

ISO 20022

## Target2-Securities - Party Reference Data - Maintenance 2023 - 2024

# Message Definition Report - Part 2

Approved by the Securities and the Payments SEGs under the  
leadership of the Securities SEG on 15 February 2024

This document provides details of the Message Definitions for Target2-Securities - Party Reference Data - Maintenance  
2023 - 2024.

March 2024

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# 1 Message Set Overview

## Introduction

This document describes the Target2-Securities - Party Reference Data message set. It includes the new version of the MessageDefinitions that have been added as part of the maintenance cycle 2023-2024 (See MCR #234) and approved by the Securities and the Payments Standards Evaluation Groups under the leadership of the Securities SEG on 15 February 2024.

## 1.1 List of MessageDefinitions

The following table lists all MessageDefinitions described in this book.

| MessageDefinition                          | Definition   |
|--|--|
| reda.014.001.02<br>PartyCreationRequestV02 | <p>Scope:</p> <p>The PartyCreationRequest message is sent by an instructing party to the executing party to request for the creation of party reference data for a new party in the executing system.</p> <p>Usage:</p> <p>It aims at instructing the creation of a new party with corresponding details.</p> <p>Processing and confirmation of the party creation request are provided via a party status advice.</p>   |
| reda.015.001.01<br>PartyQueryV01           | <p>Scope:</p> <p>The PartyQuery message is sent by a central securities depository, a national central bank, a central securities depository participant, a central counter party, a payment bank, a trading party or a stock exchange to the executing party to query for the party reference data of a party defined in the system.</p>  |
| reda.016.001.01<br>PartyStatusAdviceV01    | <p>Scope:</p> <p>The PartyStatusAdvice message is sent by the executing party to the instructing party to provide details about the processing of a request on party reference data (create or update).</p> <p>Usage:</p> <p>When processing information is negative - a failure occurred in applying the changes the message accordingly also delivers information about the reason why the creation or update could not be processed.</p> <p>When processing is successfully performed, the message includes the related party identification.</p> |
| reda.017.001.02<br>PartyReportV02          | <p>Scope:</p> <p>The PartyReport message is sent by the executing party to a central securities depository, a national central bank, a central securities depository participant, a central counter party, a payment bank, a trading</p>   |

| MessageDefinition                              | Definition   |
|--|--|
|  | party or a stock exchange to provide detailed information on the requested party reference data of the party defined in the system.  |
| reda.022.001.02<br>PartyModificationRequestV02 | <p>Scope:</p> <p>The PartyModificationRequest message is sent by the instructing party to the executing party to request for an update of the party reference data of a party defined in the executing system.</p> <p>Usage:</p> <p>It aims at instructing the update of an existing party by amending its existing details or by providing additional details.</p> <p>Processing and confirmation of the party modification request message are provided via a party status advice.</p> |
| reda.031.001.01<br>PartyDeletionRequestV01     | <p>Scope:</p> <p>The PartyDeletionRequest message is sent by the instructing party to the executing party to request a deletion of a party defined in the executing system.</p> <p>Usage:</p> <p>It aims at instructing the deletion of an existing party by providing its identification.</p> <p>Processing of the party deletion request message is provided via a party status advice.</p>  |
| reda.041.001.02<br>PartyActivityAdviceV02      | <p>The PartyActivityReport message is sent by the executing party to an instructing party containing information about changes on party reference data.</p> <p>Scope and usage:</p> <p>It aims at informing about the changes occurred during a business date for party reference data.</p>  |
| reda.042.001.01<br>PartyAuditTrailQueryV01     | The PartyAuditTrailQuery message is sent by an instructing party to the executing party to query for the party audit trail recorded in the system.   |
| reda.043.001.02<br>PartyAuditTrailReportV02    | The PartyAuditTrailReport message is sent by the executing party to an instructing party to provide detailed information on the requested party audit trail recorded in the system.  |

## **2       reda.014.001.02 PartyCreationRequestV02**

### **2.1      MessageDefinition Functionality**

Scope:

The PartyCreationRequest message is sent by an instructing party to the executing party to request for the creation of party reference data for a new party in the executing system.

Usage:

It aims at instructing the creation of a new party with corresponding details.

Processing and confirmation of the party creation request are provided via a party status advice.

Outline

The PartyCreationRequestV02 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. Party

Specifies the details of the party to be created in the system.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 2.2 Structure

| Or  | MessageElement/BuildingBlock<XML Tag>                | Mult.  | Type          | Constr. No. | Page |
|-----|--|--------|---------------|-------------|------|
|     | Message root <Document> <PtyCreReq>                  | [1..1] |               |             |      |
|     | <b>MessageHeader</b> <MsgHdr>                        | [0..1] | ±             |             | 8    |
|     | <b>Party</b> <Pty>                                   | [1..1] |               |             | 8    |
|     | <b>PartyIdentification</b> <PtyId>                   | [1..1] |               |             | 10   |
|     | <b>Identification</b> <Id>                           | [1..1] | ±             |             | 10   |
|     | <b>ResponsiblePartyIdentification</b> <RspnsblPtyId> | [0..1] | ±             |             | 10   |
|     | <b>ValidFrom</b> <VldFr>                             | [0..1] | Date          |             | 10   |
|     | <b>Address</b> <Adr>                                 | [0..*] | ±             |             | 10   |
|     | <b>ContactDetails</b> <CtctDtls>                     | [0..*] | ±             |             | 11   |
|     | <b>OpeningDate</b> <OpngDt>                          | [0..1] | Date          |             | 12   |
|     | <b>ClosingDate</b> <ClsGdt>                          | [0..1] | Date          |             | 12   |
|     | <b>Type</b> <Tp>                                     | [1..1] |               |             | 12   |
| {Or | <b>Code</b> <Cd>                                     | [1..1] | CodeSet       |             | 13   |
| Or} | <b>Proprietary</b> <Prtry>                           | [1..1] | Text          |             | 13   |
|     | <b>TechnicalAddress</b> <TechAdr>                    | [0..*] |               |             | 13   |
| {Or | <b>BICFI</b> <BICFI>                                 | [1..1] | IdentifierSet | C2          | 13   |
| Or} | <b>TechnicalAddress</b> <TechAdr>                    | [1..1] | Text          |             | 13   |
|     | <b>MarketSpecificAttribute</b> <MktSpfcAttr>         | [0..*] |               |             | 14   |
|     | <b>Name</b> <Nm>                                     | [1..1] | Text          |             | 14   |
|     | <b>Value</b> <Val>                                   | [1..1] | Text          |             | 14   |
|     | <b>Name</b> <Nm>                                     | [0..1] |               |             | 14   |
|     | <b>ValidFrom</b> <VldFr>                             | [0..1] | Date          |             | 14   |
|     | <b>Name</b> <Nm>                                     | [1..1] | Text          |             | 14   |
|     | <b>ShortName</b> <ShrtNm>                            | [0..1] | Text          |             | 15   |
|     | <b>ResidenceType</b> <ResTp>                         | [0..1] | CodeSet       |             | 15   |
|     | <b>LockStatus</b> <LckSts>                           | [0..1] |               |             | 15   |
|     | <b>ValidFrom</b> <VldFr>                             | [0..1] | Date          |             | 15   |
|     | <b>Status</b> <Sts>                                  | [1..1] | CodeSet       |             | 15   |
|     | <b>LockReason</b> <LckRsn>                           | [0..*] | Text          |             | 16   |
|     | <b>Restriction</b> <Rstrctn>                         | [0..*] |               |             | 16   |

| Or | MessageElement/BuildingBlock<XML Tag>  | Mult.  | Type     | Constr. No. | Page |
|----|--|--------|----------|-------------|------|
|    | <b>ValidFrom</b> <VldFr>               | [1..1] | DateTime |             | 16   |
|    | <b>ValidTo</b> <VldTo>                 | [0..1] | DateTime |             | 16   |
|    | <b>Type</b> <Tp>                       | [1..1] | Text     |             | 16   |
|    | <b>SupplementaryData</b> <SplmtryData> | [0..*] | ±        | C4          | 16   |

## 2.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 2.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 2.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 161 for details)

| Or | MessageElement<XML Tag>       | Mult.  | Type     | Constr. No. | Page |
|----|-------------------------------|--------|----------|-------------|------|
|    | MessageIdentification <MsgId> | [1..1] | Text     |             | 161  |
|    | CreationDateTime <CreDtTm>    | [0..1] | DateTime |             | 161  |

### 2.4.2 Party <Pty>

*Presence:* [1..1]



*Definition:* Specifies the details of the party to be created in the system.

**Party <Pty>** contains the following **SystemParty7** elements

| Or  | MessageElement<XML Tag>                              | Mult.  | Type          | Constr. No. | Page |
|-----|--|--------|---------------|-------------|------|
|     | <b>PartyIdentification</b> <PtyId>                   | [1..1] |               |             | 10   |
|     | <b>Identification</b> <Id>                           | [1..1] | ±             |             | 10   |
|     | <b>ResponsiblePartyIdentification</b> <RspnsblPtyId> | [0..1] | ±             |             | 10   |
|     | <b>ValidFrom</b> <VldFr>                             | [0..1] | Date          |             | 10   |
|     | <b>Address</b> <Adr>                                 | [0..*] | ±             |             | 10   |
|     | <b>ContactDetails</b> <CtctDtls>                     | [0..*] | ±             |             | 11   |
|     | <b>OpeningDate</b> <OpngDt>                          | [0..1] | Date          |             | 12   |
|     | <b>ClosingDate</b> <ClsgDt>                          | [0..1] | Date          |             | 12   |
|     | <b>Type</b> <Tp>                                     | [1..1] |               |             | 12   |
| {Or | <b>Code</b> <Cd>                                     | [1..1] | CodeSet       |             | 13   |
| Or} | <b>Proprietary</b> <Prtry>                           | [1..1] | Text          |             | 13   |
|     | <b>TechnicalAddress</b> <TechAdr>                    | [0..*] |               |             | 13   |
| {Or | <b>BICFI</b> <BICFI>                                 | [1..1] | IdentifierSet | C2          | 13   |
| Or} | <b>TechnicalAddress</b> <TechAdr>                    | [1..1] | Text          |             | 13   |
|     | <b>MarketSpecificAttribute</b> <MktSpfcAttr>         | [0..*] |               |             | 14   |
|     | <b>Name</b> <Nm>                                     | [1..1] | Text          |             | 14   |
|     | <b>Value</b> <Val>                                   | [1..1] | Text          |             | 14   |
|     | <b>Name</b> <Nm>                                     | [0..1] |               |             | 14   |
|     | <b>ValidFrom</b> <VldFr>                             | [0..1] | Date          |             | 14   |
|     | <b>Name</b> <Nm>                                     | [1..1] | Text          |             | 14   |
|     | <b>ShortName</b> <ShrtNm>                            | [0..1] | Text          |             | 15   |
|     | <b>ResidenceType</b> <ResTp>                         | [0..1] | CodeSet       |             | 15   |
|     | <b>LockStatus</b> <LckSts>                           | [0..1] |               |             | 15   |
|     | <b>ValidFrom</b> <VldFr>                             | [0..1] | Date          |             | 15   |
|     | <b>Status</b> <Sts>                                  | [1..1] | CodeSet       |             | 15   |
|     | <b>LockReason</b> <LckRsn>                           | [0..*] | Text          |             | 16   |
|     | <b>Restriction</b> <Rstrctn>                         | [0..*] |               |             | 16   |
|     | <b>ValidFrom</b> <VldFr>                             | [1..1] | DateTime      |             | 16   |
|     | <b>ValidTo</b> <VldTo>                               | [0..1] | DateTime      |             | 16   |
|     | <b>Type</b> <Tp>                                     | [1..1] | Text          |             | 16   |

### 2.4.2.1 PartyIdentification <PtyId>

*Presence:* [1..1]

*Definition:* Unique identification of the party within the system.

**PartyIdentification <PtyId>** contains the following **SystemPartyIdentification9** elements

| Or | MessageElement<XML Tag>                                    | Mult.  | Type | Constr. No. | Page |
|----|--|--------|------|-------------|------|
|    | <b>Identification &lt;Id&gt;</b>                           | [1..1] | ±    |             | 10   |
|    | <b>ResponsiblePartyIdentification &lt;RspnsblPtyId&gt;</b> | [0..1] | ±    |             | 10   |
|    | <b>ValidFrom &lt;VldFr&gt;</b>                             | [0..1] | Date |             | 10   |

#### 2.4.2.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identification of the party.

**Identification <Id>** contains the following elements (see ["PartyIdentification136"](#) on page 168 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type          | Constr. No. | Page |
|----|-------------------------|--------|---------------|-------------|------|
|    | Identification <Id>     | [1..1] | ±             |             | 168  |
|    | LEI <LEI>               | [0..1] | IdentifierSet |             | 168  |

#### 2.4.2.1.2 ResponsiblePartyIdentification <RspnsblPtyId>

*Presence:* [0..1]

*Definition:* Unique identification of the responsible party.

**ResponsiblePartyIdentification <RspnsblPtyId>** contains the following elements (see ["PartyIdentification136"](#) on page 168 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type          | Constr. No. | Page |
|----|-------------------------|--------|---------------|-------------|------|
|    | Identification <Id>     | [1..1] | ±             |             | 168  |
|    | LEI <LEI>               | [0..1] | IdentifierSet |             | 168  |

#### 2.4.2.1.3 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Starting date from which the identification is valid.

*Datatype:* ["ISODate"](#) on page 184

### 2.4.2.2 Address <Adr>

*Presence:* [0..\*]

*Definition:* Information that locates and identifies a specific address.

**Address <Adr>** contains the following elements (see "PostalAddress28" on page 175 for details)

| Or  | MessageElement<XML Tag>          | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------------|--------|---------|-------------|------|
|     | AddressType <AdrTp>              | [0..1] |         |             | 175  |
| {Or | Code <Cd>                        | [1..1] | CodeSet |             | 176  |
| Or} | Proprietary <Prtry>              | [1..1] | ±       |             | 176  |
|     | CareOf <CareOf>                  | [0..1] | Text    |             | 176  |
|     | Department <Dept>                | [0..1] | Text    |             | 176  |
|     | SubDepartment <SubDept>          | [0..1] | Text    |             | 176  |
|     | StreetName <StrtNm>              | [0..1] | Text    |             | 177  |
|     | BuildingNumber <BldgNb>          | [0..1] | Text    |             | 177  |
|     | BuildingName <BldgNm>            | [0..1] | Text    |             | 177  |
|     | Floor <Flr>                      | [0..1] | Text    |             | 177  |
|     | UnitNumber <UnitNb>              | [0..1] | Text    |             | 177  |
|     | PostBox <PstBx>                  | [0..1] | Text    |             | 177  |
|     | Room <Room>                      | [0..1] | Text    |             | 177  |
|     | PostCode <PstCd>                 | [0..1] | Text    |             | 177  |
|     | TownName <TwnNm>                 | [0..1] | Text    |             | 178  |
|     | TownLocationName <TwnLctnNm>     | [0..1] | Text    |             | 178  |
|     | DistrictName <DstrctNm>          | [0..1] | Text    |             | 178  |
|     | CountrySubDivision <CtrySubDvsn> | [0..1] | Text    |             | 178  |
|     | Country <Ctry>                   | [0..1] | CodeSet | C2          | 178  |
|     | AddressLine <AdrLine>            | [0..7] | Text    |             | 178  |
|     | ValidFrom <VldFr>                | [0..1] | Date    |             | 178  |

### 2.4.2.3 ContactDetails <CtctDtls>

*Presence:* [0..\*]

*Definition:* Specifies the options on how to contact the party.

**ContactDetails <CtctDtls>** contains the following elements (see "Contact14" on page 170 for details)

| Or | MessageElement<XML Tag>     | Mult.  | Type    | Constr. No. | Page |
|----|-----------------------------|--------|---------|-------------|------|
|    | NamePrefix <NmPrfx>         | [0..1] | CodeSet |             | 171  |
|    | Name <Nm>                   | [0..1] | Text    |             | 171  |
|    | PhoneNumber <PhneNb>        | [0..1] | Text    |             | 172  |
|    | MobileNumber <MobNb>        | [0..1] | Text    |             | 172  |
|    | FaxNumber <FaxNb>           | [0..1] | Text    |             | 172  |
|    | URLAddress <URLAdr>         | [0..1] | Text    |             | 172  |
|    | EmailAddress <EmailAdr>     | [0..1] | Text    |             | 172  |
|    | EmailPurpose <EmailPurp>    | [0..1] | Text    |             | 172  |
|    | JobTitle <JobTitl>          | [0..1] | Text    |             | 172  |
|    | Responsibility <Rspnsblty>  | [0..1] | Text    |             | 172  |
|    | Department <Dept>           | [0..1] | Text    |             | 173  |
|    | Other <Othr>                | [0..*] |         |             | 173  |
|    | ChannelType <ChanlTp>       | [1..1] | Text    |             | 173  |
|    | Identification <Id>         | [0..1] | Text    |             | 173  |
|    | PreferredMethod <PrefrdMtd> | [0..1] | CodeSet |             | 173  |
|    | ValidFrom <VldFr>           | [0..1] | Date    |             | 174  |
|    | ValidTo <VldTo>             | [0..1] | Date    |             | 174  |

#### 2.4.2.4 OpeningDate <OpngDt>

*Presence:* [0..1]

*Definition:* Specifies the opening date of the party.

*Datatype:* "ISODate" on page 184

#### 2.4.2.5 ClosingDate <ClsgDt>

*Presence:* [0..1]

*Definition:* Specifies the closing date of the party.

*Datatype:* "ISODate" on page 184

#### 2.4.2.6 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the type classification of the party.

**Type <Tp>** contains one of the following **SystemPartyType1Choice** elements

| Or  | MessageElement<XML Tag>    | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------|--------|---------|-------------|------|
| {Or | <b>Code</b> <Cd>           | [1..1] | CodeSet |             | 13   |
| Or} | <b>Proprietary</b> <Prtry> | [1..1] | Text    |             | 13   |

#### 2.4.2.6.1 Code <Cd>

*Presence:* [1..1]

*Definition:* System party type, in a coded format.

*Datatype:* "ExternalSystemPartyType1Code" on page 182

#### 2.4.2.6.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* System party type, in a proprietary format.

*Datatype:* "Max35Text" on page 188

#### 2.4.2.7 TechnicalAddress <TechAdr>

*Presence:* [0..\*]

*Definition:* Unique technical address to unambiguously identify a party for receiving messages from the executing system.

**TechnicalAddress <TechAdr>** contains one of the following **TechnicalIdentification2Choice** elements

| Or  | MessageElement<XML Tag>           | Mult.  | Type          | Constr. No. | Page |
|-----|-----------------------------------|--------|---------------|-------------|------|
| {Or | <b>BICFI</b> <BICFI>              | [1..1] | IdentifierSet | C2          | 13   |
| Or} | <b>TechnicalAddress</b> <TechAdr> | [1..1] | Text          |             | 13   |

##### 2.4.2.7.1 BICFI <BICFI>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined as a financial institution business identifier code.

*Impacted by:* C2 "BICFI"

*Datatype:* "BICFIDec2014Identifier" on page 185

##### Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

##### 2.4.2.7.2 TechnicalAddress <TechAdr>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined in a free text format.

*Datatype:* "Max256Text" on page 187

#### 2.4.2.8 MarketSpecificAttribute <MktSpcfcAttr>

*Presence:* [0..\*]

*Definition:* Additional attributes defined by a central security depository for a party.

**MarketSpecificAttribute <MktSpcfcAttr>** contains the following **MarketSpecificAttribute1** elements

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | <b>Name</b> <Nm>        | [1..1] | Text |             | 14   |
|    | <b>Value</b> <Val>      | [1..1] | Text |             | 14   |

##### 2.4.2.8.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Specifies the name of the market-specific attribute.

*Datatype:* "Max35Text" on page 188

##### 2.4.2.8.2 Value <Val>

*Presence:* [1..1]

*Definition:* Specifies the value of the market-specific attribute.

*Datatype:* "Max350Text" on page 187

#### 2.4.2.9 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

**Name <Nm>** contains the following **PartyName4** elements

| Or | MessageElement<XML Tag>   | Mult.  | Type | Constr. No. | Page |
|----|---------------------------|--------|------|-------------|------|
|    | <b>ValidFrom</b> <VldFr>  | [0..1] | Date |             | 14   |
|    | <b>Name</b> <Nm>          | [1..1] | Text |             | 14   |
|    | <b>ShortName</b> <ShrtNm> | [0..1] | Text |             | 15   |

##### 2.4.2.9.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the party name is valid.

*Datatype:* "ISODate" on page 184

##### 2.4.2.9.2 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 187

#### 2.4.2.9.3 ShortName <ShrtNm>

*Presence:* [0..1]

*Definition:* Specifies the short name of the organisation.

*Datatype:* "Max35Text" on page 188

#### 2.4.2.10 ResidenceType <ResTp>

*Presence:* [0..1]

*Definition:* Specifies the type of residence where the party has its permanent home or principal establishment.

*Datatype:* "ResidenceType1Code" on page 184

| CodeName | Name     | Definition             |
|----------|----------|------------------------|
| DMST     | Domestic | Residence is domestic. |
| FRGN     | Foreign  | Residence is foreign.  |
| MXED     | Mixed    | Residence is mixed     |

#### 2.4.2.11 LockStatus <LckSts>

*Presence:* [0..1]

*Definition:* Specifies whether the party is locked or not, and the reason for this status, when required.

**LockStatus <LckSts>** contains the following **PartyLockStatus1** elements

| Or | MessageElement<XML Tag>    | Mult.  | Type    | Constr. No. | Page |
|----|----------------------------|--------|---------|-------------|------|
|    | <b>ValidFrom</b> <VldFr>   | [0..1] | Date    |             | 15   |
|    | <b>Status</b> <Sts>        | [1..1] | CodeSet |             | 15   |
|    | <b>LockReason</b> <LckRsn> | [0..*] | Text    |             | 16   |

##### 2.4.2.11.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the lock status is valid.

*Datatype:* "ISODate" on page 184

##### 2.4.2.11.2 Status <Sts>

*Presence:* [1..1]

*Definition:* Lock status of the party.

*Datatype:* "LockStatus1Code" on page 182

| CodeName | Name     | Definition                            |
|----------|----------|---------------------------------------|
| LOCK     | Locked   | Party has been locked for operations. |
| ULCK     | Unlocked | Party is ready for operations.        |

### 2.4.2.11.3 LockReason <LckRsn>

*Presence:* [0..\*]

*Definition:* Specifies the underlying reason for the locking of the party.

*Datatype:* "Max35Text" on page 188

### 2.4.2.12 Restriction <Rstrctn>

*Presence:* [0..\*]

*Definition:* Defines the specific processing characteristics for a party to ensure configurability of specific requirements, as prescribed by national legal and regulatory requirements and practices.

**Restriction <Rstrctn>** contains the following **SystemRestriction1** elements

| Or | MessageElement<XML Tag>  | Mult.  | Type     | Constr. No. | Page |
|----|--------------------------|--------|----------|-------------|------|
|    | <b>ValidFrom</b> <VldFr> | [1..1] | DateTime |             | 16   |
|    | <b>ValidTo</b> <VldTo>   | [0..1] | DateTime |             | 16   |
|    | <b>Type</b> <Tp>         | [1..1] | Text     |             | 16   |

#### 2.4.2.12.1 ValidFrom <VldFr>

*Presence:* [1..1]

*Definition:* Specifies the date from which the restriction is valid.

*Datatype:* "ISODateTime" on page 185

#### 2.4.2.12.2 ValidTo <VldTo>

*Presence:* [0..1]

*Definition:* Specifies the date until which the restriction is valid.

*Datatype:* "ISODateTime" on page 185

#### 2.4.2.12.3 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the identification of a restriction.

*Datatype:* "Max35Text" on page 188

## 2.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C4 "SupplementaryDataRule"



**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 163 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type              | Constr. No. | Page |
|----|-------------------------|--------|-------------------|-------------|------|
|    | PlaceAndName <PlcAndNm> | [0..1] | Text              |             | 163  |
|    | Envelope <Envlp>        | [1..1] | (External Schema) |             | 163  |

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## **3       reda.015.001.01 PartyQueryV01**

### **3.1      MessageDefinition Functionality**

Scope:

The PartyQuery message is sent by a central securities depository, a national central bank, a central securities depository participant, a central counter party, a payment bank, a trading party or a stock exchange to the executing party to query for the party reference data of a party defined in the system.

Outline

The PartyQueryV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MessageHeader

Point to point reference elements, as assigned by the instructing party, to unambiguously identify the query message.

B. SearchCriteria

Defines the criteria to be used to query the party reference data by the executing system.

C. ReturnCriteria

Defines the expected party reference data to be returned.

D. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 3.2 Structure

| Or  | MessageElement/BuildingBlock<XML Tag>                | Mult.  | Type     | Constr. No. | Page |
|-----|--|--------|----------|-------------|------|
|     | Message root <Document> <PtyQry>                     | [1..1] |          |             |      |
|     | <b>MessageHeader</b> <MsgHdr>                        | [0..1] | ±        |             | 21   |
|     | <b>SearchCriteria</b> <SchCrit>                      | [1..1] |          |             | 21   |
|     | <b>OpeningDate</b> <OpngDt>                          | [0..1] |          |             | 23   |
| {Or | <b>FromDate</b> <FrDt>                               | [1..1] | Date     |             | 23   |
| Or  | <b>ToDate</b> <ToDt>                                 | [1..1] | Date     |             | 23   |
| Or  | <b>FromToDate</b> <FrToDt>                           | [1..1] | ±        |             | 23   |
| Or  | <b>EqualDate</b> <EQDt>                              | [1..1] | Date     |             | 24   |
| Or} | <b>NotEqualDate</b> <NEQDt>                          | [1..1] | Date     |             | 24   |
|     | <b>ClosingDate</b> <ClsgDt>                          | [0..1] |          |             | 24   |
| {Or | <b>FromDate</b> <FrDt>                               | [1..1] | Date     |             | 24   |
| Or  | <b>ToDate</b> <ToDt>                                 | [1..1] | Date     |             | 24   |
| Or  | <b>FromToDate</b> <FrToDt>                           | [1..1] | ±        |             | 24   |
| Or  | <b>EqualDate</b> <EQDt>                              | [1..1] | Date     |             | 25   |
| Or} | <b>NotEqualDate</b> <NEQDt>                          | [1..1] | Date     |             | 25   |
|     | <b>Type</b> <Tp>                                     | [0..1] |          |             | 25   |
| {Or | <b>Code</b> <Cd>                                     | [1..1] | CodeSet  |             | 25   |
| Or} | <b>Proprietary</b> <Prtry>                           | [1..1] | Text     |             | 25   |
|     | <b>ResponsiblePartyIdentification</b> <RspnsblPtyId> | [0..1] | ±        |             | 25   |
|     | <b>PartyIdentification</b> <PtyId>                   | [0..1] | ±        |             | 26   |
|     | <b>RestrictionIdentification</b> <RstrctnId>         | [0..1] | Text     |             | 26   |
|     | <b>RestrictionIssueDate</b> <RstrctnIsseDt>          | [0..1] |          |             | 26   |
| {Or | <b>DateTime</b> <DtTm>                               | [1..1] |          |             | 27   |
| {Or | <b>FromDateTime</b> <FrDtTm>                         | [1..1] | DateTime |             | 27   |
| Or  | <b>ToDateTime</b> <ToDtTm>                           | [1..1] | DateTime |             | 27   |
| Or  | <b>FromToDateTime</b> <FrToDtTm>                     | [1..1] | ±        |             | 28   |
| Or  | <b>EqualDateTime</b> <EQDtTm>                        | [1..1] | DateTime |             | 28   |
| Or} | <b>NotEqualDateTime</b> <NEQDtTm>                    | [1..1] | DateTime |             | 28   |
| Or} | <b>Date</b> <Dt>                                     | [1..1] |          |             | 28   |
| {Or | <b>FromDate</b> <FrDt>                               | [1..1] | Date     |             | 28   |

| Or  | MessageElement/BuildingBlock<XML Tag>                | Mult.  | Type      | Constr. No. | Page |
|-----|--|--------|-----------|-------------|------|
| Or  | <b>ToDate</b> <ToDt>                                 | [1..1] | Date      |             | 28   |
| Or  | <b>FromToDate</b> <FrToDt>                           | [1..1] | ±         |             | 29   |
| Or  | <b>EqualDate</b> <EQDt>                              | [1..1] | Date      |             | 29   |
| Or} | <b>NotEqualDate</b> <NEQDt>                          | [1..1] | Date      |             | 29   |
|     | <b>ResidenceType</b> <ResTp>                         | [0..1] | CodeSet   |             | 29   |
|     | <b>LockStatus</b> <LckSts>                           | [0..1] |           |             | 29   |
|     | <b>ValidFrom</b> <VldFr>                             | [0..1] | Date      |             | 30   |
|     | <b>Status</b> <Sts>                                  | [1..1] | CodeSet   |             | 30   |
|     | <b>LockReason</b> <LckRsn>                           | [0..*] | Text      |             | 30   |
|     | <b>ReturnCriteria</b> <RtrCrit>                      | [0..1] |           |             | 30   |
|     | <b>OpeningDate</b> <OpngDt>                          | [0..1] | Indicator |             | 31   |
|     | <b>ClosingDate</b> <ClsgDt>                          | [0..1] | Indicator |             | 31   |
|     | <b>Type</b> <Tp>                                     | [0..1] | Indicator |             | 31   |
|     | <b>PartyIdentification</b> <PtyId>                   | [0..1] | Indicator |             | 32   |
|     | <b>ResponsiblePartyIdentification</b> <RspnsblPtyId> | [0..1] | Indicator |             | 32   |
|     | <b>RestrictionIdentification</b> <RstrctnId>         | [0..1] | Indicator |             | 32   |
|     | <b>RestrictedOnDate</b> <RstrctdOnDt>                | [0..1] | Indicator |             | 32   |
|     | <b>Name</b> <Nm>                                     | [0..1] | Indicator |             | 32   |
|     | <b>ShortName</b> <ShrtNm>                            | [0..1] | Indicator |             | 33   |
|     | <b>Address</b> <Adr>                                 | [0..1] | Indicator |             | 33   |
|     | <b>TechnicalAddress</b> <TechAdr>                    | [0..1] | Indicator |             | 33   |
|     | <b>ContactDetails</b> <CtctDtls>                     | [0..1] | Indicator |             | 33   |
|     | <b>ResidenceType</b> <ResTp>                         | [0..1] | Indicator |             | 33   |
|     | <b>LockStatus</b> <LckSts>                           | [0..1] | Indicator |             | 34   |
|     | <b>MarketSpecificAttribute</b> <MktSpfcAttr>         | [0..1] | Indicator |             | 34   |
|     | <b>SupplementaryData</b> <SplmtryData>               | [0..*] | ±         | C3          | 34   |

### 3.3 Constraints

- C1 AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.
- C2 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).
- C3 SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

### 3.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

#### 3.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Point to point reference elements, as assigned by the instructing party, to unambiguously identify the query message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader2](#)" on page 166 for details)

| Or  | MessageElement<XML Tag>       | Mult.  | Type     | Constr. No. | Page |
|-----|-------------------------------|--------|----------|-------------|------|
|     | MessageIdentification <MsgId> | [1..1] | Text     |             | 166  |
|     | CreationDateTime <CreDtTm>    | [0..1] | DateTime |             | 166  |
|     | RequestType <ReqTp>           | [0..1] |          |             | 166  |
| {Or | PaymentControl <PmtCtrl>      | [1..1] | CodeSet  |             | 167  |
| Or  | Enquiry <Enqry>               | [1..1] | CodeSet  |             | 167  |
| Or} | Proprietary <Prtry>           | [1..1] | ±        |             | 167  |

#### 3.4.2 SearchCriteria <SchCrit>

*Presence:* [1..1]

*Definition:* Defines the criteria to be used to query the party reference data by the executing system.

**SearchCriteria <SchCrit>** contains the following **PartyDataSearchCriteria2** elements

| Or  | MessageElement<XML Tag>                              | Mult.  | Type     | Constr. No. | Page |
|-----|--|--------|----------|-------------|------|
|     | <b>OpeningDate</b> <OpngDt>                          | [0..1] |          |             | 23   |
| {Or | <b>FromDate</b> <FrDt>                               | [1..1] | Date     |             | 23   |
| Or  | <b>ToDate</b> <ToDt>                                 | [1..1] | Date     |             | 23   |
| Or  | <b>FromDate</b> <FrToDt>                             | [1..1] | ±        |             | 23   |
| Or  | <b>EqualDate</b> <EQDt>                              | [1..1] | Date     |             | 24   |
| Or} | <b>NotEqualDate</b> <NEQDt>                          | [1..1] | Date     |             | 24   |
|     | <b>ClosingDate</b> <ClsGdt>                          | [0..1] |          |             | 24   |
| {Or | <b>FromDate</b> <FrDt>                               | [1..1] | Date     |             | 24   |
| Or  | <b>ToDate</b> <ToDt>                                 | [1..1] | Date     |             | 24   |
| Or  | <b>FromDate</b> <FrToDt>                             | [1..1] | ±        |             | 24   |
| Or  | <b>EqualDate</b> <EQDt>                              | [1..1] | Date     |             | 25   |
| Or} | <b>NotEqualDate</b> <NEQDt>                          | [1..1] | Date     |             | 25   |
|     | <b>Type</b> <Tp>                                     | [0..1] |          |             | 25   |
| {Or | <b>Code</b> <Cd>                                     | [1..1] | CodeSet  |             | 25   |
| Or} | <b>Proprietary</b> <Prtry>                           | [1..1] | Text     |             | 25   |
|     | <b>ResponsiblePartyIdentification</b> <RspnsblPtyId> | [0..1] | ±        |             | 25   |
|     | <b>PartyIdentification</b> <PtyId>                   | [0..1] | ±        |             | 26   |
|     | <b>RestrictionIdentification</b> <RstrctnId>         | [0..1] | Text     |             | 26   |
|     | <b>RestrictionIssueDate</b> <RstrctnIsseDt>          | [0..1] |          |             | 26   |
| {Or | <b>DateTime</b> <DtTm>                               | [1..1] |          |             | 27   |
| {Or | <b>FromDateTime</b> <FrDtTm>                         | [1..1] | DateTime |             | 27   |
| Or  | <b>ToDateTime</b> <ToDtTm>                           | [1..1] | DateTime |             | 27   |
| Or  | <b>FromDateTime</b> <FrToDtTm>                       | [1..1] | ±        |             | 28   |
| Or  | <b>EqualDateTime</b> <EQDtTm>                        | [1..1] | DateTime |             | 28   |
| Or} | <b>NotEqualDateTime</b> <NEQDtTm>                    | [1..1] | DateTime |             | 28   |
| Or} | <b>Date</b> <Dt>                                     | [1..1] |          |             | 28   |
| {Or | <b>FromDate</b> <FrDt>                               | [1..1] | Date     |             | 28   |
| Or  | <b>ToDate</b> <ToDt>                                 | [1..1] | Date     |             | 28   |
| Or  | <b>FromDate</b> <FrToDt>                             | [1..1] | ±        |             | 29   |
| Or  | <b>EqualDate</b> <EQDt>                              | [1..1] | Date     |             | 29   |
| Or} | <b>NotEqualDate</b> <NEQDt>                          | [1..1] | Date     |             | 29   |

| Or | MessageElement<XML Tag>      | Mult.  | Type    | Constr. No. | Page |
|----|------------------------------|--------|---------|-------------|------|
|    | <b>ResidenceType</b> <ResTp> | [0..1] | CodeSet |             | 29   |
|    | <b>LockStatus</b> <LckSts>   | [0..1] |         |             | 29   |
|    | <b>ValidFrom</b> <VldFr>     | [0..1] | Date    |             | 30   |
|    | <b>Status</b> <Sts>          | [1..1] | CodeSet |             | 30   |
|    | <b>LockReason</b> <LckRsn>   | [0..*] | Text    |             | 30   |

### 3.4.2.1 OpeningDate <OpngDt>

*Presence:* [0..1]

*Definition:* Specifies the opening date of the party.

**OpeningDate <OpngDt>** contains one of the following **DatePeriodSearch1Choice** elements

| Or  | MessageElement<XML Tag>     | Mult.  | Type | Constr. No. | Page |
|-----|-----------------------------|--------|------|-------------|------|
| {Or | <b>FromDate</b> <FrDt>      | [1..1] | Date |             | 23   |
| Or  | <b>ToDate</b> <ToDt>        | [1..1] | Date |             | 23   |
| Or  | <b>FromDate</b> <FrToDt>    | [1..1] | ±    |             | 23   |
| Or  | <b>EqualDate</b> <EQDt>     | [1..1] | Date |             | 24   |
| Or} | <b>NotEqualDate</b> <NEQDt> | [1..1] | Date |             | 24   |

#### 3.4.2.1.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 184

#### 3.4.2.1.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 184

#### 3.4.2.1.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 158 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | FromDate <FrDt>         | [1..1] | Date |             | 158  |
|    | ToDate <ToDt>           | [1..1] | Date |             | 158  |

#### 3.4.2.1.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 184

#### 3.4.2.1.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 184

#### 3.4.2.2 ClosingDate <ClsgDt>

*Presence:* [0..1]

*Definition:* Specifies the closing date of the party.

**ClosingDate <ClsgDt>** contains one of the following **DatePeriodSearch1Choice** elements

| Or  | MessageElement<XML Tag>     | Mult.  | Type | Constr. No. | Page |
|-----|-----------------------------|--------|------|-------------|------|
| {Or | <b>FromDate</b> <FrDt>      | [1..1] | Date |             | 24   |
| Or  | <b>ToDate</b> <ToDt>        | [1..1] | Date |             | 24   |
| Or  | <b>FromDate</b> <FrToDt>    | [1..1] | ±    |             | 24   |
| Or  | <b>EqualDate</b> <EQDt>     | [1..1] | Date |             | 25   |
| Or} | <b>NotEqualDate</b> <NEQDt> | [1..1] | Date |             | 25   |

##### 3.4.2.2.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 184

##### 3.4.2.2.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 184

##### 3.4.2.2.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.



**FromDate <FrToDt>** contains the following elements (see ["DatePeriod2" on page 158](#) for details)

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | FromDate <FrDt>         | [1..1] | Date |             | 158  |
|    | ToDate <ToDt>           | [1..1] | Date |             | 158  |

#### 3.4.2.2.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* ["ISODate" on page 184](#)

#### 3.4.2.2.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* ["ISODate" on page 184](#)

#### 3.4.2.3 Type <Tp>

*Presence:* [0..1]

*Definition:* Specifies the type classification of the party.

**Type <Tp>** contains one of the following **SystemPartyType1Choice** elements

| Or  | MessageElement<XML Tag>    | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------|--------|---------|-------------|------|
| {Or | <b>Code</b> <Cd>           | [1..1] | CodeSet |             | 25   |
| Or} | <b>Proprietary</b> <Prtry> | [1..1] | Text    |             | 25   |

##### 3.4.2.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* System party type, in a coded format.

*Datatype:* ["ExternalSystemPartyType1Code" on page 182](#)

##### 3.4.2.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* System party type, in a proprietary format.

*Datatype:* ["Max35Text" on page 188](#)

#### 3.4.2.4 ResponsiblePartyIdentification <RspnsblPtyId>

*Presence:* [0..1]

*Definition:* Unique identification of the party responsible for the maintenance of the party reference data.

**ResponsiblePartyIdentification <RspnsblPtyId>** contains the following elements (see ["PartyIdentification136"](#) on page 168 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type          | Constr. No. | Page |
|----|-------------------------|--------|---------------|-------------|------|
|    | Identification <Id>     | [1..1] | ±             |             | 168  |
|    | LEI <LEI>               | [0..1] | IdentifierSet |             | 168  |

### 3.4.2.5 PartyIdentification <PtyId>

*Presence:* [0..1]

*Definition:* Unique identification to unambiguously identify the party within the system.

**PartyIdentification <PtyId>** contains the following elements (see ["PartyIdentification136"](#) on page 168 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type          | Constr. No. | Page |
|----|-------------------------|--------|---------------|-------------|------|
|    | Identification <Id>     | [1..1] | ±             |             | 168  |
|    | LEI <LEI>               | [0..1] | IdentifierSet |             | 168  |

### 3.4.2.6 RestrictionIdentification <RstrctnId>

*Presence:* [0..1]

*Definition:* Specifies the identification of a restriction.

*Datatype:* ["Max35Text"](#) on page 188

### 3.4.2.7 RestrictionIssueDate <RstrctnIsseDt>

*Presence:* [0..1]

*Definition:* Specifies the date when the restriction for the party has been issued.

