QtyChc>	[1..1]			1088
{Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]			1088
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1089
	FaceAmount <FaceAmt>	[1..1]	Amount		1089
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1089
Or}	SignedQuantity <SgndQty>	[1..1]	±		1089
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1089
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		1090
	Quantity <Qty>	[1..1]	Quantity		1090
	QuantityType <QtyTp>	[1..1]	Text		1090
	Issuer </ssr>	[1..1]	Text		1090
	SchemeName <SchmeNm>	[0..1]	Text		1090

14.4.3.5.3.2 UninstructedBalance <UinstdBal>

Presence: [1..1]

Definition: Quantity of securities in the sub-balance.

UninstructedBalance <UinstdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

14.4.3.5.3.3 TotalInstructedBalanceDetails <TtlInstdBalDtls>

Presence: [1..1]

Definition: Provides information about the total instructed balance.

TotalInstructedBalanceDetails <TtlInstdBalDtls> contains the following **InstructedBalance20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		780
	TotalAcceptedInstructionBalance <TtlAccptdInstrBal>	[0..1]	±		780
	TotalCancelledInstructionBalance <TtlCancInstrBal>	[0..1]	±		780
	TotalPendingInstructionBalance <TtlPdglInstrBal>	[0..1]	±		781
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		781
	TotalProtectInstructionBalance <TtlPrctInstrBal>	[0..1]	±		781
	OptionDetails <OptnDtls>	[0..*]			781
	OptionNumber <OptnNb>	[0..1]	Text		783
	OptionType <OptnTp>	[1..1]	±		784
	InstructedBalance <InstdBal>	[1..1]	±		784
	DefaultAction <DfltActn>	[0..1]	±		784
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		784
	OptionCancelledInstructionBalance <OptnCancInstrBal>	[0..1]	±		785
	OptionPendingInstructionBalance <OptnPdglInstrBal>	[0..1]	±		785
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		785
	OptionReturnedInstructedBalance <OptnRtrdInstdBal>	[0..1]	±		785
	OptionProtectInstructionBalance <OptnPrctInstrBal>	[0..1]	±		786
	EventDeadlines <EvtDdlns>	[1..1]			786
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		786
	ResponseDeadline <RspnDdln>	[0..1]	±		786
	MarketDeadline <MktDdln>	[0..1]	±		787
	ProtectDeadline <PrctDdln>	[0..1]	±		787
	CoverProtectDeadline <CoverPrctDdln>	[0..1]	±		788
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			788
	InstructionIdentification <InstrId>	[1..1]	Text		790
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		790
	ProtectIndicator <PrctInd>	[0..1]	CodeSet		790
	InstructionQuantity <InstrQty>	[1..1]	±	C13	790

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InstructionDate <InstrDt>	[1..1]	Date		791
	ProtectDate <PrctDt>	[0..1]	Date		791
	CoverProtectDate <CoverPrctDt>	[0..1]	Date		791
	BidPrice <BidPric>	[0..1]	±		791
	ConditionalQuantity <CondlQty>	[0..1]	±	C13	792
	CustomerReference <CstmrRef>	[0..1]	Text		792
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		792
	InstructionStatus <InstrSts>	[1..1]			792
{Or	Accepted <Accptd>	[1..1]	±		793
Or	Cancelled <Canc>	[1..1]	±		794
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		794
Or	Rejected <Rjctd>	[1..1]			794
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		795
Or}	Reason <Rsn>	[1..*]		C4	795
	ReasonCode <RsnCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		796
Or}	Proprietary <Prtry>	[1..1]	±		797
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		798
Or	Pending <Pdg>	[1..1]			798
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		798
Or}	Reason <Rsn>	[1..*]		C5	798
	ReasonCode <RsnCd>	[1..1]			799
{Or	Code <Cd>	[1..1]	CodeSet		799
Or}	Proprietary <Prtry>	[1..1]	±		800
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		800
Or	PendingCancellation <PdgCxl>	[1..1]	±		800
Or	Covered <Cvrd>	[1..1]	±		801
Or	Uncovered <Ucvrd>	[1..1]	±		801
Or}	Returned <Rtrd>	[1..1]			801
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		802
Or}	Reason <Rsn>	[1..*]		C7	802

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			802
{Or	Code <Cd>	[1..1]	CodeSet		803
Or}	Proprietary <Prtry>	[1..1]	±		803
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		803

14.4.3.5.3.3.1 TotalInstructedBalance <TtlInstdBal>

Presence: [1..1]

Definition: Provides information about the total instructed balance.

TotalInstructedBalance <TtlInstdBal> contains one of the following elements (see "BalanceFormat11Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

14.4.3.5.3.3.2 TotalAcceptedInstructionBalance <TtlAccpdtInstrBal>

Presence: [0..1]

Definition: Daily total of all accepted instructions for given day. Cover protect instructions will be included in this total balance.

TotalAcceptedInstructionBalance <TtlAccpdtInstrBal> contains the following elements (see "SignedQuantityFormat10" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.3.3 TotalCancelledInstructionBalance <TtlCancInstrBal>

Presence: [0..1]

Definition: Daily total of cancelled instructions for a given day.

TotalCancelledInstructionBalance <TtlCancInstrBal> contains the following elements (see "SignedQuantityFormat10" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.3.4 TotalPendingInstructionBalance <TtIPdglInstrBal>*Presence:* [0..1]*Definition:* Daily total of pending instructions in pending status. It includes cancel pending instructions.**TotalPendingInstructionBalance <TtIPdglInstrBal>** contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.3.5 TotalRejectedInstructionBalance <TtIRjctdInstrBal>*Presence:* [0..1]*Definition:* Daily total of rejected instructions.**TotalRejectedInstructionBalance <TtIRjctdInstrBal>** contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.3.6 TotalProtectInstructionBalance <TtIPrtctlInstrBal>*Presence:* [0..1]*Definition:* Daily total of all protect instructions sent in a given day.**TotalProtectInstructionBalance <TtIPrtctlInstrBal>** contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.3.7 OptionDetails <OptnDtls>*Presence:* [0..*]*Definition:* Provide instructed balance breakdown information per option.

OptionDetails <OptnDtls> contains the following **InstructedCorporateActionOption21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[0..1]	Text		783
	OptionType <OptnTp>	[1..1]	±		784
	InstructedBalance <InstdBal>	[1..1]	±		784
	DefaultAction <DfltActn>	[0..1]	±		784
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		784
	OptionCancelledInstructionBalance <OptnCancInstrBal>	[0..1]	±		785
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		785
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		785
	OptionReturnedInstructedBalance <OptnRtrdInstdBal>	[0..1]	±		785
	OptionProtectInstructionBalance <OptnPrctInstrBal>	[0..1]	±		786
	EventDeadlines <EvtDdlns>	[1..1]			786
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		786
	ResponseDeadline <RspnDdln>	[0..1]	±		786
	MarketDeadline <MktDdln>	[0..1]	±		787
	ProtectDeadline <PrctDdln>	[0..1]	±		787
	CoverProtectDeadline <CoverPrctDdln>	[0..1]	±		788
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			788
	InstructionIdentification <InstrId>	[1..1]	Text		790
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		790
	ProtectIndicator <PrctInd>	[0..1]	CodeSet		790
	InstructionQuantity <InstrQty>	[1..1]	±	C13	790
	InstructionDate <InstrDt>	[1..1]	Date		791
	ProtectDate <PrctDt>	[0..1]	Date		791
	CoverProtectDate <CoverPrctDt>	[0..1]	Date		791
	BidPrice <BidPric>	[0..1]	±		791
	ConditionalQuantity <CondlQty>	[0..1]	±	C13	792
	CustomerReference <CstmrRef>	[0..1]	Text		792
	InstructionNarrative <InstrNrtv>	[0..1]	Text		792
	InstructionStatus <InstrSts>	[1..1]			792

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Accepted <Accptd>	[1..1]	±		793
Or	Cancelled <Canc>	[1..1]	±		794
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrpg>	[1..1]	±		794
Or	Rejected <Rjctd>	[1..1]			794
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		795
Or}	Reason <Rsn>	[1..*]		C4	795
	ReasonCode <RsnCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		796
Or}	Proprietary <Prtry>	[1..1]	±		797
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		798
Or	Pending <Pdg>	[1..1]			798
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		798
Or}	Reason <Rsn>	[1..*]		C5	798
	ReasonCode <RsnCd>	[1..1]			799
{Or	Code <Cd>	[1..1]	CodeSet		799
Or}	Proprietary <Prtry>	[1..1]	±		800
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		800
Or	PendingCancellation <PdgCxl>	[1..1]	±		800
Or	Covered <Cvrd>	[1..1]	±		801
Or	Uncovered <Ucvrd>	[1..1]	±		801
Or}	Returned <Rtrd>	[1..1]			801
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		802
Or}	Reason <Rsn>	[1..*]		C7	802
	ReasonCode <RsnCd>	[1..1]			802
{Or	Code <Cd>	[1..1]	CodeSet		803
Or}	Proprietary <Prtry>	[1..1]	±		803
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		803

14.4.3.5.3.3.7.1 OptionNumber <OptnNb>*Presence:* [0..1]*Definition:* Number identifying the available corporate action options.*Datatype:* "Exact3NumericText" on page 1243

14.4.3.5.3.3.7.2 OptionType <OptnTp>*Presence:* [1..1]*Definition:* Specifies the corporate action options available to the account owner.**OptionType <OptnTp>** contains one of the following elements (see "[CorporateActionOption30Choice](#)" on page 875 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		877

14.4.3.5.3.3.7.3 InstructedBalance <InstdBal>*Presence:* [1..1]*Definition:* Balance of instructed position.**InstructedBalance <InstdBal>** contains one of the following elements (see "[BalanceFormat11Choice](#)" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

14.4.3.5.3.3.7.4 DefaultAction <DfltActn>*Presence:* [0..1]*Definition:* Indicates the default action related to a corporate action event.**DefaultAction <DfltActn>** contains one of the following elements (see "[DefaultProcessingOrStandingInstruction2Choice](#)" on page 991 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DefaultOptionIndicator <DfltOptnInd>	[1..1]	Indicator		991
Or}	StandingInstructionIndicator <StglInstrInd>	[1..1]	Indicator		991

14.4.3.5.3.3.7.5 OptionAcceptedInstructedBalance <OptnAccptdInstdBal>*Presence:* [0..1]*Definition:* Daily total of accepted instructions received for a given option.**OptionAcceptedInstructedBalance <OptnAccptdInstdBal>** contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.3.7.6 OptionCancelledInstructionBalance <OptnCancInstrBal>*Presence:* [0..1]*Definition:* Daily total of cancelled instructions for a given option.**OptionCancelledInstructionBalance <OptnCancInstrBal>** contains the following elements (see "SignedQuantityFormat10" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.3.7.7 OptionPendingInstructionBalance <OptnPdgInstrBal>*Presence:* [0..1]*Definition:* Daily total of pending instructions in pending status for a given option. It includes cancel pending instructions.**OptionPendingInstructionBalance <OptnPdgInstrBal>** contains the following elements (see "SignedQuantityFormat10" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.3.7.8 OptionRejectedInstructionBalance <OptnRjctdInstrBal>*Presence:* [0..1]*Definition:* Daily total of rejected instructions for a given option.**OptionRejectedInstructionBalance <OptnRjctdInstrBal>** contains the following elements (see "SignedQuantityFormat10" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.3.7.9 OptionReturnedInstructedBalance <OptnRtrdInstdBal>*Presence:* [0..1]*Definition:* Daily total of returned instructions received for a given option.**OptionReturnedInstructedBalance <OptnRtrdInstdBal>** contains the following elements (see "SignedQuantityFormat10" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.3.7.10 OptionProtectInstructionBalance <OptnPrctctInstrBal>*Presence:* [0..1]*Definition:* Daily total of all protect instructions for a given option.**OptionProtectInstructionBalance <OptnPrctctInstrBal>** contains the following elements (see "SignedQuantityFormat10" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.3.7.11 EventDeadlines <EvtDdlns>*Presence:* [1..1]*Definition:* Provides information about the deadlines related to a corporate action option.**EventDeadlines <EvtDdlns>** contains the following **CorporateActionEventDeadlines3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		786
	ResponseDeadline <RspnDdln>	[0..1]	±		786
	MarketDeadline <MktDdln>	[0..1]	±		787
	ProtectDeadline <PrctctDdln>	[0..1]	±		787
	CoverProtectDeadline <CoverPrctctDdln>	[0..1]	±		788

14.4.3.5.3.3.7.11.1 EarlyResponseDeadline <EarlyRspnDdln>*Presence:* [0..1]*Definition:* Date/time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).**EarlyResponseDeadline <EarlyRspnDdln>** contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

14.4.3.5.3.3.7.11.2 ResponseDeadline <RspnDdln>*Presence:* [0..1]

Definition: Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).

ResponseDeadline <RspnDdln> contains one of the following elements (see "DateFormat44Choice" on page 845 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		846
Or	DateCodeAndTime <DtCdAndTm>	[1..1]			846
	DateCode <DtCd>	[1..1]			846
{Or	Code <Cd>	[1..1]	CodeSet		847
Or}	Proprietary <Prtry>	[1..1]	±		847
	Time <Tm>	[1..1]	Time		847
Or}	DateCode <DtCd>	[1..1]			847
{Or	Code <Cd>	[1..1]	CodeSet		848
Or}	Proprietary <Prtry>	[1..1]	±		848

14.4.3.5.3.3.7.11.3 MarketDeadline <MktDdln>

Presence: [0..1]

Definition: Issuer or issuer's agent deadline to respond with an instruction to an outstanding offer or privilege.

MarketDeadline <MktDdln> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

14.4.3.5.3.3.7.11.4 ProtectDeadline <PrctDdln>

Presence: [0..1]

Definition: Deadline for protect instructions.

ProtectDeadline <PrctDdln> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

14.4.3.5.3.3.7.11.5 CoverProtectDeadline <CoverPrctDdln>

Presence: [0..1]

Definition: Deadline for covering a protect instruction.

CoverProtectDeadline <CoverPrctDdln> contains one of the following elements (see "DateFormat43Choice" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

14.4.3.5.3.3.7.12 OptionInstructionDetails <OptnInstrDtls>

Presence: [0..*]

Definition: Instructions details received for the given option.

OptionInstructionDetails <OptnInstrDtls> contains the following **OptionInstructionDetails11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InstructionIdentification <InstrId>	[1..1]	Text		790
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		790
	ProtectIndicator <PrctctInd>	[0..1]	CodeSet		790
	InstructionQuantity <InstrQty>	[1..1]	±	C13	790
	InstructionDate <InstrDt>	[1..1]	Date		791
	ProtectDate <PrctctDt>	[0..1]	Date		791
	CoverProtectDate <CoverPrctctDt>	[0..1]	Date		791
	BidPrice <BidPric>	[0..1]	±		791
	ConditionalQuantity <CondQty>	[0..1]	±	C13	792
	CustomerReference <CstmrRef>	[0..1]	Text		792
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		792
	InstructionStatus <InstrSts>	[1..1]			792
{Or	Accepted <Accptd>	[1..1]	±		793
Or	Cancelled <Canc>	[1..1]	±		794
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrpg>	[1..1]	±		794
Or	Rejected <Rjctd>	[1..1]			794
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		795
Or}	Reason <Rsn>	[1..*]		C4	795
	ReasonCode <RsnCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		796
Or}	Proprietary <Prtry>	[1..1]	±		797
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		798
Or	Pending <Pdg>	[1..1]			798
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		798
Or}	Reason <Rsn>	[1..*]		C5	798
	ReasonCode <RsnCd>	[1..1]			799
{Or	Code <Cd>	[1..1]	CodeSet		799
Or}	Proprietary <Prtry>	[1..1]	±		800
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		800
Or	PendingCancellation <PdgCxl>	[1..1]	±		800

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	Covered <Cvrd>	[1..1]	±		801
Or	Uncovered <Ucvrd>	[1..1]	±		801
Or}	Returned <Rtrd>	[1..1]			801
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		802
Or}	Reason <Rsn>	[1..*]		C7	802
	ReasonCode <RsnCd>	[1..1]			802
{Or	Code <Cd>	[1..1]	CodeSet		803
Or}	Proprietary <Prtry>	[1..1]	±		803
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		803

14.4.3.5.3.3.7.12.1 InstructionIdentification <InstrId>*Presence:* [1..1]*Definition:* Identifies the instruction or protect instruction.*Datatype:* "Max15Text" on page 1244**14.4.3.5.3.3.7.12.2 InstructionSequenceNumber <InstrSeqNb>***Presence:* [0..1]*Definition:* Provides the sequence number of the instruction.*Datatype:* "Max3NumericText" on page 1246**14.4.3.5.3.3.7.12.3 ProtectIndicator <PrctInd>***Presence:* [0..1]*Definition:* Indicates whether the instruction is a protect or a cover protect instruction.*Datatype:* "ProtectTransactionType2Code" on page 1228

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.
COVP	CoverOnBehalfOfAnotherParticipantTransaction	Reorganisation is a cover on behalf of another participant transaction type.
COVR	CoverProtectTransaction	Reorganisation is a cover protect transaction type.

14.4.3.5.3.3.7.12.4 InstructionQuantity <InstrQty>*Presence:* [1..1]*Definition:* Securities quantity instructed in the instruction.*Impacted by:* C13 "DigitalTokenUnitUsageRule"

InstructionQuantity <InstrQty> contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

14.4.3.5.3.3.7.12.5 InstructionDate <InstrDt>

Presence: [1..1]

Definition: Date of the instruction.

Datatype: "ISODate" on page 1238

14.4.3.5.3.3.7.12.6 ProtectDate <PrctDt>

Presence: [0..1]

Definition: Date of the client protect instruction.

Datatype: "ISODate" on page 1238

14.4.3.5.3.3.7.12.7 CoverProtectDate <CoverPrctDt>

Presence: [0..1]

Definition: Date of the cover protect Instruction

Datatype: "ISODate" on page 1238

14.4.3.5.3.3.7.12.8 BidPrice <BidPric>

Presence: [0..1]

Definition: Bid price of the instruction.

BidPrice <BidPric> contains one of the following elements (see "PriceFormat74Choice" on page 1075 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1075
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1075
	PriceValue <PricVal>	[1..1]	Rate		1076
Or	AmountPrice <AmtPric>	[1..1]	±		1076
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1076

14.4.3.5.3.3.7.12.9 ConditionalQuantity <CondiQty>*Presence:* [0..1]*Definition:* Conditional quantity of the instruction.*Impacted by:* C13 "DigitalTokenUnitUsageRule"**ConditionalQuantity <CondiQty>** contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtdsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

14.4.3.5.3.3.7.12.10 CustomerReference <CstmrRef>*Presence:* [0..1]*Definition:* Customer related narrative information.*Datatype:* "Max50Text" on page 1246**14.4.3.5.3.3.7.12.11 InstructionNarrative <InstrNrrtv>***Presence:* [0..1]*Definition:* Narrative information from the submitted instruction.*Datatype:* "Max350Text" on page 1245**14.4.3.5.3.3.7.12.12 InstructionStatus <InstrSts>***Presence:* [1..1]*Definition:* Status of a particular instruction.

InstructionStatus <InstrSts> contains one of the following **InstructionProcessingStatus56Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Accepted <Accptd>	[1..1]	±		793
Or	Cancelled <Canc>	[1..1]	±		794
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrg>	[1..1]	±		794
Or	Rejected <Rjctd>	[1..1]			794
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		795
Or}	Reason <Rsn>	[1..*]		C4	795
	ReasonCode <RsnCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		796
Or}	Proprietary <Prtry>	[1..1]	±		797
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		798
Or	Pending <Pdg>	[1..1]			798
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		798
Or}	Reason <Rsn>	[1..*]		C5	798
	ReasonCode <RsnCd>	[1..1]			799
{Or	Code <Cd>	[1..1]	CodeSet		799
Or}	Proprietary <Prtry>	[1..1]	±		800
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		800
Or	PendingCancellation <PdgCxl>	[1..1]	±		800
Or	Covered <Cvrd>	[1..1]	±		801
Or	Uncovered <Ucvrd>	[1..1]	±		801
Or}	Returned <Rtrd>	[1..1]			801
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		802
Or}	Reason <Rsn>	[1..*]		C7	802
	ReasonCode <RsnCd>	[1..1]			802
{Or	Code <Cd>	[1..1]	CodeSet		803
Or}	Proprietary <Prtry>	[1..1]	±		803
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		803

14.4.3.5.3.3.7.12.12.1 Accepted <Accptd>

Presence: [1..1]

Definition: Instruction is accepted.

Accepted <Accptd> contains the following elements (see "NoSpecifiedReason1" on page 1144 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1144

14.4.3.5.3.3.7.12.12.2 Cancelled <Canc>

Presence: [1..1]

Definition: Instruction cancelled by client cancellation instruction.

Cancelled <Canc> contains one of the following elements (see "CancelledStatus12Choice" on page 1146 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1147
Or}	Reason <Rsn>	[1..*]		C3	1147
	ReasonCode <RsnCd>	[1..1]			1147
{Or	Code <Cd>	[1..1]	CodeSet		1148
Or}	Proprietary <Prtry>	[1..1]	±		1148
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1148

14.4.3.5.3.3.7.12.12.3 AcceptedForFurtherProcessing <AccptdForFrthrPrcg>

Presence: [1..1]

Definition: Provides status information related to an instruction request that is accepted. This means that the instruction has been received, is processable and has been validated for further processing.

AcceptedForFurtherProcessing <AccptdForFrthrPrcg> contains the following elements (see "NoSpecifiedReason1" on page 1144 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1144

14.4.3.5.3.3.7.12.12.4 Rejected <Rjctd>

Presence: [1..1]

Definition: Instruction rejected by the receiver.

Rejected <Rjctd> contains one of the following **RejectedStatus58Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		795
Or}	Reason <Rsn>	[1..*]		C4	795
	ReasonCode <RsnCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		796
Or}	Proprietary <Prtry>	[1..1]	±		797
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		798

14.4.3.5.3.3.7.12.12.4.1 NoSpecifiedReason <NoSpctdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1220

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

14.4.3.5.3.3.7.12.12.4.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason why the meeting instruction message or the individual meeting instruction is rejected.

Impacted by: C4 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **RejectedStatusReason57** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		796
Or}	Proprietary <Prtry>	[1..1]	±		797
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		798

Constraints

- **AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

14.4.3.5.3.3.7.12.12.4.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Reason for the rejected status.

ReasonCode <RsnCd> contains one of the following **RejectedReason58Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		796
Or}	Proprietary <Prtry>	[1..1]	±		797

14.4.3.5.3.3.7.12.12.4.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Rejection reason specified as a code.

Datatype: "RejectionReason86Code" on page 1233

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
CERT	IncorrectCertification	Instruction is rejected since the provided certification is incorrect or incomplete.
INVA	InvalidForTaxAuthorities	For tax reclaim, the reclaim is invalid for the tax authorities.
OPTY	InvalidOptionType	Invalid option type.
ULNK	InvalidReference	Linked reference is unknown.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LATE	MarketDeadlineMissed	Received after market deadline.
NMTY	Mismatch	Mismatch between option number and option type.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
CANC	OptionCancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	OptionInactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
OPNM	OptionNumberRejection	Unrecognised option number.
OTHR	Other	Other. See Narrative.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
REFT	RefusedByTaxAuthorities	Reclaim is refused by the tax authorities.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.

CodeName	Name	Definition
EVNM	UnrecognisedIdentification	Unrecognised event number.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
DQCC	CurrencyRejection	Invalid instructed currency.
DQAM	InstructionTypeRejection	Invalid use of instructed amount (QCAS) or of instructed quantity (QINS) qualifiers.
IRDQ	InvalidUseRoundUpQuantity	Instruction is rejected due to invalid use of the additional round up quantity.
DQBV	InvalidBidValue	Bid price / basis points / percentage falls outside of bid range for the event (generally used in Dutch Auctions or Bid Tenders).
DQBI	InvalidBidIncrement	Bid price / basis points / percentage increments rejected due to invalid bid increment for the event (generally used in Dutch Auctions or Bid Tenders).
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
INIR	InstructionIrrevocable	Instruction is irrevocable.
SHAR	ShareholderNumberRejection	Unrecognised, missing or invalid shareholder number.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
CTCT	MissingContact	Contact information is missing.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
PRON	NoProtectPrivilege	No protect privilege for this event.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.

14.4.3.5.3.3.7.12.12.4.2.1.2 Proprietary <Prtry>*Presence:* [1..1]

Definition: Rejection reason specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

14.4.3.5.3.3.7.12.12.4.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the rejection status.

Datatype: "[Max210Text](#)" on page 1244

14.4.3.5.3.3.7.12.12.5 Pending <Pdg>

Presence: [1..1]

Definition: Instruction has not been completed.

Pending <Pdg> contains one of the following **PendingStatus71Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		798
Or}	Reason <Rsn>	[1..*]		C5	798
	ReasonCode <RsnCd>	[1..1]			799
{Or	Code <Cd>	[1..1]	CodeSet		799
Or}	Proprietary <Prtry>	[1..1]	±		800
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		800

14.4.3.5.3.3.7.12.12.5.1 NoSpecifiedReason <NoSpctdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "[NoReasonCode](#)" on page 1220

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

14.4.3.5.3.3.7.12.12.5.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the pending status.

Impacted by: [C5 "AdditionalReasonInformationRule"](#)

Reason <Rsn> contains the following **PendingStatusReason27** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			799
{Or	Code <Cd>	[1..1]	CodeSet		799
Or}	Proprietary <Prtry>	[1..1]	±		800
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		800

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

14.4.3.5.3.3.7.12.12.5.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction's processing is pending.

ReasonCode <RsnCd> contains one of the following **PendingReason66Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		799
Or}	Proprietary <Prtry>	[1..1]	±		800

14.4.3.5.3.3.7.12.12.5.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Pending reason specified as a code.

Datatype: "PendingReason27Code" on page 1224

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
OTHR	Other	Other. See Narrative.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
MCER	MissingCertification	Awaiting receipt of adequate certification.
MONY	InsufficientMoney	Insufficient money in your account.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.

CodeName	Name	Definition
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
PENR	PendingReceipt	The instruction is pending receipt of securities, for example, from a purchase or loan.
CERT	WrongCertificatesNumbers	Certificate number error.
DQCS	DisagreementOnCashAmount	Unrecognised or invalid instructed cash amount.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.
MTAX	MissingTaxRate	Tax rate is missing.
SNAV	SystemNotAvailable	System is not available. Instruction is sent outside of business hours.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.

14.4.3.5.3.3.7.12.12.5.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Pending reason specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

14.4.3.5.3.3.7.12.12.5.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[Max210Text](#)" on page 1244

14.4.3.5.3.3.7.12.12.6 PendingCancellation <PdgCxl>

Presence: [1..1]

Definition: Cancellation instruction is pending.

PendingCancellation <PdgCxl> contains one of the following elements (see "PendingCancellationStatus13Choice" on page 1150 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1150
Or}	Reason <Rsn>	[1..*]		C1, C6	1150
	ReasonCode <RsnCd>	[1..1]			1151
{Or	Code <Cd>	[1..1]	CodeSet		1151
Or}	Proprietary <Prtry>	[1..1]	±		1152
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1152

14.4.3.5.3.3.7.12.12.7 Covered <Cvrd>

Presence: [1..1]

Definition: Protect Instruction has been full covered.

Covered <Cvrd> contains the following elements (see "NoSpecifiedReason1" on page 1144 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1144

14.4.3.5.3.3.7.12.12.8 Uncovered <Ucvr>

Presence: [1..1]

Definition: Cover protect instruction accepted but it has not fully covered the protect instruction.

Uncovered <Ucvr> contains the following elements (see "NoSpecifiedReason1" on page 1144 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1144

14.4.3.5.3.3.7.12.12.9 Returned <Rtrd>

Presence: [1..1]

Definition: Instructed units are returned or partially returned to the instructing party.

Returned <Rtrd> contains one of the following **ReturnedStatus2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpfcdRsn>	[1..1]	CodeSet		802
Or}	Reason <Rsn>	[1..*]		C7	802
	ReasonCode <RsnCd>	[1..1]			802
{Or	Code <Cd>	[1..1]	CodeSet		803
Or}	Proprietary <Prtry>	[1..1]	±		803
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		803

14.4.3.5.3.3.7.12.12.9.1 NoSpecifiedReason <NoSpfcdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1220

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

14.4.3.5.3.3.7.12.12.9.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason why the meeting instruction message or the individual meeting instruction is returned.

Impacted by: C7 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **ReturnedStatusReason2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			802
{Or	Code <Cd>	[1..1]	CodeSet		803
Or}	Proprietary <Prtry>	[1..1]	±		803
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		803

Constraints

- **AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

14.4.3.5.3.3.7.12.12.9.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Reason for the returned status.

ReasonCode <RsnCd> contains one of the following **ReturnedReason2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		803
Or}	Proprietary <Prtry>	[1..1]	±		803

14.4.3.5.3.3.7.12.12.9.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Returned reason specified as a code.

Datatype: "ReturnedStatus1Code" on page 1235

CodeName	Name	Definition
NARR	NarrativeReason	See narrative field for reason.
PROR	Prorated	Shares returned due to prorated acceptance.

14.4.3.5.3.3.7.12.12.9.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Returned reason specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

14.4.3.5.3.3.7.12.12.9.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the returned status.

Datatype: "Max210Text" on page 1244

14.4.3.5.3.4 BlockedBalance <BlckdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

BlockedBalance <BlckdBal> contains the following elements (see "SignedQuantityFormat10" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.5 BorrowedBalance <BrrwdBal>*Presence:* [0..1]*Definition:* Balance of financial instruments that have been borrowed from another party.**BorrowedBalance <BrrwdBal>** contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.6 CollateralInBalance <CollnBal>*Presence:* [0..1]*Definition:* Balance of securities that belong to a third party and that are held for the purpose of collateralisation.**CollateralInBalance <CollnBal>** contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.7 CollateralOutBalance <CollOutBal>*Presence:* [0..1]*Definition:* Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.**CollateralOutBalance <CollOutBal>** contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.8 OnLoanBalance <OnLnBal>*Presence:* [0..1]*Definition:* Balance of financial instruments that have been loaned to a third party.**OnLoanBalance <OnLnBal>** contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.9 OutForRegistrationBalance <OutForRegnBal>*Presence:* [0..1]*Definition:* Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).**OutForRegistrationBalance <OutForRegnBal>** contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.10 SettlementPositionBalance <SttImPosBal>*Presence:* [0..1]*Definition:* Balance of securities representing only settled transactions; pending transactions not included.**SettlementPositionBalance <SttImPosBal>** contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.11 StreetPositionBalance <StrtPosBal>*Presence:* [0..1]*Definition:* Balance of financial instruments that remain registered in the name of the prior beneficial owner.**StreetPositionBalance <StrtPosBal>** contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.12 TradeDatePositionBalance <TradDtPosBal>*Presence:* [0..1]*Definition:* Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

TradeDatePositionBalance <TradDtPosBal> contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.13 InTransshipmentBalance <InTrnsShipmntBal>

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

InTransshipmentBalance <InTrnsShipmntBal> contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.14 RegisteredBalance <RegdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

RegisteredBalance <RegdBal> contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.15 ObligatedBalance <OblgtdBal>

Presence: [0..1]

Definition: Position that account holders should return to the account servicer to participate in the event or to fulfil their obligation for the event to be complete, for example, return of securities for late announced drawing.

ObligatedBalance <OblgtdBal> contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.16 PendingDeliveryBalance <PdgDlvryBal>*Presence:* [0..*]*Definition:* Balance of financial instruments that are pending delivery.**PendingDeliveryBalance <PdgDlvryBal>** contains the following **PendingBalance7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		807
	PendingTransactions <PdgTx>	[0..*]	±		807

14.4.3.5.3.16.1 Balance <Bal>*Presence:* [1..1]*Definition:* Signed quantity of balance.**Balance <Bal>** contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.16.2 PendingTransactions <PdgTx>*Presence:* [0..*]*Definition:* Overall process covering the trade and settlement transactions of financial instruments.**PendingTransactions <PdgTx>** contains the following elements (see "[SettlementTypeAndIdentification25](#)" on page 874 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Payment <Pmt>	[1..1]	CodeSet		874
	TransactionIdentification <TxId>	[1..1]	Text		874
	SettlementDate <SttlmDt>	[0..1]	±		874

14.4.3.5.3.17 PendingReceiptBalance <PdgRctBal>*Presence:* [0..*]*Definition:* Balance of financial instruments that are pending receipt.**PendingReceiptBalance <PdgRctBal>** contains the following **PendingBalance7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		808
	PendingTransactions <PdgTx>	[0..*]	±		808

14.4.3.5.3.17.1 Balance <Bal>*Presence:* [1..1]*Definition:* Signed quantity of balance.**Balance <Bal>** contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

14.4.3.5.3.17.2 PendingTransactions <PdgTxs>*Presence:* [0..*]*Definition:* Overall process covering the trade and settlement transactions of financial instruments.**PendingTransactions <PdgTxs>** contains the following elements (see "[SettlementTypeAndIdentification25](#)" on page 874 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Payment <Pmt>	[1..1]	CodeSet		874
	TransactionIdentification <TxId>	[1..1]	Text		874
	SettlementDate <SttlmDt>	[0..1]	±		874

14.4.3.5.4 SupplementaryData <SplmtryData>*Presence:* [0..*]*Definition:* Provides additional information related to the event and the balance of the corporate action.*Impacted by:* [C24 "SupplementaryDataRule"](#)**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 918 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		918
	Envelope <Envlp>	[1..1]	(External Schema)		918

Constraints

- SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

14.4.4 SupplementaryData <SplmtryData>*Presence:* [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C24 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 918 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		918
	Envelope <Envlp>	[1..1]	(External Schema)		918

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

15 seev.044.001.13 CorporateActionMovementPreliminaryAdvice CancellationAdviceV13

15.1 MessageDefinition Functionality

Scope

The CorporateActionMovementPreliminaryAdviceCancellationAdvice message is sent by an account servicer to an account owner or its designated agent to cancel a previously announced CorporateActionMovementPreliminaryAdvice.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionMovementPreliminaryAdviceCancellationAdviceV13 MessageDefinition is composed of 14 MessageBuildingBlocks:

- MovementPreliminaryAdviceIdentification**
Identification of a previously sent movement preliminary advice document.
- CorporateActionGeneralInformation**
General information about the corporate action event.
- AccountDetails**
General information about the safekeeping account and the account owner.
- CorporateActionDetails**
Information about the corporate action event.
- IssuerAgent**
Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.
- PayingAgent**
Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.
- SubPayingAgent**

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

H. Registrar

Party/agent responsible for maintaining the register of a security.

