

ISO 20022

Investment Funds

Message Definition Report - Part 2

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This document provides details of the Message Definitions for Investment Funds.

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1 Message Set Overview

1.1 List of MessageDefinitions

The following table lists all MessageDefinitions described in this book.

MessageDefinition	Definition
acmt.001.001.08 AccountOpeningInstructionV08	The AccountOpeningInstruction message is sent by an account owner, for example, an investor or its designated agent to the account servicer, for example, a registrar, transfer agent, custodian or securities depository, to instruct the opening of an account or the opening of an account and the establishment of an investment plan.
acmt.002.001.08 AccountDetailsConfirmationV08	The AccountDetailsConfirmation message is sent by an account servicer, for example, a registrar, transfer agent, custodian bank or securities depository to the account owner, for example, an investor to confirm the opening of an account, execution of an AccountModificationInstruction or to return information requested in a GetAccountDetails message.
acmt.003.001.08 AccountModificationInstructionV08	The AccountModificationInstruction message is sent by an account owner, for example, an investor or its designated agent to the account servicer, for example, a registrar, transfer agent, custodian bank or securities depository to modify, that is, create, update or delete specific details of an existing account.
acmt.005.001.06 RequestForAccountManagementStatusReportV06	The RequestForAccountManagementStatusReport message is sent by an account owner, for example, an investor or its designated agent, to the account servicer, for example, a registrar, transfer agent, custodian bank or securities depository to request the status of an AccountOpeningInstruction, GetAccountDetails or an AccountModificationInstruction.
acmt.006.001.07 AccountManagementStatusReportV07	The AccountManagementStatusReport message is sent by an account servicer, for example, a registrar, transfer agent, custodian bank or securities depository to the account owner or its designated agent, for example, an investor to report on the receipt or the processing status of a previously received account management message.
camt.040.001.04 FundEstimatedCashForecastReportV04	<p>A report provider, such as a transfer agent, sends the FundEstimatedCashForecastReport message to the report user, such as an investment manager or pricing agent, to report the estimated cash incomings and outgoings of one or more share classes of an investment fund on one or more trade dates.</p> <p>The cash movements may result from, for example, redemption, subscription, switch transactions or reinvestment of dividends.</p>
camt.041.001.04 FundConfirmedCashForecastReportV04	A report provider, such as a transfer agent, sends the FundConfirmedCashForecastReport message to the report user, such as an investment manager or pricing agent, to report the confirmed cash incomings and outgoings of one or more share classes of an investment fund on one or more trade dates.

MessageDefinition	Definition
	The cash movements may result from, for example, redemption, subscription, switch transactions or reinvestment of dividends.
camt.042.001.04 FundDetailedEstimatedCashForecastReportV04	<p>A report provider, such as a transfer agent, sends the FundDetailedEstimatedCashForecastReport message to the report user, such as an investment manager or pricing agent, to report the estimated cash incomings and outgoings, sorted by country, institution name or other criteria defined by the user of one or more share classes of an investment fund on one or more trade dates.</p> <p>The cash movements may result from, for example, redemption, subscription, switch transactions or reinvestment of dividends.</p>
camt.043.001.04 FundDetailedConfirmedCashForecastReportV04	<p>A report provider, such as a transfer agent, sends the FundDetailedConfirmedCashForecastReport message to the report user, such as an investment manager or pricing agent, to report the confirmed cash incomings and outgoings, sorted by country, institution name or other criteria defined by the user of one or more share classes of an investment fund on one or more trade dates.</p> <p>The cash movements may result from, for example, redemption, subscription, switch transactions or reinvestment of dividends.</p>
camt.044.001.03 FundConfirmedCashForecastReportCancellationV03	A report provider, such as a transfer agent, sends the FundConfirmedCashForecastReportCancellation message to the report user, such as an investment manager or pricing agent, to cancel a previously sent FundConfirmedCashForecastReport message.
camt.045.001.03 FundDetailedConfirmedCashForecastReportCancellationV03	A report provider, such as a transfer agent, sends the FundDetailedConfirmedCashForecastReportCancellation messages to the report user, such as an investment manager, fund accountant or any other interested party, to cancel a previously sent FundDetailedConfirmedCashForecastReport.
reda.001.001.04 PriceReportV04	A report provider, for example, a transfer agent, fund accountant or market data provider, sends the PriceReport message to the report recipient, for example, a fund management company, transfer agent, market data provider, regulator or any other interested party to provide the net asset value and price information for financial instruments on specific trade dates and, optionally, to quote price variation information.
reda.002.001.04 PriceReportCancellationV04	A report provider, for example, a transfer agent, fund accountant or market data provider, sends the PriceReportCancellation message to the report recipient, for example, a fund management company, transfer agent, market data provider, regulator or any other interested party to cancel previously sent prices.
reda.004.001.06 FundReferenceDataReportV06	The FundReferenceDataReport message is sent by a report provider, for example, a fund promoter, fund management company, transfer agent, or market data provider to the report recipient, for example, a

MessageDefinition	Definition
	professional investor, investment fund distributor, market data provider, regulator or other interested party, to provide the key reference data for financial instruments to facilitate trading. The message may also include reporting data concerning product governance, such as target market data, and a breakdown of the costs and fees.
reda.005.001.03 InvestmentFundReportRequestV03	<p>The InvestmentFundReportRequest message is sent by a report user, for example, a professional investor, investment fund distributor, market data provider, regulator or other interested party to the report provider, for example, a fund promoter, fund management company, transfer agent, or market data provider to request a report.</p> <p>The Investment Fund Report Request message can be used to request one or many fund reference data report messages.</p>
semt.001.001.03 SecuritiesMessageRejectionV03	<p>An account servicer, for example, a registrar, transfer agent or custodian bank, sends the SecuritiesMessageRejection message to the account owner, for example, an investor or its authorised agent, to reject a previously received message on which action cannot be taken.</p> <p>The message may also be sent by an executing party, for example, transfer agent to the instructing party, for example, investment manager or its authorised representative to reject a previously received message on which action cannot be taken.</p>
semt.002.001.02 CustodyStatementOfHoldingsV02	<p>An account servicer, for example, a transfer agent sends the CustodyStatementOfHoldings message to the account owner, for example, a fund manager or an account owner's designated agent to provide detailed holdings of the portfolio at a specified moment in time.</p> <p>The message provides, at a moment in time, the quantity and identification of the financial instruments that the account servicer holds for the account owner. The message can also include availability and the location of holdings to facilitate trading and minimise settlement issues.</p> <p>The message reports all information per financial instrument, ie, when a financial instrument is held at multiple places of safekeeping, the total holdings for all locations can be provided.</p>
semt.003.001.02 AccountingStatementOfHoldingsV02	<p>An account servicer, for example, a transfer agent sends the AccountingStatementOfHoldings message to the account owner, for example, a fund manager or an account owner's designated agent to provide detailed holdings of the portfolio at a specified moment in time.</p> <p>The message provides, at a moment in time, valuations of the portfolio together with details of each financial instrument holding.</p> <p>The message can be sent either audited or un-audited and may be provided on a trade date or settlement date basis.</p>

MessageDefinition	Definition
semt.004.001.02 CustodyStatementOfHoldingsCancellationV02	An account servicer, for example, a transfer agent, sends the CustodyStatementOfHoldingsCancellation message to the account owner, for example, an investment manager or its authorised representative to cancel a previously sent CustodyStatementOfHoldings message.
semt.005.001.02 AccountingStatementOfHoldingsCancellationV02	An account servicer, for example, a transfer agent, sends the AccountingStatementOfHoldingsCancellation message to the account owner, for example, a fund manager or an account owner's designated agent, to notify the cancellation of a previously sent AccountingStatementOfHoldings message.
semt.006.001.03 StatementOfInvestmentFundTransactionsV03	An account servicer, for example, a transfer agent sends the StatementOfInvestmentFundTransactions message to the account owner, for example, an investment manager or its authorised representative to provide detailed transactions (increases and decreases) of holdings which occurred during a specified period of time.
semt.007.001.03 StatementOfInvestmentFundTransactionsCancellationV03	An account servicer, for example, a transfer agent sends the StatementOfInvestmentFundTransactionsCancellation message to the account owner, for example, an investment manager or its authorised representative to cancel a previously sent StatementOfInvestmentFundTransactions message.
semt.012.001.01 AlternativeFundsAccountingStatementOfHoldingsV01	<p>An account servicer, for example, a transfer agent or administrator, sends the AlternativeFundsAccountingStatementOfHoldings message to the account owner, for example, an investment manager, custodian, fund manager or an account owner's designated agent, to provide detailed holdings of the portfolio at a specified moment in time.</p> <p>There may be one or more parties between the account servicer and the account owner.</p> <p>The message provides, at a moment in time, valuations of the portfolio together with details of each financial instrument holding.</p>
sese.001.001.09 TransferOutInstructionV09	<p>The TransferOutInstruction message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct the delivery of a financial instrument, free of payment, on a given date from a specified party.</p> <p>This message may also be used to instruct the delivery of a financial instrument, free of payment, to another of the instructing parties own accounts or to a third party.</p>
sese.002.001.09 TransferOutCancellationRequestV09	The TransferOutCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent transfer out instruction.
sese.003.001.09 TransferOutConfirmationV09	

MessageDefinition	Definition
sese.004.001.09 ReversalOfTransferOutConfirmationV09	The ReversalOfTransferOutConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent transfer out confirmation.
sese.005.001.09 TransferInInstructionV09	
sese.006.001.09 TransferInCancellationRequestV09	The TransferInCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent transfer in instruction.
sese.007.001.09 TransferInConfirmationV09	<p>The TransferInConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the receipt of a financial instrument, free of payment, on a given date, from a specified party.</p> <p>This message may also be used to confirm the receipt of a financial instrument, free of payment, from another of the instructing parties own accounts or from a third party.</p> <p>This message may also be used as an advice, that is, the message is used to provide account information.</p>
sese.008.001.09 ReversalOfTransferInConfirmationV09	The ReversalOfTransferInConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent transfer in confirmation.
sese.009.001.08 RequestForTransferStatusReportV08	<p>The RequestForTransferStatusReport is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent to request the status of a previously instructed transfer.</p> <p>The RequestForTransferStatusReport may also be sent by any party in the transfer transaction to another party in the transfer transaction chain to request information relevant for the settlement of a transfer transaction.</p>
sese.010.001.07 TransferCancellationStatusReportV07	The TransferCancellationStatusReport message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or one of its authorised representatives to provide the status of a previously received transfer cancellation instruction.
sese.011.001.09 TransferInstructionStatusReportV09	The TransferInstructionStatusReport message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or one of its authorised representatives to provide the status of a previously received request for holdings information, portfolio transfer or transfer instruction.

MessageDefinition	Definition
	<p>It may also be used to report the status of an event related to the asset being transferred or provide additional information.</p> <p>The TransferInstructionStatusReport message may also be sent by any party in the transfer transaction to another party in the transfer transaction chain to provide information relevant for the settlement of a transfer transaction.</p>
sese.012.001.11 PortfolioTransferInstructionV11	The PortfolioTransferInstruction message is sent by an instructing party, for example, a (new) plan manager (transferee), to the executing party, for example, a (old) plan manager (transferor), on behalf of the initiating party, for example, an investor (client), to instruct the transfer of financial instruments from the client's account at the old plan manager (transferor) to the clients account at the new plan manager (transferee).
sese.013.001.11 PortfolioTransferConfirmationV11	The PortfolioTransferConfirmation message is sent by an executing party, for example, a (old) plan manager (transferor), to the instructing party for example, a (new) plan manager (transferee), to confirm the transfer of financial instruments from the client's account at the old plan manager (transferor) to the clients account at the new plan manager (transferee).
sese.014.001.09 PortfolioTransferCancellationRequestV09	The PortfolioTransferCancellationRequest message is sent by, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent PortfolioTransferInstruction message.
sese.018.001.09 AccountHoldingInformationV09	The AccountHoldingInformation message is sent by an executing party, for example, a (old) plan manager (transferor), to the instructing party, for example, a (new) plan manager (transferee), to provide information about financial instruments held on behalf of a client.
sese.019.001.08 AccountHoldingInformationRequestV08	The AccountHoldingInformationRequest message is sent by an instructing party, for example, a (new) plan manager (transferee), to the executing party, for example, a (old) plan manager (transferor), on behalf of the initiating party, for example, an investor (client), to request information about financial instruments held on behalf of the client.
setr.001.001.04 RedemptionBulkOrderV04	The RedemptionBulkOrder message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct a redemption from a financial instrument for two or more accounts.
setr.002.001.04 RedemptionBulkOrderCancellationRequestV04	The RedemptionBulkOrderCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent RedemptionBulkOrder.
setr.003.001.04 RedemptionBulkOrderConfirmationV04	The RedemptionBulkOrderConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to

MessageDefinition	Definition
	confirm the details of execution for a previously received RedemptionBulkOrder message.
setr.004.001.04 RedemptionOrderV04	The RedemptionOrder message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct the redemption of one or more financial instruments for one investment fund account.
setr.005.001.04 RedemptionOrderCancellationRequestV04	The RedemptionOrderCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent RedemptionOrder.
setr.006.001.04 RedemptionOrderConfirmationV04	The RedemptionOrderConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the details of execution for a previously received RedemptionOrder message.
setr.007.001.04 SubscriptionBulkOrderV04	The SubscriptionBulkOrder message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct a subscription to a financial instrument for two or more accounts.
setr.008.001.04 SubscriptionBulkOrderCancellationRequestV04	The SubscriptionBulkOrderCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent SubscriptionBulkOrder.
setr.009.001.04 SubscriptionBulkOrderConfirmationV04	The SubscriptionBulkOrderConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the details of the execution of a SubscriptionBulkOrder instruction.
setr.010.001.04 SubscriptionOrderV04	The SubscriptionOrder message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct the subscription of one or more financial instruments for one investment fund account.
setr.011.001.04 SubscriptionOrderCancellationRequestV04	The SubscriptionOrderCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent SubscriptionOrder.
setr.012.001.04 SubscriptionOrderConfirmationV04	The SubscriptionOrderConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the details of the execution of a SubscriptionOrder instruction.
setr.013.001.04	The SwitchOrder message is sent by an instructing party, for example, an investment manager or its

MessageDefinition	Definition
SwitchOrderV04	authorised representative, to the executing party, for example, a transfer agent, to instruct a switch transaction from a financial instrument or multiple financial instruments to a different specified financial instrument or instruments for a specified amount/ quantity.
setr.014.001.04 SwitchOrderCancellationRequestV04	The SwitchOrderCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent SwitchOrder instruction.
setr.015.001.04 SwitchOrderConfirmationV04	The SwitchOrderConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the details of the execution of a previously received SwitchOrder instruction.
setr.016.001.04 OrderInstructionStatusReportV04	The OrderInstructionStatusReport message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to report the status of an order from the time the executing party receives the order until the time the order is executed.
setr.017.001.04 OrderCancellationStatusReportV04	The OrderCancellationStatusReport message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to report the status of an order cancellation request that was previously received.
setr.018.001.04 RequestForOrderStatusReportV04	The RequestForOrderStatusReport message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the status of one or more order instructions or order cancellation requests.
setr.047.001.02 SubscriptionOrderConfirmationCancellationInstructionV02	The SubscriptionOrderConfirmationCancellationInstruction message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent SubscriptionOrderConfirmation.
setr.049.001.02 SubscriptionBulkOrderConfirmationCancellationInstructionV02	The SubscriptionBulkOrderConfirmationCancellationInstruction message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent SubscriptionBulkOrderConfirmation.
setr.051.001.02 RedemptionOrderConfirmationCancellationInstructionV02	The RedemptionOrderConfirmationCancellationInstruction message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent RedemptionOrderConfirmation.

MessageDefinition	Definition
setr.053.001.02 RedemptionBulkOrderConfirmationCancellationInstructionV02	The RedemptionBulkOrderConfirmationCancellationInstruction message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent RedemptionBulkOrderConfirmation.
setr.055.001.02 SwitchOrderConfirmationCancellationInstructionV02	The SwitchOrderConfirmationCancellationInstruction message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent SwitchOrderConfirmation.
setr.057.001.02 OrderConfirmationStatusReportV02	The OrderConfirmationStatusReport message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to report the status of an order confirmation or an order confirmation amendment.
setr.058.001.02 RequestForOrderConfirmationStatusReportV02	The RequestForOrderConfirmationStatusReport message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to request the status of one or more order confirmations.

2 **acmt.001.001.08** **AccountOpeningInstructionV08**

2.1 **MessageDefinition Functionality**

Scope

The AccountOpeningInstruction message is sent by an account owner, for example, an investor or its designated agent to the account servicer, for example, a registrar, transfer agent, custodian or securities depository, to instruct the opening of an account or the opening of an account and the establishment of an investment plan.

Usage

The AccountOpeningInstruction is used to open an account directly or indirectly with the account servicer or an intermediary.

In some markets, for example, Australia, and for some products in the United Kingdom, a first order (also known as a deposit instruction) is placed at the same time as the account opening. To cater for this scenario, an order message can be linked (via references in the message) to the AccountOpeningInstruction message when needed.

Execution of the AccountOpeningInstruction is confirmed via an AccountDetailsConfirmation message.

Outline

The AccountOpeningInstructionV08 MessageDefinition is composed of 16 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. OrderReference

Identifies a related order or settlement transaction.

C. PreviousReference

Reference to a linked message that was previously sent.

D. InstructionDetails

Information about the opening instruction.

E. InvestmentAccount

Detailed information about the account to be opened.

F. AccountParties

Information related to parties that are related to the account, for example, primary account owner.

G. Intermediaries

Intermediary or other party related to the management of the account.

H. Placement

Referral information.

I. NewIssueAllocation

Eligibility conditions applicable when there is an allocation of new issues for hedge fund account opening.

J. SavingsInvestmentPlan

Plan that allows individuals to set aside a fixed amount of money at specified intervals, usually for a special purpose, for example, retirement.

K. WithdrawalInvestmentPlan

Plan through which holdings are depleted through regular withdrawals at specified intervals.

L. CashSettlement

Cash settlement standing instruction associated to transactions on the account.

M. ServiceLevelAgreement

Identifies documents to be provided for the account opening.

N. AdditionalInformation

Additional information such as remarks or notes that must be conveyed about the account management activity and or any limitations and restrictions.

O. MarketPracticeVersion

Identifies the market practice to which the message conforms.

P. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

2.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <AcctOpngInstr>	[1..1]		C14, C24	
	MessageIdentification <MsgId>	[1..1]	±		25
	OrderReference <OrdRef>	[0..1]	±		25
	PreviousReference <PrvsRef>	[0..1]	±		25
	InstructionDetails <InstrDtls>	[1..1]			25
	OpeningType <OpngTp>	[1..1]	±		26
	AccountApplicationIdentification <AcctApplId>	[0..1]	Text		26
	ClientReference <ClntRef>	[0..1]	Text		26
	CounterpartyReference <CtrPtyRef>	[0..1]	±		26
	ExistingAccountIdentification <ExstgAcctId>	[0..*]	±		27
	InvestmentAccount <InvstmtAcct>	[1..1]	±	C13, C23, C9	27
	AccountParties <AcctPties>	[1..1]	±		29
	Intermediaries <Intrmies>	[0..*]	±		30
	Placement <Plcmnt>	[0..1]	±	C22	31
	NewIssueAllocation <NewIssseAllcn>	[0..1]	±		31
	SavingsInvestmentPlan <SvgsInvstmtPlan>	[0..50]	±	C3	32
	WithdrawalInvestmentPlan <WdrwlInvstmtPlan>	[0..10]	±	C3	33
	CashSettlement <CshSttlm>	[0..8]	±		34
	ServiceLevelAgreement <SvcLvlAgrmt>	[0..30]	±		35
	AdditionalInformation <AddtlInf>	[0..*]	±		36
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		36
	Extension <Xtnsn>	[0..*]	±	C8	37

2.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AmountRule

If Quantity/Amount is not present, then GrossAmountIndicator is not allowed.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C6 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C7 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C8 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C9 FinancialInstrumentDetailsGuideline

FinancialInstrumentDetails may be present if a single investment fund is associated to the investment account. If several investment funds are associated to the investment account, the FundFamilyName only should be specified.

C10 HoldingCertificateNumberRule

If BlockHolding is CERT (Certificate) then HoldingCertificateNumber is mandatory.

C11 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C12 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C13 IncomePreferenceReinvestment1Rule

If IncomePreference is CASH (Cash), then ReinvestmentDetails is not allowed.

C14 InvestmentAccountIdentificationRule

If InstructionDetails/OpeningType/Code is SUPA (SupplementaryAccountOpening), then InvestmentAccount/Identification must be present and must contain the identification of the main account to which the supplementary account is related.

This constraint is defined at the MessageDefinition level.

C15 MailingIndicatorRule

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

C16 OrganisationElementRule

One of the elements (Name, ShortName, Identification, LegalEntityIdentifier, Purpose, RegistrationCountry, RegistrationDate, PostalAddress, TypeOfOrganisation, PlaceOfListing) must be present.

C17 OwnershipBeneficiaryRateRule

Either Rate or Fraction must be present. Both may be present.

C18 PartialHoldingUnits1Rule

If BlockHolding is NPRH (NonPartialHolding) then PartialHoldingUnits must not be present.

C19 PartialHoldingUnits2Rule

If BlockHolding is PPTH (PartialHolding) then PartialHoldingUnits is mandatory.

C20 PartialHoldingUnits3Rule

If BlockHolding is CERT (Certificate) then PartialHoldingUnits must not be present.

C21 PoliticallyExposedPersonTypeRule

If PoliticallyExposedPersonType/Code is 'NoPoliticalExposure' (NPEX) then PoliticallyExposedPersonStatus is not allowed.

C22 ReferredPlacementAgentRule

ReferredPlacementAgent may only be present if Referred is REFR (Referred).

C23 ReinvestmentPercentage1Rule

Sum of all occurrences of ReinvestmentDetails/ReinvestmentPercentage must not be greater than 100.

C24 UnitRule

If SavingsInvestmentPlan is present, then SavingsInvestmentPlan/Quantity/Unit is not allowed.

On Condition

/SavingsInvestmentPlan[*] is present

Following Must be True

/SavingsInvestmentPlan[*]/Quantity/Unit Must be absent

This constraint is defined at the MessageDefinition level.

C25 ValidationByTable

Must be a valid terrestrial language.

C26 ValidationByTable

Must be a valid nationality.

2.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

2.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

2.4.2 OrderReference <OrdRef>

Presence: [0..1]

Definition: Identifies a related order or settlement transaction.

OrderReference <OrdRef> contains the following elements (see "[InvestmentFundOrder4](#)" on page 1783 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdRef>	[0..1]	Text		1784
	MasterReference <MstrRef>	[0..1]	Text		1784

2.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference13](#)" on page 1492 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1493
	ReferenceIssuer <RefIssr>	[0..1]	±		1493
	MessageName <MsgNm>	[0..1]	Text		1493

2.4.4 InstructionDetails <InstrDtls>

Presence: [1..1]

Definition: Information about the opening instruction.

InstructionDetails <InstrDtls> contains the following **InvestmentAccountOpening4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OpeningType <OpngTp>	[1..1]	±		26
	AccountApplicationIdentification <AcctApplId>	[0..1]	Text		26
	ClientReference <ClntRef>	[0..1]	Text		26
	CounterpartyReference <CtrPtyRef>	[0..1]	±		26
	ExistingAccountIdentification <ExstgAcctId>	[0..*]	±		27

2.4.4.1 OpeningType <OpngTp>

Presence: [1..1]

Definition: Specifies if the account opening instruction is about a newly created account or a supplementary account.

OpeningType <OpngTp> contains one of the following elements (see "[AccountOpeningType1Choice](#)" on page 1213 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1213
Or}	Proprietary <Prtry>	[1..1]	±		1214

2.4.4.2 AccountApplicationIdentification <AcctApplId>

Presence: [0..1]

Definition: Unique and unambiguous identifier of the account opening request at application level.

Datatype: "[Max35Text](#)" on page 2683

2.4.4.3 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous identification of a transaction, for example, a transfer, as assigned by the investor or account owner.

Datatype: "[Max35Text](#)" on page 2683

2.4.4.4 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transaction, for example, a transfer, as allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see ["AdditionalReference13"](#) on page 1492 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1493
	ReferenceIssuer <RefIssr>	[0..1]	±		1493
	MessageName <MsgNm>	[0..1]	Text		1493

2.4.4.5 ExistingAccountIdentification <ExstgAcctId>

Presence: [0..*]

Definition: Account to which the account opening is related.

ExistingAccountIdentification <ExstgAcctId> contains the following elements (see ["Account23"](#) on page 1214 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1214
	RelatedAccountDetails <RltdAcctDtls>	[0..1]	±		1214

2.4.5 InvestmentAccount <InvstmtAcct>

Presence: [1..1]

Definition: Detailed information about the account to be opened.

Impacted by: [C13 "IncomePreferenceReinvestment1Rule"](#), [C23 "ReinvestmentPercentage1Rule"](#), [C9 "FinancialInstrumentDetailsGuideline"](#)

InvestmentAccount <InvstmtAcct> contains the following elements (see "InvestmentAccount73" on page 1536 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1538
	Name <Nm>	[0..1]	Text		1538
	Designation <Dsgnt>	[0..1]	Text		1538
	Type <Tp>	[0..1]	±		1539
	OwnershipType <OwnrshTp>	[1..1]	±		1539
	TaxExemption <TaxXmptn>	[0..1]	±		1539
	StatementFrequency <StmtFrqcy>	[0..1]	±		1539
	ReferenceCurrency <RefCcy>	[0..1]	CodeSet	C2	1540
	Language <Lang>	[0..1]	CodeSet	C34	1540
	IncomePreference <IncmPref>	[0..1]	CodeSet		1540
	ReinvestmentDetails <RinvstmtDtls>	[0..*]	±		1540
	TaxWithholdingMethod <TaxWhldgMtd>	[0..1]	CodeSet		1541
	TaxReporting <TaxRptg>	[0..*]	±		1541
	LetterIntentDetails <LtrInttDtls>	[0..1]	±		1542
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		1543
	RequiredSignatoriesNumber <ReqrdSgntriesNb>	[0..1]	Quantity		1543
	FundFamilyName <FndFmlyNm>	[0..1]	Text		1543
	FinancialInstrumentDetails <FinInstrmDtls>	[0..*]	±	C20	1543
	RoundingDetails <RndgDtls>	[0..1]	±		1544
	AccountServicer <AcctSvcr>	[0..1]	±		1545
	BlockedStatus <BlckdSts>	[0..1]	±		1545
	AccountUsageType <AcctUsgTp>	[0..1]	±		1546
	ForeignStatusCertification <FrgnStsCertfctn>	[0..1]	CodeSet		1546
	AccountSignatureDateTime <AcctSgntrDtTm>	[0..1]	±		1546
	TransactionChannelType <TxChanITp>	[0..1]	±		1546
	InvestmentAccountCategory <InvstmtAcctCtgy>	[0..1]	±		1546
	Pledging <Pldgg>	[0..1]	CodeSet		1547
	Collateral <Coll>	[0..1]	CodeSet		1547
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		1547
	PowerOfAttorneyLevelOfControl <PwrOfAttnyLvIOfCtrl>	[0..1]	±		1548

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1548
	OpeningDate <OpngDt>	[0..1]	±		1548
	ClosingDate <ClsgDt>	[0..1]	±		1548
	NegativeIndicator <NegInd>	[0..1]	Indicator		1549
	ProcessingOrder <PrcgOrdr>	[0..1]	CodeSet		1549
	Liability <Lbilty>	[0..1]	±		1549
	InvestorProfile <InvstrPrfl>	[0..*]	±		1549
	FiscalYear <FscYr>	[0..1]	±		1550

Constraints

- **FinancialInstrumentDetailsGuideline**

FinancialInstrumentDetails may be present if a single investment fund is associated to the investment account. If several investment funds are associated to the investment account, the FundFamilyName only should be specified.

- **IncomePreferenceReinvestment1Rule**

If IncomePreference is CASH (Cash), then ReinvestmentDetails is not allowed.

On Condition
 /IncomePreference is equal to value 'Cash'
 Following Must be True
 /ReinvestmentDetails[*] Must be absent

- **ReinvestmentPercentage1Rule**

Sum of all occurrences of ReinvestmentDetails/ReinvestmentPercentage must not be greater than 100.

2.4.6 AccountParties <AcctPties>

Presence: [1..1]

Definition: Information related to parties that are related to the account, for example, primary account owner.

AccountParties <AcctPties> contains the following elements (see "AccountParties17" on page 1944 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PrincipalAccountParty <PrncplAcctPty>	[1..1]			1945
{Or	PrimaryOwner <PmryOwnr>	[1..1]	±		1945
Or	Trustee <Trstee>	[1..5]	±		1947
Or	Nominee <Nmnee>	[1..1]	±		1949
Or}	JointOwner <JntOwnr>	[1..5]	±		1951
	SecondaryOwner <ScndryOwnr>	[0..*]	±		1953
	Beneficiary <Bnfcry>	[0..*]	±		1955
	PowerOfAttorney <PwrOfAttny>	[0..*]	±		1957
	LegalGuardian <LglGuardn>	[0..*]	±		1959
	CustodianForMinor <CtdnForMnr>	[0..*]	±		1961
	SuccessorOnDeath <SucssrOnDth>	[0..*]	±		1963
	Administrator <Admstr>	[0..*]	±		1965
	OtherParty <OthrPty>	[0..*]	±		1967
	Granter <Grntr>	[0..*]	±		1967
	Settlor <Sttlr>	[0..*]	±		1969
	SeniorManagingOfficial <SnrMggOffcl>	[0..*]	±		1971
	Protector <Prctcr>	[0..*]	±		1973
	RegisteredShareholderName <RegdShrhldrNm>	[0..1]	±		1975

2.4.7 Intermediaries <Intrmies>

Presence: [0..*]

Definition: Intermediary or other party related to the management of the account.

Intermediaries <Intrmies> contains the following elements (see "Intermediary46" on page 1999 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			2000
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2000
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2001
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		2001
	Account <Acct>	[0..1]			2001
	Identification <Id>	[0..1]	Text		2001
	AccountServicer <AcctSvcr>	[1..1]	±		2001
	WaivedTrailerCommissionIndicator <WvdTrlrComssnInd>	[0..1]	Indicator		2002
	Role <Role>	[0..1]	±		2002
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		2002
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		2003
	NameAndAddress <NmAndAdr>	[0..1]	±		2003

2.4.8 Placement <Plcmnt>

Presence: [0..1]

Definition: Referral information.

Impacted by: C22 "ReferredPlacementAgentRule"

Placement <Plcmnt> contains the following elements (see "ReferredAgent3" on page 1996 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Referred <Rfrd>	[1..1]	CodeSet		1996
	ReferredPlacementAgent <RfrdPlcmntAgt>	[0..1]	±		1996

Constraints

- ReferredPlacementAgentRule**

ReferredPlacementAgent may only be present if Referred is REFR (Referred).

2.4.9 NewIssueAllocation <NewIsseAllcn>

Presence: [0..1]

Definition: Eligibility conditions applicable when there is an allocation of new issues for hedge fund account opening.

NewIssueAllocation <NewIsseAllcn> contains the following elements (see "[NewIssueAllocation2](#)" on page 1816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Restricted <Rstrctd>	[1..1]	Indicator		1817
	ExemptPersonReason <XmptPrsnRsn>	[0..1]	Text		1817
	DeMinimus <DeMnms>	[0..1]	±		1817

2.4.10 SavingsInvestmentPlan <SvgsInvstmtPlan>

Presence: [0..50]

Definition: Plan that allows individuals to set aside a fixed amount of money at specified intervals, usually for a special purpose, for example, retirement.

Impacted by: [C3 "AmountRule"](#)

SavingsInvestmentPlan <SvgsInvstmtPlan> contains the following elements (see "InvestmentPlan17" on page 1719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Frequency <Frqcy>	[1..1]	±		1720
	StartDate <StartDt>	[0..1]	Date		1721
	EndDate <EndDt>	[0..1]	Date		1721
	Quantity <Qty>	[1..1]	±		1721
	GrossAmountIndicator <GrssAmtInd>	[0..1]	Indicator		1721
	IncomePreference <IncmPref>	[0..1]	CodeSet		1721
	InitialAmount <InitlAmt>	[0..1]	±		1722
	TotalNumberOfInstalments <TtlNbOfInstlmts>	[0..1]	Quantity		1722
	RoundingDirection <RndgDrctn>	[0..1]	CodeSet		1722
	SecurityDetails <SctyDtls>	[1..50]			1722
	Quantity <Qty>	[1..1]	±		1723
	FinancialInstrument <FinInstrm>	[1..1]	±	C20	1723
	CurrencyOfPlan <CcyOfPlan>	[0..1]	CodeSet	C3	1724
	CashSettlement <CshSttlm>	[0..8]	±		1725
	ContractReference <CtrctRef>	[0..1]	Text		1725
	RelatedContractReference <RltdCtrctRef>	[0..1]	Text		1726
	ProductIdentification <PdctId>	[0..1]	Text		1726
	SLAChargeAndCommissionReference <SLAChrgAndComssnRef>	[0..1]	Text		1726
	InsuranceCover <InsrncCover>	[0..1]	±		1726
	PlanStatus <PlanSts>	[0..1]	±		1726
	InstalmentManagerRole <InstlmtMgrRole>	[0..1]	±		1727

Constraints

- **AmountRule**

If Quantity/Amount is not present, then GrossAmountIndicator is not allowed.

On Condition

/Quantity/Amount is absent

Following Must be True

/GrossAmountIndicator Must be absent

2.4.11 WithdrawalInvestmentPlan <WdrwlInvstmtPlan>

Presence: [0..10]

Definition: Plan through which holdings are depleted through regular withdrawals at specified intervals.

Impacted by: C3 "AmountRule"

WithdrawalInvestmentPlan <WdrwlInvstmtPlan> contains the following elements (see "InvestmentPlan17" on page 1719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Frequency <Frqcy>	[1..1]	±		1720
	StartDate <StartDt>	[0..1]	Date		1721
	EndDate <EndDt>	[0..1]	Date		1721
	Quantity <Qty>	[1..1]	±		1721
	GrossAmountIndicator <GrssAmtInd>	[0..1]	Indicator		1721
	IncomePreference <IncmPref>	[0..1]	CodeSet		1721
	InitialAmount <InitlAmt>	[0..1]	±		1722
	TotalNumberOfInstalments <TtlNbOfInstlmts>	[0..1]	Quantity		1722
	RoundingDirection <RndgDrctn>	[0..1]	CodeSet		1722
	SecurityDetails <SctyDtls>	[1..50]			1722
	Quantity <Qty>	[1..1]	±		1723
	FinancialInstrument <FinInstrm>	[1..1]	±	C20	1723
	CurrencyOfPlan <CcyOfPlan>	[0..1]	CodeSet	C3	1724
	CashSettlement <CshSttlm>	[0..8]	±		1725
	ContractReference <CtrctRef>	[0..1]	Text		1725
	RelatedContractReference <RltdCtrctRef>	[0..1]	Text		1726
	ProductIdentification <PdctId>	[0..1]	Text		1726
	SLAChargeAndCommissionReference <SLAChrgAndComssnRef>	[0..1]	Text		1726
	InsuranceCover <InsrncCover>	[0..1]	±		1726
	PlanStatus <PlanSts>	[0..1]	±		1726
	InstalmentManagerRole <InstlmtMgrRole>	[0..1]	±		1727

Constraints

- **AmountRule**

If Quantity/Amount is not present, then GrossAmountIndicator is not allowed.

On Condition

/Quantity/Amount is absent

Following Must be True

/GrossAmountIndicator Must be absent

2.4.12 CashSettlement <CshSttlm>

Presence: [0..8]

Definition: Cash settlement standing instruction associated to transactions on the account.

CashSettlement <CshSttlm> contains the following elements (see "CashSettlement3" on page 2307 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAccountDetails <CshAcctDtls>	[0..*]			2308
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	2309
	Identification <Id>	[1..1]	±		2309
	AccountOwner <AcctOwnr>	[0..1]	±		2310
	AccountServicer <AcctSvcr>	[0..1]	±		2310
	AccountServicerBranch <AcctSvcrBrnch>	[0..1]	±		2310
	AccountOwnerOtherIdentification <AcctOwnrOthrId>	[0..*]	±		2311
	InvestmentAccountType <InvstmtAcctTp>	[0..1]	±		2311
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2311
	SettlementInstructionReason <SttlmInstrRsn>	[0..1]			2312
{Or	Code <Cd>	[1..1]	CodeSet		2312
Or}	Proprietary <Prtry>	[1..1]	±		2313
	CashAccountPurpose <CshAcctPurp>	[0..1]			2313
{Or	Code <Cd>	[1..1]	CodeSet		2313
Or}	Proprietary <Prtry>	[1..1]	±		2313
	CashAccountDesignation <CshAcctDsgnt>	[0..1]			2314
{Or	Code <Cd>	[1..1]	CodeSet		2314
Or}	Proprietary <Prtry>	[1..1]	±		2314
	DividendPercentage <DvddPctg>	[0..1]	Rate		2314
	OtherCashSettlementDetails <OthrCshSttlmDtls>	[0..*]	±		2315

2.4.13 ServiceLevelAgreement <SvcLvlAgrmt>

Presence: [0..30]

Definition: Identifies documents to be provided for the account opening.

ServiceLevelAgreement <SvcLvlAgrmt> contains the following elements (see "[DocumentToSend4](#)" on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	Text		2278
	Recipient <Rcpt>	[1..1]	±		2279
	MethodOfTransmission <MtdOfTrnsmssn>	[1..1]			2279
{Or	Code <Cd>	[1..1]	CodeSet		2279
Or}	Proprietary <Prtry>	[1..1]	±		2279

2.4.14 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information such as remarks or notes that must be conveyed about the account management activity and or any limitations and restrictions.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditiononallInformation13](#)" on page 1805 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Limitation <Lmttn>	[0..1]	Text		1806
	AdditionalInformation <AddtlInf>	[0..1]	Text		1806
	AccountValidation <AcctVldtn>	[0..1]	Text		1806
	Type <Tp>	[0..1]	Text		1806
	Regulator <Rgltr>	[0..1]	±		1806
	Status <Sts>	[0..1]			1807
{Or	Code <Cd>	[1..1]	CodeSet		1807
Or}	Proprietary <Prtry>	[1..1]	±		1807
	Period <Prd>	[0..1]			1807
	FromDateTime <FrDtTm>	[1..1]	DateTime		1808
	ToDateTime <ToDtTm>	[0..1]	DateTime		1808

2.4.15 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "[MarketPracticeVersion1](#)" on page 1862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1862
	Date <Dt>	[0..1]	YearMonth		1862
	Number <Nb>	[0..1]	Text		1862

2.4.16 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: [C8 "ExtensionRule"](#)

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

3 **acmt.002.001.08** **AccountDetailsConfirmationV08**

3.1 **MessageDefinition Functionality**

Scope

The AccountDetailsConfirmation message is sent by an account servicer, for example, a registrar, transfer agent, custodian bank or securities depository to the account owner, for example, an investor to confirm the opening of an account, execution of an AccountModificationInstruction or to return information requested in a GetAccountDetails message.

Usage

The AccountDetailsConfirmation message is used to confirm the opening of an account, modification of an account or the provision of information requested in a previously sent GetAccountDetails message. The message contains detailed information relevant to the opened account.

When the AccountDetailsConfirmation is used to confirm execution of an AccountModificationInstruction message, it may:

- contain the modified subsets of account details that were specified in the AccountModificationInstruction, and/or
- provide the status of the account.

When the AccountModificationInstruction message is used to instruct the closure of an account, the AccountDetailsConfirmation message is used to confirm the account has been closed.

When the AccountDetailsConfirmation is used to reply to a GetAccountDetails message, it returns the selected subsets of account details that were specified in the GetAccountDetails message.

Outline

The AccountDetailsConfirmationV08 MessageDefinition is composed of 16 MessageBuildingBlocks:

- A. MessageIdentification
Reference that uniquely identifies the message from a business application standpoint.
- B. OrderReference
Identifies a related order or settlement transaction.
- C. RelatedReference
Reference to a linked message that was previously received.
- D. ConfirmationDetails
Information about the request or instruction which triggered this confirmation.
- E. InvestmentAccount
Confirmation of the information related to the investment account.
- F. AccountParties

Confirmation of information related to parties that are related to the account, for example, primary account owner.

G. Intermediaries

Confirmation of an intermediary or other party related to the management of the account.

H. Placement

Confirmation of referral information.

I. NewIssueAllocation

Confirmation of eligibility conditions applicable when there is an allocation of new issues for hedge fund account opening.

J. SavingsInvestmentPlan

Confirmation of the information related to a savings plan that is related to the account.

K. WithdrawalInvestmentPlan

Confirmation of the information related to a withdrawal plan that is related to the account.

L. CashSettlement

Confirmation of a cash settlement standing instruction associated to transactions on the account.

M. ServiceLevelAgreement

Identifies documents to be provided for the account opening.

N. AdditionalInformation

Additional information such as remarks or notes that must be conveyed about the party and or limitations and restrictions.

O. MarketPracticeVersion

Identifies the market practice to which the message conforms.

P. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

3.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AcctDtlsConf>	[1..1]		C22, C33	
	MessageIdentification <MsgId>	[1..1]	±		43
	OrderReference <OrdRef>	[0..1]	±		43
	RelatedReference <RltdRef>	[0..1]	±		44
	ConfirmationDetails <ConfDtls>	[1..1]			44
	ConfirmationType <ConfTp>	[1..1]	±		44
	AccountApplicationIdentification <AcctApplId>	[0..1]	Text		45
	ClientReference <ClntRef>	[0..1]	Text		45
	CounterpartyReference <CtrPtyRef>	[0..1]	±		45
	ExistingAccountIdentification <ExstgAcctId>	[0..*]	±		45
	InvestmentAccount <InvstmtAcct>	[0..1]	±	C21, C31, C17	46
	AccountParties <AcctPties>	[0..1]	±		48
	Intermediaries <Intrmies>	[0..*]	±		49
	Placement <Plcmnt>	[0..1]	±	C30	50
	NewIssueAllocation <NewIsseAllcn>	[0..1]	±		50
	SavingsInvestmentPlan <SvgsInvstmtPlan>	[0..50]	±	C3	51
	WithdrawalInvestmentPlan <WdrwlInvstmtPlan>	[0..10]	±	C3	52
	CashSettlement <CshSttlm>	[0..8]	±		53
	ServiceLevelAgreement <SvcLvlAgrmt>	[0..30]	±		54
	AdditionalInformation <AddtlInf>	[0..*]	±		55
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		55
	Extension <Xtnsn>	[0..*]	±	C16	56

3.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AmountRule

If Quantity/Amount is not present, then GrossAmountIndicator is not allowed.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C6 ClosedStatus1Rule

If Closed is present then Enabled is not allowed.

C7 ClosedStatus2Rule

If Closed is present then Disabled is not allowed.

C8 ClosedStatus3Rule

If Closed is present then Pending is not allowed.

C9 ClosedStatus4Rule

If Closed is present then PendingOpening is not allowed.

C10 ClosedStatus5Rule

If Closed is present then Proforma is not allowed.

C11 ClosedStatus6Rule

If Closed is present then ClosurePending is not allowed.

C12 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C13 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C14 EnabledDisabledStatus1Rule

If Enabled is present, then Disabled is not allowed.

C15 EnabledDisabledStatus2Rule

If Disabled is present, then Enabled is not allowed.

C16 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C17 FinancialInstrumentDetailsGuideline

FinancialInstrumentDetails may be present if a single investment fund is associated to the investment account. If several investment funds are associated to the investment account, the FundFamilyName only should be specified.

C18 HoldingCertificateNumberRule

If BlockHolding is CERT (Certificate) then HoldingCertificateNumber is mandatory.

C19 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C20 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C21 IncomePreferenceReinvestment1Rule

If IncomePreference is CASH (Cash), then ReinvestmentDetails is not allowed.

C22 InvestmentAccountRule

If ConfirmationDetails/ConfirmationType/Code is ACCO (AccountOpening), then InvestmentAccount must be present.

This constraint is defined at the MessageDefinition level.

C23 MailingIndicatorRule

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

C24 OrganisationElementRule

One of the elements (Name, ShortName, Identification, LegalEntityIdentifier, Purpose, RegistrationCountry, RegistrationDate, PostalAddress, TypeOfOrganisation, PlaceOfListing) must be present.

C25 OwnershipBeneficiaryRateRule

Either Rate or Fraction must be present. Both may be present.

C26 PartialHoldingUnits1Rule

If BlockHolding is NPRH (NonPartialHolding) then PartialHoldingUnits must not be present.

C27 PartialHoldingUnits2Rule

If BlockHolding is PRTH (PartialHolding) then PartialHoldingUnits is mandatory.

C28 PartialHoldingUnits3Rule

If BlockHolding is CERT (Certificate) then PartialHoldingUnits must not be present.

C29 PoliticallyExposedPersonTypeRule

If PoliticallyExposedPersonType/Code is 'NoPoliticalExposure' (NPEX) then PoliticallyExposedPersonStatus is not allowed.

C30 ReferredPlacementAgentRule

ReferredPlacementAgent may only be present if Referred is REFR (Referred).

C31 ReinvestmentPercentage1Rule

Sum of all occurrences of ReinvestmentDetails/ReinvestmentPercentage must not be greater than 100.

C32 StatusElementRule

One of the elements (Enabled, Disabled, Pending, PendingOpening, Proforma, Closed, ClosurePending, Other) must be present.

C33 UnitRule

If SavingsInvestmentPlan is present, then SavingsInvestmentPlan/Quantity/Unit is not allowed.

On Condition

/SavingsInvestmentPlan[*] is present

Following Must be True

/SavingsInvestmentPlan[*]/Quantity/Unit Must be absent

This constraint is defined at the MessageDefinition level.

C34 ValidationByTable

Must be a valid terrestrial language.

C35 ValidationByTable

Must be a valid nationality.

3.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

3.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

3.4.2 OrderReference <OrdRef>

Presence: [0..1]

Definition: Identifies a related order or settlement transaction.

OrderReference <OrdRef> contains the following elements (see "InvestmentFundOrder4" on page 1783 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdRef>	[0..1]	Text		1784
	MasterReference <MstrRef>	[0..1]	Text		1784

3.4.3 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference13" on page 1492 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1493
	ReferenceIssuer <RefIssr>	[0..1]	±		1493
	MessageName <MsgNm>	[0..1]	Text		1493

3.4.4 ConfirmationDetails <ConfDtls>

Presence: [1..1]

Definition: Information about the request or instruction which triggered this confirmation.

ConfirmationDetails <ConfDtls> contains the following **AccountManagementConfirmation5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ConfirmationType <ConfTp>	[1..1]	±		44
	AccountApplicationIdentification <AcctAppId>	[0..1]	Text		45
	ClientReference <ClntRef>	[0..1]	Text		45
	CounterpartyReference <CtrPtyRef>	[0..1]	±		45
	ExistingAccountIdentification <ExstgAcctId>	[0..*]	±		45

3.4.4.1 ConfirmationType <ConfTp>

Presence: [1..1]

Definition: Specifies the confirmation type.

ConfirmationType <ConfTp> contains one of the following elements (see "[ConfirmationType1Choice](#)" on page 1212 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1213
Or}	Proprietary <Prtry>	[1..1]	±		1213

3.4.4.2 AccountApplicationIdentification <AcctApplId>

Presence: [0..1]

Definition: Unique and unambiguous identifier of the account opening or modification instruction at application level.

Datatype: "[Max35Text](#)" on page 2683

3.4.4.3 ClientReference <CIntRef>

Presence: [0..1]

Definition: Unique and unambiguous identification of a transaction, for example, a transfer, as assigned by the investor or account owner.

Datatype: "[Max35Text](#)" on page 2683

3.4.4.4 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transaction, for example, a transfer, as allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see "[AdditionalReference13](#)" on page 1492 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1493
	ReferenceIssuer <RefIssr>	[0..1]	±		1493
	MessageName <MsgNm>	[0..1]	Text		1493

3.4.4.5 ExistingAccountIdentification <ExstgAcctId>

Presence: [0..*]

Definition: Account to which the account opening is related.

ExistingAccountIdentification <ExstgAcctId> contains the following elements (see "[Account23](#)" on page 1214 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1214
	RelatedAccountDetails <RltdAcctDtls>	[0..1]	±		1214

3.4.5 InvestmentAccount <InvstmtAcct>

Presence: [0..1]

Definition: Confirmation of the information related to the investment account.

Impacted by: C21 "IncomePreferenceReinvestment1Rule", C31 "ReinvestmentPercentage1Rule", C17 "FinancialInstrumentDetailsGuideline"

InvestmentAccount <InvstmtAcct> contains the following elements (see "InvestmentAccount74" on page 1518 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1520
	AccountStatus <AcctSts>	[0..1]	±	C6, C7, C8, C9, C10, C11, C14, C15, C32	1520
	BlockedStatus <BlckdSts>	[0..1]	±		1522
	StatusDate <StsDt>	[0..1]	±		1522
	Name <Nm>	[0..1]	Text		1523
	Designation <Dsgnt>	[0..1]	Text		1523
	Type <Tp>	[0..1]	±		1523
	OwnershipType <OwnrshTp>	[0..1]	±		1523
	TaxExemption <TaxXmptn>	[0..1]	±		1524
	StatementFrequency <StmtFrqcy>	[0..1]	±		1524
	ReferenceCurrency <RefCcy>	[0..1]	CodeSet	C2	1524
	Language <Lang>	[0..1]	CodeSet	C34	1524
	IncomePreference <IncmPref>	[0..1]	CodeSet		1525
	ReinvestmentDetails <RinvstmtDtls>	[0..*]	±		1525
	TaxWithholdingMethod <TaxWhldgMtd>	[0..1]	CodeSet		1525
	TaxReporting <TaxRptg>	[0..*]	±		1526
	LetterIntentDetails <LtrInttDtls>	[0..1]	±		1527
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		1528
	RequiredSignatoriesNumber <ReqrdSgntriesNb>	[0..1]	Quantity		1528
	FundFamilyName <FndFmlyNm>	[0..1]	Text		1528
	FinancialInstrumentDetails <FinInstrmDtls>	[0..*]	±	C20	1528
	RoundingDetails <RndgDtls>	[0..1]	±		1529
	AccountServicer <AcctSvcr>	[0..1]	±		1530
	AccountUsageType <AcctUsgrTp>	[0..1]	±		1530
	ForeignStatusCertification <FrgrStsCertfctn>	[0..1]	CodeSet		1530
	AccountSignatureDateTime <AcctSgntrDtTm>	[0..1]	±		1530
	TransactionChannelType <TxChanlTp>	[0..1]	±		1531

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InvestmentAccountCategory <InvstmtAcctCtgy>	[0..1]	±		1531
	Pledging <Pldgg>	[0..1]	CodeSet		1531
	Collateral <Coll>	[0..1]	CodeSet		1531
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		1532
	PowerOfAttorneyLevelOfControl <PwrOfAttnyLvIOfCtrl>	[0..1]	±		1532
	AccountingStatus <AcctgSts>	[0..1]	±		1532
	OpeningDate <OpngDt>	[0..1]	±		1533
	ClosingDate <ClsgDt>	[0..1]	±		1533
	NegativeIndicator <NegInd>	[0..1]	Indicator		1533
	ProcessingOrder <PrcgOrdr>	[0..1]	CodeSet		1533
	Liability <Lbilty>	[0..1]	±		1533
	InvestorProfile <InvstrPrfl>	[0..*]	±		1534
	FiscalYear <FscYr>	[0..1]	±		1535

Constraints

- **FinancialInstrumentDetailsGuideline**

FinancialInstrumentDetails may be present if a single investment fund is associated to the investment account. If several investment funds are associated to the investment account, the FundFamilyName only should be specified.

- **IncomePreferenceReinvestment1Rule**

If IncomePreference is CASH (Cash), then ReinvestmentDetails is not allowed.

On Condition

/IncomePreference is equal to value 'Cash'

Following Must be True

/ReinvestmentDetails[*] Must be absent

- **ReinvestmentPercentage1Rule**

Sum of all occurrences of ReinvestmentDetails/ReinvestmentPercentage must not be greater than 100.

3.4.6 AccountParties <AcctPties>

Presence: [0..1]

Definition: Confirmation of information related to parties that are related to the account, for example, primary account owner.

AccountParties <AcctPties> contains the following elements (see "AccountParties17" on page 1944 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PrincipalAccountParty <PrncplAcctPty>	[1..1]			1945
{Or	PrimaryOwner <PmryOwnr>	[1..1]	±		1945
Or	Trustee <Trstee>	[1..5]	±		1947
Or	Nominee <Nmnee>	[1..1]	±		1949
Or}	JointOwner <JntOwnr>	[1..5]	±		1951
	SecondaryOwner <ScndryOwnr>	[0..*]	±		1953
	Beneficiary <Bnfcry>	[0..*]	±		1955
	PowerOfAttorney <PwrOfAttny>	[0..*]	±		1957
	LegalGuardian <LglGuardn>	[0..*]	±		1959
	CustodianForMinor <CtdnForMnr>	[0..*]	±		1961
	SuccessorOnDeath <SucssrOnDth>	[0..*]	±		1963
	Administrator <Admstr>	[0..*]	±		1965
	OtherParty <OthrPty>	[0..*]	±		1967
	Granter <Grntr>	[0..*]	±		1967
	Settlor <Sttlr>	[0..*]	±		1969
	SeniorManagingOfficial <SnrMggOffcl>	[0..*]	±		1971
	Protector <Prctcr>	[0..*]	±		1973
	RegisteredShareholderName <RegdShrhldrNm>	[0..1]	±		1975

3.4.7 Intermediaries <Intrmies>

Presence: [0..*]

Definition: Confirmation of an intermediary or other party related to the management of the account.

Intermediaries <Intrmies> contains the following elements (see "Intermediary46" on page 1999 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			2000
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2000
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2001
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		2001
	Account <Acct>	[0..1]			2001
	Identification <Id>	[0..1]	Text		2001
	AccountServicer <AcctSvcr>	[1..1]	±		2001
	WaivedTrailerCommissionIndicator <WvdTrlrComssnInd>	[0..1]	Indicator		2002
	Role <Role>	[0..1]	±		2002
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		2002
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		2003
	NameAndAddress <NmAndAdr>	[0..1]	±		2003

3.4.8 Placement <Plcmnt>

Presence: [0..1]

Definition: Confirmation of referral information.

Impacted by: C30 "ReferredPlacementAgentRule"

Placement <Plcmnt> contains the following elements (see "ReferredAgent3" on page 1996 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Referred <Rfrd>	[1..1]	CodeSet		1996
	ReferredPlacementAgent <RfrdPlcmntAgt>	[0..1]	±		1996

Constraints

- **ReferredPlacementAgentRule**

ReferredPlacementAgent may only be present if Referred is REFR (Referred).

3.4.9 NewIssueAllocation <NewIsseAllcn>

Presence: [0..1]

Definition: Confirmation of eligibility conditions applicable when there is an allocation of new issues for hedge fund account opening.

NewIssueAllocation <NewIsseAllcn> contains the following elements (see "[NewIssueAllocation2](#)" on page 1816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Restricted <Rstrctd>	[1..1]	Indicator		1817
	ExemptPersonReason <XmptPrsnRsn>	[0..1]	Text		1817
	DeMinimus <DeMnms>	[0..1]	±		1817

3.4.10 SavingsInvestmentPlan <SvgsInvstmtPlan>

Presence: [0..50]

Definition: Confirmation of the information related to a savings plan that is related to the account.

Impacted by: [C3 "AmountRule"](#)

SavingsInvestmentPlan <SvgsInvstmtPlan> contains the following elements (see "InvestmentPlan17" on page 1719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Frequency <Frqcy>	[1..1]	±		1720
	StartDate <StartDt>	[0..1]	Date		1721
	EndDate <EndDt>	[0..1]	Date		1721
	Quantity <Qty>	[1..1]	±		1721
	GrossAmountIndicator <GrssAmtInd>	[0..1]	Indicator		1721
	IncomePreference <IncmPref>	[0..1]	CodeSet		1721
	InitialAmount <InitlAmt>	[0..1]	±		1722
	TotalNumberOfInstalments <TtlNbOfInstlmts>	[0..1]	Quantity		1722
	RoundingDirection <RndgDrctn>	[0..1]	CodeSet		1722
	SecurityDetails <SctyDtls>	[1..50]			1722
	Quantity <Qty>	[1..1]	±		1723
	FinancialInstrument <FinInstrm>	[1..1]	±	C20	1723
	CurrencyOfPlan <CcyOfPlan>	[0..1]	CodeSet	C3	1724
	CashSettlement <CshSttlm>	[0..8]	±		1725
	ContractReference <CtrctRef>	[0..1]	Text		1725
	RelatedContractReference <RltdCtrctRef>	[0..1]	Text		1726
	ProductIdentification <PdctId>	[0..1]	Text		1726
	SLAChargeAndCommissionReference <SLAChrgAndComssnRef>	[0..1]	Text		1726
	InsuranceCover <InsrncCover>	[0..1]	±		1726
	PlanStatus <PlanSts>	[0..1]	±		1726
	InstalmentManagerRole <InstlmtMgrRole>	[0..1]	±		1727

Constraints

- **AmountRule**

If Quantity/Amount is not present, then GrossAmountIndicator is not allowed.

On Condition

/Quantity/Amount is absent

Following Must be True

/GrossAmountIndicator Must be absent

3.4.11 WithdrawalInvestmentPlan <WdrwlInvstmtPlan>

Presence: [0..10]

Definition: Confirmation of the information related to a withdrawal plan that is related to the account.

Impacted by: C3 "AmountRule"

WithdrawalInvestmentPlan <WdrwlInvstmtPlan> contains the following elements (see "InvestmentPlan17" on page 1719 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Frequency <Frqcy>	[1..1]	±		1720
	StartDate <StartDt>	[0..1]	Date		1721
	EndDate <EndDt>	[0..1]	Date		1721
	Quantity <Qty>	[1..1]	±		1721
	GrossAmountIndicator <GrssAmtInd>	[0..1]	Indicator		1721
	IncomePreference <IncmPref>	[0..1]	CodeSet		1721
	InitialAmount <InitlAmt>	[0..1]	±		1722
	TotalNumberOfInstalments <TtlNbOfInstlmts>	[0..1]	Quantity		1722
	RoundingDirection <RndgDrctn>	[0..1]	CodeSet		1722
	SecurityDetails <SctyDtls>	[1..50]			1722
	Quantity <Qty>	[1..1]	±		1723
	FinancialInstrument <FinInstrm>	[1..1]	±	C20	1723
	CurrencyOfPlan <CcyOfPlan>	[0..1]	CodeSet	C3	1724
	CashSettlement <CshSttlm>	[0..8]	±		1725
	ContractReference <CtrctRef>	[0..1]	Text		1725
	RelatedContractReference <RltdCtrctRef>	[0..1]	Text		1726
	ProductIdentification <PdctId>	[0..1]	Text		1726
	SLAChargeAndCommissionReference <SLAChrgAndComssnRef>	[0..1]	Text		1726
	InsuranceCover <InsrncCover>	[0..1]	±		1726
	PlanStatus <PlanSts>	[0..1]	±		1726
	InstalmentManagerRole <InstlmtMgrRole>	[0..1]	±		1727

Constraints

- **AmountRule**

If Quantity/Amount is not present, then GrossAmountIndicator is not allowed.

On Condition

/Quantity/Amount is absent

Following Must be True

/GrossAmountIndicator Must be absent

3.4.12 CashSettlement <CshSttlm>

Presence: [0..8]

Definition: Confirmation of a cash settlement standing instruction associated to transactions on the account.

CashSettlement <CshSttlm> contains the following elements (see "CashSettlement3" on page 2307 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAccountDetails <CshAcctDtls>	[0..*]			2308
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	2309
	Identification <Id>	[1..1]	±		2309
	AccountOwner <AcctOwnr>	[0..1]	±		2310
	AccountServicer <AcctSvcr>	[0..1]	±		2310
	AccountServicerBranch <AcctSvcrBrnch>	[0..1]	±		2310
	AccountOwnerOtherIdentification <AcctOwnrOthrId>	[0..*]	±		2311
	InvestmentAccountType <InvstmtAcctTp>	[0..1]	±		2311
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2311
	SettlementInstructionReason <SttlmInstrRsn>	[0..1]			2312
{Or	Code <Cd>	[1..1]	CodeSet		2312
Or}	Proprietary <Prtry>	[1..1]	±		2313
	CashAccountPurpose <CshAcctPurp>	[0..1]			2313
{Or	Code <Cd>	[1..1]	CodeSet		2313
Or}	Proprietary <Prtry>	[1..1]	±		2313
	CashAccountDesignation <CshAcctDsgnt>	[0..1]			2314
{Or	Code <Cd>	[1..1]	CodeSet		2314
Or}	Proprietary <Prtry>	[1..1]	±		2314
	DividendPercentage <DvddPctg>	[0..1]	Rate		2314
	OtherCashSettlementDetails <OthrCshSttlmDtls>	[0..*]	±		2315

3.4.13 ServiceLevelAgreement <SvcLvlAgrmt>

Presence: [0..30]

Definition: Identifies documents to be provided for the account opening.

ServiceLevelAgreement <SvcLvlAgrmt> contains the following elements (see "DocumentToSend4" on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	Text		2278
	Recipient <Rcpt>	[1..1]	±		2279
	MethodOfTransmission <MtdOfTrnsmssn>	[1..1]			2279
{Or	Code <Cd>	[1..1]	CodeSet		2279
Or}	Proprietary <Prtry>	[1..1]	±		2279

3.4.14 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information such as remarks or notes that must be conveyed about the party and or limitations and restrictions.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditiononallInformation13" on page 1805 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Limitation <Lmttn>	[0..1]	Text		1806
	AdditionalInformation <AddtlInf>	[0..1]	Text		1806
	AccountValidation <AcctVldtn>	[0..1]	Text		1806
	Type <Tp>	[0..1]	Text		1806
	Regulator <Rgltr>	[0..1]	±		1806
	Status <Sts>	[0..1]			1807
{Or	Code <Cd>	[1..1]	CodeSet		1807
Or}	Proprietary <Prtry>	[1..1]	±		1807
	Period <Prd>	[0..1]			1807
	FromDateTime <FrDtTm>	[1..1]	DateTime		1808
	ToDateTime <ToDtTm>	[0..1]	DateTime		1808

3.4.15 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "MarketPracticeVersion1" on page 1862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1862
	Date <Dt>	[0..1]	YearMonth		1862
	Number <Nb>	[0..1]	Text		1862

3.4.16 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C16 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

4 **acmt.003.001.08** **AccountModificationInstructionV08**

4.1 **MessageDefinition Functionality**

Scope

The AccountModificationInstruction message is sent by an account owner, for example, an investor or its designated agent to the account servicer, for example, a registrar, transfer agent, custodian bank or securities depository to modify, that is, create, update or delete specific details of an existing account.

Usage

The AccountModificationInstruction message is used to modify the details of an existing account.

The AccountModificationInstruction message can be used to:

- maintain/update any of the existing account details, for example, to update the address of the beneficiary or modify the preference to income from distribution to capitalisation, or,
- add/create specific details to the existing account when these details were not yet recorded at the time of account creation, for example, to add a second address or to establish new cash settlement standing instructions, or,
- delete specific account details, for example, delete cash standing instructions, or,
- instruct a change to the status of the account, for example, instruct the closure of the account.

The usage of this message may be subject to service level agreement (SLA) between the counterparties.

Execution of the AccountModificationInstruction is confirmed via an AccountDetailsConfirmation message.

Outline

The AccountModificationInstructionV08 MessageDefinition is composed of 16 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PreviousReference

Reference to a linked message that was previously sent.

C. InstructionDetails

Information about the modification instruction.

D. InvestmentAccountSelection

Identifies the account for which the information is modified.

E. ModifiedInvestmentAccount

Information related to general characteristics of the account to be inserted, updated or deleted.

F. ModifiedAccountParties

Information related to an account party to be inserted, updated or deleted.

G. ModifiedIntermediaries

Information related to intermediaries to be inserted, updated or deleted.

H. ModifiedPlacement

Information related to referral information to be inserted, updated or deleted.

I. ModifiedIssueAllocation

Eligibility conditions related to allocation of new issues to be inserted, updated or deleted.

J. ModifiedSavingsInvestmentPlan

Information related to a savings plan to be inserted, updated or deleted.

K. ModifiedWithdrawalInvestmentPlan

Information related to a withdrawal plan to be inserted, updated or deleted.

L. ModifiedCashSettlement

Cash settlement standing instruction to be either inserted or deleted.

M. ModifiedServiceLevelAgreement

Information related to documents to be added, deleted or updated.

N. ModifiedAdditionalInformation

Information related to additional information to be added, deleted or updated.

O. MarketPracticeVersion

Identifies the market practice to which the message conforms.

P. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

4.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AcctModInstr>	[1..1]		C30	
	MessageIdentification <MsgId>	[1..1]	±		63
	PreviousReference <PrvsRef>	[0..1]	±		63
	InstructionDetails <InstrDtls>	[0..1]		C18	63
	ModificationReason <ModRsn>	[0..1]	Text		64
	AccountApplicationIdentification <AcctApplId>	[0..1]	Text		64
	ClientReference <ClntRef>	[0..1]	Text		64
	CounterpartyReference <CtrPtyRef>	[0..1]	±		64
	ExistingAccountIdentification <ExstgAcctId>	[0..*]	±		65
	InvestmentAccountSelection <InvstmtAcctSelctn>	[1..1]			65
{Or	AccountIdentification <AcctId>	[1..1]	Text		65
Or}	OtherAccountSelectionData <OthrAcctSelctnData>	[1..1]	±	C1	65
	ModifiedInvestmentAccount <ModfdInvstmtAcct>	[0..1]	±	C2, C17, C29, C12	66
	ModifiedAccountParties <ModfdAcctPties>	[0..*]	±	C5	69
	ModifiedIntermediaries <ModfdIntrmies>	[0..*]	±		70
	ModifiedPlacement <ModfdPlcmnt>	[0..1]	±		70
	ModifiedIssueAllocation <ModfdIsseAllcn>	[0..1]	±		71
	ModifiedSavingsInvestmentPlan <ModfdSvgsInvstmtPlan>	[0..50]	±		71
	ModifiedWithdrawalInvestmentPlan <ModfdWdrwlInvstmtPlan>	[0..10]	±		72
	ModifiedCashSettlement <ModfdCshSttlm>	[0..8]	±		73
	ModifiedServiceLevelAgreement <ModfdSvcLvAgrmt>	[0..30]	±		74
	ModifiedAdditionalInformation <ModfdAddtlInf>	[0..*]	±		75
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		75
	Extension <Xtnsn>	[0..*]	±	C11	75

4.3 Constraints

C1 AccountDataElementRule

One of the elements (Name, Designation, FundType, FundFamilyName, SecurityDetails, AccountOwner, Intermediary, Account Servicer) must be present.

C2 AccountElementRule

One of the elements (AccountStatusUpdateInstruction, Name, Designation, Type, OwnershipType, TaxExemption, StatementFrequency, ReferenceCurrency, Language, IncomePreference, ReinvestmentDetails, TaxWithholdingMethod, TaxReporting, LetterIntentDetails, AccumulationRightReference, RequiredSignatoriesNumber, FundFamilyName, ModifiedFinancialInstrumentDetails, RoundingDetails, Account Servicer, BlockedStatus, AccountUsageType, ForeignStatusCertification, AccountSignatureDateTime, TransactionChannelType, InvestmentAccountCategory, Pledging, Collateral, ThirdPartyRights, PowerOfAttorneyLevelOfControl, AccountingStatus, OpeningDate, ClosingDate, NegativeIndicator, ProcessingOrder, Liability, ModifiedInvestorProfile, FiscalYear) must be present.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AddressRule

If ModificationScopeIndication is INSE (InsertNewDataSet), then one of the following must be present:

PrincipalAccountParty/./Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

CustodianForMinor/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

SecondaryOwner/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

Beneficiary/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

PowerOfAttorney/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

LegalGuardian/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

SuccessorOnDeath/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

Administrator/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

OtherParty/OtherPartyDetails/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

Granter/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

Settlor/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

SeniorManagingOfficial/./ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

Protector/./ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet).

C6 AmountRule

If Quantity/Amount is not present, then GrossAmountIndicator is not allowed.

C7 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C8 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C9 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C12 FinancialInstrumentDetailsGuideline

ModifiedFinancialInstrumentDetails/FinancialInstrumentDetails may be present if a single investment fund is associated to the investment account. If several investment funds are associated to the investment account, the FundFamilyName only should be specified.

C13 HoldingCertificateNumberRule

If BlockHolding is CERT (Certificate) then HoldingCertificateNumber is mandatory.

C14 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C15 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C16 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C17 IncomePreferenceReinvestmentRule

If IncomePreference is CASH (Cash), then ReinvestmentDetails is not allowed.

C18 InstructionDetailsElementRule

One of the elements (ModificationReason, AccountApplicationIdentification, ClientReference, CounterpartyReference, ExistingAccountIdentification) must be present.

C19 MailingIndicatorRule

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

C20 OrganisationElementRule

One of the elements (Name, ShortName, Identification, LegalEntityIdentifier, Purpose, RegistrationCountry, RegistrationDate, ModifiedPostalAddress, TypeOfOrganisation, PlaceOfListing) must be present.

C21 OrganisationIdentificationRule

Either Identification or Legal Entity Identifier must be present. Both Identification and Legal Entity Identifier may be present.

C22 OwnershipBeneficiaryRateRule

Either Rate or Fraction must be present. Both may be present.

C23 PartialHoldingUnits1Rule

If BlockHolding is NPRH (NonPartialHolding) then PartialHoldingUnits must not be present.

C24 PartialHoldingUnits2Rule

If BlockHolding is PPTH (PartialHolding) then PartialHoldingUnits is mandatory.

C25 PartialHoldingUnits3Rule

If BlockHolding is CERT (Certificate) then PartialHoldingUnits must not be present.

C26 PoliticallyExposedPersonTypeRule

If PoliticallyExposedPersonType/Code is 'NoPoliticalExposure' (NPEX) then PoliticallyExposedPersonStatus is not allowed.

C27 ReasonElementRule

Either Code or AdditionalInformation must be present. Both may be present.

C28 ReferredPlacementAgentRule

ReferredPlacementAgent may only be present if Referred is REFR (Referred).

C29 ReinvestmentPercentageRule

Sum of all occurrences of ReinvestmentDetails/ReinvestmentPercentage must not be greater than 100.

C30 UnitRule

If ModifiedSavingsInvestmentPlan is present, then ModifiedSavingsInvestmentPlan/InvestmentPlan/Quantity/Unit is not allowed.

On Condition

/ModifiedSavingsInvestmentPlan[*] is present

Following Must be True

/ModifiedSavingsInvestmentPlan[*]/InvestmentPlan/Quantity/Unit Must be absent

This constraint is defined at the MessageDefinition level.

C31 ValidationByTable

Must be a valid terrestrial language.

C32 ValidationByTable

Must be a valid nationality.

4.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

4.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

4.4.2 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference13](#)" on page 1492 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1493
	ReferenceIssuer <RefIssr>	[0..1]	±		1493
	MessageName <MsgNm>	[0..1]	Text		1493

4.4.3 InstructionDetails <InstrDtls>

Presence: [0..1]

Definition: Information about the modification instruction.

Impacted by: C18 "InstructionDetailsElementRule"

InstructionDetails <InstrDtls> contains the following **InvestmentAccountModification4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationReason <ModRsn>	[0..1]	Text		64
	AccountApplicationIdentification <AcctApplId>	[0..1]	Text		64
	ClientReference <CIntRef>	[0..1]	Text		64
	CounterpartyReference <CtrPtyRef>	[0..1]	±		64
	ExistingAccountIdentification <ExstgAcctId>	[0..*]	±		65

Constraints

- **InstructionDetailsElementRule**

One of the elements (ModificationReason, AccountApplicationIdentification, ClientReference, CounterpartyReference, ExistingAccountIdentification) must be present.

4.4.3.1 ModificationReason <ModRsn>

Presence: [0..1]

Definition: Reason for the modification to the investment account information.

Datatype: "Max350Text" on page 2683

4.4.3.2 AccountApplicationIdentification <AcctApplId>

Presence: [0..1]

Definition: Unique and unambiguous identifier of the account modification request.

Datatype: "Max35Text" on page 2683

4.4.3.3 ClientReference <CIntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of a transfer.

Datatype: "Max35Text" on page 2683

4.4.3.4 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transaction, for example, a transfer, as allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see ["AdditionalReference13"](#) on page 1492 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1493
	ReferenceIssuer <RefIssr>	[0..1]	±		1493
	MessageName <MsgNm>	[0..1]	Text		1493

4.4.3.5 ExistingAccountIdentification <ExstgAcctId>

Presence: [0..*]

Definition: Account to which the account opening is related.

ExistingAccountIdentification <ExstgAcctId> contains the following elements (see ["Account23"](#) on page 1214 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1214
	RelatedAccountDetails <RltdAcctDtls>	[0..1]	±		1214

4.4.4 InvestmentAccountSelection <InvstmtAcctSelctn>

Presence: [1..1]

Definition: Identifies the account for which the information is modified.

InvestmentAccountSelection <InvstmtAcctSelctn> contains one of the following **AccountSelection3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AccountIdentification <AcctId>	[1..1]	Text		65
Or}	OtherAccountSelectionData <OthrAcctSelctnData>	[1..1]	±	C1	65

4.4.4.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: ["Max35Text"](#) on page 2683

4.4.4.2 OtherAccountSelectionData <OthrAcctSelctnData>

Presence: [1..1]

Definition: Various investment account information used to select a specific account.

Impacted by: [C1 "AccountDataElementRule"](#)

OtherAccountSelectionData <OthrAcctSelctnData> contains the following elements (see "InvestmentAccount76" on page 1600 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1601
	Designation <Dsgnt>	[0..1]	Text		1601
	FundType <FndTp>	[0..1]	Text		1601
	FundFamilyName <FndFmlyNm>	[0..1]	Text		1601
	SecurityDetails <SctyDtls>	[0..1]		C15	1601
	Identification <Id>	[1..1]	±		1602
	Name <Nm>	[0..1]	Text		1603
	ShortName <ShrtNm>	[0..1]	Text		1603
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1603
	ClassType <ClsTp>	[0..1]	Text		1604
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1604
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1604
	ProductGroup <PdctGrp>	[0..1]	Text		1604
	AccountOwner <AcctOwnr>	[0..1]			1604
{Or	IndividualOwnerIdentification <IndvOwnrId>	[1..1]			1605
{Or	IdentificationNumber <IdNb>	[1..1]	±		1605
Or}	PersonName <PrsnNm>	[1..1]	±		1605
Or}	OrganisationOwnerIdentification <OrgOwnrId>	[1..1]	±	C21	1606
	Intermediary <Intrmy>	[0..*]	±		1606
	AccountServicer <AcctSvcr>	[0..1]	±		1607

Constraints

- **AccountDataElementRule**

One of the elements (Name, Designation, FundType, FundFamilyName, SecurityDetails, AccountOwner, Intermediary, Account Servicer) must be present.

4.4.5 ModifiedInvestmentAccount <ModfdInvstmtAcct>

Presence: [0..1]

Definition: Information related to general characteristics of the account to be inserted, updated or deleted.

Impacted by: C2 "AccountElementRule", C17 "IncomePreferenceReinvestmentRule", C29 "ReinvestmentPercentageRule", C12 "FinancialInstrumentDetailsGuideline"

ModifiedInvestmentAccount <ModfdInvstmtAcct> contains the following elements (see "InvestmentAccount75" on page 1583 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountStatusUpdateInstruction <AcctStsUpdInstr>	[0..1]	±		1585
	Name <Nm>	[0..1]	Text		1586
	Designation <Dsgnt>	[0..1]	Text		1586
	Type <Tp>	[0..1]	±		1586
	OwnershipType <OwnrshTp>	[0..1]	±		1587
	TaxExemption <TaxXmptn>	[0..1]	±		1587
	StatementFrequency <StmtFrqcy>	[0..1]	±		1587
	ReferenceCurrency <RefCcy>	[0..1]	CodeSet	C2	1587
	Language <Lang>	[0..1]	CodeSet	C34	1588
	IncomePreference <IncmPref>	[0..1]	CodeSet		1588
	ReinvestmentDetails <RinvstmtDtls>	[0..*]	±		1588
	TaxWithholdingMethod <TaxWhldgMtd>	[0..1]	CodeSet		1588
	TaxReporting <TaxRptg>	[0..*]	±		1589
	LetterIntentDetails <LtrInttDtls>	[0..1]	±		1590
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		1591
	RequiredSignatoriesNumber <ReqrdSgntriesNb>	[0..1]	Quantity		1591
	FundFamilyName <FndFmlyNm>	[0..1]	Text		1591
	ModifiedFinancialInstrumentDetails <ModfdFinInstrmDtls>	[0..*]			1591
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1591
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C20	1592
	RoundingDetails <RndgDtls>	[0..1]	±		1593
	AccountServicer <AcctSvcr>	[0..1]	±		1593
	BlockedStatus <BlckdSts>	[0..1]	±		1593
	AccountUsageType <AcctUsgTp>	[0..1]	±		1594
	ForeignStatusCertification <FrgnStsCertfctn>	[0..1]	CodeSet		1594
	AccountSignatureDateTime <AcctSgntrDtTm>	[0..1]	±		1594
	TransactionChannelType <TxChanITp>	[0..1]	±		1595
	InvestmentAccountCategory <InvstmtAcctCtgy>	[0..1]	±		1595
	Pledging <Pldg>	[0..1]	CodeSet		1595
	Collateral <Coll>	[0..1]	CodeSet		1595

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		1596
	PowerOfAttorneyLevelOfControl <PwrOfAttnyLvOfCtrl>	[0..1]	±		1596
	AccountingStatus <AcctgSts>	[0..1]	±		1596
	OpeningDate <OpngDt>	[0..1]	±		1597
	ClosingDate <ClsgDt>	[0..1]	±		1597
	NegativeIndicator <NegInd>	[0..1]	Indicator		1597
	ProcessingOrder <PrcgOrdr>	[0..1]	CodeSet		1597
	Liability <Lbilty>	[0..1]	±		1597
	ModifiedInvestorProfile <ModfdInvstrPrfl>	[0..*]			1598
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1598
	InvestorProfile <InvstrPrfl>	[1..1]	±		1598
	FiscalYear <FscYr>	[0..1]	±		1599

Constraints

- **AccountElementRule**

One of the elements (AccountStatusUpdateInstruction, Name, Designation, Type, OwnershipType, TaxExemption, StatementFrequency, ReferenceCurrency, Language, IncomePreference, ReinvestmentDetails, TaxWithholdingMethod, TaxReporting, LetterIntentDetails, AccumulationRightReference, RequiredSignatoriesNumber, FundFamilyName, ModifiedFinancialInstrumentDetails, RoundingDetails, Account Servicer, BlockedStatus, AccountUsageType, ForeignStatusCertification, AccountSignatureDateTime, TransactionChannelType, InvestmentAccountCategory, Pledging, Collateral, ThirdPartyRights, PowerOfAttorneyLevelOfControl, AccountingStatus, OpeningDate, ClosingDate, NegativeIndicator, ProcessingOrder, Liability, ModifiedInvestorProfile, FiscalYear) must be present.

- **FinancialInstrumentDetailsGuideline**

ModifiedFinancialInstrumentDetails/FinancialInstrumentDetails may be present if a single investment fund is associated to the investment account. If several investment funds are associated to the investment account, the FundFamilyName only should be specified.

- **IncomePreferenceReinvestmentRule**

If IncomePreference is CASH (Cash), then ReinvestmentDetails is not allowed.

On Condition
 /IncomePreference is equal to value 'Cash'
 Following Must be True
 /ReinvestmentDetails[*] Must be absent

- **ReinvestmentPercentageRule**

Sum of all occurrences of ReinvestmentDetails/ReinvestmentPercentage must not be greater than 100.

4.4.6 ModifiedAccountParties <ModfdAcctPties>

Presence: [0..*]

Definition: Information related to an account party to be inserted, updated or deleted.

Impacted by: C5 "AddressRule"

ModifiedAccountParties <ModfdAcctPties> contains the following elements (see "AccountParties18" on page 1910 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1912
	PrincipalAccountParty <PrncplAcctPty>	[0..1]			1912
{Or	PrimaryOwner <PmryOwnr>	[1..1]	±		1912
Or	Trustee <Trstee>	[1..5]	±		1914
Or	Nominee <Nmnee>	[1..1]	±		1916
Or}	JointOwner <JntOwnr>	[1..5]	±		1918
	SecondaryOwner <ScndryOwnr>	[0..*]	±		1920
	Beneficiary <Bnfcry>	[0..*]	±		1922
	PowerOfAttorney <PwrOfAttny>	[0..*]	±		1924
	LegalGuardian <LglGuardn>	[0..*]	±		1926
	CustodianForMinor <CtdnForMnr>	[0..*]	±		1928
	SuccessorOnDeath <SucssrOnDth>	[0..*]	±		1930
	Administrator <Admstr>	[0..*]	±		1932
	OtherParty <OthrPty>	[0..*]	±		1934
	Granter <Grntr>	[0..*]	±		1934
	Settlor <Sttlr>	[0..*]	±		1937
	SeniorManagingOfficial <SnrMggOffcl>	[0..*]	±		1939
	Protector <Prtctr>	[0..*]	±		1941
	RegisteredShareholderName <RegdShrhldrNm>	[0..1]	±		1943

Constraints

- **AddressRule**

If ModificationScopeIndication is INSE (InsertNewDataSet), then one of the following must be present:

PrincipalAccountParty/./Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

CustodianForMinor/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

SecondaryOwner/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

Beneficiary/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

PowerOfAttorney/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

LegalGuardian/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

SuccessorOnDeath/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

Administrator/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

OtherParty/OtherPartyDetails/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

Granter/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

Settlor/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

SeniorManagingOfficial/./ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

Protector/./ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet).

4.4.7 ModifiedIntermediaries <ModfdIntrmies>

Presence: [0..*]

Definition: Information related to intermediaries to be inserted, updated or deleted.

ModifiedIntermediaries <ModfdIntrmies> contains the following elements (see "[ModificationScope40](#)" on page 1977 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1977
	Intermediary <Intrmy>	[1..1]	±		1977

4.4.8 ModifiedPlacement <ModfdPlcmnt>

Presence: [0..1]

Definition: Information related to referral information to be inserted, updated or deleted.

ModifiedPlacement <ModfdPlcmnt> contains the following elements (see "[ModificationScope43](#)" on page 1976 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1976
	Placement <Plcmnt>	[1..1]	±	C30	1976

4.4.9 ModifiedIssueAllocation <ModfdIsseAllcn>

Presence: [0..1]

Definition: Eligibility conditions related to allocation of new issues to be inserted, updated or deleted.

ModifiedIssueAllocation <ModfdIsseAllcn> contains the following elements (see "ModificationScope21" on page 1812 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1812
	IssueAllocation <IsseAllcn>	[1..1]	±		1812

4.4.10 ModifiedSavingsInvestmentPlan <ModfdSvgsInvstmtPlan>

Presence: [0..50]

Definition: Information related to a savings plan to be inserted, updated or deleted.

ModifiedSavingsInvestmentPlan <ModfdSvgsInvstmtPlan> contains the following elements (see "ModificationScope41" on page 1711 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1711
	InvestmentPlan <InvstmtPlan>	[1..1]		C6	1712
	Frequency <Frqcy>	[1..1]	±		1713
	StartDate <StartDt>	[0..1]	Date		1713
	EndDate <EndDt>	[0..1]	Date		1713
	Quantity <Qty>	[1..1]	±		1713
	GrossAmountIndicator <GrssAmtInd>	[0..1]	Indicator		1713
	IncomePreference <IncmPref>	[0..1]	CodeSet		1714
	InitialAmount <InitlAmt>	[0..1]	±		1714
	TotalNumberOfInstalments <TtlNbOfInstlmts>	[0..1]	Quantity		1714
	RoundingDirection <RndgDrctn>	[0..1]	CodeSet		1714
	SecurityDetails <SctyDtls>	[1..50]			1715
	Quantity <Qty>	[1..1]	±		1715
	FinancialInstrument <FinInstrm>	[1..1]	±	C20	1715
	CurrencyOfPlan <CcyOfPlan>	[0..1]	CodeSet	C3	1716
	ModifiedCashSettlement <ModfdCshSttlm>	[0..8]	±		1717
	ContractReference <CtrctRef>	[0..1]	Text		1718
	RelatedContractReference <RltdCtrctRef>	[0..1]	Text		1718
	ProductIdentification <PdctId>	[0..1]	Text		1718
	SLAChargeAndCommissionReference <SLAChrgAndComssnRef>	[0..1]	Text		1718
	InsuranceCover <InsrncCover>	[0..1]	±		1718
	PlanStatus <PlanSts>	[0..1]	±		1718
	InstalmentManagerRole <InstlmtMgrRole>	[0..1]	±		1719

4.4.11 ModifiedWithdrawalInvestmentPlan <ModfdWdrwlInvstmtPlan>

Presence: [0..10]

Definition: Information related to a withdrawal plan to be inserted, updated or deleted.

ModifiedWithdrawalInvestmentPlan <ModfdWdrwlInvstmtPlan> contains the following elements
(see "ModificationScope41" on page 1711 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1711
	InvestmentPlan <InvstmtPlan>	[1..1]		C6	1712
	Frequency <Frqcy>	[1..1]	±		1713
	StartDate <StartDt>	[0..1]	Date		1713
	EndDate <EndDt>	[0..1]	Date		1713
	Quantity <Qty>	[1..1]	±		1713
	GrossAmountIndicator <GrssAmtInd>	[0..1]	Indicator		1713
	IncomePreference <IncmPref>	[0..1]	CodeSet		1714
	InitialAmount <InitlAmt>	[0..1]	±		1714
	TotalNumberOfInstalments <TtlNbOfInstlmts>	[0..1]	Quantity		1714
	RoundingDirection <RndgDrctn>	[0..1]	CodeSet		1714
	SecurityDetails <SctyDtls>	[1..50]			1715
	Quantity <Qty>	[1..1]	±		1715
	FinancialInstrument <FinInstrm>	[1..1]	±	C20	1715
	CurrencyOfPlan <CcyOfPlan>	[0..1]	CodeSet	C3	1716
	ModifiedCashSettlement <ModfdCshSttlm>	[0..8]	±		1717
	ContractReference <CtrctRef>	[0..1]	Text		1718
	RelatedContractReference <RltdCtrctRef>	[0..1]	Text		1718
	ProductIdentification <PdctId>	[0..1]	Text		1718
	SLAChargeAndCommissionReference <SLAChrgAndComssnRef>	[0..1]	Text		1718
	InsuranceCover <InsrncCover>	[0..1]	±		1718
	PlanStatus <PlanSts>	[0..1]	±		1718
	InstalmentManagerRole <InstlmtMgrRole>	[0..1]	±		1719

4.4.12 ModifiedCashSettlement <ModfdCshSttlm>

Presence: [0..8]

Definition: Cash settlement standing instruction to be either inserted or deleted.

ModifiedCashSettlement <ModfdCshSttlm> contains the following elements (see "CashSettlement4" on page 2315 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		2316
	CashAccountDetails <CshAcctDtls>	[0..*]			2316
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	2317
	Identification </d>	[1..1]	±		2318
	AccountOwner <AcctOwnr>	[0..1]	±		2318
	AccountServicer <AcctSvcr>	[0..1]	±		2318
	AccountServicerBranch <AcctSvcrBrnch>	[0..1]	±		2319
	AccountOwnerOtherIdentification <AcctOwnrOthrlid>	[0..*]	±		2319
	InvestmentAccountType <InvstmtAcctTp>	[0..1]	±		2319
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2320
	SettlementInstructionReason <SttlmInstrRsn>	[0..1]			2320
{Or	Code <Cd>	[1..1]	CodeSet		2320
Or}	Proprietary <Prtry>	[1..1]	±		2321
	CashAccountPurpose <CshAcctPurp>	[0..1]			2321
{Or	Code <Cd>	[1..1]	CodeSet		2321
Or}	Proprietary <Prtry>	[1..1]	±		2322
	CashAccountDesignation <CshAcctDsgnt>	[0..1]			2322
{Or	Code <Cd>	[1..1]	CodeSet		2322
Or}	Proprietary <Prtry>	[1..1]	±		2322
	DividendPercentage <DvddPctg>	[0..1]	Rate		2323
	OtherCashSettlementDetails <OthrCshSttlmDtls>	[0..*]	±		2323

4.4.13 ModifiedServiceLevelAgreement <ModfdSvcLvlAgrmt>

Presence: [0..30]

Definition: Information related to documents to be added, deleted or updated.

ModifiedServiceLevelAgreement <ModfdSvcLvlAgrmt> contains the following elements (see "ModificationScope44" on page 2280 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		2280
	ServiceLevelAgreement <SvcLvlAgrmt>	[1..1]	±		2280

4.4.14 ModifiedAdditionalInformation <ModfdAddtlInf>

Presence: [0..*]

Definition: Information related to additional information to be added, deleted or updated.

ModifiedAdditionalInformation <ModfdAddtlInf> contains the following elements (see "ModificationScope45" on page 1804 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1805
	AdditionalInformation <AddtlInf>	[1..*]	±		1805

4.4.15 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "MarketPracticeVersion1" on page 1862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1862
	Date <Dt>	[0..1]	YearMonth		1862
	Number <Nb>	[0..1]	Text		1862

4.4.16 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C11 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

5 **acmt.005.001.06**

RequestForAccountManagementStatusReportV06

5.1 **MessageDefinition Functionality**

Scope

The RequestForAccountManagementStatusReport message is sent by an account owner, for example, an investor or its designated agent, to the account servicer, for example, a registrar, transfer agent, custodian bank or securities depository to request the status of an AccountOpeningInstruction, GetAccountDetails or an AccountModificationInstruction.

Usage

The RequestForAccountManagementStatusReport message is used to request the processing status of a previously sent AccountOpeningInstruction, GetAccountDetails or an AccountModificationInstruction message for which an AccountDetailsConfirmation message has not yet been received.

Outline

The RequestForAccountManagementStatusReportV06 MessageDefinition is composed of 2 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. RequestDetails

Identifies the account for which the status of the account management instruction is requested.

5.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ReqForAcctMgmtStsRpt>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		79
	RequestDetails <ReqDtls>	[1..1]		C3	79
	LinkedReference <LkdRef>	[0..1]		C4, C5	80
{Or	PreviousReference <PrvsRef>	[1..1]	±		81
Or}	OtherReference <OthrRef>	[1..1]	±		81
	StatusRequestType <StsReqTp>	[1..1]	CodeSet		81
	AccountApplicationIdentification <AcctApplId>	[0..1]	Text		82
	ExistingAccountIdentification <ExstgAcctId>	[0..1]	±		82
	InvestmentAccount <InvstmtAcct>	[0..1]			82
	AccountIdentification <AcctId>	[1..1]	Text		83
	AccountName <AcctNm>	[0..1]	Text		83
	AccountDesignation <AcctDsgnt>	[0..1]	Text		83
	OwnerIdentification <OwnrId>	[0..1]			83
{Or	IndividualOwnerIdentification <IndvOwnrId>	[1..1]			83
{Or	IdentificationNumber <IdNb>	[1..1]	±		84
Or}	PersonName <PrsnNm>	[1..1]	±		84
Or}	OrganisationOwnerIdentification <OrgOwnrId>	[1..1]	±		84
	AccountServicer <AcctSvcr>	[0..1]	±		84

5.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 LinkedReferenceOrAccountApplicationIdentificationRule

Either LinkedReference or AccountApplicationIdentification must be present.

C4 OtherReferenceGuideline

OtherReference should be used for an account opening or an account modification instruction sent in a proprietary way or for system references.

If OtherReference is present, OtherReference/MessageName must contain, for example, 'fax' or 'phone' or 'email'.

C5 PreviousReferenceGuideline

If PreviousReference/MessageName is present, it must start with acmt.001 or acmt.003 and PreviousReference/Reference must contain the business reference of a message.

5.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

5.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

5.4.2 RequestDetails <ReqDtls>

Presence: [1..1]

Definition: Identifies the account for which the status of the account management instruction is requested.

Impacted by: [C3 "LinkedReferenceOrAccountApplicationIdentificationRule"](#)

RequestDetails <ReqDtls> contains the following **AccountManagementMessageReference5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LinkedReference <LkdRef>	[0..1]		C4, C5	80
{Or	PreviousReference <PrvsRef>	[1..1]	±		81
Or}	OtherReference <OthrRef>	[1..1]	±		81
	StatusRequestType <StsReqTp>	[1..1]	CodeSet		81
	AccountApplicationIdentification <AcctApplId>	[0..1]	Text		82
	ExistingAccountIdentification <ExstgAcctId>	[0..1]	±		82
	InvestmentAccount <InvstmtAcct>	[0..1]			82
	AccountIdentification <AcctId>	[1..1]	Text		83
	AccountName <AcctNm>	[0..1]	Text		83
	AccountDesignation <AcctDsgnt>	[0..1]	Text		83
	OwnerIdentification <OwnrId>	[0..1]			83
{Or	IndividualOwnerIdentification <IndvOwnrId>	[1..1]			83
{Or	IdentificationNumber <IdNb>	[1..1]	±		84
Or}	PersonName <PrsnNm>	[1..1]	±		84
Or}	OrganisationOwnerIdentification <OrgOwnrId>	[1..1]	±		84
	AccountServicer <AcctSvcr>	[0..1]	±		84

Constraints

- **LinkedReferenceOrAccountApplicationIdentificationRule**

Either LinkedReference or AccountApplicationIdentification must be present.

5.4.2.1 LinkedReference <LkdRef>

Presence: [0..1]

Definition: Reference to a linked message.

Impacted by: C4 "OtherReferenceGuideline", C5 "PreviousReferenceGuideline"

LinkedReference <LkdRef> contains one of the following **LinkedMessage5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PreviousReference <PrvsRef>	[1..1]	±		81
Or}	OtherReference <OthrRef>	[1..1]	±		81

Constraints

- **OtherReferenceGuideline**

OtherReference should be used for an account opening or an account modification instruction sent in a proprietary way or for system references.

If OtherReference is present, OtherReference/MessageName must contain, for example, 'fax' or 'phone' or 'email'.

- **PreviousReferenceGuideline**

If PreviousReference/MessageName is present, it must start with acmt.001 or acmt.003 and PreviousReference/Reference must contain the business reference of a message.

5.4.2.1.1 PreviousReference <PrvsRef>

Presence: [1..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see ["AdditionalReference13"](#) on page 1492 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1493
	ReferenceIssuer <RefIssr>	[0..1]	±		1493
	MessageName <MsgNm>	[0..1]	Text		1493

5.4.2.1.2 OtherReference <OthrRef>

Presence: [1..1]

Definition: Reference to a linked message sent in a proprietary way or reference of a system.

OtherReference <OthrRef> contains the following elements (see ["AdditionalReference13"](#) on page 1492 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1493
	ReferenceIssuer <RefIssr>	[0..1]	±		1493
	MessageName <MsgNm>	[0..1]	Text		1493

5.4.2.2 StatusRequestType <StsReqTp>

Presence: [1..1]

Definition: Type of account management instruction for which the status is requested or a request to know the status of the account.

Datatype: ["AccountManagementType3Code"](#) on page 2548

CodeName	Name	Definition
ACCM	AccountModification	Refers to an account modification instruction message.

CodeName	Name	Definition
ACCO	AccountOpening	Refers to an account opening instruction message.
GACC	GetAccountDetails	Refers to a get account details message.
ACST	AccountStatus	Refers to the account status.

5.4.2.3 AccountApplicationIdentification <AcctApplId>

Presence: [0..1]

Definition: Unique and unambiguous identifier of the account opening or account modification instruction at application level.

Datatype: "Max35Text" on page 2683

5.4.2.4 ExistingAccountIdentification <ExstgAcctId>

Presence: [0..1]

Definition: Account to which the account opening is related.

ExistingAccountIdentification <ExstgAcctId> contains the following elements (see "Account23" on page 1214 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1214
	RelatedAccountDetails <RltdAcctDtls>	[0..1]	±		1214

5.4.2.5 InvestmentAccount <InvstmtAcct>

Presence: [0..1]

Definition: Account information for which the status of an account management instruction is requested.

InvestmentAccount <InvstmtAcct> contains the following **InvestmentAccount77** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		83
	AccountName <AcctNm>	[0..1]	Text		83
	AccountDesignation <AcctDsgnt>	[0..1]	Text		83
	OwnerIdentification <OwnrId>	[0..1]			83
{Or	IndividualOwnerIdentification <IndvOwnrId>	[1..1]			83
{Or	IdentificationNumber <IdNb>	[1..1]	±		84
Or}	PersonName <PrsnNm>	[1..1]	±		84
Or}	OrganisationOwnerIdentification <OrgOwnrId>	[1..1]	±		84
	AccountServicer <AcctSvcr>	[0..1]	±		84

5.4.2.5.1 AccountIdentification <AcctId>*Presence:* [1..1]*Definition:* Unique and unambiguous identification for the account between the account owner and the account servicer.*Datatype:* "Max35Text" on page 2683**5.4.2.5.2 AccountName <AcctNm>***Presence:* [0..1]*Definition:* Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.*Datatype:* "Max35Text" on page 2683**5.4.2.5.3 AccountDesignation <AcctDsgnt>***Presence:* [0..1]*Definition:* Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.*Datatype:* "Max35Text" on page 2683**5.4.2.5.4 OwnerIdentification <OwnrId>***Presence:* [0..1]*Definition:* Identification of the owner of the account.**OwnerIdentification <OwnrId>** contains one of the following **OwnerIdentification3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndividualOwnerIdentification <IndvOwnrId>	[1..1]			83
{Or	IdentificationNumber <IdNb>	[1..1]	±		84
Or}	PersonName <PrsnNm>	[1..1]	±		84
Or}	OrganisationOwnerIdentification <OrgOwnrId>	[1..1]	±		84

5.4.2.5.4.1 IndividualOwnerIdentification <IndvOwnrId>*Presence:* [1..1]*Definition:* Identification of the individual person.**IndividualOwnerIdentification <IndvOwnrId>** contains one of the following **IndividualPersonIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IdentificationNumber <IdNb>	[1..1]	±		84
Or}	PersonName <PrsnNm>	[1..1]	±		84

5.4.2.5.4.1.1 IdentificationNumber <IdNb>*Presence:* [1..1]*Definition:* Identification of a party, such as a tax or social security identifier.**IdentificationNumber <IdNb>** contains the following elements (see "[GenericIdentification81](#)" on page 1503 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1503
	IdentificationType <IdTp>	[1..1]			1503
{Or	Code <Cd>	[1..1]	CodeSet		1503
Or}	Proprietary <Prtry>	[1..1]	±		1504

5.4.2.5.4.1.2 PersonName <PrsnNm>*Presence:* [1..1]*Definition:* Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').**PersonName <PrsnNm>** contains the following elements (see "[IndividualPerson30](#)" on page 2158 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GivenName <GvnNm>	[0..1]	Text		2158
	MiddleName <MddlNm>	[0..1]	Text		2158
	Name <Nm>	[1..1]	Text		2158
	Gender <Gndr>	[0..1]	CodeSet		2158
	BirthDate <BirthDt>	[0..1]	Date		2159

5.4.2.5.4.2 OrganisationOwnerIdentification <OrgOwnrId>*Presence:* [1..1]*Definition:* Identification of an organisation.**OrganisationOwnerIdentification <OrgOwnrId>** contains the following elements (see "[PartyIdentification139](#)" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

5.4.2.5.5 AccountServicer <AcctSvcr>*Presence:* [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see "PartyIdentification125Choice" on page 2020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2020
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2020
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2020

6 **acmt.006.001.07** **AccountManagementStatusReportV07**

6.1 **MessageDefinition Functionality**

Scope

The AccountManagementStatusReport message is sent by an account servicer, for example, a registrar, transfer agent, custodian bank or securities depository to the account owner or its designated agent, for example, an investor to report on the receipt or the processing status of a previously received account management message.

Usage

The AccountManagementStatusReport message is used to provide the status of a previously received AccountOpeningInstruction, an AccountModificationInstruction or a GetAccountDetails message. It may also be used to report the status of the account.

The AccountManagementStatusReport message is also used to reject an AccountOpeningInstruction, AccountModificationInstruction or GetAccountDetails message when the message is not compliant with the agreed SLA or when the account cannot be uniquely identified.

Outline

The AccountManagementStatusReportV07 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. RelatedReference

Reference to a linked message that was previously received.

C. StatusReport

Status details of the account management instruction for which the status is provided.

D. MarketPracticeVersion

Identifies the market practice to which the message conforms.

E. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

6.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AcctMgmtStsRpt>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		88
	RelatedReference <RltdRef>	[1..2]	±		88
	StatusReport <StsRpt>	[1..1]			89
	Status <Sts>	[1..1]	±		89
	StatusReason <StsRsn>	[0..*]	±		89
	AccountApplicationIdentification <AcctApplId>	[0..1]	Text		90
	ExistingAccountIdentification <ExstgAcctId>	[0..*]	±		90
	AccountIdentification <AcctId>	[0..1]	Text		90
	AccountStatus <AcctSts>	[0..1]	±	C2, C3, C4, C5, C6, C7, C9, C10, C12	90
	BlockedStatus <BlckdSts>	[0..1]	±		92
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		92
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		93
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		93
	Extension <Xtnsn>	[0..*]	±	C11	93

6.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 ClosedStatus1Rule

If Closed is present then Enabled is not allowed.

C3 ClosedStatus2Rule

If Closed is present then Disabled is not allowed.

C4 ClosedStatus3Rule

If Closed is present then Pending is not allowed.

C5 ClosedStatus4Rule

If Closed is present then PendingOpening is not allowed.

C6 ClosedStatus5Rule

If Closed is present then Proforma is not allowed.

C7 ClosedStatus6Rule

If Closed is present then ClosurePending is not allowed.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 EnabledDisabledStatus1Rule

If Enabled is present, then Disabled is not allowed.

C10 EnabledDisabledStatus2Rule

If Disabled is present, then Enabled is not allowed.

C11 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C12 StatusElementRule

One of the elements (Enabled, Disabled, Pending, PendingOpening, Proforma, Closed, ClosurePending, Other) must be present.

6.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

6.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

6.4.2 RelatedReference <RltdRef>

Presence: [1..2]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference13" on page 1492 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1493
	ReferenceIssuer <RefIssr>	[0..1]	±		1493
	MessageName <MsgNm>	[0..1]	Text		1493

6.4.3 StatusReport <StsRpt>

Presence: [1..1]

Definition: Status details of the account management instruction for which the status is provided.

StatusReport <StsRpt> contains the following **AccountManagementStatusAndReason5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	±		89
	StatusReason <StsRsn>	[0..*]	±		89
	AccountApplicationIdentification <AcctAppId>	[0..1]	Text		90
	ExistingAccountIdentification <ExstgAcctId>	[0..*]	±		90
	AccountIdentification <AcctId>	[0..1]	Text		90
	AccountStatus <AcctSts>	[0..1]	±	C2, C3, C4, C5, C6, C7, C9, C10, C12	90
	BlockedStatus <BlckdSts>	[0..1]	±		92
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		92
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		93

6.4.3.1 Status <Sts>

Presence: [1..1]

Definition: Status of the account management instruction that was previously received.

Status <Sts> contains one of the following elements (see "Status25Choice" on page 2353 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Status <Sts>	[1..1]	CodeSet		2353
Or}	Rejected <Rjctd>	[1..10]	±		2354

6.4.3.2 StatusReason <StsRsn>

Presence: [0..*]

Definition: Reason for the status of the account management instruction.

StatusReason <StsRsn> contains one of the following elements (see "[AcceptedStatusReason1Choice](#)" on page 2379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2379
Or}	Proprietary <Prtry>	[1..1]	±		2379

6.4.3.3 AccountApplicationIdentification <AcctApplId>

Presence: [0..1]

Definition: Unique and unambiguous identifier of the account opening or modification instruction at application level.

Datatype: "[Max35Text](#)" on page 2683

6.4.3.4 ExistingAccountIdentification <ExstgAcctId>

Presence: [0..*]

Definition: Account to which the account opening is related.

ExistingAccountIdentification <ExstgAcctId> contains the following elements (see "[Account23](#)" on page 1214 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1214
	RelatedAccountDetails <RltdAcctDtls>	[0..1]	±		1214

6.4.3.5 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "[Max35Text](#)" on page 2683

6.4.3.6 AccountStatus <AcctSts>

Presence: [0..1]

Definition: Status of the account.

Impacted by: [C2 "ClosedStatus1Rule"](#), [C3 "ClosedStatus2Rule"](#), [C4 "ClosedStatus3Rule"](#), [C5 "ClosedStatus4Rule"](#), [C6 "ClosedStatus5Rule"](#), [C7 "ClosedStatus6Rule"](#), [C9 "EnabledDisabledStatus1Rule"](#), [C10 "EnabledDisabledStatus2Rule"](#), [C12 "StatusElementRule"](#)

AccountStatus <AcctSts> contains the following elements (see "AccountStatus2" on page 2384 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Enabled <Nbld>	[0..1]	±		2385
	Disabled <Dsbl>	[0..1]	±		2386
	Pending <Pdg>	[0..1]	±		2386
	PendingOpening <PdgOpng>	[0..1]	±		2386
	Proforma <Profrm>	[0..1]	±		2387
	Closed <Clsd>	[0..1]	±		2387
	ClosurePending <ClsrPdg>	[0..1]	±		2388
	Other <Othr>	[0..*]	±		2388

Constraints

- **ClosedStatus1Rule**

If Closed is present then Enabled is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /Enabled Must be absent
```

- **ClosedStatus2Rule**

If Closed is present then Disabled is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /Disabled Must be absent
```

- **ClosedStatus3Rule**

If Closed is present then Pending is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /Pending Must be absent
```

- **ClosedStatus4Rule**

If Closed is present then PendingOpening is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /PendingOpening Must be absent
```

- **ClosedStatus5Rule**

If Closed is present then Proforma is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /Proforma Must be absent
```

- **ClosedStatus6Rule**

If Closed is present then ClosurePending is not allowed.

```
On Condition
  /Closed is absent
Following Must be True
  /ClosurePending Must be absent
```

- **EnabledDisabledStatus1Rule**

If Enabled is present, then Disabled is not allowed.

```
On Condition
  /Enabled is present
Following Must be True
  /Disabled Must be absent
```

- **EnabledDisabledStatus2Rule**

If Disabled is present, then Enabled is not allowed.

```
On Condition
  /Disabled is present
Following Must be True
  /Enabled Must be absent
```

- **StatusElementRule**

One of the elements (Enabled, Disabled, Pending, PendingOpening, Proforma, Closed, ClosurePending, Other) must be present.

6.4.3.7 BlockedStatus <BlckdSts>

Presence: [0..1]

Definition: Specifies the account is blocked and other factors for the blocked account.

BlockedStatus <BlckdSts> contains one of the following elements (see "BlockedStatusReason2Choice" on page 2402 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2402
Or}	Reason <Rsn>	[1..*]			2403
	TransactionType <TxTp>	[1..1]			2403
{Or	Code <Cd>	[1..1]	CodeSet		2403
Or}	Proprietary <Prtry>	[1..1]	±		2405
	Blocked <Blckd>	[1..1]	Indicator		2405
	Reason <Rsn>	[0..*]			2405
{Or	Code <Cd>	[1..1]	CodeSet		2405
Or}	Proprietary <Prtry>	[1..1]	±		2406
	AdditionalInformation <AddtlInf>	[1..1]	Text		2406

6.4.3.8 FATCARreportingDate <FATCARptgDt>

Presence: [0..1]

Definition: Date provided by the account owner to inform the account servicer of the date on which the holdings must be reported before the account is subsequently closed.

Datatype: "ISODate" on page 2668

6.4.3.9 CRSReportingDate <CRSRptgDt>

Presence: [0..1]

Definition: Date provided by the account owner to inform the account servicer of the date on which the holdings must be reported before the account is subsequently closed.

Datatype: "ISODate" on page 2668

6.4.4 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "MarketPracticeVersion1" on page 1862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1862
	Date <Dt>	[0..1]	YearMonth		1862
	Number <Nb>	[0..1]	Text		1862

6.4.5 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C11 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

7 **camt.040.001.04**

FundEstimatedCashForecastReportV04

7.1 **MessageDefinition Functionality**

Scope

A report provider, such as a transfer agent, sends the FundEstimatedCashForecastReport message to the report user, such as an investment manager or pricing agent, to report the estimated cash incomings and outgoings of one or more share classes of an investment fund on one or more trade dates.

The cash movements may result from, for example, redemption, subscription, switch transactions or reinvestment of dividends.

Usage

The FundEstimatedCashForecastReport is used to report estimated cash movements, that is, it is sent prior to the cut-off time and/or the price valuation of the fund.

The message contains incoming and outgoing cash flows that are estimated, that is, the price has not been applied. If the price is definitive, then the FundConfirmedCashForecastReport message must be used.

The message structure allows for the following uses:

- to provide cash in and cash out amounts for a fund/sub fund (FundOrSubFundDetails sequence is used),
- to provide cash in and cash out amounts for a fund/sub fund and one or more share classes (a FundOrSubFundDetails sequence and one or more EstimatedFundCashForecastDetails sequences are used),
- to provide cash in and cash out amounts for one or more share classes (one or more EstimatedFundCashForecastDetails sequences are used).
- to provide cash in and cash out amounts for more than one fund/sub fund, and more than one share classes (two or more FundOrSubFundDetails sequences and two or more EstimatedFundCashForecastDetails sequences and used); however, it should be noted that, in this usage, there is no way to determine which share class belongs to which fund/sub fund from the message content itself, which may not be desirable and the use of this kind of combination should be bilaterally agreed.

This message allows the report provider to report estimated cash movements in or out of a fund, but does not allow the Sender to categorise these movements, for example by country, or to give details of the underlying orders, commission or charges. If the report provider wishes to give detailed information related to estimated cash movements, then the FundDetailedEstimatedCashForecastReport message must be used.

Outline

The FundEstimatedCashForecastReportV04 MessageDefinition is composed of 9 MessageBuildingBlocks:

- A. MessageIdentification
Identifies the message.
- B. PoolReference
Collective reference identifying a set of messages.
- C. PreviousReference
Reference to a linked message that was previously sent.
- D. RelatedReference
Reference to a linked message that was previously received.
- E. MessagePagination
Pagination of the message.
- F. FundOrSubFundDetails
Information about the fund/sub fund when the report either specifies cash flow for the fund/sub fund or for a share class of the fund/sub fund.
- G. EstimatedFundCashForecastDetails
Information related to the estimated cash-in and cash-out flows for a specific trade date as a result of transactions in shares in an investment fund, for example, subscriptions, redemptions or switches.
- H. ConsolidatedNetCashForecast
Estimated net cash as a result of the cash-in and cash-out flows.
- I. Extension
Additional information that can not be captured in the structured fields and/or any other specific block.

7.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <FndEstmtdCshFcstRpt>	[1..1]		C7, C16, C17, C18, C19	
	MessageIdentification <MsgId>	[1..1]	±		98
	PoolReference <PoolRef>	[0..1]	±		99
	PreviousReference <PrvsRef>	[0..*]	±		99
	RelatedReference <RltdRef>	[0..*]	±		99
	MessagePagination <MsgPgntn>	[1..1]	±		100
	FundOrSubFundDetails <FndOrSubFndDtls>	[0..*]	±	C13, C14, C15	100
	EstimatedFundCashForecastDetails <EstmtdFndCshFcstDtls>	[0..*]	±	C10	102
	ConsolidatedNetCashForecast <CnsltdNetCshFcst>	[0..1]	±		103
	Extension <Xtnsn>	[0..*]	±	C11	104

7.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C4 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C5 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C6 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C7 ConsolidatedNetCashRule

If ConsolidatedNetCashForecast is present, then at least one occurrence of either FundOrSubFundDetails or EstimatedFundCashForecastDetails must be present. Both FundOrSubFundDetails and EstimatedFundCashForecastDetails may be present.

On Condition

/ConsolidatedNetCashForecast is present

Following Must be True

/FundOrSubFundDetails[*] Must be present

Or /EstimatedFundCashForecastDetails[*] Must be present

This constraint is defined at the MessageDefinition level.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 ExceptionalCashFlowIndicatorRule

If Exceptional NetCashFlowIndicator is "true" or "1" (Yes), then either EstimatedCashInForecastDetails/ExceptionalCashFlowIndicator or EstimatedCashOutForecastDetails/ExceptionalCashFlowIndicator must be present. Both may be present.

C11 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C12 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C13 FundIdentification1Rule

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

C14 FundIdentification2Rule

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

C15 FundIdentification3Rule

If Legal Entity Identifier is not present, then Identification or Name must be present.
Identification, Name and Legal Entity Identifier may all be present.

C16 FundOrSubFundAndShareClassGuideline

When using the message to provide cash in and cash out amounts for more than one fund/sub fund, and more than one share classes, (two or more FundOrSubFundDetails sequences and two or more EstimatedFundCashForecastDetails sequences and used), in this usage there is no way to determine which share class belongs to which fund/sub fund from the message content itself, which may not be desirable and this type of usage should be bilaterally agreed.

This constraint is defined at the MessageDefinition level.

C17 PreviousTradeDateRule

If any occurrence of EstimatedFundCashForecastDetails is present, then any occurrence of FundOrSubFundDetails/PreviousDateTime is not allowed.

```
On Condition
    /EstimatedFundCashForecastDetails[*] is present
Following Must be True
    /FundOrSubFundDetails[*]/PreviousDateTime Must be absent
```

This constraint is defined at the MessageDefinition level.

C18 TradeDate1Rule

If any occurrence of EstimatedFundCashForecastDetails is present, then any occurrence of FundOrSubFundDetails/TradeDateTime is not allowed.

```
On Condition
    /EstimatedFundCashForecastDetails[*] is present
Following Must be True
    /FundOrSubFundDetails[*]/TradeDateTime Must be absent
```

This constraint is defined at the MessageDefinition level.

C19 TradeDate2Rule

If EstimatedFundCashForecastDetails is not present, then at least one occurrence of FundOrSubFundDetails/TradeDateTime must be present.

```
On Condition
    /EstimatedFundCashForecastDetails[*] is absent
Following Must be True
    /FundOrSubFundDetails[*]/TradeDateTime Must be present
```

This constraint is defined at the MessageDefinition level.

7.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

7.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Identifies the message.

MessageIdentification <MsgId> contains the following elements (see "MessageIdentification1" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

7.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "AdditionalReference3" on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

7.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference3" on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

7.4.4 RelatedReference <RltdRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference3"](#) on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

7.4.5 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see ["Pagination"](#) on page 1878 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		1879
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		1879

7.4.6 FundOrSubFundDetails <FndOrSubFndDtls>

Presence: [0..*]

Definition: Information about the fund/sub fund when the report either specifies cash flow for the fund/sub fund or for a share class of the fund/sub fund.

Impacted by: [C13 "FundIdentification1Rule"](#), [C14 "FundIdentification2Rule"](#), [C15 "FundIdentification3Rule"](#)

FundOrSubFundDetails <FndOrSubFndDtls> contains the following elements (see "Fund1" on page 1670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1672
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1672
	Identification <Id>	[0..1]			1672
	Identification <Id>	[1..1]	Text		1672
	Type <Tp>	[1..1]	±		1672
	Currency <Ccy>	[0..1]	CodeSet	C3	1673
	TradeDateTime <TradDtTm>	[0..1]	±		1673
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1673
	EstimatedTotalNAV <EstmtdTtlNAV>	[0..1]	Amount	C3, C12	1674
	PreviousTotalNAV <PrvsTtlNAV>	[0..1]	Amount	C3, C12	1674
	EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>	[0..1]	±		1674
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1675
	EstimatedPercentageOfFundTotalNAV <EstmtdPctgOfFndTtlNAV>	[0..1]	Rate		1675
	EstimatedCashInForecastDetails <EstmtdCshInFcstDtls>	[0..*]	±		1675
	EstimatedCashOutForecastDetails <EstmtdCshOutFcstDtls>	[0..*]	±		1675
	EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtls>	[0..*]			1675
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1676
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1676
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1676
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1676

Constraints

• FundIdentification1Rule

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Identification is absent
Following Must be True
  /Name Must be present
Or
  /LegalEntityIdentifier Must be present
```

• FundIdentification2Rule

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```

On Condition
  /Name is absent
Following Must be True
  /Identification Must be present
Or    /LegalEntityIdentifier Must be absent

```

- **FundIdentification3Rule**

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

```

On Condition
  /LegalEntityIdentifier is absent
Following Must be True
  /Identification Must be present
Or    /Name Must be present

```

7.4.7 EstimatedFundCashForecastDetails <EstmtdFndCshFcstDtls>

Presence: [0..*]

Definition: Information related to the estimated cash-in and cash-out flows for a specific trade date as a result of transactions in shares in an investment fund, for example, subscriptions, redemptions or switches.

Impacted by: C10 "ExceptionalCashFlowIndicatorRule"

EstimatedFundCashForecastDetails <EstmtdFndCshFcstDtls> contains the following elements
(see "EstimatedFundCashForecast6" on page 1663 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1664
	TradeDateTime <TradDtTm>	[1..1]	±		1664
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1664
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C13	1664
	EstimatedTotalNAV <EstmtdTtlNAV>	[0..*]	Amount	C3, C12	1665
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C3, C12	1665
	EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>	[0..1]	±		1666
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1666
	EstimatedTotalNAVChangeRate <EstmtdTtlNAVChngRate>	[0..1]	Rate		1666
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C3	1666
	CurrencyStatus <CcySts>	[0..1]	±		1667
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		1667
	Price <Pric>	[0..1]	±		1667
	ForeignExchangeRate <FXRate>	[0..1]	±		1667
	EstimatedPercentageOfShareClassTotalNAV <EstmtdPctgOfShrClssTtlNAV>	[0..1]	Rate		1668
	EstimatedCashInForecastDetails <EstmtdCshInFcstDtls>	[0..*]	±	C3	1668
	EstimatedCashOutForecastDetails <EstmtdCshOutFcstDtls>	[0..*]	±	C4	1669
	EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtls>	[0..*]	±	C7	1669

Constraints

- **ExceptionalCashFlowIndicatorRule**

If Exceptional NetCashFlowIndicator is "true" or "1" (Yes), then either EstimatedCashInForecastDetails/ExceptionalCashFlowIndicator or EstimatedCashOutForecastDetails/ExceptionalCashFlowIndicator must be present. Both may be present.

7.4.8 ConsolidatedNetCashForecast <CnsltdNetCshFcst>

Presence: [0..1]

Definition: Estimated net cash as a result of the cash-in and cash-out flows.

ConsolidatedNetCashForecast <CnsldNetCshFcst> contains the following elements (see "NetCashForecast3" on page 1311 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1311
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1312
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1312

7.4.9 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C11 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

8 **camt.041.001.04**

FundConfirmedCashForecastReportV04

8.1 **MessageDefinition Functionality**

Scope

A report provider, such as a transfer agent, sends the FundConfirmedCashForecastReport message to the report user, such as an investment manager or pricing agent, to report the confirmed cash incomings and outgoings of one or more share classes of an investment fund on one or more trade dates.

The cash movements may result from, for example, redemption, subscription, switch transactions or reinvestment of dividends.

Usage

The FundConfirmedCashForecastReport is used to report definitive cash movements, that is it is sent after the cut-off time and/or the price valuation of the fund.

This message contains incoming and outgoing cash flows that are confirmed, that is, the price has been applied. If the price is not yet definitive, then the FundEstimatedCashForecastReport message must be used.

The message structure allows for the following uses:

- to provide cash in and cash out amounts for a fund/sub fund (a FundOrSubFundDetails sequence is used),
- to provide cash in and cash out amounts for a fund/sub fund and one or more share classes (FundOrSubFundDetails sequence and one or more FundCashForecastDetails sequences are used),
- to provide cash in and cash out amounts for one or more share classes (one or more FundCashForecastDetails sequences are used).
- to provide cash in and cash out amounts for more than one fund/sub fund, and more than one share classes (two or more FundOrSubFundDetails sequences and two or more FundCashForecastDetails sequences are used); however, it should be noted that, in this usage, there is no way to determine which share class belongs to which fund/sub fund from the message content itself, which may not be desirable and the use of this kind of combination should be bilaterally agreed.

This message allows the report provider to report cash movements in or out of a fund, but does not allow the Sender to categorise these movements, for example by country, or to give details of the underlying orders, commission or charges.

If the report provider wishes to give detailed information related to cash movements, then the FundDetailedConfirmedCashForecastReport message must be used.

Outline

The FundConfirmedCashForecastReportV04 MessageDefinition is composed of 9 MessageBuildingBlocks:

A. MessageIdentification

Identifies the message.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. MessagePagination

Pagination of the message.

F. FundOrSubFundDetails

Information about the fund/sub fund when the report either specifies cash flow for the fund/sub fund or for a share class of the fund/sub fund.

G. FundCashForecastDetails

Information related to the cash-in and cash-out flows for a specific trade date as a result of transactions in shares in an investment fund, for example, subscriptions, redemptions or switches.

H. ConsolidatedNetCashForecast

Estimated net cash as a result of the cash-in and cash-out flows.

I. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

8.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <FndConfdCshFcstRpt>	[1..1]		C7, C16, C17, C18, C19	
	MessageIdentification <MsgId>	[1..1]	±		109
	PoolReference <PoolRef>	[0..1]	±		110
	PreviousReference <PrvsRef>	[0..*]	±		110
	RelatedReference <RltdRef>	[0..*]	±		110
	MessagePagination <MsgPgntn>	[1..1]	±		111
	FundOrSubFundDetails <FndOrSubFndDtls>	[0..*]	±	C13, C14, C15	111
	FundCashForecastDetails <FndCshFcstDtls>	[0..*]	±	C10	113
	ConsolidatedNetCashForecast <CnsltdNetCshFcst>	[0..1]	±		114
	Extension <Xtnsn>	[0..*]	±	C11	115

8.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C4 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C5 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C6 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C7 ConsolidatedNetCashRule

If ConsolidatedNetCashForecast is present, then at least one occurrence of either FundOrSubFundDetails or FundCashForecastDetails must be present. Both FundOrSubFundDetails and FundCashForecastDetails may be present.

On Condition

/ConsolidatedNetCashForecast is present

Following Must be True

/FundOrSubFundDetails[*] Must be present

Or /FundCashForecastDetails[*] Must be present

This constraint is defined at the MessageDefinition level.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 ExceptionalCashFlowIndicatorRule

If Exceptional NetCashFlow Indicator is "true" or "1" (Yes), then CashInForecastDetails/ ExceptionalCashFlowIndicator and CashOutForecastDetails/ ExceptionalCashFlowIndicator must be present.

C11 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C12 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C13 FundIdentification1Rule

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

C14 FundIdentification2Rule

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

C15 FundIdentification3Rule

If Legal Entity Identifier is not present, then Identification or Name must be present.
Identification, Name and Legal Entity Identifier may all be present.

C16 FundOrSubFundAndShareClassGuideline

When using the message to provide cash in and cash out amounts for more than one fund/sub fund, and more than one share classes, (two or more FundOrSubFundDetails sequences and two or more FundCashForecastDetails sequences and used), in this usage there is no way to determine which share class belongs to which fund/sub fund from the message content itself, which may not be desirable and this type of usage should be bilaterally agreed.

This constraint is defined at the MessageDefinition level.

C17 PreviousTradeDateRule

If any occurrence of FundCashForecastDetails is present, then any occurrence of FundOrSubFundDetails/PreviousTradeDateTime is not allowed.

On Condition

/FundCashForecastDetails[*] is present

Following Must be True

/FundOrSubFundDetails[*]/PreviousTradeDateTime Must be absent

This constraint is defined at the MessageDefinition level.

C18 TradeDate1Rule

If any occurrence of FundCashForecastDetails is present, then any occurrence of FundOrSubFundDetails/TradeDateTime is not allowed.

On Condition

/FundCashForecastDetails[*] is present

Following Must be True

/FundOrSubFundDetails[*]/TradeDateTime Must be absent

This constraint is defined at the MessageDefinition level.

C19 TradeDate2Rule

If FundCashForecastDetails is not present, then at least one occurrence of FundOrSubFundDetails/TradeDateTime must be present.

On Condition

/FundCashForecastDetails[*] is absent

Following Must be True

/FundOrSubFundDetails[*]/TradeDateTime Must be present

This constraint is defined at the MessageDefinition level.

8.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

8.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Identifies the message.

MessageIdentification <MsgId> contains the following elements (see "MessageIdentification1" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

8.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "AdditionalReference3" on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

8.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference3" on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

8.4.4 RelatedReference <RltdRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference3"](#) on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

8.4.5 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see ["Pagination"](#) on page 1878 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		1879
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		1879

8.4.6 FundOrSubFundDetails <FndOrSubFndDtls>

Presence: [0..*]

Definition: Information about the fund/sub fund when the report either specifies cash flow for the fund/sub fund or for a share class of the fund/sub fund.

Impacted by: [C13 "FundIdentification1Rule"](#), [C14 "FundIdentification2Rule"](#), [C15 "FundIdentification3Rule"](#)

FundOrSubFundDetails <FndOrSubFndDtls> contains the following elements (see "Fund2" on page 1638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1639
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1639
	Identification <Id>	[0..1]			1639
	Identification <Id>	[1..1]	Text		1639
	Type <Tp>	[1..1]	±		1640
	Currency <Ccy>	[0..1]	CodeSet	C3	1640
	TradeDateTime <TradDtTm>	[0..1]	±		1640
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1640
	TotalNAV <TtlNAV>	[0..1]	Amount	C3, C12	1641
	PreviousTotalNAV <PrvsTtlNAV>	[0..1]	Amount	C3, C12	1641
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1641
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1642
	PercentageOfFundTotalNAV <PctgOfFndTtlNAV>	[0..1]	Rate		1642
	CashInForecastDetails <CshInFcstDtls>	[0..*]	±		1642
	CashOutForecastDetails <CshOutFcstDtls>	[0..*]	±		1642
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]			1643
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1643
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1643
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1643
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1644

Constraints

• FundIdentification1Rule

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Identification is absent
Following Must be True
  /Name Must be present
  Or    /LegalEntityIdentifier Must be present
```

• FundIdentification2Rule

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Name is absent
```

Following Must be True
 /Identification Must be present
 Or /LegalEntityIdentifier Must be absent

- **FundIdentification3Rule**

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

On Condition
 /LegalEntityIdentifier is absent
 Following Must be True
 /Identification Must be present
 Or /Name Must be present

8.4.7 **FundCashForecastDetails <FndCshFcstDtls>**

Presence: [0..*]

Definition: Information related to the cash-in and cash-out flows for a specific trade date as a result of transactions in shares in an investment fund, for example, subscriptions, redemptions or switches.

Impacted by: C10 "ExceptionalCashFlowIndicatorRule"

FundCashForecastDetails <FndCshFcstDtls> contains the following elements (see "FundCashForecast7" on page 1655 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1656
	TradeDateTime <TradDtTm>	[1..1]	±		1656
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1657
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C13	1657
	TotalNAV <TtlNAV>	[0..*]	Amount	C3, C12	1658
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C3, C12	1658
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1658
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1659
	TotalNAVChangeRate <TtlNAVChngRate>	[0..1]	Rate		1659
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C3	1659
	CurrencyStatus <CcySts>	[0..1]	±		1659
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		1660
	Price <Pric>	[0..1]	±		1660
	ForeignExchangeRate <FXRate>	[0..1]	±		1660
	PercentageOfShareClassTotalNAV <PctgOfShrClsTtlNAV>	[0..1]	Rate		1660
	CashInForecastDetails <CshInFcstDtls>	[0..*]	±	C3	1661
	CashOutForecastDetails <CshOutFcstDtls>	[0..*]	±	C4	1661
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]	±	C7	1662

Constraints

- **ExceptionalCashFlowIndicatorRule**

If Exceptional NetCashFlow Indicator is "true" or "1" (Yes), then CashInForecastDetails/ ExceptionalCashFlowIndicator and CashOutForecastDetails/ ExceptionalCashFlowIndicator must be present.

8.4.8 ConsolidatedNetCashForecast <CnsltdNetCshFcst>

Presence: [0..1]

Definition: Estimated net cash as a result of the cash-in and cash-out flows.

ConsolidatedNetCashForecast <CnsltdNetCshFcst> contains the following elements (see "NetCashForecast3" on page 1311 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1311
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1312
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1312

8.4.9 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C11 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

9 **camt.042.001.04**

FundDetailedEstimatedCashForecastReportV04

9.1 **MessageDefinition Functionality**

Scope

A report provider, such as a transfer agent, sends the FundDetailedEstimatedCashForecastReport message to the report user, such as an investment manager or pricing agent, to report the estimated cash incomings and outgoings, sorted by country, institution name or other criteria defined by the user of one or more share classes of an investment fund on one or more trade dates.

The cash movements may result from, for example, redemption, subscription, switch transactions or reinvestment of dividends.

Usage

The FundDetailedEstimatedCashForecastReport is used to provide estimated cash movements, that is, it is sent prior to the cut-off time and/or the price valuation of the fund. The message contains incoming and outgoing cash flows that are estimated, that is, the price has not been applied. If the price is definitive, then the FundDetailedConfirmedCashForecastReport message must be used.

The message structure allows for the following uses:

- to provide cash in and cash out amounts for a fund/sub fund and one or more share classes (a FundOrSubFundDetails sequence and one or more EstimatedFundCashForecastDetails sequences are used),
- to provide cash in and cash out amounts for one or more share classes (one or more EstimatedFundCashForecastDetails sequences are used).

If the report is to provide estimated cash in and cash out for a fund/sub fund only and not for one or more share classes, then the FundEstimatedCashForecastReport message must be used.

The FundDetailedEstimatedCashForecastReport message is used to report cash movements in or out of a fund, organised by party, such as fund management company, country, currency or by some other criteria defined by the report provider. If the report is used to give the cash-in and cash-out for a party, then additional criteria, such as currency and country, can be specified.

In addition, the underlying transaction type for the cash-in or cash-out movement can be specified, as well as information about the cash movement's underlying orders, such as commission and charges.

Outline

The FundDetailedEstimatedCashForecastReportV04 MessageDefinition is composed of 9 MessageBuildingBlocks:

- A. MessageIdentification
Identifies the message.
- B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. MessagePagination

Pagination of the message.

F. FundOrSubFundDetails

Information about the fund/sub fund when the report either specifies cash flow for the fund/sub fund or for a share class of the fund/sub fund.

G. EstimatedFundCashForecastDetails

Information related to the estimated cash-in and cash-out flows for a specific trade date as a result of transactions in shares in an investment fund, for example, subscriptions, redemptions or switches. The information provided is sorted by pre-defined criteria such as country, institution, currency or user defined criteria.

H. ConsolidatedNetCashForecast

Estimated net cash as a result of the cash-in and cash-out flows specified in the fund cash forecast details.

I. Extension

Additional information that can not be captured in the structured fields and/or any other specific block.

9.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <FndDtlEstmtdCshFcstRpt>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		119
	PoolReference <PoolRef>	[0..1]	±		120
	PreviousReference <PrvsRef>	[0..*]	±		120
	RelatedReference <RltdRef>	[0..*]	±		120
	MessagePagination <MsgPgntn>	[1..1]	±		121
	FundOrSubFundDetails <FndOrSubFndDtls>	[0..1]	±	C14, C15, C16	121
	EstimatedFundCashForecastDetails <EstmtdFndCshFcstDtls>	[1..*]	±	C11	123
	ConsolidatedNetCashForecast <CnsltdNetCshFcst>	[0..1]	±		124
	Extension <Xtnsn>	[0..*]	±	C12	124

9.3 Constraints

C1 AccountOrOwner1Rule

If AccountIdentification is not present, then OwnerIdentification must be present. Both AccountIdentification and OwnerIdentification may be present.

C2 AccountOrOwner2Rule

If OwnerIdentification is not present, then AccountIdentification must be present. Both OwnerIdentification and AccountIdentification may be present.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C6 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C7 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C9 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 ExceptionalCashFlowIndicatorRule

If ExceptionalNetCashFlowIndicator is "true" or "1" (Yes), then at least one or more of the following must be present:

BreakdownByParty/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByParty/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashOutForecast/ExceptionalCashFlowIndicator.

C12 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C13 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C14 FundIdentification1Rule

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

C15 FundIdentification2Rule

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

C16 FundIdentification3Rule

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

C17 UserDefinedRule

At least one message element in the list (Party, Country, Currency, UserDefined) must be present. More than one element in the list (Party, Country, Currency, UserDefined) may be present.

9.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

9.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Identifies the message.

MessageIdentification <MsgId> contains the following elements (see "MessageIdentification1" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

9.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "AdditionalReference3" on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

9.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference3" on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

9.4.4 RelatedReference <RltdRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference3"](#) on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

9.4.5 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see ["Pagination"](#) on page 1878 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		1879
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		1879

9.4.6 FundOrSubFundDetails <FndOrSubFndDtls>

Presence: [0..1]

Definition: Information about the fund/sub fund when the report either specifies cash flow for the fund/sub fund or for a share class of the fund/sub fund.

Impacted by: [C14 "FundIdentification1Rule"](#), [C15 "FundIdentification2Rule"](#), [C16 "FundIdentification3Rule"](#)

FundOrSubFundDetails <FndOrSubFndDtls> contains the following elements (see "Fund3" on page 1680 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1681
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1682
	Identification <Id>	[0..1]			1682
	Identification </Id>	[1..1]	Text		1682
	Type <Tp>	[1..1]	±		1682
	Currency <Ccy>	[0..1]	CodeSet	C3	1682
	EstimatedTotalNAV <EstmtdTtlNAV>	[0..1]	Amount	C3, C12	1683
	PreviousTotalNAV <PrvsTtlNAV>	[0..1]	Amount	C3, C12	1683
	EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>	[0..1]	±		1683
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1684
	EstimatedPercentageOfFundTotalNAV <EstmtdPctgOfFndTtlNAV>	[0..1]	Rate		1684

Constraints

- **FundIdentification1Rule**

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Identification is absent
Following Must be True
  /Name Must be present
Or    /LegalEntityIdentifier Must be present
```

- **FundIdentification2Rule**

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Name is absent
Following Must be True
  /Identification Must be present
Or    /LegalEntityIdentifier Must be absent
```

- **FundIdentification3Rule**

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /LegalEntityIdentifier is absent
Following Must be True
  /Identification Must be present
Or    /Name Must be present
```

9.4.7 EstimatedFundCashForecastDetails <EstmtdFndCshFcstDtls>

Presence: [1..*]

Definition: Information related to the estimated cash-in and cash-out flows for a specific trade date as a result of transactions in shares in an investment fund, for example, subscriptions, redemptions or switches. The information provided is sorted by pre-defined criteria such as country, institution, currency or user defined criteria.

Impacted by: C11 "ExceptionalCashFlowIndicatorRule"

EstimatedFundCashForecastDetails <EstmtdFndCshFcstDtls> contains the following elements (see "EstimatedFundCashForecast5" on page 1644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1646
	TradeDateTime <TradDtTm>	[1..1]	±		1646
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1646
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C13	1646
	EstimatedTotalNAV <EstmtdTtlNAV>	[0..*]	Amount	C3, C12	1647
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C3, C12	1647
	EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>	[0..1]	±		1648
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1648
	EstimatedTotalNAVChangeRate <EstmtdTtlNAVChngRate>	[0..1]	Rate		1648
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C3	1648
	CurrencyStatus <CcySts>	[0..1]	±		1649
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		1649
	Price <Pric>	[0..1]	±		1649
	ForeignExchangeRate <FXRate>	[0..1]	±		1649
	EstimatedPercentageOfShareClassTotalNAV <EstmtdPctgOfShrClsTtlNAV>	[0..1]	Rate		1650
	BreakdownByParty <BrkdwnByPty>	[0..*]	±		1650
	BreakdownByCountry <BrkdwnByCtry>	[0..*]	±		1651
	BreakdownByCurrency <BrkdwnByCcy>	[0..*]	±		1652
	BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam>	[0..*]	±	C17	1653
	EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtls>	[0..*]	±	C7	1654

Constraints

- **ExceptionalCashFlowIndicatorRule**

If ExceptionalNetCashFlowIndicator is "true" or "1" (Yes), then at least one or more of the following must be present:

BreakdownByParty/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByParty/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashOutForecast/ExceptionalCashFlowIndicator.

9.4.8 ConsolidatedNetCashForecast <CnsltdNetCshFcst>

Presence: [0..1]

Definition: Estimated net cash as a result of the cash-in and cash-out flows specified in the fund cash forecast details.

ConsolidatedNetCashForecast <CnsltdNetCshFcst> contains the following elements (see "NetCashForecast3" on page 1311 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1311
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1312
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1312

9.4.9 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C12 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

10 camt.043.001.04 FundDetailedConfirmedCashForecastReport V04

10.1 MessageDefinition Functionality

Scope

A report provider, such as a transfer agent, sends the FundDetailedConfirmedCashForecastReport message to the report user, such as an investment manager or pricing agent, to report the confirmed cash incomings and outgoings, sorted by country, institution name or other criteria defined by the user of one or more share classes of an investment fund on one or more trade dates.

The cash movements may result from, for example, redemption, subscription, switch transactions or reinvestment of dividends.

Usage

The FundDetailedConfirmedCashForecastReport is used to provide definitive cash movements, that is, it is sent after the cut-off time and/or the price valuation of the fund.

If the price is not yet definitive, then the FundDetailedEstimatedCashForecastReport message must be used.

The message structure allows for the following uses:

- to provide cash in and cash out amounts for a fund/sub fund and one or more share classes (a FundOrSubFundDetails sequence and one or FundCashForecastDetails sequences are used),
- to provide cash in and cash out amounts for one or more share classes (one or more FundCashForecastDetails sequences are used).

If the report is to provide cash in and cash out for a fund/sub fund only and not for one or more share classes, then the FundConfirmedCashForecastReport message must be used.

The FundDetailedConfirmedCashForecastReport message is used to report cash movements in or out of a fund, organised by party, such as fund management company, country, currency or by some other criteria defined by the report provider. If the report is used to given the cash-in and cash-out for a party, then additional criteria, such as currency and country, can be specified.

In addition, the underlying transaction type for the cash-in or cash-out movement can be specified, as well as information about the cash movement's underlying orders, such as commission and charges.

Outline

The FundDetailedConfirmedCashForecastReportV04 MessageDefinition is composed of 9 MessageBuildingBlocks:

- A. MessageIdentification
Identifies the message.
- B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. MessagePagination

Pagination of the message.

F. FundOrSubFundDetails

Information about the fund/sub fund when the report either specifies cash flow for the fund/sub fund or for a share class of the fund/sub fund.

G. FundCashForecastDetails

Information related to the cash-in and cash-out flows for a specific trade date as a result of transactions in shares in an investment fund, for example, subscriptions, redemptions or switches. The information provided is sorted by pre-defined criteria such as country, institution, currency or user defined criteria.

H. ConsolidatedNetCashForecast

Net cash as a result of the cash-in and cash-out flows specified in the fund cash forecast details.

I. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

10.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <FndDtlDConfCshFcstRpt>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		131
	PoolReference <PoolRef>	[0..1]	±		131
	PreviousReference <PrvsRef>	[0..*]	±		131
	RelatedReference <RltdRef>	[0..*]	±		131
	MessagePagination <MsgPgntn>	[1..1]	±		132
	FundOrSubFundDetails <FndOrSubFndDtls>	[0..1]	±	C14, C15, C16	132
	FundCashForecastDetails <FndCshFcstDtls>	[1..*]		C11	134
	Identification <Id>	[1..1]	Text		135
	TradeDateTime <TradDtTm>	[1..1]	±		135
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		135
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C13	135
	TotalNAV <TtlNAV>	[0..*]	Amount	C4, C10	136
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C4, C10	136
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		137
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		137
	TotalNAVChangeRate <TtlNAVChngRate>	[0..1]	Rate		137
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C4	137
	CurrencyStatus <CcySts>	[0..1]	±		138
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		138
	Price <Pric>	[0..1]	±		138
	ForeignExchangeRate <FXRate>	[0..1]	±		139
	PercentageOfShareClassTotalNAV <PctgOfShrClsTtlNAV>	[0..1]	Rate		139
	BreakdownByParty <BrkdwnByPty>	[0..*]	±		139
	BreakdownByCountry <BrkdwnByCtry>	[0..*]	±		140
	BreakdownByCurrency <BrkdwnByCcy>	[0..*]	±		141
	BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam>	[0..*]	±	C17	142
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]	±	C7	143

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ConsolidatedNetCashForecast <CnsltdNetCshFcst>	[0..1]	±		144
	Extension <Xtnsn>	[0..*]	±	C12	144

10.3 Constraints

C1 AccountOrOwner1Rule

If AccountIdentification is not present, then OwnerIdentification must be present. Both AccountIdentification and OwnerIdentification may be present.

C2 AccountOrOwner2Rule

If OwnerIdentification is not present, then AccountIdentification must be present. Both OwnerIdentification and AccountIdentification may be present.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C6 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C7 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C9 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 ExceptionalCashFlowIndicatorRule

If ExceptionalNetCashFlowIndicator is "true" or "1" (Yes), then at least one or more of the following must be present:

BreakdownByParty/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByParty/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashOutForecast/ExceptionalCashFlowIndicator.

C12 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C13 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C14 FundIdentification1Rule

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

C15 FundIdentification2Rule

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

C16 FundIdentification3Rule

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

C17 UserDefinedRule

At least one message element in the list (Party, Country, Currency, UserDefined) must be present. More than one element in the list (Party, Country, Currency, UserDefined) may be present.

10.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

10.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Identifies the message.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

10.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference3](#)" on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

10.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference3](#)" on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

10.4.4 RelatedReference <RltdRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference3"](#) on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

10.4.5 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see ["Pagination"](#) on page 1878 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		1879
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		1879

10.4.6 FundOrSubFundDetails <FndOrSubFndDtls>

Presence: [0..1]

Definition: Information about the fund/sub fund when the report either specifies cash flow for the fund/sub fund or for a share class of the fund/sub fund.

Impacted by: [C14 "FundIdentification1Rule"](#), [C15 "FundIdentification2Rule"](#), [C16 "FundIdentification3Rule"](#)

FundOrSubFundDetails <FndOrSubFndDtls> contains the following elements (see "Fund4" on page 1677 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1678
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1678
	Identification <Id>	[0..1]			1678
	Identification </Id>	[1..1]	Text		1678
	Type <Tp>	[1..1]	±		1678
	Currency <Ccy>	[0..1]	CodeSet	C3	1679
	TotalNAV <TtlNAV>	[0..1]	Amount	C3, C12	1679
	PreviousTotalNAV <PrvsTtlNAV>	[0..1]	Amount	C3, C12	1679
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1680
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1680
	PercentageOfFundTotalNAV <PctgOfFndTtlNAV>	[0..1]	Rate		1680

Constraints

- **FundIdentification1Rule**

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Identification is absent
Following Must be True
  /Name Must be present
  Or    /LegalEntityIdentifier Must be present
```

- **FundIdentification2Rule**

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Name is absent
Following Must be True
  /Identification Must be present
  Or    /LegalEntityIdentifier Must be absent
```

- **FundIdentification3Rule**

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /LegalEntityIdentifier is absent
Following Must be True
  /Identification Must be present
  Or    /Name Must be present
```

10.4.7 FundCashForecastDetails <FndCshFcstDtls>

Presence: [1..*]

Definition: Information related to the cash-in and cash-out flows for a specific trade date as a result of transactions in shares in an investment fund, for example, subscriptions, redemptions or switches. The information provided is sorted by pre-defined criteria such as country, institution, currency or user defined criteria.

Impacted by: C11 "ExceptionalCashFlowIndicatorRule"

FundCashForecastDetails <FndCshFcstDtls> contains the following **FundCashForecast6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		135
	TradeDateTime <TradDtTm>	[1..1]	±		135
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		135
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C13	135
	TotalNAV <TtlNAV>	[0..*]	Amount	C4, C10	136
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C4, C10	136
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		137
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		137
	TotalNAVChangeRate <TtlNAVChngRate>	[0..1]	Rate		137
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C4	137
	CurrencyStatus <CcySts>	[0..1]	±		138
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		138
	Price <Pric>	[0..1]	±		138
	ForeignExchangeRate <FXRate>	[0..1]	±		139
	PercentageOfShareClassTotalNAV <PctgOfShrClsTtlNAV>	[0..1]	Rate		139
	BreakdownByParty <BrkdwnByPty>	[0..*]	±		139
	BreakdownByCountry <BrkdwnByCtry>	[0..*]	±		140
	BreakdownByCurrency <BrkdwnByCcy>	[0..*]	±		141
	BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam>	[0..*]	±	C17	142
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]	±	C7	143

Constraints

- **ExceptionalCashFlowIndicatorRule**

If ExceptionalNetCashFlowIndicator is "true" or "1" (Yes), then at least one or more of the following must be present:

BreakdownByParty/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByParty/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashOutForecast/ExceptionalCashFlowIndicator.

10.4.7.1 Identification <Id>

Presence: [1..1]

Definition: Unique technical identifier for an instance of a fund cash forecast within a fund cash forecast report as assigned by the issuer of the report.

Datatype: "Max35Text" on page 2683

10.4.7.2 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and, if required, the time, at which the price has been applied.

TradeDateTime <TradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

10.4.7.3 PreviousTradeDateTime <PrvsTradDtTm>

Presence: [0..1]

Definition: Previous date and time at which the price was applied.

PreviousTradeDateTime <PrvsTradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

10.4.7.4 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class to which the cash flow is related.

Impacted by: C13 "FinancialInstrumentIdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument9" on page 1441 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1442
	Name <Nm>	[0..1]	Text		1443
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1443
	RequestedNAVCurrency <ReqdNAVVCcy>	[0..1]	CodeSet	C3	1443
	ClassType <ClsTp>	[0..1]	Text		1444
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1444
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1444
	DualFundIndicator <DualFndInd>	[1..1]	Indicator		1444

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

10.4.7.5 TotalNAV <TtINAV>

Presence: [0..*]

Definition: Total value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C4 "ActiveOrHistoricCurrency", C10 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

10.4.7.6 PreviousTotalNAV <PrvsTtINAV>

Presence: [0..*]

Definition: Previous value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C4 "ActiveOrHistoricCurrency", C10 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

10.4.7.7 TotalUnitsNumber <TtlUnitsNb>

Presence: [0..1]

Definition: Total number of investment fund class units that have been issued.

TotalUnitsNumber <TtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

10.4.7.8 PreviousTotalUnitsNumber <PrvsTtlUnitsNb>

Presence: [0..1]

Definition: Previous total number of investment fund class units that have been issued.

PreviousTotalUnitsNumber <PrvsTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

10.4.7.9 TotalNAVChangeRate <TtlNAVChngRate>

Presence: [0..1]

Definition: Rate of change of the net asset value.

Datatype: "PercentageRate" on page 2681

10.4.7.10 InvestmentCurrency <InvstmtCcy>

Presence: [0..*]

Definition: Currency of the investment fund class.

Impacted by: C4 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

10.4.7.11 CurrencyStatus <CcySts>

Presence: [0..1]

Definition: Information about the designation of the share class currency, that is, whether it is for onshore or offshore purposes and other information that may be required. This is typically only required for CNY funds.

CurrencyStatus <CcySts> contains the following elements (see "CurrencyDesignation1" on page 1810 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CurrencyDesignation <CcyDsgnt>	[0..1]	CodeSet		1810
	Location <Lctn>	[0..1]	CodeSet	C11	1811
	AdditionalInformation <AddtlInf>	[0..1]	Text		1811

10.4.7.12 ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>

Presence: [1..1]

Definition: Indicates whether the net cash flow is exceptional.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

10.4.7.13 Price <Pric>

Presence: [0..1]

Definition: Price per unit of the trade date.

Price <Pric> contains the following elements (see "UnitPrice19" on page 2240 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceType <PricTp>	[1..1]			2240
{Or	Code <Cd>	[1..1]	CodeSet		2240
Or}	Proprietary <Prtry>	[1..1]	±		2241
	Value <Val>	[1..1]	±		2241

10.4.7.14 ForeignExchangeRate <FXRate>*Presence:* [0..1]*Definition:* Foreign exchange rate.**ForeignExchangeRate <FXRate>** contains the following elements (see "[ForeignExchangeTerms19](#)" on page 1487 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1488
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1488
	ExchangeRate <XchgRate>	[1..1]	Rate		1488

10.4.7.15 PercentageOfShareClassTotalNAV <PctgOfShrClssttINAV>*Presence:* [0..1]*Definition:* Net cash flow expressed as a percentage of the total NAV for the share class.*Datatype:* "[PercentageRate](#)" on page 2681**10.4.7.16 BreakdownByParty <BrkdwnByPty>***Presence:* [0..*]*Definition:* Cash flow by party.

BreakdownByParty <BrkdwByPty> contains the following elements (see "BreakdownByParty3" on page 1853 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]		C1, C2	1854
	AccountIdentification <AcctId>	[0..1]	±		1855
	OwnerIdentification <OwnrId>	[0..1]	±		1855
	AccountServicer <AcctSvcr>	[0..1]	±		1855
	AdditionalParameters <AddtlParams>	[0..1]	±		1856
	CashInForecast <CshInFcst>	[0..*]		C5	1856
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1857
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1857
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1857
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1857
	CashInBreakdownDetails <CshInBrkdwDtls>	[0..*]	±		1858
	AdditionalBalance <AddtlBal>	[0..1]	±		1858
	CashOutForecast <CshOutFcst>	[0..*]		C6	1858
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1859
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1859
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1860
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1860
	CashOutBreakdownDetails <CshOutBrkdwDtls>	[0..*]	±		1860
	AdditionalBalance <AddtlBal>	[0..1]	±		1861
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1861

10.4.7.17 BreakdownByCountry <BrkdwByCtry>

Presence: [0..*]

Definition: Cash flow by country.

BreakdownByCountry <BrkdwnByCtry> contains the following elements (see "BreakdownByCountry2" on page 1846 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1847
	CashInForecast <CshInFcst>	[0..*]		C5	1847
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1848
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1848
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1849
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1849
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1849
	AdditionalBalance <AddtlBal>	[0..1]	±		1849
	CashOutForecast <CshOutFcst>	[0..*]		C6	1850
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1851
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1851
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1851
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1851
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1851
	AdditionalBalance <AddtlBal>	[0..1]	±		1852
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1852

10.4.7.18 BreakdownByCurrency <BrkdwnByCcy>

Presence: [0..*]

Definition: Cash flow by currency.

BreakdownByCurrency <BrkdwnByCcy> contains the following elements (see "BreakdownByCurrency2" on page 1839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Currency <Ccy>	[1..1]	CodeSet	C3	1840
	CashOutForecast <CshOutFcst>	[0..*]		C6	1840
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1841
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1841
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1842
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1842
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1842
	AdditionalBalance <AddtlBal>	[0..1]	±		1842
	CashInForecast <CshInFcst>	[0..*]		C5	1843
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1844
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1844
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1844
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1844
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1844
	AdditionalBalance <AddtlBal>	[0..1]	±		1845
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1845

10.4.7.19 BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam>

Presence: [0..*]

Definition: Cash flow by a user defined parameter/s.

Impacted by: C17 "UserDefinedRule"

BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam> contains the following elements (see "BreakdownByUserDefinedParameter3" on page 1831 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[0..1]		C1, C2	1832
	AccountIdentification <AcctId>	[0..1]	±		1832
	OwnerIdentification <OwnrId>	[0..1]	±		1832
	AccountServicer <AcctSvcr>	[0..1]	±		1833
	Country <Ctry>	[0..1]	CodeSet	C11	1833
	Currency <Ccy>	[0..1]	CodeSet	C3	1833
	UserDefined <UsrDfnd>	[0..1]	±		1834
	CashInForecast <CshInFcst>	[0..*]		C5	1834
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1835
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1835
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1835
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1835
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1835
	AdditionalBalance <AddtlBal>	[0..1]	±		1836
	CashOutForecast <CshOutFcst>	[0..*]		C6	1836
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1837
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1837
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1838
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1838
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1838
	AdditionalBalance <AddtlBal>	[0..1]	±		1838
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1839

Constraints

- **UserDefinedRule**

At least one message element in the list (Party, Country, Currency, UserDefined) must be present.
More than one element in the list (Party, Country, Currency, UserDefined) may be present.

10.4.7.20 NetCashForecastDetails <NetCshFcstDtls>

Presence: [0..*]

Definition: Net cash movements per financial instrument.

Impacted by: C7 "AdditionalBalanceRule"

NetCashForecastDetails <NetCshFcstDtls> contains the following elements (see "NetCashForecast4" on page 1312 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1313
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1313
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1313
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1314
	AdditionalBalance <AddtlBal>	[0..1]	±		1314

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

10.4.8 ConsolidatedNetCashForecast <CnsltdNetCshFcst>

Presence: [0..1]

Definition: Net cash as a result of the cash-in and cash-out flows specified in the fund cash forecast details.

ConsolidatedNetCashForecast <CnsltdNetCshFcst> contains the following elements (see "NetCashForecast3" on page 1311 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1311
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1312
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1312

10.4.9 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C12 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

11 **camt.044.001.03**

FundConfirmedCashForecastReportCancellationV03

11.1 **MessageDefinition Functionality**

Scope

A report provider, such as a transfer agent, sends the FundConfirmedCashForecastReportCancellation message to the report user, such as an investment manager or pricing agent, to cancel a previously sent FundConfirmedCashForecastReport message.

Usage

The FundConfirmedCashForecastReportCancellation message is used to cancel an entire FundConfirmedCashForecastReport message that was previously sent by the report provider. This message must contain reference to the of the message being cancelled.

This message may also contain details of the message to be cancelled, but this is not recommended.

Outline

The FundConfirmedCashForecastReportCancellationV03 MessageDefinition is composed of 6 MessageBuildingBlocks:

- A. MessageIdentification
Identifies the message.
- B. PoolReference
Collective reference identifying a set of messages.
- C. PreviousReference
Reference to a linked message that was previously sent.
- D. RelatedReference
Reference to a linked message that was previously received.
- E. MessagePagination
Pagination of the message.
- F. CashForecastReportToBeCancelled
The FundDetailedConfirmedCashForecastReport to be cancelled.

11.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <FndConfdCshFcstRptCxl>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		149
	PoolReference <PoolRef>	[0..1]	±		149
	PreviousReference <PrvsRef>	[0..1]	±		149
	RelatedReference <RltdRef>	[0..*]	±		150
	MessagePagination <MsgPgntn>	[1..1]	±		150
	CashForecastReportToBeCancelled <CshFcstRptToBeCanc>	[0..1]	±	C7, C16, C17, C18, C19	150

11.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C4 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C5 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C6 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C7 ConsolidatedNetCashRule

If ConsolidatedNetCashForecast is present, then at least one occurrence of either FundOrSubFundDetails or FundCashForecastDetails must be present. Both FundOrSubFundDetails and FundCashForecastDetails may be present.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 ExceptionalCashFlowIndicatorRule

If Exceptional NetCashFlow Indicator is "true" or "1" (Yes), then CashInForecastDetails/ ExceptionalCashFlowIndicator and CashOutForecastDetails/ ExceptionalCashFlowIndicator must be present.

C11 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C12 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C13 FundIdentification1Rule

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

C14 FundIdentification2Rule

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

C15 FundIdentification3Rule

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

C16 FundOrSubFundAndShareClassGuideline

When using the message to provide cash in and cash out amounts for more than one fund/sub fund, and more than one share classes, (two or more FundOrSubFundDetails sequences and two or more FundCashForecastDetails sequences and used), in this usage there is no way to determine which share class belongs to which fund/sub fund from the message content itself, which may not be desirable and this type of usage should be bilaterally agreed.

C17 PreviousTradeDate1Rule

If any occurrence of FundCashForecastDetails is present, then any occurrence of FundOrSubFundDetails/PreviousTradeDateTime is not allowed.

C18 TradeDate1Rule

If any occurrence of FundCashForecastDetails is present, then any occurrence of FundOrSubFundDetails/TradeDateTime is not allowed.

C19 TradeDate2Rule

If FundCashForecastDetails is not present, then at least one occurrence of FundOrSubFundDetails/TradeDateTime must be present.

11.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

11.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Identifies the message.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

11.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference3](#)" on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

11.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference3" on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

11.4.4 RelatedReference <RltdRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference3" on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

11.4.5 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see "Pagination" on page 1878 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		1879
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		1879

11.4.6 CashForecastReportToBeCancelled <CshFcstRptToBeCanc>

Presence: [0..1]

Definition: The FundDetailedConfirmedCashForecastReport to be cancelled.

Impacted by: C7 "ConsolidatedNetCashRule", C16 "FundOrSubFundAndShareClassGuideline", C17 "PreviousTradeDate1Rule", C18 "TradeDate1Rule", C19 "TradeDate2Rule"

CashForecastReportToBeCancelled <CshFcstRptToBeCanc> contains the following elements (see "FundConfirmedCashForecastReport3" on page 1306 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FundOrSubFundDetails <FndOrSubFndDtls>	[0..*]	±	C13, C14, C15	1307
	FundCashForecastDetails <FndCshFcstDtls>	[0..*]	±	C10	1309
	ConsolidatedNetCashForecast <CnsltdNetCshFcst>	[0..1]	±		1310
	Extension <Xtnsn>	[0..*]	±	C16	1311

Constraints

- **ConsolidatedNetCashRule**

If ConsolidatedNetCashForecast is present, then at least one occurrence of either FundOrSubFundDetails or FundCashForecastDetails must be present. Both FundOrSubFundDetails and FundCashForecastDetails may be present.

```
On Condition
  /ConsolidatedNetCashForecast is present
Following Must be True
  /FundOrSubFundDetails[*] Must be present
Or
  /FundCashForecastDetails[*] Must be present
```

- **FundOrSubFundAndShareClassGuideline**

When using the message to provide cash in and cash out amounts for more than one fund/sub fund, and more than one share classes, (two or more FundOrSubFundDetails sequences and two or more FundCashForecastDetails sequences and used), in this usage there is no way to determine which share class belongs to which fund/sub fund from the message content itself, which may not be desirable and this type of usage should be bilaterally agreed.

- **PreviousTradeDate1Rule**

If any occurrence of FundCashForecastDetails is present, then any occurrence of FundOrSubFundDetails/PreviousTradeDateTime is not allowed.

```
On Condition
  /FundCashForecastDetails[*] is present
Following Must be True
  /FundOrSubFundDetails[*]/PreviousTradeDateTime Must be absent
```

- **TradeDate1Rule**

If any occurrence of FundCashForecastDetails is present, then any occurrence of FundOrSubFundDetails/TradeDateTime is not allowed.

```
On Condition
  /FundCashForecastDetails[*] is present
Following Must be True
  /FundOrSubFundDetails[*]/TradeDateTime Must be absent
```

- **TradeDate2Rule**

If FundCashForecastDetails is not present, then at least one occurrence of FundOrSubFundDetails/TradeDateTime must be present.

```
On Condition
  /FundCashForecastDetails[*] is absent
```

Following Must be True
/FundOrSubFundDetails[*]/TradeDateTime Must be present

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12.1 MessageDefinition Functionality

Scope

A report provider, such as a transfer agent, sends the FundDetailedConfirmedCashForecastReportCancellation messages to the report user, such as an investment manager, fund accountant or any other interested party, to cancel a previously sent FundDetailedConfirmedCashForecastReport.

Usage

The FundDetailedConfirmedCashForecastReportCancellation message is used to cancel an entire FundDetailedConfirmedCashForecastReport message that was previously sent. This message must contain the reference of the message to be cancelled.

This message may also contain details of the message to be cancelled, but this is not recommended.

Outline

The FundDetailedConfirmedCashForecastReportCancellationV03 MessageDefinition is composed of 6 MessageBuildingBlocks:

- A. MessageIdentification
Identifies the message.
- B. PoolReference
Collective reference identifying a set of messages.
- C. PreviousReference
Reference to a linked message that was previously sent.
- D. RelatedReference
Reference to a linked message that was previously received.
- E. MessagePagination
Pagination of the message.
- F. CashForecastReportToBeCancelled
The FundDetailedConfirmedCashForecastReport to be cancelled.

12.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <FndDtldConfdCshFcstRptCxl>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		156
	PoolReference <PoolRef>	[0..1]	±		156
	PreviousReference <PrvsRef>	[0..1]	±		156
	RelatedReference <RltdRef>	[0..*]	±		157
	MessagePagination <MsgPgntn>	[1..1]	±		157
	CashForecastReportToBeCancelled <CshFcstRptToBeCanc>	[0..1]	±		157

12.3 Constraints

C1 AccountOrOwner1Rule

If AccountIdentification is not present, then OwnerIdentification must be present. Both AccountIdentification and OwnerIdentification may be present.

C2 AccountOrOwner2Rule

If OwnerIdentification is not present, then AccountIdentification must be present. Both OwnerIdentification and AccountIdentification may be present.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C6 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C7 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C9 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 ExceptionalCashFlowIndicatorRule

If ExceptionalNetCashFlowIndicator is "true" or "1" (Yes), then at least one or more of the following must be present:

BreakdownByParty/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByParty/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashOutForecast/ExceptionalCashFlowIndicator.

C12 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C13 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C14 FundIdentification1Rule

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

C15 FundIdentification2Rule

If Name is not present, then Identification or Legal Entity Identifier must be present.
Identification, Name and Legal Entity Identifier may all be present.

C16 FundIdentification3Rule

If Legal Entity Identifier is not present, then Identification or Name must be present.
Identification, Name and Legal Entity Identifier may all be present.

C17 UserDefinedRule

At least one message element in the list (Party, Country, Currency, UserDefined) must be present. More than one element in the list (Party, Country, Currency, UserDefined) may be present.

12.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

12.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Identifies the message.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

12.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference3](#)" on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

12.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference3" on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

12.4.4 RelatedReference <RltdRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference3" on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

12.4.5 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see "Pagination" on page 1878 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		1879
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		1879

12.4.6 CashForecastReportToBeCancelled <CshFcstRptToBeCanc>

Presence: [0..1]

Definition: The FundDetailedConfirmedCashForecastReport to be cancelled.

CashForecastReportToBeCancelled <CshFcstRptToBeCanc> contains the following elements (see "FundDetailedConfirmedCashForecastReport3" on page 1292 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FundOrSubFundDetails <FndOrSubFndDtls>	[0..1]	±	C14, C15, C16	1293
	FundCashForecastDetails <FndCshFcstDtls>	[1..*]		C11	1294
	Identification <Id>	[1..1]	Text		1296
	TradeDateTime <TradDtTm>	[1..1]	±		1296
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1296
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C13	1296
	TotalNAV <TtlNAV>	[0..*]	Amount	C3, C12	1297
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C3, C12	1297
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1298
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1298
	TotalNAVChangeRate <TtlNAVChngRate>	[0..1]	Rate		1298
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C3	1298
	CurrencyStatus <CcySts>	[0..1]	±		1299
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		1299
	Price <Pric>	[0..1]	±		1299
	ForeignExchangeRate <FXRate>	[0..1]	±		1299
	PercentageOfShareClassTotalNAV <PctgOfShrClsTtlNAV>	[0..1]	Rate		1300
	BreakdownByParty <BrkdwnByPty>	[0..*]	±		1300
	BreakdownByCountry <BrkdwnByCtry>	[0..*]	±		1301
	BreakdownByCurrency <BrkdwnByCcy>	[0..*]	±		1302
	BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam>	[0..*]	±	C17	1303
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]	±	C7	1304
	ConsolidatedNetCashForecast <CnsltdNetCshFcst>	[0..1]	±		1305
	Extension <Xtnsn>	[0..*]	±	C16	1305

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PriceReportV04

13.1 **MessageDefinition Functionality**

SCOPE

A report provider, for example, a transfer agent, fund accountant or market data provider, sends the PriceReport message to the report recipient, for example, a fund management company, transfer agent, market data provider, regulator or any other interested party to provide the net asset value and price information for financial instruments on specific trade dates and, optionally, to quote price variation information.

USAGE

The PriceReport message is sent by the report provider to the report recipient to:

- report prices for one or several different financial instruments for one or several different trade dates,
- report statistical information about the valuation of a financial instrument,
- inform another party that the quotation of a financial instrument is suspended,
- report prices that are used for other purposes than the execution of investment funds orders.

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Outline

The PriceReportV04 MessageDefinition is composed of 10 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies a message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. MessagePagination

Pagination of the message.

F. PriceReportIdentification

Unique and unambiguous identifier for the price report, as assigned by the reporting party.

G. Function

Function of the price report, that is, whether the price report is a new price report or a replacement of some kind.

H. CancellationIdentification

Unique and unambiguous identifier for the cancellation of the previous price report, as assigned by the reporting party.

I. PriceValuationDetails

Information related to the price valuation of a financial instrument.

J. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

13.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <PricRpt>	[1..1]		C16	
	MessageIdentification <MsgId>	[1..1]	±		163
	PoolReference <PoolRef>	[0..1]	±		164
	PreviousReference <PrvsRef>	[0..*]	±		164
	RelatedReference <RltdRef>	[0..1]	±		164
	MessagePagination <MsgPgntn>	[1..1]	±		165
	PriceReportIdentification <PricRptId>	[1..1]	Text		165
	Function <Fctn>	[1..1]	CodeSet		165
	CancellationIdentification <CxId>	[0..1]	Text		166
	PriceValuationDetails <PricValtnDtls>	[1..*]		C18	166
	Identification <Id>	[0..1]	Text		167
	ValuationDateTime <ValtnDtTm>	[0..1]	±		167
	NAVDateTime <NAVDtTm>	[1..1]	±		167
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C15	167
	FundManagementCompany <FndMgmtCpny>	[0..1]	±		168
	TotalNAV <TtlNAV>	[0..*]	Amount	C3, C10	168
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		169
	NextValuationDateTime <NxtValtnDtTm>	[0..1]	±		169
	PreviousValuationDateTime <PrvsValtnDtTm>	[0..1]	±		169
	ValuationType <ValtnTp>	[1..1]	CodeSet		169
	ValuationFrequency <ValtnFrqcy>	[0..1]	CodeSet		170
	OfficialValuationIndicator <OffclValtnInd>	[1..1]	Indicator		170
	SuspendedIndicator <SspdInd>	[1..1]	Indicator		171
	PriceDetails <PricDtls>	[0..*]	±	C8	171
	ValuationStatistics <ValtnSttstcs>	[0..*]	±	C11, C12, C13	173
	PerformanceDetails <PrfrmncDtls>	[0..1]	±	C1	175
	Extension <Xtnsn>	[0..*]	±	C14	176

13.3 Constraints

C1 AccumulationPeriodRule

AccumulationPeriod must be present if CumulativeCorporateActionFactor is present.

C2 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C3 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C4 AmountAndOrRateRule

If Amount is not present, then Rate is mandatory. If Amount is present, then Rate is optional.

C5 AmountAndOrRateRule

If Amount is not present, then Rate is mandatory. If Amount is present, then Rate is optional.

C6 AmountSignRule

If Amount is present, then AmountSign is optional. If Amount is not present, then AmountSign is not allowed.

C7 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C8 CalculationBasisRule

If Type is not NonAdjustedUnpublished, then CalculationBasis is not allowed. If Type is NonAdjustedUnpublished, then CalculationBasis may be present.

C9 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 CurrencyPredefinedPeriodsRule

Currency in ByPredefinedTimePeriods/HighestPriceValue12Months/Amount, ByPredefinedTimePeriods/LowestPriceValue12Months/Amount, ByPredefinedTimePeriods/OneYearPriceChange/Amount, ByPredefinedTimePeriods/ThreeYearPriceChange/Amount and ByPredefinedTimePeriods/FiveYearPriceChange/Amount must be the same as Currency.

C12 CurrencyPriceChangeRule

Currency in PriceChange/Amount must be the same as Currency.

C13 CurrencyUserDefinedPeriodsRule

Currency in ByUserDefinedTimePeriod/HighestPriceValue/Amount, ByUserDefinedTimePeriod/LowestPriceValue/Amount and ByUserDefinedTimePeriod/PriceChange/Amount must be the same as Currency.

C14 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C15 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C16 NewPriceReportRule

If Function is "NEWP" then CancellationIdentification must not be present.

On Condition
 /Function is equal to value 'NewPriceReport'
 Following Must be True
 /CancellationIdentification Must be absent

This constraint is defined at the MessageDefinition level.

C17 OtherCodeRule

If Structured is OTHR, then AdditionalInformation is mandatory.

C18 PriceDetailsRule

If SuspendedIndicator is "true" or "1" (Yes), then PriceDetails is optional.

If SuspendedIndicator is "false" or "0" (No), and if FinancialInstrumentDetails / DualFundIndicator is "false" or "0" (No), then PriceDetails must be present at least once.

If SuspendedIndicator is "false" or "0" (No), and if FinancialInstrumentDetails / DualFundIndicator is "true" or "1" (Yes), then PriceDetails must be present two or more times and PriceDetails/Type must be present with the values "BIDE" and "OFFR".

13.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

13.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies a message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

13.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference3](#)" on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

13.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference3](#)" on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

13.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference3"](#) on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

13.4.5 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see ["Pagination"](#) on page 1878 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		1879
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		1879

13.4.6 PriceReportIdentification <PricRptId>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the price report, as assigned by the reporting party.

Datatype: ["Max35Text"](#) on page 2683

13.4.7 Function <Fctn>

Presence: [1..1]

Definition: Function of the price report, that is, whether the price report is a new price report or a replacement of some kind.

Datatype: ["PriceReportFunction1Code"](#) on page 2621

CodeName	Name	Definition
REPL	CompleteReplacementPriceReport	The price report conveys the correction of the complete set of prices previously sent for the same price report identification.
NEWP	NewPriceReport	The price report is 'new', that is the report is not being used as a correction of previously sent prices.
PART	PartialCorrectionPriceReport	The price report conveys the correction of some of the prices previously sent for the same price report identification.

13.4.8 CancellationIdentification <CxIId>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the cancellation of the previous price report, as assigned by the reporting party.

Datatype: "Max35Text" on page 2683

13.4.9 PriceValuationDetails <PricValtnDtls>

Presence: [1..*]

Definition: Information related to the price valuation of a financial instrument.

Impacted by: C18 "PriceDetailsRule"

PriceValuationDetails <PricValtnDtls> contains the following **PriceValuation4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		167
	ValuationDateTime <ValtnDtTm>	[0..1]	±		167
	NAVDateTime <NAVDtTm>	[1..1]	±		167
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C15	167
	FundManagementCompany <FndMgmtCpny>	[0..1]	±		168
	TotalNAV <TtlNAV>	[0..*]	Amount	C3, C10	168
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		169
	NextValuationDateTime <NxtValtnDtTm>	[0..1]	±		169
	PreviousValuationDateTime <PrvsValtnDtTm>	[0..1]	±		169
	ValuationType <ValtnTp>	[1..1]	CodeSet		169
	ValuationFrequency <ValtnFrqcy>	[0..1]	CodeSet		170
	OfficialValuationIndicator <OffclValtnInd>	[1..1]	Indicator		170
	SuspendedIndicator <SspdInd>	[1..1]	Indicator		171
	PriceDetails <PricDtls>	[0..*]	±	C8	171
	ValuationStatistics <ValtnSttstcs>	[0..*]	±	C11, C12, C13	173
	PerformanceDetails <PrfrmncDtls>	[0..1]	±	C1	175

Constraints

- **PriceDetailsRule**

If SuspendedIndicator is "true" or "1" (Yes), then PriceDetails is optional.

If SuspendedIndicator is "false" or "0" (No), and if FinancialInstrumentDetails /DualFundIndicator is "false" or "0" (No), then PriceDetails must be present at least once.

If SuspendedIndicator is "false" or "0" (No), and if FinancialInstrumentDetails /DualFundIndicator is "true" or "1" (Yes), then PriceDetails must be present two or more times and PriceDetails/Type must be present with the values "BIDE" and "OFFR".

13.4.9.1 Identification <Id>

Presence: [0..1]

Definition: Unique technical identifier for an instance of a price valuation within a price report, as assigned by the issuer of the report.

Datatype: "Max35Text" on page 2683

13.4.9.2 ValuationDateTime <ValtnDtTm>

Presence: [0..1]

Definition: Date and time of the price valuation for the investment fund/fund class.

ValuationDateTime <ValtnDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

13.4.9.3 NAVDateTime <NAVDtTm>

Presence: [1..1]

Definition: Date and time at which a price is applied, according to the terms stated in the prospectus. The NAV date is also known as the trade date. The NAV date becomes the trade date in an order.

NAVDateTime <NAVDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

13.4.9.4 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class for which the net asset value is calculated.

Impacted by: C15 "FinancialInstrumentIdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument8" on page 1433 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..10]	±		1434
	Name <Nm>	[0..1]	Text		1434
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1434
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C3	1435
	ClassType <ClsTp>	[0..1]	Text		1435
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1435
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1435
	DualFundIndicator <DualFndInd>	[1..1]	Indicator		1436

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

13.4.9.5 FundManagementCompany <FndMgmtCpny>

Presence: [0..1]

Definition: Issuer of the fund.

FundManagementCompany <FndMgmtCpny> contains one of the following elements (see "PartyIdentification2Choice" on page 1896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	1897
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1897
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1897

13.4.9.6 TotalNAV <TtlNAV>

Presence: [0..*]

Definition: Value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C3 "ActiveOrHistoricCurrency", C10 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.9.7 TotalUnitsNumber <TtlUnitsNb>

Presence: [0..1]

Definition: Total number of investment fund class units that have been issued.

TotalUnitsNumber <TtlUnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

13.4.9.8 NextValuationDateTime <NxtValtnDtTm>

Presence: [0..1]

Definition: Date and time of the next price valuation for the investment fund/fund class.

NextValuationDateTime <NxtValtnDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

13.4.9.9 PreviousValuationDateTime <PrvsValtnDtTm>

Presence: [0..1]

Definition: Date and time of the previous price valuation for the investment fund/fund class.

PreviousValuationDateTime <PrvsValtnDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

13.4.9.10 ValuationType <ValtnTp>

Presence: [1..1]

Definition: Specifies how the valuation is done, based on the schedule stated in the prospectus.

Datatype: "[ValuationTiming1Code](#)" on page 2668

CodeName	Name	Definition
EXCP	Exceptional	Price valuation is done exceptionally, outside the timeframe specified in the prospectus.
USUA	Usual	Price valuation is done within the timeframe specified in the prospectus.
PATC	Particular	Price valuation that is done outside the usual timeframe, but in conformance with a case specified in the prospectus, eg, market closing day.

13.4.9.11 ValuationFrequency <ValtnFrqcy>

Presence: [0..1]

Definition: Frequency of the valuation.

Datatype: "EventFrequency1Code" on page 2575

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
MNTH	Monthly	Event takes place every month or once a month.
TWMN	TwiceAMonth	Event takes place two times a month.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.
INDA	IntraDay	Event takes place several times a day.
OVNG	Overnight	Event takes place overnight.
ONDE	OnDemand	Event takes place on demand.

13.4.9.12 OfficialValuationIndicator <OffclValtnInd>

Presence: [1..1]

Definition: Indicates whether the valuation is an official valuation.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

13.4.9.13 SuspendedIndicator <SspdInd>

Presence: [1..1]

Definition: Indicates whether the valuation of the investment fund class is suspended.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

13.4.9.14 PriceDetails <PricDtls>

Presence: [0..*]

Definition: Amount of money for which goods or services are offered, sold, or bought.

Impacted by: [C8 "CalculationBasisRule"](#)

PriceDetails <PricDtls> contains the following elements (see "UnitPrice15" on page 2242 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2244
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2246
	PriceMethod <PricMtd>	[0..1]	CodeSet		2246
	ValueInInvestmentCurrency <ValInInvstmtCcy>	[1..*]	±		2246
	ValueInAlternativeCurrency <ValInAltrntvCcy>	[0..*]	±		2246
	ForExecutionIndicator <ForExctnInd>	[1..1]	Indicator		2246
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		2247
	CalculationBasis <ClctnBsis>	[0..1]	Rate		2247
	EstimatedPriceIndicator <EstmtdPricInd>	[1..1]	Indicator		2247
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2247
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C3	2247
{Or	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]	CodeSet		2248
Or}	ExtendedTaxableIncomePerShareCalculated <XtndedTaxblIncmPerShrClctd>	[0..1]	Text		2248
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2248
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2248
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2249
	ChargeDetails <ChrgDtls>	[0..*]			2249
{Or	Type <Tp>	[1..1]	CodeSet		2249
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2250
{Or	Amount <Amt>	[1..1]	Amount	C2	2250
Or}	Rate <Rate>	[1..1]	Rate		2251
{Or	CalculationBasis <ClctnBsis>	[0..1]	CodeSet		2251
Or}	ExtendedCalculationBasis <XtndedClctnBsis>	[0..1]	Text		2251
	TaxLiabilityDetails <TaxLblyDtls>	[0..*]		C4	2251
{Or	Type <Tp>	[1..1]	CodeSet		2252
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2253
	Amount <Amt>	[0..7]	Amount	C3	2253
	Rate <Rate>	[0..1]	Rate		2253
	Country <Ctry>	[1..1]	CodeSet	C11	2253
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2254

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	EUCapitalGain <EUCptlGn>	[0..1]	CodeSet		2254
Or}	ExtendedEUCapitalGain <XtndedEUCptlGn>	[0..1]	Text		2254
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2255
	PercentageGrandfatheredDebt <PctgGrdfthdDebt>	[0..1]	Rate		2255
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2255
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2255
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2256
	TaxRefundDetails <TaxRfndDtls>	[0..*]		C4	2256
{Or	Type <Tp>	[1..1]	CodeSet		2256
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2257
	Amount <Amt>	[0..7]	Amount	C3	2257
	Rate <Rate>	[0..1]	Rate		2258
	Country <Ctry>	[1..1]	CodeSet	C11	2258
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2258
{Or	EUCapitalGain <EUCptlGn>	[0..1]	CodeSet		2258
Or}	ExtendedEUCapitalGain <XtndedEUCptlGn>	[0..1]	Text		2259
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2259
	PercentageGrandfatheredDebt <PctgGrdfthdDebt>	[0..1]	Rate		2259
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2259
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2260
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2260

Constraints

- CalculationBasisRule**

If Type is not NonAdjustedUnpublished, then CalculationBasis is not allowed. If Type is NonAdjustedUnpublished, then CalculationBasis may be present.

13.4.9.15 ValuationStatistics <ValtnSttstcs>

Presence: [0..*]

Definition: Information related to the price variations of an investment fund class.

Impacted by: C11 "CurrencyPredefinedPeriodsRule", C12 "CurrencyPriceChangeRule", C13 "CurrencyUserDefinedPeriodsRule"

ValuationStatistics <ValtnSttstcs> contains the following elements (see "ValuationStatistics3" on page 1865 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Currency <Ccy>	[1..1]	CodeSet	C3	1867
	PriceTypeChangeBasis <PricTpChngBsis>	[1..1]		C18	1867
	Structured <Strd>	[1..1]	CodeSet		1868
	AdditionalInformation <AddtlInf>	[0..1]	Text		1869
	PriceChange <PricChng>	[1..1]		C5, C6	1869
	Amount <Amt>	[0..1]	Amount	C3	1870
	AmountSign <AmtSgn>	[0..1]	Indicator		1870
	Rate <Rate>	[0..1]	Rate		1870
	Yield <Yld>	[0..1]	Rate		1870
	ByPredefinedTimePeriods <ByPrdfndTmPrds>	[0..1]			1870
	HighestPriceValue12Months <HghstPricVal12Mnths>	[0..1]			1871
	Amount <Amt>	[1..1]	Amount	C3	1871
	LowestPriceValue12Months <LwstPricVal12Mnths>	[0..1]			1872
	Amount <Amt>	[1..1]	Amount	C3	1872
	OneYearPriceChange <OneYrPricChng>	[0..1]		C5, C6	1872
	Amount <Amt>	[0..1]	Amount	C3	1873
	AmountSign <AmtSgn>	[0..1]	Indicator		1873
	Rate <Rate>	[0..1]	Rate		1873
	ThreeYearPriceChange <ThreeYrPricChng>	[0..1]		C5, C6	1873
	Amount <Amt>	[0..1]	Amount	C3	1874
	AmountSign <AmtSgn>	[0..1]	Indicator		1874
	Rate <Rate>	[0..1]	Rate		1874
	FiveYearPriceChange <FiveYrPricChng>	[0..1]		C5, C6	1874
	Amount <Amt>	[0..1]	Amount	C3	1875
	AmountSign <AmtSgn>	[0..1]	Indicator		1875
	Rate <Rate>	[0..1]	Rate		1875
	ByUserDefinedTimePeriod <ByUsrDfndTmPrd>	[0..*]			1876
	Period <Prd>	[1..1]	±		1876
	HighestPriceValue <HghstPricVal>	[0..1]			1876
	Amount <Amt>	[1..1]	Amount	C3	1876

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LowestPriceValue <LwstPricVal>	[0..1]			1877
	Amount <Amt>	[1..1]	Amount	C3	1877
	PriceChange <PricChng>	[0..1]		C5, C6	1877
	Amount <Amt>	[0..1]	Amount	C3	1878
	AmountSign <AmtSgn>	[0..1]	Indicator		1878
	Rate <Rate>	[0..1]	Rate		1878
	Yield <Yld>	[0..1]	Rate		1878

Constraints

- **CurrencyPredefinedPeriodsRule**

Currency in ByPredefinedTimePeriods/HighestPriceValue12Months/Amount, ByPredefinedTimePeriods/LowestPriceValue12Months/Amount, ByPredefinedTimePeriods/OneYearPriceChange/Amount, ByPredefinedTimePeriods/ThreeYearPriceChange/Amount and ByPredefinedTimePeriods/FiveYearPriceChange/Amount must be the same as Currency.

- **CurrencyPriceChangeRule**

Currency in PriceChange/Amount must be the same as Currency.

- **CurrencyUserDefinedPeriodsRule**

Currency in ByUserDefinedTimePeriod/HighestPriceValue/Amount, ByUserDefinedTimePeriod/LowestPriceValue/Amount and ByUserDefinedTimePeriod/PriceChange/Amount must be the same as Currency.

13.4.9.16 PerformanceDetails <PrfrmncDtls>

Presence: [0..1]

Definition: Factors that give indications about the performance of a fund.

Impacted by: C1 "AccumulationPeriodRule"

PerformanceDetails <PrfrmncDtls> contains the following elements (see "[PerformanceFactors1](#)" on page 1811 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionFactor <CorpActnFctr>	[0..1]	Quantity		1811
	CumulativeCorporateActionFactor <CmltvCorpActnFctr>	[0..1]	Quantity		1811
	AccumulationPeriod <AcmltnPrd>	[0..1]	±		1812
	NormalPerformance <NrmIPrfrmnc>	[0..1]	Quantity		1812

Constraints

- **AccumulationPeriodRule**

AccumulationPeriod must be present if CumulativeCorporateActionFactor is present.

13.4.10 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C14 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

14 **reda.002.001.04**

PriceReportCancellationV04

14.1 **MessageDefinition Functionality**

SCOPE

A report provider, for example, a transfer agent, fund accountant or market data provider, sends the PriceReportCancellation message to the report recipient, for example, a fund management company, transfer agent, market data provider, regulator or any other interested party to cancel previously sent prices.

USAGE

The PriceReportCancellation message is used to either:

- cancel an entire PriceReport that was previously sent (by quoting the business reference of the original price report in the PriceReportIdentification element), or,
- cancel one or more individual prices from a previously sent price report (by using the PriceDetailsToBeCancelled sequence).

Technically, it is possible to cancel all the prices individually by using the PriceDetailsToBeCancelled sequence, but this is not recommended.

The cancellation should not contain the cancellation of prices for more than one NAV date.

Outline

The PriceReportCancellationV04 MessageDefinition is composed of 11 MessageBuildingBlocks:

- A. MessageIdentification
Reference that uniquely identifies a message from a business application standpoint.
- B. PoolReference
Collective reference identifying a set of messages.
- C. PreviousReference
Reference to a linked message that was previously sent.
- D. MessagePagination
Pagination of the message.
- E. PriceReportIdentification
Unique and unambiguous identifier for the price report, as assigned by the reporting party.
- F. CancellationIdentification
Unique and unambiguous identifier for the cancellation of the previous price report, as assigned by the reporting party.
- G. CancellationReason

Reason for the cancellation.

H. ExpectedPriceCorrectionDate

Date or date and time the price will be corrected.

I. CompletePriceCancellation

Indicates whether or not all the prices of the referenced price report are cancelled.

J. CancelledPriceValuationDetails

Details of prices to be cancelled.

K. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

14.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <PricRptCxl>	[1..1]		C9, C10	
	MessageIdentification <MsgId>	[1..1]	±		180
	PoolReference <PoolRef>	[0..1]	±		181
	PreviousReference <PrvsRef>	[0..1]	±		181
	MessagePagination <MsgPgntn>	[1..1]	±		181
	PriceReportIdentification <PricRptId>	[1..1]	Text		181
	CancellationIdentification <CxlId>	[1..1]	Text		182
	CancellationReason <CxlRsn>	[0..1]	Text		182
	ExpectedPriceCorrectionDate <XpctdPricCrctnDt>	[0..1]	±		182
	CompletePriceCancellation <CmpltPricCxl>	[1..1]	Indicator		182
	CancelledPriceValuationDetails <CancPricValtnDtls>	[0..*]	±		182
	Extension <Xtnsn>	[0..*]	±	C16	183

14.3 Constraints

C1 AccumulationPeriodRule

AccumulationPeriod must be present if CumulativeCorporateActionFactor is present.

C2 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C3 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C4 AmountAndOrRateRule

If Amount is not present, then Rate is mandatory. If Amount is present, then Rate is optional.

C5 AmountAndOrRateRule

If Amount is not present, then Rate is mandatory. If Amount is present, then Rate is optional.

C6 AmountSignRule

If Amount is present, then AmountSign is optional. If Amount is not present, then AmountSign is not allowed.

C7 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C8 CalculationBasisRule

If Type is not NonAdjustedUnpublished, then CalculationBasis is not allowed. If Type is NonAdjustedUnpublished, then CalculationBasis may be present.

C9 CompletePriceCancellation1Rule

If CompletePriceCancellation is "false" or "0" (No), then one or more instances of CancelledPriceValuationDetails must be present.

On Condition

/CompletePriceCancellation is equal to value 'False'

Following Must be True

/CancelledPriceValuationDetails[*] Must be present

This constraint is defined at the MessageDefinition level.

C10 CompletePriceCancellation2Rule

If CompletePriceCancellation is "true" or "1" (Yes), then CancelledPriceValuationDetails must not be present.

On Condition

/CompletePriceCancellation is equal to value 'True'

Following Must be True

/CancelledPriceValuationDetails[*] Must be absent

This constraint is defined at the MessageDefinition level.

C11 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C12 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C13 CurrencyPredefinedPeriodsRule

Currency in ByPredefinedTimePeriods/HighestPriceValue12Months/Amount, ByPredefinedTimePeriods/LowestPriceValue12Months/Amount, ByPredefinedTimePeriods/OneYearPriceChange/Amount, ByPredefinedTimePeriods/ThreeYearPriceChange/Amount and ByPredefinedTimePeriods/FiveYearPriceChange/Amount must be the same as Currency.

C14 CurrencyPriceChangeRule

Currency in PriceChange/Amount must be the same as Currency.

C15 CurrencyUserDefinedPeriodsRule

Currency in ByUserDefinedTimePeriod/HighestPriceValue/Amount, ByUserDefinedTimePeriod/LowestPriceValue/Amount and ByUserDefinedTimePeriod/PriceChange/Amount must be the same as Currency.

C16 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C17 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C18 OtherCodeRule

If Structured is OTHR, then AdditionalInformation is mandatory.

C19 PriceDetailsRule

If SuspendedIndicator is "true" or "1" (Yes), then PriceDetails is optional.

If SuspendedIndicator is "false" or "0" (No), and if FinancialInstrumentDetails / DualFundIndicator is "false" or "0" (No), then PriceDetails must be present at least once.

If SuspendedIndicator is "false" or "0" (No), and if FinancialInstrumentDetails / DualFundIndicator is "true" or "1" (Yes), then PriceDetails must be present two or more times and PriceDetails/Type must be present with the values "BIDE" and "OFFR".

14.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

14.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies a message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see ["MessageIdentification1"](#) on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

14.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see ["AdditionalReference3"](#) on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

14.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see ["AdditionalReference3"](#) on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

14.4.4 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see ["Pagination"](#) on page 1878 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		1879
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		1879

14.4.5 PriceReportIdentification <PricRptId>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the price report, as assigned by the reporting party.

Datatype: ["Max35Text"](#) on page 2683

14.4.6 CancellationIdentification <CxIId>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the cancellation of the previous price report, as assigned by the reporting party.

Datatype: "Max35Text" on page 2683

14.4.7 CancellationReason <CxIRsn>

Presence: [0..1]

Definition: Reason for the cancellation.

Datatype: "Max350Text" on page 2683

14.4.8 ExpectedPriceCorrectionDate <XpctdPricCrrctnDt>

Presence: [0..1]

Definition: Date or date and time the price will be corrected.

ExpectedPriceCorrectionDate <XpctdPricCrrctnDt> contains one of the following elements (see "DateAndDateTime1Choice" on page 1376 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1377
Or}	DateTime <DtTm>	[1..1]	DateTime		1377

14.4.9 CompletePriceCancellation <CmpltPricCxl>

Presence: [1..1]

Definition: Indicates whether or not all the prices of the referenced price report are cancelled.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

14.4.10 CancelledPriceValuationDetails <CancPricValtnDtls>

Presence: [0..*]

Definition: Details of prices to be cancelled.

CancelledPriceValuationDetails <CancPricValtnDtls> contains the following elements (see "PriceReport3" on page 2217 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceValuationDetails <PricValtnDtls>	[1..*]		C19	2218
	Identification <Id>	[0..1]	Text		2219
	ValuationDateTime <ValtnDtTm>	[0..1]	±		2219
	NAVDateTime <NAVDTm>	[1..1]	±		2220
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	2220
	FundManagementCompany <FndMgmtCpny>	[0..1]	±		2221
	TotalNAV <TtlNAV>	[0..*]	Amount	C3, C12	2221
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		2221
	NextValuationDateTime <NxtValtnDtTm>	[0..1]	±		2222
	PreviousValuationDateTime <PrvsValtnDtTm>	[0..1]	±		2222
	ValuationType <ValtnTp>	[1..1]	CodeSet		2222
	ValuationFrequency <ValtnFrqcy>	[0..1]	CodeSet		2222
	OfficialValuationIndicator <OffclValtnInd>	[1..1]	Indicator		2223
	SuspendedIndicator <SspdInd>	[1..1]	Indicator		2223
	PriceDetails <PricDtls>	[0..*]	±	C8	2223
	ValuationStatistics <ValtnSttstcs>	[0..*]	±	C13, C14, C15	2225
	PerformanceDetails <PrfrmncDtls>	[0..1]	±	C1	2227

14.4.11 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C16 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

15 **reda.004.001.06**

FundReferenceDataReportV06

15.1 **MessageDefinition Functionality**

Scope

The FundReferenceDataReport message is sent by a report provider, for example, a fund promoter, fund management company, transfer agent, or market data provider to the report recipient, for example, a professional investor, investment fund distributor, market data provider, regulator or other interested party, to provide the key reference data for financial instruments to facilitate trading. The message may also include reporting data concerning product governance, such as target market data, and a breakdown of the costs and fees.

Usage

A FundReferenceDataReport message should be prepared for each class of unit/share (for which an individual ISIN should have been allocated), in respect of its "home" market. Each time the fund data changes, the 'product provider' must provide the data to a product user, for example, the distributors, by sending a new funds reference data report. A single message may contain more than one report. When the FundReferenceDataReport message is sent to provide updated reference data, the message overwrites the previously sent data.

The FundReferenceDataReport message may be used in various models or environments:

- in a standalone environment, for example, initiated by the Report Provider (fund promoter, fund manager and / or reference data vendors) sent on a regular frequency, or when changes are needed.
- in a request / response environment, with the InvestmentFundReportRequest, for example, initiated by report users (data vendors, professional investors, regulators or investment fund distributors) in enabling the user to control the flow and updates of information.
- in a reference data vendor environment, for example, market infrastructure and reference data providers may collate and store all fund reference data information centrally for access via database or regular distribution information. A reference data vendor may assume the role of both report provider and report user.

The FundReferenceDataReport message may be used to provide data concerning product governance, such as target market data, and a breakdown of the costs and fees in the context of MiFID II, with respect to the European MiFID Template (EMT) version 3 and 3.1. Versions 3 and 3.1 will coexist until version 4 is available.

Outline

The FundReferenceDataReportV06 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PreviousReference

Reference to a linked message that was previously sent.

C. RelatedReference

Reference to a linked message that was previously received.

D. FundReferenceDataReportIdentification

Unique and unambiguous identifier for the fund reference data report, as assigned by the issuer of the report.

E. Report

Fund reference data.

15.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <FndRefDataRpt>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		194
	PreviousReference <PrvsRef>	[0..*]	±		194
	RelatedReference <RltdRef>	[0..1]	±		194
	FundReferenceDataReportIdentification <FndRefDataRptId>	[0..1]	Text		195
	Report <Rpt>	[1..*]		C3, C4	195
	Identification <Id>	[0..1]	Text		200
	Version <Vrsn>	[0..1]	±		201
	AuthorisedProxy <AuthrsdPrxy>	[0..1]	±		201
	GeneralReferenceDate <GnlRefDt>	[1..1]	Date		201
	TargetMarketIndicator <TrgtMktInd>	[0..1]	Indicator		202
	ExAnteIndicator <ExAnteInd>	[0..1]	Indicator		202
	ExPostIndicator <ExPstInd>	[0..1]	Indicator		202
	SecurityIdentification <SctyId>	[1..1]			202
	Identification <Id>	[1..1]		C11, C12, C20, C21, C26	204
	OtherIdentification <OthrlId>	[0..*]			205
	Identification <Id>	[1..1]	Text		205
	Suffix <Sfx>	[0..1]	Text		205
	Type <Tp>	[1..1]	±		205
	Description <Desc>	[0..1]	Text		206
	ISIN <ISIN>	[0..1]	IdentifierSet		206
	Name <Nm>	[1..1]	Text		206
	ShortName <ShrtNm>	[0..1]	Text		206
	ClassType <ClsTp>	[0..1]	Text		206
	UmbrellaName <UmbrellNm>	[0..1]	Text		206
	NewUmbrella <NewUmbrell>	[0..1]	Indicator		206
	ClassificationType <ClssfctnTp>	[0..1]	±		207
	BaseCurrency <BaseCcy>	[0..1]	CodeSet	C1	207

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	CountryOfDomicile <CtryOfDmcl>	[0..1]	CodeSet	C5	207
	RegisteredDistributionCountry <RegdDstrbtnCtry>	[0..*]	CodeSet	C5	207
	ProductType <PdctTp>	[0..1]			208
{Or	Code <Cd>	[1..1]	CodeSet		208
Or}	Proprietary <Prtry>	[1..1]	±		208
	Issuer <Issr>	[0..1]	±		209
	IssuerProductGovernanceProcess <IssrPdctGovncProc>	[0..1]	±		209
	ProductCategory <PdctCtgy>	[0..1]	Text		209
	ProductCategoryGermany <PdctCtgyDE>	[0..1]	Text		210
	NotionalOrUnitBased <NtnlOrUnitBased>	[0..1]			210
{Or	Code <Cd>	[1..1]	CodeSet		210
Or}	Proprietary <Prtry>	[1..1]	±		210
	QuotationType <QtnTp>	[0..1]	±		211
	LeveragedOrContingentLiability <LvrgdOrCntgntLbilty>	[0..1]	Indicator		211
	NoRetrocessionIndicator <NoRtrcssnInd>	[0..1]	Indicator		211
	ExPostCostCalculationBasis <ExPstCostClctnBsis>	[0..1]			212
{Or	Code <Cd>	[1..1]	CodeSet		212
Or}	Proprietary <Prtry>	[1..1]	±		212
	AdditionalInformation <AddtlInf>	[0..*]	±		212
	FundParties <FndPties>	[0..1]	±		213
	MainFundOrderDesk <MainFndOrdDrDsk>	[0..1]	±		213
	FundManagementCompany <FndMgmtCpny>	[0..1]	±		213
	FundDetails <FndDtls>	[0..1]	±		214
	ValuationDealingCharacteristics <ValtnDealgChrtcs>	[0..1]	±		216
	InvestmentRestrictions <InvstmtRstrctns>	[0..1]	±		216
	SubscriptionProcessingCharacteristics <SbcptPrcgChrtcs>	[0..1]	±	C7	217
	RedemptionProcessingCharacteristics <RedPrcgChrtcs>	[0..1]	±	C8	219
	SwitchProcessingCharacteristics <SwchPrcgChrtcs>	[0..1]	±	C9	220
	PlanCharacteristics <PlanChrtcs>	[0..*]	±	C19	221
	PaymentInstrument <PmtInstrm>	[0..*]	±		222
	CashSettlementDetails <CshSttlmDtls>	[0..*]			223

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Currency <Ccy>	[0..1]	CodeSet	C1	223
	PrimaryAccount <PmryAcct>	[0..1]			223
	AccountIdentification <AcctId>	[1..1]			224
	Identification <Id>	[1..1]	±		224
	Name <Nm>	[0..1]	Text		224
	Servicer <Svcr>	[0..1]	IdentifierSet	C2	224
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		225
	SecondaryAccount <ScndryAcct>	[0..1]			225
	AccountIdentification <AcctId>	[1..1]			225
	Identification <Id>	[1..1]	±		225
	Name <Nm>	[0..1]	Text		226
	Servicer <Svcr>	[0..1]	IdentifierSet	C2	226
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		226
	LocalMarketAnnex <LclMktAnx>	[0..*]			226
	Country <Ctry>	[1..*]	CodeSet	C5	227
	LocalOrderDesk <LclOrdDsk>	[1..1]	±		228
	SubscriptionProcessingCharacteristics <SbcptPrcgChrtcs>	[0..1]	±	C7	228
	RedemptionProcessingCharacteristics <RedPrcgChrtcs>	[0..1]	±	C10	230
	SwitchProcessingCharacteristics <SwchPrcgChrtcs>	[0..1]	±	C9	231
	CashSettlementDetails <CshSttlmDtls>	[0..*]			232
	Currency <Ccy>	[0..1]	CodeSet	C1	233
	PrimaryAccount <PmryAcct>	[0..1]			233
	AccountIdentification <AcctId>	[1..1]			234
	Identification <Id>	[1..1]	±		234
	Name <Nm>	[0..1]	Text		234
	Servicer <Svcr>	[0..1]	IdentifierSet	C2	234
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		235
	SecondaryAccount <ScndryAcct>	[0..1]			235
	AccountIdentification <AcctId>	[1..1]			235
	Identification <Id>	[1..1]	±		235
	Name <Nm>	[0..1]	Text		236

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Servicer <Svcr>	[0..1]	IdentifierSet	C2	236
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		236
	AdditionalInformation <AddtlInf>	[0..*]	±		236
	TargetMarket <TrgtMkt>	[0..1]			237
	ReferenceDate <RefDt>	[0..1]	Date		239
	InvestorType <InvstrTp>	[0..1]			239
	InvestorTypeRetail <InvstrTpRtl>	[0..1]	CodeSet		239
	InvestorTypeProfessional <InvstrTpPrfssnl>	[0..1]	±		240
	InvestorTypeEligibleCounterparty <InvstrTpElgblCtrPty>	[0..1]	CodeSet		240
	Other <Othr>	[0..*]	±		240
	KnowledgeAndOrExperience <KnwldgAndOrExprnc>	[0..1]			241
	BasicInvestor <BsicInvstr>	[0..1]	CodeSet		241
	InformedInvestor <InfrmdInvstr>	[0..1]	CodeSet		241
	AdvancedInvestor <AdvncdInvstr>	[0..1]	CodeSet		242
	ExpertInvestorGermany <ExprtInvstrDE>	[0..1]	CodeSet		242
	Other <Othr>	[0..*]	±		242
	AbilityToBearLosses <AbltyToBearLosses>	[0..1]			243
	NoCapitalLoss <NoCptlLoss>	[0..1]	CodeSet		243
	LimitedCapitalLoss <LtdCptlLoss>	[0..1]	CodeSet		243
	LimitedCapitalLossLevel <LtdCptlLossLvl>	[0..1]	Rate		244
	NoCapitalGuarantee <NoCptlGrnt>	[0..1]	CodeSet		244
	LossBeyondCapital <LossByndCptl>	[0..1]	CodeSet		244
	Other <Othr>	[0..*]	±		244
	RiskTolerance <RskTlrnce>	[0..1]			245
	RiskTolerancePRIIPSMethodology <RskTlrncePRIIPSMthdly>	[0..1]	Quantity		245
	RiskToleranceUCITSMethodology <RskTlrnceUCITSMthdly>	[0..1]	Quantity		245
	RiskToleranceInternal <RskTlrnceIntl>	[0..1]	CodeSet		245
	RiskToleranceForNonPRIIPSAAndNonUCITSSpain <RskTlrnceForNonPRIIPSAAndNonUCITSES>	[0..1]	Quantity		246
	NotForInvestorsWithTheLowestRiskToleranceGermany <NotForInvstrsWthTheLwstRskTlrnceDE>	[0..1]	CodeSet		246
	Other <Othr>	[0..*]	±		246

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ClientObjectivesAndNeeds <CIntObjctvsAndNeeds>	[0..1]			246
	ReturnProfilePreservation <RtrPrflPrsrvtn>	[0..1]	CodeSet		247
	ReturnProfileGrowth <RtrPrflGrwth>	[0..1]	CodeSet		247
	ReturnProfileIncome <RtrPrflIncm>	[0..1]	CodeSet		248
	ReturnProfileHedging <RtrPrflHdgg>	[0..1]	CodeSet		248
	OptionOrLeveragedReturnProfile <OptnOrLvrgdRtrPrfl>	[0..1]	CodeSet		248
	ReturnProfilePensionSchemeGermany <RtrPrflPnsnSchmeDE>	[0..1]	CodeSet		248
	MinimumHoldingPeriod <MinHldgPrd>	[0..1]	±		249
	ESGPreferences <ESGPrefs>	[0..1]	CodeSet		249
	SustainabilityPreferences <SstnblyPrefs>	[0..1]	CodeSet		249
	OtherSpecificInvestmentNeed <OthrSpfcInvstmtNeed>	[0..1]	±		250
	Other <Othr>	[0..*]	±		250
	Other <Othr>	[0..*]	±		250
	DistributionStrategy <DstrbtnStrtg>	[0..1]	±		250
	CostsAndCharges <CostsAndChrgs>	[0..2]	±	C15, C18	251
	AdditionalProductInformationGermanMarket <AddtlPdctInfGrmnMkt>	[0..1]			252
	ESGCategoryGermanFundMarket <ESGCtgyGrmnFndMkt>	[0..1]	CodeSet		252
	ESGCategoryGermanStructuredSecuritiesMarket <ESGCtgyGrmnStrdScitiesMkt>	[0..1]	CodeSet		253
	ESGFocus <ESGFcs>	[0..1]	CodeSet		253
	ESGLabelOrStandard <ESGLabOrStd>	[0..*]	CodeSet		253
	AdditionalProductInformationFrenchMarket <AddtlPdctInfFrchMkt>	[0..1]			254
	AMFDoctrine <AMFDctrn>	[0..1]	CodeSet		254
	Extension <Xtnsn>	[0..*]	±	C16	255

15.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C3 CostsAndCharges1Guideline

One repetition of CostsAndCharges may be present for the specification of Ex Ante costs and charges.

C4 CostsAndCharges2Guideline

One repetition of CostsAndCharges may be present for the specification of Ex Post costs and charges

C5 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C6 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C7 DealingCutOffTimeFrameRule

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

C8 DealingCutOffTimeFrameRule

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

C9 DealingCutOffTimeFrameRule

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

C10 DealingCutOffTimeFrameRule

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

C11 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C12 DescriptionUsageRule

Description must be used alone as the last resort.

C13 ExAnteOrExPost1Guideline

If ExAnteOrExPost contains ANTE then CostType must be an ex ante cost or charge.

C14 ExAnteOrExPost2Guideline

If ExAnteOrExPost contains POST then CostType must be an ex post cost or charge.

C15 ExAnteReferenceDateGuideline

The ex-ante reference date should not be confused with the reporting date as specified in the European MiFID Template (EMT) reference 00050.

C16 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C17 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C18 IndividualCostAndCharge1Guideline

If ExAnteReferenceDate is present, then all repetitions of IndividualCostOrCharge must specify Ex Ante costs

C19 InvestmentPlanRule

If PlanType/Code is not INVP the AdditionalSubscription and AdditionalSubscriptionFunction must not be present.

C20 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C21 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C22 NonWorkingDayAdjustmentRule

If NonWorkingDayAdjustment is present then TradeMinus must also be present.

C23 NonWorkingDayAdjustmentRule

If NonWorkingDayAdjustment is present then TradePlus must also be present.

C24 NonWorkingDayAdjustmentRule

If NonWorkingDayAdjustment is present then TradePlus must also be present.

C25 NonWorkingDayAdjustmentRule

If NonWorkingDayAdjustment is present then TradeMinus must also be present.

C26 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C27 SignAmount1Rule

If Amount is not present, then Sign is not allowed.

C28 SignAmount2Rule

Sign must only be used to express a negative value for Amount.

C29 TradeMinusRule

If TradeMinus is present then NonWorkingDayAdjustment must also be present.

C30 TradePlusRule

If TradePlus is present then NonWorkingDayAdjustment must also be present.

C31 TradePlusRule

If TradePlus is present then NonWorkingDayAdjustment must also be present.

C32 TradePlusRule

If TradePlus is present then NonWorkingDayAdjustment must also be present.

15.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

15.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

15.4.2 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference10](#)" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

15.4.3 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference10"](#) on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

15.4.4 FundReferenceDataReportIdentification <FndRefDataRptId>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the fund reference data report, as assigned by the issuer of the report.

Datatype: ["Max35Text"](#) on page 2683

15.4.5 Report <Rpt>

Presence: [1..*]

Definition: Fund reference data.

Impacted by: [C3 "CostsAndCharges1Guideline"](#), [C4 "CostsAndCharges2Guideline"](#)

Report <Rpt> contains the following FundReferenceDataReport4 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		200
	Version <Vrsn>	[0..1]	±		201
	AuthorisedProxy <AuthrsdPrxy>	[0..1]	±		201
	GeneralReferenceDate <GnlRefDt>	[1..1]	Date		201
	TargetMarketIndicator <TrgtMktInd>	[0..1]	Indicator		202
	ExAntelIndicator <ExAntelInd>	[0..1]	Indicator		202
	ExPostIndicator <ExPstInd>	[0..1]	Indicator		202
	SecurityIdentification <SctyId>	[1..1]			202
	Identification <Id>	[1..1]		C11, C12, C20, C21, C26	204
	OtherIdentification <OthrId>	[0..*]			205
	Identification <Id>	[1..1]	Text		205
	Suffix <Sfx>	[0..1]	Text		205
	Type <Tp>	[1..1]	±		205
	Description <Desc>	[0..1]	Text		206
	ISIN <ISIN>	[0..1]	IdentifierSet		206
	Name <Nm>	[1..1]	Text		206
	ShortName <ShrtNm>	[0..1]	Text		206
	ClassType <ClsTp>	[0..1]	Text		206
	UmbrellaName <UmbrllNm>	[0..1]	Text		206
	NewUmbrella <NewUmbrll>	[0..1]	Indicator		206
	ClassificationType <ClsfctnTp>	[0..1]	±		207
	BaseCurrency <BaseCcy>	[0..1]	CodeSet	C1	207
	CountryOfDomicile <CtryOfDmcl>	[0..1]	CodeSet	C5	207
	RegisteredDistributionCountry <RegdDstrbtnCtry>	[0..*]	CodeSet	C5	207
	ProductType <PdctTp>	[0..1]			208
{Or	Code <Cd>	[1..1]	CodeSet		208
Or}	Proprietary <Prtry>	[1..1]	±		208
	Issuer <Issr>	[0..1]	±		209

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerProductGovernanceProcess <IssrPdctGovncPrc>	[0..1]	±		209
	ProductCategory <PdctCtgy>	[0..1]	Text		209
	ProductCategoryGermany <PdctCtgyDE>	[0..1]	Text		210
	NotionalOrUnitBased <NtnlOrUnitBased>	[0..1]			210
{Or	Code <Cd>	[1..1]	CodeSet		210
Or}	Proprietary <Prtry>	[1..1]	±		210
	QuotationType <QtnTp>	[0..1]	±		211
	LeveragedOrContingentLiability <LvrgdOrCntgntLbly>	[0..1]	Indicator		211
	NoRetrocessionIndicator <NoRtrcssnInd>	[0..1]	Indicator		211
	ExPostCostCalculationBasis <ExPstCostClctnBsis>	[0..1]			212
{Or	Code <Cd>	[1..1]	CodeSet		212
Or}	Proprietary <Prtry>	[1..1]	±		212
	AdditionalInformation <AddtlInf>	[0..*]	±		212
	FundParties <FndPties>	[0..1]	±		213
	MainFundOrderDesk <MainFndOrdrDsk>	[0..1]	±		213
	FundManagementCompany <FndMgmtCpny>	[0..1]	±		213
	FundDetails <FndDtls>	[0..1]	±		214
	ValuationDealingCharacteristics <ValtnDealgChrtcs>	[0..1]	±		216
	InvestmentRestrictions <InvstmtRstrctns>	[0..1]	±		216
	SubscriptionProcessingCharacteristics <SbcptPrcgChrtcs>	[0..1]	±	C7	217
	RedemptionProcessingCharacteristics <RedPrcgChrtcs>	[0..1]	±	C8	219
	SwitchProcessingCharacteristics <SwchPrcgChrtcs>	[0..1]	±	C9	220
	PlanCharacteristics <PlanChrtcs>	[0..*]	±	C19	221
	PaymentInstrument <PmtInstrm>	[0..*]	±		222
	CashSettlementDetails <CshSttlmDtls>	[0..*]			223
	Currency <Ccy>	[0..1]	CodeSet	C1	223
	PrimaryAccount <PmryAcct>	[0..1]			223
	AccountIdentification <AcctId>	[1..1]			224
	Identification <Id>	[1..1]	±		224
	Name <Nm>	[0..1]	Text		224

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Servicer <Svcr>	[0..1]	IdentifierSet	C2	224
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		225
	SecondaryAccount <ScndryAcct>	[0..1]			225
	AccountIdentification <AcctId>	[1..1]			225
	Identification <Id>	[1..1]	±		225
	Name <Nm>	[0..1]	Text		226
	Servicer <Svcr>	[0..1]	IdentifierSet	C2	226
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		226
	LocalMarketAnnex <LclMktAnx>	[0..*]			226
	Country <Ctry>	[1..*]	CodeSet	C5	227
	LocalOrderDesk <LclOrdrDsk>	[1..1]	±		228
	SubscriptionProcessingCharacteristics <SbcptPrcgChrtcs>	[0..1]	±	C7	228
	RedemptionProcessingCharacteristics <RedPrcgChrtcs>	[0..1]	±	C10	230
	SwitchProcessingCharacteristics <SwchPrcgChrtcs>	[0..1]	±	C9	231
	CashSettlementDetails <CshSttlmDtls>	[0..*]			232
	Currency <Ccy>	[0..1]	CodeSet	C1	233
	PrimaryAccount <PmryAcct>	[0..1]			233
	AccountIdentification <AcctId>	[1..1]			234
	Identification <Id>	[1..1]	±		234
	Name <Nm>	[0..1]	Text		234
	Servicer <Svcr>	[0..1]	IdentifierSet	C2	234
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		235
	SecondaryAccount <ScndryAcct>	[0..1]			235
	AccountIdentification <AcctId>	[1..1]			235
	Identification <Id>	[1..1]	±		235
	Name <Nm>	[0..1]	Text		236
	Servicer <Svcr>	[0..1]	IdentifierSet	C2	236
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		236
	AdditionalInformation <AddtlInf>	[0..*]	±		236
	TargetMarket <TrgtMkt>	[0..1]			237
	ReferenceDate <RefDt>	[0..1]	Date		239

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InvestorType <InvstrTp>	[0..1]			239
	InvestorTypeRetail <InvstrTpRtl>	[0..1]	CodeSet		239
	InvestorTypeProfessional <InvstrTpPrfssnl>	[0..1]	±		240
	InvestorTypeEligibleCounterparty <InvstrTpElgblCtrPty>	[0..1]	CodeSet		240
	Other <Othr>	[0..*]	±		240
	KnowledgeAndOrExperience <KnwldgAndOrExprnc>	[0..1]			241
	BasicInvestor <BsicInvstr>	[0..1]	CodeSet		241
	InformedInvestor <InfrmdInvstr>	[0..1]	CodeSet		241
	AdvancedInvestor <AdvncdInvstr>	[0..1]	CodeSet		242
	ExpertInvestorGermany <ExprtInvstrDE>	[0..1]	CodeSet		242
	Other <Othr>	[0..*]	±		242
	AbilityToBearLosses <AbltyToBearLosses>	[0..1]			243
	NoCapitalLoss <NoCptlLoss>	[0..1]	CodeSet		243
	LimitedCapitalLoss <LtdCptlLoss>	[0..1]	CodeSet		243
	LimitedCapitalLossLevel <LtdCptlLossLvl>	[0..1]	Rate		244
	NoCapitalGuarantee <NoCptlGrnt>	[0..1]	CodeSet		244
	LossBeyondCapital <LossByndCptl>	[0..1]	CodeSet		244
	Other <Othr>	[0..*]	±		244
	RiskTolerance <RskTlrnce>	[0..1]			245
	RiskTolerancePRIIPSMethodology <RskTlrncePRIIPSMthdly>	[0..1]	Quantity		245
	RiskToleranceUCITSMethodology <RskTlrnceUCITSMthdly>	[0..1]	Quantity		245
	RiskToleranceInternal <RskTlrnceIntl>	[0..1]	CodeSet		245
	RiskToleranceForNonPRIIPSAAndNonUCITSSpain <RskTlrnceForNonPRIIPSAAndNonUCITSES>	[0..1]	Quantity		246
	NotForInvestorsWithTheLowestRiskToleranceGermany <NotForInvstrsWthTheLwstRskTlrnceDE>	[0..1]	CodeSet		246
	Other <Othr>	[0..*]	±		246
	ClientObjectivesAndNeeds <ClntObjctvsAndNeeds>	[0..1]			246
	ReturnProfilePreservation <RtrPrflPrsrvtn>	[0..1]	CodeSet		247
	ReturnProfileGrowth <RtrPrflGrwth>	[0..1]	CodeSet		247
	ReturnProfileIncome <RtrPrflIncm>	[0..1]	CodeSet		248

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReturnProfileHedging <RtrPrflHdgg>	[0..1]	CodeSet		248
	OptionOrLeveragedReturnProfile <OptnOrLvrgdRtrPrfl>	[0..1]	CodeSet		248
	ReturnProfilePensionSchemeGermany <RtrPrflPnsnSchmeDE>	[0..1]	CodeSet		248
	MinimumHoldingPeriod <MinHldgPrd>	[0..1]	±		249
	ESGPreferences <ESGPrefs>	[0..1]	CodeSet		249
	SustainabilityPreferences <SstnbltyPrefs>	[0..1]	CodeSet		249
	OtherSpecificInvestmentNeed <OthrSpfcInvstmtNeed>	[0..1]	±		250
	Other <Othr>	[0..*]	±		250
	Other <Othr>	[0..*]	±		250
	DistributionStrategy <DstrbtnStrtgy>	[0..1]	±		250
	CostsAndCharges <CostsAndChrgs>	[0..2]	±	C15, C18	251
	AdditionalProductInformationGermanMarket <AddtlPdctInfGrmnMkt>	[0..1]			252
	ESGCategoryGermanFundMarket <ESGCtgyGrmnFndMkt>	[0..1]	CodeSet		252
	ESGCategoryGermanStructuredSecuritiesMarket <ESGCtgyGrmnStrdScitiesMkt>	[0..1]	CodeSet		253
	ESGFocus <ESGFcs>	[0..1]	CodeSet		253
	ESGLabelOrStandard <ESGLabIOrStd>	[0..*]	CodeSet		253
	AdditionalProductInformationFrenchMarket <AddtlPdctInfFrnchMkt>	[0..1]			254
	AMFDoctrine <AMFDctrn>	[0..1]	CodeSet		254
	Extension <Xtnsn>	[0..*]	±	C16	255

Constraints

- **CostsAndCharges1Guideline**

One repetition of CostsAndCharges may be present for the specification of Ex Ante costs and charges.

- **CostsAndCharges2Guideline**

One repetition of CostsAndCharges may be present for the specification of Ex Post costs and charges

15.4.5.1 Identification <Id>

Presence: [0..1]

Definition: Unique technical identifier for an instance of a report within a fund reference data report, as assigned by the issuer of the report.

Datatype: "Max35Text" on page 2683

15.4.5.2 Version <Vrsn>

Presence: [0..1]

Definition: Version Number. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00001 and is the version of the template for which the EMT data is provided.

Version <Vrsn> contains the following elements (see "[MarketPracticeVersion1](#)" on page 1862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1862
	Date <Dt>	[0..1]	YearMonth		1862
	Number <Nb>	[0..1]	Text		1862

15.4.5.3 AuthorisedProxy <AuthrsdPrxy>

Presence: [0..1]

Definition: Party that reports the data on behalf of the issuer and the entry point e-mail address for contact. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) references 00002, 00003 and 00004.

AuthorisedProxy <AuthrsdPrxy> contains the following elements (see "[ContactAttributes6](#)" on page 1904 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1905
	PostalAddress <PstlAdr>	[0..1]	±		1905
	PhoneNumber <PhneNb>	[0..1]	Text		1905
	FaxNumber <FaxNb>	[0..1]	Text		1906
	EmailAddress <EmailAdr>	[0..1]	Text		1906
	URLAddress <URLAdr>	[0..1]	Text		1906
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	1906
	LEI <LEI>	[0..1]	IdentifierSet		1906

15.4.5.4 GeneralReferenceDate <GnlRefDt>

Presence: [1..1]

Definition: Date to which the data refers. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00050.

Datatype: "ISODate" on page 2668

15.4.5.5 TargetMarketIndicator <TrgtMktInd>

Presence: [0..1]

Definition: Indicates whether target market data is included in the report. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00006.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

15.4.5.6 ExAnteIndicator <ExAnteInd>

Presence: [0..1]

Definition: Indicates whether ex ante costs and charges are included in the report. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00007.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

15.4.5.7 ExPostIndicator <ExPstInd>

Presence: [0..1]

Definition: Indicates whether ex post costs and charges are included in the report. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00008.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

15.4.5.8 SecurityIdentification <Sctyld>

Presence: [1..1]

Definition: Identification of the security.

SecurityIdentification <Sctyld> contains the following **SecurityIdentification41** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]		C11, C12, C20, C21, C26	204
	OtherIdentification <OthrId>	[0..*]			205
	Identification <Id>	[1..1]	Text		205
	Suffix <Sfx>	[0..1]	Text		205
	Type <Tp>	[1..1]	±		205
	Description <Desc>	[0..1]	Text		206
	ISIN <ISIN>	[0..1]	IdentifierSet		206
	Name <Nm>	[1..1]	Text		206
	ShortName <ShrtNm>	[0..1]	Text		206
	ClassType <ClsTp>	[0..1]	Text		206
	UmbrellaName <UmbrllNm>	[0..1]	Text		206
	NewUmbrella <NewUmbrll>	[0..1]	Indicator		206
	ClassificationType <ClssfctnTp>	[0..1]	±		207
	BaseCurrency <BaseCcy>	[0..1]	CodeSet	C1	207
	CountryOfDomicile <CtryOfDmcl>	[0..1]	CodeSet	C5	207
	RegisteredDistributionCountry <RegdDstrbtnCtry>	[0..*]	CodeSet	C5	207
	ProductType <PdctTp>	[0..1]			208
{Or	Code <Cd>	[1..1]	CodeSet		208
Or}	Proprietary <Prtry>	[1..1]	±		208
	Issuer <Issr>	[0..1]	±		209
	IssuerProductGovernanceProcess <IssrPdctGovncPr>	[0..1]	±		209
	ProductCategory <PdctCtgy>	[0..1]	Text		209
	ProductCategoryGermany <PdctCtgyDE>	[0..1]	Text		210
	NotionalOrUnitBased <NtnlOrUnitBased>	[0..1]			210
{Or	Code <Cd>	[1..1]	CodeSet		210
Or}	Proprietary <Prtry>	[1..1]	±		210
	QuotationType <QtnTp>	[0..1]	±		211
	LeveragedOrContingentLiability <LvrgdOrCntgntLbly>	[0..1]	Indicator		211

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoRetrocessionIndicator <NoRtrcssnInd>	[0..1]	Indicator		211
	ExPostCostCalculationBasis <ExPstCostClctnBsis>	[0..1]			212
{Or	Code <Cd>	[1..1]	CodeSet		212
Or}	Proprietary <Prtry>	[1..1]	±		212
	AdditionalInformation <AddtlInf>	[0..*]	±		212

15.4.5.8.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the security, typically by an ISIN.

Impacted by: C11 "DescriptionPresenceRule", C12 "DescriptionUsageRule", C20 "ISINGuideline", C21 "ISINPresenceRule", C26 "OtherIdentificationPresenceRule"

Identification <Id> contains the following **SecurityIdentification40** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherIdentification <OthrId>	[0..*]			205
	Identification <Id>	[1..1]	Text		205
	Suffix <Sfx>	[0..1]	Text		205
	Type <Tp>	[1..1]	±		205
	Description <Desc>	[0..1]	Text		206
	ISIN <ISIN>	[0..1]	IdentifierSet		206

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.