**RestrictionIssueDate <RstrctnlIsseDt>** contains one of the following  
**DateAndDateTimeSearch4Choice** elements

| Or  | MessageElement<XML Tag>           | Mult.  | Type     | Constr. No. | Page |
|-----|-----------------------------------|--------|----------|-------------|------|
| {Or | <b>DateTime</b> <DtTm>            | [1..1] |          |             | 27   |
| {Or | <b>FromDateTime</b> <FrDtTm>      | [1..1] | DateTime |             | 27   |
| Or  | <b>ToDateTime</b> <ToDtTm>        | [1..1] | DateTime |             | 27   |
| Or  | <b>FromToDateTime</b> <FrToDtTm>  | [1..1] | ±        |             | 28   |
| Or  | <b>EqualDateTime</b> <EQDtTm>     | [1..1] | DateTime |             | 28   |
| Or} | <b>NotEqualDateTime</b> <NEQDtTm> | [1..1] | DateTime |             | 28   |
| Or} | <b>Date</b> <Dt>                  | [1..1] |          |             | 28   |
| {Or | <b>FromDate</b> <FrDt>            | [1..1] | Date     |             | 28   |
| Or  | <b>ToDate</b> <ToDt>              | [1..1] | Date     |             | 28   |
| Or  | <b>FromDate</b> <FrDt>            | [1..1] | ±        |             | 29   |
| Or  | <b>EqualDate</b> <EQDt>           | [1..1] | Date     |             | 29   |
| Or} | <b>NotEqualDate</b> <NEQDt>       | [1..1] | Date     |             | 29   |

#### 3.4.2.7.1 DateTime <DtTm>

*Presence:* [1..1]

*Definition:* Patterns to search a date time.

**DateTime** <DtTm> contains one of the following **DateTimeSearch2Choice** elements

| Or  | MessageElement<XML Tag>           | Mult.  | Type     | Constr. No. | Page |
|-----|-----------------------------------|--------|----------|-------------|------|
| {Or | <b>FromDateTime</b> <FrDtTm>      | [1..1] | DateTime |             | 27   |
| Or  | <b>ToDateTime</b> <ToDtTm>        | [1..1] | DateTime |             | 27   |
| Or  | <b>FromToDateTime</b> <FrToDtTm>  | [1..1] | ±        |             | 28   |
| Or  | <b>EqualDateTime</b> <EQDtTm>     | [1..1] | DateTime |             | 28   |
| Or} | <b>NotEqualDateTime</b> <NEQDtTm> | [1..1] | DateTime |             | 28   |

##### 3.4.2.7.1.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODatetime" on page 185

##### 3.4.2.7.1.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODatetime" on page 185

### 3.4.2.7.1.3 FromToDateTime <FrToDtTm>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDateTime <FrToDtTm>** contains the following elements (see ["DateTimePeriod1"](#) on page 158 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type     | Constr. No. | Page |
|----|-------------------------|--------|----------|-------------|------|
|    | FromDateTime <FrDtTm>   | [1..1] | DateTime |             | 158  |
|    | ToDateTime <ToDtTm>     | [1..1] | DateTime |             | 158  |

### 3.4.2.7.1.4 EqualDateTime <EQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* ["ISODatetime"](#) on page 185

### 3.4.2.7.1.5 NotEqualDateTime <NEQDtTm>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* ["ISODatetime"](#) on page 185

### 3.4.2.7.2 Date <Dt>

*Presence:* [1..1]

*Definition:* Patterns to search a date.

**Date <Dt>** contains one of the following **DatePeriodSearch1Choice** elements

| Or  | MessageElement<XML Tag>     | Mult.  | Type | Constr. No. | Page |
|-----|-----------------------------|--------|------|-------------|------|
| {Or | <b>FromDate</b> <FrDt>      | [1..1] | Date |             | 28   |
| Or  | <b>ToDate</b> <ToDt>        | [1..1] | Date |             | 28   |
| Or  | <b>FromDate</b> <FrToDt>    | [1..1] | ±    |             | 29   |
| Or  | <b>EqualDate</b> <EQDt>     | [1..1] | Date |             | 29   |
| Or} | <b>NotEqualDate</b> <NEQDt> | [1..1] | Date |             | 29   |

### 3.4.2.7.2.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* ["ISODate"](#) on page 184

### 3.4.2.7.2.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 184

#### 3.4.2.7.2.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 158 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | FromDate <FrDt>         | [1..1] | Date |             | 158  |
|    | ToDate <ToDt>           | [1..1] | Date |             | 158  |

#### 3.4.2.7.2.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 184

#### 3.4.2.7.2.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 184

#### 3.4.2.8 ResidenceType <ResTp>

*Presence:* [0..1]

*Definition:* Specifies the type of residence where the party has its permanent home or principal establishment.

*Datatype:* "ResidenceType1Code" on page 184

| CodeName | Name     | Definition             |
|----------|----------|------------------------|
| DMST     | Domestic | Residence is domestic. |
| FRGN     | Foreign  | Residence is foreign.  |
| MXED     | Mixed    | Residence is mixed     |

#### 3.4.2.9 LockStatus <LckSts>

*Presence:* [0..1]

*Definition:* Specifies whether the party is locked or not, and the reason for this status, when required.

**LockStatus <LckSts>** contains the following **PartyLockStatus1** elements

| Or | MessageElement<XML Tag> | Mult.  | Type    | Constr. No. | Page |
|----|-------------------------|--------|---------|-------------|------|
|    | ValidFrom <VldFr>       | [0..1] | Date    |             | 30   |
|    | Status <Sts>            | [1..1] | CodeSet |             | 30   |
|    | LockReason <LckRsn>     | [0..*] | Text    |             | 30   |

#### 3.4.2.9.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the lock status is valid.

*Datatype:* "ISODate" on page 184

#### 3.4.2.9.2 Status <Sts>

*Presence:* [1..1]

*Definition:* Lock status of the party.

*Datatype:* "LockStatus1Code" on page 182

| CodeName | Name     | Definition                            |
|----------|----------|---------------------------------------|
| LOCK     | Locked   | Party has been locked for operations. |
| ULCK     | Unlocked | Party is ready for operations.        |

#### 3.4.2.9.3 LockReason <LckRsn>

*Presence:* [0..\*]

*Definition:* Specifies the underlying reason for the locking of the party.

*Datatype:* "Max35Text" on page 188

### 3.4.3 ReturnCriteria <RtrCrit>

*Presence:* [0..1]

*Definition:* Defines the expected party reference data to be returned.

**ReturnCriteria <RtrCrit>** contains the following **PartyDataReturnCriteria2** elements

| Or | MessageElement<XML Tag>                              | Mult.  | Type      | Constr. No. | Page |
|----|--|--------|-----------|-------------|------|
|    | <b>OpeningDate</b> <OpngDt>                          | [0..1] | Indicator |             | 31   |
|    | <b>ClosingDate</b> <ClsgDt>                          | [0..1] | Indicator |             | 31   |
|    | <b>Type</b> <Tp>                                     | [0..1] | Indicator |             | 31   |
|    | <b>PartyIdentification</b> <PtyId>                   | [0..1] | Indicator |             | 32   |
|    | <b>ResponsiblePartyIdentification</b> <RspnsblPtyId> | [0..1] | Indicator |             | 32   |
|    | <b>RestrictionIdentification</b> <RstrctnId>         | [0..1] | Indicator |             | 32   |
|    | <b>RestrictedOnDate</b> <RstrctdOnDt>                | [0..1] | Indicator |             | 32   |
|    | <b>Name</b> <Nm>                                     | [0..1] | Indicator |             | 32   |
|    | <b>ShortName</b> <ShrtNm>                            | [0..1] | Indicator |             | 33   |
|    | <b>Address</b> <Adr>                                 | [0..1] | Indicator |             | 33   |
|    | <b>TechnicalAddress</b> <TechAdr>                    | [0..1] | Indicator |             | 33   |
|    | <b>ContactDetails</b> <CtctDtls>                     | [0..1] | Indicator |             | 33   |
|    | <b>ResidenceType</b> <ResTp>                         | [0..1] | Indicator |             | 33   |
|    | <b>LockStatus</b> <LckSts>                           | [0..1] | Indicator |             | 34   |
|    | <b>MarketSpecificAttribute</b> <MktSpfcAttr>         | [0..1] | Indicator |             | 34   |

### 3.4.3.1 OpeningDate <OpngDt>

*Presence:* [0..1]

*Definition:* Indicates whether the opening date of the party is requested.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 186](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

### 3.4.3.2 ClosingDate <ClsgDt>

*Presence:* [0..1]

*Definition:* Indicates whether the closing date of the party is requested.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 186](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

### 3.4.3.3 Type <Tp>

*Presence:* [0..1]

*Definition:* Indicates whether the type is requested.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 186](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **3.4.3.4 PartyIdentification <PtyId>**

*Presence:* [0..1]

*Definition:* Indicates whether the unique identification to unambiguously identify the party within the system is requested.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 186](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **3.4.3.5 ResponsiblePartyIdentification <RspnsblPtyId>**

*Presence:* [0..1]

*Definition:* Indicates whether the responsible party who initially created the party reference data is requested.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 186](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **3.4.3.6 RestrictionIdentification <RstrctnId>**

*Presence:* [0..1]

*Definition:* Indicates whether the identification of the restriction is requested.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 186](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **3.4.3.7 RestrictedOnDate <RstrctdOnDt>**

*Presence:* [0..1]

*Definition:* Indicates whether the date at which a restriction for party has been issued is requested.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 186](#)):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### **3.4.3.8 Name <Nm>**

*Presence:* [0..1]

*Definition:* Indicates whether the name for the party is requested.

*Datatype:* One of the following values must be used (see ["RequestedIndicator" on page 186](#)):



- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### 3.4.3.9 ShortName <ShrtNm>

*Presence:* [0..1]

*Definition:* Indicates whether the short name for the party is requested.

*Datatype:* One of the following values must be used (see "[RequestedIndicator](#)" on page 186):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### 3.4.3.10 Address <Adr>

*Presence:* [0..1]

*Definition:* Indicates whether the address for the party is requested.

*Datatype:* One of the following values must be used (see "[RequestedIndicator](#)" on page 186):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### 3.4.3.11 TechnicalAddress <TechAdr>

*Presence:* [0..1]

*Definition:* Indicates whether the technical addresses for the party are requested.

*Datatype:* One of the following values must be used (see "[RequestedIndicator](#)" on page 186):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### 3.4.3.12 ContactDetails <CtctDtls>

*Presence:* [0..1]

*Definition:* Indicates whether the party contact details are requested.

*Datatype:* One of the following values must be used (see "[RequestedIndicator](#)" on page 186):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

#### 3.4.3.13 ResidenceType <ResTp>

*Presence:* [0..1]

*Definition:* Indicates whether the residence type is requested.

*Datatype:* One of the following values must be used (see "[RequestedIndicator](#)" on page 186):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

### 3.4.3.14 LockStatus <LckSts>

*Presence:* [0..1]

*Definition:* Indicates whether the lock status is requested.

*Datatype:* One of the following values must be used (see ["RequestedIndicator"](#) on page 186):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

### 3.4.3.15 MarketSpecificAttribute <MktSpcfcAttr>

*Presence:* [0..1]

*Definition:* Indicates whether the market specific attributes for the party are requested.

*Datatype:* One of the following values must be used (see ["RequestedIndicator"](#) on page 186):

- *Meaning When True:* Requested
- *Meaning When False:* Not Requested

## 3.4.4 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C3 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see ["SupplementaryData1"](#) on page 163 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type              | Constr. No. | Page |
|----|-------------------------|--------|-------------------|-------------|------|
|    | PlaceAndName <PlcAndNm> | [0..1] | Text              |             | 163  |
|    | Envelope <Envlp>        | [1..1] | (External Schema) |             | 163  |

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## **4       reda.016.001.01 PartyStatusAdviceV01**

### **4.1      MessageDefinition Functionality**

#### **Scope:**

The PartyStatusAdvice message is sent by the executing party to the instructing party to provide details about the processing of a request on party reference data (create or update).

#### **Usage:**

When processing information is negative - a failure occurred in applying the changes the message accordingly also delivers information about the reason why the creation or update could not be processed.

When processing is successfully performed, the message includes the related party identification.

#### **Outline**

The PartyStatusAdviceV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

#### **A. MessageHeader**

Common business identification for the message.

#### **B. PartyStatus**

Status of the party involved in the originating message.

#### **C. SupplementaryData**

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 4.2 Structure

| Or  | MessageElement/BuildingBlock<XML Tag>              | Mult.  | Type     | Constr. No. | Page |
|-----|--|--------|----------|-------------|------|
|     | Message root <Document> <PtyStsAdv>                | [1..1] |          |             |      |
|     | <b>MessageHeader</b> <MsgHdr>                      | [0..1] |          |             | 37   |
|     | <b>MessageIdentification</b> <MsgId>               | [1..1] | Text     |             | 37   |
|     | <b>CreationDateTime</b> <CreDtTm>                  | [0..1] | DateTime |             | 37   |
|     | <b>OriginalBusinessInstruction</b> <OrgnlBizInstr> | [0..1] | ±        |             | 37   |
|     | <b>PartyStatus</b> <PtySts>                        | [1..1] |          | C3, C4      | 37   |
|     | <b>Status</b> <Sts>                                | [1..1] | CodeSet  |             | 38   |
|     | <b>StatusReason</b> <StsRsn>                       | [0..*] |          |             | 38   |
|     | <b>Reason</b> <Rsn>                                | [1..1] |          |             | 39   |
| {Or | <b>Code</b> <Cd>                                   | [1..1] | CodeSet  |             | 39   |
| Or} | <b>Proprietary</b> <Prtry>                         | [1..1] | Text     |             | 39   |
|     | <b>AdditionalInformation</b> <AddtlInf>            | [0..1] | Text     |             | 39   |
|     | <b>SystemPartyIdentification</b> <SysPtyId>        | [0..1] | ±        |             | 39   |
|     | <b>SupplementaryData</b> <SplmtryData>             | [0..*] | ±        | C5          | 40   |

## 4.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C3 PartyPresenceRule

If Status equals 'Completed' then RelatedPartyIdentification must be present and ResponsiblePartyIdentification must be present.

### C4 StatusReasonRule

If Status equals 'Rejected' then StatusReason must be present.

### C5 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 4.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 4.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following **MessageHeader12** elements

| Or | MessageElement<XML Tag>                            | Mult.  | Type     | Constr. No. | Page |
|----|--|--------|----------|-------------|------|
|    | <b>MessageIdentification</b> <MsgId>               | [1..1] | Text     |             | 37   |
|    | <b>CreationDateTime</b> <CreDtTm>                  | [0..1] | DateTime |             | 37   |
|    | <b>OriginalBusinessInstruction</b> <OrgnlBizInstr> | [0..1] | ±        |             | 37   |

#### 4.4.1.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

*Usage:* The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 188

#### 4.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODatetime" on page 185

#### 4.4.1.3 OriginalBusinessInstruction <OrgnlBizInstr>

*Presence:* [0..1]

*Definition:* Unique identification of the original instruction.

**OriginalBusinessInstruction <OrgnlBizInstr>** contains the following elements (see "OriginalBusinessInstruction1" on page 162 for details)

| Or | MessageElement<XML Tag>             | Mult.  | Type     | Constr. No. | Page |
|----|-------------------------------------|--------|----------|-------------|------|
|    | MessageIdentification <MsgId>       | [1..1] | Text     |             | 162  |
|    | MessageNameIdentification <MsgNmId> | [0..1] | Text     |             | 162  |
|    | CreationDateTime <CreDtTm>          | [0..1] | DateTime |             | 162  |

### 4.4.2 PartyStatus <PtySts>

*Presence:* [1..1]

*Definition:* Status of the party involved in the originating message.

Impacted by: C3 "PartyPresenceRule", C4 "StatusReasonRule"

**PartyStatus** <PtySts> contains the following **PartyStatus2** elements

| Or  | MessageElement<XML Tag>                     | Mult.  | Type    | Constr. No. | Page |
|-----|---|--------|---------|-------------|------|
|     | <b>Status</b> <Sts>                         | [1..1] | CodeSet |             | 38   |
|     | <b>StatusReason</b> <StsRsn>                | [0..*] |         |             | 38   |
|     | <b>Reason</b> <Rsn>                         | [1..1] |         |             | 39   |
| {Or | <b>Code</b> <Cd>                            | [1..1] | CodeSet |             | 39   |
| Or} | <b>Proprietary</b> <Prtry>                  | [1..1] | Text    |             | 39   |
|     | <b>AdditionalInformation</b> <AddtlInf>     | [0..1] | Text    |             | 39   |
|     | <b>SystemPartyIdentification</b> <SysPtyId> | [0..1] | ±       |             | 39   |

#### Constraints

- **PartyPresenceRule**

If Status equals 'Completed' then RelatedPartyIdentification must be present and ResponsiblePartyIdentification must be present.

- **StatusReasonRule**

If Status equals 'Rejected' then StatusReason must be present.

#### 4.4.2.1 Status <Sts>

*Presence:* [1..1]

*Definition:* Status of the party maintenance instruction.

*Datatype:* "Status6Code" on page 184

| CodeName | Name      | Definition                     |
|----------|-----------|--------------------------------|
| REJT     | Rejected  | Instruction has been rejected. |
| COMP     | Completed | Processing has been completed. |
| QUED     | Queued    | Instruction is queued.         |

#### 4.4.2.2 StatusReason <StsRsn>

*Presence:* [0..\*]

*Definition:* Specifies the underlying reason for the status of an object.

**StatusReason <StsRsn>** contains the following **StatusReasonInformation10** elements

| Or  | MessageElement<XML Tag>                       | Mult.  | Type    | Constr. No. | Page |
|-----|---|--------|---------|-------------|------|
|     | <b>Reason &lt;Rsn&gt;</b>                     | [1..1] |         |             | 39   |
| {Or | <b>Code &lt;Cd&gt;</b>                        | [1..1] | CodeSet |             | 39   |
| Or} | <b>Proprietary &lt;Prtry&gt;</b>              | [1..1] | Text    |             | 39   |
|     | <b>AdditionalInformation &lt;AddtlInf&gt;</b> | [0..1] | Text    |             | 39   |

#### 4.4.2.2.1 Reason <Rsn>

*Presence:* [1..1]

*Definition:* Specifies the reason for the status report.

**Reason <Rsn>** contains one of the following **StatusReason6Choice** elements

| Or  | MessageElement<XML Tag>          | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------------|--------|---------|-------------|------|
| {Or | <b>Code &lt;Cd&gt;</b>           | [1..1] | CodeSet |             | 39   |
| Or} | <b>Proprietary &lt;Prtry&gt;</b> | [1..1] | Text    |             | 39   |

##### 4.4.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Reason for the status, as published in an external reason code list.

*Datatype:* "ExternalStatusReason1Code" on page 182

##### 4.4.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Reason for the status, in a proprietary form.

*Datatype:* "Max35Text" on page 188

#### 4.4.2.2.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Further details on the status reason.

Usage: Additional information can be used for several purposes such as the reporting of repaired information.

*Datatype:* "Max140Text" on page 187

#### 4.4.2.3 SystemPartyIdentification <SysPtyId>

*Presence:* [0..1]

*Definition:* Specifications of a party defined within a system.

**SystemPartyIdentification <SysPtyId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 170 for details)

| Or | MessageElement<XML Tag>                       | Mult.  | Type | Constr. No. | Page |
|----|---|--------|------|-------------|------|
|    | Identification <Id>                           | [1..1] | ±    |             | 170  |
|    | ResponsiblePartyIdentification <RspnsblPtyId> | [0..1] | ±    |             | 170  |

### 4.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C5 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 163 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type              | Constr. No. | Page |
|----|-------------------------|--------|-------------------|-------------|------|
|    | PlaceAndName <PlcAndNm> | [0..1] | Text              |             | 163  |
|    | Envelope <Envlp>        | [1..1] | (External Schema) |             | 163  |

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.



# 5      **reda.017.001.02**

## **PartyReportV02**

### 5.1      **MessageDefinition Functionality**

Scope:

The PartyReport message is sent by the executing party to a central securities depository, a national central bank, a central securities depository participant, a central counter party, a payment bank, a trading party or a stock exchange to provide detailed information on the requested party reference data of the party defined in the system.

Outline

The PartyReportV02 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Point to point reference elements, as assigned by the instructing party, to unambiguously identify the report message.

B. ReportOrError

Provides information on report or error resulting from the originating query message.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 5.2 Structure

| Or  | MessageElement/BuildingBlock<XML Tag>                | Mult.  | Type          | Constr. No. | Page |
|-----|--|--------|---------------|-------------|------|
|     | Message root <Document> <PtyRpt>                     | [1..1] |               |             |      |
|     | <b>MessageHeader</b> <MsgHdr>                        | [0..1] | ±             |             | 44   |
|     | <b>ReportOrError</b> <RptOrErr>                      | [1..1] |               |             | 44   |
| {Or | <b>PartyReport</b> <PtyRpt>                          | [1..*] |               |             | 46   |
|     | <b>PartyIdentification</b> <PtyId>                   | [1..1] | ±             |             | 48   |
|     | <b>PartyOrError</b> <PtyOrErr>                       | [1..1] |               |             | 48   |
| {Or | <b>SystemParty</b> <SysPty>                          | [1..1] |               |             | 50   |
|     | <b>PartyIdentification</b> <PtyId>                   | [0..1] |               |             | 52   |
|     | <b>Identification</b> <Id>                           | [1..1] | ±             |             | 52   |
|     | <b>ResponsiblePartyIdentification</b> <RspnsblPtyId> | [0..1] | ±             |             | 52   |
|     | <b>ValidFrom</b> <VldFr>                             | [0..1] | Date          |             | 52   |
|     | <b>Address</b> <Adr>                                 | [0..1] | ±             |             | 52   |
|     | <b>ContactDetails</b> <CtctDtls>                     | [0..*] | ±             |             | 53   |
|     | <b>OpeningDate</b> <OpngDt>                          | [0..1] | Date          |             | 54   |
|     | <b>ClosingDate</b> <ClsgDt>                          | [0..1] | Date          |             | 54   |
|     | <b>Type</b> <Tp>                                     | [0..1] |               |             | 54   |
| {Or | <b>Code</b> <Cd>                                     | [1..1] | CodeSet       |             | 55   |
| Or} | <b>Proprietary</b> <Prtry>                           | [1..1] | Text          |             | 55   |
|     | <b>TechnicalAddress</b> <TechAdr>                    | [0..*] |               |             | 55   |
| {Or | <b>BICFI</b> <BICFI>                                 | [1..1] | IdentifierSet | C2          | 55   |
| Or} | <b>TechnicalAddress</b> <TechAdr>                    | [1..1] | Text          |             | 55   |
|     | <b>MarketSpecificAttribute</b> <MktSpfcAttr>         | [0..*] |               |             | 56   |
|     | <b>Name</b> <Nm>                                     | [1..1] | Text          |             | 56   |
|     | <b>Value</b> <Val>                                   | [1..1] | Text          |             | 56   |
|     | <b>Name</b> <Nm>                                     | [0..1] |               |             | 56   |
|     | <b>ValidFrom</b> <VldFr>                             | [0..1] | Date          |             | 56   |
|     | <b>Name</b> <Nm>                                     | [1..1] | Text          |             | 56   |
|     | <b>ShortName</b> <ShrtNm>                            | [0..1] | Text          |             | 56   |
|     | <b>ResidenceType</b> <ResTp>                         | [0..1] | CodeSet       |             | 57   |
|     | <b>LockStatus</b> <LckSts>                           | [0..1] |               |             | 57   |

| Or  | MessageElement/BuildingBlock<XML Tag>  | Mult.  | Type     | Constr. No. | Page |
|-----|--|--------|----------|-------------|------|
|     | <b>ValidFrom</b> <VldFr>               | [0..1] | Date     |             | 57   |
|     | <b>Status</b> <Sts>                    | [1..1] | CodeSet  |             | 57   |
|     | <b>LockReason</b> <LckRsn>             | [0..*] | Text     |             | 57   |
|     | <b>Restriction</b> <Rstrctn>           | [0..*] |          |             | 58   |
|     | <b>ValidFrom</b> <VldFr>               | [1..1] | DateTime |             | 58   |
|     | <b>ValidTo</b> <VldTo>                 | [0..1] | DateTime |             | 58   |
|     | <b>Type</b> <Tp>                       | [1..1] | Text     |             | 58   |
| Or} | <b>BusinessError</b> <BizErr>          | [1..*] |          |             | 58   |
|     | <b>Error</b> <Err>                     | [1..1] |          |             | 58   |
| {Or | <b>Code</b> <Cd>                       | [1..1] | CodeSet  |             | 59   |
| Or} | <b>Proprietary</b> <Prtry>             | [1..1] | Text     |             | 59   |
|     | <b>Description</b> <Desc>              | [0..1] | Text     |             | 59   |
| Or} | <b>OperationalError</b> <OpriErr>      | [1..*] |          |             | 59   |
|     | <b>Error</b> <Err>                     | [1..1] |          |             | 60   |
| {Or | <b>Code</b> <Cd>                       | [1..1] | CodeSet  |             | 60   |
| Or} | <b>Proprietary</b> <Prtry>             | [1..1] | Text     |             | 60   |
|     | <b>Description</b> <Desc>              | [0..1] | Text     |             | 60   |
|     | <b>SupplementaryData</b> <SplmtryData> | [0..*] | ±        | C4          | 60   |

## 5.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 5.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 5.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Point to point reference elements, as assigned by the instructing party, to unambiguously identify the report message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader3](#)" on page 163 for details)

| Or  | MessageElement<XML Tag>             | Mult.  | Type     | Constr. No. | Page |
|-----|-------------------------------------|--------|----------|-------------|------|
|     | MessageIdentification <MsgId>       | [1..1] | Text     |             | 164  |
|     | CreationDateTime <CreDtTm>          | [0..1] | DateTime |             | 164  |
|     | RequestType <ReqTp>                 | [0..1] |          |             | 164  |
| {Or | PaymentControl <PmtCtrl>            | [1..1] | CodeSet  |             | 164  |
| Or  | Enquiry <Enqry>                     | [1..1] | CodeSet  |             | 165  |
| Or} | Proprietary <Prtry>                 | [1..1] | ±        |             | 165  |
|     | OriginalBusinessQuery <OrgnlBizQry> | [0..1] | ±        |             | 165  |
|     | QueryName <QryNm>                   | [0..1] | Text     |             | 166  |

### 5.4.2 ReportOrError <RptOrErr>

*Presence:* [1..1]

*Definition:* Provides information on report or error resulting from the originating query message.

**ReportOrError <RptOrErr>** contains one of the following **PartyOrOperationalError4Choice** elements

| Or  | MessageElement<XML Tag>                              | Mult.  | Type          | Constr. No. | Page |
|-----|--|--------|---------------|-------------|------|
| {Or | <b>PartyReport</b> <PtyRpt>                          | [1..*] |               |             | 46   |
|     | <b>PartyIdentification</b> <PtyId>                   | [1..1] | ±             |             | 48   |
|     | <b>PartyOrError</b> <PtyOrErr>                       | [1..1] |               |             | 48   |
| {Or | <b>SystemParty</b> <SysPty>                          | [1..1] |               |             | 50   |
|     | <b>PartyIdentification</b> <PtyId>                   | [0..1] |               |             | 52   |
|     | <b>Identification</b> <Id>                           | [1..1] | ±             |             | 52   |
|     | <b>ResponsiblePartyIdentification</b> <RspnsblPtyId> | [0..1] | ±             |             | 52   |
|     | <b>ValidFrom</b> <VldFr>                             | [0..1] | Date          |             | 52   |
|     | <b>Address</b> <Adr>                                 | [0..1] | ±             |             | 52   |
|     | <b>ContactDetails</b> <CtctDtls>                     | [0..*] | ±             |             | 53   |
|     | <b>OpeningDate</b> <OpngDt>                          | [0..1] | Date          |             | 54   |
|     | <b>ClosingDate</b> <ClsgDt>                          | [0..1] | Date          |             | 54   |
|     | <b>Type</b> <Tp>                                     | [0..1] |               |             | 54   |
| {Or | <b>Code</b> <Cd>                                     | [1..1] | CodeSet       |             | 55   |
| Or} | <b>Proprietary</b> <Prtry>                           | [1..1] | Text          |             | 55   |
|     | <b>TechnicalAddress</b> <TechAdr>                    | [0..*] |               |             | 55   |
| {Or | <b>BICFI</b> <BICFI>                                 | [1..1] | IdentifierSet | C2          | 55   |
| Or} | <b>TechnicalAddress</b> <TechAdr>                    | [1..1] | Text          |             | 55   |
|     | <b>MarketSpecificAttribute</b> <MktSpcfcAttr>        | [0..*] |               |             | 56   |
|     | <b>Name</b> <Nm>                                     | [1..1] | Text          |             | 56   |
|     | <b>Value</b> <Val>                                   | [1..1] | Text          |             | 56   |
|     | <b>Name</b> <Nm>                                     | [0..1] |               |             | 56   |
|     | <b>ValidFrom</b> <VldFr>                             | [0..1] | Date          |             | 56   |
|     | <b>Name</b> <Nm>                                     | [1..1] | Text          |             | 56   |
|     | <b>ShortName</b> <ShrtNm>                            | [0..1] | Text          |             | 56   |
|     | <b>ResidenceType</b> <ResTp>                         | [0..1] | CodeSet       |             | 57   |
|     | <b>LockStatus</b> <LckSts>                           | [0..1] |               |             | 57   |
|     | <b>ValidFrom</b> <VldFr>                             | [0..1] | Date          |             | 57   |
|     | <b>Status</b> <Sts>                                  | [1..1] | CodeSet       |             | 57   |
|     | <b>LockReason</b> <LckRsn>                           | [0..*] | Text          |             | 57   |
|     | <b>Restriction</b> <Rstrctn>                         | [0..*] |               |             | 58   |

| Or  | MessageElement<XML Tag>           | Mult.  | Type     | Constr. No. | Page |
|-----|-----------------------------------|--------|----------|-------------|------|
|     | <b>ValidFrom</b> <VldFr>          | [1..1] | DateTime |             | 58   |
|     | <b>ValidTo</b> <VldTo>            | [0..1] | DateTime |             | 58   |
|     | <b>Type</b> <Tp>                  | [1..1] | Text     |             | 58   |
| Or} | <b>BusinessError</b> <BizErr>     | [1..*] |          |             | 58   |
|     | <b>Error</b> <Err>                | [1..1] |          |             | 58   |
| {Or | <b>Code</b> <Cd>                  | [1..1] | CodeSet  |             | 59   |
| Or} | <b>Proprietary</b> <Prtry>        | [1..1] | Text     |             | 59   |
|     | <b>Description</b> <Desc>         | [0..1] | Text     |             | 59   |
| Or} | <b>OperationalError</b> <OpriErr> | [1..*] |          |             | 59   |
|     | <b>Error</b> <Err>                | [1..1] |          |             | 60   |
| {Or | <b>Code</b> <Cd>                  | [1..1] | CodeSet  |             | 60   |
| Or} | <b>Proprietary</b> <Prtry>        | [1..1] | Text     |             | 60   |
|     | <b>Description</b> <Desc>         | [0..1] | Text     |             | 60   |

#### 5.4.2.1 PartyReport <PtyRpt>

*Presence:* [1..\*]

*Definition:* Report information about party reference data.

**PartyReport <PtyRpt>** contains the following **PartyReport4** elements

| Or  | MessageElement<XML Tag>                              | Mult.  | Type          | Constr. No. | Page |
|-----|--|--------|---------------|-------------|------|
|     | <b>PartyIdentification</b> <PtyId>                   | [1..1] | ±             |             | 48   |
|     | <b>PartyOrError</b> <PtyOrErr>                       | [1..1] |               |             | 48   |
| {Or | <b>SystemParty</b> <SysPty>                          | [1..1] |               |             | 50   |
|     | <b>PartyIdentification</b> <PtyId>                   | [0..1] |               |             | 52   |
|     | <b>Identification</b> <Id>                           | [1..1] | ±             |             | 52   |
|     | <b>ResponsiblePartyIdentification</b> <RspnsblPtyId> | [0..1] | ±             |             | 52   |
|     | <b>ValidFrom</b> <VldFr>                             | [0..1] | Date          |             | 52   |
|     | <b>Address</b> <Adr>                                 | [0..1] | ±             |             | 52   |
|     | <b>ContactDetails</b> <CtctDtls>                     | [0..*] | ±             |             | 53   |
|     | <b>OpeningDate</b> <OpngDt>                          | [0..1] | Date          |             | 54   |
|     | <b>ClosingDate</b> <ClsGdt>                          | [0..1] | Date          |             | 54   |
|     | <b>Type</b> <Tp>                                     | [0..1] |               |             | 54   |
| {Or | <b>Code</b> <Cd>                                     | [1..1] | CodeSet       |             | 55   |
| Or} | <b>Proprietary</b> <Prtry>                           | [1..1] | Text          |             | 55   |
|     | <b>TechnicalAddress</b> <TechAdr>                    | [0..*] |               |             | 55   |
| {Or | <b>BICFI</b> <BICFI>                                 | [1..1] | IdentifierSet | C2          | 55   |
| Or} | <b>TechnicalAddress</b> <TechAdr>                    | [1..1] | Text          |             | 55   |
|     | <b>MarketSpecificAttribute</b> <MktSpfcAttr>         | [0..*] |               |             | 56   |
|     | <b>Name</b> <Nm>                                     | [1..1] | Text          |             | 56   |
|     | <b>Value</b> <Val>                                   | [1..1] | Text          |             | 56   |
|     | <b>Name</b> <Nm>                                     | [0..1] |               |             | 56   |
|     | <b>ValidFrom</b> <VldFr>                             | [0..1] | Date          |             | 56   |
|     | <b>Name</b> <Nm>                                     | [1..1] | Text          |             | 56   |
|     | <b>ShortName</b> <ShrtNm>                            | [0..1] | Text          |             | 56   |
|     | <b>ResidenceType</b> <ResTp>                         | [0..1] | CodeSet       |             | 57   |
|     | <b>LockStatus</b> <LckSts>                           | [0..1] |               |             | 57   |
|     | <b>ValidFrom</b> <VldFr>                             | [0..1] | Date          |             | 57   |
|     | <b>Status</b> <Sts>                                  | [1..1] | CodeSet       |             | 57   |
|     | <b>LockReason</b> <LckRsn>                           | [0..*] | Text          |             | 57   |
|     | <b>Restriction</b> <Rstrctn>                         | [0..*] |               |             | 58   |
|     | <b>ValidFrom</b> <VldFr>                             | [1..1] | DateTime      |             | 58   |

| Or  | MessageElement<XML Tag>       | Mult.  | Type     | Constr. No. | Page |
|-----|-------------------------------|--------|----------|-------------|------|
|     | <b>ValidTo</b> <VldTo>        | [0..1] | DateTime |             | 58   |
|     | <b>Type</b> <Tp>              | [1..1] | Text     |             | 58   |
| Or} | <b>BusinessError</b> <BizErr> | [1..*] |          |             | 58   |
|     | <b>Error</b> <Err>            | [1..1] |          |             | 58   |
| {Or | <b>Code</b> <Cd>              | [1..1] | CodeSet  |             | 59   |
| Or} | <b>Proprietary</b> <Prtry>    | [1..1] | Text     |             | 59   |
|     | <b>Description</b> <Desc>     | [0..1] | Text     |             | 59   |

#### 5.4.2.1.1 PartyIdentification <PtyId>

*Presence:* [1..1]

*Definition:* Unique identification to unambiguously identify the party within the system.

**PartyIdentification <PtyId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 170 for details)

| Or | MessageElement<XML Tag>                       | Mult.  | Type | Constr. No. | Page |
|----|---|--------|------|-------------|------|
|    | Identification <Id>                           | [1..1] | ±    |             | 170  |
|    | ResponsiblePartyIdentification <RspnsblPtyId> | [0..1] | ±    |             | 170  |

#### 5.4.2.1.2 PartyOrError <PtyOrErr>

*Presence:* [1..1]

*Definition:* Identifies the returned party reference data or error information.



**PartyOrError <PtyOrErr>** contains one of the following **PartyOrBusinessError4Choice** elements

| Or  | MessageElement<XML Tag>                              | Mult.  | Type          | Constr. No. | Page |
|-----|--|--------|---------------|-------------|------|
| {Or | <b>SystemParty</b> <SysPty>                          | [1..1] |               |             | 50   |
|     | <b>PartyIdentification</b> <PtyId>                   | [0..1] |               |             | 52   |
|     | <b>Identification</b> <Id>                           | [1..1] | ±             |             | 52   |
|     | <b>ResponsiblePartyIdentification</b> <RspnsblPtyId> | [0..1] | ±             |             | 52   |
|     | <b>ValidFrom</b> <VldFr>                             | [0..1] | Date          |             | 52   |
|     | <b>Address</b> <Adr>                                 | [0..1] | ±             |             | 52   |
|     | <b>ContactDetails</b> <CtctDtls>                     | [0..*] | ±             |             | 53   |
|     | <b>OpeningDate</b> <OpngDt>                          | [0..1] | Date          |             | 54   |
|     | <b>ClosingDate</b> <ClsgDt>                          | [0..1] | Date          |             | 54   |
|     | <b>Type</b> <Tp>                                     | [0..1] |               |             | 54   |
| {Or | <b>Code</b> <Cd>                                     | [1..1] | CodeSet       |             | 55   |
| Or} | <b>Proprietary</b> <Prtry>                           | [1..1] | Text          |             | 55   |
|     | <b>TechnicalAddress</b> <TechAdr>                    | [0..*] |               |             | 55   |
| {Or | <b>BICFI</b> <BICFI>                                 | [1..1] | IdentifierSet | C2          | 55   |
| Or} | <b>TechnicalAddress</b> <TechAdr>                    | [1..1] | Text          |             | 55   |
|     | <b>MarketSpecificAttribute</b> <MktSpfcAttr>         | [0..*] |               |             | 56   |
|     | <b>Name</b> <Nm>                                     | [1..1] | Text          |             | 56   |
|     | <b>Value</b> <Val>                                   | [1..1] | Text          |             | 56   |
|     | <b>Name</b> <Nm>                                     | [0..1] |               |             | 56   |
|     | <b>ValidFrom</b> <VldFr>                             | [0..1] | Date          |             | 56   |
|     | <b>Name</b> <Nm>                                     | [1..1] | Text          |             | 56   |
|     | <b>ShortName</b> <ShrtNm>                            | [0..1] | Text          |             | 56   |
|     | <b>ResidenceType</b> <ResTp>                         | [0..1] | CodeSet       |             | 57   |
|     | <b>LockStatus</b> <LckSts>                           | [0..1] |               |             | 57   |
|     | <b>ValidFrom</b> <VldFr>                             | [0..1] | Date          |             | 57   |
|     | <b>Status</b> <Sts>                                  | [1..1] | CodeSet       |             | 57   |
|     | <b>LockReason</b> <LckRsn>                           | [0..*] | Text          |             | 57   |
|     | <b>Restriction</b> <Rstrctn>                         | [0..*] |               |             | 58   |
|     | <b>ValidFrom</b> <VldFr>                             | [1..1] | DateTime      |             | 58   |
|     | <b>ValidTo</b> <VldTo>                               | [0..1] | DateTime      |             | 58   |
|     | <b>Type</b> <Tp>                                     | [1..1] | Text          |             | 58   |

| Or  | MessageElement<XML Tag>       | Mult.  | Type    | Constr. No. | Page |
|-----|-------------------------------|--------|---------|-------------|------|
| Or} | <b>BusinessError</b> <BizErr> | [1..*] |         |             | 58   |
|     | <b>Error</b> <Err>            | [1..1] |         |             | 58   |
| {Or | <b>Code</b> <Cd>              | [1..1] | CodeSet |             | 59   |
| Or} | <b>Proprietary</b> <Prtry>    | [1..1] | Text    |             | 59   |
|     | <b>Description</b> <Desc>     | [0..1] | Text    |             | 59   |

#### 5.4.2.1.2.1 SystemParty <SysPty>

*Presence:* [1..1]

*Definition:* Specifications of a party defined within a system.