I. ResellingAgent

Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

J. PhysicalSecuritiesAgent

Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

K. DropAgent

Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

L. SolicitationAgent

Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

M. InformationAgent

Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

N. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

15.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnMvmntPrimryAdvCxlAdvC>	[1..1]			
	MovementPreliminaryAdviceIdentification <MvmntPrimryAdvCld>	[1..1]	±		814
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C8	815
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		815
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		815
	ClassActionNumber <ClsActnNb>	[0..1]	Text		815
	EventType <EvtTp>	[1..1]	±	C5	816
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		816
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C9	816
	AccountDetails <AcctDtls>	[1..1]			817
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		818
Or}	AccountsList <AcctsList>	[1..*]		C10, C11, C12, C13	818
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		819
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		819
	AccountOwner <AcctOwnr>	[0..1]	±		819
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		819
	CorporateActionDetails <CorpActnDtls>	[0..1]			820
	DateDetails <DtDtls>	[0..1]			820
	RecordDate <RcrdDt>	[0..1]	±		820
	ExDividendDate <ExDvddDt>	[0..1]	±		821
	EventStage <EvtStag>	[0..1]	±		821
	LotteryType <LtryTp>	[0..1]	±		821
	IssuerAgent <IssrAgt>	[0..*]	±		822
	PayingAgent <PngAgt>	[0..*]	±		822
	SubPayingAgent <SubPngAgt>	[0..*]	±		822
	Registrar <Regar>	[0..1]	±		823
	ResellingAgent <RsellingAgt>	[0..*]	±		823

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PhysicalSecuritiesAgent <PhysSctiesAgt>	[0..1]	±		823
	DropAgent <DrpAgt>	[0..1]	±		824
	SolicitationAgent <SlctnAgt>	[0..*]	±		824
	InformationAgent <InfAgt>	[0..1]	±		824
	SupplementaryData <SplmtryData>	[0..*]	±	C14	825

15.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 EventTypeRule

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

C6 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C7 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C8 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference

(COAF). Those recommendations are available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C9 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C10 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C11 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C12 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C13 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C14 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C15 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

15.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

15.4.1 MovementPreliminaryAdviceIdentification <MvmntPrlmryAdvcl>

Presence: [1..1]

Definition: Identification of a previously sent movement preliminary advice document.

MovementPreliminaryAdviceIdentification <MvmntPrlmryAdvcl> contains the following elements (see "DocumentIdentification31" on page 854 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		855
	LinkageType <LkgTp>	[0..1]	±	C22	855

15.4.2 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C8 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation177** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		815
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		815
	ClassActionNumber <ClsActnNb>	[0..1]	Text		815
	EventType <EvtTp>	[1..1]	±	C5	816
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		816
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C9	816

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

15.4.2.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "Max35Text" on page 1246

15.4.2.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "Max35Text" on page 1246

15.4.2.3 ClassActionNumber <ClsActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "Max35Text" on page 1246

15.4.2.4 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: [C5 "EventTypeRule"](#)

EventType <EvtTp> contains one of the following elements (see ["CorporateActionEventType108Choice"](#) on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1002
Or}	Proprietary <Prtry>	[1..1]	±		1008

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

15.4.2.5 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following elements (see ["CorporateActionMandatoryVoluntary3Choice"](#) on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		969
Or}	Proprietary <Prtry>	[1..1]	±		969

15.4.2.6 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security concerned by the corporate action.

Impacted by: [C3 "DescriptionPresenceRule"](#), [C4 "DescriptionUsageRule"](#), [C6 "ISINGuideline"](#), [C7 "ISINPresenceRule"](#), [C9 "OtherIdentificationPresenceRule"](#)

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		864
	OtherIdentification <OthrlId>	[0..*]			864
	Identification <Id>	[1..1]	Text		864
	Suffix <Sfx>	[0..1]	Text		864
	Type <Tp>	[1..1]	±		865
	Description <Desc>	[0..1]	Text		865

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

15.4.3 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account and the account owner.

AccountDetails <AcctDtls> contains one of the following **AccountIdentification73Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		818
Or}	AccountsList <AcctsList>	[1..*]		C10, C11, C12, C13	818
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		819
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		819
	AccountOwner <AcctOwnr>	[0..1]	±		819
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		819

15.4.3.1 ForAllAccounts <ForAllAccts>

Presence: [1..1]

Definition: All safekeeping accounts that own underlying financial instrument.

ForAllAccounts <ForAllAccts> contains the following elements (see ["AccountIdentification10"](#) on page 827 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		827

15.4.3.2 AccountsList <AcctsList>

Presence: [1..*]

Definition: Selected safekeeping accounts list to which the corporate action event applies.

Impacted by: C10 "SafekeepingAccountOrBlockChainAddress1Rule", C11 "SafekeepingAccountOrBlockChainAddress2Rule", C12 "SafekeepingAccountOrBlockChainAddress3Rule", C13 "SafekeepingPlaceRule"

AccountsList <AcctsList> contains the following **AccountIdentification69** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		819
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		819
	AccountOwner <AcctOwnr>	[0..1]	±		819
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		819

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True
 /SafekeepingAccount Must be present
 Or /BlockchainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockchainAddress2Rule**

If BlockchainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition
 /BlockchainAddressOrWallet is present
 Following Must be True
 /SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockchainAddress3Rule**

If SafekeepingAccount is present, then BlockchainAddressOrWallet must be absent.

On Condition
 /SafekeepingAccount is present
 Following Must be True
 /BlockchainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

15.4.3.2.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "Max35Text" on page 1246

15.4.3.2.2 BlockchainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 1244

15.4.3.2.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification127Choice" on page 1032 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1032
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1032

15.4.3.2.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat42Choice" on page 1130 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			1130
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1130
	Identification <Id>	[0..1]	Text		1131
Or	Country <Ctry>	[1..1]	CodeSet	C3	1131
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		1131
Or	TypeAndIdentification <TpAndId>	[1..1]			1131
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1132
	Identification <Id>	[1..1]	IdentifierSet	C1	1132
Or}	Proprietary <Prtry>	[1..1]	±		1132

15.4.4 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction71** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			820
	RecordDate <RcrdDt>	[0..1]	±		820
	ExDividendDate <ExDvddDt>	[0..1]	±		821
	EventStage <EvtStag>	[0..1]	±		821
	LotteryType <LtryTp>	[0..1]	±		821

15.4.4.1 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

DateDetails <DtDtls> contains the following **CorporateActionDate86** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		820
	ExDividendDate <ExDvddDt>	[0..1]	±		821

15.4.4.1.1 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

15.4.4.1.2 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "DateFormat30Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

15.4.4.2 EventStage <EvtStag>

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat14Choice" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		962
Or}	Proprietary <Prtry>	[1..1]	±		963

15.4.4.3 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following elements (see "[LotteryTypeFormat4Choice](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		973
Or}	Proprietary <Prtry>	[1..1]	±		973

15.4.5 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see "[PartyIdentification120Choice](#)" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

15.4.6 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see "[PartyIdentification120Choice](#)" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

15.4.7 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

15.4.8 Registrar <Regar>

Presence: [0..1]

Definition: Party/agent responsible for maintaining the register of a security.

Registrar <Regar> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

15.4.9 ResellingAgent <RsellingAgt>

Presence: [0..*]

Definition: Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

ResellingAgent <RsellingAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

15.4.10 PhysicalSecuritiesAgent <PhysSctiesAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

PhysicalSecuritiesAgent <PhysSctiesAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

15.4.11 DropAgent <DrpAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

DropAgent <DrpAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

15.4.12 SolicitationAgent <SlctnAgt>

Presence: [0..*]

Definition: Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

SolicitationAgent <SlctnAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

15.4.13 InformationAgent <InfAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

InformationAgent <InfAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

15.4.14 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C14 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 918 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		918
	Envelope <Envlp>	[1..1]	(External Schema)		918

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

16 Message Items Types

16.1 MessageComponents

16.1.1 Account Identification

16.1.1.1 CashAccountIdentification9Choice

Definition: Unique identifier of an account, as assigned by the account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	826
Or	BlockChainCashWallet <BlckChainCshWlIt>	[1..1]	±		826
Or}	Proprietary <Prtry>	[1..1]	Text		827

16.1.1.1.1 IBAN <IBAN>

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C17 "IBAN"

Datatype: "IBAN2007Identifier" on page 1240

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

16.1.1.1.2 BlockChainCashWallet <BlckChainCshWlIt>

Presence: [1..1]

Definition: Block Chain Wallet where digital currencies are maintained. This is the equivalent of cash account for digital currencies.

BlockChainCashWallet <BlckChainCshWlIt> contains the following elements (see "BlockChainAddressWallet3" on page 919 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		919
	Type <Tp>	[0..1]	±		920
	Name <Nm>	[0..1]	Text		920

16.1.1.1.3 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.*Datatype:* "Max34Text" on page 1245**16.1.1.2 AccountIdentification10***Definition:* Provides account identification information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		827

16.1.1.2.1 IdentificationCode <IdCd>*Presence:* [1..1]*Definition:* Standard code to specify that announcement applies to all safekeeping accounts that own underlying financial instrument.*Datatype:* "SafekeepingAccountIdentification1Code" on page 1235

CodeName	Name	Definition
GENR	General	Announcement applies to all safekeeping accounts that own underlying financial instrument. (Used for general or preliminary announcements.).

16.1.1.3 CashAccountIdentification5Choice*Definition:* Unique identifier of an account, as assigned by the account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	827
Or}	Proprietary <Prtry>	[1..1]	Text		828

16.1.1.3.1 IBAN <IBAN>*Presence:* [1..1]*Definition:* International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.*Impacted by:* C17 "IBAN"*Datatype:* "IBAN2007Identifier" on page 1240

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

16.1.1.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.

Datatype: "Max34Text" on page 1245

16.1.2 Amount**16.1.2.1 AmountPrice2**

Definition: Price expressed as an actual amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		828
	PriceValue <PricVal>	[1..1]	Amount	C2	828

16.1.2.1.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType2Code" on page 1161

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.

16.1.2.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.3 Balance

16.1.3.1 BalanceFormat12Choice

Definition: Choice between balance, eligible balance and not eligible balance formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		829
Or	EligibleBalance <ElgblBal>	[1..1]	±		829
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		830
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		830
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		830

16.1.3.1.1 Balance <Bal>

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

Balance <Bal> contains the following elements (see "[SignedQuantityFormat11](#)" on page 1095 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1095
	QuantityChoice <QtyChc>	[1..1]			1095
{Or	Quantity <Qty>	[1..1]	±	C14	1096
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1096
	Quantity <Qty>	[1..1]	Quantity		1096
	QuantityType <QtyTp>	[1..1]	Text		1096
	Issuer <Issr>	[1..1]	Text		1096
	SchemeName <SchmeNm>	[0..1]	Text		1097

16.1.3.1.2 EligibleBalance <ElgblBal>

Presence: [1..1]

Definition: Provide eligible balance information in different formats.

EligibleBalance <ElgblBal> contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

16.1.3.1.3 NotEligibleBalance <NotElgblBal>

Presence: [1..1]

Definition: Provide not eligible balance information in different formats.

NotEligibleBalance <NotElgblBal> contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

16.1.3.1.4 FullPeriodUnits <FullPrdUnits>

Presence: [1..1]

Definition: Number of units of a fund that were purchased in a previous distribution period and/or held at the beginning of a distribution period, for example Group I Units in the UK.

FullPeriodUnits <FullPrdUnits> contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

16.1.3.1.5 PartWayPeriodUnits <PartWayPrdUnits>

Presence: [1..1]

Definition: Number of units of a fund that were purchased part way throughout a distribution period, for example Group II Units in the U.K.

PartWayPeriodUnits <PartWayPrdUnits> contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

16.1.3.2 BalanceFormat11Choice

Definition: Choice between balance, eligible balance and not eligible balance formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		831
Or	EligibleBalance <ElgblBal>	[1..1]	±		831
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		831

16.1.3.2.1 Balance <Bal>

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

Balance <Bal> contains the following elements (see "[SignedQuantityFormat11](#)" on page 1095 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1095
	QuantityChoice <QtyChc>	[1..1]			1095
{Or	Quantity <Qty>	[1..1]	±	C14	1096
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1096
	Quantity <Qty>	[1..1]	Quantity		1096
	QuantityType <QtyTp>	[1..1]	Text		1096
	Issuer <Issr>	[1..1]	Text		1096
	SchemeName <SchmeNm>	[0..1]	Text		1097

16.1.3.2.2 EligibleBalance <ElgblBal>

Presence: [1..1]

Definition: Provide eligible balance information in different formats.

EligibleBalance <ElgblBal> contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

16.1.3.2.3 NotEligibleBalance <NotElgblBal>

Presence: [1..1]

Definition: Provide not eligible balance information in different formats.

NotEligibleBalance <NotElgblBal> contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

16.1.3.3 TotalEligibleBalanceFormat10

Definition: Total eligible balance for the corporate action and full and part way period units.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[0..1]	±		832
	FullPeriodUnits <FullPrdUnits>	[0..1]	±		832
	PartWayPeriodUnits <PartWayPrdUnits>	[0..1]	±		833

16.1.3.3.1 Balance <Bal>

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

Balance <Bal> contains one of the following elements (see "[Quantity49Choice](#)" on page 1087 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityChoice <QtyChc>	[1..1]			1088
{Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]			1088
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1089
	FaceAmount <FaceAmt>	[1..1]	Amount		1089
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1089
Or}	SignedQuantity <SgndQty>	[1..1]	±		1089
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1089
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		1090
	Quantity <Qty>	[1..1]	Quantity		1090
	QuantityType <QtyTp>	[1..1]	Text		1090
	Issuer </ssr>	[1..1]	Text		1090
	SchemeName <SchmeNm>	[0..1]	Text		1090

16.1.3.3.2 FullPeriodUnits <FullPrdUnits>

Presence: [0..1]

Definition: Number of units of a fund that were purchased in a previous distribution period and/or held at the beginning of a distribution period, for example Group I Units in the UK.

FullPeriodUnits <FullPrdUnits> contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

16.1.3.3.3 PartWayPeriodUnits <PartWayPrdUnits>

Presence: [0..1]

Definition: Number of units of a fund that were purchased part way throughout a distribution period, for example Group II Units in the U.K.

PartWayPeriodUnits <PartWayPrdUnits> contains the following elements (see "[SignedQuantityFormat10](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

16.1.4 Date Period

16.1.4.1 CorporateActionPeriod16

Definition: Specifies periods of a corporate action.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		834
	InterestPeriod <IntrstPrd>	[0..1]	±		835
	CompulsoryPurchasePeriod <CmplsryPurchsPrd>	[0..1]	±		835
	ClaimPeriod <ClmPrd>	[0..1]	±		835
	DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf>	[0..1]	±		835
	DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAgt>	[0..1]	±		836
	DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst>	[0..1]	±		836
	DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg>	[0..1]	±		836
	DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn>	[0..1]	±		837
	DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt>	[0..1]	±		837
	DepositorySuspensionPeriodForWithdrawalInNomineeName <DpstrySspnsnPrdForWdrwlInNmneeNm>	[0..1]	±		837
	DepositorySuspensionPeriodForWithdrawalInStreetName <DpstrySspnsnPrdForWdrwlInStrtNm>	[0..1]	±		837
	BookClosurePeriod <BookClsrPrd>	[0..1]	±		838
	CoDepositoriesSuspensionPeriod <CoDpstriesSspnsnPrd>	[0..1]	±		838
	SplitPeriod <SplitPrd>	[0..1]	±		838
	FiscalYearPeriod <FsclyrPrd>	[0..1]	±		839

Constraints

- **InterestPeriodRule**

InterestPeriod must be used only if it is different from a normal accrued interest period.

16.1.4.1.1 PriceCalculationPeriod <PricClctnPrd>

Presence: [0..1]

Definition: Period during which the price of a security is determined.

PriceCalculationPeriod <PricClctnPrd> contains one of the following elements (see ["Period6Choice"](#) on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

16.1.4.1.2 InterestPeriod <IntrstPrd>*Presence:* [0..1]*Definition:* Period during which the interest rate has been applied.**InterestPeriod <IntrstPrd>** contains one of the following elements (see ["Period6Choice"](#) on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

16.1.4.1.3 CompulsoryPurchasePeriod <CmplsryPurchsPrd>*Presence:* [0..1]*Definition:* Period during a take-over where any outstanding equity must be purchased by the take-over company.**CompulsoryPurchasePeriod <CmplsryPurchsPrd>** contains one of the following elements (see ["Period6Choice"](#) on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

16.1.4.1.4 ClaimPeriod <CImPrd>*Presence:* [0..1]*Definition:* Period assigned by the court in a class action. It determines the client's eligible transactions that will be included in the class action and used to determine the resulting entitlement.**ClaimPeriod <CImPrd>** contains one of the following elements (see ["Period6Choice"](#) on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

16.1.4.1.5 DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf>*Presence:* [0..1]*Definition:* Period defining the last date for which book entry transfers will be accepted and the date on which the suspension will be released and book entry transfer processing will resume.

DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf>
contains one of the following elements (see "Period6Choice" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

16.1.4.1.6 DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAg>

Presence: [0..1]

Definition: Period defining the last date for which deposits, into nominee name, at the agent will be accepted and the date on which the suspension will be released and deposits at agent will resume.

DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAg> contains one of the following elements (see "Period6Choice" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

16.1.4.1.7 DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst>

Presence: [0..1]

Definition: Period defining the last date for which deposits will be accepted and the date on which the suspension will be released and deposits will resume.

DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst> contains one of the following elements (see "Period6Choice" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

16.1.4.1.8 DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg>

Presence: [0..1]

Definition: Period defining the last date for which pledges will be accepted and the date on which the suspension will be released and pledge processing will resume.

DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg> contains one of the following elements (see "Period6Choice" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

16.1.4.1.9 DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn>*Presence:* [0..1]

Definition: Period defining the last date for which intra-position balances can be segregated and the date on which the suspension will be released and the ability to segregate intra-position balances will resume.

DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn> contains one of the following elements (see "Period6Choice" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

16.1.4.1.10 DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt>*Presence:* [0..1]

Definition: Period defining the last date for which withdrawals, from nominee name at the agent will be accepted and the date on which the suspension will be released and withdrawals at agent processing will resume.

DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt> contains one of the following elements (see "Period6Choice" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

16.1.4.1.11 DepositorySuspensionPeriodForWithdrawalInNomineeName <DpstrySspnsnPrdForWdrwlInNmneeNm>*Presence:* [0..1]

Definition: Period defining the last date for which physical withdrawals in the nominee's name will be accepted and the date on which the suspension will be released and physical withdrawals in the nominee's name will resume.

DepositorySuspensionPeriodForWithdrawalInNomineeName <DpstrySspnsnPrdForWdrwlInNmneeNm> contains one of the following elements (see "Period6Choice" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

16.1.4.1.12 DepositorySuspensionPeriodForWithdrawalInStreetName <DpstrySspnsnPrdForWdrwlInStrtNm>*Presence:* [0..1]

Definition: Period defining the last date on which withdrawal requests in street name's will be accepted on the event security and the date on which the suspension will be released and withdrawal in street name's processing on the event security will resume.

DepositorySuspensionPeriodForWithdrawalInStreetName

<DpstrySspnsnPrdForWdrwlInStrtNm> contains one of the following elements (see "Period6Choice" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

16.1.4.1.13 BookClosurePeriod <BookClsrPrd>

Presence: [0..1]

Definition: Period defining the last date on which shareholder registration will be accepted by the issuer and the date on which shareholder registration will resume.

BookClosurePeriod <BookClsrPrd> contains one of the following elements (see "Period6Choice" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

16.1.4.1.14 CoDepositoriesSuspensionPeriod <CoDpstrisSspnsnPrd>

Presence: [0..1]

Definition: Period during which the settlement activities at the co-depositories are suspended in order to stabilise the holdings at the CSD.

CoDepositoriesSuspensionPeriod <CoDpstrisSspnsnPrd> contains one of the following elements (see "Period6Choice" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

16.1.4.1.15 SplitPeriod <SpltPrd>

Presence: [0..1]

Definition: Period during which a physical certificate can be split.

SplitPeriod <SpltPrd> contains one of the following elements (see "Period6Choice" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

16.1.4.1.16 FiscalYearPeriod <FscIYrPrd>

Presence: [0..1]

Definition: Period defining the fiscal or tax year the dividend pertains to in order to correctly deduct taxes for residents.

FiscalYearPeriod <FscIYrPrd> contains one of the following elements (see "Period6Choice" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

16.1.4.2 DatePeriod2

Definition: Range of time defined by a start date and an end date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		839
	ToDate <ToDt>	[1..1]	Date		839

16.1.4.2.1 FromDate <FrDt>

Presence: [1..1]

Definition: Start date of the range.

Datatype: "ISODate" on page 1238

16.1.4.2.2 ToDate <ToDt>

Presence: [1..1]

Definition: End date of the range.

Datatype: "ISODate" on page 1238

16.1.5 Date Time

16.1.5.1 DateFormat30Choice

Definition: Choice between an ISODate format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		840
Or}	DateCode <DtCd>	[1..1]			840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

16.1.5.1.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Datatype: "ISODate" on page 1238

16.1.5.1.2 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode19Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		840

16.1.5.1.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: "DateType8Code" on page 1204

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.5.1.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.5.2 DateFormat43Choice

Definition: Choice between an ISODate or ISODateTime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		841
Or}	DateCode <DtCd>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

16.1.5.2.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Date <Dt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 845 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		845
Or}	DateTime <DtTm>	[1..1]	DateTime		845

16.1.5.2.2 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode19Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

16.1.5.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: "DateType8Code" on page 1204

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.5.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.5.3 DateFormat57Choice

Definition: Provides the date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		842
Or}	DateCode <DtCd>	[1..1]			842
{Or	Code <Cd>	[1..1]	CodeSet		843
Or}	Proprietary <Prtry>	[1..1]	±		843

16.1.5.3.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Datatype: "ISODate" on page 1238

16.1.5.3.2 DateCode <DtCd>

Presence: [1..1]

Definition: Date is expressed using a code.

DateCode <DtCd> contains one of the following **DateCode20Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		843
Or}	Proprietary <Prtry>	[1..1]	±		843

16.1.5.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to indicate the date is unknown.

Datatype: "DateType1Code" on page 1203

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

16.1.5.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.5.4 DateFormat59Choice

Definition: Choice between an ISODate or ISODateTime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		843
Or}	DateCode <DtCd>	[1..1]			844
{Or	Code <Cd>	[1..1]	CodeSet		844
Or}	Proprietary <Prtry>	[1..1]	±		844

16.1.5.4.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Date <Dt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 845 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		845
Or}	DateTime <DtTm>	[1..1]	DateTime		845

16.1.5.4.2 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode33Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		844
Or}	Proprietary <Prtry>	[1..1]	±		844

16.1.5.4.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: ["DateType9Code"](#) on page 1204

CodeName	Name	Definition
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
UKWN	Unknown	Date is unknown by the sender or has not been established.

16.1.5.4.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.5.5 DateAndDateTime2Choice

Definition: Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		845
Or}	DateTime <DtTm>	[1..1]	DateTime		845

16.1.5.5.1 Date <Dt>

Presence: [1..1]

Definition: Specified date.

Datatype: "[ISODate](#)" on page 1238

16.1.5.5.2 DateTime <DtTm>

Presence: [1..1]

Definition: Specified date and time.

Datatype: "[ISODatetime](#)" on page 1238

16.1.5.6 DateFormat44Choice

Definition: Choice between an ISODate or ISODatetime format or a date code or a date code and a time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		846
Or	DateCodeAndTime <DtCdAndTm>	[1..1]			846
	DateCode <DtCd>	[1..1]			846
{Or	Code <Cd>	[1..1]	CodeSet		847
Or}	Proprietary <Prtry>	[1..1]	±		847
	Time <Tm>	[1..1]	Time		847
Or}	DateCode <DtCd>	[1..1]			847
{Or	Code <Cd>	[1..1]	CodeSet		848
Or}	Proprietary <Prtry>	[1..1]	±		848

16.1.5.6.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Date <Dt> contains one of the following elements (see "DateAndDateTime2Choice" on page 845 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		845
Or}	DateTime <DtTm>	[1..1]	DateTime		845

16.1.5.6.2 DateCodeAndTime <DtCdAndTm>

Presence: [1..1]

Definition: Specifies a date code and a time.

DateCodeAndTime <DtCdAndTm> contains the following **DateCodeAndTimeFormat3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateCode <DtCd>	[1..1]			846
{Or	Code <Cd>	[1..1]	CodeSet		847
Or}	Proprietary <Prtry>	[1..1]	±		847
	Time <Tm>	[1..1]	Time		847

16.1.5.6.2.1 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode21Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		847
Or}	Proprietary <Prtry>	[1..1]	±		847

16.1.5.6.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: "DateType7Code" on page 1203

CodeName	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.5.6.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.5.6.2.2 Time <Tm>

Presence: [1..1]

Definition: Specifies the time.

Datatype: "ISOTime" on page 1247

16.1.5.6.3 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode19Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		848
Or}	Proprietary <Prtry>	[1..1]	±		848

16.1.5.6.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of date.*Datatype:* "DateType8Code" on page 1204

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.5.6.3.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.6 Date Time Period**16.1.6.1 Period11***Definition:* Time span defined by a start date and time, and an end date and time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			848
{Or	Date <Dt>	[1..1]	±		849
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		849
	EndDate <EndDt>	[1..1]			849
{Or	Date <Dt>	[1..1]	±		849
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		850

16.1.6.1.1 StartDate <StartDt>*Presence:* [1..1]*Definition:* Date and time at which the range starts.

StartDate <StartDt> contains one of the following **DateFormat45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		849
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		849

16.1.6.1.1.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as an ISO Date.

Date <Dt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 845 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		845
Or}	DateTime <DtTm>	[1..1]	DateTime		845

16.1.6.1.1.2 NotSpecifiedDate <NotSpcfdDt>

Presence: [1..1]

Definition: Date not specified, for example, the date is unknown.

Datatype: "[DateType8Code](#)" on page 1204

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.6.1.2 EndDate <EndDt>

Presence: [1..1]

Definition: Date and time at which the range ends.

EndDate <EndDt> contains one of the following **DateFormat45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		849
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		850

16.1.6.1.2.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as an ISO Date.

Date <Dt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 845 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		845
Or}	DateTime <DtTm>	[1..1]	DateTime		845

16.1.6.1.2.2 NotSpecifiedDate <NotSpcfdDt>

Presence: [1..1]

Definition: Date not specified, for example, the date is unknown.

Datatype: ["DateType8Code"](#) on page 1204

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.6.2 DateTimePeriod1

Definition: Time span defined by a start date and time, and an end date and time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		850
	ToDateTime <ToDtTm>	[1..1]	DateTime		850

16.1.6.2.1 FromDateTime <FrDtTm>

Presence: [1..1]

Definition: Date and time at which the period starts.

Datatype: ["ISODateTime"](#) on page 1238

16.1.6.2.2 ToDateTime <ToDtTm>

Presence: [1..1]

Definition: Date and time at which the period ends.

Datatype: ["ISODateTime"](#) on page 1238

16.1.6.3 DateOrDateTimePeriod1Choice

Definition: Choice between a date or a date and time format for a period.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		851
Or}	DateTime <DtTm>	[1..1]	±		851

16.1.6.3.1 Date <Dt>*Presence:* [1..1]*Definition:* Period expressed with dates.**Date <Dt>** contains the following elements (see ["DatePeriod2"](#) on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		839
	ToDate <ToDt>	[1..1]	Date		839

16.1.6.3.2 DateTime <DtTm>*Presence:* [1..1]*Definition:* Period expressed a dates and times.**DateTime <DtTm>** contains the following elements (see ["DateTimePeriod1"](#) on page 850 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		850
	ToDateTime <ToDtTm>	[1..1]	DateTime		850

16.1.6.4 Period6Choice*Definition:* Choice between a period or a period code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		851
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		852

16.1.6.4.1 Period <Prd>*Presence:* [1..1]*Definition:* Time span defined by a start date and time, and an end date and time.**Period <Prd>** contains the following elements (see ["Period11"](#) on page 848 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			848
{Or	Date <Dt>	[1..1]	±		849
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		849
	EndDate <EndDt>	[1..1]			849
{Or	Date <Dt>	[1..1]	±		849
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		850

16.1.6.4.2 PeriodCode <PrdCd>*Presence:* [1..1]*Definition:* Standard code to specify the type of period.*Datatype:* "DateType8Code" on page 1204

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.7 Document**16.1.7.1 DocumentIdentification32***Definition:* Identification of a document as well as the document number and type of link.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			852
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		852
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		853
	DocumentNumber <DocNb>	[0..1]	±	C6	853
	LinkageType <LkgTp>	[0..1]	±	C22	853

16.1.7.1.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique identifier of the document (message) assigned either by the account servicer or the account owner.**Identification <Id>** contains one of the following **DocumentIdentification3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		852
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		853

16.1.7.1.1.1 AccountServicerDocumentIdentification <AcctSvcrDocId>*Presence:* [1..1]*Definition:* Identification of the document assigned by the account servicer.*Datatype:* "Max35Text" on page 1246

16.1.7.1.1.2 AccountOwnerDocumentIdentification <AcctOwnrDocId>*Presence:* [1..1]*Definition:* Identification of the document assigned by the account owner.*Datatype:* "Max35Text" on page 1246**16.1.7.1.2 DocumentNumber <DocNb>***Presence:* [0..1]*Definition:* Identification of the type of document.*Impacted by:* C6 "ShortLongNumberRule"**DocumentNumber <DocNb>** contains one of the following elements (see "[DocumentNumber5Choice](#)" on page 854 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		854
Or	LongNumber <LngNb>	[1..1]	Text		854
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		854

Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

16.1.7.1.3 LinkageType <LkgTp>*Presence:* [0..1]*Definition:* Specifies when this document is to be processed relative to another referred document.*Impacted by:* C22 "WithLinkageRule"**LinkageType <LkgTp>** contains one of the following elements (see "[ProcessingPosition7Choice](#)" on page 963 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		963
Or}	Proprietary <Prtry>	[1..1]	±		964

Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending.

Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

16.1.7.2 DocumentNumber5Choice

Definition: Choice between a short document number, a long document number or a proprietary document number.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		854
Or	LongNumber <LngNb>	[1..1]	Text		854
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		854

Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

16.1.7.2.1 ShortNumber <ShrtNb>

Presence: [1..1]

Definition: Message type number of the document referenced.

Datatype: "Exact3NumericText" on page 1243

16.1.7.2.2 LongNumber <LngNb>

Presence: [1..1]

Definition: MX Message identifier of the referenced document.

Datatype: "ISO20022MessageIdentificationText" on page 1243

16.1.7.2.3 ProprietaryNumber <PrtryNb>

Presence: [1..1]

Definition: Proprietary document identification.

ProprietaryNumber <PrtryNb> contains the following elements (see "GenericIdentification36" on page 872 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		872
	Issuer <Issr>	[1..1]	Text		872
	SchemeName <SchmeNm>	[0..1]	Text		873

16.1.7.3 DocumentIdentification31

Definition: Identification of a document and type of link.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		855
	LinkageType <LkgTp>	[0..1]	±	C22	855

16.1.7.3.1 Identification <Id>

Presence: [1..1]

Definition: Identifies the document.

Datatype: "Max35Text" on page 1246

16.1.7.3.2 LinkageType <LkgTp>

Presence: [0..1]

Definition: Specifies when this document is to be processed relative to another referred document.

Impacted by: C22 "WithLinkageRule"

LinkageType <LkgTp> contains one of the following elements (see "ProcessingPosition7Choice" on page 963 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		963
Or}	Proprietary <Prtry>	[1..1]	±		964

Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

16.1.7.4 DocumentIdentification33

Definition: Identification of a document as well as the document number.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			855
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		856
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		856
	DocumentNumber <DocNb>	[0..1]	±	C6	856

16.1.7.4.1 Identification <Id>

Presence: [1..1]

Definition: Unique identifier of the document (message) assigned either by the account servicer or the account owner.

Identification <Id> contains one of the following **DocumentIdentification3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		856
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		856

16.1.7.4.1.1 AccountServicerDocumentIdentification <AcctSvcrDocId>

Presence: [1..1]

Definition: Identification of the document assigned by the account servicer.

Datatype: "Max35Text" on page 1246

16.1.7.4.1.2 AccountOwnerDocumentIdentification <AcctOwnrDocId>

Presence: [1..1]

Definition: Identification of the document assigned by the account owner.

Datatype: "Max35Text" on page 1246

16.1.7.4.2 DocumentNumber <DocNb>

Presence: [0..1]

Definition: Identification of the type of document.

Impacted by: C6 "ShortLongNumberRule"

DocumentNumber <DocNb> contains one of the following elements (see "[DocumentNumber5Choice](#)" on page 854 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		854
Or	LongNumber <LngNb>	[1..1]	Text		854
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		854

Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

16.1.8 Financial Institution Identification

16.1.8.1 FinancialInstitutionIdentification18

Definition: Specifies the details to identify a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C2	857
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		857
	LEI <LEI>	[0..1]	IdentifierSet		857
	Name <Nm>	[0..1]	Text		857
	PostalAddress <PstlAdr>	[0..1]	±		858
	Other <Othr>	[0..1]	±		858

16.1.8.1.1 BICFI <BICFI>

Presence: [0..1]

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C2 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 1239

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

16.1.8.1.2 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [0..1]

Definition: Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains the following elements (see "ClearingSystemMemberIdentification2" on page 862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		862
	MemberIdentification <Mmbld>	[1..1]	Text		863

16.1.8.1.3 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identifier of the financial institution.

Datatype: "LEIIdentifier" on page 1241

16.1.8.1.4 Name <Nm>

Presence: [0..1]

Definition: Name by which an agent is known and which is usually used to identify that agent.

Datatype: "Max140Text" on page 1244

16.1.8.1.5 PostalAddress <PstlAdr>*Presence:* [0..1]*Definition:* Information that locates and identifies a specific address, as defined by postal services.**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress24" on page 1054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			1054
{Or	Code <Cd>	[1..1]	CodeSet		1055
Or}	Proprietary <Prtry>	[1..1]	±		1055
	Department <Dept>	[0..1]	Text		1055
	SubDepartment <SubDept>	[0..1]	Text		1055
	StreetName <StrtNm>	[0..1]	Text		1056
	BuildingNumber <BldgNb>	[0..1]	Text		1056
	BuildingName <BldgNm>	[0..1]	Text		1056
	Floor <Flr>	[0..1]	Text		1056
	PostBox <PstBx>	[0..1]	Text		1056
	Room <Room>	[0..1]	Text		1056
	PostCode <PstCd>	[0..1]	Text		1056
	TownName <TwnNm>	[0..1]	Text		1056
	TownLocationName <TwnLctnNm>	[0..1]	Text		1057
	DistrictName <DstrctNm>	[0..1]	Text		1057
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		1057
	Country <Ctry>	[0..1]	CodeSet	C3	1057
	AddressLine <AdrLine>	[0..7]	Text		1057

16.1.8.1.6 Other <Othr>*Presence:* [0..1]*Definition:* Unique identification of an agent, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following elements (see "[GenericFinancialIdentification1](#)" on page 860 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		860
	SchemeName <SchmeNm>	[0..1]			861
{Or	Code <Cd>	[1..1]	CodeSet		861
Or}	Proprietary <Prtry>	[1..1]	Text		861
	Issuer <Issr>	[0..1]	Text		861

16.1.8.2 BranchData3

Definition: Information that locates and identifies a specific branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		859
	LEI <LEI>	[0..1]	IdentifierSet		859
	Name <Nm>	[0..1]	Text		859
	PostalAddress <PstlAdr>	[0..1]	±		859

16.1.8.2.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of a branch of a financial institution.

Datatype: "[Max35Text](#)" on page 1246

16.1.8.2.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification for the branch of the financial institution.

Datatype: "[LEIIdentifier](#)" on page 1241

16.1.8.2.3 Name <Nm>

Presence: [0..1]

Definition: Name by which an agent is known and which is usually used to identify that agent.

