

ISO 20022

Corporate Actions - ISO 15022 Variants - Maintenance 2023 - 2024

Message Definition Report - Part 2

Approved by the Securities SEG on 31 January 2024

This document provides details of the Message Definitions for Corporate Actions - ISO 15022 Variants - Maintenance 2023 - 2024.

March 2024

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1 Message Set Overview

Introduction

This document describes the Corporate Actions - ISO 15022 Variants message set. It includes the new version of the MessageDefinitions that have been added as part of the maintenance cycle 2023-2024 (See MCR #1345) and approved by the Securities Standards Evaluation Group on 31 January 2024.

1.1 List of MessageDefinitions

The following table lists all MessageDefinitions described in this book.

MessageDefinition	Definition
head.001.001.02 BusinessApplicationHeaderV02	<p>The Business Layer deals with Business Messages. The behaviour of the Business Messages is fully described by the Business Transaction and the structure of the Business Messages is fully described by the Message Definitions and related Message Rules, Rules and Market Practices. All of which are registered in the ISO 20022 Repository.</p> <p>A single new Business Message (with its accompanying business application header) is created - by the sending MessagingEndpoint - for each business event; that is each interaction in a Business Transaction. A Business Message adheres to the following principles:</p> <p>" A Business Message (and its business application header) must not contain information about the Message Transport System or the mechanics or mechanism of message sending, transportation, or receipt.</p> <p>" A Business Message must be comprehensible outside of the context of the Transport Message. That is the Business Message must not require knowledge of the Transport Message to be understood.</p> <p>" A Business Message may contain headers, footers, and envelopes that are meaningful for the business. When present, they are treated as any other message content, which means that they are considered part of the Message Definition of the Business Message and as such will be part of the ISO 20022 Repository.</p> <p>" A Business Message refers to Business Actors by their Name. Each instance of a Business Actor has one Name. The Business Actor must not be referred to in the Transport Layer.</p> <p>Specific usage of this BusinessMessageHeader may be defined by the relevant SEG.</p>
seev.031.002.14 CorporateActionNotification002V14	<p>The CorporateActionNotification message is sent by an account servicer to an account owner or its designated agent to notify details of a corporate action event and optionally account information and preliminary information about eligible balance and entitlements.</p>

MessageDefinition	Definition
	<p>It may also include possible elections or choices available to the account owner. The account servicer can initially send the CorporateActionNotification message as a preliminary advice, subsequently replaced by another CorporateActionNotification message with complete or confirmed information.</p> <p>It may also be sent to an account owner or its designated agent, to remind of event details and/or of missing or incomplete instructions for a corporate action event.</p>
seev.032.002.08 CorporateActionEventProcessingStatusAdvice02V08	<p>An account servicer sends the CorporateActionEventProcessingStatusAdvice message to an account owner or its designated agent to report processing status of a corporate action event.</p> <p>The account servicer uses this message to provide a reason as to why a corporate action event has not been completed by the announced payment dates.</p>
seev.033.002.12 CorporateActionInstruction002V12	<p>The CorporateActionInstruction message is sent by an account owner to an account servicer to instruct election on a corporate action event.</p> <p>This message is used to provide the custodian with instructions on how the account owner wishes to proceed with a corporate action event. Instructions include investment decisions regarding the exercise of rights issues, the election of stock or cash when the option is available, and decisions on the conversion or tendering of securities.</p>
seev.034.002.14 CorporateActionInstructionStatusAdvice002V14	<p>The CorporateActionInstructionStatusAdvice message is sent by an account servicer to an account owner or its designated agent, to report the status of a received corporate action election instruction.</p> <p>This message is used to advise the status, or a change in status, of a corporate action-related transaction previously instructed by, or executed on behalf of, the account owner. This will include the acknowledgement/rejection of a corporate action instruction.</p>
seev.035.002.15 CorporateActionMovementPreliminaryAdvice002V15	<p>The CorporateActionMovementPreliminaryAdvice message is sent by an account servicer to an account owner or its designated agent:</p> <ul style="list-style-type: none"> - to advise about the eligible balance and entitlements and, - to pre-advise an upcoming posting or reversal of securities and/or cash postings.
seev.036.002.15 CorporateActionMovementConfirmation002V15	<p>The CorporateActionMovementConfirmation message is sent by an account servicer to an account owner or its designated agent to confirm the posting of securities or cash as a result of a corporate action event.</p>
seev.037.002.15 CorporateActionMovementReversalAdvice002V15	<p>The CorporateActionMovementReversalAdvice message is sent by an account servicer to an account</p>

MessageDefinition	Definition
	owner or its designated agent to reverse a previously confirmed posting of securities or cash.
seev.038.002.08 CorporateActionNarrative002V08	The CorporateActionNarrative message is sent between an account servicer and an account owner or its designated agent to cater for such processes that cannot be handled using messages such as the CorporateActionNotification. It is not to be used for event narrative as that should be included in the CorporateActionNotification. Examples of when the CorporateActionNarrative message may be used include tax reclaims, restrictions, documentation requirements. This message should only be used when bilaterally agreed. This message is bi-directional.
seev.039.002.12 CorporateActionCancellationAdvice002V12	The CorporateActionCancellationAdvice message is sent by an account servicer to an account owner or its designated agent to cancel a previously announced corporate action event in case of error from the account servicer or in case of withdrawal by the issuer.
seev.040.002.12 CorporateActionInstructionCancellationRequest002V12	The CorporateActionInstructionCancellationRequest message is sent by an account owner to an account servicer to request cancellation of a previously sent corporate action election instruction.
seev.041.002.13 CorporateActionInstructionCancellationRequestStatusAdvice002V13	The CorporateActionInstructionCancellationRequestStatusAdvice message is sent by an account servicer to an account owner or its designated agent to report the status of a previously received CorporateActionInstructionCancellationRequest message sent by the account owner. This will include the acknowledgement/rejection of a request to cancel an outstanding instruction.
seev.042.002.12 CorporateActionInstructionStatementReport002V12	The CorporateActionInstructionStatementReport message is sent by an account servicer to an account owner or its designated agent to report balances at the safekeeping account level for one or more corporate action events or at the corporate action event level for one or more safekeeping accounts.
seev.044.002.12 CorporateActionMovementPreliminaryAdviceCancellationAdvice002V12	The CorporateActionMovementPreliminaryAdviceCancellationAdvice message is sent by an account servicer to an account owner or its designated agent to cancel a previously announced CorporateActionMovementPreliminaryAdvice.

2 **head.001.001.02 BusinessApplicationHeaderV02**

2.1 **MessageDefinition Functionality**

The Business Layer deals with Business Messages. The behaviour of the Business Messages is fully described by the Business Transaction and the structure of the Business Messages is fully described by the Message Definitions and related Message Rules, Rules and Market Practices. All of which are registered in the ISO 20022 Repository.

A single new Business Message (with its accompanying business application header) is created - by the sending MessagingEndpoint - for each business event; that is each interaction in a Business Transaction. A Business Message adheres to the following principles:

" A Business Message (and its business application header) must not contain information about the Message Transport System or the mechanics or mechanism of message sending, transportation, or receipt.

" A Business Message must be comprehensible outside of the context of the Transport Message. That is the Business Message must not require knowledge of the Transport Message to be understood.

" A Business Message may contain headers, footers, and envelopes that are meaningful for the business. When present, they are treated as any other message content, which means that they are considered part of the Message Definition of the Business Message and as such will be part of the ISO 20022 Repository.

" A Business Message refers to Business Actors by their Name. Each instance of a Business Actor has one Name. The Business Actor must not be referred to in the Transport Layer.

Specific usage of this BusinessMessageHeader may be defined by the relevant SEG.

Outline

The BusinessApplicationHeaderV02 MessageDefinition is composed of 14 MessageBuildingBlocks:

A. CharSet

Contains the character set of the text-based elements used in the Business Message.

B. From

The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

C. To

The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

D. BusinessMessageIdentifier

Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

E. MessageDefinitionIdentifier

Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

F. BusinessService

Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

G. MarketPractice

Specifies the market practice to which the message conforms. The market practices are a set of rules agreed between parties that restricts the usage of the messages in order to achieve better STP (Straight Through Processing) rates.

A market practice specification may also extend the underlying message specification by using extensions or supplementary data of this underlying message.

H. CreationDate

Date and time when this Business Message (header) was created.

I. BusinessProcessingDate

Processing date and time indicated by the sender for the receiver of the business message. This date may be different from the date and time provided in the CreationDate.

Usage: Market practice or bilateral agreement should specify how this element should be used.

J. CopyDuplicate

Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

K. PossibleDuplicate

Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

L. Priority

Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

M. Signature

Contains the digital signature of the Business Entity authorised to sign this Business Message.

N. Related

Specifies the Business Application Header(s) of the Business Message(s) to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.

2.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AppHdr>	[1..1]		C5	
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	12
	From <Fr>	[1..1]			12
{Or	OrganisationIdentification <OrgId>	[1..1]	±		12
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		13
	To <To>	[1..1]			13
{Or	OrganisationIdentification <OrgId>	[1..1]	±		13
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		13
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		14
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		14
	BusinessService <BizSvc>	[0..1]	Text		14
	MarketPractice <MktPrctc>	[0..1]			14
	Registry <Regy>	[1..1]	Text		15
	Identification <Id>	[1..1]	Text		15
	CreationDate <CreDt>	[1..1]	DateTime		15
	BusinessProcessingDate <BizPrccDt>	[0..1]	DateTime		15
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		15
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		16
	Priority <Prty>	[0..1]	CodeSet	C7	16
	Signature <Sgntr>	[0..1]	(External Schema)		17
	Related <Rltd>	[0..*]			17
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	18
	From <Fr>	[1..1]			18
{Or	OrganisationIdentification <OrgId>	[1..1]	±		18
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		18
	To <To>	[1..1]			19
{Or	OrganisationIdentification <OrgId>	[1..1]	±		19
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		19
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		20
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		20

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	BusinessService <BizSvc>	[0..1]	Text		20
	CreationDate <CreDt>	[1..1]	DateTime		20
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		20
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		21
	Priority <Prty>	[0..1]	CodeSet	C7	21
	Signature <Sgntr>	[0..1]	(External Schema)		22

2.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4 OnlySignatureElement

The XML Signature namespace ("http://www.w3.org/2000/09/xmldsig#") allows for different XML elements to be root elements. This means the user has to choose amongst these global elements which one to use as the root element. Only the XML element Signature is allowed.

C5 RelatedPresentWhenCopyDupl

Related MUST contain the relevant BusinessMessageHeader elements of the BusinessMessage to which this BusinessMessage relates.

If CopyDuplicate is present, then Related MUST be present.

```
On Condition
  /CopyDuplicate is present
Following Must be True
  /Related[1] Must be present
```

This constraint is defined at the MessageDefinition level.

C6 ValidationByTable

C7 ValidationByTable

2.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

2.4.1 CharacterSet <CharSet>

Presence: [0..1]

Definition: Contains the character set of the text-based elements used in the Business Message.

Impacted by: C6 "ValidationByTable"

Datatype: "UnicodeChartsCode" on page 1177

Constraints

- **ValidationByTable**

2.4.2 From <Fr>

Presence: [1..1]

Definition: The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

From <Fr> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		12
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		13

2.4.2.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "PartyIdentification135" on page 948 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		949
	PostalAddress <PstlAdr>	[0..1]	±		949
	Identification <Id>	[0..1]	±		950
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	950
	ContactDetails <CtctDtls>	[0..1]	±		951

2.4.2.2 FinancialInstitutionIdentification <FIld>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIld> contains the following elements (see "[BranchAndFinancialInstitutionIdentification6](#)" on page 764 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		764
	BranchIdentification <BrnchId>	[0..1]	±		765

2.4.3 To <To>

Presence: [1..1]

Definition: The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

To <To> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		13
Or}	FinancialInstitutionIdentification <FIld>	[1..1]	±		13

2.4.3.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "[PartyIdentification135](#)" on page 948 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		949
	PostalAddress <PstlAdr>	[0..1]	±		949
	Identification <Id>	[0..1]	±		950
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	950
	ContactDetails <CtctDtls>	[0..1]	±		951

2.4.3.2 FinancialInstitutionIdentification <FIld>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIId> contains the following elements (see "BranchAndFinancialInstitutionIdentification6" on page 764 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		764
	BranchIdentification <BrnchId>	[0..1]	±		765

2.4.4 BusinessMessageIdentifier <BizMsgIdr>

Presence: [1..1]

Definition: Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

Datatype: "Max35Text" on page 1184

2.4.5 MessageDefinitionIdentifier <MsgDefIdr>

Presence: [1..1]

Definition: Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

Datatype: "Max35Text" on page 1184

2.4.6 BusinessService <BizSvc>

Presence: [0..1]

Definition: Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

Datatype: "Max35Text" on page 1184

2.4.7 MarketPractice <MktPrctc>

Presence: [0..1]

Definition: Specifies the market practice to which the message conforms. The market practices are a set of rules agreed between parties that restricts the usage of the messages in order to achieve better STP (Straight Through Processing) rates.

A market practice specification may also extend the underlying message specification by using extensions or supplementary data of this underlying message.

MarketPractice <MktPrctc> contains the following **ImplementationSpecification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Registry <Regy>	[1..1]	Text		15
	Identification <Id>	[1..1]	Text		15

2.4.7.1 Registry <Regy>

Presence: [1..1]

Definition: Name of the implementation specification registry in which the implementation specification of the ISO 20022 message is maintained.

For example, "MyStandards".

Datatype: "Max350Text" on page 1184

2.4.7.2 Identification <Id>

Presence: [1..1]

Definition: Identifier which unambiguously identifies, within the implementation specification registry, the implementation specification to which the ISO 20022 message is compliant. This can be done via a URN. It can also contain a version number or date.

For instance, "2018-01-01 - Version 2" or "urn:uuid:6e8bc430-9c3a-11d9-9669-0800200c9a66".

Datatype: "Max2048Text" on page 1183

2.4.8 CreationDate <CreDt>

Presence: [1..1]

Definition: Date and time when this Business Message (header) was created.

Datatype: "ISODateTime" on page 1178

2.4.9 BusinessProcessingDate <BizPrcgDt>

Presence: [0..1]

Definition: Processing date and time indicated by the sender for the receiver of the business message. This date may be different from the date and time provided in the CreationDate.

Usage: Market practice or bilateral agreement should specify how this element should be used.

Datatype: "ISODateTime" on page 1178

2.4.10 CopyDuplicate <CpyDplct>

Presence: [0..1]

Definition: Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

Datatype: "CopyDuplicate1Code" on page 1105

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

2.4.11 PossibleDuplicate <PssbIDp1ct>

Presence: [0..1]

Definition: Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 1180](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.12 Priority <Prty>

Presence: [0..1]

Definition: Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

Impacted by: [C7 "ValidationByTable"](#)

Datatype: ["BusinessMessagePriorityCode" on page 1104](#)

Constraints

- **ValidationByTable**

2.4.13 Signature <Sgntr>

Presence: [0..1]

Definition: Contains the digital signature of the Business Entity authorised to sign this Business Message.

Type: (External Schema)

The W3C XML Schema that specifies following standard signature:

XML Signature Syntax and Processing (Second Edition) W3C Recommendation 10 June 2008

<http://www.w3.org/TR/2008/REC-xmlsig-core-20080610/>.

2.4.14 Related <Rltd>

Presence: [0..*]

Definition: Specifies the Business Application Header(s) of the Business Message(s) to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.

Related <Rltd> contains the following **BusinessApplicationHeader5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	18
	From <Fr>	[1..1]			18
{Or	OrganisationIdentification <OrgId>	[1..1]	±		18
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		18
	To <To>	[1..1]			19
{Or	OrganisationIdentification <OrgId>	[1..1]	±		19
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		19
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		20
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		20
	BusinessService <BizSvc>	[0..1]	Text		20
	CreationDate <CreDt>	[1..1]	DateTime		20
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		20
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		21
	Priority <Prty>	[0..1]	CodeSet	C7	21
	Signature <Sgntr>	[0..1]	(External Schema)		22

2.4.14.1 CharacterSet <CharSet>

Presence: [0..1]

Definition: Contains the character set of the text-based elements used in the Business Message.

Impacted by: C6 "ValidationByTable"

Datatype: "UnicodeChartsCode" on page 1177

Constraints

- **ValidationByTable**

2.4.14.2 From <Fr>

Presence: [1..1]

Definition: The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

From <Fr> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		18
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		18

2.4.14.2.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "PartyIdentification135" on page 948 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		949
	PostalAddress <PstlAdr>	[0..1]	±		949
	Identification <Id>	[0..1]	±		950
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	950
	ContactDetails <CtctDtls>	[0..1]	±		951

2.4.14.2.2 FinancialInstitutionIdentification <FIId>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIld> contains the following elements (see "[BranchAndFinancialInstitutionIdentification6](#)" on page 764 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		764
	BranchIdentification <BrnchId>	[0..1]	±		765

2.4.14.3 To <To>

Presence: [1..1]

Definition: The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

To <To> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		19
Or}	FinancialInstitutionIdentification <FIld>	[1..1]	±		19

2.4.14.3.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "[PartyIdentification135](#)" on page 948 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		949
	PostalAddress <PstlAdr>	[0..1]	±		949
	Identification <Id>	[0..1]	±		950
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	950
	ContactDetails <CtctDtls>	[0..1]	±		951

2.4.14.3.2 FinancialInstitutionIdentification <FIld>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIId> contains the following elements (see "BranchAndFinancialInstitutionIdentification6" on page 764 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		764
	BranchIdentification <BrnchId>	[0..1]	±		765

2.4.14.4 BusinessMessageIdentifier <BizMsgIdr>

Presence: [1..1]

Definition: Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

Datatype: "Max35Text" on page 1184

2.4.14.5 MessageDefinitionIdentifier <MsgDefIdr>

Presence: [1..1]

Definition: Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

Datatype: "Max35Text" on page 1184

2.4.14.6 BusinessService <BizSvc>

Presence: [0..1]

Definition: Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

Datatype: "Max35Text" on page 1184

2.4.14.7 CreationDate <CreDt>

Presence: [1..1]

Definition: Date and time when this Business Message (header) was created.

Note Times must be normalized, using the "Z" annotation.

Datatype: "ISODatetime" on page 1178

2.4.14.8 CopyDuplicate <CpyDplct>

Presence: [0..1]

Definition: Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

Datatype: "CopyDuplicate1Code" on page 1105

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

2.4.14.9 PossibleDuplicate <PssbIDplct>

Presence: [0..1]

Definition: Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.14.10 Priority <Prty>

Presence: [0..1]

Definition: Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

Impacted by: C7 "ValidationByTable"

Datatype: "BusinessMessagePriorityCode" on page 1104

Constraints

- **ValidationByTable**

2.4.14.11 Signature <Sgntr>

Presence: [0..1]

Definition: Contains the digital signature of the Business Entity authorised to sign this Business Message.

Type: (External Schema)

The W3C XML Schema that specifies following standard signature:

XML Signature Syntax and Processing (Second Edition) W3C Recommendation 10 June 2008

<http://www.w3.org/TR/2008/REC-xmlsig-core-20080610/>.

3 **seev.031.002.14**

CorporateActionNotification002V14

3.1 **MessageDefinition Functionality**

Scope

The CorporateActionNotification message is sent by an account servicer to an account owner or its designated agent to notify details of a corporate action event and optionally account information and preliminary information about eligible balance and entitlements.

It may also include possible elections or choices available to the account owner. The account servicer can initially send the CorporateActionNotification message as a preliminary advice, subsequently replaced by another CorporateActionNotification message with complete or confirmed information.

It may also be sent to an account owner or its designated agent, to remind of event details and/or of missing or incomplete instructions for a corporate action event.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionNotification002V14 MessageDefinition is composed of 25 MessageBuildingBlocks:

A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

B. NotificationGeneralInformation

General information about the event notification type, status and contents.

C. PreviousNotificationIdentification

Identification of the previously sent notification document.

D. InstructionIdentification

Identification of a related instruction document.

E. OtherDocumentIdentification

Identification of other documents as well as the document number.

F. EventsLinkage

Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

G. CorporateActionGeneralInformation

General information about the corporate action event.

H. AccountDetails

General information about the safekeeping account, owner and account balance.

I. IntermediateSecurity

Details on rights credited to the account as for example trading period, expiry date, renounceability.

J. CorporateActionDetails

Information about the corporate action event.

K. CorporateActionOptionDetails

Information about the corporate action option.

L. AdditionalInformation

Additional information on the event.

M. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

N. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

O. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

P. Registrar

Party/agent responsible for maintaining the register of the security.

Q. ResellingAgent

Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

R. PhysicalSecuritiesAgent

Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

S. DropAgent

Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

T. SolicitationAgent

Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

U. InformationAgent

Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

V. Issuer

Issuer of the financial instrument.

W. Offeror

Party making the offer and which is different from the issuing company.

X. TransferAgent

Trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

Y. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

3.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root <Document> <CorpActnNtfctn></i>	[1..1]		C10, C12, C13, C14, C15, C18, C35, C36, C41, C42, C43, C44, C53, C54, C59, C61, C63, C64, C65, C71, C72, C73, C74, C78, C79, C80, C81, C82, C87, C88, C94, C96, C99, C102, C103, C116, C68	
	Pagination <Pgntn>	[0..1]	±		57
	NotificationGeneralInformation <NtfctnGnllnf>	[1..1]		C89	57
	NotificationIdentification <NtfctnId>	[0..1]	Text		58
	NotificationType <NtfctnTp>	[1..1]	CodeSet		58
	ProcessingStatus <PrcgSts>	[1..1]	±		58
	PreviousNotificationIdentification <PrvsNtfctnId>	[0..1]	±		58
	InstructionIdentification <InstrId>	[0..1]	±		59
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		59
	EventsLinkage <EvtsLkg>	[0..*]	±		59
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]		C91	60
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		60

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		61
	ClassActionNumber <ClsActnNb>	[0..1]	Text		61
	EventProcessingType <EvtPrcgTp>	[0..1]	±		61
	EventType <EvtTp>	[1..1]	±	C38	61
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		62
	UnderlyingSecurity <UndrlygScty>	[1..1]	±	C75, C97	62
	AccountDetails <AcctDtls>	[1..1]			64
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		65
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C104, C105, C106, C107	66
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		68
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		68
	AccountOwner <AcctOwnr>	[0..1]	±		68
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		68
	Balance <Bal>	[0..1]		C8	69
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		70
	BlockedBalance <BlckdBal>	[0..1]	±		71
	BorrowedBalance <BrrwdBal>	[0..1]	±		71
	CollateralInBalance <CollInBal>	[0..1]	±		71
	CollateralOutBalance <CollOutBal>	[0..1]	±		72
	OnLoanBalance <OnLnBal>	[0..1]	±		72
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		72
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		73
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		73
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		73
	StreetPositionBalance <StrtPosBal>	[0..1]	±		74
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		74
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		74
	RegisteredBalance <RegdBal>	[0..1]	±		75
	ObligatedBalance <OblgtdBal>	[0..1]	±		75

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	UninstructedBalance <UinstdBal>	[0..1]	±		75
	InstructedBalance <InstdBal>	[0..1]	±		76
	AffectedBalance <AfctdBal>	[0..1]	±		76
	UnaffectedBalance <UafctdBal>	[0..1]	±		76
	IntermediateSecurity <IntrmdtScty>	[0..1]	±		77
	CorporateActionDetails <CorpActnDtls>	[0..1]		C27, C29, C31, C33	77
	DateDetails <DtDtls>	[0..1]		C37	82
	AnnouncementDate <AnncmntDt>	[0..1]	±		84
	CertificationDeadline <CertfctnDdln>	[0..1]	±		84
	CourtApprovalDate <CrtApprvlDt>	[0..1]	±		85
	EarlyClosingDate <EarlyClsgDt>	[0..1]	±		85
	EffectiveDate <FctvDt>	[0..1]	±		85
	EqualisationDate <EqulstnDt>	[0..1]	±		85
	FurtherDetailedAnnouncementDate <FrthrDtldAnncmntDt>	[0..1]	±		86
	FixingDate <FvgDt>	[0..1]	±		86
	LotteryDate <LtryDt>	[0..1]	±		86
	NewMaturityDate <NewMtrtyDt>	[0..1]	±		87
	MeetingDate <MtgDt>	[0..1]	±		87
	MarginFixingDate <MrgnFvgDt>	[0..1]	±		87
	ProrationDate <PrratnDt>	[0..1]	±		88
	RecordDate <RcrdDt>	[0..1]	±		88
	RegistrationDeadline <RegnDdln>	[0..1]	±		88
	ResultsPublicationDate <RsItsPblctnDt>	[0..1]	±		89
	DeadlineToSplit <DdlnToSpl>	[0..1]	±		89
	DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr>	[0..1]	±		89
	TradingSuspendedDate <TradgSspdDt>	[0..1]	±		90
	UnconditionalDate <UcondlDt>	[0..1]	±		90
	WhollyUnconditionalDate <WhlyUcondlDt>	[0..1]	±		90
	ExDividendDate <ExDvddDt>	[0..1]	±		91

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>	[0..1]	±		91
	SpecialExDate <SpclExDt>	[0..1]	±		91
	GuaranteedParticipationDate <GrntedPrtpctnDt>	[0..1]	±		92
	ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdl>	[0..1]	±		92
	ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdl>	[0..1]	±		92
	LapsedDate <LpsdDt>	[0..1]	±		93
	PaymentDate <PmtDt>	[0..1]	±		93
	ThirdPartyDeadline <ThrdPtyDdl>	[0..1]	±		93
	EarlyThirdPartyDeadline <EarlyThrdPtyDdl>	[0..1]	±		94
	MarketClaimTrackingEndDate <MktClmTrckgEndDt>	[0..1]	±		94
	LeadPlaintiffDeadline <LeadPlntffDdl>	[0..1]	±		94
	FilingDate <FilgDt>	[0..1]	±		95
	HearingDate <HrgDt>	[0..1]	±		95
	PeriodDetails <PrdDtls>	[0..1]	±	C62	95
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C19	96
	InterestRate <IntrstRate>	[0..1]	±		97
	PercentageSought <PctgSght>	[0..1]	±		97
	RelatedIndex <RltdIndx>	[0..1]	±		98
	Spread <Sprd>	[0..1]	±		98
	BidInterval <BidIntrvl>	[0..1]	±		98
	PreviousFactor <PrvsFctr>	[0..1]	±		99
	NextFactor <NxtFctr>	[0..1]	±		99
	ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>	[0..1]	±		99
	InterestShortfall <IntrstShrtfll>	[0..1]	±		99
	RealisedLoss <RealsdLoss>	[0..1]	±		100
	DeclaredRate <DclrdRate>	[0..1]	±		100
	IndexFactor <IndxFctr>	[0..1]	±		100
	PriceDetails <PricDtls>	[0..1]			101
	MaximumPrice <MaxPric>	[0..1]	±		101
	MinimumPrice <MinPric>	[0..1]	±		101

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]	±		102
	LastBidIncrementPrice <LastBidIncrmtPric>	[0..1]	±		102
	SecuritiesQuantity <SctiesQty>	[0..1]		C77	103
	MaximumQuantity <MaxQty>	[0..1]	±	C25	103
	MinimumQuantitySought <MinQtySght>	[0..1]	±	C25	104
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C26	104
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C26	105
	BaseDenomination <BaseDnmtn>	[0..1]	±	C26	105
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C26	106
	InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>	[0..1]	Quantity	C90	106
	CouponNumber <CpnNb>	[0..*]	±		107
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		107
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		107
	RestrictionIndicator <RstrctnInd>	[0..1]	Indicator		107
	AccruedInterestIndicator <AcrdIntrstInd>	[0..1]	Indicator		108
	LetterOfGuaranteedDeliveryIndicator <LtrOfGrntedDlvryInd>	[0..1]	Indicator		108
	ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>	[0..1]	Indicator		108
	DividendType <DvddTp>	[0..1]	±		108
	EventSequenceType <EvtSeqTp>	[0..1]	±		108
	OccurrenceType <OcrncTp>	[0..1]	±		109
	OfferType <OfferTp>	[0..*]	±		109
	RenounceableEntitlementStatusType <RnncblEntitlmntStsTp>	[0..1]	±		109
	EventStage <EvtStag>	[0..*]	±		109
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		110
	ChangeType <ChngTp>	[0..*]	±		110
	IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtp>	[0..1]	±		110
	CapitalGainInOutIndicator <CptlGnlInOutInd>	[0..1]	±		110
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]	±		111
	ElectionType <ElctnTp>	[0..1]	±		111
	LotteryType <LtryTp>	[0..1]	±		111
	CertificationType <CertfctnTp>	[0..1]	±		112

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ConsentType <CnsntTp>	[0..1]	±		112
	InformationType <InfTp>	[0..1]	±		112
	TaxOnNonDistributedProceedsIndicator <TaxOnNonDstrbtdPrcdsInd>	[0..*]	±		112
	NewPlaceOfIncorporation <NewPlcOfIncorprtn>	[0..1]	Text		113
	AdditionalInformation <AddtlInf>	[0..1]		C3	113
	Offeror <Offerr>	[0..*]	Text		113
	NewCompanyName <NewCpnyNm>	[0..1]	Text		113
	URLAddress <URLAdr>	[0..*]	±		114
	EventProcessingWebSiteAddress <EvtPrcgWebSiteAdr>	[0..1]	Text		114
	CorporateActionOptionDetails <CorpActnOptnDtls>	[0..*]		C7, C11, C28, C30, C32, C34, C60, C93, C101, C92	114
	OptionNumber <OptnNb>	[1..1]	Text		124
	OptionType <OptnTp>	[1..1]	±		124
	FractionDisposition <FrctnDspstn>	[0..1]	±		124
	OfferType <OfferTp>	[0..*]	±		125
	OptionFeatures <OptnFeatr>	[0..*]	±		125
	OptionAvailabilityStatus <OptnAvlbtySts>	[0..1]	±		125
	CertificationBreakdownType <CertfctnBrkdwnTp>	[0..*]	±		125
	BidRangeType <BidRgTp>	[0..1]	±		126
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C16	126
	ValidDomicileCountry <VldDmclCtry>	[0..*]	CodeSet	C16	126
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	127
	DefaultProcessingOrStandingInstruction <DfltPrcgOrStglInstr>	[1..1]	±		127
	ChargesAppliedIndicator <ChrgsApldInd>	[0..1]	Indicator		127
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		127
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[0..1]	Indicator		128
	ChangeAllowedIndicator <ChngAllwdInd>	[0..1]	Indicator		128
	AppliedOptionIndicator <ApldOptnInd>	[0..1]	Indicator		128

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C22, C23, C66, C67, C95	128
	DateDetails <DtDtls>	[0..1]			129
	EarlyResponseDeadline <EarlyRspnDdlIn>	[0..1]	±		130
	CoverExpirationDeadline <CoverXprtnDdlIn>	[0..1]	±		130
	ProtectDeadline <PrctDdlIn>	[0..1]	±		131
	MarketDeadline <MktDdlIn>	[0..1]	±		131
	ResponseDeadline <RspnDdlIn>	[0..1]	±		131
	ExpiryDate <XpryDt>	[0..1]	±		132
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		132
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		133
	StockLendingDeadline <StockLndgDdlIn>	[0..1]	±		133
	BorrowerStockLendingDeadline <BrrwrStockLndgDdlIn>	[0..*]			133
	StockLendingDeadline <StockLndgDdlIn>	[1..1]	±		133
	Borrower <Brrwr>	[1..1]	±		134
	EndOfSecuritiesBlockingPeriod <EndOfSciesBlckgPrd>	[0..1]	±		134
	PeriodDetails <PrdDtls>	[0..1]			134
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		135
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		135
	ActionPeriod <ActnPrd>	[0..1]	±		135
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		136
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		136
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		136
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		136
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C48, C50, C83, C85, C108, C110, C112, C117, C119	137
	AdditionalTax <AddtlTax>	[0..1]	±		138

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	GrossDividendRate <GrssDvddRate>	[0..*]	±		139
	NetDividendRate <NetDvddRate>	[0..*]	±		139
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		140
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>	[0..1]	±		141
	ProrationRate <PrratnRate>	[0..1]	±		141
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		142
	SecondLevelTax <ScndLvITax>	[0..*]	±		142
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		142
	IssuerDeclaredExchangeRate <IssrDclrdXchgRate>	[0..1]	±		143
	TaxOnIncome <TaxOnIncm>	[0..1]	±		143
	BidInterval <BidIntrvl>	[0..1]	±		143
	PriceDetails <PricDtls>	[0..1]			144
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		144
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		145
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		145
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		145
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		146
	MaximumPrice <MaxPric>	[0..1]	±		146
	MinimumPrice <MinPric>	[0..1]	±		146
	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]	±		147
	LastBidIncrementPrice <LastBidIncrmtPric>	[0..1]	±		147
	SecuritiesQuantity <SctiesQty>	[0..1]			148
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±	C25	148
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C25	149
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C26	149
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C26	150
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C26	150
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C26	151
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±	C26	151
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C39, C55, C57, C69	152

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityDetails <SctyDtls>	[1..1]	±	C76, C98	155
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		156
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		156
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]			156
{Or	Code <Cd>	[1..1]	CodeSet		156
Or}	Proprietary <Prtry>	[1..1]	±		157
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		157
	NewSecuritiesIssuanceIndicator <NewSctiesIssncInd>	[0..1]	CodeSet		157
	IncomeType <IncmTp>	[0..1]	±		158
	OtherIncomeType <OthrIncmTp>	[0..*]	±		158
	ExemptionType <XmptnTp>	[0..*]	±		159
	EntitledQuantity <EntitldQty>	[0..1]	±		159
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		159
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C16	160
	FractionDisposition <FrctnDspstn>	[0..1]	±		160
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	160
	TradingPeriod <TradgPrd>	[0..1]	±		161
	DateDetails <DtDtls>	[1..1]			161
	PaymentDate <PmtDt>	[1..1]	±		161
	AvailableDate <AvlblDt>	[0..1]	±		162
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		162
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		162
	PariPassuDate <PrpssDt>	[0..1]	±		163
	LastTradingDate <LastTradgDt>	[0..1]	±		163
	RateDetails <RateDtls>	[0..1]			163
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbdRsltntScties>	[0..1]	±		164
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		164
	NewToOld <NewToOd>	[0..1]	±		165
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		165
	ChargesFees <ChrgsFees>	[0..1]	±		165

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	FiscalStamp <FscIStmp>	[0..1]	±		166
	ApplicableRate <ApblRate>	[0..1]	±		166
	TaxCreditRate <TaxCdtRate>	[0..1]	±		166
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		167
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		167
	SecondLevelTax <ScndLvlTax>	[0..*]	±		167
	PriceDetails <PricDtls>	[0..1]		C45	168
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		168
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		169
	CashValueForTax <CshValForTax>	[0..1]	±		169
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		169
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		170
	AmountDetails <AmtDtls>	[0..1]			170
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C17	171
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C17	171
	CashMovementDetails <CshMvmntDtls>	[0..*]		C40, C56, C58, C70, C46	172
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		176
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		176
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]			177
{Or	Code <Cd>	[1..1]	CodeSet		177
Or}	Proprietary <Prtry>	[1..1]	±		177
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		178
	IncomeType <IncmTp>	[0..1]	±		178
	OtherIncomeType <OthrIncmTp>	[0..*]	±		178
	ExemptionType <XmptnTp>	[0..*]	±		178
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C16	179
	CashAccountIdentification <CshAcctId>	[0..1]	±		179
	AmountDetails <AmtDtls>	[0..1]			179
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C17	181

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C17	182
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C17	182
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C17	182
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C17	183
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C17	183
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C17	184
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C17	184
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C17	185
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C17	185
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C17	185
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C17	186
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C17	186
	TaxDeferredAmount <TaxDfrrdAmt>	[0..1]	Amount	C1, C17	187
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C17	187
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C17	187
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C17	188
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C17	188
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C17	189
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C17	189
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C17	189
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C17	190
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C17	190
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C17	191
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C17	191
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C17	191
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C17	192
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C17	192
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C17	193
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C17	193
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C17	193
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C17	194

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C17	194
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C17	195
	EUTaxRetentionAmount <EUTaxRttnAmt>	[0..1]	Amount	C1, C17	195
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C17	195
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C17	196
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C17	196
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C17	197
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C17	197
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C17	197
	TransactionTax <TxTax>	[0..1]	Amount	C1, C17	198
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C17	198
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C17	199
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C17	199
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C17	199
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C17	200
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C17	200
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C1, C17	201
	DateDetails <DtDtls>	[1..1]			201
	PaymentDate <PmtDt>	[1..1]	±		201
	ValueDate <ValDt>	[0..1]	±		202
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		202
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		202
	ForeignExchangeDetails <FXDtls>	[0..1]	±		203
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C20, C21, C49, C51, C84, C86, C109, C111, C113, C118, C120	203
	AdditionalTax <AddtlTax>	[0..1]	±		206
	GrossDividendRate <GrssDvddRate>	[0..*]	±		206
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		206

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		207
	SecondLevelTax <ScndLvlTax>	[0..*]	±		207
	ChargesFees <ChrgsFees>	[0..1]	±		208
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		208
	FiscalStamp <FscIStmp>	[0..1]	±		209
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		209
	NetDividendRate <NetDvddRate>	[0..*]	±		209
	ApplicableRate <AplblRate>	[0..1]	±		210
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		210
	TaxCreditRate <TaxCdtRate>	[0..1]	±		211
	TaxOnIncome <TaxOnIncm>	[0..1]	±		211
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		211
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		212
	EqualisationRate <EqulstnRate>	[0..1]	±		212
	DeemedRate <DmdRate>	[0..*]	±		212
	PriceDetails <PricDtls>	[0..1]		C47	213
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		214
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		214
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		215
	AdditionalInformation <AddtlInf>	[0..1]		C4	215
	AdditionalText <AddtlTxt>	[0..*]	±		216
	NarrativeVersion <NrrtvVrsn>	[0..*]	±		216
	InformationConditions <InfConds>	[0..*]	±		217
	InformationToComplyWith <InfToCmplyWth>	[0..*]	±		217
	SecurityRestriction <SctyRstrctn>	[0..*]	±		217
	TaxationConditions <TaxtnConds>	[0..*]	±		217
	Disclaimer <Dsclmr>	[0..*]	±		218
	CertificationBreakdown <CertfctnBrkdown>	[0..*]	±		218
	AdditionalInformation <AddtlInf>	[0..1]		C5	218
	AdditionalText <AddtlTxt>	[0..*]	±	C6	219
	NarrativeVersion <NrrtvVrsn>	[0..*]	±	C6	220

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationConditions <InfConds>	[0..*]	±	C6	220
	InformationToComplyWith <InfToCmplyWth>	[0..*]	±	C6	220
	TaxationConditions <TaxtnConds>	[0..*]	±	C6	221
	Disclaimer <Dsclmr>	[0..*]	±	C6	221
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	±	C6	222
	RegistrationDetails <RegnDtls>	[0..*]	±	C6	222
	BasketOrIndexInformation <BsktOrIndxInf>	[0..*]	±	C6	223
	CertificationBreakdown <CertfctnBrkdown>	[0..*]	±	C6	223
	URLAddress <URLAdr>	[0..*]	±		223
	ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy>	[0..*]	±	C6	224
	IssuerAgent <IssrAgt>	[0..*]	±		224
	PayingAgent <PngAgt>	[0..*]	±		225
	SubPayingAgent <SubPngAgt>	[0..*]	±		225
	Registrar <Regar>	[0..1]	±		225
	ResellingAgent <RsellingAgt>	[0..*]	±		225
	PhysicalSecuritiesAgent <PhysScitiesAgt>	[0..1]	±		226
	DropAgent <DrpAgt>	[0..1]	±		226
	SolicitationAgent <SlctnAgt>	[0..*]	±		226
	InformationAgent <InfAgt>	[0..1]	±		227
	Issuer <Issr>	[0..1]	±		227
	Offeror <Offerr>	[0..*]	±		227
	TransferAgent <TrfAgt>	[0..1]	±		228
	SupplementaryData <SplmtryData>	[0..*]	±	C115	228

3.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition.

C4 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C5 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C6 AdditionalInformationRule

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C7 AdditionalTextRule

If OptionType/Code is OTHR (Other), then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

C8 AffectedAndUnaffectedBalanceRule

UnaffectedBalance and AffectedBalance should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

C9 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C10 AppliedOptionRule

If CorporateActionOptionDetails/AppliedOptionIndicator value is 'true' or '1' (Yes) in one or more occurrences of CorporateActionOptionDetails, then CorporateActionGeneralInformation/MandatoryVoluntaryEventType/Code value must be CHOS (MandatoryWithOptions) and at least one of the CorporateActionDetails/AdditionalBusinessProcessIndicator/Code values must be REAC (RequiredAction).

This constraint is defined at the MessageDefinition level.

C11 AppliedOptionRule

If DefaultProcessingOrStandingInstruction/DefaultOptionIndicator is present and its value is '1' or 'true' (Yes), then AppliedOptionIndicator value must not be '1' or 'true' (Yes).

C12 BeneficialOwnerBreakdownRequestRule

If in at least one occurrence of CorporateActionOptionDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

On Condition

/CorporateActionOptionDetails[*]/OptionType/Code is equal to value 'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C13 BeneficialOwnerBreakdownRequestUGRule

If in at least one occurrence of CorporateActionOptionDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

if

at least one occurrence of [CorporateActionOptionDetails/OptionType/Code] has value included in the following list 'BOBD', then

every occurrence of [CorporateActionGeneralInformation/EventType/Code] must have value included in the following list 'WTRC'

Expression Language: UGRule

This constraint is defined at the MessageDefinition level.

C14 BidInterval1Rule

If BidInterval is present in CorporateActionDetails/RateAndAmountDetails, then BidInterval is not allowed in any occurrences of CorporateActionOptionDetails/RateAndAmountDetails.

(MT 564 NVR C25)

On Condition

/CorporateActionDetails/RateAndAmountDetails/BidInterval is present
Following Must be True

/CorporateActionOptionDetails[*]/RateAndAmountDetails/BidInterval Must be absent

This constraint is defined at the MessageDefinition level.

C15 BidInterval2Rule

If BidInterval is present in any occurrences of CorporateActionOptionDetails/RateAndAmountDetails, then BidInterval is not allowed in CorporateActionDetails/RateAndAmountDetails.

(MT 564 NVR C25)

On Condition

/CorporateActionOptionDetails[*]/RateAndAmountDetails/BidInterval is present
Following Must be True

/CorporateActionDetails/RateAndAmountDetails/BidInterval Must be absent

This constraint is defined at the MessageDefinition level.

C16 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C17 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C18 DeclaredRateRule

If CorporateActionOptionDetails/RateAndAmountDetails/IssuerDeclaredExchangeRate is present, then CorporateActionDetails/RateAndAmountDetails/DeclaredRate must be present.

On Condition

```

    /CorporateActionOptionDetails[*] is present
  And    /CorporateActionOptionDetails[*]/RateAndAmountDetails is present
  And    /CorporateActionOptionDetails[*]/RateAndAmountDetails/
  IssuerDeclaredExchangeRate is present
Following Must be True
    /CorporateActionDetails/RateAndAmountDetails/DeclaredRate Must be present

```

This constraint is defined at the MessageDefinition level.

C19 DeclaredRateRule

DeclaredRate may only be used if the dividend or interest declared by the issuer is actually paid in a different currency than the declared one or if the dividend or the interest event makes it possible to elect amongst multiple currency options.

C20 DeemedRate1Rule

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

C21 DeemedRate2Rule

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C22 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C23 DescriptionUsageRule

Description must be used alone as the last resort.

C24 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C25 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C26 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C27 DissenterRights1Rule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C28 DissenterRights1Rule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C29 DissenterRights1UGRule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Expression Language: UGRule

C30 DissenterRights1UGRule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Expression Language: UGRule

C31 DissenterRights2Rule

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C32 DissenterRights2Rule

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C33 DissenterRights2UGRule

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Expression Language: UGRule

C34 DissenterRights2UGRule

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Expression Language: UGRule

C35 DissenterRights3Rule

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable) or DISS (DissenterRights) in any occurrence of CorporateActionOptionDetails, then in CorporateActionDetails, OfferType/Code values NDIS (DissenterRightsNotApplicable) and DISS (DissenterRights) are not allowed.

(MT 564 NVR C28)

On Condition

```
    /CorporateActionOptionDetails[*]/OfferType[*]/Code is equal to value
    'DissenterRights'
  Or
    /CorporateActionOptionDetails[*]/OfferType[*]/Code is equal to value
    'DissenterRightsNotApplicable'
Following Must be True
    /CorporateActionDetails/OfferType[*]/Code Must be different from value
    'DissenterRights'
  And
    /CorporateActionDetails/OfferType[*]/Code Must be different from
    value 'DissenterRightsNotApplicable'
```

This constraint is defined at the MessageDefinition level.

C36 DissenterRightsUG3Rule

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable) or DISS (DissenterRights) in any occurrence of CorporateActionOptionDetails, then in CorporateActionDetails, OfferType/Code values NDIS (DissenterRightsNotApplicable) and DISS (DissenterRights) are not allowed.

(MT 564 NVR C28)

```
if
  at least one occurrence of [CorporateActionOptionDetails/OfferType/Code]
  has value included in the following list 'NDIS' or 'DISS'
, then
  every occurrence of [CorporateActionDetails/OfferType/Code] must have
  value not included in the following list 'NDIS' or 'DISS'
```

Expression Language: UGRule

This constraint is defined at the MessageDefinition level.

C37 EffectiveDateRule

Effective date is to be used in events where there is no concept of entitlement, for example Name Change (CHAN) or Place of Incorporation (PLAC), and in events where there is a sense of eligibility but with a legal obligation, for example Merger (MRGR).

C38 EventTypeRule

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

C39 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C40 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C41 FirstBidIncrementPrice1Rule

If FirstBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then FirstBidIncrementPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

```
On Condition
  /CorporateActionDetails/PriceDetails/FirstBidIncrementPrice is present
Following Must be True
  /CorporateActionOptionDetails[*]/PriceDetails/FirstBidIncrementPrice Must
be absent
```

This constraint is defined at the MessageDefinition level.

C42 FirstBidIncrementPrice2Rule

If FirstBidIncrementPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then FirstBidIncrementPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

```
On Condition
  /CorporateActionOptionDetails[*]/PriceDetails/FirstBidIncrementPrice is
present
Following Must be True
  /CorporateActionDetails/PriceDetails/FirstBidIncrementPrice Must be absent
```

This constraint is defined at the MessageDefinition level.

C43 FirstBidIncrementPriceEventType1Rule

If FirstBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

```
On Condition
  /CorporateActionDetails/PriceDetails/FirstBidIncrementPrice is present
Following Must be True
  /CorporateActionGeneralInformation/EventType/Code Must be equal to value
'RepurchaseOffer'
Or
  /CorporateActionGeneralInformation/EventType/Code Must be equal to
value 'DutchAuction'
Or
  /CorporateActionGeneralInformation/EventType/Code Must be equal to
value 'Tender'
```

This constraint is defined at the MessageDefinition level.

C44 FirstBidIncrementPriceEventType2Rule

If FirstBidIncrementPrice is present in any occurrence of CorporateActionOptionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

On Condition

/CorporateActionOptionDetails[*]/PriceDetails/FirstBidIncrementPrice is present

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'RepurchaseOffer'

Or /CorporateActionGeneralInformation/EventType/Code Must be equal to value 'DutchAuction'

Or /CorporateActionGeneralInformation/EventType/Code Must be equal to value 'Tender'

This constraint is defined at the MessageDefinition level.

C45 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

C46 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

C47 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

C48 GrossDividendRate2Rule

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 564 NVR C8).

C49 GrossDividendRate2Rule

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 564 NVR C8).

C50 GrossDividendRate3Rule

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

C51 GrossDividendRate3Rule

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

C52 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C53 IncentivePremiumEventType1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in any occurrence of CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

On Condition

/CorporateActionDetails/AdditionalBusinessProcessIndicator[*]/Code is equal to value 'IncentivePremiumPayment'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'Consent'

This constraint is defined at the MessageDefinition level.

C54 IncentivePremiumEventTypeUG1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in any occurrence of CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

if

at least one occurrence of [CorporateActionDetails/AdditionalBusinessProcessIndicator/Code] has value included in the following list 'INCP'

, then

every occurrence of [CorporateActionGeneralInformation/EventType/Code] must have value included in the following list 'CONS'

Expression Language: UGRule

This constraint is defined at the MessageDefinition level.

C55 IncomeType1Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C56 IncomeType2Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C57 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C58 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C59 InformationEventRule

If CorporateActionGeneralInformation/EventType/Code is INFO (Information), then IntermediateSecurity must be absent and no occurrences of CorporateActionOptionDetails may be present.

(MT 564 NVR C6).

On Condition

```

    /CorporateActionGeneralInformation/EventType/Code is present
    And    /CorporateActionGeneralInformation/EventType/Code is equal to value
    'Information'
    Following Must be True
    /IntermediateSecurity Must be absent
    And    /CorporateActionOptionDetails[*] Must be absent

```

This constraint is defined at the MessageDefinition level.

C60 InstructCashAmountRule

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMutipleCashToInstruct) must not be present.

(MT564 NVR C20).

C61 InterestPaymentRule

CorporateActionDetails/RateAndAmountDetails/InterestRate and CorporateActionOptionsDetails/RateAndAmountDetails/InterestRateUsedforPayment must not be mixed. InterestRate is used to provide the annual rate of the financial instrument. InterestRateUsedforPayment is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

This constraint is defined at the MessageDefinition level.

C62 InterestPeriodRule

InterestPeriod must be used only if it is different from a normal accrued interest period.

C63 IntermediateSecuritiesDistribution1Rule

If CorporateActionGeneralInformation/EventType/Code is RHDI (IntermediateSecuritiesDistribution), then CorporateActionDetails/

IntermediateSecuritiesDistributionType must be present.

(MT 564 NVR C11).

On Condition

```

    /CorporateActionGeneralInformation/EventType/Code is present
    And    /CorporateActionGeneralInformation/EventType/Code is equal to value
    'IntermediateSecuritiesDistribution'
    Following Must be True
    /CorporateActionDetails Must be present
    And    /CorporateActionDetails/IntermediateSecuritiesDistributionType Must
    be present

```

This constraint is defined at the MessageDefinition level.

C64 IntermediateSecurity1Rule

If CorporateActionGeneralInformation/EventType/Code is RHDI (IntermediateSecuritiesDistribution), then IntermediateSecurity must be absent.

(MT 564 NVR C6).

On Condition

/CorporateActionGeneralInformation/EventType/Code is present

And /CorporateActionGeneralInformation/EventType/Code is equal to value
'IntermediateSecuritiesDistribution'

Following Must be True

/IntermediateSecurity Must be absent

This constraint is defined at the MessageDefinition level.

C65 IntermediateSecurity2Rule

If CorporateActionGeneralInformation/EventType/Code is RHTS (Rights Issue), then IntermediateSecurity must be present.

(MT 564 NVR C6).

On Condition

/CorporateActionGeneralInformation/EventType/Code is present

And /CorporateActionGeneralInformation/EventType/Code is equal to value
'RightsIssue'

Following Must be True

/IntermediateSecurity Must be present

This constraint is defined at the MessageDefinition level.

C66 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C67 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C68 IssuerAgentGuideline

IssuerAgent must be used only in the communication between a CSD and local custodians.

This constraint is defined at the MessageDefinition level.

C69 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C70 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C71 LastBidIncrementPrice1Rule

If LastBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then LastBidIncrementPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionDetails/PriceDetails/LastBidIncrementPrice is present

Following Must be True

/CorporateActionOptionDetails[*]/PriceDetails/LastBidIncrementPrice Must be absent

This constraint is defined at the MessageDefinition level.

C72 LastBidIncrementPrice2Rule

If LastBidIncrementPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then LastBidIncrementPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionOptionDetails[*]/PriceDetails/LastBidIncrementPrice is present

Following Must be True

/CorporateActionDetails/PriceDetails/LastBidIncrementPrice Must be absent

This constraint is defined at the MessageDefinition level.

C73 LastBidIncrementPriceEventType1Rule

If LastBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

On Condition

/CorporateActionDetails/PriceDetails/LastBidIncrementPrice is present

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'RepurchaseOffer'

Or /CorporateActionGeneralInformation/EventType/Code Must be equal to value 'DutchAuction'

Or /CorporateActionGeneralInformation/EventType/Code Must be equal to value 'Tender'

This constraint is defined at the MessageDefinition level.

C74 LastBidIncrementPriceEventType2Rule

If LastBidIncrementPrice is present in any occurrence of CorporateActionOptionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

On Condition

/CorporateActionOptionDetails[*]/PriceDetails/LastBidIncrementPrice is present

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'RepurchaseOffer'

Or /CorporateActionGeneralInformation/EventType/Code Must be equal to value 'DutchAuction'

Or /CorporateActionGeneralInformation/EventType/Code Must be equal to value 'Tender'

This constraint is defined at the MessageDefinition level.

C75 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

C76 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

C77 MaximumAndMinimumQuantitySoughtUsageRule

When MaximumQuantity is present, MinimumQuantitySought must be present too. When MinimumQuantitySought is present, MaximumQuantity must be present too.

When MinimumQuantitySought/Code is AnyAndAll, then MaximumQuantity/Code must not be AnyAndAll.

When MaximumQuantity/Code is AnyAndAll, MinimumQuantitySought/code must not be used with the value AnyAndAll.

C78 MaximumPrice1Rule

If MaximumPrice is present in CorporateActionDetails/PriceDetails, then MaximumPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionDetails/PriceDetails/MaximumPrice is present

Following Must be True

/CorporateActionOptionDetails[*]/PriceDetails/MaximumPrice Must be absent

This constraint is defined at the MessageDefinition level.

C79 MaximumPrice2Rule

If MaximumPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then MaximumPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionOptionDetails[*]/PriceDetails/MaximumPrice is present

Following Must be True

/CorporateActionDetails/PriceDetails/MaximumPrice Must be absent

This constraint is defined at the MessageDefinition level.

C80 MinimumPrice1Rule

If MinimumPrice is present in CorporateActionDetails/PriceDetails, then MinimumPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionDetails/PriceDetails/MinimumPrice is present

Following Must be True

/CorporateActionOptionDetails[*]/PriceDetails/MinimumPrice Must be absent

This constraint is defined at the MessageDefinition level.

C81 MinimumPrice2Rule

If MinimumPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then MinimumPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionOptionDetails[*]/PriceDetails/MinimumPrice is present
Following Must be True

/CorporateActionDetails/PriceDetails/MinimumPrice Must be absent

This constraint is defined at the MessageDefinition level.

C82 NameChangeRule

If CorporateActionDetails/AdditionalInformation/NewCompanyName/AdditionalInformation is present, then CorporateActionGeneralInformation/EventType/Code value CHAN (Change) must be present and CorporateActionDetails/ChangeType/Code value NAME (Name) must be present in at least one occurrence of CorporateActionDetails/ChangeType.

(MT 564 NVR C10).

This constraint is defined at the MessageDefinition level.

C83 NetDividendRate1Rule

If NetDividendRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

C84 NetDividendRate1Rule

If NetDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

C85 NetDividendRate2Rule

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

C86 NetDividendRate2Rule

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

C87 NewMaturityDateRule

If CorporateActionDetails/DateDetails/NewMaturityDate is present, then CorporateActionGeneralInformation/EventType/Code must be EXTM (Maturity Extension).

This constraint is defined at the MessageDefinition level.

C88 NotificationIdentificationRule

If NotificationGeneralInformation/NotificationType is REPL (Replace) or RMDR (Reminder) then PreviousNotificationIdentification must be present.

(MT 564 NVR C9).

```

On Condition
    /NotificationGeneralInformation/NotificationType is equal to value
'Replacement'
Or    /NotificationGeneralInformation/NotificationType is equal to value
'Reminder'
Following Must be True
    /PreviousNotificationIdentification Must be present

```

This constraint is defined at the MessageDefinition level.

C89 NotificationTypeGuideline

NotificationType REPL is used to replace a previously sent CorporateActionNotification message that was reported with EventCompletenessStatus being incomplete or complete.

C90 NumberRule

If Number is negative, then Sign must be present.

C91 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). These recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C92 OptionNumberGuideline

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

C93 OptionTypeUnknownProceedsUsageRule

When used, the corporate action option code PRUN (Unknown Proceeds) should be maintained throughout the full lifecycle of the event.

C94 OtherEventRule

If CorporateActionGeneralInformation/EventType/Code is OTHR (Other) then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C4).

```

On Condition
    /CorporateActionGeneralInformation/EventType/Code is present
And    /CorporateActionGeneralInformation/EventType/Code is equal to value
'OtherEvent'
Following Must be True
    /AdditionalInformation Must be present
And    /AdditionalInformation/AdditionalText[*] Must be present
And    /AdditionalInformation/AdditionalText[*]/AdditionalInformation[*]
Must be present

```

This constraint is defined at the MessageDefinition level.

C95 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C96 PaymentDateRule

If at least one occurrence of CorporateActionOptionDetails/SecuritiesMovementDetails or at least one occurrence of CorporateActionOptionDetails/CashMovementDetails is present, then CorporateActionDetails/DateDetails/PaymentDate must be absent. (MT 564 NVR C16).

On Condition
 /CorporateActionOptionDetails[*]/CashMovementDetails[*] is present
 Or /CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*] is present
 Following Must be True
 /CorporateActionDetails/DateDetails/PaymentDate Must be absent

This constraint is defined at the MessageDefinition level.

C97 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

C98 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

C99 RateAndCorporateActionEventRule

If CorporateActionOptionDetails/RateAndAmountDetails/GrossDividendRate/NotSpecifiedRate Code value or CorporateActionOptionDetails/RateAndAmountDetails/InterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

If CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDividendRate/NotSpecifiedRate Code value or CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/InterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

This constraint is defined at the MessageDefinition level.

C100 RateStatusGuideline

The code value ACTU is the default value for a rate status.

C101 RevocabilityPeriodRule

If WithdrawalAllowedIndicator or ChangeAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the change or withdrawal of instruction is allowed.

C102 SafekeepingAccount1Rule

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General) then any element in the list (MinimumNominalQuantity, MinimumExercisableQuantity, MinimumExercisableMultipleQuantity, ContractSize) must not be present in any occurrences of CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails;

(MT564 Rule C2 - part 1).

On Condition
 /AccountDetails/ForAllAccounts is present
 And /AccountDetails/ForAllAccounts/IdentificationCode is equal to value 'General'
 Following Must be True
 /CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*]/SecurityDetails/MinimumNominalQuantity Must be absent
 And /CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*]/SecurityDetails/MinimumQuantityToInstruct Must be absent

And /CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*]/
SecurityDetails/MinimumMultipleQuantityToInstruct Must be absent
And /CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*]/
SecurityDetails/ContractSize Must be absent

This constraint is defined at the MessageDefinition level.

C103 SafekeepingAccount2Rule

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General) then AmountDetails must not be present in any occurrences of CorporateActionOptionDetails/CashMovementDetails.

(MT564 Rule C2 - part 2).

On Condition
/AccountDetails/ForAllAccounts is present
And /AccountDetails/ForAllAccounts/IdentificationCode is equal to value
'General'
Following Must be True
/CorporateActionOptionDetails[*]/CashMovementDetails[*]/AmountDetails
Must be absent

This constraint is defined at the MessageDefinition level.

C104 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C105 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C106 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C107 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C108 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C109 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C110 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C111 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C112 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

C113 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

C114 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C115 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C116 TotalEligibleBalanceRule

If NotificationGeneralInformation/EligibleBalanceIndicator is 'true' or '1' (Yes), then at least one occurrence of AccountDetails/AccountsListAndBalanceDetails must be present and TotalEligibleBalance must be present in all occurrences of AccountDetails/AccountsListAndBalanceDetails/Balance.

If NotificationGeneralInformation/EligibleBalanceIndicator is 'false' or '0' (No) or is not present, then TotalEligibleBalance may or may not be present in all occurrences of AccountDetails/AccountsListAndBalanceDetails/Balance but it will not necessarily be the final eligible balance quantity.

This constraint is defined at the MessageDefinition level.

C117 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C118 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C119 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of those elements may be present.

(MT 564 NVR C7).

C120 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C121 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

3.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

3.4.1 Pagination <Pgntn>

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

Pagination <Pgntn> contains the following elements (see "[Pagination1](#)" on page 878 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		879
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		879

3.4.2 NotificationGeneralInformation <NtfctnGnlInf>

Presence: [1..1]

Definition: General information about the event notification type, status and contents.

Impacted by: C89 "[NotificationTypeGuideline](#)"

NotificationGeneralInformation <NtfctnGnlInf> contains the following **CorporateActionNotification11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NotificationIdentification <NtfctnId>	[0..1]	Text		58
	NotificationType <NtfctnTp>	[1..1]	CodeSet		58
	ProcessingStatus <PrcgSts>	[1..1]	±		58

Constraints

- **NotificationTypeGuideline**

NotificationType REPL is used to replace a previously sent CorporateActionNotification message that was reported with EventCompletenessStatus being incomplete or complete.

3.4.2.1 NotificationIdentification <NtfctnId>

Presence: [0..1]

Definition: Unique identification of the group of corporate action notification messages when the notification is split in multiple (paginated) messages.

Datatype: "RestrictedFINXMax16Text" on page 1187

3.4.2.2 NotificationType <NtfctnTp>

Presence: [1..1]

Definition: Specifies the type of notification.

Datatype: "CorporateActionNotificationType1Code" on page 1135

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

3.4.2.3 ProcessingStatus <PrcgSts>

Presence: [1..1]

Definition: Specifies the status of the details of the corporate action event.

ProcessingStatus <PrcgSts> contains one of the following elements (see "CorporateActionProcessingStatus6Choice" on page 930 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]			930
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		930
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		931
Or}	Proprietary <Prtry>	[1..1]	±		931

3.4.3 PreviousNotificationIdentification <PrvsNtfctnId>

Presence: [0..1]

Definition: Identification of the previously sent notification document.

PreviousNotificationIdentification <PrvsNtfctnId> contains the following elements (see "DocumentIdentification37" on page 755 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		755
	LinkageType <LkgTp>	[0..1]	±	C32	755

3.4.4 InstructionIdentification <InstrId>

Presence: [0..1]

Definition: Identification of a related instruction document.

InstructionIdentification <InstrId> contains the following elements (see "DocumentIdentification17" on page 759 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		759

3.4.5 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification38" on page 758 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			758
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		758
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		758
	DocumentNumber <DocNb>	[0..1]	±	C6	758
	LinkageType <LkgTp>	[0..1]	±	C32	759

3.4.6 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "[CorporateActionEventReference4](#)" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			929
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		929
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		929
	LinkageType <LkgTp>	[0..1]	±	C32	929

3.4.7 CorporateActionGeneralInformation <CorpActnGnInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C91 "[OfficialCorporateActionEventReference](#)"

CorporateActionGeneralInformation <CorpActnGnInf> contains the following **CorporateActionGeneralInformation170** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		60
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		61
	ClassActionNumber <ClssActnNb>	[0..1]	Text		61
	EventProcessingType <EvtPrcgTp>	[0..1]	±		61
	EventType <EvtTp>	[1..1]	±	C38	61
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		62
	UnderlyingSecurity <UndrlygScty>	[1..1]	±	C75, C97	62

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). These recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

3.4.7.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1187

3.4.7.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1187

3.4.7.3 ClassActionNumber <ClsActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "RestrictedFINXMax16Text" on page 1187

3.4.7.4 EventProcessingType <EvtPrcgTp>

Presence: [0..1]

Definition: Type of processing involved by a corporate action.

EventProcessingType <EvtPrcgTp> contains one of the following elements (see "CorporateActionEventProcessingType3Choice" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		928
Or}	Proprietary <Prtry>	[1..1]	±		928

3.4.7.5 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C38 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType89Choice" on page 870 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		870
Or}	Proprietary <Prtry>	[1..1]	±		877

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

3.4.7.6 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following elements (see "[CorporateActionMandatoryVoluntary4Choice](#)" on page 927 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		927
Or}	Proprietary <Prtry>	[1..1]	±		927

3.4.7.7 UnderlyingSecurity <UndrlygScty>

Presence: [1..1]

Definition: Security concerned by the corporate action.

Impacted by: [C75 "MaturityDateRule"](#), [C97 "PreviousNextFactorRule"](#)

UnderlyingSecurity <UndrlygScty> contains the following elements (see "FinancialInstrumentAttributes114" on page 788 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C11, C12, C18, C19, C24	790
	PlaceOfListing <PlcOfListg>	[0..1]	±		791
	DayCountBasis <DayCntBsis>	[0..1]	±		791
	ClassificationType <ClssfctnTp>	[0..1]	±		791
	OptionStyle <OptnStyle>	[0..1]	±		791
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	792
	NextCouponDate <NxtCpnDt>	[0..1]	Date		792
	ExpiryDate <XpryDt>	[0..1]	Date		792
	FloatingRateFixingDate <FltgRateFxdt>	[0..1]	Date		792
	MaturityDate <MtrtyDt>	[0..1]	Date		792
	IssueDate <IssDt>	[0..1]	Date		793
	NextCallableDate <NxtCllbldt>	[0..1]	Date		793
	PutableDate <Putbldt>	[0..1]	Date		793
	DatedDate <DtdDt>	[0..1]	Date		793
	ConversionDate <ConvsDt>	[0..1]	Date		793
	InterestRate <IntrstRate>	[0..1]	±		793
	NextInterestRate <NxtIntrstRate>	[0..1]	±		793
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		794
	PreviousFactor <PrvsFctr>	[0..1]	±		794
	NextFactor <NxtFctr>	[0..1]	±		794
	WarrantParity <WarrtParity>	[0..1]	±		795
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C13	795
	ContractSize <CtrctSz>	[0..1]	±	C13	795

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

3.4.8 **AccountDetails <AcctDtls>**

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

AccountDetails <AcctDtls> contains one of the following **AccountIdentification57Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		65
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C104, C105, C106, C107	66
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		68
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		68
	AccountOwner <AcctOwnr>	[0..1]	±		68
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		68
	Balance <Bal>	[0..1]		C8	69
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		70
	BlockedBalance <BlckdBal>	[0..1]	±		71
	BorrowedBalance <BrrwdBal>	[0..1]	±		71
	CollateralInBalance <CollInBal>	[0..1]	±		71
	CollateralOutBalance <CollOutBal>	[0..1]	±		72
	OnLoanBalance <OnLnBal>	[0..1]	±		72
	PendingDeliveryBalance <PdgDivryBal>	[0..*]	±		72
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		73
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		73
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		73
	StreetPositionBalance <StrtPosBal>	[0..1]	±		74
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		74
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		74
	RegisteredBalance <RegdBal>	[0..1]	±		75
	ObligatedBalance <OblgtdBal>	[0..1]	±		75
	UninstructedBalance <UinstdBal>	[0..1]	±		75
	InstructedBalance <InstdBal>	[0..1]	±		76
	AffectedBalance <AfctdBal>	[0..1]	±		76
	UnaffectedBalance <UafctdBal>	[0..1]	±		76

3.4.8.1 ForAllAccounts <ForAllAccts>

Presence: [1..1]

Definition: All safekeeping accounts that own an underlying financial instrument.

ForAllAccounts <ForAllAccts> contains the following elements (see "[AccountIdentification10](#)" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		731

3.4.8.2 AccountsListAndBalanceDetails <AcctsListAndBalDtls>

Presence: [1..*]

Definition: Selected safekeeping accounts list (and optionally balance information) to which the corporate action event applies.

Impacted by: [C104 "SafekeepingAccountOrBlockChainAddress1Rule"](#), [C105](#)

["SafekeepingAccountOrBlockChainAddress2Rule"](#), [C106](#)

["SafekeepingAccountOrBlockChainAddress3Rule"](#), [C107 "SafekeepingPlaceRule"](#)

AccountsListAndBalanceDetails <AcctsListAndBalDtls> contains the following **AccountAndBalance56** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		68
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		68
	AccountOwner <AcctOwnr>	[0..1]	±		68
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		68
	Balance <Bal>	[0..1]		C8	69
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		70
	BlockedBalance <BlckdBal>	[0..1]	±		71
	BorrowedBalance <BrrwdBal>	[0..1]	±		71
	CollateralInBalance <CollInBal>	[0..1]	±		71
	CollateralOutBalance <CollOutBal>	[0..1]	±		72
	OnLoanBalance <OnLnBal>	[0..1]	±		72
	PendingDeliveryBalance <PdgDlrvyBal>	[0..*]	±		72
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		73
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		73
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		73
	StreetPositionBalance <StrtPosBal>	[0..1]	±		74
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		74
	InTransshipmentBalance <InTrnsShpmtBal>	[0..1]	±		74
	RegisteredBalance <RegdBal>	[0..1]	±		75
	ObligatedBalance <OblgtdBal>	[0..1]	±		75
	UninstructedBalance <UinstdBal>	[0..1]	±		75
	InstructedBalance <InstdBal>	[0..1]	±		76
	AffectedBalance <AfctdBal>	[0..1]	±		76
	UnaffectedBalance <UafctdBal>	[0..1]	±		76

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

```
On Condition
  /BlockChainAddressOrWallet is present
Following Must be True
  /SafekeepingAccount Must be absent
```

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

```
On Condition
  /SafekeepingAccount is present
Following Must be True
  /BlockChainAddressOrWallet Must be absent
```

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

3.4.8.2.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1189

3.4.8.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1187

3.4.8.2.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 945 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	945
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		946

3.4.8.2.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat32Choice" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1062
Or	Country <Ctry>	[1..1]	CodeSet	C3	1062
Or	TypeAndIdentification <TpAndId>	[1..1]			1062
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1063
	Identification <Id>	[1..1]	IdentifierSet	C1	1063
Or}	Proprietary <Prtry>	[1..1]	±		1063

3.4.8.2.5 Balance <Bal>

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

Impacted by: C8 "AffectedAndUnaffectedBalanceRule"

Balance <Bal> contains the following **CorporateActionBalanceDetails46** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		70
	BlockedBalance <BlckdBal>	[0..1]	±		71
	BorrowedBalance <BrrwdBal>	[0..1]	±		71
	CollateralInBalance <CollInBal>	[0..1]	±		71
	CollateralOutBalance <CollOutBal>	[0..1]	±		72
	OnLoanBalance <OnLnBal>	[0..1]	±		72
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		72
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		73
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		73
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		73
	StreetPositionBalance <StrtPosBal>	[0..1]	±		74
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		74
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		74
	RegisteredBalance <RegdBal>	[0..1]	±		75
	ObligatedBalance <OblgtdBal>	[0..1]	±		75
	UninstructedBalance <UinstdBal>	[0..1]	±		75
	InstructedBalance <InstdBal>	[0..1]	±		76
	AffectedBalance <AfctdBal>	[0..1]	±		76
	UnaffectedBalance <UafctdBal>	[0..1]	±		76

Constraints

- **AffectedAndUnaffectedBalanceRule**

UnaffectedBalance and AffectedBalance should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

3.4.8.2.5.1 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

TotalEligibleBalance <TtlElgblBal> contains the following elements (see "TotalEligibleBalanceFormat11" on page 732 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[0..1]	±		732
	FullPeriodUnits <FullPrdUnits>	[0..1]	±		732
	PartWayPeriodUnits <PartWayPrdUnits>	[0..1]	±		733

3.4.8.2.5.2 BlockedBalance <BlckdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

BlockedBalance <BlckdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

3.4.8.2.5.3 BorrowedBalance <BrrwdBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been borrowed from another party.

BorrowedBalance <BrrwdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

3.4.8.2.5.4 CollateralInBalance <CollInBal>

Presence: [0..1]

Definition: Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CollateralInBalance <CollInBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

3.4.8.2.5.5 CollateralOutBalance <CollOutBal>

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

CollateralOutBalance <CollOutBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

3.4.8.2.5.6 OnLoanBalance <OnLnBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

OnLoanBalance <OnLnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

3.4.8.2.5.7 PendingDeliveryBalance <PdgDlvryBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

PendingDeliveryBalance <PdgDlvryBal> contains one of the following elements (see "BalanceFormat16Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		733
Or	EligibleBalance <ElgblBal>	[1..1]	±		733
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		734
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		734
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		734

3.4.8.2.5.8 PendingReceiptBalance <PdgRctBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

PendingReceiptBalance <PdgRctBal> contains one of the following elements (see "BalanceFormat16Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		733
Or	EligibleBalance <ElgblBal>	[1..1]	±		733
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		734
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		734
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		734

3.4.8.2.5.9 OutForRegistrationBalance <OutForRegnBal>

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

OutForRegistrationBalance <OutForRegnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

3.4.8.2.5.10 SettlementPositionBalance <SttlmPosBal>

Presence: [0..*]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

SettlementPositionBalance <SttlmPosBal> contains one of the following elements (see "BalanceFormat16Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		733
Or	EligibleBalance <ElgblBal>	[1..1]	±		733
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		734
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		734
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		734

3.4.8.2.5.11 StreetPositionBalance <StrtPosBal>

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

StreetPositionBalance <StrtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

3.4.8.2.5.12 TradeDatePositionBalance <TradDtPosBal>

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

TradeDatePositionBalance <TradDtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

3.4.8.2.5.13 InTransshipmentBalance <InTrnsShipmntBal>

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

InTransshipmentBalance <InTrnsShipmntBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

3.4.8.2.5.14 RegisteredBalance <RegdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

RegisteredBalance <RegdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

3.4.8.2.5.15 ObligatedBalance <OblgtdBal>

Presence: [0..1]

Definition: Position that account holders should return to the account servicer to participate in the event or to fulfil their obligation for the event to be complete, for example, return of securities for late announced drawing.

ObligatedBalance <OblgtdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

3.4.8.2.5.16 UninstructedBalance <UinstdBal>

Presence: [0..1]

Definition: Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

3.4.8.2.5.17 InstructedBalance <InstdBal>

Presence: [0..1]

Definition: Balance of instructed position.

InstructedBalance <InstdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

3.4.8.2.5.18 AffectedBalance <AfctdBal>

Presence: [0..1]

Definition: Balance that has been affected by the process run through the event.

AffectedBalance <AfctdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

3.4.8.2.5.19 UnaffectedBalance <UafctdBal>

Presence: [0..1]

Definition: Balance that has not been affected by the process run through the event.

UnaffectedBalance <UafctdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

3.4.9 IntermediateSecurity <IntrmdtScty>

Presence: [0..1]

Definition: Details on rights credited to the account as for example trading period, expiry date, renounceability.

IntermediateSecurity <IntrmdtScty> contains the following elements (see "FinancialInstrumentAttributes117" on page 774 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..1]	±	C11, C12, C18, C19, C24	775
	Quantity <Qty>	[0..1]	Quantity		776
	RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>	[0..1]	±		776
	FractionDisposition <FrctnDspstn>	[0..1]	±		776
	IntermediateSecuritiesToUnderlyingRatio <IntrmdtSctiesToUndrlygRatio>	[0..1]	±		777
	MarketPrice <MktPric>	[0..1]	±		777
	ExpiryDate <XpryDt>	[1..1]	±		777
	PostingDate <PstngDt>	[1..1]	±		777
	TradingPeriod <TradgPrd>	[0..1]	±		778
	UninstructedBalance <UinstdBal>	[0..1]	±		778
	InstructedBalance <InstdBal>	[0..1]	±		778

3.4.10 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

Impacted by: C27 "DissenterRights1Rule", C29 "DissenterRights1UGRule", C31 "DissenterRights2Rule", C33 "DissenterRights2UGRule"

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction81** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]		C37	82
	AnnouncementDate <AnncmntDt>	[0..1]	±		84
	CertificationDeadline <CertfctnDdln>	[0..1]	±		84
	CourtApprovalDate <CrtApprvlDt>	[0..1]	±		85
	EarlyClosingDate <EarlyClsgDt>	[0..1]	±		85
	EffectiveDate <FctvDt>	[0..1]	±		85
	EqualisationDate <EqulstnDt>	[0..1]	±		85
	FurtherDetailedAnnouncementDate <FrthrDtldAnncmntDt>	[0..1]	±		86
	FixingDate <FvgDt>	[0..1]	±		86
	LotteryDate <LtryDt>	[0..1]	±		86
	NewMaturityDate <NewMtrtyDt>	[0..1]	±		87
	MeetingDate <MtgDt>	[0..1]	±		87
	MarginFixingDate <MrgnFvgDt>	[0..1]	±		87
	ProrationDate <PrratnDt>	[0..1]	±		88
	RecordDate <RcrdDt>	[0..1]	±		88
	RegistrationDeadline <RegnDdln>	[0..1]	±		88
	ResultsPublicationDate <RsItsPblctnDt>	[0..1]	±		89
	DeadlineToSplit <DdlnToSpl>	[0..1]	±		89
	DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwInstr>	[0..1]	±		89
	TradingSuspendedDate <TradgSspdDt>	[0..1]	±		90
	UnconditionalDate <UcondlDt>	[0..1]	±		90
	WhollyUnconditionalDate <WhlyUcondlDt>	[0..1]	±		90
	ExDividendDate <ExDvddDt>	[0..1]	±		91
	OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>	[0..1]	±		91
	SpecialExDate <SpclExDt>	[0..1]	±		91
	GuaranteedParticipationDate <GrntedPrtpnDt>	[0..1]	±		92
	ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdln>	[0..1]	±		92
	ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln>	[0..1]	±		92
	LapsedDate <LpsdDt>	[0..1]	±		93

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[0..1]	±		93
	ThirdPartyDeadline <ThrdPtyDdln>	[0..1]	±		93
	EarlyThirdPartyDeadline <EarlyThrdPtyDdln>	[0..1]	±		94
	MarketClaimTrackingEndDate <MktClmTrckgEndDt>	[0..1]	±		94
	LeadPlaintiffDeadline <LeadPlntffDdln>	[0..1]	±		94
	FilingDate <FilgDt>	[0..1]	±		95
	HearingDate <HrgDt>	[0..1]	±		95
	PeriodDetails <PrdDtls>	[0..1]	±	C62	95
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C19	96
	InterestRate <IntrstRate>	[0..1]	±		97
	PercentageSought <PctgSght>	[0..1]	±		97
	RelatedIndex <RltdIndx>	[0..1]	±		98
	Spread <Sprd>	[0..1]	±		98
	BidInterval <BidIntrvl>	[0..1]	±		98
	PreviousFactor <PrvsFctr>	[0..1]	±		99
	NextFactor <NxtFctr>	[0..1]	±		99
	ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>	[0..1]	±		99
	InterestShortfall <IntrstShrtfl>	[0..1]	±		99
	RealisedLoss <RealsdLoss>	[0..1]	±		100
	DeclaredRate <DclrdRate>	[0..1]	±		100
	IndexFactor <IndxFctr>	[0..1]	±		100
	PriceDetails <PricDtls>	[0..1]			101
	MaximumPrice <MaxPric>	[0..1]	±		101
	MinimumPrice <MinPric>	[0..1]	±		101
	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]	±		102
	LastBidIncrementPrice <LastBidIncrmtPric>	[0..1]	±		102
	SecuritiesQuantity <SctiesQty>	[0..1]		C77	103
	MaximumQuantity <MaxQty>	[0..1]	±	C25	103
	MinimumQuantitySought <MinQtySght>	[0..1]	±	C25	104
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C26	104
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C26	105

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BaseDenomination <BaseDnmtn>	[0..1]	±	C26	105
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C26	106
	InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>	[0..1]	Quantity	C90	106
	CouponNumber <CpnNb>	[0..*]	±		107
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		107
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		107
	RestrictionIndicator <RstrctnInd>	[0..1]	Indicator		107
	AccruedInterestIndicator <AcrdIntrstInd>	[0..1]	Indicator		108
	LetterOfGuaranteedDeliveryIndicator <LtrOfGrntedDlvryInd>	[0..1]	Indicator		108
	ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>	[0..1]	Indicator		108
	DividendType <DvddTp>	[0..1]	±		108
	EventSequenceType <EvtSeqTp>	[0..1]	±		108
	OccurrenceType <OcrncTp>	[0..1]	±		109
	OfferType <OfferTp>	[0..*]	±		109
	RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>	[0..1]	±		109
	EventStage <EvtStag>	[0..*]	±		109
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		110
	ChangeType <ChngTp>	[0..*]	±		110
	IntermediateSecuritiesDistributionType <IntrmdtScitiesDstrbtp>	[0..1]	±		110
	CapitalGainInOutIndicator <CptlGnlInOutInd>	[0..1]	±		110
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]	±		111
	ElectionType <ElctnTp>	[0..1]	±		111
	LotteryType <LtryTp>	[0..1]	±		111
	CertificationType <CertfctnTp>	[0..1]	±		112
	ConsentType <CnsntTp>	[0..1]	±		112
	InformationType <InfTp>	[0..1]	±		112
	TaxOnNonDistributedProceedsIndicator <TaxOnNonDstrbtdPrclsInd>	[0..*]	±		112
	NewPlaceOfIncorporation <NewPlcOfIncorprtn>	[0..1]	Text		113
	AdditionalInformation <AddtlInf>	[0..1]		C3	113

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Offeror <Offerr>	[0..*]	Text		113
	NewCompanyName <NewCpnyNm>	[0..1]	Text		113
	URLAddress <URLAdr>	[0..*]	±		114
	EventProcessingWebSiteAddress <EvtPrcgWebSiteAdr>	[0..1]	Text		114

Constraints

- **DissenterRights1Rule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

On Condition

/OfferType[*]/Code is equal to value 'DissenterRights'

Following Must be True

/OfferType[*]/Code Must be different from value 'DissenterRightsNotApplicable'

- **DissenterRights1UGRule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

if

at least one occurrence of [OfferType/Code] has value included in the following list 'DISS'

, then

every occurrence of [OfferType/Code] must have value not included in the following list 'NDIS'

- **DissenterRights2Rule**

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

On Condition

/OfferType[*]/Code is equal to value 'DissenterRightsNotApplicable'

Following Must be True

/OfferType[*]/Code Must be different from value 'DissenterRights'

- **DissenterRights2UGRule**

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

if

at least one occurrence of [OfferType/Code] has value included in the following list 'NDIS'

, then

every occurrence of [OfferType/Code] must have value not included in the following list 'DISS'

3.4.10.1 DateDetails <DtDtIs>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

Impacted by: C37 "EffectiveDateRule"

DateDetails <DtDtls> contains the following **CorporateActionDate96** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnnouncementDate <AnncmntDt>	[0..1]	±		84
	CertificationDeadline <CertfctnDdln>	[0..1]	±		84
	CourtApprovalDate <CrtApprvlDt>	[0..1]	±		85
	EarlyClosingDate <EarlyClsgDt>	[0..1]	±		85
	EffectiveDate <FctvDt>	[0..1]	±		85
	EqualisationDate <EqulstnDt>	[0..1]	±		85
	FurtherDetailedAnnouncementDate <FrthrDtldAnncmntDt>	[0..1]	±		86
	FixingDate <FxdDt>	[0..1]	±		86
	LotteryDate <LtryDt>	[0..1]	±		86
	NewMaturityDate <NewMtrtyDt>	[0..1]	±		87
	MeetingDate <MtgDt>	[0..1]	±		87
	MarginFixingDate <MrgnFxdDt>	[0..1]	±		87
	ProrationDate <PrratnDt>	[0..1]	±		88
	RecordDate <RcrdDt>	[0..1]	±		88
	RegistrationDeadline <RegnDdln>	[0..1]	±		88
	ResultsPublicationDate <RsultsPblctnDt>	[0..1]	±		89
	DeadlineToSplit <DdlnToSpl>	[0..1]	±		89
	DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwInsr>	[0..1]	±		89
	TradingSuspendedDate <TradgSspdDt>	[0..1]	±		90
	UnconditionalDate <UcondlDt>	[0..1]	±		90
	WhollyUnconditionalDate <WhlyUcondlDt>	[0..1]	±		90
	ExDividendDate <ExDvddDt>	[0..1]	±		91
	OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>	[0..1]	±		91
	SpecialExDate <SpclExDt>	[0..1]	±		91
	GuaranteedParticipationDate <GrntedPrtcptnDt>	[0..1]	±		92
	ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdln>	[0..1]	±		92
	ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln>	[0..1]	±		92
	LapsedDate <LpsdDt>	[0..1]	±		93
	PaymentDate <PmtDt>	[0..1]	±		93

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ThirdPartyDeadline <ThrdPtyDdln>	[0..1]	±		93
	EarlyThirdPartyDeadline <EarlyThrdPtyDdln>	[0..1]	±		94
	MarketClaimTrackingEndDate <MktClnTrckgEndDt>	[0..1]	±		94
	LeadPlaintiffDeadline <LeadPlntffDdln>	[0..1]	±		94
	FilingDate <FilgDt>	[0..1]	±		95
	HearingDate <HrgDt>	[0..1]	±		95

Constraints

- **EffectiveDateRule**

Effective date is to be used in events where there is no concept of entitlement, for example Name Change (CHAN) or Place of Incorporation (PLAC), and in events where there is a sense of eligibility but with a legal obligation, for example Merger (MRGR).

3.4.10.1.1 AnnouncementDate <AnncmntDt>

Presence: [0..1]

Definition: Date/time at which the issuer announced that a corporate action event will occur.

AnnouncementDate <AnncmntDt> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.10.1.2 CertificationDeadline <CertfctnDdln>

Presence: [0..1]

Definition: Deadline by which the certification must be sent.

CertificationDeadline <CertfctnDdln> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.10.1.3 CourtApprovalDate <CrtApprvIDt>*Presence:* [0..1]*Definition:* Date upon which the court provided approval.**CourtApprovalDate <CrtApprvIDt>** contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.10.1.4 EarlyClosingDate <EarlyClsgDt>*Presence:* [0..1]*Definition:* First possible early closing date of an offer if different from the expiry date.**EarlyClosingDate <EarlyClsgDt>** contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.10.1.5 EffectiveDate <FctvDt>*Presence:* [0..1]*Definition:* Date/time at which an event is officially effective from the issuer's perspective.**EffectiveDate <FctvDt>** contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.10.1.6 EqualisationDate <EqualstnDt>*Presence:* [0..1]

Definition: Date/time on which all or part of any holding bought in a unit trust is subject to being treated as capital rather than income. This is normally one day after the previous distribution's ex date.

EqualisationDate <EquIstnDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.10.1.7 FurtherDetailedAnnouncementDate <FrthrDtIdAnncmntDt>

Presence: [0..1]

Definition: Date/time at which additional information on the event will be announced, for example, exchange ratio announcement date.

FurtherDetailedAnnouncementDate <FrthrDtIdAnncmntDt> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.10.1.8 FixingDate <FxdDt>

Presence: [0..1]

Definition: Date/time at which an index / rate / price / value will be determined.

FixingDate <FxdDt> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.10.1.9 LotteryDate <LtryDt>

Presence: [0..1]

Definition: Date/time on which the lottery is run and applied to the holder's positions. This is also applicable to partial calls.

LotteryDate <LtryDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.10.1.10 NewMaturityDate <NewMtrtyDt>

Presence: [0..1]

Definition: Date/time to which the maturity date of an interest bearing security is extended.

NewMaturityDate <NewMtrtyDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.10.1.11 MeetingDate <MtgDt>

Presence: [0..1]

Definition: Date/time on which the bondholder's or shareholder's meeting will take place.

MeetingDate <MtgDt> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.10.1.12 MarginFixingDate <MrgnFxdDt>

Presence: [0..1]

Definition: Date/time at which the margin rate will be determined.

MarginFixingDate <MrgnFxdt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.10.1.13 ProrationDate <PrratnDt>

Presence: [0..1]

Definition: Date/time (and time) at which an issuer will determine the proration amount/quantity of an offer.

ProrationDate <PrratnDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.10.1.14 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.10.1.15 RegistrationDeadline <RegnDdln>

Presence: [0..1]

Definition: Date/time on which instructions to register or registration details will be accepted.

RegistrationDeadline <RegnDdln> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.10.1.16 ResultsPublicationDate <RsltsPblctnDt>

Presence: [0..1]

Definition: Date/time on which results are published, for example, results of an offer.

ResultsPublicationDate <RsltsPblctnDt> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.10.1.17 DeadlineToSplit <DdlnToSpl>

Presence: [0..1]

Definition: Deadline by which instructions must be received to split securities, for example, of physical certificates.

DeadlineToSplit <DdlnToSpl> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.10.1.18 DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr>

Presence: [0..1]

Definition: Date/time on until which tax breakdown instructions will be accepted.

DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.10.1.19 TradingSuspendedDate <TradgSspdDt>

Presence: [0..1]

Definition: Date/time at which trading of a security is suspended as the result of an event.

TradingSuspendedDate <TradgSspdDt> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.10.1.20 UnconditionalDate <UcondIDt>

Presence: [0..1]

Definition: Date/time upon which the terms of the take-over become unconditional as to acceptances.

UnconditionalDate <UcondIDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.10.1.21 WhollyUnconditionalDate <WhlyUcondIDt>

Presence: [0..1]

Definition: Date/time at on which all conditions, including regulatory, legal etc. pertaining to the take-over, have been met.

WhollyUnconditionalDate <WhlyUcondIDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.10.1.22 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.10.1.23 OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>

Presence: [0..1]

Definition: Date/time at which the corporate action is legally announced by an official body, for example, publication by a governmental administration.

OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.10.1.24 SpecialExDate <SpclExDt>

Presence: [0..1]

Definition: Date/time from which 'special processing' can start to be used by participants for that event. Special processing is a means of marking a transaction, that would normally be traded ex or cum, as

being traded cum or ex respectively, for example, a transaction dealt 'special' after the ex date would result in the buyer being eligible for the entitlement. This is typically used in the UK and Irish markets.

SpecialExDate <SpclExDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.10.1.25 GuaranteedParticipationDate <GrntedPrtcptnDt>

Presence: [0..1]

Definition: Last date/time by which a buying counterparty to a trade can be sure that it will have the right to participate in an event.

GuaranteedParticipationDate <GrntedPrtcptnDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.10.1.26 ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdln>

Presence: [0..1]

Definition: Deadline by which an entitled holder needs to advise their counterparty to a transaction of their election for a corporate action event, also known as Buyer Protection Deadline.

ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdln> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.10.1.27 ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln>

Presence: [0..1]

Definition: Date/time the account servicer has set as the deadline to respond, with instructions, prior to the election to counterparty market deadline.

ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.10.1.28 LapsedDate <LpsdDt>

Presence: [0..1]

Definition: Date/time at which an event/offer is terminated or lapsed.

LapsedDate <LpsdDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.10.1.29 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.10.1.30 ThirdPartyDeadline <ThrdPtyDdln>

Presence: [0..1]

Definition: Date/time by which the account owner must instruct directly another party, for example, to provide documentation to an issuer agent.

ThirdPartyDeadline <ThrdPtyDdln> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.10.1.31 EarlyThirdPartyDeadline <EarlyThrdPtyDdln>

Presence: [0..1]

Definition: Date/Time set by the issuer agent as a first early deadline by which the account owner must instruct directly another party, possibly giving the holder eligibility to incentives. For example, to provide documentation to an issuer agent.

EarlyThirdPartyDeadline <EarlyThrdPtyDdln> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.10.1.32 MarketClaimTrackingEndDate <MktCImTrckgEndDt>

Presence: [0..1]

Definition: Date by which the depository stops monitoring activities of the event, for instance, accounting and tracking activities for due bills end.

MarketClaimTrackingEndDate <MktCImTrckgEndDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.10.1.33 LeadPlaintiffDeadline <LeadPlntffDdln>

Presence: [0..1]

Definition: Last day an investor can become a lead plaintiff.

LeadPlaintiffDeadline <LeadPlntffDdln> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.10.1.34 FilingDate <FilgDt>

Presence: [0..1]

Definition: Date on which the action was filed at the applicable court.

FilingDate <FilgDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.10.1.35 HearingDate <HrgDt>

Presence: [0..1]

Definition: Date for the hearing between the plaintiff and defendant, as set by the court.

HearingDate <HrgDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.10.2 PeriodDetails <PrdDtIs>

Presence: [0..1]

Definition: Provides information about the periods related to a corporate action event.

Impacted by: C62 "InterestPeriodRule"

PeriodDetails <PrdDtls> contains the following elements (see "[CorporateActionPeriod15](#)" on page 736 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		737
	InterestPeriod <IntrstPrd>	[0..1]	±		738
	CompulsoryPurchasePeriod <CmplsryPurchsPrd>	[0..1]	±		738
	ClaimPeriod <ClmPrd>	[0..1]	±		738
	DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf>	[0..1]	±		738
	DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAgt>	[0..1]	±		739
	DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst>	[0..1]	±		739
	DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg>	[0..1]	±		739
	DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn>	[0..1]	±		740
	DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt>	[0..1]	±		740
	DepositorySuspensionPeriodForWithdrawalInNomineeName <DpstrySspnsnPrdForWdrwlInNmneeNm>	[0..1]	±		740
	DepositorySuspensionPeriodForWithdrawalInStreetName <DpstrySspnsnPrdForWdrwlInStrtNm>	[0..1]	±		740
	BookClosurePeriod <BookClsrPrd>	[0..1]	±		741
	CoDepositoriesSuspensionPeriod <CoDpstriesSspnsnPrd>	[0..1]	±		741
	SplitPeriod <SpltPrd>	[0..1]	±		741

Constraints

- **InterestPeriodRule**

InterestPeriod must be used only if it is different from a normal accrued interest period.

3.4.10.3 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action event.

Impacted by: [C19 "DeclaredRateRule"](#)

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate110** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InterestRate <IntrstRate>	[0..1]	±		97
	PercentageSought <PctgSght>	[0..1]	±		97
	RelatedIndex <RltdIndx>	[0..1]	±		98
	Spread <Sprd>	[0..1]	±		98
	BidInterval <BidIntrvl>	[0..1]	±		98
	PreviousFactor <PrvsFctr>	[0..1]	±		99
	NextFactor <NxtFctr>	[0..1]	±		99
	ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>	[0..1]	±		99
	InterestShortfall <IntrstShrtfll>	[0..1]	±		99
	RealisedLoss <RealsdLoss>	[0..1]	±		100
	DeclaredRate <DclrdRate>	[0..1]	±		100
	IndexFactor <IndxFctr>	[0..1]	±		100

Constraints

- **DeclaredRateRule**

DeclaredRate may only be used if the dividend or interest declared by the issuer is actually paid in a different currency than the declared one or if the dividend or the interest event makes it possible to elect amongst multiple currency options.

3.4.10.3.1 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

InterestRate <IntrstRate> contains one of the following elements (see "[RateAndAmountFormat46Choice](#)" on page 1055 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1056

3.4.10.3.2 PercentageSought <PctgSght>

Presence: [0..1]

Definition: Percentage of securities the offeror/issuer will purchase or redeem under the terms of the event.

PercentageSought <PctgSght> contains one of the following elements (see "[RateFormat7Choice](#)" on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1058
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1058

3.4.10.3.3 RelatedIndex <RltdIndx>

Presence: [0..1]

Definition: Index rate related to the interest rate of the forthcoming interest payment.

RelatedIndex <RltdIndx> contains one of the following elements (see "[RateFormat3Choice](#)" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

3.4.10.3.4 Spread <Sprd>

Presence: [0..1]

Definition: Margin allowed over or under a given rate.

Spread <Sprd> contains one of the following elements (see "[RateFormat3Choice](#)" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

3.4.10.3.5 BidInterval <BidIntrvl>

Presence: [0..1]

Definition: Acceptable price increment used for submitting a bid.

BidInterval <BidIntrvl> contains one of the following elements (see "[RateAndAmountFormat50Choice](#)" on page 901 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		901
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		901
Or	Amount <Amt>	[1..1]	Amount	C1	901
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		902

3.4.10.3.6 PreviousFactor <PrvsFctr>*Presence:* [0..1]*Definition:* Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.**PreviousFactor <PrvsFctr>** contains one of the following elements (see ["RateFormat12Choice"](#) on page 932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		932
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		932

3.4.10.3.7 NextFactor <NxtFctr>*Presence:* [0..1]*Definition:* Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.**NextFactor <NxtFctr>** contains one of the following elements (see ["RateFormat12Choice"](#) on page 932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		932
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		932

3.4.10.3.8 ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>*Presence:* [0..1]*Definition:* Rate of discount for securities purchased through a reinvestment scheme as compared to the current market price of security.**ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>** contains one of the following elements (see ["RateFormat3Choice"](#) on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

3.4.10.3.9 InterestShortfall <IntrstShrtfll>*Presence:* [0..1]*Definition:* For structured security issues where there is a set schedule of principal and interest payments for the life of the issue, this is the difference between the actual rate of the interest payment and the expected or scheduled rate of the interest payment.

InterestShortfall <IntrstShrtfll> contains one of the following elements (see "RateAndAmountFormat43Choice" on page 1054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1055

3.4.10.3.10 RealisedLoss <RealsdLoss>

Presence: [0..1]

Definition: For structured security issues where there is a set schedule of principal and interest payments for the life of the issue, this is the difference between the actual rate of the capital or principal repayment and the scheduled capital repayment.

RealisedLoss <RealsdLoss> contains one of the following elements (see "RateAndAmountFormat43Choice" on page 1054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1055

3.4.10.3.11 DeclaredRate <DclrdRate>

Presence: [0..1]

Definition: Dividend or interest rate declared by the issuer.

DeclaredRate <DclrdRate> contains one of the following elements (see "RateAndAmountFormat43Choice" on page 1054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1055

3.4.10.3.12 IndexFactor <IndxFctr>

Presence: [0..1]

Definition: Public index rate applied to the amount paid to adjust it to inflation.

IndexFactor <IndxFctr> contains one of the following elements (see "RateAndAmountFormat37Choice" on page 1057 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1057
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1057
Or}	Amount <Amt>	[1..1]	Amount	C1	1057

3.4.10.4 PriceDetails <PricDtls>*Presence:* [0..1]*Definition:* Provides information about the prices related to a corporate action event.**PriceDetails <PricDtls>** contains the following **CorporateActionPrice80** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumPrice <MaxPric>	[0..1]	±		101
	MinimumPrice <MinPric>	[0..1]	±		101
	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]	±		102
	LastBidIncrementPrice <LastBidIncrmtPric>	[0..1]	±		102

3.4.10.4.1 MaximumPrice <MaxPric>*Presence:* [0..1]*Definition:* Maximum or cap price at which a holder can bid, for example, on a Dutch auction offer.**MaximumPrice <MaxPric>** contains one of the following elements (see "[PriceFormat59Choice](#)" on page 985 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			985
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		985
	PriceValue <PricVal>	[1..1]	Rate		986
Or	AmountPrice <AmtPric>	[1..1]	±		986
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		986
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		986

3.4.10.4.2 MinimumPrice <MinPric>*Presence:* [0..1]*Definition:* Minimum or floor price at which a holder can bid, for example, on a Dutch auction offer.

MinimumPrice <MinPric> contains one of the following elements (see "PriceFormat59Choice" on page 985 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			985
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		985
	PriceValue <PricVal>	[1..1]	Rate		986
Or	AmountPrice <AmtPric>	[1..1]	±		986
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		986
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		986

3.4.10.4.3 FirstBidIncrementPrice <FrstBidIncrmtPric>

Presence: [0..1]

Definition: First acceptable price after the Minimum Price at which a holder can bid, for example on a Dutch auction offer.

FirstBidIncrementPrice <FrstBidIncrmtPric> contains one of the following elements (see "PriceFormat59Choice" on page 985 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			985
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		985
	PriceValue <PricVal>	[1..1]	Rate		986
Or	AmountPrice <AmtPric>	[1..1]	±		986
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		986
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		986

3.4.10.4.4 LastBidIncrementPrice <LastBidIncrmtPric>

Presence: [0..1]

Definition: Last acceptable price before the Maximum Price at which a holder can bid, for example on a Dutch auction offer.

LastBidIncrementPrice <LastBidIncrmtPric> contains one of the following elements (see "PriceFormat59Choice" on page 985 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			985
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		985
	PriceValue <PricVal>	[1..1]	Rate		986
Or	AmountPrice <AmtPric>	[1..1]	±		986
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		986
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		986

3.4.10.5 SecuritiesQuantity <SctiesQty>

Presence: [0..1]

Definition: Provides information about securities quantity linked to a corporate action.

Impacted by: C77 "MaximumAndMinimumQuantitySoughtUsageRule"

SecuritiesQuantity <SctiesQty> contains the following **CorporateActionQuantity14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumQuantity <MaxQty>	[0..1]	±	C25	103
	MinimumQuantitySought <MinQtySght>	[0..1]	±	C25	104
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C26	104
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C26	105
	BaseDenomination <BaseDnmtn>	[0..1]	±	C26	105
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C26	106

Constraints

- **MaximumAndMinimumQuantitySoughtUsageRule**

When MaximumQuantity is present, MinimumQuantitySought must be present too. When MinimumQuantitySought is present, MaximumQuantity must be present too.

When MinimumQuantitySought/Code is AnyAndAll, then MaximumQuantity/Code must not be AnyAndAll.

When MaximumQuantity/Code is AnyAndAll, MinimumQuantitySought/code must not be used with the value AnyAndAll.

3.4.10.5.1 MaximumQuantity <MaxQty>

Presence: [0..1]

Definition: The maximum number of securities the offeror/issuer is ready to purchase or redeem. This can be a number or the term "any and all".

Impacted by: C25 "DigitalTokenUnitUsageRule"

MaximumQuantity <MaxQty> contains one of the following elements (see "FinancialInstrumentQuantity44Choice" on page 999 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		999
Or	FaceAmount <FaceAmt>	[1..1]	Amount		999
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		999
Or	Code <Cd>	[1..1]	CodeSet		999
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1000

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.10.5.2 MinimumQuantitySought <MinQtySght>

Presence: [0..1]

Definition: Minimum quantity of securities the offeror/issuer is ready to purchase or redeem under the terms of the event. This can be a number or the term "any and all".

Impacted by: C25 "DigitalTokenUnitUsageRule"

MinimumQuantitySought <MinQtySght> contains one of the following elements (see "FinancialInstrumentQuantity44Choice" on page 999 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		999
Or	FaceAmount <FaceAmt>	[1..1]	Amount		999
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		999
Or	Code <Cd>	[1..1]	CodeSet		999
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1000

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.10.5.3 NewBoardLotQuantity <NewBrdLotQty>

Presence: [0..1]

Definition: Quantity of equity that makes up the new board lot.

Impacted by: C26 "DigitalTokenUnitUsageRule"

NewBoardLotQuantity <NewBrdLotQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1000 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1000
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1000
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1000
Or	Code <Cd>	[1..1]	CodeSet		1001
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1001

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.10.5.4 NewDenominationQuantity <NewDnmtnQty>

Presence: [0..1]

Definition: New denomination of the equity following, for example, an increase or decrease in nominal value.

Impacted by: C26 "DigitalTokenUnitUsageRule"

NewDenominationQuantity <NewDnmtnQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1000 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1000
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1000
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1000
Or	Code <Cd>	[1..1]	CodeSet		1001
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1001

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.10.5.5 BaseDenomination <BaseDnmtn>

Presence: [0..1]

Definition: Minimum integral amount of securities that each account owner must have remaining after the called amounts are applied.

Impacted by: C26 "DigitalTokenUnitUsageRule"

BaseDenomination <BaseDnmtn> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1000 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1000
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1000
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1000
Or	Code <Cd>	[1..1]	CodeSet		1001
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1001

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.10.5.6 IncrementalDenomination <IncrmtlDnmtn>

Presence: [0..1]

Definition: Amount used when the called amount is not met by running the lottery with the base denomination.

Impacted by: C26 "DigitalTokenUnitUsageRule"

IncrementalDenomination <IncrmtlDnmtn> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1000 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1000
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1000
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1000
Or	Code <Cd>	[1..1]	CodeSet		1001
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1001

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.10.6 InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>

Presence: [0..1]

Definition: Number of days used for calculating the accrued interest amount.

Impacted by: C90 "NumberRule"

Datatype: "Max3Number" on page 1181

Constraints

- **NumberRule**

If Number is negative, then Sign must be present.

3.4.10.7 CouponNumber <CpnNb>

Presence: [0..*]

Definition: Number of the coupon attached/associated with a security.

CouponNumber <CpnNb> contains one of the following elements (see "[IdentificationFormat4Choice](#)" on page 919 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortIdentification <ShrtId>	[1..1]	Text		919
Or	LongIdentification <LngId>	[1..1]	Text		920
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		920

3.4.10.8 CertificationBreakdownIndicator <CertfctnBrkdwnInd>

Presence: [0..1]

Definition: Indicates whether certification/breakdown is required.

Yes = certification required.

No = no certification required.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.9 ChargesAppliedIndicator <ChrgsAppldInd>

Presence: [0..1]

Definition: Indicates whether charges apply to the holder, for instance redemption charges.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.10 RestrictionIndicator <RstrctnInd>

Presence: [0..1]

Definition: Indicates whether restrictions apply to the corporate action event or not.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.11 AccruedInterestIndicator <AcrdIntrstInd>*Presence:* [0..1]*Definition:* Indicates whether the holder is entitled to accrued interest.*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 1180](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.12 LetterOfGuaranteedDeliveryIndicator <LtrOfGrntedDlvryInd>*Presence:* [0..1]*Definition:* Indicates whether a letter of guaranteed delivery can be submitted in order to participate in the offer on full eligible position. It is not intended for use in situations arising from failed or late trades.*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 1180](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.13 ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>*Presence:* [0..1]*Definition:* Indicates whether the announcement was initiated by the first intermediary in the custody chain in accordance with SRD II.*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 1180](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.14 DividendType <DvddTp>*Presence:* [0..1]*Definition:* Specifies the conditions in which a dividend is paid.**DividendType <DvddTp>** contains one of the following elements (see ["DividendTypeFormat10Choice" on page 918](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		919
Or}	Proprietary <Prtry>	[1..1]	±		919

3.4.10.15 EventSequenceType <EvtSeqTp>*Presence:* [0..1]*Definition:* Specifies whether the event is an interim or a final event in a series of predefined or planned events of the same type and for the same underlying instrument.

EventSequenceType <EvtSeqTp> contains one of the following elements (see "EventSequenceTypeFormat2Choice" on page 878 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		878
Or}	Proprietary <Prtry>	[1..1]	±		878

3.4.10.16 OccurrenceType <OcrncTp>

Presence: [0..1]

Definition: Specifies the conditions in which the instructions and/or payment of the proceeds occurs.

OccurrenceType <OcrncTp> contains one of the following elements (see "DistributionTypeFormat8Choice" on page 918 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		918
Or}	Proprietary <Prtry>	[1..1]	±		918

3.4.10.17 OfferType <OfferTp>

Presence: [0..*]

Definition: Specifies the conditions that apply to the offer.

OfferType <OfferTp> contains one of the following elements (see "OfferTypeFormat13Choice" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		824
Or}	Proprietary <Prtry>	[1..1]	±		825

3.4.10.18 RenounceableEntitlementStatusType <RnncblEntitlmntStsTp>

Presence: [0..1]

Definition: Specifies whether terms of the event allow resale of the rights.

RenounceableEntitlementStatusType <RnncblEntitlmntStsTp> contains one of the following elements (see "RenounceableEntitlementStatusTypeFormat4Choice" on page 921 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		921
Or}	Proprietary <Prtry>	[1..1]	±		921

3.4.10.19 EventStage <EvtStag>

Presence: [0..*]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat20Choice" on page 916 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		917
Or}	Proprietary <Prtry>	[1..1]	±		917

3.4.10.20 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>

Presence: [0..*]

Definition: Specifies the type of the additional business process linked to the corporate action event such as a claim compensation or tax refund.

AdditionalBusinessProcessIndicator <AddtlBizPrclnd> contains one of the following elements (see "AdditionalBusinessProcessFormat22Choice" on page 822 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		822
Or}	Proprietary <Prtry>	[1..1]	±		823

3.4.10.21 ChangeType <ChngTp>

Presence: [0..*]

Definition: Specifies the type of change announced.

ChangeType <ChngTp> contains one of the following elements (see "CorporateActionChangeTypeFormat8Choice" on page 915 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		915
Or}	Proprietary <Prtry>	[1..1]	±		916

3.4.10.22 IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp>

Presence: [0..1]

Definition: Type of intermediates securities distribution.

IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp> contains one of the following elements (see "IntermediateSecuritiesDistributionTypeFormat18Choice" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		914
Or}	Proprietary <Prtry>	[1..1]	±		915

3.4.10.23 CapitalGainInOutIndicator <CptlGnlInOutInd>

Presence: [0..1]

Definition: Specifies whether the capital gain is in the scope of the EU Savings directive for the income realised upon the sale, refund or redemption of shares and units (.) (Article 6(1d)).

CapitalGainInOutIndicator <CptlGnInOutInd> contains one of the following elements (see "CapitalGainFormat4Choice" on page 913 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		913
Or}	Proprietary <Prtry>	[1..1]	±		913

3.4.10.24 TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>

Presence: [0..1]

Definition: Specifies whether the financial instrument calculates the taxable income per dividend/taxable income per share.

TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd> contains one of the following elements (see "TaxableIncomePerShareCalculatedFormat4Choice" on page 912 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		912
Or}	Proprietary <Prtry>	[1..1]	±		913

3.4.10.25 ElectionType <ElctnTp>

Presence: [0..1]

Definition: Specifies the effect on the holdings of electing a corporate action option.