**SystemParty <SysPty>** contains the following **SystemParty6** elements

| Or  | MessageElement<XML Tag>                              | Mult.  | Type          | Constr. No. | Page |
|-----|--|--------|---------------|-------------|------|
|     | <b>PartyIdentification</b> <PtyId>                   | [0..1] |               |             | 52   |
|     | <b>Identification</b> <Id>                           | [1..1] | ±             |             | 52   |
|     | <b>ResponsiblePartyIdentification</b> <RspnsblPtyId> | [0..1] | ±             |             | 52   |
|     | <b>ValidFrom</b> <VldFr>                             | [0..1] | Date          |             | 52   |
|     | <b>Address</b> <Adr>                                 | [0..1] | ±             |             | 52   |
|     | <b>ContactDetails</b> <CtctDtls>                     | [0..*] | ±             |             | 53   |
|     | <b>OpeningDate</b> <OpngDt>                          | [0..1] | Date          |             | 54   |
|     | <b>ClosingDate</b> <ClsgDt>                          | [0..1] | Date          |             | 54   |
|     | <b>Type</b> <Tp>                                     | [0..1] |               |             | 54   |
| {Or | <b>Code</b> <Cd>                                     | [1..1] | CodeSet       |             | 55   |
| Or} | <b>Proprietary</b> <Prtry>                           | [1..1] | Text          |             | 55   |
|     | <b>TechnicalAddress</b> <TechAdr>                    | [0..*] |               |             | 55   |
| {Or | <b>BICFI</b> <BICFI>                                 | [1..1] | IdentifierSet | C2          | 55   |
| Or} | <b>TechnicalAddress</b> <TechAdr>                    | [1..1] | Text          |             | 55   |
|     | <b>MarketSpecificAttribute</b> <MktSpfcAttr>         | [0..*] |               |             | 56   |
|     | <b>Name</b> <Nm>                                     | [1..1] | Text          |             | 56   |
|     | <b>Value</b> <Val>                                   | [1..1] | Text          |             | 56   |
|     | <b>Name</b> <Nm>                                     | [0..1] |               |             | 56   |
|     | <b>ValidFrom</b> <VldFr>                             | [0..1] | Date          |             | 56   |
|     | <b>Name</b> <Nm>                                     | [1..1] | Text          |             | 56   |
|     | <b>ShortName</b> <ShrtNm>                            | [0..1] | Text          |             | 56   |
|     | <b>ResidenceType</b> <ResTp>                         | [0..1] | CodeSet       |             | 57   |
|     | <b>LockStatus</b> <LckSts>                           | [0..1] |               |             | 57   |
|     | <b>ValidFrom</b> <VldFr>                             | [0..1] | Date          |             | 57   |
|     | <b>Status</b> <Sts>                                  | [1..1] | CodeSet       |             | 57   |
|     | <b>LockReason</b> <LckRsn>                           | [0..*] | Text          |             | 57   |
|     | <b>Restriction</b> <Rstrctn>                         | [0..*] |               |             | 58   |
|     | <b>ValidFrom</b> <VldFr>                             | [1..1] | DateTime      |             | 58   |
|     | <b>ValidTo</b> <VldTo>                               | [0..1] | DateTime      |             | 58   |
|     | <b>Type</b> <Tp>                                     | [1..1] | Text          |             | 58   |

#### 5.4.2.1.2.1.1 PartyIdentification <PtyId>

*Presence:* [0..1]

*Definition:* Unique identification of the party within the system.

**PartyIdentification <PtyId>** contains the following **SystemPartyIdentification9** elements

| Or | MessageElement<XML Tag>                              | Mult.  | Type | Constr. No. | Page |
|----|--|--------|------|-------------|------|
|    | <b>Identification</b> <Id>                           | [1..1] | ±    |             | 52   |
|    | <b>ResponsiblePartyIdentification</b> <RspnsblPtyId> | [0..1] | ±    |             | 52   |
|    | <b>ValidFrom</b> <VldFr>                             | [0..1] | Date |             | 52   |

##### 5.4.2.1.2.1.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identification of the party.

**Identification <Id>** contains the following elements (see "[PartyIdentification136](#)" on page 168 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type          | Constr. No. | Page |
|----|-------------------------|--------|---------------|-------------|------|
|    | Identification <Id>     | [1..1] | ±             |             | 168  |
|    | LEI <LEI>               | [0..1] | IdentifierSet |             | 168  |

##### 5.4.2.1.2.1.1.2 ResponsiblePartyIdentification <RspnsblPtyId>

*Presence:* [0..1]

*Definition:* Unique identification of the responsible party.

**ResponsiblePartyIdentification <RspnsblPtyId>** contains the following elements (see "[PartyIdentification136](#)" on page 168 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type          | Constr. No. | Page |
|----|-------------------------|--------|---------------|-------------|------|
|    | Identification <Id>     | [1..1] | ±             |             | 168  |
|    | LEI <LEI>               | [0..1] | IdentifierSet |             | 168  |

##### 5.4.2.1.2.1.1.3 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Starting date from which the identification is valid.

*Datatype:* "[ISODate](#)" on page 184

##### 5.4.2.1.2.1.2 Address <Adr>

*Presence:* [0..1]

*Definition:* Information that locates and identifies a specific address.

**Address <Adr>** contains the following elements (see "PostalAddress28" on page 175 for details)

| Or  | MessageElement<XML Tag>          | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------------|--------|---------|-------------|------|
|     | AddressType <AdrTp>              | [0..1] |         |             | 175  |
| {Or | Code <Cd>                        | [1..1] | CodeSet |             | 176  |
| Or} | Proprietary <Prtry>              | [1..1] | ±       |             | 176  |
|     | CareOf <CareOf>                  | [0..1] | Text    |             | 176  |
|     | Department <Dept>                | [0..1] | Text    |             | 176  |
|     | SubDepartment <SubDept>          | [0..1] | Text    |             | 176  |
|     | StreetName <StrtNm>              | [0..1] | Text    |             | 177  |
|     | BuildingNumber <BldgNb>          | [0..1] | Text    |             | 177  |
|     | BuildingName <BldgNm>            | [0..1] | Text    |             | 177  |
|     | Floor <Flr>                      | [0..1] | Text    |             | 177  |
|     | UnitNumber <UnitNb>              | [0..1] | Text    |             | 177  |
|     | PostBox <PstBx>                  | [0..1] | Text    |             | 177  |
|     | Room <Room>                      | [0..1] | Text    |             | 177  |
|     | PostCode <PstCd>                 | [0..1] | Text    |             | 177  |
|     | TownName <TwnNm>                 | [0..1] | Text    |             | 178  |
|     | TownLocationName <TwnLctnNm>     | [0..1] | Text    |             | 178  |
|     | DistrictName <DstrctNm>          | [0..1] | Text    |             | 178  |
|     | CountrySubDivision <CtrySubDvsn> | [0..1] | Text    |             | 178  |
|     | Country <Ctry>                   | [0..1] | CodeSet | C2          | 178  |
|     | AddressLine <AdrLine>            | [0..7] | Text    |             | 178  |
|     | ValidFrom <VldFr>                | [0..1] | Date    |             | 178  |

#### 5.4.2.1.2.1.3 ContactDetails <CtctDtls>

*Presence:* [0..\*]

*Definition:* Specifies the options on how to contact the party.

**ContactDetails <CtctDtls>** contains the following elements (see "Contact14" on page 170 for details)

| Or | MessageElement<XML Tag>     | Mult.  | Type    | Constr. No. | Page |
|----|-----------------------------|--------|---------|-------------|------|
|    | NamePrefix <NmPrfx>         | [0..1] | CodeSet |             | 171  |
|    | Name <Nm>                   | [0..1] | Text    |             | 171  |
|    | PhoneNumber <PhneNb>        | [0..1] | Text    |             | 172  |
|    | MobileNumber <MobNb>        | [0..1] | Text    |             | 172  |
|    | FaxNumber <FaxNb>           | [0..1] | Text    |             | 172  |
|    | URLAddress <URLAdr>         | [0..1] | Text    |             | 172  |
|    | EmailAddress <EmailAdr>     | [0..1] | Text    |             | 172  |
|    | EmailPurpose <EmailPurp>    | [0..1] | Text    |             | 172  |
|    | JobTitle <JobTitl>          | [0..1] | Text    |             | 172  |
|    | Responsibility <Rspnsblty>  | [0..1] | Text    |             | 172  |
|    | Department <Dept>           | [0..1] | Text    |             | 173  |
|    | Other <Othr>                | [0..*] |         |             | 173  |
|    | ChannelType <ChanlTp>       | [1..1] | Text    |             | 173  |
|    | Identification <Id>         | [0..1] | Text    |             | 173  |
|    | PreferredMethod <PrefrdMtd> | [0..1] | CodeSet |             | 173  |
|    | ValidFrom <VldFr>           | [0..1] | Date    |             | 174  |
|    | ValidTo <VldTo>             | [0..1] | Date    |             | 174  |

#### 5.4.2.1.2.1.4 OpeningDate <OpngDt>

*Presence:* [0..1]

*Definition:* Specifies the opening date of the party.

*Datatype:* "ISODate" on page 184

#### 5.4.2.1.2.1.5 ClosingDate <ClsGdt>

*Presence:* [0..1]

*Definition:* Specifies the closing date of the party.

*Datatype:* "ISODate" on page 184

#### 5.4.2.1.2.1.6 Type <Tp>

*Presence:* [0..1]

*Definition:* Specifies the type classification of the party.

**Type <Tp>** contains one of the following **SystemPartyType1Choice** elements

| Or  | MessageElement<XML Tag>    | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------|--------|---------|-------------|------|
| {Or | <b>Code</b> <Cd>           | [1..1] | CodeSet |             | 55   |
| Or} | <b>Proprietary</b> <Prtry> | [1..1] | Text    |             | 55   |

#### 5.4.2.1.2.1.6.1 Code <Cd>

*Presence:* [1..1]

*Definition:* System party type, in a coded format.

*Datatype:* "ExternalSystemPartyType1Code" on page 182

#### 5.4.2.1.2.1.6.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* System party type, in a proprietary format.

*Datatype:* "Max35Text" on page 188

#### 5.4.2.1.2.1.7 TechnicalAddress <TechAdr>

*Presence:* [0..\*]

*Definition:* Unique technical address to unambiguously identify a party for receiving messages from the executing system.

**TechnicalAddress <TechAdr>** contains one of the following **TechnicalIdentification2Choice** elements

| Or  | MessageElement<XML Tag>           | Mult.  | Type          | Constr. No. | Page |
|-----|-----------------------------------|--------|---------------|-------------|------|
| {Or | <b>BICFI</b> <BICFI>              | [1..1] | IdentifierSet | C2          | 55   |
| Or} | <b>TechnicalAddress</b> <TechAdr> | [1..1] | Text          |             | 55   |

#### 5.4.2.1.2.1.7.1 BICFI <BICFI>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined as a financial institution business identifier code.

*Impacted by:* C2 "BICFI"

*Datatype:* "BICFIDec2014Identifier" on page 185

#### Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

#### 5.4.2.1.2.1.7.2 TechnicalAddress <TechAdr>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined in a free text format.

*Datatype:* "Max256Text" on page 187

#### 5.4.2.1.2.1.8 MarketSpecificAttribute <MktSpcfcAttr>

*Presence:* [0..\*]

*Definition:* Additional attributes defined by a central security depository for a party.

**MarketSpecificAttribute <MktSpcfcAttr>** contains the following **MarketSpecificAttribute1** elements

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | <b>Name</b> <Nm>        | [1..1] | Text |             | 56   |
|    | <b>Value</b> <Val>      | [1..1] | Text |             | 56   |

##### 5.4.2.1.2.1.8.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Specifies the name of the market-specific attribute.

*Datatype:* "Max35Text" on page 188

##### 5.4.2.1.2.1.8.2 Value <Val>

*Presence:* [1..1]

*Definition:* Specifies the value of the market-specific attribute.

*Datatype:* "Max350Text" on page 187

##### 5.4.2.1.2.1.9 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

**Name <Nm>** contains the following **PartyName4** elements

| Or | MessageElement<XML Tag>   | Mult.  | Type | Constr. No. | Page |
|----|---------------------------|--------|------|-------------|------|
|    | <b>ValidFrom</b> <VldFr>  | [0..1] | Date |             | 56   |
|    | <b>Name</b> <Nm>          | [1..1] | Text |             | 56   |
|    | <b>ShortName</b> <ShrtNm> | [0..1] | Text |             | 56   |

##### 5.4.2.1.2.1.9.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the party name is valid.

*Datatype:* "ISODate" on page 184

##### 5.4.2.1.2.1.9.2 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 187

##### 5.4.2.1.2.1.9.3 ShortName <ShrtNm>

*Presence:* [0..1]



*Definition:* Specifies the short name of the organisation.

*Datatype:* "Max35Text" on page 188

#### 5.4.2.1.2.1.10 ResidenceType <ResTp>

*Presence:* [0..1]

*Definition:* Specifies the type of residence where the party has its permanent home or principal establishment.

*Datatype:* "ResidenceType1Code" on page 184

| CodeName | Name     | Definition             |
|----------|----------|------------------------|
| DMST     | Domestic | Residence is domestic. |
| FRGN     | Foreign  | Residence is foreign.  |
| MXED     | Mixed    | Residence is mixed     |

#### 5.4.2.1.2.1.11 LockStatus <LckSts>

*Presence:* [0..1]

*Definition:* Specifies whether the party is locked or not, and the reason for this status, when required.

**LockStatus <LckSts>** contains the following **PartyLockStatus1** elements

| Or | MessageElement<XML Tag>    | Mult.  | Type    | Constr. No. | Page |
|----|----------------------------|--------|---------|-------------|------|
|    | <b>ValidFrom</b> <VldFr>   | [0..1] | Date    |             | 57   |
|    | <b>Status</b> <Sts>        | [1..1] | CodeSet |             | 57   |
|    | <b>LockReason</b> <LckRsn> | [0..*] | Text    |             | 57   |

##### 5.4.2.1.2.1.11.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the lock status is valid.

*Datatype:* "ISODate" on page 184

##### 5.4.2.1.2.1.11.2 Status <Sts>

*Presence:* [1..1]

*Definition:* Lock status of the party.

*Datatype:* "LockStatus1Code" on page 182

| CodeName | Name     | Definition                            |
|----------|----------|---------------------------------------|
| LOCK     | Locked   | Party has been locked for operations. |
| ULCK     | Unlocked | Party is ready for operations.        |

##### 5.4.2.1.2.1.11.3 LockReason <LckRsn>

*Presence:* [0..\*]

*Definition:* Specifies the underlying reason for the locking of the party.

*Datatype:* "Max35Text" on page 188

#### 5.4.2.1.2.1.12 Restriction <Rstrctn>

*Presence:* [0..\*]

*Definition:* Defines the specific processing characteristics for a party to ensure configurability of specific requirements, as prescribed by national legal and regulatory requirements and practices.

**Restriction <Rstrctn>** contains the following **SystemRestriction1** elements

| Or | MessageElement<XML Tag>  | Mult.  | Type     | Constr. No. | Page |
|----|--------------------------|--------|----------|-------------|------|
|    | <b>ValidFrom</b> <VldFr> | [1..1] | DateTime |             | 58   |
|    | <b>ValidTo</b> <VldTo>   | [0..1] | DateTime |             | 58   |
|    | <b>Type</b> <Tp>         | [1..1] | Text     |             | 58   |

##### 5.4.2.1.2.1.12.1 ValidFrom <VldFr>

*Presence:* [1..1]

*Definition:* Specifies the date from which the restriction is valid.

*Datatype:* "ISODatetime" on page 185

##### 5.4.2.1.2.1.12.2 ValidTo <VldTo>

*Presence:* [0..1]

*Definition:* Specifies the date until which the restriction is valid.

*Datatype:* "ISODatetime" on page 185

##### 5.4.2.1.2.1.12.3 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the identification of a restriction.

*Datatype:* "Max35Text" on page 188

#### 5.4.2.1.2.2 BusinessError <BizErr>

*Presence:* [1..\*]

*Definition:* Business error resulting from a rejection.

**BusinessError <BizErr>** contains the following **ErrorHandling4** elements

| Or  | MessageElement<XML Tag>    | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------|--------|---------|-------------|------|
|     | <b>Error</b> <Err>         | [1..1] |         |             | 58   |
| {Or | <b>Code</b> <Cd>           | [1..1] | CodeSet |             | 59   |
| Or} | <b>Proprietary</b> <Prtry> | [1..1] | Text    |             | 59   |
|     | <b>Description</b> <Desc>  | [0..1] | Text    |             | 59   |

##### 5.4.2.1.2.2.1 Error <Err>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling2Choice** elements

| Or  | MessageElement<XML Tag>          | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------------|--------|---------|-------------|------|
| {Or | <b>Code &lt;Cd&gt;</b>           | [1..1] | CodeSet |             | 59   |
| Or} | <b>Proprietary &lt;Prtry&gt;</b> | [1..1] | Text    |             | 59   |

#### 5.4.2.1.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* "ErrorHandling1Code" on page 181

| CodeName | Name                     | Definition   |
|----------|--------------------------|--|
| X020     | DataNotYetAvailable      | Specifies the error code when the data requested are not yet available.  |
| X030     | MessageSizeLimitExceeded | Specifies the error code when the data requested generates a message size that exceed the processing capacity. |
| X050     | DataNotAvailable         | Specifies the error code when the data requested have not been found.  |

#### 5.4.2.1.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max35Text" on page 188

#### 5.4.2.1.2.2.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max140Text" on page 187

### 5.4.2.2 OperationalError <OpriErr>

*Presence:* [1..\*]

*Definition:* Operational error resulting from a rejection.

**OperationalError <OpriErr>** contains the following **ErrorHandling5** elements

| Or  | MessageElement<XML Tag>          | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------------|--------|---------|-------------|------|
|     | <b>Error &lt;Err&gt;</b>         | [1..1] |         |             | 60   |
| {Or | <b>Code &lt;Cd&gt;</b>           | [1..1] | CodeSet |             | 60   |
| Or} | <b>Proprietary &lt;Prtry&gt;</b> | [1..1] | Text    |             | 60   |
|     | <b>Description &lt;Desc&gt;</b>  | [0..1] | Text    |             | 60   |

#### 5.4.2.2.1 Error <Err>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling3Choice** elements

| Or  | MessageElement<XML Tag>    | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------|--------|---------|-------------|------|
| {Or | <b>Code</b> <Cd>           | [1..1] | CodeSet |             | 60   |
| Or} | <b>Proprietary</b> <Prtry> | [1..1] | Text    |             | 60   |

##### 5.4.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* ["ExternalSystemErrorHandling1Code" on page 182](#)

##### 5.4.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specification of the error, in free format.

*Datatype:* ["Max35Text" on page 188](#)

##### 5.4.2.2.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Specification of the error, in free format.

*Datatype:* ["Max140Text" on page 187](#)

### 5.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* [C4 "SupplementaryDataRule"](#)

**SupplementaryData <SplmtryData>** contains the following elements (see ["SupplementaryData1" on page 163](#) for details)

| Or | MessageElement<XML Tag> | Mult.  | Type              | Constr. No. | Page |
|----|-------------------------|--------|-------------------|-------------|------|
|    | PlaceAndName <PlcAndNm> | [0..1] | Text              |             | 163  |
|    | Envelope <Envlp>        | [1..1] | (External Schema) |             | 163  |

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## **6        reda.022.001.02 PartyModificationRequestV02**

### **6.1      MessageDefinition Functionality**

Scope:

The PartyModificationRequest message is sent by the instructing party to the executing party to request for an update of the party reference data of a party defined in the executing system.

Usage:

It aims at instructing the update of an existing party by amending its existing details or by providing additional details.

Processing and confirmation of the party modification request message are provided via a party status advice.

Outline

The PartyModificationRequestV02 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. SystemPartyIdentification

Unique identification, as assigned by the executing system, to unambiguously identify the party to be modified.

C. Modification

Identifies the list of requested modifications to be executed by the system.

D. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 6.2 Structure

| Or  | MessageElement/BuildingBlock<XML Tag>       | Mult.  | Type          | Constr. No. | Page |
|-----|---|--------|---------------|-------------|------|
|     | Message root <Document> <PtyModReq>         | [1..1] |               |             |      |
|     | <b>MessageHeader</b> <MsgHdr>               | [0..1] | ±             |             | 63   |
|     | <b>SystemPartyIdentification</b> <SysPtyId> | [1..1] | ±             |             | 63   |
|     | <b>Modification</b> <Mod>                   | [1..*] |               |             | 64   |
|     | <b>ScopeIndication</b> <ScplIndctn>         | [1..1] | CodeSet       |             | 66   |
|     | <b>RequestedModification</b> <ReqdMod>      | [1..1] |               |             | 66   |
| {Or | <b>SystemPartyDate</b> <SysPtyDt>           | [1..1] |               |             | 67   |
|     | <b>OpeningDate</b> <OpngDt>                 | [0..1] | Date          |             | 68   |
|     | <b>ClosingDate</b> <ClsgDt>                 | [0..1] | Date          |             | 68   |
| Or  | <b>PartyIdentification</b> <PtyId>          | [1..1] |               |             | 68   |
|     | <b>ValidFrom</b> <VldFr>                    | [0..1] | Date          |             | 68   |
|     | <b>Identification</b> <Id>                  | [0..1] | ±             |             | 68   |
| Or  | <b>PartyName</b> <PtyNm>                    | [1..1] |               |             | 69   |
|     | <b>ValidFrom</b> <VldFr>                    | [0..1] | Date          |             | 69   |
|     | <b>Name</b> <Nm>                            | [0..1] | Text          |             | 69   |
|     | <b>ShortName</b> <ShrtNm>                   | [0..1] | Text          |             | 69   |
| Or  | <b>ContactDetails</b> <CtctDtls>            | [1..1] | ±             |             | 69   |
| Or  | <b>TechnicalAddress</b> <TechAdr>           | [1..1] |               |             | 70   |
| {Or | <b>BICFI</b> <BICFI>                        | [1..1] | IdentifierSet | C2          | 70   |
| Or} | <b>TechnicalAddress</b> <TechAdr>           | [1..1] | Text          |             | 71   |
| Or  | <b>PartyAddress</b> <PtyAdr>                | [1..1] | ±             |             | 71   |
| Or  | <b>ResidenceType</b> <ResTp>                | [1..1] | CodeSet       |             | 72   |
| Or  | <b>LockStatus</b> <LckSts>                  | [1..1] |               |             | 73   |
|     | <b>ValidFrom</b> <VldFr>                    | [0..1] | Date          |             | 73   |
|     | <b>Status</b> <Sts>                         | [1..1] | CodeSet       |             | 73   |
|     | <b>LockReason</b> <LckRsn>                  | [0..*] | Text          |             | 73   |
| Or  | <b>SystemRestriction</b> <SysRstrctn>       | [1..1] |               |             | 73   |
|     | <b>ValidFrom</b> <VldFr>                    | [1..1] | DateTime      |             | 74   |
|     | <b>ValidTo</b> <VldTo>                      | [0..1] | DateTime      |             | 74   |
|     | <b>Type</b> <Tp>                            | [1..1] | Text          |             | 74   |

| Or  | MessageElement/BuildingBlock<XML Tag>         | Mult.  | Type | Constr. No. | Page |
|-----|---|--------|------|-------------|------|
| Or} | <b>MarketSpecificAttribute</b> <MktSpcfcAttr> | [1..1] |      |             | 74   |
|     | <b>Name</b> <Nm>                              | [1..1] | Text |             | 74   |
|     | <b>Value</b> <Val>                            | [1..1] | Text |             | 74   |
|     | <b>SupplementaryData</b> <SplmtryData>        | [0..*] | ±    | C4          | 74   |

## 6.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 6.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 6.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 161 for details)

| Or | MessageElement<XML Tag>       | Mult.  | Type     | Constr. No. | Page |
|----|-------------------------------|--------|----------|-------------|------|
|    | MessageIdentification <MsgId> | [1..1] | Text     |             | 161  |
|    | CreationDateTime <CreDtTm>    | [0..1] | DateTime |             | 161  |

### 6.4.2 SystemPartyIdentification <SysPtyId>

*Presence:* [1..1]

*Definition:* Unique identification, as assigned by the executing system, to unambiguously identify the party to be modified.

**SystemPartyIdentification <SysPtyId>** contains the following elements (see "SystemPartyIdentification8" on page 170 for details)

| Or | MessageElement<XML Tag>                       | Mult.  | Type | Constr. No. | Page |
|----|---|--------|------|-------------|------|
|    | Identification <Id>                           | [1..1] | ±    |             | 170  |
|    | ResponsiblePartyIdentification <RspnsblPtyId> | [0..1] | ±    |             | 170  |

### 6.4.3 Modification <Mod>

*Presence:* [1..\*]

*Definition:* Identifies the list of requested modifications to be executed by the system.



**Modification <Mod>** contains the following **SystemPartyModification3** elements

| Or  | MessageElement<XML Tag>                      | Mult.  | Type          | Constr. No. | Page |
|-----|--|--------|---------------|-------------|------|
|     | <b>ScopeIndication</b> <ScplIndctn>          | [1..1] | CodeSet       |             | 66   |
|     | <b>RequestedModification</b> <ReqdMod>       | [1..1] |               |             | 66   |
| {Or | <b>SystemPartyDate</b> <SysPtyDt>            | [1..1] |               |             | 67   |
|     | <b>OpeningDate</b> <OpngDt>                  | [0..1] | Date          |             | 68   |
|     | <b>ClosingDate</b> <ClsgDt>                  | [0..1] | Date          |             | 68   |
| Or  | <b>PartyIdentification</b> <PtyId>           | [1..1] |               |             | 68   |
|     | <b>ValidFrom</b> <VldFr>                     | [0..1] | Date          |             | 68   |
|     | <b>Identification</b> <Id>                   | [0..1] | ±             |             | 68   |
| Or  | <b>PartyName</b> <PtyNm>                     | [1..1] |               |             | 69   |
|     | <b>ValidFrom</b> <VldFr>                     | [0..1] | Date          |             | 69   |
|     | <b>Name</b> <Nm>                             | [0..1] | Text          |             | 69   |
|     | <b>ShortName</b> <ShrtNm>                    | [0..1] | Text          |             | 69   |
| Or  | <b>ContactDetails</b> <CtctDtls>             | [1..1] | ±             |             | 69   |
| Or  | <b>TechnicalAddress</b> <TechAdr>            | [1..1] |               |             | 70   |
| {Or | <b>BICFI</b> <BICFI>                         | [1..1] | IdentifierSet | C2          | 70   |
| Or} | <b>TechnicalAddress</b> <TechAdr>            | [1..1] | Text          |             | 71   |
| Or  | <b>PartyAddress</b> <PtyAdr>                 | [1..1] | ±             |             | 71   |
| Or  | <b>ResidenceType</b> <ResTp>                 | [1..1] | CodeSet       |             | 72   |
| Or  | <b>LockStatus</b> <LckSts>                   | [1..1] |               |             | 73   |
|     | <b>ValidFrom</b> <VldFr>                     | [0..1] | Date          |             | 73   |
|     | <b>Status</b> <Sts>                          | [1..1] | CodeSet       |             | 73   |
|     | <b>LockReason</b> <LckRsn>                   | [0..*] | Text          |             | 73   |
| Or  | <b>SystemRestriction</b> <SysRstrctn>        | [1..1] |               |             | 73   |
|     | <b>ValidFrom</b> <VldFr>                     | [1..1] | DateTime      |             | 74   |
|     | <b>ValidTo</b> <VldTo>                       | [0..1] | DateTime      |             | 74   |
|     | <b>Type</b> <Tp>                             | [1..1] | Text          |             | 74   |
| Or} | <b>MarketSpecificAttribute</b> <MktSpfcAttr> | [1..1] |               |             | 74   |
|     | <b>Name</b> <Nm>                             | [1..1] | Text          |             | 74   |
|     | <b>Value</b> <Val>                           | [1..1] | Text          |             | 74   |

#### 6.4.3.1 ScopeIndication <Scplndctn>

*Presence:* [1..1]

*Definition:* Specifies the type of requested modification.

*Datatype:* "DataModification1Code" on page 181

| CodeName | Name                 | Definition                                     |
|----------|----------------------|--|
| INSE     | InsertNewDataSet     | Insert a new set of data.                      |
| UPDT     | UpdateDataSetDetails | Update the details of an existing set of data. |
| DELT     | DeleteDataSet        | Delete an existing set of data.                |

#### 6.4.3.2 RequestedModification <ReqdMod>

*Presence:* [1..1]

*Definition:* Specifies the set of elements to be modified for the party reference data.

**RequestedModification <ReqdMod>** contains one of the following  
**SystemPartyModification3Choice** elements

| Or  | MessageElement<XML Tag>                      | Mult.  | Type          | Constr. No. | Page |
|-----|--|--------|---------------|-------------|------|
| {Or | <b>SystemPartyDate</b> <SysPtyDt>            | [1..1] |               |             | 67   |
|     | <b>OpeningDate</b> <OpngDt>                  | [0..1] | Date          |             | 68   |
|     | <b>ClosingDate</b> <ClsdDt>                  | [0..1] | Date          |             | 68   |
| Or  | <b>PartyIdentification</b> <PtyId>           | [1..1] |               |             | 68   |
|     | <b>ValidFrom</b> <VldFr>                     | [0..1] | Date          |             | 68   |
|     | <b>Identification</b> <Id>                   | [0..1] | ±             |             | 68   |
| Or  | <b>PartyName</b> <PtyNm>                     | [1..1] |               |             | 69   |
|     | <b>ValidFrom</b> <VldFr>                     | [0..1] | Date          |             | 69   |
|     | <b>Name</b> <Nm>                             | [0..1] | Text          |             | 69   |
|     | <b>ShortName</b> <ShrtNm>                    | [0..1] | Text          |             | 69   |
| Or  | <b>ContactDetails</b> <CtctDtls>             | [1..1] | ±             |             | 69   |
| Or  | <b>TechnicalAddress</b> <TechAdr>            | [1..1] |               |             | 70   |
| {Or | <b>BICFI</b> <BICFI>                         | [1..1] | IdentifierSet | C2          | 70   |
| Or} | <b>TechnicalAddress</b> <TechAdr>            | [1..1] | Text          |             | 71   |
| Or  | <b>PartyAddress</b> <PtyAdr>                 | [1..1] | ±             |             | 71   |
| Or  | <b>ResidenceType</b> <ResTp>                 | [1..1] | CodeSet       |             | 72   |
| Or  | <b>LockStatus</b> <LckSts>                   | [1..1] |               |             | 73   |
|     | <b>ValidFrom</b> <VldFr>                     | [0..1] | Date          |             | 73   |
|     | <b>Status</b> <Sts>                          | [1..1] | CodeSet       |             | 73   |
|     | <b>LockReason</b> <LckRsn>                   | [0..*] | Text          |             | 73   |
| Or  | <b>SystemRestriction</b> <SysRstrctn>        | [1..1] |               |             | 73   |
|     | <b>ValidFrom</b> <VldFr>                     | [1..1] | DateTime      |             | 74   |
|     | <b>ValidTo</b> <VldTo>                       | [0..1] | DateTime      |             | 74   |
|     | <b>Type</b> <Tp>                             | [1..1] | Text          |             | 74   |
| Or} | <b>MarketSpecificAttribute</b> <MktSpfcAttr> | [1..1] |               |             | 74   |
|     | <b>Name</b> <Nm>                             | [1..1] | Text          |             | 74   |
|     | <b>Value</b> <Val>                           | [1..1] | Text          |             | 74   |

#### 6.4.3.2.1 SystemPartyDate <SysPtyDt>

*Presence:* [1..1]

*Definition:* Specifies the opening and closing dates, as assigned by the system.

**SystemPartyDate <SysPtyDt>** contains the following **SystemParty2** elements

| Or | MessageElement<XML Tag>     | Mult.  | Type | Constr. No. | Page |
|----|-----------------------------|--------|------|-------------|------|
|    | <b>OpeningDate</b> <OpngDt> | [0..1] | Date |             | 68   |
|    | <b>ClosingDate</b> <ClsgDt> | [0..1] | Date |             | 68   |

#### 6.4.3.2.1.1 OpeningDate <OpngDt>

*Presence:* [0..1]

*Definition:* Specifies the opening date of the party.

*Datatype:* "ISODate" on page 184

#### 6.4.3.2.1.2 ClosingDate <ClsgDt>

*Presence:* [0..1]

*Definition:* Specifies the closing date of the party.

*Datatype:* "ISODate" on page 184

#### 6.4.3.2.2 PartyIdentification <Ptyld>

*Presence:* [1..1]

*Definition:* Unique identification to unambiguously identify the party within the system.

**PartyIdentification <Ptyld>** contains the following **SystemPartyIdentification10** elements

| Or | MessageElement<XML Tag>    | Mult.  | Type | Constr. No. | Page |
|----|----------------------------|--------|------|-------------|------|
|    | <b>ValidFrom</b> <VldFr>   | [0..1] | Date |             | 68   |
|    | <b>Identification</b> <Id> | [0..1] | ±    |             | 68   |

##### 6.4.3.2.2.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Starting date from which the identification is valid.

*Datatype:* "ISODate" on page 184

##### 6.4.3.2.2.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous way to identify a system party.

**Identification <Id>** contains the following elements (see "PartyIdentification136" on page 168 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type          | Constr. No. | Page |
|----|-------------------------|--------|---------------|-------------|------|
|    | Identification <Id>     | [1..1] | ±             |             | 168  |
|    | LEI <LEI>               | [0..1] | IdentifierSet |             | 168  |

#### 6.4.3.2.3 PartyName <PtyNm>

*Presence:* [1..1]

*Definition:* Specifies the name by which a party is known and which is usually used to identify that party.

**PartyName <PtyNm>** contains the following **PartyName3** elements

| Or | MessageElement<XML Tag>   | Mult.  | Type | Constr. No. | Page |
|----|---------------------------|--------|------|-------------|------|
|    | <b>ValidFrom</b> <VldFr>  | [0..1] | Date |             | 69   |
|    | <b>Name</b> <Nm>          | [0..1] | Text |             | 69   |
|    | <b>ShortName</b> <ShrtNm> | [0..1] | Text |             | 69   |

##### 6.4.3.2.3.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the party name is valid.

*Datatype:* "ISODate" on page 184

##### 6.4.3.2.3.2 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 187

##### 6.4.3.2.3.3 ShortName <ShrtNm>

*Presence:* [0..1]

*Definition:* Specifies the short name of the organisation.

*Datatype:* "Max35Text" on page 188

##### 6.4.3.2.4 ContactDetails <CtctDtls>

*Presence:* [1..1]

*Definition:* Specifies the options on how to contact the party.

**ContactDetails <CtctDtls>** contains the following elements (see "Contact14" on page 170 for details)

| Or | MessageElement<XML Tag>     | Mult.  | Type    | Constr. No. | Page |
|----|-----------------------------|--------|---------|-------------|------|
|    | NamePrefix <NmPrfx>         | [0..1] | CodeSet |             | 171  |
|    | Name <Nm>                   | [0..1] | Text    |             | 171  |
|    | PhoneNumber <PhneNb>        | [0..1] | Text    |             | 172  |
|    | MobileNumber <MobNb>        | [0..1] | Text    |             | 172  |
|    | FaxNumber <FaxNb>           | [0..1] | Text    |             | 172  |
|    | URLAddress <URLAdr>         | [0..1] | Text    |             | 172  |
|    | EmailAddress <EmailAdr>     | [0..1] | Text    |             | 172  |
|    | EmailPurpose <EmailPurp>    | [0..1] | Text    |             | 172  |
|    | JobTitle <JobTitl>          | [0..1] | Text    |             | 172  |
|    | Responsibility <Rspnsblty>  | [0..1] | Text    |             | 172  |
|    | Department <Dept>           | [0..1] | Text    |             | 173  |
|    | Other <Othr>                | [0..*] |         |             | 173  |
|    | ChannelType <ChanlTp>       | [1..1] | Text    |             | 173  |
|    | Identification <Id>         | [0..1] | Text    |             | 173  |
|    | PreferredMethod <PrefrdMtd> | [0..1] | CodeSet |             | 173  |
|    | ValidFrom <VldFr>           | [0..1] | Date    |             | 174  |
|    | ValidTo <VldTo>             | [0..1] | Date    |             | 174  |

#### 6.4.3.2.5 TechnicalAddress <TechAdr>

*Presence:* [1..1]

*Definition:* Unique technical address to unambiguously identify a party for receiving messages from the executing system.

**TechnicalAddress <TechAdr>** contains one of the following **TechnicalIdentification2Choice** elements

| Or  | MessageElement<XML Tag>           | Mult.  | Type          | Constr. No. | Page |
|-----|-----------------------------------|--------|---------------|-------------|------|
| {Or | <b>BICFI</b> <BICFI>              | [1..1] | IdentifierSet | C2          | 70   |
| Or} | <b>TechnicalAddress</b> <TechAdr> | [1..1] | Text          |             | 71   |

##### 6.4.3.2.5.1 BICFI <BICFI>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined as a financial institution business identifier code.

*Impacted by:* C2 "BICFI"

*Datatype:* "BICFIDec2014Identifier" on page 185

---

### Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

#### 6.4.3.2.5.2 TechnicalAddress <TechAdr>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined in a free text format.

*Datatype:* "Max256Text" on page 187

#### 6.4.3.2.6 PartyAddress <PtyAdr>

*Presence:* [1..1]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

**PartyAddress <PtyAdr>** contains the following elements (see "PostalAddress28" on page 175 for details)

| Or  | MessageElement<XML Tag>          | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------------|--------|---------|-------------|------|
|     | AddressType <AdrTp>              | [0..1] |         |             | 175  |
| {Or | Code <Cd>                        | [1..1] | CodeSet |             | 176  |
| Or} | Proprietary <Prtry>              | [1..1] | ±       |             | 176  |
|     | CareOf <CareOf>                  | [0..1] | Text    |             | 176  |
|     | Department <Dept>                | [0..1] | Text    |             | 176  |
|     | SubDepartment <SubDept>          | [0..1] | Text    |             | 176  |
|     | StreetName <StrtNm>              | [0..1] | Text    |             | 177  |
|     | BuildingNumber <BldgNb>          | [0..1] | Text    |             | 177  |
|     | BuildingName <BldgNm>            | [0..1] | Text    |             | 177  |
|     | Floor <Flr>                      | [0..1] | Text    |             | 177  |
|     | UnitNumber <UnitNb>              | [0..1] | Text    |             | 177  |
|     | PostBox <PstBx>                  | [0..1] | Text    |             | 177  |
|     | Room <Room>                      | [0..1] | Text    |             | 177  |
|     | PostCode <PstCd>                 | [0..1] | Text    |             | 177  |
|     | TownName <TwnNm>                 | [0..1] | Text    |             | 178  |
|     | TownLocationName <TwnLctnNm>     | [0..1] | Text    |             | 178  |
|     | DistrictName <DstrctNm>          | [0..1] | Text    |             | 178  |
|     | CountrySubDivision <CtrySubDvsn> | [0..1] | Text    |             | 178  |
|     | Country <Ctry>                   | [0..1] | CodeSet | C2          | 178  |
|     | AddressLine <AdrLine>            | [0..7] | Text    |             | 178  |
|     | ValidFrom <VldFr>                | [0..1] | Date    |             | 178  |

#### 6.4.3.2.7 ResidenceType <ResTp>

*Presence:* [1..1]

*Definition:* Specifies the type of residence where the party has its permanent home or principal establishment.

*Datatype:* "ResidenceType1Code" on page 184

| CodeName | Name     | Definition             |
|----------|----------|------------------------|
| DMST     | Domestic | Residence is domestic. |
| FRGN     | Foreign  | Residence is foreign.  |
| MXED     | Mixed    | Residence is mixed     |



#### 6.4.3.2.8 LockStatus <LckSts>

*Presence:* [1..1]

*Definition:* Specifies whether the party is locked or not, and the reason for this status, when required.

**LockStatus <LckSts>** contains the following **PartyLockStatus1** elements

| Or | MessageElement<XML Tag>    | Mult.  | Type    | Constr. No. | Page |
|----|----------------------------|--------|---------|-------------|------|
|    | <b>ValidFrom</b> <VldFr>   | [0..1] | Date    |             | 73   |
|    | <b>Status</b> <Sts>        | [1..1] | CodeSet |             | 73   |
|    | <b>LockReason</b> <LckRsn> | [0..*] | Text    |             | 73   |

##### 6.4.3.2.8.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the lock status is valid.

*Datatype:* "ISODate" on page 184

##### 6.4.3.2.8.2 Status <Sts>

*Presence:* [1..1]

*Definition:* Lock status of the party.

*Datatype:* "LockStatus1Code" on page 182

| CodeName | Name     | Definition                            |
|----------|----------|---------------------------------------|
| LOCK     | Locked   | Party has been locked for operations. |
| ULCK     | Unlocked | Party is ready for operations.        |

##### 6.4.3.2.8.3 LockReason <LckRsn>

*Presence:* [0..\*]

*Definition:* Specifies the underlying reason for the locking of the party.

*Datatype:* "Max35Text" on page 188

#### 6.4.3.2.9 SystemRestriction <SysRstrctn>

*Presence:* [1..1]

*Definition:* Defines the specific processing characteristics for a party to ensure configurability of specific requirements, as prescribed by national legal and regulatory requirements and practices.