Datatype: "[Max140Text](#)" on page 1244

16.1.8.2.4 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstIAdr> contains the following elements (see "PostalAddress24" on page 1054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			1054
{Or	Code <Cd>	[1..1]	CodeSet		1055
Or}	Proprietary <Prtry>	[1..1]	±		1055
	Department <Dept>	[0..1]	Text		1055
	SubDepartment <SubDept>	[0..1]	Text		1055
	StreetName <StrtNm>	[0..1]	Text		1056
	BuildingNumber <BldgNb>	[0..1]	Text		1056
	BuildingName <BldgNm>	[0..1]	Text		1056
	Floor <Flr>	[0..1]	Text		1056
	PostBox <PstBx>	[0..1]	Text		1056
	Room <Room>	[0..1]	Text		1056
	PostCode <PstCd>	[0..1]	Text		1056
	TownName <TwnNm>	[0..1]	Text		1056
	TownLocationName <TwnLctnNm>	[0..1]	Text		1057
	DistrictName <DstrctNm>	[0..1]	Text		1057
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		1057
	Country <Ctry>	[0..1]	CodeSet	C3	1057
	AddressLine <AdrLine>	[0..7]	Text		1057

16.1.8.3 GenericFinancialIdentification1

Definition: Information related to an identification of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		860
	SchemeName <SchmeNm>	[0..1]			861
{Or	Code <Cd>	[1..1]	CodeSet		861
Or}	Proprietary <Prtry>	[1..1]	Text		861
	Issuer <Issr>	[0..1]	Text		861

16.1.8.3.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person.

Datatype: "Max35Text" on page 1246

16.1.8.3.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **FinancialIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		861
Or}	Proprietary <Prtry>	[1..1]	Text		861

16.1.8.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalFinancialInstitutionIdentification1Code" on page 1207

16.1.8.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 1246

16.1.8.3.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 1246

16.1.8.4 BranchAndFinancialInstitutionIdentification6

Definition: Unique and unambiguous identification of a financial institution or a branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		861
	BranchIdentification <BrnchId>	[0..1]	±		862

16.1.8.4.1 FinancialInstitutionIdentification <FinInstnId>

Presence: [1..1]

Definition: Unique and unambiguous identification of a financial institution, as assigned under an internationally recognised or proprietary identification scheme.

FinancialInstitutionIdentification <FinInstnId> contains the following elements (see "FinancialInstitutionIdentification18" on page 856 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C2	857
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		857
	LEI <LEI>	[0..1]	IdentifierSet		857
	Name <Nm>	[0..1]	Text		857
	PostalAddress <PstlAdr>	[0..1]	±		858
	Other <Othr>	[0..1]	±		858

16.1.8.4.2 BranchIdentification <BrnchId>

Presence: [0..1]

Definition: Identifies a specific branch of a financial institution.

Usage: This component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

BranchIdentification <BrnchId> contains the following elements (see "BranchData3" on page 859 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		859
	LEI <LEI>	[0..1]	IdentifierSet		859
	Name <Nm>	[0..1]	Text		859
	PostalAddress <PstlAdr>	[0..1]	±		859

16.1.8.5 ClearingSystemMemberIdentification2

Definition: Unique identification, as assigned by a clearing system, to unambiguously identify a member of the clearing system.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		862
	MemberIdentification <Mmbld>	[1..1]	Text		863

16.1.8.5.1 ClearingSystemIdentification <ClrSysId>

Presence: [0..1]

Definition: Specification of a pre-agreed offering between clearing agents or the channel through which the payment instruction is processed.

ClearingSystemIdentification <ClrSysId> contains one of the following elements (see "ClearingSystemIdentification2Choice" on page 1153 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1153
Or}	Proprietary <Prtry>	[1..1]	Text		1153

16.1.8.5.2 MemberIdentification <Mmbld>

Presence: [1..1]

Definition: Identification of a member of a clearing system.

Datatype: "Max35Text" on page 1246

16.1.9 Financial Instrument

16.1.9.1 SecurityIdentification19

Definition: Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		864
	OtherIdentification <Othrid>	[0..*]			864
	Identification <Id>	[1..1]	Text		864
	Suffix <Sfx>	[0..1]	Text		864
	Type <Tp>	[1..1]	±		865
	Description <Desc>	[0..1]	Text		865

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

16.1.9.1.1 ISIN <ISIN>

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 1240

16.1.9.1.2 OtherIdentification <Othrid>

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

OtherIdentification <Othrid> contains the following **OtherIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		864
	Suffix <Sfx>	[0..1]	Text		864
	Type <Tp>	[1..1]	±		865

16.1.9.1.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security.

Datatype: "Max35Text" on page 1246

16.1.9.1.2.2 Suffix <Sfx>

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 1244

16.1.9.1.2.3 Type <Tp>*Presence:* [1..1]*Definition:* Type of the identification.**Type <Tp>** contains one of the following elements (see "[IdentificationSource3Choice](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		873
Or}	Proprietary <Prtry>	[1..1]	Text		873

16.1.9.1.3 Description <Desc>*Presence:* [0..1]*Definition:* Textual description of a security instrument.*Datatype:* "[Max140Text](#)" on page 1244**16.1.10 Financial Instrument Quantity****16.1.10.1 QuantityToQuantityRatio1***Definition:* Ratio expressed as a quotient of quantities.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		865
	Quantity2 <Qty2>	[1..1]	Quantity		865

16.1.10.1.1 Quantity1 <Qty1>*Presence:* [1..1]*Definition:* Numerator of the quotient of quantities.*Datatype:* "[DecimalNumber](#)" on page 1241**16.1.10.1.2 Quantity2 <Qty2>***Presence:* [1..1]*Definition:* Denominator of the quotient of quantities.*Datatype:* "[DecimalNumber](#)" on page 1241**16.1.11 Foreign Exchange****16.1.11.1 ForeignExchangeTerms38***Definition:* Provides information about the terms of the foreign exchange transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	866
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	866
	ExchangeRate <XchgRate>	[1..1]	Rate		866

16.1.11.1.1 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1155

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.11.1.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1155

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.11.1.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOne14Rate" on page 1242

16.1.11.2 ForeignExchangeTerms39

Definition: Provides information about the terms of the foreign exchange transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	867
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	867
	ExchangeRate <XchgRate>	[1..1]	Rate		867
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C2, C11	868

16.1.11.2.1 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1155

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.11.2.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1155

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.11.2.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOne14Rate" on page 1242

16.1.11.2.4 ResultingAmount <RsltgAmt>

Presence: [0..1]

Definition: Counter value of a foreign exchange conversion.

Impacted by: C2 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

16.1.12 Frequency

16.1.12.1 Frequency25Choice

Definition: Choice of format for a frequency, for example, the frequency of delivery of a statement.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		868
Or}	Proprietary <Prtry>	[1..1]	±		869

16.1.12.1.1 Code <Cd>

Presence: [1..1]

Definition: Frequency expressed as an ISO 20022 code.

Datatype: "EventFrequency4Code" on page 1207

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
ADHO	Adhoc	Event takes place as necessary.
MNTH	Monthly	Event takes place every month or once a month.
DAIL	Daily	Event takes place every day.
INDA	IntraDay	Event takes place several times a day.
WEEK	Weekly	Event takes place once a week.

16.1.12.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Frequency expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.13 Identification Information**16.1.13.1 IdentificationFormat3Choice***Definition:* Choice between either a short, long or a proprietary identification format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortIdentification <ShrtId>	[1..1]	Text		869
Or	LongIdentification <LngId>	[1..1]	Text		869
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		869

16.1.13.1.1 ShortIdentification <ShrtId>*Presence:* [1..1]*Definition:* Format expressed as a short identification.*Datatype:* "[Exact3UpperCaseAlphaNumericText](#)" on page 1243**16.1.13.1.2 LongIdentification <LngId>***Presence:* [1..1]*Definition:* Format expressed as a long identification.*Datatype:* "[Max30Text](#)" on page 1245**16.1.13.1.3 ProprietaryIdentification <PrtryId>***Presence:* [1..1]*Definition:* Format expressed as a proprietary identification.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification36](#)" on page 872 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		872
	Issuer <Issr>	[1..1]	Text		872
	SchemeName <SchmeNm>	[0..1]	Text		873

16.1.13.2 CorporateActionEventReference3

Definition: Identification of a linked corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			870
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		870
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		870
	LinkageType <LkgTp>	[0..1]	±	C22	871

16.1.13.2.1 EventIdentification <EvtId>

Presence: [1..1]

Definition: Identification of the linked corporate action event.

EventIdentification <EvtId> contains one of the following **CorporateActionEventReference3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		870
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		870

16.1.13.2.1.1 LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>

Presence: [1..1]

Definition: Official and unique reference assigned by the official central body/ entity within each market at the beginning of a corporate action event.

Datatype: "[Max35Text](#)" on page 1246

16.1.13.2.1.2 LinkedCorporateActionIdentification <LkdCorpActnId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a related corporate action event.

Datatype: "[Max35Text](#)" on page 1246

16.1.13.2 LinkageType <LkgTp>*Presence:* [0..1]*Definition:* Specifies when this corporate action event is to be processed relative to a linked corporate action event.*Impacted by:* C22 "WithLinkageRule"**LinkageType <LkgTp>** contains one of the following elements (see "ProcessingPosition7Choice" on page 963 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		963
Or}	Proprietary <Prtry>	[1..1]	±		964

Constraints

- WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

16.1.13.3 DocumentIdentification9*Definition:* Identifies a document by a unique identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		871

16.1.13.3.1 Identification <Id>*Presence:* [1..1]*Definition:* Identifies the document.*Datatype:* "Max35Text" on page 1246**16.1.13.4 IdentificationType42Choice***Definition:* Choice between a code and a data source scheme to specify the type of alternate identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		871
Or}	Proprietary <Prtry>	[1..1]	±		872

16.1.13.4.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of identification is defined using a code.

Datatype: "TypeOfIdentification1Code" on page 1237

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

16.1.13.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification is defined using a data source scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.13.5 GenericIdentification36

Definition: Identification using a proprietary scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		872
	Issuer <Issr>	[1..1]	Text		872
	SchemeName <SchmeNm>	[0..1]	Text		873

16.1.13.5.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Max35Text" on page 1246

16.1.13.5.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: ["Max35Text" on page 1246](#)

16.1.13.5.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: ["Max35Text" on page 1246](#)

16.1.13.6 IdentificationSource3Choice

Definition: Choice between source of identification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		873
Or}	Proprietary <Prtry>	[1..1]	Text		873

16.1.13.6.1 Code <Cd>

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: ["ExternalFinancialInstrumentIdentificationType1Code" on page 1208](#)

16.1.13.6.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: ["Max35Text" on page 1246](#)

16.1.13.7 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.13.7.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: ["Exact4AlphaNumericText" on page 1243](#)

16.1.13.7.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 1246

16.1.13.7.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 1246

16.1.13.8 SettlementTypeAndIdentification25

Definition: Overall process covering the trade and settlement transactions of financial instruments.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Payment <Pmt>	[1..1]	CodeSet		874
	TransactionIdentification <TxId>	[1..1]	Text		874
	SettlementDate <SttlmDt>	[0..1]	±		874

16.1.13.8.1 Payment <Pmt>

Presence: [1..1]

Definition: Specifies how the transaction is to be settled.

Datatype: "DeliveryReceiptType2Code" on page 1205

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

16.1.13.8.2 TransactionIdentification <TxId>

Presence: [1..1]

Definition: Reference of the transaction.

Datatype: "Max35Text" on page 1246

16.1.13.8.3 SettlementDate <SttlmDt>

Presence: [0..1]

Definition: Identifies the intended settlement date.

SettlementDate <SttlmDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 845 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		845
Or}	DateTime <DtTm>	[1..1]	DateTime		845

16.1.13.9 GenericIdentification78

Definition: Identification expressed as a proprietary type and narrative description.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		875
	Identification <Id>	[0..1]	Text		875

16.1.13.9.1 Type <Tp>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Type <Tp> contains the following elements (see ["GenericIdentification30"](#) on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.13.9.2 Identification <Id>

Presence: [0..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: ["Max35Text"](#) on page 1246

16.1.14 Market

16.1.14.1 CorporateActionOption30Choice

Definition: Choice between a standard code or a proprietary code to specify the type of corporate action options.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		877

16.1.14.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of corporate action options.*Datatype:* "CorporateActionOption11Code" on page 1194

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.

CodeName	Name	Definition
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

16.1.14.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action options.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.14.2 MarketIdentification1Choice

Definition: Choice of market identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		877
Or}	Description <Desc>	[1..1]	Text		877

16.1.14.2.1 MarketIdentifierCode <MktldrCd>

Presence: [1..1]

Definition: ISO 10383 Market Identification Code.

Datatype: "[MICIdentifier](#)" on page 1241

16.1.14.2.2 Description <Desc>

Presence: [1..1]

Definition: Description of the market when no Market Identifier Code is available.

Datatype: "[Max35Text](#)" on page 1246

16.1.14.3 MarketIdentification3Choice

Definition: Choice of market identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		878
Or}	Description <Desc>	[1..1]	Text		878

16.1.14.3.1 MarketIdentifierCode <MktldrCd>

Presence: [1..1]

Definition: Market Identifier Code. Identification of a financial market, as stipulated in the norm ISO 10383 "Codes for exchanges and market identifications".

Datatype: "MICIdentifier" on page 1241

16.1.14.3.2 Description <Desc>

Presence: [1..1]

Definition: Description of the market when no Market Identifier Code is available.

Datatype: "Max35Text" on page 1246

16.1.14.4 MarketIdentification84

Definition: Context, or geographic environment, in which trading parties may meet in order to negotiate and execute trades among themselves.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		878
	Type <Tp>	[1..1]	±		879

Constraints

- **MarketTypeAndIdentificationRule**

If Type/Code is OverTheCounter (OTCO) and if Identification is present, then Identification/Description must specify a system.

If Type/Code is Exchange (EXCH) and if Identification is present, then Identification/MarketIdentifierCode must be present.

16.1.14.4.1 Identification <Id>

Presence: [0..1]

Definition: Code allocated to places of trade, that is, stock exchanges, regulated markets, for example, Electronic Trading Platforms (ECN), and unregulated markets, for example, Automated Trading Systems (ATS), as sources of prices and related information, in order to facilitate automated processing.

Identification <Id> contains one of the following elements (see "[MarketIdentification1Choice](#)" on page 877 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		877
Or}	Description <Desc>	[1..1]	Text		877

16.1.14.4.2 Type <Tp>

Presence: [1..1]

Definition: Nature of a market in which transactions take place.

Type <Tp> contains one of the following elements (see "[MarketType8Choice](#)" on page 879 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		879
Or}	Proprietary <Prtry>	[1..1]	±		879

16.1.14.5 MarketType8Choice

Definition: Choice of format for the market type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		879
Or}	Proprietary <Prtry>	[1..1]	±		879

16.1.14.5.1 Code <Cd>

Presence: [1..1]

Definition: Market type expressed as an ISO 20022 code.

Datatype: "[MarketType2Code](#)" on page 1216

CodeName	Name	Definition
PRIM	PrimaryMarket	The place is a primary market.
SECM	SecondaryMarket	The place is a secondary market.
OTCO	OverTheCounter	The place is over the counter.
VARI	Various	Various places.
EXCH	StockExchange	The place is a stock exchange.

16.1.14.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Market type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15 Miscellaneous

16.1.15.1 RateAndAmountFormat56Choice

Definition: Choice of format between a rate or a rate type and rate or an amount or a unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		880
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		880
Or	Amount <Amt>	[1..1]	Amount	C2	880
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			881
	RateType <RateTp>	[1..1]			881
{Or	Code <Cd>	[1..1]	CodeSet		881
Or}	Proprietary <Prtry>	[1..1]	±		882
	Rate <Rate>	[1..1]	Rate		882

16.1.15.1.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 1242

16.1.15.1.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "[RateValueType7Code](#)" on page 1230

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.1.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.1.4 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			881
{Or	Code <Cd>	[1..1]	CodeSet		881
Or}	Proprietary <Prtry>	[1..1]	±		882
	Rate <Rate>	[1..1]	Rate		882

16.1.15.1.4.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType42Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		881
Or}	Proprietary <Prtry>	[1..1]	±		882

16.1.15.1.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1238

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).

CodeName	Name	Definition
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

16.1.15.1.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.1.4.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 1242

16.1.15.2 ClassificationType32Choice

Definition: Choice of format for the classification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		882
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		882

16.1.15.2.1 ClassificationFinancialInstrument <ClssfctnFinInstrm>

Presence: [1..1]

Definition: ISO 10962 Classification of Financial Instrument (CFI).

Datatype: "[CFIOct2015Identifier](#)" on page 1239

16.1.15.2.2 AlternateClassification <AltrnClssfctn>

Presence: [1..1]

Definition: Proprietary classification of financial instrument.

AlternateClassification <AltrnCIsstfctn> contains the following elements (see "GenericIdentification36" on page 872 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		872
	Issuer <Issr>	[1..1]	Text		872
	SchemeName <SchmeNm>	[0..1]	Text		873

16.1.15.3 Pagination1

Definition: Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		883
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		883

16.1.15.3.1 PageNumber <PgNb>

Presence: [1..1]

Definition: Page number.

Datatype: "Max5NumericText" on page 1246

16.1.15.3.2 LastPageIndicator <LastPgInd>

Presence: [1..1]

Definition: Indicates the last page.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

16.1.15.4 AdditionalBusinessProcessFormat17Choice

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		883
Or}	Proprietary <Prtry>	[1..1]	±		885

16.1.15.4.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the additional business process linked to a corporate action event.

Datatype: "AdditionalBusinessProcess9Code" on page 1159

CodeName	Name	Definition
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.

16.1.15.4.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the additional business process linked to a corporate action event.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.5 OptionAvailabilityStatus3Choice*Definition:* Choice between a standard code or proprietary code to specify the option availability status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		885
Or}	Proprietary <Prtry>	[1..1]	±		885

16.1.15.5.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the status of the option availability.*Datatype:* "[OptionAvailabilityStatus1Code](#)" on page 1221

CodeName	Name	Definition
INTV	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
CANC	Cancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.

16.1.15.5.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the status of the option availability.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.6 CorporateActionOption40Choice

Definition: Choice between a standard code or a proprietary code to specify the type of the corporate action option.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		886
Or}	Proprietary <Prtry>	[1..1]	±		888

16.1.15.6.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

Datatype: "[CorporateActionOption16Code](#)" on page 1198

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CERT	Certification	Certification is provided.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.

CodeName	Name	Definition
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
TAXI	TaxInstruction	Tax instruction.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.1.15.6.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of corporate action option.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.7 FractionDispositionType27Choice*Definition:* Choice between a standard code or a proprietary code to specify the type of fraction disposition.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		888
Or}	Proprietary <Prtry>	[1..1]	±		888

16.1.15.7.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.*Datatype:* "FractionDispositionType11Code" on page 1209

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.

16.1.15.7.2 Proprietary <Prtry>*Presence:* [1..1]

Definition: Proprietary identification of the type of fraction disposition.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.8 NetDividendRateFormat37Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	889
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			890
	Amount <Amt>	[1..1]	Amount	C2	890
	RateStatus <RateSts>	[1..1]	CodeSet		890
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			890
	RateType <RateTp>	[1..1]			891
{Or	Code <Cd>	[1..1]	CodeSet		891
Or}	Proprietary <Prtry>	[1..1]	±		892
	Amount <Amt>	[1..1]	Amount	C2	892
	RateStatus <RateSts>	[0..1]		C52	892
{Or	Code <Cd>	[1..1]	CodeSet		893
Or}	Proprietary <Prtry>	[1..1]	±		893

16.1.15.8.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.8.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	890
	RateStatus <RateSts>	[1..1]	CodeSet		890

16.1.15.8.2.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.8.2.2 RateStatus <RateSts>

Presence: [1..1]

Definition: Value expressed as a rate status.

Datatype: "RateStatus1Code" on page 1229

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.8.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for the net dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus56** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			891
{Or	Code <Cd>	[1..1]	CodeSet		891
Or}	Proprietary <Prtry>	[1..1]	±		892
	Amount <Amt>	[1..1]	Amount	C2	892
	RateStatus <RateSts>	[0..1]		C52	892
{Or	Code <Cd>	[1..1]	CodeSet		893
Or}	Proprietary <Prtry>	[1..1]	±		893

16.1.15.8.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType77Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		891
Or}	Proprietary <Prtry>	[1..1]	±		892

16.1.15.8.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType6Code" on page 1217

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.

CodeName	Name	Definition
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

16.1.15.8.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.8.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C2 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.8.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C52 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		893
Or}	Proprietary <Prtry>	[1..1]	±		893

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.15.8.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1229

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.8.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.9 FractionDispositionType26Choice

Definition: Choice between a standard code or a proprietary code to specify the type of fraction disposition.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		893
Or}	Proprietary <Prtry>	[1..1]	±		894

16.1.15.9.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: "FractionDispositionType8Code" on page 1209

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

16.1.15.9.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.10 NetDividendRateFormat40Choice

Definition: Choice between different formats to express a net dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	895
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			895
	Amount <Amt>	[1..1]	Amount	C2	896
	RateStatus <RateSts>	[1..1]	CodeSet		896
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			896
	RateType <RateTp>	[1..1]			896
{Or	Code <Cd>	[1..1]	CodeSet		897
Or}	Proprietary <Prtry>	[1..1]	±		897
	Amount <Amt>	[1..1]	Amount	C2	898
	RateStatus <RateSts>	[0..1]		C52	898
{Or	Code <Cd>	[1..1]	CodeSet		898
Or}	Proprietary <Prtry>	[1..1]	±		899

16.1.15.10.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.10.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	896
	RateStatus <RateSts>	[1..1]	CodeSet		896

16.1.15.10.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1153**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.10.2.2 RateStatus <RateSts>*Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1229

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.10.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Specifies different formats for the net dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus58** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			896
{Or	Code <Cd>	[1..1]	CodeSet		897
Or}	Proprietary <Prtry>	[1..1]	±		897
	Amount <Amt>	[1..1]	Amount	C2	898
	RateStatus <RateSts>	[0..1]		C52	898
{Or	Code <Cd>	[1..1]	CodeSet		898
Or}	Proprietary <Prtry>	[1..1]	±		899

16.1.15.10.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType79Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		897
Or}	Proprietary <Prtry>	[1..1]	±		897

16.1.15.10.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType7Code" on page 1218

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.

16.1.15.10.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.10.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C2 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.10.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C52 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		898
Or}	Proprietary <Prtry>	[1..1]	±		899

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.15.10.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "[RateStatus1Code](#)" on page 1229

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.10.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification30"](#) on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.11 CapitalGainFormat3Choice

Definition: Choice between a standard code or proprietary code to specify the type of capital gain.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		899
Or}	Proprietary <Prtry>	[1..1]	±		899

16.1.15.11.1 Code <Cd>

Presence: [1..1]

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June) for an income realised upon sale, a refund or redemption of shares and units etc.

Datatype: ["EUCapitalGain2Code"](#) on page 1206

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

16.1.15.11.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of capital gain.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.12 TemporaryFinancialInstrumentIndicator3Choice

Definition: Choice between an indicator or a proprietary code to specify whether the security is a temporary security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TemporaryIndicator <TemplInd>	[1..1]	Indicator		900
Or}	Proprietary <Prtry>	[1..1]	±		900

16.1.15.12.1 TemporaryIndicator <TemplInd>

Presence: [1..1]

Definition: Temporary financial instrument identification used for processing reasons.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

16.1.15.12.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to specify whether the security is a temporary security.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.13 RateTypeAndAmountAndStatus26

Definition: Specifies the value expressed as a rate and an amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			901
{Or	Code <Cd>	[1..1]	CodeSet		901
Or}	Proprietary <Prtry>	[1..1]	±		901
	Amount <Amt>	[1..1]	Amount	C2	902
	RateStatus <RateSts>	[0..1]		C52	902
{Or	Code <Cd>	[1..1]	CodeSet		902
Or}	Proprietary <Prtry>	[1..1]	±		902

16.1.15.13.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType36Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		901
Or}	Proprietary <Prtry>	[1..1]	±		901

16.1.15.13.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of tax rate.

Datatype: "DividendRateType1Code" on page 1205

CodeName	Name	Definition
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.

16.1.15.13.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of tax rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.13.2 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1153**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.13.3 RateStatus <RateSts>*Presence:* [0..1]*Definition:* Value expressed as a rate status.*Impacted by:* C52 "RateStatusGuideline"**RateStatus <RateSts>** contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		902
Or}	Proprietary <Prtry>	[1..1]	±		902

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.15.13.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the status of the rate.*Datatype:* "RateStatus1Code" on page 1229

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.13.3.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.14 GrossDividendRateFormat37Choice

Definition: Choice of format to express a gross dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	903
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			904
	Amount <Amt>	[1..1]	Amount	C2	904
	RateStatus <RateSts>	[1..1]	CodeSet		904
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			904
	RateType <RateTp>	[1..1]			905
{Or	Code <Cd>	[1..1]	CodeSet		905
Or}	Proprietary <Prtry>	[1..1]	±		906
	Amount <Amt>	[1..1]	Amount	C2	906
	RateStatus <RateSts>	[0..1]		C52	907
{Or	Code <Cd>	[1..1]	CodeSet		907
Or}	Proprietary <Prtry>	[1..1]	±		907

16.1.15.14.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.14.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	904
	RateStatus <RateSts>	[1..1]	CodeSet		904

16.1.15.14.2.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.14.2.2 RateStatus <RateSts>

Presence: [1..1]

Definition: Value expressed as a rate status.

Datatype: "RateStatus1Code" on page 1229

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.14.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for the gross dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus57** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			905
{Or	Code <Cd>	[1..1]	CodeSet		905
Or}	Proprietary <Prtry>	[1..1]	±		906
	Amount <Amt>	[1..1]	Amount	C2	906
	RateStatus <RateSts>	[0..1]		C52	907
{Or	Code <Cd>	[1..1]	CodeSet		907
Or}	Proprietary <Prtry>	[1..1]	±		907

16.1.15.14.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType78Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		905
Or}	Proprietary <Prtry>	[1..1]	±		906

16.1.15.14.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType7Code" on page 1211

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.

CodeName	Name	Definition
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

16.1.15.14.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.14.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C2 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.14.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C52 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		907
Or}	Proprietary <Prtry>	[1..1]	±		907

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.15.14.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: ["RateStatus1Code" on page 1229](#)

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.14.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification30" on page 873](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.15 CorporateActionOption41Choice

Definition: Choice between a standard code or a proprietary code to specify the type of the corporate action option.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		908
Or}	Proprietary <Prtry>	[1..1]	±		909

16.1.15.15.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

Datatype: "CorporateActionOption17Code" on page 1200

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from

CodeName	Name	Definition
		International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
TAXI	TaxInstruction	Tax instruction.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.1.15.15.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.16 NonEligibleProceedsIndicator3Choice

Definition: Choice between a standard code or proprietary code to specify the eligibility of outturn resources.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		910
Or}	Proprietary <Prtry>	[1..1]	±		910

16.1.15.16.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify information regarding the non eligibility of the outturn resources.

Datatype: "NonEligibleProceedsIndicator1Code" on page 1220

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.

16.1.15.16.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the non eligibility of the outturn resources.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.17 CorporateActionProcessingStatus5Choice

Definition: Specifies the status of the details of the corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]			911
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		911
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		911
Or}	Proprietary <Prtry>	[1..1]	±		911

16.1.15.17.1 Code <Cd>*Presence:* [1..1]*Definition:* Specifies the status of the details of the corporate action event.**Code <Cd>** contains the following **CorporateActionEventStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		911
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		911

16.1.15.17.1.1 EventCompletenessStatus <EvtCmpltnsSts>*Presence:* [1..1]*Definition:* Indicates whether the details provided about an event are complete or incomplete.*Datatype:* "EventCompletenessStatus1Code" on page 1206

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

16.1.15.17.1.2 EventConfirmationStatus <EvtConfSts>*Presence:* [1..1]*Definition:* Indicates the status of the occurrence of an event.*Datatype:* "EventConfirmationStatus1Code" on page 1206

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

16.1.15.17.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Information related to an identification, for example, party identification or account identification.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.18 InformationTypeFormat4Choice

Definition: Choice between a standard code or proprietary code to specify the information type format required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		912
Or}	Proprietary <Prtry>	[1..1]	±		912

16.1.15.18.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the information type required.

Datatype: "CorporateActionInformationType1Code" on page 1192

CodeName	Name	Definition
CONF	ConferenceCall	Information is about an informal call when an issuer, trustee, agent wishes to talk to note holders to discuss a certain issue or potential change to the notes; nothing said being binding.

16.1.15.18.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the information type.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.19 BidRangeType1Choice

Definition: Bid range type as a choice of a defined coded value or a proprietary value.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		912
Or}	Proprietary <Prtry>	[1..1]	±		913

16.1.15.19.1 Code <Cd>

Presence: [1..1]

Definition: Bid range type expressed as a code value.

Datatype: "BidRangeType1Code" on page 1163

CodeName	Name	Definition
DIVI	Divisible	All values (including minimum and maximum prices) are divisible by the bid interval.
INCR	Incremental	No values within the bid range are divisible by the bid interval including the minimum and maximum prices. Each value between the minimum and maximum prices is incremented by the bid interval.
MULT	InMultiple	Minimum and maximum prices are not divisible by the bid interval. All successive values between the minimum and maximum prices are in multiples of the bid interval.

16.1.15.19.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Bid range type expressed as a proprietary value.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.20 ConsentTypeFormat4Choice

Definition: Choice between a standard code or proprietary code to specify the consent type format required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		913
Or}	Proprietary <Prtry>	[1..1]	±		914

16.1.15.20.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the consent type required.

Datatype: "[ConsentType1Code](#)" on page 1164

CodeName	Name	Definition
CTRM	ChangeInTerms	Change in the terms and conditions of the bond.
DUPY	DueAndPayable	Change in the due and payable conditions.

16.1.15.20.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the consent type.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.21 FractionDispositionType25Choice*Definition:* Choice between a standard code or a proprietary code to specify the type of fraction disposition.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		914
Or}	Proprietary <Prtry>	[1..1]	±		914

16.1.15.21.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.*Datatype:* "[FractionDispositionType9Code](#)" on page 1210

CodeName	Name	Definition
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

16.1.15.21.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of fraction disposition.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.22 ElectionTypeFormat3Choice

Definition: Choice between a standard code or proprietary code to specify the type of election movement.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		915
Or}	Proprietary <Prtry>	[1..1]	±		915

16.1.15.22.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the effect on the holdings of electing a corporate action option.

Datatype: "ElectionMovementType2Code" on page 1206

CodeName	Name	Definition
DRCT	Direct	Direct movement of resource, that is, cash or security that is directly credited to the paying agent account.
SEQD	Sequestered	Election results in a change of balance type that transfers control of the securities rather than the securities themselves.

16.1.15.22.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of election of a corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.23 EventSequenceTypeFormat1Choice

Definition: Choice between a standard code and proprietary code to specify the type of event in a series of predefined or planned events.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		916
Or}	Proprietary <Prtry>	[1..1]	±		916

16.1.15.23.1 Code <Cd>

Presence: [1..1]

Definition: Type of an event sequence, in a coded format.

Datatype: "EventSequenceType1Code" on page 1207

CodeName	Name	Definition
FINL	Final	Final event in a series of successive events.
INTE	Interim	Interim event in a series of successive events.

16.1.15.23.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of an event sequence, in a proprietary format.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.24 DividendTypeFormat9Choice

Definition: Choice between a standard code or proprietary code to specify the type of dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		916
Or}	Proprietary <Prtry>	[1..1]	±		917

16.1.15.24.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the frequency of the corporate action event.

Datatype: "CorporateActionFrequencyType5Code" on page 1192

CodeName	Name	Definition
FINL	Final	Payment of a dividend, less amounts already paid through interim dividends.
INTE	Interim	Dividend declared and paid before annual earnings have been determined.
REIN	Reinvestment	Reinvestment of cash distributed.
REGR	Regular	Dividend paid at regular interval, for example, quarterly.
SPEC	Special	Dividend in addition to the regular dividend being paid by the company.
SPRE	SpecialDividendWithReinvestment	Special Dividend with reinvestment component.

16.1.15.24.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the frequency of the corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.25 OptionStyle8Choice

Definition: Choice of format for the option style.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		917
Or}	Proprietary <Prtry>	[1..1]	±		918

16.1.15.25.1 Code <Cd>

Presence: [1..1]

Definition: Option style expressed as an ISO 20022 code.

Datatype: "[OptionStyle2Code](#)" on page 1223

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.

16.1.15.25.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Option style expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.26 SupplementaryData1*Definition:* Additional information that can not be captured in the structured fields and/or any other specific block.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		918
	Envelope <Envlp>	[1..1]	(External Schema)		918

Constraints

- SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

16.1.15.26.1 PlaceAndName <PlcAndNm>*Presence:* [0..1]*Definition:* Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: "[Max350Text](#)" on page 1245**16.1.15.26.2 Envelope <Envlp>***Presence:* [1..1]*Definition:* Technical element wrapping the supplementary data.*Type:* (External Schema)

Technical component that contains the validated supplementary data information. This technical envelope allows to segregate the supplementary data information from any other information.

16.1.15.27 DistributionTypeFormat7Choice*Definition:* Choice between a standard code or proprietary code to specify the type of distribution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		919
Or}	Proprietary <Prtry>	[1..1]	±		919

16.1.15.27.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

Datatype: "DistributionType3Code" on page 1205

CodeName	Name	Definition
FINL	Final	Final payment.
INTE	Interim	Interim payment.
ONGO	Ongoing	Event is ongoing for acceptance on an unsolicited basis.
ROLL	RollingBasis	Event will have a number of acceptance and payment dates until further announcement by the Issuer or its agent.

16.1.15.27.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of distribution.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.28 BlockChainAddressWallet3

Definition: Digital account where digital assets or digital tokens can be stored and where an entry is made.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		919
	Type <Tp>	[0..1]	±		920
	Name <Nm>	[0..1]	Text		920

16.1.15.28.1 Identification <Id>

Presence: [1..1]

Definition: Unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max140Text" on page 1244

16.1.15.28.2 Type <Tp>

Presence: [0..1]

Definition: Specifies the type of securities account.

Type <Tp> contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.28.3 Name <Nm>

Presence: [0..1]

Definition: Description of the account.

Datatype: "Max70Text" on page 1246

16.1.15.29 NetDividendRateFormat38Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	921
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			921
	Amount <Amt>	[1..1]	Amount	C2	921
	RateStatus <RateSts>	[1..1]	CodeSet		921
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			922
	RateType <RateTp>	[1..1]			922
{Or	Code <Cd>	[1..1]	CodeSet		922
Or}	Proprietary <Prtry>	[1..1]	±		923
	Amount <Amt>	[1..1]	Amount	C2	923
	RateStatus <RateSts>	[0..1]		C52	924
{Or	Code <Cd>	[1..1]	CodeSet		924
Or}	Proprietary <Prtry>	[1..1]	±		924
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		924

16.1.15.29.1 Amount <Amt>*Presence:* [1..1]*Definition:* Number of monetary units specified in a currency.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1153**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.29.2 AmountAndRateStatus <AmtAndRateSts>*Presence:* [1..1]*Definition:* Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	921
	RateStatus <RateSts>	[1..1]	CodeSet		921

16.1.15.29.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1153**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.29.2.2 RateStatus <RateSts>*Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1229

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.

CodeName	Name	Definition
INDI	IndicativeRate	Rate is indicative.