```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present

```

15.4.5.8.1.1 OtherIdentification <OthrId>

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

OtherIdentification <OthrId> contains the following **OtherIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		205
	Suffix <Sfx>	[0..1]	Text		205
	Type <Tp>	[1..1]	±		205

15.4.5.8.1.1.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security.

Datatype: "Max35Text" on page 2683

15.4.5.8.1.1.2 Suffix <Sfx>

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 2682

15.4.5.8.1.1.3 Type <Tp>

Presence: [1..1]

Definition: Type of the identification.

Type <Tp> contains one of the following elements (see "[IdentificationSource3Choice](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1507
Or}	Proprietary <Prtry>	[1..1]	Text		1508

15.4.5.8.1.2 Description <Desc>

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: "Max140Text" on page 2682

15.4.5.8.1.3 ISIN <ISIN>

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 2675

15.4.5.8.2 Name <Nm>

Presence: [1..1]

Definition: Name of the financial instrument in free format text.

Datatype: "Max350Text" on page 2683

15.4.5.8.3 ShortName <ShrtNm>

Presence: [0..1]

Definition: Financial Instrument Short Name (FISN) expressed in conformance with the ISO 18774 standard.

Datatype: "Max35Text" on page 2683

15.4.5.8.4 ClassType <ClsTp>

Presence: [0..1]

Definition: Features of units offered by a fund. For example, a unit may have a specific load structure, for example, front end or back end, an income policy, for example, pay out or accumulate, or a trailer policy, for example, with or without. Fund classes are typically denoted by a single character, for example, 'Class A', 'Class 2'.

Datatype: "Max35Text" on page 2683

15.4.5.8.5 UmbrellaName <UmbrlNm>

Presence: [0..1]

Definition: Name of the umbrella fund in which the financial instrument is contained.

Datatype: "Max35Text" on page 2683

15.4.5.8.6 NewUmbrella <NewUmbrl>

Presence: [0..1]

Definition: Indicates whether the financial instrument is part of a new umbrella.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

15.4.5.8.7 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.

ClassificationType <ClssfctnTp> contains one of the following elements (see "[SecurityClassificationType2Choice](#)" on page 1808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CFI <CFI>	[1..1]	IdentifierSet		1808
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		1808

15.4.5.8.8 BaseCurrency <BaseCcy>

Presence: [0..1]

Definition: Currency of the investment fund class.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyCode](#)" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

15.4.5.8.9 CountryOfDomicile <CtryOfDmcl>

Presence: [0..1]

Definition: Country where the fund has legal domicile.

Impacted by: [C5 "Country"](#)

Datatype: "[CountryCode](#)" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

15.4.5.8.10 RegisteredDistributionCountry <RegdDstrbtnCtry>

Presence: [0..*]

Definition: Country where the fund is registered for distribution.

Impacted by: [C5 "Country"](#)

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

15.4.5.8.11 ProductType <PdctTp>

Presence: [0..1]

Definition: Classification of the financial instrument. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00060.

ProductType <PdctTp> contains one of the following **ProductStructure1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		208
Or}	Proprietary <Prtry>	[1..1]	±		208

15.4.5.8.11.1 Code <Cd>

Presence: [1..1]

Definition: Product structure expressed as a code.

Datatype: "ProductStructure1Code" on page 2621

CodeName	Name	Definition
BOND	Bond	Product is a bond.
NUMM	NonUCITSMoneyMarketFund	Product is a money market fund that is not an Undertaking for Collective Investment in Transferable Securities (UCITS).
UCMM	UCITSMoneyMarketFund	Product is an Undertaking for Collective Investment in Transferable Securities (UCITS) money market fund.
EXTC	ExchangeTradedCommodity	Product is an exchange traded commodity.
UCIT	UCITS	Product is an Undertaking for Collective Investment in Transferable Securities (UCITS).
SSEC	StructuredSecurity	Product is a structured security.
SFUN	StructuredFund	Product is a structured fund.
NUCI	NonUCITS	Product is a not an Undertaking for Collective Investment in Transferable Securities (UCITS).

15.4.5.8.11.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Product structure expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

15.4.5.8.12 Issuer <Issr>

Presence: [0..1]

Definition: Issuer of the financial instrument. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00070.

Issuer <Issr> contains the following elements (see "[ContactAttributes5](#)" on page 2008 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2009
	PostalAddress <PstlAdr>	[0..1]	±		2009
	PhoneNumber <PhneNb>	[0..1]	Text		2009
	FaxNumber <FaxNb>	[0..1]	Text		2009
	EmailAddress <EmailAdr>	[0..1]	Text		2009
	URLAddress <URLAdr>	[0..1]	Text		2009
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2010
	LEI <LEI>	[0..1]	IdentifierSet		2010

15.4.5.8.13 IssuerProductGovernanceProcess <IssrPdctGovncPrc>

Presence: [0..1]

Definition: Governance procedure that must be followed. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00075.

IssuerProductGovernanceProcess <IssrPdctGovncPrc> contains one of the following elements (see "[GovernanceProcess1Choice](#)" on page 1429 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1429
Or}	Proprietary <Prtry>	[1..1]	±		1429

15.4.5.8.14 ProductCategory <PdctCtgy>

Presence: [0..1]

Definition: Designation of the product category or nature, for example, Pacific Equity, Equity Fund, Money Market Fund. If the product is a structured security product, the European Structured Investment

Products Association (EUSIPA) code should be used as defined in the scope of European MiFID Template (EMT) reference 00095.

Datatype: "Max140Text" on page 2682

15.4.5.8.15 ProductCategoryGermany <PdctCtgyDE>

Presence: [0..1]

Definition: Designation of the respective product category or nature for Germany.

If the financial instrument is distributed in the German market, then the German classification of financial instruments code should be used. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00090.

Datatype: "Max140Text" on page 2682

15.4.5.8.16 NotionalOrUnitBased <NtnlOrUnitBased>

Presence: [0..1]

Definition: Specifies whether the financial instrument is unit based or notional (nominal). When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00085.

NotionalOrUnitBased <NtnlOrUnitBased> contains one of the following **NotionalOrUnitBased1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		210
Or}	Proprietary <Prtry>	[1..1]	±		210

15.4.5.8.16.1 Code <Cd>

Presence: [1..1]

Definition: Type of unit basis for a financial instrument expressed as a code.

Datatype: "NotionalOrUnitBased1Code" on page 2609

CodeName	Name	Definition
UNIT	Unit	Basis of a financial instrument is unit.
NOTI	Notional	Basis of a financial instrument is notional.

15.4.5.8.16.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of unit basis for a financial instrument expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

15.4.5.8.17 QuotationType <QtnTp>

Presence: [0..1]

Definition: When the financial instrument is a structured security, specifies if the ex-ante and ex-post costs and charges are specified as an absolute figure, that is, a currency and amount, or as a percentage rate, related to the specific reference value.

When used in reference to MiFID, this is in the scope of the European MiFID Template reference 00096.

QuotationType <QtnTp> contains one of the following elements (see "[QuotationType1Choice](#)" on page 1428 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1428
Or}	Proprietary <Prtry>	[1..1]	±		1429

15.4.5.8.18 LeveragedOrContingentLiability <LvrgdOrCntgntLbilty>

Presence: [0..1]

Definition: Indicates whether the financial instrument is leveraged or has contingent liability. This enables reporting on the depreciation of leveraged financial instruments or contingent liability transactions in accordance with Art. 62 of the MiFID II's Delegated Regulation as defined in the scope of European MiFID Template (EMT) reference 00100.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

15.4.5.8.19 NoRetrocessionIndicator <NoRtrcssnInd>

Presence: [0..1]

Definition: Indicates whether the share class does not pay retrocession fees and commissions. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00110.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

15.4.5.8.20 ExPostCostCalculationBasis <ExPstCostClctnBsis>*Presence:* [0..1]*Definition:* Specifies the basis for ex-post calculations. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00120.**ExPostCostCalculationBasis <ExPstCostClctnBsis>** contains one of the following **ExPostCostCalculationBasis1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		212
Or}	Proprietary <Prtry>	[1..1]	±		212

15.4.5.8.20.1 Code <Cd>*Presence:* [1..1]*Definition:* Ex post cost calculation basis expressed as a code.*Datatype:* "ExPostCostCalculationBasis1Code" on page 2578

CodeName	Name	Definition
FIXB	FixedBasis	Basic for cost calculation is fixed, that is calendar year.
ROLL	RollingBasis	Basis for cost calculation is rolling, that is, the last twelve months.

15.4.5.8.20.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Ex post cost calculation basis expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

15.4.5.8.21 AdditionalInformation <AddtlInf>*Presence:* [0..*]*Definition:* Additional information about the security.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

15.4.5.9 FundParties <FndPties>

Presence: [0..1]

Definition: Parties related to the investment fund.

FundParties <FndPties> contains the following elements (see "[FundParties1](#)" on page 2010 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Guarantor <Guarnt>	[0..1]	±		2010
	Auditor <Audtr>	[0..1]	±		2011
	Trustee <Trstee>	[0..1]	±		2011
	OtherParty <OthrPty>	[0..*]	±		2012

15.4.5.10 MainFundOrderDesk <MainFndOrdrDsk>

Presence: [0..1]

Definition: Principal entity appointed by the fund, to which orders should be submitted. Usually located in the country of domicile.

MainFundOrderDesk <MainFndOrdrDsk> contains the following elements (see "[OrderDesk1](#)" on page 2006 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderDesk <OrdrDsk>	[0..1]	±		2006
	ClosureDates <ClsrDts>	[0..*]	Date		2007
	AdditionalInformation <AddtlInf>	[0..*]	±		2007

15.4.5.11 FundManagementCompany <FndMgmtCpny>

Presence: [0..1]

Definition: Company that is responsible for the management and operation of the fund, for example, determines the investment strategy, appoints the service providers, and makes major decisions for the fund. It is usually responsible for the distribution and marketing of the fund. For self-managed funds, this will often be a separate promoter or sponsor of the fund.

FundManagementCompany <FndMgmtCpny> contains the following elements (see "ContactAttributes5" on page 2008 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2009
	PostalAddress <PstlAdr>	[0..1]	±		2009
	PhoneNumber <PhneNb>	[0..1]	Text		2009
	FaxNumber <FaxNb>	[0..1]	Text		2009
	EmailAddress <EmailAdr>	[0..1]	Text		2009
	URLAddress <URLAdr>	[0..1]	Text		2009
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2010
	LEI <LEI>	[0..1]	IdentifierSet		2010

15.4.5.12 FundDetails <FndDtls>

Presence: [0..1]

Definition: Security that is a sub-set of an investment fund, and is governed by the same investment fund policy, for example, dividend option or valuation currency.

FundDetails <FndDtls> contains the following elements (see "FinancialInstrument96" on page 1402 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PhysicalBearerSecurities <PhysBrScities>	[0..1]	Indicator		1403
	DematerialisedBearerSecurities <DmtrlSdBrScities>	[0..1]	Indicator		1404
	PhysicalRegisteredSecurities <PhysRegdScities>	[0..1]	Indicator		1404
	DematerialisedRegisteredSecurities <DmtrlSdRegdScities>	[0..1]	Indicator		1404
	DistributionPolicy <DstrbtdnPlcy>	[0..1]	CodeSet		1404
	DividendPolicy <DvddPlcy>	[0..1]	CodeSet		1404
	DividendFrequency <DvddFrqcy>	[0..1]	CodeSet		1405
	ReinvestmentFrequency <RinvstmtFrqcy>	[0..1]	CodeSet		1405
	FrontEndLoad <FrntEndLd>	[0..1]	Indicator		1406
	BackEndLoad <BckEndLd>	[0..1]	Indicator		1406
	SwitchFee <SwitchFee>	[0..1]	Indicator		1406
	EUSavingsDirective <EUSvgsDrctv>	[0..1]	CodeSet		1406
	LaunchDate <LnchDt>	[0..1]	Date		1407
	FundEndDate <FndEndDt>	[0..1]	Date		1407
	TerminationDate <TermntnDt>	[0..1]	Date		1407
	InitialOfferEndDate <InitlOfferEndDt>	[0..1]	Date		1407
	SuspensionStartDate <SspnsnStartDt>	[0..1]	Date		1407
	SuspensionEndDate <SspnsnEndDt>	[0..1]	Date		1407
	MaturityDate <MtrtyDt>	[0..1]	Date		1407
	MaybeTerminatedEarly <MayBeTermntdEarly>	[0..1]	CodeSet		1408
	ClosedEndFund <ClsdEndFnd>	[0..1]	Indicator		1408
	Equalisation <Equlstn>	[0..1]	Indicator		1408
	TaxEfficientProductEligible <TaxEffcntPdctElgbl>	[0..1]	Indicator		1408
	Authorised <Authrsd>	[0..1]	Indicator		1408
	RDRCompliant <RDRCmplnt>	[0..1]	Indicator		1409
	ManagementFeeSource <MgmtFeeSrc>	[0..1]	CodeSet		1409
	PerformanceFee <PrfrmncFee>	[0..1]	Indicator		1409
	AdditionalInformation <AddtlInf>	[0..*]	±		1409

15.4.5.13 ValuationDealingCharacteristics <ValtnDealgChrtcs>

Presence: [0..1]

Definition: Processing characteristics linked to the instrument, that is, not to the market.

ValuationDealingCharacteristics <ValtnDealgChrtcs> contains the following elements (see "ValuationDealingProcessingCharacteristics3" on page 2215 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ValuationFrequency <ValtnFrqcy>	[0..1]	CodeSet		2215
	ValuationFrequencyDescription <ValtnFrqcyDesc>	[0..1]	Text		2215
	ValuationTime <ValtnTm>	[0..1]	Time		2216
	DecimalisationUnits <DcmlstnUnits>	[0..1]	Quantity		2216
	DecimalisationPrice <DcmlstnPric>	[0..1]	Quantity		2216
	DualFundIndicator <DualFndInd>	[0..1]	Indicator		2216
	PriceMethod <PricMtd>	[0..1]	CodeSet		2216
	PriceCurrency <PricCcy>	[0..*]	CodeSet	C2	2216
	AdditionalInformation <AddtlInf>	[0..*]	±		2217

15.4.5.14 InvestmentRestrictions <InvstmtRstrctns>

Presence: [0..1]

Definition: Investment restrictions linked to the trading of the investment fund or an alternative/hedge fund.

InvestmentRestrictions <InvstmtRstrctns> contains the following elements (see "InvestmentRestrictions3" on page 1758 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MinimumInitialSubscriptionAmount <MinInitlSbcptAmt>	[0..1]	Amount	C2, C10	1759
	MinimumInitialSubscriptionUnits <MinInitlSbcptUnits>	[0..1]	Quantity		1760
	MinimumSubsequentSubscriptionAmount <MinSbsqntSbcptAmt>	[0..1]	Amount	C2, C10	1760
	MinimumSubsequentSubscriptionUnits <MinSbsqntSbcptUnits>	[0..1]	Quantity		1760
	MaximumRedemptionAmount <MaxRedAmt>	[0..1]	Amount	C2, C10	1760
	MaximumRedemptionUnits <MaxRedUnits>	[0..1]	Quantity		1761
	MinimumRedemptionPercentage <MinRedPctg>	[0..1]	Quantity		1761
	OtherRedemptionRestrictions <OthrRedRstrctns>	[0..1]	Text		1761
	MinimumSwitchSubscriptionAmount <MinSwchSbcptAmt>	[0..1]	Amount	C2, C10	1761
	MinimumSwitchSubscriptionUnits <MinSwchSbcptUnits>	[0..1]	Quantity		1762
	MaximumSwitchRedemptionAmount <MaxSwchRedAmt>	[0..1]	Amount	C2, C10	1762
	MaximumSwitchRedemptionUnits <MaxSwchRedUnits>	[0..1]	Quantity		1762
	OtherSwitchRestrictions <OthrSwchRstrctns>	[0..1]	Text		1762
	MinimumHoldingAmount <MinHldgAmt>	[0..1]	Amount	C2, C10	1762
	MinimumHoldingUnits <MinHldgUnits>	[0..1]	Quantity		1763
	MinimumHoldingPeriod <MinHldgPrd>	[0..1]	Text		1763
	HoldingTransferable <HldgTrfbl>	[0..1]	CodeSet		1763
	AdditionalInformation <AddtlInf>	[0..*]	±		1763

15.4.5.15 SubscriptionProcessingCharacteristics <SbcptPrcgChrtcs>

Presence: [0..1]

Definition: Processing characteristics linked to a subscription to the investment fund or alternative/hedge fund.

Impacted by: C7 "DealingCutOffTimeFrameRule"

SubscriptionProcessingCharacteristics <SbcptPrcgChrtcs> contains the following elements (see "ProcessingCharacteristics8" on page 1685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DealingCurrencyAccepted <DealgCcyAccptd>	[0..*]	CodeSet	C2	1687
	InitialInvestmentApplication <InitlInvstmtAppl>	[0..1]			1687
	ApplicationForm <ApplForm>	[1..1]	Indicator		1687
	SignatureType <SgntrTp>	[1..1]	CodeSet		1687
	SubsequentInvestmentApplication <SbsqntInvstmtAppl>	[0..1]			1688
	ApplicationForm <ApplForm>	[1..1]	Indicator		1688
	SignatureType <SgntrTp>	[1..1]	CodeSet		1688
	AmountIndicator <AmtInd>	[0..1]	Indicator		1688
	UnitsIndicator <UnitsInd>	[0..1]	Indicator		1689
	Rounding <Rndg>	[0..1]	CodeSet		1689
	MainFundOrderDeskLocation <MainFndOrdrDskLctn>	[0..1]	±		1689
	DealingFrequency <DealgFrqcy>	[0..1]	CodeSet		1689
	DealingFrequencyDescription <DealgFrqcyDesc>	[0..1]	Text		1690
	DealingCutOffTime <DealgCutOffTm>	[0..1]	Time		1690
	DealingCutOffTimeFrame <DealgCutOffTmFrame>	[0..1]	±	C22, C29	1690
	DealConfirmationTime <DealConfTm>	[0..1]	Time		1691
	DealConfirmationTimeFrame <DealConfTmFrame>	[0..1]	±	C23, C30	1691
	LimitedPeriod <LtdPrd>	[0..1]	Text		1692
	SettlementCycle <SttlmCycl>	[0..1]			1692
{Or	TradePlus <TPlus>	[1..1]	Quantity		1692
Or}	Prepayment <Prepmt>	[1..1]	Indicator		1692
	AdditionalInformation <AddtlInf>	[0..*]	±		1692

Constraints

- **DealingCutOffTimeFrameRule**

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

On Condition

/DealingCutOffTimeFrame is present

Following Must be True

/DealingCutOffTimeFrame/OtherTimeFrameDescription Must be present

Or /DealingCutOffTimeFrame/TradeMinus Must be present
 Or /DealingCutOffTimeFrame/ReferToOrderDesk Must be present

15.4.5.16 RedemptionProcessingCharacteristics <RedPrcgChrtcs>

Presence: [0..1]

Definition: Processing characteristics linked to a redemption from the investment fund or alternative/hedge fund.

Impacted by: C8 "DealingCutOffTimeFrameRule"

RedemptionProcessingCharacteristics <RedPrcgChrtcs> contains the following elements (see "ProcessingCharacteristics7" on page 1731 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DealingCurrencyAccepted <DealgCcyAccptd>	[0..*]	CodeSet	C2	1732
	RedemptionAuthorisation <RedAuthstn>	[0..1]			1733
	ApplicationForm <ApplForm>	[1..1]	Indicator		1733
	SignatureType <SgntrTp>	[1..1]	CodeSet		1733
	AmountIndicator <AmtInd>	[0..1]	Indicator		1734
	UnitsIndicator <UnitsInd>	[0..1]	Indicator		1734
	Rounding <Rndg>	[0..1]	CodeSet		1734
	PercentageIndicator <PctgInd>	[0..1]	Indicator		1734
	MainFundOrderDeskLocation <MainFndOrdrDskLctn>	[0..1]	±		1734
	DealingFrequency <DealgFrqcy>	[0..1]	CodeSet		1735
	DealingFrequencyDescription <DealgFrqcyDesc>	[0..1]	Text		1735
	DealingCutOffTime <DealgCutOffTm>	[0..1]	Time		1735
	DealingCutOffTimeFrame <DealgCutOffTmFrame>	[0..1]	±	C22, C29	1736
	DealConfirmationTime <DealConfTm>	[0..1]	Time		1736
	DealConfirmationTimeFrame <DealConfTmFrame>	[0..1]	±	C24, C31	1736
	LimitedPeriod <LtdPrd>	[0..1]	Text		1737
	SettlementCycle <SttlmCycl>	[0..1]			1737
{Or	TradePlus <TPlus>	[1..1]	Quantity		1737
Or}	RenunciationPlus <RPlus>	[1..1]	Quantity		1738
	AdditionalInformation <AddtlInf>	[0..*]	±		1738

Constraints

- **DealingCutOffTimeFrameRule**

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

On Condition

/DealingCutOffTimeFrame is present

Following Must be True

/DealingCutOffTimeFrame/OtherTimeFrameDescription Must be present

Or /DealingCutOffTimeFrame/TradeMinus Must be present

Or /DealingCutOffTimeFrame/ReferToOrderDesk Must be present

15.4.5.17 SwitchProcessingCharacteristics <SwchPrcgChrtcs>

Presence: [0..1]

Definition: Processing characteristics linked to a switch of the investment fund or alternative/hedge fund.

Impacted by: C9 "DealingCutOffTimeFrameRule"

SwitchProcessingCharacteristics <SwchPrcgChrtcs> contains the following elements (see "ProcessingCharacteristics6" on page 1745 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DealingCurrencyAccepted <DealGcCyAccptd>	[0..*]	CodeSet	C2	1746
	SwitchAuthorisation <SwchAuthstn>	[0..1]			1746
	ApplicationForm <ApplForm>	[1..1]	Indicator		1746
	SignatureType <SgntrTp>	[1..1]	CodeSet		1746
	AmountIndicator <AmtInd>	[0..1]	Indicator		1747
	UnitsIndicator <UnitsInd>	[0..1]	Indicator		1747
	Rounding <Rndg>	[0..1]	CodeSet		1747
	MainFundOrderDeskLocation <MainFndOrdrDskLctn>	[0..1]	±		1747
	DealingFrequency <DealGFrqcy>	[0..1]	CodeSet		1748
	DealingFrequencyDescription <DealGFrqcyDesc>	[0..1]	Text		1748
	DealingCutOffTime <DealGCutOffTm>	[0..1]	Time		1748
	DealingCutOffTimeFrame <DealGCutOffTmFrame>	[0..1]	±	C22, C29	1749
	DealConfirmationTime <DealConfTm>	[0..1]	Time		1749
	DealConfirmationTimeFrame <DealConfTmFrame>	[0..1]	±	C25, C32	1749
	LimitedPeriod <LtdPrd>	[0..1]	Text		1750
	SettlementCycle <SttlmCycl>	[0..1]			1750
{Or	TradePlus <TPlus>	[1..1]	Quantity		1750
Or}	RenunciationPlus <RPlus>	[1..1]	Quantity		1751
	AdditionalInformation <AddtlInf>	[0..*]	±		1751

Constraints

- **DealingCutOffTimeFrameRule**

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

On Condition

/DealingCutOffTimeFrame is present

Following Must be True

/DealingCutOffTimeFrame/OtherTimeFrameDescription Must be present

Or /DealingCutOffTimeFrame/TradeMinus Must be present

Or /DealingCutOffTimeFrame/ReferToOrderDesk Must be present

15.4.5.18 PlanCharacteristics <PlanChrtcs>

Presence: [0..*]

Definition: Characteristics of the investment plan.

Impacted by: C19 "InvestmentPlanRule"

PlanCharacteristics <PlanChrtcs> contains the following elements (see "InvestmentPlanCharacteristics1" on page 1742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlanType <PlanTp>	[1..1]			1742
{Or	Code <Cd>	[1..1]	CodeSet		1743
Or}	Proprietary <Prtry>	[1..1]	±		1743
	Frequency <Frqcy>	[0..1]	±		1743
	TotalNumberOfInstalments <TtlNbOfInstlmts>	[0..1]	Quantity		1743
	Quantity <Qty>	[0..1]	±		1744
	PlanContinuation <PlanConttn>	[0..1]	Indicator		1744
	AdditionalSubscription <AddtlSbcpt>	[0..1]	Indicator		1744
	AdditionalSubscriptionFunction <AddtlSbcptFctr>	[0..1]	Indicator		1744
	AdditionalInformation <AddtlInf>	[0..*]	±		1744

Constraints

- **InvestmentPlanRule**

If PlanType/Code is not INVP the AdditionalSubscription and AdditionalSubscriptionFunction must not be present.

15.4.5.19 PaymentInstrument <Pmtlnstrm>

Presence: [0..*]

Definition: Specifies, for a specific type of transaction, how amounts are to be paid in or paid out.

PaymentInstrument <Pmtlnstrm> contains the following elements (see "PaymentInstrument16" on page 2114 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderType <OrdrTp>	[1..1]			2114
{Or	Code <Cd>	[1..1]	CodeSet		2114
Or}	Proprietary <Prtry>	[1..1]	±		2115
	InstrumentType <InstrmTp>	[1..1]			2115
{Or	Code <Cd>	[1..1]	CodeSet		2115
Or}	Proprietary <Prtry>	[1..1]	±		2116
	AdditionalInformation <AddtlInf>	[0..*]	±		2116

15.4.5.20 CashSettlementDetails <CshSttlmDtls>*Presence:* [0..*]*Definition:* Account to be used for cash settlement.**CashSettlementDetails <CshSttlmDtls>** contains the following **CashAccount205** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Currency <Ccy>	[0..1]	CodeSet	C1	223
	PrimaryAccount <PmryAcct>	[0..1]			223
	AccountIdentification <AcctId>	[1..1]			224
	Identification <Id>	[1..1]	±		224
	Name <Nm>	[0..1]	Text		224
	Servicer <Svcr>	[0..1]	IdentifierSet	C2	224
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		225
	SecondaryAccount <ScndryAcct>	[0..1]			225
	AccountIdentification <AcctId>	[1..1]			225
	Identification <Id>	[1..1]	±		225
	Name <Nm>	[0..1]	Text		226
	Servicer <Svcr>	[0..1]	IdentifierSet	C2	226
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		226

15.4.5.20.1 Currency <Ccy>*Presence:* [0..1]*Definition:* Currency of the settlement.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyCode" on page 2552**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

15.4.5.20.2 PrimaryAccount <PmryAcct>*Presence:* [0..1]*Definition:* Cash account for settlement.

PrimaryAccount <PmryAcct> contains the following **CashAccount206** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]			224
	Identification <Id>	[1..1]	±		224
	Name <Nm>	[0..1]	Text		224
	Servicer <Svcr>	[0..1]	IdentifierSet	C2	224
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		225

15.4.5.20.2.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

AccountIdentification <AcctId> contains the following **AccountIdentificationAndName7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		224
	Name <Nm>	[0..1]	Text		224

15.4.5.20.2.1.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains one of the following elements (see "[CashAccountIdentification8Choice](#)" on page 1217 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Other <Othr>	[1..1]	±		1217
Or}	IBAN <IBAN>	[1..1]	IdentifierSet	C16	1217

15.4.5.20.2.1.2 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

15.4.5.20.2.2 Servicer <Svcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is, manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

Impacted by: C2 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 2669

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

15.4.5.20.2.3 AccountTypeDescription <AcctTpDesc>

Presence: [0..1]

Definition: Additional means of identification of the account, as designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

15.4.5.20.3 SecondaryAccount <ScndryAcct>

Presence: [0..1]

Definition: Sub-division of a master or omnibus cash account.

SecondaryAccount <ScndryAcct> contains the following **CashAccount206** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]			225
	Identification <Id>	[1..1]	±		225
	Name <Nm>	[0..1]	Text		226
	Servicer <Svcr>	[0..1]	IdentifierSet	C2	226
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		226

15.4.5.20.3.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

AccountIdentification <AcctId> contains the following **AccountIdentificationAndName7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		225
	Name <Nm>	[0..1]	Text		226

15.4.5.20.3.1.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains one of the following elements (see "[CashAccountIdentification8Choice](#)" on page 1217 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Other <Othr>	[1..1]	±		1217
Or}	IBAN <IBAN>	[1..1]	IdentifierSet	C16	1217

15.4.5.20.3.1.2 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "[Max35Text](#)" on page 2683

15.4.5.20.3.2 Servicer <Svcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is, manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

Impacted by: [C2 "AnyBIC"](#)

Datatype: "[AnyBICDec2014Identifier](#)" on page 2669

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

15.4.5.20.3.3 AccountTypeDescription <AcctTpDesc>

Presence: [0..1]

Definition: Additional means of identification of the account, as designated by the account servicer in agreement with the account owner.

Datatype: "[Max35Text](#)" on page 2683

15.4.5.21 LocalMarketAnnex <LcIMktAnx>

Presence: [0..*]

Definition: Processing characteristics specific to a local fund order desk.

LocalMarketAnnex <LclMktAnx> contains the following **LocalMarketAnnex5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..*]	CodeSet	C5	227
	LocalOrderDesk <LclOrdDsk>	[1..1]	±		228
	SubscriptionProcessingCharacteristics <SbcptPrpgChrtcs>	[0..1]	±	C7	228
	RedemptionProcessingCharacteristics <RedPrpgChrtcs>	[0..1]	±	C10	230
	SwitchProcessingCharacteristics <SwchPrpgChrtcs>	[0..1]	±	C9	231
	CashSettlementDetails <CshSttlmDtls>	[0..*]			232
	Currency <Ccy>	[0..1]	CodeSet	C1	233
	PrimaryAccount <PmryAcct>	[0..1]			233
	AccountIdentification <AcctId>	[1..1]			234
	Identification <Id>	[1..1]	±		234
	Name <Nm>	[0..1]	Text		234
	Servicer <Svcr>	[0..1]	IdentifierSet	C2	234
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		235
	SecondaryAccount <ScndryAcct>	[0..1]			235
	AccountIdentification <AcctId>	[1..1]			235
	Identification <Id>	[1..1]	±		235
	Name <Nm>	[0..1]	Text		236
	Servicer <Svcr>	[0..1]	IdentifierSet	C2	236
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		236
	AdditionalInformation <AddtlInf>	[0..*]	±		236

15.4.5.21.1 Country <Ctry>

Presence: [1..*]

Definition: Country of the local fund order desk.

Impacted by: C5 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

15.4.5.21.2 LocalOrderDesk <LclOrdrDsk>

Presence: [1..1]

Definition: Local entity appointed by the fund, to which orders should be submitted.

LocalOrderDesk <LclOrdrDsk> contains the following elements (see "[OrderDesk1](#)" on page 2006 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderDesk <OrdrDsk>	[0..1]	±		2006
	ClosureDates <ClsrDts>	[0..*]	Date		2007
	AdditionalInformation <AddtlInf>	[0..*]	±		2007

15.4.5.21.3 SubscriptionProcessingCharacteristics <SbcptPrcgChrtcs>

Presence: [0..1]

Definition: Processing characteristics linked to a subscription to the investment fund or alternative/hedge fund.

Impacted by: [C7 "DealingCutOffTimeFrameRule"](#)

SubscriptionProcessingCharacteristics <SbcptPrcgChrtcs> contains the following elements (see "ProcessingCharacteristics8" on page 1685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DealingCurrencyAccepted <DealgCcyAccptd>	[0..*]	CodeSet	C2	1687
	InitialInvestmentApplication <InitlInvstmtAppl>	[0..1]			1687
	ApplicationForm <ApplForm>	[1..1]	Indicator		1687
	SignatureType <SgntrTp>	[1..1]	CodeSet		1687
	SubsequentInvestmentApplication <SbsqntInvstmtAppl>	[0..1]			1688
	ApplicationForm <ApplForm>	[1..1]	Indicator		1688
	SignatureType <SgntrTp>	[1..1]	CodeSet		1688
	AmountIndicator <AmtInd>	[0..1]	Indicator		1688
	UnitsIndicator <UnitsInd>	[0..1]	Indicator		1689
	Rounding <Rndg>	[0..1]	CodeSet		1689
	MainFundOrderDeskLocation <MainFndOrdrDskLctn>	[0..1]	±		1689
	DealingFrequency <DealgFrqcy>	[0..1]	CodeSet		1689
	DealingFrequencyDescription <DealgFrqcyDesc>	[0..1]	Text		1690
	DealingCutOffTime <DealgCutOffTm>	[0..1]	Time		1690
	DealingCutOffTimeFrame <DealgCutOffTmFrame>	[0..1]	±	C22, C29	1690
	DealConfirmationTime <DealConfTm>	[0..1]	Time		1691
	DealConfirmationTimeFrame <DealConfTmFrame>	[0..1]	±	C23, C30	1691
	LimitedPeriod <LtdPrd>	[0..1]	Text		1692
	SettlementCycle <SttlmCycl>	[0..1]			1692
{Or	TradePlus <TPlus>	[1..1]	Quantity		1692
Or}	Prepayment <Prepmt>	[1..1]	Indicator		1692
	AdditionalInformation <AddtlInf>	[0..*]	±		1692

Constraints

- **DealingCutOffTimeFrameRule**

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

On Condition

/DealingCutOffTimeFrame is present

Following Must be True

/DealingCutOffTimeFrame/OtherTimeFrameDescription Must be present

Or /DealingCutOffTimeFrame/TradeMinus Must be present
 Or /DealingCutOffTimeFrame/ReferToOrderDesk Must be present

15.4.5.21.4 RedemptionProcessingCharacteristics <RedPrcgChrtcs>

Presence: [0..1]

Definition: Processing characteristics linked to a redemption to the investment fund or alternative/hedge fund.

Impacted by: C10 "DealingCutOffTimeFrameRule"

RedemptionProcessingCharacteristics <RedPrcgChrtcs> contains the following elements (see "ProcessingCharacteristics5" on page 1751 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DealingCurrencyAccepted <DealgCcyAccptd>	[0..*]	CodeSet	C2	1752
	RedemptionAuthorisation <RedAuthstn>	[0..1]			1753
	ApplicationForm <ApplForm>	[1..1]	Indicator		1753
	SignatureType <SgntrTp>	[1..1]	CodeSet		1753
	AmountIndicator <AmtInd>	[0..1]	Indicator		1754
	UnitsIndicator <UnitsInd>	[0..1]	Indicator		1754
	Rounding <Rndg>	[0..1]	CodeSet		1754
	PercentageIndicator <PctgInd>	[0..1]	Indicator		1754
	MainFundOrderDeskLocation <MainFndOrdrDskLctn>	[0..1]	±		1754
	DealingFrequency <DealgFrqcy>	[0..1]	CodeSet		1755
	DealingFrequencyDescription <DealgFrqcyDesc>	[0..1]	Text		1755
	DealingCutOffTime <DealgCutOffTm>	[0..1]	Time		1755
	DealingCutOffTimeFrame <DealgCutOffTmFrame>	[0..1]	±	C22, C29	1756
	DealConfirmationTime <DealConfTm>	[0..1]	Time		1756
	DealConfirmationTimeFrame <DealConfTmFrame>	[0..1]	±	C25, C32	1756
	LimitedPeriod <LtdPrd>	[0..1]	Text		1757
	SettlementCycle <SttlmCycl>	[0..1]			1757
{Or	TradePlus <TPlus>	[1..1]	Quantity		1757
Or}	RenunciationPlus <RPlus>	[1..1]	Quantity		1758
	AdditionalInformation <AddtlInf>	[0..*]	±		1758

Constraints

- **DealingCutOffTimeFrameRule**

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

On Condition

/DealingCutOffTimeFrame is present

Following Must be True

/DealingCutOffTimeFrame/OtherTimeFrameDescription Must be present

Or /DealingCutOffTimeFrame/TradeMinus Must be present

Or /DealingCutOffTimeFrame/ReferToOrderDesk Must be present

15.4.5.21.5 SwitchProcessingCharacteristics <SwchPrcgChrtcs>

Presence: [0..1]

Definition: Processing characteristics linked to a switch of the investment fund or alternative/hedge fund.

Impacted by: C9 "DealingCutOffTimeFrameRule"

SwitchProcessingCharacteristics <SwchPrcgChrtcs> contains the following elements (see "ProcessingCharacteristics6" on page 1745 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DealingCurrencyAccepted <DealGcCyAccptd>	[0..*]	CodeSet	C2	1746
	SwitchAuthorisation <SwchAuthstn>	[0..1]			1746
	ApplicationForm <ApplForm>	[1..1]	Indicator		1746
	SignatureType <SgntrTp>	[1..1]	CodeSet		1746
	AmountIndicator <AmtInd>	[0..1]	Indicator		1747
	UnitsIndicator <UnitsInd>	[0..1]	Indicator		1747
	Rounding <Rndg>	[0..1]	CodeSet		1747
	MainFundOrderDeskLocation <MainFndOrdrDskLctn>	[0..1]	±		1747
	DealingFrequency <DealgFrqcy>	[0..1]	CodeSet		1748
	DealingFrequencyDescription <DealgFrqcyDesc>	[0..1]	Text		1748
	DealingCutOffTime <DealgCutOffTm>	[0..1]	Time		1748
	DealingCutOffTimeFrame <DealgCutOffTmFrame>	[0..1]	±	C22, C29	1749
	DealConfirmationTime <DealConfTm>	[0..1]	Time		1749
	DealConfirmationTimeFrame <DealConfTmFrame>	[0..1]	±	C25, C32	1749
	LimitedPeriod <LtdPrd>	[0..1]	Text		1750
	SettlementCycle <SttlmCycl>	[0..1]			1750
{Or	TradePlus <TPlus>	[1..1]	Quantity		1750
Or}	RenunciationPlus <RPlus>	[1..1]	Quantity		1751
	AdditionalInformation <AddtlInf>	[0..*]	±		1751

Constraints

• DealingCutOffTimeFrameRule

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

On Condition

/DealingCutOffTimeFrame is present

Following Must be True

/DealingCutOffTimeFrame/OtherTimeFrameDescription Must be present

Or /DealingCutOffTimeFrame/TradeMinus Must be present

Or /DealingCutOffTimeFrame/ReferToOrderDesk Must be present

15.4.5.21.6 CashSettlementDetails <CshSttlmDtls>

Presence: [0..*]

Definition: Account to be used for cash settlement.

CashSettlementDetails <CshSttlmDtls> contains the following **CashAccount205** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Currency <Ccy>	[0..1]	CodeSet	C1	233
	PrimaryAccount <PmryAcct>	[0..1]			233
	AccountIdentification <AcctId>	[1..1]			234
	Identification <Id>	[1..1]	±		234
	Name <Nm>	[0..1]	Text		234
	Servicer <Svcr>	[0..1]	IdentifierSet	C2	234
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		235
	SecondaryAccount <ScndryAcct>	[0..1]			235
	AccountIdentification <AcctId>	[1..1]			235
	Identification <Id>	[1..1]	±		235
	Name <Nm>	[0..1]	Text		236
	Servicer <Svcr>	[0..1]	IdentifierSet	C2	236
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		236

15.4.5.21.6.1 Currency <Ccy>

Presence: [0..1]

Definition: Currency of the settlement.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

15.4.5.21.6.2 PrimaryAccount <PmryAcct>

Presence: [0..1]

Definition: Cash account for settlement.

PrimaryAccount <PmryAcct> contains the following **CashAccount206** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]			234
	Identification <Id>	[1..1]	±		234
	Name <Nm>	[0..1]	Text		234
	Servicer <Svcr>	[0..1]	IdentifierSet	C2	234
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		235

15.4.5.21.6.2.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

AccountIdentification <AcctId> contains the following **AccountIdentificationAndName7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		234
	Name <Nm>	[0..1]	Text		234

15.4.5.21.6.2.1.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains one of the following elements (see "[CashAccountIdentification8Choice](#)" on page 1217 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Other <Othr>	[1..1]	±		1217
Or}	IBAN <IBAN>	[1..1]	IdentifierSet	C16	1217

15.4.5.21.6.2.1.2 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

15.4.5.21.6.2.2 Servicer <Svcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is, manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

Impacted by: C2 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 2669

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

15.4.5.21.6.2.3 AccountTypeDescription <AcctTpDesc>

Presence: [0..1]

Definition: Additional means of identification of the account, as designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

15.4.5.21.6.3 SecondaryAccount <ScndryAcct>

Presence: [0..1]

Definition: Sub-division of a master or omnibus cash account.

SecondaryAccount <ScndryAcct> contains the following **CashAccount206** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]			235
	Identification <Id>	[1..1]	±		235
	Name <Nm>	[0..1]	Text		236
	Servicer <Svcr>	[0..1]	IdentifierSet	C2	236
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		236

15.4.5.21.6.3.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

AccountIdentification <AcctId> contains the following **AccountIdentificationAndName7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		235
	Name <Nm>	[0..1]	Text		236

15.4.5.21.6.3.1.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains one of the following elements (see "[CashAccountIdentification8Choice](#)" on page 1217 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Other <Othr>	[1..1]	±		1217
Or}	IBAN <IBAN>	[1..1]	IdentifierSet	C16	1217

15.4.5.21.6.3.1.2 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "[Max35Text](#)" on page 2683

15.4.5.21.6.3.2 Servicer <Svcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is, manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

Impacted by: [C2 "AnyBIC"](#)

Datatype: "[AnyBICDec2014Identifier](#)" on page 2669

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

15.4.5.21.6.3.3 AccountTypeDescription <AcctTpDesc>

Presence: [0..1]

Definition: Additional means of identification of the account, as designated by the account servicer in agreement with the account owner.

Datatype: "[Max35Text](#)" on page 2683

15.4.5.21.7 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the fund order desk.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

15.4.5.22 TargetMarket <TrgtMkt>

Presence: [0..1]

Definition: Target market criteria.

TargetMarket <TrgtMkt> contains the following **TargetMarket3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReferenceDate <RefDt>	[0..1]	Date		239
	InvestorType <InvstrTp>	[0..1]			239
	InvestorTypeRetail <InvstrTpRtl>	[0..1]	CodeSet		239
	InvestorTypeProfessional <InvstrTpPrfssnl>	[0..1]	±		240
	InvestorTypeEligibleCounterparty <InvstrTpElgblCtrPty>	[0..1]	CodeSet		240
	Other <Othr>	[0..*]	±		240
	KnowledgeAndOrExperience <KnwldgAndOrExprnc>	[0..1]			241
	BasicInvestor <BsiclInvstr>	[0..1]	CodeSet		241
	InformedInvestor <InfrmdInvstr>	[0..1]	CodeSet		241
	AdvancedInvestor <AdvncdInvstr>	[0..1]	CodeSet		242
	ExpertInvestorGermany <ExprtlInvstrDE>	[0..1]	CodeSet		242
	Other <Othr>	[0..*]	±		242
	AbilityToBearLosses <AbltyToBearLosses>	[0..1]			243
	NoCapitalLoss <NoCptlLoss>	[0..1]	CodeSet		243
	LimitedCapitalLoss <LtdCptlLoss>	[0..1]	CodeSet		243
	LimitedCapitalLossLevel <LtdCptlLossLvl>	[0..1]	Rate		244
	NoCapitalGuarantee <NoCptlGrnt>	[0..1]	CodeSet		244
	LossBeyondCapital <LossByndCptl>	[0..1]	CodeSet		244
	Other <Othr>	[0..*]	±		244
	RiskTolerance <RskTlrnce>	[0..1]			245
	RiskTolerancePRIIPSMethodology <RskTlrncePRIIPSMthdlgy>	[0..1]	Quantity		245
	RiskToleranceUCITSMethodology <RskTlrnceUCITSMthdlgy>	[0..1]	Quantity		245
	RiskToleranceInternal <RskTlrnceIntl>	[0..1]	CodeSet		245
	RiskToleranceForNonPRIIPSAAndNonUCITSSpain <RskTlrnceForNonPRIIPSAAndNonUCITSES>	[0..1]	Quantity		246
	NotForInvestorsWithTheLowestRiskToleranceGermany <NotForInvstrsWthTheLwstRskTlrnceDE>	[0..1]	CodeSet		246
	Other <Othr>	[0..*]	±		246
	ClientObjectivesAndNeeds <ClntObjctvsAndNeeds>	[0..1]			246
	ReturnProfilePreservation <RtrPrflPrsrvt>	[0..1]	CodeSet		247
	ReturnProfileGrowth <RtrPrflGrwth>	[0..1]	CodeSet		247

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReturnProfileIncome <RtrPrflIncm>	[0..1]	CodeSet		248
	ReturnProfileHedging <RtrPrflHdgg>	[0..1]	CodeSet		248
	OptionOrLeveragedReturnProfile <OptnOrLvrgdRtrPrfl>	[0..1]	CodeSet		248
	ReturnProfilePensionSchemeGermany <RtrPrflPnsnSchmeDE>	[0..1]	CodeSet		248
	MinimumHoldingPeriod <MinHldgPrd>	[0..1]	±		249
	ESGPreferences <ESGPrefs>	[0..1]	CodeSet		249
	SustainabilityPreferences <SstnblyPrefs>	[0..1]	CodeSet		249
	OtherSpecificInvestmentNeed <OthrSpfcInvstmtNeed>	[0..1]	±		250
	Other <Othr>	[0..*]	±		250
	Other <Othr>	[0..*]	±		250

15.4.5.22.1 ReferenceDate <RefDt>

Presence: [0..1]

Definition: Date to which the target market data refers. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 01000.

Datatype: "ISODate" on page 2668

15.4.5.22.2 InvestorType <InvstrTp>

Presence: [0..1]

Definition: Investor for which the financial instrument is targeted.

InvestorType <InvstrTp> contains the following **InvestorType2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InvestorTypeRetail <InvstrTpRtl>	[0..1]	CodeSet		239
	InvestorTypeProfessional <InvstrTpPrfssnl>	[0..1]	±		240
	InvestorTypeEligibleCounterparty <InvstrTpElgblCtrPty>	[0..1]	CodeSet		240
	Other <Othr>	[0..*]	±		240

15.4.5.22.2.1 InvestorTypeRetail <InvstrTpRtl>

Presence: [0..1]

Definition: Specifies whether the product is aimed at the retail investor. If neutral, the manufacturer estimates that there is neither a negative nor a positive target market. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 01010.

Datatype: "TargetMarket1Code" on page 2644

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

15.4.5.22.2.2 InvestorTypeProfessional <InvstrTpPrfssnl>

Presence: [0..1]

Definition: Specifies how the product is aimed at the professional investor. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 01020.

InvestorTypeProfessional <InvstrTpPrfssnl> contains one of the following elements (see "TargetMarket5Choice" on page 1785 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		1785
Or}	Other <Othr>	[1..1]	CodeSet		1785

15.4.5.22.2.3 InvestorTypeEligibleCounterparty <InvstrTpElgblCtrPty>

Presence: [0..1]

Definition: Specifies whether the product is aimed at the eligible counterparty. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 01030.

Datatype: "TargetMarket3Code" on page 2645

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NSCO	NotInScope	No, is not applicable.

15.4.5.22.2.4 Other <Othr>

Presence: [0..*]

Definition: Specifies another investor type.

Other <Othr> contains the following elements (see "OtherTargetMarketInvestor1" on page 1790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InvestorType <InvstrTp>	[0..1]	Text		1790
	Target <Trgt>	[0..1]			1790
{Or	Type <Tp>	[1..1]	CodeSet		1791
Or	Other <Othr>	[1..1]	CodeSet		1791
Or}	Proprietary <Prtry>	[1..1]	±		1791
	AdditionalInformation <AddtlInf>	[0..1]	±		1791

15.4.5.22.3 KnowledgeAndOrExperience <KnlwldgAndOrExprnc>*Presence:* [0..1]*Definition:* Knowledge and/or experience of the investor.**KnowledgeAndOrExperience <KnlwldgAndOrExprnc>** contains the following **InvestorKnowledge1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BasicInvestor <BsicInvstr>	[0..1]	CodeSet		241
	InformedInvestor <InfrmdInvstr>	[0..1]	CodeSet		241
	AdvancedInvestor <AdvncdInvstr>	[0..1]	CodeSet		242
	ExpertInvestorGermany <ExprtInvstrDE>	[0..1]	CodeSet		242
	Other <Othr>	[0..*]	±		242

15.4.5.22.3.1 BasicInvestor <BsicInvstr>*Presence:* [0..1]*Definition:* Specifies whether the investor is a basic investor. A basic investor has one, or more, of the following characteristics:

- a basic knowledge of relevant financial instruments (a basic investor can make an informed investment decision based on the regulated and authorised offering documentation or with the help of basic information provided at the point of sale),
- no financial industry experience, that is, suited to a first time investor.

When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 02010.

Datatype: "TargetMarket1Code" on page 2644

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

15.4.5.22.3.2 InformedInvestor <InfrmdInvstr>*Presence:* [0..1]*Definition:* Specifies whether the investor is an informed investor. An informed investor has one, or more, of the following characteristics:

- average knowledge of relevant financial products (an informed investor can make an informed investment decision based on the regulated and authorised offering documentation, together with knowledge and understanding of the specific factors/risks highlighted within them only),
- some financial industry experience.

When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 02020.

Datatype: "TargetMarket1Code" on page 2644

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

15.4.5.22.3.3 AdvancedInvestor <AdvncdInvstr>

Presence: [0..1]

Definition: Specifies whether the investor is an advanced investor. An advanced investor has one, or more, of the following characteristics:

- good knowledge of relevant financial products and transactions, financial industry experience or accompanied by professional investment advice or included in a - discretionary portfolio service.

When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 02030.

Datatype: "TargetMarket1Code" on page 2644

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

15.4.5.22.3.4 ExpertInvestorGermany <ExprtlInvstrDE>

Presence: [0..1]

Definition: Specifies whether the investor is an expert investor. An expert investor has expert knowledge of and/or experience with highly specialised financial products. (Specific to Germany.)

When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 02040.

Datatype: "TargetMarket1Code" on page 2644

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

15.4.5.22.3.5 Other <Othr>

Presence: [0..*]

Definition: Type of investor knowledge and experience for which the financial instrument is targeted.

Other <Othr> contains the following elements (see "OtherTargetMarketInvestorKnowledge1" on page 1788 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InvestorKnowledgeType <InvstrKnwldgTp>	[0..1]	Text		1789
	Target <Trgt>	[0..1]			1789
{Or	Code <Cd>	[1..1]	CodeSet		1789
Or}	Proprietary <Prtry>	[1..1]	±		1789
	AdditionalInformation <AddtlInf>	[0..1]	±		1790

15.4.5.22.4 AbilityToBearLosses <AblyToBearLosses>

Presence: [0..1]

Definition: Investor's ability to bear losses.

AbilityToBearLosses <AblyToBearLosses> contains the following **LossBearing2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoCapitalLoss <NoCptlLoss>	[0..1]	CodeSet		243
	LimitedCapitalLoss <LtdCptlLoss>	[0..1]	CodeSet		243
	LimitedCapitalLossLevel <LtdCptlLossLvl>	[0..1]	Rate		244
	NoCapitalGuarantee <NoCptlGrnt>	[0..1]	CodeSet		244
	LossBeyondCapital <LossByndCptl>	[0..1]	CodeSet		244
	Other <Othr>	[0..*]	±		244

15.4.5.22.4.1 NoCapitalLoss <NoCptlLoss>

Presence: [0..1]

Definition: Specifies whether the product is compatible with a client who cannot bear loss of capital. Minor losses especially due to costs are possible. For a negative target (no), the product should not be sold to investors that cannot bear losses. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 03010.

Datatype: "TargetMarket1Code" on page 2644

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

15.4.5.22.4.2 LimitedCapitalLoss <LtdCptlLoss>

Presence: [0..1]

Definition: Specifies whether the product is compatible with a client who is seeking to preserve capital or who can bear losses limited to a level specified by a structured security or structure fund product.

When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 03020.

Datatype: "TargetMarket1Code" on page 2644

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

15.4.5.22.4.3 LimitedCapitalLossLevel <LtdCptlLossLvl>

Presence: [0..1]

Definition: Specifies the percentage of loss that can be carried by the investor. This is only specified when a clear partial capital guarantee is provided on the primary market. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 03030.

Datatype: "PercentageRate" on page 2681

15.4.5.22.4.4 NoCapitalGuarantee <NoCptlGrnt>

Presence: [0..1]

Definition: Specifies the product is compatible with a client who does not need capital guarantee nor protection. One hundred percent of the capital is at risk. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 03040.

Datatype: "TargetMarket1Code" on page 2644

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

15.4.5.22.4.5 LossBeyondCapital <LossByndCptl>

Presence: [0..1]

Definition: Specifies the product is compatible with a client who can bear loss beyond the capital. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 03050.

Datatype: "TargetMarket1Code" on page 2644

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

15.4.5.22.4.6 Other <Othr>

Presence: [0..*]

Definition: Specifies another type of loss bearing.

Other <Othr> contains the following elements (see "OtherTargetMarketLossBearing1" on page 1787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AbilityToBearLossesType <AbiltyToBearLossesTp>	[0..1]	Text		1787
	Target <Trgt>	[0..1]			1787
{Or	Code <Cd>	[1..1]	CodeSet		1788
Or}	Proprietary <Prtry>	[1..1]	±		1788
	AdditionalInformation <AddtlInf>	[0..1]	±		1788

15.4.5.22.5 RiskTolerance <RskTlrnce>

Presence: [0..1]

Definition: Investor's tolerance to risk.

RiskTolerance <RskTlrnce> contains the following **RiskTolerance1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RiskTolerancePRIIPSMethodology <RskTlrncePRIIPSMthdlgy>	[0..1]	Quantity		245
	RiskToleranceUCITSMethodology <RskTlrnceUCITSMthdlgy>	[0..1]	Quantity		245
	RiskToleranceInternal <RskTlrnceIntl>	[0..1]	CodeSet		245
	RiskToleranceForNonPRIIPSAAndNonUCITSSpain <RskTlrnceForNonPRIIPSAAndNonUCITSES>	[0..1]	Quantity		246
	NotForInvestorsWithTheLowestRiskToleranceGermany <NotForInvstrsWthTheLwstRskTlrnceDE>	[0..1]	CodeSet		246
	Other <Othr>	[0..*]	±		246

15.4.5.22.5.1 RiskTolerancePRIIPSMethodology <RskTlrncePRIIPSMthdlgy>

Presence: [0..1]

Definition: Specifies the Summary Risk Indicator (SRI). When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 04010.

Datatype: "Max1Number" on page 2680

15.4.5.22.5.2 RiskToleranceUCITSMethodology <RskTlrnceUCITSMthdlgy>

Presence: [0..1]

Definition: Specifies the Synthetic Risk and Reward Indicator (SRRI). When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 04020.

Datatype: "Max1Number" on page 2680

15.4.5.22.5.3 RiskToleranceInternal <RskTlrnceIntl>

Presence: [0..1]

Definition: Specifies the risk tolerance for non-PRIPs and non-UCITS instructions. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 04030.

Datatype: "RiskLevel1Code" on page 2635

CodeName	Name	Definition
HIGH	High	High.
LOWW	Low	Low.
MEDM	Medium	Medium.

15.4.5.22.5.4 RiskToleranceForNonPRIIPsAndNonUCITSSpain <RskTlrnceForNonPRIIPsAndNonUCITSES>

Presence: [0..1]

Definition: Specifies the Summary Risk Indicator (SRI) for a Spanish product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 04040.

Datatype: "Max1Number" on page 2680

15.4.5.22.5.5 NotForInvestorsWithTheLowestRiskToleranceGermany <NotForInvstrsWthTheLwstRskTlrnceDE>

Presence: [0..1]

Definition: Specifies the risk tolerance for a German product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 04050.

Datatype: "TargetMarket2Code" on page 2645

CodeName	Name	Definition
NEUT	Neutral	Neutral or has no impact.
YSCO	InScope	Yes, is applicable.

15.4.5.22.5.6 Other <Othr>

Presence: [0..*]

Definition: Specifies another type of risk tolerance.

Other <Othr> contains the following elements (see "OtherTargetMarketRiskTolerance1" on page 1786 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RiskToleranceType <RskTlrnceTp>	[0..1]	Text		1786
	Target <Trgt>	[0..1]			1786
{Or	Code <Cd>	[1..1]	CodeSet		1786
Or}	Proprietary <Prtry>	[1..1]	±		1787
	AdditionalInformation <AddtlInf>	[0..1]	±		1787

15.4.5.22.6 ClientObjectivesAndNeeds <ClntObjctvsAndNeeds>

Presence: [0..1]

Definition: Investor's investment requirements.

ClientObjectivesAndNeeds <ClntObjctvsAndNeeds> contains the following **InvestorRequirements3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReturnProfilePreservation <RtrPrflPrsrvtn>	[0..1]	CodeSet		247
	ReturnProfileGrowth <RtrPrflGrwth>	[0..1]	CodeSet		247
	ReturnProfileIncome <RtrPrflIncm>	[0..1]	CodeSet		248
	ReturnProfileHedging <RtrPrflHdgg>	[0..1]	CodeSet		248
	OptionOrLeveragedReturnProfile <OptnOrLvrgdRtrPrfl>	[0..1]	CodeSet		248
	ReturnProfilePensionSchemeGermany <RtrPrflPnsnSchmeDE>	[0..1]	CodeSet		248
	MinimumHoldingPeriod <MinHldgPrd>	[0..1]	±		249
	ESGPreferences <ESGPrefs>	[0..1]	CodeSet		249
	SustainabilityPreferences <SstnbltyPrefs>	[0..1]	CodeSet		249
	OtherSpecificInvestmentNeed <OthrSpfcInvstmtNeed>	[0..1]	±		250
	Other <Othr>	[0..*]	±		250

15.4.5.22.6.1 ReturnProfilePreservation <RtrPrflPrsrvtn>

Presence: [0..1]

Definition: Specifies whether the product is compatible with a client who is looking for the preservation of capital. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 05010.

Datatype: "TargetMarket1Code" on page 2644

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

15.4.5.22.6.2 ReturnProfileGrowth <RtrPrflGrwth>

Presence: [0..1]

Definition: Specifies whether the product is compatible with a client looking for capitalisation growth. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 05020.

Datatype: "TargetMarket1Code" on page 2644

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

15.4.5.22.6.3 ReturnProfileIncome <RtrPrflIncm>

Presence: [0..1]

Definition: Specifies whether the product is compatible with a client who is looking for income. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 05030.

Datatype: "TargetMarket1Code" on page 2644

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

15.4.5.22.6.4 ReturnProfileHedging <RtrPrflHdgg>

Presence: [0..1]

Definition: Level of compatibility of the investor risk profile with the hedging profile of a structured security product.

When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 05040.

Datatype: "TargetMarket1Code" on page 2644

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

15.4.5.22.6.5 OptionOrLeveragedReturnProfile <OptnOrLvrgdRtrPrfl>

Presence: [0..1]

Definition: Level of compatibility of the investor risk profile with the option or leveraged return profile of a structured security product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 05050.

Datatype: "TargetMarket1Code" on page 2644

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

15.4.5.22.6.6 ReturnProfilePensionSchemeGermany <RtrPrflPnsnSchmeDE>

Presence: [0..1]

Definition: Level of compatibility of the investor risk profile with the Return Profile Pension Scheme Germany profile of the product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 05070.

Datatype: "TargetMarket1Code" on page 2644

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

15.4.5.22.6.7 MinimumHoldingPeriod <MinHldgPrd>

Presence: [0..1]

Definition: Minimum recommended holding period (RHP).

When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 05080.

MinimumHoldingPeriod <MinHldgPrd> contains one of the following elements (see "TimeHorizon2Choice" on page 1381 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NumberOfYears <NbOfYrs>	[1..1]	Quantity		1381
Or}	TimeFrame <TmFrame>	[1..1]			1381
{Or	Code <Cd>	[1..1]	CodeSet		1382
Or}	Proprietary <Prtry>	[1..1]	±		1382

15.4.5.22.6.8 ESGPreferences <ESGPrefs>

Presence: [0..1]

Definition: Specifies whether the product is compatible with investors that have Environmental, Social and Governance (ESG) preferences. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 05105.

Datatype: "TargetMarket2Code" on page 2645

CodeName	Name	Definition
NEUT	Neutral	Neutral or has no impact.
YSCO	InScope	Yes, is applicable.

15.4.5.22.6.9 SustainabilityPreferences <SstnblyPrefs>

Presence: [0..1]

Definition: Specifies whether the product is compatible with investors that have sustainability preferences. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT V3.1) reference 05105.

Datatype: "SustainabilityPreferences1Code" on page 2644

CodeName	Name	Definition
CESG	ESGPreference	Preference for products having environmental, social and corporate governance (ESG) characteristics.
NEUT	Neutral	Neutral or has no impact.

CodeName	Name	Definition
OSUS	SustainablePreference	Preference for products having sustainable objectives.

15.4.5.22.6.10 OtherSpecificInvestmentNeed <OthrSpfcInvstmtNeed>

Presence: [0..1]

Definition: Specifies other specific investment needs. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 05115.

OtherSpecificInvestmentNeed <OthrSpfcInvstmtNeed> contains one of the following elements (see "InvestmentNeed2Choice" on page 1693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1693
Or}	Proprietary <Prtry>	[1..1]	±		1693

15.4.5.22.6.11 Other <Othr>

Presence: [0..*]

Definition: Other investment need.

Other <Othr> contains the following elements (see "OtherInvestmentNeed1" on page 1728 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClientObjectivesAndNeedsType <ClntObjctvsAndNeedsTp>	[0..1]	Text		1729
	Target <Trgt>	[0..1]			1729
{Or	Code <Cd>	[1..1]	CodeSet		1729
Or}	Proprietary <Prtry>	[1..1]	±		1729
	AdditionalInformation <AddtlInf>	[0..1]	±		1730

15.4.5.22.7 Other <Othr>

Presence: [0..*]

Definition: Other target market parameter.

Other <Othr> contains the following elements (see "OtherTargetMarket1" on page 1741 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TargetMarketType <TrgtMktTp>	[1..1]	Text		1741
	AdditionalInformation <AddtlInf>	[0..1]	±		1742

15.4.5.23 DistributionStrategy <DstrbtnStrtgy>

Presence: [0..1]

Definition: Distribution strategy criteria.

DistributionStrategy <DstrbtnStrtgy> contains the following elements (see "DistributionStrategy1" on page 1739 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExecutionOnly <ExctnOnly>	[0..1]	±		1740
	ExecutionWithAppropriatenessTestOrNonAdvisedServices <ExctnWithApprprtnssTstOrNonAdvsvdSvcs>	[0..1]	±		1740
	InvestmentAdvice <InvstmtAdv<	[0..1]	±		1740
	PortfolioManagement <PrtlMgmt>	[0..1]	±		1741
	Other <Othr>	[0..1]	±		1741

15.4.5.24 CostsAndCharges <CostsAndChrgs>

Presence: [0..2]

Definition: Costs and charges associated with the distribution of selling of the financial instrument. These may be one-off or recurring. These may be ex ante (intended) or post ante (actual).

Impacted by: C15 "ExAnteReferenceDateGuideline", C18 "IndividualCostAndCharge1Guideline"

CostsAndCharges <CostsAndChrgs> contains the following elements (see "CostsAndCharges2" on page 1317 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExAnteReferenceDate <ExAnteRefDt>	[0..1]	Date		1318
	IndividualCostOrCharge <IndvCostOrChrg>	[1..*]		C13, C14, C27, C28	1318
	CostType <CostTp>	[1..1]			1319
{Or	Code <Cd>	[1..1]	CodeSet		1319
Or}	Proprietary <Prtry>	[1..1]	±		1324
	ExAnteOrExPost <ExAnteOrExPst>	[1..1]	CodeSet		1324
	Amount <Amt>	[0..1]	Amount	C2	1325
	Sign <Sgn>	[0..1]	Indicator		1325
	Rate <Rate>	[0..1]	Rate		1325
	ReferencePeriod <RefPrd>	[0..1]			1325
	StartDate <StartDt>	[1..1]	Date		1325
	EndDate <EndDt>	[1..1]	Date		1326
	AdditionalInformation <AddtlInf>	[0..1]	±		1326
	AdditionalInformation <AddtlInf>	[0..1]	±		1326

Constraints

- **ExAnteReferenceDateGuideline**

The ex-ante reference date should not be confused with the reporting date as specified in the European MiFID Template (EMT) reference 00050.

- **IndividualCostAndCharge1Guideline**

If ExAnteReferenceDate is present, then all repetitions of IndividualCostOrCharge must specify Ex Ante costs

15.4.5.25 AdditionalProductInformationGermanMarket <AddtlPdctInfGrmnMkt>

Presence: [0..1]

Definition: Additional information required for distribution in the German market.

AdditionalProductInformationGermanMarket <AddtlPdctInfGrmnMkt> contains the following **AdditionalProductInformation1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ESGCategoryGermanFundMarket <ESGQtyGrmnFndMkt>	[0..1]	CodeSet		252
	ESGCategoryGermanStructuredSecuritiesMarket <ESGQtyGrmnStrdScitiesMkt>	[0..1]	CodeSet		253
	ESGFocus <ESGFcs>	[0..1]	CodeSet		253
	ESGLabelOrStandard <ESGLablOrStd>	[0..*]	CodeSet		253

15.4.5.25.1 ESGCategoryGermanFundMarket <ESGQtyGrmnFndMkt>

Presence: [0..1]

Definition: Category of fund product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT V3.1) reference 09000.

Datatype: "ESGCategoryGermanFundMarket1Code" on page 2573

CodeName	Name	Definition
NEUT	Neutral	Neutral or has no impact.
IMPF	Impact	Product with impact-related investment (pursuant to Article 9 Disclosure Regulation): - No serious breach of the United Nations Global Compact (UNGC); - Relevant for both individual products and those in a portfolio/basket (shares/ bonds); - Product manager follows a recognised industry standard (UN PRI).
ESGF	ESGStrategy	Product with a dedicated environmental, social and corporate governance (ESG) strategy (pursuant to Article 8 Disclosure Regulation).

CodeName	Name	Definition
BASF	BasicCategory	Product having environmental, social and corporate governance (ESG) characteristics, opportunities or risks according to the "ESG integration enhanced" criteria. Product integration approach is disclosed and the product manager follows a recognised industry standard (UN PRI).

15.4.5.25.2 ESGCategoryGermanStructuredSecuritiesMarket <ESGCtgyGrmnStrdScitiesMkt>

Presence: [0..1]

Definition: Category of structured securities product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT V3.1) reference 09000.

Datatype: "ESGCategoryGermanStructuredSecuritiesMarket1Code" on page 2573

CodeName	Name	Definition
NEUT	Neutral	Netural or has no impact.
IMPS	ImpactSS	Impact-related bond according to recognised market standards (for example, Green/Social Bond Principles, EU Green Bond Standards).
ESGS	ESGStrategySS	Product manufacturer attains at least with one rating agency the status of a sustainable company.
BASS	BasicCategorySS	Product manufacturer follows environmental, social and corporate governance (ESG) product and transparency standard.

15.4.5.25.3 ESGFocus <ESGFcs>

Presence: [0..1]

Definition: Specifies if the focus will be Environmental, Social or Governance. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT V3.1) reference 09010.

Datatype: "ESGFocus1Code" on page 2574

CodeName	Name	Definition
ENVR	Environmental	Main focus will be environmental.
GOVR	Governance	Main focus will be governance.
SOCL	Social	Main focus will be social.

15.4.5.25.4 ESGLabelOrStandard <ESGLablOrStd>

Presence: [0..*]

Definition: ESG label or standard of a product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT V3.1) reference 09020.

Datatype: "ESGLabelOrStandard1Code" on page 2574

CodeName	Name	Definition
E005	CBIClimateBonds	Climate Bonds Initiative (CBI) Climate Bonds Standard.
C003	EUEcolabel	EU Ecolabel for financial products.
B002	EUGreenBond	EU Green Bond Standard.
L012	FebelfinTowardsSustainability	Febelfin Towards Sustainability label.
D004	FNGSiegel	FNG-Siegel.
O015	GreenfinLabel	Greenfin label.
A001	ICMAGreenBond	International Capital Markets Association (ICMA) Green Bond Principles.
F006	ICMASocialBonds	International Capital Markets Association (ICMA) Social Bonds Principles.
K011	ISR	Label "Investissement Socialement Responsable" (ISR).
J010	KeinVerstoßGegenAtomwaffensperrvertrag	Kein Verstoss gegen Atomwaffensperrvertrag.
H008	LuxFLAGClimate	LuxFLAG climate finance label.
I009	LuxFLAGEnvironment	LuxFLAG environment label.
G007	LuxFLAGESG	LuxFLAG ESG label.
N014	NordicSwan	Nordic Swan Ecolabel.
M013	UZ49	UZ49 - das Oesterreichische Umweltzeichen.

15.4.5.26 AdditionalProductInformationFrenchMarket <AddtlPdctInfFrnchMkt>

Presence: [0..1]

Definition: Additional information required for distribution in the French market.

AdditionalProductInformationFrenchMarket <AddtlPdctInfFrnchMkt> contains the following **AdditionalProductInformation2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AMFDoctrine <AMFDctrn>	[0..1]	CodeSet		254

15.4.5.26.1 AMFDoctrine <AMFDctrn>

Presence: [0..1]

Definition: Approach of the Autorite des Marches Financiers (AMF) recommendation 2020-03 to which the fund complies. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT V3.1) reference 01020.

Datatype: "AMFDoctrine1Code" on page 2553

CodeName	Name	Definition
AMF1	SignificantlyEngagingMethodology	Approach based on a significantly engaging methodology.

CodeName	Name	Definition
AMF3	NotMeetingCentralCommunicationStandards	Approach not meeting central communication standards or based on limited communication standards.
AMF2	NonSignificantlyEngagingMethodology	Approach based on a non-significantly engaging methodology.

15.4.5.27 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C16 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

16 **reda.005.001.03**

InvestmentFundReportRequestV03

16.1 **MessageDefinition Functionality**

Scope

The InvestmentFundReportRequest message is sent by a report user, for example, a professional investor, investment fund distributor, market data provider, regulator or other interested party to the report provider, for example, a fund promoter, fund management company, transfer agent, or market data provider to request a report.

The Investment Fund Report Request message can be used to request one or many fund reference data report messages.

Usage

If the InvestmentFundReportRequest message is used to request a fund reference data report then the request can specify the financial instrument for which the report is requested. Other appropriate parameters can also be included. It is also possible to indicate that the request is an open request, that is, there is no specific criteria for the report requested. For example, a request for a fund reference data report that is specified as "no criteria" means that the request is a request for a reference data report messages for all funds.

Outline

The InvestmentFundReportRequestV03 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PreviousReference

Reference to a linked message that was previously sent.

C. RelatedReference

Reference to a linked message that was previously received.

D. ReportRequest

Parameters for which the report is requested.

16.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <InvstmtFndRptReq>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		258
	PreviousReference <PrvsRef>	[0..1]	±		258
	RelatedReference <RltdRef>	[0..1]	±		258
	ReportRequest <RptReq>	[1..*]		C8	259
{Or	NoCriteria <NoCrit>	[1..1]	CodeSet		259
Or}	Parameters <Params>	[1..1]			260
	FinancialInstrumentDetails <FinInstrmDtls>	[0..*]	±		260
	FundManagementCompany <FndMgmtCpny>	[0..*]	±		260
	DateFrom <DtFr>	[0..1]	Date		261
	CountryOfDomicile <CtryOfDmcl>	[0..1]	CodeSet	C2	261
	RegisteredDistributionCountry <RegdDstrbtnCtry>	[0..*]	CodeSet	C2	261

16.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C6 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C7 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C8 ReportParameterRule1

If Parameters is present, at least one element in the list (Parameters/
FundManagementCompany, Parameters/FinancialInstrumentDetails, Parameters/DateFrom)
must be present. More than one element in the list (Parameters/FundManagementCompany,
Parameters/FinancialInstrumentDetails, Parameters/DateFrom) may be present.

16.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

16.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

16.4.2 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference10](#)" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

16.4.3 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

16.4.4 ReportRequest <RptReq>

Presence: [1..*]

Definition: Parameters for which the report is requested.

Impacted by: C8 "ReportParameterRule1"

ReportRequest <RptReq> contains one of the following **FundParameters4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoCriteria <NoCrit>	[1..1]	CodeSet		259
Or}	Parameters <Params>	[1..1]			260
	FinancialInstrumentDetails <FinInstrmDtls>	[0..*]	±		260
	FundManagementCompany <FndMgmtCpny>	[0..*]	±		260
	DateFrom <DtFr>	[0..1]	Date		261
	CountryOfDomicile <CtryOfDmcl>	[0..1]	CodeSet	C2	261
	RegisteredDistributionCountry <RegdDstrbtnCtry>	[0..*]	CodeSet	C2	261

Constraints

- ReportParameterRule1**

If Parameters is present, at least one element in the list (Parameters/FundManagementCompany, Parameters/FinancialInstrumentDetails, Parameters/DateFrom) must be present. More than one element in the list (Parameters/FundManagementCompany, Parameters/FinancialInstrumentDetails, Parameters/DateFrom) may be present.

On Condition

/Parameters is present

Following Must be True

/Parameters/FinancialInstrumentDetails[*] Must be present

Or /Parameters/FundManagementCompany[*] Must be present

Or /Parameters/DateFrom Must be present

16.4.4.1 NoCriteria <NoCrit>

Presence: [1..1]

Definition: Specifies that there is no criteria for the report. The request is a request for all reports, rather than reports attributed to a specific fund manager, date or financial instrument.

Datatype: "NoCriteria1Code" on page 2609

CodeName	Name	Definition
NOCR	NoCriteria	No criteria.

16.4.4.2 Parameters <Params>

Presence: [1..1]

Definition: Report parameters.

Parameters <Params> contains the following **FundParameters5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentDetails <FinInstrmDtls>	[0..*]	±		260
	FundManagementCompany <FndMgmtCpny>	[0..*]	±		260
	DateFrom <DtFr>	[0..1]	Date		261
	CountryOfDomicile <CtryOfDmcl>	[0..1]	CodeSet	C2	261
	RegisteredDistributionCountry <RegdDstrbtnCtry>	[0..*]	CodeSet	C2	261

16.4.4.2.1 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [0..*]

Definition: Financial instrument for which the report is requested.

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument71" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±	C3, C4, C5, C6, C7	1431
	ShortName <ShrtNm>	[0..1]	Text		1432
	Name <Nm>	[0..1]	Text		1432
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1432
	ClassType <ClsTp>	[0..1]	Text		1432
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1432
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1433
	ProductGroup <PdctGrp>	[0..1]	Text		1433

16.4.4.2.2 FundManagementCompany <FndMgmtCpny>

Presence: [0..*]

Definition: Fund management company for which the report is requested.

FundManagementCompany <FndMgmtCpny> contains the following elements (see "PartyIdentification139" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

16.4.4.2.3 DateFrom <DtFr>

Presence: [0..1]

Definition: Specifies the date on or after which the information required will have been last updated. Only the most recent versions of the data is required.

Datatype: "ISODate" on page 2668

16.4.4.2.4 CountryOfDomicile <CtryOfDmcl>

Presence: [0..1]

Definition: Country where the fund has legal domicile.

Impacted by: C2 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.4.4.2.5 RegisteredDistributionCountry <RegdDstrbtnCtry>

Presence: [0..*]

Definition: Country where the fund is registered for distribution.

Impacted by: C2 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

17 **semt.001.001.03**

SecuritiesMessageRejectionV03

17.1 **MessageDefinition Functionality**

Scope

An account servicer, for example, a registrar, transfer agent or custodian bank, sends the SecuritiesMessageRejection message to the account owner, for example, an investor or its authorised agent, to reject a previously received message on which action cannot be taken.

The message may also be sent by an executing party, for example, transfer agent to the instructing party, for example, investment manager or its authorised representative to reject a previously received message on which action cannot be taken.

Usage

The SecuritiesMessageRejection message is used for the following reasons:

- the executing party does not recognise the linked reference, so the executing party cannot process the message
- the instructing party should not have sent the message.

Reasons that a receiver does not expect a message include no SLA in place between the Sender and the Receiver.

The SecuritiesMessageRejection message must not be used to reject an instruction message that cannot be processed for business reasons, for example, if information is missing in an instruction message or because securities are not available for settlement.

Outline

The SecuritiesMessageRejectionV03 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies a message from a business application standpoint.

B. RelatedReference

Reference to a linked message that was previously received.

C. Reason

Reason to reject the message.

17.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SctiesMsgRjctn>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		263
	RelatedReference <RltdRef>	[1..1]	±		263
	Reason <Rsn>	[1..1]			264
	Reason <Rsn>	[1..1]	CodeSet		264
	AdditionalInformation <AddtlInf>	[0..1]	Text		264
	LinkedMessage <LkdMsg>	[0..1]	±		264

17.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

17.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

17.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies a message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

17.4.2 RelatedReference <RltdRef>

Presence: [1..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference3" on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

17.4.3 Reason <Rsn>

Presence: [1..1]

Definition: Reason to reject the message.

Reason <Rsn> contains the following **RejectionReason23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]	CodeSet		264
	AdditionalInformation <AddtlInf>	[0..1]	Text		264
	LinkedMessage <LkdMsg>	[0..1]	±		264

17.4.3.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason to reject the message.

Datatype: "MessageRejectedReason1Code" on page 2607

CodeName	Name	Definition
REFE	InvalidOrUnrecognisedReference	Request contains an invalid or unrecognised business reference.
NALO	NotAllowedRequest	Instructing party is not allowed to ask for a status for that specific order.

17.4.3.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the rejection reason.

Datatype: "Max140Text" on page 2682

17.4.3.3 LinkedMessage <LkdMsg>

Presence: [0..1]

Definition: Identification of the invalid or unrecognised reference.

LinkedMessage <LkdMsg> contains one of the following elements (see "[LinkedMessage1Choice](#)" on page 1809 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PreviousReference <PrvsRef>	[1..1]	±		1809
Or	OtherReference <OthrRef>	[1..1]	±		1810
Or}	RelatedReference <RltdRef>	[1..1]	±		1810

18 **semt.002.001.02**

CustodyStatementOfHoldingsV02

18.1 **MessageDefinition Functionality**

Scope

An account servicer, for example, a transfer agent sends the CustodyStatementOfHoldings message to the account owner, for example, a fund manager or an account owner's designated agent to provide detailed holdings of the portfolio at a specified moment in time.

The message provides, at a moment in time, the quantity and identification of the financial instruments that the account servicer holds for the account owner. The message can also include availability and the location of holdings to facilitate trading and minimise settlement issues.

The message reports all information per financial instrument, ie, when a financial instrument is held at multiple places of safekeeping, the total holdings for all locations can be provided.

Usage

The CustodyStatementOfHoldings message is used to provide detailed quantity and availability information for financial instrument holdings of a portfolio. The message should be sent at a frequency agreed bi-laterally between the account servicer and the account owner.

This message can be also be used to report where the financial instruments are safe-kept, physically or notionally. If a security is held in more than one safekeeping place, this can also be indicated.

This message can reflect all outstanding holding information or may only contain changes since the previously sent statement.

The CustodyStatementOfHoldings message can only be used to list the holdings of a single (master) account. However, it is possible to break down these holdings into one or several sub-accounts. Therefore, this message can be used to either specify holdings at

- the main account level, or,
- the sub-account level.

Outline

The CustodyStatementOfHoldingsV02 MessageDefinition is composed of 10 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies a message from a business application standpoint.

B. PreviousReference

Reference to a linked message that was previously sent.

C. RelatedReference

Reference to a linked message that was previously received.

D. MessagePagination

Pagination of the message.

E. StatementGeneralDetails

General information related to the custody statement of holdings.

F. AccountDetails

The safekeeping or investment account.

G. BalanceForAccount

Net position of a segregated holding, in a single security, within the overall position held in a securities account.

H. SubAccountDetails

The sub-account of the safekeeping or investment account.

I. TotalValues

Value of total holdings reported.

J. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

18.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CtdyStmntOfHldgsV02>	[1..1]		C9	
	MessageIdentification <MsgId>	[1..1]	±		270
	PreviousReference <PrvsRef>	[0..*]	±		270
	RelatedReference <RltdRef>	[0..*]	±		270
	MessagePagination <MsgPgntn>	[1..1]	±		271
	StatementGeneralDetails <StmntGnlDtls>	[1..1]			271
	Reference <Ref>	[1..1]	Text		271
	StatementDateTime <StmntDtTm>	[1..1]	±		272
	CreationDateTime <CreDtTm>	[0..1]	±		272
	Frequency <Frqcy>	[1..1]	±		272
	UpdateType <UpdTp>	[1..1]	±		272
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		273
	StatementBasis <StmntBsis>	[1..1]	±		273
	ReportNumber <RptNb>	[0..1]	Text		273
	AccountDetails <AcctDtls>	[1..1]	±		273
	BalanceForAccount <BalForAcct>	[0..*]	±	C1, C8, C5	274
	SubAccountDetails <SubAcctDtls>	[0..*]	±		276
	TotalValues <TtlVals>	[0..1]	±		276
	Extension <Xtnsn>	[0..*]	±	C13	276

18.3 Constraints

C1 AccruedInterestAmountSignRule

if AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

C2 AccruedInterestAmountSignRule

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AggregateQuantityGuideline

The AggregateQuantity should be the sum of AvailableQuantity and NotAvailableQuantity if AvailableQuantity and NotAvailableQuantity are both present.

C6 AggregateQuantityGuideline

The AggregateQuantity should be the sum of AvailableQuantity and NotAvailableQuantity if AvailableQuantity and NotAvailableQuantity are both present.

C7 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C8 BalanceAtSafekeepingPlaceRule

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

C9 BalanceForAccountOrSubAccountDetailsRule

If StatementGeneralDetails/ActivityIndicator is "true" or "1" (Yes), then either BalanceForAccount or SubAccountDetails is mandatory. If StatementGeneralDetails/ActivityIndicator is "false" or "0" (No), then BalanceForAccount and SubAccountDetails may be present.

This constraint is defined at the MessageDefinition level.

C10 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C13 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C14 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C15 Party1Rule

If PlaceSafekeeping is not SHHE, then Party is mandatory. If PlaceSafekeeping is SHHE, then Party is optional.

C16 StreetNameAndOrPostOfficeBoxRule

If StreetName is not present, then PostOfficeBox is mandatory. If StreetName is present, then PostOfficeBox is optional.

C17 ValueRule

If Type is not MRKT, then Value may not be expressed with UnknownIndicator.

18.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

18.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies a message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

18.4.2 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference2](#)" on page 1491 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1491
	ReferenceIssuer <RefIssr>	[0..1]	±		1491
	MessageName <MsgNm>	[0..1]	Text		1492

18.4.3 RelatedReference <RltdRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference2"](#) on page 1491 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1491
	ReferenceIssuer <RefIssr>	[0..1]	±		1491
	MessageName <MsgNm>	[0..1]	Text		1492

18.4.4 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see ["Pagination"](#) on page 1878 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		1879
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		1879

18.4.5 StatementGeneralDetails <StmntGnlDtls>

Presence: [1..1]

Definition: General information related to the custody statement of holdings.

StatementGeneralDetails <StmntGnlDtls> contains the following **Statement7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		271
	StatementDateTime <StmntDtTm>	[1..1]	±		272
	CreationDateTime <CreDtTm>	[0..1]	±		272
	Frequency <Frqcy>	[1..1]	±		272
	UpdateType <UpdTp>	[1..1]	±		272
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		273
	StatementBasis <StmntBsis>	[1..1]	±		273
	ReportNumber <RptNb>	[0..1]	Text		273

18.4.5.1 Reference <Ref>

Presence: [1..1]

Definition: Reference of the statement.

Datatype: ["Max35Text"](#) on page 2683

18.4.5.2 StatementDateTime <StmtDtTm>*Presence:* [1..1]*Definition:* Date of the statement.

StatementDateTime <StmtDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

18.4.5.3 CreationDateTime <CreDtTm>*Presence:* [0..1]*Definition:* Preparation date of the statement.

CreationDateTime <CreDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

18.4.5.4 Frequency <Frqcy>*Presence:* [1..1]*Definition:* Frequency of the statement.

Frequency <Frqcy> contains one of the following elements (see "FrequencyCodeAndDSSCode1Choice" on page 2264 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FrequencyAsCode <FrqcyAsCd>	[1..1]	CodeSet		2264
Or}	FrequencyAsDSS <FrqcyAsDSS>	[1..1]	±		2265

18.4.5.5 UpdateType <UpdTp>*Presence:* [1..1]*Definition:* Indicates whether the report is complete or contains changes only.

UpdateType <UpdTp> contains one of the following elements (see "StatementUpdateTypeCodeAndDSSCodeChoice" on page 2325 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StatementUpdateTypeAsCode <StmtUpdTpAsCd>	[1..1]	CodeSet		2325
Or}	StatementUpdateTypeAsDSS <StmtUpdTpAsDSS>	[1..1]	±		2326

18.4.5.6 ActivityIndicator <ActvtyInd>

Presence: [1..1]

Definition: Indicates whether there is activity or information update reported in the statement.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

18.4.5.7 StatementBasis <StmntBsis>

Presence: [1..1]

Definition: Type of balance on which the statement is prepared.

StatementBasis <StmntBsis> contains one of the following elements (see "[StatementBasisCodeAndDSSCodeChoice](#)" on page 2324 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StatementBasisAsCode <StmntBsisAsCd>	[1..1]	CodeSet		2325
Or}	StatementBasisAsDSS <StmntBsisAsDSS>	[1..1]	±		2325

18.4.5.8 ReportNumber <RptNb>

Presence: [0..1]

Definition: Sequential number of the statement.

Datatype: "[Max5NumericText](#)" on page 2684

18.4.6 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: The safekeeping or investment account.

AccountDetails <AcctDtls> contains the following elements (see "[SafekeepingAccount2](#)" on page 1516 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1516
	FungibleIndicator <Fngblnd>	[1..1]	Indicator		1517
	Name <Nm>	[0..1]	Text		1517
	Designation <Dsgnt>	[0..1]	Text		1517
	IntermediaryInformation <IntrmyInf>	[0..10]	±		1517
	AccountOwner <AcctOwnr>	[0..1]	±		1517
	AccountServicer <AcctSvcr>	[0..1]	±		1518

18.4.7 **BalanceForAccount <BalForAcct>**

Presence: [0..*]

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account.

Impacted by: C1 "AccruedInterestAmountSignRule", C8 "BalanceAtSafekeepingPlaceRule", C5 "AggregateQuantityGuideline"

BalanceForAccount <BalForAcct> contains the following elements (see "AggregateBalanceInformation4" on page 1259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateQuantity <AggtQty>	[1..1]	±		1261
	AvailableQuantity <AvlblQty>	[0..1]	±		1261
	NotAvailableQuantity <NotAvlblQty>	[0..1]	±		1261
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1262
	HoldingValue <HldgVal>	[0..*]	Amount	C3, C12	1262
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1262
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1262
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1263
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1263
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1263
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C12	1264
	PriceDetails <PricDtls>	[0..*]	±	C15	1264
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1265
	BalanceBreakdownDetails <BalBrkdwnDtls>	[0..*]	±		1265
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>	[0..*]	±		1266
	BalanceAtSafekeepingPlace <BalAtSfkpgPlc>	[0..*]		C2, C6	1266
	AggregateQuantity <AggtQty>	[1..1]	±		1267
	AvailableQuantity <AvlblQty>	[0..1]	±		1268
	NotAvailableQuantity <NotAvlblQty>	[0..1]	±		1268
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1268
	HoldingValue <HldgVal>	[0..*]	Amount	C3, C12	1268
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1269
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1269
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1269
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1270
	SafekeepingPlace <SfkpgPlc>	[1..1]	±		1270
	PriceDetails <PricDtls>	[0..*]	±	C15	1270
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1271
	BalanceBreakdownDetails <BalBrkdwnDtls>	[0..*]	±		1271

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdownDtls>	[0..*]	±		1272

Constraints

- **AccruedInterestAmountSignRule**

if AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

- **AggregateQuantityGuideline**

The AggregateQuantity should be the sum of AvailableQuantity and NotAvailableQuantity if AvailableQuantity and NotAvailableQuantity are both present.

- **BalanceAtSafekeepingPlaceRule**

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

18.4.8 SubAccountDetails <SubAcctDtls>

Presence: [0..*]

Definition: The sub-account of the safekeeping or investment account.

SubAccountDetails <SubAcctDtls> contains the following elements (see "[SubAccountIdentification5](#)" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1221
	FungibleIndicator <Fngblnd>	[1..1]	Indicator		1221
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1222
	BalanceForSubAccount <BalForSubAcct>	[0..*]	±	C1, C8, C5	1222

18.4.9 TotalValues <TtlVals>

Presence: [0..1]

Definition: Value of total holdings reported.

TotalValues <TtlVals> contains the following elements (see "[TotalValueInPageAndStatement](#)" on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalHoldingsValueOfPage <TtlHldgsValOfPg>	[0..1]	Amount	C2, C10	1252
	TotalHoldingsValueOfStatement <TtlHldgsValOfStmnt>	[1..1]	Amount	C2, C10	1252

18.4.10 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C13 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

19 **semt.003.001.02**

AccountingStatementOfHoldingsV02

19.1 **MessageDefinition Functionality**

Scope

An account servicer, for example, a transfer agent sends the AccountingStatementOfHoldings message to the account owner, for example, a fund manager or an account owner's designated agent to provide detailed holdings of the portfolio at a specified moment in time.

The message provides, at a moment in time, valuations of the portfolio together with details of each financial instrument holding.

The message can be sent either audited or un-audited and may be provided on a trade date or settlement date basis.

Usage

The AccountingStatementOfHoldings message is used to provide valuation detail for each financial instrument held in a portfolio. The message should be sent at a frequency agreed bi-laterally between the account servicer and the account owner.

This message can only be used to list the holdings of a single (master) account. However, it is possible to break down these holdings into one or several sub-accounts. Therefore, the message can be used to either specify holdings at

- the main account level, or,
- the sub-account level.

This message can be used to report where the financial instruments are safe-kept, physically or notionally. If a security is held in more than one safekeeping place, this can also be indicated.

The AccountingStatementOfHoldings message should not be used for trading purposes.

Outline

The AccountingStatementOfHoldingsV02 MessageDefinition is composed of 10 MessageBuildingBlocks:

- A. MessageIdentification
Reference that uniquely identifies a message from a business application standpoint.
- B. PreviousReference
Reference to a linked message that was previously sent.
- C. RelatedReference
Reference to a linked message that was previously received.
- D. MessagePagination
Pagination of the message.

E. StatementGeneralDetails

General information related to the accounting statement of holdings.

F. AccountDetails

The safekeeping or investment account.

G. BalanceForAccount

Net position of a segregated holding, in a single security, within the overall position held in a securities account.

H. SubAccountDetails

The sub-account of the safekeeping or investment account.

I. TotalValues

Value of total holdings reported.

J. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

19.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AcctgStmntOfHldgsV02>	[1..1]		C7	
	MessageIdentification <MsgId>	[1..1]	±		282
	PreviousReference <PrvsRef>	[0..*]	±		282
	RelatedReference <RltdRef>	[0..*]	±		282
	MessagePagination <MsgPgntn>	[1..1]	±		283
	StatementGeneralDetails <StmntGnlDtls>	[1..1]			283
	Reference <Ref>	[1..1]	Text		283
	StatementDateTime <StmntDtTm>	[1..1]	±		283
	CreationDateTime <CreDtTm>	[0..1]	±		284
	Frequency <Frqcy>	[1..1]	±		284
	UpdateType <UpdTp>	[1..1]	±		284
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		284
	StatementBasis <StmntBsis>	[1..1]	±		285
	ReportNumber <RptNb>	[0..1]	Text		285
	AuditedIndicator <AudtdInd>	[1..1]	Indicator		285
	AccountDetails <AcctDtls>	[1..1]	±		285
	BalanceForAccount <BalForAcct>	[0..*]	±	C1, C6	286
	SubAccountDetails <SubAcctDtls>	[0..*]	±		287
	TotalValues <TtlVals>	[0..1]	±		287
	Extension <Xtnsn>	[0..*]	±	C11	287

19.3 Constraints

C1 AccruedInterestAmountSignRule

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

C2 AccruedInterestAmountSignRule

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with

the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 BalanceAtSafekeepingPlaceRule

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

C7 BalanceForAccountOrSubAccountDetailsRule

If StatementGeneralDetails/ActivityIndicator is "true" or "1" (Yes), then either BalanceForAccount or SubAccountDetails is mandatory. If StatementGeneralDetails/ActivityIndicator is "false" or "0" (No), then BalanceForAccount and SubAccountDetails may be present.

This constraint is defined at the MessageDefinition level.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C12 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C13 Party1Rule

If PlaceSafekeeping is not SHHE, then Party is mandatory. If PlaceSafekeeping is SHHE, then Party is optional.

C14 StreetNameAndOrPostOfficeBoxRule

If StreetName is not present, then PostOfficeBox is mandatory. If StreetName is present, then PostOfficeBox is optional.

C15 ValueRule

If Type is not MRKT, then Value may not be expressed with UnknownIndicator.

19.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

19.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies a message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

19.4.2 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference2](#)" on page 1491 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1491
	ReferenceIssuer <RefIssr>	[0..1]	±		1491
	MessageName <MsgNm>	[0..1]	Text		1492

19.4.3 RelatedReference <RltdRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "[AdditionalReference2](#)" on page 1491 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1491
	ReferenceIssuer <RefIssr>	[0..1]	±		1491
	MessageName <MsgNm>	[0..1]	Text		1492

19.4.4 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see "Pagination" on page 1878 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		1879
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		1879

19.4.5 StatementGeneralDetails <StmntGnlDtls>

Presence: [1..1]

Definition: General information related to the accounting statement of holdings.

StatementGeneralDetails <StmntGnlDtls> contains the following **Statement6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		283
	StatementDateTime <StmntDtTm>	[1..1]	±		283
	CreationDateTime <CreDtTm>	[0..1]	±		284
	Frequency <Frqcy>	[1..1]	±		284
	UpdateType <UpdTp>	[1..1]	±		284
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		284
	StatementBasis <StmntBsis>	[1..1]	±		285
	ReportNumber <RptNb>	[0..1]	Text		285
	AuditedIndicator <AudtdInd>	[1..1]	Indicator		285

19.4.5.1 Reference <Ref>

Presence: [1..1]

Definition: Reference of the statement.

Datatype: "Max35Text" on page 2683

19.4.5.2 StatementDateTime <StmntDtTm>

Presence: [1..1]

Definition: Date of the statement.

StatementDateTime <StmDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

19.4.5.3 CreationDateTime <CreDtTm>

Presence: [0..1]

Definition: Preparation date of the statement.

CreationDateTime <CreDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

19.4.5.4 Frequency <Frqcy>

Presence: [1..1]

Definition: Frequency of the statement.

Frequency <Frqcy> contains one of the following elements (see "FrequencyCodeAndDSSCode1Choice" on page 2264 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FrequencyAsCode <FrqcyAsCd>	[1..1]	CodeSet		2264
Or}	FrequencyAsDSS <FrqcyAsDSS>	[1..1]	±		2265

19.4.5.5 UpdateType <UpdTp>

Presence: [1..1]

Definition: Indicates whether the report is complete or contains changes only.

UpdateType <UpdTp> contains one of the following elements (see "StatementUpdateTypeCodeAndDSSCodeChoice" on page 2325 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StatementUpdateTypeAsCode <StmUpdTpAsCd>	[1..1]	CodeSet		2325
Or}	StatementUpdateTypeAsDSS <StmUpdTpAsDSS>	[1..1]	±		2326

19.4.5.6 ActivityIndicator <ActvtyInd>

Presence: [1..1]

Definition: Indicates whether there is activity or information update reported in the statement.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

19.4.5.7 StatementBasis <StmtBsis>

Presence: [1..1]

Definition: Type of balance on which the statement is prepared.

StatementBasis <StmtBsis> contains one of the following elements (see ["StatementBasisCodeAndDSSCodeChoice"](#) on page 2324 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StatementBasisAsCode <StmtBsisAsCd>	[1..1]	CodeSet		2325
Or}	StatementBasisAsDSS <StmtBsisAsDSS>	[1..1]	±		2325

19.4.5.8 ReportNumber <RptNb>

Presence: [0..1]

Definition: Sequential number of the statement.

Datatype: ["Max5NumericText"](#) on page 2684

19.4.5.9 AuditedIndicator <AudtdInd>

Presence: [1..1]

Definition: Indicates whether the statement is audited.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

19.4.6 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: The safekeeping or investment account.

AccountDetails <AcctDtls> contains the following elements (see "SafekeepingAccount2" on page 1516 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1516
	FungibleIndicator <Fngblnd>	[1..1]	Indicator		1517
	Name <Nm>	[0..1]	Text		1517
	Designation <Dsgnt>	[0..1]	Text		1517
	IntermediaryInformation <IntrmyInf>	[0..10]	±		1517
	AccountOwner <AcctOwnr>	[0..1]	±		1517
	AccountServicer <AcctSvcr>	[0..1]	±		1518

19.4.7 BalanceForAccount <BalForAcct>

Presence: [0..*]

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account.

Impacted by: C1 "AccruedInterestAmountSignRule", C6 "BalanceAtSafekeepingPlaceRule"

BalanceForAccount <BalForAcct> contains the following elements (see "AggregateBalanceInformation3" on page 1253 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateQuantity <AggtQty>	[1..1]	±		1254
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1254
	HoldingValue <HldgVal>	[1..*]	Amount	C3, C12	1254
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1254
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1255
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1255
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1255
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1256
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C12	1256
	PriceDetails <PricDtls>	[1..*]	±	C15	1257
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1258
	BalanceBreakdownDetails <BalBrkdwnDtls>	[0..*]	±		1258
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>	[0..*]	±		1258
	BalanceAtSafekeepingPlace <BalAtSfkpgPlc>	[0..*]	±	C2	1259

Constraints

- **AccruedInterestAmountSignRule**

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

- **BalanceAtSafekeepingPlaceRule**

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

19.4.8 SubAccountDetails <SubAcctDtls>

Presence: [0..*]

Definition: The sub-account of the safekeeping or investment account.

SubAccountDetails <SubAcctDtls> contains the following elements (see "[SubAccountIdentification3](#)" on page 1219 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1219
	FungibleIndicator <Fngblnd>	[1..1]	Indicator		1219
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1220
	BalanceForSubAccount <BalForSubAcct>	[0..*]	±	C1, C6	1220

19.4.9 TotalValues <TtlVals>

Presence: [0..1]

Definition: Value of total holdings reported.

TotalValues <TtlVals> contains the following elements (see "[TotalValueInPageAndStatement](#)" on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalHoldingsValueOfPage <TtlHldgsValOfPg>	[0..1]	Amount	C2, C10	1252
	TotalHoldingsValueOfStatement <TtlHldgsValOfStmnt>	[1..1]	Amount	C2, C10	1252

19.4.10 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: [C11 "ExtensionRule"](#)

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

20 **semt.004.001.02**

CustodyStatementOfHoldingsCancellationV02

20.1 **MessageDefinition Functionality**

Scope

An account servicer, for example, a transfer agent, sends the CustodyStatementOfHoldingsCancellation message to the account owner, for example, an investment manager or its authorised representative to cancel a previously sent CustodyStatementOfHoldings message.

Usage

The CustodyStatementOfHoldingsCancellation message is used to cancel a previously sent CustodyStatementOfHoldings message. This message must contain the reference of the message to be cancelled.

This message may also contain details of the message to be cancelled, but this is not recommended.

Outline

The CustodyStatementOfHoldingsCancellationV02 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies a message from a business application standpoint.

B. PreviousReference

Reference to a linked message that was previously sent.

C. RelatedReference

Reference to a linked message that was previously received.

D. MessagePagination

Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

E. StatementToBeCancelled

The Custody Statement of Holdings message to cancel.

20.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CtdyStmntOfHldgsCxIV02>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		291
	PreviousReference <PrvsRef>	[0..1]	±		292
	RelatedReference <RltdRef>	[0..1]	±		292
	MessagePagination <MsgPgntn>	[1..1]	±		292
	StatementToBeCancelled <StmntToBeCanc>	[0..1]	±	C9	293

20.3 Constraints

C1 AccruedInterestAmountSignRule

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

C2 AccruedInterestAmountSignRule

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AggregateQuantityGuideline

The AggregateQuantity should be the sum of AvailableQuantity and NotAvailableQuantity if AvailableQuantity and NotAvailableQuantity are both present.

C6 AggregateQuantityGuideline

The AggregateQuantity should be the sum of AvailableQuantity and NotAvailableQuantity if AvailableQuantity and NotAvailableQuantity are both present.

C7 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C8 BalanceAtSafekeepingPlaceRule

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

C9 BalanceForAccountOrSubAccountDetailsRule

If StatementGeneralDetails/ActivityIndicator is "true" or "1" (Yes), then either BalanceForAccount or SubAccountDetails is mandatory. If StatementGeneralDetails/ActivityIndicator is "false" or "0" (No), then BalanceForAccount and SubAccountDetails may be present.

C10 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C13 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C14 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C15 Party1Rule

If PlaceSafekeeping is not SHHE, then Party is mandatory. If PlaceSafekeeping is SHHE, then Party is optional.

C16 StreetNameAndOrPostOfficeBoxRule

If StreetName is not present, then PostOfficeBox is mandatory. If StreetName is present, then PostOfficeBox is optional.

C17 ValueRule

If Type is not MRKT, then Value may not be expressed with UnknownIndicator.

20.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

20.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies a message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

20.4.2 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference2](#)" on page 1491 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1491
	ReferenceIssuer <RefIssr>	[0..1]	±		1491
	MessageName <MsgNm>	[0..1]	Text		1492

20.4.3 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "[AdditionalReference2](#)" on page 1491 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1491
	ReferenceIssuer <RefIssr>	[0..1]	±		1491
	MessageName <MsgNm>	[0..1]	Text		1492

20.4.4 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

MessagePagination <MsgPgntn> contains the following elements (see "[Pagination](#)" on page 1878 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		1879
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		1879

20.4.5 StatementToBeCancelled <StmtToBeCanc>

Presence: [0..1]

Definition: The Custody Statement of Holdings message to cancel.

Impacted by: C9 "BalanceForAccountOrSubAccountDetailsRule"

StatementToBeCancelled <StmtToBeCanc> contains the following elements (see "CustodyStatementOfHoldings2" on page 1245 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StatementGeneralDetails <StmtGnlDtls>	[0..1]			1246
	Reference <Ref>	[1..1]	Text		1247
	StatementDateTime <StmtDtTm>	[1..1]	±		1247
	CreationDateTime <CreDtTm>	[0..1]	±		1247
	Frequency <Frqcy>	[1..1]	±		1247
	UpdateType <UpdTp>	[1..1]	±		1248
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1248
	StatementBasis <StmtBsis>	[1..1]	±		1248
	ReportNumber <RptNb>	[0..1]	Text		1248
	AccountDetails <AcctDtls>	[0..1]	±		1249
	BalanceForAccount <BalForAcct>	[0..*]	±	C1, C8, C5	1249
	SubAccountDetails <SubAcctDtls>	[0..*]	±		1251
	TotalValues <TtlVals>	[0..1]	±		1251
	Extension <Xtnsn>	[0..*]	±	C16	1251

Constraints

- **BalanceForAccountOrSubAccountDetailsRule**

If StatementGeneralDetails/ActivityIndicator is "true" or "1" (Yes), then either BalanceForAccount or SubAccountDetails is mandatory. If StatementGeneralDetails/ActivityIndicator is "false" or "0" (No), then BalanceForAccount and SubAccountDetails may be present.

21 **semt.005.001.02**

AccountingStatementOfHoldingsCancellationV02

21.1 MessageDefinition Functionality

Scope

An account servicer, for example, a transfer agent, sends the AccountingStatementOfHoldingsCancellation message to the account owner, for example, a fund manager or an account owner's designated agent, to notify the cancellation of a previously sent AccountingStatementOfHoldings message.

Usage

The AccountingStatementOfHoldingsCancellation message is used to cancel a previously sent AccountingStatementOfHoldings message. This message must contain the reference of the message to be cancelled.

This message may also contain all the details of the message to be cancelled, but this is not recommended.

Outline

The AccountingStatementOfHoldingsCancellationV02 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies a message from a business application standpoint.

B. PreviousReference

Reference to a linked message that was previously sent.

C. RelatedReference

Reference to a linked message that was previously received.

D. MessagePagination

Pagination of the message.

E. StatementToBeCancelled

The Accounting Statement of Holdings message to cancel.

21.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AcctgStmntOfHldgsCxlV02>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		296
	PreviousReference <PrvsRef>	[0..1]	±		297
	RelatedReference <RltdRef>	[0..1]	±		297
	MessagePagination <MsgPgntn>	[1..1]	±		297
	StatementToBeCancelled <StmntToBeCanc>	[0..1]	±	C7	297

21.3 Constraints

C1 AccruedInterestAmountSignRule

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

C2 AccruedInterestAmountSignRule

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 BalanceAtSafekeepingPlaceRule

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

C7 BalanceForAccountOrSubAccountDetailsRule

If StatementGeneralDetails/ActivityIndicator is "true" or "1" (Yes), then either BalanceForAccount or SubAccountDetails is mandatory. If StatementGeneralDetails/ActivityIndicator is "false" or "0" (No), then BalanceForAccount and SubAccountDetails may be present.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C12 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C13 Party1Rule

If PlaceSafekeeping is not SHHE, then Party is mandatory. If PlaceSafekeeping is SHHE, then Party is optional.

C14 StreetNameAndOrPostOfficeBoxRule

If StreetName is not present, then PostOfficeBox is mandatory. If StreetName is present, then PostOfficeBox is optional.

C15 ValueRule

If Type is not MRKT, then Value may not be expressed with UnknownIndicator.

21.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

21.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies a message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

21.4.2 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see ["AdditionalReference2"](#) on page 1491 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1491
	ReferenceIssuer <RefIssr>	[0..1]	±		1491
	MessageName <MsgNm>	[0..1]	Text		1492

21.4.3 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference2"](#) on page 1491 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1491
	ReferenceIssuer <RefIssr>	[0..1]	±		1491
	MessageName <MsgNm>	[0..1]	Text		1492

21.4.4 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see ["Pagination"](#) on page 1878 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		1879
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		1879

21.4.5 StatementToBeCancelled <StmntToBeCanc>

Presence: [0..1]

Definition: The Accounting Statement of Holdings message to cancel.

Impacted by: [C7 "BalanceForAccountOrSubAccountDetailsRule"](#)

StatementToBeCancelled <StmntToBeCanc> contains the following elements (see "AccountingStatementOfHoldings2" on page 1240 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StatementGeneralDetails <StmntGnlDtls>	[0..1]			1240
	Reference <Ref>	[1..1]	Text		1241
	StatementDateTime <StmntDtTm>	[1..1]	±		1241
	CreationDateTime <CreDtTm>	[0..1]	±		1241
	Frequency <Frqcy>	[1..1]	±		1242
	UpdateType <UpdTp>	[1..1]	±		1242
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1242
	StatementBasis <StmntBsis>	[1..1]	±		1242
	ReportNumber <RptNb>	[0..1]	Text		1242
	AuditedIndicator <AudtdInd>	[1..1]	Indicator		1243
	AccountDetails <AcctDtls>	[0..1]	±		1243
	BalanceForAccount <BalForAcct>	[0..*]	±	C1, C6	1243
	SubAccountDetails <SubAcctDtls>	[0..*]	±		1244
	TotalValues <TtlVals>	[0..1]	±		1245
	Extension <Xtnsn>	[0..*]	±	C16	1245

Constraints

- **BalanceForAccountOrSubAccountDetailsRule**

If StatementGeneralDetails/ActivityIndicator is "true" or "1" (Yes), then either BalanceForAccount or SubAccountDetails is mandatory. If StatementGeneralDetails/ActivityIndicator is "false" or "0" (No), then BalanceForAccount and SubAccountDetails may be present.

22 **semt.006.001.03**

StatementOfInvestmentFundTransactionsV03

22.1 **MessageDefinition Functionality**

Scope

An account servicer, for example, a transfer agent sends the StatementOfInvestmentFundTransactions message to the account owner, for example, an investment manager or its authorised representative to provide detailed transactions (increases and decreases) of holdings which occurred during a specified period of time.

Usage

The StatementOfInvestmentFundTransactions message is used to list the holdings transactions of a single (master) account or several sub-accounts.

This message should be used at a frequency agreed bi-laterally between the account servicer and the account owner.

This message must not be used in place of confirmation messages.

Outline

The StatementOfInvestmentFundTransactionsV03 MessageDefinition is composed of 9 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies a message from a business application standpoint.

B. PreviousReference

Reference to a linked message that was previously sent.

C. RelatedReference

Reference to a linked message that was previously received.

D. MessagePagination

Pagination of the message.

E. StatementGeneralDetails

General information related to the investment fund statement of transactions.

F. InvestmentAccountDetails

Information related to an investment account.

G. TransactionOnAccount

Creation/cancellation of investment units on the books of the fund or its designated agent, as a result of executing an investment fund order.

H. SubAccountDetails

The sub-account of the safekeeping or investment account.

I. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

22.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <StmntOfInvstmtFndTx>	[1..1]		C7	
	MessageIdentification <MsgId>	[1..1]	±		305
	PreviousReference <PrvsRef>	[0..*]	±		305
	RelatedReference <RltdRef>	[0..*]	±		305
	MessagePagination <MsgPgntn>	[1..1]	±		306
	StatementGeneralDetails <StmntGnlDtls>	[1..1]			306
	Reference <Ref>	[1..1]	Text		306
	StatementPeriod <StmntPrd>	[1..1]	±		307
	CreationDateTime <CreDtTm>	[0..1]	±		307
	Frequency <Frqcy>	[0..1]	CodeSet		307
	UpdateType <UpdTp>	[1..1]	CodeSet		308
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		308
	ReportNumber <RptNb>	[0..1]	Text		308
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]			308
	Identification <Id>	[1..1]	±		309
	Name <Nm>	[0..1]	Text		309
	Designation <Dsgnt>	[0..1]	Text		309
	IntermediaryInformation <IntrmyInf>	[0..10]			309
	Identification <Id>	[1..1]	±		310
	Account <Acct>	[0..1]	±		310
	Role <Role>	[0..1]	±		310
	AccountServicer <AcctSvcr>	[0..1]	±		310
	TransactionOnAccount <TxOnAcct>	[0..*]			311
	Identification <Id>	[1..1]	±		313
	Name <Nm>	[0..1]	Text		313
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		314
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		314
	ClassType <ClsTp>	[0..1]	Text		314
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		314
	TransactionDetails <TxDtls>	[1..*]		C9, C10	314

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	EventType <EvtTp>	[1..1]			315
{Or	TransactionType <TxTp>	[1..1]	±		316
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		316
	BookingStatus <BookgSts>	[0..1]	CodeSet		316
	MasterReference <MstrRef>	[0..1]	Text		316
	OrderReference <OrdrRef>	[0..1]	Text		317
	ClientReference <ClntRef>	[0..1]	Text		317
	DealReference <DealRef>	[0..1]	Text		317
	LegIdentification <LegId>	[0..1]	Text		317
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		317
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		317
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		317
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		317
	UnitsQuantity <UnitsQty>	[1..1]	±		318
	CreditDebit <CdtDbt>	[1..1]	CodeSet		318
	Reversal <Rvsl>	[0..1]	CodeSet		318
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	318
	SettlementDate <SttlmDt>	[0..1]	Date		319
	TradeDateTime <TradDtTm>	[1..1]	±		319
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		319
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		319
	PriceDetails <PricDtls>	[0..1]	±		320
	BalanceByPage <BalByPg>	[0..1]			320
	OpeningBalance <OpngBal>	[0..1]			320
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		320
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		321
	ClosingBalance <ClsgBal>	[0..1]			321
{Or	FinalClosingBalance <FnClsgBal>	[0..1]	±		321
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		321
	SubAccountDetails <SubAcctDtls>	[0..*]		C8	322
	Identification <Id>	[1..1]	±		324

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		324
	TransactionOnSubAccount <TxOnSubAcct>	[0..*]			325
	Identification <Id>	[1..1]	±		327
	Name <Nm>	[0..1]	Text		327
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		328
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		328
	ClassType <ClssTp>	[0..1]	Text		328
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		328
	TransactionDetails <TxDtls>	[1..*]		C9, C10	328
	EventType <EvtTp>	[1..1]			329
{Or	TransactionType <TxTp>	[1..1]	±		330
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		330
	BookingStatus <BookgSts>	[0..1]	CodeSet		330
	MasterReference <MstrRef>	[0..1]	Text		330
	OrderReference <OrdRef>	[0..1]	Text		331
	ClientReference <ClntRef>	[0..1]	Text		331
	DealReference <DealRef>	[0..1]	Text		331
	LegIdentification <LegId>	[0..1]	Text		331
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		331
	OrderDateTime <OrdDtTm>	[0..1]	DateTime		331
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		331
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		331
	UnitsQuantity <UnitsQty>	[1..1]	±		332
	CreditDebit <CdtDbt>	[1..1]	CodeSet		332
	Reversal <Rvsl>	[0..1]	CodeSet		332
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	332
	SettlementDate <SttlmDt>	[0..1]	Date		333
	TradeDateTime <TradDtTm>	[1..1]	±		333
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		333
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		333
	PriceDetails <PricDtls>	[0..1]	±		334

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	BalanceByPage <BalByPg>	[0..1]			334
	OpeningBalance <OpngBal>	[0..1]			334
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		334
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		335
	ClosingBalance <ClsgBal>	[0..1]			335
{Or	FinalClosingBalance <FnlClsgBal>	[0..1]	±		335
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		335
	Extension <Xtnsn>	[0..*]	±	C5	336

22.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C5 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C6 StreetNameAndOrPostOfficeBoxRule

If StreetName is not present, then PostOfficeBox is mandatory. If StreetName is present, then PostOfficeBox is optional.

C7 TransactionOnAccountOrSubAccountDetailsRule

If StatementGeneralDetails/ActivityIndicator is "true" or "1" (Yes), then either one or more occurrences of TransactionOnAccount or one or more occurrences of SubAccountDetails is mandatory. If StatementGeneralDetails/ActivityIndicator is "false" or "0" (No), then TransactionOnAccount and SubAccountDetails are not allowed.

This constraint is defined at the MessageDefinition level.

C8 TransactionOnSubAccountRule

If ActivityIndicator is "true" or "1" (Yes), then TransactionOnSubAccount must be present. If ActivityIndicator is "false" or "0" (No), then TransactionOnSubAccount is not allowed.

C9 TransactionTypeLegExecutionIdentificationRule

If TransactionType is SWII or SWIO, then LegExecutionIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegExecutionIdentification is not allowed.

C10 TransactionTypeLegIdentificationRule

If TransactionType is SWII or SWIO, then LegIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegIdentification is not allowed.

22.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

22.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies a message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

22.4.2 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference2](#)" on page 1491 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1491
	ReferenceIssuer <RefIssr>	[0..1]	±		1491
	MessageName <MsgNm>	[0..1]	Text		1492

22.4.3 RelatedReference <RltdRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "[AdditionalReference2](#)" on page 1491 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1491
	ReferenceIssuer <RefIssr>	[0..1]	±		1491
	MessageName <MsgNm>	[0..1]	Text		1492

22.4.4 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see "[Pagination](#)" on page 1878 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		1879
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		1879

22.4.5 StatementGeneralDetails <StmntGnlDtls>

Presence: [1..1]

Definition: General information related to the investment fund statement of transactions.

StatementGeneralDetails <StmntGnlDtls> contains the following **Statement8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		306
	StatementPeriod <StmntPrd>	[1..1]	±		307
	CreationDateTime <CreDtTm>	[0..1]	±		307
	Frequency <Frqcy>	[0..1]	CodeSet		307
	UpdateType <UpdTp>	[1..1]	CodeSet		308
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		308
	ReportNumber <RptNb>	[0..1]	Text		308

22.4.5.1 Reference <Ref>

Presence: [1..1]

Definition: Reference of the statement.

Datatype: "[Max35Text](#)" on page 2683

22.4.5.2 StatementPeriod <StmtPrd>*Presence:* [1..1]*Definition:* Period on which the statement is reporting.**StatementPeriod <StmtPrd>** contains the following elements (see ["DatePeriodDetails"](#) on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		1375
	ToDate <ToDt>	[1..1]	Date		1375

22.4.5.3 CreationDateTime <CreDtTm>*Presence:* [0..1]*Definition:* Creation date of the statement.**CreationDateTime <CreDtTm>** contains one of the following elements (see ["DateAndDateTimeChoice"](#) on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

22.4.5.4 Frequency <Frqcy>*Presence:* [0..1]*Definition:* Frequency of the statement.*Datatype:* ["EventFrequency1Code"](#) on page 2575

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
MNTH	Monthly	Event takes place every month or once a month.
TWMN	TwiceAMonth	Event takes place two times a month.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.
INDA	IntraDay	Event takes place several times a day.

CodeName	Name	Definition
OVNG	Overnight	Event takes place overnight.
ONDE	OnDemand	Event takes place on demand.

22.4.5.5 UpdateType <UpdTp>

Presence: [1..1]

Definition: Specifies if the statement is complete or only contains changes.

Datatype: "StatementUpdateTypeCode" on page 2644

CodeName	Name	Definition
COMP	Complete	Statement is complete.
DELT	Delta	Statement contains changes only.

22.4.5.6 ActivityIndicator <ActvtyInd>

Presence: [1..1]

Definition: Indicates whether there is activity reported in the statement.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

22.4.5.7 ReportNumber <RptNb>

Presence: [0..1]

Definition: Sequential number of the statement.

Datatype: "Max5NumericText" on page 2684

22.4.6 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [1..1]

Definition: Information related to an investment account.

InvestmentAccountDetails <InvstmtAcctDtls> contains the following **InvestmentAccount43** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		309
	Name <Nm>	[0..1]	Text		309
	Designation <Dsgnt>	[0..1]	Text		309
	IntermediaryInformation <IntrmyInf>	[0..10]			309
	Identification <Id>	[1..1]	±		310
	Account <Acct>	[0..1]	±		310
	Role <Role>	[0..1]	±		310
	AccountServicer <AcctSvcr>	[0..1]	±		310

22.4.6.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains the following elements (see ["AccountIdentification1"](#) on page 1228 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]	±		1229

22.4.6.2 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: ["Max35Text"](#) on page 2683

22.4.6.3 Designation <Dsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: ["Max35Text"](#) on page 2683

22.4.6.4 IntermediaryInformation <IntrmyInf>

Presence: [0..10]

Definition: Party that provides services relating to financial products to investors, for example, advice on products and placement of orders for the investment fund.

IntermediaryInformation <IntrmyInf> contains the following **Intermediary27** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		310
	Account <Acct>	[0..1]	±		310
	Role <Role>	[0..1]	±		310

22.4.6.4.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of the intermediary.

Identification <Id> contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 1896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	1897
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1897
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1897

22.4.6.4.2 Account <Acct>

Presence: [0..1]

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

Account <Acct> contains the following elements (see "[Account7](#)" on page 1216 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1216
	AccountServicer <AcctSvcr>	[0..1]	±		1217

22.4.6.4.3 Role <Role>

Presence: [0..1]

Definition: Function performed by the intermediary.

Role <Role> contains one of the following elements (see "[Role4Choice](#)" on page 2038 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2039
Or}	Proprietary <Prtry>	[1..1]	±		2040

22.4.6.5 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 1896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C7	1897
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1897
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1897

22.4.7 TransactionOnAccount <TxOnAcct>

Presence: [0..*]

Definition: Creation/cancellation of investment units on the books of the fund or its designated agent, as a result of executing an investment fund order.

TransactionOnAccount <TxOnAcct> contains the following **InvestmentFundTransactionsByFund3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		313
	Name <Nm>	[0..1]	Text		313
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		314
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		314
	ClassType <ClsTp>	[0..1]	Text		314
	DistributionPolicy <DstrbtpPlcy>	[0..1]	CodeSet		314
	TransactionDetails <TxDtls>	[1..*]		C9, C10	314
	EventType <EvtTp>	[1..1]			315
{Or	TransactionType <TxTp>	[1..1]	±		316
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		316
	BookingStatus <BookgSts>	[0..1]	CodeSet		316
	MasterReference <MstrRef>	[0..1]	Text		316
	OrderReference <OrdrRef>	[0..1]	Text		317
	ClientReference <ClntRef>	[0..1]	Text		317
	DealReference <DealRef>	[0..1]	Text		317
	LegIdentification <LegId>	[0..1]	Text		317
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		317
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		317
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		317
	RegisteredTransactionIndicator <RegdTxDInd>	[1..1]	Indicator		317
	UnitsQuantity <UnitsQty>	[1..1]	±		318
	CreditDebit <CdtDbt>	[1..1]	CodeSet		318
	Reversal <Rvs/>	[0..1]	CodeSet		318
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	318
	SettlementDate <SttlmDt>	[0..1]	Date		319
	TradeDateTime <TradDtTm>	[1..1]	±		319
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		319
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		319
	PriceDetails <PricDtls>	[0..1]	±		320
	BalanceByPage <BalByPg>	[0..1]			320

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OpeningBalance <OpngBal>	[0..1]			320
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		320
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		321
	ClosingBalance <ClsgBal>	[0..1]			321
{Or	FinalClosingBalance <FnlClsgBal>	[0..1]	±		321
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		321

22.4.7.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security by an ISIN.

Identification <Id> contains one of the following elements (see "[SecurityIdentification3Choice](#)" on page 2273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		2274
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		2274
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		2274
Or	RIC <RIC>	[1..1]	IdentifierSet		2275
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		2275
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		2275
Or	CTA <CTA>	[1..1]	IdentifierSet		2275
Or	QUICK <QUICK>	[1..1]	IdentifierSet		2275
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		2275
Or	Dutch <Dtch>	[1..1]	IdentifierSet		2275
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		2276
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		2276
Or	Belgian <Belgn>	[1..1]	IdentifierSet		2276
Or	Common <Cmon>	[1..1]	IdentifierSet		2276
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]	±		2276

22.4.7.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "[Max350Text](#)" on page 2683

22.4.7.3 SupplementaryIdentification <SplmtryId>*Presence:* [0..1]*Definition:* Additional information about a financial instrument to help identify the instrument.*Datatype:* "Max35Text" on page 2683**22.4.7.4 SecuritiesForm <SctiesForm>***Presence:* [0..1]*Definition:* Form, that is, ownership, of the security, for example, registered or bearer.*Datatype:* "FormOfSecurity1Code" on page 2580

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

22.4.7.5 ClassType <ClsTp>*Presence:* [0..1]*Definition:* Features of units offered by a fund. For example, a unit may have a specific load structure, for example, front end or back end, an income policy, for example, pay out or accumulate, or a trailer policy, eg, with or without. Fund classes are typically denoted by a single character, for example, 'Class A', 'Class 2'.*Datatype:* "Max35Text" on page 2683**22.4.7.6 DistributionPolicy <DstrbtnPlcy>***Presence:* [0..1]*Definition:* Income policy relating to a class type, that is, if income is paid out or retained in the fund.*Datatype:* "DistributionPolicy1Code" on page 2571

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

22.4.7.7 TransactionDetails <TxDtIs>*Presence:* [1..*]*Definition:* Process of buying, selling, switching or transferring fund units.*Impacted by:* C9 "TransactionTypeLegExecutionIdentificationRule", C10 "TransactionTypeLegIdentificationRule"

TransactionDetails <TxDtIs> contains the following **InvestmentFundTransaction4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventType <EvtTp>	[1..1]			315
{Or	TransactionType <TxTp>	[1..1]	±		316
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		316
	BookingStatus <BookgSts>	[0..1]	CodeSet		316
	MasterReference <MstrRef>	[0..1]	Text		316
	OrderReference <OrdrRef>	[0..1]	Text		317
	ClientReference <ClntRef>	[0..1]	Text		317
	DealReference <DealRef>	[0..1]	Text		317
	LegIdentification <LegId>	[0..1]	Text		317
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		317
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		317
	SettledTransactionIndicator <StldTxInd>	[1..1]	Indicator		317
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		317
	UnitsQuantity <UnitsQty>	[1..1]	±		318
	CreditDebit <CdtDbt>	[1..1]	CodeSet		318
	Reversal <Rvsl>	[0..1]	CodeSet		318
	SettlementAmount <StlmlAmt>	[0..1]	Amount	C1, C4	318
	SettlementDate <StlmlDt>	[0..1]	Date		319
	TradeDateTime <TradDtTm>	[1..1]	±		319
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		319
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		319
	PriceDetails <PricDtIs>	[0..1]	±		320

Constraints

- **TransactionTypeLegExecutionIdentificationRule**

If TransactionType is SWII or SWIO, then LegExecutionIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegExecutionIdentification is not allowed.

- **TransactionTypeLegIdentificationRule**

If TransactionType is SWII or SWIO, then LegIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegIdentification is not allowed.

22.4.7.7.1 EventType <EvtTp>

Presence: [1..1]

Definition: Underlying transaction or corporate action.

EventType <EvtTp> contains one of the following **TransactionType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TransactionType <TxTp>	[1..1]	±		316
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		316

22.4.7.7.1.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Type of investment fund transaction.

TransactionType <TxTp> contains one of the following elements (see ["TransactionType2Choice"](#) on page 2464 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2464
Or}	Proprietary <Prtry>	[1..1]	±		2465

22.4.7.7.1.2 CorporateActionType <CorpActnTp>

Presence: [1..1]

Definition: Type of corporate action event.

CorporateActionType <CorpActnTp> contains one of the following elements (see ["CorporateAction1Choice"](#) on page 2467 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2467
Or}	Proprietary <Prtry>	[1..1]	±		2469

22.4.7.7.2 BookingStatus <BookgSts>

Presence: [0..1]

Definition: Status of an investment fund transaction.

Datatype: ["TransactionStatus1Code"](#) on page 2657

CodeName	Name	Definition
RVSL	Reversal	Transaction has been reversed.
REBO	Rebooked	Transaction has been rebooked.
CANC	Cancelled	Transaction has been cancelled.

22.4.7.7.3 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "Max35Text" on page 2683

22.4.7.7.4 OrderReference <OrdrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for an order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

22.4.7.7.5 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of an order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2683

22.4.7.7.6 DealReference <DealRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for an order execution, as assigned by a confirming party.

Datatype: "Max35Text" on page 2683

22.4.7.7.7 LegIdentification <LegId>

Presence: [0..1]

Definition: Unique technical identifier for an instance of a leg within a switch.

Datatype: "Max35Text" on page 2683

22.4.7.7.8 LegExecutionIdentification <LegExctnId>

Presence: [0..1]

Definition: Unique identifier for an instance of a leg execution within a switch confirmation.

Datatype: "Max35Text" on page 2683

22.4.7.7.9 OrderDateTime <OrdrDtTm>

Presence: [0..1]

Definition: Date and time at which the order was placed by the investor or its agent.

Datatype: "ISODateTime" on page 2669

22.4.7.7.10 SettledTransactionIndicator <SttldTxInd>

Presence: [1..1]

Definition: Indicates whether the cash payment with respect to the executed order is settled.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

22.4.7.7.11 RegisteredTransactionIndicator <RegdTxDInd>

Presence: [1..1]

Definition: Indicates whether the executed order has a registered status on the books of the transfer agent.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

22.4.7.7.12 UnitsQuantity <UnitsQty>

Presence: [1..1]

Definition: Number of investment funds units.

UnitsQuantity <UnitsQty> contains the following elements (see ["FinancialInstrumentQuantity1"](#) on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

22.4.7.7.13 CreditDebit <CdtDbt>

Presence: [1..1]

Definition: Direction of the transaction being reported, is, securities are received (credited) or delivered (debited).

Datatype: ["CreditDebitCode"](#) on page 2568

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

22.4.7.7.14 Reversal <Rvsl>

Presence: [0..1]

Definition: Transaction being reported is a reversal of previously reported transaction.

Datatype: ["ReversalCode"](#) on page 2635

CodeName	Name	Definition
RVCD	ReversalCredit	Debit entry used to reverse a previously booked credit entry.
RVDB	ReversalDebit	Credit entry used to reverse a previously booked debit entry.

22.4.7.7.15 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Amount of money to be moved between the debtor and creditor, before deduction of charges, expressed in the currency as ordered by the initiating party.

Impacted by: C1 ["ActiveCurrency"](#), C4 ["CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

22.4.7.7.16 SettlementDate <SttlmDt>

Presence: [0..1]

Definition: Date on which the debtor expects the amount of money to be available to the creditor.

Datatype: "ISODate" on page 2668

22.4.7.7.17 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and time at which a price is applied, according to the terms stated in the prospectus.

TradeDateTime <TradDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

22.4.7.7.18 CumDividendIndicator <CumDvddInd>

Presence: [1..1]

Definition: Indicates whether the dividend is included, that is, cum-dividend, in the executed price. When the dividend is not included, the price will be ex-dividend.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

22.4.7.7.19 PartiallyExecutedIndicator <PrtlyExctdInd>

Presence: [1..1]

Definition: Indicates whether the order has been partially executed, that is, the confirmed quantity does not match the ordered quantity for a given financial instrument.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

22.4.7.7.20 PriceDetails <PricDtls>*Presence:* [0..1]*Definition:* Price at which the order was executed.**PriceDetails <PricDtls>** contains the following elements (see "UnitPrice20" on page 2237 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceType <PricTp>	[1..1]			2238
{Or	Code <Cd>	[1..1]	CodeSet		2238
Or}	Proprietary <Prtry>	[1..1]	±		2239
	Value <Val>	[1..1]	±		2239
	PriceMethod <PricMtd>	[0..1]	CodeSet		2239

22.4.7.8 BalanceByPage <BalByPg>*Presence:* [0..1]*Definition:* Balance of the financial instrument for this specific statement page.**BalanceByPage <BalByPg>** contains the following **PaginationBalance2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OpeningBalance <OpngBal>	[0..1]			320
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		320
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		321
	ClosingBalance <ClsgBal>	[0..1]			321
{Or	FinalClosingBalance <FnlClsgBal>	[0..1]	±		321
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		321

22.4.7.8.1 OpeningBalance <OpngBal>*Presence:* [0..1]*Definition:* Opening balance of the financial instrument in the statement or of the intermediary opening balance of the page of the statement.**OpeningBalance <OpngBal>** contains one of the following **OpeningBalance3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		320
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		321

22.4.7.8.1.1 FirstOpeningBalance <FrstOpngBal>*Presence:* [1..1]*Definition:* Opening balance of the financial instrument in the statement.

FirstOpeningBalance <FrstOpngBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

22.4.7.8.1.2 IntermediaryOpeningBalance <IntrmyOpngBal>

Presence: [1..1]

Definition: Opening balance of this page only. It must be the intermediary closing balance of the previous page (part of the same statement).

IntermediaryOpeningBalance <IntrmyOpngBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

22.4.7.8.2 ClosingBalance <ClsgBal>

Presence: [0..1]

Definition: Closing balance of the financial instrument in the statement or of the intermediary closing balance of the page of the statement.

ClosingBalance <ClsgBal> contains one of the following **ClosingBalance3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FinalClosingBalance <FnIclsgBal>	[0..1]	±		321
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		321

22.4.7.8.2.1 FinalClosingBalance <FnIclsgBal>

Presence: [0..1]

Definition: Closing balance of the financial instrument in the statement.

FinalClosingBalance <FnIclsgBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

22.4.7.8.2.2 IntermediaryClosingBalance <IntrmyClsgBal>

Presence: [0..1]

Definition: Closing Balance of this page only. Must be the intermediary opening balance of the next page (part of the same statement).

IntermediaryClosingBalance <IntrmyClsgBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

22.4.8 SubAccountDetails <SubAcctDtls>

Presence: [0..*]

Definition: The sub-account of the safekeeping or investment account.

Impacted by: C8 "TransactionOnSubAccountRule"

SubAccountDetails <SubAcctDtls> contains the following **SubAccountIdentification36** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		324
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		324
	TransactionOnSubAccount <TxOnSubAcct>	[0..*]			325
	Identification <Id>	[1..1]	±		327
	Name <Nm>	[0..1]	Text		327
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		328
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		328
	ClassType <ClassTp>	[0..1]	Text		328
	DistributionPolicy <DstrbtpPlcy>	[0..1]	CodeSet		328
	TransactionDetails <TxDtls>	[1..*]		C9, C10	328
	EventType <EvtTp>	[1..1]			329
{Or	TransactionType <TxTp>	[1..1]	±		330
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		330
	BookingStatus <BookgSts>	[0..1]	CodeSet		330
	MasterReference <MstrRef>	[0..1]	Text		330
	OrderReference <OrdrRef>	[0..1]	Text		331
	ClientReference <ClntRef>	[0..1]	Text		331
	DealReference <DealRef>	[0..1]	Text		331
	LegIdentification <LegId>	[0..1]	Text		331
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		331
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		331
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		331
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		331
	UnitsQuantity <UnitsQty>	[1..1]	±		332
	CreditDebit <CdtDbt>	[1..1]	CodeSet		332
	Reversal <Rvsl>	[0..1]	CodeSet		332
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	332
	SettlementDate <SttlmDt>	[0..1]	Date		333
	TradeDateTime <TradDtTm>	[1..1]	±		333
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		333
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		333

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceDetails <PricDtls>	[0..1]	±		334
	BalanceByPage <BalByPg>	[0..1]			334
	OpeningBalance <OpngBal>	[0..1]			334
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		334
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		335
	ClosingBalance <ClsgBal>	[0..1]			335
{Or	FinalClosingBalance <FnlClsgBal>	[0..1]	±		335
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		335

Constraints

- **TransactionOnSubAccountRule**

If ActivityIndicator is "true" or "1" (Yes), then TransactionOnSubAccount must be present. If ActivityIndicator is "false" or "0" (No), then TransactionOnSubAccount is not allowed.

22.4.8.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains one of the following elements (see "AccountIdentificationFormatChoice" on page 1225 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SimpleIdentification <SmplId>	[1..1]	±		1225
Or	IdentificationAndPurpose <IdAndPurp>	[1..1]			1226
	Identification <Id>	[1..1]	±		1226
	Purpose <Purp>	[1..1]	CodeSet		1226
Or}	IdentificationAsDSS <IdAsDSS>	[1..1]	±		1227

22.4.8.2 ActivityIndicator <ActvtyInd>

Presence: [1..1]

Definition: Indicates whether there is activity reported in the statement.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

22.4.8.3 TransactionOnSubAccount <TxOnSubAcct>

Presence: [0..*]

Definition: Creation/cancellation of investment units on the books of the fund or its designated agent, as a result of executing an investment fund order.

TransactionOnSubAccount <TxOnSubAcct> contains the following
InvestmentFundTransactionsByFund3 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		327
	Name <Nm>	[0..1]	Text		327
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		328
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		328
	ClassType <ClsTp>	[0..1]	Text		328
	DistributionPolicy <DstrbtpPlcy>	[0..1]	CodeSet		328
	TransactionDetails <TxDtls>	[1..*]		C9, C10	328
	EventType <EvtTp>	[1..1]			329
{Or	TransactionType <TxTp>	[1..1]	±		330
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		330
	BookingStatus <BookgSts>	[0..1]	CodeSet		330
	MasterReference <MstrRef>	[0..1]	Text		330
	OrderReference <OrdrRef>	[0..1]	Text		331
	ClientReference <ClntRef>	[0..1]	Text		331
	DealReference <DealRef>	[0..1]	Text		331
	LegIdentification <LegId>	[0..1]	Text		331
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		331
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		331
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		331
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		331
	UnitsQuantity <UnitsQty>	[1..1]	±		332
	CreditDebit <CdtDbt>	[1..1]	CodeSet		332
	Reversal <Rvs/>	[0..1]	CodeSet		332
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	332
	SettlementDate <SttlmDt>	[0..1]	Date		333
	TradeDateTime <TradDtTm>	[1..1]	±		333
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		333
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		333
	PriceDetails <PricDtls>	[0..1]	±		334
	BalanceByPage <BalByPg>	[0..1]			334

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OpeningBalance <OpngBal>	[0..1]			334
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		334
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		335
	ClosingBalance <ClsgBal>	[0..1]			335
{Or	FinalClosingBalance <FnClsgBal>	[0..1]	±		335
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		335

22.4.8.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security by an ISIN.

Identification <Id> contains one of the following elements (see "SecurityIdentification3Choice" on page 2273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		2274
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		2274
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		2274
Or	RIC <RIC>	[1..1]	IdentifierSet		2275
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		2275
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		2275
Or	CTA <CTA>	[1..1]	IdentifierSet		2275
Or	QUICK <QUICK>	[1..1]	IdentifierSet		2275
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		2275
Or	Dutch <Dtch>	[1..1]	IdentifierSet		2275
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		2276
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		2276
Or	Belgian <Belgn>	[1..1]	IdentifierSet		2276
Or	Common <Cmon>	[1..1]	IdentifierSet		2276
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]	±		2276

22.4.8.3.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "Max350Text" on page 2683

22.4.8.3.3 SupplementaryIdentification <SplmtryId>*Presence:* [0..1]*Definition:* Additional information about a financial instrument to help identify the instrument.*Datatype:* "Max35Text" on page 2683**22.4.8.3.4 SecuritiesForm <SctiesForm>***Presence:* [0..1]*Definition:* Form, that is, ownership, of the security, for example, registered or bearer.*Datatype:* "FormOfSecurity1Code" on page 2580

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

22.4.8.3.5 ClassType <ClsTp>*Presence:* [0..1]*Definition:* Features of units offered by a fund. For example, a unit may have a specific load structure, for example, front end or back end, an income policy, for example, pay out or accumulate, or a trailer policy, eg, with or without. Fund classes are typically denoted by a single character, for example, 'Class A', 'Class 2'.*Datatype:* "Max35Text" on page 2683**22.4.8.3.6 DistributionPolicy <DstrbtnPlcy>***Presence:* [0..1]*Definition:* Income policy relating to a class type, that is, if income is paid out or retained in the fund.*Datatype:* "DistributionPolicy1Code" on page 2571

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

22.4.8.3.7 TransactionDetails <TxDtIs>*Presence:* [1..*]*Definition:* Process of buying, selling, switching or transferring fund units.*Impacted by:* C9 "TransactionTypeLegExecutionIdentificationRule", C10 "TransactionTypeLegIdentificationRule"

TransactionDetails <TxDtIs> contains the following **InvestmentFundTransaction4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventType <EvtTp>	[1..1]			329
{Or	TransactionType <TxTp>	[1..1]	±		330
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		330
	BookingStatus <BookgSts>	[0..1]	CodeSet		330
	MasterReference <MstrRef>	[0..1]	Text		330
	OrderReference <OrdrRef>	[0..1]	Text		331
	ClientReference <ClntRef>	[0..1]	Text		331
	DealReference <DealRef>	[0..1]	Text		331
	LegIdentification <LegId>	[0..1]	Text		331
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		331
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		331
	SettledTransactionIndicator <StldTxInd>	[1..1]	Indicator		331
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		331
	UnitsQuantity <UnitsQty>	[1..1]	±		332
	CreditDebit <CdtDbt>	[1..1]	CodeSet		332
	Reversal <Rvsl>	[0..1]	CodeSet		332
	SettlementAmount <StlmlAmt>	[0..1]	Amount	C1, C4	332
	SettlementDate <StlmlDt>	[0..1]	Date		333
	TradeDateTime <TradDtTm>	[1..1]	±		333
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		333
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		333
	PriceDetails <PricDtIs>	[0..1]	±		334

Constraints

- **TransactionTypeLegExecutionIdentificationRule**

If TransactionType is SWII or SWIO, then LegExecutionIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegExecutionIdentification is not allowed.

- **TransactionTypeLegIdentificationRule**

If TransactionType is SWII or SWIO, then LegIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegIdentification is not allowed.

22.4.8.3.7.1 EventType <EvtTp>

Presence: [1..1]

Definition: Underlying transaction or corporate action.

EventType <EvtTp> contains one of the following **TransactionType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TransactionType <TxTp>	[1..1]	±		330
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		330

22.4.8.3.7.1.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Type of investment fund transaction.

TransactionType <TxTp> contains one of the following elements (see ["TransactionType2Choice"](#) on page 2464 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2464
Or}	Proprietary <Prtry>	[1..1]	±		2465

22.4.8.3.7.1.2 CorporateActionType <CorpActnTp>

Presence: [1..1]

Definition: Type of corporate action event.

CorporateActionType <CorpActnTp> contains one of the following elements (see ["CorporateAction1Choice"](#) on page 2467 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2467
Or}	Proprietary <Prtry>	[1..1]	±		2469

22.4.8.3.7.2 BookingStatus <BookgSts>

Presence: [0..1]

Definition: Status of an investment fund transaction.

Datatype: ["TransactionStatus1Code"](#) on page 2657

CodeName	Name	Definition
RVSL	Reversal	Transaction has been reversed.
REBO	Rebooked	Transaction has been rebooked.
CANC	Cancelled	Transaction has been cancelled.

22.4.8.3.7.3 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "Max35Text" on page 2683

22.4.8.3.7.4 OrderReference <OrdRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for an order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

22.4.8.3.7.5 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of an order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2683

22.4.8.3.7.6 DealReference <DealRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for an order execution, as assigned by a confirming party.

Datatype: "Max35Text" on page 2683

22.4.8.3.7.7 LegIdentification <LegId>

Presence: [0..1]

Definition: Unique technical identifier for an instance of a leg within a switch.

Datatype: "Max35Text" on page 2683

22.4.8.3.7.8 LegExecutionIdentification <LegExctnId>

Presence: [0..1]

Definition: Unique identifier for an instance of a leg execution within a switch confirmation.

Datatype: "Max35Text" on page 2683

22.4.8.3.7.9 OrderDateTime <OrdDtTm>

Presence: [0..1]

Definition: Date and time at which the order was placed by the investor or its agent.

Datatype: "ISODateTime" on page 2669

22.4.8.3.7.10 SettledTransactionIndicator <SttldTxInd>

Presence: [1..1]

Definition: Indicates whether the cash payment with respect to the executed order is settled.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

22.4.8.3.7.11 RegisteredTransactionIndicator <RegdTxDInd>

Presence: [1..1]

Definition: Indicates whether the executed order has a registered status on the books of the transfer agent.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

22.4.8.3.7.12 UnitsQuantity <UnitsQty>

Presence: [1..1]

Definition: Number of investment funds units.

UnitsQuantity <UnitsQty> contains the following elements (see ["FinancialInstrumentQuantity1"](#) on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

22.4.8.3.7.13 CreditDebit <CdtDbt>

Presence: [1..1]

Definition: Direction of the transaction being reported, is, securities are received (credited) or delivered (debited).

Datatype: ["CreditDebitCode"](#) on page 2568

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

22.4.8.3.7.14 Reversal <Rvsl>

Presence: [0..1]

Definition: Transaction being reported is a reversal of previously reported transaction.

Datatype: ["ReversalCode"](#) on page 2635

CodeName	Name	Definition
RVCD	ReversalCredit	Debit entry used to reverse a previously booked credit entry.
RVDB	ReversalDebit	Credit entry used to reverse a previously booked debit entry.

22.4.8.3.7.15 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Amount of money to be moved between the debtor and creditor, before deduction of charges, expressed in the currency as ordered by the initiating party.

Impacted by: [C1 "ActiveCurrency"](#), [C4 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

22.4.8.3.7.16 SettlementDate <SttlmDt>

Presence: [0..1]

Definition: Date on which the debtor expects the amount of money to be available to the creditor.

Datatype: "ISODate" on page 2668

22.4.8.3.7.17 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and time at which a price is applied, according to the terms stated in the prospectus.

TradeDateTime <TradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

22.4.8.3.7.18 CumDividendIndicator <CumDvddInd>

Presence: [1..1]

Definition: Indicates whether the dividend is included, that is, cum-dividend, in the executed price. When the dividend is not included, the price will be ex-dividend.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

22.4.8.3.7.19 PartiallyExecutedIndicator <PrtlyExctdInd>

Presence: [1..1]

Definition: Indicates whether the order has been partially executed, that is, the confirmed quantity does not match the ordered quantity for a given financial instrument.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

22.4.8.3.7.20 PriceDetails <PricDtls>*Presence:* [0..1]*Definition:* Price at which the order was executed.**PriceDetails <PricDtls>** contains the following elements (see "UnitPrice20" on page 2237 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceType <PricTp>	[1..1]			2238
{Or	Code <Cd>	[1..1]	CodeSet		2238
Or}	Proprietary <Prtry>	[1..1]	±		2239
	Value <Val>	[1..1]	±		2239
	PriceMethod <PricMtd>	[0..1]	CodeSet		2239

22.4.8.3.8 BalanceByPage <BalByPg>*Presence:* [0..1]*Definition:* Balance of the financial instrument for this specific statement page.**BalanceByPage <BalByPg>** contains the following **PaginationBalance2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OpeningBalance <OpngBal>	[0..1]			334
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		334
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		335
	ClosingBalance <ClsgBal>	[0..1]			335
{Or	FinalClosingBalance <FnlClsgBal>	[0..1]	±		335
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		335

22.4.8.3.8.1 OpeningBalance <OpngBal>*Presence:* [0..1]*Definition:* Opening balance of the financial instrument in the statement or of the intermediary opening balance of the page of the statement.**OpeningBalance <OpngBal>** contains one of the following **OpeningBalance3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		334
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		335

22.4.8.3.8.1.1 FirstOpeningBalance <FrstOpngBal>*Presence:* [1..1]*Definition:* Opening balance of the financial instrument in the statement.

FirstOpeningBalance <FrstOpngBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

22.4.8.3.8.1.2 IntermediaryOpeningBalance <IntrmyOpngBal>

Presence: [1..1]

Definition: Opening balance of this page only. It must be the intermediary closing balance of the previous page (part of the same statement).

IntermediaryOpeningBalance <IntrmyOpngBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

22.4.8.3.8.2 ClosingBalance <ClsgBal>

Presence: [0..1]

Definition: Closing balance of the financial instrument in the statement or of the intermediary closing balance of the page of the statement.

ClosingBalance <ClsgBal> contains one of the following **ClosingBalance3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FinalClosingBalance <FnIclsgBal>	[0..1]	±		335
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		335

22.4.8.3.8.2.1 FinalClosingBalance <FnIclsgBal>

Presence: [0..1]

Definition: Closing balance of the financial instrument in the statement.

FinalClosingBalance <FnIclsgBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

22.4.8.3.8.2.2 IntermediaryClosingBalance <IntrmyClsgBal>

Presence: [0..1]

Definition: Closing Balance of this page only. Must be the intermediary opening balance of the next page (part of the same statement).

IntermediaryClosingBalance <IntrmyClsgBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

22.4.9 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C5 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

23 **semt.007.001.03** **StatementOfInvestmentFundTransactionsCancellationV03**

23.1 **MessageDefinition Functionality**

Scope

An account servicer, for example, a transfer agent sends the StatementOfInvestmentFundTransactionsCancellation message to the account owner, for example, an investment manager or its authorised representative to cancel a previously sent StatementOfInvestmentFundTransactions message.

Usage

The StatementOfInvestmentFundTransactionsCancellation message is used to cancel a previously sent StatementOfInvestmentFundTransactions message. This message must contain the reference of the message to be cancelled.

This message may also contain all the details of the message to be cancelled, but this is not recommended.

Outline

The StatementOfInvestmentFundTransactionsCancellationV03 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies a message from a business application standpoint.

B. PreviousReference

Reference to a linked message that was previously sent.

C. RelatedReference

Reference to a linked message that was previously received.

D. MessagePagination

Pagination of the message.

E. StatementToBeCancelled

The Statement of Investment Fund Transactions message to cancel.

23.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <StmntOfInvstmtFndTxscxl>	[1..1]			
	MessageIdentification <Msgld>	[1..1]	±		342
	PreviousReference <PrvsRef>	[0..1]	±		342
	RelatedReference <RltdRef>	[0..1]	±		342
	MessagePagination <MsgPgntn>	[1..1]	±		343
	StatementToBeCancelled <StmntToBeCanc>	[0..1]		C7	343
	StatementGeneralDetails <StmntGnlDtls>	[0..1]			347
	Reference <Ref>	[1..1]	Text		347
	StatementPeriod <StmntPrd>	[1..1]	±		347
	CreationDateTime <CreDtTm>	[0..1]	±		348
	Frequency <Frqcy>	[0..1]	CodeSet		348
	UpdateType <UpdTp>	[1..1]	CodeSet		349
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		349
	ReportNumber <RptNb>	[0..1]	Text		349
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]			349
	Identification <Id>	[1..1]	±		349
	Name <Nm>	[0..1]	Text		350
	Designation <Dsgnt>	[0..1]	Text		350
	IntermediaryInformation <IntrmyInf>	[0..10]			350
	Identification <Id>	[1..1]	±		350
	Account <Acct>	[0..1]	±		351
	Role <Role>	[0..1]	±		351
	AccountServicer <AcctSvcr>	[0..1]	±		351
	TransactionOnAccount <TxOnAcct>	[0..*]			352
	Identification <Id>	[1..1]	±		354
	Name <Nm>	[0..1]	Text		354
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		355
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		355
	ClassType <ClsTp>	[0..1]	Text		355
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		355

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionDetails <TxDtls>	[1..*]		C9, C10	355
	EventType <EvtTp>	[1..1]			356
{Or	TransactionType <TxTp>	[1..1]	±		357
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		357
	BookingStatus <BookgSts>	[0..1]	CodeSet		357
	MasterReference <MstrRef>	[0..1]	Text		357
	OrderReference <OrdRef>	[0..1]	Text		358
	ClientReference <ClntRef>	[0..1]	Text		358
	DealReference <DealRef>	[0..1]	Text		358
	LegIdentification <LegId>	[0..1]	Text		358
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		358
	OrderDateTime <OrdDtTm>	[0..1]	DateTime		358
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		358
	RegisteredTransactionIndicator <RegdTxDInd>	[1..1]	Indicator		358
	UnitsQuantity <UnitsQty>	[1..1]	±		359
	CreditDebit <CdtDbt>	[1..1]	CodeSet		359
	Reversal <Rvsl>	[0..1]	CodeSet		359
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	359
	SettlementDate <SttlmDt>	[0..1]	Date		360
	TradeDateTime <TradDtTm>	[1..1]	±		360
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		360
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		360
	PriceDetails <PricDtls>	[0..1]	±		361
	BalanceByPage <BalByPg>	[0..1]			361
	OpeningBalance <OpngBal>	[0..1]			361
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		361
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		362
	ClosingBalance <ClsgBal>	[0..1]			362
{Or	FinalClosingBalance <FnlClsgBal>	[0..1]	±		362
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		362
	SubAccountDetails <SubAcctDtls>	[0..*]		C8	363

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		365
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		365
	TransactionOnSubAccount <TxOnSubAcct>	[0..*]			365
	Identification <Id>	[1..1]	±		368
	Name <Nm>	[0..1]	Text		368
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		369
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		369
	ClassType <Clsstyp>	[0..1]	Text		369
	DistributionPolicy <Dstrbtply>	[0..1]	CodeSet		369
	TransactionDetails <TxDtls>	[1..*]		C9, C10	369
	EventType <EvtTp>	[1..1]			370
{Or	TransactionType <TxTp>	[1..1]	±		371
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		371
	BookingStatus <Bookgsts>	[0..1]	CodeSet		371
	MasterReference <MstrRef>	[0..1]	Text		371
	OrderReference <OrdRef>	[0..1]	Text		372
	ClientReference <ClntRef>	[0..1]	Text		372
	DealReference <DealRef>	[0..1]	Text		372
	LegIdentification <LegId>	[0..1]	Text		372
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		372
	OrderDateTime <OrdDtTm>	[0..1]	DateTime		372
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		372
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		372
	UnitsQuantity <UnitsQty>	[1..1]	±		373
	CreditDebit <CdtDbt>	[1..1]	CodeSet		373
	Reversal <Rvsl>	[0..1]	CodeSet		373
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	373
	SettlementDate <SttlmDt>	[0..1]	Date		374
	TradeDateTime <TradDtTm>	[1..1]	±		374
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		374
	PartiallyExecutedIndicator <PrtylExctdInd>	[1..1]	Indicator		374

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceDetails <PricDtls>	[0..1]	±		375
	BalanceByPage <BalByPg>	[0..1]			375
	OpeningBalance <OpngBal>	[0..1]			375
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		375
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		376
	ClosingBalance <ClsgBal>	[0..1]			376
{Or	FinalClosingBalance <FnClsgBal>	[0..1]	±		376
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		376
	Extension <Xtnsn>	[0..*]	±	C5	377

23.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C5 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C6 StreetNameAndOrPostOfficeBoxRule

If StreetName is not present, then PostOfficeBox is mandatory. If StreetName is present, then PostOfficeBox is optional.

C7 TransactionOnAccountOrSubAccountDetailsRule

If StatementGeneralDetails/ActivityIndicator is "true" or "1" (Yes), then either one or more occurrences of TransactionOnAccount or one or more occurrences of SubAccountDetails must

be present. If StatementGeneralDetails/ActivityIndicator is "false" or "0" (No), then TransactionOnAccount and SubAccountDetails are not allowed.

C8 TransactionOnSubAccountRule

If ActivityIndicator is "true" or "1" (Yes), then TransactionOnSubAccount must be present. If ActivityIndicator is "false" or "0" (No), then TransactionOnSubAccount is not allowed.

C9 TransactionTypeLegExecutionIdentificationRule

If TransactionType is SWII or SWIO, then LegExecutionIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegExecutionIdentification is not allowed.

C10 TransactionTypeLegIdentificationRule

If TransactionType is SWII or SWIO, then LegIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegIdentification is not allowed.

23.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

23.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies a message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

23.4.2 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference2](#)" on page 1491 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1491
	ReferenceIssuer <RefIssr>	[0..1]	±		1491
	MessageName <MsgNm>	[0..1]	Text		1492

23.4.3 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference2"](#) on page 1491 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1491
	ReferenceIssuer <RefIssr>	[0..1]	±		1491
	MessageName <MsgNm>	[0..1]	Text		1492

23.4.4 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see ["Pagination"](#) on page 1878 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		1879
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		1879

23.4.5 StatementToBeCancelled <StmntToBeCanc>

Presence: [0..1]

Definition: The Statement of Investment Fund Transactions message to cancel.

Impacted by: [C7 "TransactionOnAccountOrSubAccountDetailsRule"](#)

StatementToBeCancelled <StmntToBeCanc> contains the following
StatementOfInvestmentFundTransactions3 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StatementGeneralDetails <StmntGnlDtls>	[0..1]			347
	Reference <Ref>	[1..1]	Text		347
	StatementPeriod <StmntPrd>	[1..1]	±		347
	CreationDateTime <CreDtTm>	[0..1]	±		348
	Frequency <Frqcy>	[0..1]	CodeSet		348
	UpdateType <UpdTp>	[1..1]	CodeSet		349
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		349
	ReportNumber <RptNb>	[0..1]	Text		349
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]			349
	Identification <Id>	[1..1]	±		349
	Name <Nm>	[0..1]	Text		350
	Designation <Dsgnt>	[0..1]	Text		350
	IntermediaryInformation <IntrmyInf>	[0..10]			350
	Identification <Id>	[1..1]	±		350
	Account <Acct>	[0..1]	±		351
	Role <Role>	[0..1]	±		351
	AccountServicer <AcctSvcr>	[0..1]	±		351
	TransactionOnAccount <TxOnAcct>	[0..*]			352
	Identification <Id>	[1..1]	±		354
	Name <Nm>	[0..1]	Text		354
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		355
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		355
	ClassType <ClssTp>	[0..1]	Text		355
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		355
	TransactionDetails <TxDtls>	[1..*]		C9, C10	355
	EventType <EvtTp>	[1..1]			356
{Or	TransactionType <TxTp>	[1..1]	±		357
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		357
	BookingStatus <BookgSts>	[0..1]	CodeSet		357
	MasterReference <MstrRef>	[0..1]	Text		357

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[0..1]	Text		358
	ClientReference <ClntRef>	[0..1]	Text		358
	DealReference <DealRef>	[0..1]	Text		358
	LegIdentification <LegId>	[0..1]	Text		358
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		358
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		358
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		358
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		358
	UnitsQuantity <UnitsQty>	[1..1]	±		359
	CreditDebit <CdtDbt>	[1..1]	CodeSet		359
	Reversal <Rvsl>	[0..1]	CodeSet		359
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	359
	SettlementDate <SttlmDt>	[0..1]	Date		360
	TradeDateTime <TradDtTm>	[1..1]	±		360
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		360
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		360
	PriceDetails <PricDtls>	[0..1]	±		361
	BalanceByPage <BalByPg>	[0..1]			361
	OpeningBalance <OpngBal>	[0..1]			361
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		361
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		362
	ClosingBalance <ClsgBal>	[0..1]			362
{Or	FinalClosingBalance <FnClsgBal>	[0..1]	±		362
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		362
	SubAccountDetails <SubAcctDtls>	[0..*]		C8	363
	Identification <Id>	[1..1]	±		365
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		365
	TransactionOnSubAccount <TxOnSubAcct>	[0..*]			365
	Identification <Id>	[1..1]	±		368
	Name <Nm>	[0..1]	Text		368
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		369

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		369
	ClassType <ClssTp>	[0..1]	Text		369
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		369
	TransactionDetails <TxDtls>	[1..*]		C9, C10	369
	EventType <EvtTp>	[1..1]			370
{Or	TransactionType <TxTp>	[1..1]	±		371
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		371
	BookingStatus <BookgSts>	[0..1]	CodeSet		371
	MasterReference <MstrRef>	[0..1]	Text		371
	OrderReference <OrdrRef>	[0..1]	Text		372
	ClientReference <ClntRef>	[0..1]	Text		372
	DealReference <DealRef>	[0..1]	Text		372
	LegIdentification <LegId>	[0..1]	Text		372
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		372
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		372
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		372
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		372
	UnitsQuantity <UnitsQty>	[1..1]	±		373
	CreditDebit <CdtDbt>	[1..1]	CodeSet		373
	Reversal <Rvsl>	[0..1]	CodeSet		373
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	373
	SettlementDate <SttlmDt>	[0..1]	Date		374
	TradeDateTime <TradDtTm>	[1..1]	±		374
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		374
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		374
	PriceDetails <PricDtls>	[0..1]	±		375
	BalanceByPage <BalByPg>	[0..1]			375
	OpeningBalance <OpngBal>	[0..1]			375
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		375
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		376
	ClosingBalance <ClsgBal>	[0..1]			376

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FinalClosingBalance <FnlClsgBal>	[0..1]	±		376
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		376
	Extension <Xtnsn>	[0..*]	±	C5	377

Constraints

- **TransactionOnAccountOrSubAccountDetailsRule**

If StatementGeneralDetails/ActivityIndicator is "true" or "1" (Yes), then either one or more occurrences of TransactionOnAccount or one or more occurrences of SubAccountDetails must be present. If StatementGeneralDetails/ActivityIndicator is "false" or "0" (No), then TransactionOnAccount and SubAccountDetails are not allowed.

23.4.5.1 StatementGeneralDetails <StmntGnlDtls>

Presence: [0..1]

Definition: General information related to the investment fund statement of transactions that is being cancelled.

StatementGeneralDetails <StmntGnlDtls> contains the following **Statement8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		347
	StatementPeriod <StmntPrd>	[1..1]	±		347
	CreationDateTime <CreDtTm>	[0..1]	±		348
	Frequency <Frqcy>	[0..1]	CodeSet		348
	UpdateType <UpdTp>	[1..1]	CodeSet		349
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		349
	ReportNumber <RptNb>	[0..1]	Text		349

23.4.5.1.1 Reference <Ref>

Presence: [1..1]

Definition: Reference of the statement.

Datatype: "Max35Text" on page 2683

23.4.5.1.2 StatementPeriod <StmntPrd>

Presence: [1..1]

Definition: Period on which the statement is reporting.

StatementPeriod <StmtPrd> contains the following elements (see ["DatePeriodDetails"](#) on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		1375
	ToDate <ToDt>	[1..1]	Date		1375

23.4.5.1.3 CreationDateTime <CreDtTm>

Presence: [0..1]

Definition: Creation date of the statement.

CreationDateTime <CreDtTm> contains one of the following elements (see ["DateAndDateTimeChoice"](#) on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

23.4.5.1.4 Frequency <Frqcy>

Presence: [0..1]

Definition: Frequency of the statement.

Datatype: ["EventFrequency1Code"](#) on page 2575

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
MNTH	Monthly	Event takes place every month or once a month.
TWMN	TwiceAMonth	Event takes place two times a month.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.
INDA	IntraDay	Event takes place several times a day.
OVNG	Overnight	Event takes place overnight.
ONDE	OnDemand	Event takes place on demand.

23.4.5.1.5 UpdateType <UpdTp>*Presence:* [1..1]*Definition:* Specifies if the statement is complete or only contains changes.*Datatype:* "StatementUpdateTypeCode" on page 2644

CodeName	Name	Definition
COMP	Complete	Statement is complete.
DELT	Delta	Statement contains changes only.

23.4.5.1.6 ActivityIndicator <ActvtyInd>*Presence:* [1..1]*Definition:* Indicates whether there is activity reported in the statement.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

23.4.5.1.7 ReportNumber <RptNb>*Presence:* [0..1]*Definition:* Sequential number of the statement.*Datatype:* "Max5NumericText" on page 2684**23.4.5.2 InvestmentAccountDetails <InvstmtAcctDtls>***Presence:* [0..1]*Definition:* Information related to an investment account of the statement that is being cancelled.**InvestmentAccountDetails <InvstmtAcctDtls>** contains the following **InvestmentAccount43** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		349
	Name <Nm>	[0..1]	Text		350
	Designation <Dsgnt>	[0..1]	Text		350
	IntermediaryInformation <IntrmyInf>	[0..10]			350
	Identification <Id>	[1..1]	±		350
	Account <Acct>	[0..1]	±		351
	Role <Role>	[0..1]	±		351
	AccountServicer <AcctSvcr>	[0..1]	±		351

23.4.5.2.1 Identification <Id>*Presence:* [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains the following elements (see "AccountIdentification1" on page 1228 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]	±		1229

23.4.5.2.2 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

23.4.5.2.3 Designation <Dsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: "Max35Text" on page 2683

23.4.5.2.4 IntermediaryInformation <IntrmyInf>

Presence: [0..10]

Definition: Party that provides services relating to financial products to investors, for example, advice on products and placement of orders for the investment fund.

IntermediaryInformation <IntrmyInf> contains the following **Intermediary27** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		350
	Account <Acct>	[0..1]	±		351
	Role <Role>	[0..1]	±		351

23.4.5.2.4.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of the intermediary.

Identification <Id> contains one of the following elements (see "PartyIdentification2Choice" on page 1896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	1897
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1897
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1897

23.4.5.2.4.2 Account <Acct>

Presence: [0..1]

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

Account <Acct> contains the following elements (see "Account7" on page 1216 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1216
	AccountServicer <AcctSvcr>	[0..1]	±		1217

23.4.5.2.4.3 Role <Role>

Presence: [0..1]

Definition: Function performed by the intermediary.

Role <Role> contains one of the following elements (see "Role4Choice" on page 2038 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2039
Or}	Proprietary <Prtry>	[1..1]	±		2040

23.4.5.2.5 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see "PartyIdentification2Choice" on page 1896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	1897
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1897
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1897

23.4.5.3 TransactionOnAccount <TxOnAcct>

Presence: [0..*]

Definition: Creation/cancellation of investment units on the books of the fund or its designated agent, as a result of executing an investment fund order.

TransactionOnAccount <TxOnAcct> contains the following **InvestmentFundTransactionsByFund3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		354
	Name <Nm>	[0..1]	Text		354
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		355
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		355
	ClassType <ClsTp>	[0..1]	Text		355
	DistributionPolicy <DstrbtpPlcy>	[0..1]	CodeSet		355
	TransactionDetails <TxDtls>	[1..*]		C9, C10	355
	EventType <EvtTp>	[1..1]			356
{Or	TransactionType <TxTp>	[1..1]	±		357
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		357
	BookingStatus <BookgSts>	[0..1]	CodeSet		357
	MasterReference <MstrRef>	[0..1]	Text		357
	OrderReference <OrdrRef>	[0..1]	Text		358
	ClientReference <ClntRef>	[0..1]	Text		358
	DealReference <DealRef>	[0..1]	Text		358
	LegIdentification <LegId>	[0..1]	Text		358
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		358
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		358
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		358
	RegisteredTransactionIndicator <RegdTxDInd>	[1..1]	Indicator		358
	UnitsQuantity <UnitsQty>	[1..1]	±		359
	CreditDebit <CdtDbt>	[1..1]	CodeSet		359
	Reversal <Rvs/>	[0..1]	CodeSet		359
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	359
	SettlementDate <SttlmDt>	[0..1]	Date		360
	TradeDateTime <TradDtTm>	[1..1]	±		360
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		360
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		360
	PriceDetails <PricDtls>	[0..1]	±		361
	BalanceByPage <BalByPg>	[0..1]			361

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OpeningBalance <OpngBal>	[0..1]			361
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		361
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		362
	ClosingBalance <ClsgBal>	[0..1]			362
{Or	FinalClosingBalance <FnlClsgBal>	[0..1]	±		362
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		362

23.4.5.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security by an ISIN.

Identification <Id> contains one of the following elements (see "SecurityIdentification3Choice" on page 2273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		2274
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		2274
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		2274
Or	RIC <RIC>	[1..1]	IdentifierSet		2275
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		2275
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		2275
Or	CTA <CTA>	[1..1]	IdentifierSet		2275
Or	QUICK <QUICK>	[1..1]	IdentifierSet		2275
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		2275
Or	Dutch <Dtch>	[1..1]	IdentifierSet		2275
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		2276
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		2276
Or	Belgian <Belgn>	[1..1]	IdentifierSet		2276
Or	Common <Cmon>	[1..1]	IdentifierSet		2276
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]	±		2276

23.4.5.3.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "Max350Text" on page 2683

23.4.5.3.3 SupplementaryIdentification <SplmtryId>*Presence:* [0..1]*Definition:* Additional information about a financial instrument to help identify the instrument.*Datatype:* "Max35Text" on page 2683**23.4.5.3.4 SecuritiesForm <SctiesForm>***Presence:* [0..1]*Definition:* Form, that is, ownership, of the security, for example, registered or bearer.*Datatype:* "FormOfSecurity1Code" on page 2580

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

23.4.5.3.5 ClassType <ClsTp>*Presence:* [0..1]*Definition:* Features of units offered by a fund. For example, a unit may have a specific load structure, for example, front end or back end, an income policy, for example, pay out or accumulate, or a trailer policy, eg, with or without. Fund classes are typically denoted by a single character, for example, 'Class A', 'Class 2'.*Datatype:* "Max35Text" on page 2683**23.4.5.3.6 DistributionPolicy <DstrbtnPlcy>***Presence:* [0..1]*Definition:* Income policy relating to a class type, that is, if income is paid out or retained in the fund.*Datatype:* "DistributionPolicy1Code" on page 2571

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

23.4.5.3.7 TransactionDetails <TxDtls>*Presence:* [1..*]*Definition:* Process of buying, selling, switching or transferring fund units.*Impacted by:* C9 "TransactionTypeLegExecutionIdentificationRule", C10 "TransactionTypeLegIdentificationRule"

TransactionDetails <TxDtIs> contains the following **InvestmentFundTransaction4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventType <EvtTp>	[1..1]			356
{Or	TransactionType <TxTp>	[1..1]	±		357
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		357
	BookingStatus <BookgSts>	[0..1]	CodeSet		357
	MasterReference <MstrRef>	[0..1]	Text		357
	OrderReference <OrdrRef>	[0..1]	Text		358
	ClientReference <ClntRef>	[0..1]	Text		358
	DealReference <DealRef>	[0..1]	Text		358
	LegIdentification <LegId>	[0..1]	Text		358
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		358
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		358
	SettledTransactionIndicator <StldTxInd>	[1..1]	Indicator		358
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		358
	UnitsQuantity <UnitsQty>	[1..1]	±		359
	CreditDebit <CdtDbt>	[1..1]	CodeSet		359
	Reversal <Rvsl>	[0..1]	CodeSet		359
	SettlementAmount <StlmlAmt>	[0..1]	Amount	C1, C4	359
	SettlementDate <StlmlDt>	[0..1]	Date		360
	TradeDateTime <TradDtTm>	[1..1]	±		360
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		360
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		360
	PriceDetails <PricDtIs>	[0..1]	±		361

Constraints

- **TransactionTypeLegExecutionIdentificationRule**

If TransactionType is SWII or SWIO, then LegExecutionIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegExecutionIdentification is not allowed.

- **TransactionTypeLegIdentificationRule**

If TransactionType is SWII or SWIO, then LegIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegIdentification is not allowed.

23.4.5.3.7.1 EventType <EvtTp>

Presence: [1..1]

Definition: Underlying transaction or corporate action.

EventType <EvtTp> contains one of the following **TransactionType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TransactionType <TxTp>	[1..1]	±		357
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		357

23.4.5.3.7.1.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Type of investment fund transaction.

TransactionType <TxTp> contains one of the following elements (see ["TransactionType2Choice"](#) on page 2464 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2464
Or}	Proprietary <Prtry>	[1..1]	±		2465

23.4.5.3.7.1.2 CorporateActionType <CorpActnTp>

Presence: [1..1]

Definition: Type of corporate action event.

CorporateActionType <CorpActnTp> contains one of the following elements (see ["CorporateAction1Choice"](#) on page 2467 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2467
Or}	Proprietary <Prtry>	[1..1]	±		2469

23.4.5.3.7.2 BookingStatus <BookgSts>

Presence: [0..1]

Definition: Status of an investment fund transaction.

Datatype: ["TransactionStatus1Code"](#) on page 2657

CodeName	Name	Definition
RVSL	Reversal	Transaction has been reversed.
REBO	Rebooked	Transaction has been rebooked.
CANC	Cancelled	Transaction has been cancelled.

23.4.5.3.7.3 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "Max35Text" on page 2683

23.4.5.3.7.4 OrderReference <OrdRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for an order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

23.4.5.3.7.5 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of an order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2683

23.4.5.3.7.6 DealReference <DealRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for an order execution, as assigned by a confirming party.

Datatype: "Max35Text" on page 2683

23.4.5.3.7.7 LegIdentification <LegId>

Presence: [0..1]

Definition: Unique technical identifier for an instance of a leg within a switch.

Datatype: "Max35Text" on page 2683

23.4.5.3.7.8 LegExecutionIdentification <LegExctnId>

Presence: [0..1]

Definition: Unique identifier for an instance of a leg execution within a switch confirmation.

Datatype: "Max35Text" on page 2683

23.4.5.3.7.9 OrderDateTime <OrdDtTm>

Presence: [0..1]

Definition: Date and time at which the order was placed by the investor or its agent.

Datatype: "ISODateTime" on page 2669

23.4.5.3.7.10 SettledTransactionIndicator <SttldTxInd>

Presence: [1..1]

Definition: Indicates whether the cash payment with respect to the executed order is settled.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

23.4.5.3.7.11 RegisteredTransactionIndicator <RegdTxInd>

Presence: [1..1]

Definition: Indicates whether the executed order has a registered status on the books of the transfer agent.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

23.4.5.3.7.12 UnitsQuantity <UnitsQty>

Presence: [1..1]

Definition: Number of investment funds units.

UnitsQuantity <UnitsQty> contains the following elements (see ["FinancialInstrumentQuantity1"](#) on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

23.4.5.3.7.13 CreditDebit <CdtDbt>

Presence: [1..1]

Definition: Direction of the transaction being reported, is, securities are received (credited) or delivered (debited).

Datatype: ["CreditDebitCode"](#) on page 2568

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

23.4.5.3.7.14 Reversal <Rvsl>

Presence: [0..1]

Definition: Transaction being reported is a reversal of previously reported transaction.

Datatype: ["ReversalCode"](#) on page 2635

CodeName	Name	Definition
RVCD	ReversalCredit	Debit entry used to reverse a previously booked credit entry.
RVDB	ReversalDebit	Credit entry used to reverse a previously booked debit entry.

23.4.5.3.7.15 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Amount of money to be moved between the debtor and creditor, before deduction of charges, expressed in the currency as ordered by the initiating party.

Impacted by: [C1 "ActiveCurrency"](#), [C4 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

23.4.5.3.7.16 SettlementDate <SttlmDt>

Presence: [0..1]

Definition: Date on which the debtor expects the amount of money to be available to the creditor.

Datatype: "ISODate" on page 2668

23.4.5.3.7.17 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and time at which a price is applied, according to the terms stated in the prospectus.

TradeDateTime <TradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

23.4.5.3.7.18 CumDividendIndicator <CumDvddInd>

Presence: [1..1]

Definition: Indicates whether the dividend is included, that is, cum-dividend, in the executed price. When the dividend is not included, the price will be ex-dividend.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

23.4.5.3.7.19 PartiallyExecutedIndicator <PrtlyExctdInd>

Presence: [1..1]

Definition: Indicates whether the order has been partially executed, that is, the confirmed quantity does not match the ordered quantity for a given financial instrument.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

23.4.5.3.7.20 PriceDetails <PricDtls>*Presence:* [0..1]*Definition:* Price at which the order was executed.**PriceDetails <PricDtls>** contains the following elements (see "UnitPrice20" on page 2237 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceType <PricTp>	[1..1]			2238
{Or	Code <Cd>	[1..1]	CodeSet		2238
Or}	Proprietary <Prtry>	[1..1]	±		2239
	Value <Val>	[1..1]	±		2239
	PriceMethod <PricMtd>	[0..1]	CodeSet		2239

23.4.5.3.8 BalanceByPage <BalByPg>*Presence:* [0..1]*Definition:* Balance of the financial instrument for this specific statement page.**BalanceByPage <BalByPg>** contains the following **PaginationBalance2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OpeningBalance <OpngBal>	[0..1]			361
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		361
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		362
	ClosingBalance <ClsgBal>	[0..1]			362
{Or	FinalClosingBalance <FnlClsgBal>	[0..1]	±		362
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		362

23.4.5.3.8.1 OpeningBalance <OpngBal>*Presence:* [0..1]*Definition:* Opening balance of the financial instrument in the statement or of the intermediary opening balance of the page of the statement.**OpeningBalance <OpngBal>** contains one of the following **OpeningBalance3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		361
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		362

23.4.5.3.8.1.1 FirstOpeningBalance <FrstOpngBal>*Presence:* [1..1]*Definition:* Opening balance of the financial instrument in the statement.

FirstOpeningBalance <FrstOpngBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

23.4.5.3.8.1.2 IntermediaryOpeningBalance <IntrmyOpngBal>

Presence: [1..1]

Definition: Opening balance of this page only. It must be the intermediary closing balance of the previous page (part of the same statement).

IntermediaryOpeningBalance <IntrmyOpngBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

23.4.5.3.8.2 ClosingBalance <ClsgBal>

Presence: [0..1]

Definition: Closing balance of the financial instrument in the statement or of the intermediary closing balance of the page of the statement.

ClosingBalance <ClsgBal> contains one of the following **ClosingBalance3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FinalClosingBalance <FnIclsgBal>	[0..1]	±		362
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		362

23.4.5.3.8.2.1 FinalClosingBalance <FnIclsgBal>

Presence: [0..1]

Definition: Closing balance of the financial instrument in the statement.

FinalClosingBalance <FnIclsgBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

23.4.5.3.8.2.2 IntermediaryClosingBalance <IntrmyClsgBal>

Presence: [0..1]

Definition: Closing Balance of this page only. Must be the intermediary opening balance of the next page (part of the same statement).

IntermediaryClosingBalance <IntrmyClsgBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

23.4.5.4 SubAccountDetails <SubAcctDtls>

Presence: [0..*]

Definition: Sub-account of the safekeeping or investment account.

Impacted by: C8 "TransactionOnSubAccountRule"

SubAccountDetails <SubAcctDtls> contains the following **SubAccountIdentification36** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		365
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		365
	TransactionOnSubAccount <TxOnSubAcct>	[0..*]			365
	Identification <Id>	[1..1]	±		368
	Name <Nm>	[0..1]	Text		368
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		369
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		369
	ClassType <ClsstTp>	[0..1]	Text		369
	DistributionPolicy <Dstrbtpncy>	[0..1]	CodeSet		369
	TransactionDetails <TxDtls>	[1..*]		C9, C10	369
	EventType <EvtTp>	[1..1]			370
{Or	TransactionType <TxTp>	[1..1]	±		371
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		371
	BookingStatus <BookgSts>	[0..1]	CodeSet		371
	MasterReference <MstrRef>	[0..1]	Text		371
	OrderReference <OrdrRef>	[0..1]	Text		372
	ClientReference <ClntRef>	[0..1]	Text		372
	DealReference <DealRef>	[0..1]	Text		372
	LegIdentification <LegId>	[0..1]	Text		372
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		372
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		372
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		372
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		372
	UnitsQuantity <UnitsQty>	[1..1]	±		373
	CreditDebit <CdtDbt>	[1..1]	CodeSet		373
	Reversal <Rvsl>	[0..1]	CodeSet		373
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	373
	SettlementDate <SttlmDt>	[0..1]	Date		374
	TradeDateTime <TradDtTm>	[1..1]	±		374
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		374
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		374

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceDetails <PricDtls>	[0..1]	±		375
	BalanceByPage <BalByPg>	[0..1]			375
	OpeningBalance <OpngBal>	[0..1]			375
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		375
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		376
	ClosingBalance <ClsgBal>	[0..1]			376
{Or	FinalClosingBalance <FnlClsgBal>	[0..1]	±		376
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		376

Constraints

- **TransactionOnSubAccountRule**

If ActivityIndicator is "true" or "1" (Yes), then TransactionOnSubAccount must be present. If ActivityIndicator is "false" or "0" (No), then TransactionOnSubAccount is not allowed.

23.4.5.4.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains one of the following elements (see "[AccountIdentificationFormatChoice](#)" on page 1225 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SimpleIdentification <SmpIId>	[1..1]	±		1225
Or	IdentificationAndPurpose <IdAndPurp>	[1..1]			1226
	Identification <Id>	[1..1]	±		1226
	Purpose <Purp>	[1..1]	CodeSet		1226
Or}	IdentificationAsDSS <IdAsDSS>	[1..1]	±		1227

23.4.5.4.2 ActivityIndicator <ActvtyInd>

Presence: [1..1]

Definition: Indicates whether there is activity reported in the statement.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

23.4.5.4.3 TransactionOnSubAccount <TxOnSubAcct>

Presence: [0..*]

Definition: Creation/cancellation of investment units on the books of the fund or its designated agent, as a result of executing an investment fund order.

TransactionOnSubAccount <TxOnSubAcct> contains the following
InvestmentFundTransactionsByFund3 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		368
	Name <Nm>	[0..1]	Text		368
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		369
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		369
	ClassType <ClsTp>	[0..1]	Text		369
	DistributionPolicy <DstrbtpPlcy>	[0..1]	CodeSet		369
	TransactionDetails <TxDtls>	[1..*]		C9, C10	369
	EventType <EvtTp>	[1..1]			370
{Or	TransactionType <TxTp>	[1..1]	±		371
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		371
	BookingStatus <BookgSts>	[0..1]	CodeSet		371
	MasterReference <MstrRef>	[0..1]	Text		371
	OrderReference <OrdrRef>	[0..1]	Text		372
	ClientReference <ClntRef>	[0..1]	Text		372
	DealReference <DealRef>	[0..1]	Text		372
	LegIdentification <LegId>	[0..1]	Text		372
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		372
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		372
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		372
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		372
	UnitsQuantity <UnitsQty>	[1..1]	±		373
	CreditDebit <CdtDbt>	[1..1]	CodeSet		373
	Reversal <Rvs/>	[0..1]	CodeSet		373
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	373
	SettlementDate <SttlmDt>	[0..1]	Date		374
	TradeDateTime <TradDtTm>	[1..1]	±		374
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		374
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		374
	PriceDetails <PricDtls>	[0..1]	±		375
	BalanceByPage <BalByPg>	[0..1]			375

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OpeningBalance <OpngBal>	[0..1]			375
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		375
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		376
	ClosingBalance <ClsgBal>	[0..1]			376
{Or	FinalClosingBalance <FnClsgBal>	[0..1]	±		376
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		376

23.4.5.4.3.1 Identification <Id>*Presence:* [1..1]*Definition:* Identification of a security by an ISIN.**Identification <Id>** contains one of the following elements (see "[SecurityIdentification3Choice](#)" on page 2273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		2274
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		2274
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		2274
Or	RIC <RIC>	[1..1]	IdentifierSet		2275
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		2275
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		2275
Or	CTA <CTA>	[1..1]	IdentifierSet		2275
Or	QUICK <QUICK>	[1..1]	IdentifierSet		2275
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		2275
Or	Dutch <Dtch>	[1..1]	IdentifierSet		2275
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		2276
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		2276
Or	Belgian <Belgn>	[1..1]	IdentifierSet		2276
Or	Common <Cmon>	[1..1]	IdentifierSet		2276
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]	±		2276

23.4.5.4.3.2 Name <Nm>*Presence:* [0..1]*Definition:* Name of the financial instrument in free format text.*Datatype:* "Max350Text" on page 2683

23.4.5.4.3.3 SupplementaryIdentification <SplmtryId>*Presence:* [0..1]*Definition:* Additional information about a financial instrument to help identify the instrument.*Datatype:* "Max35Text" on page 2683**23.4.5.4.3.4 SecuritiesForm <SciesForm>***Presence:* [0..1]*Definition:* Form, that is, ownership, of the security, for example, registered or bearer.*Datatype:* "FormOfSecurity1Code" on page 2580

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

23.4.5.4.3.5 ClassType <ClsTp>*Presence:* [0..1]*Definition:* Features of units offered by a fund. For example, a unit may have a specific load structure, for example, front end or back end, an income policy, for example, pay out or accumulate, or a trailer policy, eg, with or without. Fund classes are typically denoted by a single character, for example, 'Class A', 'Class 2'.*Datatype:* "Max35Text" on page 2683**23.4.5.4.3.6 DistributionPolicy <DstrbtnPlcy>***Presence:* [0..1]*Definition:* Income policy relating to a class type, that is, if income is paid out or retained in the fund.*Datatype:* "DistributionPolicy1Code" on page 2571

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

23.4.5.4.3.7 TransactionDetails <TxDtls>*Presence:* [1..*]*Definition:* Process of buying, selling, switching or transferring fund units.*Impacted by:* C9 "TransactionTypeLegExecutionIdentificationRule", C10 "TransactionTypeLegIdentificationRule"

TransactionDetails <TxDtIs> contains the following **InvestmentFundTransaction4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventType <EvtTp>	[1..1]			370
{Or	TransactionType <TxTp>	[1..1]	±		371
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		371
	BookingStatus <BookgSts>	[0..1]	CodeSet		371
	MasterReference <MstrRef>	[0..1]	Text		371
	OrderReference <OrdrRef>	[0..1]	Text		372
	ClientReference <ClntRef>	[0..1]	Text		372
	DealReference <DealRef>	[0..1]	Text		372
	LegIdentification <LegId>	[0..1]	Text		372
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		372
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		372
	SettledTransactionIndicator <StldTxInd>	[1..1]	Indicator		372
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		372
	UnitsQuantity <UnitsQty>	[1..1]	±		373
	CreditDebit <CdtDbt>	[1..1]	CodeSet		373
	Reversal <Rvsl>	[0..1]	CodeSet		373
	SettlementAmount <StlmtAmt>	[0..1]	Amount	C1, C4	373
	SettlementDate <StlmtDt>	[0..1]	Date		374
	TradeDateTime <TradDtTm>	[1..1]	±		374
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		374
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		374
	PriceDetails <PricDtIs>	[0..1]	±		375

Constraints

- **TransactionTypeLegExecutionIdentificationRule**

If TransactionType is SWII or SWIO, then LegExecutionIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegExecutionIdentification is not allowed.

- **TransactionTypeLegIdentificationRule**

If TransactionType is SWII or SWIO, then LegIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegIdentification is not allowed.

23.4.5.4.3.7.1 EventType <EvtTp>

Presence: [1..1]

Definition: Underlying transaction or corporate action.

EventType <EvtTp> contains one of the following **TransactionType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TransactionType <TxTp>	[1..1]	±		371
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		371

23.4.5.4.3.7.1.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Type of investment fund transaction.

TransactionType <TxTp> contains one of the following elements (see ["TransactionType2Choice"](#) on page 2464 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2464
Or}	Proprietary <Prtry>	[1..1]	±		2465

23.4.5.4.3.7.1.2 CorporateActionType <CorpActnTp>

Presence: [1..1]

Definition: Type of corporate action event.

CorporateActionType <CorpActnTp> contains one of the following elements (see ["CorporateAction1Choice"](#) on page 2467 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2467
Or}	Proprietary <Prtry>	[1..1]	±		2469

23.4.5.4.3.7.2 BookingStatus <BookgSts>

Presence: [0..1]

Definition: Status of an investment fund transaction.

Datatype: ["TransactionStatus1Code"](#) on page 2657

CodeName	Name	Definition
RVSL	Reversal	Transaction has been reversed.
REBO	Rebooked	Transaction has been rebooked.
CANC	Cancelled	Transaction has been cancelled.

23.4.5.4.3.7.3 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "Max35Text" on page 2683

23.4.5.4.3.7.4 OrderReference <OrdrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for an order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

23.4.5.4.3.7.5 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of an order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2683

23.4.5.4.3.7.6 DealReference <DealRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for an order execution, as assigned by a confirming party.

Datatype: "Max35Text" on page 2683

23.4.5.4.3.7.7 LegIdentification <LegId>

Presence: [0..1]

Definition: Unique technical identifier for an instance of a leg within a switch.

Datatype: "Max35Text" on page 2683

23.4.5.4.3.7.8 LegExecutionIdentification <LegExctnId>

Presence: [0..1]

Definition: Unique identifier for an instance of a leg execution within a switch confirmation.

Datatype: "Max35Text" on page 2683

23.4.5.4.3.7.9 OrderDateTime <OrdrDtTm>

Presence: [0..1]

Definition: Date and time at which the order was placed by the investor or its agent.

Datatype: "ISODateTime" on page 2669

23.4.5.4.3.7.10 SettledTransactionIndicator <SttldTxInd>

Presence: [1..1]

Definition: Indicates whether the cash payment with respect to the executed order is settled.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

23.4.5.4.3.7.11 RegisteredTransactionIndicator <RegdTxInd>

Presence: [1..1]

Definition: Indicates whether the executed order has a registered status on the books of the transfer agent.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

23.4.5.4.3.7.12 UnitsQuantity <UnitsQty>

Presence: [1..1]

Definition: Number of investment funds units.

UnitsQuantity <UnitsQty> contains the following elements (see ["FinancialInstrumentQuantity1"](#) on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

23.4.5.4.3.7.13 CreditDebit <CdtDbt>

Presence: [1..1]

Definition: Direction of the transaction being reported, is, securities are received (credited) or delivered (debited).

Datatype: ["CreditDebitCode"](#) on page 2568

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

23.4.5.4.3.7.14 Reversal <Rvsl>

Presence: [0..1]

Definition: Transaction being reported is a reversal of previously reported transaction.

Datatype: ["ReversalCode"](#) on page 2635

CodeName	Name	Definition
RVCD	ReversalCredit	Debit entry used to reverse a previously booked credit entry.
RVDB	ReversalDebit	Credit entry used to reverse a previously booked debit entry.

23.4.5.4.3.7.15 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Amount of money to be moved between the debtor and creditor, before deduction of charges, expressed in the currency as ordered by the initiating party.

Impacted by: [C1 "ActiveCurrency"](#), [C4 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

23.4.5.4.3.7.16 SettlementDate <SttlmDt>

Presence: [0..1]

Definition: Date on which the debtor expects the amount of money to be available to the creditor.

Datatype: "ISODate" on page 2668

23.4.5.4.3.7.17 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and time at which a price is applied, according to the terms stated in the prospectus.

TradeDateTime <TradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

23.4.5.4.3.7.18 CumDividendIndicator <CumDvddInd>

Presence: [1..1]

Definition: Indicates whether the dividend is included, that is, cum-dividend, in the executed price. When the dividend is not included, the price will be ex-dividend.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

23.4.5.4.3.7.19 PartiallyExecutedIndicator <PrtlyExctdInd>

Presence: [1..1]

Definition: Indicates whether the order has been partially executed, that is, the confirmed quantity does not match the ordered quantity for a given financial instrument.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

23.4.5.4.3.7.20 PriceDetails <PricDtls>*Presence:* [0..1]*Definition:* Price at which the order was executed.**PriceDetails <PricDtls>** contains the following elements (see "UnitPrice20" on page 2237 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceType <PricTp>	[1..1]			2238
{Or	Code <Cd>	[1..1]	CodeSet		2238
Or}	Proprietary <Prtry>	[1..1]	±		2239
	Value <Val>	[1..1]	±		2239
	PriceMethod <PricMtd>	[0..1]	CodeSet		2239

23.4.5.4.3.8 BalanceByPage <BalByPg>*Presence:* [0..1]*Definition:* Balance of the financial instrument for this specific statement page.**BalanceByPage <BalByPg>** contains the following **PaginationBalance2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OpeningBalance <OpngBal>	[0..1]			375
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		375
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		376
	ClosingBalance <ClsgBal>	[0..1]			376
{Or	FinalClosingBalance <FnlClsgBal>	[0..1]	±		376
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		376

23.4.5.4.3.8.1 OpeningBalance <OpngBal>*Presence:* [0..1]*Definition:* Opening balance of the financial instrument in the statement or of the intermediary opening balance of the page of the statement.**OpeningBalance <OpngBal>** contains one of the following **OpeningBalance3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		375
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		376

23.4.5.4.3.8.1.1 FirstOpeningBalance <FrstOpngBal>*Presence:* [1..1]*Definition:* Opening balance of the financial instrument in the statement.

FirstOpeningBalance <FrstOpngBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

23.4.5.4.3.8.1.2 IntermediaryOpeningBalance <IntrmyOpngBal>

Presence: [1..1]

Definition: Opening balance of this page only. It must be the intermediary closing balance of the previous page (part of the same statement).

IntermediaryOpeningBalance <IntrmyOpngBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

23.4.5.4.3.8.2 ClosingBalance <ClsgBal>

Presence: [0..1]

Definition: Closing balance of the financial instrument in the statement or of the intermediary closing balance of the page of the statement.

ClosingBalance <ClsgBal> contains one of the following **ClosingBalance3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FinalClosingBalance <FnIclsgBal>	[0..1]	±		376
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		376

23.4.5.4.3.8.2.1 FinalClosingBalance <FnIclsgBal>

Presence: [0..1]

Definition: Closing balance of the financial instrument in the statement.

FinalClosingBalance <FnIclsgBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

23.4.5.4.3.8.2.2 IntermediaryClosingBalance <IntrmyClsgBal>

Presence: [0..1]

Definition: Closing Balance of this page only. Must be the intermediary opening balance of the next page (part of the same statement).

IntermediaryClosingBalance <IntrmyClsgBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

23.4.5.5 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C5 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

24 semt.012.001.01 AlternativeFundsAccountingStatementOfHoldingsV01

24.1 MessageDefinition Functionality

Scope

An account servicer, for example, a transfer agent or administrator, sends the AlternativeFundsAccountingStatementOfHoldings message to the account owner, for example, an investment manager, custodian, fund manager or an account owner's designated agent, to provide detailed holdings of the portfolio at a specified moment in time.

There may be one or more parties between the account servicer and the account owner.

The message provides, at a moment in time, valuations of the portfolio together with details of each financial instrument holding.

Usage

The AlternativeFundsAccountingStatementOfHoldings message is sent by the account servicer to the account owner:

- at a frequency agreed bilaterally between the account servicer and the account owner,
- as a response to a request for statement sent by the account owner.

Outline

The AlternativeFundsAccountingStatementOfHoldingsV01 MessageDefinition is composed of 10 MessageBuildingBlocks:

- A. MessageIdentification
Reference that uniquely identifies a message from a technical application standpoint.
- B. PreviousReference
Reference to a linked message that was previously sent.
- C. RelatedReference
Reference to a linked message that was previously received.
- D. MessagePagination
Pagination of the message.
- E. InvestmentAccountDetails
The safekeeping or investment account.
- F. StatementGeneralDetails
General information related to the hedge fund accounting statement of holdings.

G. RelatedPartyDetails

Party that provides services to investors relating to financial products.

H. BalanceForAccount

Net position of a segregated holding, in a single security, within the overall position held in a securities account.

I. TotalValues

Value of total holdings reported.

J. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

24.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root <Document> <AltrntvFndsAcctgStmntOfHldgs></i>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		381
	PreviousReference <PrvsRef>	[0..*]	±		381
	RelatedReference <RltdRef>	[0..2]	±		381
	MessagePagination <MsgPgntn>	[1..1]	±		381
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±		382
	StatementGeneralDetails <StmntGnlDtls>	[1..1]			382
	Identifier <Idr>	[1..1]	Text		383
	StatementDateTime <StmntDtTm>	[1..1]	±		383
	Frequency <Frqcy>	[1..1]	±		383
	UpdateType <UpdTp>	[1..1]	±		383
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		384
	StatementBasis <StmntBsis>	[1..1]	±		384
	ReportNumber <RptNb>	[0..1]	Text		384
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±		384
	BalanceForAccount <BalForAcct>	[0..*]	±	C3	385
	TotalValues <TtlVals>	[0..1]	±		385
	Extension <Xtnsn>	[0..*]	±	C8	386

24.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AggregateQuantityGuideline

The AggregateQuantity should be the sum of AvailableQuantity and NotAvailableQuantity if AvailableQuantity and NotAvailableQuantity are both present.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C5 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C6 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C7 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C8 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C9 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityID.

C10 NameRule

If the ISINIdentifier contradicts the Name, the ISIN Identifier is used as the identification of the instrument and the name is ignored.

24.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

24.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies a message from a technical application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

24.4.2 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference4](#)" on page 1512 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1512
	ReferenceIssuer <RefIssr>	[0..1]	±		1512
	MessageName <MsgNm>	[0..1]	Text		1513

24.4.3 RelatedReference <RltdRef>

Presence: [0..2]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "[AdditionalReference4](#)" on page 1512 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1512
	ReferenceIssuer <RefIssr>	[0..1]	±		1512
	MessageName <MsgNm>	[0..1]	Text		1513

24.4.4 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgtn> contains the following elements (see "Pagination" on page 1878 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		1879
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		1879

24.4.5 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [1..1]

Definition: The safekeeping or investment account.

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount30" on page 1514 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Account <Acct>	[1..1]	±		1514
	Designation <Dsgnt>	[0..1]	Text		1514
	Owner <Ownr>	[0..*]	±		1515
	Servicer <Svcr>	[0..1]	±		1515
	SubAccount <SubAcct>	[0..1]			1515
	Identification <Id>	[1..1]	Text		1515
	Name <Nm>	[0..1]	Text		1516
	Characteristic <Chrtc>	[0..1]	Text		1516

24.4.6 StatementGeneralDetails <StmntGnlDtls>

Presence: [1..1]

Definition: General information related to the hedge fund accounting statement of holdings.

StatementGeneralDetails <StmtGnlDtls> contains the following **Statement10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identifier <Idr>	[1..1]	Text		383
	StatementDateTime <StmtDtTm>	[1..1]	±		383
	Frequency <Frqcy>	[1..1]	±		383
	UpdateType <UpdTp>	[1..1]	±		383
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		384
	StatementBasis <StmtBsis>	[1..1]	±		384
	ReportNumber <RptNb>	[0..1]	Text		384

24.4.6.1 Identifier <Idr>

Presence: [1..1]

Definition: Reference of the statement.

Datatype: "Max35Text" on page 2683

24.4.6.2 StatementDateTime <StmtDtTm>

Presence: [1..1]

Definition: Date of the statement.

StatementDateTime <StmtDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

24.4.6.3 Frequency <Frqcy>

Presence: [1..1]

Definition: Frequency of the statement.

Frequency <Frqcy> contains one of the following elements (see "FrequencyCodeAndDSSCode1Choice" on page 2264 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FrequencyAsCode <FrqcyAsCd>	[1..1]	CodeSet		2264
Or}	FrequencyAsDSS <FrqcyAsDSS>	[1..1]	±		2265

24.4.6.4 UpdateType <UpdTp>

Presence: [1..1]

Definition: Indicates whether the report is complete or contains changes only.

UpdateType <UpdTp> contains one of the following elements (see "StatementUpdateTypeCodeAndDSSCodeChoice" on page 2325 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StatementUpdateTypeAsCode <StmtUpdTpAsCd>	[1..1]	CodeSet		2325
Or}	StatementUpdateTypeAsDSS <StmtUpdTpAsDSS>	[1..1]	±		2326

24.4.6.5 ActivityIndicator <ActvtyInd>

Presence: [1..1]

Definition: Indicates whether there is activity or information update reported in the statement.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

24.4.6.6 StatementBasis <StmtBsis>

Presence: [1..1]

Definition: Type of balance on which the statement is prepared.

StatementBasis <StmtBsis> contains one of the following elements (see "StatementBasisCodeAndDSSCodeChoice" on page 2324 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StatementBasisAsCode <StmtBsisAsCd>	[1..1]	CodeSet		2325
Or}	StatementBasisAsDSS <StmtBsisAsDSS>	[1..1]	±		2325

24.4.6.7 ReportNumber <RptNb>

Presence: [0..1]

Definition: Sequential number of the statement.

Datatype: "Max5NumericText" on page 2684

24.4.7 RelatedPartyDetails <RltdPtyDtls>

Presence: [0..10]

Definition: Party that provides services to investors relating to financial products.

RelatedPartyDetails <RltdPtyDtls> contains the following elements (see "Intermediary17" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2022
	RoleType <RoleTp>	[0..1]			2022
{Or	Role <Role>	[1..1]	CodeSet		2022
Or}	Proprietary <Prtry>	[1..1]	±		2024
	Account <Acct>	[0..1]	±		2024

24.4.8 BalanceForAccount <BalForAcct>

Presence: [0..*]

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account.

Impacted by: C3 "AggregateQuantityGuideline"

BalanceForAccount <BalForAcct> contains the following elements (see "AggregateBalanceInformation5" on page 1235 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateQuantity <AggtQty>	[1..1]	±		1235
	HoldingValue <HldgVal>	[0..*]	Amount	C3, C12	1236
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1236
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1237
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C10, C9	1237
	BalanceBreakdownDetails <BalBrkdwnDtls>	[0..*]	±		1238
	SubBalanceInformation <SubBalInf>	[0..*]	±		1238
	PriceDetails <PricDtls>	[0..*]	±		1238
	EqualisationBalance <EqulstnBal>	[0..1]	±		1239
	ForeignExchangeDetails <FrgnXchgDtls>	[0..1]	±		1239

Constraints

- **AggregateQuantityGuideline**

The AggregateQuantity should be the sum of AvailableQuantity and NotAvailableQuantity if AvailableQuantity and NotAvailableQuantity are both present.

24.4.9 TotalValues <TtlVals>

Presence: [0..1]

Definition: Value of total holdings reported.

TotalValues <TtlVals> contains the following elements (see "[TotalValueInPageAndStatement](#)" on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalHoldingsValueOfPage <TtlHldgsValOfPg>	[0..1]	Amount	C2, C10	1252
	TotalHoldingsValueOfStatement <TtlHldgsValOfStmt>	[1..1]	Amount	C2, C10	1252

24.4.10 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C8 "[ExtensionRule](#)"

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

25 **sese.001.001.09**

TransferOutInstructionV09

25.1 **MessageDefinition Functionality**

Scope

The TransferOutInstruction message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct the delivery of a financial instrument, free of payment, on a given date from a specified party.

This message may also be used to instruct the delivery of a financial instrument, free of payment, to another of the instructing parties own accounts or to a third party.

Usage

The TransferOutInstruction message is used to instruct the withdrawal of a financial instrument from one account and deliver it to either another account or to a third party.

Outline

The TransferOutInstructionV09 MessageDefinition is composed of 11 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. MasterReference

Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

F. TransferDetails

General information related to the transfer of the financial instrument.

G. AccountDetails

Information related to the account from which the financial instrument is to be withdrawn.

H. SettlementDetails

Information related to the receiving side of the transfer.

I. MarketPracticeVersion

Identifies the market practice to which the message conforms.

J. CopyDetails

Information provided when the message is a copy of a previous message.

K. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

25.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <TrfOutInstr>	[1..1]		C25	
	MessageIdentification <MsgId>	[1..1]	±		391
	PoolReference <PoolRef>	[0..1]	±		391
	PreviousReference <PrvsRef>	[0..1]	±		392
	RelatedReference <RltdRef>	[0..1]	±		392
	MasterReference <MstrRef>	[0..1]	Text		392
	TransferDetails <TrfDtls>	[1..*]	±	C27	392
	AccountDetails <AcctDtls>	[1..1]	±		393
	SettlementDetails <SttlmDtls>	[0..1]	±	C23, C24	394
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		396
	CopyDetails <CpyDtls>	[0..1]	±		396
	Extension <Xtnsn>	[0..*]	±	C14	396

25.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditonalDetailsGuideline

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

C4 AdditonalDetailsGuideline

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C6 AppliedAmountRule

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C7 BookTransferGuideline

In the case of an own account internal transfer, the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 DepositoryGuideline

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC will be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

C12 DiscountElementRule

Amount Or Rate Or Basis must be present.

C13 DiscountElementRule

Amount Or Rate Or Basis must be present.

C14 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C15 FeeTaxElementRule

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C16 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C17 NameAndAddress1Rule

If RegisteredAddressIndicator is "true" or "1" (Yes), then NameAndAddress is not allowed.

If RegisteredAddressIndicator is "false" or "0" (No), then NameAndAddress must be present.

C18 NonStandardsSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C19 Party2PresenceRule

If Party2 is present, then Party1 must be present.

C20 Party3PresenceRule

If Party3 is present, then Party2 must be present.

C21 Party4PresenceRule

If Party4 is present, then Party3 must be present.

C22 Party5PresenceRule

If Party5 is present, then Party4 must be present.

C23 PhysicalTransferDetails1Rule

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present.

C24 PhysicalTransferDetails2Rule

If PhysicalTransfer is DEMT (Dematerialised), then PhysicalTransferDetails is not allowed.

C25 RequestedSettlementDateRule

Either TransferDetails/RequestedSettlementDate may be present in one or more occurrences of TransferDetails or SettlementDetails/RequestedSettlementDate may be present, but not both.

This constraint is defined at the MessageDefinition level.

C26 SettlementChainGuideline

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

C27 TotalUnitsNumberRule

Quantity/TotalUnitsNumber must be the sum of all occurrences of UnitsDetails/UnitsNumber when UnitsDetails is present.

C28 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

25.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

25.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

25.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference11](#)" on page 1494 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1494
	ReferenceIssuer <RefIssr>	[0..1]	±		1494
	MessageName <MsgNm>	[0..1]	Text		1495

25.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

25.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

25.4.5 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2683

25.4.6 TransferDetails <TrfDtls>

Presence: [1..*]

Definition: General information related to the transfer of the financial instrument.

Impacted by: C27 "TotalUnitsNumberRule"

TransferDetails <TrfDtls> contains the following elements (see "Transfer36" on page 2470 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferReference <TrfRef>	[1..1]	Text		2471
	ClientReference <ClntRef>	[0..1]	±		2471
	CounterpartyReference <CtrPtyRef>	[0..1]	±		2471
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		2471
	RequestedTransferDate <ReqdTrfDt>	[0..1]	±		2472
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2472
	TransferOrderDateForm <TrfOrdrDtForm>	[0..1]	Date		2472
	TransferReason <TrfRsn>	[0..1]	±		2472
	HoldingsPlanType <HldgsPlanTp>	[0..3]	CodeSet		2472
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C16	2473
	Quantity <Qty>	[1..1]	±		2473
	UnitsDetails <UnitsDtls>	[0..*]	±		2474
	Rounding <Rndg>	[0..1]	CodeSet		2474
	OriginalCost <OrgnlCost>	[0..1]	Amount	C2	2475
	AveragePrice <AvrgPric>	[0..1]	Amount	C3	2475
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C3	2475
	OwnAccountTransferIndicator <OwnAcctTrfInd>	[0..1]	Indicator		2476
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		2476
	TransferExpensesPaymentType <TrfExpnssPmtTp>	[0..1]	±		2476

Constraints

- **TotalUnitsNumberRule**

Quantity/TotalUnitsNumber must be the sum of all occurrences of UnitsDetails/UnitsNumber when UnitsDetails is present.

25.4.7 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: Information related to the account from which the financial instrument is to be withdrawn.

AccountDetails <AcctDtls> contains the following elements (see "InvestmentAccount70" on page 1559 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[0..*]	±		1559
	AccountIdentification <AcctId>	[1..1]	Text		1560
	AccountName <AcctNm>	[0..1]	Text		1560
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1560
	AccountServicer <AcctSvcr>	[0..1]	±		1560
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1560
	IntermediaryInformation <IntrmyInf>	[0..*]	±		1561
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1561
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		1561
	IncomePreference <IncmPref>	[0..1]	CodeSet		1562
	BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>	[0..1]	CodeSet		1562
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1562
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		1563

25.4.8 SettlementDetails <SttlmDtls>

Presence: [0..1]

Definition: Information related to the receiving side of the transfer.

Impacted by: C23 "PhysicalTransferDetails1Rule", C24 "PhysicalTransferDetails2Rule"

SettlementDetails <SttlmDtls> contains the following elements (see "ReceiveInformation21" on page 2517 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Transferee <Trfee>	[0..1]	±		2518
	TransfereeRegisteredAccount <TrfeeRegdAcct>	[0..1]	±		2519
	IntermediaryInformation <IntrmyInf>	[0..*]	±		2519
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		2519
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		2520
	IncomePreference <IncmPref>	[0..1]	CodeSet		2520
	BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>	[0..1]	CodeSet		2520
	RequestedTradeDate <ReqdTradDt>	[0..1]	Date		2520
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2521
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	2521
	StampDuty <StmpDty>	[0..1]	CodeSet		2521
	NetAmount <NetAmt>	[0..1]	Amount	C2, C10	2521
	Fees <Fees>	[0..*]	±	C15	2522
	IndividualTax <IndvTax>	[0..*]	±		2523
	ForeignExchangeDetails <FXDtls>	[0..*]	±		2523
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		2524
	PhysicalTransfer <PhysTrf>	[0..1]	CodeSet		2525
	PhysicalTransferDetails <PhysTrfDtls>	[0..1]	±	C17	2525
	ClientReference <ClntRef>	[0..1]	±		2525

Constraints

• PhysicalTransferDetails1Rule

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present.

On Condition

/PhysicalTransfer is equal to value 'Physical'

Following Must be True

/PhysicalTransferDetails Must be present

• PhysicalTransferDetails2Rule

If PhysicalTransfer is DEMA (Dematerialised), then PhysicalTransferDetails is not allowed.

On Condition

/PhysicalTransfer is equal to value 'Dematerialised'

Following Must be True

/PhysicalTransferDetails Must be absent

25.4.9 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see ["MarketPracticeVersion1"](#) on page 1862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1862
	Date <Dt>	[0..1]	YearMonth		1862
	Number <Nb>	[0..1]	Text		1862

25.4.10 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see ["CopyInformation5"](#) on page 1803 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1803
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C1	1803

25.4.11 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: [C14 "ExtensionRule"](#)

Extension <Xtnsn> contains the following elements (see ["Extension1"](#) on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

26 **sese.002.001.09**

TransferOutCancellationRequestV09

26.1 **MessageDefinition Functionality**

Scope

The TransferOutCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent transfer out instruction.

Usage

The TransferOutCancellationRequest message is used to request the cancellation of one or more transfer out instructions.

There is no amendment, but a cancellation and re-instruct policy.

To request the cancellation of one or more transfer out instructions, the transfer reference of the transfer, as specified in the original TransferOutInstruction message, is specified in the transfer reference element.

The message identification of the original TransferOutInstruction message may also be quoted in PreviousReference but this is not recommended.

The deadline and acceptance of a cancellation request is subject to a service level agreement (SLA). This cancellation message is a cancellation request. There is no automatic acceptance of the cancellation.

The rejection or acceptance of a TransferOutCancellationRequest is made using a TransferCancellationStatusReport message.

Outline

The TransferOutCancellationRequestV09 MessageDefinition is composed of 8 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. MasterReference

Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

F. TransferReferences

Reference of the transfer to be cancelled.

G. **MarketPracticeVersion**

Identifies the market practice to which the message conforms.

H. **CopyDetails**

Information provided when the message is a copy of a previous message.

26.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root <Document> <TrfOutCxlReq></i>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		399
	PoolReference <PoolRef>	[0..1]	±		399
	PreviousReference <PrvsRef>	[0..1]	±		399
	RelatedReference <RltdRef>	[0..1]	±		399
	MasterReference <MstrRef>	[0..1]	Text		400
	TransferReferences <TrfRefs>	[1..*]			400
	TransferReference <TrfRef>	[1..1]	Text		400
	ClientReference <CIntRef>	[0..1]	±		400
	CounterpartyReference <CtrPtyRef>	[0..1]	±		401
	CancellationReference <CxlRef>	[0..1]	Text		401
	CancellationReason <CxlRsn>	[0..1]	Text		401
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		401
	CopyDetails <CpyDtls>	[0..1]	±		402

26.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

26.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

26.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

26.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference11](#)" on page 1494 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1494
	ReferenceIssuer <RefIssr>	[0..1]	±		1494
	MessageName <MsgNm>	[0..1]	Text		1495

26.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference10](#)" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

26.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

26.4.5 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2683

26.4.6 TransferReferences <TrfRefs>

Presence: [1..*]

Definition: Reference of the transfer to be cancelled.

TransferReferences <TrfRefs> contains the following **TransferReference15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferReference <TrfRef>	[1..1]	Text		400
	ClientReference <ClntRef>	[0..1]	±		400
	CounterpartyReference <CtrPtyRef>	[0..1]	±		401
	CancellationReference <CxlRef>	[0..1]	Text		401
	CancellationReason <CxlRsn>	[0..1]	Text		401

26.4.6.1 TransferReference <TrfRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the transfer instruction for which the cancellation request is sent, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

26.4.6.2 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <ClntRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

26.4.6.3 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transfer allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

26.4.6.4 CancellationReference <CxlRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the transfer cancellation, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

26.4.6.5 CancellationReason <CxlRsn>

Presence: [0..1]

Definition: Reason for the cancellation.

Datatype: "Max35Text" on page 2683

26.4.7 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "MarketPracticeVersion1" on page 1862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1862
	Date <Dt>	[0..1]	YearMonth		1862
	Number <Nb>	[0..1]	Text		1862

26.4.8 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "CopyInformation5" on page 1803 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1803
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C1	1803

27 **sese.003.001.09** **TransferOutConfirmationV09**

27.1 **MessageDefinition Functionality**

The TransferOutConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the delivery of a financial instrument, free of payment, on a given date, to a specified party.

This message may also be used to confirm the delivery of a financial instrument, free of payment, to another of the instructing parties own accounts or to a third party.

Usage

The TransferOutConfirmation message is used to confirm the withdrawal of a financial instrument from the owner's account and its delivery to another own account, or to a third party, has taken place.

The reference of the transfer confirmation is identified in TransferConfirmationReference. The reference of the original transfer instruction is specified in TransferReference. The message identification of the TransferOutInstruction message in which the transfer instruction was conveyed may also be quoted in RelatedReference but this is not recommended.

Outline

The TransferOutConfirmationV09 MessageDefinition is composed of 11 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. MasterReference

Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

F. TransferDetails

General information related to the transfer of the financial instrument.

G. AccountDetails

Information related to the account from which the financial instrument was withdrawn.

H. SettlementDetails

Information related to the receiving side of the transfer.

I. MarketPracticeVersion

Identifies the market practice to which the message conforms.

J. CopyDetails

Information provided when the message is a copy of a previous message.

K. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

27.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <TrfOutConf>	[1..1]		C14, C26	
	MessageIdentification <MsgId>	[1..1]	±		407
	PoolReference <PoolRef>	[0..1]	±		407
	PreviousReference <PrvsRef>	[0..1]	±		408
	RelatedReference <RltdRef>	[0..1]	±		408
	MasterReference <MstrRef>	[0..1]	Text		408
	TransferDetails <TrfDtls>	[1..*]	±	C28	408
	AccountDetails <AcctDtls>	[1..1]	±		410
	SettlementDetails <SttlmDtls>	[0..1]	±	C24, C25	410
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		412
	CopyDetails <CpyDtls>	[0..1]	±		412
	Extension <Xtnsn>	[0..*]	±	C15	412

27.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditonalDetailsGuideline

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

C4 AdditonalDetailsGuideline

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C6 AppliedAmountRule

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C7 BookTransferGuideline

In the case of an own account internal transfer, the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 DepositoryGuideline

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC will be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

C12 DiscountElementRule

Amount Or Rate Or Basis must be present.

C13 DiscountElementRule

Amount Or Rate Or Basis must be present.

C14 EffectiveSettlementDateRule

Either TransferDetails/EffectiveSettlementDate may be present in one or more occurrences of TransferDetails or SettlementDetails/EffectiveSettlementDate may be present, but not both.

This constraint is defined at the MessageDefinition level.

C15 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C16 FeeTaxElementRule

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C17 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C18 NameAndAddress1Rule

If RegisteredAddressIndicator is "true" or "1" (Yes), then NameAndAddress is not allowed.

If RegisteredAddressIndicator is "false" or "0" (No), then NameAndAddress must be present.

C19 NonStandardsSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C20 Party2PresenceRule

If Party2 is present, then Party1 must be present.

C21 Party3PresenceRule

If Party3 is present, then Party2 must be present.

C22 Party4PresenceRule

If Party4 is present, then Party3 must be present.

C23 Party5PresenceRule

If Party5 is present, then Party4 must be present.

C24 PhysicalTransferDetails1Rule

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present.

C25 PhysicalTransferDetails2Rule

If PhysicalTransfer is DEMA (Dematerialised), then PhysicalTransferDetails is not allowed.

C26 RequestedSettlementDateRule

Either TransferDetails/RequestedSettlementDate may be present in one or more occurrences of TransferDetails or SettlementDetails/RequestedSettlementDate may be present, but not both.

This constraint is defined at the MessageDefinition level.

C27 SettlementChainGuideline

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

C28 TotalUnitsNumberRule

TotalUnitsNumber must be the sum of all occurrences of UnitsDetails/UnitsNumber when UnitsDetails is present.

C29 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

27.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

27.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

27.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "AdditionalReference11" on page 1494 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1494
	ReferenceIssuer <RefIssr>	[0..1]	±		1494
	MessageName <MsgNm>	[0..1]	Text		1495

27.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

27.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

27.4.5 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2683

27.4.6 TransferDetails <TrfDtls>

Presence: [1..*]

Definition: General information related to the transfer of the financial instrument.

Impacted by: C28 "TotalUnitsNumberRule"

TransferDetails <TrfDtls> contains the following elements (see "Transfer37" on page 2525 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferConfirmationReference <TrfConfRef>	[1..1]	Text		2527
	TransferReference <TrfRef>	[1..1]	Text		2527
	ClientReference <ClntRef>	[0..1]	±		2527
	CounterpartyReference <CtrPtyRef>	[0..1]	±		2527
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		2528
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2528
	EffectiveTransferDate <FctvTrfDt>	[1..1]	±		2528
	EffectiveSettlementDate <FctvSttlmDt>	[0..1]	±		2528
	TradeDate <TradDt>	[0..1]	±		2528
	TransferOrderDateForm <TrfOrdDtForm>	[0..1]	Date		2529
	TransferReason <TrfRsn>	[0..1]	±		2529
	HoldingsPlanType <HldgsPlanTp>	[0..3]	CodeSet		2529
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C16	2529
	TotalUnitsNumber <TtlUnitsNb>	[1..1]	Quantity		2530
	TransferRate <TrfRate>	[0..1]	Rate		2530
	UnitsDetails <UnitsDtls>	[0..*]	±		2530
	Rounding <Rndg>	[0..1]	CodeSet		2531
	OriginalCost <OrgnlCost>	[0..1]	Amount	C2	2531
	AveragePrice <AvrgPric>	[0..1]	Amount	C3	2532
	NewAveragePrice <NewAvrgPric>	[0..1]	Amount	C3	2532
	AverageDate <AvrgDt>	[0..1]	Date		2532
	NewAverageDate <NewAvrgDt>	[0..1]	Date		2532
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C3	2533
	OwnAccountTransferIndicator <OwnAcctTrfInd>	[0..1]	Indicator		2533
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		2533
	TransferExpensesPaymentType <TrfExpnssPmtTp>	[0..1]	±		2533

Constraints

- **TotalUnitsNumberRule**

TotalUnitsNumber must be the sum of all occurrences of UnitsDetails/UnitsNumber when UnitsDetails is present.

27.4.7 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: Information related to the account from which the financial instrument was withdrawn.

AccountDetails <AcctDtls> contains the following elements (see "[InvestmentAccount70](#)" on page 1559 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <OwnrId>	[0..*]	±		1559
	AccountIdentification <AcctId>	[1..1]	Text		1560
	AccountName <AcctNm>	[0..1]	Text		1560
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1560
	AccountServicer <AcctSvcr>	[0..1]	±		1560
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1560
	IntermediaryInformation <IntrmyInf>	[0..*]	±		1561
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1561
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		1561
	IncomePreference <IncmPref>	[0..1]	CodeSet		1562
	BeneficiaryCertificationCompletion <BnfcrtyCertfctnCmpltn>	[0..1]	CodeSet		1562
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1562
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		1563

27.4.8 SettlementDetails <SttlmDtls>

Presence: [0..1]

Definition: Information related to the receiving side of the transfer.

Impacted by: [C24 "PhysicalTransferDetails1Rule"](#), [C25 "PhysicalTransferDetails2Rule"](#)

SettlementDetails <SttlmDtls> contains the following elements (see "ReceiveInformation20" on page 2508 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Transferee <Trfee>	[0..1]	±		2510
	TransfereeRegisteredAccount <TrfeeRegdAcct>	[0..1]	±		2510
	IntermediaryInformation <IntrmyInf>	[0..*]	±		2510
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		2511
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		2511
	IncomePreference <IncmPref>	[0..1]	CodeSet		2511
	BeneficiaryCertificationCompletion <BnfcrtyCertfctnCmpltn>	[0..1]	CodeSet		2511
	RequestedTradeDate <ReqdTradDt>	[0..1]	Date		2512
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2512
	EffectiveSettlementDate <FctvSttlmDt>	[0..1]	±		2512
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	2512
	StampDuty <StmpDty>	[0..1]	CodeSet		2512
	NetAmount <NetAmt>	[0..1]	Amount	C2, C10	2513
	Fees <Fees>	[0..*]	±	C15	2513
	IndividualTax <IndvTax>	[0..*]	±		2514
	ForeignExchangeDetails <FXDtls>	[0..*]	±		2515
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		2516
	PhysicalTransfer <PhysTrf>	[0..1]	CodeSet		2516
	PhysicalTransferDetails <PhysTrfDtls>	[0..1]	±	C17	2517
	ClientReference <ClntRef>	[0..1]	±		2517

Constraints

- **PhysicalTransferDetails1Rule**

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present.

On Condition

/PhysicalTransfer is equal to value 'Physical'

Following Must be True

/PhysicalTransferDetails Must be present

- **PhysicalTransferDetails2Rule**

If PhysicalTransfer is DEMA (Dematerialised), then PhysicalTransferDetails is not allowed.

On Condition

/PhysicalTransfer is equal to value 'Dematerialised'

Following Must be True

/PhysicalTransferDetails Must be absent

27.4.9 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "[MarketPracticeVersion1](#)" on page 1862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1862
	Date <Dt>	[0..1]	YearMonth		1862
	Number <Nb>	[0..1]	Text		1862

27.4.10 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation5](#)" on page 1803 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1803
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C1	1803

27.4.11 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: [C15 "ExtensionRule"](#)

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

28 **sese.004.001.09**

ReversalOfTransferOutConfirmationV09

28.1 **MessageDefinition Functionality**

Scope

The ReversalOfTransferOutConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent transfer out confirmation.

Usage

The ReversalOfTransferOutConfirmation message is used to reverse a previously sent transfer out confirmation.

To request the reversal of a transfer out confirmation, the transfer reference of the transfer out instruction, as specified in the original TransferOutInstruction message, is specified in the transfer reference element. The executing party's reference for the execution of the transfer may also be specified in the TransferConfirmationReference element.

The message identification of the original TransferOutConfirmation message may also be quoted in PreviousReference but this is not recommended.

Outline

The ReversalOfTransferOutConfirmationV09 MessageDefinition is composed of 8 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. MasterReference

Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

F. ReversalReferences

Reference of the transfer confirmation to be reversed.

G. MarketPracticeVersion

Identifies the market practice to which the message conforms.

H. CopyDetails

Information provided when the message is a copy of a previous message.

28.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root <Document> <RvslOfTrfOutConf></i>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		414
	PoolReference <PoolRef>	[0..1]	±		415
	PreviousReference <PrvsRef>	[0..1]	±		415
	RelatedReference <RltdRef>	[0..1]	±		415
	MasterReference <MstrRef>	[0..1]	Text		416
	ReversalReferences <RvslRefs>	[1..*]			416
	TransferReference <TrfRef>	[1..1]	Text		416
	ClientReference <ClntRef>	[0..1]	±		416
	TransferConfirmationReference <TrfConfRef>	[0..1]	Text		417
	CounterpartyReference <CtrPtyRef>	[0..1]	±		417
	CancellationReference <CxlRef>	[0..1]	Text		417
	ReversalReason <RvslRsn>	[0..1]	Text		417
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		417
	CopyDetails <CpyDtls>	[0..1]	±		418

28.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

28.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

28.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "MessageIdentification1" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

28.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "AdditionalReference11" on page 1494 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1494
	ReferenceIssuer <RefIssr>	[0..1]	±		1494
	MessageName <MsgNm>	[0..1]	Text		1495

28.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

28.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

28.4.5 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2683

28.4.6 ReversalReferences <RvslRefs>

Presence: [1..*]

Definition: Reference of the transfer confirmation to be reversed.

ReversalReferences <RvslRefs> contains the following **TransferReference16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferReference <TrfRef>	[1..1]	Text		416
	ClientReference <ClntRef>	[0..1]	±		416
	TransferConfirmationReference <TrfConfRef>	[0..1]	Text		417
	CounterpartyReference <CtrPtyRef>	[0..1]	±		417
	CancellationReference <CxlRef>	[0..1]	Text		417
	ReversalReason <RvslRsn>	[0..1]	Text		417

28.4.6.1 TransferReference <TrfRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the transfer instruction for which the confirmation reversal is sent, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

28.4.6.2 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <ClntRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

28.4.6.3 TransferConfirmationReference <TrfConfRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the transfer execution for which the confirmation reversal is sent, as assigned by the confirming party.

Datatype: "Max35Text" on page 2683

28.4.6.4 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transfer allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

28.4.6.5 CancellationReference <CxlRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the reversal as assigned by the confirming party.

Datatype: "Max35Text" on page 2683

28.4.6.6 ReversalReason <RvslRsn>

Presence: [0..1]

Definition: Reason for the reversal of confirmation.

Datatype: "Max35Text" on page 2683

28.4.7 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "MarketPracticeVersion1" on page 1862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1862
	Date <Dt>	[0..1]	YearMonth		1862
	Number <Nb>	[0..1]	Text		1862

28.4.8 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "CopyInformation5" on page 1803 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1803
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C1	1803

29 **sese.005.001.09** **TransferInstructionV09**

29.1 **MessageDefinition Functionality**

The TransferInstruction message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct the receipt of a financial instrument, free of payment, on a given date from a specified party.

This message may also be used to instruct the receipt of a financial instrument, free of payment, from another of the instructing parties own accounts or from a third party.

Usage

The TransferInstruction message is used to instruct the receipt of a financial instrument from another account, either owned by the instructing party or by a third party.

Outline

The TransferInstructionV09 MessageDefinition is composed of 12 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. Function

Function of the transfer in, that is, whether the transfer in message is used as an instruction or an advice and request for information. The absence of Function indicates the message is an instruction.

F. MasterReference

Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

G. TransferDetails

General information related to the transfer of the financial instrument.

H. AccountDetails

Information related to the account into which the financial instrument is to be received.

I. SettlementDetails

Information related to the delivering side of the transfer.

J. MarketPracticeVersion

Identifies the market practice to which the message conforms.

K. CopyDetails

Information provided when the message is a copy of a previous message.

L. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

29.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <TrflnInstr>	[1..1]		C25	
	MessageIdentification <MsgId>	[1..1]	±		423
	PoolReference <PoolRef>	[0..1]	±		423
	PreviousReference <PrvsRef>	[0..1]	±		424
	RelatedReference <RltdRef>	[0..1]	±		424
	Function <Fctn>	[0..1]	CodeSet		424
	MasterReference <MstrRef>	[0..1]	Text		425
	TransferDetails <TrfDtls>	[1..*]	±	C27	425
	AccountDetails <AcctDtls>	[1..1]	±		426
	SettlementDetails <SttlmDtls>	[0..1]	±	C23, C24	427
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		429
	CopyDetails <CpyDtls>	[0..1]	±		429
	Extension <Xtnsn>	[0..*]	±	C14	429

29.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditonalDetailsGuideline

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

C4 AdditonalDetailsGuideline

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C6 AppliedAmountRule

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C7 BookTransferGuideline

In the case of an own account internal transfer, the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 DepositoryGuideline

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC will be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

C12 DiscountElementRule

Amount Or Rate Or Basis must be present.

C13 DiscountElementRule

Amount Or Rate Or Basis must be present.

C14 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C15 FeeTaxElementRule

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C16 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C17 NameAndAddress1Rule

If RegisteredAddressIndicator is "true" or "1" (Yes), then NameAndAddress is not allowed.

If RegisteredAddressIndicator is "false" or "0" (No), then NameAndAddress must be present.

C18 NonStandardsSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C19 Party2PresenceRule

If Party2 is present, then Party1 must be present.

C20 Party3PresenceRule

If Party3 is present, then Party2 must be present.

C21 Party4PresenceRule

If Party4 is present, then Party3 must be present.

C22 Party5PresenceRule

If Party5 is present, then Party4 must be present.

C23 PhysicalTransferDetails1Rule

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present.

C24 PhysicalTransferDetails2Rule

If PhysicalTransfer is DEMA (Dematerialised), then PhysicalTransferDetails is not allowed.

C25 RequestedSettlementDateRule

Either TransferDetails/RequestedSettlementDate may be present in one or more occurrences of TransferDetails or SettlementDetails/RequestedSettlementDate may be present, but not both.

This constraint is defined at the MessageDefinition level.

C26 SettlementChainGuideline

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

C27 TotalUnitsNumberRule

Quantity/TotalUnitsNumber must be the sum of all occurrences of UnitsDetails/UnitsNumber when UnitsDetails is present.

C28 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

29.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

29.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

29.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "AdditionalReference11" on page 1494 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1494
	ReferenceIssuer <RefIssr>	[0..1]	±		1494
	MessageName <MsgNm>	[0..1]	Text		1495

29.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

29.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

29.4.5 Function <Fctn>

Presence: [0..1]

Definition: Function of the transfer in, that is, whether the transfer in message is used as an instruction or an advice and request for information. The absence of Function indicates the message is an instruction.

Datatype: "TransferInFunction1Code" on page 2658

CodeName	Name	Definition
ADRE	AdviceAndRequest	The transfer-in is an advice and request, that is, the message is used to inform

CodeName	Name	Definition
		the receiver to expect an unsolicited transfer in confirmation and to request account information for the transfer.
INST	Instruction	The transfer-in is an instruction.

29.4.6 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2683

29.4.7 TransferDetails <TrfDtls>

Presence: [1..*]

Definition: General information related to the transfer of the financial instrument.

Impacted by: C27 "TotalUnitsNumberRule"

TransferDetails <TrfDtls> contains the following elements (see "Transfer36" on page 2470 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferReference <TrfRef>	[1..1]	Text		2471
	ClientReference <ClntRef>	[0..1]	±		2471
	CounterpartyReference <CtrPtyRef>	[0..1]	±		2471
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		2471
	RequestedTransferDate <ReqdTrfDt>	[0..1]	±		2472
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2472
	TransferOrderDateForm <TrfOrdRdtForm>	[0..1]	Date		2472
	TransferReason <TrfRsn>	[0..1]	±		2472
	HoldingsPlanType <HldgsPlanTp>	[0..3]	CodeSet		2472
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C16	2473
	Quantity <Qty>	[1..1]	±		2473
	UnitsDetails <UnitsDtls>	[0..*]	±		2474
	Rounding <Rndg>	[0..1]	CodeSet		2474
	OriginalCost <OrgnlCost>	[0..1]	Amount	C2	2475
	AveragePrice <AvrgPric>	[0..1]	Amount	C3	2475
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C3	2475
	OwnAccountTransferIndicator <OwnAcctTrfInd>	[0..1]	Indicator		2476
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		2476
	TransferExpensesPaymentType <TrfExpnssPmtTp>	[0..1]	±		2476

Constraints

- **TotalUnitsNumberRule**

Quantity/TotalUnitsNumber must be the sum of all occurrences of UnitsDetails/UnitsNumber when UnitsDetails is present.

29.4.8 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: Information related to the account into which the financial instrument is to be received.

AccountDetails <AcctDtls> contains the following elements (see "InvestmentAccount71" on page 1554 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[0..*]	±		1555
	AccountIdentification <AcctId>	[1..1]	Text		1555
	AccountName <AcctNm>	[0..1]	Text		1555
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1556
	AccountServicer <AcctSvcr>	[0..1]	±		1556
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1556
	IntermediaryInformation <IntrmyInf>	[0..*]	±		1556
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1557
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		1557
	IncomePreference <IncmPref>	[0..1]	CodeSet		1557
	BeneficiaryCertificationCompletion <BnfcrtyCertfctnCmpltn>	[0..1]	CodeSet		1558
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1558
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		1558

29.4.9 SettlementDetails <SttlmDtls>

Presence: [0..1]

Definition: Information related to the delivering side of the transfer.

Impacted by: C23 "PhysicalTransferDetails1Rule", C24 "PhysicalTransferDetails2Rule"

SettlementDetails <SttlmDtls> contains the following elements (see "DeliverInformation21" on page 2500 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Transferor <Trfr>	[0..1]	±		2501
	TransferorRegisteredAccount <TrfrRegdAcct>	[0..1]	±		2502
	IntermediaryInformation <IntrmyInf>	[0..*]	±		2502
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		2502
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		2503
	IncomePreference <IncmPref>	[0..1]	CodeSet		2503
	BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>	[0..1]	CodeSet		2503
	RequestedTradeDate <ReqdTradDt>	[0..1]	Date		2503
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2504
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	2504
	StampDuty <StmpDty>	[0..1]	CodeSet		2504
	NetAmount <NetAmt>	[0..1]	Amount	C2, C10	2504
	Fees <Fees>	[0..*]	±	C15	2505
	IndividualTax <IndvTax>	[0..*]	±		2506
	ForeignExchangeDetails <FXDtls>	[0..*]	±		2506
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		2507
	PhysicalTransfer <PhysTrf>	[0..1]	CodeSet		2508
	PhysicalTransferDetails <PhysTrfDtls>	[0..1]	±	C17	2508
	ClientReference <ClntRef>	[0..1]	±		2508

Constraints

• PhysicalTransferDetails1Rule

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present.

On Condition

/PhysicalTransfer is equal to value 'Physical'

Following Must be True

/PhysicalTransferDetails Must be present

• PhysicalTransferDetails2Rule

If PhysicalTransfer is DEMA (Dematerialised), then PhysicalTransferDetails is not allowed.

On Condition

/PhysicalTransfer is equal to value 'Dematerialised'

Following Must be True

/PhysicalTransferDetails Must be absent

29.4.10 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see ["MarketPracticeVersion1"](#) on page 1862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1862
	Date <Dt>	[0..1]	YearMonth		1862
	Number <Nb>	[0..1]	Text		1862

29.4.11 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see ["CopyInformation5"](#) on page 1803 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1803
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C1	1803

29.4.12 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: [C14 "ExtensionRule"](#)

Extension <Xtnsn> contains the following elements (see ["Extension1"](#) on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

30 **sese.006.001.09**

TransferInCancellationRequestV09

30.1 **MessageDefinition Functionality**

Scope

The TransferInCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent transfer in instruction.

Usage

The TransferInCancellationRequest message is used to request the cancellation of one or more transfer in instructions.

There is no amendment, but a cancellation and re-instruct policy.

To request the cancellation of one or more transfer in instructions, the transfer reference of the transfer, as specified in the original TransferInInstruction message, is specified in the transfer reference element.

The message identification of the original TransferOutInstruction message may also be quoted in PreviousReference but this is not recommended.

The deadline and acceptance of a cancellation request is subject to a service level agreement (SLA). This cancellation message is a cancellation request. There is no automatic acceptance of the cancellation.

The rejection or acceptance of a TransferInCancellationRequest is made using a TransferCancellationStatusReport message.

Outline

The TransferInCancellationRequestV09 MessageDefinition is composed of 9 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. MasterReference

Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

F. Function

Function of the transfer-in, that is, whether the message is used as a request to cancel a previously sent instruction or as a cancellation of a previously sent advice and request for information. The absence of Function indicates the message is a request to cancel a previously sent instruction.

G. TransferReferences

Reference of the transfer to be cancelled.

H. MarketPracticeVersion

Identifies the market practice to which the message conforms.

I. CopyDetails

Information provided when the message is a copy of a previous message.

30.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <TrInCxlReq>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		432
	PoolReference <PoolRef>	[0..1]	±		432
	PreviousReference <PrvsRef>	[0..1]	±		432
	RelatedReference <RltdRef>	[0..1]	±		433
	MasterReference <MstrRef>	[0..1]	Text		433
	Function <Fctn>	[0..1]	CodeSet		433
	TransferReferences <TrfRefs>	[1..*]			434
	TransferReference <TrfRef>	[1..1]	Text		434
	ClientReference <ClntRef>	[0..1]	±		434
	CounterpartyReference <CtrPtyRef>	[0..1]	±		434
	CancellationReference <CxlRef>	[0..1]	Text		435
	CancellationReason <CxlRsn>	[0..1]	Text		435
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		435
	CopyDetails <CpyDtls>	[0..1]	±		435

30.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

30.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

30.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

30.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference11](#)" on page 1494 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1494
	ReferenceIssuer <RefIssr>	[0..1]	±		1494
	MessageName <MsgNm>	[0..1]	Text		1495

30.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

30.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

30.4.5 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2683

30.4.6 Function <Fctn>

Presence: [0..1]

Definition: Function of the transfer-in, that is, whether the message is used as a request to cancel a previously sent instruction or as a cancellation of a previously sent advice and request for information. The absence of Function indicates the message is a request to cancel a previously sent instruction.

Datatype: "TransferInFunction1Code" on page 2658

CodeName	Name	Definition
ADRE	AdviceAndRequest	The transfer-in is an advice and request, that is, the message is used to inform the receiver to expect an unsolicited transfer in confirmation and to request account information for the transfer.
INST	Instruction	The transfer-in is an instruction.

30.4.7 TransferReferences <TrfRefs>

Presence: [1..*]

Definition: Reference of the transfer to be cancelled.

TransferReferences <TrfRefs> contains the following **TransferReference15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferReference <TrfRef>	[1..1]	Text		434
	ClientReference <ClntRef>	[0..1]	±		434
	CounterpartyReference <CtrPtyRef>	[0..1]	±		434
	CancellationReference <CxlRef>	[0..1]	Text		435
	CancellationReason <CxlRsn>	[0..1]	Text		435

30.4.7.1 TransferReference <TrfRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the transfer instruction for which the cancellation request is sent, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

30.4.7.2 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <ClntRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

30.4.7.3 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transfer allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see ["AdditionalReference10"](#) on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

30.4.7.4 CancellationReference <CxlRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the transfer cancellation, as assigned by the instructing party.

Datatype: ["Max35Text"](#) on page 2683

30.4.7.5 CancellationReason <CxlRsn>

Presence: [0..1]

Definition: Reason for the cancellation.

Datatype: ["Max35Text"](#) on page 2683

30.4.8 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see ["MarketPracticeVersion1"](#) on page 1862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1862
	Date <Dt>	[0..1]	YearMonth		1862
	Number <Nb>	[0..1]	Text		1862

30.4.9 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see ["CopyInformation5"](#) on page 1803 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1803
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C1	1803

31 **sese.007.001.09**

TransferInConfirmationV09

31.1 **MessageDefinition Functionality**

Scope

The TransferInConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the receipt of a financial instrument, free of payment, on a given date, from a specified party.

This message may also be used to confirm the receipt of a financial instrument, free of payment, from another of the instructing parties own accounts or from a third party.

This message may also be used as an advice, that is, the message is used to provide account information.

Usage

The TransferInConfirmation message is used to confirm receipt of a financial instrument, either from another account owned by the instructing party or from a third party. The reference of the transfer confirmation is identified in TransferConfirmationReference.

The reference of the original transfer instruction is specified in TransferReference. The message identification of the TransferInInstruction message in which the transfer instruction was conveyed may also be quoted in RelatedReference.

Outline

The TransferInConfirmationV09 MessageDefinition is composed of 12 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. Function

Function of the transfer in, that is, whether the transfer in message is used as a confirmation or as or an advice. The absence of Function indicates the message is a confirmation.

F. MasterReference

Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

G. TransferDetails

General information related to the transfer of the financial instrument.

H. AccountDetails

Information related to the account into which the financial instrument was received.

I. SettlementDetails

Information related to the delivering side of the transfer.

J. MarketPracticeVersion

Identifies the market practice to which the message conforms.

K. CopyDetails

Information provided when the message is a copy of a previous message.

L. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

31.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <TrflnConf>	[1..1]		C14, C26	
	MessageIdentification <MsgId>	[1..1]	±		440
	PoolReference <PoolRef>	[0..1]	±		441
	PreviousReference <PrvsRef>	[0..1]	±		441
	RelatedReference <RltdRef>	[0..1]	±		441
	Function <Fctn>	[0..1]	CodeSet		442
	MasterReference <MstrRef>	[0..1]	Text		442
	TransferDetails <TrfDtls>	[1..*]	±	C28	442
	AccountDetails <AcctDtls>	[1..1]	±		444
	SettlementDetails <SttlmDtls>	[0..1]	±	C24, C25	444
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		446
	CopyDetails <CpyDtls>	[0..1]	±		446
	Extension <Xtnsn>	[0..*]	±	C15	446

31.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with

the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditonalDetailsGuideline

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

C4 AdditonalDetailsGuideline

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C6 AppliedAmountRule

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C7 BookTransferGuideline

In the case of an own account internal transfer, the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 DepositoryGuideline

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC will be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

C12 DiscountElementRule

Amount Or Rate Or Basis must be present.

C13 DiscountElementRule

Amount Or Rate Or Basis must be present.

C14 EffectiveSettlementDateRule

Either TransferDetails/EffectiveSettlementDate may be present in one or more occurrences of TransferDetails or SettlementDetails/Effective Settlement Date may be present, but not both.

This constraint is defined at the MessageDefinition level.

C15 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C16 FeeTaxElementRule

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C17 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C18 NameAndAddress1Rule

If RegisteredAddressIndicator is "true" or "1" (Yes), then NameAndAddress is not allowed.

If RegisteredAddressIndicator is "false" or "0" (No), then NameAndAddress must be present.

C19 NonStandardsSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C20 Party2PresenceRule

If Party2 is present, then Party1 must be present.

C21 Party3PresenceRule

If Party3 is present, then Party2 must be present.

C22 Party4PresenceRule

If Party4 is present, then Party3 must be present.

C23 Party5PresenceRule

If Party5 is present, then Party4 must be present.

C24 PhysicalTransferDetails1Rule

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present.

C25 PhysicalTransferDetails2Rule

If PhysicalTransfer is DEMA (Dematerialised), then PhysicalTransferDetails is not allowed.

C26 RequestedSettlementDateRule

Either TransferDetails/RequestedSettlementDate may be present in one or more occurrences of TransferDetails or SettlementDetails/RequestedSettlementDate may be present, but not both.

This constraint is defined at the MessageDefinition level.

C27 SettlementChainGuideline

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

C28 TotalUnitsNumberRule

TotalUnitsNumber must be the sum of all occurrences of UnitsDetails/UnitsNumber when UnitsDetails is present.

C29 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

31.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

31.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

31.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference11](#)" on page 1494 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1494
	ReferenceIssuer <RefIssr>	[0..1]	±		1494
	MessageName <MsgNm>	[0..1]	Text		1495

31.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference10](#)" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

31.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

31.4.5 Function <Fctn>

Presence: [0..1]

Definition: Function of the transfer in, that is, whether the transfer in message is used as a confirmation or as or an advice. The absence of Function indicates the message is a confirmation.

Datatype: "TransferInFunction2Code" on page 2658

CodeName	Name	Definition
CONF	Confirmation	The transfer-in is a confirmation (rather than an advice).
ADVI	Advice	The transfer-in provides advice about account information for a transfer.

31.4.6 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2683

31.4.7 TransferDetails <TrfDtls>

Presence: [1..*]

Definition: General information related to the transfer of the financial instrument.

Impacted by: C28 "TotalUnitsNumberRule"

TransferDetails <TrfDtls> contains the following elements (see "Transfer37" on page 2525 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferConfirmationReference <TrfConfRef>	[1..1]	Text		2527
	TransferReference <TrfRef>	[1..1]	Text		2527
	ClientReference <ClntRef>	[0..1]	±		2527
	CounterpartyReference <CtrPtyRef>	[0..1]	±		2527
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		2528
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2528
	EffectiveTransferDate <FctvTrfDt>	[1..1]	±		2528
	EffectiveSettlementDate <FctvSttlmDt>	[0..1]	±		2528
	TradeDate <TradDt>	[0..1]	±		2528
	TransferOrderDateForm <TrfOrdDtForm>	[0..1]	Date		2529
	TransferReason <TrfRsn>	[0..1]	±		2529
	HoldingsPlanType <HldgsPlanTp>	[0..3]	CodeSet		2529
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C16	2529
	TotalUnitsNumber <TtlUnitsNb>	[1..1]	Quantity		2530
	TransferRate <TrfRate>	[0..1]	Rate		2530
	UnitsDetails <UnitsDtls>	[0..*]	±		2530
	Rounding <Rndg>	[0..1]	CodeSet		2531
	OriginalCost <OrgnlCost>	[0..1]	Amount	C2	2531
	AveragePrice <AvrgPric>	[0..1]	Amount	C3	2532
	NewAveragePrice <NewAvrgPric>	[0..1]	Amount	C3	2532
	AverageDate <AvrgDt>	[0..1]	Date		2532
	NewAverageDate <NewAvrgDt>	[0..1]	Date		2532
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C3	2533
	OwnAccountTransferIndicator <OwnAcctTrfInd>	[0..1]	Indicator		2533
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		2533
	TransferExpensesPaymentType <TrfExpnssPmtTp>	[0..1]	±		2533

Constraints

- **TotalUnitsNumberRule**

TotalUnitsNumber must be the sum of all occurrences of UnitsDetails/UnitsNumber when UnitsDetails is present.

31.4.8 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: Information related to the account into which the financial instrument was received.

AccountDetails <AcctDtls> contains the following elements (see "[InvestmentAccount71](#)" on page 1554 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <OwnrId>	[0..*]	±		1555
	AccountIdentification <AcctId>	[1..1]	Text		1555
	AccountName <AcctNm>	[0..1]	Text		1555
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1556
	AccountServicer <AcctSvcr>	[0..1]	±		1556
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1556
	IntermediaryInformation <IntrmyInf>	[0..*]	±		1556
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1557
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		1557
	IncomePreference <IncmPref>	[0..1]	CodeSet		1557
	BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>	[0..1]	CodeSet		1558
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1558
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		1558

31.4.9 SettlementDetails <SttlmDtls>

Presence: [0..1]

Definition: Information related to the delivering side of the transfer.

Impacted by: [C24 "PhysicalTransferDetails1Rule"](#), [C25 "PhysicalTransferDetails2Rule"](#)

SettlementDetails <SttlmDtls> contains the following elements (see "DeliverInformation20" on page 2491 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Transferor <Trfr>	[0..1]	±		2493
	TransferorRegisteredAccount <TrfrRegdAcct>	[0..1]	±		2493
	IntermediaryInformation <IntrmyInf>	[0..*]	±		2493
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		2494
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		2494
	IncomePreference <IncmPref>	[0..1]	CodeSet		2494
	BeneficiaryCertificationCompletion <BnfryCertfctnCmpltn>	[0..1]	CodeSet		2494
	RequestedTradeDate <ReqdTradDt>	[0..1]	Date		2495
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2495
	EffectiveSettlementDate <FctvSttlmDt>	[0..1]	±		2495
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	2495
	StampDuty <StmpDty>	[0..1]	CodeSet		2495
	NetAmount <NetAmt>	[0..1]	Amount	C2, C10	2496
	Fees <Fees>	[0..*]	±	C15	2496
	IndividualTax <IndvTax>	[0..*]	±		2497
	ForeignExchangeDetails <FXDtls>	[0..*]	±		2498
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		2499
	PhysicalTransfer <PhysTrf>	[0..1]	CodeSet		2499
	PhysicalTransferDetails <PhysTrfDtls>	[0..1]	±	C17	2500
	ClientReference <ClntRef>	[0..1]	±		2500

Constraints

- **PhysicalTransferDetails1Rule**

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present.

On Condition

/PhysicalTransfer is equal to value 'Physical'

Following Must be True

/PhysicalTransferDetails Must be present

- **PhysicalTransferDetails2Rule**

If PhysicalTransfer is DEMA (Dematerialised), then PhysicalTransferDetails is not allowed.

On Condition

/PhysicalTransfer is equal to value 'Dematerialised'

Following Must be True

/PhysicalTransferDetails Must be absent

31.4.10 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "[MarketPracticeVersion1](#)" on page 1862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1862
	Date <Dt>	[0..1]	YearMonth		1862
	Number <Nb>	[0..1]	Text		1862

31.4.11 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation5](#)" on page 1803 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1803
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C1	1803

31.4.12 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: [C15 "ExtensionRule"](#)

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

32 sese.008.001.09 ReversalOfTransferInConfirmationV09

32.1 MessageDefinition Functionality

Scope

The ReversalOfTransferInConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent transfer in confirmation.

Usage

The ReversalOfTransferInConfirmation message is used to reverse a previously sent transfer in confirmation.

To request the reversal of a transfer in confirmation, the transfer reference of the transfer in instruction, as specified in the original TransferInInstruction message, is specified in the transfer reference element. The executing party's reference for the execution of the transfer may also be specified in the TransferConfirmationReference element.

The message identification of the original TransferInConfirmation message may also be quoted in PreviousReference but this is not recommended.

Outline

The ReversalOfTransferInConfirmationV09 MessageDefinition is composed of 9 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. MasterReference

Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

F. Function

Function of the transfer-in, that is, whether the message is used as a reversal of a previously sent confirmation or as a reversal of a previously sent advice. The absence of Function indicates the message is a reversal of a previously sent confirmation.

G. ReversalReferences

Reference of the transfer confirmation to be reversed.

H. MarketPracticeVersion

Identifies the market practice to which the message conforms.

I. CopyDetails

Information provided when the message is a copy of a previous message.

32.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <RvslOfTrfInConf>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		449
	PoolReference <PoolRef>	[0..1]	±		449
	PreviousReference <PrvsRef>	[0..1]	±		449
	RelatedReference <RltdRef>	[0..1]	±		449
	MasterReference <MstrRef>	[0..1]	Text		450
	Function <Fctn>	[0..1]	CodeSet		450
	ReversalReferences <RvslRefs>	[1..*]			450
	TransferReference <TrfRef>	[1..1]	Text		451
	ClientReference <ClntRef>	[0..1]	±		451
	TransferConfirmationReference <TrfConfRef>	[0..1]	Text		451
	CounterpartyReference <CtrPtyRef>	[0..1]	±		451
	CancellationReference <CxlRef>	[0..1]	Text		451
	ReversalReason <RvslRsn>	[0..1]	Text		452
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		452
	CopyDetails <CpyDtls>	[0..1]	±		452

32.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

32.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

32.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

32.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference11](#)" on page 1494 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1494
	ReferenceIssuer <RefIssr>	[0..1]	±		1494
	MessageName <MsgNm>	[0..1]	Text		1495

32.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference10](#)" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

32.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

32.4.5 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2683

32.4.6 Function <Fctn>

Presence: [0..1]

Definition: Function of the transfer-in, that is, whether the message is used as a reversal of a previously sent confirmation or as a reversal of a previously sent advice. The absence of Function indicates the message is a reversal of a previously sent confirmation.

Datatype: "TransferInFunction2Code" on page 2658

CodeName	Name	Definition
CONF	Confirmation	The transfer-in is a confirmation (rather than an advice).
ADVI	Advice	The transfer-in provides advice about account information for a transfer.

32.4.7 ReversalReferences <RvslRefs>

Presence: [1..*]

Definition: Reference of the transfer confirmation to be reversed.

ReversalReferences <RvslRefs> contains the following **TransferReference16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferReference <TrfRef>	[1..1]	Text		451
	ClientReference <ClntRef>	[0..1]	±		451
	TransferConfirmationReference <TrfConfRef>	[0..1]	Text		451
	CounterpartyReference <CtrPtyRef>	[0..1]	±		451
	CancellationReference <CxIRef>	[0..1]	Text		451
	ReversalReason <RvslRsn>	[0..1]	Text		452

32.4.7.1 TransferReference <TrfRef>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier for the transfer instruction for which the confirmation reversal is sent, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2683**32.4.7.2 ClientReference <ClntRef>***Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.**ClientReference <ClntRef>** contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

32.4.7.3 TransferConfirmationReference <TrfConfRef>*Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the transfer execution for which the confirmation reversal is sent, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2683**32.4.7.4 CounterpartyReference <CtrPtyRef>***Presence:* [0..1]*Definition:* Unambiguous identification of the transfer allocated by the counterparty.**CounterpartyReference <CtrPtyRef>** contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

32.4.7.5 CancellationReference <CxlRef>*Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the reversal as assigned by the confirming party.*Datatype:* "Max35Text" on page 2683

32.4.7.6 ReversalReason <RvslRsn>*Presence:* [0..1]*Definition:* Reason for the reversal of confirmation.*Datatype:* "Max35Text" on page 2683**32.4.8 MarketPracticeVersion <MktPrctcVrsn>***Presence:* [0..1]*Definition:* Identifies the market practice to which the message conforms.**MarketPracticeVersion <MktPrctcVrsn>** contains the following elements (see "MarketPracticeVersion1" on page 1862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1862
	Date <Dt>	[0..1]	YearMonth		1862
	Number <Nb>	[0..1]	Text		1862

32.4.9 CopyDetails <CpyDtls>*Presence:* [0..1]*Definition:* Information provided when the message is a copy of a previous message.**CopyDetails <CpyDtls>** contains the following elements (see "CopyInformation5" on page 1803 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1803
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C1	1803

33 sese.009.001.08

RequestForTransferStatusReportV08

33.1 MessageDefinition Functionality

Scope

The RequestForTransferStatusReport is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent to request the status of a previously instructed transfer.

The RequestForTransferStatusReport may also be sent by any party in the transfer transaction to another party in the transfer transaction chain to request information relevant for the settlement of a transfer transaction.

Usage

The RequestForTransferStatusReport is used to request either:

- the status of one or several information requests or transfer instructions or,
- the status of one or several transfer cancellation instructions, or
- information relevant to one or more transfer instructions.

Outline

The RequestForTransferStatusReportV08 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. RequestDetails

Information to identify the transfer for which the status is requested.

C. MarketPracticeVersion

Identifies the market practice to which the message conforms.

D. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

33.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ReqForTrfStsRpt>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		456
	RequestDetails <ReqDtls>	[1..*]		C7, C8	456
	Reference <Ref>	[0..1]			458
{Or	PreviousReference <PrvsRef>	[1..1]	±		458
Or}	OtherReference <OthrRef>	[1..1]	±		458
	TypeOfRequest <TpOfReq>	[0..1]			459
{Or	Code <Cd>	[1..1]	CodeSet		459
Or}	Proprietary <Prtry>	[1..1]	±		459
	MasterReference <MstrRef>	[0..1]	Text		459
	TransferReference <TrfRef>	[1..1]	Text		460
	ClientReference <ClntRef>	[0..1]	±		460
	CancellationReference <CxlRef>	[0..1]	Text		460
	Instrument <Instrm>	[0..1]			460
{Or	Security <Scty>	[1..1]	±	C6	460
Or	CashAsset <CshAsst>	[1..1]	±	C5	461
Or}	OtherAsset <OthrAsst>	[1..1]	±		461
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]			462
	OwnerIdentification <OwnrId>	[0..1]	±		462
	AccountIdentification <AcctId>	[0..1]	Text		463
	AccountDesignation <AcctDsgnt>	[0..1]	Text		463
	AccountName <AcctNm>	[0..1]	Text		463
	Type <Tp>	[0..1]	±		463
	Servicer <Svcr>	[0..1]	±		464
	SubAccountDetails <SubAcctDtls>	[0..1]	±		464
	IntermediaryInformation <IntrmyInf>	[0..*]	±		464
	QueryInformation <QryInf>	[0..*]			465
	QueryType <QryTp>	[0..1]	±		465
	Query <Qry>	[1..1]	Text		466
	QueryReason <QryRsn>	[0..1]	Text		466

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	RejectionReason <RjctnRsn>	[0..1]			466
{Or	Code <Cd>	[1..1]	CodeSet		466
Or}	Proprietary <Prtry>	[1..1]	±		468
	RequestIssuer <ReqIssr>	[0..1]	±		469
	RequestRecipient <ReqRcpt>	[0..1]	±		469
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		469
	Extension <Xtnsn>	[0..*]	±	C4	470

33.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C5 HoldingTransferCurrencyGuideline

When cash is transferred in a currency other than that of the cash, the currency should be specified in TransferCurrency.

C6 IdentificationGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C7 OtherReferenceRule

Reference/OtherReference should be used to reference a transfer instruction or a transfer cancellation request 'message' sent in a proprietary way or used for a system reference. If Reference/OtherReference is present, Reference/OtherReference/MessageName must contain 'fax' or 'phone' or 'email', etc.

C8 PreviousReferenceRule

If Reference/PreviousReference/MessageName is present, it must start with sese.001, sese.002, sese.005, sese.006, sese.012 or sese.014.

33.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

33.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

33.4.2 RequestDetails <ReqDtls>

Presence: [1..*]

Definition: Information to identify the transfer for which the status is requested.

Impacted by: [C7 "OtherReferenceRule"](#), [C8 "PreviousReferenceRule"](#)

RequestDetails <ReqDtls> contains the following **MessageAndBusinessReference13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]			458
{Or	PreviousReference <PrvsRef>	[1..1]	±		458
Or}	OtherReference <OthrRef>	[1..1]	±		458
	TypeOfRequest <TpOfReq>	[0..1]			459
{Or	Code <Cd>	[1..1]	CodeSet		459
Or}	Proprietary <Prtry>	[1..1]	±		459
	MasterReference <MstrRef>	[0..1]	Text		459
	TransferReference <TrfRef>	[1..1]	Text		460
	ClientReference <ClntRef>	[0..1]	±		460
	CancellationReference <CxlRef>	[0..1]	Text		460
	Instrument <Instrm>	[0..1]			460
{Or	Security <Scty>	[1..1]	±	C6	460
Or	CashAsset <CshAsst>	[1..1]	±	C5	461
Or}	OtherAsset <OthrAsst>	[1..1]	±		461
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]			462
	OwnerIdentification <Ownrld>	[0..1]	±		462
	AccountIdentification <AcctId>	[0..1]	Text		463
	AccountDesignation <AcctDsgnt>	[0..1]	Text		463
	AccountName <AcctNm>	[0..1]	Text		463
	Type <Tp>	[0..1]	±		463
	Servicer <Svcr>	[0..1]	±		464
	SubAccountDetails <SubAcctDtls>	[0..1]	±		464
	IntermediaryInformation <IntrmyInf>	[0..*]	±		464
	QueryInformation <QryInf>	[0..*]			465
	QueryType <QryTp>	[0..1]	±		465
	Query <Qry>	[1..1]	Text		466
	QueryReason <QryRsn>	[0..1]	Text		466
	RejectionReason <RjctnRsn>	[0..1]			466
{Or	Code <Cd>	[1..1]	CodeSet		466
Or}	Proprietary <Prtry>	[1..1]	±		468
	RequestIssuer <ReqIssr>	[0..1]	±		469

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RequestRecipient <ReqRcpt>	[0..1]	±		469

Constraints

- **OtherReferenceRule**

Reference/OtherReference should be used to reference a transfer instruction or a transfer cancellation request 'message' sent in a proprietary way or used for a system reference. If Reference/OtherReference is present, Reference/OtherReference/MessageName must contain 'fax' or 'phone' or 'email', etc.

- **PreviousReferenceRule**

If Reference/PreviousReference/MessageName is present, it must start with sese.001, sese.002, sese.005, sese.006, sese.012 or sese.014.

33.4.2.1 Reference <Ref>

Presence: [0..1]

Definition: Reference to the message or communication that was previously sent.

Reference <Ref> contains one of the following **References68Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PreviousReference <PrvsRef>	[1..1]	±		458
Or}	OtherReference <OthrRef>	[1..1]	±		458

33.4.2.1.1 PreviousReference <PrvsRef>

Presence: [1..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference10](#)" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

33.4.2.1.2 OtherReference <OthrRef>

Presence: [1..1]

Definition: Reference to a linked message sent in a proprietary way or the reference of a system.

OtherReference <OthrRef> contains the following elements (see "[AdditionalReference10](#)" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

33.4.2.2 TypeOfRequest <TpOfReq>

Presence: [0..1]

Definition: Type of request required.

TypeOfRequest <TpOfReq> contains one of the following **TypeOfRequest1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		459
Or}	Proprietary <Prtry>	[1..1]	±		459

33.4.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of request expressed as a code.

Datatype: "[TypeOfRequest1Code](#)" on page 2667

CodeName	Name	Definition
INFO	Information	Request for information.
STAT	Status	Request for status.

33.4.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of request expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

33.4.2.3 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2683

33.4.2.4 TransferReference <TrfRef>

Presence: [1..1]

Definition: Unique and unambiguous identification of the transfer, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

33.4.2.5 ClientReference <CIntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <CIntRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

33.4.2.6 CancellationReference <CxlRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a transfer cancellation, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

33.4.2.7 Instrument <Instrm>

Presence: [0..1]

Definition: Identification of the asset.

Instrument <Instrm> contains one of the following **FinancialInstrument63Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Security <Scty>	[1..1]	±	C6	460
Or	CashAsset <CshAsst>	[1..1]	±	C5	461
Or}	OtherAsset <OthrAsst>	[1..1]	±		461

33.4.2.7.1 Security <Scty>

Presence: [1..1]

Definition: Identification of the security.

Impacted by: C6 "IdentificationGuideline"

Security <Scty> contains the following elements (see "FinancialInstrumentIdentification2" on page 1462 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1462
	Name <Nm>	[0..1]	Text		1463
	ShortName <ShrtNm>	[0..1]	Text		1463
	ClassificationType <ClssfctnTp>	[0..1]	±		1463

Constraints

- **IdentificationGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

33.4.2.7.2 CashAsset <CshAsst>

Presence: [1..1]

Definition: Identification of the cash asset.

Impacted by: C5 "HoldingTransferCurrencyGuideline"

CashAsset <CshAsst> contains the following elements (see "CashAsset3" on page 1460 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAssetType <CshAsstTp>	[1..1]			1460
{Or	Code <Cd>	[1..1]	CodeSet		1461
Or}	Proprietary <Prtry>	[1..1]	±		1461
	HoldingCurrency <HldgCcy>	[1..1]	CodeSet	C2	1461
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C2	1461
	AdditionalInformation <AddtlInf>	[0..1]	±		1462

Constraints

- **HoldingTransferCurrencyGuideline**

When cash is transferred in a currency other than that of the cash, the currency should be specified in TransferCurrency.

33.4.2.7.3 OtherAsset <OthrAsst>

Presence: [1..1]

Definition: Identification of another kind of asset in the holding that is not a security or cash.

OtherAsset <OthrAsst> contains the following elements (see "OtherAsset2" on page 1458 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherAssetType <OthrAsstTp>	[1..1]			1458
{Or	Code <Cd>	[1..1]	CodeSet		1459
Or}	Proprietary <Prtry>	[1..1]	±		1459
	Identification <Id>	[1..1]	Text		1459
	Name <Nm>	[0..1]	Text		1459
	Description <Desc>	[0..1]	Text		1460
	OtherIdentification <OthrId>	[0..5]	Text		1460
	AdditionalInformation <AddtlInf>	[0..*]	±		1460

33.4.2.8 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [0..1]

Definition: Investment account information of the transfer for which the status or information is requested.

InvestmentAccountDetails <InvstmtAcctDtls> contains the following **Account33** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <OwnrId>	[0..1]	±		462
	AccountIdentification <AcctId>	[0..1]	Text		463
	AccountDesignation <AcctDsgnt>	[0..1]	Text		463
	AccountName <AcctNm>	[0..1]	Text		463
	Type <Tp>	[0..1]	±		463
	Servicer <Svcr>	[0..1]	±		464
	SubAccountDetails <SubAcctDtls>	[0..1]	±		464

33.4.2.8.1 OwnerIdentification <OwnrId>

Presence: [0..1]

Definition: Party that legally owns the account.

OwnerIdentification <Ownrld> contains the following elements (see "[PartyIdentification132](#)" on page 2004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2004
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2004
	NameAndAddress <NmAndAdr>	[0..1]	±		2005
	ProprietaryIdentification <PrtryId>	[0..1]	±		2006
	LEI <LEI>	[0..1]	IdentifierSet		2006

33.4.2.8.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "[Max35Text](#)" on page 2683

33.4.2.8.3 AccountDesignation <AcctDsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: "[Max35Text](#)" on page 2683

33.4.2.8.4 AccountName <AcctNm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "[Max35Text](#)" on page 2683

33.4.2.8.5 Type <Tp>

Presence: [0..1]

Definition: Specifies the type of securities account.

Type <Tp> contains the following elements (see "[GenericIdentification30](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1505
	Issuer <Issr>	[1..1]	Text		1505
	SchemeName <SchmeNm>	[0..1]	Text		1505

33.4.2.8.6 Servicer <Svcr>*Presence:* [0..1]*Definition:* Institution that maintains the records where the account is held.**Servicer <Svcr>** contains the following elements (see "PartyIdentification132" on page 2004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2004
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2004
	NameAndAddress <NmAndAdr>	[0..1]	±		2005
	ProprietaryIdentification <PrtryId>	[0..1]	±		2006
	LEI <LEI>	[0..1]	IdentifierSet		2006

33.4.2.8.7 SubAccountDetails <SubAcctDtls>*Presence:* [0..1]*Definition:* Sub-account of the master or omnibus account.**SubAccountDetails <SubAcctDtls>** contains the following elements (see "SubAccount5" on page 1225 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1225
	Name <Nm>	[0..1]	Text		1225
	Characteristic <Chrtc>	[0..1]	Text		1225

33.4.2.9 IntermediaryInformation <IntrmyInf>*Presence:* [0..*]*Definition:* Identification of a related party or intermediary.

IntermediaryInformation <IntrmyInf> contains the following elements (see "Intermediary48" on page 1900 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1900
	Account <Acct>	[0..1]			1900
	AccountIdentification <AcctId>	[0..1]	Text		1901
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1901
	AccountName <AcctNm>	[0..1]	Text		1901
	AccountServicer <AcctSvcr>	[1..1]	±		1901
	RegistrationAddress <RegnAdr>	[0..1]	±		1902
	Role <Role>	[0..1]			1902
{Or	Code <Cd>	[1..1]	CodeSet		1902
Or}	Proprietary <Prtry>	[1..1]	±		1904
	ContactPerson <CtctPrsn>	[0..1]	±		1904

33.4.2.10 QueryInformation <QryInf>

Presence: [0..*]

Definition: Information about the query.

QueryInformation <QryInf> contains the following **AdditionalInformation25** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	QueryType <QryTp>	[0..1]	±		465
	Query <Qry>	[1..1]	Text		466
	QueryReason <QryRsn>	[0..1]	Text		466
	RejectionReason <RjctnRsn>	[0..1]			466
{Or	Code <Cd>	[1..1]	CodeSet		466
Or}	Proprietary <Prtry>	[1..1]	±		468

33.4.2.10.1 QueryType <QryTp>

Presence: [0..1]

Definition: Type of query.

QueryType <QryTp> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

33.4.2.10.2 Query <Qry>

Presence: [1..1]

Definition: Description of the query.

Datatype: "[Max350Text](#)" on page 2683

33.4.2.10.3 QueryReason <QryRsn>

Presence: [0..1]

Definition: Reason for the query.

Datatype: "[Max350Text](#)" on page 2683

33.4.2.10.4 RejectionReason <RjctnRsn>

Presence: [0..1]

Definition: Reason the instruction was rejected.

RejectionReason <RjctnRsn> contains one of the following **RejectedReason33Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		466
Or}	Proprietary <Prtry>	[1..1]	±		468

33.4.2.10.4.1 Code <Cd>

Presence: [1..1]

Definition: Rejected reason expressed as a code.

Datatype: "[RejectedStatusReason12Code](#)" on page 2629

CodeName	Name	Definition
BLCA	AccountBlockedForCorporateAction	Investment account is blocked due to a corporate action.
DOCC	AccountBlockedMissingDocuments	Investment account is blocked until certain legal proceedings are completed, for example, legal documents from the successor, legal proceedings due to bankruptcy.
IAQD	AcquisitionDate	Acquisition date is not recognised or is invalid.

CodeName	Name	Definition
ICTN	CertificateNumber	Certificate number is not recognised or is invalid.
CYPA	CurrentYearPartial	Partial transfer of current year is not allowed, that is, it is not possible to transfer one asset for the current year as other assets are held for the current year.
TREF	DuplicateTransferReference	Transfer reference is a duplicate of a previously received transfer.
DSEC	FinancialInstrumentIdentification	Identification of the security is not recognised or is invalid.
IDNA	FinancialInstrumentIdentificationAndName	Identification of the security and the security name are not the same.
DQUA	FinancialInstrumentQuantity	Financial instrument quantity is invalid.
FTAX	FinancialInstrumentTaxYear	Financial instrument is not valid for the requested tax year.
INID	InsufficientInvestorData	Investor data is insufficient. Applicable when the mandated market practice is not followed.
INAC	InvalidAccountServicer	Account servicer is not recognised or is invalid.
CASH	InvalidCashAccount	Cash account is not recognised or invalid.
INPM	InvalidNewPlanManager	New plan manager is not recognised or is invalid.
INNA	InvalidNomineeAccount	Nominee account is not recognised or is invalid.
SAFE	InvestmentAccount	Investment account identification is not recognised or is invalid.
INUK	InvestorNameAddressUnknown	Investor name and address is not recognised.
LEGL	LegallyImpossible	Legally impossible to cancel.
NSLA	NotCompliantWithSLA	Instruction is not compliant with the service level agreement.
SECU	NotEnoughFinancialInstrument	There are not enough securities in the account to process the instruction.
PTNS	PartialNotSupported	Partial transfer is not supported. Applicable when tax years are rolled up and because, for example, a transfer request for tax year 2000 only is received, it is not possible to process the transfer as the investor holds other tax years as well.
DLVY	PhysicalDeliveryImpossible	Order contains physical delivery details but the fund can not be physically delivered.
DDAT	SettlementDate	Cash settlement date is not recognised or is invalid.

CodeName	Name	Definition
ISTP	SettlementParties	One or several settlement parties are not recognised or are invalid.
DEPT	SettlementPlace	Place of settlement is not recognised or is invalid.
ISAT	InvalidISAType	ISA type is not recognised or invalid.
OTHR	Other	Another reason for the status rejected.
NCON	NotConvertible	The two share classes are not part of the same fund.
ACLO	AssetClosed	Asset instructed for transfer is closed.
NASS	AssetNotSupported	Asset does not support reregistration.
NQTY	QuantityBelowMinimum	Transfer quantity is too small.
BLTR	AccountBlockedForTransfer	Investment account is blocked due to a transfer out of investment funds.
COSE	AlreadyExecuted	Order or transfer has already been executed and confirmation has been sent.
ILLI	AssetsIlliquid	Assets are illiquid.
BMRV	BelowMinimumRetainedAmount	Holding will be below the minimum retained value.
DINV	DataInvalid	Data in the order or transfer instruction is invalid.
ICAG	DeliveringAgent	Delivering agent is not recognised or is invalid.
IPAC	InstructingPartyNotAllowedForAccount	Instructing party is not allowed to instruct for this investment account.
INTE	Intermediary	Intermediary is not recognised or is invalid.
DMON	InvalidSettlementAmount	Unrecognised or invalid settlement amount.
PRCT	PercentageHoldingBreach	Percentage holding breach, for example, PPM rules; taxation rules (ERISA).
IVAG	ReceivingAgent	Receiving agent is not recognised or is invalid.
NCRR	SettlementAmountCurrency	Unrecognised or invalid Settlement Amount Currency.
DTRD	TradeDate	Unrecognised or invalid trade date or requested trade date or future trade date.
UPAY	UnacceptedPaymentMethod	Payment method, for example, cheque or payment card, is not accepted.
URSC	UnacceptedRequestedSettlementCurrency	Settlement currency requested is not supported.

33.4.2.10.4.2 Proprietary <Prtry>*Presence:* [1..1]

Definition: Rejected reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

33.4.2.11 RequestIssuer <ReqIssr>

Presence: [0..1]

Definition: Party that issues the request message.

RequestIssuer <ReqIssr> contains the following elements (see "[PartyIdentification139](#)" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

33.4.2.12 RequestRecipient <ReqRcpt>

Presence: [0..1]

Definition: Party that receives the request message.

RequestRecipient <ReqRcpt> contains the following elements (see "[PartyIdentification139](#)" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

33.4.3 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "[MarketPracticeVersion1](#)" on page 1862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1862
	Date <Dt>	[0..1]	YearMonth		1862
	Number <Nb>	[0..1]	Text		1862

33.4.4 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C4 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

34 sese.010.001.07 TransferCancellationStatusReportV07

34.1 MessageDefinition Functionality

Scope

The TransferCancellationStatusReport message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or one of its authorised representatives to provide the status of a previously received transfer cancellation instruction.

Usage

The TransferCancellationStatusReport message is used to report on the status of a transfer in or transfer out cancellation request.

The reference of the transfer instruction for which the cancellation status is reported is identified in TransferReference. The message identification of the transfer cancellation request message in which the transfer instruction was conveyed may also be quoted in RelatedReference.

The message identification of the transfer instruction request message in which the transfer instruction was conveyed may also be quoted in RelatedReference.

One of the following statuses can be reported:

- the transfer cancellation is accepted, or,
- the transfer cancellation has been sent to the next party, or,
- the transfer cancellation is complete and the reason for the status,
- the transfer cancellation pending and the reason for the status,
- the transfer cancellation is rejected and the reason for the status.

Outline

The TransferCancellationStatusReportV07 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. CounterpartyReference

Unambiguous identification of the transfer allocated by the counterparty.

C. Reference

Reference to the message or communication that was previously received.

D. StatusReport

Status of the transfer cancellation instruction.

E. MarketPracticeVersion

Identifies the market practice to which the message conforms.

F. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

34.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <TrfCxlStsRpt>	[1..1]		C4, C5	
	MessageIdentification <MsgId>	[1..1]	±		473
	CounterpartyReference <CtrPtyRef>	[0..1]	±		473
	Reference <Ref>	[0..1]	±		474
	StatusReport <StsRpt>	[1..1]			474
	MasterReference <MstrRef>	[0..1]	Text		474
	TransferReference <TrfRef>	[1..1]	Text		474
	ClientReference <ClntRef>	[0..1]	±		475
	CancellationReference <CxlRef>	[0..1]	Text		475
	Status <Sts>	[1..1]			475
{Or	Status <Sts>	[1..1]	±		475
Or	Rejected <Rjctd>	[1..1]	±		476
Or	Complete <Cmpltd>	[1..1]	±		476
Or}	Pending <Pdg>	[1..1]	±		476
	StatusInitiator <StsInitr>	[0..1]	±		476
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		477
	Extension <Xtnsn>	[0..*]	±	C3	477

34.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C4 OtherReferenceRule

Reference/OtherReference should be used to reference a transfer cancellation request 'message' sent in a proprietary way or used for a system reference. If Reference/OtherReference is present, References/OtherReference/MessageName must contain, for example, 'fax' or 'phone' or 'email'.

This constraint is defined at the MessageDefinition level.

C5 RelatedReferenceRule

If Reference/RelatedReference/MessageName is present, it must start with sese.002, sese.006, sese.009 or sese.014.

This constraint is defined at the MessageDefinition level.

34.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

34.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

34.4.2 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transfer allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see "[AdditionalReference10](#)" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

34.4.3 Reference <Ref>

Presence: [0..1]

Definition: Reference to the message or communication that was previously received.

Reference <Ref> contains one of the following elements (see "References64Choice" on page 1493 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	RelatedReference <RltdRef>	[1..2]	±		1493
Or}	OtherReference <OthrRef>	[1..2]	±		1494

34.4.4 StatusReport <StsRpt>

Presence: [1..1]

Definition: Status of the transfer cancellation instruction.

StatusReport <StsRpt> contains the following **CancellationStatusAndReason5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		474
	TransferReference <TrfRef>	[1..1]	Text		474
	ClientReference <ClntRef>	[0..1]	±		475
	CancellationReference <CxlRef>	[0..1]	Text		475
	Status <Sts>	[1..1]			475
{Or	Status <Sts>	[1..1]	±		475
Or	Rejected <Rjctd>	[1..1]	±		476
Or	Complete <Cmplt>	[1..1]	±		476
Or}	Pending <Pdg>	[1..1]	±		476
	StatusInitiator <StsInitr>	[0..1]	±		476

34.4.4.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2683

34.4.4.2 TransferReference <TrfRef>

Presence: [1..1]

Definition: Unique and unambiguous identification of the transfer, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

34.4.4.3 ClientReference <CIntRef>*Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.**ClientReference <CIntRef>** contains the following elements (see "[AdditionalReference10](#)" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

34.4.4.4 CancellationReference <CxlRef>*Presence:* [0..1]*Definition:* Unique and unambiguous identifier for a transfer cancellation, as assigned by the instructing party.*Datatype:* "[Max35Text](#)" on page 2683**34.4.4.5 Status <Sts>***Presence:* [1..1]*Definition:* Status of the transfer cancellation.**Status <Sts>** contains one of the following **Status31Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Status <Sts>	[1..1]	±		475
Or	Rejected <Rjctd>	[1..1]	±		476
Or	Complete <Cmplt>	[1..1]	±		476
Or}	Pending <Pdg>	[1..1]	±		476

34.4.4.5.1 Status <Sts>*Presence:* [1..1]*Definition:* Status of the transfer cancellation is accepted or sent to next party.**Status <Sts>** contains the following elements (see "[TransferCancellationStatus3](#)" on page 2327 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		2327
	Reason <Rsn>	[0..1]	Text		2328

34.4.4.5.2 Rejected <Rjctd>*Presence:* [1..1]*Definition:* Status of the transfer cancellation is rejected.**Rejected <Rjctd>** contains the following elements (see "[RejectionReason33](#)" on page 2543 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2544
{Or	Code <Cd>	[1..1]	CodeSet		2544
Or}	Proprietary <Prtry>	[1..1]	±		2544
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2544

34.4.4.5.3 Complete <Cmplt>*Presence:* [1..1]*Definition:* Status of the transfer cancellation is complete. The cancellation instruction has been accepted and processed, the cancellation is complete.**Complete <Cmplt>** contains the following elements (see "[CancelledCompleteReason1](#)" on page 2542 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2542
{Or	Code <Cd>	[1..1]	CodeSet		2543
Or}	Proprietary <Prtry>	[1..1]	±		2543
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2543

34.4.4.5.4 Pending <Pdg>*Presence:* [1..1]*Definition:* Status of the transfer cancellation is pending.**Pending <Pdg>** contains the following elements (see "[TransferCancellationPendingStatus1](#)" on page 2534 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	Text		2534

34.4.4.6 StatusInitiator <StsIntr>*Presence:* [0..1]*Definition:* Party that initiates the status.

StatusInitiator <StsIntr> contains the following elements (see ["PartyIdentification139"](#) on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

34.4.5 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see ["MarketPracticeVersion1"](#) on page 1862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1862
	Date <Dt>	[0..1]	YearMonth		1862
	Number <Nb>	[0..1]	Text		1862

34.4.6 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C3 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see ["Extension1"](#) on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

35 **sese.011.001.09**

TransferInstructionStatusReportV09

35.1 **MessageDefinition Functionality**

Scope

The TransferInstructionStatusReport message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or one of its authorised representatives to provide the status of a previously received request for holdings information, portfolio transfer or transfer instruction.

It may also be used to report the status of an event related to the asset being transferred or provide additional information.

The TransferInstructionStatusReport message may also be sent by any party in the transfer transaction to another party in the transfer transaction chain to provide information relevant for the settlement of a transfer transaction.

Usage

The TransferInstructionStatusReport message is used to provide the status of a previously received request for holdings information, portfolio transfer or transfer instruction, or in response to a RequestForTransferStatus message. It may also be used to report the status of an event related to the asset being transferred or provide additional information.

Outline

The TransferInstructionStatusReportV09 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. CounterpartyReference

Unambiguous identification of the transfer allocated by the counterparty.

C. Reference

Reference to the message or communication that was previously received.

D. StatusReport

Status of the transfer instruction.

E. MarketPracticeVersion

Identifies the market practice to which the message conforms.

F. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

35.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <TrfInstrStsRpt>	[1..1]		C18, C20	
	MessageIdentification <MsgId>	[1..1]	±		483
	CounterpartyReference <CtrPtyRef>	[0..1]	±		484
	Reference <Ref>	[0..1]	±		484
	StatusReport <StsRpt>	[1..1]		C7, C22, C23	484
	MasterReference <MstrRef>	[0..1]	Text		487
	TransferReference <TrfRef>	[1..1]	Text		488
	ClientReference <ClntRef>	[0..1]	±		488
	CancellationReference <CxlRef>	[0..1]	Text		488
	TransferEventType <TrfEvtTp>	[0..*]			488
{Or	Code <Cd>	[1..1]	CodeSet		488
Or}	Proprietary <Prtry>	[1..1]	±		489
	TransferStatus <TrfSts>	[1..1]			489
{Or	Status <Sts>	[1..1]	±		490
Or	PendingSettlement <PdgSttlm>	[1..1]	±		490
Or	Unmatched <Umtchd>	[1..1]	±		491
Or	InRepair <InRpr>	[1..1]	±		491
Or	Rejected <Rjctd>	[1..10]		C19	491
	Reason <Rsn>	[1..1]			492
{Or	Code <Cd>	[1..1]	CodeSet		492
Or}	Proprietary <Prtry>	[1..1]	±		494
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		495
Or	FailedSettlement <FaildSttlm>	[1..1]	±		495
Or	Cancelled <Canc>	[1..1]	±		495
Or	Reversed <Rvsd>	[1..1]	±		495
Or}	CancellationPending <CxlPdg>	[1..1]	±		496
	Instrument <Instrm>	[0..1]			496
{Or	Security <Scty>	[1..1]	±	C15	496
Or	CashAsset <CshAsst>	[1..1]	±	C13	497

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	OtherAsset <OthrAsst>	[1..1]	±		497
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]			498
	OwnerIdentification <Ownrld>	[0..1]	±		498
	AccountIdentification <AcctId>	[0..1]	Text		499
	AccountDesignation <AcctDsgnt>	[0..1]	Text		499
	AccountName <AcctNm>	[0..1]	Text		499
	Type <Tp>	[0..1]	±		499
	Servicer <Svcr>	[0..1]	±		500
	SubAccountDetails <SubAcctDtls>	[0..1]	±		500
	IntermediaryInformation <IntrmyInf>	[0..*]	±		500
	TradeDate <TradDt>	[0..1]	Date		501
	SettlementDate <SttlmDt>	[0..1]	Date		501
	SendOutDate <SndOutDt>	[0..1]	Date		501
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		501
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	Quantity		502
	AveragePrice <AvrgPric>	[0..1]	Amount	C2	502
	UnitsDetails <UnitsDtls>	[0..*]	±		502
	Conversion <Convs>	[0..1]			503
	SourceSecurity <SrcScty>	[1..1]		C16	504
	Identification <Id>	[1..1]	±		504
	Name <Nm>	[0..1]	Text		505
	ShortName <ShrtNm>	[0..1]	Text		505
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	Quantity		505
	UnitsDetails <UnitsDtls>	[0..*]			506
	UnitsNumber <UnitsNb>	[1..1]	Quantity		506
	OrderDate <OrdrDt>	[0..1]	Date		506
	AcquisitionDate <AcqstnDt>	[0..1]	Date		506
	CertificateNumber <CertNb>	[0..*]	Text		506
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		506
	Reference <Ref>	[0..1]	Text		507
	AdditionalInformation <AddtlInf>	[0..*]	±		507

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalTransferValue <TtITrfVal>	[0..1]	Amount	C1	507
	PaymentDetails <PmtDtls>	[0..*]	±		507
	BenefitCrystallisationEvent <BnftCrstllstnEvt>	[0..*]	±		510
	DrawdownTranche <DrwdwnTrch>	[0..*]	±	C5	510
	OtherDrawdownInformation <OthrDrwdwnInf>	[0..1]			512
	EmploymentDetails <MplymntDtls>	[0..1]			512
	TaxCode <TaxCd>	[0..1]	±		513
	OtherTaxCodeIndicator <OthrTaxCdInd>	[0..1]	Indicator		513
	CumulativeTaxIndicator <CmltvTaxInd>	[0..1]	Indicator		513
	PreviousPay <PrvsPay>	[0..1]	Amount	C1, C10	514
	PreviousTax <PrvsTax>	[0..1]	Amount	C1, C10	514
	StartDate <StartDt>	[0..1]	±		514
	EndDate <EndDt>	[0..1]	±		515
	AdditionalInformation <AddtlInf>	[0..*]	±		515
	AdditionalInformation <AddtlInf>	[0..*]	±		515
	QueryResponse <QryRspn>	[0..*]	Text		515
	StatusInitiator <StsInitr>	[0..1]	±		515
	StatusIssuer <StsIssr>	[0..1]	±		516
	StatusRecipient <StsRcpt>	[0..1]	±		516
	AdditionalInformation <AddtlInf>	[0..*]	±		516
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		516
	Extension <Xtnsn>	[0..*]	±	C12	517

35.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C4 AppliedAmountRule

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C5 BeneficiaryDetailsRule

If RecipientOfDrawdownIndicator is "false" or "0" (No), then BeneficiaryDetails is not allowed.

If RecipientOfDrawdownIndicator is "true" or "1" (Yes), then BeneficiaryDetails may be present.

C6 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C7 ConversionRule

If at least one occurrence of TransferEventType is ConversionStatus (CONV) then Conversion must be present.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 DiscountElementRule

Amount Or Rate Or Basis must be present.

C12 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C13 HoldingTransferCurrencyGuideline

When cash is transferred in a currency other than that of the cash, the currency should be specified in TransferCurrency.

C14 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C15 IdentificationGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C16 IdentificationGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C17 NonStandardSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C18 OtherReferenceRule

Reference/OtherReference should be used to reference a transfer instruction or a transfer cancellation request 'message' sent in a proprietary way or used for a system reference. If Reference/OtherReference is present, Reference/OtherReference/MessageName must contain 'fax' or 'phone' or 'email', etc.

This constraint is defined at the MessageDefinition level.

C19 OtherRule

If Reason/Code/OTHR (Other) is present, then AdditionReasonInformation must be present and the reason for the rejection status must be provided.

C20 RelatedReferenceRule

If Reference/RelatedReference/MessageName is present, it must start with sese.001, sese.002, sese.005, sese.006, sese.009, sese.012 or sese.014.

This constraint is defined at the MessageDefinition level.

C21 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C22 TransferStatusEventType1Rule

If at least one occurrence of TransferEventType is Information (INFO) then TransferStatus/Status/Status must be equal to Received (RECE).

C23 TransferStatusEventType2Rule

If at least one occurrence of TransferEventType is ConversionStatus (CONV) then TransferStatus/Status/Status must be equal to Received (RECE).

35.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

35.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

35.4.2 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transfer allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see "[AdditionalReference10](#)" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

35.4.3 Reference <Ref>

Presence: [0..1]

Definition: Reference to the message or communication that was previously received.

Reference <Ref> contains one of the following elements (see "[References64Choice](#)" on page 1493 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	RelatedReference <RltdRef>	[1..2]	±		1493
Or}	OtherReference <OthrRef>	[1..2]	±		1494

35.4.4 StatusReport <StsRpt>

Presence: [1..1]

Definition: Status of the transfer instruction.

Impacted by: [C7 "ConversionRule"](#), [C22 "TransferStatusEventType1Rule"](#), [C23 "TransferStatusEventType2Rule"](#)

StatusReport <StsRpt> contains the following **TransferStatusAndReason8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		487
	TransferReference <TrfRef>	[1..1]	Text		488
	ClientReference <ClntRef>	[0..1]	±		488
	CancellationReference <CxlRef>	[0..1]	Text		488
	TransferEventType <TrfEvtTp>	[0..*]			488
{Or	Code <Cd>	[1..1]	CodeSet		488
Or}	Proprietary <Prtry>	[1..1]	±		489
	TransferStatus <TrfSts>	[1..1]			489
{Or	Status <Sts>	[1..1]	±		490
Or	PendingSettlement <PdgSttlm>	[1..1]	±		490
Or	Unmatched <Umtchd>	[1..1]	±		491
Or	InRepair <InRpr>	[1..1]	±		491
Or	Rejected <Rjctd>	[1..10]		C19	491
	Reason <Rsn>	[1..1]			492
{Or	Code <Cd>	[1..1]	CodeSet		492
Or}	Proprietary <Prtry>	[1..1]	±		494
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		495
Or	FailedSettlement <FaildSttlm>	[1..1]	±		495
Or	Cancelled <Canc>	[1..1]	±		495
Or	Reversed <Rvsd>	[1..1]	±		495
Or}	CancellationPending <CxlPdg>	[1..1]	±		496
	Instrument <Instrm>	[0..1]			496
{Or	Security <Scty>	[1..1]	±	C15	496
Or	CashAsset <CshAsst>	[1..1]	±	C13	497
Or}	OtherAsset <OthrAsst>	[1..1]	±		497
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]			498
	OwnerIdentification <Ownrld>	[0..1]	±		498
	AccountIdentification <AcctId>	[0..1]	Text		499
	AccountDesignation <AcctDsgnt>	[0..1]	Text		499
	AccountName <AcctNm>	[0..1]	Text		499
	Type <Tp>	[0..1]	±		499

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Servicer <Svcr>	[0..1]	±		500
	SubAccountDetails <SubAcctDtls>	[0..1]	±		500
	IntermediaryInformation <IntrmyInf>	[0..*]	±		500
	TradeDate <TradDt>	[0..1]	Date		501
	SettlementDate <SttlmDt>	[0..1]	Date		501
	SendOutDate <SndOutDt>	[0..1]	Date		501
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		501
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	Quantity		502
	AveragePrice <AvrgPric>	[0..1]	Amount	C2	502
	UnitsDetails <UnitsDtls>	[0..*]	±		502
	Conversion <Convs>	[0..1]			503
	SourceSecurity <SrcScty>	[1..1]		C16	504
	Identification <Id>	[1..1]	±		504
	Name <Nm>	[0..1]	Text		505
	ShortName <ShrtNm>	[0..1]	Text		505
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	Quantity		505
	UnitsDetails <UnitsDtls>	[0..*]			506
	UnitsNumber <UnitsNb>	[1..1]	Quantity		506
	OrderDate <OrdDt>	[0..1]	Date		506
	AcquisitionDate <AcqstnDt>	[0..1]	Date		506
	CertificateNumber <CertNb>	[0..*]	Text		506
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		506
	Reference <Ref>	[0..1]	Text		507
	AdditionalInformation <AddtlInf>	[0..*]	±		507
	TotalTransferValue <TtlTrfVal>	[0..1]	Amount	C1	507
	PaymentDetails <PmtDtls>	[0..*]	±		507
	BenefitCrystallisationEvent <BnftCrstllstnEvt>	[0..*]	±		510
	DrawdownTranche <DrwdwnTrch>	[0..*]	±	C5	510
	OtherDrawdownInformation <OthrDrwdwnInf>	[0..1]			512
	EmploymentDetails <MplymntDtls>	[0..1]			512
	TaxCode <TaxCd>	[0..1]	±		513

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherTaxCodeIndicator <OthrTaxCdInd>	[0..1]	Indicator		513
	CumulativeTaxIndicator <CmltvTaxInd>	[0..1]	Indicator		513
	PreviousPay <PrvsPay>	[0..1]	Amount	C1, C10	514
	PreviousTax <PrvsTax>	[0..1]	Amount	C1, C10	514
	StartDate <StartDt>	[0..1]	±		514
	EndDate <EndDt>	[0..1]	±		515
	AdditionalInformation <AddtlInf>	[0..*]	±		515
	AdditionalInformation <AddtlInf>	[0..*]	±		515
	QueryResponse <QryRspn>	[0..*]	Text		515
	StatusInitiator <StsInitr>	[0..1]	±		515
	StatusIssuer <StsIssr>	[0..1]	±		516
	StatusRecipient <StsRcpt>	[0..1]	±		516
	AdditionalInformation <AddtlInf>	[0..*]	±		516

Constraints

- **ConversionRule**

If at least one occurrence of TransferEventType is ConversionStatus (CONV) then Conversion must be present.

On Condition

/TransferEventType[*]/Code is equal to value 'ConversionStatus'

Following Must be True

/Conversion Must be present

- **TransferStatusEventType1Rule**

If at least one occurrence of TransferEventType is Information (INFO) then TransferStatus/Status/Status must be equal to Received (RECE).

On Condition

/TransferEventType[*]/Code is equal to value 'Information'

Following Must be True

/TransferStatus/Status/Status Must be equal to value 'Received'

- **TransferStatusEventType2Rule**

If at least one occurrence of TransferEventType is ConversionStatus (CONV) then TransferStatus/Status/Status must be equal to Received (RECE).

On Condition

/TransferEventType[*]/Code is equal to value 'ConversionStatus'

Following Must be True

/TransferStatus/Status/Status Must be equal to value 'Received'

35.4.4.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2683

35.4.4.2 TransferReference <TrfRef>

Presence: [1..1]

Definition: Unique and unambiguous identification of the transfer, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

35.4.4.3 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <ClntRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

35.4.4.4 CancellationReference <CxlRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a transfer cancellation, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

35.4.4.5 TransferEventType <TrfEvtTp>

Presence: [0..*]

Definition: Type of event for which the message is sent.

TransferEventType <TrfEvtTp> contains one of the following **TransferStatusType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		488
Or}	Proprietary <Prtry>	[1..1]	±		489

35.4.4.5.1 Code <Cd>

Presence: [1..1]

Definition: Type of event expressed as a code.

Datatype: "TransferStatusType2Code" on page 2660

CodeName	Name	Definition
S019	AccountHoldingInformationRequest	Status report is sent in response to an account holding information request.
BCEV	BenefitCrystallisationEvent	Status report advises one or more Benefit Crystallisation Events (BCE) have occurred.
SETT	CSDorICSDSettlementInformation	Status report is sent to provide information required for a CSD or ICSD settlement leg.
DRAW	Drawdown	Status report advises one or more drawdowns.
PAYA	PaymentAdvice	Status report advises cash is or has been instructed.
S012	PortfolioTransferInstruction	Status report is sent in response to a portfolio transfer instruction.
INFO	Information	Status report is sent to provide information pertinent to the transfer. May be sent in response to a request for transfer status.
STAT	Status	Status report is sent to provide the status of a transfer. May be sent in response to a request for transfer status.
S005	TransferInInstruction	Status report is sent in response to a transfer-in instruction.
S001	TransferOutInstruction	Status report is sent in response to a transfer-out instruction.
CONV	ConversionStatus	Status report is sent to provide the number of units following a conversion.

35.4.4.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of event expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification1](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

35.4.4.6 TransferStatus <TrfSts>

Presence: [1..1]

Definition: Status of the transfer instruction.

TransferStatus <TrfSts> contains one of the following **TransferStatus5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Status <Sts>	[1..1]	±		490
Or	PendingSettlement <PdgSttlm>	[1..1]	±		490
Or	Unmatched <Umtchd>	[1..1]	±		491
Or	InRepair <InRpr>	[1..1]	±		491
Or	Rejected <Rjctd>	[1..10]		C19	491
	Reason <Rsn>	[1..1]			492
{Or	Code <Cd>	[1..1]	CodeSet		492
Or}	Proprietary <Prtry>	[1..1]	±		494
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		495
Or	FailedSettlement <FaildSttlm>	[1..1]	±		495
Or	Cancelled <Canc>	[1..1]	±		495
Or	Reversed <Rvsd>	[1..1]	±		495
Or}	CancellationPending <CxlPdg>	[1..1]	±		496

35.4.4.6.1 Status <Sts>

Presence: [1..1]

Definition: Status of the transfer is received, accepted, sent to next party, matched, already executed, or settled.

Status <Sts> contains the following elements (see ["TransferInstructionStatus5" on page 2329](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		2330
	Reason <Rsn>	[0..1]	Text		2330

35.4.4.6.2 PendingSettlement <PdgSttlm>

Presence: [1..1]

Definition: Status of the transfer is pending settlement.

PendingSettlement <PdgSttlm> contains one of the following elements (see "PendingSettlementStatus3Choice" on page 2539 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Reason <Rsn>	[1..1]	CodeSet		2540
Or	ExtendedReason <XtndedRsn>	[1..1]	Text		2542
Or	DataSourceScheme <DataSrcSchme>	[1..1]	±		2542
Or}	NoSpecifiedReason <NoSpctfdRsn>	[1..1]	CodeSet		2542

35.4.4.6.3 Unmatched <Umtchd>

Presence: [1..1]

Definition: Status of the transfer is unmatched.

Unmatched <Umtchd> contains one of the following elements (see "TransferUnmatchedStatus4Choice" on page 2328 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctfdRsn>	[1..1]	CodeSet		2328
Or	Reason <Rsn>	[1..1]	CodeSet		2328
Or	ExtendedReason <XtndedRsn>	[1..1]	Text		2329
Or}	DataSourceScheme <DataSrcSchme>	[1..1]	±		2329

35.4.4.6.4 InRepair <InRpr>

Presence: [1..1]

Definition: Status of the transfer is in repair.

InRepair <InRpr> contains one of the following elements (see "InRepairStatus4Choice" on page 2539 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Reason <Rsn>	[1..1]	Text		2539
Or	DataSourceScheme <DataSrcSchme>	[1..1]	±		2539
Or}	NoSpecifiedReason <NoSpctfdRsn>	[1..1]	CodeSet		2539

35.4.4.6.5 Rejected <Rjctd>

Presence: [1..10]

Definition: Status of the transfer is rejected.

Impacted by: C19 "OtherRule"

Rejected <Rjctd> contains the following **RejectionReason56** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			492
{Or	Code <Cd>	[1..1]	CodeSet		492
Or}	Proprietary <Prtry>	[1..1]	±		494
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		495

Constraints

- **OtherRule**

If Reason/Code/OTHR (Other) is present, then AdditionReasonInformation must be present and the reason for the rejection status must be provided.

35.4.4.6.5.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the rejected status.

Reason <Rsn> contains one of the following **RejectedReason45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		492
Or}	Proprietary <Prtry>	[1..1]	±		494

35.4.4.6.5.1.1 Code <Cd>

Presence: [1..1]

Definition: Rejected reason expressed as a code.

Datatype: "RejectedStatusReason13Code" on page 2631

CodeName	Name	Definition
BLCA	AccountBlockedForCorporateAction	Investment account is blocked due to a corporate action.
DOCC	AccountBlockedMissingDocuments	Investment account is blocked until certain legal proceedings are completed, for example, legal documents from the successor, legal proceedings due to bankruptcy.
IAQD	AcquisitionDate	Acquisition date is not recognised or is invalid.
ICTN	CertificateNumber	Certificate number is not recognised or is invalid.
CYPA	CurrentYearPartial	Partial transfer of current year is not allowed, that is, it is not possible to transfer one asset for the current year as other assets are held for the current year.

CodeName	Name	Definition
TREF	DuplicateTransferReference	Transfer reference is a duplicate of a previously received transfer.
DSEC	FinancialInstrumentIdentification	Identification of the security is not recognised or is invalid.
IDNA	FinancialInstrumentIdentificationAndName	Identification of the security and the security name are not the same.
DQUA	FinancialInstrumentQuantity	Financial instrument quantity is invalid.
FTAX	FinancialInstrumentTaxYear	Financial instrument is not valid for the requested tax year.
INID	InsufficientInvestorData	Investor data is insufficient. Applicable when the mandated market practice is not followed.
INAC	InvalidAccountServicer	Account servicer is not recognised or is invalid.
CASH	InvalidCashAccount	Cash account is not recognised or invalid.
INPM	InvalidNewPlanManager	New plan manager is not recognised or is invalid.
INNA	InvalidNomineeAccount	Nominee account is not recognised or is invalid.
SAFE	InvestmentAccount	Investment account identification is not recognised or is invalid.
INUK	InvestorNameAddressUnknown	Investor name and address is not recognised.
LEGL	LegallyImpossible	Legally impossible to cancel.
NSLA	NotCompliantWithSLA	Instruction is not compliant with the service level agreement.
SECU	NotEnoughFinancialInstrument	There are not enough securities in the account to process the instruction.
PTNS	PartialNotSupported	Partial transfer is not supported. Applicable when tax years are rolled up and because, for example, a transfer request for tax year 2000 only is received, it is not possible to process the transfer as the investor holds other tax years as well.
DLVY	PhysicalDeliveryImpossible	Order contains physical delivery details but the fund can not be physically delivered.
DDAT	SettlementDate	Cash settlement date is not recognised or is invalid.
ISTP	SettlementParties	One or several settlement parties are not recognised or are invalid.
DEPT	SettlementPlace	Place of settlement is not recognised or is invalid.
ISAT	InvalidISAType	ISA type is not recognised or invalid.
OTHR	Other	Another reason for the status rejected.

CodeName	Name	Definition
NCON	NotConvertible	The two share classes are not part of the same fund.
ACLO	AssetClosed	Asset instructed for transfer is closed.
NASS	AssetNotSupported	Asset does not support reregistration.
NQTY	QuantityBelowMinimum	Transfer quantity is too small.
BLTR	AccountBlockedForTransfer	Investment account is blocked due to a transfer out of investment funds.
COSE	AlreadyExecuted	Order or transfer has already been executed and confirmation has been sent.
ILLI	AssetsIlliquid	Assets are illiquid.
BMRV	BelowMinimumRetainedAmount	Holding will be below the minimum retained value.
DINV	DataInvalid	Data in the order or transfer instruction is invalid.
ICAG	DeliveringAgent	Delivering agent is not recognised or is invalid.
IPAC	InstructingPartyNotAllowedForAccount	Instructing party is not allowed to instruct for this investment account.
INTE	Intermediary	Intermediary is not recognised or is invalid.
DMON	InvalidSettlementAmount	Unrecognised or invalid settlement amount.
PRCT	PercentageHoldingBreach	Percentage holding breach, for example, PPM rules; taxation rules (ERISA).
IVAG	ReceivingAgent	Receiving agent is not recognised or is invalid.
NCRR	SettlementAmountCurrency	Unrecognised or invalid Settlement Amount Currency.
DTRD	TradeDate	Unrecognised or invalid trade date or requested trade date or future trade date.
UPAY	UnacceptedPaymentMethod	Payment method, for example, cheque or payment card, is not accepted.
URSC	UnacceptedRequestedSettlementCurrency	Settlement currency requested is not supported.
NCMP	NotCompliantWithMarketPractice	Instruction is not compliant with the relevant market practice.

35.4.4.6.5.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Rejected reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

35.4.4.6.5.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the rejected status reason.

Datatype: "[Max350Text](#)" on page 2683

35.4.4.6.6 FailedSettlement <FaildSttlm>

Presence: [1..1]

Definition: Status of the transfer is failed settlement, that is, settlement in the International Central Securities Depository (ICSD) or Central Securities Depository (CSD) failed.

FailedSettlement <FaildSttlm> contains one of the following elements (see "[FailedSettlementStatus2Choice](#)" on page 2538 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Reason <Rsn>	[1..1]	Text		2538
Or	DataSourceScheme <DataSrcSchme>	[1..1]	±		2538
Or}	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2538

35.4.4.6.7 Cancelled <Canc>

Presence: [1..1]

Definition: Status of the transfer is cancelled.

Cancelled <Canc> contains one of the following elements (see "[CancelledStatus13Choice](#)" on page 2537 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2537
Or	Reason <Rsn>	[1..1]	CodeSet		2537
Or	ExtendedReason <XtndedRsn>	[1..1]	Text		2537
Or}	DataSourceScheme <DataSrcSchme>	[1..1]	±		2538

35.4.4.6.8 Reversed <Rvsd>

Presence: [1..1]

Definition: Status of the transfer is reversed.

Reversed <Rvsd> contains one of the following elements (see "[ReversedStatus2Choice](#)" on page 2536 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Reason <Rsn>	[1..1]	Text		2536
Or	DataSourceScheme <DataSrcSchme>	[1..1]	±		2536
Or}	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2537

35.4.4.6.9 CancellationPending <CxIPdg>

Presence: [1..1]

Definition: Status of the transfer is cancellation pending.

CancellationPending <CxIPdg> contains one of the following elements (see "[CancellationPendingStatus7Choice](#)" on page 2535 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Reason <Rsn>	[1..1]	Text		2535
Or	DataSourceScheme <DataSrcSchme>	[1..1]	±		2535
Or}	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2536

35.4.4.7 Instrument <Instrm>

Presence: [0..1]

Definition: Identification of the asset.

Instrument <Instrm> contains one of the following **FinancialInstrument63Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Security <Scty>	[1..1]	±	C15	496
Or	CashAsset <CshAsst>	[1..1]	±	C13	497
Or}	OtherAsset <OthrAsst>	[1..1]	±		497

35.4.4.7.1 Security <Scty>

Presence: [1..1]

Definition: Identification of the security.

Impacted by: [C15 "IdentificationGuideline"](#)

Security <Scty> contains the following elements (see "[FinancialInstrumentIdentification2](#)" on page 1462 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1462
	Name <Nm>	[0..1]	Text		1463
	ShortName <ShrtNm>	[0..1]	Text		1463
	ClassificationType <ClssfctnTp>	[0..1]	±		1463

Constraints

- **IdentificationGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

35.4.4.7.2 CashAsset <CshAsst>

Presence: [1..1]

Definition: Identification of the cash asset.

Impacted by: [C13 "HoldingTransferCurrencyGuideline"](#)

CashAsset <CshAsst> contains the following elements (see "[CashAsset3](#)" on page 1460 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAssetType <CshAsstTp>	[1..1]			1460
{Or	Code <Cd>	[1..1]	CodeSet		1461
Or}	Proprietary <Prtry>	[1..1]	±		1461
	HoldingCurrency <HldgCcy>	[1..1]	CodeSet	C2	1461
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C2	1461
	AdditionalInformation <AddtlInf>	[0..1]	±		1462

Constraints

- **HoldingTransferCurrencyGuideline**

When cash is transferred in a currency other than that of the cash, the currency should be specified in TransferCurrency.

35.4.4.7.3 OtherAsset <OthrAsst>

Presence: [1..1]

Definition: Identification of another kind of asset in the holding that is not a security or cash.

OtherAsset <OthrAsst> contains the following elements (see "OtherAsset2" on page 1458 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherAssetType <OthrAsstTp>	[1..1]			1458
{Or	Code <Cd>	[1..1]	CodeSet		1459
Or}	Proprietary <Prtry>	[1..1]	±		1459
	Identification <Id>	[1..1]	Text		1459
	Name <Nm>	[0..1]	Text		1459
	Description <Desc>	[0..1]	Text		1460
	OtherIdentification <OthrId>	[0..5]	Text		1460
	AdditionalInformation <AddtlInf>	[0..*]	±		1460

35.4.4.8 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [0..1]

Definition: Investment account information of the transfer for which the status or information is provided.

InvestmentAccountDetails <InvstmtAcctDtls> contains the following **Account33** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <OwnrId>	[0..1]	±		498
	AccountIdentification <AcctId>	[0..1]	Text		499
	AccountDesignation <AcctDsgnt>	[0..1]	Text		499
	AccountName <AcctNm>	[0..1]	Text		499
	Type <Tp>	[0..1]	±		499
	Servicer <Svcr>	[0..1]	±		500
	SubAccountDetails <SubAcctDtls>	[0..1]	±		500

35.4.4.8.1 OwnerIdentification <OwnrId>

Presence: [0..1]

Definition: Party that legally owns the account.

OwnerIdentification <Ownrld> contains the following elements (see "[PartyIdentification132](#)" on page 2004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2004
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2004
	NameAndAddress <NmAndAdr>	[0..1]	±		2005
	ProprietaryIdentification <PrtryId>	[0..1]	±		2006
	LEI <LEI>	[0..1]	IdentifierSet		2006

35.4.4.8.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "[Max35Text](#)" on page 2683

35.4.4.8.3 AccountDesignation <AcctDsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: "[Max35Text](#)" on page 2683

35.4.4.8.4 AccountName <AcctNm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "[Max35Text](#)" on page 2683

35.4.4.8.5 Type <Tp>

Presence: [0..1]

Definition: Specifies the type of securities account.

Type <Tp> contains the following elements (see "[GenericIdentification30](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1505
	Issuer <Issr>	[1..1]	Text		1505
	SchemeName <SchmeNm>	[0..1]	Text		1505

35.4.4.8.6 Servicer <Svcr>*Presence:* [0..1]*Definition:* Institution that maintains the records where the account is held.**Servicer <Svcr>** contains the following elements (see "PartyIdentification132" on page 2004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2004
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2004
	NameAndAddress <NmAndAdr>	[0..1]	±		2005
	ProprietaryIdentification <PrtryId>	[0..1]	±		2006
	LEI <LEI>	[0..1]	IdentifierSet		2006

35.4.4.8.7 SubAccountDetails <SubAcctDtls>*Presence:* [0..1]*Definition:* Sub-account of the master or omnibus account.**SubAccountDetails <SubAcctDtls>** contains the following elements (see "SubAccount5" on page 1225 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1225
	Name <Nm>	[0..1]	Text		1225
	Characteristic <Chrtc>	[0..1]	Text		1225

35.4.4.9 IntermediaryInformation <IntrmyInf>*Presence:* [0..*]*Definition:* Identification of a related party or intermediary.

IntermediaryInformation <IntrmyInf> contains the following elements (see "Intermediary48" on page 1900 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1900
	Account <Acct>	[0..1]			1900
	AccountIdentification <AcctId>	[0..1]	Text		1901
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1901
	AccountName <AcctNm>	[0..1]	Text		1901
	AccountServicer <AcctSvcr>	[1..1]	±		1901
	RegistrationAddress <RegnAdr>	[0..1]	±		1902
	Role <Role>	[0..1]			1902
{Or	Code <Cd>	[1..1]	CodeSet		1902
Or}	Proprietary <Prtry>	[1..1]	±		1904
	ContactPerson <CtctPrsn>	[0..1]	±		1904

35.4.4.10 TradeDate <TradDt>

Presence: [0..1]

Definition: Date on which the transfer was executed.

In some scenarios, this is the date and time to be used as the trade date when securities are settled through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

Datatype: "ISODate" on page 2668

35.4.4.11 SettlementDate <SttlmDt>

Presence: [0..1]

Definition: Date at which the securities are to be exchanged or were exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

Datatype: "ISODate" on page 2668

35.4.4.12 SendOutDate <SndOutDt>

Presence: [0..1]

Definition: Date on which the document, for example, the application form, was sent.

Datatype: "ISODate" on page 2668

35.4.4.13 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2668

35.4.4.14 TotalUnitsNumber <TtlUnitsNb>

Presence: [0..1]

Definition: Number of units to be transferred.

Datatype: "DecimalNumber" on page 2680

35.4.4.15 AveragePrice <AvrgPric>

Presence: [0..1]

Definition: Weighted average price of the units in the account. The average price may also be known as the average acquisition price.

Impacted by: C2 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

35.4.4.16 UnitsDetails <UnitsDtls>

Presence: [0..*]

Definition: Breakdown of units to be transferred.

UnitsDetails <UnitsDtls> contains the following elements (see "Unit11" on page 1471 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1472
	OrderDate <OrdrDt>	[0..1]	Date		1472
	AcquisitionDate <AcqstnDt>	[0..1]	Date		1472
	CertificateNumber <CertNb>	[0..*]	Text		1473
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1473
	Reference <Ref>	[0..1]	Text		1473
	PriceDetails <PricDtls>	[0..1]	±		1473
	TransactionOverhead <TxOvrhd>	[0..1]		C17, C21	1474
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1474
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1474
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1475
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1475
	IndividualFee <IndvFee>	[0..*]	±	C6	1475
	IndividualTax <IndvTax>	[0..*]	±		1476
	OtherAmount <OthrAmt>	[0..*]			1477
	Type <Tp>	[1..1]			1477
{Or	Code <Cd>	[1..1]	CodeSet		1478
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1478
	Amount <Amt>	[1..1]	Amount	C2, C10	1478

35.4.4.17 Conversion <Convs>

Presence: [0..1]

Definition: Information about the source security following a conversion.

Conversion <Convs> contains the following **Conversion2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SourceSecurity <SrcScty>	[1..1]		C16	504
	Identification <Id>	[1..1]	±		504
	Name <Nm>	[0..1]	Text		505
	ShortName <ShrtNm>	[0..1]	Text		505
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	Quantity		505
	UnitsDetails <UnitsDtls>	[0..*]			506
	UnitsNumber <UnitsNb>	[1..1]	Quantity		506
	OrderDate <OrdDt>	[0..1]	Date		506
	AcquisitionDate <AcqstnDt>	[0..1]	Date		506
	CertificateNumber <CertNb>	[0..*]	Text		506
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		506
	Reference <Ref>	[0..1]	Text		507
	AdditionalInformation <AddtlInf>	[0..*]	±		507

35.4.4.17.1 SourceSecurity <SrcScty>

Presence: [1..1]

Definition: Identification of the source security.

Impacted by: C16 "IdentificationGuideline"

SourceSecurity <SrcScty> contains the following **FinancialInstrumentIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		504
	Name <Nm>	[0..1]	Text		505
	ShortName <ShrtNm>	[0..1]	Text		505

Constraints

- **IdentificationGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

35.4.4.17.1.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of a security, assigned under a formal or proprietary identification scheme.

Identification <Id> contains one of the following elements (see "SecurityIdentification25Choice" on page 1448 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1449
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1449
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1449
Or	RIC <RIC>	[1..1]	IdentifierSet		1450
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1450
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1450
Or	CTA <CTA>	[1..1]	IdentifierSet		1450
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1450
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1450
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1451
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1451
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1451
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1451
Or	Common <Cmon>	[1..1]	IdentifierSet		1451
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1451
	Identification <Id>	[1..1]	Text		1451
	IdentificationSource <IdSrc>	[1..1]	±		1452

35.4.4.17.1.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "Max350Text" on page 2683

35.4.4.17.1.3 ShortName <ShrtNm>

Presence: [0..1]

Definition: Financial Instrument Short Name (FISN) expressed in conformance with the ISO 18774 standard.

Datatype: "Max35Text" on page 2683

35.4.4.17.2 TotalUnitsNumber <TtlUnitsNb>

Presence: [0..1]

Definition: Number of units of the source security.

Datatype: "DecimalNumber" on page 2680

35.4.4.17.3 UnitsDetails <UnitsDtIs>*Presence:* [0..*]*Definition:* Breakdown of units of the source security.**UnitsDetails <UnitsDtIs>** contains the following **Unit13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitsNumber <UnitsNb>	[1..1]	Quantity		506
	OrderDate <OrdrDt>	[0..1]	Date		506
	AcquisitionDate <AcqstnDt>	[0..1]	Date		506
	CertificateNumber <CertNb>	[0..*]	Text		506
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		506
	Reference <Ref>	[0..1]	Text		507

35.4.4.17.3.1 UnitsNumber <UnitsNb>*Presence:* [1..1]*Definition:* Quantity of units.*Datatype:* "DecimalNumber" on page 2680**35.4.4.17.3.2 OrderDate <OrdrDt>***Presence:* [0..1]*Definition:* Date the investor or its agent placed the original order.*Datatype:* "ISODate" on page 2668**35.4.4.17.3.3 AcquisitionDate <AcqstnDt>***Presence:* [0..1]*Definition:* Date the investor purchased the financial instrument.*Datatype:* "ISODate" on page 2668**35.4.4.17.3.4 CertificateNumber <CertNb>***Presence:* [0..*]*Definition:* Certificate representing the security.*Datatype:* "Max35Text" on page 2683**35.4.4.17.3.5 Group1Or2Units <Grp1Or2Units>***Presence:* [0..1]*Definition:* Tax group to which the purchased investment fund units belong. The investor indicates to the intermediary operating pooled nominees, which type of unit is to be sold.*Datatype:* "UKTaxGroupUnit1Code" on page 2668

CodeName	Name	Definition
GRP1	Group1	The units that were purchased prior to the last ex-div date.
GRP2	Group2	The units that were purchased since the ex-div date, and that benefit from the tax exemption.

35.4.4.17.3.6 Reference <Ref>*Presence:* [0..1]*Definition:* Reference to the units number. This may be the order reference of the original acquisition, the identification of a lot, a client reference, a sub-position reference or other related reference.*Datatype:* "Max35Text" on page 2683**35.4.4.17.4 AdditionalInformation <AddtlInf>***Presence:* [0..*]*Definition:* Additional information about the conversion.**AdditionalInformation <AddtlInf>** contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

35.4.4.18 TotalTransferValue <TtlTrfVal>*Presence:* [0..1]*Definition:* Total transfer value.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 2545**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

35.4.4.19 PaymentDetails <PmtDtls>*Presence:* [0..*]*Definition:* Details of a payment that will result from or resulting from the liquation of an asset and transfer of cash or for the transfer of cash that was not invested.

PaymentDetails <PmtDtls> contains the following elements (see "PaymentInstrument18" on page 2054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	Text		2057
	Amount <Amt>	[1..1]	Amount	C2	2057
	PaymentDate <PmtDt>	[0..1]	Date		2057
	CashSettlementDetails <CshSttlmDtls>	[0..1]			2057
{Or	CreditTransferDetails <CdtTrfDtls>	[0..1]			2060
	Debtor <Dbtr>	[0..1]	±		2062
	DebtorAccount <DbtrAcct>	[0..1]			2063
	Name <Nm>	[0..1]	Text		2063
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2063
	Other <Othr>	[0..1]	±		2063
	DebtorAgent <DbtrAgt>	[0..1]			2064
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2064
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2064
	NameAndAddress <NmAndAdr>	[0..1]	±		2065
	LEI <LEI>	[0..1]	IdentifierSet		2065
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2066
	BranchIdentification <BrnchId>	[0..1]	±		2066
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]			2066
	Name <Nm>	[0..1]	Text		2066
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2066
	Other <Othr>	[0..1]	±		2067
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]			2067
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2067
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2068
	NameAndAddress <NmAndAdr>	[0..1]	±		2069
	LEI <LEI>	[0..1]	IdentifierSet		2069
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2069
	BranchIdentification <BrnchId>	[0..1]	±		2069
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]			2069
	Name <Nm>	[0..1]	Text		2070

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2070
	Other <Othr>	[0..1]	±		2070
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]			2071
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2071
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2071
	NameAndAddress <NmAndAdr>	[0..1]	±		2072
	LEI <LEI>	[0..1]	IdentifierSet		2072
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2073
	BranchIdentification <BrnchId>	[0..1]	±		2073
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]			2073
	Name <Nm>	[0..1]	Text		2073
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2073
	Other <Othr>	[0..1]	±		2074
	CreditorAgent <CdtrAgt>	[1..1]			2074
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2074
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2075
	NameAndAddress <NmAndAdr>	[0..1]	±		2075
	LEI <LEI>	[0..1]	IdentifierSet		2076
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2076
	BranchIdentification <BrnchId>	[0..1]	±		2076
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]			2076
	Name <Nm>	[0..1]	Text		2077
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2077
	Other <Othr>	[0..1]	±		2077
	Creditor <Cdtr>	[0..1]	±		2077
	CreditorAccount <CdtrAcct>	[1..1]			2078
	Name <Nm>	[0..1]	Text		2078
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2078
	Other <Othr>	[0..1]	±		2078
Or}	ChequeDetails <ChqDtls>	[1..1]			2079
	Number <Nb>	[0..1]	Text		2079

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PayeeIdentification <Pyeeld>	[0..1]	±		2079
	DraweeIdentification <Drweeld>	[0..1]			2080
	Party <Pty>	[1..1]			2080
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		2080
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C5	2081
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		2081
Or}	ProprietaryIdentification <Prtryld>	[1..1]	Text		2082
	LEI <LEI>	[0..1]	IdentifierSet		2082
	DrawerIdentification <Drwrld>	[0..1]	±		2083

35.4.4.20 BenefitCrystallisationEvent <BnftCrstllstnEvt>

Presence: [0..*]

Definition: Information about the realisation of benefits taken from a pension.

BenefitCrystallisationEvent <BnftCrstllstnEvt> contains the following elements (see "BenefitCrystallisationEvent2" on page 1709 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventTypeNumber <EvtTpNb>	[0..1]	Text		1709
	EventTypeName <EvtTpNm>	[0..1]	Text		1709
	EventDate <EvtDt>	[0..1]	Date		1710
	CrystallisationAmount <CrstllstnAmt>	[0..1]	Amount	C2	1710
	PercentageOfAllowance <PctgOfAllwnc>	[0..1]	Rate		1710
	LifetimeAllowanceProtection <LftmAllwncPrtn>	[0..1]	Indicator		1710
	AdditionalInformation <AddtlInf>	[0..*]	±		1710

35.4.4.21 DrawdownTranche <DrwdwnTrch>

Presence: [0..*]

Definition: Details of a drawdown tranche.

Impacted by: C5 "BeneficiaryDetailsRule"

DrawdownTranche <DrwdwnTrch> contains the following elements (see "Drawdown2" on page 1701 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1703
	TrancheType <TrchTp>	[1..1]	±		1703
	ApplicableRules <AplblRules>	[0..1]			1703
{Or	Code <Cd>	[1..1]	CodeSet		1703
Or}	Proprietary <Prtry>	[1..1]	±		1704
	InvestorTaxReference <InvstrTaxRef>	[0..1]			1704
	Type <Tp>	[0..1]			1704
{Or	Code <Cd>	[1..1]	CodeSet		1704
Or}	Proprietary <Prtry>	[1..1]	±		1705
	Reference <Ref>	[1..1]	Text		1705
	PercentageOfTotalTransferValue <PctgOfTtlTrfVal>	[0..1]	Rate		1705
	TotalAmountNetDrawdown <TtlAmtNetDrwdwn>	[0..1]	Amount	C2	1705
	AdditionalFundsDesignated <AddtlFndsDsgntd>	[0..1]	Indicator		1705
	PensionCommencementLumpSumRemaining <PnsnCmcmntLumpSumRmng>	[0..1]	Amount	C2	1706
	PensionCommencementLumpSumDate <PnsnCmcmntLumpSumDt>	[0..1]	Date		1706
	MultiplePensionCommencementLumpSums <MltplPnsnCmcmntLumpSums>	[0..1]	Indicator		1706
	LifetimeAllowance <LftmAllwnc>	[0..1]	Rate		1706
	RecipientOfDrawdownIndicator <RcptOfDrwdwnInd>	[0..1]	Indicator		1706
	BeneficiaryDetails <BnfcryDtls>	[0..1]	±		1707
	CappedLimits <CapdLmts>	[0..1]			1707
	StartDate <StartDt>	[0..1]	Date		1707
	IncomeLimitCurrentPeriod <IncmLmtCurPrd>	[0..1]	Amount	C2	1707
	IncomeCurrentPeriod <IncmCurPrd>	[0..1]	Amount	C2	1708
	IncomeLimitNextPeriod <IncmLmtNxtPrd>	[0..1]	Amount	C2	1708
	AdditionalInformation <AddtlInf>	[0..*]	±		1708
	FlexibleDrawdownTriggeredDate <FlxblDrwdwnTrggrdDt>	[0..1]	Date		1709
	AdditionalInformation <AddtlInf>	[0..*]	±		1709

Constraints

- **BeneficiaryDetailsRule**

If RecipientOfDrawdownIndicator is "false" or "0" (No), then BeneficiaryDetails is not allowed.

If RecipientOfDrawdownIndicator is "true" or "1" (Yes), then BeneficiaryDetails may be present.

On Condition

/RecipientOfDrawdownIndicator is equal to value 'false'

Or /RecipientOfDrawdownIndicator is equal to value '0'

Following Must be True

/BeneficiaryDetails Must be absent

35.4.4.22 OtherDrawdownInformation <OthrDrwdwnInf>

Presence: [0..1]

Definition: Drawdown information not specifically associated with a drawdown tranche.

OtherDrawdownInformation <OthrDrwdwnInf> contains the following **Drawdown3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EmploymentDetails <MplymntDtls>	[0..1]			512
	TaxCode <TaxCd>	[0..1]	±		513
	OtherTaxCodeIndicator <OthrTaxCdInd>	[0..1]	Indicator		513
	CumulativeTaxIndicator <CmltvTaxInd>	[0..1]	Indicator		513
	PreviousPay <PrvsPay>	[0..1]	Amount	C1, C10	514
	PreviousTax <PrvsTax>	[0..1]	Amount	C1, C10	514
	StartDate <StartDt>	[0..1]	±		514
	EndDate <EndDt>	[0..1]	±		515
	AdditionalInformation <AddtlInf>	[0..*]	±		515
	AdditionalInformation <AddtlInf>	[0..*]	±		515

35.4.4.22.1 EmploymentDetails <MplymntDtls>

Presence: [0..1]

Definition: Previous employment information.

EmploymentDetails <MplymntDtls> contains the following **EmploymentDetails1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxCode <TaxCd>	[0..1]	±		513
	OtherTaxCodeIndicator <OthrTaxCdInd>	[0..1]	Indicator		513
	CumulativeTaxIndicator <CmltvTaxInd>	[0..1]	Indicator		513
	PreviousPay <PrvsPay>	[0..1]	Amount	C1, C10	514
	PreviousTax <PrvsTax>	[0..1]	Amount	C1, C10	514
	StartDate <StartDt>	[0..1]	±		514
	EndDate <EndDt>	[0..1]	±		515
	AdditionalInformation <AddtlInf>	[0..*]	±		515

35.4.4.22.1.1 TaxCode <TaxCd>

Presence: [0..1]

Definition: Current tax code.

TaxCode <TaxCd> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

35.4.4.22.1.2 OtherTaxCodeIndicator <OthrTaxCdInd>

Presence: [0..1]

Definition: Indicates whether or not another tax code exists.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

35.4.4.22.1.3 CumulativeTaxIndicator <CmltvTaxInd>

Presence: [0..1]

Definition: Indicates whether tax is cumulative or non-cumulative. In the UK, this is known as the W1M1 indicator.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

35.4.4.22.1.4 PreviousPay <PrvsPay>*Presence:* [0..1]*Definition:* Amount of the previous pay.*Impacted by:* C1 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

35.4.4.22.1.5 PreviousTax <PrvsTax>*Presence:* [0..1]*Definition:* Amount of the previous tax.*Impacted by:* C1 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

35.4.4.22.1.6 StartDate <StartDt>*Presence:* [0..1]*Definition:* Start date of the employment period.

StartDate <StartDt> contains one of the following elements (see "DateFormat42Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	YearMonth <YrMnth>	[1..1]	YearMonth		1377
Or}	YearMonthDay <YrMnthDay>	[1..1]	Date		1378

35.4.4.22.1.7 EndDate <EndDt>*Presence:* [0..1]*Definition:* End date of the employment period.**EndDate <EndDt>** contains one of the following elements (see ["DateFormat42Choice"](#) on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	YearMonth <YrMnth>	[1..1]	YearMonth		1377
Or}	YearMonthDay <YrMnthDay>	[1..1]	Date		1378

35.4.4.22.1.8 AdditionalInformation <AddtlInf>*Presence:* [0..*]*Definition:* Additional information about the employment.**AdditionalInformation <AddtlInf>** contains the following elements (see ["AdditionalInformation15"](#) on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

35.4.4.22.2 AdditionalInformation <AddtlInf>*Presence:* [0..*]*Definition:* Additional information about the drawdown.**AdditionalInformation <AddtlInf>** contains the following elements (see ["AdditionalInformation15"](#) on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

35.4.4.23 QueryResponse <QryRspn>*Presence:* [0..*]*Definition:* Response information.*Datatype:* ["Max350Text"](#) on page 2683**35.4.4.24 StatusInitiator <StsInitr>***Presence:* [0..1]*Definition:* Party that initiates the status.

StatusInitiator <StsIntr> contains the following elements (see "PartyIdentification139" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

35.4.4.25 StatusIssuer <StsIssr>

Presence: [0..1]

Definition: Party that issues the status or information message.

StatusIssuer <StsIssr> contains the following elements (see "PartyIdentification139" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

35.4.4.26 StatusRecipient <StsRcpt>

Presence: [0..1]

Definition: Party that receives the status or information message.

StatusRecipient <StsRcpt> contains the following elements (see "PartyIdentification139" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

35.4.4.27 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the status.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

35.4.5 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "MarketPracticeVersion1" on page 1862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1862
	Date <Dt>	[0..1]	YearMonth		1862
	Number <Nb>	[0..1]	Text		1862

35.4.6 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C12 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

36 sese.012.001.11 PortfolioTransferInstructionV11

36.1 MessageDefinition Functionality

Scope

The PortfolioTransferInstruction message is sent by an instructing party, for example, a (new) plan manager (transferee), to the executing party, for example, a (old) plan manager (transferor), on behalf of the initiating party, for example, an investor (client), to instruct the transfer of financial instruments from the client's account at the old plan manager (transferor) to the clients account at the new plan manager (transferee).

Usage

The PortfolioTransferInstruction message is used to instruct one or more portfolio transfers for one client. Each portfolio transfer is for delivery to the same account. The account may be owned by one or more individual investors or one or more corporate investors.

If the instructing party does not have enough information to instruct the portfolio transfer, then it must first send an AccountHoldingInformationRequest message to the executing party in order to receive an AccountHoldingInformation message.

Outline

The PortfolioTransferInstructionV11 MessageDefinition is composed of 16 MessageBuildingBlocks:

A. MessageReference

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. PrimaryIndividualInvestor

Information identifying the primary individual investor, for example, name, address, social security number and date of birth.

F. SecondaryIndividualInvestor

Information identifying the secondary individual investor, for example, name, address, social security number and date of birth.

G. OtherIndividualInvestor

Information identifying the other individual investors, for example, name, address, social security number and date of birth.

H. PrimaryCorporateInvestor

Information identifying the primary corporate investor, for example, name and address.

I. SecondaryCorporateInvestor

Information identifying the secondary corporate investor, for example, name and address.

J. OtherCorporateInvestor

Information identifying the other corporate investors, for example, name and address.

K. TransferorAccount

Identification of the account owned by the investor at the old plan manager (account servicer).

L. NomineeAccount

Account held in the name of a party that is not the name of the beneficial owner of the shares.

M. Transferee

Identification of the institution to which the financial instrument is to be transferred. This may also be known as the new plan manager.

N. ProductTransfer

Information about the portfolio and assets.

O. MarketPracticeVersion

Identifies the market practice to which the message conforms.

P. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

36.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <PrftlTrfInstr>	[1..1]		C14, C15, C26, C27, C28	
	MessageReference <MsgRef>	[1..1]	±		526
	PoolReference <PoolRef>	[0..1]	±		527
	PreviousReference <PrvsRef>	[0..1]	±		527
	RelatedReference <RltdRef>	[0..1]	±		527
	PrimaryIndividualInvestor <PmryIndvInvstr>	[0..1]	±	C37	528
	SecondaryIndividualInvestor <ScndryIndvInvstr>	[0..1]	±	C37	528
	OtherIndividualInvestor <OthrIndvInvstr>	[0..*]	±	C37	529
	PrimaryCorporateInvestor <PmryCorplInvstr>	[0..1]	±		530
	SecondaryCorporateInvestor <ScndryCorplInvstr>	[0..1]	±		530
	OtherCorporateInvestor <OthrCorplInvstr>	[0..*]	±		531
	TransferorAccount <TrfrAcct>	[1..1]	±		531
	NomineeAccount <NmneeAcct>	[0..1]	±		532
	Transferee <Trfee>	[1..1]	±		532
	ProductTransfer <PdctTrf>	[1..*]			532
	MasterReference <MstrRef>	[0..1]	Text		535
	TransferIdentification <TrfId>	[1..1]	Text		536
	TransferConfirmationIdentification <TrfConfld>	[0..1]	Text		536
	RequestedTransferDate <ReqdTrfDt>	[0..1]	Date		536
	Portfolio <Prftl>	[0..1]			536
{Or	TaxEfficientProduct <TaxEffcntPdct>	[1..1]	±		537
Or	GeneralInvestment <GnlInvstmt>	[1..1]	±		538
Or}	Pension <Pnsn>	[1..1]			538
	Identification <Id>	[0..1]			539
	Identifier <Idr>	[1..1]	Text		539
	SubIdentifier <Subldr>	[0..1]	Text		539
	AdditionalInformation <AddtlInf>	[0..1]	±		539
	Type <Tp>	[0..1]			540

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		540
Or}	Proprietary <Prtry>	[1..1]	±		541
	TransferScope <TrfScp>	[0..1]	±		541
	TaxReference <TaxRef>	[0..*]	±		542
	DrawdownTrancheIdentification <DrwdwnTrchld>	[0..1]	Text		542
	NonWrapperTransfer <NonWrpprTrf>	[0..1]	Indicator		542
	AdditionalInformation <AddtlInf>	[0..*]	±		542
	AllOtherCash <AllOthrCsh>	[0..*]	±		543
	CashAll <CshAll>	[0..*]	±		543
	ResidualCash <RsdnCsh>	[0..*]	±		543
	PaymentDetails <PmtDtls>	[0..1]	±		543
	FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>	[0..*]		C7, C8, C9, C12, C13, C30	545
	LineIdentification <LineId>	[0..1]	Text		547
	Instrument <Instrm>	[1..1]			547
{Or	Security <Scty>	[1..1]	±	C24	548
Or	CashAsset <CshAsst>	[1..1]	±	C22	548
Or}	OtherAsset <OthrAsst>	[1..1]	±		549
	Quantity <Qty>	[0..1]	±		549
	PartialInstructedQuantity <PrtlInstQty>	[0..1]	Indicator		549
	TransferType <TrfTp>	[1..1]	±	C35	550
	AdditionalAsset <AddtlAsst>	[0..1]	Indicator		550
	NotAvailable <NotAvlbl>	[0..1]	Indicator		550
	Conversion <Convs>	[0..1]	±		550
	UnitsDetails <UnitsDtls>	[0..*]	±		551
	ClientReference <ClntRef>	[0..1]	±		552
	CounterpartyReference <CtrPtyRef>	[0..1]	±		552
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		552
	AverageAcquisitionPrice <AvrgAcqstnPric>	[0..1]	Amount	C2, C17	552
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C2	553
	TotalBookValue <TtlBookVal>	[0..1]	±		553

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	TransfereeAccount <TrfeeAcct>	[0..1]	±		553
	Transferor <Trfr>	[0..1]	±		554
	IntermediaryInformation <IntrmyInf>	[0..*]	±		554
	RequestedTransferDate <ReqdTrfDt>	[0..1]	Date		555
	RequestedTradeDate <ReqdTradDt>	[0..1]	Date		555
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		555
	PaymentDetails <PmtDtls>	[0..1]	±		555
	CrystallisationDetails <CrstllstnDtls>	[0..*]	±		557
	TaxValuationPoint <TaxValtnPt>	[0..1]	±		558
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		558
	AssetsHeldInOwnName <AsstsHeldInOwnNm>	[0..1]	Indicator		559
	TransferResultsInChangeOfBeneficialOwner <TrfRsultsInChngOfBnfcIOwnr>	[0..1]	Indicator		559
	AdditionalInformation <AddtlInf>	[0..*]	±		559
	AdditionalInformation <AddtlInf>	[0..*]	±		560
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		560
	Extension <Xtnsn>	[0..*]	±	C21	560

36.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditonalDetailsGuideline

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

C4 AdditonalDetailsGuideline

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C6 AppliedAmountRule

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C7 AssetLiquidatedCurrencyGuideline

When a security is to be liquidated and transferred as cash (TransferType is CASH), then the currency of the resulting cash is specified in TransferCurrency.

C8 AssetQuantity1Guideline

If Instrument/Security is present and Quantity is present, then Quantity/Unit or Quantity/PercentageRate or Quantity/FaceAmount or Quantity/AmortisedValue must be present.

C9 AssetQuantity2Guideline

If Instrument/CashAsset is present and Quantity is present, then Quantity/CashAmount or Quantity/Percentage must be present.

C10 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C11 BookTransferGuideline

In the case of an own account internal transfer, the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

C12 Conversion1Rule

If TransferType/Code/CONV (Conversion) is present, then Conversion must be present.

C13 Conversion2Rule

If TransferType/Code/CONV (Conversion) is not present, then Conversion is not allowed.

C14 Corporate1Rule

If PrimaryCorporateInvestor is not present, then SecondaryCorporateInvestor is not allowed.

If PrimaryCorporateInvestor is present, then SecondaryCorporateInvestor may be present.

On Condition

/PrimaryCorporateInvestor is absent

Following Must be True

/SecondaryCorporateInvestor Must be absent

This constraint is defined at the MessageDefinition level.

C15 Corporate2Rule

If PrimaryCorporateInvestor is not present, then OtherCorporateInvestor is not allowed.

If PrimaryCorporateInvestor is present, then OtherCorporateInvestor may be present.

On Condition

/PrimaryCorporateInvestor is absent

Following Must be True

/OtherCorporateInvestor[*] Must be absent

This constraint is defined at the MessageDefinition level.

C16 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C17 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C18 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C19 DepositoryGuideline

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC will be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

C20 DiscountElementRule

Amount Or Rate Or Basis must be present.

C21 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C22 HoldingTransferCurrencyGuideline

When cash is transferred in a currency other than that of the cash, the currency should be specified in TransferCurrency.

C23 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C24 IdentificationGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C25 IdentificationGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C26 Investor1Rule

If PrimaryIndividualInvestor is not present, then SecondaryIndividualInvestor is not allowed.

If PrimaryIndividualInvestor is present, then SecondaryIndividualInvestor may be present.

On Condition

/PrimaryIndividualInvestor is absent

Following Must be True

/SecondaryIndividualInvestor Must be absent

This constraint is defined at the MessageDefinition level.

C27 Investor2Rule

If PrimaryIndividualInvestor is not present, then OtherIndividualInvestor is not allowed.

If PrimaryIndividualInvestor is present, then OtherIndividualInvestor may be present.

On Condition

/PrimaryIndividualInvestor is absent

Following Must be True

/OtherIndividualInvestor[*] Must be absent

This constraint is defined at the MessageDefinition level.

C28 NomineeAccountServicerRule

NomineeAccount/Servicer must be present when and only when different from TransferorAccount/Servicer.

This constraint is defined at the MessageDefinition level.

C29 NonStandardSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C30 PartialInstructedQuantityRule

If the value of PartialInstructedQuantity is 'true' or '1' (Yes), then Quantity must be present.

If the value of PartialInstructedQuantity is 'false' or '0' (No), then Quantity maybe present.

C31 Party2PresenceRule

If Party2 is present, then Party1 must be present.

C32 Party3PresenceRule

If Party3 is present, then Party2 must be present.

C33 Party4PresenceRule

If Party4 is present, then Party3 must be present.

C34 Party5PresenceRule

If Party5 is present, then Party4 must be present.

C35 SECUGuideline

The code SECU (Asset) is used when a financial instrument such as units of a fund are to be transferred.

The code SECU is also used when assets such as equities or bonds are to be transferred. The code SECU is also used for assets such as property, moveable property and other similar types of other asset.

C36 SettlementChainGuideline

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

C37 SocialSecurityNumberGuideline

The UK Insurance Number must be indicated without spaces.

C38 SpecificPreviousYearsGuideline

SpecificPreviousYears must not contain the current year.

C39 TotalAmountYearToDateRule

TotalAmountYearToDate must be equal to the sum of EquityComponent and CashComponent if present.

C40 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

36.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

36.4.1 MessageReference <MsgRef>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageReference <MsgRef> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

36.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference11](#)" on page 1494 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1494
	ReferenceIssuer <RefIssr>	[0..1]	±		1494
	MessageName <MsgNm>	[0..1]	Text		1495

36.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference10](#)" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

36.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference10"](#) on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

36.4.5 PrimaryIndividualInvestor <PmryIndvInvstr>

Presence: [0..1]

Definition: Information identifying the primary individual investor, for example, name, address, social security number and date of birth.

Impacted by: [C37 "SocialSecurityNumberGuideline"](#)

PrimaryIndividualInvestor <PmryIndvInvstr> contains the following elements (see ["IndividualPerson8"](#) on page 2193 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2193
	GivenName <GvnNm>	[1..1]	Text		2194
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2194
	NameSuffix <NmSfx>	[0..1]	Text		2194
	Gender <Gndr>	[0..1]	CodeSet		2194
	BirthDate <BirthDt>	[0..1]	Date		2194
	SocialSecurityNumber <ScIscItyNb>	[0..1]	Text		2194
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2195

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

36.4.6 SecondaryIndividualInvestor <ScndryIndvInvstr>

Presence: [0..1]

Definition: Information identifying the secondary individual investor, for example, name, address, social security number and date of birth.

Impacted by: [C37 "SocialSecurityNumberGuideline"](#)

SecondaryIndividualInvestor <ScndryIndvInvstr> contains the following elements (see "IndividualPerson8" on page 2193 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2193
	GivenName <GvnNm>	[1..1]	Text		2194
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2194
	NameSuffix <NmSfx>	[0..1]	Text		2194
	Gender <Gndr>	[0..1]	CodeSet		2194
	BirthDate <BirthDt>	[0..1]	Date		2194
	SocialSecurityNumber <ScIScTyNb>	[0..1]	Text		2194
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2195

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

36.4.7 OtherIndividualInvestor <OthrIndvInvstr>

Presence: [0..*]

Definition: Information identifying the other individual investors, for example, name, address, social security number and date of birth.

Impacted by: C37 "SocialSecurityNumberGuideline"

OtherIndividualInvestor <OthrIndvInvstr> contains the following elements (see "IndividualPerson8" on page 2193 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2193
	GivenName <GvnNm>	[1..1]	Text		2194
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2194
	NameSuffix <NmSfx>	[0..1]	Text		2194
	Gender <Gndr>	[0..1]	CodeSet		2194
	BirthDate <BirthDt>	[0..1]	Date		2194
	SocialSecurityNumber <ScIScTyNb>	[0..1]	Text		2194
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2195

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

36.4.8 PrimaryCorporateInvestor <PmryCorplnvstr>

Presence: [0..1]

Definition: Information identifying the primary corporate investor, for example, name and address.

PrimaryCorporateInvestor <PmryCorplnvstr> contains the following elements (see "[Organisation36](#)" on page 1893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1894
	Identification <Id>	[0..1]	±		1894
	Purpose <Purp>	[0..1]	Text		1894
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	1894
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	1895
	RegistrationDate <RegnDt>	[0..1]	Date		1895
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		1895
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		1895
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		1895

36.4.9 SecondaryCorporateInvestor <ScndryCorplnvstr>

Presence: [0..1]

Definition: Information identifying the secondary corporate investor, for example, name and address.

SecondaryCorporateInvestor <ScndryCorplnvstr> contains the following elements (see "Organisation36" on page 1893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1894
	Identification <Id>	[0..1]	±		1894
	Purpose <Purp>	[0..1]	Text		1894
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	1894
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	1895
	RegistrationDate <RegnDt>	[0..1]	Date		1895
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		1895
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		1895
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		1895

36.4.10 OtherCorporateInvestor <OthrCorplnvstr>

Presence: [0..*]

Definition: Information identifying the other corporate investors, for example, name and address.

OtherCorporateInvestor <OthrCorplnvstr> contains the following elements (see "Organisation36" on page 1893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1894
	Identification <Id>	[0..1]	±		1894
	Purpose <Purp>	[0..1]	Text		1894
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	1894
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	1895
	RegistrationDate <RegnDt>	[0..1]	Date		1895
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		1895
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		1895
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		1895

36.4.11 TransferorAccount <TrfrAcct>

Presence: [1..1]

Definition: Identification of the account owned by the investor at the old plan manager (account servicer).

TransferorAccount <TrfrAcct> contains the following elements (see "[InvestmentAccount69](#)" on page 1218 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1218
	Designation <Dsgnt>	[0..1]	Text		1218
	AccountName <AcctNm>	[0..1]	Text		1218
	Servicer <Svcr>	[0..1]	±		1219

36.4.12 NomineeAccount <NmneeAcct>

Presence: [0..1]

Definition: Account held in the name of a party that is not the name of the beneficial owner of the shares.

NomineeAccount <NmneeAcct> contains the following elements (see "[InvestmentAccount69](#)" on page 1218 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1218
	Designation <Dsgnt>	[0..1]	Text		1218
	AccountName <AcctNm>	[0..1]	Text		1218
	Servicer <Svcr>	[0..1]	±		1219

36.4.13 Transferee <Trfee>

Presence: [1..1]

Definition: Identification of the institution to which the financial instrument is to be transferred. This may also be known as the new plan manager.

Transferee <Trfee> contains the following elements (see "[PartyIdentification132](#)" on page 2004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2004
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2004
	NameAndAddress <NmAndAdr>	[0..1]	±		2005
	ProprietaryIdentification <PrtryId>	[0..1]	±		2006
	LEI <LEI>	[0..1]	IdentifierSet		2006

36.4.14 ProductTransfer <PdctTrf>

Presence: [1..*]

Definition: Information about the portfolio and assets.

ProductTransfer <PdctTrf> contains the following **PortfolioTransfer11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		535
	TransferIdentification <TrfId>	[1..1]	Text		536
	TransferConfirmationIdentification <TrfConfId>	[0..1]	Text		536
	RequestedTransferDate <ReqdTrfDt>	[0..1]	Date		536
	Portfolio <Prtf>	[0..1]			536
{Or	TaxEfficientProduct <TaxEffcntPdct>	[1..1]	±		537
Or	GeneralInvestment <GnlInvstmt>	[1..1]	±		538
Or}	Pension <Pnsn>	[1..1]			538
	Identification <Id>	[0..1]			539
	Identifier <Idr>	[1..1]	Text		539
	SubIdentifier <Subldr>	[0..1]	Text		539
	AdditionalInformation <AddtlInf>	[0..1]	±		539
	Type <Tp>	[0..1]			540
{Or	Code <Cd>	[1..1]	CodeSet		540
Or}	Proprietary <Prtry>	[1..1]	±		541
	TransferScope <TrfScp>	[0..1]	±		541
	TaxReference <TaxRef>	[0..*]	±		542
	DrawdownTrancheIdentification <DrwdwnTrchId>	[0..1]	Text		542
	NonWrapperTransfer <NonWrpprTrf>	[0..1]	Indicator		542
	AdditionalInformation <AddtlInf>	[0..*]	±		542
	AllOtherCash <AllothrCsh>	[0..*]	±		543
	CashAll <CshAll>	[0..*]	±		543
	ResidualCash <RsdICsh>	[0..*]	±		543
	PaymentDetails <PmtDtls>	[0..1]	±		543
	FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>	[0..*]		C7, C8, C9, C12, C13, C30	545
	LineIdentification <LineId>	[0..1]	Text		547
	Instrument <Instrm>	[1..1]			547
{Or	Security <Scty>	[1..1]	±	C24	548
Or	CashAsset <CshAsst>	[1..1]	±	C22	548

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	OtherAsset <OthrAsst>	[1..1]	±		549
	Quantity <Qty>	[0..1]	±		549
	PartialInstructedQuantity <PrtlInstQty>	[0..1]	Indicator		549
	TransferType <TrfTp>	[1..1]	±	C35	550
	AdditionalAsset <AddtlAsst>	[0..1]	Indicator		550
	NotAvailable <NotAvlbl>	[0..1]	Indicator		550
	Conversion <Convs>	[0..1]	±		550
	UnitsDetails <UnitsDtls>	[0..*]	±		551
	ClientReference <ClntRef>	[0..1]	±		552
	CounterpartyReference <CtrPtyRef>	[0..1]	±		552
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		552
	AverageAcquisitionPrice <AvrgAcqstnPric>	[0..1]	Amount	C2, C17	552
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C2	553
	TotalBookValue <TtlBookVal>	[0..1]	±		553
	TransfereeAccount <TrfeeAcct>	[0..1]	±		553
	Transferor <Trfr>	[0..1]	±		554
	IntermediaryInformation <IntrmyInf>	[0..*]	±		554
	RequestedTransferDate <ReqdTrfDt>	[0..1]	Date		555
	RequestedTradeDate <ReqdTradDt>	[0..1]	Date		555
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		555
	PaymentDetails <PmtDtls>	[0..1]	±		555
	CrystallisationDetails <CrstllstnDtls>	[0..*]	±		557
	TaxValuationPoint <TaxValtnPt>	[0..1]	±		558
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		558
	AssetsHeldInOwnName <AsstsHeldInOwnNm>	[0..1]	Indicator		559
	TransferResultsInChangeOfBeneficialOwner <TrfRsultsInChngOfBnfcIOwnr>	[0..1]	Indicator		559
	AdditionalInformation <AddtlInf>	[0..*]	±		559
	AdditionalInformation <AddtlInf>	[0..*]	±		560

36.4.14.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2683

36.4.14.2 TransferIdentification <Trfld>

Presence: [1..1]

Definition: Identification assigned to the transfer of assets.

Datatype: "Max35Text" on page 2683

36.4.14.3 TransferConfirmationIdentification <TrfConfld>

Presence: [0..1]

Definition: Identification of the confirmation assigned by the transferor to the transfer.

Datatype: "Max35Text" on page 2683

36.4.14.4 RequestedTransferDate <ReqdTrfDt>

Presence: [0..1]

Definition: Date for which the instructing party requests the transfer of the portfolio as a whole.

Datatype: "ISODate" on page 2668

36.4.14.5 Portfolio <Prftl>

Presence: [0..1]

Definition: Choice of tax efficient product, general investment or pension.

Portfolio <Prftl> contains one of the following **FundPortfolio8Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TaxEfficientProduct <TaxEffcntPdct>	[1..1]	±		537
Or	GeneralInvestment <GnlInvstmt>	[1..1]	±		538
Or}	Pension <Pnsn>	[1..1]			538
	Identification <Id>	[0..1]			539
	Identifier <Idr>	[1..1]	Text		539
	SubIdentifier <Subldr>	[0..1]	Text		539
	AdditionalInformation <AddtlInf>	[0..1]	±		539
	Type <Tp>	[0..1]			540
{Or	Code <Cd>	[1..1]	CodeSet		540
Or}	Proprietary <Prtry>	[1..1]	±		541
	TransferScope <TrfScp>	[0..1]	±		541
	TaxReference <TaxRef>	[0..*]	±		542
	DrawdownTrancheIdentification <DrwdwnTrchld>	[0..1]	Text		542
	NonWrapperTransfer <NonWrpprTrf>	[0..1]	Indicator		542
	AdditionalInformation <AddtlInf>	[0..*]	±		542

36.4.14.5.1 TaxEfficientProduct <TaxEffcntPdct>

Presence: [1..1]

Definition: Portfolio is a government scheme to encourage investment in securities based unit and investment trusts, offering certain tax benefits. These are not investments in their own right but are tax exempt 'wrappers' in which equities, bonds and funds can be held to shelter them from various types of tax.

In the UK, a typical tax wrapper product is known as an 'ISA' (Individual Savings Account).

TaxEfficientProduct <TaxEffcntPdct> contains the following elements (see "TaxEfficientProduct6" on page 1414 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxEfficientProductType <TaxEffcntPdctTp>	[1..1]	±		1414
	CurrentYear <CurYr>	[0..1]	Indicator		1414
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		1415
	PreviousYears <PrvsYrs>	[0..1]		C36	1415
	PreviousYears <PrvsYrs>	[1..1]			1415
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		1415
Or}	SpecificPreviousYears <SpfcPrvsYrs>	[1..*]	Year		1415
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		1416
	CurrentYearSubscriptionDetails <CurYrSbcptDtls>	[0..1]		C39	1416
	DateOfFirstSubscription <DtOfFrstSbcpt>	[1..1]	Date		1416
	EquityComponent <EqtyCmpnt>	[0..1]	Amount	C2	1416
	CashComponent <CshCmpnt>	[0..1]	Amount	C2	1417
	TotalAmountYearToDate <TtlAmtYrToDt>	[1..1]	Amount	C2	1417
	AdditionalInformation <AddtlInf>	[0..*]	±		1417

36.4.14.5.2 GeneralInvestment <GnlInvstmt>

Presence: [1..1]

Definition: Portfolio is a general investment.

GeneralInvestment <GnlInvstmt> contains the following elements (see "GeneralInvestment2" on page 1411 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]	±		1411
	OwnershipType <OwnrshTp>	[0..1]	CodeSet		1411
	CurrentInvestmentAmount <CurlInvstmtAmt>	[0..1]	Amount	C2	1413
	EstimatedValue <EstmtdVal>	[0..1]	±		1413
	AdditionalInformation <AddtlInf>	[0..*]	±		1413

36.4.14.5.3 Pension <Pnsn>

Presence: [1..1]

Definition: Portfolio is a pension policy, plan or scheme.

Pension <Pnsn> contains the following **Pension6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]			539
	Identifier <Idr>	[1..1]	Text		539
	SubIdentifier <Subldr>	[0..1]	Text		539
	AdditionalInformation <AddtlInf>	[0..1]	±		539
	Type <Tp>	[0..1]			540
{Or	Code <Cd>	[1..1]	CodeSet		540
Or}	Proprietary <Prtry>	[1..1]	±		541
	TransferScope <TrfScp>	[0..1]	±		541
	TaxReference <TaxRef>	[0..*]	±		542
	DrawdownTrancheIdentification <DrwdwnTrchld>	[0..1]	Text		542
	NonWrapperTransfer <NonWrpprTrf>	[0..1]	Indicator		542
	AdditionalInformation <AddtlInf>	[0..*]	±		542

36.4.14.5.3.1 Identification <Id>

Presence: [0..1]

Definition: Identification of the pension policy, plan or scheme.

Identification <Id> contains the following **PensionPolicy1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identifier <Idr>	[1..1]	Text		539
	SubIdentifier <Subldr>	[0..1]	Text		539
	AdditionalInformation <AddtlInf>	[0..1]	±		539

36.4.14.5.3.1.1 Identifier <Idr>

Presence: [1..1]

Definition: Reference number of the pension policy, plan or scheme.

Datatype: "Max35Text" on page 2683

36.4.14.5.3.1.2 SubIdentifier <Subldr>

Presence: [0..1]

Definition: Sub-identification of the pension policy, plan or scheme, such as a member reference.

Datatype: "Max35Text" on page 2683

36.4.14.5.3.1.3 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the identification of the pension policy, plan or scheme.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

36.4.14.5.3.2 Type <Tp>

Presence: [0..1]

Definition: Type of pension policy, plan or scheme.

Type <Tp> contains one of the following **PensionSchemeType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		540
Or}	Proprietary <Prtry>	[1..1]	±		541

36.4.14.5.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of pension policy, plan or scheme expressed as a code.

Datatype: "PensionSchemeType3Code" on page 2617

CodeName	Name	Definition
AAVC	AdditionalVoluntaryContribution	Pension plan is an additional voluntary contribution plan.
DBEN	DefinedBenefit	Pension plan is a defined benefit plan.
EXPP	ExecutivePensionPlan	Pension plan is an executive pension plan.
FAVC	FreeStandingAdditionalVoluntaryContribution	Pension plan is a free standing additional voluntary contribution plan.
GPPS	GroupPersonalPension	Pension is a group personal pension.
SIPG	GroupSelfInvestedPension	Pension plan is a group self-invested pension plan.
STKG	GroupStakeholder	Pension plan is a group stakeholder pension plan.
IPST	IndividualPensionWithTaxBenefit	Pension is an individual pension savings plan with a tax benefit. This is known as an Individual Pension Schema (IPS) in some markets.
STKI	IndividualStakeholder	Pension plan is an individual stakeholder pension.
OTPM	MandatoryOccupationalPension	Pension is a mandatory occupational pension. This is known as an Obligatory

CodeName	Name	Definition
		Occupation Pension (OTP) in some markets.
OCDC	OccupationalDefinedContribution	Pension plan is an occupational defined contribution plan.
PPNS	PersonalPension	Pension is a personal pension plan.
EPKA	PersonalPensionAccount	Pension is a personal pension account. This is known as Employee Pension Kapital (EPK) in some markets.
ITPO	PrivateOccupationalPension	Pension is a private occupational pension. This is known as an industrial and trade supplementary pension (ITP) in some markets.
REAN	RetirementAnnuity	Pension plan is a retirement annuity.
SC32	Section32	Pension type covered by section 32.
S32A	Section32A	Pension type covered by section 32A.
SIPP	SelfInvestedPersonalPension	Pension is a self-invested personal pension plan.
SSAS	SmallSelfAdministeredScheme	Pension is a small self-administered pension scheme.
NWRP	NonWrapperPension	Indicates that the party does not operate the pension wrapper.

36.4.14.5.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of pension policy, plan or scheme expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

36.4.14.5.3.3 TransferScope <TrfScp>

Presence: [0..1]

Definition: Scope of the pension policy, plan or scheme transfer.

TransferScope <TrfScp> contains one of the following elements (see "[PensionTransferScope1Choice](#)" on page 1697 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697

36.4.14.5.3.4 TaxReference <TaxRef>

Presence: [0..*]

Definition: Tax reference issued to the pension policy, plan or scheme by a central organisation.

TaxReference <TaxRef> contains the following elements (see "TaxReference1" on page 2413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxType <TaxTp>	[0..1]			2413
{Or	Code <Cd>	[1..1]	CodeSet		2414
Or}	Proprietary <Prtry>	[1..1]	±		2414
	Reference <Ref>	[1..1]	Text		2414
	HolderType <HldrTp>	[0..1]			2414
{Or	Code <Cd>	[1..1]	CodeSet		2414
Or}	Proprietary <Prtry>	[1..1]	±		2415

36.4.14.5.3.5 DrawdownTrancheIdentification <DrwdwnTrchId>

Presence: [0..1]

Definition: Reference of the drawdown.

Datatype: "Max35Text" on page 2683

36.4.14.5.3.6 NonWrapperTransfer <NonWrpprTrf>

Presence: [0..1]

Definition: Indicates that the transfer is only in relation to underlying assets, and that the wrapper transfer will occur separately.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

36.4.14.5.3.7 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the pension policy, plan or scheme.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

36.4.14.6 AllOtherCash <AllOthrCsh>*Presence:* [0..*]*Definition:* Specifies whether all remaining assets in the portfolio not listed for transfer should be liquidated and transferred as cash.**AllOtherCash <AllOthrCsh>** contains the following elements (see "[AllOtherCash1](#)" on page 1802 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Indicator <Ind>	[1..1]	Indicator		1803
	Currency <Ccy>	[0..1]	CodeSet	C2	1803

36.4.14.7 CashAll <CshAll>*Presence:* [0..*]*Definition:* Specifies whether all assets in the portfolio are to be liquidated and transferred as cash.**CashAll <CshAll>** contains the following elements (see "[CashAll1](#)" on page 1802 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Indicator <Ind>	[1..1]	Indicator		1802
	Currency <Ccy>	[0..1]	CodeSet	C2	1802

36.4.14.8 ResidualCash <RsdICsh>*Presence:* [0..*]*Definition:* Specifies how the cash in the account that is awaiting investment is to be dealt with.**ResidualCash <RsdICsh>** contains the following elements (see "[ResidualCash2](#)" on page 1800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Indicator <Ind>	[1..1]	Indicator		1801
	Currency <Ccy>	[0..1]	CodeSet	C2	1801

36.4.14.9 PaymentDetails <PmtDtls>*Presence:* [0..1]*Definition:* Payment process for the transfer of cash from the debtor to the creditor.

PaymentDetails <PmtDtls> contains the following elements (see "PaymentInstrument14" on page 2092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	Text		2094
	CreditTransferDetails <CdtTrfDtls>	[0..1]			2094
	Debtor <Dbtr>	[0..1]	±		2097
	DebtorAccount <DbtrAcct>	[0..1]			2098
	Name <Nm>	[0..1]	Text		2098
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2098
	Other <Othr>	[0..1]	±		2098
	DebtorAgent <DbtrAgt>	[0..1]			2099
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2099
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2099
	NameAndAddress <NmAndAdr>	[0..1]	±		2100
	LEI <LEI>	[0..1]	IdentifierSet		2100
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2101
	BranchIdentification <BrnchId>	[0..1]	±		2101
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]			2101
	Name <Nm>	[0..1]	Text		2101
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2101
	Other <Othr>	[0..1]	±		2102
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]			2102
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2102
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2103
	NameAndAddress <NmAndAdr>	[0..1]	±		2104
	LEI <LEI>	[0..1]	IdentifierSet		2104
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2104
	BranchIdentification <BrnchId>	[0..1]	±		2104
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]			2104
	Name <Nm>	[0..1]	Text		2105
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2105
	Other <Othr>	[0..1]	±		2105
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]			2106

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2106
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2106
	NameAndAddress <NmAndAdr>	[0..1]	±		2107
	LEI <LEI>	[0..1]	IdentifierSet		2107
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2108
	BranchIdentification <BrnchId>	[0..1]	±		2108
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]			2108
	Name <Nm>	[0..1]	Text		2108
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2108
	Other <Othr>	[0..1]	±		2109
	CreditorAgent <CdtrAgt>	[1..1]			2109
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2109
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2110
	NameAndAddress <NmAndAdr>	[0..1]	±		2110
	LEI <LEI>	[0..1]	IdentifierSet		2111
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2111
	BranchIdentification <BrnchId>	[0..1]	±		2111
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]			2111
	Name <Nm>	[0..1]	Text		2112
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2112
	Other <Othr>	[0..1]	±		2112
	Creditor <Cdtr>	[0..1]	±		2112
	CreditorAccount <CdtrAcct>	[1..1]			2113
	Name <Nm>	[0..1]	Text		2113
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2113
	Other <Othr>	[0..1]	±		2113

36.4.14.10 FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>

Presence: [0..*]

Definition: Asset to be transferred.

Impacted by: C7 "AssetLiquidatedCurrencyGuideline", C8 "AssetQuantity1Guideline", C9 "AssetQuantity2Guideline", C12 "Conversion1Rule", C13 "Conversion2Rule", C30 "PartialInstructedQuantityRule"

FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf> contains the following **FinancialInstrument102** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LineIdentification <LineId>	[0..1]	Text		547
	Instrument <Instrm>	[1..1]			547
{Or	Security <Scty>	[1..1]	±	C24	548
Or	CashAsset <CshAsst>	[1..1]	±	C22	548
Or}	OtherAsset <OthrAsst>	[1..1]	±		549
	Quantity <Qty>	[0..1]	±		549
	PartialInstructedQuantity <PrtlInstQty>	[0..1]	Indicator		549
	TransferType <TrfTp>	[1..1]	±	C35	550
	AdditionalAsset <AddtlAsst>	[0..1]	Indicator		550
	NotAvailable <NotAvbl>	[0..1]	Indicator		550
	Conversion <Convs>	[0..1]	±		550
	UnitsDetails <UnitsDtls>	[0..*]	±		551
	ClientReference <ClntRef>	[0..1]	±		552
	CounterpartyReference <CtrPtyRef>	[0..1]	±		552
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		552
	AverageAcquisitionPrice <AvgAcqstnPric>	[0..1]	Amount	C2, C17	552
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C2	553
	TotalBookValue <TtlBookVal>	[0..1]	±		553
	TransfereeAccount <TrfeeAcct>	[0..1]	±		553
	Transferor <Trfr>	[0..1]	±		554
	IntermediaryInformation <IntrmyInf>	[0..*]	±		554
	RequestedTransferDate <ReqdTrfDt>	[0..1]	Date		555
	RequestedTradeDate <ReqdTradDt>	[0..1]	Date		555
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		555
	PaymentDetails <PmtDtls>	[0..1]	±		555
	CrystallisationDetails <CrstllstnDtls>	[0..*]	±		557
	TaxValuationPoint <TaxValtnPt>	[0..1]	±		558
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		558
	AssetsHeldInOwnName <AsstsHeldInOwnNm>	[0..1]	Indicator		559
	TransferResultsInChangeOfBeneficialOwner <TrfRsultsInChngOfBnfclOwnr>	[0..1]	Indicator		559

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[0..*]	±		559

Constraints

- **AssetLiquidatedCurrencyGuideline**

When a security is to be liquidated and transferred as cash (TransferType is CASH), then the currency of the resulting cash is specified in TransferCurrency.

- **AssetQuantity1Guideline**

If Instrument/Security is present and Quantity is present, then Quantity/Unit or Quantity/PercentageRate or Quantity/FaceAmount or Quantity/AmortisedValue must be present.

- **AssetQuantity2Guideline**

If Instrument/CashAsset is present and Quantity is present, then Quantity/CashAmount or Quantity/Percentage must be present.

- **Conversion1Rule**

If TransferType/Code/CONV (Conversion) is present, then Conversion must be present.

On Condition

/TransferType/Code is equal to value 'Conversion'

Following Must be True

/Conversion Must be present

- **Conversion2Rule**

If TransferType/Code/CONV (Conversion) is not present, then Conversion is not allowed.

On Condition

/TransferType/Code is different from value 'Conversion'

Following Must be True

/Conversion Must be absent

- **PartialInstructedQuantityRule**

If the value of PartialInstructedQuantity is 'true' or '1' (Yes), then Quantity must be present.

If the value of PartialInstructedQuantity is 'false' or '0' (No), then Quantity maybe present.

On Condition

/PartialInstructedQuantity is equal to value 'true'

Or /PartialInstructedQuantity is equal to value '1'

Following Must be True

/Quantity Must be present

36.4.14.10.1 LinelIdentification <Linel>

Presence: [0..1]

Definition: Identification of the line of holding in the portfolio.

Datatype: "Max35Text" on page 2683

36.4.14.10.2 Instrument <Instrm>

Presence: [1..1]

Definition: Identification of the asset.

Instrument <Instrm> contains one of the following **FinancialInstrument63Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Security <Scty>	[1..1]	±	C24	548
Or	CashAsset <CshAsst>	[1..1]	±	C22	548
Or}	OtherAsset <OthrAsst>	[1..1]	±		549

36.4.14.10.2.1 Security <Scty>

Presence: [1..1]

Definition: Identification of the security.

Impacted by: [C24 "IdentificationGuideline"](#)

Security <Scty> contains the following elements (see ["FinancialInstrumentIdentification2"](#) on page 1462 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1462
	Name <Nm>	[0..1]	Text		1463
	ShortName <ShrtNm>	[0..1]	Text		1463
	ClassificationType <ClssfctnTp>	[0..1]	±		1463

Constraints

- **IdentificationGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

36.4.14.10.2.2 CashAsset <CshAsst>

Presence: [1..1]

Definition: Identification of the cash asset.

Impacted by: [C22 "HoldingTransferCurrencyGuideline"](#)

CashAsset <CshAsst> contains the following elements (see ["CashAsset3"](#) on page 1460 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAssetType <CshAsstTp>	[1..1]			1460
{Or	Code <Cd>	[1..1]	CodeSet		1461
Or}	Proprietary <Prtry>	[1..1]	±		1461
	HoldingCurrency <HldgCcy>	[1..1]	CodeSet	C2	1461
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C2	1461
	AdditionalInformation <AddtlInf>	[0..1]	±		1462

Constraints

- **HoldingTransferCurrencyGuideline**

When cash is transferred in a currency other than that of the cash, the currency should be specified in TransferCurrency.

36.4.14.10.2.3 OtherAsset <OthrAsst>

Presence: [1..1]

Definition: Identification of another kind of asset in the holding that is not a security or cash.

OtherAsset <OthrAsst> contains the following elements (see "OtherAsset2" on page 1458 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherAssetType <OthrAsstTp>	[1..1]			1458
{Or	Code <Cd>	[1..1]	CodeSet		1459
Or}	Proprietary <Prtry>	[1..1]	±		1459
	Identification <Id>	[1..1]	Text		1459
	Name <Nm>	[0..1]	Text		1459
	Description <Desc>	[0..1]	Text		1460
	OtherIdentification <OthrlId>	[0..5]	Text		1460
	AdditionalInformation <AddtlInf>	[0..*]	±		1460

36.4.14.10.3 Quantity <Qty>

Presence: [0..1]

Definition: Quantity of asset to be transferred.

Quantity <Qty> contains the following elements (see "Quantity47" on page 2262 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[0..1]	Quantity		2262
	PercentageRate <PctgRate>	[0..1]	Rate		2263
	FaceAmount <FaceAmt>	[0..1]	Amount		2263
	AmortisedValue <AmtsdVal>	[0..1]	Amount		2263
	CashAmount <CshAmt>	[0..1]	Amount	C2	2263
	OtherAsset <OthrAsst>	[0..1]	Text		2263

36.4.14.10.4 PartialInstructedQuantity <PrtlInstQty>

Presence: [0..1]

Definition: Indicates that the quantity of asset to be transferred is a partially instructed quantity.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

36.4.14.10.5 TransferType <TrfTp>

Presence: [1..1]

Definition: Specifies how the financial instrument is transferred.

Impacted by: [C35 "SECUGuideline"](#)

TransferType <TrfTp> contains one of the following elements (see ["TransferType2Choice"](#) on page 2490 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2491
Or}	Proprietary <Prtry>	[1..1]	±		2491

Constraints

- **SECUGuideline**

The code SECU (Asset) is used when a financial instrument such as units of a fund are to be transferred.

The code SECU is also used when assets such as equities or bonds are to be transferred. The code SECU is also used for assets such as property, moveable property and other similar types of other asset.

36.4.14.10.6 AdditionalAsset <AddtlAsst>

Presence: [0..1]

Definition: Indicates the asset is a new asset, not previously identified by the transferor party (ceding party) in the account holding discovery process.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

36.4.14.10.7 NotAvailable <NotAvlbl>

Presence: [0..1]

Definition: Indicates the asset is no longer available at the transferor party (ceding party).

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

36.4.14.10.8 Conversion <Convs>

Presence: [0..1]

Definition: Security is to be converted into another security before transfer.

Conversion <Convs> contains the following elements (see "Conversion1" on page 1695 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TargetSecurity <TrgtScty>	[1..1]		C16	1695
	Identification <Id>	[1..1]	±		1695
	Name <Nm>	[0..1]	Text		1696
	ShortName <ShrtNm>	[0..1]	Text		1696
	AdditionalInformation <AddtlInf>	[0..*]	±		1696

36.4.14.10.9 UnitsDetails <UnitsDtls>

Presence: [0..*]

Definition: Breakdown of units.

UnitsDetails <UnitsDtls> contains the following elements (see "Unit11" on page 1471 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1472
	OrderDate <OrdrDt>	[0..1]	Date		1472
	AcquisitionDate <AcqstnDt>	[0..1]	Date		1472
	CertificateNumber <CertNb>	[0..*]	Text		1473
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1473
	Reference <Ref>	[0..1]	Text		1473
	PriceDetails <PricDtls>	[0..1]	±		1473
	TransactionOverhead <TxOvrhd>	[0..1]		C17, C21	1474
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1474
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1474
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1475
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1475
	IndividualFee <IndvFee>	[0..*]	±	C6	1475
	IndividualTax <IndvTax>	[0..*]	±		1476
	OtherAmount <OthrAmt>	[0..*]			1477
	Type <Tp>	[1..1]			1477
{Or	Code <Cd>	[1..1]	CodeSet		1478
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1478
	Amount <Amt>	[1..1]	Amount	C2, C10	1478

36.4.14.10.10 ClientReference <ClntRef>*Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.**ClientReference <ClntRef>** contains the following elements (see "[AdditionalReference10](#)" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

36.4.14.10.11 CounterpartyReference <CtrPtyRef>*Presence:* [0..1]*Definition:* Unambiguous identification of the transfer allocated by the counterparty.**CounterpartyReference <CtrPtyRef>** contains the following elements (see "[AdditionalReference10](#)" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

36.4.14.10.12 BusinessFlowType <BizFlowTp>*Presence:* [0..1]*Definition:* Business process in which the actors are involved. This will facilitate the right business process to be triggered, according to the market business model, which may require matching instructions (double leg process) or not (single leg process).*Datatype:* "[BusinessFlowType1Code](#)" on page 2556

CodeName	Name	Definition
SLDP	SingleLegDeliveringParty	Single leg process initiated by the delivering party.
SLRP	SingleLegReceivingParty	Single leg process initiated by the receiving party.
DLPR	DoubleLegProcess	Double leg process.

36.4.14.10.13 AverageAcquisitionPrice <AvrgAcqstnPric>*Presence:* [0..1]*Definition:* Average cost per share of the security, including all fees.*Impacted by:* [C2 "ActiveOrHistoricCurrency"](#), [C17 "CurrencyAmount"](#)

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

36.4.14.10.14 TransferCurrency <TrfCcy>

Presence: [0..1]

Definition: Currency to be used to transfer the holdings. Some transfer agents register holdings grouped by currency in addition to using the ISIN for multi-currency fund shares.

Impacted by: C2 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

36.4.14.10.15 TotalBookValue <TtlBookVal>

Presence: [0..1]

Definition: Net asset on the balance sheet, the total portfolio value minus or plus the unrealised gain or loss.

TotalBookValue <TtlBookVal> contains the following elements (see "DateAndAmount2" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C3, C12	1232

36.4.14.10.16 TransfereeAccount <TrfeeAcct>

Presence: [0..1]

Definition: Account held in the name of the party that is not the name of the beneficial owner of the shares.

(Party and account into which the transferee receives the financial instrument from the transferor.)

TransfereeAccount <TrfeeAcct> contains the following elements (see "Account28" on page 1552 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[0..1]	±		1552
	AccountIdentification <Acctld>	[0..1]	Text		1553
	Designation <Dsgnt>	[0..1]	Text		1553
	AccountName <AcctNm>	[0..1]	Text		1553
	Servicer <Svcr>	[0..1]	±		1553
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1554

36.4.14.10.17 Transferor <Trfr>

Presence: [0..1]

Definition: Party and account from which the transferor delivers the financial instrument to the transferee.

Transferor <Trfr> contains the following elements (see "Account28" on page 1552 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[0..1]	±		1552
	AccountIdentification <Acctld>	[0..1]	Text		1553
	Designation <Dsgnt>	[0..1]	Text		1553
	AccountName <AcctNm>	[0..1]	Text		1553
	Servicer <Svcr>	[0..1]	±		1553
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1554

36.4.14.10.18 IntermediaryInformation <IntrmyInf>

Presence: [0..*]

Definition: Identification of a related party or intermediary.

IntermediaryInformation <IntrmyInf> contains the following elements (see "Intermediary43" on page 2012 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2012
	Account <Acct>	[0..1]			2013
	Identification <Id>	[0..1]	Text		2013
	AccountServicer <AcctSvcr>	[1..1]	±		2013
	Role <Role>	[0..1]	±		2013
	ContactPerson <CtctPrsn>	[0..1]	±		2014

36.4.14.10.19 RequestedTransferDate <ReqdTrfDt>

Presence: [0..1]

Definition: Date for which the instructing party requests the transfer.

Datatype: "ISODate" on page 2668

36.4.14.10.20 RequestedTradeDate <ReqdTradDt>

Presence: [0..1]

Definition: Date to be used as the trade date when securities are settled through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

Datatype: "ISODate" on page 2668

36.4.14.10.21 RequestedSettlementDate <ReqdSttlmDt>

Presence: [0..1]

Definition: Date at which the securities are to be exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

Datatype: "ISODate" on page 2668

36.4.14.10.22 PaymentDetails <PmtDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

PaymentDetails <PmtDtls> contains the following elements (see "PaymentInstrument14" on page 2092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	Text		2094
	CreditTransferDetails <CdtTrfDtls>	[0..1]			2094
	Debtor <Dbtr>	[0..1]	±		2097
	DebtorAccount <DbtrAcct>	[0..1]			2098
	Name <Nm>	[0..1]	Text		2098
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2098
	Other <Othr>	[0..1]	±		2098
	DebtorAgent <DbtrAgt>	[0..1]			2099
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2099
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2099
	NameAndAddress <NmAndAdr>	[0..1]	±		2100
	LEI <LEI>	[0..1]	IdentifierSet		2100
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2101
	BranchIdentification <BrnchId>	[0..1]	±		2101
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]			2101
	Name <Nm>	[0..1]	Text		2101
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2101
	Other <Othr>	[0..1]	±		2102
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]			2102
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2102
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2103
	NameAndAddress <NmAndAdr>	[0..1]	±		2104
	LEI <LEI>	[0..1]	IdentifierSet		2104
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2104
	BranchIdentification <BrnchId>	[0..1]	±		2104
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]			2104
	Name <Nm>	[0..1]	Text		2105
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2105
	Other <Othr>	[0..1]	±		2105
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]			2106

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2106
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2106
	NameAndAddress <NmAndAdr>	[0..1]	±		2107
	LEI <LEI>	[0..1]	IdentifierSet		2107
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2108
	BranchIdentification <BrnchId>	[0..1]	±		2108
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]			2108
	Name <Nm>	[0..1]	Text		2108
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2108
	Other <Othr>	[0..1]	±		2109
	CreditorAgent <CdtrAgt>	[1..1]			2109
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2109
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2110
	NameAndAddress <NmAndAdr>	[0..1]	±		2110
	LEI <LEI>	[0..1]	IdentifierSet		2111
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2111
	BranchIdentification <BrnchId>	[0..1]	±		2111
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]			2111
	Name <Nm>	[0..1]	Text		2112
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2112
	Other <Othr>	[0..1]	±		2112
	Creditor <Cdtr>	[0..1]	±		2112
	CreditorAccount <CdtrAcct>	[1..1]			2113
	Name <Nm>	[0..1]	Text		2113
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2113
	Other <Othr>	[0..1]	±		2113

36.4.14.10.23 CrystallisationDetails <CrstllstnDtls>

Presence: [0..*]

Definition: Number of units that have been received (crystallised) or not yet received (uncrystallised) from the fund. This is typically relevant to a pension fund.

CrystallisationDetails <CrstllstnDtls> contains the following elements (see "Crystallisation2" on page 1693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TrancheIdentification <TrchId>	[1..1]	Text		1694
	CrystallisedUnitsNumber <CrstllsdUnitsNb>	[0..1]	Quantity		1694
	UncrystallisedUnitsNumber <UcrstllsdUnitsNb>	[0..1]	Quantity		1694
	CrystallisedAmount <CrstllsdAmt>	[0..1]	Amount	C2	1694
	UncrystallisedAmount <UcrstllsdAmt>	[0..1]	Amount	C2	1694
	AdditionalInformation <AddtlInf>	[0..*]	±		1694

36.4.14.10.24 TaxValuationPoint <TaxValtnPt>

Presence: [0..1]

Definition: Date or tax quarter used for the calculation of tax on the asset.

TaxValuationPoint <TaxValtnPt> contains the following elements (see "Tax36" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateOrPeriod <DtOrPrd>	[1..1]			1376
{Or	Date <Dt>	[1..1]	Date		1376
Or}	Period <Prd>	[1..1]	Text		1376
	AdditionalInformation <AddtlInf>	[0..*]	±		1376

36.4.14.10.25 SettlementPartiesDetails <SttlmPtiesDtls>

Presence: [0..1]

Definition: Chain of parties involved in the settlement of a transaction.

SettlementPartiesDetails <SttlmPtiesDtls> contains the following elements (see "FundSettlementParameters17" on page 2480 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2480
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2481
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2481
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2481
	ReceivingSideDetails <RcvgSdDtls>	[0..1]	±	C7, C11, C19, C20, C21, C22, C26	2482
	DeliveringSideDetails <DlvrgSdDtls>	[0..1]	±	C7, C11, C19, C20, C21, C22, C26	2483

36.4.14.10.26 AssetsHeldInOwnName <AsstsHeldInOwnNm>

Presence: [0..1]

Definition: Indicates whether the assets are held in an individual's own name.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

36.4.14.10.27 TransferResultsInChangeOfBeneficialOwner <TrfRsItsInChngOfBnfclOwnr>

Presence: [0..1]

Definition: Indicates whether the transfer results in a change of beneficial owner.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

36.4.14.10.28 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the financial instrument.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

36.4.14.11 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the product transfer.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

36.4.15 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "MarketPracticeVersion1" on page 1862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1862
	Date <Dt>	[0..1]	YearMonth		1862
	Number <Nb>	[0..1]	Text		1862

36.4.16 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C21 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

37 sese.013.001.11 PortfolioTransferConfirmationV11

37.1 MessageDefinition Functionality

Scope

The PortfolioTransferConfirmation message is sent by an executing party, for example, a (old) plan manager (transferor), to the instructing party for example, a (new) plan manager (transferee), to confirm the transfer of financial instruments from the client's account at the old plan manager (transferor) to the clients account at the new plan manager (transferee).

Usage

The PortfolioTransferConfirmation message is used to confirm one or more portfolio transfers for one client.

The reference of each portfolio transfer confirmation is identified in TransferConfirmationIdentification. The reference of the original portfolio transfer as assigned by the instructing party is specified in TransferInstructionReference. The message identification of the PortfolioTransferInstruction message in which the portfolio transfers were conveyed may also be quoted in RelatedReference but this is not recommended.

Outline

The PortfolioTransferConfirmationV11 MessageDefinition is composed of 17 MessageBuildingBlocks:

A. MessageReference

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. PrimaryIndividualInvestor

Information identifying the primary individual investor, for example, name, address, social security number and date of birth.

F. RegisteredHolder

Official registered holder information.

G. SecondaryIndividualInvestor

Information identifying the secondary individual investor, for example, name, address, social security number and date of birth.

H. OtherIndividualInvestor

Information identifying the other individual investors, for example, name, address, social security number and date of birth.

I. PrimaryCorporateInvestor

Information identifying the primary corporate investor, for example, name and address.

J. SecondaryCorporateInvestor

Information identifying the secondary corporate investor, for example, name and address.

K. OtherCorporateInvestor

Information identifying the other corporate investors, for example, name and address.

L. TransferorAccount

Identification of the account owned by the investor at the old plan manager (account servicer).

M. NomineeAccount

Account held in the name of a party that is not the name of the beneficial owner of the shares.

N. Transferee

Identification of the institution to which the financial instrument is to be transferred. This may also be known as the new plan manager.

O. ProductTransfer

Information about the portfolio and assets.

P. MarketPracticeVersion

Identifies the market practice to which the message conforms.

Q. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

37.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <PrtlTrfConf>	[1..1]		C15, C16, C28, C29, C30	
	MessageReference <MsgRef>	[1..1]	±		574
	PoolReference <PoolRef>	[0..1]	±		574
	PreviousReference <PrvsRef>	[0..1]	±		574
	RelatedReference <RltdRef>	[0..1]	±		575
	PrimaryIndividualInvestor <PmryIndvInvstr>	[0..1]	±	C42	575
	RegisteredHolder <RegdHldr>	[0..1]	±	C42	576
	SecondaryIndividualInvestor <ScndryIndvInvstr>	[0..1]	±	C42	576
	OtherIndividualInvestor <OthrIndvInvstr>	[0..*]	±	C42	577
	PrimaryCorporateInvestor <PmryCorplInvstr>	[0..1]	±		578
	SecondaryCorporateInvestor <ScndryCorplInvstr>	[0..1]	±		578
	OtherCorporateInvestor <OthrCorplInvstr>	[0..*]	±		579
	TransferorAccount <TrfrAcct>	[1..1]	±		579
	NomineeAccount <NmneeAcct>	[0..1]	±		580
	Transferee <Trfee>	[1..1]	±		580
	ProductTransfer <PdctTrf>	[1..*]			580
	MasterReference <MstrRef>	[0..1]	Text		586
	TransferInstructionReference <TrfInstrRef>	[1..1]	Text		586
	TransferConfirmationIdentification <TrfConfId>	[1..1]	Text		586
	ActualTransferDate <ActlTrfDt>	[0..1]	Date		587
	Portfolio <Prtl>	[0..1]			587
{Or	TaxEfficientProduct <TaxEffcntPdct>	[1..1]			591
	TaxEfficientProductType <TaxEffcntPdctTp>	[1..1]	±		593
	CurrentYear <CurYr>	[0..1]	Indicator		593
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		594
	PreviousYears <PrvsYrs>	[0..1]		C43	594
	PreviousYears <PrvsYrs>	[1..1]			594
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		594

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	SpecificPreviousYears <SpcfcPrvsYrs>	[1..*]	Year		595
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		595
	PreviousYearSubscriptionAmount <PrvsYrSbcptAmt>	[0..1]	Amount	C1	595
	PreviousYearsSubscriptionAmount <PrvsYrsSbcptAmt>	[0..1]	Amount	C1	595
	DateOfFirstSubscription <DtOfFrstSbcpt>	[0..1]	Date		595
	CurrentYearSubscriptionDetails <CurYrSbcptDtls>	[0..1]		C46	596
	DateOfFirstSubscription <DtOfFrstSbcpt>	[1..1]	Date		596
	EquityComponent <EqtyCmpnt>	[0..1]	Amount	C1	596
	CashComponent <CshCmpnt>	[0..1]	Amount	C1	596
	TotalAmountYearToDate <TtlAmtYrToDt>	[1..1]	Amount	C1	597
	BonusOrWithdrawal <BnsOrWdrwl>	[0..*]			597
	TypeOfAmount <TpOfAmt>	[1..1]			598
{Or	Code <Cd>	[1..1]	CodeSet		598
Or}	Proprietary <Prtry>	[1..1]	±		598
	Amount <Amt>	[0..1]	Amount	C2	598
	Reason <Rsn>	[0..1]			599
{Or	Code <Cd>	[1..1]	CodeSet		599
Or}	Proprietary <Prtry>	[1..1]	±		599
	UnclaimedAmount <UclmdAmt>	[0..1]	Amount	C2	599
	Outstanding <Outsdng>	[0..1]	Indicator		600
	Reference <Ref>	[0..1]	Text		600
	AdditionalInformation <AddtlInf>	[0..*]	±		600
	WithdrawalForResidentialPurchaseProgress <WdrwlForResdtlPurchsPrgrs>	[0..1]	Indicator		600
	TransferorAlternateIdentification <TrfrAltrnId>	[0..1]	Text		600
	TotalSubscriptionAmount <TtlSbcptAmt>	[0..1]	Amount	C1, C18	601
	OtherAmount <OthrAmt>	[0..*]			601
	Type <Tp>	[1..1]	±		601
	Amount <Amt>	[1..1]	Amount	C1, C18	601
	DateFirstQualifyingAddition <DtFrstQlfygAddtn>	[0..1]	Date		602
	InvestorTaxReference <InvstrTaxRef>	[0..1]			602
	Type <Tp>	[0..1]			602

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		602
Or}	Proprietary <Prtry>	[1..1]	±		603
	Reference <Ref>	[1..1]	Text		603
	InvestmentsToFollowValue <InvstmtsToFlwVal>	[0..*]	±		603
	InnovativeFinance <Innvtfnc>	[0..*]			603
	Type <Tp>	[1..1]	±		604
	Information <Inf>	[1..1]	Text		604
	LowestInvestedAmountCurrentYear <LwstInvstdAmtCurYr>	[0..1]	Amount	C1	604
	TaxCalculationBase <TaxClctnBase>	[0..1]	Amount	C1	604
	UnusedTaxDeduction <UusdTaxDdctn>	[0..1]	Amount	C1	605
	CurrentInvestmentAmount <CurInvstmtAmt>	[0..1]	Amount	C1	605
	EstimatedValue <EstmtdVal>	[0..1]	±		605
	AdditionalInformation <AddtlInf>	[0..*]	±		606
Or	GeneralInvestment <Gnllnvstmt>	[1..1]	±		606
Or}	Pension <Pnsn>	[1..1]		C10, C11, C36, C37, C38, C39, C44, C45, C48	606
	Identification <Id>	[0..1]			609
	Identifier <Idr>	[1..1]	Text		610
	SubIdentifier <Subldr>	[0..1]	Text		610
	AdditionalInformation <AddtlInf>	[0..1]	±		610
	Type <Tp>	[1..1]			610
{Or	Code <Cd>	[1..1]	CodeSet		610
Or}	Proprietary <Prtry>	[1..1]	±		611
	TransferScope <TrfScp>	[0..1]	±		612
	TaxReference <TaxRef>	[0..*]	±		612
	DrawdownTrancheIdentification <DrwdwnTrchld>	[0..1]	Text		612
	DrawdownStatus <DrwdwnSts>	[0..1]	±		612
	DrawdownType <DrwdwnTp>	[0..1]	±		613
	NumberOfDrawdownTranches <NbOfDrwdwnTrnchs>	[0..1]	Quantity		613

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	EstimatedValue <EstmtdVal>	[0..1]	±		613
	BlockTransfer <BlckTrf>	[0..1]	Indicator		613
	BlockTransferReference <BlckTrfRef>	[0..1]	Text		614
	TaxFreeCashProtection <TaxFreeCshPrtcn>	[0..1]	Indicator		614
	TaxFreeCashAmount <TaxFreeCshAmt>	[0..1]	±		614
	ValueOfPensionPolicyOrPlanOrScheme <ValOfPnsnPlcyOrPlanOrSchme>	[0..1]	±		614
	RetirementAgeProtection <RtrmntAgePrtcn>	[0..1]	Indicator		614
	RetirementAge <RtrmntAge>	[0..1]	Quantity		615
	Sharing <Shrg>	[0..1]	Indicator		615
	LumpSumType <LumpSumTp>	[0..*]	±		615
	PensionOrder <PnsnOrdr>	[0..*]	±		615
	RingFencedDrawdownAssets <RingFncdDrwdwnAssts>	[0..1]	Indicator		615
	MoneyPurchaseAnnualAllowance <MnyPurchsAnlAllwnc>	[0..1]	±		616
	SafeguardBenefit <SfgrdBnft>	[0..1]	Indicator		616
	NonSafeguardedGuaranteedBenefits <NonSfgrddGrntedBnfts>	[0..1]	Indicator		616
	LifetimeAllowanceProtection <LftmAllwncPrtcn>	[0..1]	Indicator		616
	ClientLifetimeAllowanceProtection <ClntLftmAllwncPrtcn>	[0..1]	Indicator		616
	BenefitCrystallisationEventOccurred <BnftCrstllstnEvtOcrd>	[0..1]	Indicator		617
	NonWrapperTransfer <NonWrpprTrf>	[0..1]	Indicator		617
	AdditionalInformation <AddtlInf>	[0..*]	±		617
	AllOtherCash <AllOthrCsh>	[0..*]	±		617
	CashAll <CshAll>	[0..*]	±		618
	ResidualCash <RsdnCsh>	[0..*]	±		618
	TaxDate <TaxDt>	[0..1]	Date		618
	PaymentDetails <PmtDtls>	[0..1]	±		618
	FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>	[0..*]		C7, C8, C13, C14, C22	620
	LineIdentification <LineId>	[0..1]	Text		622
	Instrument <Instrm>	[1..1]			622
{Or	Security <Scty>	[1..1]	±	C26	623

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	CashAsset <CshAsst>	[1..1]	±	C24	623
Or}	OtherAsset <OthrAsst>	[1..1]	±		624
	Quantity <Qty>	[0..1]	±		624
	PartialInstructedQuantity <PrtlInstdQty>	[0..1]	Indicator		624
	OriginalPercentageInstructed <OrgnlPctgInstd>	[0..1]	Rate		625
	TransferType <TrfTp>	[1..1]	±	C40	625
	AdditionalAsset <AddtlAsst>	[0..1]	Indicator		625
	NotAvailable <NotAvlbl>	[0..1]	Indicator		625
	Conversion <Convs>	[0..1]	±		626
	UnitsDetails <UnitsDtls>	[0..*]	±		626
	ClientReference <ClntRef>	[0..1]	±		627
	CounterpartyReference <CtrPtyRef>	[0..1]	±		628
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		628
	AverageAcquisitionPrice <AvrgAcqstnPric>	[0..1]	Amount	C1, C18	628
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C2	629
	TotalBookValue <TtlBookVal>	[0..1]	±		629
	OriginalCost <OrgnlCost>	[0..1]	Amount	C1	629
	LatestValuation <LatstValtn>	[0..1]	±		629
	TransfereeAccount <TrfeeAcct>	[0..1]	±		630
	Transferor <Trfr>	[0..1]	±		630
	IntermediaryInformation <IntrmyInf>	[0..*]	±		631
	RequestedTradeDate <ReqdTradDt>	[0..1]	Date		631
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		631
	EffectiveTransferDate <FctvTrfDt>	[0..1]	±		631
	EffectiveSettlementDate <FctvSttlmDt>	[0..1]	±		631
	PaymentDetails <PmtDtls>	[0..1]	±		632
	CrystallisationDetails <CrstllstnDtls>	[0..*]	±		634
	TaxValuationPoint <TaxValtnPt>	[0..1]	±		635
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		635
	AssetsHeldInOwnName <AsstsHeldInOwnNm>	[0..1]	Indicator		636
	TransferResultsInChangeOfBeneficialOwner <TrfRsltsInChngOfBnfcIOwnr>	[0..1]	Indicator		636

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[0..*]	±		636
	AdditionalInformation <AddtlInf>	[0..*]	±		637
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		637
	Extension <Xtnsn>	[0..*]	±	C23	637

37.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditonalDetailsGuideline

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

C4 AdditonalDetailsGuideline

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C6 AppliedAmountRule

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C7 AssetQuantity1Guideline

If Instrument/Security is present, then Quantity/Unit or Quantity/FaceAmount or Quantity/AmortisedValue must be present.

C8 AssetQuantity2Guideline

If Instrument/CashAsset is present, then Quantity/CashAmount must be present.

C9 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C10 BlockTransferRetirementAgeProtectionRule

If BlockTransfer is "false" or "0" (No), then RetirementAgeProtection is not allowed.

If BlockTransfer is "true" or "1" (Yes), then RetirementAgeProtection may be present.

C11 BlockTransferTaxFreeCashProtectionRule

If BlockTransfer is "false" or "0" (No), then TaxFreeCashProtection is not allowed.

If BlockTransfer is "true" or "1" (Yes), then TaxFreeCashProtection may be present.

C12 BookTransferGuideline

In the case of an own account internal transfer, the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

C13 Conversion1Rule

If TransferType/Code/CONV (Conversion) is present, then Conversion must be present.

C14 Conversion2Rule

If TransferType/Code/CONV (Conversion) is not present, then Conversion is not allowed.

C15 Corporate1Rule

If PrimaryCorporateInvestor is not present, then SecondaryCorporateInvestor is not allowed.

If PrimaryCorporateInvestor is present, then SecondaryCorporateInvestor may be present.

On Condition

/PrimaryCorporateInvestor is absent

Following Must be True

/SecondaryCorporateInvestor Must be absent

This constraint is defined at the MessageDefinition level.

C16 Corporate2Rule

If PrimaryCorporateInvestor is not present, then OtherCorporateInvestor is not allowed.

If PrimaryCorporateInvestor is present, then OtherCorporateInvestor may be present.

On Condition

/PrimaryCorporateInvestor is absent

Following Must be True

/OtherCorporateInvestor[*] Must be absent

This constraint is defined at the MessageDefinition level.

C17 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C18 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C19 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C20 DepositoryGuideline

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC will be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

C21 DiscountElementRule

Amount Or Rate Or Basis must be present.

C22 EffectiveDatesGuideline

When the portfolio transfer confirmation is used to confirm the intent to transfer, effective transfer date and effective settlement date are not applicable.

C23 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C24 HoldingTransferCurrencyGuideline

When cash is transferred in a currency other than that of the cash, the currency should be specified in TransferCurrency.

C25 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C26 IdentificationGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C27 IdentificationGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C28 Investor1Rule

If PrimaryIndividualInvestor is not present, then SecondaryIndividualInvestor is not allowed.

If PrimaryIndividualInvestor is present, then SecondaryIndividualInvestor may be present.

On Condition

/PrimaryIndividualInvestor is absent

Following Must be True

/SecondaryIndividualInvestor Must be absent

This constraint is defined at the MessageDefinition level.

C29 Investor2Rule

If PrimaryIndividualInvestor is not present, then OtherIndividualInvestor is not allowed.

If PrimaryIndividualInvestor is present, then OtherIndividualInvestor may be present.

On Condition

/PrimaryIndividualInvestor is absent

Following Must be True

/OtherIndividualInvestor[*] Must be absent

This constraint is defined at the MessageDefinition level.

C30 NomineeAccountServicerRule

NomineeAccount/Servicer must be present when and only when different from TransferorAccount/Servicer.

This constraint is defined at the MessageDefinition level.

C31 NonStandardSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C32 Party2PresenceRule

If Party2 is present, then Party1 must be present.

C33 Party3PresenceRule

If Party3 is present, then Party2 must be present.

C34 Party4PresenceRule

If Party4 is present, then Party3 must be present.

C35 Party5PresenceRule

If Party5 is present, then Party4 must be present.

C36 PensionOrderTypeGuideline

A pension policy, plan or scheme cannot have both an earmark and a sharing order.

If PensionOrder/Type/Code/SHAR is present, then PensionOrder/Type/Code/EARM is not allowed.

If PensionOrder/Type/Code/EARM is present, then PensionOrder/Type/Code/SHAR is not allowed.

C37 RetirementAgeRule

If RetirementAgeProtection is "false" or "0" (No), then RetirementAge is not allowed.

If RetirementAgeProtection is "true" or "1" (Yes), then RetirementAge may be present.

C38 RingFencedDrawdownAssets1Rule

If DrawdownStatus/Code/NONE (None) is present, then RingFencedDrawdownAssets is not allowed.

C39 RingFencedDrawdownAssets2Rule

If DrawdownStatus/Code/PART (Partial) or FULL (Full) is present, then RingFencedDrawdownAssets must be present.

C40 SECUGuideline

The code SECU (Asset) is used when a financial instrument such as units of a fund are to be transferred.

The code SECU is also used when assets such as equities or bonds are to be transferred. The code SECU is also used for assets such as property, moveable property and other similar types of other asset.

C41 SettlementChainGuideline

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

C42 SocialSecurityNumberGuideline

The UK Insurance Number must be indicated without spaces.

C43 SpecificPreviousYearsGuideline

SpecificPreviousYears must not contain the current year.

C44 TaxFreeCashAmountRule

If TaxFreeCashProtection is "false" or "0" (No), then TaxFreeCashAmount is not allowed.

If TaxFreeCashProtection is "true" or "1" (Yes), then TaxFreeCashAmount may be present.

C45 TaxFreeCashAndValueRule

If TaxFreeCashProtection is "true" or "1" (Yes), then TaxFreeCashAmount and ValueOfPensionPolicyOrPlanOrScheme must be present.

If TaxFreeCashProtection is "false" or "0" (Yes), then TaxFreeCashAmount and ValueOfPensionPolicyOrPlanOrScheme may be present.

C46 TotalAmountYearToDateRule

TotalAmountYearToDate must be equal to the sum of EquityComponent and CashComponent if present.

C47 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C48 TransferScopeTrancheIdentification1Rule

If TransferScope/Code/SDDT (DrawdownTranche) is not present, then DrawdownTrancheIdentification is not allowed.

If TransferScope/Code/SDDT (DrawdownTranche) is present, then DrawdownTrancheIdentification may be present.

37.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

37.4.1 MessageReference <MsgRef>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageReference <MsgRef> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

37.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference11](#)" on page 1494 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1494
	ReferenceIssuer <RefIssr>	[0..1]	±		1494
	MessageName <MsgNm>	[0..1]	Text		1495

37.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

37.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

37.4.5 PrimaryIndividualInvestor <PmryIndvInvstr>

Presence: [0..1]

Definition: Information identifying the primary individual investor, for example, name, address, social security number and date of birth.

Impacted by: C42 "SocialSecurityNumberGuideline"

PrimaryIndividualInvestor <PmryIndvInvstr> contains the following elements (see "IndividualPerson8" on page 2193 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2193
	GivenName <GvnNm>	[1..1]	Text		2194
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2194
	NameSuffix <NmSfx>	[0..1]	Text		2194
	Gender <Gndr>	[0..1]	CodeSet		2194
	BirthDate <BirthDt>	[0..1]	Date		2194
	SocialSecurityNumber <Sc/SctyNb>	[0..1]	Text		2194
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2195

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

37.4.6 RegisteredHolder <RegdHldr>

Presence: [0..1]

Definition: Official registered holder information.

Impacted by: C42 "SocialSecurityNumberGuideline"

RegisteredHolder <RegdHldr> contains the following elements (see "IndividualPerson8" on page 2193 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2193
	GivenName <GvnNm>	[1..1]	Text		2194
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2194
	NameSuffix <NmSfx>	[0..1]	Text		2194
	Gender <Gndr>	[0..1]	CodeSet		2194
	BirthDate <BirthDt>	[0..1]	Date		2194
	SocialSecurityNumber <ScISctyNb>	[0..1]	Text		2194
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2195

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

37.4.7 SecondaryIndividualInvestor <ScndryIndvInvstr>

Presence: [0..1]

Definition: Information identifying the secondary individual investor, for example, name, address, social security number and date of birth.

Impacted by: C42 "SocialSecurityNumberGuideline"

SecondaryIndividualInvestor <ScndryIndvInvstr> contains the following elements (see "IndividualPerson8" on page 2193 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2193
	GivenName <GvnNm>	[1..1]	Text		2194
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2194
	NameSuffix <NmSfx>	[0..1]	Text		2194
	Gender <Gndr>	[0..1]	CodeSet		2194
	BirthDate <BirthDt>	[0..1]	Date		2194
	SocialSecurityNumber <ScIScTyNb>	[0..1]	Text		2194
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2195

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

37.4.8 OtherIndividualInvestor <OthrIndvInvstr>

Presence: [0..*]

Definition: Information identifying the other individual investors, for example, name, address, social security number and date of birth.

Impacted by: C42 "SocialSecurityNumberGuideline"

OtherIndividualInvestor <OthrIndvInvstr> contains the following elements (see "IndividualPerson8" on page 2193 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2193
	GivenName <GvnNm>	[1..1]	Text		2194
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2194
	NameSuffix <NmSfx>	[0..1]	Text		2194
	Gender <Gndr>	[0..1]	CodeSet		2194
	BirthDate <BirthDt>	[0..1]	Date		2194
	SocialSecurityNumber <ScIScTyNb>	[0..1]	Text		2194
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2195

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

37.4.9 PrimaryCorporateInvestor <PmryCorplnvstr>

Presence: [0..1]

Definition: Information identifying the primary corporate investor, for example, name and address.

PrimaryCorporateInvestor <PmryCorplnvstr> contains the following elements (see "[Organisation36](#)" on page 1893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1894
	Identification <Id>	[0..1]	±		1894
	Purpose <Purp>	[0..1]	Text		1894
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	1894
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	1895
	RegistrationDate <RegnDt>	[0..1]	Date		1895
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		1895
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		1895
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		1895

37.4.10 SecondaryCorporateInvestor <ScndryCorplnvstr>

Presence: [0..1]

Definition: Information identifying the secondary corporate investor, for example, name and address.

SecondaryCorporateInvestor <ScndryCorplnvstr> contains the following elements (see "Organisation36" on page 1893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1894
	Identification <Id>	[0..1]	±		1894
	Purpose <Purp>	[0..1]	Text		1894
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	1894
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	1895
	RegistrationDate <RegnDt>	[0..1]	Date		1895
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		1895
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		1895
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		1895

37.4.11 OtherCorporateInvestor <OthrCorplnvstr>

Presence: [0..*]

Definition: Information identifying the other corporate investors, for example, name and address.

OtherCorporateInvestor <OthrCorplnvstr> contains the following elements (see "Organisation36" on page 1893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1894
	Identification <Id>	[0..1]	±		1894
	Purpose <Purp>	[0..1]	Text		1894
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	1894
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	1895
	RegistrationDate <RegnDt>	[0..1]	Date		1895
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		1895
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		1895
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		1895

37.4.12 TransferorAccount <TrfrAcct>

Presence: [1..1]

Definition: Identification of the account owned by the investor at the old plan manager (account servicer).

TransferorAccount <TrfrAcct> contains the following elements (see "[InvestmentAccount69](#)" on page 1218 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1218
	Designation <Dsgnt>	[0..1]	Text		1218
	AccountName <AcctNm>	[0..1]	Text		1218
	Servicer <Svcr>	[0..1]	±		1219

37.4.13 NomineeAccount <NmneeAcct>

Presence: [0..1]

Definition: Account held in the name of a party that is not the name of the beneficial owner of the shares.

NomineeAccount <NmneeAcct> contains the following elements (see "[InvestmentAccount69](#)" on page 1218 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1218
	Designation <Dsgnt>	[0..1]	Text		1218
	AccountName <AcctNm>	[0..1]	Text		1218
	Servicer <Svcr>	[0..1]	±		1219

37.4.14 Transferee <Trfee>

Presence: [1..1]

Definition: Identification of the institution to which the financial instrument is to be transferred. This may also be known as the new plan manager.

Transferee <Trfee> contains the following elements (see "[PartyIdentification132](#)" on page 2004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2004
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2004
	NameAndAddress <NmAndAdr>	[0..1]	±		2005
	ProprietaryIdentification <PrtryId>	[0..1]	±		2006
	LEI <LEI>	[0..1]	IdentifierSet		2006

37.4.15 ProductTransfer <PdctTrf>

Presence: [1..*]

Definition: Information about the portfolio and assets.

ProductTransfer <PdctTrf> contains the following **PortfolioTransfer10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		586
	TransferInstructionReference <TrfInstrRef>	[1..1]	Text		586
	TransferConfirmationIdentification <TrfConflId>	[1..1]	Text		586
	ActualTransferDate <ActlTrfDt>	[0..1]	Date		587
	Portfolio <Prtl>	[0..1]			587
{Or	TaxEfficientProduct <TaxEffcntPdct>	[1..1]			591
	TaxEfficientProductType <TaxEffcntPdctTp>	[1..1]	±		593
	CurrentYear <CurYr>	[0..1]	Indicator		593
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		594
	PreviousYears <PrvsYrs>	[0..1]		C43	594
	PreviousYears <PrvsYrs>	[1..1]			594
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		594
Or}	SpecificPreviousYears <SpcfcPrvsYrs>	[1..*]	Year		595
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		595
	PreviousYearSubscriptionAmount <PrvsYrSbcptAmt>	[0..1]	Amount	C1	595
	PreviousYearsSubscriptionAmount <PrvsYrsSbcptAmt>	[0..1]	Amount	C1	595
	DateOfFirstSubscription <DtOfFrstSbcpt>	[0..1]	Date		595
	CurrentYearSubscriptionDetails <CurYrSbcptDtls>	[0..1]		C46	596
	DateOfFirstSubscription <DtOfFrstSbcpt>	[1..1]	Date		596
	EquityComponent <EqtyCmpnt>	[0..1]	Amount	C1	596
	CashComponent <CshCmpnt>	[0..1]	Amount	C1	596
	TotalAmountYearToDate <TtlAmtYrToDt>	[1..1]	Amount	C1	597
	BonusOrWithdrawal <BnsOrWdrwl>	[0..*]			597
	TypeOfAmount <TpOfAmt>	[1..1]			598
{Or	Code <Cd>	[1..1]	CodeSet		598
Or}	Proprietary <Prtry>	[1..1]	±		598
	Amount <Amt>	[0..1]	Amount	C2	598
	Reason <Rsn>	[0..1]			599
{Or	Code <Cd>	[1..1]	CodeSet		599
Or}	Proprietary <Prtry>	[1..1]	±		599

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnclaimedAmount <UclmdAmt>	[0..1]	Amount	C2	599
	Outstanding <Outsdng>	[0..1]	Indicator		600
	Reference <Ref>	[0..1]	Text		600
	AdditionalInformation <AddtlInf>	[0..*]	±		600
	WithdrawalForResidentialPurchaseProgress <WdrwlForResdtlPurchsPrgrs>	[0..1]	Indicator		600
	TransferorAlternateIdentification <TrfrAltrnId>	[0..1]	Text		600
	TotalSubscriptionAmount <TtlSbcptAmt>	[0..1]	Amount	C1, C18	601
	OtherAmount <OthrAmt>	[0..*]			601
	Type <Tp>	[1..1]	±		601
	Amount <Amt>	[1..1]	Amount	C1, C18	601
	DateFirstQualifyingAddition <DtFrstQlfygAddtn>	[0..1]	Date		602
	InvestorTaxReference <InvstrTaxRef>	[0..1]			602
	Type <Tp>	[0..1]			602
{Or	Code <Cd>	[1..1]	CodeSet		602
Or}	Proprietary <Prtry>	[1..1]	±		603
	Reference <Ref>	[1..1]	Text		603
	InvestmentsToFollowValue <InvstmtsToFlwVal>	[0..*]	±		603
	InnovativeFinance <Innvtfnc>	[0..*]			603
	Type <Tp>	[1..1]	±		604
	Information <Inf>	[1..1]	Text		604
	LowestInvestedAmountCurrentYear <LwstInvstdAmtCurYr>	[0..1]	Amount	C1	604
	TaxCalculationBase <TaxClctnBase>	[0..1]	Amount	C1	604
	UnusedTaxDeduction <UusdTaxDdctn>	[0..1]	Amount	C1	605
	CurrentInvestmentAmount <CurInvstmtAmt>	[0..1]	Amount	C1	605
	EstimatedValue <EstmtdVal>	[0..1]	±		605
	AdditionalInformation <AddtlInf>	[0..*]	±		606
Or	GeneralInvestment <GnlInvstmt>	[1..1]	±		606
Or}	Pension <Pnsn>	[1..1]		C10, C11, C36, C37, C38, C39, C44,	606

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
				C45, C48	
	Identification <Id>	[0..1]			609
	Identifier <Idr>	[1..1]	Text		610
	SubIdentifier <SubIdr>	[0..1]	Text		610
	AdditionalInformation <AddtlInf>	[0..1]	±		610
	Type <Tp>	[1..1]			610
{Or	Code <Cd>	[1..1]	CodeSet		610
Or}	Proprietary <Prtry>	[1..1]	±		611
	TransferScope <TrfScp>	[0..1]	±		612
	TaxReference <TaxRef>	[0..*]	±		612
	DrawdownTrancheIdentification <DrwdwnTrchId>	[0..1]	Text		612
	DrawdownStatus <DrwdwnSts>	[0..1]	±		612
	DrawdownType <DrwdwnTp>	[0..1]	±		613
	NumberOfDrawdownTranches <NbOfDrwdwnTrnchs>	[0..1]	Quantity		613
	EstimatedValue <EstmtdVal>	[0..1]	±		613
	BlockTransfer <BlckTrf>	[0..1]	Indicator		613
	BlockTransferReference <BlckTrfRef>	[0..1]	Text		614
	TaxFreeCashProtection <TaxFreeCshPrctn>	[0..1]	Indicator		614
	TaxFreeCashAmount <TaxFreeCshAmt>	[0..1]	±		614
	ValueOfPensionPolicyOrPlanOrScheme <ValOfPnsnPlcyOrPlanOrSchme>	[0..1]	±		614
	RetirementAgeProtection <RtrmntAgePrctn>	[0..1]	Indicator		614
	RetirementAge <RtrmntAge>	[0..1]	Quantity		615
	Sharing <Shrg>	[0..1]	Indicator		615
	LumpSumType <LumpSumTp>	[0..*]	±		615
	PensionOrder <PnsnOrdr>	[0..*]	±		615
	RingFencedDrawdownAssets <RingFncdDrwdwnAssts>	[0..1]	Indicator		615
	MoneyPurchaseAnnualAllowance <MnyPurchsAnlAllwnc>	[0..1]	±		616
	SafeguardBenefit <SfgrdBnft>	[0..1]	Indicator		616
	NonSafeguardedGuaranteedBenefits <NonSfgrddGrntedBnfts>	[0..1]	Indicator		616

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LifetimeAllowanceProtection <LftmAllwncPrtc>	[0..1]	Indicator		616
	ClientLifetimeAllowanceProtection <ClntLftmAllwncPrtc>	[0..1]	Indicator		616
	BenefitCrystallisationEventOccurred <BnftCrstllstnEvtOcrd>	[0..1]	Indicator		617
	NonWrapperTransfer <NonWrpprTrf>	[0..1]	Indicator		617
	AdditionalInformation <AddtlInf>	[0..*]	±		617
	AllOtherCash <AllothrCsh>	[0..*]	±		617
	CashAll <CshAll>	[0..*]	±		618
	ResidualCash <RsdICsh>	[0..*]	±		618
	TaxDate <TaxDt>	[0..1]	Date		618
	PaymentDetails <PmtDtls>	[0..1]	±		618
	FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>	[0..*]		C7, C8, C13, C14, C22	620
	LineIdentification <LineId>	[0..1]	Text		622
	Instrument <Instrm>	[1..1]			622
{Or	Security <Scty>	[1..1]	±	C26	623
Or	CashAsset <CshAsst>	[1..1]	±	C24	623
Or}	OtherAsset <OthrAsst>	[1..1]	±		624
	Quantity <Qty>	[0..1]	±		624
	PartialInstructedQuantity <PrtlInstQty>	[0..1]	Indicator		624
	OriginalPercentageInstructed <OrgnlPctgInst>	[0..1]	Rate		625
	TransferType <TrfTp>	[1..1]	±	C40	625
	AdditionalAsset <AddtlAsst>	[0..1]	Indicator		625
	NotAvailable <NotAvl>	[0..1]	Indicator		625
	Conversion <Convs>	[0..1]	±		626
	UnitsDetails <UnitsDtls>	[0..*]	±		626
	ClientReference <ClntRef>	[0..1]	±		627
	CounterpartyReference <CtrPtyRef>	[0..1]	±		628
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		628
	AverageAcquisitionPrice <AvgAcqstnPric>	[0..1]	Amount	C1, C18	628
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C2	629

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalBookValue <TtlBookVal>	[0..1]	±		629
	OriginalCost <OrgnlCost>	[0..1]	Amount	C1	629
	LatestValuation <LatstValtn>	[0..1]	±		629
	TransfereeAccount <TrfeeAcct>	[0..1]	±		630
	Transferor <Trfr>	[0..1]	±		630
	IntermediaryInformation <IntrmyInf>	[0..*]	±		631
	RequestedTradeDate <ReqdTradDt>	[0..1]	Date		631
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		631
	EffectiveTransferDate <FctvTrfDt>	[0..1]	±		631
	EffectiveSettlementDate <FctvSttlmDt>	[0..1]	±		631
	PaymentDetails <PmtDtls>	[0..1]	±		632
	CrystallisationDetails <CrstllstnDtls>	[0..*]	±		634
	TaxValuationPoint <TaxValtnPt>	[0..1]	±		635
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		635
	AssetsHeldInOwnName <AsstsHeldInOwnNm>	[0..1]	Indicator		636
	TransferResultsInChangeOfBeneficialOwner <TrfRsltInChngOfBnfclOwnr>	[0..1]	Indicator		636
	AdditionalInformation <AddtlInf>	[0..*]	±		636
	AdditionalInformation <AddtlInf>	[0..*]	±		637

37.4.15.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2683

37.4.15.2 TransferInstructionReference <TrfInstrRef>

Presence: [1..1]

Definition: Identification assigned to the transfer of assets.

Datatype: "Max35Text" on page 2683

37.4.15.3 TransferConfirmationIdentification <TrfConflId>

Presence: [1..1]

Definition: Identification of the confirmation assigned by the transferor to the transfer.

Datatype: "Max35Text" on page 2683

37.4.15.4 ActualTransferDate <ActlTrfDt>

Presence: [0..1]

Definition: Date the portfolio transfer instruction was executed.

Datatype: "ISODate" on page 2668

37.4.15.5 Portfolio <Prftl>

Presence: [0..1]

Definition: Choice of tax efficient product, general investment or pension.

Portfolio <Prtl> contains one of the following **FundPortfolio7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TaxEfficientProduct <TaxEffcntPdct>	[1..1]			591
	TaxEfficientProductType <TaxEffcntPdctTp>	[1..1]	±		593
	CurrentYear <CurYr>	[0..1]	Indicator		593
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		594
	PreviousYears <PrvsYrs>	[0..1]		C43	594
	PreviousYears <PrvsYrs>	[1..1]			594
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		594
Or}	SpecificPreviousYears <SpfcPrvsYrs>	[1..*]	Year		595
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		595
	PreviousYearSubscriptionAmount <PrvsYrSbcptAmt>	[0..1]	Amount	C1	595
	PreviousYearsSubscriptionAmount <PrvsYrsSbcptAmt>	[0..1]	Amount	C1	595
	DateOfFirstSubscription <DtOfFrstSbcpt>	[0..1]	Date		595
	CurrentYearSubscriptionDetails <CurYrSbcptDtls>	[0..1]		C46	596
	DateOfFirstSubscription <DtOfFrstSbcpt>	[1..1]	Date		596
	EquityComponent <EqtyCmpnt>	[0..1]	Amount	C1	596
	CashComponent <CshCmpnt>	[0..1]	Amount	C1	596
	TotalAmountYearToDate <TtlAmtYrToDt>	[1..1]	Amount	C1	597
	BonusOrWithdrawal <BnsOrWdrwl>	[0..*]			597
	TypeOfAmount <TpOfAmt>	[1..1]			598
{Or	Code <Cd>	[1..1]	CodeSet		598
Or}	Proprietary <Prtry>	[1..1]	±		598
	Amount <Amt>	[0..1]	Amount	C2	598
	Reason <Rsn>	[0..1]			599
{Or	Code <Cd>	[1..1]	CodeSet		599
Or}	Proprietary <Prtry>	[1..1]	±		599
	UnclaimedAmount <UclmdAmt>	[0..1]	Amount	C2	599
	Outstanding <Outsdng>	[0..1]	Indicator		600
	Reference <Ref>	[0..1]	Text		600
	AdditionalInformation <AddtlInf>	[0..*]	±		600

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	WithdrawalForResidentialPurchaseProgress <WdrwlForResdtlPurchsPrgrs>	[0..1]	Indicator		600
	TransferorAlternateIdentification <TrfrAltrnld>	[0..1]	Text		600
	TotalSubscriptionAmount <TtlSbcptAmt>	[0..1]	Amount	C1, C18	601
	OtherAmount <OthrAmt>	[0..*]			601
	Type <Tp>	[1..1]	±		601
	Amount <Amt>	[1..1]	Amount	C1, C18	601
	DateFirstQualifyingAddition <DtFrstQlfygAddtn>	[0..1]	Date		602
	InvestorTaxReference <InvstrTaxRef>	[0..1]			602
	Type <Tp>	[0..1]			602
{Or	Code <Cd>	[1..1]	CodeSet		602
Or}	Proprietary <Prtry>	[1..1]	±		603
	Reference <Ref>	[1..1]	Text		603
	InvestmentsToFollowValue <InvstmtsToFlwVal>	[0..*]	±		603
	InnovativeFinance <InnvstvFinc>	[0..*]			603
	Type <Tp>	[1..1]	±		604
	Information <Inf>	[1..1]	Text		604
	LowestInvestedAmountCurrentYear <LwstInvstdAmtCurYr>	[0..1]	Amount	C1	604
	TaxCalculationBase <TaxClctnBase>	[0..1]	Amount	C1	604
	UnusedTaxDeduction <UusdTaxDdctn>	[0..1]	Amount	C1	605
	CurrentInvestmentAmount <CurInvstmtAmt>	[0..1]	Amount	C1	605
	EstimatedValue <EstmtdVal>	[0..1]	±		605
	AdditionalInformation <AddtlInf>	[0..*]	±		606
Or	GeneralInvestment <GnlInvstmt>	[1..1]	±		606
Or}	Pension <Pnsn>	[1..1]		C10, C11, C36, C37, C38, C39, C44, C45, C48	606
	Identification <Id>	[0..1]			609
	Identifier <Id>	[1..1]	Text		610
	SubIdentifier <Subldr>	[0..1]	Text		610

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[0..1]	±		610
	Type <Tp>	[1..1]			610
{Or	Code <Cd>	[1..1]	CodeSet		610
Or}	Proprietary <Prtry>	[1..1]	±		611
	TransferScope <TrfScp>	[0..1]	±		612
	TaxReference <TaxRef>	[0..*]	±		612
	DrawdownTrancheIdentification <DrwdwnTrchld>	[0..1]	Text		612
	DrawdownStatus <DrwdwnSts>	[0..1]	±		612
	DrawdownType <DrwdwnTp>	[0..1]	±		613
	NumberOfDrawdownTranches <NbOfDrwdwnTrnchs>	[0..1]	Quantity		613
	EstimatedValue <EstmtdVal>	[0..1]	±		613
	BlockTransfer <BlckTrf>	[0..1]	Indicator		613
	BlockTransferReference <BlckTrfRef>	[0..1]	Text		614
	TaxFreeCashProtection <TaxFreeCshPrctn>	[0..1]	Indicator		614
	TaxFreeCashAmount <TaxFreeCshAmt>	[0..1]	±		614
	ValueOfPensionPolicyOrPlanOrScheme <ValOfPnsnPlcyOrPlanOrSchme>	[0..1]	±		614
	RetirementAgeProtection <RtrmntAgePrctn>	[0..1]	Indicator		614
	RetirementAge <RtrmntAge>	[0..1]	Quantity		615
	Sharing <Shrg>	[0..1]	Indicator		615
	LumpSumType <LumpSumTp>	[0..*]	±		615
	PensionOrder <PnsnOrdr>	[0..*]	±		615
	RingFencedDrawdownAssets <RingFncdDrwdwnAssts>	[0..1]	Indicator		615
	MoneyPurchaseAnnualAllowance <MnyPurchsAnlAllwnc>	[0..1]	±		616
	SafeguardBenefit <SfgrdBnft>	[0..1]	Indicator		616
	NonSafeguardedGuaranteedBenefits <NonSfgrddGrntedBnfts>	[0..1]	Indicator		616
	LifetimeAllowanceProtection <LftmAllwncPrctn>	[0..1]	Indicator		616
	ClientLifetimeAllowanceProtection <ClntLftmAllwncPrctn>	[0..1]	Indicator		616
	BenefitCrystallisationEventOccurred <BnftCrstllstnEvtOcrd>	[0..1]	Indicator		617
	NonWrapperTransfer <NonWrpprTrf>	[0..1]	Indicator		617

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[0..*]	±		617

37.4.15.5.1 TaxEfficientProduct <TaxEffcntPdct>

Presence: [1..1]

Definition: Portfolio is a government scheme to encourage investment in securities based unit and investment trusts, offering certain tax benefits. These are not investments in their own right but are tax exempt 'wrappers' in which equities, bonds and funds can be held to shelter them from various types of tax.

In the UK, a typical tax wrapper product is known as an 'ISA' (Individual Savings Account).

TaxEfficientProduct <TaxEffcntPdct> contains the following **TaxEfficientProduct7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxEfficientProductType <TaxEffcntPdctTp>	[1..1]	±		593
	CurrentYear <CurYr>	[0..1]	Indicator		593
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		594
	PreviousYears <PrvsYrs>	[0..1]		C43	594
	PreviousYears <PrvsYrs>	[1..1]			594
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		594
Or}	SpecificPreviousYears <SpfcPrvsYrs>	[1..*]	Year		595
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		595
	PreviousYearSubscriptionAmount <PrvsYrSbcptAmt>	[0..1]	Amount	C1	595
	PreviousYearsSubscriptionAmount <PrvsYrsSbcptAmt>	[0..1]	Amount	C1	595
	DateOfFirstSubscription <DtOfFrstSbcpt>	[0..1]	Date		595
	CurrentYearSubscriptionDetails <CurYrSbcptDtls>	[0..1]		C46	596
	DateOfFirstSubscription <DtOfFrstSbcpt>	[1..1]	Date		596
	EquityComponent <EqtyCmpnt>	[0..1]	Amount	C1	596
	CashComponent <CshCmpnt>	[0..1]	Amount	C1	596
	TotalAmountYearToDate <TtlAmtYrToDt>	[1..1]	Amount	C1	597
	BonusOrWithdrawal <BnsOrWdrwl>	[0..*]			597
	TypeOfAmount <TpOfAmt>	[1..1]			598
{Or	Code <Cd>	[1..1]	CodeSet		598
Or}	Proprietary <Prtry>	[1..1]	±		598
	Amount <Amt>	[0..1]	Amount	C2	598
	Reason <Rsn>	[0..1]			599
{Or	Code <Cd>	[1..1]	CodeSet		599
Or}	Proprietary <Prtry>	[1..1]	±		599
	UnclaimedAmount <UclmdAmt>	[0..1]	Amount	C2	599
	Outstanding <Outsdng>	[0..1]	Indicator		600
	Reference <Ref>	[0..1]	Text		600
	AdditionalInformation <AddtlInf>	[0..*]	±		600
	WithdrawalForResidentialPurchaseProgress <WdrwlForResdtlPurchsPrgrs>	[0..1]	Indicator		600
	TransferorAlternateIdentification <TrfrAltrnld>	[0..1]	Text		600

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalSubscriptionAmount <TtSbcptAmt>	[0..1]	Amount	C1, C18	601
	OtherAmount <OthrAmt>	[0..*]			601
	Type <Tp>	[1..1]	±		601
	Amount <Amt>	[1..1]	Amount	C1, C18	601
	DateFirstQualifyingAddition <DtFrstQlfygAddtn>	[0..1]	Date		602
	InvestorTaxReference <InvstrTaxRef>	[0..1]			602
	Type <Tp>	[0..1]			602
{Or	Code <Cd>	[1..1]	CodeSet		602
Or}	Proprietary <Prtry>	[1..1]	±		603
	Reference <Ref>	[1..1]	Text		603
	InvestmentsToFollowValue <InvstmtsToFlwVal>	[0..*]	±		603
	InnovativeFinance <Innvtfnc>	[0..*]			603
	Type <Tp>	[1..1]	±		604
	Information <Inf>	[1..1]	Text		604
	LowestInvestedAmountCurrentYear <LwstInvstdAmtCurYr>	[0..1]	Amount	C1	604
	TaxCalculationBase <TaxClctnBase>	[0..1]	Amount	C1	604
	UnusedTaxDeduction <UusdTaxDdctn>	[0..1]	Amount	C1	605
	CurrentInvestmentAmount <CurInvstmtAmt>	[0..1]	Amount	C1	605
	EstimatedValue <EstmtdVal>	[0..1]	±		605
	AdditionalInformation <AddtlInf>	[0..*]	±		606

37.4.15.5.1.1 TaxEfficientProductType <TaxEffcntPdctTp>

Presence: [1..1]

Definition: Type of tax efficient product, for example, an individual savings account (ISA) in the UK.

TaxEfficientProductType <TaxEffcntPdctTp> contains one of the following elements (see "TaxEfficientProductType2Choice" on page 1418 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1418
Or}	Proprietary <Prtry>	[1..1]	±		1419

37.4.15.5.1.2 CurrentYear <CurYr>

Presence: [0..1]

Definition: Indicates that all the current fiscal year's products are included.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

37.4.15.5.1.3 CashComponentIndicator <CshCmpntInd>

Presence: [0..1]

Definition: Indicates whether, for the current year, the product contains a cash asset for transfer.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

37.4.15.5.1.4 PreviousYears <PrvsYrs>

Presence: [0..1]

Definition: Investment plans issued during previous years.

Impacted by: [C43 "SpecificPreviousYearsGuideline"](#)

PreviousYears <PrvsYrs> contains the following **PreviousYear4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PreviousYears <PrvsYrs>	[1..1]			594
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		594
Or}	SpecificPreviousYears <SpfcPrvsYrs>	[1..*]	Year		595
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		595

Constraints

- **SpecificPreviousYearsGuideline**
SpecificPreviousYears must not contain the current year.

37.4.15.5.1.4.1 PreviousYears <PrvsYrs>

Presence: [1..1]

Definition: Investment plans issued during previous years.

PreviousYears <PrvsYrs> contains one of the following **PreviousYear1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		594
Or}	SpecificPreviousYears <SpfcPrvsYrs>	[1..*]	Year		595

37.4.15.5.1.4.1.1 AllPreviousYears <AllPrvsYrs>

Presence: [1..1]

Definition: Selection of all investment plans.

Datatype: "PreviousAll" on page 2685

37.4.15.5.1.4.1.2 SpecificPreviousYears <SpcfcPrvsYrs>

Presence: [1..*]

Definition: Selection of investment plans issued during previous years.

Datatype: "ISOYear" on page 2685

37.4.15.5.1.4.2 CashComponentIndicator <CshCmpntInd>

Presence: [0..1]

Definition: Indicates whether the product contains a cash asset for transfer from previous years.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

37.4.15.5.1.5 PreviousYearSubscriptionAmount <PrvsYrSbcptAmt>

Presence: [0..1]

Definition: Subscriptions from the previous subscription year.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

37.4.15.5.1.6 PreviousYearsSubscriptionAmount <PrvsYrsSbcptAmt>

Presence: [0..1]

Definition: Amount subscribed in all previous years.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

37.4.15.5.1.7 DateOfFirstSubscription <DtOfFrstSbcpt>

Presence: [0..1]

Definition: Date the investment plan started.

Datatype: "ISODate" on page 2668

37.4.15.5.1.8 CurrentYearSubscriptionDetails <CurYrSbcptDtls>

Presence: [0..1]

Definition: Amounts already subscribed for the current year.

Impacted by: C46 "TotalAmountYearToDateRule"

CurrentYearSubscriptionDetails <CurYrSbcptDtls> contains the following **SubscriptionInformation2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateOfFirstSubscription <DtOfFrstSbcpt>	[1..1]	Date		596
	EquityComponent <EqtyCmpnt>	[0..1]	Amount	C1	596
	CashComponent <CshCmpnt>	[0..1]	Amount	C1	596
	TotalAmountYearToDate <TtlAmtYrToDt>	[1..1]	Amount	C1	597

Constraints

- **TotalAmountYearToDateRule**

TotalAmountYearToDate must be equal to the sum of EquityComponent and CashComponent if present.

37.4.15.5.1.8.1 DateOfFirstSubscription <DtOfFrstSbcpt>

Presence: [1..1]

Definition: Date of the first subscription in the current year.

Datatype: "ISODate" on page 2668

37.4.15.5.1.8.2 EquityComponent <EqtyCmpnt>

Presence: [0..1]

Definition: Amount subscribed in the current tax year into equities (not including dividends).

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

37.4.15.5.1.8.3 CashComponent <CshCmpnt>

Presence: [0..1]

Definition: Amount subscribed in the current tax year into cash.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

37.4.15.5.1.8.4 TotalAmountYearToDate <TtlAmtYrToDt>

Presence: [1..1]

Definition: Total amount subscribed in the current tax year.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

37.4.15.5.1.9 BonusOrWithdrawal <BnsOrWdrwl>

Presence: [0..*]

Definition: Bonus paid out or withdrawn.

BonusOrWithdrawal <BnsOrWdrwl> contains the following **BonusWithdrawal2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TypeOfAmount <TpOfAmt>	[1..1]			598
{Or	Code <Cd>	[1..1]	CodeSet		598
Or}	Proprietary <Prtry>	[1..1]	±		598
	Amount <Amt>	[0..1]	Amount	C2	598
	Reason <Rsn>	[0..1]			599
{Or	Code <Cd>	[1..1]	CodeSet		599
Or}	Proprietary <Prtry>	[1..1]	±		599
	UnclaimedAmount <UclmdAmt>	[0..1]	Amount	C2	599
	Outstanding <Outsdng>	[0..1]	Indicator		600
	Reference <Ref>	[0..1]	Text		600
	AdditionalInformation <AddtlInf>	[0..*]	±		600

37.4.15.5.1.9.1 TypeOfAmount <TpOfAmt>*Presence:* [1..1]*Definition:* Specifies whether the amount is the result of a bonus paid, a withdrawal or other kind of amount.**TypeOfAmount <TpOfAmt>** contains one of the following **TypeOfAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		598
Or}	Proprietary <Prtry>	[1..1]	±		598

37.4.15.5.1.9.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of amount expressed as a code.*Datatype:* "TaxWrapperAmountType1Code" on page 2656

CodeName	Name	Definition
BONU	Bonus	Type of amount is a bonus, for example, a government bonus.
WTHD	Withdrawal	Type of amount is a withdrawal, for example, a withdrawal for a first time residential purchase.

37.4.15.5.1.9.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of amount expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

37.4.15.5.1.9.2 Amount <Amt>*Presence:* [0..1]*Definition:* Amount of the bonus paid or the claimed amount. For example, a claimed amount for a lifetime ISA (LISA) or a government bonus paid out.*Impacted by:* C2 "ActiveOrHistoricCurrency"*Datatype:* "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

37.4.15.5.1.9.3 Reason <Rsn>

Presence: [0..1]

Definition: Reason for the bonus amount paid to or an amount withdrawn from the investment product.

Reason <Rsn> contains one of the following **WithdrawalReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		599
Or}	Proprietary <Prtry>	[1..1]	±		599

37.4.15.5.1.9.3.1 Code <Cd>

Presence: [1..1]

Definition: Withdrawal reason expressed as a code.

Datatype: "PortfolioWithdrawalReason1Code" on page 2620

CodeName	Name	Definition
FTRS	FirstTimeResidentialProperty	Withdrawal is for a first time residential property.

37.4.15.5.1.9.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Withdrawal reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

37.4.15.5.1.9.4 UnclaimedAmount <UclmdAmt>

Presence: [0..1]

Definition: Amount of an unclaimed bonus or an unclaimed withdrawal.

Impacted by: C2 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

37.4.15.5.1.9.5 Outstanding <Outsdng>

Presence: [0..1]

Definition: Indicates whether there is an outstanding bonus or withdrawal amount.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

37.4.15.5.1.9.6 Reference <Ref>

Presence: [0..1]

Definition: Identification of the withdrawal or bonus.

Datatype: ["Max35Text"](#) on page 2683

37.4.15.5.1.9.7 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the monies paid out or withdrawn.

AdditionalInformation <AddtlInf> contains the following elements (see ["AdditionalInformation15"](#) on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

37.4.15.5.1.10 WithdrawalForResidentialPurchaseProgress <WdrwlForResdntlPurchsPrgrs>

Presence: [0..1]

Definition: Indicates if there is a related property purchase in progress at the point of transfer. May be applicable to products such as Lifetime Individual Savings Account (LISA) products.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

37.4.15.5.1.11 TransferorAlternateIdentification <TrfrAltrnId>

Presence: [0..1]

Definition: Alternative identification for the transferor (ceding party), for example, the 'ISA manager Z reference' in the UK.

Datatype: ["Max35Text"](#) on page 2683

37.4.15.5.1.12 TotalSubscriptionAmount <TtlSbcptAmt>*Presence:* [0..1]*Definition:* Total amount subscribed over the lifetime of the product.*Impacted by:* C1 "ActiveCurrency", C18 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

37.4.15.5.1.13 OtherAmount <OthrAmt>*Presence:* [0..*]

Definition: Another amount such as the total qualifying additions in the year of transfer, interest capitalised in the current year, total outstanding dividend and so on.

OtherAmount <OthrAmt> contains the following **OtherAmount3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		601
	Amount <Amt>	[1..1]	Amount	C1, C18	601

37.4.15.5.1.13.1 Type <Tp>*Presence:* [1..1]*Definition:* Type of amount.

Type <Tp> contains the following elements (see "[GenericIdentification1](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

37.4.15.5.1.13.2 Amount <Amt>*Presence:* [1..1]*Definition:* Currency and amount.*Impacted by:* C1 "ActiveCurrency", C18 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

37.4.15.5.1.14 DateFirstQualifyingAddition <DtFrstQlfygAddtn>

Presence: [0..1]

Definition: Date the first qualifying additional amount was made to the product. Qualifying additional amounts may be made to a Lifetime Individual Savings Account (LISA) product.

Datatype: "ISODate" on page 2668

37.4.15.5.1.15 InvestorTaxReference <InvstrTaxRef>

Presence: [0..1]

Definition: Identification of the investor as assigned by a tax authority.

InvestorTaxReference <InvstrTaxRef> contains the following **TaxReference2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			602
{Or	Code <Cd>	[1..1]	CodeSet		602
Or}	Proprietary <Prtry>	[1..1]	±		603
	Reference <Ref>	[1..1]	Text		603

37.4.15.5.1.15.1 Type <Tp>

Presence: [0..1]

Definition: Type of tax reference.

Type <Tp> contains one of the following **TaxReferenceType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		602
Or}	Proprietary <Prtry>	[1..1]	±		603

37.4.15.5.1.15.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of tax reference expressed as a code.

Datatype: "PersonIdentificationType7Code" on page 2618

CodeName	Name	Definition
ATIN	AdoptionTaxpayerIdentificationNumber	Temporary tax processing number (used in the domestic adoption of a child).
GTIN	GenericTaxIdentificationNumber	Generic tax identification number.
ITIN	IndividualTaxpayerIdentificationNumber	Tax processing number.

37.4.15.5.1.15.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of tax reference expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

37.4.15.5.1.15.2 Reference <Ref>

Presence: [1..1]

Definition: Pension scheme tax reference issued to the pension plan by a central organisation.

Datatype: "Max35Text" on page 2683

37.4.15.5.1.16 InvestmentsToFollowValue <InvstmntsToFlwVal>

Presence: [0..*]

Definition: Value of the investments to follow.

InvestmentsToFollowValue <InvstmntsToFlwVal> contains the following elements (see "[DateAndAmount2](#)" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C3, C12	1232

37.4.15.5.1.17 InnovativeFinance <Innvtfnc>

Presence: [0..*]

Definition: Information about an innovative finance product.

InnovativeFinance <Innvtfnc> contains the following **InnovativeFinance1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		604
	Information <Inf>	[1..1]	Text		604

37.4.15.5.1.17.1 Type <Tp>

Presence: [1..1]

Definition: Type of innovative finance product.

Type <Tp> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

37.4.15.5.1.17.2 Information <Inf>

Presence: [1..1]

Definition: Details of an innovative finance product.

Datatype: "[Max350Text](#)" on page 2683

37.4.15.5.1.18 LowestInvestedAmountCurrentYear <LwstInvstdAmtCurYr>

Presence: [0..1]

Definition: Lowest investment amount in the current year, used to calculate a tax deduction amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

37.4.15.5.1.19 TaxCalculationBase <TaxClctnBase>

Presence: [0..1]

Definition: Amount of money from which the tax deduction is calculated.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

37.4.15.5.1.20 UnusedTaxDeduction <UusdTaxDdctn>

Presence: [0..1]

Definition: Unused tax deduction amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

37.4.15.5.1.21 CurrentInvestmentAmount <CurInvstmtAmt>

Presence: [0..1]

Definition: Amount of money invested.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

37.4.15.5.1.22 EstimatedValue <EstmtdVal>

Presence: [0..1]

Definition: Estimated value of the assets of the tax efficient product to be transferred.

EstimatedValue <EstmtdVal> contains the following elements (see "DateAndAmount2" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C3, C12	1232

37.4.15.5.1.23 AdditionalInformation <AddtlInf>*Presence:* [0..*]*Definition:* Additional information about the tax efficient product.**AdditionalInformation <AddtlInf>** contains the following elements (see ["AdditionalInformation15"](#) on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

37.4.15.5.2 GeneralInvestment <GnlInvstmt>*Presence:* [1..1]*Definition:* Portfolio is a general investment.**GeneralInvestment <GnlInvstmt>** contains the following elements (see ["GeneralInvestment2"](#) on page 1411 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]	±		1411
	OwnershipType <OwnrshTp>	[0..1]	CodeSet		1411
	CurrentInvestmentAmount <CurInvstmtAmt>	[0..1]	Amount	C2	1413
	EstimatedValue <EstmtdVal>	[0..1]	±		1413
	AdditionalInformation <AddtlInf>	[0..*]	±		1413

37.4.15.5.3 Pension <Pnsn>*Presence:* [1..1]*Definition:* Portfolio is a pension policy, plan or scheme.

Impacted by: [C10 "BlockTransferRetirementAgeProtectionRule"](#), [C11 "BlockTransferTaxFreeCashProtectionRule"](#), [C36 "PensionOrderTypeGuideline"](#), [C37 "RetirementAgeRule"](#), [C38 "RingFencedDrawdownAssets1Rule"](#), [C39 "RingFencedDrawdownAssets2Rule"](#), [C44 "TaxFreeCashAmountRule"](#), [C45 "TaxFreeCashAndValueRule"](#), [C48 "TransferScopeTrancheldentification1Rule"](#)

Pension <Pnsn> contains the following **Pension5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]			609
	Identifier <Idr>	[1..1]	Text		610
	SubIdentifier <SubIdr>	[0..1]	Text		610
	AdditionalInformation <AddtlInf>	[0..1]	±		610
	Type <Tp>	[1..1]			610
{Or	Code <Cd>	[1..1]	CodeSet		610
Or}	Proprietary <Prtry>	[1..1]	±		611
	TransferScope <TrfScp>	[0..1]	±		612
	TaxReference <TaxRef>	[0..*]	±		612
	DrawdownTrancheIdentification <DrwdwnTrchId>	[0..1]	Text		612
	DrawdownStatus <DrwdwnSts>	[0..1]	±		612
	DrawdownType <DrwdwnTp>	[0..1]	±		613
	NumberOfDrawdownTranches <NbOfDrwdwnTrnchs>	[0..1]	Quantity		613
	EstimatedValue <EstmtdVal>	[0..1]	±		613
	BlockTransfer <BlckTrf>	[0..1]	Indicator		613
	BlockTransferReference <BlckTrfRef>	[0..1]	Text		614
	TaxFreeCashProtection <TaxFreeCshPrtcn>	[0..1]	Indicator		614
	TaxFreeCashAmount <TaxFreeCshAmt>	[0..1]	±		614
	ValueOfPensionPolicyOrPlanOrScheme <ValOfPnsnPlcyOrPlanOrSchme>	[0..1]	±		614
	RetirementAgeProtection <RtrmntAgePrtcn>	[0..1]	Indicator		614
	RetirementAge <RtrmntAge>	[0..1]	Quantity		615
	Sharing <Shrg>	[0..1]	Indicator		615
	LumpSumType <LumpSumTp>	[0..*]	±		615
	PensionOrder <PnsnOrdr>	[0..*]	±		615
	RingFencedDrawdownAssets <RingFncdDrwdwnAssts>	[0..1]	Indicator		615
	MoneyPurchaseAnnualAllowance <MnyPurchsAnlAllwnc>	[0..1]	±		616
	SafeguardBenefit <SfgrdBnft>	[0..1]	Indicator		616
	NonSafeguardedGuaranteedBenefits <NonSfgrddGrntdBnfts>	[0..1]	Indicator		616
	LifetimeAllowanceProtection <LftmAllwncPrtcn>	[0..1]	Indicator		616

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClientLifetimeAllowanceProtection <ClnLftmAllwncPrctn>	[0..1]	Indicator		616
	BenefitCrystallisationEventOccurred <BnftCrstllstnEvtOcrd>	[0..1]	Indicator		617
	NonWrapperTransfer <NonWrpprTrf>	[0..1]	Indicator		617
	AdditionalInformation <AddtlInf>	[0..*]	±		617

Constraints

- **BlockTransferRetirementAgeProtectionRule**

If BlockTransfer is "false" or "0" (No), then RetirementAgeProtection is not allowed.

If BlockTransfer is "true" or "1" (Yes), then RetirementAgeProtection may be present.

On Condition

/BlockTransfer is equal to value 'false'

Or /BlockTransfer is equal to value '0'

Following Must be True

/RetirementAgeProtection Must be absent

- **BlockTransferTaxFreeCashProtectionRule**

If BlockTransfer is "false" or "0" (No), then TaxFreeCashProtection is not allowed.

If BlockTransfer is "true" or "1" (Yes), then TaxFreeCashProtection may be present.

On Condition

/BlockTransfer is equal to value 'false'

Or /BlockTransfer is equal to value '0'

Following Must be True

/TaxFreeCashProtection Must be absent

- **PensionOrderTypeGuideline**

A pension policy, plan or scheme cannot have both an earmark and a sharing order.

If PensionOrder/Type/Code/SHAR is present, then PensionOrder/Type/Code/EARM is not allowed.

If PensionOrder/Type/Code/EARM is present, then PensionOrder/Type/Code/SHAR is not allowed.

- **RetirementAgeRule**

If RetirementAgeProtection is "false" or "0" (No), then RetirementAge is not allowed.

If RetirementAgeProtection is "true" or "1" (Yes), then RetirementAge may be present.

On Condition

/RetirementAgeProtection is equal to value 'false'

Or /RetirementAgeProtection is equal to value '0'

Following Must be True

/RetirementAge Must be absent

- **RingFencedDrawdownAssets1Rule**

If DrawdownStatus/Code/NONE (None) is present, then RingFencedDrawdownAssets is not allowed.

On Condition

/DrawdownStatus/Code is equal to value 'None'

Following Must be True
 /RingFencedDrawdownAssets Must be absent

- **RingFencedDrawdownAssets2Rule**

If DrawdownStatus/Code/PART (Partial) or FULL (Full) is present, then RingFencedDrawdownAssets must be present.

On Condition
 /DrawdownStatus/Code is equal to value 'Partial'
 Or /DrawdownStatus/Code is equal to value 'Full'
 Following Must be True
 /RingFencedDrawdownAssets Must be present

- **TaxFreeCashAmountRule**

If TaxFreeCashProtection is "false" or "0" (No), then TaxFreeCashAmount is not allowed.

If TaxFreeCashProtection is "true" or "1" (Yes), then TaxFreeCashAmount may be present.

On Condition
 /TaxFreeCashProtection is equal to value 'false'
 Or /TaxFreeCashProtection is equal to value '0'
 Following Must be True
 /TaxFreeCashAmount Must be absent

- **TaxFreeCashAndValueRule**

If TaxFreeCashProtection is "true" or "1" (Yes), then TaxFreeCashAmount and ValueOfPensionPolicyOrPlanOrScheme must be present.

If TaxFreeCashProtection is "false" or "0" (Yes), then TaxFreeCashAmount and ValueOfPensionPolicyOrPlanOrScheme may be present.

On Condition
 /TaxFreeCashProtection is equal to value 'true'
 Or /TaxFreeCashProtection is equal to value '1'
 Following Must be True
 /TaxFreeCashAmount Must be present
 And /ValueOfPensionPolicyOrPlanOrScheme Must be present

- **TransferScopeTrancheIdentification1Rule**

If TransferScope/Code/SDDT (DrawdownTranche) is not present, then DrawdownTrancheIdentification is not allowed.

If TransferScope/Code/SDDT (DrawdownTranche) is present, then DrawdownTrancheIdentification may be present.

On Condition
 /TransferScope/Code is different from value 'DrawdownTranche'
 Following Must be True
 /DrawdownTrancheIdentification Must be absent

37.4.15.5.3.1 Identification <Id>

Presence: [0..1]

Definition: Identification of the pension policy, plan or scheme.

Identification <Id> contains the following **PensionPolicy1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identifier <Idr>	[1..1]	Text		610
	SubIdentifier <SubIdr>	[0..1]	Text		610
	AdditionalInformation <AddtlInf>	[0..1]	±		610

37.4.15.5.3.1.1 Identifier <Idr>

Presence: [1..1]

Definition: Reference number of the pension policy, plan or scheme.

Datatype: "Max35Text" on page 2683

37.4.15.5.3.1.2 SubIdentifier <SubIdr>

Presence: [0..1]

Definition: Sub-identification of the pension policy, plan or scheme, such as a member reference.

Datatype: "Max35Text" on page 2683

37.4.15.5.3.1.3 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the identification of the pension policy, plan or scheme.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

37.4.15.5.3.2 Type <Tp>

Presence: [1..1]

Definition: Type of pension policy, plan or scheme.

Type <Tp> contains one of the following **PensionSchemeType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		610
Or}	Proprietary <Prtry>	[1..1]	±		611

37.4.15.5.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of pension policy, plan or scheme expressed as a code.

Datatype: "PensionSchemeType3Code" on page 2617

CodeName	Name	Definition
AAVC	AdditionalVoluntaryContribution	Pension plan is an additional voluntary contribution plan.
DBEN	DefinedBenefit	Pension plan is a defined benefit plan.
EXPP	ExecutivePensionPlan	Pension plan is an executive pension plan.
FAVC	FreeStandingAdditionalVoluntaryContribution	Pension plan is a free standing additional voluntary contribution plan.
GPPS	GroupPersonalPension	Pension is a group personal pension.
SIPG	GroupSelfInvestedPension	Pension plan is a group self-invested pension plan.
STKG	GroupStakeholder	Pension plan is a group stakeholder pension plan.
IPST	IndividualPensionWithTaxBenefit	Pension is an individual pension savings plan with a tax benefit. This is known as an Individual Pension Schema (IPS) in some markets.
STKI	IndividualStakeholder	Pension plan is an individual stakeholder pension.
OTPM	MandatoryOccupationalPension	Pension is a mandatory occupational pension. This is known as an Obligatory Occupation Pension (OTP) in some markets.
OCDC	OccupationalDefinedContribution	Pension plan is an occupational defined contribution plan.
PPNS	PersonalPension	Pension is a personal pension plan.
EPKA	PersonalPensionAccount	Pension is a personal pension account. This is known as Employee Pension Kapital (EPK) in some markets.
ITPO	PrivateOccupationalPension	Pension is a private occupational pension. This is known as an industrial and trade supplementary pension (ITP) in some markets.
REAN	RetirementAnnuity	Pension plan is a retirement annuity.
SC32	Section32	Pension type covered by section 32.
S32A	Section32A	Pension type covered by section 32A.
SIPP	SelfInvestedPersonalPension	Pension is a self-invested personal pension plan.
SSAS	SmallSelfAdministeredScheme	Pension is a small self-administered pension scheme.
NWRP	NonWrapperPension	Indicates that the party does not operate the pension wrapper.

37.4.15.5.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of pension policy, plan or scheme expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

37.4.15.5.3.3 TransferScope <TrfScp>

Presence: [0..1]

Definition: Scope of the pension policy, plan or scheme transfer.

TransferScope <TrfScp> contains one of the following elements (see "[PensionTransferScope1Choice](#)" on page 1697 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697

37.4.15.5.3.4 TaxReference <TaxRef>

Presence: [0..*]

Definition: Tax reference issued to the pension policy, plan or scheme by a central organisation.

TaxReference <TaxRef> contains the following elements (see "[TaxReference1](#)" on page 2413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxType <TaxTp>	[0..1]			2413
{Or	Code <Cd>	[1..1]	CodeSet		2414
Or}	Proprietary <Prtry>	[1..1]	±		2414
	Reference <Ref>	[1..1]	Text		2414
	HolderType <HldrTp>	[0..1]			2414
{Or	Code <Cd>	[1..1]	CodeSet		2414
Or}	Proprietary <Prtry>	[1..1]	±		2415

37.4.15.5.3.5 DrawdownTrancheIdentification <DrwdwnTrchId>

Presence: [0..1]

Definition: Reference of the drawdown.

Datatype: "[Max35Text](#)" on page 2683

37.4.15.5.3.6 DrawdownStatus <DrwdwnSts>

Presence: [0..1]

Definition: Drawdown status of the pension.

DrawdownStatus <DrwdwnSts> contains one of the following elements (see "[DrawdownStatus1Choice](#)" on page 2327 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2327
Or}	Proprietary <Prtry>	[1..1]	±		2327

37.4.15.5.3.7 DrawdownType <DrwdwnTp>

Presence: [0..1]

Definition: Type of drawdown tranche.

DrawdownType <DrwdwnTp> contains one of the following elements (see "[DrawdownType2Choice](#)" on page 1700 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1700

37.4.15.5.3.8 NumberOfDrawdownTranches <NbOfDrwdwnTrnchs>

Presence: [0..1]

Definition: Number of drawdown tranches.

Datatype: "[Number](#)" on page 2680

37.4.15.5.3.9 EstimatedValue <EstmtdVal>

Presence: [0..1]

Definition: Estimated value of the pension policy, plan or scheme.

EstimatedValue <EstmtdVal> contains the following elements (see "[DateAndAmount2](#)" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C3, C12	1232

37.4.15.5.3.10 BlockTransfer <BlckTrf>

Presence: [0..1]

Definition: Indicates whether the transfer forms part of a block transfer.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

37.4.15.5.3.11 BlockTransferReference <BlckTrfRef>*Presence:* [0..1]*Definition:* When the transfer is part of a block transfer, reference to link several items.*Datatype:* "Max35Text" on page 2683**37.4.15.5.3.12 TaxFreeCashProtection <TaxFreeCshPrctn>***Presence:* [0..1]*Definition:* Indicates whether the tax-free cash is protected when the pension policy, plan or scheme is transferred.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

37.4.15.5.3.13 TaxFreeCashAmount <TaxFreeCshAmt>*Presence:* [0..1]*Definition:* Amount of cash that is tax free.**TaxFreeCashAmount <TaxFreeCshAmt>** contains the following elements (see "DateAndAmount2" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C3, C12	1232

37.4.15.5.3.14 ValueOfPensionPolicyOrPlanOrScheme <ValOfPnsnPlcyOrPlanOrSchme>*Presence:* [0..1]*Definition:* Value of the pension policy, plan or scheme.**ValueOfPensionPolicyOrPlanOrScheme <ValOfPnsnPlcyOrPlanOrSchme>** contains the following elements (see "DateAndAmount2" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C3, C12	1232

37.4.15.5.3.15 RetirementAgeProtection <RtrmntAgePrctn>*Presence:* [0..1]*Definition:* Indicates whether the pension policy, plan or scheme has retirement age protection.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

37.4.15.5.3.16 RetirementAge <RtrmntAge>*Presence:* [0..1]*Definition:* Age at which the pension policy, plan or scheme holder retires.*Datatype:* "DecimalNumber" on page 2680**37.4.15.5.3.17 Sharing <Shrg>***Presence:* [0..1]*Definition:* Indicates whether the pension benefits are shared.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

37.4.15.5.3.18 LumpSumType <LumpSumTp>*Presence:* [0..*]*Definition:* Type of lump sum paid to a member of the pension policy, plan or scheme.**LumpSumType <LumpSumTp>** contains one of the following elements (see "LumpSumType1Choice" on page 1699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1699
Or}	Proprietary <Prtry>	[1..1]	±		1700

37.4.15.5.3.19 PensionOrder <PnsnOrdr>*Presence:* [0..*]*Definition:* Order attached to the pension policy, plan or scheme.**PensionOrder <PnsnOrdr>** contains the following elements (see "PensionOrder1" on page 1698 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1698
{Or	Code <Cd>	[1..1]	CodeSet		1698
Or}	Proprietary <Prtry>	[1..1]	±		1699
	AdditionalInformation <AddtlInf>	[0..*]	±		1699

37.4.15.5.3.20 RingFencedDrawdownAssets <RingFncdDrwdwnAssts>*Presence:* [0..1]*Definition:* Indicates whether assets held within the pension are ring-fenced into specific tranches.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

37.4.15.5.3.21 MoneyPurchaseAnnualAllowance <MnyPurchsAnlAllwnc>

Presence: [0..1]

Definition: Money Purchase Annual Allowance (MPAA) details.

MoneyPurchaseAnnualAllowance <MnyPurchsAnlAllwnc> contains the following elements (see "[MoneyPurchaseAnnualAllowance1](#)" on page 1697 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Triggered <Trggrd>	[1..1]	Indicator		1698
	TriggeredDate <TrggrdDt>	[0..1]	Date		1698

37.4.15.5.3.22 SafeguardBenefit <SfgrdBnft>

Presence: [0..1]

Definition: Indicates whether the pension policy, plan or scheme is covered by a safeguard benefit.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

37.4.15.5.3.23 NonSafeguardedGuaranteedBenefits <NonSfgrddGrntedBnfts>

Presence: [0..1]

Definition: Indicates whether the pension policy, plan or scheme has non-safeguarded guaranteed benefits.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

37.4.15.5.3.24 LifetimeAllowanceProtection <LftmAllwncPrtn>

Presence: [0..1]

Definition: Indicates whether the pension policy, plan or scheme has lifetime allowance protection.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

37.4.15.5.3.25 ClientLifetimeAllowanceProtection <ClntLftmAllwncPrtn>

Presence: [0..1]

Definition: Indicates whether the client has any lifetime allowance protection.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

37.4.15.5.3.26 BenefitCrystallisationEventOccurred <BnftCrstllstnEvtOcrd>

Presence: [0..1]

Definition: Indicates whether a benefit crystallisation event (BCE) has occurred. This includes 'age 75 checks'.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

37.4.15.5.3.27 NonWrapperTransfer <NonWrpprTrf>

Presence: [0..1]

Definition: Indicates that the transfer is only in relation to underlying assets, and that the wrapper transfer will occur separately.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

37.4.15.5.3.28 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the pension policy, plan or scheme.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

37.4.15.6 AllOtherCash <AllOthrCsh>

Presence: [0..*]

Definition: Specifies whether all remaining assets in the portfolio not listed for transfer should be liquidated and transferred as cash.

AllOtherCash <AllOthrCsh> contains the following elements (see "[AllOtherCash1](#)" on page 1802 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Indicator <Ind>	[1..1]	Indicator		1803
	Currency <Ccy>	[0..1]	CodeSet	C2	1803

37.4.15.7 CashAll <CshAll>*Presence:* [0..*]*Definition:* Specifies whether all assets in the portfolio should be liquidated and transferred as cash.**CashAll <CshAll>** contains the following elements (see "[CashAll1](#)" on page 1802 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Indicator <Ind>	[1..1]	Indicator		1802
	Currency <Ccy>	[0..1]	CodeSet	C2	1802

37.4.15.8 ResidualCash <RsdICsh>*Presence:* [0..*]*Definition:* Specifies how the cash in the account that is awaiting investment is to be dealt with**ResidualCash <RsdICsh>** contains the following elements (see "[ResidualCash2](#)" on page 1800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Indicator <Ind>	[1..1]	Indicator		1801
	Currency <Ccy>	[0..1]	CodeSet	C2	1801

37.4.15.9 TaxDate <TaxDt>*Presence:* [0..1]*Definition:* Tax date applicable to all the assets.*Datatype:* "[ISODate](#)" on page 2668**37.4.15.10 PaymentDetails <PmtDtls>***Presence:* [0..1]*Definition:* Payment process for the transfer of cash from the debtor to the creditor.

PaymentDetails <PmtDtls> contains the following elements (see "PaymentInstrument14" on page 2092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	Text		2094
	CreditTransferDetails <CdtTrfDtls>	[0..1]			2094
	Debtor <Dbtr>	[0..1]	±		2097
	DebtorAccount <DbtrAcct>	[0..1]			2098
	Name <Nm>	[0..1]	Text		2098
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2098
	Other <Othr>	[0..1]	±		2098
	DebtorAgent <DbtrAgt>	[0..1]			2099
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2099
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2099
	NameAndAddress <NmAndAdr>	[0..1]	±		2100
	LEI <LEI>	[0..1]	IdentifierSet		2100
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2101
	BranchIdentification <BrnchId>	[0..1]	±		2101
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]			2101
	Name <Nm>	[0..1]	Text		2101
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2101
	Other <Othr>	[0..1]	±		2102
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]			2102
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2102
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2103
	NameAndAddress <NmAndAdr>	[0..1]	±		2104
	LEI <LEI>	[0..1]	IdentifierSet		2104
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2104
	BranchIdentification <BrnchId>	[0..1]	±		2104
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]			2104
	Name <Nm>	[0..1]	Text		2105
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2105
	Other <Othr>	[0..1]	±		2105
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]			2106

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2106
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2106
	NameAndAddress <NmAndAdr>	[0..1]	±		2107
	LEI <LEI>	[0..1]	IdentifierSet		2107
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2108
	BranchIdentification <BrnchId>	[0..1]	±		2108
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]			2108
	Name <Nm>	[0..1]	Text		2108
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2108
	Other <Othr>	[0..1]	±		2109
	CreditorAgent <CdtrAgt>	[1..1]			2109
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2109
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2110
	NameAndAddress <NmAndAdr>	[0..1]	±		2110
	LEI <LEI>	[0..1]	IdentifierSet		2111
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2111
	BranchIdentification <BrnchId>	[0..1]	±		2111
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]			2111
	Name <Nm>	[0..1]	Text		2112
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2112
	Other <Othr>	[0..1]	±		2112
	Creditor <Cdtr>	[0..1]	±		2112
	CreditorAccount <CdtrAcct>	[1..1]			2113
	Name <Nm>	[0..1]	Text		2113
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2113
	Other <Othr>	[0..1]	±		2113

37.4.15.11 FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>

Presence: [0..*]

Definition: Asset to be transferred.

Impacted by: C7 "AssetQuantity1Guideline", C8 "AssetQuantity2Guideline", C13 "Conversion1Rule", C14 "Conversion2Rule", C22 "EffectiveDatesGuideline"

FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf> contains the following **FinancialInstrument100** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LineIdentification <LineId>	[0..1]	Text		622
	Instrument <Instrm>	[1..1]			622
{Or	Security <Scty>	[1..1]	±	C26	623
Or	CashAsset <CshAsst>	[1..1]	±	C24	623
Or}	OtherAsset <OthrAsst>	[1..1]	±		624
	Quantity <Qty>	[0..1]	±		624
	PartialInstructedQuantity <PrtlInstQty>	[0..1]	Indicator		624
	OriginalPercentageInstructed <OrgnlPctgInstd>	[0..1]	Rate		625
	TransferType <TrfTp>	[1..1]	±	C40	625
	AdditionalAsset <AddtlAsst>	[0..1]	Indicator		625
	NotAvailable <NotAvlbl>	[0..1]	Indicator		625
	Conversion <Convs>	[0..1]	±		626
	UnitsDetails <UnitsDtls>	[0..*]	±		626
	ClientReference <ClntRef>	[0..1]	±		627
	CounterpartyReference <CtrPtyRef>	[0..1]	±		628
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		628
	AverageAcquisitionPrice <AvrgAcqstnPric>	[0..1]	Amount	C1, C18	628
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C2	629
	TotalBookValue <TtlBookVal>	[0..1]	±		629
	OriginalCost <OrgnlCost>	[0..1]	Amount	C1	629
	LatestValuation <LatstValtn>	[0..1]	±		629
	TransfereeAccount <TrfeeAcct>	[0..1]	±		630
	Transferor <Trfr>	[0..1]	±		630
	IntermediaryInformation <IntrmyInf>	[0..*]	±		631
	RequestedTradeDate <ReqdTradDt>	[0..1]	Date		631
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		631
	EffectiveTransferDate <FctvTrfDt>	[0..1]	±		631
	EffectiveSettlementDate <FctvSttlmDt>	[0..1]	±		631
	PaymentDetails <PmtDtls>	[0..1]	±		632
	CrystallisationDetails <CrstllstnDtls>	[0..*]	±		634

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxValuationPoint <TaxValtnPt>	[0..1]	±		635
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		635
	AssetsHeldInOwnName <AsstsHeldInOwnNm>	[0..1]	Indicator		636
	TransferResultsInChangeOfBeneficialOwner <TrfRsltsInChngOfBnfcIOwnr>	[0..1]	Indicator		636
	AdditionalInformation <AddtlInf>	[0..*]	±		636

Constraints

- **AssetQuantity1Guideline**

If Instrument/Security is present, then Quantity/Unit or Quantity/FaceAmount or Quantity/AmortisedValue must be present.

- **AssetQuantity2Guideline**

If Instrument/CashAsset is present, then Quantity/CashAmount must be present.

- **Conversion1Rule**

If TransferType/Code/CONV (Conversion) is present, then Conversion must be present.

On Condition

/TransferType/Code is equal to value 'Conversion'

Following Must be True

/Conversion Must be present

- **Conversion2Rule**

If TransferType/Code/CONV (Conversion) is not present, then Conversion is not allowed.

On Condition

/TransferType/Code is different from value 'Conversion'

Following Must be True

/Conversion Must be absent

- **EffectiveDatesGuideline**

When the portfolio transfer confirmation is used to confirm the intent to transfer, effective transfer date and effective settlement date are not applicable.

37.4.15.11.1 LineIdentification <Lineld>

Presence: [0..1]

Definition: Identification of the line of holding in the portfolio.

Datatype: "Max35Text" on page 2683

37.4.15.11.2 Instrument <Instrm>

Presence: [1..1]

Definition: Identification of the asset.

Instrument <Instrm> contains one of the following **FinancialInstrument63Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Security <Scty>	[1..1]	±	C26	623
Or	CashAsset <CshAsst>	[1..1]	±	C24	623
Or}	OtherAsset <OthrAsst>	[1..1]	±		624

37.4.15.11.2.1 Security <Scty>

Presence: [1..1]

Definition: Identification of the security.

Impacted by: [C26 "IdentificationGuideline"](#)

Security <Scty> contains the following elements (see ["FinancialInstrumentIdentification2"](#) on page 1462 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1462
	Name <Nm>	[0..1]	Text		1463
	ShortName <ShrtNm>	[0..1]	Text		1463
	ClassificationType <ClssfctnTp>	[0..1]	±		1463

Constraints

- **IdentificationGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

37.4.15.11.2.2 CashAsset <CshAsst>

Presence: [1..1]

Definition: Identification of the cash asset.

Impacted by: [C24 "HoldingTransferCurrencyGuideline"](#)

CashAsset <CshAsst> contains the following elements (see ["CashAsset3"](#) on page 1460 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAssetType <CshAsstTp>	[1..1]			1460
{Or	Code <Cd>	[1..1]	CodeSet		1461
Or}	Proprietary <Prtry>	[1..1]	±		1461
	HoldingCurrency <HldgCcy>	[1..1]	CodeSet	C2	1461
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C2	1461
	AdditionalInformation <AddtlInf>	[0..1]	±		1462

Constraints

- **HoldingTransferCurrencyGuideline**

When cash is transferred in a currency other than that of the cash, the currency should be specified in TransferCurrency.

37.4.15.11.2.3 OtherAsset <OthrAsst>

Presence: [1..1]

Definition: Identification of another kind of asset in the holding that is not a security or cash.

OtherAsset <OthrAsst> contains the following elements (see "OtherAsset2" on page 1458 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherAssetType <OthrAsstTp>	[1..1]			1458
{Or	Code <Cd>	[1..1]	CodeSet		1459
Or}	Proprietary <Prtry>	[1..1]	±		1459
	Identification <Id>	[1..1]	Text		1459
	Name <Nm>	[0..1]	Text		1459
	Description <Desc>	[0..1]	Text		1460
	OtherIdentification <OthrlId>	[0..5]	Text		1460
	AdditionalInformation <AddtlInf>	[0..*]	±		1460

37.4.15.11.3 Quantity <Qty>

Presence: [0..1]

Definition: Quantity of asset transferred or to be transferred.

Quantity <Qty> contains the following elements (see "Quantity47" on page 2262 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[0..1]	Quantity		2262
	PercentageRate <PctgRate>	[0..1]	Rate		2263
	FaceAmount <FaceAmt>	[0..1]	Amount		2263
	AmortisedValue <AmtsdVal>	[0..1]	Amount		2263
	CashAmount <CshAmt>	[0..1]	Amount	C2	2263
	OtherAsset <OthrAsst>	[0..1]	Text		2263

37.4.15.11.4 PartialInstructedQuantity <PrtlInstQty>

Presence: [0..1]

Definition: Indicates that the quantity of asset to be transferred is a partially instructed quantity.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

37.4.15.11.5 OriginalPercentageInstructed <OrgnlPctglnstd>

Presence: [0..1]

Definition: Percentage rate of assets to be transferred or transferred, as originally instructed.

Datatype: "PercentageRate" on page 2681

37.4.15.11.6 TransferType <TrfTp>

Presence: [1..1]

Definition: Specifies how the financial instrument is transferred.

Impacted by: C40 "SECUGuideline"

TransferType <TrfTp> contains one of the following elements (see "TransferType2Choice" on page 2490 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2491
Or}	Proprietary <Prtry>	[1..1]	±		2491

Constraints

- **SECUGuideline**

The code SECU (Asset) is used when a financial instrument such as units of a fund are to be transferred.

The code SECU is also used when assets such as equities or bonds are to be transferred. The code SECU is also used for assets such as property, moveable property and other similar types of other asset.

37.4.15.11.7 AdditionalAsset <AddtlAsst>

Presence: [0..1]

Definition: Indicates the asset is a new asset, not previously identified by the transferor party (ceding party) in the account holding discovery process.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

37.4.15.11.8 NotAvailable <NotAvlbl>

Presence: [0..1]

Definition: Indicates the asset is no longer available at the transferor party (ceding party).

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

37.4.15.11.9 Conversion <Convs>

Presence: [0..1]

Definition: Security is to be converted into another security before transfer.

Conversion <Convs> contains the following elements (see "Conversion1" on page 1695 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TargetSecurity <TrgtScty>	[1..1]		C16	1695
	Identification <Id>	[1..1]	±		1695
	Name <Nm>	[0..1]	Text		1696
	ShortName <ShrtNm>	[0..1]	Text		1696
	AdditionalInformation <AddtlInf>	[0..*]	±		1696

37.4.15.11.10 UnitsDetails <UnitsDtls>

Presence: [0..*]

Definition: Breakdown of units.

UnitsDetails <UnitsDtls> contains the following elements (see "Unit11" on page 1471 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1472
	OrderDate <OrdDt>	[0..1]	Date		1472
	AcquisitionDate <AcqstnDt>	[0..1]	Date		1472
	CertificateNumber <CertNb>	[0..*]	Text		1473
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1473
	Reference <Ref>	[0..1]	Text		1473
	PriceDetails <PricDtls>	[0..1]	±		1473
	TransactionOverhead <TxOvrhd>	[0..1]		C17, C21	1474
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1474
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1474
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1475
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1475
	IndividualFee <IndvFee>	[0..*]	±	C6	1475
	IndividualTax <IndvTax>	[0..*]	±		1476
	OtherAmount <OthrAmt>	[0..*]			1477
	Type <Tp>	[1..1]			1477
{Or	Code <Cd>	[1..1]	CodeSet		1478
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1478
	Amount <Amt>	[1..1]	Amount	C2, C10	1478

37.4.15.11.11 ClientReference <CIntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <CIntRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

37.4.15.11.12 CounterpartyReference <CtrPtyRef>*Presence:* [0..1]*Definition:* Unambiguous identification of the transfer allocated by the counterparty.**CounterpartyReference <CtrPtyRef>** contains the following elements (see "[AdditionalReference10](#)" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

37.4.15.11.13 BusinessFlowType <BizFlowTp>*Presence:* [0..1]*Definition:* Business process in which the actors are involved. This will facilitate the right business process to be triggered, according to the market business model, which may require matching instructions (double leg process) or not (single leg process).*Datatype:* "BusinessFlowType1Code" on page 2556

CodeName	Name	Definition
SLDP	SingleLegDeliveringParty	Single leg process initiated by the delivering party.
SLRP	SingleLegReceivingParty	Single leg process initiated by the receiving party.
DLPR	DoubleLegProcess	Double leg process.

37.4.15.11.14 AverageAcquisitionPrice <AvrgAcqstnPric>*Presence:* [0..1]*Definition:* Average cost per share of the security, including all fees.*Impacted by:* C1 "ActiveCurrency", C18 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

37.4.15.11.15 TransferCurrency <TrfCcy>

Presence: [0..1]

Definition: Currency to be used to transfer the holdings. Some transfer agents register holdings grouped by currency in addition to using the ISIN for multi-currency fund shares.

Impacted by: C2 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

37.4.15.11.16 TotalBookValue <TtlBookVal>

Presence: [0..1]

Definition: Net asset on the balance sheet, the total portfolio value minus or plus the unrealised gain or loss.

TotalBookValue <TtlBookVal> contains the following elements (see "DateAndAmount2" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C3, C12	1232

37.4.15.11.17 OriginalCost <OrgnlCost>

Presence: [0..1]

Definition: Original amount paid for the asset at the point of purchase. May also be known as the book cost.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

37.4.15.11.18 LatestValuation <LatstValtn>

Presence: [0..1]

Definition: Date and market value of the asset.

LatestValuation <LatstValtn> contains the following elements (see ["DateAndAmount2"](#) on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C3, C12	1232

37.4.15.11.19 TransfereeAccount <TrfeeAcct>

Presence: [0..1]

Definition: Account held in the name of the party that is not the name of the beneficial owner of the shares.

(Party and account into which the transferee receives the financial instrument from the transferor.)

TransfereeAccount <TrfeeAcct> contains the following elements (see ["Account28"](#) on page 1552 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[0..1]	±		1552
	AccountIdentification <Acctld>	[0..1]	Text		1553
	Designation <Dsgnt>	[0..1]	Text		1553
	AccountName <AcctNm>	[0..1]	Text		1553
	Servicer <Svcr>	[0..1]	±		1553
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1554

37.4.15.11.20 Transferor <Trfr>

Presence: [0..1]

Definition: Party and account from which the transferor delivers the financial instrument to the transferee.

Transferor <Trfr> contains the following elements (see ["Account28"](#) on page 1552 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[0..1]	±		1552
	AccountIdentification <Acctld>	[0..1]	Text		1553
	Designation <Dsgnt>	[0..1]	Text		1553
	AccountName <AcctNm>	[0..1]	Text		1553
	Servicer <Svcr>	[0..1]	±		1553
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1554

37.4.15.11.21 IntermediaryInformation <IntrmyInf>*Presence:* [0..*]*Definition:* Identification of a related party or intermediary.**IntermediaryInformation <IntrmyInf>** contains the following elements (see "Intermediary43" on page 2012 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2012
	Account <Acct>	[0..1]			2013
	Identification <Id>	[0..1]	Text		2013
	AccountServicer <AcctSvcr>	[1..1]	±		2013
	Role <Role>	[0..1]	±		2013
	ContactPerson <CtctPrsn>	[0..1]	±		2014

37.4.15.11.22 RequestedTradeDate <ReqdTradDt>*Presence:* [0..1]*Definition:* Date to be used as the trade date when securities are settled through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).*Datatype:* "ISODate" on page 2668**37.4.15.11.23 RequestedSettlementDate <ReqdSttlmDt>***Presence:* [0..1]*Definition:* Date on which the securities are to be exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).*Datatype:* "ISODate" on page 2668**37.4.15.11.24 EffectiveTransferDate <FctvTrfDt>***Presence:* [0..1]*Definition:* Date and time at which the transfer was executed.**EffectiveTransferDate <FctvTrfDt>** contains one of the following elements (see "DateAndDateTime2Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1377
Or}	DateTime <DtTm>	[1..1]	DateTime		1377

37.4.15.11.25 EffectiveSettlementDate <FctvSttlmDt>*Presence:* [0..1]*Definition:* Date and time at which the securities were exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

EffectiveSettlementDate <FctvSttlmDt> contains one of the following elements (see "DateAndDateTime2Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1377
Or}	DateTime <DtTm>	[1..1]	DateTime		1377

37.4.15.11.26 PaymentDetails <PmtDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

PaymentDetails <PmtDtls> contains the following elements (see "PaymentInstrument14" on page 2092 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	Text		2094
	CreditTransferDetails <CdtTrfDtls>	[0..1]			2094
	Debtor <Dbtr>	[0..1]	±		2097
	DebtorAccount <DbtrAcct>	[0..1]			2098
	Name <Nm>	[0..1]	Text		2098
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2098
	Other <Othr>	[0..1]	±		2098
	DebtorAgent <DbtrAgt>	[0..1]			2099
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2099
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2099
	NameAndAddress <NmAndAdr>	[0..1]	±		2100
	LEI <LEI>	[0..1]	IdentifierSet		2100
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2101
	BranchIdentification <BrnchId>	[0..1]	±		2101
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]			2101
	Name <Nm>	[0..1]	Text		2101
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2101
	Other <Othr>	[0..1]	±		2102
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]			2102
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2102
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2103
	NameAndAddress <NmAndAdr>	[0..1]	±		2104
	LEI <LEI>	[0..1]	IdentifierSet		2104
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2104
	BranchIdentification <BrnchId>	[0..1]	±		2104
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]			2104
	Name <Nm>	[0..1]	Text		2105
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2105
	Other <Othr>	[0..1]	±		2105
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]			2106

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2106
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2106
	NameAndAddress <NmAndAdr>	[0..1]	±		2107
	LEI <LEI>	[0..1]	IdentifierSet		2107
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2108
	BranchIdentification <BrnchId>	[0..1]	±		2108
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]			2108
	Name <Nm>	[0..1]	Text		2108
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2108
	Other <Othr>	[0..1]	±		2109
	CreditorAgent <CdtrAgt>	[1..1]			2109
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2109
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2110
	NameAndAddress <NmAndAdr>	[0..1]	±		2110
	LEI <LEI>	[0..1]	IdentifierSet		2111
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2111
	BranchIdentification <BrnchId>	[0..1]	±		2111
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]			2111
	Name <Nm>	[0..1]	Text		2112
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2112
	Other <Othr>	[0..1]	±		2112
	Creditor <Cdtr>	[0..1]	±		2112
	CreditorAccount <CdtrAcct>	[1..1]			2113
	Name <Nm>	[0..1]	Text		2113
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2113
	Other <Othr>	[0..1]	±		2113

37.4.15.11.27 CrystallisationDetails <CrstllstnDtls>

Presence: [0..*]

Definition: Number of units that have been received (crystallised) or not yet received (uncrystallised) from the fund. This is typically relevant to a pension fund.

CrystallisationDetails <CrstllstnDtls> contains the following elements (see "Crystallisation2" on page 1693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TrancheIdentification <TrchId>	[1..1]	Text		1694
	CrystallisedUnitsNumber <CrstllsdUnitsNb>	[0..1]	Quantity		1694
	UncrystallisedUnitsNumber <UcrstllsdUnitsNb>	[0..1]	Quantity		1694
	CrystallisedAmount <CrstllsdAmt>	[0..1]	Amount	C2	1694
	UncrystallisedAmount <UcrstllsdAmt>	[0..1]	Amount	C2	1694
	AdditionalInformation <AddtlInf>	[0..*]	±		1694

37.4.15.11.28 TaxValuationPoint <TaxValtnPt>

Presence: [0..1]

Definition: Date or tax quarter used for the calculation of tax on the asset.

TaxValuationPoint <TaxValtnPt> contains the following elements (see "Tax36" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateOrPeriod <DtOrPrd>	[1..1]			1376
{Or	Date <Dt>	[1..1]	Date		1376
Or}	Period <Prd>	[1..1]	Text		1376
	AdditionalInformation <AddtlInf>	[0..*]	±		1376

37.4.15.11.29 SettlementPartiesDetails <SttlmPtiesDtls>

Presence: [0..1]

Definition: Chain of parties involved in the settlement of a transaction.

SettlementPartiesDetails <SttlmPtiesDtls> contains the following elements (see "FundSettlementParameters17" on page 2480 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2480
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2481
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2481
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2481
	ReceivingSideDetails <RcvgSdDtls>	[0..1]	±	C7, C11, C19, C20, C21, C22, C26	2482
	DeliveringSideDetails <DlvrgSdDtls>	[0..1]	±	C7, C11, C19, C20, C21, C22, C26	2483

37.4.15.11.30 AssetsHeldInOwnName <AsstsHeldInOwnNm>

Presence: [0..1]

Definition: Indicates whether the assets are held in an individual's own name.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

37.4.15.11.31 TransferResultsInChangeOfBeneficialOwner <TrfRsItsInChngOfBnfclOwnr>

Presence: [0..1]

Definition: Indicates whether the transfer results in a change of beneficial owner.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

37.4.15.11.32 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the financial instrument.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

37.4.15.12 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the product transfer.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

37.4.16 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "MarketPracticeVersion1" on page 1862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1862
	Date <Dt>	[0..1]	YearMonth		1862
	Number <Nb>	[0..1]	Text		1862

37.4.17 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C23 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

38 sese.014.001.09 PortfolioTransferCancellationRequestV09

38.1 MessageDefinition Functionality

Scope

The PortfolioTransferCancellationRequest message is sent by, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent PortfolioTransferInstruction message.

Usage

The PortfolioTransferCancellationRequest message is used to request the cancellation of a portfolio transfer instruction.

There is no amendment, but a cancellation and re-instruct policy.

To request the cancellation of a portfolio transfer instruction, the transfer reference of the portfolio transfer, as specified in the original PortfolioTransferInstruction message, is specified in the transfer reference element.

The message identification of the original PortfolioTransferInstruction message may also be quoted in PreviousReference but this is not recommended.

The deadline and acceptance of a cancellation request is subject to a service level agreement (SLA). This cancellation message is a cancellation request. There is no automatic acceptance of the cancellation.

The rejection or acceptance of a PortfolioTransferCancellationRequest is made using an TransferCancellationStatusReport message.

Outline

The PortfolioTransferCancellationRequestV09 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. MessageReference

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. MasterReference

Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

F. TransferReferences

Reference of the portfolio transfer instruction to be cancelled.

G. MarketPracticeVersion

Identifies the market practice to which the message conforms.

38.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root <Document> <PrtlTrfCxlReq></i>	[1..1]			
	MessageReference <MsgRef>	[1..1]	±		641
	PoolReference <PoolRef>	[0..1]	±		641
	PreviousReference <PrvsRef>	[0..1]	±		641
	RelatedReference <RltdRef>	[0..1]	±		641
	MasterReference <MstrRef>	[0..1]	Text		642
	TransferReferences <TrfRefs>	[1..1]			642
	TransferReference <TrfRef>	[1..1]	Text		642
	ClientReference <ClntRef>	[0..1]	±		642
	CounterpartyReference <CtrPtyRef>	[0..1]	±		643
	TransferConfirmationReference <TrfConfRef>	[0..1]	Text		643
	CancellationReference <CxlRef>	[0..1]	Text		643
	CancellationReason <CxlRsn>	[0..1]	Text		643
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		643

38.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

38.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

38.4.1 MessageReference <MsgRef>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageReference <MsgRef> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

38.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference11](#)" on page 1494 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1494
	ReferenceIssuer <RefIssr>	[0..1]	±		1494
	MessageName <MsgNm>	[0..1]	Text		1495

38.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference10](#)" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

38.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

38.4.5 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2683

38.4.6 TransferReferences <TrfRefs>

Presence: [1..1]

Definition: Reference of the portfolio transfer instruction to be cancelled.

TransferReferences <TrfRefs> contains the following **TransferReference14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferReference <TrfRef>	[1..1]	Text		642
	ClientReference <ClntRef>	[0..1]	±		642
	CounterpartyReference <CtrPtyRef>	[0..1]	±		643
	TransferConfirmationReference <TrfConfRef>	[0..1]	Text		643
	CancellationReference <CxlRef>	[0..1]	Text		643
	CancellationReason <CxlRsn>	[0..1]	Text		643

38.4.6.1 TransferReference <TrfRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the portfolio transfer instruction, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

38.4.6.2 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the portfolio transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <ClntRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

38.4.6.3 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the portfolio transfer allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

38.4.6.4 TransferConfirmationReference <TrfConfRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the portfolio transfer execution, as assigned by the confirming party.

Datatype: "Max35Text" on page 2683

38.4.6.5 CancellationReference <CxlRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the portfolio transfer cancellation, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

38.4.6.6 CancellationReason <CxlRsn>

Presence: [0..1]

Definition: Reason for the cancellation.

Datatype: "Max35Text" on page 2683

38.4.7 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "MarketPracticeVersion1" on page 1862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1862
	Date <Dt>	[0..1]	YearMonth		1862
	Number <Nb>	[0..1]	Text		1862

39 **sese.018.001.09**

AccountHoldingInformationV09

39.1 **MessageDefinition Functionality**

Scope

The AccountHoldingInformation message is sent by an executing party, for example, a (old) plan manager (transferor), to the instructing party, for example, a (new) plan manager (transferee), to provide information about financial instruments held on behalf of a client.

Usage

The AccountHoldingInformation message is used to provide information on the individual assets held in a client's account. The assets may be part of a tax efficient product, a pension or general investment product.

Outline

The AccountHoldingInformationV09 MessageDefinition is composed of 17 MessageBuildingBlocks:

A. **MessageReference**

Reference that uniquely identifies the message from a business application standpoint.

B. **PoolReference**

Collective reference identifying a set of messages.

C. **PreviousReference**

Reference to a linked message that was previously sent.

D. **RelatedReference**

Reference to a linked message that was previously received.

E. **BusinessFlowDirectionType**

Business flow direction (assets to be delivered or received).

F. **PrimaryIndividualInvestor**

Information identifying the primary individual investor, for example, name, address, social security number and date of birth.

G. **SecondaryIndividualInvestor**

Information identifying the secondary individual investor, for example, name, address, social security number and date of birth.

H. **OtherIndividualInvestor**

Information identifying the other individual investors, for example, name, address, social security number and date of birth.

I. **PrimaryCorporateInvestor**

Information identifying the primary corporate investor, for example, name and address.

J. **SecondaryCorporateInvestor**

Information identifying the secondary corporate investor, for example, name and address.

K. OtherCorporateInvestor

Information identifying the other corporate investors, for example, name and address.

L. TransferorAccount

Identification of the account owned by the investor at the old plan manager (account servicer).

M. NomineeAccount

Account held in the name of a party that is not the name of the beneficial owner of the shares.

N. Transferee

Identification of the institution to which the financial instrument is to be transferred. This may also be known as the new plan manager.

O. ProductTransfer

Information about the portfolio and assets.

P. MarketPracticeVersion

Identifies the market practice to which the message conforms.

Q. Extension

Additional information that can not be captured in the structured fields and/or any other specific block.

39.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AcctHldgInf>	[1..1]		C12, C13, C22, C23, C24	
	MessageReference <MsgRef>	[1..1]	±		656
	PoolReference <PoolRef>	[0..1]	±		656
	PreviousReference <PrvsRef>	[0..1]	±		656
	RelatedReference <RltdRef>	[0..1]	±		657
	BusinessFlowDirectionType <BizFlowDrctnTp>	[0..1]	CodeSet		657
	PrimaryIndividualInvestor <PmryIndvInvstr>	[0..1]	±	C35	657
	SecondaryIndividualInvestor <ScndryIndvInvstr>	[0..1]	±	C35	658
	OtherIndividualInvestor <OthrIndvInvstr>	[0..*]	±	C35	659
	PrimaryCorporateInvestor <PmryCorplInvstr>	[0..1]	±		659
	SecondaryCorporateInvestor <ScndryCorplInvstr>	[0..1]	±		660
	OtherCorporateInvestor <OthrCorplInvstr>	[0..*]	±		660
	TransferorAccount <TrfrAcct>	[1..1]	±		661
	NomineeAccount <NmneeAcct>	[0..1]	±		661
	Transferee <Trfee>	[1..1]	±		662
	ProductTransfer <PdctTrf>	[1..*]			662
	MasterReference <MstrRef>	[0..1]	Text		667
	TransferIdentification <TrfId>	[1..1]	Text		667
	TransferConfirmationIdentification <TrfConfId>	[0..1]	Text		667
	Portfolio <Prtfl>	[0..1]			667
{Or	TaxEfficientProduct <TaxEffcntPdct>	[1..1]			671
	TaxEfficientProductType <TaxEffcntPdctTp>	[1..1]	±		673
	CurrentYear <CurYr>	[0..1]	Indicator		673
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		674
	PreviousYears <PrvsYrs>	[0..1]		C36	674
	PreviousYears <PrvsYrs>	[1..1]			674
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		674
Or}	SpecificPreviousYears <SpfcPrvsYrs>	[1..*]	Year		675

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		675
	PreviousYearSubscriptionAmount <PrvsYrSbcptAmt>	[0..1]	Amount	C1	675
	PreviousYearsSubscriptionAmount <PrvsYrsSbcptAmt>	[0..1]	Amount	C1	675
	DateOfFirstSubscription <DtOfFrstSbcpt>	[0..1]	Date		675
	CurrentYearSubscriptionDetails <CurYrSbcptDtls>	[0..1]		C39	676
	DateOfFirstSubscription <DtOfFrstSbcpt>	[1..1]	Date		676
	EquityComponent <EqtyCmpnt>	[0..1]	Amount	C1	676
	CashComponent <CshCmpnt>	[0..1]	Amount	C1	676
	TotalAmountYearToDate <TtlAmtYrToDt>	[1..1]	Amount	C1	677
	BonusOrWithdrawal <BnsOrWdrwl>	[0..*]			677
	TypeOfAmount <TpOfAmt>	[1..1]			678
{Or	Code <Cd>	[1..1]	CodeSet		678
Or}	Proprietary <Prtry>	[1..1]	±		678
	Amount <Amt>	[0..1]	Amount	C2	678
	Reason <Rsn>	[0..1]			679
{Or	Code <Cd>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679
	UnclaimedAmount <UclmdAmt>	[0..1]	Amount	C2	679
	Outstanding <Outsdng>	[0..1]	Indicator		680
	Reference <Ref>	[0..1]	Text		680
	AdditionalInformation <AddtlInf>	[0..*]	±		680
	WithdrawalForResidentialPurchaseProgress <WdrwlForResdtlPurchsPrgrs>	[0..1]	Indicator		680
	TransferorAlternateIdentification <TrfrAltrnId>	[0..1]	Text		680
	TotalSubscriptionAmount <TtlSbcptAmt>	[0..1]	Amount	C1, C15	681
	OtherAmount <OthrAmt>	[0..*]			681
	Type <Tp>	[1..1]	±		681
	Amount <Amt>	[1..1]	Amount	C1, C15	681
	DateFirstQualifyingAddition <DtFrstQlfygAddtn>	[0..1]	Date		682
	InvestorTaxReference <InvstrTaxRef>	[0..1]			682
	Type <Tp>	[0..1]			682
{Or	Code <Cd>	[1..1]	CodeSet		682

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		683
	Reference <Ref>	[1..1]	Text		683
	InvestmentsToFollowValue <InvstmtsToFlwVal>	[0..*]	±		683
	InnovativeFinance <InnvtvFinc>	[0..*]			683
	Type <Tp>	[1..1]	±		684
	Information <Inf>	[1..1]	Text		684
	LowestInvestedAmountCurrentYear <LwstInvstdAmtCurYr>	[0..1]	Amount	C1	684
	TaxCalculationBase <TaxClctnBase>	[0..1]	Amount	C1	684
	UnusedTaxDeduction <UusdTaxDdctn>	[0..1]	Amount	C1	685
	CurrentInvestmentAmount <CurlInvstmtAmt>	[0..1]	Amount	C1	685
	EstimatedValue <EstmtdVal>	[0..1]	±		685
	AdditionalInformation <AddtlInf>	[0..*]	±		686
Or	GeneralInvestment <GnllInvstmt>	[1..1]	±		686
Or}	Pension <Pnsn>	[1..1]		C9, C10, C30, C31, C32, C33, C37, C38, C41	686
	Identification <Id>	[0..1]			689
	Identifier <Idr>	[1..1]	Text		690
	SubIdentifier <Subldr>	[0..1]	Text		690
	AdditionalInformation <AddtlInf>	[0..1]	±		690
	Type <Tp>	[1..1]			690
{Or	Code <Cd>	[1..1]	CodeSet		690
Or}	Proprietary <Prtry>	[1..1]	±		691
	TransferScope <TrfScp>	[0..1]	±		692
	TaxReference <TaxRef>	[0..*]	±		692
	DrawdownTrancheIdentification <DrwdwnTrchld>	[0..1]	Text		692
	DrawdownStatus <DrwdwnSts>	[0..1]	±		692
	DrawdownType <DrwdwnTp>	[0..1]	±		693
	NumberOfDrawdownTranches <NbOfDrwdwnTrnchs>	[0..1]	Quantity		693
	EstimatedValue <EstmtdVal>	[0..1]	±		693

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	BlockTransfer <BlckTrf>	[0..1]	Indicator		693
	BlockTransferReference <BlckTrfRef>	[0..1]	Text		694
	TaxFreeCashProtection <TaxFreeCshPrctn>	[0..1]	Indicator		694
	TaxFreeCashAmount <TaxFreeCshAmt>	[0..1]	±		694
	ValueOfPensionPolicyOrPlanOrScheme <ValOfPnsnPlcyOrPlanOrSchme>	[0..1]	±		694
	RetirementAgeProtection <RtrmntAgePrctn>	[0..1]	Indicator		694
	RetirementAge <RtrmntAge>	[0..1]	Quantity		695
	Sharing <Shrg>	[0..1]	Indicator		695
	LumpSumType <LumpSumTp>	[0..*]	±		695
	PensionOrder <PnsnOrdr>	[0..*]	±		695
	RingFencedDrawdownAssets <RingFncdDrwdwnAssts>	[0..1]	Indicator		695
	MoneyPurchaseAnnualAllowance <MnyPurchsAnlAllwnc>	[0..1]	±		696
	SafeguardBenefit <SfgrdBnft>	[0..1]	Indicator		696
	NonSafeguardedGuaranteedBenefits <NonSfgrddGrntedBnfts>	[0..1]	Indicator		696
	LifetimeAllowanceProtection <LftmAllwncPrctn>	[0..1]	Indicator		696
	ClientLifetimeAllowanceProtection <ClntLftmAllwncPrctn>	[0..1]	Indicator		696
	BenefitCrystallisationEventOccurred <BnftCrstllstnEvtOcrd>	[0..1]	Indicator		697
	NonWrapperTransfer <NonWrpprTrf>	[0..1]	Indicator		697
	AdditionalInformation <AddtlInf>	[0..*]	±		697
	PartialDiscovery <PrtlDscvry>	[0..1]	Indicator		697
	ResidualCash <RsdlCsh>	[0..*]	±		697
	TaxDate <TaxDt>	[0..1]	Date		698
	FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>	[0..*]		C7, C8	698
	LineIdentification <LineId>	[0..1]	Text		700
	Instrument <Instrm>	[1..1]			700
{Or	Security <Scty>	[1..1]	±	C20	700
Or	CashAsset <CshAsst>	[1..1]	±		701
Or}	OtherAsset <OthrAsst>	[1..1]	±		701
	Quantity <Qty>	[0..1]	±		701
	UnitsDetails <UnitsDtls>	[0..*]	±		702

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ClientReference <ClntRef>	[0..1]	±		703
	CounterpartyReference <CtrPtyRef>	[0..1]	±		704
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		704
	AverageAcquisitionPrice <AvrgAcqstnPric>	[0..1]	Amount	C2, C16	704
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C2	705
	TotalBookValue <TtlBookVal>	[0..1]	±		705
	LatestValuation <LatstValtn>	[0..1]	±		705
	OriginalCost <OrgnlCost>	[0..1]	Amount	C1	705
	TransfereeAccount <TrfeeAcct>	[0..1]	±		706
	Transferor <Trfr>	[0..*]	±		706
	IntermediaryInformation <IntrmyInf>	[0..*]	±		706
	CrystallisationDetails <CrstllstnDtls>	[0..*]	±		707
	TaxValuationPoint <TaxValtnPt>	[0..1]	±		707
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..*]	±		708
	AssetsHeldInOwnName <AsstsHeldInOwnNm>	[0..1]	Indicator		708
	TransferResultsInChangeOfBeneficialOwner <TrfRsltsInChngOfBnfclOwnr>	[0..1]	Indicator		708
	AdditionalInformation <AddtlInf>	[0..*]	±		708
	AdditionalInformation <AddtlInf>	[0..*]	±		709
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		709
	Extension <Xtnsn>	[0..*]	±	C19	709

39.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditonalDetailsGuideline

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

C4 AdditonalDetailsGuideline

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C6 AppliedAmountRule

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C7 AssetQuantity1Guideline

If Instrument/Security is present, then Quantity/Unit or Quantity/FaceAmount or Quantity/AmortisedValue must be present.

C8 AssetQuantity2Guideline

If Instrument/CashAsset is present, then Quantity/CashAmount must be present.

C9 BlockTransferRetirementAgeProtectionRule

If BlockTransfer is "false" or "0" (No), then RetirementAgeProtection is not allowed.

If BlockTransfer is "true" or "1" (Yes), then RetirementAgeProtection may be present.

C10 BlockTransferTaxFreeCashProtectionRule

If BlockTransfer is "false" or "0" (No), then TaxFreeCashProtection is not allowed.

If BlockTransfer is "true" or "1" (Yes), then TaxFreeCashProtection may be present.

C11 BookTransferGuideline

In the case of an own account internal transfer, the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

C12 Corporate1Rule

If PrimaryCorporateInvestor is not present, then SecondaryCorporateInvestor is not allowed.

If PrimaryCorporateInvestor is present, then SecondaryCorporateInvestor may be present.

On Condition

/PrimaryCorporateInvestor is absent

Following Must be True

/SecondaryCorporateInvestor Must be absent

This constraint is defined at the MessageDefinition level.

C13 Corporate2Rule

If PrimaryCorporateInvestor is not present, then OtherCorporateInvestor is not allowed.

If PrimaryCorporateInvestor is present, then OtherCorporateInvestor may be present.

On Condition

/PrimaryCorporateInvestor is absent

Following Must be True

/OtherCorporateInvestor[*] Must be absent

This constraint is defined at the MessageDefinition level.

C14 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C15 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C16 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C17 DepositoryGuideline

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC will be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

C18 DiscountElementRule

Amount Or Rate Or Basis must be present.

C19 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C20 IdentificationGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C21 IdentificationGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C22 Investor1Rule

If PrimaryIndividualInvestor is not present, then SecondaryIndividualInvestor is not allowed.

If PrimaryIndividualInvestor is present, then SecondaryIndividualInvestor may be present.

On Condition

/PrimaryIndividualInvestor is absent

Following Must be True

/SecondaryIndividualInvestor Must be absent

This constraint is defined at the MessageDefinition level.

C23 Investor2Rule

If PrimaryIndividualInvestor is not present, then OtherIndividualInvestor is not allowed.

If PrimaryIndividualInvestor is present, then OtherIndividualInvestor may be present.

On Condition

/PrimaryIndividualInvestor is absent

Following Must be True

/OtherIndividualInvestor[*] Must be absent

This constraint is defined at the MessageDefinition level.

C24 NomineeAccountServicerRule

NomineeAccount/Servicer must be present when and only when different from TransferorAccount/Servicer.

This constraint is defined at the MessageDefinition level.

C25 NonStandardSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C26 Party2PresenceRule

If Party2 is present, then Party1 must be present.

C27 Party3PresenceRule

If Party3 is present, then Party2 must be present.

C28 Party4PresenceRule

If Party4 is present, then Party3 must be present.

C29 Party5PresenceRule

If Party5 is present, then Party4 must be present.

C30 PensionOrderTypeGuideline

A pension policy, plan or scheme cannot have both an earmark and a sharing order.

If PensionOrder/Type/Code/SHAR is present, then PensionOrder/Type/Code/EARM is not allowed.

If PensionOrder/Type/Code/EARM is present, then PensionOrder/Type/Code/SHAR is not allowed.

C31 RetirementAgeRule

If RetirementAgeProtection is "false" or "0" (No), then RetirementAge is not allowed.

If RetirementAgeProtection is "true" or "1" (Yes), then RetirementAge may be present.

C32 RingFencedDrawdownAssets1Rule

If DrawdownStatus/Code/NONE (None) is present, then RingFencedDrawdownAssets is not allowed.

C33 RingFencedDrawdownAssets2Rule

If DrawdownStatus/Code/PART (Partial) or FULL (Full) is present, then RingFencedDrawdownAssets must be present.

C34 SettlementChainGuideline

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

C35 SocialSecurityNumberGuideline

The UK Insurance Number must be indicated without spaces.

C36 SpecificPreviousYearsGuideline

SpecificPreviousYears must not contain the current year.

C37 TaxFreeCashAmountRule

If TaxFreeCashProtection is "false" or "0" (No), then TaxFreeCashAmount is not allowed.

If TaxFreeCashProtection is "true" or "1" (Yes), then TaxFreeCashAmount may be present.

C38 TaxFreeCashAndValueRule

If TaxFreeCashProtection is "true" or "1" (Yes), then TaxFreeCashAmount and ValueOfPensionPolicyOrPlanOrScheme must be present.

If TaxFreeCashProtection is "false" or "0" (Yes), then TaxFreeCashAmount and ValueOfPensionPolicyOrPlanOrScheme may be present.

C39 TotalAmountYearToDateRule

TotalAmountYearToDate must be equal to the sum of EquityComponent and CashComponent if present.

C40 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C41 TransferScopeTrancheIdentification1Rule

If TransferScope/Code/SDDT (DrawdownTranche) is not present, then DrawdownTrancheIdentification is not allowed.

If TransferScope/Code/SDDT (DrawdownTranche) is present, then DrawdownTrancheIdentification may be present.

39.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

39.4.1 MessageReference <MsgRef>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageReference <MsgRef> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

39.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference11](#)" on page 1494 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1494
	ReferenceIssuer <RefIssr>	[0..1]	±		1494
	MessageName <MsgNm>	[0..1]	Text		1495

39.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

39.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

39.4.5 BusinessFlowDirectionType <BizFlowDrctnTp>

Presence: [0..1]

Definition: Business flow direction (assets to be delivered or received).

Datatype: "BusinessFlowDirectionType1Code" on page 2555

CodeName	Name	Definition
ADLV	AssetstoBeDelivered	Assets to be delivered.
ARCV	AssetsToBeReceived	Assets to be received.

39.4.6 PrimaryIndividualInvestor <PmryIndvInvstr>

Presence: [0..1]

Definition: Information identifying the primary individual investor, for example, name, address, social security number and date of birth.

Impacted by: C35 "SocialSecurityNumberGuideline"

PrimaryIndividualInvestor <PmryIndvInvstr> contains the following elements (see "IndividualPerson8" on page 2193 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2193
	GivenName <GvnNm>	[1..1]	Text		2194
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2194
	NameSuffix <NmSfx>	[0..1]	Text		2194
	Gender <Gndr>	[0..1]	CodeSet		2194
	BirthDate <BirthDt>	[0..1]	Date		2194
	SocialSecurityNumber <SciSctyNb>	[0..1]	Text		2194
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2195

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

39.4.7 SecondaryIndividualInvestor <ScndryIndvInvstr>

Presence: [0..1]

Definition: Information identifying the secondary individual investor, for example, name, address, social security number and date of birth.

Impacted by: C35 "SocialSecurityNumberGuideline"

SecondaryIndividualInvestor <ScndryIndvInvstr> contains the following elements (see "IndividualPerson8" on page 2193 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2193
	GivenName <GvnNm>	[1..1]	Text		2194
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2194
	NameSuffix <NmSfx>	[0..1]	Text		2194
	Gender <Gndr>	[0..1]	CodeSet		2194
	BirthDate <BirthDt>	[0..1]	Date		2194
	SocialSecurityNumber <SciSctyNb>	[0..1]	Text		2194
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2195

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

39.4.8 OtherIndividualInvestor <OthrIndvInvstr>

Presence: [0..*]

Definition: Information identifying the other individual investors, for example, name, address, social security number and date of birth.

Impacted by: C35 "SocialSecurityNumberGuideline"

OtherIndividualInvestor <OthrIndvInvstr> contains the following elements (see "[IndividualPerson8](#)" on page 2193 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2193
	GivenName <GvnNm>	[1..1]	Text		2194
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2194
	NameSuffix <NmSfx>	[0..1]	Text		2194
	Gender <Gndr>	[0..1]	CodeSet		2194
	BirthDate <BirthDt>	[0..1]	Date		2194
	SocialSecurityNumber <ScISctyNb>	[0..1]	Text		2194
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2195

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

39.4.9 PrimaryCorporateInvestor <PmryCorplInvstr>

Presence: [0..1]

Definition: Information identifying the primary corporate investor, for example, name and address.

PrimaryCorporateInvestor <PmryCorplnvstr> contains the following elements (see "Organisation36" on page 1893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1894
	Identification <Id>	[0..1]	±		1894
	Purpose <Purp>	[0..1]	Text		1894
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	1894
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	1895
	RegistrationDate <RegnDt>	[0..1]	Date		1895
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		1895
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		1895
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		1895

39.4.10 SecondaryCorporateInvestor <ScndryCorplnvstr>

Presence: [0..1]

Definition: Information identifying the secondary corporate investor, for example, name and address.

SecondaryCorporateInvestor <ScndryCorplnvstr> contains the following elements (see "Organisation36" on page 1893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1894
	Identification <Id>	[0..1]	±		1894
	Purpose <Purp>	[0..1]	Text		1894
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	1894
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	1895
	RegistrationDate <RegnDt>	[0..1]	Date		1895
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		1895
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		1895
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		1895

39.4.11 OtherCorporateInvestor <OthrCorplnvstr>

Presence: [0..*]

Definition: Information identifying the other corporate investors, for example, name and address.

OtherCorporateInvestor <OthrCorplnvstr> contains the following elements (see "Organisation36" on page 1893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1894
	Identification <Id>	[0..1]	±		1894
	Purpose <Purp>	[0..1]	Text		1894
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	1894
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	1895
	RegistrationDate <RegnDt>	[0..1]	Date		1895
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		1895
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		1895
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		1895

39.4.12 TransferorAccount <TrfrAcct>

Presence: [1..1]

Definition: Identification of the account owned by the investor at the old plan manager (account servicer).

TransferorAccount <TrfrAcct> contains the following elements (see "InvestmentAccount69" on page 1218 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1218
	Designation <Dsgnt>	[0..1]	Text		1218
	AccountName <AcctNm>	[0..1]	Text		1218
	Servicer <Svcr>	[0..1]	±		1219

39.4.13 NomineeAccount <NmneeAcct>

Presence: [0..1]

Definition: Account held in the name of a party that is not the name of the beneficial owner of the shares.

NomineeAccount <NmneeAcct> contains the following elements (see "[InvestmentAccount69](#)" on page 1218 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1218
	Designation <Dsgnt>	[0..1]	Text		1218
	AccountName <AcctNm>	[0..1]	Text		1218
	Servicer <Svcr>	[0..1]	±		1219

39.4.14 Transferee <Trfee>

Presence: [1..1]

Definition: Identification of the institution to which the financial instrument is to be transferred. This may also be known as the new plan manager.

Transferee <Trfee> contains the following elements (see "[PartyIdentification132](#)" on page 2004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2004
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2004
	NameAndAddress <NmAndAdr>	[0..1]	±		2005
	ProprietaryIdentification <PrtryId>	[0..1]	±		2006
	LEI <LEI>	[0..1]	IdentifierSet		2006

39.4.15 ProductTransfer <PdctTrf>

Presence: [1..*]

Definition: Information about the portfolio and assets.

ProductTransfer <PdctTrf> contains the following **PortfolioTransfer9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		667
	TransferIdentification <TrfId>	[1..1]	Text		667
	TransferConfirmationIdentification <TrfConfId>	[0..1]	Text		667
	Portfolio <Prftl>	[0..1]			667
{Or	TaxEfficientProduct <TaxEffcntPdct>	[1..1]			671
	TaxEfficientProductType <TaxEffcntPdctTp>	[1..1]	±		673
	CurrentYear <CurYr>	[0..1]	Indicator		673
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		674
	PreviousYears <PrvsYrs>	[0..1]		C36	674
	PreviousYears <PrvsYrs>	[1..1]			674
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		674
Or}	SpecificPreviousYears <SpfcPrvsYrs>	[1..*]	Year		675
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		675
	PreviousYearSubscriptionAmount <PrvsYrSbcptAmt>	[0..1]	Amount	C1	675
	PreviousYearsSubscriptionAmount <PrvsYrsSbcptAmt>	[0..1]	Amount	C1	675
	DateOfFirstSubscription <DtOfFrstSbcpt>	[0..1]	Date		675
	CurrentYearSubscriptionDetails <CurYrSbcptDtls>	[0..1]		C39	676
	DateOfFirstSubscription <DtOfFrstSbcpt>	[1..1]	Date		676
	EquityComponent <EqtyCmpnt>	[0..1]	Amount	C1	676
	CashComponent <CshCmpnt>	[0..1]	Amount	C1	676
	TotalAmountYearToDate <TtlAmtYrToDt>	[1..1]	Amount	C1	677
	BonusOrWithdrawal <BnsOrWdrwl>	[0..*]			677
	TypeOfAmount <TpOfAmt>	[1..1]			678
{Or	Code <Cd>	[1..1]	CodeSet		678
Or}	Proprietary <Prtry>	[1..1]	±		678
	Amount <Amt>	[0..1]	Amount	C2	678
	Reason <Rsn>	[0..1]			679
{Or	Code <Cd>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679
	UnclaimedAmount <UclmdAmt>	[0..1]	Amount	C2	679

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Outstanding <Outsdng>	[0..1]	Indicator		680
	Reference <Ref>	[0..1]	Text		680
	AdditionalInformation <AddtlInf>	[0..*]	±		680
	WithdrawalForResidentialPurchaseProgress <WdrwlForResdtlPurchsPrgrs>	[0..1]	Indicator		680
	TransferorAlternateIdentification <TrfrAltrnId>	[0..1]	Text		680
	TotalSubscriptionAmount <TtlSbcptAmt>	[0..1]	Amount	C1, C15	681
	OtherAmount <OthrAmt>	[0..*]			681
	Type <Tp>	[1..1]	±		681
	Amount <Amt>	[1..1]	Amount	C1, C15	681
	DateFirstQualifyingAddition <DtFrstQlfygAddtn>	[0..1]	Date		682
	InvestorTaxReference <InvstrTaxRef>	[0..1]			682
	Type <Tp>	[0..1]			682
{Or	Code <Cd>	[1..1]	CodeSet		682
Or}	Proprietary <Prtry>	[1..1]	±		683
	Reference <Ref>	[1..1]	Text		683
	InvestmentsToFollowValue <InvstmtsToFlwVal>	[0..*]	±		683
	InnovativeFinance <InnvtrvFinc>	[0..*]			683
	Type <Tp>	[1..1]	±		684
	Information <Inf>	[1..1]	Text		684
	LowestInvestedAmountCurrentYear <LwstInvstdAmtCurYr>	[0..1]	Amount	C1	684
	TaxCalculationBase <TaxClctnBase>	[0..1]	Amount	C1	684
	UnusedTaxDeduction <UusdTaxDdctn>	[0..1]	Amount	C1	685
	CurrentInvestmentAmount <CurInvstmtAmt>	[0..1]	Amount	C1	685
	EstimatedValue <EstmtdVal>	[0..1]	±		685
	AdditionalInformation <AddtlInf>	[0..*]	±		686
Or	GeneralInvestment <GnlInvstmt>	[1..1]	±		686
Or}	Pension <Pnsn>	[1..1]		C9, C10, C30, C31, C32, C33, C37, C38, C41	686

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]			689
	Identifier <Idr>	[1..1]	Text		690
	SubIdentifier <SubIdr>	[0..1]	Text		690
	AdditionalInformation <AddtlInf>	[0..1]	±		690
	Type <Tp>	[1..1]			690
{Or	Code <Cd>	[1..1]	CodeSet		690
Or}	Proprietary <Prtry>	[1..1]	±		691
	TransferScope <TrfScp>	[0..1]	±		692
	TaxReference <TaxRef>	[0..*]	±		692
	DrawdownTrancheIdentification <DrwdwnTrchId>	[0..1]	Text		692
	DrawdownStatus <DrwdwnSts>	[0..1]	±		692
	DrawdownType <DrwdwnTp>	[0..1]	±		693
	NumberOfDrawdownTranches <NbOfDrwdwnTrchs>	[0..1]	Quantity		693
	EstimatedValue <EstmtdVal>	[0..1]	±		693
	BlockTransfer <BlckTrf>	[0..1]	Indicator		693
	BlockTransferReference <BlckTrfRef>	[0..1]	Text		694
	TaxFreeCashProtection <TaxFreeCshPrctn>	[0..1]	Indicator		694
	TaxFreeCashAmount <TaxFreeCshAmt>	[0..1]	±		694
	ValueOfPensionPolicyOrPlanOrScheme <ValOfPnsnPlcyOrPlanOrSchme>	[0..1]	±		694
	RetirementAgeProtection <RtrmntAgePrctn>	[0..1]	Indicator		694
	RetirementAge <RtrmntAge>	[0..1]	Quantity		695
	Sharing <Shrg>	[0..1]	Indicator		695
	LumpSumType <LumpSumTp>	[0..*]	±		695
	PensionOrder <PnsnOrd>	[0..*]	±		695
	RingFencedDrawdownAssets <RingFncdDrwdwnAssts>	[0..1]	Indicator		695
	MoneyPurchaseAnnualAllowance <MnyPurchsAnlAllwnc>	[0..1]	±		696
	SafeguardBenefit <SfgrdBnft>	[0..1]	Indicator		696
	NonSafeguardedGuaranteedBenefits <NonSfgrddGrntedBnfts>	[0..1]	Indicator		696
	LifetimeAllowanceProtection <LftmAllwncPrctn>	[0..1]	Indicator		696

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClientLifetimeAllowanceProtection <CltLftmAllwncPrctn>	[0..1]	Indicator		696
	BenefitCrystallisationEventOccurred <BnftCrstllstnEvtOcrd>	[0..1]	Indicator		697
	NonWrapperTransfer <NonWrpprTrf>	[0..1]	Indicator		697
	AdditionalInformation <AddtlInf>	[0..*]	±		697
	PartialDiscovery <PrtlDscvry>	[0..1]	Indicator		697
	ResidualCash <RsdICsh>	[0..*]	±		697
	TaxDate <TaxDt>	[0..1]	Date		698
	FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>	[0..*]		C7, C8	698
	LineIdentification <LineId>	[0..1]	Text		700
	Instrument <Instrm>	[1..1]			700
{Or	Security <Scty>	[1..1]	±	C20	700
Or	CashAsset <CshAsst>	[1..1]	±		701
Or}	OtherAsset <OthrAsst>	[1..1]	±		701
	Quantity <Qty>	[0..1]	±		701
	UnitsDetails <UnitsDtls>	[0..*]	±		702
	ClientReference <CltRef>	[0..1]	±		703
	CounterpartyReference <CtrPtyRef>	[0..1]	±		704
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		704
	AverageAcquisitionPrice <AvrgAcqstnPric>	[0..1]	Amount	C2, C16	704
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C2	705
	TotalBookValue <TtlBookVal>	[0..1]	±		705
	LatestValuation <LatstValtn>	[0..1]	±		705
	OriginalCost <OrgnlCost>	[0..1]	Amount	C1	705
	TransfereeAccount <TrfeeAcct>	[0..1]	±		706
	Transferor <Trfr>	[0..*]	±		706
	IntermediaryInformation <IntrmyInf>	[0..*]	±		706
	CrystallisationDetails <CrstllstnDtls>	[0..*]	±		707
	TaxValuationPoint <TaxValtnPt>	[0..1]	±		707
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..*]	±		708
	AssetsHeldInOwnName <AsstsHeldInOwnNm>	[0..1]	Indicator		708

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferResultsInChangeOfBeneficialOwner <TrfRsltsInChngOfBnfclOwnr>	[0..1]	Indicator		708
	AdditionalInformation <AddtlInf>	[0..*]	±		708
	AdditionalInformation <AddtlInf>	[0..*]	±		709

39.4.15.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2683

39.4.15.2 TransferIdentification <Trfld>

Presence: [1..1]

Definition: Identification assigned to the transfer of assets.

Datatype: "Max35Text" on page 2683

39.4.15.3 TransferConfirmationIdentification <TrfConfld>

Presence: [0..1]

Definition: Identification of the confirmation assigned by the transferor to the transfer.

Datatype: "Max35Text" on page 2683

39.4.15.4 Portfolio <Prftl>

Presence: [0..1]

Definition: Choice of tax efficient product, general investment or pension.

Portfolio <Prtl> contains one of the following **FundPortfolio7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TaxEfficientProduct <TaxEffcntPdct>	[1..1]			671
	TaxEfficientProductType <TaxEffcntPdctTp>	[1..1]	±		673
	CurrentYear <CurYr>	[0..1]	Indicator		673
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		674
	PreviousYears <PrvsYrs>	[0..1]		C36	674
	PreviousYears <PrvsYrs>	[1..1]			674
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		674
Or}	SpecificPreviousYears <SpfcPrvsYrs>	[1..*]	Year		675
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		675
	PreviousYearSubscriptionAmount <PrvsYrSbcptAmt>	[0..1]	Amount	C1	675
	PreviousYearsSubscriptionAmount <PrvsYrsSbcptAmt>	[0..1]	Amount	C1	675
	DateOfFirstSubscription <DtOfFrstSbcpt>	[0..1]	Date		675
	CurrentYearSubscriptionDetails <CurYrSbcptDtls>	[0..1]		C39	676
	DateOfFirstSubscription <DtOfFrstSbcpt>	[1..1]	Date		676
	EquityComponent <EqtyCmpnt>	[0..1]	Amount	C1	676
	CashComponent <CshCmpnt>	[0..1]	Amount	C1	676
	TotalAmountYearToDate <TtlAmtYrToDt>	[1..1]	Amount	C1	677
	BonusOrWithdrawal <BnsOrWdrwl>	[0..*]			677
	TypeOfAmount <TpOfAmt>	[1..1]			678
{Or	Code <Cd>	[1..1]	CodeSet		678
Or}	Proprietary <Prtry>	[1..1]	±		678
	Amount <Amt>	[0..1]	Amount	C2	678
	Reason <Rsn>	[0..1]			679
{Or	Code <Cd>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679
	UnclaimedAmount <UclmdAmt>	[0..1]	Amount	C2	679
	Outstanding <Outsdng>	[0..1]	Indicator		680
	Reference <Ref>	[0..1]	Text		680
	AdditionalInformation <AddtlInf>	[0..*]	±		680

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	WithdrawalForResidentialPurchaseProgress <WdrwlForResdtlPurchsPrgrs>	[0..1]	Indicator		680
	TransferorAlternateIdentification <TrfrAltrnld>	[0..1]	Text		680
	TotalSubscriptionAmount <TtlSbcptAmt>	[0..1]	Amount	C1, C15	681
	OtherAmount <OthrAmt>	[0..*]			681
	Type <Tp>	[1..1]	±		681
	Amount <Amt>	[1..1]	Amount	C1, C15	681
	DateFirstQualifyingAddition <DtFrstQlfygAddtn>	[0..1]	Date		682
	InvestorTaxReference <InvstrTaxRef>	[0..1]			682
	Type <Tp>	[0..1]			682
{Or	Code <Cd>	[1..1]	CodeSet		682
Or}	Proprietary <Prtry>	[1..1]	±		683
	Reference <Ref>	[1..1]	Text		683
	InvestmentsToFollowValue <InvstmtsToFlwVal>	[0..*]	±		683
	InnovativeFinance <InnvstvFinc>	[0..*]			683
	Type <Tp>	[1..1]	±		684
	Information <Inf>	[1..1]	Text		684
	LowestInvestedAmountCurrentYear <LwstInvstdAmtCurYr>	[0..1]	Amount	C1	684
	TaxCalculationBase <TaxClctnBase>	[0..1]	Amount	C1	684
	UnusedTaxDeduction <UusdTaxDdctn>	[0..1]	Amount	C1	685
	CurrentInvestmentAmount <CurlInvstmtAmt>	[0..1]	Amount	C1	685
	EstimatedValue <EstmtdVal>	[0..1]	±		685
	AdditionalInformation <AddtlInf>	[0..*]	±		686
Or	GeneralInvestment <GnlInvstmt>	[1..1]	±		686
Or}	Pension <Pnsn>	[1..1]		C9, C10, C30, C31, C32, C33, C37, C38, C41	686
	Identification <Id>	[0..1]			689
	Identifier <Idr>	[1..1]	Text		690
	Subidentifier <Subldr>	[0..1]	Text		690

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[0..1]	±		690
	Type <Tp>	[1..1]			690
{Or	Code <Cd>	[1..1]	CodeSet		690
Or}	Proprietary <Prtry>	[1..1]	±		691
	TransferScope <TrfScp>	[0..1]	±		692
	TaxReference <TaxRef>	[0..*]	±		692
	DrawdownTrancheIdentification <DrwdwnTrchld>	[0..1]	Text		692
	DrawdownStatus <DrwdwnSts>	[0..1]	±		692
	DrawdownType <DrwdwnTp>	[0..1]	±		693
	NumberOfDrawdownTranches <NbOfDrwdwnTrnchs>	[0..1]	Quantity		693
	EstimatedValue <EstmtVal>	[0..1]	±		693
	BlockTransfer <BlckTrf>	[0..1]	Indicator		693
	BlockTransferReference <BlckTrfRef>	[0..1]	Text		694
	TaxFreeCashProtection <TaxFreeCshPrctn>	[0..1]	Indicator		694
	TaxFreeCashAmount <TaxFreeCshAmt>	[0..1]	±		694
	ValueOfPensionPolicyOrPlanOrScheme <ValOfPnsnPlcyOrPlanOrSchme>	[0..1]	±		694
	RetirementAgeProtection <RtrmntAgePrctn>	[0..1]	Indicator		694
	RetirementAge <RtrmntAge>	[0..1]	Quantity		695
	Sharing <Shrg>	[0..1]	Indicator		695
	LumpSumType <LumpSumTp>	[0..*]	±		695
	PensionOrder <PnsnOrdr>	[0..*]	±		695
	RingFencedDrawdownAssets <RingFncdDrwdwnAssts>	[0..1]	Indicator		695
	MoneyPurchaseAnnualAllowance <MnyPurchsAnlAllwnc>	[0..1]	±		696
	SafeguardBenefit <SfgrdBnft>	[0..1]	Indicator		696
	NonSafeguardedGuaranteedBenefits <NonSfgrddGrntedBnfts>	[0..1]	Indicator		696
	LifetimeAllowanceProtection <LftmAllwncPrctn>	[0..1]	Indicator		696
	ClientLifetimeAllowanceProtection <ClntLftmAllwncPrctn>	[0..1]	Indicator		696
	BenefitCrystallisationEventOccurred <BnftCrstllstnEvtOcrd>	[0..1]	Indicator		697
	NonWrapperTransfer <NonWrpprTrf>	[0..1]	Indicator		697

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[0..*]	±		697

39.4.15.4.1 TaxEfficientProduct <TaxEffcntPdct>

Presence: [1..1]

Definition: Portfolio is a government scheme to encourage investment in securities based unit and investment trusts, offering certain tax benefits. These are not investments in their own right but are tax exempt 'wrappers' in which equities, bonds and funds can be held to shelter them from various types of tax.

In the UK, a typical tax wrapper product is known as an 'ISA' (Individual Savings Account).

TaxEfficientProduct <TaxEffcntPdct> contains the following **TaxEfficientProduct7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxEfficientProductType <TaxEffcntPdctTp>	[1..1]	±		673
	CurrentYear <CurYr>	[0..1]	Indicator		673
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		674
	PreviousYears <PrvsYrs>	[0..1]		C36	674
	PreviousYears <PrvsYrs>	[1..1]			674
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		674
Or}	SpecificPreviousYears <SpfcPrvsYrs>	[1..*]	Year		675
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		675
	PreviousYearSubscriptionAmount <PrvsYrSbcptAmt>	[0..1]	Amount	C1	675
	PreviousYearsSubscriptionAmount <PrvsYrsSbcptAmt>	[0..1]	Amount	C1	675
	DateOfFirstSubscription <DtOfFrstSbcpt>	[0..1]	Date		675
	CurrentYearSubscriptionDetails <CurYrSbcptDtls>	[0..1]		C39	676
	DateOfFirstSubscription <DtOfFrstSbcpt>	[1..1]	Date		676
	EquityComponent <EqtyCmpnt>	[0..1]	Amount	C1	676
	CashComponent <CshCmpnt>	[0..1]	Amount	C1	676
	TotalAmountYearToDate <TtlAmtYrToDt>	[1..1]	Amount	C1	677
	BonusOrWithdrawal <BnsOrWdrwl>	[0..*]			677
	TypeOfAmount <TpOfAmt>	[1..1]			678
{Or	Code <Cd>	[1..1]	CodeSet		678
Or}	Proprietary <Prtry>	[1..1]	±		678
	Amount <Amt>	[0..1]	Amount	C2	678
	Reason <Rsn>	[0..1]			679
{Or	Code <Cd>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679
	UnclaimedAmount <UclmdAmt>	[0..1]	Amount	C2	679
	Outstanding <Outsdng>	[0..1]	Indicator		680
	Reference <Ref>	[0..1]	Text		680
	AdditionalInformation <AddtlInf>	[0..*]	±		680
	WithdrawalForResidentialPurchaseProgress <WdrwlForResdtlPurchsPrgrs>	[0..1]	Indicator		680
	TransferorAlternateIdentification <TrfrAltrnld>	[0..1]	Text		680

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalSubscriptionAmount <TtSbcptAmt>	[0..1]	Amount	C1, C15	681
	OtherAmount <OthrAmt>	[0..*]			681
	Type <Tp>	[1..1]	±		681
	Amount <Amt>	[1..1]	Amount	C1, C15	681
	DateFirstQualifyingAddition <DtFrstQlfygAddtn>	[0..1]	Date		682
	InvestorTaxReference <InvstrTaxRef>	[0..1]			682
	Type <Tp>	[0..1]			682
{Or	Code <Cd>	[1..1]	CodeSet		682
Or}	Proprietary <Prtry>	[1..1]	±		683
	Reference <Ref>	[1..1]	Text		683
	InvestmentsToFollowValue <InvstmtsToFlwVal>	[0..*]	±		683
	InnovativeFinance <Innvtfnc>	[0..*]			683
	Type <Tp>	[1..1]	±		684
	Information <Inf>	[1..1]	Text		684
	LowestInvestedAmountCurrentYear <LwstInvstdAmtCurYr>	[0..1]	Amount	C1	684
	TaxCalculationBase <TaxClctnBase>	[0..1]	Amount	C1	684
	UnusedTaxDeduction <UusdTaxDdctn>	[0..1]	Amount	C1	685
	CurrentInvestmentAmount <CurInvstmtAmt>	[0..1]	Amount	C1	685
	EstimatedValue <EstmtdVal>	[0..1]	±		685
	AdditionalInformation <AddtlInf>	[0..*]	±		686

39.4.15.4.1.1 TaxEfficientProductType <TaxEffcntPdctTp>

Presence: [1..1]

Definition: Type of tax efficient product, for example, an individual savings account (ISA) in the UK.

TaxEfficientProductType <TaxEffcntPdctTp> contains one of the following elements (see "TaxEfficientProductType2Choice" on page 1418 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1418
Or}	Proprietary <Prtry>	[1..1]	±		1419

39.4.15.4.1.2 CurrentYear <CurYr>

Presence: [0..1]

Definition: Indicates that all the current fiscal year's products are included.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

39.4.15.4.1.3 CashComponentIndicator <CshCmpntInd>

Presence: [0..1]

Definition: Indicates whether, for the current year, the product contains a cash asset for transfer.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

39.4.15.4.1.4 PreviousYears <PrvsYrs>

Presence: [0..1]

Definition: Investment plans issued during previous years.

Impacted by: [C36 "SpecificPreviousYearsGuideline"](#)

PreviousYears <PrvsYrs> contains the following **PreviousYear4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PreviousYears <PrvsYrs>	[1..1]			674
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		674
Or}	SpecificPreviousYears <SpfcPrvsYrs>	[1..*]	Year		675
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		675

Constraints

- **SpecificPreviousYearsGuideline**
SpecificPreviousYears must not contain the current year.

39.4.15.4.1.4.1 PreviousYears <PrvsYrs>

Presence: [1..1]

Definition: Investment plans issued during previous years.

PreviousYears <PrvsYrs> contains one of the following **PreviousYear1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		674
Or}	SpecificPreviousYears <SpfcPrvsYrs>	[1..*]	Year		675

39.4.15.4.1.4.1.1 AllPreviousYears <AllPrvsYrs>

Presence: [1..1]

Definition: Selection of all investment plans.

Datatype: "PreviousAll" on page 2685

39.4.15.4.1.4.1.2 SpecificPreviousYears <SpcfcPrvsYrs>

Presence: [1..*]

Definition: Selection of investment plans issued during previous years.

Datatype: "ISOYear" on page 2685

39.4.15.4.1.4.2 CashComponentIndicator <CshCmpntInd>

Presence: [0..1]

Definition: Indicates whether the product contains a cash asset for transfer from previous years.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

39.4.15.4.1.5 PreviousYearSubscriptionAmount <PrvsYrSbcptAmt>

Presence: [0..1]

Definition: Subscriptions from the previous subscription year.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

39.4.15.4.1.6 PreviousYearsSubscriptionAmount <PrvsYrsSbcptAmt>

Presence: [0..1]

Definition: Amount subscribed in all previous years.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

39.4.15.4.1.7 DateOfFirstSubscription <DtOfFrstSbcpt>

Presence: [0..1]

Definition: Date the investment plan started.

Datatype: "ISODate" on page 2668

39.4.15.4.1.8 CurrentYearSubscriptionDetails <CurYrSbcptDtls>

Presence: [0..1]

Definition: Amounts already subscribed for the current year.

Impacted by: C39 "TotalAmountYearToDateRule"

CurrentYearSubscriptionDetails <CurYrSbcptDtls> contains the following **SubscriptionInformation2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateOfFirstSubscription <DtOfFrstSbcpt>	[1..1]	Date		676
	EquityComponent <EqtyCmpnt>	[0..1]	Amount	C1	676
	CashComponent <CshCmpnt>	[0..1]	Amount	C1	676
	TotalAmountYearToDate <TtlAmtYrToDt>	[1..1]	Amount	C1	677

Constraints

- **TotalAmountYearToDateRule**

TotalAmountYearToDate must be equal to the sum of EquityComponent and CashComponent if present.

39.4.15.4.1.8.1 DateOfFirstSubscription <DtOfFrstSbcpt>

Presence: [1..1]

Definition: Date of the first subscription in the current year.

Datatype: "ISODate" on page 2668

39.4.15.4.1.8.2 EquityComponent <EqtyCmpnt>

Presence: [0..1]

Definition: Amount subscribed in the current tax year into equities (not including dividends).

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

39.4.15.4.1.8.3 CashComponent <CshCmpnt>

Presence: [0..1]

Definition: Amount subscribed in the current tax year into cash.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

39.4.15.4.1.8.4 TotalAmountYearToDate <TtlAmtYrToDt>

Presence: [1..1]

Definition: Total amount subscribed in the current tax year.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

39.4.15.4.1.9 BonusOrWithdrawal <BnsOrWdrwl>

Presence: [0..*]

Definition: Bonus paid out or withdrawn.

BonusOrWithdrawal <BnsOrWdrwl> contains the following **BonusWithdrawal2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TypeOfAmount <TpOfAmt>	[1..1]			678
{Or	Code <Cd>	[1..1]	CodeSet		678
Or}	Proprietary <Prtry>	[1..1]	±		678
	Amount <Amt>	[0..1]	Amount	C2	678
	Reason <Rsn>	[0..1]			679
{Or	Code <Cd>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679
	UnclaimedAmount <UclmdAmt>	[0..1]	Amount	C2	679
	Outstanding <Outsdng>	[0..1]	Indicator		680
	Reference <Ref>	[0..1]	Text		680
	AdditionalInformation <AddtlInf>	[0..*]	±		680

39.4.15.4.1.9.1 TypeOfAmount <TpOfAmt>*Presence:* [1..1]*Definition:* Specifies whether the amount is the result of a bonus paid, a withdrawal or other kind of amount.**TypeOfAmount <TpOfAmt>** contains one of the following **TypeOfAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		678
Or}	Proprietary <Prtry>	[1..1]	±		678

39.4.15.4.1.9.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of amount expressed as a code.*Datatype:* "TaxWrapperAmountType1Code" on page 2656

CodeName	Name	Definition
BONU	Bonus	Type of amount is a bonus, for example, a government bonus.
WTHD	Withdrawal	Type of amount is a withdrawal, for example, a withdrawal for a first time residential purchase.

39.4.15.4.1.9.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of amount expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

39.4.15.4.1.9.2 Amount <Amt>*Presence:* [0..1]*Definition:* Amount of the bonus paid or the claimed amount. For example, a claimed amount for a lifetime ISA (LISA) or a government bonus paid out.*Impacted by:* C2 "ActiveOrHistoricCurrency"*Datatype:* "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

39.4.15.4.1.9.3 Reason <Rsn>

Presence: [0..1]

Definition: Reason for the bonus amount paid to or an amount withdrawn from the investment product.

Reason <Rsn> contains one of the following **WithdrawalReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		679
Or}	Proprietary <Prtry>	[1..1]	±		679

39.4.15.4.1.9.3.1 Code <Cd>

Presence: [1..1]

Definition: Withdrawal reason expressed as a code.

Datatype: "PortfolioWithdrawalReason1Code" on page 2620

CodeName	Name	Definition
FTRS	FirstTimeResidentialProperty	Withdrawal is for a first time residential property.

39.4.15.4.1.9.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Withdrawal reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

39.4.15.4.1.9.4 UnclaimedAmount <UclmdAmt>

Presence: [0..1]

Definition: Amount of an unclaimed bonus or an unclaimed withdrawal.

Impacted by: C2 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

39.4.15.4.1.9.5 Outstanding <Outsdng>

Presence: [0..1]

Definition: Indicates whether there is an outstanding bonus or withdrawal amount.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

39.4.15.4.1.9.6 Reference <Ref>

Presence: [0..1]

Definition: Identification of the withdrawal or bonus.

Datatype: ["Max35Text"](#) on page 2683

39.4.15.4.1.9.7 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the monies paid out or withdrawn.

AdditionalInformation <AddtlInf> contains the following elements (see ["AdditionalInformation15"](#) on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

39.4.15.4.1.10 WithdrawalForResidentialPurchaseProgress <WdrwlForResdntlPurchsPrgrs>

Presence: [0..1]

Definition: Indicates if there is a related property purchase in progress at the point of transfer. May be applicable to products such as Lifetime Individual Savings Account (LISA) products.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

39.4.15.4.1.11 TransferorAlternateIdentification <TrfrAltrnld>

Presence: [0..1]

Definition: Alternative identification for the transferor (ceding party), for example, the 'ISA manager Z reference' in the UK.

Datatype: ["Max35Text"](#) on page 2683

39.4.15.4.1.12 TotalSubscriptionAmount <TtlSbcptAmt>*Presence:* [0..1]*Definition:* Total amount subscribed over the lifetime of the product.*Impacted by:* C1 "ActiveCurrency", C15 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

39.4.15.4.1.13 OtherAmount <OthrAmt>*Presence:* [0..*]*Definition:* Another amount such as the total qualifying additions in the year of transfer, interest capitalised in the current year, total outstanding dividend and so on.**OtherAmount <OthrAmt>** contains the following **OtherAmount3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		681
	Amount <Amt>	[1..1]	Amount	C1, C15	681

39.4.15.4.1.13.1 Type <Tp>*Presence:* [1..1]*Definition:* Type of amount.**Type <Tp>** contains the following elements (see "GenericIdentification1" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

39.4.15.4.1.13.2 Amount <Amt>*Presence:* [1..1]*Definition:* Currency and amount.*Impacted by:* C1 "ActiveCurrency", C15 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

39.4.15.4.1.14 DateFirstQualifyingAddition <DtFrstQlfygAddtn>

Presence: [0..1]

Definition: Date the first qualifying additional amount was made to the product. Qualifying additional amounts may be made to a Lifetime Individual Savings Account (LISA) product.

Datatype: "ISODate" on page 2668

39.4.15.4.1.15 InvestorTaxReference <InvstrTaxRef>

Presence: [0..1]

Definition: Identification of the investor as assigned by a tax authority.

InvestorTaxReference <InvstrTaxRef> contains the following **TaxReference2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			682
{Or	Code <Cd>	[1..1]	CodeSet		682
Or}	Proprietary <Prtry>	[1..1]	±		683
	Reference <Ref>	[1..1]	Text		683

39.4.15.4.1.15.1 Type <Tp>

Presence: [0..1]

Definition: Type of tax reference.

Type <Tp> contains one of the following **TaxReferenceType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		682
Or}	Proprietary <Prtry>	[1..1]	±		683

39.4.15.4.1.15.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of tax reference expressed as a code.

Datatype: "PersonIdentificationType7Code" on page 2618

CodeName	Name	Definition
ATIN	AdoptionTaxpayerIdentificationNumber	Temporary tax processing number (used in the domestic adoption of a child).
GTIN	GenericTaxIdentificationNumber	Generic tax identification number.
ITIN	IndividualTaxpayerIdentificationNumber	Tax processing number.

39.4.15.4.1.15.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of tax reference expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

39.4.15.4.1.15.2 Reference <Ref>

Presence: [1..1]

Definition: Pension scheme tax reference issued to the pension plan by a central organisation.

Datatype: "Max35Text" on page 2683

39.4.15.4.1.16 InvestmentsToFollowValue <InvstmntsToFlwVal>

Presence: [0..*]

Definition: Value of the investments to follow.

InvestmentsToFollowValue <InvstmntsToFlwVal> contains the following elements (see "[DateAndAmount2](#)" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C3, C12	1232

39.4.15.4.1.17 InnovativeFinance <Innvtfnc>

Presence: [0..*]

Definition: Information about an innovative finance product.

InnovativeFinance <InnvFinc> contains the following **InnovativeFinance1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		684
	Information <Inf>	[1..1]	Text		684

39.4.15.4.1.17.1 Type <Tp>

Presence: [1..1]

Definition: Type of innovative finance product.

Type <Tp> contains the following elements (see ["GenericIdentification36"](#) on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

39.4.15.4.1.17.2 Information <Inf>

Presence: [1..1]

Definition: Details of an innovative finance product.

Datatype: ["Max350Text"](#) on page 2683

39.4.15.4.1.18 LowestInvestedAmountCurrentYear <LwstInvstdAmtCurYr>

Presence: [0..1]

Definition: Lowest investment amount in the current year, used to calculate a tax deduction amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyAnd13DecimalAmount"](#) on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

39.4.15.4.1.19 TaxCalculationBase <TaxClctnBase>

Presence: [0..1]

Definition: Amount of money from which the tax deduction is calculated.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyAnd13DecimalAmount"](#) on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

39.4.15.4.1.20 UnusedTaxDeduction <UusdTaxDdctn>

Presence: [0..1]

Definition: Unused tax deduction amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

39.4.15.4.1.21 CurrentInvestmentAmount <CurInvstmtAmt>

Presence: [0..1]

Definition: Amount of money invested.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

39.4.15.4.1.22 EstimatedValue <EstmtdVal>

Presence: [0..1]

Definition: Estimated value of the assets of the tax efficient product to be transferred.

EstimatedValue <EstmtdVal> contains the following elements (see "DateAndAmount2" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C3, C12	1232

39.4.15.4.1.23 AdditionalInformation <AddtlInf>*Presence:* [0..*]*Definition:* Additional information about the tax efficient product.**AdditionalInformation <AddtlInf>** contains the following elements (see "[AdditionalInformation15](#)" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

39.4.15.4.2 GeneralInvestment <GnlInvstmt>*Presence:* [1..1]*Definition:* Portfolio is a general investment.**GeneralInvestment <GnlInvstmt>** contains the following elements (see "[GeneralInvestment2](#)" on page 1411 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]	±		1411
	OwnershipType <OwnrshTp>	[0..1]	CodeSet		1411
	CurrentInvestmentAmount <CurInvstmtAmt>	[0..1]	Amount	C2	1413
	EstimatedValue <EstmtdVal>	[0..1]	±		1413
	AdditionalInformation <AddtlInf>	[0..*]	±		1413

39.4.15.4.3 Pension <Pnsn>*Presence:* [1..1]*Definition:* Portfolio is a pension policy, plan or scheme.

Impacted by: [C9 "BlockTransferRetirementAgeProtectionRule"](#), [C10 "BlockTransferTaxFreeCashProtectionRule"](#), [C30 "PensionOrderTypeGuideline"](#), [C31 "RetirementAgeRule"](#), [C32 "RingFencedDrawdownAssets1Rule"](#), [C33 "RingFencedDrawdownAssets2Rule"](#), [C37 "TaxFreeCashAmountRule"](#), [C38 "TaxFreeCashAndValueRule"](#), [C41 "TransferScopeTrancheIdentification1Rule"](#)

Pension <Pnsn> contains the following **Pension5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]			689
	Identifier <Idr>	[1..1]	Text		690
	SubIdentifier <SubIdr>	[0..1]	Text		690
	AdditionalInformation <AddtlInf>	[0..1]	±		690
	Type <Tp>	[1..1]			690
{Or	Code <Cd>	[1..1]	CodeSet		690
Or}	Proprietary <Prtry>	[1..1]	±		691
	TransferScope <TrfScp>	[0..1]	±		692
	TaxReference <TaxRef>	[0..*]	±		692
	DrawdownTrancheIdentification <DrwdwnTrchId>	[0..1]	Text		692
	DrawdownStatus <DrwdwnSts>	[0..1]	±		692
	DrawdownType <DrwdwnTp>	[0..1]	±		693
	NumberOfDrawdownTranches <NbOfDrwdwnTrnchs>	[0..1]	Quantity		693
	EstimatedValue <EstmtdVal>	[0..1]	±		693
	BlockTransfer <BlckTrf>	[0..1]	Indicator		693
	BlockTransferReference <BlckTrfRef>	[0..1]	Text		694
	TaxFreeCashProtection <TaxFreeCshPrtcn>	[0..1]	Indicator		694
	TaxFreeCashAmount <TaxFreeCshAmt>	[0..1]	±		694
	ValueOfPensionPolicyOrPlanOrScheme <ValOfPnsnPlcyOrPlanOrSchme>	[0..1]	±		694
	RetirementAgeProtection <RtrmntAgePrtcn>	[0..1]	Indicator		694
	RetirementAge <RtrmntAge>	[0..1]	Quantity		695
	Sharing <Shrg>	[0..1]	Indicator		695
	LumpSumType <LumpSumTp>	[0..*]	±		695
	PensionOrder <PnsnOrdr>	[0..*]	±		695
	RingFencedDrawdownAssets <RingFncdDrwdwnAssts>	[0..1]	Indicator		695
	MoneyPurchaseAnnualAllowance <MnyPurchsAnlAllwnc>	[0..1]	±		696
	SafeguardBenefit <SfgrdBnft>	[0..1]	Indicator		696
	NonSafeguardedGuaranteedBenefits <NonSfgrddGrntdBnfts>	[0..1]	Indicator		696
	LifetimeAllowanceProtection <LftmAllwncPrtcn>	[0..1]	Indicator		696

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClientLifetimeAllowanceProtection <CltLftmAllwncPrctn>	[0..1]	Indicator		696
	BenefitCrystallisationEventOccurred <BnftCrstllstnEvtOcrd>	[0..1]	Indicator		697
	NonWrapperTransfer <NonWrpprTrf>	[0..1]	Indicator		697
	AdditionalInformation <AddtlInf>	[0..*]	±		697

Constraints

- **BlockTransferRetirementAgeProtectionRule**

If BlockTransfer is "false" or "0" (No), then RetirementAgeProtection is not allowed.

If BlockTransfer is "true" or "1" (Yes), then RetirementAgeProtection may be present.

On Condition

/BlockTransfer is equal to value 'false'

Or /BlockTransfer is equal to value '0'

Following Must be True

/RetirementAgeProtection Must be absent

- **BlockTransferTaxFreeCashProtectionRule**

If BlockTransfer is "false" or "0" (No), then TaxFreeCashProtection is not allowed.

If BlockTransfer is "true" or "1" (Yes), then TaxFreeCashProtection may be present.

On Condition

/BlockTransfer is equal to value 'false'

Or /BlockTransfer is equal to value '0'

Following Must be True

/TaxFreeCashProtection Must be absent

- **PensionOrderTypeGuideline**

A pension policy, plan or scheme cannot have both an earmark and a sharing order.

If PensionOrder/Type/Code/SHAR is present, then PensionOrder/Type/Code/EARM is not allowed.

If PensionOrder/Type/Code/EARM is present, then PensionOrder/Type/Code/SHAR is not allowed.

- **RetirementAgeRule**

If RetirementAgeProtection is "false" or "0" (No), then RetirementAge is not allowed.

If RetirementAgeProtection is "true" or "1" (Yes), then RetirementAge may be present.

On Condition

/RetirementAgeProtection is equal to value 'false'

Or /RetirementAgeProtection is equal to value '0'

Following Must be True

/RetirementAge Must be absent

- **RingFencedDrawdownAssets1Rule**

If DrawdownStatus/Code/NONE (None) is present, then RingFencedDrawdownAssets is not allowed.

On Condition

/DrawdownStatus/Code is equal to value 'None'

Following Must be True
 /RingFencedDrawdownAssets Must be absent

- **RingFencedDrawdownAssets2Rule**

If DrawdownStatus/Code/PART (Partial) or FULL (Full) is present, then RingFencedDrawdownAssets must be present.

On Condition
 /DrawdownStatus/Code is equal to value 'Partial'
 Or /DrawdownStatus/Code is equal to value 'Full'
 Following Must be True
 /RingFencedDrawdownAssets Must be present

- **TaxFreeCashAmountRule**

If TaxFreeCashProtection is "false" or "0" (No), then TaxFreeCashAmount is not allowed.

If TaxFreeCashProtection is "true" or "1" (Yes), then TaxFreeCashAmount may be present.

On Condition
 /TaxFreeCashProtection is equal to value 'false'
 Or /TaxFreeCashProtection is equal to value '0'
 Following Must be True
 /TaxFreeCashAmount Must be absent

- **TaxFreeCashAndValueRule**

If TaxFreeCashProtection is "true" or "1" (Yes), then TaxFreeCashAmount and ValueOfPensionPolicyOrPlanOrScheme must be present.

If TaxFreeCashProtection is "false" or "0" (Yes), then TaxFreeCashAmount and ValueOfPensionPolicyOrPlanOrScheme may be present.

On Condition
 /TaxFreeCashProtection is equal to value 'true'
 Or /TaxFreeCashProtection is equal to value '1'
 Following Must be True
 /TaxFreeCashAmount Must be present
 And /ValueOfPensionPolicyOrPlanOrScheme Must be present

- **TransferScopeTrancheIdentification1Rule**

If TransferScope/Code/SDDT (DrawdownTranche) is not present, then DrawdownTrancheIdentification is not allowed.

If TransferScope/Code/SDDT (DrawdownTranche) is present, then DrawdownTrancheIdentification may be present.

On Condition
 /TransferScope/Code is different from value 'DrawdownTranche'
 Following Must be True
 /DrawdownTrancheIdentification Must be absent

39.4.15.4.3.1 Identification <Id>

Presence: [0..1]

Definition: Identification of the pension policy, plan or scheme.

Identification <Id> contains the following **PensionPolicy1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identifier <Idr>	[1..1]	Text		690
	SubIdentifier <SubIdr>	[0..1]	Text		690
	AdditionalInformation <AddtlInf>	[0..1]	±		690

39.4.15.4.3.1.1 Identifier <Idr>

Presence: [1..1]

Definition: Reference number of the pension policy, plan or scheme.

Datatype: "Max35Text" on page 2683

39.4.15.4.3.1.2 SubIdentifier <SubIdr>

Presence: [0..1]

Definition: Sub-identification of the pension policy, plan or scheme, such as a member reference.

Datatype: "Max35Text" on page 2683

39.4.15.4.3.1.3 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the identification of the pension policy, plan or scheme.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

39.4.15.4.3.2 Type <Tp>

Presence: [1..1]

Definition: Type of pension policy, plan or scheme.

Type <Tp> contains one of the following **PensionSchemeType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		690
Or}	Proprietary <Prtry>	[1..1]	±		691

39.4.15.4.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of pension policy, plan or scheme expressed as a code.

Datatype: "PensionSchemeType3Code" on page 2617

CodeName	Name	Definition
AAVC	AdditionalVoluntaryContribution	Pension plan is an additional voluntary contribution plan.
DBEN	DefinedBenefit	Pension plan is a defined benefit plan.
EXPP	ExecutivePensionPlan	Pension plan is an executive pension plan.
FAVC	FreeStandingAdditionalVoluntaryContribution	Pension plan is a free standing additional voluntary contribution plan.
GPPS	GroupPersonalPension	Pension is a group personal pension.
SIPG	GroupSelfInvestedPension	Pension plan is a group self-invested pension plan.
STKG	GroupStakeholder	Pension plan is a group stakeholder pension plan.
IPST	IndividualPensionWithTaxBenefit	Pension is an individual pension savings plan with a tax benefit. This is known as an Individual Pension Schema (IPS) in some markets.
STKI	IndividualStakeholder	Pension plan is an individual stakeholder pension.
OTPM	MandatoryOccupationalPension	Pension is a mandatory occupational pension. This is known as an Obligatory Occupation Pension (OTP) in some markets.
OCDC	OccupationalDefinedContribution	Pension plan is an occupational defined contribution plan.
PPNS	PersonalPension	Pension is a personal pension plan.
EPKA	PersonalPensionAccount	Pension is a personal pension account. This is known as Employee Pension Kapital (EPK) in some markets.
ITPO	PrivateOccupationalPension	Pension is a private occupational pension. This is known as an industrial and trade supplementary pension (ITP) in some markets.
REAN	RetirementAnnuity	Pension plan is a retirement annuity.
SC32	Section32	Pension type covered by section 32.
S32A	Section32A	Pension type covered by section 32A.
SIPP	SelfInvestedPersonalPension	Pension is a self-invested personal pension plan.
SSAS	SmallSelfAdministeredScheme	Pension is a small self-administered pension scheme.
NWRP	NonWrapperPension	Indicates that the party does not operate the pension wrapper.

39.4.15.4.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of pension policy, plan or scheme expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

39.4.15.4.3.3 TransferScope <TrfScp>

Presence: [0..1]

Definition: Scope of the pension policy, plan or scheme transfer.

TransferScope <TrfScp> contains one of the following elements (see "[PensionTransferScope1Choice](#)" on page 1697 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697

39.4.15.4.3.4 TaxReference <TaxRef>

Presence: [0..*]

Definition: Tax reference issued to the pension policy, plan or scheme by a central organisation.

TaxReference <TaxRef> contains the following elements (see "[TaxReference1](#)" on page 2413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxType <TaxTp>	[0..1]			2413
{Or	Code <Cd>	[1..1]	CodeSet		2414
Or}	Proprietary <Prtry>	[1..1]	±		2414
	Reference <Ref>	[1..1]	Text		2414
	HolderType <HldrTp>	[0..1]			2414
{Or	Code <Cd>	[1..1]	CodeSet		2414
Or}	Proprietary <Prtry>	[1..1]	±		2415

39.4.15.4.3.5 DrawdownTrancheIdentification <DrwdwnTrchId>

Presence: [0..1]

Definition: Reference of the drawdown.

Datatype: "[Max35Text](#)" on page 2683

39.4.15.4.3.6 DrawdownStatus <DrwdwnSts>

Presence: [0..1]

Definition: Drawdown status of the pension.

DrawdownStatus <DrwdwnSts> contains one of the following elements (see ["DrawdownStatus1Choice"](#) on page 2327 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2327
Or}	Proprietary <Prtry>	[1..1]	±		2327

39.4.15.4.3.7 DrawdownType <DrwdwnTp>

Presence: [0..1]

Definition: Type of drawdown tranche.

DrawdownType <DrwdwnTp> contains one of the following elements (see ["DrawdownType2Choice"](#) on page 1700 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1700

39.4.15.4.3.8 NumberOfDrawdownTranches <NbOfDrwdwnTrnchs>

Presence: [0..1]

Definition: Number of drawdown tranches.

Datatype: ["Number"](#) on page 2680

39.4.15.4.3.9 EstimatedValue <EstmtdVal>

Presence: [0..1]

Definition: Estimated value of the pension policy, plan or scheme.

EstimatedValue <EstmtdVal> contains the following elements (see ["DateAndAmount2"](#) on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C3, C12	1232

39.4.15.4.3.10 BlockTransfer <BlckTrf>

Presence: [0..1]

Definition: Indicates whether the transfer forms part of a block transfer.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

39.4.15.4.3.11 BlockTransferReference <BlckTrfRef>*Presence:* [0..1]*Definition:* When the transfer is part of a block transfer, reference to link several items.*Datatype:* "Max35Text" on page 2683**39.4.15.4.3.12 TaxFreeCashProtection <TaxFreeCshPrctn>***Presence:* [0..1]*Definition:* Indicates whether the tax-free cash is protected when the pension policy, plan or scheme is transferred.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

39.4.15.4.3.13 TaxFreeCashAmount <TaxFreeCshAmt>*Presence:* [0..1]*Definition:* Amount of cash that is tax free.**TaxFreeCashAmount <TaxFreeCshAmt>** contains the following elements (see "DateAndAmount2" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C3, C12	1232

39.4.15.4.3.14 ValueOfPensionPolicyOrPlanOrScheme <ValOfPnsnPlcyOrPlanOrSchme>*Presence:* [0..1]*Definition:* Value of the pension policy, plan or scheme.**ValueOfPensionPolicyOrPlanOrScheme <ValOfPnsnPlcyOrPlanOrSchme>** contains the following elements (see "DateAndAmount2" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C3, C12	1232

39.4.15.4.3.15 RetirementAgeProtection <RtrmntAgePrctn>*Presence:* [0..1]*Definition:* Indicates whether the pension policy, plan or scheme has retirement age protection.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

39.4.15.4.3.16 RetirementAge <RtrmntAge>*Presence:* [0..1]*Definition:* Age at which the pension policy, plan or scheme holder retires.*Datatype:* "DecimalNumber" on page 2680**39.4.15.4.3.17 Sharing <Shrg>***Presence:* [0..1]*Definition:* Indicates whether the pension benefits are shared.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

39.4.15.4.3.18 LumpSumType <LumpSumTp>*Presence:* [0..*]*Definition:* Type of lump sum paid to a member of the pension policy, plan or scheme.**LumpSumType <LumpSumTp>** contains one of the following elements (see "LumpSumType1Choice" on page 1699 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1699
Or}	Proprietary <Prtry>	[1..1]	±		1700

39.4.15.4.3.19 PensionOrder <PnsnOrdr>*Presence:* [0..*]*Definition:* Order attached to the pension policy, plan or scheme.**PensionOrder <PnsnOrdr>** contains the following elements (see "PensionOrder1" on page 1698 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1698
{Or	Code <Cd>	[1..1]	CodeSet		1698
Or}	Proprietary <Prtry>	[1..1]	±		1699
	AdditionalInformation <AddtlInf>	[0..*]	±		1699

39.4.15.4.3.20 RingFencedDrawdownAssets <RingFncdDrwdwnAssts>*Presence:* [0..1]*Definition:* Indicates whether assets held within the pension are ring-fenced into specific tranches.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

39.4.15.4.3.21 MoneyPurchaseAnnualAllowance <MnyPurchsAnlAllwnc>

Presence: [0..1]

Definition: Money Purchase Annual Allowance (MPAA) details.

MoneyPurchaseAnnualAllowance <MnyPurchsAnlAllwnc> contains the following elements (see "[MoneyPurchaseAnnualAllowance1](#)" on page 1697 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Triggered <Trggrd>	[1..1]	Indicator		1698
	TriggeredDate <TrggrdDt>	[0..1]	Date		1698

39.4.15.4.3.22 SafeguardBenefit <SfgrdBnft>

Presence: [0..1]

Definition: Indicates whether the pension policy, plan or scheme is covered by a safeguard benefit.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

39.4.15.4.3.23 NonSafeguardedGuaranteedBenefits <NonSfgrddGrntdBnfts>

Presence: [0..1]

Definition: Indicates whether the pension policy, plan or scheme has non-safeguarded guaranteed benefits.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

39.4.15.4.3.24 LifetimeAllowanceProtection <LftmAllwncPrtn>

Presence: [0..1]

Definition: Indicates whether the pension policy, plan or scheme has lifetime allowance protection.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

39.4.15.4.3.25 ClientLifetimeAllowanceProtection <ClntLftmAllwncPrtn>

Presence: [0..1]

Definition: Indicates whether the client has any lifetime allowance protection.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

39.4.15.4.3.26 BenefitCrystallisationEventOccurred <BnftCrstllstnEvtOcrd>

Presence: [0..1]

Definition: Indicates whether a benefit crystallisation event (BCE) has occurred. This includes 'age 75 checks'.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

39.4.15.4.3.27 NonWrapperTransfer <NonWrpprTrf>

Presence: [0..1]

Definition: Indicates that the transfer is only in relation to underlying assets, and that the wrapper transfer will occur separately.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

39.4.15.4.3.28 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the pension policy, plan or scheme.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

39.4.15.5 PartialDiscovery <PrtlDscvry>

Presence: [0..1]

Definition: Indicates that not all the assets in the holding/portfolio are specified and that some other kind of other communication is required.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

39.4.15.6 ResidualCash <RsdICsh>

Presence: [0..*]

Definition: Specifies whether there is cash in the account awaiting investment and the currency.

ResidualCash <RsdICsh> contains the following elements (see "[ResidualCash1](#)" on page 1801 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ResidualCashIndicator <RsdICshInd>	[1..1]	Indicator		1801
	Currency <Ccy>	[0..1]	CodeSet	C2	1801

39.4.15.7 TaxDate <TaxDt>

Presence: [0..1]

Definition: Tax date applicable to all the assets.

Datatype: "[ISODate](#)" on page 2668

39.4.15.8 FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>

Presence: [0..*]

Definition: Asset to be transferred.

Impacted by: [C7 "AssetQuantity1Guideline"](#), [C8 "AssetQuantity2Guideline"](#)

FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf> contains the following **FinancialInstrument99** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LineIdentification <LineId>	[0..1]	Text		700
	Instrument <Instrm>	[1..1]			700
{Or	Security <Scty>	[1..1]	±	C20	700
Or	CashAsset <CshAsst>	[1..1]	±		701
Or}	OtherAsset <OthrAsst>	[1..1]	±		701
	Quantity <Qty>	[0..1]	±		701
	UnitsDetails <UnitsDtls>	[0..*]	±		702
	ClientReference <ClntRef>	[0..1]	±		703
	CounterpartyReference <CtrPtyRef>	[0..1]	±		704
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		704
	AverageAcquisitionPrice <AvrgAcqstnPric>	[0..1]	Amount	C2, C16	704
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C2	705
	TotalBookValue <TtlBookVal>	[0..1]	±		705
	LatestValuation <LatstValtn>	[0..1]	±		705
	OriginalCost <OrgnlCost>	[0..1]	Amount	C1	705
	TransfereeAccount <TrfeeAcct>	[0..1]	±		706
	Transferor <Trfr>	[0..*]	±		706
	IntermediaryInformation <IntrmyInf>	[0..*]	±		706
	CrystallisationDetails <CrstllstnDtls>	[0..*]	±		707
	TaxValuationPoint <TaxValtnPt>	[0..1]	±		707
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..*]	±		708
	AssetsHeldInOwnName <AsstsHeldInOwnNm>	[0..1]	Indicator		708
	TransferResultsInChangeOfBeneficialOwner <TrfRsultsInChngOfBnfclOwnr>	[0..1]	Indicator		708
	AdditionalInformation <AddtlInf>	[0..*]	±		708

Constraints

- **AssetQuantity1Guideline**

If Instrument/Security is present, then Quantity/Unit or Quantity/FaceAmount or Quantity/AmortisedValue must be present.

- **AssetQuantity2Guideline**

If Instrument/CashAsset is present, then Quantity/CashAmount must be present.

39.4.15.8.1 LineIdentification <LineId>*Presence:* [0..1]*Definition:* Identification of the line of holding in the portfolio.*Datatype:* "Max35Text" on page 2683**39.4.15.8.2 Instrument <Instrm>***Presence:* [1..1]*Definition:* Identification of the asset.**Instrument <Instrm>** contains one of the following **FinancialInstrument62Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Security <Scty>	[1..1]	±	C20	700
Or	CashAsset <CshAsst>	[1..1]	±		701
Or}	OtherAsset <OthrAsst>	[1..1]	±		701

39.4.15.8.2.1 Security <Scty>*Presence:* [1..1]*Definition:* Identification of the security.*Impacted by:* C20 "IdentificationGuideline"**Security <Scty>** contains the following elements (see "FinancialInstrumentIdentification3" on page 1453 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1454
	Name <Nm>	[0..1]	Text		1455
	ShortName <ShrtNm>	[0..1]	Text		1455
	ClassificationType <ClssfctnTp>	[0..1]	±		1455
	RestrictedIndicator <RstrctdInd>	[0..1]	Indicator		1456
	AlternateSecurity <AltrnScty>	[0..*]		C21	1456
	Identification <Id>	[1..1]	±		1456
	Name <Nm>	[0..1]	Text		1457
	ShortName <ShrtNm>	[0..1]	Text		1457
	ClassificationType <ClssfctnTp>	[0..1]	±		1457
	AlternateSecurityType <AltrnSctyTp>	[0..1]	±		1458

Constraints

- **IdentificationGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

39.4.15.8.2.2 CashAsset <CshAsst>

Presence: [1..1]

Definition: Identification of the cash asset.

CashAsset <CshAsst> contains the following elements (see "CashAsset2" on page 1452 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAssetType <CshAsstTp>	[1..1]			1452
{Or	Code <Cd>	[1..1]	CodeSet		1452
Or}	Proprietary <Prtry>	[1..1]	±		1453
	HoldingCurrency <HldgCcy>	[1..1]	CodeSet	C2	1453
	AdditionalInformation <AddtlInf>	[0..1]	±		1453

39.4.15.8.2.3 OtherAsset <OthrAsst>

Presence: [1..1]

Definition: Identification of another kind of asset in the holding that is not a security or cash.

OtherAsset <OthrAsst> contains the following elements (see "OtherAsset2" on page 1458 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherAssetType <OthrAsstTp>	[1..1]			1458
{Or	Code <Cd>	[1..1]	CodeSet		1459
Or}	Proprietary <Prtry>	[1..1]	±		1459
	Identification <Id>	[1..1]	Text		1459
	Name <Nm>	[0..1]	Text		1459
	Description <Desc>	[0..1]	Text		1460
	OtherIdentification <OthrId>	[0..5]	Text		1460
	AdditionalInformation <AddtlInf>	[0..*]	±		1460

39.4.15.8.3 Quantity <Qty>

Presence: [0..1]

Definition: Specifies the quantity of assets to be transferred in units or in a percentage rate.

Quantity <Qty> contains the following elements (see "Quantity47" on page 2262 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[0..1]	Quantity		2262
	PercentageRate <PctgRate>	[0..1]	Rate		2263
	FaceAmount <FaceAmt>	[0..1]	Amount		2263
	AmortisedValue <AmtsdVal>	[0..1]	Amount		2263
	CashAmount <CshAmt>	[0..1]	Amount	C2	2263
	OtherAsset <OthrAsst>	[0..1]	Text		2263

39.4.15.8.4 UnitsDetails <UnitsDtls>

Presence: [0..*]

Definition: Breakdown of units.

UnitsDetails <UnitsDtls> contains the following elements (see "Unit11" on page 1471 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1472
	OrderDate <OrdrDt>	[0..1]	Date		1472
	AcquisitionDate <AcqstnDt>	[0..1]	Date		1472
	CertificateNumber <CertNb>	[0..*]	Text		1473
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1473
	Reference <Ref>	[0..1]	Text		1473
	PriceDetails <PricDtls>	[0..1]	±		1473
	TransactionOverhead <TxOvrhd>	[0..1]		C17, C21	1474
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1474
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1474
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1475
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1475
	IndividualFee <IndvFee>	[0..*]	±	C6	1475
	IndividualTax <IndvTax>	[0..*]	±		1476
	OtherAmount <OthrAmt>	[0..*]			1477
	Type <Tp>	[1..1]			1477
{Or	Code <Cd>	[1..1]	CodeSet		1478
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1478
	Amount <Amt>	[1..1]	Amount	C2, C10	1478

39.4.15.8.5 ClientReference <CIntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <CIntRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

39.4.15.8.6 CounterpartyReference <CtrPtyRef>*Presence:* [0..1]*Definition:* Unambiguous identification of the transfer allocated by the counterparty.**CounterpartyReference <CtrPtyRef>** contains the following elements (see ["AdditionalReference10"](#) on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

39.4.15.8.7 BusinessFlowType <BizFlowTp>*Presence:* [0..1]*Definition:* Business process in which the actors are involved. This will facilitate the right business process to be triggered, according to the market business model, which may require matching instructions (double leg process) or not (single leg process).*Datatype:* "BusinessFlowType1Code" on page 2556

CodeName	Name	Definition
SLDP	SingleLegDeliveringParty	Single leg process initiated by the delivering party.
SLRP	SingleLegReceivingParty	Single leg process initiated by the receiving party.
DLPR	DoubleLegProcess	Double leg process.

39.4.15.8.8 AverageAcquisitionPrice <AvrgAcqstnPric>*Presence:* [0..1]*Definition:* Average cost per share of the security, including all fees.*Impacted by:* [C2 "ActiveOrHistoricCurrency"](#), [C16 "CurrencyAmount"](#)*Datatype:* ["ActiveOrHistoricCurrencyAndAmount"](#) on page 2546**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

39.4.15.8.9 TransferCurrency <TrfCcy>

Presence: [0..1]

Definition: Currency to be used to transfer the holdings. Some transfer agents register holdings grouped by currency in addition to using the ISIN for multi-currency fund shares.

Impacted by: C2 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

39.4.15.8.10 TotalBookValue <TtlBookVal>

Presence: [0..1]

Definition: Net asset on the balance sheet, the total portfolio value minus or plus the unrealised gain or loss.

TotalBookValue <TtlBookVal> contains the following elements (see "DateAndAmount2" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C3, C12	1232

39.4.15.8.11 LatestValuation <LatstValtn>

Presence: [0..1]

Definition: Date and market value of the asset.

LatestValuation <LatstValtn> contains the following elements (see "DateAndAmount2" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C3, C12	1232

39.4.15.8.12 OriginalCost <OrgnlCost>

Presence: [0..1]

Definition: Original amount paid for the asset at the point of purchase. May also be known as the book cost.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

39.4.15.8.13 TransfereeAccount <TrfeeAcct>

Presence: [0..1]

Definition: Account held in the name of the party that is not the name of the beneficial owner of the shares.

(Party and account into which the transferee receives the financial instrument from the transferor.)

TransfereeAccount <TrfeeAcct> contains the following elements (see "[Account28](#)" on page 1552 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[0..1]	±		1552
	AccountIdentification <Acctld>	[0..1]	Text		1553
	Designation <Dsgnt>	[0..1]	Text		1553
	AccountName <AcctNm>	[0..1]	Text		1553
	Servicer <Svcr>	[0..1]	±		1553
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1554

39.4.15.8.14 Transferor <Trfr>

Presence: [0..*]

Definition: Party and account from which the transferor delivers the financial instrument to the transferee.

Transferor <Trfr> contains the following elements (see "[Account28](#)" on page 1552 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[0..1]	±		1552
	AccountIdentification <Acctld>	[0..1]	Text		1553
	Designation <Dsgnt>	[0..1]	Text		1553
	AccountName <AcctNm>	[0..1]	Text		1553
	Servicer <Svcr>	[0..1]	±		1553
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1554

39.4.15.8.15 IntermediaryInformation <IntrmyInf>

Presence: [0..*]

Definition: Identification of a related party or intermediary.

IntermediaryInformation <IntrmyInf> contains the following elements (see "Intermediary43" on page 2012 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2012
	Account <Acct>	[0..1]			2013
	Identification <Id>	[0..1]	Text		2013
	AccountServicer <AcctSvcr>	[1..1]	±		2013
	Role <Role>	[0..1]	±		2013
	ContactPerson <CtctPrsn>	[0..1]	±		2014

39.4.15.8.16 CrystallisationDetails <CrstllstnDtls>

Presence: [0..*]

Definition: Number of units that have been received (crystallised) or not yet received (uncrystallised) from the fund. This is typically relevant to a pension fund.

CrystallisationDetails <CrstllstnDtls> contains the following elements (see "Crystallisation2" on page 1693 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TrancheIdentification <TrchId>	[1..1]	Text		1694
	CrystallisedUnitsNumber <CrstllsdUnitsNb>	[0..1]	Quantity		1694
	UncrystallisedUnitsNumber <UcrstllsdUnitsNb>	[0..1]	Quantity		1694
	CrystallisedAmount <CrstllsdAmt>	[0..1]	Amount	C2	1694
	UncrystallisedAmount <UcrstllsdAmt>	[0..1]	Amount	C2	1694
	AdditionalInformation <AddtlInf>	[0..*]	±		1694

39.4.15.8.17 TaxValuationPoint <TaxValtnPt>

Presence: [0..1]

Definition: Date or tax quarter used for the calculation of tax on the asset.

TaxValuationPoint <TaxValtnPt> contains the following elements (see "Tax36" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateOrPeriod <DtOrPrd>	[1..1]			1376
{Or	Date <Dt>	[1..1]	Date		1376
Or}	Period <Prd>	[1..1]	Text		1376
	AdditionalInformation <AddtlInf>	[0..*]	±		1376

39.4.15.8.18 SettlementPartiesDetails <SttlmPtiesDtls>

Presence: [0..*]

Definition: Chain of parties involved in the settlement of a transaction.

SettlementPartiesDetails <SttlmPtiesDtls> contains the following elements (see "FundSettlementParameters17" on page 2480 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2480
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2481
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2481
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2481
	ReceivingSideDetails <RcvgSdDtls>	[0..1]	±	C7, C11, C19, C20, C21, C22, C26	2482
	DeliveringSideDetails <DlvrgSdDtls>	[0..1]	±	C7, C11, C19, C20, C21, C22, C26	2483

39.4.15.8.19 AssetsHeldInOwnName <AsstsHeldInOwnNm>

Presence: [0..1]

Definition: Indicates whether the assets are held in an individual's own name.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

39.4.15.8.20 TransferResultsInChangeOfBeneficialOwner <TrfRsItsInChngOfBnfclOwnr>

Presence: [0..1]

Definition: Indicates whether the transfer results in a change of beneficial owner.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

39.4.15.8.21 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the financial instrument.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

39.4.15.9 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the product transfer.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

39.4.16 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "[MarketPracticeVersion1](#)" on page 1862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1862
	Date <Dt>	[0..1]	YearMonth		1862
	Number <Nb>	[0..1]	Text		1862

39.4.17 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: [C19 "ExtensionRule"](#)

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

40 sese.019.001.08 AccountHoldingInformationRequestV08

40.1 MessageDefinition Functionality

Scope

The AccountHoldingInformationRequest message is sent by an instructing party, for example, a (new) plan manager (transferee), to the executing party, for example, a (old) plan manager (transferor), on behalf of the initiating party, for example, an investor (client), to request information about financial instruments held on behalf of the client.

Usage

The AccountHoldingInformationRequest message is used to request information about assets held on behalf of the client for which it intends to instruct a portfolio transfer at a later time.

Outline

The AccountHoldingInformationRequestV08 MessageDefinition is composed of 17 MessageBuildingBlocks:

A. MessageReference

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. BusinessFlowDirectionType

Business flow direction (assets to be delivered or received).

F. PrimaryIndividualInvestor

Information identifying the primary individual investor, for example, name, address, social security number and date of birth.

G. SecondaryIndividualInvestor

Information identifying the secondary individual investor, for example, name, address, social security number and date of birth.

H. OtherIndividualInvestor

Information identifying the other individual investors, for example, name, address, social security number and date of birth.

I. PrimaryCorporateInvestor

Information identifying the primary corporate investor, for example, name and address.

J. SecondaryCorporateInvestor

Information identifying the secondary corporate investor, for example, name and address.

K. OtherCorporateInvestor

Information identifying the other corporate investors, for example, name and address.

L. TransferorAccount

Identification of the account owned by the investor at the old plan manager (account servicer).

M. NomineeAccount

Account held in the name of a party that is not the name of the beneficial owner of the shares.

N. Transferee

Identification of the institution to which the financial instrument is to be transferred. This may also be known as the new plan manager.

O. ProductTransfer

Information about the portfolio and assets.

P. MarketPracticeVersion

Identifies the market practice to which the message conforms.

Q. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

40.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AcctHldgInfReq>	[1..1]		C7, C8, C14, C15, C16	
	MessageReference <MsgRef>	[1..1]	±		717
	PoolReference <PoolRef>	[0..1]	±		717
	PreviousReference <PrvsRef>	[0..1]	±		718
	RelatedReference <RltdRef>	[0..1]	±		718
	BusinessFlowDirectionType <BizFlowDrctnTp>	[0..1]	CodeSet		718
	PrimaryIndividualInvestor <PmryIndvInvstr>	[0..1]	±	C22	719
	SecondaryIndividualInvestor <ScndryIndvInvstr>	[0..1]	±	C22	719
	OtherIndividualInvestor <OthrIndvInvstr>	[0..*]	±	C22	720
	PrimaryCorporateInvestor <PmryCorplInvstr>	[0..1]	±		721
	SecondaryCorporateInvestor <ScndryCorplInvstr>	[0..1]	±		721
	OtherCorporateInvestor <OthrCorplInvstr>	[0..*]	±		722
	TransferorAccount <TrfrAcct>	[1..1]	±		722
	NomineeAccount <NmneeAcct>	[0..1]	±		723
	Transferee <Trfee>	[1..1]	±		723
	ProductTransfer <PdctTrf>	[1..*]			723
	MasterReference <MstrRef>	[0..1]	Text		726
	TransferIdentification <Trfld>	[1..1]	Text		726
	Portfolio <Prttl>	[0..1]			726
{Or	TaxEfficientProduct <TaxEffcntPdct>	[1..1]	±		727
Or	GeneralInvestment <GnlInvstmt>	[1..1]	±		727
Or}	Pension <Pnsn>	[1..1]			728
	Identification <Id>	[0..1]			728
	Identifier <Idr>	[1..1]	Text		729
	SubIdentifier <Subldr>	[0..1]	Text		729
	AdditionalInformation <AddtlInf>	[0..1]	±		729
	Type <Tp>	[0..1]			729
{Or	Code <Cd>	[1..1]	CodeSet		729

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		730
	TransferScope <TrfScp>	[0..1]	±		731
	TaxReference <TaxRef>	[0..*]	±		731
	DrawdownTrancheIdentification <DrwdwnTrchld>	[0..1]	Text		731
	NonWrapperTransfer <NonWrpprTrf>	[0..1]	Indicator		731
	AdditionalInformation <AddtlInf>	[0..*]	±		732
	FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>	[0..*]			732
	LineIdentification <LineId>	[0..1]	Text		733
	Instrument <Instrm>	[1..1]			733
{Or	Security <Scty>	[1..1]	±	C13	733
Or	CashAsset <CshAsst>	[1..1]	±		733
Or}	OtherAsset <OthrAsst>	[1..1]	±		734
	ClientReference <ClntRef>	[0..1]	±		734
	CounterpartyReference <CtrPtyRef>	[0..1]	±		734
	TransfereeAccount <TrfeeAcct>	[0..1]	±		735
	Transferor <Trfr>	[0..1]	±		735
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		736
	AssetsHeldInOwnName <AsstsHeldInOwnNm>	[0..1]	Indicator		736
	TransferResultsInChangeOfBeneficialOwner <TrfRsltsInChngOfBnfcIOwnr>	[0..1]	Indicator		736
	AdditionalInformation <AddtlInf>	[0..*]	±		736
	AdditionalInformation <AddtlInf>	[0..*]	±		737
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		737
	Extension <Xtnsn>	[0..*]	±	C12	737

40.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditonalDetailsGuideline

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

C4 AdditonalDetailsGuideline

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C6 BookTransferGuideline

In the case of an own account internal transfer, the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

C7 Corporate1Rule

If PrimaryCorporateInvestor is not present, then SecondaryCorporateInvestor is not allowed.

If PrimaryCorporateInvestor is present, then SecondaryCorporateInvestor may be present.

On Condition

/PrimaryCorporateInvestor is absent

Following Must be True

/SecondaryCorporateInvestor Must be absent

This constraint is defined at the MessageDefinition level.

C8 Corporate2Rule

If PrimaryCorporateInvestor is not present, then OtherCorporateInvestor is not allowed.

If PrimaryCorporateInvestor is present, then OtherCorporateInvestor may be present.

On Condition

/PrimaryCorporateInvestor is absent

Following Must be True

/OtherCorporateInvestor[*] Must be absent

This constraint is defined at the MessageDefinition level.

C9 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 DepositoryGuideline

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC will be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

C12 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C13 IdentificationGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C14 Investor1Rule

If PrimaryIndividualInvestor is not present, then SecondaryIndividualInvestor is not allowed.

If PrimaryIndividualInvestor is present, then SecondaryIndividualInvestor may be present.