**SystemRestriction <SysRstrctn>** contains the following **SystemRestriction1** elements

| Or | MessageElement<XML Tag>  | Mult.  | Type     | Constr. No. | Page |
|----|--------------------------|--------|----------|-------------|------|
|    | <b>ValidFrom</b> <VldFr> | [1..1] | DateTime |             | 74   |
|    | <b>ValidTo</b> <VldTo>   | [0..1] | DateTime |             | 74   |
|    | <b>Type</b> <Tp>         | [1..1] | Text     |             | 74   |

6.4.3.2.9.1 ValidFrom <VldFr>

*Presence:* [1..1]  
*Definition:* Specifies the date from which the restriction is valid.  
*Datatype:* "ISODatetime" on page 185

6.4.3.2.9.2 ValidTo <VldTo>

*Presence:* [0..1]  
*Definition:* Specifies the date until which the restriction is valid.  
*Datatype:* "ISODatetime" on page 185

6.4.3.2.9.3 Type <Tp>

*Presence:* [1..1]  
*Definition:* Specifies the identification of a restriction.  
*Datatype:* "Max35Text" on page 188

6.4.3.2.10 MarketSpecificAttribute <MktSpcfcAttr>

*Presence:* [1..1]  
*Definition:* Additional attributes defined by a central security depository for a party.

**MarketSpecificAttribute <MktSpcfcAttr>** contains the following **MarketSpecificAttribute1** elements

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | <b>Name</b> <Nm>        | [1..1] | Text |             | 74   |
|    | <b>Value</b> <Val>      | [1..1] | Text |             | 74   |

6.4.3.2.10.1 Name <Nm>

*Presence:* [1..1]  
*Definition:* Specifies the name of the market-specific attribute.  
*Datatype:* "Max35Text" on page 188

6.4.3.2.10.2 Value <Val>

*Presence:* [1..1]  
*Definition:* Specifies the value of the market-specific attribute.  
*Datatype:* "Max350Text" on page 187

6.4.4 SupplementaryData <SplmtryData>

*Presence:* [0..\*]  
*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.  
*Impacted by:* C4 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 163 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type              | Constr. No. | Page |
|----|-------------------------|--------|-------------------|-------------|------|
|    | PlaceAndName <PlcAndNm> | [0..1] | Text              |             | 163  |
|    | Envelope <Envlp>        | [1..1] | (External Schema) |             | 163  |

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

7

reda.031.001.01  
PartyDeletionRequestV01

7.1

MessageDefinition Functionality

Scope:

The PartyDeletionRequest message is sent by the instructing party to the executing party to request a deletion of a party defined in the executing system.

Usage:

It aims at instructing the deletion of an existing party by providing its identification.

Processing of the party deletion request message is provided via a party status advice.

Outline

The PartyDeletionRequestV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. SystemPartyIdentification

Unique identification, as assigned by the executing system, to unambiguously identify the party to be deleted.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

7.2

Structure

| Or | MessageElement/BuildingBlock<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|---------------------------------------|--------|------|-------------|------|
|    | Message root <Document> <PtyDeltnReq> | [1..1] |      |             |      |
|    | MessageHeader <MsgHdr>                | [0..1] | ±    |             | 77   |
|    | SystemPartyIdentification <SysPtyId>  | [1..1] | ±    |             | 77   |
|    | SupplementaryData <SplmtryData>       | [0..*] | ±    | C3          | 77   |

## 7.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C3 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 7.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 7.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 161 for details)

| Or | MessageElement<XML Tag>       | Mult.  | Type     | Constr. No. | Page |
|----|-------------------------------|--------|----------|-------------|------|
|    | MessageIdentification <MsgId> | [1..1] | Text     |             | 161  |
|    | CreationDateTime <CreDtTm>    | [0..1] | DateTime |             | 161  |

### 7.4.2 SystemPartyIdentification <SysPtyId>

*Presence:* [1..1]

*Definition:* Unique identification, as assigned by the executing system, to unambiguously identify the party to be deleted.

**SystemPartyIdentification <SysPtyId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 170 for details)

| Or | MessageElement<XML Tag>                       | Mult.  | Type | Constr. No. | Page |
|----|---|--------|------|-------------|------|
|    | Identification <Id>                           | [1..1] | ±    |             | 170  |
|    | ResponsiblePartyIdentification <RspnsblPtyId> | [0..1] | ±    |             | 170  |

### 7.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C3 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 163 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type              | Constr. No. | Page |
|----|-------------------------|--------|-------------------|-------------|------|
|    | PlaceAndName <PlcAndNm> | [0..1] | Text              |             | 163  |
|    | Envelope <Envlp>        | [1..1] | (External Schema) |             | 163  |

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## **8        reda.041.001.02 PartyActivityAdviceV02**

### **8.1      MessageDefinition Functionality**

The PartyActivityReport message is sent by the executing party to an instructing party containing information about changes on party reference data.

Scope and usage:

It aims at informing about the changes occurred during a business date for party reference data.

Outline

The PartyActivityAdviceV02 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. PartyActivity

Activity report of changes occurred for a party defined in the system.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 8.2 Structure

| Or  | MessageElement/BuildingBlock<XML Tag>   | Mult.  | Type          | Constr. No. | Page |
|-----|---|--------|---------------|-------------|------|
|     | Message root <Document> <PtyActvtyAdvc> | [1..1] |               |             |      |
|     | <b>MessageHeader</b> <MsgHdr>           | [0..1] | ±             |             | 83   |
|     | <b>PartyActivity</b> <PtyActvty>        | [1..1] |               |             | 83   |
|     | <b>SystemDate</b> <SysDt>               | [1..1] | Date          |             | 86   |
|     | <b>Change</b> <Chng>                    | [0..*] |               |             | 86   |
|     | <b>PartyIdentification</b> <PtyId>      | [1..1] | ±             |             | 89   |
|     | <b>Record</b> <Rcrd>                    | [1..*] |               |             | 89   |
| {Or | <b>Address</b> <Adr>                    | [1..1] |               |             | 92   |
|     | <b>Old</b> <Od>                         | [1..1] | ±             |             | 92   |
|     | <b>New</b> <New>                        | [1..1] | ±             |             | 93   |
| Or  | <b>ContactDetails</b> <CtctDtls>        | [1..1] |               |             | 94   |
|     | <b>Old</b> <Od>                         | [1..1] | ±             |             | 94   |
|     | <b>New</b> <New>                        | [1..1] | ±             |             | 95   |
| Or  | <b>OpeningDate</b> <OpngDt>             | [1..1] |               |             | 96   |
|     | <b>Old</b> <Od>                         | [1..1] | Date          |             | 96   |
|     | <b>New</b> <New>                        | [1..1] | Date          |             | 96   |
| Or  | <b>ClosingDate</b> <ClsngDt>            | [1..1] |               |             | 97   |
|     | <b>Old</b> <Od>                         | [1..1] | Date          |             | 97   |
|     | <b>New</b> <New>                        | [1..1] | Date          |             | 97   |
| Or  | <b>Type</b> <Tp>                        | [1..1] |               |             | 97   |
|     | <b>Old</b> <Od>                         | [1..1] |               |             | 97   |
| {Or | <b>Code</b> <Cd>                        | [1..1] | CodeSet       |             | 98   |
| Or} | <b>Proprietary</b> <Prtry>              | [1..1] | Text          |             | 98   |
|     | <b>New</b> <New>                        | [1..1] |               |             | 98   |
| {Or | <b>Code</b> <Cd>                        | [1..1] | CodeSet       |             | 98   |
| Or} | <b>Proprietary</b> <Prtry>              | [1..1] | Text          |             | 98   |
| Or  | <b>TechnicalAddress</b> <TechAdr>       | [1..1] |               |             | 98   |
|     | <b>Old</b> <Od>                         | [1..1] |               |             | 99   |
| {Or | <b>BICFI</b> <BICFI>                    | [1..1] | IdentifierSet | C2          | 99   |
| Or} | <b>TechnicalAddress</b> <TechAdr>       | [1..1] | Text          |             | 99   |



| Or  | MessageElement/BuildingBlock<XML Tag>        | Mult.  | Type          | Constr. No. | Page |
|-----|--|--------|---------------|-------------|------|
|     | <b>New</b> <New>                             | [1..1] |               |             | 99   |
| {Or | <b>BICFI</b> <BICFI>                         | [1..1] | IdentifierSet | C2          | 100  |
| Or} | <b>TechnicalAddress</b> <TechAdr>            | [1..1] | Text          |             | 100  |
| Or  | <b>MarketSpecificAttribute</b> <MktSpfcAttr> | [1..1] |               |             | 100  |
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 100  |
|     | <b>Name</b> <Nm>                             | [1..1] | Text          |             | 101  |
|     | <b>Value</b> <Val>                           | [1..1] | Text          |             | 101  |
|     | <b>New</b> <New>                             | [1..1] |               |             | 101  |
|     | <b>Name</b> <Nm>                             | [1..1] | Text          |             | 101  |
|     | <b>Value</b> <Val>                           | [1..1] | Text          |             | 101  |
| Or  | <b>Name</b> <Nm>                             | [1..1] |               |             | 101  |
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 102  |
|     | <b>ValidFrom</b> <VldFr>                     | [0..1] | Date          |             | 102  |
|     | <b>Name</b> <Nm>                             | [1..1] | Text          |             | 102  |
|     | <b>ShortName</b> <ShrtNm>                    | [0..1] | Text          |             | 102  |
|     | <b>New</b> <New>                             | [1..1] |               |             | 102  |
|     | <b>ValidFrom</b> <VldFr>                     | [0..1] | Date          |             | 103  |
|     | <b>Name</b> <Nm>                             | [1..1] | Text          |             | 103  |
|     | <b>ShortName</b> <ShrtNm>                    | [0..1] | Text          |             | 103  |
| Or  | <b>ResidenceType</b> <ResTp>                 | [1..1] |               |             | 103  |
|     | <b>Old</b> <Od>                              | [1..1] | CodeSet       |             | 103  |
|     | <b>New</b> <New>                             | [1..1] | CodeSet       |             | 104  |
| Or  | <b>LockStatus</b> <LckSts>                   | [1..1] |               |             | 104  |
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 104  |
|     | <b>ValidFrom</b> <VldFr>                     | [0..1] | Date          |             | 105  |
|     | <b>Status</b> <Sts>                          | [1..1] | CodeSet       |             | 105  |
|     | <b>LockReason</b> <LckRsn>                   | [0..*] | Text          |             | 105  |
|     | <b>New</b> <New>                             | [1..1] |               |             | 105  |
|     | <b>ValidFrom</b> <VldFr>                     | [0..1] | Date          |             | 105  |
|     | <b>Status</b> <Sts>                          | [1..1] | CodeSet       |             | 105  |
|     | <b>LockReason</b> <LckRsn>                   | [0..*] | Text          |             | 106  |

| Or  | MessageElement/BuildingBlock<XML Tag>  | Mult.  | Type     | Constr. No. | Page |
|-----|--|--------|----------|-------------|------|
| Or  | <b>Restriction</b> <Rstrctn>           | [1..1] |          |             | 106  |
|     | <b>Old</b> <Od>                        | [1..1] |          |             | 106  |
|     | <b>RestrictionType</b> <RstrctnTp>     | [1..1] |          |             | 107  |
| {Or | <b>Code</b> <Cd>                       | [1..1] | Text     |             | 107  |
| Or} | <b>Proprietary</b> <Prtry>             | [1..1] | ±        |             | 107  |
|     | <b>ValidFrom</b> <VldFr>               | [1..1] | DateTime |             | 107  |
|     | <b>ValidUntil</b> <VldUntil>           | [0..1] | DateTime |             | 107  |
|     | <b>New</b> <New>                       | [1..1] |          |             | 107  |
|     | <b>RestrictionType</b> <RstrctnTp>     | [1..1] |          |             | 108  |
| {Or | <b>Code</b> <Cd>                       | [1..1] | Text     |             | 108  |
| Or} | <b>Proprietary</b> <Prtry>             | [1..1] | ±        |             | 108  |
|     | <b>ValidFrom</b> <VldFr>               | [1..1] | DateTime |             | 108  |
|     | <b>ValidUntil</b> <VldUntil>           | [0..1] | DateTime |             | 109  |
| Or} | <b>Other</b> <Othr>                    | [1..*] |          |             | 109  |
|     | <b>FieldName</b> <FldNm>               | [1..1] | Text     |             | 109  |
|     | <b>OldFieldValue</b> <OdFldVal>        | [1..1] | Text     |             | 109  |
|     | <b>NewFieldValue</b> <NewFldVal>       | [1..1] | Text     |             | 109  |
|     | <b>OperationTimeStamp</b> <OprTmStmp>  | [1..1] | DateTime |             | 109  |
|     | <b>SupplementaryData</b> <SplmtryData> | [0..*] | ±        | C4          | 109  |

## 8.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4    **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

8.4    **Message Building Blocks**

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

8.4.1    **MessageHeader <MsgHdr>**

*Presence:* [0..1]  
*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 161 for details)

| Or | MessageElement<XML Tag>       | Mult.  | Type     | Constr. No. | Page |
|----|-------------------------------|--------|----------|-------------|------|
|    | MessageIdentification <MsgId> | [1..1] | Text     |             | 161  |
|    | CreationDateTime <CreDtTm>    | [0..1] | DateTime |             | 161  |

8.4.2    **PartyActivity <PtyActvty>**

*Presence:* [1..1]  
*Definition:* Activity report of changes occurred for a party defined in the system.

**PartyActivity <PtyActvty>** contains the following **PartyStatement3** elements

| Or  | MessageElement<XML Tag>                      | Mult.  | Type          | Constr. No. | Page |
|-----|--|--------|---------------|-------------|------|
|     | <b>SystemDate</b> <SysDt>                    | [1..1] | Date          |             | 86   |
|     | <b>Change</b> <Chng>                         | [0..*] |               |             | 86   |
|     | <b>PartyIdentification</b> <PtyId>           | [1..1] | ±             |             | 89   |
|     | <b>Record</b> <Rcrd>                         | [1..*] |               |             | 89   |
| {Or | <b>Address</b> <Adr>                         | [1..1] |               |             | 92   |
|     | <b>Old</b> <Od>                              | [1..1] | ±             |             | 92   |
|     | <b>New</b> <New>                             | [1..1] | ±             |             | 93   |
| Or  | <b>ContactDetails</b> <CtctDtls>             | [1..1] |               |             | 94   |
|     | <b>Old</b> <Od>                              | [1..1] | ±             |             | 94   |
|     | <b>New</b> <New>                             | [1..1] | ±             |             | 95   |
| Or  | <b>OpeningDate</b> <OpngDt>                  | [1..1] |               |             | 96   |
|     | <b>Old</b> <Od>                              | [1..1] | Date          |             | 96   |
|     | <b>New</b> <New>                             | [1..1] | Date          |             | 96   |
| Or  | <b>ClosingDate</b> <ClsGdt>                  | [1..1] |               |             | 97   |
|     | <b>Old</b> <Od>                              | [1..1] | Date          |             | 97   |
|     | <b>New</b> <New>                             | [1..1] | Date          |             | 97   |
| Or  | <b>Type</b> <Tp>                             | [1..1] |               |             | 97   |
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 97   |
| {Or | <b>Code</b> <Cd>                             | [1..1] | CodeSet       |             | 98   |
| Or} | <b>Proprietary</b> <Prtry>                   | [1..1] | Text          |             | 98   |
|     | <b>New</b> <New>                             | [1..1] |               |             | 98   |
| {Or | <b>Code</b> <Cd>                             | [1..1] | CodeSet       |             | 98   |
| Or} | <b>Proprietary</b> <Prtry>                   | [1..1] | Text          |             | 98   |
| Or  | <b>TechnicalAddress</b> <TechAdr>            | [1..1] |               |             | 98   |
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 99   |
| {Or | <b>BICFI</b> <BICFI>                         | [1..1] | IdentifierSet | C2          | 99   |
| Or} | <b>TechnicalAddress</b> <TechAdr>            | [1..1] | Text          |             | 99   |
|     | <b>New</b> <New>                             | [1..1] |               |             | 99   |
| {Or | <b>BICFI</b> <BICFI>                         | [1..1] | IdentifierSet | C2          | 100  |
| Or} | <b>TechnicalAddress</b> <TechAdr>            | [1..1] | Text          |             | 100  |
| Or  | <b>MarketSpecificAttribute</b> <MktSpfcAttr> | [1..1] |               |             | 100  |

| Or  | MessageElement<XML Tag>            | Mult.  | Type    | Constr. No. | Page |
|-----|------------------------------------|--------|---------|-------------|------|
|     | <b>Old</b> <Od>                    | [1..1] |         |             | 100  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text    |             | 101  |
|     | <b>Value</b> <Val>                 | [1..1] | Text    |             | 101  |
|     | <b>New</b> <New>                   | [1..1] |         |             | 101  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text    |             | 101  |
|     | <b>Value</b> <Val>                 | [1..1] | Text    |             | 101  |
| Or  | <b>Name</b> <Nm>                   | [1..1] |         |             | 101  |
|     | <b>Old</b> <Od>                    | [1..1] |         |             | 102  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date    |             | 102  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text    |             | 102  |
|     | <b>ShortName</b> <ShrtNm>          | [0..1] | Text    |             | 102  |
|     | <b>New</b> <New>                   | [1..1] |         |             | 102  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date    |             | 103  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text    |             | 103  |
|     | <b>ShortName</b> <ShrtNm>          | [0..1] | Text    |             | 103  |
| Or  | <b>ResidenceType</b> <ResTp>       | [1..1] |         |             | 103  |
|     | <b>Old</b> <Od>                    | [1..1] | CodeSet |             | 103  |
|     | <b>New</b> <New>                   | [1..1] | CodeSet |             | 104  |
| Or  | <b>LockStatus</b> <LckSts>         | [1..1] |         |             | 104  |
|     | <b>Old</b> <Od>                    | [1..1] |         |             | 104  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date    |             | 105  |
|     | <b>Status</b> <Sts>                | [1..1] | CodeSet |             | 105  |
|     | <b>LockReason</b> <LckRsn>         | [0..*] | Text    |             | 105  |
|     | <b>New</b> <New>                   | [1..1] |         |             | 105  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date    |             | 105  |
|     | <b>Status</b> <Sts>                | [1..1] | CodeSet |             | 105  |
|     | <b>LockReason</b> <LckRsn>         | [0..*] | Text    |             | 106  |
| Or  | <b>Restriction</b> <Rstrctn>       | [1..1] |         |             | 106  |
|     | <b>Old</b> <Od>                    | [1..1] |         |             | 106  |
|     | <b>RestrictionType</b> <RstrctnTp> | [1..1] |         |             | 107  |
| {Or | <b>Code</b> <Cd>                   | [1..1] | Text    |             | 107  |

| Or  | MessageElement<XML Tag>               | Mult.  | Type     | Constr. No. | Page |
|-----|---------------------------------------|--------|----------|-------------|------|
| Or} | <b>Proprietary</b> <Prtry>            | [1..1] | ±        |             | 107  |
|     | <b>ValidFrom</b> <VldFr>              | [1..1] | DateTime |             | 107  |
|     | <b>ValidUntil</b> <VldUntil>          | [0..1] | DateTime |             | 107  |
|     | <b>New</b> <New>                      | [1..1] |          |             | 107  |
|     | <b>RestrictionType</b> <RstrctnTp>    | [1..1] |          |             | 108  |
| {Or | <b>Code</b> <Cd>                      | [1..1] | Text     |             | 108  |
| Or} | <b>Proprietary</b> <Prtry>            | [1..1] | ±        |             | 108  |
|     | <b>ValidFrom</b> <VldFr>              | [1..1] | DateTime |             | 108  |
|     | <b>ValidUntil</b> <VldUntil>          | [0..1] | DateTime |             | 109  |
| Or} | <b>Other</b> <Othr>                   | [1..*] |          |             | 109  |
|     | <b>FieldName</b> <FldNm>              | [1..1] | Text     |             | 109  |
|     | <b>OldFieldValue</b> <OdFldVal>       | [1..1] | Text     |             | 109  |
|     | <b>NewFieldValue</b> <NewFldVal>      | [1..1] | Text     |             | 109  |
|     | <b>OperationTimeStamp</b> <OprTmStmp> | [1..1] | DateTime |             | 109  |

#### 8.4.2.1 SystemDate <SysDt>

*Presence:* [1..1]

*Definition:* Date for which the statement is valid.

*Datatype:* "ISODate" on page 184

#### 8.4.2.2 Change <Chng>

*Presence:* [0..\*]

*Definition:* Provides information on the actual change occurred to a party.

**Change <Chng>** contains the following **PartyReferenceDataChange3** elements

| Or  | MessageElement<XML Tag>                      | Mult.  | Type          | Constr. No. | Page |
|-----|--|--------|---------------|-------------|------|
|     | <b>PartyIdentification</b> <PtyId>           | [1..1] | ±             |             | 89   |
|     | <b>Record</b> <Rcrd>                         | [1..*] |               |             | 89   |
| {Or | <b>Address</b> <Adr>                         | [1..1] |               |             | 92   |
|     | <b>Old</b> <Od>                              | [1..1] | ±             |             | 92   |
|     | <b>New</b> <New>                             | [1..1] | ±             |             | 93   |
| Or  | <b>ContactDetails</b> <CtctDtls>             | [1..1] |               |             | 94   |
|     | <b>Old</b> <Od>                              | [1..1] | ±             |             | 94   |
|     | <b>New</b> <New>                             | [1..1] | ±             |             | 95   |
| Or  | <b>OpeningDate</b> <OpngDt>                  | [1..1] |               |             | 96   |
|     | <b>Old</b> <Od>                              | [1..1] | Date          |             | 96   |
|     | <b>New</b> <New>                             | [1..1] | Date          |             | 96   |
| Or  | <b>ClosingDate</b> <ClsgDt>                  | [1..1] |               |             | 97   |
|     | <b>Old</b> <Od>                              | [1..1] | Date          |             | 97   |
|     | <b>New</b> <New>                             | [1..1] | Date          |             | 97   |
| Or  | <b>Type</b> <Tp>                             | [1..1] |               |             | 97   |
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 97   |
| {Or | <b>Code</b> <Cd>                             | [1..1] | CodeSet       |             | 98   |
| Or} | <b>Proprietary</b> <Prtry>                   | [1..1] | Text          |             | 98   |
|     | <b>New</b> <New>                             | [1..1] |               |             | 98   |
| {Or | <b>Code</b> <Cd>                             | [1..1] | CodeSet       |             | 98   |
| Or} | <b>Proprietary</b> <Prtry>                   | [1..1] | Text          |             | 98   |
| Or  | <b>TechnicalAddress</b> <TechAdr>            | [1..1] |               |             | 98   |
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 99   |
| {Or | <b>BICFI</b> <BICFI>                         | [1..1] | IdentifierSet | C2          | 99   |
| Or} | <b>TechnicalAddress</b> <TechAdr>            | [1..1] | Text          |             | 99   |
|     | <b>New</b> <New>                             | [1..1] |               |             | 99   |
| {Or | <b>BICFI</b> <BICFI>                         | [1..1] | IdentifierSet | C2          | 100  |
| Or} | <b>TechnicalAddress</b> <TechAdr>            | [1..1] | Text          |             | 100  |
| Or  | <b>MarketSpecificAttribute</b> <MktSpfcAttr> | [1..1] |               |             | 100  |
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 100  |
|     | <b>Name</b> <Nm>                             | [1..1] | Text          |             | 101  |

| Or  | MessageElement<XML Tag>            | Mult.  | Type     | Constr. No. | Page |
|-----|------------------------------------|--------|----------|-------------|------|
|     | <b>Value</b> <Val>                 | [1..1] | Text     |             | 101  |
|     | <b>New</b> <New>                   | [1..1] |          |             | 101  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text     |             | 101  |
|     | <b>Value</b> <Val>                 | [1..1] | Text     |             | 101  |
| Or  | <b>Name</b> <Nm>                   | [1..1] |          |             | 101  |
|     | <b>Old</b> <Od>                    | [1..1] |          |             | 102  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date     |             | 102  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text     |             | 102  |
|     | <b>ShortName</b> <ShrtNm>          | [0..1] | Text     |             | 102  |
|     | <b>New</b> <New>                   | [1..1] |          |             | 102  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date     |             | 103  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text     |             | 103  |
|     | <b>ShortName</b> <ShrtNm>          | [0..1] | Text     |             | 103  |
| Or  | <b>ResidenceType</b> <ResTp>       | [1..1] |          |             | 103  |
|     | <b>Old</b> <Od>                    | [1..1] | CodeSet  |             | 103  |
|     | <b>New</b> <New>                   | [1..1] | CodeSet  |             | 104  |
| Or  | <b>LockStatus</b> <LckSts>         | [1..1] |          |             | 104  |
|     | <b>Old</b> <Od>                    | [1..1] |          |             | 104  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date     |             | 105  |
|     | <b>Status</b> <Sts>                | [1..1] | CodeSet  |             | 105  |
|     | <b>LockReason</b> <LckRsn>         | [0..*] | Text     |             | 105  |
|     | <b>New</b> <New>                   | [1..1] |          |             | 105  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date     |             | 105  |
|     | <b>Status</b> <Sts>                | [1..1] | CodeSet  |             | 105  |
|     | <b>LockReason</b> <LckRsn>         | [0..*] | Text     |             | 106  |
| Or  | <b>Restriction</b> <Rstrctn>       | [1..1] |          |             | 106  |
|     | <b>Old</b> <Od>                    | [1..1] |          |             | 106  |
|     | <b>RestrictionType</b> <RstrctnTp> | [1..1] |          |             | 107  |
| {Or | <b>Code</b> <Cd>                   | [1..1] | Text     |             | 107  |
| Or} | <b>Proprietary</b> <Prtry>         | [1..1] | ±        |             | 107  |
|     | <b>ValidFrom</b> <VldFr>           | [1..1] | DateTime |             | 107  |



| Or  | MessageElement<XML Tag>               | Mult.  | Type     | Constr. No. | Page |
|-----|---------------------------------------|--------|----------|-------------|------|
|     | <b>ValidUntil</b> <VldUntil>          | [0..1] | DateTime |             | 107  |
|     | <b>New</b> <New>                      | [1..1] |          |             | 107  |
|     | <b>RestrictionType</b> <RstrctnTp>    | [1..1] |          |             | 108  |
| {Or | <b>Code</b> <Cd>                      | [1..1] | Text     |             | 108  |
| Or} | <b>Proprietary</b> <Prtry>            | [1..1] | ±        |             | 108  |
|     | <b>ValidFrom</b> <VldFr>              | [1..1] | DateTime |             | 108  |
|     | <b>ValidUntil</b> <VldUntil>          | [0..1] | DateTime |             | 109  |
| Or} | <b>Other</b> <Othr>                   | [1..*] |          |             | 109  |
|     | <b>FieldName</b> <FldNm>              | [1..1] | Text     |             | 109  |
|     | <b>OldFieldValue</b> <OdFldVal>       | [1..1] | Text     |             | 109  |
|     | <b>NewFieldValue</b> <NewFldVal>      | [1..1] | Text     |             | 109  |
|     | <b>OperationTimeStamp</b> <OprTmStmp> | [1..1] | DateTime |             | 109  |

#### 8.4.2.2.1 PartyIdentification <PtyId>

*Presence:* [1..1]

*Definition:* Identifies the party for which the changes are listed in the advice.

**PartyIdentification <PtyId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 170 for details)

| Or | MessageElement<XML Tag>                       | Mult.  | Type | Constr. No. | Page |
|----|---|--------|------|-------------|------|
|    | Identification <Id>                           | [1..1] | ±    |             | 170  |
|    | ResponsiblePartyIdentification <RspnsblPtyId> | [0..1] | ±    |             | 170  |

#### 8.4.2.2.2 Record <Rcrd>

*Presence:* [1..\*]

*Definition:* Provides the party data record for which details of the change are provided.

**Record <Rcrd>** contains one of the following **UpdateLogPartyRecord2Choice** elements

| Or  | MessageElement<XML Tag>                      | Mult.  | Type          | Constr. No. | Page |
|-----|--|--------|---------------|-------------|------|
| {Or | <b>Address</b> <Adr>                         | [1..1] |               |             | 92   |
|     | <b>Old</b> <Od>                              | [1..1] | ±             |             | 92   |
|     | <b>New</b> <New>                             | [1..1] | ±             |             | 93   |
| Or  | <b>ContactDetails</b> <CtctDtls>             | [1..1] |               |             | 94   |
|     | <b>Old</b> <Od>                              | [1..1] | ±             |             | 94   |
|     | <b>New</b> <New>                             | [1..1] | ±             |             | 95   |
| Or  | <b>OpeningDate</b> <OpngDt>                  | [1..1] |               |             | 96   |
|     | <b>Old</b> <Od>                              | [1..1] | Date          |             | 96   |
|     | <b>New</b> <New>                             | [1..1] | Date          |             | 96   |
| Or  | <b>ClosingDate</b> <ClsdDt>                  | [1..1] |               |             | 97   |
|     | <b>Old</b> <Od>                              | [1..1] | Date          |             | 97   |
|     | <b>New</b> <New>                             | [1..1] | Date          |             | 97   |
| Or  | <b>Type</b> <Tp>                             | [1..1] |               |             | 97   |
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 97   |
| {Or | <b>Code</b> <Cd>                             | [1..1] | CodeSet       |             | 98   |
| Or} | <b>Proprietary</b> <Prtry>                   | [1..1] | Text          |             | 98   |
|     | <b>New</b> <New>                             | [1..1] |               |             | 98   |
| {Or | <b>Code</b> <Cd>                             | [1..1] | CodeSet       |             | 98   |
| Or} | <b>Proprietary</b> <Prtry>                   | [1..1] | Text          |             | 98   |
| Or  | <b>TechnicalAddress</b> <TechAdr>            | [1..1] |               |             | 98   |
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 99   |
| {Or | <b>BICFI</b> <BICFI>                         | [1..1] | IdentifierSet | C2          | 99   |
| Or} | <b>TechnicalAddress</b> <TechAdr>            | [1..1] | Text          |             | 99   |
|     | <b>New</b> <New>                             | [1..1] |               |             | 99   |
| {Or | <b>BICFI</b> <BICFI>                         | [1..1] | IdentifierSet | C2          | 100  |
| Or} | <b>TechnicalAddress</b> <TechAdr>            | [1..1] | Text          |             | 100  |
| Or  | <b>MarketSpecificAttribute</b> <MktSpfcAttr> | [1..1] |               |             | 100  |
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 100  |
|     | <b>Name</b> <Nm>                             | [1..1] | Text          |             | 101  |
|     | <b>Value</b> <Val>                           | [1..1] | Text          |             | 101  |
|     | <b>New</b> <New>                             | [1..1] |               |             | 101  |

| Or  | MessageElement<XML Tag>            | Mult.  | Type     | Constr. No. | Page |
|-----|------------------------------------|--------|----------|-------------|------|
|     | <b>Name</b> <Nm>                   | [1..1] | Text     |             | 101  |
|     | <b>Value</b> <Val>                 | [1..1] | Text     |             | 101  |
| Or  | <b>Name</b> <Nm>                   | [1..1] |          |             | 101  |
|     | <b>Old</b> <Od>                    | [1..1] |          |             | 102  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date     |             | 102  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text     |             | 102  |
|     | <b>ShortName</b> <ShrtNm>          | [0..1] | Text     |             | 102  |
|     | <b>New</b> <New>                   | [1..1] |          |             | 102  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date     |             | 103  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text     |             | 103  |
|     | <b>ShortName</b> <ShrtNm>          | [0..1] | Text     |             | 103  |
| Or  | <b>ResidenceType</b> <ResTp>       | [1..1] |          |             | 103  |
|     | <b>Old</b> <Od>                    | [1..1] | CodeSet  |             | 103  |
|     | <b>New</b> <New>                   | [1..1] | CodeSet  |             | 104  |
| Or  | <b>LockStatus</b> <LckSts>         | [1..1] |          |             | 104  |
|     | <b>Old</b> <Od>                    | [1..1] |          |             | 104  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date     |             | 105  |
|     | <b>Status</b> <Sts>                | [1..1] | CodeSet  |             | 105  |
|     | <b>LockReason</b> <LckRsn>         | [0..*] | Text     |             | 105  |
|     | <b>New</b> <New>                   | [1..1] |          |             | 105  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date     |             | 105  |
|     | <b>Status</b> <Sts>                | [1..1] | CodeSet  |             | 105  |
|     | <b>LockReason</b> <LckRsn>         | [0..*] | Text     |             | 106  |
| Or  | <b>Restriction</b> <Rstrctn>       | [1..1] |          |             | 106  |
|     | <b>Old</b> <Od>                    | [1..1] |          |             | 106  |
|     | <b>RestrictionType</b> <RstrctnTp> | [1..1] |          |             | 107  |
| {Or | <b>Code</b> <Cd>                   | [1..1] | Text     |             | 107  |
| Or} | <b>Proprietary</b> <Prtry>         | [1..1] | ±        |             | 107  |
|     | <b>ValidFrom</b> <VldFr>           | [1..1] | DateTime |             | 107  |
|     | <b>ValidUntil</b> <VldUntil>       | [0..1] | DateTime |             | 107  |
|     | <b>New</b> <New>                   | [1..1] |          |             | 107  |

| Or  | MessageElement<XML Tag>            | Mult.  | Type     | Constr. No. | Page |
|-----|------------------------------------|--------|----------|-------------|------|
|     | <b>RestrictionType</b> <RstrctnTp> | [1..1] |          |             | 108  |
| {Or | <b>Code</b> <Cd>                   | [1..1] | Text     |             | 108  |
| Or} | <b>Proprietary</b> <Prtry>         | [1..1] | ±        |             | 108  |
|     | <b>ValidFrom</b> <VldFr>           | [1..1] | DateTime |             | 108  |
|     | <b>ValidUntil</b> <VldUntil>       | [0..1] | DateTime |             | 109  |
| Or} | <b>Other</b> <Othr>                | [1..*] |          |             | 109  |
|     | <b>FieldName</b> <FldNm>           | [1..1] | Text     |             | 109  |
|     | <b>OldFieldValue</b> <OdFldVal>    | [1..1] | Text     |             | 109  |
|     | <b>NewFieldValue</b> <NewFldVal>   | [1..1] | Text     |             | 109  |

#### 8.4.2.2.2.1 Address <Adr>

*Presence:* [1..1]

*Definition:* Information that locates and identifies a specific address.

**Address <Adr>** contains the following **UpdateLogAddress2** elements

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | <b>Old</b> <Od>         | [1..1] | ±    |             | 92   |
|    | <b>New</b> <New>        | [1..1] | ±    |             | 93   |

##### 8.4.2.2.2.1.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before change

**Old <Od>** contains the following elements (see "PostalAddress28" on page 175 for details)

| Or  | MessageElement<XML Tag>          | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------------|--------|---------|-------------|------|
|     | AddressType <AdrTp>              | [0..1] |         |             | 175  |
| {Or | Code <Cd>                        | [1..1] | CodeSet |             | 176  |
| Or} | Proprietary <Prtry>              | [1..1] | ±       |             | 176  |
|     | CareOf <CareOf>                  | [0..1] | Text    |             | 176  |
|     | Department <Dept>                | [0..1] | Text    |             | 176  |
|     | SubDepartment <SubDept>          | [0..1] | Text    |             | 176  |
|     | StreetName <StrtNm>              | [0..1] | Text    |             | 177  |
|     | BuildingNumber <BldgNb>          | [0..1] | Text    |             | 177  |
|     | BuildingName <BldgNm>            | [0..1] | Text    |             | 177  |
|     | Floor <Flr>                      | [0..1] | Text    |             | 177  |
|     | UnitNumber <UnitNb>              | [0..1] | Text    |             | 177  |
|     | PostBox <PstBx>                  | [0..1] | Text    |             | 177  |
|     | Room <Room>                      | [0..1] | Text    |             | 177  |
|     | PostCode <PstCd>                 | [0..1] | Text    |             | 177  |
|     | TownName <TwnNm>                 | [0..1] | Text    |             | 178  |
|     | TownLocationName <TwnLctnNm>     | [0..1] | Text    |             | 178  |
|     | DistrictName <DstrctNm>          | [0..1] | Text    |             | 178  |
|     | CountrySubDivision <CtrySubDvsn> | [0..1] | Text    |             | 178  |
|     | Country <Ctry>                   | [0..1] | CodeSet | C2          | 178  |
|     | AddressLine <AdrLine>            | [0..7] | Text    |             | 178  |
|     | ValidFrom <VldFr>                | [0..1] | Date    |             | 178  |

#### 8.4.2.2.1.2 New <New>

*Presence:* [1..1]

*Definition:* New value after change

**New <New>** contains the following elements (see "PostalAddress28" on page 175 for details)

| Or  | MessageElement<XML Tag>          | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------------|--------|---------|-------------|------|
|     | AddressType <AdrTp>              | [0..1] |         |             | 175  |
| {Or | Code <Cd>                        | [1..1] | CodeSet |             | 176  |
| Or} | Proprietary <Prtry>              | [1..1] | ±       |             | 176  |
|     | CareOf <CareOf>                  | [0..1] | Text    |             | 176  |
|     | Department <Dept>                | [0..1] | Text    |             | 176  |
|     | SubDepartment <SubDept>          | [0..1] | Text    |             | 176  |
|     | StreetName <StrtNm>              | [0..1] | Text    |             | 177  |
|     | BuildingNumber <BldgNb>          | [0..1] | Text    |             | 177  |
|     | BuildingName <BldgNm>            | [0..1] | Text    |             | 177  |
|     | Floor <Flr>                      | [0..1] | Text    |             | 177  |
|     | UnitNumber <UnitNb>              | [0..1] | Text    |             | 177  |
|     | PostBox <PstBx>                  | [0..1] | Text    |             | 177  |
|     | Room <Room>                      | [0..1] | Text    |             | 177  |
|     | PostCode <PstCd>                 | [0..1] | Text    |             | 177  |
|     | TownName <TwnNm>                 | [0..1] | Text    |             | 178  |
|     | TownLocationName <TwnLctnNm>     | [0..1] | Text    |             | 178  |
|     | DistrictName <DstrctNm>          | [0..1] | Text    |             | 178  |
|     | CountrySubDivision <CtrySubDvsn> | [0..1] | Text    |             | 178  |
|     | Country <Ctry>                   | [0..1] | CodeSet | C2          | 178  |
|     | AddressLine <AdrLine>            | [0..7] | Text    |             | 178  |
|     | ValidFrom <VldFr>                | [0..1] | Date    |             | 178  |

#### 8.4.2.2.2.2 ContactDetails <CtctDtls>

*Presence:* [1..1]

*Definition:* Specifies the contact details of the party.

**ContactDetails <CtctDtls>** contains the following **UpdateLogContact2** elements

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | Old <Od>                | [1..1] | ±    |             | 94   |
|    | New <New>               | [1..1] | ±    |             | 95   |

#### 8.4.2.2.2.2.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before change

**Old <Od>** contains the following elements (see "Contact14" on page 170 for details)

| Or | MessageElement<XML Tag>     | Mult.  | Type    | Constr. No. | Page |
|----|-----------------------------|--------|---------|-------------|------|
|    | NamePrefix <NmPrfx>         | [0..1] | CodeSet |             | 171  |
|    | Name <Nm>                   | [0..1] | Text    |             | 171  |
|    | PhoneNumber <PhneNb>        | [0..1] | Text    |             | 172  |
|    | MobileNumber <MobNb>        | [0..1] | Text    |             | 172  |
|    | FaxNumber <FaxNb>           | [0..1] | Text    |             | 172  |
|    | URLAddress <URLAdr>         | [0..1] | Text    |             | 172  |
|    | EmailAddress <EmailAdr>     | [0..1] | Text    |             | 172  |
|    | EmailPurpose <EmailPurp>    | [0..1] | Text    |             | 172  |
|    | JobTitle <JobTitl>          | [0..1] | Text    |             | 172  |
|    | Responsibility <Rspnsblty>  | [0..1] | Text    |             | 172  |
|    | Department <Dept>           | [0..1] | Text    |             | 173  |
|    | Other <Othr>                | [0..*] |         |             | 173  |
|    | ChannelType <ChanlTp>       | [1..1] | Text    |             | 173  |
|    | Identification <Id>         | [0..1] | Text    |             | 173  |
|    | PreferredMethod <PrefrdMtd> | [0..1] | CodeSet |             | 173  |
|    | ValidFrom <VldFr>           | [0..1] | Date    |             | 174  |
|    | ValidTo <VldTo>             | [0..1] | Date    |             | 174  |

#### 8.4.2.2.2.2 New <New>

*Presence:* [1..1]

*Definition:* New value after change

**New <New>** contains the following elements (see "Contact14" on page 170 for details)

| Or | MessageElement<XML Tag>     | Mult.  | Type    | Constr. No. | Page |
|----|-----------------------------|--------|---------|-------------|------|
|    | NamePrefix <NmPrfx>         | [0..1] | CodeSet |             | 171  |
|    | Name <Nm>                   | [0..1] | Text    |             | 171  |
|    | PhoneNumber <PhneNb>        | [0..1] | Text    |             | 172  |
|    | MobileNumber <MobNb>        | [0..1] | Text    |             | 172  |
|    | FaxNumber <FaxNb>           | [0..1] | Text    |             | 172  |
|    | URLAddress <URLAdr>         | [0..1] | Text    |             | 172  |
|    | EmailAddress <EmailAdr>     | [0..1] | Text    |             | 172  |
|    | EmailPurpose <EmailPurp>    | [0..1] | Text    |             | 172  |
|    | JobTitle <JobTitl>          | [0..1] | Text    |             | 172  |
|    | Responsibility <Rspnsblty>  | [0..1] | Text    |             | 172  |
|    | Department <Dept>           | [0..1] | Text    |             | 173  |
|    | Other <Othr>                | [0..*] |         |             | 173  |
|    | ChannelType <ChanlTp>       | [1..1] | Text    |             | 173  |
|    | Identification <Id>         | [0..1] | Text    |             | 173  |
|    | PreferredMethod <PrefrdMtd> | [0..1] | CodeSet |             | 173  |
|    | ValidFrom <VldFr>           | [0..1] | Date    |             | 174  |
|    | ValidTo <VldTo>             | [0..1] | Date    |             | 174  |

#### 8.4.2.2.2.3 OpeningDate <OpngDt>

*Presence:* [1..1]

*Definition:* Specifies the opening date of the party.