16.1.15.29.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for the net dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus56** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			922
{Or	Code <Cd>	[1..1]	CodeSet		922
Or}	Proprietary <Prtry>	[1..1]	±		923
	Amount <Amt>	[1..1]	Amount	C2	923
	RateStatus <RateSts>	[0..1]		C52	924
{Or	Code <Cd>	[1..1]	CodeSet		924
Or}	Proprietary <Prtry>	[1..1]	±		924

16.1.15.29.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType77Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		922
Or}	Proprietary <Prtry>	[1..1]	±		923

16.1.15.29.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType6Code" on page 1217

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that

CodeName	Name	Definition
		have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

16.1.15.29.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.29.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C2 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.29.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C52 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		924
Or}	Proprietary <Prtry>	[1..1]	±		924

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.15.29.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: ["RateStatus1Code" on page 1229](#)

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.29.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification30" on page 873](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.29.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateValueType7Code" on page 1230](#)

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.30 CorporateActionEventStageFormat13Choice

Definition: Choice between a standard code or proprietary code to specify the event stage type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		925
Or}	Proprietary <Prtry>	[1..1]	±		926

16.1.15.30.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the stage of the corporate action event.

Datatype: "CorporateActionEventStage3Code" on page 1166

CodeName	Name	Definition
APPD	Approved	Approved at the relevant meeting, for example, dividend.
CLDE	Deactivated	Offer is closed for acceptance.
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
LAPS	Lapsed	Event/offer conditions have not been met and the event/offer is terminated or lapsed.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, for example, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.

16.1.15.30.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the stage of the corporate action event.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.31 RatioFormat18Choice*Definition:* Choice of format to express a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		926
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		927
Or	AmountToAmount <AmtToAmt>	[1..1]			927
	Amount1 <Amt1>	[1..1]	Amount	C2	927
	Amount2 <Amt2>	[1..1]	Amount	C2	927
Or	AmountToQuantity <AmtToQty>	[1..1]			928
	Amount <Amt>	[1..1]	Amount	C2	928
	Quantity <Qty>	[1..1]	Quantity		928
Or}	QuantityToAmount <QtyToAmt>	[1..1]			928
	Amount <Amt>	[1..1]	Amount	C2	928
	Quantity <Qty>	[1..1]	Quantity		929

16.1.15.31.1 QuantityToQuantity <QtyToQty>*Presence:* [1..1]*Definition:* Ratio expressed as a quotient of quantities.**QuantityToQuantity <QtyToQty>** contains the following elements (see "[QuantityToQuantityRatio1](#)" on page 865 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		865
	Quantity2 <Qty2>	[1..1]	Quantity		865

16.1.15.31.2 NotSpecifiedRate <NotSpcfdRate>*Presence:* [1..1]*Definition:* Value of the ratio not specified.*Datatype:* "RateValueType7Code" on page 1230

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.31.3 AmountToAmount <AmtToAmt>*Presence:* [1..1]*Definition:* Ratio expressed as a quotient of amounts.**AmountToAmount <AmtToAmt>** contains the following **AmountToAmountRatio2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C2	927
	Amount2 <Amt2>	[1..1]	Amount	C2	927

16.1.15.31.3.1 Amount1 <Amt1>*Presence:* [1..1]*Definition:* Numerator of the quotient of amounts.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1153**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.31.3.2 Amount2 <Amt2>*Presence:* [1..1]*Definition:* Denominator of the quotient of amounts.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1153**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.31.4 AmountToQuantity <AmtToQty>

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

AmountToQuantity <AmtToQty> contains the following **AmountAndQuantityRatio4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	928
	Quantity <Qty>	[1..1]	Quantity		928

16.1.15.31.4.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.31.4.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "DecimalNumber" on page 1241

16.1.15.31.5 QuantityToAmount <QtyToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quantity to amount ratio.

QuantityToAmount <QtyToAmt> contains the following **AmountAndQuantityRatio4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	928
	Quantity <Qty>	[1..1]	Quantity		929

16.1.15.31.5.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.31.5.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "DecimalNumber" on page 1241

16.1.15.32 RatioFormat17Choice

Definition: Choice of format to express a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		929
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		929
Or}	AmountToAmount <AmtToAmt>	[1..1]			930
	Amount1 <Amt1>	[1..1]	Amount	C2	930
	Amount2 <Amt2>	[1..1]	Amount	C2	930

16.1.15.32.1 QuantityToQuantity <QtyToQty>

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

QuantityToQuantity <QtyToQty> contains the following elements (see "QuantityToQuantityRatio1" on page 865 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		865
	Quantity2 <Qty2>	[1..1]	Quantity		865

16.1.15.32.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the ratio not specified.

Datatype: "RateValueType7Code" on page 1230

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.32.3 AmountToAmount <AmtToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

AmountToAmount <AmtToAmt> contains the following **AmountToAmountRatio2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C2	930
	Amount2 <Amt2>	[1..1]	Amount	C2	930

16.1.15.32.3.1 Amount1 <Amt1>

Presence: [1..1]

Definition: Numerator of the quotient of amounts.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.32.3.2 Amount2 <Amt2>

Presence: [1..1]

Definition: Denominator of the quotient of amounts.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.33 GrossDividendRateFormat38Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	931
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			931
	Amount <Amt>	[1..1]	Amount	C2	932
	RateStatus <RateSts>	[1..1]	CodeSet		932
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			932
	RateType <RateTp>	[1..1]			932
{Or	Code <Cd>	[1..1]	CodeSet		933
Or}	Proprietary <Prtry>	[1..1]	±		934
	Amount <Amt>	[1..1]	Amount	C2	934
	RateStatus <RateSts>	[0..1]		C52	934
{Or	Code <Cd>	[1..1]	CodeSet		934
Or}	Proprietary <Prtry>	[1..1]	±		935
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		935

16.1.15.33.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.33.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	932
	RateStatus <RateSts>	[1..1]	CodeSet		932

16.1.15.33.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1153**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.33.2.2 RateStatus <RateSts>*Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1229

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.33.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Specifies different formats for the gross dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus57** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			932
{Or	Code <Cd>	[1..1]	CodeSet		933
Or}	Proprietary <Prtry>	[1..1]	±		934
	Amount <Amt>	[1..1]	Amount	C2	934
	RateStatus <RateSts>	[0..1]		C52	934
{Or	Code <Cd>	[1..1]	CodeSet		934
Or}	Proprietary <Prtry>	[1..1]	±		935

16.1.15.33.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType78Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		933
Or}	Proprietary <Prtry>	[1..1]	±		934

16.1.15.33.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType7Code" on page 1211

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LT CG	LongTermCapitalGain	Long term capital gain.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

16.1.15.33.3.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of gross dividend rate.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.33.3.2 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* [C2 "ActiveCurrency"](#)*Datatype:* "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1153**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.33.3.3 RateStatus <RateSts>*Presence:* [0..1]*Definition:* Value expressed as a rate status.*Impacted by:* [C52 "RateStatusGuideline"](#)**RateStatus <RateSts>** contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		934
Or}	Proprietary <Prtry>	[1..1]	±		935

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.15.33.3.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1229

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.33.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.33.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateType13Code" on page 1230

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

16.1.15.34 AdditionalBusinessProcessFormat15Choice

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		935
Or}	Proprietary <Prtry>	[1..1]	±		936

16.1.15.34.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the additional business process linked to a corporate action event.

Datatype: "AdditionalBusinessProcess8Code" on page 1159

CodeName	Name	Definition
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.

16.1.15.34.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the additional business process linked to a corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.35 OptionFeaturesFormat25Choice

Definition: Choice between a standard code and a proprietary code to specify the features that may apply to a corporate action option.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		937
Or}	Proprietary <Prtry>	[1..1]	±		937

16.1.15.35.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the features that may apply to a corporate action option.*Datatype:* "OptionFeatures12Code" on page 1221

CodeName	Name	Definition
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.

16.1.15.35.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the features that may apply to a corporate action option.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.36 RenounceableEntitlementStatusTypeFormat3Choice*Definition:* Choice between a standard code or proprietary code to specify the type of the renounceable entitlement status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		937
Or}	Proprietary <Prtry>	[1..1]	±		937

16.1.15.36.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the renounceable status.*Datatype:* "RenounceableStatus1Code" on page 1235

CodeName	Name	Definition
NREN	NonRenounceable	Intermediate securities cannot be sold.
RENO	Renounceable	Intermediate securities can be sold.

16.1.15.36.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the renounceable status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.37 CorporateActionOption33Choice

Definition: Choice between a standard code or a proprietary code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		938
Or}	Proprietary <Prtry>	[1..1]	±		939

16.1.15.37.1 Code <Cd>

Presence: [1..1]

Definition: Option type expressed as a code.

Datatype: "[CorporateActionOption12Code](#)" on page 1195

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.

CodeName	Name	Definition
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

16.1.15.37.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Option type expressed as a proprietary identification.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.38 CorporateActionOption37Choice

Definition: Choice between a standard code or a proprietary code to specify the type of corporate action options.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		940
Or}	Proprietary <Prtry>	[1..1]	±		941

16.1.15.38.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of corporate action options.

Datatype: "[CorporateActionOption15Code](#)" on page 1197

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.

CodeName	Name	Definition
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.1.15.38.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action options.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.39 CorporateActionChangeTypeFormat5Choice

Definition: Choice between a standard code or proprietary code to specify the change type of a corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		942
Or}	Proprietary <Prtry>	[1..1]	±		942

16.1.15.39.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of changes.

Datatype: "CorporateActionChangeType1Code" on page 1165

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.
DECI	Decimalisation	Process of changing the accounting method of a security, for example, mutual fund, from units to a quantity with decimals.

16.1.15.39.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of changes.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.40 GrossDividendRateFormat36Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	943
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			944
	Amount <Amt>	[1..1]	Amount	C2	944
	RateStatus <RateSts>	[1..1]	CodeSet		944
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			944
	RateType <RateTp>	[1..1]			945
{Or	Code <Cd>	[1..1]	CodeSet		945
Or}	Proprietary <Prtry>	[1..1]	±		946
	Amount <Amt>	[1..1]	Amount	C2	946
	RateStatus <RateSts>	[0..1]		C52	946
{Or	Code <Cd>	[1..1]	CodeSet		947
Or}	Proprietary <Prtry>	[1..1]	±		947
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		947

16.1.15.40.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: [C2 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.40.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	944
	RateStatus <RateSts>	[1..1]	CodeSet		944

16.1.15.40.2.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.40.2.2 RateStatus <RateSts>

Presence: [1..1]

Definition: Value expressed as a rate status.

Datatype: "RateStatus1Code" on page 1229

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.40.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for the gross dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus55** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			945
{Or	Code <Cd>	[1..1]	CodeSet		945
Or}	Proprietary <Prtry>	[1..1]	±		946
	Amount <Amt>	[1..1]	Amount	C2	946
	RateStatus <RateSts>	[0..1]		C52	946
{Or	Code <Cd>	[1..1]	CodeSet		947
Or}	Proprietary <Prtry>	[1..1]	±		947

16.1.15.40.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType76Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		945
Or}	Proprietary <Prtry>	[1..1]	±		946

16.1.15.40.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType6Code" on page 1210

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.

CodeName	Name	Definition
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.

16.1.15.40.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.40.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C2 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.40.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C52 "RateStatusGuideline"](#)

RateStatus <**RateSts**> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		947
Or}	Proprietary <Prtry>	[1..1]	±		947

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.15.40.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1229

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.40.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.40.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateType13Code" on page 1230

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

16.1.15.41 NotificationIdentification5

Definition: Provides information about the identification and the creation date of a notification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		948
	CreationDateTime <CreDtTm>	[0..1]	±		948

16.1.15.41.1 Identification <Id>

Presence: [1..1]

Definition: Unique identifier of the last notification document (message) assigned by the sender of the document.

Datatype: "Max35Text" on page 1246

16.1.15.41.2 CreationDateTime <CreDtTm>

Presence: [0..1]

Definition: Date and time at which the last notification document (message) was created by the sender.

CreationDateTime <CreDtTm> contains one of the following elements (see "DateAndDateTime2Choice" on page 845 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		845
Or}	DateTime <DtTm>	[1..1]	DateTime		845

16.1.15.42 GrossDividendRateFormat35Choice

Definition: Choice of format to express a gross dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	949
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			949
	Amount <Amt>	[1..1]	Amount	C2	949
	RateStatus <RateSts>	[1..1]	CodeSet		949
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			950
	RateType <RateTp>	[1..1]			950
{Or	Code <Cd>	[1..1]	CodeSet		950
Or}	Proprietary <Prtry>	[1..1]	±		951
	Amount <Amt>	[1..1]	Amount	C2	951
	RateStatus <RateSts>	[0..1]		C52	952
{Or	Code <Cd>	[1..1]	CodeSet		952
Or}	Proprietary <Prtry>	[1..1]	±		952

16.1.15.42.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1153**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.42.2 AmountAndRateStatus <AmtAndRateSts>*Presence:* [1..1]*Definition:* Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	949
	RateStatus <RateSts>	[1..1]	CodeSet		949

16.1.15.42.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1153**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.42.2.2 RateStatus <RateSts>*Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1229

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.

CodeName	Name	Definition
INDI	IndicativeRate	Rate is indicative.

16.1.15.42.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for the gross dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus55** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			950
{Or	Code <Cd>	[1..1]	CodeSet		950
Or}	Proprietary <Prtry>	[1..1]	±		951
	Amount <Amt>	[1..1]	Amount	C2	951
	RateStatus <RateSts>	[0..1]		C52	952
{Or	Code <Cd>	[1..1]	CodeSet		952
Or}	Proprietary <Prtry>	[1..1]	±		952

16.1.15.42.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType76Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		950
Or}	Proprietary <Prtry>	[1..1]	±		951

16.1.15.42.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType6Code" on page 1210

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.

CodeName	Name	Definition
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.

16.1.15.42.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.42.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C2 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyAnd13DecimalAmount"](#) on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.42.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: C52 "RateStatusGuideline"

RateStatus <RateSts> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		952
Or}	Proprietary <Prtry>	[1..1]	±		952

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.15.42.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1229

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.42.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.43 NetDividendRateFormat39Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	953
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			953
	Amount <Amt>	[1..1]	Amount	C2	954
	RateStatus <RateSts>	[1..1]	CodeSet		954
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			954
	RateType <RateTp>	[1..1]			955
{Or	Code <Cd>	[1..1]	CodeSet		955
Or}	Proprietary <Prtry>	[1..1]	±		956
	Amount <Amt>	[1..1]	Amount	C2	956
	RateStatus <RateSts>	[0..1]		C52	956
{Or	Code <Cd>	[1..1]	CodeSet		957
Or}	Proprietary <Prtry>	[1..1]	±		957
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		957

16.1.15.43.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.43.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	954
	RateStatus <RateSts>	[1..1]	CodeSet		954

16.1.15.43.2.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.43.2.2 RateStatus <RateSts>

Presence: [1..1]

Definition: Value expressed as a rate status.

Datatype: "RateStatus1Code" on page 1229

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.43.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for the net dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus58** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			955
{Or	Code <Cd>	[1..1]	CodeSet		955
Or}	Proprietary <Prtry>	[1..1]	±		956
	Amount <Amt>	[1..1]	Amount	C2	956
	RateStatus <RateSts>	[0..1]		C52	956
{Or	Code <Cd>	[1..1]	CodeSet		957
Or}	Proprietary <Prtry>	[1..1]	±		957

16.1.15.43.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType79Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		955
Or}	Proprietary <Prtry>	[1..1]	±		956

16.1.15.43.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType7Code" on page 1218

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.

CodeName	Name	Definition
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.

16.1.15.43.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.43.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C2 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.43.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: C52 "RateStatusGuideline"

RateStatus <RateSts> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		957
Or}	Proprietary <Prtry>	[1..1]	±		957

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.15.43.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1229

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.43.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.43.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1230

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.44 CorporateActionChangeTypeFormat6Choice

Definition: Choice between a standard code or a proprietary code to specify a type of change.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		958
Or}	Proprietary <Prtry>	[1..1]	±		958

16.1.15.44.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of changes.

Datatype: "CorporateActionChangeType2Code" on page 1166

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.

16.1.15.44.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of changes.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.45 TaxableIncomePerShareCalculatedFormat3Choice

Definition: Choice between a standard code or proprietary code to specify whether the taxable income per share or per dividend is calculated.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		959
Or}	Proprietary <Prtry>	[1..1]	±		959

16.1.15.45.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify whether the fund calculates the taxable income per dividend/ taxable income per share (TID/TIS).

Datatype: "[CorporateActionTaxableIncomePerShareCalculated1Code](#)" on page 1202

CodeName	Name	Definition
TDIY	Calculated	Fund is calculating the taxable income per dividend/taxable income per share.
TDIN	NotCalculated	Fund is not calculating the taxable income per dividend/taxable income per share.
UKWN	Unknown	Unknown whether the fund is calculating the taxable income per dividend/taxable income per share.

16.1.15.45.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification to specify whether the fund calculates the taxable income per dividend/taxable income per share (TID/TIS).

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.46 Statement72

Definition: General characteristics related to a statement which reports information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StatementType <StmtTp>	[1..1]	CodeSet		960
	ReportingType <RptgTp>	[1..1]	CodeSet		960
	StatementIdentification <StmtId>	[1..1]	Text		961
	InstructionAggregationPeriod <InstrAggtnPrd>	[0..1]	±		961
	ReportNumber <RptNb>	[0..1]	Text		961
	StatementDateTime <StmtDtTm>	[1..1]	±		961
	Frequency <Frqcy>	[1..1]	±		961
	UpdateType <UpdTp>	[1..1]	±		962
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		962
	NotificationDeadlinePeriod <NtfctnDdlPrd>	[0..1]	±		962

16.1.15.46.1 StatementType <StmtTp>

Presence: [1..1]

Definition: Indicates whether the statement is a balance only notification or if it includes missing instructions only or all instructions.

Datatype: "CorporateActionStatementType2Code" on page 1202

CodeName	Name	Definition
MISS	Missing	Statement contains missing instructions.
ALLL	All	Statement contains all instructions.
BALO	BalanceOnlyNotification	Balance information with no instruction details provided.
BALI	BalanceInformation	Balance information with instruction details.

16.1.15.46.2 ReportingType <RptgTp>

Presence: [1..1]

Definition: Indicates whether the statement report on account holdings for corporate action events is for single account/multiple events or multiple accounts/single event.

Datatype: "CorporateActionStatementReportingType1Code" on page 1202

CodeName	Name	Definition
MASE	MultipleAccounts	The reporting is for a single event and for multiple accounts.
SAME	MultipleEvents	The reporting is for multiple events for a single account.

16.1.15.46.3 StatementIdentification <Stmtd>*Presence:* [1..1]*Definition:* Reference of the statement.*Datatype:* "Max35Text" on page 1246**16.1.15.46.4 InstructionAggregationPeriod <InstrAggtnPrd>***Presence:* [0..1]*Definition:* Indicates the period of instruction details within the statement.**InstructionAggregationPeriod <InstrAggtnPrd>** contains the following elements (see "[DatePeriod2](#)" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		839
	ToDate <ToDt>	[1..1]	Date		839

16.1.15.46.5 ReportNumber <RptNb>*Presence:* [0..1]*Definition:* Sequential number of the statement.*Datatype:* "Max5NumericText" on page 1246**16.1.15.46.6 StatementDateTime <StmtdtTm>***Presence:* [1..1]*Definition:* Date of the statement.**StatementDateTime <StmtdtTm>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 845 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		845
Or}	DateTime <DtTm>	[1..1]	DateTime		845

16.1.15.46.7 Frequency <Frqcy>*Presence:* [1..1]*Definition:* Frequency of the statement.**Frequency <Frqcy>** contains one of the following elements (see "[Frequency25Choice](#)" on page 868 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		868
Or}	Proprietary <Prtry>	[1..1]	±		869

16.1.15.46.8 UpdateType <UpdTp>*Presence:* [1..1]*Definition:* Indicates whether the report is complete or contains changes only.**UpdateType <UpdTp>** contains one of the following elements (see ["UpdateType15Choice"](#) on page 977 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		977
Or}	Proprietary <Prtry>	[1..1]	±		977

16.1.15.46.9 ActivityIndicator <ActvtyInd>*Presence:* [1..1]*Definition:* Indicates whether there is activity or information update reported in the statement.*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

16.1.15.46.10 NotificationDeadlinePeriod <NtfctnDdlnPrd>*Presence:* [0..1]*Definition:* Period during which identification deadline has been set.**NotificationDeadlinePeriod <NtfctnDdlnPrd>** contains one of the following elements (see ["DateOrDateTimePeriod1Choice"](#) on page 850 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		851
Or}	DateTime <DtTm>	[1..1]	±		851

16.1.15.47 CorporateActionEventStageFormat14Choice*Definition:* Choice between a standard code or proprietary code to specify the event stage type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		962
Or}	Proprietary <Prtry>	[1..1]	±		963

16.1.15.47.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the stage of the corporate action event.*Datatype:* ["CorporateActionEventStage4Code"](#) on page 1167

CodeName	Name	Definition
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.

16.1.15.47.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the stage of the corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.48 ProcessingPosition7Choice

Definition: Choice of format for the processing position.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		963
Or}	Proprietary <Prtry>	[1..1]	±		964

Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

16.1.15.48.1 Code <Cd>

Presence: [1..1]

Definition: Processing position expressed as an ISO 20022 code.

Datatype: "[ProcessingPosition3Code](#)" on page 1227

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.

16.1.15.48.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Processing position expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.49 OptionNumber1Choice

Definition: Choice between an number and an option number in a code format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		964
Or}	Code <Cd>	[1..1]	CodeSet		964

16.1.15.49.1 Number <Nb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "[Exact3NumericText](#)" on page 1243

16.1.15.49.2 Code <Cd>

Presence: [1..1]

Definition: Code identifying special corporate action option numbers.

Datatype: "[OptionNumber1Code](#)" on page 1223

CodeName	Name	Definition
UNSO	Unsolicited	The corporate action instruction is unsolicited, that is, the instruction has not been preceded by a corporate action notification.

16.1.15.50 RateFormat12Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		965
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		965

16.1.15.50.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "BaseOne14Rate" on page 1242

16.1.15.50.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateType5Code" on page 1230

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.51 CorporateActionEventProcessingType2Choice

Definition: Choice between a standard code or a proprietary code for specifying the processing type of a corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		965
Or}	Proprietary <Prtry>	[1..1]	±		966

16.1.15.51.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the processing type of a corporate action event.

Datatype: "CorporateActionEventProcessingType1Code" on page 1166

CodeName	Name	Definition
GENL	General	No debit or credit of resources.

CodeName	Name	Definition
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

16.1.15.51.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the processing type of a corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.52 AdditionalBusinessProcessFormat11Choice

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process, that is, a tax refund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		966
Or}	Proprietary <Prtry>	[1..1]	±		967

16.1.15.52.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the additional business process "tax refund" linked to a corporate action event.

Datatype: "[AdditionalBusinessProcess7Code](#)" on page 1158

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).

CodeName	Name	Definition
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.

16.1.15.52.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the additional business process "tax refund" linked to a corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.53 FractionDispositionType28Choice

Definition: Choice between a standard code or a proprietary code to specify the type of fraction disposition.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		968
Or}	Proprietary <Prtry>	[1..1]	±		968

16.1.15.53.1 Code <Cd>

Presence: [1..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: "FractionDispositionType10Code" on page 1209

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
EXPI	Expire	Let fractions expire worthless.
DIST	IssueFraction	Take distribution of fractions in the form of securities.

16.1.15.53.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.54 CorporateActionMandatoryVoluntary3Choice

Definition: Choice between a standard code or a proprietary code to indicate if a corporate action event is mandatory or not.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		969
Or}	Proprietary <Prtry>	[1..1]	±		969

16.1.15.54.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify whether the event is mandatory, mandatory with options or voluntary.

Datatype: "CorporateActionMandatoryVoluntary1Code" on page 1193

CodeName	Name	Definition
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

16.1.15.54.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of an event.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.55 InterestComputationMethodFormat4Choice

Definition: Choice between a standard code or proprietary code to specify the type of interest computation method.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		970
Or}	Proprietary <Prtry>	[1..1]	±		973

16.1.15.55.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the method used to compute accruing interest of a financial instrument.

Datatype: "InterestComputationMethod2Code" on page 1212

CodeName	Name	Definition
A001	IC30360ISDAor30360AmericanBasicRule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February, and provided that the interest period started on a 30th or a 31st. This means that a 31st is assumed to be a 30th if the period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). This is the most commonly used 30/360 method for US straight and convertible bonds.
A002	IC30365	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th).
A003	IC30Actual	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year.
A004	Actual360	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 360-day year.

CodeName	Name	Definition
A005	Actual365Fixed	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year.
A006	ActualActualICMA	Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular (first or last coupon), it is extended or split into quasi-interest periods that have the length of a regular coupon period and the computation is operated separately on each quasi-interest period and the intermediate results are summed up.
A007	IC30E360orEuroBondBasismodel1	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. However, if the last day of the maturity coupon period is the last day of February, it will not be assumed to be the 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the coupon periods are scheduled to end on the last day of the month.
A008	ActualActualISDA	Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall (falling on a normal year, year) divided by 365, added to the actual number of days of the interest period that fall (falling on a leap year, year) divided by 366.
A009	Actual365LorActuActubasisRule	Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year).
A010	ActualActualAFB	Method whereby interest is calculated based on the actual number of accrued days and a 366-day year (if 29 Feb falls in the coupon period) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year subperiods counting backwards from the end of the coupon period (a year backwards from 28 Feb being 29 Feb, if it exists). The first of the

CodeName	Name	Definition
		subperiods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each subperiod and the intermediate results are summed up.
A011	IC30360ICMAor30360basicrule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). It is the most commonly used 30/360 method for non-US straight and convertible bonds issued before 1 January 1999.
A012	IC30E2360orEurobondbasismodel2	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for the last day of February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is higher and if the period is one of a regular schedule. This means that the 31st is assumed to be the 30th and 28 Feb of a non-leap year is assumed to be equivalent to 29 Feb when the first day of the interest period is the 29th, or to 30 Feb when the first day of the interest period is the 30th or the 31st. The 29th day of February in a leap year is assumed to be equivalent to 30 Feb when the first day of the interest period is the 30th or the 31st. Similarly, if the coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on 30 Feb when the end of the period is the 30th or the 31st, or two days of interest in February when the end of the period is the 29th, or three days of interest in February when it is 28 Feb of a non-leap year and the end of the period is before the 29th.
A013	IC30E3360orEurobondbasismodel3	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always

CodeName	Name	Definition
		assumed to be the 30th, even if it is the last day of the maturity coupon period.
A014	Actual365NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 365-day year.
NARR	Narrative	Other method than A001-A020. See Narrative.

16.1.15.55.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the format of interest computation method.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.56 LotteryTypeFormat4Choice

Definition: Choice between a standard code or proprietary code to specify the type of lottery.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		973
Or}	Proprietary <Prtry>	[1..1]	±		973

16.1.15.56.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of lottery announced.

Datatype: "[LotteryType1Code](#)" on page 1216

CodeName	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

16.1.15.56.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of lottery announced.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.57 IntermediateSecuritiesDistributionTypeFormat15Choice

Definition: Choice between a standard code or proprietary code to specify the type of intermediate securities distribution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		974
Or}	Proprietary <Prtry>	[1..1]	±		975

16.1.15.57.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of intermediate security distribution.

Datatype: "IntermediateSecurityDistributionType5Code" on page 1215

CodeName	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
EXRI	SubscriptionRights	Distribution of subscription rights.
PRIO	OpenOfferRights	Distribution of open offer rights.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.
SPLF	StockSplit	Also known as change in nominal value or subdivision.

CodeName	Name	Definition
		Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
BONU	BonusRights	Distribution of bonus rights.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.

16.1.15.57.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of intermediate security distribution.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.58 AdditionalBusinessProcessFormat18Choice

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process, that is, a tax refund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		975
Or}	Proprietary <Prtry>	[1..1]	±		976

16.1.15.58.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the additional business process "tax refund" linked to a corporate action event.

Datatype: "AdditionalBusinessProcess10Code" on page 1156

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
REVR	NotificationOfReversal	Indicates a preadvice of movement reversal.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.

16.1.15.58.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the additional business process "tax refund" linked to a corporate action event.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.59 UpdateType15Choice

Definition: Choice of format for the update information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		977
Or}	Proprietary <Prtry>	[1..1]	±		977

16.1.15.59.1 Code <Cd>

Presence: [1..1]

Definition: Indicates whether the report is complete or contains changes only.

Datatype: "StatementUpdateType1Code" on page 1237

CodeName	Name	Definition
COMP	Complete	Statement is complete.
DELT	Delta	Statement contains changes only.

16.1.15.59.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Indicates whether the report is complete or contains changes only.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.60 TaxVoucher4

Definition: Specifies tax vouchers in the framework of a corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		977
	BargainDate <BrgnDt>	[0..1]	±		978
	BargainSettlementDate <BrgnSttlmDt>	[0..1]	±		978

16.1.15.60.1 Identification <Id>

Presence: [1..1]

Definition: Unique reference for the tax voucher required by the relevant tax authorities to ensure that any claim relating to this particular tax voucher cannot be duplicated.

Datatype: "Max35Text" on page 1246

16.1.15.60.2 BargainDate <BrgnDt>*Presence:* [0..1]*Definition:* Date on which a dividend reinvestment purchase was completed. If there is only one bargain involved, the time it was struck needs to be included.**BargainDate <BrgnDt>** contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 845 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		845
Or}	DateTime <DtTm>	[1..1]	DateTime		845

16.1.15.60.3 BargainSettlementDate <BrgnSttImDt>*Presence:* [0..1]*Definition:* Settlement date of the dividend reinvestment purchase transaction.**BargainSettlementDate <BrgnSttImDt>** contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 845 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		845
Or}	DateTime <DtTm>	[1..1]	DateTime		845

16.1.15.61 BeneficiaryCertificationType10Choice*Definition:* Choice between a standard code or proprietary code to specify the type of beneficiary certification required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		978
Or}	Proprietary <Prtry>	[1..1]	±		979

16.1.15.61.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of certification required.*Datatype:* ["BeneficiaryCertificationType5Code"](#) on page 1162

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.

CodeName	Name	Definition
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.

16.1.15.61.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of certification required.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.62 CorporateActionNarrative3Choice

Definition: Choice between a standard code or a proprietary code to specify the narrative type of corporate action.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		979
Or}	Proprietary <Prtry>	[1..1]	±		980

16.1.15.62.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the narrative type of the message.

Datatype: "[CorporateActionNarrative1Code](#)" on page 1193

CodeName	Name	Definition
TAXE	TaxationConditions	Provides taxation conditions that cannot be included within the structured fields of this message.
REGI	RegistrationDetails	Provides information required for the registration.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
RFMC	ICSDRefusalOfMarkUpDownConfirmation	In the context of a corporate action, an International Central Securities Depository (ICSD) refuses a mark-up/ mark-down confirmation sent by the account servicer.

CodeName	Name	Definition
PAUT	ChasingOfPriorAuthorization	International Central Securities Depository (ICSD) chases the authorisation from the account servicer in order to process the exchange from global temporary to global permanent.
CTIN	ConfirmationOfHoldingTransfer	Confirmation of transfer of interests between International Central Securities Depositories (ICSDs) following a corporate actions.

16.1.15.62.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the narrative type of the message.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.63 CertificationTypeFormat3Choice

Definition: Choice between a standard code or proprietary code to specify the certification format required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		980
Or}	Proprietary <Prtry>	[1..1]	±		980

16.1.15.63.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the certification format required, that is, physical or electronic format.

Datatype: "[CertificationFormatType1Code](#)" on page 1164

CodeName	Name	Definition
ELEC	Electronic	Certification must be provided in electronic format.
PHYS	Physical	Certification must be provided in physical format.

16.1.15.63.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the certification format.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.64 PendingStatus74Choice

Definition: Choice between a reason or no reason for the corporate action event processing pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		981
Or}	Reason <Rsn>	[1..*]	±	C1	981

16.1.15.64.1 NoSpecifiedReason <NoSpctdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1220

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.15.64.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the pending status.

Impacted by: C1 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following elements (see "[PendingStatusReason29](#)" on page 1148 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]	±		1149
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1149

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.15.65 CorporateActionEventType112Choice

Definition: Choice between formats to express the corporate event type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		982
Or}	Proprietary <Prtry>	[1..1]	±		988

Constraints

- **EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

16.1.15.65.1 Code <Cd>

Presence: [1..1]

Definition: Event types expressed as a code.

Datatype: "CorporateActionEventType40Code" on page 1186

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.

CodeName	Name	Definition
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHD). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company.

CodeName	Name	Definition
		As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is

CodeName	Name	Definition
		distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.

CodeName	Name	Definition
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.

CodeName	Name	Definition
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.

CodeName	Name	Definition
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.

16.1.15.65.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event types expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.66 SafekeepingPlaceFormat41Choice

Definition: Choice between formats for the place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			989
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		989
	Identification <Id>	[0..1]	Text		989
Or	Country <Ctry>	[1..1]	CodeSet	C3	989
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		990
Or	TypeAndIdentification <TpAndId>	[1..1]			990
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		990
	Identification <Id>	[1..1]	IdentifierSet	C1	990
Or}	Proprietary <Prtry>	[1..1]	±		991

16.1.15.66.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following **SafekeepingPlaceTypeAndText8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		989
	Identification <Id>	[0..1]	Text		989

16.1.15.66.1.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace3Code" on page 1236

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

16.1.15.66.1.2 Identification <Id>

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "Max35Text" on page 1246

16.1.15.66.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1203

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.15.66.3 DigitalLedgerIdentification <DgtlLdgrId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: "DTI2024Identifier" on page 1240

16.1.15.66.4 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		990
	Identification <Id>	[1..1]	IdentifierSet	C1	990

16.1.15.66.4.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 1236

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

16.1.15.66.4.2 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1239

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.15.66.5 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "GenericIdentification78" on page 875 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		875
	Identification <Id>	[0..1]	Text		875

16.1.15.67 DefaultProcessingOrStandingInstruction2Choice

Definition: Choice between default processing or standing instruction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DefaultOptionIndicator <DfltOptnInd>	[1..1]	Indicator		991
Or}	StandingInstructionIndicator <StgInstrInd>	[1..1]	Indicator		991

16.1.15.67.1 DefaultOptionIndicator <DfltOptnInd>

Presence: [1..1]

Definition: Indicates whether the option, for example, currency option, will be selected by default if no instruction is provided by the account owner.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

16.1.15.67.2 StandingInstructionIndicator <StgInstrInd>

Presence: [1..1]

Definition: Indicates whether an account owner has placed a standing order to select this corporate action option.

The standing instruction may or may not be overridden, depending on account or event terms.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1241):

- *Meaning When True:* Yes
- *Meaning When False:* No

16.1.15.68 OfferTypeFormat14Choice

Definition: Choice between a standard code or proprietary code to specify the type of offer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		992
Or}	Proprietary <Prtry>	[1..1]	±		992

16.1.15.68.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the conditions that apply to the offer.

Datatype: "OfferType5Code" on page 1220

CodeName	Name	Definition
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.
NDIS	DissenterRightsNotApplicable	Dissenter's rights are not applicable for this option of the offer.
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.
ACPR	AcceptancePriority	Event will be paid in a particular priority stated in the offering documentation. Higher tiers of securities will be paid ahead of lower tiers of securities.