ElectionType <ElctnTp> contains one of the following elements (see "ElectionTypeFormat4Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		911
Or}	Proprietary <Prtry>	[1..1]	±		912

3.4.10.26 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following elements (see "LotteryTypeFormat5Choice" on page 910 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		911
Or}	Proprietary <Prtry>	[1..1]	±		911

3.4.10.27 CertificationType <CertfctnTp>*Presence:* [0..1]*Definition:* Specifies the certification format required, this is, physical or electronic format.**CertificationType <CertfctnTp>** contains one of the following elements (see "[CertificationTypeFormat4Choice](#)" on page 910 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		910
Or}	Proprietary <Prtry>	[1..1]	±		910

3.4.10.28 ConsentType <CnsntTp>*Presence:* [0..1]*Definition:* Specifies the type of consent announced.**ConsentType <CnsntTp>** contains one of the following elements (see "[ConsentTypeFormat5Choice](#)" on page 909 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		909
Or}	Proprietary <Prtry>	[1..1]	±		909

3.4.10.29 InformationType <InfTp>*Presence:* [0..1]*Definition:* Specifies the type of information event.**InformationType <InfTp>** contains one of the following elements (see "[InformationTypeFormat5Choice](#)" on page 908 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		908
Or}	Proprietary <Prtry>	[1..1]	±		909

3.4.10.30 TaxOnNonDistributedProceedsIndicator <TaxOnNonDstrbtdPrcdsInd>*Presence:* [0..*]*Definition:* Specifies the tax regulation being attributed to the non-distributed proceeds event or to any other event.

TaxOnNonDistributedProceedsIndicator <TaxOnNonDstrbtdPrcdsInd> contains the following elements (see "GenericIdentification47" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

3.4.10.31 NewPlaceOfIncorporation <NewPlcOfIncorprtn>

Presence: [0..1]

Definition: New company's place of incorporation.

Datatype: "RestrictedFINXMax350Text" on page 1189

3.4.10.32 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information. This field may only be used when the information to be transmitted, cannot be coded.

Impacted by: C3 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative63** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Offeror <Offerr>	[0..*]	Text		113
	NewCompanyName <NewCpnyNm>	[0..1]	Text		113
	URLAddress <URLAdr>	[0..*]	±		114
	EventProcessingWebSiteAddress <EvtPrcgWebSiteAdr>	[0..1]	Text		114

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition.

3.4.10.32.1 Offeror <Offerr>

Presence: [0..*]

Definition: Provides the entity making the offer and is different from the issuing company.

Datatype: "RestrictedFINXMax350Text" on page 1189

3.4.10.32.2 NewCompanyName <NewCpnyNm>

Presence: [0..1]

Definition: Provides the new name of a company following a name change.

Datatype: "RestrictedFINXMax350Text" on page 1189

3.4.10.32.3 URLAddress <URLAdr>

Presence: [0..*]

Definition: Provides the web address published for the event, that is, the address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

URLAddress <URLAdr> contains the following elements (see "UpdatedURLInformation7" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		816
	URLAddress <URLAdr>	[1..1]	Text		816

3.4.10.32.4 EventProcessingWebSiteAddress <EvtPrcgWebSiteAdr>

Presence: [0..1]

Definition: Provides web address of an account servicer (or of a service provider) that contains information solely intended for the immediate account holder to enable or facilitate event processing between parties.

Datatype: "RestrictedFINZMax2048Text" on page 1189

3.4.11 CorporateActionOptionDetails <CorpActnOptnDtls>

Presence: [0..*]

Definition: Information about the corporate action option.

Impacted by: C7 "AdditionalTextRule", C11 "AppliedOptionRule", C28 "DissenterRights1Rule", C30 "DissenterRights1UGRule", C32 "DissenterRights2Rule", C34 "DissenterRights2UGRule", C60 "InstructCashAmountRule", C93 "OptionTypeUnknownProceedsUsageRule", C101 "RevocabilityPeriodRule", C92 "OptionNumberGuideline"

CorporateActionOptionDetails <CorpActnOptnDtls> contains the following
CorporateActionOption228 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	Text		124
	OptionType <OptnTp>	[1..1]	±		124
	FractionDisposition <FrctnDspstn>	[0..1]	±		124
	OfferType <OfferTp>	[0..*]	±		125
	OptionFeatures <OptnFeatrs>	[0..*]	±		125
	OptionAvailabilityStatus <OptnAvlbtySts>	[0..1]	±		125
	CertificationBreakdownType <CertfctnBrkdwnTp>	[0..*]	±		125
	BidRangeType <BidRgTp>	[0..1]	±		126
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C16	126
	ValidDomicileCountry <VldDmclCtry>	[0..*]	CodeSet	C16	126
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	127
	DefaultProcessingOrStandingInstruction <DfltPrcgOrStgInstr>	[1..1]	±		127
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		127
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		127
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[0..1]	Indicator		128
	ChangeAllowedIndicator <ChngAllwdInd>	[0..1]	Indicator		128
	AppliedOptionIndicator <ApldOptnInd>	[0..1]	Indicator		128
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C22, C23, C66, C67, C95	128
	DateDetails <DtDtls>	[0..1]			129
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		130
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		130
	ProtectDeadline <PrctDdln>	[0..1]	±		131
	MarketDeadline <MktDdln>	[0..1]	±		131
	ResponseDeadline <RspnDdln>	[0..1]	±		131
	ExpiryDate <XpryDt>	[0..1]	±		132
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		132
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		133

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		133
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			133
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		133
	Borrower <Brrwr>	[1..1]	±		134
	EndOfSecuritiesBlockingPeriod <EndOfSciesBlckgPrd>	[0..1]	±		134
	PeriodDetails <PrdDtls>	[0..1]			134
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		135
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		135
	ActionPeriod <ActnPrd>	[0..1]	±		135
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		136
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		136
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		136
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		136
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C48, C50, C83, C85, C108, C110, C112, C117, C119	137
	AdditionalTax <AddtlTax>	[0..1]	±		138
	GrossDividendRate <GrssDvddRate>	[0..*]	±		139
	NetDividendRate <NetDvddRate>	[0..*]	±		139
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		140
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	±		141
	ProrationRate <PrratnRate>	[0..1]	±		141
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		142
	SecondLevelTax <ScndLvlTax>	[0..*]	±		142
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		142
	IssuerDeclaredExchangeRate <IssrDclrdXchgRate>	[0..1]	±		143
	TaxOnIncome <TaxOnIncm>	[0..1]	±		143

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BidInterval <BidIntrvl>	[0..1]	±		143
	PriceDetails <PricDtls>	[0..1]			144
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		144
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		145
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		145
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		145
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		146
	MaximumPrice <MaxPric>	[0..1]	±		146
	MinimumPrice <MinPric>	[0..1]	±		146
	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]	±		147
	LastBidIncrementPrice <LastBidIncrmtPric>	[0..1]	±		147
	SecuritiesQuantity <SctiesQty>	[0..1]			148
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±	C25	148
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C25	149
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C26	149
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C26	150
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C26	150
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C26	151
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±	C26	151
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C39, C55, C57, C69	152
	SecurityDetails <SctyDtls>	[1..1]	±	C76, C98	155
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		156
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		156
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]			156
{Or	Code <Cd>	[1..1]	CodeSet		156
Or}	Proprietary <Prtry>	[1..1]	±		157
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbiltyInd>	[0..1]	±		157
	NewSecuritiesIssuanceIndicator <NewSctiesIssnclInd>	[0..1]	CodeSet		157

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IncomeType <IncmTp>	[0..1]	±		158
	OtherIncomeType <OthrIncmTp>	[0..*]	±		158
	ExemptionType <XmpnTp>	[0..*]	±		159
	EntitledQuantity <EntitldQty>	[0..1]	±		159
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		159
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C16	160
	FractionDisposition <FrctnDspstn>	[0..1]	±		160
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	160
	TradingPeriod <TradgPrd>	[0..1]	±		161
	DateDetails <DtDtls>	[1..1]			161
	PaymentDate <PmtDt>	[1..1]	±		161
	AvailableDate <AvlblDt>	[0..1]	±		162
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		162
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		162
	PariPassuDate <PrpssDt>	[0..1]	±		163
	LastTradingDate <LastTradgDt>	[0..1]	±		163
	RateDetails <RateDtls>	[0..1]			163
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsltntScies>	[0..1]	±		164
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		164
	NewToOld <NewToOd>	[0..1]	±		165
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		165
	ChargesFees <ChrgsFees>	[0..1]	±		165
	FiscalStamp <FscIStmp>	[0..1]	±		166
	ApplicableRate <AplblRate>	[0..1]	±		166
	TaxCreditRate <TaxCdtRate>	[0..1]	±		166
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		167
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		167
	SecondLevelTax <ScndLvlTax>	[0..*]	±		167
	PriceDetails <PricDtls>	[0..1]		C45	168
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		168
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		169

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashValueForTax <CshValForTax>	[0..1]	±		169
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		169
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		170
	AmountDetails <AmtDtls>	[0..1]			170
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C17	171
	SecondLevelTaxAmount <ScndLvTaxAmt>	[0..1]	Amount	C1, C17	171
	CashMovementDetails <CshMvmntDtls>	[0..*]		C40, C56, C58, C70, C46	172
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		176
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		176
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]			177
{Or	Code <Cd>	[1..1]	CodeSet		177
Or}	Proprietary <Prtry>	[1..1]	±		177
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbiltyInd>	[0..1]	±		178
	IncomeType <IncmTp>	[0..1]	±		178
	OtherIncomeType <OthrIncmTp>	[0..*]	±		178
	ExemptionType <XmptnTp>	[0..*]	±		178
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C16	179
	CashAccountIdentification <CshAcctId>	[0..1]	±		179
	AmountDetails <AmtDtls>	[0..1]			179
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C17	181
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C17	182
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C17	182
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C17	182
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C17	183
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C17	183
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C17	184
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C17	184
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C17	185

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FullyFrankedAmount <FullyFrnkdAmt>	[0..1]	Amount	C1, C17	185
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C17	185
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C17	186
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C17	186
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C17	187
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C17	187
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C17	187
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C17	188
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C17	188
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C17	189
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C17	189
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C17	189
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C17	190
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C17	190
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C17	191
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C17	191
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C17	191
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C17	192
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C17	192
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C17	193
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C17	193
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C17	193
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C17	194
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C17	194
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C17	195
	EUTaxRetentionAmount <EUTaxRtnAmt>	[0..1]	Amount	C1, C17	195
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C17	195
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C17	196
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C17	196
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C17	197

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C17	197
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C17	197
	TransactionTax <TxTax>	[0..1]	Amount	C1, C17	198
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C17	198
	ForeignIncomeAmount <FrgrnIncmAmt>	[0..1]	Amount	C1, C17	199
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C17	199
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C17	199
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C17	200
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C17	200
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C1, C17	201
	DateDetails <DtDtls>	[1..1]			201
	PaymentDate <PmtDt>	[1..1]	±		201
	ValueDate <ValDt>	[0..1]	±		202
	ForeignExchangeRateFixingDate <FXRateFxdt>	[0..1]	±		202
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		202
	ForeignExchangeDetails <FXDtls>	[0..1]	±		203
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C20, C21, C49, C51, C84, C86, C109, C111, C113, C118, C120	203
	AdditionalTax <AddtlTax>	[0..1]	±		206
	GrossDividendRate <GrssDvddRate>	[0..*]	±		206
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		206
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		207
	SecondLevelTax <ScndLvlTax>	[0..*]	±		207
	ChargesFees <ChrgsFees>	[0..1]	±		208
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		208
	FiscalStamp <FscIStmp>	[0..1]	±		209
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		209

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NetDividendRate <NetDvddRate>	[0..*]	±		209
	ApplicableRate <AplblRate>	[0..1]	±		210
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		210
	TaxCreditRate <TaxCdtRate>	[0..1]	±		211
	TaxOnIncome <TaxOnIncm>	[0..1]	±		211
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		211
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		212
	EqualisationRate <EqulstnRate>	[0..1]	±		212
	DeemedRate <DmdRate>	[0..*]	±		212
	PriceDetails <PricDtls>	[0..1]		C47	213
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		214
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		214
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		215
	AdditionalInformation <AddtlInf>	[0..1]		C4	215
	AdditionalText <AddtlTxt>	[0..*]	±		216
	NarrativeVersion <NrtrvVrsn>	[0..*]	±		216
	InformationConditions <InfConds>	[0..*]	±		217
	InformationToComplyWith <InfToCmplyWth>	[0..*]	±		217
	SecurityRestriction <SctyRstrctn>	[0..*]	±		217
	TaxationConditions <TaxtnConds>	[0..*]	±		217
	Disclaimer <Dsclmr>	[0..*]	±		218
	CertificationBreakdown <CertfctnBrkdown>	[0..*]	±		218

Constraints

- **AdditionalTextRule**

If OptionType/Code is OTHR (Other), then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

On Condition

/OptionType/Code is present

And /OptionType/Code is equal to value 'Other'

Following Must be True

/AdditionalInformation Must be present

And /AdditionalInformation/AdditionalText[*] Must be present

And /AdditionalInformation/AdditionalText[*]/AdditionalInformation Must be present

- **AppliedOptionRule**

If DefaultProcessingOrStandingInstruction/DefaultOptionIndicator is present and its value is '1' or 'true' (Yes), then AppliedOptionIndicator value must not be '1' or 'true' (Yes).

- **DissenterRights1Rule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

On Condition

/OfferType[*]/Code is equal to value 'DissenterRights'

Following Must be True

/OfferType[*]/Code Must be different from value 'DissenterRightsNotApplicable'

- **DissenterRights1UGRule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

if

at least one occurrence of [OfferType/Code] has value included in the following list 'DISS'

, then

every occurrence of [OfferType/Code] must have value not included in the following list 'NDIS'

- **DissenterRights2Rule**

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

On Condition

/OfferType[*]/Code is equal to value 'DissenterRightsNotApplicable'

Following Must be True

/OfferType[*]/Code Must be different from value 'DissenterRights'

- **DissenterRights2UGRule**

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

if

at least one occurrence of [OfferType/Code] has value included in the following list 'NDIS'

, then

every occurrence of [OfferType/Code] must have value not included in the following list 'DISS'

- **InstructCashAmountRule**

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMutipleCashToInstruct) must not be present.

(MT564 NVR C20).

On Condition

/OptionFeatures[*]/Code is different from value 'InstructCashAmount'

Following Must be True

/PriceDetails/MaximumCashToInstruct Must be absent

And /PriceDetails/MinimumCashToInstruct Must be absent

And /PriceDetails/MinimumMultipleCashToInstruct Must be absent

- **OptionNumberGuideline**

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

- **OptionTypeUnknownProceedsUsageRule**

When used, the corporate action option code PRUN (Unknown Proceeds) should be maintained throughout the full lifecycle of the event.

- **RevocabilityPeriodRule**

If WithdrawalAllowedIndicator or ChangeAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the change or withdrawal of instruction is allowed.

3.4.11.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "Exact3NumericText" on page 1182

3.4.11.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "[CorporateActionOption46Choice](#)" on page 840 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		841

3.4.11.3 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "FractionDispositionType31Choice" on page 907 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		907
Or}	Proprietary <Prtry>	[1..1]	±		908

3.4.11.4 OfferType <OfferTp>

Presence: [0..*]

Definition: Specifies the conditions that apply to the offer.

OfferType <OfferTp> contains one of the following elements (see "OfferTypeFormat13Choice" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		824
Or}	Proprietary <Prtry>	[1..1]	±		825

3.4.11.5 OptionFeatures <OptnFeatrs>

Presence: [0..*]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following elements (see "OptionFeaturesFormat31Choice" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		817
Or}	Proprietary <Prtry>	[1..1]	±		818

3.4.11.6 OptionAvailabilityStatus <OptnAvlbtYSts>

Presence: [0..1]

Definition: Specifies the status of the option.

OptionAvailabilityStatus <OptnAvlbtYSts> contains one of the following elements (see "OptionAvailabilityStatus4Choice" on page 906 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		907
Or}	Proprietary <Prtry>	[1..1]	±		907

3.4.11.7 CertificationBreakdownType <CertfctnBrkdwnTp>

Presence: [0..*]

Definition: Type of certification/breakdown.

CertificationBreakdownType <CertfctnBrkdwnTp> contains one of the following elements (see "[BeneficiaryCertificationType12Choice](#)" on page 905 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		905
Or}	Proprietary <Prtry>	[1..1]	±		906

3.4.11.8 BidRangeType <BidRgTp>

Presence: [0..1]

Definition: Specifies the type of acceptable bid range values.

BidRangeType <BidRgTp> contains one of the following elements (see "[BidRangeType1Choice](#)" on page 842 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		842
Or}	Proprietary <Prtry>	[1..1]	±		842

3.4.11.9 NonDomicileCountry <NonDmclCtry>

Presence: [0..*]

Definition: Holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

Impacted by: [C16 "Country"](#)

Datatype: ["CountryCode"](#) on page 1143

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.11.10 ValidDomicileCountry <VldDmclCtry>

Presence: [0..*]

Definition: Country of domicile in which the Corporate Action option is valid. The holder of the security has to certify that it is domiciled in the country indicated.

Impacted by: [C16 "Country"](#)

Datatype: ["CountryCode"](#) on page 1143

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.11.11 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited/debited.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1096

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.12 DefaultProcessingOrStandingInstruction <DfltPrcgOrStgInstr>

Presence: [1..1]

Definition: Indicates whether the corporate action movement is a default processing or a standing instruction.

DefaultProcessingOrStandingInstruction <DfltPrcgOrStgInstr> contains one of the following elements (see "DefaultProcessingOrStandingInstruction1Choice" on page 809 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DefaultOptionIndicator <DfltOptnInd>	[1..1]	Indicator		809
Or}	StandingInstructionIndicator <StgInstrInd>	[1..1]	Indicator		810

3.4.11.13 ChargesAppliedIndicator <ChrgsAppldInd>

Presence: [0..1]

Definition: Indicates whether charges apply to the holder, for instance redemption charges.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.14 CertificationBreakdownIndicator <CertfctnBrkdwnInd>

Presence: [0..1]

Definition: Indicates whether certification/breakdown is required from the account owner.

Yes: certification required

No: no certification required.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.15 WithdrawalAllowedIndicator <WdrwlAllwdInd>

Presence: [0..1]

Definition: Indicates whether withdrawal of instruction is allowed.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.16 ChangeAllowedIndicator <ChngAllwdInd>

Presence: [0..1]

Definition: Indicates whether change of instruction is allowed.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.17 AppliedOptionIndicator <ApIdOptnInd>

Presence: [0..1]

Definition: Indicates whether the option, different from the default one, shall be applied by the account owner.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.18 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: Identifies the financial instrument.

Impacted by: [C22 "DescriptionPresenceRule"](#), [C23 "DescriptionUsageRule"](#), [C66 "ISINGuideline"](#), [C67 "ISINPresenceRule"](#), [C95 "OtherIdentificationPresenceRule"](#)

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		767
	OtherIdentification <OthrlId>	[0..*]			767
	Identification <Id>	[1..1]	Text		768
	Suffix <Sfx>	[0..1]	Text		768
	Type <Tp>	[1..1]	±		768
	Description <Desc>	[0..1]	Text		768

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

3.4.11.19 DateDetails <DtDtIs>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action option.

DateDetails <DtDtls> contains the following **CorporateActionDate82** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		130
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		130
	ProtectDeadline <PrctDdln>	[0..1]	±		131
	MarketDeadline <MktDdln>	[0..1]	±		131
	ResponseDeadline <RspnDdln>	[0..1]	±		131
	ExpiryDate <XpryDt>	[0..1]	±		132
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		132
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		133
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		133
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			133
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		133
	Borrower <Brrwr>	[1..1]	±		134
	EndOfSecuritiesBlockingPeriod <EndOfSciesBlckgPrd>	[0..1]	±		134

3.4.11.19.1 EarlyResponseDeadline <EarlyRspnDdln>

Presence: [0..1]

Definition: Date/time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives.

EarlyResponseDeadline <EarlyRspnDdln> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.11.19.2 CoverExpirationDeadline <CoverXprtnDdln>

Presence: [0..1]

Definition: Last day a holder can deliver the securities that it had elected on and/or previously protected.

CoverExpirationDeadline <CoverXprtnDdln> contains one of the following elements (see "DateFormat43Choice" on page 750 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		750
Or}	DateCode <DtCd>	[1..1]			750
{Or	Code <Cd>	[1..1]	CodeSet		750
Or}	Proprietary <Prtry>	[1..1]	±		751

3.4.11.19.3 ProtectDeadline <PrctctDdln>

Presence: [0..1]

Definition: Last date/time a holder can request to defer delivery of securities pursuant to a notice of guaranteed delivery or other required documentation.

ProtectDeadline <PrctctDdln> contains one of the following elements (see "DateFormat43Choice" on page 750 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		750
Or}	DateCode <DtCd>	[1..1]			750
{Or	Code <Cd>	[1..1]	CodeSet		750
Or}	Proprietary <Prtry>	[1..1]	±		751

3.4.11.19.4 MarketDeadline <MktDdln>

Presence: [0..1]

Definition: Issuer or issuer's agent deadline to respond with an instruction to an outstanding offer or privilege.

MarketDeadline <MktDdln> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.11.19.5 ResponseDeadline <RspnDdln>

Presence: [0..1]

Definition: Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event.

ResponseDeadline <RspnDdln> contains one of the following elements (see "DateFormat54Choice" on page 746 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		746
Or	DateCodeAndTime <DtCdAndTm>	[1..1]			746
	DateCode <DtCd>	[1..1]			746
{Or	Code <Cd>	[1..1]	CodeSet		747
Or}	Proprietary <Prtry>	[1..1]	±		747
	Time <Tm>	[1..1]	Time		747
Or}	DateCode <DtCd>	[1..1]			747
{Or	Code <Cd>	[1..1]	CodeSet		748
Or}	Proprietary <Prtry>	[1..1]	±		748

3.4.11.19.6 ExpiryDate <XpryDt>

Presence: [0..1]

Definition: Date/time at which an order expires or on which a privilege or offer terminates.

ExpiryDate <XpryDt> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.11.19.7 SubscriptionCostDebitDate <SbcptCostDbtDt>

Presence: [0..1]

Definition: Date/time by which cash must be in place in order to take part in the event.

SubscriptionCostDebitDate <SbcptCostDbtDt> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.11.19.8 DepositoryCoverExpirationDate <DpstryCoverXprtnDt>*Presence:* [0..1]*Definition:* Last day that a participant of the depository can deliver securities that it had elected on and/or previously protected.**DepositoryCoverExpirationDate <DpstryCoverXprtnDt>** contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.11.19.9 StockLendingDeadline <StockLndgDdln>*Presence:* [0..1]*Definition:* Date/time set as the deadline to respond, with instructions, to an outstanding event, for which the underlying security is out on loan.**StockLendingDeadline <StockLndgDdln>** contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.11.19.10 BorrowerStockLendingDeadline <BrrwrStockLndgDdln>*Presence:* [0..*]*Definition:* Specifies the party borrowing stocks and the associated stock lending deadline assigned to the borrower.**BorrowerStockLendingDeadline <BrrwrStockLndgDdln>** contains the following **BorrowerLendingDeadline6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		133
	Borrower <Brrwr>	[1..1]	±		134

3.4.11.19.10.1 StockLendingDeadline <StockLndgDdln>*Presence:* [1..1]

Definition: Date/time set as the deadline to respond, with instructions, to an outstanding event for which the underlying security is out on loan.

StockLendingDeadline <StockLndgDdln> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.11.19.10.2 Borrower <Brrwr>

Presence: [1..1]

Definition: Party who has borrowed stocks on loan.

Borrower <Brrwr> contains one of the following elements (see "PartyIdentification136Choice" on page 945 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	945
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		946

3.4.11.19.11 EndOfSecuritiesBlockingPeriod <EndOfSctiesBlckgPrd>

Presence: [0..1]

Definition: Date until which the blocking of securities can occur upon instructing this option.

EndOfSecuritiesBlockingPeriod <EndOfSctiesBlckgPrd> contains one of the following elements (see "DateFormat59Choice" on page 744 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		744
Or}	DateCode <DtCd>	[1..1]			745
{Or	Code <Cd>	[1..1]	CodeSet		745
Or}	Proprietary <Prtry>	[1..1]	±		745

3.4.11.20 PeriodDetails <PrdDtls>

Presence: [0..1]

Definition: Provides information about the periods related to a corporate action option.

PeriodDetails <PrdDtls> contains the following **CorporateActionPeriod12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		135
	ParallelTradingPeriod <ParlITradgPrd>	[0..1]	±		135
	ActionPeriod <ActnPrd>	[0..1]	±		135
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		136
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		136
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		136
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		136

3.4.11.20.1 PriceCalculationPeriod <PricClctnPrd>

Presence: [0..1]

Definition: Period during which the price of a security is determined.

PriceCalculationPeriod <PricClctnPrd> contains one of the following elements (see ["Period6Choice"](#) on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

3.4.11.20.2 ParallelTradingPeriod <ParlITradgPrd>

Presence: [0..1]

Definition: Period during which both old and new equity may be traded simultaneously, for example, consolidation of equity or splitting of equity.

ParallelTradingPeriod <ParlITradgPrd> contains one of the following elements (see ["Period6Choice"](#) on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

3.4.11.20.3 ActionPeriod <ActnPrd>

Presence: [0..1]

Definition: Period during which the specified option, or all options of the event, remains valid, for example, offer period.

ActionPeriod <ActnPrd> contains one of the following elements (see "Period6Choice" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

3.4.11.20.4 RevocabilityPeriod <RvcbltyPrd>

Presence: [0..1]

Definition: Period during which the shareholder can revoke, change or withdraw its instruction.

RevocabilityPeriod <RvcbltyPrd> contains one of the following elements (see "Period6Choice" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

3.4.11.20.5 PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>

Presence: [0..1]

Definition: Period during which the privilege is not available, for example, this can happen whenever a meeting takes place or whenever a coupon payment is due.

PrivilegeSuspensionPeriod <PrvlgSspnsnPrd> contains one of the following elements (see "Period6Choice" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

3.4.11.20.6 AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>

Presence: [0..1]

Definition: Period during which the participant of the account servicer can revoke change or withdraw its instructions.

AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd> contains one of the following elements (see "Period6Choice" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

3.4.11.20.7 DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>

Presence: [0..1]

Definition: Period defining the last date on which withdrawal in street name requests on the outturn security will be accepted and the date on which the suspension will be released and withdrawal by transfer processing on the outturn security will resume.

DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl> contains one of the following elements (see "Period6Choice" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

3.4.11.21 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action option.

Impacted by: C48 "GrossDividendRate2Rule", C50 "GrossDividendRate3Rule", C83 "NetDividendRate1Rule", C85 "NetDividendRate2Rule", C108 "SecondLevelTax1Rule", C110 "SecondLevelTax2Rule", C112 "SecondLevelTax3Rule", C117 "WithholdingTaxRate1Rule", C119 "WithholdingTaxRate2Rule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate111** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]	±		138
	GrossDividendRate <GrssDvddRate>	[0..*]	±		139
	NetDividendRate <NetDvddRate>	[0..*]	±		139
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		140
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>	[0..1]	±		141
	ProrationRate <PrratnRate>	[0..1]	±		141
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		142
	SecondLevelTax <ScndLvITax>	[0..*]	±		142
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		142
	IssuerDeclaredExchangeRate <IssrDclrdXchgRate>	[0..1]	±		143
	TaxOnIncome <TaxOnIncm>	[0..1]	±		143
	BidInterval <BidIntrvl>	[0..1]	±		143

Constraints

- **GrossDividendRate2Rule**

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 564 NVR C8).

- **GrossDividendRate3Rule**

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

- **NetDividendRate1Rule**

If NetDividendRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

- **NetDividendRate2Rule**

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

```
On Condition
    /SecondLevelTax[*] is present
Following Must be True
    /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of those elements may be present.

(MT 564 NVR C7).

3.4.11.21.1 AdditionalTax <AddtlTax>

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

AdditionalTax <AddtlTax> contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1055 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1056

3.4.11.21.2 GrossDividendRate <GrssDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity before deductions or allowances have been made.

GrossDividendRate <GrssDvddRate> contains one of the following elements (see "GrossDividendRateFormat41Choice" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	864
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			864
	Amount <Amt>	[1..1]	Amount	C1	865
	RateStatus <RateSts>	[1..1]	CodeSet		865
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			865
	RateType <RateTp>	[1..1]			865
{Or	Code <Cd>	[1..1]	CodeSet		866
Or}	Proprietary <Prtry>	[1..1]	±		866
	Amount <Amt>	[1..1]	Amount	C1	867
	RateStatus <RateSts>	[0..1]		C100	867
{Or	Code <Cd>	[1..1]	CodeSet		867
Or}	Proprietary <Prtry>	[1..1]	±		868
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		868

3.4.11.21.3 NetDividendRate <NetDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity after deductions or allowances have been made.

NetDividendRate <NetDvddRate> contains one of the following elements (see "NetDividendRateFormat43Choice" on page 858 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	859
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			859
	Amount <Amt>	[1..1]	Amount	C1	860
	RateStatus <RateSts>	[1..1]	CodeSet		860
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			860
	RateType <RateTp>	[1..1]			860
{Or	Code <Cd>	[1..1]	CodeSet		861
Or}	Proprietary <Prtry>	[1..1]	±		861
	Amount <Amt>	[1..1]	Amount	C1	862
	RateStatus <RateSts>	[0..1]		C100	862
{Or	Code <Cd>	[1..1]	CodeSet		862
Or}	Proprietary <Prtry>	[1..1]	±		863
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		863

3.4.11.21.4 InterestRateUsedForPayment <IntrstRateUsdForPmt>

Presence: [0..*]

Definition: The actual interest rate used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

InterestRateUsedForPayment <IntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat10Choice" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		902
Or	Amount <Amt>	[1..1]	Amount	C1	902
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			903
	RateType <RateTp>	[1..1]			903
{Or	Code <Cd>	[1..1]	CodeSet		903
Or}	Proprietary <Prtry>	[1..1]	±		904
	Amount <Amt>	[1..1]	Amount	C1	904
	RateStatus <RateSts>	[0..1]		C100	904
{Or	Code <Cd>	[1..1]	CodeSet		904
Or}	Proprietary <Prtry>	[1..1]	±		905
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		905

3.4.11.21.5 MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>

Presence: [0..1]

Definition: Maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, for example, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50 percent.

MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate> contains one of the following elements (see "RateFormat3Choice" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

3.4.11.21.6 ProrationRate <PrratnRate>

Presence: [0..1]

Definition: Percentage of securities accepted by the offeror/issuer.

ProrationRate <PrratnRate> contains one of the following elements (see "RateFormat3Choice" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

3.4.11.21.7 WithholdingTaxRate <WhldgTaxRate>*Presence:* [0..*]*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.**WithholdingTaxRate <WhldgTaxRate>** contains one of the following elements (see "RateAndAmountFormat47Choice" on page 898 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		899
Or	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		899
Or	Amount <Amt>	[1..1]	Amount	C1	899
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			899
	RateType <RateTp>	[1..1]			900
{Or	Code <Cd>	[1..1]	CodeSet		900
Or}	Proprietary <Prtry>	[1..1]	±		900
	Rate <Rate>	[1..1]	Rate		901

3.4.11.21.8 SecondLevelTax <ScndLvITax>*Presence:* [0..*]*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.**SecondLevelTax <ScndLvITax>** contains one of the following elements (see "RateAndAmountFormat47Choice" on page 898 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		899
Or	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		899
Or	Amount <Amt>	[1..1]	Amount	C1	899
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			899
	RateType <RateTp>	[1..1]			900
{Or	Code <Cd>	[1..1]	CodeSet		900
Or}	Proprietary <Prtry>	[1..1]	±		900
	Rate <Rate>	[1..1]	Rate		901

3.4.11.21.9 TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>*Presence:* [0..*]

Definition: Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments, for example, in the context of the EU Savings directive.

TaxableIncomePerDividendShare <TaxblIncmPerDvddShr> contains the following elements (see "RateTypeAndAmountAndStatus33" on page 896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			896
{Or	Code <Cd>	[1..1]	CodeSet		897
Or}	Proprietary <Prtry>	[1..1]	±		897
	Amount <Amt>	[1..1]	Amount	C1	897
	RateStatus <RateSts>	[0..1]		C100	897
{Or	Code <Cd>	[1..1]	CodeSet		898
Or}	Proprietary <Prtry>	[1..1]	±		898

3.4.11.21.10 IssuerDeclaredExchangeRate <IssrDclrdXchgRate>

Presence: [0..1]

Definition: Exchange rate (provided by the issuer) between the dividend or interest rate in the paid currency and the declared dividend or interest rate.

IssuerDeclaredExchangeRate <IssrDclrdXchgRate> contains the following elements (see "ForeignExchangeTerms19" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	798
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	799
	ExchangeRate <XchgRate>	[1..1]	Rate		799

3.4.11.21.11 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

TaxOnIncome <TaxOnIncm> contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1055 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1056

3.4.11.21.12 BidInterval <BidIntrvl>

Presence: [0..1]

Definition: Acceptable price increment used for submitting a bid.

BidInterval <BidIntrvl> contains one of the following elements (see "RateAndAmountFormat38Choice" on page 1056 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1056
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1056
Or	Amount <Amt>	[1..1]	Amount	C1	1056
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1057

3.4.11.22 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

PriceDetails <PricDtls> contains the following **CorporateActionPrice81** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		144
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		145
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		145
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		145
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		146
	MaximumPrice <MaxPric>	[0..1]	±		146
	MinimumPrice <MinPric>	[0..1]	±		146
	FirstBidIncrementPrice <FrstBidIncrmtPric>	[0..1]	±		147
	LastBidIncrementPrice <LastBidIncrmtPric>	[0..1]	±		147

3.4.11.22.1 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following elements (see "PriceFormat57Choice" on page 983 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			984
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		984
	PriceValue <PricVal>	[1..1]	Rate		984
Or	AmountPrice <AmtPric>	[1..1]	±		984
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		985

3.4.11.22.2 OverSubscriptionDepositPrice <OverSbcptDpstPric>

Presence: [0..1]

Definition: Amount of money required per over-subscribed equity as defined by the issuer.

OverSubscriptionDepositPrice <OverSbcptDpstPric> contains one of the following elements (see "PriceFormat57Choice" on page 983 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			984
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		984
	PriceValue <PricVal>	[1..1]	Rate		984
Or	AmountPrice <AmtPric>	[1..1]	±		984
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		985

3.4.11.22.3 MaximumCashToInstruct <MaxCshToInst>

Presence: [0..1]

Definition: Maximum cash amount that may be instructed.

MaximumCashToInstruct <MaxCshToInst> contains one of the following elements (see "PriceFormat62Choice" on page 977 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			977
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		978
	PriceValue <PricVal>	[1..1]	Amount	C1	978
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		978

3.4.11.22.4 MinimumCashToInstruct <MinCshToInst>

Presence: [0..1]

Definition: Minimum cash amount that may be instructed.

MinimumCashToInstruct <MinCshToInst> contains one of the following elements (see "PriceFormat62Choice" on page 977 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			977
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		978
	PriceValue <PricVal>	[1..1]	Amount	C1	978
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		978

3.4.11.22.5 MinimumMultipleCashToInstruct <MinMltplCshToInst>

Presence: [0..1]

Definition: Minimum multiple of a cash amount that may be instructed.

MinimumMultipleCashToInstruct <MinMltplCshToInst> contains one of the following elements (see "PriceFormat62Choice" on page 977 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			977
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		978
	PriceValue <PricVal>	[1..1]	Amount	C1	978
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		978

3.4.11.22.6 MaximumPrice <MaxPric>

Presence: [0..1]

Definition: Maximum or cap price at which a holder can bid, for example, on a Dutch auction offer.

MaximumPrice <MaxPric> contains one of the following elements (see "PriceFormat59Choice" on page 985 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			985
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		985
	PriceValue <PricVal>	[1..1]	Rate		986
Or	AmountPrice <AmtPric>	[1..1]	±		986
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		986
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		986

3.4.11.22.7 MinimumPrice <MinPric>

Presence: [0..1]

Definition: Minimum or floor price at which a holder can bid, for example, on a Dutch auction offer.

MinimumPrice <MinPric> contains one of the following elements (see "PriceFormat59Choice" on page 985 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			985
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		985
	PriceValue <PricVal>	[1..1]	Rate		986
Or	AmountPrice <AmtPric>	[1..1]	±		986
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		986
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		986

3.4.11.22.8 FirstBidIncrementPrice <FrstBidIncrmtPric>

Presence: [0..1]

Definition: First acceptable price after the Minimum Price at which a holder can bid, for example on a Dutch auction offer.

FirstBidIncrementPrice <FrstBidIncrmtPric> contains one of the following elements (see "PriceFormat59Choice" on page 985 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			985
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		985
	PriceValue <PricVal>	[1..1]	Rate		986
Or	AmountPrice <AmtPric>	[1..1]	±		986
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		986
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		986

3.4.11.22.9 LastBidIncrementPrice <LastBidIncrmtPric>

Presence: [0..1]

Definition: Last acceptable price before the Maximum Price at which a holder can bid, for example on a Dutch auction offer.

LastBidIncrementPrice <LastBidIncrmtPric> contains one of the following elements (see "PriceFormat59Choice" on page 985 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			985
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		985
	PriceValue <PricVal>	[1..1]	Rate		986
Or	AmountPrice <AmtPric>	[1..1]	±		986
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		986
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		986

3.4.11.23 SecuritiesQuantity <SctiesQty>

Presence: [0..1]

Definition: Provides information about securities quantity linked to a corporate action option.

SecuritiesQuantity <SctiesQty> contains the following **SecuritiesOption84** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±	C25	148
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C25	149
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C26	149
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C26	150
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C26	150
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C26	151
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±	C26	151

3.4.11.23.1 MaximumQuantityToInstruct <MaxQtyToInst>

Presence: [0..1]

Definition: Maximum quantity of financial instrument that may be instructed.

Impacted by: C25 "DigitalTokenUnitUsageRule"

MaximumQuantityToInstruct <MaxQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity44Choice" on page 999 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		999
Or	FaceAmount <FaceAmt>	[1..1]	Amount		999
Or	AmortisedValue <AmtdsdVal>	[1..1]	Amount		999
Or	Code <Cd>	[1..1]	CodeSet		999
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1000

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.23.2 MinimumQuantityToInstruct <MinQtyToInst>

Presence: [0..1]

Definition: Minimum quantity of financial instrument that may be instructed.

Impacted by: C25 "DigitalTokenUnitUsageRule"

MinimumQuantityToInstruct <MinQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity44Choice" on page 999 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		999
Or	FaceAmount <FaceAmt>	[1..1]	Amount		999
Or	AmortisedValue <AmtdsdVal>	[1..1]	Amount		999
Or	Code <Cd>	[1..1]	CodeSet		999
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1000

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.23.3 MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>

Presence: [0..1]

Definition: Minimum multiple quantity of financial instrument that may be instructed.

Impacted by: C26 "DigitalTokenUnitUsageRule"

MinimumMultipleQuantityToInstruct <MinMltplQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1000 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1000
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1000
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1000
Or	Code <Cd>	[1..1]	CodeSet		1001
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1001

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.23.4 NewBoardLotQuantity <NewBrdLotQty>

Presence: [0..1]

Definition: Quantity of equity that makes up the new board lot.

Impacted by: C26 "DigitalTokenUnitUsageRule"

NewBoardLotQuantity <NewBrdLotQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1000 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1000
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1000
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1000
Or	Code <Cd>	[1..1]	CodeSet		1001
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1001

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.23.5 NewDenominationQuantity <NewDnmtnQty>

Presence: [0..1]

Definition: New denomination of the equity following, for example, an increase or decrease in nominal value.

Impacted by: C26 "DigitalTokenUnitUsageRule"

NewDenominationQuantity <NewDnmtnQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1000 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1000
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1000
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1000
Or	Code <Cd>	[1..1]	CodeSet		1001
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1001

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.23.6 FrontEndOddLotQuantity <FrntEndOddLotQty>

Presence: [0..1]

Definition: Specifies that if an order is prorated holders of odd lots who tender their full position will not have tendered position prorated but rather accepted in full.

Impacted by: C26 "DigitalTokenUnitUsageRule"

FrontEndOddLotQuantity <FrntEndOddLotQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1000 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1000
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1000
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1000
Or	Code <Cd>	[1..1]	CodeSet		1001
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1001

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.23.7 BackEndOddLotQuantity <BckEndOddLotQty>

Presence: [0..1]

Definition: Represents the presence of a back end odd lot provision and the quantity of equity required after proration to be eligible for this privilege.

Impacted by: C26 "DigitalTokenUnitUsageRule"

BackEndOddLotQuantity <BckEndOddLotQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1000 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1000
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1000
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1000
Or	Code <Cd>	[1..1]	CodeSet		1001
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1001

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

3.4.11.24 SecuritiesMovementDetails <SctiesMvmntDtls>

Presence: [0..*]

Definition: Provides information about the securities movement linked to the corporate action option.

Impacted by: C39 "ExemptionTypeUsageRule", C55 "IncomeType1Rule", C57 "IncomeTypeUsageRule", C69 "IssuerOfferorTaxabilityIndicatorUsageRule"

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following **SecuritiesOption107** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityDetails <SctyDtls>	[1..1]	±	C76, C98	155
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		156
	TemporaryFinancialInstrumentIndicator <TempFinInstrmlInd>	[0..1]	±		156
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]			156
{Or	Code <Cd>	[1..1]	CodeSet		156
Or}	Proprietary <Prtry>	[1..1]	±		157
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		157
	NewSecuritiesIssuanceIndicator <NewSctiesIssnclInd>	[0..1]	CodeSet		157
	IncomeType <IncmTp>	[0..1]	±		158
	OtherIncomeType <OthrIncmTp>	[0..*]	±		158
	ExemptionType <XmptnTp>	[0..*]	±		159
	EntitledQuantity <EntitldQty>	[0..1]	±		159
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		159
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C16	160
	FractionDisposition <FrctnDspstn>	[0..1]	±		160
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	160
	TradingPeriod <TradgPrd>	[0..1]	±		161
	DateDetails <DtDtls>	[1..1]			161
	PaymentDate <PmtDt>	[1..1]	±		161
	AvailableDate <AvlblDt>	[0..1]	±		162
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		162
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		162
	PariPassuDate <PrpssDt>	[0..1]	±		163
	LastTradingDate <LastTradgDt>	[0..1]	±		163
	RateDetails <RateDtls>	[0..1]			163
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbdRsltntScties>	[0..1]	±		164
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		164
	NewToOld <NewToOd>	[0..1]	±		165

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		165
	ChargesFees <ChrgsFees>	[0..1]	±		165
	FiscalStamp <FscIStmp>	[0..1]	±		166
	ApplicableRate <AplblRate>	[0..1]	±		166
	TaxCreditRate <TaxCdtRate>	[0..1]	±		166
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		167
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		167
	SecondLevelTax <ScndLvlTax>	[0..*]	±		167
	PriceDetails <PricDtls>	[0..1]		C45	168
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		168
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		169
	CashValueForTax <CshValForTax>	[0..1]	±		169
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		169
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		170
	AmountDetails <AmtDtls>	[0..1]			170
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C17	171
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C17	171

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeType1Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

```
On Condition
  /OtherIncomeType[*] is present
Following Must be True
  /IncomeType Must be present
```

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

3.4.11.24.1 SecurityDetails <SctyDtls>

Presence: [1..1]

Definition: Provides description of the financial instrument related to securities movement.

Impacted by: [C76 "MaturityDateRule"](#), [C98 "PreviousNextFactorRule"](#)

SecurityDetails <SctyDtls> contains the following elements (see ["FinancialInstrumentAttributes115"](#) on page 779 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C11, C12, C18, C19, C24	781
	PlaceOfListing <PlcOfListg>	[0..1]	±		782
	DayCountBasis <DayCntBsis>	[0..1]	±		782
	ClassificationType <ClssfctnTp>	[0..1]	±		782
	OptionStyle <OptnStyle>	[0..1]	±		782
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	783
	NextCouponDate <NxtCpnDt>	[0..1]	Date		783
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		783
	MaturityDate <MtrtyDt>	[0..1]	Date		783
	IssueDate <IsseDt>	[0..1]	Date		783
	NextCallableDate <NxtCllblDt>	[0..1]	Date		784
	PutableDate <PutblDt>	[0..1]	Date		784
	DatedDate <DtdDt>	[0..1]	Date		784
	ConversionDate <ConvsDt>	[0..1]	Date		784
	PreviousFactor <PrvsFctr>	[0..1]	±		784
	NextFactor <NxtFctr>	[0..1]	±		784
	InterestRate <IntrstRate>	[0..1]	±		785
	NextInterestRate <NxtIntrstRate>	[0..1]	±		785
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C13	785
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C13	786
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C13	786
	ContractSize <CtrctSz>	[0..1]	±	C13	787
	IssuePrice <IssePric>	[0..1]	±		787

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

3.4.11.24.2 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1144

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

3.4.11.24.3 TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>

Presence: [0..1]

Definition: Specifies that the security identified is a temporary security identification used for processing reasons, for example, contra security used in the US.

TemporaryFinancialInstrumentIndicator <TempFinInstrmInd> contains one of the following elements (see "TemporaryFinancialInstrumentIndicator4Choice" on page 895 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TemporaryIndicator <TempInd>	[1..1]	Indicator		896
Or}	Proprietary <Prtry>	[1..1]	±		896

3.4.11.24.4 NonEligibleProceedsIndicator <NonElgblPrcdsInd>

Presence: [0..1]

Definition: Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

NonEligibleProceedsIndicator <NonElgblPrcdsInd> contains one of the following **NonEligibleProceedsIndicator6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		156
Or}	Proprietary <Prtry>	[1..1]	±		157

3.4.11.24.4.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify information regarding the non eligibility of the outturn resources.

Datatype: "NonEligibleProceedsIndicator2Code" on page 1160

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.
NELS	NonEligibleSecurity	Security not eligible in the Central Securities Depository (CSD).

3.4.11.24.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the non eligibility of the outturn resources.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

3.4.11.24.5 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd> contains one of the following elements (see "[IssuerOfferorTaxabilityIndicator1Choice](#)" on page 931 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		931
Or}	Proprietary <Prtry>	[1..1]	±		931

3.4.11.24.6 NewSecuritiesIssuanceIndicator <NewScetiesIssncInd>

Presence: [0..1]

Definition: Specifies the nature of the securities issue.

Datatype: "NewSecuritiesIssuanceType5Code" on page 1159

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial

CodeName	Name	Definition
		Defeasance PDEF corporate action event.
EXIS	ExistingIssue	Securities proceeds are not new issues.
NEIS	NewIssue	Securities proceeds are newly issued.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.

3.4.11.24.7 IncomeType <IncmTp>

Presence: [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

IncomeType <IncmTp> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

3.4.11.24.8 OtherIncomeType <OthrIncmTp>

Presence: [0..*]

Definition: Type of income to be used under another tax regime.

OtherIncomeType <OthrIncmTp> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

3.4.11.24.9 ExemptionType <XmptnTp>

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

3.4.11.24.10 EntitledQuantity <EntitldQty>

Presence: [0..1]

Definition: Quantity of securities based on the terms of the corporate action event and balance of underlying securities entitled to the account owner. (This quantity can be positive or negative).

EntitledQuantity <EntitldQty> contains one of the following elements (see "[Quantity54Choice](#)" on page 996 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C13	996
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		997

3.4.11.24.11 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat39Choice" on page 1063 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1064
Or	Country <Ctry>	[1..1]	CodeSet	C3	1064
Or	TypeAndIdentification <TpAndId>	[1..1]			1064
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1065
	Identification <Id>	[1..1]	IdentifierSet	C1	1065
Or}	Proprietary <Prtry>	[1..1]	±		1065

3.4.11.24.12 CountryOfIncomeSource <CtryOfIncmSrc>

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Impacted by: C16 "Country"

Datatype: "CountryCode" on page 1143

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.11.24.13 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "FractionDispositionType31Choice" on page 907 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		907
Or}	Proprietary <Prtry>	[1..1]	±		908

3.4.11.24.14 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited/debited.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1096

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.24.15 TradingPeriod <TradgPrd>

Presence: [0..1]

Definition: Period during which intermediate or outturn securities are tradable in a secondary market.

TradingPeriod <TradgPrd> contains one of the following elements (see "[Period6Choice](#)" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

3.4.11.24.16 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to securities movement.

DateDetails <DtDtls> contains the following **SecurityDate24** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[1..1]	±		161
	AvailableDate <AvlblDt>	[0..1]	±		162
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		162
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		162
	PariPassuDate <PrpssDt>	[0..1]	±		163
	LastTradingDate <LastTradgDt>	[0..1]	±		163

3.4.11.24.16.1 PaymentDate <PmtDt>

Presence: [1..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.11.24.16.2 AvailableDate <AvlblDt>

Presence: [0..1]

Definition: Date/time at which securities become available for trading, for example first dealing date.

AvailableDate <AvlblDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.11.24.16.3 DividendRankingDate <DvddRnkgDt>

Presence: [0..1]

Definition: Date/time at which a security will be entitled to a dividend.

DividendRankingDate <DvddRnkgDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.11.24.16.4 EarliestPaymentDate <EarlstPmtDt>

Presence: [0..1]

Definition: Date/time at which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlStPmtDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.11.24.16.5 PariPassuDate <PrpssDt>

Presence: [0..1]

Definition: Date/time at which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

PariPassuDate <PrpssDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.11.24.16.6 LastTradingDate <LastTradgDt>

Presence: [0..1]

Definition: Date/time at which the securities to be reorganised will cease to be tradeable.

LastTradingDate <LastTradgDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.11.24.17 RateDetails <RateDtls>

Presence: [0..1]

Definition: Provides information about the rates related to securities movement.

RateDetails <RateDtls> contains the following **CorporateActionRate116** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies>	[0..1]	±		164
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		164
	NewToOld <NewToOd>	[0..1]	±		165
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		165
	ChargesFees <ChrgsFees>	[0..1]	±		165
	FiscalStamp <FscIStmp>	[0..1]	±		166
	ApplicableRate <AplblRate>	[0..1]	±		166
	TaxCreditRate <TaxCdtRate>	[0..1]	±		166
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		167
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		167
	SecondLevelTax <ScndLvITax>	[0..*]	±		167

3.4.11.24.17.1 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies>

Presence: [0..1]

Definition: Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies> contains one of the following elements (see "RatioFormat23Choice" on page 893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		893
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		894
Or}	AmountToAmount <AmtToAmt>	[1..1]			894
	Amount1 <Amt1>	[1..1]	Amount	C1	894
	Amount2 <Amt2>	[1..1]	Amount	C1	894

3.4.11.24.17.2 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>

Presence: [0..1]

Definition: Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, for example, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScities> contains one of the following elements (see "RatioFormat23Choice" on page 893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		893
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		894
Or}	AmountToAmount <AmtToAmt>	[1..1]			894
	Amount1 <Amt1>	[1..1]	Amount	C1	894
	Amount2 <Amt2>	[1..1]	Amount	C1	894

3.4.11.24.17.3 NewToOld <NewToOd>

Presence: [0..1]

Definition: Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, for example, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

NewToOld <NewToOd> contains one of the following elements (see "RatioFormat24Choice" on page 890 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		890
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		891
Or	AmountToAmount <AmtToAmt>	[1..1]			891
	Amount1 <Amt1>	[1..1]	Amount	C1	891
	Amount2 <Amt2>	[1..1]	Amount	C1	891
Or	AmountToQuantity <AmtToQty>	[1..1]			892
	Amount <Amt>	[1..1]	Amount	C1	892
	Quantity <Qty>	[1..1]	Quantity		892
Or}	QuantityToAmount <QtyToAmt>	[1..1]			892
	Amount <Amt>	[1..1]	Amount	C1	893
	Quantity <Qty>	[1..1]	Quantity		893

3.4.11.24.17.4 TransformationRate <TrfrmatnRate>

Presence: [0..1]

Definition: Rate used to determine the cash consideration split across outturn settlement transactions that are the result of a transformation of the parent transaction.

Datatype: "PercentageRate" on page 1182

3.4.11.24.17.5 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1055 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1056

3.4.11.24.17.6 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

FiscalStamp <FscIStmp> contains one of the following elements (see "RateFormat3Choice" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

3.4.11.24.17.7 ApplicableRate <AplblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

ApplicableRate <AplblRate> contains one of the following elements (see "RateFormat3Choice" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

3.4.11.24.17.8 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

TaxCreditRate <TaxCdtRate> contains one of the following elements (see "RateFormat21Choice" on page 1054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1054
Or	Amount <Amt>	[1..1]	Amount	C1	1054
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1054

3.4.11.24.17.9 FinancialTransactionTaxRate <FinTxTaxRate>*Presence:* [0..1]*Definition:* Rate of financial transaction tax.

FinancialTransactionTaxRate <FinTxTaxRate> contains one of the following elements (see "RateFormat3Choice" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		1002

3.4.11.24.17.10 WithholdingTaxRate <WhldgTaxRate>*Presence:* [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following elements (see "RateAndAmountFormat47Choice" on page 898 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		899
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		899
Or	Amount <Amt>	[1..1]	Amount	C1	899
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			899
	RateType <RateTp>	[1..1]			900
{Or	Code <Cd>	[1..1]	CodeSet		900
Or}	Proprietary <Prtry>	[1..1]	±		900
	Rate <Rate>	[1..1]	Rate		901

3.4.11.24.17.11 SecondLevelTax <ScndLvlTax>*Presence:* [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following elements (see "RateAndAmountFormat47Choice" on page 898 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		899
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		899
Or	Amount <Amt>	[1..1]	Amount	C1	899
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			899
	RateType <RateTp>	[1..1]			900
{Or	Code <Cd>	[1..1]	CodeSet		900
Or}	Proprietary <Prtry>	[1..1]	±		900
	Rate <Rate>	[1..1]	Rate		901

3.4.11.24.18 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to securities movement.

Impacted by: C45 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **CorporateActionPrice79** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		168
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		169
	CashValueForTax <CshValForTax>	[0..1]	±		169
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		169
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		170

Constraints

- GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

3.4.11.24.18.1 IndicativeOrMarketPrice <IndctvOrMktPric>

Presence: [0..1]

Definition: Indicates whether the price is an indicative price or a market price.

IndicativeOrMarketPrice <IndctvOrMktPric> contains one of the following elements (see "IndicativeOrMarketPrice11Choice" on page 981 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]	±		981
Or}	MarketPrice <MktPric>	[1..1]	±		982

3.4.11.24.18.2 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following elements (see "PriceFormat57Choice" on page 983 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			984
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		984
	PriceValue <PricVal>	[1..1]	Rate		984
Or	AmountPrice <AmtPric>	[1..1]	±		984
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		985

3.4.11.24.18.3 CashValueForTax <CshValForTax>

Presence: [0..1]

Definition: Cash value of resulting securities proceeds for tax calculation and/or reporting.

CashValueForTax <CshValForTax> contains one of the following elements (see "PriceFormat58Choice" on page 983 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]	±		983
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		983

3.4.11.24.18.4 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat59Choice" on page 985 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			985
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		985
	PriceValue <PricVal>	[1..1]	Rate		986
Or	AmountPrice <AmtPric>	[1..1]	±		986
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		986
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		986

3.4.11.24.18.5 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat70Choice" on page 972 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			973
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		973
	PriceValue <PricVal>	[1..1]	Rate		974
Or	AmountPrice <AmtPric>	[1..1]	±		974
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		974
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			974
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		975
	PriceValue <PricVal>	[1..1]	Amount	C1	975
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C13	975
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			976
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		976
	PriceValue <PricVal>	[1..1]	Amount	C1	976
	Amount <Amt>	[1..1]	Amount	C1	977
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		977

3.4.11.24.19 AmountDetails <AmtDtls>

Presence: [0..1]

Definition: Provides information about the amounts related to a securities movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts61** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C17	171
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C17	171

3.4.11.24.19.1 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.24.19.2 SecondLevelTaxAmount <ScndLvlTaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25 CashMovementDetails <CshMvmntDtls>

Presence: [0..*]

Definition: Provides information about the cash movement linked to the corporate action option.

Impacted by: C40 "ExemptionTypeUsageRule", C56 "IncomeType2Rule", C58 "IncomeTypeUsageRule", C70 "IssuerOfferorTaxabilityIndicatorUsageRule", C46 "GenericCashPricePerProductGuideline"

CashMovementDetails <CshMvmntDtls> contains the following **CashOption100** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		176
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		176
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]			177
{Or	Code <Cd>	[1..1]	CodeSet		177
Or}	Proprietary <Prtry>	[1..1]	±		177
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		178
	IncomeType <IncmTp>	[0..1]	±		178
	OtherIncomeType <OthrIncmTp>	[0..*]	±		178
	ExemptionType <XmptnTp>	[0..*]	±		178
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C16	179
	CashAccountIdentification <CshAcctId>	[0..1]	±		179
	AmountDetails <AmtDtls>	[0..1]			179
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C17	181
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C17	182
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C17	182
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C17	182
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C17	183
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C17	183
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C17	184
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C17	184
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C17	185
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C17	185
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C17	185
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C17	186
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C17	186
	TaxDeferredAmount <TaxDfrrdAmt>	[0..1]	Amount	C1, C17	187
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C17	187
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C17	187
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C17	188
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C17	188

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C17	189
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C17	189
	SecondLevelTaxAmount <ScndLvTaxAmt>	[0..1]	Amount	C1, C17	189
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C17	190
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C17	190
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C17	191
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C17	191
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C17	191
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C17	192
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C17	192
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C17	193
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C17	193
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C17	193
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C17	194
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C17	194
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C17	195
	EUTaxRetentionAmount <EUTaxRtntnAmt>	[0..1]	Amount	C1, C17	195
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C17	195
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C17	196
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C17	196
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C17	197
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C17	197
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C17	197
	TransactionTax <TxTax>	[0..1]	Amount	C1, C17	198
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C17	198
	ForeignIncomeAmount <FrgrnIncmAmt>	[0..1]	Amount	C1, C17	199
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C17	199
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C17	199
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C17	200
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C17	200

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C1, C17	201
	DateDetails <DtDtls>	[1..1]			201
	PaymentDate <PmtDt>	[1..1]	±		201
	ValueDate <ValDt>	[0..1]	±		202
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		202
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		202
	ForeignExchangeDetails <FXDtls>	[0..1]	±		203
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C20, C21, C49, C51, C84, C86, C109, C111, C113, C118, C120	203
	AdditionalTax <AddtlTax>	[0..1]	±		206
	GrossDividendRate <GrssDvddRate>	[0..*]	±		206
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		206
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		207
	SecondLevelTax <ScndLvlTax>	[0..*]	±		207
	ChargesFees <ChrgsFees>	[0..1]	±		208
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		208
	FiscalStamp <FscIStmp>	[0..1]	±		209
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		209
	NetDividendRate <NetDvddRate>	[0..*]	±		209
	ApplicableRate <AplblRate>	[0..1]	±		210
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		210
	TaxCreditRate <TaxCdtRate>	[0..1]	±		211
	TaxOnIncome <TaxOnIncm>	[0..1]	±		211
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		211
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		212
	EqualisationRate <EqulstnRate>	[0..1]	±		212
	DeemedRate <DmdRate>	[0..*]	±		212
	PriceDetails <PricDtls>	[0..1]		C47	213

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		214
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		214
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		215

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price.) is derived from the event type.

- **IncomeType2Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

```
On Condition
  /OtherIncomeType[*] is present
Following Must be True
  /IncomeType Must be present
```

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

3.4.11.25.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Indicates whether the value is a debit or a credit.

Datatype: "CreditDebitCode" on page 1144

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

3.4.11.25.2 ContractualPaymentIndicator <CtrctlPmtInd>

Presence: [0..1]

Definition: Specifies exceptions to contractual payment service.

Datatype: "Payment2Code" on page 1164

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.

3.4.11.25.3 NonEligibleProceedsIndicator <NonElgblPrclsInd>

Presence: [0..1]

Definition: Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

NonEligibleProceedsIndicator <NonElgblPrclsInd> contains one of the following **NonEligibleProceedsIndicator6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		177
Or}	Proprietary <Prtry>	[1..1]	±		177

3.4.11.25.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify information regarding the non eligibility of the outturn resources.

Datatype: "NonEligibleProceedsIndicator2Code" on page 1160

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.
NELS	NonEligibleSecurity	Security not eligible in the Central Securities Depository (CSD).

3.4.11.25.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the non eligibility of the outturn resources.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

3.4.11.25.4 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxblyInd>*Presence:* [0..1]*Definition:* Proceeds are taxable according to the information provided by the issuer / offeror.**IssuerOfferorTaxabilityIndicator <IssrOfferrTaxblyInd>** contains one of the following elements (see "IssuerOfferorTaxabilityIndicator1Choice" on page 931 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		931
Or}	Proprietary <Prtry>	[1..1]	±		931

3.4.11.25.5 IncomeType <IncmTp>*Presence:* [0..1]*Definition:* Specifies the type of income.**IncomeType <IncmTp>** contains the following elements (see "GenericIdentification47" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

3.4.11.25.6 OtherIncomeType <OthrIncmTp>*Presence:* [0..*]*Definition:* Type of income to be used under another tax regime.**OtherIncomeType <OthrIncmTp>** contains the following elements (see "GenericIdentification47" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

3.4.11.25.7 ExemptionType <XmptnTp>*Presence:* [0..*]*Definition:* Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

3.4.11.25.8 CountryOfIncomeSource <CtryOfIncmSrc>

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Impacted by: C16 "Country"

Datatype: "[CountryCode](#)" on page 1143

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.11.25.9 CashAccountIdentification <CshAcctId>

Presence: [0..1]

Definition: Identification of the account in which cash is maintained.

CashAccountIdentification <CshAcctId> contains one of the following elements (see "[CashAccountIdentification6Choice](#)" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	731
Or}	Proprietary <Prtry>	[1..1]	Text		732

3.4.11.25.10 AmountDetails <AmtDtls>

Presence: [0..1]

Definition: Provides information about the amounts related to a cash movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts69** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C17	181
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C17	182
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C17	182
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C17	182
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C17	183
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C17	183
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C17	184
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C17	184
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C17	185
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C17	185
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C17	185
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C17	186
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C17	186
	TaxDeferredAmount <TaxDfrrdAmt>	[0..1]	Amount	C1, C17	187
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C17	187
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C17	187
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C17	188
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C17	188
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C17	189
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C17	189
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C17	189
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C17	190
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C17	190
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C17	191
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C17	191
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C17	191
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C17	192
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C17	192
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C17	193

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C17	193
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C17	193
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C17	194
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C17	194
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C17	195
	EUTaxRetentionAmount <EUTaxRtntnAmt>	[0..1]	Amount	C1, C17	195
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C17	195
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C17	196
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C17	196
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C17	197
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C17	197
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C17	197
	TransactionTax <TxTax>	[0..1]	Amount	C1, C17	198
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C17	198
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C17	199
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C17	199
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C17	199
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C17	200
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C17	200
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C1, C17	201

3.4.11.25.10.1 GrossCashAmount <GrssCshAmt>*Presence:* [0..1]*Definition:* Amount of money before any deductions and allowances have been made.*Impacted by:* C1 "ActiveCurrency", C17 "CurrencyAmount"*Datatype:* "RestrictedFINActiveCurrencyAndAmount" on page 1095**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.2 NetCashAmount <NetCshAmt>

Presence: [0..1]

Definition: Amount of money after deductions and allowances have been made, if any, that is, the total amount +/- charges/fees.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.3 SolicitationFees <SlctnFees>

Presence: [0..1]

Definition: Cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fees.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.4 CashInLieuOfShare <CshInLieuOfShr>

Presence: [0..1]

Definition: Cash disbursement in lieu of a fractional quantity of, for example, equity.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.5 CapitalGain <CptlGn>

Presence: [0..1]

Definition: Amount of money distributed as the result of a capital gain.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.6 InterestAmount <IntrstAmt>

Presence: [0..1]

Definition: Amount of money representing a coupon payment.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.7 IndemnityAmount <IndmntyAmt>

Presence: [0..1]

Definition: Amount due to a buyer of securities dealt prior to ex date which may be subject to different rate of taxation. Used mainly in France.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.8 ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>

Presence: [0..1]

Definition: Amount of money that the borrower pays to the lender as a compensation. It does not entitle the lender to reclaim any tax credit and is sometimes treated differently by the local tax authorities of the lender. Also covers compensation/indemnity of missed dividend concerning early/late settlements if applicable to a market.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.9 ReinvestmentAmount <RinvstmtAmt>

Presence: [0..1]

Definition: Amount of money reinvested in additional securities.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.10 FullyFrankedAmount <FullyFrnkdtAmt>

Presence: [0..1]

Definition: Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.11 UnfrankedAmount <UfrnkdtAmt>

Presence: [0..1]

Definition: Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.12 SundryOrOtherAmount <SndryOrOthrAmt>

Presence: [0..1]

Definition: Amount of money related to taxable income that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.13 TaxFreeAmount <TaxFreeAmt>

Presence: [0..1]

Definition: Amount of money that has not been subject to taxation.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.14 TaxDeferredAmount <TaxDfrdAmt>

Presence: [0..1]

Definition: Amount of income eligible for deferred taxation.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.15 ValueAddedTaxAmount <ValAddedTaxAmt>

Presence: [0..1]

Definition: Amount of value added tax.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.16 StampDutyAmount <StmpDtyAmt>

Presence: [0..1]

Definition: Amount of stamp duty.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.17 TaxReclaimAmount <TaxRclmAmt>

Presence: [0..1]

Definition: Amount that was paid in excess of actual tax obligation and was reclaimed.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.18 TaxCreditAmount <TaxCdtAmt>

Presence: [0..1]

Definition: Amount of taxes that have been previously paid in relation to the taxable event.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.19 AdditionalTaxAmount <AddtlTaxAmt>

Presence: [0..1]

Definition: Amount of additional taxes that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.20 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.21 SecondLevelTaxAmount <ScndLvITaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.22 FiscalStampAmount <FscIStmpAmt>

Presence: [0..1]

Definition: Amount of fiscal tax to apply.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.23 ExecutingBrokerAmount <ExctgBrkrAmt>

Presence: [0..1]

Definition: Amount of money paid to an executing broker as a commission.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.24 PayingAgentCommissionAmount <PngAgtComssnAmt>

Presence: [0..1]

Definition: Amount of paying/sub-paying agent commission.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.25 LocalBrokerCommissionAmount <LclBrkrComssnAmt>

Presence: [0..1]

Definition: Local broker's commission.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.26 RegulatoryFeesAmount <RgltryFeesAmt>

Presence: [0..1]

Definition: Amount of money charged by a regulatory authority, for example, securities and exchange fees.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.27 ShippingFeesAmount <ShppgFeesAmt>

Presence: [0..1]

Definition: All costs related to the physical delivery of documents such as stamps, postage, carrier fees, insurances or messenger services.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.28 ChargesAmount <ChrgsAmt>

Presence: [0..1]

Definition: Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.29 EntitledAmount <EntitldAmt>

Presence: [0..1]

Definition: Cash amount based on the terms of the corporate action event and balance of underlying securities, entitled to/from account owner (which may be positive or negative).

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.30 OriginalAmount <OrgnlAmt>

Presence: [0..1]

Definition: Posting/settlement amount in its original currency when conversion from/into another currency has occurred.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.31 PrincipalOrCorpus <PrncplOrCrps>

Presence: [0..1]

Definition: Amount of money representing a distribution of a bond's principal, for example, repayment of outstanding debt.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.32 RedemptionPremiumAmount <RedPrmAmt>

Presence: [0..1]

Definition: Amount of money (not interest) in addition to the principal at the redemption of a bond.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.33 IncomePortion <IncmPrtn>

Presence: [0..1]

Definition: Amount relating to the underlying security for which income is distributed.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.34 StockExchangeTax <StockXchgTax>

Presence: [0..1]

Definition: Amount of stock exchange tax.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.35 EUTaxRetentionAmount <EUTaxRtnAmt>

Presence: [0..1]

Definition: Total amount of tax withheld at source in conformance with the EU Savings Directive.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.36 AccruedInterestAmount <AcrdIntrstAmt>

Presence: [0..1]

Definition: Amount of interest that has been accrued in between coupon payment periods.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.37 EqualisationAmount <EqulstnAmt>

Presence: [0..1]

Definition: Portion of the fund distribution amount which represents the average accrued income included in the purchase price for units bought during the account period.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.38 FATCATaxAmount <FATCATaxAmt>

Presence: [0..1]

Definition: FATCA (Foreign Account Tax Compliance Act) related tax amount.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.39 NRATaxAmount <NRATaxAmt>

Presence: [0..1]

Definition: Amount of tax related income subject to NRA (Non Resident Alien).

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.40 BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>

Presence: [0..1]

Definition: Amount of tax related to back up withholding.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.41 TaxOnIncomeAmount <TaxOnIncmAmt>

Presence: [0..1]

Definition: Amount of overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.42 TransactionTax <TxTax>

Presence: [0..1]

Definition: Amount of transaction tax.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.43 DeemedAmount <DmdAmt>

Presence: [0..1]

Definition: Amount of proceeds which is not actually paid to the security holder but on which withholding tax is applicable.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.44 ForeignIncomeAmount <FrgrnIncmt>

Presence: [0..1]

Definition: Amount relating to a foreign income such as a conduit foreign income.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.45 DeemedDividendAmount <DmdDvddAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of dividend proceeds attributed to the security holder for the taxable year and the rate of dividend proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.46 DeemedFundAmount <DmdFndAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of the proceeds components comprising a fund payment attributed to the security holder for the taxable year and the rate of the fund proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.47 DeemedInterestAmount <DmdIntrstAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of interest proceeds attributed to the security holder for the taxable year and the rate of interest proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.48 DeemedRoyaltiesAmount <DmdRyltsAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of royalty proceeds attributed to the security holder for the taxable year and the rate of royalty proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10.49 BuyUpAmount <BuyUpAmt>

Presence: [0..1]

Definition: Amount of cash debited in the context of a buy up option.

Impacted by: C1 "ActiveCurrency", C17 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.11 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to a cash movement.

DateDetails <DtDtls> contains the following **CorporateActionDate97** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[1..1]	±		201
	ValueDate <ValDt>	[0..1]	±		202
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		202
	EarliestPaymentDate <EarlStPmtDt>	[0..1]	±		202

3.4.11.25.11.1 PaymentDate <PmtDt>

Presence: [1..1]

Definition: Date/time on which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.11.25.11.2 ValueDate <ValDt>

Presence: [0..1]

Definition: Date/time when calculating economic benefit for a cash amount.

ValueDate <ValDt> contains one of the following elements (see "DateFormat64Choice" on page 743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		743
Or}	DateCode <DtCd>	[1..1]			743
{Or	Code <Cd>	[1..1]	CodeSet		744
Or}	Proprietary <Prtry>	[1..1]	±		744

3.4.11.25.11.3 ForeignExchangeRateFixingDate <FXRateFxdDt>

Presence: [0..1]

Definition: Date/time at which a foreign exchange rate will be determined.

ForeignExchangeRateFixingDate <FXRateFxdDt> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

3.4.11.25.11.4 EarliestPaymentDate <EarlstPmtDt>

Presence: [0..1]

Definition: Date/time on which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlStPmtDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

3.4.11.25.12 ForeignExchangeDetails <FXDtIs>

Presence: [0..1]

Definition: Exchange rate between the amount and the resulting amount.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "ForeignExchangeTerms28" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	799
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	800
	ExchangeRate <XchgRate>	[1..1]	Rate		800
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C1, C9	800

3.4.11.25.13 RateAndAmountDetails <RateAndAmtDtIs>

Presence: [0..1]

Definition: Provides information about the corporate action option.

Impacted by: C20 "DeemedRate1Rule", C21 "DeemedRate2Rule", C49 "GrossDividendRate2Rule", C51 "GrossDividendRate3Rule", C84 "NetDividendRate1Rule", C86 "NetDividendRate2Rule", C109 "SecondLevelTax1Rule", C111 "SecondLevelTax2Rule", C113 "SecondLevelTax3Rule", C118 "WithholdingTaxRate1Rule", C120 "WithholdingTaxRate2Rule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **Rate38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]	±		206
	GrossDividendRate <GrssDvddRate>	[0..*]	±		206
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		206
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		207
	SecondLevelTax <ScndLvITax>	[0..*]	±		207
	ChargesFees <ChrgsFees>	[0..1]	±		208
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		208
	FiscalStamp <FscIStmp>	[0..1]	±		209
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		209
	NetDividendRate <NetDvddRate>	[0..*]	±		209
	ApplicableRate <AplblRate>	[0..1]	±		210
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		210
	TaxCreditRate <TaxCdtRate>	[0..1]	±		211
	TaxOnIncome <TaxOnIncm>	[0..1]	±		211
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		211
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		212
	EqualisationRate <EqulstnRate>	[0..1]	±		212
	DeemedRate <DmdRate>	[0..*]	±		212

Constraints

- **DeemedRate1Rule**

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

- **DeemedRate2Rule**

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **GrossDividendRate2Rule**

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 564 NVR C8).

- **GrossDividendRate3Rule**

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

- **NetDividendRate1Rule**

If NetDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

- **NetDividendRate2Rule**

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

```
On Condition
  /SecondLevelTax[*] is present
Following Must be True
  /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

3.4.11.25.13.1 AdditionalTax <AddtlTax>*Presence:* [0..1]*Definition:* Rate used for additional tax that cannot be categorised.**AdditionalTax <AddtlTax>** contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1055 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1056

3.4.11.25.13.2 GrossDividendRate <GrssDvddRate>*Presence:* [0..*]*Definition:* Cash dividend amount per equity before deductions or allowances have been made.**GrossDividendRate <GrssDvddRate>** contains one of the following elements (see "GrossDividendRateFormat42Choice" on page 1031 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1032
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1032
	Amount <Amt>	[1..1]	Amount	C1	1033
	RateStatus <RateSts>	[1..1]	CodeSet		1033
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1033
	RateType <RateTp>	[1..1]			1033
{Or	Code <Cd>	[1..1]	CodeSet		1034
Or}	Proprietary <Prtry>	[1..1]	±		1035
	Amount <Amt>	[1..1]	Amount	C1	1035
	RateStatus <RateSts>	[0..1]		C100	1035
{Or	Code <Cd>	[1..1]	CodeSet		1035
Or}	Proprietary <Prtry>	[1..1]	±		1036
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		1036

3.4.11.25.13.3 InterestRateUsedForPayment <IntrstRateUsdForPmt>*Presence:* [0..*]*Definition:* The actual interest rate used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

InterestRateUsedForPayment <IntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat10Choice" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		902
Or	Amount <Amt>	[1..1]	Amount	C1	902
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			903
	RateType <RateTp>	[1..1]			903
{Or	Code <Cd>	[1..1]	CodeSet		903
Or}	Proprietary <Prtry>	[1..1]	±		904
	Amount <Amt>	[1..1]	Amount	C1	904
	RateStatus <RateSts>	[0..1]		C100	904
{Or	Code <Cd>	[1..1]	CodeSet		904
Or}	Proprietary <Prtry>	[1..1]	±		905
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		905

3.4.11.25.13.4 WithholdingTaxRate <WhldgTaxRate>

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following elements (see "RateAndAmountFormat47Choice" on page 898 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		899
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		899
Or	Amount <Amt>	[1..1]	Amount	C1	899
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			899
	RateType <RateTp>	[1..1]			900
{Or	Code <Cd>	[1..1]	CodeSet		900
Or}	Proprietary <Prtry>	[1..1]	±		900
	Rate <Rate>	[1..1]	Rate		901

3.4.11.25.13.5 SecondLevelTax <ScndLvlTax>

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is

levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following elements (see "RateAndAmountFormat47Choice" on page 898 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		899
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		899
Or	Amount <Amt>	[1..1]	Amount	C1	899
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			899
	RateType <RateTp>	[1..1]			900
{Or	Code <Cd>	[1..1]	CodeSet		900
Or}	Proprietary <Prtry>	[1..1]	±		900
	Rate <Rate>	[1..1]	Rate		901

3.4.11.25.13.6 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1055 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1056

3.4.11.25.13.7 EarlySolicitationFeeRate <EarlySlctnFeeRate>

Presence: [0..1]

Definition: Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.

EarlySolicitationFeeRate <EarlySlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat10Choice" on page 888 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		889
Or	AmountToQuantity <AmtToQty>	[1..1]			889
	Amount <Amt>	[1..1]	Amount	C1	889
	Quantity <Qty>	[1..1]	Quantity		889
Or	Amount <Amt>	[1..1]	Amount	C1	890
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		890

3.4.11.25.13.8 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

FiscalStamp <FscIStmp> contains one of the following elements (see "RateFormat3Choice" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

3.4.11.25.13.9 ThirdPartyIncentiveRate <ThrdPtyIncntivRate>

Presence: [0..1]

Definition: Cash rate made available in an event in order to encourage participation in the offer. As information, payment is made to a third party who has solicited an entity to take part in the offer.

ThirdPartyIncentiveRate <ThrdPtyIncntivRate> contains one of the following elements (see "RateFormat21Choice" on page 1054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1054
Or	Amount <Amt>	[1..1]	Amount	C1	1054
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1054

3.4.11.25.13.10 NetDividendRate <NetDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity after deductions or allowances have been made.

NetDividendRate <NetDvddRate> contains one of the following elements (see "NetDividendRateFormat44Choice" on page 1026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1027
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1027
	Amount <Amt>	[1..1]	Amount	C1	1028
	RateStatus <RateSts>	[1..1]	CodeSet		1028
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1028
	RateType <RateTp>	[1..1]			1028
{Or	Code <Cd>	[1..1]	CodeSet		1029
Or}	Proprietary <Prtry>	[1..1]	±		1029
	Amount <Amt>	[1..1]	Amount	C1	1030
	RateStatus <RateSts>	[0..1]		C100	1030
{Or	Code <Cd>	[1..1]	CodeSet		1030
Or}	Proprietary <Prtry>	[1..1]	±		1031
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1031

3.4.11.25.13.11 ApplicableRate <AplblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

ApplicableRate <AplblRate> contains one of the following elements (see "RateFormat3Choice" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1002

3.4.11.25.13.12 SolicitationFeeRate <SlctnFeeRate>

Presence: [0..1]

Definition: Rate of the cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fee.

SolicitationFeeRate <SlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat10Choice" on page 888 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		889
Or	AmountToQuantity <AmtToQty>	[1..1]			889
	Amount <Amt>	[1..1]	Amount	C1	889
	Quantity <Qty>	[1..1]	Quantity		889
Or	Amount <Amt>	[1..1]	Amount	C1	890
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		890

3.4.11.25.13.13 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

TaxCreditRate <TaxCdtRate> contains one of the following elements (see "RateFormat21Choice" on page 1054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1054
Or	Amount <Amt>	[1..1]	Amount	C1	1054
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1054

3.4.11.25.13.14 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

TaxOnIncome <TaxOnIncm> contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1055 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1056

3.4.11.25.13.15 TaxOnProfits <TaxOnPrfts>

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as capital profits, capital gains.

TaxOnProfits <TaxOnPrfts> contains one of the following elements (see "RateFormat3Choice" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

3.4.11.25.13.16 TaxReclaimRate <TaxRclmRate>

Presence: [0..1]

Definition: Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

TaxReclaimRate <TaxRclmRate> contains one of the following elements (see "RateFormat3Choice" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

3.4.11.25.13.17 EqualisationRate <EqulstnRate>

Presence: [0..1]

Definition: Portion of the fund distribution which represents the average accrued income included in the purchase price for units bought during the account period.

EqualisationRate <EqulstnRate> contains one of the following elements (see "RateAndAmountFormat48Choice" on page 1053 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1053
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1053

3.4.11.25.13.18 DeemedRate <DmdRate>

Presence: [0..*]

Definition: Rate applied for the calculation of deemed proceeds which are not paid to security holders but on which withholding tax is applicable.

DeemedRate <DmdRate> contains one of the following elements (see "RateAndAmountFormat53Choice" on page 1036 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1037
Or	Amount <Amt>	[1..1]	Amount	C1	1037
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1038
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1038
	RateType <RateTp>	[1..1]			1038
{Or	Code <Cd>	[1..1]	CodeSet		1038
Or}	Proprietary <Prtry>	[1..1]	±		1039
	Amount <Amt>	[1..1]	Amount	C1	1039
	RateStatus <RateSts>	[0..1]		C100	1039
{Or	Code <Cd>	[1..1]	CodeSet		1040
Or}	Proprietary <Prtry>	[1..1]	±		1040
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1040
	RateType <RateTp>	[1..1]			1041
{Or	Code <Cd>	[1..1]	CodeSet		1041
Or}	Proprietary <Prtry>	[1..1]	±		1041
	Rate <Rate>	[1..1]	Rate		1042

3.4.11.25.14 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

Impacted by: C47 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **PriceDetails35** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		214
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		214
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		215

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

3.4.11.25.14.1 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat59Choice" on page 985 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			985
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		985
	PriceValue <PricVal>	[1..1]	Rate		986
Or	AmountPrice <AmtPric>	[1..1]	±		986
Or	NotSpecifiedPrice <NotSpctfdPric>	[1..1]	CodeSet		986
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		986

3.4.11.25.14.2 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat70Choice" on page 972 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			973
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		973
	PriceValue <PricVal>	[1..1]	Rate		974
Or	AmountPrice <AmtPric>	[1..1]	±		974
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		974
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			974
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		975
	PriceValue <PricVal>	[1..1]	Amount	C1	975
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C13	975
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			976
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		976
	PriceValue <PricVal>	[1..1]	Amount	C1	976
	Amount <Amt>	[1..1]	Amount	C1	977
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		977

3.4.11.25.14.3 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following elements (see "PriceFormat57Choice" on page 983 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			984
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		984
	PriceValue <PricVal>	[1..1]	Rate		984
Or	AmountPrice <AmtPric>	[1..1]	±		984
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		985

3.4.11.26 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

Impacted by: C4 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative64** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	±		216
	NarrativeVersion <NrrtvVrsn>	[0..*]	±		216
	InformationConditions <InfConds>	[0..*]	±		217
	InformationToComplyWith <InfToCmplyWth>	[0..*]	±		217
	SecurityRestriction <SctyRstrctn>	[0..*]	±		217
	TaxationConditions <TaxtnConds>	[0..*]	±		217
	Disclaimer <Dsclmr>	[0..*]	±		218
	CertificationBreakdown <CertfctnBrkdwn>	[0..*]	±		218

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

3.4.11.26.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

AdditionalText <AddtlTxt> contains the following elements (see ["UpdatedAdditionalInformation24"](#) on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		816
	AdditionalInformation <AddtlInf>	[1..1]	Text		816

3.4.11.26.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

NarrativeVersion <NrrtvVrsn> contains the following elements (see ["UpdatedAdditionalInformation24"](#) on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		816
	AdditionalInformation <AddtlInf>	[1..1]	Text		816

3.4.11.26.3 InformationConditions <InfConds>*Presence:* [0..*]*Definition:* Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.**InformationConditions <InfConds>** contains the following elements (see ["UpdatedAdditionalInformation25"](#) on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		815
	AdditionalInformation <AddtlInf>	[1..*]	Text		815

3.4.11.26.4 InformationToComplyWith <InfToCmplWth>*Presence:* [0..*]*Definition:* Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.**InformationToComplyWith <InfToCmplWth>** contains the following elements (see ["UpdatedAdditionalInformation25"](#) on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		815
	AdditionalInformation <AddtlInf>	[1..*]	Text		815

3.4.11.26.5 SecurityRestriction <SctyRstrctn>*Presence:* [0..*]*Definition:* Provides restriction(s) on securities.**SecurityRestriction <SctyRstrctn>** contains the following elements (see ["UpdatedAdditionalInformation25"](#) on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		815
	AdditionalInformation <AddtlInf>	[1..*]	Text		815

3.4.11.26.6 TaxationConditions <TaxtnConds>*Presence:* [0..*]*Definition:* Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

TaxationConditions <TaxtnConds> contains the following elements (see "UpdatedAdditionalInformation25" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		815
	AdditionalInformation <AddtlInf>	[1..*]	Text		815

3.4.11.26.7 Disclaimer <Dsclmr>

Presence: [0..*]

Definition: Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.

Disclaimer <Dsclmr> contains the following elements (see "UpdatedAdditionalInformation25" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		815
	AdditionalInformation <AddtlInf>	[1..*]	Text		815

3.4.11.26.8 CertificationBreakdown <CertfctnBrkdwn>

Presence: [0..*]

Definition: Provides additional information about the type of certification/breakdown required.

CertificationBreakdown <CertfctnBrkdwn> contains the following elements (see "UpdatedAdditionalInformation25" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		815
	AdditionalInformation <AddtlInf>	[1..*]	Text		815

3.4.12 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information on the event.

Impacted by: C5 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative65** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	±	C6	219
	NarrativeVersion <NrrtvVrsn>	[0..*]	±	C6	220
	InformationConditions <InfConds>	[0..*]	±	C6	220
	InformationToComplyWith <InfToCmplyWth>	[0..*]	±	C6	220
	TaxationConditions <TaxtnConds>	[0..*]	±	C6	221
	Disclaimer <Dsclmr>	[0..*]	±	C6	221
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	±	C6	222
	RegistrationDetails <RegnDtls>	[0..*]	±	C6	222
	BasketOrIndexInformation <BsktOrIdxInf>	[0..*]	±	C6	223
	CertificationBreakdown <CertfctnBrkdw>	[0..*]	±	C6	223
	URLAddress <URLAdr>	[0..*]	±		223
	ProcessingTextForNextIntermediary <PrcgTxtForNxtlIntrmy>	[0..*]	±	C6	224

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

3.4.12.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Impacted by: C6 "AdditionalInformationRule"

AdditionalText <AddtlTxt> contains the following elements (see "UpdatedAdditionalInformation26" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		815
	AdditionalInformation <AddtlInf>	[1..*]	Text		815

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

Impacted by: C6 "AdditionalInformationRule"

NarrativeVersion <NrrtvVrsn> contains the following elements (see "UpdatedAdditionalInformation26" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		815
	AdditionalInformation <AddtlInf>	[1..*]	Text		815

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.3 InformationConditions <InfConds>

Presence: [0..*]

Definition: Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

Impacted by: C6 "AdditionalInformationRule"

InformationConditions <InfConds> contains the following elements (see "UpdatedAdditionalInformation26" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		815
	AdditionalInformation <AddtlInf>	[1..*]	Text		815

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.4 InformationToComplyWith <InfToCmplyWth>

Presence: [0..*]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

Impacted by: C6 "AdditionalInformationRule"

InformationToComplyWith <InfToCmplyWth> contains the following elements (see "UpdatedAdditionalInformation26" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		815
	AdditionalInformation <AddtlInf>	[1..*]	Text		815

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.5 TaxationConditions <TaxtnConds>

Presence: [0..*]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

Impacted by: C6 "AdditionalInformationRule"

TaxationConditions <TaxtnConds> contains the following elements (see "UpdatedAdditionalInformation26" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		815
	AdditionalInformation <AddtlInf>	[1..*]	Text		815

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.6 Disclaimer <Dsclmr>

Presence: [0..*]

Definition: Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.

Impacted by: C6 "AdditionalInformationRule"

Disclaimer <Dsclmr> contains the following elements (see ["UpdatedAdditionalInformation26"](#) on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		815
	AdditionalInformation <AddtlInf>	[1..*]	Text		815

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.7 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Impacted by: [C6 "AdditionalInformationRule"](#)

PartyContactNarrative <PtyCtctNrrtv> contains the following elements (see ["UpdatedAdditionalInformation26"](#) on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		815
	AdditionalInformation <AddtlInf>	[1..*]	Text		815

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.8 RegistrationDetails <RegnDtls>

Presence: [0..*]

Definition: Provides information required for the registration.

Impacted by: [C6 "AdditionalInformationRule"](#)

RegistrationDetails <RegnDtls> contains the following elements (see ["UpdatedAdditionalInformation26"](#) on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		815
	AdditionalInformation <AddtlInf>	[1..*]	Text		815

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.9 BasketOrIndexInformation <BsktOrIndxInf>

Presence: [0..*]

Definition: Provides additional information on the basket or index underlying a security, for example a warrant.

Impacted by: C6 "AdditionalInformationRule"

BasketOrIndexInformation <BsktOrIndxInf> contains the following elements (see "UpdatedAdditionalInformation26" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		815
	AdditionalInformation <AddtlInf>	[1..*]	Text		815

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.10 CertificationBreakdown <CertfctnBrkdwn>

Presence: [0..*]

Definition: Provides additional information about the type of certification/breakdown required.

Impacted by: C6 "AdditionalInformationRule"

CertificationBreakdown <CertfctnBrkdwn> contains the following elements (see "UpdatedAdditionalInformation26" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		815
	AdditionalInformation <AddtlInf>	[1..*]	Text		815

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.11 URLAddress <URLAdr>

Presence: [0..*]

Definition: Provides the web address published for the event, that is, the address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

URLAddress <URLAdr> contains the following elements (see ["UpdatedURLInformation7"](#) on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		816
	URLAddress <URLAdr>	[1..1]	Text		816

3.4.12.12 ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy>

Presence: [0..*]

Definition: Provides additional information from the account servicer or a service provider solely intended for the next immediate account holder to enable or facilitate event processing between parties.

Impacted by: C6 ["AdditionalInformationRule"](#)

ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy> contains the following elements (see ["UpdatedAdditionalInformation26"](#) on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		815
	AdditionalInformation <AddtlInf>	[1..*]	Text		815

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.13 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see ["PartyIdentification151Choice"](#) on page 944 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	944
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		944
Or	NameAndAddress <NmAndAdr>	[1..1]	±		945
Or}	LEI <LEI>	[1..1]	IdentifierSet		945

3.4.14 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

PayingAgent <PngAgt> contains one of the following elements (see "[PartyIdentification137Choice](#)" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

3.4.15 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "[PartyIdentification137Choice](#)" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

3.4.16 Registrar <Regar>

Presence: [0..1]

Definition: Party/agent responsible for maintaining the register of the security.

Registrar <Regar> contains one of the following elements (see "[PartyIdentification137Choice](#)" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

3.4.17 ResellingAgent <RsellingAgt>

Presence: [0..*]

Definition: Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

ResellingAgent <RsellingAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

3.4.18 PhysicalSecuritiesAgent <PhysSctiesAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

PhysicalSecuritiesAgent <PhysSctiesAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

3.4.19 DropAgent <DrpAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

DropAgent <DrpAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

3.4.20 SolicitationAgent <SlctnAgt>

Presence: [0..*]

Definition: Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

SolicitationAgent <SlctnAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

3.4.21 InformationAgent <InfAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

InformationAgent <InfAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

3.4.22 Issuer <Issr>

Presence: [0..1]

Definition: Issuer of the financial instrument.

Issuer <Issr> contains one of the following elements (see "PartyIdentification151Choice" on page 944 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	944
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		944
Or	NameAndAddress <NmAndAdr>	[1..1]	±		945
Or}	LEI <LEI>	[1..1]	IdentifierSet		945

3.4.23 Offeror <Offerr>

Presence: [0..*]

Definition: Party making the offer and which is different from the issuing company.

Offeror <Offerr> contains one of the following elements (see "[PartyIdentification151Choice](#)" on page 944 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	944
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		944
Or	NameAndAddress <NmAndAdr>	[1..1]	±		945
Or}	LEI <LEI>	[1..1]	IdentifierSet		945

3.4.24 TransferAgent <TrfAggt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

TransferAgent <TrfAggt> contains one of the following elements (see "[PartyIdentification151Choice](#)" on page 944 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	944
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		944
Or	NameAndAddress <NmAndAdr>	[1..1]	±		945
Or}	LEI <LEI>	[1..1]	IdentifierSet		945

3.4.25 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C115 "[SupplementaryDataRule](#)"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		933
	Envelope <Envlp>	[1..1]	(External Schema)		933

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

4 **seev.032.002.08**

CorporateActionEventProcessingStatusAdvice002V08

4.1 **MessageDefinition Functionality**

Scope

An account servicer sends the CorporateActionEventProcessingStatusAdvice message to an account owner or its designated agent to report processing status of a corporate action event.

The account servicer uses this message to provide a reason as to why a corporate action event has not been completed by the announced payment dates.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate),

using the relevant elements in the business application header (BAH).

Outline

The CorporateActionEventProcessingStatusAdvice002V08 MessageDefinition is composed of 6 MessageBuildingBlocks:

- NotificationIdentification**
Identification of a previously sent notification document.
- OtherDocumentIdentification**
Identification of other documents as well as the document number.
- CorporateActionGeneralInformation**
General information about the corporate action event.
- EventProcessingStatus**
Information about the status of the corporate action.
- AdditionalInformation**
Provides additional information.
- SupplementaryData**

Additional information that can not be captured in the structured fields and/or any other specific block.

4.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnEvtPrcgStsAdv>	[1..1]			
	NotificationIdentification <NtfctnId>	[0..1]	±		231
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		231
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]		C3, C5	232
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		233
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		233
	ClassActionNumber <ClsActnNb>	[0..1]	Text		233
	EventType <EvtTp>	[1..1]	±	C4	233
	EventProcessingStatus <EvtPrcgSts>	[1..*]			233
{Or	Complete <Cmplt>	[1..1]	±		234
Or	Reconciled <Rcncl>	[1..1]	±		234
Or	Pending <Pdg>	[1..1]	±		234
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		235
	AdditionalInformation <AddtlInf>	[0..1]			235
	AdditionalText <AddtlTxt>	[0..*]	Text		235
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		235
	SupplementaryData <SplmtryData>	[0..*]	±	C7	236

4.3 Constraints

C1 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C2 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C3 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C4 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C5 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C6 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C7 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

4.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

4.4.1 NotificationIdentification <NtfctnId>

Presence: [0..1]

Definition: Identification of a previously sent notification document.

NotificationIdentification <NtfctnId> contains the following elements (see "[DocumentIdentification17](#)" on page 759 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		759

4.4.2 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification34" on page 756 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			757
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		757
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		757
	DocumentNumber <DocNb>	[0..1]	±	C6	757

4.4.3 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C3 "EventTypeRule", C5 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation158** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		233
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		233
	ClassActionNumber <ClssActnNb>	[0..1]	Text		233
	EventType <EvtTp>	[1..1]	±	C4	233

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

4.4.3.1 CorporateActionEventIdentification <CorpActnEvtId>*Presence:* [1..1]*Definition:* Reference assigned by the account servicer to unambiguously identify a corporate action event.*Datatype:* "RestrictedFINXMax16Text" on page 1187**4.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>***Presence:* [0..1]*Definition:* Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.*Datatype:* "RestrictedFINXMax16Text" on page 1187**4.4.3.3 ClassActionNumber <ClsActnNb>***Presence:* [0..1]*Definition:* Reference assigned by a court to a class action.*Datatype:* "RestrictedFINXMax16Text" on page 1187**4.4.3.4 EventType <EvtTp>***Presence:* [1..1]*Definition:* Type of corporate action event.*Impacted by:* C4 "EventTypeRule"**EventType <EvtTp>** contains one of the following elements (see "CorporateActionEventType103Choice" on page 831 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		831
Or}	Proprietary <Prtry>	[1..1]	±		837

Constraints

- EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

4.4.4 EventProcessingStatus <EvtPrcgSts>*Presence:* [1..*]

Definition: Information about the status of the corporate action.

EventProcessingStatus <EvtPrcgSts> contains one of the following **EventProcessingStatus6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Complete <Cmplt>	[1..1]	±		234
Or	Reconciled <Rcncl>	[1..1]	±		234
Or	Pending <Pdg>	[1..1]	±		234
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		235

4.4.4.1 Complete <Cmplt>

Presence: [1..1]

Definition: Specifies that a corporate action event processing has been completed.

Complete <Cmplt> contains the following elements (see "[NoSpecifiedReason1](#)" on page 1073 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1073

4.4.4.2 Reconciled <Rcncl>

Presence: [1..1]

Definition: Corporate action event processing specifying that the funds paid have been reconciled with the funds received from the agent (meaning that there is no more risk of payment to be reversed).

Reconciled <Rcncl> contains the following elements (see "[NoSpecifiedReason1](#)" on page 1073 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1073

4.4.4.3 Pending <Pdg>

Presence: [1..1]

Definition: Specifies that a corporate action event processing has not been fully completed and is therefore pending.

Pending <Pdg> contains one of the following elements (see "[PendingStatus59Choice](#)" on page 1080 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1080
Or}	Reason <Rsn>	[1..*]		C1	1080
	ReasonCode <RsnCd>	[1..1]	±		1081
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1081

4.4.4.4 ProprietaryStatus <PrtrySts>

Presence: [1..1]

Definition: Proprietary status related to the event processing.

ProprietaryStatus <PrtrySts> contains the following elements (see "[ProprietaryStatusAndReason7](#)" on page 1088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		1088
	ProprietaryReason <PrtryRsn>	[0..*]	±	C2	1088

4.4.5 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		235
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		235

4.4.5.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "[RestrictedFINXMax350Text](#)" on page 1189

4.4.5.2 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "[RestrictedFINXMax350Text](#)" on page 1189

4.4.6 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C7 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		933
	Envelope <Envlp>	[1..1]	(External Schema)		933

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

5 **seev.033.002.12**

CorporateActionInstruction002V12

5.1 **MessageDefinition Functionality**

Scope

The CorporateActionInstruction message is sent by an account owner to an account servicer to instruct election on a corporate action event.

This message is used to provide the custodian with instructions on how the account owner wishes to proceed with a corporate action event. Instructions include investment decisions regarding the exercise of rights issues, the election of stock or cash when the option is available, and decisions on the conversion or tendering of securities.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstruction002V12 MessageDefinition is composed of 12 MessageBuildingBlocks:

- ChangeInstructionIndicator**
Indicates that the current instruction is replacing a previous one that was cancelled earlier.
- CancelledInstructionIdentification**
Identification of a previously sent cancelled instruction document.
- InstructionCancellationRequestIdentification**
Identification of a previously sent instruction cancellation request document.
- OtherDocumentIdentification**
Identification of other documents as well as the document number.
- EventsLinkage**
Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.
- CorporateActionGeneralInformation**
General information about the corporate action event.
- AccountDetails**

General information about the safekeeping account, owner and account balance.

H. BeneficialOwnerDetails

Information about the beneficial owner of the securities.

I. CorporateActionInstruction

Information about the corporate action instruction.

J. ProtectInstruction

Provides detailed information on protect and cover protect instructions.

K. AdditionalInformation

Provides additional information.

L. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

5.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnInstr>	[1..1]		C3, C5, C7, C17, C23, C6	
	ChangeInstructionIndicator <ChnglInstrInd>	[0..1]	Indicator		245
	CancelledInstructionIdentification <CancInstrId>	[0..1]	±		245
	InstructionCancellationRequestIdentification <InstrCxlReqId>	[0..1]	±		246
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		246
	EventsLinkage <EvtsLkg>	[0..*]	±		246
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C14, C20	247
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		247
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		247
	EventType <EvtTp>	[1..1]	±	C15	248
	UnderlyingSecurity <UndrlygScty>	[0..1]	±	C25	248
	AccountDetails <AcctDtls>	[1..1]		C26, C27, C28, C29	249
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		251
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		251
	AccountOwner <AcctOwnr>	[0..1]	±		251
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		251
	Balance <Bal>	[0..1]			252
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		252
	BlockedBalance <BlckdBal>	[0..1]	±		253
	BorrowedBalance <BrrwdBal>	[0..1]	±		253
	CollateralInBalance <CollInBal>	[0..1]	±		253
	CollateralOutBalance <CollOutBal>	[0..1]	±		254
	OnLoanBalance <OnLnBal>	[0..1]	±		254
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		254
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		255
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		255
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		255

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	StreetPositionBalance <StrtPosBal>	[0..1]	±		256
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		256
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		256
	RegisteredBalance <RegdBal>	[0..1]	±		257
	BeneficialOwnerDetails <BnfcOwnrDtls>	[0..*]		C10	257
	OwnerIdentification <OwnrId>	[1..1]	±		258
	LEIIdentification <LEIId>	[0..1]	IdentifierSet		258
	AlternateIdentification <AltrnId>	[0..*]	±		258
	DomicileCountry <DmclCtry>	[0..1]	CodeSet	C8	258
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C8	259
	OwnedSecuritiesQuantity <OwndSctiesQty>	[1..1]	±	C13	259
	CertificationType <CertfctnTp>	[0..*]	±		259
	WithholdingTaxRate <WhldgTaxRate>	[0..1]	±		260
	CertificationBreakdown <CertfctnBrkdwn>	[0..*]	Text		260
	CorporateActionInstruction <CorpActnInstr>	[1..1]		C21, C22	260
	OptionNumber <OptnNb>	[1..1]	±		262
	OptionType <OptnTp>	[1..1]	±		262
	OptionFeatures <OptnFeatrs>	[0..1]	±		263
	FractionDisposition <FrctnDspstn>	[0..1]	±		263
	ChangeType <ChngTp>	[0..*]	±		263
	EligibleForCollateralIndicator <ElgblForCollInd>	[0..1]	Indicator		263
	SolicitationDealerFeeIndicator <SlctnDealrFeeInd>	[0..1]	Indicator		264
	CurrencyToBuy <CcyToBuy>	[0..1]	CodeSet	C1	264
	CurrencyToSell <CcyToSell>	[0..1]	CodeSet	C1	264
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	265
	SecurityIdentification <SctyId>	[0..1]	±	C11, C12, C18, C19, C24	265
	SecuritiesQuantityOrInstructedAmount <SctiesQtyOrInstAmt>	[1..1]			266
{Or	SecuritiesQuantity <SctiesQty>	[1..1]			266
	ConditionalQuantity <CondlQty>	[0..1]	±	C13	267

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	InstructedQuantity <InstdQty>	[1..1]	±		267
	AdditionalRoundUpQuantity <AddtlRndUpQty>	[0..1]	±	C13	267
Or}	InstructedAmount <InstdAmt>	[1..1]	Amount	C1, C9	268
	ExecutionRequestedDateTime <ExctnReqdDtTm>	[0..1]	±		268
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]			269
	ProposedRate <PropsdRate>	[0..1]	Rate		269
	OversubscriptionRate <OvrsbcptRate>	[0..1]	±		269
	RequestedWithholdingTaxRate <ReqdWhldgTaxRate>	[0..*]	±		269
	RequestedSecondLevelTaxRate <ReqdScndLvlTaxRate>	[0..*]	±		270
	PriceDetails <PricDtls>	[0..1]		C16	270
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		271
	IssuePrice <IssePric>	[0..1]	±		271
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		271
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		272
	ShareholderNumber <ShrhldrNb>	[0..1]	Text		272
	AdditionalInformation <AddtlInf>	[0..1]			273
	InformationToComplyWith <InfToCmplyWth>	[0..*]	Text		273
	DeliveryDetails <DlvryDtls>	[0..*]	Text		273
	ForeignExchangeInstructionsAdditionalInformation <FXInstrsAddtlInf>	[0..*]	Text		273
	InstructionAdditionalInformation <InstrAddtlInf>	[0..*]	Text		273
	ProtectInstruction <PrctInstr>	[0..1]			273
	TransactionType <TxTp>	[1..1]	CodeSet		274
	TransactionIdentification <TxId>	[0..1]	Text		274
	ProtectSafekeepingAccount <PrctSfkgAcct>	[0..1]	Text		274
	ProtectDate <PrctDt>	[0..1]	Date		274
	AdditionalInformation <AddtlInf>	[0..1]			274
	RegistrationDetails <RegnDtls>	[0..*]	Text		275
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		275
	CertificationBreakdown <CertfctnBrkdw>	[0..*]	Text		275
	SupplementaryData <SplmtryData>	[0..*]	±	C31	275

5.3 Constraints

C1 **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 **AdditionalInformationRule**

Elements in AdditionalInformation and CorporateActionInstruction/AdditionalInformation must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition.

This constraint is defined at the MessageDefinition level.

C4 **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 **BeneficialOwnerBreakdownRequestRule**

If CorporateActionInstruction/OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT565 NVR C8)

On Condition

/CorporateActionInstruction/OptionType/Code is equal to value
'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C6 **ChangeInstructionIndicatorGuideline**

ChangeInstructionIndicator may only be used if CorporateActionOptionDetails/WithdrawalAllowedIndicator was set to 'false' or '0' (No) in the CorporateActionNotification message previously received and if CorporateActionOptionDetails/ChangeAllowedIndicator was set to 'true' or '1' (Yes) in the CorporateActionNotification message previously received.

This constraint is defined at the MessageDefinition level.

C7 **CorporateActionEventIdentificationRule**

If the CorporateActionInstruction message is unsolicited (that is, this instruction has not been preceded by an CorporateActionNotification), then, CorporateActionGeneralInformation/CorporateActionEventIdentification must be NONREF.

This constraint is defined at the MessageDefinition level.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 DeclarationDetailsRule

Unless bilaterally agreed, DeclarationDetails must not contain information that can be provided in a structured field.

C11 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C12 DescriptionUsageRule

Description must be used alone as the last resort.

C13 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C14 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C15 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C16 GenericCashPricePerProductGuideline

Generic Cash Price Received per Product (OFFR) and Generic Cash Price Paid per Product (PRPP) are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

C17 InstructionCancellationRequestIdentificationRule

If ChangeInstructionIndicator is 'true' or '1' (Yes), then InstructionCancellationRequestIdentification must be present.

This constraint is defined at the MessageDefinition level.

C18 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C19 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C20 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C21 OptionNumber1Rule

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

C22 OptionNumber2Rule

When the CorporateActionInstruction is unsolicited, that is, the instruction has not been preceded by a Corporate ActionNotification, then OptionNumber/code must be UNSO (unsolicited).

C23 OptionTypeRule

If CorporateActionInstruction/OptionType/Code value is TAXI (Tax Instruction), then at least one occurrence of BeneficialOwnerDetails must be present.

This constraint is defined at the MessageDefinition level.

C24 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C25 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

C26 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C27 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C28 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C29 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C30 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C31 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C32 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

5.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

5.4.1 ChangelInstructionIndicator <ChngInstrInd>

Presence: [0..1]

Definition: Indicates that the current instruction is replacing a previous one that was cancelled earlier.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

5.4.2 CancelledInstructionIdentification <CancInstrId>

Presence: [0..1]

Definition: Identification of a previously sent cancelled instruction document.

CancelledInstructionIdentification <CancInstrId> contains the following elements (see ["DocumentIdentification37"](#) on page 755 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		755
	LinkageType <LkgTp>	[0..1]	±	C32	755

5.4.3 InstructionCancellationRequestIdentification <InstrCxlReqId>

Presence: [0..1]

Definition: Identification of a previously sent instruction cancellation request document.

InstructionCancellationRequestIdentification <InstrCxlReqId> contains the following elements (see "DocumentIdentification37" on page 755 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		755
	LinkageType <LkgTp>	[0..1]	±	C32	755

5.4.4 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification38" on page 758 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			758
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		758
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		758
	DocumentNumber <DocNb>	[0..1]	±	C6	758
	LinkageType <LkgTp>	[0..1]	±	C32	759

5.4.5 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "CorporateActionEventReference4" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			929
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		929
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		929
	LinkageType <LkgTp>	[0..1]	±	C32	929

5.4.6 CorporateActionGeneralInformation <CorpActnGnllnf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C14 "EventTypeRule", C20 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnllnf> contains the following **CorporateActionGeneralInformation166** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtld>	[1..1]	Text		247
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtld>	[0..1]	Text		247
	EventType <EvtTp>	[1..1]	±	C15	248
	UnderlyingSecurity <UndrlygScty>	[0..1]	±	C25	248

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

5.4.6.1 CorporateActionEventIdentification <CorpActnEvtld>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1187

5.4.6.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtld>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1187

5.4.6.3 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C15 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType103Choice" on page 831 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		831
Or}	Proprietary <Prtry>	[1..1]	±		837

Constraints

- **EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

5.4.6.4 UnderlyingSecurity <UndrlygScty>

Presence: [0..1]

Definition: Security concerned by the corporate action.