**OpeningDate <OpngDt>** contains the following **UpdateLogDate1** elements

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | <b>Old</b> <Od>         | [1..1] | Date |             | 96   |
|    | <b>New</b> <New>        | [1..1] | Date |             | 96   |

##### 8.4.2.2.2.3.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

*Datatype:* "ISODate" on page 184

##### 8.4.2.2.2.3.2 New <New>

*Presence:* [1..1]



*Definition:* New value after the update.

*Datatype:* "ISODate" on page 184

#### 8.4.2.2.2.4 ClosingDate <ClsgDt>

*Presence:* [1..1]

*Definition:* Specifies the closing date of the party.

**ClosingDate <ClsgDt>** contains the following **UpdateLogDate1** elements

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | <b>Old</b> <Od>         | [1..1] | Date |             | 97   |
|    | <b>New</b> <New>        | [1..1] | Date |             | 97   |

##### 8.4.2.2.2.4.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

*Datatype:* "ISODate" on page 184

##### 8.4.2.2.2.4.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

*Datatype:* "ISODate" on page 184

#### 8.4.2.2.2.5 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the type classification of the party.

**Type <Tp>** contains the following **UpdateLogSystemPartyType1** elements

| Or  | MessageElement<XML Tag>    | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------|--------|---------|-------------|------|
|     | <b>Old</b> <Od>            | [1..1] |         |             | 97   |
| {Or | <b>Code</b> <Cd>           | [1..1] | CodeSet |             | 98   |
| Or} | <b>Proprietary</b> <Prtry> | [1..1] | Text    |             | 98   |
|     | <b>New</b> <New>           | [1..1] |         |             | 98   |
| {Or | <b>Code</b> <Cd>           | [1..1] | CodeSet |             | 98   |
| Or} | <b>Proprietary</b> <Prtry> | [1..1] | Text    |             | 98   |

##### 8.4.2.2.2.5.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

**Old <Od>** contains one of the following **SystemPartyType1Choice** elements

| Or  | MessageElement<XML Tag>    | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------|--------|---------|-------------|------|
| {Or | <b>Code</b> <Cd>           | [1..1] | CodeSet |             | 98   |
| Or} | <b>Proprietary</b> <Prtry> | [1..1] | Text    |             | 98   |

#### 8.4.2.2.2.5.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* System party type, in a coded format.

*Datatype:* "ExternalSystemPartyType1Code" on page 182

#### 8.4.2.2.2.5.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* System party type, in a proprietary format.

*Datatype:* "Max35Text" on page 188

#### 8.4.2.2.2.5.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains one of the following **SystemPartyType1Choice** elements

| Or  | MessageElement<XML Tag>    | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------|--------|---------|-------------|------|
| {Or | <b>Code</b> <Cd>           | [1..1] | CodeSet |             | 98   |
| Or} | <b>Proprietary</b> <Prtry> | [1..1] | Text    |             | 98   |

#### 8.4.2.2.2.5.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* System party type, in a coded format.

*Datatype:* "ExternalSystemPartyType1Code" on page 182

#### 8.4.2.2.2.5.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* System party type, in a proprietary format.

*Datatype:* "Max35Text" on page 188

#### 8.4.2.2.2.6 TechnicalAddress <TechAdr>

*Presence:* [1..1]

*Definition:* Unique technical address to unambiguously identify a party for receiving messages from the executing system.

**TechnicalAddress <TechAdr>** contains the following **UpdateLogTechnicalAddress1** elements

| Or  | MessageElement<XML Tag>                 | Mult.  | Type          | Constr. No. | Page |
|-----|---|--------|---------------|-------------|------|
|     | <b>Old &lt;Od&gt;</b>                   | [1..1] |               |             | 99   |
| {Or | <b>BICFI &lt;BICFI&gt;</b>              | [1..1] | IdentifierSet | C2          | 99   |
| Or} | <b>TechnicalAddress &lt;TechAdr&gt;</b> | [1..1] | Text          |             | 99   |
|     | <b>New &lt;New&gt;</b>                  | [1..1] |               |             | 99   |
| {Or | <b>BICFI &lt;BICFI&gt;</b>              | [1..1] | IdentifierSet | C2          | 100  |
| Or} | <b>TechnicalAddress &lt;TechAdr&gt;</b> | [1..1] | Text          |             | 100  |

#### 8.4.2.2.2.6.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

**Old <Od>** contains one of the following **TechnicalIdentification2Choice** elements

| Or  | MessageElement<XML Tag>                 | Mult.  | Type          | Constr. No. | Page |
|-----|---|--------|---------------|-------------|------|
| {Or | <b>BICFI &lt;BICFI&gt;</b>              | [1..1] | IdentifierSet | C2          | 99   |
| Or} | <b>TechnicalAddress &lt;TechAdr&gt;</b> | [1..1] | Text          |             | 99   |

##### 8.4.2.2.2.6.1.1 BICFI <BICFI>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined as a financial institution business identifier code.

*Impacted by:* C2 "BICFI"

*Datatype:* "BICFIDec2014Identifier" on page 185

#### Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

##### 8.4.2.2.2.6.1.2 TechnicalAddress <TechAdr>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined in a free text format.

*Datatype:* "Max256Text" on page 187

##### 8.4.2.2.2.6.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains one of the following **TechnicalIdentification2Choice** elements

| Or  | MessageElement<XML Tag>           | Mult.  | Type          | Constr. No. | Page |
|-----|-----------------------------------|--------|---------------|-------------|------|
| {Or | <b>BICFI</b> <BICFI>              | [1..1] | IdentifierSet | C2          | 100  |
| Or} | <b>TechnicalAddress</b> <TechAdr> | [1..1] | Text          |             | 100  |

#### 8.4.2.2.2.6.2.1 BICFI <BICFI>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined as a financial institution business identifier code.

*Impacted by:* C2 "BICFI"

*Datatype:* "BICFIDec2014Identifier" on page 185

##### Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

#### 8.4.2.2.2.6.2.2 TechnicalAddress <TechAdr>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined in a free text format.

*Datatype:* "Max256Text" on page 187

#### 8.4.2.2.2.7 MarketSpecificAttribute <MktSpcfcAttr>

*Presence:* [1..1]

*Definition:* Additional attributes defined by a central security depository for a party.

**MarketSpecificAttribute <MktSpcfcAttr>** contains the following  
**UpdateLogMarketSpecificAttribute1** elements

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | <b>Old</b> <Od>         | [1..1] |      |             | 100  |
|    | <b>Name</b> <Nm>        | [1..1] | Text |             | 101  |
|    | <b>Value</b> <Val>      | [1..1] | Text |             | 101  |
|    | <b>New</b> <New>        | [1..1] |      |             | 101  |
|    | <b>Name</b> <Nm>        | [1..1] | Text |             | 101  |
|    | <b>Value</b> <Val>      | [1..1] | Text |             | 101  |

#### 8.4.2.2.2.7.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

**Old <Od>** contains the following **MarketSpecificAttribute1** elements

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | <b>Name</b> <Nm>        | [1..1] | Text |             | 101  |
|    | <b>Value</b> <Val>      | [1..1] | Text |             | 101  |

#### 8.4.2.2.2.7.1.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Specifies the name of the market-specific attribute.

*Datatype:* "Max35Text" on page 188

#### 8.4.2.2.2.7.1.2 Value <Val>

*Presence:* [1..1]

*Definition:* Specifies the value of the market-specific attribute.

*Datatype:* "Max350Text" on page 187

#### 8.4.2.2.2.7.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains the following **MarketSpecificAttribute1** elements

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | <b>Name</b> <Nm>        | [1..1] | Text |             | 101  |
|    | <b>Value</b> <Val>      | [1..1] | Text |             | 101  |

#### 8.4.2.2.2.7.2.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Specifies the name of the market-specific attribute.

*Datatype:* "Max35Text" on page 188

#### 8.4.2.2.2.7.2.2 Value <Val>

*Presence:* [1..1]

*Definition:* Specifies the value of the market-specific attribute.

*Datatype:* "Max350Text" on page 187

#### 8.4.2.2.2.8 Name <Nm>

*Presence:* [1..1]

*Definition:* Specifies the name by which a party is known and which is usually used to identify that party.

**Name <Nm>** contains the following **UpdateLogPartyName1** elements

| Or | MessageElement<XML Tag>         | Mult.  | Type | Constr. No. | Page |
|----|---------------------------------|--------|------|-------------|------|
|    | <b>Old &lt;Od&gt;</b>           | [1..1] |      |             | 102  |
|    | <b>ValidFrom &lt;VldFr&gt;</b>  | [0..1] | Date |             | 102  |
|    | <b>Name &lt;Nm&gt;</b>          | [1..1] | Text |             | 102  |
|    | <b>ShortName &lt;ShrtNm&gt;</b> | [0..1] | Text |             | 102  |
|    | <b>New &lt;New&gt;</b>          | [1..1] |      |             | 102  |
|    | <b>ValidFrom &lt;VldFr&gt;</b>  | [0..1] | Date |             | 103  |
|    | <b>Name &lt;Nm&gt;</b>          | [1..1] | Text |             | 103  |
|    | <b>ShortName &lt;ShrtNm&gt;</b> | [0..1] | Text |             | 103  |

#### 8.4.2.2.2.8.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

**Old <Od>** contains the following **PartyName4** elements

| Or | MessageElement<XML Tag>         | Mult.  | Type | Constr. No. | Page |
|----|---------------------------------|--------|------|-------------|------|
|    | <b>ValidFrom &lt;VldFr&gt;</b>  | [0..1] | Date |             | 102  |
|    | <b>Name &lt;Nm&gt;</b>          | [1..1] | Text |             | 102  |
|    | <b>ShortName &lt;ShrtNm&gt;</b> | [0..1] | Text |             | 102  |

##### 8.4.2.2.2.8.1.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the party name is valid.

*Datatype:* "ISODate" on page 184

##### 8.4.2.2.2.8.1.2 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 187

##### 8.4.2.2.2.8.1.3 ShortName <ShrtNm>

*Presence:* [0..1]

*Definition:* Specifies the short name of the organisation.

*Datatype:* "Max35Text" on page 188

#### 8.4.2.2.2.8.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains the following **PartyName4** elements

| Or | MessageElement<XML Tag>   | Mult.  | Type | Constr. No. | Page |
|----|---------------------------|--------|------|-------------|------|
|    | <b>ValidFrom</b> <VldFr>  | [0..1] | Date |             | 103  |
|    | <b>Name</b> <Nm>          | [1..1] | Text |             | 103  |
|    | <b>ShortName</b> <ShrtNm> | [0..1] | Text |             | 103  |

#### 8.4.2.2.2.8.2.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the party name is valid.

*Datatype:* "ISODate" on page 184

#### 8.4.2.2.2.8.2.2 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 187

#### 8.4.2.2.2.8.2.3 ShortName <ShrtNm>

*Presence:* [0..1]

*Definition:* Specifies the short name of the organisation.

*Datatype:* "Max35Text" on page 188

#### 8.4.2.2.2.9 ResidenceType <ResTp>

*Presence:* [1..1]

*Definition:* Specifies the type of residence where the party has its permanent home or principal establishment.

**ResidenceType <ResTp>** contains the following **UpdateLogResidenceType1** elements

| Or | MessageElement<XML Tag> | Mult.  | Type    | Constr. No. | Page |
|----|-------------------------|--------|---------|-------------|------|
|    | <b>Old</b> <Od>         | [1..1] | CodeSet |             | 103  |
|    | <b>New</b> <New>        | [1..1] | CodeSet |             | 104  |

#### 8.4.2.2.2.9.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

*Datatype:* "ResidenceType1Code" on page 184

| CodeName | Name     | Definition             |
|----------|----------|------------------------|
| DMST     | Domestic | Residence is domestic. |
| FRGN     | Foreign  | Residence is foreign.  |

| CodeName | Name  | Definition         |
|----------|-------|--------------------|
| MXED     | Mixed | Residence is mixed |

#### 8.4.2.2.2.9.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

*Datatype:* "ResidenceType1Code" on page 184

| CodeName | Name     | Definition             |
|----------|----------|------------------------|
| DMST     | Domestic | Residence is domestic. |
| FRGN     | Foreign  | Residence is foreign.  |
| MXED     | Mixed    | Residence is mixed     |

#### 8.4.2.2.2.10 LockStatus <LckSts>

*Presence:* [1..1]

*Definition:* Specifies whether the party is locked or not, and the reason for this status, when required.

**LockStatus <LckSts>** contains the following **UpdateLogPartyLockStatus1** elements

| Or | MessageElement<XML Tag>          | Mult.  | Type    | Constr. No. | Page |
|----|----------------------------------|--------|---------|-------------|------|
|    | <b>Old &lt;Od&gt;</b>            | [1..1] |         |             | 104  |
|    | <b>ValidFrom &lt;VldFr&gt;</b>   | [0..1] | Date    |             | 105  |
|    | <b>Status &lt;Sts&gt;</b>        | [1..1] | CodeSet |             | 105  |
|    | <b>LockReason &lt;LckRsn&gt;</b> | [0..*] | Text    |             | 105  |
|    | <b>New &lt;New&gt;</b>           | [1..1] |         |             | 105  |
|    | <b>ValidFrom &lt;VldFr&gt;</b>   | [0..1] | Date    |             | 105  |
|    | <b>Status &lt;Sts&gt;</b>        | [1..1] | CodeSet |             | 105  |
|    | <b>LockReason &lt;LckRsn&gt;</b> | [0..*] | Text    |             | 106  |

#### 8.4.2.2.2.10.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

**Old <Od>** contains the following **PartyLockStatus1** elements

| Or | MessageElement<XML Tag>          | Mult.  | Type    | Constr. No. | Page |
|----|----------------------------------|--------|---------|-------------|------|
|    | <b>ValidFrom &lt;VldFr&gt;</b>   | [0..1] | Date    |             | 105  |
|    | <b>Status &lt;Sts&gt;</b>        | [1..1] | CodeSet |             | 105  |
|    | <b>LockReason &lt;LckRsn&gt;</b> | [0..*] | Text    |             | 105  |



#### 8.4.2.2.2.10.1.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the lock status is valid.

*Datatype:* "ISODate" on page 184

#### 8.4.2.2.2.10.1.2 Status <Sts>

*Presence:* [1..1]

*Definition:* Lock status of the party.

*Datatype:* "LockStatus1Code" on page 182

| CodeName | Name     | Definition                            |
|----------|----------|---------------------------------------|
| LOCK     | Locked   | Party has been locked for operations. |
| ULCK     | Unlocked | Party is ready for operations.        |

#### 8.4.2.2.2.10.1.3 LockReason <LckRsn>

*Presence:* [0..\*]

*Definition:* Specifies the underlying reason for the locking of the party.

*Datatype:* "Max35Text" on page 188

#### 8.4.2.2.2.10.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains the following **PartyLockStatus1** elements

| Or | MessageElement<XML Tag>          | Mult.  | Type    | Constr. No. | Page |
|----|----------------------------------|--------|---------|-------------|------|
|    | <b>ValidFrom &lt;VldFr&gt;</b>   | [0..1] | Date    |             | 105  |
|    | <b>Status &lt;Sts&gt;</b>        | [1..1] | CodeSet |             | 105  |
|    | <b>LockReason &lt;LckRsn&gt;</b> | [0..*] | Text    |             | 106  |

#### 8.4.2.2.2.10.2.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the lock status is valid.

*Datatype:* "ISODate" on page 184

#### 8.4.2.2.2.10.2.2 Status <Sts>

*Presence:* [1..1]

*Definition:* Lock status of the party.

*Datatype:* "LockStatus1Code" on page 182

| CodeName | Name     | Definition                            |
|----------|----------|---------------------------------------|
| LOCK     | Locked   | Party has been locked for operations. |
| ULCK     | Unlocked | Party is ready for operations.        |

#### 8.4.2.2.10.2.3 LockReason <LckRsn>

*Presence:* [0..\*]

*Definition:* Specifies the underlying reason for the locking of the party.

*Datatype:* "Max35Text" on page 188

#### 8.4.2.2.2.11 Restriction <Rstrctn>

*Presence:* [1..1]

*Definition:* Defines the specific processing characteristics for a party to ensure configurability of specific requirements, as prescribed by national legal and regulatory requirements and practices.

**Restriction <Rstrctn>** contains the following **UpdateLogRestriction1** elements

| Or  | MessageElement<XML Tag>            | Mult.  | Type     | Constr. No. | Page |
|-----|------------------------------------|--------|----------|-------------|------|
|     | <b>Old</b> <Od>                    | [1..1] |          |             | 106  |
|     | <b>RestrictionType</b> <RstrctnTp> | [1..1] |          |             | 107  |
| {Or | <b>Code</b> <Cd>                   | [1..1] | Text     |             | 107  |
| Or} | <b>Proprietary</b> <Prtry>         | [1..1] | ±        |             | 107  |
|     | <b>ValidFrom</b> <VldFr>           | [1..1] | DateTime |             | 107  |
|     | <b>ValidUntil</b> <VldUntil>       | [0..1] | DateTime |             | 107  |
|     | <b>New</b> <New>                   | [1..1] |          |             | 107  |
|     | <b>RestrictionType</b> <RstrctnTp> | [1..1] |          |             | 108  |
| {Or | <b>Code</b> <Cd>                   | [1..1] | Text     |             | 108  |
| Or} | <b>Proprietary</b> <Prtry>         | [1..1] | ±        |             | 108  |
|     | <b>ValidFrom</b> <VldFr>           | [1..1] | DateTime |             | 108  |
|     | <b>ValidUntil</b> <VldUntil>       | [0..1] | DateTime |             | 109  |

#### 8.4.2.2.2.11.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

**Old <Od>** contains the following **Restriction1** elements

| Or  | MessageElement<XML Tag>            | Mult.  | Type     | Constr. No. | Page |
|-----|------------------------------------|--------|----------|-------------|------|
|     | <b>RestrictionType</b> <RstrctnTp> | [1..1] |          |             | 107  |
| {Or | <b>Code</b> <Cd>                   | [1..1] | Text     |             | 107  |
| Or} | <b>Proprietary</b> <Prtry>         | [1..1] | ±        |             | 107  |
|     | <b>ValidFrom</b> <VldFr>           | [1..1] | DateTime |             | 107  |
|     | <b>ValidUntil</b> <VldUntil>       | [0..1] | DateTime |             | 107  |

#### 8.4.2.2.11.1.1 RestrictionType <RstrctnTp>

*Presence:* [1..1]

*Definition:* Type of the restriction.

**RestrictionType <RstrctnTp>** contains one of the following **CodeOrProprietary1Choice** elements

| Or  | MessageElement<XML Tag>    | Mult.  | Type | Constr. No. | Page |
|-----|----------------------------|--------|------|-------------|------|
| {Or | <b>Code</b> <Cd>           | [1..1] | Text |             | 107  |
| Or} | <b>Proprietary</b> <Prtry> | [1..1] | ±    |             | 107  |

##### 8.4.2.2.11.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Element expressed as an ISO 20022 code from an external list.

*Datatype:* "Max4Text" on page 188

##### 8.4.2.2.11.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Element expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 160 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | Identification <Id>     | [1..1] | Text |             | 161  |
|    | SchemeName <SchmeNm>    | [0..1] | Text |             | 161  |
|    | Issuer <Issr>           | [1..1] | Text |             | 161  |

##### 8.4.2.2.11.1.2 ValidFrom <VldFr>

*Presence:* [1..1]

*Definition:* Date from when the restriction is valid.

*Datatype:* "ISODatetime" on page 185

##### 8.4.2.2.11.1.3 ValidUntil <VldUntil>

*Presence:* [0..1]

*Definition:* Date until when the restriction is valid.

*Datatype:* "ISODatetime" on page 185

##### 8.4.2.2.11.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains the following **Restriction1** elements

| Or  | MessageElement<XML Tag>            | Mult.  | Type     | Constr. No. | Page |
|-----|------------------------------------|--------|----------|-------------|------|
|     | <b>RestrictionType</b> <RstrctnTp> | [1..1] |          |             | 108  |
| {Or | <b>Code</b> <Cd>                   | [1..1] | Text     |             | 108  |
| Or} | <b>Proprietary</b> <Prtry>         | [1..1] | ±        |             | 108  |
|     | <b>ValidFrom</b> <VldFr>           | [1..1] | DateTime |             | 108  |
|     | <b>ValidUntil</b> <VldUntil>       | [0..1] | DateTime |             | 109  |

#### 8.4.2.2.2.11.2.1 RestrictionType <RstrctnTp>

*Presence:* [1..1]

*Definition:* Type of the restriction.

**RestrictionType** <RstrctnTp> contains one of the following **CodeOrProprietary1Choice** elements

| Or  | MessageElement<XML Tag>    | Mult.  | Type | Constr. No. | Page |
|-----|----------------------------|--------|------|-------------|------|
| {Or | <b>Code</b> <Cd>           | [1..1] | Text |             | 108  |
| Or} | <b>Proprietary</b> <Prtry> | [1..1] | ±    |             | 108  |

##### 8.4.2.2.2.11.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Element expressed as an ISO 20022 code from an external list.

*Datatype:* "Max4Text" on page 188

##### 8.4.2.2.2.11.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Element expressed as a proprietary code.

**Proprietary** <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 160 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | Identification <Id>     | [1..1] | Text |             | 161  |
|    | SchemeName <SchmeNm>    | [0..1] | Text |             | 161  |
|    | Issuer <Issr>           | [1..1] | Text |             | 161  |

##### 8.4.2.2.2.11.2.2 ValidFrom <VldFr>

*Presence:* [1..1]

*Definition:* Date from when the restriction is valid.

*Datatype:* "ISODatetime" on page 185

#### 8.4.2.2.2.11.2.3 ValidUntil <VldUntil>

*Presence:* [0..1]

*Definition:* Date until when the restriction is valid.

*Datatype:* "ISODatetime" on page 185

#### 8.4.2.2.2.12 Other <Othr>

*Presence:* [1..\*]

*Definition:* Specifies a proprietary update type.

**Other <Othr>** contains the following **UpdateLogProprietary1** elements

| Or | MessageElement<XML Tag>          | Mult.  | Type | Constr. No. | Page |
|----|----------------------------------|--------|------|-------------|------|
|    | <b>FieldName</b> <FldNm>         | [1..1] | Text |             | 109  |
|    | <b>OldFieldValue</b> <OdFldVal>  | [1..1] | Text |             | 109  |
|    | <b>NewFieldValue</b> <NewFldVal> | [1..1] | Text |             | 109  |

##### 8.4.2.2.2.12.1 FieldName <FldNm>

*Presence:* [1..1]

*Definition:* Name of the field whose value has been changed.

*Datatype:* "Max35Text" on page 188

##### 8.4.2.2.2.12.2 OldFieldValue <OdFldVal>

*Presence:* [1..1]

*Definition:* Value of the field before the change.

*Datatype:* "Max350Text" on page 187

##### 8.4.2.2.2.12.3 NewFieldValue <NewFldVal>

*Presence:* [1..1]

*Definition:* Value of the field after the change.

*Datatype:* "Max350Text" on page 187

#### 8.4.2.2.3 OperationTimeStamp <OprTmStmp>

*Presence:* [1..1]

*Definition:* Specifies the timestamp of the operation.

*Datatype:* "ISODatetime" on page 185

### 8.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C4 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "[SupplementaryData1](#)" on page 163 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type              | Constr. No. | Page |
|----|-------------------------|--------|-------------------|-------------|------|
|    | PlaceAndName <PlcAndNm> | [0..1] | Text              |             | 163  |
|    | Envelope <Envlp>        | [1..1] | (External Schema) |             | 163  |

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 9 reda.042.001.01 PartyAuditTrailQueryV01

### 9.1 MessageDefinition Functionality

The PartyAuditTrailQuery message is sent by an instructing party to the executing party to query for the party audit trail recorded in the system.

Outline

The PartyAuditTrailQueryV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageHeader

Common business identification for the message.

B. SearchCriteria

Defines the criteria to be used to query the party audit trail by the executing system.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

### 9.2 Structure

| Or  | MessageElement/BuildingBlock<XML Tag>   | Mult.  | Type | Constr. No. | Page |
|-----|---|--------|------|-------------|------|
|     | Message root <Document> <PtyAudtTrlQry> | [1..1] |      |             |      |
|     |   |        |      |             |      |
|     | <b>MessageHeader</b> <MsgHdr>           | [0..1] | ±    |             | 112  |
|     | <b>SearchCriteria</b> <SchCrit>         | [1..1] |      |             | 112  |
|     | <b>PartyIdentification</b> <PtyId>      | [0..1] | ±    |             | 113  |
|     | <b>DatePeriod</b> <DtPrd>               | [0..1] |      |             | 113  |
| {Or | <b>FromDate</b> <FrDt>                  | [1..1] | Date |             | 113  |
| Or  | <b>ToDate</b> <ToDt>                    | [1..1] | Date |             | 114  |
| Or  | <b>FromToDate</b> <FrToDt>              | [1..1] | ±    |             | 114  |
| Or  | <b>EqualDate</b> <EQDt>                 | [1..1] | Date |             | 114  |
| Or} | <b>NotEqualDate</b> <NEQDt>             | [1..1] | Date |             | 114  |
|     | <b>SupplementaryData</b> <SplmtryData>  | [0..*] | ±    | C3          | 114  |

## 9.3 Constraints

- C1

**AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.
- C2

**Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).
- C3

**SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 9.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 9.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]  
*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following elements (see "[MessageHeader1](#)" on page 161 for details)

| Or | MessageElement<XML Tag>       | Mult.  | Type     | Constr. No. | Page |
|----|-------------------------------|--------|----------|-------------|------|
|    | MessageIdentification <MsgId> | [1..1] | Text     |             | 161  |
|    | CreationDateTime <CreDtTm>    | [0..1] | DateTime |             | 161  |

### 9.4.2 SearchCriteria <SchCrit>

*Presence:* [1..1]  
*Definition:* Defines the criteria to be used to query the party audit trail by the executing system.



| Or  | MessageElement<XML Tag>            | Mult.  | Type | Constr. No. | Page |
|-----|------------------------------------|--------|------|-------------|------|
|     | <b>PartyIdentification</b> <PtyId> | [0..1] | ±    |             | 113  |
|     | <b>DatePeriod</b> <DtPrd>          | [0..1] |      |             | 113  |
| {Or | <b>FromDate</b> <FrDt>             | [1..1] | Date |             | 113  |
| Or  | <b>ToDate</b> <ToDt>               | [1..1] | Date |             | 114  |
| Or  | <b>FromDate</b> <FrDt>             | [1..1] | ±    |             | 114  |
| Or  | <b>EqualDate</b> <EQDt>            | [1..1] | Date |             | 114  |
| Or} | <b>NotEqualDate</b> <NEQDt>        | [1..1] | Date |             | 114  |

| Or | MessageElement<XML Tag>                       | Mult.  | Type | Constr. No. | Page |
|----|---|--------|------|-------------|------|
|    | Identification </d>                           | [1..1] | ±    |             | 170  |
|    | ResponsiblePartyIdentification <RspnsblPtyId> | [0..1] | ±    |             | 170  |

| Or  | MessageElement<XML Tag>     | Mult.  | Type | Constr. No. | Page |
|-----|-----------------------------|--------|------|-------------|------|
| {Or | <b>FromDate</b> <FrDt>      | [1..1] | Date |             | 113  |
| Or  | <b>ToDate</b> <ToDt>        | [1..1] | Date |             | 114  |
| Or  | <b>FromToDate</b> <FrToDt>  | [1..1] | ±    |             | 114  |
| Or  | <b>EqualDate</b> <EQDt>     | [1..1] | Date |             | 114  |
| Or} | <b>NotEqualDate</b> <NEQDt> | [1..1] | Date |             | 114  |

*Datatype: "ISODate" on page 184*

#### 9.4.2.2.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 184

#### 9.4.2.2.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Particular time span specified between a start date and an end date.

**FromToDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 158 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | FromDate <FrDt>         | [1..1] | Date |             | 158  |
|    | ToDate <ToDt>           | [1..1] | Date |             | 158  |

#### 9.4.2.2.4 EqualDate <EQDt>

*Presence:* [1..1]

*Definition:* Specified date to match.

*Datatype:* "ISODate" on page 184

#### 9.4.2.2.5 NotEqualDate <NEQDt>

*Presence:* [1..1]

*Definition:* Specified date to be excluded from the search.

*Datatype:* "ISODate" on page 184

### 9.4.3 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.

*Impacted by:* C3 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 163 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type              | Constr. No. | Page |
|----|-------------------------|--------|-------------------|-------------|------|
|    | PlaceAndName <PlcAndNm> | [0..1] | Text              |             | 163  |
|    | Envelope <Envlp>        | [1..1] | (External Schema) |             | 163  |

#### Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# **10      reda.043.001.02 PartyAuditTrailReportV02**

## **10.1    MessageDefinition Functionality**

The PartyAuditTrailReport message is sent by the executing party to an instructing party to provide detailed information on the requested party audit trail recorded in the system.

### **Outline**

The PartyAuditTrailReportV02 MessageDefinition is composed of 3 MessageBuildingBlocks:

**A. MessageHeader**

Common business identification for the message.

**B. ReportOnError**

Provides the party audit trail data or error resulting from the audit trail query request.

**C. SupplementaryData**

Additional information that cannot be captured in the structured elements and/or any other specific block.

## 10.2 Structure

| Or  | MessageElement/BuildingBlock<XML Tag>              | Mult.  | Type     | Constr. No. | Page |
|-----|--|--------|----------|-------------|------|
|     | Message root <Document> <PtyAudtTrlRpt>            | [1..1] |          |             |      |
|     |  |        |          |             |      |
|     | <b>MessageHeader</b> <MsgHdr>                      | [0..1] |          |             | 119  |
|     | <b>MessageIdentification</b> <MsgId>               | [1..1] | Text     |             | 120  |
|     | <b>CreationDateTime</b> <CreDtTm>                  | [0..1] | DateTime |             | 120  |
|     | <b>OriginalBusinessInstruction</b> <OrgnlBizInstr> | [0..1] | ±        |             | 120  |
|     | <b>ReportOrError</b> <RptOrErr>                    | [1..1] |          |             | 120  |
| {Or | <b>PartyAuditTrailReport</b> <PtyAudtTrlRpt>       | [1..*] |          |             | 124  |
|     | <b>PartyAuditTrailOrError</b> <PtyAudtTrlOrErr>    | [1..1] |          |             | 127  |
| {Or | <b>AuditTrail</b> <AudtTrl>                        | [1..*] |          |             | 130  |
|     | <b>Record</b> <Rcrd>                               | [1..*] |          |             | 133  |
| {Or | <b>Address</b> <Adr>                               | [1..1] |          |             | 136  |
|     | <b>Old</b> <Od>                                    | [1..1] | ±        |             | 136  |
|     | <b>New</b> <New>                                   | [1..1] | ±        |             | 137  |
| Or  | <b>ContactDetails</b> <CtctDtls>                   | [1..1] |          |             | 138  |
|     | <b>Old</b> <Od>                                    | [1..1] | ±        |             | 138  |
|     | <b>New</b> <New>                                   | [1..1] | ±        |             | 139  |
| Or  | <b>OpeningDate</b> <OpngDt>                        | [1..1] |          |             | 140  |
|     | <b>Old</b> <Od>                                    | [1..1] | Date     |             | 140  |
|     | <b>New</b> <New>                                   | [1..1] | Date     |             | 140  |
| Or  | <b>ClosingDate</b> <ClsgDt>                        | [1..1] |          |             | 141  |
|     | <b>Old</b> <Od>                                    | [1..1] | Date     |             | 141  |
|     | <b>New</b> <New>                                   | [1..1] | Date     |             | 141  |
| Or  | <b>Type</b> <Tp>                                   | [1..1] |          |             | 141  |
|     | <b>Old</b> <Od>                                    | [1..1] |          |             | 141  |
| {Or | <b>Code</b> <Cd>                                   | [1..1] | CodeSet  |             | 142  |
| Or} | <b>Proprietary</b> <Prtry>                         | [1..1] | Text     |             | 142  |
|     | <b>New</b> <New>                                   | [1..1] |          |             | 142  |
| {Or | <b>Code</b> <Cd>                                   | [1..1] | CodeSet  |             | 142  |
| Or} | <b>Proprietary</b> <Prtry>                         | [1..1] | Text     |             | 142  |
| Or  | <b>TechnicalAddress</b> <TechAdr>                  | [1..1] |          |             | 142  |

| Or  | MessageElement/BuildingBlock<XML Tag>        | Mult.  | Type          | Constr. No. | Page |
|-----|--|--------|---------------|-------------|------|
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 143  |
| {Or | <b>BICFI</b> <BICFI>                         | [1..1] | IdentifierSet | C2          | 143  |
| Or} | <b>TechnicalAddress</b> <TechAdr>            | [1..1] | Text          |             | 143  |
|     | <b>New</b> <New>                             | [1..1] |               |             | 143  |
| {Or | <b>BICFI</b> <BICFI>                         | [1..1] | IdentifierSet | C2          | 144  |
| Or} | <b>TechnicalAddress</b> <TechAdr>            | [1..1] | Text          |             | 144  |
| Or  | <b>MarketSpecificAttribute</b> <MktSpfcAttr> | [1..1] |               |             | 144  |
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 144  |
|     | <b>Name</b> <Nm>                             | [1..1] | Text          |             | 145  |
|     | <b>Value</b> <Val>                           | [1..1] | Text          |             | 145  |
|     | <b>New</b> <New>                             | [1..1] |               |             | 145  |
|     | <b>Name</b> <Nm>                             | [1..1] | Text          |             | 145  |
|     | <b>Value</b> <Val>                           | [1..1] | Text          |             | 145  |
| Or  | <b>Name</b> <Nm>                             | [1..1] |               |             | 145  |
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 146  |
|     | <b>ValidFrom</b> <VldFr>                     | [0..1] | Date          |             | 146  |
|     | <b>Name</b> <Nm>                             | [1..1] | Text          |             | 146  |
|     | <b>ShortName</b> <ShrtNm>                    | [0..1] | Text          |             | 146  |
|     | <b>New</b> <New>                             | [1..1] |               |             | 146  |
|     | <b>ValidFrom</b> <VldFr>                     | [0..1] | Date          |             | 147  |
|     | <b>Name</b> <Nm>                             | [1..1] | Text          |             | 147  |
|     | <b>ShortName</b> <ShrtNm>                    | [0..1] | Text          |             | 147  |
| Or  | <b>ResidenceType</b> <ResTp>                 | [1..1] |               |             | 147  |
|     | <b>Old</b> <Od>                              | [1..1] | CodeSet       |             | 147  |
|     | <b>New</b> <New>                             | [1..1] | CodeSet       |             | 148  |
| Or  | <b>LockStatus</b> <LckSts>                   | [1..1] |               |             | 148  |
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 148  |
|     | <b>ValidFrom</b> <VldFr>                     | [0..1] | Date          |             | 149  |
|     | <b>Status</b> <Sts>                          | [1..1] | CodeSet       |             | 149  |
|     | <b>LockReason</b> <LckRsn>                   | [0..*] | Text          |             | 149  |
|     | <b>New</b> <New>                             | [1..1] |               |             | 149  |

| Or  | MessageElement/BuildingBlock<XML Tag> | Mult.  | Type     | Constr. No. | Page |
|-----|---------------------------------------|--------|----------|-------------|------|
|     | <b>ValidFrom</b> <VldFr>              | [0..1] | Date     |             | 149  |
|     | <b>Status</b> <Sts>                   | [1..1] | CodeSet  |             | 149  |
|     | <b>LockReason</b> <LckRsn>            | [0..*] | Text     |             | 150  |
| Or  | <b>Restriction</b> <Rstrctn>          | [1..1] |          |             | 150  |
|     | <b>Old</b> <Od>                       | [1..1] |          |             | 150  |
|     | <b>RestrictionType</b> <RstrctnTp>    | [1..1] |          |             | 151  |
| {Or | <b>Code</b> <Cd>                      | [1..1] | Text     |             | 151  |
| Or} | <b>Proprietary</b> <Prtry>            | [1..1] | ±        |             | 151  |
|     | <b>ValidFrom</b> <VldFr>              | [1..1] | DateTime |             | 151  |
|     | <b>ValidUntil</b> <VldUntil>          | [0..1] | DateTime |             | 151  |
|     | <b>New</b> <New>                      | [1..1] |          |             | 151  |
|     | <b>RestrictionType</b> <RstrctnTp>    | [1..1] |          |             | 152  |
| {Or | <b>Code</b> <Cd>                      | [1..1] | Text     |             | 152  |
| Or} | <b>Proprietary</b> <Prtry>            | [1..1] | ±        |             | 152  |
|     | <b>ValidFrom</b> <VldFr>              | [1..1] | DateTime |             | 152  |
|     | <b>ValidUntil</b> <VldUntil>          | [0..1] | DateTime |             | 153  |
| Or} | <b>Other</b> <Othr>                   | [1..*] |          |             | 153  |
|     | <b>FieldName</b> <FldNm>              | [1..1] | Text     |             | 153  |
|     | <b>OldFieldValue</b> <OdFldVal>       | [1..1] | Text     |             | 153  |
|     | <b>NewFieldValue</b> <NewFldVal>      | [1..1] | Text     |             | 153  |
|     | <b>OperationTimeStamp</b> <OprTmStmp> | [1..1] | DateTime |             | 153  |
|     | <b>InstructingUser</b> <InstgUsr>     | [1..1] | Text     |             | 153  |
|     | <b>ApprovingUser</b> <ApprvgUsr>      | [0..1] | Text     |             | 153  |
| Or} | <b>BusinessError</b> <BizErr>         | [1..*] |          |             | 154  |
|     | <b>Error</b> <Err>                    | [1..1] |          |             | 154  |
| {Or | <b>Code</b> <Cd>                      | [1..1] | CodeSet  |             | 154  |
| Or} | <b>Proprietary</b> <Prtry>            | [1..1] | Text     |             | 154  |
|     | <b>Description</b> <Desc>             | [0..1] | Text     |             | 154  |
|     | <b>DatePeriod</b> <DtPrd>             | [0..1] |          |             | 154  |
| {Or | <b>FromDate</b> <FrDt>                | [1..1] | Date     |             | 155  |
| Or  | <b>ToDate</b> <ToDt>                  | [1..1] | Date     |             | 155  |

| Or  | MessageElement/BuildingBlock<XML Tag>  | Mult.  | Type    | Constr. No. | Page |
|-----|--|--------|---------|-------------|------|
| Or  | <b>FromToDate</b> <FrToDt>             | [1..1] | ±       |             | 155  |
| Or} | <b>Date</b> <Dt>                       | [1..1] | Date    |             | 155  |
|     | <b>PartyIdentification</b> <PtyId>     | [1..1] | ±       |             | 155  |
| Or} | <b>OperationalError</b> <OprlErr>      | [1..*] |         |             | 156  |
|     | <b>Error</b> <Err>                     | [1..1] |         |             | 156  |
| {Or | <b>Code</b> <Cd>                       | [1..1] | CodeSet |             | 156  |
| Or} | <b>Proprietary</b> <Prtry>             | [1..1] | Text    |             | 156  |
|     | <b>Description</b> <Desc>              | [0..1] | Text    |             | 156  |
|     | <b>SupplementaryData</b> <SplmtryData> | [0..*] | ±       | C4          | 157  |

## 10.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 10.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 10.4.1 MessageHeader <MsgHdr>

*Presence:* [0..1]

*Definition:* Common business identification for the message.