16.1.15.68.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the conditions that apply to the offer.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.69 BeneficiaryCertificationType13Choice

Definition: Choice between a standard code or proprietary code to specify the type of beneficiary certification required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		993
Or}	Proprietary <Prtry>	[1..1]	±		994

16.1.15.69.1 Code <Cd>

Presence: [1..1]

Definition: Beneficial owner certification type expressed in a coded form.

Datatype: "[BeneficiaryCertificationType6Code](#)" on page 1162

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
NDOM	NonDomicileCountry	The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
NCOM	NonCompany	The holder of the security has to certify he is a Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.

CodeName	Name	Definition
PABD	ICSDParticipantBreakdown	The (I)CSD has to provide its participants' details for disclosure purpose to the issuer/issuer's agent.
FRAC	FractionBreakdown	Indicates that the rounding rule in the Fraction Disposition component at the option level can be offered at the beneficial owner level.
PAPW	BeneficialOwnerPaperwork	Indicates if the Beneficial Owner is responsible to fill in the paperwork.
DIOR	IntermediaryDocumentationRequired	Documentation required on or prior to the documentation deadline date.

16.1.15.69.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Beneficial owner certification type expressed in a proprietary form.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.70 CorporateActionEventType109Choice

Definition: Choice between formats to express the corporate event type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		995
Or}	Proprietary <Prtry>	[1..1]	±		1001

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

16.1.15.70.1 Code <Cd>

Presence: [1..1]

Definition: Event type expressed as a code.

Datatype: "CorporateActionEventType37Code" on page 1180

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is

CodeName	Name	Definition
		commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.

CodeName	Name	Definition
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.

CodeName	Name	Definition
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example,

CodeName	Name	Definition
		merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRI0	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.

CodeName	Name	Definition
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that

CodeName	Name	Definition
		accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
MTNG	SecuritiesHoldersMeeting	Ordinary or annual or extraordinary or special general meeting.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.

16.1.15.70.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.71 CorporateActionEventType108Choice

Definition: Choice between formats to express the corporate event type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1002
Or}	Proprietary <Prtry>	[1..1]	±		1008

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

16.1.15.71.1 Code <Cd>

Presence: [1..1]

Definition: Event type expressed as a code.

Datatype: "CorporateActionEventType36Code" on page 1174

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is

CodeName	Name	Definition
		commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.

CodeName	Name	Definition
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.

CodeName	Name	Definition
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example,

CodeName	Name	Definition
		merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRI0	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.

CodeName	Name	Definition
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that

CodeName	Name	Definition
		accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.

16.1.15.71.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.72 CorporateActionEventType107Choice

Definition: Choice between formats to express the corporate event type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1009
Or}	Proprietary <Prtry>	[1..1]	±		1015

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

16.1.15.72.1 Code <Cd>

Presence: [1..1]

Definition: Event type expressed as a code.

Datatype: "CorporateActionEventType35Code" on page 1167

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is

CodeName	Name	Definition
		commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.

CodeName	Name	Definition
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.

CodeName	Name	Definition
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example,

CodeName	Name	Definition
		merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRI0	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.

CodeName	Name	Definition
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that

CodeName	Name	Definition
		accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.

16.1.15.72.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.73 AdditionalBusinessProcessFormat23Choice

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process, that is, a tax refund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1015
Or}	Proprietary <Prtry>	[1..1]	±		1016

16.1.15.73.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the additional business process "tax refund" linked to a corporate action event.

Datatype: "[AdditionalBusinessProcess12Code](#)" on page 1157

CodeName	Name	Definition
CLFT	FullTransferOfReceivedProceeds	Market Claim is to transfer proceeds received from the issuer to the entitled party.
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
CLNT	PartialTransferOfReceivedProceeds	Market Claim is to transfer proceeds partially received from the issuer to the entitled party. Part is compensated in cash by the party that failed to fulfil its obligation.
CLPT	NoTransferOfReceivedProceeds	Market Claim is to compensate the entitled party in cash by the party that failed to fulfil its obligation. No proceeds have been received from the issuer.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.

16.1.15.73.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the additional business process "tax refund" linked to a corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.74 ForeignExchangeTerms40

Definition: Provides information about the terms of the foreign exchange transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1017
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1017
	ExchangeRate <XchgRate>	[1..1]	Rate		1018
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C2, C11	1018

16.1.15.74.1 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1155

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.74.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1155

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.74.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOne14Rate" on page 1242

16.1.15.74.4 ResultingAmount <RsltgAmt>

Presence: [1..1]

Definition: Counter value of a foreign exchange conversion.

Impacted by: C2 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1154

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

16.1.15.75 SolicitationFeeRateFormat11Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1019
Or	AmountToQuantity <AmtToQty>	[1..1]			1019
	Amount <Amt>	[1..1]	Amount	C2	1019
	Quantity <Qty>	[1..1]	Quantity		1019
Or	Amount <Amt>	[1..1]	Amount	C2	1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

16.1.15.75.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1242

16.1.15.75.2 AmountToQuantity <AmtToQty>

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

AmountToQuantity <AmtToQty> contains the following **AmountAndQuantityRatio4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	1019
	Quantity <Qty>	[1..1]	Quantity		1019

16.1.15.75.2.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.75.2.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "DecimalNumber" on page 1241

16.1.15.75.3 Amount <Amt>*Presence:* [1..1]*Definition:* Cash amount.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1153**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.75.4 NotSpecifiedRate <NotSpcfdRate>*Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateValueType7Code" on page 1230

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.76 RateAndAmountFormat60Choice*Definition:* Choice of format between a rate or a rate type and rate or an amount or a unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1021
Or	Amount <Amt>	[1..1]	Amount	C2	1021
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1021
	RateType <RateTp>	[1..1]			1021
{Or	Code <Cd>	[1..1]	CodeSet		1022
Or}	Proprietary <Prtry>	[1..1]	±		1022
	Rate <Rate>	[1..1]	Rate		1022

16.1.15.76.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "Percentage14Rate" on page 1242

16.1.15.76.2 NotSpecifiedRate <NotSpcfdRate>*Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateValueType7Code" on page 1230

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.76.3 Amount <Amt>*Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1153**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.76.4 RateTypeAndRate <RateTpAndRate>*Presence:* [1..1]*Definition:* Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1021
{Or	Code <Cd>	[1..1]	CodeSet		1022
Or}	Proprietary <Prtry>	[1..1]	±		1022
	Rate <Rate>	[1..1]	Rate		1022

16.1.15.76.4.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType42Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1022
Or}	Proprietary <Prtry>	[1..1]	±		1022

16.1.15.76.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1238

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

16.1.15.76.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.76.4.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1242

16.1.15.77 InterestRateUsedForPaymentFormat11Choice

Definition: Choice between an amount or a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1023
Or	Amount <Amt>	[1..1]	Amount	C2	1023
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1023
	RateType <RateTp>	[1..1]			1024
{Or	Code <Cd>	[1..1]	CodeSet		1024
Or}	Proprietary <Prtry>	[1..1]	±		1024
	Amount <Amt>	[1..1]	Amount	C2	1025
	RateStatus <RateSts>	[0..1]		C52	1025
{Or	Code <Cd>	[1..1]	CodeSet		1025
Or}	Proprietary <Prtry>	[1..1]	±		1026
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1026

16.1.15.77.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1242

16.1.15.77.2 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.77.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for interest rate used for payment.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus24** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1024
{Or	Code <Cd>	[1..1]	CodeSet		1024
Or}	Proprietary <Prtry>	[1..1]	±		1024
	Amount <Amt>	[1..1]	Amount	C2	1025
	RateStatus <RateSts>	[0..1]		C52	1025
{Or	Code <Cd>	[1..1]	CodeSet		1025
Or}	Proprietary <Prtry>	[1..1]	±		1026

16.1.15.77.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType33Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1024
Or}	Proprietary <Prtry>	[1..1]	±		1024

16.1.15.77.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of rate.

Datatype: "RateType7Code" on page 1230

CodeName	Name	Definition
SCHD	Scheduled	Rate of the scheduled payment.
USCD	Unscheduled	Rate of the unscheduled payment.

16.1.15.77.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.77.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C2 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.77.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C52 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1025
Or}	Proprietary <Prtry>	[1..1]	±		1026

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.15.77.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "[RateStatus1Code](#)" on page 1229

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.

CodeName	Name	Definition
INDI	IndicativeRate	Rate is indicative.

16.1.15.77.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.15.77.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "[RateType13Code](#)" on page 1230

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

16.1.16 Organisation Identification

16.1.16.1 OrganisationIdentification29

Definition: Unique and unambiguous way to identify an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	1027
	LEI <LEI>	[0..1]	IdentifierSet		1027
	Other <Othr>	[0..*]			1027
	Identification <Id>	[1..1]	Text		1027
	SchemeName <SchmeNm>	[0..1]			1027
{Or	Code <Cd>	[1..1]	CodeSet		1028
Or}	Proprietary <Prtry>	[1..1]	Text		1028
	Issuer <Issr>	[0..1]	Text		1028

16.1.16.1.1 AnyBIC <AnyBIC>*Presence:* [0..1]*Definition:* Business identification code of the organisation.*Impacted by:* C1 "AnyBIC"*Datatype:* "AnyBICDec2014Identifier" on page 1239**Constraints**

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.16.1.2 LEI <LEI>*Presence:* [0..1]*Definition:* Legal entity identification as an alternate identification for a party.*Datatype:* "LEIIdentifier" on page 1241**16.1.16.1.3 Other <Othr>***Presence:* [0..*]*Definition:* Unique identification of an organisation, as assigned by an institution, using an identification scheme.**Other <Othr>** contains the following **GenericOrganisationIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1027
	SchemeName <SchmeNm>	[0..1]			1027
{Or	Code <Cd>	[1..1]	CodeSet		1028
Or}	Proprietary <Prtry>	[1..1]	Text		1028
	Issuer <Issr>	[0..1]	Text		1028

16.1.16.1.3.1 Identification <Id>*Presence:* [1..1]*Definition:* Identification assigned by an institution.*Datatype:* "Max35Text" on page 1246**16.1.16.1.3.2 SchemeName <SchmeNm>***Presence:* [0..1]*Definition:* Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **OrganisationIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1028
Or}	Proprietary <Prtry>	[1..1]	Text		1028

16.1.16.1.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalOrganisationIdentification1Code" on page 1208

16.1.16.1.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 1246

16.1.16.1.3.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 1246

16.1.17 Party Identification

16.1.17.1 CashParties43

Definition: Specifies cash parties in the framework of a corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Creditor <Cdtr>	[0..1]		C5	1029
	Identification <Id>	[1..1]	±		1029
	CashAccount <CshAcct>	[0..1]	±		1029
	ProcessingIdentification <PrctlId>	[0..1]	Text		1030
	AlternateIdentification <AltrnId>	[0..1]	±		1030
	CreditorAgent <CdtrAgt>	[0..1]	±	C6	1030
	MarketClaimCounterparty <MktClmCtrPty>	[0..1]		C5	1030
	Identification <Id>	[1..1]	±		1031
	CashAccount <CshAcct>	[0..1]	±		1031
	ProcessingIdentification <PrctlId>	[0..1]	Text		1032
	AlternateIdentification <AltrnId>	[0..1]	±		1032

16.1.17.1.1 Creditor <Cdtr>*Presence:* [0..1]*Definition:* Party to which an amount of money is due.*Impacted by:* C5 "AdditionalInformationRule"**Creditor <Cdtr>** contains the following **PartyIdentificationAndAccount225** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1029
	CashAccount <CshAcct>	[0..1]	±		1029
	ProcessingIdentification <Prcgld>	[0..1]	Text		1030
	AlternateIdentification <Altrnld>	[0..1]	±		1030

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

16.1.17.1.1.1 Identification <Id>*Presence:* [1..1]*Definition:* Identification of a party.**Identification <Id>** contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <Prtryld>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

16.1.17.1.1.2 CashAccount <CshAcct>*Presence:* [0..1]*Definition:* Account in which cash is maintained.**CashAccount <CshAcct>** contains one of the following elements (see "CashAccountIdentification9Choice" on page 826 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	826
Or	BlockChainCashWallet <BlckChainCshWllt>	[1..1]	±		826
Or}	Proprietary <Prtry>	[1..1]	Text		827

16.1.17.1.1.3 ProcessingIdentification <Prcgld>*Presence:* [0..1]*Definition:* Reference meaningful to the party identified.*Datatype:* "Max35Text" on page 1246**16.1.17.1.1.4 AlternateldIdentification <Altrnld>***Presence:* [0..1]*Definition:* Alternate identification for a party.**AlternateldIdentification <Altrnld>** contains the following elements (see "AlternatePartyIdentification7" on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		1043
	Country <Ctry>	[1..1]	CodeSet	C3	1043
	AlternateldIdentification <Altrnld>	[1..1]	Text		1043

16.1.17.1.2 CreditorAgent <CdtrAgt>*Presence:* [0..1]*Definition:* Financial institution servicing an account for the creditor.*Impacted by:* C6 "AdditionalInformationRule"**CreditorAgent <CdtrAgt>** contains the following elements (see "PartyIdentificationAndAccount226" on page 1044 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1044
{Or	BICFI <BICFI>	[1..1]	IdentifierSet	C2	1044
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1045
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1045
	CashAccount <CshAcct>	[0..1]	±		1045
	ProcessingIdentification <Prcgld>	[0..1]	Text		1045
	AlternateldIdentification <Altrnld>	[0..1]	±		1046

Constraints

- AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

16.1.17.1.3 MarketClaimCounterparty <MktCImCtrPty>*Presence:* [0..1]

Definition: Party that has reimbursed the account owner with funds to which they were legally entitled.

Impacted by: C5 "AdditionalInformationRule"

MarketClaimCounterparty <MktCImCtrPty> contains the following **PartyIdentificationAndAccount225** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1031
	CashAccount <CshAcct>	[0..1]	±		1031
	ProcessingIdentification <PrcgId>	[0..1]	Text		1032
	AlternateIdentification <AltrnId>	[0..1]	±		1032

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

16.1.17.1.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following elements (see "PartyIdentification120Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

16.1.17.1.3.2 CashAccount <CshAcct>

Presence: [0..1]

Definition: Account in which cash is maintained.

CashAccount <CshAcct> contains one of the following elements (see "CashAccountIdentification9Choice" on page 826 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	826
Or	BlockchainCashWallet <BlckChainCshWlt>	[1..1]	±		826
Or}	Proprietary <Prtry>	[1..1]	Text		827

16.1.17.1.3.3 ProcessingIdentification <PrcgId>*Presence:* [0..1]*Definition:* Reference meaningful to the party identified.*Datatype:* "Max35Text" on page 1246**16.1.17.1.3.4 AlternatIdentification <AltrnId>***Presence:* [0..1]*Definition:* Alternate identification for a party.**AlternatIdentification <AltrnId>** contains the following elements (see "[AlternatePartyIdentification7](#)" on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		1043
	Country <Ctry>	[1..1]	CodeSet	C3	1043
	AlternatIdentification <AltrnId>	[1..1]	Text		1043

16.1.17.2 PartyIdentification127Choice*Definition:* Choice of identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1032
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1032

16.1.17.2.1 AnyBIC <AnyBIC>*Presence:* [1..1]*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".*Impacted by:* C1 "AnyBIC"*Datatype:* "AnyBICDec2014Identifier" on page 1239**Constraints**

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.17.2.2 ProprietaryIdentification <PrtryId>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification36](#)" on page 872 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		872
	Issuer <Issr>	[1..1]	Text		872
	SchemeName <SchmeNm>	[0..1]	Text		873

16.1.17.3 PartyIdentificationAndAccount204

Definition: Provides information about identification and account of the party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1034
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1034
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		1034
	ProcessingIdentification <PrctlId>	[0..1]	Text		1034
	AlternateIdentification <AltrnId>	[0..*]	±		1034

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

16.1.17.3.1 Identification <Id>*Presence:* [1..1]*Definition:* Identification of a party.**Identification <Id>** contains one of the following elements (see "[PartyIdentification120Choice](#)" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

16.1.17.3.2 SafekeepingAccount <SfkpgAcct>*Presence:* [0..1]*Definition:* Account where financial instruments are maintained.*Datatype:* "[Max35Text](#)" on page 1246**16.1.17.3.3 BlockChainAddressOrWallet <BlckChainAdrOrWllet>***Presence:* [0..1]*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.*Datatype:* "[Max140Text](#)" on page 1244**16.1.17.3.4 ProcessingIdentification <Prcgld>***Presence:* [0..1]*Definition:* Reference meaningful to the party identified.*Datatype:* "[Max35Text](#)" on page 1246**16.1.17.3.5 AlternateIdentification <Altrnld>***Presence:* [0..*]*Definition:* Alternate identification for a party.**AlternateIdentification <Altrnld>** contains the following elements (see "[AlternatePartyIdentification7](#)" on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		1043
	Country <Ctry>	[1..1]	CodeSet	C3	1043
	AlternateIdentification <Altrnld>	[1..1]	Text		1043

16.1.17.4 PartyIdentification135*Definition:* Specifies the identification of a person or an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1035
	PostalAddress <PstlAdr>	[0..1]	±		1035
	Identification <Id>	[0..1]	±		1036
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	1036
	ContactDetails <CtctDtls>	[0..1]	±		1037

16.1.17.4.1 Name <Nm>

Presence: [0..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max140Text" on page 1244

16.1.17.4.2 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstIAdr> contains the following elements (see "[PostalAddress24](#)" on page 1054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			1054
{Or	Code <Cd>	[1..1]	CodeSet		1055
Or}	Proprietary <Prtry>	[1..1]	±		1055
	Department <Dept>	[0..1]	Text		1055
	SubDepartment <SubDept>	[0..1]	Text		1055
	StreetName <StrtNm>	[0..1]	Text		1056
	BuildingNumber <BldgNb>	[0..1]	Text		1056
	BuildingName <BldgNm>	[0..1]	Text		1056
	Floor <Flr>	[0..1]	Text		1056
	PostBox <PstBx>	[0..1]	Text		1056
	Room <Room>	[0..1]	Text		1056
	PostCode <PstCd>	[0..1]	Text		1056
	TownName <TwnNm>	[0..1]	Text		1056
	TownLocationName <TwnLctnNm>	[0..1]	Text		1057
	DistrictName <DstrctNm>	[0..1]	Text		1057
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		1057
	Country <Ctry>	[0..1]	CodeSet	C3	1057
	AddressLine <AdrLine>	[0..7]	Text		1057

16.1.17.4.3 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of a party.

Identification <Id> contains one of the following elements (see "[Party38Choice](#)" on page 1039 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		1039
Or}	PrivateIdentification <PrvtId>	[1..1]	±		1039

16.1.17.4.4 CountryOfResidence <CtryOfRes>

Presence: [0..1]

Definition: Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1203

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.17.4.5 ContactDetails <CtctDtls>

Presence: [0..1]

Definition: Set of elements used to indicate how to contact the party.

ContactDetails <CtctDtls> contains the following elements (see "Contact4" on page 1048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		1049
	Name <Nm>	[0..1]	Text		1049
	PhoneNumber <PhneNb>	[0..1]	Text		1049
	MobileNumber <MobNb>	[0..1]	Text		1050
	FaxNumber <FaxNb>	[0..1]	Text		1050
	EmailAddress <EmailAdr>	[0..1]	Text		1050
	EmailPurpose <EmailPurp>	[0..1]	Text		1050
	JobTitle <JobTitl>	[0..1]	Text		1050
	Responsibility <Rspnsblty>	[0..1]	Text		1050
	Department <Dept>	[0..1]	Text		1050
	Other <Othr>	[0..*]			1050
	ChannelType <ChanlTp>	[1..1]	Text		1051
	Identification <Id>	[0..1]	Text		1051
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		1051

16.1.17.5 PartyIdentification129Choice

Definition: Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1038
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1038
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1038
Or}	LEI <LEI>	[1..1]	IdentifierSet		1038

16.1.17.5.1 AnyBIC <AnyBIC>*Presence:* [1..1]*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".*Impacted by:* C1 "AnyBIC"*Datatype:* "AnyBICDec2014Identifier" on page 1239**Constraints**

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.17.5.2 ProprietaryIdentification <PrtryId>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification36](#)" on page 872 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		872
	Issuer <Issr>	[1..1]	Text		872
	SchemeName <SchmeNm>	[0..1]	Text		873

16.1.17.5.3 NameAndAddress <NmAndAdr>*Presence:* [1..1]*Definition:* Name and address of a party.**NameAndAddress <NmAndAdr>** contains the following elements (see "[NameAndAddress5](#)" on page 1051 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1052
	Address <Adr>	[0..1]	±		1052

16.1.17.5.4 LEI <LEI>*Presence:* [1..1]*Definition:* Legal entity identification of the party.*Datatype:* "LEIIdentifier" on page 1241

16.1.17.6 Party38Choice

Definition: Nature or use of the account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		1039
Or}	Privateldentification <PrvtId>	[1..1]	±		1039

16.1.17.6.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "OrganisationIdentification29" on page 1026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	1027
	LEI <LEI>	[0..1]	IdentifierSet		1027
	Other <Othr>	[0..*]			1027
	Identification <Id>	[1..1]	Text		1027
	SchemeName <SchmeNm>	[0..1]			1027
{Or	Code <Cd>	[1..1]	CodeSet		1028
Or}	Proprietary <Prtry>	[1..1]	Text		1028
	Issuer <Issr>	[0..1]	Text		1028

16.1.17.6.2 Privateldentification <PrvtId>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person, for example a passport.

PrivateIdentification <PrvtId> contains the following elements (see ["PersonIdentification13"](#) on page 1046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			1046
	BirthDate <BirthDt>	[1..1]	Date		1047
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		1047
	CityOfBirth <CityOfBirth>	[1..1]	Text		1047
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	1047
	Other <Othr>	[0..*]			1047
	Identification <Id>	[1..1]	Text		1048
	SchemeName <SchmeNm>	[0..1]			1048
{Or	Code <Cd>	[1..1]	CodeSet		1048
Or}	Proprietary <Prtry>	[1..1]	Text		1048
	Issuer <Issr>	[0..1]	Text		1048

16.1.17.7 PartyIdentification229Choice

Definition: Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1040
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1041
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1041
Or}	IndividualOwnerIdentification <IndvOwnrId>	[1..1]	±		1041

16.1.17.7.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: ["AnyBICDec2014Identifier"](#) on page 1239

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.17.7.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification36](#)" on page 872 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		872
	Issuer <Issr>	[1..1]	Text		872
	SchemeName <SchmeNm>	[0..1]	Text		873

16.1.17.7.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of a party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress5](#)" on page 1051 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1052
	Address <Adr>	[0..1]	±		1052

16.1.17.7.4 IndividualOwnerIdentification <IndvOwnrId>

Presence: [1..1]

Definition: Individual local country specific identification of the owner agreed between the account servicer and account owner.

IndividualOwnerIdentification <IndvOwnrId> contains the following elements (see "[AlternatePartyIdentification7](#)" on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		1043
	Country <Ctry>	[1..1]	CodeSet	C3	1043
	AlternateIdentification <AltrnId>	[1..1]	Text		1043

16.1.17.8 PartyIdentification120Choice

Definition: Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1042
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1042
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1042

16.1.17.8.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1239

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.17.8.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification36](#)" on page 872 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		872
	Issuer <Issr>	[1..1]	Text		872
	SchemeName <SchmeNm>	[0..1]	Text		873

16.1.17.8.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of a party.

NameAndAddress <NmAndAdr> contains the following elements (see ["NameAndAddress5"](#) on page 1051 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1052
	Address <Adr>	[0..1]	±		1052

16.1.17.9 AlternatePartyIdentification7

Definition: Alternate identification for a party using an identification type, a country code and a text field.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		1043
	Country <Ctry>	[1..1]	CodeSet	C3	1043
	AlternateIdentification <AltrnId>	[1..1]	Text		1043

16.1.17.9.1 IdentificationType <IdTp>

Presence: [1..1]

Definition: Specifies the type of alternate identification of the party identified.

IdentificationType <IdTp> contains one of the following elements (see ["IdentificationType42Choice"](#) on page 871 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		871
Or}	Proprietary <Prtry>	[1..1]	±		872

16.1.17.9.2 Country <Ctry>

Presence: [1..1]

Definition: Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

Impacted by: C3 "Country"

Datatype: ["CountryCode"](#) on page 1203

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.17.9.3 AlternateIdentification <AltrnId>

Presence: [1..1]

Definition: Alternate identification for a party.

Datatype: "Max35Text" on page 1246

16.1.17.10 PartyIdentificationAndAccount226

Definition: Provides information about identification and account of the party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1044
{Or	BICFI <BICFI>	[1..1]	IdentifierSet	C2	1044
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1045
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1045
	CashAccount <CshAcct>	[0..1]	±		1045
	ProcessingIdentification <PrctlId>	[0..1]	Text		1045
	AlternateIdentification <AltrnId>	[0..1]	±		1046

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

16.1.17.10.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following **PartyIdentification133Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICFI <BICFI>	[1..1]	IdentifierSet	C2	1044
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1045
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1045

16.1.17.10.1.1 BICFI <BICFI>

Presence: [1..1]

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C2 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 1239

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

16.1.17.10.1.2 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress5](#)" on page 1051 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1052
	Address <Adr>	[0..1]	±		1052

16.1.17.10.1.3 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification36](#)" on page 872 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		872
	Issuer <Issr>	[1..1]	Text		872
	SchemeName <SchmeNm>	[0..1]	Text		873

16.1.17.10.2 CashAccount <CshAcct>

Presence: [0..1]

Definition: Account in which cash is maintained.

CashAccount <CshAcct> contains one of the following elements (see "[CashAccountIdentification9Choice](#)" on page 826 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	826
Or	BlockChainCashWallet <BlickChainCshWllt>	[1..1]	±		826
Or}	Proprietary <Prtry>	[1..1]	Text		827

16.1.17.10.3 ProcessingIdentification <PrcgId>

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: "Max35Text" on page 1246

16.1.17.10.4 Alternateldentification <Altrnld>

Presence: [0..1]

Definition: Alternate identification for a party.

Alternateldentification <Altrnld> contains the following elements (see "[AlternatePartyIdentification7](#)" on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		1043
	Country <Ctry>	[1..1]	CodeSet	C3	1043
	Alternateldentification <Altrnld>	[1..1]	Text		1043

16.1.18 Person Identification

16.1.18.1 PersonIdentification13

Definition: Unique and unambiguous way to identify a person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			1046
	BirthDate <BirthDt>	[1..1]	Date		1047
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		1047
	CityOfBirth <CityOfBirth>	[1..1]	Text		1047
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	1047
	Other <Othr>	[0..*]			1047
	Identification <Id>	[1..1]	Text		1048
	SchemeName <SchmeNm>	[0..1]			1048
{Or	Code <Cd>	[1..1]	CodeSet		1048
Or}	Proprietary <Prtry>	[1..1]	Text		1048
	Issuer <Issr>	[0..1]	Text		1048

16.1.18.1.1 DateAndPlaceOfBirth <DtAndPlcOfBirth>

Presence: [0..1]

Definition: Date and place of birth of a person.

DateAndPlaceOfBirth <DtAndPlcOfBirth> contains the following **DateAndPlaceOfBirth1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		1047
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		1047
	CityOfBirth <CityOfBirth>	[1..1]	Text		1047
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	1047

16.1.18.1.1.1 BirthDate <BirthDt>

Presence: [1..1]

Definition: Date on which a person is born.

Datatype: "ISODate" on page 1238

16.1.18.1.1.2 ProvinceOfBirth <PrvcOfBirth>

Presence: [0..1]

Definition: Province where a person was born.

Datatype: "Max35Text" on page 1246

16.1.18.1.1.3 CityOfBirth <CityOfBirth>

Presence: [1..1]

Definition: City where a person was born.

Datatype: "Max35Text" on page 1246

16.1.18.1.1.4 CountryOfBirth <CtryOfBirth>

Presence: [1..1]

Definition: Country where a person was born.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1203

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.18.1.2 Other <Othr>

Presence: [0..*]

Definition: Unique identification of a person, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following **GenericPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1048
	SchemeName <SchmeNm>	[0..1]			1048
{Or	Code <Cd>	[1..1]	CodeSet		1048
Or}	Proprietary <Prtry>	[1..1]	Text		1048
	Issuer <Issr>	[0..1]	Text		1048

16.1.18.1.2.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person.

Datatype: "Max35Text" on page 1246

16.1.18.1.2.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **PersonIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1048
Or}	Proprietary <Prtry>	[1..1]	Text		1048

16.1.18.1.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalPersonIdentification1Code" on page 1208

16.1.18.1.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 1246

16.1.18.1.2.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 1246

16.1.18.2 Contact4

Definition: Specifies the details of the contact person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		1049
	Name <Nm>	[0..1]	Text		1049
	PhoneNumber <PhneNb>	[0..1]	Text		1049
	MobileNumber <MobNb>	[0..1]	Text		1050
	FaxNumber <FaxNb>	[0..1]	Text		1050
	EmailAddress <EmailAdr>	[0..1]	Text		1050
	EmailPurpose <EmailPurp>	[0..1]	Text		1050
	JobTitle <JobTitl>	[0..1]	Text		1050
	Responsibility <Rspnsblty>	[0..1]	Text		1050
	Department <Dept>	[0..1]	Text		1050
	Other <Othr>	[0..*]			1050
	ChannelType <ChanlTp>	[1..1]	Text		1051
	Identification <Id>	[0..1]	Text		1051
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		1051

16.1.18.2.1 NamePrefix <NmPrfx>

Presence: [0..1]

Definition: Specifies the terms used to formally address a person.

Datatype: "NamePrefix2Code" on page 1217

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

16.1.18.2.2 Name <Nm>

Presence: [0..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max140Text" on page 1244

16.1.18.2.3 PhoneNumber <PhneNb>

Presence: [0..1]

Definition: Collection of information that identifies a phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 1247

16.1.18.2.4 MobileNumber <MobNb>

Presence: [0..1]

Definition: Collection of information that identifies a mobile phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 1247

16.1.18.2.5 FaxNumber <FaxNb>

Presence: [0..1]

Definition: Collection of information that identifies a FAX number, as defined by telecom services.

Datatype: "PhoneNumber" on page 1247

16.1.18.2.6 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max2048Text" on page 1244

16.1.18.2.7 EmailPurpose <EmailPurp>

Presence: [0..1]

Definition: Purpose for which an email address may be used.

Datatype: "Max35Text" on page 1246

16.1.18.2.8 JobTitle <JobTitl>

Presence: [0..1]

Definition: Title of the function.

Datatype: "Max35Text" on page 1246

16.1.18.2.9 Responsibility <Rspnsblty>

Presence: [0..1]

Definition: Role of a person in an organisation.

Datatype: "Max35Text" on page 1246

16.1.18.2.10 Department <Dept>

Presence: [0..1]

Definition: Identification of a division of a large organisation or building.

Datatype: "Max70Text" on page 1246

16.1.18.2.11 Other <Othr>

Presence: [0..*]

Definition: Contact details in another form.

Other <Othr> contains the following **OtherContact1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChannelType <ChanITp>	[1..1]	Text		1051
	Identification <Id>	[0..1]	Text		1051

16.1.18.2.11.1 ChannelType <ChanITp>

Presence: [1..1]

Definition: Method used to contact the financial institution's contact for the specific tax region.

Datatype: "Max4Text" on page 1246

16.1.18.2.11.2 Identification <Id>

Presence: [0..1]

Definition: Communication value such as phone number or email address.

Datatype: "Max128Text" on page 1243

16.1.18.2.12 PreferredMethod <PrefrdMtd>

Presence: [0..1]

Definition: Preferred method used to reach the contact.

Datatype: "PreferredContactMethod1Code" on page 1225

CodeName	Name	Definition
LETT	Letter	Preferred method used to reach the contact is per letter.
MAIL	Email	Preferred method used to reach the contact is per email.
PHON	Phone	Preferred method used to reach the contact is per phone.
FAXX	Fax	Preferred method used to reach the contact is per fax.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.

16.1.19 Postal Address

16.1.19.1 NameAndAddress5

Definition: Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1052
	Address <Adr>	[0..1]	±		1052

16.1.19.1.1 Name <Nm>*Presence:* [1..1]*Definition:* Name by which a party is known and which is usually used to identify that party.*Datatype:* "Max350Text" on page 1245**16.1.19.1.2 Address <Adr>***Presence:* [0..1]*Definition:* Postal address of the party.**Address <Adr>** contains the following elements (see "PostalAddress1" on page 1052 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		1052
	AddressLine <AdrLine>	[0..5]	Text		1053
	StreetName <StrtNm>	[0..1]	Text		1053
	BuildingNumber <BldgNb>	[0..1]	Text		1053
	PostCode <PstCd>	[0..1]	Text		1053
	TownName <TwnNm>	[0..1]	Text		1053
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		1053
	Country <Ctry>	[1..1]	CodeSet	C3	1054

16.1.19.2 PostalAddress1*Definition:* Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		1052
	AddressLine <AdrLine>	[0..5]	Text		1053
	StreetName <StrtNm>	[0..1]	Text		1053
	BuildingNumber <BldgNb>	[0..1]	Text		1053
	PostCode <PstCd>	[0..1]	Text		1053
	TownName <TwnNm>	[0..1]	Text		1053
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		1053
	Country <Ctry>	[1..1]	CodeSet	C3	1054

16.1.19.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 1161

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

16.1.19.2.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 1246

16.1.19.2.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 1246

16.1.19.2.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 1244

16.1.19.2.5 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 1244

16.1.19.2.6 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 1246

16.1.19.2.7 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 1246

16.1.19.2.8 Country <Ctry>*Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 1203**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.19.3 PostalAddress24*Definition:* Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			1054
{Or	Code <Cd>	[1..1]	CodeSet		1055
Or}	Proprietary <Prtry>	[1..1]	±		1055
	Department <Dept>	[0..1]	Text		1055
	SubDepartment <SubDept>	[0..1]	Text		1055
	StreetName <StrtNm>	[0..1]	Text		1056
	BuildingNumber <BldgNb>	[0..1]	Text		1056
	BuildingName <BldgNm>	[0..1]	Text		1056
	Floor <Flr>	[0..1]	Text		1056
	PostBox <PstBx>	[0..1]	Text		1056
	Room <Room>	[0..1]	Text		1056
	PostCode <PstCd>	[0..1]	Text		1056
	TownName <TwnNm>	[0..1]	Text		1056
	TownLocationName <TwnLctnNm>	[0..1]	Text		1057
	DistrictName <DstrctNm>	[0..1]	Text		1057
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		1057
	Country <Ctry>	[0..1]	CodeSet	C3	1057
	AddressLine <AdrLine>	[0..7]	Text		1057

16.1.19.3.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.

AddressType <AdrTp> contains one of the following **AddressType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1055
Or}	Proprietary <Prtry>	[1..1]	±		1055

16.1.19.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of address expressed as a code.

Datatype: "AddressType2Code" on page 1161

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

16.1.19.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of address expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.19.3.2 Department <Dept>

Presence: [0..1]

Definition: Identification of a division of a large organisation or building.

Datatype: "Max70Text" on page 1246

16.1.19.3.3 SubDepartment <SubDept>

Presence: [0..1]

Definition: Identification of a sub-division of a large organisation or building.

Datatype: "Max70Text" on page 1246

16.1.19.3.4 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 1246

16.1.19.3.5 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 1244

16.1.19.3.6 BuildingName <BldgNm>

Presence: [0..1]

Definition: Name of the building or house.