Impacted by: C25 "PreviousNextFactorRule"

UnderlyingSecurity <UndrlygScty> contains the following elements (see "FinancialInstrumentAttributes120" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C11, C12, C18, C19, C24	769
	PlaceOfListing <PlcOfListg>	[0..1]	±		770
	DayCountBasis <DayCntBsis>	[0..1]	±		771
	ClassificationType <ClssfctnTp>	[0..1]	±		771
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	771
	NextCouponDate <NxtCpnDt>	[0..1]	Date		772
	ExpiryDate <XpryDt>	[0..1]	Date		772
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		772
	MaturityDate <MtrtyDt>	[0..1]	Date		772
	IssueDate <IsseDt>	[0..1]	Date		772
	NextCallableDate <NxtClblDt>	[0..1]	Date		772
	PutableDate <PutblDt>	[0..1]	Date		772
	DatedDate <DtdDt>	[0..1]	Date		772
	ConversionDate <ConvsDt>	[0..1]	Date		773
	PreviousFactor <PrvsFctr>	[0..1]	Rate		773
	NextFactor <NxtFctr>	[0..1]	Rate		773
	InterestRate <IntrstRate>	[0..1]	Rate		773
	NextInterestRate <NxtIntrstRate>	[0..1]	Rate		773
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C13	773
	ContractSize <CtrctSz>	[0..1]	±	C13	774

Constraints

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

5.4.7 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

Impacted by: C26 "SafekeepingAccountOrBlockChainAddress1Rule", C27 "SafekeepingAccountOrBlockChainAddress2Rule", C28 "SafekeepingAccountOrBlockChainAddress3Rule", C29 "SafekeepingPlaceRule"

AccountDetails <AcctDtls> contains the following **AccountAndBalance51** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		251
	BlockChainAddressOrWallet <BlckChainAdrOrWllet>	[0..1]	Text		251
	AccountOwner <AcctOwnr>	[0..1]	±		251
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		251
	Balance <Bal>	[0..1]			252
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		252
	BlockedBalance <BlckdBal>	[0..1]	±		253
	BorrowedBalance <BrrwdBal>	[0..1]	±		253
	CollateralInBalance <CollInBal>	[0..1]	±		253
	CollateralOutBalance <CollOutBal>	[0..1]	±		254
	OnLoanBalance <OnLnBal>	[0..1]	±		254
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		254
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		255
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		255
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		255
	StreetPositionBalance <StrtPosBal>	[0..1]	±		256
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		256
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		256
	RegisteredBalance <RegdBal>	[0..1]	±		257

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

5.4.7.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1189

5.4.7.2 BlockChainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1187

5.4.7.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 945 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	945
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		946

5.4.7.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat32Choice" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1062
Or	Country <Ctry>	[1..1]	CodeSet	C3	1062
Or	TypeAndIdentification <TpAndId>	[1..1]			1062
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1063
	Identification <Id>	[1..1]	IdentifierSet	C1	1063
Or}	Proprietary <Prtry>	[1..1]	±		1063

5.4.7.5 Balance <Bal>

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

Balance <Bal> contains the following **CorporateActionBalanceDetails44** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		252
	BlockedBalance <BlckdBal>	[0..1]	±		253
	BorrowedBalance <BrrwdBal>	[0..1]	±		253
	CollateralInBalance <CollInBal>	[0..1]	±		253
	CollateralOutBalance <CollOutBal>	[0..1]	±		254
	OnLoanBalance <OnLnBal>	[0..1]	±		254
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		254
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		255
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		255
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		255
	StreetPositionBalance <StrtPosBal>	[0..1]	±		256
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		256
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		256
	RegisteredBalance <RegdBal>	[0..1]	±		257

5.4.7.5.1 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total quantity of financial instruments of the balance.

TotalEligibleBalance <TtlElgblBal> contains one of the following elements (see "[Quantity80Choice](#)" on page 992 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityChoice <QtyChc>	[1..1]	±		993
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			993
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		993
	Quantity <Qty>	[1..1]	Quantity		993
	QuantityType <QtyTp>	[1..1]	Text		994
	Issuer </lssr>	[1..1]	Text		994
	SchemeName <SchmeNm>	[0..1]	Text		994

5.4.7.5.2 BlockedBalance <BlckdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

BlockedBalance <BlckdBal> contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

5.4.7.5.3 BorrowedBalance <BrrwdBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been borrowed from another party.

BorrowedBalance <BrrwdBal> contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

5.4.7.5.4 CollateralInBalance <CollInBal>

Presence: [0..1]

Definition: Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CollateralInBalance <CollInBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

5.4.7.5.5 CollateralOutBalance <CollOutBal>

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

CollateralOutBalance <CollOutBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

5.4.7.5.6 OnLoanBalance <OnLnBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

OnLoanBalance <OnLnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

5.4.7.5.7 PendingDeliveryBalance <PdgDlvryBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

PendingDeliveryBalance <PdgDivryBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

5.4.7.5.8 PendingReceiptBalance <PdgRctBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

PendingReceiptBalance <PdgRctBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

5.4.7.5.9 OutForRegistrationBalance <OutForRegnBal>

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

OutForRegistrationBalance <OutForRegnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

5.4.7.5.10 SettlementPositionBalance <SttlmPosBal>

Presence: [0..1]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

SettlementPositionBalance <SttlmPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

5.4.7.5.11 StreetPositionBalance <StrtPosBal>

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

StreetPositionBalance <StrtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

5.4.7.5.12 TradeDatePositionBalance <TradDtPosBal>

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

TradeDatePositionBalance <TradDtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

5.4.7.5.13 InTransshipmentBalance <InTrnsShpmtBal>

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

InTransshipmentBalance <InTrnsShipmntBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

5.4.7.5.14 RegisteredBalance <RegdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

RegisteredBalance <RegdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

5.4.8 BeneficialOwnerDetails <BnfclOwnrDtls>

Presence: [0..*]

Definition: Information about the beneficial owner of the securities.

Impacted by: C10 "DeclarationDetailsRule"

BeneficialOwnerDetails <BnfclOwnrDtls> contains the following **PartyIdentification252** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <OwnrId>	[1..1]	±		258
	LEIIdentification <LEIId>	[0..1]	IdentifierSet		258
	AlternateIdentification <AltrId>	[0..*]	±		258
	DomicileCountry <DmclCtry>	[0..1]	CodeSet	C8	258
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C8	259
	OwnedSecuritiesQuantity <OwndScitiesQty>	[1..1]	±	C13	259
	CertificationType <CertfctnTp>	[0..*]	±		259
	WithholdingTaxRate <WhldgTaxRate>	[0..1]	±		260
	CertificationBreakdown <CertfctnBrkdwn>	[0..*]	Text		260

Constraints

- **DeclarationDetailsRule**

Unless bilaterally agreed, DeclarationDetails must not contain information that can be provided in a structured field.

5.4.8.1 OwnerIdentification <Ownrld>

Presence: [1..1]

Definition: Party that is the beneficial owner of the specified quantity of securities.

OwnerIdentification <Ownrld> contains one of the following elements (see "PartyIdentification234Choice" on page 936 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	937
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		937
Or	NameAndAddress <NmAndAdr>	[1..1]	±		937
Or}	IndividualOwnerIdentification <IndvOwnrld>	[1..1]	±		938

5.4.8.2 LEIdentification <LEId>

Presence: [0..1]

Definition: Legal entity identification of the party.

Datatype: "LEIdentifier" on page 1180

5.4.8.3 AlternateIdentification <Altrnld>

Presence: [0..*]

Definition: Alternate identification for a party.

AlternateIdentification <Altrnld> contains the following elements (see "AlternatePartyIdentification9" on page 951 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]			952
{Or	Code <Cd>	[1..1]	CodeSet		952
Or}	Proprietary <Prtry>	[1..1]	±		952
	Country <Ctry>	[1..1]	CodeSet	C3	953
	AlternateIdentification <Altrnld>	[1..1]	Text		953

5.4.8.4 DomicileCountry <DmclCtry>

Presence: [0..1]

Definition: Country in which a person is permanently domiciled (the place of a person's permanent home).

Impacted by: C8 "Country"

Datatype: "CountryCode" on page 1143

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

5.4.8.5 NonDomicileCountry <NonDmclCtry>

Presence: [0..*]

Definition: Holder of the security certifies, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

Impacted by: C8 "Country"

Datatype: "CountryCode" on page 1143

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

5.4.8.6 OwnedSecuritiesQuantity <OwndSctiesQty>

Presence: [1..1]

Definition: Quantity of securities belonging to the beneficial owner specified.

Impacted by: C13 "DigitalTokenUnitUsageRule"

OwnedSecuritiesQuantity <OwndSctiesQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1001
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1001
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1002
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1002

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

5.4.8.7 CertificationType <CertfctnTp>

Presence: [0..*]

Definition: Type of certification which is required.

CertificationType <CertfctnTp> contains one of the following elements (see "BeneficiaryCertificationType11Choice" on page 888 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		888
Or}	Proprietary <Prtry>	[1..1]	±		888

5.4.8.8 WithholdingTaxRate <WhldgTaxRate>

Presence: [0..1]

Definition: Requested percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1055 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1056

5.4.8.9 CertificationBreakdown <CertfctnBrkdwn>

Presence: [0..*]

Definition: Provides additional information about the type of certification/breakdown required.

Datatype: "RestrictedFINXMax350Text" on page 1189

5.4.9 CorporateActionInstruction <CorpActnInstr>

Presence: [1..1]

Definition: Information about the corporate action instruction.

Impacted by: C21 "OptionNumber1Rule", C22 "OptionNumber2Rule"

CorporateActionInstruction <CorpActnInstr> contains the following **CorporateActionOption204** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		262
	OptionType <OptnTp>	[1..1]	±		262
	OptionFeatures <OptnFeatrs>	[0..1]	±		263
	FractionDisposition <FrctnDspstn>	[0..1]	±		263
	ChangeType <ChngTp>	[0..*]	±		263
	EligibleForCollateralIndicator <ElgblForCollInd>	[0..1]	Indicator		263
	SolicitationDealerFeeIndicator <SlctnDealrFeeInd>	[0..1]	Indicator		264
	CurrencyToBuy <CcyToBuy>	[0..1]	CodeSet	C1	264
	CurrencyToSell <CcyToSell>	[0..1]	CodeSet	C1	264
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	265
	SecurityIdentification <SctyId>	[0..1]	±	C11, C12, C18, C19, C24	265
	SecuritiesQuantityOrInstructedAmount <SctiesQtyOrInstdAmt>	[1..1]			266
{Or	SecuritiesQuantity <SctiesQty>	[1..1]			266
	ConditionalQuantity <CondlQty>	[0..1]	±	C13	267
	InstructedQuantity <InstdQty>	[1..1]	±		267
	AdditionalRoundUpQuantity <AddtlRndUpQty>	[0..1]	±	C13	267
Or}	InstructedAmount <InstdAmt>	[1..1]	Amount	C1, C9	268
	ExecutionRequestedDateTime <ExctnReqdDtTm>	[0..1]	±		268
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]			269
	ProposedRate <PropsdRate>	[0..1]	Rate		269
	OversubscriptionRate <OvrscptRate>	[0..1]	±		269
	RequestedWithholdingTaxRate <ReqdWhldgTaxRate>	[0..*]	±		269
	RequestedSecondLevelTaxRate <ReqdScndLvlTaxRate>	[0..*]	±		270
	PriceDetails <PricDtls>	[0..1]		C16	270
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		271
	IssuePrice <IssePric>	[0..1]	±		271

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		271
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		272
	ShareholderNumber <ShrhldrNb>	[0..1]	Text		272
	AdditionalInformation <AddtlInf>	[0..1]			273
	InformationToComplyWith <InfToCmplyWth>	[0..*]	Text		273
	DeliveryDetails <DlvryDtls>	[0..*]	Text		273
	ForeignExchangeInstructionsAdditionalInformation <FXInstrsAddtlInf>	[0..*]	Text		273
	InstructionAdditionalInformation <InstrAddtlInf>	[0..*]	Text		273

Constraints

- **OptionNumber1Rule**

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

- **OptionNumber2Rule**

When the CorporateActionInstruction is unsolicited, that is, the instruction has not been preceded by a Corporate ActionNotification, then OptionNumber/code must be UNSO (unsolicited).

5.4.9.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "[OptionNumber1Choice](#)" on page 933 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		933
Or}	Code <Cd>	[1..1]	CodeSet		933

5.4.9.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see ["CorporateActionOption43Choice"](#) on page 838 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		838
Or}	Proprietary <Prtry>	[1..1]	±		839

5.4.9.3 OptionFeatures <OptnFeatrs>

Presence: [0..1]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following elements (see ["OptionFeaturesFormat27Choice"](#) on page 877 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		877
Or}	Proprietary <Prtry>	[1..1]	±		877

5.4.9.4 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractional amount/quantities are treated.

FractionDisposition <FrctnDspstn> contains one of the following elements (see ["FractionDispositionType29Choice"](#) on page 887 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		887
Or}	Proprietary <Prtry>	[1..1]	±		887

5.4.9.5 ChangeType <ChngTp>

Presence: [0..*]

Definition: Type of changes affecting the security form.

ChangeType <ChngTp> contains one of the following elements (see ["CorporateActionChangeTypeFormat7Choice"](#) on page 885 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		885
Or}	Proprietary <Prtry>	[1..1]	±		885

5.4.9.6 EligibleForCollateralIndicator <ElgblForCollInd>

Presence: [0..1]

Definition: Indicates that the corporate action instruction is to be processed using the Available-for-Collateral pool.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

5.4.9.7 SolicitationDealerFeeIndicator <SlctnDealrFeeInd>

Presence: [0..1]

Definition: Indicates whether there is a solicitation fee on the event. This fee is paid by the soliciting dealer.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

5.4.9.8 CurrencyToBuy <CcyToBuy>

Presence: [0..1]

Definition: Account servicer is instructed to buy the indicated currency after the receipt of cash proceeds.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyCode"](#) on page 1096

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

5.4.9.9 CurrencyToSell <CcyToSell>

Presence: [0..1]

Definition: Account servicer is instructed to sell the indicated currency in order to obtain the necessary currency to fund the transaction within this instruction message.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyCode"](#) on page 1096

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

5.4.9.10 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited or debited.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1096

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

5.4.9.11 SecurityIdentification <Sctyld>

Presence: [0..1]

Definition: Identifies the financial instrument.

Impacted by: C11 "DescriptionPresenceRule", C12 "DescriptionUsageRule", C18 "ISINGuideline", C19 "ISINPresenceRule", C24 "OtherIdentificationPresenceRule"

SecurityIdentification <Sctyld> contains the following elements (see "SecurityIdentification20" on page 766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		767
	OtherIdentification <Othrlid>	[0..*]			767
	Identification <Id>	[1..1]	Text		768
	Suffix <Sfx>	[0..1]	Text		768
	Type <Tp>	[1..1]	±		768
	Description <Desc>	[0..1]	Text		768

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

 /Description is absent

Following Must be True

 /ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

5.4.9.12 SecuritiesQuantityOrInstructedAmount <SctiesQtyOrInstdAmt>

Presence: [1..1]

Definition: Provides information about securities quantity linked to a corporate action option.

SecuritiesQuantityOrInstructedAmount <SctiesQtyOrInstdAmt> contains one of the following **SecuritiesQuantityOrAmount7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SecuritiesQuantity <SctiesQty>	[1..1]			266
	ConditionalQuantity <CondlQty>	[0..1]	±	C13	267
	InstructedQuantity <InstdQty>	[1..1]	±		267
	AdditionalRoundUpQuantity <AddtlRndUpQty>	[0..1]	±	C13	267
Or}	InstructedAmount <InstdAmt>	[1..1]	Amount	C1, C9	268

5.4.9.12.1 SecuritiesQuantity <SctiesQty>

Presence: [1..1]

Definition: Provides information about securities quantity linked to a corporate action option.

SecuritiesQuantity <SctiesQty> contains the following **SecuritiesOption88** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ConditionalQuantity <CondlQty>	[0..1]	±	C13	267
	InstructedQuantity <InstdQty>	[1..1]	±		267
	AdditionalRoundUpQuantity <AddtlRndUpQty>	[0..1]	±	C13	267

5.4.9.12.1.1 ConditionalQuantity <CondlQty>

Presence: [0..1]

Definition: Minimum quantity of securities to be accepted (used in the framework of conditional privilege on election). In case of proration, if this minimum quantity is not reached then the instruction is void.

Impacted by: C13 "DigitalTokenUnitUsageRule"

ConditionalQuantity <CondlQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1001
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1001
Or	AmortisedValue <AmtdVal>	[1..1]	Amount		1002
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1002

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

5.4.9.12.1.2 InstructedQuantity <InstdQty>

Presence: [1..1]

Definition: Quantity of securities to which this instruction applies.

InstructedQuantity <InstdQty> contains one of the following elements (see "Quantity55Choice" on page 995 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		995
Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]	±		996
Or}	Quantity <Qty>	[1..1]	±	C13	996

5.4.9.12.1.3 AdditionalRoundUpQuantity <AddtlRndUpQty>

Presence: [0..1]

Definition: Quantity of additional shares requested due to the difference of "round-up against payment" practice between the account servicer and the account holder (for instance for French dividend option).

Impacted by: C13 "DigitalTokenUnitUsageRule"

AdditionalRoundUpQuantity <AddtlRndUpQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1001
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1001
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1002
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1002

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

5.4.9.12.2 InstructedAmount <InstdAmt>

Presence: [1..1]

Definition: Cash amount to be instructed.

Impacted by: C1 "ActiveCurrency", C9 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

5.4.9.13 ExecutionRequestedDateTime <ExctnReqdDtTm>

Presence: [0..1]

Definition: Date/time at which the instructing party requests the instruction to be executed.

ExecutionRequestedDateTime <ExctnReqdDtTm> contains one of the following elements (see "DateAndDateTime2Choice" on page 749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		749
Or}	DateTime <DtTm>	[1..1]	DateTime		750

5.4.9.14 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action option.

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate73** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProposedRate <PropsdRate>	[0..1]	Rate		269
	OversubscriptionRate <OvrbcptRate>	[0..1]	±		269
	RequestedWithholdingTaxRate <ReqdWhldgTaxRate>	[0..*]	±		269
	RequestedSecondLevelTaxRate <ReqdScndLvITaxRate>	[0..*]	±		270

5.4.9.14.1 ProposedRate <PropsdRate>

Presence: [0..1]

Definition: Rate proposed in a remarketing of variable rate notes.

Datatype: "PercentageRate" on page 1182

5.4.9.14.2 OversubscriptionRate <OvrbcptRate>

Presence: [0..1]

Definition: Rate of allowed over-subscription.

OversubscriptionRate <OvrbcptRate> contains one of the following elements (see "RateAndAmountFormat43Choice" on page 1054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1055

5.4.9.14.3 RequestedWithholdingTaxRate <ReqdWhldgTaxRate>

Presence: [0..*]

Definition: Requested tax rate that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

RequestedWithholdingTaxRate <ReqdWhldgTaxRate> contains one of the following elements (see "RateAndAmountFormat45Choice" on page 1051 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1051
Or	Amount <Amt>	[1..1]	Amount	C1	1051
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1052
	RateType <RateTp>	[1..1]			1052
{Or	Code <Cd>	[1..1]	CodeSet		1052
Or}	Proprietary <Prtry>	[1..1]	±		1053
	Rate <Rate>	[1..1]	Rate		1053

5.4.9.14.4 RequestedSecondLevelTaxRate <ReqdScndLvITaxRate>

Presence: [0..*]

Definition: Requested rate at which the income will be withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible.

RequestedSecondLevelTaxRate <ReqdScndLvITaxRate> contains one of the following elements (see "RateAndAmountFormat45Choice" on page 1051 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1051
Or	Amount <Amt>	[1..1]	Amount	C1	1051
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1052
	RateType <RateTp>	[1..1]			1052
{Or	Code <Cd>	[1..1]	CodeSet		1052
Or}	Proprietary <Prtry>	[1..1]	±		1053
	Rate <Rate>	[1..1]	Rate		1053

5.4.9.15 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

Impacted by: C16 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **CorporateActionPrice77** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		271
	IssuePrice <IssePric>	[0..1]	±		271
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		271
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		272

Constraints

- **GenericCashPricePerProductGuideline**

Generic Cash Price Received per Product (OFFR) and Generic Cash Price Paid per Product (PRPP) are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

5.4.9.15.1 IndicativeOrMarketPrice <IndctvOrMktPric>

Presence: [0..1]

Definition: Indicates whether the price is an indicative price or a market price.

IndicativeOrMarketPrice <IndctvOrMktPric> contains one of the following elements (see "IndicativeOrMarketPrice9Choice" on page 982 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]	±		982
Or}	MarketPrice <MktPric>	[1..1]	±		982

5.4.9.15.2 IssuePrice <IssePric>

Presence: [0..1]

Definition: Initial issue price of a financial instrument.

IssuePrice <IssePric> contains one of the following elements (see "PriceFormat52Choice" on page 980 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			980
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		980
	PriceValue <PricVal>	[1..1]	Rate		981
Or}	AmountPrice <AmtPric>	[1..1]	±		981

5.4.9.15.3 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat67Choice" on page 968 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			968
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		969
	PriceValue <PricVal>	[1..1]	Rate		969
Or	AmountPrice <AmtPric>	[1..1]	±		969
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		969
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			970
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		970
	PriceValue <PricVal>	[1..1]	Amount	C1	970
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C13	971
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			971
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		971
	PriceValue <PricVal>	[1..1]	Amount	C1	972
	Amount <Amt>	[1..1]	Amount	C1	972
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		972

5.4.9.15.4 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount, for example, reinvestment price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat52Choice" on page 980 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			980
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		980
	PriceValue <PricVal>	[1..1]	Rate		981
Or}	AmountPrice <AmtPric>	[1..1]	±		981

5.4.9.16 ShareholderNumber <ShrhldrNb>

Presence: [0..1]

Definition: Reference number assigned to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).

Datatype: "RestrictedFINXMax25Text" on page 1188

5.4.9.17 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative33** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationToComplyWith <InfToCmplyWth>	[0..*]	Text		273
	DeliveryDetails <DlvryDtls>	[0..*]	Text		273
	ForeignExchangeInstructionsAdditionalInformation <FXInstrsAddtlInf>	[0..*]	Text		273
	InstructionAdditionalInformation <InstrAddtlInf>	[0..*]	Text		273

5.4.9.17.1 InformationToComplyWith <InfToCmplyWth>

Presence: [0..*]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or Sophisticated Investor Letter (SIL) to be provided.

Datatype: "RestrictedFINXMax350Text" on page 1189

5.4.9.17.2 DeliveryDetails <DlvryDtls>

Presence: [0..*]

Definition: Provides additional information on the delivery details of the outturned (derived) securities. This narrative is only to be used in case the securities are not eligible at the agent/custodian, and may not be used for settlement instructions.

Datatype: "RestrictedFINXMax350Text" on page 1189

5.4.9.17.3 ForeignExchangeInstructionsAdditionalInformation <FXInstrsAddtlInf>

Presence: [0..*]

Definition: Provides additional details pertaining to foreign exchange instructions.

Datatype: "RestrictedFINXMax350Text" on page 1189

5.4.9.17.4 InstructionAdditionalInformation <InstrAddtlInf>

Presence: [0..*]

Definition: Provides additional details pertaining to the corporate action instruction.

Datatype: "RestrictedFINXMax350Text" on page 1189

5.4.10 ProtectInstruction <PrtctInstr>

Presence: [0..1]

Definition: Provides detailed information on protect and cover protect instructions.

ProtectInstruction <PrctInstr> contains the following **ProtectInstruction5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionType <TxTp>	[1..1]	CodeSet		274
	TransactionIdentification <TxId>	[0..1]	Text		274
	ProtectSafekeepingAccount <PrctSfkpgAcct>	[0..1]	Text		274
	ProtectDate <PrctDt>	[0..1]	Date		274

5.4.10.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Indicates whether the instruction is a protect or a cover protect instruction.

Datatype: "ProtectTransactionType2Code" on page 1168

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.
COVP	CoverOnBehalfOfAnotherParticipantTransaction	Reorganisation is a cover on behalf of another participant transaction type.
COVR	CoverProtectTransaction	Reorganisation is a cover protect transaction type.

5.4.10.2 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Unique reference of the protect transaction assigned by the depository and used for cover protect validation.

Datatype: "RestrictedFINMax15Text" on page 1186

5.4.10.3 ProtectSafekeepingAccount <PrctSfkpgAcct>

Presence: [0..1]

Definition: Account which submitted the original protect instruction used for cover protect instructions whereby one safekeeping account is covering on behalf of another safekeeping account.

Datatype: "RestrictedFINMax35Text" on page 1186

5.4.10.4 ProtectDate <PrctDt>

Presence: [0..1]

Definition: Date at which the protect instruction was created and used for cover protect validation.

Datatype: "ISODate" on page 1177

5.4.11 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative34** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegistrationDetails <RegnDtls>	[0..*]	Text		275
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		275
	CertificationBreakdown <CertfctnBrkdwn>	[0..*]	Text		275

5.4.11.1 RegistrationDetails <RegnDtls>

Presence: [0..*]

Definition: Provides information required for the registration.

Datatype: "RestrictedFINXMax350Text" on page 1189

5.4.11.2 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "RestrictedFINXMax350Text" on page 1189

5.4.11.3 CertificationBreakdown <CertfctnBrkdwn>

Presence: [0..*]

Definition: Provides information required for the certification/breakdown.

Datatype: "RestrictedFINXMax350Text" on page 1189

5.4.12 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C31 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		933
	Envelope <Envlp>	[1..1]	(External Schema)		933

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

6 **seev.034.002.14**

CorporateActionInstructionStatusAdvice002V14

6.1 **MessageDefinition Functionality**

Scope

The CorporateActionInstructionStatusAdvice message is sent by an account servicer to an account owner or its designated agent, to report the status of a received corporate action election instruction.

This message is used to advise the status, or a change in status, of a corporate action-related transaction previously instructed by, or executed on behalf of, the account owner. This will include the acknowledgement/rejection of a corporate action instruction.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstructionStatusAdvice002V14 MessageDefinition is composed of 8 MessageBuildingBlocks:

- InstructionIdentification**
Identification of a related instruction document.
- OtherDocumentIdentification**
Identification of other documents as well as the document number.
- CorporateActionGeneralInformation**
General information about the corporate action event.
- InstructionProcessingStatus**
Information about the processing status of the instruction.
- CorporateActionInstruction**
Information about the corporate action instruction.
- ProtectInstruction**
Provides detailed information on protect and cover protect instructions.
- AdditionalInformation**

Provides additional information.

H. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

6.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnInstrStsAdv>	[1..1]		C2, C9	
	InstructionIdentification <InstrId>	[0..1]	±		282
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		282
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C16, C21	283
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		284
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		284
	ClassActionNumber <ClsActnNb>	[0..1]	Text		284
	EventType <EvtTp>	[1..1]	±	C17	284
	InstructionProcessingStatus <InstrPrcgSts>	[1..*]			284
{Or	Cancelled <Canc>	[1..1]	±		285
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		285
Or	Rejected <Rjctd>	[1..1]	±		286
Or	Pending <Pdg>	[1..1]	±		286
Or	DefaultAction <DfltActn>	[1..1]	±		287
Or	StandingInstruction <StglInstr>	[1..1]	±		287
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		287
	CorporateActionInstruction <CorpActnInstr>	[0..1]		C22, C24, C25, C26, C27, C29, C30	288
	OptionNumber <OptnNb>	[1..1]	±		289
	OptionType <OptnTp>	[1..1]	±		289
	OptionFeatures <OptnFeatr>	[0..1]	±		290
	AccountOwner <AcctOwnr>	[0..1]	±		290
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		290
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		290
	CashAccount <CshAcct>	[0..1]	±		290
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		291
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C12, C13,	291

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
				C19, C20, C23	
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		292
	InstructedBalance <InstdBal>	[0..1]	±		292
	UninstructedBalance <UinstdBal>	[0..1]	±		293
	ProtectBalance <PrcttBal>	[0..1]	±		293
	StatusQuantity <StsQty>	[0..1]	±		293
	StatusCashAmount <StsCshAmt>	[0..1]	Amount	C1, C11	294
	SolicitationDealerFeeIndicator <SlctnDealrFeeInd>	[0..1]	Indicator		294
	ProtectInstruction <PrcttInstr>	[0..1]			294
	TransactionType <TxTp>	[1..1]	CodeSet		295
	ProtectTransactionStatus <PrcttTxSts>	[0..1]	CodeSet		295
	TransactionIdentification <TxId>	[0..1]	Text		295
	ProtectSafekeepingAccount <PrcttSfkgpgAcct>	[0..1]	Text		296
	ProtectDate <PrcttDt>	[0..1]	Date		296
	UncoveredProtectQuantity <UcvrdPrcttQty>	[0..1]	±		296
	AdditionalInformation <AddtlInf>	[0..1]			296
	AdditionalText <AddtlTxt>	[0..*]	Text		296
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		296
	SupplementaryData <SplmtryData>	[0..*]	±	C31	297

6.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AdditionalInformationRule

All elements in the AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

This constraint is defined at the MessageDefinition level.

C3 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C4 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C5 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C6 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C7 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C9 BeneficialOwnerBreakdownRequestRule

If CorporateActionInstruction/OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT567 NVR C6)

On Condition

/CorporateActionInstruction/OptionType/Code is equal to value
'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C10 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C13 DescriptionUsageRule

Description must be used alone as the last resort.

C14 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C15 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C16 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C17 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C18 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C19 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C20 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C21 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C22 OptionNumberRule

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

C23 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C24 SafekeepingAccountOrBlockChainAddress1Rule

If SafekeepingAccount is present, BlockChainAddressOrWallet must be absent.

C25 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present, SafekeepingAccount must be absent.

C26 SafekeepingAccountOrBlockChainAddress3Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C27 SafekeepingPlaceRule

SafekeepingPlace must only be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C28 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C29 StatusQuantityOrStatusCashAmount1Rule

If StatusQuantity is present, then StatusCashAmount is not allowed. (MT 567 C5).

C30 StatusQuantityOrStatusCashAmount2Rule

If StatusCashAmount is present, then StatusQuantity is not allowed. (MT 567 C5).

C31 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

6.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

6.4.1 InstructionIdentification <InstrId>

Presence: [0..1]

Definition: Identification of a related instruction document.

InstructionIdentification <InstrId> contains the following elements (see "[DocumentIdentification17](#)" on page 759 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		759

6.4.2 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification34" on page 756 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			757
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		757
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		757
	DocumentNumber <DocNb>	[0..1]	±	C6	757

6.4.3 CorporateActionGeneralInformation <CorpActnGnInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C16 "EventTypeRule", C21 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnInf> contains the following **CorporateActionGeneralInformation158** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		284
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		284
	ClassActionNumber <ClassActnNb>	[0..1]	Text		284
	EventType <EvtTp>	[1..1]	±	C17	284

Constraints

- EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

6.4.3.1 CorporateActionEventIdentification <CorpActnEvtId>*Presence:* [1..1]*Definition:* Reference assigned by the account servicer to unambiguously identify a corporate action event.*Datatype:* "RestrictedFINXMax16Text" on page 1187**6.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>***Presence:* [0..1]*Definition:* Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.*Datatype:* "RestrictedFINXMax16Text" on page 1187**6.4.3.3 ClassActionNumber <ClsActnNb>***Presence:* [0..1]*Definition:* Reference assigned by a court to a class action.*Datatype:* "RestrictedFINXMax16Text" on page 1187**6.4.3.4 EventType <EvtTp>***Presence:* [1..1]*Definition:* Type of corporate action event.*Impacted by:* C17 "EventTypeRule"**EventType <EvtTp>** contains one of the following elements (see "CorporateActionEventType103Choice" on page 831 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		831
Or}	Proprietary <Prtry>	[1..1]	±		837

Constraints

- EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

6.4.4 InstructionProcessingStatus <InstrPrcgSts>*Presence:* [1..*]

Definition: Information about the processing status of the instruction.

InstructionProcessingStatus <InstrPrcgSts> contains one of the following **InstructionProcessingStatus54Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Cancelled <Canc>	[1..1]	±		285
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		285
Or	Rejected <Rjctd>	[1..1]	±		286
Or	Pending <Pdg>	[1..1]	±		286
Or	DefaultAction <DfltActn>	[1..1]	±		287
Or	StandingInstruction <StglInstr>	[1..1]	±		287
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		287

6.4.4.1 Cancelled <Canc>

Presence: [1..1]

Definition: Provides status information related to an instruction request cancelled.

Cancelled <Canc> contains one of the following elements (see "[CancelledStatus15Choice](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1091
Or}	Reason <Rsn>	[1..*]		C3	1091
	ReasonCode <RsnCd>	[1..1]			1092
{Or	Code <Cd>	[1..1]	CodeSet		1092
Or}	Proprietary <Prtry>	[1..1]	±		1092
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1093

6.4.4.2 AcceptedForFurtherProcessing <AccptdForFrthrPrcg>

Presence: [1..1]

Definition: Provides status information related to an instruction request that is accepted. This means that the instruction has been received, is processable and has been validated for further processing.

AcceptedForFurtherProcessing <AccptdForFrthrPrcg> contains one of the following elements (see "AcceptedStatus9Choice" on page 1089 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1089
Or}	Reason <Rsn>	[1..*]		C4	1089
	ReasonCode <RsnCd>	[1..1]			1090
{Or	Code <Cd>	[1..1]	CodeSet		1090
Or}	Proprietary <Prtry>	[1..1]	±		1090
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1091

6.4.4.3 Rejected <Rjctd>

Presence: [1..1]

Definition: Provides status information related to an instruction request rejected for further processing due to system reasons.

Rejected <Rjctd> contains one of the following elements (see "RejectedStatus60Choice" on page 1076 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1076
Or}	Reason <Rsn>	[1..*]		C5	1076
	ReasonCode <RsnCd>	[1..1]			1077
{Or	Code <Cd>	[1..1]	CodeSet		1077
Or}	Proprietary <Prtry>	[1..1]	±		1079
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1079

6.4.4.4 Pending <Pdg>

Presence: [1..1]

Definition: Provides status information related to a pending instruction.

Pending <Pdg> contains one of the following elements (see "[PendingStatus72Choice](#)" on page 1073 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1073
Or}	Reason <Rsn>	[1..*]		C6	1074
	ReasonCode <RsnCd>	[1..1]			1074
{Or	Code <Cd>	[1..1]	CodeSet		1074
Or}	Proprietary <Prtry>	[1..1]	±		1075
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1075

6.4.4.5 DefaultAction <DfltActn>

Presence: [1..1]

Definition: Default action is taken.

DefaultAction <DfltActn> contains the following elements (see "[NoSpecifiedReason1](#)" on page 1073 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1073

6.4.4.6 StandingInstruction <StglInstr>

Presence: [1..1]

Definition: Standing instruction has been applied.

StandingInstruction <StglInstr> contains the following elements (see "[NoSpecifiedReason1](#)" on page 1073 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1073

6.4.4.7 ProprietaryStatus <PrtrySts>

Presence: [1..1]

Definition: Proprietary status related to an instruction.

ProprietaryStatus <PrtrySts> contains the following elements (see "[ProprietaryStatusAndReason7](#)" on page 1088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		1088
	ProprietaryReason <PrtryRsn>	[0..*]	±	C2	1088

6.4.5 CorporateActionInstruction <CorpActnInstr>

Presence: [0..1]

Definition: Information about the corporate action instruction.

Impacted by: C22 "OptionNumberRule", C24 "SafekeepingAccountOrBlockChainAddress1Rule", C25 "SafekeepingAccountOrBlockChainAddress2Rule", C26 "SafekeepingAccountOrBlockChainAddress3Rule", C27 "SafekeepingPlaceRule", C29 "StatusQuantityOrStatusCashAmount1Rule", C30 "StatusQuantityOrStatusCashAmount2Rule"

CorporateActionInstruction <CorpActnInstr> contains the following **CorporateActionOption203** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		289
	OptionType <OptnTp>	[1..1]	±		289
	OptionFeatures <OptnFeatrs>	[0..1]	±		290
	AccountOwner <AcctOwnr>	[0..1]	±		290
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		290
	BlockChainAddressOrWallet <BlckChainAdrOrWlIt>	[0..1]	Text		290
	CashAccount <CshAcct>	[0..1]	±		290
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		291
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C12, C13, C19, C20, C23	291
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		292
	InstructedBalance <InstdBal>	[0..1]	±		292
	UninstructedBalance <UinstdBal>	[0..1]	±		293
	ProtectBalance <PrtctBal>	[0..1]	±		293
	StatusQuantity <StsQty>	[0..1]	±		293
	StatusCashAmount <StsCshAmt>	[0..1]	Amount	C1, C11	294
	SolicitationDealerFeeIndicator <SlctnDealrFeeInd>	[0..1]	Indicator		294

Constraints

- **OptionNumberRule**

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

- **SafekeepingAccountOrBlockChainAddress1Rule**

If SafekeepingAccount is present, BlockChainAddressOrWallet must be absent.

On Condition
 /SafekeepingAccount is present
 Following Must be True
 /BlockChainAddressOrWallet Must be absent

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present, SafekeepingAccount must be absent.

On Condition
 /BlockChainAddressOrWallet is present
 Following Must be True
 /SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True
 /SafekeepingAccount Must be present
 Or /BlockChainAddressOrWallet Must be present

- **SafekeepingPlaceRule**

SafekeepingPlace must only be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

- **StatusQuantityOrStatusCashAmount1Rule**

If StatusQuantity is present, then StatusCashAmount is not allowed. (MT 567 C5).

On Condition
 /StatusQuantity is present
 Following Must be True
 /StatusCashAmount Must be absent

- **StatusQuantityOrStatusCashAmount2Rule**

If StatusCashAmount is present, then StatusQuantity is not allowed. (MT 567 C5).

On Condition
 /StatusCashAmount is present
 Following Must be True
 /StatusQuantity Must be absent

6.4.5.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "[OptionNumber1Choice](#)" on [page 933](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		933
Or}	Code <Cd>	[1..1]	CodeSet		933

6.4.5.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "[CorporateActionOption42Choice](#)" on page 829 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		829
Or}	Proprietary <Prtry>	[1..1]	±		830

6.4.5.3 OptionFeatures <OptnFeatrs>

Presence: [0..1]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following elements (see "[OptionFeaturesFormat27Choice](#)" on page 877 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		877
Or}	Proprietary <Prtry>	[1..1]	±		877

6.4.5.4 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "[PartyIdentification136Choice](#)" on page 945 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	945
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		946

6.4.5.5 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "[RestrictedFINXMax35Text](#)" on page 1189

6.4.5.6 BlockchainAddressOrWallet <BlckChainAdrOrWlt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "[RestrictedFINXMax140Text](#)" on page 1187

6.4.5.7 CashAccount <CshAcct>

Presence: [0..1]

Definition: Account on which a securities entry is made.

CashAccount <CshAcct> contains one of the following elements (see "CashAccountIdentification6Choice" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	731
Or}	Proprietary <Prtry>	[1..1]	Text		732

6.4.5.8 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat32Choice" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1062
Or	Country <Ctry>	[1..1]	CodeSet	C3	1062
Or	TypeAndIdentification <TpAndId>	[1..1]			1062
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1063
	Identification <Id>	[1..1]	IdentifierSet	C1	1063
Or}	Proprietary <Prtry>	[1..1]	±		1063

6.4.5.9 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: Identifies the financial instrument.

Impacted by: C12 "DescriptionPresenceRule", C13 "DescriptionUsageRule", C19 "ISINGuideline", C20 "ISINPresenceRule", C23 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		767
	OtherIdentification <OthrId>	[0..*]			767
	Identification <Id>	[1..1]	Text		768
	Suffix <Sfx>	[0..1]	Text		768
	Type <Tp>	[1..1]	±		768
	Description <Desc>	[0..1]	Text		768

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

6.4.5.10 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

TotalEligibleBalance <TtlElgblBal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 988 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	QuantityChoice <QtyChc>	[1..1]	±		989

6.4.5.11 InstructedBalance <InstdBal>

Presence: [0..1]

Definition: Balance of instructed position.

InstructedBalance <InstdBal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 988 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	QuantityChoice <QtyChc>	[1..1]	±		989

6.4.5.12 UninstructedBalance <UinstdBal>

Presence: [0..1]

Definition: Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 988 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	QuantityChoice <QtyChc>	[1..1]	±		989

6.4.5.13 ProtectBalance <PrctctBal>

Presence: [0..1]

Definition: Balance of securities which are in protect status in a CSD operating a protect cover service.

ProtectBalance <PrctctBal> contains the following elements (see "[SignedQuantityFormat11](#)" on page 990 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		990
	QuantityChoice <QtyChc>	[1..1]			991
{Or	Quantity <Qty>	[1..1]	±	C15	991
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			991
	Quantity <Qty>	[1..1]	Quantity		992
	QuantityType <QtyTp>	[1..1]	Text		992
	Issuer <Issr>	[1..1]	Text		992
	SchemeName <SchmeNm>	[0..1]	Text		992

6.4.5.14 StatusQuantity <StsQty>

Presence: [0..1]

Definition: Quantity of securities that has been assigned the status indicated.

StatusQuantity <StsQty> contains one of the following elements (see ["Quantity54Choice"](#) on page 996 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C13	996
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		997

6.4.5.15 StatusCashAmount <StsCshAmt>

Presence: [0..1]

Definition: Amount of cash subscribed that has been assigned the status indicated.

Impacted by: [C1 "ActiveCurrency"](#), [C11 "CurrencyAmount"](#)

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

6.4.5.16 SolicitationDealerFeeIndicator <SlctnDealrFeeInd>

Presence: [0..1]

Definition: Indicates whether there is a solicitation fee on the event. This fee is paid by the soliciting dealer.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

6.4.6 ProtectInstruction <PrtctInstr>

Presence: [0..1]

Definition: Provides detailed information on protect and cover protect instructions.

ProtectInstruction <PrctInstr> contains the following **ProtectInstruction6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionType <TxTp>	[1..1]	CodeSet		295
	ProtectTransactionStatus <PrctTxSts>	[0..1]	CodeSet		295
	TransactionIdentification <TxId>	[0..1]	Text		295
	ProtectSafekeepingAccount <PrctSfkpgAcct>	[0..1]	Text		296
	ProtectDate <PrctDt>	[0..1]	Date		296
	UncoveredProtectQuantity <UcvrdPrctQty>	[0..1]	±		296

6.4.6.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Indicates whether the instruction is a protect or a cover protect instruction.

Datatype: "ProtectTransactionType2Code" on page 1168

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.
COVP	CoverOnBehalfOfAnotherParticipantTransaction	Reorganisation is a cover on behalf of another participant transaction type.
COVR	CoverProtectTransaction	Reorganisation is a cover protect transaction type.

6.4.6.2 ProtectTransactionStatus <PrctTxSts>

Presence: [0..1]

Definition: Status of the protect transaction.

Datatype: "ProtectInstructionStatus3Code" on page 1168

CodeName	Name	Definition
OPEN	Open	Protect instruction which has not been fully covered. Will include partial covers.
COVR	FullyCovered	Protect instruction has been fully covered.
EXPI	Expired	Protect instruction has expired.

6.4.6.3 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Unique reference of the protect transaction assigned by the depository and used for cover protect validation.

Datatype: "RestrictedFINMax15Text" on page 1186

6.4.6.4 ProtectSafekeepingAccount <PrctSfkpgAcct>*Presence:* [0..1]*Definition:* Account which submitted the original protect instruction used for cover protect instructions whereby one safekeeping account is covering on behalf of another safekeeping account.*Datatype:* "RestrictedFINMax35Text" on page 1186**6.4.6.5 ProtectDate <PrctDt>***Presence:* [0..1]*Definition:* Date at which the protect instruction was created and used for cover protect validation.*Datatype:* "ISODate" on page 1177**6.4.6.6 UncoveredProtectQuantity <UcvrdPrctQty>***Presence:* [0..1]*Definition:* Remaining quantity of protect instruction which has not been covered.**UncoveredProtectQuantity <UcvrdPrctQty>** contains one of the following elements (see "FinancialInstrumentQuantity31Choice" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		798
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		798

6.4.7 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Provides additional information.**AdditionalInformation <AddtlInf>** contains the following **CorporateActionNarrative19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		296
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		296

6.4.7.1 AdditionalText <AddtlTxt>*Presence:* [0..*]*Definition:* Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.*Datatype:* "RestrictedFINXMax350Text" on page 1189**6.4.7.2 PartyContactNarrative <PtyCtctNrrtv>***Presence:* [0..*]*Definition:* Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "RestrictedFINXMax350Text" on page 1189

6.4.8 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C31 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		933
	Envelope <Envlp>	[1..1]	(External Schema)		933

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

7 **seev.035.002.15**

CorporateActionMovementPreliminaryAdvice

002V15

7.1 **MessageDefinition Functionality**

Scope

The CorporateActionMovementPreliminaryAdvice message is sent by an account servicer to an account owner or its designated agent:

- to advise about the eligible balance and entitlements and,
- to pre-advise an upcoming posting or reversal of securities and/or cash postings.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionMovementPreliminaryAdvice002V15 MessageDefinition is composed of 27 MessageBuildingBlocks:

A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part preliminary advice is to continue or that the message is the last page of the multi-part preliminary advice.

B. MovementPreliminaryAdviceGeneralInformation

General information about the movement preliminary advice document.

C. PreviousMovementPreliminaryAdviceIdentification

Identification of the previously sent movement preliminary advice document.

D. NotificationIdentification

Identification of a previously sent notification document.

E. MovementConfirmationIdentification

Identification of a previously sent movement confirmation document.

F. InstructionIdentification

Identification of a related instruction document.

G. OtherDocumentIdentification

Identification of other documents as well as the document number.

H. EventsLinkage

Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

I. ReversalReason

Reason for the reversal.

J. CorporateActionGeneralInformation

General information about the corporate action event.

K. AccountDetails

General information about the safekeeping account, owner and account balance.

L. CorporateActionDetails

Information about the corporate action event.

M. CorporateActionMovementDetails

Information about the corporate action option.

N. AdditionalInformation

Provides additional information.

O. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

P. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

Q. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

R. Registrar

Party/agent responsible for maintaining the register of a security.

S. ResellingAgent

Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

T. PhysicalSecuritiesAgent

Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

U. DropAgent

Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

V. SolicitationAgent

Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

W. InformationAgent

Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

X. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

Y. Issuer

Issuer of the financial instrument.

Z. Offeror

Party making the offer and which is different from the issuing company.

A TransferAgent

- A. Trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

7.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnMvmntPrimryAdv>	[1..1]		C9, C11, C12, C36, C37, C43, C56, C60, C63, C64	
	Pagination <Pgntn>	[0..1]	±		321
	MovementPreliminaryAdviceGeneralInformation <MvmntPrimryAdvGnlInf>	[1..1]			321
	MovementPreliminaryAdviceIdentification <MvmntPrimryAdvcl>	[0..1]	Text		321
	Type <Tp>	[1..1]	CodeSet		322
	Function <Fctn>	[1..1]	CodeSet		322
	PreviousMovementPreliminaryAdviceIdentification <PrvsMvmntPrimryAdvcl>	[0..1]	±		322
	NotificationIdentification <NtfctnId>	[0..1]	±		322
	MovementConfirmationIdentification <MvmntConfl>	[0..1]	±		323
	InstructionIdentification <InstrId>	[0..1]	±		323
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		323
	EventsLinkage <EvtsLkg>	[0..*]	±		323
	ReversalReason <RvslRsn>	[0..1]	±	C5	324
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C54	324
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		325
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		325
	ClassActionNumber <ClsActnNb>	[0..1]	Text		325
	EventProcessingType <EvtPrcgTp>	[0..1]	±		325
	EventType <EvtTp>	[1..1]	±	C26	326
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		326
	UnderlyingSecurity <UndrlygScty>	[1..1]	±	C48, C58	327
	AccountDetails <AcctDtls>	[1..1]			328
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		329
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C65, C66,	330

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
				C67, C68	
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		332
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		332
	AccountOwner <AcctOwnr>	[0..1]	±		332
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		332
	Balance <Bal>	[0..1]		C7	333
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		334
	BlockedBalance <BlckdBal>	[0..1]	±		335
	BorrowedBalance <BrrwdBal>	[0..1]	±		335
	CollateralInBalance <CollInBal>	[0..1]	±		335
	CollateralOutBalance <CollOutBal>	[0..1]	±		336
	OnLoanBalance <OnLnBal>	[0..1]	±		336
	PendingDeliveryBalance <PdgDlrvyBal>	[0..*]	±		336
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		337
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		337
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		337
	StreetPositionBalance <StrtPosBal>	[0..1]	±		338
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		338
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		338
	RegisteredBalance <RegdBal>	[0..1]	±		339
	ObligatedBalance <OblgtdBal>	[0..1]	±		339
	UninstructedBalance <UinstdBal>	[0..1]	±		339
	InstructedBalance <InstdBal>	[0..1]	±		340
	AffectedBalance <AfctdBal>	[0..1]	±		340
	UnaffectedBalance <UafctdBal>	[0..1]	±		340
	CorporateActionDetails <CorpActnDtls>	[0..1]			341
	DateDetails <DtDtls>	[0..1]			341
	RecordDate <RcrdDt>	[0..1]	±		342
	ExDividendDate <ExDvddDt>	[0..1]	±		342
	LotteryDate <LtryDt>	[0..1]	±		342
	SecuritiesQuantity <SctiesQty>	[0..1]			343

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	BaseDenomination <BaseDnmtn>	[0..1]	±	C20	343
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C20	343
	EventStage <EvtStag>	[0..1]	±		344
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		344
	IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtp>	[0..1]	±		344
	LotteryType <LtryTp>	[0..1]	±		345
	CorporateActionMovementDetails <CorpActnMvmntDtls>	[0..*]		C6, C10, C22, C23, C24, C25, C42, C62, C55	345
	OptionNumber <OptnNb>	[1..1]	Text		354
	OptionType <OptnTp>	[1..1]	±		355
	FractionDisposition <FrctnDspstn>	[0..1]	±		355
	OfferType <OfferTp>	[0..*]	±		355
	OptionFeatures <OptnFeatrs>	[0..*]	±		355
	OptionAvailabilityStatus <OptnAvlbtySts>	[0..1]	±		356
	CertificationBreakdownType <CertfctnBrkdwnTp>	[0..*]	±		356
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C13	356
	ValidDomicileCountry <VldDmclCtry>	[0..*]	CodeSet	C13	356
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	357
	DefaultProcessingOrStandingInstruction <DfltPrccgOrStglInstr>	[1..1]	±		357
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		357
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		358
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[0..1]	Indicator		358
	ChangeAllowedIndicator <ChngAllwdInd>	[0..1]	Indicator		358
	AppliedOptionIndicator <ApplOptnInd>	[0..1]	Indicator		358
	SecurityIdentification <SctyId>	[0..1]	±	C17, C18, C44, C45, C57	358
	DateDetails <DtDtls>	[0..1]			359

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		360
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		360
	ProtectDeadline <PrctDdln>	[0..1]	±		361
	MarketDeadline <MktDdln>	[0..1]	±		361
	ResponseDeadline <RspnDdln>	[0..1]	±		361
	ExpiryDate <XpryDt>	[0..1]	±		362
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		362
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		363
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		363
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			363
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		363
	Borrower <Brrwr>	[1..1]	±		364
	PeriodDetails <PrdDtls>	[0..1]			364
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		364
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		365
	ActionPeriod <ActnPrd>	[0..1]	±		365
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		365
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		365
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		366
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		366
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C31, C33, C50, C52, C69, C71, C73, C77, C79	366
	AdditionalTax <AddtlTax>	[0..1]	±		368
	GrossDividendRate <GrssDvddRate>	[0..*]	±		368
	NetDividendRate <NetDvddRate>	[0..*]	±		369
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		370
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>	[0..1]	±		371
	ProrationRate <PrратnRate>	[0..1]	±		371

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		372
	SecondLevelTax <ScndLvITax>	[0..*]	±		372
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		372
	TaxOnIncome <TaxOnIncm>	[0..1]	±		373
	PriceDetails <PricDtls>	[0..1]			373
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		374
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		374
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		374
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		375
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		375
	SecuritiesQuantity <SctiesQty>	[0..1]			375
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±	C21	376
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C21	376
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C20	376
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C20	377
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C20	377
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C20	378
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±	C20	378
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C27, C38, C40, C46	379
	SecurityDetails <SctyDtls>	[1..1]	±	C49, C59	382
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		383
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		383
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]			383
{Or	Code <Cd>	[1..1]	CodeSet		383
Or}	Proprietary <Prtry>	[1..1]	±		384
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		384
	NewSecuritiesIssuanceIndicator <NewSctiesIssnclInd>	[0..1]	CodeSet		384
	IncomeType <IncmTp>	[0..1]	±		385
	OtherIncomeType <OthrIncmTp>	[0..*]	±		385

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ExemptionType <XmptnTp>	[0..*]	±		386
	EntitledQuantity <EntitldQty>	[0..1]	±		386
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		386
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C13	387
	FractionDisposition <FrctnDspstn>	[0..1]	±		387
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	387
	TradingPeriod <TradgPrd>	[0..1]	±		388
	DateDetails <DtDtls>	[1..1]			388
	PaymentDate <PmtDt>	[1..1]	±		388
	AvailableDate <AvlblDt>	[0..1]	±		389
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		389
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		389
	PariPassuDate <PrpssDt>	[0..1]	±		390
	LastTradingDate <LastTradgDt>	[0..1]	±		390
	RateDetails <RateDtls>	[0..1]			390
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbRslntScties>	[0..1]	±		391
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		391
	NewToOld <NewToOd>	[0..1]	±		392
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		392
	ChargesFees <ChrgsFees>	[0..1]	±		392
	FiscalStamp <FscIStmp>	[0..1]	±		393
	ApplicableRate <AplblRate>	[0..1]	±		393
	TaxCreditRate <TaxCdtRate>	[0..1]	±		393
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		394
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		394
	SecondLevelTax <ScndLvTax>	[0..*]	±		394
	PriceDetails <PricDtls>	[0..1]		C29	395
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		395
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		396
	CashValueForTax <CshValForTax>	[0..1]	±		396

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		396
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		397
	AmountDetails <AmtDtls>	[0..1]			397
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C14	398
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C14	398
	CashMovementDetails <CshMvmntDtls>	[0..*]		C28, C39, C41, C47	399
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		403
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		403
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]	±		404
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		404
	IncomeType <IncmTp>	[0..1]	±		404
	OtherIncomeType <OthrIncmTp>	[0..*]	±		404
	ExemptionType <XmptnTp>	[0..*]	±		405
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C13	405
	CashAccountIdentification <CshAcctId>	[0..1]	±		405
	AmountDetails <AmtDtls>	[0..1]			406
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C14	408
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C14	409
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C14	409
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C14	409
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C14	410
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C14	410
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C14	411
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C14	411
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C14	411
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C14	412
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C14	412
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C14	413
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C14	413

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C14	413
	TaxDeferredAmount <TaxDfrrdAmt>	[0..1]	Amount	C1, C14	414
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C14	414
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C14	415
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C14	415
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C14	415
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C14	416
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C14	416
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C14	417
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C14	417
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C14	417
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C14	418
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C14	418
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C14	419
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C14	419
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C14	419
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C14	420
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C14	420
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C14	421
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C14	421
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C14	421
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C14	422
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C14	422
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C14	423
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C14	423
	TransactionTax <TxTax>	[0..1]	Amount	C1, C14	423
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C14	424
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C14	424
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C14	425
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C14	425
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C14	425

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C14	426
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C1, C14	426
	DateDetails <DtDtls>	[1..1]			427
	PaymentDate <PmtDt>	[1..1]	±		427
	ValueDate <ValDt>	[0..1]	±		427
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		428
	EarliestPaymentDate <EarlStPmtDt>	[0..1]	±		428
	ForeignExchangeDetails <FXDtls>	[0..1]	±		428
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C15, C16, C32, C34, C51, C53, C70, C72, C74, C78, C80	429
	AdditionalTax <AddtlTax>	[0..1]	±		431
	GrossDividendRate <GrssDvddRate>	[0..*]	±		431
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		432
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		433
	SecondLevelTax <ScndLvltax>	[0..*]	±		433
	ChargesFees <ChrgsFees>	[0..1]	±		434
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		434
	FiscalStamp <FscIStmp>	[0..1]	±		435
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		435
	NetDividendRate <NetDvddRate>	[0..*]	±		435
	ApplicableRate <AplblRate>	[0..1]	±		436
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		436
	TaxCreditRate <TaxCdtRate>	[0..1]	±		437
	TaxOnIncome <TaxOnIncm>	[0..1]	±		437
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		437
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		438
	EqualisationRate <EqulstnRate>	[0..1]	±		438
	DeemedRate <DmdRate>	[0..*]	±		438

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceDetails <PricDtls>	[0..1]		C30	439
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		440
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		440
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		441
	AdditionalInformation <AddtlInf>	[0..1]		C3	441
	AdditionalText <AddtlTxt>	[0..1]	±		442
	NarrativeVersion <NrrtvVrsn>	[0..1]	±		442
	InformationConditions <InfConds>	[0..1]	±		442
	InformationToComplyWith <InfToCmplyWth>	[0..1]	±		443
	SecurityRestriction <SctyRstrctn>	[0..1]	±		443
	TaxationConditions <TaxtnConds>	[0..1]	±		443
	Disclaimer <Dsclmr>	[0..1]	±		443
	CertificationBreakdown <CertfctnBrkdown>	[0..1]	±		444
	AdditionalInformation <AddtlInf>	[0..1]		C4	444
	AdditionalText <AddtlTxt>	[0..1]	±		445
	NarrativeVersion <NrrtvVrsn>	[0..1]	±		445
	InformationConditions <InfConds>	[0..1]	±		445
	InformationToComplyWith <InfToCmplyWth>	[0..1]	±		445
	TaxationConditions <TaxtnConds>	[0..1]	±		446
	Disclaimer <Dsclmr>	[0..1]	±		446
	PartyContactNarrative <PtyCtctNrrtv>	[0..1]	±		446
	RegistrationDetails <RegnDtls>	[0..1]	±		446
	BasketOrIndexInformation <BsktOrIndxInf>	[0..1]	±		447
	CertificationBreakdown <CertfctnBrkdown>	[0..1]	±		447
	ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy>	[0..1]	±		447
	IssuerAgent <IssrAgt>	[0..*]	±		447
	PayingAgent <PngAgt>	[0..*]	±		448
	SubPayingAgent <SubPngAgt>	[0..*]	±		448
	Registrar <Regar>	[0..1]	±		448
	ResellingAgent <RsellingAgt>	[0..*]	±		449
	PhysicalSecuritiesAgent <PhysScitiesAgt>	[0..1]	±		449

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	DropAgent <DrpAgt>	[0..1]	±		449
	SolicitationAgent <SlctnAgt>	[0..*]	±		450
	InformationAgent <InfAgt>	[0..1]	±		450
	SupplementaryData <SplmtryData>	[0..*]	±	C76	450
	Issuer <Issr>	[0..1]	±		451
	Offeror <Offerr>	[0..*]	±		451
	TransferAgent <TrfAgt>	[0..1]	±		451

7.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C4 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C5 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C6 AdditionalTextRule

If OptionType/Code is OTHR (Other), then AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

C7 AffectedAndUnaffectedBalanceRule

UnaffectedBalance and AffectedBalance should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C9 AppliedOptionRule

If CorporateActionMovementDetails/AppliedOptionIndicator value is 'true' or '1' (Yes) in one or more occurrences of CorporateActionMovementDetails, then CorporateActionGeneralInformation/MandatoryVoluntaryEventType/Code value must be CHOS (MandatoryWithOptions) and at least one of the CorporateActionDetails/AdditionalBusinessProcessIndicator/Code values must be REAC (RequiredAction).

This constraint is defined at the MessageDefinition level.

C10 AppliedOptionRule

If DefaultProcessingOrStandingInstruction/DefaultOptionIndicator is present and its value is '1' or 'true' (Yes), then AppliedOptionIndicator value must not be '1' or 'true' (Yes).

C11 BeneficialOwnerBreakdownRequestRule

If in at least one occurrence of CorporateActionMovementDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

On Condition

/CorporateActionMovementDetails[*]/OptionType/Code is equal to value 'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C12 BeneficialOwnerBreakdownRequestUGRule

If in at least one occurrence of CorporateActionOptionDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

if

at least one occurrence of [CorporateActionMovementDetails/OptionType/Code] has value included in the following list 'BOBD', then

every occurrence of [CorporateActionGeneralInformation/EventType/Code] must have value included in the following list 'WTRC'

Expression Language: UGRule

This constraint is defined at the MessageDefinition level.

C13 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C14 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C15 DeemedRate1Rule

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

C16 DeemedRate2Rule

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C17 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C18 DescriptionUsageRule

Description must be used alone as the last resort.

C19 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C20 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C21 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C22 DissenterRights1Rule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C23 DissenterRights1UGRule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Expression Language: UGRule

C24 DissenterRights2Rule

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

C25 DissenterRights2UGRule

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Expression Language: UGRule

C26 EventTypeRule

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

C27 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C28 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C29 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

C30 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

C31 GrossDividendRate2Rule

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate.

(MT 564 NVR C8).

C32 GrossDividendRate2Rule

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 564 NVR C8).

C33 GrossDividendRate3Rule

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

C34 GrossDividendRate3Rule

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

C35 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C36 IncentivePremiumEventType1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

On Condition

/CorporateActionDetails/AdditionalBusinessProcessIndicator[*]/Code is equal to value 'IncentivePremiumPayment'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'Consent'

This constraint is defined at the MessageDefinition level.

C37 IncentivePremiumEventTypeUG1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in any occurrence of CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

if

at least one occurrence of [CorporateActionDetails/AdditionalBusinessProcessIndicator/Code] has value included in the following list 'INCP'

, then

every occurrence of [CorporateActionGeneralInformation/EventType/Code] must have value included in the following list 'CONS'

Expression Language: UGRule

This constraint is defined at the MessageDefinition level.

C38 IncomeType1Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C39 IncomeType2Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C40 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C41 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C42 InstructCashAmountRule

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMutipleCashToInstruct) must not be present.

(MT564 NVR C20).

C43 IntermediateSecuritiesDistributionRule

If CorporateActionGeneralInformation/EventType/Code is RHD
(IntermediateSecuritiesDistribution), then CorporateActionDetails/
IntermediateSecuritiesDistributionType must be present.

(MT 564 NVR C11).

On Condition

/CorporateActionGeneralInformation/EventType/Code is equal to value
'IntermediateSecuritiesDistribution'

Following Must be True

/CorporateActionDetails Must be present

And /CorporateActionDetails/IntermediateSecuritiesDistributionType Must
be present

This constraint is defined at the MessageDefinition level.

C44 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C45 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C46 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C47 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C48 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

C49 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

C50 NetDividendRate1Rule

If NetDividendRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

C51 NetDividendRate1Rule

If NetDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

C52 NetDividendRate2Rule

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

C53 NetDividendRate2Rule

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

C54 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C55 OptionNumberGuideline

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

C56 OtherEventRule

If CorporateActionGeneralInformation/EventType/Code is OTHR (Other), then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C4).

On Condition

/CorporateActionGeneralInformation/EventType/Code is present

```

And      /CorporateActionGeneralInformation/EventType/Code is equal to value
'OtherEvent'
Following Must be True
    /AdditionalInformation Must be present
And      /AdditionalInformation/AdditionalText Must be present
And      /AdditionalInformation/AdditionalText/AdditionalInformation[1] Must
be present

```

This constraint is defined at the MessageDefinition level.

C57 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C58 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

C59 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

C60 RateAndCorporateActionEventRule

If CorporateActionMovementDetails/RateAndAmountDetails/GrossDividendRate/NotSpecifiedRate Code value or CorporateActionMovementDetails/RateAndAmountDetails/InterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

If CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDividendRate/NotSpecifiedRate Code value or CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/InterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

This constraint is defined at the MessageDefinition level.

C61 RateStatusGuideline

The code value ACTU is the default value for a rate status.

C62 RevocabilityPeriodRule

If WithdrawalAllowedIndicator or ChangeAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the change or withdrawal of instruction is allowed.

C63 SafekeepingAccount1Rule

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General), then any element in the list (MinimumNominalQuantity, MinimumExercisableQuantity, MinimumExercisableMultipleQuantity, ContractSize) must not be present in any occurrences of CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails;

(MT564 Rule C2 - part 1).

```

On Condition
    /AccountDetails/ForAllAccounts is present
And      /AccountDetails/ForAllAccounts/IdentificationCode is equal to value
'General'

```

```

Following Must be True
  /CorporateActionMovementDetails[*]/SecuritiesMovementDetails[*]/
SecurityDetails/MinimumNominalQuantity Must be absent
  And /CorporateActionMovementDetails[*]/SecuritiesMovementDetails[*]/
SecurityDetails/MinimumQuantityToInstruct Must be absent
  And /CorporateActionMovementDetails[*]/SecuritiesMovementDetails[*]/
SecurityDetails/MinimumMultipleQuantityToInstruct Must be absent
  And /CorporateActionMovementDetails[*]/SecuritiesMovementDetails[*]/
SecurityDetails/ContractSize Must be absent

```

This constraint is defined at the MessageDefinition level.

C64 SafekeepingAccount2Rule

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General), then AmountDetails must not be present in any occurrences of CorporateActionMovementDetails/CashMovementDetails.

(MT564 Rule C2 - part 2).

```

On Condition
  /AccountDetails/ForAllAccounts is present
  And /AccountDetails/ForAllAccounts/IdentificationCode is equal to value
'General'
Following Must be True
  /CorporateActionMovementDetails[*]/CashMovementDetails[*]/AmountDetails
Must be absent

```

This constraint is defined at the MessageDefinition level.

C65 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C66 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C67 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C68 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C69 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C70 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C71 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C72 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C73 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present.

C74 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

C75 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C76 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C77 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C78 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C79 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C80 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C81 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

7.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

7.4.1 Pagination <Pgntn>

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part preliminary advice is to continue or that the message is the last page of the multi-part preliminary advice.

Pagination <Pgntn> contains the following elements (see "[Pagination1](#)" on page 878 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		879
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		879

7.4.2 MovementPreliminaryAdviceGeneralInformation <MvmntPrlmryAdvGnllnf>

Presence: [1..1]

Definition: General information about the movement preliminary advice document.

MovementPreliminaryAdviceGeneralInformation <MvmntPrlmryAdvGnllnf> contains the following **CorporateActionPreliminaryAdviceType5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MovementPreliminaryAdviceIdentification <MvmntPrlmryAdvclId>	[0..1]	Text		321
	Type <Tp>	[1..1]	CodeSet		322
	Function <Fctn>	[1..1]	CodeSet		322

7.4.2.1 MovementPreliminaryAdviceIdentification <MvmntPrlmryAdvclId>

Presence: [0..1]

Definition: Unique identification of the group of corporate action movement preliminary advice messages when the preliminary advice is split in multiple (paginated) messages.

Datatype: "[RestrictedFINXMax16Text](#)" on page 1187

7.4.2.2 Type <Tp>

Presence: [1..1]

Definition: Type of movement preliminary advice, for example. new or replacement.

Datatype: "CorporateActionPreliminaryAdviceType1Code" on page 1142

CodeName	Name	Definition
NEWM	New	New movement preliminary advice.
REPL	Replacement	Movement preliminary advice replacing a previously sent preliminary advice.

7.4.2.3 Function <Fctn>

Presence: [1..1]

Definition: Specifies whether the message advises about the final eligible balance and entitlements or about an upcoming posting or reversal of securities and/or cash postings.

Datatype: "CorporateActionMovementPreliminaryAdviceFunction1Code" on page 1134

CodeName	Name	Definition
ENTL	Entitlement	Message is an entitlement.
CAPA	PreadviceOfMovement	Message is a preadvice of movement.

7.4.3 PreviousMovementPreliminaryAdviceIdentification <PrvsMvmntPrlimryAdvcl>

Presence: [0..1]

Definition: Identification of the previously sent movement preliminary advice document.

PreviousMovementPreliminaryAdviceIdentification <PrvsMvmntPrlimryAdvcl> contains the following elements (see "DocumentIdentification37" on page 755 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		755
	LinkageType <LkgTp>	[0..1]	±	C32	755

7.4.4 NotificationIdentification <NtfctnId>

Presence: [0..1]

Definition: Identification of a previously sent notification document.

NotificationIdentification <NtfctnId> contains the following elements (see "DocumentIdentification37" on page 755 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		755
	LinkageType <LkgTp>	[0..1]	±	C32	755

7.4.5 MovementConfirmationIdentification <MvmntConfld>

Presence: [0..1]

Definition: Identification of a previously sent movement confirmation document.

MovementConfirmationIdentification <MvmntConfld> contains the following elements (see "DocumentIdentification37" on page 755 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		755
	LinkageType <LkgTp>	[0..1]	±	C32	755

7.4.6 InstructionIdentification <InstrId>

Presence: [0..1]

Definition: Identification of a related instruction document.

InstructionIdentification <InstrId> contains the following elements (see "DocumentIdentification17" on page 759 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		759

7.4.7 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification38" on page 758 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			758
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		758
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		758
	DocumentNumber <DocNb>	[0..1]	±	C6	758
	LinkageType <LkgTp>	[0..1]	±	C32	759

7.4.8 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "[CorporateActionEventReference4](#)" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			929
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		929
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		929
	LinkageType <LkgTp>	[0..1]	±	C32	929

7.4.9 ReversalReason <RvslRsn>

Presence: [0..1]

Definition: Reason for the reversal.

Impacted by: [C5 "AdditionalReasonInformationRule"](#)

ReversalReason <RvslRsn> contains the following elements (see "[CorporateActionReversalReason6](#)" on page 813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			813
{Or	Code <Cd>	[1..1]	CodeSet		814
Or}	Proprietary <Prtry>	[1..1]	±		814
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		814

Constraints

- AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

7.4.10 CorporateActionGeneralInformation <CorpActnGnllnf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: [C54 "OfficialCorporateActionEventReference"](#)

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following
CorporateActionGeneralInformation168 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		325
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		325
	ClassActionNumber <ClssActnNb>	[0..1]	Text		325
	EventProcessingType <EvtPrcgTp>	[0..1]	±		325
	EventType <EvtTp>	[1..1]	±	C26	326
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		326
	UnderlyingSecurity <UndrlygScty>	[1..1]	±	C48, C58	327

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

7.4.10.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1187

7.4.10.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1187

7.4.10.3 ClassActionNumber <ClssActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "RestrictedFINXMax16Text" on page 1187

7.4.10.4 EventProcessingType <EvtPrcgTp>

Presence: [0..1]

Definition: Type of processing involved by a corporate action.

EventProcessingType <EvtPrcgTp> contains one of the following elements (see "CorporateActionEventProcessingType3Choice" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		928
Or}	Proprietary <Prtry>	[1..1]	±		928

7.4.10.5 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C26 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType97Choice" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		852
Or}	Proprietary <Prtry>	[1..1]	±		858

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

7.4.10.6 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following elements (see "CorporateActionMandatoryVoluntary4Choice" on page 927 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		927
Or}	Proprietary <Prtry>	[1..1]	±		927

7.4.10.7 UnderlyingSecurity <UndrlygScty>*Presence:* [1..1]*Definition:* Security concerned by the corporate action.*Impacted by:* C48 "MaturityDateRule", C58 "PreviousNextFactorRule"**UnderlyingSecurity <UndrlygScty>** contains the following elements (see "FinancialInstrumentAttributes114" on page 788 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C11, C12, C18, C19, C24	790
	PlaceOfListing <PlcOfListg>	[0..1]	±		791
	DayCountBasis <DayCntBsis>	[0..1]	±		791
	ClassificationType <ClssfctnTp>	[0..1]	±		791
	OptionStyle <OptnStyle>	[0..1]	±		791
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	792
	NextCouponDate <NxtCpnDt>	[0..1]	Date		792
	ExpiryDate <XpryDt>	[0..1]	Date		792
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		792
	MaturityDate <MtrtyDt>	[0..1]	Date		792
	IssueDate <IssDt>	[0..1]	Date		793
	NextCallableDate <NxtCllblDt>	[0..1]	Date		793
	PutableDate <PutblDt>	[0..1]	Date		793
	DatedDate <DtdDt>	[0..1]	Date		793
	ConversionDate <ConvsDt>	[0..1]	Date		793
	InterestRate <IntrstRate>	[0..1]	±		793
	NextInterestRate <NxtIntrstRate>	[0..1]	±		793
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		794
	PreviousFactor <PrvsFctr>	[0..1]	±		794
	NextFactor <NxtFctr>	[0..1]	±		794
	WarrantParity <WarrtParity>	[0..1]	±		795
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C13	795
	ContractSize <CtrctSz>	[0..1]	±	C13	795

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

7.4.11 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

AccountDetails <AcctDtls> contains one of the following **AccountIdentification52Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		329
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C65, C66, C67, C68	330
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		332
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		332
	AccountOwner <AcctOwnr>	[0..1]	±		332
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		332
	Balance <Bal>	[0..1]		C7	333
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		334
	BlockedBalance <BlckdBal>	[0..1]	±		335
	BorrowedBalance <BrrwdBal>	[0..1]	±		335
	CollateralInBalance <CollInBal>	[0..1]	±		335
	CollateralOutBalance <CollOutBal>	[0..1]	±		336
	OnLoanBalance <OnLnBal>	[0..1]	±		336
	PendingDeliveryBalance <PdgDivryBal>	[0..*]	±		336
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		337
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		337
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		337
	StreetPositionBalance <StrtPosBal>	[0..1]	±		338
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		338
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		338
	RegisteredBalance <RegdBal>	[0..1]	±		339
	ObligatedBalance <OblgtdBal>	[0..1]	±		339
	UninstructedBalance <UinstdBal>	[0..1]	±		339
	InstructedBalance <InstdBal>	[0..1]	±		340
	AffectedBalance <AfctdBal>	[0..1]	±		340
	UnaffectedBalance <UafctdBal>	[0..1]	±		340

7.4.11.1 ForAllAccounts <ForAllAccts>

Presence: [1..1]

Definition: All safekeeping accounts that own an underlying financial instrument.

ForAllAccounts <ForAllAccts> contains the following elements (see ["AccountIdentification10"](#) on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		731

7.4.11.2 AccountsListAndBalanceDetails <AcctsListAndBalDtls>

Presence: [1..*]

Definition: Selected safekeeping accounts list (and optionally balance information) to which the corporate action event applies.

Impacted by: [C65 "SafekeepingAccountOrBlockChainAddress1Rule"](#), [C66 "SafekeepingAccountOrBlockChainAddress2Rule"](#), [C67 "SafekeepingAccountOrBlockChainAddress3Rule"](#), [C68 "SafekeepingPlaceRule"](#)

AccountsListAndBalanceDetails <AcctsListAndBalDtls> contains the following
AccountAndBalance53 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		332
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		332
	AccountOwner <AcctOwnr>	[0..1]	±		332
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		332
	Balance <Bal>	[0..1]		C7	333
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		334
	BlockedBalance <BlckdBal>	[0..1]	±		335
	BorrowedBalance <BrrwdBal>	[0..1]	±		335
	CollateralInBalance <CollInBal>	[0..1]	±		335
	CollateralOutBalance <CollOutBal>	[0..1]	±		336
	OnLoanBalance <OnLnBal>	[0..1]	±		336
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		336
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		337
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		337
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		337
	StreetPositionBalance <StrtPosBal>	[0..1]	±		338
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		338
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		338
	RegisteredBalance <RegdBal>	[0..1]	±		339
	ObligatedBalance <OblgtdBal>	[0..1]	±		339
	UninstructedBalance <UinstdBal>	[0..1]	±		339
	InstructedBalance <InstdBal>	[0..1]	±		340
	AffectedBalance <AfctdBal>	[0..1]	±		340
	UnaffectedBalance <UafctdBal>	[0..1]	±		340

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition
/BlockChainAddressOrWallet is present
Following Must be True
/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition
/SafekeepingAccount is present
Following Must be True
/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

7.4.11.2.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1189

7.4.11.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1187

7.4.11.2.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 945 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	945
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		946

7.4.11.2.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat32Choice" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1062
Or	Country <Ctry>	[1..1]	CodeSet	C3	1062
Or	TypeAndIdentification <TpAndId>	[1..1]			1062
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1063
	Identification <Id>	[1..1]	IdentifierSet	C1	1063
Or}	Proprietary <Prtry>	[1..1]	±		1063

7.4.11.2.5 Balance <Bal>

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

Impacted by: C7 "AffectedAndUnaffectedBalanceRule"

Balance <Bal> contains the following **CorporateActionBalanceDetails46** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		334
	BlockedBalance <BlckdBal>	[0..1]	±		335
	BorrowedBalance <BrrwdBal>	[0..1]	±		335
	CollateralInBalance <CollInBal>	[0..1]	±		335
	CollateralOutBalance <CollOutBal>	[0..1]	±		336
	OnLoanBalance <OnLnBal>	[0..1]	±		336
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		336
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		337
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		337
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		337
	StreetPositionBalance <StrtPosBal>	[0..1]	±		338
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		338
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		338
	RegisteredBalance <RegdBal>	[0..1]	±		339
	ObligatedBalance <OblgtdBal>	[0..1]	±		339
	UninstructedBalance <UinstdBal>	[0..1]	±		339
	InstructedBalance <InstdBal>	[0..1]	±		340
	AffectedBalance <AfctdBal>	[0..1]	±		340
	UnaffectedBalance <UafctdBal>	[0..1]	±		340

Constraints

- **AffectedAndUnaffectedBalanceRule**

UnaffectedBalance and AffectedBalance should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

7.4.11.2.5.1 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

TotalEligibleBalance <TtlElgblBal> contains the following elements (see "TotalEligibleBalanceFormat11" on page 732 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[0..1]	±		732
	FullPeriodUnits <FullPrdUnits>	[0..1]	±		732
	PartWayPeriodUnits <PartWayPrdUnits>	[0..1]	±		733

7.4.11.2.5.2 BlockedBalance <BlckdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

BlockedBalance <BlckdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

7.4.11.2.5.3 BorrowedBalance <BrrwdBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been borrowed from another party.

BorrowedBalance <BrrwdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

7.4.11.2.5.4 CollateralInBalance <CollnBal>

Presence: [0..1]

Definition: Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CollateralInBalance <CollInBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

7.4.11.2.5.5 CollateralOutBalance <CollOutBal>

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

CollateralOutBalance <CollOutBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

7.4.11.2.5.6 OnLoanBalance <OnLnBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

OnLoanBalance <OnLnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

7.4.11.2.5.7 PendingDeliveryBalance <PdgDlvryBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

PendingDeliveryBalance <PdgDlvryBal> contains one of the following elements (see "BalanceFormat16Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		733
Or	EligibleBalance <ElgblBal>	[1..1]	±		733
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		734
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		734
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		734

7.4.11.2.5.8 PendingReceiptBalance <PdgRctBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

PendingReceiptBalance <PdgRctBal> contains one of the following elements (see "BalanceFormat16Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		733
Or	EligibleBalance <ElgblBal>	[1..1]	±		733
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		734
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		734
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		734

7.4.11.2.5.9 OutForRegistrationBalance <OutForRegnBal>

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

OutForRegistrationBalance <OutForRegnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

7.4.11.2.5.10 SettlementPositionBalance <SttlmPosBal>

Presence: [0..*]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

SettlementPositionBalance <SttlmPosBal> contains one of the following elements (see "BalanceFormat16Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		733
Or	EligibleBalance <ElgblBal>	[1..1]	±		733
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		734
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		734
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		734

7.4.11.2.5.11 StreetPositionBalance <StrtPosBal>

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

StreetPositionBalance <StrtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

7.4.11.2.5.12 TradeDatePositionBalance <TradDtPosBal>

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

TradeDatePositionBalance <TradDtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

7.4.11.2.5.13 InTransshipmentBalance <InTrnsShipmntBal>

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

InTransshipmentBalance <InTrnsShipmntBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

7.4.11.2.5.14 RegisteredBalance <RegdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

RegisteredBalance <RegdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

7.4.11.2.5.15 ObligatedBalance <OblgtdBal>

Presence: [0..1]

Definition: Position that account holders should return to the account servicer to participate in the event or to fulfil their obligation for the event to be complete, for example, return of securities for late announced drawing.

ObligatedBalance <OblgtdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

7.4.11.2.5.16 UninstructedBalance <UinstdBal>

Presence: [0..1]

Definition: Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

7.4.11.2.5.17 InstructedBalance <InstdBal>

Presence: [0..1]

Definition: Balance of instructed position.

InstructedBalance <InstdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

7.4.11.2.5.18 AffectedBalance <AfctdBal>

Presence: [0..1]

Definition: Balance that has been affected by the process run through the event.

AffectedBalance <AfctdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

7.4.11.2.5.19 UnaffectedBalance <UafctdBal>

Presence: [0..1]

Definition: Balance that has not been affected by the process run through the event.

UnaffectedBalance <UafctdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

7.4.12 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction77** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			341
	RecordDate <RcrdDt>	[0..1]	±		342
	ExDividendDate <ExDvddDt>	[0..1]	±		342
	LotteryDate <LtryDt>	[0..1]	±		342
	SecuritiesQuantity <SctiesQty>	[0..1]			343
	BaseDenomination <BaseDnmtn>	[0..1]	±	C20	343
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C20	343
	EventStage <EvtStag>	[0..1]	±		344
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		344
	IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp>	[0..1]	±		344
	LotteryType <LtryTp>	[0..1]	±		345

7.4.12.1 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

DateDetails <DtDtls> contains the following **CorporateActionDate93** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		342
	ExDividendDate <ExDvddDt>	[0..1]	±		342
	LotteryDate <LtryDt>	[0..1]	±		342

7.4.12.1.1 RecordDate <RcrdDt>*Presence:* [0..1]*Definition:* Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.**RecordDate <RcrdDt>** contains one of the following elements (see "[DateFormat41Choice](#)" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

7.4.12.1.2 ExDividendDate <ExDvddDt>*Presence:* [0..1]*Definition:* Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.**ExDividendDate <ExDvddDt>** contains one of the following elements (see "[DateFormat41Choice](#)" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

7.4.12.1.3 LotteryDate <LtryDt>*Presence:* [0..1]*Definition:* Date/time on which the lottery is run and applied to the holder's positions. This is also applicable to partial calls.**LotteryDate <LtryDt>** contains one of the following elements (see "[DateFormat41Choice](#)" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

7.4.12.2 SecuritiesQuantity <SciesQty>*Presence:* [0..1]*Definition:* Provides information about securities quantity linked to a corporate action.**SecuritiesQuantity <SciesQty>** contains the following **CorporateActionQuantity13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BaseDenomination <BaseDnmtn>	[0..1]	±	C20	343
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±	C20	343

7.4.12.2.1 BaseDenomination <BaseDnmtn>*Presence:* [0..1]*Definition:* Minimum integral amount of securities that each account owner must have remaining after the called amounts are applied.*Impacted by:* C20 "DigitalTokenUnitUsageRule"**BaseDenomination <BaseDnmtn>** contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1000 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1000
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1000
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1000
Or	Code <Cd>	[1..1]	CodeSet		1001
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1001

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.12.2.2 IncrementalDenomination <IncrmtlDnmtn>*Presence:* [0..1]*Definition:* Amount used when the called amount is not met by running the lottery with the base denomination.*Impacted by:* C20 "DigitalTokenUnitUsageRule"

IncrementalDenomination <IncrmtlDnmtn> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1000 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1000
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1000
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1000
Or	Code <Cd>	[1..1]	CodeSet		1001
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1001

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.12.3 EventStage <EvtStag>

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat15Choice" on page 884 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		884
Or}	Proprietary <Prtry>	[1..1]	±		884

7.4.12.4 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>

Presence: [0..*]

Definition: Indicates whether the message is related to a claim on the associated corporate action event.

AdditionalBusinessProcessIndicator <AddtlBizPrclnd> contains one of the following elements (see "AdditionalBusinessProcessFormat21Choice" on page 821 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		821
Or}	Proprietary <Prtry>	[1..1]	±		822

7.4.12.5 IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp>

Presence: [0..1]

Definition: Specifies the type of intermediates securities distribution.

IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp> contains one of the following elements (see ["IntermediateSecuritiesDistributionTypeFormat18Choice"](#) on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		914
Or}	Proprietary <Prtry>	[1..1]	±		915

7.4.12.6 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following elements (see ["LotteryTypeFormat5Choice"](#) on page 910 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		911
Or}	Proprietary <Prtry>	[1..1]	±		911

7.4.13 CorporateActionMovementDetails <CorpActnMvmntDtls>

Presence: [0..*]

Definition: Information about the corporate action option.

Impacted by: [C6 "AdditionalTextRule"](#), [C10 "AppliedOptionRule"](#), [C22 "DissenterRights1Rule"](#), [C23 "DissenterRights1UGRule"](#), [C24 "DissenterRights2Rule"](#), [C25 "DissenterRights2UGRule"](#), [C42 "InstructCashAmountRule"](#), [C62 "RevocabilityPeriodRule"](#), [C55 "OptionNumberGuideline"](#)

CorporateActionMovementDetails <CorpActnMvmntDtls> contains the following
CorporateActionOption226 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	Text		354
	OptionType <OptnTp>	[1..1]	±		355
	FractionDisposition <FrctnDspstn>	[0..1]	±		355
	OfferType <OfferTp>	[0..*]	±		355
	OptionFeatures <OptnFeatrs>	[0..*]	±		355
	OptionAvailabilityStatus <OptnAvlbtySts>	[0..1]	±		356
	CertificationBreakdownType <CertfctnBrkdwnTp>	[0..*]	±		356
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C13	356
	ValidDomicileCountry <VldDmclCtry>	[0..*]	CodeSet	C13	356
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	357
	DefaultProcessingOrStandingInstruction <DfltPrcgOrStglnstr>	[1..1]	±		357
	ChargesAppliedIndicator <ChrgsApldInd>	[0..1]	Indicator		357
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		358
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[0..1]	Indicator		358
	ChangeAllowedIndicator <ChngAllwdInd>	[0..1]	Indicator		358
	AppliedOptionIndicator <ApldOptnInd>	[0..1]	Indicator		358
	SecurityIdentification <SctyId>	[0..1]	±	C17, C18, C44, C45, C57	358
	DateDetails <DtDtls>	[0..1]			359
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		360
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		360
	ProtectDeadline <PrctdDdln>	[0..1]	±		361
	MarketDeadline <MktDdln>	[0..1]	±		361
	ResponseDeadline <RspnDdln>	[0..1]	±		361
	ExpiryDate <XpryDt>	[0..1]	±		362
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		362
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		363
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		363

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BorrowerStockLendingDeadline <BrrwrStockLndgDdlIn>	[0..*]			363
	StockLendingDeadline <StockLndgDdlIn>	[1..1]	±		363
	Borrower <Brrwr>	[1..1]	±		364
	PeriodDetails <PrdDtls>	[0..1]			364
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		364
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		365
	ActionPeriod <ActnPrd>	[0..1]	±		365
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		365
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		365
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		366
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		366
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C31, C33, C50, C52, C69, C71, C73, C77, C79	366
	AdditionalTax <AddtlTax>	[0..1]	±		368
	GrossDividendRate <GrssDvddRate>	[0..*]	±		368
	NetDividendRate <NetDvddRate>	[0..*]	±		369
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		370
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	±		371
	ProrationRate <PrratnRate>	[0..1]	±		371
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		372
	SecondLevelTax <ScndLvlTax>	[0..*]	±		372
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		372
	TaxOnIncome <TaxOnIncm>	[0..1]	±		373
	PriceDetails <PricDtls>	[0..1]			373
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		374
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		374
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		374

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		375
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		375
	SecuritiesQuantity <SctiesQty>	[0..1]			375
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±	C21	376
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C21	376
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C20	376
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C20	377
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C20	377
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C20	378
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±	C20	378
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C27, C38, C40, C46	379
	SecurityDetails <SctyDtls>	[1..1]	±	C49, C59	382
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		383
	TemporaryFinancialInstrumentIndicator <TempFinInstrmlInd>	[0..1]	±		383
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]			383
{Or	Code <Cd>	[1..1]	CodeSet		383
Or}	Proprietary <Prtry>	[1..1]	±		384
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbiltyInd>	[0..1]	±		384
	NewSecuritiesIssuanceIndicator <NewSctiesIssnclInd>	[0..1]	CodeSet		384
	IncomeType <IncmTp>	[0..1]	±		385
	OtherIncomeType <OthrIncmTp>	[0..*]	±		385
	ExemptionType <XmptnTp>	[0..*]	±		386
	EntitledQuantity <EntitldQty>	[0..1]	±		386
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		386
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C13	387
	FractionDisposition <FrctnDspstn>	[0..1]	±		387
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	387
	TradingPeriod <TradgPrd>	[0..1]	±		388

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[1..1]			388
	PaymentDate <PmtDt>	[1..1]	±		388
	AvailableDate <AvlblDt>	[0..1]	±		389
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		389
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		389
	PariPassuDate <PrpssDt>	[0..1]	±		390
	LastTradingDate <LastTradgDt>	[0..1]	±		390
	RateDetails <RateDtls>	[0..1]			390
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsIntScies>	[0..1]	±		391
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		391
	NewToOld <NewToOd>	[0..1]	±		392
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		392
	ChargesFees <ChrgsFees>	[0..1]	±		392
	FiscalStamp <FscIStmp>	[0..1]	±		393
	ApplicableRate <AplblRate>	[0..1]	±		393
	TaxCreditRate <TaxCdtRate>	[0..1]	±		393
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		394
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		394
	SecondLevelTax <ScndLvlTax>	[0..*]	±		394
	PriceDetails <PricDtls>	[0..1]		C29	395
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		395
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		396
	CashValueForTax <CshValForTax>	[0..1]	±		396
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		396
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		397
	AmountDetails <AmtDtls>	[0..1]			397
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C14	398
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C14	398
	CashMovementDetails <CshMvmntDtls>	[0..*]		C28, C39,	399

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
				C41, C47	
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		403
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		403
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]	±		404
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbiltyInd>	[0..1]	±		404
	IncomeType <IncmTp>	[0..1]	±		404
	OtherIncomeType <OthrIncmTp>	[0..*]	±		404
	ExemptionType <XmptnTp>	[0..*]	±		405
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C13	405
	CashAccountIdentification <CshAcctId>	[0..1]	±		405
	AmountDetails <AmtDtls>	[0..1]			406
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C14	408
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C14	409
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C14	409
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C14	409
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C14	410
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C14	410
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C14	411
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C14	411
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C14	411
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C14	412
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C14	412
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C14	413
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C14	413
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C14	413
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C14	414
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C14	414
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C14	415
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C14	415
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C14	415

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C14	416
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C14	416
	SecondLevelTaxAmount <ScndLvITaxAmt>	[0..1]	Amount	C1, C14	417
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C14	417
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C14	417
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C14	418
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C14	418
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C14	419
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C14	419
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C14	419
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C14	420
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C14	420
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C14	421
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C14	421
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C14	421
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C14	422
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C14	422
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C14	423
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C14	423
	TransactionTax <TxTax>	[0..1]	Amount	C1, C14	423
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C14	424
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C14	424
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C14	425
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C14	425
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C14	425
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C14	426
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C1, C14	426
	DateDetails <DtDtls>	[1..1]			427
	PaymentDate <PmtDt>	[1..1]	±		427
	ValueDate <ValDt>	[0..1]	±		427

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ForeignExchangeRateFixingDate <FXRateFxdt>	[0..1]	±		428
	EarliestPaymentDate <EarlStPmtDt>	[0..1]	±		428
	ForeignExchangeDetails <FXDtls>	[0..1]	±		428
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C15, C16, C32, C34, C51, C53, C70, C72, C74, C78, C80	429
	AdditionalTax <AddtlTax>	[0..1]	±		431
	GrossDividendRate <GrssDvddRate>	[0..*]	±		431
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		432
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		433
	SecondLevelTax <ScndLvlTax>	[0..*]	±		433
	ChargesFees <ChrgsFees>	[0..1]	±		434
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		434
	FiscalStamp <FscIStmp>	[0..1]	±		435
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		435
	NetDividendRate <NetDvddRate>	[0..*]	±		435
	ApplicableRate <AplblRate>	[0..1]	±		436
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		436
	TaxCreditRate <TaxCdtRate>	[0..1]	±		437
	TaxOnIncome <TaxOnIncm>	[0..1]	±		437
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		437
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		438
	EqualisationRate <EqulstnRate>	[0..1]	±		438
	DeemedRate <DmdRate>	[0..*]	±		438
	PriceDetails <PricDtls>	[0..1]		C30	439
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		440
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		440

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		441
	AdditionalInformation <AddtlInf>	[0..1]		C3	441
	AdditionalText <AddtlTxt>	[0..1]	±		442
	NarrativeVersion <NrtrVrsn>	[0..1]	±		442
	InformationConditions <InfCnds>	[0..1]	±		442
	InformationToComplyWith <InfToCmplyWth>	[0..1]	±		443
	SecurityRestriction <SctyRstrctn>	[0..1]	±		443
	TaxationConditions <TaxtnCnds>	[0..1]	±		443
	Disclaimer <Dsclmr>	[0..1]	±		443
	CertificationBreakdown <CertfctnBrkdwn>	[0..1]	±		444

Constraints

- **AdditionalTextRule**

If OptionType/Code is OTHR (Other), then AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

```
On Condition
    /OptionType is present
    And    /OptionType/Code is equal to value 'Other'
Following Must be True
    /AdditionalInformation Must be present
    And    /AdditionalInformation/AdditionalText Must be present
    And    /AdditionalInformation/AdditionalText/AdditionalInformation Must be
present
```

- **AppliedOptionRule**

If DefaultProcessingOrStandingInstruction/DefaultOptionIndicator is present and its value is '1' or 'true' (Yes), then AppliedOptionIndicator value must not be '1' or 'true' (Yes).

- **DissenterRights1Rule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```
On Condition
    /OfferType[*]/Code is equal to value 'DissenterRights'
Following Must be True
    /OfferType[*]/Code Must be different from value 'DissenterRightsNotApplicable'
```

- **DissenterRights1UGRule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```

if
    at least one occurrence of [OfferType/Code] has value included in the
    following list 'DISS'
, then
    every occurrence of [OfferType/Code] must have value not included in the
    following list 'NDIS'

```

- **DissenterRights2Rule**

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```

On Condition
    /OfferType[*]/Code is equal to value 'DissenterRightsNotApplicable'
Following Must be True
    /OfferType[*]/Code Must be different from value 'DissenterRights'

```

- **DissenterRights2UGRule**

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

```

if
    at least one occurrence of [OfferType/Code] has value included in the
    following list 'NDIS'
, then
    every occurrence of [OfferType/Code] must have value not included in the
    following list 'DISS'

```

- **InstructCashAmountRule**

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMultipleCashToInstruct) must not be present.

(MT564 NVR C20).