**MessageHeader <MsgHdr>** contains the following **MessageHeader12** elements

| Or | MessageElement<XML Tag>                                  | Mult.  | Type     | Constr. No. | Page |
|----|--|--------|----------|-------------|------|
|    | <b>MessageIdentification &lt;MsgId&gt;</b>               | [1..1] | Text     |             | 120  |
|    | <b>CreationDateTime &lt;CreDtTm&gt;</b>                  | [0..1] | DateTime |             | 120  |
|    | <b>OriginalBusinessInstruction &lt;OrgnlBizInstr&gt;</b> | [0..1] | ±        |             | 120  |

#### 10.4.1.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

*Usage:* The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 188

#### 10.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODatetime" on page 185

#### 10.4.1.3 OriginalBusinessInstruction <OrgnlBizInstr>

*Presence:* [0..1]

*Definition:* Unique identification of the original instruction.

**OriginalBusinessInstruction <OrgnlBizInstr>** contains the following elements (see "OriginalBusinessInstruction1" on page 162 for details)

| Or | MessageElement<XML Tag>             | Mult.  | Type     | Constr. No. | Page |
|----|-------------------------------------|--------|----------|-------------|------|
|    | MessageIdentification <MsgId>       | [1..1] | Text     |             | 162  |
|    | MessageNameIdentification <MsgNmId> | [0..1] | Text     |             | 162  |
|    | CreationDateTime <CreDtTm>          | [0..1] | DateTime |             | 162  |

### 10.4.2 ReportOrError <RptOrErr>

*Presence:* [1..1]

*Definition:* Provides the party audit trail data or error resulting from the audit trail query request.



**ReportOnError <RptOrErr>** contains one of the following **PartyAuditTrailOnError3Choice** elements

| Or  | MessageElement<XML Tag>                         | Mult.  | Type          | Constr. No. | Page |
|-----|---|--------|---------------|-------------|------|
| {Or | <b>PartyAuditTrailReport</b> <PtyAudtTrlRpt>    | [1..*] |               |             | 124  |
|     | <b>PartyAuditTrailOnError</b> <PtyAudtTrlOrErr> | [1..1] |               |             | 127  |
| {Or | <b>AuditTrail</b> <AudtTrl>                     | [1..*] |               |             | 130  |
|     | <b>Record</b> <Rcrd>                            | [1..*] |               |             | 133  |
| {Or | <b>Address</b> <Adr>                            | [1..1] |               |             | 136  |
|     | <b>Old</b> <Od>                                 | [1..1] | ±             |             | 136  |
|     | <b>New</b> <New>                                | [1..1] | ±             |             | 137  |
| Or  | <b>ContactDetails</b> <CtctDtls>                | [1..1] |               |             | 138  |
|     | <b>Old</b> <Od>                                 | [1..1] | ±             |             | 138  |
|     | <b>New</b> <New>                                | [1..1] | ±             |             | 139  |
| Or  | <b>OpeningDate</b> <OpngDt>                     | [1..1] |               |             | 140  |
|     | <b>Old</b> <Od>                                 | [1..1] | Date          |             | 140  |
|     | <b>New</b> <New>                                | [1..1] | Date          |             | 140  |
| Or  | <b>ClosingDate</b> <ClsgDt>                     | [1..1] |               |             | 141  |
|     | <b>Old</b> <Od>                                 | [1..1] | Date          |             | 141  |
|     | <b>New</b> <New>                                | [1..1] | Date          |             | 141  |
| Or  | <b>Type</b> <Tp>                                | [1..1] |               |             | 141  |
|     | <b>Old</b> <Od>                                 | [1..1] |               |             | 141  |
| {Or | <b>Code</b> <Cd>                                | [1..1] | CodeSet       |             | 142  |
| Or} | <b>Proprietary</b> <Prtry>                      | [1..1] | Text          |             | 142  |
|     | <b>New</b> <New>                                | [1..1] |               |             | 142  |
| {Or | <b>Code</b> <Cd>                                | [1..1] | CodeSet       |             | 142  |
| Or} | <b>Proprietary</b> <Prtry>                      | [1..1] | Text          |             | 142  |
| Or  | <b>TechnicalAddress</b> <TechAdr>               | [1..1] |               |             | 142  |
|     | <b>Old</b> <Od>                                 | [1..1] |               |             | 143  |
| {Or | <b>BICFI</b> <BICFI>                            | [1..1] | IdentifierSet | C2          | 143  |
| Or} | <b>TechnicalAddress</b> <TechAdr>               | [1..1] | Text          |             | 143  |
|     | <b>New</b> <New>                                | [1..1] |               |             | 143  |
| {Or | <b>BICFI</b> <BICFI>                            | [1..1] | IdentifierSet | C2          | 144  |
| Or} | <b>TechnicalAddress</b> <TechAdr>               | [1..1] | Text          |             | 144  |
| Or  | <b>MarketSpecificAttribute</b> <MktSpfcAttr>    | [1..1] |               |             | 144  |

| Or  | MessageElement<XML Tag>            | Mult.  | Type    | Constr. No. | Page |
|-----|------------------------------------|--------|---------|-------------|------|
|     | <b>Old</b> <Od>                    | [1..1] |         |             | 144  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text    |             | 145  |
|     | <b>Value</b> <Val>                 | [1..1] | Text    |             | 145  |
|     | <b>New</b> <New>                   | [1..1] |         |             | 145  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text    |             | 145  |
|     | <b>Value</b> <Val>                 | [1..1] | Text    |             | 145  |
| Or  | <b>Name</b> <Nm>                   | [1..1] |         |             | 145  |
|     | <b>Old</b> <Od>                    | [1..1] |         |             | 146  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date    |             | 146  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text    |             | 146  |
|     | <b>ShortName</b> <ShrtNm>          | [0..1] | Text    |             | 146  |
|     | <b>New</b> <New>                   | [1..1] |         |             | 146  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date    |             | 147  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text    |             | 147  |
|     | <b>ShortName</b> <ShrtNm>          | [0..1] | Text    |             | 147  |
| Or  | <b>ResidenceType</b> <ResTp>       | [1..1] |         |             | 147  |
|     | <b>Old</b> <Od>                    | [1..1] | CodeSet |             | 147  |
|     | <b>New</b> <New>                   | [1..1] | CodeSet |             | 148  |
| Or  | <b>LockStatus</b> <LckSts>         | [1..1] |         |             | 148  |
|     | <b>Old</b> <Od>                    | [1..1] |         |             | 148  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date    |             | 149  |
|     | <b>Status</b> <Sts>                | [1..1] | CodeSet |             | 149  |
|     | <b>LockReason</b> <LckRsn>         | [0..*] | Text    |             | 149  |
|     | <b>New</b> <New>                   | [1..1] |         |             | 149  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date    |             | 149  |
|     | <b>Status</b> <Sts>                | [1..1] | CodeSet |             | 149  |
|     | <b>LockReason</b> <LckRsn>         | [0..*] | Text    |             | 150  |
| Or  | <b>Restriction</b> <Rstrctn>       | [1..1] |         |             | 150  |
|     | <b>Old</b> <Od>                    | [1..1] |         |             | 150  |
|     | <b>RestrictionType</b> <RstrctnTp> | [1..1] |         |             | 151  |
| {Or | <b>Code</b> <Cd>                   | [1..1] | Text    |             | 151  |

| Or  | MessageElement<XML Tag>               | Mult.  | Type     | Constr. No. | Page |
|-----|---------------------------------------|--------|----------|-------------|------|
| Or} | <b>Proprietary</b> <Prtry>            | [1..1] | ±        |             | 151  |
|     | <b>ValidFrom</b> <VldFr>              | [1..1] | DateTime |             | 151  |
|     | <b>ValidUntil</b> <VldUntil>          | [0..1] | DateTime |             | 151  |
|     | <b>New</b> <New>                      | [1..1] |          |             | 151  |
|     | <b>RestrictionType</b> <RstrctnTp>    | [1..1] |          |             | 152  |
| {Or | <b>Code</b> <Cd>                      | [1..1] | Text     |             | 152  |
| Or} | <b>Proprietary</b> <Prtry>            | [1..1] | ±        |             | 152  |
|     | <b>ValidFrom</b> <VldFr>              | [1..1] | DateTime |             | 152  |
|     | <b>ValidUntil</b> <VldUntil>          | [0..1] | DateTime |             | 153  |
| Or} | <b>Other</b> <Othr>                   | [1..*] |          |             | 153  |
|     | <b>FieldName</b> <FldNm>              | [1..1] | Text     |             | 153  |
|     | <b>OldFieldValue</b> <OdFldVal>       | [1..1] | Text     |             | 153  |
|     | <b>NewFieldValue</b> <NewFldVal>      | [1..1] | Text     |             | 153  |
|     | <b>OperationTimeStamp</b> <OprTmStmp> | [1..1] | DateTime |             | 153  |
|     | <b>InstructingUser</b> <InstgUsr>     | [1..1] | Text     |             | 153  |
|     | <b>ApprovingUser</b> <ApprvgUsr>      | [0..1] | Text     |             | 153  |
| Or} | <b>BusinessError</b> <BizErr>         | [1..*] |          |             | 154  |
|     | <b>Error</b> <Err>                    | [1..1] |          |             | 154  |
| {Or | <b>Code</b> <Cd>                      | [1..1] | CodeSet  |             | 154  |
| Or} | <b>Proprietary</b> <Prtry>            | [1..1] | Text     |             | 154  |
|     | <b>Description</b> <Desc>             | [0..1] | Text     |             | 154  |
|     | <b>DatePeriod</b> <DtPrd>             | [0..1] |          |             | 154  |
| {Or | <b>FromDate</b> <FrDt>                | [1..1] | Date     |             | 155  |
| Or  | <b>ToDate</b> <ToDt>                  | [1..1] | Date     |             | 155  |
| Or  | <b>FromToDate</b> <FrToDt>            | [1..1] | ±        |             | 155  |
| Or} | <b>Date</b> <Dt>                      | [1..1] | Date     |             | 155  |
|     | <b>PartyIdentification</b> <PtyId>    | [1..1] | ±        |             | 155  |
| Or} | <b>OperationalError</b> <OprlErr>     | [1..*] |          |             | 156  |
|     | <b>Error</b> <Err>                    | [1..1] |          |             | 156  |
| {Or | <b>Code</b> <Cd>                      | [1..1] | CodeSet  |             | 156  |
| Or} | <b>Proprietary</b> <Prtry>            | [1..1] | Text     |             | 156  |

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | Description <Desc>      | [0..1] | Text |             | 156  |

10.4.2.1 PartyAuditTrailReport <PtyAudtTrlRpt>

Presence: [1..\*]  
Definition: Report information about party reference data.

**PartyAuditTrailReport <PtyAudtTrlRpt>** contains the following **PartyAuditTrailReport4** elements

| Or  | MessageElement<XML Tag>                         | Mult.  | Type          | Constr. No. | Page |
|-----|---|--------|---------------|-------------|------|
|     | <b>PartyAuditTrailOrError</b> <PtyAudtTrlOrErr> | [1..1] |               |             | 127  |
| {Or | <b>AuditTrail</b> <AudtTrl>                     | [1..*] |               |             | 130  |
|     | <b>Record</b> <Rcrd>                            | [1..*] |               |             | 133  |
| {Or | <b>Address</b> <Adr>                            | [1..1] |               |             | 136  |
|     | <b>Old</b> <Od>                                 | [1..1] | ±             |             | 136  |
|     | <b>New</b> <New>                                | [1..1] | ±             |             | 137  |
| Or  | <b>ContactDetails</b> <CtctDtls>                | [1..1] |               |             | 138  |
|     | <b>Old</b> <Od>                                 | [1..1] | ±             |             | 138  |
|     | <b>New</b> <New>                                | [1..1] | ±             |             | 139  |
| Or  | <b>OpeningDate</b> <OpngDt>                     | [1..1] |               |             | 140  |
|     | <b>Old</b> <Od>                                 | [1..1] | Date          |             | 140  |
|     | <b>New</b> <New>                                | [1..1] | Date          |             | 140  |
| Or  | <b>ClosingDate</b> <ClsgDt>                     | [1..1] |               |             | 141  |
|     | <b>Old</b> <Od>                                 | [1..1] | Date          |             | 141  |
|     | <b>New</b> <New>                                | [1..1] | Date          |             | 141  |
| Or  | <b>Type</b> <Tp>                                | [1..1] |               |             | 141  |
|     | <b>Old</b> <Od>                                 | [1..1] |               |             | 141  |
| {Or | <b>Code</b> <Cd>                                | [1..1] | CodeSet       |             | 142  |
| Or} | <b>Proprietary</b> <Prtry>                      | [1..1] | Text          |             | 142  |
|     | <b>New</b> <New>                                | [1..1] |               |             | 142  |
| {Or | <b>Code</b> <Cd>                                | [1..1] | CodeSet       |             | 142  |
| Or} | <b>Proprietary</b> <Prtry>                      | [1..1] | Text          |             | 142  |
| Or  | <b>TechnicalAddress</b> <TechAdr>               | [1..1] |               |             | 142  |
|     | <b>Old</b> <Od>                                 | [1..1] |               |             | 143  |
| {Or | <b>BICFI</b> <BICFI>                            | [1..1] | IdentifierSet | C2          | 143  |
| Or} | <b>TechnicalAddress</b> <TechAdr>               | [1..1] | Text          |             | 143  |
|     | <b>New</b> <New>                                | [1..1] |               |             | 143  |
| {Or | <b>BICFI</b> <BICFI>                            | [1..1] | IdentifierSet | C2          | 144  |
| Or} | <b>TechnicalAddress</b> <TechAdr>               | [1..1] | Text          |             | 144  |
| Or  | <b>MarketSpecificAttribute</b> <MktSpcfcAttr>   | [1..1] |               |             | 144  |
|     | <b>Old</b> <Od>                                 | [1..1] |               |             | 144  |

| Or  | MessageElement<XML Tag>            | Mult.  | Type    | Constr. No. | Page |
|-----|------------------------------------|--------|---------|-------------|------|
|     | <b>Name</b> <Nm>                   | [1..1] | Text    |             | 145  |
|     | <b>Value</b> <Val>                 | [1..1] | Text    |             | 145  |
|     | <b>New</b> <New>                   | [1..1] |         |             | 145  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text    |             | 145  |
|     | <b>Value</b> <Val>                 | [1..1] | Text    |             | 145  |
| Or  | <b>Name</b> <Nm>                   | [1..1] |         |             | 145  |
|     | <b>Old</b> <Od>                    | [1..1] |         |             | 146  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date    |             | 146  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text    |             | 146  |
|     | <b>ShortName</b> <ShrtNm>          | [0..1] | Text    |             | 146  |
|     | <b>New</b> <New>                   | [1..1] |         |             | 146  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date    |             | 147  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text    |             | 147  |
|     | <b>ShortName</b> <ShrtNm>          | [0..1] | Text    |             | 147  |
| Or  | <b>ResidenceType</b> <ResTp>       | [1..1] |         |             | 147  |
|     | <b>Old</b> <Od>                    | [1..1] | CodeSet |             | 147  |
|     | <b>New</b> <New>                   | [1..1] | CodeSet |             | 148  |
| Or  | <b>LockStatus</b> <LckSts>         | [1..1] |         |             | 148  |
|     | <b>Old</b> <Od>                    | [1..1] |         |             | 148  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date    |             | 149  |
|     | <b>Status</b> <Sts>                | [1..1] | CodeSet |             | 149  |
|     | <b>LockReason</b> <LckRsn>         | [0..*] | Text    |             | 149  |
|     | <b>New</b> <New>                   | [1..1] |         |             | 149  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date    |             | 149  |
|     | <b>Status</b> <Sts>                | [1..1] | CodeSet |             | 149  |
|     | <b>LockReason</b> <LckRsn>         | [0..*] | Text    |             | 150  |
| Or  | <b>Restriction</b> <Rstrctn>       | [1..1] |         |             | 150  |
|     | <b>Old</b> <Od>                    | [1..1] |         |             | 150  |
|     | <b>RestrictionType</b> <RstrctnTp> | [1..1] |         |             | 151  |
| {Or | <b>Code</b> <Cd>                   | [1..1] | Text    |             | 151  |
| Or} | <b>Proprietary</b> <Prtry>         | [1..1] | ±       |             | 151  |

| Or  | MessageElement<XML Tag>               | Mult.  | Type     | Constr. No. | Page |
|-----|---------------------------------------|--------|----------|-------------|------|
|     | <b>ValidFrom</b> <VldFr>              | [1..1] | DateTime |             | 151  |
|     | <b>ValidUntil</b> <VldUntil>          | [0..1] | DateTime |             | 151  |
|     | <b>New</b> <New>                      | [1..1] |          |             | 151  |
|     | <b>RestrictionType</b> <RstrctnTp>    | [1..1] |          |             | 152  |
| {Or | <b>Code</b> <Cd>                      | [1..1] | Text     |             | 152  |
| Or} | <b>Proprietary</b> <Prtry>            | [1..1] | ±        |             | 152  |
|     | <b>ValidFrom</b> <VldFr>              | [1..1] | DateTime |             | 152  |
|     | <b>ValidUntil</b> <VldUntil>          | [0..1] | DateTime |             | 153  |
| Or} | <b>Other</b> <Othr>                   | [1..*] |          |             | 153  |
|     | <b>FieldName</b> <FldNm>              | [1..1] | Text     |             | 153  |
|     | <b>OldFieldValue</b> <OdFldVal>       | [1..1] | Text     |             | 153  |
|     | <b>NewFieldValue</b> <NewFldVal>      | [1..1] | Text     |             | 153  |
|     | <b>OperationTimeStamp</b> <OprTmStmp> | [1..1] | DateTime |             | 153  |
|     | <b>InstructingUser</b> <InstgUsr>     | [1..1] | Text     |             | 153  |
|     | <b>ApprovingUser</b> <ApprvgUsr>      | [0..1] | Text     |             | 153  |
| Or} | <b>BusinessError</b> <BizErr>         | [1..*] |          |             | 154  |
|     | <b>Error</b> <Err>                    | [1..1] |          |             | 154  |
| {Or | <b>Code</b> <Cd>                      | [1..1] | CodeSet  |             | 154  |
| Or} | <b>Proprietary</b> <Prtry>            | [1..1] | Text     |             | 154  |
|     | <b>Description</b> <Desc>             | [0..1] | Text     |             | 154  |
|     | <b>DatePeriod</b> <DtPrd>             | [0..1] |          |             | 154  |
| {Or | <b>FromDate</b> <FrDt>                | [1..1] | Date     |             | 155  |
| Or  | <b>ToDate</b> <ToDt>                  | [1..1] | Date     |             | 155  |
| Or  | <b>FromDate</b> <FrToDt>              | [1..1] | ±        |             | 155  |
| Or} | <b>Date</b> <Dt>                      | [1..1] | Date     |             | 155  |
|     | <b>PartyIdentification</b> <PtyId>    | [1..1] | ±        |             | 155  |

#### 10.4.2.1.1 PartyAuditTrailOrError <PtyAudtTrlOrErr>

*Presence:* [1..1]

*Definition:* Identifies the returned party reference data or error information.

**PartyAuditTrailOrError <PtyAudtTrlOrErr>** contains one of the following  
**PartyAuditTrailOrError4Choice** elements

| Or  | MessageElement<XML Tag>                      | Mult.  | Type          | Constr. No. | Page |
|-----|--|--------|---------------|-------------|------|
| {Or | <b>AuditTrail</b> <AudtTrl>                  | [1..*] |               |             | 130  |
|     | <b>Record</b> <Rcrd>                         | [1..*] |               |             | 133  |
| {Or | <b>Address</b> <Adr>                         | [1..1] |               |             | 136  |
|     | <b>Old</b> <Od>                              | [1..1] | ±             |             | 136  |
|     | <b>New</b> <New>                             | [1..1] | ±             |             | 137  |
| Or  | <b>ContactDetails</b> <CtctDtls>             | [1..1] |               |             | 138  |
|     | <b>Old</b> <Od>                              | [1..1] | ±             |             | 138  |
|     | <b>New</b> <New>                             | [1..1] | ±             |             | 139  |
| Or  | <b>OpeningDate</b> <OpngDt>                  | [1..1] |               |             | 140  |
|     | <b>Old</b> <Od>                              | [1..1] | Date          |             | 140  |
|     | <b>New</b> <New>                             | [1..1] | Date          |             | 140  |
| Or  | <b>ClosingDate</b> <ClsgDt>                  | [1..1] |               |             | 141  |
|     | <b>Old</b> <Od>                              | [1..1] | Date          |             | 141  |
|     | <b>New</b> <New>                             | [1..1] | Date          |             | 141  |
| Or  | <b>Type</b> <Tp>                             | [1..1] |               |             | 141  |
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 141  |
| {Or | <b>Code</b> <Cd>                             | [1..1] | CodeSet       |             | 142  |
| Or} | <b>Proprietary</b> <Prtry>                   | [1..1] | Text          |             | 142  |
|     | <b>New</b> <New>                             | [1..1] |               |             | 142  |
| {Or | <b>Code</b> <Cd>                             | [1..1] | CodeSet       |             | 142  |
| Or} | <b>Proprietary</b> <Prtry>                   | [1..1] | Text          |             | 142  |
| Or  | <b>TechnicalAddress</b> <TechAdr>            | [1..1] |               |             | 142  |
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 143  |
| {Or | <b>BICFI</b> <BICFI>                         | [1..1] | IdentifierSet | C2          | 143  |
| Or} | <b>TechnicalAddress</b> <TechAdr>            | [1..1] | Text          |             | 143  |
|     | <b>New</b> <New>                             | [1..1] |               |             | 143  |
| {Or | <b>BICFI</b> <BICFI>                         | [1..1] | IdentifierSet | C2          | 144  |
| Or} | <b>TechnicalAddress</b> <TechAdr>            | [1..1] | Text          |             | 144  |
| Or  | <b>MarketSpecificAttribute</b> <MktSpfcAttr> | [1..1] |               |             | 144  |
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 144  |



| Or  | MessageElement<XML Tag>            | Mult.  | Type    | Constr. No. | Page |
|-----|------------------------------------|--------|---------|-------------|------|
|     | <b>Name</b> <Nm>                   | [1..1] | Text    |             | 145  |
|     | <b>Value</b> <Val>                 | [1..1] | Text    |             | 145  |
|     | <b>New</b> <New>                   | [1..1] |         |             | 145  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text    |             | 145  |
|     | <b>Value</b> <Val>                 | [1..1] | Text    |             | 145  |
| Or  | <b>Name</b> <Nm>                   | [1..1] |         |             | 145  |
|     | <b>Old</b> <Od>                    | [1..1] |         |             | 146  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date    |             | 146  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text    |             | 146  |
|     | <b>ShortName</b> <ShrtNm>          | [0..1] | Text    |             | 146  |
|     | <b>New</b> <New>                   | [1..1] |         |             | 146  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date    |             | 147  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text    |             | 147  |
|     | <b>ShortName</b> <ShrtNm>          | [0..1] | Text    |             | 147  |
| Or  | <b>ResidenceType</b> <ResTp>       | [1..1] |         |             | 147  |
|     | <b>Old</b> <Od>                    | [1..1] | CodeSet |             | 147  |
|     | <b>New</b> <New>                   | [1..1] | CodeSet |             | 148  |
| Or  | <b>LockStatus</b> <LckSts>         | [1..1] |         |             | 148  |
|     | <b>Old</b> <Od>                    | [1..1] |         |             | 148  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date    |             | 149  |
|     | <b>Status</b> <Sts>                | [1..1] | CodeSet |             | 149  |
|     | <b>LockReason</b> <LckRsn>         | [0..*] | Text    |             | 149  |
|     | <b>New</b> <New>                   | [1..1] |         |             | 149  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date    |             | 149  |
|     | <b>Status</b> <Sts>                | [1..1] | CodeSet |             | 149  |
|     | <b>LockReason</b> <LckRsn>         | [0..*] | Text    |             | 150  |
| Or  | <b>Restriction</b> <Rstrctn>       | [1..1] |         |             | 150  |
|     | <b>Old</b> <Od>                    | [1..1] |         |             | 150  |
|     | <b>RestrictionType</b> <RstrctnTp> | [1..1] |         |             | 151  |
| {Or | <b>Code</b> <Cd>                   | [1..1] | Text    |             | 151  |
| Or} | <b>Proprietary</b> <Prtry>         | [1..1] | ±       |             | 151  |

| Or  | MessageElement<XML Tag>               | Mult.  | Type     | Constr. No. | Page |
|-----|---------------------------------------|--------|----------|-------------|------|
|     | <b>ValidFrom</b> <VldFr>              | [1..1] | DateTime |             | 151  |
|     | <b>ValidUntil</b> <VldUntil>          | [0..1] | DateTime |             | 151  |
|     | <b>New</b> <New>                      | [1..1] |          |             | 151  |
|     | <b>RestrictionType</b> <RstrctnTp>    | [1..1] |          |             | 152  |
| {Or | <b>Code</b> <Cd>                      | [1..1] | Text     |             | 152  |
| Or} | <b>Proprietary</b> <Prtry>            | [1..1] | ±        |             | 152  |
|     | <b>ValidFrom</b> <VldFr>              | [1..1] | DateTime |             | 152  |
|     | <b>ValidUntil</b> <VldUntil>          | [0..1] | DateTime |             | 153  |
| Or} | <b>Other</b> <Othr>                   | [1..*] |          |             | 153  |
|     | <b>FieldName</b> <FldNm>              | [1..1] | Text     |             | 153  |
|     | <b>OldFieldValue</b> <OdFldVal>       | [1..1] | Text     |             | 153  |
|     | <b>NewFieldValue</b> <NewFldVal>      | [1..1] | Text     |             | 153  |
|     | <b>OperationTimeStamp</b> <OprTmStmp> | [1..1] | DateTime |             | 153  |
|     | <b>InstructingUser</b> <InstgUsr>     | [1..1] | Text     |             | 153  |
|     | <b>ApprovingUser</b> <ApprvgUsr>      | [0..1] | Text     |             | 153  |
| Or} | <b>BusinessError</b> <BizErr>         | [1..*] |          |             | 154  |
|     | <b>Error</b> <Err>                    | [1..1] |          |             | 154  |
| {Or | <b>Code</b> <Cd>                      | [1..1] | CodeSet  |             | 154  |
| Or} | <b>Proprietary</b> <Prtry>            | [1..1] | Text     |             | 154  |
|     | <b>Description</b> <Desc>             | [0..1] | Text     |             | 154  |

#### 10.4.2.1.1.1 AuditTrail <AudtTrl>

*Presence:* [1..\*]

*Definition:* Provides the details of the audit trail data reported.

**AuditTrail <AudtTrl>** contains the following **PartyAuditTrail2** elements

| Or  | MessageElement<XML Tag>                      | Mult.  | Type          | Constr. No. | Page |
|-----|--|--------|---------------|-------------|------|
|     | <b>Record</b> <Rcrd>                         | [1..*] |               |             | 133  |
| {Or | <b>Address</b> <Adr>                         | [1..1] |               |             | 136  |
|     | <b>Old</b> <Od>                              | [1..1] | ±             |             | 136  |
|     | <b>New</b> <New>                             | [1..1] | ±             |             | 137  |
| Or  | <b>ContactDetails</b> <CtctDtls>             | [1..1] |               |             | 138  |
|     | <b>Old</b> <Od>                              | [1..1] | ±             |             | 138  |
|     | <b>New</b> <New>                             | [1..1] | ±             |             | 139  |
| Or  | <b>OpeningDate</b> <OpngDt>                  | [1..1] |               |             | 140  |
|     | <b>Old</b> <Od>                              | [1..1] | Date          |             | 140  |
|     | <b>New</b> <New>                             | [1..1] | Date          |             | 140  |
| Or  | <b>ClosingDate</b> <ClsngDt>                 | [1..1] |               |             | 141  |
|     | <b>Old</b> <Od>                              | [1..1] | Date          |             | 141  |
|     | <b>New</b> <New>                             | [1..1] | Date          |             | 141  |
| Or  | <b>Type</b> <Tp>                             | [1..1] |               |             | 141  |
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 141  |
| {Or | <b>Code</b> <Cd>                             | [1..1] | CodeSet       |             | 142  |
| Or} | <b>Proprietary</b> <Prtry>                   | [1..1] | Text          |             | 142  |
|     | <b>New</b> <New>                             | [1..1] |               |             | 142  |
| {Or | <b>Code</b> <Cd>                             | [1..1] | CodeSet       |             | 142  |
| Or} | <b>Proprietary</b> <Prtry>                   | [1..1] | Text          |             | 142  |
| Or  | <b>TechnicalAddress</b> <TechAdr>            | [1..1] |               |             | 142  |
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 143  |
| {Or | <b>BICFI</b> <BICFI>                         | [1..1] | IdentifierSet | C2          | 143  |
| Or} | <b>TechnicalAddress</b> <TechAdr>            | [1..1] | Text          |             | 143  |
|     | <b>New</b> <New>                             | [1..1] |               |             | 143  |
| {Or | <b>BICFI</b> <BICFI>                         | [1..1] | IdentifierSet | C2          | 144  |
| Or} | <b>TechnicalAddress</b> <TechAdr>            | [1..1] | Text          |             | 144  |
| Or  | <b>MarketSpecificAttribute</b> <MktSpfcAttr> | [1..1] |               |             | 144  |
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 144  |
|     | <b>Name</b> <Nm>                             | [1..1] | Text          |             | 145  |
|     | <b>Value</b> <Val>                           | [1..1] | Text          |             | 145  |

| Or  | MessageElement<XML Tag>            | Mult.  | Type     | Constr. No. | Page |
|-----|------------------------------------|--------|----------|-------------|------|
|     | <b>New</b> <New>                   | [1..1] |          |             | 145  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text     |             | 145  |
|     | <b>Value</b> <Val>                 | [1..1] | Text     |             | 145  |
| Or  | <b>Name</b> <Nm>                   | [1..1] |          |             | 145  |
|     | <b>Old</b> <Od>                    | [1..1] |          |             | 146  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date     |             | 146  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text     |             | 146  |
|     | <b>ShortName</b> <ShrtNm>          | [0..1] | Text     |             | 146  |
|     | <b>New</b> <New>                   | [1..1] |          |             | 146  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date     |             | 147  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text     |             | 147  |
|     | <b>ShortName</b> <ShrtNm>          | [0..1] | Text     |             | 147  |
| Or  | <b>ResidenceType</b> <ResTp>       | [1..1] |          |             | 147  |
|     | <b>Old</b> <Od>                    | [1..1] | CodeSet  |             | 147  |
|     | <b>New</b> <New>                   | [1..1] | CodeSet  |             | 148  |
| Or  | <b>LockStatus</b> <LckSts>         | [1..1] |          |             | 148  |
|     | <b>Old</b> <Od>                    | [1..1] |          |             | 148  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date     |             | 149  |
|     | <b>Status</b> <Sts>                | [1..1] | CodeSet  |             | 149  |
|     | <b>LockReason</b> <LckRsn>         | [0..*] | Text     |             | 149  |
|     | <b>New</b> <New>                   | [1..1] |          |             | 149  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date     |             | 149  |
|     | <b>Status</b> <Sts>                | [1..1] | CodeSet  |             | 149  |
|     | <b>LockReason</b> <LckRsn>         | [0..*] | Text     |             | 150  |
| Or  | <b>Restriction</b> <Rstrctn>       | [1..1] |          |             | 150  |
|     | <b>Old</b> <Od>                    | [1..1] |          |             | 150  |
|     | <b>RestrictionType</b> <RstrctnTp> | [1..1] |          |             | 151  |
| {Or | <b>Code</b> <Cd>                   | [1..1] | Text     |             | 151  |
| Or} | <b>Proprietary</b> <Prtry>         | [1..1] | ±        |             | 151  |
|     | <b>ValidFrom</b> <VldFr>           | [1..1] | DateTime |             | 151  |
|     | <b>ValidUntil</b> <VldUntil>       | [0..1] | DateTime |             | 151  |

| Or  | MessageElement<XML Tag>               | Mult.  | Type     | Constr. No. | Page |
|-----|---------------------------------------|--------|----------|-------------|------|
|     | <b>New</b> <New>                      | [1..1] |          |             | 151  |
|     | <b>RestrictionType</b> <RstrctnTp>    | [1..1] |          |             | 152  |
| {Or | <b>Code</b> <Cd>                      | [1..1] | Text     |             | 152  |
| Or} | <b>Proprietary</b> <Prtry>            | [1..1] | ±        |             | 152  |
|     | <b>ValidFrom</b> <VldFr>              | [1..1] | DateTime |             | 152  |
|     | <b>ValidUntil</b> <VldUntil>          | [0..1] | DateTime |             | 153  |
| Or} | <b>Other</b> <Othr>                   | [1..*] |          |             | 153  |
|     | <b>FieldName</b> <FldNm>              | [1..1] | Text     |             | 153  |
|     | <b>OldFieldValue</b> <OdFldVal>       | [1..1] | Text     |             | 153  |
|     | <b>NewFieldValue</b> <NewFldVal>      | [1..1] | Text     |             | 153  |
|     | <b>OperationTimeStamp</b> <OprTmStmp> | [1..1] | DateTime |             | 153  |
|     | <b>InstructingUser</b> <InstgUsr>     | [1..1] | Text     |             | 153  |
|     | <b>ApprovingUser</b> <ApprvgUsr>      | [0..1] | Text     |             | 153  |

#### 10.4.2.1.1.1.1 Record <Rcrd>

*Presence:* [1..\*]

*Definition:* Individual record of the party audit trail.

**Record <Rcrd>** contains one of the following **UpdateLogPartyRecord2Choice** elements

| Or  | MessageElement<XML Tag>                      | Mult.  | Type          | Constr. No. | Page |
|-----|--|--------|---------------|-------------|------|
| {Or | <b>Address</b> <Adr>                         | [1..1] |               |             | 136  |
|     | <b>Old</b> <Od>                              | [1..1] | ±             |             | 136  |
|     | <b>New</b> <New>                             | [1..1] | ±             |             | 137  |
| Or  | <b>ContactDetails</b> <CtctDtls>             | [1..1] |               |             | 138  |
|     | <b>Old</b> <Od>                              | [1..1] | ±             |             | 138  |
|     | <b>New</b> <New>                             | [1..1] | ±             |             | 139  |
| Or  | <b>OpeningDate</b> <OpngDt>                  | [1..1] |               |             | 140  |
|     | <b>Old</b> <Od>                              | [1..1] | Date          |             | 140  |
|     | <b>New</b> <New>                             | [1..1] | Date          |             | 140  |
| Or  | <b>ClosingDate</b> <ClsdDt>                  | [1..1] |               |             | 141  |
|     | <b>Old</b> <Od>                              | [1..1] | Date          |             | 141  |
|     | <b>New</b> <New>                             | [1..1] | Date          |             | 141  |
| Or  | <b>Type</b> <Tp>                             | [1..1] |               |             | 141  |
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 141  |
| {Or | <b>Code</b> <Cd>                             | [1..1] | CodeSet       |             | 142  |
| Or} | <b>Proprietary</b> <Prtry>                   | [1..1] | Text          |             | 142  |
|     | <b>New</b> <New>                             | [1..1] |               |             | 142  |
| {Or | <b>Code</b> <Cd>                             | [1..1] | CodeSet       |             | 142  |
| Or} | <b>Proprietary</b> <Prtry>                   | [1..1] | Text          |             | 142  |
| Or  | <b>TechnicalAddress</b> <TechAdr>            | [1..1] |               |             | 142  |
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 143  |
| {Or | <b>BICFI</b> <BICFI>                         | [1..1] | IdentifierSet | C2          | 143  |
| Or} | <b>TechnicalAddress</b> <TechAdr>            | [1..1] | Text          |             | 143  |
|     | <b>New</b> <New>                             | [1..1] |               |             | 143  |
| {Or | <b>BICFI</b> <BICFI>                         | [1..1] | IdentifierSet | C2          | 144  |
| Or} | <b>TechnicalAddress</b> <TechAdr>            | [1..1] | Text          |             | 144  |
| Or  | <b>MarketSpecificAttribute</b> <MktSpfcAttr> | [1..1] |               |             | 144  |
|     | <b>Old</b> <Od>                              | [1..1] |               |             | 144  |
|     | <b>Name</b> <Nm>                             | [1..1] | Text          |             | 145  |
|     | <b>Value</b> <Val>                           | [1..1] | Text          |             | 145  |
|     | <b>New</b> <New>                             | [1..1] |               |             | 145  |

| Or  | MessageElement<XML Tag>            | Mult.  | Type     | Constr. No. | Page |
|-----|------------------------------------|--------|----------|-------------|------|
|     | <b>Name</b> <Nm>                   | [1..1] | Text     |             | 145  |
|     | <b>Value</b> <Val>                 | [1..1] | Text     |             | 145  |
| Or  | <b>Name</b> <Nm>                   | [1..1] |          |             | 145  |
|     | <b>Old</b> <Od>                    | [1..1] |          |             | 146  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date     |             | 146  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text     |             | 146  |
|     | <b>ShortName</b> <ShrtNm>          | [0..1] | Text     |             | 146  |
|     | <b>New</b> <New>                   | [1..1] |          |             | 146  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date     |             | 147  |
|     | <b>Name</b> <Nm>                   | [1..1] | Text     |             | 147  |
|     | <b>ShortName</b> <ShrtNm>          | [0..1] | Text     |             | 147  |
| Or  | <b>ResidenceType</b> <ResTp>       | [1..1] |          |             | 147  |
|     | <b>Old</b> <Od>                    | [1..1] | CodeSet  |             | 147  |
|     | <b>New</b> <New>                   | [1..1] | CodeSet  |             | 148  |
| Or  | <b>LockStatus</b> <LckSts>         | [1..1] |          |             | 148  |
|     | <b>Old</b> <Od>                    | [1..1] |          |             | 148  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date     |             | 149  |
|     | <b>Status</b> <Sts>                | [1..1] | CodeSet  |             | 149  |
|     | <b>LockReason</b> <LckRsn>         | [0..*] | Text     |             | 149  |
|     | <b>New</b> <New>                   | [1..1] |          |             | 149  |
|     | <b>ValidFrom</b> <VldFr>           | [0..1] | Date     |             | 149  |
|     | <b>Status</b> <Sts>                | [1..1] | CodeSet  |             | 149  |
|     | <b>LockReason</b> <LckRsn>         | [0..*] | Text     |             | 150  |
| Or  | <b>Restriction</b> <Rstrctn>       | [1..1] |          |             | 150  |
|     | <b>Old</b> <Od>                    | [1..1] |          |             | 150  |
|     | <b>RestrictionType</b> <RstrctnTp> | [1..1] |          |             | 151  |
| {Or | <b>Code</b> <Cd>                   | [1..1] | Text     |             | 151  |
| Or} | <b>Proprietary</b> <Prtry>         | [1..1] | ±        |             | 151  |
|     | <b>ValidFrom</b> <VldFr>           | [1..1] | DateTime |             | 151  |
|     | <b>ValidUntil</b> <VldUntil>       | [0..1] | DateTime |             | 151  |
|     | <b>New</b> <New>                   | [1..1] |          |             | 151  |

| Or  | MessageElement<XML Tag>            | Mult.  | Type     | Constr. No. | Page |
|-----|------------------------------------|--------|----------|-------------|------|
|     | <b>RestrictionType</b> <RstrctnTp> | [1..1] |          |             | 152  |
| {Or | <b>Code</b> <Cd>                   | [1..1] | Text     |             | 152  |
| Or} | <b>Proprietary</b> <Prtry>         | [1..1] | ±        |             | 152  |
|     | <b>ValidFrom</b> <VldFr>           | [1..1] | DateTime |             | 152  |
|     | <b>ValidUntil</b> <VldUntil>       | [0..1] | DateTime |             | 153  |
| Or} | <b>Other</b> <Othr>                | [1..*] |          |             | 153  |
|     | <b>FieldName</b> <FldNm>           | [1..1] | Text     |             | 153  |
|     | <b>OldFieldValue</b> <OdFldVal>    | [1..1] | Text     |             | 153  |
|     | <b>NewFieldValue</b> <NewFldVal>   | [1..1] | Text     |             | 153  |

#### 10.4.2.1.1.1.1.1 Address <Adr>

*Presence:* [1..1]

*Definition:* Information that locates and identifies a specific address.