Datatype: "Max35Text" on page 1246

16.1.19.3.7 Floor <Flr>

Presence: [0..1]

Definition: Floor or storey within a building.

Datatype: "Max70Text" on page 1246

16.1.19.3.8 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 1244

16.1.19.3.9 Room <Room>

Presence: [0..1]

Definition: Building room number.

Datatype: "Max70Text" on page 1246

16.1.19.3.10 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 1244

16.1.19.3.11 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 1246

16.1.19.3.12 TownLocationName <TwnLctnNm>

Presence: [0..1]

Definition: Specific location name within the town.

Datatype: "Max35Text" on page 1246

16.1.19.3.13 DistrictName <DstrctNm>

Presence: [0..1]

Definition: Identifies a subdivision within a country sub-division.

Datatype: "Max35Text" on page 1246

16.1.19.3.14 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country such as state, region, county.

Datatype: "Max35Text" on page 1246

16.1.19.3.15 Country <Ctry>

Presence: [0..1]

Definition: Nation with its own government.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1203

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.19.3.16 AddressLine <AdrLine>

Presence: [0..7]

Definition: Information that locates and identifies a specific address, as defined by postal services, presented in free format text.

Datatype: "Max70Text" on page 1246

16.1.20 Price**16.1.20.1 PriceFormat77Choice**

Definition: Choice between a percentage price or an amount price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1058
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1058
	PriceValue <PricVal>	[1..1]	Rate		1058
Or}	AmountPrice <AmtPric>	[1..1]	±		1058

16.1.20.1.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1058
	PriceValue <PricVal>	[1..1]	Rate		1058

16.1.20.1.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1226

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.1.20.1.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1242

16.1.20.1.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "[AmountPrice3](#)" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1061
	PriceValue <PricVal>	[1..1]	Amount	C2	1061

16.1.20.2 PriceFormat46Choice

Definition: Choice between a percentage price or an amount price or an unspecified price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]	±		1059
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1059

16.1.20.2.1 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "[AmountPrice2](#)" on page 828 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		828
	PriceValue <PricVal>	[1..1]	Amount	C2	828

16.1.20.2.2 NotSpecifiedPrice <NotSpctdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "[PriceValueType10Code](#)" on page 1227

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.1.20.3 PriceFormat61Choice

Definition: Choice between a percentage price or an amount price or an unspecified price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			1060
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1060
	PriceValue <PricVal>	[1..1]	Amount	C2	1060
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1060

16.1.20.3.1 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following **AmountPrice6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1060
	PriceValue <PricVal>	[1..1]	Amount	C2	1060

16.1.20.3.1.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType3Code" on page 1161

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
PLOT	Lot	Price expressed as an amount of money per lot.

16.1.20.3.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.3.2 NotSpecifiedPrice <NotSpcfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1227

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.1.20.4 AmountPrice3

Definition: Price expressed as an amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1061
	PriceValue <PricVal>	[1..1]	Amount	C2	1061

16.1.20.4.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1161

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.4.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.5 PriceFormat81Choice

Definition: Choice between a percentage price or an amount price or an unspecified price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1062
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1062
	PriceValue <PricVal>	[1..1]	Rate		1062
Or	AmountPrice <AmtPric>	[1..1]	±		1062
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1063

16.1.20.5.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1062
	PriceValue <PricVal>	[1..1]	Rate		1062

16.1.20.5.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1226

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.1.20.5.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1242

16.1.20.5.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice3" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1061
	PriceValue <PricVal>	[1..1]	Amount	C2	1061

16.1.20.5.3 NotSpecifiedPrice <NotSpctdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1227

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.1.20.6 PriceFormat79Choice

Definition: Choice between a percentage price or an amount price or an unspecified price or an amount price per amount or an amount price per financial instrument quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1063
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1064
	PriceValue <PricVal>	[1..1]	Rate		1064
Or	AmountPrice <AmtPric>	[1..1]	±		1064
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1064
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			1065
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1065
	PriceValue <PricVal>	[1..1]	Amount	C2	1065
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C14	1066
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			1066
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1066
	PriceValue <PricVal>	[1..1]	Amount	C2	1067
	Amount <Amt>	[1..1]	Amount	C2	1067
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1067

16.1.20.6.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1064
	PriceValue <PricVal>	[1..1]	Rate		1064

16.1.20.6.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1226

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.1.20.6.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1242

16.1.20.6.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice3" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1061
	PriceValue <PricVal>	[1..1]	Amount	C2	1061

16.1.20.6.3 NotSpecifiedPrice <NotSpdfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType8Code" on page 1227

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.
NILP	NilPayment	Price will not be paid.

16.1.20.6.4 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per financial instrument quantity.

AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty> contains the following **AmountPricePerFinancialInstrumentQuantity10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1065
	PriceValue <PricVal>	[1..1]	Amount	C2	1065
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C14	1066

16.1.20.6.4.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1161

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.6.4.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.6.4.3 FinancialInstrumentQuantity <FinInstrmQty>

Presence: [1..1]

Definition: Quantity of financial instrument.

Impacted by: C14 "DigitalTokenUnitUsageRule"

FinancialInstrumentQuantity <FinInstrmQty> contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.20.6.5 AmountPricePerAmount <AmtPricPerAmt>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per amount.

AmountPricePerAmount <AmtPricPerAmt> contains the following **AmountPricePerAmount2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1066
	PriceValue <PricVal>	[1..1]	Amount	C2	1067
	Amount <Amt>	[1..1]	Amount	C2	1067

16.1.20.6.5.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1161

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.

CodeName	Name	Definition
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.6.5.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.6.5.3 Amount <Amt>

Presence: [1..1]

Definition: The amount on which the price is based.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.6.6 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "DecimalNumber" on page 1241

16.1.20.7 PriceFormat80Choice

Definition: Choice between a percentage price or an amount price or an unspecified price or index points.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1068
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1068
	PriceValue <PricVal>	[1..1]	Rate		1068
Or	AmountPrice <AmtPric>	[1..1]	±		1068
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1069
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1069

16.1.20.7.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1068
	PriceValue <PricVal>	[1..1]	Rate		1068

16.1.20.7.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1226

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.1.20.7.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1242

16.1.20.7.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice3" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1061
	PriceValue <PricVal>	[1..1]	Amount	C2	1061

16.1.20.7.3 NotSpecifiedPrice <NotSpcdfPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1227

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.1.20.7.4 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed as an index points.

Datatype: "DecimalNumber" on page 1241

16.1.20.8 PriceFormat75Choice

Definition: Choice between a percentage price or an amount price or index points.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1069
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1070
	PriceValue <PricVal>	[1..1]	Rate		1070
Or	AmountPrice <AmtPric>	[1..1]	±		1070
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1070

16.1.20.8.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1070
	PriceValue <PricVal>	[1..1]	Rate		1070

16.1.20.8.1.1 PercentagePriceType <PctgPricTp>*Presence:* [1..1]*Definition:* Specifies the type of percentage price.*Datatype:* "PriceRateType3Code" on page 1226

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.1.20.8.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1242**16.1.20.8.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice3" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1061
	PriceValue <PricVal>	[1..1]	Amount	C2	1061

16.1.20.8.3 IndexPoints <IndxPts>*Presence:* [1..1]*Definition:* Price expressed as an index points.*Datatype:* "DecimalNumber" on page 1241**16.1.20.9 PriceFormat78Choice***Definition:* Choice between a percentage price or an amount price or an unspecified price or an amount price per amount or an amount price per financial instrument quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1071
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1071
	PriceValue <PricVal>	[1..1]	Rate		1072
Or	AmountPrice <AmtPric>	[1..1]	±		1072
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1072
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			1072
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1073
	PriceValue <PricVal>	[1..1]	Amount	C2	1073
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C14	1073
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			1074
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1074
	PriceValue <PricVal>	[1..1]	Amount	C2	1074
	Amount <Amt>	[1..1]	Amount	C2	1074
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1075

16.1.20.9.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1071
	PriceValue <PricVal>	[1..1]	Rate		1072

16.1.20.9.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1226

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.

CodeName	Name	Definition
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.1.20.9.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1242**16.1.20.9.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice3" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1061
	PriceValue <PricVal>	[1..1]	Amount	C2	1061

16.1.20.9.3 NotSpecifiedPrice <NotSpcfdPric>*Presence:* [1..1]*Definition:* Value of the price not specified.*Datatype:* "PriceValueType9Code" on page 1227

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.1.20.9.4 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>*Presence:* [1..1]*Definition:* Price expressed as a ratio: amount price per financial instrument quantity.**AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>** contains the following **AmountPricePerFinancialInstrumentQuantity10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1073
	PriceValue <PricVal>	[1..1]	Amount	C2	1073
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C14	1073

16.1.20.9.4.1 AmountPriceType <AmtPricTp>*Presence:* [1..1]*Definition:* Type of amount price.*Datatype:* "AmountPriceType1Code" on page 1161

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.9.4.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Value of the price.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1153**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.9.4.3 FinancialInstrumentQuantity <FinInstrmQty>*Presence:* [1..1]*Definition:* Quantity of financial instrument.*Impacted by:* C14 "DigitalTokenUnitUsageRule"

FinancialInstrumentQuantity <FinInstrmQty> contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.20.9.5 AmountPricePerAmount <AmtPricPerAmt>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per amount.

AmountPricePerAmount <AmtPricPerAmt> contains the following **AmountPricePerAmount2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1074
	PriceValue <PricVal>	[1..1]	Amount	C2	1074
	Amount <Amt>	[1..1]	Amount	C2	1074

16.1.20.9.5.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1161

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.9.5.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.9.5.3 Amount <Amt>

Presence: [1..1]

Definition: The amount on which the price is based.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.9.6 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "DecimalNumber" on page 1241

16.1.20.10 PriceFormat74Choice

Definition: Choice between a percentage price or an amount price or an unspecified price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1075
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1075
	PriceValue <PricVal>	[1..1]	Rate		1076
Or	AmountPrice <AmtPric>	[1..1]	±		1076
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1076

16.1.20.10.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1075
	PriceValue <PricVal>	[1..1]	Rate		1076

16.1.20.10.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1226

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.1.20.10.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1242

16.1.20.10.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice3" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1061
	PriceValue <PricVal>	[1..1]	Amount	C2	1061

16.1.20.10.3 NotSpecifiedPrice <NotSpcfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1227

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.1.20.11 PriceFormat72Choice

Definition: Choice between a percentage price or an amount price or an unspecified price or an amount price per amount or an amount price per financial instrument quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1077
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1077
	PriceValue <PricVal>	[1..1]	Rate		1078
Or	AmountPrice <AmtPric>	[1..1]	±		1078
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1078
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			1078
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1079
	PriceValue <PricVal>	[1..1]	Amount	C2	1079
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C14	1079
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			1080
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1080
	PriceValue <PricVal>	[1..1]	Amount	C2	1080
	Amount <Amt>	[1..1]	Amount	C2	1081
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1081

16.1.20.11.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1077
	PriceValue <PricVal>	[1..1]	Rate		1078

16.1.20.11.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1226

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.

CodeName	Name	Definition
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.1.20.11.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1242**16.1.20.11.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice3" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1061
	PriceValue <PricVal>	[1..1]	Amount	C2	1061

16.1.20.11.3 NotSpecifiedPrice <NotSpcfdPric>*Presence:* [1..1]*Definition:* Value of the price not specified.*Datatype:* "PriceValueType8Code" on page 1227

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.
NILP	NilPayment	Price will not be paid.

16.1.20.11.4 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>*Presence:* [1..1]*Definition:* Price expressed as a ratio: amount price per financial instrument quantity.

AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty> contains the following **AmountPricePerFinancialInstrumentQuantity10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1079
	PriceValue <PricVal>	[1..1]	Amount	C2	1079
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C14	1079

16.1.20.11.4.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1161

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.11.4.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.11.4.3 FinancialInstrumentQuantity <FinInstrmQty>

Presence: [1..1]

Definition: Quantity of financial instrument.

Impacted by: C14 "DigitalTokenUnitUsageRule"

FinancialInstrumentQuantity <FinInstrmQty> contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.20.11.5 AmountPricePerAmount <AmtPricPerAmt>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per amount.

AmountPricePerAmount <AmtPricPerAmt> contains the following **AmountPricePerAmount2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1080
	PriceValue <PricVal>	[1..1]	Amount	C2	1080
	Amount <Amt>	[1..1]	Amount	C2	1081

16.1.20.11.5.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1161

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.11.5.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.11.5.3 Amount <Amt>

Presence: [1..1]

Definition: The amount on which the price is based.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.11.6 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "DecimalNumber" on page 1241

16.1.20.12 PriceFormat76Choice

Definition: Choice between a percentage price or an amount price or an amount price per amount or an amount price per financial instrument quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1082
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1082
	PriceValue <PricVal>	[1..1]	Rate		1083
Or	AmountPrice <AmtPric>	[1..1]	±		1083
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			1083
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1083
	PriceValue <PricVal>	[1..1]	Amount	C2	1084
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C14	1084
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			1084
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1085
	PriceValue <PricVal>	[1..1]	Amount	C2	1085
	Amount <Amt>	[1..1]	Amount	C2	1085
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1085

16.1.20.12.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1082
	PriceValue <PricVal>	[1..1]	Rate		1083

16.1.20.12.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1226

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.

CodeName	Name	Definition
YIEL	Yield	Price expressed as a yield.

16.1.20.12.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "Percentage14Rate" on page 1242**16.1.20.12.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice3" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1061
	PriceValue <PricVal>	[1..1]	Amount	C2	1061

16.1.20.12.3 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>*Presence:* [1..1]*Definition:* Price expressed as a ratio: amount price per financial instrument quantity.**AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>** contains the following **AmountPricePerFinancialInstrumentQuantity10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1083
	PriceValue <PricVal>	[1..1]	Amount	C2	1084
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C14	1084

16.1.20.12.3.1 AmountPriceType <AmtPricTp>*Presence:* [1..1]*Definition:* Type of amount price.*Datatype:* "AmountPriceType1Code" on page 1161

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.12.3.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Value of the price.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1153**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.12.3.3 FinancialInstrumentQuantity <FinInstrmQty>*Presence:* [1..1]*Definition:* Quantity of financial instrument.*Impacted by:* C14 "DigitalTokenUnitUsageRule"

FinancialInstrumentQuantity <FinInstrmQty> contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.20.12.4 AmountPricePerAmount <AmtPricPerAmt>*Presence:* [1..1]*Definition:* Price expressed as a ratio: amount price per amount.

AmountPricePerAmount <AmtPricPerAmt> contains the following **AmountPricePerAmount2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1085
	PriceValue <PricVal>	[1..1]	Amount	C2	1085
	Amount <Amt>	[1..1]	Amount	C2	1085

16.1.20.12.4.1 AmountPriceType <AmtPricTp>*Presence:* [1..1]*Definition:* Type of amount price.*Datatype:* "AmountPriceType1Code" on page 1161

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.12.4.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Value of the price.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1153**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.12.4.3 Amount <Amt>*Presence:* [1..1]*Definition:* The amount on which the price is based.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1153**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.12.5 IndexPoints <IndxPts>*Presence:* [1..1]*Definition:* Price expressed in index points.*Datatype:* "DecimalNumber" on page 1241

16.1.20.13 PriceFormat73Choice

Definition: Choice between a percentage price or an amount price or an unspecified price or index points.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1086
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1086
	PriceValue <PricVal>	[1..1]	Rate		1086
Or	AmountPrice <AmtPric>	[1..1]	±		1087
Or	NotSpecifiedPrice <NotSpdfdPric>	[1..1]	CodeSet		1087
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1087

16.1.20.13.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1086
	PriceValue <PricVal>	[1..1]	Rate		1086

16.1.20.13.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1226

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.1.20.13.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "Percentage14Rate" on page 1242

16.1.20.13.2 AmountPrice <AmtPric>*Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "[AmountPrice3](#)" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1061
	PriceValue <PricVal>	[1..1]	Amount	C2	1061

16.1.20.13.3 NotSpecifiedPrice <NotSpdfdPric>*Presence:* [1..1]*Definition:* Value of the price not specified.*Datatype:* "[PriceValueType10Code](#)" on page 1227

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.1.20.13.4 IndexPoints <IndxPts>*Presence:* [1..1]*Definition:* Price expressed as an index points.*Datatype:* "[DecimalNumber](#)" on page 1241**16.1.21 Quantity****16.1.21.1 Quantity49Choice***Definition:* Choice between different quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityChoice <QtyChc>	[1..1]			1088
{Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]			1088
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1089
	FaceAmount <FaceAmt>	[1..1]	Amount		1089
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1089
Or}	SignedQuantity <SgndQty>	[1..1]	±		1089
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1089
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		1090
	Quantity <Qty>	[1..1]	Quantity		1090
	QuantityType <QtyTp>	[1..1]	Text		1090
	Issuer </ssr>	[1..1]	Text		1090
	SchemeName <SchmeNm>	[0..1]	Text		1090

16.1.21.1.1 QuantityChoice <QtyChc>

Presence: [1..1]

Definition: Choice between different quantity of security formats.

QuantityChoice <QtyChc> contains one of the following **Quantity50Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]			1088
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1089
	FaceAmount <FaceAmt>	[1..1]	Amount		1089
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1089
Or}	SignedQuantity <SgndQty>	[1..1]	±		1089

16.1.21.1.1.1 OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>

Presence: [1..1]

Definition: Signed face amount and amortised value of security.

OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt> contains the following **OriginalAndCurrentQuantities6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1089
	FaceAmount <FaceAmt>	[1..1]	Amount		1089
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1089

16.1.21.1.1.1.1 ShortLongPosition <ShrtLngPos>

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 1237

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

16.1.21.1.1.1.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 1155

16.1.21.1.1.1.3 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "ImpliedCurrencyAndAmount" on page 1155

16.1.21.1.1.2 SignedQuantity <SgndQty>

Presence: [1..1]

Definition: Signed quantity of security.

SignedQuantity <SgndQty> contains the following elements (see "SignedQuantityFormat10" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

16.1.21.1.2 ProprietaryQuantity <PrtryQty>

Presence: [1..1]

Definition: Proprietary quantity of security format.

ProprietaryQuantity <PrtryQty> contains the following **ProprietaryQuantity7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		1090
	Quantity <Qty>	[1..1]	Quantity		1090
	QuantityType <QtyTp>	[1..1]	Text		1090
	Issuer <Issr>	[1..1]	Text		1090
	SchemeName <SchmeNm>	[0..1]	Text		1090

16.1.21.1.2.1 ShortLongPosition <ShrtLngPos>

Presence: [0..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 1237

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

16.1.21.1.2.2 Quantity <Qty>

Presence: [1..1]

Definition: Provides the proprietary quantity with a decimal number.

Datatype: "DecimalNumber" on page 1241

16.1.21.1.2.3 QuantityType <QtyTp>

Presence: [1..1]

Definition: Identifies the type of proprietary quantity reported.

Datatype: "Exact4AlphaNumericText" on page 1243

16.1.21.1.2.4 Issuer <Issr>

Presence: [1..1]

Definition: Provides information related to issuer in free format.

Datatype: "Max35Text" on page 1246

16.1.21.1.2.5 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max35Text" on page 1246

16.1.21.2 SignedQuantityFormat10

Definition: Signed quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1091
	Quantity <Qty>	[1..1]	±	C14	1091

16.1.21.2.1 ShortLongPosition <ShrtLngPos>

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 1237

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

16.1.21.2.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of security.

Impacted by: C14 "DigitalTokenUnitUsageRule"

Quantity <Qty> contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.3 Quantity51Choice

Definition: Choice of format for the quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C14	1092
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		1092

16.1.21.3.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of financial instrument in units, original face amount or current face amount.

Impacted by: C14 "DigitalTokenUnitUsageRule"

Quantity <Qty> contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.3.2 OriginalAndCurrentFace <OrgnlAndCurFace>

Presence: [1..1]

Definition: Original and current value of an asset-back instrument.

OriginalAndCurrentFace <OrgnlAndCurFace> contains the following elements (see "OriginalAndCurrentQuantities1" on page 1133 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FaceAmount <FaceAmt>	[1..1]	Amount		1133
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1133

16.1.21.4 FinancialInstrumentQuantity33Choice

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.4.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "DecimalNumber" on page 1241

16.1.21.4.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 1155

16.1.21.4.3 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "ImpliedCurrencyAndAmount" on page 1155

16.1.21.4.4 DigitalTokenUnit <DgtlTknUnit>

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: "Max30DecimalNumber" on page 1242

16.1.21.5 FinancialInstrumentQuantity35Choice

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1094
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1094
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1094
Or	Code <Cd>	[1..1]	CodeSet		1094
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1094

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.5.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "DecimalNumber" on page 1241

16.1.21.5.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 1155

16.1.21.5.3 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "ImpliedCurrencyAndAmount" on page 1155

16.1.21.5.4 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity5Code" on page 1229

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.

16.1.21.5.5 DigitalTokenUnit <DgtlTknUnit>

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: "Max30DecimalNumber" on page 1242

16.1.21.6 SignedQuantityFormat11

Definition: Signed quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1095
	QuantityChoice <QtyChc>	[1..1]			1095
{Or	Quantity <Qty>	[1..1]	±	C14	1096
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1096
	Quantity <Qty>	[1..1]	Quantity		1096
	QuantityType <QtyTp>	[1..1]	Text		1096
	Issuer </ssr>	[1..1]	Text		1096
	SchemeName <SchmeNm>	[0..1]	Text		1097

16.1.21.6.1 ShortLongPosition <ShrtLngPos>

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 1237

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

16.1.21.6.2 QuantityChoice <QtyChc>

Presence: [1..1]

Definition: Choice between different quantity of security formats.

QuantityChoice <QtyChc> contains one of the following **Quantity48Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C14	1096
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1096
	Quantity <Qty>	[1..1]	Quantity		1096
	QuantityType <QtyTp>	[1..1]	Text		1096
	Issuer </ssr>	[1..1]	Text		1096
	SchemeName <SchmeNm>	[0..1]	Text		1097

16.1.21.6.2.1 Quantity <Qty>*Presence:* [1..1]*Definition:* Quantity of security.*Impacted by:* C14 "DigitalTokenUnitUsageRule"**Quantity <Qty>** contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.6.2.2 ProprietaryQuantity <PrtryQty>*Presence:* [1..1]*Definition:* Proprietary quantity of security format.**ProprietaryQuantity <PrtryQty>** contains the following **ProprietaryQuantity8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	Quantity		1096
	QuantityType <QtyTp>	[1..1]	Text		1096
	Issuer <Issr>	[1..1]	Text		1096
	SchemeName <SchmeNm>	[0..1]	Text		1097

16.1.21.6.2.2.1 Quantity <Qty>*Presence:* [1..1]*Definition:* Provides the proprietary quantity with a decimal number.*Datatype:* "DecimalNumber" on page 1241**16.1.21.6.2.2.2 QuantityType <QtyTp>***Presence:* [1..1]*Definition:* Identifies the type of proprietary quantity reported.*Datatype:* "Exact4AlphaNumericText" on page 1243**16.1.21.6.2.2.3 Issuer <Issr>***Presence:* [1..1]

Definition: Provides information related to issuer in free format.

Datatype: "Max35Text" on page 1246

16.1.21.6.2.2.4 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max35Text" on page 1246

16.1.21.7 FinancialInstrumentQuantity34Choice

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1097
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1097
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1097
Or	Code <Cd>	[1..1]	CodeSet		1097
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1098

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.7.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "DecimalNumber" on page 1241

16.1.21.7.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 1155

16.1.21.7.3 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "ImpliedCurrencyAndAmount" on page 1155

16.1.21.7.4 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity4Code" on page 1229

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.
ANYA	AnyAndAll	Instruction applies to the entire eligible balance of underlying securities.

16.1.21.7.5 DigitalTokenUnit <DgtlTknUnit>

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: "Max30DecimalNumber" on page 1242

16.1.21.8 Quantity52Choice

Definition: Choice between different formats of securities quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1098
Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]	±		1098
Or}	Quantity <Qty>	[1..1]	±	C14	1099

16.1.21.8.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify quantity of a financial instrument.

Datatype: "Quantity1Code" on page 1229

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

16.1.21.8.2 OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>

Presence: [1..1]

Definition: Face amount and amortised value of security.

OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt> contains the following elements (see "OriginalAndCurrentQuantities1" on page 1133 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FaceAmount <FaceAmt>	[1..1]	Amount		1133
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1133

16.1.21.8.3 Quantity <Qty>*Presence:* [1..1]*Definition:* Quantity of financial instrument in units, original face amount or current face amount.*Impacted by:* C14 "DigitalTokenUnitUsageRule"**Quantity <Qty>** contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 1092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1093
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1093
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1093
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1093

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.22 Rate**16.1.22.1 RateFormat24Choice***Definition:* Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

16.1.22.1.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value is expressed as a rate.*Datatype:* "Percentage14Rate" on page 1242**16.1.22.1.2 NotSpecifiedRate <NotSpcfdRate>***Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateType5Code" on page 1230

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.2 RatioFormat20Choice

Definition: Choice of format to expressed a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1100
Or}	AmountToAmount <AmtToAmt>	[1..1]			1100
	Amount1 <Amt1>	[1..1]	Amount	C2	1100
	Amount2 <Amt2>	[1..1]	Amount	C2	1101

16.1.22.2.1 QuantityToQuantity <QtyToQty>

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

QuantityToQuantity <QtyToQty> contains the following elements (see "[QuantityToQuantityRatio1](#)" on page 865 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		865
	Quantity2 <Qty2>	[1..1]	Quantity		865

16.1.22.2.2 AmountToAmount <AmtToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

AmountToAmount <AmtToAmt> contains the following **AmountToAmountRatio2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C2	1100
	Amount2 <Amt2>	[1..1]	Amount	C2	1101

16.1.22.2.2.1 Amount1 <Amt1>

Presence: [1..1]

Definition: Numerator of the quotient of amounts.

Impacted by: C2 "[ActiveCurrency](#)"

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.2.2 Amount2 <Amt2>

Presence: [1..1]

Definition: Denominator of the quotient of amounts.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.3 RateAndAmountFormat42Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	1101
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1101

16.1.22.3.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.3.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1230

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.4 RatioFormat19Choice

Definition: Choice of format to expressed a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1102
Or	AmountToAmount <AmtToAmt>	[1..1]			1102
	Amount1 <Amt1>	[1..1]	Amount	C2	1103
	Amount2 <Amt2>	[1..1]	Amount	C2	1103
Or	AmountToQuantity <AmtToQty>	[1..1]			1103
	Amount <Amt>	[1..1]	Amount	C2	1103
	Quantity <Qty>	[1..1]	Quantity		1104
Or}	QuantityToAmount <QtyToAmt>	[1..1]			1104
	Amount <Amt>	[1..1]	Amount	C2	1104
	Quantity <Qty>	[1..1]	Quantity		1104

16.1.22.4.1 QuantityToQuantity <QtyToQty>

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

QuantityToQuantity <QtyToQty> contains the following elements (see "[QuantityToQuantityRatio1](#)" on page 865 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		865
	Quantity2 <Qty2>	[1..1]	Quantity		865

16.1.22.4.2 AmountToAmount <AmtToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

AmountToAmount <AmtToAmt> contains the following **AmountToAmountRatio2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C2	1103
	Amount2 <Amt2>	[1..1]	Amount	C2	1103

16.1.22.4.2.1 Amount1 <Amt1>*Presence:* [1..1]*Definition:* Numerator of the quotient of amounts.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1153**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.4.2.2 Amount2 <Amt2>*Presence:* [1..1]*Definition:* Denominator of the quotient of amounts.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1153**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.4.3 AmountToQuantity <AmtToQty>*Presence:* [1..1]*Definition:* Ratio expressed as an amount to quantity ratio.**AmountToQuantity <AmtToQty>** contains the following **AmountAndQuantityRatio4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	1103
	Quantity <Qty>	[1..1]	Quantity		1104

16.1.22.4.3.1 Amount <Amt>*Presence:* [1..1]*Definition:* Cash amount.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.4.3.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "DecimalNumber" on page 1241

16.1.22.4.4 QuantityToAmount <QtyToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quantity to amount ratio.

QuantityToAmount <QtyToAmt> contains the following **AmountAndQuantityRatio4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	1104
	Quantity <Qty>	[1..1]	Quantity		1104

16.1.22.4.4.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.4.4.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "DecimalNumber" on page 1241

16.1.22.5 SolicitationFeeRateFormat12Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1105
Or	AmountToQuantity <AmtToQty>	[1..1]			1105
	Amount <Amt>	[1..1]	Amount	C2	1105
	Quantity <Qty>	[1..1]	Quantity		1105
Or}	Amount <Amt>	[1..1]	Amount	C2	1106

16.1.22.5.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1242

16.1.22.5.2 AmountToQuantity <AmtToQty>

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

AmountToQuantity <AmtToQty> contains the following **AmountAndQuantityRatio4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	1105
	Quantity <Qty>	[1..1]	Quantity		1105

16.1.22.5.2.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.5.2.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "DecimalNumber" on page 1241

16.1.22.5.3 Amount <Amt>*Presence:* [1..1]*Definition:* Cash amount.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1153**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.6 RateFormat27Choice*Definition:* Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1106
Or}	Amount <Amt>	[1..1]	Amount	C2	1106

16.1.22.6.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value is expressed as a rate.*Datatype:* "Percentage14Rate" on page 1242**16.1.22.6.2 Amount <Amt>***Presence:* [1..1]*Definition:* Cash amount.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1153**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.7 InterestRateUsedForPaymentFormat12Choice*Definition:* Choice between an amount or a rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1107
Or	Amount <Amt>	[1..1]	Amount	C2	1107
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1107
	RateType <RateTp>	[1..1]			1108
{Or	Code <Cd>	[1..1]	CodeSet		1108
Or}	Proprietary <Prtry>	[1..1]	±		1108
	Amount <Amt>	[1..1]	Amount	C2	1109
	RateStatus <RateSts>	[0..1]		C52	1109
{Or	Code <Cd>	[1..1]	CodeSet		1109
Or}	Proprietary <Prtry>	[1..1]	±		1110

16.1.22.7.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1242

16.1.22.7.2 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.7.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for interest rate used for payment.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus24** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1108
{Or	Code <Cd>	[1..1]	CodeSet		1108
Or}	Proprietary <Prtry>	[1..1]	±		1108
	Amount <Amt>	[1..1]	Amount	C2	1109
	RateStatus <RateSts>	[0..1]		C52	1109
{Or	Code <Cd>	[1..1]	CodeSet		1109
Or}	Proprietary <Prtry>	[1..1]	±		1110

16.1.22.7.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType33Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1108
Or}	Proprietary <Prtry>	[1..1]	±		1108

16.1.22.7.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of rate.

Datatype: "RateType7Code" on page 1230

CodeName	Name	Definition
SCHD	Scheduled	Rate of the scheduled payment.
USCD	Unscheduled	Rate of the unscheduled payment.

16.1.22.7.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.22.7.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C2 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.7.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C52 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1109
Or}	Proprietary <Prtry>	[1..1]	±		1110

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.7.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "[RateStatus1Code](#)" on page 1229

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.

CodeName	Name	Definition
INDI	IndicativeRate	Rate is indicative.