```

On Condition
    /OptionFeatures[*]/Code is different from value 'InstructCashAmount'
Following Must be True
    /PriceDetails/MaximumCashToInstruct Must be absent
And    /PriceDetails/MinimumCashToInstruct Must be absent
And    /PriceDetails/MinimumMultipleCashToInstruct Must be absent

```

- **OptionNumberGuideline**

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

- **RevocabilityPeriodRule**

If WithdrawalAllowedIndicator or ChangeAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the change or withdrawal of instruction is allowed.

7.4.13.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: ["Exact3NumericText" on page 1182](#)

7.4.13.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see ["CorporateActionOption46Choice" on page 840](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		841

7.4.13.3 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see ["FractionDispositionType31Choice" on page 907](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		907
Or}	Proprietary <Prtry>	[1..1]	±		908

7.4.13.4 OfferType <OfferTp>

Presence: [0..*]

Definition: Specifies the conditions that apply to the offer.

OfferType <OfferTp> contains one of the following elements (see ["OfferTypeFormat13Choice" on page 824](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		824
Or}	Proprietary <Prtry>	[1..1]	±		825

7.4.13.5 OptionFeatures <OptnFeatrs>

Presence: [0..*]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following elements (see "OptionFeaturesFormat31Choice" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		817
Or}	Proprietary <Prtry>	[1..1]	±		818

7.4.13.6 OptionAvailabilityStatus <OptnAvlbtys>

Presence: [0..1]

Definition: Specifies the status of the option.

OptionAvailabilityStatus <OptnAvlbtys> contains one of the following elements (see "OptionAvailabilityStatus4Choice" on page 906 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		907
Or}	Proprietary <Prtry>	[1..1]	±		907

7.4.13.7 CertificationBreakdownType <CertfctnBrkdwnTp>

Presence: [0..*]

Definition: Type of certification/breakdown.

CertificationBreakdownType <CertfctnBrkdwnTp> contains one of the following elements (see "BeneficiaryCertificationType12Choice" on page 905 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		905
Or}	Proprietary <Prtry>	[1..1]	±		906

7.4.13.8 NonDomicileCountry <NonDmclCtry>

Presence: [0..*]

Definition: Holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

Impacted by: C13 "Country"

Datatype: "CountryCode" on page 1143

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.13.9 ValidDomicileCountry <VldDmclCtry>

Presence: [0..*]

Definition: Country of domicile in which the Corporate Action option is valid. The holder of the security has to certify that it is domiciled in the country indicated.

Impacted by: C13 "Country"

Datatype: "CountryCode" on page 1143

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.13.10 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited or debited.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1096

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.11 DefaultProcessingOrStandingInstruction <DfltPrcgOrStglInstr>

Presence: [1..1]

Definition: Indicates whether the corporate action movement is a default processing or a standing instruction.

DefaultProcessingOrStandingInstruction <DfltPrcgOrStglInstr> contains one of the following elements (see "DefaultProcessingOrStandingInstruction1Choice" on page 809 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DefaultOptionIndicator <DfltOptnInd>	[1..1]	Indicator		809
Or}	StandingInstructionIndicator <StglInstrInd>	[1..1]	Indicator		810

7.4.13.12 ChargesAppliedIndicator <ChrgsAppldInd>

Presence: [0..1]

Definition: Indicates whether redemption charges apply.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.13 CertificationBreakdownIndicator <CertfctnBrkdwnInd>

Presence: [0..1]

Definition: Indicates whether certification/breakdown is required from the account owner.

Yes: certification required

No: no certification required.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.14 WithdrawalAllowedIndicator <WdrwlAllwdInd>

Presence: [0..1]

Definition: Indicates whether withdrawal of instruction is allowed.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.15 ChangeAllowedIndicator <ChngAllwdInd>

Presence: [0..1]

Definition: Indicates whether change of instruction is allowed.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.16 AppliedOptionIndicator <ApldOptnInd>

Presence: [0..1]

Definition: Indicates whether the option, different from the default one, shall be applied by the account owner.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.17 SecurityIdentification <Sctyld>

Presence: [0..1]

Definition: Identifies the financial instrument.

Impacted by: [C17 "DescriptionPresenceRule"](#), [C18 "DescriptionUsageRule"](#), [C44 "ISINGuideline"](#), [C45 "ISINPresenceRule"](#), [C57 "OtherIdentificationPresenceRule"](#)

SecurityIdentification <Sctyld> contains the following elements (see "SecurityIdentification20" on page 766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		767
	OtherIdentification <Othrlid>	[0..*]			767
	Identification <Id>	[1..1]	Text		768
	Suffix <Sfx>	[0..1]	Text		768
	Type <Tp>	[1..1]	±		768
	Description <Desc>	[0..1]	Text		768

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

7.4.13.18 DateDetails <DtDtIs>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action option.

DateDetails <DtDtls> contains the following **CorporateActionDate81** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		360
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		360
	ProtectDeadline <PrctDdln>	[0..1]	±		361
	MarketDeadline <MktDdln>	[0..1]	±		361
	ResponseDeadline <RspnDdln>	[0..1]	±		361
	ExpiryDate <XpryDt>	[0..1]	±		362
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		362
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		363
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		363
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			363
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		363
	Borrower <Brrwr>	[1..1]	±		364

7.4.13.18.1 EarlyResponseDeadline <EarlyRspnDdln>

Presence: [0..1]

Definition: Date/time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives.

EarlyResponseDeadline <EarlyRspnDdln> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

7.4.13.18.2 CoverExpirationDeadline <CoverXprtnDdln>

Presence: [0..1]

Definition: Last day a holder can deliver the securities that it had elected on and/or previously protected.

CoverExpirationDeadline <CoverXprtnDdln> contains one of the following elements (see "DateFormat43Choice" on page 750 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		750
Or}	DateCode <DtCd>	[1..1]			750
{Or	Code <Cd>	[1..1]	CodeSet		750
Or}	Proprietary <Prtry>	[1..1]	±		751

7.4.13.18.3 ProtectDeadline <PrctctDdln>

Presence: [0..1]

Definition: Last date/time a holder can request to defer delivery of securities pursuant to a notice of guaranteed delivery or other required documentation.

ProtectDeadline <PrctctDdln> contains one of the following elements (see "DateFormat43Choice" on page 750 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		750
Or}	DateCode <DtCd>	[1..1]			750
{Or	Code <Cd>	[1..1]	CodeSet		750
Or}	Proprietary <Prtry>	[1..1]	±		751

7.4.13.18.4 MarketDeadline <MktDdln>

Presence: [0..1]

Definition: Issuer or issuer's agent deadline to respond with an instruction to an outstanding offer or privilege.

MarketDeadline <MktDdln> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

7.4.13.18.5 ResponseDeadline <RspnDdln>

Presence: [0..1]

Definition: Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event.

ResponseDeadline <RspnDdlIn> contains one of the following elements (see "DateFormat54Choice" on page 746 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		746
Or	DateCodeAndTime <DtCdAndTm>	[1..1]			746
	DateCode <DtCd>	[1..1]			746
{Or	Code <Cd>	[1..1]	CodeSet		747
Or}	Proprietary <Prtry>	[1..1]	±		747
	Time <Tm>	[1..1]	Time		747
Or}	DateCode <DtCd>	[1..1]			747
{Or	Code <Cd>	[1..1]	CodeSet		748
Or}	Proprietary <Prtry>	[1..1]	±		748

7.4.13.18.6 ExpiryDate <XpryDt>

Presence: [0..1]

Definition: Date/time at which an order expires or on which a privilege or offer terminates.

ExpiryDate <XpryDt> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

7.4.13.18.7 SubscriptionCostDebitDate <SbcptCostDbtDt>

Presence: [0..1]

Definition: Date/time by which cash must be in place in order to take part in the event.

SubscriptionCostDebitDate <SbcptCostDbtDt> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

7.4.13.18.8 DepositoryCoverExpirationDate <DpstryCoverXprtnDt>*Presence:* [0..1]*Definition:* Last day that a participant of the depository can deliver securities that it had elected on and/or previously protected.**DepositoryCoverExpirationDate <DpstryCoverXprtnDt>** contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

7.4.13.18.9 StockLendingDeadline <StockLndgDdlIn>*Presence:* [0..1]*Definition:* Date/time set as the deadline to respond, with instructions, to an outstanding event, for which the underlying security is out on loan.**StockLendingDeadline <StockLndgDdlIn>** contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

7.4.13.18.10 BorrowerStockLendingDeadline <BrrwrStockLndgDdlIn>*Presence:* [0..*]*Definition:* Specifies the party borrowing stocks and the associated stock lending deadline assigned to the borrower.**BorrowerStockLendingDeadline <BrrwrStockLndgDdlIn>** contains the following **BorrowerLendingDeadline6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StockLendingDeadline <StockLndgDdlIn>	[1..1]	±		363
	Borrower <Brrwr>	[1..1]	±		364

7.4.13.18.10.1 StockLendingDeadline <StockLndgDdlIn>*Presence:* [1..1]

Definition: Date/time set as the deadline to respond, with instructions, to an outstanding event for which the underlying security is out on loan.

StockLendingDeadline <StockLndgDdln> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

7.4.13.18.10.2 Borrower <Brrwr>

Presence: [1..1]

Definition: Party who has borrowed stocks on loan.

Borrower <Brrwr> contains one of the following elements (see "PartyIdentification136Choice" on page 945 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	945
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		946

7.4.13.19 PeriodDetails <PrdDtls>

Presence: [0..1]

Definition: Provides information about the periods related to a corporate action option.

PeriodDetails <PrdDtls> contains the following **CorporateActionPeriod12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		364
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		365
	ActionPeriod <ActnPrd>	[0..1]	±		365
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		365
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		365
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		366
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		366

7.4.13.19.1 PriceCalculationPeriod <PricClctnPrd>

Presence: [0..1]

Definition: Period during which the price of a security is determined.

PriceCalculationPeriod <PricClctnPrd> contains one of the following elements (see "[Period6Choice](#)" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

7.4.13.19.2 ParallelTradingPeriod <ParlITradgPrd>

Presence: [0..1]

Definition: Period during which both old and new equity may be traded simultaneously, for example, consolidation of equity or splitting of equity.

ParallelTradingPeriod <ParlITradgPrd> contains one of the following elements (see "[Period6Choice](#)" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

7.4.13.19.3 ActionPeriod <ActnPrd>

Presence: [0..1]

Definition: Period during which the specified option, or all options of the event, remains valid, for example, offer period.

ActionPeriod <ActnPrd> contains one of the following elements (see "[Period6Choice](#)" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

7.4.13.19.4 RevocabilityPeriod <RvcbltyPrd>

Presence: [0..1]

Definition: Period during which the shareholder can revoke, change or withdraw its instruction.

RevocabilityPeriod <RvcbltyPrd> contains one of the following elements (see "[Period6Choice](#)" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

7.4.13.19.5 PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>

Presence: [0..1]

Definition: Period during which the privilege is not available, for example, this can happen whenever a meeting takes place or whenever a coupon payment is due.

PrivilegeSuspensionPeriod <PrvlgSspnsnPrd> contains one of the following elements (see "Period6Choice" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

7.4.13.19.6 AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>

Presence: [0..1]

Definition: Period during which the participant of the account servicer can revoke change or withdraw its instructions.

AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd> contains one of the following elements (see "Period6Choice" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

7.4.13.19.7 DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>

Presence: [0..1]

Definition: Period defining the last date on which withdrawal in street name requests on the outturn security will be accepted and the date on which the suspension will be released and withdrawal by transfer processing on the outturn security will resume.

DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl> contains one of the following elements (see "Period6Choice" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

7.4.13.20 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action option.

Impacted by: C31 "GrossDividendRate2Rule", C33 "GrossDividendRate3Rule", C50 "NetDividendRate1Rule", C52 "NetDividendRate2Rule", C69 "SecondLevelTax1Rule", C71 "SecondLevelTax2Rule", C73 "SecondLevelTax3Rule", C77 "WithholdingTaxRate1Rule", C79 "WithholdingTaxRate2Rule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate109** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]	±		368
	GrossDividendRate <GrssDvddRate>	[0..*]	±		368
	NetDividendRate <NetDvddRate>	[0..*]	±		369
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		370
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>	[0..1]	±		371
	ProrationRate <PrratnRate>	[0..1]	±		371
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		372
	SecondLevelTax <ScndLvITax>	[0..*]	±		372
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		372
	TaxOnIncome <TaxOnIncm>	[0..1]	±		373

Constraints

- **GrossDividendRate2Rule**

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate.

(MT 564 NVR C8).

- **GrossDividendRate3Rule**

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

- **NetDividendRate1Rule**

If NetDividendRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

- **NetDividendRate2Rule**

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present.

On Condition
/SecondLevelTax[*] is present
Following Must be True
/WithholdingTaxRate[*] Must be present

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

7.4.13.20.1 AdditionalTax <AddtlTax>

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

AdditionalTax <AddtlTax> contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1055 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1056

7.4.13.20.2 GrossDividendRate <GrssDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity before deductions or allowances have been made.

GrossDividendRate <GrssDvddRate> contains one of the following elements (see "GrossDividendRateFormat41Choice" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	864
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			864
	Amount <Amt>	[1..1]	Amount	C1	865
	RateStatus <RateSts>	[1..1]	CodeSet		865
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			865
	RateType <RateTp>	[1..1]			865
{Or	Code <Cd>	[1..1]	CodeSet		866
Or}	Proprietary <Prtry>	[1..1]	±		866
	Amount <Amt>	[1..1]	Amount	C1	867
	RateStatus <RateSts>	[0..1]		C100	867
{Or	Code <Cd>	[1..1]	CodeSet		867
Or}	Proprietary <Prtry>	[1..1]	±		868
Or}	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		868

7.4.13.20.3 NetDividendRate <NetDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity after deductions or allowances have been made.

NetDividendRate <NetDvddRate> contains one of the following elements (see "NetDividendRateFormat43Choice" on page 858 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	859
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			859
	Amount <Amt>	[1..1]	Amount	C1	860
	RateStatus <RateSts>	[1..1]	CodeSet		860
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			860
	RateType <RateTp>	[1..1]			860
{Or	Code <Cd>	[1..1]	CodeSet		861
Or}	Proprietary <Prtry>	[1..1]	±		861
	Amount <Amt>	[1..1]	Amount	C1	862
	RateStatus <RateSts>	[0..1]		C100	862
{Or	Code <Cd>	[1..1]	CodeSet		862
Or}	Proprietary <Prtry>	[1..1]	±		863
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		863

7.4.13.20.4 InterestRateUsedForPayment <IntrstRateUsdForPmt>

Presence: [0..*]

Definition: The actual interest rate used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

InterestRateUsedForPayment <IntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat10Choice" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		902
Or	Amount <Amt>	[1..1]	Amount	C1	902
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			903
	RateType <RateTp>	[1..1]			903
{Or	Code <Cd>	[1..1]	CodeSet		903
Or}	Proprietary <Prtry>	[1..1]	±		904
	Amount <Amt>	[1..1]	Amount	C1	904
	RateStatus <RateSts>	[0..1]		C100	904
{Or	Code <Cd>	[1..1]	CodeSet		904
Or}	Proprietary <Prtry>	[1..1]	±		905
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		905

7.4.13.20.5 MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>

Presence: [0..1]

Definition: Maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, for example, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50 percent.

MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate> contains one of the following elements (see "RateFormat3Choice" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

7.4.13.20.6 ProrationRate <PrratnRate>

Presence: [0..1]

Definition: Percentage of securities accepted by the offeror/issuer.

ProrationRate <PrratnRate> contains one of the following elements (see "RateFormat3Choice" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

7.4.13.20.7 WithholdingTaxRate <WhldgTaxRate>*Presence:* [0..*]*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.**WithholdingTaxRate <WhldgTaxRate>** contains one of the following elements (see "RateAndAmountFormat47Choice" on page 898 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		899
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		899
Or	Amount <Amt>	[1..1]	Amount	C1	899
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			899
	RateType <RateTp>	[1..1]			900
{Or	Code <Cd>	[1..1]	CodeSet		900
Or}	Proprietary <Prtry>	[1..1]	±		900
	Rate <Rate>	[1..1]	Rate		901

7.4.13.20.8 SecondLevelTax <ScndLvITax>*Presence:* [0..*]*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.**SecondLevelTax <ScndLvITax>** contains one of the following elements (see "RateAndAmountFormat47Choice" on page 898 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		899
Or	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		899
Or	Amount <Amt>	[1..1]	Amount	C1	899
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			899
	RateType <RateTp>	[1..1]			900
{Or	Code <Cd>	[1..1]	CodeSet		900
Or}	Proprietary <Prtry>	[1..1]	±		900
	Rate <Rate>	[1..1]	Rate		901

7.4.13.20.9 TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>*Presence:* [0..*]

Definition: Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments, for example, in the context of the EU Savings directive.

TaxableIncomePerDividendShare <TaxblIncmPerDvddShr> contains the following elements (see "RateTypeAndAmountAndStatus33" on page 896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			896
{Or	Code <Cd>	[1..1]	CodeSet		897
Or}	Proprietary <Prtry>	[1..1]	±		897
	Amount <Amt>	[1..1]	Amount	C1	897
	RateStatus <RateSts>	[0..1]		C100	897
{Or	Code <Cd>	[1..1]	CodeSet		898
Or}	Proprietary <Prtry>	[1..1]	±		898

7.4.13.20.10 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

TaxOnIncome <TaxOnIncm> contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1055 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1056

7.4.13.21 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

PriceDetails <PricDtls> contains the following **CorporateActionPrice69** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		374
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		374
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		374
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		375
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		375

7.4.13.21.1 CashInLieuOfSharePrice <CshInLieuOfShrPric>*Presence:* [0..1]*Definition:* Cash disbursement in lieu of equities; usually in lieu of fractional quantity.**CashInLieuOfSharePrice <CshInLieuOfShrPric>** contains one of the following elements (see "PriceFormat57Choice" on page 983 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			984
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		984
	PriceValue <PricVal>	[1..1]	Rate		984
Or	AmountPrice <AmtPric>	[1..1]	±		984
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		985

7.4.13.21.2 OverSubscriptionDepositPrice <OverSbcptDpstPric>*Presence:* [0..1]*Definition:* Amount of money required per over-subscribed equity as defined by the issuer.**OverSubscriptionDepositPrice <OverSbcptDpstPric>** contains one of the following elements (see "PriceFormat57Choice" on page 983 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			984
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		984
	PriceValue <PricVal>	[1..1]	Rate		984
Or	AmountPrice <AmtPric>	[1..1]	±		984
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		985

7.4.13.21.3 MaximumCashToInstruct <MaxCshToInst>*Presence:* [0..1]*Definition:* Maximum cash amount that may be instructed.**MaximumCashToInstruct <MaxCshToInst>** contains one of the following elements (see "PriceFormat62Choice" on page 977 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			977
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		978
	PriceValue <PricVal>	[1..1]	Amount	C1	978
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		978

7.4.13.21.4 MinimumCashToInstruct <MinCshToInst>*Presence:* [0..1]*Definition:* Minimum cash amount that may be instructed.**MinimumCashToInstruct <MinCshToInst>** contains one of the following elements (see "PriceFormat62Choice" on page 977 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			977
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		978
	PriceValue <PricVal>	[1..1]	Amount	C1	978
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		978

7.4.13.21.5 MinimumMultipleCashToInstruct <MinMltplCshToInst>*Presence:* [0..1]*Definition:* Minimum multiple of a cash amount that may be instructed.**MinimumMultipleCashToInstruct <MinMltplCshToInst>** contains one of the following elements (see "PriceFormat62Choice" on page 977 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			977
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		978
	PriceValue <PricVal>	[1..1]	Amount	C1	978
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		978

7.4.13.22 SecuritiesQuantity <SctiesQty>*Presence:* [0..1]*Definition:* Provides information about securities quantity related to a corporate action option.**SecuritiesQuantity <SctiesQty>** contains the following **SecuritiesOption84** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±	C21	376
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C21	376
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C20	376
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±	C20	377
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±	C20	377
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±	C20	378
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±	C20	378

7.4.13.22.1 MaximumQuantityToInstruct <MaxQtyToInst>*Presence:* [0..1]*Definition:* Maximum quantity of financial instrument that may be instructed.*Impacted by:* C21 "DigitalTokenUnitUsageRule"**MaximumQuantityToInstruct <MaxQtyToInst>** contains one of the following elements (see "FinancialInstrumentQuantity44Choice" on page 999 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		999
Or	FaceAmount <FaceAmt>	[1..1]	Amount		999
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		999
Or	Code <Cd>	[1..1]	CodeSet		999
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1000

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.22.2 MinimumQuantityToInstruct <MinQtyToInst>*Presence:* [0..1]*Definition:* Minimum quantity of financial instrument that may be instructed.*Impacted by:* C21 "DigitalTokenUnitUsageRule"**MinimumQuantityToInstruct <MinQtyToInst>** contains one of the following elements (see "FinancialInstrumentQuantity44Choice" on page 999 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		999
Or	FaceAmount <FaceAmt>	[1..1]	Amount		999
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		999
Or	Code <Cd>	[1..1]	CodeSet		999
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1000

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.22.3 MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>*Presence:* [0..1]*Definition:* Minimum multiple quantity of financial instrument that may be instructed.

Impacted by: C20 "DigitalTokenUnitUsageRule"

MinimumMultipleQuantityToInstruct <MinMltplQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1000 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1000
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1000
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1000
Or	Code <Cd>	[1..1]	CodeSet		1001
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1001

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.22.4 NewBoardLotQuantity <NewBrdLotQty>

Presence: [0..1]

Definition: Quantity of equity that makes up the new board lot.

Impacted by: C20 "DigitalTokenUnitUsageRule"

NewBoardLotQuantity <NewBrdLotQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1000 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1000
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1000
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1000
Or	Code <Cd>	[1..1]	CodeSet		1001
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1001

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.22.5 NewDenominationQuantity <NewDnmtnQty>

Presence: [0..1]

Definition: New denomination of the equity following, for example, an increase or decrease in nominal value.

Impacted by: C20 "DigitalTokenUnitUsageRule"

NewDenominationQuantity <NewDnmtnQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1000 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1000
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1000
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1000
Or	Code <Cd>	[1..1]	CodeSet		1001
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1001

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.22.6 FrontEndOddLotQuantity <FrntEndOddLotQty>

Presence: [0..1]

Definition: Specifies that if an order is prorated holders of odd lots who tender their full position will not have tendered position prorated but rather accepted in full.

Impacted by: C20 "DigitalTokenUnitUsageRule"

FrontEndOddLotQuantity <FrntEndOddLotQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1000 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1000
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1000
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1000
Or	Code <Cd>	[1..1]	CodeSet		1001
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1001

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.22.7 BackEndOddLotQuantity <BckEndOddLotQty>

Presence: [0..1]

Definition: Represents the presence of a back end odd lot provision and the quantity of equity required after proration to be eligible for this privilege.

Impacted by: C20 "DigitalTokenUnitUsageRule"

BackEndOddLotQuantity <BckEndOddLotQty> contains one of the following elements (see "FinancialInstrumentQuantity43Choice" on page 1000 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1000
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1000
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1000
Or	Code <Cd>	[1..1]	CodeSet		1001
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1001

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

7.4.13.23 SecuritiesMovementDetails <SctiesMvmntDtls>

Presence: [0..*]

Definition: Provides information about securities movement related to a corporate action option.

Impacted by: C27 "ExemptionTypeUsageRule", C38 "IncomeType1Rule", C40 "IncomeTypeUsageRule", C46 "IssuerOfferorTaxabilityIndicatorUsageRule"

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following **SecuritiesOption105** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityDetails <SctyDtls>	[1..1]	±	C49, C59	382
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		383
	TemporaryFinancialInstrumentIndicator <TempFinInstrmlInd>	[0..1]	±		383
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]			383
{Or	Code <Cd>	[1..1]	CodeSet		383
Or}	Proprietary <Prtry>	[1..1]	±		384
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		384
	NewSecuritiesIssuanceIndicator <NewSctiesIssnclInd>	[0..1]	CodeSet		384
	IncomeType <IncmTp>	[0..1]	±		385
	OtherIncomeType <OthrIncmTp>	[0..*]	±		385
	ExemptionType <XmptnTp>	[0..*]	±		386
	EntitledQuantity <EntitldQty>	[0..1]	±		386
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		386
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C13	387
	FractionDisposition <FrctnDspstn>	[0..1]	±		387
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	387
	TradingPeriod <TradgPrd>	[0..1]	±		388
	DateDetails <DtDtls>	[1..1]			388
	PaymentDate <PmtDt>	[1..1]	±		388
	AvailableDate <AvlblDt>	[0..1]	±		389
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		389
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		389
	PariPassuDate <PrpssDt>	[0..1]	±		390
	LastTradingDate <LastTradgDt>	[0..1]	±		390
	RateDetails <RateDtls>	[0..1]			390
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbdRsltntScties>	[0..1]	±		391
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		391
	NewToOld <NewToOd>	[0..1]	±		392

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		392
	ChargesFees <ChrgsFees>	[0..1]	±		392
	FiscalStamp <FscIStmp>	[0..1]	±		393
	ApplicableRate <AplblRate>	[0..1]	±		393
	TaxCreditRate <TaxCdtRate>	[0..1]	±		393
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		394
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		394
	SecondLevelTax <ScndLvITax>	[0..*]	±		394
	PriceDetails <PricDtls>	[0..1]		C29	395
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		395
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		396
	CashValueForTax <CshValForTax>	[0..1]	±		396
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		396
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		397
	AmountDetails <AmtDtls>	[0..1]			397
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C14	398
	SecondLevelTaxAmount <ScndLvITaxAmt>	[0..1]	Amount	C1, C14	398

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeType1Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

```
On Condition
  /OtherIncomeType[*] is present
Following Must be True
  /IncomeType Must be present
```

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

7.4.13.23.1 SecurityDetails <SctyDtls>

Presence: [1..1]

Definition: Provides description of the financial instrument related to securities movement.

Impacted by: [C49 "MaturityDateRule"](#), [C59 "PreviousNextFactorRule"](#)

SecurityDetails <SctyDtls> contains the following elements (see ["FinancialInstrumentAttributes115"](#) on page 779 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C11, C12, C18, C19, C24	781
	PlaceOfListing <PlcOfListg>	[0..1]	±		782
	DayCountBasis <DayCntBsis>	[0..1]	±		782
	ClassificationType <ClssfctnTp>	[0..1]	±		782
	OptionStyle <OptnStyle>	[0..1]	±		782
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	783
	NextCouponDate <NxtCpnDt>	[0..1]	Date		783
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		783
	MaturityDate <MtrtyDt>	[0..1]	Date		783
	IssueDate <IsseDt>	[0..1]	Date		783
	NextCallableDate <NxtCllblDt>	[0..1]	Date		784
	PutableDate <PutblDt>	[0..1]	Date		784
	DatedDate <DtdDt>	[0..1]	Date		784
	ConversionDate <ConvsDt>	[0..1]	Date		784
	PreviousFactor <PrvsFctr>	[0..1]	±		784
	NextFactor <NxtFctr>	[0..1]	±		784
	InterestRate <IntrstRate>	[0..1]	±		785
	NextInterestRate <NxtIntrstRate>	[0..1]	±		785
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C13	785
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C13	786
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C13	786
	ContractSize <CtrctSz>	[0..1]	±	C13	787
	IssuePrice <IssePric>	[0..1]	±		787

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

7.4.13.23.2 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1144

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

7.4.13.23.3 TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>

Presence: [0..1]

Definition: Specifies that the security identified is a temporary security identification used for processing reasons, for example, contra security used in the US.

TemporaryFinancialInstrumentIndicator <TempFinInstrmInd> contains one of the following elements (see "TemporaryFinancialInstrumentIndicator4Choice" on page 895 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TemporaryIndicator <TempInd>	[1..1]	Indicator		896
Or}	Proprietary <Prtry>	[1..1]	±		896

7.4.13.23.4 NonEligibleProceedsIndicator <NonElgblPrcdsInd>

Presence: [0..1]

Definition: Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

NonEligibleProceedsIndicator <NonElgblPrcdsInd> contains one of the following **NonEligibleProceedsIndicator6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		383
Or}	Proprietary <Prtry>	[1..1]	±		384

7.4.13.23.4.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify information regarding the non eligibility of the outturn resources.

Datatype: "NonEligibleProceedsIndicator2Code" on page 1160

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.
NELS	NonEligibleSecurity	Security not eligible in the Central Securities Depository (CSD).

7.4.13.23.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the non eligibility of the outturn resources.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

7.4.13.23.5 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd> contains one of the following elements (see "[IssuerOfferorTaxabilityIndicator1Choice](#)" on page 931 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		931
Or}	Proprietary <Prtry>	[1..1]	±		931

7.4.13.23.6 NewSecuritiesIssuanceIndicator <NewScitiesIssncInd>

Presence: [0..1]

Definition: Specifies the nature of the securities issue.

Datatype: "NewSecuritiesIssuanceType5Code" on page 1159

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial

CodeName	Name	Definition
		Defeasance PDEF corporate action event.
EXIS	ExistingIssue	Securities proceeds are not new issues.
NEIS	NewIssue	Securities proceeds are newly issued.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.

7.4.13.23.7 IncomeType <IncmTp>

Presence: [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

IncomeType <IncmTp> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

7.4.13.23.8 OtherIncomeType <OthrIncmTp>

Presence: [0..*]

Definition: Type of income to be used under another tax regime.

OtherIncomeType <OthrIncmTp> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

7.4.13.23.9 ExemptionType <XmptnTp>

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

7.4.13.23.10 EntitledQuantity <EntitldQty>

Presence: [0..1]

Definition: Quantity of securities based on the terms of the corporate action event and balance of underlying securities entitled to the account owner. (This quantity can be positive or negative).

EntitledQuantity <EntitldQty> contains one of the following elements (see "[Quantity54Choice](#)" on page 996 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C13	996
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		997

7.4.13.23.11 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat39Choice" on page 1063 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1064
Or	Country <Ctry>	[1..1]	CodeSet	C3	1064
Or	TypeAndIdentification <TpAndId>	[1..1]			1064
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1065
	Identification <Id>	[1..1]	IdentifierSet	C1	1065
Or}	Proprietary <Prtry>	[1..1]	±		1065

7.4.13.23.12 CountryOfIncomeSource <CtryOfIncmSrc>

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Impacted by: C13 "Country"

Datatype: "CountryCode" on page 1143

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.13.23.13 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "FractionDispositionType31Choice" on page 907 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		907
Or}	Proprietary <Prtry>	[1..1]	±		908

7.4.13.23.14 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited or debited.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1096

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.23.15 TradingPeriod <TradgPrd>

Presence: [0..1]

Definition: Period during which intermediate or outturn securities are tradable in a secondary market.

TradingPeriod <TradgPrd> contains one of the following elements (see "[Period6Choice](#)" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

7.4.13.23.16 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to securities movement.

DateDetails <DtDtls> contains the following **SecurityDate23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[1..1]	±		388
	AvailableDate <AvlblDt>	[0..1]	±		389
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		389
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		389
	PariPassuDate <PrpssDt>	[0..1]	±		390
	LastTradingDate <LastTradgDt>	[0..1]	±		390

7.4.13.23.16.1 PaymentDate <PmtDt>

Presence: [1..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

7.4.13.23.16.2 AvailableDate <AvlblDt>

Presence: [0..1]

Definition: Date/time at which securities become available for trading, for example first dealing date.

AvailableDate <AvlblDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

7.4.13.23.16.3 DividendRankingDate <DvddRnkgDt>

Presence: [0..1]

Definition: Date/time at which a security will be entitled to a dividend.

DividendRankingDate <DvddRnkgDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

7.4.13.23.16.4 EarliestPaymentDate <EarlstPmtDt>

Presence: [0..1]

Definition: Date/time at which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlstPmtDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

7.4.13.23.16.5 PariPassuDate <PrpssDt>

Presence: [0..1]

Definition: Date/time at which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

PariPassuDate <PrpssDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

7.4.13.23.16.6 LastTradingDate <LastTradgDt>

Presence: [0..1]

Definition: Date/time at which the securities to be reorganised will cease to be tradeable.

LastTradingDate <LastTradgDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

7.4.13.23.17 RateDetails <RateDtls>

Presence: [0..1]

Definition: Provides information about the rates related to securities movement.

RateDetails <RateDtls> contains the following **CorporateActionRate115** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScies>	[0..1]	±		391
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		391
	NewToOld <NewToOd>	[0..1]	±		392
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		392
	ChargesFees <ChrgsFees>	[0..1]	±		392
	FiscalStamp <FscIStmp>	[0..1]	±		393
	ApplicableRate <AplblRate>	[0..1]	±		393
	TaxCreditRate <TaxCdtRate>	[0..1]	±		393
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		394
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		394
	SecondLevelTax <ScndLvITax>	[0..*]	±		394

7.4.13.23.17.1 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScies>

Presence: [0..1]

Definition: Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScies> contains one of the following elements (see "RatioFormat23Choice" on page 893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		893
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		894
Or}	AmountToAmount <AmtToAmt>	[1..1]			894
	Amount1 <Amt1>	[1..1]	Amount	C1	894
	Amount2 <Amt2>	[1..1]	Amount	C1	894

7.4.13.23.17.2 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>

Presence: [0..1]

Definition: Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, for example, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies> contains one of the following elements (see "RatioFormat23Choice" on page 893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		893
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		894
Or}	AmountToAmount <AmtToAmt>	[1..1]			894
	Amount1 <Amt1>	[1..1]	Amount	C1	894
	Amount2 <Amt2>	[1..1]	Amount	C1	894

7.4.13.23.17.3 NewToOld <NewToOd>

Presence: [0..1]

Definition: Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, for example, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

NewToOld <NewToOd> contains one of the following elements (see "RatioFormat24Choice" on page 890 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		890
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		891
Or	AmountToAmount <AmtToAmt>	[1..1]			891
	Amount1 <Amt1>	[1..1]	Amount	C1	891
	Amount2 <Amt2>	[1..1]	Amount	C1	891
Or	AmountToQuantity <AmtToQty>	[1..1]			892
	Amount <Amt>	[1..1]	Amount	C1	892
	Quantity <Qty>	[1..1]	Quantity		892
Or}	QuantityToAmount <QtyToAmt>	[1..1]			892
	Amount <Amt>	[1..1]	Amount	C1	893
	Quantity <Qty>	[1..1]	Quantity		893

7.4.13.23.17.4 TransformationRate <TrfrmatnRate>

Presence: [0..1]

Definition: Rate used to determine the cash consideration split across outturn settlement transactions that are the result of a transformation of the parent transaction.

Datatype: "PercentageRate" on page 1182

7.4.13.23.17.5 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following elements (see "[RateAndAmountFormat46Choice](#)" on page 1055 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1056

7.4.13.23.17.6 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

FiscalStamp <FscIStmp> contains one of the following elements (see "[RateFormat3Choice](#)" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

7.4.13.23.17.7 ApplicableRate <AplblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

ApplicableRate <AplblRate> contains one of the following elements (see "[RateFormat3Choice](#)" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

7.4.13.23.17.8 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

TaxCreditRate <TaxCdtRate> contains one of the following elements (see "[RateFormat21Choice](#)" on page 1054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1054
Or	Amount <Amt>	[1..1]	Amount	C1	1054
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1054

7.4.13.23.17.9 FinancialTransactionTaxRate <FinTxTaxRate>*Presence:* [0..1]*Definition:* Rate of financial transaction tax.**FinancialTransactionTaxRate <FinTxTaxRate>** contains one of the following elements (see "RateFormat3Choice" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

7.4.13.23.17.10 WithholdingTaxRate <WhldgTaxRate>*Presence:* [0..*]*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.**WithholdingTaxRate <WhldgTaxRate>** contains one of the following elements (see "RateAndAmountFormat47Choice" on page 898 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		899
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		899
Or	Amount <Amt>	[1..1]	Amount	C1	899
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			899
	RateType <RateTp>	[1..1]			900
{Or	Code <Cd>	[1..1]	CodeSet		900
Or}	Proprietary <Prtry>	[1..1]	±		900
	Rate <Rate>	[1..1]	Rate		901

7.4.13.23.17.11 SecondLevelTax <ScndLvlTax>*Presence:* [0..*]*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following elements (see "RateAndAmountFormat47Choice" on page 898 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		899
Or	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		899
Or	Amount <Amt>	[1..1]	Amount	C1	899
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			899
	RateType <RateTp>	[1..1]			900
{Or	Code <Cd>	[1..1]	CodeSet		900
Or}	Proprietary <Prtry>	[1..1]	±		900
	Rate <Rate>	[1..1]	Rate		901

7.4.13.23.18 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to securities movement.

Impacted by: C29 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **CorporateActionPrice79** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		395
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		396
	CashValueForTax <CshValForTax>	[0..1]	±		396
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		396
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		397

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

7.4.13.23.18.1 IndicativeOrMarketPrice <IndctvOrMktPric>

Presence: [0..1]

Definition: Indicates whether the price is an indicative price or a market price.

IndicativeOrMarketPrice <IndctvOrMktPric> contains one of the following elements (see "IndicativeOrMarketPrice11Choice" on page 981 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]	±		981
Or}	MarketPrice <MktPric>	[1..1]	±		982

7.4.13.23.18.2 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following elements (see "PriceFormat57Choice" on page 983 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			984
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		984
	PriceValue <PricVal>	[1..1]	Rate		984
Or	AmountPrice <AmtPric>	[1..1]	±		984
Or}	NotSpecifiedPrice <NotSpctfdPric>	[1..1]	CodeSet		985

7.4.13.23.18.3 CashValueForTax <CshValForTax>

Presence: [0..1]

Definition: Cash value of resulting securities proceeds for tax calculation and/or reporting.

CashValueForTax <CshValForTax> contains one of the following elements (see "PriceFormat58Choice" on page 983 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]	±		983
Or}	NotSpecifiedPrice <NotSpctfdPric>	[1..1]	CodeSet		983

7.4.13.23.18.4 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat59Choice" on page 985 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			985
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		985
	PriceValue <PricVal>	[1..1]	Rate		986
Or	AmountPrice <AmtPric>	[1..1]	±		986
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		986
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		986

7.4.13.23.18.5 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat70Choice" on page 972 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			973
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		973
	PriceValue <PricVal>	[1..1]	Rate		974
Or	AmountPrice <AmtPric>	[1..1]	±		974
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		974
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			974
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		975
	PriceValue <PricVal>	[1..1]	Amount	C1	975
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C13	975
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			976
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		976
	PriceValue <PricVal>	[1..1]	Amount	C1	976
	Amount <Amt>	[1..1]	Amount	C1	977
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		977

7.4.13.23.19 AmountDetails <AmtDtls>

Presence: [0..1]

Definition: Provides information about the amounts related to a securities movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts61** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C14	398
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C14	398

7.4.13.23.19.1 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.23.19.2 SecondLevelTaxAmount <ScndLvlTaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24 CashMovementDetails <CshMvmntDtls>

Presence: [0..*]

Definition: Provides information about the cash movement linked to the corporate action option.

Impacted by: C28 "ExemptionTypeUsageRule", C39 "IncomeType2Rule", C41 "IncomeTypeUsageRule", C47 "IssuerOfferorTaxabilityIndicatorUsageRule"

CashMovementDetails <CshMvmntDtls> contains the following **CashOption98** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		403
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		403
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]	±		404
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		404
	IncomeType <IncmTp>	[0..1]	±		404
	OtherIncomeType <OthrIncmTp>	[0..*]	±		404
	ExemptionType <XmptnTp>	[0..*]	±		405
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C13	405
	CashAccountIdentification <CshAcctId>	[0..1]	±		405
	AmountDetails <AmtDtls>	[0..1]			406
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C14	408
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C14	409
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C14	409
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C14	409
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C14	410
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C14	410
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C14	411
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C14	411
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C14	411
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C14	412
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C14	412
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C14	413
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C14	413
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C14	413
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C14	414
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C14	414
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C14	415
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C14	415
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C14	415
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C14	416

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C14	416
	SecondLevelTaxAmount <ScndLvTaxAmt>	[0..1]	Amount	C1, C14	417
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C14	417
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C14	417
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C14	418
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C14	418
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C14	419
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C14	419
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C14	419
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C14	420
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C14	420
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C14	421
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C14	421
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C14	421
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C14	422
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C14	422
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C14	423
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C14	423
	TransactionTax <TxTax>	[0..1]	Amount	C1, C14	423
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C14	424
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C14	424
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C14	425
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C14	425
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C14	425
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C14	426
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C1, C14	426
	DateDetails <DtDtls>	[1..1]			427
	PaymentDate <PmtDt>	[1..1]	±		427
	ValueDate <ValDt>	[0..1]	±		427
	ForeignExchangeRateFixingDate <FXRateFxdt>	[0..1]	±		428

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EarliestPaymentDate <EarlStPmtDt>	[0..1]	±		428
	ForeignExchangeDetails <FXDtIs>	[0..1]	±		428
	RateAndAmountDetails <RateAndAmtDtIs>	[0..1]		C15, C16, C32, C34, C51, C53, C70, C72, C74, C78, C80	429
	AdditionalTax <AddtlTax>	[0..1]	±		431
	GrossDividendRate <GrssDvddRate>	[0..*]	±		431
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		432
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		433
	SecondLevelTax <ScndLvlTax>	[0..*]	±		433
	ChargesFees <ChrgsFees>	[0..1]	±		434
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		434
	FiscalStamp <FscIStmp>	[0..1]	±		435
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		435
	NetDividendRate <NetDvddRate>	[0..*]	±		435
	ApplicableRate <AplblRate>	[0..1]	±		436
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		436
	TaxCreditRate <TaxCdtRate>	[0..1]	±		437
	TaxOnIncome <TaxOnIncm>	[0..1]	±		437
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		437
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		438
	EqualisationRate <EqulstnRate>	[0..1]	±		438
	DeemedRate <DmdRate>	[0..*]	±		438
	PriceDetails <PricDtIs>	[0..1]		C30	439
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		440
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		440
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		441

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeType2Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

```
On Condition
  /OtherIncomeType[*] is present
Following Must be True
  /IncomeType Must be present
```

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

7.4.13.24.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Indicates whether the value is a debit or a credit.

Datatype: "CreditDebitCode" on page 1144

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

7.4.13.24.2 ContractualPaymentIndicator <CtrctlPmtInd>

Presence: [0..1]

Definition: Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

Datatype: "Payment1Code" on page 1164

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.
CONT	ContractualPayment	The cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer.

7.4.13.24.3 NonEligibleProceedsIndicator <NonElgblPrcdsInd>*Presence:* [0..1]*Definition:* Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.**NonEligibleProceedsIndicator <NonElgblPrcdsInd>** contains one of the following elements (see "NonEligibleProceedsIndicator4Choice" on page 895 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		895
Or}	Proprietary <Prtry>	[1..1]	±		895

7.4.13.24.4 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>*Presence:* [0..1]*Definition:* Proceeds are taxable according to the information provided by the issuer / offeror.**IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>** contains one of the following elements (see "IssuerOfferorTaxabilityIndicator1Choice" on page 931 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		931
Or}	Proprietary <Prtry>	[1..1]	±		931

7.4.13.24.5 IncomeType <IncmTp>*Presence:* [0..1]*Definition:* Specifies the type of income.The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.**IncomeType <IncmTp>** contains the following elements (see "GenericIdentification47" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

7.4.13.24.6 OtherIncomeType <OthrIncmTp>*Presence:* [0..*]*Definition:* Type of income to be used under another tax regime.

OtherIncomeType <OthrIncmTp> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

7.4.13.24.7 ExemptionType <XmptnTp>

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

7.4.13.24.8 CountryOfIncomeSource <CtryOfIncmSrc>

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Impacted by: C13 "Country"

Datatype: "[CountryCode](#)" on page 1143

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.13.24.9 CashAccountIdentification <CshAcctId>

Presence: [0..1]

Definition: Identification of the account in which cash is maintained.

CashAccountIdentification <CshAcctId> contains one of the following elements (see "[CashAccountIdentification6Choice](#)" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	731
Or}	Proprietary <Prtry>	[1..1]	Text		732

7.4.13.24.10 AmountDetails <AmtDtls>

Presence: [0..1]

Definition: Provides information about the amounts related to a cash movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts68** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C14	408
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C14	409
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C14	409
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C14	409
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C14	410
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C14	410
	MarketClaimAmount <MktClnAmt>	[0..1]	Amount	C1, C14	411
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C14	411
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C14	411
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C14	412
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C14	412
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C14	413
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C14	413
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C14	413
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C14	414
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C14	414
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C14	415
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C14	415
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C14	415
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C14	416
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C14	416
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C14	417
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C14	417
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C14	417
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C14	418
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C14	418
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C14	419
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C14	419
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C14	419

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C14	420
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C14	420
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C14	421
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C14	421
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C14	421
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C14	422
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C14	422
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C14	423
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C14	423
	TransactionTax <TxTax>	[0..1]	Amount	C1, C14	423
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C14	424
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C14	424
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C14	425
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C14	425
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C14	425
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C14	426
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C1, C14	426

7.4.13.24.10.1 GrossCashAmount <GrssCshAmt>

Presence: [0..1]

Definition: Amount of money before any deductions and allowances have been made.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.2 NetCashAmount <NetCshAmt>

Presence: [0..1]

Definition: Amount of money after deductions and allowances have been made, if any, that is, the total amount +/- charges/fees.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.3 SolicitationFees <SlctnFees>

Presence: [0..1]

Definition: Cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fees.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.4 CashInLieuOfShare <CshInLieuOfShr>

Presence: [0..1]

Definition: Cash disbursement in lieu of a fractional quantity of, for example, equity.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.5 CapitalGain <CptlGn>

Presence: [0..1]

Definition: Amount of money distributed as the result of a capital gain.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.6 InterestAmount <IntrstAmt>

Presence: [0..1]

Definition: Amount of money representing a coupon payment.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.7 MarketClaimAmount <MktCImAmt>

Presence: [0..1]

Definition: Amount of money resulting from a market claim.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.8 IndemnityAmount <IndmntyAmt>

Presence: [0..1]

Definition: (Unique to France) Amount due to a buyer of securities dealt prior to ex date which may be subject to different rate of taxation.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.9 ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>

Presence: [0..1]

Definition: Amount of money that the borrower pays to the lender as a compensation. It does not entitle the lender to reclaim any tax credit and is sometimes treated differently by the local tax authorities of the lender. Also covers compensation/indemnity of missed dividend concerning early/late settlements if applicable to a market.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.10 ReinvestmentAmount <RinvstmtAmt>

Presence: [0..1]

Definition: Amount of money reinvested in additional securities.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.11 FullyFrankedAmount <FullyFrnkdtAmt>

Presence: [0..1]

Definition: Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.12 UnfrankedAmount <UfrnkAmt>

Presence: [0..1]

Definition: Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.13 SundryOrOtherAmount <SndryOrOthrAmt>

Presence: [0..1]

Definition: Amount of money related to taxable income that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.14 TaxFreeAmount <TaxFreeAmt>

Presence: [0..1]

Definition: Amount of money that has not been subject to taxation.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.15 TaxDeferredAmount <TaxDfrdAmt>

Presence: [0..1]

Definition: Amount of income eligible for deferred taxation.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.16 ValueAddedTaxAmount <ValAddedTaxAmt>

Presence: [0..1]

Definition: Amount of value added tax.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.17 StampDutyAmount <StmpDtyAmt>

Presence: [0..1]

Definition: Amount of stamp duty.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.18 TaxReclaimAmount <TaxRclmAmt>

Presence: [0..1]

Definition: Amount that was paid in excess of actual tax obligation and was reclaimed.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.19 TaxCreditAmount <TaxCdtAmt>

Presence: [0..1]

Definition: Amount of taxes that have been previously paid in relation to the taxable event.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.20 AdditionalTaxAmount <AddtlTaxAmt>

Presence: [0..1]

Definition: Amount of additional taxes that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.21 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.22 SecondLevelTaxAmount <ScndLvITaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.23 FiscalStampAmount <FscIStmpAmt>

Presence: [0..1]

Definition: Amount of fiscal tax to apply.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.24 ExecutingBrokerAmount <ExctgBrkrAmt>

Presence: [0..1]

Definition: Amount of money paid to an executing broker as a commission.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.25 PayingAgentCommissionAmount <PngAgtComssnAmt>

Presence: [0..1]

Definition: Amount of paying/sub-paying agent commission.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.26 LocalBrokerCommissionAmount <LclBrkrComssnAmt>

Presence: [0..1]

Definition: Local broker's commission.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.27 RegulatoryFeesAmount <RgltryFeesAmt>

Presence: [0..1]

Definition: Amount of money charged by a regulatory authority, for example, securities and exchange fees.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.28 ShippingFeesAmount <ShppgFeesAmt>

Presence: [0..1]

Definition: All costs related to the physical delivery of documents such as stamps, postage, carrier fees, insurances or messenger services.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.29 ChargesAmount <ChrgsAmt>

Presence: [0..1]

Definition: Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.30 EntitledAmount <EntitldAmt>

Presence: [0..1]

Definition: Cash amount based on the terms of the corporate action event and balance of underlying securities, entitled to/from account owner (which may be positive or negative).

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.31 OriginalAmount <OrgnlAmt>

Presence: [0..1]

Definition: Posting/settlement amount in its original currency when conversion from/into another currency has occurred.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.32 AccruedInterestAmount <AcrdIntrstAmt>

Presence: [0..1]

Definition: Amount of interest that has been accrued in between coupon payment periods.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.33 IncomePortion <IncmPrtn>

Presence: [0..1]

Definition: Amount relating to the underlying security for which income is distributed.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.34 EqualisationAmount <EqualstnAmt>

Presence: [0..1]

Definition: Portion of the fund distribution amount which represents the average accrued income included in the purchase price for units bought during the account period.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.35 FATCATaxAmount <FATCATaxAmt>

Presence: [0..1]

Definition: FATCA (Foreign Account Tax Compliance Act) related tax amount.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.36 NRATaxAmount <NRATaxAmt>

Presence: [0..1]

Definition: Amount of tax related income subject to NRA (Non Resident Alien).

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.37 BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>

Presence: [0..1]

Definition: Amount of tax related to back up withholding.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.38 TaxOnIncomeAmount <TaxOnIncmAmt>

Presence: [0..1]

Definition: Amount of overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.39 TransactionTax <TxTax>

Presence: [0..1]

Definition: Amount of transaction tax.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.40 DeemedAmount <DmdAmt>

Presence: [0..1]

Definition: Amount of proceeds which is not actually paid to the security holder but on which withholding tax is applicable.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.41 ForeignIncomeAmount <FrgrnIncmAmt>

Presence: [0..1]

Definition: Amount relating to a foreign income such as a conduit foreign income.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.42 DeemedDividendAmount <DmdDvddAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of dividend proceeds attributed to the security holder for the taxable year and the rate of dividend proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.43 DeemedFundAmount <DmdFndAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of the proceeds components comprising a fund payment attributed to the security holder for the taxable year and the rate of the fund proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.44 DeemedInterestAmount <DmdIntrstAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of interest proceeds attributed to the security holder for the taxable year and the rate of interest proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.45 DeemedRoyaltiesAmount <DmdRyltsAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of royalty proceeds attributed to the security holder for the taxable year and the rate of royalty proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.10.46 BuyUpAmount <BuyUpAmt>

Presence: [0..1]

Definition: Amount of cash debited in the context of a buy up option.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.24.11 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to a cash movement.

DateDetails <DtDtls> contains the following **CorporateActionDate94** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[1..1]	±		427
	ValueDate <ValDt>	[0..1]	±		427
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		428
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		428

7.4.13.24.11.1 PaymentDate <PmtDt>

Presence: [1..1]

Definition: Date/time on which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

7.4.13.24.11.2 ValueDate <ValDt>

Presence: [0..1]

Definition: Date/time when calculating economic benefit for a cash amount.

ValueDate <ValDt> contains one of the following elements (see "DateFormat64Choice" on page 743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		743
Or}	DateCode <DtCd>	[1..1]			743
{Or	Code <Cd>	[1..1]	CodeSet		744
Or}	Proprietary <Prtry>	[1..1]	±		744

7.4.13.24.11.3 ForeignExchangeRateFixingDate <FXRateFxdt>

Presence: [0..1]

Definition: Date/time at which a foreign exchange rate will be determined.

ForeignExchangeRateFixingDate <FXRateFxdt> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

7.4.13.24.11.4 EarliestPaymentDate <EarlStPmtDt>

Presence: [0..1]

Definition: Date/time on which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlStPmtDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

7.4.13.24.12 ForeignExchangeDetails <FXDtIs>

Presence: [0..1]

Definition: Exchange rate between the amount and the resulting amount.

ForeignExchangeDetails <FXDtls> contains the following elements (see "[ForeignExchangeTerms28](#)" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	799
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	800
	ExchangeRate <XchgRate>	[1..1]	Rate		800
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C1, C9	800

7.4.13.24.13 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about the corporate action option.

Impacted by: [C15 "DeemedRate1Rule"](#), [C16 "DeemedRate2Rule"](#), [C32 "GrossDividendRate2Rule"](#), [C34 "GrossDividendRate3Rule"](#), [C51 "NetDividendRate1Rule"](#), [C53 "NetDividendRate2Rule"](#), [C70 "SecondLevelTax1Rule"](#), [C72 "SecondLevelTax2Rule"](#), [C74 "SecondLevelTax3Rule"](#), [C78 "WithholdingTaxRate1Rule"](#), [C80 "WithholdingTaxRate2Rule"](#)

RateAndAmountDetails <RateAndAmtDtls> contains the following **Rate38** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]	±		431
	GrossDividendRate <GrssDvddRate>	[0..*]	±		431
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		432
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		433
	SecondLevelTax <ScndLvITax>	[0..*]	±		433
	ChargesFees <ChrgsFees>	[0..1]	±		434
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		434
	FiscalStamp <FscIStmp>	[0..1]	±		435
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		435
	NetDividendRate <NetDvddRate>	[0..*]	±		435
	ApplicableRate <AplblRate>	[0..1]	±		436
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		436
	TaxCreditRate <TaxCdtRate>	[0..1]	±		437
	TaxOnIncome <TaxOnIncm>	[0..1]	±		437
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		437
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		438
	EqualisationRate <EqulstnRate>	[0..1]	±		438
	DeemedRate <DmdRate>	[0..*]	±		438

Constraints

- **DeemedRate1Rule**

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

- **DeemedRate2Rule**

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **GrossDividendRate2Rule**

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 564 NVR C8).

- **GrossDividendRate3Rule**

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

- **NetDividendRate1Rule**

If NetDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

- **NetDividendRate2Rule**

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

On Condition
/SecondLevelTax[*] is present
Following Must be True
/WithholdingTaxRate[*] Must be present

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

7.4.13.24.13.1 AdditionalTax <AddtlTax>

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

AdditionalTax <AddtlTax> contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1055 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1056

7.4.13.24.13.2 GrossDividendRate <GrssDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity before deductions or allowances have been made.

GrossDividendRate <GrssDvddRate> contains one of the following elements (see "GrossDividendRateFormat42Choice" on page 1031 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1032
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1032
	Amount <Amt>	[1..1]	Amount	C1	1033
	RateStatus <RateSts>	[1..1]	CodeSet		1033
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1033
	RateType <RateTp>	[1..1]			1033
{Or	Code <Cd>	[1..1]	CodeSet		1034
Or}	Proprietary <Prtry>	[1..1]	±		1035
	Amount <Amt>	[1..1]	Amount	C1	1035
	RateStatus <RateSts>	[0..1]		C100	1035
{Or	Code <Cd>	[1..1]	CodeSet		1035
Or}	Proprietary <Prtry>	[1..1]	±		1036
Or}	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		1036

7.4.13.24.13.3 InterestRateUsedForPayment <IntrstRateUsdForPmt>

Presence: [0..*]

Definition: The actual interest rate used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

InterestRateUsedForPayment <IntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat10Choice" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		902
Or	Amount <Amt>	[1..1]	Amount	C1	902
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			903
	RateType <RateTp>	[1..1]			903
{Or	Code <Cd>	[1..1]	CodeSet		903
Or}	Proprietary <Prtry>	[1..1]	±		904
	Amount <Amt>	[1..1]	Amount	C1	904
	RateStatus <RateSts>	[0..1]		C100	904
{Or	Code <Cd>	[1..1]	CodeSet		904
Or}	Proprietary <Prtry>	[1..1]	±		905
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		905

7.4.13.24.13.4 WithholdingTaxRate <WhldgTaxRate>

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following elements (see "RateAndAmountFormat47Choice" on page 898 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		899
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		899
Or	Amount <Amt>	[1..1]	Amount	C1	899
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			899
	RateType <RateTp>	[1..1]			900
{Or	Code <Cd>	[1..1]	CodeSet		900
Or}	Proprietary <Prtry>	[1..1]	±		900
	Rate <Rate>	[1..1]	Rate		901

7.4.13.24.13.5 SecondLevelTax <ScndLvlTax>

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is

levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following elements (see "RateAndAmountFormat47Choice" on page 898 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		899
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		899
Or	Amount <Amt>	[1..1]	Amount	C1	899
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			899
	RateType <RateTp>	[1..1]			900
{Or	Code <Cd>	[1..1]	CodeSet		900
Or}	Proprietary <Prtry>	[1..1]	±		900
	Rate <Rate>	[1..1]	Rate		901

7.4.13.24.13.6 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1055 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1056

7.4.13.24.13.7 EarlySolicitationFeeRate <EarlySlctnFeeRate>

Presence: [0..1]

Definition: Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.

EarlySolicitationFeeRate <EarlySlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat10Choice" on page 888 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		889
Or	AmountToQuantity <AmtToQty>	[1..1]			889
	Amount <Amt>	[1..1]	Amount	C1	889
	Quantity <Qty>	[1..1]	Quantity		889
Or	Amount <Amt>	[1..1]	Amount	C1	890
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		890

7.4.13.24.13.8 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

FiscalStamp <FscIStmp> contains one of the following elements (see "RateFormat3Choice" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

7.4.13.24.13.9 ThirdPartyIncentiveRate <ThrdPtyIncntivRate>

Presence: [0..1]

Definition: Cash rate made available in an event in order to encourage participation in the offer. As information, payment is made to a third party who has solicited an entity to take part in the offer.

ThirdPartyIncentiveRate <ThrdPtyIncntivRate> contains one of the following elements (see "RateFormat21Choice" on page 1054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1054
Or	Amount <Amt>	[1..1]	Amount	C1	1054
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1054

7.4.13.24.13.10 NetDividendRate <NetDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity after deductions or allowances have been made.

NetDividendRate <NetDvddRate> contains one of the following elements (see "NetDividendRateFormat44Choice" on page 1026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1027
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1027
	Amount <Amt>	[1..1]	Amount	C1	1028
	RateStatus <RateSts>	[1..1]	CodeSet		1028
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1028
	RateType <RateTp>	[1..1]			1028
{Or	Code <Cd>	[1..1]	CodeSet		1029
Or}	Proprietary <Prtry>	[1..1]	±		1029
	Amount <Amt>	[1..1]	Amount	C1	1030
	RateStatus <RateSts>	[0..1]		C100	1030
{Or	Code <Cd>	[1..1]	CodeSet		1030
Or}	Proprietary <Prtry>	[1..1]	±		1031
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1031

7.4.13.24.13.11 ApplicableRate <AplblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

ApplicableRate <AplblRate> contains one of the following elements (see "RateFormat3Choice" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1002

7.4.13.24.13.12 SolicitationFeeRate <SlctnFeeRate>

Presence: [0..1]

Definition: Rate of the cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fee.

SolicitationFeeRate <SlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat10Choice" on page 888 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		889
Or	AmountToQuantity <AmtToQty>	[1..1]			889
	Amount <Amt>	[1..1]	Amount	C1	889
	Quantity <Qty>	[1..1]	Quantity		889
Or	Amount <Amt>	[1..1]	Amount	C1	890
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		890

7.4.13.24.13.13 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

TaxCreditRate <TaxCdtRate> contains one of the following elements (see "RateFormat21Choice" on page 1054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1054
Or	Amount <Amt>	[1..1]	Amount	C1	1054
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1054

7.4.13.24.13.14 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

TaxOnIncome <TaxOnIncm> contains one of the following elements (see "RateAndAmountFormat46Choice" on page 1055 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1056

7.4.13.24.13.15 TaxOnProfits <TaxOnPrfts>

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as capital profits, capital gains.

TaxOnProfits <TaxOnPrfts> contains one of the following elements (see "[RateFormat3Choice](#)" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

7.4.13.24.13.16 TaxReclaimRate <TaxRclmRate>

Presence: [0..1]

Definition: Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

TaxReclaimRate <TaxRclmRate> contains one of the following elements (see "[RateFormat3Choice](#)" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

7.4.13.24.13.17 EqualisationRate <EqulstnRate>

Presence: [0..1]

Definition: Portion of the fund distribution which represents the average accrued income included in the purchase price for units bought during the account period.

EqualisationRate <EqulstnRate> contains one of the following elements (see "[RateAndAmountFormat48Choice](#)" on page 1053 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1053
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1053

7.4.13.24.13.18 DeemedRate <DmdRate>

Presence: [0..*]

Definition: Rate applied for the calculation of deemed proceeds which are not paid to security holders but on which withholding tax is applicable.

DeemedRate <DmdRate> contains one of the following elements (see "RateAndAmountFormat53Choice" on page 1036 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1037
Or	Amount <Amt>	[1..1]	Amount	C1	1037
Or	NotSpecifiedRate <NotSpcedRate>	[1..1]	CodeSet		1038
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1038
	RateType <RateTp>	[1..1]			1038
{Or	Code <Cd>	[1..1]	CodeSet		1038
Or}	Proprietary <Prtry>	[1..1]	±		1039
	Amount <Amt>	[1..1]	Amount	C1	1039
	RateStatus <RateSts>	[0..1]		C100	1039
{Or	Code <Cd>	[1..1]	CodeSet		1040
Or}	Proprietary <Prtry>	[1..1]	±		1040
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1040
	RateType <RateTp>	[1..1]			1041
{Or	Code <Cd>	[1..1]	CodeSet		1041
Or}	Proprietary <Prtry>	[1..1]	±		1041
	Rate <Rate>	[1..1]	Rate		1042

7.4.13.24.14 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

Impacted by: C30 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **PriceDetails34** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		440
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		440
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		441

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

7.4.13.24.14.1 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat59Choice" on page 985 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			985
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		985
	PriceValue <PricVal>	[1..1]	Rate		986
Or	AmountPrice <AmtPric>	[1..1]	±		986
Or	NotSpecifiedPrice <NotSpctfdPric>	[1..1]	CodeSet		986
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		986

7.4.13.24.14.2 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat70Choice" on page 972 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			973
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		973
	PriceValue <PricVal>	[1..1]	Rate		974
Or	AmountPrice <AmtPric>	[1..1]	±		974
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		974
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			974
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		975
	PriceValue <PricVal>	[1..1]	Amount	C1	975
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C13	975
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			976
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		976
	PriceValue <PricVal>	[1..1]	Amount	C1	976
	Amount <Amt>	[1..1]	Amount	C1	977
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		977

7.4.13.24.14.3 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following elements (see "PriceFormat57Choice" on page 983 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			984
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		984
	PriceValue <PricVal>	[1..1]	Rate		984
Or	AmountPrice <AmtPric>	[1..1]	±		984
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		985

7.4.13.25 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information about the corporate action movement.

Impacted by: C3 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative61** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..1]	±		442
	NarrativeVersion <NrrtvVrsn>	[0..1]	±		442
	InformationConditions <InfConds>	[0..1]	±		442
	InformationToComplyWith <InfToCmplyWth>	[0..1]	±		443
	SecurityRestriction <SctyRstrctn>	[0..1]	±		443
	TaxationConditions <TaxtnConds>	[0..1]	±		443
	Disclaimer <Dsclmr>	[0..1]	±		443
	CertificationBreakdown <CertfctnBrkdown>	[0..1]	±		444

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

7.4.13.25.1 AdditionalText <AddtlTxt>

Presence: [0..1]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

AdditionalText <AddtlTxt> contains the following elements (see ["UpdatedAdditionalInformation22"](#) on page 813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..1]	Text		813

7.4.13.25.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..1]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

NarrativeVersion <NrrtvVrsn> contains the following elements (see ["UpdatedAdditionalInformation22"](#) on page 813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..1]	Text		813

7.4.13.25.3 InformationConditions <InfConds>

Presence: [0..1]

Definition: Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

InformationConditions <InfConds> contains the following elements (see "UpdatedAdditionalInformation23" on page 812 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		813

7.4.13.25.4 InformationToComplyWith <InfToCmplyWth>

Presence: [0..1]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

InformationToComplyWith <InfToCmplyWth> contains the following elements (see "UpdatedAdditionalInformation23" on page 812 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		813

7.4.13.25.5 SecurityRestriction <SctyRstrctn>

Presence: [0..1]

Definition: Provides restriction(s) on securities.

SecurityRestriction <SctyRstrctn> contains the following elements (see "UpdatedAdditionalInformation23" on page 812 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		813

7.4.13.25.6 TaxationConditions <TaxtnConds>

Presence: [0..1]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

TaxationConditions <TaxtnConds> contains the following elements (see "UpdatedAdditionalInformation23" on page 812 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		813

7.4.13.25.7 Disclaimer <Dsclmr>

Presence: [0..1]

Definition: Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.

Disclaimer <Dsclmr> contains the following elements (see "UpdatedAdditionalInformation23" on page 812 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		813

7.4.13.25.8 CertificationBreakdown <CertfctnBrkdw>

Presence: [0..1]

Definition: Provides additional information about the type of certification/breakdown required.

CertificationBreakdown <CertfctnBrkdw> contains the following elements (see "UpdatedAdditionalInformation23" on page 812 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		813

7.4.14 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

Impacted by: C4 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative62** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..1]	±		445
	NarrativeVersion <NrrtvVrsn>	[0..1]	±		445
	InformationConditions <InfCnds>	[0..1]	±		445
	InformationToComplyWith <InfToCmplyWth>	[0..1]	±		445
	TaxationConditions <TaxtnCnds>	[0..1]	±		446
	Disclaimer <Dsclmr>	[0..1]	±		446
	PartyContactNarrative <PtyCtctNrrtv>	[0..1]	±		446
	RegistrationDetails <RegnDtls>	[0..1]	±		446
	BasketOrIndexInformation <BsktOrIndxInf>	[0..1]	±		447
	CertificationBreakdown <CertfctnBrkdw>	[0..1]	±		447
	ProcessingTextForNextIntermediary <PrcgTxtForNxtIntrmy>	[0..1]	±		447

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

7.4.14.1 AdditionalText <AddtlTxt>

Presence: [0..1]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

AdditionalText <AddtlTxt> contains the following elements (see ["UpdatedAdditionalInformation23"](#) on page 812 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		813

7.4.14.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..1]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields of this message, - or narrative information not needed for automatic processing.

NarrativeVersion <NrrtvVrsn> contains the following elements (see ["UpdatedAdditionalInformation23"](#) on page 812 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		813

7.4.14.3 InformationConditions <InfConds>

Presence: [0..1]

Definition: Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

InformationConditions <InfConds> contains the following elements (see ["UpdatedAdditionalInformation23"](#) on page 812 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		813

7.4.14.4 InformationToComplyWith <InfToCmplyWth>

Presence: [0..1]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

InformationToComplyWith <InfToCmplyWth> contains the following elements (see ["UpdatedAdditionalInformation23"](#) on page 812 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		813

7.4.14.5 TaxationConditions <TaxtnConds>

Presence: [0..1]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

TaxationConditions <TaxtnConds> contains the following elements (see ["UpdatedAdditionalInformation23"](#) on page 812 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		813

7.4.14.6 Disclaimer <Dsclmr>

Presence: [0..1]

Definition: Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.

Disclaimer <Dsclmr> contains the following elements (see ["UpdatedAdditionalInformation23"](#) on page 812 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		813

7.4.14.7 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..1]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

PartyContactNarrative <PtyCtctNrrtv> contains the following elements (see ["UpdatedAdditionalInformation23"](#) on page 812 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		813

7.4.14.8 RegistrationDetails <RegnDtls>

Presence: [0..1]

Definition: Provides information required for the registration.

RegistrationDetails <RegnDtIs> contains the following elements (see "UpdatedAdditionalInformation23" on page 812 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		813

7.4.14.9 BasketOrIndexInformation <BsktOrIndxInf>

Presence: [0..1]

Definition: Provides additional information on the basket or index underlying a security, for example a warrant.

BasketOrIndexInformation <BsktOrIndxInf> contains the following elements (see "UpdatedAdditionalInformation23" on page 812 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		813

7.4.14.10 CertificationBreakdown <CertfctnBrkdwn>

Presence: [0..1]

Definition: Provides additional information about the type of certification/breakdown required.

CertificationBreakdown <CertfctnBrkdwn> contains the following elements (see "UpdatedAdditionalInformation23" on page 812 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		813

7.4.14.11 ProcessingTextForNextIntermediary <PrcgTxtForNxtlIntrmy>

Presence: [0..1]

Definition: Provides additional information from the account servicer or a service provider solely intended for the next immediate account holder to enable or facilitate event processing between parties.

ProcessingTextForNextIntermediary <PrcgTxtForNxtlIntrmy> contains the following elements (see "UpdatedAdditionalInformation23" on page 812 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		813

7.4.15 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see "[PartyIdentification151Choice](#)" on page 944 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	944
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		944
Or	NameAndAddress <NmAndAdr>	[1..1]	±		945
Or}	LEI <LEI>	[1..1]	IdentifierSet		945

7.4.16 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see "[PartyIdentification137Choice](#)" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

7.4.17 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "[PartyIdentification137Choice](#)" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

7.4.18 Registrar <Regar>

Presence: [0..1]

Definition: Party/agent responsible for maintaining the register of a security.

Registrar <Regar> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

7.4.19 ResellingAgent <RsellingAgt>

Presence: [0..*]

Definition: Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

ResellingAgent <RsellingAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

7.4.20 PhysicalSecuritiesAgent <PhysSctiesAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

PhysicalSecuritiesAgent <PhysSctiesAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

7.4.21 DropAgent <DrpAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

DropAgent <DrpAgt> contains one of the following elements (see "[PartyIdentification137Choice](#)" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

7.4.22 SolicitationAgent <SlctnAgt>

Presence: [0..*]

Definition: Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

SolicitationAgent <SlctnAgt> contains one of the following elements (see "[PartyIdentification137Choice](#)" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

7.4.23 InformationAgent <InfAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

InformationAgent <InfAgt> contains one of the following elements (see "[PartyIdentification137Choice](#)" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

7.4.24 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: [C76 "SupplementaryDataRule"](#)

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		933
	Envelope <Envlp>	[1..1]	(External Schema)		933

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

7.4.25 Issuer <Issr>

Presence: [0..1]

Definition: Issuer of the financial instrument.

Issuer <Issr> contains one of the following elements (see "[PartyIdentification151Choice](#)" on page 944 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	944
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		944
Or	NameAndAddress <NmAndAdr>	[1..1]	±		945
Or}	LEI <LEI>	[1..1]	IdentifierSet		945

7.4.26 Offeror <Offerr>

Presence: [0..*]

Definition: Party making the offer and which is different from the issuing company.

Offeror <Offerr> contains one of the following elements (see "[PartyIdentification151Choice](#)" on page 944 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	944
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		944
Or	NameAndAddress <NmAndAdr>	[1..1]	±		945
Or}	LEI <LEI>	[1..1]	IdentifierSet		945

7.4.27 TransferAgent <TrfAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

TransferAgent <TrfAgt> contains one of the following elements (see "PartyIdentification151Choice" on page 944 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	944
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		944
Or	NameAndAddress <NmAndAdr>	[1..1]	±		945
Or}	LEI <LEI>	[1..1]	IdentifierSet		945

8 **seev.036.002.15**

CorporateActionMovementConfirmation002V15

8.1 **MessageDefinition Functionality**

Scope

The CorporateActionMovementConfirmation message is sent by an account servicer to an account owner or its designated agent to confirm the posting of securities or cash as a result of a corporate action event.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionMovementConfirmation002V15 MessageDefinition is composed of 17 MessageBuildingBlocks:

A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

B. MovementConfirmationIdentification

Unique Identification of the group of corporate action movement confirmation messages when the movement confirmation is split in multiple (paginated) messages.

C. NotificationIdentification

Identification of a previously sent notification document.

D. MovementPreliminaryAdviceIdentification

Identification of a previously sent movement preliminary advice document.

E. InstructionIdentification

Identification of a related instruction document.

F. OtherDocumentIdentification

Identification of other documents as well as the document number.

G. EventsLinkage

Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

H. TransactionIdentification

Transaction type and identification information.

I. CorporateActionGeneralInformation

General information about the corporate action event.

J. AccountDetails

General information about the safekeeping account, owner and account balance.

K. CorporateActionDetails

Information about the corporate action event.

L. CorporateActionConfirmationDetails

Information about the corporate action option.

M. AdditionalInformation

Provides additional information.

N. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

O. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

P. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

Q. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

8.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnMvmntConf>	[1..1]		C2, C28, C29, C34, C46, C47, C59, C60, C61, C37	
	Pagination <Pgntn>	[0..1]	±		471
	MovementConfirmationIdentification <MvmntConflD>	[0..1]	Text		471
	NotificationIdentification <NtfctnId>	[0..1]	±		471
	MovementPreliminaryAdviceIdentification <MvmntPrimryAdvclD>	[0..1]	±		472
	InstructionIdentification <InstrId>	[0..1]	±		472
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		472
	EventsLinkage <EvtsLkg>	[0..*]	±		472
	TransactionIdentification <TxId>	[0..1]			473
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[1..1]	Text		473
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]		C45	473
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		474
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		474
	ClassActionNumber <ClssActnNb>	[0..1]	Text		474
	EventType <EvtTp>	[1..1]	±	C17	474
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C35, C36, C48	475
	FractionalQuantity <FrctnQty>	[0..1]	±	C16	476
	AccountDetails <AcctDtls>	[1..1]		C52, C54, C56, C58	476
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		478
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		478
	AccountOwner <AcctOwnr>	[0..1]	±		478

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		478
	Balance <Bal>	[1..1]		C7	479
	ConfirmedBalance <ConfdBal>	[1..1]	±		480
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		480
	BlockedBalance <BlckdBal>	[0..1]	±		480
	BorrowedBalance <BrrwdBal>	[0..1]	±		481
	CollateralInBalance <CollInBal>	[0..1]	±		481
	CollateralOutBalance <CollOutBal>	[0..1]	±		481
	OnLoanBalance <OnLnBal>	[0..1]	±		481
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		482
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		482
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		482
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		483
	StreetPositionBalance <StrtPosBal>	[0..1]	±		483
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		483
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		484
	RegisteredBalance <RegdBal>	[0..1]	±		484
	AffectedBalance <AfctdBal>	[0..1]	±		484
	UnaffectedBalance <UafctdBal>	[0..1]	±		485
	CorporateActionDetails <CorpActnDtls>	[0..1]			485
	DateDetails <DtDtls>	[0..1]			485
	RecordDate <RcrdDt>	[0..1]	±		486
	ExDividendDate <ExDvddDt>	[0..1]	±		486
	EventStage <EvtStag>	[0..1]	±		486
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		487
	IntermediateSecuritiesDistributionType <IntrmdtScitiesDstrbtnTp>	[0..1]	±		487
	LotteryType <LtryTp>	[0..1]	±		487
	CorporateActionConfirmationDetails <CorpActnConfDtls>	[1..1]			488
	OptionNumber <OptnNb>	[1..1]	±		495
	OptionType <OptnTp>	[1..1]	±		495
	OptionFeatures <OptnFeatrs>	[0..*]	±		495

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	FractionDisposition <FrctnDspstn>	[0..1]	±		496
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	496
	DateDetails <DtDtls>	[0..1]			496
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		497
	TradingDate <TradgDt>	[0..1]	±		497
	PeriodDetails <PrdDtls>	[0..1]			497
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		497
	ActionPeriod <ActnPrd>	[0..1]	±		498
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		498
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C23, C25, C43, C44, C62, C64, C66, C70, C72	499
	GrossDividendRate <GrssDvddRate>	[0..*]	±		500
	NetDividendRate <NetDvddRate>	[0..*]	±		501
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		502
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>	[0..1]	Rate		503
	ProrationRate <PrratnRate>	[0..1]	Rate		503
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		503
	SecondLevelTax <ScndLvITax>	[0..*]	±		503
	AdditionalTax <AddtlTax>	[0..1]	±		504
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		504
	PriceDetails <PricDtls>	[0..1]		C22	505
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		505
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		505
	PlaceOfTrade <PlcOfTrad>	[0..1]	±	C40	506
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C18, C30, C32, C38	506
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C35,	509

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
				C36, C48	
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		510
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		510
	NewSecuritiesIssuanceIndicator <NewSctiesIssncInd>	[0..1]	CodeSet		510
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		511
	IncomeType <IncmTp>	[0..1]	±		511
	OtherIncomeType <OthrIncmTp>	[0..*]	±		511
	ExemptionType <XmptnTp>	[0..*]	±		512
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	512
	PostingQuantity <PstngQty>	[1..1]	±		512
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		512
	FractionDisposition <FrctnDspstn>	[0..1]	±		513
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	513
	DateDetails <DtDtls>	[1..1]			513
	PostingDate <PstngDt>	[1..1]	±		514
	AvailableDate <AvlblDt>	[0..1]	±		514
	PariPassuDate <PrpssDt>	[0..1]	±		514
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		515
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		515
	PaymentDate <PmtDt>	[0..1]	±		515
	RateDetails <RateDtls>	[0..1]			516
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbRslntScties>	[0..1]	±		516
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		517
	NewToOld <NewToOd>	[0..1]	±		517
	ChargesFees <ChrgsFees>	[0..1]	±		518
	FiscalStamp <FscIStmp>	[0..1]	Rate		518
	ApplicableRate <AplblRate>	[0..1]	Rate		518
	TaxCreditRate <TaxCdtRate>	[0..1]	±		518
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	Rate		519
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		519

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SecondLevelTax <ScndLvITax>	[0..*]	±		519
	PriceDetails <PricDtls>	[0..1]		C20	520
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		520
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		521
	CashValueForTax <CshValForTax>	[0..1]	±		521
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		521
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		522
	AmountDetails <AmtDtls>	[0..1]			522
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	523
	SecondLevelTaxAmount <ScndLvITaxAmt>	[0..1]	Amount	C1, C11	523
	ReceivingSettlementParties <RcvgSttlmPties>	[0..1]	±	C49, C50	524
	DeliveringSettlementParties <DlvrGSttlmPties>	[0..1]	±	C49, C50	524
	CashMovementDetails <CshMvmntDtls>	[0..*]		C19, C31, C33, C39	525
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		530
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		530
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		530
	IncomeType <IncmTp>	[0..1]	±		531
	OtherIncomeType <OthrIncmTp>	[0..*]	±		531
	ExemptionType <XmptnTp>	[0..*]	±		531
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	531
	Account <Acct>	[0..1]			532
{Or	CashAccount <CshAcct>	[1..1]	±		532
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		532
Or}	TaxAccount <TaxAcct>	[1..1]	±		532
	CashParties <CshPties>	[0..1]			533
	Creditor <Cdtr>	[0..1]	±	C5	533
	CreditorAgent <CdtrAgt>	[0..1]	±	C6	533
	MarketClaimCounterparty <MktClmCtrPty>	[0..1]	±	C5	534

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountDetails <AmtDtls>	[1..1]			534
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C11	536
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C11	537
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C11	537
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C11	538
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C11	538
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C11	538
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C11	539
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C11	539
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C11	540
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C11	540
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C11	541
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C11	541
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C11	541
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C11	542
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C11	542
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C11	543
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C11	543
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C11	543
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C11	544
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C11	544
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C11	545
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	545
	SecondLevelTaxAmount <ScndLvTaxAmt>	[0..1]	Amount	C1, C11	545
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C11	546
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C11	546
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C11	547
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C11	547
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C11	547
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C11	548
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C11	548

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAmountBroughtForward <CshAmtBrghFwd>	[0..1]	Amount	C1, C11	549
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C11	549
	NotionalDividendPayableAmount <NtnlDvddPyblAmt>	[0..1]	Amount	C1, C11	549
	NotionalTaxAmount <NtnlTaxAmt>	[0..1]	Amount	C1, C11	550
	TaxArrearsAmount <TaxArrearsAmt>	[0..1]	Amount	C1, C11	550
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C11	551
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C11	551
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C11	551
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C11	552
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C11	552
	EUTaxRetentionAmount <EUTaxRtntnAmt>	[0..1]	Amount	C1, C11	553
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C11	553
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C11	553
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C11	554
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C11	554
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C11	555
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C11	555
	TransactionTax <TxTax>	[0..1]	Amount	C1, C11	555
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C11	556
	ForeignIncomeAmount <FrgrnIncmAmt>	[0..1]	Amount	C1, C11	556
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C11	557
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C11	557
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C11	557
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C11	558
	AdjustedSubscriptionAmount <AdjstdSbcptAmt>	[0..1]	Amount	C1, C11	558
	RefundedSubscriptionAmount <RfnddSbcptAmt>	[0..1]	Amount	C1, C11	559
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C1, C11	559
	DateDetails <DtDtls>	[1..1]			560
	PostingDate <PstngDt>	[1..1]	±		560
	ValueDate <ValDt>	[0..1]	Date		560
	ForeignExchangeRateFixingDate <FXRateFgxDt>	[0..1]	±		560

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	Date		560
	PaymentDate <PmtDt>	[0..1]	Date		561
	ForeignExchangeDetails <FXDtIs>	[0..*]	±		561
	TaxVoucherDetails <TaxVchrDtIs>	[0..1]			561
	Identification <Id>	[1..1]	Text		561
	BargainDate <BrngDt>	[0..1]	±		561
	BargainSettlementDate <BrngSttlmDt>	[0..1]	±		562
	RateAndAmountDetails <RateAndAmtDtIs>	[0..1]		C12, C13, C24, C26, C41, C42, C63, C65, C67, C71, C73	562
	AdditionalTax <AddtlTax>	[0..1]	±		564
	ChargesFees <ChrgsFees>	[0..1]	±		565
	FiscalStamp <FscIStmp>	[0..1]	Rate		565
	GrossDividendRate <GrssDvddRate>	[0..*]	±		565
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		566
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		566
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		567
	NetDividendRate <NetDvddRate>	[0..*]	±		567
	ApplicableRate <ApplRate>	[0..1]	Rate		568
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		568
	TaxCreditRate <TaxCdtRate>	[0..1]	±		569
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		569
	SecondLevelTax <ScndLvITax>	[0..*]	±		569
	TaxOnIncome <TaxOnIncm>	[0..1]	±		570
	TaxOnProfits <TaxOnPrfts>	[0..1]	Rate		570
	TaxReclaimRate <TaxRclmRate>	[0..1]	Rate		570
	EqualisationRate <EqulstnRate>	[0..1]	Amount	C1	570
	DeemedRate <DmdRate>	[0..*]	±		571
	PriceDetails <PricDtIs>	[0..1]		C21	571

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		572
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		572
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		573
	AdditionalInformation <AddtlInf>	[0..1]			573
	AdditionalText <AddtlTxt>	[0..*]	Text		574
	NarrativeVersion <NrrtvVrsn>	[0..*]	Text		574
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		574
	TaxationConditions <TaxtnConds>	[0..*]	Text		574
	IssuerAgent <IssrAgt>	[0..*]	±		574
	PayingAgent <PngAgt>	[0..*]	±		575
	SubPayingAgent <SubPngAgt>	[0..*]	±		575
	SupplementaryData <SplmtryData>	[0..*]	±	C69	575

8.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AdditionalInformationRule

All elements in the AdditionalInformation sequence must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in AdditionalInformation/NarrativeVersion.

This constraint is defined at the MessageDefinition level.

C3 AdditionalInforrmationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C4 AdditionalInforrmationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C5 AdditionalInforrmationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C6 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C7 AffectedAndUnaffectedBalanceRule

Unaffected balance and Affected balances should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C9 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C10 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 DeemedRate1Rule

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 566 NVR C4).

C13 DeemedRate2Rule

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate may be present, only one of those elements may be present.

(MT 566 NVR C3).

C14 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C15 DescriptionUsageRule

Description must be used alone as the last resort.

C16 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C17 EventTypeRule

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

C18 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C19 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C20 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

C21 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

C22 GenericCashPriceReceivedPerProductGuideline

GenericCashPriceReceivedPerProduct is the price received in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

C23 GrossDividendRate2Rule

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 566 NVR C4).

C24 GrossDividendRate2Rule

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 566 NVR C4).

C25 GrossDividendRate3Rule

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

C26 GrossDividendRate3Rule

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

C27 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C28 IncentivePremiumEventType1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 566 NVR C9)

On Condition

/CorporateActionDetails/AdditionalBusinessProcessIndicator[*]/Code is equal to value 'IncentivePremiumPayment'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'Consent'

This constraint is defined at the MessageDefinition level.

C29 IncentivePremiumEventTypeUG1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in any occurrence of CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

if

at least one occurrence of [CorporateActionDetails/AdditionalBusinessProcessIndicator/Code] has value included in the following list 'INCP'

, then

every occurrence of [CorporateActionGeneralInformation/EventType/Code] must have value included in the following list 'CONS'

Expression Language: UGRule

This constraint is defined at the MessageDefinition level.

C30 IncomeType1Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C31 IncomeType2Rule

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

C32 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C33 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C34 IntermediateSecuritiesDistribution1Rule

If CorporateActionGeneralInformation/EventType/Code is RHDI (IntermediateSecuritiesDistribution), then CorporateActionDetails/

IntermediateSecuritiesDistributionType must be present.

(MT 566 NVR C5).

On Condition

/CorporateActionGeneralInformation/EventType/Code is equal to value 'IntermediateSecuritiesDistribution'

Following Must be True

/CorporateActionDetails Must be present

And /CorporateActionDetails/IntermediateSecuritiesDistributionType Must be present

This constraint is defined at the MessageDefinition level.

C35 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C36 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C37 IssuerAgentGuideline

IssuerAgent must be used only in the communication between a CSD and local custodians.

This constraint is defined at the MessageDefinition level.

C38 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C39 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C40 MarketTypeAndIdentificationRule

If Type/Code is OverTheCounter (OTCO) and if Identification is present, then Identification/Description must specify a system.

If Type/Code is Exchange (EXCH) and if Identification is present, then Identification/MarketIdentifierCode must be present.

C41 NetDividendRate1Rule

If NetDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 566 NVR C4).

C42 NetDividendRate2Rule

Only a single occurrence of either NetDividendRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

C43 NettDividendRate1Rule

If NetDividendRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 566 NVR C4).

C44 NettDividendRate2Rule

Only a single occurrence of either NetDividendRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

C45 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C46 OptionNumberRule

The CorporateActionConfirmationDetails/OptionNumber value provided must be the same as the one provided in the related CorporateActionOptionDetails/OptionNumber element of the CorporateActionNotification or CorporateActionMovementPreliminaryAdvice messages previously sent.

This constraint is defined at the MessageDefinition level.

C47 OtherDocumentIdentificationRule

If CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/IndemnityAmount is present, then OtherDocumentationIdentification must be present to refer to the linked settlement confirmation.

This constraint is defined at the MessageDefinition level.

C48 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C49 Party2PresenceRule

If Party 2 is present, then Party 1 must be present.

C50 Party3PresenceRule

If Party 3 is present, then Party 2 must be present.

C51 RateStatusGuideline

The code value ACTU is the default value for a rate status.

C52 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C53 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C54 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C55 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C56 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C57 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C58 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C59 ScripOrDividendReinvestment1Rule

If CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/
CashAmountCarriedForward or CorporateActionConfirmationDetails/CashMovementDetails/
AmountDetails/CashAmountBroughtForward is present, then
CorporateActionGeneralInformation/EventType/Code value must be either DVSC
(ScripDividend) or DRIP (DividendReinvestment).

This constraint is defined at the MessageDefinition level.

C60 ScripOrDividendReinvestment2Rule

If CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/
NotionalDividendPayableAmount or CorporateActionConfirmationDetails/
CashMovementDetails/AmountDetails/NotionalTaxAmount is present, then
CorporateActionGeneralInformation/EventType/Code value must be DVSC (ScripDividend).

This constraint is defined at the MessageDefinition level.

C61 ScripOrDividendReinvestment3Rule

If CorporateActionConfirmationDetails/CashMovementDetails/TaxVoucherDetails/BargainDate
or CorporateActionConfirmationDetails/CashMovementDetails/TaxVoucherDetails/
BargainSettlementDate is present, then CorporateActionGeneralInformation/EventType/Code
value must be DRIP (DividendReinvestment).

This constraint is defined at the MessageDefinition level.

C62 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code
values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C63 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code
values must all be different in each occurrence of SecondLevelTax.

(MT 566 NVR C4).

C64 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C65 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

C66 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

C67 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

C68 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C69 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C70 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C71 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 566 NVR C4).

C72 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C73 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

C74 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

8.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

8.4.1 Pagination <Pgntn>

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

Pagination <Pgntn> contains the following elements (see "[Pagination1](#)" on page 878 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		879
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		879

8.4.2 MovementConfirmationIdentification <MvmntConfld>

Presence: [0..1]

Definition: Unique Identification of the group of corporate action movement confirmation messages when the movement confirmation is split in multiple (paginated) messages.

Datatype: "[RestrictedFINXMax16Text](#)" on page 1187

8.4.3 NotificationIdentification <NtfctnId>

Presence: [0..1]

Definition: Identification of a previously sent notification document.

NotificationIdentification <NtfctnId> contains the following elements (see "[DocumentIdentification37](#)" on page 755 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		755
	LinkageType <LkgTp>	[0..1]	±	C32	755

8.4.4 MovementPreliminaryAdviceIdentification <MvmntPrlmryAdvclد>

Presence: [0..1]

Definition: Identification of a previously sent movement preliminary advice document.

MovementPreliminaryAdviceIdentification <MvmntPrlmryAdvclد> contains the following elements (see "DocumentIdentification37" on page 755 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		755
	LinkageType <LkgTp>	[0..1]	±	C32	755

8.4.5 InstructionIdentification <Instrld>

Presence: [0..1]

Definition: Identification of a related instruction document.

InstructionIdentification <Instrld> contains the following elements (see "DocumentIdentification17" on page 759 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		759

8.4.6 OtherDocumentIdentification <OthrDocld>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocld> contains the following elements (see "DocumentIdentification38" on page 758 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			758
{Or	AccountServicerDocumentIdentification <AcctSvcrDocld>	[1..1]	Text		758
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocld>	[1..1]	Text		758
	DocumentNumber <DocNb>	[0..1]	±	C6	758
	LinkageType <LkgTp>	[0..1]	±	C32	759

8.4.7 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "[CorporateActionEventReference4](#)" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			929
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		929
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		929
	LinkageType <LkgTp>	[0..1]	±	C32	929

8.4.8 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Transaction type and identification information.

TransactionIdentification <TxId> contains the following **TransactionIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[1..1]	Text		473

8.4.8.1 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

Presence: [1..1]

Definition: Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

Datatype: "[RestrictedFINMax35Text](#)" on page 1186

8.4.9 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: [C45 "OfficialCorporateActionEventReference"](#)

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following
CorporateActionGeneralInformation167 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		474
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		474
	ClassActionNumber <ClssActnNb>	[0..1]	Text		474
	EventType <EvtTp>	[1..1]	±	C17	474
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C35, C36, C48	475
	FractionalQuantity <FrctnQty>	[0..1]	±	C16	476

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

8.4.9.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1187

8.4.9.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1187

8.4.9.3 ClassActionNumber <ClssActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "RestrictedFINXMax16Text" on page 1187

8.4.9.4 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C17 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType96Choice" on page 844 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		845
Or}	Proprietary <Prtry>	[1..1]	±		851

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

8.4.9.5 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security concerned by the corporate action.

Impacted by: C14 "DescriptionPresenceRule", C15 "DescriptionUsageRule", C35 "ISINGuideline", C36 "ISINPresenceRule", C48 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		767
	OtherIdentification <OthrId>	[0..*]			767
	Identification <Id>	[1..1]	Text		768
	Suffix <Sfx>	[0..1]	Text		768
	Type <Tp>	[1..1]	±		768
	Description <Desc>	[0..1]	Text		768

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present

```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present

```

8.4.9.6 FractionalQuantity <FrctnlQty>

Presence: [0..1]

Definition: Fractional quantity resulting from an event which will be paid with cash in lieu.

Impacted by: C16 "DigitalTokenUnitUsageRule"

FractionalQuantity <FrctnlQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1001
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1001
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1002
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1002

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

8.4.10 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

Impacted by: C52 "SafekeepingAccountOrBlockChainAddress1Rule", C54 "SafekeepingAccountOrBlockChainAddress2Rule", C56 "SafekeepingAccountOrBlockChainAddress3Rule", C58 "SafekeepingPlaceRule"

AccountDetails <AcctDtls> contains the following **AccountAndBalance52** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		478
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		478
	AccountOwner <AcctOwnr>	[0..1]	±		478
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		478
	Balance <Bal>	[1..1]		C7	479
	ConfirmedBalance <ConfdBal>	[1..1]	±		480
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		480
	BlockedBalance <BlckdBal>	[0..1]	±		480
	BorrowedBalance <BrrwdBal>	[0..1]	±		481
	CollateralInBalance <CollInBal>	[0..1]	±		481
	CollateralOutBalance <CollOutBal>	[0..1]	±		481
	OnLoanBalance <OnLnBal>	[0..1]	±		481
	PendingDeliveryBalance <PdgDlrvyBal>	[0..*]	±		482
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		482
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		482
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		483
	StreetPositionBalance <StrtPosBal>	[0..1]	±		483
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		483
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		484
	RegisteredBalance <RegdBal>	[0..1]	±		484
	AffectedBalance <AfctdBal>	[0..1]	±		484
	UnaffectedBalance <UafctdBal>	[0..1]	±		485

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition
/BlockChainAddressOrWallet is present
Following Must be True
/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition
/SafekeepingAccount is present
Following Must be True
/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

8.4.10.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1189

8.4.10.2 BlockChainAddressOrWallet <BlckChainAdrOrWlIt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1187

8.4.10.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 945 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	945
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		946

8.4.10.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat32Choice" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1062
Or	Country <Ctry>	[1..1]	CodeSet	C3	1062
Or	TypeAndIdentification <TpAndId>	[1..1]			1062
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1063
	Identification <Id>	[1..1]	IdentifierSet	C1	1063
Or}	Proprietary <Prtry>	[1..1]	±		1063

8.4.10.5 Balance <Bal>

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

Impacted by: C7 "AffectedAndUnaffectedBalanceRule"

Balance <Bal> contains the following **CorporateActionBalanceDetails45** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ConfirmedBalance <ConfdBal>	[1..1]	±		480
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		480
	BlockedBalance <BlckdBal>	[0..1]	±		480
	BorrowedBalance <BrrwdBal>	[0..1]	±		481
	CollateralInBalance <CollInBal>	[0..1]	±		481
	CollateralOutBalance <CollOutBal>	[0..1]	±		481
	OnLoanBalance <OnLnBal>	[0..1]	±		481
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		482
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		482
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		482
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		483
	StreetPositionBalance <StrtPosBal>	[0..1]	±		483
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		483
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		484
	RegisteredBalance <RegdBal>	[0..1]	±		484
	AffectedBalance <AfctdBal>	[0..1]	±		484
	UnaffectedBalance <UafctdBal>	[0..1]	±		485

Constraints

- **AffectedAndUnaffectedBalanceRule**

Unaffected balance and Affected balances should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

8.4.10.5.1 ConfirmedBalance <ConfdBal>

Presence: [1..1]

Definition: Balance to which the payment applies (less or equal to the total eligible balance).

ConfirmedBalance <ConfdBal> contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

8.4.10.5.2 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

TotalEligibleBalance <TtlElgblBal> contains the following elements (see "[TotalEligibleBalanceFormat11](#)" on page 732 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[0..1]	±		732
	FullPeriodUnits <FullPrdUnits>	[0..1]	±		732
	PartWayPeriodUnits <PartWayPrdUnits>	[0..1]	±		733

8.4.10.5.3 BlockedBalance <BlckdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

BlockedBalance <BlckdBal> contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

8.4.10.5.4 BorrowedBalance <BrrwdBal>*Presence:* [0..1]*Definition:* Balance of financial instruments that have been borrowed from another party.**BorrowedBalance <BrrwdBal>** contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

8.4.10.5.5 CollateralInBalance <CollInBal>*Presence:* [0..1]*Definition:* Balance of securities that belong to a third party and that are held for the purpose of collateralisation.**CollateralInBalance <CollInBal>** contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

8.4.10.5.6 CollateralOutBalance <CollOutBal>*Presence:* [0..1]*Definition:* Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.**CollateralOutBalance <CollOutBal>** contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

8.4.10.5.7 OnLoanBalance <OnLnBal>*Presence:* [0..1]*Definition:* Balance of financial instruments that have been loaned to a third party.

OnLoanBalance <OnLnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

8.4.10.5.8 PendingDeliveryBalance <PdgDlvryBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

PendingDeliveryBalance <PdgDlvryBal> contains one of the following elements (see "BalanceFormat16Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		733
Or	EligibleBalance <ElgblBal>	[1..1]	±		733
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		734
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		734
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		734

8.4.10.5.9 PendingReceiptBalance <PdgRctBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

PendingReceiptBalance <PdgRctBal> contains one of the following elements (see "BalanceFormat16Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		733
Or	EligibleBalance <ElgblBal>	[1..1]	±		733
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		734
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		734
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		734

8.4.10.5.10 OutForRegistrationBalance <OutForRegnBal>

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

OutForRegistrationBalance <OutForRegnBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

8.4.10.5.11 SettlementPositionBalance <SttlmPosBal>

Presence: [0..*]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

SettlementPositionBalance <SttlmPosBal> contains one of the following elements (see "BalanceFormat16Choice" on page 733 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		733
Or	EligibleBalance <ElgblBal>	[1..1]	±		733
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		734
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		734
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		734

8.4.10.5.12 StreetPositionBalance <StrtPosBal>

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

StreetPositionBalance <StrtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

8.4.10.5.13 TradeDatePositionBalance <TradDtPosBal>

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

TradeDatePositionBalance <TradDtPosBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

8.4.10.5.14 InTransshipmentBalance <InTrnsShipmntBal>

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

InTransshipmentBalance <InTrnsShipmntBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

8.4.10.5.15 RegisteredBalance <RegdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

RegisteredBalance <RegdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

8.4.10.5.16 AffectedBalance <AfctdBal>

Presence: [0..1]

Definition: Balance that has been affected by the process run through the event.

AffectedBalance <AfctdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

8.4.10.5.17 UnaffectedBalance <UafctdBal>

Presence: [0..1]

Definition: Balance that has not been affected by the process run through the event.

UnaffectedBalance <UafctdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

8.4.11 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction75** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			485
	RecordDate <RcrdDt>	[0..1]	±		486
	ExDividendDate <ExDvddDt>	[0..1]	±		486
	EventStage <EvtStag>	[0..1]	±		486
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		487
	IntermediateSecuritiesDistributionType <IntrmdtScitiesDstrbtnTp>	[0..1]	±		487
	LotteryType <LtryTp>	[0..1]	±		487

8.4.11.1 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

DateDetails <DtDtls> contains the following **CorporateActionDate89** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		486
	ExDividendDate <ExDvddDt>	[0..1]	±		486

8.4.11.1.1 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "[DateFormat41Choice](#)" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

8.4.11.1.2 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "[DateFormat41Choice](#)" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

8.4.11.2 EventStage <EvtStag>

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat15Choice" on page 884 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		884
Or}	Proprietary <Prtry>	[1..1]	±		884

8.4.11.3 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>

Presence: [0..*]

Definition: Indicates that the additional business process relates to a claim on the associated corporate action event.

AdditionalBusinessProcessIndicator <AddtlBizPrclnd> contains one of the following elements (see "AdditionalBusinessProcessFormat20Choice" on page 819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		819
Or}	Proprietary <Prtry>	[1..1]	±		820

8.4.11.4 IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>

Presence: [0..1]

Definition: Type of intermediates securities distribution.

IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp> contains one of the following elements (see "IntermediateSecuritiesDistributionTypeFormat18Choice" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		914
Or}	Proprietary <Prtry>	[1..1]	±		915

8.4.11.5 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following elements (see "LotteryTypeFormat5Choice" on page 910 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		911
Or}	Proprietary <Prtry>	[1..1]	±		911

8.4.12 CorporateActionConfirmationDetails <CorpActnConfDtls>

Presence: [1..1]

Definition: Information about the corporate action option.

CorporateActionConfirmationDetails <CorpActnConfDtls> contains the following
CorporateActionOption225 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		495
	OptionType <OptnTp>	[1..1]	±		495
	OptionFeatures <OptnFeatrs>	[0..*]	±		495
	FractionDisposition <FrctnDspstn>	[0..1]	±		496
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	496
	DateDetails <DtDtls>	[0..1]			496
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		497
	TradingDate <TradgDt>	[0..1]	±		497
	PeriodDetails <PrdDtls>	[0..1]			497
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		497
	ActionPeriod <ActnPrd>	[0..1]	±		498
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		498
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C23, C25, C43, C44, C62, C64, C66, C70, C72	499
	GrossDividendRate <GrssDvddRate>	[0..*]	±		500
	NetDividendRate <NetDvddRate>	[0..*]	±		501
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		502
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	Rate		503
	ProrationRate <PrratnRate>	[0..1]	Rate		503
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		503
	SecondLevelTax <ScndLvITax>	[0..*]	±		503
	AdditionalTax <AddtlTax>	[0..1]	±		504
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		504
	PriceDetails <PricDtls>	[0..1]		C22	505
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		505
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		505

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceOfTrade <PlcOfTrad>	[0..1]	±	C40	506
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C18, C30, C32, C38	506
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C35, C36, C48	509
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		510
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		510
	NewSecuritiesIssuanceIndicator <NewSctiesIssncInd>	[0..1]	CodeSet		510
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		511
	IncomeType <IncmTp>	[0..1]	±		511
	OtherIncomeType <OthrIncmTp>	[0..*]	±		511
	ExemptionType <XmptnTp>	[0..*]	±		512
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	512
	PostingQuantity <PstngQty>	[1..1]	±		512
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		512
	FractionDisposition <FrctnDspstn>	[0..1]	±		513
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	513
	DateDetails <DtDtls>	[1..1]			513
	PostingDate <PstngDt>	[1..1]	±		514
	AvailableDate <AvlblDt>	[0..1]	±		514
	PariPassuDate <PrpssDt>	[0..1]	±		514
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		515
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		515
	PaymentDate <PmtDt>	[0..1]	±		515
	RateDetails <RateDtls>	[0..1]			516
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRs/tntScties>	[0..1]	±		516
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		517
	NewToOld <NewToOd>	[0..1]	±		517

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChargesFees <ChrgsFees>	[0..1]	±		518
	FiscalStamp <FscIStmp>	[0..1]	Rate		518
	ApplicableRate <AplblRate>	[0..1]	Rate		518
	TaxCreditRate <TaxCdtRate>	[0..1]	±		518
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	Rate		519
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		519
	SecondLevelTax <ScndLvlTax>	[0..*]	±		519
	PriceDetails <PricDtls>	[0..1]		C20	520
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		520
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		521
	CashValueForTax <CshValForTax>	[0..1]	±		521
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		521
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		522
	AmountDetails <AmtDtls>	[0..1]			522
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	523
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	523
	ReceivingSettlementParties <RcvgSttlmPties>	[0..1]	±	C49, C50	524
	DeliveringSettlementParties <DlvrSttlmPties>	[0..1]	±	C49, C50	524
	CashMovementDetails <CshMvmntDtls>	[0..*]		C19, C31, C33, C39	525
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		530
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		530
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		530
	IncomeType <IncmTp>	[0..1]	±		531
	OtherIncomeType <OthrIncmTp>	[0..*]	±		531
	ExemptionType <XmptnTp>	[0..*]	±		531
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	531
	Account <Acct>	[0..1]			532
{Or	CashAccount <CshAcct>	[1..1]	±		532

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		532
Or}	TaxAccount <TaxAcct>	[1..1]	±		532
	CashParties <CshPties>	[0..1]			533
	Creditor <Cdtr>	[0..1]	±	C5	533
	CreditorAgent <CdtrAgt>	[0..1]	±	C6	533
	MarketClaimCounterparty <MktClmCtrPty>	[0..1]	±	C5	534
	AmountDetails <AmtDtls>	[1..1]			534
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C11	536
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C11	537
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C11	537
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C11	538
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C11	538
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C11	538
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C11	539
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C11	539
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C11	540
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C11	540
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C11	541
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C11	541
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C11	541
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C11	542
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C11	542
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C11	543
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C11	543
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C11	543
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C11	544
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C11	544
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C11	545
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	545
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	545
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C11	546

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C11	546
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C11	547
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C11	547
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C11	547
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C11	548
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C11	548
	CashAmountBroughtForward <CshAmtBrghtFwd>	[0..1]	Amount	C1, C11	549
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C11	549
	NotionalDividendPayableAmount <NtnlDvddPyblAmt>	[0..1]	Amount	C1, C11	549
	NotionalTaxAmount <NtnlTaxAmt>	[0..1]	Amount	C1, C11	550
	TaxArrearsAmount <TaxArrearsAmt>	[0..1]	Amount	C1, C11	550
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C11	551
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C11	551
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C11	551
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C11	552
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C11	552
	EUTaxRetentionAmount <EUTaxRtntnAmt>	[0..1]	Amount	C1, C11	553
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C11	553
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C11	553
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C11	554
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C11	554
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C11	555
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C11	555
	TransactionTax <TxTax>	[0..1]	Amount	C1, C11	555
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C11	556
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C11	556
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C11	557
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C11	557
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C11	557
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C11	558

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdjustedSubscriptionAmount <AdjstdSbcptAmt>	[0..1]	Amount	C1, C11	558
	RefundedSubscriptionAmount <RfnddSbcptAmt>	[0..1]	Amount	C1, C11	559
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C1, C11	559
	DateDetails <DtDtls>	[1..1]			560
	PostingDate <PstngDt>	[1..1]	±		560
	ValueDate <ValDt>	[0..1]	Date		560
	ForeignExchangeRateFixingDate <FXRateFgxDt>	[0..1]	±		560
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	Date		560
	PaymentDate <PmtDt>	[0..1]	Date		561
	ForeignExchangeDetails <FXDtls>	[0..*]	±		561
	TaxVoucherDetails <TaxVchrDtls>	[0..1]			561
	Identification <Id>	[1..1]	Text		561
	BargainDate <BrngDt>	[0..1]	±		561
	BargainSettlementDate <BrngSttlmDt>	[0..1]	±		562
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C12, C13, C24, C26, C41, C42, C63, C65, C67, C71, C73	562
	AdditionalTax <AddtlTax>	[0..1]	±		564
	ChargesFees <ChrgsFees>	[0..1]	±		565
	FiscalStamp <FscIStmp>	[0..1]	Rate		565
	GrossDividendRate <GrssDvddRate>	[0..*]	±		565
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		566
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		566
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		567
	NetDividendRate <NetDvddRate>	[0..*]	±		567
	ApplicableRate <AplblRate>	[0..1]	Rate		568
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		568
	TaxCreditRate <TaxCdtRate>	[0..1]	±		569

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		569
	SecondLevelTax <ScndLvlTax>	[0..*]	±		569
	TaxOnIncome <TaxOnIncm>	[0..1]	±		570
	TaxOnProfits <TaxOnPrfts>	[0..1]	Rate		570
	TaxReclaimRate <TaxRclmRate>	[0..1]	Rate		570
	EqualisationRate <EqulstnRate>	[0..1]	Amount	C1	570
	DeemedRate <DmdRate>	[0..*]	±		571
	PriceDetails <PricDtls>	[0..1]		C21	571
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		572
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		572
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		573

8.4.12.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "OptionNumber1Choice" on page 933 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		933
Or}	Code <Cd>	[1..1]	CodeSet		933

8.4.12.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "CorporateActionOption39Choice" on page 842 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		843
Or}	Proprietary <Prtry>	[1..1]	±		844

8.4.12.3 OptionFeatures <OptnFeatrs>

Presence: [0..*]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following elements (see "OptionFeaturesFormat30Choice" on page 818 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		818
Or}	Proprietary <Prtry>	[1..1]	±		819

8.4.12.4 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "FractionDispositionType30Choice" on page 886 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		886
Or}	Proprietary <Prtry>	[1..1]	±		886

8.4.12.5 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited or debited.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1096

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.6 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action option.

DateDetails <DtDtls> contains the following **CorporateActionDate91** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		497
	TradingDate <TradgDt>	[0..1]	±		497

8.4.12.6.1 CoverExpirationDeadline <CoverXprtnDdlIn>*Presence:* [0..1]*Definition:* Last day a holder can deliver the securities that it had elected on and/or previously protected.**CoverExpirationDeadline <CoverXprtnDdlIn>** contains one of the following elements (see "DateFormat43Choice" on page 750 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		750
Or}	DateCode <DtCd>	[1..1]			750
{Or	Code <Cd>	[1..1]	CodeSet		750
Or}	Proprietary <Prtry>	[1..1]	±		751

8.4.12.6.2 TradingDate <TradgDt>*Presence:* [0..1]*Definition:* Date/time at which the deal (rights) was agreed.**TradingDate <TradgDt>** contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

8.4.12.7 PeriodDetails <PrdDtIs>*Presence:* [0..1]*Definition:* Provides information about the periods related to a corporate action option.**PeriodDetails <PrdDtIs>** contains the following **CorporateActionPeriod13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		497
	ActionPeriod <ActnPrd>	[0..1]	±		498
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		498

8.4.12.7.1 PriceCalculationPeriod <PricClctnPrd>*Presence:* [0..1]*Definition:* Period during which the price of a security is determined.

PriceCalculationPeriod <PricClctnPrd> contains the following elements (see "Period11" on page 752 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			752
{Or	Date <Dt>	[1..1]	±		753
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		753
	EndDate <EndDt>	[1..1]			753
{Or	Date <Dt>	[1..1]	±		753
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		754

8.4.12.7.2 ActionPeriod <ActnPrd>

Presence: [0..1]

Definition: Period during which the specified option, or all options of the event, remains valid, for example, offer period.

ActionPeriod <ActnPrd> contains the following elements (see "Period11" on page 752 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			752
{Or	Date <Dt>	[1..1]	±		753
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		753
	EndDate <EndDt>	[1..1]			753
{Or	Date <Dt>	[1..1]	±		753
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		754

8.4.12.7.3 ParallelTradingPeriod <ParlITradgPrd>

Presence: [0..1]

Definition: Period during which both old and new equity may be traded simultaneously, for example, consolidation of equity or splitting of equity.

ParallelTradingPeriod <ParlITradgPrd> contains the following elements (see "Period11" on page 752 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			752
{Or	Date <Dt>	[1..1]	±		753
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		753
	EndDate <EndDt>	[1..1]			753
{Or	Date <Dt>	[1..1]	±		753
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		754

8.4.12.8 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action option.

Impacted by: C23 "GrossDividendRate2Rule", C25 "GrossDividendRate3Rule", C43 "NettDividendRate1Rule", C44 "NettDividendRate2Rule", C62 "SecondLevelTax1Rule", C64 "SecondLevelTax2Rule", C66 "SecondLevelTax3Rule", C70 "WithholdingTaxRate1Rule", C72 "WithholdingTaxRate2Rule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate108** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GrossDividendRate <GrssDvddRate>	[0..*]	±		500
	NetDividendRate <NetDvddRate>	[0..*]	±		501
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		502
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>	[0..1]	Rate		503
	ProrationRate <PrratnRate>	[0..1]	Rate		503
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		503
	SecondLevelTax <ScndLvltax>	[0..*]	±		503
	AdditionalTax <AddtlTax>	[0..1]	±		504
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		504

Constraints

- **GrossDividendRate2Rule**

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 566 NVR C4).

- **GrossDividendRate3Rule**

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

- **NettDividendRate1Rule**

If NetDividendRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 566 NVR C4).

- **NettDividendRate2Rule**

Only a single occurrence of either NetDividendRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

```
On Condition
  /SecondLevelTax[*] is present
Following Must be True
  /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

8.4.12.8.1 GrossDividendRate <GrssDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity before deductions or allowances have been made.

GrossDividendRate <GrssDvddRate> contains one of the following elements (see "GrossDividendRateFormat39Choice" on page 1022 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1022
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1023
	Amount <Amt>	[1..1]	Amount	C1	1023
	RateStatus <RateSts>	[1..1]	CodeSet		1023
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1023
	RateType <RateTp>	[1..1]			1024
{Or	Code <Cd>	[1..1]	CodeSet		1024
Or}	Proprietary <Prtry>	[1..1]	±		1025
	Amount <Amt>	[1..1]	Amount	C1	1025
	RateStatus <RateSts>	[0..1]		C100	1025
{Or	Code <Cd>	[1..1]	CodeSet		1026
Or}	Proprietary <Prtry>	[1..1]	±		1026

8.4.12.8.2 NetDividendRate <NetDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity after deductions or allowances have been made.

NetDividendRate <NetDvddRate> contains one of the following elements (see "NetDividendRateFormat41Choice" on page 1017 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1018
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1018
	Amount <Amt>	[1..1]	Amount	C1	1019
	RateStatus <RateSts>	[1..1]	CodeSet		1019
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1019
	RateType <RateTp>	[1..1]			1019
{Or	Code <Cd>	[1..1]	CodeSet		1020
Or}	Proprietary <Prtry>	[1..1]	±		1020
	Amount <Amt>	[1..1]	Amount	C1	1021
	RateStatus <RateSts>	[0..1]		C100	1021
{Or	Code <Cd>	[1..1]	CodeSet		1021
Or}	Proprietary <Prtry>	[1..1]	±		1022

8.4.12.8.3 InterestRateUsedForPayment <IntrstRateUsdForPmt>

Presence: [0..*]

Definition: Actual interest rate used for the payment of the interest for the specified interest period.

InterestRateUsedForPayment <IntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat9Choice" on page 1048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1048
Or	Amount <Amt>	[1..1]	Amount	C1	1048
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1049
	RateType <RateTp>	[1..1]			1049
{Or	Code <Cd>	[1..1]	CodeSet		1049
Or}	Proprietary <Prtry>	[1..1]	±		1050
	Amount <Amt>	[1..1]	Amount	C1	1050
	RateStatus <RateSts>	[0..1]		C100	1050
{Or	Code <Cd>	[1..1]	CodeSet		1050
Or}	Proprietary <Prtry>	[1..1]	±		1051

8.4.12.8.4 MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>*Presence:* [0..1]*Definition:* A maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, for example, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50 percent.*Datatype:* "PercentageRate" on page 1182**8.4.12.8.5 ProrationRate <PrratnRate>***Presence:* [0..1]*Definition:* Percentage of securities accepted by the offeror/issuer.*Datatype:* "PercentageRate" on page 1182**8.4.12.8.6 WithholdingTaxRate <WhldgTaxRate>***Presence:* [0..*]*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.**WithholdingTaxRate <WhldgTaxRate>** contains one of the following elements (see "RateAndAmountFormat45Choice" on page 1051 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1051
Or	Amount <Amt>	[1..1]	Amount	C1	1051
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1052
	RateType <RateTp>	[1..1]			1052
{Or	Code <Cd>	[1..1]	CodeSet		1052
Or}	Proprietary <Prtry>	[1..1]	±		1053
	Rate <Rate>	[1..1]	Rate		1053

8.4.12.8.7 SecondLevelTax <ScndLvITax>*Presence:* [0..*]*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following elements (see "RateAndAmountFormat45Choice" on page 1051 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1051
Or	Amount <Amt>	[1..1]	Amount	C1	1051
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1052
	RateType <RateTp>	[1..1]			1052
{Or	Code <Cd>	[1..1]	CodeSet		1052
Or}	Proprietary <Prtry>	[1..1]	±		1053
	Rate <Rate>	[1..1]	Rate		1053

8.4.12.8.8 AdditionalTax <AddtlTax>

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

AdditionalTax <AddtlTax> contains one of the following elements (see "RateAndAmountFormat43Choice" on page 1054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1055

8.4.12.8.9 TaxableIncomePerDividendShare <TaxblIncMPerDvddShr>

Presence: [0..*]

Definition: Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments, for example, in the context of the EU Savings directive.

TaxableIncomePerDividendShare <TaxblIncMPerDvddShr> contains the following elements (see "RateTypeAndAmountAndStatus33" on page 896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			896
{Or	Code <Cd>	[1..1]	CodeSet		897
Or}	Proprietary <Prtry>	[1..1]	±		897
	Amount <Amt>	[1..1]	Amount	C1	897
	RateStatus <RateSts>	[0..1]		C100	897
{Or	Code <Cd>	[1..1]	CodeSet		898
Or}	Proprietary <Prtry>	[1..1]	±		898

8.4.12.9 PriceDetails <PricDtls>*Presence:* [0..1]*Definition:* Provides information about the prices related to a corporate action option.*Impacted by:* C22 "GenericCashPriceReceivedPerProductGuideline"**PriceDetails <PricDtls>** contains the following **CorporateActionPrice63** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		505
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		505

Constraints

- **GenericCashPriceReceivedPerProductGuideline**

GenericCashPriceReceivedPerProduct is the price received in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

8.4.12.9.1 CashInLieuOfSharePrice <CshInLieuOfShrPric>*Presence:* [0..1]*Definition:* Cash disbursement in lieu of equities; usually in lieu of fractional quantity.**CashInLieuOfSharePrice <CshInLieuOfShrPric>** contains one of the following elements (see "PriceFormat52Choice" on page 980 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			980
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		980
	PriceValue <PricVal>	[1..1]	Rate		981
Or}	AmountPrice <AmtPric>	[1..1]	±		981

8.4.12.9.2 OverSubscriptionDepositPrice <OverSbcptDpstPric>*Presence:* [0..1]*Definition:* Amount of money required per over-subscribed equity as defined by the issuer.**OverSubscriptionDepositPrice <OverSbcptDpstPric>** contains one of the following elements (see "PriceFormat52Choice" on page 980 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			980
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		980
	PriceValue <PricVal>	[1..1]	Rate		981
Or}	AmountPrice <AmtPric>	[1..1]	±		981

8.4.12.10 PlaceOfTrade <PlcOfTrad>*Presence:* [0..1]*Definition:* Place where the trade was executed.*Impacted by:* C40 "MarketTypeAndIdentificationRule"**PlaceOfTrade <PlcOfTrad>** contains the following elements (see "MarketIdentification90" on page 881 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		881
	Type <Tp>	[1..1]			881
{Or	Code <Cd>	[1..1]	CodeSet		882
Or}	Proprietary <Prtry>	[1..1]	±		882

Constraints

- **MarketTypeAndIdentificationRule**

If Type/Code is OverTheCounter (OTCO) and if Identification is present, then Identification/Description must specify a system.

If Type/Code is Exchange (EXCH) and if Identification is present, then Identification/MarketIdentifierCode must be present.

8.4.12.11 SecuritiesMovementDetails <SctiesMvmntDtls>*Presence:* [0..*]*Definition:* Provides information about the securities movement linked to the corporate action option.*Impacted by:* C18 "ExemptionTypeUsageRule", C30 "IncomeType1Rule", C32 "IncomeTypeUsageRule", C38 "IssuerOfferorTaxabilityIndicatorUsageRule"

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following **SecuritiesOption104** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C35, C36, C48	509
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		510
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		510
	NewSecuritiesIssuanceIndicator <NewSctiesIssnclInd>	[0..1]	CodeSet		510
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		511
	IncomeType <IncmTp>	[0..1]	±		511
	OtherIncomeType <OthrIncmTp>	[0..*]	±		511
	ExemptionType <XmptnTp>	[0..*]	±		512
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	512
	PostingQuantity <PstngQty>	[1..1]	±		512
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		512
	FractionDisposition <FrctnDspstn>	[0..1]	±		513
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	513
	DateDetails <DtDtls>	[1..1]			513
	PostingDate <PstngDt>	[1..1]	±		514
	AvailableDate <AvlblDt>	[0..1]	±		514
	PariPassuDate <PrpssDt>	[0..1]	±		514
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		515
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		515
	PaymentDate <PmtDt>	[0..1]	±		515
	RateDetails <RateDtls>	[0..1]			516
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbdRsltntScties>	[0..1]	±		516
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		517
	NewToOld <NewToOd>	[0..1]	±		517
	ChargesFees <ChrgsFees>	[0..1]	±		518
	FiscalStamp <FscIStmp>	[0..1]	Rate		518
	ApplicableRate <AplblRate>	[0..1]	Rate		518

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxCreditRate <TaxCdtRate>	[0..1]	±		518
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	Rate		519
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		519
	SecondLevelTax <ScndLvITax>	[0..*]	±		519
	PriceDetails <PricDtls>	[0..1]		C20	520
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		520
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		521
	CashValueForTax <CshValForTax>	[0..1]	±		521
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		521
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		522
	AmountDetails <AmtDtls>	[0..1]			522
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	523
	SecondLevelTaxAmount <ScndLvITaxAmt>	[0..1]	Amount	C1, C11	523
	ReceivingSettlementParties <RcvgSttlmPties>	[0..1]	±	C49, C50	524
	DeliveringSettlementParties <DlvrSttlmPties>	[0..1]	±	C49, C50	524

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeType1Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

On Condition

/OtherIncomeType[*] is present

Following Must be True

/IncomeType Must be present

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

8.4.12.11.1 FinancialInstrumentIdentification <FinInstrmId>*Presence:* [1..1]*Definition:* Identification of the financial instrument.*Impacted by:* C14 "DescriptionPresenceRule", C15 "DescriptionUsageRule", C35 "ISINGuideline", C36 "ISINPresenceRule", C48 "OtherIdentificationPresenceRule"**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification20" on page 766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		767
	OtherIdentification <OthrId>	[0..*]			767
	Identification <Id>	[1..1]	Text		768
	Suffix <Sfx>	[0..1]	Text		768
	Type <Tp>	[1..1]	±		768
	Description <Desc>	[0..1]	Text		768

Constraints• **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

• **DescriptionUsageRule**

Description must be used alone as the last resort.