**Address <Adr>** contains the following **UpdateLogAddress2** elements

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | <b>Old</b> <Od>         | [1..1] | ±    |             | 136  |
|    | <b>New</b> <New>        | [1..1] | ±    |             | 137  |

#### 10.4.2.1.1.1.1.1.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before change



**Old <Od>** contains the following elements (see "PostalAddress28" on page 175 for details)

| Or  | MessageElement<XML Tag>          | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------------|--------|---------|-------------|------|
|     | AddressType <AdrTp>              | [0..1] |         |             | 175  |
| {Or | Code <Cd>                        | [1..1] | CodeSet |             | 176  |
| Or} | Proprietary <Prtry>              | [1..1] | ±       |             | 176  |
|     | CareOf <CareOf>                  | [0..1] | Text    |             | 176  |
|     | Department <Dept>                | [0..1] | Text    |             | 176  |
|     | SubDepartment <SubDept>          | [0..1] | Text    |             | 176  |
|     | StreetName <StrtNm>              | [0..1] | Text    |             | 177  |
|     | BuildingNumber <BldgNb>          | [0..1] | Text    |             | 177  |
|     | BuildingName <BldgNm>            | [0..1] | Text    |             | 177  |
|     | Floor <Flr>                      | [0..1] | Text    |             | 177  |
|     | UnitNumber <UnitNb>              | [0..1] | Text    |             | 177  |
|     | PostBox <PstBx>                  | [0..1] | Text    |             | 177  |
|     | Room <Room>                      | [0..1] | Text    |             | 177  |
|     | PostCode <PstCd>                 | [0..1] | Text    |             | 177  |
|     | TownName <TwnNm>                 | [0..1] | Text    |             | 178  |
|     | TownLocationName <TwnLctnNm>     | [0..1] | Text    |             | 178  |
|     | DistrictName <DstrctNm>          | [0..1] | Text    |             | 178  |
|     | CountrySubDivision <CtrySubDvsn> | [0..1] | Text    |             | 178  |
|     | Country <Ctry>                   | [0..1] | CodeSet | C2          | 178  |
|     | AddressLine <AdrLine>            | [0..7] | Text    |             | 178  |
|     | ValidFrom <VldFr>                | [0..1] | Date    |             | 178  |

#### 10.4.2.1.1.1.1.2 New <New>

*Presence:* [1..1]

*Definition:* New value after change

**New <New>** contains the following elements (see "PostalAddress28" on page 175 for details)

| Or  | MessageElement<XML Tag>          | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------------|--------|---------|-------------|------|
|     | AddressType <AdrTp>              | [0..1] |         |             | 175  |
| {Or | Code <Cd>                        | [1..1] | CodeSet |             | 176  |
| Or} | Proprietary <Prtry>              | [1..1] | ±       |             | 176  |
|     | CareOf <CareOf>                  | [0..1] | Text    |             | 176  |
|     | Department <Dept>                | [0..1] | Text    |             | 176  |
|     | SubDepartment <SubDept>          | [0..1] | Text    |             | 176  |
|     | StreetName <StrtNm>              | [0..1] | Text    |             | 177  |
|     | BuildingNumber <BldgNb>          | [0..1] | Text    |             | 177  |
|     | BuildingName <BldgNm>            | [0..1] | Text    |             | 177  |
|     | Floor <Flr>                      | [0..1] | Text    |             | 177  |
|     | UnitNumber <UnitNb>              | [0..1] | Text    |             | 177  |
|     | PostBox <PstBx>                  | [0..1] | Text    |             | 177  |
|     | Room <Room>                      | [0..1] | Text    |             | 177  |
|     | PostCode <PstCd>                 | [0..1] | Text    |             | 177  |
|     | TownName <TwnNm>                 | [0..1] | Text    |             | 178  |
|     | TownLocationName <TwnLctnNm>     | [0..1] | Text    |             | 178  |
|     | DistrictName <DstrctNm>          | [0..1] | Text    |             | 178  |
|     | CountrySubDivision <CtrySubDvsn> | [0..1] | Text    |             | 178  |
|     | Country <Ctry>                   | [0..1] | CodeSet | C2          | 178  |
|     | AddressLine <AdrLine>            | [0..7] | Text    |             | 178  |
|     | ValidFrom <VldFr>                | [0..1] | Date    |             | 178  |

#### 10.4.2.1.1.1.2 ContactDetails <CtctDtls>

*Presence:* [1..1]

*Definition:* Specifies the contact details of the party.

**ContactDetails <CtctDtls>** contains the following **UpdateLogContact2** elements

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | Old <Od>                | [1..1] | ±    |             | 138  |
|    | New <New>               | [1..1] | ±    |             | 139  |

#### 10.4.2.1.1.1.2.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before change

**Old <Od>** contains the following elements (see "Contact14" on page 170 for details)

| Or | MessageElement<XML Tag>     | Mult.  | Type    | Constr. No. | Page |
|----|-----------------------------|--------|---------|-------------|------|
|    | NamePrefix <NmPrfx>         | [0..1] | CodeSet |             | 171  |
|    | Name <Nm>                   | [0..1] | Text    |             | 171  |
|    | PhoneNumber <PhneNb>        | [0..1] | Text    |             | 172  |
|    | MobileNumber <MobNb>        | [0..1] | Text    |             | 172  |
|    | FaxNumber <FaxNb>           | [0..1] | Text    |             | 172  |
|    | URLAddress <URLAdr>         | [0..1] | Text    |             | 172  |
|    | EmailAddress <EmailAdr>     | [0..1] | Text    |             | 172  |
|    | EmailPurpose <EmailPurp>    | [0..1] | Text    |             | 172  |
|    | JobTitle <JobTitl>          | [0..1] | Text    |             | 172  |
|    | Responsibility <Rspnsblty>  | [0..1] | Text    |             | 172  |
|    | Department <Dept>           | [0..1] | Text    |             | 173  |
|    | Other <Othr>                | [0..*] |         |             | 173  |
|    | ChannelType <ChanlTp>       | [1..1] | Text    |             | 173  |
|    | Identification <Id>         | [0..1] | Text    |             | 173  |
|    | PreferredMethod <PrefrdMtd> | [0..1] | CodeSet |             | 173  |
|    | ValidFrom <VldFr>           | [0..1] | Date    |             | 174  |
|    | ValidTo <VldTo>             | [0..1] | Date    |             | 174  |

#### 10.4.2.1.1.1.2.2 New <New>

*Presence:* [1..1]

*Definition:* New value after change

**New <New>** contains the following elements (see "Contact14" on page 170 for details)

| Or | MessageElement<XML Tag>     | Mult.  | Type    | Constr. No. | Page |
|----|-----------------------------|--------|---------|-------------|------|
|    | NamePrefix <NmPrfx>         | [0..1] | CodeSet |             | 171  |
|    | Name <Nm>                   | [0..1] | Text    |             | 171  |
|    | PhoneNumber <PhneNb>        | [0..1] | Text    |             | 172  |
|    | MobileNumber <MobNb>        | [0..1] | Text    |             | 172  |
|    | FaxNumber <FaxNb>           | [0..1] | Text    |             | 172  |
|    | URLAddress <URLAdr>         | [0..1] | Text    |             | 172  |
|    | EmailAddress <EmailAdr>     | [0..1] | Text    |             | 172  |
|    | EmailPurpose <EmailPurp>    | [0..1] | Text    |             | 172  |
|    | JobTitle <JobTitl>          | [0..1] | Text    |             | 172  |
|    | Responsibility <Rspnsblty>  | [0..1] | Text    |             | 172  |
|    | Department <Dept>           | [0..1] | Text    |             | 173  |
|    | Other <Othr>                | [0..*] |         |             | 173  |
|    | ChannelType <ChanlTp>       | [1..1] | Text    |             | 173  |
|    | Identification <Id>         | [0..1] | Text    |             | 173  |
|    | PreferredMethod <PrefrdMtd> | [0..1] | CodeSet |             | 173  |
|    | ValidFrom <VldFr>           | [0..1] | Date    |             | 174  |
|    | ValidTo <VldTo>             | [0..1] | Date    |             | 174  |

#### 10.4.2.1.1.1.1.3 OpeningDate <OpngDt>

*Presence:* [1..1]

*Definition:* Specifies the opening date of the party.

**OpeningDate <OpngDt>** contains the following **UpdateLogDate1** elements

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | <b>Old</b> <Od>         | [1..1] | Date |             | 140  |
|    | <b>New</b> <New>        | [1..1] | Date |             | 140  |

##### 10.4.2.1.1.1.1.3.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

*Datatype:* "ISODate" on page 184

##### 10.4.2.1.1.1.1.3.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

*Datatype:* "ISODate" on page 184

#### 10.4.2.1.1.1.1.4 ClosingDate <ClsgDt>

*Presence:* [1..1]

*Definition:* Specifies the closing date of the party.

**ClosingDate <ClsgDt>** contains the following **UpdateLogDate1** elements

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | <b>Old &lt;Od&gt;</b>   | [1..1] | Date |             | 141  |
|    | <b>New &lt;New&gt;</b>  | [1..1] | Date |             | 141  |

##### 10.4.2.1.1.1.1.4.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

*Datatype:* "ISODate" on page 184

##### 10.4.2.1.1.1.1.4.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

*Datatype:* "ISODate" on page 184

##### 10.4.2.1.1.1.1.5 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies the type classification of the party.

**Type <Tp>** contains the following **UpdateLogSystemPartyType1** elements

| Or  | MessageElement<XML Tag>          | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------------|--------|---------|-------------|------|
|     | <b>Old &lt;Od&gt;</b>            | [1..1] |         |             | 141  |
| {Or | <b>Code &lt;Cd&gt;</b>           | [1..1] | CodeSet |             | 142  |
| Or} | <b>Proprietary &lt;Prtry&gt;</b> | [1..1] | Text    |             | 142  |
|     | <b>New &lt;New&gt;</b>           | [1..1] |         |             | 142  |
| {Or | <b>Code &lt;Cd&gt;</b>           | [1..1] | CodeSet |             | 142  |
| Or} | <b>Proprietary &lt;Prtry&gt;</b> | [1..1] | Text    |             | 142  |

##### 10.4.2.1.1.1.1.5.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

**Old <Od>** contains one of the following **SystemPartyType1Choice** elements

| Or  | MessageElement<XML Tag>    | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------|--------|---------|-------------|------|
| {Or | <b>Code</b> <Cd>           | [1..1] | CodeSet |             | 142  |
| Or} | <b>Proprietary</b> <Prtry> | [1..1] | Text    |             | 142  |

#### 10.4.2.1.1.1.5.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* System party type, in a coded format.

*Datatype:* "ExternalSystemPartyType1Code" on page 182

#### 10.4.2.1.1.1.5.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* System party type, in a proprietary format.

*Datatype:* "Max35Text" on page 188

#### 10.4.2.1.1.1.5.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains one of the following **SystemPartyType1Choice** elements

| Or  | MessageElement<XML Tag>    | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------|--------|---------|-------------|------|
| {Or | <b>Code</b> <Cd>           | [1..1] | CodeSet |             | 142  |
| Or} | <b>Proprietary</b> <Prtry> | [1..1] | Text    |             | 142  |

#### 10.4.2.1.1.1.5.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* System party type, in a coded format.

*Datatype:* "ExternalSystemPartyType1Code" on page 182

#### 10.4.2.1.1.1.5.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* System party type, in a proprietary format.

*Datatype:* "Max35Text" on page 188

#### 10.4.2.1.1.1.6 TechnicalAddress <TechAdr>

*Presence:* [1..1]

*Definition:* Unique technical address to unambiguously identify a party for receiving messages from the executing system.

**TechnicalAddress <TechAdr>** contains the following **UpdateLogTechnicalAddress1** elements

| Or  | MessageElement<XML Tag>                 | Mult.  | Type          | Constr. No. | Page |
|-----|---|--------|---------------|-------------|------|
|     | <b>Old &lt;Od&gt;</b>                   | [1..1] |               |             | 143  |
| {Or | <b>BICFI &lt;BICFI&gt;</b>              | [1..1] | IdentifierSet | C2          | 143  |
| Or} | <b>TechnicalAddress &lt;TechAdr&gt;</b> | [1..1] | Text          |             | 143  |
|     | <b>New &lt;New&gt;</b>                  | [1..1] |               |             | 143  |
| {Or | <b>BICFI &lt;BICFI&gt;</b>              | [1..1] | IdentifierSet | C2          | 144  |
| Or} | <b>TechnicalAddress &lt;TechAdr&gt;</b> | [1..1] | Text          |             | 144  |

#### 10.4.2.1.1.1.6.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

**Old <Od>** contains one of the following **TechnicalIdentification2Choice** elements

| Or  | MessageElement<XML Tag>                 | Mult.  | Type          | Constr. No. | Page |
|-----|---|--------|---------------|-------------|------|
| {Or | <b>BICFI &lt;BICFI&gt;</b>              | [1..1] | IdentifierSet | C2          | 143  |
| Or} | <b>TechnicalAddress &lt;TechAdr&gt;</b> | [1..1] | Text          |             | 143  |

#### 10.4.2.1.1.1.6.1.1 BICFI <BICFI>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined as a financial institution business identifier code.

*Impacted by:* C2 "BICFI"

*Datatype:* "BICFIDec2014Identifier" on page 185

##### Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

#### 10.4.2.1.1.1.6.1.2 TechnicalAddress <TechAdr>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined in a free text format.

*Datatype:* "Max256Text" on page 187

#### 10.4.2.1.1.1.6.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains one of the following **TechnicalIdentification2Choice** elements

| Or  | MessageElement<XML Tag>           | Mult.  | Type          | Constr. No. | Page |
|-----|-----------------------------------|--------|---------------|-------------|------|
| {Or | <b>BICFI</b> <BICFI>              | [1..1] | IdentifierSet | C2          | 144  |
| Or} | <b>TechnicalAddress</b> <TechAdr> | [1..1] | Text          |             | 144  |

#### 10.4.2.1.1.1.6.2.1 BICFI <BICFI>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined as a financial institution business identifier code.

*Impacted by:* C2 "BICFI"

*Datatype:* "BICFIDec2014Identifier" on page 185

##### Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

#### 10.4.2.1.1.1.6.2.2 TechnicalAddress <TechAdr>

*Presence:* [1..1]

*Definition:* Technical address of the party is defined in a free text format.

*Datatype:* "Max256Text" on page 187

#### 10.4.2.1.1.1.7 MarketSpecificAttribute <MktSpcfcAttr>

*Presence:* [1..1]

*Definition:* Additional attributes defined by a central security depository for a party.

**MarketSpecificAttribute <MktSpcfcAttr>** contains the following **UpdateLogMarketSpecificAttribute1** elements

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | <b>Old</b> <Od>         | [1..1] |      |             | 144  |
|    | <b>Name</b> <Nm>        | [1..1] | Text |             | 145  |
|    | <b>Value</b> <Val>      | [1..1] | Text |             | 145  |
|    | <b>New</b> <New>        | [1..1] |      |             | 145  |
|    | <b>Name</b> <Nm>        | [1..1] | Text |             | 145  |
|    | <b>Value</b> <Val>      | [1..1] | Text |             | 145  |

#### 10.4.2.1.1.1.7.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.



**Old <Od>** contains the following **MarketSpecificAttribute1** elements

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | <b>Name</b> <Nm>        | [1..1] | Text |             | 145  |
|    | <b>Value</b> <Val>      | [1..1] | Text |             | 145  |

#### 10.4.2.1.1.1.7.1.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Specifies the name of the market-specific attribute.

*Datatype:* "Max35Text" on page 188

#### 10.4.2.1.1.1.7.1.2 Value <Val>

*Presence:* [1..1]

*Definition:* Specifies the value of the market-specific attribute.

*Datatype:* "Max350Text" on page 187

#### 10.4.2.1.1.1.7.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains the following **MarketSpecificAttribute1** elements

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | <b>Name</b> <Nm>        | [1..1] | Text |             | 145  |
|    | <b>Value</b> <Val>      | [1..1] | Text |             | 145  |

#### 10.4.2.1.1.1.7.2.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Specifies the name of the market-specific attribute.

*Datatype:* "Max35Text" on page 188

#### 10.4.2.1.1.1.7.2.2 Value <Val>

*Presence:* [1..1]

*Definition:* Specifies the value of the market-specific attribute.

*Datatype:* "Max350Text" on page 187

#### 10.4.2.1.1.1.8 Name <Nm>

*Presence:* [1..1]

*Definition:* Specifies the name by which a party is known and which is usually used to identify that party.

**Name <Nm>** contains the following **UpdateLogPartyName1** elements

| Or | MessageElement<XML Tag>         | Mult.  | Type | Constr. No. | Page |
|----|---------------------------------|--------|------|-------------|------|
|    | <b>Old &lt;Od&gt;</b>           | [1..1] |      |             | 146  |
|    | <b>ValidFrom &lt;VldFr&gt;</b>  | [0..1] | Date |             | 146  |
|    | <b>Name &lt;Nm&gt;</b>          | [1..1] | Text |             | 146  |
|    | <b>ShortName &lt;ShrtNm&gt;</b> | [0..1] | Text |             | 146  |
|    | <b>New &lt;New&gt;</b>          | [1..1] |      |             | 146  |
|    | <b>ValidFrom &lt;VldFr&gt;</b>  | [0..1] | Date |             | 147  |
|    | <b>Name &lt;Nm&gt;</b>          | [1..1] | Text |             | 147  |
|    | <b>ShortName &lt;ShrtNm&gt;</b> | [0..1] | Text |             | 147  |

#### 10.4.2.1.1.1.8.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

**Old <Od>** contains the following **PartyName4** elements

| Or | MessageElement<XML Tag>         | Mult.  | Type | Constr. No. | Page |
|----|---------------------------------|--------|------|-------------|------|
|    | <b>ValidFrom &lt;VldFr&gt;</b>  | [0..1] | Date |             | 146  |
|    | <b>Name &lt;Nm&gt;</b>          | [1..1] | Text |             | 146  |
|    | <b>ShortName &lt;ShrtNm&gt;</b> | [0..1] | Text |             | 146  |

#### 10.4.2.1.1.1.8.1.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the party name is valid.

*Datatype:* "ISODate" on page 184

#### 10.4.2.1.1.1.8.1.2 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 187

#### 10.4.2.1.1.1.8.1.3 ShortName <ShrtNm>

*Presence:* [0..1]

*Definition:* Specifies the short name of the organisation.

*Datatype:* "Max35Text" on page 188

#### 10.4.2.1.1.1.8.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains the following **PartyName4** elements

| Or | MessageElement<XML Tag>   | Mult.  | Type | Constr. No. | Page |
|----|---------------------------|--------|------|-------------|------|
|    | <b>ValidFrom</b> <VldFr>  | [0..1] | Date |             | 147  |
|    | <b>Name</b> <Nm>          | [1..1] | Text |             | 147  |
|    | <b>ShortName</b> <ShrtNm> | [0..1] | Text |             | 147  |

#### 10.4.2.1.1.1.8.2.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the party name is valid.

*Datatype:* "ISODate" on page 184

#### 10.4.2.1.1.1.8.2.2 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 187

#### 10.4.2.1.1.1.8.2.3 ShortName <ShrtNm>

*Presence:* [0..1]

*Definition:* Specifies the short name of the organisation.

*Datatype:* "Max35Text" on page 188

#### 10.4.2.1.1.1.9 ResidenceType <ResTp>

*Presence:* [1..1]

*Definition:* Specifies the type of residence where the party has its permanent home or principal establishment.

**ResidenceType <ResTp>** contains the following **UpdateLogResidenceType1** elements

| Or | MessageElement<XML Tag> | Mult.  | Type    | Constr. No. | Page |
|----|-------------------------|--------|---------|-------------|------|
|    | <b>Old</b> <Od>         | [1..1] | CodeSet |             | 147  |
|    | <b>New</b> <New>        | [1..1] | CodeSet |             | 148  |

#### 10.4.2.1.1.1.9.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

*Datatype:* "ResidenceType1Code" on page 184

| CodeName | Name     | Definition             |
|----------|----------|------------------------|
| DMST     | Domestic | Residence is domestic. |
| FRGN     | Foreign  | Residence is foreign.  |

| CodeName | Name  | Definition         |
|----------|-------|--------------------|
| MXED     | Mixed | Residence is mixed |

#### 10.4.2.1.1.1.9.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

*Datatype:* "ResidenceType1Code" on page 184

| CodeName | Name     | Definition             |
|----------|----------|------------------------|
| DMST     | Domestic | Residence is domestic. |
| FRGN     | Foreign  | Residence is foreign.  |
| MXED     | Mixed    | Residence is mixed     |

#### 10.4.2.1.1.1.10 LockStatus <LckSts>

*Presence:* [1..1]

*Definition:* Specifies whether the party is locked or not, and the reason for this status, when required.

**LockStatus <LckSts>** contains the following **UpdateLogPartyLockStatus1** elements

| Or | MessageElement<XML Tag>          | Mult.  | Type    | Constr. No. | Page |
|----|----------------------------------|--------|---------|-------------|------|
|    | <b>Old &lt;Od&gt;</b>            | [1..1] |         |             | 148  |
|    | <b>ValidFrom &lt;VldFr&gt;</b>   | [0..1] | Date    |             | 149  |
|    | <b>Status &lt;Sts&gt;</b>        | [1..1] | CodeSet |             | 149  |
|    | <b>LockReason &lt;LckRsn&gt;</b> | [0..*] | Text    |             | 149  |
|    | <b>New &lt;New&gt;</b>           | [1..1] |         |             | 149  |
|    | <b>ValidFrom &lt;VldFr&gt;</b>   | [0..1] | Date    |             | 149  |
|    | <b>Status &lt;Sts&gt;</b>        | [1..1] | CodeSet |             | 149  |
|    | <b>LockReason &lt;LckRsn&gt;</b> | [0..*] | Text    |             | 150  |

#### 10.4.2.1.1.1.10.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

**Old <Od>** contains the following **PartyLockStatus1** elements

| Or | MessageElement<XML Tag>          | Mult.  | Type    | Constr. No. | Page |
|----|----------------------------------|--------|---------|-------------|------|
|    | <b>ValidFrom &lt;VldFr&gt;</b>   | [0..1] | Date    |             | 149  |
|    | <b>Status &lt;Sts&gt;</b>        | [1..1] | CodeSet |             | 149  |
|    | <b>LockReason &lt;LckRsn&gt;</b> | [0..*] | Text    |             | 149  |

#### 10.4.2.1.1.1.1.10.1.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the lock status is valid.

*Datatype:* "ISODate" on page 184

#### 10.4.2.1.1.1.1.10.1.2 Status <Sts>

*Presence:* [1..1]

*Definition:* Lock status of the party.

*Datatype:* "LockStatus1Code" on page 182

| CodeName | Name     | Definition                            |
|----------|----------|---------------------------------------|
| LOCK     | Locked   | Party has been locked for operations. |
| ULCK     | Unlocked | Party is ready for operations.        |

#### 10.4.2.1.1.1.1.10.1.3 LockReason <LckRsn>

*Presence:* [0..\*]

*Definition:* Specifies the underlying reason for the locking of the party.

*Datatype:* "Max35Text" on page 188

#### 10.4.2.1.1.1.1.10.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains the following **PartyLockStatus1** elements

| Or | MessageElement<XML Tag>    | Mult.  | Type    | Constr. No. | Page |
|----|----------------------------|--------|---------|-------------|------|
|    | <b>ValidFrom</b> <VldFr>   | [0..1] | Date    |             | 149  |
|    | <b>Status</b> <Sts>        | [1..1] | CodeSet |             | 149  |
|    | <b>LockReason</b> <LckRsn> | [0..*] | Text    |             | 150  |

#### 10.4.2.1.1.1.1.10.2.1 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the lock status is valid.

*Datatype:* "ISODate" on page 184

#### 10.4.2.1.1.1.1.10.2.2 Status <Sts>

*Presence:* [1..1]

*Definition:* Lock status of the party.

*Datatype:* "LockStatus1Code" on page 182

| CodeName | Name     | Definition                            |
|----------|----------|---------------------------------------|
| LOCK     | Locked   | Party has been locked for operations. |
| ULCK     | Unlocked | Party is ready for operations.        |

#### 10.4.2.1.1.1.10.2.3 LockReason <LckRsn>

*Presence:* [0..\*]

*Definition:* Specifies the underlying reason for the locking of the party.

*Datatype:* "Max35Text" on page 188

#### 10.4.2.1.1.1.11 Restriction <Rstrctn>

*Presence:* [1..1]

*Definition:* Defines the specific processing characteristics for a party to ensure configurability of specific requirements, as prescribed by national legal and regulatory requirements and practices.

**Restriction <Rstrctn>** contains the following **UpdateLogRestriction1** elements

| Or  | MessageElement<XML Tag>            | Mult.  | Type     | Constr. No. | Page |
|-----|------------------------------------|--------|----------|-------------|------|
|     | <b>Old</b> <Od>                    | [1..1] |          |             | 150  |
|     | <b>RestrictionType</b> <RstrctnTp> | [1..1] |          |             | 151  |
| {Or | <b>Code</b> <Cd>                   | [1..1] | Text     |             | 151  |
| Or} | <b>Proprietary</b> <Prtry>         | [1..1] | ±        |             | 151  |
|     | <b>ValidFrom</b> <VldFr>           | [1..1] | DateTime |             | 151  |
|     | <b>ValidUntil</b> <VldUntil>       | [0..1] | DateTime |             | 151  |
|     | <b>New</b> <New>                   | [1..1] |          |             | 151  |
|     | <b>RestrictionType</b> <RstrctnTp> | [1..1] |          |             | 152  |
| {Or | <b>Code</b> <Cd>                   | [1..1] | Text     |             | 152  |
| Or} | <b>Proprietary</b> <Prtry>         | [1..1] | ±        |             | 152  |
|     | <b>ValidFrom</b> <VldFr>           | [1..1] | DateTime |             | 152  |
|     | <b>ValidUntil</b> <VldUntil>       | [0..1] | DateTime |             | 153  |

#### 10.4.2.1.1.1.11.1 Old <Od>

*Presence:* [1..1]

*Definition:* Old value before the update.

**Old <Od>** contains the following **Restriction1** elements

| Or  | MessageElement<XML Tag>            | Mult.  | Type     | Constr. No. | Page |
|-----|------------------------------------|--------|----------|-------------|------|
|     | <b>RestrictionType</b> <RstrctnTp> | [1..1] |          |             | 151  |
| {Or | <b>Code</b> <Cd>                   | [1..1] | Text     |             | 151  |
| Or} | <b>Proprietary</b> <Prtry>         | [1..1] | ±        |             | 151  |
|     | <b>ValidFrom</b> <VldFr>           | [1..1] | DateTime |             | 151  |
|     | <b>ValidUntil</b> <VldUntil>       | [0..1] | DateTime |             | 151  |

#### 10.4.2.1.1.1.1.1.1.1.1.1 RestrictionType <RstrctnTp>

*Presence:* [1..1]

*Definition:* Type of the restriction.

**RestrictionType <RstrctnTp>** contains one of the following **CodeOrProprietary1Choice** elements

| Or  | MessageElement<XML Tag>    | Mult.  | Type | Constr. No. | Page |
|-----|----------------------------|--------|------|-------------|------|
| {Or | <b>Code</b> <Cd>           | [1..1] | Text |             | 151  |
| Or} | <b>Proprietary</b> <Prtry> | [1..1] | ±    |             | 151  |

#### 10.4.2.1.1.1.1.1.1.1.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Element expressed as an ISO 20022 code from an external list.

*Datatype:* "Max4Text" on page 188

#### 10.4.2.1.1.1.1.1.1.1.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Element expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 160 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | Identification <Id>     | [1..1] | Text |             | 161  |
|    | SchemeName <SchmeNm>    | [0..1] | Text |             | 161  |
|    | Issuer <Issr>           | [1..1] | Text |             | 161  |

#### 10.4.2.1.1.1.1.1.1.1.1.2 ValidFrom <VldFr>

*Presence:* [1..1]

*Definition:* Date from when the restriction is valid.

*Datatype:* "ISODatetime" on page 185

#### 10.4.2.1.1.1.1.1.1.1.1.3 ValidUntil <VldUntil>

*Presence:* [0..1]

*Definition:* Date until when the restriction is valid.

*Datatype:* "ISODatetime" on page 185

#### 10.4.2.1.1.1.1.1.2 New <New>

*Presence:* [1..1]

*Definition:* New value after the update.

**New <New>** contains the following **Restriction1** elements

| Or  | MessageElement<XML Tag>            | Mult.  | Type     | Constr. No. | Page |
|-----|------------------------------------|--------|----------|-------------|------|
|     | <b>RestrictionType</b> <RstrctnTp> | [1..1] |          |             | 152  |
| {Or | <b>Code</b> <Cd>                   | [1..1] | Text     |             | 152  |
| Or} | <b>Proprietary</b> <Prtry>         | [1..1] | ±        |             | 152  |
|     | <b>ValidFrom</b> <VldFr>           | [1..1] | DateTime |             | 152  |
|     | <b>ValidUntil</b> <VldUntil>       | [0..1] | DateTime |             | 153  |

#### 10.4.2.1.1.1.11.2.1 RestrictionType <RstrctnTp>

*Presence:* [1..1]

*Definition:* Type of the restriction.

**RestrictionType <RstrctnTp>** contains one of the following **CodeOrProprietary1Choice** elements

| Or  | MessageElement<XML Tag>    | Mult.  | Type | Constr. No. | Page |
|-----|----------------------------|--------|------|-------------|------|
| {Or | <b>Code</b> <Cd>           | [1..1] | Text |             | 152  |
| Or} | <b>Proprietary</b> <Prtry> | [1..1] | ±    |             | 152  |

#### 10.4.2.1.1.1.11.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Element expressed as an ISO 20022 code from an external list.

*Datatype:* "Max4Text" on page 188

#### 10.4.2.1.1.1.11.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Element expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 160 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | Identification <Id>     | [1..1] | Text |             | 161  |
|    | SchemeName <SchmeNm>    | [0..1] | Text |             | 161  |
|    | Issuer <Issr>           | [1..1] | Text |             | 161  |

#### 10.4.2.1.1.1.11.2.2 ValidFrom <VldFr>

*Presence:* [1..1]

*Definition:* Date from when the restriction is valid.

*Datatype:* "ISODatetime" on page 185



#### 10.4.2.1.1.1.11.2.3 ValidUntil <VldUntil>

*Presence:* [0..1]

*Definition:* Date until when the restriction is valid.

*Datatype:* "ISODatetime" on page 185

#### 10.4.2.1.1.1.1.12 Other <Othr>

*Presence:* [1..\*]

*Definition:* Specifies a proprietary update type.

**Other <Othr>** contains the following **UpdateLogProprietary1** elements

| Or | MessageElement<XML Tag>          | Mult.  | Type | Constr. No. | Page |
|----|----------------------------------|--------|------|-------------|------|
|    | <b>FieldName</b> <FldNm>         | [1..1] | Text |             | 153  |
|    | <b>OldFieldValue</b> <OdFldVal>  | [1..1] | Text |             | 153  |
|    | <b>NewFieldValue</b> <NewFldVal> | [1..1] | Text |             | 153  |

#### 10.4.2.1.1.1.1.12.1 FieldName <FldNm>

*Presence:* [1..1]

*Definition:* Name of the field whose value has been changed.

*Datatype:* "Max35Text" on page 188

#### 10.4.2.1.1.1.1.12.2 OldFieldValue <OdFldVal>

*Presence:* [1..1]

*Definition:* Value of the field before the change.

*Datatype:* "Max350Text" on page 187

#### 10.4.2.1.1.1.1.12.3 NewFieldValue <NewFldVal>

*Presence:* [1..1]

*Definition:* Value of the field after the change.

*Datatype:* "Max350Text" on page 187

#### 10.4.2.1.1.1.2 OperationTimeStamp <OprTmStmp>

*Presence:* [1..1]

*Definition:* Timestamp of the change.

*Datatype:* "ISODatetime" on page 185

#### 10.4.2.1.1.1.3 InstructingUser <InstgUsr>

*Presence:* [1..1]

*Definition:* User who instructed the change.

*Datatype:* "Max256Text" on page 187

#### 10.4.2.1.1.1.4 ApprovingUser <ApprvgUsr>

*Presence:* [0..1]

*Definition:* User who approved the change instructed by the instructing user.

*Datatype:* "Max256Text" on page 187

#### 10.4.2.1.1.2 BusinessError <BizErr>

*Presence:* [1..\*]

*Definition:* Business error resulting from a rejection.

**BusinessError <BizErr>** contains the following **ErrorHandling5** elements

| Or  | MessageElement<XML Tag>          | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------------|--------|---------|-------------|------|
|     | <b>Error &lt;Err&gt;</b>         | [1..1] |         |             | 154  |
| {Or | <b>Code &lt;Cd&gt;</b>           | [1..1] | CodeSet |             | 154  |
| Or} | <b>Proprietary &lt;Prtry&gt;</b> | [1..1] | Text    |             | 154  |
|     | <b>Description &lt;Desc&gt;</b>  | [0..1] | Text    |             | 154  |

#### 10.4.2.1.1.2.1 Error <Err>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling3Choice** elements

| Or  | MessageElement<XML Tag>          | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------------|--------|---------|-------------|------|
| {Or | <b>Code &lt;Cd&gt;</b>           | [1..1] | CodeSet |             | 154  |
| Or} | <b>Proprietary &lt;Prtry&gt;</b> | [1..1] | Text    |             | 154  |

#### 10.4.2.1.1.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* "ExternalSystemErrorHandlerling1Code" on page 182

#### 10.4.2.1.1.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max35Text" on page 188

#### 10.4.2.1.1.2.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "Max140Text" on page 187

#### 10.4.2.1.2 DatePeriod <DtPrd>

*Presence:* [0..1]

*Definition:* Period in dates for which the audit trail is provided.

**DatePeriod <DtPrd>** contains one of the following **DatePeriod3Choice** elements

| Or  | MessageElement<XML Tag>  | Mult.  | Type | Constr. No. | Page |
|-----|--------------------------|--------|------|-------------|------|
| {Or | <b>FromDate</b> <FrDt>   | [1..1] | Date |             | 155  |
| Or  | <b>ToDate</b> <ToDt>     | [1..1] | Date |             | 155  |
| Or  | <b>FromDate</b> <FrToDt> | [1..1] | ±    |             | 155  |
| Or} | <b>Date</b> <Dt>         | [1..1] | Date |             | 155  |

#### 10.4.2.1.2.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 184

#### 10.4.2.1.2.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 184

#### 10.4.2.1.2.3 FromToDate <FrToDt>

*Presence:* [1..1]

*Definition:* Range of time between a start date and an end date.

**FromDate <FrToDt>** contains the following elements (see "DatePeriod2" on page 158 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | FromDate <FrDt>         | [1..1] | Date |             | 158  |
|    | ToDate <ToDt>           | [1..1] | Date |             | 158  |

#### 10.4.2.1.2.4 Date <Dt>

*Presence:* [1..1]

*Definition:* Single date entry

*Datatype:* "ISODate" on page 184

#### 10.4.2.1.3 PartyIdentification <PtyId>

*Presence:* [1..1]

*Definition:* Identifies the party for which the audit trail is provided.

**PartyIdentification <PtyId>** contains the following elements (see "[SystemPartyIdentification8](#)" on page 170 for details)

| Or | MessageElement<XML Tag>                       | Mult.  | Type | Constr. No. | Page |
|----|---|--------|------|-------------|------|
|    | Identification <Id>                           | [1..1] | ±    |             | 170  |
|    | ResponsiblePartyIdentification <RspnsblPtyId> | [0..1] | ±    |             | 170  |

#### 10.4.2.2 OperationalError <OpriErr>

*Presence:* [1..\*]

*Definition:* Operational error resulting from a rejection.

**OperationalError <OpriErr>** contains the following **ErrorHandling5** elements

| Or  | MessageElement<XML Tag>          | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------------|--------|---------|-------------|------|
|     | <b>Error &lt;Err&gt;</b>         | [1..1] |         |             | 156  |
| {Or | <b>Code &lt;Cd&gt;</b>           | [1..1] | CodeSet |             | 156  |
| Or} | <b>Proprietary &lt;Prtry&gt;</b> | [1..1] | Text    |             | 156  |
|     | <b>Description &lt;Desc&gt;</b>  | [0..1] | Text    |             | 156  |

##### 10.4.2.2.1 Error <Err>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded or proprietary form.

**Error <Err>** contains one of the following **ErrorHandling3Choice** elements

| Or  | MessageElement<XML Tag>          | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------------|--------|---------|-------------|------|
| {Or | <b>Code &lt;Cd&gt;</b>           | [1..1] | CodeSet |             | 156  |
| Or} | <b>Proprietary &lt;Prtry&gt;</b> | [1..1] | Text    |             | 156  |

##### 10.4.2.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specification of the error, in coded form.

*Datatype:* "[ExternalSystemErrorHandling1Code](#)" on page 182

##### 10.4.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specification of the error, in free format.

*Datatype:* "[Max35Text](#)" on page 188

##### 10.4.2.2.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Specification of the error, in free format.

Datatype: "Max140Text" on page 187

### 10.4.3      **SupplementaryData <SplmtryData>**

*Presence:* [0..\*]  
*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.  
*Impacted by:* C4 "SupplementaryDataRule"

**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 163 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type              | Constr. No. | Page |
|----|-------------------------|--------|-------------------|-------------|------|
|    | PlaceAndName <PlcAndNm> | [0..1] | Text              |             | 163  |
|    | Envelope <Envlp>        | [1..1] | (External Schema) |             | 163  |

**Constraints**

- **SupplementaryDataRule**  
This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 11 Message Items Types

## 11.1 MessageComponents

### 11.1.1 Date Period

#### 11.1.1.1 DatePeriod2

*Definition:* Range of time defined by a start date and an end date.

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | FromDate <FrDt>         | [1..1] | Date |             | 158  |
|    | ToDate <ToDt>           | [1..1] | Date |             | 158  |

##### 11.1.1.1.1 FromDate <FrDt>

*Presence:* [1..1]

*Definition:* Start date of the range.

*Datatype:* "ISODate" on page 184

##### 11.1.1.1.2 ToDate <ToDt>

*Presence:* [1..1]

*Definition:* End date of the range.

*Datatype:* "ISODate" on page 184

### 11.1.2 Date Time Period

#### 11.1.2.1 DateTimePeriod1

*Definition:* Time span defined by a start date and time, and an end date and time.

| Or | MessageElement<XML Tag> | Mult.  | Type     | Constr. No. | Page |
|----|-------------------------|--------|----------|-------------|------|
|    | FromDateTime <FrDtTm>   | [1..1] | DateTime |             | 158  |
|    | ToDateTime <ToDtTm>     | [1..1] | DateTime |             | 158  |

##### 11.1.2.1.1 FromDateTime <FrDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the period starts.

*Datatype:* "ISODateTime" on page 185

##### 11.1.2.1.2 ToDateTime <ToDtTm>

*Presence:* [1..1]

*Definition:* Date and time at which the period ends.

*Datatype:* "ISODateTime" on page 185

## 11.1.3 Identification Information

### 11.1.3.1 GenericIdentification1

*Definition:* Information related to an identification, for example party identification or account identification.

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | Identification <Id>     | [1..1] | Text |             | 159  |
|    | SchemeName <SchmeNm>    | [0..1] | Text |             | 159  |
|    | Issuer <Issr>           | [0..1] | Text |             | 159  |

#### 11.1.3.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by an institution.

*Datatype:* "Max35Text" on page 188

#### 11.1.3.1.2 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

*Datatype:* "Max35Text" on page 188

#### 11.1.3.1.3 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 188

### 11.1.3.2 GenericIdentification30

*Definition:* Information related to an identification, for example, party identification or account identification.

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | Identification <Id>     | [1..1] | Text |             | 159  |
|    | Issuer <Issr>           | [1..1] | Text |             | 160  |
|    | SchemeName <SchmeNm>    | [0..1] | Text |             | 160  |

#### 11.1.3.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

*Datatype:* "Exact4AlphaNumericText" on page 186

#### 11.1.3.2.2 Issuer <Issr>

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 188

#### 11.1.3.2.3 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Short textual description of the scheme.

*Datatype:* "Max35Text" on page 188

#### 11.1.3.3 GenericIdentification36

*Definition:* Identification using a proprietary scheme.