```
On Condition
  /PrimaryIndividualInvestor is absent
Following Must be True
  /SecondaryIndividualInvestor Must be absent
```

This constraint is defined at the MessageDefinition level.

C15 Investor2Rule

If PrimaryIndividualInvestor is not present, then OtherIndividualInvestor is not allowed.

If PrimaryIndividualInvestor is present, then OtherIndividualInvestor may be present.

```
On Condition
  /PrimaryIndividualInvestor is absent
Following Must be True
  /OtherIndividualInvestor[*] Must be absent
```

This constraint is defined at the MessageDefinition level.

C16 NomineeAccountServicerRule

NomineeAccount/Servicer must be present when and only when different from TransferorAccount/Servicer.

This constraint is defined at the MessageDefinition level.

C17 Party2PresenceRule

If Party2 is present, then Party1 must be present.

C18 Party3PresenceRule

If Party3 is present, then Party2 must be present.

C19 Party4PresenceRule

If Party4 is present, then Party3 must be present.

C20 Party5PresenceRule

If Party5 is present, then Party4 must be present.

C21 SettlementChainGuideline

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

C22 SocialSecurityNumberGuideline

The UK Insurance Number must be indicated without spaces.

C23 SpecificPreviousYearsGuideline

SpecificPreviousYears must not contain the current year.

40.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

40.4.1 MessageReference <MsgRef>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageReference <MsgRef> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

40.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "AdditionalReference11" on page 1494 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1494
	ReferenceIssuer <RefIssr>	[0..1]	±		1494
	MessageName <MsgNm>	[0..1]	Text		1495

40.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

40.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

40.4.5 BusinessFlowDirectionType <BizFlowDrctnTp>

Presence: [0..1]

Definition: Business flow direction (assets to be delivered or received).

Datatype: "BusinessFlowDirectionType1Code" on page 2555

CodeName	Name	Definition
ADLV	AssetstoBeDelivered	Assets to be delivered.
ARCV	AssetsToBeReceived	Assets to be received.

40.4.6 PrimaryIndividualInvestor <PmryIndvInvstr>

Presence: [0..1]

Definition: Information identifying the primary individual investor, for example, name, address, social security number and date of birth.

Impacted by: C22 "SocialSecurityNumberGuideline"

PrimaryIndividualInvestor <PmryIndvInvstr> contains the following elements (see "IndividualPerson8" on page 2193 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2193
	GivenName <GvnNm>	[1..1]	Text		2194
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2194
	NameSuffix <NmSfx>	[0..1]	Text		2194
	Gender <Gndr>	[0..1]	CodeSet		2194
	BirthDate <BirthDt>	[0..1]	Date		2194
	SocialSecurityNumber <ScIScItyNb>	[0..1]	Text		2194
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2195

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

40.4.7 SecondaryIndividualInvestor <ScndryIndvInvstr>

Presence: [0..1]

Definition: Information identifying the secondary individual investor, for example, name, address, social security number and date of birth.

Impacted by: C22 "SocialSecurityNumberGuideline"

SecondaryIndividualInvestor <ScndryIndvInvstr> contains the following elements (see "IndividualPerson8" on page 2193 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2193
	GivenName <GvnNm>	[1..1]	Text		2194
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2194
	NameSuffix <NmSfx>	[0..1]	Text		2194
	Gender <Gndr>	[0..1]	CodeSet		2194
	BirthDate <BirthDt>	[0..1]	Date		2194
	SocialSecurityNumber <ScIScTyNb>	[0..1]	Text		2194
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2195

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

40.4.8 OtherIndividualInvestor <OthrIndvInvstr>

Presence: [0..*]

Definition: Information identifying the other individual investors, for example, name, address, social security number and date of birth.

Impacted by: C22 "SocialSecurityNumberGuideline"

OtherIndividualInvestor <OthrIndvInvstr> contains the following elements (see "IndividualPerson8" on page 2193 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2193
	GivenName <GvnNm>	[1..1]	Text		2194
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2194
	NameSuffix <NmSfx>	[0..1]	Text		2194
	Gender <Gndr>	[0..1]	CodeSet		2194
	BirthDate <BirthDt>	[0..1]	Date		2194
	SocialSecurityNumber <ScIScTyNb>	[0..1]	Text		2194
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2195

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

40.4.9 PrimaryCorporateInvestor <PmryCorplnvstr>

Presence: [0..1]

Definition: Information identifying the primary corporate investor, for example, name and address.

PrimaryCorporateInvestor <PmryCorplnvstr> contains the following elements (see "[Organisation36](#)" on page 1893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1894
	Identification <Id>	[0..1]	±		1894
	Purpose <Purp>	[0..1]	Text		1894
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	1894
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	1895
	RegistrationDate <RegnDt>	[0..1]	Date		1895
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		1895
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		1895
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		1895

40.4.10 SecondaryCorporateInvestor <ScndryCorplnvstr>

Presence: [0..1]

Definition: Information identifying the secondary corporate investor, for example, name and address.

SecondaryCorporateInvestor <ScndryCorplnvstr> contains the following elements (see "Organisation36" on page 1893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1894
	Identification <Id>	[0..1]	±		1894
	Purpose <Purp>	[0..1]	Text		1894
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	1894
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	1895
	RegistrationDate <RegnDt>	[0..1]	Date		1895
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		1895
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		1895
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		1895

40.4.11 OtherCorporateInvestor <OthrCorplnvstr>

Presence: [0..*]

Definition: Information identifying the other corporate investors, for example, name and address.

OtherCorporateInvestor <OthrCorplnvstr> contains the following elements (see "Organisation36" on page 1893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1894
	Identification <Id>	[0..1]	±		1894
	Purpose <Purp>	[0..1]	Text		1894
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	1894
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	1895
	RegistrationDate <RegnDt>	[0..1]	Date		1895
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		1895
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		1895
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		1895

40.4.12 TransferorAccount <TrfrAcct>

Presence: [1..1]

Definition: Identification of the account owned by the investor at the old plan manager (account servicer).

TransferorAccount <TrfrAcct> contains the following elements (see "[InvestmentAccount69](#)" on page 1218 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1218
	Designation <Dsgnt>	[0..1]	Text		1218
	AccountName <AcctNm>	[0..1]	Text		1218
	Servicer <Svcr>	[0..1]	±		1219

40.4.13 NomineeAccount <NmneeAcct>

Presence: [0..1]

Definition: Account held in the name of a party that is not the name of the beneficial owner of the shares.

NomineeAccount <NmneeAcct> contains the following elements (see "[InvestmentAccount69](#)" on page 1218 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1218
	Designation <Dsgnt>	[0..1]	Text		1218
	AccountName <AcctNm>	[0..1]	Text		1218
	Servicer <Svcr>	[0..1]	±		1219

40.4.14 Transferee <Trfee>

Presence: [1..1]

Definition: Identification of the institution to which the financial instrument is to be transferred. This may also be known as the new plan manager.

Transferee <Trfee> contains the following elements (see "[PartyIdentification132](#)" on page 2004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2004
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2004
	NameAndAddress <NmAndAdr>	[0..1]	±		2005
	ProprietaryIdentification <PrtryId>	[0..1]	±		2006
	LEI <LEI>	[0..1]	IdentifierSet		2006

40.4.15 ProductTransfer <PdctTrf>

Presence: [1..*]

Definition: Information about the portfolio and assets.

ProductTransfer <PdctTrf> contains the following **PortfolioTransfer12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		726
	TransferIdentification <TrfId>	[1..1]	Text		726
	Portfolio <Prtf>	[0..1]			726
{Or	TaxEfficientProduct <TaxEffcntPdct>	[1..1]	±		727
Or	GeneralInvestment <GnlInvstmt>	[1..1]	±		727
Or}	Pension <Pnsn>	[1..1]			728
	Identification <Id>	[0..1]			728
	Identifier <Idr>	[1..1]	Text		729
	SubIdentifier <Subldr>	[0..1]	Text		729
	AdditionalInformation <AddtlInf>	[0..1]	±		729
	Type <Tp>	[0..1]			729
{Or	Code <Cd>	[1..1]	CodeSet		729
Or}	Proprietary <Prtry>	[1..1]	±		730
	TransferScope <TrfScp>	[0..1]	±		731
	TaxReference <TaxRef>	[0..*]	±		731
	DrawdownTrancheIdentification <DrwdwnTrchld>	[0..1]	Text		731
	NonWrapperTransfer <NonWrpprTrf>	[0..1]	Indicator		731
	AdditionalInformation <AddtlInf>	[0..*]	±		732
	FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>	[0..*]			732
	LineIdentification <LineId>	[0..1]	Text		733
	Instrument <Instrm>	[1..1]			733
{Or	Security <Scty>	[1..1]	±	C13	733
Or	CashAsset <CshAsst>	[1..1]	±		733
Or}	OtherAsset <OthrAsst>	[1..1]	±		734
	ClientReference <ClntRef>	[0..1]	±		734
	CounterpartyReference <CtrPtyRef>	[0..1]	±		734
	TransfereeAccount <TrfeeAcct>	[0..1]	±		735
	Transferor <Trfr>	[0..1]	±		735
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		736
	AssetsHeldInOwnName <AsstsHeldInOwnNm>	[0..1]	Indicator		736

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferResultsInChangeOfBeneficialOwner <TrfRsltInChngOfBnfclOwnr>	[0..1]	Indicator		736
	AdditionalInformation <AddtlInf>	[0..*]	±		736
	AdditionalInformation <AddtlInf>	[0..*]	±		737

40.4.15.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2683

40.4.15.2 TransferIdentification <Trfld>

Presence: [1..1]

Definition: Identification assigned to the transfer of assets.

Datatype: "Max35Text" on page 2683

40.4.15.3 Portfolio <Prftl>

Presence: [0..1]

Definition: Choice of tax efficient product, general investment or pension.

Portfolio <Prftl> contains one of the following **FundPortfolio9Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TaxEfficientProduct <TaxEffcntPdct>	[1..1]	±		727
Or	GeneralInvestment <GnlInvstmt>	[1..1]	±		727
Or}	Pension <Pnsn>	[1..1]			728
	Identification <Id>	[0..1]			728
	Identifier <Idr>	[1..1]	Text		729
	SubIdentifier <Subldr>	[0..1]	Text		729
	AdditionalInformation <AddtlInf>	[0..1]	±		729
	Type <Tp>	[0..1]			729
{Or	Code <Cd>	[1..1]	CodeSet		729
Or}	Proprietary <Prtry>	[1..1]	±		730
	TransferScope <TrfScp>	[0..1]	±		731
	TaxReference <TaxRef>	[0..*]	±		731
	DrawdownTrancheIdentification <DrwdwnTrchld>	[0..1]	Text		731
	NonWrapperTransfer <NonWrpprTrf>	[0..1]	Indicator		731
	AdditionalInformation <AddtlInf>	[0..*]	±		732

40.4.15.3.1 TaxEfficientProduct <TaxEffcntPdct>

Presence: [1..1]

Definition: Portfolio is a government scheme to encourage investment in securities based unit and investment trusts, offering certain tax benefits. These are not investments in their own right but are tax exempt 'wrappers' in which equities, bonds and funds can be held to shelter them from various types of tax.

In the UK, a typical tax wrapper product is known as an 'ISA' (Individual Savings Account).

TaxEfficientProduct <TaxEffcntPdct> contains the following elements (see "TaxEfficientProduct4" on page 1409 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxEfficientProductType <TaxEffcntPdctTp>	[1..1]	±		1410
	CurrentYear <CurYr>	[0..1]	Indicator		1410
	PreviousYears <PrvsYrs>	[0..1]	±	C23	1410
	AdditionalInformation <AddtlInf>	[0..*]	±		1411

40.4.15.3.2 GeneralInvestment <GnlInvstmt>

Presence: [1..1]

Definition: Portfolio is a general investment.

GeneralInvestment <GnlInvstmt> contains the following elements (see "GeneralInvestment2" on page 1411 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]	±		1411
	OwnershipType <OwnrshTp>	[0..1]	CodeSet		1411
	CurrentInvestmentAmount <CurlnvstmtAmt>	[0..1]	Amount	C2	1413
	EstimatedValue <EstmtdVal>	[0..1]	±		1413
	AdditionalInformation <AddtlInf>	[0..*]	±		1413

40.4.15.3.3 Pension <Pnsn>

Presence: [1..1]

Definition: Portfolio is a pension policy, plan or scheme.

Pension <Pnsn> contains the following **Pension6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]			728
	Identifier <Idr>	[1..1]	Text		729
	SubIdentifier <Subldr>	[0..1]	Text		729
	AdditionalInformation <AddtlInf>	[0..1]	±		729
	Type <Tp>	[0..1]			729
{Or	Code <Cd>	[1..1]	CodeSet		729
Or}	Proprietary <Prtry>	[1..1]	±		730
	TransferScope <TrfScp>	[0..1]	±		731
	TaxReference <TaxRef>	[0..*]	±		731
	DrawdownTrancheIdentification <DrwdwnTrchld>	[0..1]	Text		731
	NonWrapperTransfer <NonWrpprTrf>	[0..1]	Indicator		731
	AdditionalInformation <AddtlInf>	[0..*]	±		732

40.4.15.3.3.1 Identification <Id>

Presence: [0..1]

Definition: Identification of the pension policy, plan or scheme.

Identification <Id> contains the following **PensionPolicy1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identifier <Idr>	[1..1]	Text		729
	SubIdentifier <Subldr>	[0..1]	Text		729
	AdditionalInformation <AddtlInf>	[0..1]	±		729

40.4.15.3.3.1.1 Identifier <Idr>

Presence: [1..1]

Definition: Reference number of the pension policy, plan or scheme.

Datatype: "Max35Text" on page 2683

40.4.15.3.3.1.2 SubIdentifier <Subldr>

Presence: [0..1]

Definition: Sub-identification of the pension policy, plan or scheme, such as a member reference.

Datatype: "Max35Text" on page 2683

40.4.15.3.3.1.3 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the identification of the pension policy, plan or scheme.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

40.4.15.3.3.2 Type <Tp>

Presence: [0..1]

Definition: Type of pension policy, plan or scheme.

Type <Tp> contains one of the following **PensionSchemeType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		729
Or}	Proprietary <Prtry>	[1..1]	±		730

40.4.15.3.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of pension policy, plan or scheme expressed as a code.

Datatype: "PensionSchemeType3Code" on page 2617

CodeName	Name	Definition
AAVC	AdditionalVoluntaryContribution	Pension plan is an additional voluntary contribution plan.
DBEN	DefinedBenefit	Pension plan is a defined benefit plan.
EXPP	ExecutivePensionPlan	Pension plan is an executive pension plan.
FAVC	FreeStandingAdditionalVoluntaryContribution	Pension plan is a free standing additional voluntary contribution plan.
GPPS	GroupPersonalPension	Pension is a group personal pension.
SIPG	GroupSelfInvestedPension	Pension plan is a group self-invested pension plan.
STKG	GroupStakeholder	Pension plan is a group stakeholder pension plan.
IPST	IndividualPensionWithTaxBenefit	Pension is an individual pension savings plan with a tax benefit. This is known as an Individual Pension Schema (IPS) in some markets.
STKI	IndividualStakeholder	Pension plan is an individual stakeholder pension.
OTPM	MandatoryOccupationalPension	Pension is a mandatory occupational pension. This is known as an Obligatory Occupation Pension (OTP) in some markets.
OCDC	OccupationalDefinedContribution	Pension plan is an occupational defined contribution plan.
PPNS	PersonalPension	Pension is a personal pension plan.
EPKA	PersonalPensionAccount	Pension is a personal pension account. This is known as Employee Pension Kapital (EPK) in some markets.
ITPO	PrivateOccupationalPension	Pension is a private occupational pension. This is known as an industrial and trade supplementary pension (ITP) in some markets.
REAN	RetirementAnnuity	Pension plan is a retirement annuity.
SC32	Section32	Pension type covered by section 32.
S32A	Section32A	Pension type covered by section 32A.
SIPP	SelfInvestedPersonalPension	Pension is a self-invested personal pension plan.
SSAS	SmallSelfAdministeredScheme	Pension is a small self-administered pension scheme.
NWRP	NonWrapperPension	Indicates that the party does not operate the pension wrapper.

40.4.15.3.3.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of pension policy, plan or scheme expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

40.4.15.3.3.3 TransferScope <TrfScp>

Presence: [0..1]

Definition: Scope of the pension policy, plan or scheme transfer.

TransferScope <TrfScp> contains one of the following elements (see "[PensionTransferScope1Choice](#)" on page 1697 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697

40.4.15.3.3.4 TaxReference <TaxRef>

Presence: [0..*]

Definition: Tax reference issued to the pension policy, plan or scheme by a central organisation.

TaxReference <TaxRef> contains the following elements (see "[TaxReference1](#)" on page 2413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxType <TaxTp>	[0..1]			2413
{Or	Code <Cd>	[1..1]	CodeSet		2414
Or}	Proprietary <Prtry>	[1..1]	±		2414
	Reference <Ref>	[1..1]	Text		2414
	HolderType <HldrTp>	[0..1]			2414
{Or	Code <Cd>	[1..1]	CodeSet		2414
Or}	Proprietary <Prtry>	[1..1]	±		2415

40.4.15.3.3.5 DrawdownTrancheIdentification <DrwdwnTrchId>

Presence: [0..1]

Definition: Reference of the drawdown.

Datatype: "[Max35Text](#)" on page 2683

40.4.15.3.3.6 NonWrapperTransfer <NonWrpprTrf>

Presence: [0..1]

Definition: Indicates that the transfer is only in relation to underlying assets, and that the wrapper transfer will occur separately.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

40.4.15.3.3.7 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the pension policy, plan or scheme.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

40.4.15.4 FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>

Presence: [0..*]

Definition: Asset to be transferred.

FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf> contains the following **FinancialInstrument101** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LineIdentification <LineId>	[0..1]	Text		733
	Instrument <Instrm>	[1..1]			733
{Or	Security <Scty>	[1..1]	±	C13	733
Or	CashAsset <CshAsst>	[1..1]	±		733
Or}	OtherAsset <OthrAsst>	[1..1]	±		734
	ClientReference <ClntRef>	[0..1]	±		734
	CounterpartyReference <CtrPtyRef>	[0..1]	±		734
	TransfereeAccount <TrfeeAcct>	[0..1]	±		735
	Transferor <Trfr>	[0..1]	±		735
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		736
	AssetsHeldInOwnName <AsstsHeldInOwnNm>	[0..1]	Indicator		736
	TransferResultsInChangeOfBeneficialOwner <TrfRsultsInChngOfBnfclOwnr>	[0..1]	Indicator		736
	AdditionalInformation <AddtlInf>	[0..*]	±		736

40.4.15.4.1 LineIdentification <LineId>*Presence:* [0..1]*Definition:* Identification of the line of holding in the portfolio.*Datatype:* "Max35Text" on page 2683**40.4.15.4.2 Instrument <Instrm>***Presence:* [1..1]*Definition:* Identification of the asset.**Instrument <Instrm>** contains one of the following **FinancialInstrument61Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Security <Scty>	[1..1]	±	C13	733
Or	CashAsset <CshAsst>	[1..1]	±		733
Or}	OtherAsset <OthrAsst>	[1..1]	±		734

40.4.15.4.2.1 Security <Scty>*Presence:* [1..1]*Definition:* Identification of the security.*Impacted by:* C13 "IdentificationGuideline"**Security <Scty>** contains the following elements (see "FinancialInstrumentIdentification2" on page 1462 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1462
	Name <Nm>	[0..1]	Text		1463
	ShortName <ShrtNm>	[0..1]	Text		1463
	ClassificationType <ClssfctnTp>	[0..1]	±		1463

Constraints

- IdentificationGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

40.4.15.4.2.2 CashAsset <CshAsst>*Presence:* [1..1]*Definition:* Identification of the cash asset.

CashAsset <CshAsst> contains the following elements (see "[CashAsset2](#)" on page 1452 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAssetType <CshAsstTp>	[1..1]			1452
{Or	Code <Cd>	[1..1]	CodeSet		1452
Or}	Proprietary <Prtry>	[1..1]	±		1453
	HoldingCurrency <HldgCcy>	[1..1]	CodeSet	C2	1453
	AdditionalInformation <AddtlInf>	[0..1]	±		1453

40.4.15.4.2.3 OtherAsset <OthrAsst>

Presence: [1..1]

Definition: Identification of another kind of asset in the holding that is not a security or cash.

OtherAsset <OthrAsst> contains the following elements (see "[OtherAsset2](#)" on page 1458 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherAssetType <OthrAsstTp>	[1..1]			1458
{Or	Code <Cd>	[1..1]	CodeSet		1459
Or}	Proprietary <Prtry>	[1..1]	±		1459
	Identification <Id>	[1..1]	Text		1459
	Name <Nm>	[0..1]	Text		1459
	Description <Desc>	[0..1]	Text		1460
	OtherIdentification <OthrlId>	[0..5]	Text		1460
	AdditionalInformation <AddtlInf>	[0..*]	±		1460

40.4.15.4.3 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <ClntRef> contains the following elements (see "[AdditionalReference10](#)" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

40.4.15.4.4 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transfer allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

40.4.15.4.5 TransfereeAccount <TrfeeAcct>

Presence: [0..1]

Definition: Account held in the name of the party that is not the name of the beneficial owner of the shares.

(Party and account into which the transferee receives the financial instrument from the transferor.)

TransfereeAccount <TrfeeAcct> contains the following elements (see "Account28" on page 1552 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[0..1]	±		1552
	AccountIdentification <Acctld>	[0..1]	Text		1553
	Designation <Dsgnt>	[0..1]	Text		1553
	AccountName <AcctNm>	[0..1]	Text		1553
	Servicer <Svcr>	[0..1]	±		1553
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1554

40.4.15.4.6 Transferor <Trfr>

Presence: [0..1]

Definition: Party and account from which the transferor delivers the financial instrument to the transferee.

Transferor <Trfr> contains the following elements (see "Account28" on page 1552 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[0..1]	±		1552
	AccountIdentification <Acctld>	[0..1]	Text		1553
	Designation <Dsgnt>	[0..1]	Text		1553
	AccountName <AcctNm>	[0..1]	Text		1553
	Servicer <Svcr>	[0..1]	±		1553
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1554

40.4.15.4.7 SettlementPartiesDetails <SttlmPtiesDtls>*Presence:* [0..1]*Definition:* Chain of parties involved in the settlement of a transaction.**SettlementPartiesDetails <SttlmPtiesDtls>** contains the following elements (see "FundSettlementParameters18" on page 2476 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2477
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2477
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2478
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2478
	ReceivingSideDetails <RcvgSdDtls>	[0..1]	±	C7, C11, C19, C20, C21, C22, C26	2478

40.4.15.4.8 AssetsHeldInOwnName <AsstsHeldInOwnNm>*Presence:* [0..1]*Definition:* Indicates whether the assets are held in an individual's own name.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

40.4.15.4.9 TransferResultsInChangeOfBeneficialOwner <TrfRsItsInChngOfBnfclOwnr>*Presence:* [0..1]*Definition:* Indicates whether the transfer results in a change of beneficial owner.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

40.4.15.4.10 AdditionalInformation <AddtlInf>*Presence:* [0..*]*Definition:* Additional information about the financial instrument.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

40.4.15.5 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the product transfer.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

40.4.16 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "MarketPracticeVersion1" on page 1862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1862
	Date <Dt>	[0..1]	YearMonth		1862
	Number <Nb>	[0..1]	Text		1862

40.4.17 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C12 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

41 **setr.001.001.04**

RedemptionBulkOrderV04

41.1 **MessageDefinition Functionality**

Scope

The RedemptionBulkOrder message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct a redemption from a financial instrument for two or more accounts.

Usage

The RedemptionBulkOrder message is used to bulk several individual orders into one bulk order. The individual orders come from different instructing parties, that is, account owners, but are for the same financial instrument. The RedemptionBulkOrder can result in one single bulk cash settlement or several individual cash settlements.

This message will be typically used by a party collecting orders, that is, a concentrator, bulking these individual orders into one bulk order before sending it to an executing party.

For a single redemption order, the RedemptionOrder message, not the RedemptionBulkOrder message, must be used.

If there are redemption orders for different financial instruments but for the same account, then the RedemptionOrder must be used.

Outline

The RedemptionBulkOrderV04 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. BulkOrderDetails

General information related to the orders.

E. CopyDetails

Information provided when the message is a copy of a previous message.

F. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

41.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <RedBlkOrd>	[1..1]		C2	
	MessageIdentification <MsgId>	[1..1]	±		744
	PoolReference <PoolRef>	[0..1]	±		744
	PreviousReference <PrvsRef>	[0..*]	±		745
	BulkOrderDetails <BlkOrdDtls>	[1..1]		C7, C27, C29	745
	MasterReference <MstrRef>	[0..1]	Text		747
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		748
	OrderDateTime <OrdDtTm>	[0..1]	DateTime		748
	ExpiryDateTime <XpryDtTm>	[0..1]	±		748
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		748
	CancellationRight <CxlRght>	[0..1]	±		748
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C18	749
	IndividualOrderDetails <IndvOrdDtls>	[1..*]		C21, C23, C24	749
	OrderReference <OrdRef>	[1..1]	Text		751
	ClientReference <ClntRef>	[0..1]	Text		751
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	751
	OrderType <OrdTp>	[0..10]	±		752
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C22	752
	AmountOrUnitsOrPercentage <AmtOrUnitsOrPctg>	[1..1]			753
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		753
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	753
Or	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	754
Or}	HoldingsRedemptionRate <HldgsRedRate>	[1..1]	Rate		754
	Rounding <Rndg>	[0..1]	CodeSet		754
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	755
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		755
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		755
	ForeignExchangeDetails <FXDtls>	[0..1]	±		756

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	IncomePreference <IncmPref>	[0..1]	CodeSet		756
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		756
	TransactionOverhead <TxOvrhd>	[0..1]		C16	757
	CommercialAgreementReference <ComrcIAgrmtRef>	[0..1]	Text		757
	IndividualFee <IndvFee>	[0..*]	±	C15, C30, C31	757
	IndividualTax <IndvTax>	[0..*]	±		758
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		759
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		761
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		761
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		761
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		762
	StaffClientBreakdown <StffClntBrkdw>	[0..4]	±		763
	FinancialAdvice <FinAdv<	[0..1]	CodeSet		763
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		763
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	763
	Equalisation <Equlstn>	[0..1]	±		764
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		764
	TransactionChannelType <TxChanITp>	[0..1]	±		764
	SignatureType <SgntrTp>	[0..1]	±		765
	OrderWaiverDetails <OrdWvrDtls>	[0..1]	±	C32	765
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	765
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	766
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	766
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		766
	CopyDetails <CpyDtls>	[0..1]	±		768
	Extension <Xtnsn>	[0..*]	±	C14	768

41.3 Constraints

C1 AccountElementRule

Either AccountIdentification or Type must be present. Both may be present.

C2 AccountIdentificationRule

If this message is linked to an account opening request and the account identification is not yet known then the value of the AccountIdentification element in BulkOrderDetails/IndividualOrderDetails/InvestmentAccountDetails must be UNKNOWN.

This constraint is defined at the MessageDefinition level.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C7 BulkCashSettlementDetailsRule

Either IndividualOrderDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 DeliverersCustodianRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present. If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

C12 DeliverersIntermediary1DetailsRule

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

C13 DiscountElementRule

Amount Or Rate Or Basis must be present.

C14 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C15 FeeElementRule

One of the elements (Basis, StandardAmount, StandardRate, DiscountDetails, RequestedAmount, RequestedRate, NonStandardSLAResource, RecipientIdentification) must be present.

C16 FeeTaxElementRule

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C17 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C18 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C19 OrderOriginatorEligibility1Rule

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

C20 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C21 OrderOriginatorEligibility3Rule

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

C22 PersonElementRule

One of the elements (Name, BirthDate, CountryAndResidentialStatus, OtherIdentification) must be present.

C23 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C24 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C25 ReceiversCustodianRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present.
If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

C26 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

C27 RequestedSettlementCurrencyRule

If RequestedSettlementCurrency is present, it must be the same Currency as in all occurrences of IndividualOrderDetails/SettlementAmount, if present.

C28 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

C29 SettlementCurrencyRule

If BulkCashSettlementDetails is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

C30 StandardFeeAmountCalculation

If StandardAmount, RequestedAmount and DiscountDetails/Amount are all present, then StandardAmount minus DiscountDetails/Amount must equal RequestedAmount.

C31 StandardFeeRateCalculation

If StandardRate, RequestedRate and DiscountDetails/Rate are all present, then StandardRate minus DiscountDetails/Rate must equal RequestedRate.

C32 WaiverElementRule

Either OrderWaiverReason or InformationValue must be present. Both may be present.

41.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

41.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

41.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see ["AdditionalReference9"](#) on page 1498 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1498
	ReferenceIssuer <RefIssr>	[0..1]	±		1498
	MessageName <MsgNm>	[0..1]	Text		1498

41.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see ["AdditionalReference8"](#) on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

41.4.4 BulkOrderDetails <BlkOrdDtls>

Presence: [1..1]

Definition: General information related to the orders.

Impacted by: [C7 "BulkCashSettlementDetailsRule"](#), [C27 "RequestedSettlementCurrencyRule"](#), [C29 "SettlementCurrencyRule"](#)

BulkOrderDetails <BlkOrdrDtls> contains the following **RedemptionBulkOrder6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		747
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		748
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		748
	ExpiryDateTime <XpryDtTm>	[0..1]	±		748
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		748
	CancellationRight <CxlRght>	[0..1]	±		748
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C18	749
	IndividualOrderDetails <IndvOrdrDtls>	[1..*]		C21, C23, C24	749
	OrderReference <OrdrRef>	[1..1]	Text		751
	ClientReference <ClntRef>	[0..1]	Text		751
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	751
	OrderType <OrdrTp>	[0..10]	±		752
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C22	752
	AmountOrUnitsOrPercentage <AmtOrUnitsOrPctg>	[1..1]			753
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		753
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	753
Or	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	754
Or}	HoldingsRedemptionRate <HldgsRedRate>	[1..1]	Rate		754
	Rounding <Rndg>	[0..1]	CodeSet		754
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	755
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		755
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		755
	ForeignExchangeDetails <FXDtls>	[0..1]	±		756
	IncomePreference <IncmPref>	[0..1]	CodeSet		756
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		756
	TransactionOverhead <TxOvrhd>	[0..1]		C16	757
	CommercialAgreementReference <ComrcIAgmtRef>	[0..1]	Text		757
	IndividualFee <IndvFee>	[0..*]	±	C15, C30, C31	757

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndividualTax <IndvTax>	[0..*]	±		758
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		759
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		761
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		761
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		761
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		762
	StaffClientBreakdown <StffCIntBrkdwn>	[0..4]	±		763
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		763
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		763
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	763
	Equalisation <Equlstn>	[0..1]	±		764
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		764
	TransactionChannelType <TxChanITp>	[0..1]	±		764
	SignatureType <SgntrTp>	[0..1]	±		765
	OrderWaiverDetails <OrdWrDtls>	[0..1]	±	C32	765
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	765
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	766
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	766
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		766

Constraints

- **BulkCashSettlementDetailsRule**

Either IndividualOrderDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

- **RequestedSettlementCurrencyRule**

If RequestedSettlementCurrency is present, it must be the same Currency as in all occurrences of IndividualOrderDetails/SettlementAmount, if present.

- **SettlementCurrencyRule**

If BulkCashSettlementDetails is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

41.4.4.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "Max35Text" on page 2683

41.4.4.2 PlaceOfTrade <PlcOfTrad>

Presence: [0..1]

Definition: Market in which the advised trade transaction was executed.

PlaceOfTrade <PlcOfTrad> contains one of the following elements (see "PlaceOfTradeIdentification1Choice" on page 1784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Country <Ctry>	[1..1]	CodeSet	C11	1784
Or	Exchange <Xchg>	[1..1]	IdentifierSet		1784
Or	Party <Pty>	[1..1]	IdentifierSet	C7	1784
Or}	OverTheCounter <OverTheCntr>	[1..1]	Text		1785

41.4.4.3 OrderDateTime <OrdrDtTm>

Presence: [0..1]

Definition: Date and time the order is placed by the investor or its agent.

Datatype: "ISODateTime" on page 2669

41.4.4.4 ExpiryDateTime <XpryDtTm>

Presence: [0..1]

Definition: Date on which the order expires.

ExpiryDateTime <XpryDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

41.4.4.5 RequestedFutureTradeDate <ReqdFutrTradDt>

Presence: [0..1]

Definition: Future date at which the investor requests the order to be executed.

The specification of a requested future trade date is not allowed in some markets. The date must be a date in the future.

Datatype: "ISODate" on page 2668

41.4.4.6 CancellationRight <CxlRght>

Presence: [0..1]

Definition: Cancellation right of the investor with respect to the investment fund order.

CancellationRight <CxlRght> contains one of the following elements (see ["CancellationRight1Choice"](#) on page 1814 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1814
Or}	Proprietary <Prtry>	[1..1]	±		1815

41.4.4.7 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class related to the order.

Impacted by: [C18 "IdentificationGuideline"](#)

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see ["FinancialInstrument57"](#) on page 1436 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1436
	Name <Nm>	[0..1]	Text		1437
	ShortName <ShrtNm>	[0..1]	Text		1437
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1437
	ClassType <ClsTp>	[0..1]	Text		1438
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1438
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1438
	ProductGroup <PdctGrp>	[0..1]	Text		1438
	SeriesIdentification <SrsId>	[0..1]		C30	1438
	SeriesDate <SrsDt>	[0..1]	±		1439
	SeriesName <SrsNm>	[0..1]	Text		1439

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

41.4.4.8 IndividualOrderDetails <IndvOrdDtls>

Presence: [1..*]

Definition: Instruction from an investor to sell investment fund units back to the fund.

Impacted by: [C21 "OrderOriginatorEligibility3Rule"](#), [C23 "PhysicalDeliveryDetails1Rule"](#), [C24 "PhysicalDeliveryDetails2Rule"](#)

IndividualOrderDetails <IndvOrdrDtls> contains the following **RedemptionOrder15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		751
	ClientReference <ClntRef>	[0..1]	Text		751
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	751
	OrderType <OrdrTp>	[0..10]	±		752
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C22	752
	AmountOrUnitsOrPercentage <AmtOrUnitsOrPctg>	[1..1]			753
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		753
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	753
Or	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	754
Or}	HoldingsRedemptionRate <HldgsRedRate>	[1..1]	Rate		754
	Rounding <Rndg>	[0..1]	CodeSet		754
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	755
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		755
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		755
	ForeignExchangeDetails <FXDtls>	[0..1]	±		756
	IncomePreference <IncmPref>	[0..1]	CodeSet		756
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		756
	TransactionOverhead <TxOvrhd>	[0..1]		C16	757
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		757
	IndividualFee <IndvFee>	[0..*]	±	C15, C30, C31	757
	IndividualTax <IndvTax>	[0..*]	±		758
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		759
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		761
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		761
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		761
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		762
	StaffClientBreakdown <StffClntBrkdwn>	[0..4]	±		763
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		763
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		763
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	763

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Equalisation <Equlstn>	[0..1]	±		764
	CustomerConductClassification <CstmrCndctClsfctn>	[0..1]	±		764
	TransactionChannelType <TxChanITp>	[0..1]	±		764
	SignatureType <SgntrTp>	[0..1]	±		765
	OrderWaiverDetails <OrdWvrDtls>	[0..1]	±	C32	765

Constraints

- **OrderOriginatorEligibility3Rule**

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

- **PhysicalDeliveryDetails1Rule**

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True

/PhysicalDeliveryDetails Must be absent

- **PhysicalDeliveryDetails2Rule**

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

On Condition

/PhysicalDeliveryIndicator is equal to value 'true'

Or /PhysicalDeliveryIndicator is equal to value '1'

Following Must be True

/PhysicalDeliveryDetails Must be present

41.4.4.8.1 OrderReference <OrdRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

41.4.4.8.2 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2683

41.4.4.8.3 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [1..1]

Definition: Account impacted by the investment fund order.

Impacted by: C20 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1635
	AccountName <AcctNm>	[0..1]	Text		1635
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1635
	OwnerIdentification <OwnrId>	[0..*]	±		1635
	AccountServicer <AcctSvcr>	[0..1]	±		1636
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		1636
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1636

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

41.4.4.8.4 OrderType <OrdrTp>

Presence: [0..10]

Definition: Category of the investment fund order.

OrderType <OrdrTp> contains one of the following elements (see "FundOrderType4Choice" on page 1782 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1783
Or}	Proprietary <Prtry>	[1..1]	±		1783

41.4.4.8.5 BeneficiaryDetails <BnfcryDtls>

Presence: [0..*]

Definition: Additional information about the investor.

Impacted by: C22 "PersonElementRule"

BeneficiaryDetails <BnfcryDtls> contains the following elements (see "IndividualPerson32" on page 2190 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2190
	BirthDate <BirthDt>	[0..1]	Date		2190
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		2191
	OtherIdentification <OthrlId>	[0..*]			2191
	Identification <Id>	[1..1]	Text		2191
	IdentificationType <IdTp>	[1..1]			2191
{Or	Code <Cd>	[1..1]	CodeSet		2192
Or}	Proprietary <Prtry>	[1..1]	±		2193
	Issuer </ssr>	[0..1]	Text		2193

Constraints

- **PersonElementRule**

One of the elements (Name, BirthDate, CountryAndResidentialStatus, OtherIdentification) must be present.

41.4.4.8.6 AmountOrUnitsOrPercentage <AmtOrUnitsOrPctg>

Presence: [1..1]

Definition: Amount of money or the number of units or percentage to be redeemed for the redemption order.

AmountOrUnitsOrPercentage <AmtOrUnitsOrPctg> contains one of the following **FinancialInstrumentQuantity28Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		753
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	753
Or	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	754
Or}	HoldingsRedemptionRate <HldgsRedRate>	[1..1]	Rate		754

41.4.4.8.6.1 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Number of investment fund units redeemed.

Datatype: "DecimalNumber" on page 2680

41.4.4.8.6.2 GrossAmount <GrssAmt>

Presence: [1..1]

Definition: Amount of money to be redeemed from the fund.

Gross Amount = Quantity * Price.

Impacted by: C4 "ActiveOrHistoricCurrency", C9 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

41.4.4.8.6.3 NetAmount <NetAmt>

Presence: [1..1]

Definition: Amount of money to be received following redemption of fund units.

Net Amount = (Quantity * Price) - (Fees + Taxes).

Impacted by: C4 "ActiveOrHistoricCurrency", C9 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

41.4.4.8.6.4 HoldingsRedemptionRate <HldgsRedRate>

Presence: [1..1]

Definition: Portion of the investor's holdings to be redeemed.

Datatype: "PercentageRate" on page 2681

41.4.4.8.7 Rounding <Rndg>

Presence: [0..1]

Definition: Indicates the rounding direction applied to nearest unit.

Datatype: "RoundingDirection2Code" on page 2635

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

41.4.4.8.8 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the individual order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

41.4.4.8.9 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2668

41.4.4.8.10 SettlementMethod <SttlmMtd>

Presence: [0..1]

Definition: Method by which the transaction is settled.

Datatype: "DeliveryReceiptType2Code" on page 2571

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

41.4.4.8.11 ForeignExchangeDetails <FXDtIs>*Presence:* [0..1]*Definition:* Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms32](#)" on page 1486 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1486
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1486
	ExchangeRate <XchgRate>	[1..1]	Rate		1487
	QuotationDate <QtnDt>	[0..1]	DateTime		1487
	QuotingInstitution <QtgInstn>	[0..1]	±		1487

41.4.4.8.12 IncomePreference <IncmPref>*Presence:* [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference1Code" on page 2586

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

41.4.4.8.13 Group1Or2Units <Grp1Or2Units>*Presence:* [0..1]

Definition: Tax group to which the purchased investment fund units belong. The investor indicates to the intermediary operating pooled nominees, which type of unit is to be sold.

Datatype: "UKTaxGroupUnit1Code" on page 2668

CodeName	Name	Definition
GRP1	Group1	The units that were purchased prior to the last ex-div date.
GRP2	Group2	The units that were purchased since the ex-div date, and that benefit from the tax exemption.

41.4.4.8.14 TransactionOverhead <TxOvrhd>

Presence: [0..1]

Definition: Fees (charges/commission) and tax to be applied to the gross amount.

Impacted by: C16 "FeeTaxElementRule"

TransactionOverhead <TxOvrhd> contains the following **FeeAndTax1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		757
	IndividualFee <IndvFee>	[0..*]	±	C15, C30, C31	757
	IndividualTax <IndvTax>	[0..*]	±		758

Constraints

- **FeeTaxElementRule**

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

41.4.4.8.14.1 CommercialAgreementReference <ComrcAgrmtRef>

Presence: [0..1]

Definition: Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.

Datatype: "Max35Text" on page 2683

41.4.4.8.14.2 IndividualFee <IndvFee>

Presence: [0..*]

Definition: Individual fee (charge/commission).

Impacted by: C15 "FeeElementRule", C30 "StandardFeeAmountCalculation", C31 "StandardFeeRateCalculation"

IndividualFee <IndvFee> contains the following elements (see "Fee1" on page 1352 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1353
{Or	Code <Cd>	[1..1]	CodeSet		1353
Or}	Proprietary <Prtry>	[1..1]	±		1354
	Basis <Bsis>	[0..1]			1354
{Or	Code <Cd>	[1..1]	CodeSet		1354
Or}	Proprietary <Prtry>	[1..1]	±		1355
	StandardAmount <StdAmt>	[0..1]	Amount	C2, C10	1355
	StandardRate <StdRate>	[0..1]	Rate		1355
	DiscountDetails <DscntDtls>	[0..1]		C14	1356
	Amount <Amt>	[0..1]	Amount	C2, C10	1356
	Rate <Rate>	[0..1]	Rate		1357
	Basis <Bsis>	[0..1]			1357
{Or	Code <Cd>	[1..1]	CodeSet		1357
Or}	Proprietary <Prtry>	[1..1]	±		1357
	RequestedAmount <ReqdAmt>	[0..1]	Amount	C2, C10	1358
	RequestedRate <ReqdRate>	[0..1]	Rate		1358
	NonStandardSLAReference <NonStdSLARef>	[0..1]	Text		1358
	RecipientIdentification <RcptId>	[0..1]	±		1358

Constraints

- **FeeElementRule**

One of the elements (Basis, StandardAmount, StandardRate, DiscountDetails, RequestedAmount, RequestedRate, NonStandardSLAReference, RecipientIdentification) must be present.

- **StandardFeeAmountCalculation**

If StandardAmount, RequestedAmount and DiscountDetails/Amount are all present, then StandardAmount minus DiscountDetails/Amount must equal RequestedAmount.

- **StandardFeeRateCalculation**

If StandardRate, RequestedRate and DiscountDetails/Rate are all present, then StandardRate minus DiscountDetails/Rate must equal RequestedRate.

41.4.4.8.14.3 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Individual tax amount.

IndividualTax <IndvTax> contains the following elements (see "Tax30" on page 2455 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2456
{Or	Code <Cd>	[1..1]	CodeSet		2456
Or}	Proprietary <Prtry>	[1..1]	±		2458
	Tax <Tax>	[0..1]			2458
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	2458
Or}	Rate <Rate>	[1..1]	Rate		2459
	Country <Ctry>	[0..1]	CodeSet	C11	2459
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2459
	ExemptionReason <XmptnRsn>	[0..1]			2459
{Or	Code <Cd>	[1..1]	CodeSet		2459
Or}	Proprietary <Prtry>	[1..1]	±		2462
	RecipientIdentification <RcptId>	[0..1]	±		2463
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2463
	Basis <Bsis>	[1..1]			2463
{Or	Code <Cd>	[1..1]	CodeSet		2463
Or}	Proprietary <Prtry>	[1..1]	±		2464

41.4.4.8.15 SettlementAndCustodyDetails <SttlmAndCtdyDtls>

Presence: [0..1]

Definition: Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters12" on page 2285 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2287
	SettlementPlace <SttlmPlc>	[1..1]	±		2287
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2287
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2288
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2288
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2288
	ReceivingSideDetails <RcvgSdDtls>	[0..1]		C27, C28	2288
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2289
	PartyIdentification <PtyId>	[1..1]	±		2290
	AccountIdentification <AcctId>	[0..1]	Text		2290
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2290
	PartyIdentification <PtyId>	[1..1]	±		2290
	AccountIdentification <AcctId>	[0..1]	Text		2291
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2291
	PartyIdentification <PtyId>	[1..1]	±		2291
	AccountIdentification <AcctId>	[0..1]	Text		2291
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2291
	PartyIdentification <PtyId>	[1..1]	±		2292
	AccountIdentification <AcctId>	[0..1]	Text		2292
	DeliveringSideDetails <DlvrgSdDtls>	[1..1]		C12, C13	2292
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2293
	PartyIdentification <PtyId>	[1..1]	±		2294
	AccountIdentification <AcctId>	[0..1]	Text		2294
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2294
	PartyIdentification <PtyId>	[1..1]	±		2294
	AccountIdentification <AcctId>	[0..1]	Text		2295
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2295
	PartyIdentification <PtyId>	[1..1]	±		2295
	AccountIdentification <AcctId>	[0..1]	Text		2295

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrAgDtls>	[1..1]			2295
	PartyIdentification <PtyId>	[1..1]	±		2296
	AccountIdentification <AcctId>	[0..1]	Text		2296

41.4.4.8.16 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

41.4.4.8.17 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["DeliveryParameters3"](#) on page 2201 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Address <Adr>	[1..1]	±		2201
	IssuedCertificateNumber <IssdCertNb>	[0..1]	Text		2201

41.4.4.8.18 CashSettlementDetails <CshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

CashSettlementDetails <CshSttlmDtls> contains the following elements (see "PaymentTransaction72" on page 2045 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2046
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2047
	Reference <Ref>	[0..1]	Text		2047
	Debtor <Dbtr>	[0..1]	±		2047
	DebtorAccount <DbtrAcct>	[0..1]	±		2047
	DebtorAgent <DbtrAgt>	[0..1]	±		2048
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2048
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2048
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2048
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2049
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2049
	CreditorAgent <CdtrAgt>	[1..1]	±		2049
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2050
	Creditor <Cdtr>	[0..1]	±		2050
	CreditorAccount <CdtrAcct>	[1..1]	±		2050
Or	ChequeDetails <ChqDtls>	[1..1]			2050
	Number <Nb>	[0..1]	Text		2051
	PayeeIdentification <Pyeeld>	[1..1]	±		2051
	DraweeIdentification <Drweeld>	[0..1]	±		2051
	DrawerIdentification <Drwrlid>	[0..1]	±		2051
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2052
	Number <Nb>	[0..1]	Text		2052
	PayeeIdentification <Pyeeld>	[1..1]	±		2052
	DraweeIdentification <Drweeld>	[0..1]	±		2052
	DrawerIdentification <Drwrlid>	[0..1]	±		2053
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2053
	AccountIdentification <Acctld>	[0..1]	Text		2053
	Type <Tp>	[0..1]	±		2053

41.4.4.8.19 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: "Max350Text" on page 2683

41.4.4.8.20 StaffClientBreakdown <StffCIntBrkdwn>

Presence: [0..4]

Definition: Breakdown of the net amount per type of order.

StaffClientBreakdown <StffCIntBrkdwn> contains the following elements (see "InvestmentFundsOrderBreakdown2" on page 1781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderBreakdownType <OrdBrkdwnTp>	[1..1]			1781
{Or	Code <Cd>	[1..1]	CodeSet		1781
Or}	Proprietary <Prtry>	[1..1]	±		1782
	Amount <Amt>	[1..1]	Amount	C2, C10	1782

41.4.4.8.21 FinancialAdvice <FinAdv>

Presence: [0..1]

Definition: Specifies if advice has been received from an independent financial advisor.

Datatype: "FinancialAdvice1Code" on page 2580

CodeName	Name	Definition
RECE	Received	Advice has been received from an independent financial advisor.
NREC	NotReceived	Advice has not been received from an independent financial advisor.
UKWN	Unknown	It is not known whether or not advice has been received from an independent financial advisor.

41.4.4.8.22 NegotiatedTrade <NgtdTrad>

Presence: [0..1]

Definition: Specifies whether the trade is negotiated.

Datatype: "NegotiatedTrade1Code" on page 2609

CodeName	Name	Definition
NEGO	Negotiated	Trade is negotiated.
NNGO	NotNegotiated	Trade is not negotiated.
UNKW	Unknown	Whether the trade is negotiated or not is unknown.

41.4.4.8.23 RelatedPartyDetails <RltdPtyDtls>

Presence: [0..10]

Definition: Party related to the transaction.

Impacted by: C19 "OrderOriginatorEligibility1Rule"

RelatedPartyDetails <RltdPtyDtls> contains the following elements (see "Intermediary40" on page 2027 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2027
	Account <Acct>	[0..1]			2028
	Identification <Id>	[1..1]	Text		2028
	AccountServicer <AcctSvcr>	[0..1]	±		2028
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		2028
	Role <Role>	[0..1]	±		2029

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

41.4.4.8.24 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's retained subscription amount that is returned by the fund in order to reimburse preliminary incentive/performance fees.

Equalisation <Equlstn> contains the following elements (see "Equalisation1" on page 1770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1770
	Rate <Rate>	[0..1]	Rate		1770

41.4.4.8.25 CustomerConductClassification <CstmrCndctClsxftn>

Presence: [0..1]

Definition: Assessment of the customer's behaviour at the time of the account opening application.

CustomerConductClassification <CstmrCndctClsxftn> contains one of the following elements (see "CustomerConductClassification1Choice" on page 1630 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1631
Or}	Proprietary <Prtry>	[1..1]	±		1631

41.4.4.8.26 TransactionChannelType <TxChanlTp>

Presence: [0..1]

Definition: Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanITp> contains one of the following elements (see "[TransactionChannelType1Choice](#)" on page 1864 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1864
Or}	Proprietary <Prtry>	[1..1]	±		1865

41.4.4.8.27 SignatureType <SgntrTp>

Presence: [0..1]

Definition: Type of signature.

SignatureType <SgntrTp> contains one of the following elements (see "[SignatureType1Choice](#)" on page 1813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1813
Or}	Proprietary <Prtry>	[1..1]	±		1814

41.4.4.8.28 OrderWaiverDetails <OrdWvrDtIs>

Presence: [0..1]

Definition: Information about a non-standard order.

Impacted by: [C32 "WaiverElementRule"](#)

OrderWaiverDetails <OrdWvrDtIs> contains the following elements (see "[OrderWaiver1](#)" on page 1769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderWaiverReason <OrdWvrRsn>	[0..*]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1769
	InformationValue <InfVal>	[0..1]	Text		1770

Constraints

- **WaiverElementRule**

Either OrderWaiverReason or InformationValue must be present. Both may be present.

41.4.4.9 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: [C3 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyCode](#)" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

41.4.4.10 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: C4 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

41.4.4.11 TotalSettlementAmount <TtlSttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the multiple order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

41.4.4.12 BulkCashSettlementDetails <BlkCshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

BulkCashSettlementDetails <BlkCshSttlmDtls> contains the following elements (see "PaymentTransaction72" on page 2045 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2046
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2047
	Reference <Ref>	[0..1]	Text		2047
	Debtor <Dbtr>	[0..1]	±		2047
	DebtorAccount <DbtrAcct>	[0..1]	±		2047
	DebtorAgent <DbtrAgt>	[0..1]	±		2048
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2048
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2048
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2048
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2049
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2049
	CreditorAgent <CdtrAgt>	[1..1]	±		2049
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2050
	Creditor <Cdtr>	[0..1]	±		2050
	CreditorAccount <CdtrAcct>	[1..1]	±		2050
Or	ChequeDetails <ChqDtls>	[1..1]			2050
	Number <Nb>	[0..1]	Text		2051
	PayeeIdentification <Pyeeld>	[1..1]	±		2051
	DraweeIdentification <Drweeld>	[0..1]	±		2051
	DrawerIdentification <Drwrlid>	[0..1]	±		2051
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2052
	Number <Nb>	[0..1]	Text		2052
	PayeeIdentification <Pyeeld>	[1..1]	±		2052
	DraweeIdentification <Drweeld>	[0..1]	±		2052
	DrawerIdentification <Drwrlid>	[0..1]	±		2053
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2053
	AccountIdentification <Acctld>	[0..1]	Text		2053
	Type <Tp>	[0..1]	±		2053

41.4.5 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation4](#)" on page 1819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1819
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1819

41.4.6 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C14 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

42 setr.002.001.04 RedemptionBulkOrderCancellationRequestV04

42.1 MessageDefinition Functionality

Scope

The RedemptionBulkOrderCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent RedemptionBulkOrder.

Usage

The RedemptionBulkOrderCancellationRequest message is used to request the cancellation of one or more individual orders.

There is no amendment, but a cancellation and re-instruct policy.

To request the cancellation of one or more individual orders, the order reference of each individual order listed in the original RedemptionBulkOrder message is specified in the order reference element. The message identification of the RedemptionBulkOrder message which contains the individual orders to be cancelled may also be quoted in PreviousReference but this is not recommended.

The deadline and acceptance of a cancellation request is subject to a service level agreement (SLA). This cancellation message is a cancellation request. There is no automatic acceptance of the cancellation.

The rejection or acceptance of a RedemptionBulkOrderCancellationRequest is made using an OrderCancellationStatusReport message.

Outline

The RedemptionBulkOrderCancellationRequestV04 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. MasterReference

Reference assigned to a set of orders or trades in order to link them together.

E. OrderReferences

Identification of the individual order to be cancelled.

F. CopyDetails

Information provided when the message is a copy of a previous message.

42.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <RedBlkOrdxCxlReq>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		770
	PoolReference <PoolRef>	[0..1]	±		771
	PreviousReference <PrvsRef>	[0..1]	±		771
	MasterReference <MstrRef>	[0..1]	Text		771
	OrderReferences <OrdRefs>	[1..*]			771
	OrderReference <OrdRef>	[1..1]	Text		772
	ClientReference <CIntRef>	[0..1]	Text		772
	CancellationReference <CxlRef>	[0..1]	Text		772
	CancellationReason <CxlRsn>	[0..1]	±		772
	CopyDetails <CpyDtls>	[0..1]	±		772

42.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

42.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

42.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "MessageIdentification1" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

42.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "AdditionalReference9" on page 1498 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1498
	ReferenceIssuer <RefIssr>	[0..1]	±		1498
	MessageName <MsgNm>	[0..1]	Text		1498

42.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference8" on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

42.4.4 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: "Max35Text" on page 2683

42.4.5 OrderReferences <OrdRefs>

Presence: [1..*]

Definition: Identification of the individual order to be cancelled.

OrderReferences <OrdrRefs> contains the following **InvestmentFundOrder9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		772
	ClientReference <CIntRef>	[0..1]	Text		772
	CancellationReference <CxlRef>	[0..1]	Text		772
	CancellationReason <CxlRsn>	[0..1]	±		772

42.4.5.1 OrderReference <OrdrRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

42.4.5.2 ClientReference <CIntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2683

42.4.5.3 CancellationReference <CxlRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the order cancellation, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

42.4.5.4 CancellationReason <CxlRsn>

Presence: [0..1]

Definition: Reason for the cancellation.

CancellationReason <CxlRsn> contains one of the following elements (see "CancellationReason32Choice" on page 1813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	Text		1813
Or}	Proprietary <Prtry>	[1..1]	±		1813

42.4.6 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "CopyInformation4" on page 1819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1819
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1819

43 setr.003.001.04 RedemptionBulkOrderConfirmationV04

43.1 MessageDefinition Functionality

Scope

The RedemptionBulkOrderConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the details of execution for a previously received RedemptionBulkOrder message.

Usage

The RedemptionBulkOrderConfirmation message is used to confirm the execution of all individual orders included in a previously sent RedemptionBulkOrder message.

There is usually one bulk confirmation message for one bulk order message.

Each individual order confirmation specified is identified in DealReference. The reference of the original individual order is specified in OrderReference. The message identification of the RedemptionBulkOrder message in which the individual order was conveyed may also be quoted in RelatedReference, but this is not recommended.

A RedemptionBulkOrder must in all cases be responded to by a RedemptionBulkOrderConfirmation and in no circumstances by a RedemptionOrderConfirmation.

If the executing party needs to confirm a RedemptionOrder instruction, then the RedemptionOrderConfirmation must be used.

When the message is used to convey a confirmation amendment/s, the AmendmentIndicator must be present with the value 'true' or '1'. When this is the case, the message must only contain a confirmation amendment/s and not contain both a confirmation amendment/s and a 'new' confirmation/s.

Outline

The RedemptionBulkOrderConfirmationV04 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. BulkExecutionDetails

General information related to the execution of the orders.

F. CopyDetails

Information provided when the message is a copy of a previous message.

G. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

43.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <RedBlkOrdConf>	[1..1]		C2, C22	
	MessageIdentification <MsgId>	[1..1]	±		781
	PoolReference <PoolRef>	[0..1]	±		781
	PreviousReference <PrvsRef>	[0..*]	±		781
	RelatedReference <RltdRef>	[0..1]	±		782
	BulkExecutionDetails <BlkExctnDtls>	[1..1]		C8, C29, C31	782
	AmendmentIndicator <AmdmntInd>	[0..1]	Indicator		785
	MasterReference <MstrRef>	[0..1]	Text		785
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		785
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		786
	ReceivedDateTime <RcvdDtTm>	[0..1]	DateTime		786
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		786
	CancellationRight <CxlRght>	[0..1]	±		786
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	786
	IndividualExecutionDetails <IndvExctnDtls>	[1..*]		C21, C24, C25, C26	787
	OrderReference <OrdrRef>	[1..1]	Text		790
	ClientReference <ClntRef>	[0..1]	Text		790
	DealReference <DealRef>	[1..1]	Text		790
	OrderType <OrdrTp>	[0..10]	±		790
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C23	790
	UnitsNumber <UnitsNb>	[1..1]	Quantity		791
	Rounding <Rndg>	[0..1]	CodeSet		791
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	791
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	792
	HoldingsRedemptionRate <HldgsRedRate>	[0..1]	Rate		792
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	792
	TradeDateTime <TradDtTm>	[1..1]	±		793
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	793

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		794
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		794
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		794
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		794
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		795
	BestExecution <BestExctn>	[0..1]	CodeSet		795
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		795
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		796
	ForeignExchangeDetails <FXDtls>	[0..*]	±		796
	IncomePreference <IncmPref>	[0..1]	CodeSet		797
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		797
	TransactionOverhead <TxOvrhd>	[0..1]		C18, C33	797
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C3, C10	798
	TotalFees <TtlFees>	[0..1]	Amount	C3, C10	798
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C3, C10	799
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		799
	IndividualFee <IndvFee>	[0..*]	±	C6	799
	IndividualTax <IndvTax>	[0..*]	±		800
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C32	801
	StaffClientBreakdown <StffClntrBrkdw>	[0..4]	±		803
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		803
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		805
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		805
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		805
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		806
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		807
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		807
	PartialRedemptionWithholdingAmount <PrtlRedWhldgAmt>	[0..1]	Amount	C3, C10	807
	FinancialAdvice <FinAdv<	[0..1]	CodeSet		807
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		808
	LateReport <LateRpt>	[0..1]	CodeSet		808

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	808
	Equalisation <Equlstrn>	[0..1]	±		809
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		809
	TransactionChannelType <TxChanlTp>	[0..1]	±		809
	SignatureType <SgntrTp>	[0..1]	±		809
	OrderWaiverDetails <OrdWrDtls>	[0..1]	±	C34	810
	GatingOrHoldBackDetails <GtgOrHldBckDtls>	[0..1]	±		810
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	811
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	811
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	811
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		812
	CopyDetails <CpyDtls>	[0..1]	±		814
	Extension <Xtnsn>	[0..*]	±	C15	814

43.3 Constraints

C1 AccountElementRule

Either AccountIdentification or Type must be present. Both may be present.

C2 AccountIdentificationRule

If this message is linked to an account opening request and the account identification is not yet known then the value of the AccountIdentification element in BulkExecutionDetails/IndividualExecutionDetails/InvestmentAccountDetails must be UNKNOWN.

This constraint is defined at the MessageDefinition level.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 AppliedAmountRule

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C7 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C8 BulkCashSettlementDetailsRule

Either one or more occurrences of IndividualExecutionDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

C9 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 DeliverersCustodianRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present. If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

C13 DeliverersIntermediary1DetailsRule

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

C14 DiscountElementRule

Amount Or Rate Or Basis must be present.

C15 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C16 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C17 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C18 NonStandardsSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C19 OrderOriginatorEligibility1Rule

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

C20 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C21 OrderOriginatorEligibility3Rule

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

C22 OrderReferenceRule

If this message is not solicited by the receiver, then the value of the OrderReference element in every occurrence of BulkExecutionDetails/IndividualExecutionDetails must be UNSOLICITED.

This constraint is defined at the MessageDefinition level.

C23 PersonElementRule

One of the elements (Name, BirthDate, CountryAndResidentialStatus, OtherIdentification) must be present.

C24 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C25 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C26 PriceDifferenceReasonRule

Any occurrence of InformativePriceDetails/PriceDifferenceReason may not be present.

C27 ReceiversCustodianRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present. If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

C28 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

C29 RequestedSettlementCurrencyRule

If RequestedSettlementCurrency is present, it must be the same Currency as in all occurrences of IndividualExecutionDetails/SettlementAmount.

C30 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

C31 SettlementCurrencyRule

If BulkCashSettlementDetails is present, then Currency in IndividualExecutionDetails/SettlementAmount must be the same in all occurrences of IndividualExecutionDetails.

C32 TaxElementRule

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus, PercentageOfDebtClaim, IndividualTax) must be present.

C33 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C34 WaiverElementRule

Either OrderWaiverReason or InformationValue must be present. Both may be present.

43.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

43.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

43.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1498 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1498
	ReferenceIssuer <RefIssr>	[0..1]	±		1498
	MessageName <MsgNm>	[0..1]	Text		1498

43.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference8" on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

43.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference8" on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

43.4.5 BulkExecutionDetails <BlkExctnDtls>

Presence: [1..1]

Definition: General information related to the execution of the orders.

Impacted by: C8 "BulkCashSettlementDetailsRule", C29 "RequestedSettlementCurrencyRule", C31 "SettlementCurrencyRule"

BulkExecutionDetails <BlkExctnDtls> contains the following **RedemptionBulkExecution5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmendmentIndicator <AmdmntInd>	[0..1]	Indicator		785
	MasterReference <MstrRef>	[0..1]	Text		785
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		785
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		786
	ReceivedDateTime <RcvdDtTm>	[0..1]	DateTime		786
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		786
	CancellationRight <CxlRght>	[0..1]	±		786
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	786
	IndividualExecutionDetails <IndvExctnDtls>	[1..*]		C21, C24, C25, C26	787
	OrderReference <OrdrRef>	[1..1]	Text		790
	ClientReference <ClntRef>	[0..1]	Text		790
	DealReference <DealRef>	[1..1]	Text		790
	OrderType <OrdrTp>	[0..10]	±		790
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C23	790
	UnitsNumber <UnitsNb>	[1..1]	Quantity		791
	Rounding <Rndg>	[0..1]	CodeSet		791
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	791
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	792
	HoldingsRedemptionRate <HldgsRedRate>	[0..1]	Rate		792
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	792
	TradeDateTime <TradDtTm>	[1..1]	±		793
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	793
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		794
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		794
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		794
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		794
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		795
	BestExecution <BestExctn>	[0..1]	CodeSet		795
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		795

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		796
	ForeignExchangeDetails <FXDtls>	[0..*]	±		796
	IncomePreference <IncmPref>	[0..1]	CodeSet		797
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		797
	TransactionOverhead <TxOvrhd>	[0..1]		C18, C33	797
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C3, C10	798
	TotalFees <TtlFees>	[0..1]	Amount	C3, C10	798
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C3, C10	799
	CommercialAgreementReference <ComrcIAgmtRef>	[0..1]	Text		799
	IndividualFee <IndvFee>	[0..*]	±	C6	799
	IndividualTax <IndvTax>	[0..*]	±		800
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C32	801
	StaffClientBreakdown <StffClntBrkdown>	[0..4]	±		803
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		803
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		805
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		805
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		805
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		806
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		807
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		807
	PartialRedemptionWithholdingAmount <PrtlRedWhldgAmt>	[0..1]	Amount	C3, C10	807
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		807
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		808
	LateReport <LateRpt>	[0..1]	CodeSet		808
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	808
	Equalisation <Equlstn>	[0..1]	±		809
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		809
	TransactionChannelType <TxChanITp>	[0..1]	±		809
	SignatureType <SgntrTp>	[0..1]	±		809

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderWaiverDetails <OrdWvrDtls>	[0..1]	±	C34	810
	GatingOrHoldBackDetails <GtgOrHldBckDtls>	[0..1]	±		810
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	811
	RequestedNAVCurrency <ReqdNAVCCcy>	[0..1]	CodeSet	C4	811
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	811
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		812

Constraints

- **BulkCashSettlementDetailsRule**

Either one or more occurrences of IndividualExecutionDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

- **RequestedSettlementCurrencyRule**

If RequestedSettlementCurrency is present, it must be the same Currency as in all occurrences of IndividualExecutionDetails/SettlementAmount.

- **SettlementCurrencyRule**

If BulkCashSettlementDetails is present, then Currency in IndividualExecutionDetails/SettlementAmount must be the same in all occurrences of IndividualExecutionDetails.

43.4.5.1 AmendmentIndicator <AmdmntInd>

Presence: [0..1]

Definition: Indicates whether the confirmation is an amendment of a previous confirmation.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

43.4.5.2 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: ["Max35Text"](#) on page 2683

43.4.5.3 PlaceOfTrade <PlcOfTrad>

Presence: [0..1]

Definition: Market in which the advised trade transaction was executed.

PlaceOfTrade <PlcOfTrad> contains one of the following elements (see "PlaceOfTradeIdentification1Choice" on page 1784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Country <Ctry>	[1..1]	CodeSet	C11	1784
Or	Exchange <Xchg>	[1..1]	IdentifierSet		1784
Or	Party <Pty>	[1..1]	IdentifierSet	C7	1784
Or}	OverTheCounter <OverTheCntr>	[1..1]	Text		1785

43.4.5.4 OrderDateTime <OrdrDtTm>

Presence: [0..1]

Definition: Date and time at which the order was placed by the investor or its agent.

Datatype: "ISODateTime" on page 2669

43.4.5.5 ReceivedDateTime <RcvdDtTm>

Presence: [0..1]

Definition: Date and time the order was received by the executing party, for example, the transfer agent.

Datatype: "ISODateTime" on page 2669

43.4.5.6 RequestedFutureTradeDate <ReqdFutrTradDt>

Presence: [0..1]

Definition: Future date at which the investor requests the order to be executed.

The specification of a requested future trade date is not allowed in some markets. The date must be a date in the future.

Datatype: "ISODate" on page 2668

43.4.5.7 CancellationRight <CxlRght>

Presence: [0..1]

Definition: Cancellation right of the investor with respect to the investment fund order.

CancellationRight <CxlRght> contains one of the following elements (see "CancellationRight1Choice" on page 1814 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1814
Or}	Proprietary <Prtry>	[1..1]	±		1815

43.4.5.8 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class to which the investment fund order execution is related.

Impacted by: C17 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1436 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification </d>	[1..1]	±		1436
	Name <Nm>	[0..1]	Text		1437
	ShortName <ShrtNm>	[0..1]	Text		1437
	SupplementaryIdentification <Splmtryld>	[0..1]	Text		1437
	ClassType <ClsTp>	[0..1]	Text		1438
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1438
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1438
	ProductGroup <PdctGrp>	[0..1]	Text		1438
	SeriesIdentification <Srsld>	[0..1]		C30	1438
	SeriesDate <SrsDt>	[0..1]	±		1439
	SeriesName <SrsNm>	[0..1]	Text		1439

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

43.4.5.9 IndividualExecutionDetails <IndvExctnDtls>

Presence: [1..*]

Definition: Execution of a redemption order.

Impacted by: C21 "OrderOriginatorEligibility3Rule", C24 "PhysicalDeliveryDetails1Rule", C25 "PhysicalDeliveryDetails2Rule", C26 "PriceDifferenceReasonRule"

IndividualExecutionDetails <IndvExctnDtls> contains the following **RedemptionExecution16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdRef>	[1..1]	Text		790
	ClientReference <ClntRef>	[0..1]	Text		790
	DealReference <DealRef>	[1..1]	Text		790
	OrderType <OrdTp>	[0..10]	±		790
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C23	790
	UnitsNumber <UnitsNb>	[1..1]	Quantity		791
	Rounding <Rndg>	[0..1]	CodeSet		791
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	791
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	792
	HoldingsRedemptionRate <HldgsRedRate>	[0..1]	Rate		792
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	792
	TradeDateTime <TradDtTm>	[1..1]	±		793
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	793
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		794
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		794
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		794
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		794
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		795
	BestExecution <BestExctn>	[0..1]	CodeSet		795
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		795
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		796
	ForeignExchangeDetails <FXDtls>	[0..*]	±		796
	IncomePreference <IncmPref>	[0..1]	CodeSet		797
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		797
	TransactionOverhead <TxOvrhd>	[0..1]		C18, C33	797
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C3, C10	798
	TotalFees <TtlFees>	[0..1]	Amount	C3, C10	798
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C3, C10	799
	CommercialAgreementReference <ComrcIAgrmtRef>	[0..1]	Text		799
	IndividualFee <IndvFee>	[0..*]	±	C6	799

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndividualTax <IndvTax>	[0..*]	±		800
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C32	801
	StaffClientBreakdown <StffClntBrkdw>	[0..4]	±		803
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		803
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		805
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		805
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		805
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		806
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		807
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		807
	PartialRedemptionWithholdingAmount <PrtlRedWhldgAmt>	[0..1]	Amount	C3, C10	807
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		807
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		808
	LateReport <LateRpt>	[0..1]	CodeSet		808
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	808
	Equalisation <Equlstr>	[0..1]	±		809
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		809
	TransactionChannelType <TxChanlTp>	[0..1]	±		809
	SignatureType <SgntrTp>	[0..1]	±		809
	OrderWaiverDetails <OrdrWvrDtls>	[0..1]	±	C34	810
	GatingOrHoldBackDetails <GtgOrHldBckDtls>	[0..1]	±		810

Constraints

- **OrderOriginatorEligibility3Rule**

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

- **PhysicalDeliveryDetails1Rule**

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True

/PhysicalDeliveryDetails Must be absent

- **PhysicalDeliveryDetails2Rule**

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

```

On Condition
  /PhysicalDeliveryIndicator is equal to value 'true'
Or
  /PhysicalDeliveryIndicator is equal to value '1'
Following Must be True
  /PhysicalDeliveryDetails Must be present

```

- **PriceDifferenceReasonRule**

Any occurrence of InformativePriceDetails/PriceDifferenceReason may not be present.

43.4.5.9.1 OrderReference <OrdRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

43.4.5.9.2 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2683

43.4.5.9.3 DealReference <DealRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order execution, as assigned by the confirming party.

Datatype: "Max35Text" on page 2683

43.4.5.9.4 OrderType <OrdTp>

Presence: [0..10]

Definition: Category of the investment fund order.

OrderType <OrdTp> contains one of the following elements (see "FundOrderType4Choice" on page 1782 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1783
Or}	Proprietary <Prtry>	[1..1]	±		1783

43.4.5.9.5 BeneficiaryDetails <BnfcryDtls>

Presence: [0..*]

Definition: Additional information about the investor.

Impacted by: C23 "PersonElementRule"

BeneficiaryDetails <BnfcryDtIs> contains the following elements (see ["IndividualPerson32"](#) on page 2190 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2190
	BirthDate <BirthDt>	[0..1]	Date		2190
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		2191
	OtherIdentification <OthrlId>	[0..*]			2191
	Identification <Id>	[1..1]	Text		2191
	IdentificationType <IdTp>	[1..1]			2191
{Or	Code <Cd>	[1..1]	CodeSet		2192
Or}	Proprietary <Prtry>	[1..1]	±		2193
	Issuer </Issr>	[0..1]	Text		2193

Constraints

- **PersonElementRule**

One of the elements (Name, BirthDate, CountryAndResidentialStatus, OtherIdentification) must be present.

43.4.5.9.6 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Number of investment funds units redeemed.

Datatype: ["DecimalNumber"](#) on page 2680

43.4.5.9.7 Rounding <Rndg>

Presence: [0..1]

Definition: Indicates the rounding direction applied to nearest unit.

Datatype: ["RoundingDirection2Code"](#) on page 2635

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

43.4.5.9.8 NetAmount <NetAmt>

Presence: [0..1]

Definition: Amount of money paid to the investor when redeeming fund units.

Net amount = (Quantity * Price) - (Fees + Taxes).

Impacted by: [C3 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

43.4.5.9.9 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [1..1]

Definition: Account impacted by the investment fund order execution.

Impacted by: C20 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1635
	AccountName <AcctNm>	[0..1]	Text		1635
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1635
	OwnerIdentification <OwnrId>	[0..*]	±		1635
	AccountServicer <AcctSvcr>	[0..1]	±		1636
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		1636
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1636

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

43.4.5.9.10 HoldingsRedemptionRate <HldgsRedRate>

Presence: [0..1]

Definition: Portion of the investor's holdings redeemed.

Datatype: "PercentageRate" on page 2681

43.4.5.9.11 GrossAmount <GrssAmt>

Presence: [0..1]

Definition: Amount of money redeemed from the fund.

Gross Amount = Quantity * Price.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

43.4.5.9.12 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and time at which a price is applied, according to the terms stated in the prospectus.

TradeDateTime <TradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

43.4.5.9.13 SettlementAmount <SttlmAmt>

Presence: [1..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the individual order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

43.4.5.9.14 CashSettlementDate <CshSttlmDt>*Presence:* [0..1]*Definition:* Date on which cash is available.*Datatype:* "ISODate" on page 2668**43.4.5.9.15 SettlementMethod <SttlmMtd>***Presence:* [0..1]*Definition:* Method by which the transaction is settled.*Datatype:* "DeliveryReceiptType2Code" on page 2571

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

43.4.5.9.16 DealingPriceDetails <DealgPricDtls>*Presence:* [1..1]*Definition:* Price at which the order was executed.**DealingPriceDetails <DealgPricDtls>** contains the following elements (see "UnitPrice22" on page 2230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2231
	Value <Val>	[1..1]	±		2231
	PriceMethod <PricMtd>	[0..1]	CodeSet		2231
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2232
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2232
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2232
{Or	Code <Cd>	[1..1]	CodeSet		2232
Or}	Proprietary <Prtry>	[1..1]	±		2233
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2233

43.4.5.9.17 InformativePriceDetails <InftvPricDtls>*Presence:* [0..2]*Definition:* Other quoted price than the one at which the order was executed.

InformativePriceDetails <InftvPricDtls> contains the following elements (see "UnitPrice22" on page 2230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2231
	Value <Val>	[1..1]	±		2231
	PriceMethod <PricMtd>	[0..1]	CodeSet		2231
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2232
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2232
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2232
{Or	Code <Cd>	[1..1]	CodeSet		2232
Or}	Proprietary <Prtry>	[1..1]	±		2233
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2233

43.4.5.9.18 PartiallyExecutedIndicator <PrtlyExctdInd>

Presence: [1..1]

Definition: Indicates whether the order has been partially executed, that is, the confirmed quantity does not match the ordered quantity for a given financial instrument.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

43.4.5.9.19 BestExecution <BestExctn>

Presence: [0..1]

Definition: Specifies that the execution was subject to best execution rules as defined by MiFID.

Datatype: "BestExecution1Code" on page 2555

CodeName	Name	Definition
BTEX	Best	Best execution rules were followed.

43.4.5.9.20 CumDividendIndicator <CumDvddInd>

Presence: [1..1]

Definition: Indicates whether the dividend is included, that is, cum-dividend, in the executed price. When the dividend is not included, the price will be ex-dividend.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

43.4.5.9.21 InterimProfitAmount <IntrmPrftAmt>*Presence:* [0..1]*Definition:* Part of the price deemed as accrued income or profit rather than capital. The interim profit amount is used for tax purposes.**InterimProfitAmount <IntrmPrftAmt>** contains one of the following elements (see "ProfitAndLoss2Choice" on page 2229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Profit <Prft>	[1..1]	Amount	C2, C10	2229
Or}	Loss <Loss>	[1..1]	Amount	C2, C10	2230

43.4.5.9.22 ForeignExchangeDetails <FXDtIs>*Presence:* [0..*]*Definition:* Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtIs> contains the following elements (see ["ForeignExchangeTerms33"](#) on page 1483 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ToAmount <ToAmt>	[0..1]	Amount	C2, C10	1483
	FromAmount <FrAmt>	[0..1]	Amount	C2, C10	1484
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1484
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1485
	ExchangeRate <XchgRate>	[1..1]	Rate		1485
	QuotationDate <QtnDt>	[0..1]	DateTime		1485
	QuotingInstitution <QtgInstn>	[0..1]	±		1485

43.4.5.9.23 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: ["IncomePreference1Code"](#) on page 2586

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

43.4.5.9.24 Group1Or2Units <Grp1Or2Units>

Presence: [0..1]

Definition: Tax group to which the purchased investment fund units belong. The investor indicates to the intermediary operating pooled nominees, which type of unit is to be sold.

Datatype: ["UKTaxGroupUnit1Code"](#) on page 2668

CodeName	Name	Definition
GRP1	Group1	The units that were purchased prior to the last ex-div date.
GRP2	Group2	The units that were purchased since the ex-div date, and that benefit from the tax exemption.

43.4.5.9.25 TransactionOverhead <TxOvrhd>

Presence: [0..1]

Definition: Fees (charges/commission) and taxes that are taken into consideration for the transaction, so that the total difference between the net amount and gross amount is known, without taking into account equalisation.

Impacted by: [C18 "NonStandardsSLARReferenceRule"](#), [C33 "TransactionOverheadElementRule"](#)

TransactionOverhead <TxOvrhd> contains the following **TotalFeesAndTaxes40** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C3, C10	798
	TotalFees <TtlFees>	[0..1]	Amount	C3, C10	798
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C3, C10	799
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		799
	IndividualFee <IndvFee>	[0..*]	±	C6	799
	IndividualTax <IndvTax>	[0..*]	±		800

Constraints

- **NonStandardsSLAReferenceRule**

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

- **TransactionOverheadElementRule**

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

43.4.5.9.25.1 TotalOverheadApplied <TtlOvrhdApld>

Presence: [0..1]

Definition: Total amount of overhead applied to the transaction that impacts the settlement amount.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

43.4.5.9.25.2 TotalFees <TtlFees>

Presence: [0..1]

Definition: Total amount of fees (charge/commissions) applied to the transaction that impacts the settlement amount.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

43.4.5.9.25.3 TotalTaxes <TtITaxes>

Presence: [0..1]

Definition: Total amount of taxes applied to the transaction that impacts the settlement amount.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

43.4.5.9.25.4 CommercialAgreementReference <ComrcIAgrmtRef>

Presence: [0..1]

Definition: Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.

Datatype: "Max35Text" on page 2683

43.4.5.9.25.5 IndividualFee <IndvFee>

Presence: [0..*]

Definition: Individual fee (charge/commission).

Impacted by: C6 "AppliedAmountRule"

IndividualFee <IndvFee> contains the following elements (see "Fee2" on page 1344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1345
{Or	Code <Cd>	[1..1]	CodeSet		1346
Or}	Proprietary <Prtry>	[1..1]	±		1347
	Basis <Bsis>	[0..1]			1347
{Or	Code <Cd>	[1..1]	CodeSet		1347
Or}	Proprietary <Prtry>	[1..1]	±		1348
	StandardAmount <StdAmt>	[0..1]	Amount	C2, C10	1348
	StandardRate <StdRate>	[0..1]	Rate		1348
	DiscountDetails <DscntDtls>	[0..1]		C14	1348
	Amount <Amt>	[0..1]	Amount	C2, C10	1349
	Rate <Rate>	[0..1]	Rate		1350
	Basis <Bsis>	[0..1]			1350
{Or	Code <Cd>	[1..1]	CodeSet		1350
Or}	Proprietary <Prtry>	[1..1]	±		1350
	AppliedAmount <ApIdAmt>	[0..1]	Amount	C2, C10	1351
	AppliedRate <ApIdRate>	[0..1]	Rate		1351
	NonStandardSLAReference <NonStdSLARef>	[0..1]	Text		1351
	RecipientIdentification <RcptId>	[0..1]	±		1351
	InformativeIndicator <InfvInd>	[1..1]	Indicator		1351

Constraints

- **AppliedAmountRule**

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

On Condition

/InformativeIndicator is equal to value 'false'

Or /InformativeIndicator is equal to value '0'

Following Must be True

/AppliedAmount Must be present

43.4.5.9.25.6 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Individual tax.

IndividualTax <IndvTax> contains the following elements (see "Tax31" on page 2450 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2451
{Or	Code <Cd>	[1..1]	CodeSet		2451
Or}	Proprietary <Prtry>	[1..1]	±		2453
	AppliedAmount <ApldAmt>	[1..1]	Amount	C2, C10	2453
	AppliedRate <ApldRate>	[0..1]	Rate		2453
	Country <Ctry>	[0..1]	CodeSet	C11	2453
	RecipientIdentification <RcptId>	[0..1]	±		2454
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2454
	Basis <Bsis>	[0..1]			2454
{Or	Code <Cd>	[1..1]	CodeSet		2454
Or}	Proprietary <Prtry>	[1..1]	±		2455
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2455

43.4.5.9.26 InformativeTaxDetails <InftvTaxDtls>

Presence: [0..1]

Definition: Additional information about tax that does not have an impact on the transaction overhead.

Impacted by: C32 "TaxElementRule"

InformativeTaxDetails <InftvTaxDtls> contains the following elements (see "InformativeTax1" on page 2438 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C2, C10	2439
	EUCapitalGain <EUCptlGn>	[0..1]			2439
{Or	Code <Cd>	[1..1]	CodeSet		2439
Or}	Proprietary <Prtry>	[1..1]	±		2440
	EUDividendStatus <EUDvddSts>	[0..1]			2440
{Or	Code <Cd>	[1..1]	CodeSet		2440
Or}	Proprietary <Prtry>	[1..1]	±		2440
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2441
	IndividualTax <IndvTax>	[0..*]			2441
	Type <Tp>	[1..1]			2442
{Or	Code <Cd>	[1..1]	CodeSet		2442
Or}	Proprietary <Prtry>	[1..1]	±		2444
	InformativeAmount <InftvAmt>	[0..1]	Amount	C2, C10	2444
	InformativeRate <InftvRate>	[0..1]	Rate		2444
	Country <Ctry>	[0..1]	CodeSet	C11	2445
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2445
	ExemptionReason <XmptnRsn>	[0..1]			2445
{Or	Code <Cd>	[1..1]	CodeSet		2445
Or}	Proprietary <Prtry>	[1..1]	±		2448
	RecipientIdentification <Rcptld>	[0..1]	±		2448
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2449
	Basis <Bsis>	[0..1]			2449
{Or	Code <Cd>	[1..1]	CodeSet		2449
Or}	Proprietary <Prtry>	[1..1]	±		2449
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2450

Constraints

- **TaxElementRule**

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus, PercentageOfDebtClaim, IndividualTax) must be present.

43.4.5.9.27 StaffClientBreakdown <StffCIntBrkdwn>

Presence: [0..4]

Definition: Breakdown of the net amount per type of order.

StaffClientBreakdown <StffCIntBrkdwn> contains the following elements (see "[InvestmentFundsOrderBreakdown2](#)" on page 1781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderBreakdownType <OrdBrkdwnTp>	[1..1]			1781
{Or	Code <Cd>	[1..1]	CodeSet		1781
Or}	Proprietary <Prtry>	[1..1]	±		1782
	Amount <Amt>	[1..1]	Amount	C2, C10	1782

43.4.5.9.28 SettlementAndCustodyDetails <SttlmAndCtdyDtls>

Presence: [0..1]

Definition: Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters11" on page 2296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2298
	SettlementPlace <SttlmPlc>	[1..1]	±		2298
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2298
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2299
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2299
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2299
	ReceivingSideDetails <RcvgSdDtls>	[1..1]		C27, C28	2299
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2300
	PartyIdentification <PtyId>	[1..1]	±		2301
	AccountIdentification <AcctId>	[0..1]	Text		2301
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2301
	PartyIdentification <PtyId>	[1..1]	±		2301
	AccountIdentification <AcctId>	[0..1]	Text		2302
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2302
	PartyIdentification <PtyId>	[1..1]	±		2302
	AccountIdentification <AcctId>	[0..1]	Text		2302
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2302
	PartyIdentification <PtyId>	[1..1]	±		2303
	AccountIdentification <AcctId>	[0..1]	Text		2303
	DeliveringSideDetails <DlvrgSdDtls>	[0..1]		C12, C13	2303
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2304
	PartyIdentification <PtyId>	[1..1]	±		2305
	AccountIdentification <AcctId>	[0..1]	Text		2305
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2305
	PartyIdentification <PtyId>	[1..1]	±		2305
	AccountIdentification <AcctId>	[0..1]	Text		2306
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2306
	PartyIdentification <PtyId>	[1..1]	±		2306
	AccountIdentification <AcctId>	[0..1]	Text		2306

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2306
	PartyIdentification <PtyId>	[1..1]	±		2307
	AccountIdentification <AcctId>	[0..1]	Text		2307

43.4.5.9.29 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

43.4.5.9.30 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["DeliveryParameters3"](#) on page 2201 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Address <Adr>	[1..1]	±		2201
	IssuedCertificateNumber <IssdCertNb>	[0..1]	Text		2201

43.4.5.9.31 CashSettlementDetails <CshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

CashSettlementDetails <CshSttlmDtls> contains the following elements (see "PaymentTransaction72" on page 2045 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2046
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2047
	Reference <Ref>	[0..1]	Text		2047
	Debtor <Dbtr>	[0..1]	±		2047
	DebtorAccount <DbtrAcct>	[0..1]	±		2047
	DebtorAgent <DbtrAgt>	[0..1]	±		2048
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2048
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2048
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2048
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2049
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2049
	CreditorAgent <CdtrAgt>	[1..1]	±		2049
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2050
	Creditor <Cdtr>	[0..1]	±		2050
	CreditorAccount <CdtrAcct>	[1..1]	±		2050
Or	ChequeDetails <ChqDtls>	[1..1]			2050
	Number <Nb>	[0..1]	Text		2051
	PayeeIdentification <Pyeeld>	[1..1]	±		2051
	DraweeIdentification <Drweeld>	[0..1]	±		2051
	DrawerIdentification <Drwrlid>	[0..1]	±		2051
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2052
	Number <Nb>	[0..1]	Text		2052
	PayeeIdentification <Pyeeld>	[1..1]	±		2052
	DraweeIdentification <Drweeld>	[0..1]	±		2052
	DrawerIdentification <Drwrlid>	[0..1]	±		2053
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2053
	AccountIdentification <AcctId>	[0..1]	Text		2053
	Type <Tp>	[0..1]	±		2053

43.4.5.9.32 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: "Max350Text" on page 2683

43.4.5.9.33 PartialSettlementOfUnits <PrtlSttlmOfUnits>

Presence: [0..1]

Definition: Percentage of units partially settled.

Datatype: "PercentageRate" on page 2681

43.4.5.9.34 PartialSettlementOfCash <PrtlSttlmOfCsh>

Presence: [0..1]

Definition: Percentage of cash partially settled.

Datatype: "PercentageRate" on page 2681

43.4.5.9.35 PartialRedemptionWithholdingAmount <PrtlRedWhldgAmt>

Presence: [0..1]

Definition: Amount retained by the fund and paid out later at a time decided by the fund.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

43.4.5.9.36 FinancialAdvice <FinAdvc>

Presence: [0..1]

Definition: Specifies if advice has been received from an independent financial advisor.

Datatype: "FinancialAdvice1Code" on page 2580

CodeName	Name	Definition
RECE	Received	Advice has been received from an independent financial advisor.
NREC	NotReceived	Advice has not been received from an independent financial advisor.
UKWN	Unknown	It is not known whether or not advice has been received from an independent financial advisor.

43.4.5.9.37 NegotiatedTrade <NgtdTrad>*Presence:* [0..1]*Definition:* Specifies whether the trade is negotiated.*Datatype:* "NegotiatedTrade1Code" on page 2609

CodeName	Name	Definition
NEGO	Negotiated	Trade is negotiated.
NNGO	NotNegotiated	Trade is not negotiated.
UNKW	Unknown	Whether the trade is negotiated or not is unknown.

43.4.5.9.38 LateReport <LateRpt>*Presence:* [0..1]*Definition:* Specifies whether the order execution confirmation is late.*Datatype:* "LateReport1Code" on page 2606

CodeName	Name	Definition
LAT1	Late	The confirmation is late.
LAT2	LateBecausePartial	The confirmation is late because the trade was executed as a number of partials.

43.4.5.9.39 RelatedPartyDetails <RltdPtyDtls>*Presence:* [0..10]*Definition:* Party related to the transaction.*Impacted by:* C19 "OrderOriginatorEligibility1Rule"**RelatedPartyDetails <RltdPtyDtls>** contains the following elements (see "Intermediary39" on page 2024 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2025
	Account <Acct>	[0..1]			2025
	Identification <Id>	[1..1]	Text		2025
	AccountServicer <AcctSvcr>	[0..1]	±		2025
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		2026
	TradingPartyCapacity <TradgPtyCpcty>	[0..1]	CodeSet		2026
	Role <Role>	[0..1]	±		2026

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

43.4.5.9.40 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's subscription amount that was held by the fund in order to pay incentive/performance fees at the end of the fiscal year, and is returned due to the redemption.

Equalisation <Equlstn> contains the following elements (see "[Equalisation1](#)" on page 1770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1770
	Rate <Rate>	[0..1]	Rate		1770

43.4.5.9.41 CustomerConductClassification <CstmrCndctClssfctn>

Presence: [0..1]

Definition: Assessment of the customer's behaviour at the time of the account opening application.

CustomerConductClassification <CstmrCndctClssfctn> contains one of the following elements (see "[CustomerConductClassification1Choice](#)" on page 1630 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1631
Or}	Proprietary <Prtry>	[1..1]	±		1631

43.4.5.9.42 TransactionChannelType <TxChanITp>

Presence: [0..1]

Definition: Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanITp> contains one of the following elements (see "[TransactionChannelType1Choice](#)" on page 1864 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1864
Or}	Proprietary <Prtry>	[1..1]	±		1865

43.4.5.9.43 SignatureType <SgntrTp>

Presence: [0..1]

Definition: Type of signature.

SignatureType <SgntrTp> contains one of the following elements (see "SignatureType1Choice" on page 1813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1813
Or}	Proprietary <Prtry>	[1..1]	±		1814

43.4.5.9.44 OrderWaiverDetails <OrdWvrDtls>

Presence: [0..1]

Definition: Information about a non-standard order.

Impacted by: C34 "WaiverElementRule"

OrderWaiverDetails <OrdWvrDtls> contains the following elements (see "OrderWaiver1" on page 1769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderWaiverReason <OrdWvrRsn>	[0..*]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1769
	InformationValue <InfVal>	[0..1]	Text		1770

Constraints

- **WaiverElementRule**

Either OrderWaiverReason or InformationValue must be present. Both may be present.

43.4.5.9.45 GatingOrHoldBackDetails <GtgOrHldBckDtls>

Presence: [0..1]

Definition: Information about gating and hold back of redemption proceeds.

GatingOrHoldBackDetails <GtgOrHldBckDtls> contains the following elements (see "HoldBackInformation2" on page 1777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		1777
	Amount <Amt>	[0..1]	Amount	C2, C10	1777
	ExpectedReleaseDate <XpctdRlsDt>	[0..1]	Date		1778
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±		1778
	FinancialInstrumentName <FinInstrmNm>	[0..1]	Text		1779
	RedemptionCompletion <RedCmpltn>	[0..1]	CodeSet		1779
	FinalConfirmation <FnlConf>	[0..1]	Indicator		1779

43.4.5.10 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: C3 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

43.4.5.11 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: C4 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

43.4.5.12 TotalSettlementAmount <TtlSttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the multiple order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

43.4.5.13 BulkCashSettlementDetails <BlkCshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

BulkCashSettlementDetails <BlkCshSttlmDtls> contains the following elements (see "PaymentTransaction72" on page 2045 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2046
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2047
	Reference <Ref>	[0..1]	Text		2047
	Debtor <Dbtr>	[0..1]	±		2047
	DebtorAccount <DbtrAcct>	[0..1]	±		2047
	DebtorAgent <DbtrAgt>	[0..1]	±		2048
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2048
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2048
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2048
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2049
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2049
	CreditorAgent <CdtrAgt>	[1..1]	±		2049
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2050
	Creditor <Cdtr>	[0..1]	±		2050
	CreditorAccount <CdtrAcct>	[1..1]	±		2050
Or	ChequeDetails <ChqDtls>	[1..1]			2050
	Number <Nb>	[0..1]	Text		2051
	PayeeIdentification <Pyeeld>	[1..1]	±		2051
	DraweeIdentification <Drweeld>	[0..1]	±		2051
	DrawerIdentification <Drwrlid>	[0..1]	±		2051
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2052
	Number <Nb>	[0..1]	Text		2052
	PayeeIdentification <Pyeeld>	[1..1]	±		2052
	DraweeIdentification <Drweeld>	[0..1]	±		2052
	DrawerIdentification <Drwrlid>	[0..1]	±		2053
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2053
	AccountIdentification <Acctld>	[0..1]	Text		2053
	Type <Tp>	[0..1]	±		2053

43.4.6 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see ["CopyInformation4"](#) on page 1819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1819
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1819

43.4.7 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: [C15 "ExtensionRule"](#)

Extension <Xtnsn> contains the following elements (see ["Extension1"](#) on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

44 **setr.004.001.04**

RedemptionOrderV04

44.1 **MessageDefinition Functionality**

Scope

The RedemptionOrder message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct the redemption of one or more financial instruments for one investment fund account.

Usage

The RedemptionOrder message is used to instruct single redemption orders, that is, a message containing one order for one financial instrument and related to one investment account. The RedemptionOrder message may also be used for multiple orders, that is, a message containing several orders related to the same investment account for different financial instruments.

For a single redemption order, the RedemptionOrder message, not the RedemptionBulkOrder message, must be used.

If there are redemption orders for the same financial instrument but for different accounts that are to be communicated in a single message, then the RedemptionBulkOrder message must be used.

Outline

The RedemptionOrderV04 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. MultipleOrderDetails

General information related to the orders.

E. CopyDetails

Information provided when the message is a copy of a previous message.

F. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

44.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <RedOrd>	[1..1]		C2	
	MessageIdentification <MsgId>	[1..1]	±		820
	PoolReference <PoolRef>	[0..1]	±		821
	PreviousReference <PrvsRef>	[0..*]	±		821
	MultipleOrderDetails <MltplOrdrDtls>	[1..1]		C7, C21, C29, C32	821
	MasterReference <MstrRef>	[0..1]	Text		824
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		824
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		824
	ExpiryDateTime <XpryDtTm>	[0..1]	±		824
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		824
	CancellationRight <CxlRght>	[0..1]	±		825
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	825
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C22	825
	IndividualOrderDetails <IndvOrdrDtls>	[1..*]		C23, C24, C27	826
	OrderReference <OrdrRef>	[1..1]	Text		828
	ClientReference <ClntRef>	[0..1]	Text		828
	OrderType <OrdrTp>	[0..10]	±		829
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C18	829
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		830
	AmountOrUnitsOrPercentage <AmtOrUnitsOrPctg>	[1..1]			830
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		830
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	830
Or	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	831
Or}	HoldingsRedemptionRate <HldgsRedRate>	[1..1]	Rate		831
	Rounding <Rndg>	[0..1]	CodeSet		831
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	832
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		832
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		832

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ForeignExchangeDetails <FXDtls>	[0..1]	±		832
	IncomePreference <IncmPref>	[0..1]	CodeSet		833
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		833
	TransactionOverhead <TxOvrhd>	[0..1]		C16	834
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		834
	IndividualFee <IndvFee>	[0..*]	±	C15, C30, C31	834
	IndividualTax <IndvTax>	[0..*]	±		835
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		836
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		838
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		838
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	838
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	838
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		839
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		840
	StaffClientBreakdown <StffClntBrkwn>	[0..4]	±		841
	FinancialAdvice <FinAdv<	[0..1]	CodeSet		841
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		841
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	841
	Equalisation <Equlstn>	[0..1]	±		842
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		842
	TransactionChannelType <TxChanlTp>	[0..1]	±		842
	SignatureType <SgntrTp>	[0..1]	±		843
	OrderWaiverDetails <OrdWrDtls>	[0..1]	±	C33	843
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		843
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	844
	CopyDetails <CpyDtls>	[0..1]	±		845
	Extension <Xtnsn>	[0..*]	±	C14	845

44.3 Constraints

C1 AccountElementRule

Either AccountIdentification or Type must be present. Both may be present.

C2 AccountIdentificationRule

If this message is linked to an account opening request and the account identification is not yet known then the value of the AccountIdentification element in MultipleOrderDetails/InvestmentAccountDetails must be UNKNOWN.

This constraint is defined at the MessageDefinition level.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C7 BulkCashSettlementDetailsRule

Either one or more occurrences of IndividualOrderDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 DeliverersCustodianRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present. If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

C12 DeliverersIntermediary1DetailsRule

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

C13 DiscountElementRule

Amount Or Rate Or Basis must be present.

C14 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C15 FeeElementRule

One of the elements (Basis, StandardAmount, StandardRate, DiscountDetails, RequestedAmount, RequestedRate, NonStandardSLAResource, RecipientIdentification) must be present.

C16 FeeTaxElementRule

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C17 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C18 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C19 OrderOriginatorEligibility1Rule

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

C20 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C21 OrderOriginatorEligibility3Rule

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of IndividualOrderDetails/RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

C22 PersonElementRule

One of the elements (Name, BirthDate, CountryAndResidentialStatus, OtherIdentification) must be present.

C23 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C24 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C25 ReceiversCustodianRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present.
If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

C26 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

C27 RequestedSettlementCurrencyRule

If RequestedSettlementCurrency is present, it must be the same Currency as in SettlementAmount, if present.

C28 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

C29 SettlementCurrencyRule

If BulkCashSettlementDetails is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

C30 StandardFeeAmountCalculation

If StandardAmount, RequestedAmount and DiscountDetails/Amount are all present, then StandardAmount minus DiscountDetails/Amount must equal RequestedAmount.

C31 StandardFeeRateCalculation

If StandardRate, RequestedRate and DiscountDetails/Rate are all present, then StandardRate minus DiscountDetails/Rate must equal RequestedRate.

C32 TotalSettlementCurrencyRule

If TotalSettlementAmount is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

C33 WaiverElementRule

Either OrderWaiverReason or InformationValue must be present. Both may be present.

44.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

44.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

44.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see ["AdditionalReference9"](#) on page 1498 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1498
	ReferenceIssuer <RefIssr>	[0..1]	±		1498
	MessageName <MsgNm>	[0..1]	Text		1498

44.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see ["AdditionalReference8"](#) on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

44.4.4 MultipleOrderDetails <MltplOrdrDtls>

Presence: [1..1]

Definition: General information related to the orders.

Impacted by: [C7 "BulkCashSettlementDetailsRule"](#), [C21 "OrderOriginatorEligibility3Rule"](#), [C29 "SettlementCurrencyRule"](#), [C32 "TotalSettlementCurrencyRule"](#)

MultipleOrderDetails <MltplOrdrDtls> contains the following **RedemptionMultipleOrder6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		824
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		824
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		824
	ExpiryDateTime <XpryDtTm>	[0..1]	±		824
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		824
	CancellationRight <CxlRght>	[0..1]	±		825
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	825
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C22	825
	IndividualOrderDetails <IndvOrdrDtls>	[1..*]		C23, C24, C27	826
	OrderReference <OrdrRef>	[1..1]	Text		828
	ClientReference <ClntRef>	[0..1]	Text		828
	OrderType <OrdrTp>	[0..10]	±		829
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C18	829
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		830
	AmountOrUnitsOrPercentage <AmtOrUnitsOrPctg>	[1..1]			830
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		830
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	830
Or	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	831
Or}	HoldingsRedemptionRate <HldgsRedRate>	[1..1]	Rate		831
	Rounding <Rndg>	[0..1]	CodeSet		831
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	832
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		832
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		832
	ForeignExchangeDetails <FXDtls>	[0..1]	±		832
	IncomePreference <IncmPref>	[0..1]	CodeSet		833
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		833
	TransactionOverhead <TxOvrhd>	[0..1]		C16	834
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		834

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndividualFee <IndvFee>	[0..*]	±	C15, C30, C31	834
	IndividualTax <IndvTax>	[0..*]	±		835
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		836
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		838
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		838
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	838
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	838
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		839
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		840
	StaffClientBreakdown <StffClntBrkdown>	[0..4]	±		841
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		841
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		841
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	841
	Equalisation <Equlstn>	[0..1]	±		842
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		842
	TransactionChannelType <TxChanITp>	[0..1]	±		842
	SignatureType <SgntrTp>	[0..1]	±		843
	OrderWaiverDetails <OrdWvrDtls>	[0..1]	±	C33	843
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		843
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	844

Constraints

- **BulkCashSettlementDetailsRule**

Either one or more occurrences of IndividualOrderDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

- **OrderOriginatorEligibility3Rule**

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of IndividualOrderDetails/RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

- **SettlementCurrencyRule**

If BulkCashSettlementDetails is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

- **TotalSettlementCurrencyRule**

If TotalSettlementAmount is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

44.4.4.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "Max35Text" on page 2683

44.4.4.2 PlaceOfTrade <PlcOfTrad>

Presence: [0..1]

Definition: Market in which the advised trade transaction was executed.

PlaceOfTrade <PlcOfTrad> contains one of the following elements (see "PlaceOfTradeIdentification1Choice" on page 1784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Country <Ctry>	[1..1]	CodeSet	C11	1784
Or	Exchange <Xchg>	[1..1]	IdentifierSet		1784
Or	Party <Pty>	[1..1]	IdentifierSet	C7	1784
Or}	OverTheCounter <OverTheCntr>	[1..1]	Text		1785

44.4.4.3 OrderDateTime <OrdrDtTm>

Presence: [0..1]

Definition: Date and time the order is placed by the investor or its agent.

Datatype: "ISODateTime" on page 2669

44.4.4.4 ExpiryDateTime <XpryDtTm>

Presence: [0..1]

Definition: Date on which the order expires.

ExpiryDateTime <XpryDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

44.4.4.5 RequestedFutureTradeDate <ReqdFutrTradDt>

Presence: [0..1]

Definition: Future date at which the investor requests the order to be executed.

The specification of a requested future trade date is not allowed in some markets. The date must be a date in the future.

Datatype: "ISODate" on page 2668

44.4.4.6 CancellationRight <CxIRght>

Presence: [0..1]

Definition: Cancellation right of the investor with respect to the investment fund order.

CancellationRight <CxIRght> contains one of the following elements (see "[CancellationRight1Choice](#)" on page 1814 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1814
Or}	Proprietary <Prtry>	[1..1]	±		1815

44.4.4.7 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [1..1]

Definition: Account impacted by the investment fund order.

Impacted by: [C20 "OrderOriginatorEligibility2Rule"](#)

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "[InvestmentAccount58](#)" on page 1635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1635
	AccountName <AcctNm>	[0..1]	Text		1635
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1635
	OwnerIdentification <OwnrId>	[0..*]	±		1635
	AccountServicer <AcctSvcr>	[0..1]	±		1636
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		1636
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1636

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

44.4.4.8 BeneficiaryDetails <BnfcryDtls>

Presence: [0..*]

Definition: Additional information about the investor.

Impacted by: [C22 "PersonElementRule"](#)

BeneficiaryDetails <BnfcryDtls> contains the following elements (see "IndividualPerson32" on page 2190 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2190
	BirthDate <BirthDt>	[0..1]	Date		2190
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		2191
	OtherIdentification <OthrlId>	[0..*]			2191
	Identification <Id>	[1..1]	Text		2191
	IdentificationType <IdTp>	[1..1]			2191
{Or	Code <Cd>	[1..1]	CodeSet		2192
Or}	Proprietary <Prtry>	[1..1]	±		2193
	Issuer </ssr>	[0..1]	Text		2193

Constraints

- **PersonElementRule**

One of the elements (Name, BirthDate, CountryAndResidentialStatus, OtherIdentification) must be present.

44.4.4.9 IndividualOrderDetails <IndvOrdDrDtls>

Presence: [1..*]

Definition: Instruction from an investor to sell investment fund units back to the fund.

Impacted by: C23 "PhysicalDeliveryDetails1Rule", C24 "PhysicalDeliveryDetails2Rule", C27 "RequestedSettlementCurrencyRule"

IndividualOrderDetails <IndvOrdrDtls> contains the following **RedemptionOrder14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		828
	ClientReference <ClntRef>	[0..1]	Text		828
	OrderType <OrdrTp>	[0..10]	±		829
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C18	829
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		830
	AmountOrUnitsOrPercentage <AmtOrUnitsOrPctg>	[1..1]			830
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		830
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	830
Or	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	831
Or}	HoldingsRedemptionRate <HldgsRedRate>	[1..1]	Rate		831
	Rounding <Rndg>	[0..1]	CodeSet		831
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	832
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		832
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		832
	ForeignExchangeDetails <FXDtls>	[0..1]	±		832
	IncomePreference <IncmPref>	[0..1]	CodeSet		833
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		833
	TransactionOverhead <TxOvrhd>	[0..1]		C16	834
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		834
	IndividualFee <IndvFee>	[0..*]	±	C15, C30, C31	834
	IndividualTax <IndvTax>	[0..*]	±		835
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		836
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		838
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		838
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	838
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	838
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		839
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		840
	StaffClientBreakdown <StffClntBrkdw>	[0..4]	±		841
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		841

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		841
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	841
	Equalisation <Equlstn>	[0..1]	±		842
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		842
	TransactionChannelType <TxChanlTp>	[0..1]	±		842
	SignatureType <SgntrTp>	[0..1]	±		843
	OrderWaiverDetails <OrdrWvrDtls>	[0..1]	±	C33	843

Constraints

- **PhysicalDeliveryDetails1Rule**

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True

/PhysicalDeliveryDetails Must be absent

- **PhysicalDeliveryDetails2Rule**

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

On Condition

/PhysicalDeliveryIndicator is equal to value 'true'

Or /PhysicalDeliveryIndicator is equal to value '1'

Following Must be True

/PhysicalDeliveryDetails Must be present

- **RequestedSettlementCurrencyRule**

If RequestedSettlementCurrency is present, it must be the same Currency as in SettlementAmount, if present.

On Condition

/RequestedSettlementCurrency is present

And /SettlementAmount is present

Following Must be True

/RequestedSettlementCurrency Must be equal to /SettlementAmount/
attribute::Currency

44.4.4.9.1 OrderReference <OrdrRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

44.4.4.9.2 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2683

44.4.4.9.3 OrderType <OrdrTp>

Presence: [0..10]

Definition: Category of the investment fund order.

OrderType <OrdrTp> contains one of the following elements (see "FundOrderType4Choice" on page 1782 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1783
Or}	Proprietary <Prtry>	[1..1]	±		1783

44.4.4.9.4 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class related to the order.

Impacted by: C18 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1436 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1436
	Name <Nm>	[0..1]	Text		1437
	ShortName <ShrtNm>	[0..1]	Text		1437
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1437
	ClassType <ClsTp>	[0..1]	Text		1438
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1438
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1438
	ProductGroup <PdctGrp>	[0..1]	Text		1438
	SeriesIdentification <SrsId>	[0..1]		C30	1438
	SeriesDate <SrsDt>	[0..1]	±		1439
	SeriesName <SrsNm>	[0..1]	Text		1439

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

44.4.4.9.5 SubAccountForHolding <SubAcctForHldg>

Presence: [0..1]

Definition: Subdivision of the account used to segregate specific holdings.

SubAccountForHolding <SubAcctForHldg> contains the following elements (see "[SubAccount6](#)" on page 1630 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1630
	Name <Nm>	[0..1]	Text		1630
	Characteristic <Chrtc>	[0..1]	Text		1630
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1630

44.4.4.9.6 AmountOrUnitsOrPercentage <AmtOrUnitsOrPctg>

Presence: [1..1]

Definition: Amount of money or the number of units or percentage to be redeemed for the redemption order.

AmountOrUnitsOrPercentage <AmtOrUnitsOrPctg> contains one of the following **FinancialInstrumentQuantity28Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		830
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	830
Or	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	831
Or}	HoldingsRedemptionRate <HldgsRedRate>	[1..1]	Rate		831

44.4.4.9.6.1 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Number of investment fund units redeemed.

Datatype: "[DecimalNumber](#)" on page 2680

44.4.4.9.6.2 GrossAmount <GrssAmt>

Presence: [1..1]

Definition: Amount of money to be redeemed from the fund.

Gross Amount = Quantity * Price.

Impacted by: C4 "ActiveOrHistoricCurrency", C9 "CurrencyAmount"

Datatype: "[ActiveOrHistoricCurrencyAndAmount](#)" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

44.4.4.9.6.3 NetAmount <NetAmt>

Presence: [1..1]

Definition: Amount of money to be received following redemption of fund units.

Net Amount = (Quantity * Price) - (Fees + Taxes).

Impacted by: C4 "ActiveOrHistoricCurrency", C9 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

44.4.4.9.6.4 HoldingsRedemptionRate <HldgsRedRate>

Presence: [1..1]

Definition: Portion of the investor's holdings to be redeemed.

Datatype: "PercentageRate" on page 2681

44.4.4.9.7 Rounding <Rndg>

Presence: [0..1]

Definition: Indicates the rounding direction applied to nearest unit.

Datatype: "RoundingDirection2Code" on page 2635

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

44.4.4.9.8 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the individual order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

44.4.4.9.9 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2668

44.4.4.9.10 SettlementMethod <SttlmMtd>

Presence: [0..1]

Definition: Method by which the transaction is settled.

Datatype: "DeliveryReceiptType2Code" on page 2571

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

44.4.4.9.11 ForeignExchangeDetails <FXDtls>

Presence: [0..1]

Definition: Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms32](#)" on page 1486 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1486
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1486
	ExchangeRate <XchgRate>	[1..1]	Rate		1487
	QuotationDate <QtnDt>	[0..1]	DateTime		1487
	QuotingInstitution <QtgInstn>	[0..1]	±		1487

44.4.4.9.12 IncomePreference <IncmPref>*Presence:* [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference1Code" on page 2586

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

44.4.4.9.13 Group1Or2Units <Grp1Or2Units>*Presence:* [0..1]

Definition: Tax group to which the purchased investment fund units belong. The investor indicates to the intermediary operating pooled nominees, which type of unit is to be sold.

Datatype: "UKTaxGroupUnit1Code" on page 2668

CodeName	Name	Definition
GRP1	Group1	The units that were purchased prior to the last ex-div date.

CodeName	Name	Definition
GRP2	Group2	The units that were purchased since the ex-div date, and that benefit from the tax exemption.

44.4.4.9.14 TransactionOverhead <TxOvrhd>

Presence: [0..1]

Definition: Fees (charges/commission) and tax to be applied to the gross amount.

Impacted by: C16 "FeeTaxElementRule"

TransactionOverhead <TxOvrhd> contains the following **FeeAndTax1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		834
	IndividualFee <IndvFee>	[0..*]	±	C15, C30, C31	834
	IndividualTax <IndvTax>	[0..*]	±		835

Constraints

- **FeeTaxElementRule**

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

44.4.4.9.14.1 CommercialAgreementReference <ComrcAgrmtRef>

Presence: [0..1]

Definition: Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.

Datatype: "Max35Text" on page 2683

44.4.4.9.14.2 IndividualFee <IndvFee>

Presence: [0..*]

Definition: Individual fee (charge/commission).

Impacted by: C15 "FeeElementRule", C30 "StandardFeeAmountCalculation", C31 "StandardFeeRateCalculation"

IndividualFee <IndvFee> contains the following elements (see "Fee1" on page 1352 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1353
{Or	Code <Cd>	[1..1]	CodeSet		1353
Or}	Proprietary <Prtry>	[1..1]	±		1354
	Basis <Bsis>	[0..1]			1354
{Or	Code <Cd>	[1..1]	CodeSet		1354
Or}	Proprietary <Prtry>	[1..1]	±		1355
	StandardAmount <StdAmt>	[0..1]	Amount	C2, C10	1355
	StandardRate <StdRate>	[0..1]	Rate		1355
	DiscountDetails <DscntDtls>	[0..1]		C14	1356
	Amount <Amt>	[0..1]	Amount	C2, C10	1356
	Rate <Rate>	[0..1]	Rate		1357
	Basis <Bsis>	[0..1]			1357
{Or	Code <Cd>	[1..1]	CodeSet		1357
Or}	Proprietary <Prtry>	[1..1]	±		1357
	RequestedAmount <ReqdAmt>	[0..1]	Amount	C2, C10	1358
	RequestedRate <ReqdRate>	[0..1]	Rate		1358
	NonStandardSLAReference <NonStdSLARef>	[0..1]	Text		1358
	RecipientIdentification <RcptId>	[0..1]	±		1358

Constraints

- **FeeElementRule**

One of the elements (Basis, StandardAmount, StandardRate, DiscountDetails, RequestedAmount, RequestedRate, NonStandardSLAReference, RecipientIdentification) must be present.

- **StandardFeeAmountCalculation**

If StandardAmount, RequestedAmount and DiscountDetails/Amount are all present, then StandardAmount minus DiscountDetails/Amount must equal RequestedAmount.

- **StandardFeeRateCalculation**

If StandardRate, RequestedRate and DiscountDetails/Rate are all present, then StandardRate minus DiscountDetails/Rate must equal RequestedRate.

44.4.4.9.14.3 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Individual tax amount.

IndividualTax <IndvTax> contains the following elements (see "Tax30" on page 2455 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2456
{Or	Code <Cd>	[1..1]	CodeSet		2456
Or}	Proprietary <Prtry>	[1..1]	±		2458
	Tax <Tax>	[0..1]			2458
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	2458
Or}	Rate <Rate>	[1..1]	Rate		2459
	Country <Ctry>	[0..1]	CodeSet	C11	2459
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2459
	ExemptionReason <XmptnRsn>	[0..1]			2459
{Or	Code <Cd>	[1..1]	CodeSet		2459
Or}	Proprietary <Prtry>	[1..1]	±		2462
	RecipientIdentification <RcptId>	[0..1]	±		2463
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2463
	Basis <Bsis>	[1..1]			2463
{Or	Code <Cd>	[1..1]	CodeSet		2463
Or}	Proprietary <Prtry>	[1..1]	±		2464

44.4.4.9.15 SettlementAndCustodyDetails <SttlmAndCtdyDtls>

Presence: [0..1]

Definition: Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters12" on page 2285 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2287
	SettlementPlace <SttlmPlc>	[1..1]	±		2287
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2287
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2288
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2288
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2288
	ReceivingSideDetails <RcvgSdDtls>	[0..1]		C27, C28	2288
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2289
	PartyIdentification <PtyId>	[1..1]	±		2290
	AccountIdentification <AcctId>	[0..1]	Text		2290
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2290
	PartyIdentification <PtyId>	[1..1]	±		2290
	AccountIdentification <AcctId>	[0..1]	Text		2291
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2291
	PartyIdentification <PtyId>	[1..1]	±		2291
	AccountIdentification <AcctId>	[0..1]	Text		2291
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2291
	PartyIdentification <PtyId>	[1..1]	±		2292
	AccountIdentification <AcctId>	[0..1]	Text		2292
	DeliveringSideDetails <DlvrgSdDtls>	[1..1]		C12, C13	2292
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2293
	PartyIdentification <PtyId>	[1..1]	±		2294
	AccountIdentification <AcctId>	[0..1]	Text		2294
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2294
	PartyIdentification <PtyId>	[1..1]	±		2294
	AccountIdentification <AcctId>	[0..1]	Text		2295
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2295
	PartyIdentification <PtyId>	[1..1]	±		2295
	AccountIdentification <AcctId>	[0..1]	Text		2295

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2295
	PartyIdentification <PtyId>	[1..1]	±		2296
	AccountIdentification <AcctId>	[0..1]	Text		2296

44.4.4.9.16 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

44.4.4.9.17 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["DeliveryParameters3"](#) on page 2201 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Address <Adr>	[1..1]	±		2201
	IssuedCertificateNumber <IssdCertNb>	[0..1]	Text		2201

44.4.4.9.18 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: [C3 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyCode"](#) on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

44.4.4.9.19 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: [C4 "ActiveOrHistoricCurrency"](#)

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

44.4.4.9.20 CashSettlementDetails <CshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

CashSettlementDetails <CshSttlmDtls> contains the following elements (see "PaymentTransaction72" on page 2045 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2046
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2047
	Reference <Ref>	[0..1]	Text		2047
	Debtor <Dbtr>	[0..1]	±		2047
	DebtorAccount <DbtrAcct>	[0..1]	±		2047
	DebtorAgent <DbtrAgt>	[0..1]	±		2048
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2048
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2048
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2048
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2049
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2049
	CreditorAgent <CdtrAgt>	[1..1]	±		2049
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2050
	Creditor <Cdtr>	[0..1]	±		2050
	CreditorAccount <CdtrAcct>	[1..1]	±		2050
Or	ChequeDetails <ChqDtls>	[1..1]			2050
	Number <Nb>	[0..1]	Text		2051
	PayeeIdentification <Pyeeld>	[1..1]	±		2051
	DraweeIdentification <Drweeld>	[0..1]	±		2051
	DrawerIdentification <Drwrlid>	[0..1]	±		2051
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2052
	Number <Nb>	[0..1]	Text		2052
	PayeeIdentification <Pyeeld>	[1..1]	±		2052
	DraweeIdentification <Drweeld>	[0..1]	±		2052
	DrawerIdentification <Drwrlid>	[0..1]	±		2053
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2053
	AccountIdentification <Acctld>	[0..1]	Text		2053
	Type <Tp>	[0..1]	±		2053

44.4.4.9.21 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: "Max350Text" on page 2683

44.4.4.9.22 StaffClientBreakdown <StffCIntBrkdwn>

Presence: [0..4]

Definition: Breakdown of the net amount per type of order.

StaffClientBreakdown <StffCIntBrkdwn> contains the following elements (see "InvestmentFundsOrderBreakdown2" on page 1781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderBreakdownType <OrdBrkdwnTp>	[1..1]			1781
{Or	Code <Cd>	[1..1]	CodeSet		1781
Or}	Proprietary <Prtry>	[1..1]	±		1782
	Amount <Amt>	[1..1]	Amount	C2, C10	1782

44.4.4.9.23 FinancialAdvice <FinAdv>

Presence: [0..1]

Definition: Specifies if advice has been received from an independent financial advisor.

Datatype: "FinancialAdvice1Code" on page 2580

CodeName	Name	Definition
RECE	Received	Advice has been received from an independent financial advisor.
NREC	NotReceived	Advice has not been received from an independent financial advisor.
UKWN	Unknown	It is not known whether or not advice has been received from an independent financial advisor.

44.4.4.9.24 NegotiatedTrade <NgtdTrad>

Presence: [0..1]

Definition: Specifies whether the trade is negotiated.

Datatype: "NegotiatedTrade1Code" on page 2609

CodeName	Name	Definition
NEGO	Negotiated	Trade is negotiated.
NNGO	NotNegotiated	Trade is not negotiated.
UNKW	Unknown	Whether the trade is negotiated or not is unknown.

44.4.4.9.25 RelatedPartyDetails <RltdPtyDtls>

Presence: [0..10]

Definition: Party related to the transaction.

Impacted by: C19 "OrderOriginatorEligibility1Rule"

RelatedPartyDetails <RltdPtyDtls> contains the following elements (see "Intermediary40" on page 2027 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2027
	Account <Acct>	[0..1]			2028
	Identification <Id>	[1..1]	Text		2028
	AccountServicer <AcctSvcr>	[0..1]	±		2028
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		2028
	Role <Role>	[0..1]	±		2029

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

44.4.4.9.26 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's retained subscription amount that is returned by the fund in order to reimburse preliminary incentive/performance fees.

Equalisation <Equlstn> contains the following elements (see "Equalisation1" on page 1770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1770
	Rate <Rate>	[0..1]	Rate		1770

44.4.4.9.27 CustomerConductClassification <CstmrCndctClssfctn>

Presence: [0..1]

Definition: Assessment of the customer's behaviour at the time of the account opening application.

CustomerConductClassification <CstmrCndctClssfctn> contains one of the following elements (see "CustomerConductClassification1Choice" on page 1630 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1631
Or}	Proprietary <Prtry>	[1..1]	±		1631

44.4.4.9.28 TransactionChannelType <TxChanlTp>

Presence: [0..1]

Definition: Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanITp> contains one of the following elements (see "TransactionChannelType1Choice" on page 1864 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1864
Or}	Proprietary <Prtry>	[1..1]	±		1865

44.4.4.9.29 SignatureType <SgntrTp>

Presence: [0..1]

Definition: Type of signature.

SignatureType <SgntrTp> contains one of the following elements (see "SignatureType1Choice" on page 1813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1813
Or}	Proprietary <Prtry>	[1..1]	±		1814

44.4.4.9.30 OrderWaiverDetails <OrdWvrDtIs>

Presence: [0..1]

Definition: Information about a non-standard order.

Impacted by: C33 "WaiverElementRule"

OrderWaiverDetails <OrdWvrDtIs> contains the following elements (see "OrderWaiver1" on page 1769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderWaiverReason <OrdWvrRsn>	[0..*]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1769
	InformationValue <InfVal>	[0..1]	Text		1770

Constraints

- **WaiverElementRule**

Either OrderWaiverReason or InformationValue must be present. Both may be present.

44.4.4.10 BulkCashSettlementDetails <BlkCshSttlmDtIs>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

BulkCashSettlementDetails <BlkCshSttlmDtls> contains the following elements (see "PaymentTransaction72" on page 2045 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2046
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2047
	Reference <Ref>	[0..1]	Text		2047
	Debtor <Dbtr>	[0..1]	±		2047
	DebtorAccount <DbtrAcct>	[0..1]	±		2047
	DebtorAgent <DbtrAgt>	[0..1]	±		2048
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2048
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2048
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2048
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2049
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2049
	CreditorAgent <CdtrAgt>	[1..1]	±		2049
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2050
	Creditor <Cdtr>	[0..1]	±		2050
	CreditorAccount <CdtrAcct>	[1..1]	±		2050
Or	ChequeDetails <ChqDtls>	[1..1]			2050
	Number <Nb>	[0..1]	Text		2051
	PayeeIdentification <Pyeeld>	[1..1]	±		2051
	DraweeIdentification <Drweeld>	[0..1]	±		2051
	DrawerIdentification <Drwrlid>	[0..1]	±		2051
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2052
	Number <Nb>	[0..1]	Text		2052
	PayeeIdentification <Pyeeld>	[1..1]	±		2052
	DraweeIdentification <Drweeld>	[0..1]	±		2052
	DrawerIdentification <Drwrlid>	[0..1]	±		2053
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2053
	AccountIdentification <AcctId>	[0..1]	Text		2053
	Type <Tp>	[0..1]	±		2053

44.4.4.11 TotalSettlementAmount <TtlSttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the multiple order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

44.4.5 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation4](#)" on page 1819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1819
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1819

44.4.6 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C14 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

45 **setr.005.001.04**

RedemptionOrderCancellationRequestV04

45.1 MessageDefinition Functionality

Scope

The RedemptionOrderCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent RedemptionOrder.

Usage

The RedemptionOrderCancellationRequest message is used to request the cancellation of one or more individual orders.

There is no amendment, but a cancellation and re-instruct policy.

To request the cancellation of one or more individual orders, the order reference of each individual order listed in the original RedemptionOrder message in the order reference element. The message identification of the RedemptionOrder message which contains the individual orders to be cancelled may also be quoted in PreviousReference but this is not recommended.

The deadline and acceptance of a cancellation request is subject to a service level agreement (SLA). This cancellation message is a cancellation request. There is no automatic acceptance of the cancellation.

The rejection or acceptance of a RedemptionOrderCancellationRequest is made using an OrderCancellationStatusReport message.

Outline

The RedemptionOrderCancellationRequestV04 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. MasterReference

Reference assigned to a set of orders or trades in order to link them together.

E. OrderReferences

Identification of the individual order to be cancelled.

F. CopyDetails

Information provided when the message is a copy of a previous message.

45.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <RedOrdrCxlReq>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		847
	PoolReference <PoolRef>	[0..1]	±		848
	PreviousReference <PrvsRef>	[0..1]	±		848
	MasterReference <MstrRef>	[0..1]	Text		848
	OrderReferences <OrdrRefs>	[1..*]			848
	OrderReference <OrdrRef>	[1..1]	Text		849
	ClientReference <ClntRef>	[0..1]	Text		849
	CancellationReference <CxlRef>	[0..1]	Text		849
	CancellationReason <CxlRsn>	[0..1]	±		849
	CopyDetails <CpyDtls>	[0..1]	±		849

45.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

45.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

45.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

45.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see ["AdditionalReference9"](#) on page 1498 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1498
	ReferenceIssuer <RefIssr>	[0..1]	±		1498
	MessageName <MsgNm>	[0..1]	Text		1498

45.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see ["AdditionalReference8"](#) on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

45.4.4 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: ["Max35Text"](#) on page 2683

45.4.5 OrderReferences <OrdRefs>

Presence: [1..*]

Definition: Identification of the individual order to be cancelled.

OrderReferences <OrdRefs> contains the following **InvestmentFundOrder9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdRef>	[1..1]	Text		849
	ClientReference <ClntRef>	[0..1]	Text		849
	CancellationReference <CxlRef>	[0..1]	Text		849
	CancellationReason <CxlRsn>	[0..1]	±		849

45.4.5.1 OrderReference <OrdRef>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier for the order, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2683**45.4.5.2 ClientReference <ClntRef>***Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.*Datatype:* "Max35Text" on page 2683**45.4.5.3 CancellationReference <CxlRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order cancellation, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2683**45.4.5.4 CancellationReason <CxlRsn>***Presence:* [0..1]*Definition:* Reason for the cancellation.**CancellationReason <CxlRsn>** contains one of the following elements (see "CancellationReason32Choice" on page 1813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	Text		1813
Or}	Proprietary <Prtry>	[1..1]	±		1813

45.4.6 CopyDetails <CpyDtls>*Presence:* [0..1]*Definition:* Information provided when the message is a copy of a previous message.**CopyDetails <CpyDtls>** contains the following elements (see "CopyInformation4" on page 1819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1819
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1819

46 setr.006.001.04 RedemptionOrderConfirmationV04

46.1 MessageDefinition Functionality

Scope

The RedemptionOrderConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the details of execution for a previously received RedemptionOrder message.

Usage

The RedemptionOrderConfirmation message is used to confirm the execution of one or more individual orders.

A RedemptionOrder message containing more than one individual order may be responded to by more than one RedemptionOrderConfirmation message, as the valuation cycle of the financial instruments in each individual order may be different. When a RedemptionOrderConfirmation message contains fewer confirmations than originally instructed in the original RedemptionOrder message, there is no specification indication in the confirmation for this. Reconciliation must be based on the references.

Each individual order confirmation specified is identified in DealReference. The reference of the original individual order is specified in OrderReference. The message identification of the RedemptionOrder message in which the individual order was conveyed may also be quoted in RelatedReference but this is not recommended.

A RedemptionOrder must in all cases be responded to by a RedemptionOrderConfirmation message and in no circumstances by a RedemptionBulkOrderConfirmation message.

If the executing party needs to confirm one or more redemption orders for the same financial instrument then a RedemptionBulkOrderConfirmation message must be used.

When the message is used to convey a confirmation amendment/s, the AmendmentIndicator must be present with the value 'true' or '1'. When this is the case, the message must only contain a confirmation amendment/s and not contain both a confirmation amendment/s and a 'new' confirmation/s.

Outline

The RedemptionOrderConfirmationV04 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. MultipleExecutionDetails

General information related to the execution of investment fund orders.

F. CopyDetails

Information provided when the message is a copy of a previous message.

G. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

46.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <RedOrdConf>	[1..1]		C2, C22	
	MessageIdentification <MsgId>	[1..1]	±		857
	PoolReference <PoolRef>	[0..1]	±		857
	PreviousReference <PrvsRef>	[0..*]	±		858
	RelatedReference <RltdRef>	[0..1]	±		858
	MultipleExecutionDetails <MltplExctnDtls>	[1..1]		C8, C21, C31, C33	858
	AmendmentIndicator <AmdmntInd>	[0..1]	Indicator		861
	MasterReference <MstrRef>	[0..1]	Text		861
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		862
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		862
	ReceivedDateTime <RcvdDtTm>	[0..1]	DateTime		862
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		862
	CancellationRight <CxlRght>	[0..1]	±		862
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	863
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C23	863
	IndividualExecutionDetails <IndvExctnDtls>	[1..*]		C24, C25, C26, C29	864
	OrderReference <OrdrRef>	[1..1]	Text		867
	ClientReference <ClntRef>	[0..1]	Text		867
	DealReference <DealRef>	[1..1]	Text		867
	OrderType <OrdrTp>	[0..10]	±		867
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	868
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		868
	UnitsNumber <UnitsNb>	[1..1]	Quantity		869
	Rounding <Rndg>	[0..1]	CodeSet		869
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	869
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	869
	HoldingsRedemptionRate <HldgsRedRate>	[0..1]	Rate		870

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	TradeDateTime <TradDtTm>	[1..1]	±		870
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		870
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		871
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	871
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		872
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		872
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		872
	BestExecution <BestExctn>	[0..1]	CodeSet		872
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		873
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		873
	ForeignExchangeDetails <FXDtls>	[0..*]	±		873
	IncomePreference <IncmPref>	[0..1]	CodeSet		874
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		874
	TransactionOverhead <TxOvrhd>	[0..1]		C18, C34	874
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C3, C10	875
	TotalFees <TtlFees>	[0..1]	Amount	C3, C10	875
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C3, C10	876
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		876
	IndividualFee <IndvFee>	[0..*]	±	C6	876
	IndividualTax <IndvTax>	[0..*]	±		877
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C32	878
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		880
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		882
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		882
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	882
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	882
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		883
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		884
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		885
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		885
	StaffClientBreakdown <StffClntrBrkwn>	[0..4]	±		885

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PartialRedemptionWithholdingAmount <PrtlRedWhldgAmt>	[0..1]	Amount	C3, C10	885
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		886
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		886
	LateReport <LateRpt>	[0..1]	CodeSet		886
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	886
	Equalisation <Equlstn>	[0..1]	±		887
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		887
	TransactionChannelType <TxChanlTp>	[0..1]	±		887
	SignatureType <SgntrTp>	[0..1]	±		888
	OrderWaiverDetails <OrdrWvrDtls>	[0..1]	±	C35	888
	GatingOrHoldBackDetails <GtgOrHldBckDtls>	[0..1]	±		888
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	889
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		889
	CopyDetails <CpyDtls>	[0..1]	±		891
	Extension <Xtnsn>	[0..*]	±	C15	891

46.3 Constraints

C1 AccountElementRule

Either AccountIdentification or Type must be present. Both may be present.

C2 AccountIdentificationRule

If this message is linked to an account opening request and the account identification is not yet known then the value of the AccountIdentification element in MultipleExecutionDetails/InvestmentAccountDetails must be UNKNOWN.

This constraint is defined at the MessageDefinition level.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 AppliedAmountRule

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C7 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C8 BulkCashSettlementDetailsRule

Either one or more occurrences of IndividualExecutionDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

C9 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 DeliverersCustodianRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present. If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

C13 DeliverersIntermediary1DetailsRule

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

C14 DiscountElementRule

Amount Or Rate Or Basis must be present.

C15 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C16 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C17 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C18 NonStandardsSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C19 OrderOriginatorEligibility1Rule

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

C20 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C21 OrderOriginatorEligibility3Rule

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of IndividualExecutionDetails/RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

C22 OrderReferenceRule

If this message is not solicited by the receiver, then the value of the OrderReference element in every occurrence of MultipleExecutionDetails/IndividualExecutionDetails must be UNSOLICITED.

This constraint is defined at the MessageDefinition level.

C23 PersonElementRule

One of the elements (Name, BirthDate, CountryAndResidentialStatus, OtherIdentification) must be present.

C24 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C25 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C26 PriceDifferenceReasonRule

Any occurrence of InformativePriceDetails/PriceDifferenceReason may not be present.

C27 ReceiversCustodianRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present. If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

C28 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

C29 RequestedSettlementCurrencyRule

If RequestedSettlementCurrency is present, it must be the same Currency as in SettlementAmount, if present.

C30 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

C31 SettlementCurrencyRule

If BulkCashSettlementDetails is present, then Currency in IndividualExecutionDetails/SettlementAmount must be the same in all occurrences of IndividualExecutionDetails.

C32 TaxElementRule

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus, PercentageOfDebtClaim, IndividualTax) must be present.

C33 TotalSettlementCurrencyRule

If TotalSettlementAmount is present, then Currency in IndividualExecutionDetails/SettlementAmount, must be the same in all occurrences of IndividualExecutionDetails.

C34 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C35 WaiverElementRule

Either OrderWaiverReason or InformationValue must be present. Both may be present.

46.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

46.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

46.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "AdditionalReference9" on page 1498 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1498
	ReferenceIssuer <RefIssr>	[0..1]	±		1498
	MessageName <MsgNm>	[0..1]	Text		1498

46.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference8" on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

46.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference8" on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

46.4.5 MultipleExecutionDetails <MltplExctnDtls>

Presence: [1..1]

Definition: General information related to the execution of investment fund orders.

Impacted by: C8 "BulkCashSettlementDetailsRule", C21 "OrderOriginatorEligibility3Rule", C31 "SettlementCurrencyRule", C33 "TotalSettlementCurrencyRule"

MultipleExecutionDetails <MltplExctnDtls> contains the following **RedemptionMultipleExecution5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmendmentIndicator <AmdmntInd>	[0..1]	Indicator		861
	MasterReference <MstrRef>	[0..1]	Text		861
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		862
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		862
	ReceivedDateTime <RcvdDtTm>	[0..1]	DateTime		862
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		862
	CancellationRight <CxlRght>	[0..1]	±		862
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	863
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C23	863
	IndividualExecutionDetails <IndvExctnDtls>	[1..*]		C24, C25, C26, C29	864
	OrderReference <OrdrRef>	[1..1]	Text		867
	ClientReference <ClntRef>	[0..1]	Text		867
	DealReference <DealRef>	[1..1]	Text		867
	OrderType <OrdrTp>	[0..10]	±		867
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	868
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		868
	UnitsNumber <UnitsNb>	[1..1]	Quantity		869
	Rounding <Rndg>	[0..1]	CodeSet		869
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	869
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	869
	HoldingsRedemptionRate <HldgsRedRate>	[0..1]	Rate		870
	TradeDateTime <TradDtTm>	[1..1]	±		870
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		870
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		871
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	871
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		872
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		872
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		872
	BestExecution <BestExctn>	[0..1]	CodeSet		872

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		873
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		873
	ForeignExchangeDetails <FXDtls>	[0..*]	±		873
	IncomePreference <IncmPref>	[0..1]	CodeSet		874
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		874
	TransactionOverhead <TxOvrhd>	[0..1]		C18, C34	874
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C3, C10	875
	TotalFees <TtlFees>	[0..1]	Amount	C3, C10	875
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C3, C10	876
	CommercialAgreementReference <ComrcIAgrmtRef>	[0..1]	Text		876
	IndividualFee <IndvFee>	[0..*]	±	C6	876
	IndividualTax <IndvTax>	[0..*]	±		877
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C32	878
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		880
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		882
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		882
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	882
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	882
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		883
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		884
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		885
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		885
	StaffClientBreakdown <StffClntBrkdn>	[0..4]	±		885
	PartialRedemptionWithholdingAmount <PrtlRedWhldgAmt>	[0..1]	Amount	C3, C10	885
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		886
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		886
	LateReport <LateRpt>	[0..1]	CodeSet		886
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	886
	Equalisation <Equlstn>	[0..1]	±		887

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		887
	TransactionChannelType <TxChanlTp>	[0..1]	±		887
	SignatureType <SgntrTp>	[0..1]	±		888
	OrderWaiverDetails <OrdrWvrDtls>	[0..1]	±	C35	888
	GatingOrHoldBackDetails <GtgOrHldBckDtls>	[0..1]	±		888
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	889
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		889

Constraints

- **BulkCashSettlementDetailsRule**

Either one or more occurrences of IndividualExecutionDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

- **OrderOriginatorEligibility3Rule**

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of IndividualExecutionDetails/RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

- **SettlementCurrencyRule**

If BulkCashSettlementDetails is present, then Currency in IndividualExecutionDetails/SettlementAmount must be the same in all occurrences of IndividualExecutionDetails.

- **TotalSettlementCurrencyRule**

If TotalSettlementAmount is present, then Currency in IndividualExecutionDetails/SettlementAmount, must be the same in all occurrences of IndividualExecutionDetails.

46.4.5.1 AmendmentIndicator <AmdmntInd>

Presence: [0..1]

Definition: Indicates whether the confirmation is an amendment of a previous confirmation.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

46.4.5.2 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "[Max35Text](#)" on page 2683

46.4.5.3 PlaceOfTrade <PlcOfTrad>*Presence:* [0..1]*Definition:* Market in which the advised trade transaction was executed.**PlaceOfTrade <PlcOfTrad>** contains one of the following elements (see ["PlaceOfTradeIdentification1Choice"](#) on page 1784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Country <Ctry>	[1..1]	CodeSet	C11	1784
Or	Exchange <Xchg>	[1..1]	IdentifierSet		1784
Or	Party <Pty>	[1..1]	IdentifierSet	C7	1784
Or}	OverTheCounter <OverTheCntr>	[1..1]	Text		1785

46.4.5.4 OrderDateTime <OrdDtTm>*Presence:* [0..1]*Definition:* Date and time at which the order was placed by the investor or its agent.*Datatype:* ["ISODatetime"](#) on page 2669**46.4.5.5 ReceivedDateTime <RcvdDtTm>***Presence:* [0..1]*Definition:* Date and time the order was received by the executing party, for example, the transfer agent.*Datatype:* ["ISODatetime"](#) on page 2669**46.4.5.6 RequestedFutureTradeDate <ReqdFutrTradDt>***Presence:* [0..1]*Definition:* Future date at which the investor requests the order to be executed.

The specification of a requested future trade date is not allowed in some markets. The date must be a date in the future.

Datatype: ["ISODate"](#) on page 2668**46.4.5.7 CancellationRight <CxIRght>***Presence:* [0..1]*Definition:* Cancellation right of the investor with respect to the investment fund order.**CancellationRight <CxIRght>** contains one of the following elements (see ["CancellationRight1Choice"](#) on page 1814 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1814
Or}	Proprietary <Prtry>	[1..1]	±		1815

46.4.5.8 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [1..1]

Definition: Account impacted by the investment fund order execution.

Impacted by: C20 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1635
	AccountName <AcctNm>	[0..1]	Text		1635
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1635
	OwnerIdentification <OwnrId>	[0..*]	±		1635
	AccountServicer <AcctSvcr>	[0..1]	±		1636
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		1636
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1636

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

46.4.5.9 BeneficiaryDetails <BnfcryDtls>

Presence: [0..*]

Definition: Additional information about the investor.

Impacted by: C23 "PersonElementRule"

BeneficiaryDetails <BnfcryDtls> contains the following elements (see "IndividualPerson32" on page 2190 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2190
	BirthDate <BirthDt>	[0..1]	Date		2190
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		2191
	OtherIdentification <OthrlId>	[0..*]			2191
	Identification <Id>	[1..1]	Text		2191
	IdentificationType <IdTp>	[1..1]			2191
{Or	Code <Cd>	[1..1]	CodeSet		2192
Or}	Proprietary <Prtry>	[1..1]	±		2193
	Issuer </ssr>	[0..1]	Text		2193

Constraints

- **PersonElementRule**

One of the elements (Name, BirthDate, CountryAndResidentialStatus, OtherIdentification) must be present.

46.4.5.10 IndividualExecutionDetails <IndvExctnDtls>

Presence: [1..*]

Definition: Execution of a redemption order.

Impacted by: C24 "PhysicalDeliveryDetails1Rule", C25 "PhysicalDeliveryDetails2Rule", C26 "PriceDifferenceReasonRule", C29 "RequestedSettlementCurrencyRule"

IndividualExecutionDetails <IndvExctnDtls> contains the following **RedemptionExecution15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdRef>	[1..1]	Text		867
	ClientReference <ClntRef>	[0..1]	Text		867
	DealReference <DealRef>	[1..1]	Text		867
	OrderType <OrdTp>	[0..10]	±		867
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	868
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		868
	UnitsNumber <UnitsNb>	[1..1]	Quantity		869
	Rounding <Rndg>	[0..1]	CodeSet		869
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	869
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	869
	HoldingsRedemptionRate <HldgsRedRate>	[0..1]	Rate		870
	TradeDateTime <TradDtTm>	[1..1]	±		870
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		870
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		871
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	871
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		872
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		872
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		872
	BestExecution <BestExctn>	[0..1]	CodeSet		872
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		873
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		873
	ForeignExchangeDetails <FXDtls>	[0..*]	±		873
	IncomePreference <IncmPref>	[0..1]	CodeSet		874
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		874
	TransactionOverhead <TxOvrhd>	[0..1]		C18, C34	874
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C3, C10	875
	TotalFees <TtlFees>	[0..1]	Amount	C3, C10	875
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C3, C10	876
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		876
	IndividualFee <IndvFee>	[0..*]	±	C6	876

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndividualTax <IndvTax>	[0..*]	±		877
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C32	878
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		880
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		882
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		882
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	882
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	882
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		883
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		884
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		885
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		885
	StaffClientBreakdown <StffClntrBrkdown>	[0..4]	±		885
	PartialRedemptionWithholdingAmount <PrtlRedWhldgAmt>	[0..1]	Amount	C3, C10	885
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		886
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		886
	LateReport <LateRpt>	[0..1]	CodeSet		886
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	886
	Equalisation <Equlstn>	[0..1]	±		887
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		887
	TransactionChannelType <TxChanlTp>	[0..1]	±		887
	SignatureType <SgntrTp>	[0..1]	±		888
	OrderWaiverDetails <OrdWrDtls>	[0..1]	±	C35	888
	GatingOrHoldBackDetails <GtgOrHldBckDtls>	[0..1]	±		888

Constraints

- **PhysicalDeliveryDetails1Rule**

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True

/PhysicalDeliveryDetails Must be absent

- **PhysicalDeliveryDetails2Rule**

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

```

On Condition
  /PhysicalDeliveryIndicator is equal to value 'true'
Or
  /PhysicalDeliveryIndicator is equal to value '1'
Following Must be True
  /PhysicalDeliveryDetails Must be present

```

- **PriceDifferenceReasonRule**

Any occurrence of InformativePriceDetails/PriceDifferenceReason may not be present.

- **RequestedSettlementCurrencyRule**

If RequestedSettlementCurrency is present, it must be the same Currency as in SettlementAmount, if present.

```

On Condition
  /RequestedSettlementCurrency is present
And
  /SettlementAmount is present
Following Must be True
  /RequestedSettlementCurrency Must be equal to /SettlementAmount/
attribute::Currency

```

46.4.5.10.1 OrderReference <OrdRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

46.4.5.10.2 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2683

46.4.5.10.3 DealReference <DealRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order execution, as assigned by the confirming party.

Datatype: "Max35Text" on page 2683

46.4.5.10.4 OrderType <OrdTp>

Presence: [0..10]

Definition: Category of the investment fund order.

OrderType <OrdTp> contains one of the following elements (see "[FundOrderType4Choice](#)" on page 1782 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1783
Or}	Proprietary <Prtry>	[1..1]	±		1783

46.4.5.10.5 FinancialInstrumentDetails <FinInstrmDtls>*Presence:* [1..1]*Definition:* Investment fund class to which the investment fund order execution is related.*Impacted by:* C17 "IdentificationGuideline"**FinancialInstrumentDetails <FinInstrmDtls>** contains the following elements (see "FinancialInstrument57" on page 1436 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1436
	Name <Nm>	[0..1]	Text		1437
	ShortName <ShrtNm>	[0..1]	Text		1437
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1437
	ClassType <ClsTp>	[0..1]	Text		1438
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1438
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1438
	ProductGroup <PdctGrp>	[0..1]	Text		1438
	SeriesIdentification <SrsId>	[0..1]		C30	1438
	SeriesDate <SrsDt>	[0..1]	±		1439
	SeriesName <SrsNm>	[0..1]	Text		1439

Constraints

- IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

46.4.5.10.6 SubAccountForHolding <SubAcctForHldg>*Presence:* [0..1]*Definition:* Subdivision of the account used to segregate specific holdings.**SubAccountForHolding <SubAcctForHldg>** contains the following elements (see "SubAccount6" on page 1630 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1630
	Name <Nm>	[0..1]	Text		1630
	Characteristic <Chrtc>	[0..1]	Text		1630
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1630

46.4.5.10.7 UnitsNumber <UnitsNb>*Presence:* [1..1]*Definition:* Number of investment funds units redeemed.*Datatype:* "DecimalNumber" on page 2680**46.4.5.10.8 Rounding <Rndg>***Presence:* [0..1]*Definition:* Indicates the rounding direction applied to nearest unit.*Datatype:* "RoundingDirection2Code" on page 2635

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

46.4.5.10.9 NetAmount <NetAmt>*Presence:* [0..1]*Definition:* Amount of money paid to the investor when redeeming fund units.

Net amount = (Quantity * Price) - (Fees + Taxes).

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

46.4.5.10.10 GrossAmount <GrssAmt>*Presence:* [0..1]*Definition:* Amount of money redeemed from the fund.

Gross Amount = Quantity * Price.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

46.4.5.10.11 HoldingsRedemptionRate <HldgsRedRate>

Presence: [0..1]

Definition: Portion of the investor's holdings redeemed.

Datatype: "PercentageRate" on page 2681

46.4.5.10.12 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and time at which a price is applied, according to the terms stated in the prospectus.

TradeDateTime <TradDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

46.4.5.10.13 DealingPriceDetails <DealgPricDtls>

Presence: [1..1]

Definition: Price at which the order was executed.

DealingPriceDetails <DealgPricDtls> contains the following elements (see "UnitPrice22" on page 2230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2231
	Value <Val>	[1..1]	±		2231
	PriceMethod <PricMtd>	[0..1]	CodeSet		2231
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2232
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2232
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2232
{Or	Code <Cd>	[1..1]	CodeSet		2232
Or}	Proprietary <Prtry>	[1..1]	±		2233
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2233

46.4.5.10.14 InformativePriceDetails <InftvPricDtls>

Presence: [0..2]

Definition: Other quoted price than the one at which the order was executed.

InformativePriceDetails <InftvPricDtls> contains the following elements (see "UnitPrice22" on page 2230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2231
	Value <Val>	[1..1]	±		2231
	PriceMethod <PricMtd>	[0..1]	CodeSet		2231
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2232
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2232
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2232
{Or	Code <Cd>	[1..1]	CodeSet		2232
Or}	Proprietary <Prtry>	[1..1]	±		2233
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2233

46.4.5.10.15 SettlementAmount <SttlmAmt>

Presence: [1..1]

Definition: Total amount of money paid/to be paid or received in exchange for the financial instrument in the individual order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

46.4.5.10.16 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2668

46.4.5.10.17 SettlementMethod <SttlmMtd>

Presence: [0..1]

Definition: Method by which the transaction is settled.

Datatype: "DeliveryReceiptType2Code" on page 2571

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

46.4.5.10.18 PartiallyExecutedIndicator <PrtlyExctdInd>

Presence: [1..1]

Definition: Indicates whether the order has been partially executed, that is, the confirmed quantity does not match the ordered quantity for a given financial instrument.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

46.4.5.10.19 BestExecution <BestExctn>

Presence: [0..1]

Definition: Specifies that the execution was subject to best execution rules as defined by MiFID.

Datatype: "BestExecution1Code" on page 2555

CodeName	Name	Definition
BTEX	Best	Best execution rules were followed.

46.4.5.10.20 CumDividendIndicator <CumDvddInd>

Presence: [1..1]

Definition: Indicates whether the dividend is included, that is, cum-dividend, in the executed price. When the dividend is not included, the price will be ex-dividend.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

46.4.5.10.21 InterimProfitAmount <IntrmPrftAmt>

Presence: [0..1]

Definition: Part of the price deemed as accrued income or profit rather than capital. The interim profit amount is used for tax purposes.

InterimProfitAmount <IntrmPrftAmt> contains one of the following elements (see "ProfitAndLoss2Choice" on page 2229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Profit <Prft>	[1..1]	Amount	C2, C10	2229
Or}	Loss <Loss>	[1..1]	Amount	C2, C10	2230

46.4.5.10.22 ForeignExchangeDetails <FXDtIs>

Presence: [0..*]

Definition: Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtIs> contains the following elements (see ["ForeignExchangeTerms33"](#) on page 1483 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ToAmount <ToAmt>	[0..1]	Amount	C2, C10	1483
	FromAmount <FrAmt>	[0..1]	Amount	C2, C10	1484
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1484
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1485
	ExchangeRate <XchgRate>	[1..1]	Rate		1485
	QuotationDate <QtnDt>	[0..1]	DateTime		1485
	QuotingInstitution <QtgInstn>	[0..1]	±		1485

46.4.5.10.23 IncomePreference <IncMPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: ["IncomePreference1Code"](#) on page 2586

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

46.4.5.10.24 Group1Or2Units <Grp1Or2Units>

Presence: [0..1]

Definition: Tax group to which the purchased investment fund units belong. The investor indicates to the intermediary operating pooled nominees, which type of unit is to be sold.

Datatype: ["UKTaxGroupUnit1Code"](#) on page 2668

CodeName	Name	Definition
GRP1	Group1	The units that were purchased prior to the last ex-div date.
GRP2	Group2	The units that were purchased since the ex-div date, and that benefit from the tax exemption.

46.4.5.10.25 TransactionOverhead <TxOvrhd>

Presence: [0..1]

Definition: Fees (charges/commission) and taxes that are taken into consideration for the transaction, so that the total difference between the net amount and gross amount is known, without taking into account equalisation.

Impacted by: [C18 "NonStandardsSLAResourceRule"](#), [C34 "TransactionOverheadElementRule"](#)

TransactionOverhead <TxOvrhd> contains the following **TotalFeesAndTaxes40** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C3, C10	875
	TotalFees <TtlFees>	[0..1]	Amount	C3, C10	875
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C3, C10	876
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		876
	IndividualFee <IndvFee>	[0..*]	±	C6	876
	IndividualTax <IndvTax>	[0..*]	±		877

Constraints

- **NonStandardsSLAReferenceRule**

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

- **TransactionOverheadElementRule**

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

46.4.5.10.25.1 TotalOverheadApplied <TtlOvrhdApld>

Presence: [0..1]

Definition: Total amount of overhead applied to the transaction that impacts the settlement amount.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

46.4.5.10.25.2 TotalFees <TtlFees>

Presence: [0..1]

Definition: Total amount of fees (charge/commissions) applied to the transaction that impacts the settlement amount.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

46.4.5.10.25.3 TotalTaxes <TtITaxs>

Presence: [0..1]

Definition: Total amount of taxes applied to the transaction that impacts the settlement amount.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

46.4.5.10.25.4 CommercialAgreementReference <ComrcIAgrmtRef>

Presence: [0..1]

Definition: Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.

Datatype: "Max35Text" on page 2683

46.4.5.10.25.5 IndividualFee <IndvFee>

Presence: [0..*]

Definition: Individual fee (charge/commission).

Impacted by: C6 "AppliedAmountRule"

IndividualFee <IndvFee> contains the following elements (see "Fee2" on page 1344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1345
{Or	Code <Cd>	[1..1]	CodeSet		1346
Or}	Proprietary <Prtry>	[1..1]	±		1347
	Basis <Bsis>	[0..1]			1347
{Or	Code <Cd>	[1..1]	CodeSet		1347
Or}	Proprietary <Prtry>	[1..1]	±		1348
	StandardAmount <StdAmt>	[0..1]	Amount	C2, C10	1348
	StandardRate <StdRate>	[0..1]	Rate		1348
	DiscountDetails <DscntDtls>	[0..1]		C14	1348
	Amount <Amt>	[0..1]	Amount	C2, C10	1349
	Rate <Rate>	[0..1]	Rate		1350
	Basis <Bsis>	[0..1]			1350
{Or	Code <Cd>	[1..1]	CodeSet		1350
Or}	Proprietary <Prtry>	[1..1]	±		1350
	AppliedAmount <ApIdAmt>	[0..1]	Amount	C2, C10	1351
	AppliedRate <ApIdRate>	[0..1]	Rate		1351
	NonStandardSLAReference <NonStdSLARef>	[0..1]	Text		1351
	RecipientIdentification <RcptId>	[0..1]	±		1351
	InformativeIndicator <InfvInd>	[1..1]	Indicator		1351

Constraints

- **AppliedAmountRule**

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

On Condition

/InformativeIndicator is equal to value 'false'

Or /InformativeIndicator is equal to value '0'

Following Must be True

/AppliedAmount Must be present

46.4.5.10.25.6 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Individual tax.

IndividualTax <IndvTax> contains the following elements (see "Tax31" on page 2450 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2451
{Or	Code <Cd>	[1..1]	CodeSet		2451
Or}	Proprietary <Prtry>	[1..1]	±		2453
	AppliedAmount <ApldAmt>	[1..1]	Amount	C2, C10	2453
	AppliedRate <ApldRate>	[0..1]	Rate		2453
	Country <Ctry>	[0..1]	CodeSet	C11	2453
	RecipientIdentification <RcptId>	[0..1]	±		2454
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2454
	Basis <Bsis>	[0..1]			2454
{Or	Code <Cd>	[1..1]	CodeSet		2454
Or}	Proprietary <Prtry>	[1..1]	±		2455
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2455

46.4.5.10.26 InformativeTaxDetails <InftvTaxDtls>

Presence: [0..1]

Definition: Additional information about tax that does not have an impact on the transaction overhead.

Impacted by: C32 "TaxElementRule"

InformativeTaxDetails <InftvTaxDtls> contains the following elements (see "InformativeTax1" on page 2438 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C2, C10	2439
	EUCapitalGain <EUCptlGn>	[0..1]			2439
{Or	Code <Cd>	[1..1]	CodeSet		2439
Or}	Proprietary <Prtry>	[1..1]	±		2440
	EUDividendStatus <EUDvddSts>	[0..1]			2440
{Or	Code <Cd>	[1..1]	CodeSet		2440
Or}	Proprietary <Prtry>	[1..1]	±		2440
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2441
	IndividualTax <IndvTax>	[0..*]			2441
	Type <Tp>	[1..1]			2442
{Or	Code <Cd>	[1..1]	CodeSet		2442
Or}	Proprietary <Prtry>	[1..1]	±		2444
	InformativeAmount <InftvAmt>	[0..1]	Amount	C2, C10	2444
	InformativeRate <InftvRate>	[0..1]	Rate		2444
	Country <Ctry>	[0..1]	CodeSet	C11	2445
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2445
	ExemptionReason <XmptnRsn>	[0..1]			2445
{Or	Code <Cd>	[1..1]	CodeSet		2445
Or}	Proprietary <Prtry>	[1..1]	±		2448
	RecipientIdentification <Rcptld>	[0..1]	±		2448
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2449
	Basis <Bsis>	[0..1]			2449
{Or	Code <Cd>	[1..1]	CodeSet		2449
Or}	Proprietary <Prtry>	[1..1]	±		2449
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2450

Constraints

- **TaxElementRule**

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus, PercentageOfDebtClaim, IndividualTax) must be present.

46.4.5.10.27 SettlementAndCustodyDetails <SttlmAndCtdyDtls>

Presence: [0..1]

Definition: Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters11" on page 2296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2298
	SettlementPlace <SttlmPlc>	[1..1]	±		2298
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2298
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2299
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2299
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2299
	ReceivingSideDetails <RcvgSdDtls>	[1..1]		C27, C28	2299
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2300
	PartyIdentification <PtyId>	[1..1]	±		2301
	AccountIdentification <AcctId>	[0..1]	Text		2301
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2301
	PartyIdentification <PtyId>	[1..1]	±		2301
	AccountIdentification <AcctId>	[0..1]	Text		2302
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2302
	PartyIdentification <PtyId>	[1..1]	±		2302
	AccountIdentification <AcctId>	[0..1]	Text		2302
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2302
	PartyIdentification <PtyId>	[1..1]	±		2303
	AccountIdentification <AcctId>	[0..1]	Text		2303
	DeliveringSideDetails <DlvrgSdDtls>	[0..1]		C12, C13	2303
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2304
	PartyIdentification <PtyId>	[1..1]	±		2305
	AccountIdentification <AcctId>	[0..1]	Text		2305
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2305
	PartyIdentification <PtyId>	[1..1]	±		2305
	AccountIdentification <AcctId>	[0..1]	Text		2306
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2306
	PartyIdentification <PtyId>	[1..1]	±		2306
	AccountIdentification <AcctId>	[0..1]	Text		2306

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2306
	PartyIdentification <PtyId>	[1..1]	±		2307
	AccountIdentification <AcctId>	[0..1]	Text		2307

46.4.5.10.28 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

46.4.5.10.29 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["DeliveryParameters3"](#) on page 2201 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Address <Adr>	[1..1]	±		2201
	IssuedCertificateNumber <IssdCertNb>	[0..1]	Text		2201

46.4.5.10.30 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: [C3 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyCode"](#) on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

46.4.5.10.31 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: [C4 "ActiveOrHistoricCurrency"](#)

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

46.4.5.10.32 CashSettlementDetails <CshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

CashSettlementDetails <CshSttlmDtls> contains the following elements (see "PaymentTransaction72" on page 2045 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2046
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2047
	Reference <Ref>	[0..1]	Text		2047
	Debtor <Dbtr>	[0..1]	±		2047
	DebtorAccount <DbtrAcct>	[0..1]	±		2047
	DebtorAgent <DbtrAgt>	[0..1]	±		2048
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2048
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2048
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2048
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2049
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2049
	CreditorAgent <CdtrAgt>	[1..1]	±		2049
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2050
	Creditor <Cdtr>	[0..1]	±		2050
	CreditorAccount <CdtrAcct>	[1..1]	±		2050
Or	ChequeDetails <ChqDtls>	[1..1]			2050
	Number <Nb>	[0..1]	Text		2051
	PayeeIdentification <Pyeeld>	[1..1]	±		2051
	DraweeIdentification <Drweeld>	[0..1]	±		2051
	DrawerIdentification <Drwrlid>	[0..1]	±		2051
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2052
	Number <Nb>	[0..1]	Text		2052
	PayeeIdentification <Pyeeld>	[1..1]	±		2052
	DraweeIdentification <Drweeld>	[0..1]	±		2052
	DrawerIdentification <Drwrlid>	[0..1]	±		2053
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2053
	AccountIdentification <AcctId>	[0..1]	Text		2053
	Type <Tp>	[0..1]	±		2053

46.4.5.10.33 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: "Max350Text" on page 2683

46.4.5.10.34 PartialSettlementOfUnits <PrtlSttlmOfUnits>

Presence: [0..1]

Definition: Percentage of units partially settled.

Datatype: "PercentageRate" on page 2681

46.4.5.10.35 PartialSettlementOfCash <PrtlSttlmOfCsh>

Presence: [0..1]

Definition: Percentage of cash partially settled.

Datatype: "PercentageRate" on page 2681

46.4.5.10.36 StaffClientBreakdown <StffCIntBrkdwn>

Presence: [0..4]

Definition: Breakdown of the net amount per type of order.

StaffClientBreakdown <StffCIntBrkdwn> contains the following elements (see "InvestmentFundsOrderBreakdown2" on page 1781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderBreakdownType <OrdBrkdwnTp>	[1..1]			1781
{Or	Code <Cd>	[1..1]	CodeSet		1781
Or}	Proprietary <Prtry>	[1..1]	±		1782
	Amount <Amt>	[1..1]	Amount	C2, C10	1782

46.4.5.10.37 PartialRedemptionWithholdingAmount <PrtlRedWhldgAmt>

Presence: [0..1]

Definition: Amount retained by the fund and paid out later at a time decided by the fund.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

46.4.5.10.38 FinancialAdvice <FinAdv<*Presence:* [0..1]*Definition:* Specifies if advice has been received from an independent financial advisor.*Datatype:* "FinancialAdvice1Code" on page 2580

CodeName	Name	Definition
RECE	Received	Advice has been received from an independent financial advisor.
NREC	NotReceived	Advice has not been received from an independent financial advisor.
UKWN	Unknown	It is not known whether or not advice has been received from an independent financial advisor.

46.4.5.10.39 NegotiatedTrade <NgtdTrad<*Presence:* [0..1]*Definition:* Specifies whether the trade is negotiated.*Datatype:* "NegotiatedTrade1Code" on page 2609

CodeName	Name	Definition
NEGO	Negotiated	Trade is negotiated.
NNGO	NotNegotiated	Trade is not negotiated.
UNKW	Unknown	Whether the trade is negotiated or not is unknown.

46.4.5.10.40 LateReport <LateRpt<*Presence:* [0..1]*Definition:* Specifies whether the order execution confirmation is late.*Datatype:* "LateReport1Code" on page 2606

CodeName	Name	Definition
LAT1	Late	The confirmation is late.
LAT2	LateBecausePartial	The confirmation is late because the trade was executed as a number of partials.

46.4.5.10.41 RelatedPartyDetails <RltdPtyDtls<*Presence:* [0..10]*Definition:* Party related to the transaction.*Impacted by:* C19 "OrderOriginatorEligibility1Rule"

RelatedPartyDetails <RltdPtyDtls> contains the following elements (see "Intermediary39" on page 2024 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2025
	Account <Acct>	[0..1]			2025
	Identification <Id>	[1..1]	Text		2025
	AccountServicer <AcctSvcr>	[0..1]	±		2025
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		2026
	TradingPartyCapacity <TradgPtyCpcty>	[0..1]	CodeSet		2026
	Role <Role>	[0..1]	±		2026

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

46.4.5.10.42 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's subscription amount that was held by the fund in order to pay incentive/performance fees at the end of the fiscal year, and is returned due to the redemption.

Equalisation <Equlstn> contains the following elements (see "Equalisation1" on page 1770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1770
	Rate <Rate>	[0..1]	Rate		1770

46.4.5.10.43 CustomerConductClassification <CstmrCndctClsfctn>

Presence: [0..1]

Definition: Assessment of the customer's behaviour at the time of the account opening application.

CustomerConductClassification <CstmrCndctClsfctn> contains one of the following elements (see "CustomerConductClassification1Choice" on page 1630 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1631
Or}	Proprietary <Prtry>	[1..1]	±		1631

46.4.5.10.44 TransactionChannelType <TxChanlTp>

Presence: [0..1]

Definition: Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanITp> contains one of the following elements (see "[TransactionChannelType1Choice](#)" on page 1864 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1864
Or}	Proprietary <Prtry>	[1..1]	±		1865

46.4.5.10.45 SignatureType <SgntrTp>

Presence: [0..1]

Definition: Type of signature.

SignatureType <SgntrTp> contains one of the following elements (see "[SignatureType1Choice](#)" on page 1813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1813
Or}	Proprietary <Prtry>	[1..1]	±		1814

46.4.5.10.46 OrderWaiverDetails <OrdrWvrDtls>

Presence: [0..1]

Definition: Information about a non-standard order.

Impacted by: [C35 "WaiverElementRule"](#)

OrderWaiverDetails <OrdrWvrDtls> contains the following elements (see "[OrderWaiver1](#)" on page 1769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderWaiverReason <OrdrWvrRsn>	[0..*]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1769
	InformationValue <InfVal>	[0..1]	Text		1770

Constraints

- **WaiverElementRule**

Either OrderWaiverReason or InformationValue must be present. Both may be present.

46.4.5.10.47 GatingOrHoldBackDetails <GtgOrHldBckDtls>

Presence: [0..1]

Definition: Information about gating and hold back of redemption proceeds.

GatingOrHoldBackDetails <GtgOrHldBckDtls> contains the following elements (see "HoldBackInformation2" on page 1777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		1777
	Amount <Amt>	[0..1]	Amount	C2, C10	1777
	ExpectedReleaseDate <XpctdRlsDt>	[0..1]	Date		1778
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±		1778
	FinancialInstrumentName <FinInstrmNm>	[0..1]	Text		1779
	RedemptionCompletion <RedCmpltn>	[0..1]	CodeSet		1779
	FinalConfirmation <FnlConf>	[0..1]	Indicator		1779

46.4.5.11 TotalSettlementAmount <TtlSttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the multiple order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

46.4.5.12 BulkCashSettlementDetails <BlkCshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

BulkCashSettlementDetails <BlkCshSttlmDtls> contains the following elements (see "PaymentTransaction72" on page 2045 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2046
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2047
	Reference <Ref>	[0..1]	Text		2047
	Debtor <Dbtr>	[0..1]	±		2047
	DebtorAccount <DbtrAcct>	[0..1]	±		2047
	DebtorAgent <DbtrAgt>	[0..1]	±		2048
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2048
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2048
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2048
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2049
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2049
	CreditorAgent <CdtrAgt>	[1..1]	±		2049
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2050
	Creditor <Cdtr>	[0..1]	±		2050
	CreditorAccount <CdtrAcct>	[1..1]	±		2050
Or	ChequeDetails <ChqDtls>	[1..1]			2050
	Number <Nb>	[0..1]	Text		2051
	PayeeIdentification <Pyeeld>	[1..1]	±		2051
	DraweeIdentification <Drweeld>	[0..1]	±		2051
	DrawerIdentification <Drwrlid>	[0..1]	±		2051
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2052
	Number <Nb>	[0..1]	Text		2052
	PayeeIdentification <Pyeeld>	[1..1]	±		2052
	DraweeIdentification <Drweeld>	[0..1]	±		2052
	DrawerIdentification <Drwrlid>	[0..1]	±		2053
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2053
	AccountIdentification <Acctld>	[0..1]	Text		2053
	Type <Tp>	[0..1]	±		2053

46.4.6 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation4](#)" on page 1819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1819
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1819

46.4.7 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: [C15 "ExtensionRule"](#)

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

47 **setr.007.001.04** **SubscriptionBulkOrderV04**

47.1 **MessageDefinition Functionality**

Scope

The SubscriptionBulkOrder message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct a subscription to a financial instrument for two or more accounts.

Usage

The SubscriptionBulkOrder message is used to bulk several individual orders into one bulk order. The individual orders come from different instructing parties, that is, account owners, but are for the same financial instrument. This message will typically be used by a party collecting orders and bulking these individual orders into one bulk order before sending it to another party.

For a single subscription order, the SubscriptionOrder message, not the SubscriptionBulkOrder message, must be used.

Outline

The SubscriptionBulkOrderV04 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. BulkOrderDetails

General information related to the orders.

E. CopyDetails

Information provided when the message is a copy of a previous message.

F. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

47.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SbcptBlkOrd>	[1..1]		C2	
	MessageIdentification <MsgId>	[1..1]	±		897
	PoolReference <PoolRef>	[0..1]	±		897
	PreviousReference <PrvsRef>	[0..*]	±		898
	BulkOrderDetails <BlkOrdDtls>	[1..1]		C7, C27, C29	898
	MasterReference <MstrRef>	[0..1]	Text		901
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		901
	OrderDateTime <OrdDtTm>	[0..1]	DateTime		901
	ExpiryDateTime <XpryDtTm>	[0..1]	±		901
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		901
	CancellationRight <CxlRght>	[0..1]	±		902
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C18	902
	IndividualOrderDetails <IndvOrdDtls>	[1..*]		C21, C23, C24	902
	OrderReference <OrdRef>	[1..1]	Text		905
	ClientReference <ClntRef>	[0..1]	Text		905
	OrderType <OrdTp>	[0..10]	±		905
	AmountOrUnits <AmtOrUnits>	[1..1]			906
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		906
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	906
Or}	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	907
	Rounding <Rndg>	[0..1]	CodeSet		907
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	907
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		908
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		908
	IncomePreference <IncmPref>	[0..1]	CodeSet		908
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	908
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C22	909
	ForeignExchangeDetails <FXDtls>	[0..1]	±		910

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	LetterIntentReference <LtrInttRef>	[0..1]	Text		910
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		911
	TransactionOverhead <TxOvrhd>	[0..1]		C16	911
	CommercialAgreementReference <ComrcIAgrmtRef>	[0..1]	Text		911
	IndividualFee <IndvFee>	[0..*]	±	C15, C30, C31	911
	IndividualTax <IndvTax>	[0..*]	±		912
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		913
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		915
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		915
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		915
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		917
	StaffClientBreakdown <StffClntBrkdw>	[0..4]	±		917
	FinancialAdvice <FinAdv<	[0..1]	CodeSet		917
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		918
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	918
	Equalisation <Equlstn>	[0..1]	±		918
	SourceOfCash <SrcOfCsh>	[0..*]	±		919
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		919
	TransactionChannelType <TxChanlTp>	[0..1]	±		919
	SignatureType <SgntrTp>	[0..1]	±		919
	OrderWaiverDetails <OrdWvrDtls>	[0..1]	±	C32	920
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	920
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	920
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	921
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		921
	CopyDetails <CpyDtls>	[0..1]	±		923
	Extension <Xtnsn>	[0..*]	±	C14	923

47.3 Constraints

C1 AccountElementRule

Either AccountIdentification or Type must be present. Both may be present.

C2 AccountIdentificationRule

If this message is linked to an account opening request and the account identification is not yet known then the value of the AccountIdentification element in BulkOrderDetails/IndividualOrderDetails/InvestmentAccountDetails must be UNKNOWN.

This constraint is defined at the MessageDefinition level.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C7 BulkCashSettlementDetails3Rule

Either IndividualOrderDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 DeliverersCustodianRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present. If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

C12 DeliverersIntermediary1DetailsRule

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

C13 DiscountElementRule

Amount Or Rate Or Basis must be present.

C14 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C15 FeeElementRule

One of the elements (Basis, StandardAmount, StandardRate, DiscountDetails, RequestedAmount, RequestedRate, NonStandardSLAResource, RecipientIdentification) must be present.

C16 FeeTaxElementRule

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C17 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C18 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C19 OrderOriginatorEligibility1Rule

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

C20 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C21 OrderOriginatorEligibility3Rule

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

C22 PersonElementRule

One of the elements (Name, BirthDate, CountryAndResidentialStatus, BeneficiaryCertificationCompletion, OtherIdentification) must be present.

C23 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C24 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C25 ReceiversCustodianRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present.
If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

C26 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

C27 RequestedSettlementCurrencyRule

If RequestedSettlementCurrency is present, it must be the same Currency as in all occurrences of IndividualOrderDetails/SettlementAmount, if present.

C28 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

C29 SettlementCurrency2Rule

If BulkCashSettlementDetails is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

C30 StandardFeeAmountCalculation

If StandardAmount, RequestedAmount and DiscountDetails/Amount are all present, then StandardAmount minus DiscountDetails/Amount must equal RequestedAmount.

C31 StandardFeeRateCalculation

If StandardRate, RequestedRate and DiscountDetails/Rate are all present, then StandardRate minus DiscountDetails/Rate must equal RequestedRate.

C32 WaiverElementRule

Either OrderWaiverReason or InformationValue must be present. Both may be present.

47.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

47.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

47.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see ["AdditionalReference9"](#) on page 1498 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1498
	ReferenceIssuer <RefIssr>	[0..1]	±		1498
	MessageName <MsgNm>	[0..1]	Text		1498

47.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see ["AdditionalReference8"](#) on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

47.4.4 BulkOrderDetails <BlkOrdDtls>

Presence: [1..1]

Definition: General information related to the orders.

Impacted by: [C7 "BulkCashSettlementDetails3Rule"](#), [C27 "RequestedSettlementCurrencyRule"](#), [C29 "SettlementCurrency2Rule"](#)

BulkOrderDetails <BlkOrdrDtls> contains the following **SubscriptionBulkOrder5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		901
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		901
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		901
	ExpiryDateTime <XpryDtTm>	[0..1]	±		901
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		901
	CancellationRight <CxlRght>	[0..1]	±		902
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C18	902
	IndividualOrderDetails <IndvOrdrDtls>	[1..*]		C21, C23, C24	902
	OrderReference <OrdrRef>	[1..1]	Text		905
	ClientReference <ClntRef>	[0..1]	Text		905
	OrderType <OrdrTp>	[0..10]	±		905
	AmountOrUnits <AmtOrUnits>	[1..1]			906
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		906
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	906
Or}	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	907
	Rounding <Rndg>	[0..1]	CodeSet		907
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	907
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		908
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		908
	IncomePreference <IncmPref>	[0..1]	CodeSet		908
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	908
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C22	909
	ForeignExchangeDetails <FXDtls>	[0..1]	±		910
	LetterIntentReference <LtrInttRef>	[0..1]	Text		910
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		911
	TransactionOverhead <TxOvrhd>	[0..1]		C16	911
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		911
	IndividualFee <IndvFee>	[0..*]	±	C15, C30, C31	911

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndividualTax <IndvTax>	[0..*]	±		912
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		913
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		915
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		915
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		915
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		917
	StaffClientBreakdown <StffCIntBrkdown>	[0..4]	±		917
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		917
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		918
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	918
	Equalisation <Equlstn>	[0..1]	±		918
	SourceOfCash <SrcOfCsh>	[0..*]	±		919
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		919
	TransactionChannelType <TxChanlTp>	[0..1]	±		919
	SignatureType <SgntrTp>	[0..1]	±		919
	OrderWaiverDetails <OrdWvrDtls>	[0..1]	±	C32	920
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	920
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	920
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	921
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		921

Constraints

- **BulkCashSettlementDetails3Rule**

Either IndividualOrderDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

- **RequestedSettlementCurrencyRule**

If RequestedSettlementCurrency is present, it must be the same Currency as in all occurrences of IndividualOrderDetails/SettlementAmount, if present.

- **SettlementCurrency2Rule**

If BulkCashSettlementDetails is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

47.4.4.1 MasterReference <MstrRef>*Presence:* [0..1]*Definition:* Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.*Datatype:* "Max35Text" on page 2683**47.4.4.2 PlaceOfTrade <PlcOfTrad>***Presence:* [0..1]*Definition:* Market in which the advised trade transaction was executed.**PlaceOfTrade <PlcOfTrad>** contains one of the following elements (see "PlaceOfTradeIdentification1Choice" on page 1784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Country <Ctry>	[1..1]	CodeSet	C11	1784
Or	Exchange <Xchg>	[1..1]	IdentifierSet		1784
Or	Party <Pty>	[1..1]	IdentifierSet	C7	1784
Or}	OverTheCounter <OverTheCntr>	[1..1]	Text		1785

47.4.4.3 OrderDateTime <OrdrDtTm>*Presence:* [0..1]*Definition:* Date and time the order is placed by the investor or its agent.*Datatype:* "ISODateTime" on page 2669**47.4.4.4 ExpiryDateTime <XpryDtTm>***Presence:* [0..1]*Definition:* Date on which the order expires.**ExpiryDateTime <XpryDtTm>** contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

47.4.4.5 RequestedFutureTradeDate <ReqdFutrTradDt>*Presence:* [0..1]*Definition:* Future date at which the investor requests the order to be executed.

The specification of a requested future trade date is not allowed in some markets. The date must be a date in the future.

Datatype: "ISODate" on page 2668

47.4.4.6 CancellationRight <CxIRght>

Presence: [0..1]

Definition: Cancellation right of the investor with respect to the investment fund order.

CancellationRight <CxIRght> contains one of the following elements (see "[CancellationRight1Choice](#)" on page 1814 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1814
Or}	Proprietary <Prtry>	[1..1]	±		1815

47.4.4.7 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class related to the order.

Impacted by: [C18 "IdentificationGuideline"](#)

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "[FinancialInstrument57](#)" on page 1436 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1436
	Name <Nm>	[0..1]	Text		1437
	ShortName <ShrtNm>	[0..1]	Text		1437
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1437
	ClassType <ClsTp>	[0..1]	Text		1438
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1438
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1438
	ProductGroup <PdctGrp>	[0..1]	Text		1438
	SeriesIdentification <SrsId>	[0..1]		C30	1438
	SeriesDate <SrsDt>	[0..1]	±		1439
	SeriesName <SrsNm>	[0..1]	Text		1439

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

47.4.4.8 IndividualOrderDetails <IndvOrdDtls>

Presence: [1..*]

Definition: Order to invest the investor's principal in an investment fund.

Impacted by: C21 "OrderOriginatorEligibility3Rule", C23 "PhysicalDeliveryDetails1Rule", C24 "PhysicalDeliveryDetails2Rule"

IndividualOrderDetails <IndvOrdrDtls> contains the following **SubscriptionOrder15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		905
	ClientReference <ClntRef>	[0..1]	Text		905
	OrderType <OrdrTp>	[0..10]	±		905
	AmountOrUnits <AmtOrUnits>	[1..1]			906
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		906
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	906
Or}	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	907
	Rounding <Rndg>	[0..1]	CodeSet		907
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	907
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		908
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		908
	IncomePreference <IncmPref>	[0..1]	CodeSet		908
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	908
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C22	909
	ForeignExchangeDetails <FXDtls>	[0..1]	±		910
	LetterIntentReference <LtrInttRef>	[0..1]	Text		910
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		911
	TransactionOverhead <TxOvrhd>	[0..1]		C16	911
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		911
	IndividualFee <IndvFee>	[0..*]	±	C15, C30, C31	911
	IndividualTax <IndvTax>	[0..*]	±		912
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		913
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		915
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		915
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		915
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		917
	StaffClientBreakdown <StffClntBrkdwn>	[0..4]	±		917
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		917
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		918
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	918

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Equalisation <Equlstn>	[0..1]	±		918
	SourceOfCash <SrcOfCsh>	[0..*]	±		919
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		919
	TransactionChannelType <TxChanlTp>	[0..1]	±		919
	SignatureType <SgntrTp>	[0..1]	±		919
	OrderWaiverDetails <OrdWrDtls>	[0..1]	±	C32	920

Constraints

- **OrderOriginatorEligibility3Rule**

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

- **PhysicalDeliveryDetails1Rule**

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True

/PhysicalDeliveryDetails Must be absent

- **PhysicalDeliveryDetails2Rule**

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

On Condition

/PhysicalDeliveryIndicator is equal to value 'true'

Or /PhysicalDeliveryIndicator is equal to value '1'

Following Must be True

/PhysicalDeliveryDetails Must be present

47.4.4.8.1 OrderReference <OrdRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

47.4.4.8.2 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2683

47.4.4.8.3 OrderType <OrdTp>

Presence: [0..10]

Definition: Category of the investment fund order.

OrderType <OrdrTp> contains one of the following elements (see "[FundOrderType4Choice](#)" on page 1782 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1783
Or}	Proprietary <Prtry>	[1..1]	±		1783

47.4.4.8.4 AmountOrUnits <AmtOrUnits>

Presence: [1..1]

Definition: Amount of money or the number of units for the subscription order.

AmountOrUnits <AmtOrUnits> contains one of the following **FinancialInstrumentQuantity27Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		906
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	906
Or}	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	907

47.4.4.8.4.1 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Number of investment fund units to be subscribed.

Datatype: "[DecimalNumber](#)" on page 2680

47.4.4.8.4.2 GrossAmount <GrssAmt>

Presence: [1..1]

Definition: Amount of money to be paid by the investor when subscribing to fund units.

Gross amount = (Quantity * Price) + (Fees + Taxes).

Impacted by: C4 "[ActiveOrHistoricCurrency](#)", C9 "[CurrencyAmount](#)"

Datatype: "[ActiveOrHistoricCurrencyAndAmount](#)" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

47.4.4.8.4.3 NetAmount <NetAmt>*Presence:* [1..1]*Definition:* Amount of money to be invested in the fund.

Net Amount = Quantity * Price.

Impacted by: C4 "ActiveOrHistoricCurrency", C9 "CurrencyAmount"*Datatype:* "ActiveOrHistoricCurrencyAndAmount" on page 2546**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

47.4.4.8.5 Rounding <Rndg>*Presence:* [0..1]*Definition:* Indicates the rounding direction applied to nearest unit.*Datatype:* "RoundingDirection2Code" on page 2635

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

47.4.4.8.6 SettlementAmount <SttlmAmt>*Presence:* [0..1]*Definition:* Total amount of money paid /to be paid or received in exchange for the financial instrument in the individual order.*Impacted by:* C3 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

47.4.4.8.7 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2668

47.4.4.8.8 SettlementMethod <SttlmMtd>

Presence: [0..1]

Definition: Method by which the transaction is settled.

Datatype: "DeliveryReceiptType2Code" on page 2571

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

47.4.4.8.9 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference1Code" on page 2586

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

47.4.4.8.10 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [1..1]

Definition: Account impacted by the investment fund order.

Impacted by: C20 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1635
	AccountName <AcctNm>	[0..1]	Text		1635
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1635
	OwnerIdentification <OwnrId>	[0..*]	±		1635
	AccountServicer <AcctSvcr>	[0..1]	±		1636
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		1636
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1636

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

47.4.4.8.11 BeneficiaryDetails <BnfcrDtls>

Presence: [0..*]

Definition: Additional information about the investor.

Impacted by: C22 "PersonElementRule"

BeneficiaryDetails <BnfcrDtls> contains the following elements (see "IndividualPerson31" on page 2186 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2187
	BirthDate <BirthDt>	[0..1]	Date		2187
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		2187
	BeneficiaryCertificationCompletion <BnfcrCertfctnCmpltn>	[0..1]	CodeSet		2188
	OtherIdentification <OthrId>	[0..*]			2188
	Identification <Id>	[1..1]	Text		2188
	IdentificationType <IdTp>	[1..1]			2188
{Or	Code <Cd>	[1..1]	CodeSet		2189
Or}	Proprietary <Prtry>	[1..1]	±		2189
	Issuer </ssr>	[0..1]	Text		2190

Constraints

- **PersonElementRule**

One of the elements (Name, BirthDate, CountryAndResidentialStatus, BeneficiaryCertificationCompletion, OtherIdentification) must be present.

47.4.4.8.12 ForeignExchangeDetails <FXDtIs>

Presence: [0..1]

Definition: Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms32](#)" on page 1486 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1486
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1486
	ExchangeRate <XchgRate>	[1..1]	Rate		1487
	QuotationDate <QtnDt>	[0..1]	DateTime		1487
	QuotingInstitution <QtgInstn>	[0..1]	±		1487

47.4.4.8.13 LetterIntentReference <LtrInttRef>

Presence: [0..1]

Definition: Reference of a letter of intent program, in which sales commissions are reduced based on the aggregate of a customer's actual purchase and anticipated purchases, over a specific period of time, and as agreed by the customer. A letter of intent program is mainly used in the US market.

Datatype: "Max35Text" on page 2683

47.4.4.8.14 AccumulationRightReference <AcmltnRghtRef>*Presence:* [0..1]*Definition:* Reference of an accumulation right program, in which sales commissions are based on a customer's present purchases of shares and the aggregate quantity previously purchased by the customer. An accumulation rights program is mainly used in the US market.*Datatype:* "Max35Text" on page 2683**47.4.4.8.15 TransactionOverhead <TxOvrhd>***Presence:* [0..1]*Definition:* Fees (charges/commission) and tax to be applied to the net amount.*Impacted by:* C16 "FeeTaxElementRule"**TransactionOverhead <TxOvrhd>** contains the following **FeeAndTax1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		911
	IndividualFee <IndvFee>	[0..*]	±	C15, C30, C31	911
	IndividualTax <IndvTax>	[0..*]	±		912

Constraints

- **FeeTaxElementRule**

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

47.4.4.8.15.1 CommercialAgreementReference <ComrcAgrmtRef>*Presence:* [0..1]*Definition:* Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.*Datatype:* "Max35Text" on page 2683**47.4.4.8.15.2 IndividualFee <IndvFee>***Presence:* [0..*]*Definition:* Individual fee (charge/commission).*Impacted by:* C15 "FeeElementRule", C30 "StandardFeeAmountCalculation", C31 "StandardFeeRateCalculation"

IndividualFee <IndvFee> contains the following elements (see "Fee1" on page 1352 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1353
{Or	Code <Cd>	[1..1]	CodeSet		1353
Or}	Proprietary <Prtry>	[1..1]	±		1354
	Basis <Bsis>	[0..1]			1354
{Or	Code <Cd>	[1..1]	CodeSet		1354
Or}	Proprietary <Prtry>	[1..1]	±		1355
	StandardAmount <StdAmt>	[0..1]	Amount	C2, C10	1355
	StandardRate <StdRate>	[0..1]	Rate		1355
	DiscountDetails <DscntDtls>	[0..1]		C14	1356
	Amount <Amt>	[0..1]	Amount	C2, C10	1356
	Rate <Rate>	[0..1]	Rate		1357
	Basis <Bsis>	[0..1]			1357
{Or	Code <Cd>	[1..1]	CodeSet		1357
Or}	Proprietary <Prtry>	[1..1]	±		1357
	RequestedAmount <ReqdAmt>	[0..1]	Amount	C2, C10	1358
	RequestedRate <ReqdRate>	[0..1]	Rate		1358
	NonStandardSLAReference <NonStdSLARef>	[0..1]	Text		1358
	RecipientIdentification <RcptId>	[0..1]	±		1358

Constraints

- **FeeElementRule**

One of the elements (Basis, StandardAmount, StandardRate, DiscountDetails, RequestedAmount, RequestedRate, NonStandardSLAReference, RecipientIdentification) must be present.

- **StandardFeeAmountCalculation**

If StandardAmount, RequestedAmount and DiscountDetails/Amount are all present, then StandardAmount minus DiscountDetails/Amount must equal RequestedAmount.

- **StandardFeeRateCalculation**

If StandardRate, RequestedRate and DiscountDetails/Rate are all present, then StandardRate minus DiscountDetails/Rate must equal RequestedRate.

47.4.4.8.15.3 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Individual tax amount.

IndividualTax <IndvTax> contains the following elements (see "Tax30" on page 2455 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2456
{Or	Code <Cd>	[1..1]	CodeSet		2456
Or}	Proprietary <Prtry>	[1..1]	±		2458
	Tax <Tax>	[0..1]			2458
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	2458
Or}	Rate <Rate>	[1..1]	Rate		2459
	Country <Ctry>	[0..1]	CodeSet	C11	2459
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2459
	ExemptionReason <XmptnRsn>	[0..1]			2459
{Or	Code <Cd>	[1..1]	CodeSet		2459
Or}	Proprietary <Prtry>	[1..1]	±		2462
	RecipientIdentification <RcptId>	[0..1]	±		2463
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2463
	Basis <Bsis>	[1..1]			2463
{Or	Code <Cd>	[1..1]	CodeSet		2463
Or}	Proprietary <Prtry>	[1..1]	±		2464

47.4.4.8.16 SettlementAndCustodyDetails <SttlmAndCtdyDtls>

Presence: [0..1]

Definition: Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters11" on page 2296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2298
	SettlementPlace <SttlmPlc>	[1..1]	±		2298
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2298
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2299
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2299
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2299
	ReceivingSideDetails <RcvgSdDtls>	[1..1]		C27, C28	2299
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2300
	PartyIdentification <PtyId>	[1..1]	±		2301
	AccountIdentification <AcctId>	[0..1]	Text		2301
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2301
	PartyIdentification <PtyId>	[1..1]	±		2301
	AccountIdentification <AcctId>	[0..1]	Text		2302
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2302
	PartyIdentification <PtyId>	[1..1]	±		2302
	AccountIdentification <AcctId>	[0..1]	Text		2302
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2302
	PartyIdentification <PtyId>	[1..1]	±		2303
	AccountIdentification <AcctId>	[0..1]	Text		2303
	DeliveringSideDetails <DlvrgSdDtls>	[0..1]		C12, C13	2303
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2304
	PartyIdentification <PtyId>	[1..1]	±		2305
	AccountIdentification <AcctId>	[0..1]	Text		2305
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2305
	PartyIdentification <PtyId>	[1..1]	±		2305
	AccountIdentification <AcctId>	[0..1]	Text		2306
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2306
	PartyIdentification <PtyId>	[1..1]	±		2306
	AccountIdentification <AcctId>	[0..1]	Text		2306

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2306
	PartyIdentification <PtyId>	[1..1]	±		2307
	AccountIdentification <AcctId>	[0..1]	Text		2307

47.4.4.8.17 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

47.4.4.8.18 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["NameAndAddress4"](#) on page 2207 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2207
	Address <Adr>	[1..1]	±		2207

47.4.4.8.19 CashSettlementDetails <CshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

CashSettlementDetails <CshSttlmDtls> contains the following elements (see "PaymentTransaction70" on page 2143 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2145
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2147
	Type <Tp>	[1..1]	CodeSet		2147
	Number <Nb>	[1..1]	Text		2148
	HolderName <HldrNm>	[1..1]	Text		2148
	StartDate <StartDt>	[0..1]	YearMonth		2148
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2148
	CardIssuerName <CardIssrNm>	[0..1]	Text		2148
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2148
	SecurityCode <SctyCd>	[0..1]	Text		2149
	SequenceNumber <SeqNb>	[0..1]	Text		2149
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2149
	Reference <Ref>	[0..1]	Text		2149
	Debtor <Dbtr>	[0..1]	±		2149
	DebtorAccount <DbtrAcct>	[0..1]	±		2150
	DebtorAgent <DbtrAgt>	[0..1]	±		2150
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2150
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2150
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2151
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2151
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2151
	CreditorAgent <CdtrAgt>	[1..1]	±		2152
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2152
	Creditor <Cdtr>	[0..1]	±		2152
	CreditorAccount <CdtrAcct>	[1..1]	±		2152
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2153
Or	ChequeDetails <ChqDtls>	[1..1]			2153
	Number <Nb>	[0..1]	Text		2153
	PayeeIdentification <PyeeId>	[1..1]	±		2154
	DraweeIdentification <DrweeId>	[0..1]	±		2154

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DrawerIdentification <DrwrlId>	[0..1]	±		2154
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2154
	Number <Nb>	[0..1]	Text		2155
	PayeeIdentification <Pyeeld>	[1..1]	±		2155
	DraweeIdentification <Drweeld>	[0..1]	±		2155
	DrawerIdentification <DrwrlId>	[0..1]	±		2155
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2156
	AccountIdentification <AcctId>	[0..1]	Text		2156
	Type <Tp>	[0..1]	±		2156

47.4.4.8.20 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: "Max350Text" on page 2683

47.4.4.8.21 StaffClientBreakdown <StffCIntBrkdwn>

Presence: [0..4]

Definition: Breakdown of the net amount per type of order.

StaffClientBreakdown <StffCIntBrkdwn> contains the following elements (see "InvestmentFundsOrderBreakdown2" on page 1781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderBreakdownType <OrdrBrkdwnTp>	[1..1]			1781
{Or	Code <Cd>	[1..1]	CodeSet		1781
Or}	Proprietary <Prtry>	[1..1]	±		1782
	Amount <Amt>	[1..1]	Amount	C2, C10	1782

47.4.4.8.22 FinancialAdvice <FinAdvc>

Presence: [0..1]

Definition: Specifies if advice has been received from an independent financial advisor.

Datatype: "FinancialAdvice1Code" on page 2580

CodeName	Name	Definition
RECE	Received	Advice has been received from an independent financial advisor.
NREC	NotReceived	Advice has not been received from an independent financial advisor.

CodeName	Name	Definition
UKWN	Unknown	It is not known whether or not advice has been received from an independent financial advisor.

47.4.4.8.23 NegotiatedTrade <NgtdTrad>

Presence: [0..1]

Definition: Specifies whether the trade is negotiated.

Datatype: "NegotiatedTrade1Code" on page 2609

CodeName	Name	Definition
NEGO	Negotiated	Trade is negotiated.
NNGO	NotNegotiated	Trade is not negotiated.
UNKW	Unknown	Whether the trade is negotiated or not is unknown.

47.4.4.8.24 RelatedPartyDetails <RltdPtyDtls>

Presence: [0..10]

Definition: Party related to the transaction.

Impacted by: C19 "OrderOriginatorEligibility1Rule"

RelatedPartyDetails <RltdPtyDtls> contains the following elements (see "Intermediary40" on page 2027 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2027
	Account <Acct>	[0..1]			2028
	Identification <Id>	[1..1]	Text		2028
	AccountServicer <AcctSvcr>	[0..1]	±		2028
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		2028
	Role <Role>	[0..1]	±		2029

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

47.4.4.8.25 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's subscription amount that is held by the fund in order to pay incentive/performance fees at the end of the fiscal year.

Equalisation <Equlstn> contains the following elements (see "[Equalisation1](#)" on page 1770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1770
	Rate <Rate>	[0..1]	Rate		1770

47.4.4.8.26 SourceOfCash <SrcOfCsh>

Presence: [0..*]

Definition: Source of cash used for the settlement of the subscription.

SourceOfCash <SrcOfCsh> contains one of the following elements (see "[SourceOfCash1Choice](#)" on page 2116 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2116
Or}	Proprietary <Prtry>	[1..1]	±		2117

47.4.4.8.27 CustomerConductClassification <CstmrCndctClssfctn>

Presence: [0..1]

Definition: Assessment of the customer's behaviour at the time of the account opening application.

CustomerConductClassification <CstmrCndctClssfctn> contains one of the following elements (see "[CustomerConductClassification1Choice](#)" on page 1630 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1631
Or}	Proprietary <Prtry>	[1..1]	±		1631

47.4.4.8.28 TransactionChannelType <TxChanITp>

Presence: [0..1]

Definition: Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanITp> contains one of the following elements (see "[TransactionChannelType1Choice](#)" on page 1864 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1864
Or}	Proprietary <Prtry>	[1..1]	±		1865

47.4.4.8.29 SignatureType <SgntrTp>

Presence: [0..1]

Definition: Type of signature.

SignatureType <SgntrTp> contains one of the following elements (see "SignatureType1Choice" on page 1813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1813
Or}	Proprietary <Prtry>	[1..1]	±		1814

47.4.4.8.30 OrderWaiverDetails <OrdWvrDtIs>

Presence: [0..1]

Definition: Information about a non-standard order.

Impacted by: C32 "WaiverElementRule"

OrderWaiverDetails <OrdWvrDtIs> contains the following elements (see "OrderWaiver1" on page 1769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderWaiverReason <OrdWvrRsn>	[0..*]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1769
	InformationValue <InfVal>	[0..1]	Text		1770

Constraints

- **WaiverElementRule**

Either OrderWaiverReason or InformationValue must be present. Both may be present.

47.4.4.9 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: C3 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

47.4.4.10 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: C4 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

47.4.4.11 TotalSettlementAmount <TtlSttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the multiple order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

47.4.4.12 BulkCashSettlementDetails <BlkCshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

BulkCashSettlementDetails <BlkCshSttlmDtls> contains the following elements (see "PaymentTransaction70" on page 2143 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2145
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2147
	Type <Tp>	[1..1]	CodeSet		2147
	Number <Nb>	[1..1]	Text		2148
	HolderName <HldrNm>	[1..1]	Text		2148
	StartDate <StartDt>	[0..1]	YearMonth		2148
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2148
	CardIssuerName <CardIssrNm>	[0..1]	Text		2148
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2148
	SecurityCode <SctyCd>	[0..1]	Text		2149
	SequenceNumber <SeqNb>	[0..1]	Text		2149
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2149
	Reference <Ref>	[0..1]	Text		2149
	Debtor <Dbtr>	[0..1]	±		2149
	DebtorAccount <DbtrAcct>	[0..1]	±		2150
	DebtorAgent <DbtrAgt>	[0..1]	±		2150
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2150
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2150
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2151
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2151
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2151
	CreditorAgent <CdtrAgt>	[1..1]	±		2152
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2152
	Creditor <Cdtr>	[0..1]	±		2152
	CreditorAccount <CdtrAcct>	[1..1]	±		2152
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2153
Or	ChequeDetails <ChqDtls>	[1..1]			2153
	Number <Nb>	[0..1]	Text		2153
	PayeeIdentification <PyeeId>	[1..1]	±		2154
	DraweeIdentification <DrweeId>	[0..1]	±		2154

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DrawerIdentification <Drwrlid>	[0..1]	±		2154
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2154
	Number <Nb>	[0..1]	Text		2155
	PayeeIdentification <PyeeId>	[1..1]	±		2155
	DraweeIdentification <DrweeId>	[0..1]	±		2155
	DrawerIdentification <Drwrlid>	[0..1]	±		2155
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2156
	AccountIdentification <AcctId>	[0..1]	Text		2156
	Type <Tp>	[0..1]	±		2156

47.4.5 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation4](#)" on page 1819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1819
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1819

47.4.6 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C14 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

48 setr.008.001.04 SubscriptionBulkOrderCancellationRequestV04

48.1 MessageDefinition Functionality

Scope

The SubscriptionBulkOrderCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent SubscriptionBulkOrder.

Usage

The SubscriptionBulkOrderCancellationRequest message is used to request the cancellation of one or more individual orders.

There is no amendment, but a cancellation and re-instruct policy.

To request the cancellation of one or more individual orders, the order reference of each individual order listed in the original SubscriptionBulkOrder message is specified in the order reference element. The message identification of the SubscriptionBulkOrder message which contains the individual orders to be cancelled may also be quoted in PreviousReference but this is not recommended.

The deadline and acceptance of a cancellation request is subject to a service level agreement (SLA). This cancellation message is a cancellation request. There is no automatic acceptance of the cancellation.

The rejection or acceptance of a SubscriptionBulkOrderCancellationRequest is made using an OrderCancellationStatusReport message.

Outline

The SubscriptionBulkOrderCancellationRequestV04 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. MasterReference

Reference assigned to a set of orders or trades in order to link them together.

E. OrderReferences

Identification of the individual order to be cancelled.

F. CopyDetails

Information provided when the message is a copy of a previous message.

48.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <SbcptBlkOrdrCxlReq>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		925
	PoolReference <PoolRef>	[0..1]	±		926
	PreviousReference <PrvsRef>	[0..1]	±		926
	MasterReference <MstrRef>	[0..1]	Text		926
	OrderReferences <OrdrRefs>	[1..*]			926
	OrderReference <OrdrRef>	[1..1]	Text		927
	ClientReference <CintRef>	[0..1]	Text		927
	CancellationReference <CxlRef>	[0..1]	Text		927
	CancellationReason <CxlRsn>	[0..1]	±		927
	CopyDetails <CpyDtls>	[0..1]	±		927

48.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

48.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

48.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

48.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1498 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1498
	ReferenceIssuer <RefIssr>	[0..1]	±		1498
	MessageName <MsgNm>	[0..1]	Text		1498

48.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference8](#)" on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

48.4.4 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: "[Max35Text](#)" on page 2683

48.4.5 OrderReferences <OrdRefs>

Presence: [1..*]

Definition: Identification of the individual order to be cancelled.

OrderReferences <OrdrRefs> contains the following **InvestmentFundOrder9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		927
	ClientReference <CIntRef>	[0..1]	Text		927
	CancellationReference <CxlRef>	[0..1]	Text		927
	CancellationReason <CxlRsn>	[0..1]	±		927

48.4.5.1 OrderReference <OrdrRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

48.4.5.2 ClientReference <CIntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2683

48.4.5.3 CancellationReference <CxlRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the order cancellation, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

48.4.5.4 CancellationReason <CxlRsn>

Presence: [0..1]

Definition: Reason for the cancellation.

CancellationReason <CxlRsn> contains one of the following elements (see "CancellationReason32Choice" on page 1813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	Text		1813
Or}	Proprietary <Prtry>	[1..1]	±		1813

48.4.6 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "CopyInformation4" on page 1819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1819
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1819

49 setr.009.001.04 SubscriptionBulkOrderConfirmationV04

49.1 MessageDefinition Functionality

Scope

The SubscriptionBulkOrderConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the details of the execution of a SubscriptionBulkOrder instruction.

Usage

The SubscriptionBulkOrderConfirmation message is used to confirm the execution of all individual orders.

There is usually one bulk confirmation message for one bulk order message.

Each individual order confirmation specified is identified in DealReference. The reference of the original individual order is specified in OrderReference. The message identification of the SubscriptionBulkOrder message in which the individual order was conveyed may also be quoted in RelatedReference.

A SubscriptionBulkOrder must in all cases be responded to by a SubscriptionBulkOrderConfirmation and in no circumstances by a SubscriptionOrderConfirmation.

If the executing party needs to confirm a SubscriptionOrder instruction, then the SubscriptionOrderConfirmation must be used.

When the message is used to convey a confirmation amendment/s, the AmendmentIndicator must be present with the value 'true' or '1'. When this is the case, the message must only contain a confirmation amendment/s and not contain both a confirmation amendment/s and a 'new' confirmation/s.

Outline

The SubscriptionBulkOrderConfirmationV04 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. BulkExecutionDetails

General information related to the execution of the orders.

F. CopyDetails

Information provided when the message is a copy of a previous message.

G. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

49.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SbcptBlkOrdConf>	[1..1]		C2, C22	
	MessageIdentification <MsgId>	[1..1]	±		936
	PoolReference <PoolRef>	[0..1]	±		936
	PreviousReference <PrvsRef>	[0..*]	±		936
	RelatedReference <RltdRef>	[0..1]	±		937
	BulkExecutionDetails <BlkExctnDtls>	[1..1]		C8, C29, C31	937
	AmendmentIndicator <AmdmntInd>	[0..1]	Indicator		940
	MasterReference <MstrRef>	[0..1]	Text		940
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		940
	OrderDateTime <OrdDtTm>	[0..1]	DateTime		941
	ReceivedDateTime <RcvdDtTm>	[0..1]	DateTime		941
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		941
	CancellationRight <CxlRght>	[0..1]	±		941
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	941
	IndividualExecutionDetails <IndvExctnDtls>	[1..*]		C21, C24, C25, C26	942
	OrderReference <OrdRef>	[1..1]	Text		945
	ClientReference <ClntRef>	[0..1]	Text		945
	DealReference <DealRef>	[1..1]	Text		945
	OrderType <OrdTp>	[0..10]	±		945
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	945
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C23	946
	UnitsNumber <UnitsNb>	[1..1]	Quantity		947
	Rounding <Rndg>	[0..1]	CodeSet		947
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	947
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	947
	TradeDateTime <TradDtTm>	[1..1]	±		948
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		948
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		949

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	949
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		950
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		950
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		950
	BestExecution <BestExctn>	[0..1]	CodeSet		950
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		951
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		951
	ForeignExchangeDetails <FXDtls>	[0..*]	±		951
	IncomePreference <IncmPref>	[0..1]	CodeSet		952
	LetterIntentReference <LtrInttRef>	[0..1]	Text		952
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		952
	TransactionOverhead <TxOvrhd>	[0..1]		C18, C33	952
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C3, C10	953
	TotalFees <TtlFees>	[0..1]	Amount	C3, C10	953
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C3, C10	954
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		954
	IndividualFee <IndvFee>	[0..*]	±	C6	954
	IndividualTax <IndvTax>	[0..*]	±		955
	InformativeTaxDetails <InfvtvTaxDtls>	[0..1]	±	C32	956
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		958
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		960
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		960
	StaffClientBreakdown <StffClntrBrkdown>	[0..4]	±		960
	Refund <Rfnd>	[0..1]	Amount	C3, C10	960
	SubscriptionInterest <SbcptIntrst>	[0..1]	Amount	C3, C10	961
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		961
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		963
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		963
	FinancialAdvice <FinAdv<	[0..1]	CodeSet		963
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		963
	LateReport <LateRpt>	[0..1]	CodeSet		964

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		964
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	964
	Equalisation <Equlstr>	[0..1]	±		965
	SourceOfCash <SrcOfCsh>	[0..*]	±		965
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		965
	TransactionChannelType <TxChanlTp>	[0..1]	±		965
	SignatureType <SgntrTp>	[0..1]	±		966
	OrderWaiverDetails <OrdWrDtls>	[0..1]	±	C34	966
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	966
	RequestedNAVCurrency <ReqdNAVCCy>	[0..1]	CodeSet	C4	967
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	967
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		967
	CopyDetails <CpyDtls>	[0..1]	±		969
	Extension <Xtnsn>	[0..*]	±	C15	969

49.3 Constraints

C1 AccountElementRule

Either AccountIdentification or Type must be present. Both may be present.

C2 AccountIdentificationRule

If this message is linked to an account opening request and the account identification is not yet known then the value of the AccountIdentification element in BulkExecutionDetails/IndividualExecutionDetails/InvestmentAccountDetails must be UNKNOWN.

This constraint is defined at the MessageDefinition level.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 AppliedAmountRule

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C7 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C8 BulkCashSettlementDetailsRule

Either IndividualExecutionDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

C9 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 DeliverersCustodianRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present. If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

C13 DeliverersIntermediary1DetailsRule

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

C14 DiscountElementRule

Amount Or Rate Or Basis must be present.

C15 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C16 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C17 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C18 NonStandardsSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C19 OrderOriginatorEligibility1Rule

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

C20 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C21 OrderOriginatorEligibility3Rule

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

C22 OrderReferenceRule

If this message is not solicited by the receiver, then the value of the OrderReference element in every occurrence of BulkExecutionDetails/IndividualExecutionDetails must be UNSOLICITED.

This constraint is defined at the MessageDefinition level.

C23 PersonElementRule

One of the elements (Name, BirthDate, CountryAndResidentialStatus, BeneficiaryCertificationCompletion, OtherIdentification) must be present.

C24 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C25 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C26 PriceDifferenceReasonRule

Any occurrence of InformativePriceDetails/PriceDifferenceReason may not be present.

C27 ReceiversCustodianRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present. If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

C28 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

C29 RequestedSettlementCurrencyRule

If RequestedSettlementCurrency is present, it must be the same Currency as in all occurrences of IndividualExecutionDetails/SettlementAmount.

C30 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

C31 SettlementCurrencyRule

If BulkCashSettlementDetails is present, then Currency in IndividualExecutionDetails/SettlementAmount must be the same in all occurrences of IndividualExecutionDetails.

C32 TaxElementRule

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus, PercentageOfDebtClaim, IndividualTax) must be present.

C33 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C34 WaiverElementRule

Either OrderWaiverReason or InformationValue must be present. Both may be present.

49.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

49.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

49.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1498 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1498
	ReferenceIssuer <RefIssr>	[0..1]	±		1498
	MessageName <MsgNm>	[0..1]	Text		1498

49.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference8" on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

49.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference8" on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

49.4.5 BulkExecutionDetails <BlkExctnDtls>

Presence: [1..1]

Definition: General information related to the execution of the orders.

Impacted by: C8 "BulkCashSettlementDetailsRule", C29 "RequestedSettlementCurrencyRule", C31 "SettlementCurrencyRule"

BulkExecutionDetails <BlkExctnDtls> contains the following **SubscriptionBulkExecution4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmendmentIndicator <AmdmntInd>	[0..1]	Indicator		940
	MasterReference <MstrRef>	[0..1]	Text		940
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		940
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		941
	ReceivedDateTime <RcvdDtTm>	[0..1]	DateTime		941
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		941
	CancellationRight <CxlRght>	[0..1]	±		941
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	941
	IndividualExecutionDetails <IndvExctnDtls>	[1..*]		C21, C24, C25, C26	942
	OrderReference <OrdrRef>	[1..1]	Text		945
	ClientReference <ClntRef>	[0..1]	Text		945
	DealReference <DealRef>	[1..1]	Text		945
	OrderType <OrdrTp>	[0..10]	±		945
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	945
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C23	946
	UnitsNumber <UnitsNb>	[1..1]	Quantity		947
	Rounding <Rndg>	[0..1]	CodeSet		947
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	947
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	947
	TradeDateTime <TradDtTm>	[1..1]	±		948
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		948
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		949
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	949
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		950
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		950
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		950
	BestExecution <BestExctn>	[0..1]	CodeSet		950
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		951
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		951

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ForeignExchangeDetails <FXDtIs>	[0..*]	±		951
	IncomePreference <IncmPref>	[0..1]	CodeSet		952
	LetterIntentReference <LtrInttRef>	[0..1]	Text		952
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		952
	TransactionOverhead <TxOvrhd>	[0..1]		C18, C33	952
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C3, C10	953
	TotalFees <TtlFees>	[0..1]	Amount	C3, C10	953
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C3, C10	954
	CommercialAgreementReference <ComrcIAgrmtRef>	[0..1]	Text		954
	IndividualFee <IndvFee>	[0..*]	±	C6	954
	IndividualTax <IndvTax>	[0..*]	±		955
	InformativeTaxDetails <InfvtvTaxDtIs>	[0..1]	±	C32	956
	SettlementAndCustodyDetails <SttlmAndCtdyDtIs>	[0..1]	±		958
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		960
	PhysicalDeliveryDetails <PhysDlvryDtIs>	[0..1]	±		960
	StaffClientBreakdown <StffClntBrkdwn>	[0..4]	±		960
	Refund <Rfnd>	[0..1]	Amount	C3, C10	960
	SubscriptionInterest <SbcptIntrst>	[0..1]	Amount	C3, C10	961
	CashSettlementDetails <CshSttlmDtIs>	[0..1]	±		961
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		963
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		963
	FinancialAdvice <FinAdv<	[0..1]	CodeSet		963
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		963
	LateReport <LateRpt>	[0..1]	CodeSet		964
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		964
	RelatedPartyDetails <RltdPtyDtIs>	[0..10]	±	C19	964
	Equalisation <Equlstn>	[0..1]	±		965
	SourceOfCash <SrcOfCsh>	[0..*]	±		965
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		965
	TransactionChannelType <TxChanITp>	[0..1]	±		965

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SignatureType <SgntrTp>	[0..1]	±		966
	OrderWaiverDetails <OrdWrDtls>	[0..1]	±	C34	966
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	966
	RequestedNAVCurrency <ReqdNAVCCy>	[0..1]	CodeSet	C4	967
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	967
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		967

Constraints

- **BulkCashSettlementDetailsRule**

Either IndividualExecutionDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

- **RequestedSettlementCurrencyRule**

If RequestedSettlementCurrency is present, it must be the same Currency as in all occurrences of IndividualExecutionDetails/SettlementAmount.

- **SettlementCurrencyRule**

If BulkCashSettlementDetails is present, then Currency in IndividualExecutionDetails/SettlementAmount must be the same in all occurrences of IndividualExecutionDetails.

49.4.5.1 AmendmentIndicator <AmdmntInd>

Presence: [0..1]

Definition: Indicates whether the confirmation is an amendment of a previous confirmation.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

49.4.5.2 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "[Max35Text](#)" on page 2683

49.4.5.3 PlaceOfTrade <PlcOfTrad>

Presence: [0..1]

Definition: Market in which the advised trade transaction was executed.

PlaceOfTrade <PlcOfTrad> contains one of the following elements (see "PlaceOfTradeIdentification1Choice" on page 1784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Country <Ctry>	[1..1]	CodeSet	C11	1784
Or	Exchange <Xchg>	[1..1]	IdentifierSet		1784
Or	Party <Pty>	[1..1]	IdentifierSet	C7	1784
Or}	OverTheCounter <OverTheCntr>	[1..1]	Text		1785

49.4.5.4 OrderDateTime <OrdrDtTm>

Presence: [0..1]

Definition: Date and time at which the order was placed by the investor or its agent.

Datatype: "ISODateTime" on page 2669

49.4.5.5 ReceivedDateTime <RcvdDtTm>

Presence: [0..1]

Definition: Date and time the order was received by the executing party, for example, the transfer agent.

Datatype: "ISODateTime" on page 2669

49.4.5.6 RequestedFutureTradeDate <ReqdFutrTradDt>

Presence: [0..1]

Definition: Future date at which the investor requests the order to be executed.

The specification of a requested future trade date is not allowed in some markets. The date must be a date in the future.

Datatype: "ISODate" on page 2668

49.4.5.7 CancellationRight <CxlRght>

Presence: [0..1]

Definition: Cancellation right of the investor with respect to the investment fund order.

CancellationRight <CxlRght> contains one of the following elements (see "CancellationRight1Choice" on page 1814 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1814
Or}	Proprietary <Prtry>	[1..1]	±		1815

49.4.5.8 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class to which the investment fund order execution is related.

Impacted by: C17 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1436 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification </Id>	[1..1]	±		1436
	Name <Nm>	[0..1]	Text		1437
	ShortName <ShrtNm>	[0..1]	Text		1437
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1437
	ClassType <ClsTp>	[0..1]	Text		1438
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1438
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1438
	ProductGroup <PdctGrp>	[0..1]	Text		1438
	SeriesIdentification <SrsId>	[0..1]		C30	1438
	SeriesDate <SrsDt>	[0..1]	±		1439
	SeriesName <SrsNm>	[0..1]	Text		1439

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

49.4.5.9 IndividualExecutionDetails <IndvExctnDtls>

Presence: [1..*]

Definition: Execution of a subscription order.

Impacted by: C21 "OrderOriginatorEligibility3Rule", C24 "PhysicalDeliveryDetails1Rule", C25 "PhysicalDeliveryDetails2Rule", C26 "PriceDifferenceReasonRule"

IndividualExecutionDetails <IndvExctnDtls> contains the following **SubscriptionExecution12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		945
	ClientReference <ClntRef>	[0..1]	Text		945
	DealReference <DealRef>	[1..1]	Text		945
	OrderType <OrdrTp>	[0..10]	±		945
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	945
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C23	946
	UnitsNumber <UnitsNb>	[1..1]	Quantity		947
	Rounding <Rndg>	[0..1]	CodeSet		947
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	947
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	947
	TradeDateTime <TradDtTm>	[1..1]	±		948
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		948
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		949
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	949
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		950
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		950
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		950
	BestExecution <BestExctn>	[0..1]	CodeSet		950
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		951
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		951
	ForeignExchangeDetails <FXDtls>	[0..*]	±		951
	IncomePreference <IncmPref>	[0..1]	CodeSet		952
	LetterIntentReference <LtrlInttRef>	[0..1]	Text		952
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		952
	TransactionOverhead <TxOvrhd>	[0..1]		C18, C33	952
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C3, C10	953
	TotalFees <TtlFees>	[0..1]	Amount	C3, C10	953
	TotalTaxes <TtlTxs>	[0..1]	Amount	C3, C10	954
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		954
	IndividualFee <IndvFee>	[0..*]	±	C6	954

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndividualTax <IndvTax>	[0..*]	±		955
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C32	956
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		958
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		960
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		960
	StaffClientBreakdown <StffClntBrkdown>	[0..4]	±		960
	Refund <Rfnd>	[0..1]	Amount	C3, C10	960
	SubscriptionInterest <SbcptlIntrst>	[0..1]	Amount	C3, C10	961
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		961
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		963
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		963
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		963
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		963
	LateReport <LateRpt>	[0..1]	CodeSet		964
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		964
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	964
	Equalisation <Equlstn>	[0..1]	±		965
	SourceOfCash <SrcOfCsh>	[0..*]	±		965
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		965
	TransactionChannelType <TxChanlTp>	[0..1]	±		965
	SignatureType <SgntrTp>	[0..1]	±		966
	OrderWaiverDetails <OrdWvrDtls>	[0..1]	±	C34	966

Constraints

- **OrderOriginatorEligibility3Rule**

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

- **PhysicalDeliveryDetails1Rule**

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True

/PhysicalDeliveryDetails Must be absent

- **PhysicalDeliveryDetails2Rule**

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

On Condition

/PhysicalDeliveryIndicator is equal to value 'true'

Or /PhysicalDeliveryIndicator is equal to value '1'

Following Must be True

/PhysicalDeliveryDetails Must be present

- **PriceDifferenceReasonRule**

Any occurrence of InformativePriceDetails/PriceDifferenceReason may not be present.

49.4.5.9.1 OrderReference <OrdRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

49.4.5.9.2 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2683

49.4.5.9.3 DealReference <DealRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order execution, as assigned by the confirming party.

Datatype: "Max35Text" on page 2683

49.4.5.9.4 OrderType <OrdTp>

Presence: [0..10]

Definition: Category of the investment fund order.

OrderType <OrdTp> contains one of the following elements (see "FundOrderType4Choice" on page 1782 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1783
Or}	Proprietary <Prtry>	[1..1]	±		1783

49.4.5.9.5 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [1..1]

Definition: Account impacted by the investment fund order execution.

Impacted by: C20 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1635
	AccountName <AcctNm>	[0..1]	Text		1635
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1635
	OwnerIdentification <OwnrId>	[0..*]	±		1635
	AccountServicer <AcctSvcr>	[0..1]	±		1636
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		1636
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1636

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

49.4.5.9.6 BeneficiaryDetails <BnfcryDtls>

Presence: [0..*]

Definition: Additional information about the investor.

Impacted by: C23 "PersonElementRule"

BeneficiaryDetails <BnfcryDtls> contains the following elements (see "IndividualPerson31" on page 2186 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2187
	BirthDate <BirthDt>	[0..1]	Date		2187
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		2187
	BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>	[0..1]	CodeSet		2188
	OtherIdentification <OthrId>	[0..*]			2188
	Identification <Id>	[1..1]	Text		2188
	IdentificationType <IdTp>	[1..1]			2188
{Or	Code <Cd>	[1..1]	CodeSet		2189
Or}	Proprietary <Prtry>	[1..1]	±		2189
	Issuer </ssr>	[0..1]	Text		2190

Constraints

- **PersonElementRule**

One of the elements (Name, BirthDate, CountryAndResidentialStatus, BeneficiaryCertificationCompletion, OtherIdentification) must be present.

49.4.5.9.7 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Number of investment fund units subscribed.

Datatype: "DecimalNumber" on page 2680

49.4.5.9.8 Rounding <Rndg>

Presence: [0..1]

Definition: Indicates the rounding direction applied to nearest unit.

Datatype: "RoundingDirection2Code" on page 2635

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

49.4.5.9.9 NetAmount <NetAmt>

Presence: [0..1]

Definition: Amount of money invested in the fund.

Net Amount = Quantity * Price.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

49.4.5.9.10 GrossAmount <GrssAmt>

Presence: [0..1]

Definition: Amount of money to be paid by the investor when subscribing to fund units.

Gross amount = (Quantity * Price) + (Fees + Taxes).

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

49.4.5.9.11 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and time at which a price is applied, according to the terms stated in the prospectus.

TradeDateTime <TradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

49.4.5.9.12 DealingPriceDetails <DealgPricDtls>

Presence: [1..1]

Definition: Price at which the order was executed.

DealingPriceDetails <DealgPricDtls> contains the following elements (see "UnitPrice22" on page 2230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2231
	Value <Val>	[1..1]	±		2231
	PriceMethod <PricMtd>	[0..1]	CodeSet		2231
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2232
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2232
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2232
{Or	Code <Cd>	[1..1]	CodeSet		2232
Or}	Proprietary <Prtry>	[1..1]	±		2233
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2233

49.4.5.9.13 InformativePriceDetails <InftvPricDtls>

Presence: [0..2]

Definition: Other quoted price than the one at which the order was executed.

InformativePriceDetails <InftvPricDtls> contains the following elements (see "UnitPrice22" on page 2230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2231
	Value <Val>	[1..1]	±		2231
	PriceMethod <PricMtd>	[0..1]	CodeSet		2231
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2232
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2232
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2232
{Or	Code <Cd>	[1..1]	CodeSet		2232
Or}	Proprietary <Prtry>	[1..1]	±		2233
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2233

49.4.5.9.14 SettlementAmount <SttlmAmt>

Presence: [1..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the individual order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

49.4.5.9.15 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2668

49.4.5.9.16 SettlementMethod <SttlmMtd>

Presence: [0..1]

Definition: Method by which the transaction is settled.

Datatype: "DeliveryReceiptType2Code" on page 2571

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

49.4.5.9.17 PartiallyExecutedIndicator <PrtlyExctdInd>

Presence: [1..1]

Definition: Indicates whether the order has been partially executed, that is, the confirmed quantity does not match the ordered quantity for a given financial instrument.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

49.4.5.9.18 BestExecution <BestExctn>

Presence: [0..1]

Definition: Specifies that the execution was subject to best execution rules as defined by MiFID.

Datatype: "BestExecution1Code" on page 2555

CodeName	Name	Definition
BTEX	Best	Best execution rules were followed.

49.4.5.9.19 CumDividendIndicator <CumDvddInd>*Presence:* [1..1]*Definition:* Indicates whether the dividend is included, that is, cum-dividend, in the executed price. When the dividend is not included, the price will be ex-dividend.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

49.4.5.9.20 InterimProfitAmount <IntrmPrftAmt>*Presence:* [0..1]*Definition:* Part of the price deemed as accrued income or profit rather than capital. The interim profit amount is used for tax purposes.**InterimProfitAmount <IntrmPrftAmt>** contains one of the following elements (see "ProfitAndLoss2Choice" on page 2229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Profit <Prft>	[1..1]	Amount	C2, C10	2229
Or}	Loss <Loss>	[1..1]	Amount	C2, C10	2230

49.4.5.9.21 ForeignExchangeDetails <FXDtls>*Presence:* [0..*]*Definition:* Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms33](#)" on page 1483 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ToAmount <ToAmt>	[0..1]	Amount	C2, C10	1483
	FromAmount <FrAmt>	[0..1]	Amount	C2, C10	1484
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1484
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1485
	ExchangeRate <XchgRate>	[1..1]	Rate		1485
	QuotationDate <QtnDt>	[0..1]	DateTime		1485
	QuotingInstitution <QtgInstn>	[0..1]	±		1485

49.4.5.9.22 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "[IncomePreference1Code](#)" on page 2586

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

49.4.5.9.23 LetterIntentReference <LtrInttRef>

Presence: [0..1]

Definition: Reference of a letter of intent program, in which sales commissions are reduced based on the aggregate of a customer's actual purchase and anticipated purchases, over a specific period of time, and as agreed by the customer. A letter of intent program is mainly used in the US market.

Datatype: "[Max35Text](#)" on page 2683

49.4.5.9.24 AccumulationRightReference <AcmltnRghtRef>

Presence: [0..1]

Definition: Reference of an accumulation right program, in which sales commissions are based on a customer's present purchases of shares and the aggregate quantity previously purchased by the customer. An accumulation rights program is mainly used in the US market.

Datatype: "[Max35Text](#)" on page 2683

49.4.5.9.25 TransactionOverhead <TxOvrhd>

Presence: [0..1]

Definition: Fees (charges/commission) and taxes that are taken into consideration for the transaction, so that the total difference between the net amount and gross amount is known, without taking into account equalisation.

Impacted by: C18 "NonStandardsSLAReferenceRule", C33 "TransactionOverheadElementRule"

TransactionOverhead <TxOvrhd> contains the following **TotalFeesAndTaxes40** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C3, C10	953
	TotalFees <TtlFees>	[0..1]	Amount	C3, C10	953
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C3, C10	954
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		954
	IndividualFee <IndvFee>	[0..*]	±	C6	954
	IndividualTax <IndvTax>	[0..*]	±		955

Constraints

- **NonStandardsSLAReferenceRule**

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

- **TransactionOverheadElementRule**

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

49.4.5.9.25.1 TotalOverheadApplied <TtlOvrhdApld>

Presence: [0..1]

Definition: Total amount of overhead applied to the transaction that impacts the settlement amount.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

49.4.5.9.25.2 TotalFees <TtlFees>

Presence: [0..1]

Definition: Total amount of fees (charge/commissions) applied to the transaction that impacts the settlement amount.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

49.4.5.9.25.3 TotalTaxes <TtITaxes>

Presence: [0..1]

Definition: Total amount of taxes applied to the transaction that impacts the settlement amount.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

49.4.5.9.25.4 CommercialAgreementReference <ComrcIAgrmtRef>

Presence: [0..1]

Definition: Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.

Datatype: "Max35Text" on page 2683

49.4.5.9.25.5 IndividualFee <IndvFee>

Presence: [0..*]

Definition: Individual fee (charge/commission).

Impacted by: C6 "AppliedAmountRule"

IndividualFee <IndvFee> contains the following elements (see "Fee2" on page 1344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1345
{Or	Code <Cd>	[1..1]	CodeSet		1346
Or}	Proprietary <Prtry>	[1..1]	±		1347
	Basis <Bsis>	[0..1]			1347
{Or	Code <Cd>	[1..1]	CodeSet		1347
Or}	Proprietary <Prtry>	[1..1]	±		1348
	StandardAmount <StdAmt>	[0..1]	Amount	C2, C10	1348
	StandardRate <StdRate>	[0..1]	Rate		1348
	DiscountDetails <DscntDtls>	[0..1]		C14	1348
	Amount <Amt>	[0..1]	Amount	C2, C10	1349
	Rate <Rate>	[0..1]	Rate		1350
	Basis <Bsis>	[0..1]			1350
{Or	Code <Cd>	[1..1]	CodeSet		1350
Or}	Proprietary <Prtry>	[1..1]	±		1350
	AppliedAmount <ApldAmt>	[0..1]	Amount	C2, C10	1351
	AppliedRate <ApldRate>	[0..1]	Rate		1351
	NonStandardSLAReference <NonStdSLARef>	[0..1]	Text		1351
	RecipientIdentification <RcptId>	[0..1]	±		1351
	InformativeIndicator <InftvInd>	[1..1]	Indicator		1351

Constraints

- **AppliedAmountRule**

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

On Condition

/InformativeIndicator is equal to value 'false'

Or /InformativeIndicator is equal to value '0'

Following Must be True

/AppliedAmount Must be present

49.4.5.9.25.6 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Individual tax.

IndividualTax <IndvTax> contains the following elements (see "Tax31" on page 2450 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2451
{Or	Code <Cd>	[1..1]	CodeSet		2451
Or}	Proprietary <Prtry>	[1..1]	±		2453
	AppliedAmount <ApldAmt>	[1..1]	Amount	C2, C10	2453
	AppliedRate <ApldRate>	[0..1]	Rate		2453
	Country <Ctry>	[0..1]	CodeSet	C11	2453
	RecipientIdentification <RcptId>	[0..1]	±		2454
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2454
	Basis <Bsis>	[0..1]			2454
{Or	Code <Cd>	[1..1]	CodeSet		2454
Or}	Proprietary <Prtry>	[1..1]	±		2455
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2455

49.4.5.9.26 InformativeTaxDetails <InftvTaxDtls>

Presence: [0..1]

Definition: Additional information about tax that does not have an impact on the transaction overhead.

Impacted by: C32 "TaxElementRule"

InformativeTaxDetails <InftvTaxDtls> contains the following elements (see "InformativeTax1" on page 2438 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C2, C10	2439
	EUCapitalGain <EUCptlGn>	[0..1]			2439
{Or	Code <Cd>	[1..1]	CodeSet		2439
Or}	Proprietary <Prtry>	[1..1]	±		2440
	EUDividendStatus <EUDvddSts>	[0..1]			2440
{Or	Code <Cd>	[1..1]	CodeSet		2440
Or}	Proprietary <Prtry>	[1..1]	±		2440
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2441
	IndividualTax <IndvTax>	[0..*]			2441
	Type <Tp>	[1..1]			2442
{Or	Code <Cd>	[1..1]	CodeSet		2442
Or}	Proprietary <Prtry>	[1..1]	±		2444
	InformativeAmount <InftvAmt>	[0..1]	Amount	C2, C10	2444
	InformativeRate <InftvRate>	[0..1]	Rate		2444
	Country <Ctry>	[0..1]	CodeSet	C11	2445
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2445
	ExemptionReason <XmptnRsn>	[0..1]			2445
{Or	Code <Cd>	[1..1]	CodeSet		2445
Or}	Proprietary <Prtry>	[1..1]	±		2448
	RecipientIdentification <Rcptld>	[0..1]	±		2448
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2449
	Basis <Bsis>	[0..1]			2449
{Or	Code <Cd>	[1..1]	CodeSet		2449
Or}	Proprietary <Prtry>	[1..1]	±		2449
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2450

Constraints

- **TaxElementRule**

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus, PercentageOfDebtClaim, IndividualTax) must be present.

49.4.5.9.27 SettlementAndCustodyDetails <SttlmAndCtdyDtls>

Presence: [0..1]

Definition: Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters12" on page 2285 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2287
	SettlementPlace <SttlmPlc>	[1..1]	±		2287
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2287
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2288
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2288
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2288
	ReceivingSideDetails <RcvgSdDtls>	[0..1]		C27, C28	2288
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2289
	PartyIdentification <PtyId>	[1..1]	±		2290
	AccountIdentification <AcctId>	[0..1]	Text		2290
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2290
	PartyIdentification <PtyId>	[1..1]	±		2290
	AccountIdentification <AcctId>	[0..1]	Text		2291
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2291
	PartyIdentification <PtyId>	[1..1]	±		2291
	AccountIdentification <AcctId>	[0..1]	Text		2291
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2291
	PartyIdentification <PtyId>	[1..1]	±		2292
	AccountIdentification <AcctId>	[0..1]	Text		2292
	DeliveringSideDetails <DlvrgSdDtls>	[1..1]		C12, C13	2292
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2293
	PartyIdentification <PtyId>	[1..1]	±		2294
	AccountIdentification <AcctId>	[0..1]	Text		2294
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2294
	PartyIdentification <PtyId>	[1..1]	±		2294
	AccountIdentification <AcctId>	[0..1]	Text		2295
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2295
	PartyIdentification <PtyId>	[1..1]	±		2295
	AccountIdentification <AcctId>	[0..1]	Text		2295

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2295
	PartyIdentification <PtyId>	[1..1]	±		2296
	AccountIdentification <AcctId>	[0..1]	Text		2296

49.4.5.9.28 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

49.4.5.9.29 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["DeliveryParameters3"](#) on page 2201 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Address <Adr>	[1..1]	±		2201
	IssuedCertificateNumber <IssdCertNb>	[0..1]	Text		2201

49.4.5.9.30 StaffClientBreakdown <StffCIntBrkdwn>

Presence: [0..4]

Definition: Breakdown of the net amount per type of order.

StaffClientBreakdown <StffCIntBrkdwn> contains the following elements (see ["InvestmentFundsOrderBreakdown2"](#) on page 1781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderBreakdownType <OrdrBrkdwnTp>	[1..1]			1781
{Or	Code <Cd>	[1..1]	CodeSet		1781
Or}	Proprietary <Prtry>	[1..1]	±		1782
	Amount <Amt>	[1..1]	Amount	C2, C10	1782

49.4.5.9.31 Refund <Rfnd>

Presence: [0..1]

Definition: Return of cash that has been overpaid for a subscription.

Impacted by: [C3 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

49.4.5.9.32 SubscriptionInterest <SbcptIntrst>

Presence: [0..1]

Definition: Interest received when a subscription amount is paid in advance and then invested by the fund.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

49.4.5.9.33 CashSettlementDetails <CshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

CashSettlementDetails <CshSttlmDtls> contains the following elements (see "PaymentTransaction70" on page 2143 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2145
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2147
	Type <Tp>	[1..1]	CodeSet		2147
	Number <Nb>	[1..1]	Text		2148
	HolderName <HldrNm>	[1..1]	Text		2148
	StartDate <StartDt>	[0..1]	YearMonth		2148
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2148
	CardIssuerName <CardIssrNm>	[0..1]	Text		2148
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2148
	SecurityCode <SctyCd>	[0..1]	Text		2149
	SequenceNumber <SeqNb>	[0..1]	Text		2149
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2149
	Reference <Ref>	[0..1]	Text		2149
	Debtor <Dbtr>	[0..1]	±		2149
	DebtorAccount <DbtrAcct>	[0..1]	±		2150
	DebtorAgent <DbtrAgt>	[0..1]	±		2150
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2150
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2150
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2151
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2151
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2151
	CreditorAgent <CdtrAgt>	[1..1]	±		2152
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2152
	Creditor <Cdtr>	[0..1]	±		2152
	CreditorAccount <CdtrAcct>	[1..1]	±		2152
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2153
Or	ChequeDetails <ChqDtls>	[1..1]			2153
	Number <Nb>	[0..1]	Text		2153
	PayeeIdentification <PyeeId>	[1..1]	±		2154
	DraweeIdentification <DrweeId>	[0..1]	±		2154

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DrawerIdentification <Drwrlid>	[0..1]	±		2154
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2154
	Number <Nb>	[0..1]	Text		2155
	PayeeIdentification <Pyeeld>	[1..1]	±		2155
	DraweeIdentification <Drweeld>	[0..1]	±		2155
	DrawerIdentification <Drwrlid>	[0..1]	±		2155
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2156
	AccountIdentification <AcctId>	[0..1]	Text		2156
	Type <Tp>	[0..1]	±		2156

49.4.5.9.34 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: "Max350Text" on page 2683

49.4.5.9.35 PartialSettlementOfUnits <PrtlSttlmOfUnits>

Presence: [0..1]

Definition: Percentage of units partially settled.

Datatype: "PercentageRate" on page 2681

49.4.5.9.36 FinancialAdvice <FinAdv>

Presence: [0..1]

Definition: Specifies if advice has been received from an independent financial advisor.

Datatype: "FinancialAdvice1Code" on page 2580

CodeName	Name	Definition
RECE	Received	Advice has been received from an independent financial advisor.
NREC	NotReceived	Advice has not been received from an independent financial advisor.
UKWN	Unknown	It is not known whether or not advice has been received from an independent financial advisor.

49.4.5.9.37 NegotiatedTrade <NgtdTrad>

Presence: [0..1]

Definition: Specifies whether the trade is negotiated.

Datatype: "NegotiatedTrade1Code" on page 2609

CodeName	Name	Definition
NEGO	Negotiated	Trade is negotiated.
NNGO	NotNegotiated	Trade is not negotiated.
UNKW	Unknown	Whether the trade is negotiated or not is unknown.

49.4.5.9.38 LateReport <LateRpt>*Presence:* [0..1]*Definition:* Specifies whether the order execution confirmation is late.*Datatype:* "LateReport1Code" on page 2606

CodeName	Name	Definition
LAT1	Late	The confirmation is late.
LAT2	LateBecausePartial	The confirmation is late because the trade was executed as a number of partials.

49.4.5.9.39 PartialSettlementOfCash <PrtlSttlmOfCsh>*Presence:* [0..1]*Definition:* Percentage of cash partially settled.*Datatype:* "PercentageRate" on page 2681**49.4.5.9.40 RelatedPartyDetails <RltdPtyDtls>***Presence:* [0..10]*Definition:* Party related to the transaction.*Impacted by:* C19 "OrderOriginatorEligibility1Rule"**RelatedPartyDetails <RltdPtyDtls>** contains the following elements (see "Intermediary39" on page 2024 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2025
	Account <Acct>	[0..1]			2025
	Identification </Id>	[1..1]	Text		2025
	AccountServicer <AcctSvcr>	[0..1]	±		2025
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		2026
	TradingPartyCapacity <TradgPtyCpcty>	[0..1]	CodeSet		2026
	Role <Role>	[0..1]	±		2026

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

49.4.5.9.41 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's subscription amount that is held by the fund in order to pay incentive / performance fees at the end of the fiscal year.

Equalisation <Equlstn> contains the following elements (see "[Equalisation1](#)" on page 1770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1770
	Rate <Rate>	[0..1]	Rate		1770

49.4.5.9.42 SourceOfCash <SrcOfCsh>

Presence: [0..*]

Definition: Source of cash used for the settlement of the subscription.

SourceOfCash <SrcOfCsh> contains one of the following elements (see "[SourceOfCash1Choice](#)" on page 2116 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2116
Or}	Proprietary <Prtry>	[1..1]	±		2117

49.4.5.9.43 CustomerConductClassification <CstmrCndctClssfctn>

Presence: [0..1]

Definition: Assessment of the customer's behaviour at the time of the account opening application.

CustomerConductClassification <CstmrCndctClssfctn> contains one of the following elements (see "[CustomerConductClassification1Choice](#)" on page 1630 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1631
Or}	Proprietary <Prtry>	[1..1]	±		1631

49.4.5.9.44 TransactionChannelType <TxChanlTp>

Presence: [0..1]

Definition: Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanITp> contains one of the following elements (see "TransactionChannelType1Choice" on page 1864 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1864
Or}	Proprietary <Prtry>	[1..1]	±		1865

49.4.5.9.45 SignatureType <SgntrTp>

Presence: [0..1]

Definition: Type of signature.

SignatureType <SgntrTp> contains one of the following elements (see "SignatureType1Choice" on page 1813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1813
Or}	Proprietary <Prtry>	[1..1]	±		1814

49.4.5.9.46 OrderWaiverDetails <OrdWvrDtIs>

Presence: [0..1]

Definition: Information about a non-standard order.

Impacted by: C34 "WaiverElementRule"

OrderWaiverDetails <OrdWvrDtIs> contains the following elements (see "OrderWaiver1" on page 1769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderWaiverReason <OrdWvrRsn>	[0..*]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1769
	InformationValue <InfVal>	[0..1]	Text		1770

Constraints

- WaiverElementRule**

Either OrderWaiverReason or InformationValue must be present. Both may be present.

49.4.5.10 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: C3 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

49.4.5.11 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: C4 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

49.4.5.12 TotalSettlementAmount <TtlSttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the multiple order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

49.4.5.13 BulkCashSettlementDetails <BlkCshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

BulkCashSettlementDetails <BlkCshSttlmDtls> contains the following elements (see "PaymentTransaction70" on page 2143 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2145
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2147
	Type <Tp>	[1..1]	CodeSet		2147
	Number <Nb>	[1..1]	Text		2148
	HolderName <HldrNm>	[1..1]	Text		2148
	StartDate <StartDt>	[0..1]	YearMonth		2148
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2148
	CardIssuerName <CardIssrNm>	[0..1]	Text		2148
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2148
	SecurityCode <SctyCd>	[0..1]	Text		2149
	SequenceNumber <SeqNb>	[0..1]	Text		2149
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2149
	Reference <Ref>	[0..1]	Text		2149
	Debtor <Dbtr>	[0..1]	±		2149
	DebtorAccount <DbtrAcct>	[0..1]	±		2150
	DebtorAgent <DbtrAgt>	[0..1]	±		2150
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2150
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2150
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2151
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2151
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2151
	CreditorAgent <CdtrAgt>	[1..1]	±		2152
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2152
	Creditor <Cdtr>	[0..1]	±		2152
	CreditorAccount <CdtrAcct>	[1..1]	±		2152
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2153
Or	ChequeDetails <ChqDtls>	[1..1]			2153
	Number <Nb>	[0..1]	Text		2153
	PayeeIdentification <PyeeId>	[1..1]	±		2154
	DraweeIdentification <DrweeId>	[0..1]	±		2154

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DrawerIdentification <DrwrlId>	[0..1]	±		2154
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2154
	Number <Nb>	[0..1]	Text		2155
	PayeeIdentification <PyeeId>	[1..1]	±		2155
	DraweeIdentification <DrweeId>	[0..1]	±		2155
	DrawerIdentification <DrwrlId>	[0..1]	±		2155
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2156
	AccountIdentification <AcctId>	[0..1]	Text		2156
	Type <Tp>	[0..1]	±		2156

49.4.6 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation4](#)" on page 1819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1819
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1819

49.4.7 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C15 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

50 **setr.010.001.04**

SubscriptionOrderV04

50.1 **MessageDefinition Functionality**

Scope

The SubscriptionOrder message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct the subscription of one or more financial instruments for one investment fund account.

Usage

The SubscriptionOrder message is used to instruct single subscription orders, that is, a message containing one order for one financial instrument for one investment account. The SubscriptionOrder message may also be used for multiple orders, that is, a message containing several orders for the same investment account for different financial instruments.

For a single subscription order, the SubscriptionOrder message, not the SubscriptionBulkOrder message, must be used.

If there are subscription orders for the same financial instrument but for different accounts that are to be communicated in a single message, then the SubscriptionBulkOrder message must be used.

Outline

The SubscriptionOrderV04 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. MultipleOrderDetails

General information related to the orders.

E. CopyDetails

Information provided when the message is a copy of a previous message.

F. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

50.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SbcptOrdr>	[1..1]		C2	
	MessageIdentification <MsgId>	[1..1]	±		975
	PoolReference <PoolRef>	[0..1]	±		976
	PreviousReference <PrvsRef>	[0..*]	±		976
	MultipleOrderDetails <MltplOrdrDtls>	[1..1]		C7, C21, C29, C32	976
	MasterReference <MstrRef>	[0..1]	Text		979
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		979
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		979
	ExpiryDateTime <XpryDtTm>	[0..1]	±		979
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		980
	CancellationRight <CxlRght>	[0..1]	±		980
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	980
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C22	980
	IndividualOrderDetails <IndvOrdrDtls>	[1..*]		C23, C24, C27	981
	OrderReference <OrdrRef>	[1..1]	Text		983
	ClientReference <ClntRef>	[0..1]	Text		983
	OrderType <OrdrTp>	[0..10]	±		984
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C18	984
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		985
	AmountOrUnits <AmtOrUnits>	[1..1]			985
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		985
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	985
Or}	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	986
	Rounding <Rndg>	[0..1]	CodeSet		986
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	986
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		987
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		987
	ForeignExchangeDetails <FXDtls>	[0..1]	±		987

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	IncomePreference <IncmPref>	[0..1]	CodeSet		988
	LetterIntentReference <LtrInttRef>	[0..1]	Text		988
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		988
	TransactionOverhead <TxOvrhd>	[0..1]		C16	989
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		989
	IndividualFee <IndvFee>	[0..*]	±	C15, C30, C31	989
	IndividualTax <IndvTax>	[0..*]	±		990
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		991
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		993
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		993
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	993
	RequestedNAVCurrency <ReqdNAVCCcy>	[0..1]	CodeSet	C4	993
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		994
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		996
	StaffClientBreakdown <StffClntBrkwn>	[0..4]	±		996
	FinancialAdvice <FinAdv<	[0..1]	CodeSet		996
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		997
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	997
	Equalisation <Equlstn>	[0..1]	±		997
	SourceOfCash <SrcOfCsh>	[0..*]	±		998
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		998
	TransactionChannelType <TxChanlTp>	[0..1]	±		998
	SignatureType <SgntrTp>	[0..1]	±		998
	OrderWaiverDetails <OrdWvrDtls>	[0..1]	±	C33	999
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		999
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	1001
	CopyDetails <CpyDtls>	[0..1]	±		1001
	Extension <Xtnsn>	[0..*]	±	C14	1002

50.3 Constraints

C1 AccountElementRule

Either AccountIdentification or Type must be present. Both may be present.

C2 AccountIdentificationRule

If this message is linked to an account opening request and the account identification is not yet known then the value of the AccountIdentification element in MultipleOrderDetails/InvestmentAccountDetails must be UNKNOWN.

This constraint is defined at the MessageDefinition level.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C7 BulkCashSettlementDetailsRule

Either one or more occurrences of IndividualOrderDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 DeliverersCustodianRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present.
If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

C12 DeliverersIntermediary1DetailsRule

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

C13 DiscountElementRule

Amount Or Rate Or Basis must be present.

C14 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C15 FeeElementRule

One of the elements (Basis, StandardAmount, StandardRate, DiscountDetails, RequestedAmount, RequestedRate, NonStandardSLAReference, RecipientIdentification) must be present.

C16 FeeTaxElementRule

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C17 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C18 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C19 OrderOriginatorEligibility1Rule

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

C20 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C21 OrderOriginatorEligibility3Rule

Either one or more occurrences of IndividualOrderDetails/RelatedPartyDetails/OrderOriginatorEligibility or InvestmentAccountDetails/OrderOriginatorEligibility may be present, but not both.

C22 PersonElementRule

One of the elements (Name, BirthDate, CountryAndResidentialStatus, BeneficiaryCertificationCompletion, OtherIdentification) must be present.

C23 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C24 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C25 ReceiversCustodianRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present.
If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

C26 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

C27 RequestedSettlementCurrencyRule

If RequestedSettlementCurrency is present, it must be the same Currency as in SettlementAmount, if present.

C28 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

C29 SettlementCurrencyRule

If BulkCashSettlementDetails is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

C30 StandardFeeAmountCalculation

If StandardAmount, RequestedAmount and DiscountDetails/Amount are all present, then StandardAmount minus DiscountDetails/Amount must equal RequestedAmount.

C31 StandardFeeRateCalculation

If StandardRate, RequestedRate and DiscountDetails/Rate are all present, then StandardRate minus DiscountDetails/Rate must equal RequestedRate.

C32 TotalSettlementCurrencyRule

If TotalSettlementAmount is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

C33 WaiverElementRule

Either OrderWaiverReason or InformationValue must be present. Both may be present.

50.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

50.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

50.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1498 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1498
	ReferenceIssuer <RefIssr>	[0..1]	±		1498
	MessageName <MsgNm>	[0..1]	Text		1498

50.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference8](#)" on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

50.4.4 MultipleOrderDetails <MltplOrdRDtls>

Presence: [1..1]

Definition: General information related to the orders.

Impacted by: [C7 "BulkCashSettlementDetailsRule"](#), [C21 "OrderOriginatorEligibility3Rule"](#), [C29 "SettlementCurrencyRule"](#), [C32 "TotalSettlementCurrencyRule"](#)

MultipleOrderDetails <MltplOrdrDtls> contains the following **SubscriptionMultipleOrder6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		979
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		979
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		979
	ExpiryDateTime <XpryDtTm>	[0..1]	±		979
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		980
	CancellationRight <CxlRght>	[0..1]	±		980
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	980
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C22	980
	IndividualOrderDetails <IndvOrdrDtls>	[1..*]		C23, C24, C27	981
	OrderReference <OrdrRef>	[1..1]	Text		983
	ClientReference <ClntRef>	[0..1]	Text		983
	OrderType <OrdrTp>	[0..10]	±		984
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C18	984
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		985
	AmountOrUnits <AmtOrUnits>	[1..1]			985
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		985
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	985
Or}	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	986
	Rounding <Rndg>	[0..1]	CodeSet		986
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	986
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		987
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		987
	ForeignExchangeDetails <FXDtls>	[0..1]	±		987
	IncomePreference <IncmPref>	[0..1]	CodeSet		988
	LetterIntentReference <LtrInttRef>	[0..1]	Text		988
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		988
	TransactionOverhead <TxOvrhd>	[0..1]		C16	989
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		989

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndividualFee <IndvFee>	[0..*]	±	C15, C30, C31	989
	IndividualTax <IndvTax>	[0..*]	±		990
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		991
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		993
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		993
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	993
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	993
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		994
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		996
	StaffClientBreakdown <StffClntBrkdown>	[0..4]	±		996
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		996
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		997
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	997
	Equalisation <Equlstn>	[0..1]	±		997
	SourceOfCash <SrcOfCsh>	[0..*]	±		998
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		998
	TransactionChannelType <TxChanlTp>	[0..1]	±		998
	SignatureType <SgntrTp>	[0..1]	±		998
	OrderWaiverDetails <OrdWvrDtls>	[0..1]	±	C33	999
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		999
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	1001

Constraints

- **BulkCashSettlementDetailsRule**

Either one or more occurrences of IndividualOrderDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

- **OrderOriginatorEligibility3Rule**

Either one or more occurrences of IndividualOrderDetails/RelatedPartyDetails/OrderOriginatorEligibility or InvestmentAccountDetails/OrderOriginatorEligibility may be present, but not both.

- **SettlementCurrencyRule**

If BulkCashSettlementDetails is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

- **TotalSettlementCurrencyRule**

If TotalSettlementAmount is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

50.4.4.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "Max35Text" on page 2683

50.4.4.2 PlaceOfTrade <PlcOfTrad>

Presence: [0..1]

Definition: Market in which the advised trade transaction was executed.

PlaceOfTrade <PlcOfTrad> contains one of the following elements (see "PlaceOfTradeIdentification1Choice" on page 1784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Country <Ctry>	[1..1]	CodeSet	C11	1784
Or	Exchange <Xchg>	[1..1]	IdentifierSet		1784
Or	Party <Pty>	[1..1]	IdentifierSet	C7	1784
Or}	OverTheCounter <OverTheCntr>	[1..1]	Text		1785

50.4.4.3 OrderDateTime <OrdrDtTm>

Presence: [0..1]

Definition: Date and time the order is placed by the investor or its agent.

Datatype: "ISODateTime" on page 2669

50.4.4.4 ExpiryDateTime <XpryDtTm>

Presence: [0..1]

Definition: Date on which the order expires.

ExpiryDateTime <XpryDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

50.4.4.5 RequestedFutureTradeDate <ReqdFutrTradDt>

Presence: [0..1]

Definition: Future date at which the investor requests the order to be executed. The specification of a requested future trade date is not allowed in some markets. The date must be a date in the future.

Datatype: "ISODate" on page 2668

50.4.4.6 CancellationRight <CxlRght>

Presence: [0..1]

Definition: Cancellation right of the investor with respect to the investment fund order.

CancellationRight <CxlRght> contains one of the following elements (see "CancellationRight1Choice" on page 1814 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1814
Or}	Proprietary <Prtry>	[1..1]	±		1815

50.4.4.7 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [1..1]

Definition: Account impacted by the investment fund order.

Impacted by: C20 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1635
	AccountName <AcctNm>	[0..1]	Text		1635
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1635
	OwnerIdentification <OwnrId>	[0..*]	±		1635
	AccountServicer <AcctSvcr>	[0..1]	±		1636
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		1636
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1636

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

50.4.4.8 BeneficiaryDetails <BnfcryDtls>

Presence: [0..*]

Definition: Additional information about the investor.

Impacted by: C22 "PersonElementRule"

BeneficiaryDetails <BnfcryDtls> contains the following elements (see "IndividualPerson31" on page 2186 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2187
	BirthDate <BirthDt>	[0..1]	Date		2187
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		2187
	BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>	[0..1]	CodeSet		2188
	OtherIdentification <OthrId>	[0..*]			2188
	Identification <Id>	[1..1]	Text		2188
	IdentificationType <IdTp>	[1..1]			2188
{Or	Code <Cd>	[1..1]	CodeSet		2189
Or}	Proprietary <Prtry>	[1..1]	±		2189
	Issuer <Issr>	[0..1]	Text		2190

Constraints

- **PersonElementRule**

One of the elements (Name, BirthDate, CountryAndResidentialStatus, BeneficiaryCertificationCompletion, OtherIdentification) must be present.

50.4.4.9 IndividualOrderDetails <IndvOrdrDtls>

Presence: [1..*]

Definition: Order to invest the investor's principal in an investment fund.

Impacted by: C23 "PhysicalDeliveryDetails1Rule", C24 "PhysicalDeliveryDetails2Rule", C27 "RequestedSettlementCurrencyRule"

IndividualOrderDetails <IndvOrdrDtls> contains the following **SubscriptionOrder14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		983
	ClientReference <ClntRef>	[0..1]	Text		983
	OrderType <OrdrTp>	[0..10]	±		984
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C18	984
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		985
	AmountOrUnits <AmtOrUnits>	[1..1]			985
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		985
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	985
Or}	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	986
	Rounding <Rndg>	[0..1]	CodeSet		986
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	986
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		987
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		987
	ForeignExchangeDetails <FXDtls>	[0..1]	±		987
	IncomePreference <IncmPref>	[0..1]	CodeSet		988
	LetterIntentReference <LtrInttRef>	[0..1]	Text		988
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		988
	TransactionOverhead <TxOvrhd>	[0..1]		C16	989
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		989
	IndividualFee <IndvFee>	[0..*]	±	C15, C30, C31	989
	IndividualTax <IndvTax>	[0..*]	±		990
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		991
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		993
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		993
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	993
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	993
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		994
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		996
	StaffClientBreakdown <StffClntBrkdw>	[0..4]	±		996
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		996

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		997
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	997
	Equalisation <Equlstn>	[0..1]	±		997
	SourceOfCash <SrcOfCsh>	[0..*]	±		998
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		998
	TransactionChannelType <TxChanlTp>	[0..1]	±		998
	SignatureType <SgntrTp>	[0..1]	±		998
	OrderWaiverDetails <OrdWrDtls>	[0..1]	±	C33	999

Constraints

- **PhysicalDeliveryDetails1Rule**

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True

/PhysicalDeliveryDetails Must be absent

- **PhysicalDeliveryDetails2Rule**

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

On Condition

/PhysicalDeliveryIndicator is equal to value 'true'

Or /PhysicalDeliveryIndicator is equal to value '1'

Following Must be True

/PhysicalDeliveryDetails Must be present

- **RequestedSettlementCurrencyRule**

If RequestedSettlementCurrency is present, it must be the same Currency as in SettlementAmount, if present.

On Condition

/RequestedSettlementCurrency is present

And /SettlementAmount is present

Following Must be True

/RequestedSettlementCurrency Must be equal to /SettlementAmount/
attribute::Currency

50.4.4.9.1 OrderReference <OrdRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

50.4.4.9.2 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2683

50.4.4.9.3 OrderType <OrdrTp>

Presence: [0..10]

Definition: Category of the investment fund order.

OrderType <OrdrTp> contains one of the following elements (see "FundOrderType4Choice" on page 1782 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1783
Or}	Proprietary <Prtry>	[1..1]	±		1783

50.4.4.9.4 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class related to the order.

Impacted by: C18 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1436 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1436
	Name <Nm>	[0..1]	Text		1437
	ShortName <ShrtNm>	[0..1]	Text		1437
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1437
	ClassType <ClsTp>	[0..1]	Text		1438
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1438
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1438
	ProductGroup <PdctGrp>	[0..1]	Text		1438
	SeriesIdentification <SrsId>	[0..1]		C30	1438
	SeriesDate <SrsDt>	[0..1]	±		1439
	SeriesName <SrsNm>	[0..1]	Text		1439

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

50.4.4.9.5 SubAccountForHolding <SubAcctForHldg>

Presence: [0..1]

Definition: Subdivision of the account used to segregate specific holdings.

SubAccountForHolding <SubAcctForHldg> contains the following elements (see "[SubAccount6](#)" on page 1630 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1630
	Name <Nm>	[0..1]	Text		1630
	Characteristic <Chrtc>	[0..1]	Text		1630
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1630

50.4.4.9.6 AmountOrUnits <AmtOrUnits>

Presence: [1..1]

Definition: Amount of money or the number of units for the subscription order.

AmountOrUnits <AmtOrUnits> contains one of the following **FinancialInstrumentQuantity27Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		985
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	985
Or}	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	986

50.4.4.9.6.1 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Number of investment fund units to be subscribed.

Datatype: "[DecimalNumber](#)" on page 2680

50.4.4.9.6.2 GrossAmount <GrssAmt>

Presence: [1..1]

Definition: Amount of money to be paid by the investor when subscribing to fund units.

Gross amount = (Quantity * Price) + (Fees + Taxes).

Impacted by: C4 "[ActiveOrHistoricCurrency](#)", C9 "[CurrencyAmount](#)"

Datatype: "[ActiveOrHistoricCurrencyAndAmount](#)" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

50.4.4.9.6.3 NetAmount <NetAmt>

Presence: [1..1]

Definition: Amount of money to be invested in the fund.

Net Amount = Quantity * Price.

Impacted by: C4 "ActiveOrHistoricCurrency", C9 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

50.4.4.9.7 Rounding <Rndg>

Presence: [0..1]

Definition: Indicates the rounding direction applied to nearest unit.

Datatype: "RoundingDirection2Code" on page 2635

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

50.4.4.9.8 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the individual order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

50.4.4.9.9 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2668

50.4.4.9.10 SettlementMethod <SttlmMtd>

Presence: [0..1]

Definition: Method by which the transaction is settled.

Datatype: "DeliveryReceiptType2Code" on page 2571

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

50.4.4.9.11 ForeignExchangeDetails <FXDtIs>

Presence: [0..1]

Definition: Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms32](#)" on page 1486 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1486
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1486
	ExchangeRate <XchgRate>	[1..1]	Rate		1487
	QuotationDate <QtnDt>	[0..1]	DateTime		1487
	QuotingInstitution <QtgInstn>	[0..1]	±		1487

50.4.4.9.12 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "[IncomePreference1Code](#)" on page 2586

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

50.4.4.9.13 LetterIntentReference <LtrInttRef>

Presence: [0..1]

Definition: Reference of a letter of intent program, in which sales commissions are reduced based on the aggregate of a customer's actual purchase and anticipated purchases, over a specific period of time, and as agreed by the customer. A letter of intent program is mainly used in the US market.

Datatype: "[Max35Text](#)" on page 2683

50.4.4.9.14 AccumulationRightReference <AcmltnRghtRef>

Presence: [0..1]

Definition: Reference of an accumulation right program, in which sales commissions are based on a customer's present purchases of shares and the aggregate quantity previously purchased by the customer. An accumulation rights program is mainly used in the US market.

Datatype: "[Max35Text](#)" on page 2683

50.4.4.9.15 TransactionOverhead <TxOvrhd>*Presence:* [0..1]*Definition:* Fees (charges/commission) and tax to be applied to the net amount.*Impacted by:* C16 "FeeTaxElementRule"**TransactionOverhead <TxOvrhd>** contains the following **FeeAndTax1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommercialAgreementReference <ComrclAgrmtRef>	[0..1]	Text		989
	IndividualFee <IndvFee>	[0..*]	±	C15, C30, C31	989
	IndividualTax <IndvTax>	[0..*]	±		990

Constraints

- **FeeTaxElementRule**

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

50.4.4.9.15.1 CommercialAgreementReference <ComrclAgrmtRef>*Presence:* [0..1]*Definition:* Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.*Datatype:* "Max35Text" on page 2683**50.4.4.9.15.2 IndividualFee <IndvFee>***Presence:* [0..*]*Definition:* Individual fee (charge/commission).*Impacted by:* C15 "FeeElementRule", C30 "StandardFeeAmountCalculation", C31 "StandardFeeRateCalculation"

IndividualFee <IndvFee> contains the following elements (see "Fee1" on page 1352 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1353
{Or	Code <Cd>	[1..1]	CodeSet		1353
Or}	Proprietary <Prtry>	[1..1]	±		1354
	Basis <Bsis>	[0..1]			1354
{Or	Code <Cd>	[1..1]	CodeSet		1354
Or}	Proprietary <Prtry>	[1..1]	±		1355
	StandardAmount <StdAmt>	[0..1]	Amount	C2, C10	1355
	StandardRate <StdRate>	[0..1]	Rate		1355
	DiscountDetails <DscntDtls>	[0..1]		C14	1356
	Amount <Amt>	[0..1]	Amount	C2, C10	1356
	Rate <Rate>	[0..1]	Rate		1357
	Basis <Bsis>	[0..1]			1357
{Or	Code <Cd>	[1..1]	CodeSet		1357
Or}	Proprietary <Prtry>	[1..1]	±		1357
	RequestedAmount <ReqdAmt>	[0..1]	Amount	C2, C10	1358
	RequestedRate <ReqdRate>	[0..1]	Rate		1358
	NonStandardSLAReference <NonStdSLARef>	[0..1]	Text		1358
	RecipientIdentification <RcptId>	[0..1]	±		1358

Constraints

- **FeeElementRule**

One of the elements (Basis, StandardAmount, StandardRate, DiscountDetails, RequestedAmount, RequestedRate, NonStandardSLAReference, RecipientIdentification) must be present.

- **StandardFeeAmountCalculation**

If StandardAmount, RequestedAmount and DiscountDetails/Amount are all present, then StandardAmount minus DiscountDetails/Amount must equal RequestedAmount.

- **StandardFeeRateCalculation**

If StandardRate, RequestedRate and DiscountDetails/Rate are all present, then StandardRate minus DiscountDetails/Rate must equal RequestedRate.

50.4.4.9.15.3 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Individual tax amount.

IndividualTax <IndvTax> contains the following elements (see "Tax30" on page 2455 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2456
{Or	Code <Cd>	[1..1]	CodeSet		2456
Or}	Proprietary <Prtry>	[1..1]	±		2458
	Tax <Tax>	[0..1]			2458
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	2458
Or}	Rate <Rate>	[1..1]	Rate		2459
	Country <Ctry>	[0..1]	CodeSet	C11	2459
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2459
	ExemptionReason <XmptnRsn>	[0..1]			2459
{Or	Code <Cd>	[1..1]	CodeSet		2459
Or}	Proprietary <Prtry>	[1..1]	±		2462
	RecipientIdentification <Rcptld>	[0..1]	±		2463
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2463
	Basis <Bsis>	[1..1]			2463
{Or	Code <Cd>	[1..1]	CodeSet		2463
Or}	Proprietary <Prtry>	[1..1]	±		2464

50.4.4.9.16 SettlementAndCustodyDetails <SttlmAndCtdyDtls>

Presence: [0..1]

Definition: Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters11" on page 2296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2298
	SettlementPlace <SttlmPlc>	[1..1]	±		2298
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2298
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2299
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2299
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2299
	ReceivingSideDetails <RcvgSdDtls>	[1..1]		C27, C28	2299
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2300
	PartyIdentification <PtyId>	[1..1]	±		2301
	AccountIdentification <AcctId>	[0..1]	Text		2301
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2301
	PartyIdentification <PtyId>	[1..1]	±		2301
	AccountIdentification <AcctId>	[0..1]	Text		2302
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2302
	PartyIdentification <PtyId>	[1..1]	±		2302
	AccountIdentification <AcctId>	[0..1]	Text		2302
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2302
	PartyIdentification <PtyId>	[1..1]	±		2303
	AccountIdentification <AcctId>	[0..1]	Text		2303
	DeliveringSideDetails <DlvrgSdDtls>	[0..1]		C12, C13	2303
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2304
	PartyIdentification <PtyId>	[1..1]	±		2305
	AccountIdentification <AcctId>	[0..1]	Text		2305
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2305
	PartyIdentification <PtyId>	[1..1]	±		2305
	AccountIdentification <AcctId>	[0..1]	Text		2306
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2306
	PartyIdentification <PtyId>	[1..1]	±		2306
	AccountIdentification <AcctId>	[0..1]	Text		2306

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2306
	PartyIdentification <PtyId>	[1..1]	±		2307
	AccountIdentification <AcctId>	[0..1]	Text		2307

50.4.4.9.17 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

50.4.4.9.18 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["NameAndAddress4"](#) on page 2207 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2207
	Address <Adr>	[1..1]	±		2207

50.4.4.9.19 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: [C3 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyCode"](#) on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

50.4.4.9.20 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: [C4 "ActiveOrHistoricCurrency"](#)

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

50.4.4.9.21 CashSettlementDetails <CshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

CashSettlementDetails <CshSttlmDtls> contains the following elements (see "PaymentTransaction70" on page 2143 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2145
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2147
	Type <Tp>	[1..1]	CodeSet		2147
	Number <Nb>	[1..1]	Text		2148
	HolderName <HldrNm>	[1..1]	Text		2148
	StartDate <StartDt>	[0..1]	YearMonth		2148
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2148
	CardIssuerName <CardIssrNm>	[0..1]	Text		2148
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2148
	SecurityCode <SctyCd>	[0..1]	Text		2149
	SequenceNumber <SeqNb>	[0..1]	Text		2149
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2149
	Reference <Ref>	[0..1]	Text		2149
	Debtor <Dbtr>	[0..1]	±		2149
	DebtorAccount <DbtrAcct>	[0..1]	±		2150
	DebtorAgent <DbtrAgt>	[0..1]	±		2150
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2150
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2150
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2151
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2151
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2151
	CreditorAgent <CdtrAgt>	[1..1]	±		2152
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2152
	Creditor <Cdtr>	[0..1]	±		2152
	CreditorAccount <CdtrAcct>	[1..1]	±		2152
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2153
Or	ChequeDetails <ChqDtls>	[1..1]			2153
	Number <Nb>	[0..1]	Text		2153
	PayeeIdentification <PyeeId>	[1..1]	±		2154
	DraweeIdentification <DrweeId>	[0..1]	±		2154

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DrawerIdentification <Drwrlid>	[0..1]	±		2154
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2154
	Number <Nb>	[0..1]	Text		2155
	PayeeIdentification <Pyeeld>	[1..1]	±		2155
	DraweeIdentification <Drweeld>	[0..1]	±		2155
	DrawerIdentification <Drwrlid>	[0..1]	±		2155
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2156
	AccountIdentification <Acctld>	[0..1]	Text		2156
	Type <Tp>	[0..1]	±		2156

50.4.4.9.22 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: "Max350Text" on page 2683

50.4.4.9.23 StaffClientBreakdown <StffCIntBrkdwn>

Presence: [0..4]

Definition: Breakdown of the net amount per type of order.

StaffClientBreakdown <StffCIntBrkdwn> contains the following elements (see "InvestmentFundsOrderBreakdown2" on page 1781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderBreakdownType <OrdrBrkdwnTp>	[1..1]			1781
{Or	Code <Cd>	[1..1]	CodeSet		1781
Or}	Proprietary <Prtry>	[1..1]	±		1782
	Amount <Amt>	[1..1]	Amount	C2, C10	1782

50.4.4.9.24 FinancialAdvice <FinAdvc>

Presence: [0..1]

Definition: Specifies if advice has been received from an independent financial advisor.

Datatype: "FinancialAdvice1Code" on page 2580

CodeName	Name	Definition
RECE	Received	Advice has been received from an independent financial advisor.
NREC	NotReceived	Advice has not been received from an independent financial advisor.

CodeName	Name	Definition
UKWN	Unknown	It is not known whether or not advice has been received from an independent financial advisor.

50.4.4.9.25 NegotiatedTrade <NgtdTrad>

Presence: [0..1]

Definition: Specifies whether the trade is negotiated.

Datatype: "NegotiatedTrade1Code" on page 2609

CodeName	Name	Definition
NEGO	Negotiated	Trade is negotiated.
NNGO	NotNegotiated	Trade is not negotiated.
UNKW	Unknown	Whether the trade is negotiated or not is unknown.

50.4.4.9.26 RelatedPartyDetails <RltdPtyDtls>

Presence: [0..10]

Definition: Party related to the transaction.

Impacted by: C19 "OrderOriginatorEligibility1Rule"

RelatedPartyDetails <RltdPtyDtls> contains the following elements (see "Intermediary40" on page 2027 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2027
	Account <Acct>	[0..1]			2028
	Identification <Id>	[1..1]	Text		2028
	AccountServicer <AcctSvcr>	[0..1]	±		2028
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		2028
	Role <Role>	[0..1]	±		2029

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

50.4.4.9.27 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's subscription amount that is held by the fund in order to pay incentive/performance fees at the end of the fiscal year.

Equalisation <Equlstn> contains the following elements (see "Equalisation1" on page 1770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1770
	Rate <Rate>	[0..1]	Rate		1770

50.4.4.9.28 SourceOfCash <SrcOfCsh>

Presence: [0..*]

Definition: Source of cash used for the settlement of the subscription.

SourceOfCash <SrcOfCsh> contains one of the following elements (see "SourceOfCash1Choice" on page 2116 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2116
Or}	Proprietary <Prtry>	[1..1]	±		2117

50.4.4.9.29 CustomerConductClassification <CstmrCndctClssfctn>

Presence: [0..1]

Definition: Assessment of the customer's behaviour at the time of the account opening application.

CustomerConductClassification <CstmrCndctClssfctn> contains one of the following elements (see "CustomerConductClassification1Choice" on page 1630 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1631
Or}	Proprietary <Prtry>	[1..1]	±		1631

50.4.4.9.30 TransactionChannelType <TxChanITp>

Presence: [0..1]

Definition: Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanITp> contains one of the following elements (see "TransactionChannelType1Choice" on page 1864 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1864
Or}	Proprietary <Prtry>	[1..1]	±		1865

50.4.4.9.31 SignatureType <SgntrTp>

Presence: [0..1]

Definition: Type of signature.

SignatureType <SgntrTp> contains one of the following elements (see "SignatureType1Choice" on page 1813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1813
Or}	Proprietary <Prtry>	[1..1]	±		1814

50.4.4.9.32 OrderWaiverDetails <OrdrWvrDtIs>

Presence: [0..1]

Definition: Information about a non-standard order.

Impacted by: C33 "WaiverElementRule"

OrderWaiverDetails <OrdrWvrDtIs> contains the following elements (see "OrderWaiver1" on page 1769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderWaiverReason <OrdrWvrRsn>	[0..*]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1769
	InformationValue <InfVal>	[0..1]	Text		1770

Constraints

- **WaiverElementRule**

Either OrderWaiverReason or InformationValue must be present. Both may be present.

50.4.4.10 BulkCashSettlementDetails <BlkCshSttlmDtIs>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

BulkCashSettlementDetails <BlkCshSttlmDtls> contains the following elements (see "PaymentTransaction70" on page 2143 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2145
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2147
	Type <Tp>	[1..1]	CodeSet		2147
	Number <Nb>	[1..1]	Text		2148
	HolderName <HldrNm>	[1..1]	Text		2148
	StartDate <StartDt>	[0..1]	YearMonth		2148
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2148
	CardIssuerName <CardIssrNm>	[0..1]	Text		2148
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2148
	SecurityCode <SctyCd>	[0..1]	Text		2149
	SequenceNumber <SeqNb>	[0..1]	Text		2149
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2149
	Reference <Ref>	[0..1]	Text		2149
	Debtor <Dbtr>	[0..1]	±		2149
	DebtorAccount <DbtrAcct>	[0..1]	±		2150
	DebtorAgent <DbtrAgt>	[0..1]	±		2150
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2150
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2150
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2151
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2151
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2151
	CreditorAgent <CdtrAgt>	[1..1]	±		2152
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2152
	Creditor <Cdtr>	[0..1]	±		2152
	CreditorAccount <CdtrAcct>	[1..1]	±		2152
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2153
Or	ChequeDetails <ChqDtls>	[1..1]			2153
	Number <Nb>	[0..1]	Text		2153
	PayeeIdentification <PyeeId>	[1..1]	±		2154
	DraweeIdentification <DrweeId>	[0..1]	±		2154

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DrawerIdentification <Drwrlid>	[0..1]	±		2154
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2154
	Number <Nb>	[0..1]	Text		2155
	PayeeIdentification <Pyeeld>	[1..1]	±		2155
	DraweeIdentification <Drweeld>	[0..1]	±		2155
	DrawerIdentification <Drwrlid>	[0..1]	±		2155
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2156
	AccountIdentification <Acctld>	[0..1]	Text		2156
	Type <Tp>	[0..1]	±		2156

50.4.4.11 TotalSettlementAmount <TtlSttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the multiple order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

50.4.5 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "CopyInformation4" on page 1819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1819
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1819

50.4.6 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C14 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

51 **setr.011.001.04**

SubscriptionOrderCancellationRequestV04

51.1 **MessageDefinition Functionality**

Scope

The SubscriptionOrderCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent SubscriptionOrder.

Usage

The SubscriptionOrderCancellationRequest message is used to request the cancellation of one or more individual orders.

There is no amendment, but a cancellation and re-instruct policy.

To request the cancellation of one or more individual orders, the order reference of each individual order listed in the original SubscriptionOrder message is specified in the order reference element. The message identification of the SubscriptionOrder message which contains the individual orders to be cancelled may also be quoted in PreviousReference but this is not recommended.

The deadline and acceptance of a cancellation request is subject to a service level agreement (SLA). This cancellation message is a cancellation request. There is no automatic acceptance of the cancellation.

The rejection or acceptance of a SubscriptionOrderCancellationRequest is made using an OrderCancellationStatusReport message.

Outline

The SubscriptionOrderCancellationRequestV04 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. MasterReference

Reference assigned to a set of orders or trades in order to link them together.

E. OrderReferences

Identification of the individual order to be cancelled.

F. CopyDetails

Information provided when the message is a copy of a previous message.

51.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SbcptOrdxCxlReq>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		1004
	PoolReference <PoolRef>	[0..1]	±		1005
	PreviousReference <PrvsRef>	[0..1]	±		1005
	MasterReference <MstrRef>	[0..1]	Text		1005
	OrderReferences <OrdRefs>	[1..*]			1005
	OrderReference <OrdRef>	[1..1]	Text		1006
	ClientReference <ClntRef>	[0..1]	Text		1006
	CancellationReference <CxlRef>	[0..1]	Text		1006
	CancellationReason <CxlRsn>	[0..1]	±		1006
	CopyDetails <CpyDtls>	[0..1]	±		1006

51.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

51.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

51.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

51.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see ["AdditionalReference9"](#) on page 1498 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1498
	ReferenceIssuer <RefIssr>	[0..1]	±		1498
	MessageName <MsgNm>	[0..1]	Text		1498

51.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see ["AdditionalReference8"](#) on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

51.4.4 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: ["Max35Text"](#) on page 2683

51.4.5 OrderReferences <OrdRefs>

Presence: [1..*]

Definition: Identification of the individual order to be cancelled.

OrderReferences <OrdRefs> contains the following **InvestmentFundOrder9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdRef>	[1..1]	Text		1006
	ClientReference <CIntRef>	[0..1]	Text		1006
	CancellationReference <CxlRef>	[0..1]	Text		1006
	CancellationReason <CxlRsn>	[0..1]	±		1006

51.4.5.1 OrderReference <OrdRef>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier for the order, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2683**51.4.5.2 ClientReference <ClntRef>***Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.*Datatype:* "Max35Text" on page 2683**51.4.5.3 CancellationReference <CxlRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order cancellation, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2683**51.4.5.4 CancellationReason <CxlRsn>***Presence:* [0..1]*Definition:* Reason for the cancellation.**CancellationReason <CxlRsn>** contains one of the following elements (see "CancellationReason32Choice" on page 1813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	Text		1813
Or}	Proprietary <Prtry>	[1..1]	±		1813

51.4.6 CopyDetails <CpyDtls>*Presence:* [0..1]*Definition:* Information provided when the message is a copy of a previous message.**CopyDetails <CpyDtls>** contains the following elements (see "CopyInformation4" on page 1819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1819
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1819

52 **setr.012.001.04**

SubscriptionOrderConfirmationV04

52.1 **MessageDefinition Functionality**

Scope

The SubscriptionOrderConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the details of the execution of a SubscriptionOrder instruction.

Usage

The SubscriptionOrderConfirmation message is used to confirm the execution of one or more individual orders.

A SubscriptionOrder message containing more than one individual order may be responded to by more than one SubscriptionOrderConfirmation message, as the valuation cycle of the financial instruments in each individual order may be different. When a SubscriptionOrderConfirmation message contains fewer confirmations than originally instructed in the original SubscriptionOrder message, there is no specification indication in the confirmation for this. Reconciliation must be based on the references.

Each individual order confirmation specified is identified in DealReference. The reference of the original individual order is specified in OrderReference. The message identification of the SubscriptionOrder message in which the individual orders was conveyed may also be quoted in RelatedReference but this is not recommended.

A SubscriptionOrder must in all cases be responded to by a SubscriptionOrderConfirmation message and in no circumstances by a SubscriptionBulkOrderConfirmation message.

If the executing party needs to confirm one or more subscription orders for the same financial instrument, then a SubscriptionBulkOrderConfirmation message must be used.

When the message is used to convey a confirmation amendment/s, the AmendmentIndicator must be present with the value 'true' or '1'. When this is the case, the message must only contain a confirmation amendment/s and not contain both a confirmation amendment/s and a 'new' confirmation/s.

Outline

The SubscriptionOrderConfirmationV04 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. MultipleExecutionDetails

General information related to the execution of the orders.

F. CopyDetails

Information provided when the message is a copy of a previous message.

G. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

52.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SbcptOrdConf>	[1..1]		C2, C22	
	MessageIdentification <MsgId>	[1..1]	±		1014
	PoolReference <PoolRef>	[0..1]	±		1014
	PreviousReference <PrvsRef>	[0..*]	±		1015
	RelatedReference <RltdRef>	[0..1]	±		1015
	MultipleExecutionDetails <MltplExctnDtls>	[1..1]		C8, C21, C31, C33	1015
	AmendmentIndicator <AmdmntInd>	[0..1]	Indicator		1018
	MasterReference <MstrRef>	[0..1]	Text		1018
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		1019
	OrderDateTime <OrdDtTm>	[0..1]	DateTime		1019
	ReceivedDateTime <RcvdDtTm>	[0..1]	DateTime		1019
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		1019
	CancellationRight <CxlRght>	[0..1]	±		1019
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	1020
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C23	1020
	IndividualExecutionDetails <IndvExctnDtls>	[1..*]		C24, C25, C26, C29	1021
	OrderReference <OrdRef>	[1..1]	Text		1024
	ClientReference <ClntRef>	[0..1]	Text		1024
	DealReference <DealRef>	[1..1]	Text		1024
	OrderType <OrdTp>	[0..10]	±		1024
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	1025
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		1025
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1026
	Rounding <Rndg>	[0..1]	CodeSet		1026
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	1026
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	1026
	TradeDateTime <TradDtTm>	[1..1]	±		1027

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		1027
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		1028
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	1028
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1028
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		1029
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		1029
	BestExecution <BestExctn>	[0..1]	CodeSet		1029
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		1029
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		1029
	ForeignExchangeDetails <FXDtls>	[0..*]	±		1030
	IncomePreference <IncmPref>	[0..1]	CodeSet		1030
	LetterIntentReference <LtrInttRef>	[0..1]	Text		1031
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		1031
	TransactionOverhead <TxOvrhd>	[0..1]		C18, C34	1031
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C3, C10	1032
	TotalFees <TtlFees>	[0..1]	Amount	C3, C10	1032
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C3, C10	1033
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1033
	IndividualFee <IndvFee>	[0..*]	±	C6	1033
	IndividualTax <IndvTax>	[0..*]	±		1034
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C32	1035
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1037
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1039
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1039
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	1039
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	1039
	Refund <Rfnd>	[0..1]	Amount	C3, C10	1040
	SubscriptionInterest <SbcptIntrst>	[0..1]	Amount	C3, C10	1040
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		1041
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1043
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		1043

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		1043
	StaffClientBreakdown <StffCintBrkdwn>	[0..4]	±		1043
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		1044
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		1044
	LateReport <LateRpt>	[0..1]	CodeSet		1044
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	1044
	Equalisation <Equlstn>	[0..1]	±		1045
	SourceOfCash <SrcOfCsh>	[0..*]	±		1045
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1045
	TransactionChannelType <TxChanlTp>	[0..1]	±		1046
	SignatureType <SgntrTp>	[0..1]	±		1046
	OrderWaiverDetails <OrdWrDtls>	[0..1]	±	C35	1046
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	1047
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		1047
	CopyDetails <CpyDtls>	[0..1]	±		1049
	Extension <Xtnsn>	[0..*]	±	C15	1049

52.3 Constraints

C1 AccountElementRule

Either AccountIdentification or Type must be present. Both may be present.

C2 AccountIdentificationRule

If this message is linked to an account opening request and the account identification is not yet known then the value of the AccountIdentification element in MultipleExecutionDetails/InvestmentAccountDetails must be UNKNOWN.

This constraint is defined at the MessageDefinition level.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 AppliedAmountRule

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C7 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C8 BulkCashSettlementDetailsRule

Either one or more occurrences of IndividualExecutionDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

C9 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 DeliverersCustodianRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present. If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

C13 DeliverersIntermediary1DetailsRule

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

C14 DiscountElementRule

Amount Or Rate Or Basis must be present.

C15 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C16 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C17 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C18 NonStandardsSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C19 OrderOriginatorEligibility1Rule

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

C20 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C21 OrderOriginatorEligibility3Rule

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of IndividualExecutionDetails/RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

C22 OrderReferenceRule

If this message is not solicited by the receiver, then the value of the OrderReference element in every occurrence of MultipleExecutionDetails/IndividualExecutionDetails must be UNSOLICITED.

This constraint is defined at the MessageDefinition level.

C23 PersonElementRule

One of the elements (Name, BirthDate, CountryAndResidentialStatus, OtherIdentification) must be present.

C24 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C25 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C26 PriceDifferenceReasonRule

Any occurrence of InformativePriceDetails/PriceDifferenceReason may not be present.

C27 ReceiversCustodianRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present. If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

C28 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

C29 RequestedSettlementCurrencyRule

If RequestedSettlementCurrency is present, it must be the same Currency as in SettlementAmount, if present.

C30 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

C31 SettlementCurrencyRule

If BulkCashSettlementDetails is present, then Currency in IndividualExecutionDetails/
SettlementAmount must be the same in all occurrences of IndividualExecutionDetails.

C32 TaxElementRule

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus,
PercentageOfDebtClaim, IndividualTax) must be present.

C33 TotalSettlementCurrencyRule

If TotalSettlementAmount is present, then Currency in IndividualOrderDetails/
SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

C34 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes,
CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C35 WaiverElementRule

Either OrderWaiverReason or InformationValue must be present. Both may be present.

52.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

52.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

52.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "AdditionalReference9" on page 1498 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1498
	ReferenceIssuer <RefIssr>	[0..1]	±		1498
	MessageName <MsgNm>	[0..1]	Text		1498

52.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference8" on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

52.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference8" on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

52.4.5 MultipleExecutionDetails <MltplExctnDtls>

Presence: [1..1]

Definition: General information related to the execution of the orders.

Impacted by: C8 "BulkCashSettlementDetailsRule", C21 "OrderOriginatorEligibility3Rule", C31 "SettlementCurrencyRule", C33 "TotalSettlementCurrencyRule"

MultipleExecutionDetails <MltplExctnDtls> contains the following **SubscriptionMultipleExecution5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmendmentIndicator <AmdmntInd>	[0..1]	Indicator		1018
	MasterReference <MstrRef>	[0..1]	Text		1018
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		1019
	OrderDateTime <OrdrtDtTm>	[0..1]	DateTime		1019
	ReceivedDateTime <RcvdDtTm>	[0..1]	DateTime		1019
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		1019
	CancellationRight <CxlRght>	[0..1]	±		1019
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	1020
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C23	1020
	IndividualExecutionDetails <IndvExctnDtls>	[1..*]		C24, C25, C26, C29	1021
	OrderReference <OrdrtRef>	[1..1]	Text		1024
	ClientReference <ClntRef>	[0..1]	Text		1024
	DealReference <DealRef>	[1..1]	Text		1024
	OrderType <OrdrtTp>	[0..10]	±		1024
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	1025
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		1025
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1026
	Rounding <Rndg>	[0..1]	CodeSet		1026
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	1026
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	1026
	TradeDateTime <TradDtTm>	[1..1]	±		1027
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		1027
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		1028
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	1028
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1028
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		1029
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		1029
	BestExecution <BestExctn>	[0..1]	CodeSet		1029
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		1029

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		1029
	ForeignExchangeDetails <FXDtls>	[0..*]	±		1030
	IncomePreference <IncmPref>	[0..1]	CodeSet		1030
	LetterIntentReference <LtrInttRef>	[0..1]	Text		1031
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		1031
	TransactionOverhead <TxOvrhd>	[0..1]		C18, C34	1031
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C3, C10	1032
	TotalFees <TtlFees>	[0..1]	Amount	C3, C10	1032
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C3, C10	1033
	CommercialAgreementReference <ComrcIAgrmtRef>	[0..1]	Text		1033
	IndividualFee <IndvFee>	[0..*]	±	C6	1033
	IndividualTax <IndvTax>	[0..*]	±		1034
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C32	1035
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1037
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1039
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1039
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	1039
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	1039
	Refund <Rfnd>	[0..1]	Amount	C3, C10	1040
	SubscriptionInterest <SbcptIntrst>	[0..1]	Amount	C3, C10	1040
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		1041
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1043
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		1043
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		1043
	StaffClientBreakdown <StffClntBrkdown>	[0..4]	±		1043
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		1044
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		1044
	LateReport <LateRpt>	[0..1]	CodeSet		1044
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	1044
	Equalisation <Equlstn>	[0..1]	±		1045

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SourceOfCash <SrcOfCsh>	[0..*]	±		1045
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1045
	TransactionChannelType <TxChanITp>	[0..1]	±		1046
	SignatureType <SgntrTp>	[0..1]	±		1046
	OrderWaiverDetails <OrdWrDtls>	[0..1]	±	C35	1046
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	1047
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		1047

Constraints

- **BulkCashSettlementDetailsRule**

Either one or more occurrences of IndividualExecutionDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

- **OrderOriginatorEligibility3Rule**

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of IndividualExecutionDetails/RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

- **SettlementCurrencyRule**

If BulkCashSettlementDetails is present, then Currency in IndividualExecutionDetails/SettlementAmount must be the same in all occurrences of IndividualExecutionDetails.

- **TotalSettlementCurrencyRule**

If TotalSettlementAmount is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

52.4.5.1 AmendmentIndicator <AmdmntInd>

Presence: [0..1]

Definition: Indicates whether the confirmation is an amendment of a previous confirmation.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

52.4.5.2 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "[Max35Text](#)" on page 2683

52.4.5.3 PlaceOfTrade <PlcOfTrad>

Presence: [0..1]

Definition: Market in which the advised trade transaction was executed.

PlaceOfTrade <PlcOfTrad> contains one of the following elements (see "PlaceOfTradeIdentification1Choice" on page 1784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Country <Ctry>	[1..1]	CodeSet	C11	1784
Or	Exchange <Xchg>	[1..1]	IdentifierSet		1784
Or	Party <Pty>	[1..1]	IdentifierSet	C7	1784
Or}	OverTheCounter <OverTheCntr>	[1..1]	Text		1785

52.4.5.4 OrderDateTime <OrdDtTm>

Presence: [0..1]

Definition: Date and time at which the order was placed by the investor or its agent.

Datatype: "ISODateTime" on page 2669

52.4.5.5 ReceivedDateTime <RcvdDtTm>

Presence: [0..1]

Definition: Date and time the order was received by the executing party, for example, the transfer agent.

Datatype: "ISODateTime" on page 2669

52.4.5.6 RequestedFutureTradeDate <ReqdFutrTradDt>

Presence: [0..1]

Definition: Future date at which the investor requests the order to be executed.

The specification of a requested future trade date is not allowed in some markets. The date must be a date in the future.

Datatype: "ISODate" on page 2668

52.4.5.7 CancellationRight <CxIRght>

Presence: [0..1]

Definition: Cancellation right of the investor with respect to the investment fund order.

CancellationRight <CxIRght> contains one of the following elements (see "CancellationRight1Choice" on page 1814 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1814
Or}	Proprietary <Prtry>	[1..1]	±		1815

52.4.5.8 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [1..1]

Definition: Account impacted by the investment fund order execution.

Impacted by: C20 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1635
	AccountName <AcctNm>	[0..1]	Text		1635
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1635
	OwnerIdentification <OwnrId>	[0..*]	±		1635
	AccountServicer <AcctSvcr>	[0..1]	±		1636
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		1636
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1636

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

52.4.5.9 BeneficiaryDetails <BnfcryDtls>

Presence: [0..*]

Definition: Additional information about the investor.

Impacted by: C23 "PersonElementRule"

BeneficiaryDetails <BnfcryDtIs> contains the following elements (see "IndividualPerson32" on page 2190 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2190
	BirthDate <BirthDt>	[0..1]	Date		2190
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		2191
	OtherIdentification <OthrlId>	[0..*]			2191
	Identification <Id>	[1..1]	Text		2191
	IdentificationType <IdTp>	[1..1]			2191
{Or	Code <Cd>	[1..1]	CodeSet		2192
Or}	Proprietary <Prtry>	[1..1]	±		2193
	Issuer </ssr>	[0..1]	Text		2193

Constraints

- **PersonElementRule**

One of the elements (Name, BirthDate, CountryAndResidentialStatus, OtherIdentification) must be present.

52.4.5.10 IndividualExecutionDetails <IndvExctnDtIs>

Presence: [1..*]

Definition: Execution of a subscription order.

Impacted by: C24 "PhysicalDeliveryDetails1Rule", C25 "PhysicalDeliveryDetails2Rule", C26 "PriceDifferenceReasonRule", C29 "RequestedSettlementCurrencyRule"

IndividualExecutionDetails <IndvExctnDtls> contains the following **SubscriptionExecution13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		1024
	ClientReference <ClntRef>	[0..1]	Text		1024
	DealReference <DealRef>	[1..1]	Text		1024
	OrderType <OrdrTp>	[0..10]	±		1024
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	1025
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		1025
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1026
	Rounding <Rndg>	[0..1]	CodeSet		1026
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	1026
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	1026
	TradeDateTime <TradDtTm>	[1..1]	±		1027
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		1027
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		1028
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	1028
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1028
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		1029
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		1029
	BestExecution <BestExctn>	[0..1]	CodeSet		1029
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		1029
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		1029
	ForeignExchangeDetails <FXDtls>	[0..*]	±		1030
	IncomePreference <IncmPref>	[0..1]	CodeSet		1030
	LetterIntentReference <LtrlInttRef>	[0..1]	Text		1031
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		1031
	TransactionOverhead <TxOvrhd>	[0..1]		C18, C34	1031
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C3, C10	1032
	TotalFees <TtlFees>	[0..1]	Amount	C3, C10	1032
	TotalTaxes <TtlTxs>	[0..1]	Amount	C3, C10	1033
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1033
	IndividualFee <IndvFee>	[0..*]	±	C6	1033

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndividualTax <IndvTax>	[0..*]	±		1034
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C32	1035
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1037
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1039
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1039
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	1039
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	1039
	Refund <Rfnd>	[0..1]	Amount	C3, C10	1040
	SubscriptionInterest <SbcptIntrst>	[0..1]	Amount	C3, C10	1040
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		1041
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1043
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		1043
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		1043
	StaffClientBreakdown <StffClntBrkdw>	[0..4]	±		1043
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		1044
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		1044
	LateReport <LateRpt>	[0..1]	CodeSet		1044
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	1044
	Equalisation <Equlstn>	[0..1]	±		1045
	SourceOfCash <SrcOfCsh>	[0..*]	±		1045
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1045
	TransactionChannelType <TxChanlTp>	[0..1]	±		1046
	SignatureType <SgntrTp>	[0..1]	±		1046
	OrderWaiverDetails <OrdWvrDtls>	[0..1]	±	C35	1046

Constraints

- **PhysicalDeliveryDetails1Rule**

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True

/PhysicalDeliveryDetails Must be absent

- **PhysicalDeliveryDetails2Rule**

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

```

On Condition
  /PhysicalDeliveryIndicator is equal to value 'true'
Or
  /PhysicalDeliveryIndicator is equal to value '1'
Following Must be True
  /PhysicalDeliveryDetails Must be present

```

- **PriceDifferenceReasonRule**

Any occurrence of InformativePriceDetails/PriceDifferenceReason may not be present.

- **RequestedSettlementCurrencyRule**

If RequestedSettlementCurrency is present, it must be the same Currency as in SettlementAmount, if present.