• **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

• **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

• **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
```

/ISIN Must be present
Or /Description Must be present

8.4.12.11.2 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1144

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

8.4.12.11.3 TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>

Presence: [0..1]

Definition: Specifies that the security identified is a temporary security identification used for processing reasons, for example, contra security used in the US.

TemporaryFinancialInstrumentIndicator <TempFinInstrmInd> contains one of the following elements (see "TemporaryFinancialInstrumentIndicator4Choice" on page 895 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TemporaryIndicator <TempInd>	[1..1]	Indicator		896
Or}	Proprietary <Prtry>	[1..1]	±		896

8.4.12.11.4 NewSecuritiesIssuanceIndicator <NewSctiesIssncInd>

Presence: [0..1]

Definition: Specifies the nature of the securities issue.

Datatype: "NewSecuritiesIssuanceType6Code" on page 1160

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.

CodeName	Name	Definition
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.

8.4.12.11.5 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd> contains one of the following elements (see "[IssuerOfferorTaxabilityIndicator1Choice](#)" on page 931 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		931
Or}	Proprietary <Prtry>	[1..1]	±		931

8.4.12.11.6 IncomeType <IncmTp>

Presence: [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

IncomeType <IncmTp> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

8.4.12.11.7 OtherIncomeType <OthrIncmTp>

Presence: [0..*]

Definition: Type of income to be used under another tax regime.

OtherIncomeType <OthrIncmTp> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

8.4.12.11.8 ExemptionType <XmptnTp>*Presence:* [0..*]*Definition:* Specifies the basis for the reduced rate of withholding.**ExemptionType <XmptnTp>** contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

8.4.12.11.9 CountryOfIncomeSource <CtryOfIncmSrc>*Presence:* [0..1]*Definition:* Indicates the country from which the income originates.*Impacted by:* [C10 "Country"](#)*Datatype:* "[CountryCode](#)" on page 1143**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

8.4.12.11.10 PostingQuantity <PstngQty>*Presence:* [1..1]*Definition:* Quantity of securities that have been posted (credit or debit) to the safekeeping account.**PostingQuantity <PstngQty>** contains one of the following elements (see "[Quantity54Choice](#)" on page 996 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C13	996
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		997

8.4.12.11.11 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]*Definition:* Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat39Choice" on page 1063 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1064
Or	Country <Ctry>	[1..1]	CodeSet	C3	1064
Or	TypeAndIdentification <TpAndId>	[1..1]			1064
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1065
	Identification <Id>	[1..1]	IdentifierSet	C1	1065
Or}	Proprietary <Prtry>	[1..1]	±		1065

8.4.12.11.12 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "FractionDispositionType30Choice" on page 886 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		886
Or}	Proprietary <Prtry>	[1..1]	±		886

8.4.12.11.13 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited or debited.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1096

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.11.14 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to securities movement.

DateDetails <DtDtls> contains the following **SecurityDate26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostingDate <PstngDt>	[1..1]	±		514
	AvailableDate <AvlblDt>	[0..1]	±		514
	PariPassuDate <PrpssDt>	[0..1]	±		514
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		515
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		515
	PaymentDate <PmtDt>	[0..1]	±		515

8.4.12.11.14.1 PostingDate <PstngDt>

Presence: [1..1]

Definition: Date of the posting (credit or debit) to the account.

PostingDate <PstngDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		749
Or}	DateTime <DtTm>	[1..1]	DateTime		750

8.4.12.11.14.2 AvailableDate <AvlblDt>

Presence: [0..1]

Definition: Date/time at which securities become available for trading, for example first dealing date.

AvailableDate <AvlblDt> contains one of the following elements (see ["DateFormat41Choice"](#) on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

8.4.12.11.14.3 PariPassuDate <PrpssDt>

Presence: [0..1]

Definition: Date/time at which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

PariPassuDate <PrpssDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

8.4.12.11.14.4 DividendRankingDate <DvddRnkgDt>

Presence: [0..1]

Definition: Date/time at which a security will be entitled to a dividend.

DividendRankingDate <DvddRnkgDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

8.4.12.11.14.5 EarliestPaymentDate <EarlstPmtDt>

Presence: [0..1]

Definition: Date/time at which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlstPmtDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

8.4.12.11.14.6 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

8.4.12.11.15 RateDetails <RateDtls>

Presence: [0..1]

Definition: Provides information about the rates related to securities movement.

RateDetails <RateDtls> contains the following **CorporateActionRate114** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies>	[0..1]	±		516
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		517
	NewToOld <NewToOd>	[0..1]	±		517
	ChargesFees <ChrgsFees>	[0..1]	±		518
	FiscalStamp <FscIStmp>	[0..1]	Rate		518
	ApplicableRate <AplblRate>	[0..1]	Rate		518
	TaxCreditRate <TaxCdtRate>	[0..1]	±		518
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	Rate		519
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		519
	SecondLevelTax <ScndLvITax>	[0..*]	±		519

8.4.12.11.15.1 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies>

Presence: [0..1]

Definition: Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsItntScies> contains one of the following elements (see "RatioFormat21Choice" on page 1046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1047
Or}	AmountToAmount <AmtToAmt>	[1..1]			1047
	Amount1 <Amt1>	[1..1]	Amount	C1	1047
	Amount2 <Amt2>	[1..1]	Amount	C1	1047

8.4.12.11.15.2 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>

Presence: [0..1]

Definition: Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, for example, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies> contains one of the following elements (see "RatioFormat21Choice" on page 1046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1047
Or}	AmountToAmount <AmtToAmt>	[1..1]			1047
	Amount1 <Amt1>	[1..1]	Amount	C1	1047
	Amount2 <Amt2>	[1..1]	Amount	C1	1047

8.4.12.11.15.3 NewToOld <NewToOd>

Presence: [0..1]

Definition: Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, for example, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

NewToOld <NewToOd> contains one of the following elements (see "RatioFormat22Choice" on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1044
Or	AmountToAmount <AmtToAmt>	[1..1]			1044
	Amount1 <Amt1>	[1..1]	Amount	C1	1044
	Amount2 <Amt2>	[1..1]	Amount	C1	1045
Or	AmountToQuantity <AmtToQty>	[1..1]			1045
	Amount <Amt>	[1..1]	Amount	C1	1045
	Quantity <Qty>	[1..1]	Quantity		1046
Or}	QuantityToAmount <QtyToAmt>	[1..1]			1046
	Amount <Amt>	[1..1]	Amount	C1	1046
	Quantity <Qty>	[1..1]	Quantity		1046

8.4.12.11.15.4 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following elements (see "RateAndAmountFormat43Choice" on page 1054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1055

8.4.12.11.15.5 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

Datatype: "PercentageRate" on page 1182

8.4.12.11.15.6 ApplicableRate <ApIblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

Datatype: "PercentageRate" on page 1182

8.4.12.11.15.7 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

TaxCreditRate <TaxCdtRate> contains one of the following elements (see "RateFormat23Choice" on page 1016 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1017
Or}	Amount <Amt>	[1..1]	Amount	C1	1017

8.4.12.11.15.8 FinancialTransactionTaxRate <FinTxTaxRate>

Presence: [0..1]

Definition: Rate of financial transaction tax.

Datatype: "PercentageRate" on page 1182

8.4.12.11.15.9 WithholdingTaxRate <WhldgTaxRate>

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following elements (see "RateAndAmountFormat45Choice" on page 1051 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1051
Or	Amount <Amt>	[1..1]	Amount	C1	1051
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1052
	RateType <RateTp>	[1..1]			1052
{Or	Code <Cd>	[1..1]	CodeSet		1052
Or}	Proprietary <Prtry>	[1..1]	±		1053
	Rate <Rate>	[1..1]	Rate		1053

8.4.12.11.15.10 SecondLevelTax <ScndLvlTax>

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following elements (see "RateAndAmountFormat45Choice" on page 1051 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1051
Or	Amount <Amt>	[1..1]	Amount	C1	1051
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1052
	RateType <RateTp>	[1..1]			1052
{Or	Code <Cd>	[1..1]	CodeSet		1052
Or}	Proprietary <Prtry>	[1..1]	±		1053
	Rate <Rate>	[1..1]	Rate		1053

8.4.12.11.16 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to securities movement.

Impacted by: C20 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **CorporateActionPrice78** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		520
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]	±		521
	CashValueForTax <CshValForTax>	[0..1]	±		521
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		521
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		522

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

8.4.12.11.16.1 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following elements (see "PriceFormat52Choice" on page 980 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			980
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		980
	PriceValue <PricVal>	[1..1]	Rate		981
Or}	AmountPrice <AmtPric>	[1..1]	±		981

8.4.12.11.16.2 IndicativeOrMarketPrice <IndctvOrMktPric>

Presence: [0..1]

Definition: Indicates whether the price is an indicative price or a market price.

IndicativeOrMarketPrice <IndctvOrMktPric> contains one of the following elements (see "IndicativeOrMarketPrice9Choice" on page 982 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]	±		982
Or}	MarketPrice <MktPric>	[1..1]	±		982

8.4.12.11.16.3 CashValueForTax <CshValForTax>

Presence: [0..1]

Definition: Cash value of resulting securities proceeds for tax calculation and/or reporting.

CashValueForTax <CshValForTax> contains the following elements (see "AmountPrice4" on page 963 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		963
	PriceValue <PricVal>	[1..1]	Amount	C1	963

8.4.12.11.16.4 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat55Choice" on page 978 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			979
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		979
	PriceValue <PricVal>	[1..1]	Rate		979
Or	AmountPrice <AmtPric>	[1..1]	±		979
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		980

8.4.12.11.16.5 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat68Choice" on page 964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			964
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		965
	PriceValue <PricVal>	[1..1]	Rate		965
Or	AmountPrice <AmtPric>	[1..1]	±		965
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			965
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		966
	PriceValue <PricVal>	[1..1]	Amount	C1	966
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C13	966
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			967
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		967
	PriceValue <PricVal>	[1..1]	Amount	C1	967
	Amount <Amt>	[1..1]	Amount	C1	967
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		968

8.4.12.11.17 AmountDetails <AmtDtls>

Presence: [0..1]

Definition: Provides information about the amounts related to a securities movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts61** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	523
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	523

8.4.12.11.17.1 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.11.17.2 SecondLevelTaxAmount <ScndLvlTaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.11.18 ReceivingSettlementParties <RcvgSttlmPties>*Presence:* [0..1]*Definition:* Identifies the chain of receiving settlement parties.*Impacted by:* C49 "Party2PresenceRule", C50 "Party3PresenceRule"**ReceivingSettlementParties <RcvgSttlmPties>** contains the following elements (see "SettlementParties103" on page 1067 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]		C3	1068
	Identification <Id>	[1..1]			1068
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1069
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1069
Or}	Country <Ctry>	[1..1]	CodeSet	C3	1069
	ProcessingIdentification <PrcgId>	[0..1]	Text		1069
	AlternateIdentification <AltrnId>	[0..*]	±		1070
	Party1 <Pty1>	[0..1]	±	C4, C53, C55, C57	1070
	Party2 <Pty2>	[0..1]	±	C4, C53, C55, C57	1071
	Party3 <Pty3>	[0..1]	±	C4, C53, C55, C57	1072

Constraints

- Party2PresenceRule**

If Party 2 is present, then Party 1 must be present.

On Condition

/Party2 is present

Following Must be True

/Party1 Must be present

- Party3PresenceRule**

If Party 3 is present, then Party 2 must be present.

On Condition

/Party3 is present

Following Must be True

/Party2 Must be present

8.4.12.11.19 DeliveringSettlementParties <DlvrGSttlmPties>*Presence:* [0..1]*Definition:* Identifies the chain of delivering settlement parties.*Impacted by:* C49 "Party2PresenceRule", C50 "Party3PresenceRule"

DeliveringSettlementParties <DlvrgSttlmPties> contains the following elements (see "SettlementParties103" on page 1067 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]		C3	1068
	Identification <Id>	[1..1]			1068
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1069
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1069
Or}	Country <Ctry>	[1..1]	CodeSet	C3	1069
	ProcessingIdentification <Prcgld>	[0..1]	Text		1069
	AlternateIdentification <Altrnld>	[0..*]	±		1070
	Party1 <Pty1>	[0..1]	±	C4, C53, C55, C57	1070
	Party2 <Pty2>	[0..1]	±	C4, C53, C55, C57	1071
	Party3 <Pty3>	[0..1]	±	C4, C53, C55, C57	1072

Constraints

- **Party2PresenceRule**

If Party 2 is present, then Party 1 must be present.

On Condition
 /Party2 is present
 Following Must be True
 /Party1 Must be present

- **Party3PresenceRule**

If Party 3 is present, then Party 2 must be present.

On Condition
 /Party3 is present
 Following Must be True
 /Party2 Must be present

8.4.12.12 CashMovementDetails <CshMvmntDtls>

Presence: [0..*]

Definition: Provides information about the cash movement related to a corporate action option.

Impacted by: C19 "ExemptionTypeUsageRule", C31 "IncomeType2Rule", C33 "IncomeTypeUsageRule", C39 "IssuerOfferorTaxabilityIndicatorUsageRule"

CashMovementDetails <CshMvmntDtls> contains the following **CashOption97** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		530
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		530
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		530
	IncomeType <IncmTp>	[0..1]	±		531
	OtherIncomeType <OthrIncmTp>	[0..*]	±		531
	ExemptionType <XmptnTp>	[0..*]	±		531
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	531
	Account <Acct>	[0..1]			532
{Or	CashAccount <CshAcct>	[1..1]	±		532
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		532
Or}	TaxAccount <TaxAcct>	[1..1]	±		532
	CashParties <CshPties>	[0..1]			533
	Creditor <Cdtr>	[0..1]	±	C5	533
	CreditorAgent <CdtrAgt>	[0..1]	±	C6	533
	MarketClaimCounterparty <MktClmCtrPty>	[0..1]	±	C5	534
	AmountDetails <AmtDtls>	[1..1]			534
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C11	536
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C11	537
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C11	537
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C11	538
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C11	538
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C11	538
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C11	539
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C11	539
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C11	540
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C11	540
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C11	541
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C11	541
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C11	541
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C11	542

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C11	542
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C11	543
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C11	543
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C11	543
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C11	544
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C11	544
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C11	545
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	545
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	545
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C11	546
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C11	546
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C11	547
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C11	547
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C11	547
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C11	548
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C11	548
	CashAmountBroughtForward <CshAmtBrghtFwd>	[0..1]	Amount	C1, C11	549
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C11	549
	NotionalDividendPayableAmount <NtnlDvddPyblAmt>	[0..1]	Amount	C1, C11	549
	NotionalTaxAmount <NtnlTaxAmt>	[0..1]	Amount	C1, C11	550
	TaxArrearsAmount <TaxArrearsAmt>	[0..1]	Amount	C1, C11	550
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C11	551
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C11	551
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C11	551
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C11	552
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C11	552
	EUTaxRetentionAmount <EUTaxRtntnAmt>	[0..1]	Amount	C1, C11	553
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C11	553
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C11	553
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C11	554

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C11	554
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C11	555
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C11	555
	TransactionTax <TxTax>	[0..1]	Amount	C1, C11	555
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C11	556
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C11	556
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C11	557
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C11	557
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C11	557
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C11	558
	AdjustedSubscriptionAmount <AdjstdSbcptAmt>	[0..1]	Amount	C1, C11	558
	RefundedSubscriptionAmount <RfnddSbcptAmt>	[0..1]	Amount	C1, C11	559
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C1, C11	559
	DateDetails <DtDtls>	[1..1]			560
	PostingDate <PstngDt>	[1..1]	±		560
	ValueDate <ValDt>	[0..1]	Date		560
	ForeignExchangeRateFixingDate <FXRateFvgDt>	[0..1]	±		560
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	Date		560
	PaymentDate <PmtDt>	[0..1]	Date		561
	ForeignExchangeDetails <FXDtls>	[0..*]	±		561
	TaxVoucherDetails <TaxVchrDtls>	[0..1]			561
	Identification <Id>	[1..1]	Text		561
	BargainDate <BrgrnDt>	[0..1]	±		561
	BargainSettlementDate <BrgrnSttlmDt>	[0..1]	±		562
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C12, C13, C24, C26, C41, C42, C63, C65, C67, C71, C73	562
	AdditionalTax <AddtlTax>	[0..1]	±		564

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChargesFees <ChrgsFees>	[0..1]	±		565
	FiscalStamp <FscIStmp>	[0..1]	Rate		565
	GrossDividendRate <GrssDvddRate>	[0..*]	±		565
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		566
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		566
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		567
	NetDividendRate <NetDvddRate>	[0..*]	±		567
	ApplicableRate <AplblRate>	[0..1]	Rate		568
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		568
	TaxCreditRate <TaxCdtRate>	[0..1]	±		569
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		569
	SecondLevelTax <ScndLvITax>	[0..*]	±		569
	TaxOnIncome <TaxOnIncm>	[0..1]	±		570
	TaxOnProfits <TaxOnPrfts>	[0..1]	Rate		570
	TaxReclaimRate <TaxRclmRate>	[0..1]	Rate		570
	EqualisationRate <EqulstnRate>	[0..1]	Amount	C1	570
	DeemedRate <DmdRate>	[0..*]	±		571
	PriceDetails <PricDtls>	[0..1]		C21	571
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		572
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		572
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		573

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeType2Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

```
On Condition
  /OtherIncomeType[*] is present
Following Must be True
  /IncomeType Must be present
```

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

8.4.12.12.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Indicates whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1144

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

8.4.12.12.2 ContractualPaymentIndicator <CtrctlPmtInd>

Presence: [0..1]

Definition: Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

Datatype: "Payment1Code" on page 1164

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.
CONT	ContractualPayment	The cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer.

8.4.12.12.3 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd> contains one of the following elements (see "IssuerOfferorTaxabilityIndicator1Choice" on page 931 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		931
Or}	Proprietary <Prtry>	[1..1]	±		931

8.4.12.12.4 IncomeType <IncmTp>*Presence:* [0..1]*Definition:* Specifies the type of income.The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.**IncomeType <IncmTp>** contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

8.4.12.12.5 OtherIncomeType <OthrlIncmTp>*Presence:* [0..*]*Definition:* Type of income to be used under another tax regime.**OtherIncomeType <OthrlIncmTp>** contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

8.4.12.12.6 ExemptionType <XmptnTp>*Presence:* [0..*]*Definition:* Specifies the basis for the reduced rate of withholding.**ExemptionType <XmptnTp>** contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

8.4.12.12.7 CountryOfIncomeSource <CtryOfIncmSrc>*Presence:* [0..1]*Definition:* Indicates the country from which the income originates.*Impacted by:* [C10 "Country"](#)*Datatype:* "[CountryCode](#)" on page 1143

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

8.4.12.12.8 Account <Acct>

Presence: [0..1]

Definition: Choice between a cash account, a charges account or a tax account.

Account <Acct> contains one of the following **Account9Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccount <CshAcct>	[1..1]	±		532
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		532
Or}	TaxAccount <TaxAcct>	[1..1]	±		532

8.4.12.12.8.1 CashAccount <CshAcct>

Presence: [1..1]

Definition: Account in which cash is maintained.

CashAccount <CshAcct> contains one of the following elements (see "CashAccountIdentification6Choice" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	731
Or}	Proprietary <Prtry>	[1..1]	Text		732

8.4.12.12.8.2 ChargesAccount <ChrgsAcct>

Presence: [1..1]

Definition: Account to be used for charges if different from the account for payment.

ChargesAccount <ChrgsAcct> contains one of the following elements (see "CashAccountIdentification6Choice" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	731
Or}	Proprietary <Prtry>	[1..1]	Text		732

8.4.12.12.8.3 TaxAccount <TaxAcct>

Presence: [1..1]

Definition: Account to be used for taxes if different from the account for payment.

TaxAccount <TaxAcct> contains one of the following elements (see "CashAccountIdentification6Choice" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	731
Or}	Proprietary <Prtry>	[1..1]	Text		732

8.4.12.12.9 CashParties <CshPties>

Presence: [0..1]

Definition: Provides information about cash parties.

CashParties <CshPties> contains the following **CashParties37** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Creditor <Cdtr>	[0..1]	±	C5	533
	CreditorAgent <CdtrAgt>	[0..1]	±	C6	533
	MarketClaimCounterparty <MktCImCtrPty>	[0..1]	±	C5	534

8.4.12.12.9.1 Creditor <Cdtr>

Presence: [0..1]

Definition: Party to which an amount of money is due.

Impacted by: C5 "AdditionalInformationRule"

Creditor <Cdtr> contains the following elements (see "PartyIdentificationAndAccount174" on page 942 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		943
	CashAccount <CshAcct>	[0..1]	±		943
	ProcessingIdentification <PrctlId>	[0..1]	Text		943
	AlternateIdentification <AltrnId>	[0..1]	±		943

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

8.4.12.12.9.2 CreditorAgent <CdtrAgt>

Presence: [0..1]

Definition: Financial institution servicing an account for the creditor.

Impacted by: C6 "AdditionalInformationRule"

CreditorAgent <CdtrAgt> contains the following elements (see "PartyIdentificationAndAccount175" on page 940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			940
{Or	BICFI <BICFI>	[1..1]	IdentifierSet	C2	941
Or	NameAndAddress <NmAndAdr>	[1..1]	±		941
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		941
	CashAccount <CshAcct>	[0..1]	±		941
	ProcessingIdentification <PrcgId>	[0..1]	Text		942
	AlternateIdentification <AltrnId>	[0..1]	±		942

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

8.4.12.12.9.3 MarketClaimCounterparty <MktCImCtrPty>

Presence: [0..1]

Definition: Party that has reimbursed the account owner with funds to which they were legally entitled.

Impacted by: C5 "AdditionalInformationRule"

MarketClaimCounterparty <MktCImCtrPty> contains the following elements (see "PartyIdentificationAndAccount174" on page 942 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		943
	CashAccount <CshAcct>	[0..1]	±		943
	ProcessingIdentification <PrcgId>	[0..1]	Text		943
	AlternateIdentification <AltrnId>	[0..1]	±		943

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

8.4.12.12.10 AmountDetails <AmtDtls>

Presence: [1..1]

Definition: Provides information about the amounts related to a cash movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts67** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C11	536
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C11	537
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C11	537
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C11	538
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C11	538
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C11	538
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C11	539
	MarketClaimAmount <MktClnAmt>	[0..1]	Amount	C1, C11	539
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C11	540
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C11	540
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C11	541
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C11	541
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C11	541
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C11	542
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C11	542
	TaxDeferredAmount <TaxDfrrdAmt>	[0..1]	Amount	C1, C11	543
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C11	543
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C11	543
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C11	544
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C11	544
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C11	545
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	545
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	545
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C11	546
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C11	546
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C11	547
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C11	547
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C11	547
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C11	548

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C11	548
	CashAmountBroughtForward <CshAmtBrghtFwd>	[0..1]	Amount	C1, C11	549
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C11	549
	NotionalDividendPayableAmount <NtnlDvddPyblAmt>	[0..1]	Amount	C1, C11	549
	NotionalTaxAmount <NtnlTaxAmt>	[0..1]	Amount	C1, C11	550
	TaxArrearsAmount <TaxArrearsAmt>	[0..1]	Amount	C1, C11	550
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C11	551
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C11	551
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C11	551
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C11	552
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C11	552
	EUTaxRetentionAmount <EUTaxRtntnAmt>	[0..1]	Amount	C1, C11	553
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C11	553
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C11	553
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C11	554
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C11	554
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C11	555
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C11	555
	TransactionTax <TxTax>	[0..1]	Amount	C1, C11	555
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C11	556
	ForeignIncomeAmount <FrgrnIncmAmt>	[0..1]	Amount	C1, C11	556
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C11	557
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C11	557
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C11	557
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C11	558
	AdjustedSubscriptionAmount <AdjstdSbcptAmt>	[0..1]	Amount	C1, C11	558
	RefundedSubscriptionAmount <RfnddSbcptAmt>	[0..1]	Amount	C1, C11	559
	BuyUpAmount <BuyUpAmt>	[0..1]	Amount	C1, C11	559

8.4.12.12.10.1 PostingAmount <PstngAmt>*Presence:* [1..1]*Definition:* Amount of money that is to be/was posted to the account.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.2 GrossCashAmount <GrssCshAmt>

Presence: [0..1]

Definition: Amount of money before any deductions and allowances have been made.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.3 NetCashAmount <NetCshAmt>

Presence: [0..1]

Definition: Amount of money after deductions and allowances have been made, if any, that is, the total amount +/- charges/fees.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.4 SolicitationFees <SlctnFees>

Presence: [0..1]

Definition: Cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fees.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.5 CashInLieuOfShare <CshInLieuOfShr>

Presence: [0..1]

Definition: Cash disbursement in lieu of a fractional quantity of, for example, equity.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.6 CapitalGain <CptlGn>

Presence: [0..1]

Definition: Amount of money distributed as the result of a capital gain.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.7 InterestAmount <IntrstAmt>

Presence: [0..1]

Definition: Amount of money representing a coupon payment.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.8 MarketClaimAmount <MktClmAmt>

Presence: [0..1]

Definition: Amount of money resulting from a market claim.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.9 IndemnityAmount <IndmntyAmt>

Presence: [0..1]

Definition: (Unique to France) Amount due to a buyer of securities dealt prior to ex date which may be subject to different rate of taxation.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.10 ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>

Presence: [0..1]

Definition: Amount of money that the borrower pays to the lender as a compensation. It does not entitle the lender to reclaim any tax credit and is sometimes treated differently by the local tax authorities of the lender. Also covers compensation/indemnity of missed dividend concerning early/late settlements if applicable to a market.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.11 ReinvestmentAmount <RinvstmtAmt>

Presence: [0..1]

Definition: Amount of money reinvested in additional securities.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.12 FullyFrankedAmount <FullyFrnkdtAmt>

Presence: [0..1]

Definition: Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.13 UnfrankedAmount <UfrnkdtAmt>

Presence: [0..1]

Definition: Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.14 SundryOrOtherAmount <SndryOrOthrAmt>

Presence: [0..1]

Definition: Amount of money related to taxable income that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.15 TaxFreeAmount <TaxFreeAmt>

Presence: [0..1]

Definition: Amount of money that has not been subject to taxation.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.16 TaxDeferredAmount <TaxDfrdAmt>

Presence: [0..1]

Definition: Amount of income eligible for deferred taxation.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.17 ValueAddedTaxAmount <ValAddedTaxAmt>

Presence: [0..1]

Definition: Tax on value added.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.18 StampDutyAmount <StmpDtyAmt>

Presence: [0..1]

Definition: Amount of stamp duty.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.19 TaxReclaimAmount <TaxRclmAmt>

Presence: [0..1]

Definition: Amount that was paid in excess of actual tax obligation and was reclaimed.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.20 TaxCreditAmount <TaxCdtAmt>

Presence: [0..1]

Definition: Amount of taxes that have been previously paid in relation to the taxable event.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.21 AdditionalTaxAmount <AddtlTaxAmt>

Presence: [0..1]

Definition: Amount of additional taxes that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.22 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.23 SecondLevelTaxAmount <ScndLvITaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.24 FiscalStampAmount <FscIStmpAmt>

Presence: [0..1]

Definition: Amount of fiscal tax to apply.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.25 ExecutingBrokerAmount <ExctgBrkrAmt>

Presence: [0..1]

Definition: Amount of money paid to an executing broker as a commission.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.26 PayingAgentCommissionAmount <PngAgtComssnAmt>

Presence: [0..1]

Definition: Amount of paying/sub-paying agent commission.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.27 LocalBrokerCommissionAmount <LclBrkrComssnAmt>

Presence: [0..1]

Definition: Local broker's commission.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.28 RegulatoryFeesAmount <RgltryFeesAmt>

Presence: [0..1]

Definition: Amount of money charged by a regulatory authority, for example, Securities and Exchange fees.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.29 ShippingFeesAmount <ShppgFeesAmt>

Presence: [0..1]

Definition: All costs related to the physical delivery of documents such as stamps, postage, carrier fees, insurances or messenger services.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.30 ChargesAmount <ChrgsAmt>

Presence: [0..1]

Definition: Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.31 CashAmountBroughtForward <CshAmtBrghFwd>

Presence: [0..1]

Definition: Indicates cash retained from previous dividend.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.32 CashAmountCarriedForward <CshAmtCrrdFwd>

Presence: [0..1]

Definition: Indicates the balance carried forward to next dividend.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.33 NotionalDividendPayableAmount <NtnlDvddPyblAmt>

Presence: [0..1]

Definition: Amount of cash that would have been payable if the dividend had been taken in the form of cash rather than shares.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.34 NotionalTaxAmount <NtnlTaxAmt>

Presence: [0..1]

Definition: Where new securities are issued in lieu of a cash dividend, the notional tax is the tax on the amount of cash that would have been paid.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.35 TaxArrearsAmount <TaxArrearsAmt>

Presence: [0..1]

Definition: Amount of money paid by the tax authorities in addition to the payment of the tax refund itself.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.36 OriginalAmount <OrgnlAmt>

Presence: [0..1]

Definition: Posting/settlement Amount in its original currency when conversion from/into another currency has occurred.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.37 PrincipalOrCorpus <PrncplOrCrps>

Presence: [0..1]

Definition: Amount of money representing a distribution of a bond's principal, for example, repayment of outstanding debt.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.38 RedemptionPremiumAmount <RedPrmAmt>

Presence: [0..1]

Definition: Amount of money (not interest) in addition to the principal at the redemption of a bond.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.39 IncomePortion <IncmPrtn>

Presence: [0..1]

Definition: Amount relating to the underlying security for which income is distributed.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.40 StockExchangeTax <StockXchgTax>

Presence: [0..1]

Definition: Amount of stock exchange tax.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.41 EUTaxRetentionAmount <EUTaxRtnAmt>

Presence: [0..1]

Definition: Total amount of tax withheld at source in conformance with the EU Savings Directive.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.42 AccruedInterestAmount <AcrdIntrstAmt>

Presence: [0..1]

Definition: Amount of interest that has been accrued in between coupon payment periods.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.43 EqualisationAmount <EqualstnAmt>

Presence: [0..1]

Definition: Portion of the fund distribution amount which represents the average accrued income included in the purchase price for units bought during the account period.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.44 FATCATaxAmount <FATCATaxAmt>

Presence: [0..1]

Definition: FATCA (Foreign Account Tax Compliance Act) related tax amount.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.45 NRATaxAmount <NRATaxAmt>

Presence: [0..1]

Definition: Amount of tax related income subject to NRA (Non Resident Alien).

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.46 BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>

Presence: [0..1]

Definition: Amount of tax related to back up withholding.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.47 TaxOnIncomeAmount <TaxOnIncmAmt>

Presence: [0..1]

Definition: Amount of overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.48 TransactionTax <TxTax>

Presence: [0..1]

Definition: Amount of transaction tax.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.49 DeemedAmount <DmdAmt>

Presence: [0..1]

Definition: Amount of proceeds which is not actually paid to the security holder but on which withholding tax is applicable.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.50 ForeignIncomeAmount <FrgnIncmAmt>

Presence: [0..1]

Definition: Amount relating to a foreign income such as a conduit foreign income.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.51 DeemedDividendAmount <DmdDvddAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of dividend proceeds attributed to the security holder for the taxable year and the rate of dividend proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.52 DeemedFundAmount <DmdFndAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of the proceeds components comprising a fund payment attributed to the security holder for the taxable year and the rate of the fund proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.53 DeemedInterestAmount <DmdIntrstAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of interest proceeds attributed to the security holder for the taxable year and the rate of interest proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.54 DeemedRoyaltiesAmount <DmdRyltsAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of royalty proceeds attributed to the security holder for the taxable year and the rate of royalty proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.55 AdjustedSubscriptionAmount <AdjstdSbcptAmt>

Presence: [0..1]

Definition: Amount of money debited or credited to adjust for the difference between the estimated and the final subscription rate for Rights Exercise events.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.56 RefundedSubscriptionAmount <RfnddSbcptAmt>

Presence: [0..1]

Definition: Amount of money refunded from the original subscription cost for a Rights Exercise event. This occurs when the event is prorated and the subscription cost relating to the unaffected (unaccepted) balance is returned to the holder.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.10.57 BuyUpAmount <BuyUpAmt>

Presence: [0..1]

Definition: Amount of cash debited in the context of a buy up option.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.12.12.11 DateDetails <DtDtls>*Presence:* [1..1]*Definition:* Provides information about the dates related to a cash movement.**DateDetails <DtDtls>** contains the following **CorporateActionDate99** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostingDate <PstngDt>	[1..1]	±		560
	ValueDate <ValDt>	[0..1]	Date		560
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		560
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	Date		560
	PaymentDate <PmtDt>	[0..1]	Date		561

8.4.12.12.11.1 PostingDate <PstngDt>*Presence:* [1..1]*Definition:* Date/time of the posting (credit or debit) to the account.**PostingDate <PstngDt>** contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		749
Or}	DateTime <DtTm>	[1..1]	DateTime		750

8.4.12.12.11.2 ValueDate <ValDt>*Presence:* [0..1]*Definition:* Date/time when calculating economic benefit for a cash amount.*Datatype:* ["ISODate"](#) on page 1177**8.4.12.12.11.3 ForeignExchangeRateFixingDate <FXRateFxdDt>***Presence:* [0..1]*Definition:* Date/time at which a foreign exchange rate will be determined.**ForeignExchangeRateFixingDate <FXRateFxdDt>** contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		749
Or}	DateTime <DtTm>	[1..1]	DateTime		750

8.4.12.12.11.4 EarliestPaymentDate <EarlstPmtDt>*Presence:* [0..1]

Definition: Date/time on which a payment can be made, for example, if the payment date is a non-business day or to indicate the first payment date of an offer.

Datatype: "ISODate" on page 1177

8.4.12.12.11.5 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date on which the distribution is due to take place (cash and/or securities).

Datatype: "ISODate" on page 1177

8.4.12.12.12 ForeignExchangeDetails <FXDtIs>

Presence: [0..*]

Definition: Exchange rate between the amount and the resulting amount.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "ForeignExchangeTerms27" on page 801 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	801
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	801
	ExchangeRate <XchgRate>	[1..1]	Rate		802
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C9	802

8.4.12.12.13 TaxVoucherDetails <TaxVchrDtIs>

Presence: [0..1]

Definition: Provides information about the tax voucher related to a cash movement.

TaxVoucherDetails <TaxVchrDtIs> contains the following **TaxVoucher5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		561
	BargainDate <BrgrnDt>	[0..1]	±		561
	BargainSettlementDate <BrgrnSttlmDt>	[0..1]	±		562

8.4.12.12.13.1 Identification <Id>

Presence: [1..1]

Definition: Unique reference for the tax voucher required by the relevant tax authorities to ensure that any claim relating to this particular tax voucher cannot be duplicated.

Datatype: "RestrictedFINXMax16Text" on page 1187

8.4.12.12.13.2 BargainDate <BrgrnDt>

Presence: [0..1]

Definition: Date on which a dividend reinvestment purchase was completed. If there is only one bargain involved, the time it was struck needs to be included.

BargainDate <BrgnDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		749
Or}	DateTime <DtTm>	[1..1]	DateTime		750

8.4.12.12.13.3 BargainSettlementDate <BrgnSttlmDt>

Presence: [0..1]

Definition: Settlement date of the dividend reinvestment purchase transaction.

BargainSettlementDate <BrgnSttlmDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		749
Or}	DateTime <DtTm>	[1..1]	DateTime		750

8.4.12.12.14 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about the corporate action option.

Impacted by: C12 "DeemedRate1Rule", C13 "DeemedRate2Rule", C24 "GrossDividendRate2Rule", C26 "GrossDividendRate3Rule", C41 "NetDividendRate1Rule", C42 "NetDividendRate2Rule", C63 "SecondLevelTax1Rule", C65 "SecondLevelTax2Rule", C67 "SecondLevelTax3Rule", C71 "WithholdingTaxRate1Rule", C73 "WithholdingTaxRate2Rule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **Rate37** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]	±		564
	ChargesFees <ChrgsFees>	[0..1]	±		565
	FiscalStamp <FscIStmp>	[0..1]	Rate		565
	GrossDividendRate <GrssDvddRate>	[0..*]	±		565
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		566
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		566
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		567
	NetDividendRate <NetDvddRate>	[0..*]	±		567
	ApplicableRate <AplblRate>	[0..1]	Rate		568
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		568
	TaxCreditRate <TaxCdtRate>	[0..1]	±		569
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		569
	SecondLevelTax <ScndLvITax>	[0..*]	±		569
	TaxOnIncome <TaxOnIncm>	[0..1]	±		570
	TaxOnProfits <TaxOnPrfts>	[0..1]	Rate		570
	TaxReclaimRate <TaxRclmRate>	[0..1]	Rate		570
	EqualisationRate <EqulstnRate>	[0..1]	Amount	C1	570
	DeemedRate <DmdRate>	[0..*]	±		571

Constraints

- **DeemedRate1Rule**

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 566 NVR C4).

- **DeemedRate2Rule**

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate may be present, only one of those elements may be present.

(MT 566 NVR C3).

- **GrossDividendRate2Rule**

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 566 NVR C4).

- **GrossDividendRate3Rule**

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

- **NetDividendRate1Rule**

If NetDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 566 NVR C4).

- **NetDividendRate2Rule**

Only a single occurrence of either NetDividendRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 566 NVR C4).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

On Condition
/SecondLevelTax[*] is present
Following Must be True
/WithholdingTaxRate[*] Must be present

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 566 NVR C4).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

8.4.12.12.14.1 AdditionalTax <AddtlTax>

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

AdditionalTax <AddtlTax> contains one of the following elements (see "RateAndAmountFormat43Choice" on page 1054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1055

8.4.12.12.14.2 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following elements (see "RateAndAmountFormat43Choice" on page 1054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1055

8.4.12.12.14.3 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

Datatype: "PercentageRate" on page 1182

8.4.12.12.14.4 GrossDividendRate <GrssDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity before deductions or allowances have been made.

GrossDividendRate <GrssDvddRate> contains one of the following elements (see "GrossDividendRateFormat40Choice" on page 1012 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1012
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1013
	Amount <Amt>	[1..1]	Amount	C1	1013
	RateStatus <RateSts>	[1..1]	CodeSet		1013
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1013
	RateType <RateTp>	[1..1]			1014
{Or	Code <Cd>	[1..1]	CodeSet		1014
Or}	Proprietary <Prtry>	[1..1]	±		1015
	Amount <Amt>	[1..1]	Amount	C1	1015
	RateStatus <RateSts>	[0..1]		C100	1016
{Or	Code <Cd>	[1..1]	CodeSet		1016
Or}	Proprietary <Prtry>	[1..1]	±		1016

8.4.12.12.14.5 EarlySolicitationFeeRate <EarlySlctnFeeRate>

Presence: [0..1]

Definition: Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.

EarlySolicitationFeeRate <EarlySlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat9Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1042
Or	AmountToQuantity <AmtToQty>	[1..1]			1042
	Amount <Amt>	[1..1]	Amount	C1	1042
	Quantity <Qty>	[1..1]	Quantity		1043
Or}	Amount <Amt>	[1..1]	Amount	C1	1043

8.4.12.12.14.6 ThirdPartyIncentiveRate <ThrdPtyIncntivRate>

Presence: [0..1]

Definition: Cash rate made available in an event in order to encourage participation in the offer. As information, Payment is made to a third party who has solicited an entity to take part in the offer.

ThirdPartyIncentiveRate <ThrdPtyIncntivRate> contains one of the following elements (see "RateAndAmountFormat43Choice" on page 1054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1055

8.4.12.12.14.7 InterestRateUsedForPayment <IntrstRateUsdForPmt>

Presence: [0..*]

Definition: Actual interest rate used for the payment of the interest for the specified interest period.

InterestRateUsedForPayment <IntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat9Choice" on page 1048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1048
Or	Amount <Amt>	[1..1]	Amount	C1	1048
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1049
	RateType <RateTp>	[1..1]			1049
{Or	Code <Cd>	[1..1]	CodeSet		1049
Or}	Proprietary <Prtry>	[1..1]	±		1050
	Amount <Amt>	[1..1]	Amount	C1	1050
	RateStatus <RateSts>	[0..1]		C100	1050
{Or	Code <Cd>	[1..1]	CodeSet		1050
Or}	Proprietary <Prtry>	[1..1]	±		1051

8.4.12.12.14.8 NetDividendRate <NetDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity after deductions or allowances have been made.

NetDividendRate <NetDvddRate> contains one of the following elements (see "NetDividendRateFormat42Choice" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1003
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1003
	Amount <Amt>	[1..1]	Amount	C1	1004
	RateStatus <RateSts>	[1..1]	CodeSet		1004
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1004
	RateType <RateTp>	[1..1]			1004
{Or	Code <Cd>	[1..1]	CodeSet		1005
Or}	Proprietary <Prtry>	[1..1]	±		1005
	Amount <Amt>	[1..1]	Amount	C1	1006
	RateStatus <RateSts>	[0..1]		C100	1006
{Or	Code <Cd>	[1..1]	CodeSet		1006
Or}	Proprietary <Prtry>	[1..1]	±		1007

8.4.12.12.14.9 ApplicableRate <AplblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

Datatype: "PercentageRate" on page 1182

8.4.12.12.14.10 SolicitationFeeRate <SlctnFeeRate>

Presence: [0..1]

Definition: Rate of the cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fee.

SolicitationFeeRate <SlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat9Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1042
Or	AmountToQuantity <AmtToQty>	[1..1]			1042
	Amount <Amt>	[1..1]	Amount	C1	1042
	Quantity <Qty>	[1..1]	Quantity		1043
Or}	Amount <Amt>	[1..1]	Amount	C1	1043

8.4.12.12.14.11 TaxCreditRate <TaxCdtRate>*Presence:* [0..1]*Definition:* Amount of money per equity allocated as the result of a tax credit.**TaxCreditRate <TaxCdtRate>** contains one of the following elements (see ["RateFormat23Choice"](#) on page 1016 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1017
Or}	Amount <Amt>	[1..1]	Amount	C1	1017

8.4.12.12.14.12 WithholdingTaxRate <WhldgTaxRate>*Presence:* [0..*]*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.**WithholdingTaxRate <WhldgTaxRate>** contains one of the following elements (see ["RateAndAmountFormat45Choice"](#) on page 1051 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1051
Or	Amount <Amt>	[1..1]	Amount	C1	1051
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1052
	RateType <RateTp>	[1..1]			1052
{Or	Code <Cd>	[1..1]	CodeSet		1052
Or}	Proprietary <Prtry>	[1..1]	±		1053
	Rate <Rate>	[1..1]	Rate		1053

8.4.12.12.14.13 SecondLevelTax <ScndLvlTax>*Presence:* [0..*]*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following elements (see "RateAndAmountFormat45Choice" on page 1051 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1051
Or	Amount <Amt>	[1..1]	Amount	C1	1051
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1052
	RateType <RateTp>	[1..1]			1052
{Or	Code <Cd>	[1..1]	CodeSet		1052
Or}	Proprietary <Prtry>	[1..1]	±		1053
	Rate <Rate>	[1..1]	Rate		1053

8.4.12.12.14.14 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as an income.

TaxOnIncome <TaxOnIncm> contains one of the following elements (see "RateAndAmountFormat43Choice" on page 1054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1055

8.4.12.12.14.15 TaxOnProfits <TaxOnPrfts>

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as capital profits, capital gains.

Datatype: "PercentageRate" on page 1182

8.4.12.12.14.16 TaxReclaimRate <TaxRclmRate>

Presence: [0..1]

Definition: Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

Datatype: "PercentageRate" on page 1182

8.4.12.12.14.17 EqualisationRate <EqulstnRate>

Presence: [0..1]

Definition: Portion of the fund distribution which represents the average accrued income included in the purchase price for units bought during the account period.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.12.12.14.18 DeemedRate <DmdRate>

Presence: [0..*]

Definition: Rate applied for the calculation of deemed proceeds which are not paid to security holders but on which withholding tax is applicable.

DeemedRate <DmdRate> contains one of the following elements (see "RateAndAmountFormat54Choice" on page 1007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1008
Or	Amount <Amt>	[1..1]	Amount	C1	1008
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1008
	RateType <RateTp>	[1..1]			1008
{Or	Code <Cd>	[1..1]	CodeSet		1009
Or}	Proprietary <Prtry>	[1..1]	±		1009
	Amount <Amt>	[1..1]	Amount	C1	1009
	RateStatus <RateSts>	[0..1]		C100	1010
{Or	Code <Cd>	[1..1]	CodeSet		1010
Or}	Proprietary <Prtry>	[1..1]	±		1010
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1010
	RateType <RateTp>	[1..1]			1011
{Or	Code <Cd>	[1..1]	CodeSet		1011
Or}	Proprietary <Prtry>	[1..1]	±		1011
	Rate <Rate>	[1..1]	Rate		1012

8.4.12.12.15 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

Impacted by: C21 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **PriceDetails33** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		572
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		572
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		573

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

8.4.12.12.15.1 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat55Choice" on page 978 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			979
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		979
	PriceValue <PricVal>	[1..1]	Rate		979
Or	AmountPrice <AmtPric>	[1..1]	±		979
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		980

8.4.12.12.15.2 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat68Choice" on page 964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			964
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		965
	PriceValue <PricVal>	[1..1]	Rate		965
Or	AmountPrice <AmtPric>	[1..1]	±		965
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			965
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		966
	PriceValue <PricVal>	[1..1]	Amount	C1	966
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C13	966
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			967
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		967
	PriceValue <PricVal>	[1..1]	Amount	C1	967
	Amount <Amt>	[1..1]	Amount	C1	967
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		968

8.4.12.12.15.3 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following elements (see "PriceFormat52Choice" on page 980 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			980
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		980
	PriceValue <PricVal>	[1..1]	Rate		981
Or}	AmountPrice <AmtPric>	[1..1]	±		981

8.4.13 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative35** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		574
	NarrativeVersion <NrrtvVrsn>	[0..*]	Text		574
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		574
	TaxationConditions <TaxtnConds>	[0..*]	Text		574

8.4.13.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "RestrictedFINXMax350Text" on page 1189

8.4.13.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

Datatype: "RestrictedFINXMax350Text" on page 1189

8.4.13.3 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "RestrictedFINXMax350Text" on page 1189

8.4.13.4 TaxationConditions <TaxtnConds>

Presence: [0..*]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

Datatype: "RestrictedFINXMax350Text" on page 1189

8.4.14 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

8.4.15 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

8.4.16 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

8.4.17 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C69 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		933
	Envelope <Envlp>	[1..1]	(External Schema)		933

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

9 **seev.037.002.15**

CorporateActionMovementReversalAdvice002V15

9.1 **MessageDefinition Functionality**

Scope

The CorporateActionMovementReversalAdvice message is sent by an account servicer to an account owner or its designated agent to reverse a previously confirmed posting of securities or cash.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionMovementReversalAdvice002V15 MessageDefinition is composed of 14 MessageBuildingBlocks:

- MovementConfirmationIdentification**
Identification of a previously sent movement confirmation document.
- OtherDocumentIdentification**
Identification of other documents as well as the document number.
- EventsLinkage**
Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.
- ReversalReason**
Reason for the reversal.
- TransactionIdentification**
Transaction type and identification information.
- CorporateActionGeneralInformation**
General information about the corporate action event.
- AccountDetails**
General information about the safekeeping account, owner and account balance.
- CorporateActionDetails**

Information about the corporate action event.

I. CorporateActionConfirmationDetails

Information about the corporate action option.

J. AdditionalInformation

Provides additional information.

K. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.

L. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

M. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

N. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

9.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnMvmntRvslAdv>	[1..1]		C2, C11, C16, C14	
	MovementConfirmationIdentification <MvmntConflId>	[1..1]	±		583
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		583
	EventsLinkage <EvtsLkg>	[0..*]	±		584
	ReversalReason <RvslRsn>	[0..1]	±	C3	584
	TransactionIdentification <TxId>	[0..1]			585
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[1..1]	Text		585
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C15	585
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		586
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		586
	ClassActionNumber <ClsActnNb>	[0..1]	Text		586
	EventType <EvtTp>	[1..1]	±	C9	586
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C6, C7, C12, C13, C17	587
	FractionalQuantity <FrctnlQty>	[0..1]	±	C8	588
	AccountDetails <AcctDtls>	[1..1]		C18, C19, C20	588
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		589
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		589
	ConfirmedBalance <ConfdBal>	[1..1]	±		589
	CorporateActionDetails <CorpActnDtls>	[0..1]			589
	DateDetails <DtDtls>	[0..1]			590
	RecordDate <RcrdDt>	[0..1]	±		590
	ExDividendDate <ExDvddDt>	[0..1]	±		590
	EventStage <EvtStag>	[0..1]	±		591
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..1]	±		591
	IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>	[0..1]	±		591

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	LotteryType <LtryTp>	[0..1]	±		592
	CorporateActionConfirmationDetails <CorpActnConfDtls>	[1..1]			592
	OptionNumber <OptnNb>	[1..1]	±		593
	OptionType <OptnTp>	[1..1]	±		593
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]			594
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C6, C7, C12, C13, C17	594
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		595
	PostingQuantity <PstngQty>	[1..1]	±		595
	PostingDate <PstngDt>	[1..1]	±		596
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		596
	CashMovementDetails <CshMvmntDtls>	[0..*]			596
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		597
	Account <Acct>	[0..1]			597
{Or	CashAccount <CshAcct>	[1..1]	±		597
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		598
Or}	TaxAccount <TaxAcct>	[1..1]	±		598
	PostingDate <PstngDt>	[1..1]	±		598
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		598
	ValueDate <ValDt>	[0..1]	Date		599
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	599
	AdditionalInformation <AddtlInf>	[0..1]			599
	AdditionalText <AddtlTxt>	[0..*]	Text		600
	NarrativeVersion <NrrtvVrsn>	[0..*]	Text		600
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		600
	TaxationConditions <TaxtnConds>	[0..*]	Text		600
	IssuerAgent <IssrAgt>	[0..*]	±		600
	PayingAgent <PngAgt>	[0..*]	±		600
	SubPayingAgent <SubPngAgt>	[0..*]	±		601
	SupplementaryData <SplmtryData>	[0..*]	±	C22	601

9.3 Constraints

C1 **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 **AdditionalInformationRule**

All elements in the AdditionalInformation sequence must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in AdditionalInformation/NarrativeVersion.

This constraint is defined at the MessageDefinition level.

C3 **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C4 **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C6 **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C7 **DescriptionUsageRule**

Description must be used alone as the last resort.

C8 **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C9 **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

C10 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C11 IntermediateSecuritiesDistribution1Rule

If CorporateActionGeneralInformation/EventType/Code is RHD1 (IntermediateSecuritiesDistribution), then CorporateActionDetails/

IntermediateSecuritiesDistributionType must be present.

(MT 566 NVR C5).

On Condition

/CorporateActionGeneralInformation/EventType/Code is equal to value 'IntermediateSecuritiesDistribution'

Following Must be True

/CorporateActionDetails Must be present

And /CorporateActionDetails/IntermediateSecuritiesDistributionType Must be present

This constraint is defined at the MessageDefinition level.

C12 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C13 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C14 IssuerAgentGuideline

IssuerAgent must be used only in the communication between a CSD and local custodians.

This constraint is defined at the MessageDefinition level.

C15 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C16 OptionNumberRule

The CorporateActionConfirmationDetails/OptionNumber value provided must be the same as the one provided in the related CorporateActionOptionDetails/OptionNumber element of the CorporateActionNotification or CorporateActionMovementPreliminaryAdvice messages previously sent.

This constraint is defined at the MessageDefinition level.

C17 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C18 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C19 SafekeepingAccountOrBlockchainAddress2Rule

If BlockchainAddressOrWallet is present then SafekeepingAccount must be absent.

C20 SafekeepingAccountOrBlockchainAddress3Rule

If SafekeepingAccount is present, then BlockchainAddressOrWallet must be absent.

C21 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C22 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C23 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

9.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

9.4.1 MovementConfirmationIdentification <MvmntConfld>

Presence: [1..1]

Definition: Identification of a previously sent movement confirmation document.

MovementConfirmationIdentification <MvmntConfld> contains the following elements (see "DocumentIdentification37" on page 755 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		755
	LinkageType <LkgTp>	[0..1]	±	C32	755

9.4.2 OtherDocumentIdentification <OthrDocld>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification38" on page 758 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			758
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		758
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		758
	DocumentNumber <DocNb>	[0..1]	±	C6	758
	LinkageType <LkgTp>	[0..1]	±	C32	759

9.4.3 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "CorporateActionEventReference4" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			929
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		929
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		929
	LinkageType <LkgTp>	[0..1]	±	C32	929

9.4.4 ReversalReason <RvslRsn>

Presence: [0..1]

Definition: Reason for the reversal.

Impacted by: C3 "AdditionalReasonInformationRule"

ReversalReason <RvslRsn> contains the following elements (see "CorporateActionReversalReason7" on page 811 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]	±		811
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		811

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

9.4.5 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Transaction type and identification information.

TransactionIdentification <TxId> contains the following **TransactionIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[1..1]	Text		585

9.4.5.1 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

Presence: [1..1]

Definition: Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

Datatype: "RestrictedFINMax35Text" on page 1186

9.4.6 CorporateActionGeneralInformation <CorpActnGnInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C15 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnInf> contains the following **CorporateActionGeneralInformation167** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		586
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		586
	ClassActionNumber <ClssActnNb>	[0..1]	Text		586
	EventType <EvtTp>	[1..1]	±	C9	586
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C6, C7, C12, C13, C17	587
	FractionalQuantity <FrctnQty>	[0..1]	±	C8	588

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

9.4.6.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 1187](#)

9.4.6.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 1187](#)

9.4.6.3 ClassActionNumber <ClsActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: ["RestrictedFINXMax16Text" on page 1187](#)

9.4.6.4 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: [C9 "EventTypeRule"](#)

EventType <EvtTp> contains one of the following elements (see ["CorporateActionEventType96Choice" on page 844](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		845
Or}	Proprietary <Prtry>	[1..1]	±		851

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

9.4.6.5 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security concerned by the corporate action.

Impacted by: C6 "DescriptionPresenceRule", C7 "DescriptionUsageRule", C12 "ISINGuideline", C13 "ISINPresenceRule", C17 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		767
	OtherIdentification <OthrId>	[0..*]			767
	Identification <Id>	[1..1]	Text		768
	Suffix <Sfx>	[0..1]	Text		768
	Type <Tp>	[1..1]	±		768
	Description <Desc>	[0..1]	Text		768

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or
  /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or
  /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition
 /OtherIdentification[*] is absent
 Following Must be True
 /ISIN Must be present
 Or /Description Must be present

9.4.6.6 FractionalQuantity <FrctnlQty>

Presence: [0..1]

Definition: Fractional quantity resulting from an event which will be paid with cash in lieu.

Impacted by: C8 "DigitalTokenUnitUsageRule"

FractionalQuantity <FrctnlQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1001
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1001
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1002
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1002

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

9.4.7 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

Impacted by: C18 "SafekeepingAccountOrBlockChainAddress1Rule", C19 "SafekeepingAccountOrBlockChainAddress2Rule", C20 "SafekeepingAccountOrBlockChainAddress3Rule"

AccountDetails <AcctDtls> contains the following **AccountAndBalance54** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		589
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		589
	ConfirmedBalance <ConfdBal>	[1..1]	±		589

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True
 /SafekeepingAccount Must be present
 Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition
 /BlockChainAddressOrWallet is present
 Following Must be True
 /SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition
 /SafekeepingAccount is present
 Following Must be True
 /BlockChainAddressOrWallet Must be absent

9.4.7.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1189

9.4.7.2 BlockChainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1187

9.4.7.3 ConfirmedBalance <ConfdBal>

Presence: [1..1]

Definition: Balance to which the payment applies (less or equal to the total eligible balance).

ConfirmedBalance <ConfdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

9.4.8 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction78** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			590
	RecordDate <RcrdDt>	[0..1]	±		590
	ExDividendDate <ExDvddDt>	[0..1]	±		590
	EventStage <EvtStag>	[0..1]	±		591
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..1]	±		591
	IntermediateSecuritiesDistributionType <IntrmdtScitiesDstrbtnTp>	[0..1]	±		591
	LotteryType <LtryTp>	[0..1]	±		592

9.4.8.1 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

DateDetails <DtDtls> contains the following **CorporateActionDate89** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		590
	ExDividendDate <ExDvddDt>	[0..1]	±		590

9.4.8.1.1 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

9.4.8.1.2 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

9.4.8.2 EventStage <EvtStag>

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat15Choice" on page 884 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		884
Or}	Proprietary <Prtry>	[1..1]	±		884

9.4.8.3 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>

Presence: [0..1]

Definition: Indicates that the additional business process relates to a claim on the associated corporate action event.

AdditionalBusinessProcessIndicator <AddtlBizPrclnd> contains one of the following elements (see "AdditionalBusinessProcessFormat14Choice" on page 882 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		882
Or}	Proprietary <Prtry>	[1..1]	±		884

9.4.8.4 IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtp>

Presence: [0..1]

Definition: Type of intermediates securities distribution.

IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtp> contains one of the following elements (see "IntermediateSecuritiesDistributionTypeFormat18Choice" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		914
Or}	Proprietary <Prtry>	[1..1]	±		915

9.4.8.5 LotteryType <LtryTp>*Presence:* [0..1]*Definition:* Specifies the type of lottery announced.**LotteryType <LtryTp>** contains one of the following elements (see "[LotteryTypeFormat5Choice](#)" on page 910 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		911
Or}	Proprietary <Prtry>	[1..1]	±		911

**9.4.9 CorporateActionConfirmationDetails
<CorpActnConfDtls>***Presence:* [1..1]*Definition:* Information about the corporate action option.

CorporateActionConfirmationDetails <CorpActnConfDtls> contains the following
CorporateActionOption227 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		593
	OptionType <OptnTp>	[1..1]	±		593
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]			594
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C6, C7, C12, C13, C17	594
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		595
	PostingQuantity <PstngQty>	[1..1]	±		595
	PostingDate <PstngDt>	[1..1]	±		596
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		596
	CashMovementDetails <CshMvmntDtls>	[0..*]			596
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		597
	Account <Acct>	[0..1]			597
{Or	CashAccount <CshAcct>	[1..1]	±		597
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		598
Or}	TaxAccount <TaxAcct>	[1..1]	±		598
	PostingDate <PstngDt>	[1..1]	±		598
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		598
	ValueDate <ValDt>	[0..1]	Date		599
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	599

9.4.9.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "[OptionNumber1Choice](#)" on page 933 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		933
Or}	Code <Cd>	[1..1]	CodeSet		933

9.4.9.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "[CorporateActionOption39Choice](#)" on page 842 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		843
Or}	Proprietary <Prtry>	[1..1]	±		844

9.4.9.3 SecuritiesMovementDetails <SctiesMvmntDtls>

Presence: [0..*]

Definition: Provides information about the securities movement linked to the corporate action option.

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following **SecuritiesOption86** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C6, C7, C12, C13, C17	594
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		595
	PostingQuantity <PstngQty>	[1..1]	±		595
	PostingDate <PstngDt>	[1..1]	±		596
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		596

9.4.9.3.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the financial instrument.

Impacted by: C6 "DescriptionPresenceRule", C7 "DescriptionUsageRule", C12 "ISINGuideline", C13 "ISINPresenceRule", C17 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "[SecurityIdentification20](#)" on page 766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		767
	OtherIdentification <OthrId>	[0..*]			767
	Identification <Id>	[1..1]	Text		768
	Suffix <Sfx>	[0..1]	Text		768
	Type <Tp>	[1..1]	±		768
	Description <Desc>	[0..1]	Text		768

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

9.4.9.3.2 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1144

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

9.4.9.3.3 PostingQuantity <PstngQty>

Presence: [1..1]

Definition: Quantity of securities that have been posted (credit or debit) to the safekeeping account.

PostingQuantity <PstngQty> contains one of the following elements (see "[Quantity54Choice](#)" on page 996 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C13	996
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		997

9.4.9.3.4 PostingDate <PstngDt>

Presence: [1..1]

Definition: Date of the posting (credit or debit) to the account.

PostingDate <PstngDt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		749
Or}	DateTime <DtTm>	[1..1]	DateTime		750

9.4.9.3.5 OriginalPostingDate <OrgnlPstngDt>

Presence: [0..1]

Definition: Date/Time of the posting (credit or debit) to the account that was initially communicated in the confirmation.

OriginalPostingDate <OrgnlPstngDt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		749
Or}	DateTime <DtTm>	[1..1]	DateTime		750

9.4.9.4 CashMovementDetails <CshMvmntDtls>

Presence: [0..*]

Definition: Provides information about the cash movement related to a corporate action option.

CashMovementDetails <CshMvmntDtls> contains the following **CashOption99** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		597
	Account <Acct>	[0..1]			597
{Or	CashAccount <CshAcct>	[1..1]	±		597
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		598
Or}	TaxAccount <TaxAcct>	[1..1]	±		598
	PostingDate <PstngDt>	[1..1]	±		598
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		598
	ValueDate <ValDt>	[0..1]	Date		599
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	599

9.4.9.4.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Indicates whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1144

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

9.4.9.4.2 Account <Acct>

Presence: [0..1]

Definition: Choice between a cash account, a charges account or a tax account.

Account <Acct> contains one of the following **Account9Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccount <CshAcct>	[1..1]	±		597
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		598
Or}	TaxAccount <TaxAcct>	[1..1]	±		598

9.4.9.4.2.1 CashAccount <CshAcct>

Presence: [1..1]

Definition: Account in which cash is maintained.

CashAccount <CshAcct> contains one of the following elements (see "[CashAccountIdentification6Choice](#)" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	731
Or}	Proprietary <Prtry>	[1..1]	Text		732

9.4.9.4.2.2 ChargesAccount <ChrgsAcct>

Presence: [1..1]

Definition: Account to be used for charges if different from the account for payment.

ChargesAccount <ChrgsAcct> contains one of the following elements (see "[CashAccountIdentification6Choice](#)" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	731
Or}	Proprietary <Prtry>	[1..1]	Text		732

9.4.9.4.2.3 TaxAccount <TaxAcct>

Presence: [1..1]

Definition: Account to be used for taxes if different from the account for payment.

TaxAccount <TaxAcct> contains one of the following elements (see "[CashAccountIdentification6Choice](#)" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	731
Or}	Proprietary <Prtry>	[1..1]	Text		732

9.4.9.4.3 PostingDate <PstngDt>

Presence: [1..1]

Definition: Date/Time of the posting (credit or debit) to the account.

PostingDate <PstngDt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		749
Or}	DateTime <DtTm>	[1..1]	DateTime		750

9.4.9.4.4 OriginalPostingDate <OrgnlPstngDt>

Presence: [0..1]

Definition: Date/Time of the posting (credit or debit) to the account that was initially communicated in the confirmation.

OriginalPostingDate <OrgnIPstngDt> contains one of the following elements (see "DateAndDateTime2Choice" on page 749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		749
Or}	DateTime <DtTm>	[1..1]	DateTime		750

9.4.9.4.5 ValueDate <ValDt>

Presence: [0..1]

Definition: Date/time when calculating economic benefit for a cash amount.

Datatype: "ISODate" on page 1177

9.4.9.4.6 PostingAmount <PstngAmt>

Presence: [1..1]

Definition: Amount of money that is to be/was posted to the account.

Impacted by: C1 "ActiveCurrency", C5 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

9.4.10 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative35** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		600
	NarrativeVersion <NrrtvVrsn>	[0..*]	Text		600
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		600
	TaxationConditions <TaxtnConds>	[0..*]	Text		600

9.4.10.1 AdditionalText <AddtlTxt>*Presence:* [0..*]*Definition:* Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.*Datatype:* "RestrictedFINXMax350Text" on page 1189**9.4.10.2 NarrativeVersion <NrrtvVrsn>***Presence:* [0..*]*Definition:* Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.*Datatype:* "RestrictedFINXMax350Text" on page 1189**9.4.10.3 PartyContactNarrative <PtyCtctNrrtv>***Presence:* [0..*]*Definition:* Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.*Datatype:* "RestrictedFINXMax350Text" on page 1189**9.4.10.4 TaxationConditions <TaxtnConds>***Presence:* [0..*]*Definition:* Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).*Datatype:* "RestrictedFINXMax350Text" on page 1189**9.4.11 IssuerAgent <IssrAgt>***Presence:* [0..*]*Definition:* Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.**IssuerAgent <IssrAgt>** contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

9.4.12 PayingAgent <PngAgt>*Presence:* [0..*]*Definition:* Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see "[PartyIdentification137Choice](#)" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

9.4.13 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "[PartyIdentification137Choice](#)" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

9.4.14 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: [C22 "SupplementaryDataRule"](#)

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		933
	Envelope <Envlp>	[1..1]	(External Schema)		933

Constraints

- SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

10 **seev.038.002.08**

CorporateActionNarrative002V08

10.1 **MessageDefinition Functionality**

Scope

The CorporateActionNarrative message is sent between an account servicer and an account owner or its designated agent to cater for such processes that cannot be handled using messages such as the CorporateActionNotification. It is not to be used for event narrative as that should be included in the CorporateActionNotification. Examples of when the CorporateActionNarrative message may be used include tax reclaims, restrictions, documentation requirements. This message should only be used when bilaterally agreed. This message is bi-directional.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionNarrative002V08 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. AccountDetails

General information about the safekeeping account and the account owner.

B. UnderlyingSecurity

Provides information about the securitised right for entitlement.

C. CorporateActionGeneralInformation

General information about the corporate action event.

D. AdditionalInformation

Provides additional information.

E. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

10.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnNrrtv>	[1..1]			
	AccountDetails <AcctDtls>	[0..1]			604
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		605
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C10, C11, C12, C13	605
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		606
	BlockchainAddressOrWallet <BlckChainAdrOrWlIt>	[0..1]	Text		606
	AccountOwner <AcctOwnr>	[0..1]	±		606
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		607
	ConfirmedBalance <ConfdBal>	[1..1]	±		607
	UnderlyingSecurity <UndrlygScty>	[0..1]	±	C3, C4, C6, C7, C9	607
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C8	608
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		609
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		609
	NarrativeType <NrrtvTp>	[0..1]	±		609
	AdditionalInformation <AddtlInf>	[1..*]	Text		610
	SupplementaryData <SplmtryData>	[0..*]	±	C14	610

10.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C6 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C7 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C8 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C9 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C10 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C11 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C12 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C13 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C14 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

10.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

10.4.1 AccountDetails <AcctDtls>

Presence: [0..1]

Definition: General information about the safekeeping account and the account owner.

AccountDetails <AcctDtls> contains one of the following **AccountIdentification53Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		605
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C10, C11, C12, C13	605
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		606
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		606
	AccountOwner <AcctOwnr>	[0..1]	±		606
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		607
	ConfirmedBalance <ConfdBal>	[1..1]	±		607

10.4.1.1 ForAllAccounts <ForAllAccts>

Presence: [1..1]

Definition: All safekeeping accounts that own underlying financial instrument.

ForAllAccounts <ForAllAccts> contains the following elements (see "[AccountIdentification10](#)" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		731

10.4.1.2 AccountsListAndBalanceDetails <AcctsListAndBalDtls>

Presence: [1..*]

Definition: Selected safekeeping accounts list (and optionally balance information) to which the corporate action event applies.

Impacted by: C10 "SafekeepingAccountOrBlockChainAddress1Rule", C11 "SafekeepingAccountOrBlockChainAddress2Rule", C12 "SafekeepingAccountOrBlockChainAddress3Rule", C13 "SafekeepingPlaceRule"

AccountsListAndBalanceDetails <AcctsListAndBalDtls> contains the following **AccountIdentification65** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		606
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		606
	AccountOwner <AcctOwnr>	[0..1]	±		606
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		607
	ConfirmedBalance <ConfdBal>	[1..1]	±		607

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

10.4.1.2.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1189

10.4.1.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWlIt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1187

10.4.1.2.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 945 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	945
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		946

10.4.1.2.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "[SafekeepingPlaceFormat32Choice](#)" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1062
Or	Country <Ctry>	[1..1]	CodeSet	C3	1062
Or	TypeAndIdentification <TpAndId>	[1..1]			1062
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1063
	Identification <Id>	[1..1]	IdentifierSet	C1	1063
Or}	Proprietary <Prtry>	[1..1]	±		1063

10.4.1.2.5 ConfirmedBalance <ConfdBal>

Presence: [1..1]

Definition: Balance to which the payment applies (less or equal to the total eligible balance).

ConfirmedBalance <ConfdBal> contains one of the following elements (see "[BalanceFormat14Choice](#)" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

10.4.2 UnderlyingSecurity <UndrlygScty>

Presence: [0..1]

Definition: Provides information about the securitised right for entitlement.

Impacted by: [C3 "DescriptionPresenceRule"](#), [C4 "DescriptionUsageRule"](#), [C6 "ISINGuideline"](#), [C7 "ISINPresenceRule"](#), [C9 "OtherIdentificationPresenceRule"](#)

UnderlyingSecurity <UndrlygScty> contains the following elements (see "SecurityIdentification20" on page 766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		767
	OtherIdentification <Othrld>	[0..*]			767
	Identification <Id>	[1..1]	Text		768
	Suffix <Sfx>	[0..1]	Text		768
	Type <Tp>	[1..1]	±		768
	Description <Desc>	[0..1]	Text		768

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

10.4.3 CorporateActionGeneralInformation <CorpActnGnllnf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C8 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation102** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		609
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		609
	NarrativeType <NrrtvTp>	[0..1]	±		609

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

10.4.3.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1187

10.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1187

10.4.3.3 NarrativeType <NrrtvTp>

Presence: [0..1]

Definition: Specifies the type of narrative related to the message.

NarrativeType <NrrtvTp> contains one of the following elements (see "CorporateActionNarrative4Choice" on page 810 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		810
Or}	Proprietary <Prtry>	[1..1]	±		811

10.4.4 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional information.

Datatype: "RestrictedFINZMax8000Text" on page 1190

10.4.5 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C14 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		933
	Envelope <Envlp>	[1..1]	(External Schema)		933

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

11 **seev.039.002.12**

CorporateActionCancellationAdvice002V12

11.1 **MessageDefinition Functionality**

Scope

The CorporateActionCancellationAdvice message is sent by an account servicer to an account owner or its designated agent to cancel a previously announced corporate action event in case of error from the account servicer or in case of withdrawal by the issuer.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionCancellationAdvice002V12 MessageDefinition is composed of 15 MessageBuildingBlocks:

- A. CancellationAdviceGeneralInformation**
General information about the event cancellation status and cancellation reason.
- B. CorporateActionGeneralInformation**
General information about the corporate action event.
- C. AccountsDetails**
General information about the safekeeping account and the account owner.
- D. CorporateActionDetails**
Information about the corporate action event.
- E. AdditionalText**
Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.
- F. IssuerAgent**
Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.
- G. PayingAgent**
Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

H. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeree.

I. Registrar

Party/agent responsible for maintaining the register of a security.

J. ResellingAgent

Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

K. PhysicalSecuritiesAgent

Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

L. DropAgent

Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

M. SolicitationAgent

Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

N. InformationAgent

Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

O. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

11.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnCxlAdv>	[1..1]			
	CancellationAdviceGeneralInformation <CxlAdvGnllnf>	[1..1]			615
	CancellationReasonCode <CxlRsnCd>	[1..1]	CodeSet		616
	CancellationReason <CxlRsn>	[0..1]	Text		616
	ProcessingStatus <PrcgSts>	[1..1]			616
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		616
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		617
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]		C8	617
	CorporateActionEventIdentification <CorpActnEvtld>	[1..1]	Text		618
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtld>	[0..1]	Text		618
	ClassActionNumber <ClsActnNb>	[0..1]	Text		618
	EventType <EvtTp>	[1..1]	±	C5	618
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		619
	FinancialInstrumentIdentification <FinInstrmld>	[1..1]	±	C3, C4, C6, C7, C9	619
	AccountsDetails <AcctsDtls>	[1..1]			620
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		620
Or}	AccountsList <AcctsList>	[1..*]		C10, C11, C12, C13	621
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		621
	BlockchainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		622
	AccountOwner <AcctOwnr>	[0..1]	±		622
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		622
	CorporateActionDetails <CorpActnDtls>	[0..1]			622
	DateDetails <DtDtls>	[0..1]			623
	RecordDate <RcrdDt>	[0..1]	±		623
	ExDividendDate <ExDvddDt>	[0..1]	±		623
	EventStage <EvtStag>	[0..1]	±		624
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		624

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	LotteryType <LtryTp>	[0..1]	±		624
	AdditionalText <AddtlTxt>	[0..*]	Text		625
	IssuerAgent <IssrAgt>	[0..*]	±		625
	PayingAgent <PngAgt>	[0..*]	±		625
	SubPayingAgent <SubPngAgt>	[0..*]	±		625
	Registrar <Regar>	[0..1]	±		626
	ResellingAgent <RsellingAgt>	[0..*]	±		626
	PhysicalSecuritiesAgent <PhysScitiesAgt>	[0..1]	±		626
	DropAgent <DrpAgt>	[0..1]	±		627
	SolicitationAgent <SlctnAgt>	[0..*]	±		627
	InformationAgent <InfAgt>	[0..1]	±		627
	SupplementaryData <SplmtryData>	[0..*]	±	C14	628

11.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 EventTypeRule

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

C6 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C7 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C8 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C9 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C10 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C11 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C12 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C13 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C14 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

11.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

11.4.1 CancellationAdviceGeneralInformation <CxlAdvGnInf>

Presence: [1..1]

Definition: General information about the event cancellation status and cancellation reason.

CancellationAdviceGeneralInformation <CxIAdvGnllnf> contains the following **CorporateActionCancellation4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CancellationReasonCode <CxIRsnCd>	[1..1]	CodeSet		616
	CancellationReason <CxIRsn>	[0..1]	Text		616
	ProcessingStatus <PrcgSts>	[1..1]			616
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		616
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		617

11.4.1.1 CancellationReasonCode <CxIRsnCd>

Presence: [1..1]

Definition: Specifies reasons for cancellation of a corporate action event.

Datatype: "CorporateActionCancellationReason1Code" on page 1105

CodeName	Name	Definition
WITH	Withdrawal	Cancellation due to the corporate action event being cancelled by the issuer.
PROC	Processing	Cancellation due to a processing error.

11.4.1.2 CancellationReason <CxIRsn>

Presence: [0..1]

Definition: Additional information about cancellation of a corporate action event.

Datatype: "RestrictedFINXMax140Text" on page 1187

11.4.1.3 ProcessingStatus <PrcgSts>

Presence: [1..1]

Definition: Specifies the status of the details of the event.

ProcessingStatus <PrcgSts> contains the following **CorporateActionEventStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		616
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		617

11.4.1.3.1 EventCompletenessStatus <EvtCmpltnsSts>

Presence: [1..1]

Definition: Indicates whether the details provided about an event are complete or incomplete.

Datatype: "EventCompletenessStatus1Code" on page 1147

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

11.4.1.3.2 EventConfirmationStatus <EvtConfSts>

Presence: [1..1]

Definition: Indicates the status of the occurrence of an event.

Datatype: "EventConfirmationStatus1Code" on page 1147

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

11.4.2 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C8 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation143** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		618
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		618
	ClassActionNumber <ClssActnNb>	[0..1]	Text		618
	EventType <EvtTp>	[1..1]	±	C5	618
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		619
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C9	619

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those

recommendations are available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

11.4.2.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1187

11.4.2.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1187

11.4.2.3 ClassActionNumber <ClsActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "RestrictedFINXMax16Text" on page 1187

11.4.2.4 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C5 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType89Choice" on page 870 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		870
Or}	Proprietary <Prtry>	[1..1]	±		877

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

11.4.2.5 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following elements (see ["CorporateActionMandatoryVoluntary4Choice" on page 927](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		927
Or}	Proprietary <Prtry>	[1..1]	±		927

11.4.2.6 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security concerned by the corporate action.

Impacted by: C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C6 "ISINGuideline", C7 "ISINPresenceRule", C9 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see ["SecurityIdentification20" on page 766](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		767
	OtherIdentification <OthrId>	[0..*]			767
	Identification <Id>	[1..1]	Text		768
	Suffix <Sfx>	[0..1]	Text		768
	Type <Tp>	[1..1]	±		768
	Description <Desc>	[0..1]	Text		768

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition
 /ISIN is absent
 Following Must be True
 /OtherIdentification[*] Must be present
 Or /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition
 /OtherIdentification[*] is absent
 Following Must be True
 /ISIN Must be present
 Or /Description Must be present

11.4.3 AccountsDetails <AcctsDtls>

Presence: [1..1]

Definition: General information about the safekeeping account and the account owner.

AccountsDetails <AcctsDtls> contains one of the following **AccountIdentification50Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		620
Or}	AccountsList <AcctsList>	[1..*]		C10, C11, C12, C13	621
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		621
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		622
	AccountOwner <AcctOwnr>	[0..1]	±		622
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		622

11.4.3.1 ForAllAccounts <ForAllAccts>

Presence: [1..1]

Definition: All safekeeping accounts that own underlying financial instrument.

ForAllAccounts <ForAllAccts> contains the following elements (see "[AccountIdentification10](#)" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		731

11.4.3.2 AccountsList <AcctsList>

Presence: [1..*]

Definition: Selected safekeeping accounts list to which the corporate action event applies.

Impacted by: C10 "SafekeepingAccountOrBlockChainAddress1Rule", C11 "SafekeepingAccountOrBlockChainAddress2Rule", C12 "SafekeepingAccountOrBlockChainAddress3Rule", C13 "SafekeepingPlaceRule"

AccountsList <AcctsList> contains the following **AccountIdentification61** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		621
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		622
	AccountOwner <AcctOwnr>	[0..1]	±		622
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		622

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

 /SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

 /BlockChainAddressOrWallet is present

Following Must be True

 /SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

 /SafekeepingAccount is present

Following Must be True

 /BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

11.4.3.2.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1189

11.4.3.2.2 BlockChainAddressOrWallet <BlckChainAdrOrWllt>*Presence:* [0..1]*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.*Datatype:* "RestrictedFINXMax140Text" on page 1187**11.4.3.2.3 AccountOwner <AcctOwnr>***Presence:* [0..1]*Definition:* Party that legally owns the account.**AccountOwner <AcctOwnr>** contains one of the following elements (see "PartyIdentification136Choice" on page 945 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	945
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		946

11.4.3.2.4 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]*Definition:* Location where the financial instruments are/will be safekept.**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "SafekeepingPlaceFormat32Choice" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1062
Or	Country <Ctry>	[1..1]	CodeSet	C3	1062
Or	TypeAndIdentification <TpAndId>	[1..1]			1062
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1063
	Identification <Id>	[1..1]	IdentifierSet	C1	1063
Or}	Proprietary <Prtry>	[1..1]	±		1063

11.4.4 CorporateActionDetails <CorpActnDtls>*Presence:* [0..1]*Definition:* Information about the corporate action event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction74** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			623
	RecordDate <RcrdDt>	[0..1]	±		623
	ExDividendDate <ExDvddDt>	[0..1]	±		623
	EventStage <EvtStag>	[0..1]	±		624
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		624
	LotteryType <LtryTp>	[0..1]	±		624

11.4.4.1 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

DateDetails <DtDtls> contains the following **CorporateActionDate89** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		623
	ExDividendDate <ExDvddDt>	[0..1]	±		623

11.4.4.1.1 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "[DateFormat41Choice](#)" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

11.4.4.1.2 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

11.4.4.2 EventStage <EvtStag>

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat15Choice" on page 884 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		884
Or}	Proprietary <Prtry>	[1..1]	±		884

11.4.4.3 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>

Presence: [0..*]

Definition: Specifies the type of the additional business process linked to a corporate action event such as a claim compensation or tax refund.

AdditionalBusinessProcessIndicator <AddtlBizPrclnd> contains one of the following elements (see "AdditionalBusinessProcessFormat16Choice" on page 827 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		828
Or}	Proprietary <Prtry>	[1..1]	±		828

11.4.4.4 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following elements (see "LotteryTypeFormat5Choice" on page 910 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		911
Or}	Proprietary <Prtry>	[1..1]	±		911

11.4.5 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "RestrictedFINZMax8000Text" on page 1190

11.4.6 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

11.4.7 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

11.4.8 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

11.4.9 Registrar <Regar>

Presence: [0..1]

Definition: Party/agent responsible for maintaining the register of a security.

Registrar <Regar> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

11.4.10 ResellingAgent <RsellingAgt>

Presence: [0..*]

Definition: Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

ResellingAgent <RsellingAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

11.4.11 PhysicalSecuritiesAgent <PhysSctiesAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

PhysicalSecuritiesAgent <PhysSctiesAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

11.4.12 DropAgent <DrpAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

DropAgent <DrpAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

11.4.13 SolicitationAgent <SlctnAgt>

Presence: [0..*]

Definition: Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

SolicitationAgent <SlctnAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

11.4.14 InformationAgent <InfAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

InformationAgent <InfAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

11.4.15 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C14 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		933
	Envelope <Envlp>	[1..1]	(External Schema)		933

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

12 seev.040.002.12 CorporateActionInstructionCancellationRequest002V12

12.1 MessageDefinition Functionality

Scope

The CorporateActionInstructionCancellationRequest message is sent by an account owner to an account servicer to request cancellation of a previously sent corporate action election instruction.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstructionCancellationRequest002V12 MessageDefinition is composed of 8 MessageBuildingBlocks:

- ChangeInstructionIndicator**
Indicates that cancelled instruction will be replaced by a new corporate action instruction to be sent later.
- InstructionIdentification**
Identification of a previously sent instruction document.
- CorporateActionGeneralInformation**
General information about the corporate action event.
- AccountDetails**
General information about the safekeeping account and the account owner.
- CorporateActionInstruction**
Information about the corporate action option.
- ProtectInstruction**
Provides detailed information on protect and cover protect instructions.
- AdditionalInformation**
Provides additional information.
- SupplementaryData**

Additional information that can not be captured in the structured fields and/or any other specific block.

12.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <CorpActnInstrCxlReq>	[1..1]		C2, C4, C3	
	ChangelInstructionIndicator <ChngInstrInd>	[0..1]	Indicator		634
	InstructionIdentification <InstrId>	[1..1]	±		634
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C9, C13	635
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		635
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		635
	EventType <EvtTp>	[1..1]	±	C10	636
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C6, C7, C11, C12, C16	636
	AccountDetails <AcctDtls>	[1..1]		C17, C18, C19, C20	637
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		638
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		638
	AccountOwner <AcctOwnr>	[0..1]	±		639
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		639
	CorporateActionInstruction <CorpActnInstr>	[1..1]		C14, C15	639
	OptionNumber <OptnNb>	[1..1]	±		640
	OptionType <OptnTp>	[1..1]	±		640
	InstructedQuantity <InstdQty>	[1..1]	±		640
	ProtectInstruction <PrtctInstr>	[0..1]			641
	TransactionType <TxTp>	[1..1]	CodeSet		641
	TransactionIdentification <TxId>	[0..1]	Text		641
	ProtectDate <PrtctDt>	[0..1]	Date		641
	AdditionalInformation <AddtlInf>	[0..1]			641
	AdditionalText <AddtlTxt>	[0..*]	Text		642
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		642
	SupplementaryData <SplmtryData>	[0..*]	±	C21	642

12.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 BeneficialOwnerBreakdownRequestRule

If CorporateActionInstruction/OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT565 NVR C8)

On Condition

/CorporateActionInstruction/OptionType/Code is equal to value
'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C3 ChangeInstructionIndicatorGuideline

ChangeInstructionIndicator may only be used if CorporateActionOptionDetails/WithdrawalAllowedIndicator was set to 'false' or '0' (No) in the CorporateActionNotification message previously received and if CorporateActionOptionDetails/ChangeAllowedIndicator was set to 'true' or '1' (Yes) in the CorporateActionNotification message previously received.

This constraint is defined at the MessageDefinition level.

C4 CorporateActionEventIdentificationRule

If the CorporateActionInstruction message was unsolicited (that is, this instruction has not been preceded by a CorporateActionNotification), then, CorporateActionGeneralInformation/CorporateActionEventIdentification must be NONREF.

This constraint is defined at the MessageDefinition level.

C5 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C6 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C7 DescriptionUsageRule

Description must be used alone as the last resort.

C8 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C9 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C10 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C11 ISINGuideline

When a ISIN code exist, it is strongly recommended that the ISIN be used.

C12 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C13 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C14 OptionNumber1Rule

For differentiation between options, the OptionNumber must be used (preferred rather than the OptionType for example in the case where two or more offers can be described by the same corporate action option code). Therefore, the OptionNumber value must be different for each option.

C15 OptionNumber2Rule

When the CorporateActionInstruction is unsolicited, that is, the instruction has not been preceded by an Corporate ActionNotification, then OptionNumber/code must be UNSO (unsolicited).

C16 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C17 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C18 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C19 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C20 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C21 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C22 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

12.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

12.4.1 ChangelInstructionIndicator <ChnglInstrInd>

Presence: [0..1]

Definition: Indicates that cancelled instruction will be replaced by a new corporate action instruction to be sent later.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

12.4.2 InstructionIdentification <InstrId>

Presence: [1..1]

Definition: Identification of a previously sent instruction document.

InstructionIdentification <InstrId> contains the following elements (see "[DocumentIdentification37](#)" on page 755 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		755
	LinkageType <LkgTp>	[0..1]	±	C32	755

12.4.3 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C9 "EventTypeRule", C13 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation159** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		635
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		635
	EventType <EvtTp>	[1..1]	±	C10	636
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C6, C7, C11, C12, C16	636

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

12.4.3.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1187

12.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1187

12.4.3.3 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C10 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType103Choice" on page 831 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		831
Or}	Proprietary <Prtry>	[1..1]	±		837

Constraints

- **EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

12.4.3.4 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: Identification of the security concerned by the corporate action.

Impacted by: C6 "DescriptionPresenceRule", C7 "DescriptionUsageRule", C11 "ISINGuideline", C12 "ISINPresenceRule", C16 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification21" on page 796 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		797
	OtherIdentification <OthrlId>	[0..*]			797
	Identification <Id>	[1..1]	Text		797
	Suffix <Sfx>	[0..1]	Text		797
	Type <Tp>	[1..1]	±		797
	Description <Desc>	[0..1]	Text		798

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

12.4.4 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account and the account owner.

Impacted by: C17 "SafekeepingAccountOrBlockChainAddress1Rule", C18
"SafekeepingAccountOrBlockChainAddress2Rule", C19
"SafekeepingAccountOrBlockChainAddress3Rule", C20 "SafekeepingPlaceRule"

AccountDetails <AcctDtls> contains the following **AccountIdentification62** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		638
	BlockChainAddressOrWallet <BlckChainAdrOrWlIt>	[0..1]	Text		638
	AccountOwner <AcctOwnr>	[0..1]	±		639
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		639

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

12.4.4.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1189

12.4.4.2 BlockChainAddressOrWallet <BlckChainAdrOrWlIt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "Max140Text" on page 1183

12.4.4.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 945 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	945
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		946

12.4.4.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat34Choice" on page 1059 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1059
Or	Country <Ctry>	[1..1]	CodeSet	C3	1059
Or	TypeAndIdentification <TpAndId>	[1..1]			1060
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1060
	Identification <Id>	[1..1]	IdentifierSet	C1	1060
Or}	Proprietary <Prtry>	[1..1]			1061
	Type <Tp>	[1..1]	±		1061
	Identification <Id>	[0..1]	Text		1061

12.4.5 CorporateActionInstruction <CorpActnInstr>

Presence: [1..1]

Definition: Information about the corporate action option.

Impacted by: C14 "OptionNumber1Rule", C15 "OptionNumber2Rule"

CorporateActionInstruction <CorpActnInstr> contains the following **CorporateActionOption202** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		640
	OptionType <OptnTp>	[1..1]	±		640
	InstructedQuantity <InstdQty>	[1..1]	±		640

Constraints

- **OptionNumber1Rule**

For differentiation between options, the OptionNumber must be used (preferred rather than the OptionType for example in the case where two or more offers can be described by the same corporate action option code). Therefore, the OptionNumber value must be different for each option.

- **OptionNumber2Rule**

When the CorporateActionInstruction is unsolicited, that is, the instruction has not been preceded by an Corporate ActionNotification, then OptionNumber/code must be UNSO (unsolicited).

12.4.5.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "[OptionNumber1Choice](#)" on [page 933](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		933
Or}	Code <Cd>	[1..1]	CodeSet		933

12.4.5.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "[CorporateActionOption43Choice](#)" on [page 838](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		838
Or}	Proprietary <Prtry>	[1..1]	±		839

12.4.5.3 InstructedQuantity <InstdQty>

Presence: [1..1]

Definition: Quantity of securities to which this instruction applies.

InstructedQuantity <InstdQty> contains one of the following elements (see "[Quantity55Choice](#)" on page 995 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		995
Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]	±		996
Or}	Quantity <Qty>	[1..1]	±	C13	996

12.4.6 ProtectInstruction <PrtctInstr>

Presence: [0..1]

Definition: Provides detailed information on protect and cover protect instructions.

ProtectInstruction <PrtctInstr> contains the following **ProtectInstruction7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionType <TxTp>	[1..1]	CodeSet		641
	TransactionIdentification <TxId>	[0..1]	Text		641
	ProtectDate <PrtctDt>	[0..1]	Date		641

12.4.6.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Indicates whether the instruction is a protect or a cover protect instruction.

Datatype: "[ProtectTransactionType3Code](#)" on page 1168

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.

12.4.6.2 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Unique reference of the protect transaction assigned by the depository and used for cover protect validation.

Datatype: "[RestrictedFINMax15Text](#)" on page 1186

12.4.6.3 ProtectDate <PrtctDt>

Presence: [0..1]

Definition: Date at which the protect instruction was created and used for cover protect validation.

Datatype: "[ISODate](#)" on page 1177

12.4.7 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		642
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		642

12.4.7.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "RestrictedFINXMax350Text" on page 1189

12.4.7.2 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "RestrictedFINXMax350Text" on page 1189

12.4.8 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C21 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		933
	Envelope <Envlp>	[1..1]	(External Schema)		933

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

13 seev.041.002.13 CorporateActionInstructionCancellationRequestStatusAdvice002V13

13.1 MessageDefinition Functionality

Scope

The CorporateActionInstructionCancellationRequestStatusAdvice message is sent by an account servicer to an account owner or its designated agent to report the status of a previously received CorporateActionInstructionCancellationRequest message sent by the account owner. This will include the acknowledgement/rejection of a request to cancel an outstanding instruction.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstructionCancellationRequestStatusAdvice002V13 MessageDefinition is composed of 8 MessageBuildingBlocks:

- InstructionCancellationRequestIdentification**
Identification of a related instruction cancellation request document.
- OtherDocumentIdentification**
Identification of other documents as well as the document number.
- CorporateActionGeneralInformation**
General information about the corporate action event.
- InstructionCancellationRequestStatus**
Provides information about the processing status of the instruction cancellation request.
- CorporateActionInstruction**
Information about the corporate action option.
- ProtectInstruction**
Provides detailed information on protect and cover protect instructions.
- AdditionalInformation**
Provides additional information.

H. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

13.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnInstrCxlReqStsAdv>	[1..1]		C3, C9	
	InstructionCancellationRequestIdentification <InstrCxlReqId>	[0..1]	±		649
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		649
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C15, C20	650
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		651
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		651
	ClassActionNumber <ClsActnNb>	[0..1]	Text		651
	EventType <EvtTp>	[1..1]	±	C16	651
	InstructionCancellationRequestStatus <InstrCxlReqSts>	[1..*]			652
{Or	CancellationCompleted <CxlCmpltd>	[1..1]	±		652
Or	Accepted <Accptd>	[1..1]	±		653
Or	Rejected <Rjctd>	[1..1]			653
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		653
Or}	Reason <Rsn>	[1..*]		C5	653
	ReasonCode <RsnCd>	[1..1]			654
{Or	Code <Cd>	[1..1]	CodeSet		654
Or}	Proprietary <Prtry>	[1..1]	±		655
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		655
Or	PendingCancellation <PdgCxl>	[1..1]	±		655
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		656
	CorporateActionInstruction <CorpActnInstr>	[0..1]		C21, C23, C24, C25, C26, C28, C29	656
	OptionNumber <OptnNb>	[1..1]	±		658
	OptionType <OptnTp>	[1..1]	±		658
	OptionFeatures <OptnFeatr>	[0..1]	±		658
	AccountOwner <AcctOwnr>	[0..1]	±		659
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		659

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		659
	CashAccount <CshAcct>	[0..1]	±		659
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		660
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C12, C13, C18, C19, C22	660
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		661
	InstructedBalance <InstdBal>	[0..1]	±		661
	UninstructedBalance <UinstdBal>	[0..1]	±		661
	ProtectBalance <PrctBal>	[0..1]	±		662
	StatusQuantity <StsQty>	[0..1]	±		662
	StatusCashAmount <StsCshAmt>	[0..1]	Amount	C2, C11	662
	ProtectInstruction <PrctInstr>	[0..1]			663
	TransactionType <TxTp>	[1..1]	CodeSet		663
	ProtectTransactionStatus <PrctTxSts>	[0..1]	CodeSet		663
	TransactionIdentification <TxId>	[0..1]	Text		663
	ProtectDate <PrctDt>	[0..1]	Date		664
	UncoveredProtectQuantity <UcvrdPrctQty>	[0..1]	±		664
	AdditionalInformation <AddtlInf>	[0..1]			664
	AdditionalText <AddtlTxt>	[0..*]	Text		664
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		664
	SupplementaryData <SplmtryData>	[0..*]	±	C30	664

13.3 Constraints

C1 AccountServicerDeadlineMissedGuideline

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

C2 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalInformationRule

All elements in the AdditionalInformation sequence must not contain information that can be provided in a structured field unless bilaterally agreed.

This constraint is defined at the MessageDefinition level.

C4 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C5 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C6 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C7 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C9 BeneficialOwnerBreakdownRequestRule

If CorporateActionInstruction/OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT567 NVR C6)

On Condition

/CorporateActionInstruction/OptionType/Code is equal to value
'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C10 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C13 DescriptionUsageRule

Description must be used alone as the last resort.

C14 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C15 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C16 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C17 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C18 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C19 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C20 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C21 OptionNumberRule

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

C22 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C23 SafekeepingAccountOrBlockChainAddress1Rule

If SafekeepingAccount is present, BlockChainAddressOrWallet must be absent.

C24 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present, SafekeepingAccount must be absent.

C25 SafekeepingAccountOrBlockChainAddress3Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C26 SafekeepingPlaceRule

SafekeepingPlace must only be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C27 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C28 StatusQuantityOrStatusCashAmount1Rule

If StatusQuantity is present, then StatusCashAmount is not allowed. (MT 567 C5).

C29 StatusQuantityOrStatusCashAmount2Rule

If StatusCashAmount is present, then StatusQuantity is not allowed. (MT 567 C5).

C30 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

13.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

13.4.1 InstructionCancellationRequestIdentification <InstrCxlReqId>

Presence: [0..1]

Definition: Identification of a related instruction cancellation request document.

InstructionCancellationRequestIdentification <InstrCxlReqId> contains the following elements (see "DocumentIdentification17" on page 759 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		759

13.4.2 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification34" on page 756 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification </d>	[1..1]			757
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		757
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		757
	DocumentNumber <DocNb>	[0..1]	±	C6	757

13.4.3 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C15 "EventTypeRule", C20 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation158** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		651
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		651
	ClassActionNumber <ClssActnNb>	[0..1]	Text		651
	EventType <EvtTp>	[1..1]	±	C16	651

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

13.4.3.1 CorporateActionEventIdentification <CorpActnEvtId>*Presence:* [1..1]*Definition:* Reference assigned by the account servicer to unambiguously identify a corporate action event.*Datatype:* "RestrictedFINXMax16Text" on page 1187**13.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>***Presence:* [0..1]*Definition:* Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.*Datatype:* "RestrictedFINXMax16Text" on page 1187**13.4.3.3 ClassActionNumber <ClssActnNb>***Presence:* [0..1]*Definition:* Reference assigned by a court to a class action.*Datatype:* "RestrictedFINXMax16Text" on page 1187**13.4.3.4 EventType <EvtTp>***Presence:* [1..1]*Definition:* Type of corporate action event.*Impacted by:* C16 "EventTypeRule"**EventType <EvtTp>** contains one of the following elements (see "CorporateActionEventType103Choice" on page 831 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		831
Or}	Proprietary <Prtry>	[1..1]	±		837

Constraints

- EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

13.4.4 InstructionCancellationRequestStatus <InstrCxlReqSts>

Presence: [1..*]

Definition: Provides information about the processing status of the instruction cancellation request.

InstructionCancellationRequestStatus <InstrCxlReqSts> contains one of the following **InstructionCancellationRequestStatus16Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CancellationCompleted <CxlCmpltd>	[1..1]	±		652
Or	Accepted <Accptd>	[1..1]	±		653
Or	Rejected <Rjctd>	[1..1]			653
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		653
Or}	Reason <Rsn>	[1..*]		C5	653
	ReasonCode <RsnCd>	[1..1]			654
{Or	Code <Cd>	[1..1]	CodeSet		654
Or}	Proprietary <Prtry>	[1..1]	±		655
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		655
Or	PendingCancellation <PdgCxl>	[1..1]	±		655
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		656

13.4.4.1 CancellationCompleted <CxlCmpltd>

Presence: [1..1]

Definition: Provides status information related to an instruction cancellation request completed.

CancellationCompleted <CxlCmpltd> contains one of the following elements (see "CancelledStatus14Choice" on page 1086 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1086
Or}	Reason <Rsn>	[1..*]		C4	1087
	ReasonCode <RsnCd>	[1..1]			1087
{Or	Code <Cd>	[1..1]	CodeSet		1087
Or}	Proprietary <Prtry>	[1..1]	±		1087
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1088

13.4.4.2 Accepted <Accptd>*Presence:* [1..1]*Definition:* Provides status information related to a cancellation request accepted for further processing.**Accepted <Accptd>** contains the following elements (see "[NoSpecifiedReason1](#)" on page 1073 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1073

13.4.4.3 Rejected <Rjctd>*Presence:* [1..1]*Definition:* Provides status information related to a cancellation request rejected for further processing due to system (data) reasons.**Rejected <Rjctd>** contains one of the following **RejectedStatus54Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		653
Or}	Reason <Rsn>	[1..*]		C5	653
	ReasonCode <RsnCd>	[1..1]			654
{Or	Code <Cd>	[1..1]	CodeSet		654
Or}	Proprietary <Prtry>	[1..1]	±		655
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		655

13.4.4.3.1 NoSpecifiedReason <NoSpctdRsn>*Presence:* [1..1]*Definition:* Reason not specified.*Datatype:* "[NoReasonCode](#)" on page 1161

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

13.4.4.3.2 Reason <Rsn>*Presence:* [1..*]*Definition:* Reason for the rejected status.*Impacted by:* [C5 "AdditionalReasonInformationRule"](#)

Reason <Rsn> contains the following **RejectedStatusReason53** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			654
{Or	Code <Cd>	[1..1]	CodeSet		654
Or}	Proprietary <Prtry>	[1..1]	±		655
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		655

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

13.4.4.3.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction or instruction cancellation has been rejected.

ReasonCode <RsnCd> contains one of the following **RejectedReason56Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		654
Or}	Proprietary <Prtry>	[1..1]	±		655

13.4.4.3.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction/cancellation request has a rejected status.

Datatype: "RejectionReason79Code" on page 1170

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
INIR	InstructionIrrevocable	Instruction is irrevocable.
ULNK	InvalidReference	Linked reference is unknown.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.

CodeName	Name	Definition
CTCT	MissingContact	Contact information is missing.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.

13.4.4.3.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why the instruction/cancellation request has a rejected status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

13.4.4.3.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[RestrictedFINXMax210Text](#)" on page 1187

13.4.4.4 PendingCancellation <PdgCxl>

Presence: [1..1]

Definition: Provides status information related to a pending cancellation request.

PendingCancellation <Pdgcxl> contains one of the following elements (see "PendingCancellationStatus8Choice" on page 1084 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedReason <NotSpctdRsn>	[1..1]	CodeSet		1084
Or}	Reason <Rsn>	[1..*]		C1, C6	1085
	ReasonCode <RsnCd>	[1..1]			1085
{Or	Code <Cd>	[1..1]	CodeSet		1085
Or}	Proprietary <Prtry>	[1..1]	±		1086
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1086

13.4.4.5 ProprietaryStatus <PrtrySts>

Presence: [1..1]

Definition: Proprietary status related to an instruction cancellation request.

ProprietaryStatus <PrtrySts> contains the following elements (see "ProprietaryStatusAndReason7" on page 1088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		1088
	ProprietaryReason <PrtryRsn>	[0..*]	±	C2	1088

13.4.5 CorporateActionInstruction <CorpActnInstr>

Presence: [0..1]

Definition: Information about the corporate action option.

Impacted by: C21 "OptionNumberRule", C23 "SafekeepingAccountOrBlockChainAddress1Rule", C24 "SafekeepingAccountOrBlockChainAddress2Rule", C25 "SafekeepingAccountOrBlockChainAddress3Rule", C26 "SafekeepingPlaceRule", C28 "StatusQuantityOrStatusCashAmount1Rule", C29 "StatusQuantityOrStatusCashAmount2Rule"

CorporateActionInstruction <CorpActnInstr> contains the following **CorporateActionOption201** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		658
	OptionType <OptnTp>	[1..1]	±		658
	OptionFeatures <OptnFeatrs>	[0..1]	±		658
	AccountOwner <AcctOwnr>	[0..1]	±		659
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		659
	BlockChainAddressOrWallet <BlckChainAdrOrWlIt>	[0..1]	Text		659
	CashAccount <CshAcct>	[0..1]	±		659
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		660
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C12, C13, C18, C19, C22	660
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		661
	InstructedBalance <InstdBal>	[0..1]	±		661
	UninstructedBalance <UinstdBal>	[0..1]	±		661
	ProtectBalance <PrctBal>	[0..1]	±		662
	StatusQuantity <StsQty>	[0..1]	±		662
	StatusCashAmount <StsCshAmt>	[0..1]	Amount	C2, C11	662

Constraints

- **OptionNumberRule**

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

- **SafekeepingAccountOrBlockChainAddress1Rule**

If SafekeepingAccount is present, BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present, SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True
 /SafekeepingAccount Must be present
 Or /BlockChainAddressOrWallet Must be present

- **SafekeepingPlaceRule**

SafekeepingPlace must only be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

- **StatusQuantityOrStatusCashAmount1Rule**

If StatusQuantity is present, then StatusCashAmount is not allowed. (MT 567 C5).

On Condition
 /StatusQuantity is present
 Following Must be True
 /StatusCashAmount Must be absent

- **StatusQuantityOrStatusCashAmount2Rule**

If StatusCashAmount is present, then StatusQuantity is not allowed. (MT 567 C5).

On Condition
 /StatusCashAmount is present
 Following Must be True
 /StatusQuantity Must be absent

13.4.5.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "[OptionNumber1Choice](#)" on page 933 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		933
Or}	Code <Cd>	[1..1]	CodeSet		933

13.4.5.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "[CorporateActionOption42Choice](#)" on page 829 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		829
Or}	Proprietary <Prtry>	[1..1]	±		830

13.4.5.3 OptionFeatures <OptnFeatrs>

Presence: [0..1]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following elements (see "OptionFeaturesFormat27Choice" on page 877 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		877
Or}	Proprietary <Prtry>	[1..1]	±		877

13.4.5.4 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 945 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	945
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		946

13.4.5.5 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1189

13.4.5.6 BlockChainAddressOrWallet <BlckChainAdrOrWllet>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1187

13.4.5.7 CashAccount <CshAcct>

Presence: [0..1]

Definition: Account on which a securities entry is made.

CashAccount <CshAcct> contains one of the following elements (see "CashAccountIdentification6Choice" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	731
Or}	Proprietary <Prtry>	[1..1]	Text		732

13.4.5.8 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]*Definition:* Location where the financial instruments are/will be safekept.**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "SafekeepingPlaceFormat32Choice" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1062
Or	Country <Ctry>	[1..1]	CodeSet	C3	1062
Or	TypeAndIdentification <TpAndId>	[1..1]			1062
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1063
	Identification <Id>	[1..1]	IdentifierSet	C1	1063
Or}	Proprietary <Prtry>	[1..1]	±		1063

13.4.5.9 FinancialInstrumentIdentification <FinInstrmId>*Presence:* [0..1]*Definition:* Identifies the financial instrument.*Impacted by:* C12 "DescriptionPresenceRule", C13 "DescriptionUsageRule", C18 "ISINGuideline", C19 "ISINPresenceRule", C22 "OtherIdentificationPresenceRule"**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification20" on page 766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		767
	OtherIdentification <OthrId>	[0..*]			767
	Identification <Id>	[1..1]	Text		768
	Suffix <Sfx>	[0..1]	Text		768
	Type <Tp>	[1..1]	±		768
	Description <Desc>	[0..1]	Text		768

Constraints• **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or
  /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or
  /Description Must be present
```

13.4.5.10 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

TotalEligibleBalance <TtlElgblBal> contains the following elements (see "[SignedQuantityFormat12](#)" on [page 988](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	QuantityChoice <QtyChc>	[1..1]	±		989

13.4.5.11 InstructedBalance <InstdBal>

Presence: [0..1]

Definition: Balance of instructed position.

InstructedBalance <InstdBal> contains the following elements (see "[SignedQuantityFormat12](#)" on [page 988](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	QuantityChoice <QtyChc>	[1..1]	±		989

13.4.5.12 UninstructedBalance <UinstdBal>

Presence: [0..1]

Definition: Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 988 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	QuantityChoice <QtyChc>	[1..1]	±		989

13.4.5.13 ProtectBalance <PrtctBal>

Presence: [0..1]

Definition: Balance of securities which are in protect status in a CSD operating a protect cover service.

ProtectBalance <PrtctBal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 988 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	QuantityChoice <QtyChc>	[1..1]	±		989

13.4.5.14 StatusQuantity <StsQty>

Presence: [0..1]

Definition: Quantity of securities that has been assigned the status indicated.

StatusQuantity <StsQty> contains one of the following elements (see "[Quantity54Choice](#)" on page 996 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C13	996
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		997

13.4.5.15 StatusCashAmount <StsCshAmt>

Presence: [0..1]

Definition: Amount of cash subscribed that has been assigned the status indicated.

Impacted by: C2 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.6 ProtectInstruction <PrctInstr>

Presence: [0..1]

Definition: Provides detailed information on protect and cover protect instructions.

ProtectInstruction <PrctInstr> contains the following **ProtectInstruction8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionType <TxTp>	[1..1]	CodeSet		663
	ProtectTransactionStatus <PrctTxSts>	[0..1]	CodeSet		663
	TransactionIdentification <TxId>	[0..1]	Text		663
	ProtectDate <PrctDt>	[0..1]	Date		664
	UncoveredProtectQuantity <UcvrdPrctQty>	[0..1]	±		664

13.4.6.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Indicates whether the instruction is a protect or a cover protect instruction.

Datatype: "ProtectTransactionType3Code" on page 1168

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.

13.4.6.2 ProtectTransactionStatus <PrctTxSts>

Presence: [0..1]

Definition: Status of the protect transaction.

Datatype: "ProtectInstructionStatus4Code" on page 1168

CodeName	Name	Definition
OPEN	Open	Protect instruction which has not been fully covered. Will include partial covers.

13.4.6.3 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Unique reference of the protect transaction assigned by the depository and used for cover protect validation.

Datatype: "RestrictedFINMax15Text" on page 1186

13.4.6.4 ProtectDate <PrctDt>

Presence: [0..1]

Definition: Date at which the protect instruction was created and used for cover protect validation.

Datatype: "ISODate" on page 1177

13.4.6.5 UncoveredProtectQuantity <UcvrdPrctQty>

Presence: [0..1]

Definition: Remaining quantity of protect instruction which has not been covered.

UncoveredProtectQuantity <UcvrdPrctQty> contains one of the following elements (see "FinancialInstrumentQuantity31Choice" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		798
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		798

13.4.7 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		664
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		664

13.4.7.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "RestrictedFINXMax350Text" on page 1189

13.4.7.2 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "RestrictedFINXMax350Text" on page 1189

13.4.8 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C30 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		933
	Envelope <Envlp>	[1..1]	(External Schema)		933

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

14 seev.042.002.12 CorporateActionInstructionStatementReport0 02V12

14.1 MessageDefinition Functionality

Scope

The CorporateActionInstructionStatementReport message is sent by an account servicer to an account owner or its designated agent to report balances at the safekeeping account level for one or more corporate action events or at the corporate action event level for one or more safekeeping accounts.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstructionStatementReport002V12 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. Pagination

Page number of the message (within a statement) and continuation indicator to indicate that the statement is to continue or that the message is the last page of the statement.

B. StatementGeneralDetails

General characteristics related to a statement which reports information.

C. AccountAndStatementDetails

Account information and detailed account holdings information report for corporate action events.

D. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

14.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnInstrStmntRpt>	[1..1]		C8, C16	
	Pagination <Pgntn>	[1..1]	±		672
	StatementGeneralDetails <StmntGnlDtls>	[1..1]	±		672
	AccountAndStatementDetails <AcctAndStmntDtls>	[1..*]		C18, C19, C20, C21	673
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		677
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		677
	AccountOwner <AcctOwnr>	[0..1]	±		677
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		678
	CorporateActionEventAndBalance <CorpActnEvtAndBal>	[0..*]			678
	GeneralInformation <GnlInf>	[1..1]			681
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		682
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		682
	EventType <EvtTp>	[1..1]	±	C13	682
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		683
	LastNotificationIdentification <LastNtfctnId>	[0..1]			683
	Identification <Id>	[1..1]	Text		683
	CreationDateTime <CreDtTm>	[0..1]	±		683
	UnderlyingSecurity <UndrlygScty>	[1..1]	±	C10, C11, C14, C15, C17	684
	Balance <Bal>	[0..1]			685
	TotalEligibleBalance <TtlElgblBal>	[1..1]	±		688
	UninstructedBalance <UinstdBal>	[1..1]	±		688
	TotalInstructedBalanceDetails <TtlInstdBalDtls>	[1..1]			689
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		691
	TotalAcceptedInstructionBalance <TtlAccptdInstrBal>	[0..1]	±		691
	TotalCancelledInstructionBalance <TtlCancInstrBal>	[0..1]	±		692
	TotalPendingInstructionBalance <TtlPdgInstrBal>	[0..1]	±		692

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		692
	TotalProtectInstructionBalance <TtlPrctdInstrBal>	[0..1]	±		692
	OptionDetails <OptnDtls>	[0..*]			693
	OptionNumber <OptnNb>	[0..1]	Text		695
	OptionType <OptnTp>	[1..1]	±		695
	InstructedBalance <InstdBal>	[1..1]	±		695
	DefaultAction <DfltActn>	[0..1]	±		696
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		696
	OptionCancelledInstructionBalance <OptnCancInstrBal>	[0..1]	±		696
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		696
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		697
	OptionProtectInstructionBalance <OptnPrctdInstrBal>	[0..1]	±		697
	EventDeadlines <EvtDdlns>	[1..1]			697
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		698
	ResponseDeadline <RspnDdln>	[0..1]	±		698
	MarketDeadline <MktDdln>	[0..1]	±		698
	ProtectDeadline <PrctdDdln>	[0..1]	±		699
	CoverProtectDeadline <CoverPrctdDdln>	[0..1]	±		699
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			699
	InstructionIdentification <InstrId>	[1..1]	Text		700
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		701
	ProtectIndicator <PrctdInd>	[0..1]	CodeSet		701
	InstructionQuantity <InstrQty>	[1..1]	±	C12	701
	InstructionDate <InstrDt>	[1..1]	Date		701
	ProtectDate <PrctdDt>	[0..1]	Date		701
	CoverProtectDate <CoverPrctdDt>	[0..1]	Date		702
	BidPrice <BidPric>	[0..1]	±		702
	ConditionalQuantity <CondlQty>	[0..1]	±	C12	702
	CustomerReference <CstmrRef>	[0..1]	Text		702
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		703
	InstructionStatus <InstrSts>	[1..1]			703

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Accepted <Accptd>	[1..1]	±		703
Or	Cancelled <Canc>	[1..1]	±		704
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		704
Or	Rejected <Rjctd>	[1..1]			704
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		704
Or}	Reason <Rsn>	[1..*]		C4	705
	ReasonCode <RsnCd>	[1..1]			705
{Or	Code <Cd>	[1..1]	CodeSet		705
Or}	Proprietary <Prtry>	[1..1]	±		707
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		707
Or	Pending <Pdg>	[1..1]	±		708
Or	PendingCancellation <PdgCxl>	[1..1]	±		708
Or	Covered <Cvrd>	[1..1]	±		708
Or}	Uncovered <Ucvrd>	[1..1]	±		709
	BlockedBalance <BlckdBal>	[0..1]	±		709
	BorrowedBalance <BrrwdBal>	[0..1]	±		709
	CollateralInBalance <CollInBal>	[0..1]	±		709
	CollateralOutBalance <CollOutBal>	[0..1]	±		710
	OnLoanBalance <OnLnBal>	[0..1]	±		710
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		710
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		710
	StreetPositionBalance <StrtPosBal>	[0..1]	±		711
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		711
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		711
	RegisteredBalance <RegdBal>	[0..1]	±		711
	ObligatedBalance <OblgtdBal>	[0..1]	±		712
	PendingDeliveryBalance <PdgDlrvyBal>	[0..*]			712
	Balance <Bal>	[1..1]	±		712
	PendingTransactions <PdgTx>	[0..*]	±		713
	PendingReceiptBalance <PdgRctBal>	[0..*]			713
	Balance <Bal>	[1..1]	±		713

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PendingTransactions <PdgTxs>	[0..*]	±		713
	SupplementaryData <SplmtryData>	[0..*]	±	C22	714
	SupplementaryData <SplmtryData>	[0..*]	±	C22	714

14.3 Constraints

C1 AccountServicerDeadlineMissedGuideline

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

C2 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C4 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C5 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C6 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C7 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C8 CorporateActionEventAndBalanceRule

If StatementGeneralDetails/ActivityIndicator is 'true' or '1' (Yes) then at least one occurrence of AccountAndStatementDetails/CorporateActionEventAndBalance must be present.