16.1.22.7.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.22.8 RateAndAmountFormat62Choice

Definition: Choice of format between a rate or a deemed rate type and rate or an amount or a unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1110
Or	Amount <Amt>	[1..1]	Amount	C2	1111
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1111
	RateType <RateTp>	[1..1]			1111
{Or	Code <Cd>	[1..1]	CodeSet		1112
Or}	Proprietary <Prtry>	[1..1]	±		1112
	Amount <Amt>	[1..1]	Amount	C2	1112
	RateStatus <RateSts>	[0..1]		C52	1112
{Or	Code <Cd>	[1..1]	CodeSet		1113
Or}	Proprietary <Prtry>	[1..1]	±		1113
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1113
	RateType <RateTp>	[1..1]			1114
{Or	Code <Cd>	[1..1]	CodeSet		1114
Or}	Proprietary <Prtry>	[1..1]	±		1114
	Rate <Rate>	[1..1]	Rate		1115

16.1.22.8.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1242

16.1.22.8.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.8.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Value is expressed as a rate type and an amount.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus37** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1111
{Or	Code <Cd>	[1..1]	CodeSet		1112
Or}	Proprietary <Prtry>	[1..1]	±		1112
	Amount <Amt>	[1..1]	Amount	C2	1112
	RateStatus <RateSts>	[0..1]		C52	1112
{Or	Code <Cd>	[1..1]	CodeSet		1113
Or}	Proprietary <Prtry>	[1..1]	±		1113

16.1.22.8.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **DeemedRateType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1112
Or}	Proprietary <Prtry>	[1..1]	±		1112

16.1.22.8.3.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of deemed rate.*Datatype:* "DeemedRateType1Code" on page 1204

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

16.1.22.8.3.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of deemed rate.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.22.8.3.2 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1153**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.8.3.3 RateStatus <RateSts>*Presence:* [0..1]*Definition:* Value expressed as a rate status.*Impacted by:* C52 "RateStatusGuideline"

RateStatus <RateSts> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1113
Or}	Proprietary <Prtry>	[1..1]	±		1113

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.8.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1229

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.8.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.22.8.4 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1114
{Or	Code <Cd>	[1..1]	CodeSet		1114
Or}	Proprietary <Prtry>	[1..1]	±		1114
	Rate <Rate>	[1..1]	Rate		1115

16.1.22.8.4.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **DeemedRateType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1114
Or}	Proprietary <Prtry>	[1..1]	±		1114

16.1.22.8.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: "DeemedRateType1Code" on page 1204

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

16.1.22.8.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of deemed rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.22.8.4.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 1242

16.1.22.9 RateAndAmountFormat61Choice

Definition: Choice of format between a rate or a deemed rate type and rate or an amount or a unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1115
Or	Amount <Amt>	[1..1]	Amount	C2	1116
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1116
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1116
	RateType <RateTp>	[1..1]			1116
{Or	Code <Cd>	[1..1]	CodeSet		1117
Or}	Proprietary <Prtry>	[1..1]	±		1117
	Amount <Amt>	[1..1]	Amount	C2	1117
	RateStatus <RateSts>	[0..1]		C52	1118
{Or	Code <Cd>	[1..1]	CodeSet		1118
Or}	Proprietary <Prtry>	[1..1]	±		1118
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1119
	RateType <RateTp>	[1..1]			1119
{Or	Code <Cd>	[1..1]	CodeSet		1119
Or}	Proprietary <Prtry>	[1..1]	±		1119
	Rate <Rate>	[1..1]	Rate		1120

16.1.22.9.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1242

16.1.22.9.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.9.3 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1230

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.9.4 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Value is expressed as a rate type and an amount.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus37** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1116
{Or	Code <Cd>	[1..1]	CodeSet		1117
Or}	Proprietary <Prtry>	[1..1]	±		1117
	Amount <Amt>	[1..1]	Amount	C2	1117
	RateStatus <RateSts>	[0..1]		C52	1118
{Or	Code <Cd>	[1..1]	CodeSet		1118
Or}	Proprietary <Prtry>	[1..1]	±		1118

16.1.22.9.4.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **DeemedRateType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1117
Or}	Proprietary <Prtry>	[1..1]	±		1117

16.1.22.9.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: "DeemedRateType1Code" on page 1204

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

16.1.22.9.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of deemed rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.22.9.4.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.9.4.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: C52 "RateStatusGuideline"

RateStatus <RateSts> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1118
Or}	Proprietary <Prtry>	[1..1]	±		1118

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.9.4.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1229

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.9.4.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.22.9.5 RateTypeAndRate <RateTpAndRate>*Presence:* [1..1]*Definition:* Value is expressed as a rate type and a percentage rate.**RateTypeAndRate <RateTpAndRate>** contains the following **RateTypeAndPercentageRate14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1119
{Or	Code <Cd>	[1..1]	CodeSet		1119
Or}	Proprietary <Prtry>	[1..1]	±		1119
	Rate <Rate>	[1..1]	Rate		1120

16.1.22.9.5.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.**RateType <RateTp>** contains one of the following **DeemedRateType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1119
Or}	Proprietary <Prtry>	[1..1]	±		1119

16.1.22.9.5.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of deemed rate.*Datatype:* "DeemedRateType1Code" on page 1204

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

16.1.22.9.5.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of deemed rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.22.9.5.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 1242

16.1.22.10 RateFormat26Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1120
Or	Amount <Amt>	[1..1]	Amount	C2	1120
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		1120

16.1.22.10.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 1242

16.1.22.10.2 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: [C2 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.10.3 NotSpecifiedRate <NotSpcdfRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1230

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.11 RateAndAmountFormat59Choice

Definition: Choice of format between rate and amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1121
Or}	Amount <Amt>	[1..1]	Amount	C2	1121

16.1.22.11.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1242

16.1.22.11.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.12 RateAndAmountFormat58Choice

Definition: Choice of format between a rate, an amount, index points or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1122
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1122
Or	Amount <Amt>	[1..1]	Amount	C2	1122
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1122

16.1.22.12.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "Percentage14Rate" on page 1242**16.1.22.12.2 NotSpecifiedRate <NotSpcfdRate>***Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateValueType7Code" on page 1230

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.12.3 Amount <Amt>*Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1153**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.12.4 IndexPoints <IndxPts>*Presence:* [1..1]*Definition:* Price expressed in index points.*Datatype:* "DecimalNumber" on page 1241**16.1.22.13 RateFormat25Choice***Definition:* Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1122
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1123

16.1.22.13.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 1242

16.1.22.13.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateType10Code" on page 1230

CodeName	Name	Definition
ANYA	AnyAndAll	Any and all rate is sought.
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.14 RateAndAmountFormat57Choice

Definition: Choice of format between a rate, an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1123
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1123
Or}	Amount <Amt>	[1..1]	Amount	C2	1123

16.1.22.14.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1242

16.1.22.14.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1230

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.14.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.15 RateAndAmountFormat55Choice

Definition: Choice of format between a rate, or a rate type and rate, or an amount, or a unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1124
Or	Amount <Amt>	[1..1]	Amount	C2	1124
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1124
	RateType <RateTp>	[1..1]			1125
{Or	Code <Cd>	[1..1]	CodeSet		1125
Or}	Proprietary <Prtry>	[1..1]	±		1125
	Rate <Rate>	[1..1]	Rate		1126

16.1.22.15.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 1242

16.1.22.15.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1153

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.15.3 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1125
{Or	Code <Cd>	[1..1]	CodeSet		1125
Or}	Proprietary <Prtry>	[1..1]	±		1125
	Rate <Rate>	[1..1]	Rate		1126

16.1.22.15.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType42Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1125
Or}	Proprietary <Prtry>	[1..1]	±		1125

16.1.22.15.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1238

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

16.1.22.15.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.22.15.3.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[Percentage14Rate](#)" on page 1242

16.1.22.16 CorporateActionRate122

Definition: Specifies rates of a corporate action.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InterestRate <IntrstRate>	[0..1]	±		1126
	PercentageSought <PctgSght>	[0..1]	±		1127
	RelatedIndex <RltdIndx>	[0..1]	±		1127
	Spread <Sprd>	[0..1]	±		1127
	BidInterval <BidIntrvl>	[0..1]	±		1128
	PreviousFactor <PrvsFctr>	[0..1]	±		1128
	NextFactor <NxtFctr>	[0..1]	±		1128
	ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>	[0..1]	±		1128
	InterestShortfall <IntrstShrtfll>	[0..1]	±		1129
	RealisedLoss <RealsdLoss>	[0..1]	±		1129
	DeclaredRate <DclrdRate>	[0..1]	±		1129
	IndexFactor <IndxFctr>	[0..1]	±		1130

Constraints

- **DeclaredRateRule**

DeclaredRate may only be used if the dividend or interest declared by the issuer is actually paid in a different currency than the declared one or if the dividend or the interest event makes it possible to elect amongst multiple currency options.

16.1.22.16.1 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

InterestRate <IntrstRate> contains one of the following elements (see ["RateAndAmountFormat57Choice"](#) on page 1123 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1123
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1123
Or}	Amount <Amt>	[1..1]	Amount	C2	1123

16.1.22.16.2 PercentageSought <PctgSght>

Presence: [0..1]

Definition: Percentage of securities the offeror/issuer will purchase or redeem under the terms of the event.

PercentageSought <PctgSght> contains one of the following elements (see ["RateFormat25Choice"](#) on page 1122 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1122
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1123

16.1.22.16.3 RelatedIndex <RltdIdx>

Presence: [0..1]

Definition: Index rate related to the interest rate of the forthcoming interest payment.

RelatedIndex <RltdIdx> contains one of the following elements (see ["RateFormat24Choice"](#) on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

16.1.22.16.4 Spread <Sprd>

Presence: [0..1]

Definition: Margin allowed over or under a given rate.

Spread <Sprd> contains one of the following elements (see ["RateFormat24Choice"](#) on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

16.1.22.16.5 BidInterval <BidIntrvl>*Presence:* [0..1]*Definition:* Acceptable price increment used for submitting a bid.**BidInterval <BidIntrvl>** contains one of the following elements (see "[RateAndAmountFormat58Choice](#)" on page 1121 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1122
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1122
Or	Amount <Amt>	[1..1]	Amount	C2	1122
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1122

16.1.22.16.6 PreviousFactor <PrvsFctr>*Presence:* [0..1]*Definition:* Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.**PreviousFactor <PrvsFctr>** contains one of the following elements (see "[RateFormat12Choice](#)" on page 965 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		965
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		965

16.1.22.16.7 NextFactor <NxtFctr>*Presence:* [0..1]*Definition:* Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.**NextFactor <NxtFctr>** contains one of the following elements (see "[RateFormat12Choice](#)" on page 965 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		965
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		965

16.1.22.16.8 ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>*Presence:* [0..1]*Definition:* Rate of discount for securities purchased through a reinvestment scheme as compared to the current market price of security.

ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt> contains one of the following elements (see ["RateFormat24Choice"](#) on page 1099 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1099
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1099

16.1.22.16.9 InterestShortfall <IntrstShrtfll>

Presence: [0..1]

Definition: For structured security issues where there is a set schedule of principal and interest payments for the life of the issue, this is the difference between the actual rate of the interest payment and the expected or scheduled rate of the interest payment.

InterestShortfall <IntrstShrtfll> contains one of the following elements (see ["RateAndAmountFormat59Choice"](#) on page 1121 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1121
Or}	Amount <Amt>	[1..1]	Amount	C2	1121

16.1.22.16.10 RealisedLoss <RealsdLoss>

Presence: [0..1]

Definition: For structured security issues where there is a set schedule of principal and interest payments for the life of the issue, this is the difference between the actual rate of the capital or principal repayment and the scheduled capital repayment.

RealisedLoss <RealsdLoss> contains one of the following elements (see ["RateAndAmountFormat59Choice"](#) on page 1121 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1121
Or}	Amount <Amt>	[1..1]	Amount	C2	1121

16.1.22.16.11 DeclaredRate <DclrdRate>

Presence: [0..1]

Definition: Dividend or interest rate declared by the issuer.

DeclaredRate <DclrdRate> contains one of the following elements (see ["RateAndAmountFormat59Choice"](#) on page 1121 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1121
Or}	Amount <Amt>	[1..1]	Amount	C2	1121

16.1.22.16.12 IndexFactor <IndxFctr>*Presence:* [0..1]*Definition:* Public index rate applied to the amount paid to adjust it to inflation.**IndexFactor <IndxFctr>** contains one of the following elements (see "RateAndAmountFormat57Choice" on page 1123 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1123
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1123
Or}	Amount <Amt>	[1..1]	Amount	C2	1123

16.1.23 Safekeeping Place**16.1.23.1 SafekeepingPlaceFormat42Choice***Definition:* Choice of formats for a place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			1130
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1130
	Identification <Id>	[0..1]	Text		1131
Or	Country <Ctry>	[1..1]	CodeSet	C3	1131
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		1131
Or	TypeAndIdentification <TpAndId>	[1..1]			1131
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1132
	Identification <Id>	[1..1]	IdentifierSet	C1	1132
Or}	Proprietary <Prtry>	[1..1]	±		1132

16.1.23.1.1 Identification <Id>*Presence:* [1..1]*Definition:* Place of safekeeping expressed as a code and a narrative description.**Identification <Id>** contains the following **SafekeepingPlaceTypeAndText6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1130
	Identification <Id>	[0..1]	Text		1131

16.1.23.1.1.1 SafekeepingPlaceType <SfkpgPlcTp>*Presence:* [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace2Code" on page 1236

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

16.1.23.1.1.2 Identification <Id>

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "Max35Text" on page 1246

16.1.23.1.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1203

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.23.1.3 DigitalLedgerIdentification <DgtlLdgrId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: "DTI2024Identifier" on page 1240

16.1.23.1.4 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1132
	Identification <Id>	[1..1]	IdentifierSet	C1	1132

16.1.23.1.4.1 SafekeepingPlaceType <SfkpgPlcTp>*Presence:* [1..1]*Definition:* Place of safekeeping as a code.*Datatype:* "SafekeepingPlace1Code" on page 1236

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

16.1.23.1.4.2 Identification <Id>*Presence:* [1..1]*Definition:* Place of safekeeping.*Impacted by:* C1 "AnyBIC"*Datatype:* "AnyBICDec2014Identifier" on page 1239**Constraints**

- AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.23.1.5 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "GenericIdentification78" on page 875 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		875
	Identification <Id>	[0..1]	Text		875

16.1.24 Securities Quantity**16.1.24.1 FinancialInstrumentQuantity18Choice***Definition:* Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1133
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		1133

16.1.24.1.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "DecimalNumber" on page 1241

16.1.24.1.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 1155

16.1.24.2 OriginalAndCurrentQuantities1

Definition: Original and current value of an asset-back instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FaceAmount <FaceAmt>	[1..1]	Amount		1133
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1133

16.1.24.2.1 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 1155

16.1.24.2.2 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "ImpliedCurrencyAndAmount" on page 1155

16.1.25 Settlement Chain

16.1.25.1 SettlementParties129

Definition: Specifies settlement parties (delivering/receiving).

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]		C3	1134
	Identification <Id>	[1..1]			1135
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1135
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1136
Or	Country <Ctry>	[1..1]	CodeSet	C3	1136
Or}	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		1136
	ProcessingIdentification <PrcgId>	[0..1]	Text		1136
	AlternateIdentification <AltrnId>	[0..*]	±		1136
	Party1 <Pty1>	[0..1]	±	C4, C54, C56, C58	1137
	Party2 <Pty2>	[0..1]	±	C4, C54, C56, C58	1138
	Party3 <Pty3>	[0..1]	±	C4, C54, C56, C58	1139

Constraints

- **Party2PresenceRule**

If Party 2 is present, then Party 1 must be present.

```
On Condition
  /Party2 is present
Following Must be True
  /Party1 Must be present
```

- **Party3PresenceRule**

If Party 3 is present, then Party 2 must be present.

```
On Condition
  /Party3 is present
Following Must be True
  /Party2 Must be present
```

16.1.25.1.1 Depository <Dpstry>

Presence: [0..1]

Definition: First receiving party in the settlement chain. In a plain vanilla settlement, it is the central securities depository where the receiving side of the transaction requests to receive the financial instrument.

Impacted by: C3 "AdditionalInformationRule"

Depository <Dpstry> contains the following **PartyIdentification316** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1135
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1135
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1136
Or	Country <Ctry>	[1..1]	CodeSet	C3	1136
Or}	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		1136
	ProcessingIdentification <PrclId>	[0..1]	Text		1136
	AlternateIdentification <AltrnId>	[0..*]	±		1136

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

16.1.25.1.1.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following **PartyIdentification257Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1135
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1136
Or	Country <Ctry>	[1..1]	CodeSet	C3	1136
Or}	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		1136

16.1.25.1.1.1.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1239

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.25.1.1.1.2 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

NameAndAddress <NmAndAdr> contains the following elements (see ["NameAndAddress5" on page 1051](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1052
	Address <Adr>	[0..1]	±		1052

16.1.25.1.1.1.3 Country <Ctry>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

Impacted by: [C3 "Country"](#)

Datatype: ["CountryCode" on page 1203](#)

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.25.1.1.1.4 DigitalLedgerIdentification <DgtlLdgrId>

Presence: [1..1]

Definition: Place of settlement expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: ["DTI2024Identifier" on page 1240](#)

16.1.25.1.1.2 ProcessingIdentification <PrcgId>

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: ["Max35Text" on page 1246](#)

16.1.25.1.1.3 AlternateIdentification <AltrnId>

Presence: [0..*]

Definition: Provides alternate identification for a party using an id type, a country code and a text field.

AlternateIdentification <AltrnId> contains the following elements (see "[AlternatePartyIdentification7](#)" on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		1043
	Country <Ctry>	[1..1]	CodeSet	C3	1043
	AlternateIdentification <AltrnId>	[1..1]	Text		1043

16.1.25.1.2 Party1 <Pty1>

Presence: [0..1]

Definition: Party that interacts with the depository.

Impacted by: C4 "AdditionalInformationRule", C54 "SafekeepingAccountOrBlockChainAddress1Rule", C56 "SafekeepingAccountOrBlockChainAddress2Rule", C58 "SafekeepingAccountOrBlockChainAddress3Rule"

Party1 <Pty1> contains the following elements (see "[PartyIdentificationAndAccount204](#)" on page 1033 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1034
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1034
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		1034
	ProcessingIdentification <PrctlId>	[0..1]	Text		1034
	AlternateIdentification <AltrnId>	[0..*]	±		1034

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

16.1.25.1.3 Party2 <Pty2>

Presence: [0..1]

Definition: Party that interacts with the party1.

Impacted by: C4 "AdditionalInformationRule", C54 "SafekeepingAccountOrBlockChainAddress1Rule", C56 "SafekeepingAccountOrBlockChainAddress2Rule", C58 "SafekeepingAccountOrBlockChainAddress3Rule"

Party2 <Pty2> contains the following elements (see "PartyIdentificationAndAccount204" on page 1033 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1034
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1034
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		1034
	ProcessingIdentification <PrctlId>	[0..1]	Text		1034
	AlternateIdentification <AltrnId>	[0..*]	±		1034

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True
 /BlockchainAddressOrWallet Must be absent

16.1.25.1.4 Party3 <Pty3>

Presence: [0..1]

Definition: Party that interacts with the party2.

Impacted by: C4 "AdditionalInformationRule", C54 "SafekeepingAccountOrBlockchainAddress1Rule", C56 "SafekeepingAccountOrBlockchainAddress2Rule", C58 "SafekeepingAccountOrBlockchainAddress3Rule"

Party3 <Pty3> contains the following elements (see "PartyIdentificationAndAccount204" on page 1033 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1034
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1034
	BlockchainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		1034
	ProcessingIdentification <PrctlId>	[0..1]	Text		1034
	AlternateIdentification <AltrId>	[0..*]	±		1034

Constraints

- AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

- SafekeepingAccountOrBlockchainAddress1Rule**

Either SafekeepingAccount or BlockchainAddressOrWallet must be present but not both.

Following Must be True
 /SafekeepingAccount Must be present
 Or /BlockchainAddressOrWallet Must be present

- SafekeepingAccountOrBlockchainAddress2Rule**

If BlockchainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition
 /BlockchainAddressOrWallet is present
 Following Must be True
 /SafekeepingAccount Must be absent

- SafekeepingAccountOrBlockchainAddress3Rule**

If SafekeepingAccount is present, then BlockchainAddressOrWallet must be absent.

On Condition
 /SafekeepingAccount is present
 Following Must be True
 /BlockchainAddressOrWallet Must be absent

16.1.26 Status

16.1.26.1 CancelledStatus5

Definition: Choice between a reason or no reason for the corporate action instruction or instruction cancellation cancelled/cancellation completed status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CancelledQuantity <CancQty>	[0..1]	±		1140
	CancellationReason <CxlRsn>	[1..1]	±		1140

16.1.26.1.1 CancelledQuantity <CancQty>

Presence: [0..1]

Definition: Quantity of instructed securities for which instruction is cancelled.

CancelledQuantity <CancQty> contains one of the following elements (see "[Quantity51Choice](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C14	1092
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		1092

16.1.26.1.2 CancellationReason <CxlRsn>

Presence: [1..1]

Definition: Reason for the cancelled status.

CancellationReason <CxlRsn> contains one of the following elements (see "[CancelledStatus12Choice](#)" on page 1146 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1147
Or}	Reason <Rsn>	[1..*]		C3	1147
	ReasonCode <RsnCd>	[1..1]			1147
{Or	Code <Cd>	[1..1]	CodeSet		1148
Or}	Proprietary <Prtry>	[1..1]	±		1148
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1148

16.1.26.2 ProprietaryStatusAndReason6

Definition: Provides the proprietary status and reason of an instruction or an instruction cancellation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		1141
	ProprietaryReason <PrtryRsn>	[0..*]	±	C2	1141

16.1.26.2.1 ProprietaryStatus <PrtrySts>

Presence: [1..1]

Definition: Proprietary identification of the status related to an instruction.

ProprietaryStatus <PrtrySts> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.26.2.2 ProprietaryReason <PrtryRsn>

Presence: [0..*]

Definition: Proprietary identification of the reason related to a proprietary status.

Impacted by: [C2 "AdditionalReasonInformationRule"](#)

ProprietaryReason <PrtryRsn> contains the following elements (see "[ProprietaryReason4](#)" on page 1143 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	±		1144
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1144

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.3 AcceptedStatus8Choice

Definition: Choice between a reason or no reason for the corporate action instruction processing accepted status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1142
Or}	Reason <Rsn>	[1..*]		C4	1142
	ReasonCode <RsnCd>	[1..1]			1142
{Or	Code <Cd>	[1..1]	CodeSet		1143
Or}	Proprietary <Prtry>	[1..1]	±		1143
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1143

16.1.26.3.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1220

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.3.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the accepted status.

Impacted by: C4 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **AcceptedStatusReason9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1142
{Or	Code <Cd>	[1..1]	CodeSet		1143
Or}	Proprietary <Prtry>	[1..1]	±		1143
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1143

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.3.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction or instruction cancellation has been accepted.

ReasonCode <RsnCd> contains one of the following **AcceptedReason10Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1143
Or}	Proprietary <Prtry>	[1..1]	±		1143

16.1.26.3.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify additional information about the processed instruction.

Datatype: "AcknowledgementReason7Code" on page 1155

CodeName	Name	Definition
NSTP	NotStraightThroughProcessing	Instruction was not straight through processing and had to be processed manually.
OTHR	Other	Other. See Narrative.

16.1.26.3.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of additional information about the processed instruction.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.26.3.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "Max210Text" on page 1244

16.1.26.4 ProprietaryReason4

Definition: Proprietary identification of the reason related to a status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	±		1144
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1144

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.4.1 Reason <Rsn>

Presence: [0..1]

Definition: Proprietary identification of the reason related to a status.

Reason <Rsn> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.26.4.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[Max210Text](#)" on page 1244

16.1.26.5 NoSpecifiedReason1

Definition: No specified reason available for the status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpcdfRsn>	[1..1]	CodeSet		1144

16.1.26.5.1 NoSpecifiedReason <NoSpcdfRsn>

Presence: [1..1]

Definition: Indicates that there is no reason available or to report.

Datatype: "[NoReasonCode](#)" on page 1220

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.6 CancelledStatus11Choice

Definition: Choice between a reason or no reason for the corporate action instruction or instruction cancellation cancelled/cancellation completed status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1145
Or}	Reason <Rsn>	[1..*]		C4	1145
	ReasonCode <RsnCd>	[1..1]			1145
{Or	Code <Cd>	[1..1]	CodeSet		1146
Or}	Proprietary <Prtry>	[1..1]	±		1146
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1146

16.1.26.6.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1220

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.6.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the cancelled status.

Impacted by: C4 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **CancelledStatusReason12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1145
{Or	Code <Cd>	[1..1]	CodeSet		1146
Or}	Proprietary <Prtry>	[1..1]	±		1146
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1146

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.6.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction or instruction cancellation has been cancelled.

ReasonCode <RsnCd> contains one of the following **CancelledReason9Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1146
Or}	Proprietary <Prtry>	[1..1]	±		1146

16.1.26.6.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction is cancelled.

Datatype: "CancelledStatusReason5Code" on page 1163

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
OTHR	Other	Other. See Narrative.

16.1.26.6.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification specifying the reason why the instruction is cancelled.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.26.6.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "Max210Text" on page 1244

16.1.26.7 CancelledStatus12Choice

Definition: Choice between a reason or no reason for the corporate action instruction or instruction cancellation cancelled/cancellation completed status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1147
Or}	Reason <Rsn>	[1..*]		C3	1147
	ReasonCode <RsnCd>	[1..1]			1147
{Or	Code <Cd>	[1..1]	CodeSet		1148
Or}	Proprietary <Prtry>	[1..1]	±		1148
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1148

16.1.26.7.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1220

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.7.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the cancelled status.

Impacted by: C3 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **CancelledStatusReason11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1147
{Or	Code <Cd>	[1..1]	CodeSet		1148
Or}	Proprietary <Prtry>	[1..1]	±		1148
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1148

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.7.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction or instruction cancellation has been cancelled.

ReasonCode <RsnCd> contains one of the following **CancelledReason8Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1148
Or}	Proprietary <Prtry>	[1..1]	±		1148

16.1.26.7.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction is cancelled.

Datatype: "CancelledStatusReason6Code" on page 1164

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
CANO	CancelledByOther	Transaction is cancelled by a party other than the instructing party, for example, a market infrastructure such as a stock exchange.
CANS	CancelledBySystem	Transaction is cancelled by the system.
CSUB	CancelledByAgent	Instruction has been cancelled by the agent due to an event deadline extension.
OTHR	Other	Other. See Narrative.

16.1.26.7.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification specifying the reason why the instruction is cancelled.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.26.7.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "Max210Text" on page 1244

16.1.26.8 PendingStatusReason29

Definition: Specifies reasons for the pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]	±		1149
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1149

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.8.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction's processing is pending.

ReasonCode <RsnCd> contains one of the following elements (see "[PendingReason70Choice](#)" on page 1149 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1149
Or}	Proprietary <Prtry>	[1..1]	±		1150

16.1.26.8.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[Max210Text](#)" on page 1244

16.1.26.9 PendingReason70Choice

Definition: Choice between a standard code and a proprietary code to specify the reason why the instruction/event has a pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1149
Or}	Proprietary <Prtry>	[1..1]	±		1150

16.1.26.9.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction/event has a pending status.

Datatype: "[PendingReason29Code](#)" on page 1225

CodeName	Name	Definition
NPAY	NoPayment	Payment has not been made by issuer.

CodeName	Name	Definition
NSEC	NotDelivered	Financial instruments have not been delivered by the issuer.
OTHR	Other	Other. See Narrative.
AUTH	SentToTaxAuthorities	Instruction/event is pending because the reclaim is sent to the tax authorities.

16.1.26.9.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why the instruction/event has a pending status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.26.10 PendingCancellationStatus13Choice

Definition: Choice between a reason or no reason for the corporate action instruction cancellation pending cancellation status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1150
Or}	Reason <Rsn>	[1..*]		C1, C6	1150
	ReasonCode <RsnCd>	[1..1]			1151
{Or	Code <Cd>	[1..1]	CodeSet		1151
Or}	Proprietary <Prtry>	[1..1]	±		1152
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1152

16.1.26.10.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "[NoReasonCode](#)" on page 1220

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.10.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the pending cancellation status.

Impacted by: C1 "AccountServicerDeadlineMissedGuideline", C6 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **PendingCancellationStatusReason7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1151
{Or	Code <Cd>	[1..1]	CodeSet		1151
Or}	Proprietary <Prtry>	[1..1]	±		1152
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1152

Constraints

- **AccountServicerDeadlineMissedGuideline**

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.10.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the cancellation request is pending.

ReasonCode <RsnCd> contains one of the following **PendingCancellationReason5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1151
Or}	Proprietary <Prtry>	[1..1]	±		1152

16.1.26.10.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why a cancellation request sent for the related instruction is pending.

Datatype: "PendingCancellationReason5Code" on page 1224

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
LATE	MarketDeadlineMissed	Received after market deadline.

CodeName	Name	Definition
OTHR	Other	Other. See Narrative.

16.1.26.10.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why a cancellation request sent for the related instruction is pending.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 873 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		873
	Issuer <Issr>	[1..1]	Text		873
	SchemeName <SchmeNm>	[0..1]	Text		874

16.1.26.10.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[Max210Text](#)" on page 1244

16.1.26.11 AcceptedStatus2

Definition: Status and quantity information related to an accepted instruction request.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AcceptedQuantity <AccptdQty>	[0..1]	±		1152
	AcceptedReason <AccptdRsn>	[1..1]	±		1152

16.1.26.11.1 AcceptedQuantity <AccptdQty>

Presence: [0..1]

Definition: Quantity of instructed securities that has been accepted for further processing.

AcceptedQuantity <AccptdQty> contains one of the following elements (see "[Quantity51Choice](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C14	1092
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		1092

16.1.26.11.2 AcceptedReason <AccptdRsn>

Presence: [1..1]

Definition: Reason for the accepted status.

AcceptedReason <AccptdRsn> contains one of the following elements (see "AcceptedStatus8Choice" on page 1141 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1142
Or}	Reason <Rsn>	[1..*]		C4	1142
	ReasonCode <RsnCd>	[1..1]			1142
{Or	Code <Cd>	[1..1]	CodeSet		1143
Or}	Proprietary <Prtry>	[1..1]	±		1143
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1143

16.1.27 System Identification

16.1.27.1 ClearingSystemIdentification2Choice

Definition: Choice of a clearing system identifier.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1153
Or}	Proprietary <Prtry>	[1..1]	Text		1153

16.1.27.1.1 Code <Cd>

Presence: [1..1]

Definition: Identification of a clearing system, in a coded form as published in an external list.

Datatype: "ExternalClearingSystemIdentification1Code" on page 1207

16.1.27.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Identification code for a clearing system, that has not yet been identified in the list of clearing systems.

Datatype: "Max35Text" on page 1246

16.2 Message Datatypes

16.2.1 Amount

16.2.1.1 ActiveCurrencyAnd13DecimalAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 1155

Format

minInclusive	0
totalDigits	18
fractionDigits	13

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.2.1.2 ActiveCurrencyAndAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 1155

Format

minInclusive	0
totalDigits	18
fractionDigits	5

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

16.2.1.3 ImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

minInclusive	0
totalDigits	18
fractionDigits	5

16.2.2 CodeSet

16.2.2.1 AcknowledgementReason7Code

Definition: Specifies additional information about the processed instruction.

Type: CodeSet

CodeName	Name	Definition
NSTP	NotStraightThroughProcessing	Instruction was not straight through processing and had to be processed manually.
OTHR	Other	Other. See Narrative.

16.2.2.2 ActiveCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern	[A-Z]{3,3}
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Constraints

• ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.2.2.3 ActiveOrHistoricCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern [A-Z]{3,3}

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

16.2.2.4 AdditionalBusinessProcess10Code

Definition: Specifies the additional business process linked to a corporate action event.

Type: CodeSet

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
REVR	NotificationOfReversal	Indicates a preadvice of movement reversal.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.

CodeName	Name	Definition
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.

16.2.2.5 AdditionalBusinessProcess12Code

Definition: Specifies the additional business process linked to a corporate action event such as an automatic market claim.

Type: CodeSet

CodeName	Name	Definition
CLFT	FullTransferOfReceivedProceeds	Market Claim is to transfer proceeds received from the issuer to the entitled party.
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
CLNT	PartialTransferOfReceivedProceeds	Market Claim is to transfer proceeds partially received from the issuer to the entitled party. Part is compensated in cash by the party that failed to fulfil its obligation.
CLPT	NoTransferOfReceivedProceeds	Market Claim is to compensate the entitled party in cash by the party that failed to fulfil its obligation. No proceeds have been received from the issuer.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new

CodeName	Name	Definition
		security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.

16.2.2.6 AdditionalBusinessProcess7Code

Definition: Specifies the additional business process linked to a corporate action event.

Type: CodeSet

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.

CodeName	Name	Definition
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.

16.2.2.7 AdditionalBusinessProcess8Code

Definition: Specifies the additional business process linked to a corporate action event such as an automatic market claim.

Type: CodeSet

CodeName	Name	Definition
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.

16.2.2.8 AdditionalBusinessProcess9Code

Definition: Specifies the additional business process linked to a corporate action event.

Type: CodeSet

CodeName	Name	Definition
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.

16.2.2.9 AddressType2Code*Definition:* Specifies the type of address.*Type:* CodeSet

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

16.2.2.10 AmountPriceType1Code*Definition:* Specifies the amount price type.*Type:* CodeSet

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.2.2.11 AmountPriceType2Code*Definition:* Specifies the amount price type.*Type:* CodeSet

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.

16.2.2.12 AmountPriceType3Code*Definition:* Specifies the amount price type.*Type:* CodeSet

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
PLOT	Lot	Price expressed as an amount of money per lot.

16.2.2.13 BeneficiaryCertificationType5Code

Definition: Specifies the type of certification required.

Type: CodeSet

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.

16.2.2.14 BeneficiaryCertificationType6Code

Definition: Specifies the type of certification required.

Type: CodeSet

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
NDOM	NonDomicileCountry	The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
PABD	ICSDParticipantBreakdown	The (I)CSD has to provide its participants' details for disclosure purpose to the issuer/issuer's agent.
FRAC	FractionBreakdown	Indicates that the rounding rule in the Fraction Disposition component at the

CodeName	Name	Definition
		option level can be offered at the beneficial owner level.
PAPW	BeneficialOwnerPaperwork	Indicates if the Beneficial Owner is responsible to fill in the paperwork.
DIOR	IntermediaryDocumentationRequired	Documentation required on or prior to the documentation deadline date.

16.2.2.15 BidRangeType1Code

Definition: Types of acceptable bid range values.

Type: CodeSet

CodeName	Name	Definition
DIVI	Divisible	All values (including minimum and maximum prices) are divisible by the bid interval.
INCR	Incremental	No values within the bid range are divisible by the bid interval including the minimum and maximum prices. Each value between the minimum and maximum prices is incremented by the bid interval.
MULT	InMultiple	Minimum and maximum prices are not divisible by the bid interval. All successive values between the minimum and maximum prices are in multiples of the bid interval.

16.2.2.16 BusinessMessagePriorityCode

Definition: Specifies the priority levels for the BusinessMessage.

The different priorities are typically user / service / implementation dependent. The semantics of the different values for a Message (Set) need to be defined by the relevant user community (SEG.).

Type: CodeSet

Constraints

- **ValidationByTable**

16.2.2.17 CancelledStatusReason5Code

Definition: Specifies the underlying reason for the cancellation of the associated transaction.

Type: CodeSet

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
OTHR	Other	Other. See Narrative.

16.2.2.18 CancelledStatusReason6Code

Definition: Specifies the underlying reason for the cancellation of the associated transaction.

Type: CodeSet

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
CANO	CancelledByOther	Transaction is cancelled by a party other than the instructing party, for example, a market infrastructure such as a stock exchange.
CANS	CancelledBySystem	Transaction is cancelled by the system.
CSUB	CancelledByAgent	Instruction has been cancelled by the agent due to an event deadline extension.
OTHR	Other	Other. See Narrative.

16.2.2.19 CertificationFormatType1Code

Definition: Specifies the certification format required, that is, physical or electronic format.

Type: CodeSet

CodeName	Name	Definition
ELEC	Electronic	Certification must be provided in electronic format.
PHYS	Physical	Certification must be provided in physical format.

16.2.2.20 ConsentType1Code

Definition: Specifies the type of consent announced.

Type: CodeSet

CodeName	Name	Definition
CTRM	ChangeInTerms	Change in the terms and conditions of the bond.
DUPY	DueAndPayable	Change in the due and payable conditions.

16.2.2.21 CopyDuplicate1Code

Definition: Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

Type: CodeSet

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.

CodeName	Name	Definition
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

16.2.2.22 CorporateActionCancellationReason1Code

Definition: Specifies reasons for cancellation of a corporate action event.

Type: CodeSet

CodeName	Name	Definition
WITH	Withdrawal	Cancellation due to the corporate action event being cancelled by the issuer.
PROC	Processing	Cancellation due to a processing error.

16.2.2.23 CorporateActionChangeType1Code

Definition: Specifies the type of changes.

Type: CodeSet

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.
DECI	Decimalisation	Process of changing the accounting method of a security, for example, mutual fund, from units to a quantity with decimals.

16.2.2.24 CorporateActionChangeType2Code*Definition:* Specifies the type of changes.*Type:* CodeSet

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.

16.2.2.25 CorporateActionEventProcessingType1Code*Definition:* Specifies the type of event processing.*Type:* CodeSet

CodeName	Name	Definition
GENL	General	No debit or credit of resources.
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

16.2.2.26 CorporateActionEventStage3Code*Definition:* Specifies the stage of the CA event.*Type:* CodeSet

CodeName	Name	Definition
APPD	Approved	Approved at the relevant meeting, for example, dividend.

CodeName	Name	Definition
CLDE	Deactivated	Offer is closed for acceptance.
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
LAPS	Lapsed	Event/offer conditions have not been met and the event/offer is terminated or lapsed.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, for example, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.

16.2.2.27 CorporateActionEventStage4Code

Definition: Specifies the stage of the CA lottery event.

Type: CodeSet

CodeName	Name	Definition
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.

16.2.2.28 CorporateActionEventType35Code

Definition: Specifies the corporate action event type.

Type: CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding.

CodeName	Name	Definition
		Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.

CodeName	Name	Definition
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.

CodeName	Name	Definition
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The

CodeName	Name	Definition
		redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares

CodeName	Name	Definition
		premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.

CodeName	Name	Definition
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.

16.2.2.29 CorporateActionEventType36Code

Definition: Specifies the corporate action event type.

Type: CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often

CodeName	Name	Definition
		reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial

CodeName	Name	Definition
		obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.

CodeName	Name	Definition
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or

CodeName	Name	Definition
		assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change

CodeName	Name	Definition
		in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.

CodeName	Name	Definition
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.

16.2.2.30 CorporateActionEventType37Code

Definition: Specifies the corporate action event type.

Type: CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital

CodeName	Name	Definition
		account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.

CodeName	Name	Definition
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for

CodeName	Name	Definition
		different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.

CodeName	Name	Definition
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.

CodeName	Name	Definition
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific

CodeName	Name	Definition
		amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
MTNG	SecuritiesHoldersMeeting	Ordinary or annual or extraordinary or special general meeting.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.

16.2.2.31 CorporateActionEventType40Code

Definition: Specifies the corporate action event type.

Type: CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of

CodeName	Name	Definition
		payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHD). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise

CodeName	Name	Definition
		periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn

CodeName	Name	Definition
		bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.