```

On Condition
  /RequestedSettlementCurrency is present
And
  /SettlementAmount is present
Following Must be True
  /RequestedSettlementCurrency Must be equal to /SettlementAmount/
attribute::Currency

```

52.4.5.10.1 OrderReference <OrdRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

52.4.5.10.2 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2683

52.4.5.10.3 DealReference <DealRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order execution, as assigned by the confirming party.

Datatype: "Max35Text" on page 2683

52.4.5.10.4 OrderType <OrdTp>

Presence: [0..10]

Definition: Category of the investment fund order.

OrderType <OrdTp> contains one of the following elements (see "[FundOrderType4Choice](#)" on page 1782 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1783
Or}	Proprietary <Prtry>	[1..1]	±		1783

52.4.5.10.5 FinancialInstrumentDetails <FinInstrmDtls>*Presence:* [1..1]*Definition:* Investment fund class to which the investment fund order execution is related.*Impacted by:* C17 "IdentificationGuideline"**FinancialInstrumentDetails <FinInstrmDtls>** contains the following elements (see "FinancialInstrument57" on page 1436 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1436
	Name <Nm>	[0..1]	Text		1437
	ShortName <ShrtNm>	[0..1]	Text		1437
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1437
	ClassType <ClsTp>	[0..1]	Text		1438
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1438
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1438
	ProductGroup <PdctGrp>	[0..1]	Text		1438
	SeriesIdentification <SrsId>	[0..1]		C30	1438
	SeriesDate <SrsDt>	[0..1]	±		1439
	SeriesName <SrsNm>	[0..1]	Text		1439

Constraints

- IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

52.4.5.10.6 SubAccountForHolding <SubAcctForHldg>*Presence:* [0..1]*Definition:* Subdivision of the account used to segregate specific holdings.**SubAccountForHolding <SubAcctForHldg>** contains the following elements (see "SubAccount6" on page 1630 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1630
	Name <Nm>	[0..1]	Text		1630
	Characteristic <Chrtc>	[0..1]	Text		1630
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1630

52.4.5.10.7 UnitsNumber <UnitsNb>*Presence:* [1..1]*Definition:* Number of investment fund units subscribed.*Datatype:* "DecimalNumber" on page 2680**52.4.5.10.8 Rounding <Rndg>***Presence:* [0..1]*Definition:* Indicates the rounding direction applied to nearest unit.*Datatype:* "RoundingDirection2Code" on page 2635

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

52.4.5.10.9 NetAmount <NetAmt>*Presence:* [0..1]*Definition:* Amount of money invested in the fund.

Net Amount = Quantity * Price.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

52.4.5.10.10 GrossAmount <GrssAmt>*Presence:* [0..1]*Definition:* Amount of money to be paid by the investor when subscribing to fund units.

Gross amount = (Quantity * Price) + (Fees + Taxes).

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

52.4.5.10.11 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and time at which a price is applied, according to the terms stated in the prospectus.

TradeDateTime <TradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

52.4.5.10.12 DealingPriceDetails <DealgPricDtls>

Presence: [1..1]

Definition: Price at which the order was executed.

DealingPriceDetails <DealgPricDtls> contains the following elements (see "[UnitPrice22](#)" on page 2230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2231
	Value <Val>	[1..1]	±		2231
	PriceMethod <PricMtd>	[0..1]	CodeSet		2231
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2232
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2232
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2232
{Or	Code <Cd>	[1..1]	CodeSet		2232
Or}	Proprietary <Prtry>	[1..1]	±		2233
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2233

52.4.5.10.13 InformativePriceDetails <InftvPricDtls>*Presence:* [0..2]*Definition:* Other quoted price than the one at which the order was executed.**InformativePriceDetails <InftvPricDtls>** contains the following elements (see ["UnitPrice22"](#) on page 2230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2231
	Value <Val>	[1..1]	±		2231
	PriceMethod <PricMtd>	[0..1]	CodeSet		2231
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2232
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2232
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2232
{Or	Code <Cd>	[1..1]	CodeSet		2232
Or}	Proprietary <Prtry>	[1..1]	±		2233
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2233

52.4.5.10.14 SettlementAmount <SttlmAmt>*Presence:* [1..1]*Definition:* Total amount of money paid /to be paid or received in exchange for the financial instrument in the individual order.*Impacted by:* C3 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

52.4.5.10.15 CashSettlementDate <CshSttlmDt>*Presence:* [0..1]*Definition:* Date on which cash is available.*Datatype:* "ISODate" on page 2668

52.4.5.10.16 SettlementMethod <SttlmMtd>

Presence: [0..1]

Definition: Method by which the transaction is settled.

Datatype: "DeliveryReceiptType2Code" on page 2571

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

52.4.5.10.17 PartiallyExecutedIndicator <PrtlyExctdInd>

Presence: [1..1]

Definition: Indicates whether the order has been partially executed, that is, the confirmed quantity does not match the ordered quantity for a given financial instrument.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

52.4.5.10.18 BestExecution <BestExctn>

Presence: [0..1]

Definition: Specifies that the execution was subject to best execution rules as defined by MiFID.

Datatype: "BestExecution1Code" on page 2555

CodeName	Name	Definition
BTEX	Best	Best execution rules were followed.

52.4.5.10.19 CumDividendIndicator <CumDvddInd>

Presence: [1..1]

Definition: Indicates whether the dividend is included, that is, cum-dividend, in the executed price. When the dividend is not included, the price will be ex-dividend.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

52.4.5.10.20 InterimProfitAmount <IntrmPrftAmt>

Presence: [0..1]

Definition: Part of the price deemed as accrued income or profit rather than capital. The interim profit amount is used for tax purposes.

InterimProfitAmount <IntrmPrftAmt> contains one of the following elements (see "ProfitAndLoss2Choice" on page 2229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Profit <Prft>	[1..1]	Amount	C2, C10	2229
Or}	Loss <Loss>	[1..1]	Amount	C2, C10	2230

52.4.5.10.21 ForeignExchangeDetails <FXDtIs>

Presence: [0..*]

Definition: Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "ForeignExchangeTerms33" on page 1483 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ToAmount <ToAmt>	[0..1]	Amount	C2, C10	1483
	FromAmount <FrAmt>	[0..1]	Amount	C2, C10	1484
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1484
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1485
	ExchangeRate <XchgRate>	[1..1]	Rate		1485
	QuotationDate <QtnDt>	[0..1]	DateTime		1485
	QuotingInstitution <QtgInstn>	[0..1]	±		1485

52.4.5.10.22 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference1Code" on page 2586

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

52.4.5.10.23 LetterIntentReference <LtrInttRef>

Presence: [0..1]

Definition: Reference of a letter of intent program, in which sales commissions are reduced based on the aggregate of a customer's actual purchase and anticipated purchases, over a specific period of time, and as agreed by the customer. A letter of intent program is mainly used in the US market.

Datatype: "Max35Text" on page 2683

52.4.5.10.24 AccumulationRightReference <AcmltnRghtRef>

Presence: [0..1]

Definition: Reference of an accumulation right program, in which sales commissions are based on a customer's present purchases of shares and the aggregate quantity previously purchased by the customer. An accumulation rights program is mainly used in the US market.

Datatype: "Max35Text" on page 2683

52.4.5.10.25 TransactionOverhead <TxOvrhd>

Presence: [0..1]

Definition: Fees (charges/commission) and taxes that are taken into consideration for the transaction, so that the total difference between the net amount and gross amount is known, without taking into account equalisation.

Impacted by: C18 "NonStandardsSLAReferenceRule", C34 "TransactionOverheadElementRule"

TransactionOverhead <TxOvrhd> contains the following **TotalFeesAndTaxes40** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C3, C10	1032
	TotalFees <TtlFees>	[0..1]	Amount	C3, C10	1032
	TotalTaxes <TtlTxs>	[0..1]	Amount	C3, C10	1033
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1033
	IndividualFee <IndvFee>	[0..*]	±	C6	1033
	IndividualTax <IndvTax>	[0..*]	±		1034

Constraints

- **NonStandardsSLAReferenceRule**

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

- **TransactionOverheadElementRule**

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

52.4.5.10.25.1 TotalOverheadApplied <TtlOvrhdApId>

Presence: [0..1]

Definition: Total amount of overhead applied to the transaction that impacts the settlement amount.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

52.4.5.10.25.2 TotalFees <TtlFees>

Presence: [0..1]

Definition: Total amount of fees (charge/commissions) applied to the transaction that impacts the settlement amount.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

52.4.5.10.25.3 TotalTaxes <TtlTaxes>

Presence: [0..1]

Definition: Total amount of taxes applied to the transaction that impacts the settlement amount.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

52.4.5.10.25.4 CommercialAgreementReference <ComrcIAgrmtRef>

Presence: [0..1]

Definition: Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.

Datatype: "Max35Text" on page 2683

52.4.5.10.25.5 IndividualFee <IndvFee>

Presence: [0..*]

Definition: Individual fee (charge/commission).

Impacted by: C6 "AppliedAmountRule"

IndividualFee <IndvFee> contains the following elements (see "Fee2" on page 1344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1345
{Or	Code <Cd>	[1..1]	CodeSet		1346
Or}	Proprietary <Prtry>	[1..1]	±		1347
	Basis <Bsis>	[0..1]			1347
{Or	Code <Cd>	[1..1]	CodeSet		1347
Or}	Proprietary <Prtry>	[1..1]	±		1348
	StandardAmount <StdAmt>	[0..1]	Amount	C2, C10	1348
	StandardRate <StdRate>	[0..1]	Rate		1348
	DiscountDetails <DscntDtls>	[0..1]		C14	1348
	Amount <Amt>	[0..1]	Amount	C2, C10	1349
	Rate <Rate>	[0..1]	Rate		1350
	Basis <Bsis>	[0..1]			1350
{Or	Code <Cd>	[1..1]	CodeSet		1350
Or}	Proprietary <Prtry>	[1..1]	±		1350
	AppliedAmount <ApIdAmt>	[0..1]	Amount	C2, C10	1351
	AppliedRate <ApIdRate>	[0..1]	Rate		1351
	NonStandardSLAReference <NonStdSLARef>	[0..1]	Text		1351
	RecipientIdentification <RcptId>	[0..1]	±		1351
	InformativeIndicator <InfvInd>	[1..1]	Indicator		1351

Constraints

- **AppliedAmountRule**

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

On Condition

/InformativeIndicator is equal to value 'false'

Or /InformativeIndicator is equal to value '0'

Following Must be True

/AppliedAmount Must be present

52.4.5.10.25.6 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Individual tax.

IndividualTax <IndvTax> contains the following elements (see "Tax31" on page 2450 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2451
{Or	Code <Cd>	[1..1]	CodeSet		2451
Or}	Proprietary <Prtry>	[1..1]	±		2453
	AppliedAmount <ApldAmt>	[1..1]	Amount	C2, C10	2453
	AppliedRate <ApldRate>	[0..1]	Rate		2453
	Country <Ctry>	[0..1]	CodeSet	C11	2453
	RecipientIdentification <RcptId>	[0..1]	±		2454
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2454
	Basis <Bsis>	[0..1]			2454
{Or	Code <Cd>	[1..1]	CodeSet		2454
Or}	Proprietary <Prtry>	[1..1]	±		2455
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2455

52.4.5.10.26 InformativeTaxDetails <InftvTaxDtls>

Presence: [0..1]

Definition: Information about tax that does not have an impact on the transaction overhead.

Impacted by: C32 "TaxElementRule"

InformativeTaxDetails <InftvTaxDtls> contains the following elements (see "InformativeTax1" on page 2438 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C2, C10	2439
	EUCapitalGain <EUCptlGn>	[0..1]			2439
{Or	Code <Cd>	[1..1]	CodeSet		2439
Or}	Proprietary <Prtry>	[1..1]	±		2440
	EUDividendStatus <EUDvddSts>	[0..1]			2440
{Or	Code <Cd>	[1..1]	CodeSet		2440
Or}	Proprietary <Prtry>	[1..1]	±		2440
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2441
	IndividualTax <IndvTax>	[0..*]			2441
	Type <Tp>	[1..1]			2442
{Or	Code <Cd>	[1..1]	CodeSet		2442
Or}	Proprietary <Prtry>	[1..1]	±		2444
	InformativeAmount <InftvAmt>	[0..1]	Amount	C2, C10	2444
	InformativeRate <InftvRate>	[0..1]	Rate		2444
	Country <Ctry>	[0..1]	CodeSet	C11	2445
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2445
	ExemptionReason <XmptnRsn>	[0..1]			2445
{Or	Code <Cd>	[1..1]	CodeSet		2445
Or}	Proprietary <Prtry>	[1..1]	±		2448
	RecipientIdentification <Rcptld>	[0..1]	±		2448
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2449
	Basis <Bsis>	[0..1]			2449
{Or	Code <Cd>	[1..1]	CodeSet		2449
Or}	Proprietary <Prtry>	[1..1]	±		2449
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2450

Constraints

- **TaxElementRule**

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus, PercentageOfDebtClaim, IndividualTax) must be present.

52.4.5.10.27 SettlementAndCustodyDetails <SttlmAndCtdyDtls>

Presence: [0..1]

Definition: Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters12" on page 2285 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2287
	SettlementPlace <SttlmPlc>	[1..1]	±		2287
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2287
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2288
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2288
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2288
	ReceivingSideDetails <RcvgSdDtls>	[0..1]		C27, C28	2288
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2289
	PartyIdentification <PtyId>	[1..1]	±		2290
	AccountIdentification <AcctId>	[0..1]	Text		2290
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2290
	PartyIdentification <PtyId>	[1..1]	±		2290
	AccountIdentification <AcctId>	[0..1]	Text		2291
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2291
	PartyIdentification <PtyId>	[1..1]	±		2291
	AccountIdentification <AcctId>	[0..1]	Text		2291
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2291
	PartyIdentification <PtyId>	[1..1]	±		2292
	AccountIdentification <AcctId>	[0..1]	Text		2292
	DeliveringSideDetails <DlvrgSdDtls>	[1..1]		C12, C13	2292
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2293
	PartyIdentification <PtyId>	[1..1]	±		2294
	AccountIdentification <AcctId>	[0..1]	Text		2294
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2294
	PartyIdentification <PtyId>	[1..1]	±		2294
	AccountIdentification <AcctId>	[0..1]	Text		2295
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2295
	PartyIdentification <PtyId>	[1..1]	±		2295
	AccountIdentification <AcctId>	[0..1]	Text		2295

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2295
	PartyIdentification <PtyId>	[1..1]	±		2296
	AccountIdentification <AcctId>	[0..1]	Text		2296

52.4.5.10.28 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

52.4.5.10.29 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["DeliveryParameters3"](#) on page 2201 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Address <Adr>	[1..1]	±		2201
	IssuedCertificateNumber <IssdCertNb>	[0..1]	Text		2201

52.4.5.10.30 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: [C3 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyCode"](#) on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

52.4.5.10.31 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: [C4 "ActiveOrHistoricCurrency"](#)

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

52.4.5.10.32 Refund <Rfnd>

Presence: [0..1]

Definition: Return of cash that has been overpaid for a subscription.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

52.4.5.10.33 SubscriptionInterest <SbcptIntrst>

Presence: [0..1]

Definition: Interest received when a subscription amount is paid in advance and then invested by the fund.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

52.4.5.10.34 CashSettlementDetails <CshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

CashSettlementDetails <CshSttlmDtls> contains the following elements (see "PaymentTransaction70" on page 2143 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2145
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2147
	Type <Tp>	[1..1]	CodeSet		2147
	Number <Nb>	[1..1]	Text		2148
	HolderName <HldrNm>	[1..1]	Text		2148
	StartDate <StartDt>	[0..1]	YearMonth		2148
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2148
	CardIssuerName <CardIssrNm>	[0..1]	Text		2148
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2148
	SecurityCode <SctyCd>	[0..1]	Text		2149
	SequenceNumber <SeqNb>	[0..1]	Text		2149
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2149
	Reference <Ref>	[0..1]	Text		2149
	Debtor <Dbtr>	[0..1]	±		2149
	DebtorAccount <DbtrAcct>	[0..1]	±		2150
	DebtorAgent <DbtrAgt>	[0..1]	±		2150
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2150
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2150
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2151
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2151
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2151
	CreditorAgent <CdtrAgt>	[1..1]	±		2152
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2152
	Creditor <Cdtr>	[0..1]	±		2152
	CreditorAccount <CdtrAcct>	[1..1]	±		2152
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2153
Or	ChequeDetails <ChqDtls>	[1..1]			2153
	Number <Nb>	[0..1]	Text		2153
	PayeeIdentification <PyeeId>	[1..1]	±		2154
	DraweeIdentification <DrweeId>	[0..1]	±		2154

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DrawerIdentification <Drwrlid>	[0..1]	±		2154
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2154
	Number <Nb>	[0..1]	Text		2155
	PayeeIdentification <PyeeId>	[1..1]	±		2155
	DraweeIdentification <DrweeId>	[0..1]	±		2155
	DrawerIdentification <Drwrlid>	[0..1]	±		2155
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2156
	AccountIdentification <AcctId>	[0..1]	Text		2156
	Type <Tp>	[0..1]	±		2156

52.4.5.10.35 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: "Max350Text" on page 2683

52.4.5.10.36 PartialSettlementOfUnits <PrtlSttlmOfUnits>

Presence: [0..1]

Definition: Percentage of units partially settled.

Datatype: "PercentageRate" on page 2681

52.4.5.10.37 PartialSettlementOfCash <PrtlSttlmOfCsh>

Presence: [0..1]

Definition: Percentage of cash partially settled.

Datatype: "PercentageRate" on page 2681

52.4.5.10.38 StaffClientBreakdown <StffClntBrkdwn>

Presence: [0..4]

Definition: Breakdown of the net amount per type of order.

StaffClientBreakdown <StffClntBrkdwn> contains the following elements (see "InvestmentFundsOrderBreakdown2" on page 1781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderBreakdownType <OrdrBrkdwnTp>	[1..1]			1781
{Or	Code <Cd>	[1..1]	CodeSet		1781
Or}	Proprietary <Prtry>	[1..1]	±		1782
	Amount <Amt>	[1..1]	Amount	C2, C10	1782

52.4.5.10.39 FinancialAdvice <FinAdv<*Presence:* [0..1]*Definition:* Specifies if advice has been received from an independent financial advisor.*Datatype:* "FinancialAdvice1Code" on page 2580

CodeName	Name	Definition
RECE	Received	Advice has been received from an independent financial advisor.
NREC	NotReceived	Advice has not been received from an independent financial advisor.
UKWN	Unknown	It is not known whether or not advice has been received from an independent financial advisor.

52.4.5.10.40 NegotiatedTrade <NgtdTrad<*Presence:* [0..1]*Definition:* Specifies whether the trade is negotiated.*Datatype:* "NegotiatedTrade1Code" on page 2609

CodeName	Name	Definition
NEGO	Negotiated	Trade is negotiated.
NNGO	NotNegotiated	Trade is not negotiated.
UNKW	Unknown	Whether the trade is negotiated or not is unknown.

52.4.5.10.41 LateReport <LateRpt<*Presence:* [0..1]*Definition:* Specifies whether the order execution confirmation is late.*Datatype:* "LateReport1Code" on page 2606

CodeName	Name	Definition
LAT1	Late	The confirmation is late.
LAT2	LateBecausePartial	The confirmation is late because the trade was executed as a number of partials.

52.4.5.10.42 RelatedPartyDetails <RltdPtyDtls<*Presence:* [0..10]*Definition:* Party related to the transaction.*Impacted by:* C19 "OrderOriginatorEligibility1Rule"

RelatedPartyDetails <RltdPtyDtls> contains the following elements (see "Intermediary39" on page 2024 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2025
	Account <Acct>	[0..1]			2025
	Identification <Id>	[1..1]	Text		2025
	AccountServicer <AcctSvcr>	[0..1]	±		2025
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		2026
	TradingPartyCapacity <TradgPtyCpcty>	[0..1]	CodeSet		2026
	Role <Role>	[0..1]	±		2026

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

52.4.5.10.43 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's subscription amount that is held by the fund in order to pay incentive/performance fees at the end of the fiscal year.

Equalisation <Equlstn> contains the following elements (see "Equalisation1" on page 1770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1770
	Rate <Rate>	[0..1]	Rate		1770

52.4.5.10.44 SourceOfCash <SrcOfCsh>

Presence: [0..*]

Definition: Source of cash used for the settlement of the subscription.

SourceOfCash <SrcOfCsh> contains one of the following elements (see "SourceOfCash1Choice" on page 2116 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2116
Or}	Proprietary <Prtry>	[1..1]	±		2117

52.4.5.10.45 CustomerConductClassification <CstmrCndctClssfctn>

Presence: [0..1]

Definition: Assessment of the customer's behaviour at the time of the account opening application.

CustomerConductClassification <CstmrCndctClsfctn> contains one of the following elements (see "CustomerConductClassification1Choice" on page 1630 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1631
Or}	Proprietary <Prtry>	[1..1]	±		1631

52.4.5.10.46 TransactionChannelType <TxChanlTp>

Presence: [0..1]

Definition: Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanlTp> contains one of the following elements (see "TransactionChannelType1Choice" on page 1864 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1864
Or}	Proprietary <Prtry>	[1..1]	±		1865

52.4.5.10.47 SignatureType <SgntrTp>

Presence: [0..1]

Definition: Type of signature.

SignatureType <SgntrTp> contains one of the following elements (see "SignatureType1Choice" on page 1813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1813
Or}	Proprietary <Prtry>	[1..1]	±		1814

52.4.5.10.48 OrderWaiverDetails <OrdrWvrDtls>

Presence: [0..1]

Definition: Information about a non-standard order.

Impacted by: C35 "WaiverElementRule"

OrderWaiverDetails <OrdrWvrDtls> contains the following elements (see "OrderWaiver1" on page 1769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderWaiverReason <OrdrWvrRsn>	[0..*]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1769
	InformationValue <InfVal>	[0..1]	Text		1770

Constraints

- **WaiverElementRule**

Either OrderWaiverReason or InformationValue must be present. Both may be present.

52.4.5.11 TotalSettlementAmount <TtlSttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the multiple order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

52.4.5.12 BulkCashSettlementDetails <BlkCshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

BulkCashSettlementDetails <BlkCshSttlmDtls> contains the following elements (see "PaymentTransaction70" on page 2143 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2145
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2147
	Type <Tp>	[1..1]	CodeSet		2147
	Number <Nb>	[1..1]	Text		2148
	HolderName <HldrNm>	[1..1]	Text		2148
	StartDate <StartDt>	[0..1]	YearMonth		2148
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2148
	CardIssuerName <CardIssrNm>	[0..1]	Text		2148
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2148
	SecurityCode <SctyCd>	[0..1]	Text		2149
	SequenceNumber <SeqNb>	[0..1]	Text		2149
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2149
	Reference <Ref>	[0..1]	Text		2149
	Debtor <Dbtr>	[0..1]	±		2149
	DebtorAccount <DbtrAcct>	[0..1]	±		2150
	DebtorAgent <DbtrAgt>	[0..1]	±		2150
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2150
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2150
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2151
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2151
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2151
	CreditorAgent <CdtrAgt>	[1..1]	±		2152
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2152
	Creditor <Cdtr>	[0..1]	±		2152
	CreditorAccount <CdtrAcct>	[1..1]	±		2152
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2153
Or	ChequeDetails <ChqDtls>	[1..1]			2153
	Number <Nb>	[0..1]	Text		2153
	PayeeIdentification <PyeeId>	[1..1]	±		2154
	DraweeIdentification <DrweeId>	[0..1]	±		2154

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DrawerIdentification <DrwrlId>	[0..1]	±		2154
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2154
	Number <Nb>	[0..1]	Text		2155
	PayeeIdentification <PyeeId>	[1..1]	±		2155
	DraweeIdentification <DrweeId>	[0..1]	±		2155
	DrawerIdentification <DrwrlId>	[0..1]	±		2155
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2156
	AccountIdentification <AcctId>	[0..1]	Text		2156
	Type <Tp>	[0..1]	±		2156

52.4.6 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation4](#)" on page 1819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1819
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1819

52.4.7 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C15 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

53 **setr.013.001.04**

SwitchOrderV04

53.1 **MessageDefinition Functionality**

Scope

The SwitchOrder message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct a switch transaction from a financial instrument or multiple financial instruments to a different specified financial instrument or instruments for a specified amount/quantity.

Usage

The SwitchOrder message is used to either:

- instruct one switch transaction comprising one or more redemption legs and one or more subscription legs, that is, a single switch transaction that can be a one to one, many to one, many to many or one to many switch transaction, or,
- instruct one or many switch transactions each comprising one redemption leg and one subscription leg, that is, multiple simple switch transactions.

The SwitchOrder message may be used to either:

- instruct a switch transaction for one investment account, or,
- instruct a switch transaction for separate accounts at the redemption and subscription leg levels.

The message caters for a switch from one financial instrument to another financial instrument (within the same fund family), many to one, many to many and one to many.

The message caters for switch transactions that result in an additional cash payment from the investor or a transaction that results in a net payment to the investor. Not all institutions or funds permit this type of switch and acceptance is therefore not automatic.

There is no limitation on the number of switch legs in a switch message. The number allowed is defined by the fund prospectus or by the service level agreement (SLA) in place between the two parties. However, if the SwitchOrderDetails sequence is present more than once, then the RedemptionLegDetails and SubscriptionLegDetails sequences may only be present once.

If SwitchOrderDetails\InvestmentAccount is used, then the InvestmentAccountDetails sequences in SubscriptionLegDetails and RedemptionLegDetails are not allowed. This functionality is to be used by institutions that set up two accounts per investor, rather than one investment account.

There is no switch driver type in the message to indicate whether the switch is buy or sell driven. A driver is not needed since it is possible to indicate the total subscription amount or the total redemption amount. Only one of these two amounts should be used.

The subscription quantity can be expressed in one of the following ways:

- Amount: the monetary value (either GROSS or NET) of the financial instrument to be subscribed to, for example, the subscription of EUR 1,000 of financial instrument ISIN LU1234567890 or

- Unit: the number of units of the financial instrument to be subscribed to, for example, the subscription of 10 units of financial instrument ISIN LU1234567890 or
- Percentage of the total redemption amount: when the switch transaction is redemption driven, it is the part of the redemption amount that must be switched to a specific financial instrument, for example, the subscription quantity of financial instrument ISIN LU1234567890 represents 50% of the redemption amount of the financial instrument ISIN LU4444444444.

The redemption quantity can be expressed in one of the following ways:

- Amount: the monetary value (either GROSS or NET) of the financial instrument to be redeemed, for example, the redemption of EUR 1,000 of financial instrument ISIN LU1234567890, or
- Unit: the number of units of financial instrument to be redeemed, for example, the redemption of 10 units of financial instrument ISIN LU1234567890, or
- Rate: the part of the portfolio to be redeemed, for example, the redemption of 10% of the holdings in financial instrument ISIN LU1234567890, or
- Percentage of the total subscription amount: when the switch transaction is subscription driven, it is the part of the subscription amount that must be the result of the redemption of a specific financial instrument, for example, the redemption quantity in financial instrument ABC represents 50% of the subscription amount of the financial instrument ISIN LU4444444444.

Outline

The SwitchOrderV04 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. MessagePagination

Pagination of the message.

E. SwitchOrderDetails

Information related to the switch order.

F. CopyDetails

Information provided when the message is a copy of a previous message.

G. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

53.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SwchOrd>	[1..1]		C19	
	MessageIdentification <MsgId>	[1..1]	±		1057
	PoolReference <PoolRef>	[0..1]	±		1057
	PreviousReference <PrvsRef>	[0..*]	±		1058
	MessagePagination <MsgPgntn>	[0..1]	±		1058
	SwitchOrderDetails <SwchOrdRtDtls>	[1..*]		C15, C18, C22	1058
	MasterReference <MstrRef>	[0..1]	Text		1061
	OrderDateTime <OrdRdtTm>	[0..1]	DateTime		1062
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		1062
	OrderReference <OrdRRef>	[1..1]	Text		1062
	ClientReference <ClntRef>	[0..1]	Text		1062
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C21	1062
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C20	1063
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		1063
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C7	1064
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1064
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		1064
	AdditionalAmount <AddtlAmt>	[0..1]	±		1065
	ExpiryDateTime <XpryDtTm>	[0..1]	±		1065
	CancellationRight <CxlRght>	[0..1]	±		1065
	RedemptionLegDetails <RedLegDtls>	[1..*]		C23, C25	1065
	LegIdentification <LegId>	[0..1]	Text		1067
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	1067
	FinancialInstrumentQuantityChoice <FinInstrmQtyChc>	[0..1]			1068
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		1068
Or	PercentageOfTotalSubscriptionAmount <PctgOfTtlSbcptAmt>	[1..1]	Rate		1068
Or	NetAmount <NetAmt>	[1..1]	Amount	C3, C8	1068
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C3, C8	1069

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	HoldingsRedemptionRate <HldgsRedRate>	[1..1]	Rate		1069
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C21	1069
	IncomePreference <IncmPref>	[0..1]	CodeSet		1070
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1070
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1070
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1071
	TransactionOverhead <TxOvrhd>	[0..1]		C14	1071
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1072
	IndividualFee <IndvFee>	[0..*]	±	C13, C30, C31	1072
	IndividualTax <IndvTax>	[0..*]	±		1073
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1073
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1075
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1075
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1075
	Equalisation <Equlstn>	[0..1]	±		1075
	SubscriptionLegDetails <SbcptLegDtls>	[1..*]		C24, C26	1075
	LegIdentification <LegId>	[0..1]	Text		1077
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	1077
	FinancialInstrumentQuantityChoice <FinInstrmQtyChc>	[0..1]			1078
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		1078
Or	PercentageOfTotalRedemptionAmount <PctgOfTtlRedAmt>	[1..1]	Rate		1078
Or	NetAmount <NetAmt>	[1..1]	Amount	C3, C8	1078
Or}	GrossAmount <GrssAmt>	[1..1]	Amount	C3, C8	1079
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C21	1079
	IncomePreference <IncmPref>	[0..1]	CodeSet		1080
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1080
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1080
	TransactionOverhead <TxOvrhd>	[0..1]		C14	1081
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1081

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	IndividualFee <IndvFee>	[0..*]	±	C13, C30, C31	1081
	IndividualTax <IndvTax>	[0..*]	±		1082
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1083
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1085
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1085
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1085
	Equalisation <Equlstn>	[0..1]	±		1085
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		1085
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1089
	FinancialAdvice <FinAdvnc>	[0..1]	CodeSet		1090
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		1090
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1090
	TransactionChannelType <TxChanlTp>	[0..1]	±		1090
	SignatureType <SgntrTp>	[0..1]	±		1091
	OrderWaiverDetails <OrdrWvrDtls>	[0..1]	±	C32	1091
	CopyDetails <CpyDtls>	[0..1]	±		1091
	Extension <Xtnsn>	[0..*]	±	C12	1092

53.3 Constraints

C1 AccountElementRule

Either AccountIdentification or Type must be present. Both may be present.

C2 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C3 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C5 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C6 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C7 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C8 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C9 DeliverersCustodianRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present. If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

C10 DeliverersIntermediary1DetailsRule

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

C11 DiscountElementRule

Amount Or Rate Or Basis must be present.

C12 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C13 FeeElementRule

One of the elements (Basis, StandardAmount, StandardRate, DiscountDetails, RequestedAmount, RequestedRate, NonStandardSLAResource, RecipientIdentification) must be present.

C14 FeeTaxElementRule

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C15 FinancialInstrumentQuantity1Rule

If SubscriptionLegDetails/FinancialInstrumentQuantityChoice is not present, then RedemptionLegDetails/FinancialInstrumentQuantityChoice is mandatory in each occurrence of RedemptionLegDetails.

If SubscriptionLegDetails/FinancialInstrumentQuantityChoice is present, then it must be present in each occurrence of SubscriptionLegDetails.

C16 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C17 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C18 InvestmentAccountDetailsRule

Either InvestmentAccountDetails or all occurrences of SubscriptionLegDetails/InvestmentAccountDetails and RedemptionLegDetails/InvestmentAccountDetails must be present, but not both.

Either InvestmentAccountDetails or all occurrences of SubscriptionLegDetails/InvestmentAccountDetails and RedemptionLegDetails/InvestmentAccountDetails must be present, but not both.

C19 MultipleSwitchOrderRule

If SwitchOrderDetails is present more than once, then SwitchOrderDetails/RedemptionLegDetails and SwitchOrderDetails/SubscriptionLegDetails must only be present once.

This constraint is defined at the MessageDefinition level.

C20 OrderOriginatorEligibility1Rule

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

C21 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C22 OrderOriginatorEligibility3Rule

Either InvestmentAccountDetails/OrderOriginatorEligibility or a single occurrence of RedemptionLegDetails/InvestmentAccountDetails/OrderOriginatorEligibility or a single occurrence of SubscriptionLegDetails/InvestmentAccountDetails/OrderOriginatorEligibility should be only present.

C23 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C24 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C25 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C26 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C27 ReceiversCustodianRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present.
If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

C28 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

C29 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

C30 StandardFeeAmountCalculation

If StandardAmount, RequestedAmount and DiscountDetails/Amount are all present, then StandardAmount minus DiscountDetails/Amount must equal RequestedAmount.

C31 StandardFeeRateCalculation

If StandardRate, RequestedRate and DiscountDetails/Rate are all present, then StandardRate minus DiscountDetails/Rate must equal RequestedRate.

C32 WaiverElementRule

Either OrderWaiverReason or InformationValue must be present. Both may be present.

53.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

53.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

53.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see ["AdditionalReference9"](#) on page 1498 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1498
	ReferenceIssuer <RefIssr>	[0..1]	±		1498
	MessageName <MsgNm>	[0..1]	Text		1498

53.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see ["AdditionalReference8"](#) on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

53.4.4 MessagePagination <MsgPgntn>

Presence: [0..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see ["Pagination"](#) on page 1878 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		1879
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		1879

53.4.5 SwitchOrderDetails <SwchOrdDtls>

Presence: [1..*]

Definition: Information related to the switch order.

Impacted by: [C15 "FinancialInstrumentQuantity1Rule"](#), [C18 "InvestmentAccountDetailsRule"](#), [C22 "OrderOriginatorEligibility3Rule"](#)

SwitchOrderDetails <SwchOrdDtls> contains the following **SwitchOrder7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		1061
	OrderDateTime <OrdDtTm>	[0..1]	DateTime		1062
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		1062
	OrderReference <OrdRef>	[1..1]	Text		1062
	ClientReference <ClntRef>	[0..1]	Text		1062
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C21	1062
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C20	1063
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		1063
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C7	1064
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1064
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		1064
	AdditionalAmount <AddtlAmt>	[0..1]	±		1065
	ExpiryDateTime <XpryDtTm>	[0..1]	±		1065
	CancellationRight <CxlRght>	[0..1]	±		1065
	RedemptionLegDetails <RedLegDtls>	[1..*]		C23, C25	1065
	LegIdentification <LegId>	[0..1]	Text		1067
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	1067
	FinancialInstrumentQuantityChoice <FinInstrmQtyChc>	[0..1]			1068
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		1068
Or	PercentageOfTotalSubscriptionAmount <PctgOfTtlSbcptAmt>	[1..1]	Rate		1068
Or	NetAmount <NetAmt>	[1..1]	Amount	C3, C8	1068
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C3, C8	1069
Or}	HoldingsRedemptionRate <HldgsRedRate>	[1..1]	Rate		1069
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C21	1069
	IncomePreference <IncmPref>	[0..1]	CodeSet		1070
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1070
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1070
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1071
	TransactionOverhead <TxOvrhd>	[0..1]		C14	1071

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommercialAgreementReference <ComrcIAgrmtRef>	[0..1]	Text		1072
	IndividualFee <IndvFee>	[0..*]	±	C13, C30, C31	1072
	IndividualTax <IndvTax>	[0..*]	±		1073
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1073
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1075
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1075
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1075
	Equalisation <Equlstn>	[0..1]	±		1075
	SubscriptionLegDetails <SbcptLegDtls>	[1..*]		C24, C26	1075
	LegIdentification <LegId>	[0..1]	Text		1077
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	1077
	FinancialInstrumentQuantityChoice <FinInstrmQtyChc>	[0..1]			1078
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		1078
Or	PercentageOfTotalRedemptionAmount <PctgOfTtlRedAmt>	[1..1]	Rate		1078
Or	NetAmount <NetAmt>	[1..1]	Amount	C3, C8	1078
Or}	GrossAmount <GrssAmt>	[1..1]	Amount	C3, C8	1079
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C21	1079
	IncomePreference <IncmPref>	[0..1]	CodeSet		1080
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1080
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1080
	TransactionOverhead <TxOvrhd>	[0..1]		C14	1081
	CommercialAgreementReference <ComrcIAgrmtRef>	[0..1]	Text		1081
	IndividualFee <IndvFee>	[0..*]	±	C13, C30, C31	1081
	IndividualTax <IndvTax>	[0..*]	±		1082
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1083
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1085
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1085

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1085
	Equalisation <Equlstrn>	[0..1]	±		1085
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		1085
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1089
	FinancialAdvice <FinAdv<	[0..1]	CodeSet		1090
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		1090
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1090
	TransactionChannelType <TxChanlTp>	[0..1]	±		1090
	SignatureType <SgntrTp>	[0..1]	±		1091
	OrderWaiverDetails <OrdWvrDtls>	[0..1]	±	C32	1091

Constraints

- **FinancialInstrumentQuantity1Rule**

If SubscriptionLegDetails/FinancialInstrumentQuantityChoice is not present, then RedemptionLegDetails/FinancialInstrumentQuantityChoice is mandatory in each occurrence of RedemptionLegDetails.

If SubscriptionLegDetails/FinancialInstrumentQuantityChoice is present, then it must be present in each occurrence of SubscriptionLegDetails.

- **InvestmentAccountDetailsRule**

Either InvestmentAccountDetails or all occurrences of SubscriptionLegDetails/InvestmentAccountDetails and RedemptionLegDetails/InvestmentAccountDetails must be present, but not both.

Either InvestmentAccountDetails or all occurrences of SubscriptionLegDetails/InvestmentAccountDetails and RedemptionLegDetails/InvestmentAccountDetails must be present, but not both.

- **OrderOriginatorEligibility3Rule**

Either InvestmentAccountDetails/OrderOriginatorEligibility or a single occurrence of RedemptionLegDetails/InvestmentAccountDetails/OrderOriginatorEligibility or a single occurrence of SubscriptionLegDetails/InvestmentAccountDetails/OrderOriginatorEligibility should be only present.

53.4.5.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "Max35Text" on page 2683

53.4.5.2 OrderDateTime <OrdrDtTm>*Presence:* [0..1]*Definition:* Date and time the order is placed by the investor or its agent.*Datatype:* "ISODateTime" on page 2669**53.4.5.3 PlaceOfTrade <PlcOfTrad>***Presence:* [0..1]*Definition:* Market in which the advised trade transaction was executed.**PlaceOfTrade <PlcOfTrad>** contains one of the following elements (see "PlaceOfTradeIdentification1Choice" on page 1784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Country <Ctry>	[1..1]	CodeSet	C11	1784
Or	Exchange <Xchg>	[1..1]	IdentifierSet		1784
Or	Party <Pty>	[1..1]	IdentifierSet	C7	1784
Or}	OverTheCounter <OverTheCntr>	[1..1]	Text		1785

53.4.5.4 OrderReference <OrdrRef>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier for the order, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2683**53.4.5.5 ClientReference <CIntRef>***Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.*Datatype:* "Max35Text" on page 2683**53.4.5.6 InvestmentAccountDetails <InvstmtAcctDtls>***Presence:* [0..1]*Definition:* Account impacted by the investment fund order.*Impacted by:* C21 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1635
	AccountName <AcctNm>	[0..1]	Text		1635
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1635
	OwnerIdentification <OwnrId>	[0..*]	±		1635
	AccountServicer <AcctSvcr>	[0..1]	±		1636
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		1636
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1636

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

53.4.5.7 RelatedPartyDetails <RltdPtyDtls>

Presence: [0..10]

Definition: Party related to the transaction.

Impacted by: C20 "OrderOriginatorEligibility1Rule"

RelatedPartyDetails <RltdPtyDtls> contains the following elements (see "Intermediary40" on page 2027 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2027
	Account <Acct>	[0..1]			2028
	Identification <Id>	[1..1]	Text		2028
	AccountServicer <AcctSvcr>	[0..1]	±		2028
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		2028
	Role <Role>	[0..1]	±		2029

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

53.4.5.8 RequestedFutureTradeDate <ReqdFutrTradDt>

Presence: [0..1]

Definition: Future date at which the investor requests the order to be executed.

The specification of a requested future trade date is not allowed in some markets. The date must be a date in the future.

Datatype: "ISODate" on page 2668

53.4.5.9 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the individual order.

Impacted by: C2 "ActiveCurrency", C7 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

53.4.5.10 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2668

53.4.5.11 SettlementMethod <SttlmMtd>

Presence: [0..1]

Definition: Method by which the transaction is settled.

Datatype: "DeliveryReceiptType2Code" on page 2571

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

53.4.5.12 AdditionalAmount <AddtlAmt>*Presence:* [0..1]*Definition:* Choice between additional cash in or resulting cash out.**AdditionalAmount <AddtlAmt>** contains one of the following elements (see ["AdditionalAmount1Choice"](#) on page 1771 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AdditionalCashIn <AddtlCshIn>	[1..1]	Amount	C3, C12	1771
Or}	ResultingCashOut <RsltgCshOut>	[1..1]	Amount	C3, C12	1771

53.4.5.13 ExpiryDateTime <XpryDtTm>*Presence:* [0..1]*Definition:* Date on which the order expires.**ExpiryDateTime <XpryDtTm>** contains one of the following elements (see ["DateAndDateTimeChoice"](#) on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

53.4.5.14 CancellationRight <CxIRght>*Presence:* [0..1]*Definition:* Cancellation right of the investor with respect to the investment fund order.**CancellationRight <CxIRght>** contains one of the following elements (see ["CancellationRight1Choice"](#) on page 1814 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1814
Or}	Proprietary <Prtry>	[1..1]	±		1815

53.4.5.15 RedemptionLegDetails <RedLegDtls>*Presence:* [1..*]*Definition:* Part of the investment fund switch order that is a redemption.*Impacted by:* [C23 "PhysicalDeliveryDetails1Rule"](#), [C25 "PhysicalDeliveryDetails2Rule"](#)

RedemptionLegDetails <RedLegDtls> contains the following **SwitchRedemptionLegOrder6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LegIdentification <LegId>	[0..1]	Text		1067
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	1067
	FinancialInstrumentQuantityChoice <FinInstrmQtyChc>	[0..1]			1068
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		1068
Or	PercentageOfTotalSubscriptionAmount <PctgOfTtlSbcptAmt>	[1..1]	Rate		1068
Or	NetAmount <NetAmt>	[1..1]	Amount	C3, C8	1068
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C3, C8	1069
Or}	HoldingsRedemptionRate <HldgsRedRate>	[1..1]	Rate		1069
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C21	1069
	IncomePreference <IncmPref>	[0..1]	CodeSet		1070
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1070
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1070
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1071
	TransactionOverhead <TxOvrhd>	[0..1]		C14	1071
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1072
	IndividualFee <IndvFee>	[0..*]	±	C13, C30, C31	1072
	IndividualTax <IndvTax>	[0..*]	±		1073
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1073
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1075
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1075
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1075
	Equalisation <Equlstn>	[0..1]	±		1075

Constraints

- **PhysicalDeliveryDetails1Rule**

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True
 /PhysicalDeliveryDetails Must be absent

- **PhysicalDeliveryDetails2Rule**

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

On Condition
 /PhysicalDeliveryIndicator is equal to value 'true'
 Or /PhysicalDeliveryIndicator is equal to value '1'
 Following Must be True
 /PhysicalDeliveryDetails Must be present

53.4.5.15.1 LegIdentification <LegId>

Presence: [0..1]

Definition: Unique technical identifier for the instance of the leg within a switch.

Datatype: "Max35Text" on page 2683

53.4.5.15.2 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class related to the redemption leg of the order.

Impacted by: C17 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1436 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1436
	Name <Nm>	[0..1]	Text		1437
	ShortName <ShrtNm>	[0..1]	Text		1437
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1437
	ClassType <ClsTp>	[0..1]	Text		1438
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1438
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1438
	ProductGroup <PdctGrp>	[0..1]	Text		1438
	SeriesIdentification <SrsId>	[0..1]		C30	1438
	SeriesDate <SrsDt>	[0..1]	±		1439
	SeriesName <SrsNm>	[0..1]	Text		1439

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

53.4.5.15.3 FinancialInstrumentQuantityChoice <FinInstrmQtyChc>

Presence: [0..1]

Definition: Amount of money, number of units or percentage for the subscription leg of the switch order.

FinancialInstrumentQuantityChoice <FinInstrmQtyChc> contains one of the following **FinancialInstrumentQuantity29Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		1068
Or	PercentageOfTotalSubscriptionAmount <PctgOfTtlSbcptAmt>	[1..1]	Rate		1068
Or	NetAmount <NetAmt>	[1..1]	Amount	C3, C8	1068
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C3, C8	1069
Or}	HoldingsRedemptionRate <HldgsRedRate>	[1..1]	Rate		1069

53.4.5.15.3.1 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Number of investment fund units redeemed.

Datatype: "DecimalNumber" on page 2680

53.4.5.15.3.2 PercentageOfTotalSubscriptionAmount <PctgOfTtlSbcptAmt>

Presence: [1..1]

Definition: Percentage of the total switch amount (buy-driven) to be invested in a particular investment fund or investment fund class.

Datatype: "PercentageRate" on page 2681

53.4.5.15.3.3 NetAmount <NetAmt>

Presence: [1..1]

Definition: Amount of money to be received following redemption of fund units.

Net Amount = (Quantity * Price) - (Fees + Taxes).

Impacted by: C3 "ActiveOrHistoricCurrency", C8 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

53.4.5.15.3.4 GrossAmount <GrssAmt>

Presence: [1..1]

Definition: Amount of money to be redeemed from the fund.

Gross Amount = Quantity * Price.

Impacted by: C3 "ActiveOrHistoricCurrency", C8 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

53.4.5.15.3.5 HoldingsRedemptionRate <HldgsRedRate>

Presence: [1..1]

Definition: Portion of the investor's holdings to be redeemed.

Datatype: "PercentageRate" on page 2681

53.4.5.15.4 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [0..1]

Definition: Account impacted by the investment fund order.

Impacted by: C21 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1635
	AccountName <AcctNm>	[0..1]	Text		1635
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1635
	OwnerIdentification <OwnrId>	[0..*]	±		1635
	AccountServicer <AcctSvcr>	[0..1]	±		1636
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		1636
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1636

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

53.4.5.15.5 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference1Code" on page 2586

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

53.4.5.15.6 Group1Or2Units <Grp1Or2Units>

Presence: [0..1]

Definition: Tax group to which the purchased investment fund units belong. The investor indicates to the intermediary operating pooled nominees, which type of unit is to be sold.

Datatype: "UKTaxGroupUnit1Code" on page 2668

CodeName	Name	Definition
GRP1	Group1	The units that were purchased prior to the last ex-div date.
GRP2	Group2	The units that were purchased since the ex-div date, and that benefit from the tax exemption.

53.4.5.15.7 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

53.4.5.15.8 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

53.4.5.15.9 TransactionOverhead <TxOvrhd>

Presence: [0..1]

Definition: Fees (charges/commission) and tax to be applied to the gross amount.

Impacted by: C14 "FeeTaxElementRule"

TransactionOverhead <TxOvrhd> contains the following **FeeAndTax1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1072
	IndividualFee <IndvFee>	[0..*]	±	C13, C30, C31	1072
	IndividualTax <IndvTax>	[0..*]	±		1073

Constraints

- **FeeTaxElementRule**

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

53.4.5.15.9.1 CommercialAgreementReference <ComrcAgrmtRef>

Presence: [0..1]

Definition: Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.

Datatype: "Max35Text" on page 2683

53.4.5.15.9.2 IndividualFee <IndvFee>

Presence: [0..*]

Definition: Individual fee (charge/commission).

Impacted by: C13 "FeeElementRule", C30 "StandardFeeAmountCalculation", C31 "StandardFeeRateCalculation"

IndividualFee <IndvFee> contains the following elements (see "Fee1" on page 1352 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1353
{Or	Code <Cd>	[1..1]	CodeSet		1353
Or}	Proprietary <Prtry>	[1..1]	±		1354
	Basis <Bsis>	[0..1]			1354
{Or	Code <Cd>	[1..1]	CodeSet		1354
Or}	Proprietary <Prtry>	[1..1]	±		1355
	StandardAmount <StdAmt>	[0..1]	Amount	C2, C10	1355
	StandardRate <StdRate>	[0..1]	Rate		1355
	DiscountDetails <DscntDtls>	[0..1]		C14	1356
	Amount <Amt>	[0..1]	Amount	C2, C10	1356
	Rate <Rate>	[0..1]	Rate		1357
	Basis <Bsis>	[0..1]			1357
{Or	Code <Cd>	[1..1]	CodeSet		1357
Or}	Proprietary <Prtry>	[1..1]	±		1357
	RequestedAmount <ReqdAmt>	[0..1]	Amount	C2, C10	1358
	RequestedRate <ReqdRate>	[0..1]	Rate		1358
	NonStandardSLAReference <NonStdSLARef>	[0..1]	Text		1358
	RecipientIdentification <RcptId>	[0..1]	±		1358

Constraints

- **FeeElementRule**

One of the elements (Basis, StandardAmount, StandardRate, DiscountDetails, RequestedAmount, RequestedRate, NonStandardSLAReference, RecipientIdentification) must be present.

- **StandardFeeAmountCalculation**

If StandardAmount, RequestedAmount and DiscountDetails/Amount are all present, then StandardAmount minus DiscountDetails/Amount must equal RequestedAmount.

- **StandardFeeRateCalculation**

If StandardRate, RequestedRate and DiscountDetails/Rate are all present, then StandardRate minus DiscountDetails/Rate must equal RequestedRate.

53.4.5.15.9.3 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Individual tax amount.

IndividualTax <IndvTax> contains the following elements (see "Tax30" on page 2455 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2456
{Or	Code <Cd>	[1..1]	CodeSet		2456
Or}	Proprietary <Prtry>	[1..1]	±		2458
	Tax <Tax>	[0..1]			2458
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	2458
Or}	Rate <Rate>	[1..1]	Rate		2459
	Country <Ctry>	[0..1]	CodeSet	C11	2459
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2459
	ExemptionReason <XmptnRsn>	[0..1]			2459
{Or	Code <Cd>	[1..1]	CodeSet		2459
Or}	Proprietary <Prtry>	[1..1]	±		2462
	RecipientIdentification <RcptId>	[0..1]	±		2463
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2463
	Basis <Bsis>	[1..1]			2463
{Or	Code <Cd>	[1..1]	CodeSet		2463
Or}	Proprietary <Prtry>	[1..1]	±		2464

53.4.5.15.10 SettlementAndCustodyDetails <SttlmAndCtdyDtls>

Presence: [0..1]

Definition: Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters12" on page 2285 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2287
	SettlementPlace <SttlmPlc>	[1..1]	±		2287
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2287
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2288
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2288
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2288
	ReceivingSideDetails <RcvgSdDtls>	[0..1]		C27, C28	2288
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2289
	PartyIdentification <PtyId>	[1..1]	±		2290
	AccountIdentification <AcctId>	[0..1]	Text		2290
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2290
	PartyIdentification <PtyId>	[1..1]	±		2290
	AccountIdentification <AcctId>	[0..1]	Text		2291
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2291
	PartyIdentification <PtyId>	[1..1]	±		2291
	AccountIdentification <AcctId>	[0..1]	Text		2291
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2291
	PartyIdentification <PtyId>	[1..1]	±		2292
	AccountIdentification <AcctId>	[0..1]	Text		2292
	DeliveringSideDetails <DlvrgSdDtls>	[1..1]		C12, C13	2292
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2293
	PartyIdentification <PtyId>	[1..1]	±		2294
	AccountIdentification <AcctId>	[0..1]	Text		2294
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2294
	PartyIdentification <PtyId>	[1..1]	±		2294
	AccountIdentification <AcctId>	[0..1]	Text		2295
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2295
	PartyIdentification <PtyId>	[1..1]	±		2295
	AccountIdentification <AcctId>	[0..1]	Text		2295

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrAgDtls>	[1..1]			2295
	PartyIdentification <PtyId>	[1..1]	±		2296
	AccountIdentification <AcctId>	[0..1]	Text		2296

53.4.5.15.11 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

53.4.5.15.12 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["DeliveryParameters3"](#) on page 2201 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Address <Adr>	[1..1]	±		2201
	IssuedCertificateNumber <IssdCertNb>	[0..1]	Text		2201

53.4.5.15.13 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: ["Max350Text"](#) on page 2683

53.4.5.15.14 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's retained subscription amount that is returned by the fund in order to reimburse preliminary incentive/performance fees.

Equalisation <Equlstn> contains the following elements (see ["Equalisation1"](#) on page 1770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1770
	Rate <Rate>	[0..1]	Rate		1770

53.4.5.16 SubscriptionLegDetails <SbcptLegDtls>

Presence: [1..*]

Definition: Part of the investment fund switch order that is a subscription.

Impacted by: C24 "PhysicalDeliveryDetails1Rule", C26 "PhysicalDeliveryDetails2Rule"

SubscriptionLegDetails <SbcptLegDtls> contains the following **SwitchSubscriptionLegOrder6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LegIdentification <LegId>	[0..1]	Text		1077
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	1077
	FinancialInstrumentQuantityChoice <FinInstrmQtyChc>	[0..1]			1078
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		1078
Or	PercentageOfTotalRedemptionAmount <PctgOfTtlRedAmt>	[1..1]	Rate		1078
Or	NetAmount <NetAmt>	[1..1]	Amount	C3, C8	1078
Or}	GrossAmount <GrssAmt>	[1..1]	Amount	C3, C8	1079
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C21	1079
	IncomePreference <IncmPref>	[0..1]	CodeSet		1080
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1080
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1080
	TransactionOverhead <TxOvrhd>	[0..1]		C14	1081
	CommercialAgreementReference <ComrcIAgrmtRef>	[0..1]	Text		1081
	IndividualFee <IndvFee>	[0..*]	±	C13, C30, C31	1081
	IndividualTax <IndvTax>	[0..*]	±		1082
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1083
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1085
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1085
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1085
	Equalisation <Equlstn>	[0..1]	±		1085

Constraints

- PhysicalDeliveryDetails1Rule**

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True
 /PhysicalDeliveryDetails Must be absent

- **PhysicalDeliveryDetails2Rule**

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

On Condition
 /PhysicalDeliveryIndicator is equal to value 'true'
 Or /PhysicalDeliveryIndicator is equal to value '1'
 Following Must be True
 /PhysicalDeliveryDetails Must be present

53.4.5.16.1 LegIdentification <LegId>

Presence: [0..1]

Definition: Unique technical identifier for the instance of the leg within a switch.

Datatype: "Max35Text" on page 2683

53.4.5.16.2 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class related to the subscription leg of the order.

Impacted by: C17 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1436 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1436
	Name <Nm>	[0..1]	Text		1437
	ShortName <ShrtNm>	[0..1]	Text		1437
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1437
	ClassType <ClsTp>	[0..1]	Text		1438
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1438
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1438
	ProductGroup <PdctGrp>	[0..1]	Text		1438
	SeriesIdentification <SrsId>	[0..1]		C30	1438
	SeriesDate <SrsDt>	[0..1]	±		1439
	SeriesName <SrsNm>	[0..1]	Text		1439

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

53.4.5.16.3 FinancialInstrumentQuantityChoice <FinInstrmQtyChc>

Presence: [0..1]

Definition: Amount of money, number of units or percentage for the redemption leg of the switch order.

FinancialInstrumentQuantityChoice <FinInstrmQtyChc> contains one of the following **FinancialInstrumentQuantity26Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		1078
Or	PercentageOfTotalRedemptionAmount <PctgOfTtlRedAmt>	[1..1]	Rate		1078
Or	NetAmount <NetAmt>	[1..1]	Amount	C3, C8	1078
Or}	GrossAmount <GrssAmt>	[1..1]	Amount	C3, C8	1079

53.4.5.16.3.1 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Number of investment fund units to be subscribed.

Datatype: "DecimalNumber" on page 2680

53.4.5.16.3.2 PercentageOfTotalRedemptionAmount <PctgOfTtlRedAmt>

Presence: [1..1]

Definition: Percentage of the total redemption amount used for the subscription in an investment fund or investment fund class.

Datatype: "PercentageRate" on page 2681

53.4.5.16.3.3 NetAmount <NetAmt>

Presence: [1..1]

Definition: Amount of money to be invested in the fund.

Net Amount = Quantity * Price.

Impacted by: C3 "ActiveOrHistoricCurrency", C8 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

53.4.5.16.3.4 GrossAmount <GrssAmt>

Presence: [1..1]

Definition: Amount of money to be paid by the investor when subscribing to fund units.

Gross amount = (Quantity * Price) + (Fees + Taxes).

Impacted by: C3 "ActiveOrHistoricCurrency", C8 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

53.4.5.16.4 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [0..1]

Definition: Account impacted by the investment fund order.

Impacted by: C21 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1635
	AccountName <AcctNm>	[0..1]	Text		1635
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1635
	OwnerIdentification <OwnrId>	[0..*]	±		1635
	AccountServicer <AcctSvcr>	[0..1]	±		1636
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		1636
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1636

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

53.4.5.16.5 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference1Code" on page 2586

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

53.4.5.16.6 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

53.4.5.16.7 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

53.4.5.16.8 TransactionOverhead <TxOvrhd>

Presence: [0..1]

Definition: Fees (charges/commission) and tax to be applied to the net amount.

Impacted by: C14 "FeeTaxElementRule"

TransactionOverhead <TxOvrhd> contains the following **FeeAndTax1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommercialAgreementReference <ComrclAgrmtRef>	[0..1]	Text		1081
	IndividualFee <IndvFee>	[0..*]	±	C13, C30, C31	1081
	IndividualTax <IndvTax>	[0..*]	±		1082

Constraints

- **FeeTaxElementRule**

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

53.4.5.16.8.1 CommercialAgreementReference <ComrclAgrmtRef>

Presence: [0..1]

Definition: Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.

Datatype: "Max35Text" on page 2683

53.4.5.16.8.2 IndividualFee <IndvFee>

Presence: [0..*]

Definition: Individual fee (charge/commission).

Impacted by: C13 "FeeElementRule", C30 "StandardFeeAmountCalculation", C31 "StandardFeeRateCalculation"

IndividualFee <IndvFee> contains the following elements (see "Fee1" on page 1352 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1353
{Or	Code <Cd>	[1..1]	CodeSet		1353
Or}	Proprietary <Prtry>	[1..1]	±		1354
	Basis <Bsis>	[0..1]			1354
{Or	Code <Cd>	[1..1]	CodeSet		1354
Or}	Proprietary <Prtry>	[1..1]	±		1355
	StandardAmount <StdAmt>	[0..1]	Amount	C2, C10	1355
	StandardRate <StdRate>	[0..1]	Rate		1355
	DiscountDetails <DscntDtls>	[0..1]		C14	1356
	Amount <Amt>	[0..1]	Amount	C2, C10	1356
	Rate <Rate>	[0..1]	Rate		1357
	Basis <Bsis>	[0..1]			1357
{Or	Code <Cd>	[1..1]	CodeSet		1357
Or}	Proprietary <Prtry>	[1..1]	±		1357
	RequestedAmount <ReqdAmt>	[0..1]	Amount	C2, C10	1358
	RequestedRate <ReqdRate>	[0..1]	Rate		1358
	NonStandardSLAReference <NonStdSLARef>	[0..1]	Text		1358
	RecipientIdentification <RcptId>	[0..1]	±		1358

Constraints

- **FeeElementRule**

One of the elements (Basis, StandardAmount, StandardRate, DiscountDetails, RequestedAmount, RequestedRate, NonStandardSLAReference, RecipientIdentification) must be present.

- **StandardFeeAmountCalculation**

If StandardAmount, RequestedAmount and DiscountDetails/Amount are all present, then StandardAmount minus DiscountDetails/Amount must equal RequestedAmount.

- **StandardFeeRateCalculation**

If StandardRate, RequestedRate and DiscountDetails/Rate are all present, then StandardRate minus DiscountDetails/Rate must equal RequestedRate.

53.4.5.16.8.3 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Individual tax amount.

IndividualTax <IndvTax> contains the following elements (see "Tax30" on page 2455 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2456
{Or	Code <Cd>	[1..1]	CodeSet		2456
Or}	Proprietary <Prtry>	[1..1]	±		2458
	Tax <Tax>	[0..1]			2458
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	2458
Or}	Rate <Rate>	[1..1]	Rate		2459
	Country <Ctry>	[0..1]	CodeSet	C11	2459
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2459
	ExemptionReason <XmptnRsn>	[0..1]			2459
{Or	Code <Cd>	[1..1]	CodeSet		2459
Or}	Proprietary <Prtry>	[1..1]	±		2462
	RecipientIdentification <Rcptld>	[0..1]	±		2463
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2463
	Basis <Bsis>	[1..1]			2463
{Or	Code <Cd>	[1..1]	CodeSet		2463
Or}	Proprietary <Prtry>	[1..1]	±		2464

53.4.5.16.9 SettlementAndCustodyDetails <SttlmAndCtdyDtls>

Presence: [0..1]

Definition: Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters11" on page 2296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2298
	SettlementPlace <SttlmPlc>	[1..1]	±		2298
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2298
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2299
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2299
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2299
	ReceivingSideDetails <RcvgSdDtls>	[1..1]		C27, C28	2299
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2300
	PartyIdentification <PtyId>	[1..1]	±		2301
	AccountIdentification <AcctId>	[0..1]	Text		2301
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2301
	PartyIdentification <PtyId>	[1..1]	±		2301
	AccountIdentification <AcctId>	[0..1]	Text		2302
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2302
	PartyIdentification <PtyId>	[1..1]	±		2302
	AccountIdentification <AcctId>	[0..1]	Text		2302
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2302
	PartyIdentification <PtyId>	[1..1]	±		2303
	AccountIdentification <AcctId>	[0..1]	Text		2303
	DeliveringSideDetails <DlvrgSdDtls>	[0..1]		C12, C13	2303
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2304
	PartyIdentification <PtyId>	[1..1]	±		2305
	AccountIdentification <AcctId>	[0..1]	Text		2305
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2305
	PartyIdentification <PtyId>	[1..1]	±		2305
	AccountIdentification <AcctId>	[0..1]	Text		2306
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2306
	PartyIdentification <PtyId>	[1..1]	±		2306
	AccountIdentification <AcctId>	[0..1]	Text		2306

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2306
	PartyIdentification <PtyId>	[1..1]	±		2307
	AccountIdentification <AcctId>	[0..1]	Text		2307

53.4.5.16.10 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

53.4.5.16.11 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["NameAndAddress4"](#) on page 2207 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2207
	Address <Adr>	[1..1]	±		2207

53.4.5.16.12 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: ["Max350Text"](#) on page 2683

53.4.5.16.13 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's subscription amount that is held by the fund in order to pay incentive/performance fees at the end of the fiscal year.

Equalisation <Equlstn> contains the following elements (see ["Equalisation1"](#) on page 1770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1770
	Rate <Rate>	[0..1]	Rate		1770

53.4.5.17 CashSettlementDetails <CshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

CashSettlementDetails <CshSttlmDtls> contains the following elements (see "PaymentTransaction71" on page 2117 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInOrOut <CshInOrOut>	[1..1]			2120
{Or	CashInPaymentInstrument <CshInPmtInstrm>	[1..1]			2123
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2125
	Type <Tp>	[1..1]	CodeSet		2125
	Number <Nb>	[1..1]	Text		2126
	HolderName <HldrNm>	[1..1]	Text		2126
	StartDate <StartDt>	[0..1]	YearMonth		2126
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2126
	CardIssuerName <CardIssrNm>	[0..1]	Text		2126
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2126
	SecurityCode <SctyCd>	[0..1]	Text		2127
	SequenceNumber <SeqNb>	[0..1]	Text		2127
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2127
	Reference <Ref>	[0..1]	Text		2127
	Debtor <Dbtr>	[0..1]	±		2127
	DebtorAccount <DbtrAcct>	[0..1]	±		2128
	DebtorAgent <DbtrAgt>	[0..1]	±		2128
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2128
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2128
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2129
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2129
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2129
	CreditorAgent <CdtrAgt>	[1..1]	±		2130
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2130
	Creditor <Cdtr>	[0..1]	±		2130
	CreditorAccount <CdtrAcct>	[1..1]	±		2130
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2131
Or	ChequeDetails <ChqDtls>	[1..1]			2131
	Number <Nb>	[0..1]	Text		2131
	PayeeIdentification <PyeeId>	[1..1]	±		2132

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Draweeldentification <Drweeld>	[0..1]	±		2132
	Drawerldentification <Drwrlld>	[0..1]	±		2132
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2132
	Number <Nb>	[0..1]	Text		2133
	Payeeldentification <Pyeeld>	[1..1]	±		2133
	Draweeldentification <Drweeld>	[0..1]	±		2133
	Drawerldentification <Drwrlld>	[0..1]	±		2133
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2134
	Accountldentification <Acctld>	[0..1]	Text		2134
	Type <Tp>	[0..1]	±		2134
Or}	CashOutPaymentInstrument <CshOutPmtInstrm>	[1..1]			2134
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2135
	Reference <Ref>	[0..1]	Text		2136
	Debtor <Dbtr>	[0..1]	±		2136
	DebtorAccount <DbtrAcct>	[0..1]	±		2136
	DebtorAgent <DbtrAgt>	[0..1]	±		2137
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2137
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2137
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2137
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2138
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2138
	CreditorAgent <CdtrAgt>	[1..1]	±		2138
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2138
	Creditor <Cdtr>	[0..1]	±		2139
	CreditorAccount <CdtrAcct>	[1..1]	±		2139
Or	ChequeDetails <ChqDtls>	[1..1]			2139
	Number <Nb>	[0..1]	Text		2140
	Payeeldentification <Pyeeld>	[1..1]	±		2140
	Draweeldentification <Drweeld>	[0..1]	±		2140
	Drawerldentification <Drwrlld>	[0..1]	±		2140
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2141

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Number <Nb>	[0..1]	Text		2141
	PayeeIdentification <PyeeId>	[1..1]	±		2141
	DraweeIdentification <DrweeId>	[0..1]	±		2141
	DrawerIdentification <DrwrId>	[0..1]	±		2142
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2142
	AccountIdentification <AcctId>	[0..1]	Text		2142
	Type <Tp>	[0..1]	±		2142

53.4.5.18 ForeignExchangeDetails <FXDtls>

Presence: [0..1]

Definition: Information needed to process a currency exchange or conversion.

Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtls> contains the following elements (see "[ForeignExchangeTerms32](#)" on page 1486 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1486
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1486
	ExchangeRate <XchgRate>	[1..1]	Rate		1487
	QuotationDate <QtnDt>	[0..1]	DateTime		1487
	QuotingInstitution <QtgInstn>	[0..1]	±		1487

53.4.5.19 FinancialAdvice <FinAdv>*Presence:* [0..1]*Definition:* Specifies if advice has been received from an independent financial advisor.*Datatype:* "FinancialAdvice1Code" on page 2580

CodeName	Name	Definition
RECE	Received	Advice has been received from an independent financial advisor.
NREC	NotReceived	Advice has not been received from an independent financial advisor.
UKWN	Unknown	It is not known whether or not advice has been received from an independent financial advisor.

53.4.5.20 NegotiatedTrade <NgtdTrad>*Presence:* [0..1]*Definition:* Specifies whether the trade is negotiated.*Datatype:* "NegotiatedTrade1Code" on page 2609

CodeName	Name	Definition
NEGO	Negotiated	Trade is negotiated.
NNGO	NotNegotiated	Trade is not negotiated.
UNKW	Unknown	Whether the trade is negotiated or not is unknown.

53.4.5.21 CustomerConductClassification <CstmrCndctClssfctn>*Presence:* [0..1]*Definition:* Assessment of the customer's behaviour at the time of the account opening application.**CustomerConductClassification <CstmrCndctClssfctn>** contains one of the following elements (see "CustomerConductClassification1Choice" on page 1630 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1631
Or}	Proprietary <Prtry>	[1..1]	±		1631

53.4.5.22 TransactionChannelType <TxChanlTp>*Presence:* [0..1]*Definition:* Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanITp> contains one of the following elements (see "TransactionChannelType1Choice" on page 1864 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1864
Or}	Proprietary <Prtry>	[1..1]	±		1865

53.4.5.23 SignatureType <SgntrTp>

Presence: [0..1]

Definition: Type of signature.

SignatureType <SgntrTp> contains one of the following elements (see "SignatureType1Choice" on page 1813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1813
Or}	Proprietary <Prtry>	[1..1]	±		1814

53.4.5.24 OrderWaiverDetails <OrdWvrDtls>

Presence: [0..1]

Definition: Information about a non-standard order.

Impacted by: C32 "WaiverElementRule"

OrderWaiverDetails <OrdWvrDtls> contains the following elements (see "OrderWaiver1" on page 1769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderWaiverReason <OrdWvrRsn>	[0..*]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1769
	InformationValue <InfVal>	[0..1]	Text		1770

Constraints

- **WaiverElementRule**

Either OrderWaiverReason or InformationValue must be present. Both may be present.

53.4.6 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation4](#)" on page 1819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1819
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1819

53.4.7 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C12 "[ExtensionRule](#)"

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

54 **setr.014.001.04**

SwitchOrderCancellationRequestV04

54.1 **MessageDefinition Functionality**

Scope

The SwitchOrderCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent SwitchOrder instruction.

Usage

The SwitchOrderCancellationRequest is used to cancel the entire previously sent SwitchOrder instruction/s and all the individual legs that it contains. There is no amendment, but a cancellation and re-instruct policy.

To request the cancellation of a switch order, the order reference of the original switch order is quoted in the order reference element. The message identification of the SwitchOrder message may also be quoted in PreviousReference but this is not recommended.

The deadline and acceptance of a cancellation request is subject to a service level agreement (SLA). This cancellation message is a cancellation request. There is no automatic acceptance of the cancellation request.

The rejection or acceptance of a SwitchOrderCancellationRequest is made using an OrderCancellationStatusReport message.

Outline

The SwitchOrderCancellationRequestV04 MessageDefinition is composed of 6 MessageBuildingBlocks:

- A. MessageIdentification
Reference that uniquely identifies the message from a business application standpoint.
- B. PoolReference
Collective reference identifying a set of messages.
- C. PreviousReference
Reference to a linked message that was previously sent.
- D. MasterReference
Reference assigned to a set of orders or trades in order to link them together.
- E. OrderReferences
Identification of the individual order to be cancelled.
- F. CopyDetails
Information provided when the message is a copy of a previous message.

54.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SwchOrdxCxlReq>	[1..1]		C3	
	MessageIdentification <MsgId>	[1..1]	±		1094
	PoolReference <PoolRef>	[0..1]	±		1095
	PreviousReference <PrvsRef>	[0..1]	±		1095
	MasterReference <MstrRef>	[0..1]	Text		1095
	OrderReferences <OrdRefs>	[1..*]			1095
	OrderReference <OrdRef>	[1..1]	Text		1096
	ClientReference <ClntRef>	[0..1]	Text		1096
	CancellationReference <CxlRef>	[0..1]	Text		1096
	CancellationReason <CxlRsn>	[0..1]	±		1096
	CopyDetails <CpyDtls>	[0..1]	±		1096

54.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 SwitchRule

The cancellation of a switch order must be a full cancellation. Partial cancellations are not allowed, for example, the cancellation of a given leg.

This constraint is defined at the MessageDefinition level.

54.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

54.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

54.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1498 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1498
	ReferenceIssuer <RefIssr>	[0..1]	±		1498
	MessageName <MsgNm>	[0..1]	Text		1498

54.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference8](#)" on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

54.4.4 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: "[Max35Text](#)" on page 2683

54.4.5 OrderReferences <OrdRefs>

Presence: [1..*]

Definition: Identification of the individual order to be cancelled.

OrderReferences <OrdrRefs> contains the following **InvestmentFundOrder9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		1096
	ClientReference <CIntRef>	[0..1]	Text		1096
	CancellationReference <CxlRef>	[0..1]	Text		1096
	CancellationReason <CxlRsn>	[0..1]	±		1096

54.4.5.1 OrderReference <OrdrRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

54.4.5.2 ClientReference <CIntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2683

54.4.5.3 CancellationReference <CxlRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the order cancellation, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

54.4.5.4 CancellationReason <CxlRsn>

Presence: [0..1]

Definition: Reason for the cancellation.

CancellationReason <CxlRsn> contains one of the following elements (see "CancellationReason32Choice" on page 1813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	Text		1813
Or}	Proprietary <Prtry>	[1..1]	±		1813

54.4.6 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation4](#)" on page 1819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1819
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1819

55 **setr.015.001.04**

SwitchOrderConfirmationV04

55.1 MessageDefinition Functionality

Scope

The SwitchOrderConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the details of the execution of a previously received SwitchOrder instruction.

Usage

The SwitchOrderConfirmation message is used to confirm that all the legs of the previously instructed switch order have been executed. The reference of the switch order confirmation is identified in DealReference.

The reference of the original switch order is specified in OrderReference. The message identification of the SwitchOrder message in which the switch order was conveyed may also be quoted in RelatedReference but this is not recommended.

When the message is used to convey a confirmation amendment/s, the AmendmentIndicator must be present with the value 'true' or '1'. When this is the case, the message must only contain a confirmation amendment/s and not contain both a confirmation amendment/s and a 'new' confirmation/s.

Outline

The SwitchOrderConfirmationV04 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. SwitchExecutionDetails

Information related to a switch execution.

F. CopyDetails

Information provided when the message is a copy of a previous message.

G. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

55.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SwchOrdConf>	[1..1]		C17	
	MessageIdentification <MsgId>	[1..1]	±		1104
	PoolReference <PoolRef>	[0..1]	±		1105
	PreviousReference <PrvsRef>	[0..*]	±		1105
	RelatedReference <RltdRef>	[0..1]	±		1105
	SwitchExecutionDetails <SwchExctnDtls>	[1..*]		C16, C21	1105
	AmendmentIndicator <AmdmntInd>	[0..1]	Indicator		1109
	MasterReference <MstrRef>	[0..1]	Text		1109
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		1109
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		1109
	ReceivedDateTime <RcvdDtTm>	[0..1]	DateTime		1110
	DealReference <DealRef>	[1..1]	Text		1110
	OrderReference <OrdrRef>	[1..1]	Text		1110
	ClientReference <ClntRef>	[0..1]	Text		1110
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	1110
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	1111
	CancellationRight <CxlRght>	[0..1]	±		1111
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		1111
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C8	1112
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1112
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		1112
	AdditionalAmount <AddtlAmt>	[0..1]	±		1112
	BestExecution <BestExctn>	[0..1]	CodeSet		1113
	RedemptionLegDetails <RedLegDtls>	[1..*]		C22, C24	1113
	LegIdentification <LegId>	[0..1]	Text		1115
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		1115
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C15	1115
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1116
	HoldingsRedemptionRate <HldgsRedRate>	[0..1]	Rate		1116

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	NetAmount <NetAmt>	[0..1]	Amount	C2, C8	1116
	GrossAmount <GrssAmt>	[0..1]	Amount	C2, C8	1117
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	1117
	TradeDateTime <TradDtTm>	[1..1]	±		1118
	PriceDetails <PricDtls>	[1..1]	±		1118
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		1119
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		1119
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		1120
	IncomePreference <IncmPref>	[0..1]	CodeSet		1120
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1120
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1120
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1121
	TransactionOverhead <TxOvrhd>	[0..1]		C18, C30	1121
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C8	1122
	TotalFees <TtlFees>	[0..1]	Amount	C2, C8	1122
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C8	1123
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1123
	IndividualFee <IndvFee>	[0..*]	±	C5	1123
	IndividualTax <IndvTax>	[0..*]	±		1124
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C29	1125
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1127
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1129
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1129
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1129
	Equalisation <Equlstn>	[0..1]	±		1129
	GatingOrHoldBackDetails <GtgOrHldBckDtls>	[0..1]	±		1129
	SubscriptionLegDetails <SbcptLegDtls>	[1..*]		C23, C25	1130
	LegIdentification <LegId>	[0..1]	Text		1132
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		1132
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C15	1132
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1133

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	NetAmount <NetAmt>	[0..1]	Amount	C2, C8	1133
	GrossAmount <GrssAmt>	[0..1]	Amount	C2, C8	1134
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	1134
	TradeDateTime <TradDtTm>	[1..1]	±		1135
	PriceDetails <PricDtls>	[1..1]	±		1135
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		1135
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		1136
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		1136
	IncomePreference <IncmPref>	[0..1]	CodeSet		1136
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1137
	RequestedNAVCurrency <ReqdNAVCCcy>	[0..1]	CodeSet	C3	1137
	TransactionOverhead <TxOvrhd>	[0..1]		C18, C30	1137
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C8	1138
	TotalFees <TtlFees>	[0..1]	Amount	C2, C8	1138
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C8	1139
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1139
	IndividualFee <IndvFee>	[0..*]	±	C5	1139
	IndividualTax <IndvTax>	[0..*]	±		1140
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C29	1141
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1143
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1145
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1145
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1145
	Equalisation <Equlstr>	[0..1]	±		1145
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		1145
	ForeignExchangeDetails <FXDtls>	[0..*]	±		1149
	FinancialAdvice <FinAdv<	[0..1]	CodeSet		1150
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		1150
	LateReport <LateRpt>	[0..1]	CodeSet		1150
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1151
	TransactionChannelType <TxChanlTp>	[0..1]	±		1151

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SignatureType <SgntrTp>	[0..1]	±		1151
	OrderWaiverDetails <OrdrWvrDtls>	[0..1]	±	C31	1151
	CopyDetails <CpyDtls>	[0..1]	±		1152
	Extension <Xtnsn>	[0..*]	±	C13	1152

55.3 Constraints

C1 AccountElementRule

Either AccountIdentification or Type must be present. Both may be present.

C2 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C3 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C5 AppliedAmountRule

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C6 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C7 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C8 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 DeliverersCustodianRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present.
If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

C11 DeliverersIntermediary1DetailsRule

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

C12 DiscountElementRule

Amount Or Rate Or Basis must be present.

C13 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C14 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C15 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C16 InvestmentAccountDetailsRule

Either InvestmentAccountDetails or all occurrences of SubscriptionLegDetails/
InvestmentAccountDetails and RedemptionLegDetails/InvestmentAccountDetails must be present, but not both.

C17 MultipleSwitchExecutionRule

If SwitchExecutionDetails is present more than once, then SwitchExecutionDetails/
RedemptionLegDetails and SwitchExecutionDetails/SubscriptionLegDetails must only be present once.

This constraint is defined at the MessageDefinition level.

C18 NonStandardsSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C19 OrderOriginatorEligibility1Rule

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

C20 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C21 OrderOriginatorEligibility3Rule

Either InvestmentAccountDetails/OrderOriginatorEligibility or a single occurrence of RedemptionLegDetails/InvestmentAccountDetails/OrderOriginatorEligibility or a single occurrence of SubscriptionLegDetails/InvestmentAccountDetails/OrderOriginatorEligibility should be only present.

C22 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C23 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C24 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C25 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C26 ReceiversCustodianRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present.

If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

C27 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

C28 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

C29 TaxElementRule

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus, PercentageOfDebtClaim, IndividualTax) must be present.

C30 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C31 WaiverElementRule

Either OrderWaiverReason or InformationValue must be present. Both may be present.

55.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

55.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

55.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see ["AdditionalReference9"](#) on page 1498 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1498
	ReferenceIssuer <RefIssr>	[0..1]	±		1498
	MessageName <MsgNm>	[0..1]	Text		1498

55.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see ["AdditionalReference8"](#) on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

55.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference8"](#) on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

55.4.5 SwitchExecutionDetails <SwchExctnDtls>

Presence: [1..*]

Definition: Information related to a switch execution.

Impacted by: [C16 "InvestmentAccountDetailsRule"](#), [C21 "OrderOriginatorEligibility3Rule"](#)

SwitchExecutionDetails <SwchExctnDtls> contains the following **SwitchExecution7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmendmentIndicator <AmdmntInd>	[0..1]	Indicator		1109
	MasterReference <MstrRef>	[0..1]	Text		1109
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		1109
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		1109
	ReceivedDateTime <RcvdDtTm>	[0..1]	DateTime		1110
	DealReference <DealRef>	[1..1]	Text		1110
	OrderReference <OrdrRef>	[1..1]	Text		1110
	ClientReference <ClntRef>	[0..1]	Text		1110
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	1110
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	1111
	CancellationRight <CxlRght>	[0..1]	±		1111
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		1111
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C8	1112
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1112
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		1112
	AdditionalAmount <AddtlAmt>	[0..1]	±		1112
	BestExecution <BestExctn>	[0..1]	CodeSet		1113
	RedemptionLegDetails <RedLegDtls>	[1..*]		C22, C24	1113
	LegIdentification <LegId>	[0..1]	Text		1115
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		1115
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C15	1115
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1116
	HoldingsRedemptionRate <HldgsRedRate>	[0..1]	Rate		1116
	NetAmount <NetAmt>	[0..1]	Amount	C2, C8	1116
	GrossAmount <GrssAmt>	[0..1]	Amount	C2, C8	1117
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	1117
	TradeDateTime <TradDtTm>	[1..1]	±		1118
	PriceDetails <PricDtls>	[1..1]	±		1118
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		1119
	CumDividendIndicator <CumDvddlnd>	[1..1]	Indicator		1119

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		1120
	IncomePreference <IncmPref>	[0..1]	CodeSet		1120
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1120
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1120
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1121
	TransactionOverhead <TxOvrhd>	[0..1]		C18, C30	1121
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C8	1122
	TotalFees <TtlFees>	[0..1]	Amount	C2, C8	1122
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C8	1123
	CommercialAgreementReference <ComrcIAgrmtRef>	[0..1]	Text		1123
	IndividualFee <IndvFee>	[0..*]	±	C5	1123
	IndividualTax <IndvTax>	[0..*]	±		1124
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C29	1125
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1127
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1129
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1129
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1129
	Equalisation <Equlstn>	[0..1]	±		1129
	GatingOrHoldBackDetails <GtgOrHldBckDtls>	[0..1]	±		1129
	SubscriptionLegDetails <SbcptLegDtls>	[1..*]		C23, C25	1130
	LegIdentification <LegId>	[0..1]	Text		1132
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		1132
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C15	1132
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1133
	NetAmount <NetAmt>	[0..1]	Amount	C2, C8	1133
	GrossAmount <GrssAmt>	[0..1]	Amount	C2, C8	1134
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	1134
	TradeDateTime <TradDtTm>	[1..1]	±		1135
	PriceDetails <PricDtls>	[1..1]	±		1135
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		1135

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		1136
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		1136
	IncomePreference <IncmPref>	[0..1]	CodeSet		1136
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1137
	RequestedNAVCurrency <ReqdNAVCCcy>	[0..1]	CodeSet	C3	1137
	TransactionOverhead <TxOvrhd>	[0..1]		C18, C30	1137
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C8	1138
	TotalFees <TtlFees>	[0..1]	Amount	C2, C8	1138
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C8	1139
	CommercialAgreementReference <ComrcIAgrmtRef>	[0..1]	Text		1139
	IndividualFee <IndvFee>	[0..*]	±	C5	1139
	IndividualTax <IndvTax>	[0..*]	±		1140
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C29	1141
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1143
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1145
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1145
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1145
	Equalisation <Equlstn>	[0..1]	±		1145
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		1145
	ForeignExchangeDetails <FXDtls>	[0..*]	±		1149
	FinancialAdvice <FinAdv<	[0..1]	CodeSet		1150
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		1150
	LateReport <LateRpt>	[0..1]	CodeSet		1150
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1151
	TransactionChannelType <TxChanlTp>	[0..1]	±		1151
	SignatureType <SgntrTp>	[0..1]	±		1151
	OrderWaiverDetails <OrdWvrDtls>	[0..1]	±	C31	1151

Constraints

- **InvestmentAccountDetailsRule**

Either InvestmentAccountDetails or all occurrences of SubscriptionLegDetails/InvestmentAccountDetails and RedemptionLegDetails/InvestmentAccountDetails must be present, but not both.

- **OrderOriginatorEligibility3Rule**

Either InvestmentAccountDetails/OrderOriginatorEligibility or a single occurrence of RedemptionLegDetails/InvestmentAccountDetails/OrderOriginatorEligibility or a single occurrence of SubscriptionLegDetails/InvestmentAccountDetails/OrderOriginatorEligibility should be only present.

55.4.5.1 AmendmentIndicator <AmdmntInd>

Presence: [0..1]

Definition: Indicates whether the confirmation is an amendment of a previous confirmation.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 2680](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

55.4.5.2 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: ["Max35Text" on page 2683](#)

55.4.5.3 PlaceOfTrade <PlcOfTrad>

Presence: [0..1]

Definition: Market in which the advised trade transaction was executed.

PlaceOfTrade <PlcOfTrad> contains one of the following elements (see ["PlaceOfTradeIdentification1Choice" on page 1784](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Country <Ctry>	[1..1]	CodeSet	C11	1784
Or	Exchange <Xchg>	[1..1]	IdentifierSet		1784
Or	Party <Pty>	[1..1]	IdentifierSet	C7	1784
Or}	OverTheCounter <OverTheCntr>	[1..1]	Text		1785

55.4.5.4 OrderDateTime <OrdrDtTm>

Presence: [0..1]

Definition: Date and time at which the order was placed by the investor or its agent.

Datatype: ["ISODateTime" on page 2669](#)

55.4.5.5 ReceivedDateTime <RcvdDtTm>

Presence: [0..1]

Definition: Date and time the order was received by the executing party, for example, the transfer agent.

Datatype: "ISODateTime" on page 2669

55.4.5.6 DealReference <DealRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order execution, as assigned by the confirming party.

Datatype: "Max35Text" on page 2683

55.4.5.7 OrderReference <OrdrRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

55.4.5.8 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2683

55.4.5.9 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [0..1]

Definition: Account impacted by the investment fund order execution.

Impacted by: C20 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1635
	AccountName <AcctNm>	[0..1]	Text		1635
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1635
	OwnerIdentification <OwnrId>	[0..*]	±		1635
	AccountServicer <AcctSvcr>	[0..1]	±		1636
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		1636
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1636

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

55.4.5.10 RelatedPartyDetails <RltdPtyDtls>

Presence: [0..10]

Definition: Party related to the transaction.

Impacted by: C19 "OrderOriginatorEligibility1Rule"

RelatedPartyDetails <RltdPtyDtls> contains the following elements (see "Intermediary39" on page 2024 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2025
	Account <Acct>	[0..1]			2025
	Identification <Id>	[1..1]	Text		2025
	AccountServicer <AcctSvcr>	[0..1]	±		2025
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		2026
	TradingPartyCapacity <TradgPtyCpcty>	[0..1]	CodeSet		2026
	Role <Role>	[0..1]	±		2026

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

55.4.5.11 CancellationRight <CxIRght>

Presence: [0..1]

Definition: Cancellation right of the investor with respect to the investment fund order.

CancellationRight <CxIRght> contains one of the following elements (see "CancellationRight1Choice" on page 1814 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1814
Or}	Proprietary <Prtry>	[1..1]	±		1815

55.4.5.12 RequestedFutureTradeDate <ReqdFutrTradDt>

Presence: [0..1]

Definition: Future date at which the investor requests the order to be executed.

The specification of a requested future trade date is not allowed in some markets. The date must be a date in the future.

Datatype: "ISODate" on page 2668

55.4.5.13 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the individual order.

Impacted by: C2 "ActiveCurrency", C8 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

55.4.5.14 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2668

55.4.5.15 SettlementMethod <SttlmMtd>

Presence: [0..1]

Definition: Method by which the transaction is settled.

Datatype: "DeliveryReceiptType2Code" on page 2571

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

55.4.5.16 AdditionalAmount <AddtlAmt>

Presence: [0..1]

Definition: Choice between additional cash in or resulting cash out.

AdditionalAmount <AddtlAmt> contains one of the following elements (see "AdditionalAmount1Choice" on page 1771 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AdditionalCashIn <AddtlCshIn>	[1..1]	Amount	C3, C12	1771
Or}	ResultingCashOut <RsltgCshOut>	[1..1]	Amount	C3, C12	1771

55.4.5.17 BestExecution <BestExctn>

Presence: [0..1]

Definition: Specifies that the execution was subject to best execution rules as defined by MiFID.

Datatype: "BestExecution1Code" on page 2555

CodeName	Name	Definition
BTEX	Best	Best execution rules were followed.

55.4.5.18 RedemptionLegDetails <RedLegDtls>

Presence: [1..*]

Definition: Redemption leg of a switch order execution.

Impacted by: C22 "PhysicalDeliveryDetails1Rule", C24 "PhysicalDeliveryDetails2Rule"

RedemptionLegDetails <RedLegDtls> contains the following **SwitchRedemptionLegExecution4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LegIdentification <LegId>	[0..1]	Text		1115
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		1115
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C15	1115
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1116
	HoldingsRedemptionRate <HldgsRedRate>	[0..1]	Rate		1116
	NetAmount <NetAmt>	[0..1]	Amount	C2, C8	1116
	GrossAmount <GrssAmt>	[0..1]	Amount	C2, C8	1117
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	1117
	TradeDateTime <TradDtTm>	[1..1]	±		1118
	PriceDetails <PricDtls>	[1..1]	±		1118
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		1119
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		1119
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		1120
	IncomePreference <IncmPref>	[0..1]	CodeSet		1120
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1120
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1120
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1121
	TransactionOverhead <TxOvrhd>	[0..1]		C18, C30	1121
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C8	1122
	TotalFees <TtlFees>	[0..1]	Amount	C2, C8	1122
	TotalTaxes <TtlTxs>	[0..1]	Amount	C2, C8	1123
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1123
	IndividualFee <IndvFee>	[0..*]	±	C5	1123
	IndividualTax <IndvTax>	[0..*]	±		1124
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C29	1125
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1127
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1129
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1129
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1129
	Equalisation <Equlstr>	[0..1]	±		1129

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GatingOrHoldBackDetails <GtgOrHldBckDtls>	[0..1]	±		1129

Constraints

- **PhysicalDeliveryDetails1Rule**

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True

/PhysicalDeliveryDetails Must be absent

- **PhysicalDeliveryDetails2Rule**

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

On Condition

/PhysicalDeliveryIndicator is equal to value 'true'

Or /PhysicalDeliveryIndicator is equal to value '1'

Following Must be True

/PhysicalDeliveryDetails Must be present

55.4.5.18.1 LegIdentification <LegId>

Presence: [0..1]

Definition: Unique technical identifier for the instance of the leg within a switch.

Datatype: "Max35Text" on page 2683

55.4.5.18.2 LegExecutionIdentification <LegExctnId>

Presence: [0..1]

Definition: Unique identifier for the instance of the leg execution within a switch confirmation.

Datatype: "Max35Text" on page 2683

55.4.5.18.3 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class to which the redemption leg of the investment fund order execution is related.

Impacted by: C15 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1436 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1436
	Name <Nm>	[0..1]	Text		1437
	ShortName <ShrtNm>	[0..1]	Text		1437
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1437
	ClassType <ClsTp>	[0..1]	Text		1438
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1438
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1438
	ProductGroup <PdctGrp>	[0..1]	Text		1438
	SeriesIdentification <SrsId>	[0..1]		C30	1438
	SeriesDate <SrsDt>	[0..1]	±		1439
	SeriesName <SrsNm>	[0..1]	Text		1439

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

55.4.5.18.4 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Number of investment funds units redeemed.

Datatype: "DecimalNumber" on page 2680

55.4.5.18.5 HoldingsRedemptionRate <HldgsRedRate>

Presence: [0..1]

Definition: Portion of the investor's holdings redeemed.

Datatype: "PercentageRate" on page 2681

55.4.5.18.6 NetAmount <NetAmt>

Presence: [0..1]

Definition: Amount of money paid to the investor when redeeming fund units.

Net amount = (Quantity * Price) - (Fees + Taxes).

Impacted by: C2 "ActiveCurrency", C8 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

55.4.5.18.7 GrossAmount <GrssAmt>

Presence: [0..1]

Definition: Amount of money redeemed from the fund.

Gross Amount = Quantity * Price.

Impacted by: C2 "ActiveCurrency", C8 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

55.4.5.18.8 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [0..1]

Definition: Account impacted by the investment fund order execution.

Impacted by: C20 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1635
	AccountName <AcctNm>	[0..1]	Text		1635
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1635
	OwnerIdentification <OwnrId>	[0..*]	±		1635
	AccountServicer <AcctSvcr>	[0..1]	±		1636
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		1636
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1636

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

55.4.5.18.9 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and time at which a price is applied, according to the terms stated in the prospectus.

TradeDateTime <TradDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

55.4.5.18.10 PriceDetails <PricDtls>

Presence: [1..1]

Definition: Price at which the order was executed.

PriceDetails <PricDtls> contains the following elements (see "UnitPrice22" on page 2230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2231
	Value <Val>	[1..1]	±		2231
	PriceMethod <PricMtd>	[0..1]	CodeSet		2231
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2232
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2232
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2232
{Or	Code <Cd>	[1..1]	CodeSet		2232
Or}	Proprietary <Prtry>	[1..1]	±		2233
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2233

55.4.5.18.11 InformativePriceDetails <InftvPricDtls>

Presence: [0..2]

Definition: Other quoted price than the one at which the order was executed.

InformativePriceDetails <InftvPricDtls> contains the following elements (see "UnitPrice22" on page 2230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2231
	Value <Val>	[1..1]	±		2231
	PriceMethod <PricMtd>	[0..1]	CodeSet		2231
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2232
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2232
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2232
{Or	Code <Cd>	[1..1]	CodeSet		2232
Or}	Proprietary <Prtry>	[1..1]	±		2233
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2233

55.4.5.18.12 CumDividendIndicator <CumDvddInd>

Presence: [1..1]

Definition: Indicates whether the dividend is included, that is, cum-dividend, in the executed price. When the dividend is not included, the price will be ex-dividend.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

55.4.5.18.13 InterimProfitAmount <IntrmPrftAmt>

Presence: [0..1]

Definition: Part of the price deemed as accrued income or profit rather than capital. The interim profit amount is used for tax purposes.

InterimProfitAmount <IntrmPrftAmt> contains one of the following elements (see "[ProfitAndLoss2Choice](#)" on page 2229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Profit <Prft>	[1..1]	Amount	C2, C10	2229
Or}	Loss <Loss>	[1..1]	Amount	C2, C10	2230

55.4.5.18.14 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "[IncomePreference1Code](#)" on page 2586

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

55.4.5.18.15 Group1Or2Units <Grp1Or2Units>

Presence: [0..1]

Definition: Tax group to which the purchased investment fund units belong. The investor indicates to the intermediary operating pooled nominees, which type of unit is to be sold.

Datatype: "[UKTaxGroupUnit1Code](#)" on page 2668

CodeName	Name	Definition
GRP1	Group1	The units that were purchased prior to the last ex-div date.
GRP2	Group2	The units that were purchased since the ex-div date, and that benefit from the tax exemption.

55.4.5.18.16 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: C2 "[ActiveCurrency](#)"

Datatype: "[ActiveCurrencyCode](#)" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

55.4.5.18.17 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

55.4.5.18.18 TransactionOverhead <TxOvrhd>

Presence: [0..1]

Definition: Fees (charges/commission) and taxes that are taken into consideration for the transaction, so that the total difference between the net amount and gross amount is known, without taking into account equalisation.

Impacted by: C18 "NonStandardsSLAReferenceRule", C30 "TransactionOverheadElementRule"

TransactionOverhead <TxOvrhd> contains the following **TotalFeesAndTaxes40** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C8	1122
	TotalFees <TtlFees>	[0..1]	Amount	C2, C8	1122
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C8	1123
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1123
	IndividualFee <IndvFee>	[0..*]	±	C5	1123
	IndividualTax <IndvTax>	[0..*]	±		1124

Constraints

- **NonStandardsSLAReferenceRule**

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

- **TransactionOverheadElementRule**

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

55.4.5.18.18.1 TotalOverheadApplied <TtlOvrhdApId>

Presence: [0..1]

Definition: Total amount of overhead applied to the transaction that impacts the settlement amount.

Impacted by: C2 "ActiveCurrency", C8 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

55.4.5.18.18.2 TotalFees <TtlFees>

Presence: [0..1]

Definition: Total amount of fees (charge/commissions) applied to the transaction that impacts the settlement amount.

Impacted by: C2 "ActiveCurrency", C8 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

55.4.5.18.18.3 TotalTaxes <TtlTaxes>

Presence: [0..1]

Definition: Total amount of taxes applied to the transaction that impacts the settlement amount.

Impacted by: C2 "ActiveCurrency", C8 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

55.4.5.18.18.4 CommercialAgreementReference <ComrcIAgrmtRef>

Presence: [0..1]

Definition: Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.

Datatype: "Max35Text" on page 2683

55.4.5.18.18.5 IndividualFee <IndvFee>

Presence: [0..*]

Definition: Individual fee (charge/commission).

Impacted by: C5 "AppliedAmountRule"

IndividualFee <IndvFee> contains the following elements (see "Fee2" on page 1344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1345
{Or	Code <Cd>	[1..1]	CodeSet		1346
Or}	Proprietary <Prtry>	[1..1]	±		1347
	Basis <Bsis>	[0..1]			1347
{Or	Code <Cd>	[1..1]	CodeSet		1347
Or}	Proprietary <Prtry>	[1..1]	±		1348
	StandardAmount <StdAmt>	[0..1]	Amount	C2, C10	1348
	StandardRate <StdRate>	[0..1]	Rate		1348
	DiscountDetails <DscntDtls>	[0..1]		C14	1348
	Amount <Amt>	[0..1]	Amount	C2, C10	1349
	Rate <Rate>	[0..1]	Rate		1350
	Basis <Bsis>	[0..1]			1350
{Or	Code <Cd>	[1..1]	CodeSet		1350
Or}	Proprietary <Prtry>	[1..1]	±		1350
	AppliedAmount <ApldAmt>	[0..1]	Amount	C2, C10	1351
	AppliedRate <ApldRate>	[0..1]	Rate		1351
	NonStandardSLAReference <NonStdSLARef>	[0..1]	Text		1351
	RecipientIdentification <RcptId>	[0..1]	±		1351
	InformativeIndicator <InftvInd>	[1..1]	Indicator		1351

Constraints

- **AppliedAmountRule**

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

On Condition

/InformativeIndicator is equal to value 'false'

Or /InformativeIndicator is equal to value '0'

Following Must be True

/AppliedAmount Must be present

55.4.5.18.18.6 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Individual tax.

IndividualTax <IndvTax> contains the following elements (see "Tax31" on page 2450 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2451
{Or	Code <Cd>	[1..1]	CodeSet		2451
Or}	Proprietary <Prtry>	[1..1]	±		2453
	AppliedAmount <ApldAmt>	[1..1]	Amount	C2, C10	2453
	AppliedRate <ApldRate>	[0..1]	Rate		2453
	Country <Ctry>	[0..1]	CodeSet	C11	2453
	RecipientIdentification <RcptId>	[0..1]	±		2454
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2454
	Basis <Bsis>	[0..1]			2454
{Or	Code <Cd>	[1..1]	CodeSet		2454
Or}	Proprietary <Prtry>	[1..1]	±		2455
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2455

55.4.5.18.19 InformativeTaxDetails <InftvTaxDtls>

Presence: [0..1]

Definition: Additional information about tax that does not have an impact on the transaction overhead.

Impacted by: C29 "TaxElementRule"

InformativeTaxDetails <InftvTaxDtls> contains the following elements (see "InformativeTax1" on page 2438 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C2, C10	2439
	EUCapitalGain <EUCptlGn>	[0..1]			2439
{Or	Code <Cd>	[1..1]	CodeSet		2439
Or}	Proprietary <Prtry>	[1..1]	±		2440
	EUDividendStatus <EUDvddSts>	[0..1]			2440
{Or	Code <Cd>	[1..1]	CodeSet		2440
Or}	Proprietary <Prtry>	[1..1]	±		2440
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2441
	IndividualTax <IndvTax>	[0..*]			2441
	Type <Tp>	[1..1]			2442
{Or	Code <Cd>	[1..1]	CodeSet		2442
Or}	Proprietary <Prtry>	[1..1]	±		2444
	InformativeAmount <InftvAmt>	[0..1]	Amount	C2, C10	2444
	InformativeRate <InftvRate>	[0..1]	Rate		2444
	Country <Ctry>	[0..1]	CodeSet	C11	2445
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2445
	ExemptionReason <XmptnRsn>	[0..1]			2445
{Or	Code <Cd>	[1..1]	CodeSet		2445
Or}	Proprietary <Prtry>	[1..1]	±		2448
	RecipientIdentification <Rcptld>	[0..1]	±		2448
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2449
	Basis <Bsis>	[0..1]			2449
{Or	Code <Cd>	[1..1]	CodeSet		2449
Or}	Proprietary <Prtry>	[1..1]	±		2449
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2450

Constraints

- **TaxElementRule**

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus, PercentageOfDebtClaim, IndividualTax) must be present.

55.4.5.18.20 SettlementAndCustodyDetails <SttlmAndCtdyDtls>

Presence: [0..1]

Definition: Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters11" on page 2296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2298
	SettlementPlace <SttlmPlc>	[1..1]	±		2298
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2298
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2299
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2299
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2299
	ReceivingSideDetails <RcvgSdDtls>	[1..1]		C27, C28	2299
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2300
	PartyIdentification <PtyId>	[1..1]	±		2301
	AccountIdentification <AcctId>	[0..1]	Text		2301
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2301
	PartyIdentification <PtyId>	[1..1]	±		2301
	AccountIdentification <AcctId>	[0..1]	Text		2302
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2302
	PartyIdentification <PtyId>	[1..1]	±		2302
	AccountIdentification <AcctId>	[0..1]	Text		2302
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2302
	PartyIdentification <PtyId>	[1..1]	±		2303
	AccountIdentification <AcctId>	[0..1]	Text		2303
	DeliveringSideDetails <DlvrgSdDtls>	[0..1]		C12, C13	2303
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2304
	PartyIdentification <PtyId>	[1..1]	±		2305
	AccountIdentification <AcctId>	[0..1]	Text		2305
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2305
	PartyIdentification <PtyId>	[1..1]	±		2305
	AccountIdentification <AcctId>	[0..1]	Text		2306
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2306
	PartyIdentification <PtyId>	[1..1]	±		2306
	AccountIdentification <AcctId>	[0..1]	Text		2306

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2306
	PartyIdentification <PtyId>	[1..1]	±		2307
	AccountIdentification <AcctId>	[0..1]	Text		2307

55.4.5.18.21 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

55.4.5.18.22 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["DeliveryParameters3"](#) on page 2201 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Address <Adr>	[1..1]	±		2201
	IssuedCertificateNumber <IssdCertNb>	[0..1]	Text		2201

55.4.5.18.23 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: ["Max350Text"](#) on page 2683

55.4.5.18.24 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's subscription amount that was held by the fund in order to pay incentive/performance fees at the end of the fiscal year, and is returned due to the redemption.

Equalisation <Equlstn> contains the following elements (see ["Equalisation1"](#) on page 1770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1770
	Rate <Rate>	[0..1]	Rate		1770

55.4.5.18.25 GatingOrHoldBackDetails <GtgOrHldBckDtls>

Presence: [0..1]

Definition: Information about gating and hold back of redemption proceeds.

GatingOrHoldBackDetails <GtgOrHldBckDtls> contains the following elements (see "HoldBackInformation2" on page 1777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		1777
	Amount <Amt>	[0..1]	Amount	C2, C10	1777
	ExpectedReleaseDate <XpctdRlsDt>	[0..1]	Date		1778
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±		1778
	FinancialInstrumentName <FinInstrmNm>	[0..1]	Text		1779
	RedemptionCompletion <RedCmpltn>	[0..1]	CodeSet		1779
	FinalConfirmation <FnlConf>	[0..1]	Indicator		1779

55.4.5.19 SubscriptionLegDetails <SbcptLegDtls>

Presence: [1..*]

Definition: Subscription leg of a switch order execution.

Impacted by: C23 "PhysicalDeliveryDetails1Rule", C25 "PhysicalDeliveryDetails2Rule"

SubscriptionLegDetails <SbcptLegDtls> contains the following **SwitchSubscriptionLegExecution4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LegIdentification <LegId>	[0..1]	Text		1132
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		1132
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C15	1132
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1133
	NetAmount <NetAmt>	[0..1]	Amount	C2, C8	1133
	GrossAmount <GrssAmt>	[0..1]	Amount	C2, C8	1134
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	1134
	TradeDateTime <TradDtTm>	[1..1]	±		1135
	PriceDetails <PricDtls>	[1..1]	±		1135
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		1135
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		1136
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		1136
	IncomePreference <IncmPref>	[0..1]	CodeSet		1136
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1137
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1137
	TransactionOverhead <TxOvrhd>	[0..1]		C18, C30	1137
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C8	1138
	TotalFees <TtlFees>	[0..1]	Amount	C2, C8	1138
	TotalTaxes <TtlTxs>	[0..1]	Amount	C2, C8	1139
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1139
	IndividualFee <IndvFee>	[0..*]	±	C5	1139
	IndividualTax <IndvTax>	[0..*]	±		1140
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C29	1141
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1143
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1145
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1145
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1145
	Equalisation <Equlstn>	[0..1]	±		1145

Constraints

- **PhysicalDeliveryDetails1Rule**

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True

/PhysicalDeliveryDetails Must be absent

- **PhysicalDeliveryDetails2Rule**

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

On Condition

/PhysicalDeliveryIndicator is equal to value 'true'

Or /PhysicalDeliveryIndicator is equal to value '1'

Following Must be True

/PhysicalDeliveryDetails Must be present

55.4.5.19.1 LegIdentification <LegId>

Presence: [0..1]

Definition: Unique technical identifier for the instance of the leg within a switch.

Datatype: "Max35Text" on page 2683

55.4.5.19.2 LegExecutionIdentification <LegExctnId>

Presence: [0..1]

Definition: Unique identifier for the instance of the leg execution within a switch confirmation.

Datatype: "Max35Text" on page 2683

55.4.5.19.3 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class to which the subscription leg of the investment fund order execution is related.

Impacted by: C15 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1436 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1436
	Name <Nm>	[0..1]	Text		1437
	ShortName <ShrtNm>	[0..1]	Text		1437
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1437
	ClassType <ClsTp>	[0..1]	Text		1438
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1438
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1438
	ProductGroup <PdctGrp>	[0..1]	Text		1438
	SeriesIdentification <SrsId>	[0..1]		C30	1438
	SeriesDate <SrsDt>	[0..1]	±		1439
	SeriesName <SrsNm>	[0..1]	Text		1439

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

55.4.5.19.4 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Number of investment fund units subscribed.

Datatype: "DecimalNumber" on page 2680

55.4.5.19.5 NetAmount <NetAmt>

Presence: [0..1]

Definition: Amount of money invested in the fund.

Net Amount = Quantity * Price.

Impacted by: C2 "ActiveCurrency", C8 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

55.4.5.19.6 GrossAmount <GrssAmt>

Presence: [0..1]

Definition: Amount of money to be paid by the investor when subscribing to fund units.

Gross amount = (Quantity * Price) + (Fees + Taxes).

Impacted by: C2 "ActiveCurrency", C8 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

55.4.5.19.7 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [0..1]

Definition: Account impacted by the investment fund order execution.

Impacted by: C20 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1635
	AccountName <AcctNm>	[0..1]	Text		1635
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1635
	OwnerIdentification <OwnrId>	[0..*]	±		1635
	AccountServicer <AcctSvcr>	[0..1]	±		1636
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		1636
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1636

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

55.4.5.19.8 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and time at which a price is applied, according to the terms stated in the prospectus.

TradeDateTime <TradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

55.4.5.19.9 PriceDetails <PricDtls>

Presence: [1..1]

Definition: Price at which the order was executed.

PriceDetails <PricDtls> contains the following elements (see "[UnitPrice22](#)" on page 2230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2231
	Value <Val>	[1..1]	±		2231
	PriceMethod <PricMtd>	[0..1]	CodeSet		2231
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2232
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2232
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2232
{Or	Code <Cd>	[1..1]	CodeSet		2232
Or}	Proprietary <Prtry>	[1..1]	±		2233
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2233

55.4.5.19.10 InformativePriceDetails <InfvtvPricDtls>

Presence: [0..2]

Definition: Other quoted price than the one at which the order was executed.

InformativePriceDetails <InftvPricDtls> contains the following elements (see ["UnitPrice22"](#) on page 2230 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2231
	Value <Val>	[1..1]	±		2231
	PriceMethod <PricMtd>	[0..1]	CodeSet		2231
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2232
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2232
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2232
{Or	Code <Cd>	[1..1]	CodeSet		2232
Or}	Proprietary <Prtry>	[1..1]	±		2233
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2233

55.4.5.19.11 CumDividendIndicator <CumDvddInd>

Presence: [1..1]

Definition: Indicates whether the dividend is included, that is, cum-dividend, in the executed price. When the dividend is not included, the price will be ex-dividend.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

55.4.5.19.12 InterimProfitAmount <IntrmPrftAmt>

Presence: [0..1]

Definition: Part of the price deemed as accrued income or profit rather than capital. The interim profit amount is used for tax purposes.

InterimProfitAmount <IntrmPrftAmt> contains one of the following elements (see ["ProfitAndLoss2Choice"](#) on page 2229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Profit <Prft>	[1..1]	Amount	C2, C10	2229
Or}	Loss <Loss>	[1..1]	Amount	C2, C10	2230

55.4.5.19.13 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: ["IncomePreference1Code"](#) on page 2586

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

55.4.5.19.14 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

55.4.5.19.15 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

55.4.5.19.16 TransactionOverhead <TxOvrhd>

Presence: [0..1]

Definition: Fees (charges/commission) and taxes that are taken into consideration for the transaction, so that the total difference between the net amount and gross amount is known, without taking into account equalisation.

Impacted by: C18 "NonStandardsSLAResourceRule", C30 "TransactionOverheadElementRule"

TransactionOverhead <TxOvrhd> contains the following **TotalFeesAndTaxes40** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C8	1138
	TotalFees <TtlFees>	[0..1]	Amount	C2, C8	1138
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C8	1139
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1139
	IndividualFee <IndvFee>	[0..*]	±	C5	1139
	IndividualTax <IndvTax>	[0..*]	±		1140

Constraints

- **NonStandardsSLAReferenceRule**

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

- **TransactionOverheadElementRule**

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

55.4.5.19.16.1 TotalOverheadApplied <TtlOvrhdApld>

Presence: [0..1]

Definition: Total amount of overhead applied to the transaction that impacts the settlement amount.

Impacted by: C2 "ActiveCurrency", C8 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

55.4.5.19.16.2 TotalFees <TtlFees>

Presence: [0..1]

Definition: Total amount of fees (charge/commissions) applied to the transaction that impacts the settlement amount.

Impacted by: C2 "ActiveCurrency", C8 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

55.4.5.19.16.3 TotalTaxes <TtITaxs>

Presence: [0..1]

Definition: Total amount of taxes applied to the transaction that impacts the settlement amount.

Impacted by: C2 "ActiveCurrency", C8 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

55.4.5.19.16.4 CommercialAgreementReference <ComrcIAgrmtRef>

Presence: [0..1]

Definition: Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.

Datatype: "Max35Text" on page 2683

55.4.5.19.16.5 IndividualFee <IndvFee>

Presence: [0..*]

Definition: Individual fee (charge/commission).

Impacted by: C5 "AppliedAmountRule"

IndividualFee <IndvFee> contains the following elements (see "Fee2" on page 1344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1345
{Or	Code <Cd>	[1..1]	CodeSet		1346
Or}	Proprietary <Prtry>	[1..1]	±		1347
	Basis <Bsis>	[0..1]			1347
{Or	Code <Cd>	[1..1]	CodeSet		1347
Or}	Proprietary <Prtry>	[1..1]	±		1348
	StandardAmount <StdAmt>	[0..1]	Amount	C2, C10	1348
	StandardRate <StdRate>	[0..1]	Rate		1348
	DiscountDetails <DscntDtls>	[0..1]		C14	1348
	Amount <Amt>	[0..1]	Amount	C2, C10	1349
	Rate <Rate>	[0..1]	Rate		1350
	Basis <Bsis>	[0..1]			1350
{Or	Code <Cd>	[1..1]	CodeSet		1350
Or}	Proprietary <Prtry>	[1..1]	±		1350
	AppliedAmount <ApIdAmt>	[0..1]	Amount	C2, C10	1351
	AppliedRate <ApIdRate>	[0..1]	Rate		1351
	NonStandardSLAReference <NonStdSLARef>	[0..1]	Text		1351
	RecipientIdentification <RcptId>	[0..1]	±		1351
	InformativeIndicator <InfvInd>	[1..1]	Indicator		1351

Constraints

- **AppliedAmountRule**

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

On Condition

/InformativeIndicator is equal to value 'false'

Or /InformativeIndicator is equal to value '0'

Following Must be True

/AppliedAmount Must be present

55.4.5.19.16.6 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Individual tax.

IndividualTax <IndvTax> contains the following elements (see "Tax31" on page 2450 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2451
{Or	Code <Cd>	[1..1]	CodeSet		2451
Or}	Proprietary <Prtry>	[1..1]	±		2453
	AppliedAmount <ApldAmt>	[1..1]	Amount	C2, C10	2453
	AppliedRate <ApldRate>	[0..1]	Rate		2453
	Country <Ctry>	[0..1]	CodeSet	C11	2453
	RecipientIdentification <RcptId>	[0..1]	±		2454
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2454
	Basis <Bsis>	[0..1]			2454
{Or	Code <Cd>	[1..1]	CodeSet		2454
Or}	Proprietary <Prtry>	[1..1]	±		2455
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2455

55.4.5.19.17 InformativeTaxDetails <InftvTaxDtls>

Presence: [0..1]

Definition: Additional information about tax that does not have an impact on the transaction overhead.

Impacted by: C29 "TaxElementRule"

InformativeTaxDetails <InftvTaxDtls> contains the following elements (see "InformativeTax1" on page 2438 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C2, C10	2439
	EUCapitalGain <EUCptlGn>	[0..1]			2439
{Or	Code <Cd>	[1..1]	CodeSet		2439
Or}	Proprietary <Prtry>	[1..1]	±		2440
	EUDividendStatus <EUDvddSts>	[0..1]			2440
{Or	Code <Cd>	[1..1]	CodeSet		2440
Or}	Proprietary <Prtry>	[1..1]	±		2440
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2441
	IndividualTax <IndvTax>	[0..*]			2441
	Type <Tp>	[1..1]			2442
{Or	Code <Cd>	[1..1]	CodeSet		2442
Or}	Proprietary <Prtry>	[1..1]	±		2444
	InformativeAmount <InftvAmt>	[0..1]	Amount	C2, C10	2444
	InformativeRate <InftvRate>	[0..1]	Rate		2444
	Country <Ctry>	[0..1]	CodeSet	C11	2445
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2445
	ExemptionReason <XmptnRsn>	[0..1]			2445
{Or	Code <Cd>	[1..1]	CodeSet		2445
Or}	Proprietary <Prtry>	[1..1]	±		2448
	RecipientIdentification <Rcptld>	[0..1]	±		2448
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2449
	Basis <Bsis>	[0..1]			2449
{Or	Code <Cd>	[1..1]	CodeSet		2449
Or}	Proprietary <Prtry>	[1..1]	±		2449
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2450

Constraints

- **TaxElementRule**

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus, PercentageOfDebtClaim, IndividualTax) must be present.

55.4.5.19.18 SettlementAndCustodyDetails <SttlmAndCtdyDtls>

Presence: [0..1]

Definition: Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters12" on page 2285 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2287
	SettlementPlace <SttlmPlc>	[1..1]	±		2287
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2287
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2288
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2288
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2288
	ReceivingSideDetails <RcvgSdDtls>	[0..1]		C27, C28	2288
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2289
	PartyIdentification <PtyId>	[1..1]	±		2290
	AccountIdentification <AcctId>	[0..1]	Text		2290
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2290
	PartyIdentification <PtyId>	[1..1]	±		2290
	AccountIdentification <AcctId>	[0..1]	Text		2291
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2291
	PartyIdentification <PtyId>	[1..1]	±		2291
	AccountIdentification <AcctId>	[0..1]	Text		2291
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2291
	PartyIdentification <PtyId>	[1..1]	±		2292
	AccountIdentification <AcctId>	[0..1]	Text		2292
	DeliveringSideDetails <DlvrgSdDtls>	[1..1]		C12, C13	2292
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2293
	PartyIdentification <PtyId>	[1..1]	±		2294
	AccountIdentification <AcctId>	[0..1]	Text		2294
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2294
	PartyIdentification <PtyId>	[1..1]	±		2294
	AccountIdentification <AcctId>	[0..1]	Text		2295
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2295
	PartyIdentification <PtyId>	[1..1]	±		2295
	AccountIdentification <AcctId>	[0..1]	Text		2295

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2295
	PartyIdentification <PtyId>	[1..1]	±		2296
	AccountIdentification <AcctId>	[0..1]	Text		2296

55.4.5.19.19 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

55.4.5.19.20 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["DeliveryParameters3"](#) on page 2201 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Address <Adr>	[1..1]	±		2201
	IssuedCertificateNumber <IssdCertNb>	[0..1]	Text		2201

55.4.5.19.21 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: ["Max350Text"](#) on page 2683

55.4.5.19.22 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's subscription amount that is held by the fund in order to pay incentive/performance fees at the end of the fiscal year.

Equalisation <Equlstn> contains the following elements (see ["Equalisation1"](#) on page 1770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1770
	Rate <Rate>	[0..1]	Rate		1770

55.4.5.20 CashSettlementDetails <CshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

CashSettlementDetails <CshSttlmDtls> contains the following elements (see "PaymentTransaction71" on page 2117 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInOrOut <CshInOrOut>	[1..1]			2120
{Or	CashInPaymentInstrument <CshInPmtInstrm>	[1..1]			2123
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2125
	Type <Tp>	[1..1]	CodeSet		2125
	Number <Nb>	[1..1]	Text		2126
	HolderName <HldrNm>	[1..1]	Text		2126
	StartDate <StartDt>	[0..1]	YearMonth		2126
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2126
	CardIssuerName <CardIssrNm>	[0..1]	Text		2126
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2126
	SecurityCode <SctyCd>	[0..1]	Text		2127
	SequenceNumber <SeqNb>	[0..1]	Text		2127
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2127
	Reference <Ref>	[0..1]	Text		2127
	Debtor <Dbtr>	[0..1]	±		2127
	DebtorAccount <DbtrAcct>	[0..1]	±		2128
	DebtorAgent <DbtrAgt>	[0..1]	±		2128
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2128
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2128
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2129
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2129
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2129
	CreditorAgent <CdtrAgt>	[1..1]	±		2130
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2130
	Creditor <Cdtr>	[0..1]	±		2130
	CreditorAccount <CdtrAcct>	[1..1]	±		2130
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2131
Or	ChequeDetails <ChqDtls>	[1..1]			2131
	Number <Nb>	[0..1]	Text		2131
	PayeeIdentification <PyeeId>	[1..1]	±		2132

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DraweeIdentification <Drweeld>	[0..1]	±		2132
	DrawerIdentification <Drwrlid>	[0..1]	±		2132
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2132
	Number <Nb>	[0..1]	Text		2133
	PayeeIdentification <Pyeeld>	[1..1]	±		2133
	DraweeIdentification <Drweeld>	[0..1]	±		2133
	DrawerIdentification <Drwrlid>	[0..1]	±		2133
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2134
	AccountIdentification <Acctld>	[0..1]	Text		2134
	Type <Tp>	[0..1]	±		2134
Or}	CashOutPaymentInstrument <CshOutPmtInstrm>	[1..1]			2134
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2135
	Reference <Ref>	[0..1]	Text		2136
	Debtor <Dbtr>	[0..1]	±		2136
	DebtorAccount <DbtrAcct>	[0..1]	±		2136
	DebtorAgent <DbtrAgt>	[0..1]	±		2137
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2137
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2137
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2137
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2138
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2138
	CreditorAgent <CdtrAgt>	[1..1]	±		2138
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2138
	Creditor <Cdtr>	[0..1]	±		2139
	CreditorAccount <CdtrAcct>	[1..1]	±		2139
Or	ChequeDetails <ChqDtls>	[1..1]			2139
	Number <Nb>	[0..1]	Text		2140
	PayeeIdentification <Pyeeld>	[1..1]	±		2140
	DraweeIdentification <Drweeld>	[0..1]	±		2140
	DrawerIdentification <Drwrlid>	[0..1]	±		2140
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2141

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Number <Nb>	[0..1]	Text		2141
	PayeeIdentification <PyeeId>	[1..1]	±		2141
	DraweeIdentification <DrweeId>	[0..1]	±		2141
	DrawerIdentification <DrwrId>	[0..1]	±		2142
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2142
	AccountIdentification <AcctId>	[0..1]	Text		2142
	Type <Tp>	[0..1]	±		2142

55.4.5.21 ForeignExchangeDetails <FXDtls>

Presence: [0..*]

Definition: Information needed to process a currency exchange or conversion.

Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms33](#)" on page 1483 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ToAmount <ToAmt>	[0..1]	Amount	C2, C10	1483
	FromAmount <FrAmt>	[0..1]	Amount	C2, C10	1484
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1484
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1485
	ExchangeRate <XchgRate>	[1..1]	Rate		1485
	QuotationDate <QtnDt>	[0..1]	DateTime		1485
	QuotingInstitution <QtgInstn>	[0..1]	±		1485

55.4.5.22 FinancialAdvice <FinAdvc>

Presence: [0..1]

Definition: Specifies if advice has been received from an independent financial advisor.

Datatype: "[FinancialAdvice1Code](#)" on page 2580

CodeName	Name	Definition
RECE	Received	Advice has been received from an independent financial advisor.
NREC	NotReceived	Advice has not been received from an independent financial advisor.
UKWN	Unknown	It is not known whether or not advice has been received from an independent financial advisor.

55.4.5.23 NegotiatedTrade <NgtdTrad>

Presence: [0..1]

Definition: Specifies whether the trade is negotiated.

Datatype: "[NegotiatedTrade1Code](#)" on page 2609

CodeName	Name	Definition
NEGO	Negotiated	Trade is negotiated.
NNGO	NotNegotiated	Trade is not negotiated.
UNKW	Unknown	Whether the trade is negotiated or not is unknown.

55.4.5.24 LateReport <LateRpt>

Presence: [0..1]

Definition: Specifies whether the order execution confirmation is late.

Datatype: "[LateReport1Code](#)" on page 2606

CodeName	Name	Definition
LAT1	Late	The confirmation is late.
LAT2	LateBecausePartial	The confirmation is late because the trade was executed as a number of partials.

55.4.5.25 CustomerConductClassification <CstmrCndctClssfctn>

Presence: [0..1]

Definition: Assessment of the customer's behaviour at the time of the account opening application.

CustomerConductClassification <CstmrCndctClssfctn> contains one of the following elements (see "CustomerConductClassification1Choice" on page 1630 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1631
Or}	Proprietary <Prtry>	[1..1]	±		1631

55.4.5.26 TransactionChannelType <TxChanlTp>

Presence: [0..1]

Definition: Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanlTp> contains one of the following elements (see "TransactionChannelType1Choice" on page 1864 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1864
Or}	Proprietary <Prtry>	[1..1]	±		1865

55.4.5.27 SignatureType <SgntrTp>

Presence: [0..1]

Definition: Type of signature.

SignatureType <SgntrTp> contains one of the following elements (see "SignatureType1Choice" on page 1813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1813
Or}	Proprietary <Prtry>	[1..1]	±		1814

55.4.5.28 OrderWaiverDetails <OrdWvrDtls>

Presence: [0..1]

Definition: Information about a non-standard order.

Impacted by: C31 "WaiverElementRule"

OrderWaiverDetails <OrdrWvrDtls> contains the following elements (see "[OrderWaiver1](#)" on page 1769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderWaiverReason <OrdrWvrRsn>	[0..*]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1769
	InformationValue <InfVal>	[0..1]	Text		1770

Constraints

- **WaiverElementRule**

Either OrderWaiverReason or InformationValue must be present. Both may be present.

55.4.6 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation4](#)" on page 1819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1819
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1819

55.4.7 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: [C13 "ExtensionRule"](#)

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

56 **setr.016.001.04**

OrderInstructionStatusReportV04

56.1 MessageDefinition Functionality

Scope

The OrderInstructionStatusReport message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to report the status of an order from the time the executing party receives the order until the time the order is executed.

Usage

The OrderInstructionStatusReport message is used to report on the status of a subscription, redemption or a switch order.

The OrderInstructionStatusReport message may be used to give the status of:

- one order message by using OrderDetailsReport or,
- one or more individual order instructions by using IndividualOrderDetailsReport, or
- one or more switch orders by using SwitchOrderDetailsReport.

If the OrderInstructionStatusReport message is used to report the status of an individual order, then IndividualOrderDetailsReport is used and the order reference of the individual order is quoted in OrderReference. The message identification of the message in which the individual order was conveyed may also be quoted in RelatedReference but this is not recommended.

If the OrderInstructionStatusReport message is used to report the status of a switch order, then SwitchOrderDetailsReport is used and the order reference of the switch order is quoted in OrderReference. The message identification of the message in which the switch order was conveyed may also be quoted in RelatedReference but this is not recommended.

If the OrderInstructionStatusReport message is used to report the status of an entire order message, for example, the SubscriptionBulkOrder, or a SubscriptionOrder containing several orders, then OrderDetailsReport is used and the message identification of the order message is quoted in RelatedReference. All the orders within the message must have the same status.

One of the following statuses can be reported:

- an accepted status, or,
- an already executed status, or,
- a sent to next party status, or,
- a received status, or,
- a settled status, or,
- a communication problem with next party status, or,

- a confirmation amendment status, or,
- a done for the day status, or,
- a partially done status, or,
- an open status, or,
- a cancelled status, or
- a conditionally accepted status, or,
- a rejected status, or,
- a suspended status, or,
- a partially settled status, or,
- an in-repair status (only for an individual or switch order).

For a switch order, the OrderInstructionStatusReport message provides the status of the whole switch order, that is, it is not possible to accept one leg and to reject the other leg: the entire switch order has to be rejected. In order to identify the legs within the switch that are causing the problem, the leg is identified in either the RedemptionLegIdentification or SubscriptionLegIdentification elements.

When the OrderInstructionStatusReport is used to give the status of an individual or a switch order, the following can be specified:

- repaired conditions (for a switch, this is at the level of a leg),
- information related to the order, for example, settlement amount, number of units, expected trade date.

Outline

The OrderInstructionStatusReportV04 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. Reference

Reference to the message or communication that was previously received.

C. StatusReport

Status of the order.

D. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

56.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <OrdrInstrStsRpt>	[1..1]		C14, C17	
	MessageIdentification <MsgId>	[1..1]	±		1157
	Reference <Ref>	[0..1]	±		1157
	StatusReport <StsRpt>	[1..1]	±		1157
	Extension <Xtnsn>	[0..*]	±	C11	1159

56.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalInformationRule

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C5 CancelledElementRule

Either Reason or AdditionalInformation must be present. Both may be present.

C6 CommercialAgreementRule

If CommercialAgreementReference is present, then NewCommercialAgreementReferenceIndicator must be present too.

C7 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C8 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 DateElementRule

Either ExpectedTradeDateTime or ExpectedCashSettlementDate must be present. Both may be present.

C11 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C12 FeeElementRule

One of the elements (Type, RepairedStandardAmount, RepairedStandardRate, RepairedDiscountAmount, RepairedDiscountRate, RepairedRequestedAmount, RepairedRequestedRate, CommercialAgreementReference, NewCommercialAgreementReferenceIndicator) must be present.

C13 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C14 MessageNameAndReferenceGuideline

If Reference/RelatedReference/MessageName or Reference/OtherReference/MessageName is present, it must contain 502 or must start with setr.001, setr.004, setr.007, setr.010, setr.013, setr.019 or setr.018 and Reference/RelatedReference/Reference must contain the message reference of a message in this list.

This constraint is defined at the MessageDefinition level.

C15 OrderDataElementRule

One of the elements (InvestmentAccountDetails, FinancialInstrumentDetails, UnitsNumber, NetAmount, GrossAmount, HoldingsRedemptionRate, SettlementAmount, UnitCurrency, QuotedCurrency) must be present.

C16 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C17 OtherReferenceGuideline

Reference/OtherReference should be used to reference an order instruction sent in a proprietary way or used for a system reference. If Reference/OtherReference is present, Reference/OtherReference/MessageName must contain 'fax' or 'phone' or 'email', etc.

This constraint is defined at the MessageDefinition level.

C18 RejectedElementRule

Either Reason or AdditionalInformation must be present. Both may be present.

C19 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

C20 SwitchOrderDataElementRule

One of the elements (SettlementAmount, SettlementMethod, AdditionalAmount, UnitCurrency, QuotedCurrency) must be present.

56.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

56.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

56.4.2 Reference <Ref>

Presence: [0..1]

Definition: Reference to the message or communication that was previously received.

Reference <Ref> contains one of the following elements (see "[References61Choice](#)" on page 1496 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	RelatedReference <RltdRef>	[1..2]	±		1496
Or}	OtherReference <OthrRef>	[1..2]	±		1497

56.4.3 StatusReport <StsRpt>

Presence: [1..1]

Definition: Status of the order.

StatusReport <StsRpt> contains one of the following elements (see "Status24Choice" on page 2354 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrderDetailsReport <OrdrDtlsRpt>	[1..1]	±		2356
Or	IndividualOrderDetailsReport <IndvOrdrDtlsRpt>	[1..*]			2357
	MasterReference <MstrRef>	[0..1]	Text		2357
	OrderReference <OrdrRef>	[1..1]	Text		2357
	ClientReference <CIntRef>	[0..1]	Text		2358
	DealReference <DealRef>	[0..1]	Text		2358
	CancellationReference <CxlRef>	[0..1]	Text		2358
	OrderStatus <OrdrSts>	[1..1]			2358
{Or	Status <Sts>	[1..1]	CodeSet		2358
Or	Cancelled <Canc>	[1..1]	±	C3, C5	2359
Or	ConditionallyAccepted <CondlyAccptd>	[1..1]	±		2360
Or	Rejected <Rjctd>	[1..10]	±	C18	2360
Or	Suspended <Sspd>	[1..1]	±		2361
Or	InRepair <InRpr>	[1..1]	±		2361
Or}	PartiallySettled <PrtlyStltd>	[1..1]	±		2361
	RepairedFee <RprdFee>	[0..10]	±	C6, C12	2362
	StatusInitiator <StsIntr>	[0..1]	±		2363
	OrderData <OrdrData>	[0..1]	±	C15	2363
	NewDetails <NewDtls>	[0..1]	±	C10	2364
	GatingOrHoldBackDetails <GtgOrHldBckDtls>	[0..1]	±		2364
Or}	SwitchOrderDetailsReport <SwchOrdrDtlsRpt>	[1..*]			2364
	MasterReference <MstrRef>	[0..1]	Text		2365
	OrderReference <OrdrRef>	[1..1]	Text		2365
	ClientReference <CIntRef>	[0..1]	Text		2366
	DealReference <DealRef>	[0..1]	Text		2366
	CancellationReference <CxlRef>	[0..1]	Text		2366
	OrderStatus <OrdrSts>	[1..1]			2366
{Or	Status <Sts>	[1..1]	CodeSet		2366
Or	Cancelled <Canc>	[1..1]	±	C3, C5	2367
Or	ConditionallyAccepted <CondlyAccptd>	[1..1]	±		2368

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	Rejected <Rjctd>	[1..10]	±	C18	2368
Or	Suspended <Sspd>	[1..1]	±		2368
Or	InRepair <InRpr>	[1..1]	±		2369
Or}	PartiallySettled <PrtlySttld>	[1..1]	±		2369
	LegInformation <LegInf>	[0..*]			2370
	LegIdentification <LegId>	[1..1]			2370
{Or	RedemptionLegIdentification <RedLegId>	[1..1]	Text		2370
Or}	SubscriptionLegIdentification <SbcptLegId>	[1..1]	Text		2370
	LegRejectionReason <LegRjctnRsn>	[0..1]	Text		2370
	RepairedFee <RprdFee>	[0..10]	±	C6, C12	2370
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	2371
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C17	2372
	StatusInitiator <StsInitr>	[0..1]	±		2373
	OrderData <OrdData>	[0..1]	±	C20	2373
	NewDetails <NewDtls>	[0..1]	±		2373

56.4.4 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C11 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

57 **setr.017.001.04**

OrderCancellationStatusReportV04

57.1 MessageDefinition Functionality

Scope

The OrderCancellationStatusReport message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to report the status of an order cancellation request that was previously received.

Usage

The OrderCancellationStatusReport message is used to provide the status of:

- one or more individual order cancellation requests by using IndividualCancellationStatusReport, or,
- an order cancellation request message by using CancellationStatusReport.

If the OrderCancellationStatusReport message is used to report the status of an individual order cancellation request, then the repetitive IndividualCancellationStatusReport sequence is used and the order reference of the individual order is quoted in OrderReference. The message identification of the message in which the individual order was conveyed may also be quoted in RelatedReference but this is not recommended.

If the OrderCancellationStatusReport message is used to report the status of an entire order cancellation request message, for example, the SubscriptionBulkOrderCancellationRequest, or a SubscriptionOrderCancellationRequest containing several orders, then the CancellationStatusReport sequence is used. The message identification of the order cancellation request message may also be quoted in RelatedReference but this is not recommended. All the order cancellation requests within the message must have the same status.

One of the following statuses can be reported:

- the order cancellation is pending, or,
- the order cancellation request is rejected, or,
- the order is cancelled.

When the cancellation is rejected, the reason for the rejection must be specified.

Outline

The OrderCancellationStatusReportV04 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. Reference

Reference to the message or communication that was previously received.

C. StatusReport

Status of the order cancellation.

D. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

57.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <OrdxCxlStsRpt>	[1..1]		C5, C7	
	MessageIdentification <MsgId>	[1..1]	±		1162
	Reference <Ref>	[0..1]	±		1162
	StatusReport <StsRpt>	[1..1]	±		1162
	Extension <Xtnsn>	[0..*]	±	C3	1163

57.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C4 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C5 MessageNameAndReferenceGuideline

If Reference/RelatedReference/MessageName or Reference/OtherReference/MessageName is present, it must contain 502 or must start with setr.002, setr.005, setr.008, setr.011, setr.014 or setr.018 and Reference/RelatedReference/Reference must contain the message reference of a message in this list.

This constraint is defined at the MessageDefinition level.

C6 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C7 OtherReferenceGuideline

OtherReference should be used to reference an order cancellation 'message' sent in a proprietary way or used for a system reference. If OtherReference is present, OtherReference/MessageName must contain 'fax' or 'phone' or 'email', etc.

This constraint is defined at the MessageDefinition level.

C8 ReasonElementRule

Either Reason or AdditionalInformation must be present. Both may be present.

C9 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

57.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

57.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

57.4.2 Reference <Ref>

Presence: [0..1]

Definition: Reference to the message or communication that was previously received.

Reference <Ref> contains one of the following elements (see "[References61Choice](#)" on page 1496 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	RelatedReference <RltdRef>	[1..2]	±		1496
Or}	OtherReference <OthrRef>	[1..2]	±		1497

57.4.3 StatusReport <StsRpt>

Presence: [1..1]

Definition: Status of the order cancellation.

StatusReport <StsRpt> contains one of the following elements (see "Status26Choice" on page 2349 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CancellationStatusReport <Cx/StsRpt>	[1..1]			2349
	MasterReference <MstrRef>	[0..1]	Text		2350
	CancellationStatus <Cx/Sts>	[1..1]	±		2350
	StatusInitiator <StsInitr>	[0..1]	±		2350
Or}	IndividualCancellationStatusReport <IndvCx/StsRpt>	[1..*]			2350
	MasterReference <MstrRef>	[0..1]	Text		2351
	OrderReference <OrdRef>	[1..1]	Text		2351
	ClientReference <CIntRef>	[0..1]	Text		2351
	CancellationReference <Cx/Ref>	[0..1]	Text		2351
	CancellationStatus <Cx/Sts>	[1..1]	±		2351
	StatusInitiator <StsInitr>	[0..1]	±		2352
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	2352
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C17	2352

57.4.4 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C3 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

58 **setr.018.001.04**

RequestForOrderStatusReportV04

58.1 MessageDefinition Functionality

Scope

The RequestForOrderStatusReport message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the status of one or more order instructions or order cancellation requests.

Usage

The RequestForOrderStatusReport message is used to request the status of:

- one or several individual order instructions, or,
- one or several order messages, or
- one or several individual order cancellation requests, or,
- one or several order cancellation request messages.

The response to a RequestForOrderStatusReport message is the OrderInstructionStatusReport message or OrderCancellationStatusReport message.

If the RequestForOrderStatusReport message is used to request the status of several individual order instructions or one or more order instruction messages, then the instructing party may receive several OrderInstructionStatusReport messages from the executing party.

If the RequestForOrderStatusReport message is used to request the status of several individual order cancellation requests or one or more order cancellation messages, then the instructing party may receive several OrderCancellationStatusReport messages from the executing party.

When the RequestForOrderStatusReport is used to request the status of one or more individual orders or order cancellations, each individual order is identified with its order reference. The investment account and/or financial instrument related to the order can also be identified. The message identification of the message in which the individual order was conveyed may also be quoted in PreviousReference.

When the RequestForOrderStatusReport is used to request the status of an order message or an order cancellations request message, then the message identification of the order or cancellation message is identified in PreviousReference.

The RequestForOrderStatusReport message may not be used to request the status of an investment account, a transfer or the status of a financial instrument.

Outline

The RequestForOrderStatusReportV04 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. RequestDetails

Information to identify the order(s) for which the status is requested.

C. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

58.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ReqForOrdrStsRpt>	[1..1]		C6, C7	
	MessageIdentification <MsgId>	[1..1]	±		1166
	RequestDetails <ReqDtls>	[1..*]		C8	1166
	Reference <Ref>	[0..1]	±		1167
	RelatedReference <RltdRef>	[0..1]	±		1167
	OrderReference <OrdrRef>	[0..*]			1168
	MasterReference <MstrRef>	[0..1]	Text		1168
	OrderReference <OrdrRef>	[1..1]	Text		1168
	ClientReference <ClnRef>	[0..1]	Text		1168
	CancellationReference <CxlRef>	[0..1]	Text		1169
	DealReference <DealRef>	[0..1]	Text		1169
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C5	1169
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C4	1169
	Extension <Xtnsn>	[0..*]	±	C3	1170

58.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C4 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C5 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C6 OtherReferenceGuideline

RequestDetails/Reference/OtherReference should be used to reference an order instruction or cancellation 'message' sent in a proprietary way or used for a system reference. If RequestDetails/Reference/OtherReference is present, RequestDetails/Reference/OtherReference/MessageName must contain 'fax' or 'phone' or 'email', etc.

This constraint is defined at the MessageDefinition level.

C7 PreviousReferenceGuideline

If RequestDetails/Reference/PreviousReference/MessageName is present, it must contain 502 or must start with setr.001, setr.002, setr.004, setr.005, setr.007, setr.008, setr.010, setr.011, setr.013 or setr.014 and RequestDetails/Reference/PreviousReference/Reference must contain the reference of a message in this list.

This constraint is defined at the MessageDefinition level.

C8 ReferenceElementRule

One of the elements (Reference, RelatedReference, OrderReference) must be present.

C9 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

58.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

58.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

58.4.2 RequestDetails <ReqDtls>

Presence: [1..*]

Definition: Information to identify the order(s) for which the status is requested.

Impacted by: C8 "ReferenceElementRule"

RequestDetails <ReqDtls> contains the following **MessageAndBusinessReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	±		1167
	RelatedReference <RltdRef>	[0..1]	±		1167
	OrderReference <OrdrRef>	[0..*]			1168
	MasterReference <MstrRef>	[0..1]	Text		1168
	OrderReference <OrdrRef>	[1..1]	Text		1168
	ClientReference <ClntRef>	[0..1]	Text		1168
	CancellationReference <CxlRef>	[0..1]	Text		1169
	DealReference <DealRef>	[0..1]	Text		1169
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C5	1169
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C4	1169

Constraints

- **ReferenceElementRule**

One of the elements (Reference, RelatedReference, OrderReference) must be present.

58.4.2.1 Reference <Ref>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

Reference <Ref> contains one of the following elements (see "References62Choice" on page 1496 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PreviousReference <PrvsRef>	[1..2]	±		1496
Or}	OtherReference <OthrRef>	[1..2]	±		1496

58.4.2.2 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference8"](#) on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

58.4.2.3 OrderReference <OrdRef>

Presence: [0..*]

Definition: Unique and unambiguous identifiers of one or more individual order instructions or individual order cancellation requests.

OrderReference <OrdRef> contains the following **InvestmentFundOrder8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		1168
	OrderReference <OrdRef>	[1..1]	Text		1168
	ClientReference <CIntRef>	[0..1]	Text		1168
	CancellationReference <CxlRef>	[0..1]	Text		1169
	DealReference <DealRef>	[0..1]	Text		1169
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C5	1169
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C4	1169

58.4.2.3.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: ["Max35Text"](#) on page 2683

58.4.2.3.2 OrderReference <OrdRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: ["Max35Text"](#) on page 2683

58.4.2.3.3 ClientReference <CIntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: ["Max35Text"](#) on page 2683

58.4.2.3.4 CancellationReference <CxlRef>*Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order cancellation, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2683**58.4.2.3.5 DealReference <DealRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order execution, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2683**58.4.2.3.6 InvestmentAccountDetails <InvstmtAcctDtls>***Presence:* [0..1]*Definition:* Account information of the individual order instruction or individual order cancellation request for which the status is requested.*Impacted by:* C5 "OrderOriginatorEligibility2Rule"**InvestmentAccountDetails <InvstmtAcctDtls>** contains the following elements (see "InvestmentAccount58" on page 1635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1635
	AccountName <AcctNm>	[0..1]	Text		1635
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1635
	OwnerIdentification <OwnrId>	[0..*]	±		1635
	AccountServicer <AcctSvcr>	[0..1]	±		1636
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		1636
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1636

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

58.4.2.3.7 FinancialInstrumentDetails <FinInstrmDtls>*Presence:* [0..1]*Definition:* Financial instrument information of the individual order or individual order cancellation request for which the status is requested.*Impacted by:* C4 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1436 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1436
	Name <Nm>	[0..1]	Text		1437
	ShortName <ShrtNm>	[0..1]	Text		1437
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1437
	ClassType <ClsTp>	[0..1]	Text		1438
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1438
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1438
	ProductGroup <PdctGrp>	[0..1]	Text		1438
	SeriesIdentification <SrsId>	[0..1]		C30	1438
	SeriesDate <SrsDt>	[0..1]	±		1439
	SeriesName <SrsNm>	[0..1]	Text		1439

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

58.4.3 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C3 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

59 setr.047.001.02 SubscriptionOrderConfirmationCancellationInstructionV02

59.1 MessageDefinition Functionality

Scope

The SubscriptionOrderConfirmationCancellationInstruction message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent SubscriptionOrderConfirmation.

Usage

To request the cancellation of one or more individual order executions, the order reference and deal reference of each individual order execution in the original SubscriptionOrderConfirmation are specified in the order reference and deal reference elements respectively. The message identification of the SubscriptionOrderConfirmation message in which the individual order execution was conveyed may also be quoted in PreviousReference but this is not recommended. The AmendmentIndicator is used to specify whether the subscription order confirmation cancellation is to be followed by an amendment. An amendment of a subscription order confirmation is carried out by sending a SubscriptionOrderConfirmation message in which the AmendmentIndicator contains the value 'true'.

Outline

The SubscriptionOrderConfirmationCancellationInstructionV02 MessageDefinition is composed of 8 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. AmendmentIndicator

Indicates whether a confirmation amendment message will follow the confirmation cancellation instruction or not.

F. MasterReference

Reference assigned to a set of orders or trades in order to link them together.

G. OrderReferences

Identification of the individual order confirmation to be cancelled.

H. CopyDetails

Information provided when the message is a copy of a previous message.

59.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root <Document> <SbcptOrdrConfCxlInstr></i>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		1172
	PoolReference <PoolRef>	[0..1]	±		1173
	PreviousReference <PrvsRef>	[0..*]	±		1173
	RelatedReference <RltdRef>	[0..1]	±		1173
	AmendmentIndicator <AmdmntInd>	[1..1]	Indicator		1174
	MasterReference <MstrRef>	[0..1]	Text		1174
	OrderReferences <OrdrRefs>	[1..*]			1174
	OrderReference <OrdrRef>	[1..1]	Text		1174
	ClientReference <ClntRef>	[0..1]	Text		1175
	DealReference <DealRef>	[0..1]	Text		1175
	CancellationReference <CxlRef>	[0..1]	Text		1175
	CancellationReason <CxlRsn>	[0..1]	±		1175
	CopyDetails <CpyDtls>	[0..1]	±		1175

59.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

59.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

59.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

59.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1498 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1498
	ReferenceIssuer <RefIssr>	[0..1]	±		1498
	MessageName <MsgNm>	[0..1]	Text		1498

59.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference8](#)" on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

59.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference8" on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

59.4.5 AmendmentIndicator <AmdmntInd>

Presence: [1..1]

Definition: Indicates whether a confirmation amendment message will follow the confirmation cancellation instruction or not.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

59.4.6 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: "Max35Text" on page 2683

59.4.7 OrderReferences <OrdrRefs>

Presence: [1..*]

Definition: Identification of the individual order confirmation to be cancelled.

OrderReferences <OrdrRefs> contains the following **InvestmentFundOrder11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		1174
	ClientReference <ClntRef>	[0..1]	Text		1175
	DealReference <DealRef>	[0..1]	Text		1175
	CancellationReference <CxlRef>	[0..1]	Text		1175
	CancellationReason <CxlRsn>	[0..1]	±		1175

59.4.7.1 OrderReference <OrdrRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

59.4.7.2 ClientReference <ClntRef>*Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.*Datatype:* "Max35Text" on page 2683**59.4.7.3 DealReference <DealRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order execution, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2683**59.4.7.4 CancellationReference <CxlRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order confirmation cancellation, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2683**59.4.7.5 CancellationReason <CxlRsn>***Presence:* [0..1]*Definition:* Reason for the cancellation of the confirmation.**CancellationReason <CxlRsn>** contains one of the following elements (see "CancellationReason31Choice" on page 2374 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2374
Or}	Proprietary <Prtry>	[1..1]	±		2375

59.4.8 CopyDetails <CpyDtls>*Presence:* [0..1]*Definition:* Information provided when the message is a copy of a previous message.**CopyDetails <CpyDtls>** contains the following elements (see "CopyInformation4" on page 1819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1819
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1819

60 setr.049.001.02 SubscriptionBulkOrderConfirmationCancellationInstructionV02

60.1 MessageDefinition Functionality

Scope

The SubscriptionBulkOrderConfirmationCancellationInstruction message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent SubscriptionBulkOrderConfirmation.

Usage

To request the cancellation of one or more individual order executions, the order reference and deal reference of each individual order execution in the original SubscriptionBulkOrderConfirmation are specified in the order reference and deal reference elements respectively. The message identification of the SubscriptionBulkOrderConfirmation message in which the individual order execution was conveyed may also be quoted in PreviousReference but this is not recommended. The AmendmentIndicator is used to specify whether the subscription bulk order confirmation cancellation is to be followed by an amendment. An amendment of a subscription bulk order confirmation is carried out by sending a SubscriptionBulkOrderConfirmation message in which the AmendmentIndicator contains the value 'true'.

Outline

The SubscriptionBulkOrderConfirmationCancellationInstructionV02 MessageDefinition is composed of 8 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. AmendmentIndicator

Indicates whether a confirmation amendment message will follow the confirmation cancellation instruction or not.

F. MasterReference

Reference assigned to a set of orders or trades in order to link them together.

G. OrderReferences

Identification of the individual order confirmation to be cancelled.

H. CopyDetails

Message is a copy.

60.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SbcptBlkOrdConfCxlInstr>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		1177
	PoolReference <PoolRef>	[0..1]	±		1178
	PreviousReference <PrvsRef>	[0..*]	±		1178
	RelatedReference <RltdRef>	[0..1]	±		1178
	AmendmentIndicator <AmdmntInd>	[1..1]	Indicator		1179
	MasterReference <MstrRef>	[0..1]	Text		1179
	OrderReferences <OrdRefs>	[1..*]			1179
	OrderReference <OrdRef>	[1..1]	Text		1179
	ClientReference <ClntRef>	[0..1]	Text		1180
	DealReference <DealRef>	[0..1]	Text		1180
	CancellationReference <CxlRef>	[0..1]	Text		1180
	CancellationReason <CxlRsn>	[0..1]	±		1180
	CopyDetails <CpyDtls>	[0..1]	±		1180

60.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

60.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

60.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "MessageIdentification1" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

60.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "AdditionalReference9" on page 1498 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1498
	ReferenceIssuer <RefIssr>	[0..1]	±		1498
	MessageName <MsgNm>	[0..1]	Text		1498

60.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference8" on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

60.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference8"](#) on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

60.4.5 AmendmentIndicator <AmdmntInd>

Presence: [1..1]

Definition: Indicates whether a confirmation amendment message will follow the confirmation cancellation instruction or not.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

60.4.6 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: ["Max35Text"](#) on page 2683

60.4.7 OrderReferences <OrdRefs>

Presence: [1..*]

Definition: Identification of the individual order confirmation to be cancelled.

OrderReferences <OrdRefs> contains the following **InvestmentFundOrder11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdRef>	[1..1]	Text		1179
	ClientReference <ClntRef>	[0..1]	Text		1180
	DealReference <DealRef>	[0..1]	Text		1180
	CancellationReference <CxIRef>	[0..1]	Text		1180
	CancellationReason <CxIRsn>	[0..1]	±		1180

60.4.7.1 OrderReference <OrdRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: ["Max35Text"](#) on page 2683

60.4.7.2 ClientReference <CIntRef>*Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.*Datatype:* "Max35Text" on page 2683**60.4.7.3 DealReference <DealRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order execution, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2683**60.4.7.4 CancellationReference <CxlRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order confirmation cancellation, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2683**60.4.7.5 CancellationReason <CxlRsn>***Presence:* [0..1]*Definition:* Reason for the cancellation of the confirmation.**CancellationReason <CxlRsn>** contains one of the following elements (see "CancellationReason31Choice" on page 2374 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2374
Or}	Proprietary <Prtry>	[1..1]	±		2375

60.4.8 CopyDetails <CpyDtls>*Presence:* [0..1]*Definition:* Message is a copy.**CopyDetails <CpyDtls>** contains the following elements (see "CopyInformation4" on page 1819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1819
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1819

61 setr.051.001.02 RedemptionOrderConfirmationCancellationInstructionV02

61.1 MessageDefinition Functionality

Scope

The RedemptionOrderConfirmationCancellationInstruction message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent RedemptionOrderConfirmation.

Usage

To request the cancellation of one or more individual order executions, the order reference and deal reference of each individual order execution in the original RedemptionOrderConfirmation are specified in the order reference and deal reference elements respectively. The message identification of the RedemptionOrderConfirmation message in which the individual order execution was conveyed may also be quoted in PreviousReference but this is not recommended. The AmendmentIndicator is used to specify whether the redemption order confirmation cancellation is to be followed by an amendment. An amendment of a redemption order confirmation is carried out by sending a RedemptionOrderConfirmation message in which the AmendmentIndicator contains the value 'true'.

Outline

The RedemptionOrderConfirmationCancellationInstructionV02 MessageDefinition is composed of 8 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. AmendmentIndicator

Indicates whether a confirmation amendment message will follow the confirmation cancellation instruction or not.

F. MasterReference

Reference assigned to a set of orders or trades in order to link them together.

G. OrderReferences

Identification of the individual order confirmation to be cancelled.

H. CopyDetails

Information provided when the message is a copy of a previous message.

61.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <RedOrdConfCxlInstr>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		1182
	PoolReference <PoolRef>	[0..1]	±		1183
	PreviousReference <PrvsRef>	[0..*]	±		1183
	RelatedReference <RltdRef>	[0..1]	±		1183
	AmendmentIndicator <AmdmntInd>	[1..1]	Indicator		1184
	MasterReference <MstrRef>	[0..1]	Text		1184
	OrderReferences <OrdRefs>	[1..*]			1184
	OrderReference <OrdRef>	[1..1]	Text		1184
	ClientReference <ClntRef>	[0..1]	Text		1185
	DealReference <DealRef>	[0..1]	Text		1185
	CancellationReference <CxlRef>	[0..1]	Text		1185
	CancellationReason <CxlRsn>	[0..1]	±		1185
	CopyDetails <CpyDtls>	[0..1]	±		1185

61.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

61.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

61.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

61.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1498 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1498
	ReferenceIssuer <RefIssr>	[0..1]	±		1498
	MessageName <MsgNm>	[0..1]	Text		1498

61.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference8](#)" on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

61.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference8"](#) on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

61.4.5 AmendmentIndicator <AmdmntInd>

Presence: [1..1]

Definition: Indicates whether a confirmation amendment message will follow the confirmation cancellation instruction or not.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

61.4.6 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: ["Max35Text"](#) on page 2683

61.4.7 OrderReferences <OrdRefs>

Presence: [1..*]

Definition: Identification of the individual order confirmation to be cancelled.

OrderReferences <OrdRefs> contains the following **InvestmentFundOrder11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdRef>	[1..1]	Text		1184
	ClientReference <ClnRef>	[0..1]	Text		1185
	DealReference <DealRef>	[0..1]	Text		1185
	CancellationReference <CxlRef>	[0..1]	Text		1185
	CancellationReason <CxlRsn>	[0..1]	±		1185

61.4.7.1 OrderReference <OrdRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: ["Max35Text"](#) on page 2683

61.4.7.2 ClientReference <CIntRef>*Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.*Datatype:* "Max35Text" on page 2683**61.4.7.3 DealReference <DealRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order execution, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2683**61.4.7.4 CancellationReference <CxlRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order confirmation cancellation, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2683**61.4.7.5 CancellationReason <CxlRsn>***Presence:* [0..1]*Definition:* Reason for the cancellation of the confirmation.**CancellationReason <CxlRsn>** contains one of the following elements (see "CancellationReason31Choice" on page 2374 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2374
Or}	Proprietary <Prtry>	[1..1]	±		2375

61.4.8 CopyDetails <CpyDtls>*Presence:* [0..1]*Definition:* Information provided when the message is a copy of a previous message.**CopyDetails <CpyDtls>** contains the following elements (see "CopyInformation4" on page 1819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1819
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1819

62 setr.053.001.02 RedemptionBulkOrderConfirmationCancellationInstructionV02

62.1 MessageDefinition Functionality

Scope

The RedemptionBulkOrderConfirmationCancellationInstruction message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent RedemptionBulkOrderConfirmation.

Usage

To request the cancellation of one or more individual order executions, the order reference and deal reference of each individual order execution in the original RedemptionBulkOrderConfirmation are specified in the order reference and deal reference elements respectively. The message identification of the RedemptionBulkOrderConfirmation message in which the individual order execution was conveyed may also be quoted in PreviousReference but this is not recommended. The AmendmentIndicator is used to specify whether the redemption order confirmation cancellation is to be followed by an amendment. An amendment of a redemption order confirmation is carried out by sending a RedemptionBulkOrderConfirmation message in which the AmendmentIndicator contains the value 'true'.

Outline

The RedemptionBulkOrderConfirmationCancellationInstructionV02 MessageDefinition is composed of 8 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. AmendmentIndicator

Indicates whether a confirmation amendment message will follow the confirmation cancellation instruction or not.

F. MasterReference

Reference assigned to a set of orders or trades in order to link them together.

G. OrderReferences

Identification of the individual order confirmation to be cancelled.

H. CopyDetails

Information provided when the message is a copy of a previous message.

62.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root <Document> <RedBlkOrdRConfCxlInstr></i>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		1187
	PoolReference <PoolRef>	[0..1]	±		1188
	PreviousReference <PrvsRef>	[0..*]	±		1188
	RelatedReference <RltdRef>	[0..1]	±		1188
	AmendmentIndicator <AmdmntInd>	[1..1]	Indicator		1189
	MasterReference <MstrRef>	[0..1]	Text		1189
	OrderReferences <OrdRRefs>	[1..*]			1189
	OrderReference <OrdRRef>	[1..1]	Text		1189
	ClientReference <ClntRef>	[0..1]	Text		1190
	DealReference <DealRef>	[0..1]	Text		1190
	CancellationReference <CxlRef>	[0..1]	Text		1190
	CancellationReason <CxlRsn>	[0..1]	±		1190
	CopyDetails <CpyDtls>	[0..1]	±		1190

62.3 Constraints**C1 AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

62.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

62.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "MessageIdentification1" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

62.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "AdditionalReference9" on page 1498 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1498
	ReferenceIssuer <RefIssr>	[0..1]	±		1498
	MessageName <MsgNm>	[0..1]	Text		1498

62.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference8" on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

62.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference8"](#) on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

62.4.5 AmendmentIndicator <AmdmntInd>

Presence: [1..1]

Definition: Indicates whether a confirmation amendment message will follow the confirmation cancellation instruction or not.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

62.4.6 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: ["Max35Text"](#) on page 2683

62.4.7 OrderReferences <OrdRefs>

Presence: [1..*]

Definition: Identification of the individual order confirmation to be cancelled.

OrderReferences <OrdRefs> contains the following **InvestmentFundOrder11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdRef>	[1..1]	Text		1189
	ClientReference <ClntRef>	[0..1]	Text		1190
	DealReference <DealRef>	[0..1]	Text		1190
	CancellationReference <CxlRef>	[0..1]	Text		1190
	CancellationReason <CxlRsn>	[0..1]	±		1190

62.4.7.1 OrderReference <OrdRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: ["Max35Text"](#) on page 2683

62.4.7.2 ClientReference <CIntRef>*Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.*Datatype:* "Max35Text" on page 2683**62.4.7.3 DealReference <DealRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order execution, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2683**62.4.7.4 CancellationReference <CxlRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order confirmation cancellation, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2683**62.4.7.5 CancellationReason <CxlRsn>***Presence:* [0..1]*Definition:* Reason for the cancellation of the confirmation.**CancellationReason <CxlRsn>** contains one of the following elements (see "CancellationReason31Choice" on page 2374 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2374
Or}	Proprietary <Prtry>	[1..1]	±		2375

62.4.8 CopyDetails <CpyDtls>*Presence:* [0..1]*Definition:* Information provided when the message is a copy of a previous message.**CopyDetails <CpyDtls>** contains the following elements (see "CopyInformation4" on page 1819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1819
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1819

63 setr.055.001.02 SwitchOrderConfirmationCancellationInstructionV02

63.1 MessageDefinition Functionality

Scope

The SwitchOrderConfirmationCancellationInstruction message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent SwitchOrderConfirmation.

Usage

To request the cancellation of one or more individual order executions, the order reference and deal reference of each individual order execution in the original SwitchOrderConfirmation are specified in the order reference and deal reference elements respectively. The message identification of the SwitchOrderConfirmation message in which the individual order execution was conveyed may also be quoted in PreviousReference but this is not recommended. The AmendmentIndicator is used to specify whether the switch order confirmation cancellation is to be followed by an amendment. An amendment of a switch order confirmation is carried out by sending a SwitchOrderConfirmation message in which the AmendmentIndicator contains the value 'true'.

Outline

The SwitchOrderConfirmationCancellationInstructionV02 MessageDefinition is composed of 8 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. AmendmentIndicator

Indicates whether a confirmation amendment message will follow the confirmation cancellation instruction or not.

F. MasterReference

Reference assigned to a set of orders or trades in order to link them together.

G. OrderReferences

Identification of the individual order confirmation to be cancelled.

H. CopyDetails

Information provided when the message is a copy of a previous message.

63.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SwchOrdConfCxlInstr>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		1192
	PoolReference <PoolRef>	[0..1]	±		1193
	PreviousReference <PrvsRef>	[0..*]	±		1193
	RelatedReference <RltdRef>	[0..1]	±		1193
	AmendmentIndicator <AmdmntInd>	[1..1]	Indicator		1194
	MasterReference <MstrRef>	[0..1]	Text		1194
	OrderReferences <OrdrRefs>	[1..*]			1194
	OrderReference <OrdrRef>	[1..1]	Text		1194
	ClientReference <ClntRef>	[0..1]	Text		1195
	DealReference <DealRef>	[0..1]	Text		1195
	CancellationReference <CxlRef>	[0..1]	Text		1195
	CancellationReason <CxlRsn>	[0..1]	±		1195
	CopyDetails <CpyDtls>	[0..1]	±		1195

63.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

63.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

63.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

63.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1498 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1498
	ReferenceIssuer <RefIssr>	[0..1]	±		1498
	MessageName <MsgNm>	[0..1]	Text		1498

63.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference8](#)" on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

63.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference8"](#) on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

63.4.5 AmendmentIndicator <AmdmntInd>

Presence: [1..1]

Definition: Indicates whether a confirmation amendment message will follow the confirmation cancellation instruction or not.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

63.4.6 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: ["Max35Text"](#) on page 2683

63.4.7 OrderReferences <OrdrRefs>

Presence: [1..*]

Definition: Identification of the individual order confirmation to be cancelled.

OrderReferences <OrdrRefs> contains the following **InvestmentFundOrder11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		1194
	ClientReference <ClntRef>	[0..1]	Text		1195
	DealReference <DealRef>	[0..1]	Text		1195
	CancellationReference <CxlRef>	[0..1]	Text		1195
	CancellationReason <CxlRsn>	[0..1]	±		1195

63.4.7.1 OrderReference <OrdrRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: ["Max35Text"](#) on page 2683

63.4.7.2 ClientReference <CIntRef>*Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.*Datatype:* "Max35Text" on page 2683**63.4.7.3 DealReference <DealRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order execution, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2683**63.4.7.4 CancellationReference <CxlRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order confirmation cancellation, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2683**63.4.7.5 CancellationReason <CxlRsn>***Presence:* [0..1]*Definition:* Reason for the cancellation of the confirmation.**CancellationReason <CxlRsn>** contains one of the following elements (see "CancellationReason31Choice" on page 2374 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2374
Or}	Proprietary <Prtry>	[1..1]	±		2375

63.4.8 CopyDetails <CpyDtls>*Presence:* [0..1]*Definition:* Information provided when the message is a copy of a previous message.**CopyDetails <CpyDtls>** contains the following elements (see "CopyInformation4" on page 1819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1819
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1819

64 setr.057.001.02 OrderConfirmationStatusReportV02

64.1 MessageDefinition Functionality

Scope

The OrderConfirmationStatusReport message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to report the status of an order confirmation or an order confirmation amendment.

Usage

The OrderConfirmationStatusReport message is used to report on the status of one or more individual:

- subscription confirmations,
- subscription confirmation amendments,
- redemption confirmations,
- redemption confirmation amendments,
- switch order confirmations,
- switch order confirmation amendments.

One of the following statuses can be reported:

- confirmation rejected, or,
- amendment rejected, or,
- sent to next party, or,
- communication problem with next party, or,
- confirmation accepted, or,
- confirmation received.

It is likely that the OrderConfirmationStatusReport is only sent by the order instructing party to the order executing party to reject an order confirmation or to reject an order confirmation amendment, although if an intermediary party is used, the statuses sent to next party and communication problem with next party are also likely be used. The statuses confirmation accepted and confirmation received would only be used in the event the order executing party sends a RequestForOrderConfirmationStatusReport message and one of the other statuses does not apply.

If the status being reported is either confirmation rejected or amendment rejected, then a reason for the rejection must be given.

The individual order confirmation or confirmation amendment for which the status is given is identified with its order reference. The message identification of the message in which the individual order

confirmation or confirmation amendment was conveyed may also be quoted in RelatedReference, but this is not recommended.

Outline

The OrderConfirmationStatusReportV02 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. Reference

Reference to the message or communication that was previously received.

C. IndividualOrderConfirmationDetailsReport

Status report details of an individual order confirmation.

D. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

64.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <OrdrConfStsRpt>	[1..1]		C5, C7	
	MessageIdentification <MsgId>	[1..1]	±		1198
	Reference <Ref>	[0..1]	±		1199
	IndividualOrderConfirmationDetailsReport <IndvOrdrConfDtlsRpt>	[1..*]			1199
	MasterReference <MstrRef>	[0..1]	Text		1200
	OrderReference <OrdrRef>	[1..1]	Text		1200
	Confirmation <Conf>	[1..1]			1200
{Or	ConfirmationRejected <ConfRjctd>	[1..10]	±	C8	1200
Or	AmendmentRejected <AmdmntRjctd>	[1..10]	±	C8	1200
Or}	Status <Sts>	[1..1]	CodeSet		1201
	ClientReference <CIntRef>	[0..1]	Text		1201
	DealReference <DealRef>	[0..1]	Text		1201
	StatusInitiator <StsInitr>	[0..1]	±		1202
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C6	1202
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C4	1202
	Extension <Xtnsn>	[0..*]	±	C3	1203

64.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C4 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C5 MessageNameAndReferenceGuideline

If Reference/RelatedReference/MessageName or Reference/OtherReference/MessageName is present, it must contain 502 or must start with setr.047, setr.049, setr.051, setr.053, setr.055, setr.058 and Reference/RelatedReference/Reference must contain the message reference of a message in this list.

This constraint is defined at the MessageDefinition level.

C6 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C7 OtherReferenceGuideline

OtherReference should be used to reference an order confirmation cancellation 'message' sent in a proprietary way or used for a system reference. If OtherReference is present, OtherReference/MessageName must contain 'fax' or 'phone' or 'email', etc.

This constraint is defined at the MessageDefinition level.

C8 ReasonElementRule

Either Reason or AdditionalInformation must be present. Both may be present.

C9 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

64.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

64.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "MessageIdentification1" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

64.4.2 Reference <Ref>

Presence: [0..1]

Definition: Reference to the message or communication that was previously received.

Reference <Ref> contains one of the following elements (see "References61Choice" on page 1496 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	RelatedReference <RltdRef>	[1..2]	±		1496
Or}	OtherReference <OthrRef>	[1..2]	±		1497

64.4.3 IndividualOrderConfirmationDetailsReport <IndvOrdrConfDtlsRpt>

Presence: [1..*]

Definition: Status report details of an individual order confirmation.

IndividualOrderConfirmationDetailsReport <IndvOrdrConfDtlsRpt> contains the following **IndividualOrderConfirmationStatusAndReason2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		1200
	OrderReference <OrdrRef>	[1..1]	Text		1200
	Confirmation <Conf>	[1..1]			1200
{Or	ConfirmationRejected <ConfRjctd>	[1..10]	±	C8	1200
Or	AmendmentRejected <AmdmntRjctd>	[1..10]	±	C8	1200
Or}	Status <Sts>	[1..1]	CodeSet		1201
	ClientReference <ClntRef>	[0..1]	Text		1201
	DealReference <DealRef>	[0..1]	Text		1201
	StatusInitiator <StsIntr>	[0..1]	±		1202
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C6	1202
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C4	1202

64.4.3.1 MasterReference <MstrRef>*Presence:* [0..1]*Definition:* Reference assigned to a set of orders or trades in order to link them together.*Datatype:* "Max35Text" on page 2683**64.4.3.2 OrderReference <OrdRef>***Presence:* [1..1]*Definition:* Unique and unambiguous identifier for the order, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2683**64.4.3.3 Confirmation <Conf>***Presence:* [1..1]*Definition:* Status of the order confirmation cancellation.**Confirmation <Conf>** contains one of the following **ConfirmationStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ConfirmationRejected <ConfRjctd>	[1..10]	±	C8	1200
Or	AmendmentRejected <AmdmntRjctd>	[1..10]	±	C8	1200
Or}	Status <Sts>	[1..1]	CodeSet		1201

64.4.3.3.1 ConfirmationRejected <ConfRjctd>*Presence:* [1..10]*Definition:* Status of the order confirmation is rejected.*Impacted by:* C8 "ReasonElementRule"**ConfirmationRejected <ConfRjctd>** contains the following elements (see "ConfirmationRejectedStatus2" on page 2375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2376
{Or	Code <Cd>	[1..1]	CodeSet		2376
Or}	Proprietary <Prtry>	[1..1]	±		2378
	AdditionalInformation <AddtlInf>	[0..1]	Text		2379

Constraints

- ReasonElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

64.4.3.3.2 AmendmentRejected <AmdmntRjctd>*Presence:* [1..10]

Definition: Status of the order confirmation amendment is rejected.

Impacted by: C8 "ReasonElementRule"

AmendmentRejected <AmdmntRjctd> contains the following elements (see "ConfirmationRejectedStatus2" on page 2375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2376
{Or	Code <Cd>	[1..1]	CodeSet		2376
Or}	Proprietary <Prtry>	[1..1]	±		2378
	AdditionalInformation <AddtlInf>	[0..1]	Text		2379

Constraints

- ReasonElementRule

Either Reason or AdditionalInformation must be present. Both may be present.

64.4.3.3 Status <Sts>

Presence: [1..1]

Definition: Status of the order confirmation is accepted or received or sent to next party or there is a communication problem with next party. There is no reason attached.

Datatype: "OrderConfirmationStatus1Code" on page 2610

CodeName	Name	Definition
STNP	SentToNextParty	Order confirmation or order confirmation cancellation instruction or order confirmation amendment has been sent to the next party, eg, the next intermediary.
CPNP	CommunicationProblemNextParty	Communication problems with the next party.
COAC	ConfirmationAccepted	Order confirmation or order confirmation amendment is accepted.
CREC	ConfirmationReceived	Order confirmation or order confirmation amendment is received, ie, technical validation of the message is ok, and the message is now at the receiving side.

64.4.3.4 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of an order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2683

64.4.3.5 DealReference <DealRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the order execution, as assigned by the confirming party.

Datatype: "Max35Text" on page 2683

64.4.3.6 StatusInitiator <StsIntr>

Presence: [0..1]

Definition: Party that initiates the status of the order confirmation.

StatusInitiator <StsIntr> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

64.4.3.7 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [0..1]

Definition: Account information of the individual order confirmation for which the status is given.

Impacted by: [C6 "OrderOriginatorEligibility2Rule"](#)

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "[InvestmentAccount58](#)" on page 1635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1635
	AccountName <AcctNm>	[0..1]	Text		1635
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1635
	OwnerIdentification <OwnrId>	[0..*]	±		1635
	AccountServicer <AcctSvcr>	[0..1]	±		1636
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		1636
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1636

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

64.4.3.8 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [0..1]

Definition: Financial instrument information of the individual order confirmation for which the status is given.

Impacted by: [C4 "IdentificationGuideline"](#)

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1436 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1436
	Name <Nm>	[0..1]	Text		1437
	ShortName <ShrtNm>	[0..1]	Text		1437
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1437
	ClassType <ClsTp>	[0..1]	Text		1438
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1438
	DistributionPolicy <DstrbtpPlcy>	[0..1]	CodeSet		1438
	ProductGroup <PdctGrp>	[0..1]	Text		1438
	SeriesIdentification <SrsId>	[0..1]		C30	1438
	SeriesDate <SrsDt>	[0..1]	±		1439
	SeriesName <SrsNm>	[0..1]	Text		1439

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

64.4.4 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C3 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

65 setr.058.001.02 RequestForOrderConfirmationStatusReportV 02

65.1 MessageDefinition Functionality

Scope

The RequestForOrderConfirmationStatusReport message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to request the status of one or more order confirmations.

Usage

The RequestForOrderConfirmationStatusReport message is used to request the status of either:

- one or several individual order confirmations, or,
- one or several order confirmation messages.

The response to a RequestForOrderConfirmationStatusReport message is the OrderConfirmationStatusReport message.

When the RequestForOrderConfirmationStatusReport message is used to request the status of several individual order confirmations or one or more order confirmation messages, the executing party may receive several OrderConfirmationStatusReport messages from the instructing party.

When the RequestForOrderConfirmationStatusReport is used to request the status of one or more individual order confirmations, each individual order confirmation is identified with its order reference. The message identification of the message in which the individual order confirmation was conveyed may also be quoted in PreviousReference.

When the RequestForOrderConfirmationStatusReport is used to request the status of an order confirmation message, then the message identification of the order confirmation message is identified in PreviousReference.

Outline

The RequestForOrderConfirmationStatusReportV02 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. RequestDetails

Information to identify the order confirmation for which the status is requested.

C. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

65.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ReqForOrdRConfStsRpt>	[1..1]		C6, C7	
	MessageIdentification <MsgId>	[1..1]	±		1206
	RequestDetails <ReqDtls>	[1..*]		C8	1206
	Reference <Ref>	[0..1]	±		1207
	RelatedReference <RltdRef>	[0..1]	±		1207
	OrderReference <OrdRRef>	[0..*]			1208
	MasterReference <MstrRef>	[0..1]	Text		1208
	OrderReference <OrdRRef>	[1..1]	Text		1208
	ClientReference <ClntRef>	[0..1]	Text		1208
	CancellationReference <CxlRef>	[0..1]	Text		1208
	DealReference <DealRef>	[0..1]	Text		1208
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C5	1209
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C4	1209
	Extension <Xtnsn>	[0..*]	±	C3	1210

65.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C4 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C5 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C6 OtherReferenceGuideline

RequestDetails/Reference/OtherReference should be used to reference an order instruction or cancellation 'message' sent in a proprietary way or used for a system reference. If RequestDetails/Reference/OtherReference is present, RequestDetails/Reference/OtherReference/MessageName must contain 'fax' or 'phone' or 'email', etc.

This constraint is defined at the MessageDefinition level.

C7 PreviousReferenceGuideline

If RequestDetails/Reference/PreviousReference/MessageName is present, it must contain 502 or must start with setr.047, setr.049, setr.051, setr.053, or setr.055 and RequestDetails/Reference/PreviousReference/Reference must contain the reference of a message in this list.

This constraint is defined at the MessageDefinition level.

C8 ReferenceElementRule

One of the elements (Reference, RelatedReference, OrderReference) must be present.

C9 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

65.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

65.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

65.4.2 RequestDetails <ReqDtls>

Presence: [1..*]

Definition: Information to identify the order confirmation for which the status is requested.

Impacted by: [C8 "ReferenceElementRule"](#)

RequestDetails <ReqDtls> contains the following **MessageAndBusinessReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	±		1207
	RelatedReference <RltdRef>	[0..1]	±		1207
	OrderReference <OrdrRef>	[0..*]			1208
	MasterReference <MstrRef>	[0..1]	Text		1208
	OrderReference <OrdrRef>	[1..1]	Text		1208
	ClientReference <ClntRef>	[0..1]	Text		1208
	CancellationReference <CxlRef>	[0..1]	Text		1208
	DealReference <DealRef>	[0..1]	Text		1208
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C5	1209
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C4	1209

Constraints

- **ReferenceElementRule**

One of the elements (Reference, RelatedReference, OrderReference) must be present.

65.4.2.1 Reference <Ref>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

Reference <Ref> contains one of the following elements (see "[References62Choice](#)" on page 1496 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PreviousReference <PrvsRef>	[1..2]	±		1496
Or}	OtherReference <OthrRef>	[1..2]	±		1496

65.4.2.2 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "[AdditionalReference8](#)" on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

65.4.2.3 OrderReference <OrdrRef>*Presence:* [0..*]*Definition:* Unique and unambiguous identifiers of one or more individual order instructions or individual order cancellation requests.**OrderReference <OrdrRef>** contains the following **InvestmentFundOrder8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		1208
	OrderReference <OrdrRef>	[1..1]	Text		1208
	ClientReference <CintRef>	[0..1]	Text		1208
	CancellationReference <CxlRef>	[0..1]	Text		1208
	DealReference <DealRef>	[0..1]	Text		1208
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C5	1209
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C4	1209

65.4.2.3.1 MasterReference <MstrRef>*Presence:* [0..1]*Definition:* Reference assigned to a set of orders or trades in order to link them together.*Datatype:* "Max35Text" on page 2683**65.4.2.3.2 OrderReference <OrdrRef>***Presence:* [1..1]*Definition:* Unique and unambiguous identifier for the order, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2683**65.4.2.3.3 ClientReference <CintRef>***Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.*Datatype:* "Max35Text" on page 2683**65.4.2.3.4 CancellationReference <CxlRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order cancellation, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2683**65.4.2.3.5 DealReference <DealRef>***Presence:* [0..1]

Definition: Unique and unambiguous identifier for the order execution, as assigned by the confirming party.

Datatype: "Max35Text" on page 2683

65.4.2.3.6 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [0..1]

Definition: Account information of the individual order instruction or individual order cancellation request for which the status is requested.

Impacted by: C5 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1635
	AccountName <AcctNm>	[0..1]	Text		1635
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1635
	OwnerIdentification <OwnrId>	[0..*]	±		1635
	AccountServicer <AcctSvcr>	[0..1]	±		1636
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		1636
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1636

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

65.4.2.3.7 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [0..1]

Definition: Financial instrument information of the individual order or individual order cancellation request for which the status is requested.

Impacted by: C4 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1436 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1436
	Name <Nm>	[0..1]	Text		1437
	ShortName <ShrtNm>	[0..1]	Text		1437
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1437
	ClassType <ClsTp>	[0..1]	Text		1438
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1438
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1438
	ProductGroup <PdctGrp>	[0..1]	Text		1438
	SeriesIdentification <SrsId>	[0..1]		C30	1438
	SeriesDate <SrsDt>	[0..1]	±		1439
	SeriesName <SrsNm>	[0..1]	Text		1439

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

65.4.3 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C3 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

66 Message Items Types

66.1 MessageComponents

66.1.1 Account

66.1.1.1 SecuritiesAccount19

Definition: Account to or from which a securities entry is made.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1211
	Type <Tp>	[0..1]	±		1211
	Name <Nm>	[0..1]	Text		1211

66.1.1.1.1 Identification <Id>

Presence: [1..1]

Definition: Unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2683

66.1.1.1.2 Type <Tp>

Presence: [0..1]

Definition: Specifies the type of securities account.

Type <Tp> contains the following elements (see "GenericIdentification30" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1505
	Issuer <Issr>	[1..1]	Text		1505
	SchemeName <SchmeNm>	[0..1]	Text		1505

66.1.1.1.3 Name <Nm>

Presence: [0..1]

Definition: Description of the account.

Datatype: "Max70Text" on page 2684

66.1.1.2 GenericAccountIdentification1

Definition: Information related to a generic account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1212
	SchemeName <SchmeNm>	[0..1]			1212
{Or	Code <Cd>	[1..1]	CodeSet		1212
Or}	Proprietary <Prtry>	[1..1]	Text		1212
	Issuer <Issr>	[0..1]	Text		1212

66.1.1.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max34Text" on page 2683

66.1.1.2.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **AccountSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1212
Or}	Proprietary <Prtry>	[1..1]	Text		1212

66.1.1.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalAccountIdentification1Code" on page 2578

66.1.1.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 2683

66.1.1.2.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 2683

66.1.1.3 ConfirmationType1Choice

Definition: Choice of formats for a confirmation type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1213
Or}	Proprietary <Prtry>	[1..1]	±		1213

66.1.1.3.1 Code <Cd>

Presence: [1..1]

Definition: Confirmation type expressed as a code.

Datatype: "AccountManagementType2Code" on page 2548

CodeName	Name	Definition
ACCO	AccountOpening	Refers to an account opening instruction message.
ACCM	AccountModification	Refers to an account modification instruction message.
GACC	GetAccountDetails	Refers to a get account details message.

66.1.1.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Confirmation type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.1.4 AccountOpeningType1Choice

Definition: Choice of formats for the account opening type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1213
Or}	Proprietary <Prtry>	[1..1]	±		1214

66.1.1.4.1 Code <Cd>

Presence: [1..1]

Definition: Type of account opening instruction expressed as a code.

Datatype: "AccountOpeningType1Code" on page 2549

CodeName	Name	Definition
NEWA	NewAccountOpening	Account request is to open a new account.
SUPA	SupplementaryAccountOpening	Account request is to open a supplementary account, that is, based on an existing account.

66.1.1.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of account opening instruction expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.1.5 Account23

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1214
	RelatedAccountDetails <RltdAcctDtls>	[0..1]	±		1214

66.1.1.5.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Identification of the account.

Datatype: "[Max35Text](#)" on page 2683

66.1.1.5.2 RelatedAccountDetails <RltdAcctDtls>

Presence: [0..1]

Definition: Information about the account to which the existing account is to be linked.

RelatedAccountDetails <RltdAcctDtls> contains the following elements (see "[GenericIdentification1](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.1.6 AccountType2Choice

Definition: Choice of formats for the specification of the type of account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1215
Or}	Proprietary <Prtry>	[1..1]	±		1215

66.1.1.6.1 Code <Cd>

Presence: [1..1]

Definition: Type of account expressed as a code.

Datatype: "FundCashAccount4Code" on page 2581

CodeName	Name	Definition
HEDG	HedgeFund	Hedge fund account.
CPFO	PensionFundOrdinary	Central Provident Fund (CPF) ordinary account.
CPFS	PensionFundSpecial	Central Provident Fund (CPF) special account.
SRSA	RetirementScheme	Supplementary Retirement Scheme (SRS) account.
CSDO	CSDOmnibusAccount	Account operated by a CSD in cross-CSD settlement context.
TOFF	TechnicalOffsetAccount	Account operated by a CSD in a direct holding market context.
ICSA	InterCSDAccount	Account operated by a CSD for settlement of securities legs for instructions involving internal CSDs.
CSDM	CSDMirrorAccount	Account operated by a CSD for mirroring positions.
CSDP	CSDParticipantAccount	Account owned by a CSD participant.
PPEN	PrivatePensionScheme	Private pension account.
CPEN	WorkplacePensionSchema	Workplace or company pension account.

66.1.1.6.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of account expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.1.7 SecuritiesAccount5

Definition: Account to or from which a securities entry is made.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1216
	Name <Nm>	[0..1]	Text		1216

66.1.1.7.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "[Max35Text](#)" on page 2683

66.1.1.7.2 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "[Max70Text](#)" on page 2684

66.1.2 Account Identification

66.1.2.1 Account7

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1216
	AccountServicer <AcctSvcr>	[0..1]	±		1217

66.1.2.1.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains the following elements (see "[AccountIdentification1](#)" on page 1228 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]	±		1229

66.1.2.1.2 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Institution servicing an account and assigning the account identifier to the account owner.

AccountServicer <AcctSvcr> contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 1896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	1897
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1897
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1897

66.1.2.2 CashAccountIdentification8Choice

Definition: Specifies the unique identification of an account as assigned by the account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Other <Othr>	[1..1]	±		1217
Or}	IBAN <IBAN>	[1..1]	IdentifierSet	C16	1217

66.1.2.2.1 Other <Othr>

Presence: [1..1]

Definition: Unique identification of an account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "[GenericAccountIdentification1](#)" on page 1211 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1212
	SchemeName <SchmeNm>	[0..1]			1212
{Or	Code <Cd>	[1..1]	CodeSet		1212
Or}	Proprietary <Prtry>	[1..1]	Text		1212
	Issuer <Issr>	[0..1]	Text		1212

66.1.2.2.2 IBAN <IBAN>

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C16 "IBAN"

Datatype: "IBAN2007Identifier" on page 2674

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

66.1.2.3 InvestmentAccount69

Definition: Account between an investor(s) and a fund manager or a fund. The account can contain holdings in any investment fund or investment fund class managed (or distributed) by the fund manager, within the same fund family.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1218
	Designation <Dsgnt>	[0..1]	Text		1218
	AccountName <AcctNm>	[0..1]	Text		1218
	Servicer <Svcr>	[0..1]	±		1219

66.1.2.3.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2683

66.1.2.3.2 Designation <Dsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: "Max35Text" on page 2683

66.1.2.3.3 AccountName <AcctNm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

66.1.2.3.4 Servicer <Svcr>*Presence:* [0..1]*Definition:* Institution that maintains the records where the account is held.**Servicer <Svcr>** contains the following elements (see "[PartyIdentification132](#)" on page 2004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2004
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2004
	NameAndAddress <NmAndAdr>	[0..1]	±		2005
	ProprietaryIdentification <PrtryId>	[0..1]	±		2006
	LEI <LEI>	[0..1]	IdentifierSet		2006

66.1.2.4 SubAccountIdentification3*Definition:* Account to or from which a securities entry is made.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1219
	FungibleIndicator <Fngblnd>	[1..1]	Indicator		1219
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1220
	BalanceForSubAccount <BalForSubAcct>	[0..*]	±	C1, C6	1220

66.1.2.4.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique and unambiguous identification for the account between the account owner and the account servicer.**Identification <Id>** contains one of the following elements (see "[AccountIdentificationFormatChoice](#)" on page 1225 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SimpleIdentification <SmplId>	[1..1]	±		1225
Or	IdentificationAndPurpose <IdAndPurp>	[1..1]			1226
	Identification <Id>	[1..1]	±		1226
	Purpose <Purp>	[1..1]	CodeSet		1226
Or}	IdentificationAsDSS <IdAsDSS>	[1..1]	±		1227

66.1.2.4.2 FungibleIndicator <Fngblnd>*Presence:* [1..1]*Definition:* Indicates whether the securities in the account are fungible, ie, interchangeable.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.2.4.3 ActivityIndicator <ActvtyInd>

Presence: [1..1]

Definition: Indicates whether there is activity reported in the statement.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.2.4.4 BalanceForSubAccount <BalForSubAcct>

Presence: [0..*]

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account.

Impacted by: C1 "AccruedInterestAmountSignRule", C6 "BalanceAtSafekeepingPlaceRule"

BalanceForSubAccount <BalForSubAcct> contains the following elements (see ["AggregateBalanceInformation3"](#) on page 1253 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateQuantity <AggtQty>	[1..1]	±		1254
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1254
	HoldingValue <HldgVal>	[1..*]	Amount	C3, C12	1254
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1254
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1255
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1255
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1255
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1256
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C12	1256
	PriceDetails <PricDtls>	[1..*]	±	C15	1257
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1258
	BalanceBreakdownDetails <BalBrkdwnDtls>	[0..*]	±		1258
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>	[0..*]	±		1258
	BalanceAtSafekeepingPlace <BalAtSfkpgPlc>	[0..*]	±	C2	1259

Constraints

- **AccruedInterestAmountSignRule**

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

- **BalanceAtSafekeepingPlaceRule**

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

66.1.2.5 SubAccountIdentification5

Definition: Account to or from which a securities entry is made.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1221
	FungibleIndicator <Fngblnd>	[1..1]	Indicator		1221
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1222
	BalanceForSubAccount <BalForSubAcct>	[0..*]	±	C1, C8, C5	1222

66.1.2.5.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains one of the following elements (see "[AccountIdentificationFormatChoice](#)" on page 1225 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SimpleIdentification <SmplId>	[1..1]	±		1225
Or	IdentificationAndPurpose <IdAndPurp>	[1..1]			1226
	Identification <Id>	[1..1]	±		1226
	Purpose <Purp>	[1..1]	CodeSet		1226
Or}	IdentificationAsDSS <IdAsDSS>	[1..1]	±		1227

66.1.2.5.2 FungibleIndicator <Fngblnd>

Presence: [1..1]

Definition: Indicates whether the securities in the account are fungible, ie, interchangeable.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.2.5.3 ActivityIndicator <ActvtyInd>

Presence: [1..1]

Definition: Indicates whether there is activity reported in the statement.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.2.5.4 BalanceForSubAccount <BalForSubAcct>

Presence: [0..*]

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account.

Impacted by: [C1 "AccruedInterestAmountSignRule"](#), [C8 "BalanceAtSafekeepingPlaceRule"](#), [C5 "AggregateQuantityGuideline"](#)

BalanceForSubAccount <BalForSubAcct> contains the following elements (see "AggregateBalanceInformation4" on page 1259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateQuantity <AggtQty>	[1..1]	±		1261
	AvailableQuantity <AvlblQty>	[0..1]	±		1261
	NotAvailableQuantity <NotAvlblQty>	[0..1]	±		1261
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1262
	HoldingValue <HldgVal>	[0..*]	Amount	C3, C12	1262
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1262
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1262
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1263
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1263
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1263
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C12	1264
	PriceDetails <PricDtls>	[0..*]	±	C15	1264
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1265
	BalanceBreakdownDetails <BalBrkdownDtls>	[0..*]	±		1265
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdownDtls>	[0..*]	±		1266
	BalanceAtSafekeepingPlace <BalAtSfkpgPlc>	[0..*]		C2, C6	1266
	AggregateQuantity <AggtQty>	[1..1]	±		1267
	AvailableQuantity <AvlblQty>	[0..1]	±		1268
	NotAvailableQuantity <NotAvlblQty>	[0..1]	±		1268
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1268
	HoldingValue <HldgVal>	[0..*]	Amount	C3, C12	1268
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1269
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1269
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1269
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1270
	SafekeepingPlace <SfkpgPlc>	[1..1]	±		1270
	PriceDetails <PricDtls>	[0..*]	±	C15	1270
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1271
	BalanceBreakdownDetails <BalBrkdownDtls>	[0..*]	±		1271

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>	[0..*]	±		1272

Constraints

- **AccruedInterestAmountSignRule**

if AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

- **AggregateQuantityGuideline**

The AggregateQuantity should be the sum of AvailableQuantity and NotAvailableQuantity if AvailableQuantity and NotAvailableQuantity are both present.

- **BalanceAtSafekeepingPlaceRule**

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

66.1.2.6 AccountIdentificationAndName5

Definition: Identification of the account expressed with a name and an account number.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.2.6.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains one of the following elements (see "[AccountIdentification4Choice](#)" on page 1227 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C16	1227
Or}	Other <Othr>	[1..1]	±		1227

66.1.2.6.2 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

66.1.2.7 SubAccount5

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1225
	Name <Nm>	[0..1]	Text		1225
	Characteristic <Chrtc>	[0..1]	Text		1225

66.1.2.7.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2683

66.1.2.7.2 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

66.1.2.7.3 Characteristic <Chrtc>

Presence: [0..1]

Definition: Specifies additional properties of the account.

Datatype: "Max35Text" on page 2683

66.1.2.8 AccountIdentificationFormatChoice

Definition: Choice between formats for account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SimpleIdentification <SmpIld>	[1..1]	±		1225
Or	IdentificationAndPurpose <IdAndPurp>	[1..1]			1226
	Identification <Id>	[1..1]	±		1226
	Purpose <Purp>	[1..1]	CodeSet		1226
Or}	IdentificationAsDSS <IdAsDSS>	[1..1]	±		1227

66.1.2.8.1 SimpleIdentification <SmpIld>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

SimpleIdentification <SmpId> contains the following elements (see "[AccountIdentification1](#)" on page 1228 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]	±		1229

66.1.2.8.2 IdentificationAndPurpose <IdAndPurp>

Presence: [1..1]

Definition: Identification of the account expressed with an account number and a code.

IdentificationAndPurpose <IdAndPurp> contains the following **AccountIdentificationAndPurpose** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1226
	Purpose <Purp>	[1..1]	CodeSet		1226

66.1.2.8.2.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains the following elements (see "[AccountIdentification1](#)" on page 1228 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]	±		1229

66.1.2.8.2.2 Purpose <Purp>

Presence: [1..1]

Definition: Specifies the purpose of the account.

Datatype: "[SecuritiesAccountPurposeType1Code](#)" on page 2636

CodeName	Name	Definition
MARG	Margin	Account is used when financing is done by the broker.
SHOR	ShortSale	Account is used for short sale orders.
ABRD	Abroad	Account is located in a financial institution outside the country where the transaction is taking place.
CEND	Centralised	Account and all its related positions are held in one location.
DVPA	CashDVP	Account is used for settlement upon delivery, or a collection on a delivery basis.

CodeName	Name	Definition
PHYS	Physical	Account is used for physical domestic safekeeping.

66.1.2.8.3 IdentificationAsDSS <IdAsDSS>

Presence: [1..1]

Definition: Identification of the account expressed with a data source scheme, a code used within the data source scheme and the account identification.

IdentificationAsDSS <IdAsDSS> contains the following elements (see "[AccountIdentification3](#)" on page 1228 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1228
	Issuer <Issr>	[1..1]	Text		1228
	Information <Inf>	[1..1]	Text		1228

66.1.2.9 AccountIdentification4Choice

Definition: Specifies the unique identification of an account as assigned by the account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C16	1227
Or}	Other <Othr>	[1..1]	±		1227

66.1.2.9.1 IBAN <IBAN>

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C16 "IBAN"

Datatype: "IBAN2007Identifier" on page 2674

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

66.1.2.9.2 Other <Othr>

Presence: [1..1]

Definition: Unique identification of an account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "[GenericAccountIdentification1](#)" on page 1211 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1212
	SchemeName <SchmeNm>	[0..1]			1212
{Or	Code <Cd>	[1..1]	CodeSet		1212
Or}	Proprietary <Prtry>	[1..1]	Text		1212
	Issuer <Issr>	[0..1]	Text		1212

66.1.2.10 AccountIdentification3

Definition: Identification of the account expressed with a data source scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1228
	Issuer <Issr>	[1..1]	Text		1228
	Information <Inf>	[1..1]	Text		1228

66.1.2.10.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains the following elements (see "[AccountIdentification1](#)" on page 1228 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]	±		1229

66.1.2.10.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the information.

Datatype: "[Max8Text](#)" on page 2684

66.1.2.10.3 Information <Inf>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "[Exact4AlphaNumericText](#)" on page 2682

66.1.2.11 AccountIdentification1

Definition: Unique identifier of an account, as assigned by the account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]	±		1229

66.1.2.11.1 Proprietary <Prtry>

Presence: [1..1]

Definition: Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.

Proprietary <Prtry> contains the following elements (see "[SimpleIdentificationInformation](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507

66.1.3 Address

66.1.3.1 CommunicationAddress6

Definition: Communication device number or electronic address used for communication.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230
	Email <Email>	[0..1]	Text		1230
	Phone <Phne>	[0..1]	Text		1230
	Mobile <Mob>	[0..1]	Text		1230
	FaxNumber <FaxNb>	[0..1]	Text		1230
	TelexAddress <TlxAdr>	[0..1]	Text		1231
	URLAddress <URLAdr>	[0..1]	Text		1231

66.1.3.1.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Type of communication address.

AddressType <AdrTp> contains one of the following **AddressType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230

66.1.3.1.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type expressed as a code.*Datatype:* "AddressType1Code" on page 2553

CodeName	Name	Definition
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.

66.1.3.1.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.3.1.2 Email <Email>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 2683**66.1.3.1.3 Phone <Phne>***Presence:* [0..1]*Definition:* Collection of information that identifies a phone number, as defined by telecom services.*Datatype:* "PhoneNumber" on page 2685**66.1.3.1.4 Mobile <Mob>***Presence:* [0..1]*Definition:* Collection of information that identifies a mobile phone number, as defined by telecom services.*Datatype:* "PhoneNumber" on page 2685**66.1.3.1.5 FaxNumber <FaxNb>***Presence:* [0..1]*Definition:* Collection of information that identifies a FAX number, as defined by telecom services.*Datatype:* "PhoneNumber" on page 2685

66.1.3.1.6 TelexAddress <TlxAdr>*Presence:* [0..1]*Definition:* Address for a telex machine.*Datatype:* "Max35Text" on page 2683**66.1.3.1.7 URLAddress <URLAdr>***Presence:* [0..1]*Definition:* Address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.*Datatype:* "Max256Text" on page 2683**66.1.4 Amount****66.1.4.1 RoundingParameters1***Definition:* Parameters applied to a fractional number.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RoundingModulus <RndgMdlus>	[0..1]	Quantity		1231
	RoundingDirection <RndgDrctn>	[1..1]	CodeSet		1231

66.1.4.1.1 RoundingModulus <RndgMdlus>*Presence:* [0..1]*Definition:* Float value specifying the value to which rounding is required, eg, 10 means round to a multiple of 10 units/shares, 0.5 means round to a multiple of 0.5 units/shares.*Datatype:* "DecimalNumber" on page 2680**66.1.4.1.2 RoundingDirection <RndgDrctn>***Presence:* [1..1]*Definition:* Rounding direction applied to fractional numbers, eg, round up.*Datatype:* "RoundingDirection1Code" on page 2635

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.
STAN	RoundToNearest	Round up or down to the nearest whole number.
DIST	IssueFraction	Do not round.

66.1.4.2 DateAndAmount2*Definition:* Date and amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C3, C12	1232

66.1.4.2.1 Date <Dt>

Presence: [0..1]

Definition: Date on which the amount is declared or registered.

Datatype: "ISODate" on page 2668

66.1.4.2.2 Amount <Amt>

Presence: [1..1]

Definition: Amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.4.3 DateAndAmount1

Definition: Date and amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C2, C10	1232

66.1.4.3.1 Date <Dt>

Presence: [1..1]

Definition: Date on which the amount is declared or registered.

Datatype: "ISODate" on page 2668

66.1.4.3.2 Amount <Amt>

Presence: [1..1]

Definition: Amount of money.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.4.4 InitialAmount1Choice

Definition: Choice of an initial amount or number of pre-paid instalments.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	InitialNumberOfInstalments <InitlNbOfInstlmts>	[1..1]	Quantity		1233
Or}	Amount <Amt>	[1..1]	Amount	C2, C10	1233

66.1.4.4.1 InitialNumberOfInstalments <InitlNbOfInstlmts>

Presence: [1..1]

Definition: Number of pre-paid instalment periods at the time the investment plan is created.

Datatype: "Number" on page 2680

66.1.4.4.2 Amount <Amt>

Presence: [1..1]

Definition: Amount to be paid or redeemed at the time the investment plan is created.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5 Balance

66.1.5.1 AdditionalBalanceInformation2

Definition: Net position of a segregated holding of a single security within the overall position held in the securities account, eg, sub-balance per status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1234
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1234
Or}	ExtendedSubBalanceType <XtndedSubBalTp>	[1..1]	Text		1235

66.1.5.1.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of securities in the sub-balance.

Quantity <Qty> contains one of the following elements (see "[SubBalanceQuantity1Choice](#)" on page 1279 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1279
Or	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1279
Or}	QuantityAndAvailability <QtyAndAvlbtty>	[1..1]			1280
	Quantity <Qty>	[1..1]	±		1280
	AvailabilityIndicator <AvlbttyInd>	[1..1]	Indicator		1280

66.1.5.1.2 SubBalanceType <SubBalTp>

Presence: [1..1]

Warning Either SubBalanceType or ExtendedSubBalanceType must be present, but not both.

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "SecuritiesBalanceType2Code" on page 2638

CodeName	Name	Definition
CLEN	TaxExempt	Balance of tax-exempt securities.
DIRT	NonTaxExempt	Balance of taxable securities.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).

CodeName	Name	Definition
OTHR	Unclassified	Balance of securities that is unclassified, ie, is not identified with one of the existing sub-balance types.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
UNRG	Unregistered	Balance of securities that could not be registered due to foreign ownership limitation.

66.1.5.1.3 ExtendedSubBalanceType <XtndedSubBalTp>

Presence: [1..1]

Warning Either SubBalanceType or ExtendedSubBalanceType must be present, but not both.

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "Extended350Code" on page 2682

66.1.5.2 AggregateBalanceInformation5

Definition: Net position of a segregated holding of a single security within the overall position held in the securities account, eg, sub-balance per status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateQuantity <AggtQty>	[1..1]	±		1235
	HoldingValue <HldgVal>	[0..*]	Amount	C3, C12	1236
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1236
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1237
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C10, C9	1237
	BalanceBreakdownDetails <BalBrkdwnDtls>	[0..*]	±		1238
	SubBalanceInformation <SubBallnf>	[0..*]	±		1238
	PriceDetails <PricDtls>	[0..*]	±		1238
	EqualisationBalance <EqulstnBal>	[0..1]	±		1239
	ForeignExchangeDetails <FrqnXchgDtls>	[0..1]	±		1239

Constraints

- **AggregateQuantityGuideline**

The AggregateQuantity should be the sum of AvailableQuantity and NotAvailableQuantity if AvailableQuantity and NotAvailableQuantity are both present.

66.1.5.2.1 AggregateQuantity <AggtQty>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

AggregateQuantity <AggtQty> contains one of the following elements (see "[BalanceQuantity1Choice](#)" on page 1283 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1283
Or}	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1284

66.1.5.2.2 HoldingValue <HldgVal>

Presence: [0..*]

Definition: Total value of a balance of the securities account for a specific financial instrument, expressed in one or more currencies.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#), [C12 "CurrencyAmount"](#)

Datatype: ["ActiveOrHistoricCurrencyAndAmount"](#) on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5.2.3 PreviousHoldingValue <PrvsHldgVal>

Presence: [0..1]

Definition: Previous total value of a balance of the securities account for a specific financial instrument, expressed in one or more currencies.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#), [C12 "CurrencyAmount"](#)

Datatype: ["ActiveOrHistoricCurrencyAndAmount"](#) on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5.2.4 BookValue <BookVal>*Presence:* [0..1]*Definition:* Value of a security, as booked in an account. Book value is often different from the current market value of the security.*Impacted by:* C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"*Datatype:* "ActiveOrHistoricCurrencyAndAmount" on page 2546**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5.2.5 FinancialInstrumentDetails <FinInstrmDtls>*Presence:* [1..1]*Definition:* Investment fund class held on the account for which the balance is calculated.*Impacted by:* C10 "NameRule", C9 "FinancialInstrumentIdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument18" on page 1445 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1445
	SecurityIdentification <SctyId>	[1..1]			1446
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1446
Or}	OtherIdentification <OthrId>	[1..1]			1446
	Identification <Id>	[1..1]	Text		1447
{Or	DomesticIdentificationSource <DmstIdSrc>	[1..1]	CodeSet	C11	1447
Or}	ProprietaryIdentificationSource <PrtryIdSrc>	[1..1]	Text		1447
	Description <Desc>	[0..1]	Text		1447
	Name <Nm>	[0..1]	Text		1447
	SeriesIssueldentificationDate <SrsIsseldDt>	[0..1]	Date		1448
	SeriesName <SrsNm>	[0..1]	Text		1448
	NewIssueIndicator <NewIsselnd>	[0..1]	Indicator		1448
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1448

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityID.

- **NameRule**

If the ISINIdentifier contradicts the Name, the ISIN Identifier is used as the identification of the instrument and the name is ignored.

66.1.5.2.6 BalanceBreakdownDetails <BalBrkDwnDtls>

Presence: [0..*]

Definition: Net position of a segregated holding of a single security within the overall position held in the securities account, eg, sub-balance per status.

BalanceBreakdownDetails <BalBrkDwnDtls> contains the following elements (see "[AdditionalBalanceInformation3](#)" on page 1273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1273
	AdditionalBalanceInformation <AddtlBalInf>	[1..1]	±		1274

66.1.5.2.7 SubBalanceInformation <SubBalInf>

Presence: [0..*]

Definition: Information relating to a sub balance of the investment fund.

SubBalanceInformation <SubBalInf> contains the following elements (see "[SubBalanceInformation3](#)" on page 1272 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1272
	SubBalanceType <SubBalTp>	[1..1]	±		1273

66.1.5.2.8 PriceDetails <PricDtls>

Presence: [0..*]

Definition: Price of the financial instrument.

PriceDetails <PricDtls> contains the following elements (see "PriceInformation3" on page 2233 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Value <Val>	[1..1]	±		2233
	PriceType <PricTp>	[1..1]	±		2234
	SourceOfPrice <SrcOfPric>	[0..1]			2234
{Or	LocalMarketPlace <LclMktPlc>	[1..1]	IdentifierSet		2234
Or	NonLocalMarketPlace <NonLclMktPlc>	[1..1]	±		2234
Or}	PlaceAsDSS <PlcAsDSS>	[1..1]	±		2235
	QuotationDate <QtnDt>	[0..1]	±		2235
	YieldedIndicator <YlddInd>	[0..1]	Indicator		2235

66.1.5.2.9 EqualisationBalance <EqulstnBal>

Presence: [0..1]

Definition: Part of an investor's subscription amount that is held by the fund in order to pay incentive / performance fees at the end of the fiscal year.

EqualisationBalance <EqulstnBal> contains the following elements (see "Equalisation2" on page 1684 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C2, C10	1684
	Rate <Rate>	[0..1]	Rate		1685
	CreditDebitIndicator <CdtDbtInd>	[0..1]	CodeSet		1685

66.1.5.2.10 ForeignExchangeDetails <FrngXchgDtls>

Presence: [0..1]

Definition: Currency exchange related to a securities order.

ForeignExchangeDetails <FrngXchgDtls> contains the following elements (see "ForeignExchangeTerms6" on page 1479 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C3	1479
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C3	1480
	ExchangeRate <XchgRate>	[1..1]	Rate		1480
	QuotationDate <QtnDt>	[0..1]	DateTime		1480
	QuotingInstitution <QtgInstn>	[0..1]	±		1480

66.1.5.3 AccountingStatementOfHoldings2

Definition: Information about an accounting statement of holdings.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StatementGeneralDetails <StmntGnlDtls>	[0..1]			1240
	Reference <Ref>	[1..1]	Text		1241
	StatementDateTime <StmntDtTm>	[1..1]	±		1241
	CreationDateTime <CreDtTm>	[0..1]	±		1241
	Frequency <Frqcy>	[1..1]	±		1242
	UpdateType <UpdTp>	[1..1]	±		1242
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1242
	StatementBasis <StmntBsis>	[1..1]	±		1242
	ReportNumber <RptNb>	[0..1]	Text		1242
	AuditedIndicator <AudtdInd>	[1..1]	Indicator		1243
	AccountDetails <AcctDtls>	[0..1]	±		1243
	BalanceForAccount <BalForAcct>	[0..*]	±	C1, C6	1243
	SubAccountDetails <SubAcctDtls>	[0..*]	±		1244
	TotalValues <TtlVals>	[0..1]	±		1245
	Extension <Xtnsn>	[0..*]	±	C16	1245

Constraints

- **BalanceForAccountOrSubAccountDetailsRule**

If StatementGeneralDetails/ActivityIndicator is "true" or "1" (Yes), then either BalanceForAccount or SubAccountDetails is mandatory. If StatementGeneralDetails/ActivityIndicator is "false" or "0" (No), then BalanceForAccount and SubAccountDetails may be present.

66.1.5.3.1 StatementGeneralDetails <StmntGnlDtls>

Presence: [0..1]

Definition: General information related to the custody statement of holdings that is being cancelled.

StatementGeneralDetails <StmntGnlDtls> contains the following **Statement6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1241
	StatementDateTime <StmntDtTm>	[1..1]	±		1241
	CreationDateTime <CreDtTm>	[0..1]	±		1241
	Frequency <Frqcy>	[1..1]	±		1242
	UpdateType <UpdTp>	[1..1]	±		1242
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1242
	StatementBasis <StmntBsis>	[1..1]	±		1242
	ReportNumber <RptNb>	[0..1]	Text		1242
	AuditedIndicator <AudtdlInd>	[1..1]	Indicator		1243

66.1.5.3.1.1 Reference <Ref>

Presence: [1..1]

Definition: Reference of the statement.

Datatype: "Max35Text" on page 2683

66.1.5.3.1.2 StatementDateTime <StmntDtTm>

Presence: [1..1]

Definition: Date of the statement.

StatementDateTime <StmntDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.5.3.1.3 CreationDateTime <CreDtTm>

Presence: [0..1]

Definition: Preparation date of the statement.

CreationDateTime <CreDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.5.3.1.4 Frequency <Frqcy>*Presence:* [1..1]*Definition:* Frequency of the statement.

Frequency <Frqcy> contains one of the following elements (see "[FrequencyCodeAndDSSCode1Choice](#)" on page 2264 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FrequencyAsCode <FrqcyAsCd>	[1..1]	CodeSet		2264
Or}	FrequencyAsDSS <FrqcyAsDSS>	[1..1]	±		2265

66.1.5.3.1.5 UpdateType <UpdTp>*Presence:* [1..1]*Definition:* Indicates whether the report is complete or contains changes only.

UpdateType <UpdTp> contains one of the following elements (see "[StatementUpdateTypeCodeAndDSSCodeChoice](#)" on page 2325 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StatementUpdateTypeAsCode <StmntUpdTpAsCd>	[1..1]	CodeSet		2325
Or}	StatementUpdateTypeAsDSS <StmntUpdTpAsDSS>	[1..1]	±		2326

66.1.5.3.1.6 ActivityIndicator <ActvtyInd>*Presence:* [1..1]*Definition:* Indicates whether there is activity or information update reported in the statement.*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.5.3.1.7 StatementBasis <StmntBsis>*Presence:* [1..1]*Definition:* Type of balance on which the statement is prepared.

StatementBasis <StmntBsis> contains one of the following elements (see "[StatementBasisCodeAndDSSCodeChoice](#)" on page 2324 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StatementBasisAsCode <StmntBsisAsCd>	[1..1]	CodeSet		2325
Or}	StatementBasisAsDSS <StmntBsisAsDSS>	[1..1]	±		2325

66.1.5.3.1.8 ReportNumber <RptNb>*Presence:* [0..1]*Definition:* Sequential number of the statement.

Datatype: "Max5NumericText" on page 2684

66.1.5.3.1.9 AuditedIndicator <AudtdInd>

Presence: [1..1]

Definition: Indicates whether the statement is audited.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.5.3.2 AccountDetails <AcctDtls>

Presence: [0..1]

Definition: The safekeeping or investment account of the statement that is being cancelled.

AccountDetails <AcctDtls> contains the following elements (see "SafekeepingAccount2" on page 1516 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1516
	FungibleIndicator <Fngblnd>	[1..1]	Indicator		1517
	Name <Nm>	[0..1]	Text		1517
	Designation <Dsgnt>	[0..1]	Text		1517
	IntermediaryInformation <IntrmyInf>	[0..10]	±		1517
	AccountOwner <AcctOwnr>	[0..1]	±		1517
	AccountServicer <AcctSvcr>	[0..1]	±		1518

66.1.5.3.3 BalanceForAccount <BalForAcct>

Presence: [0..*]

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account.

Impacted by: C1 "AccruedInterestAmountSignRule", C6 "BalanceAtSafekeepingPlaceRule"

BalanceForAccount <BalForAcct> contains the following elements (see ["AggregateBalanceInformation3"](#) on page 1253 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateQuantity <AggtQty>	[1..1]	±		1254
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1254
	HoldingValue <HldgVal>	[1..*]	Amount	C3, C12	1254
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1254
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1255
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1255
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1255
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1256
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C12	1256
	PriceDetails <PricDtls>	[1..*]	±	C15	1257
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1258
	BalanceBreakdownDetails <BalBrkdwnDtls>	[0..*]	±		1258
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>	[0..*]	±		1258
	BalanceAtSafekeepingPlace <BalAtSfkpgPlc>	[0..*]	±	C2	1259

Constraints

- **AccruedInterestAmountSignRule**

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

- **BalanceAtSafekeepingPlaceRule**

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

66.1.5.3.4 SubAccountDetails <SubAcctDtls>

Presence: [0..*]

Definition: The sub-account of the safekeeping or investment account.

SubAccountDetails <SubAcctDtls> contains the following elements (see ["SubAccountIdentification3"](#) on page 1219 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1219
	FungibleIndicator <Fngblnd>	[1..1]	Indicator		1219
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1220
	BalanceForSubAccount <BalForSubAcct>	[0..*]	±	C1, C6	1220

66.1.5.3.5 TotalValues <TtlVals>*Presence:* [0..1]*Definition:* Value of total holdings reported.**TotalValues <TtlVals>** contains the following elements (see ["TotalValueInPageAndStatement"](#) on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalHoldingsValueOfPage <TtlHldgsValOfPg>	[0..1]	Amount	C2, C10	1252
	TotalHoldingsValueOfStatement <TtlHldgsValOfStmnt>	[1..1]	Amount	C2, C10	1252

66.1.5.3.6 Extension <Xtnsn>*Presence:* [0..*]*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.*Impacted by:* C16 "ExtensionRule"**Extension <Xtnsn>** contains the following elements (see ["Extension1"](#) on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

66.1.5.4 CustodyStatementOfHoldings2*Definition:* Information about a custody statement of holdings.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StatementGeneralDetails <StmntGnlDtls>	[0..1]			1246
	Reference <Ref>	[1..1]	Text		1247
	StatementDateTime <StmntDtTm>	[1..1]	±		1247
	CreationDateTime <CreDtTm>	[0..1]	±		1247
	Frequency <Frqcy>	[1..1]	±		1247
	UpdateType <UpdTp>	[1..1]	±		1248
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1248
	StatementBasis <StmntBsis>	[1..1]	±		1248
	ReportNumber <RptNb>	[0..1]	Text		1248
	AccountDetails <AcctDtls>	[0..1]	±		1249
	BalanceForAccount <BalForAcct>	[0..*]	±	C1, C8, C5	1249
	SubAccountDetails <SubAcctDtls>	[0..*]	±		1251
	TotalValues <TtlVals>	[0..1]	±		1251
	Extension <Xtnsn>	[0..*]	±	C16	1251

Constraints

- **BalanceForAccountOrSubAccountDetailsRule**

If StatementGeneralDetails/ActivityIndicator is "true" or "1" (Yes), then either BalanceForAccount or SubAccountDetails is mandatory. If StatementGeneralDetails/ActivityIndicator is "false" or "0" (No), then BalanceForAccount and SubAccountDetails may be present.

66.1.5.4.1 StatementGeneralDetails <StmntGnlDtls>

Presence: [0..1]

Definition: General information related to the custody statement of holdings that is being cancelled.

StatementGeneralDetails <StmntGnlDtls> contains the following **Statement7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1247
	StatementDateTime <StmntDtTm>	[1..1]	±		1247
	CreationDateTime <CreDtTm>	[0..1]	±		1247
	Frequency <Frqcy>	[1..1]	±		1247
	UpdateType <UpdTp>	[1..1]	±		1248
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1248
	StatementBasis <StmntBsis>	[1..1]	±		1248
	ReportNumber <RptNb>	[0..1]	Text		1248

66.1.5.4.1.1 Reference <Ref>

Presence: [1..1]

Definition: Reference of the statement.

Datatype: "Max35Text" on page 2683

66.1.5.4.1.2 StatementDateTime <StmntDtTm>

Presence: [1..1]

Definition: Date of the statement.

StatementDateTime <StmntDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.5.4.1.3 CreationDateTime <CreDtTm>

Presence: [0..1]

Definition: Preparation date of the statement.

CreationDateTime <CreDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.5.4.1.4 Frequency <Frqcy>

Presence: [1..1]

Definition: Frequency of the statement.

Frequency <Frqcy> contains one of the following elements (see "[FrequencyCodeAndDSSCode1Choice](#)" on page 2264 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FrequencyAsCode <FrqcyAsCd>	[1..1]	CodeSet		2264
Or}	FrequencyAsDSS <FrqcyAsDSS>	[1..1]	±		2265

66.1.5.4.1.5 UpdateType <UpdTp>

Presence: [1..1]

Definition: Indicates whether the report is complete or contains changes only.

UpdateType <UpdTp> contains one of the following elements (see "[StatementUpdateTypeCodeAndDSSCodeChoice](#)" on page 2325 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StatementUpdateTypeAsCode <StmntUpdTpAsCd>	[1..1]	CodeSet		2325
Or}	StatementUpdateTypeAsDSS <StmntUpdTpAsDSS>	[1..1]	±		2326

66.1.5.4.1.6 ActivityIndicator <ActvtyInd>

Presence: [1..1]

Definition: Indicates whether there is activity or information update reported in the statement.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.5.4.1.7 StatementBasis <StmntBsis>

Presence: [1..1]

Definition: Type of balance on which the statement is prepared.

StatementBasis <StmntBsis> contains one of the following elements (see "[StatementBasisCodeAndDSSCodeChoice](#)" on page 2324 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StatementBasisAsCode <StmntBsisAsCd>	[1..1]	CodeSet		2325
Or}	StatementBasisAsDSS <StmntBsisAsDSS>	[1..1]	±		2325

66.1.5.4.1.8 ReportNumber <RptNb>

Presence: [0..1]

Definition: Sequential number of the statement.

Datatype: "[Max5NumericText](#)" on page 2684

66.1.5.4.2 AccountDetails <AcctDtls>*Presence:* [0..1]*Definition:* Safekeeping or investment account of the statement that is being cancelled.**AccountDetails <AcctDtls>** contains the following elements (see "[SafekeepingAccount2](#)" on page 1516 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1516
	FungibleIndicator <Fngblnd>	[1..1]	Indicator		1517
	Name <Nm>	[0..1]	Text		1517
	Designation <Dsgnt>	[0..1]	Text		1517
	IntermediaryInformation <IntrmyInf>	[0..10]	±		1517
	AccountOwner <AcctOwnr>	[0..1]	±		1517
	AccountServicer <AcctSvcr>	[0..1]	±		1518

66.1.5.4.3 BalanceForAccount <BalForAcct>*Presence:* [0..*]*Definition:* Net position of a segregated holding, in a single security, within the overall position held in a securities account.*Impacted by:* C1 "[AccruedInterestAmountSignRule](#)", C8 "[BalanceAtSafekeepingPlaceRule](#)", C5 "[AggregateQuantityGuideline](#)"

BalanceForAccount <BalForAcct> contains the following elements (see "AggregateBalanceInformation4" on page 1259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateQuantity <AggtQty>	[1..1]	±		1261
	AvailableQuantity <AvlblQty>	[0..1]	±		1261
	NotAvailableQuantity <NotAvlblQty>	[0..1]	±		1261
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1262
	HoldingValue <HldgVal>	[0..*]	Amount	C3, C12	1262
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1262
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1262
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1263
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1263
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1263
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C12	1264
	PriceDetails <PricDtls>	[0..*]	±	C15	1264
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1265
	BalanceBreakdownDetails <BalBrkdownDtls>	[0..*]	±		1265
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdownDtls>	[0..*]	±		1266
	BalanceAtSafekeepingPlace <BalAtSfkpgPlc>	[0..*]		C2, C6	1266
	AggregateQuantity <AggtQty>	[1..1]	±		1267
	AvailableQuantity <AvlblQty>	[0..1]	±		1268
	NotAvailableQuantity <NotAvlblQty>	[0..1]	±		1268
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1268
	HoldingValue <HldgVal>	[0..*]	Amount	C3, C12	1268
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1269
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1269
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1269
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1270
	SafekeepingPlace <SfkpgPlc>	[1..1]	±		1270
	PriceDetails <PricDtls>	[0..*]	±	C15	1270
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1271
	BalanceBreakdownDetails <BalBrkdownDtls>	[0..*]	±		1271

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdownDtls>	[0..*]	±		1272

Constraints

- **AccruedInterestAmountSignRule**

if AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

- **AggregateQuantityGuideline**

The AggregateQuantity should be the sum of AvailableQuantity and NotAvailableQuantity if AvailableQuantity and NotAvailableQuantity are both present.

- **BalanceAtSafekeepingPlaceRule**

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

66.1.5.4.4 SubAccountDetails <SubAcctDtls>

Presence: [0..*]

Definition: Sub-account of the safekeeping or investment account.

SubAccountDetails <SubAcctDtls> contains the following elements (see "[SubAccountIdentification5](#)" on page 1221 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1221
	FungibleIndicator <Fngblnd>	[1..1]	Indicator		1221
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1222
	BalanceForSubAccount <BalForSubAcct>	[0..*]	±	C1, C8, C5	1222

66.1.5.4.5 TotalValues <TtlVals>

Presence: [0..1]

Definition: Value of total holdings reported.

TotalValues <TtlVals> contains the following elements (see "[TotalValueInPageAndStatement](#)" on page 1252 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalHoldingsValueOfPage <TtlHldgsValOfPg>	[0..1]	Amount	C2, C10	1252
	TotalHoldingsValueOfStatement <TtlHldgsValOfStmnt>	[1..1]	Amount	C2, C10	1252

66.1.5.4.6 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C16 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

66.1.5.5 TotalValueInPageAndStatement

Definition: Value of total holdings reported.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalHoldingsValueOfPage <TtlHldgsValOfPg>	[0..1]	Amount	C2, C10	1252
	TotalHoldingsValueOfStatement <TtlHldgsValOfStmnt>	[1..1]	Amount	C2, C10	1252

66.1.5.5.1 TotalHoldingsValueOfPage <TtlHldgsValOfPg>

Presence: [0..1]

Definition: Total value of positions reported in this message.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5.5.2 TotalHoldingsValueOfStatement <TtlHldgsValOfStmnt>

Presence: [1..1]

Definition: Total value of positions reported in this statement (a statement may comprise one or more messages).

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5.6 AggregateBalanceInformation3

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account. A securities balance is calculated from the sum of securities' receipts minus the sum of securities' deliveries.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateQuantity <AggtQty>	[1..1]	±		1254
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1254
	HoldingValue <HldgVal>	[1..*]	Amount	C3, C12	1254
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1254
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1255
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1255
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1255
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1256
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C12	1256
	PriceDetails <PricDtls>	[1..*]	±	C15	1257
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1258
	BalanceBreakdownDetails <BalBrkdwnDtls>	[0..*]	±		1258
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>	[0..*]	±		1258
	BalanceAtSafekeepingPlace <BalAtSfkpgPlc>	[0..*]	±	C2	1259

Constraints

- **AccruedInterestAmountSignRule**

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

- **BalanceAtSafekeepingPlaceRule**

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

66.1.5.6.1 AggregateQuantity <AggtQty>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

AggregateQuantity <AggtQty> contains one of the following elements (see "[BalanceQuantity1Choice](#)" on page 1283 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1283
Or}	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1284

66.1.5.6.2 DaysAccrued <DaysAcrd>

Presence: [0..1]

Definition: Specifies the number of days used for calculating the accrued interest amount.

Datatype: "[Number](#)" on page 2680

66.1.5.6.3 HoldingValue <HldgVal>

Presence: [1..*]

Definition: Total value of a balance of the securities account for a specific financial instrument, expressed in one or more currencies.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#), [C12 "CurrencyAmount"](#)

Datatype: "[ActiveOrHistoricCurrencyAndAmount](#)" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5.6.4 PreviousHoldingValue <PrvsHldgVal>

Presence: [0..1]

Definition: Previous total value of a balance of the securities account for a specific financial instrument, expressed in one or more currencies.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5.6.5 AccruedInterestAmount <AcrdIntrstAmt>

Presence: [0..1]

Definition: Interest amount that has accrued in between coupon payment periods.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5.6.6 AccruedInterestAmountSign <AcrdIntrstAmtSgn>

Presence: [0..1]

Definition: Indicates whether the accrued interest is a positive or negative amount.

Datatype: One of the following values must be used (see "PlusOrMinusIndicator" on page 2680):

- *Meaning When True:* Plus
- *Meaning When False:* Minus

66.1.5.6.7 BookValue <BookVal>

Presence: [0..1]

Definition: Value of a security, as booked in an account. Book value is often different from the current market value of the security.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#), [C12 "CurrencyAmount"](#)

Datatype: ["ActiveOrHistoricCurrencyAndAmount"](#) on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5.6.8 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see ["SafekeepingPlaceFormatChoice"](#) on page 2265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]		C13	2265
	PlaceSafekeeping <PlcSfkpg>	[1..1]	CodeSet		2266
	Narrative <Nrrtv>	[0..1]	Text		2266
	Party <Pty>	[0..1]	±		2266
Or	IdentificationAsDSS <IdAsDSS>	[1..1]	±		2267
Or}	IdentificationAsCountry <IdAsCtry>	[1..1]	CodeSet	C11	2267

66.1.5.6.9 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Security held on the account for which the balance is calculated.

Impacted by: [C12 "FinancialInstrumentIdentificationGuideline"](#)

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument13" on page 1400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1401
	Name <Nm>	[0..1]	Text		1401
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1401
	ClassType <ClsTp>	[0..1]	Text		1402
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1402
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1402

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

66.1.5.6.10 PriceDetails <PricDtls>

Presence: [1..*]

Definition: Price of the financial instrument in one or more currencies.

Impacted by: C15 "ValueRule"

PriceDetails <PricDtls> contains the following elements (see "PriceInformation2" on page 2208 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Value <Val>	[1..1]	±		2208
	ValueType <ValTp>	[0..1]	CodeSet		2209
{Or	Type <Tp>	[1..1]	CodeSet		2209
Or}	ExtendedType <XtnededTp>	[1..1]	Text		2210
	SourceOfPrice <SrcOfPric>	[0..1]			2210
{Or	LocalMarketPlace <LclMktPlc>	[1..1]	IdentifierSet		2211
Or	NonLocalMarketPlace <NonLclMktPlc>	[1..1]	±		2211
Or}	PlaceAsDSS <PlcAsDSS>	[1..1]	±		2211
	QuotationDate <QtnDt>	[0..1]	±		2211
	Yielded <Yldd>	[0..1]	Indicator		2211

Constraints

- **ValueRule**

If Type is not MRKT, then Value may not be expressed with UnknownIndicator.

66.1.5.6.11 ForeignExchangeDetails <FXDtIs>

Presence: [0..1]

Definition: Currency exchange related to a securities order.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms6](#)" on page 1479 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C3	1479
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C3	1480
	ExchangeRate <XchgRate>	[1..1]	Rate		1480
	QuotationDate <QtnDt>	[0..1]	DateTime		1480
	QuotingInstitution <QtglInstn>	[0..1]	±		1480

66.1.5.6.12 BalanceBreakdownDetails <BalBrkdwnDtIs>

Presence: [0..*]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, eg, sub-balance per status.

BalanceBreakdownDetails <BalBrkdwnDtIs> contains the following elements (see "[SubBalanceInformation2](#)" on page 1280 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1281
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1281
Or}	ExtendedSubBalanceType <XtnededSubBalTp>	[1..1]	Text		1283
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtIs>	[0..*]	±		1283

66.1.5.6.13 AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtIs>

Presence: [0..*]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, eg, sub-balance per status.

AdditionalBalanceBreakdownDetails <AddtlBalBrkdownDtls> contains the following elements (see "AdditionalBalanceInformation2" on page 1234 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1234
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1234
Or}	ExtendedSubBalanceType <XtndedSubBalTp>	[1..1]	Text		1235

66.1.5.6.14 BalanceAtSafekeepingPlace <BalAtSfkpgPlc>

Presence: [0..*]

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account at a specified place of safekeeping.

Impacted by: C2 "AccruedInterestAmountSignRule"

BalanceAtSafekeepingPlace <BalAtSfkpgPlc> contains the following elements (see "AggregateBalancePerSafekeepingPlace4" on page 1284 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateBalance <AggtBal>	[1..1]	±		1285
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1285
	HoldingValue <HldgVal>	[1..*]	Amount	C3, C12	1285
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1285
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1286
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1286
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1286
	SafekeepingPlace <SfkpgPlc>	[1..1]	±		1287
	PriceDetails <PricDtls>	[1..*]	±	C15	1287
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1288
	BalanceBreakdownDetails <BalBrkdownDtls>	[0..*]	±		1288
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdownDtls>	[0..*]	±		1289

Constraints

- **AccruedInterestAmountSignRule**

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

66.1.5.7 AggregateBalanceInformation4

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account. A securities balance is calculated from the sum of securities' receipts minus the sum of securities' deliveries.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateQuantity <AggtQty>	[1..1]	±		1261
	AvailableQuantity <AvlblQty>	[0..1]	±		1261
	NotAvailableQuantity <NotAvlblQty>	[0..1]	±		1261
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1262
	HoldingValue <HldgVal>	[0..*]	Amount	C3, C12	1262
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1262
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1262
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1263
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1263
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1263
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C12	1264
	PriceDetails <PricDtls>	[0..*]	±	C15	1264
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1265
	BalanceBreakdownDetails <BalBrkdwDtls>	[0..*]	±		1265
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwDtls>	[0..*]	±		1266
	BalanceAtSafekeepingPlace <BalAtSfkpgPlc>	[0..*]		C2, C6	1266
	AggregateQuantity <AggtQty>	[1..1]	±		1267
	AvailableQuantity <AvlblQty>	[0..1]	±		1268
	NotAvailableQuantity <NotAvlblQty>	[0..1]	±		1268
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1268
	HoldingValue <HldgVal>	[0..*]	Amount	C3, C12	1268
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1269
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1269
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1269
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1270
	SafekeepingPlace <SfkpgPlc>	[1..1]	±		1270
	PriceDetails <PricDtls>	[0..*]	±	C15	1270
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1271
	BalanceBreakdownDetails <BalBrkdwDtls>	[0..*]	±		1271
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwDtls>	[0..*]	±		1272

Constraints

- **AccruedInterestAmountSignRule**

if AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

- **AggregateQuantityGuideline**

The AggregateQuantity should be the sum of AvailableQuantity and NotAvailableQuantity if AvailableQuantity and NotAvailableQuantity are both present.

- **BalanceAtSafekeepingPlaceRule**

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

66.1.5.7.1 AggregateQuantity <AggtQty>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

AggregateQuantity <AggtQty> contains one of the following elements (see "[BalanceQuantity1Choice](#)" on page 1283 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1283
Or}	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1284

66.1.5.7.2 AvailableQuantity <AvlblQty>

Presence: [0..1]

Definition: Total quantity of financial instrument for the referenced holding that is available.

AvailableQuantity <AvlblQty> contains one of the following elements (see "[BalanceQuantity1Choice](#)" on page 1283 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1283
Or}	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1284

66.1.5.7.3 NotAvailableQuantity <NotAvlblQty>

Presence: [0..1]

Definition: Total quantity of financial instrument for the referenced holding that is not available.

NotAvailableQuantity <NotAvlblQty> contains one of the following elements (see "[BalanceQuantity1Choice](#)" on page 1283 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1283
Or}	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1284

66.1.5.7.4 DaysAccrued <DaysAcrd>

Presence: [0..1]

Definition: Specifies the number of days used for calculating the accrued interest amount.

Datatype: "Number" on page 2680

66.1.5.7.5 HoldingValue <HldgVal>

Presence: [0..*]

Definition: Total value of a balance of the securities account for a specific financial instrument, expressed in one or more currencies.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5.7.6 PreviousHoldingValue <PrvsHldgVal>

Presence: [0..1]

Definition: Previous total value of a balance of the securities account for a specific financial instrument, expressed in one or more currencies.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5.7.7 AccruedInterestAmount <AcrdIntrstAmt>

Presence: [0..1]

Definition: Interest amount that has accrued in between coupon payment periods.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5.7.8 AccruedInterestAmountSign <AcrdIntrstAmtSgn>

Presence: [0..1]

Definition: Indicates whether the accrued interest is a positive or negative amount.

Datatype: One of the following values must be used (see "PlusOrMinusIndicator" on page 2680):

- *Meaning When True:* Plus
- *Meaning When False:* Minus

66.1.5.7.9 BookValue <BookVal>

Presence: [0..1]

Definition: Value of a security, as booked in an account. Book value is often different from the current market value of the security.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5.7.10 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormatChoice" on page 2265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]		C13	2265
	PlaceSafekeeping <PlcSfkpg>	[1..1]	CodeSet		2266
	Narrative <Nrrtv>	[0..1]	Text		2266
	Party <Pty>	[0..1]	±		2266
Or	IdentificationAsDSS <IdAsDSS>	[1..1]	±		2267
Or}	IdentificationAsCountry <IdAsCtry>	[1..1]	CodeSet	C11	2267

66.1.5.7.11 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Security held on the account for which the balance is calculated.

Impacted by: C12 "FinancialInstrumentIdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument13" on page 1400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1401
	Name <Nm>	[0..1]	Text		1401
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1401
	ClassType <ClsTp>	[0..1]	Text		1402
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1402
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1402

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

66.1.5.7.12 PriceDetails <PricDtls>

Presence: [0..*]

Definition: Price of the financial instrument in one or more currencies.

Impacted by: C15 "ValueRule"

PriceDetails <PricDtls> contains the following elements (see "PriceInformation2" on page 2208 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Value <Val>	[1..1]	±		2208
	ValueType <ValTp>	[0..1]	CodeSet		2209
{Or	Type <Tp>	[1..1]	CodeSet		2209
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2210
	SourceOfPrice <SrcOfPric>	[0..1]			2210
{Or	LocalMarketPlace <LclMktPlc>	[1..1]	IdentifierSet		2211
Or	NonLocalMarketPlace <NonLclMktPlc>	[1..1]	±		2211
Or}	PlaceAsDSS <PlcAsDSS>	[1..1]	±		2211
	QuotationDate <QtnDt>	[0..1]	±		2211
	Yielded <Yldd>	[0..1]	Indicator		2211

Constraints

- **ValueRule**

If Type is not MRKT, then Value may not be expressed with UnknownIndicator.

66.1.5.7.13 ForeignExchangeDetails <FXDtls>

Presence: [0..1]

Definition: Currency exchange related to a securities order.

ForeignExchangeDetails <FXDtls> contains the following elements (see "ForeignExchangeTerms6" on page 1479 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C3	1479
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C3	1480
	ExchangeRate <XchgRate>	[1..1]	Rate		1480
	QuotationDate <QtnDt>	[0..1]	DateTime		1480
	QuotingInstitution <QtgInstn>	[0..1]	±		1480

66.1.5.7.14 BalanceBreakdownDetails <BalBrkdwnDtls>

Presence: [0..*]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, eg, sub-balance per status.

BalanceBreakdownDetails <BalBrkdwnDtls> contains the following elements (see "SubBalanceInformation2" on page 1280 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1281
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1281
Or}	ExtendedSubBalanceType <XtndedSubBalTp>	[1..1]	Text		1283
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>	[0..*]	±		1283

66.1.5.7.15 AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>

Presence: [0..*]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, eg, sub-balance per status.

AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls> contains the following elements (see "AdditionalBalanceInformation2" on page 1234 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1234
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1234
Or}	ExtendedSubBalanceType <XtndedSubBalTp>	[1..1]	Text		1235

66.1.5.7.16 BalanceAtSafekeepingPlace <BalAtSfkpgPlc>

Presence: [0..*]

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account at a specified place of safekeeping.

Impacted by: C2 "AccruedInterestAmountSignRule", C6 "AggregateQuantityGuideline"

BalanceAtSafekeepingPlace <BalAtSfkpgPlc> contains the following **AggregateBalancePerSafekeepingPlace3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateQuantity <AggtQty>	[1..1]	±		1267
	AvailableQuantity <AvlblQty>	[0..1]	±		1268
	NotAvailableQuantity <NotAvlblQty>	[0..1]	±		1268
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1268
	HoldingValue <HldgVal>	[0..*]	Amount	C3, C12	1268
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1269
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1269
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1269
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1270
	SafekeepingPlace <SfkpgPlc>	[1..1]	±		1270
	PriceDetails <PricDtls>	[0..*]	±	C15	1270
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1271
	BalanceBreakdownDetails <BalBrkdwnDtls>	[0..*]	±		1271
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>	[0..*]	±		1272

Constraints

- **AccruedInterestAmountSignRule**

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

- **AggregateQuantityGuideline**

The AggregateQuantity should be the sum of AvailableQuantity and NotAvailableQuantity if AvailableQuantity and NotAvailableQuantity are both present.

66.1.5.7.16.1 AggregateQuantity <AggtQty>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

AggregateQuantity <AggtQty> contains one of the following elements (see "[BalanceQuantity1Choice](#)" on page 1283 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1283
Or}	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1284

66.1.5.7.16.2 AvailableQuantity <AvlblQty>*Presence:* [0..1]*Definition:* Total quantity of financial instrument for the referenced holding that is available.**AvailableQuantity <AvlblQty>** contains one of the following elements (see ["BalanceQuantity1Choice"](#) on page 1283 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1283
Or}	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1284

66.1.5.7.16.3 NotAvailableQuantity <NotAvlblQty>*Presence:* [0..1]*Definition:* Total quantity of financial instrument for the referenced holding that is not available.**NotAvailableQuantity <NotAvlblQty>** contains one of the following elements (see ["BalanceQuantity1Choice"](#) on page 1283 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1283
Or}	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1284

66.1.5.7.16.4 DaysAccrued <DaysAcrd>*Presence:* [0..1]*Definition:* Specifies the number of days used for calculating the accrued interest amount.*Datatype:* ["Number"](#) on page 2680**66.1.5.7.16.5 HoldingValue <HldgVal>***Presence:* [0..*]*Definition:* Total value of a balance of the securities account for a specific financial instrument, expressed in one or more currencies.*Impacted by:* [C3 "ActiveOrHistoricCurrency"](#), [C12 "CurrencyAmount"](#)*Datatype:* ["ActiveOrHistoricCurrencyAndAmount"](#) on page 2546**Constraints**

- ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5.7.16.6 PreviousHoldingValue <PrvsHldgVal>

Presence: [0..1]

Definition: Previous total value of a balance of the securities account for a specific financial instrument, expressed in one or more currencies.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5.7.16.7 AccruedInterestAmount <AcrdIntrstAmt>

Presence: [0..1]

Definition: Interest amount that has accrued in between coupon payment periods.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5.7.16.8 AccruedInterestAmountSign <AcrdIntrstAmtSgn>

Presence: [0..1]

Definition: Indicates whether the accrued interest is a positive or negative amount.

Datatype: One of the following values must be used (see "PlusOrMinusIndicator" on page 2680):

- *Meaning When True:* Plus
- *Meaning When False:* Minus

66.1.5.7.16.9 BookValue <BookVal>

Presence: [0..1]

Definition: Value of a security, as booked in an account. Book value is often different from the current market value of the security.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5.7.16.10 SafekeepingPlace <SfkpgPlc>

Presence: [1..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormatChoice" on page 2265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]		C13	2265
	PlaceSafekeeping <PlcSfkpg>	[1..1]	CodeSet		2266
	Narrative <Nrrtv>	[0..1]	Text		2266
	Party <Pty>	[0..1]	±		2266
Or	IdentificationAsDSS <IdAsDSS>	[1..1]	±		2267
Or}	IdentificationAsCountry <IdAsCtry>	[1..1]	CodeSet	C11	2267

66.1.5.7.16.11 PriceDetails <PricDtls>

Presence: [0..*]

Definition: Price of the financial instrument in one or more currencies.

Impacted by: C15 "ValueRule"

PriceDetails <PricDtls> contains the following elements (see "PriceInformation2" on page 2208 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Value <Val>	[1..1]	±		2208
	ValueType <ValTp>	[0..1]	CodeSet		2209
{Or	Type <Tp>	[1..1]	CodeSet		2209
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2210
	SourceOfPrice <SrcOfPric>	[0..1]			2210
{Or	LocalMarketPlace <LclMktPlc>	[1..1]	IdentifierSet		2211
Or	NonLocalMarketPlace <NonLclMktPlc>	[1..1]	±		2211
Or}	PlaceAsDSS <PlcAsDSS>	[1..1]	±		2211
	QuotationDate <QtnDt>	[0..1]	±		2211
	Yielded <Yldd>	[0..1]	Indicator		2211

Constraints

- **ValueRule**

If Type is not MRKT, then Value may not be expressed with UnknownIndicator.

66.1.5.7.16.12 ForeignExchangeDetails <FXDtls>

Presence: [0..1]

Definition: Currency exchange related to a securities order.

ForeignExchangeDetails <FXDtls> contains the following elements (see "ForeignExchangeTerms6" on page 1479 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C3	1479
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C3	1480
	ExchangeRate <XchgRate>	[1..1]	Rate		1480
	QuotationDate <QtnDt>	[0..1]	DateTime		1480
	QuotingInstitution <QtgInstn>	[0..1]	±		1480

66.1.5.7.16.13 BalanceBreakdownDetails <BalBrkdwnDtls>

Presence: [0..*]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, eg, sub-balance per status.

BalanceBreakdownDetails <BalBrkdwDtls> contains the following elements (see "SubBalanceInformation2" on page 1280 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1281
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1281
Or}	ExtendedSubBalanceType <XtndedSubBalTp>	[1..1]	Text		1283
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwDtls>	[0..*]	±		1283

66.1.5.7.16.14 AdditionalBalanceBreakdownDetails <AddtlBalBrkdwDtls>

Presence: [0..*]

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account.

AdditionalBalanceBreakdownDetails <AddtlBalBrkdwDtls> contains the following elements (see "AdditionalBalanceInformation2" on page 1234 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1234
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1234
Or}	ExtendedSubBalanceType <XtndedSubBalTp>	[1..1]	Text		1235

66.1.5.8 SubBalanceInformation3

Definition: Net position of a segregated holding of a single security within the overall position held in the securities account, eg, sub-balance per status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1272
	SubBalanceType <SubBalTp>	[1..1]	±		1273

66.1.5.8.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of securities in the sub-balance.

Quantity <Qty> contains one of the following elements (see "[SubBalanceQuantity1Choice](#)" on page 1279 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1279
Or	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1279
Or}	QuantityAndAvailability <QtyAndAvlby>	[1..1]			1280
	Quantity <Qty>	[1..1]	±		1280
	AvailabilityIndicator <AvlbyInd>	[1..1]	Indicator		1280

66.1.5.8.2 SubBalanceType <SubBalTp>

Presence: [1..1]

Definition: Type of subbalance.

SubBalanceType <SubBalTp> contains one of the following elements (see "[SubBalanceType2Choice](#)" on page 1274 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1274
Or}	Proprietary <Prtry>	[1..1]	±		1276

66.1.5.9 AdditionalBalanceInformation3

Definition: Net position of a segregated holding of a single security within the overall position held in the securities account, eg, sub-balance per status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1273
	AdditionalBalanceInformation <AddtlBalInf>	[1..1]	±		1274

66.1.5.9.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of securities in the sub-balance.

Quantity <Qty> contains one of the following elements (see "[SubBalanceQuantity1Choice](#)" on page 1279 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1279
Or	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1279
Or}	QuantityAndAvailability <QtyAndAvlQty>	[1..1]			1280
	Quantity <Qty>	[1..1]	±		1280
	AvailabilityIndicator <AvlQtyInd>	[1..1]	Indicator		1280

66.1.5.9.2 AdditionalBalanceInformation <AddtlBalInf>

Presence: [1..1]

Definition: Net position of a segregated holding of a single security within the overall position held in the securities account, eg, sub-balance per status.

AdditionalBalanceInformation <AddtlBalInf> contains one of the following elements (see "[SubBalanceType1Choice](#)" on page 1276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1276
Or}	Proprietary <Prtry>	[1..1]	±		1277

66.1.5.10 SubBalanceType2Choice

Definition: Choice of SubBalance.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1274
Or}	Proprietary <Prtry>	[1..1]	±		1276

66.1.5.10.1 SubBalanceType <SubBalTp>

Presence: [1..1]

Definition: Reason for the sub-balance.

Datatype: "[SecuritiesBalanceType3Code](#)" on page 2638

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
BORR	Borrowed	Balance of securities that have been borrowed from another party.

CodeName	Name	Definition
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
MARG	DerivativesMargin	Balance of securities that are used as collateral for derivatives.
PDMT	PendingDeliveryMatchedBalance	Balance of securities pending delivery that have been matched. This is only applicable in a CSD to participant communication.
PRMT	PendingReceiptMatchedBalance	Balance of securities pending receipt that have been matched. This is only applicable in a CSD to participant communication.
PRUM	PendingReceiptUnmatchedBalance	Balance of securities pending receipt that are unmatched. This is only applicable in a CSD to participant communication.
PECA	PendingCorporateAction	Balance of securities, before ex-date, that are involved in a corporate action (CA). Securities blocked due to a CA, for example, proxy, in escrow, are to be identified in the BLOK sub-balance.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
PLED	Pledged	Balance of securities that are pledged, for example, a financial instrument is unavailable because it has been used or given as a guarantee.
PDUM	PendingDeliveryUnmatchedBalance	Balance of securities pending delivery that are unmatched. This is only applicable in a CSD to participant communication.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.
RSTR	Restricted	Balance of securities that may only be sold under certain conditions or require legal documents.
OTHR	Unclassified	Balance of securities that is unclassified, ie, is not identified with one of the existing sub-balance types.

CodeName	Name	Definition
TRAN	InTransshipment	Balance of physical securities that are in transshipment, eg, in the process of being transferred from one depository or agent to another.
DRAW	Drawn	Balance of securities that are drawn for redemption.
WDOC	WaitingDocumentation	Financial instrument is unavailable due to missing or incomplete documentation pertaining to the account, or due to a missing or incomplete order.
BTRA	BeingTransferred	Financial instrument is unavailable as a result of a transfer order, pending movement in the shareholders' register.
SPCM	SidePocketComponent	Investment fund order contains a side pocket component.
HOLD	Holdback	Investment fund order execution subject to redemption proceeds.

66.1.5.10.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1511
	SchemeName <SchemeNm>	[0..1]	Text		1511
	Issuer <Issr>	[1..1]	Text		1511

66.1.5.11 SubBalanceType1Choice

Definition: Choice of SubBalance type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1276
Or}	Proprietary <Prtry>	[1..1]	±		1277

66.1.5.11.1 SubBalanceType <SubBalTp>

Presence: [1..1]

Definition: Reason for the sub-balance.

Datatype: "[SecuritiesBalanceType4Code](#)" on page 2640

CodeName	Name	Definition
CLEN	TaxExempt	Balance of tax-exempt securities.
DIRT	NonTaxExempt	Balance of taxable securities.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
OTHR	Unclassified	Balance of securities that is unclassified, ie, is not identified with one of the existing sub-balance types.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
UNRG	Unregistered	Balance of securities that could not be registered due to foreign ownership limitation.
SPCM	SidePocketComponent	Investment fund order contains a side pocket component.
HOLD	Holdback	Investment fund order execution subject to redemption proceeds.

66.1.5.11.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1511
	SchemeName <SchmeNm>	[0..1]	Text		1511
	Issuer <Issr>	[1..1]	Text		1511

66.1.5.12 FundBalance1

Definition: Balances of units and cash derived from investment fund orders.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1277
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1278
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1278
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1278

66.1.5.12.1 TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>

Presence: [0..1]

Definition: Total number of units from orders placed in units.

TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs> contains the following elements (see ["FinancialInstrumentQuantity1"](#) on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.5.12.2 TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>

Presence: [0..1]

Definition: Number of units derived from orders placed in cash.

TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs> contains the following elements (see ["FinancialInstrumentQuantity1"](#) on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.5.12.3 TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>

Presence: [0..1]

Definition: Total amount of cash derived from orders placed as units.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#), [C12 "CurrencyAmount"](#)

Datatype: ["ActiveOrHistoricCurrencyAndAmount"](#) on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5.12.4 TotalCashFromCashOrders <TtlCshFrCshOrdrs>

Presence: [0..1]

Definition: Total amount of cash from orders placed in cash.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#), [C12 "CurrencyAmount"](#)

Datatype: ["ActiveOrHistoricCurrencyAndAmount"](#) on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5.13 SubBalanceQuantity1Choice

Definition: Choice between formats for the balance information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1279
Or	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1279
Or}	QuantityAndAvailability <QtyAndAvlbt>	[1..1]			1280
	Quantity <Qty>	[1..1]	±		1280
	AvailabilityIndicator <AvlbtInd>	[1..1]	Indicator		1280

66.1.5.13.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of securities in the sub-balance.

Quantity <Qty> contains one of the following elements (see "[FinancialInstrumentQuantityChoice](#)" on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2278
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2278
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2278

66.1.5.13.2 QuantityAsDSS <QtyAsDSS>

Presence: [1..1]

Definition: Quantity of securities in the sub-balance.

QuantityAsDSS <QtyAsDSS> contains the following elements (see "[GenericIdentification6](#)" on page 1509 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Issuer <Issr>	[1..1]	Text		1509
	Information <Inf>	[1..1]	Text		1509
	Balance <Bal>	[1..1]	Quantity		1509

66.1.5.13.3 QuantityAndAvailability <QtyAndAvlbt>

Presence: [1..1]

Definition: Quantity of securities in the sub-balance and whether the balance is available.

QuantityAndAvailability <QtyAndAvlbt> contains the following **QuantityAndAvailability** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1280
	AvailabilityIndicator <AvlbtInd>	[1..1]	Indicator		1280

66.1.5.13.3.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of securities in the sub-balance.

Quantity <Qty> contains one of the following elements (see "[FinancialInstrumentQuantityChoice](#)" on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2278
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2278
Or}	AmortisedValue <AmtsVal>	[1..1]	Amount		2278

66.1.5.13.3.2 AvailabilityIndicator <AvlbtInd>

Presence: [1..1]

Definition: Indicates whether the quantity of securities on the sub-balance is available.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.5.14 SubBalanceInformation2

Definition: Net position of a segregated holding of a single security within the overall position held in the securities account, eg, sub-balance per status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1281
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1281
Or}	ExtendedSubBalanceType <XtndedSubBalTp>	[1..1]	Text		1283
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>	[0..*]	±		1283

66.1.5.14.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of securities in the sub-balance.

Quantity <Qty> contains one of the following elements (see "[SubBalanceQuantity1Choice](#)" on page 1279 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1279
Or	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1279
Or}	QuantityAndAvailability <QtyAndAvlby>	[1..1]			1280
	Quantity <Qty>	[1..1]	±		1280
	AvailabilityIndicator <AvlbyInd>	[1..1]	Indicator		1280

66.1.5.14.2 SubBalanceType <SubBalTp>

Presence: [1..1]

Warning Either SubBalanceType or ExtendedSubBalanceType must be present, but not both.

Definition: Reason for the sub-balance.

Datatype: "[SecuritiesBalanceType1Code](#)" on page 2636

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

CodeName	Name	Definition
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
MARG	DerivativesMargin	Balance of securities that are used as collateral for derivatives.
PDMT	PendingDeliveryMatchedBalance	Balance of securities pending delivery that have been matched. This is only applicable in a CSD to participant communication.
PRMT	PendingReceiptMatchedBalance	Balance of securities pending receipt that have been matched. This is only applicable in a CSD to participant communication.
PRUM	PendingReceiptUnmatchedBalance	Balance of securities pending receipt that are unmatched. This is only applicable in a CSD to participant communication.
PECA	PendingCorporateAction	Balance of securities, before ex-date, that are involved in a corporate action (CA). Securities blocked due to a CA, for example, proxy, in escrow, are to be identified in the BLOK sub-balance.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
PLED	Pledged	Balance of securities that are pledged, for example, a financial instrument is unavailable because it has been used or given as a guarantee.
PDUM	PendingDeliveryUnmatchedBalance	Balance of securities pending delivery that are unmatched. This is only applicable in a CSD to participant communication.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.
RSTR	Restricted	Balance of securities that may only be sold under certain conditions or require legal documents.
OTHR	Unclassified	Balance of securities that is unclassified, ie, is not identified with one of the existing sub-balance types.
TRAN	InTransshipment	Balance of physical securities that are in transshipment, eg, in the process of being transferred from one depository or agent to another.
DRAW	Drawn	Balance of securities that are drawn for redemption.
WDOC	WaitingDocumentation	Financial instrument is unavailable due to missing or incomplete documentation

CodeName	Name	Definition
		pertaining to the account, or due to a missing or incomplete order.
BTRA	BeingTransferred	Financial instrument is unavailable as a result of a transfer order, pending movement in the shareholders' register.

66.1.5.14.3 ExtendedSubBalanceType <XtndedSubBalTp>

Presence: [1..1]

Warning Either SubBalanceType or ExtendedSubBalanceType must be present, but not both.

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "Extended350Code" on page 2682

66.1.5.14.4 AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>

Presence: [0..*]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, eg, sub-balance per status.

AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls> contains the following elements (see "AdditionalBalanceInformation2" on page 1234 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1234
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1234
Or}	ExtendedSubBalanceType <XtndedSubBalTp>	[1..1]	Text		1235

66.1.5.15 BalanceQuantity1Choice

Definition: Choice between formats for the balance information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1283
Or}	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1284

66.1.5.15.1 Quantity <Qty>

Presence: [1..1]

Definition: Total quantity of financial instruments of the balance.

Quantity <Qty> contains one of the following elements (see "[FinancialInstrumentQuantityChoice](#)" on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2278
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2278
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2278

66.1.5.15.2 QuantityAsDSS <QtyAsDSS>

Presence: [1..1]

Definition: Total quantity of financial instruments of the balance.

QuantityAsDSS <QtyAsDSS> contains the following elements (see "[GenericIdentification6](#)" on page 1509 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Issuer <Issr>	[1..1]	Text		1509
	Information <Inf>	[1..1]	Text		1509
	Balance <Bal>	[1..1]	Quantity		1509

66.1.5.16 AggregateBalancePerSafekeepingPlace4

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account at a specified place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateBalance <AggtBal>	[1..1]	±		1285
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1285
	HoldingValue <HldgVal>	[1..*]	Amount	C3, C12	1285
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1285
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1286
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1286
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1286
	SafekeepingPlace <SfkpgPlc>	[1..1]	±		1287
	PriceDetails <PricDtls>	[1..*]	±	C15	1287
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1288
	BalanceBreakdownDetails <BalBrkdwnDtls>	[0..*]	±		1288
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>	[0..*]	±		1289

Constraints

- **AccruedInterestAmountSignRule**

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

66.1.5.16.1 AggregateBalance <AggtBal>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

AggregateBalance <AggtBal> contains one of the following elements (see "[BalanceQuantity1Choice](#)" on page 1283 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1283
Or}	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1284

66.1.5.16.2 DaysAccrued <DaysAcrd>

Presence: [0..1]

Definition: Specifies the number of days used for calculating the accrued interest amount.

Datatype: "[Number](#)" on page 2680

66.1.5.16.3 HoldingValue <HldgVal>

Presence: [1..*]

Definition: Total value of a balance of the securities account for a specific financial instrument, expressed in one or more currencies.

Impacted by: C3 "[ActiveOrHistoricCurrency](#)", C12 "[CurrencyAmount](#)"

Datatype: "[ActiveOrHistoricCurrencyAndAmount](#)" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5.16.4 PreviousHoldingValue <PrvsHldgVal>

Presence: [0..1]

Definition: Previous total value of a balance of the securities account for a specific financial instrument, expressed in one or more currencies.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5.16.5 AccruedInterestAmount <AcrdIntrstAmt>

Presence: [0..1]

Definition: Interest amount that has accrued in between coupon payment periods.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5.16.6 AccruedInterestAmountSign <AcrdIntrstAmtSgn>

Presence: [0..1]

Definition: Indicates whether the accrued interest is a positive or negative amount.

Datatype: One of the following values must be used (see "PlusOrMinusIndicator" on page 2680):

- *Meaning When True:* Plus
- *Meaning When False:* Minus

66.1.5.16.7 BookValue <BookVal>

Presence: [0..1]

Definition: Value of a security, as booked in an account. Book value is often different from the current market value of the security.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.5.16.8 SafekeepingPlace <SfkpgPlc>

Presence: [1..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormatChoice" on page 2265 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]		C13	2265
	PlaceSafekeeping <PlcSfkpg>	[1..1]	CodeSet		2266
	Narrative <Nrrtv>	[0..1]	Text		2266
	Party <Pty>	[0..1]	±		2266
Or	IdentificationAsDSS <IdAsDSS>	[1..1]	±		2267
Or}	IdentificationAsCountry <IdAsCtry>	[1..1]	CodeSet	C11	2267

66.1.5.16.9 PriceDetails <PricDtls>

Presence: [1..*]

Definition: Price of the financial instrument in one or more currencies.

Impacted by: C15 "ValueRule"

PriceDetails <PricDtls> contains the following elements (see "PriceInformation2" on page 2208 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Value <Val>	[1..1]	±		2208
	ValueType <ValTp>	[0..1]	CodeSet		2209
{Or	Type <Tp>	[1..1]	CodeSet		2209
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2210
	SourceOfPrice <SrcOfPric>	[0..1]			2210
{Or	LocalMarketPlace <LclMktPlc>	[1..1]	IdentifierSet		2211
Or	NonLocalMarketPlace <NonLclMktPlc>	[1..1]	±		2211
Or}	PlaceAsDSS <PlcAsDSS>	[1..1]	±		2211
	QuotationDate <QtnDt>	[0..1]	±		2211
	Yielded <Yldd>	[0..1]	Indicator		2211

Constraints

- **ValueRule**

If Type is not MRKT, then Value may not be expressed with UnknownIndicator.

66.1.5.16.10 ForeignExchangeDetails <FXDtls>

Presence: [0..1]

Definition: Currency exchange related to a securities order.

ForeignExchangeDetails <FXDtls> contains the following elements (see "ForeignExchangeTerms6" on page 1479 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C3	1479
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C3	1480
	ExchangeRate <XchgRate>	[1..1]	Rate		1480
	QuotationDate <QtnDt>	[0..1]	DateTime		1480
	QuotingInstitution <QtgInstn>	[0..1]	±		1480

66.1.5.16.11 BalanceBreakdownDetails <BalBrkdwDtls>

Presence: [0..*]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, eg, sub-balance per status.

BalanceBreakdownDetails <BalBrkdwnDtls> contains the following elements (see "SubBalanceInformation2" on page 1280 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1281
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1281
Or}	ExtendedSubBalanceType <XtndedSubBalTp>	[1..1]	Text		1283
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>	[0..*]	±		1283

66.1.5.16.12 AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>

Presence: [0..*]

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account.

AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls> contains the following elements (see "AdditionalBalanceInformation2" on page 1234 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1234
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1234
Or}	ExtendedSubBalanceType <XtndedSubBalTp>	[1..1]	Text		1235

66.1.6 Cancellation Right

66.1.6.1 DateFormat1Choice

Definition: Choice between the formats to express a date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1289
Or	Code <Cd>	[1..1]	CodeSet		1289
Or}	DateTime <DtTm>	[1..1]	DateTime		1290

66.1.6.1.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Datatype: "ISODate" on page 2668

66.1.6.1.2 Code <Cd>

Presence: [1..1]

Definition: Date expressed as a code.

Datatype: "SettlementDate1Code" on page 2641

CodeName	Name	Definition
ASAP	AsSoonAsPossible	Transfer is to be effected as soon as possible.
ENDC	AtEndOfContract	Transfer is to be effected at the end of the contract.
WHIF	WhenAndIfIssued	Settlement takes place when the financial instrument is issued by the issuer.

66.1.6.1.3 DateTime <DtTm>

Presence: [1..1]

Definition: Date expressed as a calendar date and time.

Datatype: "ISODateTime" on page 2669

66.1.7 Cash

66.1.7.1 CashInForecast6

Definition: Cash movements into a fund as a result of investment funds transactions, eg, subscriptions or switch-in.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1290
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1291
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1291
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1291
	AdditionalBalance <AddtlBal>	[0..1]	±		1291

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

66.1.7.1.1 CashSettlementDate <CshSttlmDt>

Presence: [1..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2668

66.1.7.1.2 SubTotalAmount <SubTtlAmt>

Presence: [0..1]

Definition: Sub-total amount of the cash flow in, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.7.1.3 SubTotalUnitsNumber <SubTtlUnitsNb>

Presence: [0..1]

Definition: Sub-total amount of the cash flow in, expressed as a number of units.

SubTotalUnitsNumber <SubTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.7.1.4 ExceptionalCashFlowIndicator <XcptnCshFlowInd>

Presence: [0..1]

Definition: Indicates whether the cash flow in is exceptional.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.7.1.5 AdditionalBalance <AddtlBal>

Presence: [0..1]

Definition: Additional balances for cash amounts and number of units.

In an estimated report, the total cash derived from orders placed as a number of units is an estimated cash amount and the total number of units derived from orders placed as a cash amount is an estimated number of units.

AdditionalBalance <AddtlBal> contains the following elements (see "FundBalance1" on page 1277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1277
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1278
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1278
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1278

66.1.7.2 FundDetailedConfirmedCashForecastReport3

Definition: Information about a cash forecast report.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FundOrSubFundDetails <FndOrSubFndDtls>	[0..1]	±	C14, C15, C16	1293
	FundCashForecastDetails <FndCshFcstDtls>	[1..*]		C11	1294
	Identification <Id>	[1..1]	Text		1296
	TradeDateTime <TradDtTm>	[1..1]	±		1296
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1296
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C13	1296
	TotalNAV <TtlNAV>	[0..*]	Amount	C3, C12	1297
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C3, C12	1297
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1298
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1298
	TotalNAVChangeRate <TtlNAVChngRate>	[0..1]	Rate		1298
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C3	1298
	CurrencyStatus <CcySts>	[0..1]	±		1299
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		1299
	Price <Pric>	[0..1]	±		1299
	ForeignExchangeRate <FXRate>	[0..1]	±		1299
	PercentageOfShareClassTotalNAV <PctgOfShrClsTtlNAV>	[0..1]	Rate		1300
	BreakdownByParty <BrkdwnByPty>	[0..*]	±		1300
	BreakdownByCountry <BrkdwnByCtry>	[0..*]	±		1301
	BreakdownByCurrency <BrkdwnByCcy>	[0..*]	±		1302
	BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam>	[0..*]	±	C17	1303
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]	±	C7	1304
	ConsolidatedNetCashForecast <CnsltdNetCshFcst>	[0..1]	±		1305
	Extension <Xtnsn>	[0..*]	±	C16	1305

66.1.7.2.1 FundOrSubFundDetails <FndOrSubFndDtls>

Presence: [0..1]

Definition: Information about the fund/sub fund when the report either specifies cash flow for the fund/sub fund or for a share class of the fund/sub fund.

Impacted by: C14 "FundIdentification1Rule", C15 "FundIdentification2Rule", C16 "FundIdentification3Rule"

FundOrSubFundDetails <FndOrSubFndDtls> contains the following elements (see "Fund4" on page 1677 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1678
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1678
	Identification <Id>	[0..1]			1678
	Identification <Id>	[1..1]	Text		1678
	Type <Tp>	[1..1]	±		1678
	Currency <Ccy>	[0..1]	CodeSet	C3	1679
	TotalNAV <TtlNAV>	[0..1]	Amount	C3, C12	1679
	PreviousTotalNAV <PrvsTtlNAV>	[0..1]	Amount	C3, C12	1679
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1680
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1680
	PercentageOfFundTotalNAV <PctgOfFndTtlNAV>	[0..1]	Rate		1680

Constraints

- **FundIdentification1Rule**

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Identification is absent
Following Must be True
  /Name Must be present
  Or    /LegalEntityIdentifier Must be present
```

- **FundIdentification2Rule**

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Name is absent
Following Must be True
  /Identification Must be present
  Or    /LegalEntityIdentifier Must be absent
```

- **FundIdentification3Rule**

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /LegalEntityIdentifier is absent
Following Must be True
  /Identification Must be present
  Or    /Name Must be present
```

66.1.7.2.2 FundCashForecastDetails <FndCshFcstDtls>

Presence: [1..*]

Definition: Information related to the cash-in and cash-out flows for a specific trade date as a result of transactions in shares in an investment fund, for example, subscriptions, redemptions or switches. The information provided is sorted by pre-defined criteria such as country, institution, currency or user defined criteria.

Impacted by: C11 "ExceptionalCashFlowIndicatorRule"

FundCashForecastDetails <FndCshFcstDtls> contains the following **FundCashForecast6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1296
	TradeDateTime <TradDtTm>	[1..1]	±		1296
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1296
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C13	1296
	TotalNAV <TtlNAV>	[0..*]	Amount	C3, C12	1297
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C3, C12	1297
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1298
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1298
	TotalNAVChangeRate <TtlNAVChngRate>	[0..1]	Rate		1298
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C3	1298
	CurrencyStatus <CcySts>	[0..1]	±		1299
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		1299
	Price <Pric>	[0..1]	±		1299
	ForeignExchangeRate <FXRate>	[0..1]	±		1299
	PercentageOfShareClassTotalNAV <PctgOfShrClsstTtlNAV>	[0..1]	Rate		1300
	BreakdownByParty <BrkdwnByPty>	[0..*]	±		1300
	BreakdownByCountry <BrkdwnByCtry>	[0..*]	±		1301
	BreakdownByCurrency <BrkdwnByCcy>	[0..*]	±		1302
	BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam>	[0..*]	±	C17	1303
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]	±	C7	1304

Constraints

- ExceptionalCashFlowIndicatorRule**

If ExceptionalNetCashFlowIndicator is "true" or "1" (Yes), then at least one or more of the following must be present:

BreakdownByParty/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByParty/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashOutForecast/ExceptionalCashFlowIndicator.

66.1.7.2.2.1 Identification <Id>

Presence: [1..1]

Definition: Unique technical identifier for an instance of a fund cash forecast within a fund cash forecast report as assigned by the issuer of the report.

Datatype: "Max35Text" on page 2683

66.1.7.2.2.2 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and, if required, the time, at which the price has been applied.

TradeDateTime <TradDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.7.2.2.3 PreviousTradeDateTime <PrvsTradDtTm>

Presence: [0..1]

Definition: Previous date and time at which the price was applied.

PreviousTradeDateTime <PrvsTradDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.7.2.2.4 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class to which the cash flow is related.

Impacted by: C13 "FinancialInstrumentIdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument9" on page 1441 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1442
	Name <Nm>	[0..1]	Text		1443
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1443
	RequestedNAVCurrency <ReqdNAVCCy>	[0..1]	CodeSet	C3	1443
	ClassType <ClsTp>	[0..1]	Text		1444
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1444
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1444
	DualFundIndicator <DualFndInd>	[1..1]	Indicator		1444

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

66.1.7.2.2.5 TotalNAV <TtlnAV>

Presence: [0..*]

Definition: Total value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.7.2.2.6 PreviousTotalNAV <PrvsTtlnAV>

Presence: [0..*]

Definition: Previous value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.7.2.2.7 TotalUnitsNumber <TtlUnitsNb>

Presence: [0..1]

Definition: Total number of investment fund class units that have been issued.

TotalUnitsNumber <TtlUnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.7.2.2.8 PreviousTotalUnitsNumber <PrvsTtlUnitsNb>

Presence: [0..1]

Definition: Previous total number of investment fund class units that have been issued.

PreviousTotalUnitsNumber <PrvsTtlUnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.7.2.2.9 TotalNAVChangeRate <TtlNAVChngRate>

Presence: [0..1]

Definition: Rate of change of the net asset value.

Datatype: "[PercentageRate](#)" on page 2681

66.1.7.2.2.10 InvestmentCurrency <InvstmtCcy>

Presence: [0..*]

Definition: Currency of the investment fund class.

Impacted by: C3 "[ActiveOrHistoricCurrency](#)"

Datatype: "[ActiveOrHistoricCurrencyCode](#)" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.7.2.2.11 CurrencyStatus <CcySts>

Presence: [0..1]

Definition: Information about the designation of the share class currency, that is, whether it is for onshore or offshore purposes and other information that may be required. This is typically only required for CNY funds.

CurrencyStatus <CcySts> contains the following elements (see "[CurrencyDesignation1](#)" on page 1810 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CurrencyDesignation <CcyDsgnt>	[0..1]	CodeSet		1810
	Location <Lctn>	[0..1]	CodeSet	C11	1811
	AdditionalInformation <AddtlInf>	[0..1]	Text		1811

66.1.7.2.2.12 ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>

Presence: [1..1]

Definition: Indicates whether the net cash flow is exceptional.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.7.2.2.13 Price <Pric>

Presence: [0..1]

Definition: Price per unit of the trade date.

Price <Pric> contains the following elements (see "[UnitPrice19](#)" on page 2240 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceType <PricTp>	[1..1]			2240
{Or	Code <Cd>	[1..1]	CodeSet		2240
Or}	Proprietary <Prtry>	[1..1]	±		2241
	Value <Val>	[1..1]	±		2241

66.1.7.2.2.14 ForeignExchangeRate <FXRate>

Presence: [0..1]

Definition: Foreign exchange rate.

ForeignExchangeRate <FXRate> contains the following elements (see "[ForeignExchangeTerms19](#)" on page 1487 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1488
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1488
	ExchangeRate <XchgRate>	[1..1]	Rate		1488

66.1.7.2.2.15 PercentageOfShareClassTotalNAV <PctgOfShrClssttNAV>

Presence: [0..1]

Definition: Net cash flow expressed as a percentage of the total NAV for the share class.

Datatype: "[PercentageRate](#)" on page 2681

66.1.7.2.2.16 BreakdownByParty <BrkdwnByPty>

Presence: [0..*]

Definition: Cash flow by party.

BreakdownByParty <BrkdwnByPty> contains the following elements (see "BreakdownByParty3" on page 1853 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]		C1, C2	1854
	AccountIdentification <AcctId>	[0..1]	±		1855
	OwnerIdentification <OwnrId>	[0..1]	±		1855
	AccountServicer <AcctSvcr>	[0..1]	±		1855
	AdditionalParameters <AddtlParams>	[0..1]	±		1856
	CashInForecast <CshInFcst>	[0..*]		C5	1856
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1857
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1857
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1857
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1857
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1858
	AdditionalBalance <AddtlBal>	[0..1]	±		1858
	CashOutForecast <CshOutFcst>	[0..*]		C6	1858
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1859
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1859
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1860
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1860
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1860
	AdditionalBalance <AddtlBal>	[0..1]	±		1861
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1861

66.1.7.2.2.17 BreakdownByCountry <BrkdwnByCtry>

Presence: [0..*]

Definition: Cash flow by country.

BreakdownByCountry <BrkdwnByCtry> contains the following elements (see "BreakdownByCountry2" on page 1846 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1847
	CashInForecast <CshInFcst>	[0..*]		C5	1847
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1848
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1848
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1849
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1849
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1849
	AdditionalBalance <AddtlBal>	[0..1]	±		1849
	CashOutForecast <CshOutFcst>	[0..*]		C6	1850
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1851
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1851
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1851
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1851
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1851
	AdditionalBalance <AddtlBal>	[0..1]	±		1852
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1852

66.1.7.2.2.18 BreakdownByCurrency <BrkdwnByCcy>

Presence: [0..*]

Definition: Cash flow by currency.

BreakdownByCurrency <BrkdwnByCcy> contains the following elements (see "BreakdownByCurrency2" on page 1839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Currency <Ccy>	[1..1]	CodeSet	C3	1840
	CashOutForecast <CshOutFcst>	[0..*]		C6	1840
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1841
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1841
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1842
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1842
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1842
	AdditionalBalance <AddtlBal>	[0..1]	±		1842
	CashInForecast <CshInFcst>	[0..*]		C5	1843
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1844
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1844
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1844
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1844
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1844
	AdditionalBalance <AddtlBal>	[0..1]	±		1845
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1845

66.1.7.2.2.19 BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam>

Presence: [0..*]

Definition: Cash flow by a user defined parameter/s.

Impacted by: C17 "UserDefinedRule"

BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam> contains the following elements (see "BreakdownByUserDefinedParameter3" on page 1831 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[0..1]		C1, C2	1832
	AccountIdentification <AcctId>	[0..1]	±		1832
	OwnerIdentification <OwnrId>	[0..1]	±		1832
	AccountServicer <AcctSvcr>	[0..1]	±		1833
	Country <Ctry>	[0..1]	CodeSet	C11	1833
	Currency <Ccy>	[0..1]	CodeSet	C3	1833
	UserDefined <UsrDfnd>	[0..1]	±		1834
	CashInForecast <CshInFcst>	[0..*]		C5	1834
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1835
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1835
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1835
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1835
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1835
	AdditionalBalance <AddtlBal>	[0..1]	±		1836
	CashOutForecast <CshOutFcst>	[0..*]		C6	1836
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1837
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1837
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1838
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1838
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1838
	AdditionalBalance <AddtlBal>	[0..1]	±		1838
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1839

Constraints

- **UserDefinedRule**

At least one message element in the list (Party, Country, Currency, UserDefined) must be present.
More than one element in the list (Party, Country, Currency, UserDefined) may be present.

66.1.7.2.2.20 NetCashForecastDetails <NetCshFcstDtls>

Presence: [0..*]

Definition: Net cash movements per financial instrument.

Impacted by: C7 "AdditionalBalanceRule"

NetCashForecastDetails <NetCshFcstDtls> contains the following elements (see "NetCashForecast4" on page 1312 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1313
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1313
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1313
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1314
	AdditionalBalance <AddtlBal>	[0..1]	±		1314

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

66.1.7.2.3 ConsolidatedNetCashForecast <CnsltdNetCshFcst>

Presence: [0..1]

Definition: Estimated net cash as a result of the cash-in and cash-out flows.

ConsolidatedNetCashForecast <CnsltdNetCshFcst> contains the following elements (see "NetCashForecast3" on page 1311 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1311
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1312
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1312

66.1.7.2.4 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C16 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

66.1.7.3 FundConfirmedCashForecastReport3

Definition: Information about a cash forecast report.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FundOrSubFundDetails <FndOrSubFndDtls>	[0..*]	±	C13, C14, C15	1307
	FundCashForecastDetails <FndCshFcstDtls>	[0..*]	±	C10	1309
	ConsolidatedNetCashForecast <CnsltdNetCshFcst>	[0..1]	±		1310
	Extension <Xtnsn>	[0..*]	±	C16	1311

Constraints

- **ConsolidatedNetCashRule**

If ConsolidatedNetCashForecast is present, then at least one occurrence of either FundOrSubFundDetails or FundCashForecastDetails must be present. Both FundOrSubFundDetails and FundCashForecastDetails may be present.

On Condition

/ConsolidatedNetCashForecast is present

Following Must be True

/FundOrSubFundDetails[*] Must be present

Or /FundCashForecastDetails[*] Must be present

- **FundOrSubFundAndShareClassGuideline**

When using the message to provide cash in and cash out amounts for more than one fund/sub fund, and more than one share classes, (two or more FundOrSubFundDetails sequences and two or more FundCashForecastDetails sequences and used), in this usage there is no way to determine which share class belongs to which fund/sub fund from the message content itself, which may not be desirable and this type of usage should be bilaterally agreed.

- **PreviousTradeDate1Rule**

If any occurrence of FundCashForecastDetails is present, then any occurrence of FundOrSubFundDetails/PreviousTradeDateTime is not allowed.

On Condition

/FundCashForecastDetails[*] is present

Following Must be True

/FundOrSubFundDetails[*]/PreviousTradeDateTime Must be absent

- **TradeDate1Rule**

If any occurrence of FundCashForecastDetails is present, then any occurrence of FundOrSubFundDetails/TradeDateTime is not allowed.

On Condition

/FundCashForecastDetails[*] is present

Following Must be True

/FundOrSubFundDetails[*]/TradeDateTime Must be absent

- **TradeDate2Rule**

If FundCashForecastDetails is not present, then at least one occurrence of FundOrSubFundDetails/TradeDateTime must be present.

On Condition

/FundCashForecastDetails[*] is absent

Following Must be True

/FundOrSubFundDetails[*]/TradeDateTime Must be present

66.1.7.3.1 FundOrSubFundDetails <FndOrSubFndDtls>

Presence: [0..*]

Definition: Information about the fund/sub fund when the report either specifies cash flow for the fund/sub fund or for a share class of the fund/sub fund.

Impacted by: C13 "FundIdentification1Rule", C14 "FundIdentification2Rule", C15 "FundIdentification3Rule"

FundOrSubFundDetails <FndOrSubFndDtls> contains the following elements (see "Fund2" on page 1638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1639
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1639
	Identification <Id>	[0..1]			1639
	Identification <Id>	[1..1]	Text		1639
	Type <Tp>	[1..1]	±		1640
	Currency <Ccy>	[0..1]	CodeSet	C3	1640
	TradeDateTime <TradDtTm>	[0..1]	±		1640
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1640
	TotalNAV <TtlNAV>	[0..1]	Amount	C3, C12	1641
	PreviousTotalNAV <PrvsTtlNAV>	[0..1]	Amount	C3, C12	1641
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1641
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1642
	PercentageOfFundTotalNAV <PctgOfFndTtlNAV>	[0..1]	Rate		1642
	CashInForecastDetails <CshInFcstDtls>	[0..*]	±		1642
	CashOutForecastDetails <CshOutFcstDtls>	[0..*]	±		1642
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]			1643
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1643
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1643
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1643
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1644

Constraints

• FundIdentification1Rule

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Identification is absent
Following Must be True
  /Name Must be present
  Or    /LegalEntityIdentifier Must be present
```

• FundIdentification2Rule

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Name is absent
```


Following Must be True
 /Identification Must be present
Or /LegalEntityIdentifier Must be absent

- **FundIdentification3Rule**

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

On Condition
 /LegalEntityIdentifier is absent
Following Must be True
 /Identification Must be present
Or /Name Must be present

66.1.7.3.2 FundCashForecastDetails <FndCshFcstDtls>

Presence: [0..*]

Definition: Information related to the cash-in and cash-out flows for a specific trade date as a result of investment fund transactions, for example, subscriptions, redemptions or switches to/from a specified investment fund.

Impacted by: C10 "ExceptionalCashFlowIndicatorRule"

FundCashForecastDetails <FndCshFcstDtls> contains the following elements (see "FundCashForecast7" on page 1655 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1656
	TradeDateTime <TradDtTm>	[1..1]	±		1656
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1657
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C13	1657
	TotalNAV <TtlNAV>	[0..*]	Amount	C3, C12	1658
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C3, C12	1658
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1658
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1659
	TotalNAVChangeRate <TtlNAVChngRate>	[0..1]	Rate		1659
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C3	1659
	CurrencyStatus <CcySts>	[0..1]	±		1659
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		1660
	Price <Pric>	[0..1]	±		1660
	ForeignExchangeRate <FXRate>	[0..1]	±		1660
	PercentageOfShareClassTotalNAV <PctgOfShrClsTtlNAV>	[0..1]	Rate		1660
	CashInForecastDetails <CshInFcstDtls>	[0..*]	±	C3	1661
	CashOutForecastDetails <CshOutFcstDtls>	[0..*]	±	C4	1661
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]	±	C7	1662

Constraints

- **ExceptionalCashFlowIndicatorRule**

If Exceptional NetCashFlow Indicator is "true" or "1" (Yes), then CashInForecastDetails/ ExceptionalCashFlowIndicator and CashOutForecastDetails/ ExceptionalCashFlowIndicator must be present.

66.1.7.3.3 ConsolidatedNetCashForecast <CnsltdNetCshFcst>

Presence: [0..1]

Definition: Estimated net cash as a result of the cash-in and cash-out flows.

ConsolidatedNetCashForecast <CnsltdNetCshFcst> contains the following elements (see "NetCashForecast3" on page 1311 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1311
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1312
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1312

66.1.7.3.4 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C16 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

66.1.7.4 NetCashForecast3

Definition: Net cash movement to a fund as a result of investment funds transactions.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1311
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1312
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1312

66.1.7.4.1 NetAmount <NetAmt>

Presence: [0..1]

Definition: Net amount of the cash flow, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.7.4.2 NetUnitsNumber <NetUnitsNb>

Presence: [0..1]

Definition: Net amount, expressed as a number of units.

NetUnitsNumber <NetUnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.7.4.3 FlowDirection <FlowDrctn>

Presence: [1..1]

Definition: Specifies the direction of the cash flow from the perspective of the fund.

Datatype: "FlowDirectionType1Code" on page 2580

CodeName	Name	Definition
INCG	Incoming	Amount of money received.
OUTG	Outgoing	Amount of money paid out.

66.1.7.5 NetCashForecast4

Definition: Net cash movement to a fund as a result of investment funds transactions.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1313
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1313
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1313
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1314
	AdditionalBalance <AddtlBal>	[0..1]	±		1314

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

66.1.7.5.1 CashSettlementDate <CshSttlmDt>

Presence: [1..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2668

66.1.7.5.2 NetAmount <NetAmt>

Presence: [0..1]

Definition: Net amount of the cash flow, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.7.5.3 NetUnitsNumber <NetUnitsNb>

Presence: [0..1]

Definition: Net amount, expressed as a number of units.

NetUnitsNumber <NetUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.7.5.4 FlowDirection <FlowDrctn>*Presence:* [1..1]*Definition:* Specifies the direction of the cash flow from the perspective of the fund.*Datatype:* "FlowDirectionType1Code" on page 2580

CodeName	Name	Definition
INCG	Incoming	Amount of money received.
OUTG	Outgoing	Amount of money paid out.

66.1.7.5.5 AdditionalBalance <AddtlBal>*Presence:* [0..1]*Definition:* Additional balances for cash amounts and number of units.

In an estimated report, the total cash derived from orders placed as a number of units is an estimated cash amount and the total number of units derived from orders placed as a cash amount is an estimated number of units.

AdditionalBalance <AddtlBal> contains the following elements (see "FundBalance1" on page 1277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1277
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1278
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1278
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1278

66.1.7.6 CashOutForecast6

Definition: Cash movements out of a fund as a result of investment funds transactions, eg, redemptions or switch-out.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1315
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1315
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1315
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1315
	AdditionalBalance <AddtlBal>	[0..1]	±		1316

Constraints

- AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition
 /AdditionalBalance is present
 Following Must be True
 /AdditionalBalance/TotalUnitsFromUnitOrders Must be present
 Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present
 Or /AdditionalBalance/TotalCashFromUnitOrders Must be present
 Or /AdditionalBalance/TotalCashFromCashOrders Must be present

66.1.7.6.1 CashSettlementDate <CshSttlmDt>

Presence: [1..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2668

66.1.7.6.2 SubTotalAmount <SubTtlAmt>

Presence: [0..1]

Definition: Sub-total amount of the cash flow out, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.7.6.3 SubTotalUnitsNumber <SubTtlUnitsNb>

Presence: [0..1]

Definition: Sub-total amount of the cash flow out, expressed as a number of units.

SubTotalUnitsNumber <SubTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.7.6.4 ExceptionalCashFlowIndicator <XcptnlCshFlowInd>

Presence: [0..1]

Definition: Indicates whether the cash flow out is exceptional.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.7.6.5 AdditionalBalance <AddtlBal>

Presence: [0..1]

Definition: Additional balances for cash amounts and number of units.

In an estimated report, the total cash derived from orders placed as a number of units is an estimated cash amount and the total number of units derived from orders placed as a cash amount is an estimated number of units.

AdditionalBalance <AddtlBal> contains the following elements (see ["FundBalance1"](#) on page 1277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1277
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1278
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1278
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1278

66.1.8 Charge

66.1.8.1 ChargePaymentMethod1Choice

Definition: Choice of formats for the specification of the charge payment method.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1316
Or}	Proprietary <Prtry>	[1..1]	±		1316

66.1.8.1.1 Code <Cd>

Presence: [1..1]

Definition: Charge payment method expressed as a code.

Datatype: ["ChargePaymentMethod1Code"](#) on page 2560

CodeName	Name	Definition
CASH	Cash	Payment is with cash.
UNIT	Unit	Units are redeemed to cover payment.

66.1.8.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Charge payment method expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.8.2 CostsAndCharges2

Definition: Costs and charges associated with the distribution or selling of a financial instrument. These may be one-off or recurring charges. These may be intended (ex ante) or actual (ex post).

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExAnteReferenceDate <ExAnteRefDt>	[0..1]	Date		1318
	IndividualCostOrCharge <IndvCostOrChrg>	[1..*]		C13, C14, C27, C28	1318
	CostType <CostTp>	[1..1]			1319
{Or	Code <Cd>	[1..1]	CodeSet		1319
Or}	Proprietary <Prtry>	[1..1]	±		1324
	ExAnteOrExPost <ExAnteOrExPst>	[1..1]	CodeSet		1324
	Amount <Amt>	[0..1]	Amount	C2	1325
	Sign <Sgn>	[0..1]	Indicator		1325
	Rate <Rate>	[0..1]	Rate		1325
	ReferencePeriod <RefPrd>	[0..1]			1325
	StartDate <StartDt>	[1..1]	Date		1325
	EndDate <EndDt>	[1..1]	Date		1326
	AdditionalInformation <AddtlInf>	[0..1]	±		1326
	AdditionalInformation <AddtlInf>	[0..1]	±		1326

Constraints

- **ExAnteReferenceDateGuideline**

The ex-ante reference date should not be confused with the reporting date as specified in the European MiFID Template (EMT) reference 00050.

- **IndividualCostAndCharge1Guideline**

If ExAnteReferenceDate is present, then all repetitions of IndividualCostOrCharge must specify Ex Ante costs

66.1.8.2.1 ExAnteReferenceDate <ExAnteRefDt>

Presence: [0..1]

Definition: Reference date applicable to all ex ante cost and charge disclosures. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07160.

Datatype: "ISODate" on page 2668

66.1.8.2.2 IndividualCostOrCharge <IndvCostOrChrg>

Presence: [1..*]

Definition: Individual cost or charge associated with the distribution of selling of the financial instrument. This may be one-off or recurring. This may be ex ante (intended) or post ante (actual).

Impacted by: [C13 "ExAnteOrExPost1Guideline"](#), [C14 "ExAnteOrExPost2Guideline"](#), [C27 "SignAmount1Rule"](#), [C28 "SignAmount2Rule"](#)

IndividualCostOrCharge <IndvCostOrChrg> contains the following **IndividualCostOrCharge2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CostType <CostTp>	[1..1]			1319
{Or	Code <Cd>	[1..1]	CodeSet		1319
Or}	Proprietary <Prtry>	[1..1]	±		1324
	ExAnteOrExPost <ExAnteOrExPst>	[1..1]	CodeSet		1324
	Amount <Amt>	[0..1]	Amount	C2	1325
	Sign <Sgn>	[0..1]	Indicator		1325
	Rate <Rate>	[0..1]	Rate		1325
	ReferencePeriod <RefPrd>	[0..1]			1325
	StartDate <StartDt>	[1..1]	Date		1325
	EndDate <EndDt>	[1..1]	Date		1326
	AdditionalInformation <AddtlInf>	[0..1]	±		1326

Constraints

- **ExAnteOrExPost1Guideline**

If ExAnteOrExPost contains ANTE then CostType must be an ex ante cost or charge.

- **ExAnteOrExPost2Guideline**

If ExAnteOrExPost contains POST then CostType must be an ex post cost or charge.

- **SignAmount1Rule**

If Amount is not present, then Sign is not allowed.

On Condition

/Amount is absent

Following Must be True

/Sign Must be absent

- **SignAmount2Rule**

Sign must only be used to express a negative value for Amount.

66.1.8.2.2.1 CostType <CostTp>

Presence: [1..1]

Definition: Type of cost or charge.

CostType <CostTp> contains one of the following **ChargeType8Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1319
Or}	Proprietary <Prtry>	[1..1]	±		1324

66.1.8.2.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Cost or charge expressed as a code.

Datatype: "InvestmentFundMiFIDFee2Code" on page 2589

CodeName	Name	Definition
BORF	BorrowingCostFund	Financing costs related to borrowing for the purposes of gearing expressed as a percentage of the NAV. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07105 and 08045.
DIS2	DistributionFee	Distribution fee. This fee is included in the total on going costs and is not included in the management fee. It is only applicable if the product has this feature. The cost reported should reflect the current running distribution fee. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07120 and 08060 and this a percentage of the NAV of the financial instrument expressed in annualised terms (rate of cost deduction to be applied).
FES3	GrossOneOffEntryCostStructured	Gross one-off entry cost for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 08010 and may be specified as an actual amount or a percentage of the reference value, EMT reference 08110 or 08120, and should only incorporate manufacturer costs (that is, the cost of the financial instrument) and distributor costs upfront when known by the manufacturer. It should, however, not include distribution fees added on top of the product price by distributors that is out of control of the manufacturer.

CodeName	Name	Definition
FEND	GrossOneOffMaximumEntryCostNonAcquired	Gross one-off maximum entry cost not acquired to the fund. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07020 and is a percentage of the amount to be invested. This fee is paid by the investor to the fund or fund management company. This is indicative and should be adapted by the distributor according to the agreement with the asset manager.
FES2	GrossOneOffMaximumEntryCostStructured	Gross maximum one-off entry cost for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07020 or 08120, and is the 'ask price' or 'fair value'. It may be specified as an actual amount or a percentage of the reference value, EMT reference 07150, and should only incorporate manufacturer costs (that is, the cost of the financial instrument) and distributor costs upfront when known by the manufacturer. It should, however, not include distribution fees added on top of the product price by distributors that are out of control of the manufacturer.
GOC1	GrossOngoingCosts	Gross on-going costs. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07100 and 08030 and is a percentage of the NAV of the financial product, expressed in annualised terms (rate of cost deduction to be applied). This includes management fees and distribution fees and excludes all transaction costs, incidental costs and performance fees. Costs reported should reflect current running ongoing costs. The gross on-going costs is expressed as a percentage of the NAV of the financial instrument per annum.
GOCS	GrossOngoingCostsStructured	Gross on-going costs for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07100 and 08030 and is expressed as a percentage of the NAV or currency and amount for an absolute quotation of the financial product in annualised terms related to the reference value, EMT reference 07150 or 07155 or 08110.
INCF	IncidentalCosts	Fee that covers incidental costs. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07140 and 08080 and is expressed as a percentage of the NAV. Includes performance fees and other costs.

CodeName	Name	Definition
INCS	IncidentalCostsStructured	Fee that covers incidental costs for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07140 and 08080 and is expressed as a percentage of the NAV or a currency and amount for an absolute quotation of the financial product in annualised terms related to the reference value, EMT reference 07150 or 07155 and 08110.
MNF1	ManagementFee	Management fee paid to an investment manager for services. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07110 and 08050 and is a percentage of the NAV of the financial product expressed in annualised terms (rate of cost deduction to be applied). These fees are included in total ongoing costs and are fees used by distributors to calculate retrocession fees. The costs reported should reflect current running management costs.
MANS	ManagementFeeStructured	Management fee for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07110 and 08050 and is expressed as a percentage of the NAV or a currency and amount for an absolute quotation of the financial product in annualised terms related to the reference value, EMT reference 07150 or 07155 or 08110.
NET2	NetOneOffEntryCostExPostStructured	Net one-off entry cost for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 08015 and is minus the upfront distribution fee. The cost reported is a net disclosure and therefore the upfront distributor fee is equal to the difference between the gross one-off entry cost (EMT reference 08010) and the net one-off entry (EMT reference 08015).
NESF	NetOneOffEntryCostNonAcquiredStructuredFund	Net one-off entry cost for a structured fund. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07025. This is expressed as a percentage of the amount to be invested.
NETO	NetOneOffEntryCostStructuredNonAcquired	Net one-off entry cost for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07025 and is minus the upfront distribution fee. The cost reported is a net disclosure and

CodeName	Name	Definition
		therefore the upfront distributor fee is equal to the difference between the one-off entry cost (EMT reference 07020) and the net one-off entry (EMT reference 07025).
NRAM	NotionalReferenceAmount	Notional reference amount. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07155 and 08120. This is the amount expressed as a number of currency units to which a unit disclosed ex-post cost is based and to which a percentage disclosed cost should be multiplied in order to retrieve the unit cost.
OOEA	OneOffEntryCostAcquired	Percentage of the NAV. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 08025.
OOSF	OneOffExitCostPriorStructuredProductFund	One-off exit cost prior to the recommended holding period (RHP). When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07090 and may be specified as a percentage of the amount to be divested.
OOSS	OneOffExitCostPriorStructuredSecurities	One-off exit cost prior to the recommended holding period (RHP). When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07090 and is specified as an actual amount or a percentage of the reference value, EMT reference 07150 or 07155.
BENS	OneOffExitCostStructured	One off exit cost for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 08020 and when expressed as a percentage, this is a percentage of the reference value, EMT reference 08110 or 08120.
ENAC	OneOffMaximumEntryCostAcquired	Maximum cost of the one-off entry fee. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07040 and is the subscription fees acquired to the fund. It is not included in the one off entry cost 07020. This maximum one-off entry cost acquired to the fund is specified as a percentage of the amount to be invested.
ENFX	OneOffMaximumEntryCostFixedAmountItaly	Maximum one-off entry cost fixed amount. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07030 and is a flat fee defined by the fund or fund management company. This is indicative and should be adapted by the distributor according

CodeName	Name	Definition
		to the relationship been the fund and the distribution. This cost is not taken into account in the gross one-off maximum entry cost (FEND), EMT reference 07020.
EXAC	OneOffMaximumExitCostAcquired	Maximum cost of the one-off exit fee acquired to the fund. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07070. It is not included in the one off maximum exit cost EMT reference 07050. This is paid by the investor to the fund or fund management company. This is a percentage of the NAV.
ENBX	OneOffMaximumExitCostFixedAmountItaly	Maximum one-off exit cost, a maximum fixed amount per redemption. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07060 and is flat fee defined by the fund or fund management company. It is indicative and should be adapted distributor according to the relationship been the fund and the distribution. This cost is not taken in account in the one-off maximum exit cost EMT reference 07050.
BEND	OneOffMaximumExitCostNonAcquired	Maximum cost of the one-off exit fee not acquired to the fund that could happen at a certain time during the life of the product. This is a percentage of the NAV. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07050.
PENO	OneOffTypicalExitCost	<p>One-off typical exit current exit cost linked to the recommended holding period (RHP) or time to maturity. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07080 and are the 'normal' fees if the client holds the product until maturity or during the RHP.</p> <p>Example: a product with a 5 year maturity has exit costs of:</p> <ul style="list-style-type: none"> - 4% after 1 and 2 years - 3% after 3 years - 1% after 4 years - 0 at maturity <p>Then maximum exit cost: 4%.</p> <p>Typical cost : 0</p>
OTES	OneOffTypicalExitCostsStructured	One-off typical exit cost linked to the recommended holding period (RHP) for a structured product. When used in reference to MiFID, this is in the scope of

CodeName	Name	Definition
		the European MiFID Template (EMT) reference 07080 and may be specified as an actual amount or a percentage of the reference value, EMT reference 07150 or 07155.
OCAS	OngoingCostsAccumulatedStructured	Sum of daily recurring product costs accumulated during the year until the ex post reference date. This is typically specified as an actual amount. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 08040.
RPSS	ReferencePrice	Reference Price. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07150 and 08110 and is the instrument price to which a unit disclosed ex-post cost is based and to which a percentage disclosed cost should be multiplied in order to retrieve the unit cost.
TRS1	TransactionCost	Cost associated with the transaction. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07130 and 08070 and this is a percentage of the NAV of the financial instrument expressed in annualised terms (rate of cost deduction to be applied).

66.1.8.2.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Cost or charge expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.8.2.2.2 ExAnteOrExPost <ExAnteOrExPst>

Presence: [1..1]

Definition: Specifies if the cost or charge is ex ante (intended) or post ante (actual).

Datatype: "[IntendedOrActual2Code](#)" on page 2587

CodeName	Name	Definition
ANTE	ExAnte	Ex ante (intended).
POST	ExPost	Ex post (actual).

66.1.8.2.2.3 Amount <Amt>*Presence:* [0..1]*Definition:* Amount of the cost or charge.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 2545**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.8.2.2.4 Sign <Sgn>*Presence:* [0..1]*Definition:* Indicates a negative amount.*Datatype:* One of the following values must be used (see "PlusOrMinusIndicator" on page 2680):

- *Meaning When True:* Plus
- *Meaning When False:* Minus

66.1.8.2.2.5 Rate <Rate>*Presence:* [0..1]*Definition:* Rate of the cost or charge.*Datatype:* "PercentageRate" on page 2681**66.1.8.2.2.6 ReferencePeriod <RefPrd>***Presence:* [0..1]

Definition: Start date and end date of the reference period for the ex post cost or charge. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 08090 and 08100.

ReferencePeriod <RefPrd> contains the following **Period15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	Date		1325
	EndDate <EndDt>	[1..1]	Date		1326

66.1.8.2.2.6.1 StartDate <StartDt>*Presence:* [1..1]*Definition:* Date on which the range starts.*Datatype:* "ISODate" on page 2668

66.1.8.2.2.6.2 EndDate <EndDt>*Presence:* [1..1]*Definition:* Date on which the range ends.*Datatype:* "ISODate" on page 2668**66.1.8.2.2.7 AdditionalInformation <AddtlInf>***Presence:* [0..1]*Definition:* Additional information about the individual cost or charge.**AdditionalInformation <AddtlInf>** contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.8.2.3 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Additional information about costs and charges.**AdditionalInformation <AddtlInf>** contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.8.3 Fees2*Definition:* Amount of money associated with a service.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1327
	IndividualFee <IndvFee>	[0..*]			1327
	Type <Tp>	[1..1]			1328
{Or	Code <Cd>	[1..1]	CodeSet		1328
Or}	Proprietary <Prtry>	[1..1]	±		1329
	Basis <Bsis>	[0..1]			1329
{Or	Code <Cd>	[1..1]	CodeSet		1329
Or}	Proprietary <Prtry>	[1..1]	±		1330
	Amount <Amt>	[1..1]	Amount	C2, C10	1330
	DiscountDetails <DscntDtls>	[0..1]		C13	1330
	Amount <Amt>	[0..1]	Amount	C2, C10	1331
	Rate <Rate>	[0..1]	Rate		1331
	Basis <Bsis>	[0..1]			1331
{Or	Code <Cd>	[1..1]	CodeSet		1332
Or}	Proprietary <Prtry>	[1..1]	±		1332
	ChargeBearer <ChrgBr>	[0..1]	CodeSet		1332
	RecipientIdentification <RcptId>	[0..1]	±		1333

Constraints

- **FeeTaxElementRule**

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

66.1.8.3.1 CommercialAgreementReference <ComrcAgrmtRef>

Presence: [0..1]

Definition: Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.

Datatype: "Max35Text" on page 2683

66.1.8.3.2 IndividualFee <IndvFee>

Presence: [0..*]

Definition: Individual fee (charge/commission).

IndividualFee <IndvFee> contains the following **Fee7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1328
{Or	Code <Cd>	[1..1]	CodeSet		1328
Or}	Proprietary <Prtry>	[1..1]	±		1329
	Basis <Bsis>	[0..1]			1329
{Or	Code <Cd>	[1..1]	CodeSet		1329
Or}	Proprietary <Prtry>	[1..1]	±		1330
	Amount <Amt>	[1..1]	Amount	C2, C10	1330
	DiscountDetails <DscntDtls>	[0..1]		C13	1330
	Amount <Amt>	[0..1]	Amount	C2, C10	1331
	Rate <Rate>	[0..1]	Rate		1331
	Basis <Bsis>	[0..1]			1331
{Or	Code <Cd>	[1..1]	CodeSet		1332
Or}	Proprietary <Prtry>	[1..1]	±		1332
	ChargeBearer <ChrgBr>	[0..1]	CodeSet		1332
	RecipientIdentification <RcptId>	[0..1]	±		1333

66.1.8.3.2.1 Type <Tp>

Presence: [1..1]

Definition: Type of fee.

Type <Tp> contains one of the following **ChargeType6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1328
Or}	Proprietary <Prtry>	[1..1]	±		1329

66.1.8.3.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of fee expressed as a code.

Datatype: "InvestmentFundFee2Code" on page 2589

CodeName	Name	Definition
BEND	BackEndLoad	Fee paid by the investor to the fund or fund management company when redeeming.

CodeName	Name	Definition
FEND	FrontEndLoad	Fee paid by the investor to the fund or fund management company when subscribing.
TRAN	TransferFee	In investment funds, a fee charged for the transfer of ownership of an investment fund.
POST	PostageCharge	Charge paid for the postage.
REGF	RegulatoryFee	Fee charged by a regulatory authority, for example, securities and exchange fees.
SHIP	ShippingCharge	Charge for shipping, including the insurance of securities.
SPCN	SpecialConcessions	Fees, drawdown, or other reduction from or in addition to the deal price.

66.1.8.3.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of fee expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.8.3.2.2 Basis <Bsis>

Presence: [0..1]

Definition: Method used to calculate the fee.

Basis <Bsis> contains one of the following **ChargeBasis2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1329
Or}	Proprietary <Prtry>	[1..1]	±		1330

66.1.8.3.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Fee (charge/commission) basis expressed as a code.

Datatype: "[TaxationBasis5Code](#)" on page 2645

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.

CodeName	Name	Definition
GRAM	GrossAmount	Charge/Commission/tax basis is based on the gross amount.
NEAM	NetAmount	Charge/commission/tax basis is based on the net amount.
NAVP	NetAssetValuePrice	Charge/tax basis is the price.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

66.1.8.3.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Fee (charge/commission) basis expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.8.3.2.3 Amount <Amt>

Presence: [1..1]

Definition: Fee amount.

Impacted by: [C2 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.8.3.2.4 DiscountDetails <DscntDtls>

Presence: [0..1]

Definition: Discount or waiver applied to the fee.

Impacted by: [C13 "DiscountElementRule"](#)

DiscountDetails <DscntDtls> contains the following **ChargeOrCommissionDiscount2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C2, C10	1331
	Rate <Rate>	[0..1]	Rate		1331
	Basis <Bsis>	[0..1]			1331
{Or	Code <Cd>	[1..1]	CodeSet		1332
Or}	Proprietary <Prtry>	[1..1]	±		1332

Constraints

- **DiscountElementRule**

Amount Or Rate Or Basis must be present.

Following Must be True

/Amount Must be present

Or /Rate Must be present

Or /Basis Must be present

66.1.8.3.2.4.1 Amount <Amt>

Presence: [0..1]

Definition: Amount of discount or waiver.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.8.3.2.4.2 Rate <Rate>

Presence: [0..1]

Definition: Rate of discount or waiver.

Datatype: "PercentageRate" on page 2681

66.1.8.3.2.4.3 Basis <Bsis>

Presence: [0..1]

Definition: Form of the discount or rebate.

Basis <Bsis> contains one of the following **WaivingInstruction2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1332
Or}	Proprietary <Prtry>	[1..1]	±		1332

66.1.8.3.2.4.3.1 Code <Cd>

Presence: [1..1]

Definition: Type of discount or waiving expressed as a code.

Datatype: "WaivingInstruction1Code" on page 2668

CodeName	Name	Definition
WICA	WaiveInCash	Form of commission waived is cash.
WIUN	WaiveInUnits	Form of commission waived is additional units of a financial instrument.

66.1.8.3.2.4.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of discount or waiving expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.8.3.2.5 ChargeBearer <ChrgBr>

Presence: [0..1]

Definition: Party that bears the fee.

Datatype: "ChargeBearer1Code" on page 2559

CodeName	Name	Definition
OUR	BorneByDebtor	All transaction charges are to be borne by the debtor.
BEN	BorneByCreditor	All transaction charges are to be borne by the creditor.
SHA	Shared	Under the credit transfer scenario, transaction charges on the sender's side are to be borne by the debtor; transaction charges on the receiver's side are to be borne by the creditor.

66.1.8.3.2.6 RecipientIdentification <RcptId>*Presence:* [0..1]*Definition:* Party entitled to the amount of money resulting from the fee.**RecipientIdentification <RcptId>** contains the following elements (see "PartyIdentification139" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

66.1.8.4 Fee5*Definition:* Amount of money associated with a service.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1334
{Or	Code <Cd>	[1..1]	CodeSet		1334
Or}	Proprietary <Prtry>	[1..1]	±		1335
	Basis <Bsis>	[0..1]			1335
{Or	Code <Cd>	[1..1]	CodeSet		1336
Or}	Proprietary <Prtry>	[1..1]	±		1336
	StandardAmount <StdAmt>	[0..1]	Amount	C2, C10	1336
	StandardRate <StdRate>	[0..1]	Rate		1337
	DiscountDetails <DscntDtls>	[0..1]		C14	1337
	Amount <Amt>	[0..1]	Amount	C2, C10	1337
	Rate <Rate>	[0..1]	Rate		1338
	Basis <Bsis>	[0..1]			1338
{Or	Code <Cd>	[1..1]	CodeSet		1338
Or}	Proprietary <Prtry>	[1..1]	±		1339
	AppliedAmount <ApIdAmt>	[0..1]	Amount	C2, C10	1339
	AppliedRate <ApIdRate>	[0..1]	Rate		1339
	NonStandardSLAReference <NonStdSLARef>	[0..1]	Text		1339
	RecipientIdentification <RcptId>	[0..1]	±		1339
	InformativeIndicator <InftvInd>	[1..1]	Indicator		1340

Constraints

- **AppliedAmountRule**

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

On Condition

/InformativeIndicator is equal to value 'false'

Or /InformativeIndicator is equal to value '0'

Following Must be True

/AppliedAmount Must be present

66.1.8.4.1 Type <Tp>

Presence: [1..1]

Definition: Type of fee (charge/commission).

Type <Tp> contains one of the following **ChargeType5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1334
Or}	Proprietary <Prtry>	[1..1]	±		1335

66.1.8.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Fee (charge/commission) expressed as a code.

Datatype: "InvestmentFundFee1Code" on page 2588

CodeName	Name	Definition
BEND	BackEndLoad	Fee paid by the investor to the fund or fund management company when redeeming.
BRKF	BrokerageFee	Fee paid to a broker for services provided.
COMM	Commission	Amount paid out by the fund to a third party.
CDPL	CommissionDePlacement	Amount of cash due to an intermediary for selling a product, or services, to a third party.
CDSC	ContingentDeferredSalesCharge	Deferred sales charge.
CBCH	CorrespondentBankCharge	In investment funds, the charge of the correspondent bank for transferring money.
DLEV	DilutionLevy	In investment funds, a charge payable by the investor covering bid-offer spreads and dealing charges for the underlying investments. The dilution levy is paid to the fund for the benefit of other unit holders.
FEND	FrontEndLoad	Fee paid by the investor to the fund or fund management company when subscribing.

CodeName	Name	Definition
INIT	InitialCharge	Charge paid at the time of the first subscription.
ADDF	AdditionalFee	Additional fee not covered by another fee type.
POST	PostageCharge	Charge paid for the postage.
PREM	Premium	In investment funds, pre-arranged addition to the trade amount based on the published net asset value.
CHAR	ServiceProvisionFee	Fee paid by the investor to a distributor/ intermediary or other service provider for the provision of financial services.
SHIP	ShippingCharge	Charge for shipping, including the insurance of securities.
SWIT	Switch	In investment funds, charge related to a switch transaction.
UCIC	UCITSCommission	Charges paid by the investor to the fund company for subscription and redemption orders.
REGF	RegulatoryFee	Fee charged by a regulatory authority, for example, securities and exchange fees.
PENA	Penalty	Fee charged to the investor for early redemption of the fund.

66.1.8.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Fee (charge/commission) expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.8.4.2 Basis <Bsis>

Presence: [0..1]

Definition: Method used to calculate the fee (charge/commission).

Basis <Bsis> contains one of the following **ChargeBasis2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1336
Or}	Proprietary <Prtry>	[1..1]	±		1336

66.1.8.4.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Fee (charge/commission) basis expressed as a code.*Datatype:* "TaxationBasis5Code" on page 2645

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.
GRAM	GrossAmount	Charge/Commission/tax basis is based on the gross amount.
NEAM	NetAmount	Charge/commission/tax basis is based on the net amount.
NAVP	NetAssetValuePrice	Charge/tax basis is the price.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

66.1.8.4.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Fee (charge/commission) basis expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.8.4.3 StandardAmount <StdAmt>*Presence:* [0..1]*Definition:* Standard fee (charge/commission) amount as specified in the fund prospectus or agreed for the account.*Impacted by:* C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.8.4.4 StandardRate <StdRate>*Presence:* [0..1]*Definition:* Standard fee (charge/commission) rate used to calculate the amount of the charge or fee, as specified in the fund prospectus or agreed for the account.*Datatype:* "PercentageRate" on page 2681**66.1.8.4.5 DiscountDetails <DscntDtls>***Presence:* [0..1]*Definition:* Discount or waiver applied to the fee (charge/commission).*Impacted by:* C14 "DiscountElementRule"**DiscountDetails <DscntDtls>** contains the following **ChargeOrCommissionDiscount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C2, C10	1337
	Rate <Rate>	[0..1]	Rate		1338
	Basis <Bsis>	[0..1]			1338
{Or	Code <Cd>	[1..1]	CodeSet		1338
Or}	Proprietary <Prtry>	[1..1]	±		1339

Constraints• **DiscountElementRule**

Amount Or Rate Or Basis must be present.

Following Must be True

/Amount Must be present

Or /Rate Must be present

Or /Basis Must be present

66.1.8.4.5.1 Amount <Amt>*Presence:* [0..1]*Definition:* Difference between the standard fee (charge/commission) amount and the applied fee (charge/commission) amount.

EXAMPLE:

Standard charge is EUR 100

Discount is EUR 30

Applied charge is EUR 70.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.8.4.5.2 Rate <Rate>

Presence: [0..1]

Definition: Difference between the standard fee (charge/commission) rate and the applied rate of the fee (charge/commission).

EXAMPLE:

Standard rate is 5%

Discount rate is 3%

Applied rate is 2%.

Datatype: "PercentageRate" on page 2681

66.1.8.4.5.3 Basis <Bsis>

Presence: [0..1]

Definition: Form of the discount or rebate, for example, cash.

Basis <Bsis> contains one of the following **WaivingInstruction2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1338
Or}	Proprietary <Prtry>	[1..1]	±		1339

66.1.8.4.5.3.1 Code <Cd>

Presence: [1..1]

Definition: Type of discount or waiving expressed as a code.

Datatype: "WaivingInstruction1Code" on page 2668

CodeName	Name	Definition
WICA	WaiveInCash	Form of commission waived is cash.
WIUN	WaiveInUnits	Form of commission waived is additional units of a financial instrument.

66.1.8.4.5.3.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of discount or waiving expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.8.4.6 AppliedAmount <ApIdAmt>*Presence:* [0..1]*Definition:* Fee (charge/commission) amount applied to the transaction.*Impacted by:* [C2 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)*Datatype:* ["ActiveCurrencyAndAmount"](#) on page 2545**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.8.4.7 AppliedRate <ApIdRate>*Presence:* [0..1]*Definition:* Final rate used to calculate the fee (charge/commission) amount.*Datatype:* ["PercentageRate"](#) on page 2681**66.1.8.4.8 NonStandardSLAReference <NonStdSLARef>***Presence:* [0..1]*Definition:* Reference to a sales agreement that overrides normal processing or the Service Level Agreement (SLA), such as a fee (charge/commission).*Datatype:* ["Max35Text"](#) on page 2683**66.1.8.4.9 RecipientIdentification <RcptId>***Presence:* [0..1]*Definition:* Party entitled to the amount of money resulting from a fee (charge/commission).

RecipientIdentification <RcptId> contains the following elements (see "PartyIdentification139" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

66.1.8.4.10 InformativeIndicator <InftvInd>

Presence: [1..1]

Definition: Indicates the information is provided for information purposes only. When the value is 'false' or '0' the amount provided is taken into consideration in the transaction overhead. When the value is 'true' or '1' the amount provided is not taken into consideration in the transaction overhead.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.8.5 Fee3

Definition: Amount of money associated with a service.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			1341
{Or	Code <Cd>	[1..1]	CodeSet		1341
Or}	Proprietary <Prtry>	[1..1]	±		1342
	RepairedStandardAmount <RprdStdAmt>	[0..1]	Amount	C2, C10	1342
	RepairedStandardRate <RprdStdRate>	[0..1]	Rate		1343
	RepairedDiscountAmount <RprdDscntAmt>	[0..1]	Amount	C2, C10	1343
	RepairedDiscountRate <RprdDscntRate>	[0..1]	Rate		1343
	RepairedRequestedAmount <RprdReqdAmt>	[0..1]	Amount	C2, C10	1343
	RepairedRequestedRate <RprdReqdRate>	[0..1]	Rate		1344
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1344
	NewCommercialAgreementReferenceIndicator <NewComrcAgrmtRefInd>	[0..1]	Indicator		1344

Constraints

- **CommercialAgreementRule**

If CommercialAgreementReference is present, then NewCommercialAgreementReferenceIndicator must be present too.

On Condition
/CommercialAgreementReference is present

Following Must be True
 /NewCommercialAgreementReferenceIndicator Must be present

- **FeeElementRule**

One of the elements (Type, RepairedStandardAmount, RepairedStandardRate, RepairedDiscountAmount, RepairedDiscountRate, RepairedRequestedAmount, RepairedRequestedRate, CommercialAgreementReference, NewCommercialAgreementReferenceIndicator) must be present.

66.1.8.5.1 Type <Tp>

Presence: [0..1]

Definition: Type of fee (charge/commission).

Type <Tp> contains one of the following **ChargeType5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1341
Or}	Proprietary <Prtry>	[1..1]	±		1342

66.1.8.5.1.1 Code <Cd>

Presence: [1..1]

Definition: Fee (charge/commission) expressed as a code.

Datatype: "InvestmentFundFee1Code" on page 2588

CodeName	Name	Definition
BEND	BackEndLoad	Fee paid by the investor to the fund or fund management company when redeeming.
BRKF	BrokerageFee	Fee paid to a broker for services provided.
COMM	Commission	Amount paid out by the fund to a third party.
CDPL	CommissionDePlacement	Amount of cash due to an intermediary for selling a product, or services, to a third party.
CDSC	ContingentDeferredSalesCharge	Deferred sales charge.
CBCH	CorrespondentBankCharge	In investment funds, the charge of the correspondent bank for transferring money.
DLEV	DilutionLevy	In investment funds, a charge payable by the investor covering bid-offer spreads and dealing charges for the underlying investments. The dilution levy is paid to the fund for the benefit of other unit holders.
FEND	FrontEndLoad	Fee paid by the investor to the fund or fund management company when subscribing.

CodeName	Name	Definition
INIT	InitialCharge	Charge paid at the time of the first subscription.
ADDF	AdditionalFee	Additional fee not covered by another fee type.
POST	PostageCharge	Charge paid for the postage.
PREM	Premium	In investment funds, pre-arranged addition to the trade amount based on the published net asset value.
CHAR	ServiceProvisionFee	Fee paid by the investor to a distributor/ intermediary or other service provider for the provision of financial services.
SHIP	ShippingCharge	Charge for shipping, including the insurance of securities.
SWIT	Switch	In investment funds, charge related to a switch transaction.
UCIC	UCITSCommission	Charges paid by the investor to the fund company for subscription and redemption orders.
REGF	RegulatoryFee	Fee charged by a regulatory authority, for example, securities and exchange fees.
PENA	Penalty	Fee charged to the investor for early redemption of the fund.

66.1.8.5.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Fee (charge/commission) expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification47"](#) on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.8.5.2 RepairedStandardAmount <RprdStdAmt>

Presence: [0..1]

Definition: Modified value of the standard fee (charge/commission) amount applied on the order (the standard fee (charge/commission) amount in the original individual order that has been repaired so that the order can be accepted).

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: ["ActiveCurrencyAndAmount"](#) on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.8.5.3 RepairedStandardRate <RprdStdRate>

Presence: [0..1]

Definition: Modified value of the standard fee (charge/commission) rate applied on the order (the standard fee (charge/commission) rate in the original individual order that has been repaired so that the order can be accepted).

Datatype: "PercentageRate" on page 2681

66.1.8.5.4 RepairedDiscountAmount <RprdDscntAmt>

Presence: [0..1]

Definition: Modified value of the discount amount applied on the order (the discount amount in the original individual order that has been repaired so that the order can be accepted).

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.8.5.5 RepairedDiscountRate <RprdDscntRate>

Presence: [0..1]

Definition: Modified value of the discount rate applied on the order (the discount rate in the original individual order that has been repaired so that the order can be accepted).

Datatype: "PercentageRate" on page 2681

66.1.8.5.6 RepairedRequestedAmount <RprdReqdAmt>

Presence: [0..1]

Definition: Modified value of the requested fee (charge/commission) amount applied on the order (the requested fee (charge/commission) amount in the original individual order that has been repaired so that the order can be accepted).

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.8.5.7 RepairedRequestedRate <RprdReqdRate>

Presence: [0..1]

Definition: Modified value of the requested fee (charge/commission) rate applied on the order (the requested fee (charge/commission) rate in the original individual order that has been repaired so that the order can be accepted).

Datatype: "PercentageRate" on page 2681

66.1.8.5.8 CommercialAgreementReference <ComrcAgrmtRef>

Presence: [0..1]

Definition: Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.

Datatype: "Max35Text" on page 2683

66.1.8.5.9 NewCommercialAgreementReferenceIndicator <NewComrcAgrmtRefInd>

Presence: [0..1]

Definition: Indicates if the CommercialAgreementReference is a new reference or not.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.8.6 Fee2

Definition: Amount of money associated with a service.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1345
{Or	Code <Cd>	[1..1]	CodeSet		1346
Or}	Proprietary <Prtry>	[1..1]	±		1347
	Basis <Bsis>	[0..1]			1347
{Or	Code <Cd>	[1..1]	CodeSet		1347
Or}	Proprietary <Prtry>	[1..1]	±		1348
	StandardAmount <StdAmt>	[0..1]	Amount	C2, C10	1348
	StandardRate <StdRate>	[0..1]	Rate		1348
	DiscountDetails <DscntDtls>	[0..1]		C14	1348
	Amount <Amt>	[0..1]	Amount	C2, C10	1349
	Rate <Rate>	[0..1]	Rate		1350
	Basis <Bsis>	[0..1]			1350
{Or	Code <Cd>	[1..1]	CodeSet		1350
Or}	Proprietary <Prtry>	[1..1]	±		1350
	AppliedAmount <ApldAmt>	[0..1]	Amount	C2, C10	1351
	AppliedRate <ApldRate>	[0..1]	Rate		1351
	NonStandardSLAResponse <NonStdSLARef>	[0..1]	Text		1351
	RecipientIdentification <RcptId>	[0..1]	±		1351
	InformativeIndicator <InftvInd>	[1..1]	Indicator		1351

Constraints

- **AppliedAmountRule**

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

On Condition

/InformativeIndicator is equal to value 'false'

Or /InformativeIndicator is equal to value '0'

Following Must be True

/AppliedAmount Must be present

66.1.8.6.1 Type <Tp>

Presence: [1..1]

Definition: Type of fee (charge/commission).

Type <Tp> contains one of the following **ChargeType5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1346
Or}	Proprietary <Prtry>	[1..1]	±		1347

66.1.8.6.1.1 Code <Cd>

Presence: [1..1]

Definition: Fee (charge/commission) expressed as a code.

Datatype: "InvestmentFundFee1Code" on page 2588

CodeName	Name	Definition
BEND	BackEndLoad	Fee paid by the investor to the fund or fund management company when redeeming.
BRKF	BrokerageFee	Fee paid to a broker for services provided.
COMM	Commission	Amount paid out by the fund to a third party.
CDPL	CommissionDePlacement	Amount of cash due to an intermediary for selling a product, or services, to a third party.
CDSC	ContingentDeferredSalesCharge	Deferred sales charge.
CBCH	CorrespondentBankCharge	In investment funds, the charge of the correspondent bank for transferring money.
DLEV	DilutionLevy	In investment funds, a charge payable by the investor covering bid-offer spreads and dealing charges for the underlying investments. The dilution levy is paid to the fund for the benefit of other unit holders.
FEND	FrontEndLoad	Fee paid by the investor to the fund or fund management company when subscribing.
INIT	InitialCharge	Charge paid at the time of the first subscription.
ADDF	AdditionalFee	Additional fee not covered by another fee type.
POST	PostageCharge	Charge paid for the postage.
PREM	Premium	In investment funds, pre-arranged addition to the trade amount based on the published net asset value.
CHAR	ServiceProvisionFee	Fee paid by the investor to a distributor/intermediary or other service provider for the provision of financial services.
SHIP	ShippingCharge	Charge for shipping, including the insurance of securities.

CodeName	Name	Definition
SWIT	Switch	In investment funds, charge related to a switch transaction.
UCIC	UCITSCommission	Charges paid by the investor to the fund company for subscription and redemption orders.
REGF	RegulatoryFee	Fee charged by a regulatory authority, for example, securities and exchange fees.
PENA	Penalty	Fee charged to the investor for early redemption of the fund.

66.1.8.6.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Fee (charge/commission) expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.8.6.2 Basis <Bsis>

Presence: [0..1]

Definition: Method used to calculate the fee (charge/commission).

Basis <Bsis> contains one of the following **ChargeBasis2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1347
Or}	Proprietary <Prtry>	[1..1]	±		1348

66.1.8.6.2.1 Code <Cd>

Presence: [1..1]

Definition: Fee (charge/commission) basis expressed as a code.

Datatype: "[TaxationBasis5Code](#)" on page 2645

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.
GRAM	GrossAmount	Charge/Commission/tax basis is based on the gross amount.
NEAM	NetAmount	Charge/commission/tax basis is based on the net amount.

CodeName	Name	Definition
NAVP	NetAssetValuePrice	Charge/tax basis is the price.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

66.1.8.6.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Fee (charge/commission) basis expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.8.6.3 StandardAmount <StdAmt>

Presence: [0..1]

Definition: Standard fee (charge/commission) amount as specified in the fund prospectus or agreed for the account.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "[ActiveCurrencyAndAmount](#)" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.8.6.4 StandardRate <StdRate>

Presence: [0..1]

Definition: Standard fee (charge/commission) rate used to calculate the amount of the charge or fee, as specified in the fund prospectus or agreed for the account.

Datatype: "[PercentageRate](#)" on page 2681

66.1.8.6.5 DiscountDetails <DscntDtls>

Presence: [0..1]

Definition: Discount or waiver applied to the fee (charge/commission).

Impacted by: C14 "DiscountElementRule"

DiscountDetails <DscntDtls> contains the following **ChargeOrCommissionDiscount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C2, C10	1349
	Rate <Rate>	[0..1]	Rate		1350
	Basis <Bsis>	[0..1]			1350
{Or	Code <Cd>	[1..1]	CodeSet		1350
Or}	Proprietary <Prtry>	[1..1]	±		1350

Constraints

- **DiscountElementRule**

Amount Or Rate Or Basis must be present.

Following Must be True

/Amount Must be present

Or /Rate Must be present

Or /Basis Must be present

66.1.8.6.5.1 Amount <Amt>

Presence: [0..1]

Definition: Difference between the standard fee (charge/commission) amount and the applied fee (charge/commission) amount.

EXAMPLE:

Standard charge is EUR 100

Discount is EUR 30

Applied charge is EUR 70.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.8.6.5.2 Rate <Rate>*Presence:* [0..1]*Definition:* Difference between the standard fee (charge/commission) rate and the applied rate of the fee (charge/commission).

EXAMPLE:

Standard rate is 5%

Discount rate is 3%

Applied rate is 2%.

Datatype: "PercentageRate" on page 2681**66.1.8.6.5.3 Basis <Bsis>***Presence:* [0..1]*Definition:* Form of the discount or rebate, for example, cash.**Basis <Bsis>** contains one of the following **WaivingInstruction2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1350
Or}	Proprietary <Prtry>	[1..1]	±		1350

66.1.8.6.5.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of discount or waiving expressed as a code.*Datatype:* "WaivingInstruction1Code" on page 2668

CodeName	Name	Definition
WICA	WaiveInCash	Form of commission waived is cash.
WIUN	WaiveInUnits	Form of commission waived is additional units of a financial instrument.

66.1.8.6.5.3.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of discount or waiving expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.8.6.6 AppliedAmount <ApIdAmt>*Presence:* [0..1]*Definition:* Fee (charge/commission) amount applied to the transaction.*Impacted by:* C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.8.6.7 AppliedRate <ApIdRate>*Presence:* [0..1]*Definition:* Final rate used to calculate the fee (charge/commission) amount.*Datatype:* "PercentageRate" on page 2681**66.1.8.6.8 NonStandardSLAReference <NonStdSLARef>***Presence:* [0..1]

Definition: Reference to a sales agreement that overrides normal processing or the Service Level Agreement (SLA), such as a fee (charge/commission).

Datatype: "Max35Text" on page 2683**66.1.8.6.9 RecipientIdentification <RcptId>***Presence:* [0..1]*Definition:* Party entitled to the amount of money resulting from a fee (charge/commission).

RecipientIdentification <RcptId> contains the following elements (see "PartyIdentification113" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.8.6.10 InformativeIndicator <InftvInd>*Presence:* [1..1]

Definition: Indicates the information is provided for information purposes only. When the value is 'false' or '0' the amount provided is taken into consideration in the transaction overhead. When the value is 'true' or '1' the amount provided is not taken into consideration in the transaction overhead.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.8.7 Fee1

Definition: Amount of money associated with a service.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1353
{Or	Code <Cd>	[1..1]	CodeSet		1353
Or}	Proprietary <Prtry>	[1..1]	±		1354
	Basis <Bsis>	[0..1]			1354
{Or	Code <Cd>	[1..1]	CodeSet		1354
Or}	Proprietary <Prtry>	[1..1]	±		1355
	StandardAmount <StdAmt>	[0..1]	Amount	C2, C10	1355
	StandardRate <StdRate>	[0..1]	Rate		1355
	DiscountDetails <DscntDtls>	[0..1]		C14	1356
	Amount <Amt>	[0..1]	Amount	C2, C10	1356
	Rate <Rate>	[0..1]	Rate		1357
	Basis <Bsis>	[0..1]			1357
{Or	Code <Cd>	[1..1]	CodeSet		1357
Or}	Proprietary <Prtry>	[1..1]	±		1357
	RequestedAmount <ReqdAmt>	[0..1]	Amount	C2, C10	1358
	RequestedRate <ReqdRate>	[0..1]	Rate		1358
	NonStandardSLAReference <NonStdSLARef>	[0..1]	Text		1358
	RecipientIdentification <RcptId>	[0..1]	±		1358

Constraints

- **FeeElementRule**

One of the elements (Basis, StandardAmount, StandardRate, DiscountDetails, RequestedAmount, RequestedRate, NonStandardSLAReference, RecipientIdentification) must be present.

- **StandardFeeAmountCalculation**

If StandardAmount, RequestedAmount and DiscountDetails/Amount are all present, then StandardAmount minus DiscountDetails/Amount must equal RequestedAmount.

- **StandardFeeRateCalculation**

If StandardRate, RequestedRate and DiscountDetails/Rate are all present, then StandardRate minus DiscountDetails/Rate must equal RequestedRate.

66.1.8.7.1 Type <Tp>

Presence: [1..1]

Definition: Type of fee (charge/commission).

Type <Tp> contains one of the following **ChargeType5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1353
Or}	Proprietary <Prtry>	[1..1]	±		1354

66.1.8.7.1.1 Code <Cd>

Presence: [1..1]

Definition: Fee (charge/commission) expressed as a code.

Datatype: "InvestmentFundFee1Code" on page 2588

CodeName	Name	Definition
BEND	BackEndLoad	Fee paid by the investor to the fund or fund management company when redeeming.
BRKF	BrokerageFee	Fee paid to a broker for services provided.
COMM	Commission	Amount paid out by the fund to a third party.
CDPL	CommissionDePlacement	Amount of cash due to an intermediary for selling a product, or services, to a third party.
CDSC	ContingentDeferredSalesCharge	Deferred sales charge.
CBCH	CorrespondentBankCharge	In investment funds, the charge of the correspondent bank for transferring money.
DLEV	DilutionLevy	In investment funds, a charge payable by the investor covering bid-offer spreads and dealing charges for the underlying investments. The dilution levy is paid to the fund for the benefit of other unit holders.
FEND	FrontEndLoad	Fee paid by the investor to the fund or fund management company when subscribing.
INIT	InitialCharge	Charge paid at the time of the first subscription.
ADDF	AdditionalFee	Additional fee not covered by another fee type.
POST	PostageCharge	Charge paid for the postage.

CodeName	Name	Definition
PREM	Premium	In investment funds, pre-arranged addition to the trade amount based on the published net asset value.
CHAR	ServiceProvisionFee	Fee paid by the investor to a distributor/ intermediary or other service provider for the provision of financial services.
SHIP	ShippingCharge	Charge for shipping, including the insurance of securities.
SWIT	Switch	In investment funds, charge related to a switch transaction.
UCIC	UCITSCommission	Charges paid by the investor to the fund company for subscription and redemption orders.
REGF	RegulatoryFee	Fee charged by a regulatory authority, for example, securities and exchange fees.
PENA	Penalty	Fee charged to the investor for early redemption of the fund.

66.1.8.7.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Fee (charge/commission) expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.8.7.2 Basis <Bsis>

Presence: [0..1]

Definition: Method used to calculate the fee (charge/commission).

Basis <Bsis> contains one of the following **ChargeBasis2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1354
Or}	Proprietary <Prtry>	[1..1]	±		1355

66.1.8.7.2.1 Code <Cd>

Presence: [1..1]

Definition: Fee (charge/commission) basis expressed as a code.

Datatype: "TaxationBasis5Code" on page 2645

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.
GRAM	GrossAmount	Charge/Commission/tax basis is based on the gross amount.
NEAM	NetAmount	Charge/commission/tax basis is based on the net amount.
NAVP	NetAssetValuePrice	Charge/tax basis is the price.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

66.1.8.7.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Fee (charge/commission) basis expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.8.7.3 StandardAmount <StdAmt>

Presence: [0..1]

Definition: Standard fee (charge/commission) amount as specified in the fund prospectus or agreed for the account.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.8.7.4 StandardRate <StdRate>

Presence: [0..1]

Definition: Standard fee (charge/commission) rate used to calculate the amount of the charge or fee, as specified in the fund prospectus or agreed for the account.

Datatype: "PercentageRate" on page 2681

66.1.8.7.5 DiscountDetails <DscntDtls>

Presence: [0..1]

Definition: Discount or waiver applied to the fee (charge/commission).

Impacted by: C14 "DiscountElementRule"

DiscountDetails <DscntDtls> contains the following **ChargeOrCommissionDiscount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C2, C10	1356
	Rate <Rate>	[0..1]	Rate		1357
	Basis <Bsis>	[0..1]			1357
{Or	Code <Cd>	[1..1]	CodeSet		1357
Or}	Proprietary <Prtry>	[1..1]	±		1357

Constraints

- **DiscountElementRule**

Amount Or Rate Or Basis must be present.

```

Following Must be True
  /Amount Must be present
Or    /Rate Must be present
Or    /Basis Must be present

```

66.1.8.7.5.1 Amount <Amt>

Presence: [0..1]

Definition: Difference between the standard fee (charge/commission) amount and the applied fee (charge/commission) amount.

EXAMPLE:

Standard charge is EUR 100

Discount is EUR 30

Applied charge is EUR 70.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.8.7.5.2 Rate <Rate>

Presence: [0..1]

Definition: Difference between the standard fee (charge/commission) rate and the applied rate of the fee (charge/commission).

EXAMPLE:

Standard rate is 5%

Discount rate is 3%

Applied rate is 2%.

Datatype: "PercentageRate" on page 2681

66.1.8.7.5.3 Basis <Bsis>

Presence: [0..1]

Definition: Form of the discount or rebate, for example, cash.

Basis <Bsis> contains one of the following **WaivingInstruction2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1357
Or}	Proprietary <Prtry>	[1..1]	±		1357

66.1.8.7.5.3.1 Code <Cd>

Presence: [1..1]

Definition: Type of discount or waiving expressed as a code.

Datatype: "WaivingInstruction1Code" on page 2668

CodeName	Name	Definition
WICA	WaiveInCash	Form of commission waived is cash.
WIUN	WaiveInUnits	Form of commission waived is additional units of a financial instrument.

66.1.8.7.5.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of discount or waiving expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.8.7.6 RequestedAmount <ReqdAmt>

Presence: [0..1]

Definition: Requested fee (charge/commission) amount as agreed for the account.

Impacted by: [C2 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.8.7.7 RequestedRate <ReqdRate>

Presence: [0..1]

Definition: Requested rate used to calculate the amount of the fee (charge/commission), as agreed for the account.

Datatype: ["PercentageRate"](#) on page 2681

66.1.8.7.8 NonStandardSLAReference <NonStdSLARef>

Presence: [0..1]

Definition: Reference to a sales agreement that overrides normal processing or the Service Level Agreement (SLA), such as a fee (charge/commission).

Datatype: ["Max35Text"](#) on page 2683

66.1.8.7.9 RecipientIdentification <RcptId>

Presence: [0..1]

Definition: Party entitled to the amount of money resulting from a fee (charge/commission).

RecipientIdentification <RcptId> contains the following elements (see "PartyIdentification113" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.8.8 Charge26

Definition: Choice of formats for the type of charge.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1359
{Or	Code <Cd>	[1..1]	CodeSet		1359
Or}	Proprietary <Prtry>	[1..1]	±		1360
	ChargeApplied <ChrgAppld>	[1..1]			1360
{Or	Amount <Amt>	[1..1]	Amount	C2	1360
Or}	Rate <Rate>	[1..1]	Rate		1361

66.1.8.8.1 Type <Tp>

Presence: [1..1]

Definition: Type of charge.

Type <Tp> contains one of the following **ChargeType4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1359
Or}	Proprietary <Prtry>	[1..1]	±		1360

66.1.8.8.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of charge expressed as a code.

Datatype: "ChargeType12Code" on page 2560

CodeName	Name	Definition
BEND	BackEndLoad	Sales charge paid by the investor when redeeming an investment such as an investment fund.
DISC	Discount	Charge that has been reduced from the standard initial charge levied by a fund, eg, during a launch period or as negotiated by a funds supermarket / discount broker.

CodeName	Name	Definition
FEND	FrontEndLoad	Sales charge paid immediately by the investor when subscribing to an investment such as an investment fund.
POST	PostageCharge	Charge paid for the postage.
REGF	RegulatoryFee	Fee charged by a regulatory authority, eg, Securities and Exchange fees.
SHIP	ShippingCharge	Charge for shipping, including the insurance of securities.
SPCN	SpecialConcessions	Charges, drawdown, or other reduction from or in addition to the deal price.
TRAN	TransferFee	In investment funds, a fee charged for the transfer of ownership of an investment fund.

66.1.8.8.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of charge expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.8.8.2 ChargeApplied <ChrgApId>

Presence: [1..1]

Definition: Charge amount or charge rate applied.

ChargeApplied <ChrgApId> contains one of the following **AmountOrRate3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	1360
Or}	Rate <Rate>	[1..1]	Rate		1361

66.1.8.8.2.1 Amount <Amt>

Presence: [1..1]

Definition: Amount of money.

Impacted by: [C2 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyAnd13DecimalAmount"](#) on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.8.8.2.2 Rate <Rate>

Presence: [1..1]

Definition: Rate used to calculate the amount.

Datatype: "PercentageRate" on page 2681

66.1.9 Cheque**66.1.9.1 Cheque4**

Definition: Set of characteristics related to a cheque instruction, such as cheque type or cheque number.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PayeeIdentification <PyeeId>	[1..1]	±		1361

66.1.9.1.1 PayeeIdentification <PyeeId>

Presence: [1..1]

Definition: Party to which a cheque is made payable.

PayeeIdentification <PyeeId> contains the following elements (see "NameAndAddress5" on page 2206 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2206
	Address <Adr>	[0..1]	±		2207

66.1.10 Clearing System Member Identification**66.1.10.1 ClearingSystemMemberIdentification2Choice**

Definition: Choice of identifiers for a clearing system member, as assigned by the clearing system. In some clearing systems, the accounts of the clearing system members are also assigned an identifier.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1362
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1362
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1363
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1363
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1363
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1363
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1363
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1363
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1363
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1364
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1364
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1364
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1364
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1364
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1364
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1364
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1365
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1365
Or	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1365
Or	IndianFinancialSystemCode <INIFSC>	[1..1]	IdentifierSet		1365
Or	HellenicBankIdentificationCode <GRHEBIC>	[1..1]	IdentifierSet		1365
Or	PolishNationalClearingCode <PLKNR>	[1..1]	IdentifierSet		1365
Or}	OtherClearingCodeIdentification <OthrClrCdId>	[1..1]	Text		1366

66.1.10.1.1 CHIPSUniversalIdentification <USCHU>

Presence: [1..1]

Definition: (United States) Clearing House Interbank Payments System (CHIPS) Universal Identification (UID) - identifies entities that own accounts at CHIPS participating financial institutions, through which CHIPS payments are effected. The CHIPS UID is assigned by the New York Clearing House.

Datatype: "CHIPSUniversalIdentifier" on page 2672

66.1.10.1.2 NewZealandNCCIdentification <NZNCC>

Presence: [1..1]

Definition: New Zealand Bank/Branch Code - identifies New Zealand institutions on the New Zealand national clearing system. The code is assigned by the New Zealand Bankers' Association (NZBA).

Datatype: "NewZealandNCCIdentifier" on page 2676

66.1.10.1.3 IrishNSCIdentification <IENSC>

Presence: [1..1]

Definition: Irish National Sorting Code - identifies Irish financial institutions on the Irish national clearing system. The code is assigned by the Irish Payments Services Organisation (IPSO).

Datatype: "IrishNSCIdentifier" on page 2675

66.1.10.1.4 UKDomesticSortCode <GBSC>

Presence: [1..1]

Definition: United Kingdom (UK) Sort Code - identifies British financial institutions on the British national clearing systems. The sort code is assigned by the Association for Payments and Clearing Services (APACS).

Datatype: "UKDomesticSortCodeIdentifier" on page 2679

66.1.10.1.5 CHIPSParticipantIdentification <USCH>

Presence: [1..1]

Definition: (United States) Clearing House Interbank Payment System (CHIPS) Participant Identifier (ID) - identifies financial institutions participating on CHIPS. The CHIPS Participant ID is assigned by the New York Clearing House.

Datatype: "CHIPSParticipantIdentifier" on page 2672

66.1.10.1.6 SwissBCIdentification <CHBC>

Presence: [1..1]

Definition: Swiss Bank Code - identifies Swiss institutions on the Swiss national clearing system.

Datatype: "SwissBCIdentifier" on page 2679

66.1.10.1.7 FedwireRoutingNumberIdentification <USFW>

Presence: [1..1]

Definition: Fedwire Routing Number - identifies financial institutions, in the US, on the FedWire system. The routing number is assigned by the American Bankers Association (ABA).

Datatype: "FedwireRoutingNumberIdentifier" on page 2673

66.1.10.1.8 PortugueseNCCIdentification <PTNCC>

Presence: [1..1]

Definition: Portuguese National Clearing Code - identifies Portuguese financial institutions on the Portuguese national clearing system.

Datatype: "PortugueseNCCIdentifier" on page 2677

66.1.10.1.9 RussianCentralBankIdentificationCode <RUCB>

Presence: [1..1]

Definition: Russian Central Bank Identification Code - identifies Russian financial institutions on the Russian national clearing system.

Datatype: "RussianCentralBankIdentificationCodeIdentifier" on page 2677

66.1.10.1.10 ItalianDomesticIdentificationCode <ITNCC>

Presence: [1..1]

Definition: Italian Domestic Identification Code - identifies Italian financial institutions on the Italian national clearing system. The code is assigned by the Associazione Bancaria Italiana (ABI).

Datatype: "ItalianDomesticIdentifier" on page 2676

66.1.10.1.11 AustrianBankleitzahlIdentification <ATBLZ>

Presence: [1..1]

Definition: Austrian Bankleitzahl - identifies Austrian financial institutions on the Austrian national clearing system.

Datatype: "AustrianBankleitzahlIdentifier" on page 2670

66.1.10.1.12 CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>

Presence: [1..1]

Definition: Canadian Payments Association Routing Number - identifies Canadian financial institutions on the Canadian national clearing system.

Datatype: "CanadianPaymentsARNIdentifier" on page 2671

66.1.10.1.13 SwissSICIdentification <CHSIC>

Presence: [1..1]

Definition: Swiss Interbank Clearing (SIC) Code - identifies Swiss financial institutions domestically, on the Swiss national clearing system.

Datatype: "SwissSICIdentifier" on page 2679

66.1.10.1.14 GermanBankleitzahlIdentification <DEBLZ>

Presence: [1..1]

Definition: German Bankleitzahl - identifies German financial institutions on the German national clearing systems.

Datatype: "GermanBankleitzahlIdentifier" on page 2674

66.1.10.1.15 SpanishDomesticInterbankingIdentification <ESNCC>

Presence: [1..1]

Definition: Spanish Domestic Interbanking Code - identifies Spanish financial institutions on the Spanish national clearing system. The code is assigned by the Centro de Cooperacion Interbancaria (CCI).

Datatype: "SpanishDomesticInterbankingIdentifier" on page 2678

66.1.10.1.16 SouthAfricanNCCIdentification <ZANCC>

Presence: [1..1]

Definition: South African National Clearing Code (NCC) - identifies South African financial institutions on the South African national clearing system. The code is assigned by the South African Bankers Services Company Ltd. (BankServ).

Datatype: "SouthAfricanNCCIdentifier" on page 2678

66.1.10.1.17 HongKongBankCode <HKNCC>

Presence: [1..1]

Definition: Hong Kong Bank Code - identifies Hong Kong financial institutions on the Hong Kong local clearing system.

Datatype: "HongKongBankIdentifier" on page 2674

66.1.10.1.18 AustralianExtensiveBranchNetworkIdentification <AUBSBx>

Presence: [1..1]

Definition: Extensive branch network list of the Australian Bank State Branch (BSB) Code. The codes are used for identifying Australian financial institutions, as assigned by the Australian Payments Clearing Association (APCA).

Datatype: "ExtensiveBranchNetworkIdentifier" on page 2673

66.1.10.1.19 AustralianSmallNetworkIdentification <AUBSBs>

Presence: [1..1]

Definition: Small network list of the Australian Bank State Branch (BSB) Code. The codes are used for identifying Australian financial institutions, as assigned by the Australian Payments Clearing Association (APCA).

Datatype: "SmallNetworkIdentifier" on page 2678

66.1.10.1.20 IndianFinancialSystemCode <INIFSC>

Presence: [1..1]

Definition: Indian Financial System Code - identifies Indian financial institutions on the Indian local clearing system.

Datatype: "IndianFinancialSystemCodeIdentifier" on page 2675

66.1.10.1.21 HellenicBankIdentificationCode <GRHEBIC>

Presence: [1..1]

Definition: Hellenic Bank Identification Code - identifies Hellenic financial institutions on the Hellenic national clearing system.

Datatype: "HellenicBankIdentificationCodeIdentifier" on page 2674

66.1.10.1.22 PolishNationalClearingCode <PLKNR>

Presence: [1..1]

Definition: Polish National Clearing Code - identifies Polish financial institutions on the Polish national clearing system.

Datatype: "PolishNationalClearingCodeIdentifier" on page 2676

66.1.10.1.23 OtherClearingCodeIdentification <OthrClrCldId>*Presence:* [1..1]*Definition:* Identification Code for a clearing system, that has not yet been identified in the list of clearing systems.*Datatype:* "Max35Text" on page 2683**66.1.10.2 ClearingSystemMemberIdentification4Choice***Definition:* Choice of identifiers for a clearing system member, as assigned by the clearing system. In some clearing systems, the accounts of the clearing system members are also assigned an identifier.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1366
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1367
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1367
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1367
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1367
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1367
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1367
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1367
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1368
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1368
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1368
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1368
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1368
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1368
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1368
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1369
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1369
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1369
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1369

66.1.10.2.1 CHIPSUniversalIdentification <USCHU>*Presence:* [1..1]*Definition:* (United States) Clearing House Interbank Payments System (CHIPS) Universal Identification (UID) - identifies entities that own accounts at CHIPS participating financial institutions, through which CHIPS payments are effected. The CHIPS UID is assigned by the New York Clearing House.

Datatype: "CHIPSUniversalIdentifier" on page 2672

66.1.10.2.2 NewZealandNCCIdentification <NZNCC>

Presence: [1..1]

Definition: New Zealand Bank/Branch Code - identifies New Zealand institutions on the New Zealand national clearing system. The code is assigned by the New Zealand Bankers' Association (NZBA).

Datatype: "NewZealandNCCIdentifier" on page 2676

66.1.10.2.3 IrishNSCIdentification <IENSC>

Presence: [1..1]

Definition: Irish National Sorting Code - identifies Irish financial institutions on the Irish national clearing system. The code is assigned by the Irish Payments Services Organisation (IPSO).

Datatype: "IrishNSCIdentifier" on page 2675

66.1.10.2.4 UKDomesticSortCode <GBSC>

Presence: [1..1]

Definition: United Kingdom (UK) Sort Code - identifies British financial institutions on the British national clearing systems. The sort code is assigned by the Association for Payments and Clearing Services (APACS).

Datatype: "UKDomesticSortCodeIdentifier" on page 2679

66.1.10.2.5 CHIPSParticipantIdentification <USCH>

Presence: [1..1]

Definition: (United States) Clearing House Interbank Payment System (CHIPS) Participant Identifier (ID) - identifies financial institutions participating on CHIPS. The CHIPS Participant ID is assigned by the New York Clearing House.

Datatype: "CHIPSParticipantIdentifier" on page 2672

66.1.10.2.6 SwissBCIdentification <CHBC>

Presence: [1..1]

Definition: Swiss Bank Code - identifies Swiss institutions on the Swiss national clearing system.

Datatype: "SwissBCIdentifier" on page 2679

66.1.10.2.7 FedwireRoutingNumberIdentification <USFW>

Presence: [1..1]

Definition: Fedwire Routing Number - identifies financial institutions, in the US, on the FedWire system. The routing number is assigned by the American Bankers Association (ABA).

Datatype: "FedwireRoutingNumberIdentifier" on page 2673

66.1.10.2.8 PortugueseNCCIdentification <PTNCC>

Presence: [1..1]

Definition: Portuguese National Clearing Code - identifies Portuguese financial institutions on the Portuguese national clearing system.

Datatype: "PortugueseNCCIdentifier" on page 2677

66.1.10.2.9 RussianCentralBankIdentificationCode <RUCB>

Presence: [1..1]

Definition: Russian Central Bank Identification Code - identifies Russian financial institutions on the Russian national clearing system.

Datatype: "RussianCentralBankIdentificationCodeIdentifier" on page 2677

66.1.10.2.10 ItalianDomesticIdentificationCode <ITNCC>

Presence: [1..1]

Definition: Italian Domestic Identification Code - identifies Italian financial institutions on the Italian national clearing system. The code is assigned by the Associazione Bancaria Italiana (ABI).

Datatype: "ItalianDomesticIdentifier" on page 2676

66.1.10.2.11 AustrianBankleitzahlIdentification <ATBLZ>

Presence: [1..1]

Definition: Austrian Bankleitzahl - identifies Austrian financial institutions on the Austrian national clearing system.

Datatype: "AustrianBankleitzahlIdentifier" on page 2670

66.1.10.2.12 CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>

Presence: [1..1]

Definition: Canadian Payments Association Routing Number - identifies Canadian financial institutions on the Canadian national clearing system.

Datatype: "CanadianPaymentsARNIdentifier" on page 2671

66.1.10.2.13 SwissSICIdentification <CHSIC>

Presence: [1..1]

Definition: Swiss Interbank Clearing (SIC) Code - identifies Swiss financial institutions domestically, on the Swiss national clearing system.

Datatype: "SwissSICIdentifier" on page 2679

66.1.10.2.14 GermanBankleitzahlIdentification <DEBLZ>

Presence: [1..1]

Definition: German Bankleitzahl - identifies German financial institutions on the German national clearing systems.

Datatype: "GermanBankleitzahlIdentifier" on page 2674

66.1.10.2.15 SpanishDomesticInterbankingIdentification <ESNCC>

Presence: [1..1]

Definition: Spanish Domestic Interbanking Code - identifies Spanish financial institutions on the Spanish national clearing system. The code is assigned by the Centro de Cooperacion Interbancaria (CCI).

Datatype: "SpanishDomesticInterbankingIdentifier" on page 2678

66.1.10.2.16 SouthAfricanNCCIdentification <ZANCC>

Presence: [1..1]

Definition: South African National Clearing Code (NCC) - identifies South African financial institutions on the South African national clearing system. The code is assigned by the South African Bankers Services Company Ltd. (BankServ).

Datatype: "SouthAfricanNCCIdentifier" on page 2678

66.1.10.2.17 HongKongBankCode <HKNCC>

Presence: [1..1]

Definition: Hong Kong Bank Code - identifies Hong Kong financial institutions on the Hong Kong local clearing system.

Datatype: "HongKongBankIdentifier" on page 2674

66.1.10.2.18 AustralianExtensiveBranchNetworkIdentification <AUBSBx>

Presence: [1..1]

Definition: Extensive branch network list of the Australian Bank State Branch (BSB) Code. The codes are used for identifying Australian financial institutions, as assigned by the Australian Payments Clearing Association (APCA).

Datatype: "ExtensiveBranchNetworkIdentifier" on page 2673

66.1.10.2.19 AustralianSmallNetworkIdentification <AUBSBs>

Presence: [1..1]

Definition: Small network list of the Australian Bank State Branch (BSB) Code. The codes are used for identifying Australian financial institutions, as assigned by the Australian Payments Clearing Association (APCA).

Datatype: "SmallNetworkIdentifier" on page 2678

66.1.10.3 ClearingSystemMemberIdentificationChoice

Definition: Choice of identifiers for a clearing system member, as assigned by the clearing system. In some clearing systems, the accounts of the clearing system members are also assigned an identifier.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1370
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1370
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1370
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1371
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1371
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1371
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1371
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1371
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1371
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1371
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1372
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1372
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1372
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1372
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1372
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1372
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1372
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1373
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1373

66.1.10.3.1 CHIPSUniversalIdentification <USCHU>

Presence: [1..1]

Definition: (United States) Clearing House Interbank Payments System (CHIPS) Universal Identification (UID) - identifies entities that own accounts at CHIPS participating financial institutions, through which CHIPS payments are effected. The CHIPS UID is assigned by the New York Clearing House.

Datatype: "CHIPSUniversalIdentifier" on page 2672

66.1.10.3.2 NewZealandNCCIdentification <NZNCC>

Presence: [1..1]

Definition: New Zealand Bank/Branch Code - identifies New Zealand institutions on the New Zealand national clearing system. The code is assigned by the New Zealand Bankers' Association (NZBA).

Datatype: "NewZealandNCCIdentifier" on page 2676

66.1.10.3.3 IrishNSCIdentification <IENSC>

Presence: [1..1]

Definition: Irish National Sorting Code - identifies Irish financial institutions on the Irish national clearing system. The code is assigned by the Irish Payments Services Organisation (IPSO).

Datatype: "IrishNSCIdentifier" on page 2675

66.1.10.3.4 UKDomesticSortCode <GBSC>

Presence: [1..1]

Definition: United Kingdom (UK) Sort Code - identifies British financial institutions on the British national clearing systems. The sort code is assigned by the Association for Payments and Clearing Services (APACS).

Datatype: "UKDomesticSortCodeIdentifier" on page 2679

66.1.10.3.5 CHIPSParticipantIdentification <USCH>

Presence: [1..1]

Definition: (United States) Clearing House Interbank Payment System (CHIPS) Participant Identifier (ID) - identifies financial institutions participating on CHIPS. The CHIPS Participant ID is assigned by the New York Clearing House.

Datatype: "CHIPSParticipantIdentifier" on page 2672

66.1.10.3.6 SwissBCIdentification <CHBC>

Presence: [1..1]

Definition: Swiss Bank Code - identifies Swiss institutions on the Swiss national clearing system.

Datatype: "SwissBCIdentifier" on page 2679

66.1.10.3.7 FedwireRoutingNumberIdentification <USFW>

Presence: [1..1]

Definition: Fedwire Routing Number - identifies financial institutions, in the US, on the FedWire system. The routing number is assigned by the American Bankers Association (ABA).

Datatype: "FedwireRoutingNumberIdentifier" on page 2673

66.1.10.3.8 PortugueseNCCIdentification <PTNCC>

Presence: [1..1]

Definition: Portuguese National Clearing Code - identifies Portuguese financial institutions on the Portuguese national clearing system.

Datatype: "PortugueseNCCIdentifier" on page 2677

66.1.10.3.9 RussianCentralBankIdentificationCode <RUCB>

Presence: [1..1]

Definition: Russian Central Bank Identification Code - identifies Russian financial institutions on the Russian national clearing system.

Datatype: "RussianCentralBankIdentificationCodeIdentifier" on page 2677

66.1.10.3.10 ItalianDomesticIdentificationCode <ITNCC>

Presence: [1..1]

Definition: Italian Domestic Identification Code - identifies Italian financial institutions on the Italian national clearing system. The code is assigned by the Associazione Bancaria Italiana (ABI).

Datatype: "ItalianDomesticIdentifier" on page 2676

66.1.10.3.11 AustrianBankleitzahlIdentification <ATBLZ>

Presence: [1..1]

Definition: Austrian Bankleitzahl - identifies Austrian financial institutions on the Austrian national clearing system.

Datatype: "AustrianBankleitzahlIdentifier" on page 2670

66.1.10.3.12 CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>

Presence: [1..1]

Definition: Canadian Payments Association Routing Number - identifies Canadian financial institutions on the Canadian national clearing system.

Datatype: "CanadianPaymentsARNIdentifier" on page 2671

66.1.10.3.13 SwissSICIdentification <CHSIC>

Presence: [1..1]

Definition: Swiss Interbank Clearing (SIC) Code - identifies Swiss financial institutions domestically, on the Swiss national clearing system.

Datatype: "SwissSICIdentifier" on page 2679

66.1.10.3.14 GermanBankleitzahlIdentification <DEBLZ>

Presence: [1..1]

Definition: German Bankleitzahl - identifies German financial institutions on the German national clearing systems.

Datatype: "GermanBankleitzahlIdentifier" on page 2674

66.1.10.3.15 SpanishDomesticInterbankingIdentification <ESNCC>

Presence: [1..1]

Definition: Spanish Domestic Interbanking Code - identifies Spanish financial institutions on the Spanish national clearing system. The code is assigned by the Centro de Cooperacion Interbancaria (CCI).

Datatype: "SpanishDomesticInterbankingIdentifier" on page 2678

66.1.10.3.16 SouthAfricanNCCIdentification <ZANCC>

Presence: [1..1]

Definition: South African National Clearing Code (NCC) - identifies South African financial institutions on the South African national clearing system. The code is assigned by the South African Bankers Services Company Ltd. (BankServ).

Datatype: "SouthAfricanNCCIdentifier" on page 2678

66.1.10.3.17 HongKongBankCode <HKNCC>

Presence: [1..1]

Definition: Hong Kong Bank Code - identifies Hong Kong financial institutions on the Hong Kong local clearing system.

Datatype: "HongKongBankIdentifier" on page 2674

66.1.10.3.18 AustralianExtensiveBranchNetworkIdentification <AUBSBx>

Presence: [1..1]

Definition: Extensive branch network list of the Australian Bank State Branch (BSB) Code. The codes are used for identifying Australian financial institutions, as assigned by the Australian Payments Clearing Association (APCA).

Datatype: "ExtensiveBranchNetworkIdentifier" on page 2673

66.1.10.3.19 AustralianSmallNetworkIdentification <AUBSBs>

Presence: [1..1]

Definition: Small network list of the Australian Bank State Branch (BSB) Code. The codes are used for identifying Australian financial institutions, as assigned by the Australian Payments Clearing Association (APCA).

Datatype: "SmallNetworkIdentifier" on page 2678

66.1.11 Commission

66.1.11.1 Commission21

Definition: Amount of money due to a party as compensation for a service.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommissionType <ComssnTp>	[1..1]			1373
{Or	Code <Cd>	[1..1]	CodeSet		1374
Or}	Proprietary <Prtry>	[1..1]	±		1374
	CommissionApplied <ComssnApld>	[1..1]			1374
{Or	Amount <Amt>	[1..1]	Amount	C2	1374
Or}	Rate <Rate>	[1..1]	Rate		1375

66.1.11.1.1 CommissionType <ComssnTp>

Presence: [1..1]

Definition: Type of commission.

CommissionType <ComssnTp> contains one of the following **CommissionType5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1374
Or}	Proprietary <Prtry>	[1..1]	±		1374

66.1.11.1.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Commission type expressed as a code.*Datatype:* "CommissionType6Code" on page 2562

CodeName	Name	Definition
FEND	FrontEndLoad	Commission for subscribing to an investment, paid at the time of the subscription.
BEND	BackEndLoad	Commission for redeeming an investment, when an investor redeems an investment fund within a certain period of time.
CDPL	CommissionDePlacement	Amount of cash due to an intermediary for selling a product, or services, to a third party.

66.1.11.1.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Commission type expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.11.1.2 CommissionApplied <ComssnApId>*Presence:* [1..1]*Definition:* Commission amount or commission rate applied.**CommissionApplied <ComssnApId>** contains one of the following **AmountOrRate3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	1374
Or}	Rate <Rate>	[1..1]	Rate		1375

66.1.11.1.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Amount of money.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.11.1.2.2 Rate <Rate>

Presence: [1..1]

Definition: Rate used to calculate the amount.

Datatype: "PercentageRate" on page 2681

66.1.12 Date Period**66.1.12.1 DatePeriodDetails**

Definition: Range of time defined by a start date and an end date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		1375
	ToDate <ToDt>	[1..1]	Date		1375

66.1.12.1.1 FromDate <FrDt>

Presence: [1..1]

Definition: Start date of the range.

Datatype: "ISODate" on page 2668

66.1.12.1.2 ToDate <ToDt>

Presence: [1..1]

Definition: End date of the range.

Datatype: "ISODate" on page 2668

66.1.12.2 Tax36

Definition: Information about tax.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateOrPeriod <DtOrPrd>	[1..1]			1376
{Or	Date <Dt>	[1..1]	Date		1376
Or}	Period <Prd>	[1..1]	Text		1376
	AdditionalInformation <AddtlInf>	[0..*]	±		1376

66.1.12.2.1 DateOrPeriod <DtOrPrd>*Presence:* [1..1]*Definition:* Date or quarter of the tax year on which tax for the financial instrument is based or calculated.**DateOrPeriod <DtOrPrd>** contains one of the following **DateQuarter1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1376
Or}	Period <Prd>	[1..1]	Text		1376

66.1.12.2.1.1 Date <Dt>*Presence:* [1..1]*Definition:* Date as year, month and day.*Datatype:* "ISODate" on page 2668**66.1.12.2.1.2 Period <Prd>***Presence:* [1..1]*Definition:* Proprietary description of the timeframe.*Datatype:* "Max35Text" on page 2683**66.1.12.2.2 AdditionalInformation <AddtlInf>***Presence:* [0..*]*Definition:* Additional information about tax.**AdditionalInformation <AddtlInf>** contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.13 Date Time**66.1.13.1 DateAndDateTime1Choice***Definition:* Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1377
Or}	DateTime <DtTm>	[1..1]	DateTime		1377

66.1.13.1.1 Date <Dt>*Presence:* [1..1]*Definition:* Numeric representation of the day of the month and year.*Datatype:* "ISODate" on page 2668**66.1.13.1.2 DateTime <DtTm>***Presence:* [1..1]*Definition:* Numeric representation of time of the day and the day of the month and year.*Datatype:* "ISODatetime" on page 2669**66.1.13.2 DateAndDateTime2Choice***Definition:* Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1377
Or}	DateTime <DtTm>	[1..1]	DateTime		1377

66.1.13.2.1 Date <Dt>*Presence:* [1..1]*Definition:* Specified date.*Datatype:* "ISODate" on page 2668**66.1.13.2.2 DateTime <DtTm>***Presence:* [1..1]*Definition:* Specified date and time.*Datatype:* "ISODatetime" on page 2669**66.1.13.3 DateFormat42Choice***Definition:* Choice of formats for a date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	YearMonth <YrMnth>	[1..1]	YearMonth		1377
Or}	YearMonthDay <YrMnthDay>	[1..1]	Date		1378

66.1.13.3.1 YearMonth <YrMnth>*Presence:* [1..1]*Definition:* Year and month.*Datatype:* "ISOYearMonth" on page 2686

66.1.13.3.2 YearMonthDay <YrMnthDay>*Presence:* [1..1]*Definition:* Year, month and day.*Datatype:* "ISODate" on page 2668**66.1.13.4 FiscalYear1Choice***Definition:* Choice of start date and end date for the fiscal year.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StartDate <StartDt>	[1..1]	Date		1378
Or}	EndDate <EndDt>	[1..1]	Date		1378

66.1.13.4.1 StartDate <StartDt>*Presence:* [1..1]*Definition:* Start date of the fiscal year.*Datatype:* "ISODate" on page 2668**66.1.13.4.2 EndDate <EndDt>***Presence:* [1..1]*Definition:* End date of the fiscal year.*Datatype:* "ISODate" on page 2668**66.1.13.5 DateTimePeriodDetails***Definition:* Time span defined by a start date and time, and an end date and time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		1378
	ToDateTime <ToDtTm>	[1..1]	DateTime		1378

66.1.13.5.1 FromDateTime <FrDtTm>*Presence:* [1..1]*Definition:* Date and time at which the range starts.*Datatype:* "ISODateTime" on page 2669**66.1.13.5.2 ToDateTime <ToDtTm>***Presence:* [1..1]*Definition:* Date and time at which the range ends.*Datatype:* "ISODateTime" on page 2669

66.1.13.6 DateAndDateTimeChoice

Definition: Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.13.6.1 Date <Dt>

Presence: [1..1]

Definition: Specified date.

Datatype: ["ISODate" on page 2668](#)

66.1.13.6.2 DateTime <DtTm>

Presence: [1..1]

Definition: Specified date and time.

Datatype: ["ISODateTime" on page 2669](#)

66.1.14 Date Time Period

66.1.14.1 DateOrDateTimePeriodChoice

Definition: Choice between a date or a date and time format for a period.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1379
Or}	DateTime <DtTm>	[1..1]	±		1379

66.1.14.1.1 Date <Dt>

Presence: [1..1]

Definition: Period expressed with dates.

Date <Dt> contains the following elements (see ["DatePeriodDetails" on page 1375](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		1375
	ToDate <ToDt>	[1..1]	Date		1375

66.1.14.1.2 DateTime <DtTm>

Presence: [1..1]

Definition: Period expressed a dates and times.

DateTime <DtTm> contains the following elements (see ["DateTimePeriodDetails"](#) on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		1378
	ToDateTime <ToDtTm>	[1..1]	DateTime		1378

66.1.14.2 TimeFrame7

Definition: Time frame elements that define a period as number of days before or after a activity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherTimeFrameDescription <OthrTmFrameDesc>	[0..1]	Text		1380
	TradePlus <TPlus>	[0..1]	Quantity		1380
	NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>	[0..1]	CodeSet		1380
	ReferToOrderDesk <RefrToOrdrDsk>	[0..1]	CodeSet		1381

Constraints

- **NonWorkingDayAdjustmentRule**

If NonWorkingDayAdjustment is present then TradePlus must also be present.

```
On Condition
  /NonWorkingDayAdjustment is present
Following Must be True
  /TradePlus Must be present
```

- **TradePlusRule**

If TradePlus is present then NonWorkingDayAdjustment must also be present.

```
On Condition
  /TradePlus is present
Following Must be True
  /NonWorkingDayAdjustment Must be present
```

66.1.14.2.1 OtherTimeFrameDescription <OthrTmFrameDesc>

Presence: [0..1]

Definition: Description of the timeframe.

Datatype: ["Max350Text"](#) on page 2683

66.1.14.2.2 TradePlus <TPlus>

Presence: [0..1]

Definition: Number of days after the trade date (T) used for the standard timeframe for the issue of a deal confirmation.

Datatype: ["Number"](#) on page 2680

66.1.14.2.3 NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>

Presence: [0..1]

Definition: Convention used for adjusting a date when it is not a business day.

Datatype: "BusinessDayConvention1Code" on page 2555

CodeName	Name	Definition
FWNG	Following	The date will be the first following day that is a business day.
PREC	Preceding	The date will be the first preceding day that is a business day.

66.1.14.2.4 ReferToOrderDesk <RefrToOrdRdsk>

Presence: [0..1]

Definition: For the time of the issuance of the deal confirmation, the order desk must be contacted.

Datatype: "ReferToFundOrderDesk1Code" on page 2624

CodeName	Name	Definition
RFOD	ReferToFundOrderDesk	Indicates that the operation is only possible in restricted circumstances.

66.1.14.3 TimeHorizon2Choice

Definition: Choice of formats for a time horizon.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NumberOfYears <NbOfYrs>	[1..1]	Quantity		1381
Or}	TimeFrame <TmFrame>	[1..1]			1381
{Or	Code <Cd>	[1..1]	CodeSet		1382
Or}	Proprietary <Prtry>	[1..1]	±		1382

66.1.14.3.1 NumberOfYears <NbOfYrs>

Presence: [1..1]

Definition: Minimum recommended holding (RHP) period.

Datatype: "DecimalNumber" on page 2680

66.1.14.3.2 TimeFrame <TmFrame>

Presence: [1..1]

Definition: Time frame as a qualitative value.

TimeFrame <TmFrame> contains one of the following **TimeFrame9Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1382
Or}	Proprietary <Prtry>	[1..1]	±		1382

66.1.14.3.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Time frame expressed as a code.*Datatype:* "TimeFrame2Code" on page 2656

CodeName	Name	Definition
HOLD	Hold	Hold to maturity.
LONG	LongTerm	Greater than five years.
MEDM	MediumTerm	Greater than or equal to three years.
SHOR	ShortTerm	Greater than or equal to one year.
VSHT	VeryShortTerm	Less than one year.

66.1.14.3.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Time frame expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.14.4 TimeFrame5*Definition:* Time frame elements that define a period as number of days before or after a activity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherTimeFrameDescription <OthrTmFrameDesc>	[0..1]	Text		1383
	TradePlus <TPlus>	[0..1]	Quantity		1383
	NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>	[0..1]	CodeSet		1383
	ReferToOrderDesk <RefrToOrdrDsk>	[0..1]	CodeSet		1383

Constraints

- NonWorkingDayAdjustmentRule**

If NonWorkingDayAdjustment is present then TradeMinus must also be present.

On Condition

/NonWorkingDayAdjustment is present

Following Must be True
/TradePlus Must be present

- **TradePlusRule**

If TradePlus is present then NonWorkingDayAdjustment must also be present.

On Condition
/TradePlus is present
Following Must be True
/NonWorkingDayAdjustment Must be present

66.1.14.4.1 OtherTimeFrameDescription <OthrTmFrameDesc>

Presence: [0..1]

Definition: Description of the timeframe.

Datatype: "Max350Text" on page 2683

66.1.14.4.2 TradePlus <TPlus>

Presence: [0..1]

Definition: Number of days after the trade date (T) used for the standard timeframe for the issue of a deal confirmation.

Datatype: "Number" on page 2680

66.1.14.4.3 NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>

Presence: [0..1]

Definition: Convention used for adjusting a date when it is not a business day.

Datatype: "BusinessDayConvention1Code" on page 2555

CodeName	Name	Definition
FWNG	Following	The date will be the first following day that is a business day.
PREC	Preceding	The date will be the first preceding day that is a business day.

66.1.14.4.4 ReferToOrderDesk <RefrToOrdrDsk>

Presence: [0..1]

Definition: For the time of the issuance of the deal confirmation, the order desk must be contacted.

Datatype: "ReferToFundOrderDesk1Code" on page 2624

CodeName	Name	Definition
RFOD	ReferToFundOrderDesk	Indicates that the operation is only possible in restricted circumstances.

66.1.14.5 TimeFrame4

Definition: Time frame elements that define a period as number of days before or after a activity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherTimeFrameDescription <OthrTmFrameDesc>	[0..1]	Text		1384
	TradeMinus <TMns>	[0..1]	Quantity		1384
	NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>	[0..1]	CodeSet		1384
	ReferToOrderDesk <RefrToOrdrDsk>	[0..1]	CodeSet		1385

Constraints

- **NonWorkingDayAdjustmentRule**

If NonWorkingDayAdjustment is present then TradeMinus must also be present.

On Condition
 /NonWorkingDayAdjustment is present
 Following Must be True
 /TradeMinus Must be present

- **TradeMinusRule**

If TradeMinus is present then NonWorkingDayAdjustment must also be present.

On Condition
 /TradeMinus is present
 Following Must be True
 /NonWorkingDayAdjustment Must be present

66.1.14.5.1 OtherTimeFrameDescription <OthrTmFrameDesc>

Presence: [0..1]

Definition: Description of the timeframe.

Datatype: "Max350Text" on page 2683

66.1.14.5.2 TradeMinus <TMns>

Presence: [0..1]

Definition: Number of days before the trade date (T) used for the standard timeframe for the dealing cut-off.

Datatype: "Number" on page 2680

66.1.14.5.3 NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>

Presence: [0..1]

Definition: Convention used for adjusting a date when it is not a business day.

Datatype: "BusinessDayConvention1Code" on page 2555

CodeName	Name	Definition
FWNG	Following	The date will be the first following day that is a business day.
PREC	Preceding	The date will be the first preceding day that is a business day.

66.1.14.5.4 ReferToOrderDesk <RefrToOrdrDsk>*Presence:* [0..1]*Definition:* For the dealing cut-off time, the order desk must be contacted.*Datatype:* "ReferToFundOrderDesk1Code" on page 2624

CodeName	Name	Definition
RFOD	ReferToFundOrderDesk	Indicates that the operation is only possible in restricted circumstances.

66.1.14.6 TimeFrame6*Definition:* Time frame elements that define a period as number of days before or after a activity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherTimeFrameDescription <OthrTmFrameDesc>	[0..1]	Text		1385
	TradePlus <TPlus>	[0..1]	Quantity		1385
	NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>	[0..1]	CodeSet		1386
	ReferToOrderDesk <RefrToOrdrDsk>	[0..1]	CodeSet		1386

Constraints**• NonWorkingDayAdjustmentRule**

If NonWorkingDayAdjustment is present then TradePlus must also be present.

On Condition

/NonWorkingDayAdjustment is present

Following Must be True

/TradePlus Must be present

• TradePlusRule

If TradePlus is present then NonWorkingDayAdjustment must also be present.

On Condition

/TradePlus is present

Following Must be True

/NonWorkingDayAdjustment Must be present

66.1.14.6.1 OtherTimeFrameDescription <OthrTmFrameDesc>*Presence:* [0..1]*Definition:* Description of the timeframe.*Datatype:* "Max350Text" on page 2683**66.1.14.6.2 TradePlus <TPlus>***Presence:* [0..1]*Definition:* Number of days after the trade date (T) used for the standard timeframe for the issue of a deal confirmation.*Datatype:* "Number" on page 2680

66.1.14.6.3 NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>*Presence:* [0..1]*Definition:* Convention used for adjusting a date when it is not a business day.*Datatype:* "BusinessDayConvention1Code" on page 2555

CodeName	Name	Definition
FWNG	Following	The date will be the first following day that is a business day.
PREC	Preceding	The date will be the first preceding day that is a business day.