If StatementGeneralDetails/ActivityIndicator is 'false' or '0' (No) then AccountAndStatementDetails/CorporateActionEventAndBalance must not be present in any occurrences of AccountAndStatementDetails.

This constraint is defined at the MessageDefinition level.

C9 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C10 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C11 DescriptionUsageRule

Description must be used alone as the last resort.

C12 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

C13 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C14 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C15 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C16 MultipleAccountAndStatementDetailsRule

If StatementGeneralDetails/ReportingType is MASE (Multiple Accounts) then AccountAndStatementDetails may be repeated and AccountAndStatementDetails/CorporateActionEventAndBalance must not be repeated in any occurrences of AccountAndStatementDetails.

If StatementGeneralDetails/ReportingType is SAME (Multiple Events) then AccountAndStatementDetails must not be repeated, and AccountAndStatementDetails/CorporateActionEventAndBalance may be repeated.

This constraint is defined at the MessageDefinition level.

C17 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C18 SafekeepingAccountOrBlockchainAddress1Rule

Either SafekeepingAccount or BlockchainAddressOrWallet must be present but not both.

C19 SafekeepingAccountOrBlockchainAddress2Rule

If BlockchainAddressOrWallet is present then SafekeepingAccount must be absent.

C20 SafekeepingAccountOrBlockchainAddress3Rule

If SafekeepingAccount is present, then BlockchainAddressOrWallet must be absent.

C21 SafekeepingPlaceRule

SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C22 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

14.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

14.4.1 Pagination <Pgntn>

Presence: [1..1]

Definition: Page number of the message (within a statement) and continuation indicator to indicate that the statement is to continue or that the message is the last page of the statement.

Pagination <Pgntn> contains the following elements (see "[Pagination1](#)" on page 878 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		879
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		879

14.4.2 StatementGeneralDetails <StmntGnIDtls>

Presence: [1..1]

Definition: General characteristics related to a statement which reports information.

StatementGeneralDetails <StmntGnlDtls> contains the following elements (see "Statement75" on page 825 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StatementType <StmntTp>	[1..1]	CodeSet		825
	ReportingType <RptgTp>	[1..1]	CodeSet		826
	StatementIdentification <StmntId>	[1..1]	Text		826
	InstructionAggregationPeriod <InstrAggtnPrd>	[0..1]	±		826
	ReportNumber <RptNb>	[0..1]	Text		826
	StatementDateTime <StmntDtTm>	[1..1]	±		826
	Frequency <Frqcy>	[1..1]	±		827
	UpdateType <UpdTp>	[1..1]	±		827
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		827
	NotificationDeadlinePeriod <NtfctnDdlnPrd>	[0..1]	±		827

14.4.3 AccountAndStatementDetails <AcctAndStmntDtls>

Presence: [1..*]

Definition: Account information and detailed account holdings information report for corporate action events.

Impacted by: C18 "SafekeepingAccountOrBlockChainAddress1Rule", C19

"SafekeepingAccountOrBlockChainAddress2Rule", C20

"SafekeepingAccountOrBlockChainAddress3Rule", C21 "SafekeepingPlaceRule"

AccountAndStatementDetails <AcctAndStmtDtls> contains the following **AccountIdentification67** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		677
	BlockchainAddressOrWallet <BlckChainAdrOrWllet>	[0..1]	Text		677
	AccountOwner <AcctOwnr>	[0..1]	±		677
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		678
	CorporateActionEventAndBalance <CorpActnEvtAndBal>	[0..*]			678
	GeneralInformation <GnlInf>	[1..1]			681
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		682
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		682
	EventType <EvtTp>	[1..1]	±	C13	682
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		683
	LastNotificationIdentification <LastNtfctnId>	[0..1]			683
	Identification <Id>	[1..1]	Text		683
	CreationDateTime <CreDtTm>	[0..1]	±		683
	UnderlyingSecurity <UndrlygScty>	[1..1]	±	C10, C11, C14, C15, C17	684
	Balance <Bal>	[0..1]			685
	TotalEligibleBalance <TtlElgblBal>	[1..1]	±		688
	UninstructedBalance <UinstdBal>	[1..1]	±		688
	TotalInstructedBalanceDetails <TtlInstdBalDtls>	[1..1]			689
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		691
	TotalAcceptedInstructionBalance <TtlAccptdInstrBal>	[0..1]	±		691
	TotalCancelledInstructionBalance <TtlCancInstrBal>	[0..1]	±		692
	TotalPendingInstructionBalance <TtlPdgInstrBal>	[0..1]	±		692
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		692
	TotalProtectInstructionBalance <TtlPrctInstrBal>	[0..1]	±		692
	OptionDetails <OptnDtls>	[0..*]			693
	OptionNumber <OptnNb>	[0..1]	Text		695

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionType <OptnTp>	[1..1]	±		695
	InstructedBalance <InstdBal>	[1..1]	±		695
	DefaultAction <DfltActn>	[0..1]	±		696
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		696
	OptionCancelledInstructionBalance <OptnCncldInstrBal>	[0..1]	±		696
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		696
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		697
	OptionProtectInstructionBalance <OptnPrtctInstrBal>	[0..1]	±		697
	EventDeadlines <EvtDdlns>	[1..1]			697
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		698
	ResponseDeadline <RspnDdln>	[0..1]	±		698
	MarketDeadline <MktDdln>	[0..1]	±		698
	ProtectDeadline <PrtctDdln>	[0..1]	±		699
	CoverProtectDeadline <CoverPrtctDdln>	[0..1]	±		699
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			699
	InstructionIdentification <InstrId>	[1..1]	Text		700
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		701
	ProtectIndicator <PrtctInd>	[0..1]	CodeSet		701
	InstructionQuantity <InstrQty>	[1..1]	±	C12	701
	InstructionDate <InstrDt>	[1..1]	Date		701
	ProtectDate <PrtctDt>	[0..1]	Date		701
	CoverProtectDate <CoverPrtctDt>	[0..1]	Date		702
	BidPrice <BidPric>	[0..1]	±		702
	ConditionalQuantity <CondlQty>	[0..1]	±	C12	702
	CustomerReference <CstmrRef>	[0..1]	Text		702
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		703
	InstructionStatus <InstrSts>	[1..1]			703
{Or	Accepted <Accptd>	[1..1]	±		703
Or	Cancelled <Canc>	[1..1]	±		704

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrdg>	[1..1]	±		704
Or	Rejected <Rjctd>	[1..1]			704
{Or	NoSpecifiedReason <NoSpfcdRsn>	[1..1]	CodeSet		704
Or}	Reason <Rsn>	[1..*]		C4	705
	ReasonCode <RsnCd>	[1..1]			705
{Or	Code <Cd>	[1..1]	CodeSet		705
Or}	Proprietary <Prtry>	[1..1]	±		707
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		707
Or	Pending <Pdg>	[1..1]	±		708
Or	PendingCancellation <PdgCxl>	[1..1]	±		708
Or	Covered <Cvrd>	[1..1]	±		708
Or}	Uncovered <Ucvrd>	[1..1]	±		709
	BlockedBalance <BlckdBal>	[0..1]	±		709
	BorrowedBalance <BrrwdBal>	[0..1]	±		709
	CollateralInBalance <CollInBal>	[0..1]	±		709
	CollateralOutBalance <CollOutBal>	[0..1]	±		710
	OnLoanBalance <OnLnBal>	[0..1]	±		710
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		710
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		710
	StreetPositionBalance <StrtPosBal>	[0..1]	±		711
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		711
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		711
	RegisteredBalance <RegdBal>	[0..1]	±		711
	ObligatedBalance <OblgtdBal>	[0..1]	±		712
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]			712
	Balance <Bal>	[1..1]	±		712
	PendingTransactions <PdgTx>	[0..*]	±		713
	PendingReceiptBalance <PdgRctBal>	[0..*]			713
	Balance <Bal>	[1..1]	±		713
	PendingTransactions <PdgTx>	[0..*]	±		713
	SupplementaryData <SplmtryData>	[0..*]	±	C22	714

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

14.4.3.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1189

14.4.3.2 BlockChainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1187

14.4.3.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 945 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	945
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		946

14.4.3.4 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]*Definition:* Location where the financial instruments are/will be safekept.**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "SafekeepingPlaceFormat32Choice" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1062
Or	Country <Ctry>	[1..1]	CodeSet	C3	1062
Or	TypeAndIdentification <TpAndId>	[1..1]			1062
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1063
	Identification <Id>	[1..1]	IdentifierSet	C1	1063
Or}	Proprietary <Prtry>	[1..1]	±		1063

14.4.3.5 CorporateActionEventAndBalance <CorpActnEvtAndBal>*Presence:* [0..*]*Definition:* Detailed account holdings information report for a corporate action event.

CorporateActionEventAndBalance <CorpActnEvtAndBal> contains the following
CorporateActionEventAndBalance25 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GeneralInformation <GnlInf>	[1..1]			681
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		682
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		682
	EventType <EvtTp>	[1..1]	±	C13	682
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		683
	LastNotificationIdentification <LastNtfctnId>	[0..1]			683
	Identification <Id>	[1..1]	Text		683
	CreationDateTime <CreDtTm>	[0..1]	±		683
	UnderlyingSecurity <UndrlygScty>	[1..1]	±	C10, C11, C14, C15, C17	684
	Balance <Bal>	[0..1]			685
	TotalEligibleBalance <TtlElgblBal>	[1..1]	±		688
	UninstructedBalance <UinstdBal>	[1..1]	±		688
	TotalInstructedBalanceDetails <TtlInstdBalDtls>	[1..1]			689
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		691
	TotalAcceptedInstructionBalance <TtlAccptdInstrBal>	[0..1]	±		691
	TotalCancelledInstructionBalance <TtlCancInstrBal>	[0..1]	±		692
	TotalPendingInstructionBalance <TtlPdgInstrBal>	[0..1]	±		692
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		692
	TotalProtectInstructionBalance <TtlPrctInstrBal>	[0..1]	±		692
	OptionDetails <OptnDtls>	[0..*]			693
	OptionNumber <OptnNb>	[0..1]	Text		695
	OptionType <OptnTp>	[1..1]	±		695
	InstructedBalance <InstdBal>	[1..1]	±		695
	DefaultAction <DfltActn>	[0..1]	±		696
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		696
	OptionCancelledInstructionBalance <OptnCancInstrBal>	[0..1]	±		696

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		696
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		697
	OptionProtectInstructionBalance <OptnPrtctInstrBal>	[0..1]	±		697
	EventDeadlines <EvtDdlns>	[1..1]			697
	EarlyResponseDeadline <EarlyRspnDdlN>	[0..1]	±		698
	ResponseDeadline <RspnDdlN>	[0..1]	±		698
	MarketDeadline <MktDdlN>	[0..1]	±		698
	ProtectDeadline <PrtctDdlN>	[0..1]	±		699
	CoverProtectDeadline <CoverPrtctDdlN>	[0..1]	±		699
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			699
	InstructionIdentification <InstrId>	[1..1]	Text		700
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		701
	ProtectIndicator <PrtctInd>	[0..1]	CodeSet		701
	InstructionQuantity <InstrQty>	[1..1]	±	C12	701
	InstructionDate <InstrDt>	[1..1]	Date		701
	ProtectDate <PrtctDt>	[0..1]	Date		701
	CoverProtectDate <CoverPrtctDt>	[0..1]	Date		702
	BidPrice <BidPric>	[0..1]	±		702
	ConditionalQuantity <CondlQty>	[0..1]	±	C12	702
	CustomerReference <CstmrRef>	[0..1]	Text		702
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		703
	InstructionStatus <InstrSts>	[1..1]			703
{Or	Accepted <Accptd>	[1..1]	±		703
Or	Cancelled <Canc>	[1..1]	±		704
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		704
Or	Rejected <Rjctd>	[1..1]			704
{Or	NoSpecifiedReason <NoSpcdRsn>	[1..1]	CodeSet		704
Or}	Reason <Rsn>	[1..*]		C4	705
	ReasonCode <RsnCd>	[1..1]			705
{Or	Code <Cd>	[1..1]	CodeSet		705

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		707
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		707
Or	Pending <Pdg>	[1..1]	±		708
Or	PendingCancellation <PdgCxl>	[1..1]	±		708
Or	Covered <Cvrd>	[1..1]	±		708
Or}	Uncovered <Ucvrd>	[1..1]	±		709
	BlockedBalance <BlckdBal>	[0..1]	±		709
	BorrowedBalance <BrrwdBal>	[0..1]	±		709
	CollateralInBalance <CollInBal>	[0..1]	±		709
	CollateralOutBalance <CollOutBal>	[0..1]	±		710
	OnLoanBalance <OnLnBal>	[0..1]	±		710
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		710
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		710
	StreetPositionBalance <StrtPosBal>	[0..1]	±		711
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		711
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		711
	RegisteredBalance <RegdBal>	[0..1]	±		711
	ObligatedBalance <OblgtdBal>	[0..1]	±		712
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]			712
	Balance <Bal>	[1..1]	±		712
	PendingTransactions <PdgTxs>	[0..*]	±		713
	PendingReceiptBalance <PdgRctBal>	[0..*]			713
	Balance <Bal>	[1..1]	±		713
	PendingTransactions <PdgTxs>	[0..*]	±		713
	SupplementaryData <SplmtryData>	[0..*]	±	C22	714

14.4.3.5.1 GeneralInformation <GnlInf>

Presence: [1..1]

Definition: Provides general information related to a corporate action event.

GeneralInformation <Gnllnf> contains the following **EventInformation16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		682
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		682
	EventType <EvtTp>	[1..1]	±	C13	682
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		683
	LastNotificationIdentification <LastNtfctnId>	[0..1]			683
	Identification <Id>	[1..1]	Text		683
	CreationDateTime <CreDtTm>	[0..1]	±		683

14.4.3.5.1.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1187

14.4.3.5.1.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Provides the reference of the linked official corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1187

14.4.3.5.1.3 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C13 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType103Choice" on page 831 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		831
Or}	Proprietary <Prtry>	[1..1]	±		837

Constraints

- **EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

14.4.3.5.1.4 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following elements (see "CorporateActionMandatoryVoluntary4Choice" on page 927 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		927
Or}	Proprietary <Prtry>	[1..1]	±		927

14.4.3.5.1.5 LastNotificationIdentification <LastNtfctnId>

Presence: [0..1]

Definition: Provides information about the identification of the last notification.

LastNotificationIdentification <LastNtfctnId> contains the following **NotificationIdentification6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		683
	CreationDateTime <CreDtTm>	[0..1]	±		683

14.4.3.5.1.5.1 Identification <Id>

Presence: [1..1]

Definition: Unique identifier of the last notification document (message) assigned by the sender of the document.

Datatype: "RestrictedFINXMax16Text" on page 1187

14.4.3.5.1.5.2 CreationDateTime <CreDtTm>

Presence: [0..1]

Definition: Date and time at which the last notification document (message) was created by the sender.

CreationDateTime <CreDtTm> contains one of the following elements (see "DateAndDateTime2Choice" on page 749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		749
Or}	DateTime <DtTm>	[1..1]	DateTime		750

14.4.3.5.2 UnderlyingSecurity <UndrlygScty>*Presence:* [1..1]*Definition:* Security concerned by the corporate action.*Impacted by:* C10 "DescriptionPresenceRule", C11 "DescriptionUsageRule", C14 "ISINGuideline", C15 "ISINPresenceRule", C17 "OtherIdentificationPresenceRule"**UnderlyingSecurity <UndrlygScty>** contains the following elements (see "SecurityIdentification20" on page 766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		767
	OtherIdentification <Othrld>	[0..*]			767
	Identification <Id>	[1..1]	Text		768
	Suffix <Sfx>	[0..1]	Text		768
	Type <Tp>	[1..1]	±		768
	Description <Desc>	[0..1]	Text		768

Constraints

- DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- DescriptionUsageRule**

Description must be used alone as the last resort.

- ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
```

/ISIN Must be present
Or /Description Must be present

14.4.3.5.3 Balance <Bal>

Presence: [0..1]

Definition: Provides information about the balance related to a corporate action.

Balance <Bal> contains the following **CorporateActionBalance49** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalEligibleBalance <TtlElgblBal>	[1..1]	±		688
	UninstructedBalance <UinstdBal>	[1..1]	±		688
	TotalInstructedBalanceDetails <TtlInstdBalDtls>	[1..1]			689
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		691
	TotalAcceptedInstructionBalance <TtlAccptdInstrBal>	[0..1]	±		691
	TotalCancelledInstructionBalance <TtlCanclInstrBal>	[0..1]	±		692
	TotalPendingInstructionBalance <TtlPdgInstrBal>	[0..1]	±		692
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		692
	TotalProtectInstructionBalance <TtlPrctInstrBal>	[0..1]	±		692
	OptionDetails <OptnDtls>	[0..*]			693
	OptionNumber <OptnNb>	[0..1]	Text		695
	OptionType <OptnTp>	[1..1]	±		695
	InstructedBalance <InstdBal>	[1..1]	±		695
	DefaultAction <DfltActn>	[0..1]	±		696
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		696
	OptionCancelledInstructionBalance <OptnCanclInstrBal>	[0..1]	±		696
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		696
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		697
	OptionProtectInstructionBalance <OptnPrctInstrBal>	[0..1]	±		697
	EventDeadlines <EvtDdlns>	[1..1]			697
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		698
	ResponseDeadline <RspnDdln>	[0..1]	±		698
	MarketDeadline <MktDdln>	[0..1]	±		698
	ProtectDeadline <PrctDdln>	[0..1]	±		699
	CoverProtectDeadline <CoverPrctDdln>	[0..1]	±		699
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			699
	InstructionIdentification <InstrId>	[1..1]	Text		700
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		701
	ProtectIndicator <PrctInd>	[0..1]	CodeSet		701

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InstructionQuantity <InstrQty>	[1..1]	±	C12	701
	InstructionDate <InstrDt>	[1..1]	Date		701
	ProtectDate <PrtctDt>	[0..1]	Date		701
	CoverProtectDate <CoverPrtctDt>	[0..1]	Date		702
	BidPrice <BidPric>	[0..1]	±		702
	ConditionalQuantity <CondlQty>	[0..1]	±	C12	702
	CustomerReference <CstmrRef>	[0..1]	Text		702
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		703
	InstructionStatus <InstrSts>	[1..1]			703
{Or	Accepted <Accptd>	[1..1]	±		703
Or	Cancelled <Canc>	[1..1]	±		704
Or	AcceptedForFurtherProcessing <AccptdForFrthrProg>	[1..1]	±		704
Or	Rejected <Rjctd>	[1..1]			704
{Or	NoSpecifiedReason <NoSpfcdRsn>	[1..1]	CodeSet		704
Or}	Reason <Rsn>	[1..*]		C4	705
	ReasonCode <RsnCd>	[1..1]			705
{Or	Code <Cd>	[1..1]	CodeSet		705
Or}	Proprietary <Prtry>	[1..1]	±		707
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		707
Or	Pending <Pdg>	[1..1]	±		708
Or	PendingCancellation <PdgCxl>	[1..1]	±		708
Or	Covered <Cvrd>	[1..1]	±		708
Or}	Uncovered <Ucvrd>	[1..1]	±		709
	BlockedBalance <BlckdBal>	[0..1]	±		709
	BorrowedBalance <BrrwdBal>	[0..1]	±		709
	CollateralInBalance <CollInBal>	[0..1]	±		709
	CollateralOutBalance <CollOutBal>	[0..1]	±		710
	OnLoanBalance <OnLnBal>	[0..1]	±		710
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		710
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		710
	StreetPositionBalance <StrtPosBal>	[0..1]	±		711

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		711
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		711
	RegisteredBalance <RegdBal>	[0..1]	±		711
	ObligatedBalance <OblgtdBal>	[0..1]	±		712
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]			712
	Balance <Bal>	[1..1]	±		712
	PendingTransactions <PdgTxs>	[0..*]	±		713
	PendingReceiptBalance <PdgRctBal>	[0..*]			713
	Balance <Bal>	[1..1]	±		713
	PendingTransactions <PdgTxs>	[0..*]	±		713

14.4.3.5.3.1 TotalEligibleBalance <TtlElgblBal>

Presence: [1..1]

Definition: Total quantity of financial instruments of the balance.

TotalEligibleBalance <TtlElgblBal> contains one of the following elements (see "[Quantity80Choice](#)" on page 992 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityChoice <QtyChc>	[1..1]	±		993
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			993
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		993
	Quantity <Qty>	[1..1]	Quantity		993
	QuantityType <QtyTp>	[1..1]	Text		994
	Issuer <Issr>	[1..1]	Text		994
	SchemeName <SchmeNm>	[0..1]	Text		994

14.4.3.5.3.2 UninstructedBalance <UinstdBal>

Presence: [1..1]

Definition: Quantity of securities in the sub-balance.

UninstructedBalance <UinstdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

14.4.3.5.3.3 TotalInstructedBalanceDetails <TtlInstdBalDtls>

Presence: [1..1]

Definition: Provides information about the total instructed balance.

TotalInstructedBalanceDetails <TtlInstdBalDtls> contains the following **InstructedBalance19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		691
	TotalAcceptedInstructionBalance <TtlAccptdInstrBal>	[0..1]	±		691
	TotalCancelledInstructionBalance <TtlCancInstrBal>	[0..1]	±		692
	TotalPendingInstructionBalance <TtlPdglInstrBal>	[0..1]	±		692
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		692
	TotalProtectInstructionBalance <TtlPrctInstrBal>	[0..1]	±		692
	OptionDetails <OptnDtls>	[0..*]			693
	OptionNumber <OptnNb>	[0..1]	Text		695
	OptionType <OptnTp>	[1..1]	±		695
	InstructedBalance <InstdBal>	[1..1]	±		695
	DefaultAction <DfltActn>	[0..1]	±		696
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		696
	OptionCancelledInstructionBalance <OptnCancInstrBal>	[0..1]	±		696
	OptionPendingInstructionBalance <OptnPdglInstrBal>	[0..1]	±		696
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		697
	OptionProtectInstructionBalance <OptnPrctInstrBal>	[0..1]	±		697
	EventDeadlines <EvtDdlns>	[1..1]			697
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		698
	ResponseDeadline <RspnDdln>	[0..1]	±		698
	MarketDeadline <MktDdln>	[0..1]	±		698
	ProtectDeadline <PrctDdln>	[0..1]	±		699
	CoverProtectDeadline <CoverPrctDdln>	[0..1]	±		699
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			699
	InstructionIdentification <InstrId>	[1..1]	Text		700
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		701
	ProtectIndicator <PrctInd>	[0..1]	CodeSet		701
	InstructionQuantity <InstrQty>	[1..1]	±	C12	701
	InstructionDate <InstrDt>	[1..1]	Date		701
	ProtectDate <PrctDt>	[0..1]	Date		701

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CoverProtectDate <CoverPrctDt>	[0..1]	Date		702
	BidPrice <BidPric>	[0..1]	±		702
	ConditionalQuantity <CondlQty>	[0..1]	±	C12	702
	CustomerReference <CstmrRef>	[0..1]	Text		702
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		703
	InstructionStatus <InstrSts>	[1..1]			703
{Or	Accepted <Accptd>	[1..1]	±		703
Or	Cancelled <Canc>	[1..1]	±		704
Or	AcceptedForFurtherProcessing <AccptdForFrthrProg>	[1..1]	±		704
Or	Rejected <Rjctd>	[1..1]			704
{Or	NoSpecifiedReason <NoSpfcdRsn>	[1..1]	CodeSet		704
Or}	Reason <Rsn>	[1..*]		C4	705
	ReasonCode <RsnCd>	[1..1]			705
{Or	Code <Cd>	[1..1]	CodeSet		705
Or}	Proprietary <Prtry>	[1..1]	±		707
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		707
Or	Pending <Pdg>	[1..1]	±		708
Or	PendingCancellation <PdgCxl>	[1..1]	±		708
Or	Covered <Cvrd>	[1..1]	±		708
Or}	Uncovered <Ucvrd>	[1..1]	±		709

14.4.3.5.3.3.1 TotalInstructedBalance <TtlInstdBal>

Presence: [1..1]

Definition: Provides information about the total instructed balance.

TotalInstructedBalance <TtlInstdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

14.4.3.5.3.3.2 TotalAcceptedInstructionBalance <TtlAccptdInstrBal>

Presence: [0..1]

Definition: Daily total of all accepted instructions for given day. Cover protect instructions will be included in this total balance.

TotalAcceptedInstructionBalance <TtlAccptdInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.3.3 TotalCancelledInstructionBalance <TtlCancInstrBal>

Presence: [0..1]

Definition: Daily total of cancelled instructions for a given day.

TotalCancelledInstructionBalance <TtlCancInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.3.4 TotalPendingInstructionBalance <TtlPdglInstrBal>

Presence: [0..1]

Definition: Daily total of pending instructions in pending status. It includes cancel pending instructions.

TotalPendingInstructionBalance <TtlPdglInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.3.5 TotalRejectedInstructionBalance <TtlRjctdInstrBal>

Presence: [0..1]

Definition: Daily total of rejected instructions.

TotalRejectedInstructionBalance <TtlRjctdInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.3.6 TotalProtectInstructionBalance <TtlPrctInstrBal>

Presence: [0..1]

Definition: Daily total of all protect instructions sent in a given day.

TotalProtectInstructionBalance <TtlPrtctInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.3.7 OptionDetails <OptnDtls>

Presence: [0..*]

Definition: Provide instructed balance breakdown information per option.

OptionDetails <OptnDtls> contains the following **InstructedCorporateActionOption20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[0..1]	Text		695
	OptionType <OptnTp>	[1..1]	±		695
	InstructedBalance <InstdBal>	[1..1]	±		695
	DefaultAction <DfltActn>	[0..1]	±		696
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		696
	OptionCancelledInstructionBalance <OptnCancInstrBal>	[0..1]	±		696
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		696
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		697
	OptionProtectInstructionBalance <OptnPrtctInstrBal>	[0..1]	±		697
	EventDeadlines <EvtDdlns>	[1..1]			697
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		698
	ResponseDeadline <RspnDdln>	[0..1]	±		698
	MarketDeadline <MktDdln>	[0..1]	±		698
	ProtectDeadline <PrtctDdln>	[0..1]	±		699
	CoverProtectDeadline <CoverPrtctDdln>	[0..1]	±		699
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			699
	InstructionIdentification <InstrId>	[1..1]	Text		700
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		701
	ProtectIndicator <PrtctInd>	[0..1]	CodeSet		701
	InstructionQuantity <InstrQty>	[1..1]	±	C12	701
	InstructionDate <InstrDt>	[1..1]	Date		701
	ProtectDate <PrtctDt>	[0..1]	Date		701
	CoverProtectDate <CoverPrtctDt>	[0..1]	Date		702
	BidPrice <BidPric>	[0..1]	±		702
	ConditionalQuantity <CondlQty>	[0..1]	±	C12	702
	CustomerReference <CstmrRef>	[0..1]	Text		702
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		703
	InstructionStatus <InstrSts>	[1..1]			703
{Or	Accepted <Accptd>	[1..1]	±		703

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	Cancelled <Canc>	[1..1]	±		704
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		704
Or	Rejected <Rjctd>	[1..1]			704
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		704
Or}	Reason <Rsn>	[1..*]		C4	705
	ReasonCode <RsnCd>	[1..1]			705
{Or	Code <Cd>	[1..1]	CodeSet		705
Or}	Proprietary <Prtry>	[1..1]	±		707
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		707
Or	Pending <Pdg>	[1..1]	±		708
Or	PendingCancellation <PdgCxl>	[1..1]	±		708
Or	Covered <Cvrd>	[1..1]	±		708
Or}	Uncovered <Ucvrd>	[1..1]	±		709

14.4.3.5.3.3.7.1 OptionNumber <OptnNb>*Presence:* [0..1]*Definition:* Number identifying the available corporate action options.*Datatype:* "Exact3NumericText" on page 1182**14.4.3.5.3.3.7.2 OptionType <OptnTp>***Presence:* [1..1]*Definition:* Specifies the corporate action options available to the account owner.**OptionType <OptnTp>** contains one of the following elements (see "[CorporateActionOption36Choice](#)" on page 868 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		868
Or}	Proprietary <Prtry>	[1..1]	±		870

14.4.3.5.3.3.7.3 InstructedBalance <InstdBal>*Presence:* [1..1]*Definition:* Balance of instructed position.

InstructedBalance <InstdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

14.4.3.5.3.3.7.4 DefaultAction <DfltActn>

Presence: [0..1]

Definition: Indicates the default action related to a corporate action event.

DefaultAction <DfltActn> contains one of the following elements (see "DefaultProcessingOrStandingInstruction1Choice" on page 809 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DefaultOptionIndicator <DfltOptnInd>	[1..1]	Indicator		809
Or}	StandingInstructionIndicator <StgInstrInd>	[1..1]	Indicator		810

14.4.3.5.3.3.7.5 OptionAcceptedInstructedBalance <OptnAccptdInstdBal>

Presence: [0..1]

Definition: Daily total of accepted instructions received for a given option.

OptionAcceptedInstructedBalance <OptnAccptdInstdBal> contains the following elements (see "SignedQuantityFormat13" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.3.7.6 OptionCancelledInstructionBalance <OptnCancInstrBal>

Presence: [0..1]

Definition: Daily total of cancelled instructions for a given option.

OptionCancelledInstructionBalance <OptnCancInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.3.7.7 OptionPendingInstructionBalance <OptnPdgInstrBal>

Presence: [0..1]

Definition: Daily total of pending instructions in pending status for a given option. It includes cancel pending instructions.

OptionPendingInstructionBalance <OptnPdgInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.3.7.8 OptionRejectedInstructionBalance <OptnRjctdInstrBal>

Presence: [0..1]

Definition: Daily total of rejected instructions for a given option.

OptionRejectedInstructionBalance <OptnRjctdInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.3.7.9 OptionProtectInstructionBalance <OptnPrtctInstrBal>

Presence: [0..1]

Definition: Daily total of all protect instructions for a given option.

OptionProtectInstructionBalance <OptnPrtctInstrBal> contains the following elements (see "SignedQuantityFormat13" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.3.7.10 EventDeadlines <EvtDdIns>

Presence: [1..1]

Definition: Provides information about the deadlines related to a corporate action option.

EventDeadlines <EvtDdIns> contains the following **CorporateActionEventDeadlines4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		698
	ResponseDeadline <RspnDdln>	[0..1]	±		698
	MarketDeadline <MktDdln>	[0..1]	±		698
	ProtectDeadline <PrtctDdln>	[0..1]	±		699
	CoverProtectDeadline <CoverPrtctDdln>	[0..1]	±		699

14.4.3.5.3.3.7.10.1 EarlyResponseDeadline <EarlyRspnDdln>*Presence:* [0..1]

Definition: Date/time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).

EarlyResponseDeadline <EarlyRspnDdln> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

14.4.3.5.3.3.7.10.2 ResponseDeadline <RspnDdln>*Presence:* [0..1]

Definition: Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).

ResponseDeadline <RspnDdln> contains one of the following elements (see "DateFormat54Choice" on page 746 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		746
Or	DateCodeAndTime <DtCdAndTm>	[1..1]			746
	DateCode <DtCd>	[1..1]			746
{Or	Code <Cd>	[1..1]	CodeSet		747
Or}	Proprietary <Prtry>	[1..1]	±		747
	Time <Tm>	[1..1]	Time		747
Or}	DateCode <DtCd>	[1..1]			747
{Or	Code <Cd>	[1..1]	CodeSet		748
Or}	Proprietary <Prtry>	[1..1]	±		748

14.4.3.5.3.3.7.10.3 MarketDeadline <MktDdln>*Presence:* [0..1]

Definition: Issuer or issuer's agent deadline to respond with an instruction to an outstanding offer or privilege.

MarketDeadline <MktDdln> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

14.4.3.5.3.3.7.10.4 ProtectDeadline <PrctDdln>

Presence: [0..1]

Definition: Deadline for protect instructions.

ProtectDeadline <PrctDdln> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

14.4.3.5.3.3.7.10.5 CoverProtectDeadline <CoverPrctDdln>

Presence: [0..1]

Definition: Deadline for covering a protect instruction.

CoverProtectDeadline <CoverPrctDdln> contains one of the following elements (see "DateFormat49Choice" on page 748 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

14.4.3.5.3.3.7.11 OptionInstructionDetails <OptnInstrDtls>

Presence: [0..*]

Definition: Instructions details received for the given option.

OptionInstructionDetails <OptnInstrDtls> contains the following **OptionInstructionDetails10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InstructionIdentification <InstrId>	[1..1]	Text		700
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		701
	ProtectIndicator <PrctctInd>	[0..1]	CodeSet		701
	InstructionQuantity <InstrQty>	[1..1]	±	C12	701
	InstructionDate <InstrDt>	[1..1]	Date		701
	ProtectDate <PrctctDt>	[0..1]	Date		701
	CoverProtectDate <CoverPrctctDt>	[0..1]	Date		702
	BidPrice <BidPric>	[0..1]	±		702
	ConditionalQuantity <CondlQty>	[0..1]	±	C12	702
	CustomerReference <CstmrRef>	[0..1]	Text		702
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		703
	InstructionStatus <InstrSts>	[1..1]			703
{Or	Accepted <Accptd>	[1..1]	±		703
Or	Cancelled <Canc>	[1..1]	±		704
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrpg>	[1..1]	±		704
Or	Rejected <Rjctd>	[1..1]			704
{Or	NoSpecifiedReason <NoSpctfdRsn>	[1..1]	CodeSet		704
Or}	Reason <Rsn>	[1..*]		C4	705
	ReasonCode <RsnCd>	[1..1]			705
{Or	Code <Cd>	[1..1]	CodeSet		705
Or}	Proprietary <Prtry>	[1..1]	±		707
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		707
Or	Pending <Pdg>	[1..1]	±		708
Or	PendingCancellation <PdgCxl>	[1..1]	±		708
Or	Covered <Cvrd>	[1..1]	±		708
Or}	Uncovered <Ucvrd>	[1..1]	±		709

14.4.3.5.3.3.7.11.1 InstructionIdentification <InstrId>

Presence: [1..1]

Definition: Identifies the instruction or protect instruction.

Datatype: "RestrictedFINMax15Text" on page 1186

14.4.3.5.3.3.7.11.2 InstructionSequenceNumber <InstrSeqNb>*Presence:* [0..1]*Definition:* Provides the sequence number of the instruction.*Datatype:* "Max3NumericText" on page 1184**14.4.3.5.3.3.7.11.3 ProtectIndicator <PrctctInd>***Presence:* [0..1]*Definition:* Indicates whether the instruction is a protect or a cover protect instruction.*Datatype:* "ProtectTransactionType2Code" on page 1168

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.
COVP	CoverOnBehalfOfAnotherParticipantTransaction	Reorganisation is a cover on behalf of another participant transaction type.
COVR	CoverProtectTransaction	Reorganisation is a cover protect transaction type.

14.4.3.5.3.3.7.11.4 InstructionQuantity <InstrQty>*Presence:* [1..1]*Definition:* Securities quantity instructed in the instruction.*Impacted by:* C12 "DigitalTokenUnitUsageRule"**InstructionQuantity <InstrQty>** contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1001
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1001
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1002
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1002

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

14.4.3.5.3.3.7.11.5 InstructionDate <InstrDt>*Presence:* [1..1]*Definition:* Date of the instruction.*Datatype:* "ISODate" on page 1177**14.4.3.5.3.3.7.11.6 ProtectDate <PrctctDt>***Presence:* [0..1]

Definition: Date of the client protect instruction.

Datatype: "ISODate" on page 1177

14.4.3.5.3.3.7.11.7 CoverProtectDate <CoverPrctctDt>

Presence: [0..1]

Definition: Date of the cover protect Instruction

Datatype: "ISODate" on page 1177

14.4.3.5.3.3.7.11.8 BidPrice <BidPric>

Presence: [0..1]

Definition: Bid price of the instruction.

BidPrice <BidPric> contains one of the following elements (see "PriceFormat57Choice" on page 983 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			984
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		984
	PriceValue <PricVal>	[1..1]	Rate		984
Or	AmountPrice <AmtPric>	[1..1]	±		984
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		985

14.4.3.5.3.3.7.11.9 ConditionalQuantity <CondlQty>

Presence: [0..1]

Definition: Conditional quantity of the instruction.

Impacted by: C12 "DigitalTokenUnitUsageRule"

ConditionalQuantity <CondlQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1001
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1001
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1002
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1002

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

14.4.3.5.3.3.7.11.10 CustomerReference <CstmrRef>

Presence: [0..1]

Definition: Customer related narrative information.

Datatype: "RestrictedFINMax50Text" on page 1186

14.4.3.5.3.3.7.11.11 InstructionNarrative <InstrNrrtv>

Presence: [0..1]

Definition: Narrative information from the submitted instruction.

Datatype: "RestrictedFINXMax350Text" on page 1189

14.4.3.5.3.3.7.11.12 InstructionStatus <InstrSts>

Presence: [1..1]

Definition: Status of a particular instruction.

InstructionStatus <InstrSts> contains one of the following **InstructionProcessingStatus53Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Accepted <Accptd>	[1..1]	±		703
Or	Cancelled <Canc>	[1..1]	±		704
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrg>	[1..1]	±		704
Or	Rejected <Rjctd>	[1..1]			704
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		704
Or}	Reason <Rsn>	[1..*]		C4	705
	ReasonCode <RsnCd>	[1..1]			705
{Or	Code <Cd>	[1..1]	CodeSet		705
Or}	Proprietary <Prtry>	[1..1]	±		707
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		707
Or	Pending <Pdg>	[1..1]	±		708
Or	PendingCancellation <PdgCxl>	[1..1]	±		708
Or	Covered <Cvrd>	[1..1]	±		708
Or}	Uncovered <Ucvrd>	[1..1]	±		709

14.4.3.5.3.3.7.11.12.1 Accepted <Accptd>

Presence: [1..1]

Definition: Instruction is accepted.

Accepted <Accptd> contains the following elements (see "NoSpecifiedReason1" on page 1073 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1073

14.4.3.5.3.3.7.11.12.2 Cancelled <Canc>*Presence:* [1..1]*Definition:* Instruction cancelled by client cancellation instruction.**Cancelled <Canc>** contains one of the following elements (see "[CancelledStatus15Choice](#)" on page 1091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1091
Or}	Reason <Rsn>	[1..*]		C3	1091
	ReasonCode <RsnCd>	[1..1]			1092
{Or	Code <Cd>	[1..1]	CodeSet		1092
Or}	Proprietary <Prtry>	[1..1]	±		1092
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1093

14.4.3.5.3.3.7.11.12.3 AcceptedForFurtherProcessing <AccptdForFrthrPrcg>*Presence:* [1..1]*Definition:* Provides status information related to an instruction request that is accepted. This means that the instruction has been received, is processable and has been validated for further processing.**AcceptedForFurtherProcessing <AccptdForFrthrPrcg>** contains the following elements (see "[NoSpecifiedReason1](#)" on page 1073 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1073

14.4.3.5.3.3.7.11.12.4 Rejected <Rjctd>*Presence:* [1..1]*Definition:* Instruction rejected by the receiver.**Rejected <Rjctd>** contains one of the following **RejectedStatus59Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		704
Or}	Reason <Rsn>	[1..*]		C4	705
	ReasonCode <RsnCd>	[1..1]			705
{Or	Code <Cd>	[1..1]	CodeSet		705
Or}	Proprietary <Prtry>	[1..1]	±		707
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		707

14.4.3.5.3.3.7.11.12.4.1 NoSpecifiedReason <NoSpcfdRsn>*Presence:* [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1161

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

14.4.3.5.3.3.7.11.12.4.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason why the meeting instruction message or the individual meeting instruction is rejected.

Impacted by: C4 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **RejectedStatusReason58** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			705
{Or	Code <Cd>	[1..1]	CodeSet		705
Or}	Proprietary <Prtry>	[1..1]	±		707
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		707

Constraints

- **AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

14.4.3.5.3.3.7.11.12.4.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Reason for the rejected status.

ReasonCode <RsnCd> contains one of the following **RejectedReason61Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		705
Or}	Proprietary <Prtry>	[1..1]	±		707

14.4.3.5.3.3.7.11.12.4.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Rejection reason specified as a code.

Datatype: "RejectionReason86Code" on page 1173

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.

CodeName	Name	Definition
CERT	IncorrectCertification	Instruction is rejected since the provided certification is incorrect or incomplete.
INVA	InvalidForTaxAuthorities	For tax reclaim, the reclaim is invalid for the tax authorities.
OPTY	InvalidOptionType	Invalid option type.
ULNK	InvalidReference	Linked reference is unknown.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LATE	MarketDeadlineMissed	Received after market deadline.
NMTY	Mismatch	Mismatch between option number and option type.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
CANC	OptionCancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	OptionInactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
OPNM	OptionNumberRejection	Unrecognised option number.
OTHR	Other	Other. See Narrative.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
REFT	RefusedByTaxAuthorities	Reclaim is refused by the tax authorities.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
EVNM	UnrecognisedIdentification	Unrecognised event number.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
DQCC	CurrencyRejection	Invalid instructed currency.
DQAM	InstructionTypeRejection	Invalid use of instructed amount (QCAS) or of instructed quantity (QINS) qualifiers.
IRDQ	InvalidUseRoundUpQuantity	Instruction is rejected due to invalid use of the additional round up quantity.
DQBV	InvalidBidValue	Bid price / basis points / percentage falls outside of bid range for the event (generally used in Dutch Auctions or Bid Tenders).
DQBI	InvalidBidIncrement	Bid price / basis points / percentage increments rejected due to invalid bid

CodeName	Name	Definition
		increment for the event (generally used in Dutch Auctions or Bid Tenders).
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
INIR	InstructionIrrevocable	Instruction is irrevocable.
SHAR	ShareholderNumberRejection	Unrecognised, missing or invalid shareholder number.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
CTCT	MissingContact	Contact information is missing.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
PRON	NoProtectPrivilege	No protect privilege for this event.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.

14.4.3.5.3.3.7.11.12.4.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Rejection reason specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

14.4.3.5.3.3.7.11.12.4.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the rejection status.

Datatype: "RestrictedFINMax210Text" on page 1186

14.4.3.5.3.3.7.11.12.5 Pending <Pdg>

Presence: [1..1]

Definition: Instruction has not been completed.

Pending <Pdg> contains one of the following elements (see "PendingStatus72Choice" on page 1073 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1073
Or}	Reason <Rsn>	[1..*]		C6	1074
	ReasonCode <RsnCd>	[1..1]			1074
{Or	Code <Cd>	[1..1]	CodeSet		1074
Or}	Proprietary <Prtry>	[1..1]	±		1075
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1075

14.4.3.5.3.3.7.11.12.6 PendingCancellation <PdgCxl>

Presence: [1..1]

Definition: Cancellation instruction is pending.

PendingCancellation <PdgCxl> contains one of the following elements (see "PendingCancellationStatus9Choice" on page 1081 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedReason <NotSpdfdRsn>	[1..1]	CodeSet		1082
Or}	Reason <Rsn>	[1..*]		C1, C6	1082
	ReasonCode <RsnCd>	[1..1]			1082
{Or	Code <Cd>	[1..1]	CodeSet		1082
Or}	Proprietary <Prtry>	[1..1]	±		1083
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1083

14.4.3.5.3.3.7.11.12.7 Covered <Cvrd>

Presence: [1..1]

Definition: Protect Instruction has been full covered.

Covered <Cvrd> contains the following elements (see "NoSpecifiedReason1" on page 1073 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1073

14.4.3.5.3.3.7.11.12.8 Uncovered <Ucvrd>*Presence:* [1..1]*Definition:* Cover protect instruction accepted but it has not fully covered the protect instruction.**Uncovered <Ucvrd>** contains the following elements (see "[NoSpecifiedReason1](#)" on page 1073 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1073

14.4.3.5.3.4 BlockedBalance <BlckdBal>*Presence:* [0..1]*Definition:* Balance of financial instruments that are blocked.**BlockedBalance <BlckdBal>** contains the following elements (see "[SignedQuantityFormat13](#)" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.5 BorrowedBalance <BrrwdBal>*Presence:* [0..1]*Definition:* Balance of financial instruments that have been borrowed from another party.**BorrowedBalance <BrrwdBal>** contains the following elements (see "[SignedQuantityFormat13](#)" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.6 CollateralInBalance <CollnBal>*Presence:* [0..1]*Definition:* Balance of securities that belong to a third party and that are held for the purpose of collateralisation.**CollateralInBalance <CollnBal>** contains the following elements (see "[SignedQuantityFormat13](#)" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.7 CollateralOutBalance <CollOutBal>*Presence:* [0..1]*Definition:* Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.**CollateralOutBalance <CollOutBal>** contains the following elements (see "[SignedQuantityFormat13](#)" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.8 OnLoanBalance <OnLnBal>*Presence:* [0..1]*Definition:* Balance of financial instruments that have been loaned to a third party.**OnLoanBalance <OnLnBal>** contains the following elements (see "[SignedQuantityFormat13](#)" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.9 OutForRegistrationBalance <OutForRegnBal>*Presence:* [0..1]*Definition:* Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).**OutForRegistrationBalance <OutForRegnBal>** contains the following elements (see "[SignedQuantityFormat13](#)" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.10 SettlementPositionBalance <SttImPosBal>*Presence:* [0..1]*Definition:* Balance of securities representing only settled transactions; pending transactions not included.

SettlementPositionBalance <SttlmPosBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.11 StreetPositionBalance <StrtPosBal>

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

StreetPositionBalance <StrtPosBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.12 TradeDatePositionBalance <TradDtPosBal>

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

TradeDatePositionBalance <TradDtPosBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.13 InTransshipmentBalance <InTrnsShipmntBal>

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

InTransshipmentBalance <InTrnsShipmntBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.14 RegisteredBalance <RegdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

RegisteredBalance <RegdBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.15 ObligatedBalance <OblgtdBal>

Presence: [0..1]

Definition: Position that account holders should return to the account servicer to participate in the event or to fulfil their obligation for the event to be complete, for example, return of securities for late announced drawing.

ObligatedBalance <OblgtdBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.16 PendingDeliveryBalance <PdgDlvryBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

PendingDeliveryBalance <PdgDlvryBal> contains the following **PendingBalance8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		712
	PendingTransactions <PdgTx>	[0..*]	±		713

14.4.3.5.3.16.1 Balance <Bal>

Presence: [1..1]

Definition: Signed quantity of balance.

Balance <Bal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.16.2 PendingTransactions <PdgTxs>*Presence:* [0..*]*Definition:* Overall process covering the trade and settlement transactions of financial instruments.**PendingTransactions <PdgTxs>** contains the following elements (see "SettlementTypeAndIdentification26" on page 804 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Payment <Pmt>	[1..1]	CodeSet		804
	TransactionIdentification <TxId>	[1..1]	Text		804
	SettlementDate <SttlmDt>	[0..1]	±		804

14.4.3.5.3.17 PendingReceiptBalance <PdgRctBal>*Presence:* [0..*]*Definition:* Balance of financial instruments that are pending receipt.**PendingReceiptBalance <PdgRctBal>** contains the following **PendingBalance8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		713
	PendingTransactions <PdgTxs>	[0..*]	±		713

14.4.3.5.3.17.1 Balance <Bal>*Presence:* [1..1]*Definition:* Signed quantity of balance.**Balance <Bal>** contains the following elements (see "SignedQuantityFormat13" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

14.4.3.5.3.17.2 PendingTransactions <PdgTxs>*Presence:* [0..*]*Definition:* Overall process covering the trade and settlement transactions of financial instruments.

PendingTransactions <PdgTxs> contains the following elements (see "SettlementTypeAndIdentification26" on page 804 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Payment <Pmt>	[1..1]	CodeSet		804
	TransactionIdentification <TxId>	[1..1]	Text		804
	SettlementDate <SttlmDt>	[0..1]	±		804

14.4.3.5.4 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Provides additional information related to the event and the balance of the corporate action.

Impacted by: C22 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		933
	Envelope <Envlp>	[1..1]	(External Schema)		933

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

14.4.4 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C22 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		933
	Envelope <Envlp>	[1..1]	(External Schema)		933

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

15 seev.044.002.12 CorporateActionMovementPreliminaryAdvice CancellationAdvice002V12

15.1 MessageDefinition Functionality

Scope

The CorporateActionMovementPreliminaryAdviceCancellationAdvice message is sent by an account servicer to an account owner or its designated agent to cancel a previously announced CorporateActionMovementPreliminaryAdvice.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionMovementPreliminaryAdviceCancellationAdvice002V12 MessageDefinition is composed of 14 MessageBuildingBlocks:

- MovementPreliminaryAdviceIdentification**
Identification of a previously sent movement preliminary advice document.
- CorporateActionGeneralInformation**
General information about the corporate action event.
- AccountDetails**
General information about the safekeeping account and the account owner.
- CorporateActionDetails**
Information about the corporate action event.
- IssuerAgent**
Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.
- PayingAgent**
Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.
- SubPayingAgent**

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

H. Registrar

Party/agent responsible for maintaining the register of a security.

I. ResellingAgent

Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

J. PhysicalSecuritiesAgent

Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

K. DropAgent

Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

L. SolicitationAgent

Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

M. InformationAgent

Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

N. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

15.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnMvmntPrimryAdvCxlAdvC>	[1..1]			
	MovementPreliminaryAdviceIdentification <MvmntPrimryAdvCld>	[1..1]	±		719
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C8	720
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		720
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		720
	ClassActionNumber <ClsActnNb>	[0..1]	Text		720
	EventType <EvtTp>	[1..1]	±	C5	721
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		721
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C9	721
	AccountDetails <AcctDtls>	[1..1]			722
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		723
Or}	AccountsList <AcctsList>	[1..*]		C10, C11, C12, C13	723
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		724
	BlockchainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		724
	AccountOwner <AcctOwnr>	[0..1]	±		724
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		724
	CorporateActionDetails <CorpActnDtls>	[0..1]			725
	DateDetails <DtDtls>	[0..1]			725
	RecordDate <RcrdDt>	[0..1]	±		725
	ExDividendDate <ExDvddDt>	[0..1]	±		726
	EventStage <EvtStag>	[0..1]	±		726
	LotteryType <LtryTp>	[0..1]	±		726
	IssuerAgent <IssrAgt>	[0..*]	±		727
	PayingAgent <PngAgt>	[0..*]	±		727
	SubPayingAgent <SubPngAgt>	[0..*]	±		727
	Registrar <Regar>	[0..1]	±		728
	ResellingAgent <RsellingAgt>	[0..*]	±		728

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PhysicalSecuritiesAgent <PhysSctiesAgt>	[0..1]	±		728
	DropAgent <DrpAgt>	[0..1]	±		729
	SolicitationAgent <SlctnAgt>	[0..*]	±		729
	InformationAgent <InfAgt>	[0..1]	±		729
	SupplementaryData <SplmtryData>	[0..*]	±	C14	730

15.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 EventTypeRule

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

C6 ISINGuideline

When a ISIN code exist. It is strongly recommended that the ISIN be used.

C7 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C8 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference

(COAF). Those recommendations are available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C9 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C10 SafekeepingAccountOrBlockChainAddress1Rule

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

C11 SafekeepingAccountOrBlockChainAddress2Rule

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

C12 SafekeepingAccountOrBlockChainAddress3Rule

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

C13 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C14 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C15 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

15.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

15.4.1 MovementPreliminaryAdviceIdentification <MvmntPrlmryAdvcl>

Presence: [1..1]

Definition: Identification of a previously sent movement preliminary advice document.

MovementPreliminaryAdviceIdentification <MvmntPrlmryAdvcl> contains the following elements (see "[DocumentIdentification37](#)" on page 755 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		755
	LinkageType <LkgTp>	[0..1]	±	C32	755

15.4.2 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C8 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation150** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		720
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		720
	ClassActionNumber <ClsActnNb>	[0..1]	Text		720
	EventType <EvtTp>	[1..1]	±	C5	721
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		721
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C9	721

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

15.4.2.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1187

15.4.2.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1187

15.4.2.3 ClassActionNumber <ClsActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "RestrictedFINXMax16Text" on page 1187

15.4.2.4 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C5 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType97Choice" on page 851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		852
Or}	Proprietary <Prtry>	[1..1]	±		858

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

15.4.2.5 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following elements (see "CorporateActionMandatoryVoluntary4Choice" on page 927 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		927
Or}	Proprietary <Prtry>	[1..1]	±		927

15.4.2.6 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security concerned by the corporate action.

Impacted by: C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C6 "ISINGuideline", C7 "ISINPresenceRule", C9 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		767
	OtherIdentification <OthrlId>	[0..*]			767
	Identification <Id>	[1..1]	Text		768
	Suffix <Sfx>	[0..1]	Text		768
	Type <Tp>	[1..1]	±		768
	Description <Desc>	[0..1]	Text		768

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

15.4.3 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account and the account owner.

AccountDetails <AcctDtls> contains one of the following **AccountIdentification50Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		723
Or}	AccountsList <AcctsList>	[1..*]		C10, C11, C12, C13	723
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		724
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		724
	AccountOwner <AcctOwnr>	[0..1]	±		724
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		724

15.4.3.1 ForAllAccounts <ForAllAccts>

Presence: [1..1]

Definition: All safekeeping accounts that own underlying financial instrument.

ForAllAccounts <ForAllAccts> contains the following elements (see ["AccountIdentification10"](#) on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		731

15.4.3.2 AccountsList <AcctsList>

Presence: [1..*]

Definition: Selected safekeeping accounts list to which the corporate action event applies.

Impacted by: C10 "SafekeepingAccountOrBlockChainAddress1Rule", C11 "SafekeepingAccountOrBlockChainAddress2Rule", C12 "SafekeepingAccountOrBlockChainAddress3Rule", C13 "SafekeepingPlaceRule"

AccountsList <AcctsList> contains the following **AccountIdentification61** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		724
	BlockChainAddressOrWallet <BlckChainAdrOrWlt>	[0..1]	Text		724
	AccountOwner <AcctOwnr>	[0..1]	±		724
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		724

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True
 /SafekeepingAccount Must be present
 Or /BlockchainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockchainAddress2Rule**

If BlockchainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition
 /BlockchainAddressOrWallet is present
 Following Must be True
 /SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockchainAddress3Rule**

If SafekeepingAccount is present, then BlockchainAddressOrWallet must be absent.

On Condition
 /SafekeepingAccount is present
 Following Must be True
 /BlockchainAddressOrWallet Must be absent

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

15.4.3.2.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 1189

15.4.3.2.2 BlockchainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 1187

15.4.3.2.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification136Choice" on page 945 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	945
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		946

15.4.3.2.4 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat32Choice" on page 1061 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1062
Or	Country <Ctry>	[1..1]	CodeSet	C3	1062
Or	TypeAndIdentification <TpAndId>	[1..1]			1062
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1063
	Identification <Id>	[1..1]	IdentifierSet	C1	1063
Or}	Proprietary <Prtry>	[1..1]	±		1063

15.4.4 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction76** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			725
	RecordDate <RcrdDt>	[0..1]	±		725
	ExDividendDate <ExDvddDt>	[0..1]	±		726
	EventStage <EvtStag>	[0..1]	±		726
	LotteryType <LtryTp>	[0..1]	±		726

15.4.4.1 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

DateDetails <DtDtls> contains the following **CorporateActionDate89** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		725
	ExDividendDate <ExDvddDt>	[0..1]	±		726

15.4.4.1.1 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

15.4.4.1.2 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

15.4.4.2 EventStage <EvtStag>

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat15Choice" on page 884 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		884
Or}	Proprietary <Prtry>	[1..1]	±		884

15.4.4.3 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following elements (see "[LotteryTypeFormat5Choice](#)" on page 910 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		911
Or}	Proprietary <Prtry>	[1..1]	±		911

15.4.5 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see "[PartyIdentification137Choice](#)" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

15.4.6 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see "[PartyIdentification137Choice](#)" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

15.4.7 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

15.4.8 Registrar <Regar>

Presence: [0..1]

Definition: Party/agent responsible for maintaining the register of a security.

Registrar <Regar> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

15.4.9 ResellingAgent <RsellingAgt>

Presence: [0..*]

Definition: Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

ResellingAgent <RsellingAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

15.4.10 PhysicalSecuritiesAgent <PhysSctiesAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

PhysicalSecuritiesAgent <PhysSctiesAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

15.4.11 DropAgent <DrpAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

DropAgent <DrpAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

15.4.12 SolicitationAgent <SlctnAgt>

Presence: [0..*]

Definition: Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

SolicitationAgent <SlctnAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

15.4.13 InformationAgent <InfAgt>

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

InformationAgent <InfAgt> contains one of the following elements (see "PartyIdentification137Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

15.4.14 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C14 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		933
	Envelope <Envlp>	[1..1]	(External Schema)		933

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

16 Message Items Types

16.1 MessageComponents

16.1.1 Account Identification

16.1.1.1 AccountIdentification10

Definition: Provides account identification information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		731

16.1.1.1.1 IdentificationCode <IdCd>

Presence: [1..1]

Definition: Standard code to specify that announcement applies to all safekeeping accounts that own underlying financial instrument.

Datatype: "SafekeepingAccountIdentification1Code" on page 1175

CodeName	Name	Definition
GENR	General	Announcement applies to all safekeeping accounts that own underlying financial instrument. (Used for general or preliminary announcements.).

16.1.1.2 CashAccountIdentification6Choice

Definition: Unique identifier of an account, as assigned by the account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	731
Or}	Proprietary <Prtry>	[1..1]	Text		732

16.1.1.2.1 IBAN <IBAN>

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C17 "IBAN"

Datatype: "IBAN2007Identifier" on page 1179

Constraints

- IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

16.1.1.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.

Datatype: "RestrictedFINX2Max34Text" on page 1186

16.1.2 Balance**16.1.2.1 TotalEligibleBalanceFormat11**

Definition: Total eligible balance for the corporate action and full and part way period units.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[0..1]	±		732
	FullPeriodUnits <FullPrdUnits>	[0..1]	±		732
	PartWayPeriodUnits <PartWayPrdUnits>	[0..1]	±		733

16.1.2.1.1 Balance <Bal>

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

Balance <Bal> contains one of the following elements (see "Quantity80Choice" on page 992 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityChoice <QtyChc>	[1..1]	±		993
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			993
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		993
	Quantity <Qty>	[1..1]	Quantity		993
	QuantityType <QtyTp>	[1..1]	Text		994
	Issuer <Issr>	[1..1]	Text		994
	SchemeName <SchmeNm>	[0..1]	Text		994

16.1.2.1.2 FullPeriodUnits <FullPrdUnits>

Presence: [0..1]

Definition: Number of units of a fund that were purchased in a previous distribution period and/or held at the beginning of a distribution period, for example Group I Units in the UK.

FullPeriodUnits <FullPrdUnits> contains the following elements (see "[SignedQuantityFormat13](#)" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

16.1.2.1.3 PartWayPeriodUnits <PartWayPrdUnits>

Presence: [0..1]

Definition: Number of units of a fund that were purchased part way throughout a distribution period, for example Group II Units in the U.K.

PartWayPeriodUnits <PartWayPrdUnits> contains the following elements (see "[SignedQuantityFormat13](#)" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

16.1.2.2 BalanceFormat16Choice

Definition: Choice between balance, eligible balance and not eligible balance formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		733
Or	EligibleBalance <ElgblBal>	[1..1]	±		733
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		734
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		734
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		734

16.1.2.2.1 Balance <Bal>

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

Balance <Bal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 988 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	QuantityChoice <QtyChc>	[1..1]	±		989

16.1.2.2.2 EligibleBalance <ElgblBal>

Presence: [1..1]

Definition: Provide eligible balance information in different formats.

EligibleBalance <ElgblBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

16.1.2.2.3 NotEligibleBalance <NotElgblBal>

Presence: [1..1]

Definition: Provide not eligible balance information in different formats.

NotEligibleBalance <NotElgblBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

16.1.2.2.4 FullPeriodUnits <FullPrdUnits>

Presence: [1..1]

Definition: Number of units of a fund that were purchased in a previous distribution period and/or held at the beginning of a distribution period, for example Group I Units in the UK.

FullPeriodUnits <FullPrdUnits> contains the following elements (see "[SignedQuantityFormat13](#)" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

16.1.2.2.5 PartWayPeriodUnits <PartWayPrdUnits>

Presence: [1..1]

Definition: Number of units of a fund that were purchased part way throughout a distribution period, for example Group II Units in the U.K.

PartWayPeriodUnits <PartWayPrdUnits> contains the following elements (see "[SignedQuantityFormat13](#)" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

16.1.2.3 BalanceFormat14Choice

Definition: Choice between balance, eligible balance and not eligible balance formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

16.1.2.3.1 Balance <Bal>

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

Balance <Bal> contains the following elements (see "[SignedQuantityFormat12](#)" on page 988 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	QuantityChoice <QtyChc>	[1..1]	±		989

16.1.2.3.2 EligibleBalance <ElgblBal>

Presence: [1..1]

Definition: Provide eligible balance information in different formats.

EligibleBalance <ElgblBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

16.1.2.3.3 NotEligibleBalance <NotElgblBal>

Presence: [1..1]

Definition: Provide not eligible balance information in different formats.

NotEligibleBalance <NotElgblBal> contains the following elements (see "[SignedQuantityFormat13](#)" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

16.1.3 Date Period

16.1.3.1 DatePeriod2

Definition: Range of time defined by a start date and an end date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		736
	ToDate <ToDt>	[1..1]	Date		736

16.1.3.1.1 FromDate <FrDt>

Presence: [1..1]

Definition: Start date of the range.

Datatype: "ISODate" on page 1177

16.1.3.1.2 ToDate <ToDt>

Presence: [1..1]

Definition: End date of the range.

Datatype: "ISODate" on page 1177

16.1.3.2 CorporateActionPeriod15

Definition: Specifies periods of a corporate action.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		737
	InterestPeriod <IntrstPrd>	[0..1]	±		738
	CompulsoryPurchasePeriod <CmplsryPurchsPrd>	[0..1]	±		738
	ClaimPeriod <ClmPrd>	[0..1]	±		738
	DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf>	[0..1]	±		738
	DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAgt>	[0..1]	±		739
	DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst>	[0..1]	±		739
	DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg>	[0..1]	±		739
	DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn>	[0..1]	±		740
	DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt>	[0..1]	±		740
	DepositorySuspensionPeriodForWithdrawalInNomineeName <DpstrySspnsnPrdForWdrwlInNmneeNm>	[0..1]	±		740
	DepositorySuspensionPeriodForWithdrawalInStreetName <DpstrySspnsnPrdForWdrwlInStrtNm>	[0..1]	±		740
	BookClosurePeriod <BookClsrPrd>	[0..1]	±		741
	CoDepositoriesSuspensionPeriod <CoDpstriesSspnsnPrd>	[0..1]	±		741
	SplitPeriod <SplitPrd>	[0..1]	±		741

Constraints

- **InterestPeriodRule**

InterestPeriod must be used only if it is different from a normal accrued interest period.

16.1.3.2.1 PriceCalculationPeriod <PricClctnPrd>

Presence: [0..1]

Definition: Period during which the price of a security is determined.

PriceCalculationPeriod <PricClctnPrd> contains one of the following elements (see "Period6Choice" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

16.1.3.2.2 InterestPeriod <IntrstPrd>*Presence:* [0..1]*Definition:* Period during which the interest rate has been applied.**InterestPeriod <IntrstPrd>** contains one of the following elements (see ["Period6Choice"](#) on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

16.1.3.2.3 CompulsoryPurchasePeriod <CmplsryPurchsPrd>*Presence:* [0..1]*Definition:* Period during a take-over where any outstanding equity must be purchased by the take-over company.**CompulsoryPurchasePeriod <CmplsryPurchsPrd>** contains one of the following elements (see ["Period6Choice"](#) on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

16.1.3.2.4 ClaimPeriod <CImPrd>*Presence:* [0..1]*Definition:* Period assigned by the court in a class action. It determines the client's eligible transactions that will be included in the class action and used to determine the resulting entitlement.**ClaimPeriod <CImPrd>** contains one of the following elements (see ["Period6Choice"](#) on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

16.1.3.2.5 DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf>*Presence:* [0..1]*Definition:* Period defining the last date for which book entry transfers will be accepted and the date on which the suspension will be released and book entry transfer processing will resume.

DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf>
contains one of the following elements (see "Period6Choice" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

16.1.3.2.6 DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAg>

Presence: [0..1]

Definition: Period defining the last date for which deposits, into nominee name, at the agent will be accepted and the date on which the suspension will be released and deposits at agent will resume.

DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAg> contains one of the following elements (see "Period6Choice" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

16.1.3.2.7 DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst>

Presence: [0..1]

Definition: Period defining the last date for which deposits will be accepted and the date on which the suspension will be released and deposits will resume.

DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst> contains one of the following elements (see "Period6Choice" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

16.1.3.2.8 DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg>

Presence: [0..1]

Definition: Period defining the last date for which pledges will be accepted and the date on which the suspension will be released and pledge processing will resume.

DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg> contains one of the following elements (see "Period6Choice" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

16.1.3.2.9 DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn>*Presence:* [0..1]

Definition: Period defining the last date for which intra-position balances can be segregated and the date on which the suspension will be released and the ability to segregate intra-position balances will resume.

DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn> contains one of the following elements (see "Period6Choice" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

16.1.3.2.10 DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt>*Presence:* [0..1]

Definition: Period defining the last date for which withdrawals, from nominee name at the agent will be accepted and the date on which the suspension will be released and withdrawals at agent processing will resume.

DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt> contains one of the following elements (see "Period6Choice" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

16.1.3.2.11 DepositorySuspensionPeriodForWithdrawalInNomineeName <DpstrySspnsnPrdForWdrwlInNmneeNm>*Presence:* [0..1]

Definition: Period defining the last date for which physical withdrawals in the nominee's name will be accepted and the date on which the suspension will be released and physical withdrawals in the nominee's name will resume.

DepositorySuspensionPeriodForWithdrawalInNomineeName <DpstrySspnsnPrdForWdrwlInNmneeNm> contains one of the following elements (see "Period6Choice" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

16.1.3.2.12 DepositorySuspensionPeriodForWithdrawalInStreetName <DpstrySspnsnPrdForWdrwlInStrtNm>*Presence:* [0..1]

Definition: Period defining the last date on which withdrawal requests in street name's will be accepted on the event security and the date on which the suspension will be released and withdrawal in street name's processing on the event security will resume.

DepositorySuspensionPeriodForWithdrawalInStreetName

<DpstrySspnsnPrdForWdrwlInStrtNm> contains one of the following elements (see "Period6Choice" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

16.1.3.2.13 BookClosurePeriod <BookClsrPrd>

Presence: [0..1]

Definition: Period defining the last date on which shareholder registration will be accepted by the issuer and the date on which shareholder registration will resume.

BookClosurePeriod <BookClsrPrd> contains one of the following elements (see "Period6Choice" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

16.1.3.2.14 CoDepositoriesSuspensionPeriod <CoDpstrisSspnsnPrd>

Presence: [0..1]

Definition: Period during which the settlement activities at the co-depositories are suspended in order to stabilise the holdings at the CSD.

CoDepositoriesSuspensionPeriod <CoDpstrisSspnsnPrd> contains one of the following elements (see "Period6Choice" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

16.1.3.2.15 SplitPeriod <SpltPrd>

Presence: [0..1]

Definition: Period during which a physical certificate can be split.

SplitPeriod <SpltPrd> contains one of the following elements (see "Period6Choice" on page 754 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

16.1.4 Date Time

16.1.4.1 DateFormat41Choice

Definition: Choice between an ISODate format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

16.1.4.1.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Datatype: "ISODate" on page 1177

16.1.4.1.2 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode22Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

16.1.4.1.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: "DateType8Code" on page 1144

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

CodeName	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.4.1.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.4.2 DateFormat64Choice

Definition: Provides the date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		743
Or}	DateCode <DtCd>	[1..1]			743
{Or	Code <Cd>	[1..1]	CodeSet		744
Or}	Proprietary <Prtry>	[1..1]	±		744

16.1.4.2.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Datatype: "ISODate" on page 1177

16.1.4.2.2 DateCode <DtCd>

Presence: [1..1]

Definition: Date is expressed using a code.

DateCode <DtCd> contains one of the following **DateCode27Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		744
Or}	Proprietary <Prtry>	[1..1]	±		744

16.1.4.2.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to indicate the date is unknown.*Datatype:* "DateType1Code" on page 1144

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

16.1.4.2.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.4.3 DateFormat59Choice*Definition:* Choice between an ISODate or ISODateTime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		744
Or}	DateCode <DtCd>	[1..1]			745
{Or	Code <Cd>	[1..1]	CodeSet		745
Or}	Proprietary <Prtry>	[1..1]	±		745

16.1.4.3.1 Date <Dt>*Presence:* [1..1]*Definition:* Date expressed as a calendar date.

Date <Dt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		749
Or}	DateTime <DtTm>	[1..1]	DateTime		750

16.1.4.3.2 DateCode <DtCd>*Presence:* [1..1]*Definition:* Specifies the type of date.**DateCode <DtCd>** contains one of the following **DateCode33Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		745
Or}	Proprietary <Prtry>	[1..1]	±		745

16.1.4.3.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of date.*Datatype:* "DateType9Code" on page 1144

CodeName	Name	Definition
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
UKWN	Unknown	Date is unknown by the sender or has not been established.

16.1.4.3.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of date.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 807 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		807
	Issuer <Issr>	[1..1]	Text		807
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.4.4 DateFormat54Choice

Definition: Choice between an ISODate or ISODateTime format or a date code or a date code and a time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		746
Or	DateCodeAndTime <DtCdAndTm>	[1..1]			746
	DateCode <DtCd>	[1..1]			746
{Or	Code <Cd>	[1..1]	CodeSet		747
Or}	Proprietary <Prtry>	[1..1]	±		747
	Time <Tm>	[1..1]	Time		747
Or}	DateCode <DtCd>	[1..1]			747
{Or	Code <Cd>	[1..1]	CodeSet		748
Or}	Proprietary <Prtry>	[1..1]	±		748

16.1.4.4.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Date <Dt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		749
Or}	DateTime <DtTm>	[1..1]	DateTime		750

16.1.4.4.2 DateCodeAndTime <DtCdAndTm>

Presence: [1..1]

Definition: Specifies a date code and a time.

DateCodeAndTime <DtCdAndTm> contains the following **DateCodeAndTimeFormat4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateCode <DtCd>	[1..1]			746
{Or	Code <Cd>	[1..1]	CodeSet		747
Or}	Proprietary <Prtry>	[1..1]	±		747
	Time <Tm>	[1..1]	Time		747

16.1.4.4.2.1 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode26Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		747
Or}	Proprietary <Prtry>	[1..1]	±		747

16.1.4.4.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: ["DateType7Code" on page 1144](#)

CodeName	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.4.4.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification47" on page 808](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.4.4.2.2 Time <Tm>

Presence: [1..1]

Definition: Specifies the time.

Datatype: ["ISOTime" on page 1190](#)

16.1.4.4.3 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode22Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		748
Or}	Proprietary <Prtry>	[1..1]	±		748

16.1.4.4.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of date.*Datatype:* "DateType8Code" on page 1144

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.4.4.3.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.4.5 DateFormat49Choice*Definition:* Choice between an ISODate or ISODateTime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		748
Or}	DateCode <DtCd>	[1..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

16.1.4.5.1 Date <Dt>*Presence:* [1..1]*Definition:* Date expressed as a calendar date.

Date <Dt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		749
Or}	DateTime <DtTm>	[1..1]	DateTime		750

16.1.4.5.2 DateCode <DtCd>*Presence:* [1..1]*Definition:* Specifies the type of date.**DateCode <DtCd>** contains one of the following **DateCode22Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		749

16.1.4.5.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of date.*Datatype:* "DateType8Code" on page 1144

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.4.5.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of date.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.4.6 DateAndDateTime2Choice*Definition:* Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		749
Or}	DateTime <DtTm>	[1..1]	DateTime		750

16.1.4.6.1 Date <Dt>*Presence:* [1..1]

Definition: Specified date.

Datatype: ["ISODate" on page 1177](#)

16.1.4.6.2 DateTime <DtTm>

Presence: [1..1]

Definition: Specified date and time.

Datatype: ["ISODatetime" on page 1178](#)

16.1.4.7 DateFormat43Choice

Definition: Choice between an ISODate or ISODatetime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		750
Or}	DateCode <DtCd>	[1..1]			750
{Or	Code <Cd>	[1..1]	CodeSet		750
Or}	Proprietary <Prtry>	[1..1]	±		751

16.1.4.7.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Date <Dt> contains one of the following elements (see ["DateAndDateTime2Choice" on page 749](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		749
Or}	DateTime <DtTm>	[1..1]	DateTime		750

16.1.4.7.2 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode19Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		750
Or}	Proprietary <Prtry>	[1..1]	±		751

16.1.4.7.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: ["DateType8Code" on page 1144](#)

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.4.7.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 807 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		807
	Issuer <Issr>	[1..1]	Text		807
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.5 Date Time Period

16.1.5.1 DateTimePeriod1

Definition: Time span defined by a start date and time, and an end date and time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		751
	ToDateTime <ToDtTm>	[1..1]	DateTime		751

16.1.5.1.1 FromDateTime <FrDtTm>

Presence: [1..1]

Definition: Date and time at which the period starts.

Datatype: "[ISODatetime](#)" on page 1178

16.1.5.1.2 ToDateTime <ToDtTm>

Presence: [1..1]

Definition: Date and time at which the period ends.

Datatype: "[ISODatetime](#)" on page 1178

16.1.5.2 DateOrDateTimePeriod1Choice

Definition: Choice between a date or a date and time format for a period.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		752
Or}	DateTime <DtTm>	[1..1]	±		752

16.1.5.2.1 Date <Dt>

Presence: [1..1]

Definition: Period expressed with dates.

Date <Dt> contains the following elements (see ["DatePeriod2" on page 736](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		736
	ToDate <ToDt>	[1..1]	Date		736

16.1.5.2.2 DateTime <DtTm>

Presence: [1..1]

Definition: Period expressed a dates and times.

DateTime <DtTm> contains the following elements (see ["DateTimePeriod1" on page 751](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		751
	ToDateTime <ToDtTm>	[1..1]	DateTime		751

16.1.5.3 Period11

Definition: Time span defined by a start date and time, and an end date and time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			752
{Or	Date <Dt>	[1..1]	±		753
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		753
	EndDate <EndDt>	[1..1]			753
{Or	Date <Dt>	[1..1]	±		753
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		754

16.1.5.3.1 StartDate <StartDt>

Presence: [1..1]

Definition: Date and time at which the range starts.

StartDate <StartDt> contains one of the following **DateFormat45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		753
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		753

16.1.5.3.1.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as an ISO Date.

Date <Dt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		749
Or}	DateTime <DtTm>	[1..1]	DateTime		750

16.1.5.3.1.2 NotSpecifiedDate <NotSpcfdDt>

Presence: [1..1]

Definition: Date not specified, for example, the date is unknown.

Datatype: "[DateType8Code](#)" on page 1144

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.5.3.2 EndDate <EndDt>

Presence: [1..1]

Definition: Date and time at which the range ends.

EndDate <EndDt> contains one of the following **DateFormat45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		753
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		754

16.1.5.3.2.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as an ISO Date.

Date <Dt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		749
Or}	DateTime <DtTm>	[1..1]	DateTime		750

16.1.5.3.2.2 NotSpecifiedDate <NotSpcfdDt>

Presence: [1..1]

Definition: Date not specified, for example, the date is unknown.

Datatype: ["DateType8Code"](#) on page 1144

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.5.4 Period6Choice

Definition: Choice between a period or a period code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		754
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		754

16.1.5.4.1 Period <Prd>

Presence: [1..1]

Definition: Time span defined by a start date and time, and an end date and time.

Period <Prd> contains the following elements (see ["Period11"](#) on page 752 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			752
{Or	Date <Dt>	[1..1]	±		753
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		753
	EndDate <EndDt>	[1..1]			753
{Or	Date <Dt>	[1..1]	±		753
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		754

16.1.5.4.2 PeriodCode <PrdCd>

Presence: [1..1]

Definition: Standard code to specify the type of period.

Datatype: "DateType8Code" on page 1144

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.1.6 Document

16.1.6.1 DocumentIdentification37

Definition: Identification of a document and type of link.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		755
	LinkageType <LkgTp>	[0..1]	±	C32	755

16.1.6.1.1 Identification <Id>

Presence: [1..1]

Definition: Identifies the document.

Datatype: "RestrictedFINXMax16Text" on page 1187

16.1.6.1.2 LinkageType <LkgTp>

Presence: [0..1]

Definition: Specifies when this document is to be processed relative to another referred document.

Impacted by: C32 "WithLinkageRule"

LinkageType <LkgTp> contains one of the following elements (see "ProcessingPosition10Choice" on page 880 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		880
Or}	Proprietary <Prtry>	[1..1]	±		880

Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending.

Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

16.1.6.2 DocumentNumber6Choice

Definition: Choice between a short document number, a long document number or a proprietary document number.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		756
Or	LongNumber <LngNb>	[1..1]	Text		756
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		756

Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

16.1.6.2.1 ShortNumber <ShrtNb>

Presence: [1..1]

Definition: Message type number of the document referenced.

Datatype: "Exact3NumericText" on page 1182

16.1.6.2.2 LongNumber <LngNb>

Presence: [1..1]

Definition: MX Message identifier of the referenced document.

Datatype: "ISO20022MessageIdentificationText" on page 1183

16.1.6.2.3 ProprietaryNumber <PrtryNb>

Presence: [1..1]

Definition: Proprietary document identification.

ProprietaryNumber <PrtryNb> contains the following elements (see "GenericIdentification86" on page 805 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		806
	Issuer <Issr>	[1..1]	Text		806
	SchemeName <SchmeNm>	[0..1]	Text		806

16.1.6.3 DocumentIdentification34

Definition: Identification of a document as well as the document number.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			757
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		757
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		757
	DocumentNumber <DocNb>	[0..1]	±	C6	757

16.1.6.3.1 Identification <Id>

Presence: [1..1]

Definition: Unique identifier of the document (message) assigned either by the account servicer or the account owner.

Identification <Id> contains one of the following **DocumentIdentification4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		757
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		757

16.1.6.3.1.1 AccountServicerDocumentIdentification <AcctSvcrDocId>

Presence: [1..1]

Definition: Identification of the document assigned by the account servicer.

Datatype: "RestrictedFINXMax16Text" on page 1187

16.1.6.3.1.2 AccountOwnerDocumentIdentification <AcctOwnrDocId>

Presence: [1..1]

Definition: Identification of the document assigned by the account owner.

Datatype: "RestrictedFINXMax16Text" on page 1187

16.1.6.3.2 DocumentNumber <DocNb>

Presence: [0..1]

Definition: Identification of the type of document.

Impacted by: C6 "ShortLongNumberRule"

DocumentNumber <DocNb> contains one of the following elements (see "DocumentNumber6Choice" on page 756 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		756
Or	LongNumber <LngNb>	[1..1]	Text		756
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		756

Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

16.1.6.4 DocumentIdentification38

Definition: Identification of a document as well as the document number and type of link.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			758
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		758
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		758
	DocumentNumber <DocNb>	[0..1]	±	C6	758
	LinkageType <LkgTp>	[0..1]	±	C32	759

16.1.6.4.1 Identification <Id>

Presence: [1..1]

Definition: Unique identifier of the document (message) assigned either by the account servicer or the account owner.

Identification <Id> contains one of the following **DocumentIdentification4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		758
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		758

16.1.6.4.1.1 AccountServicerDocumentIdentification <AcctSvcrDocId>

Presence: [1..1]

Definition: Identification of the document assigned by the account servicer.

Datatype: "RestrictedFINXMax16Text" on page 1187

16.1.6.4.1.2 AccountOwnerDocumentIdentification <AcctOwnrDocId>

Presence: [1..1]

Definition: Identification of the document assigned by the account owner.

Datatype: "RestrictedFINXMax16Text" on page 1187

16.1.6.4.2 DocumentNumber <DocNb>

Presence: [0..1]

Definition: Identification of the type of document.

Impacted by: C6 "ShortLongNumberRule"

DocumentNumber <DocNb> contains one of the following elements (see "[DocumentNumber6Choice](#)" on page 756 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		756
Or	LongNumber <LngNb>	[1..1]	Text		756
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		756

Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

16.1.6.4.3 LinkageType <LkgTp>

Presence: [0..1]

Definition: Specifies when this document is to be processed relative to another referred document.

Impacted by: [C32 "WithLinkageRule"](#)

LinkageType <LkgTp> contains one of the following elements (see "[ProcessingPosition10Choice](#)" on page 880 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		880
Or}	Proprietary <Prtry>	[1..1]	±		880

Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending.

Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

16.1.6.5 DocumentIdentification17

Definition: Identifies a document by a unique identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		759

16.1.6.5.1 Identification <Id>

Presence: [1..1]

Definition: Identifies the document.

Datatype: "RestrictedFINXMax16Text" on page 1187

16.1.7 Financial Institution Identification

16.1.7.1 ClearingSystemMemberIdentification2

Definition: Unique identification, as assigned by a clearing system, to unambiguously identify a member of the clearing system.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		760
	MemberIdentification <Mmbld>	[1..1]	Text		760

16.1.7.1.1 ClearingSystemIdentification <ClrSysId>

Presence: [0..1]

Definition: Specification of a pre-agreed offering between clearing agents or the channel through which the payment instruction is processed.

ClearingSystemIdentification <ClrSysId> contains one of the following elements (see "ClearingSystemIdentification2Choice" on page 1093 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1093
Or}	Proprietary <Prtry>	[1..1]	Text		1093

16.1.7.1.2 MemberIdentification <Mmbld>

Presence: [1..1]

Definition: Identification of a member of a clearing system.

Datatype: "Max35Text" on page 1184

16.1.7.2 FinancialInstitutionIdentification18

Definition: Specifies the details to identify a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C2	761
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		761
	LEI <LEI>	[0..1]	IdentifierSet		761
	Name <Nm>	[0..1]	Text		761
	PostalAddress <PstlAdr>	[0..1]	±		761
	Other <Othr>	[0..1]	±		762

16.1.7.2.1 BICFI <BICFI>*Presence:* [0..1]*Definition:* Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".*Impacted by:* C2 "BICFI"*Datatype:* "BICFIDec2014Identifier" on page 1178**Constraints**

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

16.1.7.2.2 ClearingSystemMemberIdentification <ClrSysMmbld>*Presence:* [0..1]*Definition:* Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains the following elements (see "ClearingSystemMemberIdentification2" on page 760 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		760
	MemberIdentification <Mmbld>	[1..1]	Text		760

16.1.7.2.3 LEI <LEI>*Presence:* [0..1]*Definition:* Legal entity identifier of the financial institution.*Datatype:* "LEIIdentifier" on page 1180**16.1.7.2.4 Name <Nm>***Presence:* [0..1]*Definition:* Name by which an agent is known and which is usually used to identify that agent.*Datatype:* "Max140Text" on page 1183**16.1.7.2.5 PostalAddress <PstlAdr>***Presence:* [0..1]*Definition:* Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstIAdr> contains the following elements (see "PostalAddress24" on page 959 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			960
{Or	Code <Cd>	[1..1]	CodeSet		960
Or}	Proprietary <Prtry>	[1..1]	±		961
	Department <Dept>	[0..1]	Text		961
	SubDepartment <SubDept>	[0..1]	Text		961
	StreetName <StrtNm>	[0..1]	Text		961
	BuildingNumber <BldgNb>	[0..1]	Text		961
	BuildingName <BldgNm>	[0..1]	Text		962
	Floor <Flr>	[0..1]	Text		962
	PostBox <PstBx>	[0..1]	Text		962
	Room <Room>	[0..1]	Text		962
	PostCode <PstCd>	[0..1]	Text		962
	TownName <TwnNm>	[0..1]	Text		962
	TownLocationName <TwnLctnNm>	[0..1]	Text		962
	DistrictName <DstrctNm>	[0..1]	Text		962
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		963
	Country <Ctry>	[0..1]	CodeSet	C3	963
	AddressLine <AdrLine>	[0..7]	Text		963

16.1.7.2.6 Other <Othr>

Presence: [0..1]

Definition: Unique identification of an agent, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following elements (see "GenericFinancialIdentification1" on page 765 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		765
	SchemeName <SchmeNm>	[0..1]			766
{Or	Code <Cd>	[1..1]	CodeSet		766
Or}	Proprietary <Prtry>	[1..1]	Text		766
	Issuer <Issr>	[0..1]	Text		766

16.1.7.3 BranchData3

Definition: Information that locates and identifies a specific branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		763
	LEI <LEI>	[0..1]	IdentifierSet		763
	Name <Nm>	[0..1]	Text		763
	PostalAddress <PstlAdr>	[0..1]	±		763

16.1.7.3.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of a branch of a financial institution.

Datatype: "Max35Text" on page 1184

16.1.7.3.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification for the branch of the financial institution.

Datatype: "LEIIdentifier" on page 1180

16.1.7.3.3 Name <Nm>

Presence: [0..1]

Definition: Name by which an agent is known and which is usually used to identify that agent.

Datatype: "Max140Text" on page 1183

16.1.7.3.4 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstIAdr> contains the following elements (see "PostalAddress24" on page 959 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			960
{Or	Code <Cd>	[1..1]	CodeSet		960
Or}	Proprietary <Prtry>	[1..1]	±		961
	Department <Dept>	[0..1]	Text		961
	SubDepartment <SubDept>	[0..1]	Text		961
	StreetName <StrtNm>	[0..1]	Text		961
	BuildingNumber <BldgNb>	[0..1]	Text		961
	BuildingName <BldgNm>	[0..1]	Text		962
	Floor <Flr>	[0..1]	Text		962
	PostBox <PstBx>	[0..1]	Text		962
	Room <Room>	[0..1]	Text		962
	PostCode <PstCd>	[0..1]	Text		962
	TownName <TwnNm>	[0..1]	Text		962
	TownLocationName <TwnLctnNm>	[0..1]	Text		962
	DistrictName <DstrctNm>	[0..1]	Text		962
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		963
	Country <Ctry>	[0..1]	CodeSet	C3	963
	AddressLine <AdrLine>	[0..7]	Text		963

16.1.7.4 BranchAndFinancialInstitutionIdentification6

Definition: Unique and unambiguous identification of a financial institution or a branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		764
	BranchIdentification <BrnchId>	[0..1]	±		765

16.1.7.4.1 FinancialInstitutionIdentification <FinInstnId>

Presence: [1..1]

Definition: Unique and unambiguous identification of a financial institution, as assigned under an internationally recognised or proprietary identification scheme.

FinancialInstitutionIdentification <FinInstnId> contains the following elements (see "FinancialInstitutionIdentification18" on page 760 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C2	761
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		761
	LEI <LEI>	[0..1]	IdentifierSet		761
	Name <Nm>	[0..1]	Text		761
	PostalAddress <PstlAdr>	[0..1]	±		761
	Other <Othr>	[0..1]	±		762

16.1.7.4.2 BranchIdentification <BrnchId>

Presence: [0..1]

Definition: Identifies a specific branch of a financial institution.

Usage: This component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

BranchIdentification <BrnchId> contains the following elements (see "BranchData3" on page 763 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		763
	LEI <LEI>	[0..1]	IdentifierSet		763
	Name <Nm>	[0..1]	Text		763
	PostalAddress <PstlAdr>	[0..1]	±		763

16.1.7.5 GenericFinancialIdentification1

Definition: Information related to an identification of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		765
	SchemeName <SchmeNm>	[0..1]			766
{Or	Code <Cd>	[1..1]	CodeSet		766
Or}	Proprietary <Prtry>	[1..1]	Text		766
	Issuer <Issr>	[0..1]	Text		766

16.1.7.5.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person.

Datatype: "Max35Text" on page 1184

16.1.7.5.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **FinancialIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		766
Or}	Proprietary <Prtry>	[1..1]	Text		766

16.1.7.5.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalFinancialInstitutionIdentification1Code" on page 1148

16.1.7.5.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 1184

16.1.7.5.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 1184

16.1.8 Financial Instrument

16.1.8.1 SecurityIdentification20

Definition: Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		767
	OtherIdentification <OthrId>	[0..*]			767
	Identification <Id>	[1..1]	Text		768
	Suffix <Sfx>	[0..1]	Text		768
	Type <Tp>	[1..1]	±		768
	Description <Desc>	[0..1]	Text		768

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or
    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or
    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or
    /Description Must be present
```

16.1.8.1.1 ISIN <ISIN>

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 1179

16.1.8.1.2 OtherIdentification <Othrid>

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

OtherIdentification <OthrId> contains the following **OtherIdentification2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		768
	Suffix <Sfx>	[0..1]	Text		768
	Type <Tp>	[1..1]	±		768

16.1.8.1.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security.

Datatype: "RestrictedFINXMax31Text" on page 1188

16.1.8.1.2.2 Suffix <Sfx>

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 1183

16.1.8.1.2.3 Type <Tp>

Presence: [1..1]

Definition: Type of the identification.

Type <Tp> contains one of the following elements (see "IdentificationSource4Choice" on page 803 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		803
Or}	Proprietary <Prtry>	[1..1]	Text		803

16.1.8.1.3 Description <Desc>

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: "RestrictedFINXMax140Text" on page 1187

16.1.8.2 FinancialInstrumentAttributes120

Definition: Description of the financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C11, C12, C18, C19, C24	769
	PlaceOfListing <PlcOfListg>	[0..1]	±		770
	DayCountBasis <DayCntBsis>	[0..1]	±		771
	ClassificationType <ClssfctnTp>	[0..1]	±		771
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	771
	NextCouponDate <NxtCpnDt>	[0..1]	Date		772
	ExpiryDate <XpryDt>	[0..1]	Date		772
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		772
	MaturityDate <MtrtyDt>	[0..1]	Date		772
	IssueDate <IsseDt>	[0..1]	Date		772
	NextCallableDate <NxtClblDt>	[0..1]	Date		772
	PutableDate <PutblDt>	[0..1]	Date		772
	DatedDate <DtdDt>	[0..1]	Date		772
	ConversionDate <ConvsDt>	[0..1]	Date		773
	PreviousFactor <PrvsFctr>	[0..1]	Rate		773
	NextFactor <NxtFctr>	[0..1]	Rate		773
	InterestRate <IntrstRate>	[0..1]	Rate		773
	NextInterestRate <NxtIntrstRate>	[0..1]	Rate		773
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C13	773
	ContractSize <CtrctSz>	[0..1]	±	C13	774

Constraints

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

16.1.8.2.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: Identification of a financial instrument.

Impacted by: C11 "DescriptionPresenceRule", C12 "DescriptionUsageRule", C18 "ISINGuideline", C19 "ISINPresenceRule", C24 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		767
	OtherIdentification <OthrlId>	[0..*]			767
	Identification <Id>	[1..1]	Text		768
	Suffix <Sfx>	[0..1]	Text		768
	Type <Tp>	[1..1]	±		768
	Description <Desc>	[0..1]	Text		768

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

16.1.8.2.2 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

PlaceOfListing <PlcOfListg> contains one of the following elements (see "[MarketIdentification4Choice](#)" on page 809 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		809
Or}	Description <Desc>	[1..1]	Text		809

16.1.8.2.3 DayCountBasis <DayCntBsis>

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

DayCountBasis <DayCntBsis> contains one of the following elements (see "[InterestComputationMethodFormat5Choice](#)" on page 923 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		923
Or}	Proprietary <Prtry>	[1..1]	±		926

16.1.8.2.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

ClassificationType <ClssfctnTp> contains one of the following elements (see "[ClassificationType33Choice](#)" on page 922 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		923
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		923

16.1.8.2.5 DenominationCurrency <DnmtnCcy>

Presence: [0..1]

Definition: Currency in which a financial instrument is currently denominated.

Impacted by: C2 "[ActiveOrHistoricCurrency](#)"

Datatype: "[ActiveOrHistoricCurrencyCode](#)" on page 1096

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

16.1.8.2.6 NextCouponDate <NxtCpnDt>

Presence: [0..1]

Definition: Next payment date of an interest bearing financial instrument.

Datatype: "ISODate" on page 1177

16.1.8.2.7 ExpiryDate <XpryDt>

Presence: [0..1]

Definition: Date on which an order expires or at which a privilege or offer terminates.

Datatype: "ISODate" on page 1177

16.1.8.2.8 FloatingRateFixingDate <FltgRateFxdDt>

Presence: [0..1]

Definition: Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.

Datatype: "ISODate" on page 1177

16.1.8.2.9 MaturityDate <MtrtyDt>

Presence: [0..1]

Definition: Date on which a financial instrument becomes due and assets are to be repaid.

Datatype: "ISODate" on page 1177

16.1.8.2.10 IssueDate <IsseDt>

Presence: [0..1]

Definition: Date on which the financial instrument is issued.

Datatype: "ISODate" on page 1177

16.1.8.2.11 NextCallableDate <NxtClblDt>

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: "ISODate" on page 1177

16.1.8.2.12 PutableDate <PutblDt>

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: "ISODate" on page 1177

16.1.8.2.13 DatedDate <DtdDt>

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: "ISODate" on page 1177

16.1.8.2.14 ConversionDate <ConvDt>

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: "ISODate" on page 1177

16.1.8.2.15 PreviousFactor <PrvsFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

Datatype: "BaseOne14Rate" on page 1181

16.1.8.2.16 NextFactor <NxtFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will be applicable after the redemption (factor) date.

Datatype: "BaseOne14Rate" on page 1181

16.1.8.2.17 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

Datatype: "PercentageRate" on page 1182

16.1.8.2.18 NextInterestRate <NxtIntrstRate>

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

Datatype: "PercentageRate" on page 1182

16.1.8.2.19 MinimumNominalQuantity <MinNmnlQty>

Presence: [0..1]

Definition: Minimum nominal quantity of financial instrument.

Impacted by: C13 "DigitalTokenUnitUsageRule"

MinimumNominalQuantity <MinNmnlQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1001
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1001
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1002
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1002

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.8.2.20 ContractSize <CtrctSz>

Presence: [0..1]

Definition: Ratio or multiplying factor used to convert one contract into a financial instrument quantity.

Impacted by: C13 "DigitalTokenUnitUsageRule"

ContractSize <CtrctSz> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1001
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1001
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1002
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1002

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.8.3 FinancialInstrumentAttributes117

Definition: Description of the financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <Sctyld>	[1..1]	±	C11, C12, C18, C19, C24	775
	Quantity <Qty>	[0..1]	Quantity		776
	RenounceableEntitlementStatusType <RnnclEntitlmtStsTp>	[0..1]	±		776
	FractionDisposition <FrctnDspstr>	[0..1]	±		776
	IntermediateSecuritiesToUnderlyingRatio <IntrmdtScitiesToUndrlygRatio>	[0..1]	±		777
	MarketPrice <MktPric>	[0..1]	±		777
	ExpiryDate <XpryDt>	[1..1]	±		777
	PostingDate <PstngDt>	[1..1]	±		777
	TradingPeriod <TradgPrd>	[0..1]	±		778
	UninstructedBalance <UinstdBal>	[0..1]	±		778
	InstructedBalance <InstdBal>	[0..1]	±		778

16.1.8.3.1 SecurityIdentification <Sctyld>

Presence: [1..1]

Definition: Identifies the financial instrument.

Impacted by: C11 "DescriptionPresenceRule", C12 "DescriptionUsageRule", C18 "ISINGuideline", C19 "ISINPresenceRule", C24 "OtherIdentificationPresenceRule"

SecurityIdentification <Sctyld> contains the following elements (see "SecurityIdentification20" on page 766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		767
	OtherIdentification <Othrld>	[0..*]			767
	Identification <Id>	[1..1]	Text		768
	Suffix <Sfx>	[0..1]	Text		768
	Type <Tp>	[1..1]	±		768
	Description <Desc>	[0..1]	Text		768

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present

```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present

```

16.1.8.3.2 Quantity <Qty>

Presence: [0..1]

Definition: Quantity of entitled intermediate securities based on the balance of underlying securities.

Datatype: "RestrictedFINDecimalNumber" on page 1181

16.1.8.3.3 RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>

Presence: [0..1]

Definition: Specifies whether terms of the event allow resale of the rights.

RenounceableEntitlementStatusType <RnnclEntitlmntStsTp> contains one of the following elements (see "RenounceableEntitlementStatusTypeFormat4Choice" on page 921 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		921
Or}	Proprietary <Prtry>	[1..1]	±		921

16.1.8.3.4 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "FractionDispositionType32Choice" on page 920 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		920
Or}	Proprietary <Prtry>	[1..1]	±		921

16.1.8.3.5 IntermediateSecuritiesToUnderlyingRatio <IntrmdtSciesToUndrlygRatio>

Presence: [0..1]

Definition: Quantity of intermediate securities awarded for a given quantity of underlying security.

IntermediateSecuritiesToUnderlyingRatio <IntrmdtSciesToUndrlygRatio> contains the following elements (see "QuantityToQuantityRatio2" on page 1067 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		1067
	Quantity2 <Qty2>	[1..1]	Quantity		1067

16.1.8.3.6 MarketPrice <MktPric>

Presence: [0..1]

Definition: Last reported/known price of a financial instrument in a market.

MarketPrice <MktPric> contains the following elements (see "AmountPrice4" on page 963 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		963
	PriceValue <PricVal>	[1..1]	Amount	C1	963

16.1.8.3.7 ExpiryDate <XpryDt>

Presence: [1..1]

Definition: Date on which an order expires or at which a privilege or offer terminates.

ExpiryDate <XpryDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

16.1.8.3.8 PostingDate <PstngDt>

Presence: [1..1]

Definition: Date of the posting (credit or debit) to the account.

PostingDate <PstngDt> contains one of the following elements (see "DateFormat41Choice" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		742
Or}	DateCode <DtCd>	[1..1]			742
{Or	Code <Cd>	[1..1]	CodeSet		742
Or}	Proprietary <Prtry>	[1..1]	±		743

16.1.8.3.9 TradingPeriod <TradgPrd>

Presence: [0..1]

Definition: Period during which intermediate or outturn securities are tradable in a secondary market.

TradingPeriod <TradgPrd> contains the following elements (see "Period11" on page 752 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			752
{Or	Date <Dt>	[1..1]	±		753
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		753
	EndDate <EndDt>	[1..1]			753
{Or	Date <Dt>	[1..1]	±		753
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		754

16.1.8.3.10 UninstructedBalance <UinstdBal>

Presence: [0..1]

Definition: Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

16.1.8.3.11 InstructedBalance <InstdBal>

Presence: [0..1]

Definition: Balance of instructed position.

InstructedBalance <InstdBal> contains one of the following elements (see "BalanceFormat14Choice" on page 735 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		735
Or	EligibleBalance <ElgblBal>	[1..1]	±		735
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		735

16.1.8.4 FinancialInstrumentAttributes115

Definition: Description of the financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C11, C12, C18, C19, C24	781
	PlaceOfListing <PlcOfListg>	[0..1]	±		782
	DayCountBasis <DayCntBsis>	[0..1]	±		782
	ClassificationType <ClssfctnTp>	[0..1]	±		782
	OptionStyle <OptnStyle>	[0..1]	±		782
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	783
	NextCouponDate <NxtCpnDt>	[0..1]	Date		783
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		783
	MaturityDate <MtrtyDt>	[0..1]	Date		783
	IssueDate <IsseDt>	[0..1]	Date		783
	NextCallableDate <NxtClblDt>	[0..1]	Date		784
	PutableDate <PutblDt>	[0..1]	Date		784
	DatedDate <DtdDt>	[0..1]	Date		784
	ConversionDate <ConvsDt>	[0..1]	Date		784
	PreviousFactor <PrvsFctr>	[0..1]	±		784
	NextFactor <NxtFctr>	[0..1]	±		784
	InterestRate <IntrstRate>	[0..1]	±		785
	NextInterestRate <NxtIntrstRate>	[0..1]	±		785
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C13	785
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±	C13	786
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±	C13	786
	ContractSize <CtrctSz>	[0..1]	±	C13	787
	IssuePrice <IssePric>	[0..1]	±		787

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

16.1.8.4.1 FinancialInstrumentIdentification <FinInstrmId>*Presence:* [1..1]*Definition:* Identification of the financial instrument.*Impacted by:* C11 "DescriptionPresenceRule", C12 "DescriptionUsageRule", C18 "ISINGuideline", C19 "ISINPresenceRule", C24 "OtherIdentificationPresenceRule"**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification20" on page 766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		767
	OtherIdentification <OthrId>	[0..*]			767
	Identification <Id>	[1..1]	Text		768
	Suffix <Sfx>	[0..1]	Text		768
	Type <Tp>	[1..1]	±		768
	Description <Desc>	[0..1]	Text		768

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
```

/ISIN Must be present
Or /Description Must be present

16.1.8.4.2 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

PlaceOfListing <PlcOfListg> contains one of the following elements (see "MarketIdentification4Choice" on page 809 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		809
Or}	Description <Desc>	[1..1]	Text		809

16.1.8.4.3 DayCountBasis <DayCntBsis>

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

DayCountBasis <DayCntBsis> contains one of the following elements (see "InterestComputationMethodFormat5Choice" on page 923 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		923
Or}	Proprietary <Prtry>	[1..1]	±		926

16.1.8.4.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

ClassificationType <ClssfctnTp> contains one of the following elements (see "ClassificationType33Choice" on page 922 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		923
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		923

16.1.8.4.5 OptionStyle <OptnStyle>

Presence: [0..1]

Definition: Specifies how an option can be exercised.

OptionStyle <OptnStyle> contains one of the following elements (see ["OptionStyle9Choice"](#) on page 922 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		922
Or}	Proprietary <Prtry>	[1..1]	±		922

16.1.8.4.6 DenominationCurrency <DnmtnCcy>

Presence: [0..1]

Definition: Currency in which a financial instrument is currently denominated.

Impacted by: [C2 "ActiveOrHistoricCurrency"](#)

Datatype: ["ActiveOrHistoricCurrencyCode"](#) on page 1096

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

16.1.8.4.7 NextCouponDate <NxtCpnDt>

Presence: [0..1]

Definition: Next payment date of an interest bearing financial instrument.

Datatype: ["ISODate"](#) on page 1177

16.1.8.4.8 FloatingRateFixingDate <FltgRateFxdt>

Presence: [0..1]

Definition: Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.

Datatype: ["ISODate"](#) on page 1177

16.1.8.4.9 MaturityDate <MtrtyDt>

Presence: [0..1]

Definition: Date on which a financial instrument becomes due and assets are to be repaid.

Datatype: ["ISODate"](#) on page 1177

16.1.8.4.10 IssueDate <IsseDt>

Presence: [0..1]

Definition: Date at which the security was made available.

Datatype: ["ISODate"](#) on page 1177

16.1.8.4.11 NextCallableDate <NxtCllbIDt>*Presence:* [0..1]*Definition:* Date on which a financial instrument is called away/redeemed before its scheduled maturity.*Datatype:* "ISODate" on page 1177**16.1.8.4.12 PutableDate <PutbIDt>***Presence:* [0..1]*Definition:* Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.*Datatype:* "ISODate" on page 1177**16.1.8.4.13 DatedDate <DtdDt>***Presence:* [0..1]*Definition:* Date on which an interest bearing financial instrument begins to accrue interest.*Datatype:* "ISODate" on page 1177**16.1.8.4.14 ConversionDate <ConvDt>***Presence:* [0..1]*Definition:* Deadline by which a convertible security must be converted, according to the terms of the issue.*Datatype:* "ISODate" on page 1177**16.1.8.4.15 PreviousFactor <PrvsFctr>***Presence:* [0..1]*Definition:* Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.**PreviousFactor <PrvsFctr>** contains one of the following elements (see "RateFormat12Choice" on page 932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		932
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		932

16.1.8.4.16 NextFactor <NxtFctr>*Presence:* [0..1]*Definition:* Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will be applicable after the redemption (factor) date.

NextFactor <NxtFctr> contains one of the following elements (see "[RateFormat12Choice](#)" on page 932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		932
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		932

16.1.8.4.17 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

InterestRate <IntrstRate> contains one of the following elements (see "[RateFormat3Choice](#)" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

16.1.8.4.18 NextInterestRate <NxtIntrstRate>

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

NextInterestRate <NxtIntrstRate> contains one of the following elements (see "[RateFormat3Choice](#)" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

16.1.8.4.19 MinimumNominalQuantity <MinNmnlQty>

Presence: [0..1]

Definition: Minimum nominal quantity of financial instrument.

Impacted by: [C13 "DigitalTokenUnitUsageRule"](#)

MinimumNominalQuantity <MinNmnlQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1001
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1001
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1002
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1002

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.8.4.20 MinimumQuantityToInstruct <MinQtyToInst>

Presence: [0..1]

Definition: Minimum quantity of financial instrument that may be instructed.

Impacted by: C13 "DigitalTokenUnitUsageRule"

MinimumQuantityToInstruct <MinQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1001
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1001
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1002
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1002

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.8.4.21 MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>

Presence: [0..1]

Definition: Minimum multiple quantity of financial instrument that may be instructed.

Impacted by: C13 "DigitalTokenUnitUsageRule"

MinimumMultipleQuantityToInstruct <MinMltplQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1001
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1001
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1002
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1002

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.8.4.22 ContractSize <CtrctSz>

Presence: [0..1]

Definition: Ratio or multiplying factor used to convert one contract into a financial instrument quantity.

Impacted by: C13 "DigitalTokenUnitUsageRule"

ContractSize <CtrctSz> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1001
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1001
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1002
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1002

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.8.4.23 IssuePrice <IssePric>

Presence: [0..1]

Definition: Initial issue/valuation price of a resulting security under a corporate action.

IssuePrice <IssePric> contains one of the following elements (see "PriceFormat57Choice" on page 983 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			984
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		984
	PriceValue <PricVal>	[1..1]	Rate		984
Or	AmountPrice <AmtPric>	[1..1]	±		984
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		985

16.1.8.5 FinancialInstrumentAttributes114

Definition: Description of the financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C11, C12, C18, C19, C24	790
	PlaceOfListing <PlcOfListg>	[0..1]	±		791
	DayCountBasis <DayCntBsis>	[0..1]	±		791
	ClassificationType <ClssfctnTp>	[0..1]	±		791
	OptionStyle <OptnStyle>	[0..1]	±		791
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	792
	NextCouponDate <NxtCpnDt>	[0..1]	Date		792
	ExpiryDate <XpryDt>	[0..1]	Date		792
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		792
	MaturityDate <MtrtyDt>	[0..1]	Date		792
	IssueDate <IssDt>	[0..1]	Date		793
	NextCallableDate <NxtCllblDt>	[0..1]	Date		793
	PutableDate <PutblDt>	[0..1]	Date		793
	DatedDate <DtdDt>	[0..1]	Date		793
	ConversionDate <ConvsDt>	[0..1]	Date		793
	InterestRate <IntrstRate>	[0..1]	±		793
	NextInterestRate <NxtIntrstRate>	[0..1]	±		793
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		794
	PreviousFactor <PrvsFctr>	[0..1]	±		794
	NextFactor <NxtFctr>	[0..1]	±		794
	WarrantParity <WarrtParity>	[0..1]	±		795
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±	C13	795
	ContractSize <CtrctSz>	[0..1]	±	C13	795

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

16.1.8.5.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identifies the financial instrument.

Impacted by: C11 "DescriptionPresenceRule", C12 "DescriptionUsageRule", C18 "ISINGuideline", C19 "ISINPresenceRule", C24 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification20" on page 766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		767
	OtherIdentification <OthrId>	[0..*]			767
	Identification <Id>	[1..1]	Text		768
	Suffix <Sfx>	[0..1]	Text		768
	Type <Tp>	[1..1]	±		768
	Description <Desc>	[0..1]	Text		768

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist. It is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
```

/ISIN Must be present
Or /Description Must be present

16.1.8.5.2 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

PlaceOfListing <PlcOfListg> contains one of the following elements (see "MarketIdentification4Choice" on page 809 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		809
Or}	Description <Desc>	[1..1]	Text		809

16.1.8.5.3 DayCountBasis <DayCntBsis>

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

DayCountBasis <DayCntBsis> contains one of the following elements (see "InterestComputationMethodFormat5Choice" on page 923 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		923
Or}	Proprietary <Prtry>	[1..1]	±		926

16.1.8.5.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

ClassificationType <ClssfctnTp> contains one of the following elements (see "ClassificationType33Choice" on page 922 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		923
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		923

16.1.8.5.5 OptionStyle <OptnStyle>

Presence: [0..1]

Definition: Specifies how an option can be exercised.