CodeName	Name	Definition
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.

CodeName	Name	Definition
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.

CodeName	Name	Definition
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.

16.2.2.32 CorporateActionFrequencyType5Code

Definition: Automatic Reinvestment of Cash distributed by accumulating funds.

Type: CodeSet

CodeName	Name	Definition
FINL	Final	Payment of a dividend, less amounts already paid through interim dividends.
INTE	Interim	Dividend declared and paid before annual earnings have been determined.
REIN	Reinvestment	Reinvestment of cash distributed.
REGR	Regular	Dividend paid at regular interval, for example, quarterly.
SPEC	Special	Dividend in addition to the regular dividend being paid by the company.
SPRE	SpecialDividendWithReinvestment	Special Dividend with reinvestment component.

16.2.2.33 CorporateActionInformationType1Code

Definition: Specifies the type of information event.

Type: CodeSet

CodeName	Name	Definition
CONF	ConferenceCall	Information is about an informal call when an issuer, trustee, agent wishes to talk to note holders to discuss a certain issue or potential change to the notes; nothing said being binding.

16.2.2.34 CorporateActionMandatoryVoluntary1Code

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

Type: CodeSet

CodeName	Name	Definition
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

16.2.2.35 CorporateActionMovementPreliminaryAdviceFunction1Code

Definition: Specifies whether the message is a final eligible balance and entitlements message or a preliminary advice of payment message.

Type: CodeSet

CodeName	Name	Definition
ENTL	Entitlement	Message is an entitlement.
CAPA	PreadviceOfMovement	Message is a preadvice of movement.

16.2.2.36 CorporateActionNarrative1Code

Definition: Specifies the narrative type of a corporate action.

Type: CodeSet

CodeName	Name	Definition
TAXE	TaxationConditions	Provides taxation conditions that cannot be included within the structured fields of this message.
REGI	RegistrationDetails	Provides information required for the registration.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
RFMC	ICSDDenialOfMarkUpDownConfirmation	In the context of a corporate action, an International Central Securities

CodeName	Name	Definition
		Depository (ICSD) refuses a mark-up/ mark-down confirmation sent by the account servicer.
PAUT	ChasingOfPriorAuthorization	International Central Securities Depository (ICSD) chases the authorisation from the account servicer in order to process the exchange from global temporary to global permanent.
CTIN	ConfirmationOfHoldingTransfer	Confirmation of transfer of interests between International Central Securities Depositories (ICSDs) following a corporate actions.

16.2.2.37 CorporateActionNotificationType1Code

Definition: Type of notification advice.

Type: CodeSet

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

16.2.2.38 CorporateActionOption11Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.

CodeName	Name	Definition
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

16.2.2.39 CorporateActionOption12Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income

CodeName	Name	Definition
		with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.

CodeName	Name	Definition
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

16.2.2.40 CorporateActionOption15Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-

CodeName	Name	Definition
		out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.2.2.41 CorporateActionOption16Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CERT	Certification	Certification is provided.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.

CodeName	Name	Definition
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
TAXI	TaxInstruction	Tax instruction.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

CodeName	Name	Definition
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.2.2.42 CorporateActionOption17Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global

CodeName	Name	Definition
		certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
TAXI	TaxInstruction	Tax instruction.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.2.2.43 CorporateActionPreliminaryAdviceType1Code

Definition: Type of movement preliminary advice.

Type: CodeSet

CodeName	Name	Definition
NEWM	New	New movement preliminary advice.
REPL	Replacement	Movement preliminary advice replacing a previously sent preliminary advice.

16.2.2.44 CorporateActionReversalReason3Code

Definition: Specifies the reason why a reversal of payment is taking place in corporate action processing.

Type: CodeSet

CodeName	Name	Definition
DCBD	DayCountBasisDifference	Difference in day count basis.

CodeName	Name	Definition
FNRC	FundsNotReceived	Funds have not been received.
IREC	IncorrectEntitlementDate	Entitlement date is incorrect.
IETR	IncorrectEventLevelTaxRate	Event level tax rate is incorrect.
IPCU	IncorrectPaymentCurrency	Payment currency is incorrect.
IPRI	IncorrectPrice	Price is incorrect.
IVAD	IncorrectValueDate	Value date is incorrect.
UPAY	UnduePayment	Payment is not due.
OTHR	Other	Other

16.2.2.45 CorporateActionStatementReportingType1Code

Definition: Type of statement reporting on account holdings for corporate action events for example. reporting on multiple accounts or multiple events.

Type: CodeSet

CodeName	Name	Definition
MASE	MultipleAccounts	The reporting is for a single event and for multiple accounts.
SAME	MultipleEvents	The reporting is for multiple events for a single account.

16.2.2.46 CorporateActionStatementType2Code

Definition: Indicates whether the statement contains missing instructions only or all instructions.

Type: CodeSet

CodeName	Name	Definition
MISS	Missing	Statement contains missing instructions.
ALLL	All	Statement contains all instructions.
BALO	BalanceOnlyNotification	Balance information with no instruction details provided.
BALI	BalanceInformation	Balance information with instruction details.

16.2.2.47 CorporateActionTaxableIncomePerShareCalculated1Code

Definition: Specifies whether the fund calculates the taxable income per dividend/taxable income per share (TID/TIS).

Type: CodeSet

CodeName	Name	Definition
TDIY	Calculated	Fund is calculating the taxable income per dividend/taxable income per share.
TDIN	NotCalculated	Fund is not calculating the taxable income per dividend/taxable income per share.

CodeName	Name	Definition
UKWN	Unknown	Unknown whether the fund is calculating the taxable income per dividend/taxable income per share.

16.2.2.48 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.2.2.49 CreditDebitCode

Definition: Specifies if an operation is an increase or a decrease.

Type: CodeSet

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

16.2.2.50 DateType1Code

Definition: Specifies when date is unknown.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

16.2.2.51 DateType7Code

Definition: Type of date.

Type: CodeSet

CodeName	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.2.2.52 DateType8Code

Definition: Specifies the type of dates.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.2.2.53 DateType9Code

Definition: Date is specified as a fixed code value.

Type: CodeSet

CodeName	Name	Definition
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
UKWN	Unknown	Date is unknown by the sender or has not been established.

16.2.2.54 DeemedRateType1Code

Definition: Specifies the type of a deemed rate.

Type: CodeSet

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

16.2.2.55 DeliveryReceiptType2Code

Definition: Specifies how the transaction is to be settled.

Type: CodeSet

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

16.2.2.56 DistributionType3Code

Definition: Specifies whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

Type: CodeSet

CodeName	Name	Definition
FINL	Final	Final payment.
INTE	Interim	Interim payment.
ONGO	Ongoing	Event is ongoing for acceptance on an unsolicited basis.
ROLL	RollingBasis	Event will have a number of acceptance and payment dates until further announcement by the Issuer or its agent.

16.2.2.57 DividendRateType1Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.

16.2.2.58 DutchAuctionType1Code

Definition: Specifies the type of Dutch auction.

Type: CodeSet

CodeName	Name	Definition
MDFD	Modified	All instructions are accepted at the same price (issuer bid).
UNMD	Unmodified	All instructions are accepted at the instructed price (offering bid).

16.2.2.59 ElectionMovementType2Code

Definition: Specifies the effect on the holdings of electing a Corporate Action option.

Type: CodeSet

CodeName	Name	Definition
DRCT	Direct	Direct movement of resource, that is, cash or security that is directly credited to the paying agent account.
SEQD	Sequestered	Election results in a change of balance type that transfers control of the securities rather than the securities themselves.

16.2.2.60 EUCapitalGain2Code

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June) for an income realised upon sale, a refund or redemption of shares and units etc.

Type: CodeSet

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

16.2.2.61 EventCompletenessStatus1Code

Definition: Indicates whether the details provided about an event are complete or incomplete.

Type: CodeSet

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

16.2.2.62 EventConfirmationStatus1Code

Definition: Indicates the status of the occurrence of an event.

Type: CodeSet

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

16.2.2.63 EventFrequency4Code

Definition: Specifies the regularity of an event.

Type: CodeSet

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
ADHO	Adhoc	Event takes place as necessary.
MNTH	Monthly	Event takes place every month or once a month.
DAIL	Daily	Event takes place every day.
INDA	IntraDay	Event takes place several times a day.
WEEK	Weekly	Event takes place once a week.

16.2.2.64 EventSequenceType1Code

Definition: Specifies whether the event is an interim or a final event in a series of successive events.

Type: CodeSet

CodeName	Name	Definition
FINL	Final	Final event in a series of successive events.
INTE	Interim	Interim event in a series of successive events.

16.2.2.65 ExternalClearingSystemIdentification1Code

Definition: Specifies the clearing system identification code, as published in an external clearing system identification code list.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	5

16.2.2.66 ExternalFinancialInstitutionIdentification1Code

Definition: Specifies the external financial institution identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

16.2.2.67 ExternalFinancialInstrumentIdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

16.2.2.68 ExternalOrganisationIdentification1Code

Definition: Specifies the external organisation identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

16.2.2.69 ExternalPersonIdentification1Code

Definition: Specifies the external person identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

16.2.2.70 FractionDispositionType10Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
EXPI	Expire	Let fractions expire worthless.
DIST	IssueFraction	Take distribution of fractions in the form of securities.

16.2.2.71 FractionDispositionType11Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.

16.2.2.72 FractionDispositionType8Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round

CodeName	Name	Definition
		up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

16.2.2.73 FractionDispositionType9Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

CodeName	Name	Definition
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

16.2.2.74 GrossDividendRateType6Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCCG	LongTermCapitalGain	Long term capital gain.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.

CodeName	Name	Definition
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.

16.2.2.75 GrossDividendRateType7Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LT CG	LongTermCapitalGain	Long term capital gain.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.

CodeName	Name	Definition
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

16.2.2.76 InterestComputationMethod2Code

Definition: Specifies the method used to compute accruing interest of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
A001	IC30360ISDAor30360AmericanBasicRule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February, and provided that the interest period started on a 30th or a 31st. This means that a 31st is assumed to be a 30th if the period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). This is the most commonly used 30/360 method for US straight and convertible bonds.
A002	IC30365	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th).
A003	IC30Actual	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year.
A004	Actual360	Method whereby interest is calculated based on the actual number of accrued

CodeName	Name	Definition
		days in the interest period and a 360-day year.
A005	Actual365Fixed	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year.
A006	ActualActualICMA	Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular (first or last coupon), it is extended or split into quasi-interest periods that have the length of a regular coupon period and the computation is operated separately on each quasi-interest period and the intermediate results are summed up.
A007	IC30E360orEuroBondBasismodel1	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. However, if the last day of the maturity coupon period is the last day of February, it will not be assumed to be the 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the coupon periods are scheduled to end on the last day of the month.
A008	ActualActualISDA	Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall (falling on a normal year, year) divided by 365, added to the actual number of days of the interest period that fall (falling on a leap year, year) divided by 366.
A009	Actual365LorActuActubasisRule	Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year).
A010	ActualActualAFB	Method whereby interest is calculated based on the actual number of accrued days and a 366-day year (if 29 Feb falls in the coupon period) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year subperiods counting backwards from the end of the coupon

CodeName	Name	Definition
		period (a year backwards from 28 Feb being 29 Feb, if it exists). The first of the subperiods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each subperiod and the intermediate results are summed up.
A011	IC30360ICMAor30360basicrule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). It is the most commonly used 30/360 method for non-US straight and convertible bonds issued before 1 January 1999.
A012	IC30E2360orEurobondbasismodel2	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for the last day of February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is higher and if the period is one of a regular schedule. This means that the 31st is assumed to be the 30th and 28 Feb of a non-leap year is assumed to be equivalent to 29 Feb when the first day of the interest period is the 29th, or to 30 Feb when the first day of the interest period is the 30th or the 31st. The 29th day of February in a leap year is assumed to be equivalent to 30 Feb when the first day of the interest period is the 30th or the 31st. Similarly, if the coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on 30 Feb when the end of the period is the 30th or the 31st, or two days of interest in February when the end of the period is the 29th, or three days of interest in February when it is 28 Feb of a non-leap year and the end of the period is before the 29th.
A013	IC30E3360orEurobondbasismodel3	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always

CodeName	Name	Definition
		assumed to be the 30th, even if it is the last day of the maturity coupon period.
A014	Actual365NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 365-day year.
NARR	Narrative	Other method than A001-A020. See Narrative.

16.2.2.77 IntermediateSecurityDistributionType5Code

Definition: Specifies the type of intermediate security distribution.

Type: CodeSet

CodeName	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
EXRI	SubscriptionRights	Distribution of subscription rights.
PRIO	OpenOfferRights	Distribution of open offer rights.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.
SPLF	StockSplit	Also known as change in nominal value or subdivision. Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
BONU	BonusRights	Distribution of bonus rights.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for

CodeName	Name	Definition
		different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.

16.2.2.78 ISO2ALanguageCode

Definition: Identification of the language name according to the ISO 639-1 codes. The type is validated by the list of values coded with two alphabetic characters, defined in the standard.

Type: CodeSet

Format

pattern [a-z]{2,2}

16.2.2.79 IssuerTaxability2Code

Definition: Specifies whether the corporate action proceeds are taxable at issuer level.

Type: CodeSet

CodeName	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

16.2.2.80 LotteryType1Code

Definition: Specifies the type of lottery.

Type: CodeSet

CodeName	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

16.2.2.81 MarketType2Code

Definition: Specifies the type of market in which transactions take place, for example, primary.

Type: CodeSet

CodeName	Name	Definition
PRIM	PrimaryMarket	The place is a primary market.
SECM	SecondaryMarket	The place is a secondary market.
OTCO	OverTheCounter	The place is over the counter.

CodeName	Name	Definition
VARI	Various	Various places.
EXCH	StockExchange	The place is a stock exchange.

16.2.2.82 NamePrefix2Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

16.2.2.83 NetDividendRateType6Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.

CodeName	Name	Definition
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

16.2.2.84 NetDividendRateType7Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.

16.2.2.85 NewSecuritiesIssuanceType5Code

Definition: Specifies the type of securities proceeds; whether they are newly issued or not, defeased or non-defeased.

Type: CodeSet

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
EXIS	ExistingIssue	Securities proceeds are not new issues.
NEIS	NewIssue	Securities proceeds are newly issued.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.

16.2.2.86 NewSecuritiesIssuanceType6Code

Definition: Specifies the type of securities proceeds; whether they are defeased or non-defeased.

Type: CodeSet

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.

CodeName	Name	Definition
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.

16.2.2.87 NonEligibleProceedsIndicator1Code

Definition: Specifies information regarding the non eligibility of the outturn resources.

Type: CodeSet

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.

16.2.2.88 NonEligibleProceedsIndicator2Code

Definition: Specifies information regarding the non eligibility of the outturn resources.

Type: CodeSet

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.
NELS	NonEligibleSecurity	Security not eligible in the Central Securities Depository (CSD).

16.2.2.89 NoReasonCode

Definition: Specifies that there is no reason available.

Type: CodeSet

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.2.2.90 OfferType5Code

Definition: Specifies the conditions that apply to the offer.

Type: CodeSet

CodeName	Name	Definition
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and

CodeName	Name	Definition
		therefore must be noted in announcements only when applicable.
NDIS	DissenterRightsNotApplicable	Dissenter's rights are not applicable for this option of the offer.
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.
ACPR	AcceptancePriority	Event will be paid in a particular priority stated in the offering documentation. Higher tiers of securities will be paid ahead of lower tiers of securities.

16.2.2.91 OptionAvailabilityStatus1Code

Definition: Specifies the status of the option availability.

Type: CodeSet

CodeName	Name	Definition
INTV	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
CANC	Cancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.

16.2.2.92 OptionFeatures12Code

Definition: Specifies the features that may apply to a corporate action option.

Type: CodeSet

CodeName	Name	Definition
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.

16.2.2.93 OptionFeatures13Code

Definition: Specifies the features that may apply to a corporate action option.

Type: CodeSet

CodeName	Name	Definition
ASVO	AccountServicerOption	Option is offered by the account servicer only.
BOIS	BeneficiaryOwnerInstruction	Indicates that the holder needs to instruct at beneficiary owner level only.
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
NOSE	NoServiceOffered	Feature whereby the holder must elect directly to the issuer's agent (issuer only supported option).
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
CAOS	OptionApplicability	Option applicability is not subject to the account owner decision but depends on the terms defined by the issuer, for example in the case of equity linked notes or warrants.
PINS	PreviousInstructionInvalidity	Indicates the previously sent instructions becomes invalid. This is only applicable after a market deadline extension.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.
QCAS	InstructCashAmount	Feature whereby the holder should only instruct a cash amount.
SHAR	ShareholderNumber	Indicates usage of a reference number to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.

16.2.2.94 OptionFeatures14Code

Definition: Specifies the feature of an option.

Type: CodeSet

CodeName	Name	Definition
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.

16.2.2.95 OptionNumber1Code

Definition: Code identifying special corporate action option numbers.

Type: CodeSet

CodeName	Name	Definition
UNSO	Unsolicited	The corporate action instruction is unsolicited, that is, the instruction has not been preceded by a corporate action notification.

16.2.2.96 OptionStyle2Code

Definition: Defines how an option can be exercised.

Type: CodeSet

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.

16.2.2.97 Payment1Code

Definition: Specifies the type of payment.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.
CONT	ContractualPayment	The cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer.

16.2.2.98 Payment2Code

Definition: Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.

16.2.2.99 PendingCancellationReason5Code

Definition: Specifies the reason why the cancellation request has a pending status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.

16.2.2.100 PendingReason27Code

Definition: Specifies the reason why the instruction has a pending status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
OTHR	Other	Other. See Narrative.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
MCER	MissingCertification	Awaiting receipt of adequate certification.

CodeName	Name	Definition
MONY	InsufficientMoney	Insufficient money in your account.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
PENR	PendingReceipt	The instruction is pending receipt of securities, for example, from a purchase or loan.
CERT	WrongCertificatesNumbers	Certificate number error.
DQCS	DisagreementOnCashAmount	Unrecognised or invalid instructed cash amount.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.
MTAX	MissingTaxRate	Tax rate is missing.
SNAV	SystemNotAvailable	System is not available. Instruction is sent outside of business hours.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.

16.2.2.101 PendingReason29Code

Definition: Standard code to specify the reason why the instruction/event has a pending status.

Type: CodeSet

CodeName	Name	Definition
NPAY	NoPayment	Payment has not been made by issuer.
NSEC	NotDelivered	Financial instruments have not been delivered by the issuer.
OTHR	Other	Other. See Narrative.
AUTH	SentToTaxAuthorities	Instruction/event is pending because the reclaim is sent to the tax authorities.

16.2.2.102 PreferredContactMethod1Code

Definition: Preferred method used to reach the individual contact within an organisation.

Type: CodeSet

CodeName	Name	Definition
LETT	Letter	Preferred method used to reach the contact is per letter.
MAIL	Email	Preferred method used to reach the contact is per email.
PHON	Phone	Preferred method used to reach the contact is per phone.
FAXX	Fax	Preferred method used to reach the contact is per fax.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.

16.2.2.103 PriceCalculationMethod1Code

Definition: Standard code to specify the method applied for the calculation of the price.

Type: CodeSet

CodeName	Name	Definition
VWAP	VolumeWeightedAveragePrice	The price is based on a volume-weighted average price which is a ratio of the cumulative share price to the cumulative volume traded over a given time period.
NAVF	FinalAssetValue	Final asset value at the liquidation of the fund.
MIMP	MidMarketPrice	Price at a certain amount of time prior to the date it is announced.
NAVA	NetAssetValue	Net value of an investment fund's assets less its liabilities, divided by the number of shares outstanding.
AVCL	AverageClosingPrice	Price of the asset at close of a given day.

16.2.2.104 PriceRateType3Code

Definition: Specifies the type of price rate.

Type: CodeSet

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.2.2.105 PriceValueType10Code*Definition:* Specifies the value of a price.*Type:* CodeSet

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.2.2.106 PriceValueType8Code*Definition:* Specifies a type of price value.*Type:* CodeSet

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.
NILP	NilPayment	Price will not be paid.

16.2.2.107 PriceValueType9Code*Definition:* Specifies a type of price value.*Type:* CodeSet

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.2.2.108 ProcessingPosition3Code*Definition:* Specifies the processing position.*Type:* CodeSet

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.

CodeName	Name	Definition
INFO	Information	Specifies that the transactions/ instructions are linked for information purposes only.

16.2.2.109 ProrationBelowMinimumQuantity1Code

Definition: Specifies the type of proration when below the minimum quantity to instruct.

Type: CodeSet

CodeName	Name	Definition
FULL	AcceptedInFull	Instructions will be accepted in full (with their original quantity of securities instructed).
MIEX	MinimumQuantity	Instructions will be accepted but prorated to the minimum quantity to instruct.
MILT	MultipleQuantity	Instructions will be accepted but rounded up or down to the specified minimum multiple quantity to instruct according to the value of the disposition of fraction indicator.
REJT	Rejected	Instructions will be rejected.
UKWN	Unknown	Processing of instructions prorated below the minimum quantity to instruct is unknown.

16.2.2.110 ProtectInstructionStatus3Code

Definition: Specifies the status of the protect transaction.

Type: CodeSet

CodeName	Name	Definition
OPEN	Open	Protect instruction which has not been fully covered. Will include partial covers.
COVR	FullyCovered	Protect instruction has been fully covered.
EXPI	Expired	Protect instruction has expired.

16.2.2.111 ProtectInstructionStatus4Code

Definition: Specifies the status of the protect transaction.

Type: CodeSet

CodeName	Name	Definition
OPEN	Open	Protect instruction which has not been fully covered. Will include partial covers.

16.2.2.112 ProtectTransactionType2Code

Definition: Specifies the type of protect instruction.

Type: CodeSet

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.
COVP	CoverOnBehalfOfAnotherParticipantTransaction	Reorganisation is a cover on behalf of another participant transaction type.
COVR	CoverProtectTransaction	Reorganisation is a cover protect transaction type.

16.2.2.113 ProtectTransactionType3Code

Definition: Specifies the type of protect instruction.

Type: CodeSet

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.

16.2.2.114 Quantity1Code

Definition: Specifies quantity of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

16.2.2.115 Quantity4Code

Definition: Specifies quantity of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.
ANYA	AnyAndAll	Instruction applies to the entire eligible balance of underlying securities.

16.2.2.116 Quantity5Code

Definition: Specifies quantity of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.

16.2.2.117 RateStatus1Code

Definition: Specifies the type of rate.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.2.2.118 RateType10Code

Definition: Specifies the type of rate.

Type: CodeSet

CodeName	Name	Definition
ANYA	AnyAndAll	Any and all rate is sought.
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.2.2.119 RateType13Code

Definition: Specifies the type of rate.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

16.2.2.120 RateType5Code

Definition: Specifies the type of rate.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.2.2.121 RateType7Code

Definition: Specifies the type of rate.

Type: CodeSet

CodeName	Name	Definition
SCHD	Scheduled	Rate of the scheduled payment.
USCD	Unscheduled	Rate of the unscheduled payment.

16.2.2.122 RateValueType7Code

Definition: Specifies the value of a rate.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.2.2.123 RejectionReason79Code

Definition: Specifies the reason why the instruction/cancellation request has a rejected status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
INIR	InstructionIrrevocable	Instruction is irrevocable.
ULNK	InvalidReference	Linked reference is unknown.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.
CTCT	MissingContact	Contact information is missing.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.

16.2.2.124 RejectionReason85Code

Definition: Specifies the reason why the instruction request has a rejected status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.

CodeName	Name	Definition
CERT	IncorrectCertification	Instruction is rejected since the provided certification is incorrect or incomplete.
INVA	InvalidForTaxAuthorities	For tax reclaim, the reclaim is invalid for the tax authorities.
OPTY	InvalidOptionType	Invalid option type.
ULNK	InvalidReference	Linked reference is unknown.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LATE	MarketDeadlineMissed	Received after market deadline.
NMTY	Mismatch	Mismatch between option number and option type.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
CANC	OptionCancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	OptionInactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
OPNM	OptionNumberRejection	Unrecognised option number.
OTHR	Other	Other. See Narrative.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
REFT	RefusedByTaxAuthorities	Reclaim is refused by the tax authorities.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
EVNM	UnrecognisedIdentification	Unrecognised event number.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
DQCC	CurrencyRejection	Invalid instructed currency.
DQAM	InstructionTypeRejection	Invalid use of instructed amount (QCAS) or of instructed quantity (QINS) qualifiers.
IRDQ	InvalidUseRoundUpQuantity	Instruction is rejected due to invalid use of the additional round up quantity.
DQBV	InvalidBidValue	Bid price / basis points / percentage falls outside of bid range for the event (generally used in Dutch Auctions or Bid Tenders).
DQBI	InvalidBidIncrement	Bid price / basis points / percentage increments rejected due to invalid bid

CodeName	Name	Definition
		increment for the event (generally used in Dutch Auctions or Bid Tenders).
SHAR	ShareholderNumberRejection	Unrecognised, missing or invalid shareholder number.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.
MTAX	MissingTaxRate	Tax rate is missing.
ISOL	InvalidSolicitationDealerFeeUse	Invalid use of solicitation dealer fee indicator.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
CTCT	MissingContact	Contact information is missing.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
PRON	NoProtectPrivilege	No protect privilege for this event.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.

16.2.2.125 RejectionReason86Code

Definition: Specifies the reason why the instruction request has a rejected status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
CERT	IncorrectCertification	Instruction is rejected since the provided certification is incorrect or incomplete.
INVA	InvalidForTaxAuthorities	For tax reclaim, the reclaim is invalid for the tax authorities.
OPTY	InvalidOptionType	Invalid option type.
ULNK	InvalidReference	Linked reference is unknown.

CodeName	Name	Definition
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LATE	MarketDeadlineMissed	Received after market deadline.
NMTY	Mismatch	Mismatch between option number and option type.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
CANC	OptionCancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	OptionInactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
OPNM	OptionNumberRejection	Unrecognised option number.
OTHR	Other	Other. See Narrative.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
REFT	RefusedByTaxAuthorities	Reclaim is refused by the tax authorities.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
EVNM	UnrecognisedIdentification	Unrecognised event number.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
DQCC	CurrencyRejection	Invalid instructed currency.
DQAM	InstructionTypeRejection	Invalid use of instructed amount (QCAS) or of instructed quantity (QINS) qualifiers.
IRDQ	InvalidUseRoundUpQuantity	Instruction is rejected due to invalid use of the additional round up quantity.
DQBV	InvalidBidValue	Bid price / basis points / percentage falls outside of bid range for the event (generally used in Dutch Auctions or Bid Tenders).
DQBI	InvalidBidIncrement	Bid price / basis points / percentage increments rejected due to invalid bid increment for the event (generally used in Dutch Auctions or Bid Tenders).
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.

CodeName	Name	Definition
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
INIR	InstructionIrrevocable	Instruction is irrevocable.
SHAR	ShareholderNumberRejection	Unrecognised, missing or invalid shareholder number.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
CTCT	MissingContact	Contact information is missing.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
PRON	NoProtectPrivilege	No protect privilege for this event.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.

16.2.2.126 RenounceableStatus1Code

Definition: Specifies the renounceable status.

Type: CodeSet

CodeName	Name	Definition
NREN	NonRenounceable	Intermediate securities cannot be sold.
RENO	Renounceable	Intermediate securities can be sold.

16.2.2.127 ReturnedStatus1Code

Definition: Specifies the reason why the instruction/cancellation request has a returned status.

Type: CodeSet

CodeName	Name	Definition
NARR	NarrativeReason	See narrative field for reason.
PROR	Prorated	Shares returned due to prorated acceptance.

16.2.2.128 SafekeepingAccountIdentification1Code

Definition: Specifies the account identification via a code.

Type: CodeSet

CodeName	Name	Definition
GENR	General	Announcement applies to all safekeeping accounts that own underlying financial instrument. (Used for general or preliminary announcements.).

16.2.2.129 SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

16.2.2.130 SafekeepingPlace2Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

16.2.2.131 SafekeepingPlace3Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

16.2.2.132 ShortLong1Code

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

16.2.2.133 StatementUpdateType1Code

Definition: Specifies the nature of a statement update, for example, it is a complete statement.

Type: CodeSet

CodeName	Name	Definition
COMP	Complete	Statement is complete.
DELT	Delta	Statement contains changes only.

16.2.2.134 TypeOfIdentification1Code

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

16.2.2.135 UnicodeChartsCode

Definition: codelist containing the names of the UNICODE code block name as specified on <http://unicode.org/Public/UNIDATA/Blocks.txt>.

Type: CodeSet

Constraints

- ValidationByTable

16.2.2.136 WithholdingTaxRateType1Code

Definition: Specifies the type of withholding tax rate.

Type: CodeSet

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

16.2.3 Date

16.2.3.1 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

16.2.4 DateTime

16.2.4.1 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

16.2.5 IdentifierSet

16.2.5.1 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; AnyBICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.2.5.2 BICFIDec2014Identifier

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; BICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

16.2.5.3 CFIOct2015Identifier

Definition: Classification type of the financial instrument, as per the ISO 10962 Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.

Note: this identifier also supports the updated versions of the standard, which were published in 2019 and 2021 (ISO 10962 :2019 and ISO 10962:2021) as there is no update in the pattern.

Type: IdentifierSet

Identification scheme: ANNA; CFIdentifier

Format

pattern [A-Z]{6,6}

16.2.5.4 DTI2024Identifier

Definition: The Digital Token Identifier (DTI) is an 8 character code assigned to fungible digital assets which uses distributed ledger technology for its issuance, storage, exchange, record of ownership or transaction validation and is not a currency (ISO 4217) as described in ISO 24165 - DTI. A check character, using the same character set as the base number, shall be calculated and added.

Type: IdentifierSet

Identification scheme: Digital Token Identifier Foundation (DTIF)

Format

pattern [1-9B-DF-HJ-NP-TV-XZ][0-9B-DF-HJ-NP-TV-XZ]{8,8}

16.2.5.5 IBAN2007Identifier

Definition: The International Bank Account Number is a code used internationally by financial institutions to uniquely identify the account of a customer at a financial institution as described in the 2007 edition of the ISO 13616 standard "Banking and related financial services - International Bank Account Number (IBAN)" and replaced by the more recent edition of the standard.

Type: IdentifierSet

Identification scheme: National Banking Association; International Bank Account Number (ISO 13616)

Format

pattern [A-Z]{2,2}[0-9]{2,2}[a-zA-Z0-9]{1,30}

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

16.2.5.6 ISINOct2015Identifier

Definition: The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

Type: IdentifierSet

Identification scheme: ANNA; ISINIdentifier

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

16.2.5.7 LEIIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Identification scheme: Global LEI System; LEIIdentifier

Format

pattern [A-Z0-9]{18,18}[0-9]{2,2}

16.2.5.8 MICIdentifier

Definition: Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Type: IdentifierSet

Identification scheme: SWIFT; MICIdentifier

Format

pattern [A-Z0-9]{4,4}

16.2.6 Indicator

16.2.6.1 YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

16.2.7 Quantity

16.2.7.1 DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format

totalDigits	18
fractionDigits	17

16.2.7.2 Max30DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6 with a maximum of 30 digits and 29 fraction digits.

Type: Quantity

Format

totalDigits	30
fractionDigits	29

16.2.7.3 Max3Number

Definition: Number (max 999) of objects represented as an integer.

Type: Quantity

Format

totalDigits	3
fractionDigits	0

Constraints

- **NumberRule**
If Number is negative, then Sign must be present.

16.2.8 Rate

16.2.8.1 BaseOne14Rate

Definition: Rate expressed as a decimal, for example, 0.7 is 7/10 and 70% with 13 fractional digits maximum and 14 total digits.

Type: Rate

Format

totalDigits	14
fractionDigits	13
baseValue	1.0

16.2.8.2 Percentage14Rate

Definition: Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7% with 13 fractional digits maximum and 14 total digits.

Type: Rate

Format

totalDigits	14
fractionDigits	13

baseValue	100.0
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16.2.9 Text

16.2.9.1 Exact3NumericText

Definition: Specifies a numeric string with an exact length of 3 digits.

Type: Text

Format

pattern	[0-9]{3}
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16.2.9.2 Exact3UpperCaseAlphaNumericText

Definition: Specifies an upper case alphanumeric string with a length of three characters.

Type: Text

Format

pattern	[A-Z0-9]{3}
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16.2.9.3 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern	[a-zA-Z0-9]{4}
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16.2.9.4 ISO20022MessageIdentificationText

Definition: ISO 20022 Message identifier of an MX message.

Type: Text

Format

pattern	[a-z]{4}\.[0-9]{3}\.[0-9]{3}\.[0-9]{2}
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16.2.9.5 Max128Text

Definition: Specifies a character string with a maximum length of 128 characters.

Type: Text

Format

minLength	1
maxLength	128

16.2.9.6 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.
Type: Text

Format	
minLength	1
maxLength	140

16.2.9.7 Max15Text

Definition: Specifies a character string with a maximum length of 15 characters.
Type: Text

Format	
minLength	1
maxLength	15

16.2.9.8 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.
Type: Text

Format	
minLength	1
maxLength	16

16.2.9.9 Max2048Text

Definition: Specifies a character string with a maximum length of 2048 characters.
Type: Text

Format	
minLength	1
maxLength	2048

16.2.9.10 Max210Text

Definition: Specifies a character string with a maximum length of 210 characters.
Type: Text

Format	
minLength	1
maxLength	210

16.2.9.11 Max256Text

Definition: Specifies a character string with a maximum length of 256 characters.
Type: Text

Format	
minLength	1
maxLength	256

16.2.9.12 Max25Text

Definition: Specifies a character string with a maximum length of 25 characters.
Type: Text

Format	
minLength	1
maxLength	25

16.2.9.13 Max30Text

Definition: Specifies a character string with a maximum length of 30 characters.
Type: Text

Format	
maxLength	30

16.2.9.14 Max34Text

Definition: Specifies a character string with a maximum length of 34 characters.
Type: Text

Format	
minLength	1
maxLength	34

16.2.9.15 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.
Type: Text

Format	
minLength	1
maxLength	350

16.2.9.16 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

minLength	1
maxLength	35

16.2.9.17 Max3NumericText

Definition: Specifies a numeric string with a maximum length of 3 digits.

Type: Text

Format

pattern	[0-9]{1,3}
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16.2.9.18 Max4Text

Definition: Specifies a character string with a maximum length of 4 characters.

Type: Text

Format

minLength	1
maxLength	4

16.2.9.19 Max50Text

Definition: Specifies a character string with a maximum length of 50 characters.

Type: Text

Format

minLength	1
maxLength	50

16.2.9.20 Max5NumericText

Definition: Specifies a numeric string with a maximum length of 5 digits.

Type: Text

Format

pattern	[0-9]{1,5}
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16.2.9.21 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

minLength	1
maxLength	70

16.2.9.22 Max8000Text

Definition: Specifies a character string with a maximum length of 8000 characters.

Type: Text

Format

minLength	1
maxLength	8000

16.2.9.23 PhoneNumber

Definition: The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+" and "-" (up to 30 characters).

Type: Text

Format

pattern	\+[0-9]{1,3}-[0-9()+\-]{1,30}
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16.2.10 Time

16.2.10.1 ISOTime

Definition: A particular point in the progression of time in a calendar day expressed in either UTC time format (hh:mm:ss.sssZ), local time with UTC offset format (hh:mm:ss.sss+/-hh:mm), or local time format (hh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

- 1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day
- 2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: Time