66.1.14.6.4 ReferToOrderDesk <RefrToOrdRdsk>*Presence:* [0..1]*Definition:* For the time of the issuance of the deal confirmation, the order desk must be contacted.*Datatype:* "ReferToFundOrderDesk1Code" on page 2624

CodeName	Name	Definition
RFOD	ReferToFundOrderDesk	Indicates that the operation is only possible in restricted circumstances.

66.1.15 De Minimus**66.1.15.1 DeMinimus1Choice***Definition:* De minimus applicability conditions.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DeMinimusApplicable <DeMnmsApIbl>	[1..1]	±		1386
Or}	DeMinimusNotApplicable <DeMnmsNotApIbl>	[1..1]	±		1386

66.1.15.1.1 DeMinimusApplicable <DeMnmsApIbl>*Presence:* [1..1]*Definition:* Conditions applicable when the investor is covered by the "de minimis" exemption.**DeMinimusApplicable <DeMnmsApIbl>** contains the following elements (see "DeMinimusApplicable1" on page 1820 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NewIssuePermission <NewIsssePrmssn>	[1..1]	Indicator		1820
	Percentage <Pctg>	[0..1]	Rate		1820

66.1.15.1.2 DeMinimusNotApplicable <DeMnmsNotApIbl>*Presence:* [1..1]*Definition:* Conditions applicable when the investor is not covered by the "de minimis" exemption.

DeMinimusNotApplicable <DeMnmsNotApbl> contains the following elements (see "DeMinimusNotApplicable1" on page 1819 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RestrictedPersonReason <RstrctdPrsnRsn>	[1..1]	Text		1820

66.1.16 Direct Debit

66.1.16.1 DirectDebitMandate6

Definition: Instruction, initiated by the creditor, to debit a debtor's account in favour of the creditor. A direct debit can be pre-authorised or not. In most countries, authorisation is in the form of a mandate between the debtor and creditor.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DebtorAccount <DbtrAcct>	[1..1]	±		1387
	Debtor <Dbtr>	[0..1]	±		1387
	DebtorTaxIdentificationNumber <DbtrTaxIdNb>	[0..1]	Text		1388
	DebtorNationalRegistrationNumber <DbtrNtlRegnNb>	[0..1]	Text		1388
	Creditor <Cdtr>	[0..1]	±		1388
	DebtorAgent <DbtrAgt>	[1..1]	±		1388
	DebtorAgentBranch <DbtrAgtBrnch>	[0..1]	±		1388
	CreditorAgent <CdtrAgt>	[0..1]	±		1389
	CreditorAgentBranch <CdtrAgtBrnch>	[0..1]	±		1389
	RegistrationIdentification <RegnId>	[0..1]	Text		1389
	MandateIdentification <MndtId>	[0..1]	Text		1390

66.1.16.1.1 DebtorAccount <DbtrAcct>

Presence: [1..1]

Definition: Unambiguous identification of the account of the debtor to which a debit entry will be made as a result of the transaction.

DebtorAccount <DbtrAcct> contains the following elements (see "AccountIdentificationAndName5" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.16.1.2 Debtor <Dbtr>

Presence: [0..1]

Definition: Party that owes the cash to the creditor/final party. The debtor is also the debit account owner.

Debtor <Dbtr> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.16.1.3 DebtorTaxIdentificationNumber <DbtrTaxIdNb>

Presence: [0..1]

Definition: Number assigned by a tax authority to an entity.

Datatype: "[Max35Text](#)" on page 2683

66.1.16.1.4 DebtorNationalRegistrationNumber <DbtrNtlRegnNb>

Presence: [0..1]

Definition: Number assigned by a national registration authority to an entity.

Datatype: "[Max35Text](#)" on page 2683

66.1.16.1.5 Creditor <Cdtr>

Presence: [0..1]

Definition: Party that receives an amount of money from the debtor. In the context of the payment model, the creditor is also the credit account owner.

Creditor <Cdtr> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.16.1.6 DebtorAgent <DbtrAgt>

Presence: [1..1]

Definition: Financial institution that receives the direct debit instruction from the creditor or other authorised party.

DebtorAgent <DbtrAgt> contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.16.1.7 DebtorAgentBranch <DbtrAgtBrnch>

Presence: [0..1]

Definition: Information identifying a specific branch of a financial institution.

Usage: this component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

DebtorAgentBranch <DbtrAgtBrnch> contains the following elements (see "[BranchData](#)" on page 1393 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1393
	Name <Nm>	[0..1]	Text		1393
	PostalAddress <PstlAdr>	[0..1]	±		1394

66.1.16.1.8 CreditorAgent <CdtrAgt>

Presence: [0..1]

Definition: Financial institution that receives the payment transaction on behalf of the creditor, or other nominated party, and credits the account.

CreditorAgent <CdtrAgt> contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.16.1.9 CreditorAgentBranch <CdtrAgtBrnch>

Presence: [0..1]

Definition: Information identifying a specific branch of a financial institution.

Usage: this component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

CreditorAgentBranch <CdtrAgtBrnch> contains the following elements (see "[BranchData](#)" on page 1393 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1393
	Name <Nm>	[0..1]	Text		1393
	PostalAddress <PstlAdr>	[0..1]	±		1394

66.1.16.1.10 RegistrationIdentification <RegnId>

Presence: [0..1]

Definition: Reference assigned to a creditor by its financial institution, or relevant authority, authorising the creditor to take part in a direct debit scheme.

Datatype: "[Max35Text](#)" on page 2683

66.1.16.1.11 MandateIdentification <MndtId>*Presence:* [0..1]*Definition:* Reference of the direct debit mandate that has been agreed upon by the debtor and creditor.*Datatype:* "Max35Text" on page 2683**66.1.16.2 DirectDebitMandate7***Definition:* Direct debit mandate parties and accounts.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DebtorAccount <DbtrAcct>	[1..1]	±		1390
	Debtor <Dbtr>	[0..1]	±		1390
	DebtorTaxIdentificationNumber <DbtrTaxIdNb>	[0..1]	Text		1391
	DebtorNationalRegistrationNumber <DbtrNtlRegnNb>	[0..1]	Text		1391
	Creditor <Cdtr>	[0..1]	±		1391
	DebtorAgent <DbtrAgt>	[1..1]	±		1391
	DebtorAgentBranch <DbtrAgtBrnch>	[0..1]	±		1392
	CreditorAgent <CdtrAgt>	[0..1]	±		1392
	CreditorAgentBranch <CdtrAgtBrnch>	[0..1]	±		1392
	RegistrationIdentification <RegnId>	[0..1]	Text		1393
	MandateIdentification <MndtId>	[0..1]	Text		1393

66.1.16.2.1 DebtorAccount <DbtrAcct>*Presence:* [1..1]*Definition:* Unambiguous identification of the account of the debtor to which a debit entry will be made as a result of the transaction.**DebtorAccount <DbtrAcct>** contains the following elements (see "AccountIdentificationAndName5" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.16.2.2 Debtor <Dbtr>*Presence:* [0..1]*Definition:* Party that owes the cash to the creditor/final party. The debtor is also the debit account owner.

Debtor <Dbtr> contains one of the following elements (see "PartyIdentification125Choice" on page 2020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2020
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2020
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2020

66.1.16.2.3 DebtorTaxIdentificationNumber <DbtrTaxIdNb>

Presence: [0..1]

Definition: Number assigned by a tax authority to an entity.

Datatype: "Max35Text" on page 2683

66.1.16.2.4 DebtorNationalRegistrationNumber <DbtrNtlRegNb>

Presence: [0..1]

Definition: Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 2683

66.1.16.2.5 Creditor <Cdtr>

Presence: [0..1]

Definition: Party that receives an amount of money from the debtor. In the context of the payment model, the creditor is also the credit account owner.

Creditor <Cdtr> contains one of the following elements (see "PartyIdentification125Choice" on page 2020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2020
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2020
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2020

66.1.16.2.6 DebtorAgent <DbtrAgt>

Presence: [1..1]

Definition: Financial institution that receives the direct debit instruction from the creditor or other authorised party.

DebtorAgent <DbtrAgt> contains one of the following elements (see "FinancialInstitutionIdentification11Choice" on page 1395 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		1395
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C5	1395
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		1396
Or}	ProprietaryIdentification <PrtryId>	[1..1]			1396
	Identification <Id>	[1..1]	Text		1397

66.1.16.2.7 DebtorAgentBranch <DbtrAgtBrnch>

Presence: [0..1]

Definition: Information identifying a specific branch of a financial institution.

Usage: this component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

DebtorAgentBranch <DbtrAgtBrnch> contains the following elements (see "BranchData4" on page 1394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1394
	Name <Nm>	[0..1]	Text		1394
	PostalAddress <PstlAdr>	[0..1]	±		1394

66.1.16.2.8 CreditorAgent <CdtrAgt>

Presence: [0..1]

Definition: Financial institution that receives the payment transaction on behalf of the creditor, or other nominated party, and credits the account.

CreditorAgent <CdtrAgt> contains one of the following elements (see "FinancialInstitutionIdentification11Choice" on page 1395 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		1395
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C5	1395
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		1396
Or}	ProprietaryIdentification <PrtryId>	[1..1]			1396
	Identification <Id>	[1..1]	Text		1397

66.1.16.2.9 CreditorAgentBranch <CdtrAgtBrnch>

Presence: [0..1]

Definition: Information identifying a specific branch of a financial institution.

Usage: this component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

CreditorAgentBranch <CdtrAgtBrnch> contains the following elements (see "[BranchData4](#)" on page 1394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1394
	Name <Nm>	[0..1]	Text		1394
	PostalAddress <PstlAdr>	[0..1]	±		1394

66.1.16.2.10 RegistrationIdentification <RegnId>

Presence: [0..1]

Definition: Reference assigned to a creditor by its financial institution, or relevant authority, authorising the creditor to take part in a direct debit scheme.

Datatype: "[Max35Text](#)" on page 2683

66.1.16.2.11 MandateIdentification <MndtId>

Presence: [0..1]

Definition: Reference of the direct debit mandate that has been agreed upon by the debtor and creditor.

Datatype: "[Max35Text](#)" on page 2683

66.1.17 Financial Institution Identification

66.1.17.1 BranchData

Definition: Information that locates and identifies a specific branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1393
	Name <Nm>	[0..1]	Text		1393
	PostalAddress <PstlAdr>	[0..1]	±		1394

66.1.17.1.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of a branch of a financial institution.

Datatype: "[Max35Text](#)" on page 2683

66.1.17.1.2 Name <Nm>

Presence: [0..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max35Text" on page 2683

66.1.17.1.3 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstlAdr> contains the following elements (see "PostalAddress1" on page 2205 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2205
	AddressLine <AdrLine>	[0..5]	Text		2205
	StreetName <StrtNm>	[0..1]	Text		2205
	BuildingNumber <BldgNb>	[0..1]	Text		2206
	PostCode <PstCd>	[0..1]	Text		2206
	TownName <TwnNm>	[0..1]	Text		2206
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2206
	Country <Ctry>	[1..1]	CodeSet	C11	2206

66.1.17.2 BranchData4

Definition: Information that locates and identifies a specific branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1394
	Name <Nm>	[0..1]	Text		1394
	PostalAddress <PstlAdr>	[0..1]	±		1394

66.1.17.2.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of a branch of a financial institution.

Datatype: "Max35Text" on page 2683

66.1.17.2.2 Name <Nm>

Presence: [0..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max35Text" on page 2683

66.1.17.2.3 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstAdr> contains the following elements (see "PostalAddress1" on page 2205 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2205
	AddressLine <AdrLine>	[0..5]	Text		2205
	StreetName <StrtNm>	[0..1]	Text		2205
	BuildingNumber <BldgNb>	[0..1]	Text		2206
	PostCode <PstCd>	[0..1]	Text		2206
	TownName <TwnNm>	[0..1]	Text		2206
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2206
	Country <Ctry>	[1..1]	CodeSet	C11	2206

66.1.17.3 FinancialInstitutionIdentification11Choice

Definition: Choice between formats for the identification of the financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		1395
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C5	1395
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		1396
Or}	ProprietaryIdentification <PrtryId>	[1..1]			1396
	Identification <Id>	[1..1]	Text		1397

66.1.17.3.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Identifies the name and (long) postal address of a financial institution.

NameAndAddress <NmAndAdr> contains the following elements (see "NameAndAddress5" on page 2206 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2206
	Address <Adr>	[0..1]	±		2207

66.1.17.3.2 BICFI <BICFI>

Presence: [1..1]

Definition: Identification of the financial institution expressed as a BIC.

Impacted by: C5 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 2670

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

66.1.17.3.3 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [1..1]

Definition: Choice of identifier for a clearing system member, as assigned by the clearing system. In some clearing systems, the accounts of the clearing system members are also assigned an identifier.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "[ClearingSystemMemberIdentification4Choice](#)" on page 1366 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1366
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1367
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1367
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1367
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1367
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1367
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1367
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1367
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1368
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1368
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1368
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1368
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1368
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1368
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1368
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1369
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1369
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1369
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1369

66.1.17.3.4 ProprietaryIdentification <Prtryld>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following **SimpleIdentificationInformation4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification </Id>	[1..1]	Text		1397

66.1.17.3.4.1 Identification <Id>

Presence: [1..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "Max35Text" on page 2683

66.1.17.4 FinancialInstitutionIdentification8Choice

Definition: Choice between formats for the identification of the financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		1397
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C7	1397
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		1398
Or}	ProprietaryIdentification <PrtryId>	[1..1]	Text		1398

66.1.17.4.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Identifies the name and (long) postal address of a financial institution.

NameAndAddress <NmAndAdr> contains the following elements (see "NameAndAddress5" on page 2206 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2206
	Address <Adr>	[0..1]	±		2207

66.1.17.4.2 BICFI <BICFI>

Presence: [1..1]

Definition: Identification of the financial institution expressed as a BIC.

Impacted by: C7 "BICFI"

Datatype: "BICFIIdentifier" on page 2671

Constraints

- **BICFI**

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

66.1.17.4.3 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [1..1]

Definition: Choice of identifier for a clearing system member, as assigned by the clearing system. In some clearing systems, the accounts of the clearing system members are also assigned an identifier.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "[ClearingSystemMemberIdentificationChoice](#)" on page 1369 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1370
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1370
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1370
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1371
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1371
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1371
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1371
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1371
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1371
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1371
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1372
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1372
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1372
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1372
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1372
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1372
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1372
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1373
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1373

66.1.17.4.4 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

Datatype: "Max35Text" on page 2683

66.1.17.5 FinancialInstitutionIdentification10

Definition: Identification of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.17.5.1 Party <Pty>

Presence: [1..1]

Definition: Unique identification of the party.

Party <Pty> contains one of the following elements (see "FinancialInstitutionIdentification8Choice" on page 1397 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		1397
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C7	1397
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		1398
Or}	ProprietaryIdentification <PrtryId>	[1..1]	Text		1398

66.1.17.5.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "LEIIdentifier" on page 2676

66.1.17.6 BranchData2

Definition: Information that locates and identifies a specific branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1399
	Name <Nm>	[0..1]	Text		1400
	PostalAddress <PstlAdr>	[0..1]	±		1400

66.1.17.6.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of a branch of a financial institution.

Datatype: "Max35Text" on page 2683

66.1.17.6.2 Name <Nm>*Presence:* [0..1]*Definition:* Name by which an agent is known and which is usually used to identify that agent.*Datatype:* "Max140Text" on page 2682**66.1.17.6.3 PostalAddress <PstlAdr>***Presence:* [0..1]*Definition:* Information that locates and identifies a specific address, as defined by postal services.**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress6" on page 2202 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2203
	Department <Dept>	[0..1]	Text		2203
	SubDepartment <SubDept>	[0..1]	Text		2203
	StreetName <StrtNm>	[0..1]	Text		2203
	BuildingNumber <BldgNb>	[0..1]	Text		2204
	PostCode <PstCd>	[0..1]	Text		2204
	TownName <TwnNm>	[0..1]	Text		2204
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2204
	Country <Ctry>	[0..1]	CodeSet	C11	2204
	AddressLine <AdrLine>	[0..7]	Text		2204

66.1.18 Financial Instrument**66.1.18.1 FinancialInstrument13***Definition:* Security that is a sub-set of an investment fund, and is governed by the same investment fund policy, eg, dividend option or valuation currency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1401
	Name <Nm>	[0..1]	Text		1401
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1401
	ClassType <ClsTp>	[0..1]	Text		1402
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1402
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1402

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

66.1.18.1.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security by an ISIN.

Identification <Id> contains one of the following elements (see "[SecurityIdentification3Choice](#)" on page 2273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		2274
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		2274
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		2274
Or	RIC <RIC>	[1..1]	IdentifierSet		2275
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		2275
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		2275
Or	CTA <CTA>	[1..1]	IdentifierSet		2275
Or	QUICK <QUICK>	[1..1]	IdentifierSet		2275
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		2275
Or	Dutch <Dtch>	[1..1]	IdentifierSet		2275
Or	Valoren <Vlrrn>	[1..1]	IdentifierSet		2276
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		2276
Or	Belgian <Belgn>	[1..1]	IdentifierSet		2276
Or	Common <Cmon>	[1..1]	IdentifierSet		2276
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]	±		2276

66.1.18.1.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "[Max350Text](#)" on page 2683

66.1.18.1.3 SupplementaryIdentification <SplmtryId>

Presence: [0..1]

Definition: Additional information about a financial instrument to help identify the instrument.

Datatype: "[Max35Text](#)" on page 2683

66.1.18.1.4 ClassType <ClsTp>*Presence:* [0..1]*Definition:* Features of units offered by a fund. For example, a unit may have a specific load structure, eg, front end or back end, an income policy, eg, pay out or accumulate, or a trailer policy, eg, with or without. Fund classes are typically denoted by a single character, eg, 'Class A', 'Class 2'.*Datatype:* "Max35Text" on page 2683**66.1.18.1.5 SecuritiesForm <SctiesForm>***Presence:* [0..1]*Definition:* Form, ie, ownership, of the security, eg, registered or bearer.*Datatype:* "FormOfSecurity1Code" on page 2580

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

66.1.18.1.6 DistributionPolicy <DstrbtnPlcy>*Presence:* [0..1]*Definition:* Income policy relating to a class type, ie, if income is paid out or retained in the fund.*Datatype:* "DistributionPolicy1Code" on page 2571

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

66.1.18.2 FinancialInstrument96*Definition:* Security that is a sub-set of an investment fund, and is governed by the same investment fund policy, for example, dividend option or valuation currency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PhysicalBearerSecurities <PhysBrScies>	[0..1]	Indicator		1403
	DematerialisedBearerSecurities <DmtrlSdBrScies>	[0..1]	Indicator		1404
	PhysicalRegisteredSecurities <PhysRegdScies>	[0..1]	Indicator		1404
	DematerialisedRegisteredSecurities <DmtrlSdRegdScies>	[0..1]	Indicator		1404
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1404
	DividendPolicy <DvddPlcy>	[0..1]	CodeSet		1404
	DividendFrequency <DvddFrqcy>	[0..1]	CodeSet		1405
	ReinvestmentFrequency <RinvstmtFrqcy>	[0..1]	CodeSet		1405
	FrontEndLoad <FrntEndLd>	[0..1]	Indicator		1406
	BackEndLoad <BckEndLd>	[0..1]	Indicator		1406
	SwitchFee <SwchFee>	[0..1]	Indicator		1406
	EUSavingsDirective <EUSvgsDrctv>	[0..1]	CodeSet		1406
	LaunchDate <LnchDt>	[0..1]	Date		1407
	FundEndDate <FndEndDt>	[0..1]	Date		1407
	TerminationDate <TermntnDt>	[0..1]	Date		1407
	InitialOfferEndDate <InitlOfferEndDt>	[0..1]	Date		1407
	SuspensionStartDate <SspnsnStartDt>	[0..1]	Date		1407
	SuspensionEndDate <SspnsnEndDt>	[0..1]	Date		1407
	MaturityDate <MtrtyDt>	[0..1]	Date		1407
	MayBeTerminatedEarly <MayBeTermntdEarly>	[0..1]	CodeSet		1408
	ClosedEndFund <ClsdEndFnd>	[0..1]	Indicator		1408
	Equalisation <Equlstn>	[0..1]	Indicator		1408
	TaxEfficientProductEligible <TaxEffcntPdctElgbl>	[0..1]	Indicator		1408
	Authorised <Authrsd>	[0..1]	Indicator		1408
	RDRCompliant <RDRCmplnt>	[0..1]	Indicator		1409
	ManagementFeeSource <MgmtFeeSrc>	[0..1]	CodeSet		1409
	PerformanceFee <PrfrmncFee>	[0..1]	Indicator		1409
	AdditionalInformation <AddtlInf>	[0..*]	±		1409

66.1.18.2.1 PhysicalBearerSecurities <PhysBrScies>

Presence: [0..1]

Definition: Indicates whether it is possible to hold bearer units/shares in this class in certified form.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 2680](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.18.2.2 DematerialisedBearerSecurities <DmtrlSdBrScties>

Presence: [0..1]

Definition: Indicates whether it is possible to hold bearer units/shares in paperless form.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 2680](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.18.2.3 PhysicalRegisteredSecurities <PhysRegdScties>

Presence: [0..1]

Definition: Indicates whether it is possible to hold registered units/shares in this class in paperless form.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 2680](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.18.2.4 DematerialisedRegisteredSecurities <DmtrlSdRegdScties>

Presence: [0..1]

Definition: Indicates whether it is possible to hold registered units/shares in this class in paperless form.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 2680](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.18.2.5 DistributionPolicy <DstrbtnPlcy>

Presence: [0..1]

Definition: Income policy relating to the class type, that is, if income is paid out or retained in the fund.

Datatype: ["DistributionPolicy1Code" on page 2571](#)

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

66.1.18.2.6 DividendPolicy <DvddPlcy>

Presence: [0..1]

Definition: Dividend policy of the fund, for example, cash, units.

Datatype: ["DividendPolicy1Code" on page 2571](#)

CodeName	Name	Definition
CASH	Cash	Dividend is paid in cash.
UNIT	Units	Dividend is paid in units.
BOTH	Both	Dividend is paid in both Cash and Units.

66.1.18.2.7 DividendFrequency <DvddFrqcy>

Presence: [0..1]

Definition: Frequency with which the income is allocated to investors.

Datatype: "EventFrequency5Code" on page 2576

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
MNTH	Monthly	Event takes place every month or once a month.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
CLOS	Closed	Event cannot take place, because, for example, the fund is closed.
TOMN	EveryTwoMonths	Event takes place every two months.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
TWMN	TwiceAMonth	Event takes place two times a month.

66.1.18.2.8 ReinvestmentFrequency <RinvstmtFrqcy>

Presence: [0..1]

Definition: Frequency with which the reinvestment takes place, this is the same or less than the dividend frequency.

Datatype: "EventFrequency5Code" on page 2576

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
MNTH	Monthly	Event takes place every month or once a month.
WEEK	Weekly	Event takes place once a week.

CodeName	Name	Definition
DAIL	Daily	Event takes place every day.
CLOS	Closed	Event cannot take place, because, for example, the fund is closed.
TOMN	EveryTwoMonths	Event takes place every two months.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
TWMN	TwiceAMonth	Event takes place two times a month.

66.1.18.2.9 FrontEndLoad <FrntEndLd>

Presence: [0..1]

Definition: Indicates whether a front end charge on subscription orders for this class can be applied.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.18.2.10 BackEndLoad <BckEndLd>

Presence: [0..1]

Definition: Indicates whether an exit charge (for example, CDSC) on redemption orders for this class can be applied.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.18.2.11 SwitchFee <SwchFee>

Presence: [0..1]

Definition: Indicates whether a separate fee for switching between sub-funds of the same umbrella can be applied.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.18.2.12 EUSavingsDirective <EUSvgsDrctv>

Presence: [0..1]

Definition: Indicates whether the investment fund class is subject to the European Union Saving Directive.

Datatype: "EUSavingsDirective1Code" on page 2575

CodeName	Name	Definition
EUSI	EUSavingsInScope	Investment Fund is in the scope of the directive.

CodeName	Name	Definition
EUSO	EUSavingsOutScope	Investment Fund is out of the scope of the directive.
VARI	EUSavingsVariable	Investment Fund may vary for inclusion in the scope of the directive.

66.1.18.2.13 LaunchDate <LnchDt>*Presence:* [0..1]*Definition:* Date on which the fund is open for investment.*Datatype:* "ISODate" on page 2668**66.1.18.2.14 FundEndDate <FndEndDt>***Presence:* [0..1]*Definition:* Date on which the fund is closed to investment.*Datatype:* "ISODate" on page 2668**66.1.18.2.15 TerminationDate <TermntnDt>***Presence:* [0..1]*Definition:* Date the fund closes.*Datatype:* "ISODate" on page 2668**66.1.18.2.16 InitialOfferEndDate <InitlOfferEndDt>***Presence:* [0..1]*Definition:* Date on which the discount period of the newly launched investment fund ends.*Datatype:* "ISODate" on page 2668**66.1.18.2.17 SuspensionStartDate <SspnsnStartDt>***Presence:* [0..1]*Definition:* Date on which valuation of the investment fund class is suspended.*Datatype:* "ISODate" on page 2668**66.1.18.2.18 SuspensionEndDate <SspnsnEndDt>***Presence:* [0..1]*Definition:* Date on which valuation of the investment fund class is unsuspended.*Datatype:* "ISODate" on page 2668**66.1.18.2.19 MaturityDate <MtrtyDt>***Presence:* [0..1]*Definition:* Maturity date of the product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00065.*Datatype:* "ISODate" on page 2668

66.1.18.2.20 MayBeTerminatedEarly <MayBeTermntdEarly>

Presence: [0..1]

Definition: Specifies whether the product may be terminated early. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00067.

Datatype: "TargetMarket1Code" on page 2644

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

66.1.18.2.21 ClosedEndFund <ClsdEndFnd>

Presence: [0..1]

Definition: Indicates whether the fund is close-ended.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.18.2.22 Equalisation <Equlstn>

Presence: [0..1]

Definition: Indicates whether equalisation is applied.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.18.2.23 TaxEfficientProductEligible <TaxEffcntPdctElgbl>

Presence: [0..1]

Definition: Indicates whether the fund is eligible for a tax efficient product.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.18.2.24 Authorised <Authrsd>

Presence: [0..1]

Definition: Indicates whether the fund is authorised by the regulatory compliance authority.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.18.2.25 RDRCompliant <RDRCmplnt>*Presence:* [0..1]*Definition:* Indicates whether the fund is compliant with the Retail Distribution Review (RDR).*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.18.2.26 ManagementFeeSource <MgmtFeeSrc>*Presence:* [0..1]*Definition:* Specifies from where the management fee is taken.*Datatype:* ["AnnualChargePaymentType1Code"](#) on page 2554

CodeName	Name	Definition
CAPL	Capital	Annual charge is deducted from the fund capital.
INCO	Income	Annual charge is deducted from the fund income.

66.1.18.2.27 PerformanceFee <PrfrmncFee>*Presence:* [0..1]*Definition:* Indicates whether there is a performance fee feature or carried interest. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00045.*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.18.2.28 AdditionalInformation <AddtlInf>*Presence:* [0..*]*Definition:* Additional information about the fund.**AdditionalInformation <AddtlInf>** contains the following elements (see ["AdditionalInformation15"](#) on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.18.3 TaxEfficientProduct4*Definition:* Characteristics of a tax efficient product.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxEfficientProductType <TaxEffcntPdctTp>	[1..1]	±		1410
	CurrentYear <CurYr>	[0..1]	Indicator		1410
	PreviousYears <PrvsYrs>	[0..1]	±	C23	1410
	AdditionalInformation <AddtlInf>	[0..*]	±		1411

66.1.18.3.1 TaxEfficientProductType <TaxEffcntPdctTp>

Presence: [1..1]

Definition: Type of tax efficient product, for example, an individual savings account (ISA) in the UK.

TaxEfficientProductType <TaxEffcntPdctTp> contains one of the following elements (see "[TaxEfficientProductType2Choice](#)" on page 1418 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1418
Or}	Proprietary <Prtry>	[1..1]	±		1419

66.1.18.3.2 CurrentYear <CurYr>

Presence: [0..1]

Definition: Indicates that all the current fiscal year's products are to be included.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.18.3.3 PreviousYears <PrvsYrs>

Presence: [0..1]

Definition: Investment plans issued during previous years.

Impacted by: [C23 "SpecificPreviousYearsGuideline"](#)

PreviousYears <PrvsYrs> contains one of the following elements (see "[PreviousYear2Choice](#)" on page 1809 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		1809
Or}	SpecificPreviousYears <SpfcPrvsYrs>	[1..*]	Year		1809

Constraints

- **SpecificPreviousYearsGuideline**

SpecificPreviousYears must not contain the current year.

66.1.18.3.4 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the tax efficient product.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.18.4 GeneralInvestment2

Definition: Information about a general investment.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]	±		1411
	OwnershipType <OwnrshTp>	[0..1]	CodeSet		1411
	CurrentInvestmentAmount <CurlInvstmtAmt>	[0..1]	Amount	C2	1413
	EstimatedValue <EstmtdVal>	[0..1]	±		1413
	AdditionalInformation <AddtlInf>	[0..*]	±		1413

66.1.18.4.1 Type <Tp>

Presence: [0..1]

Definition: Type of investment.

Type <Tp> contains one of the following elements (see "[GeneralInvestmentAccountType2Choice](#)" on page 1418 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1418
Or}	Proprietary <Prtry>	[1..1]	±		1418

66.1.18.4.2 OwnershipType <OwnrshTp>

Presence: [0..1]

Definition: Specifies whether the account is, for example, in a nominee name or own name.

Datatype: "[AccountOwnershipType6Code](#)" on page 2550

CodeName	Name	Definition
BOWN	BareOwner	A person has bare ownership on the asset.
CORP	Corporation	Account owner is a corporate.
CUST	CustodialAccount	Minor's account, registered in the minor's name. Since the minor cannot legally own property, the custodian of the account maintains control of the account.
ENTR	Entrepreneurial	Entrepreneurial account.
EURE	EUResidualEntity	Account owner is an entity located in the European Union which can be considered neither as a legal entity (for example, company, corporate), nor as an individual person, nor as a UCITS (Undertakings for Collective Investment of Transferable Securities) fund.
PART	FormalPartnership	Account owner is a contractual business organisation in which two or more individuals agree to pool their funds to manage and operate a business.
TRUS	FormalTrust	Account owner is an organisation which is engaged as a trustee for individuals or businesses in the administration of services such as stock transfer, registration, trust funds.
GOVO	GovernmentOrganisation	Account owner is a government organisation.
JOIT	JointAccount	Two or more individuals of legal age own shares of the same account.
COMO	JointAccountInCommon	Two or more individuals of legal age own shares of the same account. Upon the death of one of the account owners, the respective percentage of the ownership is transferred to the deceased's estate.
JOIN	JointAccountWithSurvivorshipRights	Two or more individuals own shares of the same account. Upon the death of one of the account owners, the entire account ownership is passed onto the surviving owners.
LLCO	LimitedLiabilityCompany	Account is for a limited liability company.
LIPA	LimitedPartnership	Account owner is a limited partnership or is of sole proprietorship.
NOMI	Nominee	Account owner is a person or firm into whose name securities or other properties are transferred in order to facilitate transactions whilst leaving the customer as the actual owner.
NFPO	NonProfitOrganisation	Account owner is an association that is given tax-free status due to the nature of their activities such as charity organisation.
ONIS	OtherNonIndividual	Account owner is another type of non individual organisation.

CodeName	Name	Definition
OWNR	Owner	A person has full ownership on the asset.
RGIC	RegisteredInvestmentCompany	Account is for a registered investment company.
SIGL	SingleOwnerAccount	Account has only one individual owner.
UNCO	Unincorporated	Account owner is an unincorporated partnership or is of sole proprietorship.
USUF	Usufructuary	The person is ...

66.1.18.4.3 CurrentInvestmentAmount <CurlInvstmtAmt>

Presence: [0..1]

Definition: Amount of money invested.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.18.4.4 EstimatedValue <EstmtdVal>

Presence: [0..1]

Definition: Estimated value of the assets.

EstimatedValue <EstmtdVal> contains the following elements (see "DateAndAmount2" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C3, C12	1232

66.1.18.4.5 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the portfolio.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.18.5 TaxEfficientProduct6

Definition: Characteristics of a tax efficient product.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxEfficientProductType <TaxEffcntPdctTp>	[1..1]	±		1414
	CurrentYear <CurYr>	[0..1]	Indicator		1414
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		1415
	PreviousYears <PrvsYrs>	[0..1]		C36	1415
	PreviousYears <PrvsYrs>	[1..1]			1415
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		1415
Or}	SpecificPreviousYears <SpfcPrvsYrs>	[1..*]	Year		1415
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		1416
	CurrentYearSubscriptionDetails <CurYrSbcptDtls>	[0..1]		C39	1416
	DateOfFirstSubscription <DtOfFrstSbcpt>	[1..1]	Date		1416
	EquityComponent <EqtyCmpnt>	[0..1]	Amount	C2	1416
	CashComponent <CshCmpnt>	[0..1]	Amount	C2	1417
	TotalAmountYearToDate <TtlAmtYrToDt>	[1..1]	Amount	C2	1417
	AdditionalInformation <AddtlInf>	[0..*]	±		1417

66.1.18.5.1 TaxEfficientProductType <TaxEffcntPdctTp>

Presence: [1..1]

Definition: Type of tax efficient product, for example, an individual savings account (ISA) in the UK.

TaxEfficientProductType <TaxEffcntPdctTp> contains one of the following elements (see "TaxEfficientProductType2Choice" on page 1418 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1418
Or}	Proprietary <Prtry>	[1..1]	±		1419

66.1.18.5.2 CurrentYear <CurYr>

Presence: [0..1]

Definition: Indicates that all the current fiscal year's products are to be included.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.18.5.3 CashComponentIndicator <CshCmpntInd>*Presence:* [0..1]*Definition:* Indicates whether the product contains a cash asset for transfer.*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.18.5.4 PreviousYears <PrvsYrs>*Presence:* [0..1]*Definition:* Investment plans issued during previous years.*Impacted by:* [C36 "SpecificPreviousYearsGuideline"](#)**PreviousYears <PrvsYrs>** contains the following **PreviousYear4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PreviousYears <PrvsYrs>	[1..1]			1415
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		1415
Or}	SpecificPreviousYears <SpcfcPrvsYrs>	[1..*]	Year		1415
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		1416

Constraints

- **SpecificPreviousYearsGuideline**

SpecificPreviousYears must not contain the current year.

66.1.18.5.4.1 PreviousYears <PrvsYrs>*Presence:* [1..1]*Definition:* Investment plans issued during previous years.**PreviousYears <PrvsYrs>** contains one of the following **PreviousYear1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		1415
Or}	SpecificPreviousYears <SpcfcPrvsYrs>	[1..*]	Year		1415

66.1.18.5.4.1.1 AllPreviousYears <AllPrvsYrs>*Presence:* [1..1]*Definition:* Selection of all investment plans.*Datatype:* ["PreviousAll"](#) on page 2685**66.1.18.5.4.1.2 SpecificPreviousYears <SpcfcPrvsYrs>***Presence:* [1..*]

Definition: Selection of investment plans issued during previous years.

Datatype: "ISOYear" on page 2685

66.1.18.5.4.2 CashComponentIndicator <CshCmpntInd>

Presence: [0..1]

Definition: Indicates whether the product contains a cash asset for transfer from previous years.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.18.5.5 CurrentYearSubscriptionDetails <CurYrSbcptDtls>

Presence: [0..1]

Definition: Amounts already subscribed for the current year.

Impacted by: C39 "TotalAmountYearToDateRule"

CurrentYearSubscriptionDetails <CurYrSbcptDtls> contains the following **SubscriptionInformation2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateOfFirstSubscription <DtOfFrstSbcpt>	[1..1]	Date		1416
	EquityComponent <EqtyCmpnt>	[0..1]	Amount	C2	1416
	CashComponent <CshCmpnt>	[0..1]	Amount	C2	1417
	TotalAmountYearToDate <TtlAmtYrToDt>	[1..1]	Amount	C2	1417

Constraints

- **TotalAmountYearToDateRule**

TotalAmountYearToDate must be equal to the sum of EquityComponent and CashComponent if present.

66.1.18.5.5.1 DateOfFirstSubscription <DtOfFrstSbcpt>

Presence: [1..1]

Definition: Date of the first subscription in the current year.

Datatype: "ISODate" on page 2668

66.1.18.5.5.2 EquityComponent <EqtyCmpnt>

Presence: [0..1]

Definition: Amount subscribed in the current tax year into equities (not including dividends).

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.18.5.3 CashComponent <CshCmpnt>

Presence: [0..1]

Definition: Amount subscribed in the current tax year into cash.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.18.5.4 TotalAmountYearToDate <TtlAmtYrToDt>

Presence: [1..1]

Definition: Total amount subscribed in the current tax year.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.18.5.6 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the tax efficient product.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.18.6 GeneralInvestmentAccountType2Choice

Definition: Choice of formats for a type of investment account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1418
Or}	Proprietary <Prtry>	[1..1]	±		1418

66.1.18.6.1 Code <Cd>

Presence: [1..1]

Definition: Type of investment account expressed as a code.

Datatype: "GeneralInvestmentAccountType2Code" on page 2585

CodeName	Name	Definition
ANY	Any	Any type of general investment account.
EQU	ShareSavingsAccount	General shares or equity savings account.

66.1.18.6.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of investment account expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification36" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.18.7 TaxEfficientProductType2Choice

Definition: Choice of formats for a type of tax efficient product.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1418
Or}	Proprietary <Prtry>	[1..1]	±		1419

66.1.18.7.1 Code <Cd>

Presence: [1..1]

Definition: Type of tax efficient product expressed as a code.

Datatype: "TaxEfficientProductType2Code" on page 2646

CodeName	Name	Definition
CASH	Cash	Cash individual savings account. In the UK, this is known as a cash ISA.
CLIS	CashLISA	Cash lifetime individual savings account. In the UK, this is known as a cash LISA.
FISA	Flexible	Flexible individual savings account. In the UK, this is known as a FISA.
GISK	GeneralInvestmentSavingsAccount	General investment savings account. (Known as Investeringsparkonto (ISK) in some markets.)
GASK	GeneralShareSavingsAccount	General shares or equity savings account. (Known as ASK in some markets.)
HISA	HelpToBuy	Help to buy individual savings account. In the UK, this is known as a HISA.
INNF	InnovativeFinance	Innovative finance individual savings account. In the UK, this is known as an innovative ISA.
JCSH	JuniorCash	Junior cash individual savings account. In the UK, this is known as a cash JISA.
JISA	JuniorStocksAndSharesISA	Junior stocks and shares individual savings account. In the UK, this is known as a stocks and shares JISA.
LISA	Lifetime	Stocks and shares lifetime individual savings account. In the UK, this is known as a stocks and shares LISA.
CCTF	NonStakeholderChildTrustFund	Non-stakeholder child trust fund individual savings account. In the UK, this is known as a non-stakeholder CTF ISA.
SCTF	StakeholderChildTrustFund	Stakeholder child trust fund individual savings account. In the UK, this is known as a stakeholder CTF ISA.
EQUI	StocksAndShares	Stocks and shares individual savings account. In the UK, this is known as a stocks and shares ISA.

66.1.18.7.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of tax efficient product expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.18.8 FinancialInstrument88

Definition: Security that is a sub-set of an investment fund, and is governed by the same investment fund policy, for example, dividend option or valuation currency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1420
	Name <Nm>	[0..1]	Text		1421
	ShortName <ShrtNm>	[0..1]	Text		1421
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1421
	ClassType <ClsTp>	[0..1]	Text		1422
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1422
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1422
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		1422

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

66.1.18.8.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security by an ISIN.

Identification <Id> contains one of the following elements (see "[SecurityIdentification25Choice](#)" on page 1448 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1449
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1449
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1449
Or	RIC <RIC>	[1..1]	IdentifierSet		1450
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1450
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1450
Or	CTA <CTA>	[1..1]	IdentifierSet		1450
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1450
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1450
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1451
Or	Valoren <Vlrr>	[1..1]	IdentifierSet		1451
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1451
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1451
Or	Common <Cmon>	[1..1]	IdentifierSet		1451
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1451
	Identification <Id>	[1..1]	Text		1451
	IdentificationSource <IdSrc>	[1..1]	±		1452

66.1.18.8.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "[Max350Text](#)" on page 2683

66.1.18.8.3 ShortName <ShrtNm>

Presence: [0..1]

Definition: Financial Instrument Short Name (FISN) expressed in conformance with the ISO 18774 standard.

Datatype: "[Max35Text](#)" on page 2683

66.1.18.8.4 SupplementaryIdentification <SplmtryId>

Presence: [0..1]

Definition: Additional information about the financial instrument.

Datatype: "[Max35Text](#)" on page 2683

66.1.18.8.5 ClassType <ClsTp>*Presence:* [0..1]

Definition: Features of units offered by a fund. For example, a unit may have a specific load structure, for example, front end or back end, an income policy, for example, pay out or accumulate, or a trailer policy, for example, with or without. Fund classes are typically denoted by a single character, for example, 'Class A', 'Class 2'.

Datatype: "Max35Text" on page 2683**66.1.18.8.6 SecuritiesForm <SctiesForm>***Presence:* [0..1]

Definition: Form, that is, ownership, of the security, that is, registered or bearer.

Datatype: "FormOfSecurity1Code" on page 2580

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

66.1.18.8.7 DistributionPolicy <DstrbtnPlcy>*Presence:* [0..1]

Definition: Income policy relating to a class type, that is, if income is paid out or retained in the fund.

Datatype: "DistributionPolicy1Code" on page 2571

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

66.1.18.8.8 DematerialisedIndicator <DmtrlsdInd>*Presence:* [0..1]

Definition: Indicates whether a security exists only as an electronic record, that is, there is no physical document representing the security.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.18.9 FinancialInstrument87

Definition: Security that is a sub-set of an investment fund, and is governed by the same investment fund policy, for example, dividend option or valuation currency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1423
	Name <Nm>	[0..1]	Text		1424
	ShortName <ShrtNm>	[0..1]	Text		1424
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1424
	ClassType <ClsTp>	[0..1]	Text		1425
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1425
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1425
	ProductGroup <PdctGrp>	[0..1]	Text		1425
	BlockedHoldingDetails <BlckdHldgDtls>	[0..1]		C18, C26, C27, C28	1425
	BlockedHolding <BlckdHldg>	[1..1]	CodeSet		1426
	PartialHoldingUnits <PrtlHldgUnits>	[0..1]	Quantity		1426
	HoldingCertificateNumber <HldgCertNb>	[0..1]	Text		1427
	Pledging <Pldg>	[0..1]	CodeSet		1427
	Collateral <Coll>	[0..1]	CodeSet		1427
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		1427
	FundOwnership <FndOwnrsh>	[0..1]	CodeSet		1428
	FundIntention <FndIntntn>	[0..1]	CodeSet		1428
	OperationalStatus <OprlSts>	[0..1]	CodeSet		1428

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

66.1.18.9.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the security by an ISIN.

Identification <Id> contains one of the following elements (see "SecurityIdentification25Choice" on page 1448 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1449
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1449
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1449
Or	RIC <RIC>	[1..1]	IdentifierSet		1450
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1450
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1450
Or	CTA <CTA>	[1..1]	IdentifierSet		1450
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1450
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1450
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1451
Or	Valoren <Vlrr>	[1..1]	IdentifierSet		1451
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1451
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1451
Or	Common <Cmon>	[1..1]	IdentifierSet		1451
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1451
	Identification <Id>	[1..1]	Text		1451
	IdentificationSource <IdSrc>	[1..1]	±		1452

66.1.18.9.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "Max350Text" on page 2683

66.1.18.9.3 ShortName <ShrtNm>

Presence: [0..1]

Definition: Financial Instrument Short Name (FISN) expressed in conformance with the ISO 18774 standard.

Datatype: "Max35Text" on page 2683

66.1.18.9.4 SupplementaryIdentification <SplmtryId>

Presence: [0..1]

Definition: Additional information about the financial instrument to help identify the instrument.

Datatype: "Max35Text" on page 2683

66.1.18.9.5 ClassType <ClsTp>*Presence:* [0..1]

Definition: Features of units offered by the fund. For example, a unit may have a specific load structure, for example, front end or back end, an income policy, for example, pay out or accumulate, or a trailer policy, for example, with or without. Fund classes are typically denoted by a single character, for example, 'Class A', 'Class 2'.

Datatype: "Max35Text" on page 2683**66.1.18.9.6 SecuritiesForm <SctiesForm>***Presence:* [0..1]

Definition: Form, that is, ownership, of the security, that is, registered or bearer.

Datatype: "FormOfSecurity1Code" on page 2580

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

66.1.18.9.7 DistributionPolicy <DstrbtnPlcy>*Presence:* [0..1]

Definition: Income policy relating to the class type, that is, if income is paid out or retained in the fund.

Datatype: "DistributionPolicy1Code" on page 2571

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

66.1.18.9.8 ProductGroup <PdctGrp>*Presence:* [0..1]

Definition: Company specific description of a group of funds.

Datatype: "Max140Text" on page 2682**66.1.18.9.9 BlockedHoldingDetails <BlckdHldgDtls>***Presence:* [0..1]

Definition: When an account at fund or security level is blocked, this specifies details on how the holding is blocked.

Impacted by: C18 "HoldingCertificateNumberRule", C26 "PartialHoldingUnits1Rule", C27 "PartialHoldingUnits2Rule", C28 "PartialHoldingUnits3Rule"

BlockedHoldingDetails <BlckdHldgDtls> contains the following **BlockedHoldingDetails2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BlockedHolding <BlckdHldg>	[1..1]	CodeSet		1426
	PartialHoldingUnits <PrtlHldgUnits>	[0..1]	Quantity		1426
	HoldingCertificateNumber <HldgCertNb>	[0..1]	Text		1427

Constraints

- **HoldingCertificateNumberRule**

If BlockHolding is CERT (Certificate) then HoldingCertificateNumber is mandatory.

On Condition

/BlockedHolding is equal to value 'Certificate'

Following Must be True

/HoldingCertificateNumber Must be present

- **PartialHoldingUnits1Rule**

If BlockHolding is NPRH (NonPartialHolding) then PartialHoldingUnits must not be present.

On Condition

/BlockedHolding is equal to value 'NonPartialHolding'

Following Must be True

/PartialHoldingUnits Must be absent

- **PartialHoldingUnits2Rule**

If BlockHolding is PRTH (PartialHolding) then PartialHoldingUnits is mandatory.

On Condition

/BlockedHolding is equal to value 'PartialHolding'

Following Must be True

/PartialHoldingUnits Must be present

- **PartialHoldingUnits3Rule**

If BlockHolding is CERT (Certificate) then PartialHoldingUnits must not be present.

On Condition

/BlockedHolding is equal to value 'Certificate'

Following Must be True

/PartialHoldingUnits Must be absent

66.1.18.9.9.1 BlockedHolding <BlckdHldg>

Presence: [1..1]

Definition: Specifies how the blocked account holding is defined.

Datatype: "Holding1Code" on page 2585

CodeName	Name	Definition
CERT	Certificate	Certificate.
NPRH	NonPartialHolding	Non partial holding.
PRTH	PartialHolding	Partial holding.

66.1.18.9.9.2 PartialHoldingUnits <PrtlHldgUnits>

Presence: [0..1]

Definition: When an account is blocked at the level of fund or security, partially, this is the number of units blocked.

Datatype: "DecimalNumber" on page 2680

66.1.18.9.9.3 HoldingCertificateNumber <HldgCertNb>

Presence: [0..1]

Definition: When an account is blocked at the level of fund or security, this specifies the certificate number of the blocked units.

Datatype: "Max35Text" on page 2683

66.1.18.9.10 Pledging <Pldgg>

Presence: [0..1]

Definition: Specifies whether the holdings in the account are eligible for pledging.

Datatype: "Eligible1Code" on page 2572

CodeName	Name	Definition
ELIG	Eligible	Item is eligible.
NELI	NotEligible	Item is not eligible.

66.1.18.9.11 Collateral <Coll>

Presence: [0..1]

Definition: Specifies whether the holdings in the account are used as collateral.

Datatype: "Collateral1Code" on page 2562

CodeName	Name	Definition
COLL	Collateral	Item is used as collateral.
NCOL	NotCollateral	Item is not used as collateral.

66.1.18.9.12 ThirdPartyRights <ThrdPtyRghts>

Presence: [0..1]

Definition: Details of third party rights.

ThirdPartyRights <ThrdPtyRghts> contains the following elements (see "ThirdPartyRights2" on page 1628 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	Text		1628
	DateTime <DtTm>	[0..1]	DateTime		1629
	Holder <Hldr>	[0..1]	±		1629
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1629
	Amount <Amt>	[0..1]	Amount	C2, C10	1629
	Description <Desc>	[0..1]	Text		1629

66.1.18.9.13 FundOwnership <FndOwnrsh>*Presence:* [0..1]*Definition:* Specifies if all the shares are owned exclusively by the fund company.*Datatype:* "FundOwnership1Code" on page 2583

CodeName	Name	Definition
YALL	AllOwned	All shares owned.
NALL	NotAllOwned	Not all shares owned.

66.1.18.9.14 FundIntention <FndIntntn>*Presence:* [0..1]*Definition:* Specifies if the fund is intended for qualified investors.*Datatype:* "FundIntention1Code" on page 2582

CodeName	Name	Definition
YQUA	Qualified	Qualified.
NQUA	NotQualified	Not qualified.

66.1.18.9.15 OperationalStatus <OprlSts>*Presence:* [0..1]*Definition:* Operational status of the fund.*Datatype:* "OperationalStatus1Code" on page 2609

CodeName	Name	Definition
ENAB	Enabled	Enabled.
SPEC	SpecialCircumstances	Special circumstances.

66.1.18.10 QuotationType1Choice*Definition:* Choice of formats for a type of quotation

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1428
Or}	Proprietary <Prtry>	[1..1]	±		1429

66.1.18.10.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of quotation expressed as a code.*Datatype:* "QuotationType1Code" on page 2623

CodeName	Name	Definition
ACTU	ActualAmount	Quotation expressed as a currency and amount.

CodeName	Name	Definition
PRCT	Percentage	Quotation is expressed as percentage.

66.1.18.10.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of quotation expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.18.11 GovernanceProcess1Choice

Definition: Choice of formats for a type of governance process.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1429
Or}	Proprietary <Prtry>	[1..1]	±		1429

66.1.18.11.1 Code <Cd>

Presence: [1..1]

Definition: Type of governance process expressed as a code.

Datatype: "[GovernanceProcessType1Code](#)" on page 2585

CodeName	Name	Definition
BMIF	ComparableMiFID	Product governance procedure is comparable to MiFID II.
NINF	NoInformation	No information is requested from the issuer.
CMIF	NotMiFID	Product governance procedure is not in accordance with MiFID II.
AMIF	PursuantMiFID	Product governance procedure is pursuant to MiFID II.

66.1.18.11.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of governance process expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.18.12 AdditionalInformation15

Definition: Additional information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.18.12.1 InformationType <InfTp>

Presence: [1..1]

Definition: Type of additional information.

InformationType <InfTp> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.18.12.2 InformationValue <InfVal>

Presence: [1..1]

Definition: Value of the additional information.

Datatype: "[Max350Text](#)" on page 2683

66.1.18.13 FinancialInstrument71

Definition: Security that is a sub-set of an investment fund, and is governed by the same investment fund policy, for example, dividend option or valuation currency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±	C3, C4, C5, C6, C7	1431
	ShortName <ShrtNm>	[0..1]	Text		1432
	Name <Nm>	[0..1]	Text		1432
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1432
	ClassType <ClsTp>	[0..1]	Text		1432
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1432
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1433
	ProductGroup <PdctGrp>	[0..1]	Text		1433

66.1.18.13.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the security, typically by an ISIN.

Impacted by: C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C5 "ISINGuideline", C6 "ISINPresenceRule", C7 "OtherIdentificationPresenceRule"

Identification <Id> contains the following elements (see "SecurityIdentification19" on page 1439 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1440
	OtherIdentification <OthrlId>	[0..*]			1440
	Identification <Id>	[1..1]	Text		1441
	Suffix <Sfx>	[0..1]	Text		1441
	Type <Tp>	[1..1]	±		1441
	Description <Desc>	[0..1]	Text		1441

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

66.1.18.13.2 ShortName <ShrtNm>

Presence: [0..1]

Definition: Financial Instrument Short Name (FISN) expressed in conformance with the ISO 18774 standard.

Datatype: "Max35Text" on page 2683

66.1.18.13.3 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "Max350Text" on page 2683

66.1.18.13.4 SupplementaryIdentification <SplmtryId>

Presence: [0..1]

Definition: Additional information about the financial instrument to help identify the instrument.

Datatype: "Max35Text" on page 2683

66.1.18.13.5 ClassType <ClsTp>

Presence: [0..1]

Definition: Features of units offered by a fund. For example, a unit may have a specific load structure, for example, front end or back end, an income policy, for example,, pay out or accumulate, or a trailer policy, for example, with or without. Fund classes are typically denoted by a single character, for example, 'Class A', 'Class 2'.

Datatype: "Max35Text" on page 2683

66.1.18.13.6 SecuritiesForm <SctiesForm>

Presence: [0..1]

Definition: Specifies the form, that is, ownership, of the security.

Datatype: "FormOfSecurity1Code" on page 2580

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

66.1.18.13.7 DistributionPolicy <DstrbtnPlcy>

Presence: [0..1]

Definition: Income policy relating to a class type, that is, if income is paid out or retained in the fund.

Datatype: "DistributionPolicy1Code" on page 2571

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

66.1.18.13.8 ProductGroup <PdctGrp>

Presence: [0..1]

Definition: Company specific description of a group of funds.

Datatype: "Max140Text" on page 2682

66.1.18.14 FinancialInstrument8

Definition: Security that is a sub-set of an investment fund, and is governed by the same investment fund policy, eg, dividend option or valuation currency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..10]	±		1434
	Name <Nm>	[0..1]	Text		1434
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1434
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C3	1435
	ClassType <ClsTp>	[0..1]	Text		1435
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1435
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1435
	DualFundIndicator <DualFndInd>	[1..1]	Indicator		1436

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

66.1.18.14.1 Identification <Id>

Presence: [1..10]

Definition: Identification of a security by an ISIN.

Identification <Id> contains one of the following elements (see "[SecurityIdentification3Choice](#)" on page 2273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		2274
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		2274
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		2274
Or	RIC <RIC>	[1..1]	IdentifierSet		2275
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		2275
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		2275
Or	CTA <CTA>	[1..1]	IdentifierSet		2275
Or	QUICK <QUICK>	[1..1]	IdentifierSet		2275
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		2275
Or	Dutch <Dtch>	[1..1]	IdentifierSet		2275
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		2276
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		2276
Or	Belgian <Belgn>	[1..1]	IdentifierSet		2276
Or	Common <Cmon>	[1..1]	IdentifierSet		2276
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]	±		2276

66.1.18.14.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "[Max350Text](#)" on page 2683

66.1.18.14.3 SupplementaryIdentification <SplmtryId>

Presence: [0..1]

Definition: Additional information about a financial instrument to help identify the instrument.

Datatype: "[Max35Text](#)" on page 2683

66.1.18.14.4 DenominationCurrency <DnmtnCcy>*Presence:* [0..1]*Definition:* Currency in which a security is issued or redenominated.*Impacted by:* C3 "ActiveOrHistoricCurrency"*Datatype:* "ActiveOrHistoricCurrencyCode" on page 2553**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.18.14.5 ClassType <ClsTp>*Presence:* [0..1]

Definition: Features of units offered by a fund. For example, a unit may have a specific load structure, eg, front end or back end, an income policy, eg, pay out or accumulate, or a trailer policy, eg, with or without. Fund classes are typically denoted by a single character, eg, 'Class A', 'Class 2'.

Datatype: "Max35Text" on page 2683**66.1.18.14.6 SecuritiesForm <SctiesForm>***Presence:* [0..1]*Definition:* Form, ie, ownership, of the security, eg, registered or bearer.*Datatype:* "FormOfSecurity1Code" on page 2580

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

66.1.18.14.7 DistributionPolicy <DstrbtnPlcy>*Presence:* [0..1]*Definition:* Income policy relating to a class type, ie, if income is paid out or retained in the fund.*Datatype:* "DistributionPolicy1Code" on page 2571

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

66.1.18.14.8 DualFundIndicator <DualFndInd>*Presence:* [1..1]*Definition:* Indicates whether the fund has two prices.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.18.15 FinancialInstrument57*Definition:* Security that is a sub-set of an investment fund, and is governed by the same investment fund policy, for example, dividend option or valuation currency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1436
	Name <Nm>	[0..1]	Text		1437
	ShortName <ShrtNm>	[0..1]	Text		1437
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1437
	ClassType <ClsTp>	[0..1]	Text		1438
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1438
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1438
	ProductGroup <PdctGrp>	[0..1]	Text		1438
	SeriesIdentification <SrsId>	[0..1]		C30	1438
	SeriesDate <SrsDt>	[0..1]	±		1439
	SeriesName <SrsNm>	[0..1]	Text		1439

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

66.1.18.15.1 Identification <Id>*Presence:* [1..1]*Definition:* Identification of the security by an ISIN.

Identification <Id> contains one of the following elements (see "SecurityIdentification25Choice" on page 1448 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1449
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1449
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1449
Or	RIC <RIC>	[1..1]	IdentifierSet		1450
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1450
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1450
Or	CTA <CTA>	[1..1]	IdentifierSet		1450
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1450
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1450
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1451
Or	Valoren <Vlrr>	[1..1]	IdentifierSet		1451
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1451
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1451
Or	Common <Cmon>	[1..1]	IdentifierSet		1451
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1451
	Identification <Id>	[1..1]	Text		1451
	IdentificationSource <IdSrc>	[1..1]	±		1452

66.1.18.15.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "Max350Text" on page 2683

66.1.18.15.3 ShortName <ShrtNm>

Presence: [0..1]

Definition: Financial Instrument Short Name (FISN) expressed in conformance with the ISO 18774 standard.

Datatype: "Max35Text" on page 2683

66.1.18.15.4 SupplementaryIdentification <SplmtryId>

Presence: [0..1]

Definition: Additional information about the financial instrument to help identify the instrument.

Datatype: "Max35Text" on page 2683

66.1.18.15.5 ClassType <ClsTp>*Presence:* [0..1]

Definition: Features of units offered by the fund. For example, a unit may have a specific load structure, for example, front end or back end, an income policy, for example, pay out or accumulate, or a trailer policy, for example, with or without. Fund classes are typically denoted by a single character, for example, 'Class A', 'Class 2'.

Datatype: "Max35Text" on page 2683**66.1.18.15.6 SecuritiesForm <SctiesForm>***Presence:* [0..1]

Definition: Form, that is, ownership, of the security, for example, registered or bearer.

Datatype: "FormOfSecurity1Code" on page 2580

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

66.1.18.15.7 DistributionPolicy <DstrbtnPlcy>*Presence:* [0..1]

Definition: Income policy relating to a class type, that is, if income is paid out or retained in the fund.

Datatype: "DistributionPolicy1Code" on page 2571

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

66.1.18.15.8 ProductGroup <PdctGrp>*Presence:* [0..1]

Definition: Company specific description of a group of funds.

Datatype: "Max140Text" on page 2682**66.1.18.15.9 SeriesIdentification <Srsld>***Presence:* [0..1]

Definition: Choice of formats for the identification of a series.

Impacted by: C30 "SeriesElementRule"

SeriesIdentification <SrsId> contains the following **Series1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SeriesDate <SrsDt>	[0..1]	±		1439
	SeriesName <SrsNm>	[0..1]	Text		1439

Constraints

- **SeriesElementRule**

Either SeriesDate or SeriesName must be present. Both may be present.

66.1.18.15.9.1 SeriesDate <SrsDt>

Presence: [0..1]

Definition: Issue date of the fund series. It is typically applicable to a redemption order, subscription order confirmation or redemption order confirmation, but may be specified in the subscription order, if known.

SeriesDate <SrsDt> contains one of the following elements (see "[DateFormat42Choice](#)" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	YearMonth <YrMnth>	[1..1]	YearMonth		1377
Or}	YearMonthDay <YrMnthDay>	[1..1]	Date		1378

66.1.18.15.9.2 SeriesName <SrsNm>

Presence: [0..1]

Definition: Name of the fund series. It is typically applicable to a redemption order, subscription order confirmation or redemption order confirmation, but may be specified in the subscription, if known.

Datatype: "[Max35Text](#)" on page 2683

66.1.18.16 SecurityIdentification19

Definition: Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1440
	OtherIdentification <OthrId>	[0..*]			1440
	Identification <Id>	[1..1]	Text		1441
	Suffix <Sfx>	[0..1]	Text		1441
	Type <Tp>	[1..1]	±		1441
	Description <Desc>	[0..1]	Text		1441

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

66.1.18.16.1 ISIN <ISIN>

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 2675

66.1.18.16.2 OtherIdentification <Othrid>

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

OtherIdentification <OthrlId> contains the following **OtherIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1441
	Suffix <Sfx>	[0..1]	Text		1441
	Type <Tp>	[1..1]	±		1441

66.1.18.16.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security.

Datatype: "Max35Text" on page 2683

66.1.18.16.2.2 Suffix <Sfx>

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 2682

66.1.18.16.2.3 Type <Tp>

Presence: [1..1]

Definition: Type of the identification.

Type <Tp> contains one of the following elements (see "IdentificationSource3Choice" on page 1507 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1507
Or}	Proprietary <Prtry>	[1..1]	Text		1508

66.1.18.16.3 Description <Desc>

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: "Max140Text" on page 2682

66.1.18.17 FinancialInstrument9

Definition: Security that is a sub-set of an investment fund, and is governed by the same investment fund policy, eg, dividend option or valuation currency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1442
	Name <Nm>	[0..1]	Text		1443
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1443
	RequestedNAVCurrency <ReqdNAVCCy>	[0..1]	CodeSet	C3	1443
	ClassType <ClsTp>	[0..1]	Text		1444
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1444
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1444
	DualFundIndicator <DualFndInd>	[1..1]	Indicator		1444

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

66.1.18.17.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security by an ISIN.

Identification <Id> contains one of the following elements (see "[SecurityIdentification3Choice](#)" on page 2273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		2274
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		2274
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		2274
Or	RIC <RIC>	[1..1]	IdentifierSet		2275
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		2275
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		2275
Or	CTA <CTA>	[1..1]	IdentifierSet		2275
Or	QUICK <QUICK>	[1..1]	IdentifierSet		2275
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		2275
Or	Dutch <Dtch>	[1..1]	IdentifierSet		2275
Or	Valoren <Vlrrn>	[1..1]	IdentifierSet		2276
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		2276
Or	Belgian <Belgn>	[1..1]	IdentifierSet		2276
Or	Common <Cmon>	[1..1]	IdentifierSet		2276
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]	±		2276

66.1.18.17.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "[Max350Text](#)" on page 2683

66.1.18.17.3 SupplementaryIdentification <SplmtryId>

Presence: [0..1]

Definition: Additional information about a financial instrument to help identify the instrument.

Datatype: "[Max35Text](#)" on page 2683

66.1.18.17.4 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#)

Datatype: "[ActiveOrHistoricCurrencyCode](#)" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.18.17.5 ClassType <ClsTp>

Presence: [0..1]

Definition: Features of units offered by a fund. For example, a unit may have a specific load structure, eg, front end or back end, an income policy, eg, pay out or accumulate, or a trailer policy, eg, with or without. Fund classes are typically denoted by a single character, eg, 'Class A', 'Class 2'.

Datatype: "Max35Text" on page 2683

66.1.18.17.6 SecuritiesForm <SctiesForm>

Presence: [0..1]

Definition: Form, ie, ownership, of the security, eg, registered or bearer.

Datatype: "FormOfSecurity1Code" on page 2580

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

66.1.18.17.7 DistributionPolicy <DstrbtnPlcy>

Presence: [0..1]

Definition: Income policy relating to a class type, ie, if income is paid out or retained in the fund.

Datatype: "DistributionPolicy1Code" on page 2571

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

66.1.18.17.8 DualFundIndicator <DualFndInd>

Presence: [1..1]

Definition: Indicates whether the fund has two prices.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.18.18 FinancialInstrument18

Definition: Security that is a sub-set of an investment fund, and is governed by the same investment fund policy, eg, dividend option or valuation currency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1445
	SecurityIdentification <SctyId>	[1..1]			1446
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1446
Or}	OtherIdentification <OthrId>	[1..1]			1446
	Identification <Id>	[1..1]	Text		1447
{Or	DomesticIdentificationSource <DmstIdSrc>	[1..1]	CodeSet	C11	1447
Or}	ProprietaryIdentificationSource <PrtryIdSrc>	[1..1]	Text		1447
	Description <Desc>	[0..1]	Text		1447
	Name <Nm>	[0..1]	Text		1447
	SeriesIssueIdentificationDate <SrsIsselDt>	[0..1]	Date		1448
	SeriesName <SrsNm>	[0..1]	Text		1448
	NewIssueIndicator <NewIsselInd>	[0..1]	Indicator		1448
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1448

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityID.

- **NameRule**

If the ISINIdentifier contradicts the Name, the ISIN Identifier is used as the identification of the instrument and the name is ignored.

66.1.18.18.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security, as assigned under a formal or proprietary identification scheme.

Identification <Id> contains the following **SecurityIdentification9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..1]			1446
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1446
Or}	OtherIdentification <OthrlId>	[1..1]			1446
	Identification <Id>	[1..1]	Text		1447
{Or	DomesticIdentificationSource <DmstIdSrc>	[1..1]	CodeSet	C11	1447
Or}	ProprietaryIdentificationSource <PrtryIdSrc>	[1..1]	Text		1447
	Description <Desc>	[0..1]	Text		1447

66.1.18.18.1.1 SecurityIdentification <SctyId>

Presence: [1..1]

Definition: Security identifier.

SecurityIdentification <SctyId> contains one of the following **SecurityIdentification10Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1446
Or}	OtherIdentification <OthrlId>	[1..1]			1446
	Identification <Id>	[1..1]	Text		1447
{Or	DomesticIdentificationSource <DmstIdSrc>	[1..1]	CodeSet	C11	1447
Or}	ProprietaryIdentificationSource <PrtryIdSrc>	[1..1]	Text		1447

66.1.18.18.1.1.1 ISIN <ISIN>

Presence: [1..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINIdentifier" on page 2675

66.1.18.18.1.1.2 OtherIdentification <OthrlId>

Presence: [1..1]

Definition: Proprietary identification of a security assigned by an institution or organisation.

OtherIdentification <OthrId> contains the following **AlternateSecurityIdentification3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1447
{Or	DomesticIdentificationSource <DmstIdSrc>	[1..1]	CodeSet	C11	1447
Or}	ProprietaryIdentificationSource <PrtryIdSrc>	[1..1]	Text		1447

66.1.18.18.1.1.2.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of a security.

Datatype: "Max70Text" on page 2684

66.1.18.18.1.1.2.2 DomesticIdentificationSource <DmstIdSrc>

Presence: [1..1]

Warning Either DomesticIdentificationSource or ProprietaryIdentificationSource must be present, but not both.

Definition: Country of the proprietary identification scheme.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.18.18.1.1.2.3 ProprietaryIdentificationSource <PrtryIdSrc>

Presence: [1..1]

Warning Either DomesticIdentificationSource or ProprietaryIdentificationSource must be present, but not both.

Definition: Entity that issues the proprietary identification.

Datatype: "Max35Text" on page 2683

66.1.18.18.1.2 Description <Desc>

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: "Max140Text" on page 2682

66.1.18.18.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "Max350Text" on page 2683

66.1.18.18.3 SeriesIssueIdentificationDate <SrsIsseldDt>

Presence: [0..1]

Definition: Date that identifies the issue of a fund series. It is typically applicable to a redemption order, subscription order confirmation or redemption order confirmation, but may be specified in the subscription order, if known.

Datatype: "ISODate" on page 2668

66.1.18.18.4 SeriesName <SrsNm>

Presence: [0..1]

Definition: Identifies the name of a fund series. It is typically applicable to a redemption order, subscription order confirmation or redemption order confirmation, but may be specified in the subscription, if known.

Datatype: "Max35Text" on page 2683

66.1.18.18.5 NewIssueIndicator <NewIsselnd>

Presence: [0..1]

Definition: Indicates that the financial instrument and/or series included in the message is a new issue.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.18.18.6 SupplementaryIdentification <Splmtryld>

Presence: [0..1]

Definition: Additional information about a financial instrument to help identify the instrument.

Datatype: "Max35Text" on page 2683

66.1.19 Financial Instrument Identification

66.1.19.1 SecurityIdentification25Choice

Definition: Choice of formats for the identification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1449
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1449
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1449
Or	RIC <RIC>	[1..1]	IdentifierSet		1450
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1450
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1450
Or	CTA <CTA>	[1..1]	IdentifierSet		1450
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1450
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1450
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1451
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1451
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1451
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1451
Or	Common <Cmon>	[1..1]	IdentifierSet		1451
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1451
	Identification <Id>	[1..1]	Text		1451
	IdentificationSource <IdSrc>	[1..1]	±		1452

66.1.19.1.1 ISIN <ISIN>

Presence: [1..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 2675

66.1.19.1.2 SEDOL <SEDOL>

Presence: [1..1]

Definition: Stock Exchange Daily Official List (SEDOL) number. A code used by the London Stock Exchange to identify foreign stocks, especially those that aren't actively traded in the US and don't have a CUSIP number.

Datatype: "SEDOLIdentifier" on page 2678

66.1.19.1.3 CUSIP <CUSIP>

Presence: [1..1]

Definition: Committee on Uniform Securities and Identification Procedures (CUSIP). The standards body that created and maintains the securities classification system in the US. The CUSIP is composed of a 9-character number that uniquely identifies a particular security. Non-US securities have a similar number called the CINS number.

Datatype: "CUSIPIdentifier" on page 2673

66.1.19.1.4 RIC <RIC>

Presence: [1..1]

Definition: Reuters Identification Code (RIC). A numbering system used within the Reuters system to identify instruments worldwide. The RIC contains an X-character market specific code (can be the CUSIP or EPIC codes) followed by a full stop, then the two-digit ISO country code, for example, IBM in UK is IBM.UK.

Datatype: "RICIdentifier" on page 2677

66.1.19.1.5 TickerSymbol <TckrSymb>

Presence: [1..1]

Definition: Letters that identify a stock traded on a stock exchange. The Ticker Symbol is a short and convenient way of identifying a stock, for example, RTR.L for Reuters quoted in London.

Datatype: "TickerIdentifier" on page 2679

66.1.19.1.6 Bloomberg <Blmbrg>

Presence: [1..1]

Definition: Identifier of a security assigned by the Bloomberg organisation.

Datatype: "Bloomberg2Identifier" on page 2671

66.1.19.1.7 CTA <CTA>

Presence: [1..1]

Definition: Identifier of a security assigned by the Consolidated Tape Association.

Datatype: "ConsolidatedTapeAssociationIdentifier" on page 2672

66.1.19.1.8 QUICK <QUICK>

Presence: [1..1]

Definition: Identifier of a security assigned by the Japanese QUICK identification scheme for financial instruments.

Datatype: "QUICKIdentifier" on page 2677

66.1.19.1.9 Wertpapier <Wrtppr>

Presence: [1..1]

Definition: Wertpapier Kenn-nummer. A number issued in Germany by the Wertpapier Mitteilungen. The Wertpapier Kenn-nummer, sometimes called WPK, contains 6-digits, but no check digit. There are different ranges of numbers representing different classes of securities.

Datatype: "WertpapierIdentifier" on page 2680

66.1.19.1.10 Dutch <Dtch>*Presence:* [1..1]*Definition:* Identifier for Dutch securities.*Datatype:* "DutchIdentifier" on page 2673**66.1.19.1.11 Valoren <Vlrn>***Presence:* [1..1]*Definition:* Identifier for Swiss securities assigned by Telekurs Financial, the Swiss numbering agency.*Datatype:* "ValorenIdentifier" on page 2679**66.1.19.1.12 Sicovam <SCVM>***Presence:* [1..1]*Definition:* Identifier for French securities assigned by the Societe Interprofessionnelle Pour La Compensation des Valeurs Mobilieres in France. The Sicovam is composed of 5-digits.*Datatype:* "SicovamIdentifier" on page 2678**66.1.19.1.13 Belgian <Belgn>***Presence:* [1..1]*Definition:* Identifier for Belgian securities.*Datatype:* "BelgianIdentifier" on page 2670**66.1.19.1.14 Common <Cmon>***Presence:* [1..1]*Definition:* Identifier of securities issued in Luxembourg. The common code is a 9-digit code that replaces the CEDEL (Clearstream) and Euroclear codes.*Datatype:* "EuroclearClearstreamIdentifier" on page 2673**66.1.19.1.15 OtherProprietaryIdentification <OthrPrtryld>***Presence:* [1..1]*Definition:* Proprietary identification of the security assigned by an institution or organisation.**OtherProprietaryIdentification <OthrPrtryld>** contains the following
AlternateSecurityIdentification7 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1451
	IdentificationSource <IdSrc>	[1..1]	±		1452

66.1.19.1.15.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier of a security.*Datatype:* "Max35Text" on page 2683

66.1.19.1.15.2 IdentificationSource <IdSrc>*Presence:* [1..1]*Definition:* Source of the identification, that is, domestic (national) or proprietary.

IdentificationSource <IdSrc> contains one of the following elements (see "IdentificationSource1Choice" on page 1508 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Domestic <Dmst>	[1..1]	CodeSet	C11	1508
Or}	Proprietary <Prtry>	[1..1]	Text		1508

66.1.19.2 CashAsset2*Definition:* Identification of a cash asset.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAssetType <CshAsstTp>	[1..1]			1452
{Or	Code <Cd>	[1..1]	CodeSet		1452
Or}	Proprietary <Prtry>	[1..1]	±		1453
	HoldingCurrency <HldgCcy>	[1..1]	CodeSet	C2	1453
	AdditionalInformation <AddtlInf>	[0..1]	±		1453

66.1.19.2.1 CashAssetType <CshAsstTp>*Presence:* [1..1]*Definition:* Type of cash asset.

CashAssetType <CshAsstTp> contains one of the following **CashAssetType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1452
Or}	Proprietary <Prtry>	[1..1]	±		1453

66.1.19.2.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of cash expressed as a code.*Datatype:* "CashAssetType1Code" on page 2559

CodeName	Name	Definition
CSH2	CashInHolding	Cash is cash in the holding not yet invested.
CSH1	CashInvestment	Cash is attributed to a cash investment.

66.1.19.2.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of cash expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.19.2.2 HoldingCurrency <HldgCcy>*Presence:* [1..1]*Definition:* Currency of the asset in the holding.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyCode" on page 2552**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.19.2.3 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Additional information about the cash asset.**AdditionalInformation <AddtlInf>** contains the following elements (see "[AdditionalInformation15](#)" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.19.3 FinancialInstrumentIdentification3*Definition:* Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1454
	Name <Nm>	[0..1]	Text		1455
	ShortName <ShrtNm>	[0..1]	Text		1455
	ClassificationType <ClssfctnTp>	[0..1]	±		1455
	RestrictedIndicator <RstrctdInd>	[0..1]	Indicator		1456
	AlternateSecurity <AltrnScty>	[0..*]		C21	1456
	Identification <Id>	[1..1]	±		1456
	Name <Nm>	[0..1]	Text		1457
	ShortName <ShrtNm>	[0..1]	Text		1457
	ClassificationType <ClssfctnTp>	[0..1]	±		1457
	AlternateSecurityType <AltrnSctyTp>	[0..1]	±		1458

Constraints

- **IdentificationGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

66.1.19.3.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of a security, assigned under a formal or proprietary identification scheme.

Identification <Id> contains one of the following elements (see "SecurityIdentification25Choice" on page 1448 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1449
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1449
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1449
Or	RIC <RIC>	[1..1]	IdentifierSet		1450
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1450
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1450
Or	CTA <CTA>	[1..1]	IdentifierSet		1450
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1450
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1450
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1451
Or	Valoren <Vlrr>	[1..1]	IdentifierSet		1451
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1451
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1451
Or	Common <Cmon>	[1..1]	IdentifierSet		1451
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1451
	Identification <Id>	[1..1]	Text		1451
	IdentificationSource <IdSrc>	[1..1]	±		1452

66.1.19.3.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "Max350Text" on page 2683

66.1.19.3.3 ShortName <ShrtNm>

Presence: [0..1]

Definition: Financial Instrument Short Name (FISN) expressed in conformance with the ISO 18774 standard.

Datatype: "Max35Text" on page 2683

66.1.19.3.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Type of security.

ClassificationType <ClssfctnTp> contains one of the following elements (see "ClassificationType32Choice" on page 1815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		1815
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		1815

66.1.19.3.5 RestrictedIndicator <RstrctdInd>

Presence: [0..1]

Definition: Indicates whether the security is a restricted security.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.19.3.6 AlternateSecurity <AltrnScty>

Presence: [0..*]

Definition: Alternative security offered in place of a restricted security.

Impacted by: C21 "IdentificationGuideline"

AlternateSecurity <AltrnScty> contains the following **FinancialInstrumentIdentification4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1456
	Name <Nm>	[0..1]	Text		1457
	ShortName <ShrtNm>	[0..1]	Text		1457
	ClassificationType <ClssfctnTp>	[0..1]	±		1457
	AlternateSecurityType <AltrnSctyTp>	[0..1]	±		1458

Constraints

- **IdentificationGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

66.1.19.3.6.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of a security, assigned under a formal or proprietary identification scheme.

Identification <Id> contains one of the following elements (see "SecurityIdentification25Choice" on page 1448 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1449
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1449
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1449
Or	RIC <RIC>	[1..1]	IdentifierSet		1450
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1450
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1450
Or	CTA <CTA>	[1..1]	IdentifierSet		1450
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1450
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1450
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1451
Or	Valoren <Vlrr>	[1..1]	IdentifierSet		1451
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1451
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1451
Or	Common <Cmon>	[1..1]	IdentifierSet		1451
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1451
	Identification <Id>	[1..1]	Text		1451
	IdentificationSource <IdSrc>	[1..1]	±		1452

66.1.19.3.6.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "Max350Text" on page 2683

66.1.19.3.6.3 ShortName <ShrtNm>

Presence: [0..1]

Definition: Financial Instrument Short Name (FISN) expressed in conformance with the ISO 18774 standard.

Datatype: "Max35Text" on page 2683

66.1.19.3.6.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Type of security.

ClassificationType <ClssfctnTp> contains one of the following elements (see "ClassificationType32Choice" on page 1815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		1815
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		1815

66.1.19.3.6.5 AlternateSecurityType <AltrnSctyTp>

Presence: [0..1]

Definition: Type of security offered in place of a restricted security, for example, common.

AlternateSecurityType <AltrnSctyTp> contains the following elements (see "GenericIdentification1" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.19.4 OtherAsset2

Definition: Identification of an asset.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherAssetType <OthrAsstTp>	[1..1]			1458
{Or	Code <Cd>	[1..1]	CodeSet		1459
Or}	Proprietary <Prtry>	[1..1]	±		1459
	Identification <Id>	[1..1]	Text		1459
	Name <Nm>	[0..1]	Text		1459
	Description <Desc>	[0..1]	Text		1460
	OtherIdentification <OthrId>	[0..5]	Text		1460
	AdditionalInformation <AddtlInf>	[0..*]	±		1460

66.1.19.4.1 OtherAssetType <OthrAsstTp>

Presence: [1..1]

Definition: Type of asset.

OtherAssetType <OthrAsstTp> contains one of the following **OtherAsset2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1459
Or}	Proprietary <Prtry>	[1..1]	±		1459

66.1.19.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Other asset type specified as a code.

Datatype: "OtherAsset2Code" on page 2612

CodeName	Name	Definition
DIMA	Discretionary	Asset is a discretionary investment manager account
EXIA	ExternalAccount	Asset is an external investment account.
MOVE	MovableProperty	Property that is not real estate. Also known as 'movable chattels'.
PROP	Property	Property that is real estate.
TIPP	TrusteeInvestmentPlan	Asset is a trustee investment plan (TIP).

66.1.19.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Other asset type specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification36" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.19.4.2 Identification <Id>

Presence: [1..1]

Definition: Technical identification of the asset.

Datatype: "Max35Text" on page 2683

66.1.19.4.3 Name <Nm>

Presence: [0..1]

Definition: Name of the asset.

Datatype: "Max35Text" on page 2683

66.1.19.4.4 Description <Desc>*Presence:* [0..1]*Definition:* Description of the asset.*Datatype:* "Max35Text" on page 2683**66.1.19.4.5 OtherIdentification <Othrid>***Presence:* [0..5]*Definition:* Additional identification of the asset.*Datatype:* "Max35Text" on page 2683**66.1.19.4.6 AdditionalInformation <AddtlInf>***Presence:* [0..*]*Definition:* Additional information about the other asset.**AdditionalInformation <AddtlInf>** contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.19.5 CashAsset3*Definition:* Identification of a cash asset.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAssetType <CshAsstTp>	[1..1]			1460
{Or	Code <Cd>	[1..1]	CodeSet		1461
Or}	Proprietary <Prtry>	[1..1]	±		1461
	HoldingCurrency <HldgCcy>	[1..1]	CodeSet	C2	1461
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C2	1461
	AdditionalInformation <AddtlInf>	[0..1]	±		1462

Constraints

- HoldingTransferCurrencyGuideline**

When cash is transferred in a currency other than that of the cash, the currency should be specified in TransferCurrency.

66.1.19.5.1 CashAssetType <CshAsstTp>*Presence:* [1..1]*Definition:* Type of cash asset.

CashAssetType <CshAsstTp> contains one of the following **CashAssetType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1461
Or}	Proprietary <Prtry>	[1..1]	±		1461

66.1.19.5.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of cash expressed as a code.

Datatype: "CashAssetType1Code" on page 2559

CodeName	Name	Definition
CSH2	CashInHolding	Cash is cash in the holding not yet invested.
CSH1	CashInvestment	Cash is attributed to a cash investment.

66.1.19.5.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of cash expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification36" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.19.5.2 HoldingCurrency <HldgCcy>

Presence: [1..1]

Definition: Currency of the asset in the holding.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.19.5.3 TransferCurrency <TrfCcy>

Presence: [0..1]

Definition: Currency of the asset in another currency.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.19.5.4 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the cash asset.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.19.6 FinancialInstrumentIdentification2

Definition: Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1462
	Name <Nm>	[0..1]	Text		1463
	ShortName <ShrtNm>	[0..1]	Text		1463
	ClassificationType <ClssfctnTp>	[0..1]	±		1463

Constraints

- **IdentificationGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

66.1.19.6.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of a security, assigned under a formal or proprietary identification scheme.

Identification <Id> contains one of the following elements (see "SecurityIdentification25Choice" on page 1448 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1449
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1449
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1449
Or	RIC <RIC>	[1..1]	IdentifierSet		1450
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1450
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1450
Or	CTA <CTA>	[1..1]	IdentifierSet		1450
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1450
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1450
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1451
Or	Valoren <Vlrr>	[1..1]	IdentifierSet		1451
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1451
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1451
Or	Common <Cmon>	[1..1]	IdentifierSet		1451
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1451
	Identification <Id>	[1..1]	Text		1451
	IdentificationSource <IdSrc>	[1..1]	±		1452

66.1.19.6.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "Max350Text" on page 2683

66.1.19.6.3 ShortName <ShrtNm>

Presence: [0..1]

Definition: Financial Instrument Short Name (FISN) expressed in conformance with the ISO 18774 standard.

Datatype: "Max35Text" on page 2683

66.1.19.6.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Type of security.

ClassificationType <ClssfctnTp> contains one of the following elements (see "ClassificationType32Choice" on page 1815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		1815
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		1815

66.1.20 Financial Instrument Quantity

66.1.20.1 UnitsOrAmount1Choice

Definition: Choice between an amount or number of units.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	1464
Or}	Unit <Unit>	[1..1]	Quantity		1464

66.1.20.1.1 Amount <Amt>

Presence: [1..1]

Definition: Currency and amount of the periodical payments.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.20.1.2 Unit <Unit>

Presence: [1..1]

Definition: Number of units to be subscribed or withdrawn.

Datatype: "DecimalNumber" on page 2680

66.1.20.2 Unit12

Definition: Information about units to transfer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1465
	OrderDate <OrdDt>	[0..1]	Date		1465
	AcquisitionDate <AcqstnDt>	[0..1]	Date		1465
	CertificateNumber <CertNb>	[0..*]	Text		1466
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1466
	Reference <Ref>	[0..1]	Text		1466
	PriceDetails <PricDtls>	[0..1]	±		1466
	TransactionOverhead <TxOvrhd>	[0..1]		C18, C28	1467
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1467
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1467
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1468
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1468
	IndividualFee <IndvFee>	[0..*]	±	C6	1468
	IndividualTax <IndvTax>	[0..*]	±		1469
	OtherAmount <OthrAmt>	[0..*]			1470
	Type <Tp>	[1..1]			1470
{Or	Code <Cd>	[1..1]	CodeSet		1471
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1471
	Amount <Amt>	[1..1]	Amount	C2, C10	1471

66.1.20.2.1 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Quantity of units.

Datatype: "DecimalNumber" on page 2680

66.1.20.2.2 OrderDate <OrdDt>

Presence: [0..1]

Definition: Date the investor or its agent placed the original order.

Datatype: "ISODate" on page 2668

66.1.20.2.3 AcquisitionDate <AcqstnDt>

Presence: [0..1]

Definition: Date the investor purchased the financial instrument.

Datatype: "ISODate" on page 2668

66.1.20.2.4 CertificateNumber <CertNb>*Presence:* [0..*]*Definition:* Certificate representing the security that is delivered.*Datatype:* "Max35Text" on page 2683**66.1.20.2.5 Group1Or2Units <Grp1Or2Units>***Presence:* [0..1]*Definition:* Tax group to which the purchased investment fund units belong. The investor indicates to the intermediary operating pooled nominees, which type of unit is to be sold.*Datatype:* "UKTaxGroupUnit1Code" on page 2668

CodeName	Name	Definition
GRP1	Group1	The units that were purchased prior to the last ex-div date.
GRP2	Group2	The units that were purchased since the ex-div date, and that benefit from the tax exemption.

66.1.20.2.6 Reference <Ref>*Presence:* [0..1]*Definition:* Reference to the units number. This may be the order reference of the original acquisition, the identification of a lot, a client reference, a sub-position reference or other related reference.*Datatype:* "Max35Text" on page 2683**66.1.20.2.7 PriceDetails <PricDtls>***Presence:* [0..1]*Definition:* Information related to the price. This may specify the price of original order confirmation.**PriceDetails <PricDtls>** contains the following elements (see "UnitPrice23" on page 2212 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2212
	Value <Val>	[1..1]	±		2212
	PriceMethod <PricMtd>	[0..1]	CodeSet		2212
	AccruedInterestNAV <AcrdIntrstNAV>	[0..1]	Amount	C3, C12	2213
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2213
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2213
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2214
{Or	Code <Cd>	[1..1]	CodeSet		2214
Or}	Proprietary <Prtry>	[1..1]	±		2214

66.1.20.2.8 TransactionOverhead <TxOvrhd>*Presence:* [0..1]*Definition:* Fees and taxes. This may specify the fees and taxes related to the original order confirmation.*Impacted by:* C18 "NonStandardsSLAReferenceRule", C28 "TransactionOverheadElementRule"**TransactionOverhead <TxOvrhd>** contains the following **TotalFeesAndTaxes42** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1467
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1467
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1468
	CommercialAgreementReference <ComrcIAgrmtRef>	[0..1]	Text		1468
	IndividualFee <IndvFee>	[0..*]	±	C6	1468
	IndividualTax <IndvTax>	[0..*]	±		1469

Constraints

- **NonStandardsSLAReferenceRule**

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

- **TransactionOverheadElementRule**

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

66.1.20.2.8.1 TotalOverheadApplied <TtlOvrhdApld>*Presence:* [0..1]*Definition:* Total amount of overhead applied to the transaction that impacts the settlement amount.*Impacted by:* C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.20.2.8.2 TotalFees <TtlFees>*Presence:* [0..1]

Definition: Total amount of fees (charge/commissions) applied to the transaction that impacts the settlement amount.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.20.2.8.3 TotalTaxes <TtlTaxes>

Presence: [0..1]

Definition: Total amount of taxes applied to the transaction that impacts the settlement amount.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.20.2.8.4 CommercialAgreementReference <ComrcIAgrmtRef>

Presence: [0..1]

Definition: Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.

Datatype: "Max35Text" on page 2683

66.1.20.2.8.5 IndividualFee <IndvFee>

Presence: [0..*]

Definition: Individual fee (charge/commission).

Impacted by: C6 "AppliedAmountRule"

IndividualFee <IndvFee> contains the following elements (see "Fee5" on page 1333 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1334
{Or	Code <Cd>	[1..1]	CodeSet		1334
Or}	Proprietary <Prtry>	[1..1]	±		1335
	Basis <Bsis>	[0..1]			1335
{Or	Code <Cd>	[1..1]	CodeSet		1336
Or}	Proprietary <Prtry>	[1..1]	±		1336
	StandardAmount <StdAmt>	[0..1]	Amount	C2, C10	1336
	StandardRate <StdRate>	[0..1]	Rate		1337
	DiscountDetails <DscntDtls>	[0..1]		C14	1337
	Amount <Amt>	[0..1]	Amount	C2, C10	1337
	Rate <Rate>	[0..1]	Rate		1338
	Basis <Bsis>	[0..1]			1338
{Or	Code <Cd>	[1..1]	CodeSet		1338
Or}	Proprietary <Prtry>	[1..1]	±		1339
	AppliedAmount <ApldAmt>	[0..1]	Amount	C2, C10	1339
	AppliedRate <ApldRate>	[0..1]	Rate		1339
	NonStandardSLAReference <NonStdSLARef>	[0..1]	Text		1339
	RecipientIdentification <RcptId>	[0..1]	±		1339
	InformativeIndicator <InftvInd>	[1..1]	Indicator		1340

Constraints

- **AppliedAmountRule**

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

On Condition

/InformativeIndicator is equal to value 'false'

Or /InformativeIndicator is equal to value '0'

Following Must be True

/AppliedAmount Must be present

66.1.20.2.8.6 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Individual tax.

IndividualTax <IndvTax> contains the following elements (see "Tax35" on page 2433 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2433
{Or	Code <Cd>	[1..1]	CodeSet		2433
Or}	Proprietary <Prtry>	[1..1]	±		2435
	AppliedAmount <ApldAmt>	[1..1]	Amount	C2, C10	2435
	AppliedRate <ApldRate>	[0..1]	Rate		2435
	Country <Ctry>	[0..1]	CodeSet	C11	2436
	RecipientIdentification <RcptId>	[0..1]	±		2436
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2436
	Basis <Bsis>	[0..1]			2436
{Or	Code <Cd>	[1..1]	CodeSet		2437
Or}	Proprietary <Prtry>	[1..1]	±		2437
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2437

66.1.20.2.9 OtherAmount <OthrAmt>

Presence: [0..*]

Definition: Amounts not covered in price, fee or tax.

OtherAmount <OthrAmt> contains the following **OtherAmount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1470
{Or	Code <Cd>	[1..1]	CodeSet		1471
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1471
	Amount <Amt>	[1..1]	Amount	C2, C10	1471

66.1.20.2.9.1 Type <Tp>

Presence: [1..1]

Definition: Type of amount.

Type <Tp> contains one of the following **OtherAmountType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1471
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1471

66.1.20.2.9.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of amount expressed as a code.*Datatype:* "OtherAmountType1Code" on page 2612

CodeName	Name	Definition
PINT	PrepaidInterest	Interest that was pre-paid.
SINT	SettlementInterest	Interest on the interest.

66.1.20.2.9.1.2 ProprietaryCode <PrtryCd>*Presence:* [1..1]*Definition:* Type of amount expressed as a proprietary code.**ProprietaryCode <PrtryCd>** contains the following elements (see "GenericIdentification1" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.20.2.9.2 Amount <Amt>*Presence:* [1..1]*Definition:* Currency and amount.*Impacted by:* C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.20.3 Unit11*Definition:* Information about units to transfer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1472
	OrderDate <OrdDt>	[0..1]	Date		1472
	AcquisitionDate <AcqstnDt>	[0..1]	Date		1472
	CertificateNumber <CertNb>	[0..*]	Text		1473
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1473
	Reference <Ref>	[0..1]	Text		1473
	PriceDetails <PricDtls>	[0..1]	±		1473
	TransactionOverhead <TxOvrhd>	[0..1]		C17, C21	1474
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1474
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1474
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1475
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1475
	IndividualFee <IndvFee>	[0..*]	±	C6	1475
	IndividualTax <IndvTax>	[0..*]	±		1476
	OtherAmount <OthrAmt>	[0..*]			1477
	Type <Tp>	[1..1]			1477
{Or	Code <Cd>	[1..1]	CodeSet		1478
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1478
	Amount <Amt>	[1..1]	Amount	C2, C10	1478

66.1.20.3.1 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Quantity of units.

Datatype: "DecimalNumber" on page 2680

66.1.20.3.2 OrderDate <OrdDt>

Presence: [0..1]

Definition: Date the investor or its agent placed the original order.

Datatype: "ISODate" on page 2668

66.1.20.3.3 AcquisitionDate <AcqstnDt>

Presence: [0..1]

Definition: Date the investor purchased the financial instrument.

Datatype: "ISODate" on page 2668

66.1.20.3.4 CertificateNumber <CertNb>*Presence:* [0..*]*Definition:* Certificate representing the security that is delivered.*Datatype:* "Max35Text" on page 2683**66.1.20.3.5 Group1Or2Units <Grp1Or2Units>***Presence:* [0..1]*Definition:* Tax group to which the purchased investment fund units belong. The investor indicates to the intermediary operating pooled nominees, which type of unit is to be sold.*Datatype:* "UKTaxGroupUnit1Code" on page 2668

CodeName	Name	Definition
GRP1	Group1	The units that were purchased prior to the last ex-div date.
GRP2	Group2	The units that were purchased since the ex-div date, and that benefit from the tax exemption.

66.1.20.3.6 Reference <Ref>*Presence:* [0..1]*Definition:* Reference to the units number. This may be the order reference of the original acquisition, the identification of a lot, a client reference, a sub-position reference or other related reference.*Datatype:* "Max35Text" on page 2683**66.1.20.3.7 PriceDetails <PricDtls>***Presence:* [0..1]*Definition:* Information related to the price. This may specify the price of original order confirmation.**PriceDetails <PricDtls>** contains the following elements (see "UnitPrice23" on page 2212 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2212
	Value <Val>	[1..1]	±		2212
	PriceMethod <PricMtd>	[0..1]	CodeSet		2212
	AccruedInterestNAV <AcrdIntrstNAV>	[0..1]	Amount	C3, C12	2213
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2213
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2213
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2214
{Or	Code <Cd>	[1..1]	CodeSet		2214
Or}	Proprietary <Prtry>	[1..1]	±		2214

66.1.20.3.8 TransactionOverhead <TxOvrhd>*Presence:* [0..1]*Definition:* Fees and taxes. This may specify the fees and taxes related to the original order confirmation.*Impacted by:* C17 "NonStandardSLAReferenceRule", C21 "TransactionOverheadElementRule"**TransactionOverhead <TxOvrhd>** contains the following **TotalFeesAndTaxes41** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1474
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1474
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1475
	CommercialAgreementReference <ComrcIAgrmtRef>	[0..1]	Text		1475
	IndividualFee <IndvFee>	[0..*]	±	C6	1475
	IndividualTax <IndvTax>	[0..*]	±		1476

Constraints

- **NonStandardSLAReferenceRule**

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

- **TransactionOverheadElementRule**

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

66.1.20.3.8.1 TotalOverheadApplied <TtlOvrhdApld>*Presence:* [0..1]*Definition:* Total amount of overhead applied to the transaction that impacts the settlement amount.*Impacted by:* C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.20.3.8.2 TotalFees <TtlFees>*Presence:* [0..1]

Definition: Total amount of fees (charge/commissions) applied to the transaction that impacts the settlement amount.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.20.3.8.3 TotalTaxes <TtlTaxes>

Presence: [0..1]

Definition: Total amount of taxes applied to the transaction that impacts the settlement amount.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.20.3.8.4 CommercialAgreementReference <ComrcIAgrmtRef>

Presence: [0..1]

Definition: Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.

Datatype: "Max35Text" on page 2683

66.1.20.3.8.5 IndividualFee <IndvFee>

Presence: [0..*]

Definition: Individual fee (charge/commission).

Impacted by: C6 "AppliedAmountRule"

IndividualFee <IndvFee> contains the following elements (see "Fee5" on page 1333 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1334
{Or	Code <Cd>	[1..1]	CodeSet		1334
Or}	Proprietary <Prtry>	[1..1]	±		1335
	Basis <Bsis>	[0..1]			1335
{Or	Code <Cd>	[1..1]	CodeSet		1336
Or}	Proprietary <Prtry>	[1..1]	±		1336
	StandardAmount <StdAmt>	[0..1]	Amount	C2, C10	1336
	StandardRate <StdRate>	[0..1]	Rate		1337
	DiscountDetails <DscntDtls>	[0..1]		C14	1337
	Amount <Amt>	[0..1]	Amount	C2, C10	1337
	Rate <Rate>	[0..1]	Rate		1338
	Basis <Bsis>	[0..1]			1338
{Or	Code <Cd>	[1..1]	CodeSet		1338
Or}	Proprietary <Prtry>	[1..1]	±		1339
	AppliedAmount <ApldAmt>	[0..1]	Amount	C2, C10	1339
	AppliedRate <ApldRate>	[0..1]	Rate		1339
	NonStandardSLAReference <NonStdSLARef>	[0..1]	Text		1339
	RecipientIdentification <RcptId>	[0..1]	±		1339
	InformativeIndicator <InftvInd>	[1..1]	Indicator		1340

Constraints

- **AppliedAmountRule**

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

On Condition

/InformativeIndicator is equal to value 'false'

Or /InformativeIndicator is equal to value '0'

Following Must be True

/AppliedAmount Must be present

66.1.20.3.8.6 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Individual tax.

IndividualTax <IndvTax> contains the following elements (see "Tax35" on page 2433 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2433
{Or	Code <Cd>	[1..1]	CodeSet		2433
Or}	Proprietary <Prtry>	[1..1]	±		2435
	AppliedAmount <ApldAmt>	[1..1]	Amount	C2, C10	2435
	AppliedRate <ApldRate>	[0..1]	Rate		2435
	Country <Ctry>	[0..1]	CodeSet	C11	2436
	RecipientIdentification <RcptId>	[0..1]	±		2436
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2436
	Basis <Bsis>	[0..1]			2436
{Or	Code <Cd>	[1..1]	CodeSet		2437
Or}	Proprietary <Prtry>	[1..1]	±		2437
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2437

66.1.20.3.9 OtherAmount <OthrAmt>

Presence: [0..*]

Definition: Amount not covered in the price, fee or tax.

OtherAmount <OthrAmt> contains the following **OtherAmount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1477
{Or	Code <Cd>	[1..1]	CodeSet		1478
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1478
	Amount <Amt>	[1..1]	Amount	C2, C10	1478

66.1.20.3.9.1 Type <Tp>

Presence: [1..1]

Definition: Type of amount.

Type <Tp> contains one of the following **OtherAmountType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1478
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1478

66.1.20.3.9.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of amount expressed as a code.*Datatype:* "OtherAmountType1Code" on page 2612

CodeName	Name	Definition
PINT	PrepaidInterest	Interest that was pre-paid.
SINT	SettlementInterest	Interest on the interest.

66.1.20.3.9.1.2 ProprietaryCode <PrtryCd>*Presence:* [1..1]*Definition:* Type of amount expressed as a proprietary code.**ProprietaryCode <PrtryCd>** contains the following elements (see "GenericIdentification1" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.20.3.9.2 Amount <Amt>*Presence:* [1..1]*Definition:* Currency and amount.*Impacted by:* C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.20.4 Quantity42Choice

Definition: Total quantity of securities to be transferred, expressed in a number of units or a percentage rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TotalUnitsNumber <TtlUnitsNb>	[1..1]	Quantity		1479
Or}	TransferRate <TrfRate>	[1..1]	Rate		1479

66.1.20.4.1 TotalUnitsNumber <TtlUnitsNb>

Presence: [1..1]

Definition: Total quantity of securities to be transferred.

Datatype: "DecimalNumber" on page 2680

66.1.20.4.2 TransferRate <TrfRate>

Presence: [1..1]

Definition: Quantity of securities to be transferred as a percentage of the holding.

Datatype: "PercentageRate" on page 2681

66.1.21 Foreign Exchange

66.1.21.1 ForeignExchangeTerms6

Definition: Information needed to process a currency exchange or conversion.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C3	1479
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C3	1480
	ExchangeRate <XchgRate>	[1..1]	Rate		1480
	QuotationDate <QtnDt>	[0..1]	DateTime		1480
	QuotingInstitution <QtgInstn>	[0..1]	±		1480

66.1.21.1.1 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.21.1.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.21.1.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: The value of one currency expressed in relation to another currency. ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOneRate" on page 2681

66.1.21.1.4 QuotationDate <QtnDt>

Presence: [0..1]

Definition: Date and time at which an exchange rate is quoted.

Datatype: "ISODateTime" on page 2669

66.1.21.1.5 QuotingInstitution <QtglInstn>

Presence: [0..1]

Definition: Party that proposes a foreign exchange rate.

QuotingInstitution <QtglInstn> contains one of the following elements (see "PartyIdentification2Choice" on page 1896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	1897
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1897
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1897

66.1.21.2 ForeignExchangeTerms37

Definition: Information needed to process a currency exchange or conversion.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ToAmount <ToAmt>	[0..1]	Amount	C2, C10	1481
	FromAmount <FrAmt>	[0..1]	Amount	C2, C10	1481
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1482
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1482
	ExchangeRate <XchgRate>	[1..1]	Rate		1482
	QuotationDate <QtnDt>	[0..1]	DateTime		1483
	QuotingInstitution <QtgInstn>	[0..1]	±		1483

66.1.21.2.1 ToAmount <ToAmt>

Presence: [0..1]

Definition: Cash amount resulting from a foreign exchange trade.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.21.2.2 FromAmount <FrAmt>

Presence: [0..1]

Definition: Cash amount for which a foreign exchange is required.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.21.2.3 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency from which the quoted currency is converted in an exchange rate calculation.

$1 \times \text{<UnitCcy>} = \text{<XchgRate>} \times \text{<QtdCcy>}$.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.21.2.4 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the unit currency is converted in an exchange rate calculation.

$1 \times \text{<UnitCcy>} = \text{<XchgRate>} \times \text{<QtdCcy>}$.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.21.2.5 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects that amount of the quoted currency that can be purchased with one unit of the unit currency, as follows:

$1 \times \text{CUR1} = \text{nnn} \times \text{CUR2}$,

where:

CUR1 is the unit currency

CUR2 is the quoted currency

nnn is the exchange rate.

$1 \times \text{UnitCcy} = \text{XchgRate} \times \text{QtdCcy}$.

Datatype: "BaseOneRate" on page 2681

66.1.21.2.6 QuotationDate <QtnDt>

Presence: [0..1]

Definition: Date and time at which an exchange rate is quoted.

Datatype: "ISODatetime" on page 2669

66.1.21.2.7 QuotingInstitution <QtgInstn>

Presence: [0..1]

Definition: Party that proposes the foreign exchange rate.

QuotingInstitution <QtgInstn> contains the following elements (see "PartyIdentification139" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

66.1.21.3 ForeignExchangeTerms33

Definition: Information needed to process a currency exchange or conversion.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ToAmount <ToAmt>	[0..1]	Amount	C2, C10	1483
	FromAmount <FrAmt>	[0..1]	Amount	C2, C10	1484
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1484
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1485
	ExchangeRate <XchgRate>	[1..1]	Rate		1485
	QuotationDate <QtnDt>	[0..1]	DateTime		1485
	QuotingInstitution <QtgInstn>	[0..1]	±		1485

66.1.21.3.1 ToAmount <ToAmt>

Presence: [0..1]

Definition: Cash amount resulting from a foreign exchange trade.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.21.3.2 FromAmount <FrAmt>

Presence: [0..1]

Definition: Cash amount for which a foreign exchange is required.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.21.3.3 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency from which the quoted currency is converted in an exchange rate calculation.

$1 \times \text{<UnitCcy>} = \text{<XchgRate>} \times \text{<QtdCcy>}$.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.21.3.4 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the unit currency is converted in an exchange rate calculation.

$1 \times \text{<UnitCcy>} = \text{<XchgRate>} \times \text{<QtdCcy>}$.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.21.3.5 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects that amount of the quoted currency that can be purchased with one unit of the unit currency, as follows:

$1 \times \text{CUR1} = \text{nnn} \times \text{CUR2}$,

where:

CUR1 is the unit currency

CUR2 is the quoted currency

nnn is the exchange rate.

$1 \times \text{<UnitCcy>} = \text{<XchgRate>} \times \text{<QtdCcy>}$.

Datatype: "BaseOneRate" on page 2681

66.1.21.3.6 QuotationDate <QtnDt>

Presence: [0..1]

Definition: Date and time at which an exchange rate is quoted.

Datatype: "ISODateTime" on page 2669

66.1.21.3.7 QuotingInstitution <QtglInstn>

Presence: [0..1]

Definition: Party that proposes the foreign exchange rate.

QuotingInstitution <QtgInstn> contains the following elements (see "PartyIdentification113" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.21.4 ForeignExchangeTerms32

Definition: Information needed to process a currency exchange or conversion.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1486
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1486
	ExchangeRate <XchgRate>	[1..1]	Rate		1487
	QuotationDate <QtnDt>	[0..1]	DateTime		1487
	QuotingInstitution <QtgInstn>	[0..1]	±		1487

66.1.21.4.1 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency from which the quoted currency is converted in an exchange rate calculation.

$1 \times \text{UnitCcy} = \text{XchgRate} \times \text{QtdCcy}$.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.21.4.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the unit currency is converted in an exchange rate calculation.

$1 \times \text{UnitCcy} = \text{XchgRate} \times \text{QtdCcy}$.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.21.4.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects that amount of the quoted currency that can be purchased with one unit of the unit currency, as follows:

$1 \times \text{CUR1} = \text{nnn} \times \text{CUR2},$

where:

CUR1 is the unit currency

CUR2 is the quoted currency

nnn is the exchange rate.

$1 \times \text{UnitCcy} = \text{XchgRate} \times \text{QtdCcy}.$

Datatype: "BaseOneRate" on page 2681

66.1.21.4.4 QuotationDate <QtnDt>

Presence: [0..1]

Definition: Date and time at which an exchange rate is quoted.

Datatype: "ISODatetime" on page 2669

66.1.21.4.5 QuotingInstitution <QtgInstn>

Presence: [0..1]

Definition: Party that proposes a foreign exchange rate.

QuotingInstitution <QtgInstn> contains the following elements (see "PartyIdentification113" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.21.5 ForeignExchangeTerms19

Definition: Provides information about the terms of the foreign exchange transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1488
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1488
	ExchangeRate <XchgRate>	[1..1]	Rate		1488

66.1.21.5.1 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.21.5.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.21.5.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOneRate" on page 2681

66.1.22 Frequency

66.1.22.1 Frequency20Choice

Definition: Choice of formats for the frequency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1489
Or}	Proprietary <Prtry>	[1..1]	±		1489

66.1.22.1.1 Code <Cd>

Presence: [1..1]

Definition: Frequency expressed as a code.

Datatype: "EventFrequency8Code" on page 2576

CodeName	Name	Definition
ADHO	Adhoc	Event takes place as necessary.
YEAR	Annual	Event takes place every year or once a year.
DAIL	Daily	Event takes place every day.
FOMN	EveryFourMonths	Event takes place every four months or three times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
TYEA	EveryTwoYears	Event takes place every two years.
INDA	IntraDay	Event takes place several times a day.
MNTH	Monthly	Event takes place every month or once a month.
ONDE	OnDemand	Event takes place on demand.
OVNG	Overnight	Event takes place overnight.
QUTR	Quarterly	Event takes place every three months or four times a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
TWMN	TwiceAMonth	Event takes place two times a month.
WEEK	Weekly	Event takes place once a week.

66.1.22.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Frequency expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.22.2 StatementFrequencyReason2Choice

Definition: Choice of formats for the specification of the statement frequency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1490
Or}	Proprietary <Prtry>	[1..1]	±		1491

66.1.22.2.1 Code <Cd>

Presence: [1..1]

Definition: Statement frequency expressed as a code.

Datatype: "[EventFrequency9Code](#)" on page 2577

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
MNTH	Monthly	Event takes place every month or once a month.
TWMN	TwiceAMonth	Event takes place two times a month.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.
INDA	IntraDay	Event takes place several times a day.
OVNG	Overnight	Event takes place overnight.
ONDE	OnDemand	Event takes place on demand.
NONE	None	Event does not take place.

66.1.22.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Statement frequency expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.23 Identification Information**66.1.23.1 AdditionalReference2***Definition:* References a related message or provides another reference, such as a pool reference, linking a set of messages. The party which issued the related reference may be the Sender of the referenced message or a party other than the Sender.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1491
	ReferenceIssuer <RefIssr>	[0..1]	±		1491
	MessageName <MsgNm>	[0..1]	Text		1492

66.1.23.1.1 Reference <Ref>*Presence:* [1..1]*Definition:* Business reference of a message assigned by the party issuing the message. This reference must be unique amongst all messages of the same name sent by the same party.*Datatype:* "[Max35Text](#)" on page 2683**66.1.23.1.2 ReferenceIssuer <RefIssr>***Presence:* [0..1]*Definition:* Issuer of the reference.

ReferenceIssuer <RefIssr> contains one of the following elements (see ["PartyIdentification1Choice"](#) on page 1879 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C7	1879
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1880
Or}	NameAndAddress <NmAndAdr>	[1..1]			1880
	Name <Nm>	[1..1]	Text		1880
	Address <Adr>	[0..1]	±		1880

66.1.23.1.3 MessageName <MsgNm>

Presence: [0..1]

Definition: Name of a message.

Datatype: ["Max35Text"](#) on page 2683

66.1.23.2 IdentificationSource5Choice

Definition: Choice between source of identification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DomesticIdentificationSource <DmstIdSrc>	[1..1]	CodeSet	C11	1492
Or}	ProprietaryIdentificationSource <PrtryIdSrc>	[1..1]	Text		1492

66.1.23.2.1 DomesticIdentificationSource <DmstIdSrc>

Presence: [1..1]

Definition: Country of the proprietary identification scheme.

Impacted by: [C11 "Country"](#)

Datatype: ["CountryCode"](#) on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.23.2.2 ProprietaryIdentificationSource <PrtryIdSrc>

Presence: [1..1]

Definition: Entity that issues the proprietary identification.

Datatype: ["Max35Text"](#) on page 2683

66.1.23.3 AdditionalReference13

Definition: Reference to a related message or transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1493
	Referencelssuer <RefIssr>	[0..1]	±		1493
	MessageName <MsgNm>	[0..1]	Text		1493

66.1.23.3.1 Reference <Ref>

Presence: [1..1]

Definition: Message identification of a message. This reference was assigned by the party issuing the message.

Datatype: "Max35Text" on page 2683

66.1.23.3.2 Referencelssuer <RefIssr>

Presence: [0..1]

Definition: Issuer of the reference.

Referencelssuer <RefIssr> contains one of the following elements (see "PartyIdentification125Choice" on page 2020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2020
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2020
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2020

66.1.23.3.3 MessageName <MsgNm>

Presence: [0..1]

Definition: Name of the message.

Datatype: "Max35Text" on page 2683

66.1.23.4 References64Choice

Definition: Choice of references used to reference a previous transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	RelatedReference <RltdRef>	[1..2]	±		1493
Or}	OtherReference <OthrRef>	[1..2]	±		1494

66.1.23.4.1 RelatedReference <RltdRef>

Presence: [1..2]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	Referencelssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

66.1.23.4.2 OtherReference <OthrRef>

Presence: [1..2]

Definition: Reference to a linked proprietary message or reference of a system that was previously received.

OtherReference <OthrRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	Referencelssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

66.1.23.5 AdditionalReference11

Definition: References a related message or provides another reference, such as a pool reference, linking a set of messages. The party which issued the related reference may be the Sender of the referenced message or a party other than the Sender.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1494
	Referencelssuer <RefIssr>	[0..1]	±		1494
	MessageName <MsgNm>	[0..1]	Text		1495

66.1.23.5.1 Reference <Ref>

Presence: [1..1]

Definition: Reference identifying a set of messages.

Datatype: "Max35Text" on page 2683

66.1.23.5.2 Referencelssuer <RefIssr>

Presence: [0..1]

Definition: Issuer of the reference.

ReferenceIssuer <RefIssr> contains the following elements (see "PartyIdentification139" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

66.1.23.5.3 MessageName <MsgNm>

Presence: [0..1]

Definition: Name of the message.

Datatype: "Max35Text" on page 2683

66.1.23.6 AdditionalReference10

Definition: Reference and reference issuer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

66.1.23.6.1 Reference <Ref>

Presence: [1..1]

Definition: Reference issued by a party to identify an instruction, transaction or a message.

Datatype: "Max35Text" on page 2683

66.1.23.6.2 ReferenceIssuer <RefIssr>

Presence: [0..1]

Definition: Issuer of the reference.

ReferenceIssuer <RefIssr> contains the following elements (see "PartyIdentification139" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

66.1.23.6.3 MessageName <MsgNm>

Presence: [0..1]

Definition: Name of the message.

Datatype: "Max35Text" on page 2683

66.1.23.7 References62Choice

Definition: Choice of references used to reference a previous transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PreviousReference <PrvsRef>	[1..2]	±		1496
Or}	OtherReference <OthrRef>	[1..2]	±		1496

66.1.23.7.1 PreviousReference <PrvsRef>

Presence: [1..2]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see ["AdditionalReference8"](#) on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

66.1.23.7.2 OtherReference <OthrRef>

Presence: [1..2]

Definition: Reference to a linked proprietary message or reference of a system that was previously received.

OtherReference <OthrRef> contains the following elements (see ["AdditionalReference8"](#) on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	ReferenceIssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

66.1.23.8 References61Choice

Definition: Choice of references used to reference a previous transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	RelatedReference <RltdRef>	[1..2]	±		1496
Or}	OtherReference <OthrRef>	[1..2]	±		1497

66.1.23.8.1 RelatedReference <RltdRef>

Presence: [1..2]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference8" on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	Referencelssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

66.1.23.8.2 OtherReference <OthrRef>

Presence: [1..2]

Definition: Reference to a linked proprietary message or reference of a system that was previously received.

OtherReference <OthrRef> contains the following elements (see "AdditionalReference8" on page 1497 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	Referencelssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

66.1.23.9 AdditionalReference8

Definition: References a related message or provides another reference, such as a pool reference, linking a set of messages. The party which issued the related reference may be the Sender of the referenced message or a party other than the Sender.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1497
	Referencelssuer <RefIssr>	[0..1]	±		1497
	MessageName <MsgNm>	[0..1]	Text		1498

66.1.23.9.1 Reference <Ref>

Presence: [1..1]

Definition: Reference issued by a party to identify an instruction, transaction or a message.

Datatype: "Max35Text" on page 2683

66.1.23.9.2 Referencelssuer <RefIssr>

Presence: [0..1]

Definition: Issuer of the reference.

ReferenceIssuer <RefIssr> contains the following elements (see "PartyIdentification113" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.23.9.3 MessageName <MsgNm>

Presence: [0..1]

Definition: Name of the message.

Datatype: "Max35Text" on page 2683

66.1.23.10 AdditionalReference9

Definition: References a related message or provides another reference, such as a pool reference, linking a set of messages. The party which issued the related reference may be the Sender of the referenced message or a party other than the Sender.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1498
	ReferenceIssuer <RefIssr>	[0..1]	±		1498
	MessageName <MsgNm>	[0..1]	Text		1498

66.1.23.10.1 Reference <Ref>

Presence: [1..1]

Definition: Reference identifying a set of messages.

Datatype: "Max35Text" on page 2683

66.1.23.10.2 ReferenceIssuer <RefIssr>

Presence: [0..1]

Definition: Issuer of the reference.

ReferenceIssuer <RefIssr> contains the following elements (see "PartyIdentification113" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.23.10.3 MessageName <MsgNm>

Presence: [0..1]

Definition: Name of the message.

Datatype: "Max35Text" on page 2683

66.1.23.11 GenericIdentification78

Definition: Identification expressed as a proprietary type and narrative description.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1499
	Identification <Id>	[0..1]	Text		1499

66.1.23.11.1 Type <Tp>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Type <Tp> contains the following elements (see "[GenericIdentification30](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1505
	Issuer <Issr>	[1..1]	Text		1505
	SchemeName <SchmeNm>	[0..1]	Text		1505

66.1.23.11.2 Identification <Id>

Presence: [0..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "[Max35Text](#)" on page 2683

66.1.23.12 IdentificationType42Choice

Definition: Choice between a code and a data source scheme to specify the type of alternate identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1499
Or}	Proprietary <Prtry>	[1..1]	±		1500

66.1.23.12.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification is defined using a code.

Datatype: "[TypeOfIdentification1Code](#)" on page 2661

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.

CodeName	Name	Definition
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

66.1.23.12.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification is defined using a data source scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1505
	Issuer <Issr>	[1..1]	Text		1505
	SchemeName <SchmeNm>	[0..1]	Text		1505

66.1.23.13 GenericIdentification82

Definition: Information related to the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1500
	Type <Tp>	[1..1]			1501
{Or	Code <Cd>	[1..1]	CodeSet		1501
Or}	Proprietary <Prtry>	[1..1]	±		1502
	Issuer <Issr>	[0..1]	Text		1502
	IssueDate <IsseDt>	[0..1]	Date		1502
	ExpiryDate <XpryDt>	[0..1]	Date		1502
	State <Stat>	[0..1]	Text		1502
	IssuerCountry <IssrCtry>	[0..1]	CodeSet	C11	1502

66.1.23.13.1 Identification <Id>

Presence: [1..1]

Definition: Name or number assigned by an entity to enable recognition of that entity.

Datatype: "[Max35Text](#)" on page 2683

66.1.23.13.2 Type <Tp>*Presence:* [1..1]*Definition:* Type of identification.**Type <Tp>** contains one of the following **OtherIdentification3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1501
Or}	Proprietary <Prtry>	[1..1]	±		1502

66.1.23.13.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of identification expressed as a code.*Datatype:* "PartyIdentificationType7Code" on page 2613

CodeName	Name	Definition
ATIN	AdoptionTaxpayerIdentificationNumber	Temporary tax processing number (used in the domestic adoption of a child).
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
OTHR	Other	Another type of identification type.
PASS	PassportNumber	Number assigned by a passport authority to a passport.
POCD	PostOfficeCardNumber	Post office card number as assigned by a national post office.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
SRSA	SRSAccountNumber	Supplementary retirement scheme account number.
GUNL	GunLicenseNumber	Gun license number as assigned by a gun licensing authority.
GTIN	GenericTaxIdentificationNumber	Generic tax identification number.
ITIN	IndividualTaxpayerIdentificationNumber	Tax processing number.
CPFA	CPFAccountNumber	Account issued by the Central Provident Fund Board (Singapore).
AREG	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
DRLC	DriversLicenseNumber	Number assigned by a license authority to a driver's license.
EMID	EmployerIdentificationNumber	Number assigned to an employer by a registration authority.

CodeName	Name	Definition
NINV	NonResidentInvestor	Number that identifies the type of investor registered at a securities and exchange commission.
INCL	IndustryClassification	Number, typically assigned by a government to describe ownership, administrative and authoritative relations.
GIIN	GlobalIntermediaryIdentificationNumber	Global intermediary tax identification number.

66.1.23.13.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.23.13.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "[Max35Text](#)" on page 2683

66.1.23.13.4 IssueDate <IssDt>

Presence: [0..1]

Definition: Date at which the identification was issued.

Datatype: "[ISODate](#)" on page 2668

66.1.23.13.5 ExpiryDate <XpryDt>

Presence: [0..1]

Definition: Date at which the identification expires.

Datatype: "[ISODate](#)" on page 2668

66.1.23.13.6 State <Stat>

Presence: [0..1]

Definition: Name of the state, county or country sub-division that issued the identification document.

Datatype: "[Max70Text](#)" on page 2684

66.1.23.13.7 IssuerCountry <IssrCtry>

Presence: [0..1]

Definition: Country that issued the identification document.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.23.14 GenericIdentification81

Definition: Information related to the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1503
	IdentificationType <IdTp>	[1..1]			1503
{Or	Code <Cd>	[1..1]	CodeSet		1503
Or}	Proprietary <Prtry>	[1..1]	±		1504

66.1.23.14.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party, such as a tax or social security identifier.

Datatype: "Max35Text" on page 2683

66.1.23.14.2 IdentificationType <IdTp>

Presence: [1..1]

Definition: Type of identification.

IdentificationType <IdTp> contains one of the following **OtherIdentification3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1503
Or}	Proprietary <Prtry>	[1..1]	±		1504

66.1.23.14.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "PartyIdentificationType7Code" on page 2613

CodeName	Name	Definition
ATIN	AdoptionTaxpayerIdentificationNumber	Temporary tax processing number (used in the domestic adoption of a child).

CodeName	Name	Definition
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
OTHR	Other	Another type of identification type.
PASS	PassportNumber	Number assigned by a passport authority to a passport.
POCD	PostOfficeCardNumber	Post office card number as assigned by a national post office.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
SRSA	SRSAccountNumber	Supplementary retirement scheme account number.
GUNL	GunLicenseNumber	Gun license number as assigned by a gun licensing authority.
GTIN	GenericTaxIdentificationNumber	Generic tax identification number.
ITIN	IndividualTaxpayerIdentificationNumber	Tax processing number.
CPFA	CPFAccountNumber	Account issued by the Central Provident Fund Board (Singapore).
AREG	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
DRLC	DriversLicenseNumber	Number assigned by a license authority to a driver's license.
EMID	EmployerIdentificationNumber	Number assigned to an employer by a registration authority.
NINV	NonResidentInvestor	Number that identifies the type of investor registered at a securities and exchange commission.
INCL	IndustryClassification	Number, typically assigned by a government to describe ownership, administrative and authoritative relations.
GIIN	GlobalIntermediaryIdentificationNumber	Global intermediary tax identification number.

66.1.23.14.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.23.15 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1505
	Issuer <Issr>	[1..1]	Text		1505
	SchemeName <SchmeNm>	[0..1]	Text		1505

66.1.23.15.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "[Exact4AlphaNumericText](#)" on page 2682

66.1.23.15.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "[Max35Text](#)" on page 2683

66.1.23.15.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "[Max35Text](#)" on page 2683

66.1.23.16 GenericIdentification36

Definition: Identification using a proprietary scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.23.16.1 Identification <Id>*Presence:* [1..1]*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.*Datatype:* "Max35Text" on page 2683**66.1.23.16.2 Issuer <Issr>***Presence:* [1..1]*Definition:* Entity that assigns the identification.*Datatype:* "Max35Text" on page 2683**66.1.23.16.3 SchemeName <SchmeNm>***Presence:* [0..1]*Definition:* Short textual description of the scheme.*Datatype:* "Max35Text" on page 2683**66.1.23.17 GenericIdentification47***Definition:* Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.23.17.1 Identification <Id>*Presence:* [1..1]*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.*Datatype:* "Exact4AlphaNumericText" on page 2682**66.1.23.17.2 Issuer <Issr>***Presence:* [1..1]*Definition:* Entity that assigns the identification.*Datatype:* "Max4AlphaNumericText" on page 2684**66.1.23.17.3 SchemeName <SchmeNm>***Presence:* [0..1]*Definition:* Short textual description of the scheme.*Datatype:* "Max4AlphaNumericText" on page 2684**66.1.23.18 SimpleIdentificationInformation***Definition:* Information related to an identification, eg, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507

66.1.23.18.1 Identification <Id>

Presence: [1..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, eg, account identifier.

Datatype: "Max35Text" on page 2683

66.1.23.19 MessageIdentification1

Definition: Identifies a message by a unique identifier and the date and time when the message was created by the sender.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1507
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1507

66.1.23.19.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the message.

Datatype: "Max35Text" on page 2683

66.1.23.19.2 CreationDateTime <CreDtTm>

Presence: [1..1]

Definition: Date of creation of the message.

Datatype: "ISODateTime" on page 2669

66.1.23.20 IdentificationSource3Choice

Definition: Choice between source of identification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1507
Or}	Proprietary <Prtry>	[1..1]	Text		1508

66.1.23.20.1 Code <Cd>

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: "ExternalFinancialInstrumentIdentificationType1Code" on page 2578

66.1.23.20.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Unique and unambiguous identification source using a proprietary identification scheme.*Datatype:* "Max35Text" on page 2683**66.1.23.21 IdentificationSource1Choice***Definition:* Choice of proprietary or domestic identification scheme that uniquely identifies a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Domestic <Dmst>	[1..1]	CodeSet	C11	1508
Or}	Proprietary <Prtry>	[1..1]	Text		1508

66.1.23.21.1 Domestic <Dmst>*Presence:* [1..1]*Definition:* Country of the proprietary identification scheme.*Impacted by:* C11 "Country"*Datatype:* "CountryCode" on page 2567**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.23.21.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Entity that issues the proprietary identification.*Datatype:* "Max35Text" on page 2683**66.1.23.22 GenericIdentification7***Definition:* Information expressed in a proprietary manner.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Issuer <Issr>	[1..1]	Text		1508
	Information <Inf>	[1..1]	Text		1509

66.1.23.22.1 Issuer <Issr>*Presence:* [1..1]*Definition:* Entity that assigns the identification.*Datatype:* "Max8Text" on page 2684

66.1.23.22.2 Information <Inf>*Presence:* [1..1]*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.*Datatype:* "Max35Text" on page 2683**66.1.23.23 GenericIdentification6***Definition:* Balance expressed with a data source scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Issuer <Issr>	[1..1]	Text		1509
	Information <Inf>	[1..1]	Text		1509
	Balance <Bal>	[1..1]	Quantity		1509

66.1.23.23.1 Issuer <Issr>*Presence:* [1..1]*Definition:* Entity that assigns the identification.*Datatype:* "Max8Text" on page 2684**66.1.23.23.2 Information <Inf>***Presence:* [1..1]*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.*Datatype:* "Exact4AlphaNumericText" on page 2682**66.1.23.23.3 Balance <Bal>***Presence:* [1..1]*Definition:* Value of the balance.*Datatype:* "Number" on page 2680**66.1.23.24 GenericIdentification5***Definition:* Information expressed in a proprietary manner.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Issuer <Issr>	[1..1]	Text		1509
	Information <Inf>	[1..1]	Text		1510
	Narrative <Nrrtv>	[0..1]	Text		1510

66.1.23.24.1 Issuer <Issr>*Presence:* [1..1]*Definition:* Entity that assigns the identification.*Datatype:* "Max8Text" on page 2684

66.1.23.24.2 Information <Inf>*Presence:* [1..1]*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.*Datatype:* "Exact4AlphaNumericText" on page 2682**66.1.23.24.3 Narrative <Nrrtv>***Presence:* [0..1]*Definition:* Additional information.*Datatype:* "Max35Text" on page 2683**66.1.23.25 GenericIdentification3***Definition:* Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1510
	Issuer <Issr>	[0..1]	Text		1510

66.1.23.25.1 Identification <Id>*Presence:* [1..1]*Definition:* Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.*Datatype:* "Max35Text" on page 2683**66.1.23.25.2 Issuer <Issr>***Presence:* [0..1]*Definition:* Entity that assigns the identification.*Datatype:* "Max35Text" on page 2683**66.1.23.26 GenericIdentification27***Definition:* Information related to an identification, eg, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1510
	SchemeName <SchmeNm>	[0..1]	Text		1511
	Issuer <Issr>	[1..1]	Text		1511

66.1.23.26.1 Identification <Id>*Presence:* [1..1]*Definition:* Identification assigned by an institution.

Datatype: "Max4AlphaNumericText" on page 2684

66.1.23.26.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max4AlphaNumericText" on page 2684

66.1.23.26.3 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max4AlphaNumericText" on page 2684

66.1.23.27 GenericIdentification13

Definition: Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1511
	SchemeName <SchmeNm>	[0..1]	Text		1511
	Issuer <Issr>	[1..1]	Text		1511

66.1.23.27.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max4AlphaNumericText" on page 2684

66.1.23.27.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max35Text" on page 2683

66.1.23.27.3 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 2683

66.1.23.28 GenericIdentification1

Definition: Information related to an identification, for example party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.23.28.1 Identification <Id>*Presence:* [1..1]*Definition:* Identification assigned by an institution.*Datatype:* "Max35Text" on page 2683**66.1.23.28.2 SchemeName <SchmeNm>***Presence:* [0..1]*Definition:* Name of the identification scheme.*Datatype:* "Max35Text" on page 2683**66.1.23.28.3 Issuer <Issr>***Presence:* [0..1]*Definition:* Entity that assigns the identification.*Datatype:* "Max35Text" on page 2683**66.1.23.29 AdditionalReference4**

Definition: References a related message or provides another reference, such as a pool reference, linking a set of messages. The party which issued the related reference may be the Sender of the referenced message or a party other than the Sender.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1512
	Referencelssuer <RefIssr>	[0..1]	±		1512
	MessageName <MsgNm>	[0..1]	Text		1513

66.1.23.29.1 Reference <Ref>*Presence:* [1..1]*Definition:* Message identification of a message. This reference was assigned by the party issuing the message.*Datatype:* "Max35Text" on page 2683**66.1.23.29.2 Referencelssuer <RefIssr>***Presence:* [0..1]*Definition:* Issuer of the reference.

ReferenceIssuer <RefIssr> contains one of the following elements (see ["PartyIdentification2Choice"](#) on page 1896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	1897
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1897
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1897

66.1.23.29.3 MessageName <MsgNm>

Presence: [0..1]

Definition: Name of the message.

Datatype: ["Max35Text"](#) on page 2683

66.1.23.30 AdditionalReference3

Definition: References a related message or provides another reference, such as a pool reference, linking a set of messages. The party which issued the related reference may be the Sender of the referenced message or a party other than the Sender.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

66.1.23.30.1 Reference <Ref>

Presence: [1..1]

Definition: Business reference of a message assigned by the party issuing the message. This reference must be unique amongst all messages of the same name sent by the same party.

Datatype: ["Max35Text"](#) on page 2683

66.1.23.30.2 ReferenceIssuer <RefIssr>

Presence: [0..1]

Definition: Issuer of the reference.

ReferenceIssuer <RefIssr> contains one of the following elements (see ["PartyIdentification2Choice"](#) on page 1896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	1897
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1897
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1897

66.1.23.30.3 MessageName <MsgNm>*Presence:* [0..1]*Definition:* Name of a message.*Datatype:* "Max35Text" on page 2683**66.1.24 Investment Account****66.1.24.1 InvestmentAccount30**

Definition: Account between an investor(s) and a fund manager or a fund. The account can contain holdings in any investment fund or investment fund class managed (or distributed) by the fund manager, within the same fund family.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Account <Acct>	[1..1]	±		1514
	Designation <Dsgnt>	[0..1]	Text		1514
	Owner <Ownr>	[0..*]	±		1515
	Servicer <Svcr>	[0..1]	±		1515
	SubAccount <SubAcct>	[0..1]			1515
	Identification <Id>	[1..1]	Text		1515
	Name <Nm>	[0..1]	Text		1516
	Characteristic <Chrtc>	[0..1]	Text		1516

66.1.24.1.1 Account <Acct>*Presence:* [1..1]

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

Account <Acct> contains the following elements (see "SecuritiesAccount5" on page 1216 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1216
	Name <Nm>	[0..1]	Text		1216

66.1.24.1.2 Designation <Dsgnt>*Presence:* [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to reconciled individually.

Datatype: "Max35Text" on page 2683

66.1.24.1.3 Owner <Ownr>*Presence:* [0..*]*Definition:* Party that legally owns the account.**Owner <Ownr>** contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 1896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	1897
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1897
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1897

66.1.24.1.4 Servicer <Svcr>*Presence:* [0..1]*Definition:* Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.**Servicer <Svcr>** contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 1896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	1897
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1897
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1897

66.1.24.1.5 SubAccount <SubAcct>*Presence:* [0..1]*Definition:* Sub-accounts that are grouped in a master or omnibus account.**SubAccount <SubAcct>** contains the following **SubAccount3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1515
	Name <Nm>	[0..1]	Text		1516
	Characteristic <Chrtc>	[0..1]	Text		1516

66.1.24.1.5.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique and unambiguous identification for the account between the account owner and the account servicer.*Datatype:* "[Max35Text](#)" on page 2683

66.1.24.1.5.2 Name <Nm>*Presence:* [0..1]*Definition:* Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.*Datatype:* "Max70Text" on page 2684**66.1.24.1.5.3 Characteristic <Chrtc>***Presence:* [0..1]*Definition:* Specifies additional properties of the account.*Datatype:* "Max35Text" on page 2683**66.1.24.2 SafekeepingAccount2***Definition:* Safekeeping or investment account. A safekeeping account is an account on which a securities entry is made. An investment account is an account between an investor(s) and a fund manager or a fund. The account can contain holdings in any investment fund or investment fund class managed (or distributed) by the fund manager, within the same fund family.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1516
	FungibleIndicator <Fngblnd>	[1..1]	Indicator		1517
	Name <Nm>	[0..1]	Text		1517
	Designation <Dsgnt>	[0..1]	Text		1517
	IntermediaryInformation <IntrmyInf>	[0..10]	±		1517
	AccountOwner <AcctOwnr>	[0..1]	±		1517
	AccountServicer <AcctSvcr>	[0..1]	±		1518

66.1.24.2.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique and unambiguous identification for the account between the account owner and the account servicer.**Identification <Id>** contains one of the following elements (see "AccountIdentificationFormatChoice" on page 1225 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SimpleIdentification <SmpId>	[1..1]	±		1225
Or	IdentificationAndPurpose <IdAndPurp>	[1..1]			1226
	Identification <Id>	[1..1]	±		1226
	Purpose <Purp>	[1..1]	CodeSet		1226
Or}	IdentificationAsDSS <IdAsDSS>	[1..1]	±		1227

66.1.24.2.2 FungibleIndicator <Fngblnd>*Presence:* [1..1]*Definition:* Indicates whether the securities in the account are fungible, ie, interchangeable.*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.24.2.3 Name <Nm>*Presence:* [0..1]*Definition:* Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.*Datatype:* ["Max35Text"](#) on page 2683**66.1.24.2.4 Designation <Dsgnt>***Presence:* [0..1]*Definition:* Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.*Datatype:* ["Max35Text"](#) on page 2683**66.1.24.2.5 IntermediaryInformation <IntrmyInf>***Presence:* [0..10]*Definition:* Party that provides services relating to financial products to investors, eg, advice on products and placement of orders for the investment fund.**IntermediaryInformation <IntrmyInf>** contains the following elements (see ["Intermediary11"](#) on page 1897 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1898
	Account <Acct>	[0..1]	±		1898
{Or	Role <Role>	[0..1]	CodeSet		1898
Or}	ExtendedRole <XtndedRole>	[0..1]	Text		1899

66.1.24.2.6 AccountOwner <AcctOwnr>*Presence:* [0..1]*Definition:* Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification2Choice" on page 1896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C7	1897
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1897
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1897

66.1.24.2.7 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see "PartyIdentification2Choice" on page 1896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C7	1897
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1897
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1897

66.1.24.3 InvestmentAccount74

Definition: Information about a securities account and its characteristics.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1520
	AccountStatus <AcctSts>	[0..1]	±	C6, C7, C8, C9, C10, C11, C14, C15, C32	1520
	BlockedStatus <BlckdSts>	[0..1]	±		1522
	StatusDate <StsDt>	[0..1]	±		1522
	Name <Nm>	[0..1]	Text		1523
	Designation <Dsgnt>	[0..1]	Text		1523
	Type <Tp>	[0..1]	±		1523
	OwnershipType <OwnrshTp>	[0..1]	±		1523
	TaxExemption <TaxXmptn>	[0..1]	±		1524
	StatementFrequency <StmtFrqcy>	[0..1]	±		1524
	ReferenceCurrency <RefCcy>	[0..1]	CodeSet	C2	1524
	Language <Lang>	[0..1]	CodeSet	C34	1524
	IncomePreference <IncmPref>	[0..1]	CodeSet		1525
	ReinvestmentDetails <RinvstmtDtls>	[0..*]	±		1525
	TaxWithholdingMethod <TaxWhldgMtd>	[0..1]	CodeSet		1525
	TaxReporting <TaxRptg>	[0..*]	±		1526
	LetterIntentDetails <LtrInttDtls>	[0..1]	±		1527
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		1528
	RequiredSignatoriesNumber <ReqrdSgntriesNb>	[0..1]	Quantity		1528
	FundFamilyName <FndFmlyNm>	[0..1]	Text		1528
	FinancialInstrumentDetails <FinInstrmDtls>	[0..*]	±	C20	1528
	RoundingDetails <RndgDtls>	[0..1]	±		1529
	AccountServicer <AcctSvcr>	[0..1]	±		1530
	AccountUsageType <AcctUsqTp>	[0..1]	±		1530
	ForeignStatusCertification <FrqnStsCertfctn>	[0..1]	CodeSet		1530
	AccountSignatureDateTime <AcctSgntrDtTm>	[0..1]	±		1530
	TransactionChannelType <TxChanITp>	[0..1]	±		1531
	InvestmentAccountCategory <InvstmtAcctCtgy>	[0..1]	±		1531
	Pledging <Pldgg>	[0..1]	CodeSet		1531

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Collateral <Coll>	[0..1]	CodeSet		1531
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		1532
	PowerOfAttorneyLevelOfControl <PwrOfAttnyLvOfCtrl>	[0..1]	±		1532
	AccountingStatus <AcctgSts>	[0..1]	±		1532
	OpeningDate <OpngDt>	[0..1]	±		1533
	ClosingDate <ClsgDt>	[0..1]	±		1533
	NegativeIndicator <NegInd>	[0..1]	Indicator		1533
	ProcessingOrder <PrcgOrdr>	[0..1]	CodeSet		1533
	Liability <Lbilty>	[0..1]	±		1533
	InvestorProfile <InvstrPrfl>	[0..*]	±		1534
	FiscalYear <FscYr>	[0..1]	±		1535

Constraints

- FinancialInstrumentDetailsGuideline**

FinancialInstrumentDetails may be present if a single investment fund is associated to the investment account. If several investment funds are associated to the investment account, the FundFamilyName only should be specified.

- IncomePreferenceReinvestment1Rule**

If IncomePreference is CASH (Cash), then ReinvestmentDetails is not allowed.

On Condition

/IncomePreference is equal to value 'Cash'

Following Must be True

/ReinvestmentDetails[*] Must be absent

- ReinvestmentPercentage1Rule**

Sum of all occurrences of ReinvestmentDetails/ReinvestmentPercentage must not be greater than 100.

66.1.24.3.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2683

66.1.24.3.2 AccountStatus <AcctSts>

Presence: [0..1]

Definition: Status of the account.

Impacted by: C6 "ClosedStatus1Rule", C7 "ClosedStatus2Rule", C8 "ClosedStatus3Rule", C9 "ClosedStatus4Rule", C10 "ClosedStatus5Rule", C11 "ClosedStatus6Rule", C14 "EnabledDisabledStatus1Rule", C15 "EnabledDisabledStatus2Rule", C32 "StatusElementRule"

AccountStatus <AcctSts> contains the following elements (see "AccountStatus2" on page 2384 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Enabled <Nbld>	[0..1]	±		2385
	Disabled <Dsbl>	[0..1]	±		2386
	Pending <Pdg>	[0..1]	±		2386
	PendingOpening <PdgOpng>	[0..1]	±		2386
	Proforma <Profrm>	[0..1]	±		2387
	Closed <Clsd>	[0..1]	±		2387
	ClosurePending <ClsrPdg>	[0..1]	±		2388
	Other <Othr>	[0..*]	±		2388

Constraints

- **ClosedStatus1Rule**

If Closed is present then Enabled is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /Enabled Must be absent
```

- **ClosedStatus2Rule**

If Closed is present then Disabled is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /Disabled Must be absent
```

- **ClosedStatus3Rule**

If Closed is present then Pending is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /Pending Must be absent
```

- **ClosedStatus4Rule**

If Closed is present then PendingOpening is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /PendingOpening Must be absent
```

- **ClosedStatus5Rule**

If Closed is present then Proforma is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /Proforma Must be absent
```

- **ClosedStatus6Rule**

If Closed is present then ClosurePending is not allowed.

```
On Condition
  /Closed is absent
Following Must be True
  /ClosurePending Must be absent
```

- **EnabledDisabledStatus1Rule**

If Enabled is present, then Disabled is not allowed.

```
On Condition
  /Enabled is present
Following Must be True
  /Disabled Must be absent
```

- **EnabledDisabledStatus2Rule**

If Disabled is present, then Enabled is not allowed.

```
On Condition
  /Disabled is present
Following Must be True
  /Enabled Must be absent
```

- **StatusElementRule**

One of the elements (Enabled, Disabled, Pending, PendingOpening, Proforma, Closed, ClosurePending, Other) must be present.

66.1.24.3.3 BlockedStatus <BlckdSts>

Presence: [0..1]

Definition: Specifies the account is blocked and other factors for the blocked account.

BlockedStatus <BlckdSts> contains one of the following elements (see ["BlockedStatusReason2Choice"](#) on page 2402 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2402
Or}	Reason <Rsn>	[1..*]			2403
	TransactionType <TxTp>	[1..1]			2403
{Or	Code <Cd>	[1..1]	CodeSet		2403
Or}	Proprietary <Prtry>	[1..1]	±		2405
	Blocked <Blckd>	[1..1]	Indicator		2405
	Reason <Rsn>	[0..*]			2405
{Or	Code <Cd>	[1..1]	CodeSet		2405
Or}	Proprietary <Prtry>	[1..1]	±		2406
	AdditionalInformation <AddtlInf>	[1..1]	Text		2406

66.1.24.3.4 StatusDate <StsDt>

Presence: [0..1]

Definition: Date the status is assigned.

StatusDate <StsDt> contains one of the following elements (see "[DateAndDateTime1Choice](#)" on page 1376 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1377
Or}	DateTime <DtTm>	[1..1]	DateTime		1377

66.1.24.3.5 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "[Max35Text](#)" on page 2683

66.1.24.3.6 Designation <Dsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: "[Max35Text](#)" on page 2683

66.1.24.3.7 Type <Tp>

Presence: [0..1]

Definition: Type of account.

Type <Tp> contains one of the following elements (see "[AccountType2Choice](#)" on page 1215 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1215
Or}	Proprietary <Prtry>	[1..1]	±		1215

66.1.24.3.8 OwnershipType <OwnrshTp>

Presence: [0..1]

Definition: Ownership status of the account, for example, joint owners.

OwnershipType <OwnrshTp> contains one of the following elements (see "[OwnershipType2Choice](#)" on page 1632 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1632
Or}	Proprietary <Prtry>	[1..1]	±		1633

66.1.24.3.9 TaxExemption <TaxXmptn>*Presence:* [0..1]*Definition:* Tax advantage specific to the account.**TaxExemption <TaxXmptn>** contains one of the following elements (see "[TaxExemptionReason2Choice](#)" on page 2409 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2409
Or}	Proprietary <Prtry>	[1..1]	±		2413

66.1.24.3.10 StatementFrequency <StmntFrqcy>*Presence:* [0..1]*Definition:* Frequency at which a statement is issued.**StatementFrequency <StmntFrqcy>** contains one of the following elements (see "[StatementFrequencyReason2Choice](#)" on page 1490 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1490
Or}	Proprietary <Prtry>	[1..1]	±		1491

66.1.24.3.11 ReferenceCurrency <RefCcy>*Presence:* [0..1]*Definition:* Currency chosen for reporting purposes by the account owner in agreement with the account servicer.*Impacted by:* [C2 "ActiveCurrency"](#)*Datatype:* ["ActiveCurrencyCode"](#) on page 2552**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.24.3.12 Language <Lang>*Presence:* [0..1]*Definition:* Language for all communication concerning the account.*Impacted by:* [C34 "ValidationByTable"](#)*Datatype:* ["LanguageCode"](#) on page 2606

Constraints

- **ValidationByTable**

Must be a valid terrestrial language.

66.1.24.3.13 IncomePreference <IncMPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference2Code" on page 2586

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
SECU	Securities	Distribution in securities.

66.1.24.3.14 ReinvestmentDetails <RinvstmtDtls>

Presence: [0..*]

Definition: Specifies, for income on the fund or security that is to be reinvested, parameters for the reinvestment. If the reinvestment percentage is less than one hundred percent, the remaining percentage will be invested according to the investor's or account owner's subsequent instructions.

ReinvestmentDetails <RinvstmtDtls> contains the following elements (see "Reinvestment4" on page 1626 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C20	1627
	RequestedNAVCurrency <ReqdNAVCCy>	[0..1]	CodeSet	C2	1628
	ReinvestmentPercentage <RinvstmtPctg>	[1..1]	Rate		1628

66.1.24.3.15 TaxWithholdingMethod <TaxWhldgMtd>

Presence: [0..1]

Definition: Method by which the tax (withholding tax) is to be processed, that is, either withheld at source or tax information is reported to tax authorities or tax information is reported due to the provision of a tax certificate.

Datatype: "TaxWithholdingMethod3Code" on page 2655

CodeName	Name	Definition
MITX	MinorityInterestTax	Minority interest tax is withheld.
INVE	InvestmentCompany	Withholding tax is applied to an investment company.
ACCT	Accountant	Dividend tax is exempt according to the accountant.
EXMT	Exempt	Dividend tax is exempt.

CodeName	Name	Definition
REPT	ReportTax	Tax information is reported to the tax authorities and therefore not withheld at source.
CRTF	TaxCertificate	Tax certificate is provided by the beneficiary from its tax authority in its country of residence. Therefore, the obligation of withholding tax is waived and tax information will be reported to the tax authorities.
WHCO	WithholdingCorporateTax	Withholding tax is applied to a corporate.
WTHD	WithholdTax	Tax is withheld at source.
WTRE	WithholdTaxAndReport	Tax is withheld at source and the tax information is also reported to the tax authorities.

66.1.24.3.16 TaxReporting <TaxRptg>

Presence: [0..*]

Definition: Details for the reporting of tax, for example, the country of taxation.

TaxReporting <TaxRptg> contains the following elements (see "TaxReporting3" on page 2424 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxationCountry <TaxtnCtry>	[1..1]	CodeSet	C11	2425
	TaxRate <TaxRate>	[0..1]	Rate		2426
	TaxPayer <TaxPyer>	[0..1]	±		2426
	TaxRecipient <TaxRcpt>	[0..1]	±		2426
	CashAccountDetails <CshAcctDtls>	[0..1]			2426
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	2427
	Identification <Id>	[1..1]	±		2427
	AccountOwner <AcctOwnr>	[0..1]	±		2428
	AccountServicer <AcctSvcr>	[0..1]	±		2428
	AccountServicerBranch <AcctSvcrBrnch>	[0..1]	±		2428
	AccountOwnerOtherIdentification <AcctOwnrOthrId>	[0..*]	±		2429
	InvestmentAccountType <InvstmtAcctTp>	[0..1]	±		2429
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2429
	SettlementInstructionReason <SttlmInstrRsn>	[0..1]			2430
{Or	Code <Cd>	[1..1]	CodeSet		2430
Or}	Proprietary <Prtry>	[1..1]	±		2431
	CashAccountPurpose <CshAcctPurp>	[0..1]			2431
{Or	Code <Cd>	[1..1]	CodeSet		2431
Or}	Proprietary <Prtry>	[1..1]	±		2431
	CashAccountDesignation <CshAcctDsgnt>	[0..1]			2432
{Or	Code <Cd>	[1..1]	CodeSet		2432
Or}	Proprietary <Prtry>	[1..1]	±		2432
	DividendPercentage <DvddPctg>	[0..1]	Rate		2432
	Description <Desc>	[0..1]	Text		2433

66.1.24.3.17 LetterIntentDetails <LtrInttDtls>

Presence: [0..1]

Definition: Details of the letter of intent.

LetterIntentDetails <LtrInttDtls> contains the following elements (see "LetterIntent1" on page 1863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LetterIntentReference <LtrInttRef>	[1..1]	Text		1864
	Amount <Amt>	[0..1]	Amount	C2	1864
	StartDate <StartDt>	[0..1]	Date		1864
	EndDate <EndDt>	[0..1]	Date		1864

66.1.24.3.18 AccumulationRightReference <AcmltnRghtRef>

Presence: [0..1]

Definition: Reference of an accumulation rights program, in which sales commissions are based on a customer's present purchases of shares and the aggregate quantity previously purchased by the customer. An accumulation rights program is mainly used in the US market.

Datatype: "Max35Text" on page 2683

66.1.24.3.19 RequiredSignatoriesNumber <ReqrdSgntriesNb>

Presence: [0..1]

Definition: Number of account owners or related parties required to authorise transactions on the account.

Datatype: "Number" on page 2680

66.1.24.3.20 FundFamilyName <FndFmlyNm>

Presence: [0..1]

Definition: Name of the investment fund family.

Datatype: "Max350Text" on page 2683

66.1.24.3.21 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [0..*]

Definition: Detailed information about the investment fund or security associated to the account.

Impacted by: C20 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument87" on page 1422 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1423
	Name <Nm>	[0..1]	Text		1424
	ShortName <ShrtNm>	[0..1]	Text		1424
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1424
	ClassType <ClsTp>	[0..1]	Text		1425
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1425
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1425
	ProductGroup <PdctGrp>	[0..1]	Text		1425
	BlockedHoldingDetails <BlckdHldgDtls>	[0..1]		C18, C26, C27, C28	1425
	BlockedHolding <BlckdHldg>	[1..1]	CodeSet		1426
	PartialHoldingUnits <PrtlHldgUnits>	[0..1]	Quantity		1426
	HoldingCertificateNumber <HldgCertNb>	[0..1]	Text		1427
	Pledging <Pldgg>	[0..1]	CodeSet		1427
	Collateral <Coll>	[0..1]	CodeSet		1427
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		1427
	FundOwnership <FndOwnrsh>	[0..1]	CodeSet		1428
	FundIntention <FndIntntn>	[0..1]	CodeSet		1428
	OperationalStatus <OprlSts>	[0..1]	CodeSet		1428

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

66.1.24.3.22 RoundingDetails <RndgDtls>

Presence: [0..1]

Definition: Parameters to be applied on deal amount for orders when the amount is a fractional number.

RoundingDetails <RndgDtls> contains the following elements (see ["RoundingParameters1"](#) on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RoundingModulus <RndgMdlus>	[0..1]	Quantity		1231
	RoundingDirection <RndgDrctn>	[1..1]	CodeSet		1231

66.1.24.3.23 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see ["PartyIdentification125Choice"](#) on page 2020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2020
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2020
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2020

66.1.24.3.24 AccountUsageType <AcctUsgTp>

Presence: [0..1]

Definition: Specifies the type of usage of the account.

AccountUsageType <AcctUsgTp> contains one of the following elements (see ["AccountUsageType2Choice"](#) on page 1631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1631
Or}	Proprietary <Prtry>	[1..1]	±		1632

66.1.24.3.25 ForeignStatusCertification <FrgnStsCertfctn>

Presence: [0..1]

Definition: Specifies if documentary evidence has been provided for the foreign resident.

Datatype: ["Provided1Code"](#) on page 2623

CodeName	Name	Definition
NPRO	NotProvided	Not provided.
PROV	Provided	Provided.

66.1.24.3.26 AccountSignatureDateTime <AcctSgntrDtTm>

Presence: [0..1]

Definition: Date the investor or account owner signs the open account form.

AccountSignatureDate <AcctSgntrDtTm> contains one of the following elements (see "DateAndDateTime1Choice" on page 1376 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1377
Or}	DateTime <DtTm>	[1..1]	DateTime		1377

66.1.24.3.27 TransactionChannelType <TxChanITp>

Presence: [0..1]

Definition: Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanITp> contains one of the following elements (see "TransactionChannelType1Choice" on page 1864 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1864
Or}	Proprietary <Prtry>	[1..1]	±		1865

66.1.24.3.28 InvestmentAccountCategory <InvstmtAcctCtgy>

Presence: [0..1]

Definition: Specifies the category of the account.

InvestmentAccountCategory <InvstmtAcctCtgy> contains one of the following elements (see "InvestmentAccountCategory1Choice" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1637
Or}	Proprietary <Prtry>	[1..1]	±		1637

66.1.24.3.29 Pledging <Pldgg>

Presence: [0..1]

Definition: Specifies whether the holdings in the account are eligible for pledging.

Datatype: "Eligible1Code" on page 2572

CodeName	Name	Definition
ELIG	Eligible	Item is eligible.
NELI	NotEligible	Item is not eligible.

66.1.24.3.30 Collateral <Coll>

Presence: [0..1]

Definition: Specifies whether the holdings in the account are used as collateral.

Datatype: "Collateral1Code" on page 2562

CodeName	Name	Definition
COLL	Collateral	Item is used as collateral.
NCOL	NotCollateral	Item is not used as collateral.

66.1.24.3.31 ThirdPartyRights <ThrdPtyRghts>

Presence: [0..1]

Definition: Details of third party rights.

ThirdPartyRights <ThrdPtyRghts> contains the following elements (see ["ThirdPartyRights2"](#) on page 1628 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	Text		1628
	DateTime <DtTm>	[0..1]	DateTime		1629
	Holder <Hldr>	[0..1]	±		1629
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1629
	Amount <Amt>	[0..1]	Amount	C2, C10	1629
	Description <Desc>	[0..1]	Text		1629

66.1.24.3.32 PowerOfAttorneyLevelOfControl <PwrOfAttnyLvIOfCtrl>

Presence: [0..1]

Definition: Functionality permitted to a third party in the actions that may be taken on an account on behalf of the investor.

PowerOfAttorneyLevelOfControl <PwrOfAttnyLvIOfCtrl> contains one of the following elements (see ["LevelOfControl1Choice"](#) on page 1818 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1818
Or}	Proprietary <Prtry>	[1..1]	±		1818

66.1.24.3.33 AccountingStatus <AcctgSts>

Presence: [0..1]

Definition: Specifies if the account is regarded as domestic or non-domestic for reporting purposes.

AccountingStatus <AcctgSts> contains one of the following elements (see ["AccountingStatus1Choice"](#) on page 2326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2326
Or}	Proprietary <Prtry>	[1..1]	±		2326

66.1.24.3.34 OpeningDate <OpngDt>*Presence:* [0..1]*Definition:* Legal opening date for the account.**OpeningDate <OpngDt>** contains one of the following elements (see ["DateAndDateTime1Choice"](#) on page 1376 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1377
Or}	DateTime <DtTm>	[1..1]	DateTime		1377

66.1.24.3.35 ClosingDate <ClsgDt>*Presence:* [0..1]*Definition:* Legal closing date for the account.**ClosingDate <ClsgDt>** contains one of the following elements (see ["DateAndDateTime1Choice"](#) on page 1376 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1377
Or}	DateTime <DtTm>	[1..1]	DateTime		1377

66.1.24.3.36 NegativeIndicator <NegInd>*Presence:* [0..1]*Definition:* Indicates whether the account can hold a negative position in a security.*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.24.3.37 ProcessingOrder <PrcgOrdr>*Presence:* [0..1]*Definition:* Order in which securities are moved from the account.*Datatype:* ["PositionEffect3Code"](#) on page 2620

CodeName	Name	Definition
FIFO	Fifo	First in, first out. Results in a position obtained after having sold in priority the securities bought chronologically.
LIFO	Lifo	Last in, last out. Results in a position obtained after having sold in priority the securities bought chronologically.

66.1.24.3.38 Liability <Lbly>*Presence:* [0..1]

Definition: Specifies whether the investor assumes responsibility for the liability.

Liability <Lbly> contains one of the following elements (see "Liability1Choice" on page 1817 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1817
Or}	Proprietary <Prtry>	[1..1]	±		1818

66.1.24.3.39 InvestorProfile <InvstrPrfl>

Presence: [0..*]

Definition: Information used to determine fees and types of operations that can be carried out on the account.

InvestorProfile <InvstrPrfl> contains the following elements (see "InvestorProfile2" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			1793
{Or	Code <Cd>	[1..1]	CodeSet		1794
Or}	Proprietary <Prtry>	[1..1]	±		1794
	Status <Sts>	[0..1]			1795
{Or	Code <Cd>	[1..1]	CodeSet		1795
Or}	Proprietary <Prtry>	[1..1]	±		1795
	Treasury <Trsr>	[0..1]			1796
	Date <Dt>	[1..1]	Date		1796
	TraderType <TradrTp>	[1..1]			1796
{Or	Code <Cd>	[1..1]	CodeSet		1796
Or}	Proprietary <Prtry>	[1..1]	±		1797
	Rate <Rate>	[1..1]	Rate		1797
	HighFrequencyTrading <HghFrqcyTradg>	[0..1]			1797
	Date <Dt>	[0..1]	Date		1797
	SettlementFrequency <SttlmFrqcy>	[0..1]			1798
{Or	Code <Cd>	[1..1]	CodeSet		1798
Or}	Proprietary <Prtry>	[1..1]	±		1798
	ConsolidationType <CnsltdtnTp>	[0..1]			1798
{Or	Code <Cd>	[1..1]	CodeSet		1799
Or}	Proprietary <Prtry>	[1..1]	±		1799
	MarketMaker <MktMakr>	[0..1]			1799
	ContractPeriod <CtrctPrd>	[0..1]			1799
	FromDateTime <FrDtTm>	[1..1]	DateTime		1800
	ToDateTime <ToDtTm>	[0..1]	DateTime		1800
	Compliance <Cmplc>	[0..1]	Indicator		1800
	MaximumSpread <MaxSprd>	[0..1]	Rate		1800
	Discount <Dscnt>	[0..1]	Rate		1800

66.1.24.3.40 FiscalYear <FscIYr>

Presence: [0..1]

Definition: Fiscal year, when not the same as the calendar year.

FiscalYear <FscIYr> contains one of the following elements (see "FiscalYear1Choice" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StartDate <StartDt>	[1..1]	Date		1378
Or}	EndDate <EndDt>	[1..1]	Date		1378

66.1.24.4 InvestmentAccount73

Definition: Information about a securities account and its characteristics.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1538
	Name <Nm>	[0..1]	Text		1538
	Designation <Dsgnt>	[0..1]	Text		1538
	Type <Tp>	[0..1]	±		1539
	OwnershipType <OwnrshTp>	[1..1]	±		1539
	TaxExemption <TaxXmptn>	[0..1]	±		1539
	StatementFrequency <StmtFrqcy>	[0..1]	±		1539
	ReferenceCurrency <RefCcy>	[0..1]	CodeSet	C2	1540
	Language <Lang>	[0..1]	CodeSet	C34	1540
	IncomePreference <IncmPref>	[0..1]	CodeSet		1540
	ReinvestmentDetails <RinvstmtDtls>	[0..*]	±		1540
	TaxWithholdingMethod <TaxWhldgMtd>	[0..1]	CodeSet		1541
	TaxReporting <TaxRptg>	[0..*]	±		1541
	LetterIntentDetails <LtrInttDtls>	[0..1]	±		1542
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		1543
	RequiredSignatoriesNumber <ReqrdSgntriesNb>	[0..1]	Quantity		1543
	FundFamilyName <FndFmlyNm>	[0..1]	Text		1543
	FinancialInstrumentDetails <FinInstrmDtls>	[0..*]	±	C20	1543
	RoundingDetails <RndgDtls>	[0..1]	±		1544
	AccountServicer <AcctSvcr>	[0..1]	±		1545
	BlockedStatus <BlckdSts>	[0..1]	±		1545
	AccountUsageType <AcctUsgTp>	[0..1]	±		1546
	ForeignStatusCertification <FrgnStsCertfctn>	[0..1]	CodeSet		1546
	AccountSignatureDateTime <AcctSgntrDtTm>	[0..1]	±		1546
	TransactionChannelType <TxChanITp>	[0..1]	±		1546
	InvestmentAccountCategory <InvstmtAcctCtgy>	[0..1]	±		1546
	Pledging <Pldgg>	[0..1]	CodeSet		1547
	Collateral <Coll>	[0..1]	CodeSet		1547
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		1547
	PowerOfAttorneyLevelOfControl <PwrOfAttnyLvIOfCtrl>	[0..1]	±		1548
	AccountingStatus <AcctgSts>	[0..1]	±		1548

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OpeningDate <OpngDt>	[0..1]	±		1548
	ClosingDate <ClsgDt>	[0..1]	±		1548
	NegativeIndicator <NegInd>	[0..1]	Indicator		1549
	ProcessingOrder <PrcgOrdr>	[0..1]	CodeSet		1549
	Liability <Lblty>	[0..1]	±		1549
	InvestorProfile <InvstrPrfl>	[0..*]	±		1549
	FiscalYear <FscYr>	[0..1]	±		1550

Constraints

- **FinancialInstrumentDetailsGuideline**

FinancialInstrumentDetails may be present if a single investment fund is associated to the investment account. If several investment funds are associated to the investment account, the FundFamilyName only should be specified.

- **IncomePreferenceReinvestment1Rule**

If IncomePreference is CASH (Cash), then ReinvestmentDetails is not allowed.

```
On Condition
  /IncomePreference is equal to value 'Cash'
Following Must be True
  /ReinvestmentDetails[*] Must be absent
```

- **ReinvestmentPercentage1Rule**

Sum of all occurrences of ReinvestmentDetails/ReinvestmentPercentage must not be greater than 100.

66.1.24.4.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2683

66.1.24.4.2 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

66.1.24.4.3 Designation <Dsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are

registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: "Max35Text" on page 2683

66.1.24.4.4 Type <Tp>

Presence: [0..1]

Definition: Type of account.

Type <Tp> contains one of the following elements (see "[AccountType2Choice](#)" on page 1215 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1215
Or}	Proprietary <Prtry>	[1..1]	±		1215

66.1.24.4.5 OwnershipType <OwnrshTp>

Presence: [1..1]

Definition: Ownership status of the account, for example, joint owners.

OwnershipType <OwnrshTp> contains one of the following elements (see "[OwnershipType2Choice](#)" on page 1632 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1632
Or}	Proprietary <Prtry>	[1..1]	±		1633

66.1.24.4.6 TaxExemption <TaxXmptn>

Presence: [0..1]

Definition: Tax advantage specific to the account.

TaxExemption <TaxXmptn> contains one of the following elements (see "[TaxExemptionReason2Choice](#)" on page 2409 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2409
Or}	Proprietary <Prtry>	[1..1]	±		2413

66.1.24.4.7 StatementFrequency <StmtFrqcy>

Presence: [0..1]

Definition: Frequency at which a statement is issued.

StatementFrequency <StmntFrqcy> contains one of the following elements (see "StatementFrequencyReason2Choice" on page 1490 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1490
Or}	Proprietary <Prtry>	[1..1]	±		1491

66.1.24.4.8 ReferenceCurrency <RefCcy>

Presence: [0..1]

Definition: Currency chosen for reporting purposes by the account owner in agreement with the account servicer.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.24.4.9 Language <Lang>

Presence: [0..1]

Definition: Language for all communication concerning the account.

Impacted by: C34 "ValidationByTable"

Datatype: "LanguageCode" on page 2606

Constraints

- **ValidationByTable**

Must be a valid terrestrial language.

66.1.24.4.10 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference2Code" on page 2586

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
SECU	Securities	Distribution in securities.

66.1.24.4.11 ReinvestmentDetails <RinvstmtDtls>

Presence: [0..*]

Definition: Specifies, for income on the fund or security that is to be reinvested, parameters for the reinvestment. If the reinvestment percentage is less than one hundred percent, the remaining percentage will be invested according to the investor's or account owner's subsequent instructions.

ReinvestmentDetails <RinvstmtDtls> contains the following elements (see "Reinvestment4" on page 1626 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C20	1627
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C2	1628
	ReinvestmentPercentage <RinvstmtPctg>	[1..1]	Rate		1628

66.1.24.4.12 TaxWithholdingMethod <TaxWhldgMtd>

Presence: [0..1]

Definition: Method by which the tax (withholding tax) is to be processed, that is, either withheld at source or tax information is reported to tax authorities or tax information is reported due to the provision of a tax certificate.

Datatype: "TaxWithholdingMethod3Code" on page 2655

CodeName	Name	Definition
MITX	MinorityInterestTax	Minority interest tax is withheld.
INVE	InvestmentCompany	Withholding tax is applied to an investment company.
ACCT	Accountant	Dividend tax is exempt according to the accountant.
EXMT	Exempt	Dividend tax is exempt.
REPT	ReportTax	Tax information is reported to the tax authorities and therefore not withheld at source.
CRTF	TaxCertificate	Tax certificate is provided by the beneficiary from its tax authority in its country of residence. Therefore, the obligation of withholding tax is waived and tax information will be reported to the tax authorities.
WHCO	WithholdingCorporateTax	Withholding tax is applied to a corporate.
WTHD	WithholdTax	Tax is withheld at source.
WTRE	WithholdTaxAndReport	Tax is withheld at source and the tax information is also reported to the tax authorities.

66.1.24.4.13 TaxReporting <TaxRptg>

Presence: [0..*]

Definition: Details for the reporting of tax, for example, the country of taxation.

TaxReporting <TaxRptg> contains the following elements (see "TaxReporting3" on page 2424 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxationCountry <TaxtnCtry>	[1..1]	CodeSet	C11	2425
	TaxRate <TaxRate>	[0..1]	Rate		2426
	TaxPayer <TaxPyer>	[0..1]	±		2426
	TaxRecipient <TaxRcpt>	[0..1]	±		2426
	CashAccountDetails <CshAcctDtls>	[0..1]			2426
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	2427
	Identification <Id>	[1..1]	±		2427
	AccountOwner <AcctOwnr>	[0..1]	±		2428
	AccountServicer <AcctSvcr>	[0..1]	±		2428
	AccountServicerBranch <AcctSvcrBrnch>	[0..1]	±		2428
	AccountOwnerOtherIdentification <AcctOwnrOthrId>	[0..*]	±		2429
	InvestmentAccountType <InvstmtAcctTp>	[0..1]	±		2429
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2429
	SettlementInstructionReason <SttlmInstrRsn>	[0..1]			2430
{Or	Code <Cd>	[1..1]	CodeSet		2430
Or}	Proprietary <Prtry>	[1..1]	±		2431
	CashAccountPurpose <CshAcctPurp>	[0..1]			2431
{Or	Code <Cd>	[1..1]	CodeSet		2431
Or}	Proprietary <Prtry>	[1..1]	±		2431
	CashAccountDesignation <CshAcctDsgnt>	[0..1]			2432
{Or	Code <Cd>	[1..1]	CodeSet		2432
Or}	Proprietary <Prtry>	[1..1]	±		2432
	DividendPercentage <DvddPctg>	[0..1]	Rate		2432
	Description <Desc>	[0..1]	Text		2433

66.1.24.4.14 LetterIntentDetails <LtrInttDtls>

Presence: [0..1]

Definition: Details of the letter of intent.

LetterIntentDetails <LtrInttDtls> contains the following elements (see "LetterIntent1" on page 1863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LetterIntentReference <LtrInttRef>	[1..1]	Text		1864
	Amount <Amt>	[0..1]	Amount	C2	1864
	StartDate <StartDt>	[0..1]	Date		1864
	EndDate <EndDt>	[0..1]	Date		1864

66.1.24.4.15 AccumulationRightReference <AcmltnRghtRef>

Presence: [0..1]

Definition: Reference of an accumulation rights program, in which sales commissions are based on a customer's present purchases of shares and the aggregate quantity previously purchased by the customer. An accumulation rights program is mainly used in the US market.

Datatype: "Max35Text" on page 2683

66.1.24.4.16 RequiredSignatoriesNumber <ReqrdSgntriesNb>

Presence: [0..1]

Definition: Number of account owners or related parties required to authorise transactions on the account.

Datatype: "Number" on page 2680

66.1.24.4.17 FundFamilyName <FndFmlyNm>

Presence: [0..1]

Definition: Name of the investment fund family.

Datatype: "Max350Text" on page 2683

66.1.24.4.18 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [0..*]

Definition: Detailed information about the investment fund or security associated to the account.

Impacted by: C20 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument87" on page 1422 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1423
	Name <Nm>	[0..1]	Text		1424
	ShortName <ShrtNm>	[0..1]	Text		1424
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1424
	ClassType <ClsTp>	[0..1]	Text		1425
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1425
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1425
	ProductGroup <PdctGrp>	[0..1]	Text		1425
	BlockedHoldingDetails <BlckdHldgDtls>	[0..1]		C18, C26, C27, C28	1425
	BlockedHolding <BlckdHldg>	[1..1]	CodeSet		1426
	PartialHoldingUnits <PrtlHldgUnits>	[0..1]	Quantity		1426
	HoldingCertificateNumber <HldgCertNb>	[0..1]	Text		1427
	Pledging <Pldgg>	[0..1]	CodeSet		1427
	Collateral <Coll>	[0..1]	CodeSet		1427
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		1427
	FundOwnership <FndOwnrsh>	[0..1]	CodeSet		1428
	FundIntention <FndIntntn>	[0..1]	CodeSet		1428
	OperationalStatus <OprlSts>	[0..1]	CodeSet		1428

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

66.1.24.4.19 RoundingDetails <RndgDtls>

Presence: [0..1]

Definition: Parameters to be applied on deal amount for orders when the amount is a fractional number.

RoundingDetails <RndgDtls> contains the following elements (see "RoundingParameters1" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RoundingModulus <RndgMdlus>	[0..1]	Quantity		1231
	RoundingDirection <RndgDrctn>	[1..1]	CodeSet		1231

66.1.24.4.20 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see "PartyIdentification125Choice" on page 2020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2020
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2020
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2020

66.1.24.4.21 BlockedStatus <BlckdSts>

Presence: [0..1]

Definition: Specifies the account is blocked and other factors for the blocked account.

BlockedStatus <BlckdSts> contains one of the following elements (see "BlockedStatusReason2Choice" on page 2402 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2402
Or}	Reason <Rsn>	[1..*]			2403
	TransactionType <TxTp>	[1..1]			2403
{Or	Code <Cd>	[1..1]	CodeSet		2403
Or}	Proprietary <Prtry>	[1..1]	±		2405
	Blocked <Blckd>	[1..1]	Indicator		2405
	Reason <Rsn>	[0..*]			2405
{Or	Code <Cd>	[1..1]	CodeSet		2405
Or}	Proprietary <Prtry>	[1..1]	±		2406
	AdditionalInformation <AddtlInf>	[1..1]	Text		2406

66.1.24.4.22 AccountUsageType <AcctUsgTp>*Presence:* [0..1]*Definition:* Type of usage of the account.**AccountUsageType <AcctUsgTp>** contains one of the following elements (see "[AccountUsageType2Choice](#)" on page 1631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1631
Or}	Proprietary <Prtry>	[1..1]	±		1632

66.1.24.4.23 ForeignStatusCertification <FrngStsCertfctn>*Presence:* [0..1]*Definition:* Specifies if documentary evidence has been provided for the foreign resident.*Datatype:* "Provided1Code" on page 2623

CodeName	Name	Definition
NPRO	NotProvided	Not provided.
PROV	Provided	Provided.

66.1.24.4.24 AccountSignatureDateTime <AcctSgntrDtTm>*Presence:* [0..1]*Definition:* Date the investor or account owner signs the open account form.**AccountSignatureDateTime <AcctSgntrDtTm>** contains one of the following elements (see "[DateAndDateTime1Choice](#)" on page 1376 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1377
Or}	DateTime <DtTm>	[1..1]	DateTime		1377

66.1.24.4.25 TransactionChannelType <TxChanITp>*Presence:* [0..1]*Definition:* Means by which the investor or account owner submits the open account form.**TransactionChannelType <TxChanITp>** contains one of the following elements (see "[TransactionChannelType1Choice](#)" on page 1864 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1864
Or}	Proprietary <Prtry>	[1..1]	±		1865

66.1.24.4.26 InvestmentAccountCategory <InvstmtAcctCtgy>*Presence:* [0..1]

Definition: Category of the account.

InvestmentAccountCategory <InvstmtAcctCtgy> contains one of the following elements (see "[InvestmentAccountCategory1Choice](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1637
Or}	Proprietary <Prtry>	[1..1]	±		1637

66.1.24.4.27 Pledging <Pldgg>

Presence: [0..1]

Definition: Specifies whether the holdings in the account are eligible for pledging.

Datatype: "[Eligible1Code](#)" on page 2572

CodeName	Name	Definition
ELIG	Eligible	Item is eligible.
NELI	NotEligible	Item is not eligible.

66.1.24.4.28 Collateral <Coll>

Presence: [0..1]

Definition: Specifies whether the holdings in the account are used as collateral.

Datatype: "[Collateral1Code](#)" on page 2562

CodeName	Name	Definition
COLL	Collateral	Item is used as collateral.
NCOL	NotCollateral	Item is not used as collateral.

66.1.24.4.29 ThirdPartyRights <ThrdPtyRights>

Presence: [0..1]

Definition: Details of third party rights.

ThirdPartyRights <ThrdPtyRights> contains the following elements (see "[ThirdPartyRights2](#)" on page 1628 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	Text		1628
	DateTime <DtTm>	[0..1]	DateTime		1629
	Holder <Hldr>	[0..1]	±		1629
	LegalEntityIdentifier <LglnTtyldr>	[0..1]	IdentifierSet		1629
	Amount <Amt>	[0..1]	Amount	C2, C10	1629
	Description <Desc>	[0..1]	Text		1629

66.1.24.4.30 PowerOfAttorneyLevelOfControl <PwrOfAttnyLvOfCtrl>*Presence:* [0..1]*Definition:* Functionality permitted to a third party in the actions that may be taken on an account on behalf of the investor.**PowerOfAttorneyLevelOfControl <PwrOfAttnyLvOfCtrl>** contains one of the following elements (see "[LevelOfControl1Choice](#)" on page 1818 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1818
Or}	Proprietary <Prtry>	[1..1]	±		1818

66.1.24.4.31 AccountingStatus <AcctgSts>*Presence:* [0..1]*Definition:* Specifies if the account is regarded as domestic or non-domestic for reporting purposes.**AccountingStatus <AcctgSts>** contains one of the following elements (see "[AccountingStatus1Choice](#)" on page 2326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2326
Or}	Proprietary <Prtry>	[1..1]	±		2326

66.1.24.4.32 OpeningDate <OpngDt>*Presence:* [0..1]*Definition:* Legal opening date for the account.**OpeningDate <OpngDt>** contains one of the following elements (see "[DateAndDateTime1Choice](#)" on page 1376 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1377
Or}	DateTime <DtTm>	[1..1]	DateTime		1377

66.1.24.4.33 ClosingDate <ClsgDt>*Presence:* [0..1]*Definition:* Legal closing date for the account.**ClosingDate <ClsgDt>** contains one of the following elements (see "[DateAndDateTime1Choice](#)" on page 1376 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1377
Or}	DateTime <DtTm>	[1..1]	DateTime		1377

66.1.24.4.34 NegativeIndicator <NegInd>*Presence:* [0..1]*Definition:* Indicates whether the account can hold a negative position in a security.*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.24.4.35 ProcessingOrder <PrcgOrdr>*Presence:* [0..1]*Definition:* Order in which securities are moved from the account.*Datatype:* ["PositionEffect3Code"](#) on page 2620

CodeName	Name	Definition
FIFO	Fifo	First in, first out. Results in a position obtained after having sold in priority the securities bought chronologically.
LIFO	Lifo	Last in, last out. Results in a position obtained after having sold in priority the securities bought chronologically.

66.1.24.4.36 Liability <Lbity>*Presence:* [0..1]*Definition:* Specifies whether the investor assumes responsibility for the liability.**Liability <Lbity>** contains one of the following elements (see ["Liability1Choice"](#) on page 1817 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1817
Or}	Proprietary <Prtry>	[1..1]	±		1818

66.1.24.4.37 InvestorProfile <InvstrPrfl>*Presence:* [0..*]*Definition:* Information used to determine fees and types of operations that can be carried out on the account.

InvestorProfile <InvstrPrfl> contains the following elements (see "InvestorProfile2" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			1793
{Or	Code <Cd>	[1..1]	CodeSet		1794
Or}	Proprietary <Prtry>	[1..1]	±		1794
	Status <Sts>	[0..1]			1795
{Or	Code <Cd>	[1..1]	CodeSet		1795
Or}	Proprietary <Prtry>	[1..1]	±		1795
	Treasury <Trsr>	[0..1]			1796
	Date <Dt>	[1..1]	Date		1796
	TraderType <TradrTp>	[1..1]			1796
{Or	Code <Cd>	[1..1]	CodeSet		1796
Or}	Proprietary <Prtry>	[1..1]	±		1797
	Rate <Rate>	[1..1]	Rate		1797
	HighFrequencyTrading <HghFrqcyTradg>	[0..1]			1797
	Date <Dt>	[0..1]	Date		1797
	SettlementFrequency <SttlmFrqcy>	[0..1]			1798
{Or	Code <Cd>	[1..1]	CodeSet		1798
Or}	Proprietary <Prtry>	[1..1]	±		1798
	ConsolidationType <CnsltdtnTp>	[0..1]			1798
{Or	Code <Cd>	[1..1]	CodeSet		1799
Or}	Proprietary <Prtry>	[1..1]	±		1799
	MarketMaker <MktMakr>	[0..1]			1799
	ContractPeriod <CtrctPrd>	[0..1]			1799
	FromDateTime <FrDtTm>	[1..1]	DateTime		1800
	ToDateTime <ToDtTm>	[0..1]	DateTime		1800
	Compliance <Cmplc>	[0..1]	Indicator		1800
	MaximumSpread <MaxSprd>	[0..1]	Rate		1800
	Discount <Dscnt>	[0..1]	Rate		1800

66.1.24.4.38 FiscalYear <FscIYr>

Presence: [0..1]

Definition: Fiscal year, when not the same as the calendar year.

FiscalYear <FscIYr> contains one of the following elements (see "FiscalYear1Choice" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StartDate <StartDt>	[1..1]	Date		1378
Or}	EndDate <EndDt>	[1..1]	Date		1378

66.1.24.5 Account31

Definition: Account between an investor(s) and a fund manager or a fund. The account can contain holdings in any investment fund or investment fund class managed (or distributed) by the fund manager, within the same fund family.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1551
	AccountName <AcctNm>	[0..1]	Text		1551
	Designation <Dsgnt>	[0..1]	Text		1551
	Servicer <Svcr>	[0..1]	±		1551
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1552

66.1.24.5.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2683

66.1.24.5.2 AccountName <AcctNm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

66.1.24.5.3 Designation <Dsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: "Max35Text" on page 2683

66.1.24.5.4 Servicer <Svcr>

Presence: [0..1]

Definition: Institution that maintains the records where the account is held.

Servicer <Svcr> contains the following elements (see "PartyIdentification139" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

66.1.24.5.5 SubAccountDetails <SubAcctDtls>

Presence: [0..1]

Definition: Sub-accounts that are grouped in a master or omnibus account.

SubAccountDetails <SubAcctDtls> contains the following elements (see "SubAccount5" on page 1225 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1225
	Name <Nm>	[0..1]	Text		1225
	Characteristic <Chrtc>	[0..1]	Text		1225

66.1.24.6 Account28

Definition: Account between an investor(s) and a fund manager or a fund. The account can contain holdings in any investment fund or investment fund class managed (or distributed) by the fund manager, within the same fund family.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[0..1]	±		1552
	AccountIdentification <Acctld>	[0..1]	Text		1553
	Designation <Dsgnt>	[0..1]	Text		1553
	AccountName <AcctNm>	[0..1]	Text		1553
	Servicer <Svcr>	[0..1]	±		1553
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1554

66.1.24.6.1 OwnerIdentification <Ownrld>

Presence: [0..1]

Definition: Party that legally owns the account.

OwnerIdentification <Ownrld> contains the following elements (see ["PartyIdentification132"](#) on page 2004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2004
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2004
	NameAndAddress <NmAndAdr>	[0..1]	±		2005
	ProprietaryIdentification <PrtryId>	[0..1]	±		2006
	LEI <LEI>	[0..1]	IdentifierSet		2006

66.1.24.6.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: ["Max35Text"](#) on page 2683

66.1.24.6.3 Designation <Dsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: ["Max35Text"](#) on page 2683

66.1.24.6.4 AccountName <AcctNm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: ["Max35Text"](#) on page 2683

66.1.24.6.5 Servicer <Svcr>

Presence: [0..1]

Definition: Institution that maintains the records where the account is held.

Servicer <Svcr> contains the following elements (see "PartyIdentification132" on page 2004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2004
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2004
	NameAndAddress <NmAndAdr>	[0..1]	±		2005
	ProprietaryIdentification <PrtryId>	[0..1]	±		2006
	LEI <LEI>	[0..1]	IdentifierSet		2006

66.1.24.6.6 SubAccountDetails <SubAcctDtls>

Presence: [0..1]

Definition: Sub-account of the master or omnibus account.

SubAccountDetails <SubAcctDtls> contains the following elements (see "SubAccount5" on page 1225 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1225
	Name <Nm>	[0..1]	Text		1225
	Characteristic <Chrtc>	[0..1]	Text		1225

66.1.24.7 InvestmentAccount71

Definition: Account between an investor(s) and a fund manager or a fund. The account can contain holdings in any investment fund or investment fund class managed (or distributed) by the fund manager, within the same fund family.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[0..*]	±		1555
	AccountIdentification <Acctld>	[1..1]	Text		1555
	AccountName <AcctNm>	[0..1]	Text		1555
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1556
	AccountServicer <AcctSvcr>	[0..1]	±		1556
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1556
	IntermediaryInformation <IntrmyInf>	[0..*]	±		1556
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1557
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		1557
	IncomePreference <IncmPref>	[0..1]	CodeSet		1557
	BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>	[0..1]	CodeSet		1558
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1558
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		1558

66.1.24.7.1 OwnerIdentification <Ownrld>

Presence: [0..*]

Definition: Party that legally owns the account.

OwnerIdentification <Ownrld> contains the following elements (see "[PartyIdentification139](#)" on [page 2021](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

66.1.24.7.2 AccountIdentification <Acctld>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "[Max35Text](#)" on [page 2683](#)

66.1.24.7.3 AccountName <AcctNm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "[Max35Text](#)" on [page 2683](#)

66.1.24.7.4 AccountDesignation <AcctDsgnt>*Presence:* [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: "Max35Text" on page 2683**66.1.24.7.5 AccountServicer <AcctSvcr>***Presence:* [0..1]

Definition: Institution that maintains the records where the account is held.

AccountServicer <AcctSvcr> contains the following elements (see "[PartyIdentification139](#)" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

66.1.24.7.6 SubAccountDetails <SubAcctDtls>*Presence:* [0..1]

Definition: Sub-account of the master or omnibus account.

SubAccountDetails <SubAcctDtls> contains the following elements (see "[SubAccount5](#)" on page 1225 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1225
	Name <Nm>	[0..1]	Text		1225
	Characteristic <Chrtc>	[0..1]	Text		1225

66.1.24.7.7 IntermediaryInformation <IntrmyInf>*Presence:* [0..*]

Definition: Party that provides services relating to financial products to investors, for example, advice on products and placement of orders for the investment fund.

IntermediaryInformation <IntrmyInf> contains the following elements (see "Intermediary43" on page 2012 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2012
	Account <Acct>	[0..1]			2013
	Identification <Id>	[0..1]	Text		2013
	AccountServicer <AcctSvcr>	[1..1]	±		2013
	Role <Role>	[0..1]	±		2013
	ContactPerson <CtctPrsn>	[0..1]	±		2014

66.1.24.7.8 SecuritiesForm <SctiesForm>

Presence: [0..1]

Definition: Form, that is, ownership, of the security, that is, registered or bearer.

Datatype: "FormOfSecurity1Code" on page 2580

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

66.1.24.7.9 DematerialisedIndicator <DmtrlSdInd>

Presence: [0..1]

Definition: Indicates whether a security exists only as an electronic record, that is, there is no physical document representing the security.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.24.7.10 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference2Code" on page 2586

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
SECU	Securities	Distribution in securities.

66.1.24.7.11 BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>*Presence:* [0..1]*Definition:* Beneficial owner or its designated agent certifies that it complies with any holding or investment restrictions or requirements of the fund.*Datatype:* "BeneficiaryCertificationCompletion1Code" on page 2554

CodeName	Name	Definition
NCER	CertificationNotRequired	Certification is not required for this fund.
ELEC	ElectronicCertification	Electronic certification is acceptable.
PHYS	PhysicalCertification	Electronic certification is not acceptable and is required in physical form.

66.1.24.7.12 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]*Definition:* Place requested as the place of safekeeping.**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 2267 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			2267
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2268
	Identification </Id>	[0..1]	Text		2268
Or	Country <Ctry>	[1..1]	CodeSet	C11	2268
Or	TypeAndIdentification <TpAndId>	[1..1]			2268
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2269
	Identification <Id>	[1..1]	IdentifierSet	C1	2269
Or}	Proprietary <Prtry>	[1..1]	±		2269

66.1.24.7.13 SettlementPartiesDetails <SttlmPtiesDtls>*Presence:* [0..1]*Definition:* Chain of parties involved in the settlement of the transaction.

SettlementPartiesDetails <SttlmPtiesDtls> contains the following elements (see "FundSettlementParameters16" on page 2485 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2485
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2486
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2486
	ReceivingSideDetails <RcvgSdDtls>	[0..1]	±	C7, C11, C19, C20, C21, C22, C26	2486

66.1.24.8 InvestmentAccount70

Definition: Account between an investor(s) and a fund manager or a fund. The account can contain holdings in any investment fund or investment fund class managed (or distributed) by the fund manager, within the same fund family.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <OwnrId>	[0..*]	±		1559
	AccountIdentification <AcctId>	[1..1]	Text		1560
	AccountName <AcctNm>	[0..1]	Text		1560
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1560
	AccountServicer <AcctSvcr>	[0..1]	±		1560
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1560
	IntermediaryInformation <IntrmyInf>	[0..*]	±		1561
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1561
	DematerialisedIndicator <DmtrlSdInd>	[0..1]	Indicator		1561
	IncomePreference <IncmPref>	[0..1]	CodeSet		1562
	BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>	[0..1]	CodeSet		1562
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1562
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		1563

66.1.24.8.1 OwnerIdentification <OwnrId>

Presence: [0..*]

Definition: Party that legally owns the account.

OwnerIdentification <Ownrld> contains the following elements (see "[PartyIdentification139](#)" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

66.1.24.8.2 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "[Max35Text](#)" on page 2683

66.1.24.8.3 AccountName <AcctNm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "[Max35Text](#)" on page 2683

66.1.24.8.4 AccountDesignation <AcctDsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: "[Max35Text](#)" on page 2683

66.1.24.8.5 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Institution that maintains the records where the account is held.

AccountServicer <AcctSvcr> contains the following elements (see "[PartyIdentification139](#)" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

66.1.24.8.6 SubAccountDetails <SubAcctDtls>

Presence: [0..1]

Definition: Sub-account of the master or omnibus account.

SubAccountDetails <SubAcctDtls> contains the following elements (see "[SubAccount5](#)" on page 1225 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1225
	Name <Nm>	[0..1]	Text		1225
	Characteristic <Chrtc>	[0..1]	Text		1225

66.1.24.8.7 IntermediaryInformation <IntrmyInf>

Presence: [0..*]

Definition: Identification of a related party or intermediary.

IntermediaryInformation <IntrmyInf> contains the following elements (see "[Intermediary43](#)" on page 2012 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2012
	Account <Acct>	[0..1]			2013
	Identification <Id>	[0..1]	Text		2013
	AccountServicer <AcctSvcr>	[1..1]	±		2013
	Role <Role>	[0..1]	±		2013
	ContactPerson <CtctPrsn>	[0..1]	±		2014

66.1.24.8.8 SecuritiesForm <SctiesForm>

Presence: [0..1]

Definition: Form, that is, ownership, of the security, that is, registered or bearer.

Datatype: "FormOfSecurity1Code" on page 2580

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

66.1.24.8.9 DematerialisedIndicator <DmtrlsdInd>

Presence: [0..1]

Definition: Indicates whether a security exists only as an electronic record, that is, there is no physical document representing the security.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.24.8.10 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference2Code" on page 2586

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
SECU	Securities	Distribution in securities.

66.1.24.8.11 BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>

Presence: [0..1]

Definition: Beneficial owner or its designated agent certifies that it complies with any holding or investment restrictions or requirements of the fund.

Datatype: "BeneficiaryCertificationCompletion1Code" on page 2554

CodeName	Name	Definition
NCER	CertificationNotRequired	Certification is not required for this fund.
ELEC	ElectronicCertification	Electronic certification is acceptable.
PHYS	PhysicalCertification	Electronic certification is not acceptable and is required in physical form.

66.1.24.8.12 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place requested as the place of safekeeping.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 2267 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			2267
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2268
	Identification <Id>	[0..1]	Text		2268
Or	Country <Ctry>	[1..1]	CodeSet	C11	2268
Or	TypeAndIdentification <TpAndId>	[1..1]			2268
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2269
	Identification <Id>	[1..1]	IdentifierSet	C1	2269
Or}	Proprietary <Prtry>	[1..1]	±		2269

66.1.24.8.13 SettlementPartiesDetails <SttlmPtiesDtls>

Presence: [0..1]

Definition: Chain of parties involved in the settlement of the transaction.

SettlementPartiesDetails <SttlmPtiesDtls> contains the following elements (see "FundSettlementParameters15" on page 2488 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2488
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2488
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2489
	DeliveringSideDetails <DlvrgSdDtls>	[0..1]	±	C7, C11, C19, C20, C21, C22, C26	2489

66.1.24.9 InvestmentAccountOwnershipInformation17

Definition: Characteristics of the ownership of an investment account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1565
{Or	Organisation <Org>	[1..1]	±	C20	1565
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1566
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1568
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1568
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1569
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1569
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1570
	ValidatingParty <VldtngPty>	[0..1]	Text		1570
	CheckingParty <ChckngPty>	[0..1]	Text		1570
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1571
	CertificateType <CertTp>	[0..1]	±		1571
	CheckingDate <ChckngDt>	[0..1]	Date		1571
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1571
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1572
	SalaryRange <SlryRg>	[0..1]	Text		1572
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1572
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1572
	RiskLevel <RskLvl>	[0..1]	±		1572
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1572
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1573
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1573
	ClientIdentification <Clntld>	[0..1]	Text		1573
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1574
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1574
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1574
	Notification <Ntfctn>	[0..*]	±		1574
	FATCAFormType <FATCAFormTp>	[0..*]	±		1574
	FATCAStatus <FATCASts>	[0..*]	±		1575
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1575
	CRSFormType <CRSFormTp>	[0..*]	±		1575

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSStatus <CRSSSts>	[0..*]	±		1575
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1576
	OtherIdentification <OthrId>	[0..*]	±		1576
	TaxExemption <TaxXmptn>	[0..1]	±		1577
	TaxReporting <TaxRptg>	[0..*]	±		1577
	Language <Lang>	[0..1]	CodeSet	C34	1578
	MailType <MailTp>	[0..1]	±		1579
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1579
	MonetaryWealth <MntryWith>	[0..1]	±		1579
	EquityValue <EqtyVal>	[0..1]	±		1579
	WorkingCapital <WorkgCptl>	[0..1]	±		1580
	CompanyLink <CpnyLk>	[0..1]	±		1580
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1580
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1580
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1581
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1581
	AccountingStatus <AcctgSts>	[0..1]	±		1582
	AdditionalInformation <AddtlInf>	[0..*]	±		1582
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1583

66.1.24.9.1 Party <Pty>

Presence: [1..1]

Definition: Information about the organisation or individual person.

Party <Pty> contains one of the following **Party48Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Organisation <Org>	[1..1]	±	C20	1565
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1566

66.1.24.9.1.1 Organisation <Org>

Presence: [1..1]

Definition: Organised structure that is set up for a particular purpose, for example, a business, government body, department, charity, or financial institution.

Impacted by: C20 "OrganisationElementRule"

Organisation <Org> contains the following elements (see "Organisation40" on page 1881 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1881
	ShortName <ShrtNm>	[0..1]	Text		1881
	Identification <Id>	[0..1]			1882
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1882
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1882
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1882
	Purpose <Purp>	[0..1]	Text		1883
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	1883
	RegistrationDate <RegnDt>	[0..1]	Date		1883
	ModifiedPostalAddress <ModfdPstlAdr>	[0..*]			1883
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1883
	PostalAddress <PstlAdr>	[1..1]	±	C23	1884
	TypeOfOrganisation <TpOfOrg>	[0..1]			1884
{Or	Code <Cd>	[1..1]	CodeSet		1885
Or}	Proprietary <Prtry>	[1..1]	±		1885
	PlaceOfListing <PlcOfListg>	[0..*]	IdentifierSet		1885

Constraints

- **OrganisationElementRule**

One of the elements (Name, ShortName, Identification, LegalEntityIdentifier, Purpose, RegistrationCountry, RegistrationDate, ModifiedPostalAddress, TypeOfOrganisation, PlaceOfListing) must be present.

66.1.24.9.1.2 IndividualPerson <IndvPrsn>

Presence: [1..1]

Definition: Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

IndividualPerson <IndvPrsn> contains the following elements (see "IndividualPerson38" on page 2159 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]			2161
{Or	Code <Cd>	[1..1]	CodeSet		2161
Or}	Proprietary <Prtry>	[1..1]	±		2162
	GivenName <GvnNm>	[0..1]	Text		2162
	MiddleName <MddlNm>	[0..1]	Text		2162
	Name <Nm>	[1..1]	Text		2162
	NameSuffix <NmSfx>	[0..1]	Text		2162
	Gender <Gndr>	[0..1]	CodeSet		2162
	BirthDate <BirthDt>	[0..1]	Date		2163
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C11	2163
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		2163
	CityOfBirth <CityOfBirth>	[0..1]	Text		2163
	Profession <Prfssn>	[0..1]	Text		2163
	ModifiedPostalAddress <ModfdPstlAdr>	[0..*]			2163
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		2164
	PostalAddress <PstlAdr>	[1..1]	±	C23	2164
	ModifiedCitizenship <ModfdCtzsh>	[0..3]			2165
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		2166
	Citizenship <Ctzsh>	[1..1]			2166
	Nationality <Ntlty>	[1..1]	CodeSet	C35	2166
	MinorIndicator <MnrInd>	[1..1]	Indicator		2166
	EmployingCompany <EmplngCpny>	[0..1]	Text		2167
	BusinessFunction <BizFctn>	[0..1]	Text		2167
	PoliticallyExposedPerson <PltclYXpsdPrsn>	[0..1]		C29	2167
	PoliticallyExposedPersonType <PltclYXpsdPrsnTp>	[1..1]			2167
{Or	Code <Cd>	[1..1]	CodeSet		2168
Or}	Proprietary <Prtry>	[1..1]	±		2168
	PoliticallyExposedPersonStatus <PltclYXpsdPrsnSts>	[0..1]			2168
{Or	Code <Cd>	[1..1]	CodeSet		2168
Or}	Proprietary <Prtry>	[1..1]	±		2169

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeathDate <DthDt>	[0..1]	Date		2169
	CivilStatus <Cv/Sts>	[0..1]			2170
{Or	Code <Cd>	[1..1]	CodeSet		2170
Or}	Proprietary <Prtry>	[1..1]	±		2170
	EducationLevel <EdctnLvl>	[0..1]	Text		2170
	FamilyInformation <FmlyInf>	[0..1]			2171
	NameOfFather <NmOfFthr>	[0..1]	Text		2171
	MaidenNameOfMother <MdnNmOfMthr>	[0..1]	Text		2171
	NameOfPartner <NmOfPrtnr>	[0..1]	Text		2171
	GDPRData <GDPRData>	[0..*]			2171
	ConsentType <CnsntTp>	[1..1]			2171
{Or	Code <Cd>	[1..1]	CodeSet		2172
Or}	Proprietary <Prtry>	[1..1]	±		2172
	ConsentIndicator <CnsntInd>	[1..1]	Indicator		2172
	ConsentDate <CnsntDt>	[1..1]	Date		2173

66.1.24.9.2 MoneyLaunderingCheck <MnyLndrgChck>

Presence: [0..1]

Definition: Status of an identity check to prevent money laundering. This includes the counter-terrorism check.

MoneyLaunderingCheck <MnyLndrgChck> contains one of the following elements (see "[MoneyLaunderingCheck1Choice](#)" on page 2042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2043
Or}	Proprietary <Prtry>	[1..1]	±		2043

66.1.24.9.3 ModifiedInvestorProfileValidation <ModfdInvstrPrfIVldtn>

Presence: [0..*]

Definition: Information related to the party profile to be inserted or deleted.

ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn> contains the following **ModificationScope27** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1569
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1569
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1570
	ValidatingParty <VldngPty>	[0..1]	Text		1570
	CheckingParty <ChckngPty>	[0..1]	Text		1570
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1571
	CertificateType <CertTp>	[0..1]	±		1571
	CheckingDate <ChckngDt>	[0..1]	Date		1571
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1571
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1572
	SalaryRange <SlryRg>	[0..1]	Text		1572
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1572
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1572
	RiskLevel <RskLv>	[0..1]	±		1572
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1572
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1573

66.1.24.9.3.1 ModificationScopeIndication <ModScplIndctn>

Presence: [1..1]

Definition: Specifies the type of modification to be applied on a set of information.

Datatype: "DataModification2Code" on page 2570

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
DELT	DeleteDataSet	Delete an existing set of data.

66.1.24.9.3.2 InvestorProfileValidation <InvstrPrflVldtn>

Presence: [1..1]

Definition: Detailed information about the party profile information.

InvestorProfileValidation <InvstrPrfIVldtn> contains the following **PartyProfileInformation5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1570
	ValidatingParty <VldtngPty>	[0..1]	Text		1570
	CheckingParty <ChckngPty>	[0..1]	Text		1570
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1571
	CertificateType <CertTp>	[0..1]	±		1571
	CheckingDate <ChckngDt>	[0..1]	Date		1571
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1571
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1572
	SalaryRange <SlryRg>	[0..1]	Text		1572
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1572
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1572
	RiskLevel <RskLv/>	[0..1]	±		1572
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1572
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1573

66.1.24.9.3.2.1 CertificationIndicator <CertfctnInd>

Presence: [0..1]

Definition: Indicates whether the certificate type has been obtained and verified.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.24.9.3.2.2 ValidatingParty <VldtngPty>

Presence: [0..1]

Definition: Identification of the person who validated the document.

Datatype: "Max140Text" on page 2682

66.1.24.9.3.2.3 CheckingParty <ChckngPty>

Presence: [0..1]

Definition: Identification of the person who checked the document.

Datatype: "Max140Text" on page 2682

66.1.24.9.3.2.4 ResponsibleParty <RspnsblPty>*Presence:* [0..1]*Definition:* Identification of the person who is responsible for the document.*Datatype:* "Max140Text" on page 2682**66.1.24.9.3.2.5 CertificateType <CertTp>***Presence:* [0..1]*Definition:* Type of certificate.**CertificateType <CertTp>** contains one of the following elements (see "CertificationType1Choice" on page 1995 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1995
Or}	Proprietary <Prtry>	[1..1]	±		1995

66.1.24.9.3.2.6 CheckingDate <ChckngDt>*Presence:* [0..1]*Definition:* Date at which the certification check has been performed.*Datatype:* "ISODate" on page 2668**66.1.24.9.3.2.7 CheckingFrequency <ChckngFrqcy>***Presence:* [0..1]*Definition:* Specifies how frequently the check is performed.*Datatype:* "EventFrequency1Code" on page 2575

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
MNTH	Monthly	Event takes place every month or once a month.
TWMN	TwiceAMonth	Event takes place two times a month.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.
INDA	IntraDay	Event takes place several times a day.
OVNG	Overnight	Event takes place overnight.

CodeName	Name	Definition
ONDE	OnDemand	Event takes place on demand.

66.1.24.9.3.2.8 NextRevisionDate <NxtRvsnDt>*Presence:* [0..1]*Definition:* Specifies the date at which the next certification check will be performed.*Datatype:* "ISODate" on page 2668**66.1.24.9.3.2.9 SalaryRange <SlryRg>***Presence:* [0..1]*Definition:* Limits between which a person's salary is estimated.*Datatype:* "Max35Text" on page 2683**66.1.24.9.3.2.10 SourceOfWealth <SrcOfWlth>***Presence:* [0..1]*Definition:* Indicates the main source of revenue.*Datatype:* "Max140Text" on page 2682**66.1.24.9.3.2.11 CustomerConductClassification <CstmrCndctClssfctn>***Presence:* [0..1]*Definition:* Specifies an assessment of the customer's behaviour at the time of the account opening application.**CustomerConductClassification <CstmrCndctClssfctn>** contains one of the following elements (see "CustomerConductClassification1Choice" on page 1630 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1631
Or}	Proprietary <Prtry>	[1..1]	±		1631

66.1.24.9.3.2.12 RiskLevel <RskLvl>*Presence:* [0..1]*Definition:* Specifies the customer's money laundering risk.**RiskLevel <RskLvl>** contains one of the following elements (see "RiskLevel2Choice" on page 1994 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1994
Or}	Proprietary <Prtry>	[1..1]	±		1994

66.1.24.9.3.2.13 KnowYourCustomerCheckType <KnowYourCstmrChckTp>*Presence:* [0..1]

Definition: Specifies the type of due diligence checks carried out on the investor or account owner. For definitions of ordinary, simple and enhanced know your customer checks, local market regulations should be consulted.

KnowYourCustomerCheckType <KnowYourCstmrChckTp> contains one of the following elements (see "KYCCheckType1Choice" on page 1993 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1993
Or}	Proprietary <Prtry>	[1..1]	±		1994

66.1.24.9.3.2.14 KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>

Presence: [0..1]

Definition: Specifies whether a customer has been checked in a Know Your Customer (KYC) database.

KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck> contains the following elements (see "DataBaseCheck1" on page 1993 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DatabaseCheck <DBChck>	[1..1]	Indicator		1993
	Identification <Id>	[1..1]	Text		1993

66.1.24.9.4 OwnershipBeneficiaryRate <OwnrshBnfcryRate>

Presence: [0..1]

Definition: Percentage of ownership or of beneficial ownership of the shares/units in the account. All subsequent subscriptions or purchases and or redemptions or sells will be allocated using the same percentage.

Impacted by: C25 "OwnershipBeneficiaryRateRule"

OwnershipBeneficiaryRate <OwnrshBnfcryRate> contains the following elements (see "OwnershipBeneficiaryRate1" on page 1992 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Rate <Rate>	[0..1]	Rate		1992
	Fraction <Frctn>	[0..1]	Text		1992

Constraints

- **OwnershipBeneficiaryRateRule**

Either Rate or Fraction must be present. Both may be present.

66.1.24.9.5 ClientIdentification <CIntld>

Presence: [0..1]

Definition: Unique identification, as assigned by an organisation, to unambiguously identify a party.

Datatype: "Max35Text" on page 2683

66.1.24.9.6 FiscalExemption <FscIXmptn>*Presence:* [0..1]*Definition:* Indicates whether an owner of the account may benefit from a fiscal exemption or amnesty, for example, when declaring overseas investments.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.24.9.7 SignatoryRightIndicator <SgntryRghtInd>*Presence:* [0..1]*Definition:* Indicates whether the signature of the account owner is required to authorise transactions on the account.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.24.9.8 MiFIDClassification <MiFIDClssfctn>*Presence:* [0..1]*Definition:* Details about the MiFID classification of the account owner.**MiFIDClassification <MiFIDClssfctn>** contains the following elements (see "MiFIDClassification1" on page 1863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Classification <Clssfctn>	[1..1]	CodeSet		1863
	Narrative <Nrtrv>	[0..1]	Text		1863

66.1.24.9.9 Notification <Ntfctn>*Presence:* [0..*]*Definition:* Type of information that must be provided to the account holder.**Notification <Ntfctn>** contains the following elements (see "Notification2" on page 1804 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NotificationType <NtfctnTp>	[1..1]	Text		1804
	Required <Reqrd>	[1..1]	Indicator		1804
	DistributionType <DstrbtnTp>	[0..1]	±		1804

66.1.24.9.10 FATCAFormType <FATCAFormTp>*Presence:* [0..*]*Definition:* Type of Foreign Account Tax Compliance Act (FATCA) form submitted by the investor or account owner.

FATCAFormType <FATCAFormTp> contains one of the following elements (see "FATCAForm1Choice" on page 1820 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1820
Or}	Proprietary <Prtry>	[1..1]	±		1821

66.1.24.9.11 FATCAStatus <FATCASts>

Presence: [0..*]

Definition: Foreign Account Tax Compliance Act (FATCA) status of the investor or account owner.

FATCAStatus <FATCASts> contains the following elements (see "FATCAStatus2" on page 1989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1989
{Or	Code <Cd>	[1..1]	CodeSet		1990
Or}	Proprietary <Prtry>	[1..1]	±		1990
	Source <Src>	[0..1]			1991
{Or	Code <Cd>	[1..1]	CodeSet		1991
Or}	Proprietary <Prtry>	[1..1]	±		1991

66.1.24.9.12 FATCAReportingDate <FATCARptgDt>

Presence: [0..1]

Definition: Date provided by the account owner to inform the account servicer of the date on which the holdings must be reported before the account is subsequently closed.

Datatype: "ISODate" on page 2668

66.1.24.9.13 CRSFormType <CRSFormTp>

Presence: [0..*]

Definition: Type of Common Reporting Standard (CRS) form submitted by the investor or account owner.

CRSFormType <CRSFormTp> contains one of the following elements (see "CRSForm1Choice" on page 1988 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1988
Or}	Proprietary <Prtry>	[1..1]	±		1989

66.1.24.9.14 CRSStatus <CRSSts>

Presence: [0..*]

Definition: Common Reporting Standard (CRS) status of the investor or account owner.

CRSStatus <CRSSts> contains the following elements (see "CRSStatus4" on page 1985 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1985
{Or	Code <Cd>	[1..1]	CodeSet		1986
Or}	Proprietary <Prtry>	[1..1]	±		1987
	Source <Src>	[0..1]			1987
{Or	Code <Cd>	[1..1]	CodeSet		1987
Or}	Proprietary <Prtry>	[1..1]	±		1987
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1988

66.1.24.9.15 CRSReportingDate <CRSRptgDt>

Presence: [0..1]

Definition: Date provided by the account owner to inform the account servicer of the date on which the holdings must be reported before the account is subsequently closed.

Datatype: "ISODate" on page 2668

66.1.24.9.16 OtherIdentification <OthrId>

Presence: [0..*]

Definition: Alternative identification, for example, national registration identification number, passport number, tax identification number. This may be an account number used to further identify the beneficial owner, for example, a Central Provident Fund (CPF) account as required for Singapore.

OtherIdentification <OthrId> contains the following elements (see "GenericIdentification82" on page 1500 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1500
	Type <Tp>	[1..1]			1501
{Or	Code <Cd>	[1..1]	CodeSet		1501
Or}	Proprietary <Prtry>	[1..1]	±		1502
	Issuer <Issr>	[0..1]	Text		1502
	IssueDate <IsseDt>	[0..1]	Date		1502
	ExpiryDate <XpryDt>	[0..1]	Date		1502
	State <Stat>	[0..1]	Text		1502
	IssuerCountry <IssrCtry>	[0..1]	CodeSet	C11	1502

66.1.24.9.17 TaxExemption <TaxXmptn>*Presence:* [0..1]*Definition:* Tax advantage specific to the account party.

TaxExemption <TaxXmptn> contains one of the following elements (see "[TaxExemptionReason2Choice](#)" on page 2409 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2409
Or}	Proprietary <Prtry>	[1..1]	±		2413

66.1.24.9.18 TaxReporting <TaxRptg>*Presence:* [0..*]*Definition:* Details for the reporting of tax, for example, the country of taxation.

TaxReporting <TaxRptg> contains the following elements (see "TaxReporting3" on page 2424 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxationCountry <TaxtnCtry>	[1..1]	CodeSet	C11	2425
	TaxRate <TaxRate>	[0..1]	Rate		2426
	TaxPayer <TaxPyer>	[0..1]	±		2426
	TaxRecipient <TaxRcpt>	[0..1]	±		2426
	CashAccountDetails <CshAcctDtls>	[0..1]			2426
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	2427
	Identification <Id>	[1..1]	±		2427
	AccountOwner <AcctOwnr>	[0..1]	±		2428
	AccountServicer <AcctSvcr>	[0..1]	±		2428
	AccountServicerBranch <AcctSvcrBrnch>	[0..1]	±		2428
	AccountOwnerOtherIdentification <AcctOwnrOthrld>	[0..*]	±		2429
	InvestmentAccountType <InvstmtAcctTp>	[0..1]	±		2429
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2429
	SettlementInstructionReason <SttlmInstrRsn>	[0..1]			2430
{Or	Code <Cd>	[1..1]	CodeSet		2430
Or}	Proprietary <Prtry>	[1..1]	±		2431
	CashAccountPurpose <CshAcctPurp>	[0..1]			2431
{Or	Code <Cd>	[1..1]	CodeSet		2431
Or}	Proprietary <Prtry>	[1..1]	±		2431
	CashAccountDesignation <CshAcctDsgnt>	[0..1]			2432
{Or	Code <Cd>	[1..1]	CodeSet		2432
Or}	Proprietary <Prtry>	[1..1]	±		2432
	DividendPercentage <DvddPctg>	[0..1]	Rate		2432
	Description <Desc>	[0..1]	Text		2433

66.1.24.9.19 Language <Lang>

Presence: [0..1]

Definition: Language in which the organisation or person communicates.

Impacted by: C34 "ValidationByTable"

Datatype: "LanguageCode" on page 2606

Constraints

- **ValidationByTable**

Must be a valid terrestrial language.

66.1.24.9.20 MailType <MailTp>

Presence: [0..1]

Definition: Method used for postal mailing.

MailType <MailTp> contains one of the following elements (see "[MailType1Choice](#)" on page 1984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1984
Or}	Proprietary <Prtry>	[1..1]	±		1985

66.1.24.9.21 CountryAndResidentialStatus <CtryAndResdtlSts>

Presence: [0..1]

Definition: Country and residential status of the organisation or individual person.

CountryAndResidentialStatus <CtryAndResdtlSts> contains the following elements (see "[CountryAndResidentialStatusType2](#)" on page 1984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1984
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1984

66.1.24.9.22 MonetaryWealth <MntryWith>

Presence: [0..1]

Definition: Annual wealth of the individual person or share capital value of the legal entity and date on which the annual wealth of the individual person was registered or declared or the date the stock value of the organisation was registered.

MonetaryWealth <MntryWith> contains the following elements (see "[DateAndAmount1](#)" on page 1232 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C2, C10	1232

66.1.24.9.23 EquityValue <EqtyVal>

Presence: [0..1]

Definition: Amount of total assets minus liabilities of the individual person or the amount of the difference between assets and liabilities plus rights over obligations (net equity) of the organisation and the date on which the equity value was registered.

EquityValue <EqtyVal> contains the following elements (see ["DateAndAmount1"](#) on page 1232 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C2, C10	1232

66.1.24.9.24 WorkingCapital <WorkgCptl>

Presence: [0..1]

Definition: Resource or value owned or used by a third-party company and the date on which the working capital amount was registered.

WorkingCapital <WorkgCptl> contains the following elements (see ["DateAndAmount1"](#) on page 1232 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C2, C10	1232

66.1.24.9.25 CompanyLink <CpnyLk>

Presence: [0..1]

Definition: Account owner's connection with the trading party or broker.

CompanyLink <CpnyLk> contains one of the following elements (see ["CompanyLink1Choice"](#) on page 1982 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1983
Or}	Proprietary <Prtry>	[1..1]	±		1983

66.1.24.9.26 ElectronicMailingServiceReference <ElctrncMlngSvcRef>

Presence: [0..1]

Definition: Reference to be specified when a letter, for example, an order confirmation, is sent by an automated mailing system.

Datatype: ["Max350Text"](#) on page 2683

66.1.24.9.27 PrimaryCommunicationAddress <PmryComAdr>

Presence: [0..*]

Definition: Communication device number or electronic address used for communication.

PrimaryCommunicationAddress <PmryComAdr> contains the following elements (see "CommunicationAddress6" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230
	Email <Email>	[0..1]	Text		1230
	Phone <Phne>	[0..1]	Text		1230
	Mobile <Mob>	[0..1]	Text		1230
	FaxNumber <FaxNb>	[0..1]	Text		1230
	TelexAddress <TlxAdr>	[0..1]	Text		1231
	URLAddress <URLAdr>	[0..1]	Text		1231

66.1.24.9.28 SecondaryCommunicationAddress <ScndryComAdr>

Presence: [0..*]

Definition: Communication device number or electronic address used for communication.

SecondaryCommunicationAddress <ScndryComAdr> contains the following elements (see "CommunicationAddress6" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230
	Email <Email>	[0..1]	Text		1230
	Phone <Phne>	[0..1]	Text		1230
	Mobile <Mob>	[0..1]	Text		1230
	FaxNumber <FaxNb>	[0..1]	Text		1230
	TelexAddress <TlxAdr>	[0..1]	Text		1231
	URLAddress <URLAdr>	[0..1]	Text		1231

66.1.24.9.29 AdditionalRegulatoryInformation <AddtlRgltryInf>

Presence: [0..1]

Definition: Additional regulatory information about the investor or account owner that is required in some markets to support anti-money laundering laws.

AdditionalRegulatoryInformation <AddtlRgltryInf> contains the following elements (see "RegulatoryInformation1" on page 1982 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Sector <Sctr>	[0..1]	Text		1982
	Branch <Brnch>	[0..1]	Text		1982
	Group <Grp>	[0..1]	Text		1982
	Other <Othr>	[0..1]	Text		1982

66.1.24.9.30 AccountingStatus <AcctgSts>

Presence: [0..1]

Definition: Specifies if the account party is regarded as domestic or non-domestic for reporting purposes.

AccountingStatus <AcctgSts> contains one of the following elements (see "AccountingStatus1Choice" on page 2326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2326
Or}	Proprietary <Prtry>	[1..1]	±		2326

66.1.24.9.31 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information such as remarks or notes that must be conveyed about the party and or limitations and restrictions.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation13](#)" on page 1805 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Limitation <Lmttn>	[0..1]	Text		1806
	AdditionalInformation <AddtlInf>	[0..1]	Text		1806
	AccountValidation <AcctVldtn>	[0..1]	Text		1806
	Type <Tp>	[0..1]	Text		1806
	Regulator <Rgltr>	[0..1]	±		1806
	Status <Sts>	[0..1]			1807
{Or	Code <Cd>	[1..1]	CodeSet		1807
Or}	Proprietary <Prtry>	[1..1]	±		1807
	Period <Prd>	[0..1]			1807
	FromDateTime <FrDtTm>	[1..1]	DateTime		1808
	ToDateTime <ToDtTm>	[0..1]	DateTime		1808

66.1.24.9.32 ControllingParty <CtrlgPty>

Presence: [0..1]

Definition: Party is the controlling person.

(For an Entity that is a legal person, the term "Controlling Persons" means the natural person(s) who exercises control over the Entity. "Control" over an Entity is generally exercised by the natural person(s) who ultimately has a controlling ownership interest in the Entity. A "control ownership interest" depends on the ownership structure of the legal person and is usually identified on the basis of a threshold applying a risk-based approach (e.g. any person(s) owning more than a certain percentage of the legal person, such as 25%). Where no natural person(s) exercises control through ownership interests, the Controlling Person(s) of the Entity will be the natural person(s) who exercises control of the Entity through other means. Where no natural person(s) is identified as exercising control of the Entity, the Controlling Person(s) of the Entity will be the natural person(s) who holds the position of senior managing official.).

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.24.10 InvestmentAccount75

Definition: Information about a securities account and its characteristics.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountStatusUpdateInstruction <AcctStsUpdInstr>	[0..1]	±		1585
	Name <Nm>	[0..1]	Text		1586
	Designation <Dsgnt>	[0..1]	Text		1586
	Type <Tp>	[0..1]	±		1586
	OwnershipType <OwnrshTp>	[0..1]	±		1587
	TaxExemption <TaxXmptn>	[0..1]	±		1587
	StatementFrequency <StmtFrqcy>	[0..1]	±		1587
	ReferenceCurrency <RefCcy>	[0..1]	CodeSet	C2	1587
	Language <Lang>	[0..1]	CodeSet	C34	1588
	IncomePreference <IncmPref>	[0..1]	CodeSet		1588
	ReinvestmentDetails <RinvstmtDtls>	[0..*]	±		1588
	TaxWithholdingMethod <TaxWhldgMtd>	[0..1]	CodeSet		1588
	TaxReporting <TaxRptg>	[0..*]	±		1589
	LetterIntentDetails <LtrInttDtls>	[0..1]	±		1590
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		1591
	RequiredSignatoriesNumber <ReqrdSgntriesNb>	[0..1]	Quantity		1591
	FundFamilyName <FndFmlyNm>	[0..1]	Text		1591
	ModifiedFinancialInstrumentDetails <ModfdFinInstrmDtls>	[0..*]			1591
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1591
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C20	1592
	RoundingDetails <RndgDtls>	[0..1]	±		1593
	AccountServicer <AcctSvcr>	[0..1]	±		1593
	BlockedStatus <BlckdSts>	[0..1]	±		1593
	AccountUsageType <AcctUsgTp>	[0..1]	±		1594
	ForeignStatusCertification <FrgnStsCertfctn>	[0..1]	CodeSet		1594
	AccountSignatureDateTime <AcctSgntrDtTm>	[0..1]	±		1594
	TransactionChannelType <TxChanITp>	[0..1]	±		1595
	InvestmentAccountCategory <InvstmtAcctCtgy>	[0..1]	±		1595
	Pledging <Pldgg>	[0..1]	CodeSet		1595
	Collateral <Coll>	[0..1]	CodeSet		1595
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		1596

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PowerOfAttorneyLevelOfControl <PwrOfAttnyLvOfCtrl>	[0..1]	±		1596
	AccountingStatus <AcctgSts>	[0..1]	±		1596
	OpeningDate <OpngDt>	[0..1]	±		1597
	ClosingDate <ClsgDt>	[0..1]	±		1597
	NegativeIndicator <Neglnd>	[0..1]	Indicator		1597
	ProcessingOrder <PrcgOrdr>	[0..1]	CodeSet		1597
	Liability <Lblty>	[0..1]	±		1597
	ModifiedInvestorProfile <ModfdInvstrPrfl>	[0..*]			1598
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1598
	InvestorProfile <InvstrPrfl>	[1..1]	±		1598
	FiscalYear <Fsclyr>	[0..1]	±		1599

Constraints

- **AccountElementRule**

One of the elements (AccountStatusUpdateInstruction, Name, Designation, Type, OwnershipType, TaxExemption, StatementFrequency, ReferenceCurrency, Language, IncomePreference, ReinvestmentDetails, TaxWithholdingMethod, TaxReporting, LetterIntentDetails, AccumulationRightReference, RequiredSignatoriesNumber, FundFamilyName, ModifiedFinancialInstrumentDetails, RoundingDetails, Account Servicer, BlockedStatus, AccountUsageType, ForeignStatusCertification, AccountSignatureDateTime, TransactionChannelType, InvestmentAccountCategory, Pledging, Collateral, ThirdPartyRights, PowerOfAttorneyLevelOfControl, AccountingStatus, OpeningDate, ClosingDate, NegativeIndicator, ProcessingOrder, Liability, ModifiedInvestorProfile, FiscalYear) must be present.

- **FinancialInstrumentDetailsGuideline**

ModifiedFinancialInstrumentDetails/FinancialInstrumentDetails may be present if a single investment fund is associated to the investment account. If several investment funds are associated to the investment account, the FundFamilyName only should be specified.

- **IncomePreferenceReinvestmentRule**

If IncomePreference is CASH (Cash), then ReinvestmentDetails is not allowed.

On Condition

/IncomePreference is equal to value 'Cash'

Following Must be True

/ReinvestmentDetails[*] Must be absent

- **ReinvestmentPercentageRule**

Sum of all occurrences of ReinvestmentDetails/ReinvestmentPercentage must not be greater than 100.

66.1.24.10.1 AccountStatusUpdateInstruction <AcctStsUpdInstr>

Presence: [0..1]

Definition: Change of account status is instructed.

AccountStatusUpdateInstruction <AcctStsUpdInstr> contains the following elements (see "AccountStatusUpdateInstruction1" on page 2381 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateInstruction <UpdInstr>	[1..1]			2381
{Or	Code <Cd>	[1..1]	CodeSet		2382
Or}	Proprietary <Prtry>	[1..1]	±		2382
	UpdateInstructionReason <UpdInstrRsn>	[0..1]			2382
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2382
Or}	Reason <Rsn>	[1..*]		C27	2383
	Code <Cd>	[0..1]			2383
{Or	Code <Cd>	[1..1]	CodeSet		2383
Or}	Proprietary <Prtry>	[1..1]	±		2383
	AdditionalInformation <AddtlInf>	[0..1]	Text		2384

66.1.24.10.2 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

66.1.24.10.3 Designation <Dsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: "Max35Text" on page 2683

66.1.24.10.4 Type <Tp>

Presence: [0..1]

Definition: Type of account.

Type <Tp> contains one of the following elements (see "AccountType2Choice" on page 1215 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1215
Or}	Proprietary <Prtry>	[1..1]	±		1215

66.1.24.10.5 OwnershipType <OwnrshTp>*Presence:* [0..1]*Definition:* Ownership status of the account, for example, joint owners.**OwnershipType <OwnrshTp>** contains one of the following elements (see "[OwnershipType2Choice](#)" on page 1632 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1632
Or}	Proprietary <Prtry>	[1..1]	±		1633

66.1.24.10.6 TaxExemption <TaxXmptn>*Presence:* [0..1]*Definition:* Tax advantage specific to the account.**TaxExemption <TaxXmptn>** contains one of the following elements (see "[TaxExemptionReason2Choice](#)" on page 2409 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2409
Or}	Proprietary <Prtry>	[1..1]	±		2413

66.1.24.10.7 StatementFrequency <StmtFrqcy>*Presence:* [0..1]*Definition:* Frequency at which a statement is issued.**StatementFrequency <StmtFrqcy>** contains one of the following elements (see "[StatementFrequencyReason2Choice](#)" on page 1490 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1490
Or}	Proprietary <Prtry>	[1..1]	±		1491

66.1.24.10.8 ReferenceCurrency <RefCcy>*Presence:* [0..1]*Definition:* Currency chosen for reporting purposes by the account owner in agreement with the account servicer.*Impacted by:* [C2 "ActiveCurrency"](#)*Datatype:* "[ActiveCurrencyCode](#)" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.24.10.9 Language <Lang>

Presence: [0..1]

Definition: Language for all communication concerning the account.

Impacted by: [C34 "ValidationByTable"](#)

Datatype: "LanguageCode" on page 2606

Constraints

- **ValidationByTable**

Must be a valid terrestrial language.

66.1.24.10.10 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference2Code" on page 2586

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
SECU	Securities	Distribution in securities.

66.1.24.10.11 ReinvestmentDetails <RinvstmtDtls>

Presence: [0..*]

Definition: Specifies, for income on the fund or security that is to be reinvested, parameters for the reinvestment. If the reinvestment percentage is less than one hundred percent, the remaining percentage will be invested according to the investor's or account owner's subsequent instructions.

ReinvestmentDetails <RinvstmtDtls> contains the following elements (see ["Reinvestment4"](#) on page 1626 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C20	1627
	RequestedNAVCurrency <ReqdNAVCCy>	[0..1]	CodeSet	C2	1628
	ReinvestmentPercentage <RinvstmtPctg>	[1..1]	Rate		1628

66.1.24.10.12 TaxWithholdingMethod <TaxWhldgMtd>

Presence: [0..1]

Definition: Method by which the tax (withholding tax) is to be processed, that is, either withheld at source or tax information is reported to tax authorities or tax information is reported due to the provision of a tax certificate.

Datatype: "TaxWithholdingMethod3Code" on page 2655

CodeName	Name	Definition
MITX	MinorityInterestTax	Minority interest tax is withheld.
INVE	InvestmentCompany	Withholding tax is applied to an investment company.
ACCT	Accountant	Dividend tax is exempt according to the accountant.
EXMT	Exempt	Dividend tax is exempt.
REPT	ReportTax	Tax information is reported to the tax authorities and therefore not withheld at source.
CRTF	TaxCertificate	Tax certificate is provided by the beneficiary from its tax authority in its country of residence. Therefore, the obligation of withholding tax is waived and tax information will be reported to the tax authorities.
WHCO	WithholdingCorporateTax	Withholding tax is applied to a corporate.
WTHD	WithholdTax	Tax is withheld at source.
WTRE	WithholdTaxAndReport	Tax is withheld at source and the tax information is also reported to the tax authorities.

66.1.24.10.13 TaxReporting <TaxRptg>

Presence: [0..*]

Definition: Details for the reporting of tax, for example, the country of taxation.

TaxReporting <TaxRptg> contains the following elements (see "TaxReporting3" on page 2424 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxationCountry <TaxtnCtry>	[1..1]	CodeSet	C11	2425
	TaxRate <TaxRate>	[0..1]	Rate		2426
	TaxPayer <TaxPyer>	[0..1]	±		2426
	TaxRecipient <TaxRcpt>	[0..1]	±		2426
	CashAccountDetails <CshAcctDtls>	[0..1]			2426
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	2427
	Identification <Id>	[1..1]	±		2427
	AccountOwner <AcctOwnr>	[0..1]	±		2428
	AccountServicer <AcctSvcr>	[0..1]	±		2428
	AccountServicerBranch <AcctSvcrBrnch>	[0..1]	±		2428
	AccountOwnerOtherIdentification <AcctOwnrOthrld>	[0..*]	±		2429
	InvestmentAccountType <InvstmtAcctTp>	[0..1]	±		2429
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2429
	SettlementInstructionReason <SttlmInstrRsn>	[0..1]			2430
{Or	Code <Cd>	[1..1]	CodeSet		2430
Or}	Proprietary <Prtry>	[1..1]	±		2431
	CashAccountPurpose <CshAcctPurp>	[0..1]			2431
{Or	Code <Cd>	[1..1]	CodeSet		2431
Or}	Proprietary <Prtry>	[1..1]	±		2431
	CashAccountDesignation <CshAcctDsgnt>	[0..1]			2432
{Or	Code <Cd>	[1..1]	CodeSet		2432
Or}	Proprietary <Prtry>	[1..1]	±		2432
	DividendPercentage <DvddPctg>	[0..1]	Rate		2432
	Description <Desc>	[0..1]	Text		2433

66.1.24.10.14 LetterIntentDetails <LtrInttDtls>

Presence: [0..1]

Definition: Details of the letter of intent.

LetterIntentDetails <LtrInttDtls> contains the following elements (see "LetterIntent1" on page 1863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LetterIntentReference <LtrInttRef>	[1..1]	Text		1864
	Amount <Amt>	[0..1]	Amount	C2	1864
	StartDate <StartDt>	[0..1]	Date		1864
	EndDate <EndDt>	[0..1]	Date		1864

66.1.24.10.15 AccumulationRightReference <AcmltnRghtRef>

Presence: [0..1]

Definition: Reference of an accumulation rights program, in which sales commissions are based on a customer's present purchases of shares and the aggregate quantity previously purchased by the customer. An accumulation rights program is mainly used in the US market.

Datatype: "Max35Text" on page 2683

66.1.24.10.16 RequiredSignatoriesNumber <ReqrSgntriesNb>

Presence: [0..1]

Definition: Number of account owners or related parties required to authorise transactions on the account.

Datatype: "Number" on page 2680

66.1.24.10.17 FundFamilyName <FndFmlyNm>

Presence: [0..1]

Definition: Name of the investment fund family.

Datatype: "Max350Text" on page 2683

66.1.24.10.18 ModifiedFinancialInstrumentDetails <ModfdFinInstrmDtls>

Presence: [0..*]

Definition: Detailed information about the financial instrument associated to the account that is to be modified or deleted.

ModifiedFinancialInstrumentDetails <ModfdFinInstrmDtls> contains the following **ModificationScope42** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1591
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C20	1592

66.1.24.10.18.1 ModificationScopeIndication <ModScplIndctn>

Presence: [1..1]

Definition: Type of modification to be applied.

Datatype: "DataModification2Code" on page 2570

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
DELT	DeleteDataSet	Delete an existing set of data.

66.1.24.10.18.2 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Detailed information about the investment fund or security associated to the account.

Impacted by: C20 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument87" on page 1422 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1423
	Name <Nm>	[0..1]	Text		1424
	ShortName <ShrtNm>	[0..1]	Text		1424
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1424
	ClassType <ClsTp>	[0..1]	Text		1425
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1425
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1425
	ProductGroup <PdctGrp>	[0..1]	Text		1425
	BlockedHoldingDetails <BlckdHldgDtls>	[0..1]		C18, C26, C27, C28	1425
	BlockedHolding <BlckdHldg>	[1..1]	CodeSet		1426
	PartialHoldingUnits <PrtlHldgUnits>	[0..1]	Quantity		1426
	HoldingCertificateNumber <HldgCertNb>	[0..1]	Text		1427
	Pledging <Pldgg>	[0..1]	CodeSet		1427
	Collateral <Coll>	[0..1]	CodeSet		1427
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		1427
	FundOwnership <FndOwnrsh>	[0..1]	CodeSet		1428
	FundIntention <FndIntntn>	[0..1]	CodeSet		1428
	OperationalStatus <OprlSts>	[0..1]	CodeSet		1428

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

66.1.24.10.19 RoundingDetails <RndgDtIs>

Presence: [0..1]

Definition: Parameters to be applied on deal amount for orders when the amount is a fractional number.

RoundingDetails <RndgDtIs> contains the following elements (see "[RoundingParameters1](#)" on page 1231 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RoundingModulus <RndgMdlus>	[0..1]	Quantity		1231
	RoundingDirection <RndgDrctn>	[1..1]	CodeSet		1231

66.1.24.10.20 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see "[PartyIdentification125Choice](#)" on page 2020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2020
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2020
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2020

66.1.24.10.21 BlockedStatus <BlckdSts>

Presence: [0..1]

Definition: Specifies the account is blocked and other factors for the blocked account.

BlockedStatus <BlckdSts> contains one of the following elements (see "[BlockedStatusReason2Choice](#)" on page 2402 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2402
Or}	Reason <Rsn>	[1..*]			2403
	TransactionType <TxTp>	[1..1]			2403
{Or	Code <Cd>	[1..1]	CodeSet		2403
Or}	Proprietary <Prtry>	[1..1]	±		2405
	Blocked <Blckd>	[1..1]	Indicator		2405
	Reason <Rsn>	[0..*]			2405
{Or	Code <Cd>	[1..1]	CodeSet		2405
Or}	Proprietary <Prtry>	[1..1]	±		2406
	AdditionalInformation <AddtlInf>	[1..1]	Text		2406

66.1.24.10.22 AccountUsageType <AcctUsgTp>

Presence: [0..1]

Definition: Specifies the type of usage of the account.

AccountUsageType <AcctUsgTp> contains one of the following elements (see "[AccountUsageType2Choice](#)" on page 1631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1631
Or}	Proprietary <Prtry>	[1..1]	±		1632

66.1.24.10.23 ForeignStatusCertification <FrgnStsCertfctn>

Presence: [0..1]

Definition: Specifies if documentary evidence has been provided for the foreign resident.

Datatype: "Provided1Code" on page 2623

CodeName	Name	Definition
NPRO	NotProvided	Not provided.
PROV	Provided	Provided.

66.1.24.10.24 AccountSignatureDateTime <AcctSgntrDtTm>

Presence: [0..1]

Definition: Date the investor or account owner signs the open account form.

AccountSignatureDateTime <AcctSgntrDtTm> contains one of the following elements (see ["DateAndDateTime1Choice"](#) on page 1376 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1377
Or}	DateTime <DtTm>	[1..1]	DateTime		1377

66.1.24.10.25 TransactionChannelType <TxChanlTp>

Presence: [0..1]

Definition: Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanlTp> contains one of the following elements (see ["TransactionChannelType1Choice"](#) on page 1864 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1864
Or}	Proprietary <Prtry>	[1..1]	±		1865

66.1.24.10.26 InvestmentAccountCategory <InvstmtAcctCtgy>

Presence: [0..1]

Definition: Specifies the category of the account.

InvestmentAccountCategory <InvstmtAcctCtgy> contains one of the following elements (see ["InvestmentAccountCategory1Choice"](#) on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1637
Or}	Proprietary <Prtry>	[1..1]	±		1637

66.1.24.10.27 Pledging <Pldgg>

Presence: [0..1]

Definition: Specifies whether the holdings in the account are eligible for pledging.

Datatype: ["Eligible1Code"](#) on page 2572

CodeName	Name	Definition
ELIG	Eligible	Item is eligible.
NELI	NotEligible	Item is not eligible.

66.1.24.10.28 Collateral <Coll>

Presence: [0..1]

Definition: Specifies whether the holdings in the account are used as collateral.

Datatype: ["Collateral1Code"](#) on page 2562

CodeName	Name	Definition
COLL	Collateral	Item is used as collateral.
NCOL	NotCollateral	Item is not used as collateral.

66.1.24.10.29 ThirdPartyRights <ThrdPtyRghts>

Presence: [0..1]

Definition: Details of third party rights.

ThirdPartyRights <ThrdPtyRghts> contains the following elements (see ["ThirdPartyRights2"](#) on page 1628 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	Text		1628
	DateTime <DtTm>	[0..1]	DateTime		1629
	Holder <Hldr>	[0..1]	±		1629
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1629
	Amount <Amt>	[0..1]	Amount	C2, C10	1629
	Description <Desc>	[0..1]	Text		1629

66.1.24.10.30 PowerOfAttorneyLevelOfControl <PwrOfAttnyLvOfCtrl>

Presence: [0..1]

Definition: Functionality permitted to a third party in the actions that may be taken on an account on behalf of the investor.

PowerOfAttorneyLevelOfControl <PwrOfAttnyLvOfCtrl> contains one of the following elements (see ["LevelOfControl1Choice"](#) on page 1818 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1818
Or}	Proprietary <Prtry>	[1..1]	±		1818

66.1.24.10.31 AccountingStatus <AcctgSts>

Presence: [0..1]

Definition: Specifies if the account is regarded as domestic or non-domestic for reporting purposes.

AccountingStatus <AcctgSts> contains one of the following elements (see ["AccountingStatus1Choice"](#) on page 2326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2326
Or}	Proprietary <Prtry>	[1..1]	±		2326

66.1.24.10.32 OpeningDate <OpngDt>*Presence:* [0..1]*Definition:* Legal opening date for the account.**OpeningDate <OpngDt>** contains one of the following elements (see ["DateAndDateTime1Choice"](#) on page 1376 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1377
Or}	DateTime <DtTm>	[1..1]	DateTime		1377

66.1.24.10.33 ClosingDate <ClsgDt>*Presence:* [0..1]*Definition:* Legal closing date for the account.**ClosingDate <ClsgDt>** contains one of the following elements (see ["DateAndDateTime1Choice"](#) on page 1376 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1377
Or}	DateTime <DtTm>	[1..1]	DateTime		1377

66.1.24.10.34 NegativeIndicator <NegInd>*Presence:* [0..1]*Definition:* Indicates whether the account can hold a negative position in a security.*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.24.10.35 ProcessingOrder <PrcgOrdr>*Presence:* [0..1]*Definition:* Order in which securities are moved from the account.*Datatype:* ["PositionEffect3Code"](#) on page 2620

CodeName	Name	Definition
FIFO	Fifo	First in, first out. Results in a position obtained after having sold in priority the securities bought chronologically.
LIFO	Lifo	Last in, last out. Results in a position obtained after having sold in priority the securities bought chronologically.

66.1.24.10.36 Liability <Lbly>*Presence:* [0..1]

Definition: Specifies whether the investor assumes responsibility for the liability.

Liability <Lbly> contains one of the following elements (see "[Liability1Choice](#)" on page 1817 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1817
Or}	Proprietary <Prtry>	[1..1]	±		1818

66.1.24.10.37 ModifiedInvestorProfile <ModfdInvstrPrfl>

Presence: [0..*]

Definition: Information related to the investor profile to be inserted, updated or deleted.

ModifiedInvestorProfile <ModfdInvstrPrfl> contains the following **ModificationScope46** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1598
	InvestorProfile <InvstrPrfl>	[1..1]	±		1598

66.1.24.10.37.1 ModificationScopeIndication <ModScplIndctn>

Presence: [1..1]

Definition: Specifies the type of modification to be applied.

Datatype: "[DataModification1Code](#)" on page 2570

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

66.1.24.10.37.2 InvestorProfile <InvstrPrfl>

Presence: [1..1]

Definition: Information used to determine fees and types of operations that can be carried out on the account.

InvestorProfile <InvstrPrfl> contains the following elements (see "InvestorProfile2" on page 1792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			1793
{Or	Code <Cd>	[1..1]	CodeSet		1794
Or}	Proprietary <Prtry>	[1..1]	±		1794
	Status <Sts>	[0..1]			1795
{Or	Code <Cd>	[1..1]	CodeSet		1795
Or}	Proprietary <Prtry>	[1..1]	±		1795
	Treasury <Trsr>	[0..1]			1796
	Date <Dt>	[1..1]	Date		1796
	TraderType <TradrTp>	[1..1]			1796
{Or	Code <Cd>	[1..1]	CodeSet		1796
Or}	Proprietary <Prtry>	[1..1]	±		1797
	Rate <Rate>	[1..1]	Rate		1797
	HighFrequencyTrading <HghFrqcyTradg>	[0..1]			1797
	Date <Dt>	[0..1]	Date		1797
	SettlementFrequency <SttlmFrqcy>	[0..1]			1798
{Or	Code <Cd>	[1..1]	CodeSet		1798
Or}	Proprietary <Prtry>	[1..1]	±		1798
	ConsolidationType <CnsltdtnTp>	[0..1]			1798
{Or	Code <Cd>	[1..1]	CodeSet		1799
Or}	Proprietary <Prtry>	[1..1]	±		1799
	MarketMaker <MktMakr>	[0..1]			1799
	ContractPeriod <CtrctPrd>	[0..1]			1799
	FromDateTime <FrDtTm>	[1..1]	DateTime		1800
	ToDateTime <ToDtTm>	[0..1]	DateTime		1800
	Compliance <Cmplc>	[0..1]	Indicator		1800
	MaximumSpread <MaxSprd>	[0..1]	Rate		1800
	Discount <Dscnt>	[0..1]	Rate		1800

66.1.24.10.38 FiscalYear <FscIYr>

Presence: [0..1]

Definition: Fiscal year, when not the same as the calendar year.

FiscalYear <FscIYr> contains one of the following elements (see "FiscalYear1Choice" on page 1378 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StartDate <StartDt>	[1..1]	Date		1378
Or}	EndDate <EndDt>	[1..1]	Date		1378

66.1.24.11 InvestmentAccount76

Definition: Information about a securities account and its characteristics.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1601
	Designation <Dsgnt>	[0..1]	Text		1601
	FundType <FndTp>	[0..1]	Text		1601
	FundFamilyName <FndFmlyNm>	[0..1]	Text		1601
	SecurityDetails <SctyDtls>	[0..1]		C15	1601
	Identification <Id>	[1..1]	±		1602
	Name <Nm>	[0..1]	Text		1603
	ShortName <ShrtNm>	[0..1]	Text		1603
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1603
	ClassType <ClsTp>	[0..1]	Text		1604
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1604
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1604
	ProductGroup <PdctGrp>	[0..1]	Text		1604
	AccountOwner <AcctOwnr>	[0..1]			1604
{Or	IndividualOwnerIdentification <IndvOwnrId>	[1..1]			1605
{Or	IdentificationNumber <IdNb>	[1..1]	±		1605
Or}	PersonName <PrsnNm>	[1..1]	±		1605
Or}	OrganisationOwnerIdentification <OrgOwnrId>	[1..1]	±	C21	1606
	Intermediary <Intrmy>	[0..*]	±		1606
	AccountServicer <AcctSvcr>	[0..1]	±		1607

Constraints

- **AccountDataElementRule**

One of the elements (Name, Designation, FundType, FundFamilyName, SecurityDetails, AccountOwner, Intermediary, Account Servicer) must be present.

66.1.24.11.1 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

66.1.24.11.2 Designation <Dsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: "Max35Text" on page 2683

66.1.24.11.3 FundType <FndTp>

Presence: [0..1]

Definition: Legal form of the fund, for example, UCITS, SICAV, OEIC, Unit Trust, and FCP.

Datatype: "Max35Text" on page 2683

66.1.24.11.4 FundFamilyName <FndFmlyNm>

Presence: [0..1]

Definition: Name of the investment fund family.

Datatype: "Max350Text" on page 2683

66.1.24.11.5 SecurityDetails <SctyDtls>

Presence: [0..1]

Definition: Detailed information about the investment fund associated to the account.

Impacted by: C15 "IdentificationGuideline"

SecurityDetails <SctyDtIs> contains the following **FinancialInstrument55** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1602
	Name <Nm>	[0..1]	Text		1603
	ShortName <ShrtNm>	[0..1]	Text		1603
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1603
	ClassType <ClsTp>	[0..1]	Text		1604
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1604
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1604
	ProductGroup <PdctGrp>	[0..1]	Text		1604

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

66.1.24.11.5.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the security by an ISIN.

Identification <Id> contains one of the following elements (see "SecurityIdentification25Choice" on page 1448 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1449
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1449
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1449
Or	RIC <RIC>	[1..1]	IdentifierSet		1450
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1450
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1450
Or	CTA <CTA>	[1..1]	IdentifierSet		1450
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1450
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1450
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1451
Or	Valoren <Vlrr>	[1..1]	IdentifierSet		1451
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1451
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1451
Or	Common <Cmon>	[1..1]	IdentifierSet		1451
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1451
	Identification <Id>	[1..1]	Text		1451
	IdentificationSource <IdSrc>	[1..1]	±		1452

66.1.24.11.5.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "Max350Text" on page 2683

66.1.24.11.5.3 ShortName <ShrtNm>

Presence: [0..1]

Definition: Financial Instrument Short Name (FISN) expressed in conformance with the ISO 18774 standard.

Datatype: "Max35Text" on page 2683

66.1.24.11.5.4 SupplementaryIdentification <SplmtryId>

Presence: [0..1]

Definition: Additional information about the financial instrument to help identify the instrument.

Datatype: "Max35Text" on page 2683

66.1.24.11.5.5 ClassType <ClsTp>*Presence:* [0..1]

Definition: Features of units offered by the fund. For example, a unit may have a specific load structure, for example, front end or back end, an income policy, for example, pay out or accumulate, or a trailer policy, for example, with or without. Fund classes are typically denoted by a single character, for example, 'Class A', 'Class 2'.

Datatype: "Max35Text" on page 2683**66.1.24.11.5.6 SecuritiesForm <SctiesForm>***Presence:* [0..1]

Definition: Form, that is, ownership, of the security, for example, registered or bearer.

Datatype: "FormOfSecurity1Code" on page 2580

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

66.1.24.11.5.7 DistributionPolicy <DstrbtnPlcy>*Presence:* [0..1]

Definition: Income policy relating to the class type, that is, if income is paid out or retained in the fund.

Datatype: "DistributionPolicy1Code" on page 2571

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

66.1.24.11.5.8 ProductGroup <PdctGrp>*Presence:* [0..1]

Definition: Company specific description of a group of funds.

Datatype: "Max140Text" on page 2682**66.1.24.11.6 AccountOwner <AcctOwnr>***Presence:* [0..1]

Definition: Owner of the account.

AccountOwner <AcctOwnr> contains one of the following **AccountOwner3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndividualOwnerIdentification <IndvOwnrld>	[1..1]			1605
{Or	IdentificationNumber <IdNb>	[1..1]	±		1605
Or}	PersonName <PrsnNm>	[1..1]	±		1605
Or}	OrganisationOwnerIdentification <OrgOwnrld>	[1..1]	±	C21	1606

66.1.24.11.6.1 IndividualOwnerIdentification <IndvOwnrld>

Presence: [1..1]

Definition: Identification of the individual person that legally owns the account.

IndividualOwnerIdentification <IndvOwnrld> contains one of the following **IndividualPersonIdentification3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IdentificationNumber <IdNb>	[1..1]	±		1605
Or}	PersonName <PrsnNm>	[1..1]	±		1605

66.1.24.11.6.1.1 IdentificationNumber <IdNb>

Presence: [1..1]

Definition: Identification of the person that owns the account.

IdentificationNumber <IdNb> contains the following elements (see "[GenericIdentification81](#)" on page 1503 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1503
	IdentificationType <IdTp>	[1..1]			1503
{Or	Code <Cd>	[1..1]	CodeSet		1503
Or}	Proprietary <Prtry>	[1..1]	±		1504

66.1.24.11.6.1.2 PersonName <PrsnNm>

Presence: [1..1]

Definition: Name of the person that owns the account.

PersonName <PrsnNm> contains the following elements (see "IndividualPerson35" on page 2173 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GivenName <GvnNm>	[0..1]	Text		2173
	MiddleName <MddlNm>	[0..1]	Text		2173
	Name <Nm>	[1..1]	Text		2173
	Gender <Gndr>	[0..1]	CodeSet		2173
	BirthDate <BirthDt>	[0..1]	Date		2174

66.1.24.11.6.2 OrganisationOwnerIdentification <OrgOwnrId>

Presence: [1..1]

Definition: Identification of the organisation that legally owns the account.

Impacted by: C21 "OrganisationIdentificationRule"

OrganisationOwnerIdentification <OrgOwnrId> contains the following elements (see "PartyIdentification220" on page 1885 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]			1886
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1886
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1887
Or	NameAndAddress <NmAndAdr>	[1..1]			1887
	Name <Nm>	[1..1]	Text		1887
	PostalAddress <PstlAdr>	[0..1]	±	C23	1887
Or	TaxIdentificationNumber <TaxIdNb>	[1..1]	Text		1888
Or}	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		1888
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1889

Constraints

- **OrganisationIdentificationRule**

Either Identification or Legal Entity Identifier must be present. Both Identification and Legal Entity Identifier may be present.

Following Must be True

/Identification Must be present

Or /LegalEntityIdentifier Must be present

66.1.24.11.7 Intermediary <Intrmy>

Presence: [0..*]

Definition: Intermediary or other party related to the management of the account.

Intermediary <Intrmy> contains the following elements (see "Intermediary47" on page 1980 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification </d>	[1..1]	±		1981
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1981
	Account <Acct>	[0..1]			1981
	Identification </d>	[0..1]	Text		1981
	AccountServicer <AcctSvcr>	[1..1]	±		1981

66.1.24.11.8 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see "PartyIdentification125Choice" on page 2020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2020
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2020
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2020

66.1.24.12 InvestmentAccountOwnershipInformation16

Definition: Characteristics of the ownership of a securities account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1609
{Or	Organisation <Org>	[1..1]	±	C24	1609
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1610
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1612
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1612
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1613
	ValidatingParty <VldtngPty>	[0..1]	Text		1613
	CheckingParty <ChckngPty>	[0..1]	Text		1613
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1614
	CertificateType <CertTp>	[0..1]	±		1614
	CheckingDate <ChckngDt>	[0..1]	Date		1614
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1614
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1615
	SalaryRange <SlryRg>	[0..1]	Text		1615
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1615
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1615
	RiskLevel <RskLv>	[0..1]	±		1615
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1615
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1616
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1616
	ClientIdentification <Clntld>	[0..1]	Text		1616
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1617
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1617
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1617
	Notification <Ntfctn>	[0..*]	±		1617
	FATCAFormType <FATCAFormTp>	[0..*]	±		1617
	FATCAStatus <FATCASts>	[0..*]	±		1618
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1618
	CRSFormType <CRSFormTp>	[0..*]	±		1618
	CRSStatus <CRSSts>	[0..*]	±		1618
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1619

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherIdentification <OthrId>	[0..*]	±		1619
	TaxExemption <TaxXmptn>	[0..1]	±		1620
	TaxReporting <TaxRptg>	[0..*]	±		1620
	Language <Lang>	[0..1]	CodeSet	C34	1621
	MailType <MailTp>	[0..1]	±		1622
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1622
	MonetaryWealth <MntryWlth>	[0..1]	±		1622
	EquityValue <EqtyVal>	[0..1]	±		1622
	WorkingCapital <WorkgCptl>	[0..1]	±		1623
	CompanyLink <CpnyLk>	[0..1]	±		1623
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1623
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1623
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1624
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1624
	AccountingStatus <AcctgSts>	[0..1]	±		1625
	AdditionalInformation <AddtlInf>	[0..*]	±		1625
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1626

66.1.24.12.1 Party <Pty>

Presence: [1..1]

Definition: Information about the organisation or individual person.

Party <Pty> contains one of the following **Party47Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Organisation <Org>	[1..1]	±	C24	1609
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1610

66.1.24.12.1.1 Organisation <Org>

Presence: [1..1]

Definition: Organised structure that is set up for a particular purpose, for example, a business, government body, department, charity, or financial institution.

Impacted by: C24 "OrganisationElementRule"

Organisation <Org> contains the following elements (see "Organisation39" on page 1889 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1889
	ShortName <ShrtNm>	[0..1]	Text		1890
	Identification <Id>	[0..1]			1890
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1890
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1890
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1890
	Purpose <Purp>	[0..1]	Text		1891
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	1891
	RegistrationDate <RegnDt>	[0..1]	Date		1891
	PostalAddress <PstlAdr>	[0..10]	±	C23	1891
	TypeOfOrganisation <TpOfOrg>	[0..1]			1892
{Or	Code <Cd>	[1..1]	CodeSet		1893
Or}	Proprietary <Prtry>	[1..1]	±		1893
	PlaceOfListing <PlcOfListg>	[0..*]	IdentifierSet		1893

Constraints

- **OrganisationElementRule**

One of the elements (Name, ShortName, Identification, LegalEntityIdentifier, Purpose, RegistrationCountry, RegistrationDate, PostalAddress, TypeOfOrganisation, PlaceOfListing) must be present.

66.1.24.12.1.2 IndividualPerson <IndvPrsn>

Presence: [1..1]

Definition: Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

IndividualPerson <IndvPrsn> contains the following elements (see "IndividualPerson37" on page 2174 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]			2176
{Or	Code <Cd>	[1..1]	CodeSet		2176
Or}	Proprietary <Prtry>	[1..1]	±		2176
	GivenName <GvnNm>	[0..1]	Text		2177
	MiddleName <MddlNm>	[0..1]	Text		2177
	Name <Nm>	[1..1]	Text		2177
	NameSuffix <NmSfx>	[0..1]	Text		2177
	Gender <Gndr>	[0..1]	CodeSet		2177
	BirthDate <BirthDt>	[0..1]	Date		2177
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C11	2178
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		2178
	CityOfBirth <CityOfBirth>	[0..1]	Text		2178
	Profession <Prfssn>	[0..1]	Text		2178
	PostalAddress <PstlAdr>	[1..10]	±	C23	2178
	Citizenship <Ctznsh>	[0..3]			2179
	Nationality <Ntlty>	[1..1]	CodeSet	C35	2180
	MinorIndicator <MnrInd>	[1..1]	Indicator		2180
	EmployingCompany <EmplngCpny>	[0..1]	Text		2180
	BusinessFunction <BizFctn>	[0..1]	Text		2180
	PoliticallyExposedPerson <PltclYXpsdPrsn>	[0..1]		C29	2180
	PoliticallyExposedPersonType <PltclYXpsdPrsnTp>	[1..1]			2181
{Or	Code <Cd>	[1..1]	CodeSet		2181
Or}	Proprietary <Prtry>	[1..1]	±		2182
	PoliticallyExposedPersonStatus <PltclYXpsdPrsnSts>	[0..1]			2182
{Or	Code <Cd>	[1..1]	CodeSet		2182
Or}	Proprietary <Prtry>	[1..1]	±		2183
	DeathDate <DthDt>	[0..1]	Date		2183
	CivilStatus <CvISts>	[0..1]			2183
{Or	Code <Cd>	[1..1]	CodeSet		2183
Or}	Proprietary <Prtry>	[1..1]	±		2184

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EducationLevel <EdctnLvl>	[0..1]	Text		2184
	FamilyInformation <FmlyInf>	[0..1]			2184
	NameOfFather <NmOfFthr>	[0..1]	Text		2184
	MaidenNameOfMother <MdnNmOfMthr>	[0..1]	Text		2185
	NameOfPartner <NmOfPrtnr>	[0..1]	Text		2185
	GDPRData <GDPRData>	[0..*]			2185
	ConsentType <CnsntTp>	[1..1]			2185
{Or	Code <Cd>	[1..1]	CodeSet		2185
Or}	Proprietary <Prtry>	[1..1]	±		2186
	ConsentIndicator <CnsntInd>	[1..1]	Indicator		2186
	ConsentDate <CnsntDt>	[1..1]	Date		2186

66.1.24.12.2 MoneyLaunderingCheck <MnyLndrgChck>

Presence: [0..1]

Definition: Status of an identity check to prevent money laundering. This includes the counter-terrorism check.

MoneyLaunderingCheck <MnyLndrgChck> contains one of the following elements (see "MoneyLaunderingCheck1Choice" on page 2042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2043
Or}	Proprietary <Prtry>	[1..1]	±		2043

66.1.24.12.3 InvestorProfileValidation <InvstrPrflVldtn>

Presence: [0..*]

Definition: Information to support Know Your Customer (KYC) processes.

InvestorProfileValidation <InvstrPrfIVldtn> contains the following **PartyProfileInformation5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1613
	ValidatingParty <VldtngPty>	[0..1]	Text		1613
	CheckingParty <ChckngPty>	[0..1]	Text		1613
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1614
	CertificateType <CertTp>	[0..1]	±		1614
	CheckingDate <ChckngDt>	[0..1]	Date		1614
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1614
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1615
	SalaryRange <SlryRg>	[0..1]	Text		1615
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1615
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1615
	RiskLevel <RskLv/>	[0..1]	±		1615
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1615
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1616

66.1.24.12.3.1 CertificationIndicator <CertfctnInd>

Presence: [0..1]

Definition: Indicates whether the certificate type has been obtained and verified.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.24.12.3.2 ValidatingParty <VldtngPty>

Presence: [0..1]

Definition: Identification of the person who validated the document.

Datatype: "Max140Text" on page 2682

66.1.24.12.3.3 CheckingParty <ChckngPty>

Presence: [0..1]

Definition: Identification of the person who checked the document.

Datatype: "Max140Text" on page 2682

66.1.24.12.3.4 ResponsibleParty <RspnsblPty>*Presence:* [0..1]*Definition:* Identification of the person who is responsible for the document.*Datatype:* "Max140Text" on page 2682**66.1.24.12.3.5 CertificateType <CertTp>***Presence:* [0..1]*Definition:* Type of certificate.**CertificateType <CertTp>** contains one of the following elements (see "CertificationType1Choice" on page 1995 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1995
Or}	Proprietary <Prtry>	[1..1]	±		1995

66.1.24.12.3.6 CheckingDate <ChckngDt>*Presence:* [0..1]*Definition:* Date at which the certification check has been performed.*Datatype:* "ISODate" on page 2668**66.1.24.12.3.7 CheckingFrequency <ChckngFrqcy>***Presence:* [0..1]*Definition:* Specifies how frequently the check is performed.*Datatype:* "EventFrequency1Code" on page 2575

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
MNTH	Monthly	Event takes place every month or once a month.
TWMN	TwiceAMonth	Event takes place two times a month.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.
INDA	IntraDay	Event takes place several times a day.
OVNG	Overnight	Event takes place overnight.

CodeName	Name	Definition
ONDE	OnDemand	Event takes place on demand.

66.1.24.12.3.8 NextRevisionDate <NxtRvsnDt>*Presence:* [0..1]*Definition:* Specifies the date at which the next certification check will be performed.*Datatype:* "ISODate" on page 2668**66.1.24.12.3.9 SalaryRange <SlryRg>***Presence:* [0..1]*Definition:* Limits between which a person's salary is estimated.*Datatype:* "Max35Text" on page 2683**66.1.24.12.3.10 SourceOfWealth <SrcOfWlth>***Presence:* [0..1]*Definition:* Indicates the main source of revenue.*Datatype:* "Max140Text" on page 2682**66.1.24.12.3.11 CustomerConductClassification <CstmrCndctClssfctn>***Presence:* [0..1]*Definition:* Specifies an assessment of the customer's behaviour at the time of the account opening application.**CustomerConductClassification <CstmrCndctClssfctn>** contains one of the following elements (see "CustomerConductClassification1Choice" on page 1630 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1631
Or}	Proprietary <Prtry>	[1..1]	±		1631

66.1.24.12.3.12 RiskLevel <RskLvl>*Presence:* [0..1]*Definition:* Specifies the customer's money laundering risk.**RiskLevel <RskLvl>** contains one of the following elements (see "RiskLevel2Choice" on page 1994 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1994
Or}	Proprietary <Prtry>	[1..1]	±		1994

66.1.24.12.3.13 KnowYourCustomerCheckType <KnowYourCstmrChckTp>*Presence:* [0..1]

Definition: Specifies the type of due diligence checks carried out on the investor or account owner. For definitions of ordinary, simple and enhanced know your customer checks, local market regulations should be consulted.

KnowYourCustomerCheckType <KnowYourCstmrChckTp> contains one of the following elements (see "KYCCheckType1Choice" on page 1993 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1993
Or}	Proprietary <Prtry>	[1..1]	±		1994

66.1.24.12.3.14 KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>

Presence: [0..1]

Definition: Specifies whether a customer has been checked in a Know Your Customer (KYC) database.

KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck> contains the following elements (see "DataBaseCheck1" on page 1993 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DatabaseCheck <DBChck>	[1..1]	Indicator		1993
	Identification <Id>	[1..1]	Text		1993

66.1.24.12.4 OwnershipBeneficiaryRate <OwnrshBnfcryRate>

Presence: [0..1]

Definition: Percentage of ownership or of beneficial ownership of the shares/units in the account. All subsequent subscriptions or purchases and or redemptions or sells will be allocated using the same percentage.

Impacted by: C25 "OwnershipBeneficiaryRateRule"

OwnershipBeneficiaryRate <OwnrshBnfcryRate> contains the following elements (see "OwnershipBeneficiaryRate1" on page 1992 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Rate <Rate>	[0..1]	Rate		1992
	Fraction <Frctn>	[0..1]	Text		1992

Constraints

- **OwnershipBeneficiaryRateRule**

Either Rate or Fraction must be present. Both may be present.

66.1.24.12.5 ClientIdentification <ClntId>

Presence: [0..1]

Definition: Unique identification, as assigned by an organisation, to unambiguously identify a party.

Datatype: "Max35Text" on page 2683

66.1.24.12.6 FiscalExemption <FscIXmptn>*Presence:* [0..1]*Definition:* Indicates whether an owner of the account may benefit from a fiscal exemption or amnesty, for example, when declaring overseas investments.*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.24.12.7 SignatoryRightIndicator <SgntryRghtInd>*Presence:* [0..1]*Definition:* Indicates whether the signature of the account owner is required to authorise transactions on the account.*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.24.12.8 MiFIDClassification <MiFIDClssfctn>*Presence:* [0..1]*Definition:* Details about the MiFID classification of the account owner.**MiFIDClassification <MiFIDClssfctn>** contains the following elements (see ["MiFIDClassification1"](#) on page 1863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Classification <Clssfctn>	[1..1]	CodeSet		1863
	Narrative <Nrrtv>	[0..1]	Text		1863

66.1.24.12.9 Notification <Ntfctn>*Presence:* [0..*]*Definition:* Type of information that must be provided to the account holder.**Notification <Ntfctn>** contains the following elements (see ["Notification2"](#) on page 1804 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NotificationType <NtfctnTp>	[1..1]	Text		1804
	Required <Reqrd>	[1..1]	Indicator		1804
	DistributionType <DstrbtnTp>	[0..1]	±		1804

66.1.24.12.10 FATCAFormType <FATCAFormTp>*Presence:* [0..*]*Definition:* Type of Foreign Account Tax Compliance Act (FATCA) form submitted by the investor or account owner.

FATCAFormType <FATCAFormTp> contains one of the following elements (see "FATCAForm1Choice" on page 1820 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1820
Or}	Proprietary <Prtry>	[1..1]	±		1821

66.1.24.12.11 FATCAStatus <FATCASts>

Presence: [0..*]

Definition: Foreign Account Tax Compliance Act (FATCA) status of the investor or account owner.

FATCAStatus <FATCASts> contains the following elements (see "FATCAStatus2" on page 1989 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1989
{Or	Code <Cd>	[1..1]	CodeSet		1990
Or}	Proprietary <Prtry>	[1..1]	±		1990
	Source <Src>	[0..1]			1991
{Or	Code <Cd>	[1..1]	CodeSet		1991
Or}	Proprietary <Prtry>	[1..1]	±		1991

66.1.24.12.12 FATCAReportingDate <FATCARptgDt>

Presence: [0..1]

Definition: Date provided by the account owner to inform the account servicer of the date on which the holdings must be reported before the account is subsequently closed.

Datatype: "ISODate" on page 2668

66.1.24.12.13 CRSFormType <CRSFormTp>

Presence: [0..*]

Definition: Type of Common Reporting Standard (CRS) form submitted by the investor or account owner.

CRSFormType <CRSFormTp> contains one of the following elements (see "CRSForm1Choice" on page 1988 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1988
Or}	Proprietary <Prtry>	[1..1]	±		1989

66.1.24.12.14 CRSStatus <CRSSts>

Presence: [0..*]

Definition: Common Reporting Standard (CRS) status of the investor or account owner.

CRSStatus <CRSSts> contains the following elements (see "[CRSStatus4](#)" on page 1985 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1985
{Or	Code <Cd>	[1..1]	CodeSet		1986
Or}	Proprietary <Prtry>	[1..1]	±		1987
	Source <Src>	[0..1]			1987
{Or	Code <Cd>	[1..1]	CodeSet		1987
Or}	Proprietary <Prtry>	[1..1]	±		1987
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1988

66.1.24.12.15 CRSReportingDate <CRSRptgDt>

Presence: [0..1]

Definition: Date provided by the account owner to inform the account servicer of the date on which the holdings must be reported before the account is subsequently closed.

Datatype: "[ISODate](#)" on page 2668

66.1.24.12.16 OtherIdentification <OthrlId>

Presence: [0..*]

Definition: Alternative identification, for example, national registration identification number, passport number, tax identification number. This may be an account number used to further identify the beneficial owner, for example, a Central Provident Fund (CPF) account as required for Singapore.

OtherIdentification <OthrlId> contains the following elements (see "[GenericIdentification82](#)" on page 1500 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1500
	Type <Tp>	[1..1]			1501
{Or	Code <Cd>	[1..1]	CodeSet		1501
Or}	Proprietary <Prtry>	[1..1]	±		1502
	Issuer <Issr>	[0..1]	Text		1502
	IssueDate <IsseDt>	[0..1]	Date		1502
	ExpiryDate <XpryDt>	[0..1]	Date		1502
	State <Stat>	[0..1]	Text		1502
	IssuerCountry <IssrCtry>	[0..1]	CodeSet	C11	1502

66.1.24.12.17 TaxExemption <TaxXmptn>*Presence:* [0..1]*Definition:* Tax advantage specific to the account party.

TaxExemption <TaxXmptn> contains one of the following elements (see "[TaxExemptionReason2Choice](#)" on page 2409 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2409
Or}	Proprietary <Prtry>	[1..1]	±		2413

66.1.24.12.18 TaxReporting <TaxRptg>*Presence:* [0..*]*Definition:* Details for the reporting of tax, for example, the country of taxation.

TaxReporting <TaxRptg> contains the following elements (see "TaxReporting3" on page 2424 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxationCountry <TaxtnCtry>	[1..1]	CodeSet	C11	2425
	TaxRate <TaxRate>	[0..1]	Rate		2426
	TaxPayer <TaxPyer>	[0..1]	±		2426
	TaxRecipient <TaxRcpt>	[0..1]	±		2426
	CashAccountDetails <CshAcctDtls>	[0..1]			2426
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	2427
	Identification <Id>	[1..1]	±		2427
	AccountOwner <AcctOwnr>	[0..1]	±		2428
	AccountServicer <AcctSvcr>	[0..1]	±		2428
	AccountServicerBranch <AcctSvcrBrnch>	[0..1]	±		2428
	AccountOwnerOtherIdentification <AcctOwnrOthrld>	[0..*]	±		2429
	InvestmentAccountType <InvstmtAcctTp>	[0..1]	±		2429
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2429
	SettlementInstructionReason <SttlmInstrRsn>	[0..1]			2430
{Or	Code <Cd>	[1..1]	CodeSet		2430
Or}	Proprietary <Prtry>	[1..1]	±		2431
	CashAccountPurpose <CshAcctPurp>	[0..1]			2431
{Or	Code <Cd>	[1..1]	CodeSet		2431
Or}	Proprietary <Prtry>	[1..1]	±		2431
	CashAccountDesignation <CshAcctDsgnt>	[0..1]			2432
{Or	Code <Cd>	[1..1]	CodeSet		2432
Or}	Proprietary <Prtry>	[1..1]	±		2432
	DividendPercentage <DvddPctg>	[0..1]	Rate		2432
	Description <Desc>	[0..1]	Text		2433

66.1.24.12.19 Language <Lang>

Presence: [0..1]

Definition: Language in which the organisation or person communicates.

Impacted by: [C34 "ValidationByTable"](#)

Datatype: ["LanguageCode"](#) on page 2606

Constraints

- **ValidationByTable**

Must be a valid terrestrial language.

66.1.24.12.20 MailType <MailTp>

Presence: [0..1]

Definition: Method used for postal mailing.

MailType <MailTp> contains one of the following elements (see "[MailType1Choice](#)" on page 1984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1984
Or}	Proprietary <Prtry>	[1..1]	±		1985

66.1.24.12.21 CountryAndResidentialStatus <CtryAndResdtlSts>

Presence: [0..1]

Definition: Country and residential status of the organisation or individual person.

CountryAndResidentialStatus <CtryAndResdtlSts> contains the following elements (see "[CountryAndResidentialStatusType2](#)" on page 1984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1984
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1984

66.1.24.12.22 MonetaryWealth <MntryWlth>

Presence: [0..1]

Definition: Annual wealth of the individual person or share capital value of the legal entity and date on which the annual wealth of the individual person was registered or declared or the date the stock value of the organisation was registered.

MonetaryWealth <MntryWlth> contains the following elements (see "[DateAndAmount1](#)" on page 1232 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C2, C10	1232

66.1.24.12.23 EquityValue <EqtyVal>

Presence: [0..1]

Definition: Amount of total assets minus liabilities of the individual person or the amount of the difference between assets and liabilities plus rights over obligations (net equity) of the organisation and the date on which the equity value was registered.

EquityValue <EqtyVal> contains the following elements (see ["DateAndAmount1"](#) on page 1232 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C2, C10	1232

66.1.24.12.24 WorkingCapital <WorkgCptl>

Presence: [0..1]

Definition: Resource or value owned or used by a third-party company and the date on which the working capital amount was registered.

WorkingCapital <WorkgCptl> contains the following elements (see ["DateAndAmount1"](#) on page 1232 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	Date		1232
	Amount <Amt>	[1..1]	Amount	C2, C10	1232

66.1.24.12.25 CompanyLink <CpnyLk>

Presence: [0..1]

Definition: Account owner's connection with the trading party or broker.

CompanyLink <CpnyLk> contains one of the following elements (see ["CompanyLink1Choice"](#) on page 1982 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1983
Or}	Proprietary <Prtry>	[1..1]	±		1983

66.1.24.12.26 ElectronicMailingServiceReference <ElctrncMlngSvcRef>

Presence: [0..1]

Definition: Reference to be specified when a letter, for example, an order confirmation, is sent by an automated mailing system.

Datatype: ["Max350Text"](#) on page 2683

66.1.24.12.27 PrimaryCommunicationAddress <PmryComAdr>

Presence: [0..*]

Definition: Communication device number or electronic address used for communication.

PrimaryCommunicationAddress <PmryComAdr> contains the following elements (see "CommunicationAddress6" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230
	Email <Email>	[0..1]	Text		1230
	Phone <Phne>	[0..1]	Text		1230
	Mobile <Mob>	[0..1]	Text		1230
	FaxNumber <FaxNb>	[0..1]	Text		1230
	TelexAddress <TlxAdr>	[0..1]	Text		1231
	URLAddress <URLAdr>	[0..1]	Text		1231

66.1.24.12.28 SecondaryCommunicationAddress <ScndryComAdr>

Presence: [0..*]

Definition: Communication device number or electronic address used for communication.

SecondaryCommunicationAddress <ScndryComAdr> contains the following elements (see "CommunicationAddress6" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230
	Email <Email>	[0..1]	Text		1230
	Phone <Phne>	[0..1]	Text		1230
	Mobile <Mob>	[0..1]	Text		1230
	FaxNumber <FaxNb>	[0..1]	Text		1230
	TelexAddress <TlxAdr>	[0..1]	Text		1231
	URLAddress <URLAdr>	[0..1]	Text		1231

66.1.24.12.29 AdditionalRegulatoryInformation <AddtlRgltryInf>

Presence: [0..1]

Definition: Additional regulatory information about the investor or account owner that is required in some markets to support anti-money laundering laws.

AdditionalRegulatoryInformation <AddtlRgltryInf> contains the following elements (see "RegulatoryInformation1" on page 1982 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Sector <Sctr>	[0..1]	Text		1982
	Branch <Brnch>	[0..1]	Text		1982
	Group <Grp>	[0..1]	Text		1982
	Other <Othr>	[0..1]	Text		1982

66.1.24.12.30 AccountingStatus <AcctgSts>

Presence: [0..1]

Definition: Specifies if the account party is regarded as domestic or non-domestic for reporting purposes.

AccountingStatus <AcctgSts> contains one of the following elements (see "AccountingStatus1Choice" on page 2326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2326
Or}	Proprietary <Prtry>	[1..1]	±		2326

66.1.24.12.31 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information such as remarks or notes that must be conveyed about the party and or limitations and restrictions.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation13](#)" on page 1805 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Limitation <Lmttn>	[0..1]	Text		1806
	AdditionalInformation <AddtlInf>	[0..1]	Text		1806
	AccountValidation <AcctVldtn>	[0..1]	Text		1806
	Type <Tp>	[0..1]	Text		1806
	Regulator <Rgltr>	[0..1]	±		1806
	Status <Sts>	[0..1]			1807
{Or	Code <Cd>	[1..1]	CodeSet		1807
Or}	Proprietary <Prtry>	[1..1]	±		1807
	Period <Prd>	[0..1]			1807
	FromDateTime <FrDtTm>	[1..1]	DateTime		1808
	ToDateTime <ToDtTm>	[0..1]	DateTime		1808

66.1.24.12.32 ControllingParty <CtrlgPty>

Presence: [0..1]

Definition: Party is the controlling person.

(For an Entity that is a legal person, the term "Controlling Persons" means the natural person(s) who exercises control over the Entity. "Control" over an Entity is generally exercised by the natural person(s) who ultimately has a controlling ownership interest in the Entity. A "control ownership interest" depends on the ownership structure of the legal person and is usually identified on the basis of a threshold applying a risk-based approach (e.g. any person(s) owning more than a certain percentage of the legal person, such as 25%). Where no natural person(s) exercises control through ownership interests, the Controlling Person(s) of the Entity will be the natural person(s) who exercises control of the Entity through other means. Where no natural person(s) is identified as exercising control of the Entity, the Controlling Person(s) of the Entity will be the natural person(s) who holds the position of senior managing official.).

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.24.13 Reinvestment4

Definition: Reinvestment information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C20	1627
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C2	1628
	ReinvestmentPercentage <RinvstmtPctg>	[1..1]	Rate		1628

66.1.24.13.1 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund for the reinvestment.

Impacted by: C20 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument87" on page 1422 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1423
	Name <Nm>	[0..1]	Text		1424
	ShortName <ShrtNm>	[0..1]	Text		1424
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1424
	ClassType <ClsTp>	[0..1]	Text		1425
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1425
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1425
	ProductGroup <PdctGrp>	[0..1]	Text		1425
	BlockedHoldingDetails <BlckdHldgDtls>	[0..1]		C18, C26, C27, C28	1425
	BlockedHolding <BlckdHldg>	[1..1]	CodeSet		1426
	PartialHoldingUnits <PrtlHldgUnits>	[0..1]	Quantity		1426
	HoldingCertificateNumber <HldgCertNb>	[0..1]	Text		1427
	Pledging <Pldg>	[0..1]	CodeSet		1427
	Collateral <Coll>	[0..1]	CodeSet		1427
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		1427
	FundOwnership <FndOwnrsh>	[0..1]	CodeSet		1428
	FundIntention <FndIntntn>	[0..1]	CodeSet		1428
	OperationalStatus <OprlSts>	[0..1]	CodeSet		1428

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

66.1.24.13.2 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.24.13.3 ReinvestmentPercentage <RinvstmtPctg>

Presence: [1..1]

Definition: Percentage of the reinvestment.

Datatype: "PercentageRate" on page 2681

66.1.24.14 ThirdPartyRights2

Definition: Information about third party rights.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	Text		1628
	DateTime <DtTm>	[0..1]	DateTime		1629
	Holder <Hldr>	[0..1]	±		1629
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1629
	Amount <Amt>	[0..1]	Amount	C2, C10	1629
	Description <Desc>	[0..1]	Text		1629

66.1.24.14.1 Type <Tp>

Presence: [1..1]

Definition: Type of third party right.

Datatype: "Max35Text" on page 2683

66.1.24.14.2 DateTime <DtTm>*Presence:* [0..1]*Definition:* Timestamp for the third party right.*Datatype:* "ISODatetime" on page 2669**66.1.24.14.3 Holder <Hldr>***Presence:* [0..1]*Definition:* Party that holds the third party right.**Holder <Hldr>** contains one of the following elements (see "PartyIdentification125Choice" on page 2020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2020
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2020
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2020

66.1.24.14.4 LegalEntityIdentifier <LglnTtyldr>*Presence:* [0..1]*Definition:* Identification of the holder with a Legal Entity Identifier. This is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".*Datatype:* "LEIIdentifier" on page 2676**66.1.24.14.5 Amount <Amt>***Presence:* [0..1]*Definition:* Amount of the third party right.*Impacted by:* C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.24.14.6 Description <Desc>*Presence:* [0..1]*Definition:* Description of the third party right.

Datatype: "Max350Text" on page 2683

66.1.24.15 SubAccount6

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1630
	Name <Nm>	[0..1]	Text		1630
	Characteristic <Chrtc>	[0..1]	Text		1630
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1630

66.1.24.15.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2683

66.1.24.15.2 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

66.1.24.15.3 Characteristic <Chrtc>

Presence: [0..1]

Definition: Additional properties of the account.

Datatype: "Max35Text" on page 2683

66.1.24.15.4 AccountDesignation <AcctDsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: "Max35Text" on page 2683

66.1.24.16 CustomerConductClassification1Choice

Definition: Choice of formats for the specification of the customer conduct classification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1631
Or}	Proprietary <Prtry>	[1..1]	±		1631

66.1.24.16.1 Code <Cd>

Presence: [1..1]

Definition: Conduct type expressed as a code.

Datatype: "ConductClassification1Code" on page 2563

CodeName	Name	Definition
NSTA	NonStandard	Non standard or unusual.
RCLT	Reluctant	Reluctant.
STAN	Standard	Standard.

66.1.24.16.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Conduct type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.24.17 AccountUsageType2Choice

Definition: Choice of formats for the specification of the type of account usage.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1631
Or}	Proprietary <Prtry>	[1..1]	±		1632

66.1.24.17.1 Code <Cd>

Presence: [1..1]

Definition: Type of account usage expressed as a code.

Datatype: "AccountUsageType2Code" on page 2552

CodeName	Name	Definition
INVE	Investor	Investor.

CodeName	Name	Definition
ISSP	IssuingParticipant	Issuing participant.
SETP	SettlementParticipant	Settlement participant.
TRDP	TradingParticipant	Trading participant.

66.1.24.17.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of account usage expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.24.18 OwnershipType2Choice

Definition: Choice of formats for the specification of the ownership type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1632
Or}	Proprietary <Prtry>	[1..1]	±		1633

66.1.24.18.1 Code <Cd>

Presence: [1..1]

Definition: Ownership type expressed as a code.

Datatype: "[AccountOwnershipType4Code](#)" on page 2549

CodeName	Name	Definition
UNCO	Unincorporated	Account owner is an unincorporated partnership or is of sole proprietorship.
LIPA	LimitedPartnership	Account owner is a limited partnership or is of sole proprietorship.
ENTR	Entrepreneurial	Entrepreneurial account.
CORP	Corporation	Account owner is a corporate.
CUST	CustodialAccount	Minor's account, registered in the minor's name. Since the minor cannot legally own property, the custodian of the account maintains control of the account.
EURE	EURResidualEntity	Account owner is an entity located in the European Union which can be considered neither as a legal entity (for example, company, corporate), nor as

CodeName	Name	Definition
		an individual person, nor as a UCITS (Undertakings for Collective Investment of Transferable Securities) fund.
PART	FormalPartnership	Account owner is a contractual business organisation in which two or more individuals agree to pool their funds to manage and operate a business.
TRUS	FormalTrust	Account owner is an organisation which is engaged as a trustee for individuals or businesses in the administration of services such as stock transfer, registration, trust funds.
GOVO	GovernmentOrganisation	Account owner is a government organisation.
JOIT	JointAccount	Two or more individuals of legal age own shares of the same account.
COMO	JointAccountInCommon	Two or more individuals of legal age own shares of the same account. Upon the death of one of the account owners, the respective percentage of the ownership is transferred to the deceased's estate.
JOIN	JointAccountWithSurvivorshipRights	Two or more individuals own shares of the same account. Upon the death of one of the account owners, the entire account ownership is passed onto the surviving owners.
LLCO	LimitedLiabilityCompany	Account is for a limited liability company.
NOMI	Nominee	Account owner is a person or firm into whose name securities or other properties are transferred in order to facilitate transactions whilst leaving the customer as the actual owner.
NFPO	NonProfitOrganisation	Account owner is an association that is given tax-free status due to the nature of their activities such as charity organisation.
ONIS	OtherNonIndividual	Account owner is another type of non individual organisation.
RGIC	RegisteredInvestmentCompany	Account is for a registered investment company.
SIGL	SingleOwnerAccount	Account has only one individual owner.

66.1.24.18.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Ownership type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.24.19 InvestmentAccountType1Choice

Definition: Choice of formats for the specification of the investment account type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1634
Or}	Proprietary <Prtry>	[1..1]	±		1634

66.1.24.19.1 Code <Cd>

Presence: [1..1]

Definition: Investment account type expressed as a code.

Datatype: "FundCashAccount2Code" on page 2581

CodeName	Name	Definition
CASH	CashAccount	Cash account.
CPFO	PensionFundOrdinary	Central Provident Fund (CPF) ordinary account.
CPFS	PensionFundSpecial	Central Provident Fund (CPF) special account.
SRSA	RetirementScheme	Supplementary Retirement Scheme (SRS) account.

66.1.24.19.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Investment account type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.24.20 InvestmentAccount58

Definition: Account between an investor(s) and a fund manager or a fund. The account can contain holdings in any investment fund or investment fund class managed (or distributed) by the fund manager, within the same fund family.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1635
	AccountName <AcctNm>	[0..1]	Text		1635
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1635
	OwnerIdentification <OwnrId>	[0..*]	±		1635
	AccountServicer <AcctSvcr>	[0..1]	±		1636
	OrderOriginatorEligibility <OrdOrgtrElgblty>	[0..1]	CodeSet		1636
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1636

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

66.1.24.20.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2683

66.1.24.20.2 AccountName <AcctNm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

66.1.24.20.3 AccountDesignation <AcctDsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to reconciled individually.

Datatype: "Max35Text" on page 2683

66.1.24.20.4 OwnerIdentification <OwnrId>

Presence: [0..*]

Definition: Party that legally owns the account.

OwnerIdentification <OwnrId> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.24.20.5 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.24.20.6 OrderOriginatorEligibility <OrdrgtrElgblty>

Presence: [0..1]

Definition: Counterparties eligibility as defined by article 24 of the EU MiFID Directive applicable to transactions executed by investment firms for eligible counterparties.

Datatype: "[OrderOriginatorEligibility1Code](#)" on page 2610

CodeName	Name	Definition
ELIG	Eligible	Eligible counterparty - MiFID classification of counterparty. Eligible customers are the most sophisticated level of investor, able to opt out of some the protections afforded by conduct of business rules defined under MiFID.
RETL	Retail	Retail client - MiFID classification of counterparty. Retail customers are the least sophisticated level of investor.
PROF	Professional	Professional client - MiFID classification of counterparty. Professional customers are, for example, investment firms, credit institutions, insurance companies.

66.1.24.20.7 SubAccountDetails <SubAcctDtls>

Presence: [0..1]

Definition: Sub-account of the master or omnibus account.

SubAccountDetails <SubAcctDtls> contains the following elements (see "[SubAccount6](#)" on page 1630 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1630
	Name <Nm>	[0..1]	Text		1630
	Characteristic <Chrtc>	[0..1]	Text		1630
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1630

66.1.24.21 InvestmentAccountCategory1Choice

Definition: Choice of formats for the specification of the category of investment account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1637
Or}	Proprietary <Prtry>	[1..1]	±		1637

66.1.24.21.1 Code <Cd>

Presence: [1..1]

Definition: Category of investment account expressed as a code.

Datatype: "InvestmentAccountCategory1Code" on page 2587

CodeName	Name	Definition
MAND	MandateAccount	Account is fully managed by the financial institution, that is, an investor gives a 'mandate' to the financial institution to execute a mutually agreed investment strategy on its behalf.
RETA	RetailAccount	Account is a standard account managed by the investor. The investor issues instructions for the account to the financial institution.

66.1.24.21.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Category of investment account expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.25 InvestmentFundCashForecast

66.1.25.1 Fund2

Definition: Information about an investment fund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1639
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1639
	Identification <Id>	[0..1]			1639
	Identification </Id>	[1..1]	Text		1639
	Type <Tp>	[1..1]	±		1640
	Currency <Ccy>	[0..1]	CodeSet	C3	1640
	TradeDateTime <TradDtTm>	[0..1]	±		1640
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1640
	TotalNAV <TtlNAV>	[0..1]	Amount	C3, C12	1641
	PreviousTotalNAV <PrvsTtlNAV>	[0..1]	Amount	C3, C12	1641
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1641
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1642
	PercentageOfFundTotalNAV <PctgOfFndTtlNAV>	[0..1]	Rate		1642
	CashInForecastDetails <CshInFcstDtls>	[0..*]	±		1642
	CashOutForecastDetails <CshOutFcstDtls>	[0..*]	±		1642
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]			1643
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1643
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1643
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1643
	FlowDirection <FlowDrctrn>	[1..1]	CodeSet		1644

Constraints

- **FundIdentification1Rule**

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

On Condition
 /Identification is absent
 Following Must be True

/Name Must be present
Or /LegalEntityIdentifier Must be present

- **FundIdentification2Rule**

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

On Condition
/Name is absent
Following Must be True
/Identification Must be present
Or /LegalEntityIdentifier Must be absent

- **FundIdentification3Rule**

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

On Condition
/LegalEntityIdentifier is absent
Following Must be True
/Identification Must be present
Or /Name Must be present

66.1.25.1.1 Name <Nm>

Presence: [0..1]

Definition: Name of the fund/sub fund.

Datatype: "Max350Text" on page 2683

66.1.25.1.2 LegalEntityIdentifier <LglnTtyldr>

Presence: [0..1]

Definition: Identification of the fund/sub fund with a Legal Entity Identifier. This is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Datatype: "LEIIdentifier" on page 2676

66.1.25.1.3 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the fund/sub fund, assigned under a formal or proprietary identification scheme.

Identification <Id> contains the following **OtherIdentification4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1639
	Type <Tp>	[1..1]	±		1640

66.1.25.1.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the fund/sub fund.

Datatype: "Max35Text" on page 2683

66.1.25.1.3.2 Type <Tp>*Presence:* [1..1]*Definition:* Identification source.

Type <Tp> contains one of the following elements (see "[IdentificationSource5Choice](#)" on page 1492 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C11	1492
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1492

66.1.25.1.4 Currency <Ccy>*Presence:* [0..1]*Definition:* Currency of the fund/sub fund.*Impacted by:* [C3 "ActiveOrHistoricCurrency"](#)*Datatype:* "[ActiveOrHistoricCurrencyCode](#)" on page 2553**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.25.1.5 TradeDateTime <TradDtTm>*Presence:* [0..1]*Definition:* Date and, if required, the time, at which the price will be applied.

TradeDateTime <TradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.25.1.6 PreviousTradeDateTime <PrvsTradDtTm>*Presence:* [0..1]*Definition:* Previous date and time at which the price was applied.

PreviousTradeDateTime <PrvsTradDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.25.1.7 TotalNAV <TtlNAV>

Presence: [0..1]

Definition: Total value of all the holdings, less the fund's liabilities, of the fund/sub fund.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.25.1.8 PreviousTotalNAV <PrvsTtlNAV>

Presence: [0..1]

Definition: Previous total value of all the holdings, less the fund's liabilities, of the fund/sub fund.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.25.1.9 TotalUnitsNumber <TtlUnitsNb>

Presence: [0..1]

Definition: Total number of units of the fund/sub fund.

TotalUnitsNumber <TtlUnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.25.1.10 PreviousTotalUnitsNumber <PrvsTtlUnitsNb>

Presence: [0..1]

Definition: Previous total number of units of the fund/sub fund.

PreviousTotalUnitsNumber <PrvsTtlUnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.25.1.11 PercentageOfFundTotalNAV <PctgOfFndTtlNAV>

Presence: [0..1]

Definition: Consolidated net cash flow expressed as a percentage of the total NAV for the fund/sub fund.

Datatype: "[PercentageRate](#)" on page 2681

66.1.25.1.12 CashInForecastDetails <CshInFcstDtls>

Presence: [0..*]

Definition: Cash movement into the fund/sub fund.

CashInForecastDetails <CshInFcstDtls> contains the following elements (see "[CashInOutForecast7](#)" on page 1644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1644
	Amount <Amt>	[1..1]	Amount	C3, C12	1644

66.1.25.1.13 CashOutForecastDetails <CshOutFcstDtls>

Presence: [0..*]

Definition: Cash movement out of the fund/sub fund.

CashOutForecastDetails <CshOutFcstDtls> contains the following elements (see "[CashInOutForecast7](#)" on page 1644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1644
	Amount <Amt>	[1..1]	Amount	C3, C12	1644

66.1.25.1.14 NetCashForecastDetails <NetCshFcstDtIs>*Presence:* [0..*]*Definition:* Net cash as a result of the cash-in and cash-out flows.**NetCashForecastDetails <NetCshFcstDtIs>** contains the following **NetCashForecast5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1643
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1643
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1643
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1644

66.1.25.1.14.1 CashSettlementDate <CshSttlmDt>*Presence:* [0..1]*Definition:* Date on which cash is available.*Datatype:* "ISODate" on page 2668**66.1.25.1.14.2 NetAmount <NetAmt>***Presence:* [0..1]*Definition:* Net amount of the cash flow, expressed as an amount of money.*Impacted by:* C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"*Datatype:* "ActiveOrHistoricCurrencyAndAmount" on page 2546**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.25.1.14.3 NetUnitsNumber <NetUnitsNb>*Presence:* [0..1]*Definition:* Net amount, expressed as a number of units.

NetUnitsNumber <NetUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.25.1.14.4 FlowDirection <FlowDrctn>

Presence: [1..1]

Definition: Specifies the direction of the cash flow from the perspective of the fund.

Datatype: "FlowDirectionType1Code" on page 2580

CodeName	Name	Definition
INCG	Incoming	Amount of money received.
OUTG	Outgoing	Amount of money paid out.

66.1.25.2 CashInOutForecast7

Definition: Cash movements into or out of a fund as a result of investment funds transactions, for example, subscriptions, redemptions or switches.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1644
	Amount <Amt>	[1..1]	Amount	C3, C12	1644

66.1.25.2.1 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2668

66.1.25.2.2 Amount <Amt>

Presence: [1..1]

Definition: Amount of the cash flow.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.25.3 EstimatedFundCashForecast5

Definition: Cash movements from or to a fund as a result of investment funds transactions, eg, subscriptions or redemptions.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1646
	TradeDateTime <TradDtTm>	[1..1]	±		1646
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1646
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C13	1646
	EstimatedTotalNAV <EstmtdTtlNAV>	[0..*]	Amount	C3, C12	1647
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C3, C12	1647
	EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>	[0..1]	±		1648
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1648
	EstimatedTotalNAVChangeRate <EstmtdTtlNAVChngRate>	[0..1]	Rate		1648
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C3	1648
	CurrencyStatus <CcySts>	[0..1]	±		1649
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		1649
	Price <Pric>	[0..1]	±		1649
	ForeignExchangeRate <FXRate>	[0..1]	±		1649
	EstimatedPercentageOfShareClassTotalNAV <EstmtdPctgOfShrClssTtlNAV>	[0..1]	Rate		1650
	BreakdownByParty <BrkdwnByPty>	[0..*]	±		1650
	BreakdownByCountry <BrkdwnByCtry>	[0..*]	±		1651
	BreakdownByCurrency <BrkdwnByCcy>	[0..*]	±		1652
	BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam>	[0..*]	±	C17	1653
	EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtls>	[0..*]	±	C7	1654

Constraints

- **ExceptionalCashFlowIndicatorRule**

If ExceptionalNetCashFlowIndicator is "true" or "1" (Yes), then at least one or more of the following must be present:

BreakdownByParty/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByParty/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashOutForecast/ExceptionalCashFlowIndicator.

66.1.25.3.1 Identification <Id>

Presence: [1..1]

Definition: Unique technical identifier for an instance of a fund cash forecast within a fund cash forecast report as assigned by the issuer of the report.

Datatype: "Max35Text" on page 2683

66.1.25.3.2 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and, if required, the time, at which the price will be applied.

TradeDateTime <TradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.25.3.3 PreviousTradeDateTime <PrvsTradDtTm>

Presence: [0..1]

Definition: Previous date and time at which the price was applied.

PreviousTradeDateTime <PrvsTradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.25.3.4 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class to which the cash flow is related.

Impacted by: [C13 "FinancialInstrumentIdentificationGuideline"](#)

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument9" on page 1441 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1442
	Name <Nm>	[0..1]	Text		1443
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1443
	RequestedNAVCurrency <ReqdNAVCCy>	[0..1]	CodeSet	C3	1443
	ClassType <ClsTp>	[0..1]	Text		1444
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1444
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1444
	DualFundIndicator <DualFndInd>	[1..1]	Indicator		1444

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

66.1.25.3.5 EstimatedTotalNAV <EstmtdTtlNAV>

Presence: [0..*]

Definition: Estimated total value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.25.3.6 PreviousTotalNAV <PrvsTtlNAV>

Presence: [0..*]

Definition: Previous value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.25.3.7 EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>

Presence: [0..1]

Definition: Estimated total number of investment fund class units that have been issued.

EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.25.3.8 PreviousTotalUnitsNumber <PrvsTtlUnitsNb>

Presence: [0..1]

Definition: Previous value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

PreviousTotalUnitsNumber <PrvsTtlUnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.25.3.9 EstimatedTotalNAVChangeRate <EstmtdTtlNAVChngRate>

Presence: [0..1]

Definition: Rate of change of the net asset value.

Datatype: "[PercentageRate](#)" on page 2681

66.1.25.3.10 InvestmentCurrency <InvstmtCcy>

Presence: [0..*]

Definition: Currency of the investment fund class.

Impacted by: C3 "[ActiveOrHistoricCurrency](#)"

Datatype: "[ActiveOrHistoricCurrencyCode](#)" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.25.3.11 CurrencyStatus <CcySts>

Presence: [0..1]

Definition: Information about the designation of the share class currency, that is, whether it is for onshore or offshore purposes and other information that may be required. This is typically only required for CNY funds.

CurrencyStatus <CcySts> contains the following elements (see "[CurrencyDesignation1](#)" on page 1810 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CurrencyDesignation <CcyDsgnt>	[0..1]	CodeSet		1810
	Location <Lctn>	[0..1]	CodeSet	C11	1811
	AdditionalInformation <AddtlInf>	[0..1]	Text		1811

66.1.25.3.12 ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>

Presence: [1..1]

Definition: Indicates whether the estimated net cash flow is exceptional.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.25.3.13 Price <Pric>

Presence: [0..1]

Definition: Price per unit of the previous trade date.

Price <Pric> contains the following elements (see "[UnitPrice19](#)" on page 2240 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceType <PricTp>	[1..1]			2240
{Or	Code <Cd>	[1..1]	CodeSet		2240
Or}	Proprietary <Prtry>	[1..1]	±		2241
	Value <Val>	[1..1]	±		2241

66.1.25.3.14 ForeignExchangeRate <FXRate>

Presence: [0..1]

Definition: Foreign exchange rate.

ForeignExchangeRate <FXRate> contains the following elements (see "[ForeignExchangeTerms19](#)" on page 1487 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1488
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1488
	ExchangeRate <XchgRate>	[1..1]	Rate		1488

66.1.25.3.15 EstimatedPercentageOfShareClassTotalNAV <EstmtdPctgOfShrClssttNAV>

Presence: [0..1]

Definition: Estimated net cash flow expressed as a percentage of the previous total NAV for the share class.

Datatype: "[PercentageRate](#)" on page 2681

66.1.25.3.16 BreakdownByParty <BrkdwnByPty>

Presence: [0..*]

Definition: Estimated cash flow by party.

BreakdownByParty <BrkdwnByPty> contains the following elements (see "BreakdownByParty3" on page 1853 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]		C1, C2	1854
	AccountIdentification <AcctId>	[0..1]	±		1855
	OwnerIdentification <OwnrId>	[0..1]	±		1855
	AccountServicer <AcctSvcr>	[0..1]	±		1855
	AdditionalParameters <AddtlParams>	[0..1]	±		1856
	CashInForecast <CshInFcst>	[0..*]		C5	1856
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1857
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1857
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1857
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1857
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1858
	AdditionalBalance <AddtlBal>	[0..1]	±		1858
	CashOutForecast <CshOutFcst>	[0..*]		C6	1858
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1859
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1859
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1860
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1860
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1860
	AdditionalBalance <AddtlBal>	[0..1]	±		1861
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1861

66.1.25.3.17 BreakdownByCountry <BrkdwnByCtry>

Presence: [0..*]

Definition: Estimated cash flow by country.

BreakdownByCountry <BrkdwnByCtry> contains the following elements (see "BreakdownByCountry2" on page 1846 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1847
	CashInForecast <CshInFcst>	[0..*]		C5	1847
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1848
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1848
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1849
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1849
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1849
	AdditionalBalance <AddtlBal>	[0..1]	±		1849
	CashOutForecast <CshOutFcst>	[0..*]		C6	1850
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1851
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1851
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1851
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1851
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1851
	AdditionalBalance <AddtlBal>	[0..1]	±		1852
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1852

66.1.25.3.18 BreakdownByCurrency <BrkdwnByCcy>

Presence: [0..*]

Definition: Estimated cash flow by currency.

BreakdownByCurrency <BrkdwnByCcy> contains the following elements (see "BreakdownByCurrency2" on page 1839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Currency <Ccy>	[1..1]	CodeSet	C3	1840
	CashOutForecast <CshOutFcst>	[0..*]		C6	1840
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1841
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1841
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1842
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1842
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1842
	AdditionalBalance <AddtlBal>	[0..1]	±		1842
	CashInForecast <CshInFcst>	[0..*]		C5	1843
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1844
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1844
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1844
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1844
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1844
	AdditionalBalance <AddtlBal>	[0..1]	±		1845
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1845

66.1.25.3.19 BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam>

Presence: [0..*]

Definition: Estimated cash flow by a user defined parameter/s.

Impacted by: C17 "UserDefinedRule"

BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam> contains the following elements (see "BreakdownByUserDefinedParameter3" on page 1831 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[0..1]		C1, C2	1832
	AccountIdentification <AcctId>	[0..1]	±		1832
	OwnerIdentification <OwnrId>	[0..1]	±		1832
	AccountServicer <AcctSvcr>	[0..1]	±		1833
	Country <Ctry>	[0..1]	CodeSet	C11	1833
	Currency <Ccy>	[0..1]	CodeSet	C3	1833
	UserDefined <UsrDfnd>	[0..1]	±		1834
	CashInForecast <CshInFcst>	[0..*]		C5	1834
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1835
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1835
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1835
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1835
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1835
	AdditionalBalance <AddtlBal>	[0..1]	±		1836
	CashOutForecast <CshOutFcst>	[0..*]		C6	1836
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1837
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1837
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1838
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1838
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1838
	AdditionalBalance <AddtlBal>	[0..1]	±		1838
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1839

Constraints

- **UserDefinedRule**

At least one message element in the list (Party, Country, Currency, UserDefined) must be present.
More than one element in the list (Party, Country, Currency, UserDefined) may be present.

66.1.25.3.20 EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtls>

Presence: [0..*]

Definition: Estimated net cash movements per financial instrument.

Impacted by: C7 "AdditionalBalanceRule"

EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtls> contains the following elements (see "NetCashForecast4" on page 1312 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1313
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1313
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1313
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1314
	AdditionalBalance <AddtlBal>	[0..1]	±		1314

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

66.1.25.4 FundCashForecast7

Definition: Cash movements from or to a fund as a result of investment funds transactions, eg, subscriptions or redemptions.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1656
	TradeDateTime <TradDtTm>	[1..1]	±		1656
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1657
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C13	1657
	TotalNAV <TtlNAV>	[0..*]	Amount	C3, C12	1658
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C3, C12	1658
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1658
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1659
	TotalNAVChangeRate <TtlNAVChngRate>	[0..1]	Rate		1659
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C3	1659
	CurrencyStatus <CcySts>	[0..1]	±		1659
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		1660
	Price <Pric>	[0..1]	±		1660
	ForeignExchangeRate <FXRate>	[0..1]	±		1660
	PercentageOfShareClassTotalNAV <PctgOfShrClsTtlNAV>	[0..1]	Rate		1660
	CashInForecastDetails <CshInFcstDtls>	[0..*]	±	C3	1661
	CashOutForecastDetails <CshOutFcstDtls>	[0..*]	±	C4	1661
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]	±	C7	1662

Constraints

- **ExceptionalCashFlowIndicatorRule**

If Exceptional NetCashFlow Indicator is "true" or "1" (Yes), then CashInForecastDetails/ ExceptionalCashFlowIndicator and CashOutForecastDetails/ ExceptionalCashFlowIndicator must be present.

66.1.25.4.1 Identification <Id>

Presence: [1..1]

Definition: Unique technical identifier for an instance of a fund cash forecast within a fund cash forecast report as assigned by the issuer of the report.

Datatype: "Max35Text" on page 2683

66.1.25.4.2 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and, if required, the time, at which the price has been applied.

TradeDateTime <TradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.25.4.3 PreviousTradeDateTime <PrvsTradDtTm>

Presence: [0..1]

Definition: Previous date and time at which the price was applied.

PreviousTradeDateTime <PrvsTradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.25.4.4 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class to which a cash flow is related.

Impacted by: [C13 "FinancialInstrumentIdentificationGuideline"](#)

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "[FinancialInstrument9](#)" on page 1441 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1442
	Name <Nm>	[0..1]	Text		1443
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1443
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1443
	ClassType <ClsTp>	[0..1]	Text		1444
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1444
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1444
	DualFundIndicator <DualFndInd>	[1..1]	Indicator		1444

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

66.1.25.4.5 TotalNAV <TtlNAV>

Presence: [0..*]

Definition: Total value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.25.4.6 PreviousTotalNAV <PrvsTtlNAV>

Presence: [0..*]

Definition: Previous value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.25.4.7 TotalUnitsNumber <TtlUnitsNb>

Presence: [0..1]

Definition: Total number of investment fund class units that have been issued.

TotalUnitsNumber <TtlUnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.25.4.8 PreviousTotalUnitsNumber <PrvsTtlUnitsNb>

Presence: [0..1]

Definition: Previous total number of investment fund class units that have been issued.

PreviousTotalUnitsNumber <PrvsTtlUnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.25.4.9 TotalNAVChangeRate <TtlNAVChngRate>

Presence: [0..1]

Definition: Rate of change of the net asset value.

Datatype: "[PercentageRate](#)" on page 2681

66.1.25.4.10 InvestmentCurrency <InvstmtCcy>

Presence: [0..*]

Definition: Currency of the investment fund class.

Impacted by: C3 "[ActiveOrHistoricCurrency](#)"

Datatype: "[ActiveOrHistoricCurrencyCode](#)" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.25.4.11 CurrencyStatus <CcySts>

Presence: [0..1]

Definition: Information about the designation of the share class currency, that is, whether it is for onshore or offshore purposes and other information that may be required. This is typically only required for CNY funds.

CurrencyStatus <CcySts> contains the following elements (see "[CurrencyDesignation1](#)" on page 1810 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CurrencyDesignation <CcyDsgnt>	[0..1]	CodeSet		1810
	Location <Lctn>	[0..1]	CodeSet	C11	1811
	AdditionalInformation <AddtlInf>	[0..1]	Text		1811

66.1.25.4.12 ExceptionalNetCashFlowIndicator <XcptnINetCshFlowInd>

Presence: [1..1]

Definition: Indicates whether the net cash flow is exceptional.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.25.4.13 Price <Pric>

Presence: [0..1]

Definition: Price per unit of the trade date.

Price <Pric> contains the following elements (see "[UnitPrice19](#)" on page 2240 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceType <PricTp>	[1..1]			2240
{Or	Code <Cd>	[1..1]	CodeSet		2240
Or}	Proprietary <Prtry>	[1..1]	±		2241
	Value <Val>	[1..1]	±		2241

66.1.25.4.14 ForeignExchangeRate <FXRate>

Presence: [0..1]

Definition: Foreign exchange rate.

ForeignExchangeRate <FXRate> contains the following elements (see "[ForeignExchangeTerms19](#)" on page 1487 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1488
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1488
	ExchangeRate <XchgRate>	[1..1]	Rate		1488

66.1.25.4.15 PercentageOfShareClassTotalNAV <PctgOfShrClsTtlnAV>

Presence: [0..1]

Definition: Net cash flow expressed as a percentage of the total NAV for the share class.

Datatype: "PercentageRate" on page 2681

66.1.25.4.16 CashInForecastDetails <CshInFcstDtIs>

Presence: [0..*]

Definition: Cash movements into the fund as a result of transactions in shares in an investment fund, for example, subscriptions or switch-ins.

Impacted by: C3 "AdditionalBalanceRule"

CashInForecastDetails <CshInFcstDtIs> contains the following elements (see "CashInForecast6" on page 1290 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1290
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1291
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1291
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1291
	AdditionalBalance <AddtlBal>	[0..1]	±		1291

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

66.1.25.4.17 CashOutForecastDetails <CshOutFcstDtIs>

Presence: [0..*]

Definition: Cash movements out of the fund as a result of transactions in shares in an investment fund, for example, redemptions or switch-outs.

Impacted by: C4 "AdditionalBalanceRule"

CashOutForecastDetails <CshOutFcstDtls> contains the following elements (see "CashOutForecast6" on page 1314 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1315
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1315
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1315
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1315
	AdditionalBalance <AddtlBal>	[0..1]	±		1316

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

66.1.25.4.18 NetCashForecastDetails <NetCshFcstDtls>

Presence: [0..*]

Definition: Net cash as a result of the cash-in and cash-out flows.

Impacted by: C7 "AdditionalBalanceRule"

NetCashForecastDetails <NetCshFcstDtls> contains the following elements (see "NetCashForecast4" on page 1312 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1313
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1313
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1313
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1314
	AdditionalBalance <AddtlBal>	[0..1]	±		1314

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition
 /AdditionalBalance is present
 Following Must be True
 /AdditionalBalance/TotalUnitsFromUnitOrders Must be present
 Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present
 Or /AdditionalBalance/TotalCashFromUnitOrders Must be present
 Or /AdditionalBalance/TotalCashFromCashOrders Must be present

66.1.25.5 EstimatedFundCashForecast6

Definition: Cash movements from or to a fund as a result of investment funds transactions, eg, subscriptions or redemptions.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1664
	TradeDateTime <TradDtTm>	[1..1]	±		1664
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1664
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C13	1664
	EstimatedTotalNAV <EstmtdTtlNAV>	[0..*]	Amount	C3, C12	1665
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C3, C12	1665
	EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>	[0..1]	±		1666
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1666
	EstimatedTotalNAVChangeRate <EstmtdTtlNAVChngRate>	[0..1]	Rate		1666
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C3	1666
	CurrencyStatus <CcySts>	[0..1]	±		1667
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		1667
	Price <Pric>	[0..1]	±		1667
	ForeignExchangeRate <FXRate>	[0..1]	±		1667
	EstimatedPercentageOfShareClassTotalNAV <EstmtdPctgOfShrClssTtlNAV>	[0..1]	Rate		1668
	EstimatedCashInForecastDetails <EstmtdCshInFcstDtls>	[0..*]	±	C3	1668
	EstimatedCashOutForecastDetails <EstmtdCshOutFcstDtls>	[0..*]	±	C4	1669
	EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtls>	[0..*]	±	C7	1669

Constraints

- **ExceptionalCashFlowIndicatorRule**

If Exceptional NetCashFlowIndicator is "true" or "1" (Yes), then either EstimatedCashInForecastDetails/ExceptionalCashFlowIndicator or

EstimatedCashOutForecastDetails/ExceptionalCashFlowIndicator must be present. Both may be present.

66.1.25.5.1 Identification <Id>

Presence: [1..1]

Definition: Unique technical identifier for an instance of a fund cash forecast within a fund cash forecast report as assigned by the issuer of the report.

Datatype: "Max35Text" on page 2683

66.1.25.5.2 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and, if required, the time, at which the price will be applied.

TradeDateTime <TradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.25.5.3 PreviousTradeDateTime <PrvsTradDtTm>

Presence: [0..1]

Definition: Previous date and time at which the price was applied.

PreviousTradeDateTime <PrvsTradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.25.5.4 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class to which the cash flow is related.

Impacted by: [C13 "FinancialInstrumentIdentificationGuideline"](#)

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument9" on page 1441 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1442
	Name <Nm>	[0..1]	Text		1443
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1443
	RequestedNAVCurrency <ReqdNAVCCy>	[0..1]	CodeSet	C3	1443
	ClassType <ClsTp>	[0..1]	Text		1444
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1444
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1444
	DualFundIndicator <DualFndInd>	[1..1]	Indicator		1444

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

66.1.25.5.5 EstimatedTotalNAV <EstmtdTtlNAV>

Presence: [0..*]

Definition: Estimated total value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.25.5.6 PreviousTotalNAV <PrvsTtlNAV>

Presence: [0..*]

Definition: Previous value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.25.5.7 EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>

Presence: [0..1]

Definition: Estimated total number of investment fund class units that have been issued.

EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb> contains the following elements (see ["FinancialInstrumentQuantity1"](#) on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.25.5.8 PreviousTotalUnitsNumber <PrvsTtlUnitsNb>

Presence: [0..1]

Definition: Previous value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

PreviousTotalUnitsNumber <PrvsTtlUnitsNb> contains the following elements (see ["FinancialInstrumentQuantity1"](#) on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.25.5.9 EstimatedTotalNAVChangeRate <EstmtdTtlNAVChngRate>

Presence: [0..1]

Definition: Rate of change of the net asset value.

Datatype: ["PercentageRate"](#) on page 2681

66.1.25.5.10 InvestmentCurrency <InvstmtCcy>

Presence: [0..*]

Definition: Currency of the investment fund class.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#)

Datatype: ["ActiveOrHistoricCurrencyCode"](#) on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.25.5.11 CurrencyStatus <CcySts>

Presence: [0..1]

Definition: Information about the designation of the share class currency, that is, whether it is for onshore or offshore purposes and other information that may be required. This is typically only required for CNY funds.

CurrencyStatus <CcySts> contains the following elements (see "[CurrencyDesignation1](#)" on page 1810 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CurrencyDesignation <CcyDsgnt>	[0..1]	CodeSet		1810
	Location <Lctn>	[0..1]	CodeSet	C11	1811
	AdditionalInformation <AddtlInf>	[0..1]	Text		1811

66.1.25.5.12 ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>

Presence: [1..1]

Definition: Indicates whether the estimated net cash flow is exceptional.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.25.5.13 Price <Pric>

Presence: [0..1]

Definition: Price per unit of the previous trade date.

Price <Pric> contains the following elements (see "[UnitPrice19](#)" on page 2240 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceType <PricTp>	[1..1]			2240
{Or	Code <Cd>	[1..1]	CodeSet		2240
Or}	Proprietary <Prtry>	[1..1]	±		2241
	Value <Val>	[1..1]	±		2241

66.1.25.5.14 ForeignExchangeRate <FXRate>

Presence: [0..1]

Definition: Foreign exchange rate.

ForeignExchangeRate <FXRate> contains the following elements (see "[ForeignExchangeTerms19](#)" on page 1487 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1488
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1488
	ExchangeRate <XchgRate>	[1..1]	Rate		1488

66.1.25.5.15 EstimatedPercentageOfShareClassTotalNAV <EstmtdPctgOfShrClsTtlNAV>

Presence: [0..1]

Definition: Estimated net cash flow expressed as a percentage of the previous total NAV for the share class.

Datatype: "[PercentageRate](#)" on page 2681

66.1.25.5.16 EstimatedCashInForecastDetails <EstmtdCshInFcstDtls>

Presence: [0..*]

Definition: Estimated cash movements into the fund as a result of transactions in shares in an investment fund, for example, subscriptions or switch-ins.

Impacted by: [C3 "AdditionalBalanceRule"](#)

EstimatedCashInForecastDetails <EstmtdCshInFcstDtls> contains the following elements (see "[CashInForecast6](#)" on page 1290 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1290
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1291
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1291
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1291
	AdditionalBalance <AddtlBal>	[0..1]	±		1291

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present
 Or /AdditionalBalance/TotalCashFromCashOrders Must be present

66.1.25.5.17 EstimatedCashOutForecastDetails <EstmtdCshOutFcstDtls>

Presence: [0..*]

Definition: Estimated cash movements out of the fund as a result of transactions in shares in an investment fund, for example, redemptions or switch-outs.

Impacted by: C4 "AdditionalBalanceRule"

EstimatedCashOutForecastDetails <EstmtdCshOutFcstDtls> contains the following elements (see "CashOutForecast6" on page 1314 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1315
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1315
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1315
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1315
	AdditionalBalance <AddtlBal>	[0..1]	±		1316

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

66.1.25.5.18 EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtls>

Presence: [0..*]

Definition: Net cash as a result of the cash-in and cash-out flows.

Impacted by: C7 "AdditionalBalanceRule"

EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtls> contains the following elements (see "NetCashForecast4" on page 1312 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1313
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1313
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1313
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1314
	AdditionalBalance <AddtlBal>	[0..1]	±		1314

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

66.1.25.6 Fund1

Definition: Information about an investment fund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1672
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1672
	Identification <Id>	[0..1]			1672
	Identification <Id>	[1..1]	Text		1672
	Type <Tp>	[1..1]	±		1672
	Currency <Ccy>	[0..1]	CodeSet	C3	1673
	TradeDateTime <TradDtTm>	[0..1]	±		1673
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1673
	EstimatedTotalNAV <EstmtdTtlNAV>	[0..1]	Amount	C3, C12	1674
	PreviousTotalNAV <PrvsTtlNAV>	[0..1]	Amount	C3, C12	1674
	EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>	[0..1]	±		1674
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1675
	EstimatedPercentageOfFundTotalNAV <EstmtdPctgOfFndTtlNAV>	[0..1]	Rate		1675
	EstimatedCashInForecastDetails <EstmtdCshInFcstDtIs>	[0..*]	±		1675
	EstimatedCashOutForecastDetails <EstmtdCshOutFcstDtIs>	[0..*]	±		1675
	EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtIs>	[0..*]			1675
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1676
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1676
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1676
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1676

Constraints

• FundIdentification1Rule

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Identification is absent
Following Must be True
  /Name Must be present
Or    /LegalEntityIdentifier Must be present
```

• FundIdentification2Rule

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Name is absent
```

Following Must be True
 /Identification Must be present
 Or /LegalEntityIdentifier Must be absent

- **FundIdentification3Rule**

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

On Condition
 /LegalEntityIdentifier is absent
 Following Must be True
 /Identification Must be present
 Or /Name Must be present

66.1.25.6.1 Name <Nm>

Presence: [0..1]

Definition: Name of the fund/sub fund.

Datatype: "Max350Text" on page 2683

66.1.25.6.2 LegalEntityIdentifier <LglnTtyldr>

Presence: [0..1]

Definition: Identification of the fund/sub fund with a Legal Entity Identifier. This is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Datatype: "LEIIdentifier" on page 2676

66.1.25.6.3 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the fund/sub fund, assigned under a formal or proprietary identification scheme.

Identification <Id> contains the following **OtherIdentification4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1672
	Type <Tp>	[1..1]	±		1672

66.1.25.6.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the fund/sub fund.

Datatype: "Max35Text" on page 2683

66.1.25.6.3.2 Type <Tp>

Presence: [1..1]

Definition: Identification source.

Type <Tp> contains one of the following elements (see ["IdentificationSource5Choice"](#) on page 1492 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C11	1492
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1492

66.1.25.6.4 Currency <Ccy>

Presence: [0..1]

Definition: Currency of the fund/sub fund.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#)

Datatype: ["ActiveOrHistoricCurrencyCode"](#) on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.25.6.5 TradeDateTime <TradDtTm>

Presence: [0..1]

Definition: Date and, if required, the time, at which the price will be applied.

TradeDateTime <TradDtTm> contains one of the following elements (see ["DateAndDateTimeChoice"](#) on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.25.6.6 PreviousTradeDateTime <PrvsTradDtTm>

Presence: [0..1]

Definition: Previous date and time at which a price was applied.

PreviousTradeDateTime <PrvsTradDtTm> contains one of the following elements (see ["DateAndDateTimeChoice"](#) on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.25.6.7 EstimatedTotalNAV <EstmtdTtlNAV>*Presence:* [0..1]*Definition:* Estimated total value of all the holdings, less the fund's liabilities, of the fund/sub fund.*Impacted by:* C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"*Datatype:* "ActiveOrHistoricCurrencyAndAmount" on page 2546**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.25.6.8 PreviousTotalNAV <PrvsTtlNAV>*Presence:* [0..1]*Definition:* Previous total value of all the holdings, less the fund's liabilities, of the fund/sub fund.*Impacted by:* C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"*Datatype:* "ActiveOrHistoricCurrencyAndAmount" on page 2546**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.25.6.9 EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>*Presence:* [0..1]*Definition:* Estimated total number of units of the fund/sub fund.

EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.25.6.10 PreviousTotalUnitsNumber <PrvsTtlUnitsNb>*Presence:* [0..1]*Definition:* Previous total number of units of the fund/sub fund.**PreviousTotalUnitsNumber <PrvsTtlUnitsNb>** contains the following elements (see ["FinancialInstrumentQuantity1"](#) on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.25.6.11 EstimatedPercentageOfFundTotalNAV <EstmtdPctgOfFndTtlNAV>*Presence:* [0..1]*Definition:* Estimated consolidated net cash flow expressed as a percentage of the previous total NAV for the fund/sub fund.*Datatype:* ["PercentageRate"](#) on page 2681**66.1.25.6.12 EstimatedCashInForecastDetails <EstmtdCshInFcstDtls>***Presence:* [0..*]*Definition:* Estimated cash movement into the fund/sub fund.**EstimatedCashInForecastDetails <EstmtdCshInFcstDtls>** contains the following elements (see ["CashInOutForecast7"](#) on page 1644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1644
	Amount <Amt>	[1..1]	Amount	C3, C12	1644

66.1.25.6.13 EstimatedCashOutForecastDetails <EstmtdCshOutFcstDtls>*Presence:* [0..*]*Definition:* Estimated cash movement out of the fund/sub fund.**EstimatedCashOutForecastDetails <EstmtdCshOutFcstDtls>** contains the following elements (see ["CashInOutForecast7"](#) on page 1644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1644
	Amount <Amt>	[1..1]	Amount	C3, C12	1644

66.1.25.6.14 EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtls>*Presence:* [0..*]*Definition:* Net cash as a result of the cash-in and cash-out flows.

EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtls> contains the following **NetCashForecast5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1676
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1676
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1676
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1676

66.1.25.6.14.1 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2668

66.1.25.6.14.2 NetAmount <NetAmt>

Presence: [0..1]

Definition: Net amount of the cash flow, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.25.6.14.3 NetUnitsNumber <NetUnitsNb>

Presence: [0..1]

Definition: Net amount, expressed as a number of units.

NetUnitsNumber <NetUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.25.6.14.4 FlowDirection <FlowDrctn>

Presence: [1..1]

Definition: Specifies the direction of the cash flow from the perspective of the fund.

Datatype: "FlowDirectionType1Code" on page 2580

CodeName	Name	Definition
INCG	Incoming	Amount of money received.
OUTG	Outgoing	Amount of money paid out.

66.1.25.7 Fund4

Definition: Information about an investment fund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1678
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1678
	Identification <Id>	[0..1]			1678
	Identification <Id>	[1..1]	Text		1678
	Type <Tp>	[1..1]	±		1678
	Currency <Ccy>	[0..1]	CodeSet	C3	1679
	TotalNAV <TtlNAV>	[0..1]	Amount	C3, C12	1679
	PreviousTotalNAV <PrvsTtlNAV>	[0..1]	Amount	C3, C12	1679
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1680
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1680
	PercentageOfFundTotalNAV <PctgOfFndTtlNAV>	[0..1]	Rate		1680

Constraints

- **FundIdentification1Rule**

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Identification is absent
Following Must be True
  /Name Must be present
Or    /LegalEntityIdentifier Must be present
```

- **FundIdentification2Rule**

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Name is absent
Following Must be True
  /Identification Must be present
Or    /LegalEntityIdentifier Must be absent
```

- **FundIdentification3Rule**

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /LegalEntityIdentifier is absent
Following Must be True
  /Identification Must be present
Or
  /Name Must be present
```

66.1.25.7.1 Name <Nm>

Presence: [0..1]

Definition: Name of the fund/sub fund.

Datatype: "Max350Text" on page 2683

66.1.25.7.2 LegalEntityIdentifier <LglnTtyldr>

Presence: [0..1]

Definition: Identification of the fund/sub fund with a Legal Entity Identifier. This is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Datatype: "LEIIdentifier" on page 2676

66.1.25.7.3 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the fund/sub fund, assigned under a formal or proprietary identification scheme.

Identification <Id> contains the following **OtherIdentification4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1678
	Type <Tp>	[1..1]	±		1678

66.1.25.7.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the fund/sub fund.

Datatype: "Max35Text" on page 2683

66.1.25.7.3.2 Type <Tp>

Presence: [1..1]

Definition: Identification source.

Type <Tp> contains one of the following elements (see ["IdentificationSource5Choice"](#) on page 1492 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C11	1492
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1492

66.1.25.7.4 Currency <Ccy>

Presence: [0..1]

Definition: Currency of the fund/sub fund.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#)

Datatype: ["ActiveOrHistoricCurrencyCode"](#) on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.25.7.5 TotalNAV <TtlNAV>

Presence: [0..1]

Definition: Total value of all the holdings, less the fund's liabilities, of the fund/sub fund.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#), [C12 "CurrencyAmount"](#)

Datatype: ["ActiveOrHistoricCurrencyAndAmount"](#) on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.25.7.6 PreviousTotalNAV <PrvsTtlNAV>

Presence: [0..1]

Definition: Previous total value of all the holdings, less the fund's liabilities, of the fund/sub fund.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#), [C12 "CurrencyAmount"](#)

Datatype: ["ActiveOrHistoricCurrencyAndAmount"](#) on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.25.7.7 TotalUnitsNumber <TtlUnitsNb>

Presence: [0..1]

Definition: Total number of units of the fund/sub fund.

TotalUnitsNumber <TtlUnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.25.7.8 PreviousTotalUnitsNumber <PrvsTtlUnitsNb>

Presence: [0..1]

Definition: Previous total number of units of the fund/sub fund.

PreviousTotalUnitsNumber <PrvsTtlUnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.25.7.9 PercentageOfFundTotalNAV <PctgOfFndTtlNAV>

Presence: [0..1]

Definition: Consolidated net cash flow expressed as a percentage of the total NAV for the fund/sub fund.