OptionStyle <OptnStyle> contains one of the following elements (see ["OptionStyle9Choice"](#) on page 922 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		922
Or}	Proprietary <Prtry>	[1..1]	±		922

16.1.8.5.6 DenominationCurrency <DnmtnCcy>

Presence: [0..1]

Definition: Currency in which a financial instrument is currently denominated.

Impacted by: [C2 "ActiveOrHistoricCurrency"](#)

Datatype: ["ActiveOrHistoricCurrencyCode"](#) on page 1096

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

16.1.8.5.7 NextCouponDate <NxtCpnDt>

Presence: [0..1]

Definition: Next payment date of an interest bearing financial instrument.

Datatype: ["ISODate"](#) on page 1177

16.1.8.5.8 ExpiryDate <XpryDt>

Presence: [0..1]

Definition: Date on which an order expires or at which a privilege or offer terminates.

Datatype: ["ISODate"](#) on page 1177

16.1.8.5.9 FloatingRateFixingDate <FltgRateFxdDt>

Presence: [0..1]

Definition: Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.

Datatype: ["ISODate"](#) on page 1177

16.1.8.5.10 MaturityDate <MtrtyDt>

Presence: [0..1]

Definition: Date on which a financial instrument becomes due and assets are to be repaid.

Datatype: ["ISODate"](#) on page 1177

16.1.8.5.11 IssueDate <IsseDt>*Presence:* [0..1]*Definition:* Date on which the financial instrument is issued.*Datatype:* "ISODate" on page 1177**16.1.8.5.12 NextCallableDate <NxtCllbIDt>***Presence:* [0..1]*Definition:* Date on which a financial instrument is called away/redeemed before its scheduled maturity.*Datatype:* "ISODate" on page 1177**16.1.8.5.13 PutableDate <PutbIDt>***Presence:* [0..1]*Definition:* Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.*Datatype:* "ISODate" on page 1177**16.1.8.5.14 DatedDate <DtdDt>***Presence:* [0..1]*Definition:* Date on which an interest bearing financial instrument begins to accrue interest.*Datatype:* "ISODate" on page 1177**16.1.8.5.15 ConversionDate <ConvstDt>***Presence:* [0..1]*Definition:* Deadline by which a convertible security must be converted, according to the terms of the issue.*Datatype:* "ISODate" on page 1177**16.1.8.5.16 InterestRate <IntrstRate>***Presence:* [0..1]*Definition:* Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.**InterestRate <IntrstRate>** contains one of the following elements (see "RateFormat3Choice" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

16.1.8.5.17 NextInterestRate <NxtIntrstRate>*Presence:* [0..1]*Definition:* Interest rate applicable to the next interest payment period in relation to variable rate instruments.

NextInterestRate <NxtIntrstRate> contains one of the following elements (see ["RateFormat3Choice"](#) on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

16.1.8.5.18 PercentageOfDebtClaim <PctgOfDebtClm>

Presence: [0..1]

Definition: Percentage of the underlying assets of a fund that represents a debt, for example, in the context of the EU Savings directive.

PercentageOfDebtClaim <PctgOfDebtClm> contains one of the following elements (see ["RateFormat3Choice"](#) on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

16.1.8.5.19 PreviousFactor <PrvsFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

PreviousFactor <PrvsFctr> contains one of the following elements (see ["RateFormat12Choice"](#) on page 932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		932
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		932

16.1.8.5.20 NextFactor <NxtFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.

NextFactor <NxtFctr> contains one of the following elements (see ["RateFormat12Choice"](#) on page 932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		932
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		932

16.1.8.5.21 WarrantParity <WarrtParity>*Presence:* [0..1]*Definition:* Provides the ratio between the quantity of warrants and the quantity of underlying securities.**WarrantParity <WarrtParity>** contains the following elements (see "[QuantityToQuantityRatio2](#)" on page 1067 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		1067
	Quantity2 <Qty2>	[1..1]	Quantity		1067

16.1.8.5.22 MinimumNominalQuantity <MinNmnlQty>*Presence:* [0..1]*Definition:* Minimum nominal quantity of financial instrument.*Impacted by:* [C13 "DigitalTokenUnitUsageRule"](#)**MinimumNominalQuantity <MinNmnlQty>** contains one of the following elements (see "[FinancialInstrumentQuantity36Choice](#)" on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1001
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1001
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1002
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1002

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.8.5.23 ContractSize <CtrctSz>*Presence:* [0..1]*Definition:* Ratio or multiplying factor used to convert one contract into a financial instrument quantity.*Impacted by:* [C13 "DigitalTokenUnitUsageRule"](#)**ContractSize <CtrctSz>** contains one of the following elements (see "[FinancialInstrumentQuantity36Choice](#)" on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1001
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1001
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1002
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1002

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.9 Financial Instrument Identification

16.1.9.1 SecurityIdentification21

Definition: Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		797
	OtherIdentification <OthrId>	[0..*]			797
	Identification <Id>	[1..1]	Text		797
	Suffix <Sfx>	[0..1]	Text		797
	Type <Tp>	[1..1]	±		797
	Description <Desc>	[0..1]	Text		798