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | Identification <Id>     | [1..1] | Text |             | 160  |
|    | Issuer <Issr>           | [1..1] | Text |             | 160  |
|    | SchemeName <SchmeNm>    | [0..1] | Text |             | 160  |

##### 11.1.3.3.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

*Datatype:* "Max35Text" on page 188

##### 11.1.3.3.2 Issuer <Issr>

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 188

##### 11.1.3.3.3 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Short textual description of the scheme.

*Datatype:* "Max35Text" on page 188

##### 11.1.3.4 GenericIdentification13

*Definition:* Information related to an identification, for example, party identification or account identification.



| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | Identification <Id>     | [1..1] | Text |             | 161  |
|    | SchemeName <SchmeNm>    | [0..1] | Text |             | 161  |
|    | Issuer <Issr>           | [1..1] | Text |             | 161  |

#### 11.1.3.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by an institution.

*Datatype:* "Max4AlphaNumericText" on page 188

#### 11.1.3.4.2 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

*Datatype:* "Max35Text" on page 188

#### 11.1.3.4.3 Issuer <Issr>

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 188

### 11.1.4 Miscellaneous

#### 11.1.4.1 MessageHeader1

*Definition:* Set of characteristics, such as the identification or the creation date and time, specific to the message.

| Or | MessageElement<XML Tag>       | Mult.  | Type     | Constr. No. | Page |
|----|-------------------------------|--------|----------|-------------|------|
|    | MessageIdentification <MsgId> | [1..1] | Text     |             | 161  |
|    | CreationDateTime <CreDtTm>    | [0..1] | DateTime |             | 161  |

##### 11.1.4.1.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

*Usage:* The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 188

##### 11.1.4.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODateTime" on page 185

#### 11.1.4.2 OriginalBusinessInstruction1

*Definition:* Unique identification, as assigned by the original requestor, to unambiguously identify the business instruction message.

| Or | MessageElement<XML Tag>             | Mult.  | Type     | Constr. No. | Page |
|----|-------------------------------------|--------|----------|-------------|------|
|    | MessageIdentification <MsgId>       | [1..1] | Text     |             | 162  |
|    | MessageNameIdentification <MsgNmId> | [0..1] | Text     |             | 162  |
|    | CreationDateTime <CreDtTm>          | [0..1] | DateTime |             | 162  |

##### 11.1.4.2.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the original initiating party, to unambiguously identify the original instruction message.

*Datatype:* "Max35Text" on page 188

##### 11.1.4.2.2 MessageNameIdentification <MsgNmId>

*Presence:* [0..1]

*Definition:* Specifies the instruction message name identifier to which the message refers.

*Datatype:* "Max35Text" on page 188

##### 11.1.4.2.3 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODateTime" on page 185

#### 11.1.4.3 OriginalBusinessQuery1

*Definition:* Unique identification, as assigned by the original requestor, to unambiguously identify the business query message.

| Or | MessageElement<XML Tag>             | Mult.  | Type     | Constr. No. | Page |
|----|-------------------------------------|--------|----------|-------------|------|
|    | MessageIdentification <MsgId>       | [1..1] | Text     |             | 162  |
|    | MessageNameIdentification <MsgNmId> | [0..1] | Text     |             | 163  |
|    | CreationDateTime <CreDtTm>          | [0..1] | DateTime |             | 163  |

##### 11.1.4.3.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the original initiating party, to unambiguously identify the original query message.

*Datatype:* "Max35Text" on page 188

11.1.4.3.2 MessageNameIdentification <MsgNmId>

*Presence:* [0..1]

*Definition:* Specifies the query message name identifier to which the message refers.

*Datatype:* "Max35Text" on page 188

11.1.4.3.3 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODateTime" on page 185

11.1.4.4 SupplementaryData1

*Definition:* Additional information that can not be captured in the structured fields and/or any other specific block.

| Or | MessageElement<XML Tag> | Mult.  | Type              | Constr. No. | Page |
|----|-------------------------|--------|-------------------|-------------|------|
|    | PlaceAndName <PlcAndNm> | [0..1] | Text              |             | 163  |
|    | Envelope <Envlp>        | [1..1] | (External Schema) |             | 163  |

Constraints

- **SupplementaryDataRule**  
This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

11.1.4.4.1 PlaceAndName <PlcAndNm>

*Presence:* [0..1]

*Definition:* Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

*Datatype:* "Max350Text" on page 187

11.1.4.4.2 Envelope <Envlp>

*Presence:* [1..1]

*Definition:* Technical element wrapping the supplementary data.

*Type:* (External Schema)

Technical component that contains the validated supplementary data information. This technical envelope allows to segregate the supplementary data information from any other information.

11.1.4.5 MessageHeader3

*Definition:* Set of characteristics, such as the identification or the creation date and time, specific to the message.

| Or  | MessageElement<XML Tag>             | Mult.  | Type     | Constr. No. | Page |
|-----|-------------------------------------|--------|----------|-------------|------|
|     | MessageIdentification <MsgId>       | [1..1] | Text     |             | 164  |
|     | CreationDateTime <CreDtTm>          | [0..1] | DateTime |             | 164  |
|     | RequestType <ReqTp>                 | [0..1] |          |             | 164  |
| {Or | PaymentControl <PmtCtrl>            | [1..1] | CodeSet  |             | 164  |
| Or  | Enquiry <Enqry>                     | [1..1] | CodeSet  |             | 165  |
| Or} | Proprietary <Prtry>                 | [1..1] | ±        |             | 165  |
|     | OriginalBusinessQuery <OrgnlBizQry> | [0..1] | ±        |             | 165  |
|     | QueryName <QryNm>                   | [0..1] | Text     |             | 166  |

#### 11.1.4.5.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

*Usage:* The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 188

#### 11.1.4.5.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODateTime" on page 185

#### 11.1.4.5.3 RequestType <ReqTp>

*Presence:* [0..1]

*Definition:* Specific actions to be executed through the request.

**RequestType <ReqTp>** contains one of the following **RequestType2Choice** elements

| Or  | MessageElement<XML Tag>  | Mult.  | Type    | Constr. No. | Page |
|-----|--------------------------|--------|---------|-------------|------|
| {Or | PaymentControl <PmtCtrl> | [1..1] | CodeSet |             | 164  |
| Or  | Enquiry <Enqry>          | [1..1] | CodeSet |             | 165  |
| Or} | Proprietary <Prtry>      | [1..1] | ±       |             | 165  |

##### 11.1.4.5.3.1 PaymentControl <PmtCtrl>

*Presence:* [1..1]

*Definition:* Request type is a control command on a set of transactions.

*Datatype:* "RequestType1Code" on page 183

| CodeName | Name              | Definition  |
|----------|-------------------|---|
| RT01     | ReleasePending    | Type is a request to release the pending transactions.        |
| RT02     | HoldNormal        | Type is a request to hold normal transactions.                |
| RT03     | Cancellation      | Type is a request to cancellation a transaction.              |
| RT04     | ReSequence        | Type is a request to change the sequence of the transactions. |
| RT05     | ReleaseSuspicious | Type is a request to release the suspicious transactions.     |

#### 11.1.4.5.3.2 Enquiry <Enqry>

*Presence:* [1..1]

*Definition:* Request type is an enquiry on a position or a transaction.

*Datatype:* "RequestType2Code" on page 184

| CodeName | Name                         | Definition                                      |
|----------|------------------------------|---|
| RT11     | BankPositionEnquiry          | Type is an enquiry on the bank's position.      |
| RT12     | SpecificTransactionEnquiry   | Type is an enquiry on a specific transaction.   |
| RT13     | QueuedTransactionEnquiry     | Type is an enquiry on a queued transaction.     |
| RT14     | SuspiciousTransactionEnquiry | Type is an enquiry on a suspicious transaction. |
| RT15     | PendingInwardCreditsEnquiry  | Type is an enquiry on pending inward credits.   |

#### 11.1.4.5.3.3 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Request type is in proprietary format.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification1" on page 159 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | Identification <Id>     | [1..1] | Text |             | 159  |
|    | SchemeName <SchmeNm>    | [0..1] | Text |             | 159  |
|    | Issuer <Issr>           | [0..1] | Text |             | 159  |

#### 11.1.4.5.4 OriginalBusinessQuery <OrgnlBizQry>

*Presence:* [0..1]

*Definition:* Unique identification of the original query message.

**OriginalBusinessQuery <OrgnlBizQry>** contains the following elements (see  
"OriginalBusinessQuery1" on page 162 for details)

| Or | MessageElement<XML Tag>             | Mult.  | Type     | Constr. No. | Page |
|----|-------------------------------------|--------|----------|-------------|------|
|    | MessageIdentification <MsgId>       | [1..1] | Text     |             | 162  |
|    | MessageNameIdentification <MsgNmId> | [0..1] | Text     |             | 163  |
|    | CreationDateTime <CreDtTm>          | [0..1] | DateTime |             | 163  |

#### 11.1.4.5.5 QueryName <QryNm>

*Presence:* [0..1]

*Definition:* Recalls the criteria (search and return criteria) defined in a preceding query.

*Datatype:* "Max35Text" on page 188

#### 11.1.4.6 MessageHeader2

*Definition:* Set of characteristics, such as the identification or the creation date and time, specific to the message.

| Or  | MessageElement<XML Tag>       | Mult.  | Type     | Constr. No. | Page |
|-----|-------------------------------|--------|----------|-------------|------|
|     | MessageIdentification <MsgId> | [1..1] | Text     |             | 166  |
|     | CreationDateTime <CreDtTm>    | [0..1] | DateTime |             | 166  |
|     | RequestType <ReqTp>           | [0..1] |          |             | 166  |
| {Or | PaymentControl <PmtCtrl>      | [1..1] | CodeSet  |             | 167  |
| Or  | Enquiry <Enqry>               | [1..1] | CodeSet  |             | 167  |
| Or} | Proprietary <Prtry>           | [1..1] | ±        |             | 167  |

##### 11.1.4.6.1 MessageIdentification <MsgId>

*Presence:* [1..1]

*Definition:* Point to point reference, as assigned by the sender, to unambiguously identify the message.

*Usage:* The sender has to make sure that MessageIdentification is unique for a pre-agreed period.

*Datatype:* "Max35Text" on page 188

##### 11.1.4.6.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date and time at which the message was created.

*Datatype:* "ISODateTime" on page 185

##### 11.1.4.6.3 RequestType <ReqTp>

*Presence:* [0..1]

*Definition:* Specific actions to be executed through the request.

**RequestType <ReqTp>** contains one of the following **RequestType2Choice** elements

| Or  | MessageElement<XML Tag>  | Mult.  | Type    | Constr. No. | Page |
|-----|--------------------------|--------|---------|-------------|------|
| {Or | PaymentControl <PmtCtrl> | [1..1] | CodeSet |             | 167  |
| Or  | Enquiry <Enqry>          | [1..1] | CodeSet |             | 167  |
| Or} | Proprietary <Prtry>      | [1..1] | ±       |             | 167  |

#### 11.1.4.6.3.1 PaymentControl <PmtCtrl>

*Presence:* [1..1]

*Definition:* Request type is a control command on a set of transactions.

*Datatype:* "RequestType1Code" on page 183

| CodeName | Name              | Definition  |
|----------|-------------------|---|
| RT01     | ReleasePending    | Type is a request to release the pending transactions.        |
| RT02     | HoldNormal        | Type is a request to hold normal transactions.                |
| RT03     | Cancellation      | Type is a request to cancellation a transaction.              |
| RT04     | ReSequence        | Type is a request to change the sequence of the transactions. |
| RT05     | ReleaseSuspicious | Type is a request to release the suspicious transactions.     |

#### 11.1.4.6.3.2 Enquiry <Enqry>

*Presence:* [1..1]

*Definition:* Request type is an enquiry on a position or a transaction.

*Datatype:* "RequestType2Code" on page 184

| CodeName | Name                         | Definition                                      |
|----------|------------------------------|---|
| RT11     | BankPositionEnquiry          | Type is an enquiry on the bank's position.      |
| RT12     | SpecificTransactionEnquiry   | Type is an enquiry on a specific transaction.   |
| RT13     | QueuedTransactionEnquiry     | Type is an enquiry on a queued transaction.     |
| RT14     | SuspiciousTransactionEnquiry | Type is an enquiry on a suspicious transaction. |
| RT15     | PendingInwardCreditsEnquiry  | Type is an enquiry on pending inward credits.   |

#### 11.1.4.6.3.3 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Request type is in proprietary format.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification1](#)" on page 159 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | Identification <Id>     | [1..1] | Text |             | 159  |
|    | SchemeName <SchmeNm>    | [0..1] | Text |             | 159  |
|    | Issuer <Issr>           | [0..1] | Text |             | 159  |

## 11.1.5 Party Identification

### 11.1.5.1 PartyIdentification136

*Definition:* Identification of the party.

| Or | MessageElement<XML Tag> | Mult.  | Type          | Constr. No. | Page |
|----|-------------------------|--------|---------------|-------------|------|
|    | Identification <Id>     | [1..1] | ±             |             | 168  |
|    | LEI <LEI>               | [0..1] | IdentifierSet |             | 168  |

#### 11.1.5.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identification of the party.

**Identification <Id>** contains one of the following elements (see "[PartyIdentification120Choice](#)" on page 168 for details)

| Or  | MessageElement<XML Tag>             | Mult.  | Type          | Constr. No. | Page |
|-----|-------------------------------------|--------|---------------|-------------|------|
| {Or | AnyBIC <AnyBIC>                     | [1..1] | IdentifierSet | C1          | 169  |
| Or  | ProprietaryIdentification <PrtryId> | [1..1] | ±             |             | 169  |
| Or} | NameAndAddress <NmAndAdr>           | [1..1] | ±             |             | 169  |

#### 11.1.5.1.2 LEI <LEI>

*Presence:* [0..1]

*Definition:* Legal entity identification as an alternate identification for a party.

*Datatype:* "[LEIIdentifier](#)" on page 186

### 11.1.5.2 PartyIdentification120Choice

*Definition:* Choice between different formats for the identification of a party.



| Or  | MessageElement<XML Tag>             | Mult.  | Type          | Constr. No. | Page |
|-----|-------------------------------------|--------|---------------|-------------|------|
| {Or | AnyBIC <AnyBIC>                     | [1..1] | IdentifierSet | C1          | 169  |
| Or  | ProprietaryIdentification <PrtryId> | [1..1] | ±             |             | 169  |
| Or} | NameAndAddress <NmAndAdr>           | [1..1] | ±             |             | 169  |

#### 11.1.5.2.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C1 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 185

##### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

#### 11.1.5.2.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification36](#)" on page 160 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | Identification <Id>     | [1..1] | Text |             | 160  |
|    | Issuer <Issr>           | [1..1] | Text |             | 160  |
|    | SchemeName <SchmeNm>    | [0..1] | Text |             | 160  |

#### 11.1.5.2.3 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of a party.

**NameAndAddress <NmAndAdr>** contains the following elements (see "[NameAndAddress5](#)" on page 174 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | Name <Nm>               | [1..1] | Text |             | 174  |
|    | Address <Adr>           | [0..1] | ±    |             | 174  |

### 11.1.5.3 SystemPartyIdentification8

*Definition:* Unique and unambiguous identification of a party within a system.

| Or | MessageElement<XML Tag>                       | Mult.  | Type | Constr. No. | Page |
|----|---|--------|------|-------------|------|
|    | Identification <Id>                           | [1..1] | ±    |             | 170  |
|    | ResponsiblePartyIdentification <RspnsblPtyId> | [0..1] | ±    |             | 170  |

#### 11.1.5.3.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identification to unambiguously identify the party within the system.

**Identification <Id>** contains the following elements (see "[PartyIdentification136](#)" on page 168 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type          | Constr. No. | Page |
|----|-------------------------|--------|---------------|-------------|------|
|    | Identification <Id>     | [1..1] | ±             |             | 168  |
|    | LEI <LEI>               | [0..1] | IdentifierSet |             | 168  |

#### 11.1.5.3.2 ResponsiblePartyIdentification <RspnsblPtyId>

*Presence:* [0..1]

*Definition:* Unique identification of the party responsible for the maintenance of the party reference data.

**ResponsiblePartyIdentification <RspnsblPtyId>** contains the following elements (see "[PartyIdentification136](#)" on page 168 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type          | Constr. No. | Page |
|----|-------------------------|--------|---------------|-------------|------|
|    | Identification <Id>     | [1..1] | ±             |             | 168  |
|    | LEI <LEI>               | [0..1] | IdentifierSet |             | 168  |

## 11.1.6 Person Identification

### 11.1.6.1 Contact14

*Definition:* Specifies the details of the contact person.

| Or | MessageElement<XML Tag>     | Mult.  | Type    | Constr. No. | Page |
|----|-----------------------------|--------|---------|-------------|------|
|    | NamePrefix <NmPrfx>         | [0..1] | CodeSet |             | 171  |
|    | Name <Nm>                   | [0..1] | Text    |             | 171  |
|    | PhoneNumber <PhneNb>        | [0..1] | Text    |             | 172  |
|    | MobileNumber <MobNb>        | [0..1] | Text    |             | 172  |
|    | FaxNumber <FaxNb>           | [0..1] | Text    |             | 172  |
|    | URLAddress <URLAdr>         | [0..1] | Text    |             | 172  |
|    | EmailAddress <EmailAdr>     | [0..1] | Text    |             | 172  |
|    | EmailPurpose <EmailPurp>    | [0..1] | Text    |             | 172  |
|    | JobTitle <JobTitl>          | [0..1] | Text    |             | 172  |
|    | Responsibility <Rspnsblty>  | [0..1] | Text    |             | 172  |
|    | Department <Dept>           | [0..1] | Text    |             | 173  |
|    | Other <Othr>                | [0..*] |         |             | 173  |
|    | ChannelType <ChanlTp>       | [1..1] | Text    |             | 173  |
|    | Identification <Id>         | [0..1] | Text    |             | 173  |
|    | PreferredMethod <PrefrdMtd> | [0..1] | CodeSet |             | 173  |
|    | ValidFrom <VldFr>           | [0..1] | Date    |             | 174  |
|    | ValidTo <VldTo>             | [0..1] | Date    |             | 174  |

#### 11.1.6.1.1 NamePrefix <NmPrfx>

*Presence:* [0..1]

*Definition:* Specifies the terms used to formally address a person.

*Datatype:* "NamePrefix2Code" on page 183

| CodeName | Name          | Definition                                  |
|----------|---------------|---|
| DOCT     | Doctor        | Title of the person is Doctor or Dr.        |
| MADM     | Madam         | Title of the person is Madam.               |
| MISS     | Miss          | Title of the person is Miss.                |
| MIST     | Mister        | Title of the person is Mister or Mr.        |
| MIKS     | GenderNeutral | Title of the person is gender neutral (Mx). |

#### 11.1.6.1.2 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 187

#### **11.1.6.1.3 PhoneNumber <PhneNb>**

*Presence:* [0..1]

*Definition:* Collection of information that identifies a phone number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 188

#### **11.1.6.1.4 MobileNumber <MobNb>**

*Presence:* [0..1]

*Definition:* Collection of information that identifies a mobile phone number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 188

#### **11.1.6.1.5 FaxNumber <FaxNb>**

*Presence:* [0..1]

*Definition:* Collection of information that identifies a FAX number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 188

#### **11.1.6.1.6 URLAddress <URLAdr>**

*Presence:* [0..1]

*Definition:* Address for the Universal Resource Locator (URL), for example an address used over the www (HTTP) service.

*Datatype:* "Max2048Text" on page 187

#### **11.1.6.1.7 EmailAddress <EmailAdr>**

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "Max256Text" on page 187

#### **11.1.6.1.8 EmailPurpose <EmailPurp>**

*Presence:* [0..1]

*Definition:* Purpose for which an email address may be used.

*Datatype:* "Max35Text" on page 188

#### **11.1.6.1.9 JobTitle <JobTitl>**

*Presence:* [0..1]

*Definition:* Title of the function.

*Datatype:* "Max35Text" on page 188

#### **11.1.6.1.10 Responsibility <Rspnsblty>**

*Presence:* [0..1]

*Definition:* Role of a person in an organisation.

*Datatype:* "Max35Text" on page 188

#### 11.1.6.1.11 Department <Dept>

*Presence:* [0..1]

*Definition:* Identification of a division of a large organisation or building.

*Datatype:* "Max70Text" on page 188

#### 11.1.6.1.12 Other <Othr>

*Presence:* [0..\*]

*Definition:* Contact details in another form.

**Other <Othr>** contains the following **OtherContact1** elements

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | ChannelType <ChanITp>   | [1..1] | Text |             | 173  |
|    | Identification <Id>     | [0..1] | Text |             | 173  |

##### 11.1.6.1.12.1 ChannelType <ChanITp>

*Presence:* [1..1]

*Definition:* Method used to contact the financial institution's contact for the specific tax region.

*Datatype:* "Max4Text" on page 188

##### 11.1.6.1.12.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Communication value such as phone number or email address.

*Datatype:* "Max128Text" on page 186

#### 11.1.6.1.13 PreferredMethod <PrefrdMtd>

*Presence:* [0..1]

*Definition:* Preferred method used to reach the technical contact.

*Datatype:* "PreferredContactMethod2Code" on page 183

| CodeName | Name              | Definition  |
|----------|-------------------|---|
| MAIL     | Email             | Preferred method used to reach the contact is per email.                |
| FAXX     | Fax               | Preferred method used to reach the contact is per fax.                  |
| LETT     | Letter            | Preferred method used to reach the contact is per letter.               |
| CELL     | MobileOrCellPhone | Preferred method used to reach the contact is per mobile or cell phone. |
| ONLI     | Online            | Preferred method used to reach the contact is online.                   |
| PHON     | Phone             | Preferred method used to reach the contact is per phone.                |

#### 11.1.6.1.14 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Starting validity date for the contact.

*Datatype:* "ISODate" on page 184

#### 11.1.6.1.15 ValidTo <VldTo>

*Presence:* [0..1]

*Definition:* Ending validity date for the contact.

*Datatype:* "ISODate" on page 184

### 11.1.7 Postal Address

#### 11.1.7.1 NameAndAddress5

*Definition:* Information that locates and identifies a party.

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | Name <Nm>               | [1..1] | Text |             | 174  |
|    | Address <Adr>           | [0..1] | ±    |             | 174  |

##### 11.1.7.1.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 187

##### 11.1.7.1.2 Address <Adr>

*Presence:* [0..1]

*Definition:* Postal address of the party.

**Address <Adr>** contains the following elements (see "PostalAddress1" on page 179 for details)

| Or | MessageElement<XML Tag>          | Mult.  | Type    | Constr. No. | Page |
|----|----------------------------------|--------|---------|-------------|------|
|    | AddressType <AdrTp>              | [0..1] | CodeSet |             | 179  |
|    | AddressLine <AdrLine>            | [0..5] | Text    |             | 179  |
|    | StreetName <StrtNm>              | [0..1] | Text    |             | 179  |
|    | BuildingNumber <BldgNb>          | [0..1] | Text    |             | 180  |
|    | PostCode <PstCd>                 | [0..1] | Text    |             | 180  |
|    | TownName <TwnNm>                 | [0..1] | Text    |             | 180  |
|    | CountrySubDivision <CtrySubDvsn> | [0..1] | Text    |             | 180  |
|    | Country <Ctry>                   | [1..1] | CodeSet | C2          | 180  |

### 11.1.7.2 PostalAddress28

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

| Or  | MessageElement<XML Tag>          | Mult.  | Type    | Constr. No. | Page |
|-----|----------------------------------|--------|---------|-------------|------|
|     | AddressType <AdrTp>              | [0..1] |         |             | 175  |
| {Or | Code <Cd>                        | [1..1] | CodeSet |             | 176  |
| Or} | Proprietary <Prtry>              | [1..1] | ±       |             | 176  |
|     | CareOf <CareOf>                  | [0..1] | Text    |             | 176  |
|     | Department <Dept>                | [0..1] | Text    |             | 176  |
|     | SubDepartment <SubDept>          | [0..1] | Text    |             | 176  |
|     | StreetName <StrtNm>              | [0..1] | Text    |             | 177  |
|     | BuildingNumber <BldgNb>          | [0..1] | Text    |             | 177  |
|     | BuildingName <BldgNm>            | [0..1] | Text    |             | 177  |
|     | Floor <Flr>                      | [0..1] | Text    |             | 177  |
|     | UnitNumber <UnitNb>              | [0..1] | Text    |             | 177  |
|     | PostBox <PstBx>                  | [0..1] | Text    |             | 177  |
|     | Room <Room>                      | [0..1] | Text    |             | 177  |
|     | PostCode <PstCd>                 | [0..1] | Text    |             | 177  |
|     | TownName <TwnNm>                 | [0..1] | Text    |             | 178  |
|     | TownLocationName <TwnLctnNm>     | [0..1] | Text    |             | 178  |
|     | DistrictName <DstrctNm>          | [0..1] | Text    |             | 178  |
|     | CountrySubDivision <CtrySubDvsn> | [0..1] | Text    |             | 178  |
|     | Country <Ctry>                   | [0..1] | CodeSet | C2          | 178  |
|     | AddressLine <AdrLine>            | [0..7] | Text    |             | 178  |
|     | ValidFrom <VldFr>                | [0..1] | Date    |             | 178  |

#### 11.1.7.2.1 AddressType <AdrTp>

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

**AddressType <AdrTp>** contains one of the following **AddressType3Choice** elements

| Or  | MessageElement<XML Tag> | Mult.  | Type    | Constr. No. | Page |
|-----|-------------------------|--------|---------|-------------|------|
| {Or | Code <Cd>               | [1..1] | CodeSet |             | 176  |
| Or} | Proprietary <Prtry>     | [1..1] | ±       |             | 176  |

#### 11.1.7.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Type of address expressed as a code.

*Datatype:* "AddressType2Code" on page 180

| CodeName | Name        | Definition   |
|----------|-------------|--|
| ADDR     | Postal      | Address is the complete postal address.                    |
| PBOX     | POBox       | Address is a postal office (PO) box.                       |
| HOME     | Residential | Address is the home address.                               |
| BIZZ     | Business    | Address is the business address.                           |
| MLTO     | MailTo      | Address is the address to which mail is sent.              |
| DLVY     | DeliveryTo  | Address is the address to which delivery is to take place. |

#### 11.1.7.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Type of address expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 159 for details)

| Or | MessageElement<XML Tag> | Mult.  | Type | Constr. No. | Page |
|----|-------------------------|--------|------|-------------|------|
|    | Identification <Id>     | [1..1] | Text |             | 159  |
|    | Issuer <Issr>           | [1..1] | Text |             | 160  |
|    | SchemeName <SchmeNm>    | [0..1] | Text |             | 160  |

#### 11.1.7.2.2 CareOf <CareOf>

*Presence:* [0..1]

*Definition:* Identifies an addressee that is accepting the correspondence for the intended recipient. Using care of ensures the correspondence reaches the right recipient rather than getting returned to the sender.

*Datatype:* "Max140Text" on page 187

#### 11.1.7.2.3 Department <Dept>

*Presence:* [0..1]

*Definition:* Identification of a division of a large organisation or building.

*Datatype:* "Max70Text" on page 188

#### 11.1.7.2.4 SubDepartment <SubDept>

*Presence:* [0..1]

*Definition:* Identification of a sub-division of a large organisation or building.

*Datatype:* "Max70Text" on page 188



#### 11.1.7.2.5 StreetName <StrtNm>

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* "Max140Text" on page 187

#### 11.1.7.2.6 BuildingNumber <BldgNb>

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* "Max16Text" on page 187

#### 11.1.7.2.7 BuildingName <BldgNm>

*Presence:* [0..1]

*Definition:* Name of the building or house.

*Datatype:* "Max140Text" on page 187

#### 11.1.7.2.8 Floor <Flr>

*Presence:* [0..1]

*Definition:* Floor or storey within a building.

*Datatype:* "Max70Text" on page 188

#### 11.1.7.2.9 UnitNumber <UnitNb>

*Presence:* [0..1]

*Definition:* Identifies a flat or dwelling within the building.

*Datatype:* "Max16Text" on page 187

#### 11.1.7.2.10 PostBox <PstBx>

*Presence:* [0..1]

*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

*Datatype:* "Max16Text" on page 187

#### 11.1.7.2.11 Room <Room>

*Presence:* [0..1]

*Definition:* Building room number.

*Datatype:* "Max70Text" on page 188

#### 11.1.7.2.12 PostCode <PstCd>

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* "Max16Text" on page 187

#### 11.1.7.2.13 TownName <TwnNm>

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* "Max140Text" on page 187

#### 11.1.7.2.14 TownLocationName <TwnLctnNm>

*Presence:* [0..1]

*Definition:* Specific location name within the town.

*Datatype:* "Max140Text" on page 187

#### 11.1.7.2.15 DistrictName <DstrctNm>

*Presence:* [0..1]

*Definition:* Identifies a subdivision within a country sub-division.

*Datatype:* "Max140Text" on page 187

#### 11.1.7.2.16 CountrySubDivision <CtrySubDvsn>

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country such as state, region, county.

*Datatype:* "Max35Text" on page 188

#### 11.1.7.2.17 Country <Ctry>

*Presence:* [0..1]

*Definition:* Nation with its own government.

*Impacted by:* C2 "Country"

*Datatype:* "CountryCode" on page 181

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 11.1.7.2.18 AddressLine <AdrLine>

*Presence:* [0..7]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, presented in free format text.

*Datatype:* "Max70Text" on page 188

#### 11.1.7.2.19 ValidFrom <VldFr>

*Presence:* [0..1]

*Definition:* Specifies the date from which the address is valid.

*Datatype:* "ISODate" on page 184

### 11.1.7.3 PostalAddress1

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

| Or | MessageElement<XML Tag>          | Mult.  | Type    | Constr. No. | Page |
|----|----------------------------------|--------|---------|-------------|------|
|    | AddressType <AdrTp>              | [0..1] | CodeSet |             | 179  |
|    | AddressLine <AdrLine>            | [0..5] | Text    |             | 179  |
|    | StreetName <StrtNm>              | [0..1] | Text    |             | 179  |
|    | BuildingNumber <BldgNb>          | [0..1] | Text    |             | 180  |
|    | PostCode <PstCd>                 | [0..1] | Text    |             | 180  |
|    | TownName <TwnNm>                 | [0..1] | Text    |             | 180  |
|    | CountrySubDivision <CtrySubDvsn> | [0..1] | Text    |             | 180  |
|    | Country <Ctry>                   | [1..1] | CodeSet | C2          | 180  |

#### 11.1.7.3.1 AddressType <AdrTp>

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

*Datatype:* "AddressType2Code" on page 180

| CodeName | Name        | Definition   |
|----------|-------------|--|
| ADDR     | Postal      | Address is the complete postal address.                    |
| PBOX     | POBox       | Address is a postal office (PO) box.                       |
| HOME     | Residential | Address is the home address.                               |
| BIZZ     | Business    | Address is the business address.                           |
| MLTO     | MailTo      | Address is the address to which mail is sent.              |
| DLVY     | DeliveryTo  | Address is the address to which delivery is to take place. |

#### 11.1.7.3.2 AddressLine <AdrLine>

*Presence:* [0..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* "Max70Text" on page 188

#### 11.1.7.3.3 StreetName <StrtNm>

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* "Max70Text" on page 188

#### 11.1.7.3.4 BuildingNumber <BldgNb>

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* "Max16Text" on page 187

#### 11.1.7.3.5 PostCode <PstCd>

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* "Max16Text" on page 187

#### 11.1.7.3.6 TownName <TwnNm>

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* "Max35Text" on page 188

#### 11.1.7.3.7 CountrySubDivision <CtrySubDvsn>

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* "Max35Text" on page 188

#### 11.1.7.3.8 Country <Ctry>

*Presence:* [1..1]

*Definition:* Nation with its own government.

*Impacted by:* C2 "Country"

*Datatype:* "CountryCode" on page 181

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

## 11.2 Message Datatypes

### 11.2.1 CodeSet

#### 11.2.1.1 AddressType2Code

*Definition:* Specifies the type of address.

*Type:* CodeSet

| CodeName | Name        | Definition   |
|----------|-------------|--|
| ADDR     | Postal      | Address is the complete postal address.                    |
| PBOX     | POBox       | Address is a postal office (PO) box.                       |
| HOME     | Residential | Address is the home address.                               |
| BIZZ     | Business    | Address is the business address.                           |
| MLTO     | MailTo      | Address is the address to which mail is sent.              |
| DLVY     | DeliveryTo  | Address is the address to which delivery is to take place. |

### 11.2.1.2 CountryCode

*Definition:* Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Type:* CodeSet

#### Format

pattern [A-Z]{2,2}

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 11.2.1.3 DataModification1Code

*Definition:* Specified the type of modification to be applied on a data set.

*Type:* CodeSet

| CodeName | Name                 | Definition                                     |
|----------|----------------------|--|
| INSE     | InsertNewDataSet     | Insert a new set of data.                      |
| UPDT     | UpdateDataSetDetails | Update the details of an existing set of data. |
| DELT     | DeleteDataSet        | Delete an existing set of data.                |

### 11.2.1.4 ErrorHandling1Code

*Definition:* Error codes generated when the response to a query exceeds the maximum size or the data is not available.

*Type:* CodeSet

| CodeName | Name                     | Definition   |
|----------|--------------------------|--|
| X020     | DataNotYetAvailable      | Specifies the error code when the data requested are not yet available.  |
| X030     | MessageSizeLimitExceeded | Specifies the error code when the data requested generates a message size that exceed the processing capacity. |

| CodeName | Name             | Definition  |
|----------|------------------|---|
| X050     | DataNotAvailable | Specifies the error code when the data requested have not been found. |

#### 11.2.1.5 ExternalStatusReason1Code

*Definition:* Specifies the status reason, as published in an external status reason code list.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

##### Format

|           |   |
|-----------|---|
| minLength | 1 |
| maxLength | 4 |

#### 11.2.1.6 ExternalSystemErrorHandler1Code

*Definition:* Specifies the error code as generated by the system in the response, as published in an external balance type code set.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

##### Format

|           |   |
|-----------|---|
| minLength | 1 |
| maxLength | 4 |

#### 11.2.1.7 ExternalSystemPartyType1Code

*Definition:* Specifies the system party type, as published in an external system party type code set.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

##### Format

|           |   |
|-----------|---|
| minLength | 1 |
| maxLength | 4 |

#### 11.2.1.8 LockStatus1Code

*Definition:* Specifies the lock status of a party.

*Type:* CodeSet

| CodeName | Name     | Definition                            |
|----------|----------|---------------------------------------|
| LOCK     | Locked   | Party has been locked for operations. |
| ULCK     | Unlocked | Party is ready for operations.        |

### 11.2.1.9 NamePrefix2Code

*Definition:* Specifies the terms used to formally address a person.

*Type:* CodeSet

| CodeName | Name          | Definition                                  |
|----------|---------------|---|
| DOCT     | Doctor        | Title of the person is Doctor or Dr.        |
| MADM     | Madam         | Title of the person is Madam.               |
| MISS     | Miss          | Title of the person is Miss.                |
| MIST     | Mister        | Title of the person is Mister or Mr.        |
| MIKS     | GenderNeutral | Title of the person is gender neutral (Mx). |

### 11.2.1.10 PreferredContactMethod2Code

*Definition:* Preferred method used to reach the individual contact within an organisation.

*Type:* CodeSet

| CodeName | Name              | Definition  |
|----------|-------------------|---|
| MAIL     | Email             | Preferred method used to reach the contact is per email.                |
| FAXX     | Fax               | Preferred method used to reach the contact is per fax.                  |
| LETT     | Letter            | Preferred method used to reach the contact is per letter.               |
| CELL     | MobileOrCellPhone | Preferred method used to reach the contact is per mobile or cell phone. |
| ONLI     | Online            | Preferred method used to reach the contact is online.                   |
| PHON     | Phone             | Preferred method used to reach the contact is per phone.                |

### 11.2.1.11 RequestType1Code

*Definition:* Specifies the request used to further detail the type of information that will be queried.

*Type:* CodeSet

| CodeName | Name              | Definition  |
|----------|-------------------|---|
| RT01     | ReleasePending    | Type is a request to release the pending transactions.        |
| RT02     | HoldNormal        | Type is a request to hold normal transactions.                |
| RT03     | Cancellation      | Type is a request to cancellation a transaction.              |
| RT04     | ReSequence        | Type is a request to change the sequence of the transactions. |
| RT05     | ReleaseSuspicious | Type is a request to release the suspicious transactions.     |

### 11.2.1.12 RequestType2Code

*Definition:* Specifies the request used to further detail the type of information that will be queried.

*Type:* CodeSet

| CodeName | Name                         | Definition                                      |
|----------|------------------------------|---|
| RT11     | BankPositionEnquiry          | Type is an enquiry on the bank's position.      |
| RT12     | SpecificTransactionEnquiry   | Type is an enquiry on a specific transaction.   |
| RT13     | QueuedTransactionEnquiry     | Type is an enquiry on a queued transaction.     |
| RT14     | SuspiciousTransactionEnquiry | Type is an enquiry on a suspicious transaction. |
| RT15     | PendingInwardCreditsEnquiry  | Type is an enquiry on pending inward credits.   |

### 11.2.1.13 ResidenceType1Code

*Definition:* Specifies the type of residence where the party has its permanent home or principal establishment.

*Type:* CodeSet

| CodeName | Name     | Definition             |
|----------|----------|------------------------|
| DMST     | Domestic | Residence is domestic. |
| FRGN     | Foreign  | Residence is foreign.  |
| MXED     | Mixed    | Residence is mixed     |

### 11.2.1.14 Status6Code

*Definition:* Specifies the status of an instruction.

*Type:* CodeSet

| CodeName | Name      | Definition                     |
|----------|-----------|--------------------------------|
| REJT     | Rejected  | Instruction has been rejected. |
| COMP     | Completed | Processing has been completed. |
| QUED     | Queued    | Instruction is queued.         |

## 11.2.2 Date

### 11.2.2.1 ISODate

*Definition:* A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

*Type:* Date



## 11.2.3 DateTime

### 11.2.3.1 ISODateTime

*Definition:* A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

*Type:* DateTime

## 11.2.4 IdentifierSet

### 11.2.4.1 AnyBICDec2014Identifier

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Type:* IdentifierSet

*Identification scheme:* SWIFT; AnyBICIdentifier

#### Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

#### Constraints

- AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### 11.2.4.2 BICFIDec2014Identifier

*Definition:* Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Type:* IdentifierSet

*Identification scheme:* SWIFT; BICIdentifier

**Format**

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

**Constraints**

• **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

**11.2.4.3 LEIIdentifier**

*Definition:* Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

*Type:* IdentifierSet

*Identification scheme:* Global LEI System; LEIIdentifier

**Format**

pattern [A-Z0-9]{18,18}[0-9]{2,2}

**11.2.5 Indicator**

**11.2.5.1 RequestedIndicator**

*Definition:* Indicates if requested or not.

*Type:* Indicator

*Meaning When True:* Requested

*Meaning When False:* Not Requested

**11.2.6 Text**

**11.2.6.1 Exact4AlphaNumericText**

*Definition:* Specifies an alphanumeric string with a length of 4 characters.

*Type:* Text

**Format**

pattern [a-zA-Z0-9]{4}

**11.2.6.2 Max128Text**

*Definition:* Specifies a character string with a maximum length of 128 characters.

*Type:* Text

**Format**

|           |     |
|-----------|-----|
| minLength | 1   |
| maxLength | 128 |

### 11.2.6.3 Max140Text

*Definition:* Specifies a character string with a maximum length of 140 characters.

*Type:* Text

**Format**

|           |     |
|-----------|-----|
| minLength | 1   |
| maxLength | 140 |

### 11.2.6.4 Max16Text

*Definition:* Specifies a character string with a maximum length of 16 characters.

*Type:* Text

**Format**

|           |    |
|-----------|----|
| minLength | 1  |
| maxLength | 16 |

### 11.2.6.5 Max2048Text

*Definition:* Specifies a character string with a maximum length of 2048 characters.

*Type:* Text

**Format**

|           |      |
|-----------|------|
| minLength | 1    |
| maxLength | 2048 |

### 11.2.6.6 Max256Text

*Definition:* Specifies a character string with a maximum length of 256 characters.

*Type:* Text

**Format**

|           |     |
|-----------|-----|
| minLength | 1   |
| maxLength | 256 |

### 11.2.6.7 Max350Text

*Definition:* Specifies a character string with a maximum length of 350 characters.

*Type:* Text

**Format**

|           |     |
|-----------|-----|
| minLength | 1   |
| maxLength | 350 |

### 11.2.6.8 Max35Text

*Definition:* Specifies a character string with a maximum length of 35 characters.

*Type:* Text

**Format**

|           |    |
|-----------|----|
| minLength | 1  |
| maxLength | 35 |

### 11.2.6.9 Max4AlphaNumericText

*Definition:* Specifies an alphanumeric string with a maximum length of 4 characters.

*Type:* Text

**Format**

|           |                  |
|-----------|------------------|
| minLength | 1                |
| maxLength | 4                |
| pattern   | [a-zA-Z0-9]{1,4} |

### 11.2.6.10 Max4Text

*Definition:* Specifies a character string with a maximum length of 4 characters.

*Type:* Text

**Format**

|           |   |
|-----------|---|
| minLength | 1 |
| maxLength | 4 |

### 11.2.6.11 Max70Text

*Definition:* Specifies a character string with a maximum length of 70characters.

*Type:* Text

**Format**

|           |    |
|-----------|----|
| minLength | 1  |
| maxLength | 70 |

### 11.2.6.12 PhoneNumber

*Definition:* The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+" and "-" (up to 30 characters).

*Type:* Text

**Format**

|         |                               |
|---------|-------------------------------|
| pattern | \+[0-9]{1,3}-[0-9()+\-]{1,30} |
|---------|-------------------------------|