Datatype: "[PercentageRate](#)" on page 2681

66.1.25.8 Fund3

Definition: Information about an investment fund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1681
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1682
	Identification <Id>	[0..1]			1682
	Identification </Id>	[1..1]	Text		1682
	Type <Tp>	[1..1]	±		1682
	Currency <Ccy>	[0..1]	CodeSet	C3	1682
	EstimatedTotalNAV <EstmtdTtlNAV>	[0..1]	Amount	C3, C12	1683
	PreviousTotalNAV <PrvsTtlNAV>	[0..1]	Amount	C3, C12	1683
	EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>	[0..1]	±		1683
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1684
	EstimatedPercentageOfFundTotalNAV <EstmtdPctgOfFndTtlNAV>	[0..1]	Rate		1684

Constraints

- **FundIdentification1Rule**

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Identification is absent
Following Must be True
  /Name Must be present
Or    /LegalEntityIdentifier Must be present
```

- **FundIdentification2Rule**

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Name is absent
Following Must be True
  /Identification Must be present
Or    /LegalEntityIdentifier Must be absent
```

- **FundIdentification3Rule**

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /LegalEntityIdentifier is absent
Following Must be True
  /Identification Must be present
Or    /Name Must be present
```

66.1.25.8.1 Name <Nm>

Presence: [0..1]

Definition: Name of the fund/sub fund.

Datatype: "Max350Text" on page 2683

66.1.25.8.2 LegalEntityIdentifier <LgInttyldr>

Presence: [0..1]

Definition: Identification of the fund/sub fund with a Legal Entity Identifier. This is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Datatype: "LEIIdentifier" on page 2676

66.1.25.8.3 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the fund/sub fund, assigned under a formal or proprietary identification scheme.

Identification <Id> contains the following **OtherIdentification4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1682
	Type <Tp>	[1..1]	±		1682

66.1.25.8.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the fund/sub fund.

Datatype: "Max35Text" on page 2683

66.1.25.8.3.2 Type <Tp>

Presence: [1..1]

Definition: Identification source.

Type <Tp> contains one of the following elements (see "IdentificationSource5Choice" on page 1492 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C11	1492
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1492

66.1.25.8.4 Currency <Ccy>

Presence: [0..1]

Definition: Currency of the fund/sub fund.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.25.8.5 EstimatedTotalNAV <EstmtdTtlNAV>

Presence: [0..1]

Definition: Estimated total value of all the holdings, less the fund's liabilities, of the fund/sub fund.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.25.8.6 PreviousTotalNAV <PrvsTtlNAV>

Presence: [0..1]

Definition: Previous total value of all the holdings, less the fund's liabilities, of the fund/sub fund.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.25.8.7 EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>

Presence: [0..1]

Definition: Estimated total number of units of the fund/sub fund.

EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.25.8.8 PreviousTotalUnitsNumber <PrvsTtlUnitsNb>

Presence: [0..1]

Definition: Previous total number of units of the fund/sub fund.

PreviousTotalUnitsNumber <PrvsTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.25.8.9 EstimatedPercentageOfFundTotalNAV <EstmtdPctgOfFndTtlNAV>

Presence: [0..1]

Definition: Estimated consolidated net cash flow expressed as a percentage of the previous total NAV for the fund/sub fund.

Datatype: "PercentageRate" on page 2681

66.1.26 InvestmentFundOrder

66.1.26.1 Equalisation2

Definition: Part of an investor's subscription amount that is held by the fund in order to pay incentive / performances fess at the end of a performance period.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C2, C10	1684
	Rate <Rate>	[0..1]	Rate		1685
	CreditDebitIndicator <CdtDbtInd>	[0..1]	CodeSet		1685

66.1.26.1.1 Amount <Amt>

Presence: [0..1]

Definition: Amount of money resulting from the calculation of the equalisation.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.26.1.2 Rate <Rate>

Presence: [0..1]

Definition: Rate used for calculation of the equalisation.

Datatype: "PercentageRate" on page 2681

66.1.26.1.3 CreditDebitIndicator <CdtDbtInd>

Presence: [0..1]

Definition: Specifies if the amount is a debit (negative) or credit (positive) amount.

Datatype: "CreditDebitCode" on page 2568

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

66.1.26.2 ProcessingCharacteristics8

Definition: Processing characteristics linked to a subscription to an investment fund or alternative/hedge fund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DealingCurrencyAccepted <DealgCcyAccptd>	[0..*]	CodeSet	C2	1687
	InitialInvestmentApplication <InitlInvstmtAppl>	[0..1]			1687
	ApplicationForm <ApplForm>	[1..1]	Indicator		1687
	SignatureType <SgntrTp>	[1..1]	CodeSet		1687
	SubsequentInvestmentApplication <SbsqntInvstmtAppl>	[0..1]			1688
	ApplicationForm <ApplForm>	[1..1]	Indicator		1688
	SignatureType <SgntrTp>	[1..1]	CodeSet		1688
	AmountIndicator <AmtInd>	[0..1]	Indicator		1688
	UnitsIndicator <UnitsInd>	[0..1]	Indicator		1689
	Rounding <Rndg>	[0..1]	CodeSet		1689
	MainFundOrderDeskLocation <MainFndOrdrDskLctn>	[0..1]	±		1689
	DealingFrequency <DealgFrqcy>	[0..1]	CodeSet		1689
	DealingFrequencyDescription <DealgFrqcyDesc>	[0..1]	Text		1690
	DealingCutOffTime <DealgCutOffTm>	[0..1]	Time		1690
	DealingCutOffTimeFrame <DealgCutOffTmFrame>	[0..1]	±	C22, C29	1690
	DealConfirmationTime <DealConfTm>	[0..1]	Time		1691
	DealConfirmationTimeFrame <DealConfTmFrame>	[0..1]	±	C23, C30	1691
	LimitedPeriod <LtdPrd>	[0..1]	Text		1692
	SettlementCycle <SttlmCycl>	[0..1]			1692
{Or	TradePlus <TPlus>	[1..1]	Quantity		1692
Or}	Prepayment <Prepmt>	[1..1]	Indicator		1692
	AdditionalInformation <AddtlInf>	[0..*]	±		1692

Constraints

- **DealingCutOffTimeFrameRule**

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

On Condition

/DealingCutOffTimeFrame is present

Following Must be True

/DealingCutOffTimeFrame/OtherTimeFrameDescription Must be present

Or /DealingCutOffTimeFrame/TradeMinus Must be present
 Or /DealingCutOffTimeFrame/ReferToOrderDesk Must be present

66.1.26.2.1 DealingCurrencyAccepted <DealgCcyAccptd>

Presence: [0..*]

Definition: Currency in which a subscription is accepted.

Impacted by: [C2 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyCode" on page 2552](#)

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.26.2.2 InitialInvestmentApplication <InitlInvstmtAppl>

Presence: [0..1]

Definition: Specifies whether an application form is required for the initial investment.

InitialInvestmentApplication <InitlInvstmtAppl> contains the following **Forms1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ApplicationForm <ApplForm>	[1..1]	Indicator		1687
	SignatureType <SgnrTp>	[1..1]	CodeSet		1687

66.1.26.2.2.1 ApplicationForm <ApplForm>

Presence: [1..1]

Definition: Indicates whether a physical application form is required.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 2680](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.2.2.2 SignatureType <SgnrTp>

Presence: [1..1]

Definition: Type of signature.

Datatype: ["SignatureType1Code" on page 2642](#)

CodeName	Name	Definition
ORIG	Original	The investor's written signature is required. Can also be known as physical or wet signature.
DIGI	Digital	A technical signature that includes algorithms and private key and public

CodeName	Name	Definition
		key information. Used to sign and verify the contents of a message.
ELEC	Electronic	A copy of a physical or original signature in an electronic format.
NONE	None	There is no signature required in any form.

66.1.26.2.3 SubsequentInvestmentApplication <SbsqntInvstmtAppl>

Presence: [0..1]

Definition: Specifies whether an application form is required for the subsequent investments.

SubsequentInvestmentApplication <SbsqntInvstmtAppl> contains the following **Forms1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ApplicationForm <ApplForm>	[1..1]	Indicator		1688
	SignatureType <SgntrTp>	[1..1]	CodeSet		1688

66.1.26.2.3.1 ApplicationForm <ApplForm>

Presence: [1..1]

Definition: Indicates whether a physical application form is required.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.2.3.2 SignatureType <SgntrTp>

Presence: [1..1]

Definition: Type of signature.

Datatype: "SignatureType1Code" on page 2642

CodeName	Name	Definition
ORIG	Original	The investor's written signature is required. Can also be known as physical or wet signature.
DIGI	Digital	A technical signature that includes algorithms and private key and public key information. Used to sign and verify the contents of a message.
ELEC	Electronic	A copy of a physical or original signature in an electronic format.
NONE	None	There is no signature required in any form.

66.1.26.2.4 AmountIndicator <AmtInd>

Presence: [0..1]

Definition: Indicates whether a subscription can be instructed by amount.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.2.5 UnitsIndicator <UnitsInd>

Presence: [0..1]

Definition: Indicates whether a subscription can be instructed as a number of units.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.2.6 Rounding <Rndg>

Presence: [0..1]

Definition: Indicates the rounding direction applied to nearest unit.

Datatype: ["RoundingDirection2Code"](#) on page 2635

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

66.1.26.2.7 MainFundOrderDeskLocation <MainFndOrdrDskLctn>

Presence: [0..1]

Definition: Location of the main fund order desk.

MainFundOrderDeskLocation <MainFndOrdrDskLctn> contains the following elements (see ["MainFundOrderDeskLocation1"](#) on page 1730 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1730
	TimeZoneOffSet <TmZoneOffSet>	[1..1]			1731
	Sign <Sgn>	[1..1]	Indicator		1731
	NumberOfHours <NbOfHrs>	[1..1]	Time		1731

66.1.26.2.8 DealingFrequency <DealgFrqcy>

Presence: [0..1]

Definition: Frequency at which the subscriptions are done.

Datatype: ["EventFrequency5Code"](#) on page 2576

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
MNTH	Monthly	Event takes place every month or once a month.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
CLOS	Closed	Event cannot take place, because, for example, the fund is closed.
TOMN	EveryTwoMonths	Event takes place every two months.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
TWMN	TwiceAMonth	Event takes place two times a month.

66.1.26.2.9 DealingFrequencyDescription <DealgFrqcyDesc>

Presence: [0..1]

Definition: Description of frequency at which the subscription is done.

Datatype: "Max350Text" on page 2683

66.1.26.2.10 DealingCutOffTime <DealgCutOffTm>

Presence: [0..1]

Definition: Latest time at which an order to subscribe can be given.

Datatype: "ISOTime" on page 2685

66.1.26.2.11 DealingCutOffTimeFrame <DealgCutOffTmFrame>

Presence: [0..1]

Definition: Dealing cut-off timeframe.

Impacted by: C22 "NonWorkingDayAdjustmentRule", C29 "TradeMinusRule"

DealingCutOffTimeFrame <DealgCutOffTmFrame> contains the following elements (see "TimeFrame4" on page 1383 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherTimeFrameDescription <OthrTmFrameDesc>	[0..1]	Text		1384
	TradeMinus <TMns>	[0..1]	Quantity		1384
	NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>	[0..1]	CodeSet		1384
	ReferToOrderDesk <RefrToOrdrDsk>	[0..1]	CodeSet		1385

Constraints

- **NonWorkingDayAdjustmentRule**

If NonWorkingDayAdjustment is present then TradeMinus must also be present.

On Condition
 /NonWorkingDayAdjustment is present
 Following Must be True
 /TradeMinus Must be present

- **TradeMinusRule**

If TradeMinus is present then NonWorkingDayAdjustment must also be present.

On Condition
 /TradeMinus is present
 Following Must be True
 /NonWorkingDayAdjustment Must be present

66.1.26.2.12 DealConfirmationTime <DealConfTm>

Presence: [0..1]

Definition: Time at which the deal confirmation is issued.

Datatype: "ISOTime" on page 2685

66.1.26.2.13 DealConfirmationTimeFrame <DealConfTmFrame>

Presence: [0..1]

Definition: Time frame within which the deal confirmation is issued.

Impacted by: C23 "NonWorkingDayAdjustmentRule", C30 "TradePlusRule"

DealConfirmationTimeFrame <DealConfTmFrame> contains the following elements (see "TimeFrame7" on page 1380 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherTimeFrameDescription <OthrTmFrameDesc>	[0..1]	Text		1380
	TradePlus <TPlus>	[0..1]	Quantity		1380
	NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>	[0..1]	CodeSet		1380
	ReferToOrderDesk <RefrToOrdrDsk>	[0..1]	CodeSet		1381

Constraints

- **NonWorkingDayAdjustmentRule**

If NonWorkingDayAdjustment is present then TradePlus must also be present.

On Condition
 /NonWorkingDayAdjustment is present
 Following Must be True
 /TradePlus Must be present

- **TradePlusRule**

If TradePlus is present then NonWorkingDayAdjustment must also be present.

On Condition
 /TradePlus is present

Following Must be True
/NonWorkingDayAdjustment Must be present

66.1.26.2.14 LimitedPeriod <LtdPrd>

Presence: [0..1]

Definition: Specific period, for example, for some guaranteed funds, during which the units/shares may be subscribed.

Datatype: "Max350Text" on page 2683

66.1.26.2.15 SettlementCycle <SttlmCycl>

Presence: [0..1]

Definition: Settlement timing.

SettlementCycle <SttlmCycl> contains one of the following **TimeFrame7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TradePlus <TPlus>	[1..1]	Quantity		1692
Or}	Prepayment <Prepmt>	[1..1]	Indicator		1692

66.1.26.2.15.1 TradePlus <TPlus>

Presence: [1..1]

Definition: Number of days after the trade date (T) for the standard settlement timeframe.

Datatype: "Number" on page 2680

66.1.26.2.15.2 Prepayment <Prepmt>

Presence: [1..1]

Definition: Indicates whether pre-payment is necessary.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.2.16 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the subscription processing characteristics.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.26.3 InvestmentNeed2Choice

Definition: Choice of formats for an investment need.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1693
Or}	Proprietary <Prtry>	[1..1]	±		1693

66.1.26.3.1 Code <Cd>

Presence: [1..1]

Definition: Investment need expressed as a code.

Datatype: "InvestmentNeed2Code" on page 2604

CodeName	Name	Definition
NSPE	None	No specific need.
OTHR	Other	Other specific need.
ISLB	IslamicBanking	Investment need is for Islamic banking.

66.1.26.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Investment need expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.26.4 Crystallisation2

Definition: Number of crystallised units.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TrancheIdentification <TrchId>	[1..1]	Text		1694
	CrystallisedUnitsNumber <CrstllsdUnitsNb>	[0..1]	Quantity		1694
	UncrystallisedUnitsNumber <UcrstllsdUnitsNb>	[0..1]	Quantity		1694
	CrystallisedAmount <CrstllsdAmt>	[0..1]	Amount	C2	1694
	UncrystallisedAmount <UcrstllsdAmt>	[0..1]	Amount	C2	1694
	AdditionalInformation <AddtlInf>	[0..*]	±		1694

66.1.26.4.1 TrancheIdentification <Trchld>

Presence: [1..1]

Definition: Identification of the tranche.

Datatype: "Max35Text" on page 2683

66.1.26.4.2 CrystallisedUnitsNumber <CrstllsdUnitsNb>

Presence: [0..1]

Definition: Number of units crystallised.

Datatype: "DecimalNumber" on page 2680

66.1.26.4.3 UncrystallisedUnitsNumber <UcrstllsdUnitsNb>

Presence: [0..1]

Definition: Number of units uncrystallised.

Datatype: "DecimalNumber" on page 2680

66.1.26.4.4 CrystallisedAmount <CrstllsdAmt>

Presence: [0..1]

Definition: Sum representing the crystallised amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.26.4.5 UncrystallisedAmount <UcrstllsdAmt>

Presence: [0..1]

Definition: Sum representing the uncrystallised amount.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.26.4.6 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the crystallisation.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.26.5 Conversion1

Definition: Identification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TargetSecurity <TrgtScty>	[1..1]		C16	1695
	Identification <Id>	[1..1]	±		1695
	Name <Nm>	[0..1]	Text		1696
	ShortName <ShrtNm>	[0..1]	Text		1696
	AdditionalInformation <AddtlInf>	[0..*]	±		1696

66.1.26.5.1 TargetSecurity <TrgtScty>

Presence: [1..1]

Definition: Identification of the target security.

Impacted by: C16 "IdentificationGuideline"

TargetSecurity <TrgtScty> contains the following **FinancialInstrumentIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1695
	Name <Nm>	[0..1]	Text		1696
	ShortName <ShrtNm>	[0..1]	Text		1696

Constraints

- **IdentificationGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

66.1.26.5.1.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of a security, assigned under a formal or proprietary identification scheme.

Identification <Id> contains one of the following elements (see "SecurityIdentification25Choice" on page 1448 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1449
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1449
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1449
Or	RIC <RIC>	[1..1]	IdentifierSet		1450
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1450
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1450
Or	CTA <CTA>	[1..1]	IdentifierSet		1450
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1450
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1450
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1451
Or	Valoren <Vlrr>	[1..1]	IdentifierSet		1451
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1451
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1451
Or	Common <Cmon>	[1..1]	IdentifierSet		1451
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1451
	Identification <Id>	[1..1]	Text		1451
	IdentificationSource <IdSrc>	[1..1]	±		1452

66.1.26.5.1.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "Max350Text" on page 2683

66.1.26.5.1.3 ShortName <ShrtNm>

Presence: [0..1]

Definition: Financial Instrument Short Name (FISN) expressed in conformance with the ISO 18774 standard.

Datatype: "Max35Text" on page 2683

66.1.26.5.2 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the conversion.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.26.6 PensionTransferScope1Choice

Definition: Choice of formats for the scope of a pension transfer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697

66.1.26.6.1 Code <Cd>

Presence: [1..1]

Definition: Type of pension policy, plan or scheme transfer scope expressed as a code.

Datatype: "[PensionTransferScope1Code](#)" on page 2618

CodeName	Name	Definition
CRYS	CrystallisedPart	Transfer is for the crystallised portion.
SDDT	DrawdownTranche	Transfer is for a specific drawdown tranche.
FULP	FullPension	Transfer is for the full pension.
UCRY	UncrystallisedPart	Transfer is for the uncrystallised portion.

66.1.26.6.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of pension policy, plan or scheme transfer scope expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.26.7 MoneyPurchaseAnnualAllowance1

Definition: Information about a Money Purchase Annual Allowance (MPAA).

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Triggered <Trggrd>	[1..1]	Indicator		1698
	TriggeredDate <TrggrdDt>	[0..1]	Date		1698

66.1.26.7.1 Triggered <Trggrd>

Presence: [1..1]

Definition: Indicates whether the annual allowance has been triggered.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.7.2 TriggeredDate <TrggrdDt>

Presence: [0..1]

Definition: Date the annual allowance is taken.

Datatype: "ISODate" on page 2668

66.1.26.8 PensionOrder1

Definition: Order attached to a pension.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1698
{Or	Code <Cd>	[1..1]	CodeSet		1698
Or}	Proprietary <Prtry>	[1..1]	±		1699
	AdditionalInformation <AddtlInf>	[0..*]	±		1699

66.1.26.8.1 Type <Tp>

Presence: [1..1]

Definition: Order attached to the pension policy, plan or scheme.

Type <Tp> contains one of the following **PensionOrderType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1698
Or}	Proprietary <Prtry>	[1..1]	±		1699

66.1.26.8.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of order attached to the pension policy, plan or scheme expressed as a code.

Datatype: "PensionOrderType1Code" on page 2617

CodeName	Name	Definition
EARM	Earmark	Pension policy, plan or scheme has been earmarked (set aside.)
SHAR	Sharing	Sharing order for the pension policy, plan or scheme has been issued by a court.

66.1.26.8.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of order attached to the pension policy, plan or scheme expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.26.8.2 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the pension order.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.26.9 LumpSumType1Choice

Definition: Choice of formats for a type of lump sum.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1699
Or}	Proprietary <Prtry>	[1..1]	±		1700

66.1.26.9.1 Code <Cd>

Presence: [1..1]

Definition: Type of lump sum expressed as a code.

Datatype: "[LumpSumType1Code](#)" on page 2607

CodeName	Name	Definition
DEAB	DeathBenefit	Lump sum is a death benefit lump sum.
PCLS	PensionCommencement	Lump sum is a pension commencement lump sum.
UFPL	Uncrystallised	Lump sum is an uncrystallised fund pension lump sum.

66.1.26.9.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of lump sum expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.26.10 DrawdownType2Choice

Definition: Choice of formats for a type of drawdown.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1700

66.1.26.10.1 Code <Cd>

Presence: [1..1]

Definition: Type of drawdown expressed as a code.

Datatype: "[DrawdownType2Code](#)" on page 2572

CodeName	Name	Definition
BOTH	Both	Drawdown type is both flexi-access and capped.
CAPP	CappedIncomeDrawdown	Drawdown type is capped.
FLEX	FlexibleAccessDrawdown	Drawdown type is flexi-access.

66.1.26.10.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of drawdown expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.26.11 Drawdown2

Definition: Details of a drawdown tranche.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1703
	TrancheType <TrchTp>	[1..1]	±		1703
	ApplicableRules <ApplblRules>	[0..1]			1703
{Or	Code <Cd>	[1..1]	CodeSet		1703
Or}	Proprietary <Prtry>	[1..1]	±		1704
	InvestorTaxReference <InvstrTaxRef>	[0..1]			1704
	Type <Tp>	[0..1]			1704
{Or	Code <Cd>	[1..1]	CodeSet		1704
Or}	Proprietary <Prtry>	[1..1]	±		1705
	Reference <Ref>	[1..1]	Text		1705
	PercentageOfTotalTransferValue <PctgOfTtlTrfVal>	[0..1]	Rate		1705
	TotalAmountNetDrawdown <TtlAmtNetDrwdwn>	[0..1]	Amount	C2	1705
	AdditionalFundsDesignated <AddtlFndsDsgntd>	[0..1]	Indicator		1705
	PensionCommencementLumpSumRemaining <PnsnCmcmntLumpSumRmng>	[0..1]	Amount	C2	1706
	PensionCommencementLumpSumDate <PnsnCmcmntLumpSumDt>	[0..1]	Date		1706
	MultiplePensionCommencementLumpSums <MltplPnsnCmcmntLumpSums>	[0..1]	Indicator		1706
	LifetimeAllowance <LftmAllwnc>	[0..1]	Rate		1706
	RecipientOfDrawdownIndicator <RcptOfDrwdwnInd>	[0..1]	Indicator		1706
	BeneficiaryDetails <BnfcryDtls>	[0..1]	±		1707
	CappedLimits <CapdLmts>	[0..1]			1707
	StartDate <StartDt>	[0..1]	Date		1707
	IncomeLimitCurrentPeriod <IncmLmtCurPrd>	[0..1]	Amount	C2	1707
	IncomeCurrentPeriod <IncmCurPrd>	[0..1]	Amount	C2	1708
	IncomeLimitNextPeriod <IncmLmtNxtPrd>	[0..1]	Amount	C2	1708
	AdditionalInformation <AddtlInf>	[0..*]	±		1708
	FlexibleDrawdownTriggeredDate <FlxblDrwdwnTrggrdDt>	[0..1]	Date		1709
	AdditionalInformation <AddtlInf>	[0..*]	±		1709

Constraints

- **BeneficiaryDetailsRule**

If RecipientOfDrawdownIndicator is "false" or "0" (No), then BeneficiaryDetails is not allowed.

If RecipientOfDrawdownIndicator is "true" or "1" (Yes), then BeneficiaryDetails may be present.

On Condition

/RecipientOfDrawdownIndicator is equal to value 'false'

Or /RecipientOfDrawdownIndicator is equal to value '0'

Following Must be True

/BeneficiaryDetails Must be absent

66.1.26.11.1 Identification <Id>

Presence: [0..1]

Definition: Identification of the drawdown.

Datatype: "Max140Text" on page 2682

66.1.26.11.2 TrancheType <TrchTp>

Presence: [1..1]

Definition: Type of drawdown tranche.

TrancheType <TrchTp> contains one of the following elements (see "DrawdownType2Choice" on page 1700 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1700

66.1.26.11.3 ApplicableRules <ApblRules>

Presence: [0..1]

Definition: Specifies the rules that are applicable to the drawdown. For example, in the UK market, the pre-A-day rule that was introduced on 6 April 2006.)

ApplicableRules <ApblRules> contains one of the following **ApplicableRules1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1703
Or}	Proprietary <Prtry>	[1..1]	±		1704

66.1.26.11.3.1 Code <Cd>

Presence: [1..1]

Definition: Applicable rules expressed as a code.

Datatype: "ApplicableRules1Code" on page 2554

CodeName	Name	Definition
NPRES	NotPreADay	Pre A Day rules do not apply.
YPRES	PreADay	Pre A Day rules applies.

66.1.26.11.3.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Applicable rules expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.26.11.4 InvestorTaxReference <InvstrTaxRef>*Presence:* [0..1]*Definition:* Identification of the investor as assigned by a tax authority.**InvestorTaxReference <InvstrTaxRef>** contains the following **TaxReference2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			1704
{Or	Code <Cd>	[1..1]	CodeSet		1704
Or}	Proprietary <Prtry>	[1..1]	±		1705
	Reference <Ref>	[1..1]	Text		1705

66.1.26.11.4.1 Type <Tp>*Presence:* [0..1]*Definition:* Type of tax reference.**Type <Tp>** contains one of the following **TaxReferenceType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1704
Or}	Proprietary <Prtry>	[1..1]	±		1705

66.1.26.11.4.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of tax reference expressed as a code.*Datatype:* "[PersonIdentificationType7Code](#)" on page 2618

CodeName	Name	Definition
ATIN	AdoptionTaxpayerIdentificationNumber	Temporary tax processing number (used in the domestic adoption of a child).
GTIN	GenericTaxIdentificationNumber	Generic tax identification number.

CodeName	Name	Definition
ITIN	IndividualTaxpayerIdentificationNumber	Tax processing number.

66.1.26.11.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of tax reference expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.26.11.4.2 Reference <Ref>

Presence: [1..1]

Definition: Pension scheme tax reference issued to the pension plan by a central organisation.

Datatype: "[Max35Text](#)" on page 2683

66.1.26.11.5 PercentageOfTotalTransferValue <PctgOfTtlTrfVal>

Presence: [0..1]

Definition: Percentage of the total transfer value covered by the drawdown.

Datatype: "[PercentageRate](#)" on page 2681

66.1.26.11.6 TotalAmountNetDrawdown <TtlAmtNetDrwdwn>

Presence: [0..1]

Definition: Amount that was originally designated for drawdown.

Impacted by: [C2 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 2545

Constraints

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.26.11.7 AdditionalFundsDesignated <AddtlFndsDsgntd>

Presence: [0..1]

Definition: Indicates whether any additional funds have been designated since the original arrangement.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.11.8 PensionCommencementLumpSumRemaining <PnsnCmcmntLumpSumRmng>

Presence: [0..1]

Definition: Amount of the pension commencement lump sum (PCLS) remaining.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.26.11.9 PensionCommencementLumpSumDate <PnsnCmcmntLumpSumDt>

Presence: [0..1]

Definition: Date by which the pension commencement lump sum (PCLS) must be used.

Datatype: "ISODate" on page 2668

66.1.26.11.10 MultiplePensionCommencementLumpSums <MltplPnsnCmcmntLumpSums>

Presence: [0..1]

Definition: Indicates there have been multiple pension commencement lump sum (PCLS) payments.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.11.11 LifetimeAllowance <LftmAllwnc>

Presence: [0..1]

Definition: Percentage of the lifetime allowance (LTA) used.

Datatype: "PercentageRate" on page 2681

66.1.26.11.12 RecipientOfDrawdownIndicator <RcptOfDrwdwnInd>

Presence: [0..1]

Definition: Indicates a person other than the original pension holder is the receiver of the drawdown payment.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.11.13 BeneficiaryDetails <BnfcryDtls>*Presence:* [0..1]*Definition:* Information about the recipient of the drawdown, when not the original pension holder.**BeneficiaryDetails <BnfcryDtls>** contains the following elements (see "**BeneficiaryDrawdown1**" on page 1738 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BeneficiaryType <BnfcryTp>	[0..1]			1738
{Or	Code <Cd>	[1..1]	CodeSet		1738
Or}	Proprietary <Prtry>	[1..1]	±		1739
	DeathUnderLimit <DthUdrLmt>	[0..1]	Indicator		1739
	AdditionalInformation <AddtlInf>	[0..*]	±		1739

66.1.26.11.14 CappedLimits <CapdLmts>*Presence:* [0..1]*Definition:* Limits of the capped drawdown.**CappedLimits <CapdLmts>** contains the following **Capped1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[0..1]	Date		1707
	IncomeLimitCurrentPeriod <IncmLmtCurPrd>	[0..1]	Amount	C2	1707
	IncomeCurrentPeriod <IncmCurPrd>	[0..1]	Amount	C2	1708
	IncomeLimitNextPeriod <IncmLmtNxtPrd>	[0..1]	Amount	C2	1708
	AdditionalInformation <AddtlInf>	[0..*]	±		1708

66.1.26.11.14.1 StartDate <StartDt>*Presence:* [0..1]*Definition:* Start date of current reference period*Datatype:* "ISODate" on page 2668**66.1.26.11.14.2 IncomeLimitCurrentPeriod <IncmLmtCurPrd>***Presence:* [0..1]*Definition:* Income limit for the current period.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.26.11.14.3 IncomeCurrentPeriod <IncmCurPrd>

Presence: [0..1]

Definition: Income taken in the current income year.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.26.11.14.4 IncomeLimitNextPeriod <IncmLmtNxtPrd>

Presence: [0..1]

Definition: Income limit for the next income year.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.26.11.14.5 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the cap.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.26.11.15 FlexibleDrawdownTriggeredDate <FlxblDrwDwnTrggrDdt>*Presence:* [0..1]*Definition:* Date on which the drawdown was triggered when the drawdown type is flexible.*Datatype:* "ISODate" on page 2668**66.1.26.11.16 AdditionalInformation <AddtlInf>***Presence:* [0..*]*Definition:* Additional information about the drawdown.**AdditionalInformation <AddtlInf>** contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.26.12 BenefitCrystallisationEvent2*Definition:* Information about the realisation of benefits taken from a pension.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventTypeName <EvtTpNb>	[0..1]	Text		1709
	EventTypeName <EvtTpNm>	[0..1]	Text		1709
	EventDate <EvtDt>	[0..1]	Date		1710
	CrystallisationAmount <CrstllstnAmt>	[0..1]	Amount	C2	1710
	PercentageOfAllowance <PctgOfAllwnc>	[0..1]	Rate		1710
	LifetimeAllowanceProtection <LftmAllwncPrctn>	[0..1]	Indicator		1710
	AdditionalInformation <AddtlInf>	[0..*]	±		1710

66.1.26.12.1 EventTypeNumber <EvtTpNb>*Presence:* [0..1]*Definition:* Number of the crystallisation event. In the UK market this could be, for example, BCE5, BE5A or BCE5B.*Datatype:* "Max35Text" on page 2683**66.1.26.12.2 EventTypeName <EvtTpNm>***Presence:* [0..1]*Definition:* Name of the crystallisation event. In the UK market this could be, for example, 'entitlement to scheme pension'.*Datatype:* "Max35Text" on page 2683

66.1.26.12.3 EventDate <EvtDt>*Presence:* [0..1]*Definition:* Date on which the crystallisation event was triggered.*Datatype:* "ISODate" on page 2668**66.1.26.12.4 CrystallisationAmount <CrstllstnAmt>***Presence:* [0..1]*Definition:* Amount of the crystallisation event.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 2545**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.26.12.5 PercentageOfAllowance <PctgOfAllwnc>*Presence:* [0..1]*Definition:* Percentage of allowance used for the benefit crystallisation event.*Datatype:* "PercentageRate" on page 2681**66.1.26.12.6 LifetimeAllowanceProtection <LftmAllwncPrtcn>***Presence:* [0..1]*Definition:* Indicates whether lifetime allowance protection impacts the benefit crystallisation event.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.12.7 AdditionalInformation <AddtlInf>*Presence:* [0..*]*Definition:* Additional information about the benefit crystallisation event.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.26.13 ModificationScope41

Definition: Scope of the modification to be applied on an identified set of information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1711
	InvestmentPlan <InvstmtPlan>	[1..1]		C6	1712
	Frequency <Frqcy>	[1..1]	±		1713
	StartDate <StartDt>	[0..1]	Date		1713
	EndDate <EndDt>	[0..1]	Date		1713
	Quantity <Qty>	[1..1]	±		1713
	GrossAmountIndicator <GrssAmtInd>	[0..1]	Indicator		1713
	IncomePreference <IncmPref>	[0..1]	CodeSet		1714
	InitialAmount <InitlAmt>	[0..1]	±		1714
	TotalNumberOfInstalments <TtlNbOfInstlmts>	[0..1]	Quantity		1714
	RoundingDirection <RndgDrctn>	[0..1]	CodeSet		1714
	SecurityDetails <SctyDtls>	[1..50]			1715
	Quantity <Qty>	[1..1]	±		1715
	FinancialInstrument <FinInstrm>	[1..1]	±	C20	1715
	CurrencyOfPlan <CcyOfPlan>	[0..1]	CodeSet	C3	1716
	ModifiedCashSettlement <ModfdCshSttlm>	[0..8]	±		1717
	ContractReference <CtrctRef>	[0..1]	Text		1718
	RelatedContractReference <RltdCtrctRef>	[0..1]	Text		1718
	ProductIdentification <PdctId>	[0..1]	Text		1718
	SLAChargeAndCommissionReference <SLAChrgAndComssnRef>	[0..1]	Text		1718
	InsuranceCover <InsrncCover>	[0..1]	±		1718
	PlanStatus <PlanSts>	[0..1]	±		1718
	InstalmentManagerRole <InstlmtMgrRole>	[0..1]	±		1719

66.1.26.13.1 ModificationScopeIndication <ModScplIndctn>

Presence: [1..1]

Definition: Type of modification to be applied.

Datatype: "DataModification1Code" on page 2570

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.

CodeName	Name	Definition
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

66.1.26.13.2 InvestmentPlan <InvstmtPlan>

Presence: [1..1]

Definition: Plan that allows investors to schedule periodical investments or divestments, according to pre-defined criteria.

Impacted by: C6 "AmountRule"

InvestmentPlan <InvstmtPlan> contains the following **InvestmentPlan16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Frequency <Frqcy>	[1..1]	±		1713
	StartDate <StartDt>	[0..1]	Date		1713
	EndDate <EndDt>	[0..1]	Date		1713
	Quantity <Qty>	[1..1]	±		1713
	GrossAmountIndicator <GrssAmtInd>	[0..1]	Indicator		1713
	IncomePreference <IncmPref>	[0..1]	CodeSet		1714
	InitialAmount <InitlAmt>	[0..1]	±		1714
	TotalNumberOfInstalments <TtlNbOfInstlmts>	[0..1]	Quantity		1714
	RoundingDirection <RndgDrctn>	[0..1]	CodeSet		1714
	SecurityDetails <SctyDtls>	[1..50]			1715
	Quantity <Qty>	[1..1]	±		1715
	FinancialInstrument <FinInstrm>	[1..1]	±	C20	1715
	CurrencyOfPlan <CcyOfPlan>	[0..1]	CodeSet	C3	1716
	ModifiedCashSettlement <ModfdCshSttlm>	[0..8]	±		1717
	ContractReference <CtrctRef>	[0..1]	Text		1718
	RelatedContractReference <RltdCtrctRef>	[0..1]	Text		1718
	ProductIdentification <PdctId>	[0..1]	Text		1718
	SLAChargeAndCommissionReference <SLAChrgAndComssnRef>	[0..1]	Text		1718
	InsuranceCover <InsrncCover>	[0..1]	±		1718
	PlanStatus <PlanSts>	[0..1]	±		1718
	InstalmentManagerRole <InstlmtMgrRole>	[0..1]	±		1719

Constraints

- **AmountRule**

If Quantity/Amount is not present, then GrossAmountIndicator is not allowed.

On Condition

/Quantity/Amount is absent

Following Must be True

/GrossAmountIndicator Must be absent

66.1.26.13.2.1 Frequency <Frqcy>

Presence: [1..1]

Definition: Frequency of the investment or divestment.

Frequency <Frqcy> contains one of the following elements (see "[Frequency20Choice](#)" on page 1489 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1489
Or}	Proprietary <Prtry>	[1..1]	±		1489

66.1.26.13.2.2 StartDate <StartDt>

Presence: [0..1]

Definition: Date the investment plan starts.

Datatype: "[ISODate](#)" on page 2668

66.1.26.13.2.3 EndDate <EndDt>

Presence: [0..1]

Definition: Date the investment plan stops.

Datatype: "[ISODate](#)" on page 2668

66.1.26.13.2.4 Quantity <Qty>

Presence: [1..1]

Definition: Amount of the periodical payments.

Quantity <Qty> contains one of the following elements (see "[UnitsOrAmount1Choice](#)" on page 1464 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	1464
Or}	Unit <Unit>	[1..1]	Quantity		1464

66.1.26.13.2.5 GrossAmountIndicator <GrssAmtInd>

Presence: [0..1]

Definition: Indicates whether an ordered amount is a gross amount (including transaction overhead). If it is not a gross amount, the ordered amount is a net amount (amount to be invested or redeemed from the fund to which other elements will be added).

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.13.2.6 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: ["IncomePreference2Code"](#) on page 2586

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
SECU	Securities	Distribution in securities.

66.1.26.13.2.7 InitialAmount <InitIAmt>

Presence: [0..1]

Definition: Initial amount or number of initial instalments.

InitialAmount <InitIAmt> contains one of the following elements (see ["InitialAmount1Choice"](#) on page 1233 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	InitialNumberOfInstalments <InitINbOfInstlmts>	[1..1]	Quantity		1233
Or}	Amount <Amt>	[1..1]	Amount	C2, C10	1233

66.1.26.13.2.8 TotalNumberOfInstalments <TtINbOfInstlmts>

Presence: [0..1]

Definition: Total number of times the amount must be invested at the predefined frequency as of the start date of the investment plan.

Datatype: ["Number"](#) on page 2680

66.1.26.13.2.9 RoundingDirection <RndgDrctn>

Presence: [0..1]

Definition: Indicates the rounding direction when an amount is to be spread over several funds.

Datatype: ["RoundingDirection1Code"](#) on page 2635

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.
STAN	RoundToNearest	Round up or down to the nearest whole number.
DIST	IssueFraction	Do not round.

66.1.26.13.2.10 SecurityDetails <SctyDtls>*Presence:* [1..50]*Definition:* Security that an investment plan invests in, or from which the investment plan divests.**SecurityDetails <SctyDtls>** contains the following **Repartition6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1715
	FinancialInstrument <FinInstrm>	[1..1]	±	C20	1715
	CurrencyOfPlan <CcyOfPlan>	[0..1]	CodeSet	C3	1716

66.1.26.13.2.10.1 Quantity <Qty>*Presence:* [1..1]*Definition:* Amount, units or percentage of financial instrument invested or withdrawn.**Quantity <Qty>** contains one of the following elements (see "[UnitsOrAmountOrPercentage1Choice](#)" on page 2277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	2277
Or	Unit <Unit>	[1..1]	Quantity		2277
Or}	Percentage <Pctg>	[1..1]	Rate		2277

66.1.26.13.2.10.2 FinancialInstrument <FinInstrm>*Presence:* [1..1]*Definition:* Detailed information about the security or investment fund.*Impacted by:* [C20 "IdentificationGuideline"](#)

FinancialInstrument <FinInstrm> contains the following elements (see "FinancialInstrument87" on page 1422 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1423
	Name <Nm>	[0..1]	Text		1424
	ShortName <ShrtNm>	[0..1]	Text		1424
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1424
	ClassType <ClsTp>	[0..1]	Text		1425
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1425
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1425
	ProductGroup <PdctGrp>	[0..1]	Text		1425
	BlockedHoldingDetails <BlckdHldgDtls>	[0..1]		C18, C26, C27, C28	1425
	BlockedHolding <BlckdHldg>	[1..1]	CodeSet		1426
	PartialHoldingUnits <PrtlHldgUnits>	[0..1]	Quantity		1426
	HoldingCertificateNumber <HldgCertNb>	[0..1]	Text		1427
	Pledging <Pldgg>	[0..1]	CodeSet		1427
	Collateral <Coll>	[0..1]	CodeSet		1427
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		1427
	FundOwnership <FndOwnrsh>	[0..1]	CodeSet		1428
	FundIntention <FndIntntn>	[0..1]	CodeSet		1428
	OperationalStatus <OprlSts>	[0..1]	CodeSet		1428

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

66.1.26.13.2.10.3 CurrencyOfPlan <CcyOfPlan>

Presence: [0..1]

Definition: When a fund has multiple currencies within same ISIN, this indicates the currency of the savings or withdrawal plan.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.26.13.2.11 ModifiedCashSettlement <ModfdCshSttlm>

Presence: [0..8]

Definition: Cash settlement standing instruction associated to the investment plan and to be either inserted or deleted.

ModifiedCashSettlement <ModfdCshSttlm> contains the following elements (see "[CashSettlement4](#)" on page 2315 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		2316
	CashAccountDetails <CshAcctDtls>	[0..*]			2316
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	2317
	Identification <Id>	[1..1]	±		2318
	AccountOwner <AcctOwnr>	[0..1]	±		2318
	AccountServicer <AcctSvcr>	[0..1]	±		2318
	AccountServicerBranch <AcctSvcrBrnch>	[0..1]	±		2319
	AccountOwnerOtherIdentification <AcctOwnrOthrlId>	[0..*]	±		2319
	InvestmentAccountType <InvstmtAcctTp>	[0..1]	±		2319
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2320
	SettlementInstructionReason <SttlmInstrRsn>	[0..1]			2320
{Or	Code <Cd>	[1..1]	CodeSet		2320
Or}	Proprietary <Prtry>	[1..1]	±		2321
	CashAccountPurpose <CshAcctPurp>	[0..1]			2321
{Or	Code <Cd>	[1..1]	CodeSet		2321
Or}	Proprietary <Prtry>	[1..1]	±		2322
	CashAccountDesignation <CshAcctDsgnt>	[0..1]			2322
{Or	Code <Cd>	[1..1]	CodeSet		2322
Or}	Proprietary <Prtry>	[1..1]	±		2322
	DividendPercentage <DvddPctg>	[0..1]	Rate		2323
	OtherCashSettlementDetails <OthrCshSttlmDtls>	[0..*]	±		2323

66.1.26.13.2.12 ContractReference <CtrctRef>*Presence:* [0..1]*Definition:* Reference of the underlying investment contract. In some markets, such as Italy, this might be required to segregate holdings between the same investment account.*Datatype:* "Max35Text" on page 2683**66.1.26.13.2.13 RelatedContractReference <RltdCtrctRef>***Presence:* [0..1]*Definition:* Reference of the previous contract to which this savings or withdrawal plan is related.*Datatype:* "Max35Text" on page 2683**66.1.26.13.2.14 ProductIdentification <PdctId>***Presence:* [0..1]*Definition:* Identification of the product as designated by the fund manager. In some markets, such as Italy, the financial product or service related to a savings plan or withdrawal plan are identified by a product identification or number.*Datatype:* "Max35Text" on page 2683**66.1.26.13.2.15 SLAChargeAndCommissionReference <SLAChrgAndComssnRef>***Presence:* [0..1]*Definition:* Reference of the underlying service level agreement (SLA) governing fees.*Datatype:* "Max35Text" on page 2683**66.1.26.13.2.16 InsuranceCover <InsrncCover>***Presence:* [0..1]*Definition:* Specifies the type of insurance contract to which the savings investment plan is linked.**InsuranceCover <InsrncCover>** contains one of the following elements (see "[InsuranceType2Choice](#)" on page 1816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1816
Or}	Proprietary <Prtry>	[1..1]	±		1816

66.1.26.13.2.17 PlanStatus <PlanSts>*Presence:* [0..1]*Definition:* Status of the savings or withdrawal investment plan.**PlanStatus <PlanSts>** contains one of the following elements (see "[PlanStatus2Choice](#)" on page 2406 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2406
Or}	Proprietary <Prtry>	[1..1]	±		2406

66.1.26.13.2.18 InstalmentManagerRole <InstlmtMgrRole>

Presence: [0..1]

Definition: Role or function of the instalment manager.

InstalmentManagerRole <InstlmtMgrRole> contains one of the following elements (see "PartyRole4Choice" on page 2035 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2036
Or}	Proprietary <Prtry>	[1..1]	±		2037

66.1.26.14 InvestmentPlan17

Definition: Plan that allows investors to schedule periodical investments or divestments, according to pre-defined criteria.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Frequency <Frqcy>	[1..1]	±		1720
	StartDate <StartDt>	[0..1]	Date		1721
	EndDate <EndDt>	[0..1]	Date		1721
	Quantity <Qty>	[1..1]	±		1721
	GrossAmountIndicator <GrssAmtInd>	[0..1]	Indicator		1721
	IncomePreference <IncmPref>	[0..1]	CodeSet		1721
	InitialAmount <InitlAmt>	[0..1]	±		1722
	TotalNumberOfInstalments <TtlNbOfInstlmts>	[0..1]	Quantity		1722
	RoundingDirection <RndgDrctn>	[0..1]	CodeSet		1722
	SecurityDetails <SctyDtls>	[1..50]			1722
	Quantity <Qty>	[1..1]	±		1723
	FinancialInstrument <FinInstrm>	[1..1]	±	C20	1723
	CurrencyOfPlan <CcyOfPlan>	[0..1]	CodeSet	C3	1724
	CashSettlement <CshSttlm>	[0..8]	±		1725
	ContractReference <CtrctRef>	[0..1]	Text		1725
	RelatedContractReference <RltdCtrctRef>	[0..1]	Text		1726
	ProductIdentification <PdctId>	[0..1]	Text		1726
	SLAChargeAndCommissionReference <SLAChrgAndComssnRef>	[0..1]	Text		1726
	InsuranceCover <InsrncCover>	[0..1]	±		1726
	PlanStatus <PlanSts>	[0..1]	±		1726
	InstalmentManagerRole <InstlmtMgrRole>	[0..1]	±		1727

Constraints

- **AmountRule**

If Quantity/Amount is not present, then GrossAmountIndicator is not allowed.

On Condition

/Quantity/Amount is absent

Following Must be True

/GrossAmountIndicator Must be absent

66.1.26.14.1 Frequency <Frqcy>

Presence: [1..1]

Definition: Frequency of the investment or divestment.

Frequency <Frqcy> contains one of the following elements (see "Frequency20Choice" on page 1489 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1489
Or}	Proprietary <Prtry>	[1..1]	±		1489

66.1.26.14.2 StartDate <StartDt>

Presence: [0..1]

Definition: Date the investment plan starts.

Datatype: "ISODate" on page 2668

66.1.26.14.3 EndDate <EndDt>

Presence: [0..1]

Definition: Date the investment plan stops.

Datatype: "ISODate" on page 2668

66.1.26.14.4 Quantity <Qty>

Presence: [1..1]

Definition: Amount of the periodical payments.

Quantity <Qty> contains one of the following elements (see "UnitsOrAmount1Choice" on page 1464 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	1464
Or}	Unit <Unit>	[1..1]	Quantity		1464

66.1.26.14.5 GrossAmountIndicator <GrssAmtInd>

Presence: [0..1]

Definition: Indicates whether an ordered amount is a gross amount (including transaction overhead). If it is not a gross amount, the ordered amount is a net amount (amount to be invested or redeemed from the fund to which other elements will be added).

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.14.6 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference2Code" on page 2586

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
SECU	Securities	Distribution in securities.

66.1.26.14.7 InitialAmount <InitlAmt>

Presence: [0..1]

Definition: Initial amount or number of initial instalments.

InitialAmount <InitlAmt> contains one of the following elements (see "[InitialAmount1Choice](#)" on page 1233 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or}	InitialNumberOfInstalments <InitlNbOfInstlmts>	[1..1]	Quantity		1233
Or}	Amount <Amt>	[1..1]	Amount	C2, C10	1233

66.1.26.14.8 TotalNumberOfInstalments <TtlNbOfInstlmts>

Presence: [0..1]

Definition: Total number of times the amount must be invested at the predefined frequency as of the start date of the investment plan.

Datatype: "[Number](#)" on page 2680

66.1.26.14.9 RoundingDirection <RndgDrctn>

Presence: [0..1]

Definition: Indicates the rounding direction when an amount is to be spread over several funds.

Datatype: "[RoundingDirection1Code](#)" on page 2635

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.
STAN	RoundToNearest	Round up or down to the nearest whole number.
DIST	IssueFraction	Do not round.

66.1.26.14.10 SecurityDetails <SctyDtls>

Presence: [1..50]

Definition: Security that an investment plan invests in, or from which the investment plan divests.

SecurityDetails <SctyDtls> contains the following **Repartition6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1723
	FinancialInstrument <FinInstrm>	[1..1]	±	C20	1723
	CurrencyOfPlan <CcyOfPlan>	[0..1]	CodeSet	C3	1724

66.1.26.14.10.1 Quantity <Qty>

Presence: [1..1]

Definition: Amount, units or percentage of financial instrument invested or withdrawn.

Quantity <Qty> contains one of the following elements (see "[UnitsOrAmountOrPercentage1Choice](#)" on page 2277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	2277
Or	Unit <Unit>	[1..1]	Quantity		2277
Or}	Percentage <Pctg>	[1..1]	Rate		2277

66.1.26.14.10.2 FinancialInstrument <FinInstrm>

Presence: [1..1]

Definition: Detailed information about the security or investment fund.

Impacted by: [C20 "IdentificationGuideline"](#)

FinancialInstrument <FinInstrm> contains the following elements (see "FinancialInstrument87" on page 1422 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1423
	Name <Nm>	[0..1]	Text		1424
	ShortName <ShrtNm>	[0..1]	Text		1424
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1424
	ClassType <ClsTp>	[0..1]	Text		1425
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1425
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1425
	ProductGroup <PdctGrp>	[0..1]	Text		1425
	BlockedHoldingDetails <BlckdHldgDtls>	[0..1]		C18, C26, C27, C28	1425
	BlockedHolding <BlckdHldg>	[1..1]	CodeSet		1426
	PartialHoldingUnits <PrtlHldgUnits>	[0..1]	Quantity		1426
	HoldingCertificateNumber <HldgCertNb>	[0..1]	Text		1427
	Pledging <Pldgg>	[0..1]	CodeSet		1427
	Collateral <Coll>	[0..1]	CodeSet		1427
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		1427
	FundOwnership <FndOwnrsh>	[0..1]	CodeSet		1428
	FundIntention <FndIntntn>	[0..1]	CodeSet		1428
	OperationalStatus <OprlSts>	[0..1]	CodeSet		1428

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

66.1.26.14.10.3 CurrencyOfPlan <CcyOfPlan>

Presence: [0..1]

Definition: When a fund has multiple currencies within same ISIN, this indicates the currency of the savings or withdrawal plan.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.26.14.11 CashSettlement <CshSttlm>

Presence: [0..8]

Definition: Cash settlement standing instruction associated to the investment plan.

CashSettlement <CshSttlm> contains the following elements (see "[CashSettlement3](#)" on page 2307 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAccountDetails <CshAcctDtls>	[0..*]			2308
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	2309
	Identification <Id>	[1..1]	±		2309
	AccountOwner <AcctOwnr>	[0..1]	±		2310
	AccountServicer <AcctSvcr>	[0..1]	±		2310
	AccountServicerBranch <AcctSvcrBrnch>	[0..1]	±		2310
	AccountOwnerOtherIdentification <AcctOwnrOthrlid>	[0..*]	±		2311
	InvestmentAccountType <InvstmtAcctTp>	[0..1]	±		2311
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2311
	SettlementInstructionReason <SttlmInstrRsn>	[0..1]			2312
{Or	Code <Cd>	[1..1]	CodeSet		2312
Or}	Proprietary <Prtry>	[1..1]	±		2313
	CashAccountPurpose <CshAcctPurp>	[0..1]			2313
{Or	Code <Cd>	[1..1]	CodeSet		2313
Or}	Proprietary <Prtry>	[1..1]	±		2313
	CashAccountDesignation <CshAcctDsgnt>	[0..1]			2314
{Or	Code <Cd>	[1..1]	CodeSet		2314
Or}	Proprietary <Prtry>	[1..1]	±		2314
	DividendPercentage <DvddPctg>	[0..1]	Rate		2314
	OtherCashSettlementDetails <OthrCshSttlmDtls>	[0..*]	±		2315

66.1.26.14.12 ContractReference <CtrctRef>

Presence: [0..1]

Definition: Reference of the underlying investment contract. In some markets, such as Italy, this might be required to segregate holdings between the same investment account.

Datatype: ["Max35Text" on page 2683](#)

66.1.26.14.13 RelatedContractReference <RltdCtrctRef>

Presence: [0..1]

Definition: Reference of the previous contract to which this savings or withdrawal plan is related.

Datatype: ["Max35Text" on page 2683](#)

66.1.26.14.14 ProductIdentification <PdctId>

Presence: [0..1]

Definition: Identification of the product as designated by the fund manager. In some markets, such as Italy, the financial product or service related to a savings plan or withdrawal plan are identified by a product identification or number.

Datatype: ["Max35Text" on page 2683](#)

66.1.26.14.15 SLAChargeAndCommissionReference <SLAChrgAndComssnRef>

Presence: [0..1]

Definition: Reference of the underlying service level agreement (SLA) governing fees.

Datatype: ["Max35Text" on page 2683](#)

66.1.26.14.16 InsuranceCover <InsrncCover>

Presence: [0..1]

Definition: Specifies the type of insurance contract to which the savings investment plan is linked.

InsuranceCover <InsrncCover> contains one of the following elements (see ["InsuranceType2Choice" on page 1816](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1816
Or}	Proprietary <Prtry>	[1..1]	±		1816

66.1.26.14.17 PlanStatus <PlanSts>

Presence: [0..1]

Definition: Status of the savings or withdrawal investment plan.

PlanStatus <PlanSts> contains one of the following elements (see ["PlanStatus2Choice" on page 2406](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2406
Or}	Proprietary <Prtry>	[1..1]	±		2406

66.1.26.14.18 InstalmentManagerRole <InstlmtMgrRole>*Presence:* [0..1]*Definition:* Role or function of the instalment manager.**InstalmentManagerRole <InstlmtMgrRole>** contains one of the following elements (see "PartyRole4Choice" on page 2035 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2036
Or}	Proprietary <Prtry>	[1..1]	±		2037

66.1.26.15 OtherDistributionStrategy1*Definition:* Other distribution strategy.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DistributionStrategyType <DstrbtnStrtgyTp>	[0..1]	Text		1727
	Target <Trgt>	[0..1]	±		1727
	AdditionalInformation <AddtlInf>	[0..1]	±		1727

66.1.26.15.1 DistributionStrategyType <DstrbtnStrtgyTp>*Presence:* [0..1]*Definition:* Type of distribution strategy.*Datatype:* "Max35Text" on page 2683**66.1.26.15.2 Target <Trgt>***Presence:* [0..1]*Definition:* Choice of formats for the specification of whether the product is aimed at the type of return profile.**Target <Trgt>** contains one of the following elements (see "DistributionStrategy1Choice" on page 1728 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1728
Or}	Proprietary <Prtry>	[1..1]	±		1728

66.1.26.15.3 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Additional information about the target market and the investor's risk tolerance.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.26.16 DistributionStrategy1Choice

Definition: Choice of formats for the specification of a distribution strategy.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1728
Or}	Proprietary <Prtry>	[1..1]	±		1728

66.1.26.16.1 Code <Cd>

Presence: [1..1]

Definition: Distribution strategy expressed as a code.

Datatype: "InvestorType3Code" on page 2605

CodeName	Name	Definition
RETL	Retail	Investor is a retail investor.
PRF2	ProfessionalOrPerSe	Investor is professional or professional per se.
NEI1	NeitherProfessionalOrRetail	Investor is neither professional or retail.
BOT2	BothProfessionalAndRetail	Investor is both professional and retail.

66.1.26.16.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Distribution strategy expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.26.17 OtherInvestmentNeed1

Definition: Other investment need.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClientObjectivesAndNeedsType <ClntObjctvsAndNeedsTp>	[0..1]	Text		1729
	Target <Trgt>	[0..1]			1729
{Or	Code <Cd>	[1..1]	CodeSet		1729
Or}	Proprietary <Prtry>	[1..1]	±		1729
	AdditionalInformation <AddtlInf>	[0..1]	±		1730

66.1.26.17.1 ClientObjectivesAndNeedsType <ClntObjctvsAndNeedsTp>

Presence: [0..1]

Definition: Type of return profile.

Datatype: "Max35Text" on page 2683

66.1.26.17.2 Target <Trgt>

Presence: [0..1]

Definition: Choice of formats for the specification of whether the product is aimed at the type of return profile.

Target <Trgt> contains one of the following **TargetMarket1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1729
Or}	Proprietary <Prtry>	[1..1]	±		1729

66.1.26.17.2.1 Code <Cd>

Presence: [1..1]

Definition: Specifies whether the product is aimed at the target expressed as a code.

Datatype: "TargetMarket1Code" on page 2644

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

66.1.26.17.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Specifies whether the product is aimed at the target expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.26.17.3 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the target market and the Investor's investment requirements.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.26.18 MainFundOrderDeskLocation1

Definition: U-003-2009 Addition and Modification of choice component. S-009-2009 They are not valid business options in the redemption processing context. S-015-2009 Add new data elements to indicate time zone.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1730
	TimeZoneOffSet <TmZoneOffSet>	[1..1]			1731
	Sign <Sgn>	[1..1]	Indicator		1731
	NumberOfHours <NbOfHrs>	[1..1]	Time		1731

66.1.26.18.1 Country <Ctry>

Presence: [1..1]

Definition: Country in which it is authorised to commercialise the fund.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.26.18.2 TimeZoneOffset <TmZoneOffset>

Presence: [1..1]

Definition: Offset of the reporting time before or after 00: 00 hour UTC.

TimeZoneOffset <TmZoneOffset> contains the following **UTCOffset1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Sign <Sgn>	[1..1]	Indicator		1731
	NumberOfHours <NbOfHrs>	[1..1]	Time		1731

66.1.26.18.2.1 Sign <Sgn>

Presence: [1..1]

Definition: Indicates whether the offset is before or after 00: 00 hour UTC.

Datatype: One of the following values must be used (see ["PlusOrMinusIndicator"](#) on page 2680):

- *Meaning When True:* Plus
- *Meaning When False:* Minus

66.1.26.18.2.2 NumberOfHours <NbOfHrs>

Presence: [1..1]

Definition: Offset of the reporting time, in hours, before or after 00: 00 hour UTC.

Datatype: ["ISOTime"](#) on page 2685

66.1.26.19 ProcessingCharacteristics7

Definition: Processing characteristics linked to a redemption from an investment fund or alternative/hedge fund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DealingCurrencyAccepted <DealgCcyAccptd>	[0..*]	CodeSet	C2	1732
	RedemptionAuthorisation <RedAuthstn>	[0..1]			1733
	ApplicationForm <ApplForm>	[1..1]	Indicator		1733
	SignatureType <SgntrTp>	[1..1]	CodeSet		1733
	AmountIndicator <AmtInd>	[0..1]	Indicator		1734
	UnitsIndicator <UnitsInd>	[0..1]	Indicator		1734
	Rounding <Rndg>	[0..1]	CodeSet		1734
	PercentageIndicator <PctgInd>	[0..1]	Indicator		1734
	MainFundOrderDeskLocation <MainFndOrdrDskLctn>	[0..1]	±		1734
	DealingFrequency <DealgFrqcy>	[0..1]	CodeSet		1735
	DealingFrequencyDescription <DealgFrqcyDesc>	[0..1]	Text		1735
	DealingCutOffTime <DealgCutOffTm>	[0..1]	Time		1735
	DealingCutOffTimeFrame <DealgCutOffTmFrame>	[0..1]	±	C22, C29	1736
	DealConfirmationTime <DealConfTm>	[0..1]	Time		1736
	DealConfirmationTimeFrame <DealConfTmFrame>	[0..1]	±	C24, C31	1736
	LimitedPeriod <LtdPrd>	[0..1]	Text		1737
	SettlementCycle <SttlmCycl>	[0..1]			1737
{Or	TradePlus <TPlus>	[1..1]	Quantity		1737
Or}	RenunciationPlus <RPlus>	[1..1]	Quantity		1738
	AdditionalInformation <AddtlInf>	[0..*]	±		1738

Constraints

• DealingCutOffTimeFrameRule

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

On Condition

/DealingCutOffTimeFrame is present

Following Must be True

/DealingCutOffTimeFrame/OtherTimeFrameDescription Must be present

Or /DealingCutOffTimeFrame/TradeMinus Must be present

Or /DealingCutOffTimeFrame/ReferToOrderDesk Must be present

66.1.26.19.1 DealingCurrencyAccepted <DealgCcyAccptd>

Presence: [0..*]

Definition: Currency in which a redemption is accepted.

Impacted by: [C2 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyCode" on page 2552](#)

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.26.19.2 RedemptionAuthorisation <RedAuthstn>

Presence: [0..1]

Definition: Specifies whether an application form is required for a redemption.

RedemptionAuthorisation <RedAuthstn> contains the following **Forms1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ApplicationForm <ApplForm>	[1..1]	Indicator		1733
	SignatureType <SgntrTp>	[1..1]	CodeSet		1733

66.1.26.19.2.1 ApplicationForm <ApplForm>

Presence: [1..1]

Definition: Indicates whether a physical application form is required.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 2680](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.19.2.2 SignatureType <SgntrTp>

Presence: [1..1]

Definition: Type of signature.

Datatype: ["SignatureType1Code" on page 2642](#)

CodeName	Name	Definition
ORIG	Original	The investor's written signature is required. Can also be known as physical or wet signature.
DIGI	Digital	A technical signature that includes algorithms and private key and public key information. Used to sign and verify the contents of a message.
ELEC	Electronic	A copy of a physical or original signature in an electronic format.
NONE	None	There is no signature required in any form.

66.1.26.19.3 AmountIndicator <AmtInd>*Presence:* [0..1]*Definition:* Indicates whether a redemption can be instructed by amount.*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2680](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.19.4 UnitsIndicator <UnitsInd>*Presence:* [0..1]*Definition:* Indicates whether a redemption can be instructed as a number of units.*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2680](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.19.5 Rounding <Rndg>*Presence:* [0..1]*Definition:* Indicates the rounding direction applied to nearest unit.*Datatype:* ["RoundingDirection2Code" on page 2635](#)

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

66.1.26.19.6 PercentageIndicator <PctgInd>*Presence:* [0..1]*Definition:* Indicates whether a redemption can be instructed as a percentage of holding.*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2680](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.19.7 MainFundOrderDeskLocation <MainFndOrdrDskLctn>*Presence:* [0..1]*Definition:* Location of the main fund order desk.

MainFundOrderDeskLocation <MainFndOrdrDskLctn> contains the following elements (see "MainFundOrderDeskLocation1" on page 1730 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1730
	TimeZoneOffSet <TmZoneOffSet>	[1..1]			1731
	Sign <Sgn>	[1..1]	Indicator		1731
	NumberOfHours <NbOfHrs>	[1..1]	Time		1731

66.1.26.19.8 DealingFrequency <DealgFrqcy>

Presence: [0..1]

Definition: Frequency at which the redemptions are done.

Datatype: "EventFrequency5Code" on page 2576

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
MNTH	Monthly	Event takes place every month or once a month.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
CLOS	Closed	Event cannot take place, because, for example, the fund is closed.
TOMN	EveryTwoMonths	Event takes place every two months.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
TWMN	TwiceAMonth	Event takes place two times a month.

66.1.26.19.9 DealingFrequencyDescription <DealgFrqcyDesc>

Presence: [0..1]

Definition: Description of frequency at which the redemption is done.

Datatype: "Max350Text" on page 2683

66.1.26.19.10 DealingCutOffTime <DealgCutOffTm>

Presence: [0..1]

Definition: Latest time at which an order to redeem can be given.

Datatype: "ISOTime" on page 2685

66.1.26.19.11 DealingCutOffTimeFrame <DealgCutOffTmFrame>*Presence:* [0..1]*Definition:* Dealing cut-off timeframe.*Impacted by:* C22 "NonWorkingDayAdjustmentRule", C29 "TradeMinusRule"**DealingCutOffTimeFrame <DealgCutOffTmFrame>** contains the following elements (see "TimeFrame4" on page 1383 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherTimeFrameDescription <OthrTmFrameDesc>	[0..1]	Text		1384
	TradeMinus <TMns>	[0..1]	Quantity		1384
	NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>	[0..1]	CodeSet		1384
	ReferToOrderDesk <RefrToOrdrDsk>	[0..1]	CodeSet		1385

Constraints

- **NonWorkingDayAdjustmentRule**

If NonWorkingDayAdjustment is present then TradeMinus must also be present.

On Condition

/NonWorkingDayAdjustment is present

Following Must be True

/TradeMinus Must be present

- **TradeMinusRule**

If TradeMinus is present then NonWorkingDayAdjustment must also be present.

On Condition

/TradeMinus is present

Following Must be True

/NonWorkingDayAdjustment Must be present

66.1.26.19.12 DealConfirmationTime <DealConfTm>*Presence:* [0..1]*Definition:* Time at which the deal confirmation is issued.*Datatype:* "ISOTime" on page 2685**66.1.26.19.13 DealConfirmationTimeFrame <DealConfTmFrame>***Presence:* [0..1]*Definition:* Time frame within which the deal confirmation is issued.*Impacted by:* C24 "NonWorkingDayAdjustmentRule", C31 "TradePlusRule"

DealConfirmationTimeFrame <DealConfTmFrame> contains the following elements (see "TimeFrame6" on page 1385 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherTimeFrameDescription <OthrTmFrameDesc>	[0..1]	Text		1385
	TradePlus <TPlus>	[0..1]	Quantity		1385
	NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>	[0..1]	CodeSet		1386
	ReferToOrderDesk <RefrToOrdrDsk>	[0..1]	CodeSet		1386

Constraints

- **NonWorkingDayAdjustmentRule**

If NonWorkingDayAdjustment is present then TradePlus must also be present.

On Condition

/NonWorkingDayAdjustment is present

Following Must be True

/TradePlus Must be present

- **TradePlusRule**

If TradePlus is present then NonWorkingDayAdjustment must also be present.

On Condition

/TradePlus is present

Following Must be True

/NonWorkingDayAdjustment Must be present

66.1.26.19.14 LimitedPeriod <LtdPrd>

Presence: [0..1]

Definition: Specific period, for example, for some guaranteed funds, during which the units/shares may be redeemed.

Datatype: "Max350Text" on page 2683

66.1.26.19.15 SettlementCycle <SttlmCycl>

Presence: [0..1]

Definition: Settlement timing.

SettlementCycle <SttlmCycl> contains one of the following **TimeFrame8Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TradePlus <TPlus>	[1..1]	Quantity		1737
Or}	RenunciationPlus <RPlus>	[1..1]	Quantity		1738

66.1.26.19.15.1 TradePlus <TPlus>

Presence: [1..1]

Definition: Number of days after the trade date (T) for the standard settlement timeframe.

Datatype: "Number" on page 2680

66.1.26.19.15.2 RenunciationPlus <RPlus>*Presence:* [1..1]*Definition:* Number of days after the renunciation of title documents are received used to define the standard settlement timeframe.*Datatype:* "Number" on page 2680**66.1.26.19.16 AdditionalInformation <AddtlInf>***Presence:* [0..*]*Definition:* Additional information about the redemption processing characteristics.**AdditionalInformation <AddtlInf>** contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.26.20 BeneficiaryDrawdown1*Definition:* Information about the beneficiary of a drawdown.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BeneficiaryType <BnfcryTp>	[0..1]			1738
{Or	Code <Cd>	[1..1]	CodeSet		1738
Or}	Proprietary <Prtry>	[1..1]	±		1739
	DeathUnderLimit <DthUdrLmt>	[0..1]	Indicator		1739
	AdditionalInformation <AddtlInf>	[0..*]	±		1739

66.1.26.20.1 BeneficiaryType <BnfcryTp>*Presence:* [0..1]*Definition:* Type of beneficiary.**BeneficiaryType <BnfcryTp>** contains one of the following **BeneficiaryType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1738
Or}	Proprietary <Prtry>	[1..1]	±		1739

66.1.26.20.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of beneficiary expressed as a code.*Datatype:* "BeneficiaryType1Code" on page 2554

CodeName	Name	Definition
DEPE	Dependent	Beneficiary is a dependent.
NOMI	Nominee	Beneficiary is a nominee.
SUCC	Successor	Beneficiary is a successor.

66.1.26.20.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of beneficiary expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.26.20.2 DeathUnderLimit <DthUdrLmt>

Presence: [0..1]

Definition: Indicates whether the original pension holder was under the age limit when deceased. Typically, in the UK this limit is seventy-five.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.20.3 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the recipient of the drawdown.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.26.21 DistributionStrategy1

Definition: Distribution strategy criteria.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExecutionOnly <ExctnOnly>	[0..1]	±		1740
	ExecutionWithAppropriatenessTestOrNonAdvisedServices <ExctnWthApprprtnssTstOrNonAdvsdSvc>	[0..1]	±		1740
	InvestmentAdvice <InvstmtAdv>	[0..1]	±		1740
	PortfolioManagement <PrftlMgmt>	[0..1]	±		1741
	Other <Othr>	[0..1]	±		1741

66.1.26.21.1 ExecutionOnly <ExctnOnly>

Presence: [0..1]

Definition: Specifies to whom the product can be sold on an execution-only basis. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 06010.

ExecutionOnly <ExctnOnly> contains one of the following elements (see "[DistributionStrategy1Choice](#)" on page 1728 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1728
Or}	Proprietary <Prtry>	[1..1]	±		1728

66.1.26.21.2 ExecutionWithAppropriatenessTestOrNonAdvisedServices <ExctnWthApprprtnssTstOrNonAdvsdSvc>

Presence: [0..1]

Definition: Specifies to whom the product can be sold with an appropriateness test or to a non-advised service. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 06020.

ExecutionWithAppropriatenessTestOrNonAdvisedServices <ExctnWthApprprtnssTstOrNonAdvsdSvc> contains one of the following elements (see "[DistributionStrategy1Choice](#)" on page 1728 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1728
Or}	Proprietary <Prtry>	[1..1]	±		1728

66.1.26.21.3 InvestmentAdvice <InvstmtAdv>

Presence: [0..1]

Definition: Specifies to whom the product can be sold if investment advice has been provided. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 06030.

InvestmentAdvice <InvstmtAdvc> contains one of the following elements (see "DistributionStrategy1Choice" on page 1728 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1728
Or}	Proprietary <Prtry>	[1..1]	±		1728

66.1.26.21.4 PortfolioManagement <PrtlMgmt>

Presence: [0..1]

Definition: Specifies to whom the product can be sold if portfolio management has been provided. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 06040.

PortfolioManagement <PrtlMgmt> contains one of the following elements (see "DistributionStrategy1Choice" on page 1728 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1728
Or}	Proprietary <Prtry>	[1..1]	±		1728

66.1.26.21.5 Other <Othr>

Presence: [0..1]

Definition: Other distribution strategy.

Other <Othr> contains the following elements (see "OtherDistributionStrategy1" on page 1727 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DistributionStrategyType <DstrbtnStrtgTp>	[0..1]	Text		1727
	Target <Trgt>	[0..1]	±		1727
	AdditionalInformation <AddtlInf>	[0..1]	±		1727

66.1.26.22 OtherTargetMarket1

Definition: Other target market parameter.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TargetMarketType <TrgtMktTp>	[1..1]	Text		1741
	AdditionalInformation <AddtlInf>	[0..1]	±		1742

66.1.26.22.1 TargetMarketType <TrgtMktTp>

Presence: [1..1]

Definition: Type of target market parameter.

Datatype: "Max350Text" on page 2683

66.1.26.22.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the target market.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.26.23 InvestmentPlanCharacteristics1

Definition: Characteristics of an investment plan.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlanType <PlanTp>	[1..1]			1742
{Or	Code <Cd>	[1..1]	CodeSet		1743
Or}	Proprietary <Prtry>	[1..1]	±		1743
	Frequency <Frqcy>	[0..1]	±		1743
	TotalNumberOfInstalments <TtlNbOfInstlmts>	[0..1]	Quantity		1743
	Quantity <Qty>	[0..1]	±		1744
	PlanContinuation <PlanConttn>	[0..1]	Indicator		1744
	AdditionalSubscription <AddtlSbcpt>	[0..1]	Indicator		1744
	AdditionalSubscriptionFunction <AddtlSbcptFctn>	[0..1]	Indicator		1744
	AdditionalInformation <AddtlInf>	[0..*]	±		1744

Constraints

- InvestmentPlanRule

If PlanType/Code is not INVP the AdditionalSubscription and AdditionalSubscriptionFunction must not be present.

66.1.26.23.1 PlanType <PlanTp>

Presence: [1..1]

Definition: Type of investment plan.

PlanType <PlanTp> contains one of the following **InvestmentFundPlanType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1743
Or}	Proprietary <Prtry>	[1..1]	±		1743

66.1.26.23.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of investment fund plan expressed as a code.

Datatype: "InvestmentFundPlanType1Code" on page 2594

CodeName	Name	Definition
INVP	SavingsInvestmentPlan	Savings investment plan.
SWIP	SwitchPlan	Switch plan.
WTHP	WithdrawalPlan	Withdrawal plan.

66.1.26.23.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of investment fund plan expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.26.23.2 Frequency <Frqcy>

Presence: [0..1]

Definition: Frequency of the investment plan.

Frequency <Frqcy> contains one of the following elements (see "[Frequency20Choice](#)" on page 1489 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1489
Or}	Proprietary <Prtry>	[1..1]	±		1489

66.1.26.23.3 TotalNumberOfInstalments <TtlNbOfInstlmts>

Presence: [0..1]

Definition: Total number of times the amount must be invested at the predefined frequency as of the start date of the investment plan.

Datatype: "Number" on page 2680

66.1.26.23.4 Quantity <Qty>

Presence: [0..1]

Definition: Minimum amount of the periodical payments. (If there is no maximum, then '0' must be specified for the Amount or Units.)

Quantity <Qty> contains one of the following elements (see "UnitsOrAmount1Choice" on page 1464 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	1464
Or}	Unit <Unit>	[1..1]	Quantity		1464

66.1.26.23.5 PlanContinuation <PlanConttn>

Presence: [0..1]

Definition: Indicates whether it is possible to continue the savings plan after the end date.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.23.6 AdditionalSubscription <AddtlSbcpt>

Presence: [0..1]

Definition: Indicates whether it is possible to subscribe to additional instalments over and above that permitted by the savings plan frequency.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.23.7 AdditionalSubscriptionFunction <AddtlSbcptFctn>

Presence: [0..1]

Definition: Indicates whether any additional instalments will reduce the period of the life of the savings investment plan.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.23.8 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the investment plan.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.26.24 ProcessingCharacteristics6

Definition: Processing characteristics linked to a switch order for an investment fund or alternative/hedge fund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DealingCurrencyAccepted <DealGcCyAccptd>	[0..*]	CodeSet	C2	1746
	SwitchAuthorisation <SwchAuthstn>	[0..1]			1746
	ApplicationForm <ApplForm>	[1..1]	Indicator		1746
	SignatureType <SgntrTp>	[1..1]	CodeSet		1746
	AmountIndicator <AmtInd>	[0..1]	Indicator		1747
	UnitsIndicator <UnitsInd>	[0..1]	Indicator		1747
	Rounding <Rndg>	[0..1]	CodeSet		1747
	MainFundOrderDeskLocation <MainFndOrdrDskLctn>	[0..1]	±		1747
	DealingFrequency <DealGFrqcy>	[0..1]	CodeSet		1748
	DealingFrequencyDescription <DealGFrqcyDesc>	[0..1]	Text		1748
	DealingCutOffTime <DealGCutOffTm>	[0..1]	Time		1748
	DealingCutOffTimeFrame <DealGCutOffTmFrame>	[0..1]	±	C22, C29	1749
	DealConfirmationTime <DealConfTm>	[0..1]	Time		1749
	DealConfirmationTimeFrame <DealConfTmFrame>	[0..1]	±	C25, C32	1749
	LimitedPeriod <LtdPrd>	[0..1]	Text		1750
	SettlementCycle <SttlmCycl>	[0..1]			1750
{Or	TradePlus <TPlus>	[1..1]	Quantity		1750
Or}	RenunciationPlus <RPlus>	[1..1]	Quantity		1751
	AdditionalInformation <AddtlInf>	[0..*]	±		1751

Constraints

- **DealingCutOffTimeFrameRule**

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

On Condition

/DealingCutOffTimeFrame is present

Following Must be True

/DealingCutOffTimeFrame/OtherTimeFrameDescription Must be present

Or /DealingCutOffTimeFrame/TradeMinus Must be present

Or /DealingCutOffTimeFrame/ReferToOrderDesk Must be present

66.1.26.24.1 DealingCurrencyAccepted <DealgCcyAccptd>

Presence: [0..*]

Definition: Currency in which a switch is accepted.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.26.24.2 SwitchAuthorisation <SwtchAuthstn>

Presence: [0..1]

Definition: Specifies whether an application form is required for a switch.

SwitchAuthorisation <SwtchAuthstn> contains the following **Forms1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ApplicationForm <ApplForm>	[1..1]	Indicator		1746
	SignatureType <SgntrTp>	[1..1]	CodeSet		1746

66.1.26.24.2.1 ApplicationForm <ApplForm>

Presence: [1..1]

Definition: Indicates whether a physical application form is required.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.24.2.2 SignatureType <SgntrTp>

Presence: [1..1]

Definition: Type of signature.

Datatype: "SignatureType1Code" on page 2642

CodeName	Name	Definition
ORIG	Original	The investor's written signature is required. Can also be known as physical or wet signature.
DIGI	Digital	A technical signature that includes algorithms and private key and public key information. Used to sign and verify the contents of a message.
ELEC	Electronic	A copy of a physical or original signature in an electronic format.
NONE	None	There is no signature required in any form.

66.1.26.24.3 AmountIndicator <AmtInd>

Presence: [0..1]

Definition: Indicates whether a switch can be instructed by amount.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.24.4 UnitsIndicator <UnitsInd>

Presence: [0..1]

Definition: Indicates whether a switch can be instructed as a number of units.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.24.5 Rounding <Rndg>

Presence: [0..1]

Definition: Indicates the rounding direction applied to nearest unit.

Datatype: "RoundingDirection2Code" on page 2635

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

66.1.26.24.6 MainFundOrderDeskLocation <MainFndOrdrDskLctn>

Presence: [0..1]

Definition: Location of the main fund order desk.

MainFundOrderDeskLocation <MainFndOrdrDskLctn> contains the following elements (see "MainFundOrderDeskLocation1" on page 1730 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1730
	TimeZoneOffSet <TmZoneOffSet>	[1..1]			1731
	Sign <Sgn>	[1..1]	Indicator		1731
	NumberOfHours <NbOfHrs>	[1..1]	Time		1731

66.1.26.24.7 DealingFrequency <DealgFrqcy>

Presence: [0..1]

Definition: Frequency at which the switches are done.

Datatype: "EventFrequency5Code" on page 2576

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
MNTH	Monthly	Event takes place every month or once a month.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
CLOS	Closed	Event cannot take place, because, for example, the fund is closed.
TOMN	EveryTwoMonths	Event takes place every two months.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
TWMN	TwiceAMonth	Event takes place two times a month.

66.1.26.24.8 DealingFrequencyDescription <DealgFrqcyDesc>

Presence: [0..1]

Definition: Description of frequency at which the switch is done.

Datatype: "Max350Text" on page 2683

66.1.26.24.9 DealingCutOffTime <DealgCutOffTm>

Presence: [0..1]

Definition: Latest time at which an order to switch can be given.

Datatype: "ISOTime" on page 2685

66.1.26.24.10 DealingCutOffTimeFrame <DealgCutOffTmFrame>*Presence:* [0..1]*Definition:* Dealing cut-off timeframe.*Impacted by:* C22 "NonWorkingDayAdjustmentRule", C29 "TradeMinusRule"**DealingCutOffTimeFrame <DealgCutOffTmFrame>** contains the following elements (see "TimeFrame4" on page 1383 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherTimeFrameDescription <OthrTmFrameDesc>	[0..1]	Text		1384
	TradeMinus <TMns>	[0..1]	Quantity		1384
	NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>	[0..1]	CodeSet		1384
	ReferToOrderDesk <RefrToOrdrDsk>	[0..1]	CodeSet		1385

Constraints

- **NonWorkingDayAdjustmentRule**

If NonWorkingDayAdjustment is present then TradeMinus must also be present.

On Condition

/NonWorkingDayAdjustment is present

Following Must be True

/TradeMinus Must be present

- **TradeMinusRule**

If TradeMinus is present then NonWorkingDayAdjustment must also be present.

On Condition

/TradeMinus is present

Following Must be True

/NonWorkingDayAdjustment Must be present

66.1.26.24.11 DealConfirmationTime <DealConfTm>*Presence:* [0..1]*Definition:* Time at which the deal confirmation is issued.*Datatype:* "ISOTime" on page 2685**66.1.26.24.12 DealConfirmationTimeFrame <DealConfTmFrame>***Presence:* [0..1]*Definition:* Time frame within which the deal confirmation is issued.*Impacted by:* C25 "NonWorkingDayAdjustmentRule", C32 "TradePlusRule"

DealConfirmationTimeFrame <DealConfTmFrame> contains the following elements (see "TimeFrame5" on page 1382 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherTimeFrameDescription <OthrTmFrameDesc>	[0..1]	Text		1383
	TradePlus <TPlus>	[0..1]	Quantity		1383
	NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>	[0..1]	CodeSet		1383
	ReferToOrderDesk <RefrToOrdrDsk>	[0..1]	CodeSet		1383

Constraints

- **NonWorkingDayAdjustmentRule**

If NonWorkingDayAdjustment is present then TradeMinus must also be present.

On Condition
 /NonWorkingDayAdjustment is present
 Following Must be True
 /TradePlus Must be present

- **TradePlusRule**

If TradePlus is present then NonWorkingDayAdjustment must also be present.

On Condition
 /TradePlus is present
 Following Must be True
 /NonWorkingDayAdjustment Must be present

66.1.26.24.13 LimitedPeriod <LtdPrd>

Presence: [0..1]

Definition: Specific period, for example, for some guaranteed funds, during which the units/shares may be switched.

Datatype: "Max350Text" on page 2683

66.1.26.24.14 SettlementCycle <SttlmCycl>

Presence: [0..1]

Definition: Settlement timing.

SettlementCycle <SttlmCycl> contains one of the following **TimeFrame8Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TradePlus <TPlus>	[1..1]	Quantity		1750
Or}	RenunciationPlus <RPlus>	[1..1]	Quantity		1751

66.1.26.24.14.1 TradePlus <TPlus>

Presence: [1..1]

Definition: Number of days after the trade date (T) for the standard settlement timeframe.

Datatype: "Number" on page 2680

66.1.26.24.14.2 RenunciationPlus <RPlus>

Presence: [1..1]

Definition: Number of days after the renunciation of title documents are received used to define the standard settlement timeframe.

Datatype: "Number" on page 2680

66.1.26.24.15 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the switch processing characteristics.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.26.25 ProcessingCharacteristics5

Definition: Processing characteristics linked to a redemption from an investment fund or alternative/hedge fund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DealingCurrencyAccepted <DealgCcyAccptd>	[0..*]	CodeSet	C2	1752
	RedemptionAuthorisation <RedAuthstn>	[0..1]			1753
	ApplicationForm <ApplForm>	[1..1]	Indicator		1753
	SignatureType <SgntrTp>	[1..1]	CodeSet		1753
	AmountIndicator <AmtInd>	[0..1]	Indicator		1754
	UnitsIndicator <UnitsInd>	[0..1]	Indicator		1754
	Rounding <Rndg>	[0..1]	CodeSet		1754
	PercentageIndicator <PctgInd>	[0..1]	Indicator		1754
	MainFundOrderDeskLocation <MainFndOrdrDskLctn>	[0..1]	±		1754
	DealingFrequency <DealgFrqcy>	[0..1]	CodeSet		1755
	DealingFrequencyDescription <DealgFrqcyDesc>	[0..1]	Text		1755
	DealingCutOffTime <DealgCutOffTm>	[0..1]	Time		1755
	DealingCutOffTimeFrame <DealgCutOffTmFrame>	[0..1]	±	C22, C29	1756
	DealConfirmationTime <DealConfTm>	[0..1]	Time		1756
	DealConfirmationTimeFrame <DealConfTmFrame>	[0..1]	±	C25, C32	1756
	LimitedPeriod <LtdPrd>	[0..1]	Text		1757
	SettlementCycle <SttlmCycl>	[0..1]			1757
{Or	TradePlus <TPlus>	[1..1]	Quantity		1757
Or}	RenunciationPlus <RPlus>	[1..1]	Quantity		1758
	AdditionalInformation <AddtlInf>	[0..*]	±		1758

Constraints

• DealingCutOffTimeFrameRule

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

On Condition

/DealingCutOffTimeFrame is present

Following Must be True

/DealingCutOffTimeFrame/OtherTimeFrameDescription Must be present

Or /DealingCutOffTimeFrame/TradeMinus Must be present

Or /DealingCutOffTimeFrame/ReferToOrderDesk Must be present

66.1.26.25.1 DealingCurrencyAccepted <DealgCcyAccptd>

Presence: [0..*]

Definition: Currency in which a redemption is accepted.

Impacted by: [C2 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyCode" on page 2552](#)

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.26.25.2 RedemptionAuthorisation <RedAuthstn>

Presence: [0..1]

Definition: Specifies whether an application form is required for a redemption.

RedemptionAuthorisation <RedAuthstn> contains the following **Forms1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ApplicationForm <ApplForm>	[1..1]	Indicator		1753
	SignatureType <SgntrTp>	[1..1]	CodeSet		1753

66.1.26.25.2.1 ApplicationForm <ApplForm>

Presence: [1..1]

Definition: Indicates whether a physical application form is required.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 2680](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.25.2.2 SignatureType <SgntrTp>

Presence: [1..1]

Definition: Type of signature.

Datatype: ["SignatureType1Code" on page 2642](#)

CodeName	Name	Definition
ORIG	Original	The investor's written signature is required. Can also be known as physical or wet signature.
DIGI	Digital	A technical signature that includes algorithms and private key and public key information. Used to sign and verify the contents of a message.
ELEC	Electronic	A copy of a physical or original signature in an electronic format.
NONE	None	There is no signature required in any form.

66.1.26.25.3 AmountIndicator <AmtInd>*Presence:* [0..1]*Definition:* Indicates whether a redemption can be instructed by amount.*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2680](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.25.4 UnitsIndicator <UnitsInd>*Presence:* [0..1]*Definition:* Indicates whether a redemption can be instructed as a number of units.*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2680](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.25.5 Rounding <Rndg>*Presence:* [0..1]*Definition:* Indicates the rounding direction applied to nearest unit.*Datatype:* ["RoundingDirection2Code" on page 2635](#)

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

66.1.26.25.6 PercentageIndicator <PctgInd>*Presence:* [0..1]*Definition:* Indicates whether a redemption can be instructed as a percentage of holding.*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2680](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.25.7 MainFundOrderDeskLocation <MainFndOrdrDskLctn>*Presence:* [0..1]*Definition:* Location of the main fund order desk.

MainFundOrderDeskLocation <MainFndOrdrDskLctn> contains the following elements (see "MainFundOrderDeskLocation1" on page 1730 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1730
	TimeZoneOffSet <TmZoneOffSet>	[1..1]			1731
	Sign <Sgn>	[1..1]	Indicator		1731
	NumberOfHours <NbOfHrs>	[1..1]	Time		1731

66.1.26.25.8 DealingFrequency <DealgFrqcy>

Presence: [0..1]

Definition: Frequency at which the redemptions are done.

Datatype: "EventFrequency5Code" on page 2576

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
MNTH	Monthly	Event takes place every month or once a month.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
CLOS	Closed	Event cannot take place, because, for example, the fund is closed.
TOMN	EveryTwoMonths	Event takes place every two months.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
TWMN	TwiceAMonth	Event takes place two times a month.

66.1.26.25.9 DealingFrequencyDescription <DealgFrqcyDesc>

Presence: [0..1]

Definition: Description of frequency at which the redemption is done.

Datatype: "Max350Text" on page 2683

66.1.26.25.10 DealingCutOffTime <DealgCutOffTm>

Presence: [0..1]

Definition: Latest time at which an order to redeem can be given.

Datatype: "ISOTime" on page 2685

66.1.26.25.11 DealingCutOffTimeFrame <DealgCutOffTmFrame>*Presence:* [0..1]*Definition:* Dealing cut-off timeframe.*Impacted by:* C22 "NonWorkingDayAdjustmentRule", C29 "TradeMinusRule"**DealingCutOffTimeFrame <DealgCutOffTmFrame>** contains the following elements (see "TimeFrame4" on page 1383 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherTimeFrameDescription <OthrTmFrameDesc>	[0..1]	Text		1384
	TradeMinus <TMns>	[0..1]	Quantity		1384
	NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>	[0..1]	CodeSet		1384
	ReferToOrderDesk <RefrToOrdrDsk>	[0..1]	CodeSet		1385

Constraints

- **NonWorkingDayAdjustmentRule**

If NonWorkingDayAdjustment is present then TradeMinus must also be present.

On Condition

/NonWorkingDayAdjustment is present

Following Must be True

/TradeMinus Must be present

- **TradeMinusRule**

If TradeMinus is present then NonWorkingDayAdjustment must also be present.

On Condition

/TradeMinus is present

Following Must be True

/NonWorkingDayAdjustment Must be present

66.1.26.25.12 DealConfirmationTime <DealConfTm>*Presence:* [0..1]*Definition:* Time at which the deal confirmation is issued.*Datatype:* "ISOTime" on page 2685**66.1.26.25.13 DealConfirmationTimeFrame <DealConfTmFrame>***Presence:* [0..1]*Definition:* Time frame within which the deal confirmation is issued.*Impacted by:* C25 "NonWorkingDayAdjustmentRule", C32 "TradePlusRule"

DealConfirmationTimeFrame <DealConfTmFrame> contains the following elements (see "TimeFrame5" on page 1382 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherTimeFrameDescription <OthrTmFrameDesc>	[0..1]	Text		1383
	TradePlus <TPlus>	[0..1]	Quantity		1383
	NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>	[0..1]	CodeSet		1383
	ReferToOrderDesk <RefrToOrdrDsk>	[0..1]	CodeSet		1383

Constraints

- **NonWorkingDayAdjustmentRule**

If NonWorkingDayAdjustment is present then TradeMinus must also be present.

On Condition
 /NonWorkingDayAdjustment is present
 Following Must be True
 /TradePlus Must be present

- **TradePlusRule**

If TradePlus is present then NonWorkingDayAdjustment must also be present.

On Condition
 /TradePlus is present
 Following Must be True
 /NonWorkingDayAdjustment Must be present

66.1.26.25.14 LimitedPeriod <LtdPrd>

Presence: [0..1]

Definition: Specific period, for example, for some guaranteed funds, during which the units/shares may be redeemed.

Datatype: "Max350Text" on page 2683

66.1.26.25.15 SettlementCycle <SttlmCycl>

Presence: [0..1]

Definition: Settlement timing.

SettlementCycle <SttlmCycl> contains one of the following **TimeFrame8Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TradePlus <TPlus>	[1..1]	Quantity		1757
Or}	RenunciationPlus <RPlus>	[1..1]	Quantity		1758

66.1.26.25.15.1 TradePlus <TPlus>

Presence: [1..1]

Definition: Number of days after the trade date (T) for the standard settlement timeframe.

Datatype: "Number" on page 2680

66.1.26.25.15.2 RenunciationPlus <RPlus>

Presence: [1..1]

Definition: Number of days after the renunciation of title documents are received used to define the standard settlement timeframe.

Datatype: "Number" on page 2680

66.1.26.25.16 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the redemption processing characteristics.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.26.26 InvestmentRestrictions3

Definition: Investment restrictions linked to the trading of an investment fund, or an alternative/hedge fund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MinimumInitialSubscriptionAmount <MinInitlSbcptAmt>	[0..1]	Amount	C2, C10	1759
	MinimumInitialSubscriptionUnits <MinInitlSbcptUnits>	[0..1]	Quantity		1760
	MinimumSubsequentSubscriptionAmount <MinSbsqntSbcptAmt>	[0..1]	Amount	C2, C10	1760
	MinimumSubsequentSubscriptionUnits <MinSbsqntSbcptUnits>	[0..1]	Quantity		1760
	MaximumRedemptionAmount <MaxRedAmt>	[0..1]	Amount	C2, C10	1760
	MaximumRedemptionUnits <MaxRedUnits>	[0..1]	Quantity		1761
	MinimumRedemptionPercentage <MinRedPctg>	[0..1]	Quantity		1761
	OtherRedemptionRestrictions <OthrRedRstrctns>	[0..1]	Text		1761
	MinimumSwitchSubscriptionAmount <MinSwchSbcptAmt>	[0..1]	Amount	C2, C10	1761
	MinimumSwitchSubscriptionUnits <MinSwchSbcptUnits>	[0..1]	Quantity		1762
	MaximumSwitchRedemptionAmount <MaxSwchRedAmt>	[0..1]	Amount	C2, C10	1762
	MaximumSwitchRedemptionUnits <MaxSwchRedUnits>	[0..1]	Quantity		1762
	OtherSwitchRestrictions <OthrSwchRstrctns>	[0..1]	Text		1762
	MinimumHoldingAmount <MinHldgAmt>	[0..1]	Amount	C2, C10	1762
	MinimumHoldingUnits <MinHldgUnits>	[0..1]	Quantity		1763
	MinimumHoldingPeriod <MinHldgPrd>	[0..1]	Text		1763
	HoldingTransferable <HldgTrfbl>	[0..1]	CodeSet		1763
	AdditionalInformation <AddtlInf>	[0..*]	±		1763

66.1.26.26.1 MinimumInitialSubscriptionAmount <MinInitlSbcptAmt>

Presence: [0..1]

Definition: Minimum initial quantity of securities, expressed as an amount, that must be purchased at subscription.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.26.26.2 MinimumInitialSubscriptionUnits <MinInitlSbcptUnits>

Presence: [0..1]

Definition: Minimum initial number of units/shares that must be purchased.

Datatype: "Number" on page 2680

66.1.26.26.3 MinimumSubsequentSubscriptionAmount <MinSbsqntSbcptAmt>

Presence: [0..1]

Definition: Minimum quantity of securities, expressed as an amount, that must be purchased.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.26.26.4 MinimumSubsequentSubscriptionUnits <MinSbsqntSbcptUnits>

Presence: [0..1]

Definition: Minimum quantity of securities, expressed as number of units/shares that must be purchased.

Datatype: "Number" on page 2680

66.1.26.26.5 MaximumRedemptionAmount <MaxRedAmt>

Presence: [0..1]

Definition: Maximum quantity of securities, expressed as an amount, that can be sold.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.26.26.6 MaximumRedemptionUnits <MaxRedUnits>

Presence: [0..1]

Definition: Maximum number of shares/units that may be redeemed on a single dealing day.

Datatype: "DecimalNumber" on page 2680

66.1.26.26.7 MinimumRedemptionPercentage <MinRedPctg>

Presence: [0..1]

Definition: Minimum percentage of holding that may be redeemed.

Datatype: "DecimalNumber" on page 2680

66.1.26.26.8 OtherRedemptionRestrictions <OthrRedRstrctns>

Presence: [0..1]

Definition: Specifies any other restrictions that may limit an investor's ability to redeem.

Datatype: "Max350Text" on page 2683

66.1.26.26.9 MinimumSwitchSubscriptionAmount <MinSwchSbcptAmt>

Presence: [0..1]

Definition: Minimum quantity of securities, expressed as an amount, that must be purchased.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.26.26.10 MinimumSwitchSubscriptionUnits <MinSwtchSbcptUnits>

Presence: [0..1]

Definition: Minimum quantity of securities, expressed as number of units/shares that must be purchased.

Datatype: "DecimalNumber" on page 2680

66.1.26.26.11 MaximumSwitchRedemptionAmount <MaxSwtchRedAmt>

Presence: [0..1]

Definition: Maximum quantity of securities, expressed as an amount, that can be sold.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.26.26.12 MaximumSwitchRedemptionUnits <MaxSwtchRedUnits>

Presence: [0..1]

Definition: Maximum number of shares/units that may be redeemed on a single dealing day.

Datatype: "DecimalNumber" on page 2680

66.1.26.26.13 OtherSwitchRestrictions <OthrSwtchRstrctns>

Presence: [0..1]

Definition: Specifies any other restrictions that may limit an investor's ability to switch.

Datatype: "Max350Text" on page 2683

66.1.26.26.14 MinimumHoldingAmount <MinHldgAmt>

Presence: [0..1]

Definition: Minimum value of units that must be maintained to avoid automatic redemption.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.26.26.15 MinimumHoldingUnits <MinHldgUnits>

Presence: [0..1]

Definition: Minimum number of units that must be maintained to avoid automatic redemption.

Datatype: "DecimalNumber" on page 2680

66.1.26.26.16 MinimumHoldingPeriod <MinHldgPrd>

Presence: [0..1]

Definition: Description of the period, that may be a number of days or weeks or another way of describing the period, during which the units/shares must be held following their issue before redemption will be permitted.

Datatype: "Max70Text" on page 2684

66.1.26.26.17 HoldingTransferable <HldgTrfbl>

Presence: [0..1]

Definition: Specifies how registered investors are able to transfer some or all of their holdings to third parties.

Datatype: "HoldingTransferable1Code" on page 2586

CodeName	Name	Definition
TRAL	TransferAllowed	Transfer is allowed.
TRNA	TransferNotAllowed	Transfer is not allowed.
RFOD	ReferToFundOrderDesk	Indicates that the operation is only possible in restricted circumstances.

66.1.26.26.18 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the investment restrictions.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.26.27 HoldBackInformation3

Definition: Information about hold back and gating.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		1764
	Amount <Amt>	[0..1]	Amount	C2, C10	1764
	ExpectedReleaseDate <XpctdRlsDt>	[0..1]	Date		1765
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±		1765
	FinancialInstrumentName <FinInstrmNm>	[0..1]	Text		1766
	RedemptionCompletion <RedCmpltn>	[0..1]	CodeSet		1766

66.1.26.27.1 Type <Tp>

Presence: [1..1]

Definition: Type of gating or a hold back.

Datatype: "GateHoldBack1Code" on page 2583

CodeName	Name	Definition
GATE	Gating	Gating of redemption proceeds.
HOLD	HoldBack	Hold back of redemption proceeds.

66.1.26.27.2 Amount <Amt>

Presence: [0..1]

Definition: Value of the redemption amount subject to gating or a hold back.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.26.27.3 ExpectedReleaseDate <XpctdRlsDt>

Presence: [0..1]

Definition: Date on which the gated amount or hold back amount is expected to be released.

Datatype: "ISODate" on page 2668

66.1.26.27.4 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: New identification of the security.

FinancialInstrumentIdentification <FinInstrmId> contains one of the following elements (see "SecurityIdentification25Choice" on page 1448 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1449
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1449
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1449
Or	RIC <RIC>	[1..1]	IdentifierSet		1450
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1450
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1450
Or	CTA <CTA>	[1..1]	IdentifierSet		1450
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1450
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1450
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1451
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1451
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1451
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1451
Or	Common <Cmon>	[1..1]	IdentifierSet		1451
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1451
	Identification <Id>	[1..1]	Text		1451
	IdentificationSource <IdSrc>	[1..1]	±		1452

66.1.26.27.5 FinancialInstrumentName <FinInstrmNm>*Presence:* [0..1]*Definition:* New name of the security.*Datatype:* "Max350Text" on page 2683**66.1.26.27.6 RedemptionCompletion <RedCmpltn>***Presence:* [0..1]*Definition:* Specifies whether or not additional redemption order instructions are required in order for the redemption to be completed.*Datatype:* "RedemptionCompletion1Code" on page 2623

CodeName	Name	Definition
RED0	RedemptionNo	It is not necessary to send more redemption order instructions to the executing party to complete the redemption, the executing will generate redemption confirmations automatically.
RED1	RedemptionYes	Additional redemption order instructions must be sent to the executing party order to have the rest of the redemption executed.

66.1.26.28 FundOrderData6*Definition:* Extract of trade data for an investment fund switch order.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	1766
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		1767
	AdditionalAmount <AddtlAmt>	[0..1]	±		1767
	UnitCurrency <UnitCcy>	[0..1]	CodeSet	C2	1767
	QuotedCurrency <QtdCcy>	[0..1]	CodeSet	C2	1768

Constraints

- **SwitchOrderDataElementRule**

One of the elements (SettlementAmount, SettlementMethod, AdditionalAmount, UnitCurrency, QuotedCurrency) must be present.

66.1.26.28.1 SettlementAmount <SttlmAmt>*Presence:* [0..1]*Definition:* Total amount of money paid /to be paid or received in exchange for the financial instrument in the individual order.*Impacted by:* C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.26.28.2 SettlementMethod <SttlmMtd>

Presence: [0..1]

Definition: Method by which the transaction is settled.

Datatype: "DeliveryReceiptType2Code" on page 2571

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

66.1.26.28.3 AdditionalAmount <AddtlAmt>

Presence: [0..1]

Definition: Choice between additional cash in or resulting cash out.

AdditionalAmount <AddtlAmt> contains one of the following elements (see "AdditionalAmount1Choice" on page 1771 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AdditionalCashIn <AddtlCshIn>	[1..1]	Amount	C3, C12	1771
Or}	ResultingCashOut <RsltCshOut>	[1..1]	Amount	C3, C12	1771

66.1.26.28.4 UnitCurrency <UnitCcy>

Presence: [0..1]

Definition: Currency from which the quoted currency is converted in an exchange rate calculation.

$1 \times \text{<UnitCcy>} = \text{<XchgRate>} \times \text{<QtdCcy>}$.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.26.28.5 QuotedCurrency <QtdCcy>

Presence: [0..1]

Definition: Currency into which the unit currency is converted in an exchange rate calculation.

$1 \times \text{<UnitCcy>} = \text{<XchgRate>} \times \text{<QtdCcy>}$.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.26.29 ExpectedExecutionDetails2

Definition: Expected trade date and expected settlement date of the order execution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExpectedTradeDateTime <XpctdTradDtTm>	[0..1]	±		1768
	ExpectedCashSettlementDate <XpctdCshSttlmDt>	[0..1]	Date		1768

66.1.26.29.1 ExpectedTradeDateTime <XpctdTradDtTm>

Presence: [0..1]

Definition: Expected date or expected date and time at which a price will be applied according to the terms of the prospectus.

ExpectedTradeDateTime <XpctdTradDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.26.29.2 ExpectedCashSettlementDate <XpctdCshSttlmDt>

Presence: [0..1]

Definition: Expected date at which the financial instruments will be exchanged against cash.

Datatype: "ISODate" on page 2668

66.1.26.30 OrderWaiver1

Definition: Information about how an order is to be processed.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderWaiverReason <OrdWvrRsn>	[0..*]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1769
	InformationValue <InfVal>	[0..1]	Text		1770

Constraints

- **WaiverElementRule**

Either OrderWaiverReason or InformationValue must be present. Both may be present.

66.1.26.30.1 OrderWaiverReason <OrdWvrRsn>

Presence: [0..*]

Definition: Reason why the order has to be handled differently, probably in a manual fashion, because, for example, the investment manager has agreed a waiver to the terms.

OrderWaiverReason <OrdWvrRsn> contains one of the following **OrderWaiverReason3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1769

66.1.26.30.1.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the waiver expressed as a code.

Datatype: "OrderWaiverReason1Code" on page 2612

CodeName	Name	Definition
LATE	LateTradeDealing	Dealing of the trade is outside the normal limit.
FEND	FrontEndLoadCharge	Front end load charge differs from PPM.
BMIN	BelowMinimumInvestmentAmount	Amount of subscription is below the minimum initial investment amount.
CUTO	CutOffDate	Cut off date has been passed.
COMW	CommissionWaiver	Standard commission is waived.

66.1.26.30.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Reason for the waiver expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.26.30.2 InformationValue <InfVal>

Presence: [0..1]

Definition: Additional information about the order waiver.

Datatype: "[Max350Text](#)" on page 2683

66.1.26.31 Equalisation1

Definition: Part of an investor's subscription amount that is held by the fund in order to pay incentive / performance fees at the end of the fiscal year.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1770
	Rate <Rate>	[0..1]	Rate		1770

66.1.26.31.1 Amount <Amt>

Presence: [0..1]

Definition: Amount of money resulting from the calculation of the equalisation.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#), [C12 "CurrencyAmount"](#)

Datatype: "[ActiveOrHistoricCurrencyAndAmount](#)" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.26.31.2 Rate <Rate>

Presence: [0..1]

Definition: Rate used for calculation of the equalisation.

Datatype: "PercentageRate" on page 2681

66.1.26.32 AdditionalAmount1Choice

Definition: Choice between additional cash in or resulting cash out.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AdditionalCashIn <AddtlCshIn>	[1..1]	Amount	C3, C12	1771
Or}	ResultingCashOut <RsltgCshOut>	[1..1]	Amount	C3, C12	1771

66.1.26.32.1 AdditionalCashIn <AddtlCshIn>

Presence: [1..1]

Definition: Additional amount of money paid by the investor in addition to the switch redemption amount.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.26.32.2 ResultingCashOut <RsltgCshOut>

Presence: [1..1]

Definition: Amount of money that results from a switch-out, that is not reinvested in another investment fund, and is repaid to the investor.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.26.33 ExpectedExecutionDetails4

Definition: Expected trade date and expected settlement date of the order execution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExpectedTradeDateTime <XpctdTradDtTm>	[0..1]	±		1772
	ExpectedCashSettlementDate <XpctdCshSttlmDt>	[0..1]	Date		1772

Constraints

- **DateElementRule**

Either ExpectedTradeDateTime or ExpectedCashSettlementDate must be present. Both may be present.

66.1.26.33.1 ExpectedTradeDateTime <XpctdTradDtTm>

Presence: [0..1]

Definition: Expected date or expected date and time at which a price will be applied according to the terms of the prospectus.

ExpectedTradeDateTime <XpctdTradDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.26.33.2 ExpectedCashSettlementDate <XpctdCshSttlmDt>

Presence: [0..1]

Definition: Date of a payment, for example, a prepayment date.

Datatype: "ISODate" on page 2668

66.1.26.34 FundOrderData5

Definition: Extract of trade data for an investment fund order.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	1773
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C17	1774
	UnitsNumber <UnitsNb>	[0..1]	Quantity		1774
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1774
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C12	1775
	HoldingsRedemptionRate <HldgsRedRate>	[0..1]	Rate		1776
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	1776
	UnitCurrency <UnitCcy>	[0..1]	CodeSet	C2	1776
	QuotedCurrency <QtdCcy>	[0..1]	CodeSet	C2	1776

Constraints

- **OrderDataElementRule**

One of the elements (InvestmentAccountDetails, FinancialInstrumentDetails, UnitsNumber, NetAmount, GrossAmount, HoldingsRedemptionRate, SettlementAmount, UnitCurrency, QuotedCurrency) must be present.

66.1.26.34.1 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [0..1]

Definition: Account information of the individual order instruction for which the status is given.

Impacted by: C20 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1635
	AccountName <AcctNm>	[0..1]	Text		1635
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1635
	OwnerIdentification <OwnrId>	[0..*]	±		1635
	AccountServicer <AcctSvcr>	[0..1]	±		1636
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		1636
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1636

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

66.1.26.34.2 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [0..1]

Definition: Financial instrument information of the individual order instruction for which the status is given.

Impacted by: C17 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1436 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1436
	Name <Nm>	[0..1]	Text		1437
	ShortName <ShrtNm>	[0..1]	Text		1437
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1437
	ClassType <ClsTp>	[0..1]	Text		1438
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1438
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1438
	ProductGroup <PdctGrp>	[0..1]	Text		1438
	SeriesIdentification <SrsId>	[0..1]		C30	1438
	SeriesDate <SrsDt>	[0..1]	±		1439
	SeriesName <SrsNm>	[0..1]	Text		1439

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

66.1.26.34.3 UnitsNumber <UnitsNb>

Presence: [0..1]

Definition: Number of investment fund units subscribed or redeemed.

Datatype: "DecimalNumber" on page 2680

66.1.26.34.4 NetAmount <NetAmt>

Presence: [0..1]

Definition: When the status message is used for a subscription, this is the amount of money to be invested in the fund.

Net Amount = Quantity * Price.

When the status message is used for a redemption, this is the amount of money to be received following redemption of fund units.

Net Amount = (Quantity * Price) - (Fees + Taxes).

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.26.34.5 GrossAmount <GrssAmt>

Presence: [0..1]

Definition: When the status message is used for a subscription, this is the amount of money to be paid by the investor when subscribing to fund units.

Gross amount = (Quantity * Price) + (Fees + Taxes).

When the status message is used for a redemption, this is the amount of money to be redeemed from the fund.

Gross Amount = Quantity * Price.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.26.34.6 HoldingsRedemptionRate <HldgsRedRate>

Presence: [0..1]

Definition: Portion of the investor's holdings, in a specific investment fund/fund class, that is redeemed.

Datatype: "PercentageRate" on page 2681

66.1.26.34.7 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the individual order.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.26.34.8 UnitCurrency <UnitCcy>

Presence: [0..1]

Definition: Currency from which the quoted currency is converted in an exchange rate calculation.

$1 \times \text{UnitCcy} = \text{XchgRate} \times \text{QtdCcy}$.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.26.34.9 QuotedCurrency <QtdCcy>

Presence: [0..1]

Definition: Currency into which the unit currency is converted in an exchange rate calculation.

$1 \times \text{UnitCcy} = \text{XchgRate} \times \text{QtdCcy}$.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.26.35 HoldBackInformation2

Definition: Information about hold back and gating.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		1777
	Amount <Amt>	[0..1]	Amount	C2, C10	1777
	ExpectedReleaseDate <XpctdRlsDt>	[0..1]	Date		1778
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±		1778
	FinancialInstrumentName <FinInstrmNm>	[0..1]	Text		1779
	RedemptionCompletion <RedCmpltn>	[0..1]	CodeSet		1779
	FinalConfirmation <FnlConf>	[0..1]	Indicator		1779

66.1.26.35.1 Type <Tp>

Presence: [1..1]

Definition: Type of gating or a hold back.

Datatype: "GateHoldBack1Code" on page 2583

CodeName	Name	Definition
GATE	Gating	Gating of redemption proceeds.
HOLD	HoldBack	Hold back of redemption proceeds.

66.1.26.35.2 Amount <Amt>

Presence: [0..1]

Definition: Value of the redemption amount subject to gating or a hold back.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.26.35.3 ExpectedReleaseDate <XpctdRlsDt>

Presence: [0..1]

Definition: Date on which the gated amount or hold back amount is expected to be released.

Datatype: "ISODate" on page 2668

66.1.26.35.4 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: New identification of the security.

FinancialInstrumentIdentification <FinInstrmId> contains one of the following elements (see "SecurityIdentification25Choice" on page 1448 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1449
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1449
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1449
Or	RIC <RIC>	[1..1]	IdentifierSet		1450
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1450
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1450
Or	CTA <CTA>	[1..1]	IdentifierSet		1450
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1450
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1450
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1451
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1451
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1451
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1451
Or	Common <Cmon>	[1..1]	IdentifierSet		1451
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1451
	Identification <Id>	[1..1]	Text		1451
	IdentificationSource <IdSrc>	[1..1]	±		1452

66.1.26.35.5 FinancialInstrumentName <FinInstrmNm>*Presence:* [0..1]*Definition:* New name of the security.*Datatype:* "Max350Text" on page 2683**66.1.26.35.6 RedemptionCompletion <RedCmpltn>***Presence:* [0..1]*Definition:* Specifies whether or not additional redemption order instructions are required in order for the redemption to be completed.*Datatype:* "RedemptionCompletion1Code" on page 2623

CodeName	Name	Definition
RED0	RedemptionNo	It is not necessary to send more redemption order instructions to the executing party to complete the redemption, the executing will generate redemption confirmations automatically.
RED1	RedemptionYes	Additional redemption order instructions must be sent to the executing party order to have the rest of the redemption executed.

66.1.26.35.7 FinalConfirmation <FnlConf>*Presence:* [0..1]*Definition:* Indicates whether or not this is the final redemption confirmation in the execution of a gated redemption.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.26.36 InvestmentFundRole2Choice*Definition:* Choice of formats for a Role.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1779
Or}	Proprietary <Prtry>	[1..1]	±		1781

66.1.26.36.1 Code <Cd>*Presence:* [1..1]*Definition:* Role expressed as a code.*Datatype:* "InvestmentFundRole2Code" on page 2595

CodeName	Name	Definition
FMCO	FundManagementCompany	Party that sets up a fund, decides upon the investment strategy, appoints agents, and is responsible for the promotion and the marketing of the fund. This party makes all the strategic decisions related to a fund.
REGI	Registrar	Party responsible for keeping track of the owners of securities. In the investment fund business, this party keeps the investor registry of the fund, and administers investor accounts and related records. It is appointed by the fund management company.
TRAG	TransferAgent	Party appointed by the fund management company. This party updates records of investor accounts to reflect the daily investor purchases, redemptions, switches, transfers, and re-registrations. It ensures the timely settlement of transactions, and may provide tax information to the investor and/or to its intermediaries. It may calculate, collect, and rebate commissions. It prepares and distributes confirmations reflecting transactions, resulting in unit or cash account movements to the investor or the investor's intermediary. It responds to inquiries concerning account status, and processes the income distribution.
INTR	Intermediary	Party that provides services to investors relating to financial products. These services may include some, or all of, provision of information and advice on products, placement of investment orders, transmission of payment, custody of assets, and the administration of rights and benefits. In the specific framework of investment funds industry, an intermediary may present information about funds to potential investors, and solicit orders for the fund. This intermediary may facilitate the transmission of the orders and information from/to the investors and/or other intermediaries. The intermediary receives commission from the Fund and/or fees from the investor.
DIST	Distributor	Party that act as an wholesaler of funds.
CONC	Concentrator	Party that acts as an aggregator of funds, also called a funds hub.
UCL1	UnderlyingClient1	Underlying client of the intermediary.
UCL2	UnderlyingClient2	Underlying client of underlying client 1.
TRAN	TransmittingAgent	Party that transmits the instruction, advice, notification or report.

66.1.26.36.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Role expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.26.37 InvestmentFundsOrderBreakdown2*Definition:* An investor's instruction to either subscribe or redeem an amount of money or its equivalent, for example, other assets, into or out of an investment fund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderBreakdownType <OrdrBrkdwnTp>	[1..1]			1781
{Or	Code <Cd>	[1..1]	CodeSet		1781
Or}	Proprietary <Prtry>	[1..1]	±		1782
	Amount <Amt>	[1..1]	Amount	C2, C10	1782

66.1.26.37.1 OrderBreakdownType <OrdrBrkdwnTp>*Presence:* [1..1]*Definition:* Type of order breakdown.**OrderBreakdownType <OrdrBrkdwnTp>** contains one of the following **OrderBreakdownType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1781
Or}	Proprietary <Prtry>	[1..1]	±		1782

66.1.26.37.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Order breakdown type expressed as a code.*Datatype:* "[FundOrderType5Code](#)" on page 2582

CodeName	Name	Definition
NSPN	NormalStaffPortion	That part of an investment fund order attributed to a normal staff portion.
NCPN	NormalClientPortion	That part of an investment fund order attributed to a normal client portion.

CodeName	Name	Definition
SWSP	StaffWithSavingsPlan	That part of an investment fund order attributed to a staff member with a savings plan.
CWSP	ClientWithSavingsPlan	That part of an investment fund order attributed to a client with a savings plan.

66.1.26.37.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Order breakdown type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.26.37.2 Amount <Amt>

Presence: [1..1]

Definition: Portion of the net amount that is attributed to an order type.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.26.38 FundOrderType4Choice

Definition: Choice of formats for the order type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1783
Or}	Proprietary <Prtry>	[1..1]	±		1783

66.1.26.38.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of the investment fund order expressed as a code.*Datatype:* "FundOrderType8Code" on page 2582

CodeName	Name	Definition
BEDB	BedAndBreakfast	Investment fund order is part of a bed and breakfast transaction.
INVP	InvestmentPlan	Investment fund order is related to an investment plan (only applicable to a subscription).
PREA	PreAdvice	Investment fund order is executed with specific pre-advice conditions.
RGSV	RegularSavingsPlan	Investment fund order is a regular savings plan (only applicable to a subscription).
RGSU	RegularSavingsPlanUpdate	Investment fund order is paying extra money into to an existing plan (only applicable to a subscription).
RDIV	ReinvestmentOfDividend	Investment fund order is a dividend reinvestment (only applicable to a subscription).
STAF	StaffOrder	Investment fund order is a staff order.
WIDP	Withdrawal	Investment fund order is for a withdrawal investment plan (only applicable to a redemption).

66.1.26.38.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of the investment fund order expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.26.39 InvestmentFundOrder4*Definition:* Identifies an order linked to an account opening.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdRef>	[0..1]	Text		1784
	MasterReference <MstrRef>	[0..1]	Text		1784

66.1.26.39.1 OrderReference <OrdrRef>*Presence:* [0..1]*Definition:* Unique and unambiguous identifier for an order, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2683**66.1.26.39.2 MasterReference <MstrRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.*Datatype:* "Max35Text" on page 2683**66.1.27 Market****66.1.27.1 PlaceOfTradeIdentification1Choice***Definition:* Context, or geographic environment, in which trading parties may meet in order to negotiate and execute trades among themselves.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Country <Ctry>	[1..1]	CodeSet	C11	1784
Or	Exchange <Xchg>	[1..1]	IdentifierSet		1784
Or	Party <Pty>	[1..1]	IdentifierSet	C7	1784
Or}	OverTheCounter <OverTheCntr>	[1..1]	Text		1785

66.1.27.1.1 Country <Ctry>*Presence:* [1..1]*Definition:* Country in which the transaction is executed.*Impacted by:* C11 "Country"*Datatype:* "CountryCode" on page 2567**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.27.1.2 Exchange <Xchg>*Presence:* [1..1]*Definition:* Exchange at which the transaction is executed.*Datatype:* "MICIdentifier" on page 2676**66.1.27.1.3 Party <Pty>***Presence:* [1..1]

Definition: Party's location at which the transaction is executed.

Impacted by: C7 "AnyBIC"

Datatype: "AnyBICIdentifier" on page 2669

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

66.1.27.1.4 OverTheCounter <OverTheCntr>

Presence: [1..1]

Definition: Place at which the Over-the-Counter (OTC) transaction is executed.

Datatype: "Max35Text" on page 2683

66.1.27.2 TargetMarket5Choice

Definition: Choice of formats for the specification of how a financial product is in scope of a target market parameter.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		1785
Or}	Other <Othr>	[1..1]	CodeSet		1785

66.1.27.2.1 Type <Tp>

Presence: [1..1]

Definition: Specifies how the financial product is in scope of the target market parameter.

Datatype: "InvestorType4Code" on page 2605

CodeName	Name	Definition
BOT3	BothProfessionalPerSeAndElectiveProfessional	Investor is both professional per se and elective professional.
NPRF	NotProfessionalPerSeElectiveProfessional	Investor is neither professional per se or elective professional.
PRF3	ProfessionalPerSeNotElectiveProfessional	Investor is professional per se but not elective professional.
PRF4	ElectiveProfessionalNotProfessionalPerSe	Investor is an elective professional but not professional per se.

66.1.27.2.2 Other <Othr>

Presence: [1..1]

Definition: Specifies whether the financial product is in scope of the target market parameter.

Datatype: "TargetMarket1Code" on page 2644

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

66.1.27.3 OtherTargetMarketRiskTolerance1

Definition: Risk tolerance target market.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RiskToleranceType <RskTlrnceTp>	[0..1]	Text		1786
	Target <Trgt>	[0..1]			1786
{Or	Code <Cd>	[1..1]	CodeSet		1786
Or}	Proprietary <Prtry>	[1..1]	±		1787
	AdditionalInformation <AddtlInf>	[0..1]	±		1787

66.1.27.3.1 RiskToleranceType <RskTlrnceTp>

Presence: [0..1]

Definition: Type of risk tolerance.

Datatype: "Max35Text" on page 2683

66.1.27.3.2 Target <Trgt>

Presence: [0..1]

Definition: Choice of formats for the specification of whether the product is aimed at the type of risk tolerance.

Target <Trgt> contains one of the following **TargetMarket1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1786
Or}	Proprietary <Prtry>	[1..1]	±		1787

66.1.27.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Specifies whether the product is aimed at the target expressed as a code.

Datatype: "TargetMarket1Code" on page 2644

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

66.1.27.3.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Specifies whether the product is aimed at the target expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.27.3.3 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Additional information about the target market and the investor's risk tolerance.**AdditionalInformation <AddtlInf>** contains the following elements (see "[AdditionalInformation15](#)" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.27.4 OtherTargetMarketLossBearing1*Definition:* Loss bearing target market.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AbilityToBearLossesType <AbltyToBearLossesTp>	[0..1]	Text		1787
	Target <Trgt>	[0..1]			1787
{Or	Code <Cd>	[1..1]	CodeSet		1788
Or}	Proprietary <Prtry>	[1..1]	±		1788
	AdditionalInformation <AddtlInf>	[0..1]	±		1788

66.1.27.4.1 AbilityToBearLossesType <AbltyToBearLossesTp>*Presence:* [0..1]*Definition:* Type of loss bearing.*Datatype:* "[Max35Text](#)" on page 2683**66.1.27.4.2 Target <Trgt>***Presence:* [0..1]

Definition: Choice of formats for the specification of whether the product is aimed at the type of loss bearing.

Target <Trgt> contains one of the following **TargetMarket1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1788
Or}	Proprietary <Prtry>	[1..1]	±		1788

66.1.27.4.2.1 Code <Cd>

Presence: [1..1]

Definition: Specifies whether the product is aimed at the target expressed as a code.

Datatype: "TargetMarket1Code" on page 2644

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

66.1.27.4.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Specifies whether the product is aimed at the target expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.27.4.3 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the target market and the investor's loss bearing.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.27.5 OtherTargetMarketInvestorKnowledge1

Definition: Investor knowledge and experience target market.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InvestorKnowledgeType <InvstrKnwldgTp>	[0..1]	Text		1789
	Target <Trgt>	[0..1]			1789
{Or	Code <Cd>	[1..1]	CodeSet		1789
Or}	Proprietary <Prtry>	[1..1]	±		1789
	AdditionalInformation <AddtlInf>	[0..1]	±		1790

66.1.27.5.1 InvestorKnowledgeType <InvstrKnwldgTp>

Presence: [0..1]

Definition: Type of investor knowledge and experience.

Datatype: "Max35Text" on page 2683

66.1.27.5.2 Target <Trgt>

Presence: [0..1]

Definition: Choice of formats for the specification of whether the product is aimed at the type of knowledge or experience of the investor.

Target <Trgt> contains one of the following **TargetMarket1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1789
Or}	Proprietary <Prtry>	[1..1]	±		1789

66.1.27.5.2.1 Code <Cd>

Presence: [1..1]

Definition: Specifies whether the product is aimed at the target expressed as a code.

Datatype: "TargetMarket1Code" on page 2644

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

66.1.27.5.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Specifies whether the product is aimed at the target expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.27.5.3 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the target market and the investor knowledge and experience.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.27.6 OtherTargetMarketInvestor1

Definition: Investor type target market.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InvestorType <InvstrTp>	[0..1]	Text		1790
	Target <Trgt>	[0..1]			1790
{Or	Type <Tp>	[1..1]	CodeSet		1791
Or	Other <Othr>	[1..1]	CodeSet		1791
Or}	Proprietary <Prtry>	[1..1]	±		1791
	AdditionalInformation <AddtlInf>	[0..1]	±		1791

66.1.27.6.1 InvestorType <InvstrTp>

Presence: [0..1]

Definition: Type of investor.

Datatype: "[Max35Text](#)" on page 2683

66.1.27.6.2 Target <Trgt>

Presence: [0..1]

Definition: Choice of formats for the specification of whether the product is aimed at the type of investor.

Target <Trgt> contains one of the following **TargetMarket3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		1791
Or	Other <Othr>	[1..1]	CodeSet		1791
Or}	Proprietary <Prtry>	[1..1]	±		1791

66.1.27.6.2.1 Type <Tp>

Presence: [1..1]

Definition: Specifies how a financial product is in scope of the target market parameter.

Datatype: "InvestorType2Code" on page 2605

CodeName	Name	Definition
BOT3	BothProfessionalPerSeAndElectiveProfessional	Investor is both professional per se and elective professional.
EPRO	ElectiveProfessional	Investor is an elective professional.
PRF2	ProfessionalOrPerSe	Investor is professional or professional per se.

66.1.27.6.2.2 Other <Othr>

Presence: [1..1]

Definition: Specifies whether a financial product is in scope of the target market parameter.

Datatype: "TargetMarket1Code" on page 2644

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

66.1.27.6.2.3 Proprietary <Prtry>

Presence: [1..1]

Definition: Specifies whether the product is aimed at the target expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.27.6.3 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the target market and the investor type.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.28 Miscellaneous

66.1.28.1 Extension1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1792
	Text <Txt>	[1..1]	Text		1792

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

66.1.28.1.1 PlaceAndName <PlcAndNm>

Presence: [1..1]

Definition: Name qualifying the information provided in the Text field, and place where this information should be inserted.

Datatype: "Max350Text" on page 2683

66.1.28.1.2 Text <Txt>

Presence: [1..1]

Definition: Text of the extension.

Datatype: "Max350Text" on page 2683

66.1.28.2 InvestorProfile2

Definition: Information about actions that may be taken on an account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			1793
{Or	Code <Cd>	[1..1]	CodeSet		1794
Or}	Proprietary <Prtry>	[1..1]	±		1794
	Status <Sts>	[0..1]			1795
{Or	Code <Cd>	[1..1]	CodeSet		1795
Or}	Proprietary <Prtry>	[1..1]	±		1795
	Treasury <Trsr>	[0..1]			1796
	Date <Dt>	[1..1]	Date		1796
	TraderType <TradrTp>	[1..1]			1796
{Or	Code <Cd>	[1..1]	CodeSet		1796
Or}	Proprietary <Prtry>	[1..1]	±		1797
	Rate <Rate>	[1..1]	Rate		1797
	HighFrequencyTrading <HghFrqcyTradg>	[0..1]			1797
	Date <Dt>	[0..1]	Date		1797
	SettlementFrequency <SttlmFrqcy>	[0..1]			1798
{Or	Code <Cd>	[1..1]	CodeSet		1798
Or}	Proprietary <Prtry>	[1..1]	±		1798
	ConsolidationType <CnsldtnTp>	[0..1]			1798
{Or	Code <Cd>	[1..1]	CodeSet		1799
Or}	Proprietary <Prtry>	[1..1]	±		1799
	MarketMaker <MktMakr>	[0..1]			1799
	ContractPeriod <CtrctPrd>	[0..1]			1799
	FromDateTime <FrDtTm>	[1..1]	DateTime		1800
	ToDateTime <ToDtTm>	[0..1]	DateTime		1800
	Compliance <Cmplc>	[0..1]	Indicator		1800
	MaximumSpread <MaxSprd>	[0..1]	Rate		1800
	Discount <Dscnt>	[0..1]	Rate		1800

66.1.28.2.1 Type <Tp>

Presence: [0..1]

Definition: Type of profile.

Type <Tp> contains one of the following **ProfileType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1794
Or}	Proprietary <Prtry>	[1..1]	±		1794

66.1.28.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of profile expressed as a code.

Datatype: "ProfileType1Code" on page 2622

CodeName	Name	Definition
HEDG	Hedge	Investor shares that uses defensive operations (hedging), thus avoiding the risk of large swings.
HFTR	HighFrequencyTrader	Investors operating through algorithms and send orders automatically according to the strategies defined by their managers. Through this access model, the participants of these servers are hosted primarily within the premises of Brazilian Market and significantly reduce the time of submission of offers to trading systems.
MAKE	MarketMaker	Agent liquidity, liquidity facilitator, promoter of business, specialist, market maker and liquidity provider are some designations given to those who propose to provide liquidity and minimum reference price for assets previously accredited, factors highlighted in the analysis of efficiency in capital markets.
TREA	Treasury	Trading system of federal securities in the retail market directly to the investor. The operation can be performed directly over the Internet.

66.1.28.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of profile expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.28.2.2 Status <Sts>*Presence:* [0..1]*Definition:* Status of the profile.**Status <Sts>** contains one of the following **InvestorProfileStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1795
Or}	Proprietary <Prtry>	[1..1]	±		1795

66.1.28.2.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Investor profile status expressed as a code.*Datatype:* "InvestorProfileStatus1Code" on page 2604

CodeName	Name	Definition
DISA	Disabled	Status of the investor profile is disabled.
DISG	Disabling	Status of the investor profile is in the process of being disabled.
ENAB	Enabled	Status of the investor profile is enabled.
ENBG	Enabling	Status of the investor profile is in the process of being enabled.
ADMI	InAdmission	Status of the investor profile is in admission.
ANLY	InAnalysis	Status of the investor profile is in analysis.
NAPP	NotApproved	Status of the investor profile is not approved.
PSUS	PartiallySuspended	Status of the investor profile is partially suspended.
PEND	PendingApproval	Status of the investor profile is pending approval.
SUPS	Suspended	Status of the investor profile is suspended.

66.1.28.2.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Investor profile status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.28.2.3 Treasury <Trsr>

Presence: [0..1]

Definition: Information about the profile for treasury trading.

Treasury <Trsr> contains the following **TreasuryProfile1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	Date		1796
	TraderType <TradrTp>	[1..1]			1796
{Or	Code <Cd>	[1..1]	CodeSet		1796
Or}	Proprietary <Prtry>	[1..1]	±		1797
	Rate <Rate>	[1..1]	Rate		1797

66.1.28.2.3.1 Date <Dt>

Presence: [1..1]

Definition: Execution date of treasury bond trade.

Datatype: "[ISODate](#)" on page 2668

66.1.28.2.3.2 TraderType <TradrTp>

Presence: [1..1]

Definition: Type of party that performs trading operations, for example, investor or custodian.

TraderType <TradrTp> contains one of the following **PartyRole5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1796
Or}	Proprietary <Prtry>	[1..1]	±		1797

66.1.28.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Role expressed as a code.

Datatype: "[PartyRole1Code](#)" on page 2614

CodeName	Name	Definition
CUST	Custodian	Party that safekeeps and administers assets on behalf of the owner.
INVS	Investor	Party, either an individual or organisation, whose assets are being invested.

66.1.28.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Role expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.28.2.3.3 Rate <Rate>

Presence: [1..1]

Definition: Tax rate.

Datatype: "[PercentageRate](#)" on page 2681

66.1.28.2.4 HighFrequencyTrading <HghFrqcyTradg>

Presence: [0..1]

Definition: Information about the profile for high frequency trading.

HighFrequencyTrading <HghFrqcyTradg> contains the following **HighFrequencyTradingProfile1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1797
	SettlementFrequency <SttlmFrqcy>	[0..1]			1798
{Or	Code <Cd>	[1..1]	CodeSet		1798
Or}	Proprietary <Prtry>	[1..1]	±		1798
	ConsolidationType <CnsltdnTp>	[0..1]			1798
{Or	Code <Cd>	[1..1]	CodeSet		1799
Or}	Proprietary <Prtry>	[1..1]	±		1799

66.1.28.2.4.1 Date <Dt>

Presence: [0..1]

Definition: Date on which the investor starts high frequency trading.

Datatype: "ISODate" on page 2668

66.1.28.2.4.2 SettlementFrequency <SttlmFrqcy>

Presence: [0..1]

Definition: Frequency of settlement.

SettlementFrequency <SttlmFrqcy> contains one of the following **SettlementFrequency1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1798
Or}	Proprietary <Prtry>	[1..1]	±		1798

66.1.28.2.4.2.1 Code <Cd>

Presence: [1..1]

Definition: Settlement frequency expressed as a code.

Datatype: "EventFrequency10Code" on page 2575

CodeName	Name	Definition
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.

66.1.28.2.4.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Settlement frequency expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.28.2.4.3 ConsolidationType <CnsltdnTp>

Presence: [0..1]

Definition: Specifies whether consolidation is done generally or at the level of segregated account.

ConsolidationType <CnsltdnTp> contains one of the following **ConsolidationType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1799
Or}	Proprietary <Prtry>	[1..1]	±		1799

66.1.28.2.4.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Consolidation type expressed as a code.*Datatype:* "ConsolidationType1Code" on page 2565

CodeName	Name	Definition
GENL	General	Consolidation is general.
PART	Participation	Consolidation is at the level of the participant.

66.1.28.2.4.3.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Consolidation type expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.28.2.5 MarketMaker <MktMakr>*Presence:* [0..1]*Definition:* Information about the profile for a market marker.**MarketMaker <MktMakr>** contains the following **MarketMakerProfile2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ContractPeriod <CtrctPrd>	[0..1]			1799
	FromDateTime <FrDtTm>	[1..1]	DateTime		1800
	ToDateTime <ToDtTm>	[0..1]	DateTime		1800
	Compliance <Cmplc>	[0..1]	Indicator		1800
	MaximumSpread <MaxSprd>	[0..1]	Rate		1800
	Discount <Dscnt>	[0..1]	Rate		1800

66.1.28.2.5.1 ContractPeriod <CtrctPrd>*Presence:* [0..1]*Definition:* Period of the contract.

ContractPeriod <CtrctPrd> contains the following **DateTimePeriod2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		1800
	ToDateTime <ToDtTm>	[0..1]	DateTime		1800

66.1.28.2.5.1.1 FromDateTime <FrDtTm>

Presence: [1..1]

Definition: Date and time at which the range starts.

Datatype: "ISODateTime" on page 2669

66.1.28.2.5.1.2 ToDateTime <ToDtTm>

Presence: [0..1]

Definition: Date and time at which the range ends.

Datatype: "ISODateTime" on page 2669

66.1.28.2.5.2 Compliance <Cmplc>

Presence: [0..1]

Definition: Indicates whether the market maker is obligated to comply with the requirements of the contract it holds with the exchange or is exempt from these obligations.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.28.2.5.3 MaximumSpread <MaxSprd>

Presence: [0..1]

Definition: Percentage of the variation between the maximum accepted minimum and maximum value of an action.

Datatype: "PercentageRate" on page 2681

66.1.28.2.5.4 Discount <Dscnt>

Presence: [0..1]

Definition: Rate of discount.

Datatype: "PercentageRate" on page 2681

66.1.28.3 ResidualCash2

Definition: Specifies how the cash in the account that is awaiting investment is to be dealt with.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Indicator <Ind>	[1..1]	Indicator		1801
	Currency <Ccy>	[0..1]	CodeSet	C2	1801

66.1.28.3.1 Indicator <Ind>*Presence:* [1..1]*Definition:* Indicates whether the cash in the account awaiting investment is to be transferred.*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.28.3.2 Currency <Ccy>*Presence:* [0..1]*Definition:* Currency of the cash.*Impacted by:* [C2 "ActiveCurrency"](#)*Datatype:* ["ActiveCurrencyCode"](#) on page 2552**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.28.4 ResidualCash1*Definition:* Specifies whether there is cash in the account awaiting investment.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ResidualCashIndicator <RsdICshInd>	[1..1]	Indicator		1801
	Currency <Ccy>	[0..1]	CodeSet	C2	1801

66.1.28.4.1 ResidualCashIndicator <RsdICshInd>*Presence:* [1..1]*Definition:* Indicates whether there is cash in the account awaiting investment.*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.28.4.2 Currency <Ccy>*Presence:* [0..1]*Definition:* Currency of the cash.*Impacted by:* [C2 "ActiveCurrency"](#)*Datatype:* ["ActiveCurrencyCode"](#) on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.28.5 CashAll1

Definition: Specifies whether all assets in the portfolio should be liquidated and transferred as cash.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Indicator <Ind>	[1..1]	Indicator		1802
	Currency <Ccy>	[0..1]	CodeSet	C2	1802

66.1.28.5.1 Indicator <Ind>

Presence: [1..1]

Definition: Indicates whether all assets in the portfolio are to be liquidated and transferred as cash.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.28.5.2 Currency <Ccy>

Presence: [0..1]

Definition: Currency of the cash.

Impacted by: [C2 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyCode"](#) on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.28.6 AllOtherCash1

Definition: Specifies whether all remaining assets in a portfolio not listed for transfer should be liquidated and transferred as cash

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Indicator <Ind>	[1..1]	Indicator		1803
	Currency <Ccy>	[0..1]	CodeSet	C2	1803

66.1.28.6.1 Indicator <Ind>*Presence:* [1..1]*Definition:* Indicates whether all remaining assets in a portfolio not listed for transfer should be liquidated and transferred as cash.*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.28.6.2 Currency <Ccy>*Presence:* [0..1]*Definition:* Currency of the cash.*Impacted by:* [C2 "ActiveCurrency"](#)*Datatype:* ["ActiveCurrencyCode"](#) on page 2552**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.28.7 CopyInformation5*Definition:* Information provided when the message is a copy of a previous message.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1803
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C1	1803

66.1.28.7.1 CopyIndicator <CpyInd>*Presence:* [1..1]*Definition:* Indicates whether the message is a copy.*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.28.7.2 OriginalReceiver <OrgnlRcvr>*Presence:* [0..1]*Definition:* Original receiver of the message, if this message is a copy.*Impacted by:* [C1 "AnyBIC"](#)*Datatype:* ["AnyBICDec2014Identifier"](#) on page 2669

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

66.1.28.8 Notification2

Definition: Information about the type of notification required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NotificationType <NtfctnTp>	[1..1]	Text		1804
	Required <Reqr>	[1..1]	Indicator		1804
	DistributionType <DstrbtnTp>	[0..1]	±		1804

66.1.28.8.1 NotificationType <NtfctnTp>

Presence: [1..1]

Definition: Type of notification.

Datatype: "Max35Text" on page 2683

66.1.28.8.2 Required <Reqr>

Presence: [1..1]

Definition: Indicates whether the notification is required.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.28.8.3 DistributionType <DstrbtnTp>

Presence: [0..1]

Definition: Specifies how the notification is sent.

DistributionType <DstrbtnTp> contains one of the following elements (see "InformationDistribution1Choice" on page 1991 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1992
Or}	Proprietary <Prtry>	[1..1]	±		1992

66.1.28.9 ModificationScope45

Definition: Scope of the modification to be applied on an identified set of information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1805
	AdditionalInformation <AddtlInf>	[1..*]	±		1805

66.1.28.9.1 ModificationScopeIndication <ModScplIndctn>

Presence: [1..1]

Definition: Type of modification to be applied.

Datatype: "DataModification1Code" on page 2570

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

66.1.28.9.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Additional information such as remarks or notes that must be conveyed about the party and or limitations and restrictions.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation13" on page 1805 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Limitation <Lmttn>	[0..1]	Text		1806
	AdditionalInformation <AddtlInf>	[0..1]	Text		1806
	AccountValidation <AcctVldtn>	[0..1]	Text		1806
	Type <Tp>	[0..1]	Text		1806
	Regulator <Rgltr>	[0..1]	±		1806
	Status <Sts>	[0..1]			1807
{Or	Code <Cd>	[1..1]	CodeSet		1807
Or}	Proprietary <Prtry>	[1..1]	±		1807
	Period <Prd>	[0..1]			1807
	FromDateTime <FrDtTm>	[1..1]	DateTime		1808
	ToDateTime <ToDtTm>	[0..1]	DateTime		1808

66.1.28.10 AdditiononallInformation13

Definition: Restrictions, remarks or notes that may be applied to an account or investment plan.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Limitation <Lmttn>	[0..1]	Text		1806
	AdditionalInformation <AddtlInf>	[0..1]	Text		1806
	AccountValidation <AcctVldtn>	[0..1]	Text		1806
	Type <Tp>	[0..1]	Text		1806
	Regulator <Rgltr>	[0..1]	±		1806
	Status <Sts>	[0..1]			1807
{Or	Code <Cd>	[1..1]	CodeSet		1807
Or}	Proprietary <Prtry>	[1..1]	±		1807
	Period <Prd>	[0..1]			1807
	FromDateTime <FrDtTm>	[1..1]	DateTime		1808
	ToDateTime <ToDtTm>	[0..1]	DateTime		1808

66.1.28.10.1 Limitation <Lmttn>*Presence:* [0..1]*Definition:* Restrictions and/or limitations on the account or party.*Datatype:* "Max350Text" on page 2683**66.1.28.10.2 AdditionalInformation <AddtlInf>***Presence:* [0..1]*Definition:* Additional information such as remarks or notes that must be conveyed about the account management activity or party.*Datatype:* "Max350Text" on page 2683**66.1.28.10.3 AccountValidation <AcctVldtn>***Presence:* [0..1]*Definition:* Information or instructions for the by-passing of validations in the account registration process.*Datatype:* "Max350Text" on page 2683**66.1.28.10.4 Type <Tp>***Presence:* [0..1]*Definition:* Type or identification of the remark, note, limitation or restriction.*Datatype:* "Max35Text" on page 2683**66.1.28.10.5 Regulator <Rgltr>***Presence:* [0..1]*Definition:* Regulator that may have to be informed about the remark, note, limitation or restriction.

Regulator <Rgltr> contains one of the following elements (see "[PartyIdentification125Choice](#)" on page 2020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2020
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2020
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2020

66.1.28.10.6 Status <Sts>

Presence: [0..1]

Definition: Status of the remark, note, limitation or restriction.

Status <Sts> contains one of the following **RestrictionStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1807
Or}	Proprietary <Prtry>	[1..1]	±		1807

66.1.28.10.6.1 Code <Cd>

Presence: [1..1]

Definition: Status of the restriction expressed as a code.

Datatype: "[RestrictionStatus1Code](#)" on page 2634

CodeName	Name	Definition
ACTV	Active	Status of the restriction is active.
INAC	Inactive	Status of the restriction is inactive.

66.1.28.10.6.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Status of the restriction expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.28.10.7 Period <Prd>

Presence: [0..1]

Definition: Period of the restriction.

Period <Prd> contains the following **DateTimePeriod2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		1808
	ToDateTime <ToDtTm>	[0..1]	DateTime		1808

66.1.28.10.7.1 FromDateTime <FrDtTm>

Presence: [1..1]

Definition: Date and time at which the range starts.

Datatype: "ISODateTime" on page 2669

66.1.28.10.7.2 ToDateTime <ToDtTm>

Presence: [0..1]

Definition: Date and time at which the range ends.

Datatype: "ISODateTime" on page 2669

66.1.28.11 SecurityClassificationType2Choice

Definition: Choice between a CFI code and an other type of identification for the classification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CFI <CFI>	[1..1]	IdentifierSet		1808
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		1808

66.1.28.11.1 CFI <CFI>

Presence: [1..1]

Definition: Classification type of the financial instrument, as per the ISO 10962 Classification of Financial Instrument (CFI) codification.

Datatype: "CFIOct2015Identifier" on page 2672

66.1.28.11.2 AlternateClassification <AltrnClssfctn>

Presence: [1..1]

Definition: Other type of classification of the financial instrument.

AlternateClassification <AltrnClssfctn> contains the following elements (see "GenericIdentification3" on page 1510 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1510
	Issuer <Issr>	[0..1]	Text		1510

66.1.28.12 PreviousYear2Choice

Definition: Choice between selected investment plans issued during previous years or all investment plans.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		1809
Or}	SpecificPreviousYears <SpfcPrvsYrs>	[1..*]	Year		1809

Constraints

- **SpecificPreviousYearsGuideline**

SpecificPreviousYears must not contain the current year.

66.1.28.12.1 AllPreviousYears <AllPrvsYrs>

Presence: [1..1]

Definition: Selection of all investment plans.

Datatype: "PreviousAll" on page 2685

66.1.28.12.2 SpecificPreviousYears <SpfcPrvsYrs>

Presence: [1..*]

Definition: Selection of investment plans issued during previous years.

Datatype: "ISOYear" on page 2685

66.1.28.13 LinkedMessage1Choice

Definition: Reference to a message.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PreviousReference <PrvsRef>	[1..1]	±		1809
Or	OtherReference <OthrRef>	[1..1]	±		1810
Or}	RelatedReference <RltdRef>	[1..1]	±		1810

66.1.28.13.1 PreviousReference <PrvsRef>

Presence: [1..1]

Definition: Linked previous reference.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference3" on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

66.1.28.13.2 OtherReference <OthrRef>*Presence:* [1..1]*Definition:* Linked other reference.**OtherReference <OthrRef>** contains the following elements (see "[AdditionalReference3](#)" on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

66.1.28.13.3 RelatedReference <RltdRef>*Presence:* [1..1]*Definition:* Linked related reference.**RelatedReference <RltdRef>** contains the following elements (see "[AdditionalReference3](#)" on page 1513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1513
	ReferenceIssuer <RefIssr>	[0..1]	±		1513
	MessageName <MsgNm>	[0..1]	Text		1514

66.1.28.14 CurrencyDesignation1*Definition:* Information about the designation of a currency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CurrencyDesignation <CcyDsgnt>	[0..1]	CodeSet		1810
	Location <Lctn>	[0..1]	CodeSet	C11	1811
	AdditionalInformation <AddtlInf>	[0..1]	Text		1811

66.1.28.14.1 CurrencyDesignation <CcyDsgnt>*Presence:* [0..1]*Definition:* Specifies whether the currency is settled offshore or onshore.*Datatype:* "CurrencyDesignation1Code" on page 2570

CodeName	Name	Definition
ONSH	Onshore	Onshore.
OFFS	Offshore	Offshore.

66.1.28.14.2 Location <Lctn>*Presence:* [0..1]*Definition:* Offshore location of the currency.*Impacted by:* C11 "Country"*Datatype:* "CountryCode" on page 2567**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.28.14.3 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Additional information about the off-shore currency.*Datatype:* "Max350Text" on page 2683**66.1.28.15 PerformanceFactors1***Definition:* Performance factors of the investment fund / fund class.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionFactor <CorpActnFctr>	[0..1]	Quantity		1811
	CumulativeCorporateActionFactor <CmltvCorpActnFctr>	[0..1]	Quantity		1811
	AccumulationPeriod <AcmltnPrd>	[0..1]	±		1812
	NormalPerformance <NrmlPrfrmnc>	[0..1]	Quantity		1812

Constraints

- **AccumulationPeriodRule**

AccumulationPeriod must be present if CumulativeCorporateActionFactor is present.

66.1.28.15.1 CorporateActionFactor <CorpActnFctr>*Presence:* [0..1]

Definition: Value of the NAV before all corporate events of the valuation date, divided by the value of the NAV after the corporate event.

Datatype: "DecimalNumber" on page 2680**66.1.28.15.2 CumulativeCorporateActionFactor <CmltvCorpActnFctr>***Presence:* [0..1]

Definition: Value of the NAV before a corporate event, divided by the value of the NAV after the corporate event, accumulated for a number of corporate events over the defined period of time.

Datatype: "DecimalNumber" on page 2680

66.1.28.15.3 AccumulationPeriod <AcmltnPrd>*Presence:* [0..1]*Definition:* Period of time for the calculation of the cumulative corporate action factor.**AccumulationPeriod <AcmltnPrd>** contains the following elements (see "[DatePeriodDetails](#)" on page 1375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		1375
	ToDate <ToDt>	[1..1]	Date		1375

66.1.28.15.4 NormalPerformance <NrmlPrfrmnc>*Presence:* [0..1]*Definition:* Normal performance value of the NAV.*Datatype:* "[DecimalNumber](#)" on page 2680**66.1.28.16 ModificationScope21***Definition:* Scope of the modification to be applied on an identified set of information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1812
	IssueAllocation <IsseAllcn>	[1..1]	±		1812

66.1.28.16.1 ModificationScopeIndication <ModScplIndctn>*Presence:* [1..1]*Definition:* Specifies the type of modification to be applied on a set of information.*Datatype:* "[DataModification1Code](#)" on page 2570

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

66.1.28.16.2 IssueAllocation <IsseAllcn>*Presence:* [1..1]*Definition:* Information about the investment account ownership with respect to new issue allocation for a hedge fund.

IssueAllocation <IsseAllcn> contains the following elements (see "[NewIssueAllocation2](#)" on page 1816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Restricted <Rstrctd>	[1..1]	Indicator		1817
	ExemptPersonReason <XmptPrsnRsn>	[0..1]	Text		1817
	DeMinimus <DeMnms>	[0..1]	±		1817

66.1.28.17 CancellationReason32Choice

Definition: Choice of format for the cancellation reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	Text		1813
Or}	Proprietary <Prtry>	[1..1]	±		1813

66.1.28.17.1 Code <Cd>

Presence: [1..1]

Definition: Cancellation reason expressed as a code.

Datatype: "[Max35Text](#)" on page 2683

66.1.28.17.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Cancellation reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1505
	Issuer <Issr>	[1..1]	Text		1505
	SchemeName <SchmeNm>	[0..1]	Text		1505

66.1.28.18 SignatureType1Choice

Definition: Choice of formats for the signature type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1813
Or}	Proprietary <Prtry>	[1..1]	±		1814

66.1.28.18.1 Code <Cd>

Presence: [1..1]

Definition: Signature type expressed as a code.

Datatype: "SignatureType2Code" on page 2642

CodeName	Name	Definition
DIGI	Digital	Technical signature that includes algorithms and private key and public key information. Used to sign and verify the contents of a message.
ELEC	Electronic	Copy of a physical or original signature in an electronic format.
NONE	None	No signature.
ORIG	Original	Written signature. May also be known as a physical or wet signature.

66.1.28.18.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Signature type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.28.19 CancellationRight1Choice

Definition: Choice of formats for the cancellation right.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1814
Or}	Proprietary <Prtry>	[1..1]	±		1815

66.1.28.19.1 Code <Cd>

Presence: [1..1]

Definition: Cancellation right expressed as a code.

Datatype: "CancellationRight1Code" on page 2556

CodeName	Name	Definition
VALI	Entitled	Investor is entitled to a "cooling off" period with cancellation rights under compliance rules.
NOXO	ExecutionOnly	Investor is not entitled to cancellation rights under compliance rules, as the investor is an execution's only client.

CodeName	Name	Definition
NOWA	WaiverAgreement	Investor is not entitled to cancellation rights under compliance rules as the investor has agreed to waive those rights.
NOIN	Institutional	Investor is not entitled to cancellation rights under compliance rules as the investor is a financial institution.

66.1.28.19.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Cancellation right expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.28.20 ClassificationType32Choice

Definition: Choice of format for the classification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		1815
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		1815

66.1.28.20.1 ClassificationFinancialInstrument <ClssfctnFinInstrm>

Presence: [1..1]

Definition: ISO 10962 Classification of Financial Instrument (CFI).

Datatype: "[CFIOct2015Identifier](#)" on page 2672

66.1.28.20.2 AlternateClassification <AltrnClssfctn>

Presence: [1..1]

Definition: Proprietary classification of financial instrument.

AlternateClassification <AltrnCIsstfctn> contains the following elements (see "GenericIdentification36" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.28.21 InsuranceType2Choice

Definition: Choice of formats for the specification of the type of insurance.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1816
Or}	Proprietary <Prtry>	[1..1]	±		1816

66.1.28.21.1 Code <Cd>

Presence: [1..1]

Definition: Type of insurance contract expressed as a code.

Datatype: "Insurance1Code" on page 2587

CodeName	Name	Definition
LIFE	LifeInsurance	Life insurance.
PDIS	PermanentDisabilityInsurance	Permanent disability insurance.

66.1.28.21.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of insurance contract expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.28.22 NewIssueAllocation2

Definition: Information about the investment account ownership with respect to new issue allocation for a hedge fund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Restricted <Rstrctd>	[1..1]	Indicator		1817
	ExemptPersonReason <XmptPrsnRsn>	[0..1]	Text		1817
	DeMinimus <DeMnms>	[0..1]	±		1817

66.1.28.22.1 Restricted <Rstrctd>

Presence: [1..1]

Definition: Indicates whether the investor is eligible to participate in the profits and losses from a new issue.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.28.22.2 ExemptPersonReason <XmptPrsnRsn>

Presence: [0..1]

Definition: Reason for exemption.

Datatype: ["Max350Text"](#) on page 2683

66.1.28.22.3 DeMinimus <DeMnms>

Presence: [0..1]

Definition: Conditions applicable when the investor is covered by the "de minimis" exemption.

DeMinimus <DeMnms> contains one of the following elements (see ["DeMinimus1Choice"](#) on page 1386 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DeMinimusApplicable <DeMnmsApbl>	[1..1]	±		1386
Or}	DeMinimusNotApplicable <DeMnmsNotApbl>	[1..1]	±		1386

66.1.28.23 Liability1Choice

Definition: Choice of formats for liability.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1817
Or}	Proprietary <Prtry>	[1..1]	±		1818

66.1.28.23.1 Code <Cd>

Presence: [1..1]

Definition: Liability expressed as a code.

Datatype: ["Liability1Code"](#) on page 2607

CodeName	Name	Definition
INVE	Investor	Investor is responsible.
BROK	Broker	Broker is responsible.

66.1.28.23.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Liability expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.28.24 LevelOfControl1Choice

Definition: Choice of formats for the level of control.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1818
Or}	Proprietary <Prtry>	[1..1]	±		1818

66.1.28.24.1 Code <Cd>

Presence: [1..1]

Definition: Level of control expressed as a code.

Datatype: "[LevelOfControl1Code](#)" on page 2606

CodeName	Name	Definition
TRAN	Transactions	Transactions are permitted on the account.
VIEW	View	Account may be viewed only.

66.1.28.24.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Level of control expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.28.25 CopyInformation4

Definition: Information provided when the message is a copy of a previous message.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1819
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1819

66.1.28.25.1 CopyIndicator <CpyInd>

Presence: [1..1]

Definition: Indicates whether the message is a copy.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.28.25.2 OriginalReceiver <OrgnlRcvr>

Presence: [0..1]

Definition: Original receiver of the message, if this message is a copy.

Impacted by: [C7 "AnyBIC"](#)

Datatype: "[AnyBICIdentifier](#)" on page 2669

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

66.1.28.26 DeMinimusNotApplicable1

Definition: Conditions applicable when the investor is not covered by the "de minimis" exemption.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RestrictedPersonReason <RstrctdPrsnRsn>	[1..1]	Text		1820

66.1.28.26.1 RestrictedPersonReason <RstrctdPrsnRsn>*Presence:* [1..1]*Definition:* Reason for the restricted person.*Datatype:* "Max350Text" on page 2683**66.1.28.27 DeMinimusApplicable1***Definition:* Conditions applicable when the investor is covered by the "de minimis" exemption.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NewIssuePermission <NewIssePrmssn>	[1..1]	Indicator		1820
	Percentage <Pctg>	[0..1]	Rate		1820

66.1.28.27.1 NewIssuePermission <NewIssePrmssn>*Presence:* [1..1]*Definition:* Indicates whether the investor permits its beneficial owners that are restricted persons, if any, to participate in profits and losses allocated to the investor that are attribute to new issue securities.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.28.27.2 Percentage <Pctg>*Presence:* [0..1]*Definition:* Percentage of the new issue profits and losses that it receives to beneficial owners that are restricted persons.*Datatype:* "PercentageRate" on page 2681**66.1.28.28 FATCAForm1Choice***Definition:* Choice of formats for the type of Foreign Account Tax Compliance Act (FATCA) form.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1820
Or}	Proprietary <Prtry>	[1..1]	±		1821

66.1.28.28.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of Foreign Account Tax Compliance Act (FATCA) form expressed as a code.*Datatype:* "FATCAFormType1Code" on page 2578

CodeName	Name	Definition
CER5	CertificateForeignIntermediary	Certificate of foreign intermediary (also known as IRS form W-8IMY).
CER7	CertificateForeignPersonsClaim	Certificate of foreign person's claim that income is effectively connected with the conduct of a trade or business in the United States (also known as IRS form W-8BEN-E).
CER1	CertificateForeignStatusBeneficialOwner	Certificate of foreign status of beneficial owner (also known as IRS form W-8BEN).
CER2	CertificateLossNationality	Certificate of loss of nationality.
CER3	SelfCertification	Self certification.
CER4	SelfCertificationLossNationality	Self certificate of loss of nationality (also known as IRS form I-407).
CER6	TaxpayerIdentificationNumberRequest	Form is a request for taxpayer identification number and certification (also known as IRS form W9).

66.1.28.28.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of Foreign Account Tax Compliance Act (FATCA) form expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.28.29 FundCashOutBreakdown3

Definition: Breakdown of cash movements out of a fund as a result of investment funds transactions, eg, redemptions or switch-out.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1822
	UnitsNumber <UnitsNb>	[0..1]	±		1822
	NewAmountIndicator <NewAmtInd>	[0..1]	Indicator		1823
	InvestmentFundTransactionOutType <InvstmtFndTxOutTp>	[1..1]			1823
{Or	Code <Cd>	[1..1]	CodeSet		1823
Or}	Proprietary <Prtry>	[1..1]	±		1823
	OriginalOrderQuantityType <OrgnlOrdQtyTp>	[1..1]			1824
{Or	Code <Cd>	[1..1]	CodeSet		1824
Or}	Proprietary <Prtry>	[1..1]	±		1824
	ChargeDetails <ChrgDtls>	[0..*]	±		1824
	CommissionDetails <ComssnDtls>	[0..*]	±		1825
	SettlementCurrency <SttlmCcy>	[0..1]	CodeSet	C2	1825

66.1.28.29.1 Amount <Amt>

Presence: [0..1]

Definition: Amount of cash flow out, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.28.29.2 UnitsNumber <UnitsNb>

Presence: [0..1]

Definition: Amount of the cash flow out, expressed as a number of units.

UnitsNumber <UnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.28.29.3 NewAmountIndicator <NewAmtInd>

Presence: [0..1]

Definition: Indicates whether the cash flow is an item that did not appear on the previously sent report, for example, because it was received close to cut-off time.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.28.29.4 InvestmentFundTransactionOutType <InvstmtFndTxOutTp>

Presence: [1..1]

Definition: Type of transaction that resulted in the cash-out movement, for example, redemption, switch-out.

InvestmentFundTransactionOutType <InvstmtFndTxOutTp> contains one of the following **InvestmentFundTransactionOutType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1823
Or}	Proprietary <Prtry>	[1..1]	±		1823

66.1.28.29.4.1 Code <Cd>

Presence: [1..1]

Definition: Transaction type expressed as a code.

Datatype: "[InvestmentFundTransactionOutType1Code](#)" on page 2603

CodeName	Name	Definition
REDM	Redemption	Transaction is a redemption of an investment fund.
SWIO	SwitchOut	Transaction is a subscription leg of a switch order execution.
INSP	InSpecie	Transaction is an InSpecie.
CROO	CrossOut	Transaction is a cross out.

66.1.28.29.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Transaction type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.28.29.5 OriginalOrderQuantityType <OrgnlOrdQtyTp>

Presence: [1..1]

Definition: Specifies how the original order was expressed that resulted in the cash-out movement, that is cash or units.

OriginalOrderQuantityType <OrgnlOrdQtyTp> contains one of the following **QuantityType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1824
Or}	Proprietary <Prtry>	[1..1]	±		1824

66.1.28.29.5.1 Code <Cd>

Presence: [1..1]

Definition: Quantity type expressed as a code.

Datatype: "[OrderQuantityType2Code](#)" on page 2611

CodeName	Name	Definition
UNIT	Unit	Order is placed by unit.
CASH	Amount	Order is placed by amount of money.

66.1.28.29.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Quantity type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.28.29.6 ChargeDetails <ChrgDtls>

Presence: [0..*]

Definition: Charge for the placement of an order.

ChargeDetails <ChrgDtls> contains the following elements (see "Charge26" on page 1359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1359
{Or	Code <Cd>	[1..1]	CodeSet		1359
Or}	Proprietary <Prtry>	[1..1]	±		1360
	ChargeApplied <ChrgApld>	[1..1]			1360
{Or	Amount <Amt>	[1..1]	Amount	C2	1360
Or}	Rate <Rate>	[1..1]	Rate		1361

66.1.28.29.7 CommissionDetails <ComssnDtls>

Presence: [0..*]

Definition: Information related to the commission applied to an order, for example, back-end or front-end commission.

CommissionDetails <ComssnDtls> contains the following elements (see "Commission21" on page 1373 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommissionType <ComssnTp>	[1..1]			1373
{Or	Code <Cd>	[1..1]	CodeSet		1374
Or}	Proprietary <Prtry>	[1..1]	±		1374
	CommissionApplied <ComssnApld>	[1..1]			1374
{Or	Amount <Amt>	[1..1]	Amount	C2	1374
Or}	Rate <Rate>	[1..1]	Rate		1375

66.1.28.29.8 SettlementCurrency <SttlmCcy>

Presence: [0..1]

Definition: Settlement currency for the transaction.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.28.30 FundCashInBreakdown3

Definition: Breakdown of cash movements into a fund as a result of investment funds transactions, eg, subscriptions or switch-in.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1826
	UnitsNumber <UnitsNb>	[0..1]	±		1826
	NewAmountIndicator <NewAmtInd>	[0..1]	Indicator		1827
	InvestmentFundTransactionInType <InvstmtFndTxInTp>	[1..1]			1827
{Or	Code <Cd>	[1..1]	CodeSet		1827
Or}	Proprietary <Prtry>	[1..1]	±		1828
	OriginalOrderQuantityType <OrgnlOrdQtyTp>	[1..1]			1828
{Or	Code <Cd>	[1..1]	CodeSet		1828
Or}	Proprietary <Prtry>	[1..1]	±		1828
	ChargeDetails <ChrgDtls>	[0..*]	±		1829
	CommissionDetails <ComssnDtls>	[0..*]	±		1829
	SettlementCurrency <SttlmCcy>	[0..1]	CodeSet	C2	1829

66.1.28.30.1 Amount <Amt>

Presence: [0..1]

Definition: Amount of cash flow in, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.28.30.2 UnitsNumber <UnitsNb>

Presence: [0..1]

Definition: Amount of the cash flow in, expressed as a number of units.

UnitsNumber <UnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.28.30.3 NewAmountIndicator <NewAmtInd>

Presence: [0..1]

Definition: Indicates whether the cash flow is an item that did not appear on the previously sent report, for example, because it was received close to cut-off time.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.28.30.4 InvestmentFundTransactionInType <InvstmtFndTxInTp>

Presence: [1..1]

Definition: Type of transaction that resulted in the cash-in movement, for example, subscription, switch-in.

InvestmentFundTransactionInType <InvstmtFndTxInTp> contains one of the following **InvestmentFundTransactionInType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1827
Or}	Proprietary <Prtry>	[1..1]	±		1828

66.1.28.30.4.1 Code <Cd>

Presence: [1..1]

Definition: Transaction type expressed as a code.

Datatype: "[InvestmentFundTransactionInType1Code](#)" on page 2602

CodeName	Name	Definition
SUBS	Subscription	Transaction is a subscription to an investment fund.
SWII	SwitchIn	Transaction is a subscription leg of a switch order execution.
INSP	InSpecie	Transaction is an InSpecie.
CROI	CrossIn	Transaction is a cross-in.
RDIV	ReinvestmentOfDividend	Transaction is a reinvestment of dividend.

66.1.28.30.4.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Transaction type expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.28.30.5 OriginalOrderQuantityType <OrgnlOrdQtyTp>*Presence:* [1..1]*Definition:* Specifies how the original order was expressed that resulted in the cash-in movement, that is cash or units.**OriginalOrderQuantityType <OrgnlOrdQtyTp>** contains one of the following **QuantityType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1828
Or}	Proprietary <Prtry>	[1..1]	±		1828

66.1.28.30.5.1 Code <Cd>*Presence:* [1..1]*Definition:* Quantity type expressed as a code.*Datatype:* "[OrderQuantityType2Code](#)" on page 2611

CodeName	Name	Definition
UNIT	Unit	Order is placed by unit.
CASH	Amount	Order is placed by amount of money.

66.1.28.30.5.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Quantity type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.28.30.6 ChargeDetails <ChrgDtls>

Presence: [0..*]

Definition: Charge for the placement of an order.

ChargeDetails <ChrgDtls> contains the following elements (see "[Charge26](#)" on page 1359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1359
{Or	Code <Cd>	[1..1]	CodeSet		1359
Or}	Proprietary <Prtry>	[1..1]	±		1360
	ChargeApplied <ChrgApld>	[1..1]			1360
{Or	Amount <Amt>	[1..1]	Amount	C2	1360
Or}	Rate <Rate>	[1..1]	Rate		1361

66.1.28.30.7 CommissionDetails <ComssnDtls>

Presence: [0..*]

Definition: Information related to the commission applied to an order, for example, back-end or front-end commission.

CommissionDetails <ComssnDtls> contains the following elements (see "[Commission21](#)" on page 1373 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommissionType <ComssnTp>	[1..1]			1373
{Or	Code <Cd>	[1..1]	CodeSet		1374
Or}	Proprietary <Prtry>	[1..1]	±		1374
	CommissionApplied <ComssnApld>	[1..1]			1374
{Or	Amount <Amt>	[1..1]	Amount	C2	1374
Or}	Rate <Rate>	[1..1]	Rate		1375

66.1.28.30.8 SettlementCurrency <SttlmCcy>

Presence: [0..1]

Definition: Settlement currency for the transaction.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.28.31 AdditionalParameters1

Definition: Sort criteria.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[0..1]	CodeSet	C11	1830
	Currency <Ccy>	[0..1]	CodeSet	C3	1830
	GeographicalArea <GeoArea>	[0..1]	Text		1831

66.1.28.31.1 Country <Ctry>

Presence: [0..1]

Definition: Specifies the country.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.28.31.2 Currency <Ccy>

Presence: [0..1]

Definition: Specifies the currency.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.28.31.3 GeographicalArea <GeoArea>

Presence: [0..1]

Definition: Specifies the geographical area, eg, Asia-Pacific, Europe, Middle-East.

Datatype: "Max35Text" on page 2683

66.1.28.32 BreakdownByUserDefinedParameter3

Definition: Specifies the cash-in and cash-out flows by a user defined parameter/s.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[0..1]		C1, C2	1832
	AccountIdentification <AcctId>	[0..1]	±		1832
	OwnerIdentification <OwnrId>	[0..1]	±		1832
	AccountServicer <AcctSvcr>	[0..1]	±		1833
	Country <Ctry>	[0..1]	CodeSet	C11	1833
	Currency <Ccy>	[0..1]	CodeSet	C3	1833
	UserDefined <UsrDfnd>	[0..1]	±		1834
	CashInForecast <CshInFcst>	[0..*]		C5	1834
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1835
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1835
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1835
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1835
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1835
	AdditionalBalance <AddtlBal>	[0..1]	±		1836
	CashOutForecast <CshOutFcst>	[0..*]		C6	1836
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1837
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1837
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1838
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1838
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1838
	AdditionalBalance <AddtlBal>	[0..1]	±		1838
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1839

Constraints

- **UserDefinedRule**

At least one message element in the list (Party, Country, Currency, UserDefined) must be present.
More than one element in the list (Party, Country, Currency, UserDefined) may be present.

66.1.28.32.1 Party <Pty>

Presence: [0..1]

Definition: Party for which the cash flow is being reported.

Impacted by: C1 "AccountOrOwner1Rule", C2 "AccountOrOwner2Rule"

Party <Pty> contains the following **InvestmentAccount42** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[0..1]	±		1832
	OwnerIdentification <OwnrId>	[0..1]	±		1832
	AccountServicer <AcctSvcr>	[0..1]	±		1833

Constraints

- **AccountOrOwner1Rule**

If AccountIdentification is not present, then OwnerIdentification must be present. Both AccountIdentification and OwnerIdentification may be present.

```
On Condition
  /AccountIdentification is absent
Following Must be True
  /OwnerIdentification Must be present
```

- **AccountOrOwner2Rule**

If OwnerIdentification is not present, then AccountIdentification must be present. Both OwnerIdentification and AccountIdentification may be present.