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When a ISIN code exist, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
```

Following Must be True
 /ISIN Must be present
 Or /Description Must be present

16.1.9.1.1 ISIN <ISIN>

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 1179

16.1.9.1.2 OtherIdentification <Othrid>

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

OtherIdentification <Othrid> contains the following **OtherIdentification2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	Suffix <Sfx>	[0..1]	Text		797
	Type <Tp>	[1..1]	±		797

16.1.9.1.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security.

Datatype: "RestrictedFINXMax31Text" on page 1188

16.1.9.1.2.2 Suffix <Sfx>

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 1183

16.1.9.1.2.3 Type <Tp>

Presence: [1..1]

Definition: Type of the identification.

Type <Tp> contains one of the following elements (see "IdentificationSource4Choice" on page 803 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		803
Or}	Proprietary <Prtry>	[1..1]	Text		803

16.1.9.1.3 Description <Desc>*Presence:* [0..1]*Definition:* Textual description of a security instrument.*Datatype:* "RestrictedFINXMax140Text" on page 1187**16.1.10 Financial Instrument Quantity****16.1.10.1 FinancialInstrumentQuantity31Choice***Definition:* Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		798
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		798

16.1.10.1.1 Unit <Unit>*Presence:* [1..1]*Definition:* Quantity expressed as a number, for example, a number of shares.*Datatype:* "RestrictedFINDecimalNumber" on page 1181**16.1.10.1.2 FaceAmount <FaceAmt>***Presence:* [1..1]*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal of a debt instrument.*Datatype:* "RestrictedFINImpliedCurrencyAndAmount" on page 1095**16.1.11 Foreign Exchange****16.1.11.1 ForeignExchangeTerms19***Definition:* Provides information about the terms of the foreign exchange transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	798
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	799
	ExchangeRate <XchgRate>	[1..1]	Rate		799

16.1.11.1.1 UnitCurrency <UnitCcy>*Presence:* [1..1]*Definition:* Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.*Impacted by:* C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1096

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.11.1.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1096

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.11.1.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOneRate" on page 1182

16.1.11.2 ForeignExchangeTerms28

Definition: Provides information about the terms of the foreign exchange transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	799
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	800
	ExchangeRate <XchgRate>	[1..1]	Rate		800
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C1, C9	800

16.1.11.2.1 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1096

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.11.2.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1096

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.11.2.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOneRate" on page 1182

16.1.11.2.4 ResultingAmount <RsltAmt>

Presence: [0..1]

Definition: Counter value of a foreign exchange conversion.

Impacted by: C1 "ActiveCurrency", C9 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

16.1.11.3 ForeignExchangeTerms27

Definition: Provides information about the terms of the foreign exchange transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	801
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	801
	ExchangeRate <XchgRate>	[1..1]	Rate		802
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C9	802

16.1.11.3.1 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1096

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.11.3.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1096

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.11.3.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOneRate" on page 1182

16.1.11.3.4 ResultingAmount <RsltAmt>

Presence: [1..1]

Definition: Counter value of a foreign exchange conversion.

Impacted by: C1 "ActiveCurrency", C9 "CurrencyAmount"

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 1095

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

16.1.12 Frequency

16.1.12.1 Frequency26Choice

Definition: Choice of format for a frequency, for example, the frequency of delivery of a statement.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		802
Or}	Proprietary <Prtry>	[1..1]	±		803

16.1.12.1.1 Code <Cd>

Presence: [1..1]

Definition: Frequency expressed as an ISO 20022 code.

Datatype: "EventFrequency4Code" on page 1147

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
ADHO	Adhoc	Event takes place as necessary.
MNTH	Monthly	Event takes place every month or once a month.
DAIL	Daily	Event takes place every day.
INDA	IntraDay	Event takes place several times a day.
WEEK	Weekly	Event takes place once a week.

16.1.12.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Frequency expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.13 Identification Information

16.1.13.1 IdentificationSource4Choice

Definition: Choice between source of identification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		803
Or}	Proprietary <Prtry>	[1..1]	Text		803

16.1.13.1.1 Code <Cd>

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: "[ExternalFinancialInstrumentIdentificationType1Code](#)" on page 1148

16.1.13.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: "[RestrictedFINExact2Text](#)" on page 1185

16.1.13.2 SettlementTypeAndIdentification26

Definition: Overall process covering the trade and settlement transactions of financial instruments.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Payment <Pmt>	[1..1]	CodeSet		804
	TransactionIdentification <TxId>	[1..1]	Text		804
	SettlementDate <SttlmDt>	[0..1]	±		804

16.1.13.2.1 Payment <Pmt>

Presence: [1..1]

Definition: Specifies how the transaction is to be settled.

Datatype: "DeliveryReceiptType2Code" on page 1145

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

16.1.13.2.2 TransactionIdentification <TxId>

Presence: [1..1]

Definition: Reference of the transaction.

Datatype: "RestrictedFINXMax16Text" on page 1187

16.1.13.2.3 SettlementDate <SttlmDt>

Presence: [0..1]

Definition: Identifies the intended settlement date.

SettlementDate <SttlmDt> contains one of the following elements (see "DateAndDateTime2Choice" on page 749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		749
Or}	DateTime <DtTm>	[1..1]	DateTime		750

16.1.13.3 IdentificationType42Choice

Definition: Choice between a code and a data source scheme to specify the type of alternate identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		805
Or}	Proprietary <Prtry>	[1..1]	±		805

16.1.13.3.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification is defined using a code.

Datatype: "TypeOfIdentification1Code" on page 1177

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

16.1.13.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification is defined using a data source scheme.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 807 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		807
	Issuer <Issr>	[1..1]	Text		807
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.13.4 GenericIdentification86

Definition: Identification using a proprietary scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		806
	Issuer <Issr>	[1..1]	Text		806
	SchemeName <SchmeNm>	[0..1]	Text		806

16.1.13.4.1 Identification <Id>*Presence:* [1..1]*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.*Datatype:* "RestrictedFINXMax30Text" on page 1188**16.1.13.4.2 Issuer <Issr>***Presence:* [1..1]*Definition:* Entity that assigns the identification.*Datatype:* "Max4AlphaNumericText" on page 1184**16.1.13.4.3 SchemeName <SchmeNm>***Presence:* [0..1]*Definition:* Short textual description of the scheme.*Datatype:* "Max4AlphaNumericText" on page 1184**16.1.13.5 GenericIdentification85***Definition:* Identification expressed as a proprietary type and narrative description.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		806
	Identification <Id>	[0..1]	Text		806

16.1.13.5.1 Type <Tp>*Presence:* [1..1]*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.**Type <Tp>** contains the following elements (see "GenericIdentification47" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.13.5.2 Identification <Id>*Presence:* [0..1]*Definition:* Name or number assigned by an entity to enable recognition of that entity.*Datatype:* "RestrictedFINXMax30Text" on page 1188**16.1.13.6 GenericIdentification84***Definition:* Identification using a proprietary scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		807
	Issuer <Issr>	[1..1]	Text		807
	SchemeName <SchmeNm>	[0..1]	Text		807

16.1.13.6.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "RestrictedFINXMax34Text" on page 1189

16.1.13.6.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max4AlphaNumericText" on page 1184

16.1.13.6.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max4AlphaNumericText" on page 1184

16.1.13.7 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		807
	Issuer <Issr>	[1..1]	Text		807
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.13.7.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 1183

16.1.13.7.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 1184

16.1.13.7.3 SchemeName <SchmeNm>*Presence:* [0..1]*Definition:* Short textual description of the scheme.*Datatype:* "Max35Text" on page 1184**16.1.13.8 GenericIdentification47***Definition:* Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.13.8.1 Identification <Id>*Presence:* [1..1]*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.*Datatype:* "Exact4AlphaNumericText" on page 1183**16.1.13.8.2 Issuer <Issr>***Presence:* [1..1]*Definition:* Entity that assigns the identification.*Datatype:* "Max4AlphaNumericText" on page 1184**16.1.13.8.3 SchemeName <SchmeNm>***Presence:* [0..1]*Definition:* Short textual description of the scheme.*Datatype:* "Max4AlphaNumericText" on page 1184**16.1.14 Market****16.1.14.1 MarketIdentification2Choice***Definition:* Choice of market identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		808
Or}	Description <Desc>	[1..1]	Text		809

16.1.14.1.1 MarketIdentifierCode <MktldrCd>*Presence:* [1..1]

Definition: ISO 10383 Market Identification Code.

Datatype: "MICIdentifier" on page 1180

16.1.14.1.2 Description <Desc>

Presence: [1..1]

Definition: Description of the market when no Market Identifier Code is available.

Datatype: "RestrictedFINXMax30Text" on page 1188

16.1.14.2 MarketIdentification4Choice

Definition: Choice of market identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		809
Or}	Description <Desc>	[1..1]	Text		809

16.1.14.2.1 MarketIdentifierCode <MktldrCd>

Presence: [1..1]

Definition: Market Identifier Code. Identification of a financial market, as stipulated in the norm ISO 10383 "Codes for exchanges and market identifications".

Datatype: "MICIdentifier" on page 1180

16.1.14.2.2 Description <Desc>

Presence: [1..1]

Definition: Description of the market when no Market Identifier Code is available.

Datatype: "RestrictedFINXMax30Text" on page 1188

16.1.15 Miscellaneous

16.1.15.1 DefaultProcessingOrStandingInstruction1Choice

Definition: Choice between default processing or standing instruction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DefaultOptionIndicator <DfltOptnInd>	[1..1]	Indicator		809
Or}	StandingInstructionIndicator <StgInstrInd>	[1..1]	Indicator		810

16.1.15.1.1 DefaultOptionIndicator <DfltOptnInd>

Presence: [1..1]

Definition: Indicates whether the option, for example, currency option, will be selected by default if no instruction is provided by the account owner.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

16.1.15.1.2 StandingInstructionIndicator <StgInstrInd>

Presence: [1..1]

Definition: Indicates whether an account owner has placed a standing order to select this corporate action option.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

16.1.15.2 CorporateActionNarrative4Choice

Definition: Choice between a standard code or a proprietary code to specify the narrative type of corporate action.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		810
Or}	Proprietary <Prtry>	[1..1]	±		811

16.1.15.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the narrative type of the message.

Datatype: "CorporateActionNarrative1Code" on page 1134

CodeName	Name	Definition
TAXE	TaxationConditions	Provides taxation conditions that cannot be included within the structured fields of this message.
REGI	RegistrationDetails	Provides information required for the registration.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
RFMC	ICSDRefusalOfMarkUpDownConfirmation	In the context of a corporate action, an International Central Securities Depository (ICSD) refuses a mark-up/ mark-down confirmation sent by the account servicer.
PAUT	ChasingOfPriorAuthorization	International Central Securities Depository (ICSD) chases the authorisation from the account servicer in order to process the exchange from global temporary to global permanent.

CodeName	Name	Definition
CTIN	ConfirmationOfHoldingTransfer	Confirmation of transfer of interests between International Central Securities Depositories (ICSDs) following a corporate actions.

16.1.15.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the narrative type of the message.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.3 CorporateActionReversalReason7

Definition: Specifies the reason why the corporate action reversal occurs.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]	±		811
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		811

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.15.3.1 Reason <Rsn>

Presence: [1..1]

Definition: Specifies the reason for the reversal.

Reason <Rsn> contains one of the following elements (see "[CorporateActionReversalReason7Choice](#)" on page 812 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		812
Or}	Proprietary <Prtry>	[1..1]	±		812

16.1.15.3.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "RestrictedFINXMax256Text" on page 1188

16.1.15.4 CorporateActionReversalReason7Choice

Definition: Choice between a standard code or proprietary code to specify the reason for the reversal.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		812
Or}	Proprietary <Prtry>	[1..1]	±		812

16.1.15.4.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason for the reversal.

Datatype: "CorporateActionReversalReason2Code" on page 1142

CodeName	Name	Definition
DCBD	DayCountBasisDifference	Difference in day count basis.
FNRC	FundsNotReceived	Funds have not been received.
IREDD	IncorrectEntitlementDate	Entitlement date is incorrect.
IETR	IncorrectEventLevelTaxRate	Event level tax rate is incorrect.
IPCU	IncorrectPaymentCurrency	Payment currency is incorrect.
IPRI	IncorrectPrice	Price is incorrect.
IVAD	IncorrectValueDate	Value date is incorrect.
POCS	PaymentOutsideClearingSystem	Payment will occur outside of clearing system.
UPAY	UnduePayment	Payment is not due.

16.1.15.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification for the reason of the reversal.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.5 UpdatedAdditionalInformation23

Definition: Additional information with update description and date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		813

16.1.15.5.1 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1189

16.1.15.6 UpdatedAdditionalInformation22

Definition: Additional information with update description and date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..1]	Text		813

16.1.15.6.1 AdditionalInformation <AddtlInf>

Presence: [1..1]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1189

16.1.15.7 CorporateActionReversalReason6

Definition: Specifies the reason why the corporate action reversal occurs.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			813
{Or	Code <Cd>	[1..1]	CodeSet		814
Or}	Proprietary <Prtry>	[1..1]	±		814
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		814

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.15.7.1 Reason <Rsn>

Presence: [1..1]

Definition: Specifies the reason for the reversal.

Reason <Rsn> contains one of the following **CorporateActionReversalReason6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		814
Or}	Proprietary <Prtry>	[1..1]	±		814

16.1.15.7.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason for the reversal.

Datatype: "CorporateActionReversalReason2Code" on page 1142

CodeName	Name	Definition
DCBD	DayCountBasisDifference	Difference in day count basis.
FNRC	FundsNotReceived	Funds have not been received.
IREC	IncorrectEntitlementDate	Entitlement date is incorrect.
IETR	IncorrectEventLevelTaxRate	Event level tax rate is incorrect.
IPCU	IncorrectPaymentCurrency	Payment currency is incorrect.
IPRI	IncorrectPrice	Price is incorrect.
IVAD	IncorrectValueDate	Value date is incorrect.
POCS	PaymentOutsideClearingSystem	Payment will occur outside of clearing system.
UPAY	UnduePayment	Payment is not due.

16.1.15.7.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification for the reason of the reversal.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.7.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "RestrictedFINXMax256Text" on page 1188

16.1.15.8 UpdatedAdditionalInformation26

Definition: Additional information with update description and date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		815
	AdditionalInformation <AddtlInf>	[1..*]	Text		815

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.15.8.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1156

16.1.15.8.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINZMax8000Text" on page 1190

16.1.15.9 UpdatedAdditionalInformation25

Definition: Additional information with update description and date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		815
	AdditionalInformation <AddtlInf>	[1..*]	Text		815

16.1.15.9.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1156

16.1.15.9.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1189

16.1.15.10 UpdatedAdditionalInformation24

Definition: Additional information with update description and date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		816
	AdditionalInformation <AddtlInf>	[1..1]	Text		816

16.1.15.10.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1156

16.1.15.10.2 AdditionalInformation <AddtlInf>

Presence: [1..1]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 1189

16.1.15.11 UpdatedURLInformation7

Definition: Additional information with update description and date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		816
	URLAddress <URLAdr>	[1..1]	Text		816

16.1.15.11.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1156

16.1.15.11.2 URLAddress <URLAdr>

Presence: [1..1]

Definition: Provides the web address, that is, the address for the Universal Resource Locator (URL), to use over the www (HTTP) service where additional information may be found.

Datatype: "RestrictedFINZMax2048Text" on page 1189

16.1.15.12 OptionFeaturesFormat31Choice

Definition: Choice between a standard code and a proprietary code to specify the features that may apply to a corporate action option.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		817
Or}	Proprietary <Prtry>	[1..1]	±		818

16.1.15.12.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the features that may apply to a corporate action option.

Datatype: "OptionFeatures13Code" on page 1162

CodeName	Name	Definition
ASVO	AccountServicerOption	Option is offered by the account servicer only.
BOIS	BeneficiaryOwnerInstruction	Indicates that the holder needs to instruct at beneficiary owner level only.
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
NOSE	NoServiceOffered	Feature whereby the holder must elect directly to the issuer's agent (issuer only supported option).
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
CAOS	OptionApplicability	Option applicability is not subject to the account owner decision but depends on the terms defined by the issuer, for example in the case of equity linked notes or warrants.
PINS	PreviousInstructionInvalidity	Indicates the previously sent instructions becomes invalid. This is only applicable after a market deadline extension.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.
QCAS	InstructCashAmount	Feature whereby the holder should only instruct a cash amount.
SHAR	ShareholderNumber	Indicates usage of a reference number to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).

CodeName	Name	Definition
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.

16.1.15.12.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the features that may apply to a corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.13 OptionFeaturesFormat30Choice

Definition: Choice between a standard code or a proprietary code to specify the features that may apply to a corporate action option.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		818
Or}	Proprietary <Prtry>	[1..1]	±		819

16.1.15.13.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the features that may apply to a corporate action option.

Datatype: "[OptionFeatures14Code](#)" on page 1163

CodeName	Name	Definition
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.

CodeName	Name	Definition
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.

16.1.15.13.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the features that may apply to a corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.14 AdditionalBusinessProcessFormat20Choice

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process, that is, a tax refund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		819
Or}	Proprietary <Prtry>	[1..1]	±		820

16.1.15.14.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the additional business process "tax refund" linked to a corporate action event.

Datatype: "[AdditionalBusinessProcess11Code](#)" on page 1097

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for

CodeName	Name	Definition
		example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.

16.1.15.14.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the additional business process "tax refund" linked to a corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.15 AdditionalBusinessProcessFormat21Choice

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process, that is, a tax refund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		821
Or}	Proprietary <Prtry>	[1..1]	±		822

16.1.15.15.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the additional business process "tax refund" linked to a corporate action event.

Datatype: "[AdditionalBusinessProcess10Code](#)" on page 1097

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
REVR	NotificationOfReversal	Indicates a preadvice of movement reversal.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.

CodeName	Name	Definition
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.

16.1.15.15.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the additional business process "tax refund" linked to a corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.16 AdditionalBusinessProcessFormat22Choice

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		822
Or}	Proprietary <Prtry>	[1..1]	±		823

16.1.15.16.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the additional business process linked to a corporate action event.

Datatype: "[AdditionalBusinessProcess9Code](#)" on page 1100

CodeName	Name	Definition
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security

CodeName	Name	Definition
		will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.

16.1.15.16.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the additional business process linked to a corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.17 OfferTypeFormat13Choice

Definition: Choice between a standard code or proprietary code to specify the type of offer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		824
Or}	Proprietary <Prtry>	[1..1]	±		825

16.1.15.17.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the conditions that apply to the offer.

Datatype: "[OfferType4Code](#)" on page 1161

CodeName	Name	Definition
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
NDIS	DissenterRightsNotApplicable	Dissenter's rights are not applicable for this option of the offer.
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.

16.1.15.17.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the conditions that apply to the offer.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.18 Statement75*Definition:* General characteristics related to a statement which reports information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StatementType <StmntTp>	[1..1]	CodeSet		825
	ReportingType <RptgTp>	[1..1]	CodeSet		826
	StatementIdentification <StmntId>	[1..1]	Text		826
	InstructionAggregationPeriod <InstrAggtnPrd>	[0..1]	±		826
	ReportNumber <RptNb>	[0..1]	Text		826
	StatementDateTime <StmntDtTm>	[1..1]	±		826
	Frequency <Frqcy>	[1..1]	±		827
	UpdateType <UpdTp>	[1..1]	±		827
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		827
	NotificationDeadlinePeriod <NtfctnDdlnPrd>	[0..1]	±		827

16.1.15.18.1 StatementType <StmntTp>*Presence:* [1..1]*Definition:* Indicates whether the statement is a balance only notification or if it includes missing instructions only or all instructions.*Datatype:* "[CorporateActionStatementType2Code](#)" on page 1143

CodeName	Name	Definition
MISS	Missing	Statement contains missing instructions.
ALLL	All	Statement contains all instructions.
BALO	BalanceOnlyNotification	Balance information with no instruction details provided.
BALI	BalanceInformation	Balance information with instruction details.

16.1.15.18.2 ReportingType <RptgTp>*Presence:* [1..1]*Definition:* Indicates whether the statement report on account holdings for corporate action events is for single account/multiple events or multiple accounts/single event.*Datatype:* "CorporateActionStatementReportingType1Code" on page 1143

CodeName	Name	Definition
MASE	MultipleAccounts	The reporting is for a single event and for multiple accounts.
SAME	MultipleEvents	The reporting is for multiple events for a single account.

16.1.15.18.3 StatementIdentification <StmtId>*Presence:* [1..1]*Definition:* Reference of the statement.*Datatype:* "RestrictedFINXMax16Text" on page 1187**16.1.15.18.4 InstructionAggregationPeriod <InstrAggtnPrd>***Presence:* [0..1]*Definition:* Indicates the period of instruction details within the statement.**InstructionAggregationPeriod <InstrAggtnPrd>** contains the following elements (see "DatePeriod2" on page 736 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		736
	ToDate <ToDt>	[1..1]	Date		736

16.1.15.18.5 ReportNumber <RptNb>*Presence:* [0..1]*Definition:* Sequential number of the statement.*Datatype:* "Max5NumericText" on page 1185**16.1.15.18.6 StatementDateTime <StmtDtTm>***Presence:* [1..1]*Definition:* Date of the statement.**StatementDateTime <StmtDtTm>** contains one of the following elements (see "DateAndDateTime2Choice" on page 749 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		749
Or}	DateTime <DtTm>	[1..1]	DateTime		750

16.1.15.18.7 Frequency <Frqcy>*Presence:* [1..1]*Definition:* Frequency of the statement.**Frequency <Frqcy>** contains one of the following elements (see "[Frequency26Choice](#)" on page 802 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		802
Or}	Proprietary <Prtry>	[1..1]	±		803

16.1.15.18.8 UpdateType <UpdTp>*Presence:* [1..1]*Definition:* Indicates whether the report is complete or contains changes only.**UpdateType <UpdTp>** contains one of the following elements (see "[UpdateType16Choice](#)" on page 879 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		879
Or}	Proprietary <Prtry>	[1..1]	±		879

16.1.15.18.9 ActivityIndicator <ActvtyInd>*Presence:* [1..1]*Definition:* Indicates whether there is activity or information update reported in the statement.*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

16.1.15.18.10 NotificationDeadlinePeriod <NtfctnDdlnPrd>*Presence:* [0..1]*Definition:* Period during which identification deadline has been set.**NotificationDeadlinePeriod <NtfctnDdlnPrd>** contains one of the following elements (see "[DateOrDateTimePeriod1Choice](#)" on page 751 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		752
Or}	DateTime <DtTm>	[1..1]	±		752

16.1.15.19 AdditionalBusinessProcessFormat16Choice*Definition:* Choice between a standard code or proprietary code to specify the type of the additional business process.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		828
Or}	Proprietary <Prtry>	[1..1]	±		828

16.1.15.19.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the additional business process linked to a corporate action event.

Datatype: "AdditionalBusinessProcess8Code" on page 1100

CodeName	Name	Definition
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.

16.1.15.19.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the additional business process linked to a corporate action event.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.20 CorporateActionOption42Choice

Definition: Choice between a standard code or a proprietary code to specify the type of the corporate action option.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		829
Or}	Proprietary <Prtry>	[1..1]	±		830

16.1.15.20.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

Datatype: "CorporateActionOption17Code" on page 1140

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global

CodeName	Name	Definition
		certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
TAXI	TaxInstruction	Tax instruction.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.1.15.20.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.21 CorporateActionEventType103Choice

Definition: Choice between formats to express the corporate event type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		831
Or}	Proprietary <Prtry>	[1..1]	±		837

Constraints

- EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

16.1.15.21.1 Code <Cd>

Presence: [1..1]

Definition: Event types expressed as a code.

Datatype: "[CorporateActionEventType34Code](#)" on page 1127

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.

CodeName	Name	Definition
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHD). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company.

CodeName	Name	Definition
		They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the

CodeName	Name	Definition
		market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.

CodeName	Name	Definition
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion

CodeName	Name	Definition
		of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.

CodeName	Name	Definition
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.

16.1.15.21.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event types expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.22 CorporateActionOption43Choice

Definition: Choice between a standard code or a proprietary code to specify the type of the corporate action option.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		838
Or}	Proprietary <Prtry>	[1..1]	±		839

16.1.15.22.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

Datatype: "CorporateActionOption16Code" on page 1139

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CERT	Certification	Certification is provided.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities

CodeName	Name	Definition
		Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
TAXI	TaxInstruction	Tax instruction.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.1.15.22.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.23 CorporateActionOption46Choice

Definition: Choice between a standard code or a proprietary code to specify the type of corporate action options.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		841

16.1.15.23.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of corporate action options.

Datatype: "[CorporateActionOption15Code](#)" on page 1137

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.

CodeName	Name	Definition
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.1.15.23.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action options.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.24 BidRangeType1Choice

Definition: Bid range type as a choice of a defined coded value or a proprietary value.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		842
Or}	Proprietary <Prtry>	[1..1]	±		842

16.1.15.24.1 Code <Cd>

Presence: [1..1]

Definition: Bid range type expressed as a code value.

Datatype: "BidRangeType1Code" on page 1104

CodeName	Name	Definition
DIVI	Divisible	All values (including minimum and maximum prices) are divisible by the bid interval.
INCR	Incremental	No values within the bid range are divisible by the bid interval including the minimum and maximum prices. Each value between the minimum and maximum prices is incremented by the bid interval.
MULT	InMultiple	Minimum and maximum prices are not divisible by the bid interval. All successive values between the minimum and maximum prices are in multiples of the bid interval.

16.1.15.24.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Bid range type expressed as a proprietary value.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 807 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		807
	Issuer <Issr>	[1..1]	Text		807
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.25 CorporateActionOption39Choice

Definition: Choice between a standard code or a proprietary code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		843
Or}	Proprietary <Prtry>	[1..1]	±		844

16.1.15.25.1 Code <Cd>

Presence: [1..1]

Definition: Option type expressed as a code.

Datatype: "CorporateActionOption12Code" on page 1136

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from

CodeName	Name	Definition
		International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

16.1.15.25.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Option type expressed as a proprietary identification.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.26 CorporateActionEventType96Choice

Definition: Choice between formats to express the corporate event type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		845
Or}	Proprietary <Prtry>	[1..1]	±		851

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

16.1.15.26.1 Code <Cd>

Presence: [1..1]

Definition: Event type expressed as a code.

Datatype: "CorporateActionEventType30Code" on page 1108

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of

CodeName	Name	Definition
		two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a

CodeName	Name	Definition
		third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.

CodeName	Name	Definition
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.

CodeName	Name	Definition
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.

CodeName	Name	Definition
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.

CodeName	Name	Definition
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
MTNG	SecuritiesHoldersMeeting	Ordinary or annual or extraordinary or special general meeting.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.

16.1.15.26.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.27 CorporateActionEventType97Choice

Definition: Choice between formats to express the corporate event type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or}	Code <Cd>	[1..1]	CodeSet		852
Or}	Proprietary <Prtry>	[1..1]	±		858

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

16.1.15.27.1 Code <Cd>

Presence: [1..1]

Definition: Event type expressed as a code.

Datatype: "CorporateActionEventType32Code" on page 1121

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.

CodeName	Name	Definition
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.

CodeName	Name	Definition
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".

CodeName	Name	Definition
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.

CodeName	Name	Definition
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.

CodeName	Name	Definition
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific

CodeName	Name	Definition
		amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.

16.1.15.27.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.28 NetDividendRateFormat43Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	859
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			859
	Amount <Amt>	[1..1]	Amount	C1	860
	RateStatus <RateSts>	[1..1]	CodeSet		860
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			860
	RateType <RateTp>	[1..1]			860
{Or	Code <Cd>	[1..1]	CodeSet		861
Or}	Proprietary <Prtry>	[1..1]	±		861
	Amount <Amt>	[1..1]	Amount	C1	862
	RateStatus <RateSts>	[0..1]		C100	862
{Or	Code <Cd>	[1..1]	CodeSet		862
Or}	Proprietary <Prtry>	[1..1]	±		863
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		863

16.1.15.28.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.28.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	860
	RateStatus <RateSts>	[1..1]	CodeSet		860

16.1.15.28.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.28.2.2 RateStatus <RateSts>*Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1169

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.28.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Specifies different formats for the net dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus60** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			860
{Or	Code <Cd>	[1..1]	CodeSet		861
Or}	Proprietary <Prtry>	[1..1]	±		861
	Amount <Amt>	[1..1]	Amount	C1	862
	RateStatus <RateSts>	[0..1]		C100	862
{Or	Code <Cd>	[1..1]	CodeSet		862
Or}	Proprietary <Prtry>	[1..1]	±		863

16.1.15.28.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType81Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		861
Or}	Proprietary <Prtry>	[1..1]	±		861

16.1.15.28.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType6Code" on page 1157

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

16.1.15.28.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.28.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.28.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C100 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		862
Or}	Proprietary <Prtry>	[1..1]	±		863

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.15.28.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "[RateStatus1Code](#)" on page 1169

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.

CodeName	Name	Definition
INDI	IndicativeRate	Rate is indicative.

16.1.15.28.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.28.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "[RateValueType7Code](#)" on page 1170

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.29 GrossDividendRateFormat41Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	864
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			864
	Amount <Amt>	[1..1]	Amount	C1	865
	RateStatus <RateSts>	[1..1]	CodeSet		865
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			865
	RateType <RateTp>	[1..1]			865
{Or	Code <Cd>	[1..1]	CodeSet		866
Or}	Proprietary <Prtry>	[1..1]	±		866
	Amount <Amt>	[1..1]	Amount	C1	867
	RateStatus <RateSts>	[0..1]		C100	867
{Or	Code <Cd>	[1..1]	CodeSet		867
Or}	Proprietary <Prtry>	[1..1]	±		868
Or}	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		868

16.1.15.29.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.29.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	865
	RateStatus <RateSts>	[1..1]	CodeSet		865

16.1.15.29.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.29.2.2 RateStatus <RateSts>*Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1169

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.29.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Specifies different formats for the gross dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus59** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			865
{Or	Code <Cd>	[1..1]	CodeSet		866
Or}	Proprietary <Prtry>	[1..1]	±		866
	Amount <Amt>	[1..1]	Amount	C1	867
	RateStatus <RateSts>	[0..1]		C100	867
{Or	Code <Cd>	[1..1]	CodeSet		867
Or}	Proprietary <Prtry>	[1..1]	±		868

16.1.15.29.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType80Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		866
Or}	Proprietary <Prtry>	[1..1]	±		866

16.1.15.29.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType6Code" on page 1151

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.

16.1.15.29.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.29.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.29.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C100 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		867
Or}	Proprietary <Prtry>	[1..1]	±		868

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.15.29.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "[RateStatus1Code](#)" on page 1169

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.

CodeName	Name	Definition
INDI	IndicativeRate	Rate is indicative.

16.1.15.29.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.29.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "[RateType13Code](#)" on page 1170

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

16.1.15.30 CorporateActionOption36Choice

Definition: Choice between a standard code or a proprietary code to specify the type of corporate action options.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		868
Or}	Proprietary <Prtry>	[1..1]	±		870

16.1.15.30.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of corporate action options.

Datatype: "[CorporateActionOption11Code](#)" on page 1135

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting.

CodeName	Name	Definition
		If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.

CodeName	Name	Definition
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

16.1.15.30.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action options.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.31 CorporateActionEventType89Choice

Definition: Choice between formats to express the corporate event type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		870
Or}	Proprietary <Prtry>	[1..1]	±		877

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

16.1.15.31.1 Code <Cd>

Presence: [1..1]

Definition: Event type expressed as a code.

Datatype: "[CorporateActionEventType31Code](#)" on page 1114

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding.

CodeName	Name	Definition
		Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.

CodeName	Name	Definition
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.

CodeName	Name	Definition
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The

CodeName	Name	Definition
		redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares

CodeName	Name	Definition
		premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.

CodeName	Name	Definition
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.

16.1.15.31.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.32 OptionFeaturesFormat27Choice

Definition: Choice between a standard code and a proprietary code to specify the features that may apply to a corporate action option.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		877
Or}	Proprietary <Prtry>	[1..1]	±		877

16.1.15.32.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the features that may apply to a corporate action option.

Datatype: "[OptionFeatures12Code](#)" on page 1162

CodeName	Name	Definition
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.

16.1.15.32.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the features that may apply to a corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.33 EventSequenceTypeFormat2Choice

Definition: Choice between a standard code and proprietary code to specify the type of event in a series of predefined or planned events.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		878
Or}	Proprietary <Prtry>	[1..1]	±		878

16.1.15.33.1 Code <Cd>

Presence: [1..1]

Definition: Type of an event sequence, in a coded format.

Datatype: "[EventSequenceType1Code](#)" on page 1147

CodeName	Name	Definition
FINL	Final	Final event in a series of successive events.
INTE	Interim	Interim event in a series of successive events.

16.1.15.33.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of an event sequence, in a proprietary format.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.34 Pagination1

Definition: Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		879
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		879

16.1.15.34.1 PageNumber <PgNb>

Presence: [1..1]

Definition: Page number.

Datatype: "Max5NumericText" on page 1185

16.1.15.34.2 LastPageIndicator <LastPgInd>

Presence: [1..1]

Definition: Indicates the last page.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

16.1.15.35 UpdateType16Choice

Definition: Choice of format for the update information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		879
Or}	Proprietary <Prtry>	[1..1]	±		879

16.1.15.35.1 Code <Cd>

Presence: [1..1]

Definition: Indicates whether the report is complete or contains changes only.

Datatype: "StatementUpdateType1Code" on page 1176

CodeName	Name	Definition
COMP	Complete	Statement is complete.
DELT	Delta	Statement contains changes only.

16.1.15.35.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Indicates whether the report is complete or contains changes only.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.36 ProcessingPosition10Choice

Definition: Choice of format for the processing position.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		880
Or}	Proprietary <Prtry>	[1..1]	±		880

Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

16.1.15.36.1 Code <Cd>

Presence: [1..1]

Definition: Processing position expressed as an ISO 20022 code.

Datatype: "[ProcessingPosition3Code](#)" on page 1167

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.

16.1.15.36.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Processing position expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.37 MarketIdentification90

Definition: Context, or geographic environment, in which trading parties may meet in order to negotiate and execute trades among themselves.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		881
	Type <Tp>	[1..1]			881
{Or	Code <Cd>	[1..1]	CodeSet		882
Or}	Proprietary <Prtry>	[1..1]	±		882

Constraints

- **MarketTypeAndIdentificationRule**

If Type/Code is OverTheCounter (OTCO) and if Identification is present, then Identification/Description must specify a system.

If Type/Code is Exchange (EXCH) and if Identification is present, then Identification/MarketIdentifierCode must be present.

16.1.15.37.1 Identification <Id>

Presence: [0..1]

Definition: Code allocated to places of trade, that is, stock exchanges, regulated markets, for example, Electronic Trading Platforms (ECN), and unregulated markets, for example, Automated Trading Systems (ATS), as sources of prices and related information, in order to facilitate automated processing.

Identification <Id> contains one of the following elements (see "[MarketIdentification2Choice](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktIdrCd>	[1..1]	IdentifierSet		808
Or}	Description <Desc>	[1..1]	Text		809

16.1.15.37.2 Type <Tp>

Presence: [1..1]

Definition: Nature of a market in which transactions take place.

Type <Tp> contains one of the following **MarketType16Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		882
Or}	Proprietary <Prtry>	[1..1]	±		882

16.1.15.37.2.1 Code <Cd>

Presence: [1..1]

Definition: Market type expressed as an ISO 20022 code.

Datatype: "MarketType2Code" on page 1157

CodeName	Name	Definition
PRIM	PrimaryMarket	The place is a primary market.
SECM	SecondaryMarket	The place is a secondary market.
OTCO	OverTheCounter	The place is over the counter.
VARI	Various	Various places.
EXCH	StockExchange	The place is a stock exchange.

16.1.15.37.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Market type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.38 AdditionalBusinessProcessFormat14Choice

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process, that is, a tax refund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		882
Or}	Proprietary <Prtry>	[1..1]	±		884

16.1.15.38.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the additional business process "tax refund" linked to a corporate action event.

Datatype: "AdditionalBusinessProcess7Code" on page 1099

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.

16.1.15.38.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the additional business process "tax refund" linked to a corporate action event.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.39 CorporateActionEventStageFormat15Choice*Definition:* Choice between a standard code or proprietary code to specify the event stage type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		884
Or}	Proprietary <Prtry>	[1..1]	±		884

16.1.15.39.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the stage of the corporate action event.*Datatype:* "[CorporateActionEventStage4Code](#)" on page 1108

CodeName	Name	Definition
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.

16.1.15.39.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the stage of the corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.40 CorporateActionChangeTypeFormat7Choice

Definition: Choice between a standard code or a proprietary code to specify a type of change.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		885
Or}	Proprietary <Prtry>	[1..1]	±		885

16.1.15.40.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of changes.

Datatype: "[CorporateActionChangeType2Code](#)" on page 1106

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.

16.1.15.40.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of changes.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.41 FractionDispositionType30Choice

Definition: Choice between a standard code or a proprietary code to specify the type of fraction disposition.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		886
Or}	Proprietary <Prtry>	[1..1]	±		886

16.1.15.41.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: "FractionDispositionType11Code" on page 1149

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.

16.1.15.41.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.42 FractionDispositionType29Choice

Definition: Choice between a standard code or a proprietary code to specify the type of fraction disposition.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		887
Or}	Proprietary <Prtry>	[1..1]	±		887

16.1.15.42.1 Code <Cd>

Presence: [1..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: "FractionDispositionType10Code" on page 1149

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
EXPI	Expire	Let fractions expire worthless.
DIST	IssueFraction	Take distribution of fractions in the form of securities.

16.1.15.42.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.43 BeneficiaryCertificationType11Choice

Definition: Choice between a standard code or proprietary code to specify the type of beneficiary certification required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		888
Or}	Proprietary <Prtry>	[1..1]	±		888

16.1.15.43.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of certification required.

Datatype: "BeneficiaryCertificationType5Code" on page 1103

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.

16.1.15.43.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of certification required.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.44 SolicitationFeeRateFormat10Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		889
Or	AmountToQuantity <AmtToQty>	[1..1]			889
	Amount <Amt>	[1..1]	Amount	C1	889
	Quantity <Qty>	[1..1]	Quantity		889
Or	Amount <Amt>	[1..1]	Amount	C1	890
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		890

16.1.15.44.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "PercentageRate" on page 1182

16.1.15.44.2 AmountToQuantity <AmtToQty>

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

AmountToQuantity <AmtToQty> contains the following **AmountAndQuantityRatio5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	889
	Quantity <Qty>	[1..1]	Quantity		889

16.1.15.44.2.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.44.2.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 1181

16.1.15.44.3 Amount <Amt>*Presence:* [1..1]*Definition:* Cash amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.44.4 NotSpecifiedRate <NotSpcfdRate>*Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateValueType7Code" on page 1170

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.45 RatioFormat24Choice*Definition:* Choice of format to express a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		890
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		891
Or	AmountToAmount <AmtToAmt>	[1..1]			891
	Amount1 <Amt1>	[1..1]	Amount	C1	891
	Amount2 <Amt2>	[1..1]	Amount	C1	891
Or	AmountToQuantity <AmtToQty>	[1..1]			892
	Amount <Amt>	[1..1]	Amount	C1	892
	Quantity <Qty>	[1..1]	Quantity		892
Or}	QuantityToAmount <QtyToAmt>	[1..1]			892
	Amount <Amt>	[1..1]	Amount	C1	893
	Quantity <Qty>	[1..1]	Quantity		893

16.1.15.45.1 QuantityToQuantity <QtyToQty>*Presence:* [1..1]

Definition: Ratio expressed as a quotient of quantities.

QuantityToQuantity <QtyToQty> contains the following elements (see ["QuantityToQuantityRatio2"](#) on page 1067 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		1067
	Quantity2 <Qty2>	[1..1]	Quantity		1067

16.1.15.45.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the ratio not specified.

Datatype: ["RateValueType7Code"](#) on page 1170

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.45.3 AmountToAmount <AmtToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

AmountToAmount <AmtToAmt> contains the following **AmountToAmountRatio3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1	891
	Amount2 <Amt2>	[1..1]	Amount	C1	891

16.1.15.45.3.1 Amount1 <Amt1>

Presence: [1..1]

Definition: Numerator of the quotient of amounts.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount"](#) on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.45.3.2 Amount2 <Amt2>

Presence: [1..1]

Definition: Denominator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.45.4 AmountToQuantity <AmtToQty>

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

AmountToQuantity <AmtToQty> contains the following **AmountAndQuantityRatio5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	892
	Quantity <Qty>	[1..1]	Quantity		892

16.1.15.45.4.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.45.4.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 1181

16.1.15.45.5 QuantityToAmount <QtyToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quantity to amount ratio.

QuantityToAmount <QtyToAmt> contains the following **AmountAndQuantityRatio5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	893
	Quantity <Qty>	[1..1]	Quantity		893

16.1.15.45.5.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.45.5.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 1181

16.1.15.46 RatioFormat23Choice

Definition: Choice of format to express a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		893
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		894
Or}	AmountToAmount <AmtToAmt>	[1..1]			894
	Amount1 <Amt1>	[1..1]	Amount	C1	894
	Amount2 <Amt2>	[1..1]	Amount	C1	894

16.1.15.46.1 QuantityToQuantity <QtyToQty>

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

QuantityToQuantity <QtyToQty> contains the following elements (see "[QuantityToQuantityRatio2](#)" on page 1067 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		1067
	Quantity2 <Qty2>	[1..1]	Quantity		1067

16.1.15.46.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the ratio not specified.

Datatype: "[RateValueType7Code](#)" on page 1170

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.46.3 AmountToAmount <AmtToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

AmountToAmount <AmtToAmt> contains the following **AmountToAmountRatio3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1	894
	Amount2 <Amt2>	[1..1]	Amount	C1	894

16.1.15.46.3.1 Amount1 <Amt1>

Presence: [1..1]

Definition: Numerator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.46.3.2 Amount2 <Amt2>

Presence: [1..1]

Definition: Denominator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.47 NonEligibleProceedsIndicator4Choice

Definition: Choice between a standard code or proprietary code to specify the eligibility of outturn resources.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		895
Or}	Proprietary <Prtry>	[1..1]	±		895

16.1.15.47.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify information regarding the non eligibility of the outturn resources.

Datatype: "NonEligibleProceedsIndicator1Code" on page 1160

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.

16.1.15.47.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the non eligibility of the outturn resources.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.48 TemporaryFinancialInstrumentIndicator4Choice

Definition: Choice between an indicator or a proprietary code to specify whether the security is a temporary security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TemporaryIndicator <TemplInd>	[1..1]	Indicator		896
Or}	Proprietary <Prtry>	[1..1]	±		896

16.1.15.48.1 TemporaryIndicator <TemplInd>

Presence: [1..1]

Definition: Temporary financial instrument identification used for processing reasons.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1180):

- *Meaning When True:* Yes
- *Meaning When False:* No

16.1.15.48.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to specify whether the security is a temporary security.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.49 RateTypeAndAmountAndStatus33

Definition: Specifies the value expressed as a rate and an amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			896
{Or	Code <Cd>	[1..1]	CodeSet		897
Or}	Proprietary <Prtry>	[1..1]	±		897
	Amount <Amt>	[1..1]	Amount	C1	897
	RateStatus <RateSts>	[0..1]		C100	897
{Or	Code <Cd>	[1..1]	CodeSet		898
Or}	Proprietary <Prtry>	[1..1]	±		898

16.1.15.49.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType47Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		897
Or}	Proprietary <Prtry>	[1..1]	±		897

16.1.15.49.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of tax rate.

Datatype: "DividendRateType1Code" on page 1146

CodeName	Name	Definition
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.

16.1.15.49.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of tax rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.49.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.49.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C100 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		898
Or}	Proprietary <Prtry>	[1..1]	±		898

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.15.49.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: ["RateStatus1Code"](#) on page 1169

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.49.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification47"](#) on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.50 RateAndAmountFormat47Choice

Definition: Choice of format between a rate or a rate type and rate or an amount or a unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		899
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		899
Or	Amount <Amt>	[1..1]	Amount	C1	899
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			899
	RateType <RateTp>	[1..1]			900
{Or	Code <Cd>	[1..1]	CodeSet		900
Or}	Proprietary <Prtry>	[1..1]	±		900
	Rate <Rate>	[1..1]	Rate		901

16.1.15.50.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "PercentageRate" on page 1182

16.1.15.50.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1170

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.50.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.50.4 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			900
{Or	Code <Cd>	[1..1]	CodeSet		900
Or}	Proprietary <Prtry>	[1..1]	±		900
	Rate <Rate>	[1..1]	Rate		901

16.1.15.50.4.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		900
Or}	Proprietary <Prtry>	[1..1]	±		900

16.1.15.50.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1177

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

16.1.15.50.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.50.4.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[PercentageRate](#)" on page 1182

16.1.15.51 RateAndAmountFormat50Choice

Definition: Choice of format between a rate, an amount, index points or a unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		901
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		901
Or	Amount <Amt>	[1..1]	Amount	C1	901
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		902

16.1.15.51.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[PercentageRate](#)" on page 1182

16.1.15.51.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "[RateValueType7Code](#)" on page 1170

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.51.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "[ActiveCurrency](#)"

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.51.4 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "RestrictedFINDecimalNumber" on page 1181

16.1.15.52 InterestRateUsedForPaymentFormat10Choice

Definition: Choice between an amount or a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		902
Or	Amount <Amt>	[1..1]	Amount	C1	902
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			903
	RateType <RateTp>	[1..1]			903
{Or	Code <Cd>	[1..1]	CodeSet		903
Or}	Proprietary <Prtry>	[1..1]	±		904
	Amount <Amt>	[1..1]	Amount	C1	904
	RateStatus <RateSts>	[0..1]		C100	904
{Or	Code <Cd>	[1..1]	CodeSet		904
Or}	Proprietary <Prtry>	[1..1]	±		905
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		905

16.1.15.52.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "PercentageRate" on page 1182

16.1.15.52.2 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.52.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for interest rate used for payment.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus32** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			903
{Or	Code <Cd>	[1..1]	CodeSet		903
Or}	Proprietary <Prtry>	[1..1]	±		904
	Amount <Amt>	[1..1]	Amount	C1	904
	RateStatus <RateSts>	[0..1]		C100	904
{Or	Code <Cd>	[1..1]	CodeSet		904
Or}	Proprietary <Prtry>	[1..1]	±		905

16.1.15.52.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		903
Or}	Proprietary <Prtry>	[1..1]	±		904

16.1.15.52.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of rate.

Datatype: "RateType7Code" on page 1170

CodeName	Name	Definition
SCHD	Scheduled	Rate of the scheduled payment.
USCD	Unscheduled	Rate of the unscheduled payment.

16.1.15.52.3.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.52.3.2 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* [C1 "ActiveCurrency"](#)*Datatype:* "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1094**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.15.52.3.3 RateStatus <RateSts>*Presence:* [0..1]*Definition:* Value expressed as a rate status.*Impacted by:* [C100 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		904
Or}	Proprietary <Prtry>	[1..1]	±		905

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.15.52.3.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1169

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.15.52.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.52.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateType13Code" on page 1170

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

16.1.15.53 BeneficiaryCertificationType12Choice

Definition: Choice between a standard code or proprietary code to specify the type of beneficiary certification required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		905
Or}	Proprietary <Prtry>	[1..1]	±		906

16.1.15.53.1 Code <Cd>

Presence: [1..1]

Definition: Beneficial owner certification type expressed in a coded form.

Datatype: "BeneficiaryCertificationType4Code" on page 1102

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited

CodeName	Name	Definition
		Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
NDOM	NonDomicileCountry	The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
PAPW	BeneficialOwnerPaperwork	Indicates if the Beneficial Owner is responsible to fill in the paperwork.
PABD	ICSDParticipantBreakdown	The (I)CSD has to provide its participants' details for disclosure purpose to the issuer/issuer's agent.
FRAC	FractionBreakdown	Indicates that the rounding rule in the Fraction Disposition component at the option level can be offered at the beneficial owner level.

16.1.15.53.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Beneficial owner certification type expressed in a proprietary form.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.54 OptionAvailabilityStatus4Choice

Definition: Choice between a standard code or proprietary code to specify the option availability status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		907
Or}	Proprietary <Prtry>	[1..1]	±		907

16.1.15.54.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the option availability.

Datatype: "OptionAvailabilityStatus1Code" on page 1161

CodeName	Name	Definition
INTV	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
CANC	Cancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.

16.1.15.54.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the option availability.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.55 FractionDispositionType31Choice

Definition: Choice between a standard code or a proprietary code to specify the type of fraction disposition.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		907
Or}	Proprietary <Prtry>	[1..1]	±		908

16.1.15.55.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: "FractionDispositionType8Code" on page 1150

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

16.1.15.55.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.56 InformationTypeFormat5Choice

Definition: Choice between a standard code or proprietary code to specify the information type format required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		908
Or}	Proprietary <Prtry>	[1..1]	±		909

16.1.15.56.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the information type required.

Datatype: "CorporateActionInformationType1Code" on page 1133

CodeName	Name	Definition
CONF	ConferenceCall	Information is about an informal call when an issuer, trustee, agent wishes to talk to note holders to discuss a certain issue or potential change to the notes; nothing said being binding.

16.1.15.56.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the information type.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.57 ConsentTypeFormat5Choice

Definition: Choice between a standard code or proprietary code to specify the consent type format required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		909
Or}	Proprietary <Prtry>	[1..1]	±		909

16.1.15.57.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the consent type required.

Datatype: "ConsentType1Code" on page 1105

CodeName	Name	Definition
CTRM	ChangeInTerms	Change in the terms and conditions of the bond.
DUPY	DueAndPayable	Change in the due and payable conditions.

16.1.15.57.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the consent type.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.58 CertificationTypeFormat4Choice

Definition: Choice between a standard code or proprietary code to specify the certification format required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		910
Or}	Proprietary <Prtry>	[1..1]	±		910

16.1.15.58.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the certification format required, that is, physical or electronic format.

Datatype: "[CertificationFormatType1Code](#)" on page 1105

CodeName	Name	Definition
ELEC	Electronic	Certification must be provided in electronic format.
PHYS	Physical	Certification must be provided in physical format.

16.1.15.58.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the certification format.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.59 LotteryTypeFormat5Choice

Definition: Choice between a standard code or proprietary code to specify the type of lottery.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		911
Or}	Proprietary <Prtry>	[1..1]	±		911

16.1.15.59.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of lottery announced.

Datatype: "LotteryType1Code" on page 1157

CodeName	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

16.1.15.59.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of lottery announced.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.60 ElectionTypeFormat4Choice

Definition: Choice between a standard code or proprietary code to specify the type of election movement.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		911
Or}	Proprietary <Prtry>	[1..1]	±		912

16.1.15.60.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the effect on the holdings of electing a corporate action option.

Datatype: "ElectionMovementType2Code" on page 1146

CodeName	Name	Definition
DRCT	Direct	Direct movement of resource, that is, cash or security that is directly credited to the paying agent account.
SEQD	Sequestered	Election results in a change of balance type that transfers control of the securities rather than the securities themselves.

16.1.15.60.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of election of a corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.61 TaxableIncomePerShareCalculatedFormat4Choice

Definition: Choice between a standard code or proprietary code to specify whether the taxable income per share or per dividend is calculated.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		912
Or}	Proprietary <Prtry>	[1..1]	±		913

16.1.15.61.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify whether the fund calculates the taxable income per dividend/ taxable income per share (TID/TIS).

Datatype: "[CorporateActionTaxableIncomePerShareCalculated1Code](#)" on page 1143

CodeName	Name	Definition
TDIY	Calculated	Fund is calculating the taxable income per dividend/taxable income per share.
TDIN	NotCalculated	Fund is not calculating the taxable income per dividend/taxable income per share.
UKWN	Unknown	Unknown whether the fund is calculating the taxable income per dividend/taxable income per share.

16.1.15.61.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification to specify whether the fund calculates the taxable income per dividend/taxable income per share (TID/TIS).**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.62 CapitalGainFormat4Choice*Definition:* Choice between a standard code or proprietary code to specify the type of capital gain.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		913
Or}	Proprietary <Prtry>	[1..1]	±		913

16.1.15.62.1 Code <Cd>*Presence:* [1..1]*Definition:* Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June) for an income realised upon sale, a refund or redemption of shares and units etc.*Datatype:* "[EUCapitalGain2Code](#)" on page 1146

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

16.1.15.62.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of capital gain.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.63 IntermediateSecuritiesDistributionTypeFormat18Choice

Definition: Choice between a standard code or proprietary code to specify the type of intermediate securities distribution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		914
Or}	Proprietary <Prtry>	[1..1]	±		915

16.1.15.63.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of intermediate security distribution.

Datatype: "IntermediateSecurityDistributionType5Code" on page 1155

CodeName	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
EXRI	SubscriptionRights	Distribution of subscription rights.
PRIO	OpenOfferRights	Distribution of open offer rights.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.
SPLF	StockSplit	Also known as change in nominal value or subdivision.

CodeName	Name	Definition
		Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
BONU	BonusRights	Distribution of bonus rights.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.

16.1.15.63.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of intermediate security distribution.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.64 CorporateActionCodeTypeFormat8Choice

Definition: Choice between a standard code or proprietary code to specify the change type of a corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		915
Or}	Proprietary <Prtry>	[1..1]	±		916

16.1.15.64.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of changes.

Datatype: "[CorporateActionCodeType1Code](#)" on page 1106

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.
DECI	Decimalisation	Process of changing the accounting method of a security, for example, mutual fund, from units to a quantity with decimals.

16.1.15.64.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of changes.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.65 CorporateActionEventStageFormat20Choice

Definition: Choice between a standard code or proprietary code to specify the event stage type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		917
Or}	Proprietary <Prtry>	[1..1]	±		917

16.1.15.65.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the stage of the corporate action event.*Datatype:* "CorporateActionEventStage3Code" on page 1107

CodeName	Name	Definition
APPD	Approved	Approved at the relevant meeting, for example, dividend.
CLDE	Deactivated	Offer is closed for acceptance.
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
LAPS	Lapsed	Event/offer conditions have not been met and the event/offer is terminated or lapsed.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, for example, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.

16.1.15.65.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the stage of the corporate action event.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.66 DistributionTypeFormat8Choice

Definition: Choice between a standard code or proprietary code to specify the type of distribution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		918
Or}	Proprietary <Prtry>	[1..1]	±		918

16.1.15.66.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

Datatype: "DistributionType3Code" on page 1146

CodeName	Name	Definition
FINL	Final	Final payment.
INTE	Interim	Interim payment.
ONGO	Ongoing	Event is ongoing for acceptance on an unsolicited basis.
ROLL	RollingBasis	Event will have a number of acceptance and payment dates until further announcement by the Issuer or its agent.

16.1.15.66.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of distribution.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.67 DividendTypeFormat10Choice

Definition: Choice between a standard code or proprietary code to specify the type of dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		919
Or}	Proprietary <Prtry>	[1..1]	±		919

16.1.15.67.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the frequency of the corporate action event.*Datatype:* "CorporateActionFrequencyType5Code" on page 1133

CodeName	Name	Definition
FINL	Final	Payment of a dividend, less amounts already paid through interim dividends.
INTE	Interim	Dividend declared and paid before annual earnings have been determined.
REIN	Reinvestment	Reinvestment of cash distributed.
REGR	Regular	Dividend paid at regular interval, for example, quarterly.
SPEC	Special	Dividend in addition to the regular dividend being paid by the company.
SPRE	SpecialDividendWithReinvestment	Special Dividend with reinvestment component.

16.1.15.67.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the frequency of the corporate action event.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.68 IdentificationFormat4Choice*Definition:* Choice between either a short, long or a proprietary identification format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortIdentification <ShrtId>	[1..1]	Text		919
Or	LongIdentification <LngId>	[1..1]	Text		920
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		920

16.1.15.68.1 ShortIdentification <ShrtId>*Presence:* [1..1]*Definition:* Format expressed as a short identification.*Datatype:* "Exact3UpperCaseAlphaNumericText" on page 1182

16.1.15.68.2 LongIdentification <Lngld>*Presence:* [1..1]*Definition:* Format expressed as a long identification.*Datatype:* "RestrictedFINXMax30Text" on page 1188**16.1.15.68.3 ProprietaryIdentification <Prtryld>***Presence:* [1..1]*Definition:* Format expressed as a proprietary identification.**ProprietaryIdentification <Prtryld>** contains the following elements (see "[GenericIdentification86](#)" on page 805 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		806
	Issuer <Issr>	[1..1]	Text		806
	SchemeName <SchmeNm>	[0..1]	Text		806

16.1.15.69 FractionDispositionType32Choice*Definition:* Choice between a standard code or a proprietary code to specify the type of fraction disposition.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		920
Or}	Proprietary <Prtry>	[1..1]	±		921

16.1.15.69.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.*Datatype:* "FractionDispositionType9Code" on page 1150

CodeName	Name	Definition
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.

CodeName	Name	Definition
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

16.1.15.69.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.70 RenounceableEntitlementStatusTypeFormat4Choice

Definition: Choice between a standard code or proprietary code to specify the type of the renounceable entitlement status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		921
Or}	Proprietary <Prtry>	[1..1]	±		921

16.1.15.70.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the renounceable status.

Datatype: "[RenounceableStatus1Code](#)" on page 1175

CodeName	Name	Definition
NREN	NonRenounceable	Intermediate securities cannot be sold.
RENO	Renounceable	Intermediate securities can be sold.

16.1.15.70.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the renounceable status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.71 OptionStyle9Choice

Definition: Choice of format for the option style.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		922
Or}	Proprietary <Prtry>	[1..1]	±		922

16.1.15.71.1 Code <Cd>

Presence: [1..1]

Definition: Option style expressed as an ISO 20022 code.

Datatype: "[OptionStyle2Code](#)" on page 1164

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.

16.1.15.71.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Option style expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.72 ClassificationType33Choice

Definition: Choice of format for the classification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		923
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		923

16.1.15.72.1 ClassificationFinancialInstrument <ClssfctnFinInstrm>

Presence: [1..1]

Definition: ISO 10962 Classification of Financial Instrument (CFI).

Datatype: "CFIOct2015Identifier" on page 1179

16.1.15.72.2 AlternateClassification <AltrnClssfctn>

Presence: [1..1]

Definition: Proprietary classification of financial instrument.

AlternateClassification <AltrnClssfctn> contains the following elements (see "GenericIdentification86" on page 805 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		806
	Issuer <Issr>	[1..1]	Text		806
	SchemeName <SchmeNm>	[0..1]	Text		806

16.1.15.73 InterestComputationMethodFormat5Choice

Definition: Choice between a standard code or proprietary code to specify the type of interest computation method.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		923
Or}	Proprietary <Prtry>	[1..1]	±		926

16.1.15.73.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the method used to compute accruing interest of a financial instrument.

Datatype: "InterestComputationMethod2Code" on page 1152

CodeName	Name	Definition
A001	IC30360ISDAor30360AmericanBasicRule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February, and provided that the interest period started on a 30th or a 31st. This means that a

CodeName	Name	Definition
		31st is assumed to be a 30th if the period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). This is the most commonly used 30/360 method for US straight and convertible bonds.
A002	IC30365	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th).
A003	IC30Actual	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year.
A004	Actual360	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 360-day year.
A005	Actual365Fixed	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year.
A006	ActualActualICMA	Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular (first or last coupon), it is extended or split into quasi-interest periods that have the length of a regular coupon period and the computation is operated separately on each quasi-interest period and the intermediate results are summed up.
A007	IC30E360orEuroBondBasismodel1	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the

CodeName	Name	Definition
		same month. This means that the 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. However, if the last day of the maturity coupon period is the last day of February, it will not be assumed to be the 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the coupon periods are scheduled to end on the last day of the month.
A008	ActualActualISDA	Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall (falling on a normal year, year) divided by 365, added to the actual number of days of the interest period that fall (falling on a leap year, year) divided by 366.
A009	Actual365LorActuActubasisRule	Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year).
A010	ActualActualAFB	Method whereby interest is calculated based on the actual number of accrued days and a 366-day year (if 29 Feb falls in the coupon period) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year subperiods counting backwards from the end of the coupon period (a year backwards from 28 Feb being 29 Feb, if it exists). The first of the subperiods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each subperiod and the intermediate results are summed up.
A011	IC30360ICMAor30360basicrule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). It is the most commonly used 30/360 method for non-US straight and convertible bonds issued before 1 January 1999.
A012	IC30E2360orEurobondbasismodel2	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the

CodeName	Name	Definition
		same month, except for the last day of February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is higher and if the period is one of a regular schedule. This means that the 31st is assumed to be the 30th and 28 Feb of a non-leap year is assumed to be equivalent to 29 Feb when the first day of the interest period is the 29th, or to 30 Feb when the first day of the interest period is the 30th or the 31st. The 29th day of February in a leap year is assumed to be equivalent to 30 Feb when the first day of the interest period is the 30th or the 31st. Similarly, if the coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on 30 Feb when the end of the period is the 30th or the 31st, or two days of interest in February when the end of the period is the 29th, or three days of interest in February when it is 28 Feb of a non-leap year and the end of the period is before the 29th.
A013	IC30E3360orEurobondbasismodel3	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always assumed to be the 30th, even if it is the last day of the maturity coupon period.
A014	Actual365NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 365-day year.
NARR	Narrative	Other method than A001-A020. See Narrative.

16.1.15.73.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the format of interest computation method.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.74 CorporateActionMandatoryVoluntary4Choice

Definition: Choice between a standard code or a proprietary code to indicate if a corporate action event is mandatory or not.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		927
Or}	Proprietary <Prtry>	[1..1]	±		927

16.1.15.74.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify whether the event is mandatory, mandatory with options or voluntary.

Datatype: "[CorporateActionMandatoryVoluntary1Code](#)" on page 1133

CodeName	Name	Definition
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

16.1.15.74.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of an event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.75 CorporateActionEventProcessingType3Choice

Definition: Choice between a standard code or a proprietary code for specifying the processing type of a corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		928
Or}	Proprietary <Prtry>	[1..1]	±		928

16.1.15.75.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the processing type of a corporate action event.

Datatype: "[CorporateActionEventProcessingType1Code](#)" on page 1107

CodeName	Name	Definition
GENL	General	No debit or credit of resources.
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

16.1.15.75.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the processing type of a corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.76 CorporateActionEventReference4

Definition: Identification of a linked corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			929
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		929
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		929
	LinkageType <LkgTp>	[0..1]	±	C32	929

16.1.15.76.1 EventIdentification <EvtId>

Presence: [1..1]

Definition: Identification of the linked corporate action event.

EventIdentification <EvtId> contains one of the following **CorporateActionEventReference4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		929
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		929

16.1.15.76.1.1 LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>

Presence: [1..1]

Definition: Official and unique reference assigned by the official central body/ entity within each market at the beginning of a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1187

16.1.15.76.1.2 LinkedCorporateActionIdentification <LkdCorpActnId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a related corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 1187

16.1.15.76.2 LinkageType <LkgTp>

Presence: [0..1]

Definition: Specifies when this corporate action event is to be processed relative to a linked corporate action event.

Impacted by: C32 "WithLinkageRule"

LinkageType <LkgTp> contains one of the following elements (see "ProcessingPosition10Choice" on page 880 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		880
Or}	Proprietary <Prtry>	[1..1]	±		880

Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

16.1.15.77 CorporateActionProcessingStatus6Choice

Definition: Specifies the status of the details of the corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]			930
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		930
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		931
Or}	Proprietary <Prtry>	[1..1]	±		931

16.1.15.77.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the status of the details of the corporate action event.

Code <Cd> contains the following **CorporateActionEventStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		930
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		931

16.1.15.77.1.1 EventCompletenessStatus <EvtCmpltnsSts>

Presence: [1..1]

Definition: Indicates whether the details provided about an event are complete or incomplete.

Datatype: "EventCompletenessStatus1Code" on page 1147

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

16.1.15.77.1.2 EventConfirmationStatus <EvtConfSts>*Presence:* [1..1]*Definition:* Indicates the status of the occurrence of an event.*Datatype:* "EventConfirmationStatus1Code" on page 1147

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

16.1.15.77.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Information related to an identification, for example, party identification or account identification.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.78 IssuerOfferorTaxabilityIndicator1Choice*Definition:* Choice between a standard code or proprietary code to specify the issuer / offeror taxability status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		931
Or}	Proprietary <Prtry>	[1..1]	±		931

16.1.15.78.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify information regarding the issuer / offeror taxability status.*Datatype:* "IssuerTaxability2Code" on page 1156

CodeName	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

16.1.15.78.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification to specify information regarding the issuer / offeror taxability status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.15.79 RateFormat12Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		932
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		932

16.1.15.79.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "[BaseOne14Rate](#)" on page 1181

16.1.15.79.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "[RateType5Code](#)" on page 1170

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.15.80 SupplementaryData1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		933
	Envelope <Envlp>	[1..1]	(External Schema)		933

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

16.1.15.80.1 PlaceAndName <PlcAndNm>

Presence: [0..1]

Definition: Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: "Max350Text" on page 1184

16.1.15.80.2 Envelope <Envlp>

Presence: [1..1]

Definition: Technical element wrapping the supplementary data.

Type: (External Schema)

Technical component that contains the validated supplementary data information. This technical envelope allows to segregate the supplementary data information from any other information.

16.1.15.81 OptionNumber1Choice

Definition: Choice between an number and an option number in a code format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		933
Or}	Code <Cd>	[1..1]	CodeSet		933

16.1.15.81.1 Number <Nb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "Exact3NumericText" on page 1182

16.1.15.81.2 Code <Cd>

Presence: [1..1]

Definition: Code identifying special corporate action option numbers.

Datatype: "OptionNumber1Code" on page 1164

CodeName	Name	Definition
UNSO	Unsolicited	The corporate action instruction is unsolicited, that is, the instruction has not been preceded by a corporate action notification.

16.1.16 Organisation Identification

16.1.16.1 OrganisationIdentification29

Definition: Unique and unambiguous way to identify an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	934
	LEI <LEI>	[0..1]	IdentifierSet		934
	Other <Othr>	[0..*]			934
	Identification </d>	[1..1]	Text		935
	SchemeName <SchmeNm>	[0..1]			935
{Or	Code <Cd>	[1..1]	CodeSet		935
Or}	Proprietary <Prtry>	[1..1]	Text		935
	Issuer </ssr>	[0..1]	Text		935

16.1.16.1.1 AnyBIC <AnyBIC>

Presence: [0..1]

Definition: Business identification code of the organisation.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1178

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.16.1.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for a party.

Datatype: "LEIIdentifier" on page 1180

16.1.16.1.3 Other <Othr>

Presence: [0..*]

Definition: Unique identification of an organisation, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following **GenericOrganisationIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		935
	SchemeName <SchmeNm>	[0..1]			935
{Or	Code <Cd>	[1..1]	CodeSet		935
Or}	Proprietary <Prtry>	[1..1]	Text		935
	Issuer <Issr>	[0..1]	Text		935

16.1.16.1.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max35Text" on page 1184

16.1.16.1.3.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **OrganisationIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		935
Or}	Proprietary <Prtry>	[1..1]	Text		935

16.1.16.1.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalOrganisationIdentification1Code" on page 1148

16.1.16.1.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 1184

16.1.16.1.3.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 1184

16.1.17 Party Identification

16.1.17.1 AlternatePartyIdentification7

Definition: Alternate identification for a party using an identification type, a country code and a text field.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		936
	Country <Ctry>	[1..1]	CodeSet	C3	936
	AlternateIdentification <AltrnId>	[1..1]	Text		936

16.1.17.1.1 IdentificationType <IdTp>

Presence: [1..1]

Definition: Specifies the type of alternate identification of the party identified.

IdentificationType <IdTp> contains one of the following elements (see "[IdentificationType42Choice](#)" on page 804 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		805
Or}	Proprietary <Prtry>	[1..1]	±		805

16.1.17.1.2 Country <Ctry>

Presence: [1..1]

Definition: Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

Impacted by: [C3 "Country"](#)

Datatype: "[CountryCode](#)" on page 1143

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.17.1.3 AlternateIdentification <AltrnId>

Presence: [1..1]

Definition: Alternate identification for a party.

Datatype: "[Max35Text](#)" on page 1184

16.1.17.2 PartyIdentification234Choice

Definition: Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	937
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		937
Or	NameAndAddress <NmAndAdr>	[1..1]	±		937
Or}	IndividualOwnerIdentification <IndvOwnrId>	[1..1]	±		938

16.1.17.2.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1178

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.17.2.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification84](#)" on page 806 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		807
	Issuer <Issr>	[1..1]	Text		807
	SchemeName <SchmeNm>	[0..1]	Text		807

16.1.17.2.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of a party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress12](#)" on page 959 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		959

16.1.17.2.4 IndividualOwnerIdentification <IndvOwnrId>

Presence: [1..1]

Definition: Individual local country specific identification of the owner agreed between the account servicer and account owner.

IndividualOwnerIdentification <IndvOwnrId> contains the following elements (see "AlternatePartyIdentification7" on page 936 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		936
	Country <Ctry>	[1..1]	CodeSet	C3	936
	AlternateIdentification <AltrnId>	[1..1]	Text		936

16.1.17.3 PartyIdentificationAndAccount205

Definition: Provides information about identification and account of the party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		939
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		939
	BlockChainAddressOrWallet <BlckChainAdrOrWlIt>	[0..1]	Text		939
	ProcessingIdentification <PrccId>	[0..1]	Text		939
	AlternateIdentification <AltrnId>	[0..*]	±		939

Constraints

- AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

- SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

 /SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition

 /BlockChainAddressOrWallet is present

Following Must be True

 /SafekeepingAccount Must be absent

- SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition
 /SafekeepingAccount is present
 Following Must be True
 /BlockchainAddressOrWallet Must be absent

16.1.17.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following elements (see "[PartyIdentification137Choice](#)" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

16.1.17.3.2 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "[RestrictedFINXMax35Text](#)" on page 1189

16.1.17.3.3 BlockchainAddressOrWallet <BlckChainAdrOrWllt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "[RestrictedFINXMax140Text](#)" on page 1187

16.1.17.3.4 ProcessingIdentification <PrcgId>

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: "[RestrictedFINXMax16Text](#)" on page 1187

16.1.17.3.5 AlternateIdentification <AltrnId>

Presence: [0..*]

Definition: Alternate identification for a party.

AlternateIdentification <AltrnId> contains the following elements (see "[AlternatePartyIdentification9](#)" on page 951 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]			952
{Or	Code <Cd>	[1..1]	CodeSet		952
Or}	Proprietary <Prtry>	[1..1]	±		952
	Country <Ctry>	[1..1]	CodeSet	C3	953
	AlternateIdentification <AltrnId>	[1..1]	Text		953

16.1.17.4 PartyIdentificationAndAccount175

Definition: Provides information about identification and account of the party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			940
{Or	BICFI <BICFI>	[1..1]	IdentifierSet	C2	941
Or	NameAndAddress <NmAndAdr>	[1..1]	±		941
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		941
	CashAccount <CshAcct>	[0..1]	±		941
	ProcessingIdentification <PrcgId>	[0..1]	Text		942
	AlternateIdentification <AltrnId>	[0..1]	±		942

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

16.1.17.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following **PartyIdentification147Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICFI <BICFI>	[1..1]	IdentifierSet	C2	941
Or	NameAndAddress <NmAndAdr>	[1..1]	±		941
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		941

16.1.17.4.1.1 BICFI <BICFI>*Presence:* [1..1]*Definition:* Code allocated to a financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".*Impacted by:* C2 "BICFI"*Datatype:* "BICFIDec2014Identifier" on page 1178**Constraints**

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

16.1.17.4.1.2 NameAndAddress <NmAndAdr>*Presence:* [1..1]*Definition:* Name by which a party is known and which is usually used to identify that party.**NameAndAddress <NmAndAdr>** contains the following elements (see "NameAndAddress12" on page 959 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		959

16.1.17.4.1.3 ProprietaryIdentification <PrtryId>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification84" on page 806 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		807
	Issuer <Issr>	[1..1]	Text		807
	SchemeName <SchmeNm>	[0..1]	Text		807

16.1.17.4.2 CashAccount <CshAcct>*Presence:* [0..1]*Definition:* Account in which cash is maintained.

CashAccount <CshAcct> contains one of the following elements (see "CashAccountIdentification6Choice" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	731
Or}	Proprietary <Prtry>	[1..1]	Text		732

16.1.17.4.3 ProcessingIdentification <Prcgld>

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: "RestrictedFINXMax16Text" on page 1187

16.1.17.4.4 Alternateldentification <Altrnld>

Presence: [0..1]

Definition: Alternate identification for a party.

Alternateldentification <Altrnld> contains the following elements (see "AlternatePartyIdentification9" on page 951 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]			952
{Or	Code <Cd>	[1..1]	CodeSet		952
Or}	Proprietary <Prtry>	[1..1]	±		952
	Country <Ctry>	[1..1]	CodeSet	C3	953
	Alternateldentification <Altrnld>	[1..1]	Text		953

16.1.17.5 PartyIdentificationAndAccount174

Definition: Provides information about identification and account of the party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		943
	CashAccount <CshAcct>	[0..1]	±		943
	ProcessingIdentification <Prcgld>	[0..1]	Text		943
	Alternateldentification <Altrnld>	[0..1]	±		943

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

16.1.17.5.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following elements (see "[PartyIdentification137Choice](#)" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

16.1.17.5.2 CashAccount <CshAcct>

Presence: [0..1]

Definition: Account in which cash is maintained.

CashAccount <CshAcct> contains one of the following elements (see "[CashAccountIdentification6Choice](#)" on page 731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C17	731
Or}	Proprietary <Prtry>	[1..1]	Text		732

16.1.17.5.3 ProcessingIdentification <PrcgId>

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: "[RestrictedFINXMax16Text](#)" on page 1187

16.1.17.5.4 AlternateIdentification <AltrnId>

Presence: [0..1]

Definition: Alternate identification for a party.

AlternateIdentification <AltrnId> contains the following elements (see "[AlternatePartyIdentification9](#)" on page 951 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]			952
{Or	Code <Cd>	[1..1]	CodeSet		952
Or}	Proprietary <Prtry>	[1..1]	±		952
	Country <Ctry>	[1..1]	CodeSet	C3	953
	AlternateIdentification <AltrnId>	[1..1]	Text		953

16.1.17.6 PartyIdentification151Choice

Definition: Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	944
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		944
Or	NameAndAddress <NmAndAdr>	[1..1]	±		945
Or}	LEI <LEI>	[1..1]	IdentifierSet		945

16.1.17.6.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: [C1 "AnyBIC"](#)

Datatype: "[AnyBICDec2014Identifier](#)" on page 1178

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.17.6.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification84](#)" on page 806 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		807
	Issuer <Issr>	[1..1]	Text		807
	SchemeName <SchmeNm>	[0..1]	Text		807

16.1.17.6.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of a party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress12](#)" on page 959 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		959

16.1.17.6.4 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identification of the party.

Datatype: "[LEIIdentifier](#)" on page 1180

16.1.17.7 PartyIdentification136Choice

Definition: Choice of identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	945
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		946

16.1.17.7.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "[AnyBICDec2014Identifier](#)" on page 1178

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.17.7.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification84](#)" on page 806 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		807
	Issuer <Issr>	[1..1]	Text		807
	SchemeName <SchmeNm>	[0..1]	Text		807

16.1.17.8 PartyIdentification137Choice

Definition: Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	946
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		946
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		947

16.1.17.8.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1178

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.17.8.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification84](#)" on page 806 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		807
	Issuer <Issr>	[1..1]	Text		807
	SchemeName <SchmeNm>	[0..1]	Text		807

16.1.17.8.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of a party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress12](#)" on page 959 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		959

16.1.17.9 Party38Choice

Definition: Nature or use of the account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		947
Or}	PrivateIdentification <PrvtId>	[1..1]	±		948

16.1.17.9.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "OrganisationIdentification29" on page 934 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	934
	LEI <LEI>	[0..1]	IdentifierSet		934
	Other <Othr>	[0..*]			934
	Identification </d>	[1..1]	Text		935
	SchemeName <SchmeNm>	[0..1]			935
{Or	Code <Cd>	[1..1]	CodeSet		935
Or}	Proprietary <Prtry>	[1..1]	Text		935
	Issuer </ssr>	[0..1]	Text		935

16.1.17.9.2 PrivateIdentification <PrvtId>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person, for example a passport.

PrivateIdentification <PrvtId> contains the following elements (see "PersonIdentification13" on page 953 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			954
	BirthDate <BirthDt>	[1..1]	Date		954
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		954
	CityOfBirth <CityOfBirth>	[1..1]	Text		954
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	955
	Other <Othr>	[0..*]			955
	Identification </d>	[1..1]	Text		955
	SchemeName <SchmeNm>	[0..1]			955
{Or	Code <Cd>	[1..1]	CodeSet		956
Or}	Proprietary <Prtry>	[1..1]	Text		956
	Issuer </ssr>	[0..1]	Text		956

16.1.17.10 PartyIdentification135

Definition: Specifies the identification of a person or an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		949
	PostalAddress <PstlAdr>	[0..1]	±		949
	Identification <Id>	[0..1]	±		950
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	950
	ContactDetails <CtctDtls>	[0..1]	±		951

16.1.17.10.1 Name <Nm>

Presence: [0..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max140Text" on page 1183

16.1.17.10.2 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstIAdr> contains the following elements (see "[PostalAddress24](#)" on page 959 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			960
{Or	Code <Cd>	[1..1]	CodeSet		960
Or}	Proprietary <Prtry>	[1..1]	±		961
	Department <Dept>	[0..1]	Text		961
	SubDepartment <SubDept>	[0..1]	Text		961
	StreetName <StrtNm>	[0..1]	Text		961
	BuildingNumber <BldgNb>	[0..1]	Text		961
	BuildingName <BldgNm>	[0..1]	Text		962
	Floor <Flr>	[0..1]	Text		962
	PostBox <PstBx>	[0..1]	Text		962
	Room <Room>	[0..1]	Text		962
	PostCode <PstCd>	[0..1]	Text		962
	TownName <TwnNm>	[0..1]	Text		962
	TownLocationName <TwnLctnNm>	[0..1]	Text		962
	DistrictName <DstrctNm>	[0..1]	Text		962
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		963
	Country <Ctry>	[0..1]	CodeSet	C3	963
	AddressLine <AdrLine>	[0..7]	Text		963

16.1.17.10.3 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of a party.

Identification <Id> contains one of the following elements (see "[Party38Choice](#)" on page 947 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		947
Or}	PrivateIdentification <PrvtId>	[1..1]	±		948

16.1.17.10.4 CountryOfResidence <CtryOfRes>

Presence: [0..1]

Definition: Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1143

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.17.10.5 ContactDetails <CtctDtls>

Presence: [0..1]

Definition: Set of elements used to indicate how to contact the party.

ContactDetails <CtctDtls> contains the following elements (see "Contact4" on page 956 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		956
	Name <Nm>	[0..1]	Text		957
	PhoneNumber <PhneNb>	[0..1]	Text		957
	MobileNumber <MobNb>	[0..1]	Text		957
	FaxNumber <FaxNb>	[0..1]	Text		957
	EmailAddress <EmailAdr>	[0..1]	Text		957
	EmailPurpose <EmailPurp>	[0..1]	Text		957
	JobTitle <JobTitl>	[0..1]	Text		957
	Responsibility <Rspnsblty>	[0..1]	Text		958
	Department <Dept>	[0..1]	Text		958
	Other <Othr>	[0..*]			958
	ChannelType <ChanlTp>	[1..1]	Text		958
	Identification <Id>	[0..1]	Text		958
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		958

16.1.17.11 AlternatePartyIdentification9

Definition: Alternate identification for a party using an identification type, a country code and a text field.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]			952
{Or	Code <Cd>	[1..1]	CodeSet		952
Or}	Proprietary <Prtry>	[1..1]	±		952
	Country <Ctry>	[1..1]	CodeSet	C3	953
	AlternateIdentification <AltrnId>	[1..1]	Text		953

16.1.17.11.1 IdentificationType <IdTp>

Presence: [1..1]

Definition: Specifies the type of alternate identification of the party identified.

IdentificationType <IdTp> contains one of the following **IdentificationType44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		952
Or}	Proprietary <Prtry>	[1..1]	±		952

16.1.17.11.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification is defined using a code.

Datatype: "TypeOfIdentification1Code" on page 1177

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

16.1.17.11.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification is defined using a data source scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.17.11.2 Country <Ctry>

Presence: [1..1]

Definition: Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

Impacted by: [C3 "Country"](#)

Datatype: ["CountryCode"](#) on page 1143

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.17.11.3 AlternateIdentification <AltrnId>

Presence: [1..1]

Definition: Alternate identification for a party.

Datatype: ["RestrictedFINXMax30Text"](#) on page 1188

16.1.18 Person Identification

16.1.18.1 PersonIdentification13

Definition: Unique and unambiguous way to identify a person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			954
	BirthDate <BirthDt>	[1..1]	Date		954
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		954
	CityOfBirth <CityOfBirth>	[1..1]	Text		954
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	955
	Other <Othr>	[0..*]			955
	Identification <Id>	[1..1]	Text		955
	SchemeName <SchmeNm>	[0..1]			955
{Or	Code <Cd>	[1..1]	CodeSet		956
Or}	Proprietary <Prtry>	[1..1]	Text		956
	Issuer <Issr>	[0..1]	Text		956

16.1.18.1.1 DateAndPlaceOfBirth <DtAndPlcOfBirth>

Presence: [0..1]

Definition: Date and place of birth of a person.

DateAndPlaceOfBirth <DtAndPlcOfBirth> contains the following **DateAndPlaceOfBirth1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		954
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		954
	CityOfBirth <CityOfBirth>	[1..1]	Text		954
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	955

16.1.18.1.1.1 BirthDate <BirthDt>

Presence: [1..1]

Definition: Date on which a person is born.

Datatype: "ISODate" on page 1177

16.1.18.1.1.2 ProvinceOfBirth <PrvcOfBirth>

Presence: [0..1]

Definition: Province where a person was born.

Datatype: "Max35Text" on page 1184

16.1.18.1.1.3 CityOfBirth <CityOfBirth>

Presence: [1..1]

Definition: City where a person was born.

Datatype: "Max35Text" on page 1184

16.1.18.1.1.4 CountryOfBirth <CtryOfBirth>

Presence: [1..1]

Definition: Country where a person was born.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1143

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.18.1.2 Other <Othr>

Presence: [0..*]

Definition: Unique identification of a person, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following **GenericPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		955
	SchemeName <SchmeNm>	[0..1]			955
{Or	Code <Cd>	[1..1]	CodeSet		956
Or}	Proprietary <Prtry>	[1..1]	Text		956
	Issuer <Issr>	[0..1]	Text		956

16.1.18.1.2.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person.

Datatype: "Max35Text" on page 1184

16.1.18.1.2.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **PersonIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		956
Or}	Proprietary <Prtry>	[1..1]	Text		956

16.1.18.1.2.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Name of the identification scheme, in a coded form as published in an external list.*Datatype:* "ExternalPersonIdentification1Code" on page 1149**16.1.18.1.2.2.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Name of the identification scheme, in a free text form.*Datatype:* "Max35Text" on page 1184**16.1.18.1.2.3 Issuer <Issr>***Presence:* [0..1]*Definition:* Entity that assigns the identification.*Datatype:* "Max35Text" on page 1184**16.1.18.2 Contact4***Definition:* Specifies the details of the contact person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		956
	Name <Nm>	[0..1]	Text		957
	PhoneNumber <PhneNb>	[0..1]	Text		957
	MobileNumber <MobNb>	[0..1]	Text		957
	FaxNumber <FaxNb>	[0..1]	Text		957
	EmailAddress <EmailAdr>	[0..1]	Text		957
	EmailPurpose <EmailPurp>	[0..1]	Text		957
	JobTitle <JobTitl>	[0..1]	Text		957
	Responsibility <Rspnsblty>	[0..1]	Text		958
	Department <Dept>	[0..1]	Text		958
	Other <Othr>	[0..*]			958
	ChannelType <ChanlTp>	[1..1]	Text		958
	Identification <Id>	[0..1]	Text		958
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		958

16.1.18.2.1 NamePrefix <NmPrfx>*Presence:* [0..1]*Definition:* Specifies the terms used to formally address a person.*Datatype:* "NamePrefix2Code" on page 1157

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

16.1.18.2.2 Name <Nm>

Presence: [0..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max140Text" on page 1183

16.1.18.2.3 PhoneNumber <PhneNb>

Presence: [0..1]

Definition: Collection of information that identifies a phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 1185

16.1.18.2.4 MobileNumber <MobNb>

Presence: [0..1]

Definition: Collection of information that identifies a mobile phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 1185

16.1.18.2.5 FaxNumber <FaxNb>

Presence: [0..1]

Definition: Collection of information that identifies a FAX number, as defined by telecom services.

Datatype: "PhoneNumber" on page 1185

16.1.18.2.6 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max2048Text" on page 1183

16.1.18.2.7 EmailPurpose <EmailPurp>

Presence: [0..1]

Definition: Purpose for which an email address may be used.

Datatype: "Max35Text" on page 1184

16.1.18.2.8 JobTitle <JobTitl>

Presence: [0..1]

Definition: Title of the function.

Datatype: "Max35Text" on page 1184

16.1.18.2.9 Responsibility <Rspnsblty>

Presence: [0..1]

Definition: Role of a person in an organisation.

Datatype: "Max35Text" on page 1184

16.1.18.2.10 Department <Dept>

Presence: [0..1]

Definition: Identification of a division of a large organisation or building.

Datatype: "Max70Text" on page 1185

16.1.18.2.11 Other <Othr>

Presence: [0..*]

Definition: Contact details in another form.

Other <Othr> contains the following **OtherContact1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChannelType <ChanlTp>	[1..1]	Text		958
	Identification <Id>	[0..1]	Text		958

16.1.18.2.11.1 ChannelType <ChanlTp>

Presence: [1..1]

Definition: Method used to contact the financial institution's contact for the specific tax region.

Datatype: "Max4Text" on page 1184

16.1.18.2.11.2 Identification <Id>

Presence: [0..1]

Definition: Communication value such as phone number or email address.

Datatype: "Max128Text" on page 1183

16.1.18.2.12 PreferredMethod <PrefrdMtd>

Presence: [0..1]

Definition: Preferred method used to reach the contact.

Datatype: "PreferredContactMethod1Code" on page 1166

CodeName	Name	Definition
LETT	Letter	Preferred method used to reach the contact is per letter.
MAIL	Email	Preferred method used to reach the contact is per email.

CodeName	Name	Definition
PHON	Phone	Preferred method used to reach the contact is per phone.
FAXX	Fax	Preferred method used to reach the contact is per fax.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.

16.1.19 Postal Address

16.1.19.1 NameAndAddress12

Definition: Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		959

16.1.19.1.1 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "RestrictedFINXMax140Text" on page 1187

16.1.19.2 PostalAddress24

Definition: Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			960
{Or	Code <Cd>	[1..1]	CodeSet		960
Or}	Proprietary <Prtry>	[1..1]	±		961
	Department <Dept>	[0..1]	Text		961
	SubDepartment <SubDept>	[0..1]	Text		961
	StreetName <StrtNm>	[0..1]	Text		961
	BuildingNumber <BldgNb>	[0..1]	Text		961
	BuildingName <BldgNm>	[0..1]	Text		962
	Floor <Flr>	[0..1]	Text		962
	PostBox <PstBx>	[0..1]	Text		962
	Room <Room>	[0..1]	Text		962
	PostCode <PstCd>	[0..1]	Text		962
	TownName <TwnNm>	[0..1]	Text		962
	TownLocationName <TwnLctnNm>	[0..1]	Text		962
	DistrictName <DstrctNm>	[0..1]	Text		962
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		963
	Country <Ctry>	[0..1]	CodeSet	C3	963
	AddressLine <AdrLine>	[0..7]	Text		963

16.1.19.2.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

AddressType <AdrTp> contains one of the following **AddressType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		960
Or}	Proprietary <Prtry>	[1..1]	±		961

16.1.19.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of address expressed as a code.

Datatype: "AddressType2Code" on page 1101

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.

CodeName	Name	Definition
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

16.1.19.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of address expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 807 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		807
	Issuer <Issr>	[1..1]	Text		807
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.19.2.2 Department <Dept>

Presence: [0..1]

Definition: Identification of a division of a large organisation or building.

Datatype: "[Max70Text](#)" on page 1185

16.1.19.2.3 SubDepartment <SubDept>

Presence: [0..1]

Definition: Identification of a sub-division of a large organisation or building.

Datatype: "[Max70Text](#)" on page 1185

16.1.19.2.4 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "[Max70Text](#)" on page 1185

16.1.19.2.5 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "[Max16Text](#)" on page 1183

16.1.19.2.6 BuildingName <BldgNm>

Presence: [0..1]

Definition: Name of the building or house.

Datatype: "Max35Text" on page 1184

16.1.19.2.7 Floor <Flr>

Presence: [0..1]

Definition: Floor or storey within a building.

Datatype: "Max70Text" on page 1185

16.1.19.2.8 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 1183

16.1.19.2.9 Room <Room>

Presence: [0..1]

Definition: Building room number.

Datatype: "Max70Text" on page 1185

16.1.19.2.10 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 1183

16.1.19.2.11 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 1184

16.1.19.2.12 TownLocationName <TwnLctnNm>

Presence: [0..1]

Definition: Specific location name within the town.

Datatype: "Max35Text" on page 1184

16.1.19.2.13 DistrictName <DstrctNm>

Presence: [0..1]

Definition: Identifies a subdivision within a country sub-division.

Datatype: "Max35Text" on page 1184

16.1.19.2.14 CountrySubDivision <CtrySubDvsn>*Presence:* [0..1]*Definition:* Identifies a subdivision of a country such as state, region, county.*Datatype:* "Max35Text" on page 1184**16.1.19.2.15 Country <Ctry>***Presence:* [0..1]*Definition:* Nation with its own government.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 1143**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.19.2.16 AddressLine <AdrLine>*Presence:* [0..7]*Definition:* Information that locates and identifies a specific address, as defined by postal services, presented in free format text.*Datatype:* "Max70Text" on page 1185**16.1.20 Price****16.1.20.1 AmountPrice4***Definition:* Price expressed as an actual amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		963
	PriceValue <PricVal>	[1..1]	Amount	C1	963

16.1.20.1.1 AmountPriceType <AmtPricTp>*Presence:* [1..1]*Definition:* Type of amount price.*Datatype:* "AmountPriceType2Code" on page 1102

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.

16.1.20.1.2 PriceValue <PricVal>*Presence:* [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.2 PriceFormat68Choice

Definition: Choice between a percentage price or an amount price or an amount price per amount or an amount price per financial instrument quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			964
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		965
	PriceValue <PricVal>	[1..1]	Rate		965
Or	AmountPrice <AmtPric>	[1..1]	±		965
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			965
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		966
	PriceValue <PricVal>	[1..1]	Amount	C1	966
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C13	966
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			967
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		967
	PriceValue <PricVal>	[1..1]	Amount	C1	967
	Amount <Amt>	[1..1]	Amount	C1	967
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		968

16.1.20.2.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		965
	PriceValue <PricVal>	[1..1]	Rate		965

16.1.20.2.1.1 PercentagePriceType <PctgPricTp>*Presence:* [1..1]*Definition:* Specifies the type of percentage price.*Datatype:* "PriceRateType3Code" on page 1166

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.1.20.2.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "PercentageRate" on page 1182**16.1.20.2.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		987
	PriceValue <PricVal>	[1..1]	Amount	C1	987

16.1.20.2.3 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>*Presence:* [1..1]*Definition:* Price expressed as a ratio: amount price per financial instrument quantity.

AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty> contains the following **AmountPricePerFinancialInstrumentQuantity11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		966
	PriceValue <PricVal>	[1..1]	Amount	C1	966
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C13	966

16.1.20.2.3.1 AmountPriceType <AmtPricTp>*Presence:* [1..1]*Definition:* Type of amount price.*Datatype:* "AmountPriceType1Code" on page 1102

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.2.3.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Value of the price.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.2.3.3 FinancialInstrumentQuantity <FinInstrmQty>*Presence:* [1..1]*Definition:* Quantity of financial instrument.*Impacted by:* C13 "DigitalTokenUnitUsageRule"

FinancialInstrumentQuantity <FinInstrmQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1001
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1001
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1002
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1002

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.20.2.4 AmountPricePerAmount <AmtPricPerAmt>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per amount.

AmountPricePerAmount <AmtPricPerAmt> contains the following **AmountPricePerAmount3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		967
	PriceValue <PricVal>	[1..1]	Amount	C1	967
	Amount <Amt>	[1..1]	Amount	C1	967

16.1.20.2.4.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1102

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.2.4.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.2.4.3 Amount <Amt>

Presence: [1..1]

Definition: The amount on which the price is based.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.2.5 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "RestrictedFINDecimalNumber" on page 1181

16.1.20.3 PriceFormat67Choice

Definition: Choice between a percentage price or an amount price or an unspecified price or an amount price per amount or an amount price per financial instrument quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			968
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		969
	PriceValue <PricVal>	[1..1]	Rate		969
Or	AmountPrice <AmtPric>	[1..1]	±		969
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		969
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			970
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		970
	PriceValue <PricVal>	[1..1]	Amount	C1	970
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C13	971
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			971
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		971
	PriceValue <PricVal>	[1..1]	Amount	C1	972
	Amount <Amt>	[1..1]	Amount	C1	972
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		972

16.1.20.3.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		969
	PriceValue <PricVal>	[1..1]	Rate		969

16.1.20.3.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1166

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.1.20.3.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "PercentageRate" on page 1182

16.1.20.3.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		987
	PriceValue <PricVal>	[1..1]	Amount	C1	987

16.1.20.3.3 NotSpecifiedPrice <NotSpdfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType9Code" on page 1167

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.1.20.3.4 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per financial instrument quantity.

AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty> contains the following **AmountPricePerFinancialInstrumentQuantity11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		970
	PriceValue <PricVal>	[1..1]	Amount	C1	970
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C13	971

16.1.20.3.4.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1102

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.3.4.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.3.4.3 FinancialInstrumentQuantity <FinInstrmQty>

Presence: [1..1]

Definition: Quantity of financial instrument.

Impacted by: C13 "DigitalTokenUnitUsageRule"

FinancialInstrumentQuantity <FinInstrmQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1001
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1001
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1002
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1002

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.20.3.5 AmountPricePerAmount <AmtPricPerAmt>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per amount.

AmountPricePerAmount <AmtPricPerAmt> contains the following **AmountPricePerAmount3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		971
	PriceValue <PricVal>	[1..1]	Amount	C1	972
	Amount <Amt>	[1..1]	Amount	C1	972

16.1.20.3.5.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1102

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.

CodeName	Name	Definition
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.3.5.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.3.5.3 Amount <Amt>

Presence: [1..1]

Definition: The amount on which the price is based.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.3.6 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "RestrictedFINDecimalNumber" on page 1181

16.1.20.4 PriceFormat70Choice

Definition: Choice between a percentage price or an amount price or an unspecified price or an amount price per amount or an amount price per financial instrument quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			973
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		973
	PriceValue <PricVal>	[1..1]	Rate		974
Or	AmountPrice <AmtPric>	[1..1]	±		974
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		974
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			974
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		975
	PriceValue <PricVal>	[1..1]	Amount	C1	975
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C13	975
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			976
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		976
	PriceValue <PricVal>	[1..1]	Amount	C1	976
	Amount <Amt>	[1..1]	Amount	C1	977
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		977

16.1.20.4.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		973
	PriceValue <PricVal>	[1..1]	Rate		974

16.1.20.4.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1166

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.

CodeName	Name	Definition
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.1.20.4.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "PercentageRate" on page 1182

16.1.20.4.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		987
	PriceValue <PricVal>	[1..1]	Amount	C1	987

16.1.20.4.3 NotSpecifiedPrice <NotSpcfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType8Code" on page 1167

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.
NILP	NilPayment	Price will not be paid.

16.1.20.4.4 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per financial instrument quantity.

AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty> contains the following **AmountPricePerFinancialInstrumentQuantity11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		975
	PriceValue <PricVal>	[1..1]	Amount	C1	975
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C13	975

16.1.20.4.4.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1102

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.4.4.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.4.4.3 FinancialInstrumentQuantity <FinInstrmQty>

Presence: [1..1]

Definition: Quantity of financial instrument.

Impacted by: C13 "DigitalTokenUnitUsageRule"

FinancialInstrumentQuantity <FinInstrmQty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1001
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1001
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1002
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1002

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.20.4.5 AmountPricePerAmount <AmtPricPerAmt>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per amount.

AmountPricePerAmount <AmtPricPerAmt> contains the following **AmountPricePerAmount3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		976
	PriceValue <PricVal>	[1..1]	Amount	C1	976
	Amount <Amt>	[1..1]	Amount	C1	977

16.1.20.4.5.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1102

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.4.5.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.4.5.3 Amount <Amt>

Presence: [1..1]

Definition: The amount on which the price is based.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.4.6 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "RestrictedFINDecimalNumber" on page 1181

16.1.20.5 PriceFormat62Choice

Definition: Choice between a percentage price or an amount price or an unspecified price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			977
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		978
	PriceValue <PricVal>	[1..1]	Amount	C1	978
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		978

16.1.20.5.1 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following **AmountPrice7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		978
	PriceValue <PricVal>	[1..1]	Amount	C1	978

16.1.20.5.1.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType3Code" on page 1102

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
PLOT	Lot	Price expressed as an amount of money per lot.

16.1.20.5.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.20.5.2 NotSpecifiedPrice <NotSpcfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1167

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.1.20.6 PriceFormat55Choice

Definition: Choice between a percentage price or an amount price or index points.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			979
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		979
	PriceValue <PricVal>	[1..1]	Rate		979
Or	AmountPrice <AmtPric>	[1..1]	±		979
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		980

16.1.20.6.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		979
	PriceValue <PricVal>	[1..1]	Rate		979

16.1.20.6.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1166

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.1.20.6.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "PercentageRate" on page 1182

16.1.20.6.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "[AmountPrice5](#)" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		987
	PriceValue <PricVal>	[1..1]	Amount	C1	987

16.1.20.6.3 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed as an index points.

Datatype: "[RestrictedFINDecimalNumber](#)" on page 1181

16.1.20.7 PriceFormat52Choice

Definition: Choice between a percentage price or an amount price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			980
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		980
	PriceValue <PricVal>	[1..1]	Rate		981
Or}	AmountPrice <AmtPric>	[1..1]	±		981

16.1.20.7.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		980
	PriceValue <PricVal>	[1..1]	Rate		981

16.1.20.7.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "[PriceRateType3Code](#)" on page 1166

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for

CodeName	Name	Definition
		example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.1.20.7.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "PercentageRate" on page 1182

16.1.20.7.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		987
	PriceValue <PricVal>	[1..1]	Amount	C1	987

16.1.20.8 IndicativeOrMarketPrice11Choice

Definition: Choice between an indicative price or a market price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]	±		981
Or}	MarketPrice <MktPric>	[1..1]	±		982

16.1.20.8.1 IndicativePrice <IndctvPric>

Presence: [1..1]

Definition: Estimated price, for example, for valuation purposes.

IndicativePrice <IndctvPric> contains one of the following elements (see "PriceFormat57Choice" on page 983 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			984
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		984
	PriceValue <PricVal>	[1..1]	Rate		984
Or	AmountPrice <AmtPric>	[1..1]	±		984
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		985

16.1.20.8.2 MarketPrice <MktPric>*Presence:* [1..1]*Definition:* Last reported/known price of a financial instrument in a market.**MarketPrice <MktPric>** contains one of the following elements (see ["PriceFormat57Choice"](#) on page 983 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			984
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		984
	PriceValue <PricVal>	[1..1]	Rate		984
Or	AmountPrice <AmtPric>	[1..1]	±		984
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		985

16.1.20.9 IndicativeOrMarketPrice9Choice*Definition:* Choice between an indicative price or a market price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]	±		982
Or}	MarketPrice <MktPric>	[1..1]	±		982

16.1.20.9.1 IndicativePrice <IndctvPric>*Presence:* [1..1]*Definition:* Estimated price, for example, for valuation purposes.**IndicativePrice <IndctvPric>** contains one of the following elements (see ["PriceFormat52Choice"](#) on page 980 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			980
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		980
	PriceValue <PricVal>	[1..1]	Rate		981
Or}	AmountPrice <AmtPric>	[1..1]	±		981

16.1.20.9.2 MarketPrice <MktPric>*Presence:* [1..1]*Definition:* Last reported/known price of a financial instrument in a market.

MarketPrice <MktPric> contains one of the following elements (see "PriceFormat52Choice" on page 980 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			980
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		980
	PriceValue <PricVal>	[1..1]	Rate		981
Or}	AmountPrice <AmtPric>	[1..1]	±		981

16.1.20.10 PriceFormat58Choice

Definition: Choice between a percentage price or an amount price or an unspecified price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]	±		983
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		983

16.1.20.10.1 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice4" on page 963 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		963
	PriceValue <PricVal>	[1..1]	Amount	C1	963

16.1.20.10.2 NotSpecifiedPrice <NotSpctdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1167

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.1.20.11 PriceFormat57Choice

Definition: Choice between a percentage price or an amount price or an unspecified price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			984
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		984
	PriceValue <PricVal>	[1..1]	Rate		984
Or	AmountPrice <AmtPric>	[1..1]	±		984
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		985

16.1.20.11.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		984
	PriceValue <PricVal>	[1..1]	Rate		984

16.1.20.11.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1166

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.1.20.11.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "PercentageRate" on page 1182

16.1.20.11.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		987
	PriceValue <PricVal>	[1..1]	Amount	C1	987

16.1.20.11.3 NotSpecifiedPrice <NotSpcfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1167

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.1.20.12 PriceFormat59Choice

Definition: Choice between a percentage price or an amount price or an unspecified price or index points.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			985
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		985
	PriceValue <PricVal>	[1..1]	Rate		986
Or	AmountPrice <AmtPric>	[1..1]	±		986
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		986
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		986

16.1.20.12.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		985
	PriceValue <PricVal>	[1..1]	Rate		986

16.1.20.12.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1166

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.1.20.12.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "PercentageRate" on page 1182

16.1.20.12.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice5" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		987
	PriceValue <PricVal>	[1..1]	Amount	C1	987

16.1.20.12.3 NotSpecifiedPrice <NotSpcfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1167

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.1.20.12.4 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed as an index points.

Datatype: "RestrictedFINDecimalNumber" on page 1181

16.1.20.13 AmountPrice5

Definition: Price expressed as an amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		987
	PriceValue <PricVal>	[1..1]	Amount	C1	987

16.1.20.13.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1102

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.1.20.13.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.21 Quantity

16.1.21.1 FinancialInstrumentQuantity33Choice

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		988
Or	FaceAmount <FaceAmt>	[1..1]	Amount		988
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		988
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		988

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.1.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "DecimalNumber" on page 1180

16.1.21.1.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 1094

16.1.21.1.3 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "ImpliedCurrencyAndAmount" on page 1094

16.1.21.1.4 DigitalTokenUnit <DgtlTknUnit>

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: "Max30DecimalNumber" on page 1181

16.1.21.2 SignedQuantityFormat12

Definition: Signed quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	QuantityChoice <QtyChc>	[1..1]	±		989

16.1.21.2.1 ShortLongPosition <ShrtLngPos>*Presence:* [1..1]*Definition:* Sign of the quantity of security.*Datatype:* "ShortLong1Code" on page 1176

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

16.1.21.2.2 QuantityChoice <QtyChc>*Presence:* [1..1]*Definition:* Choice between different quantity of security formats.

QuantityChoice <QtyChc> contains one of the following elements (see "Quantity53Choice" on page 997 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C13	997
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			998
	Quantity <Qty>	[1..1]	Quantity		998
	QuantityType <QtyTp>	[1..1]	Text		998
	Issuer <Issr>	[1..1]	Text		998
	SchemeName <SchmeNm>	[0..1]	Text		999

16.1.21.3 SignedQuantityFormat13*Definition:* Signed quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

16.1.21.3.1 ShortLongPosition <ShrtLngPos>*Presence:* [1..1]*Definition:* Sign of the quantity of security.*Datatype:* "ShortLong1Code" on page 1176

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.

CodeName	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.

16.1.21.3.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of security.

Impacted by: C13 "DigitalTokenUnitUsageRule"

Quantity <Qty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1001
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1001
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1002
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1002

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.4 SignedQuantityFormat11

Definition: Signed quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		990
	QuantityChoice <QtyChc>	[1..1]			991
{Or	Quantity <Qty>	[1..1]	±	C15	991
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			991
	Quantity <Qty>	[1..1]	Quantity		992
	QuantityType <QtyTp>	[1..1]	Text		992
	Issuer <Issr>	[1..1]	Text		992
	SchemeName <SchmeNm>	[0..1]	Text		992

16.1.21.4.1 ShortLongPosition <ShrtLngPos>

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 1176

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

16.1.21.4.2 QuantityChoice <QtyChc>

Presence: [1..1]

Definition: Choice between different quantity of security formats.

QuantityChoice <QtyChc> contains one of the following **Quantity48Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C15	991
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			991
	Quantity <Qty>	[1..1]	Quantity		992
	QuantityType <QtyTp>	[1..1]	Text		992
	Issuer </ssr>	[1..1]	Text		992
	SchemeName <SchmeNm>	[0..1]	Text		992

16.1.21.4.2.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of security.

Impacted by: C15 "DigitalTokenUnitUsageRule"

Quantity <Qty> contains one of the following elements (see "[FinancialInstrumentQuantity33Choice](#)" on page 987 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		988
Or	FaceAmount <FaceAmt>	[1..1]	Amount		988
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		988
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		988

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.4.2.2 ProprietaryQuantity <PrtryQty>

Presence: [1..1]

Definition: Proprietary quantity of security format.

ProprietaryQuantity <PrtryQty> contains the following **ProprietaryQuantity8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	Quantity		992
	QuantityType <QtyTp>	[1..1]	Text		992
	Issuer <Issr>	[1..1]	Text		992
	SchemeName <SchmeNm>	[0..1]	Text		992

16.1.21.4.2.2.1 Quantity <Qty>

Presence: [1..1]

Definition: Provides the proprietary quantity with a decimal number.

Datatype: "DecimalNumber" on page 1180

16.1.21.4.2.2.2 QuantityType <QtyTp>

Presence: [1..1]

Definition: Identifies the type of proprietary quantity reported.

Datatype: "Exact4AlphaNumericText" on page 1183

16.1.21.4.2.2.3 Issuer <Issr>

Presence: [1..1]

Definition: Provides information related to issuer in free format.

Datatype: "Max35Text" on page 1184

16.1.21.4.2.2.4 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max35Text" on page 1184

16.1.21.5 Quantity80Choice

Definition: Choice between different quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityChoice <QtyChc>	[1..1]	±		993
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			993
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		993
	Quantity <Qty>	[1..1]	Quantity		993
	QuantityType <QtyTp>	[1..1]	Text		994
	Issuer <Issr>	[1..1]	Text		994
	SchemeName <SchmeNm>	[0..1]	Text		994

16.1.21.5.1 QuantityChoice <QtyChc>*Presence:* [1..1]*Definition:* Choice between different quantity of security formats.**QuantityChoice <QtyChc>** contains one of the following elements (see "[Quantity57Choice](#)" on page 994 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]			994
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		994
	FaceAmount <FaceAmt>	[1..1]	Amount		995
	AmortisedValue <AmtsdVal>	[1..1]	Amount		995
Or}	SignedQuantity <SgndQty>	[1..1]	±		995

16.1.21.5.2 ProprietaryQuantity <PrtryQty>*Presence:* [1..1]*Definition:* Proprietary quantity of security format.**ProprietaryQuantity <PrtryQty>** contains the following **ProprietaryQuantity10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		993
	Quantity <Qty>	[1..1]	Quantity		993
	QuantityType <QtyTp>	[1..1]	Text		994
	Issuer <Issr>	[1..1]	Text		994
	SchemeName <SchmeNm>	[0..1]	Text		994

16.1.21.5.2.1 ShortLongPosition <ShrtLngPos>*Presence:* [0..1]*Definition:* Sign of the quantity of security.*Datatype:* "[ShortLong1Code](#)" on page 1176

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

16.1.21.5.2.2 Quantity <Qty>*Presence:* [1..1]*Definition:* Provides the proprietary quantity with a decimal number.*Datatype:* "[RestrictedFINDecimalNumber](#)" on page 1181

16.1.21.5.2.3 QuantityType <QtyTp>*Presence:* [1..1]*Definition:* Identifies the type of proprietary quantity reported.*Datatype:* "Exact4AlphaNumericText" on page 1183**16.1.21.5.2.4 Issuer <Issr>***Presence:* [1..1]*Definition:* Provides information related to issuer in free format.*Datatype:* "Max4AlphaNumericText" on page 1184**16.1.21.5.2.5 SchemeName <SchmeNm>***Presence:* [0..1]*Definition:* Name of the identification scheme.*Datatype:* "Max4AlphaNumericText" on page 1184**16.1.21.6 Quantity57Choice***Definition:* Choice between different quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]			994
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		994
	FaceAmount <FaceAmt>	[1..1]	Amount		995
	AmortisedValue <AmtsdVal>	[1..1]	Amount		995
Or}	SignedQuantity <SgndQty>	[1..1]	±		995

16.1.21.6.1 OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>*Presence:* [1..1]*Definition:* Signed face amount and amortised value of security.

OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt> contains the following **OriginalAndCurrentQuantities7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		994
	FaceAmount <FaceAmt>	[1..1]	Amount		995
	AmortisedValue <AmtsdVal>	[1..1]	Amount		995

16.1.21.6.1.1 ShortLongPosition <ShrtLngPos>*Presence:* [1..1]*Definition:* Sign of the quantity of security.*Datatype:* "ShortLong1Code" on page 1176

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

16.1.21.6.1.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1095

16.1.21.6.1.3 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1095

16.1.21.6.2 SignedQuantity <SgndQty>

Presence: [1..1]

Definition: Signed quantity of security.

SignedQuantity <SgndQty> contains the following elements (see "SignedQuantityFormat13" on page 989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		989
	Quantity <Qty>	[1..1]	±	C13	990

16.1.21.7 Quantity55Choice

Definition: Choice between different formats of securities quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		995
Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]	±		996
Or}	Quantity <Qty>	[1..1]	±	C13	996

16.1.21.7.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify quantity of a financial instrument.

Datatype: "Quantity1Code" on page 1169

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

16.1.21.7.2 OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>

Presence: [1..1]

Definition: Face amount and amortised value of security.

OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt> contains the following elements (see "OriginalAndCurrentQuantities4" on page 1066 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FaceAmount <FaceAmt>	[1..1]	Amount		1066
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1066

16.1.21.7.3 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of financial instrument in units, original face amount or current face amount.

Impacted by: C13 "DigitalTokenUnitUsageRule"

Quantity <Qty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1001
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1001
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1002
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1002

Constraints

- DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.8 Quantity54Choice

Definition: Choice of format for the quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C13	996
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		997

16.1.21.8.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of financial instrument in units, original face amount or current face amount.

Impacted by: C13 "DigitalTokenUnitUsageRule"

Quantity <Qty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1001
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1001
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1002
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1002

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.8.2 OriginalAndCurrentFace <OrgnlAndCurFace>

Presence: [1..1]

Definition: Original and current value of an asset-back instrument.

OriginalAndCurrentFace <OrgnlAndCurFace> contains the following elements (see "OriginalAndCurrentQuantities4" on page 1066 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FaceAmount <FaceAmt>	[1..1]	Amount		1066
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1066

16.1.21.9 Quantity53Choice

Definition: Choice between different quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C13	997
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			998
	Quantity <Qty>	[1..1]	Quantity		998
	QuantityType <QtyTp>	[1..1]	Text		998
	Issuer <Issr>	[1..1]	Text		998
	SchemeName <SchmeNm>	[0..1]	Text		999

16.1.21.9.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of security.

Impacted by: C13 "DigitalTokenUnitUsageRule"

Quantity <Qty> contains one of the following elements (see "FinancialInstrumentQuantity36Choice" on page 1001 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1001
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1001
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1002
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1002

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.9.2 ProprietaryQuantity <PrtryQty>

Presence: [1..1]

Definition: Proprietary quantity of security format.

ProprietaryQuantity <PrtryQty> contains the following **ProprietaryQuantity9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	Quantity		998
	QuantityType <QtyTp>	[1..1]	Text		998
	Issuer <Issr>	[1..1]	Text		998
	SchemeName <SchmeNm>	[0..1]	Text		999

16.1.21.9.2.1 Quantity <Qty>

Presence: [1..1]

Definition: Provides the proprietary quantity with a decimal number.

Datatype: "RestrictedFINDecimalNumber" on page 1181

16.1.21.9.2.2 QuantityType <QtyTp>

Presence: [1..1]

Definition: Identifies the type of proprietary quantity reported.

Datatype: "Exact4AlphaNumericText" on page 1183

16.1.21.9.2.3 Issuer <Issr>

Presence: [1..1]

Definition: Provides information related to issuer in free format.

Datatype: "Max4AlphaNumericText" on page 1184

16.1.21.9.2.4 SchemeName <SchmeNm>*Presence:* [0..1]*Definition:* Name of the identification scheme.*Datatype:* "Max4AlphaNumericText" on page 1184**16.1.21.10 FinancialInstrumentQuantity44Choice***Definition:* Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		999
Or	FaceAmount <FaceAmt>	[1..1]	Amount		999
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		999
Or	Code <Cd>	[1..1]	CodeSet		999
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1000

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.10.1 Unit <Unit>*Presence:* [1..1]*Definition:* Quantity expressed as a number, for example, a number of shares.*Datatype:* "RestrictedFINDecimalNumber" on page 1181**16.1.21.10.2 FaceAmount <FaceAmt>***Presence:* [1..1]*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.*Datatype:* "RestrictedFINImpliedCurrencyAndAmount" on page 1095**16.1.21.10.3 AmortisedValue <AmtsdVal>***Presence:* [1..1]*Definition:* Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.*Datatype:* "RestrictedFINImpliedCurrencyAndAmount" on page 1095**16.1.21.10.4 Code <Cd>***Presence:* [1..1]*Definition:* Quantity expressed as a code.*Datatype:* "Quantity4Code" on page 1169

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.
ANYA	AnyAndAll	Instruction applies to the entire eligible balance of underlying securities.

16.1.21.10.5 DigitalTokenUnit <DgtlTknUnit>

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: "Max30DecimalNumber" on page 1181

16.1.21.11 FinancialInstrumentQuantity43Choice

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1000
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1000
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1000
Or	Code <Cd>	[1..1]	CodeSet		1001
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1001

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.11.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "RestrictedFINDecimalNumber" on page 1181

16.1.21.11.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1095

16.1.21.11.3 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1095

16.1.21.11.4 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity5Code" on page 1169

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.

16.1.21.11.5 DigitalTokenUnit <DgtlTknUnit>

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: "Max30DecimalNumber" on page 1181

16.1.21.12 FinancialInstrumentQuantity36Choice

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1001
Or	FaceAmount <FaceAmt>	[1..1]	Amount		1001
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		1002
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		1002

Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

16.1.21.12.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "RestrictedFINDecimalNumber" on page 1181

16.1.21.12.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1095

16.1.21.12.3 AmortisedValue <AmtsdVal>*Presence:* [1..1]*Definition:* Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.*Datatype:* "RestrictedFINImpliedCurrencyAndAmount" on page 1095**16.1.21.12.4 DigitalTokenUnit <DgtlTknUnit>***Presence:* [1..1]*Definition:* Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.*Datatype:* "Max30DecimalNumber" on page 1181**16.1.22 Rate****16.1.22.1 RateFormat3Choice***Definition:* Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1002
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1002

16.1.22.1.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value is expressed as a rate.*Datatype:* "PercentageRate" on page 1182**16.1.22.1.2 NotSpecifiedRate <NotSpcfdRate>***Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateType5Code" on page 1170

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.2 NetDividendRateFormat42Choice*Definition:* Choice between different formats to express a net dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1003
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1003
	Amount <Amt>	[1..1]	Amount	C1	1004
	RateStatus <RateSts>	[1..1]	CodeSet		1004
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1004
	RateType <RateTp>	[1..1]			1004
{Or	Code <Cd>	[1..1]	CodeSet		1005
Or}	Proprietary <Prtry>	[1..1]	±		1005
	Amount <Amt>	[1..1]	Amount	C1	1006
	RateStatus <RateSts>	[0..1]		C100	1006
{Or	Code <Cd>	[1..1]	CodeSet		1006
Or}	Proprietary <Prtry>	[1..1]	±		1007

16.1.22.2.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.2.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1004
	RateStatus <RateSts>	[1..1]	CodeSet		1004

16.1.22.2.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.2.2.2 RateStatus <RateSts>*Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1169

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.2.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Specifies different formats for the net dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus62** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1004
{Or	Code <Cd>	[1..1]	CodeSet		1005
Or}	Proprietary <Prtry>	[1..1]	±		1005
	Amount <Amt>	[1..1]	Amount	C1	1006
	RateStatus <RateSts>	[0..1]		C100	1006
{Or	Code <Cd>	[1..1]	CodeSet		1006
Or}	Proprietary <Prtry>	[1..1]	±		1007

16.1.22.2.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType83Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1005
Or}	Proprietary <Prtry>	[1..1]	±		1005

16.1.22.2.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType7Code" on page 1158

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.

16.1.22.2.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.22.2.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.2.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C100 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1006
Or}	Proprietary <Prtry>	[1..1]	±		1007

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.2.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "[RateStatus1Code](#)" on page 1169

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.2.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.22.3 RateAndAmountFormat54Choice

Definition: Choice of format between a rate or a deemed rate type and rate or an amount or a unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1008
Or	Amount <Amt>	[1..1]	Amount	C1	1008
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1008
	RateType <RateTp>	[1..1]			1008
{Or	Code <Cd>	[1..1]	CodeSet		1009
Or}	Proprietary <Prtry>	[1..1]	±		1009
	Amount <Amt>	[1..1]	Amount	C1	1009
	RateStatus <RateSts>	[0..1]		C100	1010
{Or	Code <Cd>	[1..1]	CodeSet		1010
Or}	Proprietary <Prtry>	[1..1]	±		1010
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1010
	RateType <RateTp>	[1..1]			1011
{Or	Code <Cd>	[1..1]	CodeSet		1011
Or}	Proprietary <Prtry>	[1..1]	±		1011
	Rate <Rate>	[1..1]	Rate		1012

16.1.22.3.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "PercentageRate" on page 1182**16.1.22.3.2 Amount <Amt>***Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.3.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Value is expressed as a rate type and an amount.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus54** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1008
{Or	Code <Cd>	[1..1]	CodeSet		1009
Or}	Proprietary <Prtry>	[1..1]	±		1009
	Amount <Amt>	[1..1]	Amount	C1	1009
	RateStatus <RateSts>	[0..1]		C100	1010
{Or	Code <Cd>	[1..1]	CodeSet		1010
Or}	Proprietary <Prtry>	[1..1]	±		1010

16.1.22.3.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **DeemedRateType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1009
Or}	Proprietary <Prtry>	[1..1]	±		1009

16.1.22.3.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: "DeemedRateType1Code" on page 1145

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

16.1.22.3.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of deemed rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.22.3.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.3.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: C100 "RateStatusGuideline"

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1010
Or}	Proprietary <Prtry>	[1..1]	±		1010

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.3.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1169

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.3.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.22.3.4 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1011
{Or	Code <Cd>	[1..1]	CodeSet		1011
Or}	Proprietary <Prtry>	[1..1]	±		1011
	Rate <Rate>	[1..1]	Rate		1012

16.1.22.3.4.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **DeemedRateType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1011
Or}	Proprietary <Prtry>	[1..1]	±		1011

16.1.22.3.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: "DeemedRateType1Code" on page 1145

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

16.1.22.3.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of deemed rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.22.3.4.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[PercentageRate](#)" on page 1182

16.1.22.4 GrossDividendRateFormat40Choice

Definition: Choice of format to express a gross dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1012
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1013
	Amount <Amt>	[1..1]	Amount	C1	1013
	RateStatus <RateSts>	[1..1]	CodeSet		1013
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1013
	RateType <RateTp>	[1..1]			1014
{Or	Code <Cd>	[1..1]	CodeSet		1014
Or}	Proprietary <Prtry>	[1..1]	±		1015
	Amount <Amt>	[1..1]	Amount	C1	1015
	RateStatus <RateSts>	[0..1]		C100	1016
{Or	Code <Cd>	[1..1]	CodeSet		1016
Or}	Proprietary <Prtry>	[1..1]	±		1016

16.1.22.4.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.4.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1013
	RateStatus <RateSts>	[1..1]	CodeSet		1013

16.1.22.4.2.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.4.2.2 RateStatus <RateSts>

Presence: [1..1]

Definition: Value expressed as a rate status.

Datatype: "RateStatus1Code" on page 1169

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.4.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for the gross dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus61** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1014
{Or	Code <Cd>	[1..1]	CodeSet		1014
Or}	Proprietary <Prtry>	[1..1]	±		1015
	Amount <Amt>	[1..1]	Amount	C1	1015
	RateStatus <RateSts>	[0..1]		C100	1016
{Or	Code <Cd>	[1..1]	CodeSet		1016
Or}	Proprietary <Prtry>	[1..1]	±		1016

16.1.22.4.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType82Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1014
Or}	Proprietary <Prtry>	[1..1]	±		1015

16.1.22.4.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType7Code" on page 1151

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.

CodeName	Name	Definition
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

16.1.22.4.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.22.4.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.4.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: C100 "RateStatusGuideline"

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1016
Or}	Proprietary <Prtry>	[1..1]	±		1016

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.4.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1169

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.4.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.22.5 RateFormat23Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1017
Or}	Amount <Amt>	[1..1]	Amount	C1	1017

16.1.22.5.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "PercentageRate" on page 1182

16.1.22.5.2 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.6 NetDividendRateFormat41Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1018
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1018
	Amount <Amt>	[1..1]	Amount	C1	1019
	RateStatus <RateSts>	[1..1]	CodeSet		1019
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1019
	RateType <RateTp>	[1..1]			1019
{Or	Code <Cd>	[1..1]	CodeSet		1020
Or}	Proprietary <Prtry>	[1..1]	±		1020
	Amount <Amt>	[1..1]	Amount	C1	1021
	RateStatus <RateSts>	[0..1]		C100	1021
{Or	Code <Cd>	[1..1]	CodeSet		1021
Or}	Proprietary <Prtry>	[1..1]	±		1022

16.1.22.6.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.6.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1019
	RateStatus <RateSts>	[1..1]	CodeSet		1019

16.1.22.6.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.6.2.2 RateStatus <RateSts>*Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1169

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.6.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Specifies different formats for the net dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus60** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1019
{Or	Code <Cd>	[1..1]	CodeSet		1020
Or}	Proprietary <Prtry>	[1..1]	±		1020
	Amount <Amt>	[1..1]	Amount	C1	1021
	RateStatus <RateSts>	[0..1]		C100	1021
{Or	Code <Cd>	[1..1]	CodeSet		1021
Or}	Proprietary <Prtry>	[1..1]	±		1022

16.1.22.6.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType81Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1020
Or}	Proprietary <Prtry>	[1..1]	±		1020

16.1.22.6.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType6Code" on page 1157

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

16.1.22.6.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.22.6.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.6.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C100 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1021
Or}	Proprietary <Prtry>	[1..1]	±		1022

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.6.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "[RateStatus1Code](#)" on page 1169

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.

CodeName	Name	Definition
INDI	IndicativeRate	Rate is indicative.

16.1.22.6.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.22.7 GrossDividendRateFormat39Choice

Definition: Choice of format to express a gross dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1022
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1023
	Amount <Amt>	[1..1]	Amount	C1	1023
	RateStatus <RateSts>	[1..1]	CodeSet		1023
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1023
	RateType <RateTp>	[1..1]			1024
{Or	Code <Cd>	[1..1]	CodeSet		1024
Or}	Proprietary <Prtry>	[1..1]	±		1025
	Amount <Amt>	[1..1]	Amount	C1	1025
	RateStatus <RateSts>	[0..1]		C100	1025
{Or	Code <Cd>	[1..1]	CodeSet		1026
Or}	Proprietary <Prtry>	[1..1]	±		1026

16.1.22.7.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.7.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1023
	RateStatus <RateSts>	[1..1]	CodeSet		1023

16.1.22.7.2.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.7.2.2 RateStatus <RateSts>

Presence: [1..1]

Definition: Value expressed as a rate status.

Datatype: "RateStatus1Code" on page 1169

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.7.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for the gross dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus59** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1024
{Or	Code <Cd>	[1..1]	CodeSet		1024
Or}	Proprietary <Prtry>	[1..1]	±		1025
	Amount <Amt>	[1..1]	Amount	C1	1025
	RateStatus <RateSts>	[0..1]		C100	1025
{Or	Code <Cd>	[1..1]	CodeSet		1026
Or}	Proprietary <Prtry>	[1..1]	±		1026

16.1.22.7.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType80Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1024
Or}	Proprietary <Prtry>	[1..1]	±		1025

16.1.22.7.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType6Code" on page 1151

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.

CodeName	Name	Definition
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.

16.1.22.7.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.22.7.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.7.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C100 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1026
Or}	Proprietary <Prtry>	[1..1]	±		1026

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.7.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1169

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.7.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.22.8 NetDividendRateFormat44Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1027
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1027
	Amount <Amt>	[1..1]	Amount	C1	1028
	RateStatus <RateSts>	[1..1]	CodeSet		1028
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1028
	RateType <RateTp>	[1..1]			1028
{Or	Code <Cd>	[1..1]	CodeSet		1029
Or}	Proprietary <Prtry>	[1..1]	±		1029
	Amount <Amt>	[1..1]	Amount	C1	1030
	RateStatus <RateSts>	[0..1]		C100	1030
{Or	Code <Cd>	[1..1]	CodeSet		1030
Or}	Proprietary <Prtry>	[1..1]	±		1031
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1031

16.1.22.8.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.8.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1028
	RateStatus <RateSts>	[1..1]	CodeSet		1028

16.1.22.8.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.8.2.2 RateStatus <RateSts>*Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1169

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.8.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Specifies different formats for the net dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus62** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1028
{Or	Code <Cd>	[1..1]	CodeSet		1029
Or}	Proprietary <Prtry>	[1..1]	±		1029
	Amount <Amt>	[1..1]	Amount	C1	1030
	RateStatus <RateSts>	[0..1]		C100	1030
{Or	Code <Cd>	[1..1]	CodeSet		1030
Or}	Proprietary <Prtry>	[1..1]	±		1031

16.1.22.8.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType83Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1029
Or}	Proprietary <Prtry>	[1..1]	±		1029

16.1.22.8.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType7Code" on page 1158

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.

16.1.22.8.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.22.8.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.8.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C100 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1030
Or}	Proprietary <Prtry>	[1..1]	±		1031

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.8.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "[RateStatus1Code](#)" on page 1169

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.8.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.22.8.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "[RateValueType7Code](#)" on page 1170

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.9 GrossDividendRateFormat42Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1032
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			1032
	Amount <Amt>	[1..1]	Amount	C1	1033
	RateStatus <RateSts>	[1..1]	CodeSet		1033
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1033
	RateType <RateTp>	[1..1]			1033
{Or	Code <Cd>	[1..1]	CodeSet		1034
Or}	Proprietary <Prtry>	[1..1]	±		1035
	Amount <Amt>	[1..1]	Amount	C1	1035
	RateStatus <RateSts>	[0..1]		C100	1035
{Or	Code <Cd>	[1..1]	CodeSet		1035
Or}	Proprietary <Prtry>	[1..1]	±		1036
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		1036

16.1.22.9.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.9.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1033
	RateStatus <RateSts>	[1..1]	CodeSet		1033

16.1.22.9.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.9.2.2 RateStatus <RateSts>*Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1169

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.9.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Specifies different formats for the gross dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus61** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1033
{Or	Code <Cd>	[1..1]	CodeSet		1034
Or}	Proprietary <Prtry>	[1..1]	±		1035
	Amount <Amt>	[1..1]	Amount	C1	1035
	RateStatus <RateSts>	[0..1]		C100	1035
{Or	Code <Cd>	[1..1]	CodeSet		1035
Or}	Proprietary <Prtry>	[1..1]	±		1036

16.1.22.9.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType82Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1034
Or}	Proprietary <Prtry>	[1..1]	±		1035

16.1.22.9.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType7Code" on page 1151

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LT CG	LongTermCapitalGain	Long term capital gain.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

16.1.22.9.3.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of gross dividend rate.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.22.9.3.2 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* [C1 "ActiveCurrency"](#)*Datatype:* "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1094**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.9.3.3 RateStatus <RateSts>*Presence:* [0..1]*Definition:* Value expressed as a rate status.*Impacted by:* [C100 "RateStatusGuideline"](#)**RateStatus <RateSts>** contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1035
Or}	Proprietary <Prtry>	[1..1]	±		1036

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.9.3.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1169

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.9.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.22.9.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateType13Code" on page 1170

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

16.1.22.10 RateAndAmountFormat53Choice

Definition: Choice of format between a rate or a deemed rate type and rate or an amount or a unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1037
Or	Amount <Amt>	[1..1]	Amount	C1	1037
Or	NotSpecifiedRate <NotSpcedRate>	[1..1]	CodeSet		1038
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1038
	RateType <RateTp>	[1..1]			1038
{Or	Code <Cd>	[1..1]	CodeSet		1038
Or}	Proprietary <Prtry>	[1..1]	±		1039
	Amount <Amt>	[1..1]	Amount	C1	1039
	RateStatus <RateSts>	[0..1]		C100	1039
{Or	Code <Cd>	[1..1]	CodeSet		1040
Or}	Proprietary <Prtry>	[1..1]	±		1040
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1040
	RateType <RateTp>	[1..1]			1041
{Or	Code <Cd>	[1..1]	CodeSet		1041
Or}	Proprietary <Prtry>	[1..1]	±		1041
	Rate <Rate>	[1..1]	Rate		1042

16.1.22.10.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "PercentageRate" on page 1182

16.1.22.10.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.10.3 NotSpecifiedRate <NotSpcdfRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1170

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.10.4 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Value is expressed as a rate type and an amount.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus54** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1038
{Or	Code <Cd>	[1..1]	CodeSet		1038
Or}	Proprietary <Prtry>	[1..1]	±		1039
	Amount <Amt>	[1..1]	Amount	C1	1039
	RateStatus <RateSts>	[0..1]		C100	1039
{Or	Code <Cd>	[1..1]	CodeSet		1040
Or}	Proprietary <Prtry>	[1..1]	±		1040

16.1.22.10.4.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **DeemedRateType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1038
Or}	Proprietary <Prtry>	[1..1]	±		1039

16.1.22.10.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: "DeemedRateType1Code" on page 1145

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

16.1.22.10.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of deemed rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.22.10.4.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.10.4.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C100 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1040
Or}	Proprietary <Prtry>	[1..1]	±		1040

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.10.4.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1169

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.10.4.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.22.10.5 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1041
{Or	Code <Cd>	[1..1]	CodeSet		1041
Or}	Proprietary <Prtry>	[1..1]	±		1041
	Rate <Rate>	[1..1]	Rate		1042

16.1.22.10.5.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **DeemedRateType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1041
Or}	Proprietary <Prtry>	[1..1]	±		1041

16.1.22.10.5.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: "DeemedRateType1Code" on page 1145

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

16.1.22.10.5.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of deemed rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.22.10.5.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[PercentageRate](#)" on page 1182

16.1.22.11 SolicitationFeeRateFormat9Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1042
Or	AmountToQuantity <AmtToQty>	[1..1]			1042
	Amount <Amt>	[1..1]	Amount	C1	1042
	Quantity <Qty>	[1..1]	Quantity		1043
Or}	Amount <Amt>	[1..1]	Amount	C1	1043

16.1.22.11.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "[PercentageRate](#)" on page 1182

16.1.22.11.2 AmountToQuantity <AmtToQty>

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

AmountToQuantity <AmtToQty> contains the following **AmountAndQuantityRatio5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1042
	Quantity <Qty>	[1..1]	Quantity		1043

16.1.22.11.2.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.11.2.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 1181

16.1.22.11.3 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.12 RatioFormat22Choice

Definition: Choice of format to expressed a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1044
Or	AmountToAmount <AmtToAmt>	[1..1]			1044
	Amount1 <Amt1>	[1..1]	Amount	C1	1044
	Amount2 <Amt2>	[1..1]	Amount	C1	1045
Or	AmountToQuantity <AmtToQty>	[1..1]			1045
	Amount <Amt>	[1..1]	Amount	C1	1045
	Quantity <Qty>	[1..1]	Quantity		1046
Or}	QuantityToAmount <QtyToAmt>	[1..1]			1046
	Amount <Amt>	[1..1]	Amount	C1	1046
	Quantity <Qty>	[1..1]	Quantity		1046

16.1.22.12.1 QuantityToQuantity <QtyToQty>

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

QuantityToQuantity <QtyToQty> contains the following elements (see "[QuantityToQuantityRatio2](#)" on page 1067 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		1067
	Quantity2 <Qty2>	[1..1]	Quantity		1067

16.1.22.12.2 AmountToAmount <AmtToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

AmountToAmount <AmtToAmt> contains the following **AmountToAmountRatio3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1	1044
	Amount2 <Amt2>	[1..1]	Amount	C1	1045

16.1.22.12.2.1 Amount1 <Amt1>

Presence: [1..1]

Definition: Numerator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.12.2 Amount2 <Amt2>

Presence: [1..1]

Definition: Denominator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.12.3 AmountToQuantity <AmtToQty>

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

AmountToQuantity <AmtToQty> contains the following **AmountAndQuantityRatio5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1045
	Quantity <Qty>	[1..1]	Quantity		1046

16.1.22.12.3.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.12.3.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 1181

16.1.22.12.4 QuantityToAmount <QtyToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quantity to amount ratio.

QuantityToAmount <QtyToAmt> contains the following **AmountAndQuantityRatio5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1046
	Quantity <Qty>	[1..1]	Quantity		1046

16.1.22.12.4.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.12.4.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 1181

16.1.22.13 RatioFormat21Choice

Definition: Choice of format to expressed a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1047
Or}	AmountToAmount <AmtToAmt>	[1..1]			1047
	Amount1 <Amt1>	[1..1]	Amount	C1	1047
	Amount2 <Amt2>	[1..1]	Amount	C1	1047

16.1.22.13.1 QuantityToQuantity <QtyToQty>

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

QuantityToQuantity <QtyToQty> contains the following elements (see "[QuantityToQuantityRatio2](#)" on page 1067 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		1067
	Quantity2 <Qty2>	[1..1]	Quantity		1067

16.1.22.13.2 AmountToAmount <AmtToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

AmountToAmount <AmtToAmt> contains the following **AmountToAmountRatio3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1	1047
	Amount2 <Amt2>	[1..1]	Amount	C1	1047

16.1.22.13.2.1 Amount1 <Amt1>

Presence: [1..1]

Definition: Numerator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1094

Constraints

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.13.2.2 Amount2 <Amt2>

Presence: [1..1]

Definition: Denominator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.14 InterestRateUsedForPaymentFormat9Choice

Definition: Choice between an amount or a rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1048
Or	Amount <Amt>	[1..1]	Amount	C1	1048
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1049
	RateType <RateTp>	[1..1]			1049
{Or	Code <Cd>	[1..1]	CodeSet		1049
Or}	Proprietary <Prtry>	[1..1]	±		1050
	Amount <Amt>	[1..1]	Amount	C1	1050
	RateStatus <RateSts>	[0..1]		C100	1050
{Or	Code <Cd>	[1..1]	CodeSet		1050
Or}	Proprietary <Prtry>	[1..1]	±		1051

16.1.22.14.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "PercentageRate" on page 1182

16.1.22.14.2 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.14.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for interest rate used for payment.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus32** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1049
{Or	Code <Cd>	[1..1]	CodeSet		1049
Or}	Proprietary <Prtry>	[1..1]	±		1050
	Amount <Amt>	[1..1]	Amount	C1	1050
	RateStatus <RateSts>	[0..1]		C100	1050
{Or	Code <Cd>	[1..1]	CodeSet		1050
Or}	Proprietary <Prtry>	[1..1]	±		1051

16.1.22.14.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1049
Or}	Proprietary <Prtry>	[1..1]	±		1050

16.1.22.14.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of rate.

Datatype: "RateType7Code" on page 1170

CodeName	Name	Definition
SCHD	Scheduled	Rate of the scheduled payment.
USCD	Unscheduled	Rate of the unscheduled payment.

16.1.22.14.3.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.22.14.3.2 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* [C1 "ActiveCurrency"](#)*Datatype:* "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1094**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.14.3.3 RateStatus <RateSts>*Presence:* [0..1]*Definition:* Value expressed as a rate status.*Impacted by:* [C100 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1050
Or}	Proprietary <Prtry>	[1..1]	±		1051

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

16.1.22.14.3.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1169

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.1.22.14.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.22.15 RateAndAmountFormat45Choice

Definition: Choice of format between a rate or a rate type and rate or an amount or a unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1051
Or	Amount <Amt>	[1..1]	Amount	C1	1051
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1052
	RateType <RateTp>	[1..1]			1052
{Or	Code <Cd>	[1..1]	CodeSet		1052
Or}	Proprietary <Prtry>	[1..1]	±		1053
	Rate <Rate>	[1..1]	Rate		1053

16.1.22.15.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "PercentageRate" on page 1182

16.1.22.15.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.15.3 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1052
{Or	Code <Cd>	[1..1]	CodeSet		1052
Or}	Proprietary <Prtry>	[1..1]	±		1053
	Rate <Rate>	[1..1]	Rate		1053

16.1.22.15.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1052
Or}	Proprietary <Prtry>	[1..1]	±		1053

16.1.22.15.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1177

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

16.1.22.15.3.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of withholding tax rate.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.22.15.3.2 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "[PercentageRate](#)" on page 1182**16.1.22.16 RateAndAmountFormat48Choice***Definition:* Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1053
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1053

16.1.22.16.1 Amount <Amt>*Presence:* [1..1]*Definition:* Number of monetary units specified in a currency.*Impacted by:* C1 "[ActiveCurrency](#)"*Datatype:* "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 1094**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.16.2 NotSpecifiedRate <NotSpcfdRate>*Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "[RateValueType7Code](#)" on page 1170

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.17 RateFormat21Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1054
Or	Amount <Amt>	[1..1]	Amount	C1	1054
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		1054

16.1.22.17.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "PercentageRate" on page 1182

16.1.22.17.2 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.17.3 NotSpecifiedRate <NotSpcdfRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1170

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.18 RateAndAmountFormat43Choice

Definition: Choice of format between rate and amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1055

16.1.22.18.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "PercentageRate" on page 1182

16.1.22.18.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.19 RateAndAmountFormat46Choice

Definition: Choice of format between a rate, an amount or a unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1055
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1055
Or}	Amount <Amt>	[1..1]	Amount	C1	1056

16.1.22.19.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "PercentageRate" on page 1182

16.1.22.19.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1170

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.19.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 1094

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.20 RateAndAmountFormat38Choice

Definition: Choice of format between a rate, an amount, index points or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1056
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1056
Or	Amount <Amt>	[1..1]	Amount	C1	1056
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1057

16.1.22.20.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "PercentageRate" on page 1182

16.1.22.20.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1170

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.20.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1093

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.20.4 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "DecimalNumber" on page 1180

16.1.22.21 RateAndAmountFormat37Choice

Definition: Choice of format between a rate, an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1057
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1057
Or}	Amount <Amt>	[1..1]	Amount	C1	1057

16.1.22.21.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "PercentageRate" on page 1182

16.1.22.21.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1170

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.22.21.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1093

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.1.22.22 RateFormat7Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1058
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1058

16.1.22.22.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "PercentageRate" on page 1182

16.1.22.22.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateType10Code" on page 1169

CodeName	Name	Definition
ANYA	AnyAndAll	Any and all rate is sought.
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.1.23 Safekeeping Place

16.1.23.1 SafekeepingPlaceTypeAndText9

Definition: Identification of the place of safekeeping expressed as a code and a narrative description.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1058
	Identification <Id>	[0..1]	Text		1059

16.1.23.1.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace2Code" on page 1176

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

16.1.23.1.2 Identification <Id>

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "RestrictedFINXMax30Text" on page 1188

16.1.23.2 SafekeepingPlaceFormat34Choice

Definition: Choice of formats for the place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1059
Or	Country <Ctry>	[1..1]	CodeSet	C3	1059
Or	TypeAndIdentification <TpAndId>	[1..1]			1060
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1060
	Identification <Id>	[1..1]	IdentifierSet	C1	1060
Or}	Proprietary <Prtry>	[1..1]			1061
	Type <Tp>	[1..1]	±		1061
	Identification <Id>	[0..1]	Text		1061

16.1.23.2.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following elements (see "SafekeepingPlaceTypeAndText9" on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1058
	Identification <Id>	[0..1]	Text		1059

16.1.23.2.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1143

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.23.2.3 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1060
	Identification <Id>	[1..1]	IdentifierSet	C1	1060

16.1.23.2.3.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 1175

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

16.1.23.2.3.2 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1178

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.23.2.4 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following **GenericIdentification89** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1061
	Identification <Id>	[0..1]	Text		1061

16.1.23.2.4.1 Type <Tp>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Type <Tp> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.23.2.4.2 Identification <Id>

Presence: [0..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "[RestrictedFINXMax30Text](#)" on page 1188

16.1.23.3 SafekeepingPlaceFormat32Choice

Definition: Choice of formats for the place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1062
Or	Country <Ctry>	[1..1]	CodeSet	C3	1062
Or	TypeAndIdentification <TpAndId>	[1..1]			1062
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1063
	Identification <Id>	[1..1]	IdentifierSet	C1	1063
Or}	Proprietary <Prtry>	[1..1]	±		1063

16.1.23.3.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following elements (see "[SafekeepingPlaceTypeAndText9](#)" on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1058
	Identification <Id>	[0..1]	Text		1059

16.1.23.3.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1143

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.23.3.3 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1063
	Identification <Id>	[1..1]	IdentifierSet	C1	1063

16.1.23.3.1 SafekeepingPlaceType <SfkpgPlcTp>*Presence:* [1..1]*Definition:* Place of safekeeping as a code.*Datatype:* "SafekeepingPlace1Code" on page 1175

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

16.1.23.3.2 Identification <Id>*Presence:* [1..1]*Definition:* Place of safekeeping.*Impacted by:* C1 "AnyBIC"*Datatype:* "AnyBICDec2014Identifier" on page 1178**Constraints**

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.23.3.4 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "GenericIdentification85" on page 806 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		806
	Identification <Id>	[0..1]	Text		806

16.1.23.4 SafekeepingPlaceFormat39Choice*Definition:* Choice between formats for the place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]	±		1064
Or	Country <Ctry>	[1..1]	CodeSet	C3	1064
Or	TypeAndIdentification <TpAndId>	[1..1]			1064
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1065
	Identification <Id>	[1..1]	IdentifierSet	C1	1065
Or}	Proprietary <Prtry>	[1..1]	±		1065

16.1.23.4.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following elements (see "[SafekeepingPlaceTypeAndText15](#)" on page 1065 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1066
	Identification <Id>	[0..1]	Text		1066

16.1.23.4.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1143

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.23.4.3 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1065
	Identification <Id>	[1..1]	IdentifierSet	C1	1065

16.1.23.4.3.1 SafekeepingPlaceType <SfkpgPlcTp>*Presence:* [1..1]*Definition:* Place of safekeeping as a code.*Datatype:* "SafekeepingPlace1Code" on page 1175

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

16.1.23.4.3.2 Identification <Id>*Presence:* [1..1]*Definition:* Place of safekeeping.*Impacted by:* C1 "AnyBIC"*Datatype:* "AnyBICDec2014Identifier" on page 1178**Constraints**

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.23.4.4 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "GenericIdentification85" on page 806 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		806
	Identification <Id>	[0..1]	Text		806

16.1.23.5 SafekeepingPlaceTypeAndText15*Definition:* Identification of the place of safekeeping expressed as a code and a narrative description.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1066
	Identification <Id>	[0..1]	Text		1066

16.1.23.5.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace3Code" on page 1176

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

16.1.23.5.2 Identification <Id>

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "RestrictedFINXMax30Text" on page 1188

16.1.24 Securities Quantity

16.1.24.1 OriginalAndCurrentQuantities4

Definition: Original and current value of an asset-back instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FaceAmount <FaceAmt>	[1..1]	Amount		1066
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1066

16.1.24.1.1 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1095

16.1.24.1.2 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 1095

16.1.24.2 QuantityToQuantityRatio2

Definition: Ratio expressed as a quotient of quantities.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		1067
	Quantity2 <Qty2>	[1..1]	Quantity		1067

16.1.24.2.1 Quantity1 <Qty1>

Presence: [1..1]

Definition: Numerator of the quotient of quantities.

Datatype: "RestrictedFINDecimalNumber" on page 1181

16.1.24.2.2 Quantity2 <Qty2>

Presence: [1..1]

Definition: Denominator of the quotient of quantities.

Datatype: "RestrictedFINDecimalNumber" on page 1181

16.1.25 Settlement Chain

16.1.25.1 SettlementParties103

Definition: Specifies settlement parties (delivering/receiving).

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]		C3	1068
	Identification <Id>	[1..1]			1068
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1069
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1069
Or}	Country <Ctry>	[1..1]	CodeSet	C3	1069
	ProcessingIdentification <PrctlId>	[0..1]	Text		1069
	AlternateIdentification <AltrnId>	[0..*]	±		1070
	Party1 <Pty1>	[0..1]	±	C4, C53, C55, C57	1070
	Party2 <Pty2>	[0..1]	±	C4, C53, C55, C57	1071
	Party3 <Pty3>	[0..1]	±	C4, C53, C55, C57	1072

Constraints

- **Party2PresenceRule**

If Party 2 is present, then Party 1 must be present.

On Condition

/Party2 is present

Following Must be True

/Party1 Must be present

- **Party3PresenceRule**

If Party 3 is present, then Party 2 must be present.

On Condition

/Party3 is present

Following Must be True

/Party2 Must be present

16.1.25.1.1 Depository <Dpstry>

Presence: [0..1]

Definition: First receiving party in the settlement chain. In a plain vanilla settlement, it is the central securities depository where the receiving side of the transaction requests to receive the financial instrument.

Impacted by: C3 "AdditionalInformationRule"

Depository <Dpstry> contains the following **PartyIdentification155** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1068
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1069
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1069
Or}	Country <Ctry>	[1..1]	CodeSet	C3	1069
	ProcessingIdentification <PrctlId>	[0..1]	Text		1069
	AlternateIdentification <AltrId>	[0..*]	±		1070

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

16.1.25.1.1.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following **PartyIdentification145Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1069
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1069
Or}	Country <Ctry>	[1..1]	CodeSet	C3	1069

16.1.25.1.1.1.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1178

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.1.25.1.1.1.2 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

NameAndAddress <NmAndAdr> contains the following elements (see "NameAndAddress12" on page 959 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		959

16.1.25.1.1.1.3 Country <Ctry>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1143

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.1.25.1.1.2 ProcessingIdentification <Prcgld>

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: "RestrictedFINXMax16Text" on page 1187

16.1.25.1.1.3 Alternateldidentification <Altrnld>

Presence: [0..*]

Definition: Provides alternate identification for a party using an id type, a country code and a text field.

Alternateldidentification <Altrnld> contains the following elements (see "AlternatePartyIdentification9" on page 951 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]			952
{Or	Code <Cd>	[1..1]	CodeSet		952
Or}	Proprietary <Prtry>	[1..1]	±		952
	Country <Ctry>	[1..1]	CodeSet	C3	953
	Alternateldidentification <Altrnld>	[1..1]	Text		953

16.1.25.1.2 Party1 <Pty1>

Presence: [0..1]

Definition: Party that interacts with the depository.

Impacted by: C4 "AdditionalInformationRule", C53 "SafekeepingAccountOrBlockChainAddress1Rule", C55 "SafekeepingAccountOrBlockChainAddress2Rule", C57 "SafekeepingAccountOrBlockChainAddress3Rule"

Party1 <Pty1> contains the following elements (see "PartyIdentificationAndAccount205" on page 938 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		939
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		939
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		939
	ProcessingIdentification <Prcgld>	[0..1]	Text		939
	Alternateldidentification <Altrnld>	[0..*]	±		939

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/SafekeepingAccount Must be present

Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition
 /BlockChainAddressOrWallet is present
 Following Must be True
 /SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition
 /SafekeepingAccount is present
 Following Must be True
 /BlockChainAddressOrWallet Must be absent

16.1.25.1.3 Party2 <Pty2>

Presence: [0..1]

Definition: Party that interacts with the party1.

Impacted by: C4 "AdditionalInformationRule", C53 "SafekeepingAccountOrBlockChainAddress1Rule", C55 "SafekeepingAccountOrBlockChainAddress2Rule", C57 "SafekeepingAccountOrBlockChainAddress3Rule"

Party2 <Pty2> contains the following elements (see "PartyIdentificationAndAccount205" on page 938 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification </d>	[1..1]	±		939
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		939
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		939
	ProcessingIdentification <PrctlId>	[0..1]	Text		939
	AlternateIdentification <AltrnId>	[0..*]	±		939

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True
 /SafekeepingAccount Must be present
 Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition
 /BlockChainAddressOrWallet is present

Following Must be True
/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition
/SafekeepingAccount is present
Following Must be True
/BlockChainAddressOrWallet Must be absent

16.1.25.1.4 Party3 <Pty3>

Presence: [0..1]

Definition: Party that interacts with the party2.

Impacted by: [C4 "AdditionalInformationRule"](#), [C53 "SafekeepingAccountOrBlockChainAddress1Rule"](#), [C55 "SafekeepingAccountOrBlockChainAddress2Rule"](#), [C57 "SafekeepingAccountOrBlockChainAddress3Rule"](#)

Party3 <Pty3> contains the following elements (see ["PartyIdentificationAndAccount205"](#) on page 938 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		939
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		939
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	Text		939
	ProcessingIdentification <PrctlId>	[0..1]	Text		939
	AlternateIdentification <AltrId>	[0..*]	±		939

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

- **SafekeepingAccountOrBlockChainAddress1Rule**

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Following Must be True
/SafekeepingAccount Must be present
Or /BlockChainAddressOrWallet Must be present

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

On Condition
/BlockChainAddressOrWallet is present
Following Must be True
/SafekeepingAccount Must be absent

- **SafekeepingAccountOrBlockChainAddress3Rule**

If SafekeepingAccount is present, then BlockChainAddressOrWallet must be absent.

On Condition
 /SafekeepingAccount is present
 Following Must be True
 /BlockchainAddressOrWallet Must be absent

16.1.26 Status

16.1.26.1 NoSpecifiedReason1

Definition: No specified reason available for the status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1073

16.1.26.1.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Indicates that there is no reason available or to report.

Datatype: "NoReasonCode" on page 1161

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.2 PendingStatus72Choice

Definition: Choice between a reason or no reason for the corporate action instruction processing pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1073
Or}	Reason <Rsn>	[1..*]		C6	1074
	ReasonCode <RsnCd>	[1..1]			1074
{Or	Code <Cd>	[1..1]	CodeSet		1074
Or}	Proprietary <Prtry>	[1..1]	±		1075
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1075

16.1.26.2.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1161

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.2.2 Reason <Rsn>*Presence:* [1..*]*Definition:* Reason for the pending status.*Impacted by:* C6 "AdditionalReasonInformationRule"**Reason <Rsn>** contains the following **PendingStatusReason28** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1074
{Or	Code <Cd>	[1..1]	CodeSet		1074
Or}	Proprietary <Prtry>	[1..1]	±		1075
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1075

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.2.2.1 ReasonCode <RsnCd>*Presence:* [1..1]*Definition:* Specifies the reason why the instruction's processing is pending.**ReasonCode <RsnCd>** contains one of the following **PendingReason68Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1074
Or}	Proprietary <Prtry>	[1..1]	±		1075

16.1.26.2.2.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Pending reason specified as a code.*Datatype:* "PendingReason27Code" on page 1165

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
OTHR	Other	Other. See Narrative.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
MCER	MissingCertification	Awaiting receipt of adequate certification.
MONY	InsufficientMoney	Insufficient money in your account.

CodeName	Name	Definition
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
PENR	PendingReceipt	The instruction is pending receipt of securities, for example, from a purchase or loan.
CERT	WrongCertificatesNumbers	Certificate number error.
DQCS	DisagreementOnCashAmount	Unrecognised or invalid instructed cash amount.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.
MTAX	MissingTaxRate	Tax rate is missing.
SNAV	SystemNotAvailable	System is not available. Instruction is sent outside of business hours.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.

16.1.26.2.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Pending reason specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.26.2.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[RestrictedFINXMax210Text](#)" on page 1187

16.1.26.3 RejectedStatus60Choice

Definition: Choice between a reason and no reason for the general meeting instruction processing rejected status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1076
Or}	Reason <Rsn>	[1..*]		C5	1076
	ReasonCode <RsnCd>	[1..1]			1077
{Or	Code <Cd>	[1..1]	CodeSet		1077
Or}	Proprietary <Prtry>	[1..1]	±		1079
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1079

16.1.26.3.1 NoSpecifiedReason <NoSpdfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: ["NoReasonCode" on page 1161](#)

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.3.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason why the meeting instruction message or the individual meeting instruction is rejected.

Impacted by: [C5 "AdditionalReasonInformationRule"](#)

Reason <Rsn> contains the following **RejectedStatusReason59** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1077
{Or	Code <Cd>	[1..1]	CodeSet		1077
Or}	Proprietary <Prtry>	[1..1]	±		1079
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1079

Constraints

- **AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.3.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Reason for the rejected status.

ReasonCode <RsnCd> contains one of the following **RejectedReason62Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1077
Or}	Proprietary <Prtry>	[1..1]	±		1079

16.1.26.3.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Rejection reason specified as a code.

Datatype: "RejectionReason85Code" on page 1171

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
CERT	IncorrectCertification	Instruction is rejected since the provided certification is incorrect or incomplete.
INVA	InvalidForTaxAuthorities	For tax reclaim, the reclaim is invalid for the tax authorities.
OPTY	InvalidOptionType	Invalid option type.
ULNK	InvalidReference	Linked reference is unknown.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LATE	MarketDeadlineMissed	Received after market deadline.
NMTY	Mismatch	Mismatch between option number and option type.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
CANC	OptionCancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	OptionInactive	Option is not active and can no longer be responded to. Any responses already

CodeName	Name	Definition
		processed against this option will remain valid, for example, expired option.
OPNM	OptionNumberRejection	Unrecognised option number.
OTHR	Other	Other. See Narrative.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
REFT	RefusedByTaxAuthorities	Reclaim is refused by the tax authorities.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
EVNM	UnrecognisedIdentification	Unrecognised event number.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
DQCC	CurrencyRejection	Invalid instructed currency.
DQAM	InstructionTypeRejection	Invalid use of instructed amount (QCAS) or of instructed quantity (QINS) qualifiers.
IRDQ	InvalidUseRoundUpQuantity	Instruction is rejected due to invalid use of the additional round up quantity.
DQBV	InvalidBidValue	Bid price / basis points / percentage falls outside of bid range for the event (generally used in Dutch Auctions or Bid Tenders).
DQBI	InvalidBidIncrement	Bid price / basis points / percentage increments rejected due to invalid bid increment for the event (generally used in Dutch Auctions or Bid Tenders).
SHAR	ShareholderNumberRejection	Unrecognised, missing or invalid shareholder number.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.
MTAX	MissingTaxRate	Tax rate is missing.
ISOL	InvalidSolicitationDealerFeeUse	Invalid use of solicitation dealer fee indicator.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
CTCT	MissingContact	Contact information is missing.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
PRON	NoProtectPrivilege	No protect privilege for this event.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.

CodeName	Name	Definition
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.

16.1.26.3.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Rejection reason specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.26.3.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the rejection status.

Datatype: "[RestrictedFINXMax210Text](#)" on page 1187

16.1.26.4 PendingReason55Choice

Definition: Choice between a standard code and a proprietary code to specify the reason why the instruction/event has a pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1079
Or}	Proprietary <Prtry>	[1..1]	±		1080

16.1.26.4.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction/event has a pending status.

Datatype: "[PendingReason19Code](#)" on page 1165

CodeName	Name	Definition
NSEC	NotDelivered	Financial instruments have not been delivered by the issuer.
NPAY	NoPayment	Payment has not been made by issuer.

CodeName	Name	Definition
OTHR	Other	Other. See Narrative.
AUTH	SentToTaxAuthorities	Tax reclaim has been sent to the tax authorities.
VLDA	ValidForTaxAuthorities	For tax reclaim, the event is pending, the tax reclaim is valid for the tax authorities.
MCER	MissingCertification	Awaiting receipt of adequate certification.

16.1.26.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why the instruction/event has a pending status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.26.5 PendingStatus59Choice

Definition: Choice between a reason or no reason for the corporate action event processing pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1080
Or}	Reason <Rsn>	[1..*]		C1	1080
	ReasonCode <RsnCd>	[1..1]	±		1081
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1081

16.1.26.5.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "[NoReasonCode](#)" on page 1161

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.5.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the pending status.

Impacted by: C1 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **PendingStatusReason19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]	±		1081
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1081

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.5.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction's processing is pending.

ReasonCode <RsnCd> contains one of the following elements (see "PendingReason55Choice" on page 1079 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1079
Or}	Proprietary <Prtry>	[1..1]	±		1080

16.1.26.5.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "RestrictedFINXMax210Text" on page 1187

16.1.26.6 PendingCancellationStatus9Choice

Definition: Choice between a reason or no reason for the corporate action instruction cancellation pending cancellation status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedReason <NotSpdfdRsn>	[1..1]	CodeSet		1082
Or}	Reason <Rsn>	[1..*]		C1, C6	1082
	ReasonCode <RsnCd>	[1..1]			1082
{Or	Code <Cd>	[1..1]	CodeSet		1082
Or}	Proprietary <Prtry>	[1..1]	±		1083
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1083

16.1.26.6.1 NotSpecifiedReason <NotSpcfdRsn>*Presence:* [1..1]*Definition:* Reason not specified.*Datatype:* "NoReasonCode" on page 1161

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.6.2 Reason <Rsn>*Presence:* [1..*]*Definition:* Reason for the pending cancellation status.*Impacted by:* C1 "AccountServicerDeadlineMissedGuideline", C6 "AdditionalReasonInformationRule"**Reason <Rsn>** contains the following **PendingCancellationStatusReason9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1082
{Or	Code <Cd>	[1..1]	CodeSet		1082
Or}	Proprietary <Prtry>	[1..1]	±		1083
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1083

Constraints

- AccountServicerDeadlineMissedGuideline**

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

- AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.6.2.1 ReasonCode <RsnCd>*Presence:* [1..1]*Definition:* Specifies the reason why the cancellation request is pending.**ReasonCode <RsnCd>** contains one of the following **PendingCancellationReason6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1082
Or}	Proprietary <Prtry>	[1..1]	±		1083

16.1.26.6.2.1.1 Code <Cd>*Presence:* [1..1]

Definition: Standard code to specify the reason why a cancellation request sent for the related instruction is pending.

Datatype: "PendingCancellationReason5Code" on page 1164

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.

16.1.26.6.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why a cancellation request sent for the related instruction is pending.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.26.6.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "RestrictedFINMax210Text" on page 1186

16.1.26.7 ProprietaryReason5

Definition: Proprietary identification of the reason related to a status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	±		1084
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1084

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.7.1 Reason <Rsn>

Presence: [0..1]

Definition: Proprietary identification of the reason related to a status.

Reason <Rsn> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchemeNm>	[0..1]	Text		808

16.1.26.7.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[RestrictedFINXMax210Text](#)" on page 1187

16.1.26.8 PendingCancellationStatus8Choice

Definition: Choice between a reason or no reason for the corporate action instruction cancellation pending cancellation status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedReason <NotSpcfdRsn>	[1..1]	CodeSet		1084
Or}	Reason <Rsn>	[1..*]		C1, C6	1085
	ReasonCode <RsnCd>	[1..1]			1085
{Or	Code <Cd>	[1..1]	CodeSet		1085
Or}	Proprietary <Prtry>	[1..1]	±		1086
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1086

16.1.26.8.1 NotSpecifiedReason <NotSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "[NoReasonCode](#)" on page 1161

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.8.2 Reason <Rsn>*Presence:* [1..*]*Definition:* Reason for the pending cancellation status.*Impacted by:* C1 "AccountServicerDeadlineMissedGuideline", C6 "AdditionalReasonInformationRule"**Reason <Rsn>** contains the following **PendingCancellationStatusReason8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1085
{Or	Code <Cd>	[1..1]	CodeSet		1085
Or}	Proprietary <Prtry>	[1..1]	±		1086
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1086

Constraints

- **AccountServicerDeadlineMissedGuideline**

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.8.2.1 ReasonCode <RsnCd>*Presence:* [1..1]*Definition:* Specifies the reason why the cancellation request is pending.**ReasonCode <RsnCd>** contains one of the following **PendingCancellationReason6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1085
Or}	Proprietary <Prtry>	[1..1]	±		1086

16.1.26.8.2.1.1 Code <Cd>*Presence:* [1..1]

Definition: Standard code to specify the reason why a cancellation request sent for the related instruction is pending.

Datatype: "PendingCancellationReason5Code" on page 1164

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.

CodeName	Name	Definition
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.

16.1.26.8.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why a cancellation request sent for the related instruction is pending.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.26.8.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[RestrictedFINXMax210Text](#)" on page 1187

16.1.26.9 CancelledStatus14Choice

Definition: Choice between a reason or no reason for the corporate action instruction or instruction cancellation cancelled/cancellation completed status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1086
Or}	Reason <Rsn>	[1..*]		C4	1087
	ReasonCode <RsnCd>	[1..1]			1087
{Or	Code <Cd>	[1..1]	CodeSet		1087
Or}	Proprietary <Prtry>	[1..1]	±		1087
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1088

16.1.26.9.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "[NoReasonCode](#)" on page 1161

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.9.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the cancelled status.

Impacted by: C4 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **CancelledStatusReason13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1087
{Or	Code <Cd>	[1..1]	CodeSet		1087
Or}	Proprietary <Prtry>	[1..1]	±		1087
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1088

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.9.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction or instruction cancellation has been cancelled.

ReasonCode <RsnCd> contains one of the following **CancelledReason10Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1087
Or}	Proprietary <Prtry>	[1..1]	±		1087

16.1.26.9.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction is cancelled.

Datatype: "CancelledStatusReason5Code" on page 1104

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
OTHR	Other	Other. See Narrative.

16.1.26.9.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification specifying the reason why the instruction is cancelled.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.26.9.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[RestrictedFINXMax210Text](#)" on page 1187

16.1.26.10 ProprietaryStatusAndReason7

Definition: Provides the proprietary status and reason of an instruction or an instruction cancellation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		1088
	ProprietaryReason <PrtryRsn>	[0..*]	±	C2	1088

16.1.26.10.1 ProprietaryStatus <PrtrySts>

Presence: [1..1]

Definition: Proprietary identification of the status related to an instruction.

ProprietaryStatus <PrtrySts> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.26.10.2 ProprietaryReason <PrtryRsn>

Presence: [0..*]

Definition: Proprietary identification of the reason related to a proprietary status.

Impacted by: [C2 "AdditionalReasonInformationRule"](#)

ProprietaryReason <PrtryRsn> contains the following elements (see "ProprietaryReason5" on page 1083 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	±		1084
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1084

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.11 AcceptedStatus9Choice

Definition: Choice between a reason or no reason for the corporate action instruction processing accepted status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1089
Or}	Reason <Rsn>	[1..*]		C4	1089
	ReasonCode <RsnCd>	[1..1]			1090
{Or	Code <Cd>	[1..1]	CodeSet		1090
Or}	Proprietary <Prtry>	[1..1]	±		1090
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1091

16.1.26.11.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1161

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.11.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the accepted status.

Impacted by: C4 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **AcceptedStatusReason10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1090
{Or	Code <Cd>	[1..1]	CodeSet		1090
Or}	Proprietary <Prtry>	[1..1]	±		1090
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1091

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.11.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction or instruction cancellation has been accepted.

ReasonCode <RsnCd> contains one of the following **AcceptedReason11Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1090
Or}	Proprietary <Prtry>	[1..1]	±		1090

16.1.26.11.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify additional information about the processed instruction.

Datatype: "AcknowledgementReason7Code" on page 1096

CodeName	Name	Definition
NSTP	NotStraightThroughProcessing	Instruction was not straight through processing and had to be processed manually.
OTHR	Other	Other. See Narrative.

16.1.26.11.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of additional information about the processed instruction.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.26.11.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[RestrictedFINXMax210Text](#)" on page 1187

16.1.26.12 CancelledStatus15Choice

Definition: Choice between a reason or no reason for the corporate action instruction or instruction cancellation cancelled/cancellation completed status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1091
Or}	Reason <Rsn>	[1..*]		C3	1091
	ReasonCode <RsnCd>	[1..1]			1092
{Or	Code <Cd>	[1..1]	CodeSet		1092
Or}	Proprietary <Prtry>	[1..1]	±		1092
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1093

16.1.26.12.1 NoSpecifiedReason <NoSpctdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "[NoReasonCode](#)" on page 1161

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.1.26.12.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the cancelled status.

Impacted by: [C3 "AdditionalReasonInformationRule"](#)

Reason <Rsn> contains the following **CancelledStatusReason14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1092
{Or	Code <Cd>	[1..1]	CodeSet		1092
Or}	Proprietary <Prtry>	[1..1]	±		1092
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1093

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

16.1.26.12.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction or instruction cancellation has been cancelled.

ReasonCode <RsnCd> contains one of the following **CancelledReason11Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1092
Or}	Proprietary <Prtry>	[1..1]	±		1092

16.1.26.12.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction is cancelled.

Datatype: "CancelledStatusReason6Code" on page 1104

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
CANO	CancelledByOther	Transaction is cancelled by a party other than the instructing party, for example, a market infrastructure such as a stock exchange.
CANS	CancelledBySystem	Transaction is cancelled by the system.
CSUB	CancelledByAgent	Instruction has been cancelled by the agent due to an event deadline extension.
OTHR	Other	Other. See Narrative.

16.1.26.12.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification specifying the reason why the instruction is cancelled.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		808
	Issuer <Issr>	[1..1]	Text		808
	SchemeName <SchmeNm>	[0..1]	Text		808

16.1.26.12.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[RestrictedFINXMax210Text](#)" on page 1187

16.1.27 System Identification

16.1.27.1 ClearingSystemIdentification2Choice

Definition: Choice of a clearing system identifier.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1093
Or}	Proprietary <Prtry>	[1..1]	Text		1093

16.1.27.1.1 Code <Cd>

Presence: [1..1]

Definition: Identification of a clearing system, in a coded form as published in an external list.

Datatype: "[ExternalClearingSystemIdentification1Code](#)" on page 1148

16.1.27.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Identification code for a clearing system, that has not yet been identified in the list of clearing systems.

Datatype: "[Max35Text](#)" on page 1184

16.2 Message Datatypes

16.2.1 Amount

16.2.1.1 ActiveCurrencyAnd13DecimalAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 1096

Format

minInclusive	0
totalDigits	18
fractionDigits	13

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.2.1.2 ImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

minInclusive	0
totalDigits	18
fractionDigits	5

16.2.1.3 RestrictedFINActiveCurrencyAnd13DecimalAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 1096

Format

minInclusive	0
totalDigits	14
fractionDigits	13

Constraints

- **ActiveCurrency**
The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.2.1.4 RestrictedFINActiveCurrencyAndAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 1096

Format

minInclusive	0
totalDigits	14
fractionDigits	5

Constraints

- **ActiveCurrency**
The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.
- **CurrencyAmount**
The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

16.2.1.5 RestrictedFINImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

minInclusive	0
totalDigits	14
fractionDigits	5

16.2.2 CodeSet

16.2.2.1 AcknowledgementReason7Code

Definition: Specifies additional information about the processed instruction.
Type: CodeSet

CodeName	Name	Definition
NSTP	NotStraightThroughProcessing	Instruction was not straight through processing and had to be processed manually.
OTHR	Other	Other. See Narrative.

16.2.2.2 ActiveCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".
Type: CodeSet

Format

pattern	[A-Z]{3,3}
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Constraints

- **ActiveCurrency**
The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

16.2.2.3 ActiveOrHistoricCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".
Type: CodeSet

Format

pattern	[A-Z]{3,3}
---------	------------

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

16.2.2.4 AdditionalBusinessProcess10Code

Definition: Specifies the additional business process linked to a corporate action event.

Type: CodeSet

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
REVR	NotificationOfReversal	Indicates a preadvice of movement reversal.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.

16.2.2.5 AdditionalBusinessProcess11Code

Definition: Specifies the additional business process linked to a corporate action event.

Type: CodeSet

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.

CodeName	Name	Definition
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.

16.2.2.6 AdditionalBusinessProcess7Code

Definition: Specifies the additional business process linked to a corporate action event.

Type: CodeSet

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded

CodeName	Name	Definition
		(remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.

16.2.2.7 AdditionalBusinessProcess8Code

Definition: Specifies the additional business process linked to a corporate action event such as an automatic market claim.

Type: CodeSet

CodeName	Name	Definition
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.

16.2.2.8 AdditionalBusinessProcess9Code

Definition: Specifies the additional business process linked to a corporate action event.

Type: CodeSet

CodeName	Name	Definition
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and

CodeName	Name	Definition
		replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.

16.2.2.9 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

16.2.2.10 AmountPriceType1Code

Definition: Specifies the amount price type.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

16.2.2.11 AmountPriceType2Code

Definition: Specifies the amount price type.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.

16.2.2.12 AmountPriceType3Code

Definition: Specifies the amount price type.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
PLOT	Lot	Price expressed as an amount of money per lot.

16.2.2.13 BeneficiaryCertificationType4Code

Definition: Specifies the type of certification required.

Type: CodeSet

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
NDOM	NonDomicileCountry	The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
PAPW	BeneficialOwnerPaperwork	Indicates if the Beneficial Owner is responsible to fill in the paperwork.
PABD	ICSDParticipantBreakdown	The (I)CSD has to provide its participants' details for disclosure purpose to the issuer/issuer's agent.
FRAC	FractionBreakdown	Indicates that the rounding rule in the Fraction Disposition component at the option level can be offered at the beneficial owner level.

16.2.2.14 BeneficiaryCertificationType5Code

Definition: Specifies the type of certification required.

Type: CodeSet

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.

16.2.2.15 BidRangeType1Code

Definition: Types of acceptable bid range values.

Type: CodeSet

CodeName	Name	Definition
DIVI	Divisible	All values (including minimum and maximum prices) are divisible by the bid interval.
INCR	Incremental	No values within the bid range are divisible by the bid interval including the minimum and maximum prices. Each value between the minimum and maximum prices is incremented by the bid interval.
MULT	InMultiple	Minimum and maximum prices are not divisible by the bid interval. All successive values between the minimum and maximum prices are in multiples of the bid interval.

16.2.2.16 BusinessMessagePriorityCode

Definition: Specifies the priority levels for the BusinessMessage.

The different priorities are typically user / service / implementation dependent. The semantics of the different values for a Message (Set) need to be defined by the relevant user community (SEG.).

Type: CodeSet

Constraints

- **ValidationByTable**

16.2.2.17 CancelledStatusReason5Code

Definition: Specifies the underlying reason for the cancellation of the associated transaction.

Type: CodeSet

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
OTHR	Other	Other. See Narrative.

16.2.2.18 CancelledStatusReason6Code

Definition: Specifies the underlying reason for the cancellation of the associated transaction.

Type: CodeSet

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
CANO	CancelledByOther	Transaction is cancelled by a party other than the instructing party, for example, a market infrastructure such as a stock exchange.

CodeName	Name	Definition
CANS	CancelledBySystem	Transaction is cancelled by the system.
CSUB	CancelledByAgent	Instruction has been cancelled by the agent due to an event deadline extension.
OTHR	Other	Other. See Narrative.

16.2.2.19 CertificationFormatType1Code

Definition: Specifies the certification format required, that is, physical or electronic format.

Type: CodeSet

CodeName	Name	Definition
ELEC	Electronic	Certification must be provided in electronic format.
PHYS	Physical	Certification must be provided in physical format.

16.2.2.20 ConsentType1Code

Definition: Specifies the type of consent announced.

Type: CodeSet

CodeName	Name	Definition
CTRM	ChangeInTerms	Change in the terms and conditions of the bond.
DUPY	DueAndPayable	Change in the due and payable conditions.

16.2.2.21 CopyDuplicate1Code

Definition: Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

Type: CodeSet

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

16.2.2.22 CorporateActionCancellationReason1Code

Definition: Specifies reasons for cancellation of a corporate action event.

Type: CodeSet

CodeName	Name	Definition
WITH	Withdrawal	Cancellation due to the corporate action event being cancelled by the issuer.
PROC	Processing	Cancellation due to a processing error.

16.2.2.23 CorporateActionChangeType1Code

Definition: Specifies the type of changes.

Type: CodeSet

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.
DECI	Decimalisation	Process of changing the accounting method of a security, for example, mutual fund, from units to a quantity with decimals.

16.2.2.24 CorporateActionChangeType2Code

Definition: Specifies the type of changes.

Type: CodeSet

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.

CodeName	Name	Definition
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.

16.2.2.25 CorporateActionEventProcessingType1Code

Definition: Specifies the type of event processing.

Type: CodeSet

CodeName	Name	Definition
GENL	General	No debit or credit of resources.
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

16.2.2.26 CorporateActionEventStage3Code

Definition: Specifies the stage of the CA event.

Type: CodeSet

CodeName	Name	Definition
APPD	Approved	Approved at the relevant meeting, for example, dividend.
CLDE	Deactivated	Offer is closed for acceptance.
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
LAPS	Lapsed	Event/offer conditions have not been met and the event/offer is terminated or lapsed.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent

CodeName	Name	Definition
		new supplemental lottery will be performed under the original event.
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, for example, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.

16.2.2.27 CorporateActionEventStage4Code

Definition: Specifies the stage of the CA lottery event.

Type: CodeSet

CodeName	Name	Definition
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.

16.2.2.28 CorporateActionEventType30Code

Definition: Specifies the corporate action event type.

Type: CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.

CodeName	Name	Definition
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHD). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A

CodeName	Name	Definition
		company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional

CodeName	Name	Definition
		shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer

CodeName	Name	Definition
		initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.

CodeName	Name	Definition
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.

CodeName	Name	Definition
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
MTNG	SecuritiesHoldersMeeting	Ordinary or annual or extraordinary or special general meeting.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.

16.2.2.29 CorporateActionEventType31Code

Definition: Specifies the corporate action event type.

Type: CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding.

CodeName	Name	Definition
		Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.

CodeName	Name	Definition
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.

CodeName	Name	Definition
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The

CodeName	Name	Definition
		redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares

CodeName	Name	Definition
		premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.

CodeName	Name	Definition
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.

16.2.2.30 CorporateActionEventType32Code

Definition: Specifies the corporate action event type.

Type: CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the

CodeName	Name	Definition
		nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains

CodeName	Name	Definition
		unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the

CodeName	Name	Definition
		issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after

CodeName	Name	Definition
		a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of

CodeName	Name	Definition
		the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.

CodeName	Name	Definition
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.

16.2.2.31 CorporateActionEventType34Code

Definition: Specifies the corporate action event type.

Type: CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.

CodeName	Name	Definition
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.

CodeName	Name	Definition
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".

CodeName	Name	Definition
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.

CodeName	Name	Definition
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.

CodeName	Name	Definition
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific

CodeName	Name	Definition
		amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.

16.2.2.32 CorporateActionFrequencyType5Code

Definition: Automatic Reinvestment of Cash distributed by accumulating funds.

Type: CodeSet

CodeName	Name	Definition
FINL	Final	Payment of a dividend, less amounts already paid through interim dividends.
INTE	Interim	Dividend declared and paid before annual earnings have been determined.
REIN	Reinvestment	Reinvestment of cash distributed.
REGR	Regular	Dividend paid at regular interval, for example, quarterly.
SPEC	Special	Dividend in addition to the regular dividend being paid by the company.
SPRE	SpecialDividendWithReinvestment	Special Dividend with reinvestment component.

16.2.2.33 CorporateActionInformationType1Code

Definition: Specifies the type of information event.

Type: CodeSet

CodeName	Name	Definition
CONF	ConferenceCall	Information is about an informal call when an issuer, trustee, agent wishes to talk to note holders to discuss a certain issue or potential change to the notes; nothing said being binding.

16.2.2.34 CorporateActionMandatoryVoluntary1Code

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

Type: CodeSet

CodeName	Name	Definition
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

16.2.2.35 CorporateActionMovementPreliminaryAdviceFunction1Code

Definition: Specifies whether the message is a final eligible balance and entitlements message or a preliminary advice of payment message.

Type: CodeSet

CodeName	Name	Definition
ENTL	Entitlement	Message is an entitlement.
CAPA	PreadviceOfMovement	Message is a preadvice of movement.

16.2.2.36 CorporateActionNarrative1Code

Definition: Specifies the narrative type of a corporate action.

Type: CodeSet

CodeName	Name	Definition
TAXE	TaxationConditions	Provides taxation conditions that cannot be included within the structured fields of this message.
REGI	RegistrationDetails	Provides information required for the registration.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
RFMC	ICSDRefusalOfMarkUpDownConfirmation	In the context of a corporate action, an International Central Securities Depository (ICSD) refuses a mark-up/ mark-down confirmation sent by the account servicer.
PAUT	ChasingOfPriorAuthorization	International Central Securities Depository (ICSD) chases the authorisation from the account servicer in order to process the exchange from global temporary to global permanent.
CTIN	ConfirmationOfHoldingTransfer	Confirmation of transfer of interests between International Central Securities Depositories (ICSDs) following a corporate actions.

16.2.2.37 CorporateActionNotificationType1Code*Definition:* Type of notification advice.*Type:* CodeSet

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

16.2.2.38 CorporateActionOption11Code*Definition:* Specifies the type of corporate action options.*Type:* CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.

CodeName	Name	Definition
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

16.2.2.39 CorporateActionOption12Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.

CodeName	Name	Definition
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

16.2.2.40 CorporateActionOption15Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.

CodeName	Name	Definition
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.2.2.41 CorporateActionOption16Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CERT	Certification	Certification is provided.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global

CodeName	Name	Definition
		certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
TAXI	TaxInstruction	Tax instruction.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.2.2.42 CorporateActionOption17Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	<p>Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting.</p> <p>If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.</p>

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.

CodeName	Name	Definition
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
TAXI	TaxInstruction	Tax instruction.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

16.2.2.43 CorporateActionPreliminaryAdviceType1Code

Definition: Type of movement preliminary advice.

Type: CodeSet

CodeName	Name	Definition
NEWM	New	New movement preliminary advice.
REPL	Replacement	Movement preliminary advice replacing a previously sent preliminary advice.

16.2.2.44 CorporateActionReversalReason2Code

Definition: Specifies the reason why a reversal of payment is taking place in corporate action processing.

Type: CodeSet

CodeName	Name	Definition
DCBD	DayCountBasisDifference	Difference in day count basis.
FNRC	FundsNotReceived	Funds have not been received.
IREDD	IncorrectEntitlementDate	Entitlement date is incorrect.
IETR	IncorrectEventLevelTaxRate	Event level tax rate is incorrect.
IPCU	IncorrectPaymentCurrency	Payment currency is incorrect.
IPRI	IncorrectPrice	Price is incorrect.
IVAD	IncorrectValueDate	Value date is incorrect.
POCS	PaymentOutsideClearingSystem	Payment will occur outside of clearing system.
UPAY	UnduePayment	Payment is not due.

16.2.2.45 CorporateActionStatementReportingType1Code

Definition: Type of statement reporting on account holdings for corporate action events for example. reporting on multiple accounts or multiple events.

Type: CodeSet

CodeName	Name	Definition
MASE	MultipleAccounts	The reporting is for a single event and for multiple accounts.
SAME	MultipleEvents	The reporting is for multiple events for a single account.

16.2.2.46 CorporateActionStatementType2Code

Definition: Indicates whether the statement contains missing instructions only or all instructions.

Type: CodeSet

CodeName	Name	Definition
MISS	Missing	Statement contains missing instructions.
ALLL	All	Statement contains all instructions.
BALO	BalanceOnlyNotification	Balance information with no instruction details provided.
BALI	BalanceInformation	Balance information with instruction details.

16.2.2.47 CorporateActionTaxableIncomePerShareCalculated1Code

Definition: Specifies whether the fund calculates the taxable income per dividend/taxable income per share (TID/TIS).

Type: CodeSet

CodeName	Name	Definition
TDIY	Calculated	Fund is calculating the taxable income per dividend/taxable income per share.
TDIN	NotCalculated	Fund is not calculating the taxable income per dividend/taxable income per share.
UKWN	Unknown	Unknown whether the fund is calculating the taxable income per dividend/taxable income per share.

16.2.2.48 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.2.2.49 CreditDebitCode

Definition: Specifies if an operation is an increase or a decrease.

Type: CodeSet

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

16.2.2.50 DateType1Code

Definition: Specifies when date is unknown.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

16.2.2.51 DateType7Code

Definition: Type of date.

Type: CodeSet

CodeName	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.2.2.52 DateType8Code

Definition: Specifies the type of dates.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

16.2.2.53 DateType9Code

Definition: Date is specified as a fixed code value.

Type: CodeSet

CodeName	Name	Definition
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
UKWN	Unknown	Date is unknown by the sender or has not been established.

16.2.2.54 DeemedRateType1Code

Definition: Specifies the type of a deemed rate.

Type: CodeSet

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

16.2.2.55 DeliveryReceiptType2Code

Definition: Specifies how the transaction is to be settled.

Type: CodeSet

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

16.2.2.56 DistributionType3Code

Definition: Specifies whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

Type: CodeSet

CodeName	Name	Definition
FINL	Final	Final payment.
INTE	Interim	Interim payment.
ONGO	Ongoing	Event is ongoing for acceptance on an unsolicited basis.
ROLL	RollingBasis	Event will have a number of acceptance and payment dates until further announcement by the Issuer or its agent.

16.2.2.57 DividendRateType1Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.

16.2.2.58 ElectionMovementType2Code

Definition: Specifies the effect on the holdings of electing a Corporate Action option.

Type: CodeSet

CodeName	Name	Definition
DRCT	Direct	Direct movement of resource, that is, cash or security that is directly credited to the paying agent account.
SEQD	Sequestered	Election results in a change of balance type that transfers control of the securities rather than the securities themselves.

16.2.2.59 EUCapitalGain2Code

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June) for an income realised upon sale, a refund or redemption of shares and units etc.

Type: CodeSet

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.

CodeName	Name	Definition
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

16.2.2.60 EventCompletenessStatus1Code

Definition: Indicates whether the details provided about an event are complete or incomplete.

Type: CodeSet

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

16.2.2.61 EventConfirmationStatus1Code

Definition: Indicates the status of the occurrence of an event.

Type: CodeSet

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

16.2.2.62 EventFrequency4Code

Definition: Specifies the regularity of an event.

Type: CodeSet

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
ADHO	Adhoc	Event takes place as necessary.
MNTH	Monthly	Event takes place every month or once a month.
DAIL	Daily	Event takes place every day.
INDA	IntraDay	Event takes place several times a day.
WEEK	Weekly	Event takes place once a week.

16.2.2.63 EventSequenceType1Code

Definition: Specifies whether the event is an interim or a final event in a series of successive events.

Type: CodeSet

CodeName	Name	Definition
FINL	Final	Final event in a series of successive events.

CodeName	Name	Definition
INTE	Interim	Interim event in a series of successive events.

16.2.2.64 ExternalClearingSystemIdentification1Code

Definition: Specifies the clearing system identification code, as published in an external clearing system identification code list.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	5

16.2.2.65 ExternalFinancialInstitutionIdentification1Code

Definition: Specifies the external financial institution identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

16.2.2.66 ExternalFinancialInstrumentIdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

16.2.2.67 ExternalOrganisationIdentification1Code

Definition: Specifies the external organisation identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

16.2.2.68 ExternalPersonIdentification1Code

Definition: Specifies the external person identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

16.2.2.69 FractionDispositionType10Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
EXPI	Expire	Let fractions expire worthless.
DIST	IssueFraction	Take distribution of fractions in the form of securities.

16.2.2.70 FractionDispositionType11Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.

CodeName	Name	Definition
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.

16.2.2.71 FractionDispositionType8Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

16.2.2.72 FractionDispositionType9Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

CodeName	Name	Definition
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.

CodeName	Name	Definition
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

16.2.2.73 GrossDividendRateType6Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.

16.2.2.74 GrossDividendRateType7Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.

CodeName	Name	Definition
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

16.2.2.75 InterestComputationMethod2Code

Definition: Specifies the method used to compute accruing interest of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
A001	IC30360ISDAor30360AmericanBasicRule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February, and provided that the interest period started on a 30th or a 31st. This means that a 31st is assumed to be a 30th if the period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). This is

CodeName	Name	Definition
		the most commonly used 30/360 method for US straight and convertible bonds.
A002	IC30365	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th).
A003	IC30Actual	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year.
A004	Actual360	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 360-day year.
A005	Actual365Fixed	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year.
A006	ActualActualICMA	Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular (first or last coupon), it is extended or split into quasi-interest periods that have the length of a regular coupon period and the computation is operated separately on each quasi-interest period and the intermediate results are summed up.
A007	IC30E360orEuroBondBasismodel1	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. However, if the

CodeName	Name	Definition
		last day of the maturity coupon period is the last day of February, it will not be assumed to be the 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the coupon periods are scheduled to end on the last day of the month.
A008	ActualActualISDA	Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall (falling on a normal year, year) divided by 365, added to the actual number of days of the interest period that fall (falling on a leap year, year) divided by 366.
A009	Actual365LorActuActubasisRule	Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year).
A010	ActualActualAFB	Method whereby interest is calculated based on the actual number of accrued days and a 366-day year (if 29 Feb falls in the coupon period) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year subperiods counting backwards from the end of the coupon period (a year backwards from 28 Feb being 29 Feb, if it exists). The first of the subperiods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each subperiod and the intermediate results are summed up.
A011	IC30360ICMAor30360basicrule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). It is the most commonly used 30/360 method for non-US straight and convertible bonds issued before 1 January 1999.
A012	IC30E2360orEurobondbasismodel2	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for the last day of February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is

CodeName	Name	Definition
		higher and if the period is one of a regular schedule. This means that the 31st is assumed to be the 30th and 28 Feb of a non-leap year is assumed to be equivalent to 29 Feb when the first day of the interest period is the 29th, or to 30 Feb when the first day of the interest period is the 30th or the 31st. The 29th day of February in a leap year is assumed to be equivalent to 30 Feb when the first day of the interest period is the 30th or the 31st. Similarly, if the coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on 30 Feb when the end of the period is the 30th or the 31st, or two days of interest in February when the end of the period is the 29th, or three days of interest in February when it is 28 Feb of a non-leap year and the end of the period is before the 29th.
A013	IC30E3360orEurobondbasismodel3	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always assumed to be the 30th, even if it is the last day of the maturity coupon period.
A014	Actual365NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 365-day year.
NARR	Narrative	Other method than A001-A020. See Narrative.

16.2.2.76 IntermediateSecurityDistributionType5Code

Definition: Specifies the type of intermediate security distribution.

Type: CodeSet

CodeName	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.

CodeName	Name	Definition
DVOP	DividendOption	Distribution of dividend option.
EXRI	SubscriptionRights	Distribution of subscription rights.
PRIO	OpenOfferRights	Distribution of open offer rights.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.
SPLF	StockSplit	Also known as change in nominal value or subdivision. Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
BONU	BonusRights	Distribution of bonus rights.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.

16.2.2.77 ISO2ALanguageCode

Definition: Identification of the language name according to the ISO 639-1 codes. The type is validated by the list of values coded with two alphabetic characters, defined in the standard.

Type: CodeSet

Format

pattern [a-z]{2,2}

16.2.2.78 IssuerTaxability2Code

Definition: Specifies whether the corporate action proceeds are taxable at issuer level.

Type: CodeSet

CodeName	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

16.2.2.79 LotteryType1Code

Definition: Specifies the type of lottery.

Type: CodeSet

CodeName	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

16.2.2.80 MarketType2Code

Definition: Specifies the type of market in which transactions take place, for example, primary.

Type: CodeSet

CodeName	Name	Definition
PRIM	PrimaryMarket	The place is a primary market.
SECM	SecondaryMarket	The place is a secondary market.
OTCO	OverTheCounter	The place is over the counter.
VARI	Various	Various places.
EXCH	StockExchange	The place is a stock exchange.

16.2.2.81 NamePrefix2Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

16.2.2.82 NetDividendRateType6Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

16.2.2.83 NetDividendRateType7Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.

CodeName	Name	Definition
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.

16.2.2.84 NewSecuritiesIssuanceType5Code

Definition: Specifies the type of securities proceeds; whether they are newly issued or not, defeased or non-defeased.

Type: CodeSet

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
EXIS	ExistingIssue	Securities proceeds are not new issues.
NEIS	NewIssue	Securities proceeds are newly issued.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.

CodeName	Name	Definition
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.

16.2.2.85 NewSecuritiesIssuanceType6Code

Definition: Specifies the type of securities proceeds; whether they are defeased or non-defeased.

Type: CodeSet

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.

16.2.2.86 NonEligibleProceedsIndicator1Code

Definition: Specifies information regarding the non eligibility of the outturn resources.

Type: CodeSet

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.

16.2.2.87 NonEligibleProceedsIndicator2Code

Definition: Specifies information regarding the non eligibility of the outturn resources.

Type: CodeSet

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.
NELS	NonEligibleSecurity	Security not eligible in the Central Securities Depository (CSD).

16.2.2.88 NoReasonCode

Definition: Specifies that there is no reason available.

Type: CodeSet

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

16.2.2.89 OfferType4Code

Definition: Specifies the conditions that apply to the offer.

Type: CodeSet

CodeName	Name	Definition
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
NDIS	DissenterRightsNotApplicable	Dissenter's rights are not applicable for this option of the offer.
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.

16.2.2.90 OptionAvailabilityStatus1Code

Definition: Specifies the status of the option availability.

Type: CodeSet

CodeName	Name	Definition
INTV	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
CANC	Cancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.

16.2.2.91 OptionFeatures12Code

Definition: Specifies the features that may apply to a corporate action option.

Type: CodeSet

CodeName	Name	Definition
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.

16.2.2.92 OptionFeatures13Code

Definition: Specifies the features that may apply to a corporate action option.

Type: CodeSet

CodeName	Name	Definition
ASVO	AccountServicerOption	Option is offered by the account servicer only.
BOIS	BeneficiaryOwnerInstruction	Indicates that the holder needs to instruct at beneficiary owner level only.
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
NOSE	NoServiceOffered	Feature whereby the holder must elect directly to the issuer's agent (issuer only supported option).
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
CAOS	OptionApplicability	Option applicability is not subject to the account owner decision but depends on the terms defined by the issuer, for

CodeName	Name	Definition
		example in the case of equity linked notes or warrants.
PINS	PreviousInstructionInvalidity	Indicates the previously sent instructions becomes invalid. This is only applicable after a market deadline extension.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.
QCAS	InstructCashAmount	Feature whereby the holder should only instruct a cash amount.
SHAR	ShareholderNumber	Indicates usage of a reference number to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.

16.2.2.93 OptionFeatures14Code

Definition: Specifies the feature of an option.

Type: CodeSet

CodeName	Name	Definition
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.

16.2.2.94 OptionNumber1Code

Definition: Code identifying special corporate action option numbers.

Type: CodeSet

CodeName	Name	Definition
UNSO	Unsolicited	The corporate action instruction is unsolicited, that is, the instruction has not been preceded by a corporate action notification.

16.2.2.95 OptionStyle2Code

Definition: Defines how an option can be exercised.

Type: CodeSet

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.

16.2.2.96 Payment1Code

Definition: Specifies the type of payment.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.
CONT	ContractualPayment	The cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer.

16.2.2.97 Payment2Code

Definition: Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.

16.2.2.98 PendingCancellationReason5Code

Definition: Specifies the reason why the cancellation request has a pending status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.

16.2.2.99 PendingReason19Code

Definition: Standard code to specify the reason why the instruction/event has a pending status.

Type: CodeSet

CodeName	Name	Definition
NSEC	NotDelivered	Financial instruments have not been delivered by the issuer.
NPAY	NoPayment	Payment has not been made by issuer.
OTHR	Other	Other. See Narrative.
AUTH	SentToTaxAuthorities	Tax reclaim has been sent to the tax authorities.
VLDA	ValidForTaxAuthorities	For tax reclaim, the event is pending, the tax reclaim is valid for the tax authorities.
MCER	MissingCertification	Awaiting receipt of adequate certification.

16.2.2.100 PendingReason27Code

Definition: Specifies the reason why the instruction has a pending status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
OTHR	Other	Other. See Narrative.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
MCER	MissingCertification	Awaiting receipt of adequate certification.
MONY	InsufficientMoney	Insufficient money in your account.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.

CodeName	Name	Definition
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
PENR	PendingReceipt	The instruction is pending receipt of securities, for example, from a purchase or loan.
CERT	WrongCertificatesNumbers	Certificate number error.
DQCS	DisagreementOnCashAmount	Unrecognised or invalid instructed cash amount.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.
MTAX	MissingTaxRate	Tax rate is missing.
SNAV	SystemNotAvailable	System is not available. Instruction is sent outside of business hours.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.

16.2.2.101 PreferredContactMethod1Code

Definition: Preferred method used to reach the individual contact within an organisation.

Type: CodeSet

CodeName	Name	Definition
LETT	Letter	Preferred method used to reach the contact is per letter.
MAIL	Email	Preferred method used to reach the contact is per email.
PHON	Phone	Preferred method used to reach the contact is per phone.
FAXX	Fax	Preferred method used to reach the contact is per fax.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.

16.2.2.102 PriceRateType3Code

Definition: Specifies the type of price rate.

Type: CodeSet

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for

CodeName	Name	Definition
		example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

16.2.2.103 PriceValueType10Code

Definition: Specifies the value of a price.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.2.2.104 PriceValueType8Code

Definition: Specifies a type of price value.

Type: CodeSet

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.
NILP	NilPayment	Price will not be paid.

16.2.2.105 PriceValueType9Code

Definition: Specifies a type of price value.

Type: CodeSet

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.

16.2.2.106 ProcessingPosition3Code

Definition: Specifies the processing position.

Type: CodeSet

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.

16.2.2.107 ProtectInstructionStatus3Code

Definition: Specifies the status of the protect transaction.

Type: CodeSet

CodeName	Name	Definition
OPEN	Open	Protect instruction which has not been fully covered. Will include partial covers.
COVR	FullyCovered	Protect instruction has been fully covered.
EXPI	Expired	Protect instruction has expired.

16.2.2.108 ProtectInstructionStatus4Code

Definition: Specifies the status of the protect transaction.

Type: CodeSet

CodeName	Name	Definition
OPEN	Open	Protect instruction which has not been fully covered. Will include partial covers.

16.2.2.109 ProtectTransactionType2Code

Definition: Specifies the type of protect instruction.

Type: CodeSet

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.
COVP	CoverOnBehalfOfAnotherParticipantTransaction	Reorganisation is a cover on behalf of another participant transaction type.
COVR	CoverProtectTransaction	Reorganisation is a cover protect transaction type.

16.2.2.110 ProtectTransactionType3Code

Definition: Specifies the type of protect instruction.

Type: CodeSet

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.

16.2.2.111 Quantity1Code

Definition: Specifies quantity of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

16.2.2.112 Quantity4Code

Definition: Specifies quantity of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.
ANYA	AnyAndAll	Instruction applies to the entire eligible balance of underlying securities.

16.2.2.113 Quantity5Code

Definition: Specifies quantity of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.

16.2.2.114 RateStatus1Code

Definition: Specifies the type of rate.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

16.2.2.115 RateType10Code

Definition: Specifies the type of rate.

Type: CodeSet

CodeName	Name	Definition
ANYA	AnyAndAll	Any and all rate is sought.
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.2.2.116 RateType13Code

Definition: Specifies the type of rate.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

16.2.2.117 RateType5Code

Definition: Specifies the type of rate.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.2.2.118 RateType7Code

Definition: Specifies the type of rate.

Type: CodeSet

CodeName	Name	Definition
SCHD	Scheduled	Rate of the scheduled payment.
USCD	Unscheduled	Rate of the unscheduled payment.

16.2.2.119 RateValueType7Code

Definition: Specifies the value of a rate.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

16.2.2.120 RejectionReason79Code

Definition: Specifies the reason why the instruction/cancellation request has a rejected status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
INIR	InstructionIrrevocable	Instruction is irrevocable.
ULNK	InvalidReference	Linked reference is unknown.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.
CTCT	MissingContact	Contact information is missing.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.

16.2.2.121 RejectionReason85Code

Definition: Specifies the reason why the instruction request has a rejected status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
CERT	IncorrectCertification	Instruction is rejected since the provided certification is incorrect or incomplete.
INVA	InvalidForTaxAuthorities	For tax reclaim, the reclaim is invalid for the tax authorities.
OPTY	InvalidOptionType	Invalid option type.
ULNK	InvalidReference	Linked reference is unknown.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.

CodeName	Name	Definition
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LATE	MarketDeadlineMissed	Received after market deadline.
NMTY	Mismatch	Mismatch between option number and option type.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
CANC	OptionCancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	OptionInactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
OPNM	OptionNumberRejection	Unrecognised option number.
OTHR	Other	Other. See Narrative.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
REFT	RefusedByTaxAuthorities	Reclaim is refused by the tax authorities.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
EVNM	UnrecognisedIdentification	Unrecognised event number.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
DQCC	CurrencyRejection	Invalid instructed currency.
DQAM	InstructionTypeRejection	Invalid use of instructed amount (QCAS) or of instructed quantity (QINS) qualifiers.
IRDQ	InvalidUseRoundUpQuantity	Instruction is rejected due to invalid use of the additional round up quantity.
DQBV	InvalidBidValue	Bid price / basis points / percentage falls outside of bid range for the event (generally used in Dutch Auctions or Bid Tenders).
DQBI	InvalidBidIncrement	Bid price / basis points / percentage increments rejected due to invalid bid increment for the event (generally used in Dutch Auctions or Bid Tenders).
SHAR	ShareholderNumberRejection	Unrecognised, missing or invalid shareholder number.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.

CodeName	Name	Definition
MTAX	MissingTaxRate	Tax rate is missing.
ISOL	InvalidSolicitationDealerFeeUse	Invalid use of solicitation dealer fee indicator.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
CTCT	MissingContact	Contact information is missing.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
PRON	NoProtectPrivilege	No protect privilege for this event.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.

16.2.2.122 RejectionReason86Code

Definition: Specifies the reason why the instruction request has a rejected status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
CERT	IncorrectCertification	Instruction is rejected since the provided certification is incorrect or incomplete.
INVA	InvalidForTaxAuthorities	For tax reclaim, the reclaim is invalid for the tax authorities.
OPTY	InvalidOptionType	Invalid option type.
ULNK	InvalidReference	Linked reference is unknown.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LATE	MarketDeadlineMissed	Received after market deadline.
NMTY	Mismatch	Mismatch between option number and option type.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.

CodeName	Name	Definition
CANC	OptionCancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	OptionInactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
OPNM	OptionNumberRejection	Unrecognised option number.
OTHR	Other	Other. See Narrative.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
REFT	RefusedByTaxAuthorities	Reclaim is refused by the tax authorities.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
EVNM	UnrecognisedIdentification	Unrecognised event number.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
DQCC	CurrencyRejection	Invalid instructed currency.
DQAM	InstructionTypeRejection	Invalid use of instructed amount (QCAS) or of instructed quantity (QINS) qualifiers.
IRDQ	InvalidUseRoundUpQuantity	Instruction is rejected due to invalid use of the additional round up quantity.
DQBV	InvalidBidValue	Bid price / basis points / percentage falls outside of bid range for the event (generally used in Dutch Auctions or Bid Tenders).
DQBI	InvalidBidIncrement	Bid price / basis points / percentage increments rejected due to invalid bid increment for the event (generally used in Dutch Auctions or Bid Tenders).
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
INIR	InstructionIrrevocable	Instruction is irrevocable.
SHAR	ShareholderNumberRejection	Unrecognised, missing or invalid shareholder number.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
CTCT	MissingContact	Contact information is missing.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.

CodeName	Name	Definition
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
PRON	NoProtectPrivilege	No protect privilege for this event.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.
REJA	RejectedByIssuerAgent	Instruction rejected by the issuer agent.
IPAW	InvalidPaperwork	Required paperwork is invalid, incomplete or missing.
IPED	InstructionPriorToEntitlementDate	Instruction was received prior to entitlement date.

16.2.2.123 RenounceableStatus1Code

Definition: Specifies the renounceable status.

Type: CodeSet

CodeName	Name	Definition
NREN	NonRenounceable	Intermediate securities cannot be sold.
RENO	Renounceable	Intermediate securities can be sold.

16.2.2.124 SafekeepingAccountIdentification1Code

Definition: Specifies the account identification via a code.

Type: CodeSet

CodeName	Name	Definition
GENR	General	Announcement applies to all safekeeping accounts that own underlying financial instrument. (Used for general or preliminary announcements.).

16.2.2.125 SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

16.2.2.126 SafekeepingPlace2Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

16.2.2.127 SafekeepingPlace3Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

16.2.2.128 ShortLong1Code

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

16.2.2.129 StatementUpdateType1Code

Definition: Specifies the nature of a statement update, for example, it is a complete statement.

Type: CodeSet

CodeName	Name	Definition
COMP	Complete	Statement is complete.
DELT	Delta	Statement contains changes only.

16.2.2.130 TypeOfIdentification1Code

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

16.2.2.131 UnicodeChartsCode

Definition: codelist containing the names of the UNICODE code block name as specified on <http://unicode.org/Public/UNIDATA/Blocks.txt>.

Type: CodeSet

Constraints

- ValidationByTable

16.2.2.132 WithholdingTaxRateType1Code

Definition: Specifies the type of withholding tax rate.

Type: CodeSet

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

16.2.3 Date

16.2.3.1 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

16.2.4 DateTime

16.2.4.1 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

16.2.5 IdentifierSet

16.2.5.1 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; AnyBICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

16.2.5.2 BICFIDec2014Identifier

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; BICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

16.2.5.3 CFIOct2015Identifier

Definition: Classification type of the financial instrument, as per the ISO 10962 Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.

Note: this identifier also supports the updated versions of the standard, which were published in 2019 and 2021 (ISO 10962 :2019 and ISO 10962:2021) as there is no update in the pattern.

Type: IdentifierSet

Identification scheme: ANNA; CFIdentifier

Format

pattern [A-Z]{6,6}

16.2.5.4 IBAN2007Identifier

Definition: The International Bank Account Number is a code used internationally by financial institutions to uniquely identify the account of a customer at a financial institution as described in the 2007 edition of the ISO 13616 standard "Banking and related financial services - International Bank Account Number (IBAN)" and replaced by the more recent edition of the standard.

Type: IdentifierSet

Identification scheme: National Banking Association; International Bank Account Number (ISO 13616)

Format

pattern [A-Z]{2,2}[0-9]{2,2}[a-zA-Z0-9]{1,30}

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

16.2.5.5 ISINOct2015Identifier

Definition: The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes

specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

Type: IdentifierSet

Identification scheme: ANNA; ISINIdentifier

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

16.2.5.6 LEIIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Identification scheme: Global LEI System; LEIIdentifier

Format

pattern [A-Z0-9]{18,18}[0-9]{2,2}

16.2.5.7 MICIdentifier

Definition: Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Type: IdentifierSet

Identification scheme: SWIFT; MICIdentifier

Format

pattern [A-Z0-9]{4,4}

16.2.6 Indicator

16.2.6.1 YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

16.2.7 Quantity

16.2.7.1 DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format

totalDigits	18
fractionDigits	17

16.2.7.2 Max30DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6 with a maximum of 30 digits and 29 fraction digits.

Type: Quantity

Format

totalDigits	30
fractionDigits	29

16.2.7.3 Max3Number

Definition: Number (max 999) of objects represented as an integer.

Type: Quantity

Format

totalDigits	3
fractionDigits	0

Constraints

- NumberRule
 - If Number is negative, then Sign must be present.

16.2.7.4 RestrictedFINDecimalNumber

Definition: Number of objects represented as a decimal number, for example, 0.75 or 45.6.

Type: Quantity

Format

totalDigits	14
fractionDigits	14

16.2.8 Rate

16.2.8.1 BaseOne14Rate

Definition: Rate expressed as a decimal, for example, 0.7 is 7/10 and 70% with 13 fractional digits maximum and 14 total digits.

Type: Rate

Format

totalDigits	14
fractionDigits	13
baseValue	1.0

16.2.8.2 BaseOneRate

Definition: Rate expressed as a decimal, for example, 0.7 is 7/10 and 70%.

Type: Rate

Format

totalDigits	11
fractionDigits	10
baseValue	1.0

16.2.8.3 PercentageRate

Definition: Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7%.

Type: Rate

Format

totalDigits	11
fractionDigits	10
baseValue	100.0

16.2.9 Text

16.2.9.1 Exact3NumericText

Definition: Specifies a numeric string with an exact length of 3 digits.

Type: Text

Format

pattern	[0-9]{3}
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16.2.9.2 Exact3UpperCaseAlphaNumericText

Definition: Specifies an upper case alphanumeric string with a length of three characters.

Type: Text

Format

pattern	[A-Z0-9]{3}
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16.2.9.3 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern	[a-zA-Z0-9]{4}
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16.2.9.4 ISO20022MessageIdentificationText

Definition: ISO 20022 Message identifier of an MX message.

Type: Text

Format

pattern	[a-z]{4}\.[0-9]{3}\.[0-9]{3}\.[0-9]{2}
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16.2.9.5 Max128Text

Definition: Specifies a character string with a maximum length of 128 characters.

Type: Text

Format

minLength	1
maxLength	128

16.2.9.6 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

minLength	1
maxLength	140

16.2.9.7 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

minLength	1
maxLength	16

16.2.9.8 Max2048Text

Definition: Specifies a character string with a maximum length of 2048 characters.

Type: Text

Format

minLength	1
maxLength	2048

16.2.9.9 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

minLength	1
maxLength	350

16.2.9.10 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

minLength	1
maxLength	35

16.2.9.11 Max3NumericText

Definition: Specifies a numeric string with a maximum length of 3 digits.

Type: Text

Format

pattern	[0-9]{1,3}
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16.2.9.12 Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

minLength	1
maxLength	4
pattern	[a-zA-Z0-9]{1,4}

16.2.9.13 Max4Text

Definition: Specifies a character string with a maximum length of 4 characters.

Type: Text

Format

minLength	1
maxLength	4

16.2.9.14 Max5NumericText

Definition: Specifies a numeric string with a maximum length of 5 digits.

Type: Text

Format

pattern	[0-9]{1,5}
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16.2.9.15 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

minLength	1
maxLength	70

16.2.9.16 PhoneNumber

Definition: The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+" and "-" (up to 30 characters).

Type: Text

Format

pattern	\+[0-9]{1,3}-[0-9()+\-]{1,30}
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16.2.9.17 RestrictedFINExact2Text

Definition: Specifies a character string with an exact length of 2 characters that must has a pattern XX|TS.

Type: Text

Format

length	2
pattern	XX TS

16.2.9.18 RestrictedFINMax15Text

Definition: Specifies a character string with a maximum length of 15 characters.

Type: Text

Format

minLength	1
maxLength	15

16.2.9.19 RestrictedFINMax210Text

Definition: Specifies a character string with a maximum length of 210 characters.

Type: Text

Format

minLength	1
maxLength	210

16.2.9.20 RestrictedFINMax35Text

Definition: Specifies a character string with a maximum length of 35 characters. It has a pattern `((^[^/]+)+([^\r\n]*))` that disables the use of slash "/" at the beginning and end of line and double slash "//" within the line.

Type: Text

Format

minLength	1
maxLength	35
pattern	<code>((^[^/]+)+([^\r\n]*))</code>

16.2.9.21 RestrictedFINMax50Text

Definition: Specifies a character string with a maximum length of 50 characters. It has a pattern `((^[^/]+)+([^\r\n]*))` that disables the use of slash "/" at the beginning and end of line and double slash "//" within the line.

Type: Text

Format

minLength	1
maxLength	50
pattern	<code>((^[^/]+)+([^\r\n]*))</code>

16.2.9.22 RestrictedFINX2Max34Text

Definition: Specifies a character string with a maximum length of 34 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

minLength	1
maxLength	34
pattern	[0-9a-zA-Z\-\?\:\(\)\.,\'+]{1,34}

16.2.9.23 RestrictedFINXMax140Text

Definition: Specifies a character string with a maximum length of 140 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

minLength	1
maxLength	140
pattern	[0-9a-zA-Z\-\?\:\(\)\.\\n\\r,\'+]{1,140}

16.2.9.24 RestrictedFINXMax16Text

Definition: Specifies a character string with a maximum length of 16 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

minLength	1
maxLength	16
pattern	(([0-9a-zA-Z\-\?\:\(\)\.\\n\\r,\'+]([0-9a-zA-Z\-\?\:\(\)\.\\n\\r,\'+]*([0-9a-zA-Z\-\?\:\(\)\.\\n\\r,\'+])?)*))

16.2.9.25 RestrictedFINXMax210Text

Definition: Specifies a character string with a maximum length of 210 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

minLength	1
maxLength	210
pattern	[0-9a-zA-Z\-\?\:\(\)\.\\n\\r,\'+]{1,210}

16.2.9.26 RestrictedFINXMax256Text

Definition: Specifies a character string with a maximum length of 256 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

minLength	1
maxLength	256
pattern	[0-9a-zA-Z\-\!\?:\(\)\.\\n\r,\"']{1,256}

16.2.9.27 RestrictedFINXMax25Text

Definition: Specifies a character string with a maximum length of 24 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

minLength	1
maxLength	25
pattern	(([0-9a-zA-Z\-\!\?:\(\)\.\\n\r,\"'] ([0-9a-zA-Z\-\!\?:\(\)\.\\n\r,\"']*([0-9a-zA-Z\-\!\?:\(\)\.\\n\r,\"'])?*))

16.2.9.28 RestrictedFINXMax30Text

Definition: Specifies a character string with a maximum length of 30 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

minLength	1
maxLength	30
pattern	(([0-9a-zA-Z\-\!\?:\(\)\.\\n\r,\"'] ([0-9a-zA-Z\-\!\?:\(\)\.\\n\r,\"']*([0-9a-zA-Z\-\!\?:\(\)\.\\n\r,\"'])?*))

16.2.9.29 RestrictedFINXMax31Text

Definition: Specifies a character string with a maximum length of 31 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

minLength	1
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maxLength	31
pattern	[0-9a-zA-Z\-\?\:\(\)\.,\'+]{1,31}

16.2.9.30 RestrictedFINXMax34Text

Definition: Specifies a character string with a maximum length of 34 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

minLength	1
maxLength	34
pattern	(([0-9a-zA-Z\-\?\:\(\)\.,\'+]([0-9a-zA-Z\-\?\:\(\)\.,\'+]*([0-9a-zA-Z\-\?\:\(\)\.,\'+])?)*))

16.2.9.31 RestrictedFINXMax350Text

Definition: Specifies a character string with a maximum length of 350 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

minLength	1
maxLength	350
pattern	[0-9a-zA-Z\-\?\:\(\)\.,\n\r,\'+]{1,350}

16.2.9.32 RestrictedFINXMax35Text

Definition: Specifies a character string with a maximum length of 35 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

minLength	1
maxLength	35
pattern	[0-9a-zA-Z\-\?\:\(\)\.,\'+]{1,35}

16.2.9.33 RestrictedFINZMax2048Text

Definition: Specifies a character string with a maximum length of 2048 characters limited to character set Z that is, a-z A-Z / - ? : () . , ' += ! " % & * < > ; @ # .

Type: Text

Format	
minLength	1
maxLength	2048
pattern	[0-9a-zA-Z!"%&*;;<> \.,\(\)\n\r/=\'+: \? @# \{ \- _]{1,2048}

16.2.9.34 RestrictedFINZMax8000Text

Definition: Specifies a character string with a maximum length of 8000 characters limited to character set Z, that is, a-z A-Z / - ? : () . , ' += ! " % & * < > ; @ # .

Type: Text

Format	
minLength	1
maxLength	8000
pattern	[0-9a-zA-Z!"%&*;;<> \.,\(\)\n\r/=\'+: \? @# \{ \- _]{1,8000}

16.2.10 Time

16.2.10.1 ISOTime

Definition: A particular point in the progression of time in a calendar day expressed in either UTC time format (hh:mm:ss.sssZ), local time with UTC offset format (hh:mm:ss.sss+/-hh:mm), or local time format (hh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

- 1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day
- 2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: Time