```
On Condition
  /OwnerIdentification is absent
Following Must be True
  /AccountIdentification Must be present
```

66.1.28.32.1.1 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

AccountIdentification <AcctId> contains the following elements (see "[AccountIdentification1](#)" on page 1228 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]	±		1229

66.1.28.32.1.2 OwnerIdentification <OwnrId>

Presence: [0..1]

Definition: Party that legally owns the account.

OwnerIdentification <Ownrld> contains one of the following elements (see "PartyIdentification2Choice" on page 1896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C7	1897
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1897
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1897

66.1.28.32.1.3 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see "PartyIdentification2Choice" on page 1896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C7	1897
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1897
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1897

66.1.28.32.2 Country <Ctry>

Presence: [0..1]

Definition: Country for which the cash flow is being reported.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.28.32.3 Currency <Ccy>

Presence: [0..1]

Definition: Currency for which the cash flow is being reported.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.28.32.4 UserDefined <UsrDfnd>

Presence: [0..1]

Definition: Parameter for which the cash flow is being reported.

UserDefined <UsrDfnd> contains one of the following elements (see "[DataFormat2Choice](#)" on page 2263 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Structured <Strd>	[1..1]	±		2264
Or}	Unstructured <Ustrd>	[1..1]	Text		2264

66.1.28.32.5 CashInForecast <CshInFcst>

Presence: [0..*]

Definition: Cash movement into the fund as a result of transactions in shares in an investment fund, for example, subscriptions or switch-ins.

Impacted by: [C5 "AdditionalBalanceRule"](#)

CashInForecast <CshInFcst> contains the following **CashInForecast5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1835
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1835
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1835
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1835
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1835
	AdditionalBalance <AddtlBal>	[0..1]	±		1836

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present
 Or /AdditionalBalance/TotalCashFromCashOrders Must be present

66.1.28.32.5.1 CashSettlementDate <CshSttlmDt>

Presence: [1..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2668

66.1.28.32.5.2 SubTotalAmount <SubTtlAmt>

Presence: [0..1]

Definition: Sub-total amount of the cash flow in, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.28.32.5.3 SubTotalUnitsNumber <SubTtlUnitsNb>

Presence: [0..1]

Definition: Sub-total amount of the cash flow in, expressed as a number of units.

SubTotalUnitsNumber <SubTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.28.32.5.4 ExceptionalCashFlowIndicator <XcptnlCshFlowInd>

Presence: [0..1]

Definition: Indicates whether the estimated cash flow in is exceptional.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.28.32.5.5 CashInBreakdownDetails <CshInBrkdwnDtls>

Presence: [0..*]

Definition: Breakdown of cash in amounts by transaction and order type.

CashInBreakdownDetails <CshInBrkdwnDtls> contains the following elements (see "FundCashInBreakdown3" on page 1826 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1826
	UnitsNumber <UnitsNb>	[0..1]	±		1826
	NewAmountIndicator <NewAmtInd>	[0..1]	Indicator		1827
	InvestmentFundTransactionInType <InvstmtFndTxInTp>	[1..1]			1827
{Or	Code <Cd>	[1..1]	CodeSet		1827
Or}	Proprietary <Prtry>	[1..1]	±		1828
	OriginalOrderQuantityType <OrgnlOrdQtyTp>	[1..1]			1828
{Or	Code <Cd>	[1..1]	CodeSet		1828
Or}	Proprietary <Prtry>	[1..1]	±		1828
	ChargeDetails <ChrgDtls>	[0..*]	±		1829
	CommissionDetails <ComssnDtls>	[0..*]	±		1829
	SettlementCurrency <SttlmCcy>	[0..1]	CodeSet	C2	1829

66.1.28.32.5.6 AdditionalBalance <AddtlBal>

Presence: [0..1]

Definition: Additional balances for cash amounts and number of units.

In an estimated report, the total cash derived from orders placed as a number of units is an estimated cash amount and the total number of units derived from orders placed as a cash amount is an estimated number of units.

AdditionalBalance <AddtlBal> contains the following elements (see "FundBalance1" on page 1277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1277
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1278
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1278
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1278

66.1.28.32.6 CashOutForecast <CshOutFcst>

Presence: [0..*]

Definition: Cash movement out of the fund as a result of transactions in shares in an investment fund, for example, redemptions or switch-outs.

Impacted by: C6 "AdditionalBalanceRule"

CashOutForecast <CshOutFcst> contains the following **CashOutForecast5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1837
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1837
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1838
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1838
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1838
	AdditionalBalance <AddtlBal>	[0..1]	±		1838

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

66.1.28.32.6.1 CashSettlementDate <CshSttlmDt>

Presence: [1..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2668

66.1.28.32.6.2 SubTotalAmount <SubTtlAmt>

Presence: [0..1]

Definition: Sub-total amount of the cash flow out, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.28.32.6.3 SubTotalUnitsNumber <SubTtlUnitsNb>*Presence:* [0..1]*Definition:* Sub-total amount of the cash flow out, expressed as a number of units.**SubTotalUnitsNumber <SubTtlUnitsNb>** contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.28.32.6.4 ExceptionalCashFlowIndicator <XcptnlCshFlowInd>*Presence:* [0..1]*Definition:* Indicates whether the estimated cash flow out is exceptional.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.28.32.6.5 CashOutBreakdownDetails <CshOutBrkdwnDtls>*Presence:* [0..*]*Definition:* Breakdown of cash out amounts by transaction and order type.**CashOutBreakdownDetails <CshOutBrkdwnDtls>** contains the following elements (see "FundCashOutBreakdown3" on page 1821 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1822
	UnitsNumber <UnitsNb>	[0..1]	±		1822
	NewAmountIndicator <NewAmtInd>	[0..1]	Indicator		1823
	InvestmentFundTransactionOutType <InvstmtFndTxOutTp>	[1..1]			1823
{Or	Code <Cd>	[1..1]	CodeSet		1823
Or}	Proprietary <Prtry>	[1..1]	±		1823
	OriginalOrderQuantityType <OrgnlOrdQtyTp>	[1..1]			1824
{Or	Code <Cd>	[1..1]	CodeSet		1824
Or}	Proprietary <Prtry>	[1..1]	±		1824
	ChargeDetails <ChrgDtls>	[0..*]	±		1824
	CommissionDetails <ComssnDtls>	[0..*]	±		1825
	SettlementCurrency <SttlmCcy>	[0..1]	CodeSet	C2	1825

66.1.28.32.6.6 AdditionalBalance <AddtlBal>*Presence:* [0..1]

Definition: Additional balances for cash amounts and number of units.

In an estimated report, the total cash derived from orders placed as a number of units is an estimated cash amount and the total number of units derived from orders placed as a cash amount is an estimated number of units.

AdditionalBalance <AddtlBal> contains the following elements (see ["FundBalance1"](#) on page 1277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1277
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1278
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1278
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1278

66.1.28.32.7 NetCashForecast <NetCshFcst>

Presence: [0..*]

Definition: Net cash as a result of the cash-in and cash-out flows specified for the user defined parameter.

Impacted by: [C7 "AdditionalBalanceRule"](#)

NetCashForecast <NetCshFcst> contains the following elements (see ["NetCashForecast4"](#) on page 1312 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1313
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1313
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1313
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1314
	AdditionalBalance <AddtlBal>	[0..1]	±		1314

Constraints

- AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

66.1.28.33 BreakdownByCurrency2

Definition: Specifies the cash-in and cash-out flows by currency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Currency <Ccy>	[1..1]	CodeSet	C3	1840
	CashOutForecast <CshOutFcst>	[0..*]		C6	1840
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1841
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1841
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1842
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1842
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1842
	AdditionalBalance <AddtlBal>	[0..1]	±		1842
	CashInForecast <CshInFcst>	[0..*]		C5	1843
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1844
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1844
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1844
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1844
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1844
	AdditionalBalance <AddtlBal>	[0..1]	±		1845
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1845

66.1.28.33.1 Currency <Ccy>

Presence: [1..1]

Definition: Currency for which the cash flow is being reported.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.28.33.2 CashOutForecast <CshOutFcst>

Presence: [0..*]

Definition: Cash movement out of the fund as a result of transactions in shares in an investment fund, for example, redemptions or switch-outs.

Impacted by: C6 "AdditionalBalanceRule"

CashOutForecast <CshOutFcst> contains the following **CashOutForecast5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1841
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1841
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1842
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1842
	CashOutBreakdownDetails <CshOutBrkdownDtls>	[0..*]	±		1842
	AdditionalBalance <AddtlBal>	[0..1]	±		1842

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

66.1.28.33.2.1 CashSettlementDate <CshSttlmDt>

Presence: [1..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2668

66.1.28.33.2.2 SubTotalAmount <SubTtlAmt>

Presence: [0..1]

Definition: Sub-total amount of the cash flow out, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.28.33.2.3 SubTotalUnitsNumber <SubTtlUnitsNb>*Presence:* [0..1]*Definition:* Sub-total amount of the cash flow out, expressed as a number of units.**SubTotalUnitsNumber <SubTtlUnitsNb>** contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.28.33.2.4 ExceptionalCashFlowIndicator <XcptnlCshFlowInd>*Presence:* [0..1]*Definition:* Indicates whether the estimated cash flow out is exceptional.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.28.33.2.5 CashOutBreakdownDetails <CshOutBrkdwnDtls>*Presence:* [0..*]*Definition:* Breakdown of cash out amounts by transaction and order type.**CashOutBreakdownDetails <CshOutBrkdwnDtls>** contains the following elements (see "FundCashOutBreakdown3" on page 1821 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1822
	UnitsNumber <UnitsNb>	[0..1]	±		1822
	NewAmountIndicator <NewAmtInd>	[0..1]	Indicator		1823
	InvestmentFundTransactionOutType <InvstmtFndTxOutTp>	[1..1]			1823
{Or	Code <Cd>	[1..1]	CodeSet		1823
Or}	Proprietary <Prtry>	[1..1]	±		1823
	OriginalOrderQuantityType <OrgnlOrdQtyTp>	[1..1]			1824
{Or	Code <Cd>	[1..1]	CodeSet		1824
Or}	Proprietary <Prtry>	[1..1]	±		1824
	ChargeDetails <ChrgDtls>	[0..*]	±		1824
	CommissionDetails <ComssnDtls>	[0..*]	±		1825
	SettlementCurrency <SttlmCcy>	[0..1]	CodeSet	C2	1825

66.1.28.33.2.6 AdditionalBalance <AddtlBal>*Presence:* [0..1]

Definition: Additional balances for cash amounts and number of units.

In an estimated report, the total cash derived from orders placed as a number of units is an estimated cash amount and the total number of units derived from orders placed as a cash amount is an estimated number of units.

AdditionalBalance <AddtlBal> contains the following elements (see "FundBalance1" on page 1277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1277
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1278
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1278
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1278

66.1.28.33.3 CashInForecast <CshInFcst>

Presence: [0..*]

Definition: Cash movement into the fund as a result of transactions in shares in an investment fund, for example, subscriptions or switch-ins.

Impacted by: C5 "AdditionalBalanceRule"

CashInForecast <CshInFcst> contains the following **CashInForecast5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1844
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1844
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1844
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1844
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1844
	AdditionalBalance <AddtlBal>	[0..1]	±		1845

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present
 Or /AdditionalBalance/TotalCashFromCashOrders Must be present

66.1.28.33.3.1 CashSettlementDate <CshSttlmDt>

Presence: [1..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2668

66.1.28.33.3.2 SubTotalAmount <SubTtlAmt>

Presence: [0..1]

Definition: Sub-total amount of the cash flow in, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.28.33.3.3 SubTotalUnitsNumber <SubTtlUnitsNb>

Presence: [0..1]

Definition: Sub-total amount of the cash flow in, expressed as a number of units.

SubTotalUnitsNumber <SubTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.28.33.3.4 ExceptionalCashFlowIndicator <XcptnlCshFlowInd>

Presence: [0..1]

Definition: Indicates whether the estimated cash flow in is exceptional.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.28.33.3.5 CashInBreakdownDetails <CshInBrkdwnDtls>

Presence: [0..*]

Definition: Breakdown of cash in amounts by transaction and order type.

CashInBreakdownDetails <CshInBrkdwnDtls> contains the following elements (see "FundCashInBreakdown3" on page 1826 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1826
	UnitsNumber <UnitsNb>	[0..1]	±		1826
	NewAmountIndicator <NewAmtInd>	[0..1]	Indicator		1827
	InvestmentFundTransactionInType <InvstmtFndTxInTp>	[1..1]			1827
{Or	Code <Cd>	[1..1]	CodeSet		1827
Or}	Proprietary <Prtry>	[1..1]	±		1828
	OriginalOrderQuantityType <OrgnlOrdrQtyTp>	[1..1]			1828
{Or	Code <Cd>	[1..1]	CodeSet		1828
Or}	Proprietary <Prtry>	[1..1]	±		1828
	ChargeDetails <ChrgDtls>	[0..*]	±		1829
	CommissionDetails <ComssnDtls>	[0..*]	±		1829
	SettlementCurrency <SttlmCcy>	[0..1]	CodeSet	C2	1829

66.1.28.33.3.6 AdditionalBalance <AddtlBal>

Presence: [0..1]

Definition: Additional balances for cash amounts and number of units.

In an estimated report, the total cash derived from orders placed as a number of units is an estimated cash amount and the total number of units derived from orders placed as a cash amount is an estimated number of units.

AdditionalBalance <AddtlBal> contains the following elements (see "FundBalance1" on page 1277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1277
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1278
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1278
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1278

66.1.28.33.4 NetCashForecast <NetCshFcst>

Presence: [0..*]

Definition: Net cash as a result of the cash-in and cash-out flows specified for the currency.

Impacted by: C7 "AdditionalBalanceRule"

NetCashForecast <NetCshFcst> contains the following elements (see "[NetCashForecast4](#)" on page 1312 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1313
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1313
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1313
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1314
	AdditionalBalance <AddtlBal>	[0..1]	±		1314

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

66.1.28.34 BreakdownByCountry2

Definition: Specifies the cash-in and cash-out flows by country.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1847
	CashInForecast <CshInFcst>	[0..*]		C5	1847
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1848
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1848
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1849
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1849
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1849
	AdditionalBalance <AddtlBal>	[0..1]	±		1849
	CashOutForecast <CshOutFcst>	[0..*]		C6	1850
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1851
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1851
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1851
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1851
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1851
	AdditionalBalance <AddtlBal>	[0..1]	±		1852
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1852

66.1.28.34.1 Country <Ctry>

Presence: [1..1]

Definition: Country for which the cash flow is being reported.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.28.34.2 CashInForecast <CshInFcst>

Presence: [0..*]

Definition: Cash movement into the fund as a result of transactions in shares in an investment fund, for example, subscriptions or switch-ins.

Impacted by: C5 "AdditionalBalanceRule"

CashInForecast <CshInFcst> contains the following **CashInForecast5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1848
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1848
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1849
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1849
	CashInBreakdownDetails <CshInBrkdwnDtIs>	[0..*]	±		1849
	AdditionalBalance <AddtlBal>	[0..1]	±		1849

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

66.1.28.34.2.1 CashSettlementDate <CshSttlmDt>

Presence: [1..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2668

66.1.28.34.2.2 SubTotalAmount <SubTtlAmt>

Presence: [0..1]

Definition: Sub-total amount of the cash flow in, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.28.34.2.3 SubTotalUnitsNumber <SubTtlUnitsNb>*Presence:* [0..1]*Definition:* Sub-total amount of the cash flow in, expressed as a number of units.**SubTotalUnitsNumber <SubTtlUnitsNb>** contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.28.34.2.4 ExceptionalCashFlowIndicator <XcptnlCshFlowInd>*Presence:* [0..1]*Definition:* Indicates whether the estimated cash flow in is exceptional.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.28.34.2.5 CashInBreakdownDetails <CshInBrkdwnDtls>*Presence:* [0..*]*Definition:* Breakdown of cash in amounts by transaction and order type.**CashInBreakdownDetails <CshInBrkdwnDtls>** contains the following elements (see "FundCashInBreakdown3" on page 1826 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1826
	UnitsNumber <UnitsNb>	[0..1]	±		1826
	NewAmountIndicator <NewAmtInd>	[0..1]	Indicator		1827
	InvestmentFundTransactionInType <InvstmtFndTxInTp>	[1..1]			1827
{Or	Code <Cd>	[1..1]	CodeSet		1827
Or}	Proprietary <Prtry>	[1..1]	±		1828
	OriginalOrderQuantityType <OrgnlOrdQtyTp>	[1..1]			1828
{Or	Code <Cd>	[1..1]	CodeSet		1828
Or}	Proprietary <Prtry>	[1..1]	±		1828
	ChargeDetails <ChrgDtls>	[0..*]	±		1829
	CommissionDetails <ComssnDtls>	[0..*]	±		1829
	SettlementCurrency <SttlmCcy>	[0..1]	CodeSet	C2	1829

66.1.28.34.2.6 AdditionalBalance <AddtlBal>*Presence:* [0..1]

Definition: Additional balances for cash amounts and number of units.

In an estimated report, the total cash derived from orders placed as a number of units is an estimated cash amount and the total number of units derived from orders placed as a cash amount is an estimated number of units.

AdditionalBalance <AddtlBal> contains the following elements (see "FundBalance1" on page 1277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1277
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1278
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1278
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1278

66.1.28.34.3 CashOutForecast <CshOutFcst>

Presence: [0..*]

Definition: Cash movement out of the fund as a result of transactions in shares in an investment fund, for example, redemptions or switch-outs.

Impacted by: C6 "AdditionalBalanceRule"

CashOutForecast <CshOutFcst> contains the following **CashOutForecast5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1851
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1851
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1851
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1851
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1851
	AdditionalBalance <AddtlBal>	[0..1]	±		1852

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present
 Or /AdditionalBalance/TotalCashFromCashOrders Must be present

66.1.28.34.3.1 CashSettlementDate <CshSttlmDt>

Presence: [1..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2668

66.1.28.34.3.2 SubTotalAmount <SubTtlAmt>

Presence: [0..1]

Definition: Sub-total amount of the cash flow out, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.28.34.3.3 SubTotalUnitsNumber <SubTtlUnitsNb>

Presence: [0..1]

Definition: Sub-total amount of the cash flow out, expressed as a number of units.

SubTotalUnitsNumber <SubTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.28.34.3.4 ExceptionalCashFlowIndicator <XcptnlCshFlowInd>

Presence: [0..1]

Definition: Indicates whether the estimated cash flow out is exceptional.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.28.34.3.5 CashOutBreakdownDetails <CshOutBrkdwnDtls>

Presence: [0..*]

Definition: Breakdown of cash out amounts by transaction and order type.

CashOutBreakdownDetails <CshOutBrkdwDtls> contains the following elements (see "FundCashOutBreakdown3" on page 1821 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1822
	UnitsNumber <UnitsNb>	[0..1]	±		1822
	NewAmountIndicator <NewAmtInd>	[0..1]	Indicator		1823
	InvestmentFundTransactionOutType <InvstmntFndTxOutTp>	[1..1]			1823
{Or	Code <Cd>	[1..1]	CodeSet		1823
Or}	Proprietary <Prtry>	[1..1]	±		1823
	OriginalOrderQuantityType <OrgnlOrdQtyTp>	[1..1]			1824
{Or	Code <Cd>	[1..1]	CodeSet		1824
Or}	Proprietary <Prtry>	[1..1]	±		1824
	ChargeDetails <ChrgDtls>	[0..*]	±		1824
	CommissionDetails <ComssnDtls>	[0..*]	±		1825
	SettlementCurrency <SttlmCcy>	[0..1]	CodeSet	C2	1825

66.1.28.34.3.6 AdditionalBalance <AddtlBal>

Presence: [0..1]

Definition: Additional balances for cash amounts and number of units.

In an estimated report, the total cash derived from orders placed as a number of units is an estimated cash amount and the total number of units derived from orders placed as a cash amount is an estimated number of units.

AdditionalBalance <AddtlBal> contains the following elements (see "FundBalance1" on page 1277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1277
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1278
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1278
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1278

66.1.28.34.4 NetCashForecast <NetCshFcst>

Presence: [0..*]

Definition: Net cash as a result of the cash-in and cash-out flows specified for the country.

Impacted by: C7 "AdditionalBalanceRule"

NetCashForecast <NetCshFcst> contains the following elements (see "**NetCashForecast4**" on page 1312 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1313
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1313
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1313
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1314
	AdditionalBalance <AddtlBal>	[0..1]	±		1314

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

66.1.28.35 BreakdownByParty3

Definition: Specifies the cash-in and cash-out flows by party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]		C1, C2	1854
	AccountIdentification <AcctId>	[0..1]	±		1855
	OwnerIdentification <OwnrId>	[0..1]	±		1855
	AccountServicer <AcctSvcr>	[0..1]	±		1855
	AdditionalParameters <AddtlParams>	[0..1]	±		1856
	CashInForecast <CshInFcst>	[0..*]		C5	1856
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1857
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1857
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1857
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1857
	CashInBreakdownDetails <CshInBrkdownDtls>	[0..*]	±		1858
	AdditionalBalance <AddtlBal>	[0..1]	±		1858
	CashOutForecast <CshOutFcst>	[0..*]		C6	1858
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1859
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1859
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1860
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1860
	CashOutBreakdownDetails <CshOutBrkdownDtls>	[0..*]	±		1860
	AdditionalBalance <AddtlBal>	[0..1]	±		1861
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1861

66.1.28.35.1 Party <Pty>

Presence: [1..1]

Definition: Party, for example, fund management company, for which the cash flow is being reported.

Impacted by: C1 "AccountOrOwner1Rule", C2 "AccountOrOwner2Rule"

Party <Pty> contains the following **InvestmentAccount42** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[0..1]	±		1855
	OwnerIdentification <OwnrId>	[0..1]	±		1855
	AccountServicer <AcctSvcr>	[0..1]	±		1855

Constraints

- **AccountOrOwner1Rule**

If AccountIdentification is not present, then OwnerIdentification must be present. Both AccountIdentification and OwnerIdentification may be present.

On Condition
 /AccountIdentification is absent
 Following Must be True
 /OwnerIdentification Must be present

- **AccountOrOwner2Rule**

If OwnerIdentification is not present, then AccountIdentification must be present. Both OwnerIdentification and AccountIdentification may be present.

On Condition
 /OwnerIdentification is absent
 Following Must be True
 /AccountIdentification Must be present

66.1.28.35.1.1 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

AccountIdentification <AcctId> contains the following elements (see "[AccountIdentification1](#)" on page 1228 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]	±		1229

66.1.28.35.1.2 OwnerIdentification <OwnrId>

Presence: [0..1]

Definition: Party that legally owns the account.

OwnerIdentification <OwnrId> contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 1896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	1897
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1897
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1897

66.1.28.35.1.3 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 1896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	1897
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1897
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1897

66.1.28.35.2 AdditionalParameters <AddtlParams>

Presence: [0..1]

Definition: Additional parameter/s applied to the cash flow by party.

AdditionalParameters <AddtlParams> contains the following elements (see "[AdditionalParameters1](#)" on page 1830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[0..1]	CodeSet	C11	1830
	Currency <Ccy>	[0..1]	CodeSet	C3	1830
	GeographicalArea <GeoArea>	[0..1]	Text		1831

66.1.28.35.3 CashInForecast <CshInFcst>

Presence: [0..*]

Definition: Cash movement into the fund as a result of transactions in shares in an investment fund, for example, subscriptions or switch-ins.

Impacted by: C5 "[AdditionalBalanceRule](#)"

CashInForecast <CshInFcst> contains the following **CashInForecast5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1857
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1857
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1857
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1857
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1858
	AdditionalBalance <AddtlBal>	[0..1]	±		1858

Constraints

- AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition
 /AdditionalBalance is present
 Following Must be True
 /AdditionalBalance/TotalUnitsFromUnitOrders Must be present
 Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present
 Or /AdditionalBalance/TotalCashFromUnitOrders Must be present
 Or /AdditionalBalance/TotalCashFromCashOrders Must be present

66.1.28.35.3.1 CashSettlementDate <CshSttImDt>

Presence: [1..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2668

66.1.28.35.3.2 SubTotalAmount <SubTtlAmt>

Presence: [0..1]

Definition: Sub-total amount of the cash flow in, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.28.35.3.3 SubTotalUnitsNumber <SubTtlUnitsNb>

Presence: [0..1]

Definition: Sub-total amount of the cash flow in, expressed as a number of units.

SubTotalUnitsNumber <SubTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.28.35.3.4 ExceptionalCashFlowIndicator <XcptnCshFlowInd>

Presence: [0..1]

Definition: Indicates whether the estimated cash flow in is exceptional.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.28.35.3.5 CashInBreakdownDetails <CshInBrkdwnDtls>*Presence:* [0..*]*Definition:* Breakdown of cash in amounts by transaction and order type.

CashInBreakdownDetails <CshInBrkdwnDtls> contains the following elements (see "FundCashInBreakdown3" on page 1826 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1826
	UnitsNumber <UnitsNb>	[0..1]	±		1826
	NewAmountIndicator <NewAmtInd>	[0..1]	Indicator		1827
	InvestmentFundTransactionInType <InvstmtFndTxInTp>	[1..1]			1827
{Or	Code <Cd>	[1..1]	CodeSet		1827
Or}	Proprietary <Prtry>	[1..1]	±		1828
	OriginalOrderQuantityType <OrgnlOrdrQtyTp>	[1..1]			1828
{Or	Code <Cd>	[1..1]	CodeSet		1828
Or}	Proprietary <Prtry>	[1..1]	±		1828
	ChargeDetails <ChrgDtls>	[0..*]	±		1829
	CommissionDetails <ComssnDtls>	[0..*]	±		1829
	SettlementCurrency <SttlmCcy>	[0..1]	CodeSet	C2	1829

66.1.28.35.3.6 AdditionalBalance <AddtlBal>*Presence:* [0..1]*Definition:* Additional balances for cash amounts and number of units.

In an estimated report, the total cash derived from orders placed as a number of units is an estimated cash amount and the total number of units derived from orders placed as a cash amount is an estimated number of units.

AdditionalBalance <AddtlBal> contains the following elements (see "FundBalance1" on page 1277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1277
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1278
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1278
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1278

66.1.28.35.4 CashOutForecast <CshOutFcst>*Presence:* [0..*]

Definition: Cash movement out of the fund as a result of transactions in shares in an investment fund, for example, redemptions or switch-outs.

Impacted by: C6 "AdditionalBalanceRule"

CashOutForecast <CshOutFcst> contains the following **CashOutForecast5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1859
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1859
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1860
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1860
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1860
	AdditionalBalance <AddtlBal>	[0..1]	±		1861

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

66.1.28.35.4.1 CashSettlementDate <CshSttlmDt>

Presence: [1..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2668

66.1.28.35.4.2 SubTotalAmount <SubTtlAmt>

Presence: [0..1]

Definition: Sub-total amount of the cash flow out, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.28.35.4.3 SubTotalUnitsNumber <SubTtlUnitsNb>

Presence: [0..1]

Definition: Sub-total amount of the cash flow out, expressed as a number of units.

SubTotalUnitsNumber <SubTtlUnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.28.35.4.4 ExceptionalCashFlowIndicator <XcptnlCshFlowInd>

Presence: [0..1]

Definition: Indicates whether the estimated cash flow out is exceptional.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.28.35.4.5 CashOutBreakdownDetails <CshOutBrkdwnDtls>

Presence: [0..*]

Definition: Breakdown of cash out amounts by transaction and order type.

CashOutBreakdownDetails <CshOutBrkdwnDtls> contains the following elements (see "FundCashOutBreakdown3" on page 1821 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1822
	UnitsNumber <UnitsNb>	[0..1]	±		1822
	NewAmountIndicator <NewAmtInd>	[0..1]	Indicator		1823
	InvestmentFundTransactionOutType <InvstmtFndTxOutTp>	[1..1]			1823
{Or	Code <Cd>	[1..1]	CodeSet		1823
Or}	Proprietary <Prtry>	[1..1]	±		1823
	OriginalOrderQuantityType <OrgnlOrdQtyTp>	[1..1]			1824
{Or	Code <Cd>	[1..1]	CodeSet		1824
Or}	Proprietary <Prtry>	[1..1]	±		1824
	ChargeDetails <ChrgDtls>	[0..*]	±		1824
	CommissionDetails <ComssnDtls>	[0..*]	±		1825
	SettlementCurrency <SttlmCcy>	[0..1]	CodeSet	C2	1825

66.1.28.35.4.6 AdditionalBalance <AddtlBal>

Presence: [0..1]

Definition: Additional balances for cash amounts and number of units.

In an estimated report, the total cash derived from orders placed as a number of units is an estimated cash amount and the total number of units derived from orders placed as a cash amount is an estimated number of units.

AdditionalBalance <AddtlBal> contains the following elements (see "FundBalance1" on page 1277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1277
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1278
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1278
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1278

66.1.28.35.5 NetCashForecast <NetCshFcst>

Presence: [0..*]

Definition: Net cash as a result of the cash-in and cash-out flows specified for the party.

Impacted by: C7 "AdditionalBalanceRule"

NetCashForecast <NetCshFcst> contains the following elements (see "[NetCashForecast4](#)" on page 1312 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1313
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1313
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1313
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1314
	AdditionalBalance <AddtlBal>	[0..1]	±		1314

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

66.1.28.36 MarketPracticeVersion1

Definition: Identifies the implementation and version.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1862
	Date <Dt>	[0..1]	YearMonth		1862
	Number <Nb>	[0..1]	Text		1862

66.1.28.36.1 Name <Nm>

Presence: [1..1]

Definition: Market practice, for example, "UKTRANSFERS", "FINDELSLT".

Datatype: "[Max35Text](#)" on page 2683

66.1.28.36.2 Date <Dt>

Presence: [0..1]

Definition: Year and month, for example, 2013-06.

Datatype: "[ISOYearMonth](#)" on page 2686

66.1.28.36.3 Number <Nb>

Presence: [0..1]

Definition: Version of the market practice.

Datatype: "Max35Text" on page 2683

66.1.28.37 MiFIDClassification1

Definition: Details about the MIFID classification of the account owner.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Classification <Clssfctn>	[1..1]	CodeSet		1863
	Narrative <Nrtrv>	[0..1]	Text		1863

66.1.28.37.1 Classification <Clssfctn>

Presence: [1..1]

Definition: MiFID classification of the account owner.

Datatype: "OrderOriginatorEligibility1Code" on page 2610

CodeName	Name	Definition
ELIG	Eligible	Eligible counterparty - MiFID classification of counterparty. Eligible customers are the most sophisticated level of investor, able to opt out of some the protections afforded by conduct of business rules defined under MiFID.
RETL	Retail	Retail client - MiFID classification of counterparty. Retail customers are the least sophisticated level of investor.
PROF	Professional	Professional client - MiFID classification of counterparty. Professional customers are, for example, investment firms, credit institutions, insurance companies.

66.1.28.37.2 Narrative <Nrtrv>

Presence: [0..1]

Definition: Additional information about the source of classification.

Datatype: "Max350Text" on page 2683

66.1.28.38 LetterIntent1

Definition: Specifies information about the letter of intent.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LetterIntentReference <LtrInttRef>	[1..1]	Text		1864
	Amount <Amt>	[0..1]	Amount	C2	1864
	StartDate <StartDt>	[0..1]	Date		1864
	EndDate <EndDt>	[0..1]	Date		1864

66.1.28.38.1 LetterIntentReference <LtrInttRef>*Presence:* [1..1]*Definition:* Reference of a letter of intent program, in which sales commissions are reduced based on the aggregate of a customer's actual purchase and anticipated purchases, over a specific period of time, and as agreed by the customer.*Datatype:* "Max35Text" on page 2683**66.1.28.38.2 Amount <Amt>***Presence:* [0..1]*Definition:* Amount stated on the letter of intent.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 2545**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.28.38.3 StartDate <StartDt>*Presence:* [0..1]*Definition:* Start date stated on the letter of intent.*Datatype:* "ISODate" on page 2668**66.1.28.38.4 EndDate <EndDt>***Presence:* [0..1]*Definition:* End date stated on the letter of intent.*Datatype:* "ISODate" on page 2668**66.1.28.39 TransactionChannelType1Choice***Definition:* Choice of formats for the specification of the type of transaction Channel.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1864
Or}	Proprietary <Prtry>	[1..1]	±		1865

66.1.28.39.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of transaction channel expressed as a code.*Datatype:* "TransactionChannel2Code" on page 2657

CodeName	Name	Definition
FIAD	FinancialAdvisor	Financial advisor.
HOBA	HomeBanking	Home banking.
BRAN	OfficeOrBranch	Office or branch.

66.1.28.39.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of transaction channel expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.28.40 ValuationStatistics3

Definition: Statistical data related to the price change of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Currency <Ccy>	[1..1]	CodeSet	C3	1867
	PriceTypeChangeBasis <PricTpChngBsis>	[1..1]		C18	1867
	Structured <Strd>	[1..1]	CodeSet		1868
	AdditionalInformation <AddtlInf>	[0..1]	Text		1869
	PriceChange <PricChng>	[1..1]		C5, C6	1869
	Amount <Amt>	[0..1]	Amount	C3	1870
	AmountSign <AmtSgn>	[0..1]	Indicator		1870
	Rate <Rate>	[0..1]	Rate		1870
	Yield <Yld>	[0..1]	Rate		1870
	ByPredefinedTimePeriods <ByPrdfndTmPrds>	[0..1]			1870
	HighestPriceValue12Months <HghstPricVal12Mnths>	[0..1]			1871
	Amount <Amt>	[1..1]	Amount	C3	1871
	LowestPriceValue12Months <LwstPricVal12Mnths>	[0..1]			1872
	Amount <Amt>	[1..1]	Amount	C3	1872
	OneYearPriceChange <OneYrPricChng>	[0..1]		C5, C6	1872
	Amount <Amt>	[0..1]	Amount	C3	1873
	AmountSign <AmtSgn>	[0..1]	Indicator		1873
	Rate <Rate>	[0..1]	Rate		1873
	ThreeYearPriceChange <ThreeYrPricChng>	[0..1]		C5, C6	1873
	Amount <Amt>	[0..1]	Amount	C3	1874
	AmountSign <AmtSgn>	[0..1]	Indicator		1874
	Rate <Rate>	[0..1]	Rate		1874
	FiveYearPriceChange <FiveYrPricChng>	[0..1]		C5, C6	1874
	Amount <Amt>	[0..1]	Amount	C3	1875
	AmountSign <AmtSgn>	[0..1]	Indicator		1875
	Rate <Rate>	[0..1]	Rate		1875
	ByUserDefinedTimePeriod <ByUsrDfndTmPrd>	[0..*]			1876
	Period <Prd>	[1..1]	±		1876
	HighestPriceValue <HghstPricVal>	[0..1]			1876
	Amount <Amt>	[1..1]	Amount	C3	1876
	LowestPriceValue <LwstPricVal>	[0..1]			1877

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C3	1877
	PriceChange <PricChng>	[0..1]		C5, C6	1877
	Amount <Amt>	[0..1]	Amount	C3	1878
	AmountSign <AmtSgn>	[0..1]	Indicator		1878
	Rate <Rate>	[0..1]	Rate		1878
	Yield <Yld>	[0..1]	Rate		1878

Constraints

- **CurrencyPredefinedPeriodsRule**

Currency in ByPredefinedTimePeriods/HighestPriceValue12Months/Amount, ByPredefinedTimePeriods/LowestPriceValue12Months/Amount, ByPredefinedTimePeriods/OneYearPriceChange/Amount, ByPredefinedTimePeriods/ThreeYearPriceChange/Amount and ByPredefinedTimePeriods/FiveYearPriceChange/Amount must be the same as Currency.

- **CurrencyPriceChangeRule**

Currency in PriceChange/Amount must be the same as Currency.

- **CurrencyUserDefinedPeriodsRule**

Currency in ByUserDefinedTimePeriod/HighestPriceValue/Amount, ByUserDefinedTimePeriod/LowestPriceValue/Amount and ByUserDefinedTimePeriod/PriceChange/Amount must be the same as Currency.

66.1.28.40.1 Currency <Ccy>

Presence: [1..1]

Definition: Currency of the valuation statistics.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.28.40.2 PriceTypeChangeBasis <PricTpChngBsis>

Presence: [1..1]

Definition: Type of price from which the change is calculated, eg, bid, offer, or single.

Impacted by: C18 "OtherCodeRule"

PriceTypeChangeBasis <PricTpChngBsis> contains the following **PriceType2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Structured <Strd>	[1..1]	CodeSet		1868
	AdditionalInformation <AddtlInf>	[0..1]	Text		1869

Constraints

- **OtherCodeRule**

If Structured is OTHR, then AdditionalInformation is mandatory.

66.1.28.40.2.1 Structured <Strd>

Presence: [1..1]

Definition: Structured format.

Datatype: "TypeOfPrice6Code" on page 2665

CodeName	Name	Definition
BIDE	Bid	Price is the calculated bid price of a dual-priced fund (offer-bid prices), that is, the selling price of the units for the investor.
OFFR	Offer	Price is the calculated offer price of a dual-priced investment fund (offer-bid prices), that is, the buying price of the units for an investor.
NAVL	NetAssetValue	Price is the net asset value per unit that is used either as a transacting price for a single-priced investment fund class, or as a notional price for the calculation of other prices.
CREA	Creation	Price is the calculated creation price of a dual-priced investment fund (creation-cancellation prices) and the highest possible buying price of the units before the initial charge. The actual buying or offer price, which includes charges, will be higher.
CANC	Cancellation	Price is the calculated cancellation price of a dual-priced investment fund (creation-cancellation price), and the lowest possible valuation of the units on any one-day. The actual selling or bid price is usually higher.
INTE	Interim	Price is the non-official interim price given to an investor before the executed price.
SWNG	Swing	Price is the calculated net asset value price of a single-priced investment fund. The price is adjusted to take into account the dealing costs due to individual large deals, or due to a significant imbalance in volumes of subscriptions vs

CodeName	Name	Definition
		redemptions, as an alternative to dilution levy.
OTHR	Other	Price is another type of price, which is not explicitly defined.
MIDD	Mid	Price is the average price between the bid and offer prices.
RINV	Reinvestment	Price is the price used when reinvesting units after distribution.
SWIC	Switch	Price is the price used when transferring units between products.
DDVR	DailyDividendRate	Price is the net income of a financial instrument, calculated on each dealing day, and divided by all the units outstanding on that day.
ACTU	Actual	True offer price.
NAUP	NonAdjustedUnpublished	The original NAV before the price of the investment fund was swung.

66.1.28.40.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the type of charge.

Datatype: "Max350Text" on page 2683

66.1.28.40.3 PriceChange <PricChng>

Presence: [1..1]

Definition: Change in price since the previous valuation date.

Impacted by: C5 "AmountAndOrRateRule", C6 "AmountSignRule"

PriceChange <PricChng> contains the following **PriceValueChange1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3	1870
	AmountSign <AmtSgn>	[0..1]	Indicator		1870
	Rate <Rate>	[0..1]	Rate		1870

Constraints

- **AmountAndOrRateRule**

If Amount is not present, then Rate is mandatory. If Amount is present, then Rate is optional.

- **AmountSignRule**

If Amount is present, then AmountSign is optional. If Amount is not present, then AmountSign is not allowed.

66.1.28.40.3.1 Amount <Amt>

Presence: [0..1]

Definition: Amount by which the price has changed.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.28.40.3.2 AmountSign <AmtSgn>

Presence: [0..1]

Definition: Indicates a positive or negative amount change.

Datatype: One of the following values must be used (see "PlusOrMinusIndicator" on page 2680):

- *Meaning When True:* Plus
- *Meaning When False:* Minus

66.1.28.40.3.3 Rate <Rate>

Presence: [0..1]

Definition: Rate by which the price has changed.

Datatype: "PercentageRate" on page 2681

66.1.28.40.4 Yield <Yld>

Presence: [0..1]

Definition: Rate of income from the financial instrument, usually calculated as total dividends or coupon interest available to investors in the last year, divided by the current price.

Datatype: "PercentageRate" on page 2681

66.1.28.40.5 ByPredefinedTimePeriods <ByPrdfndTmPrds>

Presence: [0..1]

Definition: Information related to price variations, expressed using pre-defined periods.

ByPredefinedTimePeriods <ByPrdfndTmPrds> contains the following **StatisticsByPredefinedTimePeriods2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	HighestPriceValue12Months <HghstPricVal12Mnths>	[0..1]			1871
	Amount <Amt>	[1..1]	Amount	C3	1871
	LowestPriceValue12Months <LwstPricVal12Mnths>	[0..1]			1872
	Amount <Amt>	[1..1]	Amount	C3	1872
	OneYearPriceChange <OneYrPricChng>	[0..1]		C5, C6	1872
	Amount <Amt>	[0..1]	Amount	C3	1873
	AmountSign <AmtSgn>	[0..1]	Indicator		1873
	Rate <Rate>	[0..1]	Rate		1873
	ThreeYearPriceChange <ThreeYrPricChng>	[0..1]		C5, C6	1873
	Amount <Amt>	[0..1]	Amount	C3	1874
	AmountSign <AmtSgn>	[0..1]	Indicator		1874
	Rate <Rate>	[0..1]	Rate		1874
	FiveYearPriceChange <FiveYrPricChng>	[0..1]		C5, C6	1874
	Amount <Amt>	[0..1]	Amount	C3	1875
	AmountSign <AmtSgn>	[0..1]	Indicator		1875
	Rate <Rate>	[0..1]	Rate		1875

66.1.28.40.5.1 HighestPriceValue12Months <HghstPricVal12Mnths>

Presence: [0..1]

Definition: Highest price for the referenced period.

HighestPriceValue12Months <HghstPricVal12Mnths> contains the following **PriceValue5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C3	1871

66.1.28.40.5.1.1 Amount <Amt>

Presence: [1..1]

Definition: Price expressed as a currency and value.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.28.40.5.2 LowestPriceValue12Months <LwstPricVal12Mnths>

Presence: [0..1]

Definition: Lowest price for the referenced period.

LowestPriceValue12Months <LwstPricVal12Mnths> contains the following **PriceValue5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C3	1872

66.1.28.40.5.2.1 Amount <Amt>

Presence: [1..1]

Definition: Price expressed as a currency and value.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.28.40.5.3 OneYearPriceChange <OneYrPricChng>

Presence: [0..1]

Definition: Change in price over a one year period.

Impacted by: C5 "AmountAndOrRateRule", C6 "AmountSignRule"

OneYearPriceChange <OneYrPricChng> contains the following **PriceValueChange1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3	1873
	AmountSign <AmtSgn>	[0..1]	Indicator		1873
	Rate <Rate>	[0..1]	Rate		1873

Constraints

- **AmountAndOrRateRule**

If Amount is not present, then Rate is mandatory. If Amount is present, then Rate is optional.

- **AmountSignRule**

If Amount is present, then AmountSign is optional. If Amount is not present, then AmountSign is not allowed.

66.1.28.40.5.3.1 Amount <Amt>

Presence: [0..1]

Definition: Amount by which the price has changed.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.28.40.5.3.2 AmountSign <AmtSgn>

Presence: [0..1]

Definition: Indicates a positive or negative amount change.

Datatype: One of the following values must be used (see "PlusOrMinusIndicator" on page 2680):

- *Meaning When True:* Plus
- *Meaning When False:* Minus

66.1.28.40.5.3.3 Rate <Rate>

Presence: [0..1]

Definition: Rate by which the price has changed.

Datatype: "PercentageRate" on page 2681

66.1.28.40.5.4 ThreeYearPriceChange <ThreeYrPricChng>

Presence: [0..1]

Definition: Change in price over a three year period.

Impacted by: C5 "AmountAndOrRateRule", C6 "AmountSignRule"

ThreeYearPriceChange <ThreeYrPricChng> contains the following **PriceValueChange1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3	1874
	AmountSign <AmtSgn>	[0..1]	Indicator		1874
	Rate <Rate>	[0..1]	Rate		1874

Constraints

- **AmountAndOrRateRule**

If Amount is not present, then Rate is mandatory. If Amount is present, then Rate is optional.

- **AmountSignRule**

If Amount is present, then AmountSign is optional. If Amount is not present, then AmountSign is not allowed.

66.1.28.40.5.4.1 Amount <Amt>

Presence: [0..1]

Definition: Amount by which the price has changed.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.28.40.5.4.2 AmountSign <AmtSgn>

Presence: [0..1]

Definition: Indicates a positive or negative amount change.

Datatype: One of the following values must be used (see "PlusOrMinusIndicator" on page 2680):

- *Meaning When True:* Plus
- *Meaning When False:* Minus

66.1.28.40.5.4.3 Rate <Rate>

Presence: [0..1]

Definition: Rate by which the price has changed.

Datatype: "PercentageRate" on page 2681

66.1.28.40.5.5 FiveYearPriceChange <FiveYrPricChng>

Presence: [0..1]

Definition: Change in price over a five year period.

Impacted by: C5 "AmountAndOrRateRule", C6 "AmountSignRule"

FiveYearPriceChange <FiveYrPricChng> contains the following **PriceValueChange1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3	1875
	AmountSign <AmtSgn>	[0..1]	Indicator		1875
	Rate <Rate>	[0..1]	Rate		1875

Constraints

- **AmountAndOrRateRule**

If Amount is not present, then Rate is mandatory. If Amount is present, then Rate is optional.

- **AmountSignRule**

If Amount is present, then AmountSign is optional. If Amount is not present, then AmountSign is not allowed.

66.1.28.40.5.5.1 Amount <Amt>

Presence: [0..1]

Definition: Amount by which the price has changed.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.28.40.5.5.2 AmountSign <AmtSgn>

Presence: [0..1]

Definition: Indicates a positive or negative amount change.

Datatype: One of the following values must be used (see "PlusOrMinusIndicator" on page 2680):

- *Meaning When True:* Plus
- *Meaning When False:* Minus

66.1.28.40.5.5.3 Rate <Rate>

Presence: [0..1]

Definition: Rate by which the price has changed.

Datatype: "PercentageRate" on page 2681

66.1.28.40.6 ByUserDefinedTimePeriod <ByUsrDfndTmPrd>*Presence:* [0..*]*Definition:* Information related to price variations, expressed using user-defined periods.**ByUserDefinedTimePeriod <ByUsrDfndTmPrd>** contains the following **StatisticsByUserDefinedTimePeriod2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Period <Prd>	[1..1]	±		1876
	HighestPriceValue <HghstPricVal>	[0..1]			1876
	Amount <Amt>	[1..1]	Amount	C3	1876
	LowestPriceValue <LwstPricVal>	[0..1]			1877
	Amount <Amt>	[1..1]	Amount	C3	1877
	PriceChange <PricChng>	[0..1]		C5, C6	1877
	Amount <Amt>	[0..1]	Amount	C3	1878
	AmountSign <AmtSgn>	[0..1]	Indicator		1878
	Rate <Rate>	[0..1]	Rate		1878
	Yield <Yld>	[0..1]	Rate		1878

66.1.28.40.6.1 Period <Prd>*Presence:* [1..1]*Definition:* Reference period for the valuation.**Period <Prd>** contains one of the following elements (see "[DateOrDateTimePeriodChoice](#)" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1379
Or}	DateTime <DtTm>	[1..1]	±		1379

66.1.28.40.6.2 HighestPriceValue <HghstPricVal>*Presence:* [0..1]*Definition:* Highest price for the referenced period.**HighestPriceValue <HghstPricVal>** contains the following **PriceValue5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C3	1876

66.1.28.40.6.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Price expressed as a currency and value.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.28.40.6.3 LowestPriceValue <LwstPricVal>

Presence: [0..1]

Definition: Lowest price for the referenced period.

LowestPriceValue <LwstPricVal> contains the following **PriceValue5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C3	1877

66.1.28.40.6.3.1 Amount <Amt>

Presence: [1..1]

Definition: Price expressed as a currency and value.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.28.40.6.4 PriceChange <PricChng>

Presence: [0..1]

Definition: Change in price since the previous valuation date.

Impacted by: C5 "AmountAndOrRateRule", C6 "AmountSignRule"

PriceChange <PricChng> contains the following **PriceValueChange1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3	1878
	AmountSign <AmtSgn>	[0..1]	Indicator		1878
	Rate <Rate>	[0..1]	Rate		1878

Constraints

- **AmountAndOrRateRule**

If Amount is not present, then Rate is mandatory. If Amount is present, then Rate is optional.

- **AmountSignRule**

If Amount is present, then AmountSign is optional. If Amount is not present, then AmountSign is not allowed.

66.1.28.40.6.4.1 Amount <Amt>

Presence: [0..1]

Definition: Amount by which the price has changed.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.28.40.6.4.2 AmountSign <AmtSgn>

Presence: [0..1]

Definition: Indicates a positive or negative amount change.

Datatype: One of the following values must be used (see "PlusOrMinusIndicator" on page 2680):

- *Meaning When True:* Plus
- *Meaning When False:* Minus

66.1.28.40.6.4.3 Rate <Rate>

Presence: [0..1]

Definition: Rate by which the price has changed.

Datatype: "PercentageRate" on page 2681

66.1.28.40.6.5 Yield <Yld>

Presence: [0..1]

Definition: Rate of income from the financial instrument, usually calculated as total dividends or coupon interest available to investors in the last year, divided by the current price.

Datatype: "PercentageRate" on page 2681

66.1.28.41 Pagination

Definition: Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		1879
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		1879

66.1.28.41.1 PageNumber <PgNb>

Presence: [1..1]

Definition: Page number.

Datatype: "Max5NumericText" on page 2684

66.1.28.41.2 LastPageIndicator <LastPgInd>

Presence: [1..1]

Definition: Indicates the last page.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.29 Organisation Identification

66.1.29.1 PartyIdentification1Choice

Definition: Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	1879
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1880
Or}	NameAndAddress <NmAndAdr>	[1..1]			1880
	Name <Nm>	[1..1]	Text		1880
	Address <Adr>	[0..1]	±		1880

66.1.29.1.1 BICOrBEI <BICOrBEI>

Presence: [1..1]

Definition: Unique and unambiguous identifier for an organisation that is allocated by an institution.

Impacted by: C7 "AnyBIC"

Datatype: "AnyBICIdentifier" on page 2669

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

66.1.29.1.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification1](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.29.1.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

NameAndAddress <NmAndAdr> contains the following **NameAndAddress2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1880
	Address <Adr>	[0..1]	±		1880

66.1.29.1.3.1 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "[Max35Text](#)" on page 2683

66.1.29.1.3.2 Address <Adr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

Address <Adr> contains one of the following elements (see "[LongPostalAddress1Choice](#)" on page 2195 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unstructured <Ustrd>	[1..1]	Text		2195
Or}	Structured <Strd>	[1..1]	±	C14	2195

66.1.29.2 Organisation40

Definition: Organised structure that is set up for a particular purpose, for example, a business, government body, department, charity, or financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1881
	ShortName <ShrtNm>	[0..1]	Text		1881
	Identification <Id>	[0..1]			1882
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1882
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1882
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1882
	Purpose <Purp>	[0..1]	Text		1883
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	1883
	RegistrationDate <RegnDt>	[0..1]	Date		1883
	ModifiedPostalAddress <ModfdPstlAdr>	[0..*]			1883
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1883
	PostalAddress <PstlAdr>	[1..1]	±	C23	1884
	TypeOfOrganisation <TpOfOrg>	[0..1]			1884
{Or	Code <Cd>	[1..1]	CodeSet		1885
Or}	Proprietary <Prtry>	[1..1]	±		1885
	PlaceOfListing <PlcOfListg>	[0..*]	IdentifierSet		1885

Constraints

• OrganisationElementRule

One of the elements (Name, ShortName, Identification, LegalEntityIdentifier, Purpose, RegistrationCountry, RegistrationDate, ModifiedPostalAddress, TypeOfOrganisation, PlaceOfListing) must be present.

66.1.29.2.1 Name <Nm>

Presence: [0..1]

Definition: Name by which the organisation is known and which is usually used to identify that organisation.

Datatype: "Max350Text" on page 2683

66.1.29.2.2 ShortName <ShrtNm>

Presence: [0..1]

Definition: Name of the organisation in short form.

Datatype: "Max35Text" on page 2683

66.1.29.2.3 Identification <Id>*Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the organisation.**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1882
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1882

66.1.29.2.3.1 AnyBIC <AnyBIC>*Presence:* [1..1]*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".*Impacted by:* C1 "AnyBIC"*Datatype:* "AnyBICDec2014Identifier" on page 2669**Constraints**

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

66.1.29.2.3.2 ProprietaryIdentification <PrtryId>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.29.2.4 LegalEntityIdentifier <LglnTtyldr>*Presence:* [0..1]*Definition:* Identification of the organisation with a Legal Entity Identifier. This is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".*Datatype:* "LEIIdentifier" on page 2676

66.1.29.2.5 Purpose <Purp>*Presence:* [0..1]*Definition:* Purpose of the organisation, for example, charity.*Datatype:* "Max35Text" on page 2683**66.1.29.2.6 RegistrationCountry <RegnCtry>***Presence:* [0..1]*Definition:* Country in which the organisation is registered.*Impacted by:* C11 "Country"*Datatype:* "CountryCode" on page 2567**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.29.2.7 RegistrationDate <RegnDt>*Presence:* [0..1]*Definition:* Date and time at which a given organisation was officially registered.*Datatype:* "ISODate" on page 2668**66.1.29.2.8 ModifiedPostalAddress <ModfdPstlAdr>***Presence:* [0..*]*Definition:* Information related to an address to be inserted, updated or deleted.**ModifiedPostalAddress <ModfdPstlAdr>** contains the following **ModificationScope34** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1883
	PostalAddress <PstlAdr>	[1..1]	±	C23	1884

66.1.29.2.8.1 ModificationScopeIndication <ModScplIndctn>*Presence:* [1..1]*Definition:* Specifies the type of modification to be applied on a set of information.*Datatype:* "DataModification1Code" on page 2570

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

66.1.29.2.8.2 PostalAddress <PstlAdr>*Presence:* [1..1]*Definition:* Address of the organisation.*Impacted by:* C23 "MailingIndicatorRule"**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress21" on page 2196 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2197
{Or	Code <Cd>	[1..1]	CodeSet		2198
Or}	Proprietary <Prtry>	[1..1]	±		2198
	MailingIndicator <MlngInd>	[0..1]	Indicator		2198
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2198
	CareOf <CareOf>	[0..1]	Text		2199
	AddressLine <AdrLine>	[0..5]	Text		2199
	StreetName <StrtNm>	[0..1]	Text		2199
	BuildingNumber <BldgNb>	[0..1]	Text		2199
	BuildingName <BldgNm>	[0..1]	Text		2199
	PostBox <PstBx>	[0..1]	Text		2199
	SideInBuilding <SdlnBldg>	[0..1]	Text		2199
	Floor <Flr>	[0..1]	Text		2199
	SuiteIdentification <SuiteId>	[0..1]	Text		2200
	PostCode <PstCd>	[0..1]	Text		2200
	DistrictName <DstrctNm>	[0..1]	Text		2200
	Village <Vllg>	[0..1]	Text		2200
	TownName <TwnNm>	[0..1]	Text		2200
	State <Stat>	[0..1]	Text		2200
	Country <Ctry>	[1..1]	CodeSet	C11	2200

Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

66.1.29.2.9 TypeOfOrganisation <TpOfOrg>*Presence:* [0..1]*Definition:* Type of organisation.

TypeOfOrganisation <TpOfOrg> contains one of the following **OrganisationType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1885
Or}	Proprietary <Prtry>	[1..1]	±		1885

66.1.29.2.9.1 Code <Cd>

Presence: [1..1]

Definition: Type of organisation expressed as a code.

Datatype: "OrganisationType1Code" on page 2612

CodeName	Name	Definition
IFUN	InvestmentFund	Organisation is an investment fund.
PRIV	PrivateAssociation	Organisation is a private association.
PUBL	Public	Organisation is a public company.
PFUN	PublicFund	Organisation is a public fund.

66.1.29.2.9.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of organisation expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.29.2.10 PlaceOfListing <PlcOfListg>

Presence: [0..*]

Definition: Place of listing for shares in the organisation.

Datatype: "MICIdentifier" on page 2676

66.1.29.3 PartyIdentification220

Definition: Identification of a person or an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]			1886
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1886
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1887
Or	NameAndAddress <NmAndAdr>	[1..1]			1887
	Name <Nm>	[1..1]	Text		1887
	PostalAddress <PstlAdr>	[0..1]	±	C23	1887
Or	TaxIdentificationNumber <TaxIdNb>	[1..1]	Text		1888
Or}	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		1888
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1889

Constraints

- **OrganisationIdentificationRule**

Either Identification or Legal Entity Identifier must be present. Both Identification and Legal Entity Identifier may be present.

Following Must be True

/Identification Must be present

Or /LegalEntityIdentifier Must be present

66.1.29.3.1 Identification <Id>

Presence: [0..1]

Definition: Identification of the organisation.

Identification <Id> contains one of the following **PartyIdentification182Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1886
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1887
Or	NameAndAddress <NmAndAdr>	[1..1]			1887
	Name <Nm>	[1..1]	Text		1887
	PostalAddress <PstlAdr>	[0..1]	±	C23	1887
Or	TaxIdentificationNumber <TaxIdNb>	[1..1]	Text		1888
Or}	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		1888

66.1.29.3.1.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Identification of the party expressed as a BIC.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 2669

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

66.1.29.3.1.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to the party using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification1" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.29.3.1.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **NameAndAddress15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1887
	PostalAddress <PstlAdr>	[0..1]	±	C23	1887

66.1.29.3.1.3.1 Name <Nm>

Presence: [1..1]

Definition: Name by which the party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 2683

66.1.29.3.1.3.2 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Postal address of the party.

Impacted by: C23 "MailingIndicatorRule"

PostalAddress <PstIAdr> contains the following elements (see "PostalAddress21" on page 2196 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2197
{Or	Code <Cd>	[1..1]	CodeSet		2198
Or}	Proprietary <Prtry>	[1..1]	±		2198
	MailingIndicator <MIngInd>	[0..1]	Indicator		2198
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2198
	CareOf <CareOf>	[0..1]	Text		2199
	AddressLine <AdrLine>	[0..5]	Text		2199
	StreetName <StrtNm>	[0..1]	Text		2199
	BuildingNumber <BldgNb>	[0..1]	Text		2199
	BuildingName <BldgNm>	[0..1]	Text		2199
	PostBox <PstBx>	[0..1]	Text		2199
	SideInBuilding <SdInBldg>	[0..1]	Text		2199
	Floor <Flr>	[0..1]	Text		2199
	SuiteIdentification <SuiteId>	[0..1]	Text		2200
	PostCode <PstCd>	[0..1]	Text		2200
	DistrictName <DstrctNm>	[0..1]	Text		2200
	Village <Vllg>	[0..1]	Text		2200
	TownName <TwnNm>	[0..1]	Text		2200
	State <Stat>	[0..1]	Text		2200
	Country <Ctry>	[1..1]	CodeSet	C11	2200

Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

66.1.29.3.1.4 TaxIdentificationNumber <TaxIdNb>

Presence: [1..1]

Definition: Number assigned by a tax authority to an entity.

Datatype: "Max35Text" on page 2683

66.1.29.3.1.5 NationalRegistrationNumber <NtlRegnNb>

Presence: [1..1]

Definition: Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 2683

66.1.29.3.2 LegalEntityIdentifier <LglnTtyldr>

Presence: [0..1]

Definition: Identification of the organisation with a Legal Entity Identifier. This is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Datatype: "LEIIdentifier" on page 2676

66.1.29.4 Organisation39

Definition: Organised structure that is set up for a particular purpose, for example, a business, government body, department, charity, or financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1889
	ShortName <ShrtNm>	[0..1]	Text		1890
	Identification <Id>	[0..1]			1890
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1890
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1890
	LegalEntityIdentifier <LglnTtyldr>	[0..1]	IdentifierSet		1890
	Purpose <Purp>	[0..1]	Text		1891
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	1891
	RegistrationDate <RegnDt>	[0..1]	Date		1891
	PostalAddress <PstlAdr>	[0..10]	±	C23	1891
	TypeOfOrganisation <TpOfOrg>	[0..1]			1892
{Or	Code <Cd>	[1..1]	CodeSet		1893
Or}	Proprietary <Prtry>	[1..1]	±		1893
	PlaceOfListing <PlcOfListg>	[0..*]	IdentifierSet		1893

Constraints

- **OrganisationElementRule**

One of the elements (Name, ShortName, Identification, LegalEntityIdentifier, Purpose, RegistrationCountry, RegistrationDate, PostalAddress, TypeOfOrganisation, PlaceOfListing) must be present.

66.1.29.4.1 Name <Nm>

Presence: [0..1]

Definition: Name by which the organisation is known and which is usually used to identify that organisation.

Datatype: "Max350Text" on page 2683

66.1.29.4.2 ShortName <ShrtNm>*Presence:* [0..1]*Definition:* Name of the organisation in short form.*Datatype:* "Max35Text" on page 2683**66.1.29.4.3 Identification <Id>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the organisation.**Identification <Id>** contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1890
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		1890

66.1.29.4.3.1 AnyBIC <AnyBIC>*Presence:* [1..1]*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".*Impacted by:* C1 "AnyBIC"*Datatype:* "AnyBICDec2014Identifier" on page 2669**Constraints**

- AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

66.1.29.4.3.2 ProprietaryIdentification <PrtryId>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.29.4.4 LegalEntityIdentifier <LglnTtyldr>*Presence:* [0..1]

Definition: Identification of the organisation with a Legal Entity Identifier. This is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Datatype: "LEIIdentifier" on page 2676

66.1.29.4.5 Purpose <Purp>

Presence: [0..1]

Definition: Purpose of the organisation, for example, charity.

Datatype: "Max35Text" on page 2683

66.1.29.4.6 RegistrationCountry <RegnCtry>

Presence: [0..1]

Definition: Country in which the organisation is registered.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.29.4.7 RegistrationDate <RegnDt>

Presence: [0..1]

Definition: Date and time at which a given organisation was officially registered.

Datatype: "ISODate" on page 2668

66.1.29.4.8 PostalAddress <PstlAdr>

Presence: [0..10]

Definition: Information that locates and identifies a specific address, as defined by postal services.

Impacted by: C23 "MailingIndicatorRule"

PostalAddress <PstAdr> contains the following elements (see "PostalAddress21" on page 2196 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2197
{Or	Code <Cd>	[1..1]	CodeSet		2198
Or}	Proprietary <Prtry>	[1..1]	±		2198
	MailingIndicator <MIngInd>	[0..1]	Indicator		2198
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2198
	CareOf <CareOf>	[0..1]	Text		2199
	AddressLine <AdrLine>	[0..5]	Text		2199
	StreetName <StrtNm>	[0..1]	Text		2199
	BuildingNumber <BldgNb>	[0..1]	Text		2199
	BuildingName <BldgNm>	[0..1]	Text		2199
	PostBox <PstBx>	[0..1]	Text		2199
	SideInBuilding <SdInBldg>	[0..1]	Text		2199
	Floor <Flr>	[0..1]	Text		2199
	SuiteIdentification <SuiteId>	[0..1]	Text		2200
	PostCode <PstCd>	[0..1]	Text		2200
	DistrictName <DstrctNm>	[0..1]	Text		2200
	Village <Vllg>	[0..1]	Text		2200
	TownName <TwnNm>	[0..1]	Text		2200
	State <Stat>	[0..1]	Text		2200
	Country <Ctry>	[1..1]	CodeSet	C11	2200

Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

66.1.29.4.9 TypeOfOrganisation <TpOfOrg>

Presence: [0..1]

Definition: Type of organisation.

TypeOfOrganisation <TpOfOrg> contains one of the following **OrganisationType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1893
Or}	Proprietary <Prtry>	[1..1]	±		1893

66.1.29.4.9.1 Code <Cd>

Presence: [1..1]

Definition: Type of organisation expressed as a code.

Datatype: "OrganisationType1Code" on page 2612

CodeName	Name	Definition
IFUN	InvestmentFund	Organisation is an investment fund.
PRIV	PrivateAssociation	Organisation is a private association.
PUBL	Public	Organisation is a public company.
PFUN	PublicFund	Organisation is a public fund.

66.1.29.4.9.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of organisation expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.29.4.10 PlaceOfListing <PlcOfListg>

Presence: [0..*]

Definition: Place of listing for shares in the organisation.

Datatype: "MICIdentifier" on page 2676

66.1.29.5 Organisation36

Definition: Organised structure that is set up for a particular purpose, for example, a business, government body, department, charity, or financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1894
	Identification <Id>	[0..1]	±		1894
	Purpose <Purp>	[0..1]	Text		1894
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	1894
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	1895
	RegistrationDate <RegnDt>	[0..1]	Date		1895
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		1895
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		1895
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		1895

66.1.29.5.1 Name <Nm>

Presence: [1..1]

Definition: Name by which the party is known and which is usually used to identify that party.

Datatype: "Max140Text" on page 2682

66.1.29.5.2 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the organisation.

Identification <Id> contains the following elements (see "PartyIdentification140" on page 2019 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2019
	LEI <LEI>	[0..1]	IdentifierSet		2019

66.1.29.5.3 Purpose <Purp>

Presence: [0..1]

Definition: Purpose of the organisation, for example, charity.

Datatype: "Max35Text" on page 2683

66.1.29.5.4 TaxationCountry <TaxtnCtry>

Presence: [0..1]

Definition: Country of taxation of the organisation.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.29.5.5 RegistrationCountry <RegnCtry>

Presence: [0..1]

Definition: Country in which the organisation is registered.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.29.5.6 RegistrationDate <RegnDt>

Presence: [0..1]

Definition: Date and time at which the organisation was officially registered.

Datatype: "ISODate" on page 2668

66.1.29.5.7 TaxIdentificationNumber <TaxIdNb>

Presence: [0..1]

Definition: Number assigned by a tax authority to the organisation.

Datatype: "Max35Text" on page 2683

66.1.29.5.8 NationalRegistrationNumber <NtlRegnNb>

Presence: [0..1]

Definition: Number assigned by a national registration authority to the organisation.

Datatype: "Max35Text" on page 2683

66.1.29.5.9 CorporateInvestorAddress <CorpInvstrAdr>

Presence: [1..1]

Definition: Postal address of the organisation.

CorporateInvestorAddress <CorplnvstrAdr> contains the following elements (see "[PostalAddress1](#)" on page 2205 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2205
	AddressLine <AdrLine>	[0..5]	Text		2205
	StreetName <StrtNm>	[0..1]	Text		2205
	BuildingNumber <BldgNb>	[0..1]	Text		2206
	PostCode <PstCd>	[0..1]	Text		2206
	TownName <TwnNm>	[0..1]	Text		2206
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2206
	Country <Ctry>	[1..1]	CodeSet	C11	2206

66.1.29.6 PartyIdentification3

Definition: Unique and unambiguous way to identify an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	1896

66.1.29.6.1 BICOrBEI <BICOrBEI>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: [C7 "AnyBIC"](#)

Datatype: "[AnyBICIdentifier](#)" on page 2669

Constraints

- AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

66.1.29.7 PartyIdentification2Choice

Definition: Choice of identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	1897
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1897
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1897

66.1.29.7.1 BICOrBEI <BICOrBEI>*Presence:* [1..1]*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".*Impacted by:* C7 "AnyBIC"*Datatype:* "AnyBICIdentifier" on page 2669**Constraints**

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

66.1.29.7.2 ProprietaryIdentification <PrtryId>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.29.7.3 NameAndAddress <NmAndAdr>*Presence:* [1..1]*Definition:* Name and address of a party.**NameAndAddress <NmAndAdr>** contains the following elements (see "NameAndAddress5" on page 2206 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2206
	Address <Adr>	[0..1]	±		2207

66.1.30 Party Identification**66.1.30.1 Intermediary11***Definition:* Party that provides services to investors relating to financial products.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1898
	Account <Acct>	[0..1]	±		1898
{Or	Role <Role>	[0..1]	CodeSet		1898
Or}	ExtendedRole <XtndedRole>	[0..1]	Text		1899

66.1.30.1.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of the intermediary.

Identification <Id> contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 1896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	1897
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1897
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1897

66.1.30.1.2 Account <Acct>

Presence: [0..1]

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

Account <Acct> contains the following elements (see "[Account7](#)" on page 1216 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1216
	AccountServicer <AcctSvcr>	[0..1]	±		1217

66.1.30.1.3 Role <Role>

Presence: [0..1]

Warning Either Role or ExtendedRole may be present, but not both.

Definition: Function performed by the intermediary.

Datatype: "[InvestmentFundRole2Code](#)" on page 2595

CodeName	Name	Definition
FMCO	FundManagementCompany	Party that sets up a fund, decides upon the investment strategy, appoints agents, and is responsible for the promotion and the marketing of the fund. This party makes all the strategic decisions related to a fund.

CodeName	Name	Definition
REGI	Registrar	Party responsible for keeping track of the owners of securities. In the investment fund business, this party keeps the investor registry of the fund, and administers investor accounts and related records. It is appointed by the fund management company.
TRAG	TransferAgent	Party appointed by the fund management company. This party updates records of investor accounts to reflect the daily investor purchases, redemptions, switches, transfers, and re-registrations. It ensures the timely settlement of transactions, and may provide tax information to the investor and/or to its intermediaries. It may calculate, collect, and rebate commissions. It prepares and distributes confirmations reflecting transactions, resulting in unit or cash account movements to the investor or the investor's intermediary. It responds to inquiries concerning account status, and processes the income distribution.
INTR	Intermediary	Party that provides services to investors relating to financial products. These services may include some, or all of, provision of information and advice on products, placement of investment orders, transmission of payment, custody of assets, and the administration of rights and benefits. In the specific framework of investment funds industry, an intermediary may present information about funds to potential investors, and solicit orders for the fund. This intermediary may facilitate the transmission of the orders and information from/to the investors and/or other intermediaries. The intermediary receives commission from the Fund and/or fees from the investor.
DIST	Distributor	Party that act as an wholesaler of funds.
CONC	Concentrator	Party that acts as an aggregator of funds, also called a funds hub.
UCL1	UnderlyingClient1	Underlying client of the intermediary.
UCL2	UnderlyingClient2	Underlying client of underlying client 1.
TRAN	TransmittingAgent	Party that transmits the instruction, advice, notification or report.

66.1.30.1.4 ExtendedRole <XtndedRole>

Presence: [0..1]

Warning Either Role or ExtendedRole may be present, but not both.

Definition: Function performed by the intermediary.

Datatype: "Extended350Code" on page 2682

66.1.30.2 Intermediary48

Definition: Party that provides services to investors relating to financial products.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1900
	Account <Acct>	[0..1]			1900
	AccountIdentification <AcctId>	[0..1]	Text		1901
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1901
	AccountName <AcctNm>	[0..1]	Text		1901
	AccountServicer <AcctSvcr>	[1..1]	±		1901
	RegistrationAddress <RegnAdr>	[0..1]	±		1902
	Role <Role>	[0..1]			1902
{Or	Code <Cd>	[1..1]	CodeSet		1902
Or}	Proprietary <Prtry>	[1..1]	±		1904
	ContactPerson <CtctPrsn>	[0..1]	±		1904

66.1.30.2.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of the intermediary or a party related to the transfer.

Identification <Id> contains the following elements (see "PartyIdentification132" on page 2004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2004
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2004
	NameAndAddress <NmAndAdr>	[0..1]	±		2005
	ProprietaryIdentification <PrtryId>	[0..1]	±		2006
	LEI <LEI>	[0..1]	IdentifierSet		2006

66.1.30.2.2 Account <Acct>

Presence: [0..1]

Definition: Identification of the account.

Account <Acct> contains the following **Account34** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[0..1]	Text		1901
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1901
	AccountName <AcctNm>	[0..1]	Text		1901
	AccountServicer <AcctSvcr>	[1..1]	±		1901
	RegistrationAddress <RegnAdr>	[0..1]	±		1902

66.1.30.2.2.1 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2683

66.1.30.2.2.2 AccountDesignation <AcctDsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: "Max35Text" on page 2683

66.1.30.2.2.3 AccountName <AcctNm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

66.1.30.2.2.4 AccountServicer <AcctSvcr>

Presence: [1..1]

Definition: Institution that maintains the records where the account is held.

AccountServicer <AcctSvcr> contains the following elements (see "PartyIdentification132" on page 2004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2004
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2004
	NameAndAddress <NmAndAdr>	[0..1]	±		2005
	ProprietaryIdentification <PrtryId>	[0..1]	±		2006
	LEI <LEI>	[0..1]	IdentifierSet		2006

66.1.30.2.2.5 RegistrationAddress <RegnAdr>*Presence:* [0..1]*Definition:* Address of the nominee company that holds the assets at the transfer agent or International Central Securities Depository or Central Securities Depository on behalf of the party.**RegistrationAddress <RegnAdr>** contains the following elements (see "[PostalAddress1](#)" on page 2205 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2205
	AddressLine <AdrLine>	[0..5]	Text		2205
	StreetName <StrtNm>	[0..1]	Text		2205
	BuildingNumber <BldgNb>	[0..1]	Text		2206
	PostCode <PstCd>	[0..1]	Text		2206
	TownName <TwnNm>	[0..1]	Text		2206
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2206
	Country <Ctry>	[1..1]	CodeSet	C11	2206

66.1.30.2.3 Role <Role>*Presence:* [0..1]*Definition:* Function performed by the intermediary or related party.**Role <Role>** contains one of the following **Role8Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1902
Or}	Proprietary <Prtry>	[1..1]	±		1904

66.1.30.2.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Role of the party in the activity expressed as a code.*Datatype:* "InvestmentFundRole8Code" on page 2601

CodeName	Name	Definition
CUST	Custodian	Party that safekeeps and administers assets on behalf of the owner.
DIST	Distributor	Party that act as an wholesaler of funds.
FMCO	FundManagementCompany	Party that sets up a fund, decides upon the investment strategy, appoints agents, and is responsible for the promotion and the marketing of the fund. This party makes all the strategic decisions related to a fund.

CodeName	Name	Definition
INTR	Intermediary	Party that provides services to investors relating to financial products. These services may include some, or all of, provision of information and advice on products, placement of investment orders, transmission of payment, custody of assets, and the administration of rights and benefits. In the specific framework of investment funds industry, an intermediary may present information about funds to potential investors, and solicit orders for the fund. This intermediary may facilitate the transmission of the orders and information from/to the investors and/or other intermediaries. The intermediary receives commission from the Fund and/or fees from the investor.
INVE	InvestmentManager	Party that implements the investment strategy, that is, selects portfolio investments in accordance with the objectives and strategy in the fund's prospectus, and places orders to effect or liquidate selected investments in accordance with net flow of capital into or out of the fund.
INVS	Investor	Party, either an individual or organisation, whose assets are being invested.
TRAG	TransferAgent	Party appointed by the fund management company. This party updates records of investor accounts to reflect the daily investor purchases, redemptions, switches, transfers, and re-registrations. It ensures the timely settlement of transactions, and may provide tax information to the investor and/or to its intermediaries. It may calculate, collect, and rebate commissions. It prepares and distributes confirmations reflecting transactions, resulting in unit or cash account movements to the investor or the investor's intermediary. It responds to inquiries concerning account status, and processes the income distribution.
TRAN	TransmittingAgent	Party that transmits the instruction, advice, notification or report.
UCL1	UnderlyingClient1	Underlying client of the intermediary.
UCL2	UnderlyingClient2	Underlying client of underlying client 1.
REGI	Registrar	Party responsible for keeping track of the owners of securities. In the investment fund business, this party keeps the investor registry of the fund, and administers investor accounts and related records. It is appointed by the fund management company.

CodeName	Name	Definition
CACO	CashCorrespondent	Financial institution that executes cash transfers on behalf of its clients.
CONC	Concentrator	Party that acts as an aggregator of funds, also called a funds hub.
DATP	DataProvider	Source of financial information. Provides financial news and data (for example, facts, statistics and analysis), for professional and individual investors through various media, for example, the Internet, magazines.

66.1.30.2.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Role of the party in the activity expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.30.2.4 ContactPerson <CtctPrsn>

Presence: [0..1]

Definition: Contact person and contact information.

ContactPerson <CtctPrsn> contains the following elements (see "[ContactIdentification2](#)" on page 2156 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2157
	GivenName <GvnNm>	[0..1]	Text		2157
	Name <Nm>	[1..1]	Text		2157
	PhoneNumber <PhneNb>	[0..1]	Text		2157
	MobileNumber <MobNb>	[0..1]	Text		2157
	FaxNumber <FaxNb>	[0..1]	Text		2158
	EmailAddress <EmailAdr>	[0..1]	Text		2158

66.1.30.3 ContactAttributes6

Definition: Communication device number or address used for communication.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1905
	PostalAddress <PstlAdr>	[0..1]	±		1905
	PhoneNumber <PhneNb>	[0..1]	Text		1905
	FaxNumber <FaxNb>	[0..1]	Text		1906
	EmailAddress <EmailAdr>	[0..1]	Text		1906
	URLAddress <URLAdr>	[0..1]	Text		1906
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	1906
	LEI <LEI>	[0..1]	IdentifierSet		1906

66.1.30.3.1 Name <Nm>

Presence: [0..1]

Definition: Name of the party.

Datatype: "Max350Text" on page 2683

66.1.30.3.2 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Address of the party.

PostalAddress <PstlAdr> contains the following elements (see "PostalAddress1" on page 2205 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2205
	AddressLine <AdrLine>	[0..5]	Text		2205
	StreetName <StrtNm>	[0..1]	Text		2205
	BuildingNumber <BldgNb>	[0..1]	Text		2206
	PostCode <PstCd>	[0..1]	Text		2206
	TownName <TwnNm>	[0..1]	Text		2206
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2206
	Country <Ctry>	[1..1]	CodeSet	C11	2206

66.1.30.3.3 PhoneNumber <PhneNb>

Presence: [0..1]

Definition: Phone number of the party.

Datatype: "PhoneNumber" on page 2685

66.1.30.3.4 FaxNumber <FaxNb>

Presence: [0..1]

Definition: Fax number of the party.

Datatype: "PhoneNumber" on page 2685

66.1.30.3.5 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail) for the party.

Datatype: "Max256Text" on page 2683

66.1.30.3.6 URLAddress <URLAdr>

Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

Datatype: "Max2048Text" on page 2683

66.1.30.3.7 AnyBIC <AnyBIC>

Presence: [0..1]

Definition: Identification of the party expressed as a BIC.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 2669

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

66.1.30.3.8 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "LEIIdentifier" on page 2676

66.1.30.4 PartyTextInformation6

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeclarationDetails <DclrtnDtls>	[0..1]	Text		1907
	PartyContactDetails <PtyCtctDtls>	[0..1]	Text		1907
	RegistrationDetails <RegnDtls>	[0..1]	Text		1907
	RegistrationAddress <RegnAdr>	[0..1]	±		1907

Constraints

- **AdditonalDetailsGuideline**

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

66.1.30.4.1 DeclarationDetails <DclrtnDtls>

Presence: [0..1]

Definition: Provides declaration details narrative relative to the party.

Datatype: "Max350Text" on page 2683

66.1.30.4.2 PartyContactDetails <PtyCtctDtls>

Presence: [0..1]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "Max140Text" on page 2682

66.1.30.4.3 RegistrationDetails <RegnDtls>

Presence: [0..1]

Definition: Provides information required for the registration.

Datatype: "Max350Text" on page 2683

66.1.30.4.4 RegistrationAddress <RegnAdr>

Presence: [0..1]

Definition: Address of the nominee company that holds the assets at the transfer agent or International Central Securities Depository or Central Securities Depository on behalf of the party.

RegistrationAddress <RegnAdr> contains the following elements (see "[PostalAddress1](#)" on page 2205 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2205
	AddressLine <AdrLine>	[0..5]	Text		2205
	StreetName <StrtNm>	[0..1]	Text		2205
	BuildingNumber <BldgNb>	[0..1]	Text		2206
	PostCode <PstCd>	[0..1]	Text		2206
	TownName <TwnNm>	[0..1]	Text		2206
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2206
	Country <Ctry>	[1..1]	CodeSet	C11	2206

66.1.30.5 PartyIdentificationAndAccount194

Definition: Party and account details.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1908
	LEI <LEI>	[0..1]	IdentifierSet		1909
	AlternateIdentification <AltrId>	[0..1]	±		1909
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		1909
	ProcessingDate <PrcgDt>	[0..1]	±		1909
	ProcessingIdentification <PrcgId>	[0..1]	Text		1909
	AdditionalInformation <AddtlInf>	[0..1]	±	C4	1910

66.1.30.5.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the party.

Identification <Id> contains one of the following elements (see "[PartyIdentification123Choice](#)" on page 2014 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2014
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2015
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2015

66.1.30.5.2 LEI <LEI>*Presence:* [0..1]*Definition:* Legal Entity Identification as an alternate identification for the party.*Datatype:* "LEIIdentifier" on page 2676**66.1.30.5.3 AlternateIdentification <AltrnId>***Presence:* [0..1]*Definition:* Alternate identification for the party.**AlternateIdentification <AltrnId>** contains the following elements (see "[AlternatePartyIdentification7](#)" on page 2029 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		2029
	Country <Ctry>	[1..1]	CodeSet	C11	2030
	AlternateIdentification <AltrnId>	[1..1]	Text		2030

66.1.30.5.4 SafekeepingAccount <SfkpgAcct>*Presence:* [0..1]*Definition:* Identification of the account owned by the party.**SafekeepingAccount <SfkpgAcct>** contains the following elements (see "[SecuritiesAccount19](#)" on page 1211 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1211
	Type <Tp>	[0..1]	±		1211
	Name <Nm>	[0..1]	Text		1211

66.1.30.5.5 ProcessingDate <PrcgDt>*Presence:* [0..1]*Definition:* Date/time at which the instruction was processed by the specified party.**ProcessingDate <PrcgDt>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1377
Or}	DateTime <DtTm>	[1..1]	DateTime		1377

66.1.30.5.6 ProcessingIdentification <PrcgId>*Presence:* [0..1]*Definition:* Unambiguous identification of the transaction for the party identified.

Datatype: "Max35Text" on page 2683

66.1.30.5.7 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the party.

Impacted by: C4 "AdditonalDetailsGuideline"

AdditionalInformation <AddtlInf> contains the following elements (see "PartyTextInformation6" on page 1906 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeclarationDetails <DclrnDtls>	[0..1]	Text		1907
	PartyContactDetails <PtyCtctDtls>	[0..1]	Text		1907
	RegistrationDetails <RegnDtls>	[0..1]	Text		1907
	RegistrationAddress <RegnAdr>	[0..1]	±		1907

Constraints

- **AdditonalDetailsGuideline**

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

66.1.30.6 AccountParties18

Definition: Information about a party's account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1912
	PrincipalAccountParty <PrncplAcctPty>	[0..1]			1912
{Or	PrimaryOwner <PmryOwnr>	[1..1]	±		1912
Or	Trustee <Trstee>	[1..5]	±		1914
Or	Nominee <Nmnee>	[1..1]	±		1916
Or}	JointOwner <JntOwnr>	[1..5]	±		1918
	SecondaryOwner <ScndryOwnr>	[0..*]	±		1920
	Beneficiary <Bnfcry>	[0..*]	±		1922
	PowerOfAttorney <PwrOfAttny>	[0..*]	±		1924
	LegalGuardian <LglGuardn>	[0..*]	±		1926
	CustodianForMinor <CtdnForMnr>	[0..*]	±		1928
	SuccessorOnDeath <SucssrOnDth>	[0..*]	±		1930
	Administrator <Admstr>	[0..*]	±		1932
	OtherParty <OthrPty>	[0..*]	±		1934
	Granter <Grntr>	[0..*]	±		1934
	Settlor <Sttlr>	[0..*]	±		1937
	SeniorManagingOfficial <SnrMggOffcl>	[0..*]	±		1939
	Protector <Prctr>	[0..*]	±		1941
	RegisteredShareholderName <RegdShrhldrNm>	[0..1]	±		1943

Constraints

- **AddressRule**

If ModificationScopeIndication is INSE (InsertNewDataSet), then one of the following must be present:

PrincipalAccountParty/./Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

CustodianForMinor/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

SecondaryOwner/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

Beneficiary/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

PowerOfAttorney/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

LegalGuardian/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

SuccessorOnDeath/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

Administrator/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

OtherParty/OtherPartyDetails/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

Granter/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

Settlor/Party/./ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

SeniorManagingOfficial/./ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

Protector/./ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet).

66.1.30.6.1 ModificationScopeIndication <ModScplndctn>

Presence: [1..1]

Definition: Specifies the type of modification to be applied.

Datatype: "DataModification1Code" on page 2570

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

66.1.30.6.2 PrincipalAccountParty <PrncplAcctPty>

Presence: [0..1]

Definition: Main party associated with the account.

PrincipalAccountParty <PrncplAcctPty> contains one of the following **AccountParties13Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PrimaryOwner <PmryOwnr>	[1..1]	±		1912
Or	Trustee <Trstee>	[1..5]	±		1914
Or	Nominee <Nmnee>	[1..1]	±		1916
Or}	JointOwner <JntOwnr>	[1..5]	±		1918

66.1.30.6.2.1 PrimaryOwner <PmryOwnr>

Presence: [1..1]

Definition: Single owner of the investment account or, when the ownership is split among several owners, the primary owner is the one giving its address and account details for the registration.

PrimaryOwner <PmryOwnr> contains the following elements (see "InvestmentAccountOwnershipInformation17" on page 1563 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1565
{Or	Organisation <Org>	[1..1]	±	C20	1565
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1566
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1568
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1568
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1569
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1569
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1570
	ValidatingParty <VldtngPty>	[0..1]	Text		1570
	CheckingParty <ChckngPty>	[0..1]	Text		1570
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1571
	CertificateType <CertTp>	[0..1]	±		1571
	CheckingDate <ChckngDt>	[0..1]	Date		1571
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1571
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1572
	SalaryRange <SlryRg>	[0..1]	Text		1572
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1572
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1572
	RiskLevel <RskLvl>	[0..1]	±		1572
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1572
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1573
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1573
	ClientIdentification <Clntld>	[0..1]	Text		1573
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1574
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1574
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1574
	Notification <Ntfctn>	[0..*]	±		1574
	FATCAFormType <FATCAFormTp>	[0..*]	±		1574
	FATCAStatus <FATCASts>	[0..*]	±		1575

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1575
	CRSFormType <CRSFormTp>	[0..*]	±		1575
	CRSStatus <CRSSts>	[0..*]	±		1575
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1576
	OtherIdentification <Othrld>	[0..*]	±		1576
	TaxExemption <TaxXmptn>	[0..1]	±		1577
	TaxReporting <TaxRptg>	[0..*]	±		1577
	Language <Lang>	[0..1]	CodeSet	C34	1578
	MailType <MailTp>	[0..1]	±		1579
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1579
	MonetaryWealth <MntryWlth>	[0..1]	±		1579
	EquityValue <EqtyVal>	[0..1]	±		1579
	WorkingCapital <WorkgCptl>	[0..1]	±		1580
	CompanyLink <CpnyLk>	[0..1]	±		1580
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1580
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1580
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1581
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1581
	AccountingStatus <AcctgSts>	[0..1]	±		1582
	AdditionalInformation <AddtlInf>	[0..*]	±		1582
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1583

66.1.30.6.2.2 Trustee <Trstee>

Presence: [1..5]

Definition: Legal owners of the property. However, the beneficiary has the equitable or beneficial ownership.

Trustee <Trstee> contains the following elements (see "InvestmentAccountOwnershipInformation17" on page 1563 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1565
{Or	Organisation <Org>	[1..1]	±	C20	1565
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1566
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1568
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1568
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1569
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1569
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1570
	ValidatingParty <VldtngPty>	[0..1]	Text		1570
	CheckingParty <ChckngPty>	[0..1]	Text		1570
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1571
	CertificateType <CertTp>	[0..1]	±		1571
	CheckingDate <ChckngDt>	[0..1]	Date		1571
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1571
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1572
	SalaryRange <SlryRg>	[0..1]	Text		1572
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1572
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1572
	RiskLevel <RskLvl>	[0..1]	±		1572
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1572
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1573
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1573
	ClientIdentification <Clntld>	[0..1]	Text		1573
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1574
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1574
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1574
	Notification <Ntfctn>	[0..*]	±		1574
	FATCAFormType <FATCAFormTp>	[0..*]	±		1574
	FATCAStatus <FATCASts>	[0..*]	±		1575

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1575
	CRSFormType <CRSFormTp>	[0..*]	±		1575
	CRSStatus <CRSSts>	[0..*]	±		1575
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1576
	OtherIdentification <Othrld>	[0..*]	±		1576
	TaxExemption <TaxXmptn>	[0..1]	±		1577
	TaxReporting <TaxRptg>	[0..*]	±		1577
	Language <Lang>	[0..1]	CodeSet	C34	1578
	MailType <MailTp>	[0..1]	±		1579
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1579
	MonetaryWealth <MntryWlth>	[0..1]	±		1579
	EquityValue <EqtyVal>	[0..1]	±		1579
	WorkingCapital <WorkgCptl>	[0..1]	±		1580
	CompanyLink <CpnyLk>	[0..1]	±		1580
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1580
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1580
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1581
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1581
	AccountingStatus <AcctgSts>	[0..1]	±		1582
	AdditionalInformation <AddtlInf>	[0..*]	±		1582
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1583

66.1.30.6.2.3 Nominee <Nmnee>

Presence: [1..1]

Definition: Entity named by the beneficial owner to act on its behalf, often to facilitate dealing, or to conceal the identity of the beneficiary.

Nominee <Nmnee> contains the following elements (see "InvestmentAccountOwnershipInformation17" on page 1563 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1565
{Or	Organisation <Org>	[1..1]	±	C20	1565
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1566
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1568
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1568
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1569
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1569
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1570
	ValidatingParty <VldtngPty>	[0..1]	Text		1570
	CheckingParty <ChckngPty>	[0..1]	Text		1570
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1571
	CertificateType <CertTp>	[0..1]	±		1571
	CheckingDate <ChckngDt>	[0..1]	Date		1571
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1571
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1572
	SalaryRange <SlryRg>	[0..1]	Text		1572
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1572
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1572
	RiskLevel <RskLvl>	[0..1]	±		1572
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1572
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1573
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1573
	ClientIdentification <Clntld>	[0..1]	Text		1573
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1574
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1574
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1574
	Notification <Ntfctn>	[0..*]	±		1574
	FATCAFormType <FATCAFormTp>	[0..*]	±		1574
	FATCAStatus <FATCASts>	[0..*]	±		1575

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1575
	CRSFormType <CRSFormTp>	[0..*]	±		1575
	CRSStatus <CRSSts>	[0..*]	±		1575
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1576
	OtherIdentification <Othrld>	[0..*]	±		1576
	TaxExemption <TaxXmptn>	[0..1]	±		1577
	TaxReporting <TaxRptg>	[0..*]	±		1577
	Language <Lang>	[0..1]	CodeSet	C34	1578
	MailType <MailTp>	[0..1]	±		1579
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1579
	MonetaryWealth <MntryWlth>	[0..1]	±		1579
	EquityValue <EqtyVal>	[0..1]	±		1579
	WorkingCapital <WorkgCptl>	[0..1]	±		1580
	CompanyLink <CpnyLk>	[0..1]	±		1580
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1580
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1580
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1581
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1581
	AccountingStatus <AcctgSts>	[0..1]	±		1582
	AdditionalInformation <AddtlInf>	[0..*]	±		1582
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1583

66.1.30.6.2.4 JointOwner <JntOwnr>

Presence: [1..5]

Definition: Co-owner of the investment account when the ownership is assigned to more than one party.

JointOwner <JntOwnr> contains the following elements (see "InvestmentAccountOwnershipInformation17" on page 1563 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1565
{Or	Organisation <Org>	[1..1]	±	C20	1565
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1566
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1568
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1568
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1569
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1569
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1570
	ValidatingParty <VldtngPty>	[0..1]	Text		1570
	CheckingParty <ChckngPty>	[0..1]	Text		1570
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1571
	CertificateType <CertTp>	[0..1]	±		1571
	CheckingDate <ChckngDt>	[0..1]	Date		1571
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1571
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1572
	SalaryRange <SlryRg>	[0..1]	Text		1572
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1572
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1572
	RiskLevel <RskLvl>	[0..1]	±		1572
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1572
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1573
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1573
	ClientIdentification <Clntld>	[0..1]	Text		1573
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1574
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1574
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1574
	Notification <Ntfctn>	[0..*]	±		1574
	FATCAFormType <FATCAFormTp>	[0..*]	±		1574
	FATCAStatus <FATCASts>	[0..*]	±		1575

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1575
	CRSFormType <CRSFormTp>	[0..*]	±		1575
	CRSStatus <CRSSts>	[0..*]	±		1575
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1576
	OtherIdentification <Othrld>	[0..*]	±		1576
	TaxExemption <TaxXmptn>	[0..1]	±		1577
	TaxReporting <TaxRptg>	[0..*]	±		1577
	Language <Lang>	[0..1]	CodeSet	C34	1578
	MailType <MailTp>	[0..1]	±		1579
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1579
	MonetaryWealth <MntryWlth>	[0..1]	±		1579
	EquityValue <EqtyVal>	[0..1]	±		1579
	WorkingCapital <WorkgCptl>	[0..1]	±		1580
	CompanyLink <CpnyLk>	[0..1]	±		1580
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1580
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1580
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1581
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1581
	AccountingStatus <AcctgSts>	[0..1]	±		1582
	AdditionalInformation <AddtlInf>	[0..*]	±		1582
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1583

66.1.30.6.3 SecondaryOwner <ScndryOwnr>

Presence: [0..*]

Definition: Entity that is not the primary owner when the ownership of the investment account is split among several owners.

SecondaryOwner <ScndryOwnr> contains the following elements (see "InvestmentAccountOwnershipInformation17" on page 1563 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1565
{Or	Organisation <Org>	[1..1]	±	C20	1565
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1566
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1568
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1568
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1569
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1569
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1570
	ValidatingParty <VldtngPty>	[0..1]	Text		1570
	CheckingParty <ChckngPty>	[0..1]	Text		1570
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1571
	CertificateType <CertTp>	[0..1]	±		1571
	CheckingDate <ChckngDt>	[0..1]	Date		1571
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1571
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1572
	SalaryRange <SlryRg>	[0..1]	Text		1572
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1572
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1572
	RiskLevel <RskLvl>	[0..1]	±		1572
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1572
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1573
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1573
	ClientIdentification <Clntld>	[0..1]	Text		1573
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1574
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1574
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1574
	Notification <Ntfctn>	[0..*]	±		1574
	FATCAFormType <FATCAFormTp>	[0..*]	±		1574
	FATCAStatus <FATCASts>	[0..*]	±		1575

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1575
	CRSFormType <CRSFormTp>	[0..*]	±		1575
	CRSStatus <CRSSts>	[0..*]	±		1575
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1576
	OtherIdentification <Othrld>	[0..*]	±		1576
	TaxExemption <TaxXmptn>	[0..1]	±		1577
	TaxReporting <TaxRptg>	[0..*]	±		1577
	Language <Lang>	[0..1]	CodeSet	C34	1578
	MailType <MailTp>	[0..1]	±		1579
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1579
	MonetaryWealth <MntryWlth>	[0..1]	±		1579
	EquityValue <EqtyVal>	[0..1]	±		1579
	WorkingCapital <WorkgCptl>	[0..1]	±		1580
	CompanyLink <CpnyLk>	[0..1]	±		1580
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1580
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1580
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1581
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1581
	AccountingStatus <AcctgSts>	[0..1]	±		1582
	AdditionalInformation <AddtlInf>	[0..*]	±		1582
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1583

66.1.30.6.4 Beneficiary <Bnfcry>

Presence: [0..*]

Definition: Ultimate party that is entitled to either receive the benefits of the ownership of a financial instrument, or to be paid/credited as a result of a transfer.

Beneficiary <Bnfcry> contains the following elements (see "InvestmentAccountOwnershipInformation17" on page 1563 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1565
{Or	Organisation <Org>	[1..1]	±	C20	1565
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1566
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1568
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1568
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1569
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1569
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1570
	ValidatingParty <VldtngPty>	[0..1]	Text		1570
	CheckingParty <ChckngPty>	[0..1]	Text		1570
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1571
	CertificateType <CertTp>	[0..1]	±		1571
	CheckingDate <ChckngDt>	[0..1]	Date		1571
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1571
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1572
	SalaryRange <SlryRg>	[0..1]	Text		1572
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1572
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1572
	RiskLevel <RskLvl>	[0..1]	±		1572
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1572
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1573
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1573
	ClientIdentification <Clntld>	[0..1]	Text		1573
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1574
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1574
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1574
	Notification <Ntfctn>	[0..*]	±		1574
	FATCAFormType <FATCAFormTp>	[0..*]	±		1574
	FATCAStatus <FATCASts>	[0..*]	±		1575

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1575
	CRSFormType <CRSFormTp>	[0..*]	±		1575
	CRSStatus <CRSSts>	[0..*]	±		1575
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1576
	OtherIdentification <Othrld>	[0..*]	±		1576
	TaxExemption <TaxXmptn>	[0..1]	±		1577
	TaxReporting <TaxRptg>	[0..*]	±		1577
	Language <Lang>	[0..1]	CodeSet	C34	1578
	MailType <MailTp>	[0..1]	±		1579
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1579
	MonetaryWealth <MntryWlth>	[0..1]	±		1579
	EquityValue <EqtyVal>	[0..1]	±		1579
	WorkingCapital <WorkgCptl>	[0..1]	±		1580
	CompanyLink <CpnyLk>	[0..1]	±		1580
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1580
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1580
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1581
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1581
	AccountingStatus <AcctgSts>	[0..1]	±		1582
	AdditionalInformation <AddtlInf>	[0..*]	±		1582
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1583

66.1.30.6.5 PowerOfAttorney <PwrOfAttny>

Presence: [0..*]

Definition: Entity that was given the authority by another entity to act on its behalf.

PowerOfAttorney <PwrOfAttny> contains the following elements (see "InvestmentAccountOwnershipInformation17" on page 1563 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1565
{Or	Organisation <Org>	[1..1]	±	C20	1565
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1566
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1568
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1568
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1569
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1569
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1570
	ValidatingParty <VldtngPty>	[0..1]	Text		1570
	CheckingParty <ChckngPty>	[0..1]	Text		1570
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1571
	CertificateType <CertTp>	[0..1]	±		1571
	CheckingDate <ChckngDt>	[0..1]	Date		1571
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1571
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1572
	SalaryRange <SlryRg>	[0..1]	Text		1572
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1572
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1572
	RiskLevel <RskLvl>	[0..1]	±		1572
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1572
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1573
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1573
	ClientIdentification <Clntld>	[0..1]	Text		1573
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1574
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1574
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1574
	Notification <Ntfctn>	[0..*]	±		1574
	FATCAFormType <FATCAFormTp>	[0..*]	±		1574
	FATCAStatus <FATCASts>	[0..*]	±		1575

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1575
	CRSFormType <CRSFormTp>	[0..*]	±		1575
	CRSStatus <CRSSts>	[0..*]	±		1575
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1576
	OtherIdentification <Othrld>	[0..*]	±		1576
	TaxExemption <TaxXmptn>	[0..1]	±		1577
	TaxReporting <TaxRptg>	[0..*]	±		1577
	Language <Lang>	[0..1]	CodeSet	C34	1578
	MailType <MailTp>	[0..1]	±		1579
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1579
	MonetaryWealth <MntryWlth>	[0..1]	±		1579
	EquityValue <EqtyVal>	[0..1]	±		1579
	WorkingCapital <WorkgCptl>	[0..1]	±		1580
	CompanyLink <CpnyLk>	[0..1]	±		1580
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1580
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1580
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1581
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1581
	AccountingStatus <AcctgSts>	[0..1]	±		1582
	AdditionalInformation <AddtlInf>	[0..*]	±		1582
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1583

66.1.30.6.6 LegalGuardian <LglGuardn>

Presence: [0..*]

Definition: Entity that has been appointed by a legal authority to act on behalf of a person judged to be incapacitated.

LegalGuardian <LglGuardn> contains the following elements (see "InvestmentAccountOwnershipInformation17" on page 1563 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1565
{Or	Organisation <Org>	[1..1]	±	C20	1565
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1566
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1568
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1568
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1569
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1569
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1570
	ValidatingParty <VldtngPty>	[0..1]	Text		1570
	CheckingParty <ChckngPty>	[0..1]	Text		1570
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1571
	CertificateType <CertTp>	[0..1]	±		1571
	CheckingDate <ChckngDt>	[0..1]	Date		1571
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1571
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1572
	SalaryRange <SlryRg>	[0..1]	Text		1572
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1572
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1572
	RiskLevel <RskLvl>	[0..1]	±		1572
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1572
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1573
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1573
	ClientIdentification <Clntld>	[0..1]	Text		1573
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1574
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1574
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1574
	Notification <Ntfctn>	[0..*]	±		1574
	FATCAFormType <FATCAFormTp>	[0..*]	±		1574
	FATCAStatus <FATCASts>	[0..*]	±		1575

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1575
	CRSFormType <CRSFormTp>	[0..*]	±		1575
	CRSStatus <CRSSts>	[0..*]	±		1575
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1576
	OtherIdentification <OthrlId>	[0..*]	±		1576
	TaxExemption <TaxXmptn>	[0..1]	±		1577
	TaxReporting <TaxRptg>	[0..*]	±		1577
	Language <Lang>	[0..1]	CodeSet	C34	1578
	MailType <MailTp>	[0..1]	±		1579
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1579
	MonetaryWealth <MntryWlth>	[0..1]	±		1579
	EquityValue <EqtyVal>	[0..1]	±		1579
	WorkingCapital <WorkgCptl>	[0..1]	±		1580
	CompanyLink <CpnyLk>	[0..1]	±		1580
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1580
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1580
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1581
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1581
	AccountingStatus <AcctgSts>	[0..1]	±		1582
	AdditionalInformation <AddtlInf>	[0..*]	±		1582
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1583

66.1.30.6.7 CustodianForMinor <CtdnForMnr>

Presence: [0..*]

Definition: Entity that holds shares/units on behalf of a legal minor. Although the account is registered under the name of the minor, the custodian retains control of the account.

CustodianForMinor <CtdnForMnr> contains the following elements (see "InvestmentAccountOwnershipInformation17" on page 1563 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1565
{Or	Organisation <Org>	[1..1]	±	C20	1565
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1566
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1568
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1568
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1569
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1569
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1570
	ValidatingParty <VldtngPty>	[0..1]	Text		1570
	CheckingParty <ChckngPty>	[0..1]	Text		1570
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1571
	CertificateType <CertTp>	[0..1]	±		1571
	CheckingDate <ChckngDt>	[0..1]	Date		1571
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1571
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1572
	SalaryRange <SlryRg>	[0..1]	Text		1572
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1572
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1572
	RiskLevel <RskLvl>	[0..1]	±		1572
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1572
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1573
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1573
	ClientIdentification <Clntld>	[0..1]	Text		1573
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1574
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1574
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1574
	Notification <Ntfctn>	[0..*]	±		1574
	FATCAFormType <FATCAFormTp>	[0..*]	±		1574
	FATCAStatus <FATCASts>	[0..*]	±		1575

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1575
	CRSFormType <CRSFormTp>	[0..*]	±		1575
	CRSStatus <CRSSts>	[0..*]	±		1575
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1576
	OtherIdentification <Othrld>	[0..*]	±		1576
	TaxExemption <TaxXmptn>	[0..1]	±		1577
	TaxReporting <TaxRptg>	[0..*]	±		1577
	Language <Lang>	[0..1]	CodeSet	C34	1578
	MailType <MailTp>	[0..1]	±		1579
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1579
	MonetaryWealth <MntryWlth>	[0..1]	±		1579
	EquityValue <EqtyVal>	[0..1]	±		1579
	WorkingCapital <WorkgCptl>	[0..1]	±		1580
	CompanyLink <CpnyLk>	[0..1]	±		1580
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1580
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1580
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1581
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1581
	AccountingStatus <AcctgSts>	[0..1]	±		1582
	AdditionalInformation <AddtlInf>	[0..*]	±		1582
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1583

66.1.30.6.8 SuccessorOnDeath <SucssrOnDth>

Presence: [0..*]

Definition: Deceased's estate, or successor, to whom the respective percentage of ownership will be transferred upon the death of one of the owners.

SuccessorOnDeath <SucssrOnDth> contains the following elements (see "InvestmentAccountOwnershipInformation17" on page 1563 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1565
{Or	Organisation <Org>	[1..1]	±	C20	1565
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1566
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1568
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1568
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1569
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1569
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1570
	ValidatingParty <VldtngPty>	[0..1]	Text		1570
	CheckingParty <ChckngPty>	[0..1]	Text		1570
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1571
	CertificateType <CertTp>	[0..1]	±		1571
	CheckingDate <ChckngDt>	[0..1]	Date		1571
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1571
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1572
	SalaryRange <SlryRg>	[0..1]	Text		1572
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1572
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1572
	RiskLevel <RskLvl>	[0..1]	±		1572
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1572
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1573
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1573
	ClientIdentification <Clntld>	[0..1]	Text		1573
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1574
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1574
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1574
	Notification <Ntfctn>	[0..*]	±		1574
	FATCAFormType <FATCAFormTp>	[0..*]	±		1574
	FATCAStatus <FATCASts>	[0..*]	±		1575

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1575
	CRSFormType <CRSFormTp>	[0..*]	±		1575
	CRSStatus <CRSSts>	[0..*]	±		1575
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1576
	OtherIdentification <Othrld>	[0..*]	±		1576
	TaxExemption <TaxXmptn>	[0..1]	±		1577
	TaxReporting <TaxRptg>	[0..*]	±		1577
	Language <Lang>	[0..1]	CodeSet	C34	1578
	MailType <MailTp>	[0..1]	±		1579
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1579
	MonetaryWealth <MntryWlth>	[0..1]	±		1579
	EquityValue <EqtyVal>	[0..1]	±		1579
	WorkingCapital <WorkgCptl>	[0..1]	±		1580
	CompanyLink <CpnyLk>	[0..1]	±		1580
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1580
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1580
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1581
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1581
	AccountingStatus <AcctgSts>	[0..1]	±		1582
	AdditionalInformation <AddtlInf>	[0..*]	±		1582
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1583

66.1.30.6.9 Administrator <Admstr>

Presence: [0..*]

Definition: Entity that has been appointed by a legal authority to act on behalf of a person or organisation that has gone bankrupt.

Administrator <Admstr> contains the following elements (see "InvestmentAccountOwnershipInformation17" on page 1563 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1565
{Or	Organisation <Org>	[1..1]	±	C20	1565
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1566
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1568
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1568
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1569
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1569
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1570
	ValidatingParty <VldtngPty>	[0..1]	Text		1570
	CheckingParty <ChckngPty>	[0..1]	Text		1570
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1571
	CertificateType <CertTp>	[0..1]	±		1571
	CheckingDate <ChckngDt>	[0..1]	Date		1571
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1571
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1572
	SalaryRange <SlryRg>	[0..1]	Text		1572
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1572
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1572
	RiskLevel <RskLvl>	[0..1]	±		1572
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1572
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1573
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1573
	ClientIdentification <Clntld>	[0..1]	Text		1573
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1574
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1574
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1574
	Notification <Ntfctn>	[0..*]	±		1574
	FATCAFormType <FATCAFormTp>	[0..*]	±		1574
	FATCAStatus <FATCASts>	[0..*]	±		1575

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1575
	CRSFormType <CRSFormTp>	[0..*]	±		1575
	CRSStatus <CRSSts>	[0..*]	±		1575
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1576
	OtherIdentification <Othrld>	[0..*]	±		1576
	TaxExemption <TaxXmptn>	[0..1]	±		1577
	TaxReporting <TaxRptg>	[0..*]	±		1577
	Language <Lang>	[0..1]	CodeSet	C34	1578
	MailType <MailTp>	[0..1]	±		1579
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1579
	MonetaryWealth <MntryWlth>	[0..1]	±		1579
	EquityValue <EqtyVal>	[0..1]	±		1579
	WorkingCapital <WorkgCptl>	[0..1]	±		1580
	CompanyLink <CpnyLk>	[0..1]	±		1580
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1580
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1580
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1581
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1581
	AccountingStatus <AcctgSts>	[0..1]	±		1582
	AdditionalInformation <AddtlInf>	[0..*]	±		1582
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1583

66.1.30.6.10 OtherParty <OthrPty>

Presence: [0..*]

Definition: Other type of party.

OtherParty <OthrPty> contains the following elements (see "[ExtendedParty15](#)" on page 1978 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExtendedPartyRole <XtndedPtyRole>	[1..1]	Text		1978
	OtherPartyDetails <OthrPtyDtls>	[1..1]	±		1978

66.1.30.6.11 Granter <Grntr>

Presence: [0..*]

Definition: Granter role in the hedge funds industry.

Granter <Grntr> contains the following elements (see "InvestmentAccountOwnershipInformation17" on page 1563 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1565
{Or	Organisation <Org>	[1..1]	±	C20	1565
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1566
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1568
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1568
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1569
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1569
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1570
	ValidatingParty <VldtngPty>	[0..1]	Text		1570
	CheckingParty <ChckngPty>	[0..1]	Text		1570
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1571
	CertificateType <CertTp>	[0..1]	±		1571
	CheckingDate <ChckngDt>	[0..1]	Date		1571
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1571
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1572
	SalaryRange <SlryRg>	[0..1]	Text		1572
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1572
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1572
	RiskLevel <RskLvl>	[0..1]	±		1572
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1572
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1573
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1573
	ClientIdentification <Clntld>	[0..1]	Text		1573
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1574
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1574
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1574
	Notification <Ntfctn>	[0..*]	±		1574
	FATCAFormType <FATCAFormTp>	[0..*]	±		1574
	FATCAStatus <FATCASts>	[0..*]	±		1575

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1575
	CRSFormType <CRSFormTp>	[0..*]	±		1575
	CRSStatus <CRSSts>	[0..*]	±		1575
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1576
	OtherIdentification <Othrld>	[0..*]	±		1576
	TaxExemption <TaxXmptn>	[0..1]	±		1577
	TaxReporting <TaxRptg>	[0..*]	±		1577
	Language <Lang>	[0..1]	CodeSet	C34	1578
	MailType <MailTp>	[0..1]	±		1579
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1579
	MonetaryWealth <MntryWlth>	[0..1]	±		1579
	EquityValue <EqtyVal>	[0..1]	±		1579
	WorkingCapital <WorkgCptl>	[0..1]	±		1580
	CompanyLink <CpnyLk>	[0..1]	±		1580
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1580
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1580
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1581
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1581
	AccountingStatus <AcctgSts>	[0..1]	±		1582
	AdditionalInformation <AddtlInf>	[0..*]	±		1582
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1583

66.1.30.6.12 Settlor <Sttlr>

Presence: [0..*]

Definition: Entity that creates a trust or contributes assets to the trust.

Settlor <Sttlr> contains the following elements (see "InvestmentAccountOwnershipInformation17" on page 1563 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1565
{Or	Organisation <Org>	[1..1]	±	C20	1565
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1566
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1568
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1568
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1569
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1569
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1570
	ValidatingParty <VldtngPty>	[0..1]	Text		1570
	CheckingParty <ChckngPty>	[0..1]	Text		1570
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1571
	CertificateType <CertTp>	[0..1]	±		1571
	CheckingDate <ChckngDt>	[0..1]	Date		1571
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1571
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1572
	SalaryRange <SlryRg>	[0..1]	Text		1572
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1572
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1572
	RiskLevel <RskLvl>	[0..1]	±		1572
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1572
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1573
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1573
	ClientIdentification <Clntld>	[0..1]	Text		1573
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1574
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1574
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1574
	Notification <Ntfctn>	[0..*]	±		1574
	FATCAFormType <FATCAFormTp>	[0..*]	±		1574
	FATCAStatus <FATCASts>	[0..*]	±		1575

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1575
	CRSFormType <CRSFormTp>	[0..*]	±		1575
	CRSStatus <CRSSts>	[0..*]	±		1575
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1576
	OtherIdentification <Othrld>	[0..*]	±		1576
	TaxExemption <TaxXmptn>	[0..1]	±		1577
	TaxReporting <TaxRptg>	[0..*]	±		1577
	Language <Lang>	[0..1]	CodeSet	C34	1578
	MailType <MailTp>	[0..1]	±		1579
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1579
	MonetaryWealth <MntryWlth>	[0..1]	±		1579
	EquityValue <EqtyVal>	[0..1]	±		1579
	WorkingCapital <WorkgCptl>	[0..1]	±		1580
	CompanyLink <CpnyLk>	[0..1]	±		1580
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1580
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1580
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1581
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1581
	AccountingStatus <AcctgSts>	[0..1]	±		1582
	AdditionalInformation <AddtlInf>	[0..*]	±		1582
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1583

66.1.30.6.13 SeniorManagingOfficial <SnrMggOffcl>

Presence: [0..*]

Definition: Party that makes, or participates in the making of, decisions that affect the whole, or a substantial part, of the business of a customer of a reporting entity or that has the capacity to affect significantly the financial standing of a customer of a reporting entity. Typically, this is a controlling person of a corporate (ownership type CORP).

SeniorManagingOfficial <SnrMggOffcl> contains the following elements (see "InvestmentAccountOwnershipInformation17" on page 1563 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1565
{Or	Organisation <Org>	[1..1]	±	C20	1565
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1566
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1568
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1568
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1569
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1569
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1570
	ValidatingParty <VldtngPty>	[0..1]	Text		1570
	CheckingParty <ChckngPty>	[0..1]	Text		1570
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1571
	CertificateType <CertTp>	[0..1]	±		1571
	CheckingDate <ChckngDt>	[0..1]	Date		1571
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1571
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1572
	SalaryRange <SlryRg>	[0..1]	Text		1572
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1572
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1572
	RiskLevel <RskLvl>	[0..1]	±		1572
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1572
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1573
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1573
	ClientIdentification <Clntld>	[0..1]	Text		1573
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1574
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1574
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1574
	Notification <Ntfctn>	[0..*]	±		1574
	FATCAFormType <FATCAFormTp>	[0..*]	±		1574
	FATCAStatus <FATCASts>	[0..*]	±		1575

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1575
	CRSFormType <CRSFormTp>	[0..*]	±		1575
	CRSStatus <CRSSts>	[0..*]	±		1575
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1576
	OtherIdentification <Othrld>	[0..*]	±		1576
	TaxExemption <TaxXmptn>	[0..1]	±		1577
	TaxReporting <TaxRptg>	[0..*]	±		1577
	Language <Lang>	[0..1]	CodeSet	C34	1578
	MailType <MailTp>	[0..1]	±		1579
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1579
	MonetaryWealth <MntryWlth>	[0..1]	±		1579
	EquityValue <EqtyVal>	[0..1]	±		1579
	WorkingCapital <WorkgCptl>	[0..1]	±		1580
	CompanyLink <CpnyLk>	[0..1]	±		1580
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1580
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1580
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1581
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1581
	AccountingStatus <AcctgSts>	[0..1]	±		1582
	AdditionalInformation <AddtlInf>	[0..*]	±		1582
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1583

66.1.30.6.14 Protector <Prtctr>

Presence: [0..*]

Definition: Person appointed under the trust instrument to direct or restrain the trustees in relation to their administration of the trust. Typically, this is a controlling person of a trust (ownership type TRUS) or other non-individual organisation (ownership type ONIS).

Protector <Prtctr> contains the following elements (see "InvestmentAccountOwnershipInformation17" on page 1563 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1565
{Or	Organisation <Org>	[1..1]	±	C20	1565
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1566
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1568
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1568
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1569
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1569
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1570
	ValidatingParty <VldtngPty>	[0..1]	Text		1570
	CheckingParty <ChckngPty>	[0..1]	Text		1570
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1571
	CertificateType <CertTp>	[0..1]	±		1571
	CheckingDate <ChckngDt>	[0..1]	Date		1571
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1571
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1572
	SalaryRange <SlryRg>	[0..1]	Text		1572
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1572
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1572
	RiskLevel <RskLvl>	[0..1]	±		1572
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1572
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1573
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1573
	ClientIdentification <Clntld>	[0..1]	Text		1573
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1574
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1574
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1574
	Notification <Ntfctn>	[0..*]	±		1574
	FATCAFormType <FATCAFormTp>	[0..*]	±		1574
	FATCAStatus <FATCASts>	[0..*]	±		1575

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1575
	CRSFormType <CRSFormTp>	[0..*]	±		1575
	CRSStatus <CRSSts>	[0..*]	±		1575
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1576
	OtherIdentification <OthrlId>	[0..*]	±		1576
	TaxExemption <TaxXmptn>	[0..1]	±		1577
	TaxReporting <TaxRptg>	[0..*]	±		1577
	Language <Lang>	[0..1]	CodeSet	C34	1578
	MailType <MailTp>	[0..1]	±		1579
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1579
	MonetaryWealth <MntryWlth>	[0..1]	±		1579
	EquityValue <EqtyVal>	[0..1]	±		1579
	WorkingCapital <WorkgCptl>	[0..1]	±		1580
	CompanyLink <CpnyLk>	[0..1]	±		1580
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1580
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1580
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1581
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1581
	AccountingStatus <AcctgSts>	[0..1]	±		1582
	AdditionalInformation <AddtlInf>	[0..*]	±		1582
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1583

66.1.30.6.15 RegisteredShareholderName <RegdShrhldrNm>

Presence: [0..1]

Definition: Party for which shares are to be registered.

RegisteredShareholderName <RegdShrhldrNm> contains one of the following elements (see "RegisteredShareholderName1Choice" on page 2030 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndividualPerson <IndvPrsn>	[1..1]			2030
	NamePrefix <NmPrfx>	[0..1]			2031
{Or	Code <Cd>	[1..1]	CodeSet		2031
Or}	Proprietary <Prtry>	[1..1]	±		2031
	GivenName <GvnNm>	[0..1]	Text		2032
	MiddleName <MddlNm>	[0..1]	Text		2032
	Name <Nm>	[1..1]	Text		2032
	PostalAddress <PstlAdr>	[1..5]	±	C23	2032
Or}	Organisation <Org>	[1..1]			2033
	Name <Nm>	[1..1]	Text		2034
	ShortName <ShrtNm>	[0..1]	Text		2034
	PostalAddress <PstlAdr>	[1..5]	±	C23	2034

66.1.30.7 AccountParties17

Definition: Information about a party's account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PrincipalAccountParty <PrncplAcctPty>	[1..1]			1945
{Or	PrimaryOwner <PmryOwnr>	[1..1]	±		1945
Or	Trustee <Trstee>	[1..5]	±		1947
Or	Nominee <Nmnee>	[1..1]	±		1949
Or}	JointOwner <JntOwnr>	[1..5]	±		1951
	SecondaryOwner <ScndryOwnr>	[0..*]	±		1953
	Beneficiary <Bnfcry>	[0..*]	±		1955
	PowerOfAttorney <PwrOfAttny>	[0..*]	±		1957
	LegalGuardian <LglGuardn>	[0..*]	±		1959
	CustodianForMinor <CtdnForMnr>	[0..*]	±		1961
	SuccessorOnDeath <SucssrOnDth>	[0..*]	±		1963
	Administrator <Admstr>	[0..*]	±		1965
	OtherParty <OthrPty>	[0..*]	±		1967
	Granter <Grntr>	[0..*]	±		1967
	Settlor <Sttlr>	[0..*]	±		1969
	SeniorManagingOfficial <SnrMggOffcl>	[0..*]	±		1971
	Protector <Prctcr>	[0..*]	±		1973
	RegisteredShareholderName <RegdShrhldrNm>	[0..1]	±		1975

66.1.30.7.1 PrincipalAccountParty <PrncplAcctPty>

Presence: [1..1]

Definition: Main party associated with the account.

PrincipalAccountParty <PrncplAcctPty> contains one of the following **AccountParties12Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PrimaryOwner <PmryOwnr>	[1..1]	±		1945
Or	Trustee <Trstee>	[1..5]	±		1947
Or	Nominee <Nmnee>	[1..1]	±		1949
Or}	JointOwner <JntOwnr>	[1..5]	±		1951

66.1.30.7.1.1 PrimaryOwner <PmryOwnr>

Presence: [1..1]

Definition: Single owner of the account or, when the ownership is split among several owners, the primary owner is the one giving its address and account details for the registration.

PrimaryOwner <PmryOwnr> contains the following elements (see "InvestmentAccountOwnershipInformation16" on page 1607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1609
{Or	Organisation <Org>	[1..1]	±	C24	1609
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1610
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1612
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1612
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1613
	ValidatingParty <VldtngPty>	[0..1]	Text		1613
	CheckingParty <ChckngPty>	[0..1]	Text		1613
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1614
	CertificateType <CertTp>	[0..1]	±		1614
	CheckingDate <ChckngDt>	[0..1]	Date		1614
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1614
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1615
	SalaryRange <SlryRg>	[0..1]	Text		1615
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1615
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1615
	RiskLevel <RskLv>	[0..1]	±		1615
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1615
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1616
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1616
	ClientIdentification <Clntld>	[0..1]	Text		1616
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1617
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1617
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1617
	Notification <Ntfctn>	[0..*]	±		1617
	FATCAFormType <FATCAFormTp>	[0..*]	±		1617
	FATCAStatus <FATCASts>	[0..*]	±		1618
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1618
	CRSFormType <CRSFormTp>	[0..*]	±		1618

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSStatus <CRSSts>	[0..*]	±		1618
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1619
	OtherIdentification <OthrId>	[0..*]	±		1619
	TaxExemption <TaxXmptn>	[0..1]	±		1620
	TaxReporting <TaxRptg>	[0..*]	±		1620
	Language <Lang>	[0..1]	CodeSet	C34	1621
	MailType <MailTp>	[0..1]	±		1622
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1622
	MonetaryWealth <MntryWith>	[0..1]	±		1622
	EquityValue <EqtyVal>	[0..1]	±		1622
	WorkingCapital <WorkgCptl>	[0..1]	±		1623
	CompanyLink <CpnyLk>	[0..1]	±		1623
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1623
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1623
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1624
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1624
	AccountingStatus <AcctgSts>	[0..1]	±		1625
	AdditionalInformation <AddtlInf>	[0..*]	±		1625
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1626

66.1.30.7.1.2 Trustee <Trstee>

Presence: [1..5]

Definition: Legal owners of the property. However, the beneficiary has the equitable or beneficial ownership.

Trustee <Trstee> contains the following elements (see "[InvestmentAccountOwnershipInformation16](#)" on page 1607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1609
{Or	Organisation <Org>	[1..1]	±	C24	1609
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1610
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1612
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1612
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1613
	ValidatingParty <VldtngPty>	[0..1]	Text		1613
	CheckingParty <ChckngPty>	[0..1]	Text		1613
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1614
	CertificateType <CertTp>	[0..1]	±		1614
	CheckingDate <ChckngDt>	[0..1]	Date		1614
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1614
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1615
	SalaryRange <SlryRg>	[0..1]	Text		1615
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1615
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1615
	RiskLevel <RskLvl>	[0..1]	±		1615
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1615
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1616
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1616
	ClientIdentification <Clntld>	[0..1]	Text		1616
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1617
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1617
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1617
	Notification <Ntfctn>	[0..*]	±		1617
	FATCAFormType <FATCAFormTp>	[0..*]	±		1617
	FATCAStatus <FATCASTs>	[0..*]	±		1618
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1618
	CRSFormType <CRSFormTp>	[0..*]	±		1618

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSStatus <CRSSts>	[0..*]	±		1618
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1619
	OtherIdentification <OthrlId>	[0..*]	±		1619
	TaxExemption <TaxXmptn>	[0..1]	±		1620
	TaxReporting <TaxRptg>	[0..*]	±		1620
	Language <Lang>	[0..1]	CodeSet	C34	1621
	MailType <MailTp>	[0..1]	±		1622
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1622
	MonetaryWealth <MntryWlth>	[0..1]	±		1622
	EquityValue <EqtyVal>	[0..1]	±		1622
	WorkingCapital <WorkgCptl>	[0..1]	±		1623
	CompanyLink <CpnyLk>	[0..1]	±		1623
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1623
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1623
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1624
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1624
	AccountingStatus <AcctgSts>	[0..1]	±		1625
	AdditionalInformation <AddtlInf>	[0..*]	±		1625
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1626

66.1.30.7.1.3 Nominee <Nmnee>

Presence: [1..1]

Definition: Entity named by the beneficial owner to act on its behalf, often to facilitate dealing, or to conceal the identity of the beneficiary.

Nominee <Nmnee> contains the following elements (see "[InvestmentAccountOwnershipInformation16](#)" on page 1607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1609
{Or	Organisation <Org>	[1..1]	±	C24	1609
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1610
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1612
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1612
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1613
	ValidatingParty <VldtngPty>	[0..1]	Text		1613
	CheckingParty <ChckngPty>	[0..1]	Text		1613
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1614
	CertificateType <CertTp>	[0..1]	±		1614
	CheckingDate <ChckngDt>	[0..1]	Date		1614
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1614
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1615
	SalaryRange <SlryRg>	[0..1]	Text		1615
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1615
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1615
	RiskLevel <RskLv>	[0..1]	±		1615
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1615
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1616
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1616
	ClientIdentification <Clntld>	[0..1]	Text		1616
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1617
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1617
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1617
	Notification <Ntfctn>	[0..*]	±		1617
	FATCAFormType <FATCAFormTp>	[0..*]	±		1617
	FATCAStatus <FATCASts>	[0..*]	±		1618
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1618
	CRSFormType <CRSFormTp>	[0..*]	±		1618

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSStatus <CRSSts>	[0..*]	±		1618
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1619
	OtherIdentification <OthrId>	[0..*]	±		1619
	TaxExemption <TaxXmptn>	[0..1]	±		1620
	TaxReporting <TaxRptg>	[0..*]	±		1620
	Language <Lang>	[0..1]	CodeSet	C34	1621
	MailType <MailTp>	[0..1]	±		1622
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1622
	MonetaryWealth <MntryWith>	[0..1]	±		1622
	EquityValue <EqtyVal>	[0..1]	±		1622
	WorkingCapital <WorkgCptl>	[0..1]	±		1623
	CompanyLink <CpnyLk>	[0..1]	±		1623
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1623
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1623
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1624
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1624
	AccountingStatus <AcctgSts>	[0..1]	±		1625
	AdditionalInformation <AddtlInf>	[0..*]	±		1625
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1626

66.1.30.7.1.4 JointOwner <JntOwnr>

Presence: [1..5]

Definition: Co-owner of the investment account when the ownership is assigned to more than one party.

JointOwner <JntOwnr> contains the following elements (see "InvestmentAccountOwnershipInformation16" on page 1607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1609
{Or	Organisation <Org>	[1..1]	±	C24	1609
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1610
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1612
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1612
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1613
	ValidatingParty <VldtngPty>	[0..1]	Text		1613
	CheckingParty <ChckngPty>	[0..1]	Text		1613
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1614
	CertificateType <CertTp>	[0..1]	±		1614
	CheckingDate <ChckngDt>	[0..1]	Date		1614
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1614
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1615
	SalaryRange <SlryRg>	[0..1]	Text		1615
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1615
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1615
	RiskLevel <RskLv>	[0..1]	±		1615
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1615
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1616
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1616
	ClientIdentification <Clntld>	[0..1]	Text		1616
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1617
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1617
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1617
	Notification <Ntfctn>	[0..*]	±		1617
	FATCAFormType <FATCAFormTp>	[0..*]	±		1617
	FATCAStatus <FATCASts>	[0..*]	±		1618
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1618
	CRSFormType <CRSFormTp>	[0..*]	±		1618

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSStatus <CRSSts>	[0..*]	±		1618
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1619
	OtherIdentification <OthrId>	[0..*]	±		1619
	TaxExemption <TaxXmptn>	[0..1]	±		1620
	TaxReporting <TaxRptg>	[0..*]	±		1620
	Language <Lang>	[0..1]	CodeSet	C34	1621
	MailType <MailTp>	[0..1]	±		1622
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1622
	MonetaryWealth <MntryWlth>	[0..1]	±		1622
	EquityValue <EqtyVal>	[0..1]	±		1622
	WorkingCapital <WorkgCptl>	[0..1]	±		1623
	CompanyLink <CpnyLk>	[0..1]	±		1623
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1623
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1623
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1624
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1624
	AccountingStatus <AcctgSts>	[0..1]	±		1625
	AdditionalInformation <AddtlInf>	[0..*]	±		1625
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1626

66.1.30.7.2 SecondaryOwner <ScndryOwnr>

Presence: [0..*]

Definition: Entity that is not the primary owner when the ownership of the investment account is split among several owners.

SecondaryOwner <ScndryOwnr> contains the following elements (see "InvestmentAccountOwnershipInformation16" on page 1607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1609
{Or	Organisation <Org>	[1..1]	±	C24	1609
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1610
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1612
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1612
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1613
	ValidatingParty <VldtngPty>	[0..1]	Text		1613
	CheckingParty <ChckngPty>	[0..1]	Text		1613
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1614
	CertificateType <CertTp>	[0..1]	±		1614
	CheckingDate <ChckngDt>	[0..1]	Date		1614
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1614
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1615
	SalaryRange <SlryRg>	[0..1]	Text		1615
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1615
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1615
	RiskLevel <RskLv>	[0..1]	±		1615
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1615
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1616
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1616
	ClientIdentification <Clntld>	[0..1]	Text		1616
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1617
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1617
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1617
	Notification <Ntfctn>	[0..*]	±		1617
	FATCAFormType <FATCAFormTp>	[0..*]	±		1617
	FATCAStatus <FATCASts>	[0..*]	±		1618
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1618
	CRSFormType <CRSFormTp>	[0..*]	±		1618

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSStatus <CRSSts>	[0..*]	±		1618
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1619
	OtherIdentification <OthrId>	[0..*]	±		1619
	TaxExemption <TaxXmptn>	[0..1]	±		1620
	TaxReporting <TaxRptg>	[0..*]	±		1620
	Language <Lang>	[0..1]	CodeSet	C34	1621
	MailType <MailTp>	[0..1]	±		1622
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1622
	MonetaryWealth <MntryWlth>	[0..1]	±		1622
	EquityValue <EqtyVal>	[0..1]	±		1622
	WorkingCapital <WorkgCptl>	[0..1]	±		1623
	CompanyLink <CpnyLk>	[0..1]	±		1623
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1623
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1623
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1624
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1624
	AccountingStatus <AcctgSts>	[0..1]	±		1625
	AdditionalInformation <AddtlInf>	[0..*]	±		1625
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1626

66.1.30.7.3 Beneficiary <Bnfcry>

Presence: [0..*]

Definition: Ultimate party that is entitled to either receive the benefits of the ownership of a financial instrument, or to be paid/credited as a result of a transfer.

Beneficiary <Bnfcry> contains the following elements (see "InvestmentAccountOwnershipInformation16" on page 1607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1609
{Or	Organisation <Org>	[1..1]	±	C24	1609
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1610
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1612
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1612
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1613
	ValidatingParty <VldtngPty>	[0..1]	Text		1613
	CheckingParty <ChckngPty>	[0..1]	Text		1613
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1614
	CertificateType <CertTp>	[0..1]	±		1614
	CheckingDate <ChckngDt>	[0..1]	Date		1614
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1614
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1615
	SalaryRange <SlryRg>	[0..1]	Text		1615
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1615
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1615
	RiskLevel <RskLv>	[0..1]	±		1615
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1615
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1616
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1616
	ClientIdentification <Clntld>	[0..1]	Text		1616
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1617
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1617
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1617
	Notification <Ntfctn>	[0..*]	±		1617
	FATCAFormType <FATCAFormTp>	[0..*]	±		1617
	FATCAStatus <FATCASts>	[0..*]	±		1618
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1618
	CRSFormType <CRSFormTp>	[0..*]	±		1618

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSStatus <CRSSts>	[0..*]	±		1618
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1619
	OtherIdentification <OthrId>	[0..*]	±		1619
	TaxExemption <TaxXmptn>	[0..1]	±		1620
	TaxReporting <TaxRptg>	[0..*]	±		1620
	Language <Lang>	[0..1]	CodeSet	C34	1621
	MailType <MailTp>	[0..1]	±		1622
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1622
	MonetaryWealth <MntryWlth>	[0..1]	±		1622
	EquityValue <EqtyVal>	[0..1]	±		1622
	WorkingCapital <WorkgCptl>	[0..1]	±		1623
	CompanyLink <CpnyLk>	[0..1]	±		1623
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1623
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1623
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1624
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1624
	AccountingStatus <AcctgSts>	[0..1]	±		1625
	AdditionalInformation <AddtlInf>	[0..*]	±		1625
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1626

66.1.30.7.4 PowerOfAttorney <PwrOfAttny>

Presence: [0..*]

Definition: Entity that was given the authority by another entity to act on its behalf.

PowerOfAttorney <PwrOfAttny> contains the following elements (see "InvestmentAccountOwnershipInformation16" on page 1607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1609
{Or	Organisation <Org>	[1..1]	±	C24	1609
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1610
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1612
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1612
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1613
	ValidatingParty <VldtngPty>	[0..1]	Text		1613
	CheckingParty <ChckngPty>	[0..1]	Text		1613
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1614
	CertificateType <CertTp>	[0..1]	±		1614
	CheckingDate <ChckngDt>	[0..1]	Date		1614
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1614
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1615
	SalaryRange <SlryRg>	[0..1]	Text		1615
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1615
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1615
	RiskLevel <RskLv>	[0..1]	±		1615
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1615
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1616
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1616
	ClientIdentification <Clntld>	[0..1]	Text		1616
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1617
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1617
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1617
	Notification <Ntfctn>	[0..*]	±		1617
	FATCAFormType <FATCAFormTp>	[0..*]	±		1617
	FATCAStatus <FATCASTs>	[0..*]	±		1618
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1618
	CRSFormType <CRSFormTp>	[0..*]	±		1618

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSStatus <CRSSts>	[0..*]	±		1618
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1619
	OtherIdentification <OthrId>	[0..*]	±		1619
	TaxExemption <TaxXmptn>	[0..1]	±		1620
	TaxReporting <TaxRptg>	[0..*]	±		1620
	Language <Lang>	[0..1]	CodeSet	C34	1621
	MailType <MailTp>	[0..1]	±		1622
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1622
	MonetaryWealth <MntryWith>	[0..1]	±		1622
	EquityValue <EqtyVal>	[0..1]	±		1622
	WorkingCapital <WorkgCptl>	[0..1]	±		1623
	CompanyLink <CpnyLk>	[0..1]	±		1623
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1623
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1623
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1624
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1624
	AccountingStatus <AcctgSts>	[0..1]	±		1625
	AdditionalInformation <AddtlInf>	[0..*]	±		1625
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1626

66.1.30.7.5 LegalGuardian <LglGuardn>

Presence: [0..*]

Definition: Entity that has been appointed by a legal authority to act on behalf of a person judged to be incapacitated.

LegalGuardian <LglGuardn> contains the following elements (see "InvestmentAccountOwnershipInformation16" on page 1607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1609
{Or	Organisation <Org>	[1..1]	±	C24	1609
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1610
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1612
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1612
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1613
	ValidatingParty <VldtngPty>	[0..1]	Text		1613
	CheckingParty <ChckngPty>	[0..1]	Text		1613
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1614
	CertificateType <CertTp>	[0..1]	±		1614
	CheckingDate <ChckngDt>	[0..1]	Date		1614
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1614
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1615
	SalaryRange <SlryRg>	[0..1]	Text		1615
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1615
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1615
	RiskLevel <RskLv>	[0..1]	±		1615
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1615
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1616
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1616
	ClientIdentification <Clntld>	[0..1]	Text		1616
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1617
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1617
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1617
	Notification <Ntfctn>	[0..*]	±		1617
	FATCAFormType <FATCAFormTp>	[0..*]	±		1617
	FATCAStatus <FATCASTs>	[0..*]	±		1618
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1618
	CRSFormType <CRSFormTp>	[0..*]	±		1618

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSStatus <CRSSts>	[0..*]	±		1618
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1619
	OtherIdentification <OthrId>	[0..*]	±		1619
	TaxExemption <TaxXmptn>	[0..1]	±		1620
	TaxReporting <TaxRptg>	[0..*]	±		1620
	Language <Lang>	[0..1]	CodeSet	C34	1621
	MailType <MailTp>	[0..1]	±		1622
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1622
	MonetaryWealth <MntryWlth>	[0..1]	±		1622
	EquityValue <EqtyVal>	[0..1]	±		1622
	WorkingCapital <WorkgCptl>	[0..1]	±		1623
	CompanyLink <CpnyLk>	[0..1]	±		1623
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1623
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1623
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1624
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1624
	AccountingStatus <AcctgSts>	[0..1]	±		1625
	AdditionalInformation <AddtlInf>	[0..*]	±		1625
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1626

66.1.30.7.6 CustodianForMinor <CtdnForMnr>

Presence: [0..*]

Definition: Entity that holds shares/units on behalf of a legal minor. Although the account is registered under the name of the minor, the custodian retains control of the account.

CustodianForMinor <CtdnForMnr> contains the following elements (see "InvestmentAccountOwnershipInformation16" on page 1607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1609
{Or	Organisation <Org>	[1..1]	±	C24	1609
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1610
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1612
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1612
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1613
	ValidatingParty <VldtngPty>	[0..1]	Text		1613
	CheckingParty <ChckngPty>	[0..1]	Text		1613
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1614
	CertificateType <CertTp>	[0..1]	±		1614
	CheckingDate <ChckngDt>	[0..1]	Date		1614
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1614
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1615
	SalaryRange <SlryRg>	[0..1]	Text		1615
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1615
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1615
	RiskLevel <RskLv>	[0..1]	±		1615
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1615
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1616
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1616
	ClientIdentification <Clntld>	[0..1]	Text		1616
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1617
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1617
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1617
	Notification <Ntfctn>	[0..*]	±		1617
	FATCAFormType <FATCAFormTp>	[0..*]	±		1617
	FATCAStatus <FATCASts>	[0..*]	±		1618
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1618
	CRSFormType <CRSFormTp>	[0..*]	±		1618

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSStatus <CRSSts>	[0..*]	±		1618
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1619
	OtherIdentification <OthrId>	[0..*]	±		1619
	TaxExemption <TaxXmptn>	[0..1]	±		1620
	TaxReporting <TaxRptg>	[0..*]	±		1620
	Language <Lang>	[0..1]	CodeSet	C34	1621
	MailType <MailTp>	[0..1]	±		1622
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1622
	MonetaryWealth <MntryWlth>	[0..1]	±		1622
	EquityValue <EqtyVal>	[0..1]	±		1622
	WorkingCapital <WorkgCptl>	[0..1]	±		1623
	CompanyLink <CpnyLk>	[0..1]	±		1623
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1623
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1623
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1624
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1624
	AccountingStatus <AcctgSts>	[0..1]	±		1625
	AdditionalInformation <AddtlInf>	[0..*]	±		1625
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1626

66.1.30.7.7 SuccessorOnDeath <SucssrOnDth>

Presence: [0..*]

Definition: Deceased's estate, or successor, to whom the respective percentage of ownership will be transferred upon the death of one of the owners.

SuccessorOnDeath <SucssrOnDth> contains the following elements (see "InvestmentAccountOwnershipInformation16" on page 1607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1609
{Or	Organisation <Org>	[1..1]	±	C24	1609
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1610
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1612
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1612
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1613
	ValidatingParty <VldtngPty>	[0..1]	Text		1613
	CheckingParty <ChckngPty>	[0..1]	Text		1613
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1614
	CertificateType <CertTp>	[0..1]	±		1614
	CheckingDate <ChckngDt>	[0..1]	Date		1614
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1614
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1615
	SalaryRange <SlryRg>	[0..1]	Text		1615
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1615
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1615
	RiskLevel <RskLv>	[0..1]	±		1615
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1615
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1616
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1616
	ClientIdentification <Clntld>	[0..1]	Text		1616
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1617
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1617
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1617
	Notification <Ntfctn>	[0..*]	±		1617
	FATCAFormType <FATCAFormTp>	[0..*]	±		1617
	FATCAStatus <FATCASts>	[0..*]	±		1618
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1618
	CRSFormType <CRSFormTp>	[0..*]	±		1618

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSStatus <CRSSts>	[0..*]	±		1618
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1619
	OtherIdentification <OthrId>	[0..*]	±		1619
	TaxExemption <TaxXmptn>	[0..1]	±		1620
	TaxReporting <TaxRptg>	[0..*]	±		1620
	Language <Lang>	[0..1]	CodeSet	C34	1621
	MailType <MailTp>	[0..1]	±		1622
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1622
	MonetaryWealth <MntryWith>	[0..1]	±		1622
	EquityValue <EqtyVal>	[0..1]	±		1622
	WorkingCapital <WorkgCptl>	[0..1]	±		1623
	CompanyLink <CpnyLk>	[0..1]	±		1623
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1623
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1623
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1624
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1624
	AccountingStatus <AcctgSts>	[0..1]	±		1625
	AdditionalInformation <AddtlInf>	[0..*]	±		1625
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1626

66.1.30.7.8 Administrator <Admstr>

Presence: [0..*]

Definition: Entity that has been appointed by a legal authority to act on behalf of a person or organisation that has gone bankrupt.

Administrator <Admstr> contains the following elements (see "InvestmentAccountOwnershipInformation16" on page 1607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1609
{Or	Organisation <Org>	[1..1]	±	C24	1609
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1610
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1612
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1612
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1613
	ValidatingParty <VldtngPty>	[0..1]	Text		1613
	CheckingParty <ChckngPty>	[0..1]	Text		1613
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1614
	CertificateType <CertTp>	[0..1]	±		1614
	CheckingDate <ChckngDt>	[0..1]	Date		1614
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1614
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1615
	SalaryRange <SlryRg>	[0..1]	Text		1615
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1615
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1615
	RiskLevel <RskLv>	[0..1]	±		1615
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1615
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1616
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1616
	ClientIdentification <Clntld>	[0..1]	Text		1616
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1617
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1617
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1617
	Notification <Ntfctn>	[0..*]	±		1617
	FATCAFormType <FATCAFormTp>	[0..*]	±		1617
	FATCAStatus <FATCASts>	[0..*]	±		1618
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1618
	CRSFormType <CRSFormTp>	[0..*]	±		1618

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSStatus <CRSSSts>	[0..*]	±		1618
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1619
	OtherIdentification <OthrId>	[0..*]	±		1619
	TaxExemption <TaxXmptn>	[0..1]	±		1620
	TaxReporting <TaxRptg>	[0..*]	±		1620
	Language <Lang>	[0..1]	CodeSet	C34	1621
	MailType <MailTp>	[0..1]	±		1622
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1622
	MonetaryWealth <MntryWlth>	[0..1]	±		1622
	EquityValue <EqtyVal>	[0..1]	±		1622
	WorkingCapital <WorkgCptl>	[0..1]	±		1623
	CompanyLink <CpnyLk>	[0..1]	±		1623
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1623
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1623
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1624
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1624
	AccountingStatus <AcctgSts>	[0..1]	±		1625
	AdditionalInformation <AddtlInf>	[0..*]	±		1625
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1626

66.1.30.7.9 OtherParty <OthrPty>

Presence: [0..*]

Definition: Other type of party.

OtherParty <OthrPty> contains the following elements (see "[ExtendedParty14](#)" on page 1997 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExtendedPartyRole <XtndedPtyRole>	[1..1]	Text		1997
	OtherPartyDetails <OthrPtyDtls>	[1..1]	±		1997

66.1.30.7.10 Granter <Grntr>

Presence: [0..*]

Definition: Granter role in the hedge funds industry.

Granter <Grntr> contains the following elements (see "InvestmentAccountOwnershipInformation16" on page 1607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1609
{Or	Organisation <Org>	[1..1]	±	C24	1609
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1610
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1612
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1612
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1613
	ValidatingParty <VldtngPty>	[0..1]	Text		1613
	CheckingParty <ChckngPty>	[0..1]	Text		1613
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1614
	CertificateType <CertTp>	[0..1]	±		1614
	CheckingDate <ChckngDt>	[0..1]	Date		1614
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1614
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1615
	SalaryRange <SlryRg>	[0..1]	Text		1615
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1615
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1615
	RiskLevel <RskLv>	[0..1]	±		1615
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1615
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1616
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1616
	ClientIdentification <Clntld>	[0..1]	Text		1616
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1617
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1617
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1617
	Notification <Ntfctn>	[0..*]	±		1617
	FATCAFormType <FATCAFormTp>	[0..*]	±		1617
	FATCAStatus <FATCASts>	[0..*]	±		1618
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1618
	CRSFormType <CRSFormTp>	[0..*]	±		1618

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSStatus <CRSSts>	[0..*]	±		1618
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1619
	OtherIdentification <OthrId>	[0..*]	±		1619
	TaxExemption <TaxXmptn>	[0..1]	±		1620
	TaxReporting <TaxRptg>	[0..*]	±		1620
	Language <Lang>	[0..1]	CodeSet	C34	1621
	MailType <MailTp>	[0..1]	±		1622
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1622
	MonetaryWealth <MntryWith>	[0..1]	±		1622
	EquityValue <EqtyVal>	[0..1]	±		1622
	WorkingCapital <WorkgCptl>	[0..1]	±		1623
	CompanyLink <CpnyLk>	[0..1]	±		1623
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1623
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1623
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1624
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1624
	AccountingStatus <AcctgSts>	[0..1]	±		1625
	AdditionalInformation <AddtlInf>	[0..*]	±		1625
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1626

66.1.30.7.11 Settlor <Sttlr>

Presence: [0..*]

Definition: Entity that creates a trust or contributes assets to the trust.

Settlor <Sttlr> contains the following elements (see "InvestmentAccountOwnershipInformation16" on page 1607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1609
{Or	Organisation <Org>	[1..1]	±	C24	1609
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1610
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1612
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1612
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1613
	ValidatingParty <VldtngPty>	[0..1]	Text		1613
	CheckingParty <ChckngPty>	[0..1]	Text		1613
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1614
	CertificateType <CertTp>	[0..1]	±		1614
	CheckingDate <ChckngDt>	[0..1]	Date		1614
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1614
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1615
	SalaryRange <SlryRg>	[0..1]	Text		1615
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1615
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1615
	RiskLevel <RskLv>	[0..1]	±		1615
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1615
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1616
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1616
	ClientIdentification <Clntld>	[0..1]	Text		1616
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1617
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1617
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1617
	Notification <Ntfctn>	[0..*]	±		1617
	FATCAFormType <FATCAFormTp>	[0..*]	±		1617
	FATCAStatus <FATCASts>	[0..*]	±		1618
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1618
	CRSFormType <CRSFormTp>	[0..*]	±		1618

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSStatus <CRSSts>	[0..*]	±		1618
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1619
	OtherIdentification <OthrId>	[0..*]	±		1619
	TaxExemption <TaxXmptn>	[0..1]	±		1620
	TaxReporting <TaxRptg>	[0..*]	±		1620
	Language <Lang>	[0..1]	CodeSet	C34	1621
	MailType <MailTp>	[0..1]	±		1622
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1622
	MonetaryWealth <MntryWlth>	[0..1]	±		1622
	EquityValue <EqtyVal>	[0..1]	±		1622
	WorkingCapital <WorkgCptl>	[0..1]	±		1623
	CompanyLink <CpnyLk>	[0..1]	±		1623
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1623
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1623
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1624
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1624
	AccountingStatus <AcctgSts>	[0..1]	±		1625
	AdditionalInformation <AddtlInf>	[0..*]	±		1625
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1626

66.1.30.7.12 SeniorManagingOfficial <SnrMggOffcl>

Presence: [0..*]

Definition: Party that makes, or participates in the making of, decisions that affect the whole, or a substantial part, of the business of a customer of a reporting entity or that has the capacity to affect significantly the financial standing of a customer of a reporting entity. Typically, this is a controlling person of a corporate (ownership type CORP).

SeniorManagingOfficial <SnrMggOffcl> contains the following elements (see "InvestmentAccountOwnershipInformation16" on page 1607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1609
{Or	Organisation <Org>	[1..1]	±	C24	1609
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1610
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1612
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1612
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1613
	ValidatingParty <VldtngPty>	[0..1]	Text		1613
	CheckingParty <ChckngPty>	[0..1]	Text		1613
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1614
	CertificateType <CertTp>	[0..1]	±		1614
	CheckingDate <ChckngDt>	[0..1]	Date		1614
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1614
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1615
	SalaryRange <SlryRg>	[0..1]	Text		1615
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1615
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1615
	RiskLevel <RskLv>	[0..1]	±		1615
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1615
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1616
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1616
	ClientIdentification <Clntld>	[0..1]	Text		1616
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1617
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1617
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1617
	Notification <Ntfctn>	[0..*]	±		1617
	FATCAFormType <FATCAFormTp>	[0..*]	±		1617
	FATCAStatus <FATCASts>	[0..*]	±		1618
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1618
	CRSFormType <CRSFormTp>	[0..*]	±		1618

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSStatus <CRSSts>	[0..*]	±		1618
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1619
	OtherIdentification <OthrlId>	[0..*]	±		1619
	TaxExemption <TaxXmptn>	[0..1]	±		1620
	TaxReporting <TaxRptg>	[0..*]	±		1620
	Language <Lang>	[0..1]	CodeSet	C34	1621
	MailType <MailTp>	[0..1]	±		1622
	CountryAndResidentialStatus <CtryAndResdttlSts>	[0..1]	±		1622
	MonetaryWealth <MntryWith>	[0..1]	±		1622
	EquityValue <EqtyVal>	[0..1]	±		1622
	WorkingCapital <WorkgCptl>	[0..1]	±		1623
	CompanyLink <CpnyLk>	[0..1]	±		1623
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1623
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1623
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1624
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1624
	AccountingStatus <AcctgSts>	[0..1]	±		1625
	AdditionalInformation <AddtlInf>	[0..*]	±		1625
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1626

66.1.30.7.13 Protector <Prtctr>

Presence: [0..*]

Definition: Person appointed under the trust instrument to direct or restrain the trustees in relation to their administration of the trust. Typically, this is a controlling person of a trust (ownership type TRUS) or other non-individual organisation (ownership type ONIS).

Protector <Prctcr> contains the following elements (see "InvestmentAccountOwnershipInformation16" on page 1607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1609
{Or	Organisation <Org>	[1..1]	±	C24	1609
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1610
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1612
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1612
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1613
	ValidatingParty <VldtngPty>	[0..1]	Text		1613
	CheckingParty <ChckngPty>	[0..1]	Text		1613
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1614
	CertificateType <CertTp>	[0..1]	±		1614
	CheckingDate <ChckngDt>	[0..1]	Date		1614
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1614
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1615
	SalaryRange <SlryRg>	[0..1]	Text		1615
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1615
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1615
	RiskLevel <RskLv>	[0..1]	±		1615
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1615
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1616
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1616
	ClientIdentification <Clntld>	[0..1]	Text		1616
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1617
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1617
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1617
	Notification <Ntfctn>	[0..*]	±		1617
	FATCAFormType <FATCAFormTp>	[0..*]	±		1617
	FATCAStatus <FATCASts>	[0..*]	±		1618
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1618
	CRSFormType <CRSFormTp>	[0..*]	±		1618

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSStatus <CRSSts>	[0..*]	±		1618
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1619
	OtherIdentification <OthrId>	[0..*]	±		1619
	TaxExemption <TaxXmptn>	[0..1]	±		1620
	TaxReporting <TaxRptg>	[0..*]	±		1620
	Language <Lang>	[0..1]	CodeSet	C34	1621
	MailType <MailTp>	[0..1]	±		1622
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1622
	MonetaryWealth <MntryWith>	[0..1]	±		1622
	EquityValue <EqtyVal>	[0..1]	±		1622
	WorkingCapital <WorkgCptl>	[0..1]	±		1623
	CompanyLink <CpnyLk>	[0..1]	±		1623
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1623
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1623
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1624
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1624
	AccountingStatus <AcctgSts>	[0..1]	±		1625
	AdditionalInformation <AddtlInf>	[0..*]	±		1625
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1626

66.1.30.7.14 RegisteredShareholderName <RegdShrhldrNm>

Presence: [0..1]

Definition: Party that registers its name with the issuer and the name used for the registration.

RegisteredShareholderName <RegdShrhldrNm> contains one of the following elements (see "RegisteredShareholderName1Choice" on page 2030 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndividualPerson <IndvPrsn>	[1..1]			2030
	NamePrefix <NmPrfx>	[0..1]			2031
{Or	Code <Cd>	[1..1]	CodeSet		2031
Or}	Proprietary <Prtry>	[1..1]	±		2031
	GivenName <GvnNm>	[0..1]	Text		2032
	MiddleName <MddlNm>	[0..1]	Text		2032
	Name <Nm>	[1..1]	Text		2032
	PostalAddress <PstlAdr>	[1..5]	±	C23	2032
Or}	Organisation <Org>	[1..1]			2033
	Name <Nm>	[1..1]	Text		2034
	ShortName <ShrtNm>	[0..1]	Text		2034
	PostalAddress <PstlAdr>	[1..5]	±	C23	2034

66.1.30.8 ModificationScope43

Definition: Scope of the modification to be applied on an identified set of information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1976
	Placement <Plcmnt>	[1..1]	±	C30	1976

66.1.30.8.1 ModificationScopeIndication <ModScplIndctn>

Presence: [1..1]

Definition: Specifies the type of modification to be applied.

Datatype: "DataModification1Code" on page 2570

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

66.1.30.8.2 Placement <Plcmnt>

Presence: [1..1]

Definition: Referral information.

Impacted by: C30 "ReferredPlacementAgentRule"

Placement <Plcmnt> contains the following elements (see "ReferredAgent3" on page 1996 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Referred <Rfrd>	[1..1]	CodeSet		1996
	ReferredPlacementAgent <RfrdPlcmntAgt>	[0..1]	±		1996

Constraints

- **ReferredPlacementAgentRule**

ReferredPlacementAgent may only be present if Referred is REFR (Referred).

66.1.30.9 ModificationScope40

Definition: Scope of the modification to be applied on an identified set of information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1977
	Intermediary <Intrmy>	[1..1]	±		1977

66.1.30.9.1 ModificationScopeIndication <ModScplndctn>

Presence: [1..1]

Definition: Type of modification to be applied.

Datatype: "DataModification1Code" on page 2570

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

66.1.30.9.2 Intermediary <Intrmy>

Presence: [1..1]

Definition: Intermediary or other party related to the management of the account.

Intermediary <Intrmy> contains the following elements (see "Intermediary46" on page 1999 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			2000
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2000
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2001
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		2001
	Account <Acct>	[0..1]			2001
	Identification <Id>	[0..1]	Text		2001
	AccountServicer <AcctSvcr>	[1..1]	±		2001
	WaivedTrailerCommissionIndicator <WvdTrlrComssnInd>	[0..1]	Indicator		2002
	Role <Role>	[0..1]	±		2002
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		2002
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		2003
	NameAndAddress <NmAndAdr>	[0..1]	±		2003

66.1.30.10 ExtendedParty15

Definition: Other type of party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExtendedPartyRole <XtndedPtyRole>	[1..1]	Text		1978
	OtherPartyDetails <OthrPtyDtls>	[1..1]	±		1978

66.1.30.10.1 ExtendedPartyRole <XtndedPtyRole>

Presence: [1..1]

Definition: Other type of party's role.

Datatype: "Extended350Code" on page 2682

66.1.30.10.2 OtherPartyDetails <OthrPtyDtls>

Presence: [1..1]

Definition: Detailed ownership information about a party.

OtherPartyDetails <OthrPtyDtls> contains the following elements (see "InvestmentAccountOwnershipInformation17" on page 1563 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1565
{Or	Organisation <Org>	[1..1]	±	C20	1565
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1566
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1568
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1568
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1569
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1569
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1570
	ValidatingParty <VldtngPty>	[0..1]	Text		1570
	CheckingParty <ChckngPty>	[0..1]	Text		1570
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1571
	CertificateType <CertTp>	[0..1]	±		1571
	CheckingDate <ChckngDt>	[0..1]	Date		1571
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1571
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1572
	SalaryRange <SlryRg>	[0..1]	Text		1572
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1572
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1572
	RiskLevel <RskLvl>	[0..1]	±		1572
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1572
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1573
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1573
	ClientIdentification <Clntld>	[0..1]	Text		1573
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1574
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1574
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1574
	Notification <Ntfctn>	[0..*]	±		1574
	FATCAFormType <FATCAFormTp>	[0..*]	±		1574
	FATCAStatus <FATCASts>	[0..*]	±		1575

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1575
	CRSFormType <CRSFormTp>	[0..*]	±		1575
	CRSStatus <CRSSts>	[0..*]	±		1575
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1576
	OtherIdentification <Othrld>	[0..*]	±		1576
	TaxExemption <TaxXmptn>	[0..1]	±		1577
	TaxReporting <TaxRptg>	[0..*]	±		1577
	Language <Lang>	[0..1]	CodeSet	C34	1578
	MailType <MailTp>	[0..1]	±		1579
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1579
	MonetaryWealth <MntryWlth>	[0..1]	±		1579
	EquityValue <EqtyVal>	[0..1]	±		1579
	WorkingCapital <WorkgCptl>	[0..1]	±		1580
	CompanyLink <CpnyLk>	[0..1]	±		1580
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1580
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1580
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1581
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1581
	AccountingStatus <AcctgSts>	[0..1]	±		1582
	AdditionalInformation <AddtlInf>	[0..*]	±		1582
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1583

66.1.30.11 Intermediary47

Definition: Identification of a party and its role.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification </d>	[1..1]	±		1981
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1981
	Account <Acct>	[0..1]			1981
	Identification </d>	[0..1]	Text		1981
	AccountServicer <AcctSvcr>	[1..1]	±		1981

66.1.30.11.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier for an organisation that is allocated by an institution.**Identification <Id>** contains one of the following elements (see "[PartyIdentification125Choice](#)" on page 2020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2020
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2020
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2020

66.1.30.11.2 LegalEntityIdentifier <LglNttyldr>*Presence:* [0..1]*Definition:* Identification of the party with a Legal Entity Identifier. This is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".*Datatype:* "[LEIIdentifier](#)" on page 2676**66.1.30.11.3 Account <Acct>***Presence:* [0..1]*Definition:* Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.**Account <Acct>** contains the following **Account32** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1981
	AccountServicer <AcctSvcr>	[1..1]	±		1981

66.1.30.11.3.1 Identification <Id>*Presence:* [0..1]*Definition:* Unique and unambiguous identification for the account between the account owner and the account servicer.*Datatype:* "[Max35Text](#)" on page 2683**66.1.30.11.3.2 AccountServicer <AcctSvcr>***Presence:* [1..1]*Definition:* Institution servicing an account and assigning the account identifier to the account owner.

AccountServicer <AcctSvcr> contains one of the following elements (see "PartyIdentification125Choice" on page 2020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2020
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2020
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2020

66.1.30.12 RegulatoryInformation1

Definition: Regulatory information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Sector <Sctr>	[0..1]	Text		1982
	Branch <Brnch>	[0..1]	Text		1982
	Group <Grp>	[0..1]	Text		1982
	Other <Othr>	[0..1]	Text		1982

66.1.30.12.1 Sector <Sctr>

Presence: [0..1]

Definition: Sector of economic activity, for example, SAE in the Italian market.

Datatype: "Max35Text" on page 2683

66.1.30.12.2 Branch <Brnch>

Presence: [0..1]

Definition: Branch of economic activity, for example, RAE in the Italian market.

Datatype: "Max35Text" on page 2683

66.1.30.12.3 Group <Grp>

Presence: [0..1]

Definition: Group of economic activity, for example, a code issued by a regulator.

Datatype: "Max35Text" on page 2683

66.1.30.12.4 Other <Othr>

Presence: [0..1]

Definition: Other regulatory information.

Datatype: "Max35Text" on page 2683

66.1.30.13 CompanyLink1Choice

Definition: Choice of formats for the trading party or broker.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1983
Or}	Proprietary <Prtry>	[1..1]	±		1983

66.1.30.13.1 Code <Cd>

Presence: [1..1]

Definition: Company link expressed as a code.

Datatype: "CompanyLink1Code" on page 2562

CodeName	Name	Definition
AGEN	Agent	Autonomous agent accredited by the broker.
BROK	Broker	Employee, operator or agent of the broker.
PART	BrokeragePartner	Brokerage partner or shareholder, individual or entity who holds a direct or an indirect control function and the holder of a management or an administration position.
MEMB	MemberBroker	Member broker of a financial conglomerate (person is a board member, director, officer and employee of a member company of the conglomerate).
PCOM	ParentCompany	Parent company of the broker.
RELA	Relative	Spouse or partner and child of an employee, operator or agent of the broker; agent of the broker; brokerage partners or shareholders; individual or entity who holds a direct or an indirect control function and the holder of a management or an administration position.

66.1.30.13.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Company link expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.30.14 CountryAndResidentialStatusType2

Definition: Country and residential status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1984
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1984

66.1.30.14.1 Country <Ctry>

Presence: [1..1]

Definition: Country to which the residential status is applicable.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.30.14.2 ResidentialStatus <ResdtlSts>

Presence: [1..1]

Definition: Residential status of the organisation or individual, for example, non-permanent resident.

Datatype: "ResidentialStatus1Code" on page 2634

CodeName	Name	Definition
RESI	Resident	Resident.
PRES	PermanentResident	Permanent resident.
NRES	NonResident	Non-resident.

66.1.30.15 MailType1Choice

Definition: Choice of formats for the type of mail.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1984
Or}	Proprietary <Prtry>	[1..1]	±		1985

66.1.30.15.1 Code <Cd>

Presence: [1..1]

Definition: Mail type expressed as a code.

Datatype: "MailType1Code" on page 2607

CodeName	Name	Definition
AIRM	AirMail	Air mail.
ORDM	OrdinaryMail	Ordinary mail.
REGM	RegisteredMail	Registered Mail.

66.1.30.15.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Mail type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.30.16 CRSStatus4

Definition: Common Reporting Standard (CRS) status information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1985
{Or	Code <Cd>	[1..1]	CodeSet		1986
Or}	Proprietary <Prtry>	[1..1]	±		1987
	Source <Src>	[0..1]			1987
{Or	Code <Cd>	[1..1]	CodeSet		1987
Or}	Proprietary <Prtry>	[1..1]	±		1987
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1988

66.1.30.16.1 Type <Tp>

Presence: [1..1]

Definition: Common Reporting Standard (CRS) status.

Type <Tp> contains one of the following **CRSStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1986
Or}	Proprietary <Prtry>	[1..1]	±		1987

66.1.30.16.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Common Reporting Standard (CRS) status expressed as a code.*Datatype:* "CRSStatus1Code" on page 2569

CodeName	Name	Definition
C101	CRS101	Account holder type is a passive non-financial entity with one or more controlling persons that are foreign persons.
C102	CRS102	Account holder type is a foreign person.
C103	CRS103	Account holder type is a passive foreign non-financial entity without controlling persons that are foreign persons.
C104	CRS104	Account holder type is a financial institution such as a depository, a custodial institution or a specified insurance company.
C105	CRS105	Account holder type is a financial institution such as an investment entity of a non-participating jurisdiction and managed by another financial institution without one or more controlling persons that are foreign persons.
C106	CRS106	Account holder type is a financial institution such as an investment entity of a non-participating jurisdiction and managed by another financial institution with one or more controlling persons that are foreign persons.
C107	CRS107	Account holder type is a financial institution such as another investment entity.
C108	CRS108	Account holder type is an active non-financial entity such as a corporation for which the stock is regularly traded on an established securities market.
C109	CRS109	Account holder type is an active non-financial entity such as a corporation which is a related entity of a corporation for which the stock is regularly traded on an established securities market.
C110	CRS110	Account holder type is an active non-financial entity such as a government entity.
C111	CRS111	Account holder type is an active non-financial entity such as a central bank.
C112	CRS112	Account holder type is an active non-financial entity such as an international organisation.
C113	CRS113	Account holder type is not a foreign person.

CodeName	Name	Definition
C114	CRS114	Account holder type is a financial institution such as an investment entity of a participating jurisdiction and managed by another financial institution.

66.1.30.16.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Common Reporting Standard (CRS) status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.30.16.2 Source <Src>

Presence: [0..1]

Definition: Source of the Common Reporting Standard (CRS) status.

Source <Src> contains one of the following **CRSSource1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1987
Or}	Proprietary <Prtry>	[1..1]	±		1987

66.1.30.16.2.1 Code <Cd>

Presence: [1..1]

Definition: Source of the Common Reporting Standard (CRS) status expressed as a code.

Datatype: "[CRSSourceStatus1Code](#)" on page 2569

CodeName	Name	Definition
CALC	Calculated	Source of the Common Reporting Standard (CRS) status is calculated.
DECL	Declared	Source of the Common Reporting Standard (CRS) status is as declared by the investor.

66.1.30.16.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Source of Common Reporting Standard (CRS) status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.30.16.3 ExceptionalReportingCountry <XcptnlRptgCtry>

Presence: [0..1]

Definition: Reporting country for the CRS status when there is an exception at the country level.

Impacted by: [C11 "Country"](#)

Datatype: ["CountryCode"](#) on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.30.17 CRSForm1Choice

Definition: Choice of formats for the type of Common Reporting Standard (CRS) form.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1988
Or}	Proprietary <Prtry>	[1..1]	±		1989

66.1.30.17.1 Code <Cd>

Presence: [1..1]

Definition: Type of Foreign Account Tax Compliance Act (FATCA) form expressed as a code.

Datatype: ["CRSFormType1Code"](#) on page 2568

CodeName	Name	Definition
CER4	CertificateIdentificationGovernment	Certificate of Identification issued by a government.
CER3	CertificateOfResidence	Certificate of residence.
CER5	OfficialDocumentGovernmentForEntity	Official documentation issued by a government for the entity.
CER6	OtherDocumentOrReport	Financial statement, third party credit report, bankruptcy filing or a securities regulator report.
CER8	PublicInformationEntity	Publicly available information for the entity.

CodeName	Name	Definition
CER1	SelfCertificationSeparateDocument	Self certification as a separate document.
CER2	SelfCertificationWithAccountOpeningContract	Self certification as a document included into contract of the account opening.
CER7	Standardised	Standardised industry coding system for the entity.

66.1.30.17.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of Foreign Account Tax Compliance Act (FATCA) form expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.30.18 FATCAStatus2

Definition: Foreign Account Tax Compliance Act (FATCA) status information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1989
{Or	Code <Cd>	[1..1]	CodeSet		1990
Or}	Proprietary <Prtry>	[1..1]	±		1990
	Source <Src>	[0..1]			1991
{Or	Code <Cd>	[1..1]	CodeSet		1991
Or}	Proprietary <Prtry>	[1..1]	±		1991

66.1.30.18.1 Type <Tp>

Presence: [1..1]

Definition: Foreign Account Tax Compliance Act (FATCA) status.

Type <Tp> contains one of the following **FATCAStatus2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1990
Or}	Proprietary <Prtry>	[1..1]	±		1990

66.1.30.18.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Foreign Account Tax Compliance Act (FATCA) status expressed as a code.*Datatype:* "FATCAStatus1Code" on page 2579

CodeName	Name	Definition
F101	FATCA101	Account holder type is an owner-documented financial institution with specified US owner(s).
F102	FATCA102	Account holder type is a passive non-financial entity with substantial US owner(s).
F103	FATCA103	Account holder type is a non-participating financial institution.
F104	FATCA104	Account holder type is a specified US person.
F105	FATCA105	Account holder type is a direct reporting non-financial foreign entity (NFFE).
F201	FATCA201	Account pool reporting type is a recalcitrant account holder with US indicia.
F202	FATCA202	Account pool reporting type is a recalcitrant account holder without US indicia.
F203	FATCA203	Account pool reporting type is a dormant account.
F204	FATCA204	Account pool reporting type is a non-participating foreign financial institution.
F205	FATCA205	Account pool reporting type is a recalcitrant account holder that is a US person.
F206	FATCA206	Account pool reporting type is recalcitrant account holder that is a passive non-financial foreign entity (NFFE).

66.1.30.18.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Foreign Account Tax Compliance Act (FATCA) status expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.30.18.2 Source <Src>*Presence:* [0..1]*Definition:* Source of the Foreign Account Tax Compliance Act (FATCA) status.**Source <Src>** contains one of the following **FATCASource1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1991
Or}	Proprietary <Prtry>	[1..1]	±		1991

66.1.30.18.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Source of the Foreign Account Tax Compliance Act (FATCA) status expressed as a code.*Datatype:* "FATCASourceStatus1Code" on page 2579

CodeName	Name	Definition
CALC	Calculated	Source of the Foreign Account Tax Compliance Act (FATCA) status calculated.
DECL	Declared	Source of the Foreign Account Tax Compliance Act (FATCA) status is as declared by the investor.

66.1.30.18.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Source of Foreign Account Tax Compliance Act (FATCA) status expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.30.19 InformationDistribution1Choice*Definition:* Choice of formats for the specification of how information is to be distributed.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1992
Or}	Proprietary <Prtry>	[1..1]	±		1992

66.1.30.19.1 Code <Cd>*Presence:* [1..1]*Definition:* Information distribution expressed as a code.*Datatype:* "InformationDistribution2Code" on page 2587

CodeName	Name	Definition
ELEC	Electronic	Electronic distribution.
NONE	NoDistribution	No distribution.
PAPR	Paper	Paper distribution.

66.1.30.19.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Information distribution expressed as a code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.30.20 OwnershipBeneficiaryRate1*Definition:* Percentage of ownership or of beneficial ownership of the shares/units in the account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Rate <Rate>	[0..1]	Rate		1992
	Fraction <Frctn>	[0..1]	Text		1992

Constraints

- OwnershipBeneficiaryRateRule**

Either Rate or Fraction must be present. Both may be present.

66.1.30.20.1 Rate <Rate>*Presence:* [0..1]*Definition:* Ownership or beneficial ownership expressed as a percentage.*Datatype:* "PercentageRate" on page 2681**66.1.30.20.2 Fraction <Frctn>***Presence:* [0..1]*Definition:* Ownership or beneficial ownership expressed as a fraction or another form.

Datatype: "Max35Text" on page 2683

66.1.30.21 DataBaseCheck1

Definition: Information about a database.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DatabaseCheck <DBChck>	[1..1]	Indicator		1993
	Identification <Id>	[1..1]	Text		1993

66.1.30.21.1 DatabaseCheck <DBChck>

Presence: [1..1]

Definition: Indicates whether the individual or organisation is listed in an on-line global Know Your Customer (KYC) database.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.30.21.2 Identification <Id>

Presence: [1..1]

Definition: Identification of the database.

Datatype: "Max35Text" on page 2683

66.1.30.22 KYCCheckType1Choice

Definition: Choice of formats for the specification of the Know Your Customer (KYC) check type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1993
Or}	Proprietary <Prtry>	[1..1]	±		1994

66.1.30.22.1 Code <Cd>

Presence: [1..1]

Definition: Type of Know Your Customer (KYC) check type expressed as a code.

Datatype: "KnowYourCustomerCheckType1Code" on page 2606

CodeName	Name	Definition
ENHA	Enhanced	Enhanced check, typically carried out on accounts that are considered high risk.
ORDN	Ordinary	Ordinary check, typically carried out on standard accounts.

CodeName	Name	Definition
SIMP	Simple	Simple check, typically carried out on accounts that have a low risk of anti-money laundering, such as an account owned by a financial institution.

66.1.30.22.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of Know Your Customer (KYC) check type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.30.23 RiskLevel2Choice

Definition: Choice of formats for the specification of the risk level.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1994
Or}	Proprietary <Prtry>	[1..1]	±		1994

66.1.30.23.1 Code <Cd>

Presence: [1..1]

Definition: Risk level expressed as code.

Datatype: "[RiskLevel1Code](#)" on page 2635

CodeName	Name	Definition
HIGH	High	High.
LOWW	Low	Low.
MEDM	Medium	Medium.

66.1.30.23.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Risk level expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.30.24 CertificationType1Choice

Definition: Choice of formats for the specification of the certificate type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1995
Or}	Proprietary <Prtry>	[1..1]	±		1995

66.1.30.24.1 Code <Cd>

Presence: [1..1]

Definition: Certificate type expressed as a code.

Datatype: "[CertificateType2Code](#)" on page 2559

CodeName	Name	Definition
AMLC	AntiMoneyLaundering	Anti-money laundering certification form.
DVLC	DrivingLicense	Government issued form of picture identification - driving license.
DFOR	DueFormationAndOrganisation	Certification of due formation and organisation.
GOST	GoodStanding	Certificate of good standing.
IDEN	IdentityCard	Government issued form of picture identification - identity card.
INCU	Incumbency	Certification of incumbency.
LREF	LetterOfReference	Letter of reference.
PASS	Passport	Government issued form of picture identification - passport.
PRAD	ProofOfAddress	Proof of the address, such as a utility bill.
PKIC	PublicKeyInfrastructureCertificate	Public key infrastructure certificate.

66.1.30.24.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Certificate type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.30.25 ReferredAgent3

Definition: Provides the placement agent identification for a hedge fund if the investor was referred by one.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Referred <Rfrd>	[1..1]	CodeSet		1996
	ReferredPlacementAgent <RfrdPlcmntAgt>	[0..1]	±		1996

Constraints

- **ReferredPlacementAgentRule**

ReferredPlacementAgent may only be present if Referred is REFR (Referred).

66.1.30.25.1 Referred <Rfrd>

Presence: [1..1]

Definition: Indicates if the investor was referred by a placement agent.

Datatype: "[Referred1Code](#)" on page 2624

CodeName	Name	Definition
REFR	Referred	The investor was referred to the fund by a placement agent.
NRFR	NotReferred	The investor was not referred to the fund by a placement agent.
UKNW	NotKnown	It is not known whether the investor was referred to the fund by a placement agent.

66.1.30.25.2 ReferredPlacementAgent <RfrdPlcmntAgt>

Presence: [0..1]

Definition: Placement agent that referred the investor.

ReferredPlacementAgent <RfrdPlcmntAgt> contains one of the following elements (see "PartyIdentification125Choice" on page 2020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2020
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2020
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2020

66.1.30.26 ExtendedParty14

Definition: Party and account information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExtendedPartyRole <XtndedPtyRole>	[1..1]	Text		1997
	OtherPartyDetails <OthrPtyDtls>	[1..1]	±		1997

66.1.30.26.1 ExtendedPartyRole <XtndedPtyRole>

Presence: [1..1]

Definition: Other type of party's role.

Datatype: "Extended350Code" on page 2682

66.1.30.26.2 OtherPartyDetails <OthrPtyDtls>

Presence: [1..1]

Definition: Detailed ownership information about a party.

OtherPartyDetails <OthrPtyDtls> contains the following elements (see "InvestmentAccountOwnershipInformation16" on page 1607 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1609
{Or	Organisation <Org>	[1..1]	±	C24	1609
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1610
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1612
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1612
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1613
	ValidatingParty <VldtngPty>	[0..1]	Text		1613
	CheckingParty <ChckngPty>	[0..1]	Text		1613
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1614
	CertificateType <CertTp>	[0..1]	±		1614
	CheckingDate <ChckngDt>	[0..1]	Date		1614
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1614
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1615
	SalaryRange <SlryRg>	[0..1]	Text		1615
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1615
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1615
	RiskLevel <RskLv>	[0..1]	±		1615
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]	±		1615
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]	±		1616
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]	±	C25	1616
	ClientIdentification <Clntld>	[0..1]	Text		1616
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1617
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1617
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1617
	Notification <Ntfctn>	[0..*]	±		1617
	FATCAFormType <FATCAFormTp>	[0..*]	±		1617
	FATCAStatus <FATCASTs>	[0..*]	±		1618
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1618
	CRSFormType <CRSFormTp>	[0..*]	±		1618

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSStatus <CRSSts>	[0..*]	±		1618
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1619
	OtherIdentification <OthrId>	[0..*]	±		1619
	TaxExemption <TaxXmptn>	[0..1]	±		1620
	TaxReporting <TaxRptg>	[0..*]	±		1620
	Language <Lang>	[0..1]	CodeSet	C34	1621
	MailType <MailTp>	[0..1]	±		1622
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		1622
	MonetaryWealth <MntryWlth>	[0..1]	±		1622
	EquityValue <EqtyVal>	[0..1]	±		1622
	WorkingCapital <WorkgCptl>	[0..1]	±		1623
	CompanyLink <CpnyLk>	[0..1]	±		1623
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1623
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1623
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1624
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]	±		1624
	AccountingStatus <AcctgSts>	[0..1]	±		1625
	AdditionalInformation <AddtlInf>	[0..*]	±		1625
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1626

66.1.30.27 Intermediary46

Definition: Identification of a party and its role.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			2000
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2000
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2001
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		2001
	Account <Acct>	[0..1]			2001
	Identification <Id>	[0..1]	Text		2001
	AccountServicer <AcctSvcr>	[1..1]	±		2001
	WaivedTrailerCommissionIndicator <WvdTrlrComssnInd>	[0..1]	Indicator		2002
	Role <Role>	[0..1]	±		2002
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		2002
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		2003
	NameAndAddress <NmAndAdr>	[0..1]	±		2003

66.1.30.27.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier for an organisation that is allocated by an institution.

Identification <Id> contains one of the following **PartyIdentification177Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2000
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2001

66.1.30.27.1.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 2669

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

66.1.30.27.1.2 ProprietaryIdentification <Prtryld>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <Prtryld> contains the following elements (see "[GenericIdentification1](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.30.27.2 LegalEntityIdentifier <LglNttyldr>

Presence: [0..1]

Definition: Identification of the organisation with a Legal Entity Identifier. This is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Datatype: "[LEIIdentifier](#)" on page 2676

66.1.30.27.3 Account <Acct>

Presence: [0..1]

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

Account <Acct> contains the following **Account32** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		2001
	AccountServicer <AcctSvcr>	[1..1]	±		2001

66.1.30.27.3.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "[Max35Text](#)" on page 2683

66.1.30.27.3.2 AccountServicer <AcctSvcr>

Presence: [1..1]

Definition: Institution servicing an account and assigning the account identifier to the account owner.

AccountServicer <AcctSvcr> contains one of the following elements (see "PartyIdentification125Choice" on page 2020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2020
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2020
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2020

66.1.30.27.4 WaivedTrailerCommissionIndicator <WvdTrlrComssnInd>

Presence: [0..1]

Definition: Non-enforcement of the right to all or part of a commission by the party entitled to the commission.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.30.27.5 Role <Role>

Presence: [0..1]

Definition: Role or function performed by the intermediary.

Role <Role> contains one of the following elements (see "PartyRole2Choice" on page 2040 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2040
Or}	Proprietary <Prtry>	[1..1]	±		2042

66.1.30.27.6 PrimaryCommunicationAddress <PmryComAdr>

Presence: [0..*]

Definition: Communication device number or electronic address used for communication.

PrimaryCommunicationAddress <PmryComAdr> contains the following elements (see "CommunicationAddress6" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230
	Email <Email>	[0..1]	Text		1230
	Phone <Phne>	[0..1]	Text		1230
	Mobile <Mob>	[0..1]	Text		1230
	FaxNumber <FaxNb>	[0..1]	Text		1230
	TelexAddress <TlxAdr>	[0..1]	Text		1231
	URLAddress <URLAdr>	[0..1]	Text		1231

66.1.30.27.7 SecondaryCommunicationAddress <ScndryComAdr>

Presence: [0..*]

Definition: Communication device number or electronic address used for communication.

SecondaryCommunicationAddress <ScndryComAdr> contains the following elements (see "CommunicationAddress6" on page 1229 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			1229
{Or	Code <Cd>	[1..1]	CodeSet		1230
Or}	Proprietary <Prtry>	[1..1]	±		1230
	Email <Email>	[0..1]	Text		1230
	Phone <Phne>	[0..1]	Text		1230
	Mobile <Mob>	[0..1]	Text		1230
	FaxNumber <FaxNb>	[0..1]	Text		1230
	TelexAddress <TlxAdr>	[0..1]	Text		1231
	URLAddress <URLAdr>	[0..1]	Text		1231

66.1.30.27.8 NameAndAddress <NmAndAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress4](#)" on page 2207 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2207
	Address <Adr>	[1..1]	±		2207

66.1.30.28 PartyIdentification132

Definition: Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2004
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2004
	NameAndAddress <NmAndAdr>	[0..1]	±		2005
	ProprietaryIdentification <PrtryId>	[0..1]	±		2006
	LEI <LEI>	[0..1]	IdentifierSet		2006

66.1.30.28.1 AnyBIC <AnyBIC>

Presence: [0..1]

Definition: Identification of the party expressed as a BIC.

Impacted by: [C1 "AnyBIC"](#)

Datatype: ["AnyBICDec2014Identifier"](#) on page 2669

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

66.1.30.28.2 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [0..1]

Definition: Choice of identifier for a clearing system member, as assigned by the clearing system. In some clearing systems, the accounts of the clearing system members are also assigned an identifier.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentification2Choice" on page 1361 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1362
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1362
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1363
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1363
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1363
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1363
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1363
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1363
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1363
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1364
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1364
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1364
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1364
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1364
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1364
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1364
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1365
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1365
Or	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1365
Or	IndianFinancialSystemCode <INIFSC>	[1..1]	IdentifierSet		1365
Or	HellenicBankIdentificationCode <GRHEBIC>	[1..1]	IdentifierSet		1365
Or	PolishNationalClearingCode <PLKNR>	[1..1]	IdentifierSet		1365
Or}	OtherClearingCodeIdentification <OthrClrCdId>	[1..1]	Text		1366

66.1.30.28.3 NameAndAddress <NmAndAdr>

Presence: [0..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress5](#)" on page 2206 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2206
	Address <Adr>	[0..1]	±		2207

66.1.30.28.4 ProprietaryIdentification <PrtryId>

Presence: [0..1]

Definition: Unique and unambiguous identifier, as assigned to the party using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification1](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.30.28.5 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "[LEIIdentifier](#)" on page 2676

66.1.30.29 OrderDesk1

Definition: Identification of an order desk.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderDesk <OrdrDsk>	[0..1]	±		2006
	ClosureDates <ClsrDts>	[0..*]	Date		2007
	AdditionalInformation <AddtlInf>	[0..*]	±		2007

66.1.30.29.1 OrderDesk <OrdrDsk>

Presence: [0..1]

Definition: Contact information for the order desk.

OrderDesk <OrdrDsk> contains the following elements (see ["ContactAttributes5"](#) on page 2008 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2009
	PostalAddress <PstlAdr>	[0..1]	±		2009
	PhoneNumber <PhneNb>	[0..1]	Text		2009
	FaxNumber <FaxNb>	[0..1]	Text		2009
	EmailAddress <EmailAdr>	[0..1]	Text		2009
	URLAddress <URLAdr>	[0..1]	Text		2009
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2010
	LEI <LEI>	[0..1]	IdentifierSet		2010

66.1.30.29.2 ClosureDates <ClsrDts>

Presence: [0..*]

Definition: Days on which the order desk is closed.

Datatype: ["ISODate"](#) on page 2668

66.1.30.29.3 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the main order desk.

AdditionalInformation <AddtlInf> contains the following elements (see ["AdditionalInformation15"](#) on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.30.30 ExtendedParty13

Definition: Other type of party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyRole <PtyRole>	[1..1]	±		2007
	OtherPartyDetails <OthrPtyDtls>	[1..1]	±		2008

66.1.30.30.1 PartyRole <PtyRole>

Presence: [1..1]

Definition: Role of the party.

PartyRole <PtyRole> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.30.30.2 OtherPartyDetails <OthrPtyDtIs>

Presence: [1..1]

Definition: Identification and communication information about the party.

OtherPartyDetails <OthrPtyDtIs> contains the following elements (see "[ContactAttributes5](#)" on page 2008 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2009
	PostalAddress <PstlAdr>	[0..1]	±		2009
	PhoneNumber <PhneNb>	[0..1]	Text		2009
	FaxNumber <FaxNb>	[0..1]	Text		2009
	EmailAddress <EmailAdr>	[0..1]	Text		2009
	URLAddress <URLAdr>	[0..1]	Text		2009
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2010
	LEI <LEI>	[0..1]	IdentifierSet		2010

66.1.30.31 ContactAttributes5

Definition: Communication device number or address used for communication.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2009
	PostalAddress <PstlAdr>	[0..1]	±		2009
	PhoneNumber <PhneNb>	[0..1]	Text		2009
	FaxNumber <FaxNb>	[0..1]	Text		2009
	EmailAddress <EmailAdr>	[0..1]	Text		2009
	URLAddress <URLAdr>	[0..1]	Text		2009
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2010
	LEI <LEI>	[0..1]	IdentifierSet		2010

66.1.30.31.1 Name <Nm>*Presence:* [1..1]*Definition:* Name of the party.*Datatype:* "Max350Text" on page 2683**66.1.30.31.2 PostalAddress <PstlAdr>***Presence:* [0..1]*Definition:* Address of the party.**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress1" on page 2205 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2205
	AddressLine <AdrLine>	[0..5]	Text		2205
	StreetName <StrtNm>	[0..1]	Text		2205
	BuildingNumber <BldgNb>	[0..1]	Text		2206
	PostCode <PstCd>	[0..1]	Text		2206
	TownName <TwnNm>	[0..1]	Text		2206
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2206
	Country <Ctry>	[1..1]	CodeSet	C11	2206

66.1.30.31.3 PhoneNumber <PhneNb>*Presence:* [0..1]*Definition:* Phone number of the party.*Datatype:* "PhoneNumber" on page 2685**66.1.30.31.4 FaxNumber <FaxNb>***Presence:* [0..1]*Definition:* Fax number of the party.*Datatype:* "PhoneNumber" on page 2685**66.1.30.31.5 EmailAddress <EmailAdr>***Presence:* [0..1]*Definition:* Address for electronic mail (e-mail) for the party.*Datatype:* "Max256Text" on page 2683**66.1.30.31.6 URLAddress <URLAdr>***Presence:* [0..1]*Definition:* Address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

Datatype: "Max2048Text" on page 2683

66.1.30.31.7 AnyBIC <AnyBIC>

Presence: [0..1]

Definition: Identification of the party expressed as a BIC.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 2669

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

66.1.30.31.8 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "LEIIdentifier" on page 2676

66.1.30.32 FundParties1

Definition: Parties related to an investment fund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Guarantor <Guarnt>	[0..1]	±		2010
	Auditor <Audtr>	[0..1]	±		2011
	Trustee <Trstee>	[0..1]	±		2011
	OtherParty <OthrPty>	[0..*]	±		2012

66.1.30.32.1 Guarantor <Guarnt>

Presence: [0..1]

Definition: Guarantor of the financial instrument. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00080.

Guarantor <Guarnt> contains the following elements (see "ContactAttributes5" on page 2008 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2009
	PostalAddress <PstlAdr>	[0..1]	±		2009
	PhoneNumber <PhneNb>	[0..1]	Text		2009
	FaxNumber <FaxNb>	[0..1]	Text		2009
	EmailAddress <EmailAdr>	[0..1]	Text		2009
	URLAddress <URLAdr>	[0..1]	Text		2009
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2010
	LEI <LEI>	[0..1]	IdentifierSet		2010

66.1.30.32.2 Auditor <Audtr>

Presence: [0..1]

Definition: Party that regularly monitors compliance with the legal regulations.

Auditor <Audtr> contains the following elements (see "ContactAttributes5" on page 2008 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2009
	PostalAddress <PstlAdr>	[0..1]	±		2009
	PhoneNumber <PhneNb>	[0..1]	Text		2009
	FaxNumber <FaxNb>	[0..1]	Text		2009
	EmailAddress <EmailAdr>	[0..1]	Text		2009
	URLAddress <URLAdr>	[0..1]	Text		2009
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2010
	LEI <LEI>	[0..1]	IdentifierSet		2010

66.1.30.32.3 Trustee <Trstee>

Presence: [0..1]

Definition: Legal owner of the financial instrument. However, the beneficiary has the equitable or beneficial ownership.

Trustee <Trstee> contains the following elements (see "[ContactAttributes5](#)" on page 2008 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2009
	PostalAddress <PstlAdr>	[0..1]	±		2009
	PhoneNumber <PhneNb>	[0..1]	Text		2009
	FaxNumber <FaxNb>	[0..1]	Text		2009
	EmailAddress <EmailAdr>	[0..1]	Text		2009
	URLAddress <URLAdr>	[0..1]	Text		2009
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2010
	LEI <LEI>	[0..1]	IdentifierSet		2010

66.1.30.32.4 OtherParty <OthrPty>

Presence: [0..*]

Definition: Other type of party.

OtherParty <OthrPty> contains the following elements (see "[ExtendedParty13](#)" on page 2007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyRole <PtyRole>	[1..1]	±		2007
	OtherPartyDetails <OthrPtyDtls>	[1..1]	±		2008

66.1.30.33 Intermediary43

Definition: Party that provides services to investors relating to financial products.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2012
	Account <Acct>	[0..1]			2013
	Identification <Id>	[0..1]	Text		2013
	AccountServicer <AcctSvcr>	[1..1]	±		2013
	Role <Role>	[0..1]	±		2013
	ContactPerson <CtctPrsn>	[0..1]	±		2014

66.1.30.33.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of the intermediary.

Identification <Id> contains the following elements (see "[PartyIdentification139](#)" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

66.1.30.33.2 Account <Acct>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Account <Acct> contains the following **Account27** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		2013
	AccountServicer <AcctSvcr>	[1..1]	±		2013

66.1.30.33.2.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "[Max35Text](#)" on page 2683

66.1.30.33.2.2 AccountServicer <AcctSvcr>

Presence: [1..1]

Definition: Institution that maintains the records where the account is held.

AccountServicer <AcctSvcr> contains the following elements (see "[PartyIdentification139](#)" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

66.1.30.33.3 Role <Role>

Presence: [0..1]

Definition: Function performed by the intermediary.

Role <Role> contains one of the following elements (see ["Role4Choice"](#) on page 2038 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2039
Or}	Proprietary <Prtry>	[1..1]	±		2040

66.1.30.33.4 ContactPerson <CtctPrsn>

Presence: [0..1]

Definition: Contact person and contact information.

ContactPerson <CtctPrsn> contains the following elements (see ["ContactIdentification2"](#) on page 2156 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2157
	GivenName <GvnNm>	[0..1]	Text		2157
	Name <Nm>	[1..1]	Text		2157
	PhoneNumber <PhneNb>	[0..1]	Text		2157
	MobileNumber <MobNb>	[0..1]	Text		2157
	FaxNumber <FaxNb>	[0..1]	Text		2158
	EmailAddress <EmailAdr>	[0..1]	Text		2158

66.1.30.34 PartyIdentification123Choice

Definition: Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2014
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2015
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2015

66.1.30.34.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Identification of the party expressed as a BIC.

Impacted by: [C1 "AnyBIC"](#)

Datatype: ["AnyBICDec2014Identifier"](#) on page 2669

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

66.1.30.34.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to the party, using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.30.34.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress5](#)" on page 2206 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2206
	Address <Adr>	[0..1]	±		2207

66.1.30.35 PartyIdentification141

Definition: Identification of an entity involved in an activity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			2016
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2016
Or	NameAndAddress <NmAndAdr>	[1..1]	±		2016
Or}	Country <Ctry>	[1..1]	CodeSet	C11	2017
	LEI <LEI>	[0..1]	IdentifierSet		2017
	AlternateIdentification <AltrId>	[0..1]	±		2017
	ProcessingDate <PrcgDt>	[0..1]	±		2017
	ProcessingIdentification <PrcgId>	[0..1]	Text		2018
	AdditionalInformation <AddtlInf>	[0..1]	±	C3	2018

66.1.30.35.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous way to identify the organisation.

Identification <Id> contains one of the following **PartyIdentification122Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2016
Or	NameAndAddress <NmAndAdr>	[1..1]	±		2016
Or}	Country <Ctry>	[1..1]	CodeSet	C11	2017

66.1.30.35.1.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 2669

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

66.1.30.35.1.2 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress5](#)" on page 2206 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2206
	Address <Adr>	[0..1]	±		2207

66.1.30.35.1.3 Country <Ctry>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

Impacted by: [C11 "Country"](#)

Datatype: "[CountryCode](#)" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.30.35.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "[LEIIdentifier](#)" on page 2676

66.1.30.35.3 AlternateIdentification <AltrId>

Presence: [0..1]

Definition: Alternate identification for the party.

AlternateIdentification <AltrId> contains the following elements (see "[AlternatePartyIdentification7](#)" on page 2029 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		2029
	Country <Ctry>	[1..1]	CodeSet	C11	2030
	AlternateIdentification <AltrId>	[1..1]	Text		2030

66.1.30.35.4 ProcessingDate <PrcgDt>

Presence: [0..1]

Definition: Date/time at which the instruction was processed by the specified party.

ProcessingDate <PrcgDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1377
Or}	DateTime <DtTm>	[1..1]	DateTime		1377

66.1.30.35.5 ProcessingIdentification <PrcgId>

Presence: [0..1]

Definition: Unambiguous identification of the transaction for the party identified.

Datatype: ["Max35Text"](#) on page 2683

66.1.30.35.6 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the party.

Impacted by: [C3 "AdditonalDetailsGuideline"](#)

AdditionalInformation <AddtlInf> contains the following elements (see ["PartyTextInformation1"](#) on page 2044 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeclarationDetails <DclrtnDtls>	[0..1]	Text		2044
	PartyContactDetails <PtyCtctDtls>	[0..1]	Text		2044
	RegistrationDetails <RegnDtls>	[0..1]	Text		2044

Constraints

- AdditonalDetailsGuideline**

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

66.1.30.36 PartyIdentification126Choice

Definition: Choice of formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2018
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2019

66.1.30.36.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Identification of the party expressed as a BIC.

Impacted by: [C1 "AnyBIC"](#)

Datatype: "AnyBICDec2014Identifier" on page 2669

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

66.1.30.36.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to the party using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification1" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.30.37 PartyIdentification140

Definition: Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2019
	LEI <LEI>	[0..1]	IdentifierSet		2019

66.1.30.37.1 Party <Pty>

Presence: [1..1]

Definition: Unique identification of the party.

Party <Pty> contains one of the following elements (see "PartyIdentification126Choice" on page 2018 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2018
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2019

66.1.30.37.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "LEIIdentifier" on page 2676

66.1.30.38 PartyIdentification125Choice

Definition: Choice of formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2020
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2020
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2020

66.1.30.38.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Identification of the party expressed as a BIC.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 2669

Constraints

- AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

66.1.30.38.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to the party using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification1](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.30.38.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following elements (see "NameAndAddress5" on page 2206 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2206
	Address <Adr>	[0..1]	±		2207

66.1.30.39 PartyIdentification139

Definition: Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

66.1.30.39.1 Party <Pty>

Presence: [1..1]

Definition: Unique identification of the party.

Party <Pty> contains one of the following elements (see "PartyIdentification125Choice" on page 2020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2020
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2020
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2020

66.1.30.39.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "LEIIdentifier" on page 2676

66.1.30.40 Intermediary17

Definition: Party that provides services to investors relating to financial products.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2022
	RoleType <RoleTp>	[0..1]			2022
{Or	Role <Role>	[1..1]	CodeSet		2022
Or}	Proprietary <Prtry>	[1..1]	±		2024
	Account <Acct>	[0..1]	±		2024

66.1.30.40.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier of the intermediary.**Identification <Id>** contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 1896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	1897
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1897
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1897

66.1.30.40.2 RoleType <RoleTp>*Presence:* [0..1]*Definition:* Organised structure that is set up for a particular purpose, eg, a business, government body, department, charity, or financial institution.**RoleType <RoleTp>** contains one of the following **IntermediaryRoleChoice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Role <Role>	[1..1]	CodeSet		2022
Or}	Proprietary <Prtry>	[1..1]	±		2024

66.1.30.40.2.1 Role <Role>*Presence:* [1..1]*Definition:* Role of the party in the activity.*Datatype:* "[InvestmentFundRole4Code](#)" on page 2596

CodeName	Name	Definition
FMCO	FundManagementCompany	Party that sets up a fund, decides upon the investment strategy, appoints agents, and is responsible for the promotion and the marketing of the fund. This party makes all the strategic decisions related to a fund.
REGI	Registrar	Party responsible for keeping track of the owners of securities. In the investment fund business, this party keeps the investor registry of the fund, and administers investor accounts and related records. It is appointed by the fund management company.
TRAG	TransferAgent	Party appointed by the fund management company. This party updates records of investor accounts to reflect the daily investor purchases, redemptions, switches, transfers, and re-registrations. It ensures the timely settlement of transactions, and may

CodeName	Name	Definition
		provide tax information to the investor and/or to its intermediaries. It may calculate, collect, and rebate commissions. It prepares and distributes confirmations reflecting transactions, resulting in unit or cash account movements to the investor or the investor's intermediary. It responds to inquiries concerning account status, and processes the income distribution.
INTR	Intermediary	Party that provides services to investors relating to financial products. These services may include some, or all of, provision of information and advice on products, placement of investment orders, transmission of payment, custody of assets, and the administration of rights and benefits. In the specific framework of investment funds industry, an intermediary may present information about funds to potential investors, and solicit orders for the fund. This intermediary may facilitate the transmission of the orders and information from/to the investors and/or other intermediaries. The intermediary receives commission from the Fund and/or fees from the investor.
DIST	Distributor	Party that act as an wholesaler of funds.
CONC	Concentrator	Party that acts as an aggregator of funds, also called a funds hub.
UCL1	UnderlyingClient1	Underlying client of the intermediary.
UCL2	UnderlyingClient2	Underlying client of underlying client 1.
TRAN	TransmittingAgent	Party that transmits the instruction, advice, notification or report.
CONI	ContactPersonAtInstructingPartyInstitution	Party acting as the contact person at the instructing party institution.
CONE	ContactPersonAtExecutingPartyInstitution	Party acting as the contact person at the executing party institution.
CONA	NameOfAgentToOrder	Name of Agent to the order, if different from the instructing party.
CUST	Custodian	Party that safekeeps and administers assets on behalf of the owner.
FNBR	FundBroker	Party acting as an intermediary agent between an investor and a transfer agent for the subscription or redemption to a fund.
PRBR	PrimeBroker	Party acting as settlement agent, provides custody for assets, provides financing for leverage, and prepares daily account statements for its clients, who are money managers, hedge funds, market makers, arbitrageurs, specialists and other professional investors.

66.1.30.40.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Role of the party in the activity.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1511
	SchemeName <SchmeNm>	[0..1]	Text		1511
	Issuer <Issr>	[1..1]	Text		1511

66.1.30.40.3 Account <Acct>*Presence:* [0..1]*Definition:* Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.**Account <Acct>** contains the following elements (see "[Account7](#)" on page 1216 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1216
	AccountServicer <AcctSvcr>	[0..1]	±		1217

66.1.30.41 Intermediary39*Definition:* Party that provides services to investors relating to financial products.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2025
	Account <Acct>	[0..1]			2025
	Identification <Id>	[1..1]	Text		2025
	AccountServicer <AcctSvcr>	[0..1]	±		2025
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		2026
	TradingPartyCapacity <TradgPtyCpcty>	[0..1]	CodeSet		2026
	Role <Role>	[0..1]	±		2026

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

66.1.30.41.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of the intermediary.

Identification <Id> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.30.41.2 Account <Acct>

Presence: [0..1]

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

Account <Acct> contains the following **Account22** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2025
	AccountServicer <AcctSvcr>	[0..1]	±		2025

66.1.30.41.2.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "[Max35Text](#)" on page 2683

66.1.30.41.2.2 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Institution servicing the account and assigning the account identifier to the account owner.

AccountServicer <AcctSvcr> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.30.41.3 OrderOriginatorEligibility <OrdOrgtrElgblty>*Presence:* [0..1]*Definition:* Counterparties eligibility as defined by article 24 of the EU MiFID Directive applicable to transactions executed by investment firms for eligible counterparties.*Datatype:* "OrderOriginatorEligibility1Code" on page 2610

CodeName	Name	Definition
ELIG	Eligible	Eligible counterparty - MiFID classification of counterparty. Eligible customers are the most sophisticated level of investor, able to opt out of some the protections afforded by conduct of business rules defined under MiFID.
RETL	Retail	Retail client - MiFID classification of counterparty. Retail customers are the least sophisticated level of investor.
PROF	Professional	Professional client - MiFID classification of counterparty. Professional customers are, for example, investment firms, credit institutions, insurance companies.

66.1.30.41.4 TradingPartyCapacity <TradgPtyCpcty>*Presence:* [0..1]*Definition:* Capacity of the party executing an order.*Datatype:* "TradingCapacity8Code" on page 2657

CodeName	Name	Definition
AGEN	Agent	Trading as Agent on behalf of a customer.
PRIN	Principal	Trading as Principal.

66.1.30.41.5 Role <Role>*Presence:* [0..1]*Definition:* Function performed by the intermediary.**Role <Role>** contains one of the following elements (see "InvestmentFundRole2Choice" on page 1779 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1779
Or}	Proprietary <Prtry>	[1..1]	±		1781

66.1.30.42 PartyIdentification113*Definition:* Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.30.42.1 Party <Pty>

Presence: [1..1]

Definition: Unique identification of the party.

Party <Pty> contains one of the following elements (see "[PartyIdentification90Choice](#)" on page 2037 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2038
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2038
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2038

66.1.30.42.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "[LEIIdentifier](#)" on page 2676

66.1.30.43 Intermediary40

Definition: Party that provides services to investors relating to financial products.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2027
	Account <Acct>	[0..1]			2028
	Identification <Id>	[1..1]	Text		2028
	AccountServicer <AcctSvcr>	[0..1]	±		2028
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		2028
	Role <Role>	[0..1]	±		2029

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

66.1.30.43.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of the intermediary.

Identification <Id> contains the following elements (see "PartyIdentification113" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.30.43.2 Account <Acct>

Presence: [0..1]

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

Account <Acct> contains the following **Account22** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2028
	AccountServicer <AcctSvcr>	[0..1]	±		2028

66.1.30.43.2.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2683

66.1.30.43.2.2 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Institution servicing the account and assigning the account identifier to the account owner.

AccountServicer <AcctSvcr> contains the following elements (see "PartyIdentification113" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.30.43.3 OrderOriginatorEligibility <OrdOrgtrElgblty>

Presence: [0..1]

Definition: Counterparties eligibility as defined by article 24 of the EU MiFID Directive applicable to transactions executed by investment firms for eligible counterparties.

Datatype: "OrderOriginatorEligibility1Code" on page 2610

CodeName	Name	Definition
ELIG	Eligible	Eligible counterparty - MiFID classification of counterparty. Eligible customers are the most sophisticated level of investor, able to opt out of some the protections afforded by conduct of business rules defined under MiFID.
RETL	Retail	Retail client - MiFID classification of counterparty. Retail customers are the least sophisticated level of investor.
PROF	Professional	Professional client - MiFID classification of counterparty. Professional customers are, for example, investment firms, credit institutions, insurance companies.

66.1.30.43.4 Role <Role>

Presence: [0..1]

Definition: Function performed by the intermediary.

Role <Role> contains one of the following elements (see ["InvestmentFundRole2Choice"](#) on page 1779 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1779
Or}	Proprietary <Prtry>	[1..1]	±		1781

66.1.30.44 AlternatePartyIdentification7

Definition: Alternate identification for a party using an identification type, a country code and a text field.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		2029
	Country <Ctry>	[1..1]	CodeSet	C11	2030
	AlternateIdentification <AltrId>	[1..1]	Text		2030

66.1.30.44.1 IdentificationType <IdTp>

Presence: [1..1]

Definition: Specifies the type of alternate identification of the party identified.

IdentificationType <IdTp> contains one of the following elements (see ["IdentificationType42Choice"](#) on page 1499 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1499
Or}	Proprietary <Prtry>	[1..1]	±		1500

66.1.30.44.2 Country <Ctry>*Presence:* [1..1]*Definition:* Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.*Impacted by:* C11 "Country"*Datatype:* "CountryCode" on page 2567**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.30.44.3 AlternateIdentification <AltrId>*Presence:* [1..1]*Definition:* Alternate identification for a party.*Datatype:* "Max35Text" on page 2683**66.1.30.45 RegisteredShareholderName1Choice***Definition:* Choice of individual or organisation name and address.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndividualPerson <IndvPrsn>	[1..1]			2030
	NamePrefix <NmPrfx>	[0..1]			2031
{Or	Code <Cd>	[1..1]	CodeSet		2031
Or}	Proprietary <Prtry>	[1..1]	±		2031
	GivenName <GvnNm>	[0..1]	Text		2032
	MiddleName <MddlNm>	[0..1]	Text		2032
	Name <Nm>	[1..1]	Text		2032
	PostalAddress <PstlAdr>	[1..5]	±	C23	2032
Or}	Organisation <Org>	[1..1]			2033
	Name <Nm>	[1..1]	Text		2034
	ShortName <ShrtNm>	[0..1]	Text		2034
	PostalAddress <PstlAdr>	[1..5]	±	C23	2034

66.1.30.45.1 IndividualPerson <IndvPrsn>*Presence:* [1..1]*Definition:* Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

IndividualPerson <IndvPrsn> contains the following **IndividualPerson29** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]			2031
{Or	Code <Cd>	[1..1]	CodeSet		2031
Or}	Proprietary <Prtry>	[1..1]	±		2031
	GivenName <GvnNm>	[0..1]	Text		2032
	MiddleName <MddlNm>	[0..1]	Text		2032
	Name <Nm>	[1..1]	Text		2032
	PostalAddress <PstlAdr>	[1..5]	±	C23	2032

66.1.30.45.1.1 NamePrefix <NmPrfx>

Presence: [0..1]

Definition: Term used to address the person.

NamePrefix <NmPrfx> contains one of the following **NamePrefix1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2031
Or}	Proprietary <Prtry>	[1..1]	±		2031

66.1.30.45.1.1.1 Code <Cd>

Presence: [1..1]

Definition: Name prefix expressed as a code.

Datatype: "NamePrefix1Code" on page 2608

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

66.1.30.45.1.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name prefix expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.30.45.1.2 GivenName <GvnNm>

Presence: [0..1]

Definition: First name of the person.

Datatype: "[Max35Text](#)" on page 2683

66.1.30.45.1.3 MiddleName <MddINm>

Presence: [0..1]

Definition: Second name of the person.

Datatype: "[Max35Text](#)" on page 2683

66.1.30.45.1.4 Name <Nm>

Presence: [1..1]

Definition: Name by which the party is known and which is usually used to identify that person.

Datatype: "[Max350Text](#)" on page 2683

66.1.30.45.1.5 PostalAddress <PstlAdr>

Presence: [1..5]

Definition: Address of the person.

Impacted by: [C23 "MailingIndicatorRule"](#)

PostalAddress <PstAdr> contains the following elements (see "PostalAddress21" on page 2196 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2197
{Or	Code <Cd>	[1..1]	CodeSet		2198
Or}	Proprietary <Prtry>	[1..1]	±		2198
	MailingIndicator <MIngInd>	[0..1]	Indicator		2198
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2198
	CareOf <CareOf>	[0..1]	Text		2199
	AddressLine <AdrLine>	[0..5]	Text		2199
	StreetName <StrtNm>	[0..1]	Text		2199
	BuildingNumber <BldgNb>	[0..1]	Text		2199
	BuildingName <BldgNm>	[0..1]	Text		2199
	PostBox <PstBx>	[0..1]	Text		2199
	SideInBuilding <SdInBldg>	[0..1]	Text		2199
	Floor <Flr>	[0..1]	Text		2199
	SuiteIdentification <SuiteId>	[0..1]	Text		2200
	PostCode <PstCd>	[0..1]	Text		2200
	DistrictName <DstrctNm>	[0..1]	Text		2200
	Village <Vllg>	[0..1]	Text		2200
	TownName <TwnNm>	[0..1]	Text		2200
	State <Stat>	[0..1]	Text		2200
	Country <Ctry>	[1..1]	CodeSet	C11	2200

Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

66.1.30.45.2 Organisation <Org>

Presence: [1..1]

Definition: Organised structure that is set up for a particular purpose, for example, a business, government body, department, charity, or financial institution.

Organisation <Org> contains the following **Organisation23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2034
	ShortName <ShrtNm>	[0..1]	Text		2034
	PostalAddress <PstlAdr>	[1..5]	±	C23	2034

66.1.30.45.2.1 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 2683

66.1.30.45.2.2 ShortName <ShrtNm>

Presence: [0..1]

Definition: Name of the organisation in short form.

Datatype: "Max35Text" on page 2683

66.1.30.45.2.3 PostalAddress <PstlAdr>

Presence: [1..5]

Definition: Information that locates and identifies a specific address, as defined by postal services.

Impacted by: C23 "MailingIndicatorRule"

PostalAddress <PstIAdr> contains the following elements (see "PostalAddress21" on page 2196 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2197
{Or	Code <Cd>	[1..1]	CodeSet		2198
Or}	Proprietary <Prtry>	[1..1]	±		2198
	MailingIndicator <MIngInd>	[0..1]	Indicator		2198
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2198
	CareOf <CareOf>	[0..1]	Text		2199
	AddressLine <AdrLine>	[0..5]	Text		2199
	StreetName <StrtNm>	[0..1]	Text		2199
	BuildingNumber <BldgNb>	[0..1]	Text		2199
	BuildingName <BldgNm>	[0..1]	Text		2199
	PostBox <PstBx>	[0..1]	Text		2199
	SideInBuilding <SdInBldg>	[0..1]	Text		2199
	Floor <Flr>	[0..1]	Text		2199
	SuiteIdentification <SuiteId>	[0..1]	Text		2200
	PostCode <PstCd>	[0..1]	Text		2200
	DistrictName <DstrctNm>	[0..1]	Text		2200
	Village <Vllg>	[0..1]	Text		2200
	TownName <TwnNm>	[0..1]	Text		2200
	State <Stat>	[0..1]	Text		2200
	Country <Ctry>	[1..1]	CodeSet	C11	2200

Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

66.1.30.46 PartyRole4Choice

Definition: Choice of formats for the specification of the role.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2036
Or}	Proprietary <Prtry>	[1..1]	±		2037

66.1.30.46.1 Code <Cd>*Presence:* [1..1]*Definition:* Role expressed as a code.*Datatype:* "InvestmentFundRole7Code" on page 2599

CodeName	Name	Definition
CONC	Concentrator	Party that acts as an aggregator of funds, also called a funds hub.
DIST	Distributor	Party that act as an wholesaler of funds.
FMCO	FundManagementCompany	Party that sets up a fund, decides upon the investment strategy, appoints agents, and is responsible for the promotion and the marketing of the fund. This party makes all the strategic decisions related to a fund.
INTR	Intermediary	Party that provides services to investors relating to financial products. These services may include some, or all of, provision of information and advice on products, placement of investment orders, transmission of payment, custody of assets, and the administration of rights and benefits. In the specific framework of investment funds industry, an intermediary may present information about funds to potential investors, and solicit orders for the fund. This intermediary may facilitate the transmission of the orders and information from/to the investors and/or other intermediaries. The intermediary receives commission from the Fund and/or fees from the investor.
PAYI	PayingAgent	Agent that executes the payment. In the context of the investment fund industry, the paying agent is the local legal representative of the fund. It may pay out dividends, and collects money for the purchase of funds when a client deals directly with the fund and/or when a client deals with bearer shares. It pays out the redemption of the fund, may distribute information about the fund, and provides legal information about the fund.
TRAG	TransferAgent	Party appointed by the fund management company. This party updates records of investor accounts to reflect the daily investor purchases, redemptions, switches, transfers, and re-registrations. It ensures the timely settlement of transactions, and may provide tax information to the investor and/or to its intermediaries. It may calculate, collect, and rebate commissions. It prepares and distributes confirmations reflecting transactions, resulting in unit or cash account movements to the investor or the

CodeName	Name	Definition
		investor's intermediary. It responds to inquiries concerning account status, and processes the income distribution.
CUST	Custodian	Party that safekeeps and administers assets on behalf of the owner.
CACO	CashCorrespondent	Financial institution that executes cash transfers on behalf of its clients.
FACT	FundAccountant	Party that keeps accounting records of the available assets and liabilities of the fund. This party calculates deal prices, the Net Asset Value (NAV) of the fund, and may provide fund performance and tax data.
INVE	InvestmentManager	Party that implements the investment strategy, that is, selects portfolio investments in accordance with the objectives and strategy in the fund's prospectus, and places orders to effect or liquidate selected investments in accordance with net flow of capital into or out of the fund.
INVS	Investor	Party, either an individual or organisation, whose assets are being invested.

66.1.30.46.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Role expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.30.47 PartyIdentification90Choice

Definition: Choice of formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2038
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2038
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2038

66.1.30.47.1 AnyBIC <AnyBIC>*Presence:* [1..1]*Definition:* Identification of the party expressed as a BIC.*Impacted by:* C7 "AnyBIC"*Datatype:* "AnyBICIdentifier" on page 2669**Constraints**

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

66.1.30.47.2 ProprietaryIdentification <PrtryId>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier, as assigned to the party using a proprietary identification scheme.**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification1" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.30.47.3 NameAndAddress <NmAndAdr>*Presence:* [1..1]*Definition:* Name and address of the party.**NameAndAddress <NmAndAdr>** contains the following elements (see "NameAndAddress5" on page 2206 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2206
	Address <Adr>	[0..1]	±		2207

66.1.30.48 Role4Choice*Definition:* Choice of format for a party role.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2039
Or}	Proprietary <Prtry>	[1..1]	±		2040

66.1.30.48.1 Code <Cd>*Presence:* [1..1]*Definition:* Role of the party in the activity expressed as a code.*Datatype:* "InvestmentFundRole2Code" on page 2595

CodeName	Name	Definition
FMCO	FundManagementCompany	Party that sets up a fund, decides upon the investment strategy, appoints agents, and is responsible for the promotion and the marketing of the fund. This party makes all the strategic decisions related to a fund.
REGI	Registrar	Party responsible for keeping track of the owners of securities. In the investment fund business, this party keeps the investor registry of the fund, and administers investor accounts and related records. It is appointed by the fund management company.
TRAG	TransferAgent	Party appointed by the fund management company. This party updates records of investor accounts to reflect the daily investor purchases, redemptions, switches, transfers, and re-registrations. It ensures the timely settlement of transactions, and may provide tax information to the investor and/or to its intermediaries. It may calculate, collect, and rebate commissions. It prepares and distributes confirmations reflecting transactions, resulting in unit or cash account movements to the investor or the investor's intermediary. It responds to inquiries concerning account status, and processes the income distribution.
INTR	Intermediary	Party that provides services to investors relating to financial products. These services may include some, or all of, provision of information and advice on products, placement of investment orders, transmission of payment, custody of assets, and the administration of rights and benefits. In the specific framework of investment funds industry, an intermediary may present information about funds to potential investors, and solicit orders for the fund. This intermediary may facilitate the transmission of the orders and information from/to the investors and/or other intermediaries. The intermediary receives commission from the Fund and/or fees from the investor.
DIST	Distributor	Party that act as an wholesaler of funds.
CONC	Concentrator	Party that acts as an aggregator of funds, also called a funds hub.
UCL1	UnderlyingClient1	Underlying client of the intermediary.

CodeName	Name	Definition
UCL2	UnderlyingClient2	Underlying client of underlying client 1.
TRAN	TransmittingAgent	Party that transmits the instruction, advice, notification or report.

66.1.30.48.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Role of the party in the activity expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.30.49 PartyRole2Choice

Definition: Choice of formats for the specification of the role.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2040
Or}	Proprietary <Prtry>	[1..1]	±		2042

66.1.30.49.1 Code <Cd>

Presence: [1..1]

Definition: Role expressed as a code.

Datatype: "[InvestmentFundRole6Code](#)" on page 2597

CodeName	Name	Definition
CACO	CashCorrespondent	Financial institution that executes cash transfers on behalf of its clients.
CONC	Concentrator	Party that acts as an aggregator of funds, also called a funds hub.
CUST	Custodian	Party that safekeeps and administers assets on behalf of the owner.
DATP	DataProvider	Source of financial information. Provides financial news and data (for example, facts, statistics and analysis), for professional and individual investors through various media, for example, the Internet, magazines.
DIST	Distributor	Party that act as an wholesaler of funds.
FACT	FundAccountant	Party that keeps accounting records of the available assets and liabilities of the

CodeName	Name	Definition
		fund. This party calculates deal prices, the Net Asset Value (NAV) of the fund, and may provide fund performance and tax data.
FIAD	FinancialAdvisor	Party that supplies information and advice on investment products.
FIAG	FinalAgent	Financial institution that receives the payment transaction on behalf of an account owner, or other nominated party, and credits the account.
FMCO	FundManagementCompany	Party that sets up a fund, decides upon the investment strategy, appoints agents, and is responsible for the promotion and the marketing of the fund. This party makes all the strategic decisions related to a fund.
FNBR	FundBroker	Party acting as an intermediary agent between an investor and a transfer agent for the subscription or redemption to a fund.
FTAG	FirstAgent	Financial institution that receives the payment transaction from the account owner, or other authorised party, and processes the instruction.
INTR	Intermediary	Party that provides services to investors relating to financial products. These services may include some, or all of, provision of information and advice on products, placement of investment orders, transmission of payment, custody of assets, and the administration of rights and benefits. In the specific framework of investment funds industry, an intermediary may present information about funds to potential investors, and solicit orders for the fund. This intermediary may facilitate the transmission of the orders and information from/to the investors and/or other intermediaries. The intermediary receives commission from the Fund and/or fees from the investor.
INVE	InvestmentManager	Party that implements the investment strategy, that is, selects portfolio investments in accordance with the objectives and strategy in the fund's prospectus, and places orders to effect or liquidate selected investments in accordance with net flow of capital into or out of the fund.
INVS	Investor	Party, either an individual or organisation, whose assets are being invested.
PAYI	PayingAgent	Agent that executes the payment. In the context of the investment fund industry, the paying agent is the local legal representative of the fund. It may pay out dividends, and collects money for the

CodeName	Name	Definition
		purchase of funds when a client deals directly with the fund and/or when a client deals with bearer shares. It pays out the redemption of the fund, may distribute information about the fund, and provides legal information about the fund.
REGI	Registrar	Party responsible for keeping track of the owners of securities. In the investment fund business, this party keeps the investor registry of the fund, and administers investor accounts and related records. It is appointed by the fund management company.
TRAG	TransferAgent	Party appointed by the fund management company. This party updates records of investor accounts to reflect the daily investor purchases, redemptions, switches, transfers, and re-registrations. It ensures the timely settlement of transactions, and may provide tax information to the investor and/or to its intermediaries. It may calculate, collect, and rebate commissions. It prepares and distributes confirmations reflecting transactions, resulting in unit or cash account movements to the investor or the investor's intermediary. It responds to inquiries concerning account status, and processes the income distribution.
TRAN	TransmittingAgent	Party that transmits the instruction, advice, notification or report.

66.1.30.49.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Role expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.30.50 MoneyLaunderingCheck1Choice

Definition: Choice of formats for the specification of the money laundering check.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2043
Or}	Proprietary <Prtry>	[1..1]	±		2043

66.1.30.50.1 Code <Cd>

Presence: [1..1]

Definition: Money laundering status expressed as a code.

Datatype: "MoneyLaunderingCheck1Code" on page 2607

CodeName	Name	Definition
PASS	Passed	Money laundering identification procedures have been carried out by the intermediary.
NOTC	NotChecked	Money laundering identification procedures have not been carried out.
EXEM	ExemptBelowLimit	Money laundering identification procedures have not been carried out because the transaction is below the prescribed limit.
CLMO	ClientMoneyTypeExempt	Money laundering identification procedures have not been carried out because of the transaction type, for example, switch, or because the transaction is being funded from an existing investor account held by the intermediary.
AUTH	AuthorisedCredit	Money laundering identification procedures have not been carried out because the investor is an authorised credit or financial institution.
POEP	PostalOrElectronicPaymentExempt	Limited money laundering identification procedures have been carried out because it is a one time only transaction and the payment is being made by postal, telephone or electronic transfers from an existing account with an authorised credit or financial institution.

66.1.30.50.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Money laundering status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.30.51 PartyTextInformation1

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeclarationDetails <DclrtnDtls>	[0..1]	Text		2044
	PartyContactDetails <PtyCtctDtls>	[0..1]	Text		2044
	RegistrationDetails <RegnDtls>	[0..1]	Text		2044

Constraints

- **AdditonalDetailsGuideline**

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

66.1.30.51.1 DeclarationDetails <DclrtnDtls>

Presence: [0..1]

Definition: Provides declaration details narrative relative to the party.

Datatype: "[Max350Text](#)" on page 2683

66.1.30.51.2 PartyContactDetails <PtyCtctDtls>

Presence: [0..1]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "[Max140Text](#)" on page 2682

66.1.30.51.3 RegistrationDetails <RegnDtls>

Presence: [0..1]

Definition: Provides information required for the registration.

Datatype: "[Max350Text](#)" on page 2683

66.1.31 Payment

66.1.31.1 PaymentTransaction72

Definition: Payment processes required to transfer cash from the debtor to the creditor.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2046
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2047
	Reference <Ref>	[0..1]	Text		2047
	Debtor <Dbtr>	[0..1]	±		2047
	DebtorAccount <DbtrAcct>	[0..1]	±		2047
	DebtorAgent <DbtrAgt>	[0..1]	±		2048
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2048
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2048
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2048
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2049
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2049
	CreditorAgent <CdtrAgt>	[1..1]	±		2049
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2050
	Creditor <Cdtr>	[0..1]	±		2050
	CreditorAccount <CdtrAcct>	[1..1]	±		2050
Or	ChequeDetails <ChqDtls>	[1..1]			2050
	Number <Nb>	[0..1]	Text		2051
	PayeeIdentification <Pyeeld>	[1..1]	±		2051
	DraweeIdentification <Drweeld>	[0..1]	±		2051
	DrawerIdentification <Drwrlid>	[0..1]	±		2051
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2052
	Number <Nb>	[0..1]	Text		2052
	PayeeIdentification <Pyeeld>	[1..1]	±		2052
	DraweeIdentification <Drweeld>	[0..1]	±		2052
	DrawerIdentification <Drwrlid>	[0..1]	±		2053
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2053
	AccountIdentification <Acctld>	[0..1]	Text		2053
	Type <Tp>	[0..1]	±		2053

66.1.31.1.1 PaymentInstrument <PmtInstrm>*Presence:* [1..1]*Definition:* Choice between types of payment instrument, for example, cheque, credit transfer or investment account.**PaymentInstrument <PmtInstrm>** contains one of the following **PaymentInstrument21Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2047
	Reference <Ref>	[0..1]	Text		2047
	Debtor <Dbtr>	[0..1]	±		2047
	DebtorAccount <DbtrAcct>	[0..1]	±		2047
	DebtorAgent <DbtrAgt>	[0..1]	±		2048
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2048
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2048
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2048
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2049
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2049
	CreditorAgent <CdtrAgt>	[1..1]	±		2049
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2050
	Creditor <Cdtr>	[0..1]	±		2050
	CreditorAccount <CdtrAcct>	[1..1]	±		2050
Or	ChequeDetails <ChqDtls>	[1..1]			2050
	Number <Nb>	[0..1]	Text		2051
	PayeeIdentification <PyeeId>	[1..1]	±		2051
	DraweeIdentification <DrweeId>	[0..1]	±		2051
	DrawerIdentification <DrwrId>	[0..1]	±		2051
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2052
	Number <Nb>	[0..1]	Text		2052
	PayeeIdentification <PyeeId>	[1..1]	±		2052
	DraweeIdentification <DrweeId>	[0..1]	±		2052
	DrawerIdentification <DrwrId>	[0..1]	±		2053
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2053
	AccountIdentification <AcctId>	[0..1]	Text		2053
	Type <Tp>	[0..1]	±		2053

66.1.31.1.1.1 CreditTransferDetails <CdtTrfDtls>*Presence:* [1..1]*Definition:* Payment instrument between a debtor and a creditor, which flows through one or more financial institutions or systems.**CreditTransferDetails <CdtTrfDtls>** contains the following **CreditTransfer8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	Text		2047
	Debtor <Dbtr>	[0..1]	±		2047
	DebtorAccount <DbtrAcct>	[0..1]	±		2047
	DebtorAgent <DbtrAgt>	[0..1]	±		2048
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2048
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2048
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2048
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2049
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2049
	CreditorAgent <CdtrAgt>	[1..1]	±		2049
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2050
	Creditor <Cdtr>	[0..1]	±		2050
	CreditorAccount <CdtrAcct>	[1..1]	±		2050

66.1.31.1.1.1.1 Reference <Ref>*Presence:* [0..1]*Definition:* Information supplied to enable the matching of an entry with the items that the transfer is intended to settle, such as commercial invoices in an accounts' receivable system.*Datatype:* "Max35Text" on page 2683**66.1.31.1.1.1.2 Debtor <Dbtr>***Presence:* [0..1]*Definition:* Party that owes an amount of money to the (ultimate) creditor. In the context of the payment model, the debtor is also the debit account owner.**Debtor <Dbtr>** contains the following elements (see "PartyIdentification113" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.1.1.1.3 DebtorAccount <DbtrAcct>*Presence:* [0..1]

Definition: Unambiguous identification of the account of the debtor to which a debit entry will be made as a result of the transaction.

DebtorAccount <DbtrAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.1.1.1.4 DebtorAgent <DbtrAgt>

Presence: [0..1]

Definition: Financial institution servicing an account for the debtor.

DebtorAgent <DbtrAgt> contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.1.1.1.5 DebtorAgentAccount <DbtrAgtAcct>

Presence: [0..1]

Definition: Identifies the account of the debtor's agent.

DebtorAgentAccount <DbtrAgtAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.1.1.1.6 IntermediaryAgent1 <IntrmyAgt1>

Presence: [0..1]

Definition: Agent between the debtor's agent and the creditor's agent.

IntermediaryAgent1 <IntrmyAgt1> contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.1.1.1.7 IntermediaryAgent1Account <IntrmyAgt1Acct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the intermediary agent 1 at its servicing agent in the payment chain.

IntermediaryAgent1Account <IntrmyAgt1Acct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.1.1.1.8 IntermediaryAgent2 <IntrmyAgt2>

Presence: [0..1]

Definition: Agent between the debtor's agent and the creditor's agent.

IntermediaryAgent2 <IntrmyAgt2> contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.1.1.1.9 IntermediaryAgent2Account <IntrmyAgt2Acct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the intermediary agent 2 at its servicing agent in the payment chain.

IntermediaryAgent2Account <IntrmyAgt2Acct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.1.1.1.10 CreditorAgent <CdtrAgt>

Presence: [1..1]

Definition: Financial institution servicing an account for the creditor.

CreditorAgent <CdtrAgt> contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.1.1.1.11 CreditorAgentAccount <CdtrAgtAcct>*Presence:* [0..1]*Definition:* Unambiguous identification of the account of the creditor agent at its servicing agent to which a credit entry will be made as a result of the payment transaction.**CreditorAgentAccount <CdtrAgtAcct>** contains the following elements (see ["AccountIdentificationAndName5"](#) on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.1.1.1.12 Creditor <Cdtr>*Presence:* [0..1]*Definition:* Party that receives an amount of money from the debtor. In the context of the payment model, the creditor is also the credit account owner.**Creditor <Cdtr>** contains the following elements (see ["PartyIdentification113"](#) on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.1.1.1.13 CreditorAccount <CdtrAcct>*Presence:* [1..1]*Definition:* Unambiguous identification of the account of the creditor to which a credit entry will be posted as a result of the payment transaction.**CreditorAccount <CdtrAcct>** contains the following elements (see ["AccountIdentificationAndName5"](#) on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.1.1.2 ChequeDetails <ChqDtls>*Presence:* [1..1]*Definition:* Written order on which instructions are given to an account holder (a financial institution) to pay a stated sum to a named recipient (the payee).

ChequeDetails <ChqDtls> contains the following **Cheque9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Number <Nb>	[0..1]	Text		2051
	PayeeIdentification <Pyeeld>	[1..1]	±		2051
	DraweeIdentification <Drweeld>	[0..1]	±		2051
	DrawerIdentification <Drwrlid>	[0..1]	±		2051

66.1.31.1.1.2.1 Number <Nb>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a cheque as assigned by the financial institution.

Datatype: "Max35Text" on page 2683

66.1.31.1.1.2.2 PayeeIdentification <Pyeeld>

Presence: [1..1]

Definition: Party to which a cheque is made payable.

PayeeIdentification <Pyeeld> contains the following elements (see "PartyIdentification113" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.1.1.2.3 DraweeIdentification <Drweeld>

Presence: [0..1]

Definition: Financial institution on which a cheque is drawn, that is, the financial institution that services the account of the entity that issued the cheque.

DraweeIdentification <Drweeld> contains the following elements (see "FinancialInstitutionIdentification10" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.1.1.2.4 DrawerIdentification <Drwrlid>

Presence: [0..1]

Definition: Account owner that issues a cheque ordering the drawee bank to pay a specific amount, upon demand, to the payee.

DrawerIdentification <Drwrld> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.1.1.3 BankersDraftDetails <BkrsDrftDtls>

Presence: [1..1]

Definition: Cheque drawn by a bank on itself or its agent. A person who owes money to another buys the draft from a bank for cash and hands it to the creditor.

BankersDraftDetails <BkrsDrftDtls> contains the following **Cheque9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Number <Nb>	[0..1]	Text		2052
	PayeeIdentification <Pyeeld>	[1..1]	±		2052
	DraweeIdentification <Drweeld>	[0..1]	±		2052
	DrawerIdentification <Drwrld>	[0..1]	±		2053

66.1.31.1.1.3.1 Number <Nb>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a cheque as assigned by the financial institution.

Datatype: "[Max35Text](#)" on page 2683

66.1.31.1.1.3.2 PayeeIdentification <Pyeeld>

Presence: [1..1]

Definition: Party to which a cheque is made payable.

PayeeIdentification <Pyeeld> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.1.1.3.3 DraweeIdentification <Drweeld>

Presence: [0..1]

Definition: Financial institution on which a cheque is drawn, that is, the financial institution that services the account of the entity that issued the cheque.

DraweeIdentification <Drweeld> contains the following elements (see "FinancialInstitutionIdentification10" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.1.1.3.4 DrawerIdentification <Drwrld>

Presence: [0..1]

Definition: Account owner that issues a cheque ordering the drawee bank to pay a specific amount, upon demand, to the payee.

DrawerIdentification <Drwrld> contains the following elements (see "PartyIdentification113" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.1.1.4 CashAccountDetails <CshAcctDtls>

Presence: [1..1]

Definition: Part of the investment account to or from which cash entries are made.

Impacted by: C1 "AccountElementRule"

CashAccountDetails <CshAcctDtls> contains the following **InvestmentAccount60** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[0..1]	Text		2053
	Type <Tp>	[0..1]	±		2053

Constraints

- **AccountElementRule**

Either AccountIdentification or Type must be present. Both may be present.

66.1.31.1.1.4.1 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2683

66.1.31.1.1.4.2 Type <Tp>

Presence: [0..1]

Definition: Account type.

Type <Tp> contains one of the following elements (see "InvestmentAccountType1Choice" on page 1634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1634
Or}	Proprietary <Prtry>	[1..1]	±		1634

66.1.31.2 PaymentInstrument18

Definition: Payment parties for the transfer of cash from the debtor to the creditor.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	Text		2057
	Amount <Amt>	[1..1]	Amount	C2	2057
	PaymentDate <PmtDt>	[0..1]	Date		2057
	CashSettlementDetails <CshSttlmDtls>	[0..1]			2057
{Or	CreditTransferDetails <CdtTrfDtls>	[0..1]			2060
	Debtor <Dbtr>	[0..1]	±		2062
	DebtorAccount <DbtrAcct>	[0..1]			2063
	Name <Nm>	[0..1]	Text		2063
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2063
	Other <Othr>	[0..1]	±		2063
	DebtorAgent <DbtrAgt>	[0..1]			2064
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2064
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2064
	NameAndAddress <NmAndAdr>	[0..1]	±		2065
	LEI <LEI>	[0..1]	IdentifierSet		2065
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2066
	BranchIdentification <BrnchId>	[0..1]	±		2066
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]			2066
	Name <Nm>	[0..1]	Text		2066
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2066
	Other <Othr>	[0..1]	±		2067
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]			2067
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2067
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2068
	NameAndAddress <NmAndAdr>	[0..1]	±		2069
	LEI <LEI>	[0..1]	IdentifierSet		2069
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2069
	BranchIdentification <BrnchId>	[0..1]	±		2069
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]			2069
	Name <Nm>	[0..1]	Text		2070
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2070

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Other <Othr>	[0..1]	±		2070
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]			2071
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2071
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2071
	NameAndAddress <NmAndAdr>	[0..1]	±		2072
	LEI <LEI>	[0..1]	IdentifierSet		2072
	ProprietaryIdentification <Prtryld>	[0..1]	Text		2073
	BranchIdentification <Brnchld>	[0..1]	±		2073
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]			2073
	Name <Nm>	[0..1]	Text		2073
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2073
	Other <Othr>	[0..1]	±		2074
	CreditorAgent <CdtrAgt>	[1..1]			2074
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2074
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2075
	NameAndAddress <NmAndAdr>	[0..1]	±		2075
	LEI <LEI>	[0..1]	IdentifierSet		2076
	ProprietaryIdentification <Prtryld>	[0..1]	Text		2076
	BranchIdentification <Brnchld>	[0..1]	±		2076
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]			2076
	Name <Nm>	[0..1]	Text		2077
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2077
	Other <Othr>	[0..1]	±		2077
	Creditor <Cdtr>	[0..1]	±		2077
	CreditorAccount <CdtrAcct>	[1..1]			2078
	Name <Nm>	[0..1]	Text		2078
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2078
	Other <Othr>	[0..1]	±		2078
Or}	ChequeDetails <ChqDtls>	[1..1]			2079
	Number <Nb>	[0..1]	Text		2079
	PayeeIdentification <Pyeeld>	[0..1]	±		2079

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Draweeldidentification <Drweeld>	[0..1]			2080
	Party <Pty>	[1..1]			2080
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		2080
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C5	2081
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		2081
Or}	ProprietaryIdentification <Prtryld>	[1..1]	Text		2082
	LEI <LEI>	[0..1]	IdentifierSet		2082
	DrawerIdentification <Drwrld>	[0..1]	±		2083

66.1.31.2.1 Reference <Ref>

Presence: [0..1]

Definition: Information supplied to enable the matching of an entry with the items that the transfer is intended to settle, such as commercial invoices in an accounts' receivable system.

Datatype: "Max35Text" on page 2683

66.1.31.2.2 Amount <Amt>

Presence: [1..1]

Definition: Amount of the payment.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.31.2.3 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date of the payment.

Datatype: "ISODate" on page 2668

66.1.31.2.4 CashSettlementDetails <CshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

CashSettlementDetails <CshSttlmDtls> contains one of the following **PaymentInstrument25Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CreditTransferDetails <CdtTrfDtls>	[0..1]			2060
	Debtor <Dbtr>	[0..1]	±		2062
	DebtorAccount <DbtrAcct>	[0..1]			2063
	Name <Nm>	[0..1]	Text		2063
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2063
	Other <Othr>	[0..1]	±		2063
	DebtorAgent <DbtrAgt>	[0..1]			2064
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2064
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2064
	NameAndAddress <NmAndAdr>	[0..1]	±		2065
	LEI <LEI>	[0..1]	IdentifierSet		2065
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2066
	BranchIdentification <BrnchId>	[0..1]	±		2066
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]			2066
	Name <Nm>	[0..1]	Text		2066
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2066
	Other <Othr>	[0..1]	±		2067
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]			2067
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2067
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2068
	NameAndAddress <NmAndAdr>	[0..1]	±		2069
	LEI <LEI>	[0..1]	IdentifierSet		2069
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2069
	BranchIdentification <BrnchId>	[0..1]	±		2069
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]			2069
	Name <Nm>	[0..1]	Text		2070
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2070
	Other <Othr>	[0..1]	±		2070
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]			2071
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2071

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2071
	NameAndAddress <NmAndAdr>	[0..1]	±		2072
	LEI <LEI>	[0..1]	IdentifierSet		2072
	ProprietaryIdentification <Prtryld>	[0..1]	Text		2073
	BranchIdentification <Brnchld>	[0..1]	±		2073
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]			2073
	Name <Nm>	[0..1]	Text		2073
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2073
	Other <Othr>	[0..1]	±		2074
	CreditorAgent <CdtrAgt>	[1..1]			2074
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2074
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2075
	NameAndAddress <NmAndAdr>	[0..1]	±		2075
	LEI <LEI>	[0..1]	IdentifierSet		2076
	ProprietaryIdentification <Prtryld>	[0..1]	Text		2076
	BranchIdentification <Brnchld>	[0..1]	±		2076
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]			2076
	Name <Nm>	[0..1]	Text		2077
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2077
	Other <Othr>	[0..1]	±		2077
	Creditor <Cdtr>	[0..1]	±		2077
	CreditorAccount <CdtrAcct>	[1..1]			2078
	Name <Nm>	[0..1]	Text		2078
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2078
	Other <Othr>	[0..1]	±		2078
Or}	ChequeDetails <ChqDtls>	[1..1]			2079
	Number <Nb>	[0..1]	Text		2079
	PayeeIdentification <PyeeId>	[0..1]	±		2079
	DraweeIdentification <DrweeId>	[0..1]			2080
	Party <Pty>	[1..1]			2080
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		2080

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	BICFI <BICFI/>	[1..1]	IdentifierSet	C5	2081
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		2081
Or}	ProprietaryIdentification <PrtryId>	[1..1]	Text		2082
	LEI <LEI/>	[0..1]	IdentifierSet		2082
	DrawerIdentification <DrwrId>	[0..1]	±		2083

66.1.31.2.4.1 CreditTransferDetails <CdtTrfDtls>

Presence: [0..1]

Definition: Payment instrument between a debtor and a creditor that flows through one or more financial institutions or systems.

CreditTransferDetails <CdtTrfDtls> contains the following **CreditTransfer9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Debtor <Dbtr>	[0..1]	±		2062
	DebtorAccount <DbtrAcct>	[0..1]			2063
	Name <Nm>	[0..1]	Text		2063
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2063
	Other <Othr>	[0..1]	±		2063
	DebtorAgent <DbtrAgt>	[0..1]			2064
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2064
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2064
	NameAndAddress <NmAndAdr>	[0..1]	±		2065
	LEI <LEI>	[0..1]	IdentifierSet		2065
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2066
	BranchIdentification <BrnchId>	[0..1]	±		2066
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]			2066
	Name <Nm>	[0..1]	Text		2066
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2066
	Other <Othr>	[0..1]	±		2067
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]			2067
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2067
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2068
	NameAndAddress <NmAndAdr>	[0..1]	±		2069
	LEI <LEI>	[0..1]	IdentifierSet		2069
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2069
	BranchIdentification <BrnchId>	[0..1]	±		2069
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]			2069
	Name <Nm>	[0..1]	Text		2070
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2070
	Other <Othr>	[0..1]	±		2070
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]			2071
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2071
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2071
	NameAndAddress <NmAndAdr>	[0..1]	±		2072

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LEI <LEI>	[0..1]	IdentifierSet		2072
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2073
	BranchIdentification <BrnchId>	[0..1]	±		2073
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]			2073
	Name <Nm>	[0..1]	Text		2073
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2073
	Other <Othr>	[0..1]	±		2074
	CreditorAgent <CdtrAgt>	[1..1]			2074
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2074
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2075
	NameAndAddress <NmAndAdr>	[0..1]	±		2075
	LEI <LEI>	[0..1]	IdentifierSet		2076
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2076
	BranchIdentification <BrnchId>	[0..1]	±		2076
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]			2076
	Name <Nm>	[0..1]	Text		2077
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2077
	Other <Othr>	[0..1]	±		2077
	Creditor <Cdtr>	[0..1]	±		2077
	CreditorAccount <CdtrAcct>	[1..1]			2078
	Name <Nm>	[0..1]	Text		2078
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2078
	Other <Othr>	[0..1]	±		2078

66.1.31.2.4.1.1 Debtor <Dbtr>

Presence: [0..1]

Definition: Party that owes an amount of money to the (ultimate) creditor. In the context of the payment model, the debtor is also the debit account owner.

Debtor <Dbtr> contains the following elements (see "PartyIdentification132" on page 2004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2004
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2004
	NameAndAddress <NmAndAdr>	[0..1]	±		2005
	ProprietaryIdentification <PrtryId>	[0..1]	±		2006
	LEI <LEI>	[0..1]	IdentifierSet		2006

66.1.31.2.4.1.2 DebtorAccount <DbtrAcct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the debtor to which a debit entry will be made as a result of the transaction.

DebtorAccount <DbtrAcct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2063
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2063
	Other <Othr>	[0..1]	±		2063

66.1.31.2.4.1.2.1 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

66.1.31.2.4.1.2.2 IBAN <IBAN>

Presence: [0..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C16 "IBAN"

Datatype: "IBAN2007Identifier" on page 2674

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

66.1.31.2.4.1.2.3 Other <Othr>

Presence: [0..1]

Definition: Unique identification of the account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "GenericAccountIdentification1" on page 1211 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1212
	SchemeName <SchmeNm>	[0..1]			1212
{Or	Code <Cd>	[1..1]	CodeSet		1212
Or}	Proprietary <Prtry>	[1..1]	Text		1212
	Issuer <Issr>	[0..1]	Text		1212

66.1.31.2.4.1.3 DebtorAgent <DbtrAgt>

Presence: [0..1]

Definition: Financial institution servicing the account for the debtor.

DebtorAgent <DbtrAgt> contains the following **FinancialInstitutionIdentification16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2064
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2064
	NameAndAddress <NmAndAdr>	[0..1]	±		2065
	LEI <LEI>	[0..1]	IdentifierSet		2065
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2066
	BranchIdentification <BrnchId>	[0..1]	±		2066

66.1.31.2.4.1.3.1 BICFI <BICFI>

Presence: [0..1]

Definition: Identification of the financial institution expressed as a BIC.

Impacted by: C5 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 2670

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

66.1.31.2.4.1.3.2 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [0..1]

Definition: Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentification4Choice" on page 1366 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1366
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1367
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1367
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1367
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1367
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1367
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1367
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1367
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1368
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1368
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1368
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1368
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1368
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1368
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1368
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1369
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1369
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1369
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1369

66.1.31.2.4.1.3.3 NameAndAddress <NmAndAdr>

Presence: [0..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following elements (see "NameAndAddress5" on page 2206 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2206
	Address <Adr>	[0..1]	±		2207

66.1.31.2.4.1.3.4 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "LEIIdentifier" on page 2676

66.1.31.2.4.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [0..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

Datatype: "Max35Text" on page 2683

66.1.31.2.4.1.3.6 BranchIdentification <BrnchId>

Presence: [0..1]

Definition: Identification of a specific branch of the financial institution.

BranchIdentification <BrnchId> contains the following elements (see "BranchData2" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1399
	Name <Nm>	[0..1]	Text		1400
	PostalAddress <PstlAdr>	[0..1]	±		1400

66.1.31.2.4.1.4 DebtorAgentAccount <DbtrAgtAcct>

Presence: [0..1]

Definition: Identifies the account of the debtor's agent.

DebtorAgentAccount <DbtrAgtAcct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2066
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2066
	Other <Othr>	[0..1]	±		2067

66.1.31.2.4.1.4.1 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

66.1.31.2.4.1.4.2 IBAN <IBAN>

Presence: [0..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and

content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C16 "IBAN"

Datatype: "IBAN2007Identifier" on page 2674

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

66.1.31.2.4.1.4.3 Other <Othr>

Presence: [0..1]

Definition: Unique identification of the account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "[GenericAccountIdentification1](#)" on page 1211 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1212
	SchemeName <SchmeNm>	[0..1]			1212
{Or	Code <Cd>	[1..1]	CodeSet		1212
Or}	Proprietary <Prtry>	[1..1]	Text		1212
	Issuer <Issr>	[0..1]	Text		1212

66.1.31.2.4.1.5 IntermediaryAgent1 <IntrmyAgt1>

Presence: [0..1]

Definition: Agent between the debtor's agent and the creditor's agent.

IntermediaryAgent1 <IntrmyAgt1> contains the following **FinancialInstitutionIdentification16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2067
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2068
	NameAndAddress <NmAndAdr>	[0..1]	±		2069
	LEI <LEI>	[0..1]	IdentifierSet		2069
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2069
	BranchIdentification <BrnchId>	[0..1]	±		2069

66.1.31.2.4.1.5.1 BICFI <BICFI>

Presence: [0..1]

Definition: Identification of the financial institution expressed as a BIC.

Impacted by: C5 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 2670

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

66.1.31.2.4.1.5.2 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [0..1]

Definition: Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentification4Choice" on page 1366 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1366
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1367
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1367
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1367
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1367
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1367
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1367
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1367
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1368
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1368
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1368
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1368
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1368
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1368
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1368
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1369
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1369
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1369
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1369

66.1.31.2.4.1.5.3 NameAndAddress <NmAndAdr>*Presence:* [0..1]*Definition:* Name and address of the party.**NameAndAddress <NmAndAdr>** contains the following elements (see ["NameAndAddress5" on page 2206](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2206
	Address <Adr>	[0..1]	±		2207

66.1.31.2.4.1.5.4 LEI <LEI>*Presence:* [0..1]*Definition:* Legal entity identification as an alternate identification for the party.*Datatype:* ["LEIIdentifier" on page 2676](#)**66.1.31.2.4.1.5.5 ProprietaryIdentification <PrtryId>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.*Datatype:* ["Max35Text" on page 2683](#)**66.1.31.2.4.1.5.6 BranchIdentification <BrnchId>***Presence:* [0..1]*Definition:* Identification of a specific branch of the financial institution.**BranchIdentification <BrnchId>** contains the following elements (see ["BranchData2" on page 1399](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1399
	Name <Nm>	[0..1]	Text		1400
	PostalAddress <PstlAdr>	[0..1]	±		1400

66.1.31.2.4.1.6 IntermediaryAgent1Account <IntrmyAgt1Acct>*Presence:* [0..1]*Definition:* Unambiguous identification of the account of the intermediary agent 1 at its servicing agent in the payment chain.

IntermediaryAgent1Account <IntrmyAgt1Acct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2070
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2070
	Other <Othr>	[0..1]	±		2070

66.1.31.2.4.1.6.1 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

66.1.31.2.4.1.6.2 IBAN <IBAN>

Presence: [0..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C16 "IBAN"

Datatype: "IBAN2007Identifier" on page 2674

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

66.1.31.2.4.1.6.3 Other <Othr>

Presence: [0..1]

Definition: Unique identification of the account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "[GenericAccountIdentification1](#)" on page 1211 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1212
	SchemeName <SchmeNm>	[0..1]			1212
{Or	Code <Cd>	[1..1]	CodeSet		1212
Or}	Proprietary <Prtry>	[1..1]	Text		1212
	Issuer <Issr>	[0..1]	Text		1212

66.1.31.2.4.1.7 IntermediaryAgent2 <IntrmyAgt2>*Presence:* [0..1]*Definition:* Agent between the debtor's agent and the creditor's agent.**IntermediaryAgent2 <IntrmyAgt2>** contains the following **FinancialInstitutionIdentification16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2071
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2071
	NameAndAddress <NmAndAdr>	[0..1]	±		2072
	LEI <LEI>	[0..1]	IdentifierSet		2072
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2073
	BranchIdentification <BrnchId>	[0..1]	±		2073

66.1.31.2.4.1.7.1 BICFI <BICFI>*Presence:* [0..1]*Definition:* Identification of the financial institution expressed as a BIC.*Impacted by:* C5 "BICFI"*Datatype:* "BICFIDec2014Identifier" on page 2670**Constraints**

- BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

66.1.31.2.4.1.7.2 ClearingSystemMemberIdentification <ClrSysMmbld>*Presence:* [0..1]*Definition:* Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentification4Choice" on page 1366 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1366
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1367
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1367
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1367
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1367
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1367
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1367
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1367
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1368
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1368
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1368
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1368
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1368
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1368
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1368
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1369
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1369
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1369
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1369

66.1.31.2.4.1.7.3 NameAndAddress <NmAndAdr>

Presence: [0..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following elements (see "NameAndAddress5" on page 2206 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2206
	Address <Adr>	[0..1]	±		2207

66.1.31.2.4.1.7.4 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "LEIdentifier" on page 2676

66.1.31.2.4.1.7.5 ProprietaryIdentification <PrtryId>

Presence: [0..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

Datatype: "Max35Text" on page 2683

66.1.31.2.4.1.7.6 BranchIdentification <BrnchId>

Presence: [0..1]

Definition: Identification of a specific branch of the financial institution.

BranchIdentification <BrnchId> contains the following elements (see "BranchData2" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1399
	Name <Nm>	[0..1]	Text		1400
	PostalAddress <PstlAdr>	[0..1]	±		1400

66.1.31.2.4.1.8 IntermediaryAgent2Account <IntrmyAgt2Acct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the intermediary agent 2 at its servicing agent in the payment chain.

IntermediaryAgent2Account <IntrmyAgt2Acct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2073
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2073
	Other <Othr>	[0..1]	±		2074

66.1.31.2.4.1.8.1 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

66.1.31.2.4.1.8.2 IBAN <IBAN>

Presence: [0..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and

content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C16 "IBAN"

Datatype: "IBAN2007Identifier" on page 2674

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

66.1.31.2.4.1.8.3 Other <Othr>

Presence: [0..1]

Definition: Unique identification of the account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "[GenericAccountIdentification1](#)" on page 1211 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1212
	SchemeName <SchmeNm>	[0..1]			1212
{Or	Code <Cd>	[1..1]	CodeSet		1212
Or}	Proprietary <Prtry>	[1..1]	Text		1212
	Issuer <Issr>	[0..1]	Text		1212

66.1.31.2.4.1.9 CreditorAgent <CdtrAgt>

Presence: [1..1]

Definition: Financial institution servicing the account for the creditor.

CreditorAgent <CdtrAgt> contains the following **FinancialInstitutionIdentification16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2074
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2075
	NameAndAddress <NmAndAdr>	[0..1]	±		2075
	LEI <LEI>	[0..1]	IdentifierSet		2076
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2076
	BranchIdentification <BrnchId>	[0..1]	±		2076

66.1.31.2.4.1.9.1 BICFI <BICFI>

Presence: [0..1]

Definition: Identification of the financial institution expressed as a BIC.

Impacted by: C5 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 2670

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

66.1.31.2.4.1.9.2 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [0..1]

Definition: Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentification4Choice" on page 1366 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1366
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1367
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1367
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1367
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1367
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1367
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1367
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1367
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1368
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1368
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1368
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1368
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1368
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1368
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1368
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1369
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1369
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1369
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1369

66.1.31.2.4.1.9.3 NameAndAddress <NmAndAdr>

Presence: [0..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following elements (see ["NameAndAddress5"](#) on page 2206 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2206
	Address <Adr>	[0..1]	±		2207

66.1.31.2.4.1.9.4 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: ["LEIIdentifier"](#) on page 2676

66.1.31.2.4.1.9.5 ProprietaryIdentification <PrtryId>

Presence: [0..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

Datatype: ["Max35Text"](#) on page 2683

66.1.31.2.4.1.9.6 BranchIdentification <BrnchId>

Presence: [0..1]

Definition: Identification of a specific branch of the financial institution.

BranchIdentification <BrnchId> contains the following elements (see ["BranchData2"](#) on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1399
	Name <Nm>	[0..1]	Text		1400
	PostalAddress <PstlAdr>	[0..1]	±		1400

66.1.31.2.4.1.10 CreditorAgentAccount <CdtrAgtAcct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the creditor agent at its servicing agent to which a credit entry will be made as a result of the payment transaction.

CreditorAgentAccount <CdtrAgtAcct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2077
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2077
	Other <Othr>	[0..1]	±		2077

66.1.31.2.4.1.10.1 Name <Nm>*Presence:* [0..1]*Definition:* Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.*Datatype:* "Max35Text" on page 2683**66.1.31.2.4.1.10.2 IBAN <IBAN>***Presence:* [0..1]*Definition:* International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.*Impacted by:* C16 "IBAN"*Datatype:* "IBAN2007Identifier" on page 2674**Constraints**

- IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

66.1.31.2.4.1.10.3 Other <Othr>*Presence:* [0..1]*Definition:* Unique identification of the account, as assigned by the account servicer, using an identification scheme.**Other <Othr>** contains the following elements (see "GenericAccountIdentification1" on page 1211 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1212
	SchemeName <SchmeNm>	[0..1]			1212
{Or	Code <Cd>	[1..1]	CodeSet		1212
Or}	Proprietary <Prtry>	[1..1]	Text		1212
	Issuer <Issr>	[0..1]	Text		1212

66.1.31.2.4.1.11 Creditor <Cdtr>*Presence:* [0..1]*Definition:* Party that receives an amount of money from the debtor. In the context of the payment model, the creditor is also the credit account owner.

Creditor <Cdtr> contains the following elements (see "PartyIdentification132" on page 2004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2004
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2004
	NameAndAddress <NmAndAdr>	[0..1]	±		2005
	ProprietaryIdentification <PrtryId>	[0..1]	±		2006
	LEI <LEI>	[0..1]	IdentifierSet		2006

66.1.31.2.4.1.12 CreditorAccount <CdtrAcct>

Presence: [1..1]

Definition: Unambiguous identification of the account of the creditor to which a credit entry will be posted as a result of the payment transaction.

CreditorAccount <CdtrAcct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2078
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2078
	Other <Othr>	[0..1]	±		2078

66.1.31.2.4.1.12.1 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

66.1.31.2.4.1.12.2 IBAN <IBAN>

Presence: [0..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C16 "IBAN"

Datatype: "IBAN2007Identifier" on page 2674

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

66.1.31.2.4.1.12.3 Other <Othr>

Presence: [0..1]

Definition: Unique identification of the account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "GenericAccountIdentification1" on page 1211 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1212
	SchemeName <SchmeNm>	[0..1]			1212
{Or	Code <Cd>	[1..1]	CodeSet		1212
Or}	Proprietary <Prtry>	[1..1]	Text		1212
	Issuer <Issr>	[0..1]	Text		1212

66.1.31.2.4.2 ChequeDetails <ChqDtls>

Presence: [1..1]

Definition: Written order on which instructions are given to an account holder (a financial institution) to pay a stated sum to a named recipient (the payee).

ChequeDetails <ChqDtls> contains the following **Cheque12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Number <Nb>	[0..1]	Text		2079
	PayeeIdentification <PyeeId>	[0..1]	±		2079
	DraweeIdentification <DrweeId>	[0..1]			2080
	Party <Pty>	[1..1]			2080
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		2080
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C5	2081
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		2081
Or}	ProprietaryIdentification <PrtryId>	[1..1]	Text		2082
	LEI <LEI>	[0..1]	IdentifierSet		2082
	DrawerIdentification <DrwrId>	[0..1]	±		2083

66.1.31.2.4.2.1 Number <Nb>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the cheque as assigned by the financial institution.

Datatype: "Max35Text" on page 2683

66.1.31.2.4.2.2 PayeeIdentification <PyeeId>

Presence: [0..1]

Definition: Party to which the cheque is made payable.

PayeeIdentification <PyeeId> contains the following elements (see "PartyIdentification139" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

66.1.31.2.4.2.3 DraweeIdentification <Drweeld>

Presence: [0..1]

Definition: Financial institution on which the cheque is drawn, that is, the financial institution that services the account of the entity that issued the cheque.

DraweeIdentification <Drweeld> contains the following **FinancialInstitutionIdentification17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			2080
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		2080
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C5	2081
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		2081
Or}	ProprietaryIdentification <PrtryId>	[1..1]	Text		2082
	LEI <LEI>	[0..1]	IdentifierSet		2082

66.1.31.2.4.2.3.1 Party <Pty>

Presence: [1..1]

Definition: Unique identification of the financial institution.

Party <Pty> contains one of the following **FinancialInstitutionIdentification10Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		2080
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C5	2081
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		2081
Or}	ProprietaryIdentification <PrtryId>	[1..1]	Text		2082

66.1.31.2.4.2.3.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress5](#)" on page 2206 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2206
	Address <Adr>	[0..1]	±		2207

66.1.31.2.4.2.3.1.2 BICFI <BICFI>

Presence: [1..1]

Definition: Identification of the financial institution expressed as a BIC.

Impacted by: [C5 "BICFI"](#)

Datatype: "[BICFIDec2014Identifier](#)" on page 2670

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

66.1.31.2.4.2.3.1.3 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [1..1]

Definition: Choice of identifier for a clearing system member, as assigned by the clearing system. In some clearing systems, the accounts of the clearing system members are also assigned an identifier.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentification2Choice" on page 1361 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1362
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1362
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1363
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1363
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1363
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1363
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1363
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1363
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1363
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1364
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1364
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1364
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1364
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1364
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1364
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1364
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1365
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1365
Or	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1365
Or	IndianFinancialSystemCode <INIFSC>	[1..1]	IdentifierSet		1365
Or	HellenicBankIdentificationCode <GRHEBIC>	[1..1]	IdentifierSet		1365
Or	PolishNationalClearingCode <PLKNR>	[1..1]	IdentifierSet		1365
Or}	OtherClearingCodeIdentification <OthrClrCdId>	[1..1]	Text		1366

66.1.31.2.4.2.3.1.4 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

Datatype: "Max35Text" on page 2683

66.1.31.2.4.2.3.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "LEIIdentifier" on page 2676

66.1.31.2.4.2.4 DrawerIdentification <Drwrld>

Presence: [0..1]

Definition: Account owner that issues the cheque ordering the drawee bank to pay a specific amount, upon demand, to the payee.

DrawerIdentification <Drwrld> contains the following elements (see "PartyIdentification139" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

66.1.31.3 PaymentInstrument17

Definition: Instrument used to process a payment instruction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	2084
	DividendPercentage <DvddPctg>	[0..1]	Rate		2085
	SubscriptionPaymentInstrument <SbcptPmtInstrm>	[0..1]			2085
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]	±		2085
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2085
Or	Cheque <Chq>	[1..1]	Indicator		2086
Or}	BankersDraft <BkrsDrft>	[1..1]	Indicator		2086
	RedemptionPaymentInstrument <RedPmtInstrm>	[0..1]			2086
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2087
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2087
	DividendPaymentInstrument <DvddPmtInstrm>	[0..1]			2087
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2087
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2088
	SavingsPlanPaymentInstrument <SvgsPlanPmtInstrm>	[0..1]			2088
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]	±		2088
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2089
Or	Cheque <Chq>	[1..1]	Indicator		2089
Or}	BankersDraft <BkrsDrft>	[1..1]	Indicator		2090
	InterestPaymentInstrument <IntrstPmtInstrm>	[0..1]			2090
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2090
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2090

66.1.31.3.1 SettlementCurrency <SttlmCcy>

Presence: [1..1]

Definition: Currency associated with the payment instrument.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.31.3.2 DividendPercentage <DvddPctg>

Presence: [0..1]

Definition: Percentage of the dividend payment not to be reinvested, that is, to be paid in cash.

Datatype: "PercentageBoundedRate" on page 2681

66.1.31.3.3 SubscriptionPaymentInstrument <SbcptPmtInstrm>

Presence: [0..1]

Definition: Instrument that has or represents monetary value and is used to process a payment instruction for a subscription payment.

SubscriptionPaymentInstrument <SbcptPmtInstrm> contains one of the following **PaymentInstrument24Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]	±		2085
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2085
Or	Cheque <Chq>	[1..1]	Indicator		2086
Or}	BankersDraft <BkrsDrft>	[1..1]	Indicator		2086

66.1.31.3.3.1 PaymentCardDetails <PmtCardDtls>

Presence: [1..1]

Definition: Settlement instructions for a payment by card.

PaymentCardDetails <PmtCardDtls> contains the following elements (see "PaymentCard29" on page 2090 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		2091
	Number <Nb>	[1..1]	Text		2091
	HolderName <HldrNm>	[1..1]	Text		2091
	StartDate <StartDt>	[0..1]	YearMonth		2091
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2092
	CardIssuerName <CardIssrNm>	[0..1]	Text		2092
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2092
	SecurityCode <SctyCd>	[0..1]	Text		2092
	SequenceNumber <SeqNb>	[0..1]	Text		2092

66.1.31.3.3.2 DirectDebitDetails <DrctDbtDtls>

Presence: [1..1]

Definition: Settlement instructions for a payment by direct debit.

DirectDebitDetails <DrctDbtDtls> contains the following elements (see "[DirectDebitMandate7](#)" on page 1390 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DebtorAccount <DbtrAcct>	[1..1]	±		1390
	Debtor <Dbtr>	[0..1]	±		1390
	DebtorTaxIdentificationNumber <DbtrTaxIdNb>	[0..1]	Text		1391
	DebtorNationalRegistrationNumber <DbtrNtlRegnNb>	[0..1]	Text		1391
	Creditor <Cdtr>	[0..1]	±		1391
	DebtorAgent <DbtrAgt>	[1..1]	±		1391
	DebtorAgentBranch <DbtrAgtBrnch>	[0..1]	±		1392
	CreditorAgent <CdtrAgt>	[0..1]	±		1392
	CreditorAgentBranch <CdtrAgtBrnch>	[0..1]	±		1392
	RegistrationIdentification <RegnId>	[0..1]	Text		1393
	MandatIdentification <MndtId>	[0..1]	Text		1393

66.1.31.3.3.3 Cheque <Chq>

Presence: [1..1]

Definition: Indicates whether the payment is done via cheque.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.31.3.3.4 BankersDraft <BkrsDrft>

Presence: [1..1]

Definition: Indicates whether the payment is done via draft.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.31.3.4 RedemptionPaymentInstrument <RedPmtInstrm>

Presence: [0..1]

Definition: Instrument that has or represents monetary value and is used to process a payment instruction for a redemption payment.

RedemptionPaymentInstrument <RedPmtInstrm> contains one of the following **PaymentInstrument19Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2087
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2087

66.1.31.3.4.1 ChequeDetails <ChqDtls>

Presence: [1..1]

Definition: Settlement instructions for a payment by cheque.

ChequeDetails <ChqDtls> contains the following elements (see "[Cheque4](#)" on page 1361 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PayeeIdentification <Pyeeld>	[1..1]	±		1361

66.1.31.3.4.2 BankersDraftDetails <BkrsDrftDtls>

Presence: [1..1]

Definition: Settlement instructions for a payment by draft.

BankersDraftDetails <BkrsDrftDtls> contains the following elements (see "[Cheque4](#)" on page 1361 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PayeeIdentification <Pyeeld>	[1..1]	±		1361

66.1.31.3.5 DividendPaymentInstrument <DvddPmtInstrm>

Presence: [0..1]

Definition: Instrument that has or represents monetary value and is used to process a payment instruction for a dividend payment.

DividendPaymentInstrument <DvddPmtInstrm> contains one of the following **PaymentInstrument19Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2087
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2088

66.1.31.3.5.1 ChequeDetails <ChqDtls>

Presence: [1..1]

Definition: Settlement instructions for a payment by cheque.

ChequeDetails <ChqDtls> contains the following elements (see "Cheque4" on page 1361 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PayeeIdentification <PyeeId>	[1..1]	±		1361

66.1.31.3.5.2 BankersDraftDetails <BkrsDrftDtls>

Presence: [1..1]

Definition: Settlement instructions for a payment by draft.

BankersDraftDetails <BkrsDrftDtls> contains the following elements (see "Cheque4" on page 1361 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PayeeIdentification <PyeeId>	[1..1]	±		1361

66.1.31.3.6 SavingsPlanPaymentInstrument <SvgsPlanPmtInstrm>

Presence: [0..1]

Definition: Instrument that has or represents monetary value and is used to process a payment instruction for a savings plan payment.

SavingsPlanPaymentInstrument <SvgsPlanPmtInstrm> contains one of the following **PaymentInstrument24Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]	±		2088
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2089
Or	Cheque <Chq>	[1..1]	Indicator		2089
Or}	BankersDraft <BkrsDrft>	[1..1]	Indicator		2090

66.1.31.3.6.1 PaymentCardDetails <PmtCardDtls>

Presence: [1..1]

Definition: Settlement instructions for a payment by card.

PaymentCardDetails <PmtCardDtls> contains the following elements (see "PaymentCard29" on page 2090 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		2091
	Number <Nb>	[1..1]	Text		2091
	HolderName <HldrNm>	[1..1]	Text		2091
	StartDate <StartDt>	[0..1]	YearMonth		2091
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2092
	CardIssuerName <CardIssrNm>	[0..1]	Text		2092
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2092
	SecurityCode <SctyCd>	[0..1]	Text		2092
	SequenceNumber <SeqNb>	[0..1]	Text		2092

66.1.31.3.6.2 DirectDebitDetails <DrctDbtDtls>

Presence: [1..1]

Definition: Settlement instructions for a payment by direct debit.

DirectDebitDetails <DrctDbtDtls> contains the following elements (see "DirectDebitMandate7" on page 1390 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DebtorAccount <DbtrAcct>	[1..1]	±		1390
	Debtor <Dbtr>	[0..1]	±		1390
	DebtorTaxIdentificationNumber <DbtrTaxIdNb>	[0..1]	Text		1391
	DebtorNationalRegistrationNumber <DbtrNtlRegnNb>	[0..1]	Text		1391
	Creditor <Cdtr>	[0..1]	±		1391
	DebtorAgent <DbtrAgt>	[1..1]	±		1391
	DebtorAgentBranch <DbtrAgtBrnch>	[0..1]	±		1392
	CreditorAgent <CdtrAgt>	[0..1]	±		1392
	CreditorAgentBranch <CdtrAgtBrnch>	[0..1]	±		1392
	RegistrationIdentification <RegnId>	[0..1]	Text		1393
	MandateIdentification <MndtId>	[0..1]	Text		1393

66.1.31.3.6.3 Cheque <Chq>

Presence: [1..1]

Definition: Indicates whether the payment is done via cheque.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.31.3.6.4 BankersDraft <BkrsDrft>

Presence: [1..1]

Definition: Indicates whether the payment is done via draft.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.31.3.7 InterestPaymentInstrument <IntrstPmtInstrm>

Presence: [0..1]

Definition: Instrument that has or represents monetary value and is used to process a payment instruction for an interest payment.

InterestPaymentInstrument <IntrstPmtInstrm> contains one of the following **PaymentInstrument19Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2090
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2090

66.1.31.3.7.1 ChequeDetails <ChqDtls>

Presence: [1..1]

Definition: Settlement instructions for a payment by cheque.

ChequeDetails <ChqDtls> contains the following elements (see "[Cheque4](#)" on page 1361 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PayeeIdentification <PyeeId>	[1..1]	±		1361

66.1.31.3.7.2 BankersDraftDetails <BkrsDrftDtls>

Presence: [1..1]

Definition: Settlement instructions for a payment by draft.

BankersDraftDetails <BkrsDrftDtls> contains the following elements (see "[Cheque4](#)" on page 1361 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PayeeIdentification <PyeeId>	[1..1]	±		1361

66.1.31.4 PaymentCard29

Definition: Electronic money product that provides the cardholder with a portable and specialised computer device, which typically contains a microprocessor.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		2091
	Number <Nb>	[1..1]	Text		2091
	HolderName <HldrNm>	[1..1]	Text		2091
	StartDate <StartDt>	[0..1]	YearMonth		2091
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2092
	CardIssuerName <CardIssrNm>	[0..1]	Text		2092
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2092
	SecurityCode <SctyCd>	[0..1]	Text		2092
	SequenceNumber <SeqNb>	[0..1]	Text		2092

66.1.31.4.1 Type <Tp>

Presence: [1..1]

Definition: Type of card, for example, credit card.

Datatype: "CardType1Code" on page 2558

CodeName	Name	Definition
CRDT	CreditCard	Card where the holder has been granted a line of credit. The card enables the holder to make purchases and withdraw cash up to a pre-arranged ceiling.
DBIT	DebitCard	Card enabling the holder to have its purchases directly charged to its account. The card may also combine other functions, for example, cash card or cheque guaranteed card.

66.1.31.4.2 Number <Nb>

Presence: [1..1]

Definition: Number embossed on a card that links the card to the account owner and account servicer.

Datatype: "Max35Text" on page 2683

66.1.31.4.3 HolderName <HldrNm>

Presence: [1..1]

Definition: Party entitled by a card issuer to use a card.

Datatype: "Max35Text" on page 2683

66.1.31.4.4 StartDate <StartDt>

Presence: [0..1]

Definition: Year and month the card is available for use.

Datatype: "ISOYearMonth" on page 2686

66.1.31.4.5 ExpiryDate <XpryDt>*Presence:* [1..1]*Definition:* Year and month the card expires.*Datatype:* "ISOYearMonth" on page 2686**66.1.31.4.6 CardIssuerName <CardIssrNm>***Presence:* [0..1]*Definition:* Party that issues a payment card, as expressed by a numeric identification of the card issuer according to ISO/IEC 7812-1.*Datatype:* "Max35Text" on page 2683**66.1.31.4.7 CardIssuerIdentification <CardIssrId>***Presence:* [0..1]*Definition:* Party that issues a payment card, as expressed by a numeric identification of the card issuer according to ISO/IEC 7812-1.**CardIssuerIdentification <CardIssrId>** contains one of the following elements (see "PartyIdentification125Choice" on page 2020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2020
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2020
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2020

66.1.31.4.8 SecurityCode <SctyCd>*Presence:* [0..1]*Definition:* Security code written on, or in, the card.*Datatype:* "Max35Text" on page 2683**66.1.31.4.9 SequenceNumber <SeqNb>***Presence:* [0..1]*Definition:* Number distinguishing two or more payment cards with the same account number.*Datatype:* "Max3Text" on page 2684**66.1.31.5 PaymentInstrument14***Definition:* Payment parties for the transfer of cash from the debtor to the creditor.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	Text		2094
	CreditTransferDetails <CdtTrfDtls>	[0..1]			2094
	Debtor <Dbtr>	[0..1]	±		2097
	DebtorAccount <DbtrAcct>	[0..1]			2098
	Name <Nm>	[0..1]	Text		2098
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2098
	Other <Othr>	[0..1]	±		2098
	DebtorAgent <DbtrAgt>	[0..1]			2099
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2099
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2099
	NameAndAddress <NmAndAdr>	[0..1]	±		2100
	LEI <LEI>	[0..1]	IdentifierSet		2100
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2101
	BranchIdentification <BrnchId>	[0..1]	±		2101
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]			2101
	Name <Nm>	[0..1]	Text		2101
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2101
	Other <Othr>	[0..1]	±		2102
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]			2102
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2102
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2103
	NameAndAddress <NmAndAdr>	[0..1]	±		2104
	LEI <LEI>	[0..1]	IdentifierSet		2104
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2104
	BranchIdentification <BrnchId>	[0..1]	±		2104
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]			2104
	Name <Nm>	[0..1]	Text		2105
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2105
	Other <Othr>	[0..1]	±		2105
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]			2106
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2106

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2106
	NameAndAddress <NmAndAdr>	[0..1]	±		2107
	LEI <LEI>	[0..1]	IdentifierSet		2107
	ProprietaryIdentification <Prtryld>	[0..1]	Text		2108
	BranchIdentification <Brnchld>	[0..1]	±		2108
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]			2108
	Name <Nm>	[0..1]	Text		2108
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2108
	Other <Othr>	[0..1]	±		2109
	CreditorAgent <CdtrAgt>	[1..1]			2109
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2109
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2110
	NameAndAddress <NmAndAdr>	[0..1]	±		2110
	LEI <LEI>	[0..1]	IdentifierSet		2111
	ProprietaryIdentification <Prtryld>	[0..1]	Text		2111
	BranchIdentification <Brnchld>	[0..1]	±		2111
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]			2111
	Name <Nm>	[0..1]	Text		2112
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2112
	Other <Othr>	[0..1]	±		2112
	Creditor <Cdtr>	[0..1]	±		2112
	CreditorAccount <CdtrAcct>	[1..1]			2113
	Name <Nm>	[0..1]	Text		2113
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2113
	Other <Othr>	[0..1]	±		2113

66.1.31.5.1 Reference <Ref>

Presence: [0..1]

Definition: Information supplied to enable the matching of an entry with the items that the transfer is intended to settle, such as commercial invoices in an accounts' receivable system.

Datatype: "Max35Text" on page 2683

66.1.31.5.2 CreditTransferDetails <CdtTrfDtls>

Presence: [0..1]

Definition: Payment instrument between a debtor and a creditor that flows through one or more financial institutions or systems.

CreditTransferDetails <CdtTrfDtls> contains the following **CreditTransfer9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Debtor <Dbtr>	[0..1]	±		2097
	DebtorAccount <DbtrAcct>	[0..1]			2098
	Name <Nm>	[0..1]	Text		2098
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2098
	Other <Othr>	[0..1]	±		2098
	DebtorAgent <DbtrAgt>	[0..1]			2099
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2099
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2099
	NameAndAddress <NmAndAdr>	[0..1]	±		2100
	LEI <LEI>	[0..1]	IdentifierSet		2100
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2101
	BranchIdentification <BrnchId>	[0..1]	±		2101
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]			2101
	Name <Nm>	[0..1]	Text		2101
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2101
	Other <Othr>	[0..1]	±		2102
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]			2102
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2102
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2103
	NameAndAddress <NmAndAdr>	[0..1]	±		2104
	LEI <LEI>	[0..1]	IdentifierSet		2104
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2104
	BranchIdentification <BrnchId>	[0..1]	±		2104
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]			2104
	Name <Nm>	[0..1]	Text		2105
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2105
	Other <Othr>	[0..1]	±		2105
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]			2106
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2106
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2106
	NameAndAddress <NmAndAdr>	[0..1]	±		2107

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LEI <LEI>	[0..1]	IdentifierSet		2107
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2108
	BranchIdentification <BrnchId>	[0..1]	±		2108
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]			2108
	Name <Nm>	[0..1]	Text		2108
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2108
	Other <Othr>	[0..1]	±		2109
	CreditorAgent <CdtrAgt>	[1..1]			2109
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2109
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2110
	NameAndAddress <NmAndAdr>	[0..1]	±		2110
	LEI <LEI>	[0..1]	IdentifierSet		2111
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2111
	BranchIdentification <BrnchId>	[0..1]	±		2111
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]			2111
	Name <Nm>	[0..1]	Text		2112
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2112
	Other <Othr>	[0..1]	±		2112
	Creditor <Cdtr>	[0..1]	±		2112
	CreditorAccount <CdtrAcct>	[1..1]			2113
	Name <Nm>	[0..1]	Text		2113
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2113
	Other <Othr>	[0..1]	±		2113

66.1.31.5.2.1 Debtor <Dbtr>

Presence: [0..1]

Definition: Party that owes an amount of money to the (ultimate) creditor. In the context of the payment model, the debtor is also the debit account owner.

Debtor <Dbtr> contains the following elements (see "[PartyIdentification132](#)" on page 2004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2004
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2004
	NameAndAddress <NmAndAdr>	[0..1]	±		2005
	ProprietaryIdentification <PrtryId>	[0..1]	±		2006
	LEI <LEI>	[0..1]	IdentifierSet		2006

66.1.31.5.2.2 DebtorAccount <DbtrAcct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the debtor to which a debit entry will be made as a result of the transaction.

DebtorAccount <DbtrAcct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2098
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2098
	Other <Othr>	[0..1]	±		2098

66.1.31.5.2.2.1 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "[Max35Text](#)" on page 2683

66.1.31.5.2.2.2 IBAN <IBAN>

Presence: [0..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: [C16 "IBAN"](#)

Datatype: "[IBAN2007Identifier](#)" on page 2674

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

66.1.31.5.2.2.3 Other <Othr>

Presence: [0..1]

Definition: Unique identification of the account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "GenericAccountIdentification1" on page 1211 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1212
	SchemeName <SchmeNm>	[0..1]			1212
{Or	Code <Cd>	[1..1]	CodeSet		1212
Or}	Proprietary <Prtry>	[1..1]	Text		1212
	Issuer <Issr>	[0..1]	Text		1212

66.1.31.5.2.3 DebtorAgent <DbtrAgt>

Presence: [0..1]

Definition: Financial institution servicing the account for the debtor.

DebtorAgent <DbtrAgt> contains the following **FinancialInstitutionIdentification16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2099
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2099
	NameAndAddress <NmAndAdr>	[0..1]	±		2100
	LEI <LEI>	[0..1]	IdentifierSet		2100
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2101
	BranchIdentification <BrnchId>	[0..1]	±		2101

66.1.31.5.2.3.1 BICFI <BICFI>

Presence: [0..1]

Definition: Identification of the financial institution expressed as a BIC.

Impacted by: C5 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 2670

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

66.1.31.5.2.3.2 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [0..1]

Definition: Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentification4Choice" on page 1366 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1366
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1367
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1367
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1367
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1367
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1367
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1367
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1367
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1368
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1368
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1368
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1368
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1368
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1368
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1368
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1369
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1369
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1369
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1369

66.1.31.5.2.3.3 NameAndAddress <NmAndAdr>

Presence: [0..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following elements (see "NameAndAddress5" on page 2206 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2206
	Address <Adr>	[0..1]	±		2207

66.1.31.5.2.3.4 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "LEIIdentifier" on page 2676

66.1.31.5.2.3.5 ProprietaryIdentification <Prtryld>

Presence: [0..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

Datatype: "Max35Text" on page 2683

66.1.31.5.2.3.6 BranchIdentification <Brnchld>

Presence: [0..1]

Definition: Identification of a specific branch of the financial institution.

BranchIdentification <Brnchld> contains the following elements (see "BranchData2" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1399
	Name <Nm>	[0..1]	Text		1400
	PostalAddress <PstlAdr>	[0..1]	±		1400

66.1.31.5.2.4 DebtorAgentAccount <DbtrAgtAcct>

Presence: [0..1]

Definition: Identifies the account of the debtor's agent.

DebtorAgentAccount <DbtrAgtAcct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2101
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2101
	Other <Othr>	[0..1]	±		2102

66.1.31.5.2.4.1 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

66.1.31.5.2.4.2 IBAN <IBAN>

Presence: [0..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and

content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C16 "IBAN"

Datatype: "IBAN2007Identifier" on page 2674

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

66.1.31.5.2.4.3 Other <Othr>

Presence: [0..1]

Definition: Unique identification of the account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "[GenericAccountIdentification1](#)" on page 1211 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1212
	SchemeName <SchmeNm>	[0..1]			1212
{Or	Code <Cd>	[1..1]	CodeSet		1212
Or}	Proprietary <Prtry>	[1..1]	Text		1212
	Issuer <Issr>	[0..1]	Text		1212

66.1.31.5.2.5 IntermediaryAgent1 <IntrmyAgt1>

Presence: [0..1]

Definition: Agent between the debtor's agent and the creditor's agent.

IntermediaryAgent1 <IntrmyAgt1> contains the following **FinancialInstitutionIdentification16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2102
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2103
	NameAndAddress <NmAndAdr>	[0..1]	±		2104
	LEI <LEI>	[0..1]	IdentifierSet		2104
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2104
	BranchIdentification <BrnchId>	[0..1]	±		2104

66.1.31.5.2.5.1 BICFI <BICFI>

Presence: [0..1]

Definition: Identification of the financial institution expressed as a BIC.

Impacted by: C5 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 2670

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

66.1.31.5.2.5.2 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [0..1]

Definition: Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentification4Choice" on page 1366 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1366
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1367
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1367
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1367
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1367
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1367
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1367
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1367
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1368
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1368
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1368
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1368
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1368
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1368
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1368
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1369
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1369
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1369
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1369

66.1.31.5.2.5.3 NameAndAddress <NmAndAdr>*Presence:* [0..1]*Definition:* Name and address of the party.**NameAndAddress <NmAndAdr>** contains the following elements (see "[NameAndAddress5](#)" on page 2206 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2206
	Address <Adr>	[0..1]	±		2207

66.1.31.5.2.5.4 LEI <LEI>*Presence:* [0..1]*Definition:* Legal entity identification as an alternate identification for the party.*Datatype:* "[LEIIdentifier](#)" on page 2676**66.1.31.5.2.5.5 ProprietaryIdentification <PrtryId>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.*Datatype:* "[Max35Text](#)" on page 2683**66.1.31.5.2.5.6 BranchIdentification <BrnchId>***Presence:* [0..1]*Definition:* Identification of a specific branch of the financial institution.**BranchIdentification <BrnchId>** contains the following elements (see "[BranchData2](#)" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1399
	Name <Nm>	[0..1]	Text		1400
	PostalAddress <PstlAdr>	[0..1]	±		1400

66.1.31.5.2.6 IntermediaryAgent1Account <IntrmyAgt1Acct>*Presence:* [0..1]*Definition:* Unambiguous identification of the account of the intermediary agent 1 at its servicing agent in the payment chain.

IntermediaryAgent1Account <IntrmyAgt1Acct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2105
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2105
	Other <Othr>	[0..1]	±		2105

66.1.31.5.2.6.1 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

66.1.31.5.2.6.2 IBAN <IBAN>

Presence: [0..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C16 "IBAN"

Datatype: "IBAN2007Identifier" on page 2674

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

66.1.31.5.2.6.3 Other <Othr>

Presence: [0..1]

Definition: Unique identification of the account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "[GenericAccountIdentification1](#)" on page 1211 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1212
	SchemeName <SchmeNm>	[0..1]			1212
{Or	Code <Cd>	[1..1]	CodeSet		1212
Or}	Proprietary <Prtry>	[1..1]	Text		1212
	Issuer <Issr>	[0..1]	Text		1212

66.1.31.5.2.7 IntermediaryAgent2 <IntrmyAgt2>*Presence:* [0..1]*Definition:* Agent between the debtor's agent and the creditor's agent.**IntermediaryAgent2 <IntrmyAgt2>** contains the following **FinancialInstitutionIdentification16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2106
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2106
	NameAndAddress <NmAndAdr>	[0..1]	±		2107
	LEI <LEI>	[0..1]	IdentifierSet		2107
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2108
	BranchIdentification <BrnchId>	[0..1]	±		2108

66.1.31.5.2.7.1 BICFI <BICFI>*Presence:* [0..1]*Definition:* Identification of the financial institution expressed as a BIC.*Impacted by:* C5 "BICFI"*Datatype:* "BICFIDec2014Identifier" on page 2670**Constraints**

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

66.1.31.5.2.7.2 ClearingSystemMemberIdentification <ClrSysMmbld>*Presence:* [0..1]*Definition:* Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentification4Choice" on page 1366 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1366
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1367
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1367
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1367
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1367
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1367
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1367
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1367
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1368
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1368
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1368
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1368
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1368
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1368
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1368
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1369
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1369
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1369
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1369

66.1.31.5.2.7.3 NameAndAddress <NmAndAdr>

Presence: [0..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following elements (see "NameAndAddress5" on page 2206 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2206
	Address <Adr>	[0..1]	±		2207

66.1.31.5.2.7.4 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "LEIdentifier" on page 2676

66.1.31.5.2.7.5 ProprietaryIdentification <PrtryId>

Presence: [0..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

Datatype: "Max35Text" on page 2683

66.1.31.5.2.7.6 BranchIdentification <BrnchId>

Presence: [0..1]

Definition: Identification of a specific branch of the financial institution.

BranchIdentification <BrnchId> contains the following elements (see "BranchData2" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1399
	Name <Nm>	[0..1]	Text		1400
	PostalAddress <PstlAdr>	[0..1]	±		1400

66.1.31.5.2.8 IntermediaryAgent2Account <IntrmyAgt2Acct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the intermediary agent 2 at its servicing agent in the payment chain.

IntermediaryAgent2Account <IntrmyAgt2Acct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2108
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2108
	Other <Othr>	[0..1]	±		2109

66.1.31.5.2.8.1 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

66.1.31.5.2.8.2 IBAN <IBAN>

Presence: [0..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and

content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C16 "IBAN"

Datatype: "IBAN2007Identifier" on page 2674

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

66.1.31.5.2.8.3 Other <Othr>

Presence: [0..1]

Definition: Unique identification of the account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "[GenericAccountIdentification1](#)" on page 1211 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1212
	SchemeName <SchmeNm>	[0..1]			1212
{Or	Code <Cd>	[1..1]	CodeSet		1212
Or}	Proprietary <Prtry>	[1..1]	Text		1212
	Issuer <Issr>	[0..1]	Text		1212

66.1.31.5.2.9 CreditorAgent <CdtrAgt>

Presence: [1..1]

Definition: Financial institution servicing the account for the creditor.

CreditorAgent <CdtrAgt> contains the following **FinancialInstitutionIdentification16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C5	2109
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2110
	NameAndAddress <NmAndAdr>	[0..1]	±		2110
	LEI <LEI>	[0..1]	IdentifierSet		2111
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2111
	BranchIdentification <BrnchId>	[0..1]	±		2111

66.1.31.5.2.9.1 BICFI <BICFI>

Presence: [0..1]

Definition: Identification of the financial institution expressed as a BIC.

Impacted by: C5 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 2670

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

66.1.31.5.2.9.2 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [0..1]

Definition: Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentification4Choice" on page 1366 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1366
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1367
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1367
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1367
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1367
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1367
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1367
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1367
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1368
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1368
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1368
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1368
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1368
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1368
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1368
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1369
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1369
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1369
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1369

66.1.31.5.2.9.3 NameAndAddress <NmAndAdr>

Presence: [0..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following elements (see ["NameAndAddress5"](#) on page 2206 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2206
	Address <Adr>	[0..1]	±		2207

66.1.31.5.2.9.4 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: ["LEIIdentifier"](#) on page 2676

66.1.31.5.2.9.5 ProprietaryIdentification <Prtryld>

Presence: [0..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

Datatype: ["Max35Text"](#) on page 2683

66.1.31.5.2.9.6 BranchIdentification <Brnchld>

Presence: [0..1]

Definition: Identification of a specific branch of the financial institution.

BranchIdentification <Brnchld> contains the following elements (see ["BranchData2"](#) on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1399
	Name <Nm>	[0..1]	Text		1400
	PostalAddress <PstlAdr>	[0..1]	±		1400

66.1.31.5.2.10 CreditorAgentAccount <CdtrAgtAcct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the creditor agent at its servicing agent to which a credit entry will be made as a result of the payment transaction.

CreditorAgentAccount <CdtrAgtAcct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2112
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2112
	Other <Othr>	[0..1]	±		2112

66.1.31.5.2.10.1 Name <Nm>*Presence:* [0..1]*Definition:* Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.*Datatype:* "Max35Text" on page 2683**66.1.31.5.2.10.2 IBAN <IBAN>***Presence:* [0..1]*Definition:* International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.*Impacted by:* C16 "IBAN"*Datatype:* "IBAN2007Identifier" on page 2674**Constraints**

- IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

66.1.31.5.2.10.3 Other <Othr>*Presence:* [0..1]*Definition:* Unique identification of the account, as assigned by the account servicer, using an identification scheme.**Other <Othr>** contains the following elements (see "GenericAccountIdentification1" on page 1211 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1212
	SchemeName <SchmeNm>	[0..1]			1212
{Or	Code <Cd>	[1..1]	CodeSet		1212
Or}	Proprietary <Prtry>	[1..1]	Text		1212
	Issuer <Issr>	[0..1]	Text		1212

66.1.31.5.2.11 Creditor <Cdtr>*Presence:* [0..1]*Definition:* Party that receives an amount of money from the debtor. In the context of the payment model, the creditor is also the credit account owner.

Creditor <Cdtr> contains the following elements (see "PartyIdentification132" on page 2004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	2004
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2004
	NameAndAddress <NmAndAdr>	[0..1]	±		2005
	ProprietaryIdentification <PrtryId>	[0..1]	±		2006
	LEI <LEI>	[0..1]	IdentifierSet		2006

66.1.31.5.2.12 CreditorAccount <CdtrAcct>

Presence: [1..1]

Definition: Unambiguous identification of the account of the creditor to which a credit entry will be posted as a result of the payment transaction.

CreditorAccount <CdtrAcct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2113
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2113
	Other <Othr>	[0..1]	±		2113

66.1.31.5.2.12.1 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2683

66.1.31.5.2.12.2 IBAN <IBAN>

Presence: [0..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C16 "IBAN"

Datatype: "IBAN2007Identifier" on page 2674

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

66.1.31.5.2.12.3 Other <Othr>

Presence: [0..1]

Definition: Unique identification of the account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "GenericAccountIdentification1" on page 1211 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1212
	SchemeName <SchmeNm>	[0..1]			1212
{Or	Code <Cd>	[1..1]	CodeSet		1212
Or}	Proprietary <Prtry>	[1..1]	Text		1212
	Issuer <Issr>	[0..1]	Text		1212

66.1.31.6 PaymentInstrument16

Definition: Payment instrument for a type of order.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderType <OrdTp>	[1..1]			2114
{Or	Code <Cd>	[1..1]	CodeSet		2114
Or}	Proprietary <Prtry>	[1..1]	±		2115
	InstrumentType <InstrmTp>	[1..1]			2115
{Or	Code <Cd>	[1..1]	CodeSet		2115
Or}	Proprietary <Prtry>	[1..1]	±		2116
	AdditionalInformation <AddtlInf>	[0..*]	±		2116

66.1.31.6.1 OrderType <OrdTp>

Presence: [1..1]

Definition: Type of order to which the payment instrument applies.

OrderType <OrdTp> contains one of the following **FundOrderType5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2114
Or}	Proprietary <Prtry>	[1..1]	±		2115

66.1.31.6.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of the investment fund order expressed as a code.

Datatype: "FundOrderType10Code" on page 2582

CodeName	Name	Definition
SUBS	Subscription	Investment fund order is a subscription.
RDIV	ReinvestmentOfDividend	Investment fund order is a dividend reinvestment (only applicable to a subscription).
REDM	Redemption	Investment fund order is a redemption.
RGSV	RegularSavingsPlan	Investment fund order is a regular savings plan (only applicable to a subscription).
WIDP	Withdrawal	Investment fund order is for a withdrawal investment plan (only applicable to a redemption).

66.1.31.6.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of the investment fund order expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.31.6.2 InstrumentType <InstrmTp>

Presence: [1..1]

Definition: Payment instrument for the order type.

InstrumentType <InstrmTp> contains one of the following **FundPaymentType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2115
Or}	Proprietary <Prtry>	[1..1]	±		2116

66.1.31.6.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of payment instrument expressed as a code.

Datatype: "[FundPaymentType1Code](#)" on page 2583

CodeName	Name	Definition
DRAF	BankersDraft	Payment instrument is a bankers draft.
CACC	CashAccount	Payment instrument is a cash account.
CHEQ	Cheque	Payment instrument is a cheque.

CodeName	Name	Definition
CRDT	CreditTransfer	Payment instrument is a credit transfer.
DDEB	DirectDebit	Payment instrument is a direct debit.
CARD	PaymentCard	Payment instrument is a payment card.

66.1.31.6.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of payment instrument expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.31.6.3 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the payment.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.31.7 SourceOfCash1Choice

Definition: Choice of formats for the source of the cash.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2116
Or}	Proprietary <Prtry>	[1..1]	±		2117

66.1.31.7.1 Code <Cd>

Presence: [1..1]

Definition: Source of cash expressed as a code.

Datatype: "[SourceOfCash1Code](#)" on page 2643

CodeName	Name	Definition
ALMY	Alimony	Income as a result of alimony.

CodeName	Name	Definition
CASH	CashProceeds	Income is cash proceeds from customers.
COMP	Compensation	Income is from compensation received.
EMIN	EmployeeIncome	Employee income.
GIFT	Gift	Income was a cash gift.
INHE	Inheritance	Cash from an inheritance.
INLQ	InsurancePremiumLiquidation	Income from the liquidation of an insurance premium.
REST	RealEstateSale	Income from the sale of real estate.
REDM	Redemption	Redemption of an investment fund.
REPY	RetirementPolicy	Income from a retirement policy.
SEAQ	SaleAquisitionCorporation	Sale and acquisition of a corporation.
SALE	SaleOfGoods	Sale of goods.
SVGS	Savings	Income from a savings plan.
SELF	SelfEmploymentIncome	Income from self-employment.
WINS	Winnings	Income from a cash win.

66.1.31.7.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Source of cash expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.31.8 PaymentTransaction71

Definition: Payment processes required to transfer cash from the debtor to the creditor.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInOrOut <CshInOrOut>	[1..1]			2120
{Or	CashInPaymentInstrument <CshInPmtInstrm>	[1..1]			2123
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2125
	Type <Tp>	[1..1]	CodeSet		2125
	Number <Nb>	[1..1]	Text		2126
	HolderName <HldrNm>	[1..1]	Text		2126
	StartDate <StartDt>	[0..1]	YearMonth		2126
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2126
	CardIssuerName <CardIssrNm>	[0..1]	Text		2126
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2126
	SecurityCode <SctyCd>	[0..1]	Text		2127
	SequenceNumber <SeqNb>	[0..1]	Text		2127
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2127
	Reference <Ref>	[0..1]	Text		2127
	Debtor <Dbtr>	[0..1]	±		2127
	DebtorAccount <DbtrAcct>	[0..1]	±		2128
	DebtorAgent <DbtrAgt>	[0..1]	±		2128
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2128
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2128
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2129
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2129
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2129
	CreditorAgent <CdtrAgt>	[1..1]	±		2130
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2130
	Creditor <Cdtr>	[0..1]	±		2130
	CreditorAccount <CdtrAcct>	[1..1]	±		2130
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2131
Or	ChequeDetails <ChqDtls>	[1..1]			2131
	Number <Nb>	[0..1]	Text		2131
	PayeeIdentification <PyeeId>	[1..1]	±		2132
	DraweeIdentification <DrweeId>	[0..1]	±		2132

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DrawerIdentification <Drwrlid>	[0..1]	±		2132
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2132
	Number <Nb>	[0..1]	Text		2133
	PayeeIdentification <Pyeeld>	[1..1]	±		2133
	DraweeIdentification <Drweeld>	[0..1]	±		2133
	DrawerIdentification <Drwrlid>	[0..1]	±		2133
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2134
	AccountIdentification <AcctId>	[0..1]	Text		2134
	Type <Tp>	[0..1]	±		2134
Or}	CashOutPaymentInstrument <CshOutPmtInstrm>	[1..1]			2134
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2135
	Reference <Ref>	[0..1]	Text		2136
	Debtor <Dbtr>	[0..1]	±		2136
	DebtorAccount <DbtrAcct>	[0..1]	±		2136
	DebtorAgent <DbtrAgt>	[0..1]	±		2137
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2137
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2137
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2137
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2138
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2138
	CreditorAgent <CdtrAgt>	[1..1]	±		2138
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2138
	Creditor <Cdtr>	[0..1]	±		2139
	CreditorAccount <CdtrAcct>	[1..1]	±		2139
Or	ChequeDetails <ChqDtls>	[1..1]			2139
	Number <Nb>	[0..1]	Text		2140
	PayeeIdentification <Pyeeld>	[1..1]	±		2140
	DraweeIdentification <Drweeld>	[0..1]	±		2140
	DrawerIdentification <Drwrlid>	[0..1]	±		2140
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2141
	Number <Nb>	[0..1]	Text		2141

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PayeeIdentification <Pyeeld>	[1..1]	±		2141
	DraweeIdentification <Drweeld>	[0..1]	±		2141
	DrawerIdentification <Drwrld>	[0..1]	±		2142
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2142
	AccountIdentification <Acctld>	[0..1]	Text		2142
	Type <Tp>	[0..1]	±		2142

66.1.31.8.1 CashInOrOut <CshInOrOut>

Presence: [1..1]

Definition: Choice between cash-in or cash-out.

CashInOrOut <CshInOrOut> contains one of the following **CashInOrOut7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashInPaymentInstrument <CshInPmtInstrm>	[1..1]			2123
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2125
	Type <Tp>	[1..1]	CodeSet		2125
	Number <Nb>	[1..1]	Text		2126
	HolderName <HldrNm>	[1..1]	Text		2126
	StartDate <StartDt>	[0..1]	YearMonth		2126
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2126
	CardIssuerName <CardIssrNm>	[0..1]	Text		2126
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2126
	SecurityCode <SctyCd>	[0..1]	Text		2127
	SequenceNumber <SeqNb>	[0..1]	Text		2127
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2127
	Reference <Ref>	[0..1]	Text		2127
	Debtor <Dbtr>	[0..1]	±		2127
	DebtorAccount <DbtrAcct>	[0..1]	±		2128
	DebtorAgent <DbtrAgt>	[0..1]	±		2128
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2128
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2128
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2129
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2129
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2129
	CreditorAgent <CdtrAgt>	[1..1]	±		2130
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2130
	Creditor <Cdtr>	[0..1]	±		2130
	CreditorAccount <CdtrAcct>	[1..1]	±		2130
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2131
Or	ChequeDetails <ChqDtls>	[1..1]			2131
	Number <Nb>	[0..1]	Text		2131
	PayeeIdentification <Pyeeld>	[1..1]	±		2132
	DraweeIdentification <Drweeld>	[0..1]	±		2132
	DrawerIdentification <Drwrld>	[0..1]	±		2132

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2132
	Number <Nb>	[0..1]	Text		2133
	PayeeIdentification <PyeeId>	[1..1]	±		2133
	DraweeIdentification <DrweeId>	[0..1]	±		2133
	DrawerIdentification <DrwrId>	[0..1]	±		2133
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2134
	AccountIdentification <AcctId>	[0..1]	Text		2134
	Type <Tp>	[0..1]	±		2134
Or}	CashOutPaymentInstrument <CshOutPmtInstrm>	[1..1]			2134
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2135
	Reference <Ref>	[0..1]	Text		2136
	Debtor <Dbtr>	[0..1]	±		2136
	DebtorAccount <DbtrAcct>	[0..1]	±		2136
	DebtorAgent <DbtrAgt>	[0..1]	±		2137
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2137
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2137
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2137
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2138
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2138
	CreditorAgent <CdtrAgt>	[1..1]	±		2138
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2138
	Creditor <Cdtr>	[0..1]	±		2139
	CreditorAccount <CdtrAcct>	[1..1]	±		2139
Or	ChequeDetails <ChqDtls>	[1..1]			2139
	Number <Nb>	[0..1]	Text		2140
	PayeeIdentification <PyeeId>	[1..1]	±		2140
	DraweeIdentification <DrweeId>	[0..1]	±		2140
	DrawerIdentification <DrwrId>	[0..1]	±		2140
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2141
	Number <Nb>	[0..1]	Text		2141
	PayeeIdentification <PyeeId>	[1..1]	±		2141

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Draweeldentification <Drweeld>	[0..1]	±		2141
	DrawerIdentification <Drwrlid>	[0..1]	±		2142
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2142
	AccountIdentification <Acctld>	[0..1]	Text		2142
	Type <Tp>	[0..1]	±		2142

66.1.31.8.1.1 CashInPaymentInstrument <CshInPmtInstrm>

Presence: [1..1]

Definition: Payment instrument for the cash-in flow.

CashInPaymentInstrument <CshInPmtInstrm> contains one of the following **PaymentInstrument20Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2125
	Type <Tp>	[1..1]	CodeSet		2125
	Number <Nb>	[1..1]	Text		2126
	HolderName <HldrNm>	[1..1]	Text		2126
	StartDate <StartDt>	[0..1]	YearMonth		2126
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2126
	CardIssuerName <CardIssrNm>	[0..1]	Text		2126
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2126
	SecurityCode <SctyCd>	[0..1]	Text		2127
	SequenceNumber <SeqNb>	[0..1]	Text		2127
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2127
	Reference <Ref>	[0..1]	Text		2127
	Debtor <Dbtr>	[0..1]	±		2127
	DebtorAccount <DbtrAcct>	[0..1]	±		2128
	DebtorAgent <DbtrAgt>	[0..1]	±		2128
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2128
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2128
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2129
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2129
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2129
	CreditorAgent <CdtrAgt>	[1..1]	±		2130
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2130
	Creditor <Cdtr>	[0..1]	±		2130
	CreditorAccount <CdtrAcct>	[1..1]	±		2130
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2131
Or	ChequeDetails <ChqDtls>	[1..1]			2131
	Number <Nb>	[0..1]	Text		2131
	PayeeIdentification <PyeeId>	[1..1]	±		2132
	DraweeIdentification <DrweeId>	[0..1]	±		2132
	DrawerIdentification <DrwrId>	[0..1]	±		2132

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2132
	Number <Nb>	[0..1]	Text		2133
	PayeeIdentification <PyeeId>	[1..1]	±		2133
	DraweeIdentification <DrweeId>	[0..1]	±		2133
	DrawerIdentification <DrwrId>	[0..1]	±		2133
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2134
	AccountIdentification <AcctId>	[0..1]	Text		2134
	Type <Tp>	[0..1]	±		2134

66.1.31.8.1.1.1 PaymentCardDetails <PmtCardDtls>

Presence: [1..1]

Definition: Electronic money product that provides the cardholder with a portable and specialised computer device, which typically contains a microprocessor.

PaymentCardDetails <PmtCardDtls> contains the following **PaymentCard25** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		2125
	Number <Nb>	[1..1]	Text		2126
	HolderName <HldrNm>	[1..1]	Text		2126
	StartDate <StartDt>	[0..1]	YearMonth		2126
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2126
	CardIssuerName <CardIssrNm>	[0..1]	Text		2126
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2126
	SecurityCode <SctyCd>	[0..1]	Text		2127
	SequenceNumber <SeqNb>	[0..1]	Text		2127

66.1.31.8.1.1.1.1 Type <Tp>

Presence: [1..1]

Definition: Type of card, for example, credit card.

Datatype: "CardType1Code" on page 2558

CodeName	Name	Definition
CRDT	CreditCard	Card where the holder has been granted a line of credit. The card enables the holder to make purchases and withdraw cash up to a pre-arranged ceiling.

CodeName	Name	Definition
DBIT	DebitCard	Card enabling the holder to have its purchases directly charged to its account. The card may also combine other functions, for example, cash card or cheque guaranteed card.

66.1.31.8.1.1.1.2 Number <Nb>*Presence:* [1..1]*Definition:* Number embossed on a card that links the card to the account owner and account servicer.*Datatype:* "Max35Text" on page 2683**66.1.31.8.1.1.1.3 HolderName <HldrNm>***Presence:* [1..1]*Definition:* Party entitled by a card issuer to use a card.*Datatype:* "Max35Text" on page 2683**66.1.31.8.1.1.1.4 StartDate <StartDt>***Presence:* [0..1]*Definition:* Year and month the card is available for use.*Datatype:* "ISOYearMonth" on page 2686**66.1.31.8.1.1.1.5 ExpiryDate <XpryDt>***Presence:* [1..1]*Definition:* Year and month the card expires.*Datatype:* "ISOYearMonth" on page 2686**66.1.31.8.1.1.1.6 CardIssuerName <CardIssrNm>***Presence:* [0..1]*Definition:* Party that issues a payment card, as expressed by a numeric identification of the card issuer according to ISO/IEC 7812-1.*Datatype:* "Max35Text" on page 2683**66.1.31.8.1.1.1.7 CardIssuerIdentification <CardIssrId>***Presence:* [0..1]*Definition:* Party that issues a payment card, as expressed by a numeric identification of the card issuer according to ISO/IEC 7812-1.**CardIssuerIdentification <CardIssrId>** contains the following elements (see "PartyIdentification113" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.8.1.1.1.8 SecurityCode <SctyCd>*Presence:* [0..1]*Definition:* Security code written on, or in, the card.*Datatype:* "Max35Text" on page 2683**66.1.31.8.1.1.1.9 SequenceNumber <SeqNb>***Presence:* [0..1]*Definition:* Number distinguishing two or more payment cards with the same account number.*Datatype:* "Max3Text" on page 2684**66.1.31.8.1.1.2 CreditTransferDetails <CdtTrfDtls>***Presence:* [1..1]*Definition:* Payment instrument between a debtor and a creditor, which flows through one or more financial institutions or systems.**CreditTransferDetails <CdtTrfDtls>** contains the following **CreditTransfer8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	Text		2127
	Debtor <Dbtr>	[0..1]	±		2127
	DebtorAccount <DbtrAcct>	[0..1]	±		2128
	DebtorAgent <DbtrAgt>	[0..1]	±		2128
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2128
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2128
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2129
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2129
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2129
	CreditorAgent <CdtrAgt>	[1..1]	±		2130
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2130
	Creditor <Cdtr>	[0..1]	±		2130
	CreditorAccount <CdtrAcct>	[1..1]	±		2130

66.1.31.8.1.1.2.1 Reference <Ref>*Presence:* [0..1]*Definition:* Information supplied to enable the matching of an entry with the items that the transfer is intended to settle, such as commercial invoices in an accounts' receivable system.*Datatype:* "Max35Text" on page 2683**66.1.31.8.1.1.2.2 Debtor <Dbtr>***Presence:* [0..1]

Definition: Party that owes an amount of money to the (ultimate) creditor. In the context of the payment model, the debtor is also the debit account owner.

Debtor <Dbtr> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.8.1.1.2.3 DebtorAccount <DbtrAcct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the debtor to which a debit entry will be made as a result of the transaction.

DebtorAccount <DbtrAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.8.1.1.2.4 DebtorAgent <DbtrAgt>

Presence: [0..1]

Definition: Financial institution servicing an account for the debtor.

DebtorAgent <DbtrAgt> contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.8.1.1.2.5 DebtorAgentAccount <DbtrAgtAcct>

Presence: [0..1]

Definition: Identifies the account of the debtor's agent.

DebtorAgentAccount <DbtrAgtAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.8.1.1.2.6 IntermediaryAgent1 <IntrmyAgt1>

Presence: [0..1]

Definition: Agent between the debtor's agent and the creditor's agent.

IntermediaryAgent1 <IntrmyAgt1> contains the following elements (see "FinancialInstitutionIdentification10" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.8.1.1.2.7 IntermediaryAgent1Account <IntrmyAgt1Acct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the intermediary agent 1 at its servicing agent in the payment chain.

IntermediaryAgent1Account <IntrmyAgt1Acct> contains the following elements (see "AccountIdentificationAndName5" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.8.1.1.2.8 IntermediaryAgent2 <IntrmyAgt2>

Presence: [0..1]

Definition: Agent between the debtor's agent and the creditor's agent.

IntermediaryAgent2 <IntrmyAgt2> contains the following elements (see "FinancialInstitutionIdentification10" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.8.1.1.2.9 IntermediaryAgent2Account <IntrmyAgt2Acct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the intermediary agent 2 at its servicing agent in the payment chain.

IntermediaryAgent2Account <IntrmyAgt2Acct> contains the following elements (see "AccountIdentificationAndName5" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.8.1.1.2.10 CreditorAgent <CdtrAgt>*Presence:* [1..1]*Definition:* Financial institution servicing an account for the creditor.**CreditorAgent <CdtrAgt>** contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.8.1.1.2.11 CreditorAgentAccount <CdtrAgtAcct>*Presence:* [0..1]*Definition:* Unambiguous identification of the account of the creditor agent at its servicing agent to which a credit entry will be made as a result of the payment transaction.**CreditorAgentAccount <CdtrAgtAcct>** contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.8.1.1.2.12 Creditor <Cdtr>*Presence:* [0..1]*Definition:* Party that receives an amount of money from the debtor. In the context of the payment model, the creditor is also the credit account owner.**Creditor <Cdtr>** contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.8.1.1.2.13 CreditorAccount <CdtrAcct>*Presence:* [1..1]*Definition:* Unambiguous identification of the account of the creditor to which a credit entry will be posted as a result of the payment transaction.**CreditorAccount <CdtrAcct>** contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.8.1.1.3 DirectDebitDetails <DrctDbtDtls>*Presence:* [1..1]

Definition: Instruction, initiated by the creditor, to debit a debtor's account in favour of the creditor. A direct debit can be pre-authorised or not. In most countries, authorisation is in the form of a mandate between the debtor and creditor.

DirectDebitDetails <DrctDbtDtls> contains the following elements (see "[DirectDebitMandate6](#)" on page 1387 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DebtorAccount <DbtrAcct>	[1..1]	±		1387
	Debtor <Dbtr>	[0..1]	±		1387
	DebtorTaxIdentificationNumber <DbtrTaxIdNb>	[0..1]	Text		1388
	DebtorNationalRegistrationNumber <DbtrNtlRegnNb>	[0..1]	Text		1388
	Creditor <Cdtr>	[0..1]	±		1388
	DebtorAgent <DbtrAgt>	[1..1]	±		1388
	DebtorAgentBranch <DbtrAgtBrnch>	[0..1]	±		1388
	CreditorAgent <CdtrAgt>	[0..1]	±		1389
	CreditorAgentBranch <CdtrAgtBrnch>	[0..1]	±		1389
	RegistrationIdentification <RegnId>	[0..1]	Text		1389
	MandatIdentification <MndtId>	[0..1]	Text		1390

66.1.31.8.1.1.4 ChequeDetails <ChqDtls>*Presence:* [1..1]

Definition: Written order on which instructions are given to an account holder (a financial institution) to pay a stated sum to a named recipient (the payee).

ChequeDetails <ChqDtls> contains the following **Cheque9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Number <Nb>	[0..1]	Text		2131
	PayeeIdentification <Pyeeld>	[1..1]	±		2132
	DraweeIdentification <Drweeld>	[0..1]	±		2132
	DrawerIdentification <Drwrld>	[0..1]	±		2132

66.1.31.8.1.1.4.1 Number <Nb>*Presence:* [0..1]

Definition: Unique and unambiguous identifier for a cheque as assigned by the financial institution.

Datatype: "[Max35Text](#)" on page 2683

66.1.31.8.1.1.4.2 Payeeldidentification <Pyeeld>*Presence:* [1..1]*Definition:* Party to which a cheque is made payable.**Payeeldidentification <Pyeeld>** contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.8.1.1.4.3 Draweeldidentification <Drweeld>*Presence:* [0..1]*Definition:* Financial institution on which a cheque is drawn, that is, the financial institution that services the account of the entity that issued the cheque.**Draweeldidentification <Drweeld>** contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.8.1.1.4.4 Draweridentification <Drwrld>*Presence:* [0..1]*Definition:* Account owner that issues a cheque ordering the drawee bank to pay a specific amount, upon demand, to the payee.**Draweridentification <Drwrld>** contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.8.1.1.5 BankersDraftDetails <BkrsDrftDtls>*Presence:* [1..1]*Definition:* Cheque drawn by a bank on itself or its agent. A person who owes money to another buys the draft from a bank for cash and hands it to the creditor.

BankersDraftDetails <BkrsDrftDtls> contains the following **Cheque9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Number <Nb>	[0..1]	Text		2133
	PayeeIdentification <Pyeeld>	[1..1]	±		2133
	DraweeIdentification <Drweeld>	[0..1]	±		2133
	DrawerIdentification <Drwrlid>	[0..1]	±		2133

66.1.31.8.1.1.5.1 Number <Nb>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a cheque as assigned by the financial institution.

Datatype: "Max35Text" on page 2683

66.1.31.8.1.1.5.2 PayeeIdentification <Pyeeld>

Presence: [1..1]

Definition: Party to which a cheque is made payable.

PayeeIdentification <Pyeeld> contains the following elements (see "PartyIdentification113" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.8.1.1.5.3 DraweeIdentification <Drweeld>

Presence: [0..1]

Definition: Financial institution on which a cheque is drawn, that is, the financial institution that services the account of the entity that issued the cheque.

DraweeIdentification <Drweeld> contains the following elements (see "FinancialInstitutionIdentification10" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.8.1.1.5.4 DrawerIdentification <Drwrlid>

Presence: [0..1]

Definition: Account owner that issues a cheque ordering the drawee bank to pay a specific amount, upon demand, to the payee.

DrawerIdentification <Drwrld> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.8.1.1.6 CashAccountDetails <CshAcctDtls>

Presence: [1..1]

Definition: Part of the investment account to or from which cash entries are made.

Impacted by: [C1 "AccountElementRule"](#)

CashAccountDetails <CshAcctDtls> contains the following **InvestmentAccount60** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[0..1]	Text		2134
	Type <Tp>	[0..1]	±		2134

Constraints

- **AccountElementRule**

Either AccountIdentification or Type must be present. Both may be present.

66.1.31.8.1.1.6.1 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "[Max35Text](#)" on page 2683

66.1.31.8.1.1.6.2 Type <Tp>

Presence: [0..1]

Definition: Account type.

Type <Tp> contains one of the following elements (see "[InvestmentAccountType1Choice](#)" on page 1634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1634
Or}	Proprietary <Prtry>	[1..1]	±		1634

66.1.31.8.1.2 CashOutPaymentInstrument <CshOutPmtInstrm>

Presence: [1..1]

Definition: Payment instrument for the cash-out flow.

CashOutPaymentInstrument <CshOutPmtlnstrm> contains one of the following **PaymentInstrument21Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2135
	Reference <Ref>	[0..1]	Text		2136
	Debtor <Dbtr>	[0..1]	±		2136
	DebtorAccount <DbtrAcct>	[0..1]	±		2136
	DebtorAgent <DbtrAgt>	[0..1]	±		2137
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2137
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2137
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2137
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2138
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2138
	CreditorAgent <CdtrAgt>	[1..1]	±		2138
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2138
	Creditor <Cdtr>	[0..1]	±		2139
	CreditorAccount <CdtrAcct>	[1..1]	±		2139
Or	ChequeDetails <ChqDtls>	[1..1]			2139
	Number <Nb>	[0..1]	Text		2140
	PayeeIdentification <Pyeeld>	[1..1]	±		2140
	DraweeIdentification <Drweeld>	[0..1]	±		2140
	DrawerIdentification <Drwrlid>	[0..1]	±		2140
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2141
	Number <Nb>	[0..1]	Text		2141
	PayeeIdentification <Pyeeld>	[1..1]	±		2141
	DraweeIdentification <Drweeld>	[0..1]	±		2141
	DrawerIdentification <Drwrlid>	[0..1]	±		2142
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2142
	AccountIdentification <AcctId>	[0..1]	Text		2142
	Type <Tp>	[0..1]	±		2142

66.1.31.8.1.2.1 CreditTransferDetails <CdtTrfDtls>

Presence: [1..1]

Definition: Payment instrument between a debtor and a creditor, which flows through one or more financial institutions or systems.

CreditTransferDetails <CdtTrfDtls> contains the following **CreditTransfer8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	Text		2136
	Debtor <Dbtr>	[0..1]	±		2136
	DebtorAccount <DbtrAcct>	[0..1]	±		2136
	DebtorAgent <DbtrAgt>	[0..1]	±		2137
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2137
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2137
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2137
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2138
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2138
	CreditorAgent <CdtrAgt>	[1..1]	±		2138
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2138
	Creditor <Cdtr>	[0..1]	±		2139
	CreditorAccount <CdtrAcct>	[1..1]	±		2139

66.1.31.8.1.2.1.1 Reference <Ref>

Presence: [0..1]

Definition: Information supplied to enable the matching of an entry with the items that the transfer is intended to settle, such as commercial invoices in an accounts' receivable system.

Datatype: "Max35Text" on page 2683

66.1.31.8.1.2.1.2 Debtor <Dbtr>

Presence: [0..1]

Definition: Party that owes an amount of money to the (ultimate) creditor. In the context of the payment model, the debtor is also the debit account owner.

Debtor <Dbtr> contains the following elements (see "PartyIdentification113" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.8.1.2.1.3 DebtorAccount <DbtrAcct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the debtor to which a debit entry will be made as a result of the transaction.

DebtorAccount <DbtrAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.8.1.2.1.4 DebtorAgent <DbtrAgt>

Presence: [0..1]

Definition: Financial institution servicing an account for the debtor.

DebtorAgent <DbtrAgt> contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.8.1.2.1.5 DebtorAgentAccount <DbtrAgtAcct>

Presence: [0..1]

Definition: Identifies the account of the debtor's agent.

DebtorAgentAccount <DbtrAgtAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.8.1.2.1.6 IntermediaryAgent1 <IntrmyAgt1>

Presence: [0..1]

Definition: Agent between the debtor's agent and the creditor's agent.

IntermediaryAgent1 <IntrmyAgt1> contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.8.1.2.1.7 IntermediaryAgent1Account <IntrmyAgt1Acct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the intermediary agent 1 at its servicing agent in the payment chain.

IntermediaryAgent1Account <IntrmyAgt1Acct> contains the following elements (see ["AccountIdentificationAndName5"](#) on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.8.1.2.1.8 IntermediaryAgent2 <IntrmyAgt2>

Presence: [0..1]

Definition: Agent between the debtor's agent and the creditor's agent.

IntermediaryAgent2 <IntrmyAgt2> contains the following elements (see ["FinancialInstitutionIdentification10"](#) on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.8.1.2.1.9 IntermediaryAgent2Account <IntrmyAgt2Acct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the intermediary agent 2 at its servicing agent in the payment chain.

IntermediaryAgent2Account <IntrmyAgt2Acct> contains the following elements (see ["AccountIdentificationAndName5"](#) on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.8.1.2.1.10 CreditorAgent <CdtrAgt>

Presence: [1..1]

Definition: Financial institution servicing an account for the creditor.

CreditorAgent <CdtrAgt> contains the following elements (see ["FinancialInstitutionIdentification10"](#) on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.8.1.2.1.11 CreditorAgentAccount <CdtrAgtAcct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the creditor agent at its servicing agent to which a credit entry will be made as a result of the payment transaction.

CreditorAgentAccount <CdtrAgtAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.8.1.2.1.12 Creditor <Cdtr>

Presence: [0..1]

Definition: Party that receives an amount of money from the debtor. In the context of the payment model, the creditor is also the credit account owner.

Creditor <Cdtr> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.8.1.2.1.13 CreditorAccount <CdtrAcct>

Presence: [1..1]

Definition: Unambiguous identification of the account of the creditor to which a credit entry will be posted as a result of the payment transaction.

CreditorAccount <CdtrAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.8.1.2.2 ChequeDetails <ChqDtls>

Presence: [1..1]

Definition: Written order on which instructions are given to an account holder (a financial institution) to pay a stated sum to a named recipient (the payee).

ChequeDetails <ChqDtls> contains the following **Cheque9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Number <Nb>	[0..1]	Text		2140
	PayeeIdentification <Pyeeld>	[1..1]	±		2140
	DraweeIdentification <Drweeld>	[0..1]	±		2140
	DrawerIdentification <Drwrlid>	[0..1]	±		2140

66.1.31.8.1.2.2.1 Number <Nb>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a cheque as assigned by the financial institution.

Datatype: "Max35Text" on page 2683

66.1.31.8.1.2.2.2 PayeeIdentification <Pyeeld>

Presence: [1..1]

Definition: Party to which a cheque is made payable.

PayeeIdentification <Pyeeld> contains the following elements (see "PartyIdentification113" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.8.1.2.2.3 DraweeIdentification <Drweeld>

Presence: [0..1]

Definition: Financial institution on which a cheque is drawn, that is, the financial institution that services the account of the entity that issued the cheque.

DraweeIdentification <Drweeld> contains the following elements (see "FinancialInstitutionIdentification10" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.8.1.2.2.4 DrawerIdentification <Drwrlid>

Presence: [0..1]

Definition: Account owner that issues a cheque ordering the drawee bank to pay a specific amount, upon demand, to the payee.

DrawerIdentification <Drwrld> contains the following elements (see ["PartyIdentification113"](#) on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.8.1.2.3 BankersDraftDetails <BkrsDrftDtls>

Presence: [1..1]

Definition: Cheque drawn by a bank on itself or its agent. A person who owes money to another buys the draft from a bank for cash and hands it to the creditor.

BankersDraftDetails <BkrsDrftDtls> contains the following **Cheque9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Number <Nb>	[0..1]	Text		2141
	PayeeIdentification <PyeeId>	[1..1]	±		2141
	DraweeIdentification <DrweeId>	[0..1]	±		2141
	DrawerIdentification <Drwrld>	[0..1]	±		2142

66.1.31.8.1.2.3.1 Number <Nb>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a cheque as assigned by the financial institution.

Datatype: ["Max35Text"](#) on page 2683

66.1.31.8.1.2.3.2 PayeeIdentification <PyeeId>

Presence: [1..1]

Definition: Party to which a cheque is made payable.

PayeeIdentification <PyeeId> contains the following elements (see ["PartyIdentification113"](#) on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.8.1.2.3.3 DraweeIdentification <DrweeId>

Presence: [0..1]

Definition: Financial institution on which a cheque is drawn, that is, the financial institution that services the account of the entity that issued the cheque.

DraweeIdentification <Drweeld> contains the following elements (see "FinancialInstitutionIdentification10" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.8.1.2.3.4 DrawerIdentification <Drwrld>

Presence: [0..1]

Definition: Account owner that issues a cheque ordering the drawee bank to pay a specific amount, upon demand, to the payee.

DrawerIdentification <Drwrld> contains the following elements (see "PartyIdentification113" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.8.1.2.4 CashAccountDetails <CshAcctDtls>

Presence: [1..1]

Definition: Part of the investment account to or from which cash entries are made.

Impacted by: C1 "AccountElementRule"

CashAccountDetails <CshAcctDtls> contains the following **InvestmentAccount60** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[0..1]	Text		2142
	Type <Tp>	[0..1]	±		2142

Constraints

- **AccountElementRule**

Either AccountIdentification or Type must be present. Both may be present.

66.1.31.8.1.2.4.1 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2683

66.1.31.8.1.2.4.2 Type <Tp>

Presence: [0..1]

Definition: Account type.

Type <Tp> contains one of the following elements (see "InvestmentAccountType1Choice" on page 1634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1634
Or}	Proprietary <Prtry>	[1..1]	±		1634

66.1.31.9 PaymentTransaction70

Definition: Payment processes required to transfer cash from the debtor to the creditor.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2145
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2147
	Type <Tp>	[1..1]	CodeSet		2147
	Number <Nb>	[1..1]	Text		2148
	HolderName <HldrNm>	[1..1]	Text		2148
	StartDate <StartDt>	[0..1]	YearMonth		2148
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2148
	CardIssuerName <CardIssrNm>	[0..1]	Text		2148
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2148
	SecurityCode <SctyCd>	[0..1]	Text		2149
	SequenceNumber <SeqNb>	[0..1]	Text		2149
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2149
	Reference <Ref>	[0..1]	Text		2149
	Debtor <Dbtr>	[0..1]	±		2149
	DebtorAccount <DbtrAcct>	[0..1]	±		2150
	DebtorAgent <DbtrAgt>	[0..1]	±		2150
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2150
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2150
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2151
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2151
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2151
	CreditorAgent <CdtrAgt>	[1..1]	±		2152
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2152
	Creditor <Cdtr>	[0..1]	±		2152
	CreditorAccount <CdtrAcct>	[1..1]	±		2152
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2153
Or	ChequeDetails <ChqDtls>	[1..1]			2153
	Number <Nb>	[0..1]	Text		2153
	PayeeIdentification <PyeeId>	[1..1]	±		2154
	DraweeIdentification <DrweeId>	[0..1]	±		2154
	DrawerIdentification <DrwrId>	[0..1]	±		2154

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2154
	Number <Nb>	[0..1]	Text		2155
	PayeeIdentification <PyeeId>	[1..1]	±		2155
	DraweeIdentification <DrweeId>	[0..1]	±		2155
	DrawerIdentification <DrwrId>	[0..1]	±		2155
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2156
	AccountIdentification <AcctId>	[0..1]	Text		2156
	Type <Tp>	[0..1]	±		2156

66.1.31.9.1 PaymentInstrument <PmtInstrm>

Presence: [1..1]

Definition: Choice between types of payment instrument, for example, cheque, credit transfer, direct debit, investment account or payment card.

PaymentInstrument <PmtInstrm> contains one of the following **PaymentInstrument20Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2147
	Type <Tp>	[1..1]	CodeSet		2147
	Number <Nb>	[1..1]	Text		2148
	HolderName <HldrNm>	[1..1]	Text		2148
	StartDate <StartDt>	[0..1]	YearMonth		2148
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2148
	CardIssuerName <CardIssrNm>	[0..1]	Text		2148
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2148
	SecurityCode <SctyCd>	[0..1]	Text		2149
	SequenceNumber <SeqNb>	[0..1]	Text		2149
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2149
	Reference <Ref>	[0..1]	Text		2149
	Debtor <Dbtr>	[0..1]	±		2149
	DebtorAccount <DbtrAcct>	[0..1]	±		2150
	DebtorAgent <DbtrAgt>	[0..1]	±		2150
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2150
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2150
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2151
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2151
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2151
	CreditorAgent <CdtrAgt>	[1..1]	±		2152
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2152
	Creditor <Cdtr>	[0..1]	±		2152
	CreditorAccount <CdtrAcct>	[1..1]	±		2152
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2153
Or	ChequeDetails <ChqDtls>	[1..1]			2153
	Number <Nb>	[0..1]	Text		2153
	PayeeIdentification <PyeeId>	[1..1]	±		2154
	DraweeIdentification <DrweeId>	[0..1]	±		2154
	DrawerIdentification <DrwrId>	[0..1]	±		2154

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2154
	Number <Nb>	[0..1]	Text		2155
	PayeeIdentification <PyeeId>	[1..1]	±		2155
	DraweeIdentification <DrweeId>	[0..1]	±		2155
	DrawerIdentification <DrwrId>	[0..1]	±		2155
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2156
	AccountIdentification <AcctId>	[0..1]	Text		2156
	Type <Tp>	[0..1]	±		2156

66.1.31.9.1.1 PaymentCardDetails <PmtCardDtls>

Presence: [1..1]

Definition: Electronic money product that provides the cardholder with a portable and specialised computer device, which typically contains a microprocessor.

PaymentCardDetails <PmtCardDtls> contains the following **PaymentCard25** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		2147
	Number <Nb>	[1..1]	Text		2148
	HolderName <HldrNm>	[1..1]	Text		2148
	StartDate <StartDt>	[0..1]	YearMonth		2148
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2148
	CardIssuerName <CardIssrNm>	[0..1]	Text		2148
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2148
	SecurityCode <SctyCd>	[0..1]	Text		2149
	SequenceNumber <SeqNb>	[0..1]	Text		2149

66.1.31.9.1.1.1 Type <Tp>

Presence: [1..1]

Definition: Type of card, for example, credit card.

Datatype: "CardType1Code" on page 2558

CodeName	Name	Definition
CRDT	CreditCard	Card where the holder has been granted a line of credit. The card enables the holder to make purchases and withdraw cash up to a pre-arranged ceiling.

CodeName	Name	Definition
DBIT	DebitCard	Card enabling the holder to have its purchases directly charged to its account. The card may also combine other functions, for example, cash card or cheque guaranteed card.

66.1.31.9.1.1.2 Number <Nb>*Presence:* [1..1]*Definition:* Number embossed on a card that links the card to the account owner and account servicer.*Datatype:* "Max35Text" on page 2683**66.1.31.9.1.1.3 HolderName <HldrNm>***Presence:* [1..1]*Definition:* Party entitled by a card issuer to use a card.*Datatype:* "Max35Text" on page 2683**66.1.31.9.1.1.4 StartDate <StartDt>***Presence:* [0..1]*Definition:* Year and month the card is available for use.*Datatype:* "ISOYearMonth" on page 2686**66.1.31.9.1.1.5 ExpiryDate <XpryDt>***Presence:* [1..1]*Definition:* Year and month the card expires.*Datatype:* "ISOYearMonth" on page 2686**66.1.31.9.1.1.6 CardIssuerName <CardIssrNm>***Presence:* [0..1]*Definition:* Party that issues a payment card, as expressed by a numeric identification of the card issuer according to ISO/IEC 7812-1.*Datatype:* "Max35Text" on page 2683**66.1.31.9.1.1.7 CardIssuerIdentification <CardIssrId>***Presence:* [0..1]*Definition:* Party that issues a payment card, as expressed by a numeric identification of the card issuer according to ISO/IEC 7812-1.**CardIssuerIdentification <CardIssrId>** contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.9.1.1.8 SecurityCode <SctyCd>*Presence:* [0..1]*Definition:* Security code written on, or in, the card.*Datatype:* "Max35Text" on page 2683**66.1.31.9.1.1.9 SequenceNumber <SeqNb>***Presence:* [0..1]*Definition:* Number distinguishing two or more payment cards with the same account number.*Datatype:* "Max3Text" on page 2684**66.1.31.9.1.2 CreditTransferDetails <CdtTrfDtls>***Presence:* [1..1]*Definition:* Payment instrument between a debtor and a creditor, which flows through one or more financial institutions or systems.**CreditTransferDetails <CdtTrfDtls>** contains the following **CreditTransfer8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	Text		2149
	Debtor <Dbtr>	[0..1]	±		2149
	DebtorAccount <DbtrAcct>	[0..1]	±		2150
	DebtorAgent <DbtrAgt>	[0..1]	±		2150
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2150
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2150
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2151
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2151
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2151
	CreditorAgent <CdtrAgt>	[1..1]	±		2152
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2152
	Creditor <Cdtr>	[0..1]	±		2152
	CreditorAccount <CdtrAcct>	[1..1]	±		2152

66.1.31.9.1.2.1 Reference <Ref>*Presence:* [0..1]*Definition:* Information supplied to enable the matching of an entry with the items that the transfer is intended to settle, such as commercial invoices in an accounts' receivable system.*Datatype:* "Max35Text" on page 2683**66.1.31.9.1.2.2 Debtor <Dbtr>***Presence:* [0..1]

Definition: Party that owes an amount of money to the (ultimate) creditor. In the context of the payment model, the debtor is also the debit account owner.

Debtor <Dbtr> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.9.1.2.3 DebtorAccount <DbtrAcct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the debtor to which a debit entry will be made as a result of the transaction.

DebtorAccount <DbtrAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.9.1.2.4 DebtorAgent <DbtrAgt>

Presence: [0..1]

Definition: Financial institution servicing an account for the debtor.

DebtorAgent <DbtrAgt> contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.9.1.2.5 DebtorAgentAccount <DbtrAgtAcct>

Presence: [0..1]

Definition: Identifies the account of the debtor's agent.

DebtorAgentAccount <DbtrAgtAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.9.1.2.6 IntermediaryAgent1 <IntrmyAgt1>

Presence: [0..1]

Definition: Agent between the debtor's agent and the creditor's agent.

IntermediaryAgent1 <IntrmyAgt1> contains the following elements (see "FinancialInstitutionIdentification10" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.9.1.2.7 IntermediaryAgent1Account <IntrmyAgt1Acct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the intermediary agent 1 at its servicing agent in the payment chain.

IntermediaryAgent1Account <IntrmyAgt1Acct> contains the following elements (see "AccountIdentificationAndName5" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.9.1.2.8 IntermediaryAgent2 <IntrmyAgt2>

Presence: [0..1]

Definition: Agent between the debtor's agent and the creditor's agent.

IntermediaryAgent2 <IntrmyAgt2> contains the following elements (see "FinancialInstitutionIdentification10" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.9.1.2.9 IntermediaryAgent2Account <IntrmyAgt2Acct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the intermediary agent 2 at its servicing agent in the payment chain.

IntermediaryAgent2Account <IntrmyAgt2Acct> contains the following elements (see "AccountIdentificationAndName5" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.9.1.2.10 CreditorAgent <CdtrAgt>*Presence:* [1..1]*Definition:* Financial institution servicing an account for the creditor.**CreditorAgent <CdtrAgt>** contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.9.1.2.11 CreditorAgentAccount <CdtrAgtAcct>*Presence:* [0..1]*Definition:* Unambiguous identification of the account of the creditor agent at its servicing agent to which a credit entry will be made as a result of the payment transaction.**CreditorAgentAccount <CdtrAgtAcct>** contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.9.1.2.12 Creditor <Cdtr>*Presence:* [0..1]*Definition:* Party that receives an amount of money from the debtor. In the context of the payment model, the creditor is also the credit account owner.**Creditor <Cdtr>** contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.9.1.2.13 CreditorAccount <CdtrAcct>*Presence:* [1..1]*Definition:* Unambiguous identification of the account of the creditor to which a credit entry will be posted as a result of the payment transaction.**CreditorAccount <CdtrAcct>** contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.31.9.1.3 DirectDebitDetails <DrctDbtDtls>*Presence:* [1..1]

Definition: Instruction, initiated by the creditor, to debit a debtor's account in favour of the creditor. A direct debit can be pre-authorised or not. In most countries, authorisation is in the form of a mandate between the debtor and creditor.

DirectDebitDetails <DrctDbtDtls> contains the following elements (see "[DirectDebitMandate6](#)" on page 1387 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DebtorAccount <DbtrAcct>	[1..1]	±		1387
	Debtor <Dbtr>	[0..1]	±		1387
	DebtorTaxIdentificationNumber <DbtrTaxIdNb>	[0..1]	Text		1388
	DebtorNationalRegistrationNumber <DbtrNtlRegnNb>	[0..1]	Text		1388
	Creditor <Cdtr>	[0..1]	±		1388
	DebtorAgent <DbtrAgt>	[1..1]	±		1388
	DebtorAgentBranch <DbtrAgtBrnch>	[0..1]	±		1388
	CreditorAgent <CdtrAgt>	[0..1]	±		1389
	CreditorAgentBranch <CdtrAgtBrnch>	[0..1]	±		1389
	RegistrationIdentification <RegnId>	[0..1]	Text		1389
	MandateIdentification <MndtId>	[0..1]	Text		1390

66.1.31.9.1.4 ChequeDetails <ChqDtls>*Presence:* [1..1]

Definition: Written order on which instructions are given to an account holder (a financial institution) to pay a stated sum to a named recipient (the payee).

ChequeDetails <ChqDtls> contains the following **Cheque9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Number <Nb>	[0..1]	Text		2153
	PayeeIdentification <Pyeeld>	[1..1]	±		2154
	DraweeIdentification <Drweeld>	[0..1]	±		2154
	DrawerIdentification <Drwrld>	[0..1]	±		2154

66.1.31.9.1.4.1 Number <Nb>*Presence:* [0..1]

Definition: Unique and unambiguous identifier for a cheque as assigned by the financial institution.

Datatype: "[Max35Text](#)" on page 2683

66.1.31.9.1.4.2 Payeeldidentification <Pyeeld>*Presence:* [1..1]*Definition:* Party to which a cheque is made payable.**Payeeldidentification <Pyeeld>** contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.9.1.4.3 Draweeldidentification <Drweeld>*Presence:* [0..1]*Definition:* Financial institution on which a cheque is drawn, that is, the financial institution that services the account of the entity that issued the cheque.**Draweeldidentification <Drweeld>** contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.9.1.4.4 DrawerIdentification <Drwrld>*Presence:* [0..1]*Definition:* Account owner that issues a cheque ordering the drawee bank to pay a specific amount, upon demand, to the payee.**DrawerIdentification <Drwrld>** contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.9.1.5 BankersDraftDetails <BkrsDrftDtls>*Presence:* [1..1]*Definition:* Cheque drawn by a bank on itself or its agent. A person who owes money to another buys the draft from a bank for cash and hands it to the creditor.

BankersDraftDetails <BkrsDrftDtls> contains the following **Cheque9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Number <Nb>	[0..1]	Text		2155
	PayeeIdentification <Pyeeld>	[1..1]	±		2155
	DraweeIdentification <Drweeld>	[0..1]	±		2155
	DrawerIdentification <Drwrlld>	[0..1]	±		2155

66.1.31.9.1.5.1 Number <Nb>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a cheque as assigned by the financial institution.

Datatype: "Max35Text" on page 2683

66.1.31.9.1.5.2 PayeeIdentification <Pyeeld>

Presence: [1..1]

Definition: Party to which a cheque is made payable.

PayeeIdentification <Pyeeld> contains the following elements (see "PartyIdentification113" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.9.1.5.3 DraweeIdentification <Drweeld>

Presence: [0..1]

Definition: Financial institution on which a cheque is drawn, that is, the financial institution that services the account of the entity that issued the cheque.

DraweeIdentification <Drweeld> contains the following elements (see "FinancialInstitutionIdentification10" on page 1399 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1399
	LEI <LEI>	[0..1]	IdentifierSet		1399

66.1.31.9.1.5.4 DrawerIdentification <Drwrlld>

Presence: [0..1]

Definition: Account owner that issues a cheque ordering the drawee bank to pay a specific amount, upon demand, to the payee.

DrawerIdentification <Drwrld> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.31.9.1.6 CashAccountDetails <CshAcctDtls>

Presence: [1..1]

Definition: Part of the investment account to or from which cash entries are made.

Impacted by: [C1 "AccountElementRule"](#)

CashAccountDetails <CshAcctDtls> contains the following **InvestmentAccount60** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[0..1]	Text		2156
	Type <Tp>	[0..1]	±		2156

Constraints

- **AccountElementRule**

Either AccountIdentification or Type must be present. Both may be present.

66.1.31.9.1.6.1 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "[Max35Text](#)" on page 2683

66.1.31.9.1.6.2 Type <Tp>

Presence: [0..1]

Definition: Account type.

Type <Tp> contains one of the following elements (see "[InvestmentAccountType1Choice](#)" on page 1634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1634
Or}	Proprietary <Prtry>	[1..1]	±		1634

66.1.32 Person Identification

66.1.32.1 ContactIdentification2

Definition: Information needed to contact a physical person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2157
	GivenName <GvnNm>	[0..1]	Text		2157
	Name <Nm>	[1..1]	Text		2157
	PhoneNumber <PhneNb>	[0..1]	Text		2157
	MobileNumber <MobNb>	[0..1]	Text		2157
	FaxNumber <FaxNb>	[0..1]	Text		2158
	EmailAddress <EmailAdr>	[0..1]	Text		2158

66.1.32.1.1 NamePrefix <NmPrfx>

Presence: [0..1]

Definition: Specifies the terms used to formally address a person.

Datatype: "NamePrefix1Code" on page 2608

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

66.1.32.1.2 GivenName <GvnNm>

Presence: [0..1]

Definition: First name of a person.

Datatype: "Max35Text" on page 2683

66.1.32.1.3 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max35Text" on page 2683

66.1.32.1.4 PhoneNumber <PhneNb>

Presence: [0..1]

Definition: Collection of information that identifies a phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 2685

66.1.32.1.5 MobileNumber <MobNb>

Presence: [0..1]

Definition: Collection of information that identifies a mobile phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 2685

66.1.32.1.6 FaxNumber <FaxNb>

Presence: [0..1]

Definition: Collection of information that identifies a FAX number, as defined by telecom services.

Datatype: "PhoneNumber" on page 2685

66.1.32.1.7 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 2683

66.1.32.2 IndividualPerson30

Definition: Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GivenName <GvnNm>	[0..1]	Text		2158
	MiddleName <MddINm>	[0..1]	Text		2158
	Name <Nm>	[1..1]	Text		2158
	Gender <Gndr>	[0..1]	CodeSet		2158
	BirthDate <BirthDt>	[0..1]	Date		2159

66.1.32.2.1 GivenName <GvnNm>

Presence: [0..1]

Definition: First name of the person.

Datatype: "Max35Text" on page 2683

66.1.32.2.2 MiddleName <MddINm>

Presence: [0..1]

Definition: Second name of the person.

Datatype: "Max35Text" on page 2683

66.1.32.2.3 Name <Nm>

Presence: [1..1]

Definition: Name by which the party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 2683

66.1.32.2.4 Gender <Gndr>

Presence: [0..1]

Definition: Gender of the person.

Datatype: "GenderCode" on page 2584

CodeName	Name	Definition
MALE	Male	Individual is a male.
FEMA	Female	Individual is a female.

66.1.32.2.5 BirthDate <BirthDt>

Presence: [0..1]

Definition: Date on which the person is born.

Datatype: "ISODate" on page 2668

66.1.32.3 IndividualPerson38

Definition: Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]			2161
{Or	Code <Cd>	[1..1]	CodeSet		2161
Or}	Proprietary <Prtry>	[1..1]	±		2162
	GivenName <GvnNm>	[0..1]	Text		2162
	MiddleName <MddlNm>	[0..1]	Text		2162
	Name <Nm>	[1..1]	Text		2162
	NameSuffix <NmSfx>	[0..1]	Text		2162
	Gender <Gndr>	[0..1]	CodeSet		2162
	BirthDate <BirthDt>	[0..1]	Date		2163
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C11	2163
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		2163
	CityOfBirth <CityOfBirth>	[0..1]	Text		2163
	Profession <Prfssn>	[0..1]	Text		2163
	ModifiedPostalAddress <ModfdPstlAdr>	[0..*]			2163
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		2164
	PostalAddress <PstlAdr>	[1..1]	±	C23	2164
	ModifiedCitizenship <ModfdCtzsh>	[0..3]			2165
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		2166
	Citizenship <Ctzsh>	[1..1]			2166
	Nationality <Ntlty>	[1..1]	CodeSet	C35	2166
	MinorIndicator <MnrInd>	[1..1]	Indicator		2166
	EmployingCompany <EmplngCpny>	[0..1]	Text		2167
	BusinessFunction <BizFctn>	[0..1]	Text		2167
	PoliticallyExposedPerson <PltclYXpsdPrsn>	[0..1]		C29	2167
	PoliticallyExposedPersonType <PltclYXpsdPrsnTp>	[1..1]			2167
{Or	Code <Cd>	[1..1]	CodeSet		2168
Or}	Proprietary <Prtry>	[1..1]	±		2168
	PoliticallyExposedPersonStatus <PltclYXpsdPrsnSts>	[0..1]			2168
{Or	Code <Cd>	[1..1]	CodeSet		2168
Or}	Proprietary <Prtry>	[1..1]	±		2169
	DeathDate <DthDt>	[0..1]	Date		2169

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CivilStatus <Cv/Sts>	[0..1]			2170
{Or	Code <Cd>	[1..1]	CodeSet		2170
Or}	Proprietary <Prtry>	[1..1]	±		2170
	EducationLevel <EdctnLvl>	[0..1]	Text		2170
	FamilyInformation <FmlyInf>	[0..1]			2171
	NameOfFather <NmOfFthr>	[0..1]	Text		2171
	MaidenNameOfMother <MdnNmOfMthr>	[0..1]	Text		2171
	NameOfPartner <NmOfPrtnr>	[0..1]	Text		2171
	GDPRData <GDPRData>	[0..*]			2171
	ConsentType <CnsntTp>	[1..1]			2171
{Or	Code <Cd>	[1..1]	CodeSet		2172
Or}	Proprietary <Prtry>	[1..1]	±		2172
	ConsentIndicator <CnsntInd>	[1..1]	Indicator		2172
	ConsentDate <CnsntDt>	[1..1]	Date		2173

66.1.32.3.1 NamePrefix <NmPrfx>

Presence: [0..1]

Definition: Term used to address the person.

NamePrefix <NmPrfx> contains one of the following **NamePrefix1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2161
Or}	Proprietary <Prtry>	[1..1]	±		2162

66.1.32.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Name prefix expressed as a code.

Datatype: "NamePrefix1Code" on page 2608

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

66.1.32.3.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Name prefix expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.32.3.2 GivenName <GvnNm>*Presence:* [0..1]*Definition:* First name of the person.*Datatype:* "[Max35Text](#)" on page 2683**66.1.32.3.3 MiddleName <MddINm>***Presence:* [0..1]*Definition:* Second name of the person.*Datatype:* "[Max35Text](#)" on page 2683**66.1.32.3.4 Name <Nm>***Presence:* [1..1]*Definition:* Name by which the party is known and which is usually used to identify that person.*Datatype:* "[Max350Text](#)" on page 2683**66.1.32.3.5 NameSuffix <NmSfx>***Presence:* [0..1]*Definition:* Additional information about the person that follows a person's name, for example, qualification such as Doctor of Philosophy (PhD).*Datatype:* "[Max35Text](#)" on page 2683**66.1.32.3.6 Gender <Gndr>***Presence:* [0..1]*Definition:* Gender of the person.*Datatype:* "[Gender1Code](#)" on page 2584

CodeName	Name	Definition
FEMA	Female	Individual is a female.
MALE	Male	Individual is a male.

66.1.32.3.7 BirthDate <BirthDt>*Presence:* [0..1]*Definition:* Date on which the person was born.*Datatype:* "ISODate" on page 2668**66.1.32.3.8 CountryOfBirth <CtryOfBirth>***Presence:* [0..1]*Definition:* Country where the person was born.*Impacted by:* C11 "Country"*Datatype:* "CountryCode" on page 2567**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.32.3.9 ProvinceOfBirth <PrvcOfBirth>*Presence:* [0..1]*Definition:* Province where the person was born.*Datatype:* "Max35Text" on page 2683**66.1.32.3.10 CityOfBirth <CityOfBirth>***Presence:* [0..1]*Definition:* City where the person was born.*Datatype:* "Max35Text" on page 2683**66.1.32.3.11 Profession <Prfssn>***Presence:* [0..1]*Definition:* Name of the occupation or job of the person.*Datatype:* "Max35Text" on page 2683**66.1.32.3.12 ModifiedPostalAddress <ModfdPstlAdr>***Presence:* [0..*]*Definition:* Information related to an address to be inserted, updated or deleted.**ModifiedPostalAddress <ModfdPstlAdr>** contains the following **ModificationScope34** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		2164
	PostalAddress <PstlAdr>	[1..1]	±	C23	2164

66.1.32.3.12.1 ModificationScopeIndication <ModScplndctn>*Presence:* [1..1]*Definition:* Specifies the type of modification to be applied on a set of information.*Datatype:* "DataModification1Code" on page 2570

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

66.1.32.3.12.2 PostalAddress <PstlAdr>*Presence:* [1..1]*Definition:* Address of the organisation.*Impacted by:* C23 "MailingIndicatorRule"

PostalAddress <PstAdr> contains the following elements (see "PostalAddress21" on page 2196 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2197
{Or	Code <Cd>	[1..1]	CodeSet		2198
Or}	Proprietary <Prtry>	[1..1]	±		2198
	MailingIndicator <MIngInd>	[0..1]	Indicator		2198
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2198
	CareOf <CareOf>	[0..1]	Text		2199
	AddressLine <AdrLine>	[0..5]	Text		2199
	StreetName <StrtNm>	[0..1]	Text		2199
	BuildingNumber <BldgNb>	[0..1]	Text		2199
	BuildingName <BldgNm>	[0..1]	Text		2199
	PostBox <PstBx>	[0..1]	Text		2199
	SideInBuilding <SdInBldg>	[0..1]	Text		2199
	Floor <Flr>	[0..1]	Text		2199
	SuiteIdentification <SuiteId>	[0..1]	Text		2200
	PostCode <PstCd>	[0..1]	Text		2200
	DistrictName <DstrctNm>	[0..1]	Text		2200
	Village <Vllg>	[0..1]	Text		2200
	TownName <TwnNm>	[0..1]	Text		2200
	State <Stat>	[0..1]	Text		2200
	Country <Ctry>	[1..1]	CodeSet	C11	2200

Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

66.1.32.3.13 ModifiedCitizenship <ModfdCtznsh>

Presence: [0..3]

Definition: Citizenship information to be inserted or deleted.

ModifiedCitizenship <ModfdCtzns> contains the following **ModificationScope39** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		2166
	Citizenship <Ctzns>	[1..1]			2166
	Nationality <Ntlty>	[1..1]	CodeSet	C35	2166
	MinorIndicator <Mnrlnd>	[1..1]	Indicator		2166

66.1.32.3.13.1 ModificationScopeIndication <ModScplIndctn>

Presence: [1..1]

Definition: Specifies the type of modification to be applied on a set of information.

Datatype: "DataModification2Code" on page 2570

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
DELT	DeleteDataSet	Delete an existing set of data.

66.1.32.3.13.2 Citizenship <Ctzns>

Presence: [1..1]

Definition: Nationality and legal status (minor or major).

Citizenship <Ctzns> contains the following **CitizenshipInformation2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Nationality <Ntlty>	[1..1]	CodeSet	C35	2166
	MinorIndicator <Mnrlnd>	[1..1]	Indicator		2166

66.1.32.3.13.2.1 Nationality <Ntlty>

Presence: [1..1]

Definition: Country where a person was born or is legally accepted as belonging to the country.

Impacted by: C35 "ValidationByTable"

Datatype: "NationalityCode" on page 2608

Constraints

- **ValidationByTable**

Must be a valid nationality.

66.1.32.3.13.2.2 MinorIndicator <Mnrlnd>

Presence: [1..1]

Definition: Indicates whether the person is a legal minor. This may depend on the nationality, the domicile country or the transaction in which the person is involved.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.32.3.14 EmployingCompany <EmplngCpny>

Presence: [0..1]

Definition: Organisation represented by a person, or for which a person works.

Datatype: "Max140Text" on page 2682

66.1.32.3.15 BusinessFunction <BizFctn>

Presence: [0..1]

Definition: Title of the function.

Datatype: "Max35Text" on page 2683

66.1.32.3.16 PoliticallyExposedPerson <PltcllyXpsdPrsn>

Presence: [0..1]

Definition: Politically exposed person checks.

Impacted by: C29 "PoliticallyExposedPersonTypeRule"

PoliticallyExposedPerson <PltcllyXpsdPrsn> contains the following **PoliticallyExposedPerson1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PoliticallyExposedPersonType <PltcllyXpsdPrsnTp>	[1..1]			2167
{Or	Code <Cd>	[1..1]	CodeSet		2168
Or}	Proprietary <Prtry>	[1..1]	±		2168
	PoliticallyExposedPersonStatus <PltcllyXpsdPrsnSts>	[0..1]			2168
{Or	Code <Cd>	[1..1]	CodeSet		2168
Or}	Proprietary <Prtry>	[1..1]	±		2169

Constraints

- **PoliticallyExposedPersonTypeRule**

If PoliticallyExposedPersonType/Code is 'NoPoliticalExposure' (NPEX) then PoliticallyExposedPersonStatus is not allowed.

On Condition

/PoliticallyExposedPersonType/Code is equal to value 'NoPoliticalExposure'

Following Must be True

/PoliticallyExposedPersonStatus Must be absent

66.1.32.3.16.1 PoliticallyExposedPersonType <PltcllyXpsdPrsnTp>

Presence: [1..1]

Definition: Specifies if due diligence checks on the political exposure of the investor have been carried out. (A politically exposed person is someone who has been entrusted with a prominent public function, or an individual who is closely related to such a person.)

PoliticallyExposedPersonType <PltclYXpsdPrsnTp> contains one of the following **PoliticalExposureType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2168
Or}	Proprietary <Prtry>	[1..1]	±		2168

66.1.32.3.16.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of political exposure expressed as a code.

Datatype: "PoliticalExposureType2Code" on page 2619

CodeName	Name	Definition
NPEX	NoPoliticalExposure	No political exposure.
YPEX	PoliticalExposure	Political exposure.
PEXD	PoliticalExposureDomestic	Political exposure type is domestic.
PEXF	PoliticalExposureForeign	Political exposure type is foreign.

66.1.32.3.16.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of political exposure expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.32.3.16.2 PoliticallyExposedPersonStatus <PltclYXpsdPrsnSts>

Presence: [0..1]

Definition: Status of the politically exposed person.

PoliticallyExposedPersonStatus <PltclYXpsdPrsnSts> contains one of the following **PoliticallyExposedPersonStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2168
Or}	Proprietary <Prtry>	[1..1]	±		2169

66.1.32.3.16.2.1 Code <Cd>

Presence: [1..1]

Definition: Status of the politically exposed person expressed as a code.

Datatype: "PoliticallyExposedPersonStatus1Code" on page 2619

CodeName	Name	Definition
PE03	CloseAssociate	<p>Person known to be a close associate of the politically exposed person. A person known to be a close associate includes:</p> <p>(a) a natural person who is known to have joint beneficial ownership of legal entities or legal arrangements, or any other close business relations, with the politically exposed person,</p> <p>(b) a natural person who has sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the de facto benefit of a politically exposed person.</p>
PE01	NaturalPersonEntrusted	Natural person who is or who has been entrusted with prominent public functions.
PE02	FamilyMember	<p>Family member of the politically exposed person. A family member includes:</p> <p>(a) the spouse, or a person considered to be equivalent to a spouse, of the politically exposed person,</p> <p>(b) the children and their spouses, or persons considered to be equivalent to a spouse, of the politically exposed person,</p> <p>(c) the parents of the politically exposed person.</p>

66.1.32.3.16.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Status of the politically exposed person expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.32.3.17 DeathDate <DthDt>

Presence: [0..1]

Definition: Date of death.

Datatype: "ISODate" on page 2668

66.1.32.3.18 CivilStatus <CvISts>*Presence:* [0..1]*Definition:* Civil status of the individual person.**CivilStatus <CvISts>** contains one of the following **CivilStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2170
Or}	Proprietary <Prtry>	[1..1]	±		2170

66.1.32.3.18.1 Code <Cd>*Presence:* [1..1]*Definition:* Civil status expressed as a code.*Datatype:* "CivilStatus1Code" on page 2561

CodeName	Name	Definition
DIVO	Divorced	Person is divorced.
LDIV	LegallyDivorced	Person is legally divorced.
MARR	Married	Person is married.
SEPA	Separated	Person is separated.
SING	Single	Person is single.
UNIO	StableUnion	Person is in a stable union.
WIDO	Widow	Person is a widower.

66.1.32.3.18.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Civil status expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.32.3.19 EducationLevel <EdctnLvl>*Presence:* [0..1]*Definition:* Highest level of education reached by the individual person.*Datatype:* "Max35Text" on page 2683

66.1.32.3.20 FamilyInformation <FmlyInf>*Presence:* [0..1]*Definition:* Information related to the person.**FamilyInformation <FmlyInf>** contains the following **PersonalInformation1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameOfFather <NmOfFthr>	[0..1]	Text		2171
	MaidenNameOfMother <MdnNmOfMthr>	[0..1]	Text		2171
	NameOfPartner <NmOfPrtnr>	[0..1]	Text		2171

66.1.32.3.20.1 NameOfFather <NmOfFthr>*Presence:* [0..1]*Definition:* Name of the father of the individual person.*Datatype:* "Max35Text" on page 2683**66.1.32.3.20.2 MaidenNameOfMother <MdnNmOfMthr>***Presence:* [0..1]*Definition:* Maiden (unmarried) name of the mother of the individual person.*Datatype:* "Max35Text" on page 2683**66.1.32.3.20.3 NameOfPartner <NmOfPrtnr>***Presence:* [0..1]*Definition:* Name of the partner of the individual person.*Datatype:* "Max35Text" on page 2683**66.1.32.3.21 GDPRData <GDPRData>***Presence:* [0..*]*Definition:* Information about the individual's consent to use personal data under the General Protection Regulation (GDPR) 2016/679 regulation.**GDPRData <GDPRData>** contains the following **GDPRData1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ConsentType <CnsntTp>	[1..1]			2171
{Or	Code <Cd>	[1..1]	CodeSet		2172
Or}	Proprietary <Prtry>	[1..1]	±		2172
	ConsentIndicator <CnsntInd>	[1..1]	Indicator		2172
	ConsentDate <CnsntDt>	[1..1]	Date		2173

66.1.32.3.21.1 ConsentType <CnsntTp>*Presence:* [1..1]

Definition: Type of consent.

ConsentType <CnsntTp> contains one of the following **GDPRDataConsent1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2172
Or}	Proprietary <Prtry>	[1..1]	±		2172

66.1.32.3.21.1.1 Code <Cd>

Presence: [1..1]

Definition: Consent type expressed as a code.

Datatype: "GDPRDataConsent1Code" on page 2584

CodeName	Name	Definition
DP00	ConsentMarketing	Consent to authorise the controller of personal data processing to process personal data for marketing purposes.
DP03	ConsentProfilingTargeted	Consent to authorise the controller of personal data processing to process personal data for profiling purposes in order to formulate customised and targeted product and service offers.
DP01	ConsentTransferSameMarketing	Consent to authorise the controller of personal data processing to transfer personal data to other companies belonging to the same group for independent processing for marketing purposes.
DP02	ConsentTransferThirdPartyMarketing	Consent to authorise the controller of personal data processing to transfer personal data to third party companies for independent processing for marketing purposes.

66.1.32.3.21.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Consent type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.32.3.21.2 ConsentIndicator <CnsntInd>

Presence: [1..1]

Definition: Indicates whether consent has been given.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.32.3.21.3 ConsentDate <CnsntDt>

Presence: [1..1]

Definition: Date of the consent.

Datatype: ["ISODate"](#) on page 2668

66.1.32.4 IndividualPerson35

Definition: Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GivenName <GvnNm>	[0..1]	Text		2173
	MiddleName <MddlNm>	[0..1]	Text		2173
	Name <Nm>	[1..1]	Text		2173
	Gender <Gndr>	[0..1]	CodeSet		2173
	BirthDate <BirthDt>	[0..1]	Date		2174

66.1.32.4.1 GivenName <GvnNm>

Presence: [0..1]

Definition: First name of the person.

Datatype: ["Max35Text"](#) on page 2683

66.1.32.4.2 MiddleName <MddlNm>

Presence: [0..1]

Definition: Second name of the person.

Datatype: ["Max35Text"](#) on page 2683

66.1.32.4.3 Name <Nm>

Presence: [1..1]

Definition: Name by which the party is known and which is usually used to identify that party.

Datatype: ["Max350Text"](#) on page 2683

66.1.32.4.4 Gender <Gndr>

Presence: [0..1]

Definition: Gender of the person.

Datatype: ["Gender1Code"](#) on page 2584

CodeName	Name	Definition
FEMA	Female	Individual is a female.
MALE	Male	Individual is a male.

66.1.32.4.5 BirthDate <BirthDt>

Presence: [0..1]

Definition: Date on which the person is born.

Datatype: "ISODate" on page 2668

66.1.32.5 IndividualPerson37

Definition: Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]			2176
{Or	Code <Cd>	[1..1]	CodeSet		2176
Or}	Proprietary <Prtry>	[1..1]	±		2176
	GivenName <GvnNm>	[0..1]	Text		2177
	MiddleName <MddlNm>	[0..1]	Text		2177
	Name <Nm>	[1..1]	Text		2177
	NameSuffix <NmSfx>	[0..1]	Text		2177
	Gender <Gndr>	[0..1]	CodeSet		2177
	BirthDate <BirthDt>	[0..1]	Date		2177
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C11	2178
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		2178
	CityOfBirth <CityOfBirth>	[0..1]	Text		2178
	Profession <Prfssn>	[0..1]	Text		2178
	PostalAddress <PstlAdr>	[1..10]	±	C23	2178
	Citizenship <Ctznsh>	[0..3]			2179
	Nationality <Ntltty>	[1..1]	CodeSet	C35	2180
	MinorIndicator <Mnrlnd>	[1..1]	Indicator		2180
	EmployingCompany <EmplngCpny>	[0..1]	Text		2180
	BusinessFunction <BizFctn>	[0..1]	Text		2180
	PoliticallyExposedPerson <PltclYXpsdPrsn>	[0..1]		C29	2180
	PoliticallyExposedPersonType <PltclYXpsdPrsnTp>	[1..1]			2181
{Or	Code <Cd>	[1..1]	CodeSet		2181
Or}	Proprietary <Prtry>	[1..1]	±		2182
	PoliticallyExposedPersonStatus <PltclYXpsdPrsnSts>	[0..1]			2182
{Or	Code <Cd>	[1..1]	CodeSet		2182
Or}	Proprietary <Prtry>	[1..1]	±		2183
	DeathDate <DthDt>	[0..1]	Date		2183
	CivilStatus <CvlSts>	[0..1]			2183
{Or	Code <Cd>	[1..1]	CodeSet		2183
Or}	Proprietary <Prtry>	[1..1]	±		2184
	EducationLevel <EdctnLvl>	[0..1]	Text		2184

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FamilyInformation <FmlyInf>	[0..1]			2184
	NameOfFather <NmOfFthr>	[0..1]	Text		2184
	MaidenNameOfMother <MdnNmOfMthr>	[0..1]	Text		2185
	NameOfPartner <NmOfPrtnr>	[0..1]	Text		2185
	GDPRData <GDPRData>	[0..*]			2185
	ConsentType <CnsntTp>	[1..1]			2185
{Or	Code <Cd>	[1..1]	CodeSet		2185
Or}	Proprietary <Prtry>	[1..1]	±		2186
	ConsentIndicator <CnsntInd>	[1..1]	Indicator		2186
	ConsentDate <CnsntDt>	[1..1]	Date		2186

66.1.32.5.1 NamePrefix <NmPrfx>

Presence: [0..1]

Definition: Term used to address the person.

NamePrefix <NmPrfx> contains one of the following **NamePrefix1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2176
Or}	Proprietary <Prtry>	[1..1]	±		2176

66.1.32.5.1.1 Code <Cd>

Presence: [1..1]

Definition: Name prefix expressed as a code.

Datatype: "NamePrefix1Code" on page 2608

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

66.1.32.5.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name prefix expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.32.5.2 GivenName <GvnNm>

Presence: [0..1]

Definition: First name of the person.

Datatype: "[Max35Text](#)" on page 2683

66.1.32.5.3 MiddleName <MddINm>

Presence: [0..1]

Definition: Second name of the person.

Datatype: "[Max35Text](#)" on page 2683

66.1.32.5.4 Name <Nm>

Presence: [1..1]

Definition: Name by which the party is known and which is usually used to identify that person.

Datatype: "[Max350Text](#)" on page 2683

66.1.32.5.5 NameSuffix <NmSfx>

Presence: [0..1]

Definition: Additional information about the person that follows a person's name, for example, qualification such as Doctor of Philosophy (PhD).

Datatype: "[Max35Text](#)" on page 2683

66.1.32.5.6 Gender <Gndr>

Presence: [0..1]

Definition: Gender of the person.

Datatype: "[Gender1Code](#)" on page 2584

CodeName	Name	Definition
FEMA	Female	Individual is a female.
MALE	Male	Individual is a male.

66.1.32.5.7 BirthDate <BirthDt>

Presence: [0..1]

Definition: Date on which the person was born.

Datatype: "[ISODate](#)" on page 2668

66.1.32.5.8 CountryOfBirth <CtryOfBirth>

Presence: [0..1]

Definition: Country where the person was born.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.32.5.9 ProvinceOfBirth <PrvcOfBirth>

Presence: [0..1]

Definition: Province where the person was born.

Datatype: "Max35Text" on page 2683

66.1.32.5.10 CityOfBirth <CityOfBirth>

Presence: [0..1]

Definition: City where the person was born.

Datatype: "Max35Text" on page 2683

66.1.32.5.11 Profession <Prfssn>

Presence: [0..1]

Definition: Name of the occupation or job of the person.

Datatype: "Max35Text" on page 2683

66.1.32.5.12 PostalAddress <PstlAdr>

Presence: [1..10]

Definition: Address of the person.

Impacted by: C23 "MailingIndicatorRule"

PostalAddress <PstAdr> contains the following elements (see "PostalAddress21" on page 2196 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2197
{Or	Code <Cd>	[1..1]	CodeSet		2198
Or}	Proprietary <Prtry>	[1..1]	±		2198
	MailingIndicator <MIngInd>	[0..1]	Indicator		2198
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2198
	CareOf <CareOf>	[0..1]	Text		2199
	AddressLine <AdrLine>	[0..5]	Text		2199
	StreetName <StrtNm>	[0..1]	Text		2199
	BuildingNumber <BldgNb>	[0..1]	Text		2199
	BuildingName <BldgNm>	[0..1]	Text		2199
	PostBox <PstBx>	[0..1]	Text		2199
	SideInBuilding <SdInBldg>	[0..1]	Text		2199
	Floor <Flr>	[0..1]	Text		2199
	SuiteIdentification <SuiteId>	[0..1]	Text		2200
	PostCode <PstCd>	[0..1]	Text		2200
	DistrictName <DstrctNm>	[0..1]	Text		2200
	Village <Vllg>	[0..1]	Text		2200
	TownName <TwnNm>	[0..1]	Text		2200
	State <Stat>	[0..1]	Text		2200
	Country <Ctry>	[1..1]	CodeSet	C11	2200

Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

66.1.32.5.13 Citizenship <CtznsH>

Presence: [0..3]

Definition: Nationality and legal status (minor or major).

Citizenship <Ctznsh> contains the following **CitizenshipInformation2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Nationality <Ntlty>	[1..1]	CodeSet	C35	2180
	MinorIndicator <MnrInd>	[1..1]	Indicator		2180

66.1.32.5.13.1 Nationality <Ntlty>

Presence: [1..1]

Definition: Country where a person was born or is legally accepted as belonging to the country.

Impacted by: C35 "ValidationByTable"

Datatype: "NationalityCode" on page 2608

Constraints

- **ValidationByTable**

Must be a valid nationality.

66.1.32.5.13.2 MinorIndicator <MnrInd>

Presence: [1..1]

Definition: Indicates whether the person is a legal minor. This may depend on the nationality, the domicile country or the transaction in which the person is involved.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.32.5.14 EmployingCompany <EmplngCpny>

Presence: [0..1]

Definition: Organisation represented by a person, or for which a person works.

Datatype: "Max140Text" on page 2682

66.1.32.5.15 BusinessFunction <BizFctn>

Presence: [0..1]

Definition: Title of the function.

Datatype: "Max35Text" on page 2683

66.1.32.5.16 PoliticallyExposedPerson <PltcllyXpsdPrsn>

Presence: [0..1]

Definition: Politically exposed person checks.

Impacted by: C29 "PoliticallyExposedPersonTypeRule"

PoliticallyExposedPerson <PltclYXpsdPrsn> contains the following **PoliticallyExposedPerson1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PoliticallyExposedPersonType <PltclYXpsdPrsnTp>	[1..1]			2181
{Or	Code <Cd>	[1..1]	CodeSet		2181
Or}	Proprietary <Prtry>	[1..1]	±		2182
	PoliticallyExposedPersonStatus <PltclYXpsdPrsnSts>	[0..1]			2182
{Or	Code <Cd>	[1..1]	CodeSet		2182
Or}	Proprietary <Prtry>	[1..1]	±		2183

Constraints

- PoliticallyExposedPersonTypeRule**

If PoliticallyExposedPersonType/Code is 'NoPoliticalExposure' (NPEX) then PoliticallyExposedPersonStatus is not allowed.

On Condition

/PoliticallyExposedPersonType/Code is equal to value 'NoPoliticalExposure'

Following Must be True

/PoliticallyExposedPersonStatus Must be absent

66.1.32.5.16.1 PoliticallyExposedPersonType <PltclYXpsdPrsnTp>

Presence: [1..1]

Definition: Specifies if due diligence checks on the political exposure of the investor have been carried out. (A politically exposed person is someone who has been entrusted with a prominent public function, or an individual who is closely related to such a person.)

PoliticallyExposedPersonType <PltclYXpsdPrsnTp> contains one of the following **PoliticalExposureType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2181
Or}	Proprietary <Prtry>	[1..1]	±		2182

66.1.32.5.16.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of political exposure expressed as a code.

Datatype: "PoliticalExposureType2Code" on page 2619

CodeName	Name	Definition
NPEX	NoPoliticalExposure	No political exposure.
YPEX	PoliticalExposure	Political exposure.
PEXD	PoliticalExposureDomestic	Political exposure type is domestic.
PEXF	PoliticalExposureForeign	Political exposure type is foreign.

66.1.32.5.16.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of political exposure expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.32.5.16.2 PoliticallyExposedPersonStatus <PltclYXpsdPrsnSts>*Presence:* [0..1]*Definition:* Status of the politically exposed person.**PoliticallyExposedPersonStatus <PltclYXpsdPrsnSts>** contains one of the following **PoliticallyExposedPersonStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2182
Or}	Proprietary <Prtry>	[1..1]	±		2183

66.1.32.5.16.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Status of the politically exposed person expressed as a code.*Datatype:* "[PoliticallyExposedPersonStatus1Code](#)" on page 2619

CodeName	Name	Definition
PE03	CloseAssociate	<p>Person known to be a close associate of the politically exposed person. A person known to be a close associate includes:</p> <p>(a) a natural person who is known to have joint beneficial ownership of legal entities or legal arrangements, or any other close business relations, with the politically exposed person,</p> <p>(b) a natural person who has sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the de facto benefit of a politically exposed person.</p>
PE01	NaturalPersonEntrusted	Natural person who is or who has been entrusted with prominent public functions.

CodeName	Name	Definition
PE02	FamilyMember	<p>Family member of the politically exposed person. A family member includes:</p> <p>(a) the spouse, or a person considered to be equivalent to a spouse, of the politically exposed person,</p> <p>(b) the children and their spouses, or persons considered to be equivalent to a spouse, of the politically exposed person,</p> <p>(c) the parents of the politically exposed person.</p>

66.1.32.5.16.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Status of the politically exposed person expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.32.5.17 DeathDate <DthDt>

Presence: [0..1]

Definition: Date of death.

Datatype: "[ISODate](#)" on page 2668

66.1.32.5.18 CivilStatus <CvlSts>

Presence: [0..1]

Definition: Civil status of the individual person.

CivilStatus <CvlSts> contains one of the following **CivilStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2183
Or}	Proprietary <Prtry>	[1..1]	±		2184

66.1.32.5.18.1 Code <Cd>

Presence: [1..1]

Definition: Civil status expressed as a code.

Datatype: "[CivilStatus1Code](#)" on page 2561

CodeName	Name	Definition
DIVO	Divorced	Person is divorced.
LDIV	LegallyDivorced	Person is legally divorced.
MARR	Married	Person is married.
SEPA	Separated	Person is separated.
SING	Single	Person is single.
UNIO	StableUnion	Person is in a stable union.
WIDO	Widow	Person is a widower.

66.1.32.5.18.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Civil status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.32.5.19 EducationLevel <EdctnLvl>

Presence: [0..1]

Definition: Highest level of education reached by the individual person.

Datatype: "[Max35Text](#)" on page 2683

66.1.32.5.20 FamilyInformation <FmlyInf>

Presence: [0..1]

Definition: Information related to the person.

FamilyInformation <FmlyInf> contains the following **PersonalInformation1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameOfFather <NmOfFthr>	[0..1]	Text		2184
	MaidenNameOfMother <MdnNmOfMthr>	[0..1]	Text		2185
	NameOfPartner <NmOfPrtnr>	[0..1]	Text		2185

66.1.32.5.20.1 NameOfFather <NmOfFthr>

Presence: [0..1]

Definition: Name of the father of the individual person.

Datatype: "[Max35Text](#)" on page 2683

66.1.32.5.20.2 MaidenNameOfMother <MdnNmOfMthr>*Presence:* [0..1]*Definition:* Maiden (unmarried) name of the mother of the individual person.*Datatype:* "Max35Text" on page 2683**66.1.32.5.20.3 NameOfPartner <NmOfPrtnr>***Presence:* [0..1]*Definition:* Name of the partner of the individual person.*Datatype:* "Max35Text" on page 2683**66.1.32.5.21 GDPRData <GDPRData>***Presence:* [0..*]*Definition:* Information about the individual's consent to use personal data under the General Protection Regulation (GDPR) 2016/679 regulation.**GDPRData <GDPRData>** contains the following **GDPRData1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ConsentType <CnsntTp>	[1..1]			2185
{Or	Code <Cd>	[1..1]	CodeSet		2185
Or}	Proprietary <Prtry>	[1..1]	±		2186
	ConsentIndicator <CnsntInd>	[1..1]	Indicator		2186
	ConsentDate <CnsntDt>	[1..1]	Date		2186

66.1.32.5.21.1 ConsentType <CnsntTp>*Presence:* [1..1]*Definition:* Type of consent.**ConsentType <CnsntTp>** contains one of the following **GDPRDataConsent1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2185
Or}	Proprietary <Prtry>	[1..1]	±		2186

66.1.32.5.21.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Consent type expressed as a code.*Datatype:* "GDPRDataConsent1Code" on page 2584

CodeName	Name	Definition
DP00	ConsentMarketing	Consent to authorise the controller of personal data processing to process personal data for marketing purposes.

CodeName	Name	Definition
DP03	ConsentProfilingTargeted	Consent to authorise the controller of personal data processing to process personal data for profiling purposes in order to formulate customised and targeted product and service offers.
DP01	ConsentTransferSameMarketing	Consent to authorise the controller of personal data processing to transfer personal data to other companies belonging to the same group for independent processing for marketing purposes.
DP02	ConsentTransferThirdPartyMarketing	Consent to authorise the controller of personal data processing to transfer personal data to third party companies for independent processing for marketing purposes.

66.1.32.5.21.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Consent type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.32.5.21.2 ConsentIndicator <CnsntInd>

Presence: [1..1]

Definition: Indicates whether consent has been given.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.32.5.21.3 ConsentDate <CnsntDt>

Presence: [1..1]

Definition: Date of the consent.

Datatype: "[ISODate](#)" on page 2668

66.1.32.6 IndividualPerson31

Definition: Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2187
	BirthDate <BirthDt>	[0..1]	Date		2187
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		2187
	BeneficiaryCertificationCompletion <BnfcrtyCertfctnCmpltn>	[0..1]	CodeSet		2188
	OtherIdentification <OthrId>	[0..*]			2188
	Identification <Id>	[1..1]	Text		2188
	IdentificationType <IdTp>	[1..1]			2188
{Or	Code <Cd>	[1..1]	CodeSet		2189
Or}	Proprietary <Prtry>	[1..1]	±		2189
	Issuer <Issr>	[0..1]	Text		2190

Constraints

- **PersonElementRule**

One of the elements (Name, BirthDate, CountryAndResidentialStatus, BeneficiaryCertificationCompletion, OtherIdentification) must be present.

66.1.32.6.1 Name <Nm>

Presence: [0..1]

Definition: Name by which the party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 2683

66.1.32.6.2 BirthDate <BirthDt>

Presence: [0..1]

Definition: Date on which the person is born.

Datatype: "ISODate" on page 2668

66.1.32.6.3 CountryAndResidentialStatus <CtryAndResdtlSts>

Presence: [0..1]

Definition: Country and residential status of the individual, for example, non-permanent resident.

CountryAndResidentialStatus <CtryAndResdtlSts> contains the following elements (see "CountryAndResidentialStatusType2" on page 1984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1984
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1984

66.1.32.6.4 BeneficiaryCertificationCompletion <BnfcrtyCertfctnCmpltn>*Presence:* [0..1]*Definition:* Beneficial owner or its designated agent certifies that it complies with any holding or investment restrictions or requirements of the fund.*Datatype:* "BeneficiaryCertificationCompletion1Code" on page 2554

CodeName	Name	Definition
NCER	CertificationNotRequired	Certification is not required for this fund.
ELEC	ElectronicCertification	Electronic certification is acceptable.
PHYS	PhysicalCertification	Electronic certification is not acceptable and is required in physical form.

66.1.32.6.5 OtherIdentification <OthrlId>*Presence:* [0..*]*Definition:* Alternative identification, for example, national registration identification number, passport number, or an account number used to further identify the beneficial owner, for example, a Central Provident Fund (CPF) account as required for Singapore.**OtherIdentification <OthrlId>** contains the following **GenericIdentification164** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2188
	IdentificationType <IdTp>	[1..1]			2188
{Or	Code <Cd>	[1..1]	CodeSet		2189
Or}	Proprietary <Prtry>	[1..1]	±		2189
	Issuer <Issr>	[0..1]	Text		2190

66.1.32.6.5.1 Identification <Id>*Presence:* [1..1]*Definition:* Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.*Datatype:* "Max35Text" on page 2683**66.1.32.6.5.2 IdentificationType <IdTp>***Presence:* [1..1]*Definition:* Specifies the nature of the identifier.**IdentificationType <IdTp>** contains one of the following **OtherIdentification3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2189
Or}	Proprietary <Prtry>	[1..1]	±		2189

66.1.32.6.5.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of identification expressed as a code.*Datatype:* "PartyIdentificationType7Code" on page 2613

CodeName	Name	Definition
ATIN	AdoptionTaxpayerIdentificationNumber	Temporary tax processing number (used in the domestic adoption of a child).
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
OTHR	Other	Another type of identification type.
PASS	PassportNumber	Number assigned by a passport authority to a passport.
POCD	PostOfficeCardNumber	Post office card number as assigned by a national post office.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
SRSA	SRSAccountNumber	Supplementary retirement scheme account number.
GUNL	GunLicenseNumber	Gun license number as assigned by a gun licensing authority.
GTIN	GenericTaxIdentificationNumber	Generic tax identification number.
ITIN	IndividualTaxpayerIdentificationNumber	Tax processing number.
CPFA	CPFAccountNumber	Account issued by the Central Provident Fund Board (Singapore).
AREG	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
DRLC	DriversLicenseNumber	Number assigned by a license authority to a driver's license.
EMID	EmployerIdentificationNumber	Number assigned to an employer by a registration authority.
NINV	NonResidentInvestor	Number that identifies the type of investor registered at a securities and exchange commission.
INCL	IndustryClassification	Number, typically assigned by a government to describe ownership, administrative and authoritative relations.
GIIN	GlobalIntermediaryIdentificationNumber	Global intermediary tax identification number.

66.1.32.6.5.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.32.6.5.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identifier.

Datatype: "[Max35Text](#)" on page 2683

66.1.32.7 IndividualPerson32

Definition: Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2190
	BirthDate <BirthDt>	[0..1]	Date		2190
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]	±		2191
	OtherIdentification <OthrId>	[0..*]			2191
	Identification <Id>	[1..1]	Text		2191
	IdentificationType <IdTp>	[1..1]			2191
{Or	Code <Cd>	[1..1]	CodeSet		2192
Or}	Proprietary <Prtry>	[1..1]	±		2193
	Issuer <Issr>	[0..1]	Text		2193

Constraints

- **PersonElementRule**

One of the elements (Name, BirthDate, CountryAndResidentialStatus, OtherIdentification) must be present.

66.1.32.7.1 Name <Nm>

Presence: [0..1]

Definition: Name by which the party is known and which is usually used to identify that party.

Datatype: "[Max350Text](#)" on page 2683

66.1.32.7.2 BirthDate <BirthDt>

Presence: [0..1]

Definition: Date on which the person is born.

Datatype: "ISODate" on page 2668

66.1.32.7.3 CountryAndResidentialStatus <CtryAndResdtlSts>

Presence: [0..1]

Definition: Country and residential status of the individual, for example, non-permanent resident.

CountryAndResidentialStatus <CtryAndResdtlSts> contains the following elements (see "CountryAndResidentialStatusType2" on page 1984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1984
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1984

66.1.32.7.4 OtherIdentification <OthrId>

Presence: [0..*]

Definition: Alternative identification, for example, national registration identification number, passport number, or an account number used to further identify the beneficial owner, for example, a Central Provident Fund (CPF) account as required for Singapore.

OtherIdentification <OthrId> contains the following **GenericIdentification164** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2191
	IdentificationType <IdTp>	[1..1]			2191
{Or	Code <Cd>	[1..1]	CodeSet		2192
Or}	Proprietary <Prtry>	[1..1]	±		2193
	Issuer <Issr>	[0..1]	Text		2193

66.1.32.7.4.1 Identification <Id>

Presence: [1..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "Max35Text" on page 2683

66.1.32.7.4.2 IdentificationType <IdTp>

Presence: [1..1]

Definition: Specifies the nature of the identifier.

IdentificationType <IdTp> contains one of the following **OtherIdentification3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2192
Or}	Proprietary <Prtry>	[1..1]	±		2193

66.1.32.7.4.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "PartyIdentificationType7Code" on page 2613

CodeName	Name	Definition
ATIN	AdoptionTaxpayerIdentificationNumber	Temporary tax processing number (used in the domestic adoption of a child).
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
OTHR	Other	Another type of identification type.
PASS	PassportNumber	Number assigned by a passport authority to a passport.
POCD	PostOfficeCardNumber	Post office card number as assigned by a national post office.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
SRSA	SRSAccountNumber	Supplementary retirement scheme account number.
GUNL	GunLicenseNumber	Gun license number as assigned by a gun licensing authority.
GTIN	GenericTaxIdentificationNumber	Generic tax identification number.
ITIN	IndividualTaxpayerIdentificationNumber	Tax processing number.
CPFA	CPFAccountNumber	Account issued by the Central Provident Fund Board (Singapore).
AREG	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
DRLC	DriversLicenseNumber	Number assigned by a license authority to a driver's license.
EMID	EmployerIdentificationNumber	Number assigned to an employer by a registration authority.
NINV	NonResidentInvestor	Number that identifies the type of investor registered at a securities and exchange commission.
INCL	IndustryClassification	Number, typically assigned by a government to describe ownership, administrative and authoritative relations.

CodeName	Name	Definition
GIIN	GlobalIntermediaryIdentificationNumber	Global intermediary tax identification number.

66.1.32.7.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.32.7.4.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identifier.

Datatype: "[Max35Text](#)" on page 2683

66.1.32.8 IndividualPerson8

Definition: Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2193
	GivenName <GvnNm>	[1..1]	Text		2194
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2194
	NameSuffix <NmSfx>	[0..1]	Text		2194
	Gender <Gndr>	[0..1]	CodeSet		2194
	BirthDate <BirthDt>	[0..1]	Date		2194
	SocialSecurityNumber <ScI/SctyNb>	[0..1]	Text		2194
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2195

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

66.1.32.8.1 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max35Text" on page 2683

66.1.32.8.2 GivenName <GvnNm>

Presence: [1..1]

Definition: First name of a person.

Datatype: "Max35Text" on page 2683

66.1.32.8.3 NamePrefix <NmPrfx>

Presence: [0..1]

Definition: Specifies the terms used to formally address a person.

Datatype: "NamePrefix1Code" on page 2608

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

66.1.32.8.4 NameSuffix <NmSfx>

Presence: [0..1]

Definition: Additional information about a person that follows a person's name, for example, qualification such as Doctor of Philosophy (PhD).

Datatype: "Max35Text" on page 2683

66.1.32.8.5 Gender <Gndr>

Presence: [0..1]

Definition: Specifies the gender of the person.

Datatype: "GenderCode" on page 2584

CodeName	Name	Definition
MALE	Male	Individual is a male.
FEMA	Female	Individual is a female.

66.1.32.8.6 BirthDate <BirthDt>

Presence: [0..1]

Definition: Date on which a person is born.

Datatype: "ISODate" on page 2668

66.1.32.8.7 SocialSecurityNumber <SciSctyNb>

Presence: [0..1]

Definition: Number assigned by a social security agency.

Datatype: "Max35Text" on page 2683

66.1.32.8.8 IndividualInvestorAddress <IndvInvstrAdr>

Presence: [1..1]

Definition: Postal address of a party.

IndividualInvestorAddress <IndvInvstrAdr> contains the following elements (see "PostalAddress1" on page 2205 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2205
	AddressLine <AdrLine>	[0..5]	Text		2205
	StreetName <StrtNm>	[0..1]	Text		2205
	BuildingNumber <BldgNb>	[0..1]	Text		2206
	PostCode <PstCd>	[0..1]	Text		2206
	TownName <TwnNm>	[0..1]	Text		2206
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2206
	Country <Ctry>	[1..1]	CodeSet	C11	2206

66.1.33 Postal Address

66.1.33.1 LongPostalAddress1Choice

Definition: Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unstructured <Ustrd>	[1..1]	Text		2195
Or}	Structured <Strd>	[1..1]	±	C14	2195

66.1.33.1.1 Unstructured <Ustrd>

Presence: [1..1]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max140Text" on page 2682

66.1.33.1.2 Structured <Strd>

Presence: [1..1]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in a formal structure.

Impacted by: C14 "StreetNameAndOrPostOfficeBoxRule"

Structured <Strd> contains the following elements (see "[StructuredLongPostalAddress1](#)" on page 2407 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BuildingName <BldgNm>	[0..1]	Text		2407
	StreetName <StrtNm>	[0..1]	Text		2408
	StreetBuildingIdentification <StrtBldgId>	[0..1]	Text		2408
	Floor <Flr>	[0..1]	Text		2408
	TownName <TwnNm>	[1..1]	Text		2408
	DistrictName <DstrctNm>	[0..1]	Text		2408
	RegionIdentification <RgnId>	[0..1]	Text		2408
	State <Stat>	[0..1]	Text		2408
	CountyIdentification <CtyId>	[0..1]	Text		2408
	Country <Ctry>	[1..1]	CodeSet	C11	2408
	PostCodeIdentification <PstCdId>	[1..1]	Text		2409
	PostOfficeBox <POB>	[0..1]	Text		2409

Constraints

- **StreetNameAndOrPostOfficeBoxRule**

If StreetName is not present, then PostOfficeBox is mandatory. If StreetName is present, then PostOfficeBox is optional.

66.1.33.2 PostalAddress21

Definition: Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2197
{Or	Code <Cd>	[1..1]	CodeSet		2198
Or}	Proprietary <Prtry>	[1..1]	±		2198
	MailingIndicator <MIngInd>	[0..1]	Indicator		2198
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2198
	CareOf <CareOf>	[0..1]	Text		2199
	AddressLine <AdrLine>	[0..5]	Text		2199
	StreetName <StrtNm>	[0..1]	Text		2199
	BuildingNumber <BldgNb>	[0..1]	Text		2199
	BuildingName <BldgNm>	[0..1]	Text		2199
	PostBox <PstBx>	[0..1]	Text		2199
	SideInBuilding <SdlnBldg>	[0..1]	Text		2199
	Floor <Flr>	[0..1]	Text		2199
	SuiteIdentification <SuiteId>	[0..1]	Text		2200
	PostCode <PstCd>	[0..1]	Text		2200
	DistrictName <DstrctNm>	[0..1]	Text		2200
	Village <Vllg>	[0..1]	Text		2200
	TownName <TwnNm>	[0..1]	Text		2200
	State <Stat>	[0..1]	Text		2200
	Country <Ctry>	[1..1]	CodeSet	C11	2200

Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

66.1.33.2.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Type of address.

AddressType <AdrTp> contains one of the following **AddressType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2198
Or}	Proprietary <Prtry>	[1..1]	±		2198

66.1.33.2.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of address expressed as a code.*Datatype:* "AddressType2Code" on page 2553

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

66.1.33.2.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of address expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.33.2.2 MailingIndicator <MIngInd>*Presence:* [0..1]*Definition:* Indicates whether mail should be sent to the address.*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.33.2.3 RegistrationAddressIndicator <RegnAdrInd>*Presence:* [0..1]*Definition:* Indicates whether the address is the official address of the party.*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.33.2.4 CareOf <CareOf>

Presence: [0..1]

Definition: When the individual resides at another person's address, the name of the other person.

Datatype: "Max70Text" on page 2684

66.1.33.2.5 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 2684

66.1.33.2.6 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of the street or thoroughfare.

Datatype: "Max70Text" on page 2684

66.1.33.2.7 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of the building on a street.

Datatype: "Max16Text" on page 2682

66.1.33.2.8 BuildingName <BldgNm>

Presence: [0..1]

Definition: Name of the building or house.

Datatype: "Max35Text" on page 2683

66.1.33.2.9 PostBox <PstBx>

Presence: [0..1]

Definition: Post box number of the addressee within the residential or company building.

Datatype: "Max10Text" on page 2682

66.1.33.2.10 SideInBuilding <SdInBldg>

Presence: [0..1]

Definition: Side or wing of the building, for example, 'wing A'.

Datatype: "Max35Text" on page 2683

66.1.33.2.11 Floor <Flr>

Presence: [0..1]

Definition: Floor or storey within the building.

Datatype: "Max70Text" on page 2684

66.1.33.2.12 SuiteIdentification <SuiteId>

Presence: [0..1]

Definition: Identification of the suite or apartment.

Datatype: "Max10Text" on page 2682

66.1.33.2.13 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 2682

66.1.33.2.14 DistrictName <DstrctNm>

Presence: [0..1]

Definition: Name of a district, that is, a part of the town or region.

Datatype: "Max35Text" on page 2683

66.1.33.2.15 Village <Vllg>

Presence: [0..1]

Definition: Name of the village.

Datatype: "Max70Text" on page 2684

66.1.33.2.16 TownName <TwnNm>

Presence: [0..1]

Definition: Name of the town or city.

Datatype: "Max35Text" on page 2683

66.1.33.2.17 State <Stat>

Presence: [0..1]

Definition: Name of the state, county or country sub-division.

Datatype: "Max70Text" on page 2684

66.1.33.2.18 Country <Ctry>

Presence: [1..1]

Definition: Country of the address.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.33.3 DeliveryParameters3

Definition: Parameters of a physical delivery.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Address <Adr>	[1..1]	±		2201
	IssuedCertificateNumber <IssdCertNb>	[0..1]	Text		2201

66.1.33.3.1 Address <Adr>

Presence: [1..1]

Definition: Address for physical delivery.

Address <Adr> contains the following elements (see ["NameAndAddress4" on page 2207](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2207
	Address <Adr>	[1..1]	±		2207

66.1.33.3.2 IssuedCertificateNumber <IssdCertNb>

Presence: [0..1]

Definition: Certificate representing a security that is delivered.

Datatype: ["Max35Text" on page 2683](#)

66.1.33.4 DeliveryParameters4

Definition: Parameters of a physical delivery.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegisteredAddressIndicator <RegdAdrInd>	[1..1]	Indicator		2202
	NameAndAddress <NmAndAdr>	[0..1]	±		2202
	ContactPerson <CtctPrsn>	[0..1]	±		2202

Constraints

- **NameAndAddress1Rule**

If RegisteredAddressIndicator is "true" or "1" (Yes), then NameAndAddress is not allowed.

If RegisteredAddressIndicator is "false" or "0" (No), then NameAndAddress must be present.

66.1.33.4.1 RegisteredAddressIndicator <RegdAdrInd>

Presence: [1..1]

Definition: Indicates whether the address for the physical delivery is the registered address.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.33.4.2 NameAndAddress <NmAndAdr>

Presence: [0..1]

Definition: Name and address to/from which the physical delivery/receipt of the financial instrument must take place.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress4](#)" on page 2207 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2207
	Address <Adr>	[1..1]	±		2207

66.1.33.4.3 ContactPerson <CtctPrsn>

Presence: [0..1]

Definition: Contact person and contact information.

ContactPerson <CtctPrsn> contains the following elements (see "[ContactIdentification2](#)" on page 2156 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2157
	GivenName <GvnNm>	[0..1]	Text		2157
	Name <Nm>	[1..1]	Text		2157
	PhoneNumber <PhneNb>	[0..1]	Text		2157
	MobileNumber <MobNb>	[0..1]	Text		2157
	FaxNumber <FaxNb>	[0..1]	Text		2158
	EmailAddress <EmailAdr>	[0..1]	Text		2158

66.1.33.5 PostalAddress6

Definition: Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2203
	Department <Dept>	[0..1]	Text		2203
	SubDepartment <SubDept>	[0..1]	Text		2203
	StreetName <StrtNm>	[0..1]	Text		2203
	BuildingNumber <BldgNb>	[0..1]	Text		2204
	PostCode <PstCd>	[0..1]	Text		2204
	TownName <TwnNm>	[0..1]	Text		2204
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2204
	Country <Ctry>	[0..1]	CodeSet	C11	2204
	AddressLine <AdrLine>	[0..7]	Text		2204

66.1.33.5.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 2553

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

66.1.33.5.2 Department <Dept>

Presence: [0..1]

Definition: Identification of a division of a large organisation or building.

Datatype: "Max70Text" on page 2684

66.1.33.5.3 SubDepartment <SubDept>

Presence: [0..1]

Definition: Identification of a sub-division of a large organisation or building.

Datatype: "Max70Text" on page 2684

66.1.33.5.4 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 2684

66.1.33.5.5 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 2682

66.1.33.5.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 2682

66.1.33.5.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 2683

66.1.33.5.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country such as state, region, county.

Datatype: "Max35Text" on page 2683

66.1.33.5.9 Country <Ctry>

Presence: [0..1]

Definition: Nation with its own government.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.33.5.10 AddressLine <AdrLine>

Presence: [0..7]

Definition: Information that locates and identifies a specific address, as defined by postal services, presented in free format text.

Datatype: "Max70Text" on page 2684

66.1.33.6 PostalAddress1

Definition: Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2205
	AddressLine <AdrLine>	[0..5]	Text		2205
	StreetName <StrtNm>	[0..1]	Text		2205
	BuildingNumber <BldgNb>	[0..1]	Text		2206
	PostCode <PstCd>	[0..1]	Text		2206
	TownName <TwnNm>	[0..1]	Text		2206
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2206
	Country <Ctry>	[1..1]	CodeSet	C11	2206

66.1.33.6.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 2553

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

66.1.33.6.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 2684

66.1.33.6.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 2684

66.1.33.6.4 BuildingNumber <BldgNb>*Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 2682**66.1.33.6.5 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 2682**66.1.33.6.6 TownName <TwnNm>***Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 2683**66.1.33.6.7 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 2683**66.1.33.6.8 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C11 "Country"*Datatype:* "CountryCode" on page 2567**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.33.7 NameAndAddress5*Definition:* Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2206
	Address <Adr>	[0..1]	±		2207

66.1.33.7.1 Name <Nm>*Presence:* [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 2683

66.1.33.7.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following elements (see "PostalAddress1" on page 2205 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2205
	AddressLine <AdrLine>	[0..5]	Text		2205
	StreetName <StrtNm>	[0..1]	Text		2205
	BuildingNumber <BldgNb>	[0..1]	Text		2206
	PostCode <PstCd>	[0..1]	Text		2206
	TownName <TwnNm>	[0..1]	Text		2206
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2206
	Country <Ctry>	[1..1]	CodeSet	C11	2206

66.1.33.8 NameAndAddress4

Definition: Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2207
	Address <Adr>	[1..1]	±		2207

66.1.33.8.1 Name <Nm>

Presence: [0..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 2683

66.1.33.8.2 Address <Adr>

Presence: [1..1]

Definition: Postal address of the party.

Address <Adr> contains the following elements (see "PostalAddress1" on page 2205 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2205
	AddressLine <AdrLine>	[0..5]	Text		2205
	StreetName <StrtNm>	[0..1]	Text		2205
	BuildingNumber <BldgNb>	[0..1]	Text		2206
	PostCode <PstCd>	[0..1]	Text		2206
	TownName <TwnNm>	[0..1]	Text		2206
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2206
	Country <Ctry>	[1..1]	CodeSet	C11	2206

66.1.34 Price

66.1.34.1 PriceInformation2

Definition: Amount of money for which goods or services are offered, sold, or bought.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Value <Val>	[1..1]	±		2208
	ValueType <ValTp>	[0..1]	CodeSet		2209
{Or	Type <Tp>	[1..1]	CodeSet		2209
Or}	ExtendedType <XtnDEDTp>	[1..1]	Text		2210
	SourceOfPrice <SrcOfPric>	[0..1]			2210
{Or	LocalMarketPlace <LclMktPlc>	[1..1]	IdentifierSet		2211
Or	NonLocalMarketPlace <NonLclMktPlc>	[1..1]	±		2211
Or}	PlaceAsDSS <PlcAsDSS>	[1..1]	±		2211
	QuotationDate <QtnDt>	[0..1]	±		2211
	Yielded <Yldd>	[0..1]	Indicator		2211

Constraints

- **ValueRule**

If Type is not MRKT, then Value may not be expressed with UnknownIndicator.

66.1.34.1.1 Value <Val>

Presence: [1..1]

Definition: Value of the price, eg, as a currency and value.

Value <Val> contains one of the following elements (see "PriceRateOrAmountOrUnknownChoice" on page 2261 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2261
Or	Amount <Amt>	[1..1]	Amount	C3	2261
Or}	UnknownIndicator <UknwnInd>	[1..1]	Indicator		2261

66.1.34.1.2 Value Type <ValTp>

Presence: [0..1]

Definition: Type of value in which the price is expressed.

Datatype: "PriceValueType2Code" on page 2621

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.

66.1.34.1.3 Type <Tp>

Presence: [1..1]

Warning Either Type or ExtendedType must be present, but not both.

Definition: Type and information about a price.

Datatype: "TypeOfPrice11Code" on page 2663

CodeName	Name	Definition
BIDE	Bid	Price is the calculated bid price of a dual-priced fund (offer-bid prices), that is, the selling price of the units for the investor.
OFFR	Offer	Price is the calculated offer price of a dual-priced investment fund (offer-bid prices), that is, the buying price of the units for an investor.
NAVL	NetAssetValue	Price is the net asset value per unit that is used either as a transacting price for a single-priced investment fund class, or as a notional price for the calculation of other prices.
CREA	Creation	Price is the calculated creation price of a dual-priced investment fund (creation-cancellation prices) and the highest possible buying price of the units before the initial charge. The actual buying or

CodeName	Name	Definition
		offer price, which includes charges, will be higher.
CANC	Cancellation	Price is the calculated cancellation price of a dual-priced investment fund (creation-cancellation price), and the lowest possible valuation of the units on any one-day. The actual selling or bid price is usually higher.
INTE	Interim	Price is the non-official interim price given to an investor before the executed price.
SWNG	Swing	Price is the calculated net asset value price of a single-priced investment fund. The price is adjusted to take into account the dealing costs due to individual large deals, or due to a significant imbalance in volumes of subscriptions vs redemptions, as an alternative to dilution levy.
MIDD	Mid	Price is the average price between the bid and offer prices.
RINV	Reinvestment	Price is the price used when reinvesting units after distribution.
SWIC	Switch	Price is the price used when transferring units between products.
MRKT	Market	Price is the current market price.
INDC	Indicative	Price is the estimated indicative price.

66.1.34.1.4 ExtendedType <XtndedTp>

Presence: [1..1]

Warning Either Type or ExtendedType must be present, but not both.

Definition: Type and information about a price.

Datatype: "Extended350Code" on page 2682

66.1.34.1.5 SourceOfPrice <SrcOfPric>

Presence: [0..1]

Definition: Place from which the price was obtained.

SourceOfPrice <SrcOfPric> contains one of the following **PriceSourceFormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LocalMarketPlace <LclMktPlc>	[1..1]	IdentifierSet		2211
Or	NonLocalMarketPlace <NonLclMktPlc>	[1..1]	±		2211
Or}	PlaceAsDSS <PlcAsDSS>	[1..1]	±		2211

66.1.34.1.5.1 LocalMarketPlace <LcIMktPlc>*Presence:* [1..1]*Definition:* Source of price quotation is the market, expressed as a Market Identifier Code (MIC).*Datatype:* "MICIdentifier" on page 2676**66.1.34.1.5.2 NonLocalMarketPlace <NonLcIMktPlc>***Presence:* [1..1]*Definition:* Source of a price quotation when it is not the local market.**NonLocalMarketPlace <NonLcIMktPlc>** contains the following elements (see "PriceSource" on page 2260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceSource <PricSrc>	[1..1]	CodeSet		2260
	Narrative <Nrrtv>	[0..1]	Text		2261

66.1.34.1.5.3 PlaceAsDSS <PlcAsDSS>*Presence:* [1..1]*Definition:* Source of a price quotation expressed with a propriety identification scheme.**PlaceAsDSS <PlcAsDSS>** contains the following elements (see "GenericIdentification5" on page 1509 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Issuer <Issr>	[1..1]	Text		1509
	Information <Inf>	[1..1]	Text		1510
	Narrative <Nrrtv>	[0..1]	Text		1510

66.1.34.1.6 QuotationDate <QtnDt>*Presence:* [0..1]*Definition:* Date on which the price is obtained. With an investment fund, this is as stated in the prospectus.**QuotationDate <QtnDt>** contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.34.1.7 Yielded <Yldd>*Presence:* [0..1]*Definition:* Indicates whether the price is expressed as a yield. The absence of Yielded means it is not applicable.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.34.2 UnitPrice23

Definition: Amount of money for which goods or services are offered, sold, or bought.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2212
	Value <Val>	[1..1]	±		2212
	PriceMethod <PricMtd>	[0..1]	CodeSet		2212
	AccruedInterestNAV <AcrdIntrstNAV>	[0..1]	Amount	C3, C12	2213
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2213
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2213
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2214
{Or	Code <Cd>	[1..1]	CodeSet		2214
Or}	Proprietary <Prtry>	[1..1]	±		2214

66.1.34.2.1 Type <Tp>

Presence: [1..1]

Definition: Type and information about a price.

Type <Tp> contains one of the following elements (see "TypeOfPrice46Choice" on page 2228 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2228
Or}	Proprietary <Prtry>	[1..1]	±		2229

66.1.34.2.2 Value <Val>

Presence: [1..1]

Definition: Value of the price.

Value <Val> contains the following elements (see "PriceValue1" on page 2262 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	2262

66.1.34.2.3 PriceMethod <PricMtd>

Presence: [0..1]

Definition: Type of pricing calculation method.

Datatype: "PriceMethod1Code" on page 2620

CodeName	Name	Definition
FORW	ForwardPrice	Price will be calculated after the close of the dealing period. An investor, therefore, does not know the exact buy or sell price.
HIST	HistoricalPrice	Price is calculated at an earlier valuation point preceding the dealing period. An investor, therefore, knows the exact buy or sell price.

66.1.34.2.4 AccruedInterestNAV <AcrdIntrstNAV>

Presence: [0..1]

Definition: Interest that has accumulated between the most recent payment of interest and the sale of the financial instrument.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.34.2.5 NumberOfDaysAccrued <NbOfDaysAcrd>

Presence: [0..1]

Definition: Number of days used for calculating the accrued interest amount.

Datatype: "Number" on page 2680

66.1.34.2.6 TaxableIncomePerShare <TaxblIncmPerShr>

Presence: [0..1]

Definition: Amount included in the NAV that corresponds to gains directly or indirectly derived from interest payment in the scope of the European Directive on taxation of savings income in the form of interest payments.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.34.2.7 TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>

Presence: [0..1]

Definition: Specifies whether the fund calculates a taxable interest per share (TIS).

TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd> contains one of the following **TaxableIncomePerShareCalculated2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2214
Or}	Proprietary <Prtry>	[1..1]	±		2214

66.1.34.2.7.1 Code <Cd>

Presence: [1..1]

Definition: Taxable income per share (TIS) calculated expressed as a code.

Datatype: "TaxableIncomePerShareCalculated2Code" on page 2645

CodeName	Name	Definition
TSIY	FundCalculates	Fund is calculating the taxable interest per share with the NAV.
TSIN	FundDoesNotCalculate	Fund is not calculating the taxable interest per share with the NAV.
UKWN	FundCalculatesUnknown	Unknown whether the fund is calculating the taxable interest per share with the NAV.

66.1.34.2.7.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Taxable income per share calculated (TIS) expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.34.3 ValuationDealingProcessingCharacteristics3

Definition: Valuation dealing processing characteristics linked to the instrument, that is, not to the market.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ValuationFrequency <ValtnFrqcy>	[0..1]	CodeSet		2215
	ValuationFrequencyDescription <ValtnFrqcyDesc>	[0..1]	Text		2215
	ValuationTime <ValtnTm>	[0..1]	Time		2216
	DecimalisationUnits <DcmlstnUnits>	[0..1]	Quantity		2216
	DecimalisationPrice <DcmlstnPric>	[0..1]	Quantity		2216
	DualFundIndicator <DualFndInd>	[0..1]	Indicator		2216
	PriceMethod <PricMtd>	[0..1]	CodeSet		2216
	PriceCurrency <PricCcy>	[0..*]	CodeSet	C2	2216
	AdditionalInformation <AddtlInf>	[0..*]	±		2217

66.1.34.3.1 ValuationFrequency <ValtnFrqcy>

Presence: [0..1]

Definition: Frequency of the valuation.

Datatype: "EventFrequency5Code" on page 2576

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
MNTH	Monthly	Event takes place every month or once a month.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
CLOS	Closed	Event cannot take place, because, for example, the fund is closed.
TOMN	EveryTwoMonths	Event takes place every two months.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
TWMN	TwiceAMonth	Event takes place two times a month.

66.1.34.3.2 ValuationFrequencyDescription <ValtnFrqcyDesc>

Presence: [0..1]

Definition: Further details regarding the dealing frequency, for example, Tuesday (for weekly dealing) or last business day of the month.

Datatype: "Max350Text" on page 2683

66.1.34.3.3 ValuationTime <ValtnTm>

Presence: [0..1]

Definition: Valuation time of the fund.

Datatype: "ISOTime" on page 2685

66.1.34.3.4 DecimalisationUnits <DcmlstnUnits>

Presence: [0..1]

Definition: Number of decimal places to which quantities of units/shares are rounded.

Datatype: "Number" on page 2680

66.1.34.3.5 DecimalisationPrice <DcmlstnPric>

Presence: [0..1]

Definition: Number of decimal places to which the price is rounded.

Datatype: "Number" on page 2680

66.1.34.3.6 DualFundIndicator <DualFndInd>

Presence: [0..1]

Definition: Indicates whether the fund has two prices.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.34.3.7 PriceMethod <PricMtd>

Presence: [0..1]

Definition: Type of pricing calculation method.

Datatype: "PriceMethod1Code" on page 2620

CodeName	Name	Definition
FORW	ForwardPrice	Price will be calculated after the close of the dealing period. An investor, therefore, does not know the exact buy or sell price.
HIST	HistoricalPrice	Price is calculated at an earlier valuation point preceding the dealing period. An investor, therefore, knows the exact buy or sell price.

66.1.34.3.8 PriceCurrency <PricCcy>

Presence: [0..*]

Definition: Currencies in which the prices for the investment fund class are published by the fund management company.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.34.3.9 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the valuation dealing characteristics.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1430 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1430
	InformationValue <InfVal>	[1..1]	Text		1430

66.1.34.4 PriceReport3

Definition: Information about a price report.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceValuationDetails <PricValtnDtls>	[1..*]		C19	2218
	Identification <Id>	[0..1]	Text		2219
	ValuationDateTime <ValtnDtTm>	[0..1]	±		2219
	NAVDateTime <NAVDTm>	[1..1]	±		2220
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	2220
	FundManagementCompany <FndMgmtCpny>	[0..1]	±		2221
	TotalNAV <TtlNAV>	[0..*]	Amount	C3, C12	2221
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		2221
	NextValuationDateTime <NxtValtnDtTm>	[0..1]	±		2222
	PreviousValuationDateTime <PrvsValtnDtTm>	[0..1]	±		2222
	ValuationType <ValtnTp>	[1..1]	CodeSet		2222
	ValuationFrequency <ValtnFrqcy>	[0..1]	CodeSet		2222
	OfficialValuationIndicator <OffclValtnInd>	[1..1]	Indicator		2223
	SuspendedIndicator <Sspdlnd>	[1..1]	Indicator		2223
	PriceDetails <PricDtls>	[0..*]	±	C8	2223
	ValuationStatistics <ValtnSttstcs>	[0..*]	±	C13, C14, C15	2225
	PerformanceDetails <PrfrmncDtls>	[0..1]	±	C1	2227

66.1.34.4.1 PriceValuationDetails <PricValtnDtls>

Presence: [1..*]

Definition: Information related to the price valuation of a financial instrument.

Impacted by: C19 "PriceDetailsRule"

PriceValuationDetails <PricValtnDtls> contains the following **PriceValuation4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		2219
	ValuationDateTime <ValtnDtTm>	[0..1]	±		2219
	NAVDateTime <NAVDtTm>	[1..1]	±		2220
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	2220
	FundManagementCompany <FndMgmtCpny>	[0..1]	±		2221
	TotalNAV <TtlNAV>	[0..*]	Amount	C3, C12	2221
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		2221
	NextValuationDateTime <NxtValtnDtTm>	[0..1]	±		2222
	PreviousValuationDateTime <PrvsValtnDtTm>	[0..1]	±		2222
	ValuationType <ValtnTp>	[1..1]	CodeSet		2222
	ValuationFrequency <ValtnFrqcy>	[0..1]	CodeSet		2222
	OfficialValuationIndicator <OffclValtnInd>	[1..1]	Indicator		2223
	SuspendedIndicator <SspdInd>	[1..1]	Indicator		2223
	PriceDetails <PricDtls>	[0..*]	±	C8	2223
	ValuationStatistics <ValtnSttstcs>	[0..*]	±	C13, C14, C15	2225
	PerformanceDetails <PrfrmncDtls>	[0..1]	±	C1	2227

Constraints

- **PriceDetailsRule**

If SuspendedIndicator is "true" or "1" (Yes), then PriceDetails is optional.

If SuspendedIndicator is "false" or "0" (No), and if FinancialInstrumentDetails /DualFundIndicator is "false" or "0" (No), then PriceDetails must be present at least once.

If SuspendedIndicator is "false" or "0" (No), and if FinancialInstrumentDetails /DualFundIndicator is "true" or "1" (Yes), then PriceDetails must be present two or more times and PriceDetails/Type must be present with the values "BIDE" and "OFFR".

66.1.34.4.1.1 Identification <Id>

Presence: [0..1]

Definition: Unique technical identifier for an instance of a price valuation within a price report, as assigned by the issuer of the report.

Datatype: "Max35Text" on page 2683

66.1.34.4.1.2 ValuationDateTime <ValtnDtTm>

Presence: [0..1]

Definition: Date and time of the price valuation for the investment fund/fund class.

ValuationDateTime <ValtnDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.34.4.1.3 NAVDateTime <NAVDtTm>

Presence: [1..1]

Definition: Date and time at which a price is applied, according to the terms stated in the prospectus. The NAV date is also known as the trade date. The NAV date becomes the trade date in an order.

NAVDateTime <NAVDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.34.4.1.4 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class for which the net asset value is calculated.

Impacted by: C17 "FinancialInstrumentIdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument8" on page 1433 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..10]	±		1434
	Name <Nm>	[0..1]	Text		1434
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1434
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C3	1435
	ClassType <ClsTp>	[0..1]	Text		1435
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1435
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1435
	DualFundIndicator <DualFndInd>	[1..1]	Indicator		1436

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

66.1.34.4.1.5 FundManagementCompany <FndMgmtCpny>

Presence: [0..1]

Definition: Issuer of the fund.

FundManagementCompany <FndMgmtCpny> contains one of the following elements (see "PartyIdentification2Choice" on page 1896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	1897
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1897
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		1897

66.1.34.4.1.6 TotalNAV <TtINAV>

Presence: [0..*]

Definition: Value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.34.4.1.7 TotalUnitsNumber <TtUnitsNb>

Presence: [0..1]

Definition: Total number of investment fund class units that have been issued.

TotalUnitsNumber <TtUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.34.4.1.8 NextValuationDateTime <NxtValtnDtTm>*Presence:* [0..1]*Definition:* Date and time of the next price valuation for the investment fund/fund class.**NextValuationDateTime <NxtValtnDtTm>** contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.34.4.1.9 PreviousValuationDateTime <PrvsValtnDtTm>*Presence:* [0..1]*Definition:* Date and time of the previous price valuation for the investment fund/fund class.**PreviousValuationDateTime <PrvsValtnDtTm>** contains one of the following elements (see "DateAndDateTimeChoice" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.34.4.1.10 ValuationType <ValtnTp>*Presence:* [1..1]*Definition:* Specifies how the valuation is done, based on the schedule stated in the prospectus.*Datatype:* "ValuationTiming1Code" on page 2668

CodeName	Name	Definition
EXCP	Exceptional	Price valuation is done exceptionally, outside the timeframe specified in the prospectus.
USUA	Usual	Price valuation is done within the timeframe specified in the prospectus.
PATC	Particular	Price valuation that is done outside the usual timeframe, but in conformance with a case specified in the prospectus, eg, market closing day.

66.1.34.4.1.11 ValuationFrequency <ValtnFrqcy>*Presence:* [0..1]*Definition:* Frequency of the valuation.*Datatype:* "EventFrequency1Code" on page 2575

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.

CodeName	Name	Definition
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
MNTH	Monthly	Event takes place every month or once a month.
TWMN	TwiceAMonth	Event takes place two times a month.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.
INDA	IntraDay	Event takes place several times a day.
OVNG	Overnight	Event takes place overnight.
ONDE	OnDemand	Event takes place on demand.

66.1.34.4.1.12 OfficialValuationIndicator <OffclValtnInd>

Presence: [1..1]

Definition: Indicates whether the valuation is an official valuation.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.34.4.1.13 SuspendedIndicator <SspdInd>

Presence: [1..1]

Definition: Indicates whether the valuation of the investment fund class is suspended.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.34.4.1.14 PriceDetails <PricDtls>

Presence: [0..*]

Definition: Amount of money for which goods or services are offered, sold, or bought.

Impacted by: [C8 "CalculationBasisRule"](#)

PriceDetails <PricDtls> contains the following elements (see "UnitPrice15" on page 2242 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2244
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2246
	PriceMethod <PricMtd>	[0..1]	CodeSet		2246
	ValueInInvestmentCurrency <ValInInvstmtCcy>	[1..*]	±		2246
	ValueInAlternativeCurrency <ValInAltrntvCcy>	[0..*]	±		2246
	ForExecutionIndicator <ForExctnInd>	[1..1]	Indicator		2246
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		2247
	CalculationBasis <ClctnBsis>	[0..1]	Rate		2247
	EstimatedPriceIndicator <EstmtdPricInd>	[1..1]	Indicator		2247
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2247
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C3	2247
{Or	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]	CodeSet		2248
Or}	ExtendedTaxableIncomePerShareCalculated <XtndedTaxblIncmPerShrClctd>	[0..1]	Text		2248
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2248
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2248
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2249
	ChargeDetails <ChrgDtls>	[0..*]			2249
{Or	Type <Tp>	[1..1]	CodeSet		2249
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2250
{Or	Amount <Amt>	[1..1]	Amount	C2	2250
Or}	Rate <Rate>	[1..1]	Rate		2251
{Or	CalculationBasis <ClctnBsis>	[0..1]	CodeSet		2251
Or}	ExtendedCalculationBasis <XtndedClctnBsis>	[0..1]	Text		2251
	TaxLiabilityDetails <TaxLblyDtls>	[0..*]		C4	2251
{Or	Type <Tp>	[1..1]	CodeSet		2252
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2253
	Amount <Amt>	[0..7]	Amount	C3	2253
	Rate <Rate>	[0..1]	Rate		2253
	Country <Ctry>	[1..1]	CodeSet	C11	2253
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2254

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	EUCapitalGain <EUCptlGn>	[0..1]	CodeSet		2254
Or}	ExtendedEUCapitalGain <XtndedEUCptlGn>	[0..1]	Text		2254
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2255
	PercentageGrandfatheredDebt <PctgGrdfthdDebt>	[0..1]	Rate		2255
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2255
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2255
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2256
	TaxRefundDetails <TaxRfndDtls>	[0..*]		C4	2256
{Or	Type <Tp>	[1..1]	CodeSet		2256
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2257
	Amount <Amt>	[0..7]	Amount	C3	2257
	Rate <Rate>	[0..1]	Rate		2258
	Country <Ctry>	[1..1]	CodeSet	C11	2258
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2258
{Or	EUCapitalGain <EUCptlGn>	[0..1]	CodeSet		2258
Or}	ExtendedEUCapitalGain <XtndedEUCptlGn>	[0..1]	Text		2259
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2259
	PercentageGrandfatheredDebt <PctgGrdfthdDebt>	[0..1]	Rate		2259
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2259
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2260
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2260

Constraints

- **CalculationBasisRule**

If Type is not NonAdjustedUnpublished, then CalculationBasis is not allowed. If Type is NonAdjustedUnpublished, then CalculationBasis may be present.

66.1.34.4.1.15 ValuationStatistics <ValtnSttstcs>

Presence: [0..*]

Definition: Information related to the price variations of an investment fund class.

Impacted by: C13 "CurrencyPredefinedPeriodsRule", C14 "CurrencyPriceChangeRule", C15 "CurrencyUserDefinedPeriodsRule"

ValuationStatistics <ValtnSttstcs> contains the following elements (see "ValuationStatistics3" on page 1865 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Currency <Ccy>	[1..1]	CodeSet	C3	1867
	PriceTypeChangeBasis <PricTpChngBsis>	[1..1]		C18	1867
	Structured <Strd>	[1..1]	CodeSet		1868
	AdditionalInformation <AddtlInf>	[0..1]	Text		1869
	PriceChange <PricChng>	[1..1]		C5, C6	1869
	Amount <Amt>	[0..1]	Amount	C3	1870
	AmountSign <AmtSgn>	[0..1]	Indicator		1870
	Rate <Rate>	[0..1]	Rate		1870
	Yield <Yld>	[0..1]	Rate		1870
	ByPredefinedTimePeriods <ByPrdfndTmPrds>	[0..1]			1870
	HighestPriceValue12Months <HghstPricVal12Mnths>	[0..1]			1871
	Amount <Amt>	[1..1]	Amount	C3	1871
	LowestPriceValue12Months <LwstPricVal12Mnths>	[0..1]			1872
	Amount <Amt>	[1..1]	Amount	C3	1872
	OneYearPriceChange <OneYrPricChng>	[0..1]		C5, C6	1872
	Amount <Amt>	[0..1]	Amount	C3	1873
	AmountSign <AmtSgn>	[0..1]	Indicator		1873
	Rate <Rate>	[0..1]	Rate		1873
	ThreeYearPriceChange <ThreeYrPricChng>	[0..1]		C5, C6	1873
	Amount <Amt>	[0..1]	Amount	C3	1874
	AmountSign <AmtSgn>	[0..1]	Indicator		1874
	Rate <Rate>	[0..1]	Rate		1874
	FiveYearPriceChange <FiveYrPricChng>	[0..1]		C5, C6	1874
	Amount <Amt>	[0..1]	Amount	C3	1875
	AmountSign <AmtSgn>	[0..1]	Indicator		1875
	Rate <Rate>	[0..1]	Rate		1875
	ByUserDefinedTimePeriod <ByUsrDfndTmPrd>	[0..*]			1876
	Period <Prd>	[1..1]	±		1876
	HighestPriceValue <HghstPricVal>	[0..1]			1876
	Amount <Amt>	[1..1]	Amount	C3	1876

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LowestPriceValue <LwstPricVal>	[0..1]			1877
	Amount <Amt>	[1..1]	Amount	C3	1877
	PriceChange <PricChng>	[0..1]		C5, C6	1877
	Amount <Amt>	[0..1]	Amount	C3	1878
	AmountSign <AmtSgn>	[0..1]	Indicator		1878
	Rate <Rate>	[0..1]	Rate		1878
	Yield <Yld>	[0..1]	Rate		1878

Constraints

- **CurrencyPredefinedPeriodsRule**

Currency in ByPredefinedTimePeriods/HighestPriceValue12Months/Amount, ByPredefinedTimePeriods/LowestPriceValue12Months/Amount, ByPredefinedTimePeriods/OneYearPriceChange/Amount, ByPredefinedTimePeriods/ThreeYearPriceChange/Amount and ByPredefinedTimePeriods/FiveYearPriceChange/Amount must be the same as Currency.

- **CurrencyPriceChangeRule**

Currency in PriceChange/Amount must be the same as Currency.

- **CurrencyUserDefinedPeriodsRule**

Currency in ByUserDefinedTimePeriod/HighestPriceValue/Amount, ByUserDefinedTimePeriod/LowestPriceValue/Amount and ByUserDefinedTimePeriod/PriceChange/Amount must be the same as Currency.

66.1.34.4.1.16 PerformanceDetails <PrfrmncDtls>

Presence: [0..1]

Definition: Factors that give indications about the performance of a fund.

Impacted by: C1 "AccumulationPeriodRule"

PerformanceDetails <PrfrmncDtls> contains the following elements (see "PerformanceFactors1" on page 1811 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionFactor <CorpActnFctr>	[0..1]	Quantity		1811
	CumulativeCorporateActionFactor <CmltvCorpActnFctr>	[0..1]	Quantity		1811
	AccumulationPeriod <AcmltnPrd>	[0..1]	±		1812
	NormalPerformance <NrmlPrfrmnc>	[0..1]	Quantity		1812

Constraints

- **AccumulationPeriodRule**

AccumulationPeriod must be present if CumulativeCorporateActionFactor is present.

66.1.34.5 TypeOfPrice46Choice

Definition: Choice of formats for a type of price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2228
Or}	Proprietary <Prtry>	[1..1]	±		2229

66.1.34.5.1 Code <Cd>

Presence: [1..1]

Definition: Type expressed as a code.

Datatype: "TypeOfPrice10Code" on page 2662

CodeName	Name	Definition
BIDE	Bid	Price is the calculated bid price of a dual-priced fund (offer-bid prices), that is, the selling price of the units for the investor.
OFFR	Offer	Price is the calculated offer price of a dual-priced investment fund (offer-bid prices), that is, the buying price of the units for an investor.
NAVL	NetAssetValue	Price is the net asset value per unit that is used either as a transacting price for a single-priced investment fund class, or as a notional price for the calculation of other prices.
CREA	Creation	Price is the calculated creation price of a dual-priced investment fund (creation-cancellation prices) and the highest possible buying price of the units before the initial charge. The actual buying or offer price, which includes charges, will be higher.
CANC	Cancellation	Price is the calculated cancellation price of a dual-priced investment fund (creation-cancellation price), and the lowest possible valuation of the units on any one-day. The actual selling or bid price is usually higher.
INTE	Interim	Price is the non-official interim price given to an investor before the executed price.
SWNG	Swing	Price is the calculated net asset value price of a single-priced investment fund. The price is adjusted to take into account the dealing costs due to individual large deals, or due to a significant imbalance

CodeName	Name	Definition
		in volumes of subscriptions vs redemptions, as an alternative to dilution levy.
MIDD	Mid	Price is the average price between the bid and offer prices.
RINV	Reinvestment	Price is the price used when reinvesting units after distribution.
SWIC	Switch	Price is the price used when transferring units between products.
DDVR	DailyDividendRate	Price is the net income of a financial instrument, calculated on each dealing day, and divided by all the units outstanding on that day.
ACTU	Actual	True offer price.

66.1.34.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.34.6 ProfitAndLoss2Choice

Definition: Choice between profit and loss.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Profit <Prft>	[1..1]	Amount	C2, C10	2229
Or}	Loss <Loss>	[1..1]	Amount	C2, C10	2230

66.1.34.6.1 Profit <Prft>

Presence: [1..1]

Definition: Value of the positive amount.

Impacted by: [C2 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.34.6.2 Loss <Loss>

Presence: [1..1]

Definition: Value of the negative amount.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.34.7 UnitPrice22

Definition: Amount of money for which goods or services are offered, sold, or bought.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2231
	Value <Val>	[1..1]	±		2231
	PriceMethod <PricMtd>	[0..1]	CodeSet		2231
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2232
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2232
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2232
{Or	Code <Cd>	[1..1]	CodeSet		2232
Or}	Proprietary <Prtry>	[1..1]	±		2233
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2233

66.1.34.7.1 Type <Tp>

Presence: [1..1]

Definition: Type and information about a price.

Type <Tp> contains one of the following elements (see "[TypeOfPrice46Choice](#)" on page 2228 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2228
Or}	Proprietary <Prtry>	[1..1]	±		2229

66.1.34.7.2 Value <Val>

Presence: [1..1]

Definition: Value of the price, for example, as a currency and value.

Value <Val> contains the following elements (see "[PriceValue1](#)" on page 2262 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	2262

66.1.34.7.3 PriceMethod <PricMtd>

Presence: [0..1]

Definition: Type of pricing calculation method.

Datatype: "[PriceMethod1Code](#)" on page 2620

CodeName	Name	Definition
FORW	ForwardPrice	Price will be calculated after the close of the dealing period. An investor, therefore, does not know the exact buy or sell price.

CodeName	Name	Definition
HIST	HistoricalPrice	Price is calculated at an earlier valuation point preceding the dealing period. An investor, therefore, knows the exact buy or sell price.

66.1.34.7.4 NumberOfDaysAccrued <NbOfDaysAcrd>

Presence: [0..1]

Definition: Specifies the number of days used for calculating the accrued interest amount.

Datatype: "Number" on page 2680

66.1.34.7.5 TaxableIncomePerShare <TaxblIncmPerShr>

Presence: [0..1]

Definition: Amount included in the NAV that corresponds to gains directly or indirectly derived from interest payment in the scope of the European Directive on taxation of savings income in the form of interest payments.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.34.7.6 TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>

Presence: [0..1]

Definition: Specifies whether the fund calculates a taxable interest per share (TIS).

TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd> contains one of the following **TaxableIncomePerShareCalculated2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2232
Or}	Proprietary <Prtry>	[1..1]	±		2233

66.1.34.7.6.1 Code <Cd>

Presence: [1..1]

Definition: Taxable income per share (TIS) calculated expressed as a code.

Datatype: "TaxableIncomePerShareCalculated2Code" on page 2645

CodeName	Name	Definition
TSIY	FundCalculates	Fund is calculating the taxable interest per share with the NAV.

CodeName	Name	Definition
TSIN	FundDoesNotCalculate	Fund is not calculating the taxable interest per share with the NAV.
UKWN	FundCalculatesUnknown	Unknown whether the fund is calculating the taxable interest per share with the NAV.

66.1.34.7.6.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Taxable income per share calculated (TIS) expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.34.7.7 PriceDifferenceReason <PricDiffRsn>

Presence: [0..1]

Definition: Reason why the price is different from the current market price.

Datatype: "[Max350Text](#)" on page 2683

66.1.34.8 PriceInformation3

Definition: Amount of money for which goods or services are offered, sold, or bought.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Value <Val>	[1..1]	±		2233
	PriceType <PricTp>	[1..1]	±		2234
	SourceOfPrice <SrcOfPric>	[0..1]			2234
{Or	LocalMarketPlace <LclMktPlc>	[1..1]	IdentifierSet		2234
Or	NonLocalMarketPlace <NonLclMktPlc>	[1..1]	±		2234
Or}	PlaceAsDSS <PlcAsDSS>	[1..1]	±		2235
	QuotationDate <QtnDt>	[0..1]	±		2235
	YieldedIndicator <YlddInd>	[0..1]	Indicator		2235

66.1.34.8.1 Value <Val>

Presence: [1..1]

Definition: Value of the price, eg, as a currency and value.

Value <Val> contains one of the following elements (see "PriceRateOrAmountOrUnknownChoice" on page 2261 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2261
Or	Amount <Amt>	[1..1]	Amount	C3	2261
Or}	UnknownIndicator <UknwnInd>	[1..1]	Indicator		2261

66.1.34.8.2 PriceType <PricTp>

Presence: [1..1]

Definition: Amount of money for which goods or services are offered, sold, or bought.

PriceType <PricTp> contains one of the following elements (see "UnitPriceType1Choice" on page 2235 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2236
Or}	Proprietary <Prtry>	[1..1]	±		2237

66.1.34.8.3 SourceOfPrice <SrcOfPric>

Presence: [0..1]

Definition: Place from which the price was obtained.

SourceOfPrice <SrcOfPric> contains one of the following **PriceSourceFormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LocalMarketPlace <LclMktPlc>	[1..1]	IdentifierSet		2234
Or	NonLocalMarketPlace <NonLclMktPlc>	[1..1]	±		2234
Or}	PlaceAsDSS <PlcAsDSS>	[1..1]	±		2235

66.1.34.8.3.1 LocalMarketPlace <LclMktPlc>

Presence: [1..1]

Definition: Source of price quotation is the market, expressed as a Market Identifier Code (MIC).

Datatype: "MICIdentifier" on page 2676

66.1.34.8.3.2 NonLocalMarketPlace <NonLclMktPlc>

Presence: [1..1]

Definition: Source of a price quotation when it is not the local market.

NonLocalMarketPlace <NonLcIMktPlc> contains the following elements (see "[PriceSource](#)" on page 2260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceSource <PricSrc>	[1..1]	CodeSet		2260
	Narrative <Nrtrv>	[0..1]	Text		2261

66.1.34.8.3.3 PlaceAsDSS <PlcAsDSS>

Presence: [1..1]

Definition: Source of a price quotation expressed with a propriety identification scheme.

PlaceAsDSS <PlcAsDSS> contains the following elements (see "[GenericIdentification5](#)" on page 1509 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Issuer <Issr>	[1..1]	Text		1509
	Information <Inf>	[1..1]	Text		1510
	Narrative <Nrtrv>	[0..1]	Text		1510

66.1.34.8.4 QuotationDate <QtnDt>

Presence: [0..1]

Definition: Date on which the price is obtained. With an investment fund, this is as stated in the prospectus.

QuotationDate <QtnDt> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1379 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1379
Or}	DateTime <DtTm>	[1..1]	DateTime		1379

66.1.34.8.5 YieldedIndicator <YlddInd>

Presence: [0..1]

Definition: Indicates whether the price is expressed as a yield. The absence of Yielded means it is not applicable.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.34.9 UnitPriceType1Choice

Definition: Choice of unit price type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2236
Or}	Proprietary <Prtry>	[1..1]	±		2237

66.1.34.9.1 Type <Tp>

Presence: [1..1]

Definition: Type and information about a price.

Datatype: "TypeOfPrice13Code" on page 2664

CodeName	Name	Definition
BIDE	Bid	Price is the calculated bid price of a dual-priced fund (offer-bid prices), that is, the selling price of the units for the investor.
OFFR	Offer	Price is the calculated offer price of a dual-priced investment fund (offer-bid prices), that is, the buying price of the units for an investor.
NAVL	NetAssetValue	Price is the net asset value per unit that is used either as a transacting price for a single-priced investment fund class, or as a notional price for the calculation of other prices.
CREA	Creation	Price is the calculated creation price of a dual-priced investment fund (creation-cancellation prices) and the highest possible buying price of the units before the initial charge. The actual buying or offer price, which includes charges, will be higher.
CANC	Cancellation	Price is the calculated cancellation price of a dual-priced investment fund (creation-cancellation price), and the lowest possible valuation of the units on any one-day. The actual selling or bid price is usually higher.
INTE	Interim	Price is the non-official interim price given to an investor before the executed price.
SWNG	Swing	Price is the calculated net asset value price of a single-priced investment fund. The price is adjusted to take into account the dealing costs due to individual large deals, or due to a significant imbalance in volumes of subscriptions vs redemptions, as an alternative to dilution levy.
MIDD	Mid	Price is the average price between the bid and offer prices.
RINV	Reinvestment	Price is the price used when reinvesting units after distribution.

CodeName	Name	Definition
NAVS	SidePocketNAV	Price is the estimated net asset value for the side pocket.
SWIC	Switch	Price is the price used when transferring units between products.
GAVL	GrossAssetValue	Price is the gross asset value per unit and includes the performance fee per share. It is used as the transacting price for a fund with equalisation. GAVL price minus the NAVL price = performance fee.
DDVR	DailyDividendRate	Price is the net income of a financial instrument, calculated on each dealing day, and divided by all the units outstanding on that day.
ACTU	Actual	True offer price.
EGAV	EstimatedGAV	Price is the estimated gross asset value per unit and includes the performance fee per share. It is used as the transacting price for a fund with equalisation. GAVL price minus the NAVL price = performance fee.

66.1.34.9.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type and information about a price.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1511
	SchemeName <SchmeNm>	[0..1]	Text		1511
	Issuer <Issr>	[1..1]	Text		1511

66.1.34.10 UnitPrice20

Definition: Amount of money for which goods or services are offered, sold, or bought.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceType <PricTp>	[1..1]			2238
{Or	Code <Cd>	[1..1]	CodeSet		2238
Or}	Proprietary <Prtry>	[1..1]	±		2239
	Value <Val>	[1..1]	±		2239
	PriceMethod <PricMtd>	[0..1]	CodeSet		2239

66.1.34.10.1 PriceType <PricTp>*Presence:* [1..1]*Definition:* Type of price.**PriceType <PricTp>** contains one of the following **UnitPriceType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2238
Or}	Proprietary <Prtry>	[1..1]	±		2239

66.1.34.10.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of price expressed as a code.*Datatype:* "TypeOfPrice10Code" on page 2662

CodeName	Name	Definition
BIDE	Bid	Price is the calculated bid price of a dual-priced fund (offer-bid prices), that is, the selling price of the units for the investor.
OFFR	Offer	Price is the calculated offer price of a dual-priced investment fund (offer-bid prices), that is, the buying price of the units for an investor.
NAVL	NetAssetValue	Price is the net asset value per unit that is used either as a transacting price for a single-priced investment fund class, or as a notional price for the calculation of other prices.
CREA	Creation	Price is the calculated creation price of a dual-priced investment fund (creation-cancellation prices) and the highest possible buying price of the units before the initial charge. The actual buying or offer price, which includes charges, will be higher.
CANC	Cancellation	Price is the calculated cancellation price of a dual-priced investment fund (creation-cancellation price), and the lowest possible valuation of the units on any one-day. The actual selling or bid price is usually higher.
INTE	Interim	Price is the non-official interim price given to an investor before the executed price.
SWNG	Swing	Price is the calculated net asset value price of a single-priced investment fund. The price is adjusted to take into account the dealing costs due to individual large deals, or due to a significant imbalance in volumes of subscriptions vs redemptions, as an alternative to dilution levy.

CodeName	Name	Definition
MIDD	Mid	Price is the average price between the bid and offer prices.
RINV	Reinvestment	Price is the price used when reinvesting units after distribution.
SWIC	Switch	Price is the price used when transferring units between products.
DDVR	DailyDividendRate	Price is the net income of a financial instrument, calculated on each dealing day, and divided by all the units outstanding on that day.
ACTU	Actual	True offer price.

66.1.34.10.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of price expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.34.10.2 Value <Val>

Presence: [1..1]

Definition: Value of the price, that is, as a currency and value.

Value <Val> contains the following elements (see "[PriceValue1](#)" on page 2262 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	2262

66.1.34.10.3 PriceMethod <PricMtd>

Presence: [0..1]

Definition: Type of pricing calculation method.

Datatype: "[PriceMethod1Code](#)" on page 2620

CodeName	Name	Definition
FORW	ForwardPrice	Price will be calculated after the close of the dealing period. An investor, therefore, does not know the exact buy or sell price.

CodeName	Name	Definition
HIST	HistoricalPrice	Price is calculated at an earlier valuation point preceding the dealing period. An investor, therefore, knows the exact buy or sell price.

66.1.34.11 UnitPrice19

Definition: Amount of money for which goods or services are offered, sold, or bought.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceType <PricTp>	[1..1]			2240
{Or	Code <Cd>	[1..1]	CodeSet		2240
Or}	Proprietary <Prtry>	[1..1]	±		2241
	Value <Val>	[1..1]	±		2241

66.1.34.11.1 PriceType <PricTp>

Presence: [1..1]

Definition: Type of price.

PriceType <PricTp> contains one of the following **UnitPriceType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2240
Or}	Proprietary <Prtry>	[1..1]	±		2241

66.1.34.11.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of price expressed as a code.

Datatype: "TypeOfPrice10Code" on page 2662

CodeName	Name	Definition
BIDE	Bid	Price is the calculated bid price of a dual-priced fund (offer-bid prices), that is, the selling price of the units for the investor.
OFFR	Offer	Price is the calculated offer price of a dual-priced investment fund (offer-bid prices), that is, the buying price of the units for an investor.
NAVL	NetAssetValue	Price is the net asset value per unit that is used either as a transacting price for a single-priced investment fund class, or as a notional price for the calculation of other prices.
CREA	Creation	Price is the calculated creation price of a dual-priced investment fund (creation-

CodeName	Name	Definition
		cancellation prices) and the highest possible buying price of the units before the initial charge. The actual buying or offer price, which includes charges, will be higher.
CANC	Cancellation	Price is the calculated cancellation price of a dual-priced investment fund (creation-cancellation price), and the lowest possible valuation of the units on any one-day. The actual selling or bid price is usually higher.
INTE	Interim	Price is the non-official interim price given to an investor before the executed price.
SWNG	Swing	Price is the calculated net asset value price of a single-priced investment fund. The price is adjusted to take into account the dealing costs due to individual large deals, or due to a significant imbalance in volumes of subscriptions vs redemptions, as an alternative to dilution levy.
MIDD	Mid	Price is the average price between the bid and offer prices.
RINV	Reinvestment	Price is the price used when reinvesting units after distribution.
SWIC	Switch	Price is the price used when transferring units between products.
DDVR	DailyDividendRate	Price is the net income of a financial instrument, calculated on each dealing day, and divided by all the units outstanding on that day.
ACTU	Actual	True offer price.

66.1.34.11.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of price expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.34.11.2 Value <Val>

Presence: [1..1]

Definition: Value of the price, that is, as a currency and value.

Value <Val> contains the following elements (see "PriceValue1" on page 2262 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	2262

66.1.34.12 UnitPrice15

Definition: Amount of money for which goods or services are offered, sold, or bought.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2244
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2246
	PriceMethod <PricMtd>	[0..1]	CodeSet		2246
	ValueInInvestmentCurrency <VallnInvstmtCcy>	[1..*]	±		2246
	ValueInAlternativeCurrency <VallnAltrntvCcy>	[0..*]	±		2246
	ForExecutionIndicator <ForExctnInd>	[1..1]	Indicator		2246
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		2247
	CalculationBasis <ClctnBsis>	[0..1]	Rate		2247
	EstimatedPriceIndicator <EstmtdPricInd>	[1..1]	Indicator		2247
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2247
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C3	2247
{Or	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]	CodeSet		2248
Or}	ExtendedTaxableIncomePerShareCalculated <XtndedTaxblIncmPerShrClctd>	[0..1]	Text		2248
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2248
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2248
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2249
	ChargeDetails <ChrgDtls>	[0..*]			2249
{Or	Type <Tp>	[1..1]	CodeSet		2249
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2250
{Or	Amount <Amt>	[1..1]	Amount	C2	2250
Or}	Rate <Rate>	[1..1]	Rate		2251
{Or	CalculationBasis <ClctnBsis>	[0..1]	CodeSet		2251
Or}	ExtendedCalculationBasis <XtndedClctnBsis>	[0..1]	Text		2251
	TaxLiabilityDetails <TaxLbiltyDtls>	[0..*]		C4	2251
{Or	Type <Tp>	[1..1]	CodeSet		2252
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2253
	Amount <Amt>	[0..7]	Amount	C3	2253
	Rate <Rate>	[0..1]	Rate		2253
	Country <Ctry>	[1..1]	CodeSet	C11	2253
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2254
{Or	EUCapitalGain <EUCptlGn>	[0..1]	CodeSet		2254

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	ExtendedEUCapitalGain <XtndedEUCptlGn>	[0..1]	Text		2254
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2255
	PercentageGrandfatheredDebt <PctgGrdfthdDebt>	[0..1]	Rate		2255
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2255
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2255
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2256
	TaxRefundDetails <TaxRfndDtls>	[0..*]		C4	2256
{Or	Type <Tp>	[1..1]	CodeSet		2256
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2257
	Amount <Amt>	[0..7]	Amount	C3	2257
	Rate <Rate>	[0..1]	Rate		2258
	Country <Ctry>	[1..1]	CodeSet	C11	2258
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2258
{Or	EUCapitalGain <EUCptlGn>	[0..1]	CodeSet		2258
Or}	ExtendedEUCapitalGain <XtndedEUCptlGn>	[0..1]	Text		2259
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2259
	PercentageGrandfatheredDebt <PctgGrdfthdDebt>	[0..1]	Rate		2259
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2259
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2260
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2260

Constraints

- CalculationBasisRule**

If Type is not NonAdjustedUnpublished, then CalculationBasis is not allowed. If Type is NonAdjustedUnpublished, then CalculationBasis may be present.

66.1.34.12.1 Type <Tp>

Presence: [1..1]

Warning Either Type or ExtendedType must be present, but not both.

Definition: Type and information about a price.

Datatype: "TypeOfPrice9Code" on page 2666

CodeName	Name	Definition
BIDE	Bid	Price is the calculated bid price of a dual-priced fund (offer-bid prices), that

CodeName	Name	Definition
		is, the selling price of the units for the investor.
OFFR	Offer	Price is the calculated offer price of a dual-priced investment fund (offer-bid prices), that is, the buying price of the units for an investor.
NAVL	NetAssetValue	Price is the net asset value per unit that is used either as a transacting price for a single-priced investment fund class, or as a notional price for the calculation of other prices.
CREA	Creation	Price is the calculated creation price of a dual-priced investment fund (creation-cancellation prices) and the highest possible buying price of the units before the initial charge. The actual buying or offer price, which includes charges, will be higher.
CANC	Cancellation	Price is the calculated cancellation price of a dual-priced investment fund (creation-cancellation price), and the lowest possible valuation of the units on any one-day. The actual selling or bid price is usually higher.
INTE	Interim	Price is the non-official interim price given to an investor before the executed price.
SWNG	Swing	Price is the calculated net asset value price of a single-priced investment fund. The price is adjusted to take into account the dealing costs due to individual large deals, or due to a significant imbalance in volumes of subscriptions vs redemptions, as an alternative to dilution levy.
MIDD	Mid	Price is the average price between the bid and offer prices.
RINV	Reinvestment	Price is the price used when reinvesting units after distribution.
SWIC	Switch	Price is the price used when transferring units between products.
DDVR	DailyDividendRate	Price is the net income of a financial instrument, calculated on each dealing day, and divided by all the units outstanding on that day.
ACTU	Actual	True offer price.
NAUP	NonAdjustedUnpublished	The original NAV before the price of the investment fund was swung.
GUAR	Guaranteed	Price is a guaranteed price.
ENAV	EstimatedNAV	Price is an estimated net asset value per unit.

66.1.34.12.2 ExtendedType <XtndedTp>*Presence:* [1..1]**Warning** Either Type or ExtendedType must be present, but not both.*Definition:* Type and information about a price.*Datatype:* "Extended350Code" on page 2682**66.1.34.12.3 PriceMethod <PricMtd>***Presence:* [0..1]*Definition:* Type of pricing calculation method.*Datatype:* "PriceMethod1Code" on page 2620

CodeName	Name	Definition
FORW	ForwardPrice	Price will be calculated after the close of the dealing period. An investor, therefore, does not know the exact buy or sell price.
HIST	HistoricalPrice	Price is calculated at an earlier valuation point preceding the dealing period. An investor, therefore, knows the exact buy or sell price.

66.1.34.12.4 ValueInInvestmentCurrency <VallnInvstmtCcy>*Presence:* [1..*]*Definition:* Value of the price, eg, as a currency and value.**ValueInInvestmentCurrency <VallnInvstmtCcy>** contains the following elements (see "PriceValue1" on page 2262 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	2262

66.1.34.12.5 ValueInAlternativeCurrency <VallnAltrntvCcy>*Presence:* [0..*]*Definition:* Value of the price, eg, as a currency and value.**ValueInAlternativeCurrency <VallnAltrntvCcy>** contains the following elements (see "PriceValue1" on page 2262 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	2262

66.1.34.12.6 ForExecutionIndicator <ForExctnInd>*Presence:* [1..1]*Definition:* Indicates whether the price information can be used for the execution of a transaction.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.34.12.7 CumDividendIndicator <CumDvddInd>

Presence: [1..1]

Definition: Indicates whether the dividend is included, ie, cum-dividend, in the price. When the dividend is not included, the price will be ex-dividend.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.34.12.8 CalculationBasis <ClctnBsis>

Presence: [0..1]

Definition: Ratio applied on the non-adjusted price.

Datatype: "[PercentageRate](#)" on page 2681

66.1.34.12.9 EstimatedPriceIndicator <EstmtdPricInd>

Presence: [1..1]

Definition: Indicates whether the price is an estimated price.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.34.12.10 NumberOfDaysAccrued <NbOfDaysAcrd>

Presence: [0..1]

Definition: Specifies the number of days from trade date that the counterparty on the other side of the trade should "given up" or divulged.

Datatype: "[Number](#)" on page 2680

66.1.34.12.11 TaxableIncomePerShare <TaxblIncmPerShr>

Presence: [0..1]

Definition: Amount included in the NAV that corresponds to gains directly or indirectly derived from interest payment in the scope of the European Directive on taxation of savings income in the form of interest payments.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#)

Datatype: "[ActiveOrHistoricCurrencyAnd13DecimalAmount](#)" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.34.12.12 TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>

Presence: [0..1]

Warning Either TaxableIncomePerShareCalculated or ExtendedTaxableIncomePerShareCalculated may be present, but not both.

Definition: Specifies whether the fund calculates a taxable interest per share (TIS).

Datatype: "TaxableIncomePerShareCalculated2Code" on page 2645

CodeName	Name	Definition
TSIY	FundCalculates	Fund is calculating the taxable interest per share with the NAV.
TSIN	FundDoesNotCalculate	Fund is not calculating the taxable interest per share with the NAV.
UKWN	FundCalculatesUnknown	Unknown whether the fund is calculating the taxable interest per share with the NAV.

66.1.34.12.13 ExtendedTaxableIncomePerShareCalculated <XtndedTaxblIncmPerShrClctd>

Presence: [0..1]

Warning Either TaxableIncomePerShareCalculated or ExtendedTaxableIncomePerShareCalculated may be present, but not both.

Definition: Specifies whether the fund calculates a taxable interest per share (TIS).

Datatype: "Extended350Code" on page 2682

66.1.34.12.14 TaxableIncomePerDividend <TaxblIncmPerDvdd>

Presence: [0..1]

Definition: Amount included in the dividend that corresponds to gains directly or indirectly derived from interest payment in the scope of the European Directive on taxation of savings income in the form of interest payments.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.34.12.15 EUDividendStatus <EUDvddSts>

Presence: [0..1]

Warning Either EUDividendStatus or ExtendedEUDividendStatus may be present, but not both.

Definition: Specifies whether dividend is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.

Datatype: "EUDividendStatus1Code" on page 2575

CodeName	Name	Definition
DIVI	DividendInScope	Dividend is in the scope of the directive.
DIVO	DividendOutScope	Dividend is out of the scope of the directive.
UKWN	DividendUnknown	Unknown whether the dividend is in or out of the scope of the directive.

66.1.34.12.16 ExtendedEUDividendStatus <XtndedEUDvddSts>

Presence: [0..1]

Warning Either EUDividendStatus or ExtendedEUDividendStatus may be present, but not both.

Definition: Specifies whether dividend is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.

Datatype: "Extended350Code" on page 2682

66.1.34.12.17 ChargeDetails <ChrgDtls>

Presence: [0..*]

Definition: Amount of money associated with a service.

ChargeDetails <ChrgDtls> contains the following **Charge15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2249
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2250
{Or	Amount <Amt>	[1..1]	Amount	C2	2250
Or}	Rate <Rate>	[1..1]	Rate		2251
{Or	CalculationBasis <ClctnBsis>	[0..1]	CodeSet		2251
Or}	ExtendedCalculationBasis <XtndedClctnBsis>	[0..1]	Text		2251

66.1.34.12.17.1 Type <Tp>

Presence: [1..1]

Warning Either Type or ExtendedType must be present, but not both.

Definition: Type of service for which a charge is asked or paid.

Datatype: "ChargeType9Code" on page 2560

CodeName	Name	Definition
MANF	ManagementFee	Fee paid to an investment manager for services. The fee usually includes fund administration costs and investor relationship management. Typically, the amount paid is a percentage of the assets under management.
BEND	BackEndLoad	Sales charge paid by the investor when redeeming an investment such as an investment fund.
FEND	FrontEndLoad	Sales charge paid immediately by the investor when subscribing to an investment such as an investment fund.
ADVI	AdvisoryFee	Fee paid for advisory services rendered.
CUST	CustodyFee	Fee paid to a custodian in respect of custodial services.
PUBL	PublicationFee	Fee paid in respect of publications made.
ACCT	AccountingFee	Fee paid for accounting services rendered.
EQUL	Equalisation	The part of an investor's subscription amount that is held by the fund in order to pay incentive or performance fees at the end of the fiscal year.
PENA	Penalty	Fee charged to the investor for early redemption of the fund.

66.1.34.12.17.2 ExtendedType <XtndedTp>

Presence: [1..1]

Warning Either Type or ExtendedType must be present, but not both.

Definition: Type of service for which a charge is asked or paid.

Datatype: "Extended350Code" on page 2682

66.1.34.12.17.3 Amount <Amt>

Presence: [1..1]

Warning Either Amount or Rate must be present, but not both.

Definition: Amount of money asked or paid for the charge.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.34.12.17.4 Rate <Rate>

Presence: [1..1]

Warning Either Amount or Rate must be present, but not both.

Definition: Rate used to calculate the amount of the charge or fee.

Datatype: "PercentageRate" on page 2681

66.1.34.12.17.5 CalculationBasis <ClctnBsis>

Presence: [0..1]

Warning Either CalculationBasis or ExtendedCalculationBasis may be present, but not both.

Definition: Calculation basis for the charge or fee.

Datatype: "CalculationBasis2Code" on page 2556

CodeName	Name	Definition
AVER	Average	Average basis.
DAIL	Daily	Daily basis.
MNTH	Monthly	Monthly basis.
YEAR	Annual	Yearly basis.

66.1.34.12.17.6 ExtendedCalculationBasis <XtndedClctnBsis>

Presence: [0..1]

Warning Either CalculationBasis or ExtendedCalculationBasis may be present, but not both.

Definition: Calculation basis for the charge or fee.

Datatype: "Extended350Code" on page 2682

66.1.34.12.18 TaxLiabilityDetails <TaxLbiltyDtls>

Presence: [0..*]

Definition: Information related to taxes that are due.

Impacted by: C4 "AmountAndOrRateRule"

TaxLiabilityDetails <TaxLblyDtls> contains the following **Tax17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2252
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2253
	Amount <Amt>	[0..7]	Amount	C3	2253
	Rate <Rate>	[0..1]	Rate		2253
	Country <Ctry>	[1..1]	CodeSet	C11	2253
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2254
{Or	EUCapitalGain <EUCptlGn>	[0..1]	CodeSet		2254
Or}	ExtendedEUCapitalGain <XtndedEUCptlGn>	[0..1]	Text		2254
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2255
	PercentageGrandfatheredDebt <PctgGrdfthdDebt>	[0..1]	Rate		2255
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2255
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2255
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2256

Constraints

- **AmountAndOrRateRule**

If Amount is not present, then Rate is mandatory. If Amount is present, then Rate is optional.

66.1.34.12.18.1 Type <Tp>

Presence: [1..1]

Warning Either Type or ExtendedType must be present, but not both.

Definition: Type of tax applied.

Datatype: "TaxType12Code" on page 2653

CodeName	Name	Definition
INPO	InterimProfitTax	Tax is on the sum of all earnings/ revenues accrued since the last dividend distribution.
EUTR	EUTaxRetention	Tax withheld at source in the framework of the European Directive on taxation of savings in the form of interest payments.
AKT1	Aktiengewinn1	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the old

CodeName	Name	Definition
		Investment Tax Act (Investmentsteuergesetz).
AKT2	Aktiengewinn2	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the new Investment Tax Act (Investmentsteuergesetz).
ZWIS	Zwischengewinn	Zwischengewinn.
MIET	Mietgewinn	Earnings from renting.

66.1.34.12.18.2 ExtendedType <XtndedTp>*Presence:* [1..1]

Warning Either Type or ExtendedType must be present, but not both.

Definition: Type of tax applied.*Datatype:* "Extended350Code" on page 2682**66.1.34.12.18.3 Amount <Amt>***Presence:* [0..7]*Definition:* Amount of money resulting from the calculation of the tax.*Impacted by:* C3 "ActiveOrHistoricCurrency"*Datatype:* "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2546**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.34.12.18.4 Rate <Rate>*Presence:* [0..1]*Definition:* Rate used to calculate the tax.*Datatype:* "PercentageRate" on page 2681**66.1.34.12.18.5 Country <Ctry>***Presence:* [1..1]*Definition:* Country where the tax is due.*Impacted by:* C11 "Country"*Datatype:* "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.34.12.18.6 TaxCalculationDetails <TaxClctnDtls>

Presence: [0..1]

Definition: Information used to calculate the tax.

TaxCalculationDetails <TaxClctnDtls> contains the following **TaxCalculationInformation4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	EUCapitalGain <EUCptlGn>	[0..1]	CodeSet		2254
Or}	ExtendedEUCapitalGain <XtndedEUCptlGn>	[0..1]	Text		2254
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2255
	PercentageGrandfatheredDebt <PctgGrdfthdDebt>	[0..1]	Rate		2255
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2255
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2255
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2256

66.1.34.12.18.6.1 EUCapitalGain <EUCptlGn>

Presence: [0..1]

Warning Either EUCapitalGain or ExtendedEUCapitalGain may be present, but not both.

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.

Datatype: "EUCapitalGain2Code" on page 2574

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

66.1.34.12.18.6.2 ExtendedEUCapitalGain <XtndedEUCptlGn>

Presence: [0..1]

Warning Either EUCapitalGain or ExtendedEUCapitalGain may be present, but not both.

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.

Datatype: "Extended350Code" on page 2682

66.1.34.12.18.6.3 PercentageOfDebtClaim <PctgOfDebtClm>

Presence: [0..1]

Definition: Percentage of the underlying assets of the funds that represents a debt and is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June).

Datatype: "PercentageRate" on page 2681

66.1.34.12.18.6.4 PercentageGrandfatheredDebt <PctgGrdfthdDebt>

Presence: [0..1]

Definition: Percentage of grandfathered debt claim.

Datatype: "PercentageRate" on page 2681

66.1.34.12.18.6.5 TaxableIncomePerDividend <TaxblIncmPerDvdd>

Presence: [0..1]

Definition: Amount included in the dividend that corresponds to gains directly or indirectly derived from interest payment in the scope of the European Directive on taxation of savings income in the form of interest payments.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.34.12.18.6.6 EUDividendStatus <EUDvddSts>

Presence: [0..1]

Warning Either EUDividendStatus or ExtendedEUDividendStatus may be present, but not both.

Definition: Specifies whether dividend is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.

Datatype: "EUDividendStatus1Code" on page 2575

CodeName	Name	Definition
DIVI	DividendInScope	Dividend is in the scope of the directive.
DIVO	DividendOutScope	Dividend is out of the scope of the directive.
UKWN	DividendUnknown	Unknown whether the dividend is in or out of the scope of the directive.

66.1.34.12.18.6.7 ExtendedEUDividendStatus <XtndedEUDvddSts>*Presence:* [0..1]**Warning** Either EUDividendStatus or ExtendedEUDividendStatus may be present, but not both.*Definition:* Specifies whether dividend is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.*Datatype:* "Extended350Code" on page 2682**66.1.34.12.19 TaxRefundDetails <TaxRfndDtls>***Presence:* [0..*]*Definition:* Information related to taxes that are paid back.*Impacted by:* C4 "AmountAndOrRateRule"**TaxRefundDetails <TaxRfndDtls>** contains the following **Tax17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2256
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2257
	Amount <Amt>	[0..7]	Amount	C3	2257
	Rate <Rate>	[0..1]	Rate		2258
	Country <Ctry>	[1..1]	CodeSet	C11	2258
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2258
{Or	EUCapitalGain <EUCptlGn>	[0..1]	CodeSet		2258
Or}	ExtendedEUCapitalGain <XtndedEUCptlGn>	[0..1]	Text		2259
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2259
	PercentageGrandfatheredDebt <PctgGrdfthdDebt>	[0..1]	Rate		2259
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2259
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2260
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2260

Constraints

- **AmountAndOrRateRule**

If Amount is not present, then Rate is mandatory. If Amount is present, then Rate is optional.

66.1.34.12.19.1 Type <Tp>*Presence:* [1..1]**Warning** Either Type or ExtendedType must be present, but not both.*Definition:* Type of tax applied.

Datatype: "TaxType12Code" on page 2653

CodeName	Name	Definition
INPO	InterimProfitTax	Tax is on the sum of all earnings/ revenues accrued since the last dividend distribution.
EUTR	EUTaxRetention	Tax withheld at source in the framework of the European Directive on taxation of savings in the form of interest payments.
AKT1	Aktiengewinn1	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the old Investment Tax Act (Investmentsteuergesetz).
AKT2	Aktiengewinn2	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the new Investment Tax Act (Investmentsteuergesetz).
ZWIS	Zwischengewinn	Zwischengewinn.
MIET	Mietgewinn	Earnings from renting.

66.1.34.12.19.2 ExtendedType <XtndedTp>

Presence: [1..1]

Warning Either Type or ExtendedType must be present, but not both.

Definition: Type of tax applied.

Datatype: "Extended350Code" on page 2682

66.1.34.12.19.3 Amount <Amt>

Presence: [0..7]

Definition: Amount of money resulting from the calculation of the tax.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.34.12.19.4 Rate <Rate>

Presence: [0..1]

Definition: Rate used to calculate the tax.

Datatype: "PercentageRate" on page 2681

66.1.34.12.19.5 Country <Ctry>

Presence: [1..1]

Definition: Country where the tax is due.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.34.12.19.6 TaxCalculationDetails <TaxClctnDtls>

Presence: [0..1]

Definition: Information used to calculate the tax.

TaxCalculationDetails <TaxClctnDtls> contains the following **TaxCalculationInformation4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	EUCapitalGain <EUCptlGn>	[0..1]	CodeSet		2258
Or}	ExtendedEUCapitalGain <XtndedEUCptlGn>	[0..1]	Text		2259
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2259
	PercentageGrandfatheredDebt <PctgGrdfthdDebt>	[0..1]	Rate		2259
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2259
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2260
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2260

66.1.34.12.19.6.1 EUCapitalGain <EUCptlGn>

Presence: [0..1]

Warning Either EUCapitalGain or ExtendedEUCapitalGain may be present, but not both.

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.

Datatype: "EUCapitalGain2Code" on page 2574

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

66.1.34.12.19.6.2 ExtendedEUCapitalGain <XtndedEUCptlGn>

Presence: [0..1]

Warning Either EUCapitalGain or ExtendedEUCapitalGain may be present, but not both.

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.

Datatype: "Extended350Code" on page 2682

66.1.34.12.19.6.3 PercentageOfDebtClaim <PctgOfDebtClm>

Presence: [0..1]

Definition: Percentage of the underlying assets of the funds that represents a debt and is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June).

Datatype: "PercentageRate" on page 2681

66.1.34.12.19.6.4 PercentageGrandfatheredDebt <PctgGrdfthdDebt>

Presence: [0..1]

Definition: Percentage of grandfathered debt claim.

Datatype: "PercentageRate" on page 2681

66.1.34.12.19.6.5 TaxableIncomePerDividend <TaxblIncmPerDvdd>

Presence: [0..1]

Definition: Amount included in the dividend that corresponds to gains directly or indirectly derived from interest payment in the scope of the European Directive on taxation of savings income in the form of interest payments.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.34.12.19.6.6 EUDividendStatus <EUDvddSts>

Presence: [0..1]

Warning Either EUDividendStatus or ExtendedEUDividendStatus may be present, but not both.

Definition: Specifies whether dividend is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.

Datatype: "EUDividendStatus1Code" on page 2575

CodeName	Name	Definition
DIVI	DividendInScope	Dividend is in the scope of the directive.
DIVO	DividendOutScope	Dividend is out of the scope of the directive.
UKWN	DividendUnknown	Unknown whether the dividend is in or out of the scope of the directive.

66.1.34.12.19.6.7 ExtendedEUDividendStatus <XtndedEUDvddSts>

Presence: [0..1]

Warning Either EUDividendStatus or ExtendedEUDividendStatus may be present, but not both.

Definition: Specifies whether dividend is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.

Datatype: "Extended350Code" on page 2682

66.1.34.13 PriceSource

Definition: Source of a price quotation when it is not the local market.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceSource <PricSrc>	[1..1]	CodeSet		2260
	Narrative <Nrtrv>	[0..1]	Text		2261

66.1.34.13.1 PriceSource <PricSrc>

Presence: [1..1]

Definition: Source of the price.

Datatype: "PriceSource1Code" on page 2621

CodeName	Name	Definition
FUND	Fund	Source of price quotation is a fund, eg, transfer agent, fund itself.
THEO	Theoretical	Source of price quotation is a theoretical value based on the market yield.

CodeName	Name	Definition
VEND	Vendor	Source of price quotation is an external vendor.

66.1.34.13.2 Narrative <Nrrtv>

Presence: [0..1]

Definition: Additional information about the source of a price.

Datatype: "Max35Text" on page 2683

66.1.34.14 PriceRateOrAmountOrUnknownChoice

Definition: Choice of formats for the price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2261
Or	Amount <Amt>	[1..1]	Amount	C3	2261
Or}	UnknownIndicator <UknwnInd>	[1..1]	Indicator		2261

66.1.34.14.1 Rate <Rate>

Presence: [1..1]

Definition: Price expressed as a rate, ie, percentage.

Datatype: "PercentageRate" on page 2681

66.1.34.14.2 Amount <Amt>

Presence: [1..1]

Definition: Price expressed as a currency and value.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.34.14.3 UnknownIndicator <UknwnInd>

Presence: [1..1]

Definition: Indicates whether the market price is unknown.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.35 Price Value

66.1.35.1 PriceValue1

Definition: Value given to a price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	2262

66.1.35.1.1 Amount <Amt>

Presence: [1..1]

Definition: Price expressed as a currency and value.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.36 Quantity

66.1.36.1 Quantity47

Definition: Quantity of assets.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[0..1]	Quantity		2262
	PercentageRate <PctgRate>	[0..1]	Rate		2263
	FaceAmount <FaceAmt>	[0..1]	Amount		2263
	AmortisedValue <AmtdsdVal>	[0..1]	Amount		2263
	CashAmount <CshAmt>	[0..1]	Amount	C2	2263
	OtherAsset <OthrAsst>	[0..1]	Text		2263

66.1.36.1.1 Unit <Unit>

Presence: [0..1]

Definition: Quantity of security to be transferred.

Datatype: "DecimalNumber" on page 2680

66.1.36.1.2 PercentageRate <PctgRate>

Presence: [0..1]

Definition: Percentage rate of assets to be transferred.

Datatype: "PercentageRate" on page 2681

66.1.36.1.3 FaceAmount <FaceAmt>

Presence: [0..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 2547

66.1.36.1.4 AmortisedValue <AmtsdVal>

Presence: [0..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "ImpliedCurrencyAndAmount" on page 2547

66.1.36.1.5 CashAmount <CshAmt>

Presence: [0..1]

Definition: Cash amount to be transferred.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.36.1.6 OtherAsset <OthrAsst>

Presence: [0..1]

Definition: Quantity of asset to be transferred.

Datatype: "Max35Text" on page 2683

66.1.37 Report Parameter**66.1.37.1 DataFormat2Choice**

Definition: Choice between the specification of the data in a structured or unstructured form.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Structured <Strd>	[1..1]	±		2264
Or}	Unstructured <Ustrd>	[1..1]	Text		2264

66.1.37.1.1 Structured <Strd>

Presence: [1..1]

Definition: Specification of data in a structured form.

Structured <Strd> contains the following elements (see "[GenericIdentification1](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.37.1.2 Unstructured <Ustrd>

Presence: [1..1]

Definition: Specification of data for which there isn't a structured form.

Datatype: "[Max140Text](#)" on page 2682

66.1.37.2 FrequencyCodeAndDSSCode1Choice

Definition: Choice between formats for the frequency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FrequencyAsCode <FrqcyAsCd>	[1..1]	CodeSet		2264
Or}	FrequencyAsDSS <FrqcyAsDSS>	[1..1]	±		2265

66.1.37.2.1 FrequencyAsCode <FrqcyAsCd>

Presence: [1..1]

Definition: Frequency expressed as a code.

Datatype: "[EventFrequency1Code](#)" on page 2575

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
TOMN	EveryTwoMonths	Event takes place every two months.

CodeName	Name	Definition
MNTH	Monthly	Event takes place every month or once a month.
TWMN	TwiceAMonth	Event takes place two times a month.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.
INDA	IntraDay	Event takes place several times a day.
OVNG	Overnight	Event takes place overnight.
ONDE	OnDemand	Event takes place on demand.

66.1.37.2.2 FrequencyAsDSS <FrqcyAsDSS>

Presence: [1..1]

Definition: Frequency expressed as a data source scheme and a code used within the data source scheme.

FrequencyAsDSS <FrqcyAsDSS> contains the following elements (see "[GenericIdentification7](#)" on page 1508 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Issuer <Issr>	[1..1]	Text		1508
	Information <Inf>	[1..1]	Text		1509

66.1.38 Safekeeping Place

66.1.38.1 SafekeepingPlaceFormatChoice

Definition: Choice of formats for the place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]		C13	2265
	PlaceSafekeeping <PlcSfkpg>	[1..1]	CodeSet		2266
	Narrative <Nrrtv>	[0..1]	Text		2266
	Party <Pty>	[0..1]	±		2266
Or	IdentificationAsDSS <IdAsDSS>	[1..1]	±		2267
Or}	IdentificationAsCountry <IdAsCtry>	[1..1]	CodeSet	C11	2267

66.1.38.1.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and BIC.

Impacted by: C13 "Party1Rule"

Identification <Id> contains the following **SafekeepingPlaceAsCodeAndPartyIdentification** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceSafekeeping <PlcSfkpg>	[1..1]	CodeSet		2266
	Narrative <Nrrtv>	[0..1]	Text		2266
	Party <Pty>	[0..1]	±		2266

Constraints

- **Party1Rule**

If PlaceSafekeeping is not SHHE, then Party is mandatory. If PlaceSafekeeping is SHHE, then Party is optional.

66.1.38.1.1.1 PlaceSafekeeping <PlcSfkpg>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 2635

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

66.1.38.1.1.2 Narrative <Nrrtv>

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "Max35Text" on page 2683

66.1.38.1.1.3 Party <Pty>

Presence: [0..1]

Definition: Place of safekeeping.

Party <Pty> contains the following elements (see "PartyIdentification3" on page 1896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	1896

66.1.38.1.2 IdentificationAsDSS <IdAsDSS>*Presence:* [1..1]*Definition:* Place of safekeeping expressed with a propriety identification scheme.**IdentificationAsDSS <IdAsDSS>** contains the following elements (see "[GenericIdentification5](#)" on page 1509 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Issuer <Issr>	[1..1]	Text		1509
	Information <Inf>	[1..1]	Text		1510
	Narrative <Nrrtv>	[0..1]	Text		1510

66.1.38.1.3 IdentificationAsCountry <IdAsCtry>*Presence:* [1..1]*Definition:* Place of safekeeping expressed with a country code.*Impacted by:* C11 "Country"*Datatype:* "CountryCode" on page 2567**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.38.2 SafekeepingPlaceFormat28Choice*Definition:* Choice of formats for a place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			2267
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2268
	Identification <Id>	[0..1]	Text		2268
Or	Country <Ctry>	[1..1]	CodeSet	C11	2268
Or	TypeAndIdentification <TpAndId>	[1..1]			2268
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2269
	Identification <Id>	[1..1]	IdentifierSet	C1	2269
Or}	Proprietary <Prtry>	[1..1]	±		2269

66.1.38.2.1 Identification <Id>*Presence:* [1..1]*Definition:* Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following **SafekeepingPlaceTypeAndText6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2268
	Identification <Id>	[0..1]	Text		2268

66.1.38.2.1.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace2Code" on page 2636

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

66.1.38.2.1.2 Identification <Id>

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "Max35Text" on page 2683

66.1.38.2.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.38.2.3 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2269
	Identification <Id>	[1..1]	IdentifierSet	C1	2269

66.1.38.2.3.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 2635

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

66.1.38.2.3.2 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 2669

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

66.1.38.2.4 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification78](#)" on page 1499 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1499
	Identification <Id>	[0..1]	Text		1499

66.1.38.3 SafekeepingPlaceFormat8Choice

Definition: Choice of formats for the place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			2270
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2270
	Identification <Id>	[0..1]	Text		2271
Or	Country <Ctry>	[1..1]	CodeSet	C11	2271
Or	TypeAndIdentification <TpAndId>	[1..1]			2271
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2271
	Identification <Id>	[1..1]	IdentifierSet	C7	2272
Or}	Proprietary <Prtry>	[1..1]	±		2272

66.1.38.3.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following **SafekeepingPlaceTypeAndText6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2270
	Identification <Id>	[0..1]	Text		2271

66.1.38.3.1.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "[SafekeepingPlace2Code](#)" on page 2636

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

CodeName	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

66.1.38.3.1.2 Identification <Id>*Presence:* [0..1]*Definition:* Additional information about the place of safekeeping.*Datatype:* "Max35Text" on page 2683**66.1.38.3.2 Country <Ctry>***Presence:* [1..1]*Definition:* Place of safekeeping expressed with a country code.*Impacted by:* C11 "Country"*Datatype:* "CountryCode" on page 2567**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.38.3.3 TypeAndIdentification <TpAndId>*Presence:* [1..1]*Definition:* Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndAnyBICIdentifier1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2271
	Identification <Id>	[1..1]	IdentifierSet	C7	2272

66.1.38.3.3.1 SafekeepingPlaceType <SfkpgPlcTp>*Presence:* [1..1]*Definition:* Place of safekeeping as a code.*Datatype:* "SafekeepingPlace1Code" on page 2635

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

66.1.38.3.3.2 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping.

Impacted by: C7 "AnyBIC"

Datatype: "AnyBICIdentifier" on page 2669

Constraints

- AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

66.1.38.3.4 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "GenericIdentification78" on page 1499 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1499
	Identification <Id>	[0..1]	Text		1499

66.1.39 Securities Identification

66.1.39.1 AlternateSecurityIdentification1

Definition: Proprietary or domestic identification scheme that uniquely identifies a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2272
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C11	2273
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		2273

66.1.39.1.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of a security.

Datatype: "Max35Text" on page 2683

66.1.39.1.2 DomesticIdentificationSource <DmstIdSrc>

Presence: [1..1]

Warning Either DomesticIdentificationSource or ProprietaryIdentificationSource must be present, but not both.

Definition: Country of the proprietary identification scheme.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.39.1.3 ProprietaryIdentificationSource <PrtryIdSrc>

Presence: [1..1]

Warning Either DomesticIdentificationSource or ProprietaryIdentificationSource must be present, but not both.

Definition: Entity that issues the proprietary identification.

Datatype: "Max35Text" on page 2683

66.1.39.2 SecurityIdentification3Choice

Definition: Choice between formats for the identification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		2274
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		2274
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		2274
Or	RIC <RIC>	[1..1]	IdentifierSet		2275
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		2275
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		2275
Or	CTA <CTA>	[1..1]	IdentifierSet		2275
Or	QUICK <QUICK>	[1..1]	IdentifierSet		2275
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		2275
Or	Dutch <Dtch>	[1..1]	IdentifierSet		2275
Or	Valoren <Vlrr>	[1..1]	IdentifierSet		2276
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		2276
Or	Belgian <Belgn>	[1..1]	IdentifierSet		2276
Or	Common <Cmon>	[1..1]	IdentifierSet		2276
Or}	OtherProprietaryIdentification <OthrPrtryld>	[1..1]	±		2276

66.1.39.2.1 ISIN <ISIN>

Presence: [1..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINIdentifier" on page 2675

66.1.39.2.2 SEDOL <SEDOL>

Presence: [1..1]

Definition: Stock Exchange Daily Official List (SEDOL) number. A code used by the London Stock Exchange to identify foreign stocks, especially those that aren't actively traded in the US and don't have a CUSIP number.

Datatype: "SEDOLIdentifier" on page 2678

66.1.39.2.3 CUSIP <CUSIP>

Presence: [1..1]

Definition: Committee on Uniform Securities and Identification Procedures (CUSIP). The standards body that created and maintains the securities classification system in the US. The CUSIP is composed of a 9-character number that uniquely identifies a particular security. Non-US securities have a similar number called the CINS number.

Datatype: "CUSIPIdentifier" on page 2673

66.1.39.2.4 RIC <RIC>

Presence: [1..1]

Definition: Reuters Identification Code (RIC). A numbering system used within the Reuters system to identify instruments worldwide. The RIC contains an X-character market specific code (can be the CUSIP or EPIC codes) followed by a full stop, then the two-digit ISO country code, eg, IBM in UK is IBM.UK.

Datatype: "RICIdentifier" on page 2677

66.1.39.2.5 TickerSymbol <TckrSymb>

Presence: [1..1]

Definition: Letters that identify a stock traded on a stock exchange. The Ticker Symbol is a short and convenient way of identifying a stock, eg, RTR.L for Reuters quoted in London.

Datatype: "TickerIdentifier" on page 2679

66.1.39.2.6 Bloomberg <Blmbrg>

Presence: [1..1]

Definition: Identifier of a security assigned by the Bloomberg organisation.

Datatype: "BloombergIdentifier" on page 2671

66.1.39.2.7 CTA <CTA>

Presence: [1..1]

Definition: Identifier of a security assigned by the Consolidated Tape Association.

Datatype: "ConsolidatedTapeAssociationIdentifier" on page 2672

66.1.39.2.8 QUICK <QUICK>

Presence: [1..1]

Definition: Identifier of a security assigned by the Japanese QUICK identification scheme for financial instruments.

Datatype: "QUICKIdentifier" on page 2677

66.1.39.2.9 Wertpapier <Wrtppr>

Presence: [1..1]

Definition: Wertpapier Kenn-nummer. A number issued in Germany by the Wertpapier Mitteilungen. The Wertpapier Kenn-nummer, sometimes called WPK, contains 6-digits, but no check digit. There are different ranges of numbers representing different classes of securities.

Datatype: "WertpapierIdentifier" on page 2680

66.1.39.2.10 Dutch <Dtch>

Presence: [1..1]

Definition: Identifier for Dutch securities.

Datatype: "DutchIdentifier" on page 2673

66.1.39.2.11 Valoren <Vlrn>*Presence:* [1..1]*Definition:* Identifier for Swiss securities assigned by Telekurs Financial, the Swiss numbering agency.*Datatype:* "ValorenIdentifier" on page 2679**66.1.39.2.12 Sicovam <SCVM>***Presence:* [1..1]*Definition:* Identifier for French securities assigned by the Societe Interprofessionnelle Pour La Compensation des Valeurs Mobilieres in France. The Sicovam is composed of 5-digits.*Datatype:* "SicovamIdentifier" on page 2678**66.1.39.2.13 Belgian <Belgn>***Presence:* [1..1]*Definition:* Identifier for Belgian securities.*Datatype:* "BelgianIdentifier" on page 2670**66.1.39.2.14 Common <Cmon>***Presence:* [1..1]*Definition:* Identifier of securities issued in Luxembourg. The common code is a 9-digit code that replaces the CEDEL (Clearstream) and Euroclear codes.*Datatype:* "EuroclearClearstreamIdentifier" on page 2673**66.1.39.2.15 OtherProprietaryIdentification <OthrPrtryId>***Presence:* [1..1]*Definition:* Proprietary identification of a security assigned by an institution or organisation.**OtherProprietaryIdentification <OthrPrtryId>** contains the following elements (see "AlternateSecurityIdentification1" on page 2272 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2272
{Or	DomesticIdentificationSource <DmstIdSrc>	[1..1]	CodeSet	C11	2273
Or}	ProprietaryIdentificationSource <PrtryIdSrc>	[1..1]	Text		2273

66.1.40 Securities Quantity**66.1.40.1 FinancialInstrumentQuantity1***Definition:* Quantity of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2277

66.1.40.1.1 Unit <Unit>*Presence:* [1..1]*Definition:* Quantity expressed as a number, eg, a number of shares.*Datatype:* "DecimalNumber" on page 2680**66.1.40.2 UnitsOrAmountOrPercentage1Choice***Definition:* Choice between an amount or number of units or percentage.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	2277
Or	Unit <Unit>	[1..1]	Quantity		2277
Or}	Percentage <Pctg>	[1..1]	Rate		2277

66.1.40.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Cash amount.*Impacted by:* C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.40.2.2 Unit <Unit>*Presence:* [1..1]*Definition:* Number of units.*Datatype:* "DecimalNumber" on page 2680**66.1.40.2.3 Percentage <Pctg>***Presence:* [1..1]*Definition:* Percentage of cash amount.*Datatype:* "PercentageRate" on page 2681

66.1.40.3 FinancialInstrumentQuantityChoice

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2278
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2278
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2278

66.1.40.3.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 2680

66.1.40.3.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 2547

66.1.40.3.3 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, eg, a periodic reduction of a bond's principal amount.

Datatype: "ImpliedCurrencyAndAmount" on page 2547

66.1.41 Service Level Agreement

66.1.41.1 DocumentToSend4

Definition: Type of document and the type of communication method to be used to notify a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	Text		2278
	Recipient <Rcpt>	[1..1]	±		2279
	MethodOfTransmission <MtdOfTrnsmssn>	[1..1]			2279
{Or	Code <Cd>	[1..1]	CodeSet		2279
Or}	Proprietary <Prtry>	[1..1]	±		2279

66.1.41.1.1 Type <Tp>

Presence: [1..1]

Definition: Type of document.

Datatype: "Max140Text" on page 2682

66.1.41.1.2 Recipient <Rcpt>

Presence: [1..1]

Definition: Party that should receive the document.

Recipient <Rcpt> contains one of the following elements (see "PartyIdentification125Choice" on page 2020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2020
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2020
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2020

66.1.41.1.3 MethodOfTransmission <MtdOfTrnsmssn>

Presence: [1..1]

Definition: Communication method to be used.

MethodOfTransmission <MtdOfTrnsmssn> contains one of the following **CommunicationMethod3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2279
Or}	Proprietary <Prtry>	[1..1]	±		2279

66.1.41.1.3.1 Code <Cd>

Presence: [1..1]

Definition: Method of transmission expressed as a code.

Datatype: "CommunicationMethod1Code" on page 2562

CodeName	Name	Definition
SWMT	SWIFTMT	Transmission by Swift (FIN).
SWMX	SWIFTMX	Transmission by SWIFTNET.
FAXI	Fax	Transmission by fax.
EMAL	Email	Transmission by e-mail.
PROP	Proprietary	Transmission by proprietary method.

66.1.41.1.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Method of transmission expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.41.2 ModificationScope44

Definition: Scope of the modification to be applied on an identified set of information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		2280
	ServiceLevelAgreement <SvcLvIAgrmt>	[1..1]	±		2280

66.1.41.2.1 ModificationScopeIndication <ModScplIndctn>

Presence: [1..1]

Definition: Specifies the type of modification to be applied.

Datatype: "[DataModification1Code](#)" on page 2570

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

66.1.41.2.2 ServiceLevelAgreement <SvcLvIAgrmt>

Presence: [1..1]

Definition: Identification of information which is part of a service level agreement.

ServiceLevelAgreement <SvcLvIAgrmt> contains the following elements (see "[DocumentToSend4](#)" on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	Text		2278
	Recipient <Rcpt>	[1..1]	±		2279
	MethodOfTransmission <MtdOfTrnsmssn>	[1..1]			2279
{Or	Code <Cd>	[1..1]	CodeSet		2279
Or}	Proprietary <Prtry>	[1..1]	±		2279

66.1.42 Settlement Chain

66.1.42.1 SettlementParties94

Definition: Chain of parties involved in the settlement of a transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]	±		2282
	Party1 <Pty1>	[0..1]	±		2283
	Party2 <Pty2>	[0..1]	±		2283
	Party3 <Pty3>	[0..1]	±		2284
	Party4 <Pty4>	[0..1]	±		2284
	Party5 <Pty5>	[0..1]	±		2285

Constraints

- **BookTransferGuideline**

In the case of an own account internal transfer, the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

- **DepositoryGuideline**

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC will be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

- **Party2PresenceRule**

If Party2 is present, then Party1 must be present.

```
On Condition
  /Party2 is present
Following Must be True
  /Party1 Must be present
```

- **Party3PresenceRule**

If Party3 is present, then Party2 must be present.

On Condition
 /Party3 is present
 Following Must be True
 /Party2 Must be present

- **Party4PresenceRule**

If Party4 is present, then Party3 must be present.

On Condition
 /Party4 is present
 Following Must be True
 /Party3 Must be present

- **Party5PresenceRule**

If Party5 is present, then Party4 must be present.

On Condition
 /Party5 is present
 Following Must be True
 /Party4 Must be present

- **SettlementChainGuideline**

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

66.1.42.1.1 Depository <Dpstry>

Presence: [0..1]

Definition: First party in the settlement chain. In a plain vanilla settlement, it is the Central Securities Depository where the counterparty requests to receive the financial instrument or from where the counterparty delivers the financial instruments. This party may be a transfer agent.

Depository <Dpstry> contains the following elements (see "PartyIdentification141" on page 2015 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			2016
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2016
Or	NameAndAddress <NmAndAdr>	[1..1]	±		2016
Or}	Country <Ctry>	[1..1]	CodeSet	C11	2017
	LEI <LEI>	[0..1]	IdentifierSet		2017
	AlternateIdentification <AltrId>	[0..1]	±		2017
	ProcessingDate <PrcgDt>	[0..1]	±		2017
	ProcessingIdentification <PrcgId>	[0..1]	Text		2018
	AdditionalInformation <AddtlInf>	[0..1]	±	C3	2018

66.1.42.1.2 Party1 <Pty1>

Presence: [0..1]

Definition: Party that, in a settlement chain, interacts with the depository.

Party1 <Pty1> contains the following elements (see "PartyIdentificationAndAccount194" on page 1908 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1908
	LEI <LEI>	[0..1]	IdentifierSet		1909
	AlternateIdentification <AltrId>	[0..1]	±		1909
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		1909
	ProcessingDate <PrcgDt>	[0..1]	±		1909
	ProcessingIdentification <PrcgId>	[0..1]	Text		1909
	AdditionalInformation <AddtlInf>	[0..1]	±	C4	1910

66.1.42.1.3 Party2 <Pty2>

Presence: [0..1]

Definition: Party that, in a settlement chain, interacts with the party 1.

Party2 <Pty2> contains the following elements (see "[PartyIdentificationAndAccount194](#)" on page 1908 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1908
	LEI <LEI>	[0..1]	IdentifierSet		1909
	AlternateIdentification <AltrnId>	[0..1]	±		1909
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		1909
	ProcessingDate <PrcgDt>	[0..1]	±		1909
	ProcessingIdentification <PrcgId>	[0..1]	Text		1909
	AdditionalInformation <AddtlInf>	[0..1]	±	C4	1910

66.1.42.1.4 Party3 <Pty3>

Presence: [0..1]

Definition: Party that, in a settlement chain, interacts with the party 2.

Party3 <Pty3> contains the following elements (see "[PartyIdentificationAndAccount194](#)" on page 1908 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1908
	LEI <LEI>	[0..1]	IdentifierSet		1909
	AlternateIdentification <AltrnId>	[0..1]	±		1909
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		1909
	ProcessingDate <PrcgDt>	[0..1]	±		1909
	ProcessingIdentification <PrcgId>	[0..1]	Text		1909
	AdditionalInformation <AddtlInf>	[0..1]	±	C4	1910

66.1.42.1.5 Party4 <Pty4>

Presence: [0..1]

Definition: Party that, in a settlement chain, interacts with the party 3.

Party4 <Pty4> contains the following elements (see "[PartyIdentificationAndAccount194](#)" on page 1908 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1908
	LEI <LEI>	[0..1]	IdentifierSet		1909
	AlternateIdentification <AltrnId>	[0..1]	±		1909
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		1909
	ProcessingDate <PrcgDt>	[0..1]	±		1909
	ProcessingIdentification <PrcgId>	[0..1]	Text		1909
	AdditionalInformation <AddtlInf>	[0..1]	±	C4	1910

66.1.42.1.6 Party5 <Pty5>

Presence: [0..1]

Definition: Party that, in a settlement chain, interacts with the party 4.

Party5 <Pty5> contains the following elements (see "[PartyIdentificationAndAccount194](#)" on page 1908 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1908
	LEI <LEI>	[0..1]	IdentifierSet		1909
	AlternateIdentification <AltrnId>	[0..1]	±		1909
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		1909
	ProcessingDate <PrcgDt>	[0..1]	±		1909
	ProcessingIdentification <PrcgId>	[0..1]	Text		1909
	AdditionalInformation <AddtlInf>	[0..1]	±	C4	1910

66.1.43 Settlement Details

66.1.43.1 FundSettlementParameters12

Definition: Parameters applied to the settlement of a security transfer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2287
	SettlementPlace <SttlmPlc>	[1..1]	±		2287
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2287
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2288
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2288
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2288
	ReceivingSideDetails <RcvgSdDtls>	[0..1]		C27, C28	2288
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2289
	PartyIdentification <PtyId>	[1..1]	±		2290
	AccountIdentification <AcctId>	[0..1]	Text		2290
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2290
	PartyIdentification <PtyId>	[1..1]	±		2290
	AccountIdentification <AcctId>	[0..1]	Text		2291
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2291
	PartyIdentification <PtyId>	[1..1]	±		2291
	AccountIdentification <AcctId>	[0..1]	Text		2291
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2291
	PartyIdentification <PtyId>	[1..1]	±		2292
	AccountIdentification <AcctId>	[0..1]	Text		2292
	DeliveringSideDetails <DlvrgSdDtls>	[1..1]		C12, C13	2292
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2293
	PartyIdentification <PtyId>	[1..1]	±		2294
	AccountIdentification <AcctId>	[0..1]	Text		2294
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2294
	PartyIdentification <PtyId>	[1..1]	±		2294
	AccountIdentification <AcctId>	[0..1]	Text		2295
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2295
	PartyIdentification <PtyId>	[1..1]	±		2295
	AccountIdentification <AcctId>	[0..1]	Text		2295
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2295

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2296
	AccountIdentification <AcctId>	[0..1]	Text		2296

66.1.43.1.1 SettlementDate <SttlmDt>

Presence: [0..1]

Definition: Date and time at which the securities are to be delivered or received.

Datatype: "ISODate" on page 2668

66.1.43.1.2 SettlementPlace <SttlmPlc>

Presence: [1..1]

Definition: Place where the settlement of the transaction will take place. In the context of investment funds, the place of settlement is the transfer agent, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SettlementPlace <SttlmPlc> contains the following elements (see "PartyIdentification113" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.43.1.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository or an International Central Securities Depository.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat8Choice" on page 2270 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			2270
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2270
	Identification <Id>	[0..1]	Text		2271
Or	Country <Ctry>	[1..1]	CodeSet	C11	2271
Or	TypeAndIdentification <TpAndId>	[1..1]			2271
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2271
	Identification <Id>	[1..1]	IdentifierSet	C7	2272
Or}	Proprietary <Prtry>	[1..1]	±		2272

66.1.43.1.4 SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>*Presence:* [0..1]*Definition:* Identifies the securities settlement system to be used.*Datatype:* "Max35Text" on page 2683**66.1.43.1.5 TradeTransactionCondition <TradTxCond>***Presence:* [0..*]*Definition:* Condition under which the order/trade is to be/was executed. This may be required for settlement through T2S.**TradeTransactionCondition <TradTxCond>** contains one of the following elements (see "TradeTransactionCondition8Choice" on page 2465 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2465
Or}	Proprietary <Prtry>	[1..1]	±		2466

66.1.43.1.6 SettlementTransactionCondition <SttlmTxCond>*Presence:* [0..*]*Definition:* Condition under which the order/trade is to be settled. This may be required for settlement through T2S.**SettlementTransactionCondition <SttlmTxCond>** contains one of the following elements (see "SettlementTransactionCondition30Choice" on page 2466 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2466
Or}	Proprietary <Prtry>	[1..1]	±		2466

66.1.43.1.7 ReceivingSideDetails <RcvgSdDtls>*Presence:* [0..1]*Definition:* Chain of parties involved in the settlement of a transaction resulting in the movement of a security from one account to another.*Impacted by:* C27 "ReceiversCustodianRule", C28 "ReceiversIntermediary1DetailsRule"

ReceivingSideDetails <RcvgSdDtls> contains the following **ReceivingPartiesAndAccount16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2289
	PartyIdentification <PtyId>	[1..1]	±		2290
	AccountIdentification <AcctId>	[0..1]	Text		2290
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2290
	PartyIdentification <PtyId>	[1..1]	±		2290
	AccountIdentification <AcctId>	[0..1]	Text		2291
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2291
	PartyIdentification <PtyId>	[1..1]	±		2291
	AccountIdentification <AcctId>	[0..1]	Text		2291
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2291
	PartyIdentification <PtyId>	[1..1]	±		2292
	AccountIdentification <AcctId>	[0..1]	Text		2292

Constraints

- **ReceiversCustodianRule**

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present. If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

On Condition
 /ReceiversIntermediary1Details is present
 Following Must be True
 /ReceiversCustodianDetails Must be present

- **ReceiversIntermediary1DetailsRule**

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

On Condition
 /ReceiversIntermediary2Details is present
 Following Must be True
 /ReceiversIntermediary1Details Must be present

66.1.43.1.7.1 ReceiversCustodianDetails <RcvrsCtdnDtls>

Presence: [0..1]

Definition: Party that acts on behalf of the buyer of securities when the buyer does not have a direct relationship with the receiving agent.

ReceiversCustodianDetails <RcvrsCtdnDtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2290
	AccountIdentification <AcctId>	[0..1]	Text		2290

66.1.43.1.7.1.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.43.1.7.1.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2683

66.1.43.1.7.2 ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>

Presence: [0..1]

Definition: Party that the receiver's custodian uses to effect the receipt of a security, when the receiver's custodian does not have a direct relationship with the receiving agent.

ReceiversIntermediary1Details <RcvrsIntrmy1Dtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2290
	AccountIdentification <AcctId>	[0..1]	Text		2291

66.1.43.1.7.2.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.43.1.7.2.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2683

66.1.43.1.7.3 ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>

Presence: [0..1]

Definition: Party that interacts with the receiver's intermediary 1.

ReceiversIntermediary2Details <RcvrsIntrmy2Dtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2291
	AccountIdentification <AcctId>	[0..1]	Text		2291

66.1.43.1.7.3.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.43.1.7.3.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2683

66.1.43.1.7.4 ReceivingAgentDetails <RcvgAgtDtls>

Presence: [1..1]

Definition: Party that receives securities from the delivering agent at the place of settlement, for example, central securities depository.

ReceivingAgentDetails <RcvgAgtDtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2292
	AccountIdentification <AcctId>	[0..1]	Text		2292

66.1.43.1.7.4.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.43.1.7.4.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2683

66.1.43.1.8 DeliveringSideDetails <DlvrgSdDtls>

Presence: [1..1]

Definition: Chain of parties involved in the settlement of a transaction resulting in the movement of a security from one account to another.

Impacted by: [C12 "DeliverersCustodianRule"](#), [C13 "DeliverersIntermediary1DetailsRule"](#)

DeliveringSideDetails <DlvrgSdDtls> contains the following **DeliveringPartiesAndAccount16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2293
	PartyIdentification <PtyId>	[1..1]	±		2294
	AccountIdentification <AcctId>	[0..1]	Text		2294
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2294
	PartyIdentification <PtyId>	[1..1]	±		2294
	AccountIdentification <AcctId>	[0..1]	Text		2295
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2295
	PartyIdentification <PtyId>	[1..1]	±		2295
	AccountIdentification <AcctId>	[0..1]	Text		2295
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2295
	PartyIdentification <PtyId>	[1..1]	±		2296
	AccountIdentification <AcctId>	[0..1]	Text		2296

Constraints

- **DeliverersCustodianRule**

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present. If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

On Condition

/DeliverersIntermediary1Details is present

Following Must be True

/DeliverersCustodianDetails Must be present

- **DeliverersIntermediary1DetailsRule**

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

On Condition

/DeliverersIntermediary2Details is present

Following Must be True

/DeliverersIntermediary1Details Must be present

66.1.43.1.8.1 DeliverersCustodianDetails <DlvrrsCtdnDtls>

Presence: [0..1]

Definition: Party that acts on behalf of the seller of securities when the seller does not have a direct relationship with the delivering agent.

DeliverersCustodianDetails <DlvrrsCtdnDtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2294
	AccountIdentification <AcctId>	[0..1]	Text		2294

66.1.43.1.8.1.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.43.1.8.1.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2683

66.1.43.1.8.2 DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>

Presence: [0..1]

Definition: Party that the deliverer's custodian uses to effect the delivery of a security, when the deliverer's custodian does not have a direct relationship with the delivering agent.

DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2294
	AccountIdentification <AcctId>	[0..1]	Text		2295

66.1.43.1.8.2.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.43.1.8.2.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2683

66.1.43.1.8.3 DeliverersIntermediary2Details <DlvrrsIntrmy2DtIs>

Presence: [0..1]

Definition: Party that interacts with the deliverer's intermediary 1.

DeliverersIntermediary2Details <DlvrrsIntrmy2DtIs> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2295
	AccountIdentification <AcctId>	[0..1]	Text		2295

66.1.43.1.8.3.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.43.1.8.3.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2683

66.1.43.1.8.4 DeliveringAgentDetails <DlvrgAgtDtIs>

Presence: [1..1]

Definition: Party that delivers securities to the receiving agent at the place of settlement, for example, central securities depository.

DeliveringAgentDetails <DlvrgAgtDtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2296
	AccountIdentification <AcctId>	[0..1]	Text		2296

66.1.43.1.8.4.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.43.1.8.4.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2683

66.1.43.2 FundSettlementParameters11

Definition: Parameters applied to the settlement of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2298
	SettlementPlace <SttlmPlc>	[1..1]	±		2298
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2298
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2299
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2299
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2299
	ReceivingSideDetails <RcvgSdDtls>	[1..1]		C27, C28	2299
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2300
	PartyIdentification <PtyId>	[1..1]	±		2301
	AccountIdentification <AcctId>	[0..1]	Text		2301
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2301
	PartyIdentification <PtyId>	[1..1]	±		2301
	AccountIdentification <AcctId>	[0..1]	Text		2302
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2302
	PartyIdentification <PtyId>	[1..1]	±		2302
	AccountIdentification <AcctId>	[0..1]	Text		2302
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2302
	PartyIdentification <PtyId>	[1..1]	±		2303
	AccountIdentification <AcctId>	[0..1]	Text		2303
	DeliveringSideDetails <DlvrgSdDtls>	[0..1]		C12, C13	2303
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2304
	PartyIdentification <PtyId>	[1..1]	±		2305
	AccountIdentification <AcctId>	[0..1]	Text		2305
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2305
	PartyIdentification <PtyId>	[1..1]	±		2305
	AccountIdentification <AcctId>	[0..1]	Text		2306
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2306
	PartyIdentification <PtyId>	[1..1]	±		2306
	AccountIdentification <AcctId>	[0..1]	Text		2306
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2306

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2307
	AccountIdentification <AcctId>	[0..1]	Text		2307

66.1.43.2.1 SettlementDate <SttlmDt>

Presence: [0..1]

Definition: Date and time at which the securities are to be delivered or received.

Datatype: "ISODate" on page 2668

66.1.43.2.2 SettlementPlace <SttlmPlc>

Presence: [1..1]

Definition: Place where the settlement of the transaction will take place. In the context of investment funds, the place of settlement is the transfer agent, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SettlementPlace <SttlmPlc> contains the following elements (see "PartyIdentification113" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.43.2.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository or an International Central Securities Depository.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat8Choice" on page 2270 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			2270
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2270
	Identification <Id>	[0..1]	Text		2271
Or	Country <Ctry>	[1..1]	CodeSet	C11	2271
Or	TypeAndIdentification <TpAndId>	[1..1]			2271
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2271
	Identification <Id>	[1..1]	IdentifierSet	C7	2272
Or}	Proprietary <Prtry>	[1..1]	±		2272

66.1.43.2.4 SecuritiesSettlementSystemIdentification <SciesSttlmSysId>*Presence:* [0..1]*Definition:* Identification of a specific system or set of rules and/or processes to be applied at the settlement place.*Datatype:* "Max35Text" on page 2683**66.1.43.2.5 TradeTransactionCondition <TradTxCond>***Presence:* [0..*]*Definition:* Condition under which the order/trade is to be/was executed. This may be required for settlement through T2S.**TradeTransactionCondition <TradTxCond>** contains one of the following elements (see "TradeTransactionCondition8Choice" on page 2465 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2465
Or}	Proprietary <Prtry>	[1..1]	±		2466

66.1.43.2.6 SettlementTransactionCondition <SttlmTxCond>*Presence:* [0..*]*Definition:* Condition under which the order/trade is to be settled. This may be required for settlement through T2S.**SettlementTransactionCondition <SttlmTxCond>** contains one of the following elements (see "SettlementTransactionCondition30Choice" on page 2466 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2466
Or}	Proprietary <Prtry>	[1..1]	±		2466

66.1.43.2.7 ReceivingSideDetails <RcvgSdDtls>*Presence:* [1..1]*Definition:* Chain of parties involved in the settlement of a transaction resulting in the movement of a security from one account to another.*Impacted by:* C27 "ReceiversCustodianRule", C28 "ReceiversIntermediary1DetailsRule"

ReceivingSideDetails <RcvgSdDtls> contains the following **ReceivingPartiesAndAccount16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2300
	PartyIdentification <PtyId>	[1..1]	±		2301
	AccountIdentification <AcctId>	[0..1]	Text		2301
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2301
	PartyIdentification <PtyId>	[1..1]	±		2301
	AccountIdentification <AcctId>	[0..1]	Text		2302
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2302
	PartyIdentification <PtyId>	[1..1]	±		2302
	AccountIdentification <AcctId>	[0..1]	Text		2302
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2302
	PartyIdentification <PtyId>	[1..1]	±		2303
	AccountIdentification <AcctId>	[0..1]	Text		2303

Constraints

- **ReceiversCustodianRule**

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present. If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

On Condition
 /ReceiversIntermediary1Details is present
 Following Must be True
 /ReceiversCustodianDetails Must be present

- **ReceiversIntermediary1DetailsRule**

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

On Condition
 /ReceiversIntermediary2Details is present
 Following Must be True
 /ReceiversIntermediary1Details Must be present

66.1.43.2.7.1 ReceiversCustodianDetails <RcvrsCtdnDtls>

Presence: [0..1]

Definition: Party that acts on behalf of the buyer of securities when the buyer does not have a direct relationship with the receiving agent.

ReceiversCustodianDetails <RcvrsCtdnDtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2301
	AccountIdentification <AcctId>	[0..1]	Text		2301

66.1.43.2.7.1.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.43.2.7.1.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2683

66.1.43.2.7.2 ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>

Presence: [0..1]

Definition: Party that the receiver's custodian uses to effect the receipt of a security, when the receiver's custodian does not have a direct relationship with the receiving agent.

ReceiversIntermediary1Details <RcvrsIntrmy1Dtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2301
	AccountIdentification <AcctId>	[0..1]	Text		2302

66.1.43.2.7.2.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.43.2.7.2.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2683

66.1.43.2.7.3 ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>

Presence: [0..1]

Definition: Party that interacts with the receiver's intermediary 1.

ReceiversIntermediary2Details <RcvrsIntrmy2Dtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2302
	AccountIdentification <AcctId>	[0..1]	Text		2302

66.1.43.2.7.3.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.43.2.7.3.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2683

66.1.43.2.7.4 ReceivingAgentDetails <RcvgAgtDtls>

Presence: [1..1]

Definition: Party that receives securities from the delivering agent at the place of settlement, for example, central securities depository.

ReceivingAgentDetails <RcvgAgtDtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2303
	AccountIdentification <AcctId>	[0..1]	Text		2303

66.1.43.2.7.4.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.43.2.7.4.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2683

66.1.43.2.8 DeliveringSideDetails <DlvrgSdDtls>

Presence: [0..1]

Definition: Chain of parties involved in the settlement of a transaction resulting in the movement of a security from one account to another.

Impacted by: [C12 "DeliverersCustodianRule"](#), [C13 "DeliverersIntermediary1DetailsRule"](#)

DeliveringSideDetails <DlvrgSdDtls> contains the following **DeliveringPartiesAndAccount16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2304
	PartyIdentification <PtyId>	[1..1]	±		2305
	AccountIdentification <AcctId>	[0..1]	Text		2305
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2305
	PartyIdentification <PtyId>	[1..1]	±		2305
	AccountIdentification <AcctId>	[0..1]	Text		2306
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2306
	PartyIdentification <PtyId>	[1..1]	±		2306
	AccountIdentification <AcctId>	[0..1]	Text		2306
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2306
	PartyIdentification <PtyId>	[1..1]	±		2307
	AccountIdentification <AcctId>	[0..1]	Text		2307

Constraints

- **DeliverersCustodianRule**

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present. If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

```
On Condition
  /DeliverersIntermediary1Details is present
Following Must be True
  /DeliverersCustodianDetails Must be present
```

- **DeliverersIntermediary1DetailsRule**

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

```
On Condition
  /DeliverersIntermediary2Details is present
Following Must be True
  /DeliverersIntermediary1Details Must be present
```

66.1.43.2.8.1 DeliverersCustodianDetails <DlvrrsCtdnDtls>

Presence: [0..1]

Definition: Party that acts on behalf of the seller of securities when the seller does not have a direct relationship with the delivering agent.

DeliverersCustodianDetails <DlvrrsCtdnDtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2305
	AccountIdentification <AcctId>	[0..1]	Text		2305

66.1.43.2.8.1.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.43.2.8.1.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2683

66.1.43.2.8.2 DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>

Presence: [0..1]

Definition: Party that the deliverer's custodian uses to effect the delivery of a security, when the deliverer's custodian does not have a direct relationship with the delivering agent.

DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2305
	AccountIdentification <AcctId>	[0..1]	Text		2306

66.1.43.2.8.2.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.43.2.8.2.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2683

66.1.43.2.8.3 DeliverersIntermediary2Details <DlvrrsIntrmy2DtIs>

Presence: [0..1]

Definition: Party that interacts with the deliverer's intermediary 1.

DeliverersIntermediary2Details <DlvrrsIntrmy2DtIs> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2306
	AccountIdentification <AcctId>	[0..1]	Text		2306

66.1.43.2.8.3.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.43.2.8.3.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2683

66.1.43.2.8.4 DeliveringAgentDetails <DlvrgAgtDtIs>

Presence: [1..1]

Definition: Party that delivers securities to the receiving agent at the place of settlement, for example, central securities depository.

DeliveringAgentDetails <DlvrgAgtDtIs> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2307
	AccountIdentification <AcctId>	[0..1]	Text		2307

66.1.43.2.8.4.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.43.2.8.4.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2683

66.1.44 Settlement Instruction

66.1.44.1 CashSettlement3

Definition: Cash settlement parties and accounts.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAccountDetails <CshAcctDtls>	[0..*]			2308
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	2309
	Identification <Id>	[1..1]	±		2309
	AccountOwner <AcctOwnr>	[0..1]	±		2310
	AccountServicer <AcctSvcr>	[0..1]	±		2310
	AccountServicerBranch <AcctSvcrBrnch>	[0..1]	±		2310
	AccountOwnerOtherIdentification <AcctOwnrOthrId>	[0..*]	±		2311
	InvestmentAccountType <InvstmtAcctTp>	[0..1]	±		2311
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2311
	SettlementInstructionReason <SttlmInstrRsn>	[0..1]			2312
{Or	Code <Cd>	[1..1]	CodeSet		2312
Or}	Proprietary <Prtry>	[1..1]	±		2313
	CashAccountPurpose <CshAcctPurp>	[0..1]			2313
{Or	Code <Cd>	[1..1]	CodeSet		2313
Or}	Proprietary <Prtry>	[1..1]	±		2313
	CashAccountDesignation <CshAcctDsgnt>	[0..1]			2314
{Or	Code <Cd>	[1..1]	CodeSet		2314
Or}	Proprietary <Prtry>	[1..1]	±		2314
	DividendPercentage <DvddPctg>	[0..1]	Rate		2314
	OtherCashSettlementDetails <OthrCshSttlmDtls>	[0..*]	±		2315

66.1.44.1.1 CashAccountDetails <CshAcctDtls>

Presence: [0..*]

Definition: Account to credit or debit. When this is an account to debit, this is for the payment of a subscription to an investment fund, a savings plan payment, the purchase of securities or the payment of charges. When this is an account to credit, this is for the payment of an amount as a result of a redemption of investment fund units, the sale of securities, interest and dividend payments. A single account may be specified for all cash movements on the account or cash accounts may be specified for specific types of transactions on the account.

CashAccountDetails <CshAcctDtls> contains the following **CashAccount204** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	2309
	Identification <Id>	[1..1]	±		2309
	AccountOwner <AcctOwnr>	[0..1]	±		2310
	AccountServicer <AcctSvcr>	[0..1]	±		2310
	AccountServicerBranch <AcctSvcrBrnch>	[0..1]	±		2310
	AccountOwnerOtherIdentification <AcctOwnrOthrId>	[0..*]	±		2311
	InvestmentAccountType <InvstmtAcctTp>	[0..1]	±		2311
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2311
	SettlementInstructionReason <SttlmInstrRsn>	[0..1]			2312
{Or	Code <Cd>	[1..1]	CodeSet		2312
Or}	Proprietary <Prtry>	[1..1]	±		2313
	CashAccountPurpose <CshAcctPurp>	[0..1]			2313
{Or	Code <Cd>	[1..1]	CodeSet		2313
Or}	Proprietary <Prtry>	[1..1]	±		2313
	CashAccountDesignation <CshAcctDsgnt>	[0..1]			2314
{Or	Code <Cd>	[1..1]	CodeSet		2314
Or}	Proprietary <Prtry>	[1..1]	±		2314
	DividendPercentage <DvddPctg>	[0..1]	Rate		2314

66.1.44.1.1.1 SettlementCurrency <SttlmCcy>

Presence: [1..1]

Definition: Currency associated with the payment instrument.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.44.1.1.2 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.44.1.1.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "[PartyIdentification125Choice](#)" on page 2020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2020
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2020
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2020

66.1.44.1.1.4 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see "[FinancialInstitutionIdentification11Choice](#)" on page 1395 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		1395
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C5	1395
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		1396
Or}	ProprietaryIdentification <PrtryId>	[1..1]			1396
	Identification <Id>	[1..1]	Text		1397

66.1.44.1.1.5 AccountServicerBranch <AcctSvcrBrnch>

Presence: [0..1]

Definition: Information identifying a specific branch of a financial institution.

Usage: this component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

AccountServicerBranch <AcctSvcrBrnch> contains the following elements (see "BranchData4" on page 1394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1394
	Name <Nm>	[0..1]	Text		1394
	PostalAddress <PstlAdr>	[0..1]	±		1394

66.1.44.1.1.6 AccountOwnerOtherIdentification <AcctOwnrOthrId>

Presence: [0..*]

Definition: Alternative identification, for example, national registration identification number, passport number, tax identification number. This may be an account number used to further identify the beneficial owner, for example, a Central Provident Fund (CPF) account as required for Singapore.

AccountOwnerOtherIdentification <AcctOwnrOthrId> contains the following elements (see "GenericIdentification82" on page 1500 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1500
	Type <Tp>	[1..1]			1501
{Or	Code <Cd>	[1..1]	CodeSet		1501
Or}	Proprietary <Prtry>	[1..1]	±		1502
	Issuer <Issr>	[0..1]	Text		1502
	IssueDate <IsseDt>	[0..1]	Date		1502
	ExpiryDate <XpryDt>	[0..1]	Date		1502
	State <Stat>	[0..1]	Text		1502
	IssuerCountry <IssrCtry>	[0..1]	CodeSet	C11	1502

66.1.44.1.1.7 InvestmentAccountType <InvstmtAcctTp>

Presence: [0..1]

Definition: Type of account.

InvestmentAccountType <InvstmtAcctTp> contains one of the following elements (see "AccountType2Choice" on page 1215 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1215
Or}	Proprietary <Prtry>	[1..1]	±		1215

66.1.44.1.1.8 CreditDebit <CdtDbt>

Presence: [0..1]

Definition: Specifies if the account is for credits or debits.

Datatype: "CreditDebit3Code" on page 2568

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

66.1.44.1.1.9 SettlementInstructionReason <SttlmInstrRsn>

Presence: [0..1]

Definition: Type of transaction for which the cash account is specified.

SettlementInstructionReason <SttlmInstrRsn> contains one of the following **SettlementInstructionReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2312
Or}	Proprietary <Prtry>	[1..1]	±		2313

66.1.44.1.1.9.1 Code <Cd>

Presence: [1..1]

Definition: Settlement instruction reason expressed as a code.

Datatype: "SettlementInstructionReason1Code" on page 2641

CodeName	Name	Definition
CSHI	AllCredits	Settlement of all credit amounts.
ALLL	AllCreditsAndDebits	Settlement of all credit and debit amounts.
CSHO	AllDebits	Settlement of all debit amounts.
CHAR	Charges	Settlement of charges.
DIVI	Dividend	Settlement of dividends.
INTE	Interest	Settlement of interest.
SAVP	InvestmentSavingsPlan	Settlement of investments to a savings plan.
REDM	Redemption	Settlement of redemptions or redemption legs of a switch transaction.
SAVE	SavingsPlan	Settlement of investments to a savings plan and withdrawals from a savings plan.
BUYI	SecuritiesPurchase	Settlement of securities purchases.
SELL	SecuritiesSale	Settlement of securities sales.
SUBS	Subscription	Settlement of subscriptions or subscription legs of a switch transaction.
WTHP	WithdrawalSavingsPlan	Settlement of withdrawals from a savings plan.

CodeName	Name	Definition
CORP	CorporateAction	Settlement of corporate actions.

66.1.44.1.1.9.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Settlement instruction reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.44.1.1.10 CashAccountPurpose <CshAcctPurp>*Presence:* [0..1]*Definition:* Purpose of the cash account.

CashAccountPurpose <CshAcctPurp> contains one of the following **CashAccountType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2313
Or}	Proprietary <Prtry>	[1..1]	±		2313

66.1.44.1.1.10.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of cash account expressed as a code.*Datatype:* "[CashAccountType5Code](#)" on page 2558

CodeName	Name	Definition
LEND	Lending	Account is for the coverage of lending.
COLL	Collateral	Account is for collateral.
SETT	FinancialSettlement	Account is for financial settlements.
MARR	MarginReturn	Account is for margin returns.
SEGT	Segregated	Account is an segregated account.

66.1.44.1.1.10.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of cash account expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.44.1.1.11 CashAccountDesignation <CshAcctDsgnt>

Presence: [0..1]

Definition: Specifies whether the account is the primary or secondary account if there are two accounts for the same purpose.

CashAccountDesignation <CshAcctDsgnt> contains one of the following **AccountDesignation1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2314
Or}	Proprietary <Prtry>	[1..1]	±		2314

66.1.44.1.1.11.1 Code <Cd>

Presence: [1..1]

Definition: Account designation expressed as a code.

Datatype: "[Rank1Code](#)" on page 2623

CodeName	Name	Definition
PRIM	Primary	Element is the primary one.
SECO	Secondary	Element is the primary one.

66.1.44.1.1.11.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Account designation expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.44.1.1.12 DividendPercentage <DvddPctg>

Presence: [0..1]

Definition: Percentage of the dividend payment not to be reinvested, that is, to be paid in cash.

Datatype: "PercentageBoundedRate" on page 2681

66.1.44.1.2 OtherCashSettlementDetails <OthrCshSttlmDtls>

Presence: [0..*]

Definition: Method of payment other than a cash account.

OtherCashSettlementDetails <OthrCshSttlmDtls> contains the following elements (see "PaymentInstrument17" on page 2083 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	2084
	DividendPercentage <DvddPctg>	[0..1]	Rate		2085
	SubscriptionPaymentInstrument <SbcptPmtInstrm>	[0..1]			2085
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]	±		2085
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2085
Or	Cheque <Chq>	[1..1]	Indicator		2086
Or}	BankersDraft <BkrsDrft>	[1..1]	Indicator		2086
	RedemptionPaymentInstrument <RedPmtInstrm>	[0..1]			2086
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2087
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2087
	DividendPaymentInstrument <DvddPmtInstrm>	[0..1]			2087
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2087
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2088
	SavingsPlanPaymentInstrument <SvgsPlanPmtInstrm>	[0..1]			2088
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]	±		2088
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2089
Or	Cheque <Chq>	[1..1]	Indicator		2089
Or}	BankersDraft <BkrsDrft>	[1..1]	Indicator		2090
	InterestPaymentInstrument <IntrstPmtInstrm>	[0..1]			2090
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2090
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2090

66.1.44.2 CashSettlement4

Definition: Cash settlement parties and accounts.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		2316
	CashAccountDetails <CshAcctDtls>	[0..*]			2316
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	2317
	Identification <Id>	[1..1]	±		2318
	AccountOwner <AcctOwnr>	[0..1]	±		2318
	AccountServicer <AcctSvcr>	[0..1]	±		2318
	AccountServicerBranch <AcctSvcrBrnch>	[0..1]	±		2319
	AccountOwnerOtherIdentification <AcctOwnrOthrId>	[0..*]	±		2319
	InvestmentAccountType <InvstmtAcctTp>	[0..1]	±		2319
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2320
	SettlementInstructionReason <SttlmInstrRsn>	[0..1]			2320
{Or	Code <Cd>	[1..1]	CodeSet		2320
Or}	Proprietary <Prtry>	[1..1]	±		2321
	CashAccountPurpose <CshAcctPurp>	[0..1]			2321
{Or	Code <Cd>	[1..1]	CodeSet		2321
Or}	Proprietary <Prtry>	[1..1]	±		2322
	CashAccountDesignation <CshAcctDsgnt>	[0..1]			2322
{Or	Code <Cd>	[1..1]	CodeSet		2322
Or}	Proprietary <Prtry>	[1..1]	±		2322
	DividendPercentage <DvddPctg>	[0..1]	Rate		2323
	OtherCashSettlementDetails <OthrCshSttlmDtls>	[0..*]	±		2323

66.1.44.2.1 ModificationScopeIndication <ModScplIndctn>

Presence: [1..1]

Definition: Specifies the type of modification to be applied.

Datatype: "DataModification2Code" on page 2570

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
DELT	DeleteDataSet	Delete an existing set of data.

66.1.44.2.2 CashAccountDetails <CshAcctDtls>

Presence: [0..*]

Definition: Account to credit or debit. When this is an account to debit, this is for the payment of a subscription to an investment fund, a savings plan payment, the purchase of securities or the payment

of charges. When this is an account to credit, this is for the payment of an amount as a result of a redemption of investment fund units, the sale of securities, interest and dividend payments. A single account may be specified for all cash movements on the account or cash accounts may be specified for specific types of transactions on the account.

CashAccountDetails <CshAcctDtls> contains the following **CashAccount204** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	2317
	Identification <Id>	[1..1]	±		2318
	AccountOwner <AcctOwnr>	[0..1]	±		2318
	AccountServicer <AcctSvcr>	[0..1]	±		2318
	AccountServicerBranch <AcctSvcrBrnch>	[0..1]	±		2319
	AccountOwnerOtherIdentification <AcctOwnrOthrId>	[0..*]	±		2319
	InvestmentAccountType <InvstmtAcctTp>	[0..1]	±		2319
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2320
	SettlementInstructionReason <SttlmInstrRsn>	[0..1]			2320
{Or	Code <Cd>	[1..1]	CodeSet		2320
Or}	Proprietary <Prtry>	[1..1]	±		2321
	CashAccountPurpose <CshAcctPurp>	[0..1]			2321
{Or	Code <Cd>	[1..1]	CodeSet		2321
Or}	Proprietary <Prtry>	[1..1]	±		2322
	CashAccountDesignation <CshAcctDsgnt>	[0..1]			2322
{Or	Code <Cd>	[1..1]	CodeSet		2322
Or}	Proprietary <Prtry>	[1..1]	±		2322
	DividendPercentage <DvddPctg>	[0..1]	Rate		2323

66.1.44.2.2.1 SettlementCurrency <SttlmCcy>

Presence: [1..1]

Definition: Currency associated with the payment instrument.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.44.2.2.2 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.44.2.2.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "[PartyIdentification125Choice](#)" on page 2020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2020
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2020
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2020

66.1.44.2.2.4 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see "[FinancialInstitutionIdentification11Choice](#)" on page 1395 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		1395
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C5	1395
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		1396
Or}	ProprietaryIdentification <PrtryId>	[1..1]			1396
	Identification <Id>	[1..1]	Text		1397

66.1.44.2.2.5 AccountServicerBranch <AcctSvcrBrnch>*Presence:* [0..1]*Definition:* Information identifying a specific branch of a financial institution.

Usage: this component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

AccountServicerBranch <AcctSvcrBrnch> contains the following elements (see "BranchData4" on page 1394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1394
	Name <Nm>	[0..1]	Text		1394
	PostalAddress <PstlAdr>	[0..1]	±		1394

66.1.44.2.2.6 AccountOwnerOtherIdentification <AcctOwnrOthrId>*Presence:* [0..*]

Definition: Alternative identification, for example, national registration identification number, passport number, tax identification number. This may be an account number used to further identify the beneficial owner, for example, a Central Provident Fund (CPF) account as required for Singapore.

AccountOwnerOtherIdentification <AcctOwnrOthrId> contains the following elements (see "GenericIdentification82" on page 1500 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1500
	Type <Tp>	[1..1]			1501
{Or	Code <Cd>	[1..1]	CodeSet		1501
Or}	Proprietary <Prtry>	[1..1]	±		1502
	Issuer <Issr>	[0..1]	Text		1502
	IssueDate <IsseDt>	[0..1]	Date		1502
	ExpiryDate <XpryDt>	[0..1]	Date		1502
	State <Stat>	[0..1]	Text		1502
	IssuerCountry <IssrCtry>	[0..1]	CodeSet	C11	1502

66.1.44.2.2.7 InvestmentAccountType <InvstmtAcctTp>*Presence:* [0..1]*Definition:* Type of account.

InvestmentAccountType <InvstmtAcctTp> contains one of the following elements (see "AccountType2Choice" on page 1215 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1215
Or}	Proprietary <Prtry>	[1..1]	±		1215

66.1.44.2.2.8 CreditDebit <CdtDbt>

Presence: [0..1]

Definition: Specifies if the account is for credits or debits.

Datatype: "CreditDebit3Code" on page 2568

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

66.1.44.2.2.9 SettlementInstructionReason <SttlInstrRsn>

Presence: [0..1]

Definition: Type of transaction for which the cash account is specified.

SettlementInstructionReason <SttlInstrRsn> contains one of the following **SettlementInstructionReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2320
Or}	Proprietary <Prtry>	[1..1]	±		2321

66.1.44.2.2.9.1 Code <Cd>

Presence: [1..1]

Definition: Settlement instruction reason expressed as a code.

Datatype: "SettlementInstructionReason1Code" on page 2641

CodeName	Name	Definition
CSHI	AllCredits	Settlement of all credit amounts.
ALLL	AllCreditsAndDebits	Settlement of all credit and debit amounts.
CSHO	AllDebits	Settlement of all debit amounts.
CHAR	Charges	Settlement of charges.
DIVI	Dividend	Settlement of dividends.
INTE	Interest	Settlement of interest.
SAVP	InvestmentSavingsPlan	Settlement of investments to a savings plan.

CodeName	Name	Definition
REDM	Redemption	Settlement of redemptions or redemption legs of a switch transaction.
SAVE	SavingsPlan	Settlement of investments to a savings plan and withdrawals from a savings plan.
BUYI	SecuritiesPurchase	Settlement of securities purchases.
SELL	SecuritiesSale	Settlement of securities sales.
SUBS	Subscription	Settlement of subscriptions or subscription legs of a switch transaction.
WTHP	WithdrawalSavingsPlan	Settlement of withdrawals from a savings plan.
CORP	CorporateAction	Settlement of corporate actions.

66.1.44.2.2.9.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Settlement instruction reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.44.2.2.10 CashAccountPurpose <CshAcctPurp>

Presence: [0..1]

Definition: Purpose of the cash account.

CashAccountPurpose <CshAcctPurp> contains one of the following **CashAccountType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2321
Or}	Proprietary <Prtry>	[1..1]	±		2322

66.1.44.2.2.10.1 Code <Cd>

Presence: [1..1]

Definition: Type of cash account expressed as a code.

Datatype: "[CashAccountType5Code](#)" on page 2558

CodeName	Name	Definition
LEND	Lending	Account is for the coverage of lending.

CodeName	Name	Definition
COLL	Collateral	Account is for collateral.
SETT	FinancialSettlement	Account is for financial settlements.
MARR	MarginReturn	Account is for margin returns.
SEGT	Segregated	Account is an segregated account.

66.1.44.2.2.10.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of cash account expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.44.2.2.11 CashAccountDesignation <CshAcctDsgnt>

Presence: [0..1]

Definition: Specifies whether the account is the primary or secondary account if there are two accounts for the same purpose.

CashAccountDesignation <CshAcctDsgnt> contains one of the following **AccountDesignation1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2322
Or}	Proprietary <Prtry>	[1..1]	±		2322

66.1.44.2.2.11.1 Code <Cd>

Presence: [1..1]

Definition: Account designation expressed as a code.

Datatype: "Rank1Code" on page 2623

CodeName	Name	Definition
PRIM	Primary	Element is the primary one.
SECO	Secondary	Element is the primary one.

66.1.44.2.2.11.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Account designation expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.44.2.2.12 DividendPercentage <DvddPctg>

Presence: [0..1]

Definition: Percentage of the dividend payment not to be reinvested, that is, to be paid in cash.

Datatype: "[PercentageBoundedRate](#)" on page 2681

66.1.44.2.2.3 OtherCashSettlementDetails <OthrCshSttlmDtls>

Presence: [0..*]

Definition: Method of payment other than a cash account.

OtherCashSettlementDetails <OthrCshSttlmDtls> contains the following elements (see "PaymentInstrument17" on page 2083 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	2084
	DividendPercentage <DvddPctg>	[0..1]	Rate		2085
	SubscriptionPaymentInstrument <SbcptPmtInstrm>	[0..1]			2085
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]	±		2085
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2085
Or	Cheque <Chq>	[1..1]	Indicator		2086
Or}	BankersDraft <BkrsDrft>	[1..1]	Indicator		2086
	RedemptionPaymentInstrument <RedPmtInstrm>	[0..1]			2086
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2087
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2087
	DividendPaymentInstrument <DvddPmtInstrm>	[0..1]			2087
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2087
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2088
	SavingsPlanPaymentInstrument <SvgsPlanPmtInstrm>	[0..1]			2088
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]	±		2088
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2089
Or	Cheque <Chq>	[1..1]	Indicator		2089
Or}	BankersDraft <BkrsDrft>	[1..1]	Indicator		2090
	InterestPaymentInstrument <IntrstPmtInstrm>	[0..1]			2090
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2090
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2090

66.1.45 Statement Basis

66.1.45.1 StatementBasisCodeAndDSSCodeChoice

Definition: Choice between formats for the statement basis.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StatementBasisAsCode <StmtBsisAsCd>	[1..1]	CodeSet		2325
Or}	StatementBasisAsDSS <StmtBsisAsDSS>	[1..1]	±		2325

66.1.45.1.1 StatementBasisAsCode <StmntBsisAsCd>*Presence:* [1..1]*Definition:* Statement basis expressed as a code.*Datatype:* "StatementBasis1Code" on page 2643

CodeName	Name	Definition
CONT	Contractual	The statement is based on contractual settlement date irrespective of settled data positions.
SETT	Settled	The statement is based on settled date positions to the knowledge of the sender at the time of the statement preparation.
TRAD	Traded	The statement is based on trade date positions.

66.1.45.1.2 StatementBasisAsDSS <StmntBsisAsDSS>*Presence:* [1..1]*Definition:* Statement basis expressed as a data source scheme and a code used within the data source scheme.**StatementBasisAsDSS <StmntBsisAsDSS>** contains the following elements (see "GenericIdentification7" on page 1508 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Issuer <Issr>	[1..1]	Text		1508
	Information <Inf>	[1..1]	Text		1509

66.1.46 Statement Update Type**66.1.46.1 StatementUpdateTypeCodeAndDSSCodeChoice***Definition:* Choice between formats for the update type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StatementUpdateTypeAsCode <StmntUpdTpAsCd>	[1..1]	CodeSet		2325
Or}	StatementUpdateTypeAsDSS <StmntUpdTpAsDSS>	[1..1]	±		2326

66.1.46.1.1 StatementUpdateTypeAsCode <StmntUpdTpAsCd>*Presence:* [1..1]*Definition:* Update type expressed as a code.*Datatype:* "StatementUpdateTypeCode" on page 2644

CodeName	Name	Definition
COMP	Complete	Statement is complete.
DELT	Delta	Statement contains changes only.

66.1.46.1.2 StatementUpdateTypeAsDSS <StmntUpdTpAsDSS>*Presence:* [1..1]*Definition:* Update type expressed as a data source scheme and a code used within the data source scheme.**StatementUpdateTypeAsDSS <StmntUpdTpAsDSS>** contains the following elements (see "[GenericIdentification7](#)" on page 1508 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Issuer <Issr>	[1..1]	Text		1508
	Information <Inf>	[1..1]	Text		1509

66.1.47 Status**66.1.47.1 AccountingStatus1Choice***Definition:* Choice of formats for the accounting status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2326
Or}	Proprietary <Prtry>	[1..1]	±		2326

66.1.47.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Accounting status expressed as a code.*Datatype:* "[AccountingStatus1Code](#)" on page 2548

CodeName	Name	Definition
YDOM	Domestic	Account or party is regarded as domestic for reporting purposes.
NDOM	NotDomestic	Account or party is not regarded as domestic for reporting purposes.

66.1.47.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Accounting status expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.47.2 DrawdownStatus1Choice

Definition: Choice of formats for a drawdown status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2327
Or}	Proprietary <Prtry>	[1..1]	±		2327

66.1.47.2.1 Code <Cd>

Presence: [1..1]

Definition: Status expressed as a code.

Datatype: "DrawdownStatus1Code" on page 2572

CodeName	Name	Definition
FULL	Full	Pension is fully in drawdown.
NONE	None	Pension is not in drawdown.
PART	Partial	Pension is in partial drawdown.

66.1.47.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.47.3 TransferCancellationStatus3

Definition: Transfer cancellation status is accepted or sent to next party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		2327
	Reason <Rsn>	[0..1]	Text		2328

66.1.47.3.1 Status <Sts>

Presence: [1..1]

Definition: Status of the transfer cancellation is accepted or sent to next party.

Datatype: "CancellationStatus5Code" on page 2557

CodeName	Name	Definition
RECE	Received	Cancellation instruction/request has been received, that is, technical validation of the message is ok, and the message is now at the receiving side.
PACK	Accepted	Cancellation instruction / request has been received and has been acknowledged / accepted for further processing.
STNP	SentToNextParty	Cancellation instruction/request has been sent to the next party, that is, the next intermediary.

66.1.47.3.2 Reason <Rsn>

Presence: [0..1]

Definition: Reason for the status.

Datatype: "Max350Text" on page 2683

66.1.47.4 TransferUnmatchedStatus4Choice

Definition: Reason for the unmatched status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2328
Or	Reason <Rsn>	[1..1]	CodeSet		2328
Or	ExtendedReason <XtndedRsn>	[1..1]	Text		2329
Or}	DataSourceScheme <DataSrcSchme>	[1..1]	±		2329

66.1.47.4.1 NoSpecifiedReason <NoSpdfdRsn>

Presence: [1..1]

Definition: Indicates that there is no reason available or to report.

Datatype: "NoReasonCode" on page 2609

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

66.1.47.4.2 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the unmatched status.

Datatype: "TransferUnmatchedReason3Code" on page 2661

CodeName	Name	Definition
CMIS	NoMatch	No potential match has been found.

CodeName	Name	Definition
CPCA	CounterpartyCancelled	Counterparty has cancelled the transaction/instruction.
DELN	TransactionDirection	Direction of transaction does not match: delivery instead of receipt or vice versa.
DSEC	FinancialInstrument	Financial instrument identification does not match or a financial instrument attribute differs.
PHYS	PhysicalSettlement	Settlement does not match: counterparty's instruction is for physical settlement, your instruction is not, or vice versa.
PODU	Duplicate	Instruction has not been matched: it is a possible duplicate instruction.
DEPT	SettlementPlace	Place of settlement does not match.
DDAT	SettlementDate	Settlement date or transfer date does not match.
DQUA	FinancialInstrumentQuantity	Financial instrument quantity does not match.
ICUS	ReceivingOrDeliveringCustodian	Delivering or receiving custodian does not match.
SAFE	SafekeepingAccount	Safekeeping account used as a matching criteria on the market concerned does not match.

66.1.47.4.3 ExtendedReason <XtndedRsn>

Presence: [1..1]

Definition: Reason for the unmatched status.

Datatype: "Extended350Code" on page 2682

66.1.47.4.4 DataSourceScheme <DataSrcSchme>

Presence: [1..1]

Definition: Proprietary identification of the reason for the unmatched status.

DataSourceScheme <DataSrcSchme> contains the following elements (see "[GenericIdentification1](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.47.5 TransferInstructionStatus5

Definition: Instruction status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		2330
	Reason <Rsn>	[0..1]	Text		2330

66.1.47.5.1 Status <Sts>

Presence: [1..1]

Definition: Status code.

Datatype: "TransferStatus6Code" on page 2659

CodeName	Name	Definition
PACK	Accepted	Transfer or settlement instruction has been acknowledged / accepted for further processing.
COSE	AlreadyExecuted	Transfer or settlement instruction is fully executed and the confirmation is sent.
COMP	Complete	Portfolio transfer is complete.
DELY	Delayed	Transfer or settlement instruction is delayed.
MACH	Matched	Transfer or settlement instruction is matched.
RECE	Received	Instruction has been received, that is, technical validation of the message is ok, and the message is now at the receiving side.
STNP	SentToNextParty	Transfer or settlement instruction has been sent to the next party, that is, the next intermediary.
SETT	Settled	Transfer or settlement instruction is settled.

66.1.47.5.2 Reason <Rsn>

Presence: [0..1]

Definition: Reason for the status.

Datatype: "Max350Text" on page 2683

66.1.47.6 OtherAccountStatus1

Definition: Status of an account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	±		2331
	Reason <Rsn>	[0..1]	±		2331

66.1.47.6.1 Status <Sts>*Presence:* [1..1]*Definition:* Status of the account.**Status <Sts>** contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.47.6.2 Reason <Rsn>*Presence:* [0..1]*Definition:* Reason for the status of the account.**Reason <Rsn>** contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.47.7 ClosurePendingStatusReason1Choice*Definition:* Choice of formats for a closure pending status reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2331
Or}	Reason <Rsn>	[1..*]			2332
	Code <Cd>	[1..1]			2332
{Or	Code <Cd>	[1..1]	CodeSet		2332
Or}	Proprietary <Prtry>	[1..1]	±		2332
	AdditionalInformation <AddtlInf>	[0..1]	Text		2333

66.1.47.7.1 NoSpecifiedReason <NoSpcfdRsn>*Presence:* [1..1]*Definition:* There is no reason available or to report for the closure pending status.*Datatype:* "[NoReasonCode](#)" on page 2609

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

66.1.47.7.2 Reason <Rsn>*Presence:* [1..*]*Definition:* Reason for the closure pending status.**Reason <Rsn>** contains the following **ClosurePendingStatusReason1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2332
{Or	Code <Cd>	[1..1]	CodeSet		2332
Or}	Proprietary <Prtry>	[1..1]	±		2332
	AdditionalInformation <AddtlInf>	[0..1]	Text		2333

66.1.47.7.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Reason for the closure pending status.**Code <Cd>** contains one of the following **ClosurePendingStatusReason2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2332
Or}	Proprietary <Prtry>	[1..1]	±		2332

66.1.47.7.2.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Reason for the closure pending status expressed as a code.*Datatype:* "ClosurePendingStatusReason1Code" on page 2561

CodeName	Name	Definition
CLOS	AccountClosingInProgress	Account closing is in process.
PEND	PendingConditions	Account will be closed when conditions are met.

66.1.47.7.2.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Reason for the closure pending status expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.47.7.2.2 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Additional information about the reason for the closure pending status.*Datatype:* "Max350Text" on page 2683**66.1.47.8 ClosedStatusReason1Choice***Definition:* Choice of formats for a closed status reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2333
Or}	Reason <Rsn>	[1..*]			2333
	Code <Cd>	[1..1]			2333
{Or	Code <Cd>	[1..1]	CodeSet		2334
Or}	Proprietary <Prtry>	[1..1]	±		2334
	AdditionalInformation <AddtlInf>	[0..1]	Text		2334

66.1.47.8.1 NoSpecifiedReason <NoSpdfdRsn>*Presence:* [1..1]*Definition:* There is no reason available or to report for the closed account status.*Datatype:* "NoReasonCode" on page 2609

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

66.1.47.8.2 Reason <Rsn>*Presence:* [1..*]*Definition:* Reason for the closed account status.**Reason <Rsn>** contains the following **ClosedStatusReason1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2333
{Or	Code <Cd>	[1..1]	CodeSet		2334
Or}	Proprietary <Prtry>	[1..1]	±		2334
	AdditionalInformation <AddtlInf>	[0..1]	Text		2334

66.1.47.8.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Reason for the closed account status.

Code <Cd> contains one of the following **ClosedStatusReason2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2334
Or}	Proprietary <Prtry>	[1..1]	±		2334

66.1.47.8.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the closed account status expressed as a code.

Datatype: "ClosedStatusReason1Code" on page 2561

CodeName	Name	Definition
ASIN	AccountServicerInstruction	Account is closed, following an instruction from the account servicer to close the account.
CLIN	ClientInstruction	Account is closed, following an instruction from the client, or an authorised party, to close the account.

66.1.47.8.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Reason for the closed account status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification36" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.47.8.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the reason for the closed account status.

Datatype: "Max350Text" on page 2683

66.1.47.9 ProformaStatusReason1Choice

Definition: Choice of formats for a proforma status reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2335
Or}	Reason <Rsn>	[1..*]			2335
	Code <Cd>	[1..1]			2335
{Or	Code <Cd>	[1..1]	CodeSet		2335
Or}	Proprietary <Prtry>	[1..1]	±		2336
	AdditionalInformation <AddtlInf>	[0..1]	Text		2336

66.1.47.9.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: There is no reason available or to report for the proforma account status.

Datatype: "NoReasonCode" on page 2609

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

66.1.47.9.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the proforma account status.

Reason <Rsn> contains the following **ProformaStatusReason1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2335
{Or	Code <Cd>	[1..1]	CodeSet		2335
Or}	Proprietary <Prtry>	[1..1]	±		2336
	AdditionalInformation <AddtlInf>	[0..1]	Text		2336

66.1.47.9.2.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the proforma account status.

Code <Cd> contains one of the following **ProformaStatusReason2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2335
Or}	Proprietary <Prtry>	[1..1]	±		2336

66.1.47.9.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the enabled account status expressed as a code.

Datatype: "ProformaStatusReason1Code" on page 2622

CodeName	Name	Definition
MODI	ModificationInProgress	Modification to the account data is in process.
RIGH	Rights	Account opening is waiting for rights holder information.

66.1.47.9.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Reason for the enabled account status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification36" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.47.9.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the reason for the proforma account status.

Datatype: "Max350Text" on page 2683

66.1.47.10 PendingOpeningStatusReason1Choice

Definition: Choice of formats for a pending account opening status reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2336
Or}	Reason <Rsn>	[1..*]			2337
	Code <Cd>	[1..1]			2337
{Or	Code <Cd>	[1..1]	CodeSet		2337
Or}	Proprietary <Prtry>	[1..1]	±		2338
	AdditionalInformation <AddtlInf>	[0..1]	Text		2338

66.1.47.10.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: There is no reason available or to report for the pending account opening status.

Datatype: "NoReasonCode" on page 2609

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

66.1.47.10.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the pending account opening status.

Reason <Rsn> contains the following **PendingOpeningStatusReason1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2337
{Or	Code <Cd>	[1..1]	CodeSet		2337
Or}	Proprietary <Prtry>	[1..1]	±		2338
	AdditionalInformation <AddtlInf>	[0..1]	Text		2338

66.1.47.10.2.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the pending account opening status.

Code <Cd> contains one of the following **PendingOpeningStatusReason2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2337
Or}	Proprietary <Prtry>	[1..1]	±		2338

66.1.47.10.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the pending account opening status expressed as a code.

Datatype: "PendingOpeningStatusReason1Code" on page 2614

CodeName	Name	Definition
ATHR	Authorisation	Authorisation is required.
ATHP	AuthorisedButPending	Authorisation is provided but account opening is still pending.
FRDM	FATCAReportingDateMissing	FATCA reporting date is missing.
KYCM	KnowYourCustomerDocumentMissing	Know Your Customer (KYC) document is missing.
NOTO	NotOpened	Account is not yet open, even for partial use.
REST	Restrictions	Account will be opened when restrictions are addressed.
RIGH	RightsHolderMissing	Rights holder information is missing.

66.1.47.10.2.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Reason for the pending account opening status expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.47.10.2.2 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Additional information about the reason for the pending account opening status.*Datatype:* "[Max350Text](#)" on page 2683**66.1.47.11 DisabledStatusReason1Choice***Definition:* Choice of formats for a disabled status reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2338
Or}	Reason <Rsn>	[1..*]			2338
	Code <Cd>	[1..1]			2339
{Or	Code <Cd>	[1..1]	CodeSet		2339
Or}	Proprietary <Prtry>	[1..1]	±		2339
	AdditionalInformation <AddtlInf>	[0..1]	Text		2340

66.1.47.11.1 NoSpecifiedReason <NoSpctdRsn>*Presence:* [1..1]*Definition:* There is no reason available or to report for the disabled account status.*Datatype:* "[NoReasonCode](#)" on page 2609

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

66.1.47.11.2 Reason <Rsn>*Presence:* [1..*]*Definition:* Reason for the disabled account status.

Reason <Rsn> contains the following **DisabledStatusReason1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2339
{Or	Code <Cd>	[1..1]	CodeSet		2339
Or}	Proprietary <Prtry>	[1..1]	±		2339
	AdditionalInformation <AddtlInf>	[0..1]	Text		2340

66.1.47.11.2.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the disabled account status.

Code <Cd> contains one of the following **DisabledStatusReason2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2339
Or}	Proprietary <Prtry>	[1..1]	±		2339

66.1.47.11.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the disabled account status expressed as a code.

Datatype: "DisabledReason2Code" on page 2571

CodeName	Name	Definition
CLOS	AccountClosingInProgress	Account closing is in process.
BKRP	Bankruptcy	Bankruptcy.
CMMT	Commitment	Commitment.
CNFS	Confiscation	Confiscation.
MORT	MortisCausa	Reserved in contemplation of death.
PCOM	PendingComplianceVerification	Pending compliance verification.
PLDG	Pledged	Pledged.
TRPE	Reregistration	Reregistration.
SANC	Sanctions	Sanctions are in place.
TRAN	Transfer	Transfer.
REJT	Rejected	Account was pending opening but is now rejected.

66.1.47.11.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Reason for the disabled account status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.47.11.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the reason for the disabled account status.

Datatype: "[Max350Text](#)" on page 2683

66.1.47.12 EnabledStatusReason1Choice

Definition: Choice of formats for an enabled status reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2340
Or}	Reason <Rsn>	[1..*]			2340
	Code <Cd>	[1..1]			2341
{Or	Code <Cd>	[1..1]	CodeSet		2341
Or}	Proprietary <Prtry>	[1..1]	±		2341
	AdditionalInformation <AddtlInf>	[0..1]	Text		2341

66.1.47.12.1 NoSpecifiedReason <NoSpctdRsn>

Presence: [1..1]

Definition: There is no reason available or to report for the enabled account status.

Datatype: "[NoReasonCode](#)" on page 2609

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

66.1.47.12.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the enabled account status.

Reason <Rsn> contains the following **EnabledStatusReason1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2341
{Or	Code <Cd>	[1..1]	CodeSet		2341
Or}	Proprietary <Prtry>	[1..1]	±		2341
	AdditionalInformation <AddtlInf>	[0..1]	Text		2341

66.1.47.12.2.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the enabled account status.

Code <Cd> contains one of the following **EnabledStatusReason2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2341
Or}	Proprietary <Prtry>	[1..1]	±		2341

66.1.47.12.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the enabled account status expressed as a code.

Datatype: "EnabledStatusReason1Code" on page 2572

CodeName	Name	Definition
MODI	Modified	Modification to the account data is in process.

66.1.47.12.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Reason for the enabled account status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification36" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.47.12.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the reason for the enabled account status.

Datatype: "Max350Text" on page 2683

66.1.47.13 PendingStatusReason14

Definition: Reason for a pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		2342
	AdditionalInformation <AddtlInf>	[0..1]	Text		2342

66.1.47.13.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the pending account status.

Code <Cd> contains one of the following elements (see ["PendingStatusReason2Choice"](#) on page 2342 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2342
Or}	Proprietary <Prtry>	[1..1]	±		2343

66.1.47.13.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the reason for the pending account status.

Datatype: ["Max350Text"](#) on page 2683

66.1.47.14 PendingStatusReason2Choice

Definition: Choice of formats for pending reason code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2342
Or}	Proprietary <Prtry>	[1..1]	±		2343

66.1.47.14.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the pending account status expressed as a code.

Datatype: ["PendingStatusReason1Code"](#) on page 2616

CodeName	Name	Definition
KYCM	KnowYourCustomerDocumentMissing	Know Your Customer (KYC) document is missing.
FRDM	FATCAReportingDateMissing	FATCA reporting date is missing.
RIGH	RightsHolderMissing	Rights holder information is missing.
ATHR	Authorisation	Authorisation is required.

CodeName	Name	Definition
ATHP	AuthorisedButPending	Authorisation is provided but the account change is still pending.
MODI	ModificationInProgress	Modification to the account data is in process.

66.1.47.14.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Reason for the pending account status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.47.15 PendingStatusReason1Choice

Definition: Choice of formats for a pending status reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2343
Or}	Reason <Rsn>	[1..*]	±		2343

66.1.47.15.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: There is no reason available or to report for the pending account status.

Datatype: "[NoReasonCode](#)" on page 2609

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

66.1.47.15.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the pending account status.

Reason <Rsn> contains the following elements (see "PendingStatusReason14" on page 2342 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		2342
	AdditionalInformation <AddtlInf>	[0..1]	Text		2342

66.1.47.16 OrderStatusAndReason10

Definition: Status report of a bulk or multiple or switch order that was previously received.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		2344
	OrderStatus <OrdrSts>	[1..1]			2344
{Or	Status <Sts>	[1..1]	CodeSet		2345
Or	Cancelled <Canc>	[1..1]	±	C3, C5	2345
Or	ConditionallyAccepted <CondlyAcptd>	[1..1]	±		2346
Or	Rejected <Rjctd>	[1..10]	±	C18	2346
Or	Suspended <Sspd>	[1..1]	±		2347
Or}	PartiallySettled <PrtySttld>	[1..1]	±		2347
	StatusInitiator <StsInitr>	[0..1]	±		2348

66.1.47.16.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: "Max35Text" on page 2683

66.1.47.16.2 OrderStatus <OrdrSts>

Presence: [1..1]

Definition: Status of a 'bulk' of orders. Can be used if all the individual orders conveyed in a bulk or multiple order message have the same status.

OrderStatus <OrdrSts> contains one of the following **OrderStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Status <Sts>	[1..1]	CodeSet		2345
Or	Cancelled <Canc>	[1..1]	±	C3, C5	2345
Or	ConditionallyAccepted <CondlyAccptd>	[1..1]	±		2346
Or	Rejected <Rjctd>	[1..10]	±	C18	2346
Or	Suspended <Sspd>	[1..1]	±		2347
Or}	PartiallySettled <PrtySttld>	[1..1]	±		2347

66.1.47.16.2.1 Status <Sts>

Presence: [1..1]

Definition: Status of all the orders in the order message. There is no reason attached.

Datatype: "OrderStatus4Code" on page 2611

CodeName	Name	Definition
PACK	Accepted	Order is accepted and is ready for execution (execution is the moment when pricing is applied).
COSE	AlreadyExecuted	Order is already executed and confirmation has been sent.
STNP	SentToNextParty	Order has been sent to the next party, eg, the next intermediary.
RECE	Received	Order has been received, ie, technical validation of the message is ok, and the message is now at the receiving side.
SETT	Settled	Order is settled. The cash is credited or debited.
CPNP	CommunicationProblemNextParty	Communication problems with the next party.
CNFC	ConfirmationAmendment	Order confirmation cancellation has been sent and will be followed by a confirmation amendment, or a confirmation amendment has been sent.
DONE	DoneForDay	Order has been partially filled, and is done for the day, ie, no further executions will take place today.
DONF	PartiallyDone	Order has been partially filled and will not be filled further.
OPOD	Open	Order is open.
IACO	InvalidAgentConfirmation	Order confirmation received from agent is invalid.

66.1.47.16.2.2 Cancelled <Canc>

Presence: [1..1]

Definition: Status of all the orders in the order message is cancelled. This status is used for orders that have been accepted or that have been entered in an order book but that can not be executed.

Impacted by: C3 "AdditionalInformationRule", C5 "CancelledElementRule"

Cancelled <Canc> contains the following elements (see "CancelledStatusReason16" on page 2400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2401
{Or	Code <Cd>	[1..1]	CodeSet		2401
Or	Proprietary <Prtry>	[1..1]	±		2401
Or}	NoSpecifiedReason <NoSpofdRsn>	[1..1]	CodeSet		2402
	AdditionalInformation <AddtlInf>	[0..1]	Text		2402

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

- **CancelledElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

66.1.47.16.2.3 ConditionallyAccepted <CondlyAccptd>

Presence: [1..1]

Definition: Status of all the orders in the order message is conditionally accepted.

ConditionallyAccepted <CondlyAccptd> contains one of the following elements (see "ConditionallyAcceptedStatus3Choice" on page 2398 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpofdRsn>	[1..1]	CodeSet		2398
Or}	ReasonDetails <RsnDtls>	[1..5]			2399
	Reason <Rsn>	[1..1]			2399
{Or	Code <Cd>	[1..1]	CodeSet		2399
Or}	Proprietary <Prtry>	[1..1]	±		2400
	AdditionalInformation <AddtlInf>	[0..1]	Text		2400

66.1.47.16.2.4 Rejected <Rjctd>

Presence: [1..10]

Definition: Status of all the orders in the order message is rejected. This status is used for orders that have not been accepted or entered in an order book.

Impacted by: C18 "RejectedElementRule"

Rejected <Rjctd> contains the following elements (see "[RejectedStatus9](#)" on page 2395 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2395
{Or	Code <Cd>	[1..1]	CodeSet		2395
Or}	Proprietary <Prtry>	[1..1]	±		2398
	AdditionalInformation <AddtlInf>	[0..1]	Text		2398

Constraints

- **RejectedElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

66.1.47.16.2.5 Suspended <Sspd>

Presence: [1..1]

Definition: Status of all the orders in the order message is suspended.

Suspended <Sspd> contains one of the following elements (see "[SuspendedStatusReason4Choice](#)" on page 2393 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2393
Or}	ReasonDetails <RsnDtls>	[1..5]			2393
	Reason <Rsn>	[1..1]			2394
{Or	Code <Cd>	[1..1]	CodeSet		2394
Or}	Proprietary <Prtry>	[1..1]	±		2394
	AdditionalInformation <AddtlInf>	[0..1]	Text		2394

66.1.47.16.2.6 PartiallySettled <PrtlySttld>

Presence: [1..1]

Definition: Status of all the orders in the order message is partially settled.

PartiallySettled <PrtlySttld> contains the following elements (see "[PartiallySettledStatus10](#)" on page 2390 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2390
{Or	Code <Cd>	[1..1]	CodeSet		2390
Or}	Proprietary <Prtry>	[1..1]	±		2391
	AdditionalInformation <AddtlInf>	[0..1]	Text		2391

66.1.47.16.3 StatusInitiator <StsInitr>*Presence:* [0..1]*Definition:* Party that initiates the status of the order.**StatusInitiator <StsInitr>** contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.47.17 CancellationStatus22Choice*Definition:* Status is cancelled.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Status <Sts>	[1..1]	CodeSet		2348
Or}	Rejected <Rjctd>	[1..1]	±	C8	2348

66.1.47.17.1 Status <Sts>*Presence:* [1..1]*Definition:* Status of the order cancellation request is cancelled.*Datatype:* "[OrderCancellationStatus2Code](#)" on page 2610

CodeName	Name	Definition
STNP	SentToNextParty	Order cancellation request has been sent to the next party, eg, the next intermediary.
RECE	Received	Status of the order cancellation request is received, that is, technical validation of the message is ok, and the message is now at the receiving side.
CANP	CancellationPending	Order cancellation request is pending, The order cancellation request has been received but this does not indicate the order has been cancelled.
CAND	CancellationCompleted	Status of the order cancellation request is completed. The cancellation request has been accepted and processed; the order has been cancelled.

66.1.47.17.2 Rejected <Rjctd>*Presence:* [1..1]*Definition:* Status of the order cancellation request is rejected.*Impacted by:* [C8 "ReasonElementRule"](#)

Rejected <Rjctd> contains the following elements (see "RejectedStatus10" on page 2388 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2389
{Or	Code <Cd>	[1..1]	CodeSet		2389
Or}	Proprietary <Prtry>	[1..1]	±		2389
	AdditionalInformation <AddtlInf>	[0..1]	Text		2390

Constraints

- **ReasonElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

66.1.47.18 Status26Choice

Definition: Choice of status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CancellationStatusReport <CxIStsRpt>	[1..1]			2349
	MasterReference <MstrRef>	[0..1]	Text		2350
	CancellationStatus <CxISts>	[1..1]	±		2350
	StatusInitiator <StsInitr>	[0..1]	±		2350
Or}	IndividualCancellationStatusReport <IndvCxIStsRpt>	[1..*]			2350
	MasterReference <MstrRef>	[0..1]	Text		2351
	OrderReference <OrdRef>	[1..1]	Text		2351
	ClientReference <CIntRef>	[0..1]	Text		2351
	CancellationReference <CxIRef>	[0..1]	Text		2351
	CancellationStatus <CxISts>	[1..1]	±		2351
	StatusInitiator <StsInitr>	[0..1]	±		2352
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	2352
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C17	2352

66.1.47.18.1 CancellationStatusReport <CxIStsRpt>

Presence: [1..1]

Definition: Status report details of a bulk or multiple or switch order cancellation message.

CancellationStatusReport <CxIStsRpt> contains the following **OrderStatusAndReason9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		2350
	CancellationStatus <CxISts>	[1..1]	±		2350
	StatusInitiator <StsInitr>	[0..1]	±		2350

66.1.47.18.1.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: "Max35Text" on page 2683

66.1.47.18.1.2 CancellationStatus <CxISts>

Presence: [1..1]

Definition: Cancellation status of the order cancellation.

CancellationStatus <CxISts> contains one of the following elements (see "CancellationStatus22Choice" on page 2348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Status <Sts>	[1..1]	CodeSet		2348
Or}	Rejected <Rjctd>	[1..1]	±	C8	2348

66.1.47.18.1.3 StatusInitiator <StsInitr>

Presence: [0..1]

Definition: Party that initiates the status of the order cancellation.

StatusInitiator <StsInitr> contains the following elements (see "PartyIdentification113" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.47.18.2 IndividualCancellationStatusReport <IndvCxIStsRpt>

Presence: [1..*]

Definition: Status report details of one or more individual orders from a bulk or multiple or switch order cancellation request.

IndividualCancellationStatusReport <IndvCxlStsRpt> contains the following **IndividualOrderStatusAndReason8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		2351
	OrderReference <OrdRef>	[1..1]	Text		2351
	ClientReference <CIntRef>	[0..1]	Text		2351
	CancellationReference <CxlRef>	[0..1]	Text		2351
	CancellationStatus <CxlSts>	[1..1]	±		2351
	StatusInitiator <StsIntr>	[0..1]	±		2352
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	2352
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C17	2352

66.1.47.18.2.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: "Max35Text" on page 2683

66.1.47.18.2.2 OrderReference <OrdRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

66.1.47.18.2.3 ClientReference <CIntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2683

66.1.47.18.2.4 CancellationReference <CxlRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the order cancellation, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

66.1.47.18.2.5 CancellationStatus <CxlSts>

Presence: [1..1]

Definition: Cancellation status of the order cancellation.

CancellationStatus <CxISts> contains one of the following elements (see "CancellationStatus22Choice" on page 2348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Status <Sts>	[1..1]	CodeSet		2348
Or}	Rejected <Rjctd>	[1..1]	±	C8	2348

66.1.47.18.2.6 StatusInitiator <StsInitr>

Presence: [0..1]

Definition: Party that initiates the status of the individual order cancellation.

StatusInitiator <StsInitr> contains the following elements (see "PartyIdentification113" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.47.18.2.7 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [0..1]

Definition: Account information of the individual order cancellation for which the status is given.

Impacted by: C20 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1635
	AccountName <AcctNm>	[0..1]	Text		1635
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1635
	OwnerIdentification <OwnrId>	[0..*]	±		1635
	AccountServicer <AcctSvcr>	[0..1]	±		1636
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		1636
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1636

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

66.1.47.18.2.8 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [0..1]

Definition: Financial instrument information of the individual order cancellation for which the status is given.

Impacted by: C17 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1436 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1436
	Name <Nm>	[0..1]	Text		1437
	ShortName <ShrtNm>	[0..1]	Text		1437
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1437
	ClassType <ClsTp>	[0..1]	Text		1438
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1438
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1438
	ProductGroup <PdctGrp>	[0..1]	Text		1438
	SeriesIdentification <SrsId>	[0..1]		C30	1438
	SeriesDate <SrsDt>	[0..1]	±		1439
	SeriesName <SrsNm>	[0..1]	Text		1439

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

66.1.47.19 Status25Choice

Definition: Choice of formats for the specification of the status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Status <Sts>	[1..1]	CodeSet		2353
Or}	Rejected <Rjctd>	[1..10]	±		2354

66.1.47.19.1 Status <Sts>

Presence: [1..1]

Definition: Status code.

Datatype: "AccountManagementStatus1Code" on page 2548

CodeName	Name	Definition
RECE	Received	The account management instruction has been received and is acknowledged.

CodeName	Name	Definition
ACCP	Accepted	The account management instruction has been validated and accepted.
EXEC	ProcessingOngoing	The account management instruction is being processed and the confirmation will follow.
STNP	SentToNextParty	The account management instruction has been sent to the next party, for example, the next intermediary.

66.1.47.19.2 Rejected <Rjctd>

Presence: [1..10]

Definition: Status of the account management instruction is rejected.

Rejected <Rjctd> contains the following elements (see "RejectionReason31" on page 2380 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2380
{Or	Code <Cd>	[1..1]	CodeSet		2380
Or}	Proprietary <Prtry>	[1..1]	±		2380
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2381

66.1.47.20 Status24Choice

Definition: Choice of status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrderDetailsReport <OrdrDtlsRpt>	[1..1]	±		2356
Or	IndividualOrderDetailsReport <IndvOrdrDtlsRpt>	[1..*]			2357
	MasterReference <MstrRef>	[0..1]	Text		2357
	OrderReference <OrdrRef>	[1..1]	Text		2357
	ClientReference <CIntRef>	[0..1]	Text		2358
	DealReference <DealRef>	[0..1]	Text		2358
	CancellationReference <CxlRef>	[0..1]	Text		2358
	OrderStatus <OrdrSts>	[1..1]			2358
{Or	Status <Sts>	[1..1]	CodeSet		2358
Or	Cancelled <Canc>	[1..1]	±	C3, C5	2359
Or	ConditionallyAccepted <CondlyAccptd>	[1..1]	±		2360
Or	Rejected <Rjctd>	[1..10]	±	C18	2360
Or	Suspended <Sspd>	[1..1]	±		2361
Or	InRepair <InRpr>	[1..1]	±		2361
Or}	PartiallySettled <PrtyStltd>	[1..1]	±		2361
	RepairedFee <RprdFee>	[0..10]	±	C6, C12	2362
	StatusInitiator <StsIntr>	[0..1]	±		2363
	OrderData <OrdrData>	[0..1]	±	C15	2363
	NewDetails <NewDtls>	[0..1]	±	C10	2364
	GatingOrHoldBackDetails <GtgOrHldBckDtls>	[0..1]	±		2364
Or}	SwitchOrderDetailsReport <SwchOrdrDtlsRpt>	[1..*]			2364
	MasterReference <MstrRef>	[0..1]	Text		2365
	OrderReference <OrdrRef>	[1..1]	Text		2365
	ClientReference <CIntRef>	[0..1]	Text		2366
	DealReference <DealRef>	[0..1]	Text		2366
	CancellationReference <CxlRef>	[0..1]	Text		2366
	OrderStatus <OrdrSts>	[1..1]			2366
{Or	Status <Sts>	[1..1]	CodeSet		2366
Or	Cancelled <Canc>	[1..1]	±	C3, C5	2367
Or	ConditionallyAccepted <CondlyAccptd>	[1..1]	±		2368
Or	Rejected <Rjctd>	[1..10]	±	C18	2368

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	Suspended <Sspd>	[1..1]	±		2368
Or	InRepair <InRpr>	[1..1]	±		2369
Or}	PartiallySettled <PrtlySttld>	[1..1]	±		2369
	LegInformation <LegInf>	[0..*]			2370
	LegIdentification <LegId>	[1..1]			2370
{Or	RedemptionLegIdentification <RedLegId>	[1..1]	Text		2370
Or}	SubscriptionLegIdentification <SbcptLegId>	[1..1]	Text		2370
	LegRejectionReason <LegRjctnRsn>	[0..1]	Text		2370
	RepairedFee <RprdFee>	[0..10]	±	C6, C12	2370
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	2371
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C17	2372
	StatusInitiator <StsInitr>	[0..1]	±		2373
	OrderData <OrdrData>	[0..1]	±	C20	2373
	NewDetails <NewDtls>	[0..1]	±		2373

66.1.47.20.1 OrderDetailsReport <OrdrDtlsRpt>

Presence: [1..1]

Definition: Status report details of all the individual orders conveyed in a bulk or multiple order message. Can be used if all the individual orders conveyed in a bulk or multiple order message have the same status.

OrderDetailsReport <OrdrDtlsRpt> contains the following elements (see "[OrderStatusAndReason10](#)" on page 2344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		2344
	OrderStatus <OrdrSts>	[1..1]			2344
{Or	Status <Sts>	[1..1]	CodeSet		2345
Or	Cancelled <Canc>	[1..1]	±	C3, C5	2345
Or	ConditionallyAccepted <CondlyAccptd>	[1..1]	±		2346
Or	Rejected <Rjctd>	[1..10]	±	C18	2346
Or	Suspended <Sspd>	[1..1]	±		2347
Or}	PartiallySettled <PrtlySttld>	[1..1]	±		2347
	StatusInitiator <StsInitr>	[0..1]	±		2348

66.1.47.20.2 IndividualOrderDetailsReport <IndvOrdrDtIsRpt>*Presence:* [1..*]*Definition:* Status report details of an individual order.

IndividualOrderDetailsReport <IndvOrdrDtIsRpt> contains the following **IndividualOrderStatusAndReason7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		2357
	OrderReference <OrdrRef>	[1..1]	Text		2357
	ClientReference <ClntRef>	[0..1]	Text		2358
	DealReference <DealRef>	[0..1]	Text		2358
	CancellationReference <CxlRef>	[0..1]	Text		2358
	OrderStatus <OrdrSts>	[1..1]			2358
{Or	Status <Sts>	[1..1]	CodeSet		2358
Or	Cancelled <Canc>	[1..1]	±	C3, C5	2359
Or	ConditionallyAccepted <CondlyAcptd>	[1..1]	±		2360
Or	Rejected <Rjctd>	[1..10]	±	C18	2360
Or	Suspended <Sspd>	[1..1]	±		2361
Or	InRepair <InRpr>	[1..1]	±		2361
Or}	PartiallySettled <PrtlySttld>	[1..1]	±		2361
	RepairedFee <RprdFee>	[0..10]	±	C6, C12	2362
	StatusInitiator <StsInitr>	[0..1]	±		2363
	OrderData <OrdrData>	[0..1]	±	C15	2363
	NewDetails <NewDtIs>	[0..1]	±	C10	2364
	GatingOrHoldBackDetails <GtgOrHldBckDtIs>	[0..1]	±		2364

66.1.47.20.2.1 MasterReference <MstrRef>*Presence:* [0..1]*Definition:* Reference assigned to a set of orders or trades in order to link them together.*Datatype:* "Max35Text" on page 2683**66.1.47.20.2.2 OrderReference <OrdrRef>***Presence:* [1..1]*Definition:* Unique and unambiguous identifier for the order, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2683

66.1.47.20.2.3 ClientReference <ClntRef>*Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.*Datatype:* "Max35Text" on page 2683**66.1.47.20.2.4 DealReference <DealRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order execution, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2683**66.1.47.20.2.5 CancellationReference <CxlRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order cancellation, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2683**66.1.47.20.2.6 OrderStatus <OrdrSts>***Presence:* [1..1]*Definition:* Status of the individual order.**OrderStatus <OrdrSts>** contains one of the following **OrderStatus5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Status <Sts>	[1..1]	CodeSet		2358
Or	Cancelled <Canc>	[1..1]	±	C3, C5	2359
Or	ConditionallyAccepted <CondlyAccptd>	[1..1]	±		2360
Or	Rejected <Rjctd>	[1..10]	±	C18	2360
Or	Suspended <Sspd>	[1..1]	±		2361
Or	InRepair <InRpr>	[1..1]	±		2361
Or}	PartiallySettled <PrtlySttld>	[1..1]	±		2361

66.1.47.20.2.6.1 Status <Sts>*Presence:* [1..1]*Definition:* Status of the individual order is accepted or already executed or sent to next party or received. There is no reason attached.*Datatype:* "OrderStatus4Code" on page 2611

CodeName	Name	Definition
PACK	Accepted	Order is accepted and is ready for execution (execution is the moment when pricing is applied).

CodeName	Name	Definition
COSE	AlreadyExecuted	Order is already executed and confirmation has been sent.
STNP	SentToNextParty	Order has been sent to the next party, eg, the next intermediary.
RECE	Received	Order has been received, ie, technical validation of the message is ok, and the message is now at the receiving side.
SETT	Settled	Order is settled. The cash is credited or debited.
CPNP	CommunicationProblemNextParty	Communication problems with the next party.
CNFC	ConfirmationAmendment	Order confirmation cancellation has been sent and will be followed by a confirmation amendment, or a confirmation amendment has been sent.
DONE	DoneForDay	Order has been partially filled, and is done for the day, ie, no further executions will take place today.
DONF	PartiallyDone	Order has been partially filled and will not be filled further.
OPOD	Open	Order is open.
IACO	InvalidAgentConfirmation	Order confirmation received from agent is invalid.

66.1.47.20.2.6.2 Cancelled <Canc>

Presence: [1..1]

Definition: Status of the individual order is cancelled. This status is used for an order that has been accepted or that has been entered in an order book but that can not be executed.

Impacted by: C3 "AdditionalInformationRule", C5 "CancelledElementRule"

Cancelled <Canc> contains the following elements (see "CancelledStatusReason16" on page 2400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2401
{Or	Code <Cd>	[1..1]	CodeSet		2401
Or	Proprietary <Prtry>	[1..1]	±		2401
Or}	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2402
	AdditionalInformation <AddtlInf>	[0..1]	Text		2402

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

- **CancelledElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

66.1.47.20.2.6.3 ConditionallyAccepted <CondlyAccptd>

Presence: [1..1]

Definition: Status of the individual order is conditionally accepted.

ConditionallyAccepted <CondlyAccptd> contains one of the following elements (see "[ConditionallyAcceptedStatus3Choice](#)" on page 2398 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2398
Or}	ReasonDetails <RsnDtls>	[1..5]			2399
	Reason <Rsn>	[1..1]			2399
{Or	Code <Cd>	[1..1]	CodeSet		2399
Or}	Proprietary <Prtry>	[1..1]	±		2400
	AdditionalInformation <AddtlInf>	[0..1]	Text		2400

66.1.47.20.2.6.4 Rejected <Rjctd>

Presence: [1..10]

Definition: Status of the individual order is rejected. This status is used for an order that has not been accepted or entered in an order book.

Impacted by: [C18 "RejectedElementRule"](#)

Rejected <Rjctd> contains the following elements (see "[RejectedStatus9](#)" on page 2395 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2395
{Or	Code <Cd>	[1..1]	CodeSet		2395
Or}	Proprietary <Prtry>	[1..1]	±		2398
	AdditionalInformation <AddtlInf>	[0..1]	Text		2398

Constraints

- **RejectedElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

66.1.47.20.2.6.5 Suspended <Sspd>

Presence: [1..1]

Definition: Status of the individual order is suspended.

Suspended <Sspd> contains one of the following elements (see "[SuspendedStatusReason4Choice](#)" on page 2393 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2393
Or}	ReasonDetails <RsnDtls>	[1..5]			2393
	Reason <Rsn>	[1..1]			2394
{Or	Code <Cd>	[1..1]	CodeSet		2394
Or}	Proprietary <Prtry>	[1..1]	±		2394
	AdditionalInformation <AddtlInf>	[0..1]	Text		2394

66.1.47.20.2.6.6 InRepair <InRpr>

Presence: [1..1]

Definition: Status of the individual order is in repair.

InRepair <InRpr> contains one of the following elements (see "[InRepairStatusReason4Choice](#)" on page 2391 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2391
Or}	ReasonDetails <RsnDtls>	[1..5]			2392
	Reason <Rsn>	[1..1]			2392
{Or	Code <Cd>	[1..1]	CodeSet		2392
Or}	Proprietary <Prtry>	[1..1]	±		2392
	AdditionalInformation <AddtlInf>	[0..1]	Text		2393

66.1.47.20.2.6.7 PartiallySettled <PrtlySttld>

Presence: [1..1]

Definition: Status of the individual order is partially settled.

PartiallySettled <PrtlySttld> contains the following elements (see "[PartiallySettledStatus10](#)" on page 2390 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2390
{Or	Code <Cd>	[1..1]	CodeSet		2390
Or}	Proprietary <Prtry>	[1..1]	±		2391
	AdditionalInformation <AddtlInf>	[0..1]	Text		2391

66.1.47.20.2.7 RepairedFee <RprdFee>

Presence: [0..10]

Definition: Elements from the original individual order that have been repaired so that the order can be accepted.

Impacted by: [C6 "CommercialAgreementRule"](#), [C12 "FeeElementRule"](#)

RepairedFee <RprdFee> contains the following elements (see "[Fee3](#)" on page 1340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			1341
{Or	Code <Cd>	[1..1]	CodeSet		1341
Or}	Proprietary <Prtry>	[1..1]	±		1342
	RepairedStandardAmount <RprdStdAmt>	[0..1]	Amount	C2, C10	1342
	RepairedStandardRate <RprdStdRate>	[0..1]	Rate		1343
	RepairedDiscountAmount <RprdDscntAmt>	[0..1]	Amount	C2, C10	1343
	RepairedDiscountRate <RprdDscntRate>	[0..1]	Rate		1343
	RepairedRequestedAmount <RprdReqdAmt>	[0..1]	Amount	C2, C10	1343
	RepairedRequestedRate <RprdReqdRate>	[0..1]	Rate		1344
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1344
	NewCommercialAgreementReferenceIndicator <NewComrcAgrmtRefInd>	[0..1]	Indicator		1344

Constraints

- **CommercialAgreementRule**

If CommercialAgreementReference is present, then NewCommercialAgreementReferenceIndicator must be present too.

On Condition

/CommercialAgreementReference is present

Following Must be True
 /NewCommercialAgreementReferenceIndicator Must be present

- **FeeElementRule**

One of the elements (Type, RepairedStandardAmount, RepairedStandardRate, RepairedDiscountAmount, RepairedDiscountRate, RepairedRequestedAmount, RepairedRequestedRate, CommercialAgreementReference, NewCommercialAgreementReferenceIndicator) must be present.

66.1.47.20.2.8 StatusInitiator <StsInitr>

Presence: [0..1]

Definition: Party that initiates the status of the order.

StatusInitiator <StsInitr> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.47.20.2.9 OrderData <OrdrData>

Presence: [0..1]

Definition: Order data.

Impacted by: C15 "[OrderDataElementRule](#)"

OrderData <OrdrData> contains the following elements (see "[FundOrderData5](#)" on page 1772 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	1773
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C17	1774
	UnitsNumber <UnitsNb>	[0..1]	Quantity		1774
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1774
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C12	1775
	HoldingsRedemptionRate <HldgsRedRate>	[0..1]	Rate		1776
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	1776
	UnitCurrency <UnitCcy>	[0..1]	CodeSet	C2	1776
	QuotedCurrency <QtdCcy>	[0..1]	CodeSet	C2	1776

Constraints

- **OrderDataElementRule**

One of the elements (InvestmentAccountDetails, FinancialInstrumentDetails, UnitsNumber, NetAmount, GrossAmount, HoldingsRedemptionRate, SettlementAmount, UnitCurrency, QuotedCurrency) must be present.

66.1.47.20.2.10 NewDetails <NewDtls>

Presence: [0..1]

Definition: Expected execution information.

Impacted by: C10 "DateElementRule"

NewDetails <NewDtls> contains the following elements (see ["ExpectedExecutionDetails4"](#) on page 1772 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExpectedTradeDateTime <XpctdTradDtTm>	[0..1]	±		1772
	ExpectedCashSettlementDate <XpctdCshSttlmDt>	[0..1]	Date		1772

Constraints

- **DateElementRule**

Either ExpectedTradeDateTime or ExpectedCashSettlementDate must be present. Both may be present.

66.1.47.20.2.11 GatingOrHoldBackDetails <GtgOrHldBckDtls>

Presence: [0..1]

Definition: Information about gating and hold back of redemption proceeds.

GatingOrHoldBackDetails <GtgOrHldBckDtls> contains the following elements (see ["HoldBackInformation3"](#) on page 1764 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		1764
	Amount <Amt>	[0..1]	Amount	C2, C10	1764
	ExpectedReleaseDate <XpctdRlsDt>	[0..1]	Date		1765
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±		1765
	FinancialInstrumentName <FinInstrmNm>	[0..1]	Text		1766
	RedemptionCompletion <RedCmpltn>	[0..1]	CodeSet		1766

66.1.47.20.3 SwitchOrderDetailsReport <SwchOrdrDtlsRpt>

Presence: [1..*]

Definition: Status report details of a switch order.

SwitchOrderDetailsReport <SwchOrdRpt> contains the following
SwitchOrderStatusAndReason2 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		2365
	OrderReference <OrdRef>	[1..1]	Text		2365
	ClientReference <ClntRef>	[0..1]	Text		2366
	DealReference <DealRef>	[0..1]	Text		2366
	CancellationReference <CxIRef>	[0..1]	Text		2366
	OrderStatus <OrdRSts>	[1..1]			2366
{Or	Status <Sts>	[1..1]	CodeSet		2366
Or	Cancelled <Canc>	[1..1]	±	C3, C5	2367
Or	ConditionallyAccepted <CondlyAccptd>	[1..1]	±		2368
Or	Rejected <Rjctd>	[1..10]	±	C18	2368
Or	Suspended <Sspd>	[1..1]	±		2368
Or	InRepair <InRpr>	[1..1]	±		2369
Or}	PartiallySettled <PrtlySttld>	[1..1]	±		2369
	LegInformation <LegInf>	[0..*]			2370
	LegIdentification <LegId>	[1..1]			2370
{Or	RedemptionLegIdentification <RedLegId>	[1..1]	Text		2370
Or}	SubscriptionLegIdentification <SbcptLegId>	[1..1]	Text		2370
	LegRejectionReason <LegRjctnRsn>	[0..1]	Text		2370
	RepairedFee <RprdFee>	[0..10]	±	C6, C12	2370
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	2371
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C17	2372
	StatusInitiator <StsIntr>	[0..1]	±		2373
	OrderData <OrdRData>	[0..1]	±	C20	2373
	NewDetails <NewDtls>	[0..1]	±		2373

66.1.47.20.3.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: "Max35Text" on page 2683

66.1.47.20.3.2 OrderReference <OrdRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

66.1.47.20.3.3 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2683

66.1.47.20.3.4 DealReference <DealRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the order execution, as assigned by the confirming party.

Datatype: "Max35Text" on page 2683

66.1.47.20.3.5 CancellationReference <CxlRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the order cancellation, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

66.1.47.20.3.6 OrderStatus <OrdrSts>

Presence: [1..1]

Definition: Status of the switch order.

OrderStatus <OrdrSts> contains one of the following **OrderStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Status <Sts>	[1..1]	CodeSet		2366
Or	Cancelled <Canc>	[1..1]	±	C3, C5	2367
Or	ConditionallyAccepted <CondlyAccptd>	[1..1]	±		2368
Or	Rejected <Rjctd>	[1..10]	±	C18	2368
Or	Suspended <Sspd>	[1..1]	±		2368
Or	InRepair <InRpr>	[1..1]	±		2369
Or}	PartiallySettled <PrtlySttld>	[1..1]	±		2369

66.1.47.20.3.6.1 Status <Sts>

Presence: [1..1]

Definition: Status of the switch order is accepted or already executed or sent to next party or received. There is no reason attached.

Datatype: "OrderStatus4Code" on page 2611

CodeName	Name	Definition
PACK	Accepted	Order is accepted and is ready for execution (execution is the moment when pricing is applied).
COSE	AlreadyExecuted	Order is already executed and confirmation has been sent.
STNP	SentToNextParty	Order has been sent to the next party, eg, the next intermediary.
RECE	Received	Order has been received, ie, technical validation of the message is ok, and the message is now at the receiving side.
SETT	Settled	Order is settled. The cash is credited or debited.
CPNP	CommunicationProblemNextParty	Communication problems with the next party.
CNFC	ConfirmationAmendment	Order confirmation cancellation has been sent and will be followed by a confirmation amendment, or a confirmation amendment has been sent.
DONE	DoneForDay	Order has been partially filled, and is done for the day, ie, no further executions will take place today.
DONF	PartiallyDone	Order has been partially filled and will not be filled further.
OPOD	Open	Order is open.
IACO	InvalidAgentConfirmation	Order confirmation received from agent is invalid.

66.1.47.20.3.6.2 Cancelled <Canc>

Presence: [1..1]

Definition: Status of the switch order is cancelled. This status is used for an order that has been accepted or that has been entered in an order book but that can not be executed.

Impacted by: C3 "AdditionalInformationRule", C5 "CancelledElementRule"

Cancelled <Canc> contains the following elements (see "CancelledStatusReason16" on page 2400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2401
{Or	Code <Cd>	[1..1]	CodeSet		2401
Or	Proprietary <Prtry>	[1..1]	±		2401
Or}	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2402
	AdditionalInformation <AddtlInf>	[0..1]	Text		2402

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

- **CancelledElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

66.1.47.20.3.6.3 ConditionallyAccepted <CondlyAccptd>

Presence: [1..1]

Definition: Status of the switch order is conditionally accepted.

ConditionallyAccepted <CondlyAccptd> contains one of the following elements (see "[ConditionallyAcceptedStatus3Choice](#)" on page 2398 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2398
Or}	ReasonDetails <RsnDtls>	[1..5]			2399
	Reason <Rsn>	[1..1]			2399
{Or	Code <Cd>	[1..1]	CodeSet		2399
Or}	Proprietary <Prtry>	[1..1]	±		2400
	AdditionalInformation <AddtlInf>	[0..1]	Text		2400

66.1.47.20.3.6.4 Rejected <Rjctd>

Presence: [1..10]

Definition: Status of the switch order is rejected.

Impacted by: C18 "RejectedElementRule"

Rejected <Rjctd> contains the following elements (see "[RejectedStatus9](#)" on page 2395 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2395
{Or	Code <Cd>	[1..1]	CodeSet		2395
Or}	Proprietary <Prtry>	[1..1]	±		2398
	AdditionalInformation <AddtlInf>	[0..1]	Text		2398

Constraints

- **RejectedElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

66.1.47.20.3.6.5 Suspended <Sspd>

Presence: [1..1]

Definition: Status of the switch order is suspended.

Suspended <Sspd> contains one of the following elements (see "[SuspendedStatusReason4Choice](#)" on page 2393 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2393
Or}	ReasonDetails <RsnDtls>	[1..5]			2393
	Reason <Rsn>	[1..1]			2394
{Or	Code <Cd>	[1..1]	CodeSet		2394
Or}	Proprietary <Prtry>	[1..1]	±		2394
	AdditionalInformation <AddtlInf>	[0..1]	Text		2394

66.1.47.20.3.6.6 InRepair <InRpr>

Presence: [1..1]

Definition: Status of the switch order is in repair.

InRepair <InRpr> contains one of the following elements (see "[InRepairStatusReason4Choice](#)" on page 2391 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2391
Or}	ReasonDetails <RsnDtls>	[1..5]			2392
	Reason <Rsn>	[1..1]			2392
{Or	Code <Cd>	[1..1]	CodeSet		2392
Or}	Proprietary <Prtry>	[1..1]	±		2392
	AdditionalInformation <AddtlInf>	[0..1]	Text		2393

66.1.47.20.3.6.7 PartiallySettled <PrtlySttld>

Presence: [1..1]

Definition: Status of the switch order is partially settled.

PartiallySettled <PrtlySttld> contains the following elements (see "[PartiallySettledStatus10](#)" on page 2390 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2390
{Or	Code <Cd>	[1..1]	CodeSet		2390
Or}	Proprietary <Prtry>	[1..1]	±		2391
	AdditionalInformation <AddtlInf>	[0..1]	Text		2391

66.1.47.20.3.7 LegInformation <LegInf>*Presence:* [0..*]*Definition:* Information about a switch leg that is rejected or repaired.**LegInformation <LegInf>** contains the following **SwitchLegReferences2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LegIdentification <LegId>	[1..1]			2370
{Or	RedemptionLegIdentification <RedLegId>	[1..1]	Text		2370
Or}	SubscriptionLegIdentification <SbcptLegId>	[1..1]	Text		2370
	LegRejectionReason <LegRjctnRsn>	[0..1]	Text		2370
	RepairedFee <RprdFee>	[0..10]	±	C6, C12	2370
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	2371
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C17	2372

66.1.47.20.3.7.1 LegIdentification <LegId>*Presence:* [1..1]*Definition:* Identification of a switch leg.**LegIdentification <LegId>** contains one of the following **LegIdentification1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	RedemptionLegIdentification <RedLegId>	[1..1]	Text		2370
Or}	SubscriptionLegIdentification <SbcptLegId>	[1..1]	Text		2370

66.1.47.20.3.7.1.1 RedemptionLegIdentification <RedLegId>*Presence:* [1..1]*Definition:* Unique technical identifier for the instance of the leg within a switch.*Datatype:* "Max35Text" on page 2683**66.1.47.20.3.7.1.2 SubscriptionLegIdentification <SbcptLegId>***Presence:* [1..1]*Definition:* Unique technical identifier for the instance of the leg within a switch.*Datatype:* "Max35Text" on page 2683**66.1.47.20.3.7.2 LegRejectionReason <LegRjctnRsn>***Presence:* [0..1]*Definition:* Additional information about the reason for the rejection of the leg.*Datatype:* "Max350Text" on page 2683**66.1.47.20.3.7.3 RepairedFee <RprdFee>***Presence:* [0..10]

Definition: Elements from the original individual order that have been repaired so that the order can be accepted.

Impacted by: C6 "CommercialAgreementRule", C12 "FeeElementRule"

RepairedFee <RprdFee> contains the following elements (see "Fee3" on page 1340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			1341
{Or	Code <Cd>	[1..1]	CodeSet		1341
Or}	Proprietary <Prtry>	[1..1]	±		1342
	RepairedStandardAmount <RprdStdAmt>	[0..1]	Amount	C2, C10	1342
	RepairedStandardRate <RprdStdRate>	[0..1]	Rate		1343
	RepairedDiscountAmount <RprdDscntAmt>	[0..1]	Amount	C2, C10	1343
	RepairedDiscountRate <RprdDscntRate>	[0..1]	Rate		1343
	RepairedRequestedAmount <RprdReqdAmt>	[0..1]	Amount	C2, C10	1343
	RepairedRequestedRate <RprdReqdRate>	[0..1]	Rate		1344
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1344
	NewCommercialAgreementReferenceIndicator <NewComrcAgrmtRefInd>	[0..1]	Indicator		1344

Constraints

- CommercialAgreementRule**

If CommercialAgreementReference is present, then NewCommercialAgreementReferenceIndicator must be present too.

On Condition

/CommercialAgreementReference is present

Following Must be True

/NewCommercialAgreementReferenceIndicator Must be present

- FeeElementRule**

One of the elements (Type, RepairedStandardAmount, RepairedStandardRate, RepairedDiscountAmount, RepairedDiscountRate, RepairedRequestedAmount, RepairedRequestedRate, CommercialAgreementReference, NewCommercialAgreementReferenceIndicator) must be present.

66.1.47.20.3.7.4 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [0..1]

Definition: Account identification of the switch leg that is rejected or repaired.

Impacted by: C20 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1635
	AccountName <AcctNm>	[0..1]	Text		1635
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1635
	OwnerIdentification <OwnrId>	[0..*]	±		1635
	AccountServicer <AcctSvcr>	[0..1]	±		1636
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		1636
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1636

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

66.1.47.20.3.7.5 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [0..1]

Definition: Financial instrument identification of the switch leg that is rejected or repaired.

Impacted by: C17 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1436 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1436
	Name <Nm>	[0..1]	Text		1437
	ShortName <ShrtNm>	[0..1]	Text		1437
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1437
	ClassType <ClsTp>	[0..1]	Text		1438
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1438
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1438
	ProductGroup <PdctGrp>	[0..1]	Text		1438
	SeriesIdentification <SrsId>	[0..1]		C30	1438
	SeriesDate <SrsDt>	[0..1]	±		1439
	SeriesName <SrsNm>	[0..1]	Text		1439

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

66.1.47.20.3.8 StatusInitiator <Stslnitr>

Presence: [0..1]

Definition: Party that initiates the status of the order.

StatusInitiator <Stslnitr> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.47.20.3.9 OrderData <OrdrData>

Presence: [0..1]

Definition: Order data.

Impacted by: [C20 "SwitchOrderDataElementRule"](#)

OrderData <OrdrData> contains the following elements (see "[FundOrderData6](#)" on page 1766 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	1766
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		1767
	AdditionalAmount <AddtlAmt>	[0..1]	±		1767
	UnitCurrency <UnitCcy>	[0..1]	CodeSet	C2	1767
	QuotedCurrency <QtdCcy>	[0..1]	CodeSet	C2	1768

Constraints

- **SwitchOrderDataElementRule**

One of the elements (SettlementAmount, SettlementMethod, AdditionalAmount, UnitCurrency, QuotedCurrency) must be present.

66.1.47.20.3.10 NewDetails <NewDtIs>

Presence: [0..1]

Definition: Expected execution information.

NewDetails <NewDtIs> contains the following elements (see "ExpectedExecutionDetails2" on page 1768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExpectedTradeDateTime <XpctdTradDtTm>	[0..1]	±		1768
	ExpectedCashSettlementDate <XpctdCshSttlmDt>	[0..1]	Date		1768

66.1.47.21 CancellationReason31Choice

Definition: Choice of format for the cancellation reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2374
Or}	Proprietary <Prtry>	[1..1]	±		2375

66.1.47.21.1 Code <Cd>

Presence: [1..1]

Definition: Confirmation cancellation reason expressed as a code.

Datatype: "ConfirmationCancellationReason1Code" on page 2564

CodeName	Name	Definition
CSHN	CashNotReceived	Confirmation is cancelled because the cash has not been received.
REPL	ConfirmationReplacement	Confirmation is cancelled because it will be replaced.
BENA	IncorrectBackEndLoadAmount	Confirmation is cancelled because the back end load amount is incorrect.
CSHW	IncorrectCashAmount	Confirmation is cancelled because the cash amount is incorrect.
DISA	IncorrectDiscountAmount	Confirmation is cancelled because the discount amount is incorrect.
DISC	IncorrectDiscountAmountCurrency	Confirmation is cancelled because the discount amount has an incorrect currency.
EXCH	IncorrectExchangeRate	Confirmation is cancelled because the exchange rate is incorrect.
FEEE	IncorrectFeeOrCommission	Confirmation is cancelled because a fee or commission amount is incorrect.
DQUA	IncorrectFinancialInstrumentQuantity	Confirmation is cancelled because the financial instrument quantity is incorrect.
FENA	IncorrectFrontEndLoadAmount	Confirmation is cancelled because the front end load amount is incorrect.
GROA	IncorrectGrossAmount	Confirmation is cancelled because the gross amount is incorrect.
GROC	IncorrectGrossAmountCurrency	Confirmation is cancelled because the gross amount has an incorrect currency.

CodeName	Name	Definition
NETA	IncorrectNetAmount	Confirmation is cancelled because the net amount is incorrect.
NETC	IncorrectNetAmountCurrency	Confirmation is cancelled because the net amount has an incorrect currency.
OPER	IncorrectOperation	Confirmation is cancelled because of an incorrect operation.
MINI	IncorrectQuantityUnitsOrCash	Confirmation is cancelled because of an incorrect minimum traded quantity or cash amount.
DMON	IncorrectSettlementAmount	Confirmation is cancelled because the settlement amount is incorrect.
NCRR	IncorrectSettlementAmountCurrency	Confirmation is cancelled because the settlement amount has an incorrect currency.
DDAT	IncorrectSettlementDate	Confirmation is cancelled because the settlement date is incorrect.
SETS	IncorrectSettlementMethod	Confirmation is cancelled because the settlement method is incorrect.
DDEA	IncorrectTradeDate	Confirmation is cancelled because the trade date is incorrect.
REFE	InvalidReference	Confirmation contains an invalid business reference.

66.1.47.21.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Confirmation cancellation reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.47.22 ConfirmationRejectedStatus2

Definition: Rejection of a confirmation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2376
{Or	Code <Cd>	[1..1]	CodeSet		2376
Or}	Proprietary <Prtry>	[1..1]	±		2378
	AdditionalInformation <AddtlInf>	[0..1]	Text		2379

Constraints

- **ReasonElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

66.1.47.22.1 Reason <Rsn>

Presence: [0..1]

Definition: Reason for the rejected status.

Reason <Rsn> contains one of the following **ConfirmationRejectedReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2376
Or}	Proprietary <Prtry>	[1..1]	±		2378

66.1.47.22.1.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the rejected status expressed as a code.

Datatype: "RejectedConfirmationStatusReason1Code" on page 2624

CodeName	Name	Definition
DSAF	DifferentInvestmentAccountDetails	Investment account information not the same as instructed.
DFIN	DifferentFinancialInstrumentDetails	Financial instrument information not the same as instructed.
DBEN	DifferentBeneficiaryDetails	Beneficiary details is not the same as instructed.
DPTD	DifferentPlaceOfTrade	Place of trade not the same as instructed.
DORD	DifferentOrderDateTime	Order date time not the same as instructed.
DCXR	DifferentCancellationRight	Cancellation right not the same as instructed.
DUNB	DifferentUnitsNumber	Units number information not the same as instructed.
DORT	DifferentOrderType	Order type information not the same as instructed.
DROU	DifferentRounding	Rounding information not the same as instructed.
DNAM	DifferentNetAmount	Net amount information not the same as instructed.
DGAM	DifferentGrossAmount	Gross amount information not the same as instructed.
DHRR	DifferentHoldingsRedemptionRate	Holdings redemption rate not the same as instructed.

CodeName	Name	Definition
DGRP	DifferentGroup1Or2Units	Group 1 or 2 units not the same as instructed.
DINP	DifferentIncomePreference	Income preference information not the same as instructed.
DLIR	DifferentLetterIntentReference	Letter Intent reference information not the same as instructed.
DARR	DifferentAccumulationRightReference	Accumulation right reference information not the same as instructed.
DPDI	DifferentPhysicalDeliveryIndicator	Physical delivery indicator information not the same as instructed.
DRSC	DifferentRequestedSettlementCurrency	Requested settlement currency information not the same as instructed.
DNAV	DifferentRequestedNAVCurrency	Requested NAV currency information not the same as instructed.
DFXD	DifferentForeignExchangeDetails	Foreign exchange details information not the same as instructed.
DCHD	DifferentChargeDetails	Charge details information not the same as instructed.
DXOD	DifferentCommissionDetails	Commission details information not the same as instructed.
DTAD	DifferentTaxDetails	Tax details information not the same as instructed.
DPDD	DifferentPhysicalDeliveryDetails	Physical delivery details information not the same as instructed.
DSCA	DifferentSettlementAndCustodyDetails	Settlement and custody details information not the same as instructed.
DPID	DifferentPaymentInstrumentDetails	Payment Instrument details information not the same as instructed.
DSAM	DifferentSettlementAmount	Settlement amount not the same as instructed.
DSDT	DifferentSettlementDate	Settlement date not the same as instructed.
DEXD	DifferentExtensionDetails	Extension information not the same as instructed.
DIMD	DifferentIntermediaryDetails	Intermediary information not the same as instructed.
DTRA	DifferentTotalRedemptionAmount	Total redemption amount not the same as instructed.
DTSA	DifferentTotalSubscriptionAmount	Total subscription amount not the same as instructed.
DACI	DifferentAdditionalCashIn	Additional cash in not the same as instructed.
DACO	DifferentResultingCashOut	Resulting cash out not the same as instructed.
DPSA	DifferentPercentageOfTotalSubscriptionAmount	Percentage of total subscription amount not the same as instructed.

CodeName	Name	Definition
DPRA	DifferentPercentageOfTotalRedemptionAmount	Percentage of total redemption amount not the same as instructed.
DLID	DifferentLegIdentification	Leg Identification not the same as instructed.
DORF	DifferentOrderReference	Confirmation is recognised by the Receiver because the message reference is identified, but the order reference is not the same as instructed.
ULNK	UnknownLinkagesReference	Linked reference is not known.
DREF	DuplicateDealReference	Deal reference is a duplicate of a previously received deal reference.
IVAG	ReceivingAgent	Receiving agent is not recognised or is invalid.
IRCU	ReceiversCustodian	Receiver's Custodian is not recognised or is invalid.
IRIN	ReceiversIntermediary	Receiver's Intermediary is not recognised or is invalid.
ICAG	DeliveringAgent	Delivering agent is not recognised or is invalid.
IDCU	DeliverersCustodian	Deliverer's Custodian is not recognised or is invalid.
IDIN	DeliverersIntermediary	Deliverer's Intermediary is not recognised or is invalid.
ICTR	InvalidCreditTransfer	Credit transfer details are incorrect.
DMON	SettlementAmount	Settlement Amount is invalid.
DDAT	SettlementDate	Settlement date or transfer date is not recognised or is invalid.
NSLA	NotCompliantWithSLA	Confirmation is not compliant with the service level agreement.
MEUT	MissingEUTaxInformation	EU tax information is missing.
MCOM	MissingCommission	Commission information is missing.
MCHA	MissingCharge	Charge information is missing.
MTAX	MissingTaxInformation	Tax information is missing.
NATA	NotAllowedtoAmend	Amendment of order confirmation not allowed.
ALAT	AmendmentTooLate	Amendment of order confirmation too late.

66.1.47.22.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Reason for the rejected status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification1](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.47.22.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the rejected reason.

Datatype: "[Max350Text](#)" on page 2683

66.1.47.23 AcceptedStatusReason1Choice

Definition: Choice of formats for an accepted status reason code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2379
Or}	Proprietary <Prtry>	[1..1]	±		2379

66.1.47.23.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the status expressed as a code.

Datatype: "[AcceptedStatusReason1Code](#)" on page 2547

CodeName	Name	Definition
PLAC	PlaceNotAllowed	Status of the account modification instruction is accepted but modification of the account data will not be carried out for the place.
SECT	SectorNotAllowed	Status of the account modification instruction is accepted but modification of the account data will not be carried out for the sector code.

66.1.47.23.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Reason for the status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.47.24 RejectionReason31

Definition: Information about a rejected status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2380
{Or	Code <Cd>	[1..1]	CodeSet		2380
Or}	Proprietary <Prtry>	[1..1]	±		2380
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2381

66.1.47.24.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the rejected status.

Reason <Rsn> contains one of the following **RejectedReason16Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2380
Or}	Proprietary <Prtry>	[1..1]	±		2380

66.1.47.24.1.1 Code <Cd>

Presence: [1..1]

Definition: Rejected reason expressed as a code.

Datatype: "[RejectedStatusReason6Code](#)" on page 2634

CodeName	Name	Definition
SAFE	InvestmentAccount	Investment account identification is not recognised or is invalid.
NSLA	NotCompliantWithSLA	Instruction is not compliant with the service level agreement.

66.1.47.24.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Rejected reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.47.24.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the rejected status reason.

Datatype: "[Max350Text](#)" on page 2683

66.1.47.25 AccountStatusUpdateInstruction1

Definition: Instruction for a change to an account status and reason for the change.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateInstruction <UpdInstr>	[1..1]			2381
{Or	Code <Cd>	[1..1]	CodeSet		2382
Or}	Proprietary <Prtry>	[1..1]	±		2382
	UpdateInstructionReason <UpdInstrRsn>	[0..1]			2382
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2382
Or}	Reason <Rsn>	[1..*]		C27	2383
	Code <Cd>	[0..1]			2383
{Or	Code <Cd>	[1..1]	CodeSet		2383
Or}	Proprietary <Prtry>	[1..1]	±		2383
	AdditionalInformation <AddtlInf>	[0..1]	Text		2384

66.1.47.25.1 UpdateInstruction <UpdInstr>

Presence: [1..1]

Definition: Type of status change instructed for the account status.

UpdateInstruction <UpdInstr> contains one of the following **AccountStatusUpdateInstruction1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2382
Or}	Proprietary <Prtry>	[1..1]	±		2382

66.1.47.25.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of change to the account status expressed as a code.*Datatype:* "AccountStatusUpdateInstruction1Code" on page 2551

CodeName	Name	Definition
CLOS	Close	Account is to be closed.
REAC	Reactive	Account is to be reactivated, any previous instruction to close the account is rescinded.

66.1.47.25.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of change to the account status expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification36" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.47.25.2 UpdateInstructionReason <UpdInstrRsn>*Presence:* [0..1]*Definition:* Reason for the instruction to change the account status.**UpdateInstructionReason <UpdInstrRsn>** contains one of the following **AccountStatusUpdateInstructionReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2382
Or}	Reason <Rsn>	[1..*]		C27	2383
	Code <Cd>	[0..1]			2383
{Or	Code <Cd>	[1..1]	CodeSet		2383
Or}	Proprietary <Prtry>	[1..1]	±		2383
	AdditionalInformation <AddtlInf>	[0..1]	Text		2384

66.1.47.25.2.1 NoSpecifiedReason <NoSpctdRsn>*Presence:* [1..1]*Definition:* There is no reason available or to report for the instruction to change the account status.*Datatype:* "NoReasonCode" on page 2609

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

66.1.47.25.2.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the instruction to change the account status.

Impacted by: [C27 "ReasonElementRule"](#)

Reason <Rsn> contains the following **AccountStatusUpdateInstructionReason1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[0..1]			2383
{Or	Code <Cd>	[1..1]	CodeSet		2383
Or}	Proprietary <Prtry>	[1..1]	±		2383
	AdditionalInformation <AddtlInf>	[0..1]	Text		2384

Constraints

- **ReasonElementRule**

Either Code or AdditionalInformation must be present. Both may be present.

66.1.47.25.2.2.1 Code <Cd>

Presence: [0..1]

Definition: Reason for the instruction to change the account status.

Code <Cd> contains one of the following **AccountStatusUpdateInstructionReason2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2383
Or}	Proprietary <Prtry>	[1..1]	±		2383

66.1.47.25.2.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the instruction to change the account status expressed as a code.

Datatype: ["AccountStatusUpdateRequestReason1Code"](#) on page 2552

CodeName	Name	Definition
CLOE	AccountClosureInstructionError	Previous account closure instruction was sent in error.

66.1.47.25.2.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Reason for the instruction to change the account status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.47.25.2.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the reason for the instruction to change the account status.

Datatype: "[Max350Text](#)" on page 2683

66.1.47.26 AccountStatus2

Definition: Status of an account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Enabled <Nbld>	[0..1]	±		2385
	Disabled <Dsbl>	[0..1]	±		2386
	Pending <Pdg>	[0..1]	±		2386
	PendingOpening <PdgOpng>	[0..1]	±		2386
	Proforma <Profrm>	[0..1]	±		2387
	Closed <Clsd>	[0..1]	±		2387
	ClosurePending <ClsrPdg>	[0..1]	±		2388
	Other <Othr>	[0..*]	±		2388

Constraints

- **ClosedStatus1Rule**

If Closed is present then Enabled is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /Enabled Must be absent
```

- **ClosedStatus2Rule**

If Closed is present then Disabled is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /Disabled Must be absent
```

- **ClosedStatus3Rule**

If Closed is present then Pending is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /Pending Must be absent
```

- **ClosedStatus4Rule**

If Closed is present then PendingOpening is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /PendingOpening Must be absent
```

- **ClosedStatus5Rule**

If Closed is present then Proforma is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /Proforma Must be absent
```

- **ClosedStatus6Rule**

If Closed is present then ClosurePending is not allowed.

```
On Condition
  /Closed is absent
Following Must be True
  /ClosurePending Must be absent
```

- **EnabledDisabledStatus1Rule**

If Enabled is present, then Disabled is not allowed.

```
On Condition
  /Enabled is present
Following Must be True
  /Disabled Must be absent
```

- **EnabledDisabledStatus2Rule**

If Disabled is present, then Enabled is not allowed.

```
On Condition
  /Disabled is present
Following Must be True
  /Enabled Must be absent
```

- **StatusElementRule**

One of the elements (Enabled, Disabled, Pending, PendingOpening, Proforma, Closed, ClosurePending, Other) must be present.

66.1.47.26.1 Enabled <Nbld>

Presence: [0..1]

Definition: Account can be used for its intended purpose.

Enabled <Nbld> contains one of the following elements (see "[EnabledStatusReason1Choice](#)" on page 2340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2340
Or}	Reason <Rsn>	[1..*]			2340
	Code <Cd>	[1..1]			2341
{Or	Code <Cd>	[1..1]	CodeSet		2341
Or}	Proprietary <Prtry>	[1..1]	±		2341
	AdditionalInformation <AddtlInf>	[0..1]	Text		2341

66.1.47.26.2 Disabled <Dsbl>

Presence: [0..1]

Definition: Account cannot temporarily be used for its intended purpose.

Disabled <Dsbl> contains one of the following elements (see "[DisabledStatusReason1Choice](#)" on page 2338 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2338
Or}	Reason <Rsn>	[1..*]			2338
	Code <Cd>	[1..1]			2339
{Or	Code <Cd>	[1..1]	CodeSet		2339
Or}	Proprietary <Prtry>	[1..1]	±		2339
	AdditionalInformation <AddtlInf>	[0..1]	Text		2340

66.1.47.26.3 Pending <Pdg>

Presence: [0..1]

Definition: Account change is pending approval.

Pending <Pdg> contains one of the following elements (see "[PendingStatusReason1Choice](#)" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2343
Or}	Reason <Rsn>	[1..*]	±		2343

66.1.47.26.4 PendingOpening <PdgOpng>

Presence: [0..1]

Definition: Account opening is pending.

PendingOpening <PdgOpng> contains one of the following elements (see "PendingOpeningStatusReason1Choice" on page 2336 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2336
Or}	Reason <Rsn>	[1..*]			2337
	Code <Cd>	[1..1]			2337
{Or	Code <Cd>	[1..1]	CodeSet		2337
Or}	Proprietary <Prtry>	[1..1]	±		2338
	AdditionalInformation <AddtlInf>	[0..1]	Text		2338

66.1.47.26.5 Proforma <Profrm>

Presence: [0..1]

Definition: Account is temporary and can be partially used for its intended purpose. The account will be fully available for use when the account servicer has received all relevant documents.

Proforma <Profrm> contains one of the following elements (see "ProformaStatusReason1Choice" on page 2334 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2335
Or}	Reason <Rsn>	[1..*]			2335
	Code <Cd>	[1..1]			2335
{Or	Code <Cd>	[1..1]	CodeSet		2335
Or}	Proprietary <Prtry>	[1..1]	±		2336
	AdditionalInformation <AddtlInf>	[0..1]	Text		2336

66.1.47.26.6 Closed <Clsd>

Presence: [0..1]

Definition: Account is closed.

Closed <Clsd> contains one of the following elements (see "[ClosedStatusReason1Choice](#)" on page 2333 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2333
Or}	Reason <Rsn>	[1..*]			2333
	Code <Cd>	[1..1]			2333
{Or	Code <Cd>	[1..1]	CodeSet		2334
Or}	Proprietary <Prtry>	[1..1]	±		2334
	AdditionalInformation <AddtlInf>	[0..1]	Text		2334

66.1.47.26.7 ClosurePending <ClsrPdg>

Presence: [0..1]

Definition: Account closure is pending.

ClosurePending <ClsrPdg> contains one of the following elements (see "[ClosurePendingStatusReason1Choice](#)" on page 2331 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2331
Or}	Reason <Rsn>	[1..*]			2332
	Code <Cd>	[1..1]			2332
{Or	Code <Cd>	[1..1]	CodeSet		2332
Or}	Proprietary <Prtry>	[1..1]	±		2332
	AdditionalInformation <AddtlInf>	[0..1]	Text		2333

66.1.47.26.8 Other <Othr>

Presence: [0..*]

Definition: Status is a bilaterally agreed status.

Other <Othr> contains the following elements (see "[OtherAccountStatus1](#)" on page 2330 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	±		2331
	Reason <Rsn>	[0..1]	±		2331

66.1.47.27 RejectedStatus10

Definition: Reason for a rejected status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2389
{Or	Code <Cd>	[1..1]	CodeSet		2389
Or}	Proprietary <Prtry>	[1..1]	±		2389
	AdditionalInformation <AddtlInf>	[0..1]	Text		2390

Constraints

- **ReasonElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

66.1.47.27.1 Reason <Rsn>

Presence: [0..1]

Definition: Reason for the rejected status.

Reason <Rsn> contains one of the following **RejectedReason21Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2389
Or}	Proprietary <Prtry>	[1..1]	±		2389

66.1.47.27.1.1 Code <Cd>

Presence: [1..1]

Definition: Rejected reason expressed as a code.

Datatype: "RejectedStatusReason8Code" on page 2634

CodeName	Name	Definition
CUTO	CutOffTime	Instruction has been received after the cut-off time.
NALC	NotAllowedToCancel	Instructing party is not allowed to request a cancellation.
NSLA	NotCompliantWithSLA	Instruction is not compliant with the service level agreement.
LEGL	LegallyImpossible	Legally impossible to cancel.

66.1.47.27.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Rejected reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification1](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.47.27.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the rejected reason.

Datatype: "[Max350Text](#)" on page 2683

66.1.47.28 PartiallySettledStatus10

Definition: Reason for a partially settled status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2390
{Or	Code <Cd>	[1..1]	CodeSet		2390
Or}	Proprietary <Prtry>	[1..1]	±		2391
	AdditionalInformation <AddtlInf>	[0..1]	Text		2391

66.1.47.28.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the partially settled status.

Reason <Rsn> contains one of the following **PartiallySettled21Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2390
Or}	Proprietary <Prtry>	[1..1]	±		2391

66.1.47.28.1.1 Code <Cd>

Presence: [1..1]

Definition: Partially settled reason expressed as a code.

Datatype: "[SettledStatusReason2Code](#)" on page 2640

CodeName	Name	Definition
CPST	CashPartiallySettled	Cash is partially settled.

CodeName	Name	Definition
GATM	GatedMultipleNAV	Redemption has been gated. Multiple redemptions and multiple prices will be required.
GAT1	GatedOneNAV	Redemption has been gated, all settlement will be done at the same price.
UCPS	UnitsCashPartiallySettled	Units and cash are both partially settled.
UPST	UnitsPartiallySettled	Units are partially settled.

66.1.47.28.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Partially settled reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification1](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.47.28.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the partially settled reason.

Datatype: "[Max350Text](#)" on page 2683

66.1.47.29 InRepairStatusReason4Choice

Definition: Choice of formats for the reason for an in repair status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2391
Or}	ReasonDetails <RsnDtls>	[1..5]			2392
	Reason <Rsn>	[1..1]			2392
{Or	Code <Cd>	[1..1]	CodeSet		2392
Or}	Proprietary <Prtry>	[1..1]	±		2392
	AdditionalInformation <AddtlInf>	[0..1]	Text		2393

66.1.47.29.1 NoSpecifiedReason <NoSpctdRsn>

Presence: [1..1]

Definition: No reason available or to report for the in repair status.

Datatype: "NoReasonCode" on page 2609

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

66.1.47.29.2 ReasonDetails <RsnDtIs>

Presence: [1..5]

Definition: Reason for the in repair status, expressed as a code.

ReasonDetails <RsnDtIs> contains the following **InRepairStatusReason4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2392
{Or	Code <Cd>	[1..1]	CodeSet		2392
Or}	Proprietary <Prtry>	[1..1]	±		2392
	AdditionalInformation <AddtlInf>	[0..1]	Text		2393

66.1.47.29.2.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the in repair status expressed as a code.

Reason <Rsn> contains one of the following **InRepairStatusReason5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2392
Or}	Proprietary <Prtry>	[1..1]	±		2392

66.1.47.29.2.1.1 Code <Cd>

Presence: [1..1]

Definition: In repair reason expressed as a code.

Datatype: "InRepairStatusReason1Code" on page 2587

CodeName	Name	Definition
COMA	CommercialAgreement	Order has been accepted and centralised before the cut off, but with different sales agreement than the one provided in the order.

66.1.47.29.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: In repair reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification1](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.47.29.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the in repair reason.

Datatype: "[Max350Text](#)" on page 2683

66.1.47.30 SuspendedStatusReason4Choice

Definition: Reason for a suspended status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2393
Or}	ReasonDetails <RsnDtls>	[1..5]			2393
	Reason <Rsn>	[1..1]			2394
{Or	Code <Cd>	[1..1]	CodeSet		2394
Or}	Proprietary <Prtry>	[1..1]	±		2394
	AdditionalInformation <AddtlInf>	[0..1]	Text		2394

66.1.47.30.1 NoSpecifiedReason <NoSpctdRsn>

Presence: [1..1]

Definition: No reason available or to report for the suspended status.

Datatype: "[NoReasonCode](#)" on page 2609

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

66.1.47.30.2 ReasonDetails <RsnDtls>

Presence: [1..5]

Definition: Reason for the suspended status, expressed as a code.

ReasonDetails <RsnDtls> contains the following **SuspendedStatusReason4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2394
{Or	Code <Cd>	[1..1]	CodeSet		2394
Or}	Proprietary <Prtry>	[1..1]	±		2394
	AdditionalInformation <AddtlInf>	[0..1]	Text		2394

66.1.47.30.2.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the conditionally accepted status expressed as a code.

Reason <Rsn> contains one of the following **SuspendedStatusReason5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2394
Or}	Proprietary <Prtry>	[1..1]	±		2394

66.1.47.30.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Suspended reason expressed as a code.

Datatype: "SuspendedStatusReason3Code" on page 2644

CodeName	Name	Definition
PRIC	PriceSuspension	There is a suspension of pricing. The order will stay on the books until the next pricing.
FLOW	Overflow	There is a fund overflow.

66.1.47.30.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Suspended reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification1](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.47.30.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the suspended reason.

Datatype: "Max350Text" on page 2683

66.1.47.31 RejectedStatus9

Definition: Reason for a rejected status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2395
{Or	Code <Cd>	[1..1]	CodeSet		2395
Or}	Proprietary <Prtry>	[1..1]	±		2398
	AdditionalInformation <AddtlInf>	[0..1]	Text		2398

Constraints

- **RejectedElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

66.1.47.31.1 Reason <Rsn>

Presence: [0..1]

Definition: Reason for the rejected status.

Reason <Rsn> contains one of the following **RejectedReason20Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2395
Or}	Proprietary <Prtry>	[1..1]	±		2398

66.1.47.31.1.1 Code <Cd>

Presence: [1..1]

Definition: Rejected reason expressed as a code.

Datatype: "RejectedStatusReason11Code" on page 2626

CodeName	Name	Definition
BLCA	AccountBlockedForCorporateAction	Investment account is blocked due to a corporate action.
BLTR	AccountBlockedForTransfer	Investment account is blocked due to a transfer out of investment funds.
DOCC	AccountBlockedMissingDocuments	Investment account is blocked until certain legal proceedings are completed, for example, legal documents from the successor, legal proceedings due to bankruptcy.
ADEA	AfterDeadline	Instruction was received after the Receiver's deadline.

CodeName	Name	Definition
ILLI	AssetsIlliquid	Assets are illiquid.
BMIN	BelowMinimumInitialInvestmentAmount	Amount of subscription is below the minimum initial investment amount.
BMRA	BelowMinimumRedemptionAmount	Amount is below the minimum redemption amount.
BMRV	BelowMinimumRetainedAmount	Holding will be below the minimum retained value.
CUTO	CutOffTime	Instruction has been received after the cut-off time.
ICAG	DeliveringAgent	Delivering agent is not recognised or is invalid.
IDDB	DirectDebit	Direct debit account identification is not recognised or is invalid.
ORRF	DuplicateOrderReference	Order reference is a duplicate of a previously received order.
FEEE	FeeOrCommission	Unrecognised or invalid fee or commission.
DSEC	FinancialInstrumentIdentification	Identification of the security is not recognised or is invalid.
IDNA	FinancialInstrumentIdentificationAndName	Identification of the security and the security name are not the same.
DQUA	FinancialInstrumentQuantity	Financial instrument quantity is invalid.
CLOS	FundClosed	Fund is closed and will not take in any more investments.
IPAC	InstructingPartyNotAllowedForAccount	Instructing party is not allowed to instruct for this investment account.
INSU	InsufficientCapacity	Insufficient capacity.
INTE	Intermediary	Intermediary is not recognised or is invalid.
CASH	InvalidCashAccount	Cash account is not recognised or invalid.
ICTR	InvalidCreditTransfer	Credit transfer details are incorrect.
IOTP	InvalidOrderType	Order type is invalid.
DFOR	InvalidSecurityForm	Form of the security is wrong, eg, the form of security is registered not bearer or vice versa.
DMON	InvalidSettlementAmount	Unrecognised or invalid settlement amount.
SAFE	InvestmentAccount	Investment account identification is not recognised or is invalid.
LOCK	LockUp	Lock-up period is in place.
NRGM	NoCancellationMatch	The cancellation request has been rejected since more than one instruction match to the cancellation criteria.

CodeName	Name	Definition
NSLA	NotCompliantWithSLA	Instruction is not compliant with the service level agreement.
MONY	NotEnoughCash	There is not enough cash in the account to process the instruction.
SECU	NotEnoughFinancialInstrument	There are not enough securities in the account to process the instruction.
IPAY	PaymentCard	Payment card details are incorrect.
PRCT	PercentageHoldingBreach	Percentage holding breach, for example, PPM rules; taxation rules (ERISA).
DLVY	PhysicalDeliveryImpossible	Order contains physical delivery details but the fund can not be physically delivered.
PHYS	PhysicalSettlement	Physical Settlement Impossible.
PLCE	PlaceOfTrade	Unrecognised or invalid Place of Trade.
IVAG	ReceivingAgent	Receiving agent is not recognised or is invalid.
RTGS	RTGSSystem	Impossible to use RTGS System.
ISAF	SafekeepingPlace	Safekeeping place is not recognised or is invalid.
NCRR	SettlementAmountCurrency	Unrecognised or invalid Settlement Amount Currency.
DDAT	SettlementDate	Cash settlement date is not recognised or is invalid.
DEPT	SettlementPlace	Place of settlement is not recognised or is invalid.
SETR	SettlementTransaction	Unrecognised or invalid Settlement Transaction.
IEXE	SubscriberOrRedeemer	Unrecognised or invalid subscriber or redeemer.
SHIG	TooHighUnitsOrAmountToSubscribe	Quantity of units or amount of money in the order is too high for a subscription.
LATE	TooLate	Instruction was received after market deadline.
SLOW	TooLowUnitsOrAmountToSubscribe	Quantity of units or amount of money in the order is too low for a subscription.
DTRD	TradeDate	Unrecognised or invalid trade date or requested trade date or future trade date.
UWAI	UnacceptedCommissionWaiving	Percentage of commission waiving exceeds the commission percentage or commission amount.
UDCY	UnacceptedDealCurrency	Deal currency is not supported.
UNAV	UnacceptedNAVCurrency	Net asset value currency is not supported.

CodeName	Name	Definition
UPAY	UnacceptedPaymentMethod	Payment method, for example, cheque or payment card, is not accepted.
URSC	UnacceptedRequestedSettlementCurrency	Settlement currency requested is not supported.
ULNK	UnknownLinkagesReference	Linked reference is not known.
UNSC	UnacceptableSwitchCombination	Instruction specifies an unacceptable combination for the financial instruments in the switch order.
POIN	DifferentValuationPoints	Order contains funds that have different valuation points.

66.1.47.31.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Rejected reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification1](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.47.31.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the rejected reason.

Datatype: "[Max350Text](#)" on page 2683

66.1.47.32 ConditionallyAcceptedStatus3Choice

Definition: Reason for a conditionally accepted status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcdfRsn>	[1..1]	CodeSet		2398
Or}	ReasonDetails <RsnDtls>	[1..5]			2399
	Reason <Rsn>	[1..1]			2399
{Or	Code <Cd>	[1..1]	CodeSet		2399
Or}	Proprietary <Prtry>	[1..1]	±		2400
	AdditionalInformation <AddtlInf>	[0..1]	Text		2400

66.1.47.32.1 NoSpecifiedReason <NoSpcdfRsn>

Presence: [1..1]

Definition: No reason available or to report for the conditionally accepted status.

Datatype: "NoReasonCode" on page 2609

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

66.1.47.32.2 ReasonDetails <RsnDtls>

Presence: [1..5]

Definition: Reason for the conditionally accepted status, expressed as a code.

ReasonDetails <RsnDtls> contains the following **ConditionallyAcceptedStatusReason3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2399
{Or	Code <Cd>	[1..1]	CodeSet		2399
Or}	Proprietary <Prtry>	[1..1]	±		2400
	AdditionalInformation <AddtlInf>	[0..1]	Text		2400

66.1.47.32.2.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the conditionally accepted status expressed as a code.

Reason <Rsn> contains one of the following **ConditionallyAcceptedStatusReason3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2399
Or}	Proprietary <Prtry>	[1..1]	±		2400

66.1.47.32.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Conditionally accepted reason expressed as a code.

Datatype: "ConditionallyAcceptedStatusReason2Code" on page 2563

CodeName	Name	Definition
DOCC	AwaitingDocuments	Order is pending until documents are completed and received, eg, legal documents from the successor, legal proceeds because of bankruptcy, missing signature.
AWRM	AwaitingRedemptionMoney	Order is accepted for further processing but the execution of the order is pending until there are enough funds in the account to complete the redemption.
AWSM	AwaitingSubscriptionMoney	Order is accepted for further processing but the execution of the order is pending

CodeName	Name	Definition
		until there is enough cash in the account to pay the subscription.
DUPL	PossibleDuplicate	Order is accepted for further processing pending the result of the investigation for possible duplicates.
CRED	TradingLimitExceeded	Order is accepted for further processing pending the extension of the trading limit.

66.1.47.32.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Conditionally accepted reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification1](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.47.32.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the conditionally accepted reason.

Datatype: "[Max350Text](#)" on page 2683

66.1.47.33 CancelledStatusReason16

Definition: Reason for a cancelled status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2401
{Or	Code <Cd>	[1..1]	CodeSet		2401
Or	Proprietary <Prtry>	[1..1]	±		2401
Or}	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2402
	AdditionalInformation <AddtlInf>	[0..1]	Text		2402

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

- **CancelledElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

66.1.47.33.1 Reason <Rsn>

Presence: [0..1]

Definition: Reason for the cancelled status.

Reason <Rsn> contains one of the following **CancelledReason12Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2401
Or	Proprietary <Prtry>	[1..1]	±		2401
Or}	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2402

66.1.47.33.1.1 Code <Cd>

Presence: [1..1]

Definition: Cancelled reason expressed as a code.

Datatype: "CancelledStatusReason2Code" on page 2557

CodeName	Name	Definition
CANH	CancelledByHub	Transaction is cancelled by the hub.
CANP	CancelledByInstructingParty	Transaction is cancelled by the instructing party.
CXLR	EndOfLife	Transaction is rejected by the executing party, the rejection is final therefore the order is cancelled in the system.
CANO	CancelledByOther	Transaction is cancelled by a party other than the instructing party, eg, a market infrastructure such as a stock exchange.

66.1.47.33.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Cancelled reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification1](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.47.33.1.3 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: No reason available or to report for the cancelled status.

Datatype: "[NoReasonCode](#)" on page 2609

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

66.1.47.33.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the cancelled status.

Datatype: "[Max350Text](#)" on page 2683

66.1.47.34 BlockedStatusReason2Choice

Definition: Choice of formats for a blocked status reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2402
Or}	Reason <Rsn>	[1..*]			2403
	TransactionType <TxTp>	[1..1]			2403
{Or	Code <Cd>	[1..1]	CodeSet		2403
Or}	Proprietary <Prtry>	[1..1]	±		2405
	Blocked <Blckd>	[1..1]	Indicator		2405
	Reason <Rsn>	[0..*]			2405
{Or	Code <Cd>	[1..1]	CodeSet		2405
Or}	Proprietary <Prtry>	[1..1]	±		2406
	AdditionalInformation <AddtlInf>	[1..1]	Text		2406

66.1.47.34.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: There is no reason available or to report for the closed account status.

Datatype: "NoReasonCode" on page 2609

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

66.1.47.34.2 Reason <Rsn>

Presence: [1..*]

Definition: Transaction type for which the account is blocked and the underlying reason.

Reason <Rsn> contains the following **BlockedStatusReason2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionType <TxTp>	[1..1]			2403
{Or	Code <Cd>	[1..1]	CodeSet		2403
Or}	Proprietary <Prtry>	[1..1]	±		2405
	Blocked <Blckd>	[1..1]	Indicator		2405
	Reason <Rsn>	[0..*]			2405
{Or	Code <Cd>	[1..1]	CodeSet		2405
Or}	Proprietary <Prtry>	[1..1]	±		2406
	AdditionalInformation <AddtlInf>	[1..1]	Text		2406

66.1.47.34.2.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Type of transaction for which the account has a blocked status.

TransactionType <TxTp> contains one of the following **TransactionType5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2403
Or}	Proprietary <Prtry>	[1..1]	±		2405

66.1.47.34.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Transaction type expressed as a code.

Datatype: "InvestmentFundTransactionType1Code" on page 2603

CodeName	Name	Definition
ALLL	All	All types of transactions.
SELL	SecuritiesSale	Sale of securities.
BUYI	SecuritiesPurchase	Purchase of securities.

CodeName	Name	Definition
SWIO	SwitchOut	Transaction is a subscription leg of a switch order execution.
TRIN	TransferIn	Transaction is an incoming credit to an account on the shareholders register, and is not linked to a shift in investment (subscription or switch), but to account management.
TOUT	TransferOut	Transaction is a debit to an account on the shareholders register, and is not linked to a shift in investment (redemption or switch), but to account management.
SUBS	Subscription	Transaction is a subscription to an investment fund.
REDM	Redemption	Transaction is a redemption of an investment fund.
CDEP	CashDeposit	Transaction is a cash deposit.
CWIT	CashWithdrawal	Transaction is a cash withdrawal.
DIVP	DividendPayment	Transaction is the payment of dividends and other proceeds.
CAEV	CorporationActionEvent	Transaction is the result of a corporate action.
CROI	CrossIn	Transaction is a cross-in.
CROO	CrossOut	Transaction is a cross out.
DIVI	Dividend	Transaction is a dividend.
INSP	InSpecie	Transaction is an InSpecie.
OTHR	Other	Transaction is another type of transaction.
REAA	RedemptionAssetAllocation	Transaction is a redemption in an asset allocation plan which enables investors to withdraw, by percentage a certain amount of cash from several sub-funds of a same umbrella structure.
RWPL	RedemptionWithdrawingPlan	Transaction is a withdrawal by individuals in the framework of a structured plan for investments made in the past.
RDIV	ReinvestmentOfDividend	Transaction is a reinvestment of dividend.
SSPL	SubscriptionSavingsPlan	Transaction is a subscription for a savings plan, that is, money set aside by individuals in the framework of a structured plan for a special purpose, for example, retirement.
SUAA	SubscriptionAssetAllocation	Transaction is a subscription in an asset allocation plan that enables investors to allocate, by percentage a certain amount of cash into several sub-funds of a same umbrella structure.

66.1.47.34.2.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Transaction type expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.47.34.2.2 Blocked <Blckd>*Presence:* [1..1]*Definition:* Indicates whether the account is blocked.*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.47.34.2.3 Reason <Rsn>*Presence:* [0..*]*Definition:* Reason for the blocked status.**Reason <Rsn>** contains one of the following **BlockedReason2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2405
Or}	Proprietary <Prtry>	[1..1]	±		2406

66.1.47.34.2.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Reason expressed as a code.*Datatype:* "[BlockedReason2Code](#)" on page 2555

CodeName	Name	Definition
BKRP	Bankruptcy	Bankruptcy.
CMMT	Commitment	Commitment.
CNFS	Confiscation	Confiscation.
MORT	MortisCausa	Reserved in contemplation of death.
PCOM	PendingComplianceVerification	Pending compliance verification.
PLDG	Pledged	Pledged.

CodeName	Name	Definition
TRPE	Reregistration	Reregistration.
SANC	Sanctions	Sanctions are in place.
TRAN	Transfer	Transfer.

66.1.47.34.2.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.47.34.2.4 AdditionalInformation <AddtlInf>

Presence: [1..1]

Definition: Additional information about the blocked account status.

Datatype: "[Max350Text](#)" on page 2683

66.1.47.35 PlanStatus2Choice

Definition: Choice of formats for the status of a plan.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2406
Or}	Proprietary <Prtry>	[1..1]	±		2406

66.1.47.35.1 Code <Cd>

Presence: [1..1]

Definition: Status of the plan expressed as a code.

Datatype: "[PlanStatus1Code](#)" on page 2618

CodeName	Name	Definition
ACTV	Active	Plan is active.
CLOS	Closed	Plan is closed.
SUSP	Suspended	Plan is suspended.

66.1.47.35.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Status of the plan expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.48 Structured Postal Address

66.1.48.1 StructuredLongPostalAddress1

Definition: Address of a party expressed in a formal structure, usually according to the country's postal services specifications.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BuildingName <BldgNm>	[0..1]	Text		2407
	StreetName <StrtNm>	[0..1]	Text		2408
	StreetBuildingIdentification <StrtBldgId>	[0..1]	Text		2408
	Floor <Flr>	[0..1]	Text		2408
	TownName <TwnNm>	[1..1]	Text		2408
	DistrictName <DstrctNm>	[0..1]	Text		2408
	RegionIdentification <RgnId>	[0..1]	Text		2408
	State <Stat>	[0..1]	Text		2408
	CountyIdentification <CtyId>	[0..1]	Text		2408
	Country <Ctry>	[1..1]	CodeSet	C11	2408
	PostCodeIdentification <PstCdId>	[1..1]	Text		2409
	PostOfficeBox <POB>	[0..1]	Text		2409

Constraints

- **StreetNameAndOrPostOfficeBoxRule**

If StreetName is not present, then PostOfficeBox is mandatory. If StreetName is present, then PostOfficeBox is optional.

66.1.48.1.1 BuildingName <BldgNm>

Presence: [0..1]

Definition: Name of the building or house.

Datatype: "[Max35Text](#)" on page 2683

66.1.48.1.2 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max35Text" on page 2683

66.1.48.1.3 StreetBuildingIdentification <StrtBldgId>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max35Text" on page 2683

66.1.48.1.4 Floor <Flr>

Presence: [0..1]

Definition: Floor or storey within a building.

Datatype: "Max16Text" on page 2682

66.1.48.1.5 TownName <TwnNm>

Presence: [1..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 2683

66.1.48.1.6 DistrictName <DstrctNm>

Presence: [0..1]

Definition: Name of a district, that is, a part of a town or region.

Datatype: "Max35Text" on page 2683

66.1.48.1.7 RegionIdentification <RgnId>

Presence: [0..1]

Definition: Identification of an administrative division of a country, state, or territory.

Datatype: "Max35Text" on page 2683

66.1.48.1.8 State <Stat>

Presence: [0..1]

Definition: Organised political community or area forming a part of a federation.

Datatype: "Max35Text" on page 2683

66.1.48.1.9 CountyIdentification <CtyId>

Presence: [0..1]

Definition: Identifier of a county.

Datatype: "Max35Text" on page 2683

66.1.48.1.10 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.48.1.11 PostCodeIdentification <PstCld>

Presence: [1..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 2682

66.1.48.1.12 PostOfficeBox <POB>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 2682

66.1.49 Tax

66.1.49.1 TaxExemptionReason2Choice

Definition: Choice of formats for the specification of the tax exemption reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2409
Or}	Proprietary <Prtry>	[1..1]	±		2413

66.1.49.1.1 Code <Cd>

Presence: [1..1]

Definition: Tax exemption reason expressed as a code.

Datatype: "TaxExemptReason3Code" on page 2649

CodeName	Name	Definition
NONE	None	Account is not tax exempt.
MASA	MaxiISA	Maxi Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). The Maxi ISA allows an investor to save all three of the different elements of ISA

CodeName	Name	Definition
		investments that are allowed, with one savings institution in a single account.
MISA	MiniCashISA	Mini Cash Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). An investor can hold more than one Mini ISA to utilise the overall Mini ISA investment limit, and can invest with more than one savings institution, however only one of the three elements of ISA investment is allowed in a single account.
SISA	MiniStocksAndSharesISA	Mini Stocks and Shares Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain stocks, shares and collective investments up to the prescribed annual subscription limit (required for UK).
IISA	MiniInsuranceISA	Mini Insurance Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain life insurance investments up to the prescribed annual subscription limit (required for UK).
CUYP	CurrentYearPayment	Account is for current year payments into an investment retirement account (required for US).
PRYP	PriorYearPayment	Account is for a prior year payment into an investment retirement account (required for US).
ASTR	AssetTransfer	Account is for an asset transfer into an investment retirement account (required for US).
EMPY	EmployeePriorYear	Account is for employee - prior year contributions into an investment retirement account (required for US).
EMCY	EmployeeCurrentYear	Account is for employee - current year contributions into an investment retirement account (required for US).
EPRY	EmployerPriorYear	Account is for employer - prior year contributions into an investment retirement account (required for US).
ECYE	EmployerCurrentYear	Account is for employer - current year contributions into an investment retirement account (required for US).
NFPI	NonFundPrototypeIRA	Account is for non-fund prototype Individual Retirement Account (IRA) into an investment retirement account (required for US).
NFQP	NonFundQualifiedPlan	Account is for non-fund qualified plan into an investment retirement account (required for US).

CodeName	Name	Definition
DECP	DefinedContributionPlan	Account is for a defined contribution plan (required for US).
IRAC	IndividualRetirementAccount	Account is an Individual Retirement Account (IRA) (required for US).
IRAR	IndividualRetirementAccountRollover	Account is for an Individual Retirement Account (IRA) - Rollover (required for US).
KEOG	KEOGH	KEOGH. Account is a tax-deferred retirement plan designed to help self-employed workers or individuals who earn self-employed income establish a retirement savings program (required for US).
PFSP	ProfitSharingPlan	Account is a Profit Sharing Plan (required for US).
401K	FourHundredAndOneK	401k. Account is an optional retirement plan supported by many companies, in which income is invested before it is taxed (required for US).
SIRA	SelfDirectedIRA	Self-Directed Individual Retirement Account (IRA) (required for US).
403B	FourHundredAndThreeB	403(b). Account is a tax-deferred investment and savings program for employees of hospitals, educational institutions and other non-profit organisations, to save and invest in their own retirement. The name refers to the relevant section in the US Internal Revenue Code (required for US).
457X	FourHundredFiftySeven	457. Account is a non-qualified deferred compensation program that is available to state and local governments and not-for-profit employers (required for US).
RIRA	RothIRAFundPrototype	Roth Individual Retirement Account (IRA) Fund Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA. Retirement contributions are taxed upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up for a certain period of time (required for US).
RIAN	RothIRANonPrototype	Roth Individual Retirement Account (IRA) Non-Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA. Retirement contributions are taxed upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up for a certain period of time (required for US).
RCRF	RothConversionIRAFundPrototype	Roth Conversion Individual Retirement Account (IRA) Fund Prototype. Account is a retirement account established when

CodeName	Name	Definition
		a party switches from a regular IRA (required for US).
RCIP	RothConversionIRANonPrototype	Roth Conversion Individual Retirement Account (IRA) Non-Prototype. Account is a retirement account established when a party switches from a Regular IRA (required for US).
EIFP	EducationIRAFundPrototype	Education Individual Retirement Account Fund Prototype (also known as an Education Savings Account). Account is a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required for US).
EIOP	EducationIRANonPrototype	Education Individual Retirement Account Non-Prototype (also known as an Education Savings Account). Account is a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required in the US).
FORE	Foreigner	Account is for a foreigner for which a tax exemption is permitted.
INCA	Incapacity	Exemption due to incapacitation.
MINO	Minor	Account is for a minor for which a tax exemption is permitted.
ASSO	Association	Account is for an association, for example, a sports club, for which a tax exemption is permitted.
DIPL	Diplomat	Account is for a diplomat for which a tax exemption is permitted.
DOME	Domestic	Account is for two account owners that are both domestic.
FORP	OneOrBothForeign	Account is for two account owners where one or both are foreign.
ORDR	Ordinary	Ordinary reporting.
PENF	PensionFund	Account is for a pension fund for which a tax exemption is permitted.
REFU	Refugee	Account is for a refugee or person without a number assigned by a government organisation, for example, without a Danish Central Securities Number.
RIHO	RightsHolder	Account is for a rights holder.
ADMI	Administrator	Administrator of the account is not the owner.

CodeName	Name	Definition
TANR	TwoNonResident	Two or more of the account owners are foreign residents.
OANR	OneNonResident	One of the account owners is a foreign resident.

66.1.49.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Tax exemption reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.49.2 TaxReference1

Definition: Pension scheme tax reference.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxType <TaxTp>	[0..1]			2413
{Or	Code <Cd>	[1..1]	CodeSet		2414
Or}	Proprietary <Prtry>	[1..1]	±		2414
	Reference <Ref>	[1..1]	Text		2414
	HolderType <HldrTp>	[0..1]			2414
{Or	Code <Cd>	[1..1]	CodeSet		2414
Or}	Proprietary <Prtry>	[1..1]	±		2415

66.1.49.2.1 TaxType <TaxTp>

Presence: [0..1]

Definition: Type of tax reference.

TaxType <TaxTp> contains one of the following **TaxReferenceType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2414
Or}	Proprietary <Prtry>	[1..1]	±		2414

66.1.49.2.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of tax reference expressed as a code.*Datatype:* "PersonIdentificationType7Code" on page 2618

CodeName	Name	Definition
ATIN	AdoptionTaxpayerIdentificationNumber	Temporary tax processing number (used in the domestic adoption of a child).
GTIN	GenericTaxIdentificationNumber	Generic tax identification number.
ITIN	IndividualTaxpayerIdentificationNumber	Tax processing number.

66.1.49.2.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of tax reference expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification36" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.49.2.2 Reference <Ref>*Presence:* [1..1]*Definition:* Tax reference.*Datatype:* "Max35Text" on page 2683**66.1.49.2.3 HolderType <HldrTp>***Presence:* [0..1]*Definition:* Type of reference holder. For example, the transferee's tax reference. The transferee may also be known as the acquiring party.**HolderType <HldrTp>** contains one of the following **TaxReferenceParty1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2414
Or}	Proprietary <Prtry>	[1..1]	±		2415

66.1.49.2.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of party expressed as a code.*Datatype:* "HolderType1Code" on page 2585

CodeName	Name	Definition
TFEE	Transferee	Holder is the transferee party. The transferee party may also be known as the acquiring party.
TFOR	Transferor	Holder is the transferor party. The transferee party may also be known as the ceding party.

66.1.49.2.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of party expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.49.3 Tax38

Definition: Tax related to an investment fund order.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2416
{Or	Code <Cd>	[1..1]	CodeSet		2416
Or}	Proprietary <Prtry>	[1..1]	±		2417
	Basis <Bsis>	[0..1]			2418
{Or	Code <Cd>	[1..1]	CodeSet		2418
Or}	Proprietary <Prtry>	[1..1]	±		2418
	Amount <Amt>	[1..1]	Amount	C2, C10	2418
	Country <Ctry>	[0..1]	CodeSet	C11	2419
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2419
	ExemptionReason <XmptnRsn>	[0..1]			2419
{Or	Code <Cd>	[1..1]	CodeSet		2419
Or}	Proprietary <Prtry>	[1..1]	±		2422
	RecipientIdentification <Rcptld>	[0..1]	±		2422
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2423
	Basis <Bsis>	[0..1]			2423
{Or	Code <Cd>	[1..1]	CodeSet		2423
Or}	Proprietary <Prtry>	[1..1]	±		2423
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2424

66.1.49.3.1 Type <Tp>

Presence: [1..1]

Definition: Type of tax.

Type <Tp> contains one of the following **TaxType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2416
Or}	Proprietary <Prtry>	[1..1]	±		2417

66.1.49.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of tax expressed as a code.

Datatype: "TaxType16Code" on page 2653

CodeName	Name	Definition
COAX	ConsumptionTax	Tax that is levied on goods and services purchased by customers, and is added to the retail price.
CTAX	TaxCredit	Direct reduction of an individual's tax liability.
EUTR	EUTaxRetention	Tax withheld at source in the framework of the European Directive on taxation of savings in the form of interest payments.
LEVY	PaymentLevyTax	Tax levied on a payment.
LOCL	LocalTax	Tax that is charged by a local settlement system or local jurisdiction.
NATI	NationalTax	Tax is a national tax.
PROV	Provincial	Tax is a provincial tax.
STAM	StampDuty	Tax is on certain documents and transactions.
STAT	StateTax	Tax is a state tax.
STEX	StockExchangeTax	Tax collected by a stock exchange.
TRAN	TransferTax	Tax levied on a transfer.
TRAX	TransactionTax	Tax levied on a transaction.
VATA	ValueAddedTax	Tax is a value added tax.
WITH	WithholdingTax	Tax is on income deducted at source, which the paying agent is legally obliged to deduct from its payments of interest on deposits and other proceeds of a financial instrument.
NKAP	CapitalLossCredit	Tax recovery is due to capital loss.
KAPA	CapitalGainTax	Tax is on a capital gain (realised and unrealised), that is, the profit that is gained from the sale of a financial instrument.

66.1.49.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of tax expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.49.3.2 Basis <Bsis>*Presence:* [0..1]*Definition:* Basis used to determine the capital gain or loss.**Basis <Bsis>** contains one of the following **TaxBasis1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2418
Or}	Proprietary <Prtry>	[1..1]	±		2418

66.1.49.3.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Tax basis expressed as a code.*Datatype:* "TaxationBasis2Code" on page 2645

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

66.1.49.3.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Tax basis expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.49.3.3 Amount <Amt>*Presence:* [1..1]*Definition:* Amount of money resulting from the calculation of the tax.*Impacted by:* C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.49.3.4 Country <Ctry>

Presence: [0..1]

Definition: Country where the tax is due.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.49.3.5 ExemptionIndicator <XmptnInd>

Presence: [1..1]

Definition: Indicates whether a tax exemption applies.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.49.3.6 ExemptionReason <XmptnRsn>

Presence: [0..1]

Definition: Reason for the tax exemption.

ExemptionReason <XmptnRsn> contains one of the following **ExemptionReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2419
Or}	Proprietary <Prtry>	[1..1]	±		2422

66.1.49.3.6.1 Code <Cd>

Presence: [1..1]

Definition: Exemption reason expressed as a code.

Datatype: "TaxExemptReason1Code" on page 2647

CodeName	Name	Definition
NONE	None	Account is not tax exempt.

CodeName	Name	Definition
MASA	MaxiISA	Maxi Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). The Maxi ISA allows an investor to save all three of the different elements of ISA investments that are allowed, with one savings institution in a single account.
MISA	MiniCashISA	Mini Cash Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). An investor can hold more than one Mini ISA to utilise the overall Mini ISA investment limit, and can invest with more than one savings institution, however only one of the three elements of ISA investment is allowed in a single account.
SISA	MiniStocksAndSharesISA	Mini Stocks and Shares Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain stocks, shares and collective investments up to the prescribed annual subscription limit (required for UK).
IISA	MiniInsuranceISA	Mini Insurance Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain life insurance investments up to the prescribed annual subscription limit (required for UK).
CUYP	CurrentYearPayment	Account is for current year payments into an investment retirement account (required for US).
PRYP	PriorYearPayment	Account is for a prior year payment into an investment retirement account (required for US).
ASTR	AssetTransfer	Account is for an asset transfer into an investment retirement account (required for US).
EMPY	EmployeePriorYear	Account is for employee - prior year contributions into an investment retirement account (required for US).
EMCY	EmployeeCurrentYear	Account is for employee - current year contributions into an investment retirement account (required for US).
EPRY	EmployerPriorYear	Account is for employer - prior year contributions into an investment retirement account (required for US).
ECYE	EmployerCurrentYear	Account is for employer - current year contributions into an investment retirement account (required for US).

CodeName	Name	Definition
NFPI	NonFundPrototypeIRA	Account is for non-fund prototype Individual Retirement Account (IRA) into an investment retirement account (required for US).
NFQP	NonFundQualifiedPlan	Account is for non-fund qualified plan into an investment retirement account (required for US).
DECP	DefinedContributionPlan	Account is for a defined contribution plan (required for US).
IRAC	IndividualRetirementAccount	Account is an Individual Retirement Account (IRA) (required for US).
IRAR	IndividualRetirementAccountRollover	Account is for an Individual Retirement Account (IRA) - Rollover (required for US).
KEOG	KEOGH	KEOGH. Account is a tax-deferred retirement plan designed to help self-employed workers or individuals who earn self-employed income establish a retirement savings program (required for US).
PFSP	ProfitSharingPlan	Account is a Profit Sharing Plan (required for US).
401K	FourHundredAndOneK	401k. Account is an optional retirement plan supported by many companies, in which income is invested before it is taxed (required for US).
SIRA	SelfDirectedIRA	Self-Directed Individual Retirement Account (IRA) (required for US).
403B	FourHundredAndThreeB	403(b). Account is a tax-deferred investment and savings program for employees of hospitals, educational institutions and other non-profit organisations, to save and invest in their own retirement. The name refers to the relevant section in the US Internal Revenue Code (required for US).
457X	FourHundredFiftySeven	457. Account is a non-qualified deferred compensation program that is available to state and local governments and not-for-profit employers (required for US).
RIRA	RothIRAFundPrototype	Roth Individual Retirement Account (IRA) Fund Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA. Retirement contributions are taxed upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up for a certain period of time (required for US).
RIAN	RothIRANonPrototype	Roth Individual Retirement Account (IRA) Non-Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA. Retirement contributions are taxed

CodeName	Name	Definition
		upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up for a certain period of time (required for US).
RCRF	RothConversionIRAFundPrototype	Roth Conversion Individual Retirement Account (IRA) Fund Prototype. Account is a retirement account established when a party switches from a regular IRA (required for US).
RCIP	RothConversionIRANonPrototype	Roth Conversion Individual Retirement Account (IRA) Non-Prototype. Account is a retirement account established when a party switches from a Regular IRA (required for US).
EIFP	EducationIRAFundPrototype	Education Individual Retirement Account Fund Prototype (also known as an Education Savings Account). Account is a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required for US).
EIOP	EducationIRANonPrototype	Education Individual Retirement Account Non-Prototype (also known as an Education Savings Account). Account is a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required in the US).

66.1.49.3.6.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Exemption reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.49.3.7 RecipientIdentification <RcptId>

Presence: [0..1]

Definition: Party that receives the tax. The recipient of, and the party entitled to, the tax may be two different parties.

RecipientIdentification <RcptId> contains the following elements (see "PartyIdentification139" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

66.1.49.3.8 TaxCalculationDetails <TaxClctnDtls>

Presence: [0..1]

Definition: Information used to calculate the tax.

TaxCalculationDetails <TaxClctnDtls> contains the following **TaxCalculationInformation11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Basis <Bsis>	[0..1]			2423
{Or	Code <Cd>	[1..1]	CodeSet		2423
Or}	Proprietary <Prtry>	[1..1]	±		2423
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2424

66.1.49.3.8.1 Basis <Bsis>

Presence: [0..1]

Definition: Basis used to determine the capital gain or loss.

Basis <Bsis> contains one of the following **TaxBasis1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2423
Or}	Proprietary <Prtry>	[1..1]	±		2423

66.1.49.3.8.1.1 Code <Cd>

Presence: [1..1]

Definition: Tax basis expressed as a code.

Datatype: "TaxationBasis2Code" on page 2645

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

66.1.49.3.8.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Tax basis expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.49.3.8.2 TaxableAmount <TaxblAmt>

Presence: [1..1]

Definition: Amount of money on which the tax is charged.

Impacted by: [C2 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.49.4 TaxReporting3

Definition: Information for tax reporting.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxationCountry <TaxtnCtry>	[1..1]	CodeSet	C11	2425
	TaxRate <TaxRate>	[0..1]	Rate		2426
	TaxPayer <TaxPyer>	[0..1]	±		2426
	TaxRecipient <TaxRcpt>	[0..1]	±		2426
	CashAccountDetails <CshAcctDtls>	[0..1]			2426
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	2427
	Identification <Id>	[1..1]	±		2427
	AccountOwner <AcctOwnr>	[0..1]	±		2428
	AccountServicer <AcctSvcr>	[0..1]	±		2428
	AccountServicerBranch <AcctSvcrBrnch>	[0..1]	±		2428
	AccountOwnerOtherIdentification <AcctOwnrOthrld>	[0..*]	±		2429
	InvestmentAccountType <InvstmtAcctTp>	[0..1]	±		2429
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2429
	SettlementInstructionReason <SttlmInstrRsn>	[0..1]			2430
{Or	Code <Cd>	[1..1]	CodeSet		2430
Or}	Proprietary <Prtry>	[1..1]	±		2431
	CashAccountPurpose <CshAcctPurp>	[0..1]			2431
{Or	Code <Cd>	[1..1]	CodeSet		2431
Or}	Proprietary <Prtry>	[1..1]	±		2431
	CashAccountDesignation <CshAcctDsgnt>	[0..1]			2432
{Or	Code <Cd>	[1..1]	CodeSet		2432
Or}	Proprietary <Prtry>	[1..1]	±		2432
	DividendPercentage <DvddPctg>	[0..1]	Rate		2432
	Description <Desc>	[0..1]	Text		2433

66.1.49.4.1 TaxationCountry <TaxtnCtry>

Presence: [1..1]

Definition: Country of taxation of the organisation or individual person.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.49.4.2 TaxRate <TaxRate>

Presence: [0..1]

Definition: Tax rate to be applied.

Datatype: "PercentageRate" on page 2681

66.1.49.4.3 TaxPayer <TaxPyr>

Presence: [0..1]

Definition: Party that pays the tax.

TaxPayer <TaxPyr> contains one of the following elements (see "PartyIdentification125Choice" on page 2020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2020
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2020
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2020

66.1.49.4.4 TaxRecipient <TaxRcpt>

Presence: [0..1]

Definition: Party that receives the tax.

TaxRecipient <TaxRcpt> contains one of the following elements (see "PartyIdentification125Choice" on page 2020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2020
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2020
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2020

66.1.49.4.5 CashAccountDetails <CshAcctDtls>

Presence: [0..1]

Definition: Cash account information for the payment of tax.

CashAccountDetails <CshAcctDtls> contains the following **CashAccount204** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	2427
	Identification <Id>	[1..1]	±		2427
	AccountOwner <AcctOwnr>	[0..1]	±		2428
	AccountServicer <AcctSvcr>	[0..1]	±		2428
	AccountServicerBranch <AcctSvcrBrnch>	[0..1]	±		2428
	AccountOwnerOtherIdentification <AcctOwnrOthrId>	[0..*]	±		2429
	InvestmentAccountType <InvstmtAcctTp>	[0..1]	±		2429
	CreditDebit <CdtDbt>	[0..1]	CodeSet		2429
	SettlementInstructionReason <SttlmInstrRsn>	[0..1]			2430
{Or	Code <Cd>	[1..1]	CodeSet		2430
Or}	Proprietary <Prtry>	[1..1]	±		2431
	CashAccountPurpose <CshAcctPurp>	[0..1]			2431
{Or	Code <Cd>	[1..1]	CodeSet		2431
Or}	Proprietary <Prtry>	[1..1]	±		2431
	CashAccountDesignation <CshAcctDsgnt>	[0..1]			2432
{Or	Code <Cd>	[1..1]	CodeSet		2432
Or}	Proprietary <Prtry>	[1..1]	±		2432
	DividendPercentage <DvddPctg>	[0..1]	Rate		2432

66.1.49.4.5.1 SettlementCurrency <SttlmCcy>

Presence: [1..1]

Definition: Currency associated with the payment instrument.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2552

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.49.4.5.2 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1224 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1224
	Name <Nm>	[0..1]	Text		1224

66.1.49.4.5.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "[PartyIdentification125Choice](#)" on page 2020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	2020
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2020
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2020

66.1.49.4.5.4 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see "[FinancialInstitutionIdentification11Choice](#)" on page 1395 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		1395
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C5	1395
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		1396
Or}	ProprietaryIdentification <PrtryId>	[1..1]			1396
	Identification <Id>	[1..1]	Text		1397

66.1.49.4.5.5 AccountServicerBranch <AcctSvcrBrnch>

Presence: [0..1]

Definition: Information identifying a specific branch of a financial institution.

Usage: this component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

AccountServicerBranch <AcctSvcrBrnch> contains the following elements (see "BranchData4" on page 1394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1394
	Name <Nm>	[0..1]	Text		1394
	PostalAddress <PstlAdr>	[0..1]	±		1394

66.1.49.4.5.6 AccountOwnerOtherIdentification <AcctOwnrOthrId>

Presence: [0..*]

Definition: Alternative identification, for example, national registration identification number, passport number, tax identification number. This may be an account number used to further identify the beneficial owner, for example, a Central Provident Fund (CPF) account as required for Singapore.

AccountOwnerOtherIdentification <AcctOwnrOthrId> contains the following elements (see "GenericIdentification82" on page 1500 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1500
	Type <Tp>	[1..1]			1501
{Or	Code <Cd>	[1..1]	CodeSet		1501
Or}	Proprietary <Prtry>	[1..1]	±		1502
	Issuer <Issr>	[0..1]	Text		1502
	IssueDate <IsseDt>	[0..1]	Date		1502
	ExpiryDate <XpryDt>	[0..1]	Date		1502
	State <Stat>	[0..1]	Text		1502
	IssuerCountry <IssrCtry>	[0..1]	CodeSet	C11	1502

66.1.49.4.5.7 InvestmentAccountType <InvstmtAcctTp>

Presence: [0..1]

Definition: Type of account.

InvestmentAccountType <InvstmtAcctTp> contains one of the following elements (see "AccountType2Choice" on page 1215 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1215
Or}	Proprietary <Prtry>	[1..1]	±		1215

66.1.49.4.5.8 CreditDebit <CdtDbt>

Presence: [0..1]

Definition: Specifies if the account is for credits or debits.

Datatype: "CreditDebit3Code" on page 2568

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

66.1.49.4.5.9 SettlementInstructionReason <SttlmInstrRsn>

Presence: [0..1]

Definition: Type of transaction for which the cash account is specified.

SettlementInstructionReason <SttlmInstrRsn> contains one of the following **SettlementInstructionReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2430
Or}	Proprietary <Prtry>	[1..1]	±		2431

66.1.49.4.5.9.1 Code <Cd>

Presence: [1..1]

Definition: Settlement instruction reason expressed as a code.

Datatype: "SettlementInstructionReason1Code" on page 2641

CodeName	Name	Definition
CSHI	AllCredits	Settlement of all credit amounts.
ALLL	AllCreditsAndDebits	Settlement of all credit and debit amounts.
CSHO	AllDebits	Settlement of all debit amounts.
CHAR	Charges	Settlement of charges.
DIVI	Dividend	Settlement of dividends.
INTE	Interest	Settlement of interest.
SAVP	InvestmentSavingsPlan	Settlement of investments to a savings plan.
REDM	Redemption	Settlement of redemptions or redemption legs of a switch transaction.
SAVE	SavingsPlan	Settlement of investments to a savings plan and withdrawals from a savings plan.
BUYI	SecuritiesPurchase	Settlement of securities purchases.
SELL	SecuritiesSale	Settlement of securities sales.
SUBS	Subscription	Settlement of subscriptions or subscription legs of a switch transaction.
WTHP	WithdrawalSavingsPlan	Settlement of withdrawals from a savings plan.

CodeName	Name	Definition
CORP	CorporateAction	Settlement of corporate actions.

66.1.49.4.5.9.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Settlement instruction reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.49.4.5.10 CashAccountPurpose <CshAcctPurp>*Presence:* [0..1]*Definition:* Purpose of the cash account.

CashAccountPurpose <CshAcctPurp> contains one of the following **CashAccountType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2431
Or}	Proprietary <Prtry>	[1..1]	±		2431

66.1.49.4.5.10.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of cash account expressed as a code.*Datatype:* "[CashAccountType5Code](#)" on page 2558

CodeName	Name	Definition
LEND	Lending	Account is for the coverage of lending.
COLL	Collateral	Account is for collateral.
SETT	FinancialSettlement	Account is for financial settlements.
MARR	MarginReturn	Account is for margin returns.
SEGT	Segregated	Account is an segregated account.

66.1.49.4.5.10.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of cash account expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.49.4.5.11 CashAccountDesignation <CshAcctDsgnt>

Presence: [0..1]

Definition: Specifies whether the account is the primary or secondary account if there are two accounts for the same purpose.

CashAccountDesignation <CshAcctDsgnt> contains one of the following **AccountDesignation1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2432
Or}	Proprietary <Prtry>	[1..1]	±		2432

66.1.49.4.5.11.1 Code <Cd>

Presence: [1..1]

Definition: Account designation expressed as a code.

Datatype: "[Rank1Code](#)" on page 2623

CodeName	Name	Definition
PRIM	Primary	Element is the primary one.
SECO	Secondary	Element is the primary one.

66.1.49.4.5.11.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Account designation expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.49.4.5.12 DividendPercentage <DvddPctg>

Presence: [0..1]

Definition: Percentage of the dividend payment not to be reinvested, that is, to be paid in cash.

Datatype: "PercentageBoundedRate" on page 2681

66.1.49.4.6 Description <Desc>

Presence: [0..1]

Definition: Additional information for the reporting of tax.

Datatype: "Max350Text" on page 2683

66.1.49.5 Tax35

Definition: Tax related to an investment fund order.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2433
{Or	Code <Cd>	[1..1]	CodeSet		2433
Or}	Proprietary <Prtry>	[1..1]	±		2435
	AppliedAmount <ApIdAmt>	[1..1]	Amount	C2, C10	2435
	AppliedRate <ApIdRate>	[0..1]	Rate		2435
	Country <Ctry>	[0..1]	CodeSet	C11	2436
	RecipientIdentification <RcptId>	[0..1]	±		2436
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2436
	Basis <Bsis>	[0..1]			2436
{Or	Code <Cd>	[1..1]	CodeSet		2437
Or}	Proprietary <Prtry>	[1..1]	±		2437
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2437

66.1.49.5.1 Type <Tp>

Presence: [1..1]

Definition: Type of tax.

Type <Tp> contains one of the following **TaxType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2433
Or}	Proprietary <Prtry>	[1..1]	±		2435

66.1.49.5.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of tax expressed as a code.

Datatype: "TaxType17Code" on page 2654

CodeName	Name	Definition
PROV	Provincial	Tax is a provincial tax.
NATI	NationalTax	Tax is a national tax.
STAT	StateTax	Tax is a state tax.
WITH	WithholdingTax	Tax is on income deducted at source, which the paying agent is legally obliged to deduct from its payments of interest on deposits and other proceeds of a financial instrument.
KAPA	CapitalGainTax	Tax is on a capital gain (realised and unrealised), that is, the profit that is gained from the sale of a financial instrument.
NKAP	CapitalLossCredit	Tax recovery is due to capital loss.
INPO	InterimProfitTax	Tax is on the sum of all earnings/ revenues accrued since the last dividend distribution.
STAM	StampDuty	Tax is on certain documents and transactions.
WTAX	WealthTax	Tax is a special tax imposed, applicable only when the value of assets or categories of assets owned by an entity are above a given ceiling defined by the tax authority. Wealth tax is not linked to income.
INHT	InheritanceTax	Tax that is payable at the time of death on any items (money or otherwise), where ownership changes either upon death or within a legally specified number of years before death.
SOSU	SolidaritySurcharge	Tax that is levied by legal authorities for solidarity purposes.
CTAX	TaxCredit	Direct reduction of an individual's tax liability.
GIFT	GiftTax	Tax that is levied on assets given to individuals prior to the death of the donor. Gift tax is designed to ensure the integrity of the inheritance tax, preventing the pre-death transfer of wealth.
COAX	ConsumptionTax	Tax that is levied on goods and services purchased by customers, and is added to the retail price.
EUTR	EUTaxRetention	Tax withheld at source in the framework of the European Directive on taxation of savings in the form of interest payments.
AKT1	Aktiengewinn1	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the old

CodeName	Name	Definition
		Investment Tax Act (Investmentsteuergesetz).
AKT2	Aktiengewinn2	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the new Investment Tax Act (Investmentsteuergesetz).
ZWIS	Zwischengewinn	Zwischengewinn.

66.1.49.5.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of tax expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.49.5.2 AppliedAmount <ApIdAmt>

Presence: [1..1]

Definition: Amount of money resulting from the calculation of the tax.

Impacted by: [C2 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.49.5.3 AppliedRate <ApIdRate>

Presence: [0..1]

Definition: Rate used to calculate the tax.

Datatype: "PercentageRate" on page 2681

66.1.49.5.4 Country <Ctry>

Presence: [0..1]

Definition: Country where the tax is due.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.49.5.5 RecipientIdentification <RcptId>

Presence: [0..1]

Definition: Party that receives the tax. The recipient of, and the party entitled to, the tax may be two different parties.

RecipientIdentification <RcptId> contains the following elements (see "PartyIdentification139" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

66.1.49.5.6 TaxCalculationDetails <TaxClctnDtls>

Presence: [0..1]

Definition: Information used to calculate the tax.

TaxCalculationDetails <TaxClctnDtls> contains the following **TaxCalculationInformation10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Basis <Bsis>	[0..1]			2436
{Or	Code <Cd>	[1..1]	CodeSet		2437
Or}	Proprietary <Prtry>	[1..1]	±		2437
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2437

66.1.49.5.6.1 Basis <Bsis>

Presence: [0..1]

Definition: Form of the rebate, for example, cash.

Basis <Bsis> contains one of the following **TaxBasis1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2437
Or}	Proprietary <Prtry>	[1..1]	±		2437

66.1.49.5.6.1.1 Code <Cd>

Presence: [1..1]

Definition: Tax basis expressed as a code.

Datatype: "TaxationBasis2Code" on page 2645

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

66.1.49.5.6.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Tax basis expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.49.5.6.2 TaxableAmount <TaxblAmt>

Presence: [1..1]

Definition: Amount of money on which the tax is charged.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.49.6 InformativeTax1

Definition: Tax related to an investment fund order.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C2, C10	2439
	EUCapitalGain <EUCptlGn>	[0..1]			2439
{Or	Code <Cd>	[1..1]	CodeSet		2439
Or}	Proprietary <Prtry>	[1..1]	±		2440
	EUDividendStatus <EUDvddSts>	[0..1]			2440
{Or	Code <Cd>	[1..1]	CodeSet		2440
Or}	Proprietary <Prtry>	[1..1]	±		2440
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2441
	IndividualTax <IndvTax>	[0..*]			2441
	Type <Tp>	[1..1]			2442
{Or	Code <Cd>	[1..1]	CodeSet		2442
Or}	Proprietary <Prtry>	[1..1]	±		2444
	InformativeAmount <InftvAmt>	[0..1]	Amount	C2, C10	2444
	InformativeRate <InftvRate>	[0..1]	Rate		2444
	Country <Ctry>	[0..1]	CodeSet	C11	2445
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2445
	ExemptionReason <XmptnRsn>	[0..1]			2445
{Or	Code <Cd>	[1..1]	CodeSet		2445
Or}	Proprietary <Prtry>	[1..1]	±		2448
	RecipientIdentification <Rcptld>	[0..1]	±		2448
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2449
	Basis <Bsis>	[0..1]			2449
{Or	Code <Cd>	[1..1]	CodeSet		2449
Or}	Proprietary <Prtry>	[1..1]	±		2449
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2450

Constraints

- **TaxElementRule**

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus, PercentageOfDebtClaim, IndividualTax) must be present.

66.1.49.6.1 TaxableIncomePerDividend <TaxblIncMPerDvdd>

Presence: [0..1]

Definition: Amount included in the dividend that corresponds to gains directly or indirectly derived from interest payment in the scope of the European Directive on taxation of savings income in the form of interest payments.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.49.6.2 EUCapitalGain <EUCptlGn>

Presence: [0..1]

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.

EUCapitalGain <EUCptlGn> contains one of the following **EUCapitalGain3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2439
Or}	Proprietary <Prtry>	[1..1]	±		2440

66.1.49.6.2.1 Code <Cd>

Presence: [1..1]

Definition: EU capital gain expressed as a code.

Datatype: "EUCapitalGain2Code" on page 2574

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.

CodeName	Name	Definition
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

66.1.49.6.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: EU capital gain expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.49.6.3 EUDividendStatus <EUDvddSts>

Presence: [0..1]

Definition: Specifies whether dividend is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.

EUDividendStatus <EUDvddSts> contains one of the following **EUDividendStatusType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2440
Or}	Proprietary <Prtry>	[1..1]	±		2440

66.1.49.6.3.1 Code <Cd>

Presence: [1..1]

Definition: EU dividend status expressed as a code.

Datatype: "[EUDividendStatus1Code](#)" on page 2575

CodeName	Name	Definition
DIVI	DividendInScope	Dividend is in the scope of the directive.
DIVO	DividendOutScope	Dividend is out of the scope of the directive.
UKWN	DividendUnknown	Unknown whether the dividend is in or out of the scope of the directive.

66.1.49.6.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: EU dividend status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification </d>	[1..1]	Text		1506
	Issuer </lssr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.49.6.4 PercentageOfDebtClaim <PctgOfDebtClm>

Presence: [0..1]

Definition: Percentage of the underlying assets of the funds that represents a debt and is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June).

Datatype: "[PercentageRate](#)" on page 2681

66.1.49.6.5 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Information related to a specific tax that is provided for information purposes.

IndividualTax <IndvTax> contains the following **Tax32** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2442
{Or	Code <Cd>	[1..1]	CodeSet		2442
Or}	Proprietary <Prtry>	[1..1]	±		2444
	InformativeAmount <InftvAmt>	[0..1]	Amount	C2, C10	2444
	InformativeRate <InftvRate>	[0..1]	Rate		2444
	Country <Ctry>	[0..1]	CodeSet	C11	2445
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2445
	ExemptionReason <XmptnRsn>	[0..1]			2445
{Or	Code <Cd>	[1..1]	CodeSet		2445
Or}	Proprietary <Prtry>	[1..1]	±		2448
	RecipientIdentification <RcptId>	[0..1]	±		2448
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2449
	Basis <Bsis>	[0..1]			2449
{Or	Code <Cd>	[1..1]	CodeSet		2449
Or}	Proprietary <Prtry>	[1..1]	±		2449
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2450

66.1.49.6.5.1 Type <Tp>

Presence: [1..1]

Definition: Type of tax applied.

Type <Tp> contains one of the following **TaxType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2442
Or}	Proprietary <Prtry>	[1..1]	±		2444

66.1.49.6.5.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of tax expressed as a code.

Datatype: "TaxType17Code" on page 2654

CodeName	Name	Definition
PROV	Provincial	Tax is a provincial tax.
NATI	NationalTax	Tax is a national tax.

CodeName	Name	Definition
STAT	StateTax	Tax is a state tax.
WITH	WithholdingTax	Tax is on income deducted at source, which the paying agent is legally obliged to deduct from its payments of interest on deposits and other proceeds of a financial instrument.
KAPA	CapitalGainTax	Tax is on a capital gain (realised and unrealised), that is, the profit that is gained from the sale of a financial instrument.
NKAP	CapitalLossCredit	Tax recovery is due to capital loss.
INPO	InterimProfitTax	Tax is on the sum of all earnings/ revenues accrued since the last dividend distribution.
STAM	StampDuty	Tax is on certain documents and transactions.
WTAX	WealthTax	Tax is a special tax imposed, applicable only when the value of assets or categories of assets owned by an entity are above a given ceiling defined by the tax authority. Wealth tax is not linked to income.
INHT	InheritanceTax	Tax that is payable at the time of death on any items (money or otherwise), where ownership changes either upon death or within a legally specified number of years before death.
SOSU	SolidaritySurcharge	Tax that is levied by legal authorities for solidarity purposes.
CTAX	TaxCredit	Direct reduction of an individual's tax liability.
GIFT	GiftTax	Tax that is levied on assets given to individuals prior to the death of the donor. Gift tax is designed to ensure the integrity of the inheritance tax, preventing the pre-death transfer of wealth.
COAX	ConsumptionTax	Tax that is levied on goods and services purchased by customers, and is added to the retail price.
EUTR	EUTaxRetention	Tax withheld at source in the framework of the European Directive on taxation of savings in the form of interest payments.
AKT1	Aktiengewinn1	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the old Investment Tax Act (Investmentsteuergesetz).

CodeName	Name	Definition
AKT2	Aktiengewinn2	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the new Investment Tax Act (Investmentsteuergesetz).
ZWIS	Zwischengewinn	Zwischengewinn.

66.1.49.6.5.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of tax expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.49.6.5.2 InformativeAmount <InftvAmt>

Presence: [0..1]

Definition: Amount of money resulting from the calculation of the tax. This amount is provided for information only.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.49.6.5.3 InformativeRate <InftvRate>

Presence: [0..1]

Definition: Rate used to calculate the tax. This rate is provided for information only.

Datatype: "PercentageRate" on page 2681

66.1.49.6.5.4 Country <Ctry>*Presence:* [0..1]*Definition:* Country where the tax is due.*Impacted by:* C11 "Country"*Datatype:* "CountryCode" on page 2567**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.49.6.5.5 ExemptionIndicator <XmptnInd>*Presence:* [1..1]*Definition:* Indicates whether a tax exemption applies.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.49.6.5.6 ExemptionReason <XmptnRsn>*Presence:* [0..1]*Definition:* Reason for the tax exemption.**ExemptionReason <XmptnRsn>** contains one of the following **ExemptionReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2445
Or}	Proprietary <Prtry>	[1..1]	±		2448

66.1.49.6.5.6.1 Code <Cd>*Presence:* [1..1]*Definition:* Exemption reason expressed as a code.*Datatype:* "TaxExemptReason1Code" on page 2647

CodeName	Name	Definition
NONE	None	Account is not tax exempt.
MASA	MaxiISA	Maxi Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). The Maxi ISA allows an investor to save all three of the different elements of ISA investments that are allowed, with one savings institution in a single account.

CodeName	Name	Definition
MISA	MiniCashISA	Mini Cash Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). An investor can hold more than one Mini ISA to utilise the overall Mini ISA investment limit, and can invest with more than one savings institution, however only one of the three elements of ISA investment is allowed in a single account.
SISA	MiniStocksAndSharesISA	Mini Stocks and Shares Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain stocks, shares and collective investments up to the prescribed annual subscription limit (required for UK).
IISA	MiniInsuranceISA	Mini Insurance Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain life insurance investments up to the prescribed annual subscription limit (required for UK).
CUYP	CurrentYearPayment	Account is for current year payments into an investment retirement account (required for US).
PRYP	PriorYearPayment	Account is for a prior year payment into an investment retirement account (required for US).
ASTR	AssetTransfer	Account is for an asset transfer into an investment retirement account (required for US).
EMPY	EmployeePriorYear	Account is for employee - prior year contributions into an investment retirement account (required for US).
EMCY	EmployeeCurrentYear	Account is for employee - current year contributions into an investment retirement account (required for US).
EPRY	EmployerPriorYear	Account is for employer - prior year contributions into an investment retirement account (required for US).
ECYE	EmployerCurrentYear	Account is for employer - current year contributions into an investment retirement account (required for US).
NFPI	NonFundPrototypeIRA	Account is for non-fund prototype Individual Retirement Account (IRA) into an investment retirement account (required for US).
NFQP	NonFundQualifiedPlan	Account is for non-fund qualified plan into an investment retirement account (required for US).

CodeName	Name	Definition
DECP	DefinedContributionPlan	Account is for a defined contribution plan (required for US).
IRAC	IndividualRetirementAccount	Account is an Individual Retirement Account (IRA) (required for US).
IRAR	IndividualRetirementAccountRollover	Account is for an Individual Retirement Account (IRA) - Rollover (required for US).
KEOG	KEOGH	KEOGH. Account is a tax-deferred retirement plan designed to help self-employed workers or individuals who earn self-employed income establish a retirement savings program (required for US).
PFSP	ProfitSharingPlan	Account is a Profit Sharing Plan (required for US).
401K	FourHundredAndOneK	401k. Account is an optional retirement plan supported by many companies, in which income is invested before it is taxed (required for US).
SIRA	SelfDirectedIRA	Self-Directed Individual Retirement Account (IRA) (required for US).
403B	FourHundredAndThreeB	403(b). Account is a tax-deferred investment and savings program for employees of hospitals, educational institutions and other non-profit organisations, to save and invest in their own retirement. The name refers to the relevant section in the US Internal Revenue Code (required for US).
457X	FourHundredFiftySeven	457. Account is a non-qualified deferred compensation program that is available to state and local governments and not-for-profit employers (required for US).
RIRA	RothIRAFundPrototype	Roth Individual Retirement Account (IRA) Fund Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA. Retirement contributions are taxed upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up for a certain period of time (required for US).
RIAN	RothIRANonPrototype	Roth Individual Retirement Account (IRA) Non-Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA. Retirement contributions are taxed upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up for a certain period of time (required for US).
RCRF	RothConversionIRAFundPrototype	Roth Conversion Individual Retirement Account (IRA) Fund Prototype. Account is a retirement account established when

CodeName	Name	Definition
		a party switches from a regular IRA (required for US).
RCIP	RothConversionIRANonPrototype	Roth Conversion Individual Retirement Account (IRA) Non-Prototype. Account is a retirement account established when a party switches from a Regular IRA (required for US).
EIFP	EducationIRAFundPrototype	Education Individual Retirement Account Fund Prototype (also known as an Education Savings Account). Account is a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required for US).
EIOP	EducationIRANonPrototype	Education Individual Retirement Account Non-Prototype (also known as an Education Savings Account). Account is a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required in the US).

66.1.49.6.5.6.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Exemption reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.49.6.5.7 RecipientIdentification <RcptId>

Presence: [0..1]

Definition: Party that receives the tax. The recipient of, and the party entitled to, the tax may be two different parties.

RecipientIdentification <RcptId> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.49.6.5.8 TaxCalculationDetails <TaxClctnDtls>*Presence:* [0..1]*Definition:* Information used to calculate the tax.

TaxCalculationDetails <TaxClctnDtls> contains the following **TaxCalculationInformation10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Basis <Bsis>	[0..1]			2449
{Or	Code <Cd>	[1..1]	CodeSet		2449
Or}	Proprietary <Prtry>	[1..1]	±		2449
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2450

66.1.49.6.5.8.1 Basis <Bsis>*Presence:* [0..1]*Definition:* Form of the rebate, for example, cash.

Basis <Bsis> contains one of the following **TaxBasis1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2449
Or}	Proprietary <Prtry>	[1..1]	±		2449

66.1.49.6.5.8.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Tax basis expressed as a code.*Datatype:* "TaxationBasis2Code" on page 2645

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

66.1.49.6.5.8.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Tax basis expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.49.6.5.8.2 TaxableAmount <TaxblAmt>

Presence: [1..1]

Definition: Amount of money on which the tax is charged.

Impacted by: [C2 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.49.7 Tax31

Definition: Tax related to an investment fund order.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2451
{Or	Code <Cd>	[1..1]	CodeSet		2451
Or}	Proprietary <Prtry>	[1..1]	±		2453
	AppliedAmount <ApIdAmt>	[1..1]	Amount	C2, C10	2453
	AppliedRate <ApIdRate>	[0..1]	Rate		2453
	Country <Ctry>	[0..1]	CodeSet	C11	2453
	RecipientIdentification <RcptId>	[0..1]	±		2454
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2454
	Basis <Bsis>	[0..1]			2454
{Or	Code <Cd>	[1..1]	CodeSet		2454
Or}	Proprietary <Prtry>	[1..1]	±		2455
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2455

66.1.49.7.1 Type <Tp>

Presence: [1..1]

Definition: Type of tax.

Type <Tp> contains one of the following **TaxType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2451
Or}	Proprietary <Prtry>	[1..1]	±		2453

66.1.49.7.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of tax expressed as a code.

Datatype: "TaxType17Code" on page 2654

CodeName	Name	Definition
PROV	Provincial	Tax is a provincial tax.
NATI	NationalTax	Tax is a national tax.
STAT	StateTax	Tax is a state tax.
WITH	WithholdingTax	Tax is on income deducted at source, which the paying agent is legally obliged to deduct from its payments of interest on deposits and other proceeds of a financial instrument.
KAPA	CapitalGainTax	Tax is on a capital gain (realised and unrealised), that is, the profit that is

CodeName	Name	Definition
		gained from the sale of a financial instrument.
NKAP	CapitalLossCredit	Tax recovery is due to capital loss.
INPO	InterimProfitTax	Tax is on the sum of all earnings/ revenues accrued since the last dividend distribution.
STAM	StampDuty	Tax is on certain documents and transactions.
WTAX	WealthTax	Tax is a special tax imposed, applicable only when the value of assets or categories of assets owned by an entity are above a given ceiling defined by the tax authority. Wealth tax is not linked to income.
INHT	InheritanceTax	Tax that is payable at the time of death on any items (money or otherwise), where ownership changes either upon death or within a legally specified number of years before death.
SOSU	SolidaritySurcharge	Tax that is levied by legal authorities for solidarity purposes.
CTAX	TaxCredit	Direct reduction of an individual's tax liability.
GIFT	GiftTax	Tax that is levied on assets given to individuals prior to the death of the donor. Gift tax is designed to ensure the integrity of the inheritance tax, preventing the pre-death transfer of wealth.
COAX	ConsumptionTax	Tax that is levied on goods and services purchased by customers, and is added to the retail price.
EUTR	EUTaxRetention	Tax withheld at source in the framework of the European Directive on taxation of savings in the form of interest payments.
AKT1	Aktiengewinn1	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the old Investment Tax Act (Investmentsteuergesetz).
AKT2	Aktiengewinn2	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the new Investment Tax Act (Investmentsteuergesetz).
ZWIS	Zwischengewinn	Zwischengewinn.

66.1.49.7.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of tax expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.49.7.2 AppliedAmount <ApIdAmt>*Presence:* [1..1]*Definition:* Amount of money resulting from the calculation of the tax.*Impacted by:* [C2 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)*Datatype:* ["ActiveCurrencyAndAmount"](#) on page 2545**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.49.7.3 AppliedRate <ApIdRate>*Presence:* [0..1]*Definition:* Rate used to calculate the tax.*Datatype:* ["PercentageRate"](#) on page 2681**66.1.49.7.4 Country <Ctry>***Presence:* [0..1]*Definition:* Country where the tax is due.*Impacted by:* [C11 "Country"](#)*Datatype:* ["CountryCode"](#) on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.49.7.5 RecipientIdentification <RcptId>

Presence: [0..1]

Definition: Party that receives the tax. The recipient of, and the party entitled to, the tax may be two different parties.

RecipientIdentification <RcptId> contains the following elements (see "[PartyIdentification113](#)" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.49.7.6 TaxCalculationDetails <TaxClctnDtls>

Presence: [0..1]

Definition: Information used to calculate the tax.

TaxCalculationDetails <TaxClctnDtls> contains the following **TaxCalculationInformation10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Basis <Bsis>	[0..1]			2454
{Or	Code <Cd>	[1..1]	CodeSet		2454
Or}	Proprietary <Prtry>	[1..1]	±		2455
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2455

66.1.49.7.6.1 Basis <Bsis>

Presence: [0..1]

Definition: Form of the rebate, for example, cash.

Basis <Bsis> contains one of the following **TaxBasis1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2454
Or}	Proprietary <Prtry>	[1..1]	±		2455

66.1.49.7.6.1.1 Code <Cd>

Presence: [1..1]

Definition: Tax basis expressed as a code.

Datatype: "TaxationBasis2Code" on page 2645

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

66.1.49.7.6.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Tax basis expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.49.7.6.2 TaxableAmount <TaxblAmt>

Presence: [1..1]

Definition: Amount of money on which the tax is charged.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.49.8 Tax30

Definition: Tax related to an investment fund order.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2456
{Or	Code <Cd>	[1..1]	CodeSet		2456
Or}	Proprietary <Prtry>	[1..1]	±		2458
	Tax <Tax>	[0..1]			2458
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	2458
Or}	Rate <Rate>	[1..1]	Rate		2459
	Country <Ctry>	[0..1]	CodeSet	C11	2459
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2459
	ExemptionReason <XmptnRsn>	[0..1]			2459
{Or	Code <Cd>	[1..1]	CodeSet		2459
Or}	Proprietary <Prtry>	[1..1]	±		2462
	RecipientIdentification <RcptId>	[0..1]	±		2463
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2463
	Basis <Bsis>	[1..1]			2463
{Or	Code <Cd>	[1..1]	CodeSet		2463
Or}	Proprietary <Prtry>	[1..1]	±		2464

66.1.49.8.1 Type <Tp>

Presence: [1..1]

Definition: Type of tax.

Type <Tp> contains one of the following **TaxType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2456
Or}	Proprietary <Prtry>	[1..1]	±		2458

66.1.49.8.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of tax expressed as a code.

Datatype: "TaxType17Code" on page 2654

CodeName	Name	Definition
PROV	Provincial	Tax is a provincial tax.
NATI	NationalTax	Tax is a national tax.
STAT	StateTax	Tax is a state tax.

CodeName	Name	Definition
WITH	WithholdingTax	Tax is on income deducted at source, which the paying agent is legally obliged to deduct from its payments of interest on deposits and other proceeds of a financial instrument.
KAPA	CapitalGainTax	Tax is on a capital gain (realised and unrealised), that is, the profit that is gained from the sale of a financial instrument.
NKAP	CapitalLossCredit	Tax recovery is due to capital loss.
INPO	InterimProfitTax	Tax is on the sum of all earnings/ revenues accrued since the last dividend distribution.
STAM	StampDuty	Tax is on certain documents and transactions.
WTAX	WealthTax	Tax is a special tax imposed, applicable only when the value of assets or categories of assets owned by an entity are above a given ceiling defined by the tax authority. Wealth tax is not linked to income.
INHT	InheritanceTax	Tax that is payable at the time of death on any items (money or otherwise), where ownership changes either upon death or within a legally specified number of years before death.
SOSU	SolidaritySurcharge	Tax that is levied by legal authorities for solidarity purposes.
CTAX	TaxCredit	Direct reduction of an individual's tax liability.
GIFT	GiftTax	Tax that is levied on assets given to individuals prior to the death of the donor. Gift tax is designed to ensure the integrity of the inheritance tax, preventing the pre-death transfer of wealth.
COAX	ConsumptionTax	Tax that is levied on goods and services purchased by customers, and is added to the retail price.
EUTR	EUTaxRetention	Tax withheld at source in the framework of the European Directive on taxation of savings in the form of interest payments.
AKT1	Aktiengewinn1	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the old Investment Tax Act (Investmentsteuergesetz).
AKT2	Aktiengewinn2	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the

CodeName	Name	Definition
		opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the new Investment Tax Act (Investmentsteuergesetz).
ZWIS	Zwischengewinn	Zwischengewinn.

66.1.49.8.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of tax expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.49.8.2 Tax <Tax>

Presence: [0..1]

Definition: Tax to be applied.

Tax <Tax> contains one of the following **TaxAmountOrRate4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	2458
Or}	Rate <Rate>	[1..1]	Rate		2459

66.1.49.8.2.1 Amount <Amt>

Presence: [1..1]

Definition: Amount of money resulting from the calculation of the tax.

Impacted by: [C2 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.49.8.2.2 Rate <Rate>

Presence: [1..1]

Definition: Rate used to calculate the tax.

Datatype: "PercentageRate" on page 2681

66.1.49.8.3 Country <Ctry>

Presence: [0..1]

Definition: Country where the tax is due.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2567

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.1.49.8.4 ExemptionIndicator <XmptnInd>

Presence: [1..1]

Definition: Indicates whether a tax exemption applies.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.49.8.5 ExemptionReason <XmptnRsn>

Presence: [0..1]

Definition: Reason for the tax exemption.

ExemptionReason <XmptnRsn> contains one of the following **ExemptionReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2459
Or}	Proprietary <Prtry>	[1..1]	±		2462

66.1.49.8.5.1 Code <Cd>

Presence: [1..1]

Definition: Exemption reason expressed as a code.

Datatype: "TaxExemptReason1Code" on page 2647

CodeName	Name	Definition
NONE	None	Account is not tax exempt.
MASA	MaxiISA	Maxi Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). The Maxi ISA allows an investor to save all three of the different elements of ISA investments that are allowed, with one savings institution in a single account.
MISA	MiniCashISA	Mini Cash Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). An investor can hold more than one Mini ISA to utilise the overall Mini ISA investment limit, and can invest with more than one savings institution, however only one of the three elements of ISA investment is allowed in a single account.
SISA	MiniStocksAndSharesISA	Mini Stocks and Shares Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain stocks, shares and collective investments up to the prescribed annual subscription limit (required for UK).
IISA	MiniInsuranceISA	Mini Insurance Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain life insurance investments up to the prescribed annual subscription limit (required for UK).
CUYP	CurrentYearPayment	Account is for current year payments into an investment retirement account (required for US).
PRYP	PriorYearPayment	Account is for a prior year payment into an investment retirement account (required for US).
ASTR	AssetTransfer	Account is for an asset transfer into an investment retirement account (required for US).
EMPY	EmployeePriorYear	Account is for employee - prior year contributions into an investment retirement account (required for US).
EMCY	EmployeeCurrentYear	Account is for employee - current year contributions into an investment retirement account (required for US).

CodeName	Name	Definition
EPRY	EmployerPriorYear	Account is for employer - prior year contributions into an investment retirement account (required for US).
ECYE	EmployerCurrentYear	Account is for employer - current year contributions into an investment retirement account (required for US).
NFPI	NonFundPrototypeIRA	Account is for non-fund prototype Individual Retirement Account (IRA) into an investment retirement account (required for US).
NFQP	NonFundQualifiedPlan	Account is for non-fund qualified plan into an investment retirement account (required for US).
DECP	DefinedContributionPlan	Account is for a defined contribution plan (required for US).
IRAC	IndividualRetirementAccount	Account is an Individual Retirement Account (IRA) (required for US).
IRAR	IndividualRetirementAccountRollover	Account is for an Individual Retirement Account (IRA) - Rollover (required for US).
KEOG	KEOGH	KEOGH. Account is a tax-deferred retirement plan designed to help self-employed workers or individuals who earn self-employed income establish a retirement savings program (required for US).
PFSP	ProfitSharingPlan	Account is a Profit Sharing Plan (required for US).
401K	FourHundredAndOneK	401k. Account is an optional retirement plan supported by many companies, in which income is invested before it is taxed (required for US).
SIRA	SelfDirectedIRA	Self-Directed Individual Retirement Account (IRA) (required for US).
403B	FourHundredAndThreeB	403(b). Account is a tax-deferred investment and savings program for employees of hospitals, educational institutions and other non-profit organisations, to save and invest in their own retirement. The name refers to the relevant section in the US Internal Revenue Code (required for US).
457X	FourHundredFiftySeven	457. Account is a non-qualified deferred compensation program that is available to state and local governments and not-for-profit employers (required for US).
RIRA	RothIRAFundPrototype	Roth Individual Retirement Account (IRA) Fund Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA. Retirement contributions are taxed upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up

CodeName	Name	Definition
		for a certain period of time (required for US).
RIAN	RothIRANonPrototype	Roth Individual Retirement Account (IRA) Non-Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA. Retirement contributions are taxed upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up for a certain period of time (required for US).
RCRF	RothConversionIRAFundPrototype	Roth Conversion Individual Retirement Account (IRA) Fund Prototype. Account is a retirement account established when a party switches from a regular IRA (required for US).
RCIP	RothConversionIRANonPrototype	Roth Conversion Individual Retirement Account (IRA) Non-Prototype. Account is a retirement account established when a party switches from a Regular IRA (required for US).
EIFP	EducationIRAFundPrototype	Education Individual Retirement Account Fund Prototype (also known as an Education Savings Account). Account is a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required for US).
EIOP	EducationIRANonPrototype	Education Individual Retirement Account Non-Prototype (also known as an Education Savings Account). Account is a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required in the US).

66.1.49.8.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Exemption reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.49.8.6 RecipientIdentification <RcptId>*Presence:* [0..1]*Definition:* Party that receives the tax. The recipient of, and the party entitled to, the tax may be two different parties.**RecipientIdentification <RcptId>** contains the following elements (see "PartyIdentification113" on page 2026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2027
	LEI <LEI>	[0..1]	IdentifierSet		2027

66.1.49.8.7 TaxCalculationDetails <TaxClctnDtls>*Presence:* [0..1]*Definition:* Information used to calculate the tax.**TaxCalculationDetails <TaxClctnDtls>** contains the following **TaxCalculationInformation9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Basis <Bsis>	[1..1]			2463
{Or	Code <Cd>	[1..1]	CodeSet		2463
Or}	Proprietary <Prtry>	[1..1]	±		2464

66.1.49.8.7.1 Basis <Bsis>*Presence:* [1..1]*Definition:* Form of the rebate, for example, cash.**Basis <Bsis>** contains one of the following **TaxBasis1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2463
Or}	Proprietary <Prtry>	[1..1]	±		2464

66.1.49.8.7.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Tax basis expressed as a code.*Datatype:* "TaxationBasis2Code" on page 2645

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

66.1.49.8.7.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Tax basis expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.50 Transaction Type**66.1.50.1 TransactionType2Choice***Definition:* Choice of formats for the transaction type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2464
Or}	Proprietary <Prtry>	[1..1]	±		2465

66.1.50.1.1 Type <Tp>*Presence:* [1..1]*Definition:* Transaction type expressed as a code.*Datatype:* "[TransactionType2Code](#)" on page 2657

CodeName	Name	Definition
REDM	Redemption	Transaction is a redemption of an investment fund.
SUBS	Subscription	Transaction is a subscription to an investment fund.
SSPL	SubscriptionSavingsPlan	Transaction is a subscription for a savings plan, that is, money set aside by individuals in the framework of a structured plan for a special purpose, for example, retirement.
RWPL	RedemptionWithdrawingPlan	Transaction is a withdrawal by individuals in the framework of a structured plan for investments made in the past.
TRIN	TransferIn	Transaction is an incoming credit to an account on the shareholders register, and is not linked to a shift in investment (subscription or switch), but to account management.

CodeName	Name	Definition
TOUT	TransferOut	Transaction is a debit to an account on the shareholders register, and is not linked to a shift in investment (redemption or switch), but to account management.
SWII	SwitchIn	Transaction is a subscription leg of a switch order execution.
SWIO	SwitchOut	Transaction is a subscription leg of a switch order execution.
SUAA	SubscriptionAssetAllocation	Transaction is a subscription in an asset allocation plan that enables investors to allocate, by percentage a certain amount of cash into several sub-funds of a same umbrella structure.
REAA	RedemptionAssetAllocation	Transaction is a redemption in an asset allocation plan which enables investors to withdraw, by percentage a certain amount of cash from several sub-funds of a same umbrella structure.

66.1.50.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Transaction type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.50.2 TradeTransactionCondition8Choice

Definition: Choice of format for the trade transaction conditions.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2465
Or}	Proprietary <Prtry>	[1..1]	±		2466

66.1.50.2.1 Code <Cd>

Presence: [1..1]

Definition: Trade condition expressed as a code.

Datatype: "[TradeTransactionCondition5Code](#)" on page 2657

CodeName	Name	Definition
XCPN	ExCoupon	Indicates whether the trade is executed ex coupon.
CCPN	CumCoupon	Indicates whether the trade is executed cum coupon.

66.1.50.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Trade condition expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1505
	Issuer <Issr>	[1..1]	Text		1505
	SchemeName <SchmeNm>	[0..1]	Text		1505

66.1.50.3 SettlementTransactionCondition30Choice

Definition: Choice of format for the settlement transaction conditions.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2466
Or}	Proprietary <Prtry>	[1..1]	±		2466

66.1.50.3.1 Code <Cd>

Presence: [1..1]

Definition: Settlement condition expressed as a code.

Datatype: "SettlementTransactionCondition11Code" on page 2642

CodeName	Name	Definition
NOMC	NoAutomaticMarketClaim	No market claim should be automatically generated.

66.1.50.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Settlement condition expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1505
	Issuer <Issr>	[1..1]	Text		1505
	SchemeName <SchmeNm>	[0..1]	Text		1505

66.1.50.4 CorporateAction1Choice

Definition: Choice of formats for the corporate action event type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2467
Or}	Proprietary <Prtry>	[1..1]	±		2469

66.1.50.4.1 Type <Tp>

Presence: [1..1]

Definition: Corporate action event type expressed as a code.

Datatype: "[CorporateActionEventType1Code](#)" on page 2565

CodeName	Name	Definition
DRIP	DividendReinvestment	Event is a dividend payment type where cash dividend is rolled over into additional shares in the issuing company.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or Sicavs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
DVCA	CashDividend	Event is a distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. The shareholder must take cash and is not offered a choice in the form of distribution.
DVOP	DividendOption	Event is a distribution of a dividend to shareholders with the choice of payment method. The shareholder must choose the form of payment - stock, cash, or both.
DVSE	StockDividend	Event is a dividend paid to shareholders in the form of shares of stock in the issuing company or in another company. The shareholder must take stock and is not offered a choice in the form of distribution.

CodeName	Name	Definition
LIQU	Liquidation	Event is a liquidating dividend or liquidation that consists of a distribution of cash, assets, or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security. The security holder may be able to choose the form of liquidation distribution.
MRGR	Merger	Event is a mandatory or voluntary exchange of outstanding securities as the result of two or more companies combining assets. Cash payments may accompany share exchange.
NAME	NameChange	Event is a name change. The issuing company changes its name. The event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
SOFF	SpinOff	Event is a demerger or distribution or an unbundling. It is a distribution of subsidiary stock to the shareholders of the parent company without a surrender of shares. A spin-off represents a form of divestiture resulting in an independent company. Normally this is without cost to the parent issue shareholder.
SPLF	StockSplit	Event is a change in nominal value, a subdivision. It is an increase in a corporation's number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SPLR	ReverseStockSplit	Event is a change in nominal value, a consolidation. It is a decrease in number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
TEND	Tender	Event is an acquisition or take-over or offre publique de retrait (FR) or purchase offer or buy-back. It is an offer made to shareholders requesting them to sell (tender) their shares for a specified price usually at a premium over prevailing market price. Generally, the objective of a tender offer is to take control of the target company.
BONU	BonusIssue	Event is a bonus issue or scrip issue or capitalisation issue. Security holders are awarded additional assets free of payment from the issuer in proportion to their holding. A bonus issue is typically represented by shares, rights or

CodeName	Name	Definition
		warrants. Nominal value doesn't change. Holder may be offered choice of form.
RHDI	RightsDistribution	Event is the distribution of rights to shareholders, in proportion to their equity holding.
EXRI	CallOnRights	Event is a call or exercise on nil-paid securities or rights resulting from a rights distribution (RHDI). This is to be used for the second event in those cases where the rights issue is dealt with in two events, the first event being the rights distribution (RHDI).
CHAN	Change	Event is a generic change. For example, a change in the terms of an issue, a change in the identification of a security, change of a board lot, a change from global to definitive.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a financial instrument is restated, eg, the debt in a national currency is restated in euro.
EXOP	ExchangeOption	Event is an option for the shareholders to exchange their securities for other securities and/or cash. Exchange options are mentioned in the terms and conditions of a security and are valid during the whole lifetime of a security.
EXOF	ExchangeOffer	Event is an exchange offer or capital reorganisation. It is an offer to shareholders to exchange their holdings for other securities and/or cash. Exchange offers are usually voluntary involving the exchange of outstanding security for a different security or securities and/or cash.
DECR	DecreaseInValue	Event is a reduction of the share capital and face value of a single share. The number of the circulating shares remains unchanged. It may include a capital pay-out to shareholder.

66.1.50.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Corporate action event expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1506 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.51 Transfer

66.1.51.1 Transfer36

Definition: Parameters applied to the settlement of a security transfer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferReference <TrfRef>	[1..1]	Text		2471
	ClientReference <CIntRef>	[0..1]	±		2471
	CounterpartyReference <CtrPtyRef>	[0..1]	±		2471
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		2471
	RequestedTransferDate <ReqdTrfDt>	[0..1]	±		2472
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2472
	TransferOrderDateForm <TrfOrdDtForm>	[0..1]	Date		2472
	TransferReason <TrfRsn>	[0..1]	±		2472
	HoldingsPlanType <HldgsPlanTp>	[0..3]	CodeSet		2472
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C16	2473
	Quantity <Qty>	[1..1]	±		2473
	UnitsDetails <UnitsDtls>	[0..*]	±		2474
	Rounding <Rndg>	[0..1]	CodeSet		2474
	OriginalCost <OrgnlCost>	[0..1]	Amount	C2	2475
	AveragePrice <AvrgPric>	[0..1]	Amount	C3	2475
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C3	2475
	OwnAccountTransferIndicator <OwnAcctTrfInd>	[0..1]	Indicator		2476
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		2476
	TransferExpensesPaymentType <TrfExpnssPmtTp>	[0..1]	±		2476

Constraints

- **TotalUnitsNumberRule**

Quantity/TotalUnitsNumber must be the sum of all occurrences of UnitsDetails/UnitsNumber when UnitsDetails is present.

66.1.51.1.1 TransferReference <TrfRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the transfer instruction, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

66.1.51.1.2 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <ClntRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

66.1.51.1.3 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transfer allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

66.1.51.1.4 BusinessFlowType <BizFlowTp>

Presence: [0..1]

Definition: Identifies the business process in which the actors are involved. This is important to trigger the right business process, according to the market business model, which may require matching instructions in a CSD environment (double leg process) or not (single leg process).

Datatype: "BusinessFlowType1Code" on page 2556

CodeName	Name	Definition
SLDP	SingleLegDeliveringParty	Single leg process initiated by the delivering party.
SLRP	SingleLegReceivingParty	Single leg process initiated by the receiving party.
DLPR	DoubleLegProcess	Double leg process.

66.1.51.1.5 RequestedTransferDate <ReqdTrfDt>

Presence: [0..1]

Definition: Date for which the instructing party requests the transfer.

RequestedTransferDate <ReqdTrfDt> contains one of the following elements (see ["DateFormat1Choice"](#) on page 1289 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1289
Or	Code <Cd>	[1..1]	CodeSet		1289
Or}	DateTime <DtTm>	[1..1]	DateTime		1290

66.1.51.1.6 RequestedSettlementDate <ReqdSttlmDt>

Presence: [0..1]

Definition: Date and time at which the securities are to be exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

Datatype: ["ISODate"](#) on page 2668

66.1.51.1.7 TransferOrderDateForm <TrfOrdrDtForm>

Presence: [0..1]

Definition: Date on which the investor signed the transfer order form.

Datatype: ["ISODate"](#) on page 2668

66.1.51.1.8 TransferReason <TrfRsn>

Presence: [0..1]

Definition: Reason for the transfer.

TransferReason <TrfRsn> contains one of the following elements (see ["TransferReason1Choice"](#) on page 2534 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2534
Or}	Proprietary <Prtry>	[1..1]	±		2535

66.1.51.1.9 HoldingsPlanType <HldgsPlanTp>

Presence: [0..3]

Definition: Specifies information about investment plans included in the holding.

Datatype: "HoldingsPlanType1Code" on page 2586

CodeName	Name	Definition
INVP	InvestmentPlan	Holding to be transferred belongs to an investment plan.
SWIP	SwitchPlan	Holding to be transferred belongs to a switch plan.
PLAR	WithdrawalPlan	Holding to be transferred belongs to a withdrawal plan.

66.1.51.1.10 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Information related to the financial instrument to be transferred.

Impacted by: C16 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument88" on page 1420 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1420
	Name <Nm>	[0..1]	Text		1421
	ShortName <ShrtNm>	[0..1]	Text		1421
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1421
	ClassType <ClsTp>	[0..1]	Text		1422
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1422
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1422
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		1422

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

66.1.51.1.11 Quantity <Qty>

Presence: [1..1]

Definition: Total quantity of securities to be transferred, expressed as a number of units or a percentage rate.

Quantity <Qty> contains one of the following elements (see "Quantity42Choice" on page 1478 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TotalUnitsNumber <TtlUnitsNb>	[1..1]	Quantity		1479
Or}	TransferRate <TrfRate>	[1..1]	Rate		1479

66.1.51.1.12 UnitsDetails <UnitsDtls>

Presence: [0..*]

Definition: Breakdown of units to be transferred.

UnitsDetails <UnitsDtls> contains the following elements (see "Unit12" on page 1464 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1465
	OrderDate <OrdrDt>	[0..1]	Date		1465
	AcquisitionDate <AcqstnDt>	[0..1]	Date		1465
	CertificateNumber <CertNb>	[0..*]	Text		1466
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1466
	Reference <Ref>	[0..1]	Text		1466
	PriceDetails <PricDtls>	[0..1]	±		1466
	TransactionOverhead <TxOvrhd>	[0..1]		C18, C28	1467
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1467
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1467
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1468
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1468
	IndividualFee <IndvFee>	[0..*]	±	C6	1468
	IndividualTax <IndvTax>	[0..*]	±		1469
	OtherAmount <OthrAmt>	[0..*]			1470
	Type <Tp>	[1..1]			1470
{Or	Code <Cd>	[1..1]	CodeSet		1471
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1471
	Amount <Amt>	[1..1]	Amount	C2, C10	1471

66.1.51.1.13 Rounding <Rndg>

Presence: [0..1]

Definition: Rounding direction applied to nearest unit.

Datatype: "RoundingDirection2Code" on page 2635

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

66.1.51.1.14 OriginalCost <OrgnlCost>

Presence: [0..1]

Definition: Original amount paid for the asset at the point of purchase. May also be known as the book cost.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.51.1.15 AveragePrice <AvrgPric>

Presence: [0..1]

Definition: Value of the security, as booked in the account. Book value is often different from the current market value of the security.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.51.1.16 TransferCurrency <TrfCcy>

Presence: [0..1]

Definition: Currency to be used to transfer the holdings. Some transfer agents register holdings grouped by currency in addition to using the ISIN for multi-currency fund shares.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.51.1.17 OwnAccountTransferIndicator <OwnAcctTrfInd>

Presence: [0..1]

Definition: Indicates whether the transfer results in a change of beneficial owner.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.51.1.18 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for the fund.

Datatype: "[Max350Text](#)" on page 2683

66.1.51.1.19 TransferExpensesPaymentType <TrfExpnssPmtTp>

Presence: [0..1]

Definition: Specifies how the payment of fees and taxes as a result of the transfer is covered, that is, whether by cash or the redemption of units.

TransferExpensesPaymentType <TrfExpnssPmtTp> contains one of the following elements (see "[ChargePaymentMethod1Choice](#)" on page 1316 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1316
Or}	Proprietary <Prtry>	[1..1]	±		1316

66.1.51.2 FundSettlementParameters18

Definition: Parameters applied to the settlement of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2477
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2477
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2478
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2478
	ReceivingSideDetails <RcvgSdDtls>	[0..1]	±	C7, C11, C19, C20, C21, C22, C26	2478

66.1.51.2.1 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository or an International Central Securities Depository.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 2267 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			2267
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2268
	Identification <Id>	[0..1]	Text		2268
Or	Country <Ctry>	[1..1]	CodeSet	C11	2268
Or	TypeAndIdentification <TpAndId>	[1..1]			2268
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2269
	Identification <Id>	[1..1]	IdentifierSet	C1	2269
Or}	Proprietary <Prtry>	[1..1]	±		2269

66.1.51.2.2 TradeTransactionCondition <TradTxCond>

Presence: [0..*]

Definition: Condition under which the order/trade is to be/was executed. This may be required for settlement through T2S.

TradeTransactionCondition <TradTxCond> contains one of the following elements (see "TradeTransactionCondition8Choice" on page 2465 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2465
Or}	Proprietary <Prtry>	[1..1]	±		2466

66.1.51.2.3 SettlementTransactionCondition <SttlmTxCond>

Presence: [0..*]

Definition: Condition under which the order/trade is to be settled. This may be required for settlement through T2S.

SettlementTransactionCondition <SttlmTxCond> contains one of the following elements (see "SettlementTransactionCondition30Choice" on page 2466 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2466
Or}	Proprietary <Prtry>	[1..1]	±		2466

66.1.51.2.4 SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>

Presence: [0..1]

Definition: Identification of a specific system or set of rules and/or processes to be applied at the settlement place.

Datatype: "Max35Text" on page 2683

66.1.51.2.5 ReceivingSideDetails <RcvgSdDtls>

Presence: [0..1]

Definition: Chain of receiving settlement parties.

Impacted by: C7 "BookTransferGuideline", C11 "DepositoryGuideline", C19 "Party2PresenceRule", C20 "Party3PresenceRule", C21 "Party4PresenceRule", C22 "Party5PresenceRule", C26 "SettlementChainGuideline"

ReceivingSideDetails <RcvgSdDtls> contains the following elements (see "SettlementParties94" on page 2281 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]	±		2282
	Party1 <Pty1>	[0..1]	±		2283
	Party2 <Pty2>	[0..1]	±		2283
	Party3 <Pty3>	[0..1]	±		2284
	Party4 <Pty4>	[0..1]	±		2284
	Party5 <Pty5>	[0..1]	±		2285

Constraints

- **BookTransferGuideline**

In the case of an own account internal transfer, the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

- **DepositoryGuideline**

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC will be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

- **Party2PresenceRule**

If Party2 is present, then Party1 must be present.

```
On Condition
  /Party2 is present
Following Must be True
  /Party1 Must be present
```

- **Party3PresenceRule**

If Party3 is present, then Party2 must be present.

```
On Condition
  /Party3 is present
Following Must be True
  /Party2 Must be present
```

- **Party4PresenceRule**

If Party4 is present, then Party3 must be present.

```
On Condition
  /Party4 is present
Following Must be True
  /Party3 Must be present
```

- **Party5PresenceRule**

If Party5 is present, then Party4 must be present.

```
On Condition
  /Party5 is present
Following Must be True
  /Party4 Must be present
```

- **SettlementChainGuideline**

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

66.1.51.3 FundSettlementParameters17

Definition: Parameters applied to the settlement of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2480
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2481
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2481
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2481
	ReceivingSideDetails <RcvgSdDtls>	[0..1]	±	C7, C11, C19, C20, C21, C22, C26	2482
	DeliveringSideDetails <DlvrSdDtls>	[0..1]	±	C7, C11, C19, C20, C21, C22, C26	2483

66.1.51.3.1 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository or an International Central Securities Depository.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 2267 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			2267
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2268
	Identification <Id>	[0..1]	Text		2268
Or	Country <Ctry>	[1..1]	CodeSet	C11	2268
Or	TypeAndIdentification <TpAndId>	[1..1]			2268
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2269
	Identification <Id>	[1..1]	IdentifierSet	C1	2269
Or}	Proprietary <Prtry>	[1..1]	±		2269

66.1.51.3.2 TradeTransactionCondition <TradTxCond>

Presence: [0..*]

Definition: Condition under which the order/trade is to be/was executed. This may be required for settlement through T2S.

TradeTransactionCondition <TradTxCond> contains one of the following elements (see "TradeTransactionCondition8Choice" on page 2465 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2465
Or}	Proprietary <Prtry>	[1..1]	±		2466

66.1.51.3.3 SettlementTransactionCondition <SttlmTxCond>

Presence: [0..*]

Definition: Condition under which the order/trade is to be settled. This may be required for settlement through T2S.

SettlementTransactionCondition <SttlmTxCond> contains one of the following elements (see "SettlementTransactionCondition30Choice" on page 2466 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2466
Or}	Proprietary <Prtry>	[1..1]	±		2466

66.1.51.3.4 SecuritiesSettlementSystemIdentification <SciesSttlmSysId>

Presence: [0..1]

Definition: Identification of a specific system or set of rules and/or processes to be applied at the settlement place.

Datatype: "Max35Text" on page 2683

66.1.51.3.5 ReceivingSideDetails <RcvgSdDtIs>

Presence: [0..1]

Definition: Chain of receiving settlement parties.

Impacted by: C7 "BookTransferGuideline", C11 "DepositoryGuideline", C19 "Party2PresenceRule", C20 "Party3PresenceRule", C21 "Party4PresenceRule", C22 "Party5PresenceRule", C26 "SettlementChainGuideline"

ReceivingSideDetails <RcvgSdDtIs> contains the following elements (see "SettlementParties94" on page 2281 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]	±		2282
	Party1 <Pty1>	[0..1]	±		2283
	Party2 <Pty2>	[0..1]	±		2283
	Party3 <Pty3>	[0..1]	±		2284
	Party4 <Pty4>	[0..1]	±		2284
	Party5 <Pty5>	[0..1]	±		2285

Constraints

- **BookTransferGuideline**

In the case of an own account internal transfer, the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

- **DepositoryGuideline**

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC will be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

- **Party2PresenceRule**

If Party2 is present, then Party1 must be present.

On Condition
/Party2 is present

Following Must be True
 /Party1 Must be present

- **Party3PresenceRule**

If Party3 is present, then Party2 must be present.

On Condition
 /Party3 is present
 Following Must be True
 /Party2 Must be present

- **Party4PresenceRule**

If Party4 is present, then Party3 must be present.

On Condition
 /Party4 is present
 Following Must be True
 /Party3 Must be present

- **Party5PresenceRule**

If Party5 is present, then Party4 must be present.

On Condition
 /Party5 is present
 Following Must be True
 /Party4 Must be present

- **SettlementChainGuideline**

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

66.1.51.3.6 DeliveringSideDetails <DivrgSdDtls>

Presence: [0..1]

Definition: Chain of delivering settlement parties.

Impacted by: C7 "BookTransferGuideline", C11 "DepositoryGuideline", C19 "Party2PresenceRule", C20 "Party3PresenceRule", C21 "Party4PresenceRule", C22 "Party5PresenceRule", C26 "SettlementChainGuideline"

DeliveringSideDetails <DlvrgSdDtls> contains the following elements (see "[SettlementParties94](#)" on page 2281 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]	±		2282
	Party1 <Pty1>	[0..1]	±		2283
	Party2 <Pty2>	[0..1]	±		2283
	Party3 <Pty3>	[0..1]	±		2284
	Party4 <Pty4>	[0..1]	±		2284
	Party5 <Pty5>	[0..1]	±		2285

Constraints

- **BookTransferGuideline**

In the case of an own account internal transfer, the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

- **DepositoryGuideline**

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC will be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

- **Party2PresenceRule**

If Party2 is present, then Party1 must be present.

```
On Condition
  /Party2 is present
Following Must be True
  /Party1 Must be present
```

- **Party3PresenceRule**

If Party3 is present, then Party2 must be present.

```
On Condition
  /Party3 is present
Following Must be True
  /Party2 Must be present
```


- **Party4PresenceRule**

If Party4 is present, then Party3 must be present.

```
On Condition
  /Party4 is present
Following Must be True
  /Party3 Must be present
```

- **Party5PresenceRule**

If Party5 is present, then Party4 must be present.

```
On Condition
  /Party5 is present
Following Must be True
  /Party4 Must be present
```

- **SettlementChainGuideline**

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

66.1.51.4 FundSettlementParameters16

Definition: Parameters applied to the settlement of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2485
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2486
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2486
	ReceivingSideDetails <RcvgSdDtls>	[0..1]	±	C7, C11, C19, C20, C21, C22, C26	2486

66.1.51.4.1 TradeTransactionCondition <TradTxCond>

Presence: [0..*]

Definition: Condition under which the order/trade is to be/was executed. This may be required for settlement through T2S.

TradeTransactionCondition <TradTxCond> contains one of the following elements (see "TradeTransactionCondition8Choice" on page 2465 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2465
Or}	Proprietary <Prtry>	[1..1]	±		2466

66.1.51.4.2 SettlementTransactionCondition <SttlmTxCond>

Presence: [0..*]

Definition: Condition under which the order/trade is to be settled. This may be required for settlement through T2S.

SettlementTransactionCondition <SttlmTxCond> contains one of the following elements (see "SettlementTransactionCondition30Choice" on page 2466 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2466
Or}	Proprietary <Prtry>	[1..1]	±		2466

66.1.51.4.3 SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>

Presence: [0..1]

Definition: Identification of a specific system or set of rules and/or processes to be applied at the settlement place.

Datatype: "Max35Text" on page 2683

66.1.51.4.4 ReceivingSideDetails <RcvgSdDtls>

Presence: [0..1]

Definition: Chain of receiving settlement parties.

Impacted by: C7 "BookTransferGuideline", C11 "DepositoryGuideline", C19 "Party2PresenceRule", C20 "Party3PresenceRule", C21 "Party4PresenceRule", C22 "Party5PresenceRule", C26 "SettlementChainGuideline"

ReceivingSideDetails <RcvgSdDtls> contains the following elements (see "SettlementParties94" on page 2281 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]	±		2282
	Party1 <Pty1>	[0..1]	±		2283
	Party2 <Pty2>	[0..1]	±		2283
	Party3 <Pty3>	[0..1]	±		2284
	Party4 <Pty4>	[0..1]	±		2284
	Party5 <Pty5>	[0..1]	±		2285

Constraints

- **BookTransferGuideline**

In the case of an own account internal transfer, the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

- **DepositoryGuideline**

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC will be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

- **Party2PresenceRule**

If Party2 is present, then Party1 must be present.

```
On Condition
  /Party2 is present
Following Must be True
  /Party1 Must be present
```

- **Party3PresenceRule**

If Party3 is present, then Party2 must be present.

```
On Condition
  /Party3 is present
Following Must be True
  /Party2 Must be present
```

- **Party4PresenceRule**

If Party4 is present, then Party3 must be present.

```
On Condition
  /Party4 is present
Following Must be True
  /Party3 Must be present
```

- **Party5PresenceRule**

If Party5 is present, then Party4 must be present.

```
On Condition
  /Party5 is present
Following Must be True
  /Party4 Must be present
```

- **SettlementChainGuideline**

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

66.1.51.5 FundSettlementParameters15

Definition: Parameters applied to the settlement of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2488
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2488
	SecuritiesSettlementSystemIdentification <SciesSttlmSysId>	[0..1]	Text		2489
	DeliveringSideDetails <DlvrgSdDtls>	[0..1]	±	C7, C11, C19, C20, C21, C22, C26	2489

66.1.51.5.1 TradeTransactionCondition <TradTxCond>

Presence: [0..*]

Definition: Condition under which the order/trade is to be/was executed. This may be required for settlement through T2S.

TradeTransactionCondition <TradTxCond> contains one of the following elements (see "[TradeTransactionCondition8Choice](#)" on page 2465 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2465
Or}	Proprietary <Prtry>	[1..1]	±		2466

66.1.51.5.2 SettlementTransactionCondition <SttlmTxCond>

Presence: [0..*]

Definition: Condition under which the order/trade is to be settled. This may be required for settlement through T2S.

SettlementTransactionCondition <SttlmTxCond> contains one of the following elements (see "SettlementTransactionCondition30Choice" on page 2466 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2466
Or}	Proprietary <Prtry>	[1..1]	±		2466

66.1.51.5.3 SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>

Presence: [0..1]

Definition: Identification of a specific system or set of rules and/or processes to be applied at the settlement place.

Datatype: "Max35Text" on page 2683

66.1.51.5.4 DeliveringSideDetails <DlvrgSdDtls>

Presence: [0..1]

Definition: Chain of delivering settlement parties.

Impacted by: C7 "BookTransferGuideline", C11 "DepositoryGuideline", C19 "Party2PresenceRule", C20 "Party3PresenceRule", C21 "Party4PresenceRule", C22 "Party5PresenceRule", C26 "SettlementChainGuideline"

DeliveringSideDetails <DlvrgSdDtls> contains the following elements (see "SettlementParties94" on page 2281 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]	±		2282
	Party1 <Pty1>	[0..1]	±		2283
	Party2 <Pty2>	[0..1]	±		2283
	Party3 <Pty3>	[0..1]	±		2284
	Party4 <Pty4>	[0..1]	±		2284
	Party5 <Pty5>	[0..1]	±		2285

Constraints

- **BookTransferGuideline**

In the case of an own account internal transfer, the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

- **DepositoryGuideline**

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC will be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

- **Party2PresenceRule**

If Party2 is present, then Party1 must be present.

```
On Condition
  /Party2 is present
Following Must be True
  /Party1 Must be present
```

- **Party3PresenceRule**

If Party3 is present, then Party2 must be present.

```
On Condition
  /Party3 is present
Following Must be True
  /Party2 Must be present
```

- **Party4PresenceRule**

If Party4 is present, then Party3 must be present.

```
On Condition
  /Party4 is present
Following Must be True
  /Party3 Must be present
```

- **Party5PresenceRule**

If Party5 is present, then Party4 must be present.

```
On Condition
  /Party5 is present
Following Must be True
  /Party4 Must be present
```

- **SettlementChainGuideline**

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

66.1.51.6 TransferType2Choice

Definition: Choice of formats for a transfer type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2491
Or}	Proprietary <Prtry>	[1..1]	±		2491

Constraints

- **SECUGuideline**

The code SECU (Asset) is used when a financial instrument such as units of a fund are to be transferred.

The code SECU is also used when assets such as equities or bonds are to be transferred. The code SECU is also used for assets such as property, moveable property and other similar types of other asset.

66.1.51.6.1 Code <Cd>

Presence: [1..1]

Definition: Transfer type expressed as a code.

Datatype: "TransferType4Code" on page 2661

CodeName	Name	Definition
CASH	Cash	Transfer as cash.
CONV	Conversion	ISIN is converted to another ISIN and then transferred.
EXCL	Excluded	Asset excluded from transfer.
SECU	InSpecie	Transfer the security as an asset in specie, that is, 'in its actual form'.

66.1.51.6.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Transfer type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification36" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.51.7 DeliverInformation20

Definition: Parameters applied to the settlement of a security transfer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Transferor <Trfr>	[0..1]	±		2493
	TransferorRegisteredAccount <TrfrRegdAcct>	[0..1]	±		2493
	IntermediaryInformation <IntrmyInf>	[0..*]	±		2493
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		2494
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		2494
	IncomePreference <IncmPref>	[0..1]	CodeSet		2494
	BeneficiaryCertificationCompletion <BnfryCertfctnCmpltn>	[0..1]	CodeSet		2494
	RequestedTradeDate <ReqdTradDt>	[0..1]	Date		2495
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2495
	EffectiveSettlementDate <FctvSttlmDt>	[0..1]	±		2495
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	2495
	StampDuty <StrmpDty>	[0..1]	CodeSet		2495
	NetAmount <NetAmt>	[0..1]	Amount	C2, C10	2496
	Fees <Fees>	[0..*]	±	C15	2496
	IndividualTax <IndvTax>	[0..*]	±		2497
	ForeignExchangeDetails <FXDtls>	[0..*]	±		2498
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		2499
	PhysicalTransfer <PhysTrf>	[0..1]	CodeSet		2499
	PhysicalTransferDetails <PhysTrfDtls>	[0..1]	±	C17	2500
	ClientReference <ClntRef>	[0..1]	±		2500

Constraints

• PhysicalTransferDetails1Rule

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present.

On Condition

/PhysicalTransfer is equal to value 'Physical'

Following Must be True

/PhysicalTransferDetails Must be present

• PhysicalTransferDetails2Rule

If PhysicalTransfer is DEMA (Dematerialised), then PhysicalTransferDetails is not allowed.

On Condition

/PhysicalTransfer is equal to value 'Dematerialised'

Following Must be True

/PhysicalTransferDetails Must be absent

66.1.51.7.1 Transferor <Trfr>*Presence:* [0..1]*Definition:* Party that delivers (transferor) securities to the receiving agent (transferee).**Transferor <Trfr>** contains the following elements (see "[PartyIdentification139](#)" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

66.1.51.7.2 TransferorRegisteredAccount <TrfrRegdAcct>*Presence:* [0..1]*Definition:* Account from which the securities are to be delivered.**TransferorRegisteredAccount <TrfrRegdAcct>** contains the following elements (see "[Account31](#)" on page 1551 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1551
	AccountName <AcctNm>	[0..1]	Text		1551
	Designation <Dsgnt>	[0..1]	Text		1551
	Servicer <Svcr>	[0..1]	±		1551
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1552

66.1.51.7.3 IntermediaryInformation <IntrmyInf>*Presence:* [0..*]*Definition:* Identification of a related party or intermediary.**IntermediaryInformation <IntrmyInf>** contains the following elements (see "[Intermediary43](#)" on page 2012 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2012
	Account <Acct>	[0..1]			2013
	Identification <Id>	[0..1]	Text		2013
	AccountServicer <AcctSvcr>	[1..1]	±		2013
	Role <Role>	[0..1]	±		2013
	ContactPerson <CtctPrsn>	[0..1]	±		2014

66.1.51.7.4 SecuritiesForm <SctiesForm>*Presence:* [0..1]*Definition:* Form, that is, ownership, of the security, that is, registered or bearer.*Datatype:* "FormOfSecurity1Code" on page 2580

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

66.1.51.7.5 DematerialisedIndicator <DmtrlsdInd>*Presence:* [0..1]*Definition:* Indicates whether a security exists only as an electronic record, that is, there is no physical document representing the security.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.51.7.6 IncomePreference <IncmPref>*Presence:* [0..1]*Definition:* Dividend option chosen by the account owner based on the options offered in the prospectus.*Datatype:* "IncomePreference2Code" on page 2586

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
SECU	Securities	Distribution in securities.

66.1.51.7.7 BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>*Presence:* [0..1]*Definition:* Beneficial owner or its designated agent certifies that it complies with any holding or investment restrictions or requirements of the fund.*Datatype:* "BeneficiaryCertificationCompletion1Code" on page 2554

CodeName	Name	Definition
NCER	CertificationNotRequired	Certification is not required for this fund.
ELEC	ElectronicCertification	Electronic certification is acceptable.
PHYS	PhysicalCertification	Electronic certification is not acceptable and is required in physical form.

66.1.51.7.8 RequestedTradeDate <ReqdTradDt>*Presence:* [0..1]*Definition:* Date used as the trade date when securities are settled through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).*Datatype:* "ISODate" on page 2668**66.1.51.7.9 RequestedSettlementDate <ReqdSttlmDt>***Presence:* [0..1]*Definition:* Date and time at which the securities are to be exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).*Datatype:* "ISODate" on page 2668**66.1.51.7.10 EffectiveSettlementDate <FctvSttlmDt>***Presence:* [0..1]*Definition:* Date and time at which the securities were exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).**EffectiveSettlementDate <FctvSttlmDt>** contains one of the following elements (see "DateAndDateTime2Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1377
Or}	DateTime <DtTm>	[1..1]	DateTime		1377

66.1.51.7.11 SettlementAmount <SttlmAmt>*Presence:* [0..1]*Definition:* Total amount of money paid/to be paid or received in exchange for the financial instrument.*Impacted by:* C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.51.7.12 StampDuty <StmpDty>*Presence:* [0..1]

Definition: Specifies if the settlement amount includes the stamp duty amount.

Datatype: "StampDutyType2Code" on page 2643

CodeName	Name	Definition
ASTD	Applicable	Stamps duty is applicable.
SDRN	NotApplicable	Stamp duty not applicable.

66.1.51.7.13 NetAmount <NetAmt>

Presence: [0..1]

Definition: Deal amount.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.51.7.14 Fees <Fees>

Presence: [0..*]

Definition: Fee related to the transfer of the financial instrument.

Impacted by: C15 "FeeTaxElementRule"

Fees <Fees> contains the following elements (see "Fees2" on page 1326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1327
	IndividualFee <IndvFee>	[0..*]			1327
	Type <Tp>	[1..1]			1328
{Or	Code <Cd>	[1..1]	CodeSet		1328
Or}	Proprietary <Prtry>	[1..1]	±		1329
	Basis <Bsis>	[0..1]			1329
{Or	Code <Cd>	[1..1]	CodeSet		1329
Or}	Proprietary <Prtry>	[1..1]	±		1330
	Amount <Amt>	[1..1]	Amount	C2, C10	1330
	DiscountDetails <DscntDtls>	[0..1]		C13	1330
	Amount <Amt>	[0..1]	Amount	C2, C10	1331
	Rate <Rate>	[0..1]	Rate		1331
	Basis <Bsis>	[0..1]			1331
{Or	Code <Cd>	[1..1]	CodeSet		1332
Or}	Proprietary <Prtry>	[1..1]	±		1332
	ChargeBearer <ChrgBr>	[0..1]	CodeSet		1332
	RecipientIdentification <RcptId>	[0..1]	±		1333

Constraints

- **FeeTaxElementRule**

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

66.1.51.7.15 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Tax related to the transfer of a financial instrument.

IndividualTax <IndvTax> contains the following elements (see "Tax38" on page 2415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2416
{Or	Code <Cd>	[1..1]	CodeSet		2416
Or}	Proprietary <Prtry>	[1..1]	±		2417
	Basis <Bsis>	[0..1]			2418
{Or	Code <Cd>	[1..1]	CodeSet		2418
Or}	Proprietary <Prtry>	[1..1]	±		2418
	Amount <Amt>	[1..1]	Amount	C2, C10	2418
	Country <Ctry>	[0..1]	CodeSet	C11	2419
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2419
	ExemptionReason <XmptnRsn>	[0..1]			2419
{Or	Code <Cd>	[1..1]	CodeSet		2419
Or}	Proprietary <Prtry>	[1..1]	±		2422
	RecipientIdentification <RcptId>	[0..1]	±		2422
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2423
	Basis <Bsis>	[0..1]			2423
{Or	Code <Cd>	[1..1]	CodeSet		2423
Or}	Proprietary <Prtry>	[1..1]	±		2423
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2424

66.1.51.7.16 ForeignExchangeDetails <FXDtls>

Presence: [0..*]

Definition: Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms37](#)" on page 1480 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ToAmount <ToAmt>	[0..1]	Amount	C2, C10	1481
	FromAmount <FrAmt>	[0..1]	Amount	C2, C10	1481
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1482
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1482
	ExchangeRate <XchgRate>	[1..1]	Rate		1482
	QuotationDate <QtnDt>	[0..1]	DateTime		1483
	QuotingInstitution <QtgInstn>	[0..1]	±		1483

66.1.51.7.17 SettlementPartiesDetails <SttlmPtiesDtIs>

Presence: [0..1]

Definition: Chain of parties involved in the settlement of the transaction.

SettlementPartiesDetails <SttlmPtiesDtIs> contains the following elements (see "[FundSettlementParameters15](#)" on page 2488 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2488
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2488
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2489
	DeliveringSideDetails <DlvrgSdDtIs>	[0..1]	±	C7, C11, C19, C20, C21, C22, C26	2489

66.1.51.7.18 PhysicalTransfer <PhysTrf>

Presence: [0..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: "PhysicalTransferType1Code" on page 2618

CodeName	Name	Definition
DEMT	Dematerialised	Dematerialised transfer.
PHYS	Physical	Physical transfer.

66.1.51.7.19 PhysicalTransferDetails <PhysTrfDtls>*Presence:* [0..1]*Definition:* Parameters of a physical delivery.*Impacted by:* C17 "NameAndAddress1Rule"**PhysicalTransferDetails <PhysTrfDtls>** contains the following elements (see "[DeliveryParameters4](#)" on page 2201 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegisteredAddressIndicator <RegdAdrlnd>	[1..1]	Indicator		2202
	NameAndAddress <NmAndAdr>	[0..1]	±		2202
	ContactPerson <CtctPrsn>	[0..1]	±		2202

Constraints

- NameAndAddress1Rule**

If RegisteredAddressIndicator is "true" or "1" (Yes), then NameAndAddress is not allowed.

If RegisteredAddressIndicator is "false" or "0" (No), then NameAndAddress must be present.

66.1.51.7.20 ClientReference <ClntRef>*Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.**ClientReference <ClntRef>** contains the following elements (see "[AdditionalReference10](#)" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

66.1.51.8 DeliverInformation21*Definition:* Parameters applied to the settlement of a security transfer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Transferor <Trfr>	[0..1]	±		2501
	TransferorRegisteredAccount <TrfrRegdAcct>	[0..1]	±		2502
	IntermediaryInformation <IntrmyInf>	[0..*]	±		2502
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		2502
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		2503
	IncomePreference <IncmPref>	[0..1]	CodeSet		2503
	BeneficiaryCertificationCompletion <BnfcrtyCertfctnCmpltn>	[0..1]	CodeSet		2503
	RequestedTradeDate <ReqdTradDt>	[0..1]	Date		2503
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2504
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	2504
	StampDuty <StmpDty>	[0..1]	CodeSet		2504
	NetAmount <NetAmt>	[0..1]	Amount	C2, C10	2504
	Fees <Fees>	[0..*]	±	C15	2505
	IndividualTax <IndvTax>	[0..*]	±		2506
	ForeignExchangeDetails <FXDtls>	[0..*]	±		2506
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		2507
	PhysicalTransfer <PhysTrf>	[0..1]	CodeSet		2508
	PhysicalTransferDetails <PhysTrfDtls>	[0..1]	±	C17	2508
	ClientReference <ClntRef>	[0..1]	±		2508

Constraints

- **PhysicalTransferDetails1Rule**

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present.

On Condition

/PhysicalTransfer is equal to value 'Physical'

Following Must be True

/PhysicalTransferDetails Must be present

- **PhysicalTransferDetails2Rule**

If PhysicalTransfer is DEMA (Dematerialised), then PhysicalTransferDetails is not allowed.

On Condition

/PhysicalTransfer is equal to value 'Dematerialised'

Following Must be True

/PhysicalTransferDetails Must be absent

66.1.51.8.1 Transferor <Trfr>

Presence: [0..1]

Definition: Party that delivers (transferor) securities to the receiving agent (transferee).

Transferor <Trfr> contains the following elements (see "[PartyIdentification139](#)" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

66.1.51.8.2 TransferorRegisteredAccount <TrfrRegdAcct>

Presence: [0..1]

Definition: Account from which the securities are to be delivered.

TransferorRegisteredAccount <TrfrRegdAcct> contains the following elements (see "[Account31](#)" on page 1551 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1551
	AccountName <AcctNm>	[0..1]	Text		1551
	Designation <Dsgnt>	[0..1]	Text		1551
	Servicer <Svcr>	[0..1]	±		1551
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1552

66.1.51.8.3 IntermediaryInformation <IntrmyInf>

Presence: [0..*]

Definition: Identification of a related party or intermediary.

IntermediaryInformation <IntrmyInf> contains the following elements (see "[Intermediary43](#)" on page 2012 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2012
	Account <Acct>	[0..1]			2013
	Identification <Id>	[0..1]	Text		2013
	AccountServicer <AcctSvcr>	[1..1]	±		2013
	Role <Role>	[0..1]	±		2013
	ContactPerson <CtctPrsn>	[0..1]	±		2014

66.1.51.8.4 SecuritiesForm <SctiesForm>

Presence: [0..1]

Definition: Form, that is, ownership, of the security, that is, registered or bearer.

Datatype: "[FormOfSecurity1Code](#)" on page 2580

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

66.1.51.8.5 DematerialisedIndicator <DmtrlsdInd>

Presence: [0..1]

Definition: Indicates whether a security exists only as an electronic record, that is, there is no physical document representing the security.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.51.8.6 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference2Code" on page 2586

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
SECU	Securities	Distribution in securities.

66.1.51.8.7 BeneficiaryCertificationCompletion <BnfcrtyCertfctnCmpltn>

Presence: [0..1]

Definition: Beneficial owner or its designated agent certifies that it complies with any holding or investment restrictions or requirements of the fund.

Datatype: "BeneficiaryCertificationCompletion1Code" on page 2554

CodeName	Name	Definition
NCER	CertificationNotRequired	Certification is not required for this fund.
ELEC	ElectronicCertification	Electronic certification is acceptable.
PHYS	PhysicalCertification	Electronic certification is not acceptable and is required in physical form.

66.1.51.8.8 RequestedTradeDate <ReqdTradDt>

Presence: [0..1]

Definition: Date to be used as the trade date when securities are settled through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

Datatype: "ISODate" on page 2668

66.1.51.8.9 RequestedSettlementDate <ReqdSttlmDt>*Presence:* [0..1]*Definition:* Date and time at which the securities are to be exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).*Datatype:* "ISODate" on page 2668**66.1.51.8.10 SettlementAmount <SttlmAmt>***Presence:* [0..1]*Definition:* Total amount of money paid/to be paid or received in exchange for the financial instrument.*Impacted by:* C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.51.8.11 StampDuty <StmpDty>*Presence:* [0..1]*Definition:* Specifies if the settlement amount includes the stamp duty amount.*Datatype:* "StampDutyType2Code" on page 2643

CodeName	Name	Definition
ASTD	Applicable	Stamps duty is applicable.
SDRN	NotApplicable	Stamp duty not applicable.

66.1.51.8.12 NetAmount <NetAmt>*Presence:* [0..1]*Definition:* Deal amount.*Impacted by:* C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.51.8.13 Fees <Fees>

Presence: [0..*]

Definition: Fee related to the transfer of the financial instrument.

Impacted by: C15 "FeeTaxElementRule"

Fees <Fees> contains the following elements (see "Fees2" on page 1326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1327
	IndividualFee <IndvFee>	[0..*]			1327
	Type <Tp>	[1..1]			1328
{Or	Code <Cd>	[1..1]	CodeSet		1328
Or}	Proprietary <Prtry>	[1..1]	±		1329
	Basis <Bsis>	[0..1]			1329
{Or	Code <Cd>	[1..1]	CodeSet		1329
Or}	Proprietary <Prtry>	[1..1]	±		1330
	Amount <Amt>	[1..1]	Amount	C2, C10	1330
	DiscountDetails <DscntDtls>	[0..1]		C13	1330
	Amount <Amt>	[0..1]	Amount	C2, C10	1331
	Rate <Rate>	[0..1]	Rate		1331
	Basis <Bsis>	[0..1]			1331
{Or	Code <Cd>	[1..1]	CodeSet		1332
Or}	Proprietary <Prtry>	[1..1]	±		1332
	ChargeBearer <ChrgBr>	[0..1]	CodeSet		1332
	RecipientIdentification <RcptId>	[0..1]	±		1333

Constraints

- **FeeTaxElementRule**

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

66.1.51.8.14 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Tax related to the transfer of the financial instrument.

IndividualTax <IndvTax> contains the following elements (see "Tax38" on page 2415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2416
{Or	Code <Cd>	[1..1]	CodeSet		2416
Or}	Proprietary <Prtry>	[1..1]	±		2417
	Basis <Bsis>	[0..1]			2418
{Or	Code <Cd>	[1..1]	CodeSet		2418
Or}	Proprietary <Prtry>	[1..1]	±		2418
	Amount <Amt>	[1..1]	Amount	C2, C10	2418
	Country <Ctry>	[0..1]	CodeSet	C11	2419
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2419
	ExemptionReason <XmptnRsn>	[0..1]			2419
{Or	Code <Cd>	[1..1]	CodeSet		2419
Or}	Proprietary <Prtry>	[1..1]	±		2422
	RecipientIdentification <RcptId>	[0..1]	±		2422
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2423
	Basis <Bsis>	[0..1]			2423
{Or	Code <Cd>	[1..1]	CodeSet		2423
Or}	Proprietary <Prtry>	[1..1]	±		2423
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2424

66.1.51.8.15 ForeignExchangeDetails <FXDtls>

Presence: [0..*]

Definition: Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtls> contains the following elements (see "[ForeignExchangeTerms37](#)" on page 1480 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ToAmount <ToAmt>	[0..1]	Amount	C2, C10	1481
	FromAmount <FrAmt>	[0..1]	Amount	C2, C10	1481
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1482
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1482
	ExchangeRate <XchgRate>	[1..1]	Rate		1482
	QuotationDate <QtnDt>	[0..1]	DateTime		1483
	QuotingInstitution <QtgInstn>	[0..1]	±		1483

66.1.51.8.16 SettlementPartiesDetails <SttlmPtiesDtls>

Presence: [0..1]

Definition: Chain of parties involved in the settlement of a transaction.

SettlementPartiesDetails <SttlmPtiesDtls> contains the following elements (see "[FundSettlementParameters15](#)" on page 2488 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2488
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2488
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2489
	DeliveringSideDetails <DlvrgSdDtls>	[0..1]	±	C7, C11, C19, C20, C21, C22, C26	2489

66.1.51.8.17 PhysicalTransfer <PhysTrf>*Presence:* [0..1]*Definition:* Indicates whether the financial instrument is to be physically delivered.*Datatype:* "PhysicalTransferType1Code" on page 2618

CodeName	Name	Definition
DEMT	Dematerialised	Dematerialised transfer.
PHYS	Physical	Physical transfer.

66.1.51.8.18 PhysicalTransferDetails <PhysTrfDtls>*Presence:* [0..1]*Definition:* Parameters of a physical delivery.*Impacted by:* C17 "NameAndAddress1Rule"

PhysicalTransferDetails <PhysTrfDtls> contains the following elements (see "[DeliveryParameters4](#)" on page 2201 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegisteredAddressIndicator <RegdAdrlnd>	[1..1]	Indicator		2202
	NameAndAddress <NmAndAdr>	[0..1]	±		2202
	ContactPerson <CtctPrsn>	[0..1]	±		2202

Constraints

- NameAndAddress1Rule**

If RegisteredAddressIndicator is "true" or "1" (Yes), then NameAndAddress is not allowed.

If RegisteredAddressIndicator is "false" or "0" (No), then NameAndAddress must be present.

66.1.51.8.19 ClientReference <ClntRef>*Presence:* [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <ClntRef> contains the following elements (see "[AdditionalReference10](#)" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

66.1.51.9 ReceiveInformation20

Definition: Parameters applied to the settlement of a security transfer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Transferee <Trfee>	[0..1]	±		2510
	TransfereeRegisteredAccount <TrfeeRegdAcct>	[0..1]	±		2510
	IntermediaryInformation <IntrmyInf>	[0..*]	±		2510
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		2511
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		2511
	IncomePreference <IncmPref>	[0..1]	CodeSet		2511
	BeneficiaryCertificationCompletion <BnfryCertfctnCmpltn>	[0..1]	CodeSet		2511
	RequestedTradeDate <ReqdTradDt>	[0..1]	Date		2512
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2512
	EffectiveSettlementDate <FctvSttlmDt>	[0..1]	±		2512
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	2512
	StampDuty <StrmpDty>	[0..1]	CodeSet		2512
	NetAmount <NetAmt>	[0..1]	Amount	C2, C10	2513
	Fees <Fees>	[0..*]	±	C15	2513
	IndividualTax <IndvTax>	[0..*]	±		2514
	ForeignExchangeDetails <FXDtls>	[0..*]	±		2515
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		2516
	PhysicalTransfer <PhysTrf>	[0..1]	CodeSet		2516
	PhysicalTransferDetails <PhysTrfDtls>	[0..1]	±	C17	2517
	ClientReference <ClntRef>	[0..1]	±		2517

Constraints

• PhysicalTransferDetails1Rule

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present.

On Condition

/PhysicalTransfer is equal to value 'Physical'

Following Must be True

/PhysicalTransferDetails Must be present

• PhysicalTransferDetails2Rule

If PhysicalTransfer is DEMA (Dematerialised), then PhysicalTransferDetails is not allowed.

On Condition

/PhysicalTransfer is equal to value 'Dematerialised'

Following Must be True

/PhysicalTransferDetails Must be absent

66.1.51.9.1 Transferee <Trfee>*Presence:* [0..1]*Definition:* Party that receives (transferee) securities from the delivering agent (transferor).**Transferee <Trfee>** contains the following elements (see "[PartyIdentification139](#)" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

66.1.51.9.2 TransfereeRegisteredAccount <TrfeeRegdAcct>*Presence:* [0..1]*Definition:* Account into which the securities are to be received.**TransfereeRegisteredAccount <TrfeeRegdAcct>** contains the following elements (see "[Account31](#)" on page 1551 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1551
	AccountName <AcctNm>	[0..1]	Text		1551
	Designation <Dsgnt>	[0..1]	Text		1551
	Servicer <Svcr>	[0..1]	±		1551
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1552

66.1.51.9.3 IntermediaryInformation <IntrmyInf>*Presence:* [0..*]*Definition:* Identification of a related party or intermediary.**IntermediaryInformation <IntrmyInf>** contains the following elements (see "[Intermediary43](#)" on page 2012 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2012
	Account <Acct>	[0..1]			2013
	Identification <Id>	[0..1]	Text		2013
	AccountServicer <AcctSvcr>	[1..1]	±		2013
	Role <Role>	[0..1]	±		2013
	ContactPerson <CtctPrsn>	[0..1]	±		2014

66.1.51.9.4 SecuritiesForm <SctiesForm>*Presence:* [0..1]*Definition:* Form, that is, ownership, of the security, that is, registered or bearer.*Datatype:* "FormOfSecurity1Code" on page 2580

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

66.1.51.9.5 DematerialisedIndicator <DmtrlsdInd>*Presence:* [0..1]*Definition:* Indicates whether a security exists only as an electronic record, that is, there is no physical document representing the security.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.51.9.6 IncomePreference <IncmPref>*Presence:* [0..1]*Definition:* Dividend option chosen by the account owner based on the options offered in the prospectus.*Datatype:* "IncomePreference2Code" on page 2586

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
SECU	Securities	Distribution in securities.

66.1.51.9.7 BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>*Presence:* [0..1]*Definition:* Beneficial owner or its designated agent certifies that it complies with any holding or investment restrictions or requirements of the fund.*Datatype:* "BeneficiaryCertificationCompletion1Code" on page 2554

CodeName	Name	Definition
NCER	CertificationNotRequired	Certification is not required for this fund.
ELEC	ElectronicCertification	Electronic certification is acceptable.
PHYS	PhysicalCertification	Electronic certification is not acceptable and is required in physical form.

66.1.51.9.8 RequestedTradeDate <ReqdTradDt>*Presence:* [0..1]*Definition:* Date used as the trade date when securities are settled through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).*Datatype:* "ISODate" on page 2668**66.1.51.9.9 RequestedSettlementDate <ReqdSttlmDt>***Presence:* [0..1]*Definition:* Date and time at which the securities are to be exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).*Datatype:* "ISODate" on page 2668**66.1.51.9.10 EffectiveSettlementDate <FctvSttlmDt>***Presence:* [0..1]*Definition:* Date and time at which the securities were exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).**EffectiveSettlementDate <FctvSttlmDt>** contains one of the following elements (see "DateAndDateTime2Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1377
Or}	DateTime <DtTm>	[1..1]	DateTime		1377

66.1.51.9.11 SettlementAmount <SttlmAmt>*Presence:* [0..1]*Definition:* Total amount of money paid /to be paid or received in exchange for the financial instrument.*Impacted by:* C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2545**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.51.9.12 StampDuty <StmpDty>*Presence:* [0..1]

Definition: Specifies if whether the settlement amount includes the stamp duty amount.

Datatype: "StampDutyType2Code" on page 2643

CodeName	Name	Definition
ASTD	Applicable	Stamps duty is applicable.
SDRN	NotApplicable	Stamp duty not applicable.

66.1.51.9.13 NetAmount <NetAmt>

Presence: [0..1]

Definition: Deal amount.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.51.9.14 Fees <Fees>

Presence: [0..*]

Definition: Fee related to the transfer of the financial instrument.

Impacted by: C15 "FeeTaxElementRule"

Fees <Fees> contains the following elements (see "Fees2" on page 1326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1327
	IndividualFee <IndvFee>	[0..*]			1327
	Type <Tp>	[1..1]			1328
{Or	Code <Cd>	[1..1]	CodeSet		1328
Or}	Proprietary <Prtry>	[1..1]	±		1329
	Basis <Bsis>	[0..1]			1329
{Or	Code <Cd>	[1..1]	CodeSet		1329
Or}	Proprietary <Prtry>	[1..1]	±		1330
	Amount <Amt>	[1..1]	Amount	C2, C10	1330
	DiscountDetails <DscntDtls>	[0..1]		C13	1330
	Amount <Amt>	[0..1]	Amount	C2, C10	1331
	Rate <Rate>	[0..1]	Rate		1331
	Basis <Bsis>	[0..1]			1331
{Or	Code <Cd>	[1..1]	CodeSet		1332
Or}	Proprietary <Prtry>	[1..1]	±		1332
	ChargeBearer <ChrgBr>	[0..1]	CodeSet		1332
	RecipientIdentification <RcptId>	[0..1]	±		1333

Constraints

- **FeeTaxElementRule**

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

66.1.51.9.15 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Tax related to the transfer of the financial instrument.

IndividualTax <IndvTax> contains the following elements (see "Tax38" on page 2415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2416
{Or	Code <Cd>	[1..1]	CodeSet		2416
Or}	Proprietary <Prtry>	[1..1]	±		2417
	Basis <Bsis>	[0..1]			2418
{Or	Code <Cd>	[1..1]	CodeSet		2418
Or}	Proprietary <Prtry>	[1..1]	±		2418
	Amount <Amt>	[1..1]	Amount	C2, C10	2418
	Country <Ctry>	[0..1]	CodeSet	C11	2419
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2419
	ExemptionReason <XmptnRsn>	[0..1]			2419
{Or	Code <Cd>	[1..1]	CodeSet		2419
Or}	Proprietary <Prtry>	[1..1]	±		2422
	RecipientIdentification <RcptId>	[0..1]	±		2422
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2423
	Basis <Bsis>	[0..1]			2423
{Or	Code <Cd>	[1..1]	CodeSet		2423
Or}	Proprietary <Prtry>	[1..1]	±		2423
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2424

66.1.51.9.16 ForeignExchangeDetails <FXDtls>

Presence: [0..*]

Definition: Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms37](#)" on page 1480 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ToAmount <ToAmt>	[0..1]	Amount	C2, C10	1481
	FromAmount <FrAmt>	[0..1]	Amount	C2, C10	1481
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1482
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1482
	ExchangeRate <XchgRate>	[1..1]	Rate		1482
	QuotationDate <QtnDt>	[0..1]	DateTime		1483
	QuotingInstitution <QtgInstn>	[0..1]	±		1483

66.1.51.9.17 SettlementPartiesDetails <SttlmPtiesDtIs>

Presence: [0..1]

Definition: Chain of parties involved in the settlement of the transaction.

SettlementPartiesDetails <SttlmPtiesDtIs> contains the following elements (see "[FundSettlementParameters16](#)" on page 2485 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2485
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2486
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2486
	ReceivingSideDetails <RcvgSdDtIs>	[0..1]	±	C7, C11, C19, C20, C21, C22, C26	2486

66.1.51.9.18 PhysicalTransfer <PhysTrf>

Presence: [0..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: "PhysicalTransferType1Code" on page 2618

CodeName	Name	Definition
DEMT	Dematerialised	Dematerialised transfer.
PHYS	Physical	Physical transfer.

66.1.51.9.19 PhysicalTransferDetails <PhysTrfDtls>*Presence:* [0..1]*Definition:* Parameters of a physical delivery.*Impacted by:* C17 "NameAndAddress1Rule"**PhysicalTransferDetails <PhysTrfDtls>** contains the following elements (see "[DeliveryParameters4](#)" on page 2201 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegisteredAddressIndicator <RegdAdrlnd>	[1..1]	Indicator		2202
	NameAndAddress <NmAndAdr>	[0..1]	±		2202
	ContactPerson <CtctPrsn>	[0..1]	±		2202

Constraints

- NameAndAddress1Rule**

If RegisteredAddressIndicator is "true" or "1" (Yes), then NameAndAddress is not allowed.

If RegisteredAddressIndicator is "false" or "0" (No), then NameAndAddress must be present.

66.1.51.9.20 ClientReference <ClntRef>*Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.**ClientReference <ClntRef>** contains the following elements (see "[AdditionalReference10](#)" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

66.1.51.10 ReceiveInformation21*Definition:* Parameters applied to the settlement of a security transfer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Transferee <Trfee>	[0..1]	±		2518
	TransfereeRegisteredAccount <TrfeeRegdAcct>	[0..1]	±		2519
	IntermediaryInformation <IntrmyInf>	[0..*]	±		2519
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		2519
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		2520
	IncomePreference <IncmPref>	[0..1]	CodeSet		2520
	BeneficiaryCertificationCompletion <BnfcrtyCertfctnCmpltn>	[0..1]	CodeSet		2520
	RequestedTradeDate <ReqdTradDt>	[0..1]	Date		2520
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2521
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	2521
	StampDuty <StmpDty>	[0..1]	CodeSet		2521
	NetAmount <NetAmt>	[0..1]	Amount	C2, C10	2521
	Fees <Fees>	[0..*]	±	C15	2522
	IndividualTax <IndvTax>	[0..*]	±		2523
	ForeignExchangeDetails <FXDtls>	[0..*]	±		2523
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		2524
	PhysicalTransfer <PhysTrf>	[0..1]	CodeSet		2525
	PhysicalTransferDetails <PhysTrfDtls>	[0..1]	±	C17	2525
	ClientReference <ClntRef>	[0..1]	±		2525

Constraints

- **PhysicalTransferDetails1Rule**

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present.

On Condition

/PhysicalTransfer is equal to value 'Physical'

Following Must be True

/PhysicalTransferDetails Must be present

- **PhysicalTransferDetails2Rule**

If PhysicalTransfer is DEMA (Dematerialised), then PhysicalTransferDetails is not allowed.

On Condition

/PhysicalTransfer is equal to value 'Dematerialised'

Following Must be True

/PhysicalTransferDetails Must be absent

66.1.51.10.1 Transferee <Trfee>

Presence: [0..1]

Definition: Party that receives (transferee) securities from the delivering agent (transferor).

Transferee <Trfee> contains the following elements (see "PartyIdentification139" on page 2021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2021
	LEI <LEI>	[0..1]	IdentifierSet		2021

66.1.51.10.2 TransfereeRegisteredAccount <TrfeeRegdAcct>

Presence: [0..1]

Definition: Account into which the securities are to be received.

TransfereeRegisteredAccount <TrfeeRegdAcct> contains the following elements (see "Account31" on page 1551 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1551
	AccountName <AcctNm>	[0..1]	Text		1551
	Designation <Dsgnt>	[0..1]	Text		1551
	Servicer <Svcr>	[0..1]	±		1551
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1552

66.1.51.10.3 IntermediaryInformation <IntrmyInf>

Presence: [0..*]

Definition: Identification of a related party or intermediary.

IntermediaryInformation <IntrmyInf> contains the following elements (see "Intermediary43" on page 2012 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2012
	Account <Acct>	[0..1]			2013
	Identification <Id>	[0..1]	Text		2013
	AccountServicer <AcctSvcr>	[1..1]	±		2013
	Role <Role>	[0..1]	±		2013
	ContactPerson <CtctPrsn>	[0..1]	±		2014

66.1.51.10.4 SecuritiesForm <SctiesForm>

Presence: [0..1]

Definition: Form, that is, ownership, of the security, that is, registered or bearer.

Datatype: "FormOfSecurity1Code" on page 2580

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

66.1.51.10.5 DematerialisedIndicator <Dmtrlslnd>

Presence: [0..1]

Definition: Indicates whether a security exists only as an electronic record, that is, there is no physical document representing the security.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.51.10.6 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference2Code" on page 2586

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
SECU	Securities	Distribution in securities.

66.1.51.10.7 BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>

Presence: [0..1]

Definition: Beneficial owner or its designated agent certifies that it complies with any holding or investment restrictions or requirements of the fund.

Datatype: "BeneficiaryCertificationCompletion1Code" on page 2554

CodeName	Name	Definition
NCER	CertificationNotRequired	Certification is not required for this fund.
ELEC	ElectronicCertification	Electronic certification is acceptable.
PHYS	PhysicalCertification	Electronic certification is not acceptable and is required in physical form.

66.1.51.10.8 RequestedTradeDate <ReqdTradDt>

Presence: [0..1]

Definition: Date to be used as the trade date when securities are settled through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

Datatype: "ISODate" on page 2668

66.1.51.10.9 RequestedSettlementDate <ReqdSttlmDt>

Presence: [0..1]

Definition: Date and time at which the securities are to be exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

Datatype: "ISODate" on page 2668

66.1.51.10.10 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid/to be paid or received in exchange for the financial instrument.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.51.10.11 StampDuty <StmpDty>

Presence: [0..1]

Definition: Specifies if whether the settlement amount includes the stamp duty amount.

Datatype: "StampDutyType2Code" on page 2643

CodeName	Name	Definition
ASTD	Applicable	Stamps duty is applicable.
SDRN	NotApplicable	Stamp duty not applicable.

66.1.51.10.12 NetAmount <NetAmt>

Presence: [0..1]

Definition: Deal amount.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.1.51.10.13 Fees <Fees>

Presence: [0..*]

Definition: Fee related to the transfer of the financial instrument.

Impacted by: C15 "FeeTaxElementRule"

Fees <Fees> contains the following elements (see "Fees2" on page 1326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1327
	IndividualFee <IndvFee>	[0..*]			1327
	Type <Tp>	[1..1]			1328
{Or	Code <Cd>	[1..1]	CodeSet		1328
Or}	Proprietary <Prtry>	[1..1]	±		1329
	Basis <Bsis>	[0..1]			1329
{Or	Code <Cd>	[1..1]	CodeSet		1329
Or}	Proprietary <Prtry>	[1..1]	±		1330
	Amount <Amt>	[1..1]	Amount	C2, C10	1330
	DiscountDetails <DscntDtls>	[0..1]		C13	1330
	Amount <Amt>	[0..1]	Amount	C2, C10	1331
	Rate <Rate>	[0..1]	Rate		1331
	Basis <Bsis>	[0..1]			1331
{Or	Code <Cd>	[1..1]	CodeSet		1332
Or}	Proprietary <Prtry>	[1..1]	±		1332
	ChargeBearer <ChrgBr>	[0..1]	CodeSet		1332
	RecipientIdentification <RcptId>	[0..1]	±		1333

Constraints

- **FeeTaxElementRule**

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

66.1.51.10.14 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Tax related to the transfer of the financial instrument.

IndividualTax <IndvTax> contains the following elements (see "Tax38" on page 2415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2416
{Or	Code <Cd>	[1..1]	CodeSet		2416
Or}	Proprietary <Prtry>	[1..1]	±		2417
	Basis <Bsis>	[0..1]			2418
{Or	Code <Cd>	[1..1]	CodeSet		2418
Or}	Proprietary <Prtry>	[1..1]	±		2418
	Amount <Amt>	[1..1]	Amount	C2, C10	2418
	Country <Ctry>	[0..1]	CodeSet	C11	2419
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2419
	ExemptionReason <XmptnRsn>	[0..1]			2419
{Or	Code <Cd>	[1..1]	CodeSet		2419
Or}	Proprietary <Prtry>	[1..1]	±		2422
	RecipientIdentification <Rcptld>	[0..1]	±		2422
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2423
	Basis <Bsis>	[0..1]			2423
{Or	Code <Cd>	[1..1]	CodeSet		2423
Or}	Proprietary <Prtry>	[1..1]	±		2423
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2424

66.1.51.10.15 ForeignExchangeDetails <FXDtls>

Presence: [0..*]

Definition: Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtls> contains the following elements (see "[ForeignExchangeTerms37](#)" on page 1480 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ToAmount <ToAmt>	[0..1]	Amount	C2, C10	1481
	FromAmount <FrAmt>	[0..1]	Amount	C2, C10	1481
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1482
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1482
	ExchangeRate <XchgRate>	[1..1]	Rate		1482
	QuotationDate <QtnDt>	[0..1]	DateTime		1483
	QuotingInstitution <QtgInstn>	[0..1]	±		1483

66.1.51.10.16 SettlementPartiesDetails <SttlmPtiesDtls>

Presence: [0..1]

Definition: Chain of parties involved in the settlement of the transaction.

SettlementPartiesDetails <SttlmPtiesDtls> contains the following elements (see "[FundSettlementParameters16](#)" on page 2485 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2485
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2486
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2486
	ReceivingSideDetails <RcvgSdDtls>	[0..1]	±	C7, C11, C19, C20, C21, C22, C26	2486

66.1.51.10.17 PhysicalTransfer <PhysTrf>*Presence:* [0..1]*Definition:* Indicates whether the financial instrument is to be physically delivered.*Datatype:* "PhysicalTransferType1Code" on page 2618

CodeName	Name	Definition
DEMT	Dematerialised	Dematerialised transfer.
PHYS	Physical	Physical transfer.

66.1.51.10.18 PhysicalTransferDetails <PhysTrfDtls>*Presence:* [0..1]*Definition:* Parameters of a physical delivery.*Impacted by:* C17 "NameAndAddress1Rule"

PhysicalTransferDetails <PhysTrfDtls> contains the following elements (see "[DeliveryParameters4](#)" on page 2201 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegisteredAddressIndicator <RegdAdrlnd>	[1..1]	Indicator		2202
	NameAndAddress <NmAndAdr>	[0..1]	±		2202
	ContactPerson <CtctPrsn>	[0..1]	±		2202

Constraints

- NameAndAddress1Rule**

If RegisteredAddressIndicator is "true" or "1" (Yes), then NameAndAddress is not allowed.

If RegisteredAddressIndicator is "false" or "0" (No), then NameAndAddress must be present.

66.1.51.10.19 ClientReference <ClntRef>*Presence:* [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <ClntRef> contains the following elements (see "[AdditionalReference10](#)" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

66.1.51.11 Transfer37

Definition: Parameters applied to the settlement of a security transfer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferConfirmationReference <TrfConfRef>	[1..1]	Text		2527
	TransferReference <TrfRef>	[1..1]	Text		2527
	ClientReference <ClntRef>	[0..1]	±		2527
	CounterpartyReference <CtrPtyRef>	[0..1]	±		2527
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		2528
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2528
	EffectiveTransferDate <FctvTrfDt>	[1..1]	±		2528
	EffectiveSettlementDate <FctvSttlmDt>	[0..1]	±		2528
	TradeDate <TradDt>	[0..1]	±		2528
	TransferOrderDateForm <TrfOrdrDtForm>	[0..1]	Date		2529
	TransferReason <TrfRsn>	[0..1]	±		2529
	HoldingsPlanType <HldgsPlanTp>	[0..3]	CodeSet		2529
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C16	2529
	TotalUnitsNumber <TtlUnitsNb>	[1..1]	Quantity		2530
	TransferRate <TrfRate>	[0..1]	Rate		2530
	UnitsDetails <UnitsDtls>	[0..*]	±		2530
	Rounding <Rndg>	[0..1]	CodeSet		2531
	OriginalCost <OrgnlCost>	[0..1]	Amount	C2	2531
	AveragePrice <AvrgPric>	[0..1]	Amount	C3	2532
	NewAveragePrice <NewAvrgPric>	[0..1]	Amount	C3	2532
	AverageDate <AvrgDt>	[0..1]	Date		2532
	NewAverageDate <NewAvrgDt>	[0..1]	Date		2532
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C3	2533
	OwnAccountTransferIndicator <OwnAcctTrfInd>	[0..1]	Indicator		2533
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		2533
	TransferExpensesPaymentType <TrfExpnssPmtTp>	[0..1]	±		2533

Constraints

- **TotalUnitsNumberRule**

TotalUnitsNumber must be the sum of all occurrences of UnitsDetails/UnitsNumber when UnitsDetails is present.

66.1.51.11.1 TransferConfirmationReference <TrfConfRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the transfer execution, as assigned by the confirming party.

Datatype: "Max35Text" on page 2683

66.1.51.11.2 TransferReference <TrfRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the transfer instruction, as assigned by the instructing party.

Datatype: "Max35Text" on page 2683

66.1.51.11.3 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <ClntRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

66.1.51.11.4 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transfer allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see "AdditionalReference10" on page 1495 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1495
	ReferenceIssuer <RefIssr>	[0..1]	±		1495
	MessageName <MsgNm>	[0..1]	Text		1495

66.1.51.11.5 BusinessFlowType <BizFlowTp>*Presence:* [0..1]

Definition: Identifies the business process in which the actors are involved. This is important to trigger the right business process, according to the market business model, which may require matching instructions in a CSD environment (double leg process) or not (single leg process).

Datatype: "BusinessFlowType1Code" on page 2556

CodeName	Name	Definition
SLDP	SingleLegDeliveringParty	Single leg process initiated by the delivering party.
SLRP	SingleLegReceivingParty	Single leg process initiated by the receiving party.
DLPR	DoubleLegProcess	Double leg process.

66.1.51.11.6 RequestedSettlementDate <ReqdSttlmDt>*Presence:* [0..1]

Definition: Date and time at which the securities are to be exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

Datatype: "ISODate" on page 2668

66.1.51.11.7 EffectiveTransferDate <FctvTrfDt>*Presence:* [1..1]

Definition: Date and time at which the transfer was executed.

EffectiveTransferDate <FctvTrfDt> contains one of the following elements (see "DateAndDateTime2Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1377
Or}	DateTime <DtTm>	[1..1]	DateTime		1377

66.1.51.11.8 EffectiveSettlementDate <FctvSttlmDt>*Presence:* [0..1]

Definition: Date and time at which the securities were exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

EffectiveSettlementDate <FctvSttlmDt> contains one of the following elements (see "DateAndDateTime2Choice" on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1377
Or}	DateTime <DtTm>	[1..1]	DateTime		1377

66.1.51.11.9 TradeDate <TradDt>*Presence:* [0..1]

Definition: Date and time at which the transaction is completed and cleared, that is, securities are delivered.

TradeDate <TradDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 1377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1377
Or}	DateTime <DtTm>	[1..1]	DateTime		1377

66.1.51.11.10 TransferOrderDateForm <TrfOrdrDtForm>

Presence: [0..1]

Definition: Date on which the investor signed the transfer order form.

Datatype: ["ISODate"](#) on page 2668

66.1.51.11.11 TransferReason <TrfRsn>

Presence: [0..1]

Definition: Reason for the transfer.

TransferReason <TrfRsn> contains one of the following elements (see ["TransferReason1Choice"](#) on page 2534 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2534
Or}	Proprietary <Prtry>	[1..1]	±		2535

66.1.51.11.12 HoldingsPlanType <HldgsPlanTp>

Presence: [0..3]

Definition: Specifies information about investment plans included in the holding.

Datatype: ["HoldingsPlanType1Code"](#) on page 2586

CodeName	Name	Definition
INVP	InvestmentPlan	Holding to be transferred belongs to an investment plan.
SWIP	SwitchPlan	Holding to be transferred belongs to a switch plan.
PLAR	WithdrawalPlan	Holding to be transferred belongs to a withdrawal plan.

66.1.51.11.13 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Information related to the financial instrument transferred.

Impacted by: [C16 "IdentificationGuideline"](#)

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument88" on page 1420 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1420
	Name <Nm>	[0..1]	Text		1421
	ShortName <ShrtNm>	[0..1]	Text		1421
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1421
	ClassType <ClsTp>	[0..1]	Text		1422
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1422
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1422
	DematerialisedIndicator <DmtrlSdInd>	[0..1]	Indicator		1422

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

66.1.51.11.14 TotalUnitsNumber <TtlUnitsNb>

Presence: [1..1]

Definition: Number of units transferred.

Datatype: "DecimalNumber" on page 2680

66.1.51.11.15 TransferRate <TrfRate>

Presence: [0..1]

Definition: Quantity of securities transferred as a percentage of the holding.

Datatype: "PercentageRate" on page 2681

66.1.51.11.16 UnitsDetails <UnitsDtls>

Presence: [0..*]

Definition: Breakdown of units transferred.

UnitsDetails <UnitsDtls> contains the following elements (see "Unit12" on page 1464 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1465
	OrderDate <OrdDt>	[0..1]	Date		1465
	AcquisitionDate <AcqstnDt>	[0..1]	Date		1465
	CertificateNumber <CertNb>	[0..*]	Text		1466
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1466
	Reference <Ref>	[0..1]	Text		1466
	PriceDetails <PricDtls>	[0..1]	±		1466
	TransactionOverhead <TxOvrhd>	[0..1]		C18, C28	1467
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1467
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1467
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1468
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1468
	IndividualFee <IndvFee>	[0..*]	±	C6	1468
	IndividualTax <IndvTax>	[0..*]	±		1469
	OtherAmount <OthrAmt>	[0..*]			1470
	Type <Tp>	[1..1]			1470
{Or	Code <Cd>	[1..1]	CodeSet		1471
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1471
	Amount <Amt>	[1..1]	Amount	C2, C10	1471

66.1.51.11.17 Rounding <Rndg>

Presence: [0..1]

Definition: Rounding direction applied to nearest unit.

Datatype: "RoundingDirection2Code" on page 2635

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

66.1.51.11.18 OriginalCost <OrgnlCost>

Presence: [0..1]

Definition: Original amount paid for the asset at the point of purchase. May also be known as the book cost.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2545

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.1.51.11.19 AveragePrice <AvrgPric>

Presence: [0..1]

Definition: Weighted average price of the units in the account before the transfer was executed.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.51.11.20 NewAveragePrice <NewAvrgPric>

Presence: [0..1]

Definition: Weighted average price of the units in the account after the transfer was executed.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2546

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.51.11.21 AverageDate <AvrgDt>

Presence: [0..1]

Definition: Trade date of the average weighted data of units in the account before the transfer was executed.

Datatype: "ISODate" on page 2668

66.1.51.11.22 NewAverageDate <NewAvrgDt>

Presence: [0..1]

Definition: Trade date of the average weighted data of units in the account after the transfer was executed.

Datatype: "ISODate" on page 2668

66.1.51.11.23 TransferCurrency <TrfCcy>

Presence: [0..1]

Definition: Currency used to transfer the holdings. Some transfer agents register holdings grouped by currency in addition to using the ISIN for multi-currency fund shares.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2553

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.1.51.11.24 OwnAccountTransferIndicator <OwnAcctTrfInd>

Presence: [0..1]

Definition: Indicates whether the transfer results in a change of beneficial owner.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2680):

- *Meaning When True:* Yes
- *Meaning When False:* No

66.1.51.11.25 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: "Max350Text" on page 2683

66.1.51.11.26 TransferExpensesPaymentType <TrfExpnssPmtTp>

Presence: [0..1]

Definition: Specifies how the payment of fees and taxes as a result of the transfer is covered, that is, whether by cash or the redemption of units.

TransferExpensesPaymentType <TrfExpnssPmtTp> contains one of the following elements (see "ChargePaymentMethod1Choice" on page 1316 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1316
Or}	Proprietary <Prtry>	[1..1]	±		1316

66.1.52 Transfer Status

66.1.52.1 TransferCancellationPendingStatus1

Definition: Transfer cancellation status is in pending.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	Text		2534

66.1.52.1.1 Reason <Rsn>

Presence: [0..1]

Definition: Reason for the cancellation pending status.

Datatype: "Max350Text" on page 2683

66.1.52.2 TransferReason1Choice

Definition: Choice between formats for a transfer reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2534
Or}	Proprietary <Prtry>	[1..1]	±		2535

66.1.52.2.1 Code <Cd>

Presence: [1..1]

Definition: Transfer reason expressed as an ISO 20022 code.

Datatype: "TransferReason1Code" on page 2659

CodeName	Name	Definition
TRAU	UndividedPossession	Transfer is an undivided possession.
TRAC	TransferBetweenSpouses	Transfer is between spouses.
TRAT	Trade	Transfer is a trade.
TRAO	OrdinaryTransfer	Transfer is ordinary.
TRAI	Heritage	Transfer is a heritage.
TRAG	Gift	Transfer is a gift.
TPLD	PledgedAccountTransfer	Transfer to pledged account (in certain circumstances distributors have to freeze and transfer total or partial holding to separate omnibus account e.g. investigation required by fiscal authority or police).
TTDT	TDTFrameworkTransfer	Transfer instruction executed within TDT framework (TDT framework is the operation model promoted by the Italian Banking Association for transfer and most of transfers, but not all, will be

CodeName	Name	Definition
		executed in accordance with that process).
TRPE	TransferBetweenDifferentBeneficiaries	Transfer between different beneficiaries with taxation to be applied (accounts with different owner).
TRAF	Demerger	Transfer is the result of a demerger or division.
TRAN	BetweenOwnAccounts	Transfer is between own accounts.

66.1.52.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Transfer reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification27](#)" on page 1510 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1510
	SchemeName <SchmeNm>	[0..1]	Text		1511
	Issuer <Issr>	[1..1]	Text		1511

66.1.52.3 CancellationPendingStatus7Choice

Definition: Reason for the cancellation pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Reason <Rsn>	[1..1]	Text		2535
Or	DataSourceScheme <DataSrcSchme>	[1..1]	±		2535
Or}	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2536

66.1.52.3.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the cancellation pending status.

Datatype: "[Max350Text](#)" on page 2683

66.1.52.3.2 DataSourceScheme <DataSrcSchme>

Presence: [1..1]

Definition: Proprietary identification of the reason for the cancellation pending status.

DataSourceScheme <DataSrcSchme> contains the following elements (see "[GenericIdentification1](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.52.3.3 NoSpecifiedReason <NoSpcdfRsn>

Presence: [1..1]

Definition: Indicates that there is no reason available or to report.

Datatype: "[NoReasonCode](#)" on page 2609

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

66.1.52.4 ReversedStatus2Choice

Definition: Reason for the reversed status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Reason <Rsn>	[1..1]	Text		2536
Or	DataSourceScheme <DataSrcSchme>	[1..1]	±		2536
Or}	NoSpecifiedReason <NoSpcdfRsn>	[1..1]	CodeSet		2537

66.1.52.4.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the reversal status.

Datatype: "[Max350Text](#)" on page 2683

66.1.52.4.2 DataSourceScheme <DataSrcSchme>

Presence: [1..1]

Definition: Proprietary identification of the reason for the reversed status.

DataSourceScheme <DataSrcSchme> contains the following elements (see "[GenericIdentification1](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.52.4.3 NoSpecifiedReason <NoSpcdfRsn>*Presence:* [1..1]*Definition:* Indicates that there is no reason available or to report.*Datatype:* "NoReasonCode" on page 2609

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

66.1.52.5 CancelledStatus13Choice*Definition:* Reason for the cancelled status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcdfRsn>	[1..1]	CodeSet		2537
Or	Reason <Rsn>	[1..1]	CodeSet		2537
Or	ExtendedReason <XtndedRsn>	[1..1]	Text		2537
Or}	DataSourceScheme <DataSrcSchme>	[1..1]	±		2538

66.1.52.5.1 NoSpecifiedReason <NoSpcdfRsn>*Presence:* [1..1]*Definition:* Indicates that there is no reason available or to report.*Datatype:* "NoReasonCode" on page 2609

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

66.1.52.5.2 Reason <Rsn>*Presence:* [1..1]*Definition:* Reason for the cancelled status.*Datatype:* "CancelledStatusReason3Code" on page 2558

CodeName	Name	Definition
CNTA	CancelledByTransferAgent	Transaction is cancelled by the transfer agent.
CNCL	CancelledByClient	Transaction is cancelled by the client.
CNIN	CancelledByIntermediary	Transaction is cancelled by the intermediary.

66.1.52.5.3 ExtendedReason <XtndedRsn>*Presence:* [1..1]*Definition:* Reason for the cancelled status.*Datatype:* "Extended350Code" on page 2682

66.1.52.5.4 DataSourceScheme <DataSrcSchme>*Presence:* [1..1]*Definition:* Proprietary identification of the reason for the cancelled status.**DataSourceScheme <DataSrcSchme>** contains the following elements (see "[GenericIdentification1](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.52.6 FailedSettlementStatus2Choice*Definition:* Reason for the failed settlement status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Reason <Rsn>	[1..1]	Text		2538
Or	DataSourceScheme <DataSrcSchme>	[1..1]	±		2538
Or}	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2538

66.1.52.6.1 Reason <Rsn>*Presence:* [1..1]*Definition:* Reason for the failed settlement status.*Datatype:* "[Max350Text](#)" on page 2683**66.1.52.6.2 DataSourceScheme <DataSrcSchme>***Presence:* [1..1]*Definition:* Proprietary identification of the reason for the failed settlement status.**DataSourceScheme <DataSrcSchme>** contains the following elements (see "[GenericIdentification1](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.52.6.3 NoSpecifiedReason <NoSpdfdRsn>*Presence:* [1..1]*Definition:* Indicates that there is no reason available or to report.*Datatype:* "[NoReasonCode](#)" on page 2609

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

66.1.52.7 InRepairStatus4Choice

Definition: Reason for the in repair status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Reason <Rsn>	[1..1]	Text		2539
Or	DataSourceScheme <DataSrcSchme>	[1..1]	±		2539
Or}	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2539

66.1.52.7.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the in-repair status.

Datatype: "Max350Text" on page 2683

66.1.52.7.2 DataSourceScheme <DataSrcSchme>

Presence: [1..1]

Definition: Proprietary identification of the reason for the in-repair status.

DataSourceScheme <DataSrcSchme> contains the following elements (see "[GenericIdentification1](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.52.7.3 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Indicates that there is no reason available or to report.

Datatype: "NoReasonCode" on page 2609

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

66.1.52.8 PendingSettlementStatus3Choice

Definition: Reason for the pending settlement status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Reason <Rsn>	[1..1]	CodeSet		2540
Or	ExtendedReason <XtndedRsn>	[1..1]	Text		2542
Or	DataSourceScheme <DataSrcSchme>	[1..1]	±		2542
Or}	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2542

66.1.52.8.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the settlement pending status.

Datatype: "PendingSettlementStatusReason2Code" on page 2614

CodeName	Name	Definition
AWSH	AwaitingSharesFromCounterparty	Securities have not yet been received from the counterparty.
BLOC	AccountBlocked	Your account is blocked, no instruction can settle over the account.
CAIS	AwaitingSecurities	Awaiting securities from corporate action issue or other procedures, for example, conversion, dematerialisation, exchange, registration, stamping, splitting, etc.
CLAC	CounterpartyInsufficientSecurities	Insufficient deliverable securities in the counterparty's account, or counterparty does not hold the securities.
DOCC	AwaitingDocumentsOrEndorsementsFromCounterparty	Awaiting documents or endorsements from the counterparty.
DOCY	AwaitingDocumentsOrEndorsementsFromYou	Awaiting documents or endorsements from you.
IAAD	StatusReasonInvestigation	Pending reason is being investigated.
LACK	LackOfSecurities	Insufficient deliverable securities in your account.
LINK	PendingLinkedInstruction	Your instruction is pending settlement because the instruction linked to it is pending.
PHCK	PhysicalSecuritiesVerification	Physical shares have been received and are being checked for authenticity.
PHSE	PhysicalDeliveryDelay	Settlement is physical and securities are being delivered.
SBLO	SecuritiesBlocked	Settlement is pending. The securities are blocked due to, for example, a corporate action event, re-alignment.
MINF	MissingInformation	Information, for example, telephone number, contact person, is missing.
ACOP	AccountNotOpen	Account must already be opened in order to process the transfer.

CodeName	Name	Definition
IINV	UnderInvestigation	Intermediary is investigating the reason why the transfer is pending.
CINV	UnderClientInvestigation	Client has been informed of a problem and is investigating.
AINV	UnderTransferAgentInvestigation	Transfer agent is investigating.
WTRF	AwaitingTransferAgentStatus	Transfer is being processed by the transfer agent, awaiting status from transfer agent.
USUA	UsualTransactionTime	Usual transfer agent's processing timeframe.
ASTA	AwaitingStatus	At your request, the Intermediary is checking the status of your instruction with the transfer agent.
AFST	ApplicationFormSent	Application form has been sent to the transfer agent.
STST	StockTransferFormSent	Stock transfer form has been sent to the counterparty to sign and forward to the transfer agent.
LPRO	LongerProcessing	Longer processing timeframe for this type of transfer, due to documentation requirements.
ADRQ	AdditionalDocumentsRequested	Additional documents have been requested by the transfer agent.
ADS1	AdditionalDocumentsSent1	Additional documents have been sent.
ADS2	AdditionalDocumentsSent2	Upon request of the transfer agent, the intermediary has sent additional documents. The transfer agent has confirmed that all the necessary information is now in place.
DRJC	DocumentsRejected	Documents are rejected by the transfer agent.
CYIN	AwaitingCounterpartyReceipt	Counterparty has not sent an instruction to receive. Check with counterparty.
CYDV	AwaitingCounterpartyDelivery	Counterparty has not sent an instruction to deliver or delivered the shares. Check with counterparty.
OVER	NormalProcessingPeriodLapsed	Normal processing period for the instruction has lapsed. The transfer agent has been or will be contacted.
WCPA	WrongCounterpartyAccount	Wrong counterparty account details.
SDUT	LackOfStampDutyInformation	Instruction is pending as stamp duty information is missing.
TAPR	TransferAgentProcessing	Transfer is being processed by transfer agent.
XCNF	ExpectedConfirmation	Confirmation is expected from transfer agent.
ESCA	Escalation	Special follow-up is taking place.

CodeName	Name	Definition
NRCP	TransferAgentNonReceipt	Transfer agent claims non-receipt of physical shares.
FVER	FinalVerification	Confirmed but finality is to be verified.

66.1.52.8.2 ExtendedReason <XtndedRsn>

Presence: [1..1]

Definition: Reason for the settlement pending status.

Datatype: "Extended350Code" on page 2682

66.1.52.8.3 DataSourceScheme <DataSrcSchme>

Presence: [1..1]

Definition: Proprietary identification of the reason for the pending settlement status.

DataSourceScheme <DataSrcSchme> contains the following elements (see "[GenericIdentification1](#)" on page 1511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1512
	SchemeName <SchmeNm>	[0..1]	Text		1512
	Issuer <Issr>	[0..1]	Text		1512

66.1.52.8.4 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Indicates that there is no reason available or to report.

Datatype: "NoReasonCode" on page 2609

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

66.1.52.9 CancelledCompleteReason1

Definition: Reason for a cancelled completed status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2542
{Or	Code <Cd>	[1..1]	CodeSet		2543
Or}	Proprietary <Prtry>	[1..1]	±		2543
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2543

66.1.52.9.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the cancelled complete status.

Reason <Rsn> contains one of the following **CancellationCompleteReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2543
Or}	Proprietary <Prtry>	[1..1]	±		2543

66.1.52.9.1.1 Code <Cd>

Presence: [1..1]

Definition: Cancelled complete reason expressed as a code.

Datatype: "CancelledStatusReason1Code" on page 2557

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
CANS	CancelledBySystem	Transaction is cancelled by the system.
CSUB	CancelledByAgent	Transaction is cancelled by the agent.

66.1.52.9.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Cancelled complete reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification36" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.52.9.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the cancelled complete status reason.

Datatype: "Max350Text" on page 2683

66.1.52.10 RejectionReason33

Definition: Information about a rejected status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2544
{Or	Code <Cd>	[1..1]	CodeSet		2544
Or}	Proprietary <Prtry>	[1..1]	±		2544
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2544

66.1.52.10.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the rejected status.

Reason <Rsn> contains one of the following **RejectedReason17Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2544
Or}	Proprietary <Prtry>	[1..1]	±		2544

66.1.52.10.1.1 Code <Cd>

Presence: [1..1]

Definition: Rejected reason expressed as a code.

Datatype: "CancellationRejectedReason1Code" on page 2556

CodeName	Name	Definition
CUTO	CutOffTime	Instruction has been received after the cut-off time.
COSE	AlreadyExecuted	Order or transfer has already been executed and confirmation has been sent.

66.1.52.10.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Rejected reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification36" on page 1505 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1506
	Issuer <Issr>	[1..1]	Text		1506
	SchemeName <SchmeNm>	[0..1]	Text		1506

66.1.52.10.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the rejected status reason.

Datatype: "Max350Text" on page 2683

66.2 Message Datatypes

66.2.1 Amount

66.2.1.1 ActiveCurrencyAnd13DecimalAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 2552

Format

minInclusive	0
totalDigits	18
fractionDigits	13

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.2.1.2 ActiveCurrencyAndAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 2552

Format

minInclusive	0
totalDigits	18

fractionDigits 5

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.2.1.3 ActiveOrHistoricCurrencyAnd13DecimalAmount

Definition: A number of monetary units specified in an active or a historic currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveOrHistoricCurrencyCode" on page 2553

Format

minInclusive	0
totalDigits	18
fractionDigits	13

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.2.1.4 ActiveOrHistoricCurrencyAndAmount

Definition: A number of monetary units specified in an active or a historic currency where the unit of currency is explicit and compliant with ISO 4217.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveOrHistoricCurrencyCode" on page 2553

Format

minInclusive	0
totalDigits	18
fractionDigits	5

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

66.2.1.5 ImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

minInclusive	0
totalDigits	18
fractionDigits	5

66.2.2 CodeSet**66.2.2.1 AcceptedStatusReason1Code**

Definition: Specifies the reason for an accepted status.

Type: CodeSet

CodeName	Name	Definition
PLAC	PlaceNotAllowed	Status of the account modification instruction is accepted but modification of the account data will not be carried out for the place.

CodeName	Name	Definition
SECT	SectorNotAllowed	Status of the account modification instruction is accepted but modification of the account data will not be carried out for the sector code.

66.2.2.2 AccountingStatus1Code

Definition: Specifies the accounting status.

Type: CodeSet

CodeName	Name	Definition
YDOM	Domestic	Account or party is regarded as domestic for reporting purposes.
NDOM	NotDomestic	Account or party is not regarded as domestic for reporting purposes.

66.2.2.3 AccountManagementStatus1Code

Definition: Specifies the status of an account management instruction.

Type: CodeSet

CodeName	Name	Definition
RECE	Received	The account management instruction has been received and is acknowledged.
ACCP	Accepted	The account management instruction has been validated and accepted.
EXEC	ProcessingOngoing	The account management instruction is being processed and the confirmation will follow.
STNP	SentToNextParty	The account management instruction has been sent to the next party, for example, the next intermediary.

66.2.2.4 AccountManagementType2Code

Definition: Refer to an account management instruction ie, either an account opening instruction or an account modification instruction or a get account details message.

Type: CodeSet

CodeName	Name	Definition
ACCO	AccountOpening	Refers to an account opening instruction message.
ACCM	AccountModification	Refers to an account modification instruction message.
GACC	GetAccountDetails	Refers to a get account details message.

66.2.2.5 AccountManagementType3Code

Definition: Specifies the instruction for which an status is required.

Type: CodeSet

CodeName	Name	Definition
ACCM	AccountModification	Refers to an account modification instruction message.
ACCO	AccountOpening	Refers to an account opening instruction message.
GACC	GetAccountDetails	Refers to a get account details message.
ACST	AccountStatus	Refers to the account status.

66.2.2.6 AccountOpeningType1Code

Definition: Specifies the type of account to which an account opening request is related, eg, a new account.

Type: CodeSet

CodeName	Name	Definition
NEWA	NewAccountOpening	Account request is to open a new account.
SUPA	SupplementaryAccountOpening	Account request is to open a supplementary account, that is, based on an existing account.

66.2.2.7 AccountOwnershipType4Code

Definition: Specifies the type of account ownership.

Type: CodeSet

CodeName	Name	Definition
UNCO	Unincorporated	Account owner is an unincorporated partnership or is of sole proprietorship.
LIPA	LimitedPartnership	Account owner is a limited partnership or is of sole proprietorship.
ENTR	Entrepreneurial	Entrepreneurial account.
CORP	Corporation	Account owner is a corporate.
CUST	CustodialAccount	Minor's account, registered in the minor's name. Since the minor cannot legally own property, the custodian of the account maintains control of the account.
EURE	EUResidualEntity	Account owner is an entity located in the European Union which can be considered neither as a legal entity (for example, company, corporate), nor as an individual person, nor as a UCITS (Undertakings for Collective Investment of Transferable Securities) fund.
PART	FormalPartnership	Account owner is a contractual business organisation in which two or more individuals agree to pool their funds to manage and operate a business.
TRUS	FormalTrust	Account owner is an organisation which is engaged as a trustee for individuals or businesses in the administration of

CodeName	Name	Definition
		services such as stock transfer, registration, trust funds.
GOVO	GovernmentOrganisation	Account owner is a government organisation.
JOIT	JointAccount	Two or more individuals of legal age own shares of the same account.
COMO	JointAccountInCommon	Two or more individuals of legal age own shares of the same account. Upon the death of one of the account owners, the respective percentage of the ownership is transferred to the deceased's estate.
JOIN	JointAccountWithSurvivorshipRights	Two or more individuals own shares of the same account. Upon the death of one of the account owners, the entire account ownership is passed onto the surviving owners.
LLCO	LimitedLiabilityCompany	Account is for a limited liability company.
NOMI	Nominee	Account owner is a person or firm into whose name securities or other properties are transferred in order to facilitate transactions whilst leaving the customer as the actual owner.
NFPO	NonProfitOrganisation	Account owner is an association that is given tax-free status due to the nature of their activities such as charity organisation.
ONIS	OtherNonIndividual	Account owner is another type of non individual organisation.
RGIC	RegisteredInvestmentCompany	Account is for a registered investment company.
SIGL	SingleOwnerAccount	Account has only one individual owner.

66.2.2.8 AccountOwnershipType6Code

Definition: Specifies the type of account ownership.

Type: CodeSet

CodeName	Name	Definition
BOWN	BareOwner	A person has bare ownership on the asset.
CORP	Corporation	Account owner is a corporate.
CUST	CustodialAccount	Minor's account, registered in the minor's name. Since the minor cannot legally own property, the custodian of the account maintains control of the account.
ENTR	Entrepreneurial	Entrepreneurial account.
EURE	EUResidualEntity	Account owner is an entity located in the European Union which can be considered neither as a legal entity (for example, company, corporate), nor as an individual person, nor as a UCITS

CodeName	Name	Definition
		(Undertakings for Collective Investment of Transferable Securities) fund.
PART	FormalPartnership	Account owner is a contractual business organisation in which two or more individuals agree to pool their funds to manage and operate a business.
TRUS	FormalTrust	Account owner is an organisation which is engaged as a trustee for individuals or businesses in the administration of services such as stock transfer, registration, trust funds.
GOVO	GovernmentOrganisation	Account owner is a government organisation.
JOIT	JointAccount	Two or more individuals of legal age own shares of the same account.
COMO	JointAccountInCommon	Two or more individuals of legal age own shares of the same account. Upon the death of one of the account owners, the respective percentage of the ownership is transferred to the deceased's estate.
JOIN	JointAccountWithSurvivorshipRights	Two or more individuals own shares of the same account. Upon the death of one of the account owners, the entire account ownership is passed onto the surviving owners.
LLCO	LimitedLiabilityCompany	Account is for a limited liability company.
LIPA	LimitedPartnership	Account owner is a limited partnership or is of sole proprietorship.
NOMI	Nominee	Account owner is a person or firm into whose name securities or other properties are transferred in order to facilitate transactions whilst leaving the customer as the actual owner.
NFPO	NonProfitOrganisation	Account owner is an association that is given tax-free status due to the nature of their activities such as charity organisation.
ONIS	OtherNonIndividual	Account owner is another type of non individual organisation.
OWNR	Owner	A person has full ownership on the asset.
RGIC	RegisteredInvestmentCompany	Account is for a registered investment company.
SIGL	SingleOwnerAccount	Account has only one individual owner.
UNCO	Unincorporated	Account owner is an unincorporated partnership or is of sole proprietorship.
USUF	Usufructuary	The person is ...

66.2.2.9 AccountStatusUpdateInstruction1Code

Definition: Specifies the type of account status update instructed.

Type: CodeSet

CodeName	Name	Definition
CLOS	Close	Account is to be closed.
REAC	Reactive	Account is to be reactivated, any previous instruction to close the account is rescinded.

66.2.2.10 AccountStatusUpdateRequestReason1Code

Definition: Sepcifies the reason for a request for a change to an account status.

Type: CodeSet

CodeName	Name	Definition
CLOE	AccountClosureInstructionError	Previous account closure instruction was sent in error.

66.2.2.11 AccountUsageType2Code

Definition: Specifies the type of usage of the account.

Type: CodeSet

CodeName	Name	Definition
INVE	Investor	Investor.
ISSP	IssuingParticipant	Issuing participant.
SETP	SettlementParticipant	Settlement participant.
TRDP	TradingParticipant	Trading participant.

66.2.2.12 ActiveCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern [A-Z]{3,3}

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

66.2.2.13 ActiveOrHistoricCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern [A-Z]{3,3}

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

66.2.2.14 AddressType1Code

Definition: Specifies the type of address.

Type: CodeSet

CodeName	Name	Definition
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.

66.2.2.15 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

66.2.2.16 AMFDoctrine1Code

Definition: Specifies the approach of the Autorite des Marches Financiers (AMF) recommendation 2020-03 to which the fund complies.

Type: CodeSet

CodeName	Name	Definition
AMF1	SignificantlyEngagingMethodology	Approach based on a significantly engaging methodology.
AMF3	NotMeetingCentralCommunicationStandards	Approach not meeting central communication standards or based on limited communication standards.
AMF2	NonSignificantlyEngagingMethodology	Approach based on a non-significantly engaging methodology.

66.2.2.17 AnnualChargePaymentType1Code

Definition: Specifies how a charge is to be deducted.

Type: CodeSet

CodeName	Name	Definition
CAPL	Capital	Annual charge is deducted from the fund capital.
INCO	Income	Annual charge is deducted from the fund income.

66.2.2.18 ApplicableRules1Code

Definition: Specifies applicable rules.

Type: CodeSet

CodeName	Name	Definition
NPRE	NotPreADay	Pre A Day rules do not apply.
YPRE	PreADay	Pre A Day rules applies.

66.2.2.19 BeneficiaryCertificationCompletion1Code

Definition: Specifies the type of certification completion required for a beneficiary.

Type: CodeSet

CodeName	Name	Definition
NCER	CertificationNotRequired	Certification is not required for this fund.
ELEC	ElectronicCertification	Electronic certification is acceptable.
PHYS	PhysicalCertification	Electronic certification is not acceptable and is required in physical form.

66.2.2.20 BeneficiaryType1Code

Definition: Specifies a type of beneficiary.

Type: CodeSet

CodeName	Name	Definition
DEPE	Dependent	Beneficiary is a dependent.

CodeName	Name	Definition
NOMI	Nominee	Beneficiary is a nominee.
SUCC	Successor	Beneficiary is a successor.

66.2.2.21 BestExecution1Code

Definition: Specifies whether best execution rules as defined in the EU MiFID directive were followed for a transaction.

Type: CodeSet

CodeName	Name	Definition
BTEX	Best	Best execution rules were followed.

66.2.2.22 BlockedReason2Code

Definition: Specifies the reason an account is blocked.

Type: CodeSet

CodeName	Name	Definition
BKRP	Bankruptcy	Bankruptcy.
CMMT	Commitment	Commitment.
CNFS	Confiscation	Confiscation.
MORT	MortisCausa	Reserved in contemplation of death.
PCOM	PendingComplianceVerification	Pending compliance verification.
PLDG	Pledged	Pledged.
TRPE	Reregistration	Reregistration.
SANC	Sanctions	Sanctions are in place.
TRAN	Transfer	Transfer.

66.2.2.23 BusinessDayConvention1Code

Definition: Indicates how a date is adjusted when it falls on a non-business day.

Type: CodeSet

CodeName	Name	Definition
FWNG	Following	The date will be the first following day that is a business day.
PREC	Preceding	The date will be the first preceding day that is a business day.

66.2.2.24 BusinessFlowDirectionType1Code

Definition: Identifies the business flow, in order to determine the role of the parties in the business process. This indicator is very important when there are multiple intermediaries in the exchange of information process.

Type: CodeSet

CodeName	Name	Definition
ADLV	AssetstoBeDelivered	Assets to be delivered.
ARCV	AssetsToBeReceived	Assets to be received.

66.2.2.25 BusinessFlowType1Code

Definition: Identifies the business process in which the actors are involved. This is important to trigger the right business process, according to the market business model, which may require matching instructions in a CSD environment (double leg process) or not (single leg process).

Type: CodeSet

CodeName	Name	Definition
SLDP	SingleLegDeliveringParty	Single leg process initiated by the delivering party.
SLRP	SingleLegReceivingParty	Single leg process initiated by the receiving party.
DLPR	DoubleLegProcess	Double leg process.

66.2.2.26 CalculationBasis2Code

Definition: Specifies the calculation basis.

Type: CodeSet

CodeName	Name	Definition
AVER	Average	Average basis.
DAIL	Daily	Daily basis.
MNTH	Monthly	Monthly basis.
YEAR	Annual	Yearly basis.

66.2.2.27 CancellationRejectedReason1Code

Definition: Specifies the reason for a cancellation rejected status.

Type: CodeSet

CodeName	Name	Definition
CUTO	CutOffTime	Instruction has been received after the cut-off time.
COSE	AlreadyExecuted	Order or transfer has already been executed and confirmation has been sent.

66.2.2.28 CancellationRight1Code

Definition: Specifies if the investor has the right to cancel an instruction or, if not, the reason the investor cannot cancel.

Type: CodeSet

CodeName	Name	Definition
VALI	Entitled	Investor is entitled to a "cooling off" period with cancellation rights under compliance rules.
NOXO	ExecutionOnly	Investor is not entitled to cancellation rights under compliance rules, as the investor is an execution's only client.
NOWA	WaiverAgreement	Investor is not entitled to cancellation rights under compliance rules as the investor has agreed to waive those rights.
NOIN	Institutional	Investor is not entitled to cancellation rights under compliance rules as the investor is a financial institution.

66.2.2.29 CancellationStatus5Code

Definition: Specifies the cancellation status.

Type: CodeSet

CodeName	Name	Definition
RECE	Received	Cancellation instruction/request has been received, that is, technical validation of the message is ok, and the message is now at the receiving side.
PACK	Accepted	Cancellation instruction / request has been received and has been acknowledged / accepted for further processing.
STNP	SentToNextParty	Cancellation instruction/request has been sent to the next party, that is, the next intermediary.

66.2.2.30 CancelledStatusReason1Code

Definition: Specifies the underlying reason for cancellation of the associated transaction.

Type: CodeSet

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
CANS	CancelledBySystem	Transaction is cancelled by the system.
CSUB	CancelledByAgent	Transaction is cancelled by the agent.

66.2.2.31 CancelledStatusReason2Code

Definition: Specifies the underlying reason for cancellation of the associated transaction.

Type: CodeSet

CodeName	Name	Definition
CANH	CancelledByHub	Transaction is cancelled by the hub.

CodeName	Name	Definition
CANP	CancelledByInstructingParty	Transaction is cancelled by the instructing party.
CXLR	EndOfLife	Transaction is rejected by the executing party, the rejection is final therefore the order is cancelled in the system.
CANO	CancelledByOther	Transaction is cancelled by a party other than the instructing party, eg, a market infrastructure such as a stock exchange.

66.2.2.32 CancelledStatusReason3Code

Definition: Specifies the underlying reason for cancellation of the associated transaction.

Type: CodeSet

CodeName	Name	Definition
CNTA	CancelledByTransferAgent	Transaction is cancelled by the transfer agent.
CNCL	CancelledByClient	Transaction is cancelled by the client.
CNIN	CancelledByIntermediary	Transaction is cancelled by the intermediary.

66.2.2.33 CardType1Code

Definition: Specifies the type of payment card.

Type: CodeSet

CodeName	Name	Definition
CRDT	CreditCard	Card where the holder has been granted a line of credit. The card enables the holder to make purchases and withdraw cash up to a pre-arranged ceiling.
DBIT	DebitCard	Card enabling the holder to have its purchases directly charged to its account. The card may also combine other functions, for example, cash card or cheque guaranteed card.

66.2.2.34 CashAccountType5Code

Definition: Specifies the type of cash account.

Type: CodeSet

CodeName	Name	Definition
LEND	Lending	Account is for the coverage of lending.
COLL	Collateral	Account is for collateral.
SETT	FinancialSettlement	Account is for financial settlements.
MARR	MarginReturn	Account is for margin returns.
SEGT	Segregated	Account is an segregated account.

66.2.2.35 CashAssetType1Code

Definition: Specifies a source of the cash asset.

Type: CodeSet

CodeName	Name	Definition
CSH2	CashInHolding	Cash is cash in the holding not yet invested.
CSH1	CashInvestment	Cash is attributed to a cash investment.

66.2.2.36 CertificateType2Code

Definition: Specifies the type of certificate.

Type: CodeSet

CodeName	Name	Definition
AMLC	AntiMoneyLaundering	Anti-money laundering certification form.
DVLC	DrivingLicense	Government issued form of picture identification - driving license.
DFOR	DueFormationAndOrganisation	Certification of due formation and organisation.
GOST	GoodStanding	Certificate of good standing.
IDEN	IdentityCard	Government issued form of picture identification - identity card.
INCU	Incumbency	Certification of incumbency.
LREF	LetterOfReference	Letter of reference.
PASS	Passport	Government issued form of picture identification - passport.
PRAD	ProofOfAddress	Proof of the address, such as a utility bill.
PKIC	PublicKeyInfrastructureCertificate	Public key infrastructure certificate.

66.2.2.37 ChargeBearer1Code

Definition: Specifies which party(ies) will pay charges due for processing of the payment transaction.

Type: CodeSet

CodeName	Name	Definition
OUR	BorneByDebtor	All transaction charges are to be borne by the debtor.
BEN	BorneByCreditor	All transaction charges are to be borne by the creditor.
SHA	Shared	Under the credit transfer scenario, transaction charges on the sender's side are to be borne by the debtor; transaction charges on the receiver's side are to be borne by the creditor.

66.2.2.38 ChargePaymentMethod1Code

Definition: Specifies how expenses are paid.

Type: CodeSet

CodeName	Name	Definition
CASH	Cash	Payment is with cash.
UNIT	Unit	Units are redeemed to cover payment.

66.2.2.39 ChargeType12Code

Definition: Type of service for which a charge is asked or paid.

Type: CodeSet

CodeName	Name	Definition
BEND	BackEndLoad	Sales charge paid by the investor when redeeming an investment such as an investment fund.
DISC	Discount	Charge that has been reduced from the standard initial charge levied by a fund, eg, during a launch period or as negotiated by a funds supermarket / discount broker.
FEND	FrontEndLoad	Sales charge paid immediately by the investor when subscribing to an investment such as an investment fund.
POST	PostageCharge	Charge paid for the postage.
REGF	RegulatoryFee	Fee charged by a regulatory authority, eg, Securities and Exchange fees.
SHIP	ShippingCharge	Charge for shipping, including the insurance of securities.
SPCN	SpecialConcessions	Charges, drawdown, or other reduction from or in addition to the deal price.
TRAN	TransferFee	In investment funds, a fee charged for the transfer of ownership of an investment fund.

66.2.2.40 ChargeType9Code

Definition: Specifies the type of service for which a charge is asked or paid.

Type: CodeSet

CodeName	Name	Definition
MANF	ManagementFee	Fee paid to an investment manager for services. The fee usually includes fund administration costs and investor relationship management. Typically, the amount paid is a percentage of the assets under management.
BEND	BackEndLoad	Sales charge paid by the investor when redeeming an investment such as an investment fund.

CodeName	Name	Definition
FEND	FrontEndLoad	Sales charge paid immediately by the investor when subscribing to an investment such as an investment fund.
ADVI	AdvisoryFee	Fee paid for advisory services rendered.
CUST	CustodyFee	Fee paid to a custodian in respect of custodial services.
PUBL	PublicationFee	Fee paid in respect of publications made.
ACCT	AccountingFee	Fee paid for accounting services rendered.
EQUL	Equalisation	The part of an investor's subscription amount that is held by the fund in order to pay incentive or performance fees at the end of the fiscal year.
PENA	Penalty	Fee charged to the investor for early redemption of the fund.

66.2.2.41 CivilStatus1Code

Definition: Specifies the civil status of a person.

Type: CodeSet

CodeName	Name	Definition
DIVO	Divorced	Person is divorced.
LDIV	LegallyDivorced	Person is legally divorced.
MARR	Married	Person is married.
SEPA	Separated	Person is separated.
SING	Single	Person is single.
UNIO	StableUnion	Person is in a stable union.
WIDO	Widow	Person is a widower.

66.2.2.42 ClosedStatusReason1Code

Definition: Specifies the reason for the closed status.

Type: CodeSet

CodeName	Name	Definition
ASIN	AccountServicerInstruction	Account is closed, following an instruction from the account servicer to close the account.
CLIN	ClientInstruction	Account is closed, following an instruction from the client, or an authorised party, to close the account.

66.2.2.43 ClosurePendingStatusReason1Code

Definition: Specifies the reason for the closure pending status.

Type: CodeSet

CodeName	Name	Definition
CLOS	AccountClosingInProgress	Account closing is in process.
PEND	PendingConditions	Account will be closed when conditions are met.

66.2.2.44 Collateral1Code

Definition: Specifies whether the item is used as collateral.

Type: CodeSet

CodeName	Name	Definition
COLL	Collateral	Item is used as collateral.
NCOL	NotCollateral	Item is not used as collateral.

66.2.2.45 CommissionType6Code

Definition: Specifies the type of investment fund commission.

Type: CodeSet

CodeName	Name	Definition
FEND	FrontEndLoad	Commission for subscribing to an investment, paid at the time of the subscription.
BEND	BackEndLoad	Commission for redeeming an investment, when an investor redeems an investment fund within a certain period of time.
CDPL	CommissionDePlacement	Amount of cash due to an intermediary for selling a product, or services, to a third party.

66.2.2.46 CommunicationMethod1Code

Definition: Communication method used for the transmission of documents.

Type: CodeSet

CodeName	Name	Definition
SWMT	SWIFTMT	Transmission by Swift (FIN).
SWMX	SWIFTMX	Transmission by SWIFTNET.
FAXI	Fax	Transmission by fax.
EMAL	Email	Transmission by e-mail.
PROP	Proprietary	Transmission by proprietary method.

66.2.2.47 CompanyLink1Code

Definition: Specifies the type of links to a company.

Type: CodeSet

CodeName	Name	Definition
AGEN	Agent	Autonomous agent accredited by the broker.
BROK	Broker	Employee, operator or agent of the broker.
PART	BrokeragePartner	Brokerage partner or shareholder, individual or entity who holds a direct or an indirect control function and the holder of a management or an administration position.
MEMB	MemberBroker	Member broker of a financial conglomerate (person is a board member, director, officer and employee of a member company of the conglomerate).
PCOM	ParentCompany	Parent company of the broker.
RELA	Relative	Spouse or partner and child of an employee, operator or agent of the broker; agent of the broker; brokerage partners or shareholders; individual or entity who holds a direct or an indirect control function and the holder of a management or an administration position.

66.2.2.48 ConditionallyAcceptedStatusReason2Code

Definition: Specifies the reason for a conditionally accepted status.

Type: CodeSet

CodeName	Name	Definition
DOCC	AwaitingDocuments	Order is pending until documents are completed and received, eg, legal documents from the successor, legal proceeds because of bankruptcy, missing signature.
AWRM	AwaitingRedemptionMoney	Order is accepted for further processing but the execution of the order is pending until there are enough funds in the account to complete the redemption.
AWSM	AwaitingSubscriptionMoney	Order is accepted for further processing but the execution of the order is pending until there is enough cash in the account to pay the subscription.
DUPL	PossibleDuplicate	Order is accepted for further processing pending the result of the investigation for possible duplicates.
CRED	TradingLimitExceeded	Order is accepted for further processing pending the extension of the trading limit.

66.2.2.49 ConductClassification1Code

Definition: Specifies the classification of the conduct.

Type: CodeSet

CodeName	Name	Definition
NSTA	NonStandard	Non standard or unusual.
RCLT	Reluctant	Reluctant.
STAN	Standard	Standard.

66.2.2.50 ConfirmationCancellationReason1Code

Definition: Specifies the reason why a confirmation is cancelled.

Type: CodeSet

CodeName	Name	Definition
CSHN	CashNotReceived	Confirmation is cancelled because the cash has not been received.
REPL	ConfirmationReplacement	Confirmation is cancelled because it will be replaced.
BENA	IncorrectBackEndLoadAmount	Confirmation is cancelled because the back end load amount is incorrect.
CSHW	IncorrectCashAmount	Confirmation is cancelled because the cash amount is incorrect.
DISA	IncorrectDiscountAmount	Confirmation is cancelled because the discount amount is incorrect.
DISC	IncorrectDiscountAmountCurrency	Confirmation is cancelled because the discount amount has an incorrect currency.
EXCH	IncorrectExchangeRate	Confirmation is cancelled because the exchange rate is incorrect.
FEEE	IncorrectFeeOrCommission	Confirmation is cancelled because a fee or commission amount is incorrect.
DQUA	IncorrectFinancialInstrumentQuantity	Confirmation is cancelled because the financial instrument quantity is incorrect.
FENA	IncorrectFrontEndLoadAmount	Confirmation is cancelled because the front end load amount is incorrect.
GROA	IncorrectGrossAmount	Confirmation is cancelled because the gross amount is incorrect.
GROC	IncorrectGrossAmountCurrency	Confirmation is cancelled because the gross amount has an incorrect currency.
NETA	IncorrectNetAmount	Confirmation is cancelled because the net amount is incorrect.
NETC	IncorrectNetAmountCurrency	Confirmation is cancelled because the net amount has an incorrect currency.
OPER	IncorrectOperation	Confirmation is cancelled because of an incorrect operation.
MINI	IncorrectQuantityUnitsOrCash	Confirmation is cancelled because of an incorrect minimum traded quantity or cash amount.

CodeName	Name	Definition
DMON	IncorrectSettlementAmount	Confirmation is cancelled because the settlement amount is incorrect.
NCRR	IncorrectSettlementAmountCurrency	Confirmation is cancelled because the settlement amount has an incorrect currency.
DDAT	IncorrectSettlementDate	Confirmation is cancelled because the settlement date is incorrect.
SETS	IncorrectSettlementMethod	Confirmation is cancelled because the settlement method is incorrect.
DDEA	IncorrectTradeDate	Confirmation is cancelled because the trade date is incorrect.
REFE	InvalidReference	Confirmation contains an invalid business reference.

66.2.2.51 ConsolidationType1Code

Definition: Specifies the consolidation type.

Type: CodeSet

CodeName	Name	Definition
GENL	General	Consolidation is general.
PART	Participation	Consolidation is at the level of the participant.

66.2.2.52 CorporateActionEvent1Code

Definition: Specifies the type of corporate action event.

Type: CodeSet

CodeName	Name	Definition
DRIP	DividendReinvestment	Event is a dividend payment type where cash dividend is rolled over into additional shares in the issuing company.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or Sicavs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
DVCA	CashDividend	Event is a distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. The shareholder must take cash and is not offered a choice in the form of distribution.
DVOP	DividendOption	Event is a distribution of a dividend to shareholders with the choice of payment method. The shareholder must choose

CodeName	Name	Definition
		the form of payment - stock, cash, or both.
DVSE	StockDividend	Event is a dividend paid to shareholders in the form of shares of stock in the issuing company or in another company. The shareholder must take stock and is not offered a choice in the form of distribution.
LIQU	Liquidation	Event is a liquidating dividend or liquidation that consists of a distribution of cash, assets, or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security. The security holder may be able to choose the form of liquidation distribution.
MRGR	Merger	Event is a mandatory or voluntary exchange of outstanding securities as the result of two or more companies combining assets. Cash payments may accompany share exchange.
NAME	NameChange	Event is a name change. The issuing company changes its name. The event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
SOFF	SpinOff	Event is a demerger or distribution or an unbundling. It is a distribution of subsidiary stock to the shareholders of the parent company without a surrender of shares. A spin-off represents a form of divestiture resulting in an independent company. Normally this is without cost to the parent issue shareholder.
SPLF	StockSplit	Event is a change in nominal value, a subdivision. It is an increase in a corporation's number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SPLR	ReverseStockSplit	Event is a change in nominal value, a consolidation. It is a decrease in number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
TEND	Tender	Event is an acquisition or take-over or offre publique de retrait (FR) or purchase offer or buy-back. It is an offer made to shareholders requesting them to sell (tender) their shares for a specified price usually at a premium over prevailing market price. Generally, the objective of

CodeName	Name	Definition
		a tender offer is to take control of the target company.
BONU	BonusIssue	Event is a bonus issue or scrip issue or capitalisation issue. Security holders are awarded additional assets free of payment from the issuer in proportion to their holding. A bonus issue is typically represented by shares, rights or warrants. Nominal value doesn't change. Holder may be offered choice of form.
RHDI	RightsDistribution	Event is the distribution of rights to shareholders, in proportion to their equity holding.
EXRI	CallOnRights	Event is a call or exercise on nil-paid securities or rights resulting from a rights distribution (RHDI). This is to be used for the second event in those cases where the rights issue is dealt with in two events, the first event being the rights distribution (RHDI).
CHAN	Change	Event is a generic change. For example, a change in the terms of an issue, a change in the identification of a security, change of a board lot, a change from global to definitive.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a financial instrument is restated, eg, the debt in a national currency is restated in euro.
EXOP	ExchangeOption	Event is an option for the shareholders to exchange their securities for other securities and/or cash. Exchange options are mentioned in the terms and conditions of a security and are valid during the whole lifetime of a security.
EXOF	ExchangeOffer	Event is an exchange offer or capital reorganisation. It is an offer to shareholders to exchange their holdings for other securities and/or cash. Exchange offers are usually voluntary involving the exchange of outstanding security for a different security or securities and/or cash.
DECR	DecreaseInValue	Event is a reduction of the share capital and face value of a single share. The number of the circulating shares remains unchanged. It may include a capital payout to shareholder.

66.2.2.53 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

66.2.2.54 CreditDebit3Code

Definition: Specifies if an operation is an increase or a decrease.

Type: CodeSet

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

66.2.2.55 CreditDebitCode

Definition: Specifies if an operation is an increase or a decrease.

Type: CodeSet

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

66.2.2.56 CRSFormType1Code

Definition: Specifies the type of Common Reporting Standard (CRS) form.

Type: CodeSet

CodeName	Name	Definition
CER4	CertificateIdentificationGovernment	Certificate of Identification issued by a government.
CER3	CertificateOfResidence	Certificate of residence.
CER5	OfficialDocumentGovernmentForEntity	Official documentation issued by a government for the entity.
CER6	OtherDocumentOrReport	Financial statement, third party credit report, bankruptcy filing or a securities regulator report.
CER8	PublicInformationEntity	Publicly available information for the entity.
CER1	SelfCertificationSeparateDocument	Self certification as a separate document.
CER2	SelfCertificationWithAccountOpeningContract	Self certification as a document included into contract of the account opening.

CodeName	Name	Definition
CER7	Standardised	Standardised industry coding system for the entity.

66.2.2.57 CRSSourceStatus1Code

Definition: Specifies the source of Common Reporting Standard (CRS) status.

Type: CodeSet

CodeName	Name	Definition
CALC	Calculated	Source of the Common Reporting Standard (CRS) status is calculated.
DECL	Declared	Source of the Common Reporting Standard (CRS) status is as declared by the investor.

66.2.2.58 CRSStatus1Code

Definition: Specifies the Common Reporting Status (CRS) status.

Type: CodeSet

CodeName	Name	Definition
C101	CRS101	Account holder type is a passive non-financial entity with one or more controlling persons that are foreign persons.
C102	CRS102	Account holder type is a foreign person.
C103	CRS103	Account holder type is a passive foreign non-financial entity without controlling persons that are foreign persons.
C104	CRS104	Account holder type is a financial institution such as a depository, a custodial institution or a specified insurance company.
C105	CRS105	Account holder type is a financial institution such as an investment entity of a non-participating jurisdiction and managed by another financial institution without one or more controlling persons that are foreign persons.
C106	CRS106	Account holder type is a financial institution such as an investment entity of a non-participating jurisdiction and managed by another financial institution with one or more controlling persons that are foreign persons.
C107	CRS107	Account holder type is a financial institution such as another investment entity.
C108	CRS108	Account holder type is an active non-financial entity such as a corporation for which the stock is regularly traded on an established securities market.

CodeName	Name	Definition
C109	CRS109	Account holder type is an active non-financial entity such as a corporation which is a related entity of a corporation for which the stock is regularly traded on an established securities market.
C110	CRS110	Account holder type is an active non-financial entity such as a government entity.
C111	CRS111	Account holder type is an active non-financial entity such as a central bank.
C112	CRS112	Account holder type is an active non-financial entity such as an international organisation.
C113	CRS113	Account holder type is not a foreign person.
C114	CRS114	Account holder type is a financial institution such as an investment entity of a participating jurisdiction and managed by another financial institution.

66.2.2.59 CurrencyDesignation1Code

Definition: Specifies whether a currency is settled offshore or onshore.

Type: CodeSet

CodeName	Name	Definition
ONSH	Onshore	Onshore.
OFFS	Offshore	Offshore.

66.2.2.60 DataModification1Code

Definition: Specified the type of modification to be applied on a data set.

Type: CodeSet

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

66.2.2.61 DataModification2Code

Definition: Specified the type of modification to be applied on a data set.

Type: CodeSet

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
DELT	DeleteDataSet	Delete an existing set of data.

66.2.2.62 DeliveryReceiptType2Code

Definition: Specifies how the transaction is to be settled.

Type: CodeSet

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

66.2.2.63 DisabledReason2Code

Definition: Specifies the reason an account is disabled.

Type: CodeSet

CodeName	Name	Definition
CLOS	AccountClosingInProgress	Account closing is in process.
BKRP	Bankruptcy	Bankruptcy.
CMMT	Commitment	Commitment.
CNFS	Confiscation	Confiscation.
MORT	MortisCausa	Reserved in contemplation of death.
PCOM	PendingComplianceVerification	Pending compliance verification.
PLDG	Pledged	Pledged.
TRPE	Reregistration	Reregistration.
SANC	Sanctions	Sanctions are in place.
TRAN	Transfer	Transfer.
REJT	Rejected	Account was pending opening but is now rejected.

66.2.2.64 DistributionPolicy1Code

Definition: Specifies if income is to be paid out (distributed) or retained (accumulated).

Type: CodeSet

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

66.2.2.65 DividendPolicy1Code

Definition: Specifies the dividend policy of the financial instrument.

Type: CodeSet

CodeName	Name	Definition
CASH	Cash	Dividend is paid in cash.
UNIT	Units	Dividend is paid in units.
BOTH	Both	Dividend is paid in both Cash and Units.

66.2.2.66 DrawdownStatus1Code

Definition: Specifies a drawdown status.

Type: CodeSet

CodeName	Name	Definition
FULL	Full	Pension is fully in drawdown.
NONE	None	Pension is not in drawdown.
PART	Partial	Pension is in partial drawdown.

66.2.2.67 DrawdownType2Code

Definition: Specifies a type of drawdown.

Type: CodeSet

CodeName	Name	Definition
BOTH	Both	Drawdown type is both flexi-access and capped.
CAPP	CappedIncomeDrawdown	Drawdown type is capped.
FLEX	FlexibleAccessDrawdown	Drawdown type is flexi-access.

66.2.2.68 Eligible1Code

Definition: Specifies whether the item is eligible or not eligible.

Type: CodeSet

CodeName	Name	Definition
ELIG	Eligible	Item is eligible.
NELI	NotEligible	Item is not eligible.

66.2.2.69 EnabledStatusReason1Code

Definition: Specifies the reason for an enabled status.

Type: CodeSet

CodeName	Name	Definition
MODI	Modified	Modification to the account data is in process.

66.2.2.70 ESGCategoryGermanFundMarket1Code

Definition: Specifies the category of fund product.

Type: CodeSet

CodeName	Name	Definition
NEUT	Neutral	Neutral or has no impact.
IMPF	Impact	Product with impact-related investment (pursuant to Article 9 Disclosure Regulation): - No serious breach of the United Nations Global Compact (UNGC); - Relevant for both individual products and those in a portfolio/basket (shares/bonds); - Product manager follows a recognised industry standard (UN PRI).
ESGF	ESGStrategy	Product with a dedicated environmental, social and corporate governance (ESG) strategy (pursuant to Article 8 Disclosure Regulation).
BASF	BasicCategory	Product having environmental, social and corporate governance (ESG) characteristics, opportunities or risks according to the "ESG integration enhanced" criteria. Product integration approach is disclosed and the product manager follows a recognised industry standard (UN PRI).

66.2.2.71 ESGCategoryGermanStructuredSecuritiesMarket1Code

Definition: Specifies the category of structured securities product.

Type: CodeSet

CodeName	Name	Definition
NEUT	Neutral	Neutral or has no impact.
IMPS	ImpactSS	Impact-related bond according to recognised market standards (for example, Green/Social Bond Principles, EU Green Bond Standards).
ESGS	ESGStrategySS	Product manufacturer attains at least with one rating agency the status of a sustainable company.
BASS	BasicCategorySS	Product manufacturer follows environmental, social and corporate governance (ESG) product and transparency standard.

66.2.2.72 ESGFocus1Code

Definition: Specifies if focus will be Environmental, Social or Governance.

Type: CodeSet

CodeName	Name	Definition
ENVR	Environmental	Main focus will be environmental.
GOVR	Governance	Main focus will be governance.
SOCL	Social	Main focus will be social.

66.2.2.73 ESGLabelOrStandard1Code

Definition: Specifies the ESG label or standard of a product.

Type: CodeSet

CodeName	Name	Definition
E005	CBIClimateBonds	Climate Bonds Initiative (CBI) Climate Bonds Standard.
C003	EUEcolabel	EU Ecolabel for financial products.
B002	EUGreenBond	EU Green Bond Standard.
L012	FebelfinTowardsSustainability	Febelfin Towards Sustainability label.
D004	FNGSiegel	FNG-Siegel.
O015	GreenfinLabel	Greenfin label.
A001	ICMAGreenBond	International Capital Markets Association (ICMA) Green Bond Principles.
F006	ICMASocialBonds	International Capital Markets Association (ICMA) Social Bonds Principles.
K011	ISR	Label "Investissement Socialement Responsable" (ISR).
J010	KeinVerstoßGegenAtomwaffensperrvertrag	Kein Verstoss gegen Atomwaffensperrvertrag.
H008	LuxFLAGClimate	LuxFLAG climate finance label.
I009	LuxFLAGEnvironment	LuxFLAG environment label.
G007	LuxFLAGESG	LuxFLAG ESG label.
N014	NordicSwan	Nordic Swan Ecolabel.
M013	UZ49	UZ49 - das Oesterreichische Umweltzeichen.

66.2.2.74 EUCapitalGain2Code

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June) for an income realised upon sale, a refund or redemption of shares and units etc.

Type: CodeSet

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

66.2.2.75 EUDividendStatus1Code

Definition: Specifies whether the dividend is in the scope of the European directive on taxation of savings income in the form of interest payments.

Type: CodeSet

CodeName	Name	Definition
DIVI	DividendInScope	Dividend is in the scope of the directive.
DIVO	DividendOutScope	Dividend is out of the scope of the directive.
UKWN	DividendUnknown	Unknown whether the dividend is in or out of the scope of the directive.

66.2.2.76 EUSavingsDirective1Code

Definition: Indicates whether or not the fund is subject to reporting under the EU Savings Directive. Enter "Variable" if the status of the fund is likely to change from time to time.

Type: CodeSet

CodeName	Name	Definition
EUSI	EUSavingsInScope	Investment Fund is in the scope of the directive.
EUSO	EUSavingsOutScope	Investment Fund is out of the scope of the directive.
VARI	EUSavingsVariable	Investment Fund may vary for inclusion in the scope of the directive.

66.2.2.77 EventFrequency10Code

Definition: Specifies the regularity of an event.

Type: CodeSet

CodeName	Name	Definition
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.

66.2.2.78 EventFrequency1Code

Definition: Specifies the regularity of an event.

Type: CodeSet

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
MNTH	Monthly	Event takes place every month or once a month.
TWMN	TwiceAMonth	Event takes place two times a month.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.
INDA	IntraDay	Event takes place several times a day.
OVNG	Overnight	Event takes place overnight.
ONDE	OnDemand	Event takes place on demand.

66.2.2.79 EventFrequency5Code

Definition: Specifies the regularity of an event.

Type: CodeSet

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
MNTH	Monthly	Event takes place every month or once a month.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
CLOS	Closed	Event cannot take place, because, for example, the fund is closed.
TOMN	EveryTwoMonths	Event takes place every two months.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
TWMN	TwiceAMonth	Event takes place two times a month.

66.2.2.80 EventFrequency8Code

Definition: Specifies the regularity of an event.

Type: CodeSet

CodeName	Name	Definition
ADHO	Adhoc	Event takes place as necessary.
YEAR	Annual	Event takes place every year or once a year.
DAIL	Daily	Event takes place every day.
FOMN	EveryFourMonths	Event takes place every four months or three times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
TYEA	EveryTwoYears	Event takes place every two years.
INDA	IntraDay	Event takes place several times a day.
MNTH	Monthly	Event takes place every month or once a month.
ONDE	OnDemand	Event takes place on demand.
OVNG	Overnight	Event takes place overnight.
QUTR	Quarterly	Event takes place every three months or four times a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
TWMN	TwiceAMonth	Event takes place two times a month.
WEEK	Weekly	Event takes place once a week.

66.2.2.81 EventFrequency9Code*Definition:* Specifies the regularity of an event.*Type:* CodeSet

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
MNTH	Monthly	Event takes place every month or once a month.
TWMN	TwiceAMonth	Event takes place two times a month.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.

CodeName	Name	Definition
INDA	IntraDay	Event takes place several times a day.
OVNG	Overnight	Event takes place overnight.
ONDE	OnDemand	Event takes place on demand.
NONE	None	Event does not take place.

66.2.2.82 ExPostCostCalculationBasis1Code

Definition: Specifies a basis for ex post calculations.

Type: CodeSet

CodeName	Name	Definition
FIXB	FixedBasis	Basic for cost calculation is fixed, that is calendar year.
ROLL	RollingBasis	Basis for cost calculation is rolling, that is, the last twelve months.

66.2.2.83 ExternalAccountIdentification1Code

Definition: Specifies the external account identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

66.2.2.84 ExternalFinancialInstrumentIdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

66.2.2.85 FATCAFormType1Code

Definition: Specifies the type of Foreign Account Tax Compliance Act (FATCA) form.

Type: CodeSet

CodeName	Name	Definition
CER5	CertificateForeignIntermediary	Certificate of foreign intermediary (also known as IRS form W-8IMY).
CER7	CertificateForeignPersonsClaim	Certificate of foreign person's claim that income is effectively connected with the conduct of a trade or business in the United States (also known as IRS form W-8BEN-E).
CER1	CertificateForeignStatusBeneficialOwner	Certificate of foreign status of beneficial owner (also known as IRS form W-8BEN).
CER2	CertificateLossNationality	Certificate of loss of nationality.
CER3	SelfCertification	Self certification.
CER4	SelfCertificationLossNationality	Self certificate of loss of nationality (also known as IRS form I-407).
CER6	TaxpayerIdentificationNumberRequest	Form is a request for taxpayer identification number and certification (also known as IRS form W9).

66.2.2.86 FATCASourceStatus1Code

Definition: Specifies the source of Foreign Account Tax Compliance Act (FATCA) status.

Type: CodeSet

CodeName	Name	Definition
CALC	Calculated	Source of the Foreign Account Tax Compliance Act (FATCA) status calculated.
DECL	Declared	Source of the Foreign Account Tax Compliance Act (FATCA) status is as declared by the investor.

66.2.2.87 FATCAStatus1Code

Definition: Specifies the Foreign Account Tax Compliance Act (FATCA) status.

Type: CodeSet

CodeName	Name	Definition
F101	FATCA101	Account holder type is an owner-documented financial institution with specified US owner(s).
F102	FATCA102	Account holder type is a passive non-financial entity with substantial US owner(s).
F103	FATCA103	Account holder type is a non-participating financial institution.
F104	FATCA104	Account holder type is a specified US person.

CodeName	Name	Definition
F105	FATCA105	Account holder type is a direct reporting non-financial foreign entity (NFFE).
F201	FATCA201	Account pool reporting type is a recalcitrant account holder with US indicia.
F202	FATCA202	Account pool reporting type is a recalcitrant account holder without US indicia.
F203	FATCA203	Account pool reporting type is a dormant account.
F204	FATCA204	Account pool reporting type is a non-participating foreign financial institution.
F205	FATCA205	Account pool reporting type is a recalcitrant account holder that is a US person.
F206	FATCA206	Account pool reporting type is recalcitrant account holder that is a passive non-financial foreign entity (NFFE).

66.2.2.88 FinancialAdvice1Code

Definition: Specifies if advice has been received from an independent financial advisor.

Type: CodeSet

CodeName	Name	Definition
RECE	Received	Advice has been received from an independent financial advisor.
NREC	NotReceived	Advice has not been received from an independent financial advisor.
UKWN	Unknown	It is not known whether or not advice has been received from an independent financial advisor.

66.2.2.89 FlowDirectionType1Code

Definition: Specifies the direction of a cash flow.

Type: CodeSet

CodeName	Name	Definition
INCG	Incoming	Amount of money received.
OUTG	Outgoing	Amount of money paid out.

66.2.2.90 FormOfSecurity1Code

Definition: Form of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

66.2.2.91 FundCashAccount2Code

Definition: Specifies the cash account type.

Type: CodeSet

CodeName	Name	Definition
CASH	CashAccount	Cash account.
CPFO	PensionFundOrdinary	Central Provident Fund (CPF) ordinary account.
CPFS	PensionFundSpecial	Central Provident Fund (CPF) special account.
SRSA	RetirementScheme	Supplementary Retirement Scheme (SRS) account.

66.2.2.92 FundCashAccount4Code

Definition: Specifies the account type.

Type: CodeSet

CodeName	Name	Definition
HEDG	HedgeFund	Hedge fund account.
CPFO	PensionFundOrdinary	Central Provident Fund (CPF) ordinary account.
CPFS	PensionFundSpecial	Central Provident Fund (CPF) special account.
SRSA	RetirementScheme	Supplementary Retirement Scheme (SRS) account.
CSDO	CSDOmnibusAccount	Account operated by a CSD in cross-CSD settlement context.
TOFF	TechnicalOffsetAccount	Account operated by a CSD in a direct holding market context.
ICSA	InterCSDAccount	Account operated by a CSD for settlement of securities legs for instructions involving internal CSDs.
CSDM	CSDMirrorAccount	Account operated by a CSD for mirroring positions.
CSDP	CSDParticipantAccount	Account owned by a CSD participant.
PPEN	PrivatePensionScheme	Private pension account.
CPEN	WorkplacePensionSchema	Workplace or company pension account.

66.2.2.93 FundIntention1Code

Definition: Specifies if the fund is intended for qualified investors.

Type: CodeSet

CodeName	Name	Definition
YQUA	Qualified	Qualified.
NQUA	NotQualified	Not qualified.

66.2.2.94 FundOrderType10Code

Definition: Specifies a type of investment fund transaction.

Type: CodeSet

CodeName	Name	Definition
SUBS	Subscription	Investment fund order is a subscription.
RDIV	ReinvestmentOfDividend	Investment fund order is a dividend reinvestment (only applicable to a subscription).
REDM	Redemption	Investment fund order is a redemption.
RGSV	RegularSavingsPlan	Investment fund order is a regular savings plan (only applicable to a subscription).
WIDP	Withdrawal	Investment fund order is for a withdrawal investment plan (only applicable to a redemption).

66.2.2.95 FundOrderType5Code

Definition: Specifies the category of the investment fund order.

Type: CodeSet

CodeName	Name	Definition
NSPN	NormalStaffPortion	That part of an investment fund order attributed to a normal staff portion.
NCPN	NormalClientPortion	That part of an investment fund order attributed to a normal client portion.
SWSP	StaffWithSavingsPlan	That part of an investment fund order attributed to a staff member with a savings plan.
CWSP	ClientWithSavingsPlan	That part of an investment fund order attributed to a client with a savings plan.

66.2.2.96 FundOrderType8Code

Definition: Specifies the category of the investment fund order.

Type: CodeSet

CodeName	Name	Definition
BEDB	BedAndBreakfast	Investment fund order is part of a bed and breakfast transaction.
INVP	InvestmentPlan	Investment fund order is related to an investment plan (only applicable to a subscription).
PREA	PreAdvice	Investment fund order is executed with specific pre-advice conditions.
RGSV	RegularSavingsPlan	Investment fund order is a regular savings plan (only applicable to a subscription).
RGSU	RegularSavingsPlanUpdate	Investment fund order is paying extra money into to an existing plan (only applicable to a subscription).
RDIV	ReinvestmentOfDividend	Investment fund order is a dividend reinvestment (only applicable to a subscription).
STAF	StaffOrder	Investment fund order is a staff order.
WIDP	Withdrawal	Investment fund order is for a withdrawal investment plan (only applicable to a redemption).

66.2.2.97 FundOwnership1Code

Definition: Specifies if all the shares are owned exclusively by the fund company.

Type: CodeSet

CodeName	Name	Definition
YALL	AllOwned	All shares owned.
NALL	NotAllOwned	Not all shares owned.

66.2.2.98 FundPaymentType1Code

Definition: Specifies a type of payment instrument.

Type: CodeSet

CodeName	Name	Definition
DRAF	BankersDraft	Payment instrument is a bankers draft.
CACC	CashAccount	Payment instrument is a cash account.
CHEQ	Cheque	Payment instrument is a cheque.
CRDT	CreditTransfer	Payment instrument is a credit transfer.
DDEB	DirectDebit	Payment instrument is a direct debit.
CARD	PaymentCard	Payment instrument is a payment card.

66.2.2.99 GateHoldBack1Code

Definition: Specifies the type of hold back on redemption proceeds.

Type: CodeSet

CodeName	Name	Definition
GATE	Gating	Gating of redemption proceeds.
HOLD	HoldBack	Hold back of redemption proceeds.

66.2.2.100 GDPRDataConsent1Code

Definition: Consent type in the context of the General Protection Regulation (GDPR) 2016/679 regulation.

Type: CodeSet

CodeName	Name	Definition
DP00	ConsentMarketing	Consent to authorise the controller of personal data processing to process personal data for marketing purposes.
DP03	ConsentProfilingTargeted	Consent to authorise the controller of personal data processing to process personal data for profiling purposes in order to formulate customised and targeted product and service offers.
DP01	ConsentTransferSameMarketing	Consent to authorise the controller of personal data processing to transfer personal data to other companies belonging to the same group for independent processing for marketing purposes.
DP02	ConsentTransferThirdPartyMarketing	Consent to authorise the controller of personal data processing to transfer personal data to third party companies for independent processing for marketing purposes.

66.2.2.101 Gender1Code

Definition: Specifies the gender of a person.

Type: CodeSet

CodeName	Name	Definition
FEMA	Female	Individual is a female.
MALE	Male	Individual is a male.

Restricts

"GenderCode" on page 2584

66.2.2.102 GenderCode

Definition: Specifies the gender of a person.

Type: CodeSet

CodeName	Name	Definition
MALE	Male	Individual is a male.
FEMA	Female	Individual is a female.

Is restricted by

"Gender1Code" on page 2584

66.2.2.103 GeneralInvestmentAccountType2Code

Definition: Specifies a type of investment account.

Type: CodeSet

CodeName	Name	Definition
ANYY	Any	Any type of general investment account.
EQUI	ShareSavingsAccount	General shares or equity savings account.

66.2.2.104 GovernanceProcessType1Code

Definition: Specifies a type of governance process.

Type: CodeSet

CodeName	Name	Definition
BMIF	ComparableMiFID	Product governance procedure is comparable to MiFID II.
NINF	NoInformation	No information is requested from the issuer.
CMIF	NotMiFID	Product governance procedure is not in accordance with MiFID II.
AMIF	PursuantMiFID	Product governance procedure is pursuant to MiFID II.

66.2.2.105 HolderType1Code

Definition: Specifies a type of holder.

Type: CodeSet

CodeName	Name	Definition
TFEE	Transferee	Holder is the transferee party. The transferee party may also be known as the acquiring party.
TFOR	Transferor	Holder is the transferor party. The transferee party may also be known as the ceding party.

66.2.2.106 Holding1Code

Definition: Specifies how the holding is blocked.

Type: CodeSet

CodeName	Name	Definition
CERT	Certificate	Certificate.
NPRH	NonPartialHolding	Non partial holding.

CodeName	Name	Definition
PRTH	PartialHolding	Partial holding.

66.2.2.107 HoldingsPlanType1Code

Definition: Specifies the holdings plan of the assets to transfer.

Type: CodeSet

CodeName	Name	Definition
INVP	InvestmentPlan	Holding to be transferred belongs to an investment plan.
SWIP	SwitchPlan	Holding to be transferred belongs to a switch plan.
PLAR	WithdrawalPlan	Holding to be transferred belongs to a withdrawal plan.

66.2.2.108 HoldingTransferable1Code

Definition: Indicate whether or not registered investors are able to transfer some or all of their holdings to third parties.

Type: CodeSet

CodeName	Name	Definition
TRAL	TransferAllowed	Transfer is allowed.
TRNA	TransferNotAllowed	Transfer is not allowed.
RFOD	ReferToFundOrderDesk	Indicates that the operation is only possible in restricted circumstances.

66.2.2.109 IncomePreference1Code

Definition: Specifies the options for distribution of dividend income.

Type: CodeSet

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

66.2.2.110 IncomePreference2Code

Definition: Specifies the options for distribution of dividend income.

Type: CodeSet

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
SECU	Securities	Distribution in securities.

66.2.2.111 InformationDistribution2Code

Definition: Specifies how information is to be distributed.

Type: CodeSet

CodeName	Name	Definition
ELEC	Electronic	Electronic distribution.
NONE	NoDistribution	No distribution.
PAPR	Paper	Paper distribution.

66.2.2.112 InRepairStatusReason1Code

Definition: Specifies the reason for a In Repair status.

Type: CodeSet

CodeName	Name	Definition
COMA	CommercialAgreement	Order has been accepted and centralised before the cut off, but with different sales agreement than the one provided in the order.

66.2.2.113 Insurance1Code

Definition: Specifies the type of insurance.

Type: CodeSet

CodeName	Name	Definition
LIFE	LifeInsurance	Life insurance.
PDIS	PermanentDisabilityInsurance	Permanent disability insurance.

66.2.2.114 IntendedOrActual2Code

Definition: Specifies if a charge or cost is intended (ex ante) or actual (post ante).

Type: CodeSet

CodeName	Name	Definition
ANTE	ExAnte	Ex ante (intended).
POST	ExPost	Ex post (actual).

66.2.2.115 InvestmentAccountCategory1Code

Definition: Specifies the investment account category.

Type: CodeSet

CodeName	Name	Definition
MAND	MandateAccount	Account is fully managed by the financial institution, that is, an investor gives a 'mandate' to the financial institution to execute a mutually agreed investment strategy on its behalf.

CodeName	Name	Definition
RETA	RetailAccount	Account is a standard account managed by the investor. The investor issues instructions for the account to the financial institution.

66.2.2.116 InvestmentFundFee1Code

Definition: Specifies the type of service for which a fee is asked or paid.

Type: CodeSet

CodeName	Name	Definition
BEND	BackEndLoad	Fee paid by the investor to the fund or fund management company when redeeming.
BRKF	BrokerageFee	Fee paid to a broker for services provided.
COMM	Commission	Amount paid out by the fund to a third party.
CDPL	CommissionDePlacement	Amount of cash due to an intermediary for selling a product, or services, to a third party.
CDSC	ContingentDeferredSalesCharge	Deferred sales charge.
CBCH	CorrespondentBankCharge	In investment funds, the charge of the correspondent bank for transferring money.
DLEV	DilutionLevy	In investment funds, a charge payable by the investor covering bid-offer spreads and dealing charges for the underlying investments. The dilution levy is paid to the fund for the benefit of other unit holders.
FEND	FrontEndLoad	Fee paid by the investor to the fund or fund management company when subscribing.
INIT	InitialCharge	Charge paid at the time of the first subscription.
ADDF	AdditionalFee	Additional fee not covered by another fee type.
POST	PostageCharge	Charge paid for the postage.
PREM	Premium	In investment funds, pre-arranged addition to the trade amount based on the published net asset value.
CHAR	ServiceProvisionFee	Fee paid by the investor to a distributor/ intermediary or other service provider for the provision of financial services.
SHIP	ShippingCharge	Charge for shipping, including the insurance of securities.
SWIT	Switch	In investment funds, charge related to a switch transaction.

CodeName	Name	Definition
UCIC	UCITSCommission	Charges paid by the investor to the fund company for subscription and redemption orders.
REGF	RegulatoryFee	Fee charged by a regulatory authority, for example, securities and exchange fees.
PENA	Penalty	Fee charged to the investor for early redemption of the fund.

66.2.2.117 InvestmentFundFee2Code

Definition: Type of service for which a charge is asked or paid.

Type: CodeSet

CodeName	Name	Definition
BEND	BackEndLoad	Fee paid by the investor to the fund or fund management company when redeeming.
FEND	FrontEndLoad	Fee paid by the investor to the fund or fund management company when subscribing.
TRAN	TransferFee	In investment funds, a fee charged for the transfer of ownership of an investment fund.
POST	PostageCharge	Charge paid for the postage.
REGF	RegulatoryFee	Fee charged by a regulatory authority, for example, securities and exchange fees.
SHIP	ShippingCharge	Charge for shipping, including the insurance of securities.
SPCN	SpecialConcessions	Fees, drawdown, or other reduction from or in addition to the deal price.

66.2.2.118 InvestmentFundMiFIDFee2Code

Definition: Specifies a type of cost or charge.

Type: CodeSet

CodeName	Name	Definition
BORF	BorrowingCostFund	Financing costs related to borrowing for the purposes of gearing expressed as a percentage of the NAV. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07105 and 08045.
DIS2	DistributionFee	Distribution fee. This fee is included in the total on going costs and is not included in the management fee. It is only applicable if the product has this feature. The cost reported should reflect the current running distribution fee. When used in reference to MiFID, this is

CodeName	Name	Definition
		in the scope of the European MiFID Template (EMT) reference 07120 and 08060 and this a percentage of the NAV of the financial instrument expressed in annualised terms (rate of cost deduction to be applied).
FES3	GrossOneOffEntryCostStructured	Gross one-off entry cost for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 08010 and may be specified as an actual amount or a percentage of the reference value, EMT reference 08110 or 08120, and should only incorporate manufacturer costs (that is, the cost of the financial instrument) and distributor costs upfront when known by the manufacturer. It should, however, not include distribution fees added on top of the product price by distributors that is out of control of the manufacturer.
FEND	GrossOneOffMaximumEntryCostNonAcquired	Gross one-off maximum entry cost not acquired to the fund. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07020 and is a percentage of the amount to be invested. This fee is paid by the investor to the fund or fund management company. This is indicative and should be adapted by the distributor according to the agreement with the asset manager.
FES2	GrossOneOffMaximumEntryCostStructured	Gross maximum one-off entry cost for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07020 or 08120, and is the 'ask price' or 'fair value'. It may be specified as an actual amount or a percentage of the reference value, EMT reference 07150, and should only incorporate manufacturer costs (that is, the cost of the financial instrument) and distributor costs upfront when known by the manufacturer. It should, however, not include distribution fees added on top of the product price by distributors that are out of control of the manufacturer.
GOC1	GrossOngoingCosts	Gross on-going costs. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07100 and 08030 and is a percentage of the NAV of the financial product, expressed in annualised terms (rate of cost deduction to be applied). This includes management fees and distribution fees and excludes all transaction costs, incidental costs and performance fees. Costs reported should reflect current running ongoing costs. The gross on-going costs is expressed

CodeName	Name	Definition
		as a percentage of the NAV of the financial instrument per annum.
GOCS	GrossOngoingCostsStructured	Gross on-going costs for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07100 and 08030 and is expressed as a percentage of the NAV or currency and amount for an absolute quotation of the financial product in annualised terms related to the reference value, EMT reference 07150 or 07155 or 08110.
INCF	IncidentalCosts	Fee that covers incidental costs. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07140 and 08080 and is expressed as a percentage of the NAV. Includes performance fees and other costs.
INCS	IncidentalCostsStructured	Fee that covers incidental costs for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07140 and 08080 and is expressed as a percentage of the NAV or a currency and amount for an absolute quotation of the financial product in annualised terms related to the reference value, EMT reference 07150 or 07155 and 08110.
MNF1	ManagementFee	Management fee paid to an investment manager for services. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07110 and 08050 and is a percentage of the NAV of the financial product expressed in annualised terms (rate of cost deduction to be applied). These fees are included in total ongoing costs and are fees used by distributors to calculate retrocession fees. The costs reported should reflect current running management costs.
MANS	ManagementFeeStructured	Management fee for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07110 and 08050 and is expressed as a percentage of the NAV or a currency and amount for an absolute quotation of the financial product in annualised terms related to the reference value, EMT reference 07150 or 07155 or 08110.
NET2	NetOneOffEntryCostExPostStructured	Net one-off entry cost for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 08015 and is minus the

CodeName	Name	Definition
		upfront distribution fee. The cost reported is a net disclosure and therefore the upfront distributor fee is equal to the difference between the gross one-off entry cost (EMT reference 08010) and the net one-off entry (EMT reference 08015).
NESF	NetOneOffEntryCostNonAcquiredStructuredFund	Net one-off entry cost for a structured fund. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07025. This is expressed as a percentage of the amount to be invested.
NETO	NetOneOffEntryCostStructuredNonAcquired	Net one-off entry cost for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07025 and is minus the upfront distribution fee. The cost reported is a net disclosure and therefore the upfront distributor fee is equal to the difference between the one-off entry cost (EMT reference 07020) and the net one-off entry (EMT reference 07025).
NRAM	NotionalReferenceAmount	Notional reference amount. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07155 and 08120. This is the amount expressed as a number of currency units to which a unit disclosed ex-post cost is based and to which a percentage disclosed cost should be multiplied in order to retrieve the unit cost.
OOEA	OneOffEntryCostAcquired	Percentage of the NAV. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 08025.
OOSF	OneOffExitCostPriorStructuredProductFund	One-off exit cost prior to the recommended holding period (RHP). When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07090 and may be specified as a percentage of the amount to be divested.
OOSS	OneOffExitCostPriorStructuredSecurities	One-off exit cost prior to the recommended holding period (RHP). When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07090 and is specified as an actual amount or a percentage of the reference value, EMT reference 07150 or 07155.
BENS	OneOffExitCostStructured	One off exit cost for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 08020 and when expressed as a percentage, this is

CodeName	Name	Definition
		a percentage of the reference value, EMT reference 08110 or 08120.
ENAC	OneOffMaximumEntryCostAcquired	Maximum cost of the one-off entry fee. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07040 and is the subscription fees acquired to the fund. It is not included in the one off entry cost 07020. This maximum one-off entry cost acquired to the fund is specified as a percentage of the amount to be invested.
ENFX	OneOffMaximumEntryCostFixedAmountItaly	Maximum one-off entry cost fixed amount. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07030 and is a flat fee defined by the fund or fund management company. This is indicative and should be adapted by the distributor according to the relationship between the fund and the distribution. This cost is not taken into account in the gross one-off maximum entry cost (FEND), EMT reference 07020.
EXAC	OneOffMaximumExitCostAcquired	Maximum cost of the one-off exit fee acquired to the fund. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07070. It is not included in the one off maximum exit cost EMT reference 07050. This is paid by the investor to the fund or fund management company. This is a percentage of the NAV.
ENBX	OneOffMaximumExitCostFixedAmountItaly	Maximum one-off exit cost, a maximum fixed amount per redemption. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07060 and is flat fee defined by the fund or fund management company. It is indicative and should be adapted distributor according to the relationship between the fund and the distribution. This cost is not taken in account in the one-off maximum exit cost EMT reference 07050.
BEND	OneOffMaximumExitCostNonAcquired	Maximum cost of the one-off exit fee not acquired to the fund that could happen at a certain time during the life of the product. This is a percentage of the NAV. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07050.
PENO	OneOffTypicalExitCost	One-off typical exit current exit cost linked to the recommended holding period (RHP) or time to maturity. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07080 and are the

CodeName	Name	Definition
		<p>'normal' fees if the client holds the product until maturity or during the RHP.</p> <p>Example: a product with a 5 year maturity has exit costs of:</p> <ul style="list-style-type: none"> - 4% after 1 and 2 years - 3% after 3 years - 1% after 4 years - 0 at maturity <p>Then maximum exit cost: 4%.</p> <p>Typical cost : 0</p>
OTES	OneOffTypicalExitCostsStructured	One-off typical exit cost linked to the recommended holding period (RHP) for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07080 and may be specified as an actual amount or a percentage of the reference value, EMT reference 07150 or 07155.
OCAS	OngoingCostsAccumulatedStructured	Sum of daily recurring product costs accumulated during the year until the ex post reference date. This is typically specified as an actual amount. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 08040.
RPSS	ReferencePrice	Reference Price. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07150 and 08110 and is the instrument price to which a unit disclosed ex-post cost is based and to which a percentage disclosed cost should be multiplied in order to retrieve the unit cost.
TRS1	TransactionCost	Cost associated with the transaction. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07130 and 08070 and this is a percentage of the NAV of the financial instrument expressed in annualised terms (rate of cost deduction to be applied).

66.2.2.119 InvestmentFundPlanType1Code

Definition: Specifies a type of investment fund plan.

Type: CodeSet

CodeName	Name	Definition
INVP	SavingsInvestmentPlan	Savings investment plan.

CodeName	Name	Definition
SWIP	SwitchPlan	Switch plan.
WTHP	WithdrawalPlan	Withdrawal plan.

66.2.2.120 InvestmentFundRole2Code

Definition: Specifies the role played by an actor in an investment fund transaction.

Type: CodeSet

CodeName	Name	Definition
FMCO	FundManagementCompany	Party that sets up a fund, decides upon the investment strategy, appoints agents, and is responsible for the promotion and the marketing of the fund. This party makes all the strategic decisions related to a fund.
REGI	Registrar	Party responsible for keeping track of the owners of securities. In the investment fund business, this party keeps the investor registry of the fund, and administers investor accounts and related records. It is appointed by the fund management company.
TRAG	TransferAgent	Party appointed by the fund management company. This party updates records of investor accounts to reflect the daily investor purchases, redemptions, switches, transfers, and re-registrations. It ensures the timely settlement of transactions, and may provide tax information to the investor and/or to its intermediaries. It may calculate, collect, and rebate commissions. It prepares and distributes confirmations reflecting transactions, resulting in unit or cash account movements to the investor or the investor's intermediary. It responds to inquiries concerning account status, and processes the income distribution.
INTR	Intermediary	Party that provides services to investors relating to financial products. These services may include some, or all of, provision of information and advice on products, placement of investment orders, transmission of payment, custody of assets, and the administration of rights and benefits. In the specific framework of investment funds industry, an intermediary may present information about funds to potential investors, and solicit orders for the fund. This intermediary may facilitate the transmission of the orders and information from/to the investors and/or other intermediaries. The intermediary receives commission from the Fund and/or fees from the investor.

CodeName	Name	Definition
DIST	Distributor	Party that act as an wholesaler of funds.
CONC	Concentrator	Party that acts as an aggregator of funds, also called a funds hub.
UCL1	UnderlyingClient1	Underlying client of the intermediary.
UCL2	UnderlyingClient2	Underlying client of underlying client 1.
TRAN	TransmittingAgent	Party that transmits the instruction, advice, notification or report.

66.2.2.121 InvestmentFundRole4Code

Definition: Specifies the role played by an actor in an investment fund transaction.

Type: CodeSet

CodeName	Name	Definition
FMCO	FundManagementCompany	Party that sets up a fund, decides upon the investment strategy, appoints agents, and is responsible for the promotion and the marketing of the fund. This party makes all the strategic decisions related to a fund.
REGI	Registrar	Party responsible for keeping track of the owners of securities. In the investment fund business, this party keeps the investor registry of the fund, and administers investor accounts and related records. It is appointed by the fund management company.
TRAG	TransferAgent	Party appointed by the fund management company. This party updates records of investor accounts to reflect the daily investor purchases, redemptions, switches, transfers, and re-registrations. It ensures the timely settlement of transactions, and may provide tax information to the investor and/or to its intermediaries. It may calculate, collect, and rebate commissions. It prepares and distributes confirmations reflecting transactions, resulting in unit or cash account movements to the investor or the investor's intermediary. It responds to inquiries concerning account status, and processes the income distribution.
INTR	Intermediary	Party that provides services to investors relating to financial products. These services may include some, or all of, provision of information and advice on products, placement of investment orders, transmission of payment, custody of assets, and the administration of rights and benefits. In the specific framework of investment funds industry, an intermediary may present information about funds to potential investors, and solicit orders for the fund. This

CodeName	Name	Definition
		intermediary may facilitate the transmission of the orders and information from/to the investors and/or other intermediaries. The intermediary receives commission from the Fund and/or fees from the investor.
DIST	Distributor	Party that act as an wholesaler of funds.
CONC	Concentrator	Party that acts as an aggregator of funds, also called a funds hub.
UCL1	UnderlyingClient1	Underlying client of the intermediary.
UCL2	UnderlyingClient2	Underlying client of underlying client 1.
TRAN	TransmittingAgent	Party that transmits the instruction, advice, notification or report.
CONI	ContactPersonAtInstructingPartyInstitution	Party acting as the contact person at the instructing party institution.
CONE	ContactPersonAtExecutingPartyInstitution	Party acting as the contact person at the executing party institution.
CONA	NameOfAgentToOrder	Name of Agent to the order, if different from the instructing party.
CUST	Custodian	Party that safekeeps and administers assets on behalf of the owner.
FNBR	FundBroker	Party acting as an intermediary agent between an investor and a transfer agent for the subscription or redemption to a fund.
PRBR	PrimeBroker	Party acting as settlement agent, provides custody for assets, provides financing for leverage, and prepares daily account statements for its clients, who are money managers, hedge funds, market makers, arbitrageurs, specialists and other professional investors.

66.2.2.122 InvestmentFundRole6Code

Definition: Specifies the role of the party.

Type: CodeSet

CodeName	Name	Definition
CACO	CashCorrespondent	Financial institution that executes cash transfers on behalf of its clients.
CONC	Concentrator	Party that acts as an aggregator of funds, also called a funds hub.
CUST	Custodian	Party that safekeeps and administers assets on behalf of the owner.
DATP	DataProvider	Source of financial information. Provides financial news and data (for example, facts, statistics and analysis), for professional and individual investors

CodeName	Name	Definition
		through various media, for example, the Internet, magazines.
DIST	Distributor	Party that act as an wholesaler of funds.
FACT	FundAccountant	Party that keeps accounting records of the available assets and liabilities of the fund. This party calculates deal prices, the Net Asset Value (NAV) of the fund, and may provide fund performance and tax data.
FIAD	FinancialAdvisor	Party that supplies information and advice on investment products.
FIAG	FinalAgent	Financial institution that receives the payment transaction on behalf of an account owner, or other nominated party, and credits the account.
FMCO	FundManagementCompany	Party that sets up a fund, decides upon the investment strategy, appoints agents, and is responsible for the promotion and the marketing of the fund. This party makes all the strategic decisions related to a fund.
FNBR	FundBroker	Party acting as an intermediary agent between an investor and a transfer agent for the subscription or redemption to a fund.
FTAG	FirstAgent	Financial institution that receives the payment transaction from the account owner, or other authorised party, and processes the instruction.
INTR	Intermediary	Party that provides services to investors relating to financial products. These services may include some, or all of, provision of information and advice on products, placement of investment orders, transmission of payment, custody of assets, and the administration of rights and benefits. In the specific framework of investment funds industry, an intermediary may present information about funds to potential investors, and solicit orders for the fund. This intermediary may facilitate the transmission of the orders and information from/to the investors and/or other intermediaries. The intermediary receives commission from the Fund and/or fees from the investor.
INVE	InvestmentManager	Party that implements the investment strategy, that is, selects portfolio investments in accordance with the objectives and strategy in the fund's prospectus, and places orders to effect or liquidate selected investments in accordance with net flow of capital into or out of the fund.

CodeName	Name	Definition
INVS	Investor	Party, either an individual or organisation, whose assets are being invested.
PAYI	PayingAgent	Agent that executes the payment. In the context of the investment fund industry, the paying agent is the local legal representative of the fund. It may pay out dividends, and collects money for the purchase of funds when a client deals directly with the fund and/or when a client deals with bearer shares. It pays out the redemption of the fund, may distribute information about the fund, and provides legal information about the fund.
REGI	Registrar	Party responsible for keeping track of the owners of securities. In the investment fund business, this party keeps the investor registry of the fund, and administers investor accounts and related records. It is appointed by the fund management company.
TRAG	TransferAgent	Party appointed by the fund management company. This party updates records of investor accounts to reflect the daily investor purchases, redemptions, switches, transfers, and re-registrations. It ensures the timely settlement of transactions, and may provide tax information to the investor and/or to its intermediaries. It may calculate, collect, and rebate commissions. It prepares and distributes confirmations reflecting transactions, resulting in unit or cash account movements to the investor or the investor's intermediary. It responds to inquiries concerning account status, and processes the income distribution.
TRAN	TransmittingAgent	Party that transmits the instruction, advice, notification or report.

66.2.2.123 InvestmentFundRole7Code

Definition: Specifies the role of the party.

Type: CodeSet

CodeName	Name	Definition
CONC	Concentrator	Party that acts as an aggregator of funds, also called a funds hub.
DIST	Distributor	Party that act as an wholesaler of funds.
FMCO	FundManagementCompany	Party that sets up a fund, decides upon the investment strategy, appoints agents, and is responsible for the promotion and the marketing of the fund. This party makes all the strategic decisions related to a fund.

CodeName	Name	Definition
INTR	Intermediary	Party that provides services to investors relating to financial products. These services may include some, or all of, provision of information and advice on products, placement of investment orders, transmission of payment, custody of assets, and the administration of rights and benefits. In the specific framework of investment funds industry, an intermediary may present information about funds to potential investors, and solicit orders for the fund. This intermediary may facilitate the transmission of the orders and information from/to the investors and/or other intermediaries. The intermediary receives commission from the Fund and/or fees from the investor.
PAYI	PayingAgent	Agent that executes the payment. In the context of the investment fund industry, the paying agent is the local legal representative of the fund. It may pay out dividends, and collects money for the purchase of funds when a client deals directly with the fund and/or when a client deals with bearer shares. It pays out the redemption of the fund, may distribute information about the fund, and provides legal information about the fund.
TRAG	TransferAgent	Party appointed by the fund management company. This party updates records of investor accounts to reflect the daily investor purchases, redemptions, switches, transfers, and re-registrations. It ensures the timely settlement of transactions, and may provide tax information to the investor and/or to its intermediaries. It may calculate, collect, and rebate commissions. It prepares and distributes confirmations reflecting transactions, resulting in unit or cash account movements to the investor or the investor's intermediary. It responds to inquiries concerning account status, and processes the income distribution.
CUST	Custodian	Party that safekeeps and administers assets on behalf of the owner.
CACO	CashCorrespondent	Financial institution that executes cash transfers on behalf of its clients.
FACT	FundAccountant	Party that keeps accounting records of the available assets and liabilities of the fund. This party calculates deal prices, the Net Asset Value (NAV) of the fund, and may provide fund performance and tax data.
INVE	InvestmentManager	Party that implements the investment strategy, that is, selects portfolio investments in accordance with the

CodeName	Name	Definition
		objectives and strategy in the fund's prospectus, and places orders to effect or liquidate selected investments in accordance with net flow of capital into or out of the fund.
INVS	Investor	Party, either an individual or organisation, whose assets are being invested.

66.2.2.124 InvestmentFundRole8Code

Definition: Specifies the role of the party.

Type: CodeSet

CodeName	Name	Definition
CUST	Custodian	Party that safekeeps and administers assets on behalf of the owner.
DIST	Distributor	Party that act as an wholesaler of funds.
FMCO	FundManagementCompany	Party that sets up a fund, decides upon the investment strategy, appoints agents, and is responsible for the promotion and the marketing of the fund. This party makes all the strategic decisions related to a fund.
INTR	Intermediary	Party that provides services to investors relating to financial products. These services may include some, or all of, provision of information and advice on products, placement of investment orders, transmission of payment, custody of assets, and the administration of rights and benefits. In the specific framework of investment funds industry, an intermediary may present information about funds to potential investors, and solicit orders for the fund. This intermediary may facilitate the transmission of the orders and information from/to the investors and/or other intermediaries. The intermediary receives commission from the Fund and/or fees from the investor.
INVE	InvestmentManager	Party that implements the investment strategy, that is, selects portfolio investments in accordance with the objectives and strategy in the fund's prospectus, and places orders to effect or liquidate selected investments in accordance with net flow of capital into or out of the fund.
INVS	Investor	Party, either an individual or organisation, whose assets are being invested.
TRAG	TransferAgent	Party appointed by the fund management company. This party updates records of investor accounts to reflect the daily investor purchases,

CodeName	Name	Definition
		redemptions, switches, transfers, and re-registrations. It ensures the timely settlement of transactions, and may provide tax information to the investor and/or to its intermediaries. It may calculate, collect, and rebate commissions. It prepares and distributes confirmations reflecting transactions, resulting in unit or cash account movements to the investor or the investor's intermediary. It responds to inquiries concerning account status, and processes the income distribution.
TRAN	TransmittingAgent	Party that transmits the instruction, advice, notification or report.
UCL1	UnderlyingClient1	Underlying client of the intermediary.
UCL2	UnderlyingClient2	Underlying client of underlying client 1.
REGI	Registrar	Party responsible for keeping track of the owners of securities. In the investment fund business, this party keeps the investor registry of the fund, and administers investor accounts and related records. It is appointed by the fund management company.
CACO	CashCorrespondent	Financial institution that executes cash transfers on behalf of its clients.
CONC	Concentrator	Party that acts as an aggregator of funds, also called a funds hub.
DATP	DataProvider	Source of financial information. Provides financial news and data (for example, facts, statistics and analysis), for professional and individual investors through various media, for example, the Internet, magazines.

66.2.2.125 InvestmentFundTransactionInType1Code

Definition: Specifies the type of investment fund transaction that results in a cash movement into a fund.

Type: CodeSet

CodeName	Name	Definition
SUBS	Subscription	Transaction is a subscription to an investment fund.
SWII	SwitchIn	Transaction is a subscription leg of a switch order execution.
INSP	InSpecie	Transaction is an InSpecie.
CROI	CrossIn	Transaction is a cross-in.
RDIV	ReinvestmentOfDividend	Transaction is a reinvestment of dividend.

66.2.2.126 InvestmentFundTransactionOutType1Code

Definition: Specifies the type of investment fund transaction that results in a cash movement out of a fund.

Type: CodeSet

CodeName	Name	Definition
REDM	Redemption	Transaction is a redemption of an investment fund.
SWIO	SwitchOut	Transaction is a subscription leg of a switch order execution.
INSP	InSpecie	Transaction is an InSpecie.
CROO	CrossOut	Transaction is a cross out.

66.2.2.127 InvestmentFundTransactionType1Code

Definition: Specifies the type of transaction.

Type: CodeSet

CodeName	Name	Definition
ALLL	All	All types of transactions.
SELL	SecuritiesSale	Sale of securities.
BUYI	SecuritiesPurchase	Purchase of securities.
SWIO	SwitchOut	Transaction is a subscription leg of a switch order execution.
TRIN	TransferIn	Transaction is an incoming credit to an account on the shareholders register, and is not linked to a shift in investment (subscription or switch), but to account management.
TOUT	TransferOut	Transaction is a debit to an account on the shareholders register, and is not linked to a shift in investment (redemption or switch), but to account management.
SUBS	Subscription	Transaction is a subscription to an investment fund.
REDM	Redemption	Transaction is a redemption of an investment fund.
CDEP	CashDeposit	Transaction is a cash deposit.
CWIT	CashWithdrawal	Transaction is a cash withdrawal.
DIVP	DividendPayment	Transaction is the payment of dividends and other proceeds.
CAEV	CorporationActionEvent	Transaction is the result of a corporate action.
CROI	CrossIn	Transaction is a cross-in.
CROO	CrossOut	Transaction is a cross out.
DIVI	Dividend	Transaction is a dividend.

CodeName	Name	Definition
INSP	InSpecie	Transaction is an InSpecie.
OTHR	Other	Transaction is another type of transaction.
REAA	RedemptionAssetAllocation	Transaction is a redemption in an asset allocation plan which enables investors to withdraw, by percentage a certain amount of cash from several sub-funds of a same umbrella structure.
RWPL	RedemptionWithdrawingPlan	Transaction is a withdrawal by individuals in the framework of a structured plan for investments made in the past.
RDIV	ReinvestmentOfDividend	Transaction is a reinvestment of dividend.
SSPL	SubscriptionSavingsPlan	Transaction is a subscription for a savings plan, that is, money set aside by individuals in the framework of a structured plan for a special purpose, for example, retirement.
SUAA	SubscriptionAssetAllocation	Transaction is a subscription in an asset allocation plan that enables investors to allocate, by percentage a certain amount of cash into several sub-funds of a same umbrella structure.

66.2.2.128 InvestmentNeed2Code

Definition: Specifies an investment need.

Type: CodeSet

CodeName	Name	Definition
NSPE	None	No specific need.
OTHR	Other	Other specific need.
ISLB	IslamicBanking	Investment need is for Islamic banking.

66.2.2.129 InvestorProfileStatus1Code

Definition: Specifies the status of the investor profile.

Type: CodeSet

CodeName	Name	Definition
DISA	Disabled	Status of the investor profile is disabled.
DISG	Disabling	Status of the investor profile is in the process of being disabled.
ENAB	Enabled	Status of the investor profile is enabled.
ENBG	Enabling	Status of the investor profile is in the process of being enabled.
ADMI	InAdmission	Status of the investor profile is in admission.

CodeName	Name	Definition
ANLY	InAnalysis	Status of the investor profile is in analysis.
NAPP	NotApproved	Status of the investor profile is not approved.
PSUS	PartiallySuspended	Status of the investor profile is partially suspended.
PEND	PendingApproval	Status of the investor profile is pending approval.
SUPS	Suspended	Status of the investor profile is suspended.

66.2.2.130 InvestorType2Code

Definition: Specifies the type of investor.

Type: CodeSet

CodeName	Name	Definition
BOT3	BothProfessionalPerSeAndElectiveProfessional	Investor is both professional per se and elective professional.
EPRO	ElectiveProfessional	Investor is an elective professional.
PRF2	ProfessionalOrPerSe	Investor is professional or professional per se.

66.2.2.131 InvestorType3Code

Definition: Specifies the type of investor.

Type: CodeSet

CodeName	Name	Definition
RETL	Retail	Investor is a retail investor.
PRF2	ProfessionalOrPerSe	Investor is professional or professional per se.
NEI1	NeitherProfessionalOrRetail	Investor is neither professional or retail.
BOT2	BothProfessionalAndRetail	Investor is both professional and retail.

66.2.2.132 InvestorType4Code

Definition: Specifies the type of investor.

Type: CodeSet

CodeName	Name	Definition
BOT3	BothProfessionalPerSeAndElectiveProfessional	Investor is both professional per se and elective professional.
NPRF	NotProfessionalPerSeElectiveProfessional	Investor is neither professional per se or elective professional.
PRF3	ProfessionalPerSeNotElectiveProfessional	Investor is professional per se but not elective professional.

CodeName	Name	Definition
PRF4	ElectiveProfessionalNotProfessionalPerSe	Investor is an elective professional but not professional per se.

66.2.2.133 KnowYourCustomerCheckType1Code

Definition: Specifies the type of Know Your Customer (KYC) or anti-money laundering due diligence to which the investor has been subjected.

Type: CodeSet

CodeName	Name	Definition
ENHA	Enhanced	Enhanced check, typically carried out on accounts that are considered high risk.
ORDN	Ordinary	Ordinary check, typically carried out on standard accounts.
SIMP	Simple	Simple check, typically carried out on accounts that have a low risk of anti-money laundering, such as an account owned by a financial institution.

66.2.2.134 LanguageCode

Definition: Specifies a language.

Type: CodeSet

Constraints

- **ValidationByTable**

Must be a valid terrestrial language.

66.2.2.135 LateReport1Code

Definition: Specifies whether a transaction report is late.

Type: CodeSet

CodeName	Name	Definition
LAT1	Late	The confirmation is late.
LAT2	LateBecausePartial	The confirmation is late because the trade was executed as a number of partials.

66.2.2.136 LevelOfControl1Code

Definition: Specifies the level of control.

Type: CodeSet

CodeName	Name	Definition
TRAN	Transactions	Transactions are permitted on the account.
VIEW	View	Account may be viewed only.

66.2.2.137 Liability1Code

Definition: Specifies the party responsible for the liability.

Type: CodeSet

CodeName	Name	Definition
INVE	Investor	Investor is responsible.
BROK	Broker	Broker is responsible.

66.2.2.138 LumpSumType1Code

Definition: Specifies a type of lump sum.

Type: CodeSet

CodeName	Name	Definition
DEAB	DeathBenefit	Lump sum is a death benefit lump sum.
PCLS	PensionCommencement	Lump sum is a pension commencement lump sum.
UFPL	Uncrystallised	Lump sum is an uncrystallised fund pension lump sum.

66.2.2.139 MailType1Code

Definition: Specifies the type of mail service.

Type: CodeSet

CodeName	Name	Definition
AIRM	AirMail	Air mail.
ORDM	OrdinaryMail	Ordinary mail.
REGM	RegisteredMail	Registered Mail.

66.2.2.140 MessageRejectedReason1Code

Definition: Specifies the reason for a message rejected status.

Type: CodeSet

CodeName	Name	Definition
REFE	InvalidOrUnrecognisedReference	Request contains an invalid or unrecognised business reference.
NALO	NotAllowedRequest	Instructing party is not allowed to ask for a status for that specific order.

66.2.2.141 MoneyLaunderingCheck1Code

Definition: Specifies the status of money laundering identification procedures.

Type: CodeSet

CodeName	Name	Definition
PASS	Passed	Money laundering identification procedures have been carried out by the intermediary.
NOTC	NotChecked	Money laundering identification procedures have not been carried out.
EXEM	ExemptBelowLimit	Money laundering identification procedures have not been carried out because the transaction is below the prescribed limit.
CLMO	ClientMoneyTypeExempt	Money laundering identification procedures have not been carried out because of the transaction type, for example, switch, or because the transaction is being funded from an existing investor account held by the intermediary.
AUTH	AuthorisedCredit	Money laundering identification procedures have not been carried out because the investor is an authorised credit or financial institution.
POEP	PostalOrElectronicPaymentExempt	Limited money laundering identification procedures have been carried out because it is a one time only transaction and the payment is being made by postal, telephone or electronic transfers from an existing account with an authorised credit or financial institution.

66.2.2.142 NamePrefix1Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

66.2.2.143 NationalityCode

Definition: Specifies the country where a person was born or is naturalised.

Type: CodeSet

Constraints

- **ValidationByTable**

Must be a valid nationality.

66.2.2.144 NegotiatedTrade1Code

Definition: Specifies whether the trade is negotiated.

Type: CodeSet

CodeName	Name	Definition
NEGO	Negotiated	Trade is negotiated.
NNGO	NotNegotiated	Trade is not negotiated.
UNKW	Unknown	Whether the trade is negotiated or not is unknown.

66.2.2.145 NoCriteria1Code

Definition: Specifies that there is no criteria.

Type: CodeSet

CodeName	Name	Definition
NOCR	NoCriteria	No criteria.

66.2.2.146 NoReasonCode

Definition: Specifies that there is no reason available.

Type: CodeSet

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

66.2.2.147 NotionalOrUnitBased1Code

Definition: Specifies the unit basis of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
UNIT	Unit	Basis of a financial instrument is unit.
NOTI	Notional	Basis of a financial instrument is notional.

66.2.2.148 OperationalStatus1Code

Definition: Specifies the operational status.

Type: CodeSet

CodeName	Name	Definition
ENAB	Enabled	Enabled.

CodeName	Name	Definition
SPEC	SpecialCircumstances	Special circumstances.

66.2.2.149 OrderCancellationStatus2Code

Definition: Specifies the current status of the order cancellation request.

Type: CodeSet

CodeName	Name	Definition
STNP	SentToNextParty	Order cancellation request has been sent to the next party, eg, the next intermediary.
RECE	Received	Status of the order cancellation request is received, that is, technical validation of the message is ok, and the message is now at the receiving side.
CANP	CancellationPending	Order cancellation request is pending, The order cancellation request has been received but this does not indicate the order has been cancelled.
CAND	CancellationCompleted	Status of the order cancellation request is completed. The cancellation request has been accepted and processed; the order has been cancelled.

66.2.2.150 OrderConfirmationStatus1Code

Definition: Specifies the current status of an order confirmation or of an order confirmation amendment.

Type: CodeSet

CodeName	Name	Definition
STNP	SentToNextParty	Order confirmation or order confirmation cancellation instruction or order confirmation amendment has been sent to the next party, eg, the next intermediary.
CPNP	CommunicationProblemNextParty	Communication problems with the next party.
COAC	ConfirmationAccepted	Order confirmation or order confirmation amendment is accepted.
CREC	ConfirmationReceived	Order confirmation or order confirmation amendment is received, ie, technical validation of the message is ok, and the message is now at the receiving side.

66.2.2.151 OrderOriginatorEligibility1Code

Definition: Specifies the type of the counterparties eligibility as defined by article 24 of the EU MiFID Directive applicable to transactions executed by investment firms for eligible counterparties.

Type: CodeSet

CodeName	Name	Definition
ELIG	Eligible	Eligible counterparty - MiFID classification of counterparty. Eligible customers are the most sophisticated level of investor, able to opt out of some the protections afforded by conduct of business rules defined under MiFID.
RETL	Retail	Retail client - MiFID classification of counterparty. Retail customers are the least sophisticated level of investor.
PROF	Professional	Professional client - MiFID classification of counterparty. Professional customers are, for example, investment firms, credit institutions, insurance companies.

66.2.2.152 OrderQuantityType2Code

Definition: Specifies how the order is placed, eg by quantity of units or by amount of money.

Type: CodeSet

CodeName	Name	Definition
UNIT	Unit	Order is placed by unit.
CASH	Amount	Order is placed by amount of money.

66.2.2.153 OrderStatus4Code

Definition: Specifies the current status of the order.

Type: CodeSet

CodeName	Name	Definition
PACK	Accepted	Order is accepted and is ready for execution (execution is the moment when pricing is applied).
COSE	AlreadyExecuted	Order is already executed and confirmation has been sent.
STNP	SentToNextParty	Order has been sent to the next party, eg, the next intermediary.
RECE	Received	Order has been received, ie, technical validation of the message is ok, and the message is now at the receiving side.
SETT	Settled	Order is settled. The cash is credited or debited.
CPNP	CommunicationProblemNextParty	Communication problems with the next party.
CNFC	ConfirmationAmendment	Order confirmation cancellation has been sent and will be followed by a confirmation amendment, or a confirmation amendment has been sent.
DONE	DoneForDay	Order has been partially filled, and is done for the day, ie, no further executions will take place today.

CodeName	Name	Definition
DONF	PartiallyDone	Order has been partially filled and will not be filled further.
OPOD	Open	Order is open.
IACO	InvalidAgentConfirmation	Order confirmation received from agent is invalid.

66.2.2.154 OrderWaiverReason1Code

Definition: Relates to non-standard orders, to handle them differently, probably in a manual fashion.

Type: CodeSet

CodeName	Name	Definition
LATE	LateTradeDealing	Dealing of the trade is outside the normal limit.
FEND	FrontEndLoadCharge	Front end load charge differs from PPM.
BMIN	BelowMinimumInvestmentAmount	Amount of subscription is below the minimum initial investment amount.
CUTO	CutOffDate	Cut off date has been passed.
COMW	CommissionWaiver	Standard commission is waived.

66.2.2.155 OrganisationType1Code

Definition: Specifies a type of organisation.

Type: CodeSet

CodeName	Name	Definition
IFUN	InvestmentFund	Organisation is an investment fund.
PRIV	PrivateAssociation	Organisation is a private association.
PUBL	Public	Organisation is a public company.
PFUN	PublicFund	Organisation is a public fund.

66.2.2.156 OtherAmountType1Code

Definition: Type of other amount.

Type: CodeSet

CodeName	Name	Definition
PINT	PrepaidInterest	Interest that was pre-paid.
SINT	SettlementInterest	Interest on the interest.

66.2.2.157 OtherAsset2Code

Definition: Specifies a type of asset.

Type: CodeSet

CodeName	Name	Definition
DIMA	Discretionary	Asset is a discretionary investment manager account
EXIA	ExternalAccount	Asset is an external investment account.
MOVE	MovableProperty	Property that is not real estate. Also known as 'movable chattels'.
PROP	Property	Property that is real estate.
TIPP	TrusteeInvestmentPlan	Asset is a trustee investment plan (TIP).

66.2.2.158 PartyIdentificationType7Code

Definition: Specifies an alternative identification of a party, for example, national registration identification number, passport number, tax identification number.

Type: CodeSet

CodeName	Name	Definition
ATIN	AdoptionTaxpayerIdentificationNumber	Temporary tax processing number (used in the domestic adoption of a child).
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
OTHR	Other	Another type of identification type.
PASS	PassportNumber	Number assigned by a passport authority to a passport.
POCD	PostOfficeCardNumber	Post office card number as assigned by a national post office.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
SRSA	SRSAccountNumber	Supplementary retirement scheme account number.
GUNL	GunLicenseNumber	Gun license number as assigned by a gun licensing authority.
GTIN	GenericTaxIdentificationNumber	Generic tax identification number.
ITIN	IndividualTaxpayerIdentificationNumber	Tax processing number.
CPFA	CPFAccountNumber	Account issued by the Central Provident Fund Board (Singapore).
AREG	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
DRLC	DriversLicenseNumber	Number assigned by a license authority to a driver's license.
EMID	EmployerIdentificationNumber	Number assigned to an employer by a registration authority.
NINV	NonResidentInvestor	Number that identifies the type of investor registered at a securities and exchange commission.

CodeName	Name	Definition
INCL	IndustryClassification	Number, typically assigned by a government to describe ownership, administrative and authoritative relations.
GIIN	GlobalIntermediaryIdentificationNumber	Global intermediary tax identification number.

66.2.2.159 PartyRole1Code

Definition: Specifies the role of the party in the transaction.

Type: CodeSet

CodeName	Name	Definition
CUST	Custodian	Party that safekeeps and administers assets on behalf of the owner.
INVS	Investor	Party, either an individual or organisation, whose assets are being invested.

66.2.2.160 PendingOpeningStatusReason1Code

Definition: Specifies the reason for a pending account opening status.

Type: CodeSet

CodeName	Name	Definition
ATHR	Authorisation	Authorisation is required.
ATHP	AuthorisedButPending	Authorisation is provided but account opening is still pending.
FRDM	FATCAReportingDateMissing	FATCA reporting date is missing.
KYCM	KnowYourCustomerDocumentMissing	Know Your Customer (KYC) document is missing.
NOTO	NotOpened	Account is not yet open, even for partial use.
REST	Restrictions	Account will be opened when restrictions are addressed.
RIGH	RightsHolderMissing	Rights holder information is missing.

66.2.2.161 PendingSettlementStatusReason2Code

Definition: Specifies the reason for a transfer or settlement instruction settlement pending status.

Type: CodeSet

CodeName	Name	Definition
AWSH	AwaitingSharesFromCounterparty	Securities have not yet been received from the counterparty.
BLOC	AccountBlocked	Your account is blocked, no instruction can settle over the account.
CAIS	AwaitingSecurities	Awaiting securities from corporate action issue or other procedures, for example,

CodeName	Name	Definition
		conversion, dematerialisation, exchange, registration, stamping, splitting, etc.
CLAC	CounterpartyInsufficientSecurities	Insufficient deliverable securities in the counterparty's account, or counterparty does not hold the securities.
DOCC	AwaitingDocumentsOrEndorsementsFromCounterparty	Awaiting documents or endorsements from the counterparty.
DOCY	AwaitingDocumentsOrEndorsementsFromYou	Awaiting documents or endorsements from you.
IAAD	StatusReasonInvestigation	Pending reason is being investigated.
LACK	LackOfSecurities	Insufficient deliverable securities in your account.
LINK	PendingLinkedInstruction	Your instruction is pending settlement because the instruction linked to it is pending.
PHCK	PhysicalSecuritiesVerification	Physical shares have been received and are being checked for authenticity.
PHSE	PhysicalDeliveryDelay	Settlement is physical and securities are being delivered.
SBLO	SecuritiesBlocked	Settlement is pending. The securities are blocked due to, for example, a corporate action event, re-alignment.
MINF	MissingInformation	Information, for example, telephone number, contact person, is missing.
ACOP	AccountNotOpen	Account must already be opened in order to process the transfer.
IINV	UnderInvestigation	Intermediary is investigating the reason why the transfer is pending.
CINV	UnderClientInvestigation	Client has been informed of a problem and is investigating.
AINV	UnderTransferAgentInvestigation	Transfer agent is investigating.
WTRF	AwaitingTransferAgentStatus	Transfer is being processed by the transfer agent, awaiting status from transfer agent.
USUA	UsualTransactionTime	Usual transfer agent's processing timeframe.
ASTA	AwaitingStatus	At your request, the Intermediary is checking the status of your instruction with the transfer agent.
AFST	ApplicationFormSent	Application form has been sent to the transfer agent.
STST	StockTransferFormSent	Stock transfer form has been sent to the counterparty to sign and forward to the transfer agent.
LPRO	LongerProcessing	Longer processing timeframe for this type of transfer, due to documentation requirements.

CodeName	Name	Definition
ADRQ	AdditionalDocumentsRequested	Additional documents have been requested by the transfer agent.
ADS1	AdditionalDocumentsSent1	Additional documents have been sent.
ADS2	AdditionalDocumentsSent2	Upon request of the transfer agent, the intermediary has sent additional documents. The transfer agent has confirmed that all the necessary information is now in place.
DRJC	DocumentsRejected	Documents are rejected by the transfer agent.
CYIN	AwaitingCounterpartyReceipt	Counterparty has not sent an instruction to receive. Check with counterparty.
CYDV	AwaitingCounterpartyDelivery	Counterparty has not sent an instruction to deliver or delivered the shares. Check with counterparty.
OVER	NormalProcessingPeriodLapsed	Normal processing period for the instruction has lapsed. The transfer agent has been or will be contacted.
WCPA	WrongCounterpartyAccount	Wrong counterparty account details.
SDUT	LackOfStampDutyInformation	Instruction is pending as stamp duty information is missing.
TAPR	TransferAgentProcessing	Transfer is being processed by transfer agent.
XCNF	ExpectedConfirmation	Confirmation is expected from transfer agent.
ESCA	Escalation	Special follow-up is taking place.
NRCP	TransferAgentNonReceipt	Transfer agent claims non-receipt of physical shares.
FVER	FinalVerification	Confirmed but finality is to be verified.

66.2.2.162 PendingStatusReason1Code

Definition: Specifies the reason for a pending status.

Type: CodeSet

CodeName	Name	Definition
KYCM	KnowYourCustomerDocumentMissing	Know Your Customer (KYC) document is missing.
FRDM	FATCAReportingDateMissing	FATCA reporting date is missing.
RIGH	RightsHolderMissing	Rights holder information is missing.
ATHR	Authorisation	Authorisation is required.
ATHP	AuthorisedButPending	Authorisation is provided but the account change is still pending.
MODI	ModificationInProgress	Modification to the account data is in process.

66.2.2.163 PensionOrderType1Code

Definition: Specifies a type of order attached to a pension.

Type: CodeSet

CodeName	Name	Definition
EARM	Earmark	Pension policy, plan or scheme has been earmarked (set aside.)
SHAR	Sharing	Sharing order for the pension policy, plan or scheme has been issued by a court.

66.2.2.164 PensionSchemeType3Code

Definition: Specifies a type of pension scheme.

Type: CodeSet

CodeName	Name	Definition
AAVC	AdditionalVoluntaryContribution	Pension plan is an additional voluntary contribution plan.
DBEN	DefinedBenefit	Pension plan is a defined benefit plan.
EXPP	ExecutivePensionPlan	Pension plan is an executive pension plan.
FAVC	FreeStandingAdditionalVoluntaryContribution	Pension plan is a free standing additional voluntary contribution plan.
GPPS	GroupPersonalPension	Pension is a group personal pension.
SIPG	GroupSelfInvestedPension	Pension plan is a group self-invested pension plan.
STKG	GroupStakeholder	Pension plan is a group stakeholder pension plan.
IPST	IndividualPensionWithTaxBenefit	Pension is an individual pension savings plan with a tax benefit. This is known as an Individual Pension Scheme (IPS) in some markets.
STKI	IndividualStakeholder	Pension plan is an individual stakeholder pension.
OTPM	MandatoryOccupationalPension	Pension is a mandatory occupational pension. This is known as an Obligatory Occupation Pension (OTP) in some markets.
OCDC	OccupationalDefinedContribution	Pension plan is an occupational defined contribution plan.
PPNS	PersonalPension	Pension is a personal pension plan.
EPKA	PersonalPensionAccount	Pension is a personal pension account. This is known as Employee Pension Kapital (EPK) in some markets.
ITPO	PrivateOccupationalPension	Pension is a private occupational pension. This is known as an industrial and trade supplementary pension (ITP) in some markets.

CodeName	Name	Definition
REAN	RetirementAnnuity	Pension plan is a retirement annuity.
SC32	Section32	Pension type covered by section 32.
S32A	Section32A	Pension type covered by section 32A.
SIPP	SelfInvestedPersonalPension	Pension is a self-invested personal pension plan.
SSAS	SmallSelfAdministeredScheme	Pension is a small self-administered pension scheme.
NWRP	NonWrapperPension	Indicates that the party does not operate the pension wrapper.

66.2.2.165 PensionTransferScope1Code

Definition: Specifies the scope of a pension transfer.

Type: CodeSet

CodeName	Name	Definition
CRYS	CrystallisedPart	Transfer is for the crystallised portion.
SDDT	DrawdownTranche	Transfer is for a specific drawdown tranche.
FULP	FullPension	Transfer is for the full pension.
UCRY	UncrystallisedPart	Transfer is for the uncrystallised portion.

66.2.2.166 PersonIdentificationType7Code

Definition: Specifies a type of tax reference.

Type: CodeSet

CodeName	Name	Definition
ATIN	AdoptionTaxpayerIdentificationNumber	Temporary tax processing number (used in the domestic adoption of a child).
GTIN	GenericTaxIdentificationNumber	Generic tax identification number.
ITIN	IndividualTaxpayerIdentificationNumber	Tax processing number.

66.2.2.167 PhysicalTransferType1Code

Definition: Specifies whether the financial instrument is to be physically delivered or is a dematerialised transfer.

Type: CodeSet

CodeName	Name	Definition
DEMT	Dematerialised	Dematerialised transfer.
PHYS	Physical	Physical transfer.

66.2.2.168 PlanStatus1Code

Definition: Specifies the status of a plan.

Type: CodeSet

CodeName	Name	Definition
ACTV	Active	Plan is active.
CLOS	Closed	Plan is closed.
SUSP	Suspended	Plan is suspended.

66.2.2.169 PoliticalExposureType2Code

Definition: Specifies the political exposure type.

Type: CodeSet

CodeName	Name	Definition
NPEX	NoPoliticalExposure	No political exposure.
YPEX	PoliticalExposure	Political exposure.
PEXD	PoliticalExposureDomestic	Political exposure type is domestic.
PEXF	PoliticalExposureForeign	Political exposure type is foreign.

66.2.2.170 PoliticallyExposedPersonStatus1Code

Definition: Status of a politically exposed person.

Type: CodeSet

CodeName	Name	Definition
PE03	CloseAssociate	<p>Person known to be a close associate of the politically exposed person. A person known to be a close associate includes:</p> <p>(a) a natural person who is known to have joint beneficial ownership of legal entities or legal arrangements, or any other close business relations, with the politically exposed person,</p> <p>(b) a natural person who has sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the de facto benefit of a politically exposed person.</p>
PE01	NaturalPersonEntrusted	Natural person who is or who has been entrusted with prominent public functions.

CodeName	Name	Definition
PE02	FamilyMember	<p>Family member of the politically exposed person. A family member includes:</p> <p>(a) the spouse, or a person considered to be equivalent to a spouse, of the politically exposed person,</p> <p>(b) the children and their spouses, or persons considered to be equivalent to a spouse, of the politically exposed person,</p> <p>(c) the parents of the politically exposed person.</p>

66.2.2.171 PortfolioWithdrawalReason1Code

Definition: Specifies a reason for a withdrawal from the investment product.

Type: CodeSet

CodeName	Name	Definition
FTRS	FirstTimeResidentialProperty	Withdrawal is for a first time residential property.

66.2.2.172 PositionEffect3Code

Definition: Specifies the order in which events are processed.

Type: CodeSet

CodeName	Name	Definition
FIFO	Fifo	First in, first out. Results in a position obtained after having sold in priority the securities bought chronologically.
LIFO	Lifo	Last in, last out. Results in a position obtained after having sold in priority the securities bought chronologically.

66.2.2.173 PriceMethod1Code

Definition: Specifies the type of pricing calculation method.

Type: CodeSet

CodeName	Name	Definition
FORW	ForwardPrice	Price will be calculated after the close of the dealing period. An investor, therefore, does not know the exact buy or sell price.
HIST	HistoricalPrice	Price is calculated at an earlier valuation point preceding the dealing period. An investor, therefore, knows the exact buy or sell price.

66.2.2.174 PriceReportFunction1Code

Definition: Specifies the function of the price report.

Type: CodeSet

CodeName	Name	Definition
REPL	CompleteReplacementPriceReport	The price report conveys the correction of the complete set of prices previously sent for the same price report identification.
NEWP	NewPriceReport	The price report is 'new', that is the report is not being used as a correction of previously sent prices.
PART	PartialCorrectionPriceReport	The price report conveys the correction of some of the prices previously sent for the same price report identification.

66.2.2.175 PriceSource1Code

Definition: Indicates the source of a price quotation.

Type: CodeSet

CodeName	Name	Definition
FUND	Fund	Source of price quotation is a fund, eg, transfer agent, fund itself.
THEO	Theoretical	Source of price quotation is a theoretical value based on the market yield.
VEND	Vendor	Source of price quotation is an external vendor.

66.2.2.176 PriceValueType2Code

Definition: Specifies a type of value of the price.

Type: CodeSet

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.

66.2.2.177 ProductStructure1Code

Definition: Specifies the structure of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
BOND	Bond	Product is a bond.

CodeName	Name	Definition
NUMM	NonUCITSMoneyMarketFund	Product is a money market fund that is not an Undertaking for Collective Investment in Transferable Securities (UCITS).
UCMM	UCITSMoneyMarketFund	Product is an Undertaking for Collective Investment in Transferable Securities (UCITS) money market fund.
EXTC	ExchangeTradedCommodity	Product is an exchange traded commodity.
UCIT	UCITS	Product is an Undertaking for Collective Investment in Transferable Securities (UCITS).
SSEC	StructuredSecurity	Product is a structured security.
SFUN	StructuredFund	Product is a structured fund.
NUCI	NonUCITS	Product is a not an Undertaking for Collective Investment in Transferable Securities (UCITS).

66.2.2.178 ProfileType1Code

Definition: Specifies the type of profile.

Type: CodeSet

CodeName	Name	Definition
HEDG	Hedge	Investor shares that uses defensive operations (hedging), thus avoiding the risk of large swings.
HFTR	HighFrequencyTrader	Investors operating through algorithms and send orders automatically according to the strategies defined by their managers. Through this access model, the participants of these servers are hosted primarily within the premises of Brazilian Market and significantly reduce the time of submission of offers to trading systems.
MAKE	MarketMaker	Agent liquidity, liquidity facilitator, promoter of business, specialist, market maker and liquidity provider are some designations given to those who propose to provide liquidity and minimum reference price for assets previously accredited, factors highlighted in the analysis of efficiency in capital markets.
TREA	Treasury	Trading system of federal securities in the retail market directly to the investor. The operation can be performed directly over the Internet.

66.2.2.179 ProformaStatusReason1Code

Definition: Specifies the reason for proforma status.

Type: CodeSet

CodeName	Name	Definition
MODI	ModificationInProgress	Modification to the account data is in process.
RIGH	Rights	Account opening is waiting for rights holder information.

66.2.2.180 Provided1Code

Definition: Specifies whether items have been provided.

Type: CodeSet

CodeName	Name	Definition
NPRO	NotProvided	Not provided.
PROV	Provided	Provided.

66.2.2.181 QuotationType1Code

Definition: Specifies the type of quotation.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualAmount	Quotation expressed as a currency and amount.
PRCT	Percentage	Quotation is expressed as percentage.

66.2.2.182 Rank1Code

Definition: Specifies the rank of an element (party, market) in a specific environment.

Type: CodeSet

CodeName	Name	Definition
PRIM	Primary	Element is the primary one.
SECO	Secondary	Element is the primary one.

66.2.2.183 RedemptionCompletion1Code

Definition: Specifies the redemption status of the redemption order.

Type: CodeSet

CodeName	Name	Definition
RED0	RedemptionNo	It is not necessary to send more redemption order instructions to the executing party to complete the redemption, the executing will generate redemption confirmations automatically.
RED1	RedemptionYes	Additional redemption order instructions must be sent to the executing party order to have the rest of the redemption executed.

66.2.2.184 Referred1Code

Definition: Indicates if the investor was referred.

Type: CodeSet

CodeName	Name	Definition
REFR	Referred	The investor was referred to the fund by a placement agent.
NRFR	NotReferred	The investor was not referred to the fund by a placement agent.
UKNW	NotKnown	It is not known whether the investor was referred to the fund by a placement agent.

66.2.2.185 ReferToFundOrderDesk1Code

Definition: Indicates that the operation is only possible in restricted circumstances.

Type: CodeSet

CodeName	Name	Definition
RFOD	ReferToFundOrderDesk	Indicates that the operation is only possible in restricted circumstances.

66.2.2.186 RejectedConfirmationStatusReason1Code

Definition: Specifies the reason for a confirmation rejected status.

Type: CodeSet

CodeName	Name	Definition
DSAF	DifferentInvestmentAccountDetails	Investment account information not the same as instructed.
DFIN	DifferentFinancialInstrumentDetails	Financial instrument information not the same as instructed.
DBEN	DifferentBeneficiaryDetails	Beneficiary details is not the same as instructed.
DPTD	DifferentPlaceOfTrade	Place of trade not the same as instructed.
DORD	DifferentOrderDateTime	Order date time not the same as instructed.
DCXR	DifferentCancellationRight	Cancellation right not the same as instructed.
DUNB	DifferentUnitsNumber	Units number information not the same as instructed.
DORT	DifferentOrderType	Order type information not the same as instructed.
DROU	DifferentRounding	Rounding information not the same as instructed.
DNAM	DifferentNetAmount	Net amount information not the same as instructed.

CodeName	Name	Definition
DGAM	DifferentGrossAmount	Gross amount information not the same as instructed.
DHRR	DifferentHoldingsRedemptionRate	Holdings redemption rate not the same as instructed.
DGRP	DifferentGroup1Or2Units	Group 1 or 2 units not the same as instructed.
DINP	DifferentIncomePreference	Income preference information not the same as instructed.
DLIR	DifferentLetterIntentReference	Letter Intent reference information not the same as instructed.
DARR	DifferentAccumulationRightReference	Accumulation right reference information not the same as instructed.
DPDI	DifferentPhysicalDeliveryIndicator	Physical delivery indicator information not the same as instructed.
DRSC	DifferentRequestedSettlementCurrency	Requested settlement currency information not the same as instructed.
DNAV	DifferentRequestedNAVCurrency	Requested NAV currency information not the same as instructed.
DFXD	DifferentForeignExchangeDetails	Foreign exchange details information not the same as instructed.
DCHD	DifferentChargeDetails	Charge details information not the same as instructed.
DXOD	DifferentCommissionDetails	Commission details information not the same as instructed.
DTAD	DifferentTaxDetails	Tax details information not the same as instructed.
DPDD	DifferentPhysicalDeliveryDetails	Physical delivery details information not the same as instructed.
DSCA	DifferentSettlementAndCustodyDetails	Settlement and custody details information not the same as instructed.
DPID	DifferentPaymentInstrumentDetails	Payment Instrument details information not the same as instructed.
DSAM	DifferentSettlementAmount	Settlement amount not the same as instructed.
DSDT	DifferentSettlementDate	Settlement date not the same as instructed.
DEXD	DifferentExtensionDetails	Extension information not the same as instructed.
DIMD	DifferentIntermediaryDetails	Intermediary information not the same as instructed.
DTRA	DifferentTotalRedemptionAmount	Total redemption amount not the same as instructed.
DTSA	DifferentTotalSubscriptionAmount	Total subscription amount not the same as instructed.
DACI	DifferentAdditionalCashIn	Additional cash in not the same as instructed.

CodeName	Name	Definition
DACO	DifferentResultingCashOut	Resulting cash out not the same as instructed.
DPSA	DifferentPercentageOfTotalSubscriptionAmount	Percentage of total subscription amount not the same as instructed.
DPRA	DifferentPercentageOfTotalRedemptionAmount	Percentage of total redemption amount not the same as instructed.
DLID	DifferentLegIdentification	Leg Identification not the same as instructed.
DORF	DifferentOrderReference	Confirmation is recognised by the Receiver because the message reference is identified, but the order reference is not the same as instructed.
ULNK	UnknownLinkagesReference	Linked reference is not known.
DREF	DuplicateDealReference	Deal reference is a duplicate of a previously received deal reference.
IVAG	ReceivingAgent	Receiving agent is not recognised or is invalid.
IRCU	ReceiversCustodian	Receiver's Custodian is not recognised or is invalid.
IRIN	ReceiversIntermediary	Receiver's Intermediary is not recognised or is invalid.
ICAG	DeliveringAgent	Delivering agent is not recognised or is invalid.
IDCU	DeliverersCustodian	Deliverer's Custodian is not recognised or is invalid.
IDIN	DeliverersIntermediary	Deliverer's Intermediary is not recognised or is invalid.
ICTR	InvalidCreditTransfer	Credit transfer details are incorrect.
DMON	SettlementAmount	Settlement Amount is invalid.
DDAT	SettlementDate	Settlement date or transfer date is not recognised or is invalid.
NSLA	NotCompliantWithSLA	Confirmation is not compliant with the service level agreement.
MEUT	MissingEUTaxInformation	EU tax information is missing.
MCOM	MissingCommission	Commission information is missing.
MCHA	MissingCharge	Charge information is missing.
MTAX	MissingTaxInformation	Tax information is missing.
NATA	NotAllowedtoAmend	Amendment of order confirmation not allowed.
ALAT	AmendmentTooLate	Amendment of order confirmation too late.

66.2.2.187 RejectedStatusReason11Code

Definition: Specifies the reason for a rejected status.

Type: CodeSet

CodeName	Name	Definition
BLCA	AccountBlockedForCorporateAction	Investment account is blocked due to a corporate action.
BLTR	AccountBlockedForTransfer	Investment account is blocked due to a transfer out of investment funds.
DOCC	AccountBlockedMissingDocuments	Investment account is blocked until certain legal proceedings are completed, for example, legal documents from the successor, legal proceedings due to bankruptcy.
ADEA	AfterDeadline	Instruction was received after the Receiver's deadline.
ILLI	AssetsIlliquid	Assets are illiquid.
BMIN	BelowMinimumInitialInvestmentAmount	Amount of subscription is below the minimum initial investment amount.
BMRA	BelowMinimumRedemptionAmount	Amount is below the minimum redemption amount.
BMRV	BelowMinimumRetainedAmount	Holding will be below the minimum retained value.
CUTO	CutOffTime	Instruction has been received after the cut-off time.
ICAG	DeliveringAgent	Delivering agent is not recognised or is invalid.
IDDB	DirectDebit	Direct debit account identification is not recognised or is invalid.
ORRF	DuplicateOrderReference	Order reference is a duplicate of a previously received order.
FEEE	FeeOrCommission	Unrecognised or invalid fee or commission.
DSEC	FinancialInstrumentIdentification	Identification of the security is not recognised or is invalid.
IDNA	FinancialInstrumentIdentificationAndName	Identification of the security and the security name are not the same.
DQUA	FinancialInstrumentQuantity	Financial instrument quantity is invalid.
CLOS	FundClosed	Fund is closed and will not take in any more investments.
IPAC	InstructingPartyNotAllowedForAccount	Instructing party is not allowed to instruct for this investment account.
INSU	InsufficientCapacity	Insufficient capacity.
INTE	Intermediary	Intermediary is not recognised or is invalid.
CASH	InvalidCashAccount	Cash account is not recognised or invalid.
ICTR	InvalidCreditTransfer	Credit transfer details are incorrect.
IOTP	InvalidOrderType	Order type is invalid.

CodeName	Name	Definition
DFOR	InvalidSecurityForm	Form of the security is wrong, eg, the form of security is registered not bearer or vice versa.
DMON	InvalidSettlementAmount	Unrecognised or invalid settlement amount.
SAFE	InvestmentAccount	Investment account identification is not recognised or is invalid.
LOCK	LockUp	Lock-up period is in place.
NRGM	NoCancellationMatch	The cancellation request has been rejected since more than one instruction match to the cancellation criteria.
NSLA	NotCompliantWithSLA	Instruction is not compliant with the service level agreement.
MONY	NotEnoughCash	There is not enough cash in the account to process the instruction.
SECU	NotEnoughFinancialInstrument	There are not enough securities in the account to process the instruction.
IPAY	PaymentCard	Payment card details are incorrect.
PRCT	PercentageHoldingBreach	Percentage holding breach, for example, PPM rules; taxation rules (ERISA).
DLVY	PhysicalDeliveryImpossible	Order contains physical delivery details but the fund can not be physically delivered.
PHYS	PhysicalSettlement	Physical Settlement Impossible.
PLCE	PlaceOfTrade	Unrecognised or invalid Place of Trade.
IVAG	ReceivingAgent	Receiving agent is not recognised or is invalid.
RTGS	RTGSSystem	Impossible to use RTGS System.
ISAF	SafekeepingPlace	Safekeeping place is not recognised or is invalid.
NCRR	SettlementAmountCurrency	Unrecognised or invalid Settlement Amount Currency.
DDAT	SettlementDate	Cash settlement date is not recognised or is invalid.
DEPT	SettlementPlace	Place of settlement is not recognised or is invalid.
SETR	SettlementTransaction	Unrecognised or invalid Settlement Transaction.
IEXE	SubscriberOrRedeemer	Unrecognised or invalid subscriber or redeemer.
SHIG	TooHighUnitsOrAmountToSubscribe	Quantity of units or amount of money in the order is too high for a subscription.
LATE	TooLate	Instruction was received after market deadline.

CodeName	Name	Definition
SLOW	TooLowUnitsOrAmountToSubscribe	Quantity of units or amount of money in the order is too low for a subscription.
DTRD	TradeDate	Unrecognised or invalid trade date or requested trade date or future trade date.
UWAI	UnacceptedCommissionWaiving	Percentage of commission waiving exceeds the commission percentage or commission amount.
UDCY	UnacceptedDealCurrency	Deal currency is not supported.
UNAV	UnacceptedNAVCurrency	Net asset value currency is not supported.
UPAY	UnacceptedPaymentMethod	Payment method, for example, cheque or payment card, is not accepted.
URSC	UnacceptedRequestedSettlementCurrency	Settlement currency requested is not supported.
ULNK	UnknownLinkagesReference	Linked reference is not known.
UNSC	UnacceptableSwitchCombination	Instruction specifies an unacceptable combination for the financial instruments in the switch order.
POIN	DifferentValuationPoints	Order contains funds that have different valuation points.

66.2.2.188 RejectedStatusReason12Code

Definition: Specifies the reason for a transfer or settlement instruction rejected status.

Type: CodeSet

CodeName	Name	Definition
BLCA	AccountBlockedForCorporateAction	Investment account is blocked due to a corporate action.
DOCC	AccountBlockedMissingDocuments	Investment account is blocked until certain legal proceedings are completed, for example, legal documents from the successor, legal proceedings due to bankruptcy.
IAQD	AcquisitionDate	Acquisition date is not recognised or is invalid.
ICTN	CertificateNumber	Certificate number is not recognised or is invalid.
CYPA	CurrentYearPartial	Partial transfer of current year is not allowed, that is, it is not possible to transfer one asset for the current year as other assets are held for the current year.
TREF	DuplicateTransferReference	Transfer reference is a duplicate of a previously received transfer.
DSEC	FinancialInstrumentIdentification	Identification of the security is not recognised or is invalid.

CodeName	Name	Definition
IDNA	FinancialInstrumentIdentificationAndName	Identification of the security and the security name are not the same.
DQUA	FinancialInstrumentQuantity	Financial instrument quantity is invalid.
FTAX	FinancialInstrumentTaxYear	Financial instrument is not valid for the requested tax year.
INID	InsufficientInvestorData	Investor data is insufficient. Applicable when the mandated market practice is not followed.
INAC	InvalidAccountServicer	Account servicer is not recognised or is invalid.
CASH	InvalidCashAccount	Cash account is not recognised or invalid.
INPM	InvalidNewPlanManager	New plan manager is not recognised or is invalid.
INNA	InvalidNomineeAccount	Nominee account is not recognised or is invalid.
SAFE	InvestmentAccount	Investment account identification is not recognised or is invalid.
INUK	InvestorNameAddressUnknown	Investor name and address is not recognised.
LEGL	LegallyImpossible	Legally impossible to cancel.
NSLA	NotCompliantWithSLA	Instruction is not compliant with the service level agreement.
SECU	NotEnoughFinancialInstrument	There are not enough securities in the account to process the instruction.
PTNS	PartialNotSupported	Partial transfer is not supported. Applicable when tax years are rolled up and because, for example, a transfer request for tax year 2000 only is received, it is not possible to process the transfer as the investor holds other tax years as well.
DLVY	PhysicalDeliveryImpossible	Order contains physical delivery details but the fund can not be physically delivered.
DDAT	SettlementDate	Cash settlement date is not recognised or is invalid.
ISTP	SettlementParties	One or several settlement parties are not recognised or are invalid.
DEPT	SettlementPlace	Place of settlement is not recognised or is invalid.
ISAT	InvalidISAType	ISA type is not recognised or invalid.
OTHR	Other	Another reason for the status rejected.
NCON	NotConvertible	The two share classes are not part of the same fund.
ACLO	AssetClosed	Asset instructed for transfer is closed.

CodeName	Name	Definition
NASS	AssetNotSupported	Asset does not support reregistration.
NQTY	QuantityBelowMinimum	Transfer quantity is too small.
BLTR	AccountBlockedForTransfer	Investment account is blocked due to a transfer out of investment funds.
COSE	AlreadyExecuted	Order or transfer has already been executed and confirmation has been sent.
ILLI	AssetsIlliquid	Assets are illiquid.
BMRV	BelowMinimumRetainedAmount	Holding will be below the minimum retained value.
DINV	DataInvalid	Data in the order or transfer instruction is invalid.
ICAG	DeliveringAgent	Delivering agent is not recognised or is invalid.
IPAC	InstructingPartyNotAllowedForAccount	Instructing party is not allowed to instruct for this investment account.
INTE	Intermediary	Intermediary is not recognised or is invalid.
DMON	InvalidSettlementAmount	Unrecognised or invalid settlement amount.
PRCT	PercentageHoldingBreach	Percentage holding breach, for example, PPM rules; taxation rules (ERISA).
IVAG	ReceivingAgent	Receiving agent is not recognised or is invalid.
NCRR	SettlementAmountCurrency	Unrecognised or invalid Settlement Amount Currency.
DTRD	TradeDate	Unrecognised or invalid trade date or requested trade date or future trade date.
UPAY	UnacceptedPaymentMethod	Payment method, for example, cheque or payment card, is not accepted.
URSC	UnacceptedRequestedSettlementCurrency	Settlement currency requested is not supported.

66.2.2.189 RejectedStatusReason13Code

Definition: Specifies the reason for a transfer or settlement instruction rejected status.

Type: CodeSet

CodeName	Name	Definition
BLCA	AccountBlockedForCorporateAction	Investment account is blocked due to a corporate action.
DOCC	AccountBlockedMissingDocuments	Investment account is blocked until certain legal proceedings are completed, for example, legal documents from the successor, legal proceedings due to bankruptcy.

CodeName	Name	Definition
IAQD	AcquisitionDate	Acquisition date is not recognised or is invalid.
ICTN	CertificateNumber	Certificate number is not recognised or is invalid.
CYPA	CurrentYearPartial	Partial transfer of current year is not allowed, that is, it is not possible to transfer one asset for the current year as other assets are held for the current year.
TREF	DuplicateTransferReference	Transfer reference is a duplicate of a previously received transfer.
DSEC	FinancialInstrumentIdentification	Identification of the security is not recognised or is invalid.
IDNA	FinancialInstrumentIdentificationAndName	Identification of the security and the security name are not the same.
DQUA	FinancialInstrumentQuantity	Financial instrument quantity is invalid.
FTAX	FinancialInstrumentTaxYear	Financial instrument is not valid for the requested tax year.
INID	InsufficientInvestorData	Investor data is insufficient. Applicable when the mandated market practice is not followed.
INAC	InvalidAccountServicer	Account servicer is not recognised or is invalid.
CASH	InvalidCashAccount	Cash account is not recognised or invalid.
INPM	InvalidNewPlanManager	New plan manager is not recognised or is invalid.
INNA	InvalidNomineeAccount	Nominee account is not recognised or is invalid.
SAFE	InvestmentAccount	Investment account identification is not recognised or is invalid.
INUK	InvestorNameAddressUnknown	Investor name and address is not recognised.
LEGL	LegallyImpossible	Legally impossible to cancel.
NSLA	NotCompliantWithSLA	Instruction is not compliant with the service level agreement.
SECU	NotEnoughFinancialInstrument	There are not enough securities in the account to process the instruction.
PTNS	PartialNotSupported	Partial transfer is not supported. Applicable when tax years are rolled up and because, for example, a transfer request for tax year 2000 only is received, it is not possible to process the transfer as the investor holds other tax years as well.
DLVY	PhysicalDeliveryImpossible	Order contains physical delivery details but the fund can not be physically delivered.

CodeName	Name	Definition
DDAT	SettlementDate	Cash settlement date is not recognised or is invalid.
ISTP	SettlementParties	One or several settlement parties are not recognised or are invalid.
DEPT	SettlementPlace	Place of settlement is not recognised or is invalid.
ISAT	InvalidISAType	ISA type is not recognised or invalid.
OTHR	Other	Another reason for the status rejected.
NCON	NotConvertible	The two share classes are not part of the same fund.
ACLO	AssetClosed	Asset instructed for transfer is closed.
NASS	AssetNotSupported	Asset does not support reregistration.
NQTY	QuantityBelowMinimum	Transfer quantity is too small.
BLTR	AccountBlockedForTransfer	Investment account is blocked due to a transfer out of investment funds.
COSE	AlreadyExecuted	Order or transfer has already been executed and confirmation has been sent.
ILLI	AssetsIlliquid	Assets are illiquid.
BMRV	BelowMinimumRetainedAmount	Holding will be below the minimum retained value.
DINV	DataInvalid	Data in the order or transfer instruction is invalid.
ICAG	DeliveringAgent	Delivering agent is not recognised or is invalid.
IPAC	InstructingPartyNotAllowedForAccount	Instructing party is not allowed to instruct for this investment account.
INTE	Intermediary	Intermediary is not recognised or is invalid.
DMON	InvalidSettlementAmount	Unrecognised or invalid settlement amount.
PRCT	PercentageHoldingBreach	Percentage holding breach, for example, PPM rules; taxation rules (ERISA).
IVAG	ReceivingAgent	Receiving agent is not recognised or is invalid.
NCRR	SettlementAmountCurrency	Unrecognised or invalid Settlement Amount Currency.
DTRD	TradeDate	Unrecognised or invalid trade date or requested trade date or future trade date.
UPAY	UnacceptedPaymentMethod	Payment method, for example, cheque or payment card, is not accepted.
URSC	UnacceptedRequestedSettlementCurrency	Settlement currency requested is not supported.

CodeName	Name	Definition
NCMP	NotCompliantWithMarketPractice	Instruction is not compliant with the relevant market practice.

66.2.2.190 RejectedStatusReason6Code

Definition: Specifies the reason for a rejected status.

Type: CodeSet

CodeName	Name	Definition
SAFE	InvestmentAccount	Investment account identification is not recognised or is invalid.
NSLA	NotCompliantWithSLA	Instruction is not compliant with the service level agreement.

66.2.2.191 RejectedStatusReason8Code

Definition: Specifies the reason for a rejected status.

Type: CodeSet

CodeName	Name	Definition
CUTO	CutOffTime	Instruction has been received after the cut-off time.
NALC	NotAllowedToCancel	Instructing party is not allowed to request a cancellation.
NSLA	NotCompliantWithSLA	Instruction is not compliant with the service level agreement.
LEGL	LegallyImpossible	Legally impossible to cancel.

66.2.2.192 ResidentialStatus1Code

Definition: Specifies the residential status of an individual.

Type: CodeSet

CodeName	Name	Definition
RESI	Resident	Resident.
PRES	PermanentResident	Permanent resident.
NRES	NonResident	Non-resident.

66.2.2.193 RestrictionStatus1Code

Definition: Specifies the status of a restriction.

Type: CodeSet

CodeName	Name	Definition
ACTV	Active	Status of the restriction is active.
INAC	Inactive	Status of the restriction is inactive.

66.2.2.194 ReversalCode

Definition: Specifies if an operation is the result of a reversal operation.

Type: CodeSet

CodeName	Name	Definition
RVCD	ReversalCredit	Debit entry used to reverse a previously booked credit entry.
RVDB	ReversalDebit	Credit entry used to reverse a previously booked debit entry.

66.2.2.195 RiskLevel1Code

Definition: Specifies the risk level.

Type: CodeSet

CodeName	Name	Definition
HIGH	High	High.
LOWW	Low	Low.
MEDM	Medium	Medium.

66.2.2.196 RoundingDirection1Code

Definition: Specifies the rounding direction.

Type: CodeSet

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.
STAN	RoundToNearest	Round up or down to the nearest whole number.
DIST	IssueFraction	Do not round.

66.2.2.197 RoundingDirection2Code

Definition: Specifies the rounding direction applied to nearest unit.

Type: CodeSet

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

66.2.2.198 SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

66.2.2.199 SafekeepingPlace2Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

66.2.2.200 SecuritiesAccountPurposeType1Code

Definition: Specifies the purpose of the securities account.

Type: CodeSet

CodeName	Name	Definition
MARG	Margin	Account is used when financing is done by the broker.
SHOR	ShortSale	Account is used for short sale orders.
ABRD	Abroad	Account is located in a financial institution outside the country where the transaction is taking place.
CEND	Centralised	Account and all its related positions are held in one location.
DVPA	CashDVP	Account is used for settlement upon delivery, or a collection on a delivery basis.
PHYS	Physical	Account is used for physical domestic safekeeping.

66.2.2.201 SecuritiesBalanceType1Code

Definition: Specifies the nature of the securities or investment fund balance.

Type: CodeSet

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
MARG	DerivativesMargin	Balance of securities that are used as collateral for derivatives.
PDMT	PendingDeliveryMatchedBalance	Balance of securities pending delivery that have been matched. This is only applicable in a CSD to participant communication.
PRMT	PendingReceiptMatchedBalance	Balance of securities pending receipt that have been matched. This is only applicable in a CSD to participant communication.
PRUM	PendingReceiptUnmatchedBalance	Balance of securities pending receipt that are unmatched. This is only applicable in a CSD to participant communication.
PECA	PendingCorporateAction	Balance of securities, before ex-date, that are involved in a corporate action (CA). Securities blocked due to a CA, for example, proxy, in escrow, are to be identified in the BLOK sub-balance.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
PLED	Pledged	Balance of securities that are pledged, for example, a financial instrument is unavailable because it has been used or given as a guarantee.
PDUM	PendingDeliveryUnmatchedBalance	Balance of securities pending delivery that are unmatched. This is only applicable in a CSD to participant communication.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

CodeName	Name	Definition
RSTR	Restricted	Balance of securities that may only be sold under certain conditions or require legal documents.
OTHR	Unclassified	Balance of securities that is unclassified, ie, is not identified with one of the existing sub-balance types.
TRAN	InTransshipment	Balance of physical securities that are in transshipment, eg, in the process of being transferred from one depository or agent to another.
DRAW	Drawn	Balance of securities that are drawn for redemption.
WDOC	WaitingDocumentation	Financial instrument is unavailable due to missing or incomplete documentation pertaining to the account, or due to a missing or incomplete order.
BTRA	BeingTransferred	Financial instrument is unavailable as a result of a transfer order, pending movement in the shareholders' register.

66.2.2.202 SecuritiesBalanceType2Code

Definition: Specifies the nature of the securities or investment fund balance.

Type: CodeSet

CodeName	Name	Definition
CLEN	TaxExempt	Balance of tax-exempt securities.
DIRT	NonTaxExempt	Balance of taxable securities.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
OTHR	Unclassified	Balance of securities that is unclassified, ie, is not identified with one of the existing sub-balance types.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
UNRG	Unregistered	Balance of securities that could not be registered due to foreign ownership limitation.

66.2.2.203 SecuritiesBalanceType3Code

Definition: Specifies the nature of the securities or investment fund balance.

Type: CodeSet

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order,

CodeName	Name	Definition
		death of beneficiary or account owner, or bankruptcy.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
MARG	DerivativesMargin	Balance of securities that are used as collateral for derivatives.
PDMT	PendingDeliveryMatchedBalance	Balance of securities pending delivery that have been matched. This is only applicable in a CSD to participant communication.
PRMT	PendingReceiptMatchedBalance	Balance of securities pending receipt that have been matched. This is only applicable in a CSD to participant communication.
PRUM	PendingReceiptUnmatchedBalance	Balance of securities pending receipt that are unmatched. This is only applicable in a CSD to participant communication.
PECA	PendingCorporateAction	Balance of securities, before ex-date, that are involved in a corporate action (CA). Securities blocked due to a CA, for example, proxy, in escrow, are to be identified in the BLOK sub-balance.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
PLED	Pledged	Balance of securities that are pledged, for example, a financial instrument is unavailable because it has been used or given as a guarantee.
PDUM	PendingDeliveryUnmatchedBalance	Balance of securities pending delivery that are unmatched. This is only applicable in a CSD to participant communication.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.
RSTR	Restricted	Balance of securities that may only be sold under certain conditions or require legal documents.

CodeName	Name	Definition
OTHR	Unclassified	Balance of securities that is unclassified, ie, is not identified with one of the existing sub-balance types.
TRAN	InTransshipment	Balance of physical securities that are in transshipment, eg, in the process of being transferred from one depository or agent to another.
DRAW	Drawn	Balance of securities that are drawn for redemption.
WDOC	WaitingDocumentation	Financial instrument is unavailable due to missing or incomplete documentation pertaining to the account, or due to a missing or incomplete order.
BTRA	BeingTransferred	Financial instrument is unavailable as a result of a transfer order, pending movement in the shareholders' register.
SPCM	SidePocketComponent	Investment fund order contains a side pocket component.
HOLD	Holdback	Investment fund order execution subject to redemption proceeds.

66.2.2.204 SecuritiesBalanceType4Code

Definition: Specifies the nature of the securities or investment fund balance.

Type: CodeSet

CodeName	Name	Definition
CLEN	TaxExempt	Balance of tax-exempt securities.
DIRT	NonTaxExempt	Balance of taxable securities.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
OTHR	Unclassified	Balance of securities that is unclassified, ie, is not identified with one of the existing sub-balance types.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
UNRG	Unregistered	Balance of securities that could not be registered due to foreign ownership limitation.
SPCM	SidePocketComponent	Investment fund order contains a side pocket component.
HOLD	Holdback	Investment fund order execution subject to redemption proceeds.

66.2.2.205 SettledStatusReason2Code

Definition: Partial settlement status information or information about gating.

Type: CodeSet

CodeName	Name	Definition
CPST	CashPartiallySettled	Cash is partially settled.
GATM	GatedMultipleNAV	Redemption has been gated. Multiple redemptions and multiple prices will be required.
GAT1	GatedOneNAV	Redemption has been gated, all settlement will be done at the same price.
UCPS	UnitsCashPartiallySettled	Units and cash are both partially settled.
UPST	UnitsPartiallySettled	Units are partially settled.

66.2.2.206 SettlementDate1Code

Definition: Indicates the date of settlement in coded form.

Type: CodeSet

CodeName	Name	Definition
ASAP	AsSoonAsPossible	Transfer is to be effected as soon as possible.
ENDC	AtEndOfContract	Transfer is to be effected at the end of the contract.
WHIF	WhenAndIfIssued	Settlement takes place when the financial instrument is issued by the issuer.

66.2.2.207 SettlementInstructionReason1Code

Definition: Specifies the type of transaction.

Type: CodeSet

CodeName	Name	Definition
CSHI	AllCredits	Settlement of all credit amounts.
ALLL	AllCreditsAndDebits	Settlement of all credit and debit amounts.
CSHO	AllDebits	Settlement of all debit amounts.
CHAR	Charges	Settlement of charges.
DIVI	Dividend	Settlement of dividends.
INTE	Interest	Settlement of interest.
SAVP	InvestmentSavingsPlan	Settlement of investments to a savings plan.
REDM	Redemption	Settlement of redemptions or redemption legs of a switch transaction.
SAVE	SavingsPlan	Settlement of investments to a savings plan and withdrawals from a savings plan.
BUYI	SecuritiesPurchase	Settlement of securities purchases.

CodeName	Name	Definition
SELL	SecuritiesSale	Settlement of securities sales.
SUBS	Subscription	Settlement of subscriptions or subscription legs of a switch transaction.
WTHP	WithdrawalSavingsPlan	Settlement of withdrawals from a savings plan.
CORP	CorporateAction	Settlement of corporate actions.

66.2.2.208 SettlementTransactionCondition11Code

Definition: Specifies the conditions under which a order/trade is to be settled.

Type: CodeSet

CodeName	Name	Definition
NOMC	NoAutomaticMarketClaim	No market claim should be automatically generated.

66.2.2.209 SignatureType1Code

Definition: Type of signature form.

Type: CodeSet

CodeName	Name	Definition
ORIG	Original	The investor's written signature is required. Can also be known as physical or wet signature.
DIGI	Digital	A technical signature that includes algorithms and private key and public key information. Used to sign and verify the contents of a message.
ELEC	Electronic	A copy of a physical or original signature in an electronic format.
NONE	None	There is no signature required in any form.

66.2.2.210 SignatureType2Code

Definition: Type of signature.

Type: CodeSet

CodeName	Name	Definition
DIGI	Digital	Technical signature that includes algorithms and private key and public key information. Used to sign and verify the contents of a message.
ELEC	Electronic	Copy of a physical or original signature in an electronic format.
NONE	None	No signature.
ORIG	Original	Written signature. May also be known as a physical or wet signature.

66.2.2.211 SourceOfCash1Code

Definition: Specifies the origin of cash.

Type: CodeSet

CodeName	Name	Definition
ALMY	Alimony	Income as a result of alimony.
CASH	CashProceeds	Income is cash proceeds from customers.
COMP	Compensation	Income is from compensation received.
EMIN	EmployeeIncome	Employee income.
GIFT	Gift	Income was a cash gift.
INHE	Inheritance	Cash from an inheritance.
INLQ	InsurancePremiumLiquidation	Income from the liquidation of an insurance premium.
REST	RealEstateSale	Income from the sale of real estate.
REDM	Redemption	Redemption of an investment fund.
REPY	RetirementPolicy	Income from a retirement policy.
SEAQ	SaleAquisitionCorporation	Sale and acquisition of a corporation.
SALE	SaleOfGoods	Sale of goods.
SVGS	Savings	Income from a savings plan.
SELF	SelfEmploymentIncome	Income from self-employment.
WINS	Winnings	Income from a cash win.

66.2.2.212 StampDutyType2Code

Definition: Specifies if the stamp duty is applicable.

Type: CodeSet

CodeName	Name	Definition
ASTD	Applicable	Stamps duty is applicable.
SDRN	NotApplicable	Stamp duty not applicable.

66.2.2.213 StatementBasis1Code

Definition: Specifies the type of balances on which the statement is prepared.

Type: CodeSet

CodeName	Name	Definition
CONT	Contractual	The statement is based on contractual settlement date irrespective of settled data positions.
SETT	Settled	The statement is based on settled date positions to the knowledge of the sender at the time of the statement preparation.

CodeName	Name	Definition
TRAD	Traded	The statement is based on trade date positions.

66.2.2.214 StatementUpdateTypeCode

Definition: Specifies the nature of a statement update, eg, it is a complete statement.

Type: CodeSet

CodeName	Name	Definition
COMP	Complete	Statement is complete.
DELT	Delta	Statement contains changes only.

66.2.2.215 SuspendedStatusReason3Code

Definition: Specifies the reason for a suspended status.

Type: CodeSet

CodeName	Name	Definition
PRIC	PriceSuspension	There is a suspension of pricing. The order will stay on the books until the next pricing.
FLOW	Overflow	There is a fund overflow.

66.2.2.216 SustainabilityPreferences1Code

Definition: Specifies whether the product is compatible with investors that have sustainability preferences.

Type: CodeSet

CodeName	Name	Definition
CESG	ESGPreference	Preference for products having environmental, social and corporate governance (ESG) characteristics.
NEUT	Neutral	Neutral or has no impact.
OSUS	SustainablePreference	Preference for products having sustainable objectives.

66.2.2.217 TargetMarket1Code

Definition: Specifies whether a financial product is in scope of a target market parameter.

Type: CodeSet

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

66.2.2.218 TargetMarket2Code

Definition: Specifies whether a financial product is in scope of a target market parameter.

Type: CodeSet

CodeName	Name	Definition
NEUT	Neutral	Neutral or has no impact.
YSCO	InScope	Yes, is applicable.

66.2.2.219 TargetMarket3Code

Definition: Specifies whether a financial product is in scope of a target market parameter.

Type: CodeSet

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NSCO	NotInScope	No, is not applicable.

66.2.2.220 TaxableIncomePerShareCalculated2Code

Definition: Specifies whether the fund calculates a taxable interest per share (TIS).

Type: CodeSet

CodeName	Name	Definition
TSIY	FundCalculates	Fund is calculating the taxable interest per share with the NAV.
TSIN	FundDoesNotCalculate	Fund is not calculating the taxable interest per share with the NAV.
UKWN	FundCalculatesUnknown	Unknown whether the fund is calculating the taxable interest per share with the NAV.

66.2.2.221 TaxationBasis2Code

Definition: Specifies the tax basis.

Type: CodeSet

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

66.2.2.222 TaxationBasis5Code

Definition: Specifies the tax or charge basis.

Type: CodeSet

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.

CodeName	Name	Definition
GRAM	GrossAmount	Charge/Commission/tax basis is based on the gross amount.
NEAM	NetAmount	Charge/commission/tax basis is based on the net amount.
NAVP	NetAssetValuePrice	Charge/tax basis is the price.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

66.2.2.223 TaxEfficientProductType2Code

Definition: Specifies a type of tax efficient product.

Type: CodeSet

CodeName	Name	Definition
CASH	Cash	Cash individual savings account. In the UK, this is known as a cash ISA.
CLIS	CashLISA	Cash lifetime individual savings account. In the UK, this is known as a cash LISA.
FISA	Flexible	Flexible individual savings account. In the UK, this is known as a FISA.
GISK	GeneralInvestmentSavingsAccount	General investment savings account. (Known as Investeringsparkonto (ISK) in some markets.)
GASK	GeneralShareSavingsAccount	General shares or equity savings account. (Known as ASK in some markets.)
HISA	HelpToBuy	Help to buy individual savings account. In the UK, this is known as a HISA.
INNF	InnovativeFinance	Innovative finance individual savings account. In the UK, this is known as an innovative ISA.
JCSH	JuniorCash	Junior cash individual savings account. In the UK, this is known as a cash JISA.
JISA	JuniorStocksAndSharesISA	Junior stocks and shares individual savings account. In the UK, this is known as a stocks and shares JISA.
LISA	Lifetime	Stocks and shares lifetime individual savings account. In the UK, this is known as a stocks and shares LISA.
CCTF	NonStakeholderChildTrustFund	Non-stakeholder child trust fund individual savings account. In the UK, this is known as a non-stakeholder CTF ISA.
SCTF	StakeholderChildTrustFund	Stakeholder child trust fund individual savings account. In the UK, this is known as a stakeholder CTF ISA.
EQUI	StocksAndShares	Stocks and shares individual savings account. In the UK, this is known as a stocks and shares ISA.

66.2.2.224 TaxExemptReason1Code

Definition: Specifies the reason a specific tax has not been levied/deducted on a given transaction.

Type: CodeSet

CodeName	Name	Definition
NONE	None	Account is not tax exempt.
MASA	MaxiISA	Maxi Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). The Maxi ISA allows an investor to save all three of the different elements of ISA investments that are allowed, with one savings institution in a single account.
MISA	MiniCashISA	Mini Cash Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). An investor can hold more than one Mini ISA to utilise the overall Mini ISA investment limit, and can invest with more than one savings institution, however only one of the three elements of ISA investment is allowed in a single account.
SISA	MiniStocksAndSharesISA	Mini Stocks and Shares Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain stocks, shares and collective investments up to the prescribed annual subscription limit (required for UK).
IISA	MiniInsuranceISA	Mini Insurance Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain life insurance investments up to the prescribed annual subscription limit (required for UK).
CUYP	CurrentYearPayment	Account is for current year payments into an investment retirement account (required for US).
PRYP	PriorYearPayment	Account is for a prior year payment into an investment retirement account (required for US).
ASTR	AssetTransfer	Account is for an asset transfer into an investment retirement account (required for US).
EMPY	EmployeePriorYear	Account is for employee - prior year contributions into an investment retirement account (required for US).
EMCY	EmployeeCurrentYear	Account is for employee - current year contributions into an investment retirement account (required for US).

CodeName	Name	Definition
EPRY	EmployerPriorYear	Account is for employer - prior year contributions into an investment retirement account (required for US).
ECYE	EmployerCurrentYear	Account is for employer - current year contributions into an investment retirement account (required for US).
NFPI	NonFundPrototypeIRA	Account is for non-fund prototype Individual Retirement Account (IRA) into an investment retirement account (required for US).
NFQP	NonFundQualifiedPlan	Account is for non-fund qualified plan into an investment retirement account (required for US).
DECP	DefinedContributionPlan	Account is for a defined contribution plan (required for US).
IRAC	IndividualRetirementAccount	Account is an Individual Retirement Account (IRA) (required for US).
IRAR	IndividualRetirementAccountRollover	Account is for an Individual Retirement Account (IRA) - Rollover (required for US).
KEOG	KEOGH	KEOGH. Account is a tax-deferred retirement plan designed to help self-employed workers or individuals who earn self-employed income establish a retirement savings program (required for US).
PFSP	ProfitSharingPlan	Account is a Profit Sharing Plan (required for US).
401K	FourHundredAndOneK	401k. Account is an optional retirement plan supported by many companies, in which income is invested before it is taxed (required for US).
SIRA	SelfDirectedIRA	Self-Directed Individual Retirement Account (IRA) (required for US).
403B	FourHundredAndThreeB	403(b). Account is a tax-deferred investment and savings program for employees of hospitals, educational institutions and other non-profit organisations, to save and invest in their own retirement. The name refers to the relevant section in the US Internal Revenue Code (required for US).
457X	FourHundredFiftySeven	457. Account is a non-qualified deferred compensation program that is available to state and local governments and not-for-profit employers (required for US).
RIRA	RothIRAFundPrototype	Roth Individual Retirement Account (IRA) Fund Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA. Retirement contributions are taxed upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up

CodeName	Name	Definition
		for a certain period of time (required for US).
RIAN	RothIRANonPrototype	Roth Individual Retirement Account (IRA) Non-Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA. Retirement contributions are taxed upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up for a certain period of time (required for US).
RCRF	RothConversionIRAFundPrototype	Roth Conversion Individual Retirement Account (IRA) Fund Prototype. Account is a retirement account established when a party switches from a regular IRA (required for US).
RCIP	RothConversionIRANonPrototype	Roth Conversion Individual Retirement Account (IRA) Non-Prototype. Account is a retirement account established when a party switches from a Regular IRA (required for US).
EIFP	EducationIRAFundPrototype	Education Individual Retirement Account Fund Prototype (also known as an Education Savings Account). Account is a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required for US).
EIOP	EducationIRANonPrototype	Education Individual Retirement Account Non-Prototype (also known as an Education Savings Account). Account is a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required in the US).

66.2.2.225 TaxExemptReason3Code

Definition: Specifies the reason a specific tax has not been levied/deducted on a given transaction.

Type: CodeSet

CodeName	Name	Definition
NONE	None	Account is not tax exempt.
MASA	MaxiISA	Maxi Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). The Maxi ISA allows an investor to save all three of the different elements of ISA

CodeName	Name	Definition
		investments that are allowed, with one savings institution in a single account.
MISA	MiniCashISA	Mini Cash Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). An investor can hold more than one Mini ISA to utilise the overall Mini ISA investment limit, and can invest with more than one savings institution, however only one of the three elements of ISA investment is allowed in a single account.
SISA	MiniStocksAndSharesISA	Mini Stocks and Shares Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain stocks, shares and collective investments up to the prescribed annual subscription limit (required for UK).
IISA	MiniInsuranceISA	Mini Insurance Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain life insurance investments up to the prescribed annual subscription limit (required for UK).
CUYP	CurrentYearPayment	Account is for current year payments into an investment retirement account (required for US).
PRYP	PriorYearPayment	Account is for a prior year payment into an investment retirement account (required for US).
ASTR	AssetTransfer	Account is for an asset transfer into an investment retirement account (required for US).
EMPY	EmployeePriorYear	Account is for employee - prior year contributions into an investment retirement account (required for US).
EMCY	EmployeeCurrentYear	Account is for employee - current year contributions into an investment retirement account (required for US).
EPRY	EmployerPriorYear	Account is for employer - prior year contributions into an investment retirement account (required for US).
ECYE	EmployerCurrentYear	Account is for employer - current year contributions into an investment retirement account (required for US).
NFPI	NonFundPrototypeIRA	Account is for non-fund prototype Individual Retirement Account (IRA) into an investment retirement account (required for US).
NFQP	NonFundQualifiedPlan	Account is for non-fund qualified plan into an investment retirement account (required for US).

CodeName	Name	Definition
DECP	DefinedContributionPlan	Account is for a defined contribution plan (required for US).
IRAC	IndividualRetirementAccount	Account is an Individual Retirement Account (IRA) (required for US).
IRAR	IndividualRetirementAccountRollover	Account is for an Individual Retirement Account (IRA) - Rollover (required for US).
KEOG	KEOGH	KEOGH. Account is a tax-deferred retirement plan designed to help self-employed workers or individuals who earn self-employed income establish a retirement savings program (required for US).
PFSP	ProfitSharingPlan	Account is a Profit Sharing Plan (required for US).
401K	FourHundredAndOneK	401k. Account is an optional retirement plan supported by many companies, in which income is invested before it is taxed (required for US).
SIRA	SelfDirectedIRA	Self-Directed Individual Retirement Account (IRA) (required for US).
403B	FourHundredAndThreeB	403(b). Account is a tax-deferred investment and savings program for employees of hospitals, educational institutions and other non-profit organisations, to save and invest in their own retirement. The name refers to the relevant section in the US Internal Revenue Code (required for US).
457X	FourHundredFiftySeven	457. Account is a non-qualified deferred compensation program that is available to state and local governments and not-for-profit employers (required for US).
RIRA	RothIRAFundPrototype	Roth Individual Retirement Account (IRA) Fund Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA. Retirement contributions are taxed upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up for a certain period of time (required for US).
RIAN	RothIRANonPrototype	Roth Individual Retirement Account (IRA) Non-Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA. Retirement contributions are taxed upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up for a certain period of time (required for US).
RCRF	RothConversionIRAFundPrototype	Roth Conversion Individual Retirement Account (IRA) Fund Prototype. Account is a retirement account established when

CodeName	Name	Definition
		a party switches from a regular IRA (required for US).
RCIP	RothConversionIRANonPrototype	Roth Conversion Individual Retirement Account (IRA) Non-Prototype. Account is a retirement account established when a party switches from a Regular IRA (required for US).
EIFP	EducationIRAFundPrototype	Education Individual Retirement Account Fund Prototype (also known as an Education Savings Account). Account is a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required for US).
EIOP	EducationIRANonPrototype	Education Individual Retirement Account Non-Prototype (also known as an Education Savings Account). Account is a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required in the US).
FORE	Foreigner	Account is for a foreigner for which a tax exemption is permitted.
INCA	Incapacity	Exemption due to incapacitation.
MINO	Minor	Account is for a minor for which a tax exemption is permitted.
ASSO	Association	Account is for an association, for example, a sports club, for which a tax exemption is permitted.
DIPL	Diplomat	Account is for a diplomat for which a tax exemption is permitted.
DOME	Domestic	Account is for two account owners that are both domestic.
FORP	OneOrBothForeign	Account is for two account owners where one or both are foreign.
ORDR	Ordinary	Ordinary reporting.
PENF	PensionFund	Account is for a pension fund for which a tax exemption is permitted.
REFU	Refugee	Account is for a refugee or person without a number assigned by a government organisation, for example, without a Danish Central Securities Number.
RIHO	RightsHolder	Account is for a rights holder.
ADMI	Administrator	Administrator of the account is not the owner.

CodeName	Name	Definition
TANR	TwoNonResident	Two or more of the account owners are foreign residents.
OANR	OneNonResident	One of the account owners is a foreign resident.

66.2.2.226 TaxType12Code

Definition: Specifies the type of tax.

Type: CodeSet

CodeName	Name	Definition
INPO	InterimProfitTax	Tax is on the sum of all earnings/ revenues accrued since the last dividend distribution.
EUTR	EUTaxRetention	Tax withheld at source in the framework of the European Directive on taxation of savings in the form of interest payments.
AKT1	Aktiengewinn1	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the old Investment Tax Act (Investmentsteuergesetz).
AKT2	Aktiengewinn2	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the new Investment Tax Act (Investmentsteuergesetz).
ZWIS	Zwischengewinn	Zwischengewinn.
MIET	Mietgewinn	Earnings from renting.

66.2.2.227 TaxType16Code

Definition: Specifies the type of tax.

Type: CodeSet

CodeName	Name	Definition
COAX	ConsumptionTax	Tax that is levied on goods and services purchased by customers, and is added to the retail price.
CTAX	TaxCredit	Direct reduction of an individual's tax liability.
EUTR	EUTaxRetention	Tax withheld at source in the framework of the European Directive on taxation of savings in the form of interest payments.

CodeName	Name	Definition
LEVY	PaymentLevyTax	Tax levied on a payment.
LOCL	LocalTax	Tax that is charged by a local settlement system or local jurisdiction.
NATI	NationalTax	Tax is a national tax.
PROV	Provincial	Tax is a provincial tax.
STAM	StampDuty	Tax is on certain documents and transactions.
STAT	StateTax	Tax is a state tax.
STEX	StockExchangeTax	Tax collected by a stock exchange.
TRAN	TransferTax	Tax levied on a transfer.
TRAX	TransactionTax	Tax levied on a transaction.
VATA	ValueAddedTax	Tax is a value added tax.
WITH	WithholdingTax	Tax is on income deducted at source, which the paying agent is legally obliged to deduct from its payments of interest on deposits and other proceeds of a financial instrument.
NKAP	CapitalLossCredit	Tax recovery is due to capital loss.
KAPA	CapitalGainTax	Tax is on a capital gain (realised and unrealised), that is, the profit that is gained from the sale of a financial instrument.

66.2.2.228 TaxType17Code

Definition: Specifies the type of tax.

Type: CodeSet

CodeName	Name	Definition
PROV	Provincial	Tax is a provincial tax.
NATI	NationalTax	Tax is a national tax.
STAT	StateTax	Tax is a state tax.
WITH	WithholdingTax	Tax is on income deducted at source, which the paying agent is legally obliged to deduct from its payments of interest on deposits and other proceeds of a financial instrument.
KAPA	CapitalGainTax	Tax is on a capital gain (realised and unrealised), that is, the profit that is gained from the sale of a financial instrument.
NKAP	CapitalLossCredit	Tax recovery is due to capital loss.
INPO	InterimProfitTax	Tax is on the sum of all earnings/ revenues accrued since the last dividend distribution.

CodeName	Name	Definition
STAM	StampDuty	Tax is on certain documents and transactions.
WTAX	WealthTax	Tax is a special tax imposed, applicable only when the value of assets or categories of assets owned by an entity are above a given ceiling defined by the tax authority. Wealth tax is not linked to income.
INHT	InheritanceTax	Tax that is payable at the time of death on any items (money or otherwise), where ownership changes either upon death or within a legally specified number of years before death.
SOSU	SolidaritySurcharge	Tax that is levied by legal authorities for solidarity purposes.
CTAX	TaxCredit	Direct reduction of an individual's tax liability.
GIFT	GiftTax	Tax that is levied on assets given to individuals prior to the death of the donor. Gift tax is designed to ensure the integrity of the inheritance tax, preventing the pre-death transfer of wealth.
COAX	ConsumptionTax	Tax that is levied on goods and services purchased by customers, and is added to the retail price.
EUTR	EUTaxRetention	Tax withheld at source in the framework of the European Directive on taxation of savings in the form of interest payments.
AKT1	Aktiengewinn1	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the old Investment Tax Act (Investmentsteuergesetz).
AKT2	Aktiengewinn2	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the new Investment Tax Act (Investmentsteuergesetz).
ZWIS	Zwischengewinn	Zwischengewinn.

66.2.2.229 TaxWithholdingMethod3Code

Definition: Specifies the method by which the tax on the interests is to be processed i.e. either withheld at source or reported to tax authorities or a tax certificate has been provided by the beneficiary.

Type: CodeSet

CodeName	Name	Definition
MITX	MinorityInterestTax	Minority interest tax is withheld.
INVE	InvestmentCompany	Withholding tax is applied to an investment company.
ACCT	Accountant	Dividend tax is exempt according to the accountant.
EXMT	Exempt	Dividend tax is exempt.
REPT	ReportTax	Tax information is reported to the tax authorities and therefore not withheld at source.
CRTF	TaxCertificate	Tax certificate is provided by the beneficiary from its tax authority in its country of residence. Therefore, the obligation of withholding tax is waived and tax information will be reported to the tax authorities.
WHCO	WithholdingCorporateTax	Withholding tax is applied to a corporate.
WTHD	WithholdTax	Tax is withheld at source.
WTRE	WithholdTaxAndReport	Tax is withheld at source and the tax information is also reported to the tax authorities.

66.2.2.230 TaxWrapperAmountType1Code

Definition: Specifies a type of amount.

Type: CodeSet

CodeName	Name	Definition
BONU	Bonus	Type of amount is a bonus, for example, a government bonus.
WTHD	Withdrawal	Type of amount is a withdrawal, for example, a withdrawal for a first time residential purchase.

66.2.2.231 TimeFrame2Code

Definition: Specifies a time frame.

Type: CodeSet

CodeName	Name	Definition
HOLD	Hold	Hold to maturity.
LONG	LongTerm	Greater than five years.
MEDM	MediumTerm	Greater than or equal to three years.
SHOR	ShortTerm	Greater than or equal to one year.
VSHT	VeryShortTerm	Less than one year.

66.2.2.232 TradeTransactionCondition5Code

Definition: Specifies the conditions under which the order/trade is to be/was executed.

Type: CodeSet

CodeName	Name	Definition
XCPN	ExCoupon	Indicates whether the trade is executed ex coupon.
CCPN	CumCoupon	Indicates whether the trade is executed cum coupon.

66.2.2.233 TradingCapacity8Code

Definition: Specifies the role of a trading party in a transaction.

Type: CodeSet

CodeName	Name	Definition
AGEN	Agent	Trading as Agent on behalf of a customer.
PRIN	Principal	Trading as Principal.

66.2.2.234 TransactionChannel2Code

Definition: Specifies the type of communication channel.

Type: CodeSet

CodeName	Name	Definition
FIAD	FinancialAdvisor	Financial advisor.
HOBA	HomeBanking	Home banking.
BRAN	OfficeOrBranch	Office or branch.

66.2.2.235 TransactionStatus1Code

Definition: Specifies the processing status of an investment fund transaction.

Type: CodeSet

CodeName	Name	Definition
RVSL	Reversal	Transaction has been reversed.
REBO	Rebooked	Transaction has been rebooked.
CANC	Cancelled	Transaction has been cancelled.

66.2.2.236 TransactionType2Code

Definition: Specifies the type of transaction.

Type: CodeSet

CodeName	Name	Definition
REDM	Redemption	Transaction is a redemption of an investment fund.

CodeName	Name	Definition
SUBS	Subscription	Transaction is a subscription to an investment fund.
SSPL	SubscriptionSavingsPlan	Transaction is a subscription for a savings plan, that is, money set aside by individuals in the framework of a structured plan for a special purpose, for example, retirement.
RWPL	RedemptionWithdrawingPlan	Transaction is a withdrawal by individuals in the framework of a structured plan for investments made in the past.
TRIN	TransferIn	Transaction is an incoming credit to an account on the shareholders register, and is not linked to a shift in investment (subscription or switch), but to account management.
TOUT	TransferOut	Transaction is a debit to an account on the shareholders register, and is not linked to a shift in investment (redemption or switch), but to account management.
SWII	SwitchIn	Transaction is a subscription leg of a switch order execution.
SWIO	SwitchOut	Transaction is a subscription leg of a switch order execution.
SUAA	SubscriptionAssetAllocation	Transaction is a subscription in an asset allocation plan that enables investors to allocate, by percentage a certain amount of cash into several sub-funds of a same umbrella structure.
REAA	RedemptionAssetAllocation	Transaction is a redemption in an asset allocation plan which enables investors to withdraw, by percentage a certain amount of cash from several sub-funds of a same umbrella structure.

66.2.2.237 TransferInFunction1Code

Definition: Specifies the function of the transfer in.

Type: CodeSet

CodeName	Name	Definition
ADRE	AdviceAndRequest	The transfer-in is an advice and request, that is, the message is used to inform the receiver to expect an unsolicited transfer in confirmation and to request account information for the transfer.
INST	Instruction	The transfer-in is an instruction.

66.2.2.238 TransferInFunction2Code

Definition: Specifies the function of the transfer in confirmation.

Type: CodeSet

CodeName	Name	Definition
CONF	Confirmation	The transfer-in is a confirmation (rather than an advice).
ADVI	Advice	The transfer-in provides advice about account information for a transfer.

66.2.2.239 TransferReason1Code

Definition: Specifies the reason for the assets transfer.

Type: CodeSet

CodeName	Name	Definition
TRAU	UndividedPossession	Transfer is an undivided possession.
TRAC	TransferBetweenSpouses	Transfer is between spouses.
TRAT	Trade	Transfer is a trade.
TRAO	OrdinaryTransfer	Transfer is ordinary.
TRAI	Heritage	Transfer is a heritage.
TRAG	Gift	Transfer is a gift.
TPLD	PledgedAccountTransfer	Transfer to pledged account (in certain circumstances distributors have to freeze and transfer total or partial holding to separate omnibus account e.g. investigation required by fiscal authority or police).
TTDT	TDTFrameworkTransfer	Transfer instruction executed within TDT framework (TDT framework is the operation model promoted by the Italian Banking Association for transfer and most of transfers, but not all, will be executed in accordance with that process).
TRPE	TransferBetweenDifferentBeneficiaries	Transfer between different beneficiaries with taxation to be applied (accounts with different owner).
TRAF	Demerger	Transfer is the result of a demerger or division.
TRAN	BetweenOwnAccounts	Transfer is between own accounts.

66.2.2.240 TransferStatus6Code

Definition: Specifies a transfer or settlement instruction status.

Type: CodeSet

CodeName	Name	Definition
PACK	Accepted	Transfer or settlement instruction has been acknowledged / accepted for further processing.
COSE	AlreadyExecuted	Transfer or settlement instruction is fully executed and the confirmation is sent.

CodeName	Name	Definition
COMP	Complete	Portfolio transfer is complete.
DELY	Delayed	Transfer or settlement instruction is delayed.
MACH	Matched	Transfer or settlement instruction is matched.
RECE	Received	Instruction has been received, that is, technical validation of the message is ok, and the message is now at the receiving side.
STNP	SentToNextParty	Transfer or settlement instruction has been sent to the next party, that is, the next intermediary.
SETT	Settled	Transfer or settlement instruction is settled.

66.2.2.241 TransferStatusType2Code

Definition: Specifies a type of transfer status or transfer event.

Type: CodeSet

CodeName	Name	Definition
S019	AccountHoldingInformationRequest	Status report is sent in response to an account holding information request.
BCEV	BenefitCrystallisationEvent	Status report advises one or more Benefit Crystallisation Events (BCE) have occurred.
SETT	CSDorICSDSettlementInformation	Status report is sent to provide information required for a CSD or ICSD settlement leg.
DRAW	Drawdown	Status report advises one or more drawdowns.
PAYA	PaymentAdvice	Status report advises cash is or has been instructed.
S012	PortfolioTransferInstruction	Status report is sent in response to a portfolio transfer instruction.
INFO	Information	Status report is sent to provide information pertinent to the transfer. May be sent in response to a request for transfer status.
STAT	Status	Status report is sent to provide the status of a transfer. May be sent in response to a request for transfer status.
S005	TransferInInstruction	Status report is sent in response to a transfer-in instruction.
S001	TransferOutInstruction	Status report is sent in response to a transfer-out instruction.
CONV	ConversionStatus	Status report is sent to provide the number of units following a conversion.

66.2.2.242 TransferType4Code

Definition: Specifies whether a financial instrument is transferred as an asset or as cash.

Type: CodeSet

CodeName	Name	Definition
CASH	Cash	Transfer as cash.
CONV	Conversion	ISIN is converted to another ISIN and then transferred.
EXCL	Excluded	Asset excluded from transfer.
SECU	InSpecie	Transfer the security as an asset in specie, that is, 'in its actual form'.

66.2.2.243 TransferUnmatchedReason3Code

Definition: Specifies the reason the transaction, transfer or settlement instruction is unmatched.

Type: CodeSet

CodeName	Name	Definition
CMIS	NoMatch	No potential match has been found.
CPCA	CounterpartyCancelled	Counterparty has cancelled the transaction/instruction.
DELN	TransactionDirection	Direction of transaction does not match: delivery instead of receipt or vice versa.
DSEC	FinancialInstrument	Financial instrument identification does not match or a financial instrument attribute differs.
PHYS	PhysicalSettlement	Settlement does not match: counterparty's instruction is for physical settlement, your instruction is not, or vice versa.
PODU	Duplicate	Instruction has not been matched: it is a possible duplicate instruction.
DEPT	SettlementPlace	Place of settlement does not match.
DDAT	SettlementDate	Settlement date or transfer date does not match.
DQUA	FinancialInstrumentQuantity	Financial instrument quantity does not match.
ICUS	ReceivingOrDeliveringCustodian	Delivering or receiving custodian does not match.
SAFE	SafekeepingAccount	Safekeeping account used as a matching criteria on the market concerned does not match.

66.2.2.244 TypeOfIdentification1Code

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

66.2.2.245 TypeOfPrice10Code

Definition: Specifies the type of price.

Type: CodeSet

CodeName	Name	Definition
BIDE	Bid	Price is the calculated bid price of a dual-priced fund (offer-bid prices), that is, the selling price of the units for the investor.
OFFR	Offer	Price is the calculated offer price of a dual-priced investment fund (offer-bid prices), that is, the buying price of the units for an investor.
NAVL	NetAssetValue	Price is the net asset value per unit that is used either as a transacting price for a single-priced investment fund class, or as a notional price for the calculation of other prices.
CREA	Creation	Price is the calculated creation price of a dual-priced investment fund (creation-cancellation prices) and the highest possible buying price of the units before the initial charge. The actual buying or offer price, which includes charges, will be higher.
CANC	Cancellation	Price is the calculated cancellation price of a dual-priced investment fund (creation-cancellation price), and the lowest possible valuation of the units on any one-day. The actual selling or bid price is usually higher.
INTE	Interim	Price is the non-official interim price given to an investor before the executed price.
SWNG	Swing	Price is the calculated net asset value price of a single-priced investment fund. The price is adjusted to take into account the dealing costs due to individual large deals, or due to a significant imbalance

CodeName	Name	Definition
		in volumes of subscriptions vs redemptions, as an alternative to dilution levy.
MIDD	Mid	Price is the average price between the bid and offer prices.
RINV	Reinvestment	Price is the price used when reinvesting units after distribution.
SWIC	Switch	Price is the price used when transferring units between products.
DDVR	DailyDividendRate	Price is the net income of a financial instrument, calculated on each dealing day, and divided by all the units outstanding on that day.
ACTU	Actual	True offer price.

66.2.2.246 TypeOfPrice11Code

Definition: Specifies the type of price and information about the price.

Type: CodeSet

CodeName	Name	Definition
BIDE	Bid	Price is the calculated bid price of a dual-priced fund (offer-bid prices), that is, the selling price of the units for the investor.
OFFR	Offer	Price is the calculated offer price of a dual-priced investment fund (offer-bid prices), that is, the buying price of the units for an investor.
NAVL	NetAssetValue	Price is the net asset value per unit that is used either as a transacting price for a single-priced investment fund class, or as a notional price for the calculation of other prices.
CREA	Creation	Price is the calculated creation price of a dual-priced investment fund (creation-cancellation prices) and the highest possible buying price of the units before the initial charge. The actual buying or offer price, which includes charges, will be higher.
CANC	Cancellation	Price is the calculated cancellation price of a dual-priced investment fund (creation-cancellation price), and the lowest possible valuation of the units on any one-day. The actual selling or bid price is usually higher.
INTE	Interim	Price is the non-official interim price given to an investor before the executed price.
SWNG	Swing	Price is the calculated net asset value price of a single-priced investment fund. The price is adjusted to take into account

CodeName	Name	Definition
		the dealing costs due to individual large deals, or due to a significant imbalance in volumes of subscriptions vs redemptions, as an alternative to dilution levy.
MIDD	Mid	Price is the average price between the bid and offer prices.
RINV	Reinvestment	Price is the price used when reinvesting units after distribution.
SWIC	Switch	Price is the price used when transferring units between products.
MRKT	Market	Price is the current market price.
INDC	Indicative	Price is the estimated indicative price.

66.2.2.247 TypeOfPrice13Code

Definition: Specifies the type of price and information about the price.

Type: CodeSet

CodeName	Name	Definition
BIDE	Bid	Price is the calculated bid price of a dual-priced fund (offer-bid prices), that is, the selling price of the units for the investor.
OFFR	Offer	Price is the calculated offer price of a dual-priced investment fund (offer-bid prices), that is, the buying price of the units for an investor.
NAVL	NetAssetValue	Price is the net asset value per unit that is used either as a transacting price for a single-priced investment fund class, or as a notional price for the calculation of other prices.
CREA	Creation	Price is the calculated creation price of a dual-priced investment fund (creation-cancellation prices) and the highest possible buying price of the units before the initial charge. The actual buying or offer price, which includes charges, will be higher.
CANC	Cancellation	Price is the calculated cancellation price of a dual-priced investment fund (creation-cancellation price), and the lowest possible valuation of the units on any one-day. The actual selling or bid price is usually higher.
INTE	Interim	Price is the non-official interim price given to an investor before the executed price.
SWNG	Swing	Price is the calculated net asset value price of a single-priced investment fund. The price is adjusted to take into account the dealing costs due to individual large

CodeName	Name	Definition
		deals, or due to a significant imbalance in volumes of subscriptions vs redemptions, as an alternative to dilution levy.
MIDD	Mid	Price is the average price between the bid and offer prices.
RINV	Reinvestment	Price is the price used when reinvesting units after distribution.
NAVS	SidePocketNAV	Price is the estimated net asset value for the side pocket.
SWIC	Switch	Price is the price used when transferring units between products.
GAVL	GrossAssetValue	Price is the gross asset value per unit and includes the performance fee per share. It is used as the transacting price for a fund with equalisation. GAVL price minus the NAVL price = performance fee.
DDVR	DailyDividendRate	Price is the net income of a financial instrument, calculated on each dealing day, and divided by all the units outstanding on that day.
ACTU	Actual	True offer price.
EGAV	EstimatedGAV	Price is the estimated gross asset value per unit and includes the performance fee per share. It is used as the transacting price for a fund with equalisation. GAVL price minus the NAVL price = performance fee.

66.2.2.248 TypeOfPrice6Code

Definition: Specifies the type of price.

Type: CodeSet

CodeName	Name	Definition
BIDE	Bid	Price is the calculated bid price of a dual-priced fund (offer-bid prices), that is, the selling price of the units for the investor.
OFFR	Offer	Price is the calculated offer price of a dual-priced investment fund (offer-bid prices), that is, the buying price of the units for an investor.
NAVL	NetAssetValue	Price is the net asset value per unit that is used either as a transacting price for a single-priced investment fund class, or as a notional price for the calculation of other prices.
CREA	Creation	Price is the calculated creation price of a dual-priced investment fund (creation-cancellation prices) and the highest possible buying price of the units before

CodeName	Name	Definition
		the initial charge. The actual buying or offer price, which includes charges, will be higher.
CANC	Cancellation	Price is the calculated cancellation price of a dual-priced investment fund (creation-cancellation price), and the lowest possible valuation of the units on any one-day. The actual selling or bid price is usually higher.
INTE	Interim	Price is the non-official interim price given to an investor before the executed price.
SWNG	Swing	Price is the calculated net asset value price of a single-priced investment fund. The price is adjusted to take into account the dealing costs due to individual large deals, or due to a significant imbalance in volumes of subscriptions vs redemptions, as an alternative to dilution levy.
OTHR	Other	Price is another type of price, which is not explicitly defined.
MIDD	Mid	Price is the average price between the bid and offer prices.
RINV	Reinvestment	Price is the price used when reinvesting units after distribution.
SWIC	Switch	Price is the price used when transferring units between products.
DDVR	DailyDividendRate	Price is the net income of a financial instrument, calculated on each dealing day, and divided by all the units outstanding on that day.
ACTU	Actual	True offer price.
NAUP	NonAdjustedUnpublished	The original NAV before the price of the investment fund was swung.

66.2.2.249 TypeOfPrice9Code

Definition: Specifies the type of price.

Type: CodeSet

CodeName	Name	Definition
BIDE	Bid	Price is the calculated bid price of a dual-priced fund (offer-bid prices), that is, the selling price of the units for the investor.
OFFR	Offer	Price is the calculated offer price of a dual-priced investment fund (offer-bid prices), that is, the buying price of the units for an investor.
NAVL	NetAssetValue	Price is the net asset value per unit that is used either as a transacting price for a

CodeName	Name	Definition
		single-priced investment fund class, or as a notional price for the calculation of other prices.
CREA	Creation	Price is the calculated creation price of a dual-priced investment fund (creation-cancellation prices) and the highest possible buying price of the units before the initial charge. The actual buying or offer price, which includes charges, will be higher.
CANC	Cancellation	Price is the calculated cancellation price of a dual-priced investment fund (creation-cancellation price), and the lowest possible valuation of the units on any one-day. The actual selling or bid price is usually higher.
INTE	Interim	Price is the non-official interim price given to an investor before the executed price.
SWNG	Swing	Price is the calculated net asset value price of a single-priced investment fund. The price is adjusted to take into account the dealing costs due to individual large deals, or due to a significant imbalance in volumes of subscriptions vs redemptions, as an alternative to dilution levy.
MIDD	Mid	Price is the average price between the bid and offer prices.
RINV	Reinvestment	Price is the price used when reinvesting units after distribution.
SWIC	Switch	Price is the price used when transferring units between products.
DDVR	DailyDividendRate	Price is the net income of a financial instrument, calculated on each dealing day, and divided by all the units outstanding on that day.
ACTU	Actual	True offer price.
NAUP	NonAdjustedUnpublished	The original NAV before the price of the investment fund was swung.
GUAR	Guaranteed	Price is a guaranteed price.
ENAV	EstimatedNAV	Price is an estimated net asset value per unit.

66.2.2.250 TypeOfRequest1Code

Definition: Specifies a type of request.

Type: CodeSet

CodeName	Name	Definition
INFO	Information	Request for information.

CodeName	Name	Definition
STAT	Status	Request for status.

66.2.2.251 UKTaxGroupUnit1Code

Definition: Specifies the UK tax group to which units belong. For UK tax, the first dividend that an investor receives from a funds investment is deemed to be part income and part return of capital. The capital element is 'equalisation', and is exempt from income tax.

Type: CodeSet

CodeName	Name	Definition
GRP1	Group1	The units that were purchased prior to the last ex-div date.
GRP2	Group2	The units that were purchased since the ex-div date, and that benefit from the tax exemption.

66.2.2.252 ValuationTiming1Code

Definition: Specifies how the price valuation timing is done based on the timeline defined in the prospectus.

Type: CodeSet

CodeName	Name	Definition
EXCP	Exceptional	Price valuation is done exceptionally, outside the timeframe specified in the prospectus.
USUA	Usual	Price valuation is done within the timeframe specified in the prospectus.
PATC	Particular	Price valuation that is done outside the usual timeframe, but in conformance with a case specified in the prospectus, eg, market closing day.

66.2.2.253 WaivingInstruction1Code

Definition: Specifies the form of the rebate when commission is waived.

Type: CodeSet

CodeName	Name	Definition
WICA	WaiveInCash	Form of commission waived is cash.
WIUN	WaiveInUnits	Form of commission waived is additional units of a financial instrument.

66.2.3 Date

66.2.3.1 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

66.2.4 DateTime

66.2.4.1 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

66.2.5 IdentifierSet

66.2.5.1 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; AnyBICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

66.2.5.2 AnyBICIdentifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; AnyBICIdentifier

Format

pattern [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

66.2.5.3 AustrianBankleitzahlIdentifier

Definition: Austrian Bankleitzahl. Identifies Austrian financial institutions on the Austrian national clearing system.

Type: IdentifierSet

Identification scheme: Oesterreichische NationalBank; Austrian Bankleitzahl

Format

pattern AT[0-9]{5,5}

66.2.5.4 BelgianIdentifier

Definition: Identifier for Belgian securities.

Type: IdentifierSet

Identification scheme: The Belgian identifier for securities.

66.2.5.5 BICFIDec2014Identifier

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; BICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

66.2.5.6 BICFIIdentifier

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; BICIdentifier

Format

pattern [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

Constraints

- **BICFI**

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

66.2.5.7 Bloomberg2Identifier

Definition: An identifier of a security assigned by the Bloomberg organisation.

Type: IdentifierSet

Identification scheme: Bloomberg; BloombergIdentifier

Format

pattern (BBG)[BCDFGHJKLMNPQRSTVWXYZ\d]{8}\d

66.2.5.8 BloombergIdentifier

Definition: An identifier of a security assigned by the Bloomberg organisation.

Type: IdentifierSet

Identification scheme: Bloomberg; BloombergIdentifier

Format

minLength	1
maxLength	35

66.2.5.9 CanadianPaymentsARNIdentifier

Definition: Canadian Payments Association Routing Number. Identifies Canadian financial institutions on the Canadian national clearing system.

Type: IdentifierSet

Identification scheme: Candian Payment Association; Payment Routing Number

Format

pattern	CA[0-9]{9,9}
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66.2.5.10 CFIOct2015Identifier

Definition: Classification type of the financial instrument, as per the ISO 10962 Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.

Type: IdentifierSet

Identification scheme: ANNA; CFIIIdentifier

Format

pattern	[A-Z]{6,6}
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66.2.5.11 CHIPSParticipantIdentifier

Definition: (United States) Clearing House Interbank Payment System (CHIPS) Participant Identifier (ID). Identifies financial institutions participating on CHIPS. The CHIPS Participant ID is assigned by the New York Clearing House.

Type: IdentifierSet

Identification scheme: American Banker's Association (ABA); CHIPS Participant Number

Format

pattern	CP[0-9]{4,4}
---------	--------------

66.2.5.12 CHIPSUniversalIdentifier

Definition: (United States) Clearing House Interbank Payments System (CHIPS) Universal Identification (UID). Identifies entities that own accounts at CHIPS participating financial institutions, through which CHIPS payments are effected. The CHIPS UID is assigned by the New York Clearing House.

Type: IdentifierSet

Identification scheme: American Banker's Association (ABA); CHIPS UID

Format

pattern	CH[0-9]{6,6}
---------	--------------

66.2.5.13 ConsolidatedTapeAssociationIdentifier

Definition: Identifier of a security assigned by the Consolidated Tape Association.

Type: IdentifierSet

Identification scheme: CTAIdentifier; CTAIdentifier

Format

minLength	1
maxLength	35

66.2.5.14 CUSIPIdentifier

Definition: Committee on Uniform Securities and Identification Procedures (CUSIP). The standards body that created and maintains the securities classification system in the US. Non-US securities have a similar number called the CINS number.

Type: IdentifierSet

Identification scheme: Standards and Poor's CUSIP Service Bureau; CUSIPIdentifier

66.2.5.15 DutchIdentifier

Definition: Identifier for Dutch securities.

Type: IdentifierSet

Identification scheme: Dutch agency; DutchIdentifier

66.2.5.16 EuroclearClearstreamIdentifier

Definition: Identifier of securities issued in Luxembourg. The common code is a 9-digit code that replaces the CEDEL (Clearstream) and Euroclear codes.

Type: IdentifierSet

Identification scheme: Clearstream; EuroclearClearstreamIdentifier

Format

minLength	1
maxLength	12

66.2.5.17 ExtensiveBranchNetworkIdentifier

Definition: The extensive branch network list of the Australian Bank State Branch (BSB) Code. The codes are used for identifying Australian financial institutions, as assigned by the Australian Payments Clearing Association (APCA).

Type: IdentifierSet

Identification scheme: Australian Payments Clearing Association (APCA); Australian BSB

Format

pattern	AU[0-9]{6,6}
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66.2.5.18 FedwireRoutingNumberIdentifier

Definition: Fedwire Routing Number. Identifies financial institutions, in the US, on the FedWire system. The routing number is assigned by the American Bankers Association (ABA).

Type: IdentifierSet

Identification scheme: US Federal Reserve Bank ; FedwireRoutingNumberIdentifier

Format

pattern	FW[0-9]{9,9}
---------	--------------

66.2.5.19 GermanBankleitzahlIdentifier

Definition: German Bankleitzahl. Identifies German financial institutions on the German national clearing systems.

Type: IdentifierSet

Identification scheme: Deutsche Bundesbank ; German Bankleitzahl

Format

pattern BL[0-9]{8,8}

66.2.5.20 HellenicBankIdentificationCodeIdentifier

Definition: Hellenic Bank Identification Code Identifier. Identifies Greek financial institutions on the Greek national clearing system.

Type: IdentifierSet

Identification scheme: Hellenic Central Bank; Payment Routing Number

Format

pattern GR[0-9]{7,7}

66.2.5.21 HongKongBankIdentifier

Definition: Hong Kong Bank Code. Identifies Hong Kong financial institutions on the Hong Kong local clearing system.

Type: IdentifierSet

Identification scheme: Hong Kong Interbank Clearing Ltd (HKICL); Bank Code of Hong Kong

Format

pattern HK[0-9]{3,3}

66.2.5.22 IBAN2007Identifier

Definition: The International Bank Account Number is a code used internationally by financial institutions to uniquely identify the account of a customer at a financial institution as described in the 2007 edition of the ISO 13616 standard "Banking and related financial services - International Bank Account Number (IBAN)" and replaced by the more recent edition of the standard.

Type: IdentifierSet

Identification scheme: National Banking Association; International Bank Account Number (ISO 13616)

Format

pattern [A-Z]{2,2}[0-9]{2,2}[a-zA-Z0-9]{1,30}

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

66.2.5.23 IndianFinancialSystemCodeIdentifier

Definition: Indian Financial System Code Identifier. Identifies Indian financial institutions on the Indian national clearing system.

Type: IdentifierSet

Identification scheme: Indian Financial System; Payment Routing Number

Format

pattern IN[a-zA-Z0-9]{11,11}

66.2.5.24 IrishNSCIdentifier

Definition: Irish National Sorting Code. Identifies Irish financial institutions on the Irish national clearing system.

Type: IdentifierSet

Identification scheme: Irish Payment Services Organisation (IPSO); Irish National Sort Code

Format

pattern IE[0-9]{6,6}

66.2.5.25 ISINIdentifier

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Type: IdentifierSet

Identification scheme: ANNA; ISINIdentifier

Format

pattern [A-Z0-9]{12,12}

66.2.5.26 ISINOct2015Identifier

Definition: The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Type: IdentifierSet

Identification scheme: ANNA; ISINIdentifier

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

66.2.5.27 ItalianDomesticIdentifier

Definition: Italian Domestic Identification Code. Identifies Italian financial institutions on the Italian national clearing system. The code is assigned by the Associazione Bancaria Italiana (ABI).

Type: IdentifierSet

Identification scheme: Associazione Bancaria Italiana; Italian Domestic Identification

Format

pattern IT[0-9]{10,10}

66.2.5.28 LEIIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Identification scheme: Global LEI System; LEIIdentifier

Format

pattern [A-Z0-9]{18,18}[0-9]{2,2}

66.2.5.29 MICIdentifier

Definition: Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Type: IdentifierSet

Identification scheme: SWIFT; MICIdentifier

Format

pattern [A-Z0-9]{4,4}

66.2.5.30 NewZealandNCCIdentifier

Definition: New Zealand Bank/Branch Code. Identifies New Zealand institutions on the New Zealand national clearing system. The code is assigned by the New Zealand Bankers' Association (NZBA).

Type: IdentifierSet

Identification scheme: New Zealand Bankers Association; NewZealandNCC

Format

pattern NZ[0-9]{6,6}

66.2.5.31 PolishNationalClearingCodeIdentifier

Definition: Polish National Clearing Code Identifier. Identifies Polish financial institutions on the Polish national clearing system.

Type: IdentifierSet

Identification scheme: Polish Central Bank; Payment Routing Number

Format

pattern PL[0-9]{8,8}

66.2.5.32 PortugueseNCCIdentifier

Definition: Portuguese National Clearing Code. Identifies Portuguese financial institutions on the Portuguese national clearing system.

Type: IdentifierSet

Identification scheme: Banco de Portugal; PortugueseNCC

Format

pattern PT[0-9]{8,8}

66.2.5.33 QUICKIdentifier

Definition: Identifier of a security assigned by the Japanese QUICK identification scheme for financial instruments.

Type: IdentifierSet

Identification scheme: QUICK; QUICKIdentifier

66.2.5.34 RICIdentifier

Definition: Reuters Identification Code (RIC). A numbering system used within the Reuters system to identify instruments worldwide. The RIC contains an X-character market specific code (can be the CUSIP or EPIC codes) followed by a full stop, then the two-digit ISO country code, for example, IBM in UK is IBM.UK.

Type: IdentifierSet

Identification scheme: REUTERS ; REUTERSIdentifier

Format

minLength 1
maxLength 35

66.2.5.35 RussianCentralBankIdentificationCodeIdentifier

Definition: Russian Central Bank Identification Code. Identifies Russian financial institutions on the Russian national clearing system.

Type: IdentifierSet

Identification scheme: Central Bank of Russia; RussianCentralBankIdentificationCode

Format

pattern RU[0-9]{9,9}

66.2.5.36 SEDOLIdentifier

Definition: Stock Exchange Daily Official List (SEDOL) number. A code used by the London Stock Exchange to identify foreign stocks, especially those that aren't actively traded in the US and don't have a CUSIP number.

Type: IdentifierSet

Identification scheme: London Stock Exchange; SEDOLIdentifier

66.2.5.37 SicovamIdentifier

Definition: Identifier for French securities assigned by the Societe Interprofessionnelle Pour La Compensation des Valeurs Mobilières in France. The Sicovam is composed of 5-digits.

Type: IdentifierSet

Identification scheme: Sicovam; SicovamIdentifier

66.2.5.38 SmallNetworkIdentifier

Definition: The small network list of the Australian Bank State Branch (BSB) Code. The codes are used for identifying Australian financial institutions, as assigned by the Australian Payments Clearing Association (APCA).

Type: IdentifierSet

Identification scheme: Australian Payments Clearing Association (APCA); Australian BSB

Format

pattern AU[0-9]{6,6}

66.2.5.39 SouthAfricanNCCIdentifier

Definition: South African National Clearing Code (NCC). Identifies South African financial institutions on the South African national clearing system. The code is assigned by the South African Bankers Services Company Ltd. (BankServ).

Type: IdentifierSet

Identification scheme: South African Bankers Services Company Ltd (Bank Serv); South African National Clearing system Code

Format

pattern ZA[0-9]{6,6}

66.2.5.40 SpanishDomesticInterbankingIdentifier

Definition: Spanish Domestic Interbanking Code. Identifies Spanish financial institutions on the Spanish national clearing system. The code is assigned by the Centro de Cooperacion Interbancaria (CCI).

Type: IdentifierSet

Identification scheme: Centro de Cooperacion Interbancaria (CCI); Spanish Domestic Interbanking Identifier

Format

pattern ES[0-9]{8,9}

66.2.5.41 SwissBCIdentifier

Definition: Swiss Bank Code. Identifies Swiss institutions on the Swiss national clearing system.

Type: IdentifierSet

Identification scheme: Swiss Interbank Clearing Ltd; BC Identifier

Format

pattern	SW[0-9]{3,5}
---------	--------------

66.2.5.42 SwissSICIdentifier

Definition: Swiss Interbank Clearing (SIC) Code. Identifies Swiss financial institutions domestically, on the Swiss national clearing system.

Type: IdentifierSet

Identification scheme: Swiss Interbank Clearing Ltd; SIC Identifier

Format

pattern	SW[0-9]{6,6}
---------	--------------

66.2.5.43 TickerIdentifier

Definition: Letters that identify a stock traded on a stock exchange. The Ticker Symbol is a short and convenient way of identifying a stock, for example, RTR.L for Reuters quoted in London.

Type: IdentifierSet

Identification scheme: Bloomberg; BloombergIdentifier

Format

minLength	1
maxLength	35

66.2.5.44 UKDomesticSortCodeIdentifier

Definition: United Kingdom (UK) Sort Code. Identifies British financial institutions on the British national clearing systems. The sort code is assigned by the Association for Payments and Clearing Services (APACS).

Type: IdentifierSet

Identification scheme: Association for Payment Clearing Services (APACS); UK Sort Code

Format

pattern	SC[0-9]{6,6}
---------	--------------

66.2.5.45 ValorenIdentifier

Definition: Identifier for Swiss securities assigned by Telekurs Financial, the Swiss numbering agency.

Type: IdentifierSet

Identification scheme: Valoren; ValorenIdentifier

66.2.5.46 WertpapierIdentifier

Definition: Wertpapier Kenn-nummer. A number issued in Germany by the Wertpapier Mitteilungen. The Wertpapier Kenn-nummer, sometimes called WPK, contains 6-digits, but no check digit. There are different ranges of numbers representing different classes of securities.

Type: IdentifierSet

Identification scheme: Wertpapier; WertpapierIdentifier

66.2.6 Indicator

66.2.6.1 PlusOrMinusIndicator

Definition: Indicates a positive or negative value.

Type: Indicator

Meaning When True: Plus

Meaning When False: Minus

66.2.6.2 YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

66.2.7 Quantity

66.2.7.1 DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format

totalDigits	18
fractionDigits	17

66.2.7.2 Max1Number

Definition: Number (max 9) of objects represented as an integer.

Type: Quantity

Format

totalDigits	1
fractionDigits	0

66.2.7.3 Number

Definition: Number of objects represented as an integer.

Type: Quantity

Format

totalDigits	18
fractionDigits	0

66.2.8 Rate

66.2.8.1 BaseOneRate

Definition: Rate expressed as a decimal, for example, 0.7 is 7/10 and 70%.

Type: Rate

Format

totalDigits	11
fractionDigits	10
baseValue	1.0

66.2.8.2 PercentageBoundedRate

Definition: Rate expressed as a percentage, ie, in hundredths, eg, 0.7 is 7/10 of a percent, and 7.0 is 7%.

Type: Rate

Format

minInclusive	0
maxInclusive	100
totalDigits	11
fractionDigits	10
baseValue	100.0

66.2.8.3 PercentageRate

Definition: Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7%.

Type: Rate

Format

totalDigits	11
fractionDigits	10
baseValue	100.0

66.2.9 Text

66.2.9.1 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern	[a-zA-Z0-9]{4}
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66.2.9.2 Extended350Code

Definition: Code and / or description for values that are not yet part of the related code list.

Type: Text

Format

minLength	1
maxLength	350

66.2.9.3 Max10Text

Definition: Specifies a character string with a maximum length of 10 characters.

Type: Text

Format

minLength	1
maxLength	10

66.2.9.4 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

minLength	1
maxLength	140

66.2.9.5 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

minLength	1
maxLength	16

66.2.9.6 Max2048Text

Definition: Specifies a character string with a maximum length of 2048 characters.

Type: Text

Format

minLength	1
maxLength	2048

66.2.9.7 Max256Text

Definition: Specifies a character string with a maximum length of 256 characters.

Type: Text

Format

minLength	1
maxLength	256

66.2.9.8 Max34Text

Definition: Specifies a character string with a maximum length of 34 characters.

Type: Text

Format

minLength	1
maxLength	34

66.2.9.9 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

minLength	1
maxLength	350

66.2.9.10 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

minLength	1
maxLength	35

66.2.9.11 Max3Text

Definition: Specifies a character string with a maximum length of 3 characters.

Type: Text

Format

minLength	1
maxLength	3

66.2.9.12 Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

minLength	1
maxLength	4
pattern	[a-zA-Z0-9]{1,4}

66.2.9.13 Max5NumericText

Definition: Specifies a numeric string with a maximum length of 5 digits.

Type: Text

Format

pattern	[0-9]{1,5}
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66.2.9.14 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

minLength	1
maxLength	70

66.2.9.15 Max8Text

Definition: Specifies a character string with a maximum length of 8 characters.

Type: Text

Format

minLength	1
maxLength	8

66.2.9.16 PhoneNumber

Definition: The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+ and "-" (up to 30 characters).

Type: Text

Format

pattern \+[0-9]{1,3}-[0-9()+\-]{1,30}

66.2.9.17 PreviousAll

Definition: Indicates that the investment plan issued during all the previous years have to be transferred.

Type: Text

Format

pattern ALL

66.2.10 Time

66.2.10.1 ISOTime

Definition: A particular point in the progression of time in a calendar day expressed in either UTC time format (hh:mm:ss.sssZ), local time with UTC offset format (hh:mm:ss.sss+/-hh:mm), or local time format (hh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: Time

66.2.11 Year

66.2.11.1 ISOYear

Definition: Year represented by YYYY (ISO 8601).

Type: Year

66.2.12 YearMonth

66.2.12.1 ISOYearMonth

Definition: Month within a particular calendar year represented by YYYY-MM (ISO 8601).

Type: YearMonth